Parallel Sorting Algorithms (cf. Grama et al.)

Sorting: Overview

- Definitely one of the most important and used topics in computing
- Sorting can be comparison-based or non-comparisonbased
- The fundamental operation of comparison-based sorting is the exchange-comparison (compare-exchange)
- The lower limit of any sequential sorting algorithm for n numbers is Θ(n log n)
- In the parallel computing field, the most we can expect with n processors is O(log n)! In fact it is obtained, but with very large constants

Example: Sorting of n numbers

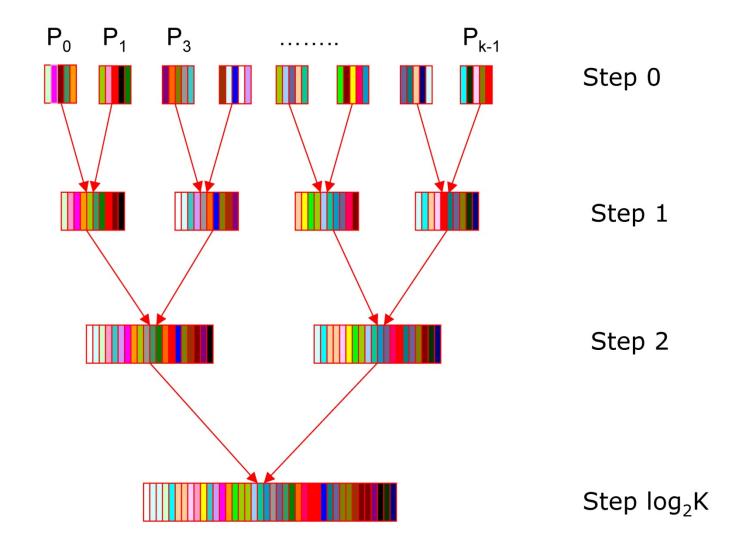
```
Input:
                              sequence of n numbers \langle a_1, a_2, a_3, \dots a_n \rangle
Bubble Sort
                    Output: permutation <a'<sub>1</sub>, a'<sub>2</sub>, a'<sub>3</sub>, ... a'<sub>n</sub>> of the elements so
                              that a'_1 \le a'_2, \le a'_3 \le ... \le a'_n
    /* Bubble sort for integers */
    #define SWAP(a,b) { int t; t=a; a=b; b=t; }
    void SORT( int a[], int n )
    /* Pre-condition: a contains n items to be sorted */
          int i, j;
          /* Make n passes through the array */
          for(i=0;i<n;i++)
               /* From the first element to the end of the unsorted section */
                for (j=1; j<(n-i); j++)
                    /* If adjacent items are out of order, swap them */
                    if( a[j-1] > a[j] ) SWAP(a[j-1],a[j]);
```

Example: Parallel Sorting

Idea!

```
SORT(a[0 : n/2-1])
SORT(a[n/2 : n-1])
MERGE(a[0 : n/2-1], a[n/2 : n-1])
```

Example: Parallel Sorting



Formally

- Let $S = \langle a_1, a_2, ..., a_n \rangle$ be a sequence n elements
- The ordering of S consists in a sequence $S' = \langle a'_1, a'_2, ..., a'_n \rangle$ such that $a'_i \leq a'_j$ for $1 \leq i \leq j \leq n$ and S' is a permutation of S

Compare exchange

 Base of each comparison based algorithm

```
if (A > B) {
  temp = A;
  A = B;
  B = temp;
}
```

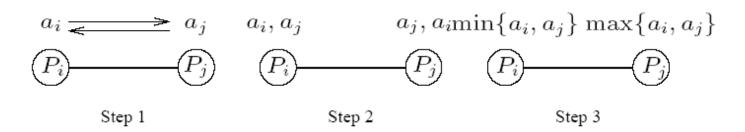
compare-exchange operation

Sorting: Basics

- What is a **ordered parallel sequence? Where** are the input and output lists stored?
- The main assumption is that the input and output lists are **distributed**
- By convention, the sorted list is partitioned with the property that each sub-list is sorted and each element of the list of processor P_i is smaller than those in P_j if i < j
- The previous fact is at the basis of every parallel sorting algorithm!

Sorting: Parallel Compare Exchange Operation

In **sequential sorting** algorithms, the input and any ordered sequences (including the output) are stored in the memory of the **same process**. In the parallel case, the data can, of course, be stored in other processes, making the algorithm further complicated!



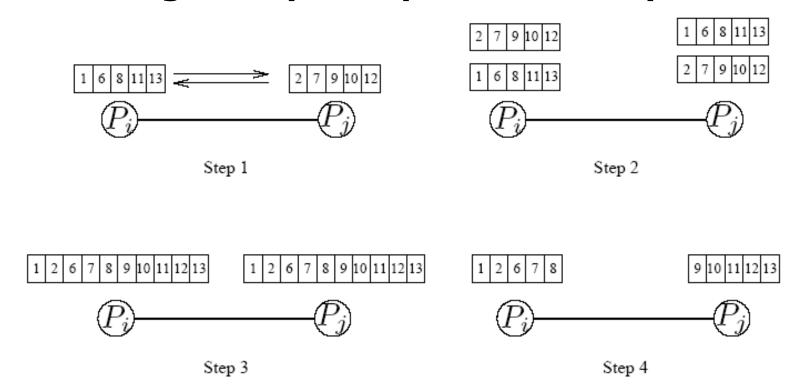
A compare-exchange operation (one element per processor). The processes Pi and Pj send their elements to each other. The process Pi keeps $\min\{a_i,a_j\}$, and Pj keeps $\max\{a_i,a_j\}$

Sorting: Basics

What is the counterpart of a parallel sequence comparison?

- If each processor has an element, the **compare-exchange** operation stores the smallest element in the processor with **smaller id**. This is done in time $t_s + t_w$
- If you have more than one element per processor, this
 operation is called compare split. Suppose that each of
 the two processors have n / p elements.
- After the compare-split operation, the n/p smallest elements are in the processor *Pi* while the greater n / p are in *Pj*, where i <j
- The time for a compare-split operation is $(t_s + t_w n/p)$, assuming that the two "partial" lists were initially ordered

Sorting: Compare Split Parallel Operation



A compare-split operation. Each process sends its block of size n / p to another process. Each process "merges" the block that receives with its own and maintains only the appropriate half. In this example, the process P_i maintains the minor elements and the process P_i maintains the bigger.

Sorting Networks

- They are "comparator" networks, designed for sorting
- A comparator is a device with two inputs x and y and two outputs x 'and y'. For an increasing comparator, we have x '= min {x, y} and y' = max {x, y}; and vice-versa.
- We denote an increasing comparator with ⊕ and decreasing comparator with ⊖
- The "speed" of the network is proportional to its depth

Sorting Networks: Comparators

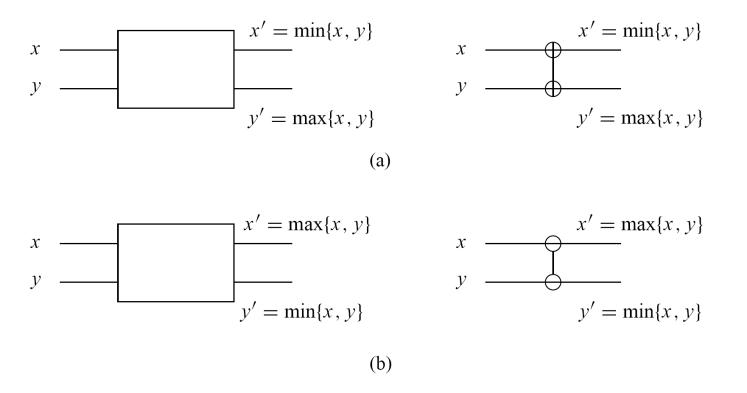


Figure 9.3 A schematic representation of comparators: (a) an increasing comparator, and (b) a decreasing comparator.

