

Bilinear Lithography Hotspot Detection

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March 20, 2017



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The Chinese University of Hong Kong

Outline

1 Introduction

- Device Feature Size Continues to Shrink
- Lithography Hotspot Detection
- Conventional Methods on Hotspot Detection
- Rethinking

2 Feature

- Conventional Feature Extraction
- Rethinking Feature Selection
- Matrix based Concentric Circle Sampling

3 Model

- Learning Model Background
- Hotspot-oriented Model

4 Solver&Analysis

- Properties of the Objective Function
- Numerical Optimization
- Theoretical Analysis

5 Results

- Experimental Results

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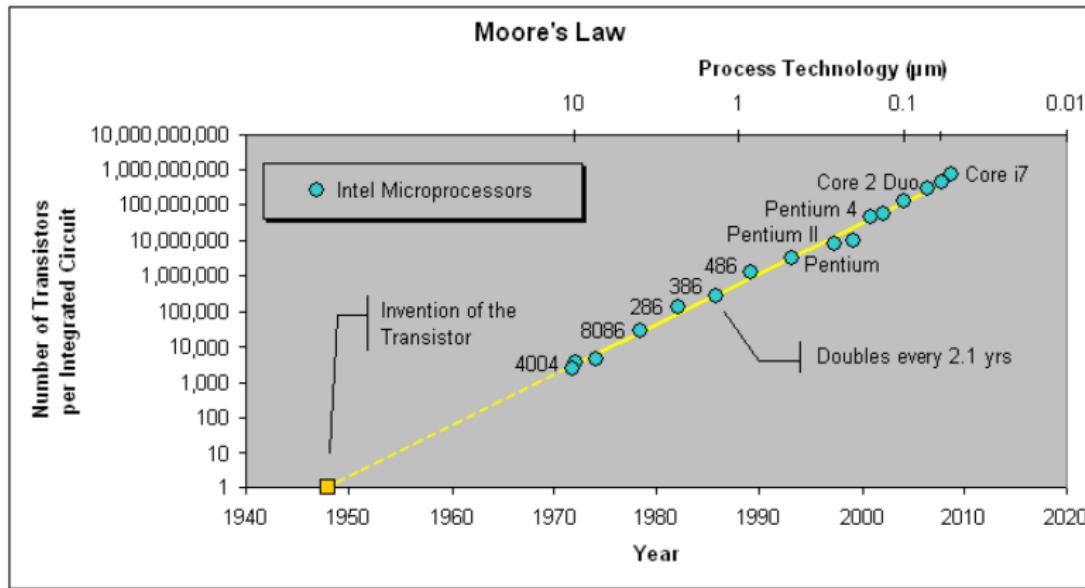
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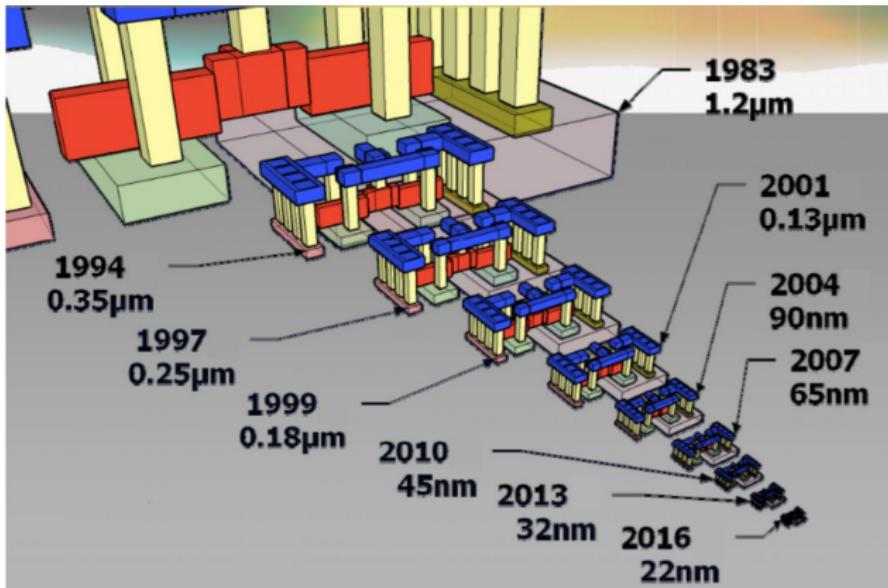
Device Feature Size Continues to Shrink

Moore's Law to Extreme Scaling



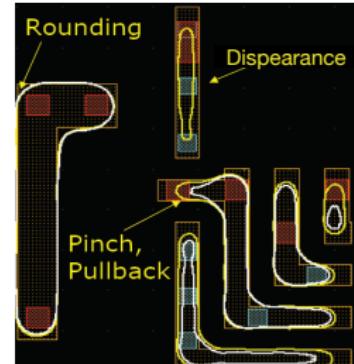
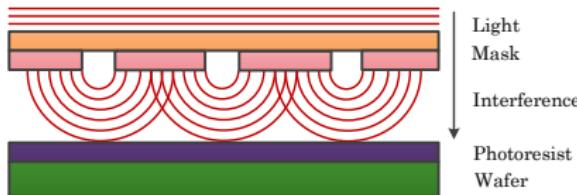
Device Feature Size Continues to Shrink

