

## CS F351 Theory of Computation Tutorial-1

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**Problem 1 (In tutorial)** Given a string  $x$  over an alphabet  $\Sigma$ ,  $x^R$  is the string obtained by reversing the string  $x$ . Example: Let  $\Sigma = \{0, 1\}$  and  $x = 1010$  then  $x^R = 0101$ . Prove the following: for any two strings  $x$  and  $y$  over the same alphabet  $\Sigma$ ,  $(xy)^R = y^R x^R$ .

The formal definition of  $x^R$  is as follows:  $\epsilon^R = \epsilon$  and  $(xa)^R = ax^R$  where  $a \in \Sigma$ .

*Hint: use mathematical induction.*

**Proof.**

By induction on  $|y|$  (i.e., the length of  $y$ ).

**Base:** For  $|y| = 0 \implies y = \epsilon \implies y = \epsilon = y^R$ .

$$(xy)^R = (x\epsilon)^R = x^R = \epsilon x^R = y^R x^R.$$

**Inductive hypothesis:** Assume that the statement is true for all strings  $y$ , over  $\Sigma$ , of length  $k$ . i.e., for all  $y \in \Sigma^k$ ,  $(xy)^R = y^R x^R$ .

**Induction step:** We now show that the statement holds for all strings  $y$  of length  $k + 1$ .

Let  $y \in \Sigma^{k+1}$  be a string, of length  $k + 1$ , such that  $y = va$ , where  $v \in \Sigma^k$  and  $a \in \Sigma$ .

$$\begin{aligned} (xy)^R &= (x(va))^R = ((xv)a)^R \quad (\text{Associativity of concatenation}) \\ &= a(xv)^R \quad (\text{Definition}) \\ &= a(v^R x^R) \quad (\text{Inductive hypothesis} \implies (xv)^R = v^R x^R) \\ &= (av^R)x^R \quad (\text{Associativity of concatenation}) \\ &= (a^R v^R)x^R \quad (\text{Since } a^R = a) \\ &= (va)^R x^R \quad (\text{Inductive hypothesis} \implies a^R v^R = (va)^R) \\ &= y^R x^R \end{aligned}$$

$\therefore$  By the principle of the mathematical induction it holds.

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**Problem 2 (In tutorial)** Consider the following definitions of [palindrome](#).

**Definition 2.1** A palindrome is a string that reads the same from forward and backward.

**Definition 2.2**

1.  $\epsilon$  is a palindrome
2. If  $a$  is any symbol then the string  $a$  is a palindrome.
3. If  $a$  is any symbol and  $x$  is a palindrome then the string  $axa$  is a palindrome.
4. Nothing is palindrome unless it follows from (a) to (c)

Prove by induction that the two definitions are equivalent.

**Proof.**

For  $\epsilon$ , it is part of **def2** (clause 1) while it trivially satisfies **def1**. Similar argument holds for strings of unit length (clause 2 in **def2**). For length 2 palindromes, they satisfy **def2** being of the type  $aa$  with  $x = \epsilon$  (clause 3). Strings of type  $aa$  also satisfy **def1** being the same symbol repeated twice. Now let us assume both definitions to be equivalent upto strings of length  $n > 2$  in  $\Sigma^*$ .

Consider a string  $\sigma$  with  $|\sigma| = n + 1$  which is palindrome as per **def1**. That implies  $\sigma = \sigma^R$  (applying **def1**). Hence it must be the case that  $\sigma$  starts and ends with same symbol. Hence  $\exists \sigma' \in \Sigma^*, a \in \Sigma$  such that  $\sigma = a\sigma'a$ . Also,  $\sigma = \sigma^R \implies a\sigma'a = (a\sigma'a)^R \implies a\sigma'a = a\sigma'^R a \implies \sigma' = \sigma'^R$ . Thus  $\sigma'$  is palindrome as per **def1**. Since  $|\sigma'| = n - 1$  and **def2**, **def1** are equivalent for string length upto  $n$ , we have  $\sigma'$  as palindrome also for **def2**. Now, applying clause 3 of **def2**, we have  $\sigma = a\sigma'a$  as palindrome (as per **def2**).

Consider a string  $\sigma$  with  $|\sigma| = n + 1$  which is palindrome as per **def2**. Since  $n > 2$ , we must have a palindrome  $x$  such that  $|x| = n - 1$  and  $axa = \sigma$  for some symbol  $a$ .  $x$  should satisfy **def1** and hence  $x = x^R$ . So,  $\sigma^R = (axa)^R = ax^R a = axa = \sigma$ . This  $\sigma$  is also palindrome as per **def1**.

**Problem 3** Prove that the following definitions for the string of balanced parentheses are equivalent.

**Definition 3.1** A string  $w$  over alphabet  $\{(,)\}$  is balanced iff

1.  $w$  has an equal number of ( 's as ) 's.
2. any prefix of  $w$  has at least as many ( 's as ) 's.

**Definition 3.2** A string  $w$  over alphabet  $\{(,)\}$  is balanced iff

1.  $\epsilon$  is balanced.
2. If  $w$  is balanced string then  $(w)$  is balanced.
3. If  $w$  and  $x$  are balanced strings the  $wx$  is balanced.
4. Nothing else is balanced string

**Solution :** Let  $P_\Sigma^n$  be the set of balanced parenthesis upto length  $2n$ .  $P_\Sigma^0 = \{\epsilon\}$  which is trivially satisfying def1 and def2. Let def1 and def2 agree upto  $P_\Sigma^n$ . Now  $P_\Sigma^{n+1} = P_\Sigma^n \cup X$  with  $X$  being the set of balanced parenthesis of length exactly  $2n+2$ .