Ordering Networks

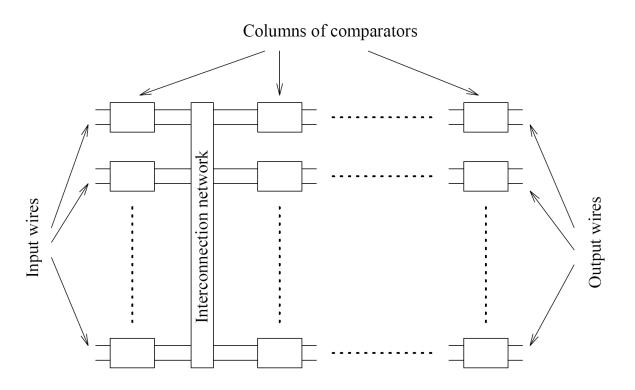


Figure 9.4 A typical sorting network. Every sorting network is made up of a series of columns, and each column contains a number of comparators connected in parallel.

- A **bitonic** sorting network sorts n elements in time $\Theta(\log^2 n)$
- A bitonic sequence has two "tonalities" ascending and descending, or vice versa. Any cyclic rotation of such networks is also considered bitonic
- For example $\langle 1,2,4,7,6,0 \rangle$ is a bitonic sequence, because before it increases and then decreases. $\langle 8,9,2,1,0,4 \rangle$ is another bitonic sequence, because it is a cyclic shift of $\langle 0,4,8,9,2,1 \rangle$

 The heart of the network is the reordering of the bitonic sequence into an ordered sequence

- Let $s = \langle a_0, a_1, ..., a_{n-1} \rangle$ be a bitonic sequence **such that** $a_0 \le a_1 \le \cdots \le a_{n/2-1}$ e $a_{n/2} \ge a_{n/2+1} \ge \cdots \ge a_{n-1}$.
- Let's consider the following sub-sequences of s:

$$\begin{split} s_1 &= \langle \min\{a_0, a_{n/2}\}, \min\{a_1, a_{n/2+1}\}, ..., \min\{a_{n/2-1}, a_{n-1}\} \rangle \\ s_2 &= \langle \max\{a_0, a_{n/2}\}, \max\{a_1, a_{n/2+1}\}, ..., \max\{a_{n/2-1}, a_{n-1}\} \rangle \end{split}$$

- Note that s_1 and s_2 are both bitonic and that each element of s_1 is smaller than each element of s_2 .
- We can re-apply the procedure recursively on s₁ and s₂ to obtain subsequences of size 1, i.e. the ordered sequence (bitonic merge)

Original																
sequence	3	5	8	9	10	12	14	20	95	90	60	40	35	23	18	0
1st Split	3	5	8	9	10	12	14	0	95	90	60	40	35	23	18	20
2nd Split																
3rd Split	3	0	8	5	10	9	14	12	18	20	35	23	60	40	95	90
4th Split	0	3	5	8	9	10	12	14	18	20	23	35	40	60	90	95

Figure 9.5 Merging a 16-element bitonic sequence through a series of log 16 bitonic splits.

The sorting of a bitonic sequence occurs in log n steps!

- We can now build a sorting network to implement the bitonic merge algorithm.
- This network is called bitonic merging network.
- This network contains log n columns. Each column contains n / 2 comparators and performs one step of the bitonic merge.
- Replacing the comparators ⊕ with the ⊖ comparators we get a descending output sequence : This network is called ⊖BM [n]
- ⊕BM[n] is also known as Co-Ex-Low; ⊕BM [n] is also known as Co-Ex-High

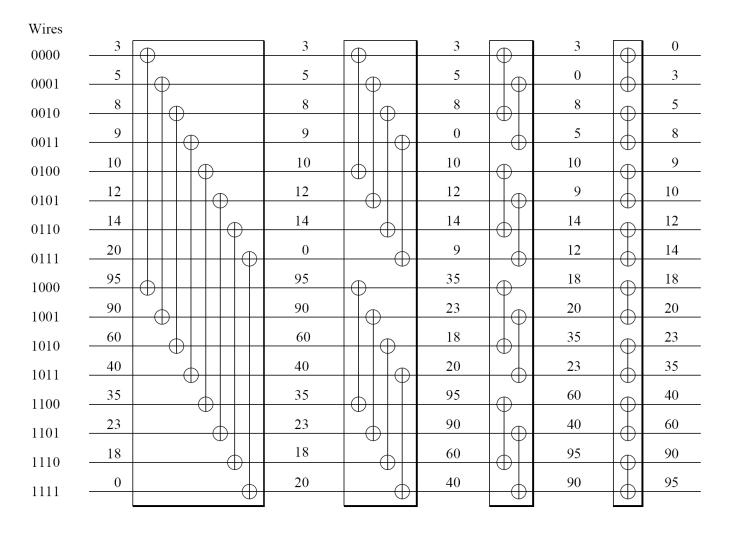


Figure 9.6 A bitonic merging network for n=16. The input wires are numbered $0, 1, \ldots, n-1$, and the binary representation of these numbers is shown. Each column of comparators is drawn separately; the entire figure represents a $\oplus BM[16]$ bitonic merging network. The network takes a bitonic sequence and outputs it in sorted order.

How do we sort a not ordered sequence using a bitonic merge?

- We must first build a single bitonic sequence from the given sequence
- We note that a sequence of length 2 is bitonic. So any unordered sequence of elements can be thought of as a concatenation of bitonic sequences!
- A bitonic sequence of length 4 can be constructed by ordering the first two elements using ⊕BM[2] and the next two with ΘBM [2]
- This process can be repeated to generate more bitonic sequences

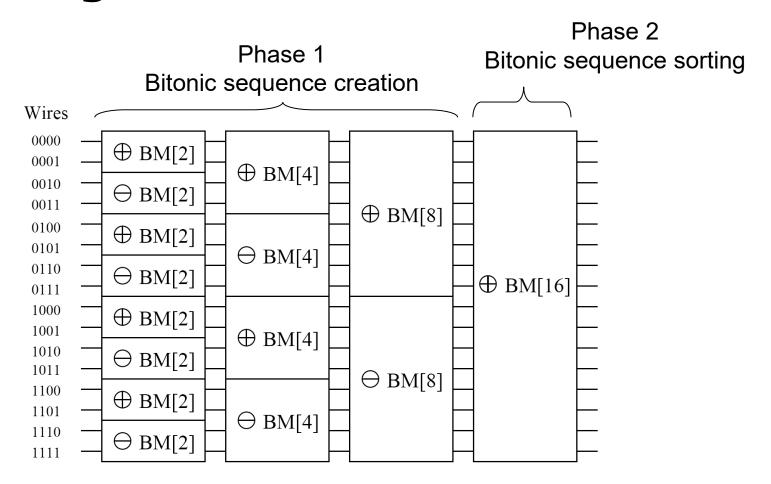


Figure 9.7 A schematic representation of a network that converts an input sequence into a bitonic sequence. In this example, $\oplus BM[k]$ and $\ominus BM[k]$ denote bitonic merging networks of input size k that use \oplus and \ominus comparators, respectively. The last merging network ($\oplus BM[16]$) sorts the input. In this example, n=16.

Sorting Networks: Bitonic Sort (Phase 1)

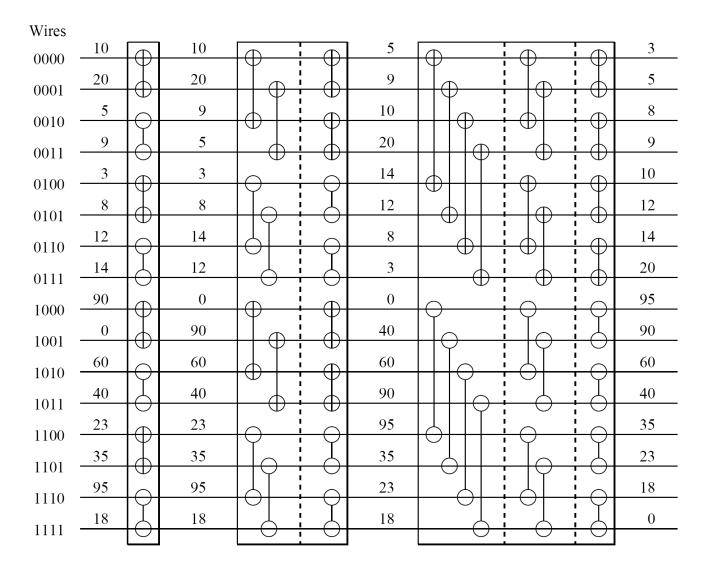


Figure 9.8 The comparator network that transforms an input sequence of 16 unordered numbers into a bitonic sequence. In contrast to Figure 9.6, the columns of comparators in each bitonic merging network are drawn in a single box, separated by a dashed line.