Shrinking Device Feature Size

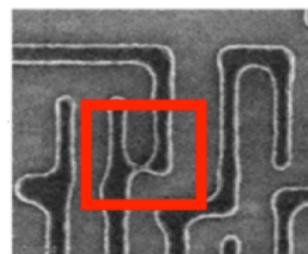
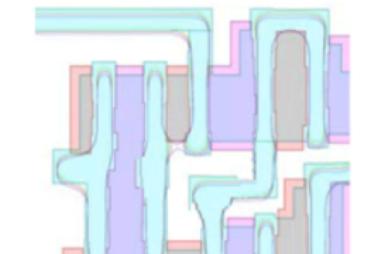
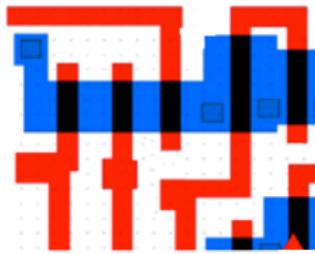


Lithographic Mechanism

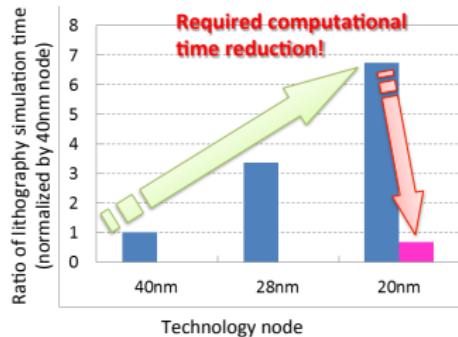
- Light pass through photo masks ([mask scale << light wavelength](#));
- Light **diffraction** and light **interference** will happen;
- May cause performance degradation, or even **yield loss**..



Motivation

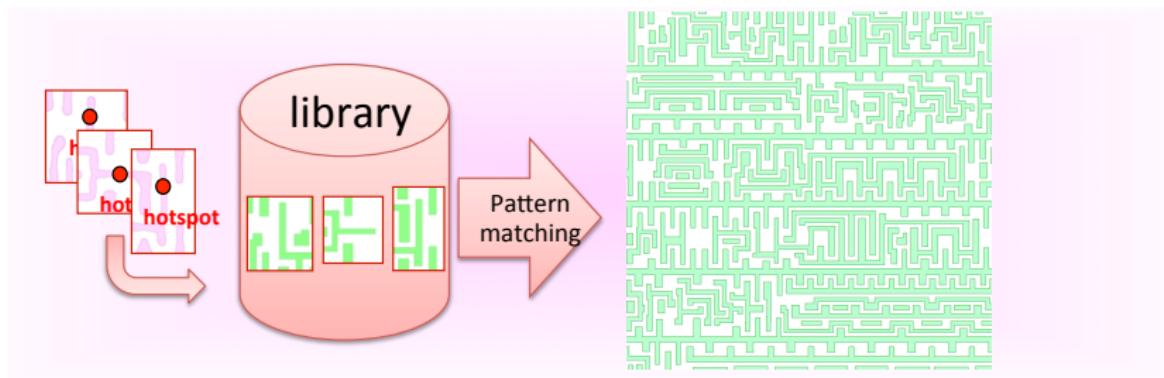


- What you design \neq what you get;
- DFM: MPL, OPC, SRAF;
- Still hotspot: low fidelity patterns;
- Simulations: extremely time intensive.



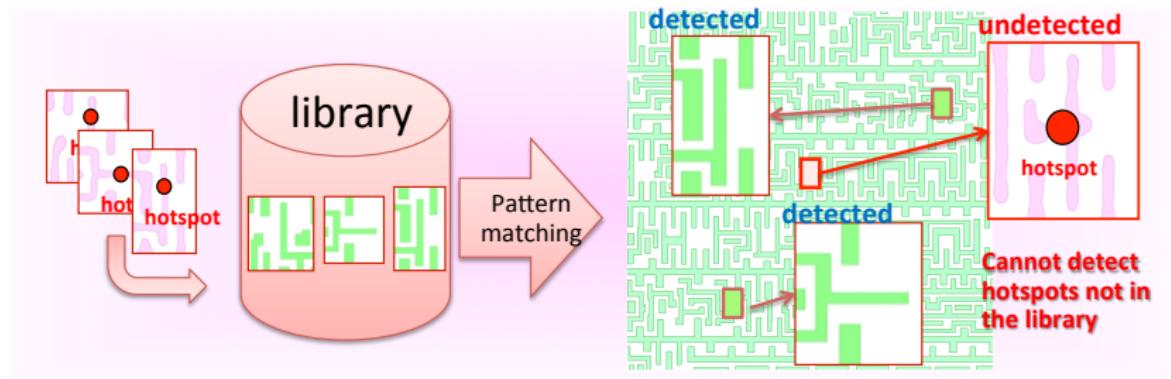
Conventional Methods on Hotspot Detection

