GLAS: Global-to-Local Safe Autonomy Synthesis for Multi-Robot Motion Planning with End-to-End Learning

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Abstract—We present GLAS: Global-to-Local Autonomy Synthesis, a provably-safe, automated distributed policy generation for multi-robot motion planning. Our approach combines the advantage of centralized planning of avoiding local minima with the advantage of decentralized controllers of scalability and distributed computation. In particular, our synthesized policies only require relative state information of nearby neighbors and obstacles, and compute a provably-safe action. Our approach has three major components: i) we generate demonstration trajectories using a global planner and extract local observations from them, ii) we use deep imitation learning to learn a decentralized policy that can run efficiently online, and iii) we introduce a novel differentiable safety module to ensure collision-free operation, enabling end-to-end policy training. Our numerical experiments demonstrate that our policies have a 20 % higher success rate than ORCA across a wide range of robot and obstacle densities. We demonstrate our method on an aerial swarm, executing the policy on low-end microcontrollers in real-time.

I. Introduction

Teams of robots that are capable of navigating in dynamic and occluded environments are important for applications in next generation factories, urban search and rescue, and formation flying in cluttered environments or in space. Current centralized approaches can plan such motions with completeness guarantees, but require full state information not available to robots on-board, and are too computationally expensive to run in real-time. Distributed approaches instead use local decoupled optimization, but often cause robots to get trapped in local minima in cluttered environments. Our approach, GLAS, bridges this gap by using a global planner offline to learn a decentralized policy that can run efficiently online. We can thus automatically synthesize an efficient policy that avoids getting trapped in many cases. Unlike other learning-based methods for motion planning, GLAS operates in continuous state space with a timevarying number of neighbors and generates provably safe, dynamically-coupled policies. We demonstrate in simulation that our policy achieves significantly higher success rates compared to ORCA, a state-of-the art decentralized approach for single integrator dynamics. We also extend our approach to double integrator dynamics, and demonstrate that our synthesized policies work well on a team of quadrotors with low-end microcontrollers.

The overview of GLAS is shown in Fig. 1. First, we generate trajectories for random multi-robot motion planning

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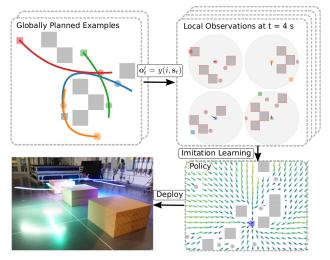


Fig. 1. We learn distributed policies using trajectories from a global planner. We mask non-local information with an observation model, and perform imitation learning on an observation-action dataset. In the policy, the grey boxes are static obstacles, the grey circles are other robots, and the blue circle is the robot's goal position. We include a video of our simulations and hardware experiments at https://youtu.be/RZmF-1q1fVg.

instances using a global planner. Second, we apply a local observation model to generate a dataset of observation-action pairs. Third, we perform deep imitation learning to generate a local policy that imitates the expert (the global policy) to avoid local minima. The vector field of Fig. 1 shows that the policy successfully avoids local minima traps between obstacles and gridlock between robots.

We guarantee safety of our policy through a convex combination of the learned desired action and our safety module. Instead of using existing optimization-based methods, we derive an analytic form for our safety module. The advantage of this safety module is that it is fully differentiable, which enables us to train our policy end-to-end, resulting in lower control effort.

Our main contributions are: i) to our knowledge, this is the first approach that automatically synthesizes a local policy from global demonstrations in a continuous state/action domain while guaranteeing safety and ii) derivation of a novel differentiable safety module compatible with end-to-end learning for dynamically-coupled motion planning.

We show that our policies outperform the state-of-the-art distributed multi-robot motion planners for single integrator dynamics in terms of success rate in a wide range of robot/obstacle density cases. We also implement GLAS for double integrator dynamics in simulation and on an aerial swarm, demonstrating real-time computation on low-end

microcontrollers.

A. Related Work

Multi-robot motion planning is an active area of research because it is a non-convex optimization problem with high state and action dimensionality. We compare the present work with state-of-the-art methods: (a) collision avoidance controllers, (b) optimal motion-planners, and (c) deep-learning methods.

Collision Avoidance: Traditional controller-level approaches include Optimal Reciprocal Collision Avoidance (ORCA) [1], Buffered Voronoi Cells [2], Artificial Potential Functions [3-5], and Control Barrier Functions [6]. These methods are susceptible to trapping robots in local minima. We address this problem explicitly by imitating a complete global planner with local information. For optimal performance, we propose to learn a controller end-to-end, including the safety module. Existing methods that are based on optimization [1, 2, 6] are challenging for backpropagation for end-to-end training. Existing analytic methods [3] do not explicitly deal with the case of gridlock where robot's respective barriers cancel each other and disturbances can cause the system to violate safety. Thus, we derive a novel differentiable safety module. This system design of fully differentiable modules for end-to-end backpropagation is also explored in reinforcement learning [7] and estimation [8].

Motion Planners: Motion planners are a higher-level approach that explicitly solve the optimal control problem over a time horizon. Solving the optimal control problem is nonconvex, so most recent works with local guarantees use approximate methods like Sequential Convex Programming to quickly reach a solution [9, 10]. Motion planners are distinguished as either global and centralized [10] or local and decentralized [9, 11], depending on whether they find solutions in joint space or computed by each robot.

Our method attempts to take completeness from global planners and scalability from local solutions. The local minima issue shared by all the local methods is a natural trade-off of decentralized algorithms. Our method explores this trade-off explicitly by imitating a complete, global planner with only local information.

Deep Learning Methods: Recently, there have been new learning-based approaches for multi-robot path planning [12–16]. These works use deep Convolutional Neural Networks (CNN) in a discrete state/action domain. Such discretization prevents coupling to higher-order robot dynamics whereas our solution permits tight coupling to the system dynamics by operating in a continuous state/action domain using a novel network architecture based on Deep Sets [17]. Additionally, our architecture is less computationally expensive than CNNs, allowing real-time evaluation on low-end microcontrollers.

