# Generalized QCTO for Metamaterial-Lens-Coated Conformal Arrays

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Abstract—The use of inhomogeneous metamaterial lenses is proposed to enable suitable radiation properties for arbitrary-shape antenna arrays. Towards this end, the Quasi-Conformal Transformation Optics (QCTO) methodology is generalized to allow an arbitrary physical arrangement coated with a suitable lens to exhibit the same radiating features of an arbitrary reference virtual array in free space. A representative numerical example, concerned with a two-dimensional layout, is presented to assess the effectiveness of the proposed method as well as the enhanced features of the resulting metamaterial-coated arrays with respect to standard conformal arrangements.

*Index Terms*—Circular arrays, conformal arrays, dielectric lenses, material-by-design, metamaterials, quasi-conformal transformation optics (QCTO).

#### I. Introduction and Motivation

**▼** ONTROLLING the beam shape and the radiation features during scanning is fundamental in phased array applications, such as radar [1], remote sensing [2], and communication systems [3], [4], where the resolution, the sensitivity, or the gain of the antenna must be invariant in azimuth and/or elevation. This is the main motivation behind the success of circular arrangements in phased array design since they intrinsically avoid beam distortions owing to their radial symmetry [1]-[4]. Unfortunately, circular layouts cannot be employed whenever the antenna shape is heavily constrained by mechanical/aerodynamic requirements [5] as in satellites and aircrafts. Therefore, it is of great interest from the scientific and industrial viewpoint to design arbitrary-shaped (i.e., conformal) arrangements that exhibit a steering-controlled beam shape (as in circular arrays) or, more in general, that fit user-defined reference beam-shape requirements. In such a framework, the use of lenses of artificial inhomogeneous (and possibly anisotropic) materials turns out to be a promising solution to adapt and customize the radiating features of arbitrary antenna arrays (e.g., beam shape

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versus steering angle) to meet specific application/user requirements [6], [7]. Indeed, the exploitation of inhomogeneous media engineered through suitable *Material-by-Design* strategies [8], [9] has recently been used for electromagnetic field manipulations to synthesize invisibility cloaks, field-rotating devices, field concentrators, and polarization splitters (see [10]–[14] and the references therein).

Towards this end, Quasi-Conformal Transformation Optics (QCTO) has been introduced as a powerful theory to design non-magnetic broadband 2D lenses capable of modifying the field propagation according to the user-defined needs and requirements [7]. It is based on the form-invariance of Maxwell's equations under a suitable coordinate transformation [7], [15]. Its key advantage over other Transformation Optics (TO) techniques [11] relies on the fact that the coordinate transformation is enforced to be "smooth" so that the final lens layout usually exhibits a reduced anisotropy and non-magnetic properties [7]. More specifically, the QCTO establishes a mapping between [7], [15]

 a starting "virtual" geometry, which is characterized by known permittivity and permeability profiles and it presents the desired radiation features without regard to fitting the shape constraints,

and

 a final "physical" layout, satisfying the shape constraints, whose permittivity and permeability have to be determined so that the radiated field exactly reproduces that of the virtual geometry.

From an algorithmic viewpoint, the QCTO inputs are the virtual geometry and the lens shape (also indicated as "physical" geometry) plus the electromagnetic parameters (i.e., the dielectric features and the electromagnetic sources) of the virtual layout, while the corresponding outputs are the permittivity and the permeability profiles of the final lens layout. Based on these unique features, QCTO has been recently exploited to synthesize radiating structures affording controlled beam scanning [7]. In that case, the virtual geometry was a circular antenna arrangement surrounded by a "free space box", while the physical layout was a linear array coated with a suitable QCTO lens [7]. Despite the interesting results, the QCTO synthesis scheme proposed in [7] turns out to be limited to linear-to-conformal (or vice-versa) array transformations, while it cannot be directly applied to transformation electromagnetic problems where both virtual and physical layouts have arbitrary shapes.