Pattern Matching based Hotspot Detection



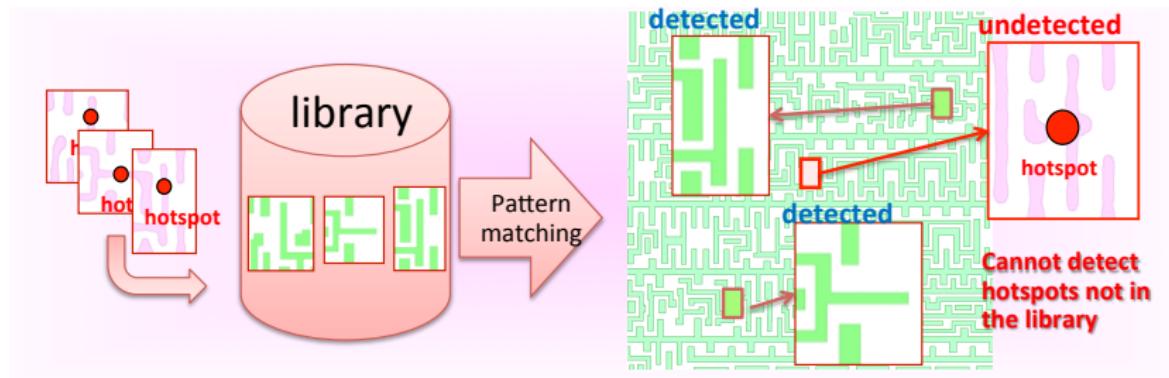
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Conventional Methods on Hotspot Detection

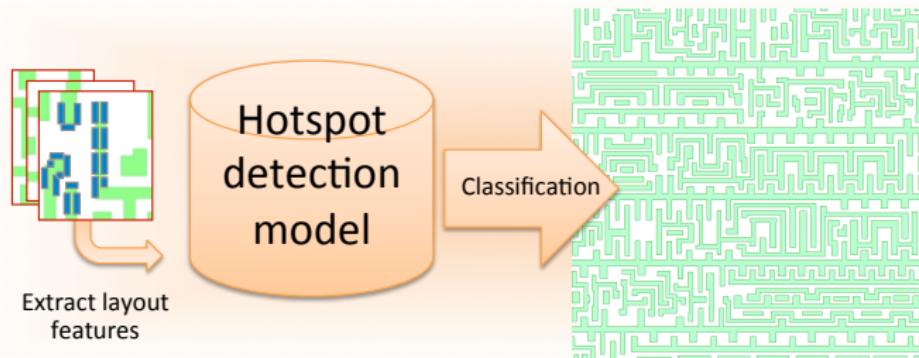
Pattern Matching based Hotspot Detection



- Fast and reasonably accurate;
- Two-stage filtering, fuzzy pattern matching;
- [Yu+, ICCAD'14][Wen+, TCAD'14];
- Hard to detect unseen pattern.

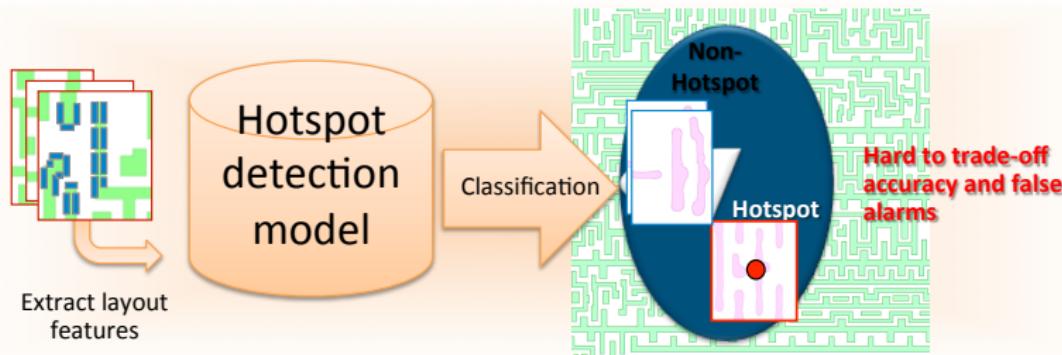
Conventional Methods on Hotspot Detection

Machine Learning based Hotspot Detection



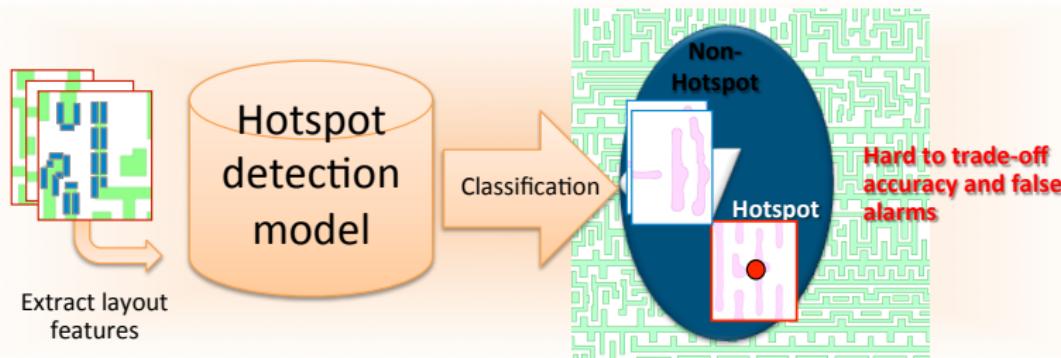
Conventional Methods on Hotspot Detection