Some works use Imitation Learning (IL) to imitate an expensive planner [12–14, 18], thereby replacing the optimal control or planning solver with a learned function that approximates the solution. GLAS uses IL and additionally changes the input domain from full state information to a

local observation, enabling us to synthesize a decentralized policy from a global planner.

II. PROBLEM FORMULATION

Notation: We denote vectors with a boldface lowercase, functions with an italics lowercase letter, scalar parameters with plain lowercase, subspaces/sets with calligraphic uppercase, and matrices with plain uppercase. We denote a robot index with a superscript i or j, and a state or action without the robot index denotes a joint space variable of stacked robot states. A double superscript index denotes a relative vector, for example $\mathbf{s}^{ij} = \mathbf{s}^j - \mathbf{s}^i$. We use a continuous time domain, and we suppress the explicit time dependency for states, actions, and dependent functions for notation simplicity.

Problem Statement: Let $\mathcal V$ denote the set of n_i robots, $\mathcal G = \{\mathbf g^1,\dots,\mathbf g^{n_i}\}$ denote their respective goal states, $\mathcal S_0 = \{\mathbf s^1_0,\dots,\mathbf s^{n_i}_0\}$ denote their respective start states, and Ω denote the set of m static obstacles. At time t, each robot i makes a local observation, $\mathbf o^i$, uses it to formulate an action, $\mathbf u^i$, and updates its state, $\mathbf s^i$, according to the dynamical model. Our goal is to find a controller, $u:\mathcal O\to\mathcal U$ that synthesizes actions from local observations through:

$$\mathbf{o}^i = y(i, \mathbf{s}), \quad \mathbf{u}^i = u(\mathbf{o}^i), \quad \forall i, t$$
 (1)

to approximate the solution to the optimal control problem:

$$\mathbf{u}^* = \operatorname{argmin}_{\{\mathbf{u}^i | \forall i, t\}} c(\mathbf{s}, \mathbf{u}) \qquad \text{s.t.}$$

$$\dot{\mathbf{s}}^i = f(\mathbf{s}^i, \mathbf{u}^i) \qquad \forall i, t$$

$$\mathbf{s}^i(0) = \mathbf{s}_0^i, \ \mathbf{s}^i(t_f) = \mathbf{g}^i, \ \mathbf{s} \in \mathcal{X} \quad \forall i$$

$$\|\mathbf{u}^i\|_2 \le u_{\text{max}} \qquad \forall i, t$$
(2)

where, \mathcal{O} , \mathcal{U} , and \mathcal{S} are the observation, action, and state space, y is the local observation model, c is some cost function, f is the dynamical model, $\mathcal{X} \subset \mathcal{S}$ is the safe set capturing safety of all robots, t_f is the time of the simulation, and u_{\max} is the maximum control authority.

Dynamical Model: We consider both single and double integrator systems. The single integrator state(/action) is a position(/velocity) vector in \mathbb{R}^{n_q} . The double integrator state is a stacked position and velocity vector in \mathbb{R}^{2n_q} , and the action is a vector of accelerations in \mathbb{R}^{n_q} . Here, n_q denotes the dimension of the configuration space. The dynamics of the i^{th} robot for single and double integrator systems are:

$$\dot{\mathbf{s}}^i = \dot{\mathbf{p}}^i = \mathbf{u}^i \quad \text{and} \quad \dot{\mathbf{s}}^i = \begin{bmatrix} \dot{\mathbf{p}}^i \\ \dot{\mathbf{v}}^i \end{bmatrix} = \begin{bmatrix} \mathbf{v}^i \\ \mathbf{u}^i \end{bmatrix},$$
 (3)

respectively, where \mathbf{p}^i and \mathbf{v}^i denote position and velocity.

Observation Model: We are primarily focused on studying the transition from global to local, which is defined via an observation model, $y: \mathcal{V} \times \mathcal{S} \to \mathcal{O}$. An observation is:

$$\mathbf{o}^{i} = \left[\mathbf{e}^{ii}, \{\mathbf{s}^{ij}\}_{j \in \mathcal{N}_{\mathcal{V}}^{i}}, \{\mathbf{s}^{ij}\}_{j \in \mathcal{N}_{\mathcal{Q}}^{i}} \right], \tag{4}$$

where $e^{ii} = g^i - s^i$ and $\mathcal{N}^i_{\mathcal{V}}$, \mathcal{N}^i_{Ω} denote the neighboring set of robots and obstacles, respectively. These sets are defined by the observation radius, r_{sense} , e.g.,

$$\mathcal{N}_{\mathcal{V}}^{i} = \{ j \in \mathcal{V} \mid \|\mathbf{p}^{ij}\|_{2} \le r_{\text{sense}} \}. \tag{5}$$

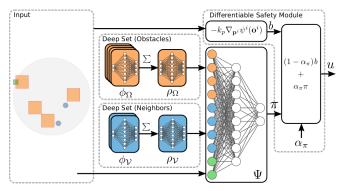


Fig. 2. Neural network architecture consisting of 5 feed-forward components. Each relative location of an obstacle is evaluated in ϕ_{Ω} ; the sum of all ϕ_{Ω} outputs is the input of ρ_{Ω} (deep set for obstacles). Another deep set $(\phi_{\mathcal{V}})$ and $\rho_{\mathcal{V}}$) is used for neighboring robot positions. The desired control π is computed by Ψ , which takes the output of ρ_{Ω} , $\rho_{\mathcal{V}}$, and the relative goal location as input. The actual number of hidden layers is larger than shown. The formula for the single integrator b function is shown.

We encode two different neighbor sets because we input robot and obstacles through respective sub-networks of our neural network architecture, in order to generate heterogeneous behavior in reaction to different neighbor types. We denote the union of the neighboring sets as \mathcal{N}^i .

