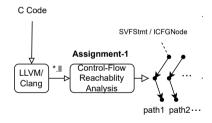
Code Verification and Automated Theorem Prover (Week 4)

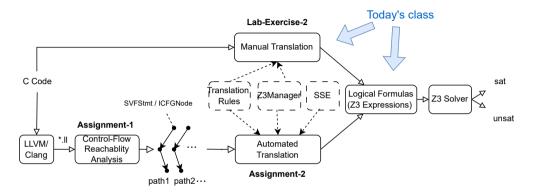
Yulei Sui

School of Computer Science and Engineering University of New South Wales, Australia

Today's class



Today's class



- In Lab-Exercise-2 and Assignment-2, we will conduct code verification to prove code assertions on top of reachability analysis (Assignment-1).
- Translating C statements (Lab-Exercise-2) and SVFStmt/ICFGNode (Assignment-2) to logical formulas/expressions and solve them to verify code assertions using automated theorem prover (i.e., Z3)

Formal Verification For Code

Specification $\stackrel{?}{\equiv}$ Code implementation

Formal Verification For Code

Formal Verification For Code

logical formulas of specification $\stackrel{?}{\equiv}$ Logical formulas of code implementation.

- Proving the correctness of your code given a specification (or spec) using formal methods of mathematics
- Make the connection between specifications and implementations rigid, reliable and secure by translating specification and code into logical formulas.
- The application of theorem proving tools to perform satisfiability checking of logical formulas.

Specification

- Specifications independent of the source code
 - Formal specification in a separate file from the source code, written in a specification language and accepted by theorem provers

Specification

- Specifications independent of the source code
 - Formal specification in a separate file from the source code, written in a specification language and accepted by theorem provers
- Specifications embedded in the source code (This course)
 - assume(expr): an assumed precondition of a program that expression expr always be true and uses this assumed knowledge to execute the program.
 assmue is often optional as programs or verification scenarios may not have preconditions, including Lab-Exercise-2 and Assignment-2.
 - assert(expr): an expected postcondition embedded in the program to check that expr always holds for any execution, otherwise the program terminates. We use svf_assert in our lab/assignment as an alternative for verification purposes.

Specification

- Specifications independent of the source code
 - Formal specification in a separate file from the source code, written in a specification language and accepted by theorem provers
- Specifications embedded in the source code (This course)
 - assume(expr): an assumed precondition of a program that expression expr always be true and uses this assumed knowledge to execute the program.
 assmue is often optional as programs or verification scenarios may not have preconditions, including Lab-Exercise-2 and Assignment-2.
 - assert(expr): an expected postcondition embedded in the program to check that expr always holds for any execution, otherwise the program terminates. We use svf_assert in our lab/assignment as an alternative for verification purposes.
- Hoare logic triple P {prog} Q representing a program expressed by a
 predicate (first-order) logic, describes that when the precondition P is met,
 executing the program prog establishes the postcondition Q.

Hoare logic: https://en.wikipedia.org/wiki/Hoare_logic

Assertion-Based Specification and Satisfiability

Prove whether the post-condition (assert) holds after executing the program given the pre-condition (assume).

```
\begin{array}{lll} \operatorname{assume}(100 > x > 0); & // & P \\ & \operatorname{foo}(x) \{ & & \operatorname{if}(x > 10) & \{ & & & \\ & \operatorname{if}(x > 10) & \{ & & & \\ & \operatorname{y} = x + 1; \\ & \} & & & \operatorname{else} \ \{ & & & \Longrightarrow \ \psi(P\{\operatorname{foo}\}Q) & \Longrightarrow \ \operatorname{SAT/SMT} \\ & \operatorname{gold} \ \operatorname{formula} & & \operatorname{Solver} \\ & \operatorname{gold} \ \operatorname{formula} & & \operatorname{Solver} \end{array}
```

Will the assertion hold?

Assertion as Specification in Code Verification

Assertions are program statements used to **test assumptions** made by software designers/programmers/testers. An assertion is a predicate or an expression that **always should evaluate to true** at that point during code execution.

```
if(expr is true){
    // continue normal execution
}
else{
    __assert_fail();
    // program failure and terminate the program
}
```

Assertions as Specifications in Code Verification (Example)

- Assertions are program statements used to test program semantics and designs made by software designers/programmers.
- An assertion is an expression/predicate that always should evaluate to true at that point during code execution.

Assertions as Specifications in Code Verification (Example)

- Assertions are program statements used to test program semantics and designs made by software designers/programmers.
- An assertion is an expression/predicate that always should evaluate to true at that point during code execution.

```
1 #include <assert.h>
2 void main() {
3    int x_axis = 5;
4    int y_axis = 1;
5    // assertion succeeds
6    assert(x_axis > 0 && y_axis > 0);
7    plot(x_axis, y_axis);
8    int y_axis = x_axis - 5;
9    // assertion fails and program crashes
10    assert(x_axis > 0 && y_axis > 0);
11 }
```

Assertions as Specifications in Code Verification (Example)

 Assertions are program statements used to test program semantics and designs made by software designers/programmers.