Machine Learning based Hotspot Detection



Conventional Methods on Hotspot Detection

Machine Learning based Hotspot Detection



- Can predict **new** patterns, and are more **flexible**;
- Support vector machine, boosting, deep neural network...
- [Ding+, ASPDAC'12][Yu+, TCAD'15][Zhang+, ICCAD'16]
[Matsunawa+, SPIE'16];
- **Hard** to balance accuracy and false-alarm.

Rethinking Conventional Methods

- Conventional: **vector** based feature and learning model;
- **Time consuming** steps: 1) feature extraction, 2) feature selection;
- **Destroying** the hidden structural correlations in the layout patterns.

Rethinking Conventional Methods

- ~~Conventional~~: ~~vector based feature~~;
- ~~Time consuming steps~~: 1) ~~feature extraction~~, 2) ~~feature selection~~;
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Rethinking Conventional Methods

- ~~Conventional~~: ~~vector based feature~~;
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Matrix based Concentric Sampling (MCCS)

- 1) Matrix Based: ~~preserve~~ the hidden structural correlations;
- 2) No feature selection: enable parallel computation;
- 3) Very simple feature: fast to extract.

Bilinear Lithography Hotspot Detector

- 1) Matrix based: ~~capture~~ the hidden structural correlations;
- 2) Low-complexity model: avoid over-fitting;
- 3) Fast to train.

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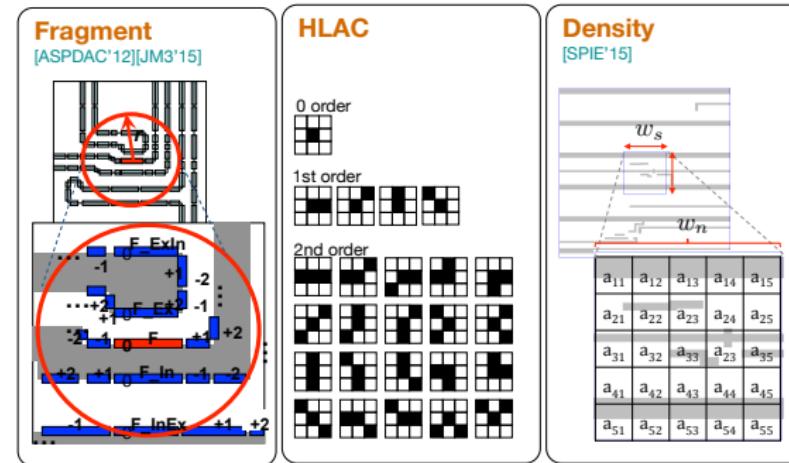
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Conventional Feature Extraction

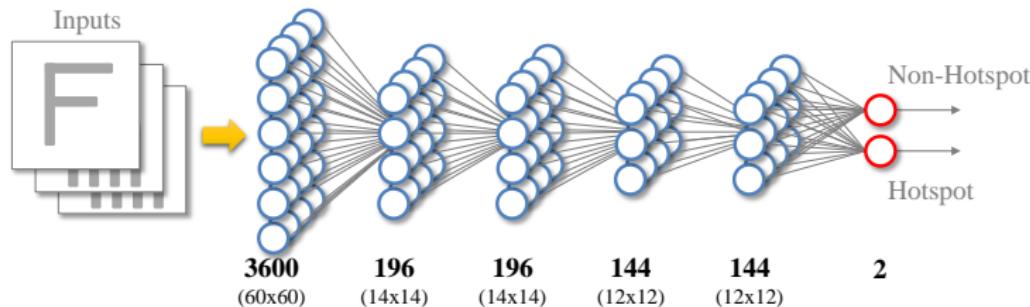
Geometry based Feature



- Hard to be adaptive to different layout designs
- Too many parameters to tune
- Sometimes very complex and may be the cause of over fitting

Conventional Feature Extraction

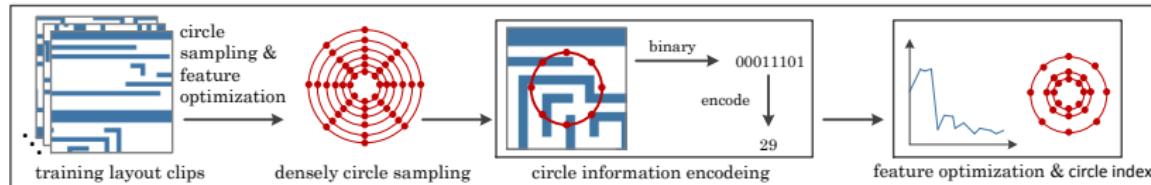
Deep Learning based Feature



- Network structure from [Matsunawa+, SPIE'16]
- Pros: automatic layout feature extraction; easy to adapt
- Cons: expensive cost in training (may cause even several hours)