This work is then aimed at extending the QCTO technique proposed in [7] to the design of conformal arrays matching the radiation properties of an arbitrarily user-defined virtual array. Towards this end, the QCTO method is generalized by means of

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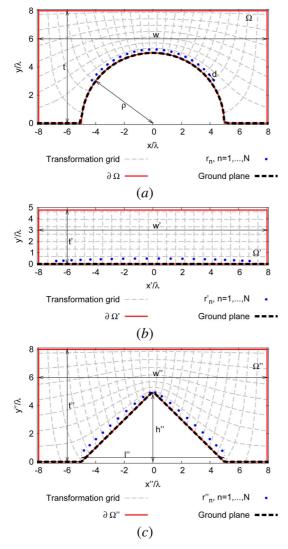


Fig. 1. [Problem Geometry] Coordinate system and transformation grids for 2D conformal array over a ground plane: (a) virtual geometry, (b) intermediate geometry, and (c) physical geometry.

a two-step transformation strategy enabling the definition of arbitrary virtual and physical layouts. Such a strategy is motivated by the fact that it enables to decompose the overall transformation into two concatenated QCTO steps that require the solution of two standard Laplace equations, that is (i) the transformation of an arbitrary virtual geometry into a rectangular intermediate one, and (ii) the transformation of this latter into the arbitrary physical one. A set of representative full-wave numerical results, concerned with edge-shaped arrays with steering-controlled beams in the 2D transverse-magnetic (TM) case, are then presented to assess the effectiveness and the flexibility of the proposed approach.

## II. PROBLEM FORMULATION AND QCTO SOLUTION

With reference to a time-harmonic<sup>1</sup> generic TO problem [7], [11], let us consider the *virtual* scenario represented by an arbitrary-shaped domain of extension  $\Omega$  characterized by permittivity and permeability tensors defined as  $\bar{\varepsilon}(\mathbf{r})$  and  $\bar{\mu}(\mathbf{r})$ , respectively, where  $\mathbf{r} = (x, y, z)$  represents a point in the *virtual* 

 $^{1}\mathrm{The}$  time dependency factor  $\exp(j2\pi ft)$  is assumed and omitted in the following.

coordinate system [Fig. 1(a)]. A *virtual* array of N antennas, which are modeled as N electric current line sources,  $\mathbf{J}_n(\mathbf{r}) = J_n \delta(x-x_n,y-y_n)\hat{z}, n=1,\ldots,N$  ( $J_n$  and  $\mathbf{r}_n \in \Omega$  being the complex amplitude and the position of the n-th array element, respectively), is assumed to generate the electric and magnetic field distributions  $\mathbf{E}(\mathbf{r})$  and  $\mathbf{H}(\mathbf{r})$ . Let us then consider a *physical* domain of extension  $\Omega''$  characterized by (unknown) dielectric tensors  $\bar{\varepsilon}''(\mathbf{r}'')$  and  $\bar{\mu}''(\mathbf{r}'')$ , such that  $\mathbf{r}'' = (x'', y'', z'')$  is the *physical* coordinate system [Fig. 1(c)] that results from  $\Omega$  by means of a vectorial coordinate transformation

$$\mathbf{r}'' = \mathbf{\Gamma}(\mathbf{r}) \triangleq \begin{bmatrix} \Gamma_x(\mathbf{r}) \\ \Gamma_y(\mathbf{r}) \\ \Gamma_z(\mathbf{r}) \end{bmatrix}, \quad \mathbf{r} \in \Omega, \, \mathbf{r}'' \in \Omega''. \tag{1}$$

The objective of the QCTO method is to determine the N transformed antenna sources  $\mathbf{J}_n''(\mathbf{r}'') = J_n''\delta(x'' - x_n'', y'' - y_n'')\hat{z}'',$   $n = 1, \ldots, N$  ( $J_n''$  and  $\mathbf{r}_n'' \in \Omega''$  being their complex amplitudes and positions, respectively) and the transformed permittivity/permeability distributions  $\bar{\varepsilon}''(\mathbf{r}'')$  and  $\bar{\mu}''(\mathbf{r}'')$  that generate outside  $\Omega''$  the *physical* distributions,  $\mathbf{E}''(\mathbf{r}'')$  and  $\mathbf{H}''(\mathbf{r}'')$ , equal to those of the *virtual* problem,  $\mathbf{E}(\mathbf{r})$  and  $\mathbf{H}(\mathbf{r})$ , outside  $\Omega$ . In other words,

