Augmenting search trees

Sergey V Kozlukov

2016-03-21 01:23:15

Contact info

- rerumnovarum@openmailbox.org
- GPG: **B986D856**
- https://github.com/RerumNovarum/vsu.en

Foreword

 \bullet Speech is supposed to be presented in 5-10 minutes

Foreword

- Speech is supposed to be presented in 5-10 minutes
- It's supposed to be easy-to-understand and maybe rough

Foreword

- Speech is supposed to be presented in 5-10 minutes
- It's supposed to be easy-to-understand and maybe rough
- But presentation is not completed yet so neither of these goals is achieved

Motivation

Well, theory of algorithms is part of the basis, that computer-science/applied-mathematics/whatever expert is supposed to be familiar with

Motivation

Well, theory of algorithms is part of the basis, that computer-science/applied-mathematics/whatever expert is supposed to be familiar with

And also there's direct and straightforward applications of such topics

Motivation

Well, theory of algorithms is part of the basis, that computer-science/applied-mathematics/whatever expert is supposed to be familiar with

And also there's direct and straightforward applications of such topics Often you don't have a choice, but to use scientific approach

RSQ (range sum query)

Given array <code>a[1..n]</code> of n numbers for given $1 \le l \le r \le n$ calculate the sum of all numbers in subarray <code>a[1..r]</code>

RSQ (range sum query)

Given array a[1..n] of n numbers for given $1 \le l \le r \le n$ calculate the sum of all numbers in subarray a[1..r]

In offline-variation simple preprocessing (calculating cumulative partial sums) in O(n) time allows to answer any such query in O(1) time

RSQ (range sum query)

Given array a[1..n] of n numbers for given $1 \le l \le r \le n$ calculate the sum of all numbers in subarray a[1..r]

In offline-variation simple preprocessing (calculating cumulative partial sums) in O(n) time allows to answer any such query in O(1) time

```
def rsq(l, r):
  return cumsum[r] - (cumsum[l-1] if l != 0 else 0)
```

RMQ (range minimum query)

Given array a[1..n] of n objects of well-ordered set for given $1 \le l \le r \le n$ find the minimal element in subarray a[1..r]

RMQ (range minimum query)

Given array a[1..n] of n objects of well-ordered set for given $1 \le l \le r \le n$ find the minimal element in subarray a[1..r]A bit more complicated?

RMQ (range minimum query)

Given array a[1..n] of n objects of well-ordered set for given $1 \le l \le r \le n$ find the minimal element in subarray a[1..r]

A bit more complicated?

Actually much-much larger class of similar problems is solvable with generic approach! (stay tuned)

Simple solution is to remember minimal values in whole array a[1..n], subarray a[1..n/2], a[n/2+1..n], \$...(continuing splitting intervals, until l=r)
There are n intervals of length 1, floor(n/2) intervals of length 2,

There are n intervals of length 1, floor(n/2) intervals of length 2, Total number of such segments is bounded by

$$\sum_{k} N/2^{k} = N \sum_{k} 2^{-k} = N \frac{1}{1 - 1/2} = 2N$$

Which means that we only need to use linear in n space

There are n intervals of length 1, floor(n/2) intervals of length 2, Total number of such segments is bounded by

$$\sum_{k} N/2^{k} = N \sum_{k} 2^{-k} = N \frac{1}{1 - 1/2} = 2N$$

Which means that we only need to use linear in n space Let cache(1, r) be precomputed minimal value in subarray a[l..r] where l and r are powers of 2

There are n intervals of length 1, floor(n/2) intervals of length 2, Total number of such segments is bounded by

$$\sum_{k} N/2^{k} = N \sum_{k} 2^{-k} = N \frac{1}{1 - 1/2} = 2N$$

Which means that we only need to use linear in n space Let cache(1, r) be precomputed minimal value in subarray a[1..r] where l

Let cache(1, r) be precomputed minimal value in subarray a[1..r] where and r are powers of 2

Begining with tl = 1, tr = n we can solve task with simple recursive logic

```
def rmq(1, r, t1, tr):
    if not intersects(1, r, t1, tr) or t1>tr: return INFINITY;
    if contains(1, r, t1, tr): return cache(1,r)
    m = (t1+tr)//2
    infimum = INFINITY
    if intersects(1, r, t1, m):
        infimum = min(infimum, rmq(1, r, t1, m))
    if intersects(1, r, m+1, tr):
        infimum = min(infimum, rmq(1, r, m+1, tr))
    return infimum
```

RMQ

Such cache can be easily represented with binary tree, where firt node assigned to segment 1..n, it's children to segments 1..m and m+1..n and so on.