Rethinking Feature Selection

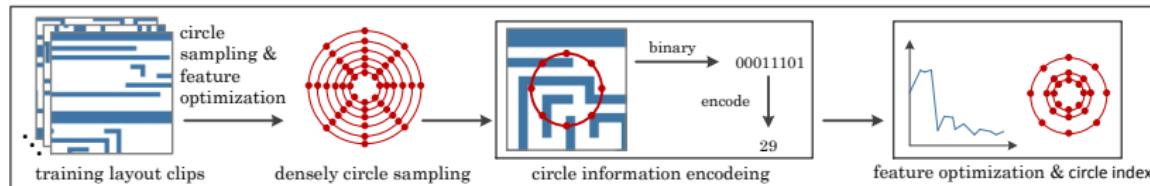
Rethinking MCMI



- Maximal Circular Mutual Information (MCMI) [Zhang+, ICCAD'16];
- Preserve the **effects of light propagation**;
- Searching for the **local correlations** within each circle.

Rethinking Feature Selection

Rethinking MCMI



- Maximal Circular Mutual Information (MCMI) [Zhang+, ICCAD'16];
- Preserve the **effects of light propagation**;
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Questions:

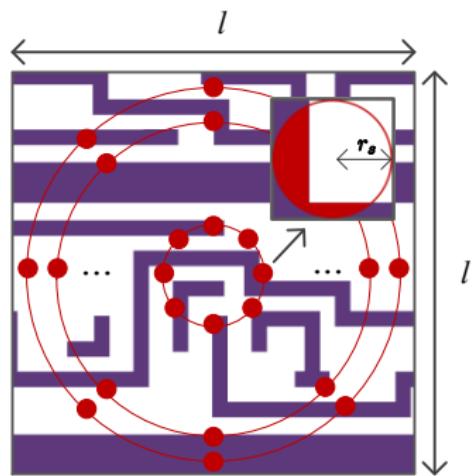
Can we utilize the global correlations among these sampled circles?

Two follow up questions:

1. Can we preserve these correlations using our feature?
2. Can we capture these correlations using our machine learning model?

Matrix based Concentric Circle Sampling

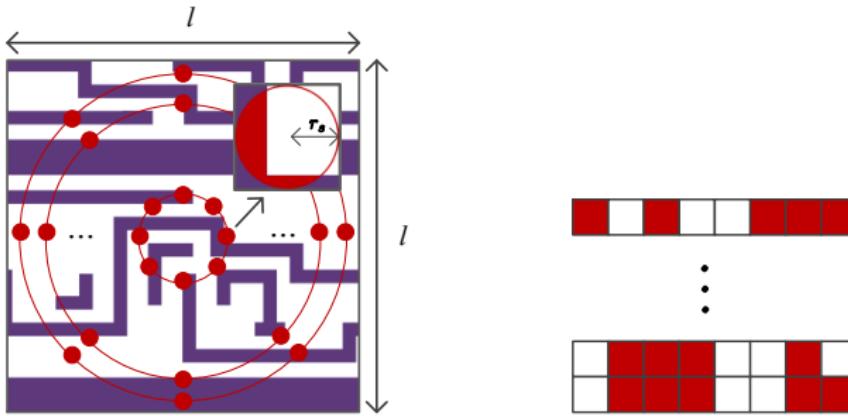
Matrix based Concentric Circle Sampling (MCCS)



- r_s : is the radius of the sampling area;
- r_{in} : controls the sampling density;
- l : controls the clip size;
- n_p : is the number of points sampled on a circle.

Matrix based Concentric Circle Sampling

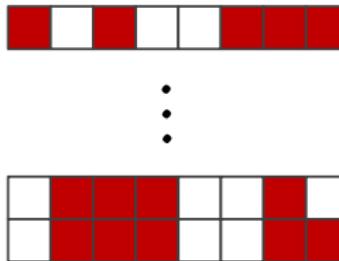
Matrix based Concentric Circle Sampling (MCCS)



- Points from one circle form a vector;
- Each vector forms one row of the feature matrix;
- Under the condition that $l = 1200nm$, $r_{in} = 60nm$, $n_p = 16$, the dimension of the feature matrix is 33×16 ($33 = 6 + 27$).

Matrix based Concentric Circle Sampling

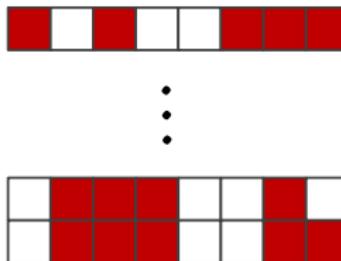
Matrix based Concentric Circle Sampling (MCCS)



- Preserve the **hidden** structural information;
- Each circle forms a row: **light propagation**;
- There exist **linear combinations** among these rows and columns:
light diffraction and interference.
- Linear combinations of the **rows**: correlations among **circles**;
- Linear combinations of the **columns**: correlations among **lines of points**.

Matrix based Concentric Circle Sampling

Matrix based Concentric Circle Sampling (MCCS)



Questions:

Can we utilize the global correlations among these sampled circles?