- So, the complete algorithm (GENERAL BITONIC SORT) consists in:
 - Creation of a bitonic sequence starting from a non order one (fig. 9.8) (Phase 1)
 - Application of the bitonic merging network to such sequence (fig. 9.6), with depth log n (Phase 2 Bitonic sort)
- Phase 1 totally orders n/2 elements
- So, resolving the following recurrence relation (d = tree depth)

$$d(n) = d\left(\frac{n}{2}\right) + \log n$$

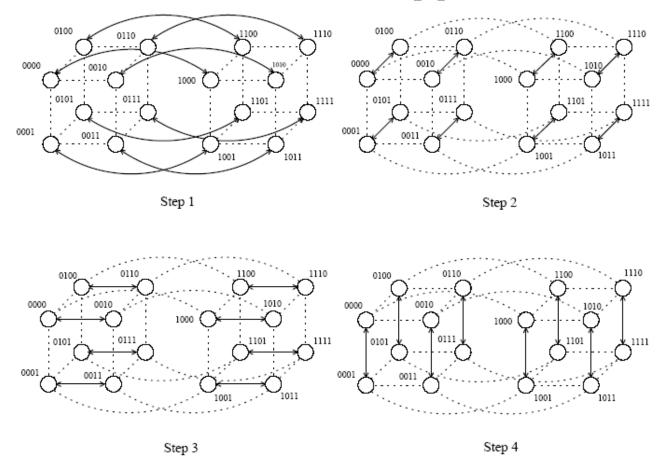
$$d(n) = \sum_{i=1}^{\log n} i = \frac{\log^2 n + \log n}{2} = \Theta(\log^2 n)$$

```
T(n) = log(n) + log(n/2) + log(n/4) + ... + 1 =
= log(n) + [log(n)-1] + [log(n)-2] + [log(n)-3] + .... + 1 =
= log(n)*log(n) - (2 + 3 + .... log(n)) =
= log(n)*log(n) - ([(2+log(n))*log(n)]/2) =
= log(n)*log(n) - \sim 0.5log(n)*log(n) =
= \sim 0.5log(n)*log(n)
```

So,

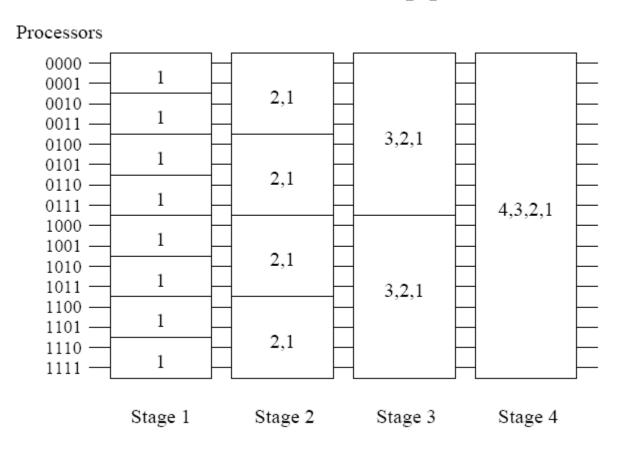
$$T(n) = O(\log(n)*\log(n))$$

- Consider the case in which we have one element for processor
- Note that the compare-exchange operation takes place between two "connections" (therefore, processors) that differ by exactly one bit!
- It's therefore <u>natural</u> to implement a connectionsprocessors direct mapping using a hypercube where, let's recall, two processes are "close" if their binary representations differ by exactly one bit!



Communications during the **last step** of the bitonic sort.

Each connection is mapped to a process of the hypercube; each connection is a compare-exchange between processes



Features of the communications in bitonic sort on a hypercube. During each phase of the algorithm, processors communicate in dimensions shown in figure

```
1. procedure BITONIC_SORT(label, d)
2. begin
3. for i := 0 to d-1 do
4. for j := i downto 0 do
5. if (i+1)^{st} bit of label \neq j^{th} bit of label then
6. comp\_exchange\_max(j);
7. else
8. comp\_exchange\_min(j);
9. end BITONIC_SORT
```

Parallel formulation of bitonic sort on a hypercube with $n = 2^d$ processes

- During each phase of the algorithm, each process executes a compare-exchange operation (single communication to the "direct" neighbour)
- Since each step takes $\Theta(1)$, the parallel time is:

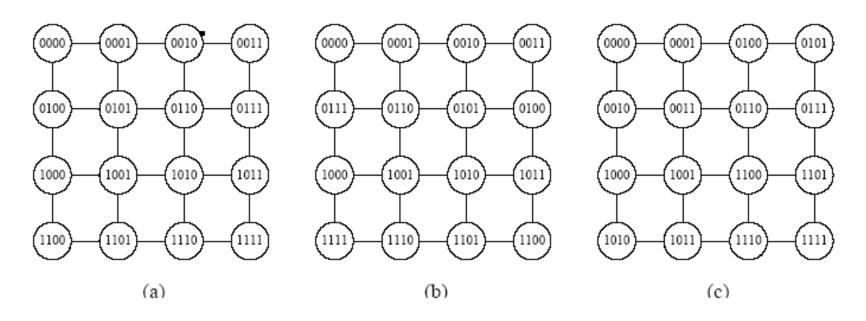
$$T_p = \Theta(\log^2 n)$$

 The algorithm is cost optimal with respect to the sequential version, but not with respect to the best parallel sorting algorithm

Bitonic Sort for Grids

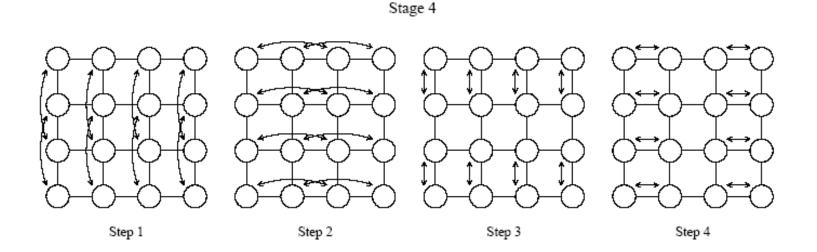
- The connectivity of a grid / mesh is lower than that of a hypercube, so we expect some overhead ...
- A shuffled row-major mapping is usually considered on processes ...

Mapping Bitonic Sort to Meshes



Different ways of mapping the input wires of the bitonic sorting network to a mesh of processes: (a) row-major mapping, (b) row-major snakelike mapping, and (c) row-major shuffled mapping

Mapping Bitonic Sort to Meshes



The <u>last stage</u> of the bitonic sort algorithm for n = 16 on a mesh, using the row-major shuffled mapping. During each step, process pairs compare-exchange their elements. Arrows indicate the pairs of processes that perform compare-exchange operations.

Block of Elements Per Processor

- Each process is assigned a block of n/p elements.
- The first step is a local sort of the local block (v. applicazioni seguenti)
- Each subsequent compare-exchange operation is replaced by a compare-split operation
- We can effectively view the bitonic network as having $(1 + \log p)(\log p)/2$ steps.