Performance Metrics: To evaluate performance, we have two criteria as specified by the optimal control problem. We define our metrics over the set of successful robots, \mathcal{I} , that reach their goal and have no collisions:

$$\mathcal{I} = \{ i \in \mathcal{V} \mid \mathbf{s}^i(t_f) = \mathbf{g}^i \text{ and } \|\mathbf{p}_t^{ij}\| > r_{\text{safe}}, \ \forall j, t \}.$$
 (6)

Our first metric of success, r_s , is the number of successful robots, and our second metric, r_p , is the cost of deploying a successful robot trajectory. For example, if the cost function $c(\mathbf{s}, \mathbf{u})$ is the total control effort, the performance metric is the control effort of successful robots:

$$r_s = |\mathcal{I}|, \quad \text{and} \quad r_p = \sum_{i \in \mathcal{I}} \int_0^{t_f} \|\mathbf{u}^i\|_2 dt.$$
 (7)

III. ALGORITHM DESCRIPTION AND ANALYSIS: GLAS

In this section, we propose our method, GLAS, Global-to-Local Autonomy Synthesis, to find policy u:

$$u(\mathbf{o}^i) = \alpha_{\pi}(\mathbf{o}^i)\pi(\mathbf{o}^i) + (1 - \alpha_{\pi}(\mathbf{o}^i))b(\mathbf{o}^i), \tag{8}$$

where $\pi:\mathcal{O}\to\mathcal{U}$ is a learned function, $b:\mathcal{O}\to\mathcal{U}$ is a function to ensure safety, and $\alpha_\pi:\mathcal{O}\to\mathbb{R}$ is an adaptive gain function. We discuss each of the components of the controller in this section. The overview of our controller architecture is shown in Fig. 2.

A. Neural Policy Synthesis via Deep Imitation Learning

Here, we describe how to synthesize the neural policy π that imitates the behavior of an expert, where the expert is a global optimal planner. Explicitly, we take batches of observation-action pairs from an expert demonstration dataset and we train a neural network by minimizing the loss on output action given an observation. To use this method, we need to generate an observation-action pair dataset from

expert demonstration and design a deep learning architecture compatible with dynamic sensing network topologies.

1) Generating Demonstration Data: Our dataset is generated using expert demonstrations from an existing centralized planner [10]. This planner is resolution-complete and avoids local minima; it is computationally efficient so we can generate large expert demonstration datasets; and it uses an optimization framework that can minimize control effort, so the policy imitates a solution with high performance according to the previously defined metrics. Specifically, we compute our dataset by generating random maps with static obstacle locations, start/goal positions for variable number of robots, and trajectory demonstrations from a centralized planner. For each timestep and robot, we retrieve the local observation, o^i by masking the non-local information with the observation model y, and retrieving the action, \mathbf{u}^i through the appropriate derivative of the robot i trajectory, see Fig. 1. We repeat this process $n_{\rm case}$ times for each robot/obstacle case. Our dataset, \mathcal{D} , is:

$$\mathcal{D} = \{ (\mathbf{o}^i, \mathbf{u}^i)_k \mid \forall i \in \mathcal{V}, \forall k \in \{1 \dots n_{\text{case}}\}, \forall t \}.$$
 (9)

2) Model Architecture with Deep Sets: The number of visible neighboring robots and obstacles can vary dramatically during operation, which causes the dimensionality of the observation vector to be time-varying. Leveraging the permutation invariance of the observation, we model variable number of robots and obstacles with the Deep Set architecture [17, 19]. Theorem 7 from [17] establishes this property:

Theorem 1: Let $f:[0,1]^l \to \mathbb{R}$ be a permutation invariant continuous function iff it has the representation:

$$f(x_1, \dots, x_l) = \rho\left(\sum_{m=1}^l \phi(x_m)\right),\tag{10}$$

for some continuous outer and inner function $\rho: \mathbb{R}^{l+1} \to \mathbb{R}$ and $\phi: \mathbb{R} \to \mathbb{R}^{l+1}$, respectively. The inner function ϕ is independent of the function f.

Intuitively, the ϕ function acts as a contribution from each element in the set, and the ρ function acts to combine the contributions of each element. In effect, the policy can learn the contribution of the neighboring set of robots and obstacles with the following network structure:

$$\pi(\mathbf{o}^{i})_{n} = \Psi([\rho_{\Omega}(\sum_{j \in \mathcal{N}_{\Omega}^{i}} \phi_{\Omega}(\mathbf{s}^{ij})); \rho_{\mathcal{V}}(\sum_{j \in \mathcal{N}_{V}^{i}} \phi_{\mathcal{V}}(\mathbf{s}^{ij})])),$$

$$\pi(\mathbf{o}^{i}) = \pi(\mathbf{o}^{i})_{n} \min\{\pi_{\max}/\|\pi(\mathbf{o}^{i})_{n}\|_{2}, 1\},$$
(11)

where the semicolon denotes a stacked vector and $\Psi, \rho_{\Omega}, \phi_{\Omega}, \rho_{V}, \phi_{V}$ are feed-forward networks of the form:

$$FF(\mathbf{x}) = W^l \sigma(\dots W^1 \sigma(\mathbf{x})),$$
 (12)

where FF is a feed-forward network on input \mathbf{x} , W^l is the weight matrix of the l^{th} layer, and σ is the activation function. We define the parameters for each of the 5 networks in Sec. IV. We also scale the output of the π network to always be less than π_{max} , to maintain consistency with linear baselines we introduce later.

- 3) End-to-End Training: We train the neural policy π with knowledge of the safety module b, to synthesize a final controller u with symbiotic components. We train through the output of u, not π , even though b has no tuneable parameters. In effect, the parameters of π are updated such that the policy π smoothly interacts with b while imitating the global planner. With respect to a solution that trains through the output of π , end-to-end learning generates solutions with lower control effort, measured through the r_p metric (7). For a visual example of the effect of end-to-end learning, see Fig. 3 in Sec. IV.
- 4) Additional Methods in Training: We use additional preprocessing methods to the observation to improve our training process performance and to regularize the data. We denote the difference between the original observation and the preprocessed data with an apostrophe; for example, the input to the neural network is an observation vector denoted $\mathbf{o}^{i'}$.