 An assertion is an expression/predicate that always should evaluate to true at that point during code execution.

```
1 void display_number(int* myInt) {
                                                  assert(myInt != nullptr);
 #include <assert.h>
2 void main() {
                                                  printf("%d", *mvInt);
      int x_axis = 5:
                                              4 }
      int y_axis = 1:
                                              5 int main () {
      // assertion succeeds
                                                  int myptr = 5;
      assert(x_axis > 0 \&\& y_axis > 0);
                                                  int* first_ptr = &myptr;
6
      plot(x_axis, y_axis);
                                                  int* second_ptr = nullptr;
8
      int y_axis = x_axis - 5;
                                                  // assertion succeeds
                                                  display_number(first_ptr);
      // assertion fails and program crashes 10
                                                  // assertion fails and program crashes
      assert(x_axis > 0 \&\& v_axis > 0):
10
11 }
                                                  display_number(second_ptr):
                                              12
                                              13 }
```

Assertion-Based Code Verification

Assertions can be seen as partial software specifications that

- help a programmer read the code
- help the program detect its own defects
- help catch errors earlier and pinpoint sources of errors

Assertion-Based Code Verification

Assertions can be seen as partial software specifications that

- help a programmer read the code
- help the program detect its own defects
- help catch errors earlier and pinpoint sources of errors

Assertions are typically used in the following scenarios.

- Software developers can add assertions during their programming or implementation to verify their expected results.
- Software testers can add assertions as a part of the unit testing process.
- Project managers or third parties can add assertions in the middle or end of a program execution to verify and understand code bases.

Satisfiability Solving as Logic Inference Problem

Logic Inference Problem:

Given Hoare logic triple P{prog}Q expressed by a set of constraints (logical formulas) extracted from code statements of a program. We can express P{prog} as KB knowledge base or premises, and Q is the conclusion. For example

Satisfiability Solving as Logic Inference Problem

Logic Inference Problem:

- Given Hoare logic triple P{prog}Q expressed by a set of constraints (logical formulas) extracted from code statements of a program. We can express P{prog} as KB knowledge base or premises, and Q is the conclusion. For example
 - $KB : ((x > z) \land (y == x + 1)) \lor ((x \le z) \land (y == 10))$
 - $Q: y \ge x + 1$
- *KB* ⊢ *Q* ?
 - Does KB semantically entail Q?
 - If all constraints in KB are true, is the assertion true?
 - Is the specification Q satisfiable given constraints from code?
- Each element (proposition or predicate) in KB can be seen as one premise and Q is the conclusion.

Propositional Logic (Statement Logic)

A **proposition** is a statement that is either true or false. Propositional logic studies the ways statements can interact with each other.

- **Propositional variables** (e.g., *S*) represent propositions or statements in the formal system.
- A propositional formula is logical formula with propositional variables and logical connectives like and (∧), or (∨), negation (¬), implication (⇒)
- Inference rules allow certain formulas to be derived. These derived formulas
 are called theorems (or true propositions). The derivation can be interpreted
 as proof of the proposition represented by the theorem.

```
https://en.wikipedia.org/wiki/Propositional_calculus
http://discrete.openmathbooks.org/dmoi2/sec_propositional.html
```

Predicate Logic (First-Order Logic)

First-order logic is propositional logic with predicates and quantification.

- Propositional logic: boolean logic which represents statements without reflecting their structures and relations
- **Predicate logic**: is more expressive and further analyzes proposition(s) by representing their entities' properties and relations and to group entities, i.e., additionally covers predicates and quantification.

Predicate Logic (First-Order Logic)

First-order logic is propositional logic with predicates and quantification.

- Propositional logic: boolean logic which represents statements without reflecting their structures and relations
- **Predicate logic**: is more expressive and further analyzes proposition(s) by representing their entities' properties and relations and to group entities, i.e., additionally covers predicates and quantification.
- A predicate P takes one or more variables/entities as input and outputs a proposition and has a truth value (either true or false).
 - A statement whose truth value is dependent on variables.
 - For example, in P(x): x > 5, "x" is the variable and "> 5" is the predicate. After assigning x with the value 6, P(x) becomes a proposition 6 > 5.
- A quantifier is applied to a set of entities
 - Universal quantifier ∀, meaning all, every
 - Existential quantifier ∃, meaning some, there exists

https://en.wikipedia.org/wiki/First-order_logic

https://www.youtube.com/watch?v=ARywou8HLQk

Predicate Logic (Natural Language Example)

Consider the two statements

- · "Jack got a high distinction"
- "Peter got a high distinction"

In propositional logic, these statements are viewed as being unrelated and the sub-statements/words/entities are not further analyzed.

- **Predicate logic** allows us to define a **predicate** *P* representing "got a high distinction" which occurs in both sentences.
- P(x) is the predicate logic statement (formula) which accepts a name x and output as "x got a high distinction".