Applications/ Appendix

General Bitonic Sort: Phase 1 (Example)

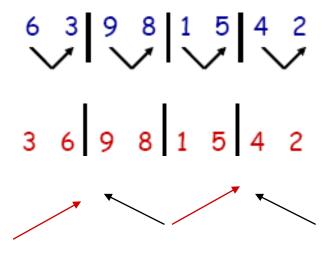
To transform a vector of 8 elements in a bitonic one, partition it in 4 pairs, or in 4 bitonic sub-sequences of length = 2 and order them so you have 2 bitonic subsequences of length = 4

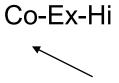
Initial vector:

4 subsequences of length 2

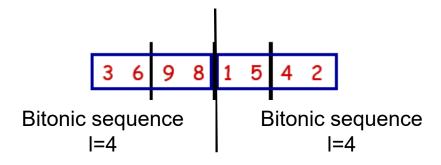
General Bitonic Sort: Phase 1 (Example)



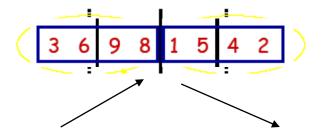




We obtain:



General Bitonic Sort: Phase 1 (Example)



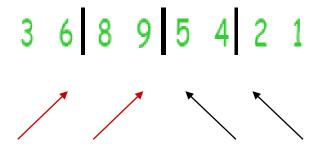
We order each of the two subvectors (with the bitonic Sort -1 phase) in order to have a single bitonic vector

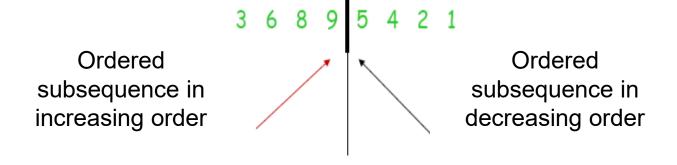


The first in ascending order the second in descending order

General Bitonic Sort: Phase 1 (Example)

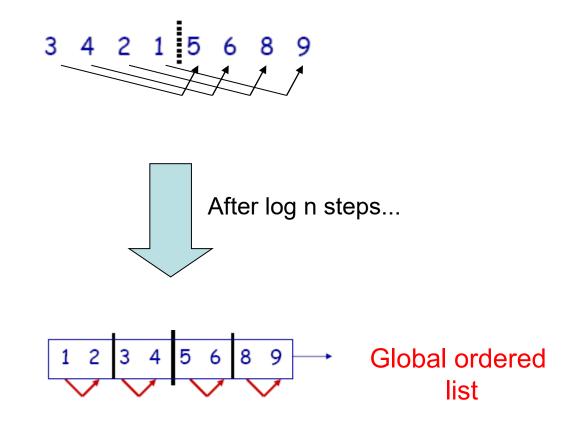
We have:





General Bitonic Sort: Phase 2 (Example)

 Last step: Sorting a bitonic sequence of length 8 obtained before (with bitonic SORT):



Sorting in Parallel: General Example

 How can we implement a parallel sorting algorithm, starting from any sequential algorithm?

Example p=2

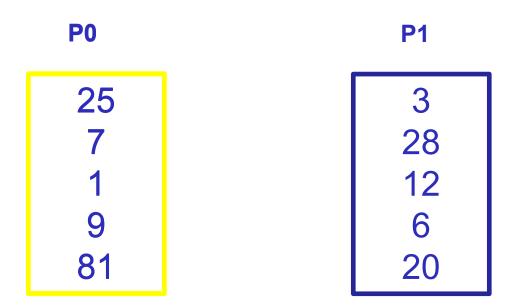
Let's distribute the vector

General Strategy

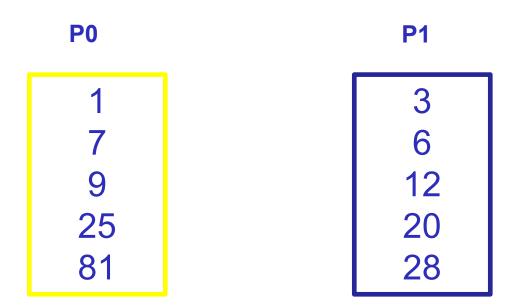
- Phase 1 (Local Sort)
 - Each processor sorts its vector with a standard sorting algorithm (e.g., quicksort)

- Phase 2 (Merging)
 - The (sub)vectors are oppurtenly combined to obtina a ordered global vector

Phase 1 (Local sort)



Each process sorts in increasing manner its vector



Last phase, local sort

Phase 2 (Merging)

The compare-exchange operation is applied to the distriued sequence between the 2 processes



Low process



High process

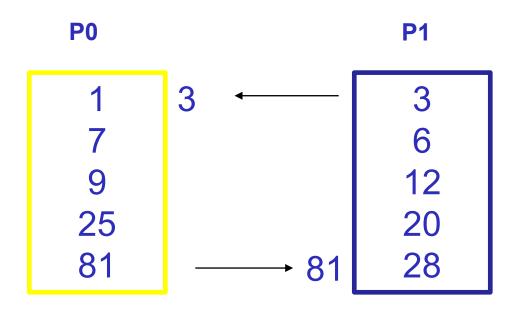
Process P0 contains the smallest elements

Process P1 contains the biggest elements

Co-Ex-Lo

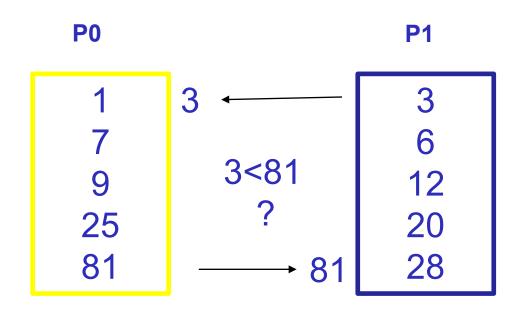
Co-Ex-Hi

I PASSO: step 1.0 → COMUNICAZIONE - Compare Split?



Step 1.0: Po sends to P1 its max= 81, while P1 sends to Po its min=3

I PASSO : step 1.1 → CONFRONTO

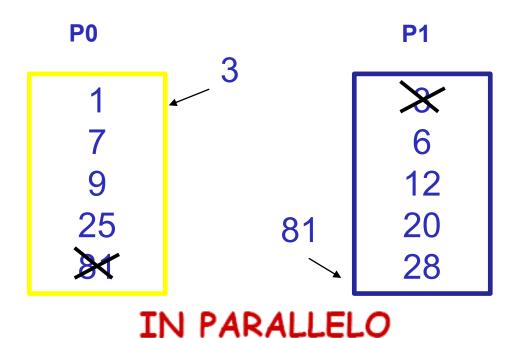


IN PARALLELO

Step 1.1: Po compares 3 with 81, if smaller it inserts it

P1 compares 81 with 3, if greater it inserts it

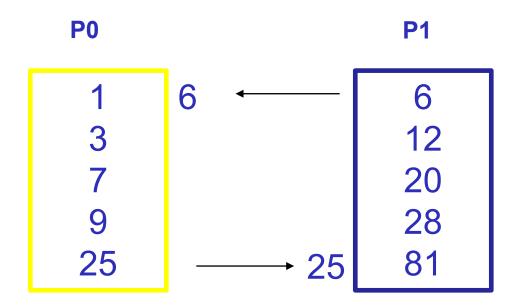
I PASSO: step 1.2 → INSERIMENTO



Step 1.2: Each process

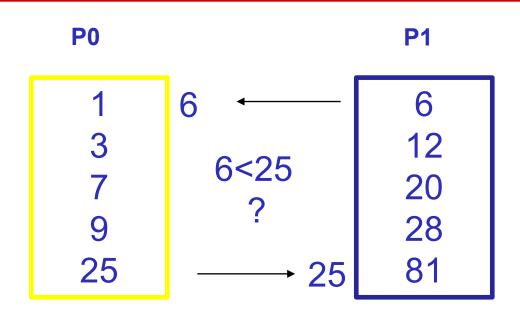
- 1)Inserts the received number
- 2)Erases the sent number
- 3)Reorders vector

II PASSO: step 2.0 → COMUNICAZIONE



Step 1.0: P_0 invia a P_1 il proprio max = 25, mentre P_1 invia a P_0 il proprio min = 6