We scale the relative goal vector observation as follows:

$$\mathbf{e}^{ii'} = \alpha_g \mathbf{e}^{ii}$$
, where $\alpha_g = \min\{r_{\text{sense}}/\|\mathbf{e}^{ii}\|, 1\}$. (13)

This regularizes cases when the goal is beyond the sensing radius. In such cases, the robot needs to avoid any robots/obstacles and continue towards the goal, but the magnitude of e^{ii} outside the sensing region is not important.

We cap the maximum cardinality of the neighbor and obstacle sets with $\overline{\mathcal{N}_{\mathcal{V}}}$ and $\overline{\mathcal{N}_{\Omega}}$, e.g.,

$$\mathcal{N_V^i}' = \{ j \in \mathcal{N_V^i} \mid \overline{\mathcal{N}_V} \text{-closest robots w.r.t. } \|\mathbf{p}^{ij}\| \}.$$
 (14)

This enables batching of observation vectors into fixeddimension tensors for fast training, and upper bounds the evaluation time of π to guarantee real-time performance on hardware in large swarm experiments. We include an example observation encoding in Fig 1.

B. System Safety

We adopt the formulation of safe sets used in Control Barrier Function analysis [6] and define the global safe set \mathcal{X} as the super-level set of a global safety function $g: \mathcal{S} \to \mathcal{X}$ \mathbb{R} . We define this global safety as the minimum of local safety functions, $h: \mathcal{O} \to \mathbb{R}$ that specify pairwise collision avoidance between all objects in the environment:

$$\mathcal{X} = \{ \mathbf{s} \in \mathcal{S} \mid g(\mathbf{s}) > 0 \}, \tag{15}$$

$$g(\mathbf{s}) = \min_{i,j} h(\overline{\mathbf{p}}^{ij}), \quad h(\overline{\mathbf{p}}^{ij}) = \frac{\|\overline{\mathbf{p}}^{ij}\| - r_{\text{safe}}}{r_{\text{sense}} - r_{\text{safe}}},$$
 (16)

where $\overline{\mathbf{p}}^{ij}$ denotes the vector between the closest point on object j to center of object i. This allows us to consistently define $r_{\rm safe}$ as the radius of the robot, where $r_{\rm safe} < r_{\rm sense}$. Intuitively, if a collision occurs between robots i, j, then $h(\overline{\mathbf{p}}^{ij}) < 0$ and $g(\mathbf{s}) < 0$ implying the system is not safe. In order to synthesize local controls with guaranteed global safety, we need to show non-local safety functions cannot violate global safety. Consider a pair of robots outside of the neighborhood, $\overline{\mathbf{p}}^{ij} > r_{\text{sense}}$. Clearly, $h(\overline{\mathbf{p}}^{ij}) > 1 > 0$, implying these are always safe.

C. Controller Synthesis

We use these safety functions to construct a global potential function, $\psi: \mathcal{S} \to \mathbb{R}$ that becomes unbounded when any safety is violated, which resembles logarithmic barrier functions used in the interior point method in optimization [20]. Similarly, we can construct a local function, $\psi^i: \mathcal{O} \to \mathbb{R}$:

$$\psi(\mathbf{s}) = -\log \prod_{i} \prod_{j \in \mathcal{N}^{i}} h(\overline{\mathbf{p}}^{ij}), \tag{17}$$

$$\psi(\mathbf{s}) = -\log \prod_{i} \prod_{j \in \mathcal{N}^{i}} h(\overline{\mathbf{p}}^{ij}), \tag{17}$$

$$\psi^{i}(\mathbf{o}^{i}) = -\log \prod_{j \in \mathcal{N}^{i}} h(\overline{\mathbf{p}}^{ij}). \tag{18}$$

We use the local potential ψ^i to synthesize the safety module b. We first state some assumptions and lemmas.

Assumption 1: We reset the system at time $t = t_0$ such that the distance between all objects is at least $r_{\text{safe}} + \Delta_r$, where Δ_r is a user-specified parameter.

Assumption 2: We assume robot i's shape not to exceed a ball of radius r_{safe} centered at \mathbf{p}^i .

Assumption 3: We assume obstacles to be static and their shape to be any general, homogeneous geometry.

Theorem 2: For single integrator dynamics given in (3), the safety defined by (15) is guaranteed under the control law (8) with the following definitions of $b(o^i)$ and $\alpha_{\pi}(o^i)$ for a scalar positive gain k_n :

$$b(\mathbf{o}^i) = -k_p \nabla_{\mathbf{p}^i} \psi^i(\mathbf{o}^i) \tag{19}$$

$$\alpha_{\pi}(\mathbf{o}^{i}) = \begin{cases} \frac{k_{p} \|\nabla_{\mathbf{p}^{i}} \psi^{i}(\mathbf{o}^{i})\|^{2}}{k_{p} \|\nabla_{\mathbf{p}^{i}} \psi^{i}(\mathbf{o}^{i})\|^{2} + |\langle \nabla_{\mathbf{p}^{i}} \psi^{i}(\mathbf{o}^{i}), \pi(\mathbf{o}^{i})\rangle|} & \Delta_{h}(\mathbf{o}^{i}) < 0\\ 1 & \text{else} \end{cases}$$
(20)

with $\Delta_h(\mathbf{o}^i) = \min_{j \in \mathcal{N}^i} h(\overline{\mathbf{p}}^{ij}) - \Delta_r$ and $\nabla_{\mathbf{p}^i} \psi^i$ as in (23).