$$\begin{cases} \mathbf{E}''(\mathbf{r}'') \approx \mathbf{E}(\mathbf{r}) \\ \mathbf{H}''(\mathbf{r}'') \approx \mathbf{H}(\mathbf{r}) \end{cases} \text{ if } \mathbf{r} = \mathbf{r}'', \mathbf{r} \notin \Omega, \mathbf{r}'' \notin \Omega''.$$
 (2)

The key step for solving such a problem is the computation of the so-called *deformation field*  $\Gamma(\mathbf{r})$  in (1) [16]. This is generally very complicated because of the difficulties in analytically expressing the shape of arbitrary  $\Omega$  and  $\Omega''$  contours [16]. Moreover,  $\Gamma(\mathbf{r})$  must be as smooth as possible [otherwise, physically unfeasible  $\bar{\epsilon}''(\mathbf{r}'')$  and  $\bar{\mu}''(\mathbf{r}'')$  arise] [7], [15]–[17]. Such a requirement has suggested  $\Gamma(\mathbf{r})$  be defined such that it complies with a Laplace's equation subject to Dirichlet boundary conditions since it always gives rise to harmonic (i.e., smooth) solutions [15], [16]. More specifically, the QCTO approach numerically determines  $\Gamma(\mathbf{r})$  by solving the following Laplace equation [15], [16]

$$\begin{cases} \nabla^{2}[\mathbf{\Gamma}(\mathbf{r})] = 0 & \mathbf{r} \in \Omega \\ \mathbf{\Gamma}(\mathbf{r})|_{\mathbf{r} = \partial\Omega} = \partial[\Omega''] \end{cases}$$
 (3)

where  $\partial\Omega$  and  $\partial[\Omega'']$  are the contours of the *virtual* [Fig. 1(a)] and the *physical* domains [Fig. 1(c)].

However, the computation of (3) by state-of-the-art QCTO techniques requires that either  $\Omega$  or  $\Omega''$  are "regular" (i.e., one of the domains has a rectangular shape) [7], [15] so that the corresponding boundary conditions are easily expressed [15]. In fact, although the standard Laplace equation (3) could be theoretically applied when both  $\partial\Omega$  and  $\partial[\Omega'']$  are arbitrary, the resulting  $\Gamma(\mathbf{r})|_{\mathbf{r}=\partial\Omega}=\partial[\Omega'']$  condition would not be analytically expressible and implementable in this case [15]. Consequently, the exploitation of the approach in [7], [15] is not convenient for the case at hand since either one or both  $\Omega$  and  $\Omega''$  can have an irregular shape.

Such a synthesis problem is then solved by exploiting a new two-step procedure based on the introduction of an *intermediate* transformation [Fig. 1(b)]. More specifically, the intermediate step is concerned with a rectangular domain  $\Omega'$  characterized by (unknown) dielectric tensors  $\bar{\varepsilon}'(\mathbf{r}')$  and  $\bar{\mu}'(\mathbf{r}')$  [ $\mathbf{r}' = (x', y', z')$ ]

being the *intermediate* coordinate system—Fig. 1(b)] and illuminated by N current sources,  $J'_n(\mathbf{r}') = J'_n \delta(x' - x'_n, y'$  $y_n')\hat{z}'$ , located at the positions  $\mathbf{r}_n' \in \Omega', n = 1, \dots, N$  with complex amplitudes  $J'_n$  that radiate the electric and magnetic field *intermediate* distributions  $\mathbf{E}'(\mathbf{r}')$  and  $\mathbf{H}'(\mathbf{r}')$ .