II PASSO: step 2.1 → CONFRONTO

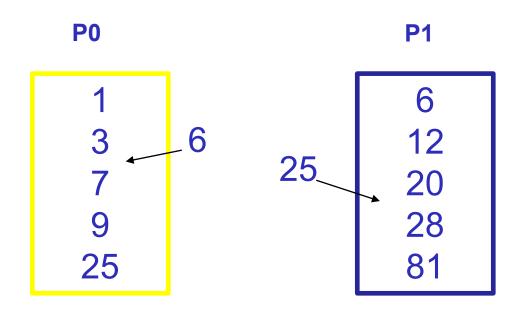


IN PARALLELO

Step 1.1: Po confronta 6 con 25: se è minore lo inserisce;

 P_1 confronta 25 con 6: se è maggiore lo inserisce

II PASSO: step 2.2 → INSERIMENTO



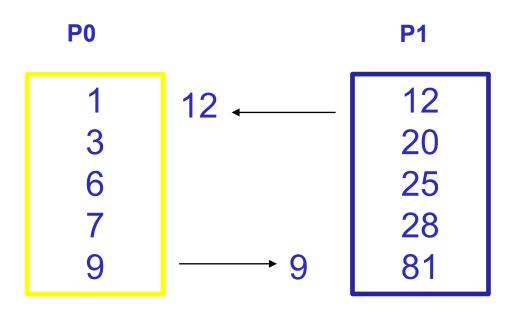
IN PARALLELO

Step 1.2: Ciascun processore

- 1) inserisce il numero ricevuto
- 2) Elimina il numero inviato
- 3) riordina il vettore

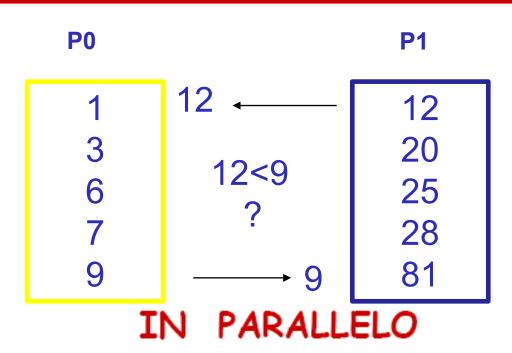
III PASSO: step 3.0 → COMUNICAZIONE

FASE 2 (MERGING)



Step 1.0: P_0 invia a P_1 il proprio max = 9, mentre P_1 invia a P_0 il proprio min = 12

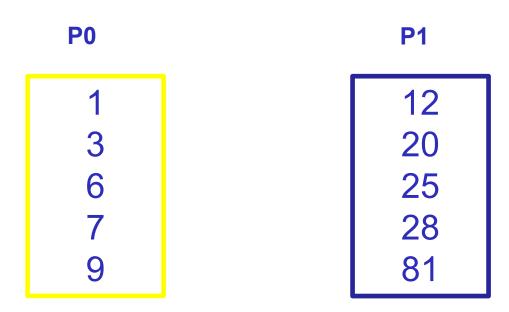
III PASSO: step 3.1 → CONFRONTO



Step 1.1: P₀ confronta il numero 12 con 9: non è minore e termina l'esecuzione.

P₁ confronta il numero 9 con 12: non è maggiore e termina l'esecuzione

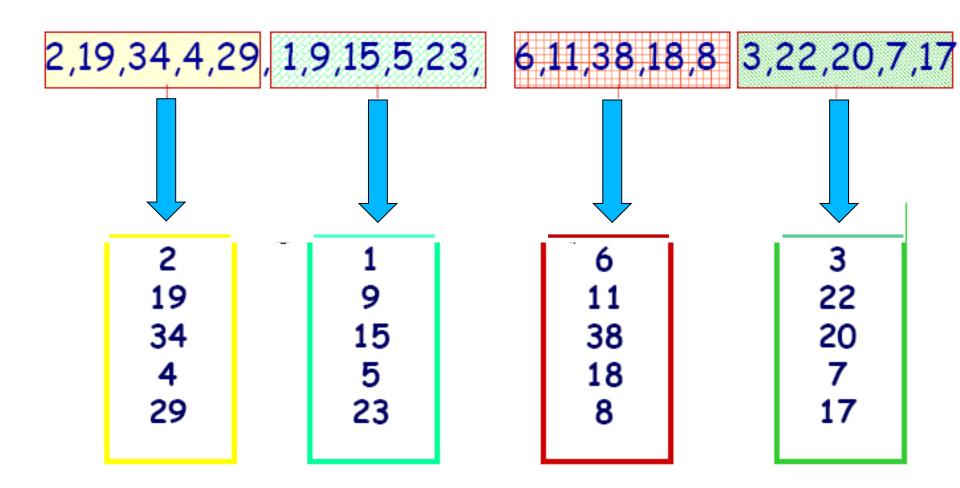
PGBS:Ordinamento parallelo di un vettore



I 5 numeri più **piccoli** sono in **P**₀ mentre i 5 più **grandi** sono in **P**₁

Le due sottoliste iniziali sono globalmente ordinate secondo l'ordine crescente dell'identificativo dei processi

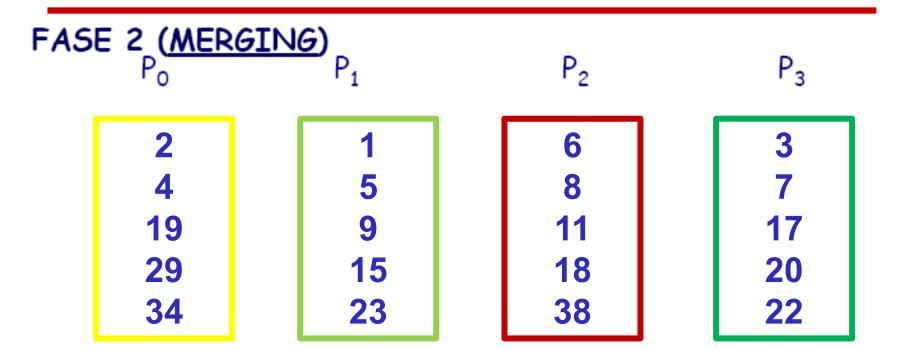
Passo 1: distribuzione dati



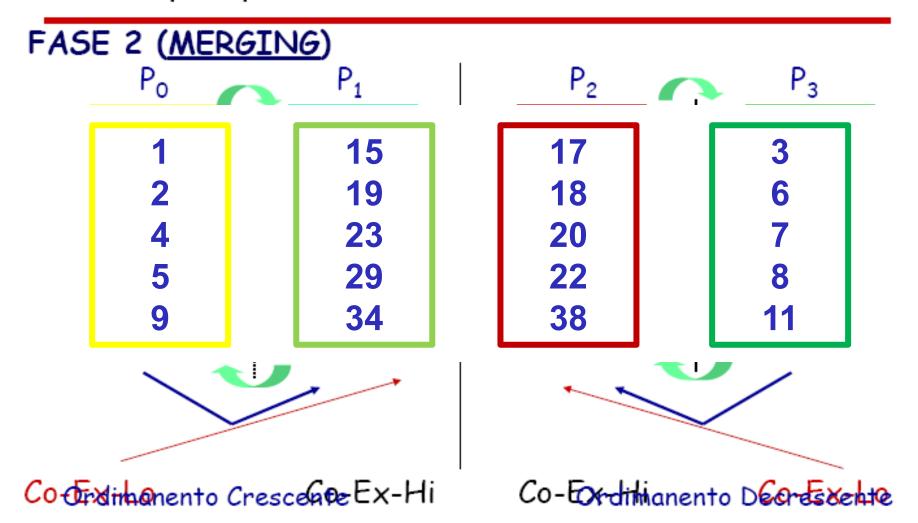
FASE 1 (SORTING LOCALE)