Proof: Proving the boundedness of $\psi^i(\mathbf{o}^i)$ guarantees global safety because a bounded $\psi(s)$ implies no safety violation, and, if ψ is a sum of bounded functions, it is also bounded. To prove the boundedness of $\psi^i(\mathbf{o}^i)$, we use Lyapunov method analysis. First, we note that $\psi^i(\mathbf{o}^i)$ is an appropriate positive Lyapunov function candidate as $\psi^i(\mathbf{o}^i) > 0 \ \forall \mathbf{o}^i$, using the fact that $-\log(x) \in (0, \infty), \ \forall x \in$ (0,1). In the rest of the proof, we establish an Input-to-State stability result by showing $\mathbf{s} \in \partial \mathcal{X}^i \implies \dot{\psi}^i(\mathbf{o}^i) < 0$, where the domain $\partial \mathcal{X}^i \subset \mathcal{S}$ is defined as:

$$\partial \mathcal{X}^i = \{ \mathbf{s} \mid \Delta_h(\mathbf{o}^i) < 0 \}. \tag{21}$$

We take the time derivative of ψ^i along the system dynamics, and plug in single integrator dynamics (3) and controller (8):

$$\dot{\psi}^{i} = \langle \nabla_{\mathbf{s}} \psi^{i}, \dot{\mathbf{s}} \rangle = \sum_{i=1}^{n_{i}} \langle \nabla_{\mathbf{s}^{i}} \psi^{i}, \dot{\mathbf{s}}^{i} \rangle = \sum_{i=1}^{n_{i}} \langle \nabla_{\mathbf{p}^{i}} \psi^{i}, \mathbf{u}^{i} \rangle$$
$$= \sum_{i=1}^{n_{i}} \langle \nabla_{\mathbf{p}^{i}} \psi^{i}, \alpha_{\pi} \pi + (1 - \alpha_{\pi}) b \rangle, \tag{22}$$

where
$$\nabla_{\mathbf{p}^i} \psi^i = \sum_{j \in \mathcal{N}^i} \frac{\overline{\mathbf{p}}^{ij}}{\|\overline{\mathbf{p}}^{ij}\| (\|\overline{\mathbf{p}}^{ij}\| - r_{\text{safe}})}.$$
 (23)

From here, establishing that any element of the sum is negative when $s \in \partial \mathcal{X}^i$ implies the desired result. Expanding an arbitrary element at the i^{th} index with the definition of b:

$$\langle \nabla_{\mathbf{p}^{i}} \psi^{i}, \alpha_{\pi} \pi + (1 - \alpha_{\pi}) b \rangle$$

$$= -k_{p} \| \nabla_{\mathbf{p}^{i}} \psi^{i} \|^{2} + \alpha_{\pi} (\langle \nabla_{\mathbf{p}^{i}} \psi^{i}, \pi \rangle + k_{p} \| \nabla_{\mathbf{p}^{i}} \psi^{i} \|^{2})$$

$$\leq -k_{p} \| \nabla_{\mathbf{p}^{i}} \psi^{i} \|^{2} + \alpha_{\pi} (|\langle \nabla_{\mathbf{p}^{i}} \psi^{i}, \pi \rangle| + k_{p} \| \nabla_{\mathbf{p}^{i}} \psi^{i} \|^{2})$$

$$(24)$$

Plugging in the definition of α_{π} from (20), we can upper bound this expression by 0, completing the proof.

Theorem 3: For double integrator dynamics given in (3), safety defined by (15) is guaranteed under control law (8) for barrier and gain defined as:

$$b = -k_{v}(\mathbf{v}^{i} + k_{p}\nabla_{\mathbf{p}^{i}}\psi^{i}) - k_{p}\frac{d}{dt}\nabla_{\mathbf{p}^{i}}\psi^{i} - k_{p}\nabla_{\mathbf{p}^{i}}\psi^{i},$$

$$\alpha_{\pi} = \begin{cases} \frac{a_{1}}{a_{1} + |a_{2}|} & \Delta_{h}(\mathbf{o}^{i}) < 0\\ 1 & \text{else} \end{cases}, \tag{25}$$

$$a_{1} = k_{v}\|\mathbf{v}^{i} + k_{p}\nabla_{\mathbf{p}^{i}}\psi^{i}\|^{2} + k_{p}^{2}\|\nabla_{\mathbf{p}^{i}}\psi^{i}\|^{2},$$

$$a_{2} = \langle \mathbf{v}^{i}, k_{p}\nabla_{\mathbf{p}^{i}}\psi^{i} \rangle + \langle \mathbf{v}^{i} + k_{p}\nabla_{\mathbf{p}^{i}}\psi^{i}, \pi + k_{p}\frac{d}{dt}\nabla_{\mathbf{p}^{i}}\psi^{i} \rangle,$$

where $k_p > 0$ and $k_v > 0$ are scalar gains, Δ_h is defined as in Theorem 2, $\frac{d}{dt}\nabla_{\mathbf{p}^i}\psi^i$ is defined in (31) and the dependency on the observation is suppressed for legibility.

Proof: We take the same proof approach as in Theorem 2 to show Input-to-State Stability for ψ^i . We define a Lyapunov function, \mathbb{V} augmented with a backstepping term:

$$\mathbb{V} = k_p \psi^i + \frac{1}{2} \|\mathbf{v} - \mathbf{k}\|^2, \tag{26}$$

where \mathbf{v} is the stacked velocity vector, $\mathbf{v} = [\mathbf{v}^1; \dots; \mathbf{v}^{n_i}]$ and \mathbf{k} is the stacked nominally stabilizing control, $\mathbf{k} = -k_p[\nabla_{\mathbf{p}^1}\psi^1; \dots; \nabla_{\mathbf{p}^{n_i}}\psi^{n_i}]$. For the same reasoning as the previous result, \mathbb{V} is a positive function, and thus an appropriate Lyapunov candidate. Taking the derivative along the system dynamics, plugging in the dynamics:

$$\dot{\mathbb{V}} = \sum_{i=1}^{n_i} k_p \langle \nabla_{\mathbf{s}^i} \psi^i, \dot{\mathbf{s}}^i \rangle + \langle \mathbf{v}^i - \mathbf{k}^i, \mathbf{u}^i - \dot{\mathbf{k}}^i \rangle$$

$$= \sum_{i=1}^{n_i} k_p \langle \nabla_{\mathbf{p}^i} \psi^i, \mathbf{v}^i \rangle + \langle \mathbf{v}^i - \mathbf{k}^i, \mathbf{u}^i - \dot{\mathbf{k}}^i \rangle.$$
(28)