Two follow up questions:

1. Can we preserve these correlations using our feature?
2. Can we capture these correlations using our machine learning model?

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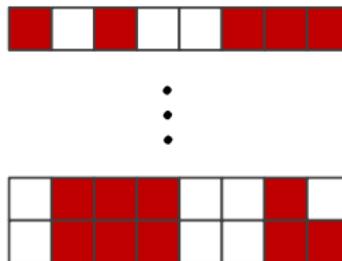
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Matrix based Concentric Circle Sampling

Matrix based Concentric Circle Sampling (MCCS)



Questions:

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Learning Model Background

Notations

- scalar: x
- vector: \mathbf{x}
- matrix: \mathbf{X}
- rank r : $\mathbf{X} \in \mathbb{R}^{p \times q}$ and $r \leq \min(p, q)$
- nuclear norm: $\|\mathbf{X}\|_* = \sum_{i=1}^n \sigma_i$
- weighted nuclear norm:

$$\|\mathbf{X}\|_{\mathbf{w},*} = \sum_i^n w_i \sigma_i$$

- (i, j) =entity: $\mathbf{X}_{i,j}$
- trace: $\text{tr}(\cdot)$
- $(a)_+ = \max(0, a)$
- $\langle A, B \rangle = \sum_{i,j} A_{i,j} \cdot B_{i,j}$
- Frobenius norm:

$$\|\mathbf{X}\|_F = \sqrt{\sum_{i,j} X_{i,j}^2}$$
- Spectral Elastic Net:

$$\frac{1}{2} \text{tr}(\mathbf{W}^\top \mathbf{W}) + \lambda \|\mathbf{W}\|_*$$

Learning Model Background

Background

- Modern techniques are producing datasets with complex hidden structures;
- These features can be naturally represented as matrices instead of vectors.
- Eg. 1: the two-dimensional digital images, with quantized values of different colors at certain rows and columns of pixels;
- Eg. 2: electroencephalography (EEG) data with voltage fluctuations at multiple channels over a period of time.

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Learning Model Background

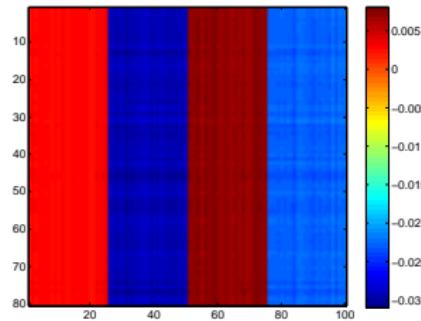
Background

- Most existing learning models are **vector** based;
- People propose **bilinear classifiers** that can tackle data in matrix form: [Wolf+, CVPR'07][Pirsiavash+, NIPS'09][Luo+, ICML'15];
- However, these methods have their own **drawbacks**.

Drawbacks I

- [Wolf+, CVPR'07] uses the sum of k rank-one orthogonal matrices to model the classifier matrix;
- [Pirsiavash+, NIPS'09] assumes the rank of the classifier matrix to be k ;
- Both methods describe the correlations of data in different ways, but they require the rank k to be pre-specified.

Drawbacks II



- [Luo+, ICML'15] could determine the rank automatically, however:
- when using the nuclear norm, it assigns **same weights** to all singular values;
- it aims at capturing **the grouping effects** (No such effects in our problem) by spectral elastic net term.

Hotspot-oriented Model

Needs for our New Model

- There are several issues for our hotspot detection problem.
- Can we address them?

Needs for our New Model

1. Reduce the impact of outliers;
2. The grouping effects should be discarded;
3. The rank k should be automatically determined;
4. Less weights should be assigned to larger singular values.

Objective Function of our Model

Needs for our New Models

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Final Objective Function

$$\arg \min_{\mathbf{W}, b} \lambda \|\mathbf{W}\|_{\mathcal{W}, *} + C \sum_i^n \{1 - y_i [\text{tr}(\mathbf{W}^\top \mathbf{X}_i) + b]\}_+. \quad (1)$$

Objective Function of our Model

Needs for our New Models

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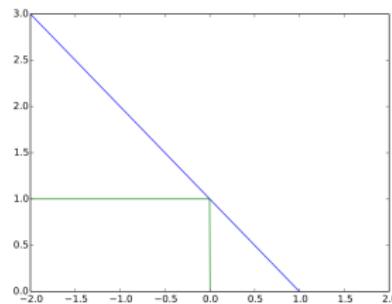
Final Objective Function

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Objective Function of our Model

Needs for our New Models

1. Reduce the impact of outliers;
2. **The grouping effects should be discarded;**
3. The rank k should be automatically determined;
4. Less weights should be assigned to larger singular values.

Final Objective Function

$$\arg \min_{\mathbf{W}, b} \frac{1}{2} \text{tr}(\mathbf{W}^\top \mathbf{W}) + \lambda \|\mathbf{W}\|_{\mathcal{W},*} + C \sum_i^n \{1 - y_i[\text{tr}(\mathbf{W}^\top \mathbf{X}_i) + b]\}_+$$

Objective Function of our Model

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Objective Function of our Model

Final Objective Function

$$\arg \min_{\mathbf{W}, b} \lambda \|\mathbf{W}\|_{\mathcal{W}, *} + C \sum_i^n \{1 - y_i[\text{tr}(\mathbf{W}^\top \mathbf{X}_i) + b]\}_+. \quad (2)$$

Questions:

Can we utilize the global correlations among these sampled circles?