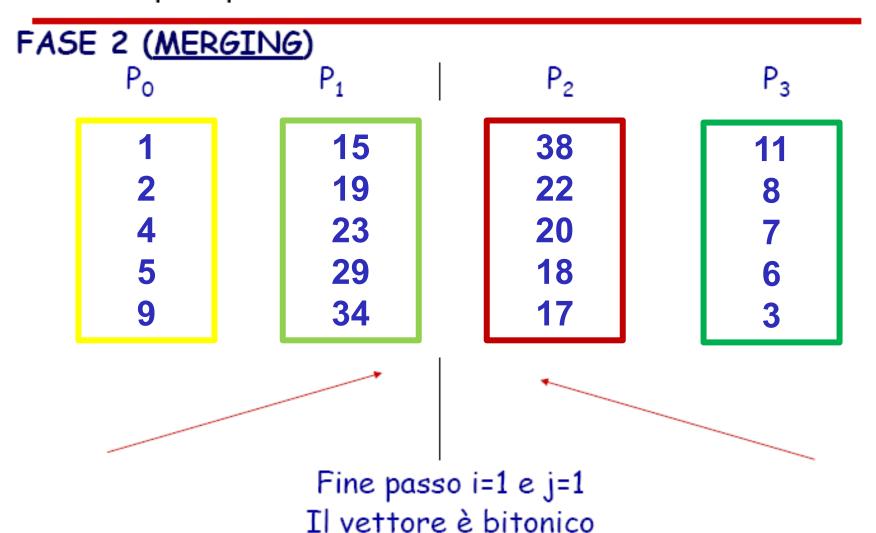
P_0	P_1	P ₂	P ₃
2	1	6	3
4	5	8	7
19	9	11	17
29	15	18	20
34	23	38	22

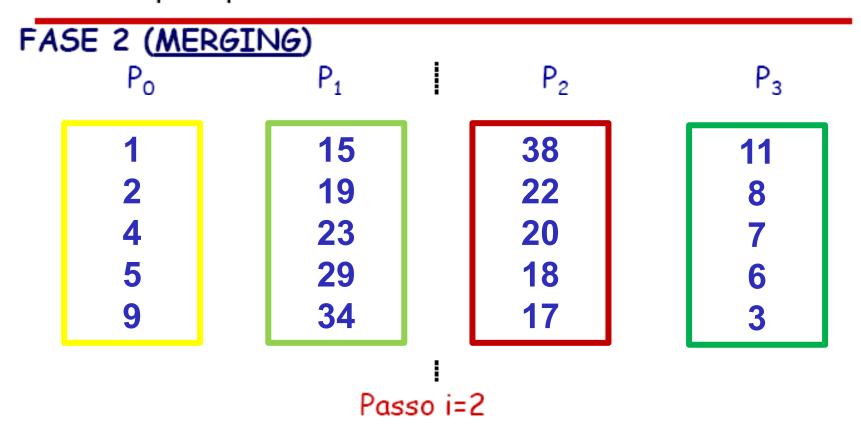
Ogni processore ordina in modo crescente la propria sottolista



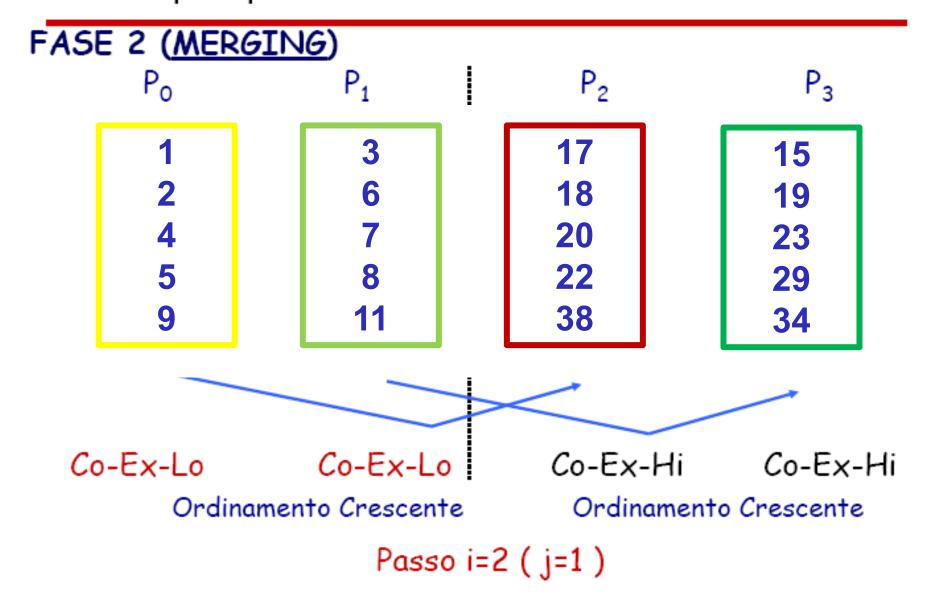
Si applica l'algoritmo GBS a tutto il vettore

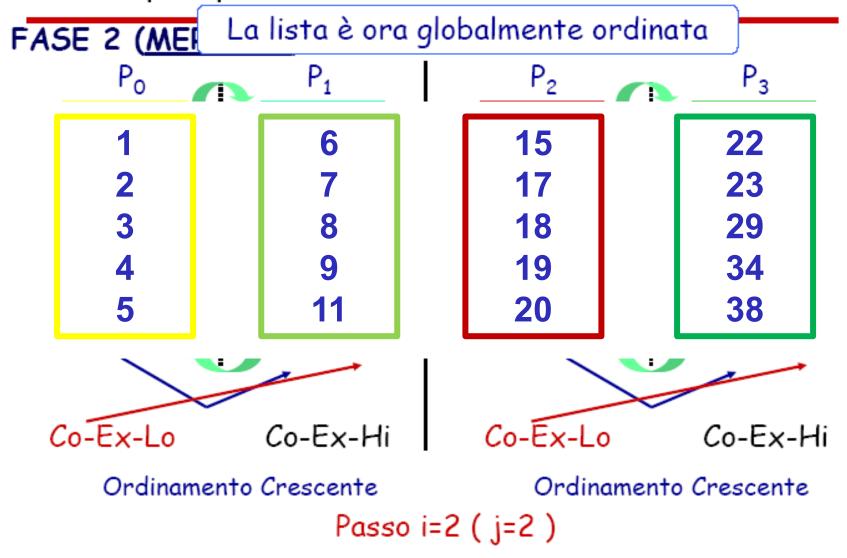


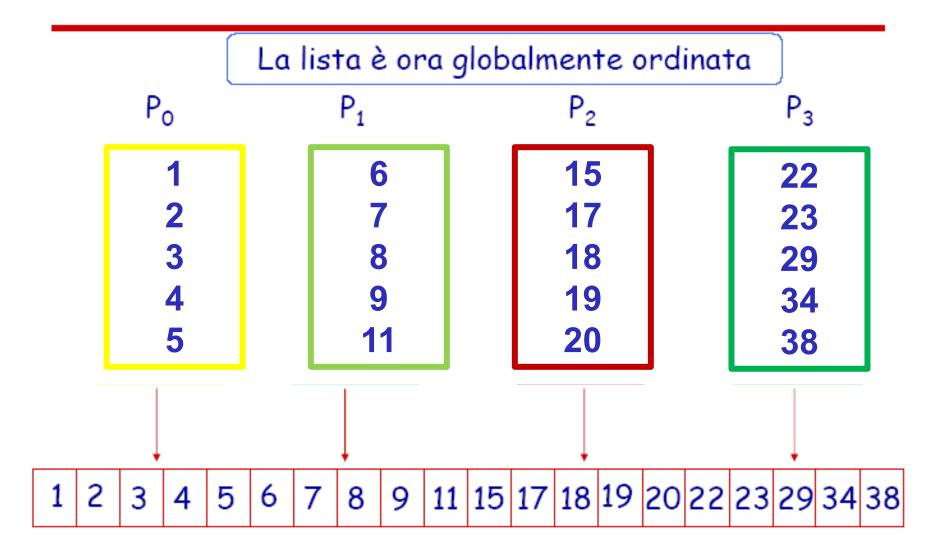




ordiniamo globalmente il vettore







More Appendix

Bubble Sort

First, largest number moved to the end of list by a series of compares and exchanges, starting at the opposite end.

Actions repeated with subsequent numbers, stopping just before the previously positioned number.