Predicate Logic (Code Example)

```
• S_1: x > 20;
• S_2: x > 10;
• S_2 \rightarrow Q: if(x > 10) y = 15;
• Q: y = 15;
```

- In **propositional logic**, each statement (including its variables and constants) is viewed as one proposition. Their relations are not further analyzed.
 - Given propositions S_1 and $S_2 \to Q$ as the knowledge base KB. Does the following semantically entail $\{S_1, S_2 \to Q\} \vdash Q \text{ or } (S_1 \land S_2 \to Q) \to Q \text{ hold?}$
 - Answer: No!

Predicate Logic (Code Example)

```
• S_1: x > 20;
• S_2: x > 10;
• S_2 \rightarrow Q: if(x > 10) y = 15;
• Q: y = 15;
```

- In **propositional logic**, each statement (including its variables and constants) is viewed as one proposition. Their relations are not further analyzed.
 - Given propositions S_1 and $S_2 \to Q$ as the knowledge base KB. Does the following semantically entail $\{S_1, S_2 \to Q\} \vdash Q \text{ or } (S_1 \land S_2 \to Q) \to Q \text{ hold?}$
 - Answer: No!
- **Predicate logic** allows us to define **three predicates**: $P_1(x)$ represents x > 20; $P_2(x)$ represents x > 10; Q(y) represents y = 15 for the properties of x, y. Does the following hold using predicate logical for the inference?
 - $\{P_1(x), P_2(x) \to Q(y)\} \vdash Q(y) \text{ or } (P_1(x) \land P_2(x) \to Q(y)) \to Q(y)$

Predicate Logic (Code Example)

- S_1 : x > 20; • S_2 : x > 10; • $S_2 \rightarrow Q$: if(x > 10) y = 15; • Q: y = 15;
- In **propositional logic**, each statement (including its variables and constants) is viewed as one proposition. Their relations are not further analyzed.
 - Given propositions S_1 and $S_2 \to Q$ as the knowledge base KB. Does the following semantically entail $\{S_1, S_2 \to Q\} \vdash Q \text{ or } (S_1 \land S_2 \to Q) \to Q \text{ hold?}$
 - Answer: No!
- **Predicate logic** allows us to define **three predicates**: $P_1(x)$ represents x > 20; $P_2(x)$ represents x > 10; Q(y) represents y = 15 for the properties of x, y. Does the following hold using predicate logical for the inference?
 - $\{P_1(x), P_2(x) \to Q(y)\} \vdash Q(y) \text{ or } (P_1(x) \land P_2(x) \to Q(y)) \to Q(y)$
 - $\{x > 20, x > 10 \rightarrow y = 15 \} \vdash y = 15$
 - Answer: Yes!

Assertion verification as satisfiability checking. The assertion holds if the predicate formula $\psi(P\{\text{foo}\}Q)$ is satisfiable (SAT).

• ψ is satisfiable if a program prog is correct for all valid inputs.

$$\forall x \ \forall y \ P(x) \land S_{prog}(x,y) \rightarrow Q(x,y)$$

- P(x) is the pre-condition predicate (100 > x > 0) over variables x.
- $S_{prog}(x,y)$ is the predicate representing prog which accepts x as its input, and terminates with output y.
- Q(x, y) is the post-condition predicate (y >= x + 1) over variables x, y.

Assertion verification as satisfiability checking. The assertion holds if the predicate formula $\psi(P\{\text{foo}\}Q)$ is satisfiable (SAT).

• ψ is satisfiable if a program prog is correct for all valid inputs.

$$\forall x \ \forall y \ P(x) \land S_{prog}(x,y) \rightarrow Q(x,y)$$

- P(x) is the pre-condition predicate (100 > x > 0) over variables x.
- $S_{prog}(x, y)$ is the predicate representing prog which accepts x as its input, and terminates with output y.
- Q(x, y) is the post-condition predicate (y >= x + 1) over variables x, y.
- How to prove correctness for all inputs x? Search for counterexample x where ψ does not hold, that is

$$\exists x \exists y \ \neg (P(x) \land S_{prog}(x, y)) \rightarrow Q(x, y))$$

$$\Rightarrow \exists x \exists y \ P(x) \land S_{prog}(x, y) \land \neg Q(x, y)$$
 (simplification)

Note that P(x) is always true if a program has no pre-condition.