Let  $x \in X$  be a balanced parenthesis satisfying def1. Consider the prefix of  $x$  of length 1. As per condition 2 of def1, it has to be '('. (If the first symbol is ')', condition 2 is not satisfied.) As per condition 1 of def1,  $x$  has  $n+1$  '(' and  $n+1$  ')'. We now argue that the last symbol of  $x$  has to be ')'. Otherwise, if  $x = x_1($ , then  $x_1$  is a prefix with  $n+1$  '(' and  $n$  ')' (violates condition 2). Hence, as per def1  $x = (w)$  (has to start and end with '(' and ')' respectively). There are two options now.

- (a)  $w$  is a balanced string of length  $2n$  as per def1. Then  $w$  is a balanced string also as per def2 (the definitions agree upto length  $2n$ ). Then  $x$  satisfies def2 (clause2).
- (b)  $w$  is not a balanced string as per def1. This can only happen if clause 2 of def1 is violated by  $w$  (clause 1 is satisfied). Let  $w_1$  be the smallest such prefix of  $w$  with less '(' than ')'. Note that ' $w_1$ ' manages to be a prefix with at least as many '(' as ')'. Hence, in  $w_1$  there is exactly one '(' less. Thus ' $w_1$ ' satisfies both conditions of def1 (condition 2 is guaranteed by  $w_1$  being the smallest possible violator). Thus  $w_1$  is a balanced string as per def1 (and hence def2). With  $x = (w) = (w_1w_2)$ , what about  $w_2$ ? Since condition 1 and 2 of def1 are satisfied by both  $w_1$  and  $w$ , they are also satisfied by  $w_2$ . Still let us show that. Surely,  $w_2$  has same number of '(' and ')' since both  $(w)$  and  $(w_1)$  are balanced. Consider any prefix  $\sigma$  of  $w_2$ . Note,  $(w_1\sigma)$  has at least as many '(' as ')'.  $(w_1)$  has same number of '(' and ')'. Hence  $\sigma$  has at least as many '(' as ')'. Both  $(w_1)$  and  $w_2$  are balanced as per both defs. Hence  $x = (w)$  is balanced also as per def2 (clause3).

Assume def2 and prove the reverse now.

**Problem 4 (In tutorial)** Prove that any equivalence relation  $R$  on a set  $S$  partitions  $S$  into disjoint equivalence classes.

**Proof.** Let  $R$  be an equivalence relation on  $S$ , and suppose  $a$  and  $b$  are elements of  $S$ . Let  $C_a$  and  $C_b$  be the equivalence classes containing  $a$  and  $b$  respectively; that is,  $C_a = \{c \mid aRc\}$  and  $C_b = \{c \mid bRc\}$ . We shall show that either  $C_a = C_b$  or  $C_a \cap C_b = \emptyset$ . Suppose  $C_a \cap C_b \neq \emptyset$ ; let  $d$  be in  $C_a \cap C_b$ . Now let  $e$  be an arbitrary member of  $C_a$ . Thus  $aRe$ . As  $d$  is in  $C_a \cap C_b$  we have  $aRd$  and  $bRd$ . By symmetry  $dRa$ , By transitivity (twice),  $bRa$  and  $bRe$ . Thus  $e$  is in  $C_b$  and hence  $C_a \subseteq C_b$ . A similar proof shows that  $C_b \subseteq C_a$ , so  $C_a = C_b$ . Thus distinct equivalence classes are disjoint. To show that the classes form a partition, we have only to observe that

by reflexivity, each  $a$  is in the equivalence class  $C_a$ , so the union of the equivalence classes is  $S$ .

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**Problem 5** Show that the following are equivalent relation and give their classes.

1.  $R_1$  on integers  $\rightarrow iR_1j$  iff  $i = j$
2.  $R_2$  on people  $\rightarrow pR_2q$  if  $p$  and  $q$  were born on the same hour of same day of some year

**Solution :**

(a)  $R_1$  is a relation on set of integers  $\mathbb{Z}$  such that  $iR_1j \implies i = j$

i. **Proof of Reflexivity:** Let,  $a \in \mathbb{Z}$

As we can say  $a = a \implies aR_1a$

ii. **Proof of Symmetry:** Let,  $a, b \in \mathbb{Z}$  such that  $a = b \implies aR_1b$

From  $a = b$  we can say  $b = a \implies bR_1a$

iii. **Proof of Transitivity:** Let,  $a, b, c \in \mathbb{Z}$  such that  $a = b, b = c \implies aR_1b, bR_1c$

As we can say  $a = c \implies aR_1c$

Clearly this  $R_1$  will divide  $\mathbb{Z}$  into as many equivalent classes as many integers are present in Integer set.

(b)  $R_2$  is a relation on set of people  $\mathcal{P}$  such that  $pR_2q \implies p$  and  $q$  were born on the same hour of same day of some year.

i. **Proof of Reflexivity:** Let,  $p \in \mathcal{P}$

Then we can say  $p$  and  $p$  were born on the same hour of same day of some year.  $\implies pR_1p$

ii. **Proof of Symmetry:** Let,  $p, q \in \mathcal{P}$  and  $pR_2q$

Then we can say  $q$  and  $p$  were born on the same hour of same day of some year.  $\implies qR_1p$

iii. **Proof of Transitivity:** Let,  $p, q, r \in \mathcal{P}$  and  $pR_2q, qR_2r$

As  $pR_2q \implies p$  and  $q$  were born on the same hour of same day of some year and  $qR_2r \implies q$  and  $r$  were born on the same hour of same day of some year. then we can clearly say,  $p$  and  $r$  were born on the same hour of same day of some year.  $\implies pR_1r$

Clearly this  $R_2$  will divide  $\mathcal{P}$  into  $365*24$  ( $366*24$ , for leap years) equivalence classes i.e. the number of hours in any year.

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