RSQ using array-based tree

Let's represent binary tree with array indices arithmetics:

- \bullet Each node is assigned a number v, which is index in array
- Root's index is 0
- Left child of v has index 2v + 1
- Right child of v has index 2v + 2
- Parent of v has index floor((v-1)/2)

RSQ using array-based tree (API)

```
template<typename T>
class RSQ {
  private:
    int n;
    int buffsize;
    T* tree;
  public:
    RSQ(int n);
    ~RSQ();
    void put(int k, T v);
    T get(int k);
    T sum(int 1, int r);
  private:
    // i
    put(int i, T v, int ti, int tl, int tr);
    T sum(int 1, int r, int ti, int tl, int tr);
```

Constructor

```
RSQ(int n) {
  this->n = n;
  this->buffsize=4*n;
  this->tree = new T[this->buffsize];
  for (int i = this->buffsize - 1; i >= 0; --i) this->tree[i] = 0;
}
~RSQ() {
  delete[] this->tree;
}
```

Retrieving

```
T get(int l, int r, int ti, int tl, int tr) {
  if (ti >= this->buffsize) return 0;
  if (tl > tr) return 0;
  if (l <= tl && tr <= r) return this->tree[ti];
  int m = (tl+tr)/2;
  T s = 0;
  if (intersects(l, r, tl, m))  s += get(l, r, left(ti), tl, m);
  if (intersects(l, r, m+1, tr)) s += get(l, r, right(ti), m+1, tr);
  return s;
}
```

Updating

```
void set(int i, T v, int ti, int l, int r) {
  if (ti >= this->buffsize) return;
  if (1>r) return:
  if (l==r) {
    this->tree[ti] = v:
  }
  else {
    int m = (1+r)/2:
    if (i <= m) set(i, v, left(ti), l, m);
                set(i, v, right(ti), m+1, r);
    else
   this->tree[ti] = this->tree[left(ti)] + this->tree[right(ti)];
```

Lazy propagation

Online problem

Given n — maximal number of elements, and q — number of queries, handle q queries of following types:

- put(k, v): set k'th item to be equal to v
- get(1, r): find sum/minimum/whatever in subarray

Now let's make our task a bit trickier and say, that we want, for example, say that keys are points in time or whatever with total order defined on it, instead of indices of array

And like before we want perform

- put(k, v)
- get(1, r)

reasonably fast

Since key's aren't integers bounded by some small constant, we can't use key as index in array What to do?

Since key's aren't integers bounded by some small constant, we can't use key as index in array
What to do?
Binary Search Tree, it's straightforward

Since key's aren't integers bounded by some small constant, we can't use key as index in array

What to do?

Binary Search Tree, it's straightforward

Can we handle range queries anyhow but bruteforcing?

Since key's aren't integers bounded by some small constant, we can't use key as index in array
What to do?
Binary Search Tree, it's straightforward
Can we handle range queries anyhow but bruteforcing?

YES!

Monoid

What's monoid?

Monoid

What's monoid?
Monoid is a semigroup with an identity element

Monoid

What's monoid? Monoid is a semigroup with an identity element What's semigroup?

What's monoid? Monoid is a semigroup with an identity element What's semigroup? Semigroup is an associative magma

What's monoid?
Monoid is a semigroup with an identity element
What's semigroup?
Semigroup is an associative magma
And what the heck is magma?

What's monoid? Monoid is a semigroup with an identity element What's semigroup? Semigroup is an associative magma And what the heck is magma?

Sergey V Kozlukov

Magma is just a set with some binary operation on it, w/o any restrictions

Monoid is a set with an associative binary operation on it and an identity element regarding this operation

Augmentation

The idea is following:

If you're considering node n, which represents range [n.lk, n.rk], and you know the multiple n.l.mul of values with keys in range n.l.lk, n.l.rk and multiple of n.r.mul of values with keys in range n.r.lk, n.r.lk, then simply n.mul = n.l.mul * n.v * n.r.mul

Augmentation

More generally, if range l..r is requested, and we're in node n, then we either

- return 0 if $[n.lk, n.rk] \cap [l, r] = \emptyset$
- return n.mul if $[n.lk, n.rk] \subset [l, r]$
- recursively go into childrens and combine answers and value in current node

Augmentation theorem

Let K be totally-ordered set and $(M, \circ, 1)$ be monoid and let $T \subset M$ note values in tree.