The overall synthesis approach can then be summarized as follows:

1) Compute the *quasi*-conformal mapping  $\mathbf{r}' = \Phi(\mathbf{r}) \stackrel{\triangle}{=}$  $[\Phi_x(\mathbf{r}), \Phi_y(\mathbf{r}), \Phi_z(\mathbf{r})]$   $(\mathbf{r} \in \Omega, \mathbf{r}' \in \Omega')$ , then derive  $\overline{\varepsilon}'(\mathbf{r}')$ ,  $\bar{\bar{\mu}}'(\mathbf{r}')$ , and  $\mathbf{J}'_n(\mathbf{r})$ ,  $n=1,\ldots,N$ , such that

$$\begin{cases} \mathbf{E}'(\mathbf{r}') \approx \mathbf{E}(\mathbf{r}) \\ \mathbf{H}'(\mathbf{r}') \approx \mathbf{H}(\mathbf{r}) \end{cases} \text{ if } \mathbf{r} = \mathbf{r}', \mathbf{r} \notin \Omega, \mathbf{r}' \notin \Omega'.$$
 (4)

2) Compute the *quasi*-conformal mapping  $\mathbf{r}'' = \Psi(\mathbf{r}') \triangleq$  $[\Psi_{x'}(\mathbf{r}'), \Psi_{y'}(\mathbf{r}'), \Psi_{z'}(\mathbf{r}')]$   $(\mathbf{r}' \in \Omega', \mathbf{r}'' \in \Omega'')$ , then derive  $\bar{\bar{\varepsilon}}''(\mathbf{r}'')$ ,  $\bar{\bar{\mu}}''(\mathbf{r}'')$ , and  $\mathbf{J}''_n(\mathbf{r})$ ,  $n=1,\ldots,N$ , such that

$$\begin{cases} \mathbf{E}''(\mathbf{r}'') \approx \mathbf{E}'(\mathbf{r}') \\ \mathbf{H}''(\mathbf{r}'') \approx \mathbf{H}'(\mathbf{r}') \end{cases} \text{ if } \mathbf{r}' = \mathbf{r}'', \mathbf{r}' \notin \Omega', \mathbf{r}'' \notin \Omega''.$$
 (5)

Since  $\Omega'$  is rectangular, the two above sub-problems fall within the QCTO assumptions [7], [15], [16]. Accordingly, the deformation fields  $\Phi(\mathbf{r})$  and  $\Psi(\mathbf{r}')$  can be determined by numerically solving the following Laplace's equations [7], [15], [16]

$$\begin{cases} \nabla^2 [\mathbf{\Phi}(\mathbf{r})] = 0 & \mathbf{r} \in \Omega \\ \mathbf{\Phi}(\mathbf{r})|_{\mathbf{r} = \partial\Omega} = \partial[\Omega'] \end{cases}$$
 (6)

and

$$\begin{cases} \nabla^{2}[\mathbf{\Psi}(\mathbf{r}')] = 0 & \mathbf{r}' \in \Omega' \\ \mathbf{\Psi}(\mathbf{r}')|_{\mathbf{r}' = \partial\Omega'} = \partial[\Omega''] \end{cases}$$
(7)

by means of state-of-the-art methods [7], [15], [16] whose implementations are available [18]. Once  $\Phi(\mathbf{r})$  and  $\Psi(\mathbf{r}')$  have been derived, the transformation optics rules [7], [15]–[17] can be applied to yield

$$\bar{\bar{\varepsilon}}'(\mathbf{r}') = \frac{\mathcal{J}[\mathbf{\Phi}(\mathbf{r})]\bar{\bar{\varepsilon}}(\mathbf{r})\mathcal{J}^T[\mathbf{\Phi}(\mathbf{r})]}{\det\{\mathcal{J}[\mathbf{\Phi}(\mathbf{r})]\}}\bigg|_{\mathbf{r}=\mathbf{r}'}$$
(8)

$$\bar{\varepsilon}'(\mathbf{r}') = \frac{\mathcal{J}[\mathbf{\Phi}(\mathbf{r})]\bar{\varepsilon}(\mathbf{r})\mathcal{J}^{T}[\mathbf{\Phi}(\mathbf{r})]}{\det\{\mathcal{J}[\mathbf{\Phi}(\mathbf{r})]\}}\bigg|_{\mathbf{r}=\mathbf{r}'} \tag{8}$$