From here, establishing that an arbitrary element of the sum is negative when $s \in \partial \mathcal{X}^i$ implies the desired result. We rewrite the first inner product in this expression as:

$$\langle \nabla_{\mathbf{p}^{i}} \psi^{i}, \mathbf{v}^{i} \rangle = \langle \nabla_{\mathbf{p}^{i}} \psi^{i}, \mathbf{k}^{i} \rangle + \langle \nabla_{\mathbf{p}^{i}} \psi^{i}, (\mathbf{v}^{i} - \mathbf{k}^{i}) \rangle$$
$$= -k_{p} \|\nabla_{\mathbf{p}^{i}} \psi^{i}\|^{2} + \langle \nabla_{\mathbf{p}^{i}} \psi^{i}, (\mathbf{v}^{i} - \mathbf{k}^{i}) \rangle. \tag{29}$$

Next, we expand the second inner product of (28), and plug

in the controller k^i, u^i , and b:

$$\langle \mathbf{v}^{i} + k_{p} \nabla_{\mathbf{p}^{i}} \psi^{i}, \mathbf{u}^{i} + k_{p} \frac{d}{dt} \nabla_{\mathbf{p}^{i}} \psi^{i} \rangle$$

$$= -k_{v} \| \mathbf{v}^{i} + k_{p} \nabla_{\mathbf{p}^{i}} \psi^{i} \|^{2} - k_{p} \langle \nabla_{\mathbf{p}^{i}} \psi^{i}, \mathbf{v}^{i} + k_{p} \nabla_{\mathbf{p}^{i}} \psi^{i} \rangle$$

$$+ \alpha_{\pi} \langle \mathbf{v}^{i} + k_{p} \nabla_{\mathbf{p}^{i}} \psi^{i}, \pi - b \rangle$$
where
$$\frac{d}{dt} \nabla_{\mathbf{p}^{i}} \psi^{i} = \frac{\mathbf{v}^{i}}{\| \overline{\mathbf{p}^{ij}} \| (\| \overline{\mathbf{p}^{ij}} \| - r_{\text{safe}})}$$

$$- \frac{\langle \mathbf{p}^{i}, \mathbf{v}^{i} \rangle \mathbf{p}^{i}}{\| \overline{\mathbf{p}^{ij}} \| (\| \overline{\mathbf{p}^{ij}} \| - r_{\text{safe}})^{2})}$$
(31)

Combining both terms back into the expression:

$$k_p \langle \nabla_{\mathbf{p}^i} \psi^i, \mathbf{v}^i \rangle + \langle \mathbf{v}^i - \mathbf{k}^i, \mathbf{u}^i - \dot{\mathbf{k}}^i \rangle = -k_p^2 \|\nabla_{\mathbf{p}^i} \psi^i\|^2 - k_v \|\mathbf{v}^i + k_p \nabla_{\mathbf{p}^i} \psi^i\|^2 + \alpha_\pi \langle \mathbf{v}^i + k_p \nabla_{\mathbf{p}^i} \psi^i, \pi - b \rangle$$
(32)

Expanding the last term with the definition of b, and upper bounding it:

$$\langle \mathbf{v}^{i} + k_{p} \nabla_{\mathbf{p}^{i}} \psi^{i}, \pi - b \rangle$$

$$= (k_{v} \| \mathbf{v}^{i} + k_{p} \nabla_{\mathbf{p}^{i}} \psi^{i} \|^{2} + k_{p}^{2} \| \nabla_{\mathbf{p}^{i}} \psi^{i} \|^{2})$$

$$+ (\langle \mathbf{v}^{i}, k_{p} \nabla_{\mathbf{p}^{i}} \psi^{i} \rangle + \langle \mathbf{v}^{i} + k_{p} \nabla_{\mathbf{p}^{i}} \psi^{i}, \pi + k_{p} \frac{d}{dt} \nabla_{\mathbf{p}^{i}} \psi^{i} \rangle)$$

$$= a_{1} + a_{2} \leq a_{1} + |a_{2}|$$

$$(33)$$

where terms in parenthesis are grouped into a_1, a_2 to improve legibility. Plugging in the definition for α_{π} from (25) upper bounds the expression by 0, completing the proof.

We make some remarks on the results of the proofs.

Remark 1: The setup for this proof is to give π maximal authority without violating safety. This trade-off is characterized through the design parameter Δ_r , and the gains k_p, k_v that control the measure of the conservativeness of the algorithm. For the discrete implementation of this algorithm, we introduce a parameter, $\epsilon \ll 1$, to artificially decrease α_π such that $\alpha_\pi = 1 - \epsilon$ when $\Delta_h > 0$. The results (in continuous time) of the proof still hold as α_π essentially scales a destabilizing term, so a lower α_π further stabilizes the system.

Remark 2: Intuitively, $\Delta_h(\mathbf{o}^i)$ defines an unsafe domain for robot i. In safe settings, i.e. $\Delta_h>0$, $\alpha_\pi=1-\epsilon$ and so the barrier has little effect on the behavior. In most unsafe cases, the barrier will be activated, driving a large magnitude safety response. However, in dense multi-robot settings, it is possible for safety responses to cancel each other out in a gridlock, resulting in dangerous scenarios where small disturbances can cause the system to violate safety. In this case, we use the above result to put an adaptive gain on the neural policy and to drive α_π to 0, cancelling the effect of π . Thus, we use a convex combination of neural policy and safety module to guarantee safety.

Remark 3: In Theorem 2, we synthesize a local nominal control that guarantees the global safety of the system. In Theorem 3, we use Lyapunov backstepping to provide the same nominal control through a layer of dynamics. This method is valid for a large class of nonlinear dynamical systems known as full-state feedback linearizeable systems [21]. By following this method, GLAS can be extended to other nonlinear dynamical systems in a straightforward manner.