Then following operations can be performed in time logarithmic in input size just by storing additional data in the nodes of tree and maintaining this data during rotations:

- \bullet put(k, v) Associate key k with value v
- mul(1, r) Calculate $v_{k_1} \circ v_{k_2} \circ \cdots \circ v_{k_m}$, where $v_{k_j} \in M \cap T$ and $l, r, k_j \in K$ and $l \leq k_j \leq r$ and $k_i \leq k_j$ for all $i \leq j = \overline{1, m}$

Augmenting search trees

Let's say we implemented red-black BST with following API:

```
class Node:
   def init (self, k, v): pass
class RBBST:
   def __init__(self):
      self.Node = Node # to be overriden
     pass
   def restore(self, h): pass # to be overriden
   def balance(self, h): pass
   def put(self, k, v): pass
   def get(self, k): pass
   def rotate_left(self, h): pass
   def flip colors(self, h): pass
   def rotation fix(self, x, h): pass
```

Augmenting search trees

Here balance performs required rotations calls restore if necessary and returns new root of subtree It is called during insertion in following manner:

Augmenting search trees

```
def __put__(self, h, k, v):
        """__put__(h, k, v)
h: root of subtree
k: key
v: value
recursive method to insert new kv-pair into tree
and maintain balance"""
        if h is None: return self.Node(k, v)
        if k < h.k: h.l = self. put (h.l, k, v)
        elif h.k < k: h.r = self.__put__(h.r, k, v)</pre>
                   h.v = v
       else:
        self.restore(h)
       h = self.balance(h)
       return h
```

We will augment tree by overriding Node class and restore method

SegmentTree (API)

class SegmentTree(rbbst.RBBST):

SegmentTree (API)

```
def add(x, y): return x+y
t = SegmentTree(add, 0)
t.put('a', 1) # associate value 1 with key 'a'
t.put('b', 3)
t.put('c', 22)
t.mul('a', 'c') # -> 26
t.mul('a', 'a') # -> 1
```

SegmentTree (API)

```
def add(x, y): return x+y
t = SegmentTree(add, '')
t.put(1, 'some ')
t.put(10**32, 'strings') # we can use some large 'indices'* * *
t.put(-10**9, 'concat ')
t.mul(-10**64, 10**64) # yields 'concat some strings'
```

SegmentTree Node

```
class Node:
    def __init__(self, k, v):
        self.k, self.v = k, v # key, value
        self.c = RED # color
        self.l, self.r = None, None # segment [l,r] represented by def __str__(self):
        return '(%s, %s, %s)'%(k, v, 'RED' if self.c else 'BLACK')
    def __repr__(self): return self.__str__()
```

SegmentTree maintaining aux data

```
def restore(self, h):
        """restore(h)
overrided 'restore' will update cumulative
after insertions and balancing"""
        assert not h is None
        h.lk, h.rk = h.k, h.k
        m = h.v
        if h.1:
            m = self.mulbin(h.l.mul, m)
           h.lk = h.l.lk
        if h.r:
            m = self.mulbin(m, h.r.mul)
            h.rk = h.r.rk
        h.miil = m
```

SegmentTree query

```
def mul (self, h, l, r):
        """ mul (h, l, r)
calculates cumulative in intersection of (h.lk, h.rk) and (l, r)"""
       s = self.id
       if 1 <= h.lk <= h.rk <= r: return h.mul
       if h.l and intersects(h.l.lk, h.l.rk, l, r):
           s = self.mulbin(self. mul (h.1, 1, r), s)
       if 1 <= h.k <= r:
           s = self.mulbin(s, h.v)
       if h.r and intersects(h.r.lk, h.r.rk, l, r):
           s = self.mulbin(s, self. mul (h.r, l, r))
       return s
```

Contact info

- rerumnovarum@openmailbox.org
- GPG: **B986D856**
- https://github.com/RerumNovarum/vsu.en