Logic formula simplification: https://en.wikipedia.org/wiki/Logical_equivalence

Checking whether the logical formula ψ is satisfiable by an SMT solver.

```
\begin{array}{lll} \operatorname{assume}(100 > x > 0); \\ \operatorname{foo}(x) \{ & & \operatorname{if}(x > 10) \; \{ & & \operatorname{translate} \\ & & y = x + 1; & \Longrightarrow \; \frac{\exists x \; \exists y \; P(x) \land S_{prog}(x,y)) \land \neg Q(x,y)}{\operatorname{logical formula} \; \psi} & \Longrightarrow & \operatorname{SMT} \\ & & & \operatorname{solver} \\ & & & y = 10; \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & \\ & & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & &
```

Checking whether the logical formula ψ is satisfiable by an SMT solver.

```
\begin{array}{lll} \operatorname{assume}(100 > x > 0); \\ \operatorname{foo}(x) \{ & & \operatorname{if}(x > 10) \ \{ & & \operatorname{translate} \\ & & y = x + 1; \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & &
```

SMT: https://en.wikipedia.org/wiki/Satisfiability_modulo_theories

Translating Code into Logical Formulas

- Logical formulas
 - The formulas of predicate logic are constructed from propositional, predicate and object variables by using logical connectives and quantifiers (This class)
- Translation
 - Translating SVFStmts of **each program path** (from Assignment-2) into a logical formula ψ , and then check the satisfiablity for each path.
 - $\forall path \in prog$ $checking(\psi(path))$ $\psi(path_1)$: $\exists x \ P(x) \land ((x > 10) \land (y \equiv x + 1)) \land \neg Q(x, y)$ (if branch of foo) $\psi(path_2)$: $\exists x \ P(x) \land ((x \le 10) \land (y \equiv 10)) \land \neg Q(x, y)$ (else branch of foo)

Translating Code into Logical Formulas

- Logical formulas
 - The formulas of predicate logic are constructed from propositional, predicate and object variables by using logical connectives and quantifiers (This class)
- Translation
 - Translating SVFStmts of **each program path** (from Assignment-2) into a logical formula ψ , and then check the satisfiablity for each path.
 - $\forall path \in prog \quad checking(\psi(path))$ $\psi(path_1):\exists x(100>x>0) \land ((x>10) \land (y\equiv x+1)) \land \neg (y\geq x+1) \quad (\text{if branch})$ $\psi(path_2):\exists x(100>x>0) \land ((x\leq 10) \land (y\equiv 10)) \land \neg (y\geq x+1) \quad (\text{else branch})$
 - $\psi(path_2)$: has a counterexample x = 10!!

Translating Code into Logical Formulas

- Logical formulas
 - The formulas of predicate logic are constructed from propositional, predicate and object variables by using logical connectives and quantifiers (This class)
- Translation
 - Translating SVFStmts of **each program path** (from Assignment-2) into a logical formula ψ , and then check the satisfiablity for each path.
 - $\forall path \in prog \quad checking(\psi(path))$ $\psi(path_1):\exists x (100 > x > 0) \land ((x > 10) \land (y \equiv x + 1)) \land \neg (y \ge x + 1) \quad (\text{if branch})$ $\psi(path_2):\exists x (100 > x > 0) \land ((x \le 10) \land (y \equiv 10)) \land \neg (y \ge x + 1) \quad (\text{else branch})$
 - $\psi(path_2)$: has a counterexample x = 10!!
 - Manual translation of C statements to logic expressions via Z3 theorem prover APIs (Z3Mgr.h/cpp) (Lab-Exercise-2)
 - Automatic translation of SVFIR to logic expressions during control-flow reachability analysis (Assignment-2)

Proving each $\psi(\textit{path})$ formula

Exhaustively by not finding counterexamples via mathematical proofs.

- Direct Proof
- Proof by contradiction
- Proof by induction
- Proof by construction
- . . .

Proving each $\psi(path)$ formula

Exhaustively by not finding counterexamples via mathematical proofs.

- Direct Proof
- Proof by contradiction
- Proof by induction
- Proof by construction
- . . .

Manual proof is impractical for software verification!

- Too many variables and logical relations between them (state exploration)
- Too many assertions as specifications.

This subject **does not** focus on formal mathematical proof, but rather the **application** of **automated theorem prover** tools

- Translating code into logical formulas
- Feeding the logical formulas into a theorem prover to check the satisfiability.

Theorem Prover Tools

- Interactive theorem provers (proof assistant)
 - Formal proofs by human-machine collaboration via expressive specification language and may not work directly on source code.
 - For example, ACL2, Coq, Isabelle and HOL provers
- Automated theorem provers
 - Proof automation (but less expressive than interactive provers) and can work on real-world source code.
 - For example, Z3 and CVC

Theorem prover tools: https://en.wikipedia.org/wiki/Theorem_prover

Automated Theorem Provers

A prover/solver checks if a formula $\psi(P\{foo\}Q)$ is satisfiable (SAT).

- If yes, the solver returns a **model** m, a valuation of x, y, z of foo that satisfies ψ (i.e., m makes ψ true).
- Otherwise, the solver returns unsatisfiable (UNSAT)

SAT vs. SMT solvers

- SAT solvers accept propositional logic (Boolean) formulas, typically in the conjunctive normal form (CNF).
- SMT (satisfiability modulo theories) solvers generalize the Boolean satisfiability problem (SAT), and accept more expressive predicate logic formulas, i.e., propositional logic with predicates and quantification.
 - Z3 Automated Theorem Prover, a cross-platform satisfiability modulo theories (SMT) solver developed by Microsoft (This course).