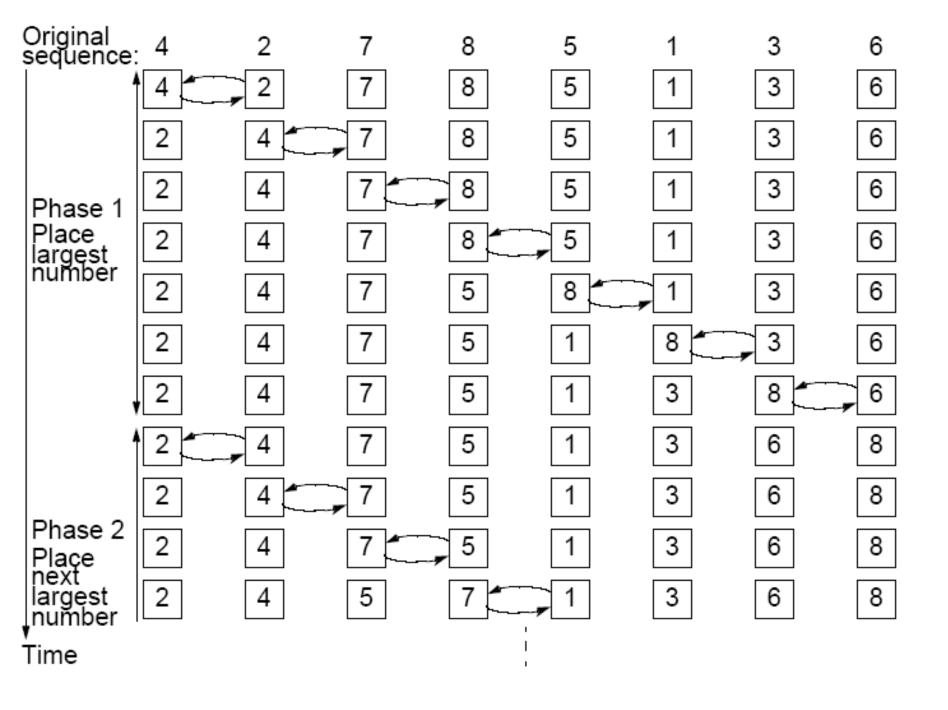
In this way, the larger numbers move ("bubble") toward one end,

Bubble Sort and its Variants

The sequential bubble sort algorithm compares and exchanges adjacent elements in the sequence to be sorted:

```
1. procedure BUBBLE_SORT(n)
2. begin
3. for i := n - 1 downto 1 do
4. for j := 1 to i do
5. compare-exchange(a_j, a_{j+1});
6. end BUBBLE_SORT
```

Sequential bubble sort algorithm.



Time Complexity

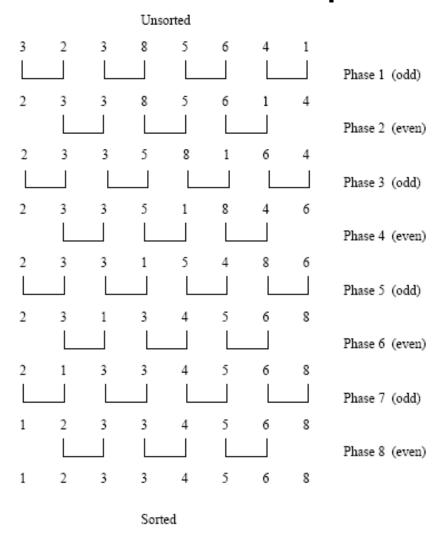
Number of compare and exchange operations = $\sum_{i=1}^{n-1} i = \frac{n(n-1)}{2}$

Indicates a time complexity of $O(n^2)$ given that a single compareand-exchange operation has a constant complexity, O(1).

Bubble Sort and its variants

- The complexity of bubble sort is Θ (n²).
- Bubble sort is difficult to parallelize because the algorithm has no explicit parallelism!
- A simple variant (called Odd-Even Transposition), however, reveals an implicit parallelism ...

Odd-Even Transposition



Sorting n = 8 elements, using the odd-even transposition sort algorithm. During each phase, n = 8 elements are compared.

Odd-Even Transposition

```
procedure ODD-EVEN(n)
2.
         begin
3.
              for i := 1 to n do
4.
              begin
5.
                   if i is odd then
6.
                        for j := 0 to n/2 - 1 do
                             compare-exchange(a_{2j+1}, a_{2j+2});
7.
8.
                   if i is even then
9.
                        for j := 1 to n/2 - 1 do
10.
                             compare-exchange(a_{2j}, a_{2j+1});
11.
              end for
12.
         end ODD-EVEN
```

Sequential odd-even transposition sort algorithm.

Odd-Even Transposition

- After n phases of odd-even exchanges, the sequence is sorted.
- Each phase of the algorithm (either odd or even) requires $\Theta(n)$ comparisons.
- Serial complexity is $\Theta(n^2)$.

Parallel Odd-Even Transposition

- Consider the one item per processor case.
- There are n iterations, in each iteration, each processor does one compareexchange.
- The parallel run time of this formulation is $\Theta(n)$.
- This is cost optimal with respect to the base serial algorithm but not the optimal one.

Parallel Odd-Even Transposition

```
procedure ODD-EVEN_PAR(n)
1.
2.
         begin
3.
             id := process's label
             for i := 1 to n do
4.
5.
             begin
6.
                  if i is odd then
7.
                       if id is odd then
8.
                           compare-exchange_min(id + 1);
9.
                       else
                           compare-exchange_max(id - 1);
10.
11.
                  if i is even then
12.
                       if id is even then
13.
                           compare-exchange_min(id + 1);
14.
                       else
15.
                           compare-exchange_max(id - 1);
16.
             end for
17.
         end ODD-EVEN_PAR
```

Parallel formulation of odd-even transposition.

Parallel Odd-Even Transposition

- Consider a block of n/p elements per processor.
- The first step is a local sort.
- In each subsequent step, the compare exchange operation is replaced by the compare split operation.
- The parallel run time of the formulation is

$$T_P = \overbrace{\Theta\left(\frac{n}{p}\log\frac{n}{p}\right)}^{\text{local sort}} + \overbrace{\Theta(n)}^{\text{comparisons}} + \overbrace{\Theta(n)}^{\text{communication}}.$$

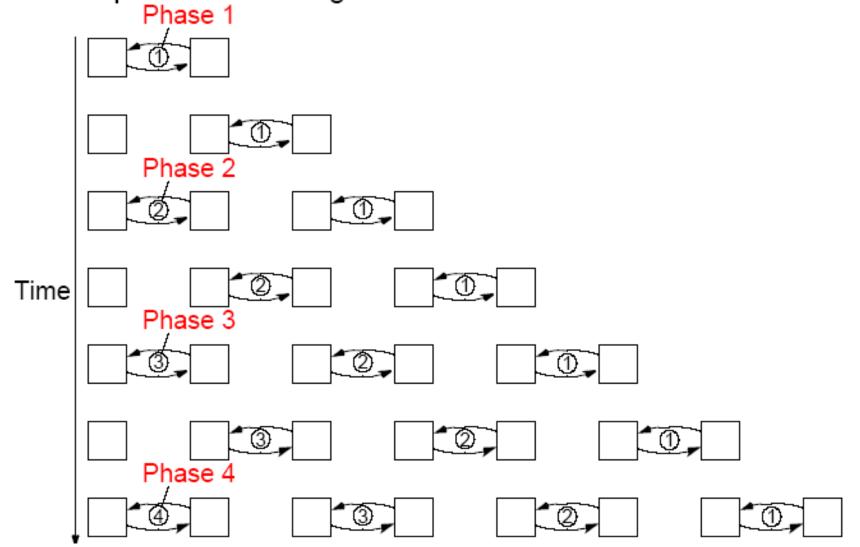
Parallel Odd-Even Transposition

- The parallel formulation is cost-optimal for $p = O(\log n)$.
- The isoefficiency function of this parallel formulation is $\Theta(p \ 2^p)$

(other slides on Parallel Odd-Even follow...)

Parallel Bubble Sort

Iteration could start before previous iteration finished if does not overtake previous bubbling action:



Odd-Even (Transposition) Sort

Variation of bubble sort.