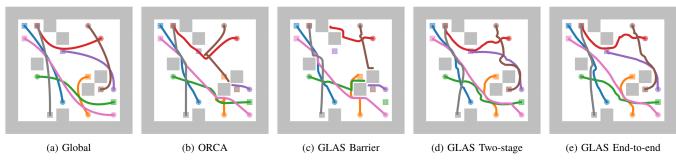


Fig. 3. Example trajectories for baselines (a-c) and our proposed method (d,e), where the goal is to move robots from their starting position (circles) to the goal position (squares). Our methods achieve the highest success rate. The GLAS end-to-end policy generates trajectories that use less control effort.

IV. EXPERIMENTS

In this section, we present results of simulation comparing GLAS and its variants with state-of-the-art baselines as well as experimental results on physical quadrotors. Our supplemental video includes animations of our simulations as well as videos of our experiments.

A. Learning Implementation and Hyperparameters

For data generation, we use an existing implementation of a centralized global trajectory planner [10] and generate $\approx 2\times 10^5~(200~\rm k)$ demonstrations in random $8~\rm m\times 8~m$ environments with 10 or 20~% obstacles randomly placed in a grid pattern and 4, 8, or 16 robots (e.g., see Fig. 3 for 10~% obstacles and 8 robots). We sample trajectories every $0.5~\rm s$ and generate $|\mathcal{D}|=40\times 10^6~(40~\rm M)$ data points in total, evenly distributed over the 6 different environment kinds. We use different datasets for single and double integrator dynamics with different desired smoothness in the global planner.

We implement our learning framework in Python using PyTorch [22]. The ϕ_{Ω} and $\phi_{\mathcal{V}}$ have an input layer with 2 neurons, one hidden layer with 64 neurons, and an output layer with 16 neurons. The ρ_{Ω} and ρ_{V} networks have 16 neurons in their input and output layers and one hidden layer with 64 neurons. The Ψ network has an input layer with 34 neurons, one hidden layer with 64 neurons, and outputs π using two neurons. All networks use a fully connected feedforward structure with ReLU activation functions. We use an initial learning rate of 0.001 with the PyTorch optimizer ReduceLROnPlateau function, a batch size of 32 k, and train for 200 epochs. For efficient training of the Deep Set architecture, we create batches where the number of neighbors $|\mathcal{N}_{\mathcal{V}}|$ and number of obstacles $|\mathcal{N}_{\Omega}|$ are the same and limit the observation to a maximum of 6 neighbors and 6 obstacles.

B. GLAS Variants

We study the effect of each component of the system architecture by comparing variants of our controller: *end-to-end, two-stage*, and *barrier*. End-to-end and two-stage are synthesized through (8), but differ in how π is trained. For end-to-end we calculate the loss on $u(\mathbf{o}^i)$, while for two-stage we calculate the loss on $\pi(\mathbf{o}^i)$. Comparing these two methods isolates the effect of the end-to-end training. The

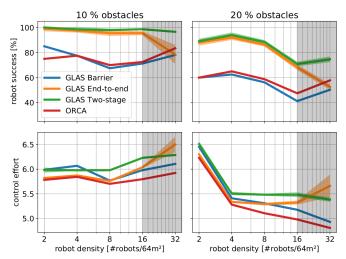


Fig. 4. Success rate and control effort with varying numbers of robots in a $8\,\mathrm{m} \times 8\,\mathrm{m}$ space. Shaded area around the lines denotes standard deviation over 5 repetitions. The shaded gray box highlights validation outside the training domain.

barrier variant is a linear feedback to goal controller with our safety module. Essentially, barrier is synthesized with (8), where the π heuristic is replaced with a linear goal term: $K\mathbf{e}^{ii}$, where, for single integrator systems, $K=k_pI$, and for double integrator systems, $K=[k_pI,k_vI]$, with scalar gains k_p and k_v . Studying the performance of the barrier variant isolates the effect of the global-to-local heuristic training.

C. Single Integrator Dynamics

We compare our method with ORCA, a state-of-theart decentralized approach for single integrator dynamics. Unlike GLAS, ORCA requires relative velocities with respect to neighbors in addition to relative positions. All methods compute a velocity action with guaranteed safety.

We show example trajectories for the global planner, ORCA, and GLAS variants in Fig. 3. In Fig. 3(b)/3(c), the purple and brown robots are getting stuck in local minima caused by obstacle traps. In Fig. 3(d)/3(e), our learned policies are able to avoid those local minima. The end-to-end approach produces smoother trajectories that use less control effort, e.g., red and brown robot trajectories in Fig. 3(e).

1) Evaluation of Metrics: We deploy the baseline and variants over 100 validation cases with 2, 4, 8, 16, and 32 robots and 10% and 20% obstacle density (10 validation instances for each case) and empirically evaluate the metrics

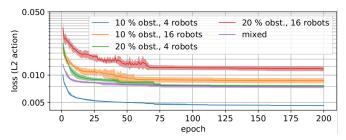


Fig. 5. Testing loss when training using 10 and 20 % obstacles and 4 or 16 robots. Synthesizing a distributed policy that is consistent with the global data is harder for high robot densities than for high obstacle densities. We use the GLAS end-to-end with $|\mathcal{D}|=5\,\mathrm{M}$ and repeat 5 times.

defined in (7). Our training data only contains different examples with up to 16 robots. We train 5 instances of end-to-end and two-stage models, to quantify the effect of random-weight initialization in the neural networks on performance, see Fig. 4 for our results.

In the top row, we consider the success metric r_s . In a wide range of robot/obstacle cases (2–16 robots/64 $\rm m^2$), our global-to-local methods outperform ORCA by 20 %, solving almost all instances. Our barrier variant has a similar success rate as ORCA, demonstrating that the neural heuristic π is crucial for our high success rates. The two-stage approach generalizes better to higher-density cases beyond those in the training data. We observe the inverse trend in the double integrator case and analyzing this effect is an interesting future direction.

In the bottom row, we measure control effort r_p . Our end-to-end approach uses less control effort than the two-stage approach. ORCA has the lowest control effort, because the analytical solution to single integrator optimal control is a bang-bang controller, similar in nature to ORCA's implementation.