$$\bar{\varepsilon}''(\mathbf{r}'') = \frac{\mathcal{J}[\mathbf{\Psi}(\mathbf{r}')]\bar{\varepsilon}'(\mathbf{r}')\mathcal{J}^{T}[\mathbf{\Psi}(\mathbf{r}')]}{\det\{\mathcal{J}[\mathbf{\Psi}(\mathbf{r}')]\}}\bigg|_{\mathbf{r}'=\mathbf{r}''} \tag{9}$$

where  $\cdot^T$  is the transpose operator,  $\det\{\cdot\}$  is the determinant, and  $\mathcal{J}[\Phi(\mathbf{r})]$  (and  $\mathcal{J}[\Psi(\mathbf{r}')]$ ) is the Jacobian transformation tensor, defined as

$$\mathcal{J}[\mathbf{\Phi}(\mathbf{r})] = \begin{bmatrix} \frac{\partial \Phi_x(\mathbf{r})}{\partial x} & \frac{\partial \Phi_x(\mathbf{r})}{\partial y} & \frac{\partial \Phi_x(\mathbf{r})}{\partial z} \\ \frac{\partial \Phi_y(\mathbf{r})}{\partial x} & \frac{\partial \Phi_y(\mathbf{r})}{\partial y} & \frac{\partial \Phi_y(\mathbf{r})}{\partial z} \\ \frac{\partial \Phi_z(\mathbf{r})}{\partial x} & \frac{\partial \Phi_z(\mathbf{r})}{\partial y} & \frac{\partial \Phi_z(\mathbf{r})}{\partial z} \end{bmatrix}$$
(10)

 $(\mathcal{J}[\Psi(\mathbf{r}')]$  is computed analogously). Moreover, the formulas for the permeability are directly obtained from (8) and (9) by the symbolic substitution  $\varepsilon \leftarrow \mu$  [16]. As for the sources, a simple geometrical shift is then required to take into account the applied transformation [7], [15], [16] and to yield

$$J_n'' = J_n' = J_n \tag{11}$$

and

$$\mathbf{r}_n'' = \mathbf{\Psi}(\mathbf{r}_n') = \mathbf{\Psi}(\mathbf{\Phi}(\mathbf{r}_n)) \tag{12}$$

 $\mathbf{r}_n \triangleq (x_n, y_n, 0), \mathbf{r}'_n \triangleq (x'_n, y'_n, 0), \mathbf{r}''_n \triangleq (x''_n, y''_n, 0)$  being the location of the *n*-th source in the  $\Omega$ ,  $\Omega'$ , and  $\Omega''$  domains, respectively.

By combining (8) and (9), the following expression is then derived

$$= \frac{\mathcal{J}[\mathbf{\Psi}(\mathbf{r}'')]}{\frac{\mathcal{J}[\mathbf{\Psi}(\mathbf{r}')] \left\{\frac{\mathcal{J}[\mathbf{\Phi}(\mathbf{r})]\bar{\mathbf{\varepsilon}}(\mathbf{r})\mathcal{J}^{T}[\mathbf{\Phi}(\mathbf{r})]}{\det\{\mathcal{J}[\mathbf{\Psi}(\mathbf{r}')]\}}\Big|_{\mathbf{r}=\mathbf{r}'}\right\} \mathcal{J}^{T}[\mathbf{\Psi}(\mathbf{r}')]}} \left|_{\mathbf{r}'=\mathbf{r}''}\right\}} \det\left\{\mathcal{J}[\mathbf{\Psi}(\mathbf{r}')]\right\}}$$
(13)

to provide, in conjunction with (11) and (12), the numerical solution to the *Material-by-Design* problem at hand.

It is worth noticing that the proposed procedure is uniquely defined once the shape and the size of  $\Omega$ ,  $\Omega'$ , and  $\Omega''$  are specified by the designer. In the following,  $\Omega$ ,  $\Omega'$ , and  $\Omega''$  will be chosen according to the QCTO guidelines presented in [7].