Two follow up questions:

1. Can we preserve these correlations using our feature? **YES**
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Objective Function of our Model

Final Objective Function

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Properties of the Objective Function

Resolve Issues

- Hinge loss: non-smooth;
- Weighted nuclear norm: non-smooth, maybe non-convex[Gu+,IJCV'16], which depends on the weight order;

Properties of the Objective Function

Resolve Issues

- Hinge loss: non-smooth;
- Weighted nuclear norm: non-smooth, maybe non-convex[Gu+, IJCV'16], which depends on the weight order;
- We resort to Alternating Direction Method of Multipliers (ADMM) [Boyd+, FTM'11][Goldstein+, SIAM'14].

Properties of the Objective Function

Resolve Issues

- Hinge loss: non-smooth;
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- We resort to Alternating Direction Method of Multipliers (ADMM) [Boyd+,FTML'11][Goldstein+,SIAM'14].

Equivalent Objective Function With Auxiliary Variable \mathbf{S}

$$\arg \min_{\mathbf{W}, b, \mathbf{S}} \lambda \|\mathbf{S}\|_{W,*} + C \sum_i^n \{1 - y_i[\text{tr}(\mathbf{W}^\top \mathbf{X}_i) + b]\}_+, \quad (3)$$

s.t. $\mathbf{S} - \mathbf{W} = 0,$

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Properties of the Objective Function

Resolve Issues

Equivalent Objective Function With Auxiliary Variable \mathbf{S}

$$\begin{aligned} \arg \min_{\mathbf{W}, b, \mathbf{S}} \quad & \lambda \|\mathbf{S}\|_{\mathcal{W}, *} + C \sum_i^n \{1 - y_i [\text{tr}(\mathbf{W}^\top \mathbf{X}_i) + b]\}_+, \\ \text{s.t. } \quad & \mathbf{S} - \mathbf{W} = 0, \end{aligned} \quad (4)$$

- In this way, the original optimization problem is split into two sub-problems with respect to $\{\mathbf{W}, b\}$ and the auxiliary variable \mathbf{S} .

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Properties of the Objective Function

Resolve Issues

- Then we apply Augmented Lagrangian Multiplier to develop an efficient ADMM method as follows:

ADMM Formulation

$$\begin{aligned}
L(\mathbf{W}, b, \mathbf{S}, \boldsymbol{\Lambda}) = & \lambda \|\mathbf{S}\|_{\mathcal{W},*} + C \sum_i^n \{1 - y_i[\text{tr}(\mathbf{W}^\top \mathbf{X}_i) + b]\}_+ \\
& + \text{tr}[\boldsymbol{\Lambda}^\top (\mathbf{S} - \mathbf{W})] + \frac{\rho}{2} \|\mathbf{S} - \mathbf{W}\|_F^2,
\end{aligned} \tag{5}$$

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Numerical Optimization

Subproblems

Subproblem 1 to Solve \mathbf{S}

$$\arg \min_{\mathbf{S}} \lambda \|\mathbf{S}\|_{W,*} + \text{tr}(\boldsymbol{\Lambda}^T \mathbf{S}) + \frac{\rho}{2} \|\mathbf{W} - \mathbf{S}\|_F^2. \quad (6)$$

Subproblems

Subproblem 1 to Solve \mathbf{S}

$$\arg \min_{\mathbf{S}} \lambda \|\mathbf{S}\|_{W,*} + \text{tr}(\boldsymbol{\Lambda}^\top \mathbf{S}) + \frac{\rho}{2} \|\mathbf{W} - \mathbf{S}\|_F^2. \quad (6)$$

- We use the shrinkage thresholding method to solve this subproblem.

Subproblems

Subproblem 2 to Solve (\mathbf{W}, b)

$$\begin{aligned} \arg \min_{\mathbf{W}, b} \quad & C \sum_i^n \{1 - y_i[\text{tr}(\mathbf{W}^\top \mathbf{X}_i) + b]\}_+ \\ & + \text{tr}[\mathbf{\Lambda}^\top (\mathbf{S} - \mathbf{W})] + \frac{\rho}{2} \|\mathbf{S} - \mathbf{W}\|_F^2, \end{aligned} \tag{7}$$

- We use the KKT conditions and then the box constraint quadratic programming method to solve this subproblems.

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Theoretical Analysis

Theoretical Analysis

- We analyze the **excessive risk** of the proposed classifier theoretically;
- We prove the **consistency** and **correctness** of our model;
- Excess risk means the difference between the **empirical risk** and the **expected risk** (Definitions in the next slide).