Operates in two alternating phases, even phase and odd phase.

Even phase

Even-numbered processes exchange numbers with their right neighbor.

Odd phase

Odd-numbered processes exchange numbers with their right neighbor.

Odd-Even Transposition Sort

Sorting eight numbers

	Sten	P_0	P_1	P_2	P ₃ → 8	P_4	P_5	P_6	P_7
Time					8 🕶				
	2	2 🕶	- 4	7 🕶	→ 1	8 🕶	→ 3	5 🕶	- 6
					7 🕶				
	4	2 🕶	- 1	4 🕶	 3	7 🕶	 5	8 -	- 6
	5	1	2 🕶	→ 3	4 🕶	→ 5	7 🕶	→ 6	8
	6	1 🕶	→ 2	3 🕶	→ 4	5 🕶	→ 6	7 🕶	→ 8
	, 7	1	2 🕶	→ 3	4 🕶	→ 5	6 🕶	→ 7	8

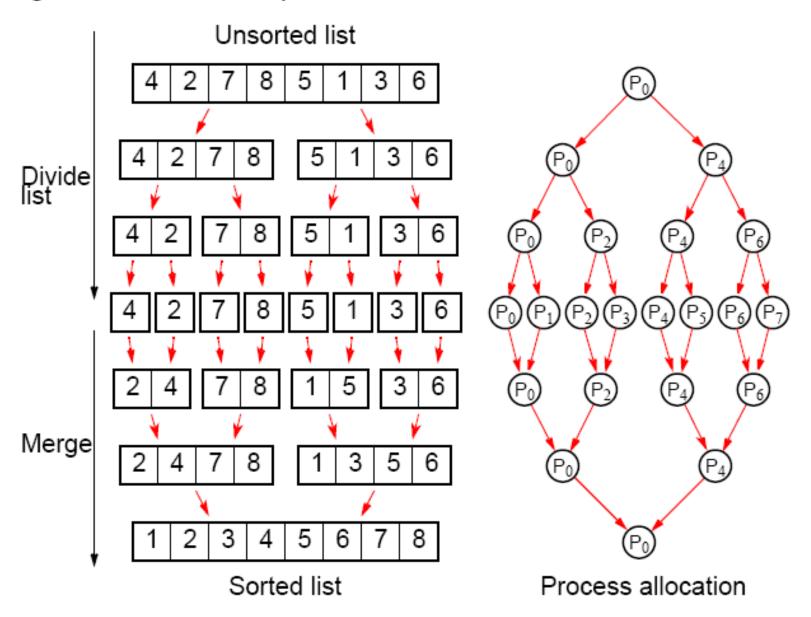
Mergesort

A classical sequential sorting algorithm using divide-and-conquer approach. Unsorted list first divided into half. Each half is again divided into two. Continued until individual numbers are obtained.

Then pairs of numbers combined (merged) into sorted list of two numbers. Pairs of these lists of four numbers are merged into sorted lists of eight numbers. This is continued until the one fully sorted list is obtained.

Parallelizing Mergesort

Using tree allocation of processes



Analysis

Sequential

Sequential time complexity is $O(n \log n)$.

Parallel

2 log *n* steps in the parallel version but each step may need to perform more than one basic operation, depending upon the number of numbers being processed - see text.

Quicksort

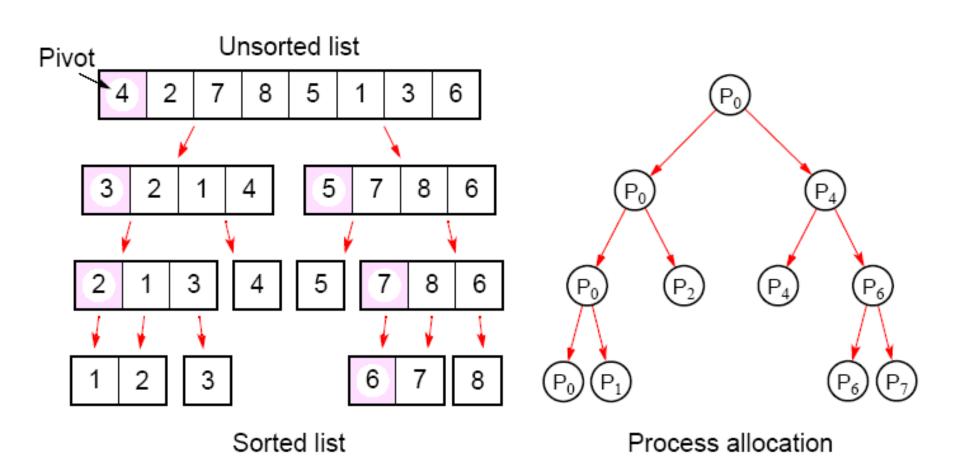
Very popular sequential sorting algorithm that performs well with an average sequential time complexity of $O(n \log n)$.

First list divided into two sublists. All the numbers in one sublist arranged to be smaller than all the numbers in the other sublist. Achieved by first selecting one number, called a *pivot*, against which every other number is compared. If the number is less than the pivot, it is placed in one sublist. Otherwise, it is placed in the other sublist.

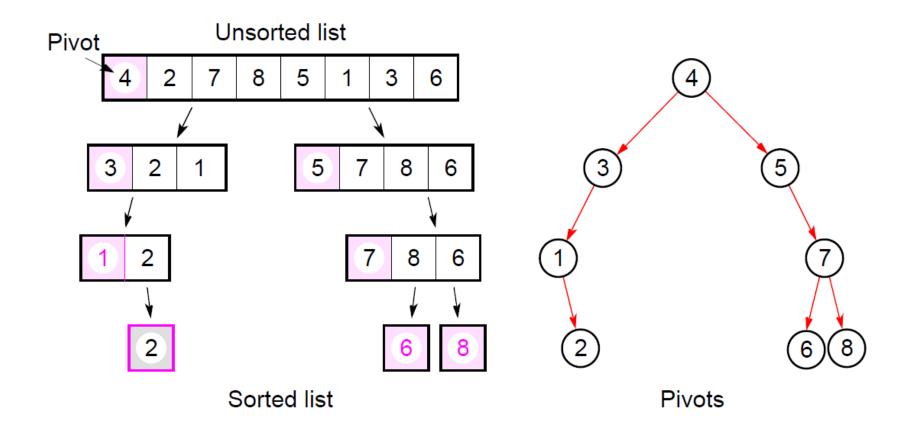
Pivot could be any number in the list, but often the first number in the list is chosen. Pivot itself could be placed in one sublist, or the pivot could be separated and placed in its final position.

Parallelizing Quicksort

Using tree allocation of processes



With the pivot being withheld in processes:



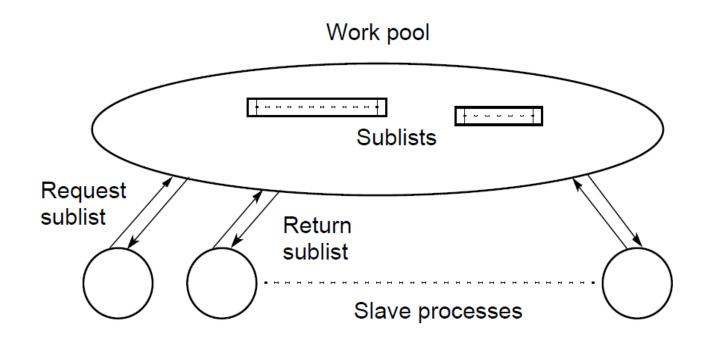
Analysis

Fundamental problem with all tree constructions – initial division done by a single processor, which will seriously limit speed.

Tree in quicksort will not, in general, be perfectly balanced Pivot selection very important to make quicksort operate fast.

Work Pool Implementation of Quicksort

First, work pool holds initial unsorted list. Given to first processor which divides list into two parts. One part returned to work pool to be given to another processor, while the other part operated upon again.



Neither Mergesort nor Quicksort parallelize very well as the processor efficiency is low (see book for analysis).

Quicksort also can be very unbalanced. Can use load balancing techniques.