Z3: https://github.com/Z3Prover/z3/wiki#background

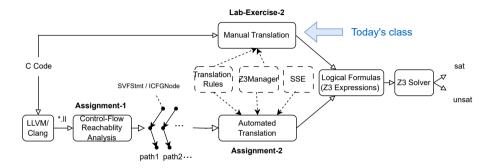
Code to Logic Expressions with Z3 Theorem Prover

(Week 5)

Yulei Sui

School of Computer Science and Engineering University of New South Wales, Australia

Today's class



- We will learn how to manually translate C source code into logical formulas (Z3 constraints/expressions).
- We introduce Z3 solver, Z3 constraint format Z3 manager APIs.
- Then, we will demonstrate examples for manual translation from code to Z3 constraints.

Z3 Theorem Prover

- Z3 is a Satisfiability Modulo Theories (SMT) solver from Microsoft Research¹.
- Targeted at solving problems in software verification and software analysis.
- Main applications are static checking, test case generation, and more ...









Hardware verification Software analysis/testing

Architecture

Modeling







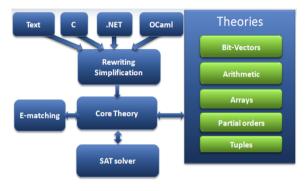


Geometrical solving

Biological analysis

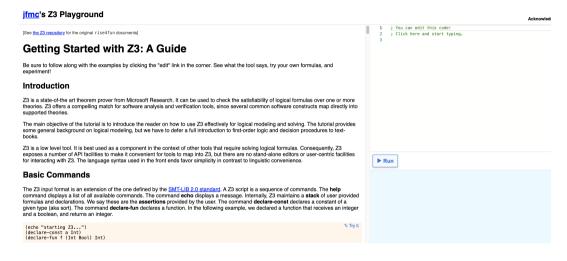
Hvbrid system analysis

Z3 Framework²



- Z3 is an effective tool to solve logical formulas (Z3 constraints).
- https://github.com/Z3Prover/z3.
- Its SMT solver supports theories such as fixed-size bit-vectors, arithmetic, extensional arrays, datatypes, uninterpreted functions, and quantifiers.
- Z3 has official APIs for C, C++, Python, .NET, etc.
- Z3 solver can find one of the feasible solutions in a set of constraints.

Z3 Playground (https://jfmc.github.io/z3-play)



More Z3 Learning Materials

- Z3 GitHub repository https://github.com/z3prover/z3
- Z3 tutorials https://github.com/philzook58/z3_tutorial
- Z3 slides https://github.com/Z3Prover/z3/wiki/Slides
- Programming Z3 http://theory.stanford.edu/~nikolaj/programmingz3. html#sec-logical-interface

Z3 Solver and Z3 Formulas

Z3 solver accepts a first-order (predicate) logical formula ψ , and outputs one of the following results.

- sat if ψ is satisfiable
- unsat if there is a counterexample which make ψ unsatisfiable
- unknown if ψ is too complex and can not be solved within a time frame.

Z3 Solver and Z3 Formulas

Z3 solver accepts a first-order (predicate) logical formula ψ , and outputs one of the following results.

- sat if ψ is satisfiable
- ullet unsat if there is a counterexample which make ψ unsatisfiable
- unknown if ψ is too complex and can not be solved within a time frame.

You play around and check the satisfiability of your Z3 constraints/formulas here:

```
https://jfmc.github.io/z3-play Or
https://compsys-tools.ens-lyon.fr/z3/index.php
```

Z3's Logical Formula (Constants, Check-Sat and Evaluation)

The Z3 input format (formula format) is an extension of the SMT-LIB 2.0 standard³. A Z3 formula expression (z3::expr) has the following keywords:

- echo displays a message
- declare-const declares a constant of a given type (a.k.a sort)
- declare-fun declares a function
- assert adds a formula into the Z3 internal stack
- check-sat determines whether the current formulas on the Z3 stack are satisfiable or not
- get-model is used to retrieve an interpretation (one solution) that makes all formulas on the Z3 internal stack true
- eval evaluates a variable/expression produced by a model when the formulas is satisfiable.

Constants, Check-Sat and Evaluation (Example)

$$\psi : (x > 10) \land (y \equiv x + 1)$$

How to represent this formula in Z3 and feed it into Z3's solver?