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Theoretical Analysis

Lemma 1

Lemma 1

The dual norm of the weighted nuclear norm $\|\mathbf{W}\|_{\mathcal{W},*}$ is

$$\|\mathbf{W}\|_{\mathcal{W},*}^* = \max_i \frac{1}{w_i} \Sigma_{ii} \quad (8)$$

where $\mathbf{W} = \mathbf{U}\Sigma\mathbf{V}^\top$ through SVD.

* please read the paper for more details of the proof

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Theoretical Analysis

Theorem 1

With Lemma 1, we can come up with the excessive risk bound for our model:

Theorem 1

With probability at least $1 - \delta$, the excess risk of our method, for each data $\mathbf{X}_i \in \mathbb{R}^{d_1 \times d_2}$, is bounded as

$$R(\hat{\mathbf{W}}) - R(\mathbf{W}^o) \leq \frac{2BL}{\sqrt{n}} \max_i \left(\frac{1}{w_i} \right) \cdot (\sqrt{d_1} + \sqrt{d_2}) + \sqrt{\frac{\ln(1/\delta)}{2n}}. \quad (9)$$

* please read the paper for more details of the proof

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Outline

1 Introduction

- Device Feature Size Continues to Shrink
- Lithography Hotspot Detection
- Conventional Methods on Hotspot Detection
- Rethinking

2 Feature

- Conventional Feature Extraction
- Rethinking Feature Selection
- Matrix based Concentric Circle Sampling

3 Model

- Learning Model Background
- Hotspot-oriented Model

4 Solver&Analysis

- Properties of the Objective Function
- Numerical Optimization
- Theoretical Analysis

5 Results

- Experimental Results

Experimental Results

Experimental Results

- Verified in ICCAD-2012 contest benchmark;
- 2x speed-up in M-CPU(s);
- 19x speed-up in CPU(s);
- Increase detection accuracy from 95.13% to 98.16%.

Table 1: Comparisons with three classical methods

	VCCS-SVM			VCCS-Adaboost			DBF-Adaboost			Ours			
	M-CPU(s)	Accuracy	FA#	M-CPU(s)	Accuracy	FA#	CPU(s)	Accuracy	FA#	CPU(s)	M-CPU(s)	Accuracy	FA#
Case 1	1.09	100.00%	0	1.37	99.55%	1	7.00	100%	0	2.09	0.20	100.00%	0
Case 2	1.81	94.78%	4	5.44	96.78%	0	351.00	98.60%	0	10.70	0.33	99.40%	0
Case 3	3.26	95.52%	94	4.73	97.62%	4	297.00	97.20%	0	20.56	2.34	97.78%	2
Case 4	1.74	80.23%	31	9.45	84.10%	0	170.00	87.01%	1	8.09	0.38	96.05%	0
Case 5	1.30	95.12%	0	2.27	97.56%	0	69.00	92.86%	0	5.84	0.49	97.56%	0
avg. ratio	1.84	93.13%	25.8	4.65	95.12%	1.00	178.80	95.13%	0.20	9.45	0.75	98.16%	0.40
	2.46	-	-	6.21	-	-	18.92	-	-	1.0	1.0	-	-

Experimental Results

Experimental Results

- 4x speed-up in CPU(s);
- Increase the accuracy to 98.16%;
- Reduce the false alarms by around 15%.

Table 2: Comparisons with three state-of-the-art hotspot detectors

	TCAD'14			TCAD'15			ICCAD'16			Ours		
	CPU(s)	Accuracy	FA#	CPU(s)	Accuracy	FA#	CPU(s)	Accuracy	FA#	CPU(s)	Accuracy	FA#
Case 1	11	100.00%	1714	38	94.69%	1493	10	100.00%	788	4	100.00%	783
Case 2	287	99.80%	4058	234	98.20%	11834	103	99.40%	544	17	99.40%	700
Case 3	417	93.80%	9486	778	91.88%	13850	110	97.51%	2052	49	97.78%	2166
Case 4	102	91.00%	1120	356	85.94%	3664	69	97.74%	3341	14	96.05%	2132
Case 5	49	87.80%	199	20	92.86%	1205	41	95.12%	94	9	97.56%	52
avg.	173.2	94.48%	3315.4	285.2	92.71%	6409.2	66.6	97.95%	1363.8	18.4	98.16%	1166.6
ratio	9.40	-	2.84	15.50	-	5.49	3.62	-	1.17	1.0	-	1.0

Experimental Results

Conclusions

Novel Insights in Hotspot Detection Problem

- Novel matrix **feature** with hidden structural information preserved;
- Novel **Bilinear** Machine Learning Model;
- Theoretical **analysis** proves the correctness and consistency of the model.

Future Work

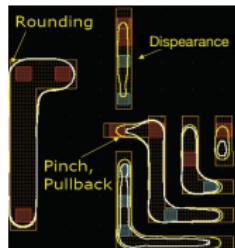
- Customized computing system for further speedup
- Transfer learning for further performance improvement

Experimental Results

Conclusions

Future Work

- Adjust our methods to new layout designs
- Extend our method to OPC and MPL



We are looking forward to **collaboration**:

- Industrial benchmarks for **HSD**
- Industrial benchmarks for **OPC, MPL**

Introduction

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Feature

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Model

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Solver&Analysis

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Results

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Experimental Results

Thank you

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