#### III. NUMERICAL RESULTS

To assess the features and the potentialities of the generalized QCTO methodology presented in Section II, let us consider, as a representative example, the synthesis of an edge-shaped array coated with a metamaterial lens [Fig. 1(c)] able to generate, outside the lens support, the same field distribution of a circular layout radiating in the free space [Fig. 1(a)].

With reference to the 2D scenario (i.e.,  $\bar{\varepsilon}(\mathbf{r})$  and  $\bar{\mu}(\mathbf{r})$  are independent from z) where the *virtual* array [Fig. 1(a)] is an arrangement of N=20 line sources located at  $x_n=(\rho+$  $\Delta \rho$ )  $\sin((d/\rho)(n-(N+1/2))), y_n = (\rho+\Delta\rho)\cos((d/\rho)(n-(N+1/2)))$ (N+1/2)),  $n=1,\ldots,N$ , with amplitudes

$$J_n = \exp\left(\frac{j2\pi\left(\rho + \Delta\rho\right)\cos\left(\frac{d}{\rho}\left(n - \frac{N+1}{2}\right) - \phi_s\right)}{\lambda}\right)$$

$$n = 1, \dots, N \quad (14)$$

 $\phi_s$  being the steering angle,  $\Delta \rho = 0.25 \lambda$  is the separation between the ground-plane and the antennas,  $\rho = 5\lambda$  is the ground-plane radius, and  $d = (\lambda/2)$  is the inter-element distance [Fig. 1(a)]. Under such an assumption, no geometrical transformation along z between the virtual and the physical geometry is needed and the deformation fields do not depend on z (i.e.,  $\Phi(\mathbf{r}) = \Phi(x,y)$  and  $\Psi(\mathbf{r}') = \Psi(x',y')$ ). Moreover, the z-component of the deformation field is always unitary (i.e.,  $\Phi_z(\mathbf{r}) = z$  and  $\Psi_z(\mathbf{r}') = z'$ ). By computing  $\mathcal{J}[\mathbf{\Phi}(\mathbf{r})]$  and  $\mathcal{J}[\Psi(\mathbf{r}')]$  according to (10) and substituting in (13), it follows that

$$\varepsilon_{xz}^{"}(\mathbf{r}^{"}) = \varepsilon_{yz}^{"}(\mathbf{r}^{"}) = \varepsilon_{zx}^{"}(\mathbf{r}^{"}) = \varepsilon_{zy}^{"}(\mathbf{r}^{"}) = 0$$
 (15)

analogously to other state-of-the-art QCTO 2D problems [7]. The same holds true for the  $\bar{\mu}''(\mathbf{r}'')$  components.

In order to apply the generalized QCTO technique, the following geometries are defined:

- $\Omega$  (virtual geometry) [Fig. 1(b)]: circularly-eroded lens with  $w = 16\lambda$ ,  $t = 8\lambda$ ,  $d = (\lambda/2)$ , with dielectric properties  $\bar{\varepsilon}(\mathbf{r}) = \varepsilon_0 I$ ,  $\bar{\mu}(\mathbf{r}) = \mu_0 I$ , I being the identity matrix;
- $\Omega'$  (intermediate geometry) [Fig. 1(b)]: rectangularly shaped lens with  $w' = 16\lambda$  and  $t' = 5\lambda$ ;

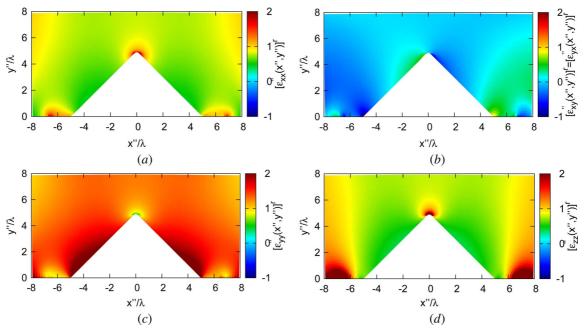


Fig. 2. [Lens Design] Relative permittivity distribution (normalized values) of the lens layout: (a)  $[\varepsilon''_{xx}(\mathbf{r}'')]^r$ , (b)  $[\varepsilon''_{xy}(\mathbf{r}'')]^r$ , (c)  $[\varepsilon''_{yy}(\mathbf{r}'')]^r$ , and (d)  $[\varepsilon''_{xz}(\mathbf{r}'')]^r$ .