Constants, Check-Sat and Evaluation (Example)

$$\psi: (\mathtt{x} > \mathtt{10}) \ \land \ (\mathtt{y} \equiv \mathtt{x} + \mathtt{1})$$

How to represent this formula in Z3 and feed it into Z3's solver?

```
(echo "starting Z3...")
(declare-const x Int) /// Declare an Int type variable "x"
(declare-const y Int) /// Declare an Int type variable "y"
(declare-const y Int) /// Add the first part (x>10) of the conjunction into the solver
(assert (> x 10)) /// Add the second part (y==x+1) of the conjunction
(check-sat) /// Check whether added formulas are satisfiable.
(eval x) /// Evaluate the value of x when the formula is satisfiable
(eval y) /// Evaluate the value of y when the formula is satisfiable
```

Constants, Check-Sat and Evaluation (Example)

$$\psi: (\mathtt{x} > \mathtt{10}) \ \land \ (\mathtt{y} \equiv \mathtt{x} + \mathtt{1})$$

How to represent this formula in Z3 and feed it into Z3's solver?

```
(echo "starting Z3...")
(declare-const x Int) /// Declare an Int type variable "x"
(declare-const y Int) /// Declare an Int type variable "y"
(desert (> x 10)) /// Add the first part (x>10) of the conjunction into the solver
(assert (= y (+ x 1))) /// Add the second part (y==x+1) of the conjunction
(check-sat) /// Check whether added formulas are satisfiable.
(eval x) /// Evaluate the value of x when the formula is satisfiable
(eval y) /// Evaluate the value of y when the formula is satisfiable
```

Outputs of Z3's solver:

```
starting Z3...
sat /// (check-sat) result
11 /// the value of x as one satisfiable solution
4 12 /// the value of y as one satisfiable solution
```

Z3's Logical Formula (Uninterpreted Function)

The basic building blocks of SMT formulas are constants and uninterpreted functions.

- An uninterpreted function has no other property (no priori interpretation)
 than its signature (i.e., function name and arguments).
- An uninterpreted functions in first-order logic have no side-effects (e.g., can not change argument values and never return different values for the same input)
- Constants in Z3 can also be seen as functions that take no arguments.
- The details and characteristics of uninterpreted functions are **ignored**. This can **generalize** and **simplify** theorems and proofs.

```
1 (declare-fun f (Int) Int) /// Function f accepts an Int argument and returns a Int
2 (assert (= (f 10) 1)) /// f(10) = 1
3 (check-sat)
```

```
(declare-fun f (Int) Int) /// Function f accepts an Int argument and returns a Int
(assert (= (f 10) 1)) /// f(10) = 1
(check-sat)
```

Outputs of Z3's solver:

```
1 sat
```

The solver returns sat, because f is an uninterpreted function (i.e., all that is known about f is its signature), so it is possible that f(10) = 1.

```
1 (declare-fun f (Int) Int) /// Function f accepts an Int argument and returns a Int
2 (assert (= (f 10) 1)) /// f(10) = 1
3 (check-sat)
```

Outputs of Z3's solver:

```
1 sat
```

The solver returns sat, because f is an uninterpreted function (i.e., all that is known about f is its signature), so it is possible that f(10) = 1.

```
1 (declare-fun f (Int) Int) /// Function f accepts an Int argument and returns a Int
2 (assert (= (f 10) 1)) /// f(10) = 1
3 (assert (= (f 10) 2)) /// f(10) = 2
4 (check-sat)
```

```
(declare-fun f (Int) Int) /// Function f accepts an Int argument and returns a Int
(assert (= (f 10) 1)) /// f(10) = 1
(check-sat)
```

Outputs of Z3's solver:

```
1 sat
```

The solver returns sat, because f is an uninterpreted function (i.e., all that is known about f is its signature), so it is possible that f(10) = 1.

```
1 (declare-fun f (Int) Int) /// Function f accepts an Int argument and returns a Int
2 (assert (= (f 10) 1)) /// f(10) = 1
3 (assert (= (f 10) 2)) /// f(10) = 2
4 (check-sat)
```

Outputs of Z3's solver:

```
1 unsat
```

The solver returns unsat, because f, as an uninterpreted function, can never return different values for the same input.

$$\psi: \mathtt{f}(\mathtt{x}) \equiv \mathtt{f}(\mathtt{y}) \, \wedge \, \mathtt{x}! = \mathtt{y}$$

```
(declare-const x Int)
(declare-const y Int)
(declare-fun f (Int) Int) /// Function f accepts an Int argument and returns a Int
(assert (= (f x) (f y)))
(assert (not (= x y)))
(check-sat)
```

$$\psi: \mathtt{f}(\mathtt{x}) \equiv \mathtt{f}(\mathtt{y}) \, \wedge \, \mathtt{x}! = \mathtt{y}$$

```
1 (declare-const x Int)
2 (declare-const y Int)
3 (declare-fun f (Int) Int) /// Function f accepts an Int argument and returns a Int
4 (assert (= (f x) (f y)))
5 (assert (not (= x y)))
6 (check-sat)
```

Outputs of Z3's solver:

```
1 sat
```

An uninterpreted function can have different inputs and return the same output. For example, f can always return 1 regardless the value of the input argument.