2) Effect of Complexity of Data on Loss Function: At some robot/obstacle density, local observations and their actions will become inconsistent, i.e., the same observation will match to different actions generated by the global planner. We quantify this data complexity limit by recording the value of the validation loss when training using datasets of varying complexity, see Fig. 5.

Here, we train the end-to-end model with $|\mathcal{D}|=5\,\mathrm{M}$ using isolated datasets of 10 and 20 % obstacles and with 4 and 16 robots, as well as a mixed dataset. We see that the easiest case, 4 robots and 10 % obstacles, results in a the smallest loss, roughly 1 % of the maximum action magnitude of the expert. The learning task is more difficult with high robot density compared to high obstacle density. A mixed dataset, as used in all other experiments, is a good trade-off between imitating the expert very well and being exposed to complex situations.

3) Effect of Radius of Sensing on Performance: We quantify the transition from local-to-global by evaluating the performance of models trained with various sensing radius and dataset size. We evaluate performance on a validation set of 4, 8, and 16 robot cases with 10 % and 20 % obstacle densities. First, we found that there exists an optimal sensing

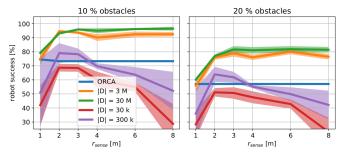


Fig. 6. Effect of sensing radius and amount of training data on robot success rate. The validation has 4, 8, and 16 robot cases with 10 instances each. Training and validation were repeated 5 times; the shaded area denotes the standard deviation.

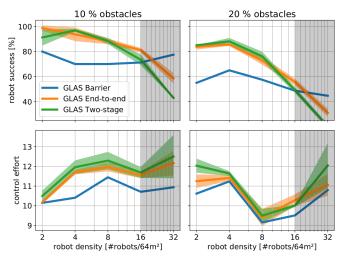


Fig. 7. Success rate and control effort with varying numbers of robots in a $8\,\mathrm{m} \times 8\,\mathrm{m}$ workspace for double integrator systems. Shaded area around the lines denotes standard deviation over 5 repetitions. The shaded gray box highlights validation outside the training domain.

radius for a given amount of data, which increases with larger datasets. For example, in the $20\,\%$ obstacle case, the optimal sensing radius for $|\mathcal{D}|=300\,\mathrm{k}$ is around $2\,\mathrm{m}$ and the optimal radius for $|\mathcal{D}|=30\,\mathrm{M}$ is $8\,\mathrm{m}$. Second, we found that between models of various dataset sizes the performance gap at small sensing radii is smaller compared to the performance gap at large sensing radii. This result suggests that little data is needed to use local information well, and large amounts of data is needed to learn from global data.

D. Double Integrator Dynamics

We extend our results to double integrator dynamical systems to demonstrate GLAS extends naturally as a dynamically-coupled motion planner. Similar to the single integrator evaluation, we show double integrator statistical evaluation with respect to the metrics of interest defined in (7), for varying robot and obstacle density cases. We use the same experiment preparation as the single integrator case discussed in Sec. IV-C.1, with a different dataset ($|\mathcal{D}| = 20\,\mathrm{M}$) and the results are shown in Fig. 7.

In the top row, we consider the success metric r_s . In wide range of robot density cases (2–16 robots/64 m²), our global-to-local end-to-end method again outperforms the barrier baseline by 15 % to 20 %. In the 32 robots/64 m² case, the barrier baseline outperforms the global-to-local methods.

We suspect this is a combination of our method suffering from the significantly higher complexity of the problem and the naive barrier method performing well because the disturbances from the robot interaction push the robot out of local minima obstacle traps. In contrast to the single integrator case, the end-to-end solution generalizes better than the two-stage in higher robot densities. We conjecture that having a much larger training set can significantly improve performance.

In the bottom row, we consider the performance metric r_p . For double integrator systems, the cost function $c(\mathbf{s}, \mathbf{u})$ corresponds to the energy consumption of each successfully deployed robot. The end-to-end variant uses less effort (\leq 6.25 %) than the two-stage method on average.

E. Experimental Validation with Aerial Swarms

We implement the policy evaluation (π, α_{π}, b) in C to enable real-time execution on-board of Crazyflie 2.0 quadrotors using double integrator dynamics (see Fig. 1). The quadrotors use a small STM32 microcontroller with $192\,\mathrm{kB}$ SRAM running at $168\,\mathrm{MHz}$. Our policy evaluation takes $3.4\,\mathrm{ms}$ for 1 neighbor and $5.0\,\mathrm{ms}$ for 3 neighbors, making it computationally efficient enough to execute our policy in real-time at $40\,\mathrm{Hz}$. On-board, we evaluate the policy, forward-propagate double integrator dynamics, and track the resulting position and velocity setpoint using a nonlinear controller.

We use a double integrator GLAS end-to-end policy in three different scenarios with up to 3 obstacles and 12 quadrotors. We fly in a $5\,\mathrm{m}\times5\,\mathrm{m}\times2\,\mathrm{m}$ motion capture space, where each robot is equipped with a single marker, using the Crazyswarm [23] for tracking and scripting. These scenarios are shown in the supplemental video. Our demonstration shows that our policy works well on robots and that it can also handle cases that are considered difficult in decentralized multi-robot motion planning, such as swapping positions with a narrow corridor.

V. CONCLUSION

In this work, we present GLAS, a novel approach for multi-robot motion planning that combines the advantages of existing centralized and distributed approaches. Unlike traditional distributed methods, GLAS avoids local minima in many cases. Unlike existing centralized methods, GLAS only requires local relative state observations, which can be measured on board or communicated locally. We derive a novel differentiable safety module that enables end-toend training with guaranteed collision-free operation. The end-to-end training approach achieves lower control effort solutions compared to a two-stage variant. For single integrator dynamics, we demonstrate that GLAS achieves a significantly higher success rate when compared to ORCA in medium robot-density cases. GLAS is naturally suited as a dynamically-coupled motion planner, demonstrated by our double integrator extension. Finally, we demonstrate in our hardware experiments that the learned policies can

be executed in real-time on low-end microcontrollers on quadrotors.

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