Constants as Uninterpreted Function (Example)

$$\psi: (\mathtt{x} > \mathtt{10}) \ \land \ (\mathtt{y} \equiv \mathtt{x} + \mathtt{1})$$

```
(declare-fun x () Int) /// "x" and "y" as an uninterpreted functions
(declare-fun y () Int) /// Accepts no argument and return an Int
(assert (> x 10))
(assert (= y (+ x 1)))
(check-sat)
(get-model)
```

Outputs of Z3's solver:

(declare-const x Int) can be seen as the syntax sugar for (declare-fun x () Int).

Z3's Logical Formula (Arithmetic)

- Z3 supports majority of commonly used arithmetic operators, such as +, -, *,
 /, <<, >>, <, >, &, | (The ones listed in SVFIR)
- Types of any two operands should be the same otherwise a type conversion is needed.
- Never mix types in arithmetic, and always be explicit.

```
(declare-const a Int)
(declare-const b Float32)
(assert (= a (+ b 1)))
(check-sat)
```

Outputs of Z3's solver:

```
Error: (error "line 3 column 19: Sort mismatch at argument #1 for function (declare-fun + (Int Int) Int) supplied sort is (_ FloatingPoint 8 24)")
```

Z3's Logical Formula (if-then-else Expression)

- ite(b, x, y) represents a conditional expression, where b is the condition, ite returns x if b is evaluated true, otherwise y is returned
- Used for comparison or branches

```
1 (ite (and (= x!1 11) (= x!2 false)) 21 0)
```

The above Z3 formula evaluates (returns) 21 when x!1 is equal to 11, and x!2 is equal to false. Otherwise, it returns 0.

Z3's Logical Formula (Arrays)

Formulating a program of a mathematical theory of computation McCarthy proposed a basic theory of arrays as characterized by the **select-store** axioms.

- (select a i): returns the value stored at position i of the array a;
- (store a i v): returns a new array identical to a, but on position i it contains the value v.
- Z3 assumes that arrays are extensional over select. Z3 also enforces that if two arrays agree on all reads, then the arrays are equal.

Z3's Logical Formula (Arrays)

Formulating a program of a mathematical theory of computation McCarthy proposed a basic theory of arrays as characterized by the **select-store** axioms.

- (select a i): returns the value stored at position i of the array a;
- (store a i v): returns a new array identical to a, but on position i it contains the value v.
- Z3 assumes that arrays are extensional over select. Z3 also enforces that if two arrays agree on all reads, then the arrays are equal.

The following formulas store y to the x-th position of array a and then load the value at a's x-th position to z

```
(declare-const x Int)
(declare-const y Bool)
(declare-const z Bool)
(declare-const a (Array Int Bool)) /// an array of Bools with Int as the indices
(assert (= (store a x y) a)) /// a[x] == y
(assert (= (select a x) z)) /// z == a[x]
```

Z3's Logical Formula (Scopes)

Z3 maintains a global stack of declarations and assertions via **push** and **pop**

- **push**: creates a new scope by saving the current stack size.
- pop: removes any assertion or declaration performed between it and the matching push.

The check-sat command always operates on the current global stack.

Z3's Logical Formula (Scopes)

Z3 maintains a global stack of declarations and assertions via **push** and **pop**

- push: creates a new scope by saving the current stack size.
- pop: removes any assertion or declaration performed between it and the matching push.

The check-sat command always operates on the current global stack.

```
1 (declare-const x Int)
2 (declare-const a (Array Int Int)) /// an array of Ints
3 (push)
4 (assert (= (store a 1 10) a)) /// a[1] == 10
5 (assert (= (select a 1) x)) /// x == a[1]
6 (assert (= x 20)) /// x == 20
7 (check-sat)
8 (pop); remove the three assertions
9 (assert (= x 10)) /// x == 10
10 (check-sat)
```

What is the output of the solver?

Translating Code to Z3 Formulas

We provide a Z3Mgr class (a wrapper class to manipulate Z3 APIs) to generate Z3 formulas or so-called z3::expr.

API	Meanings
<pre>z3::expr getZ3Expr(std::string);</pre>	Create a variable given a string name
<pre>z3::expr getZ3Expr(int);</pre>	Create a variable given an integer
<pre>z3::expr getMemObjAddress(std::string);</pre>	Create a memory object in program
<pre>z3::expr getGepObjAddress(z3::expr, u32_t);</pre>	Create a field object with an offset of an aggregate
<pre>void addToSolver(z3::expr);</pre>	Add a Z3 expression/formula to the solver
<pre>void resetSolver();</pre>	Clean all formulas in the the solver
solver.check();	Check satisfiability of an z3 formula
z3::expr getEvalExpr(z3::expr);	Evaluate an expression based on a model
<pre>void printExprValues();</pre>	Print the values of all expressions in the solver.

More details, refer to

https://github.com/SVF-tools/Teaching-Software-Verification/wiki/SVF-APIs

Translation Rules

expr p = getZ3Expr("p") expr q = getZ3Expr("q") expr r = getZ3Expr("r")		
SVFStmt	C-Like form	Operations
AddrStmt (constant)	p = c	<pre>addToSolver(p == c);</pre>
AddrStmt (mem allocation)	p = alloc	<pre>addToSolver(p == getMemObjAddress("alloc");)</pre>
CopyStmt	p = q	addToSolver(p == q);
LoadStmt	p = *q	addToSolver(p == loadValue(q));
StoreStmt	*p = q	storeValue(p, q);
GepStmt	$\mathtt{p} = \mathtt{\&}(\mathtt{q} o \mathtt{i}) \ \ or \ \mathtt{p} = \mathtt{\&}\mathtt{q}[\mathtt{i}]$	<pre>addToSolver(p == getGepObjAddress(q,i));</pre>
PhiStmt	$\mathtt{r} = \mathtt{phi}(\ell_\mathtt{1} : \mathtt{p}, \ \ell_\mathtt{2} : \mathtt{q})$	<pre>if(executed from l₁) addToSolver(p==r);</pre>
		<pre>if(executed from l₂) addToSolver(q==r);</pre>
		<pre>expr cond = getEvalExpr(p);</pre>
${\tt BranchStmt}$	if (p) 1 ₁ else 1 ₂	if(cond.is_false()) execute l ₂
		<pre>else execute l₁ addToSolver(cond == true);</pre>
UnaryOPStmt	¬р	addToSolver(!p);
BinaryOPStmt	$r = p \otimes q$	$addToSolver(r == p \otimes q);$
CmpStmt	$r = p \odot q$	addToSolver(r == ite(p \odot q, true, false));
CallPE/RetPE	$\mathtt{r} = \mathtt{f}(\ldots,\mathtt{q},\ldots) \mathtt{f}(\ldots,\mathtt{p},\ldots)\{\ldots \ \mathtt{return} \ \mathtt{z}\}$	
CallPE	p = q	<pre>solver.push(); addToSolver(p == q);</pre>
RetPE	p = r	<pre>expr ret = getEvalExpr(r); solver.pop();</pre>
		<pre>addToSolver(p == ret);</pre>

Translating Code to Z3 Formulas (Scalar Example)

The target program code needs to be in **SSA form** (e.g., SVFIR).

- Top-level variables can only be defined once
 - a = 1; a = 2; $\Longrightarrow a1 = 1$; a2 = 2;
- Memory objects can only be modified/read through top-level pointers at StoreStmt and LoadStmt.
 - p = &a; *p = r; The value of a can only be modified/read via dereferencing p.

Translating Code to Z3 Formulas (Scalar Example)

The target program code needs to be in **SSA form** (e.g., SVFIR).

- Top-level variables can only be defined once
 - a = 1; a = 2; $\Longrightarrow a1 = 1$; a2 = 2;
- Memory objects can only be modified/read through top-level pointers at StoreStmt and LoadStmt.

p = &a; *p = r; The value of a can only be modified/read via dereferencing p.

```
// int a:
int main() {
                         expr a = getZ3Expr("a");
                         // int b:
                                                         (declare-fun a () Int)
                         expr b = getZ3Expr("b");
int a:
                                                         (declare-fun b () Int)
int b:
                         // a = 0;
                                                                                          Z3's
                                                         (assert (= a 0))
 a = 0:
                         addToSolver(a == 0);
                                                         (assert (= b (+ a 1)))
                                                                                      SMT solver
b = a + 1:
                         // b = a+1:
                                                         (assert (> b 0))
                         addToSolver(b == (a + 1)):
assert(b>0):
                                                         (check-sat)
                         // assert(b > 0):
                         addToSolver(b > 0):
                         solver.check():
```

C code

Translator

Z3 Formulas

Translating Code to Z3 Formulas (Memory Operation Example)

- Each memory object has a unique ID and allocated with a virtual memory address
- In our modeling, the virtual address starts from 0x7f...... + ID (i.e., 2130706432 + ID in decimal)
- Memory operations will be through store and load values from loc2ValMap, an Z3 array.

```
int main() {
  int* p;
  int x;

p = malloc(..);
  *p = 5;
  x = *p;
  assert(x==5);
}
```

```
// int** p;
expr p = getZ3Expr("p");
// int x:
expr x = getZ3Expr("x");
// p = malloc(..);
expr m = getMemObjAddress("malloc1");
addToSolver(p == m);
// *p = 5:
storeValue(p, getZ3Expr(5));
// x = *p:
addToSolver(x == loadValue(p)):
// assert(x==5);
addToSolver(x == getZ3Expr(5)):
solver.check():
```

C code

Translator

Z3 Formulas

What's next?

- (1) Understand Z3 formula format in the slides
- (2) Understand Z3Mgr class in the GitHub Repository of Software-Security-Analysis
- (3) Finish the Quiz-2 on WebCMS,
- (4) implement a manual translation from code to Z3 formulas using Z3Mgr in Lab-Exercise-2 for code assertion verification.