•  $\Omega''$  (physical geometry) [Fig. 1(c)]: edge-eroded lens with  $w'' = 16\lambda$ ,  $t'' = 8\lambda$ ,  $h'' = 5\lambda$ , and  $l'' = 10\lambda$ .

The two-step QCTO approach is then carried out by first computing the deformation fields  $\Phi(x,y)$  and  $\Psi(x',y')$  by numerically solving (6) and (7). Towards this end, the technique in [18] is applied by discretizing  $\Omega'$  with square cells of sides  $(\lambda/50)$  and deducing the "deformed" grids in  $\Omega$  and  $\Omega''$  that satisfy the Laplace's equation (Fig. 1). For the sake of clarity, the deformations computed in the two QCTO steps are pictorially represented with the grey dashed grids in Fig. 1(a)–(c). More specifically, the deformed geometry of the intermediate space is shown in Fig. 1(b) by assuming a coarse Cartesian grid with cells of side dimensions  $\approx 0.7\lambda$ .

By substituting the numerically-computed functions  $\Phi(x,y)$  and  $\Psi(x',y')$  in (13), the relative permittivity  $[\bar{\varepsilon}''(\mathbf{r}'')]^r \triangleq (\bar{\varepsilon}''(\mathbf{r}'')/\varepsilon_0)$  (Fig. 2) is then obtained (the corresponding  $\bar{\mu}''(\mathbf{r}'')$  plots are not reported since, for symmetry reasons, they exactly correspond to those of the dielectric permittivity). As it can be noticed, the diagonal elements of the relative permittivity tensor [i.e.,  $[\varepsilon''_{xx}(\mathbf{r}'')]^r$ —Fig. 2(a),  $[\varepsilon''_{uu}(\mathbf{r}'')]^r$ —Fig. 2(c), and  $[\varepsilon''_{zz}(\mathbf{r}'')]^r$  - Fig. 2(d)] exhibit a range of variation between 1.0 and 2.0, with a concentration of the values significantly different from unity around the sharp edges of the lens. Such a result is actually expected from QCTO theory [7] because of the discontinuity in the slope of the ground-plane that causes higher values in the Jacobian of the deformation fields  $\Phi(\mathbf{r})$  and  $\Psi(\mathbf{r}')$  close to such regions. On the contrary, off-diagonal components of the permittivity tensor [i.e.,  $[\varepsilon''_{xy}(\mathbf{r}'')]^r$  and  $[\varepsilon''_{yx}(\mathbf{r}'')]^r$ —Fig. 2(b)] take values close to 0 almost everywhere [Fig. 2(b)], although they are negative in some regions [e.g., left portion of Fig. 2(b)], thus indicating an almost uniaxial anisotropy of the lens. Moreover, the permittivity profiles of the diagonal elements [i.e.,  $[\varepsilon_{xx}''(\mathbf{r}'')]^r$ —Fig. 2(a) and  $[\varepsilon_{yy}''(\mathbf{r}'')]^r$  - Fig. 2(c)] indicate a non-negligible medium anisotropy [e.g., Fig. 2(a) vs. Fig. 2(c)].

Such results point out that an isotropic lens is not generally sufficient to guarantee a suitable transformation when arbitrary conformal *virtual* and *physical* arrangements are under consideration (Fig. 1), unlike when one of the two geometries is linear [7]. Moreover, since  $[\bar{\epsilon}''(\mathbf{r}'')]^r = [\bar{\mu}''(\mathbf{r}'')]^r$  (see Section II), exactly the same conclusions can be drawn also concerning the associated permeability tensors.

For a consistency/validation check, the electromagnetic behavior of both the *physical* layout [Fig. 1(c)] and the *virtual* one [Fig. 1(a)] has been numerically simulated with a full-wave electromagnetic solver. The commercial software *COMSOL Multiphysics* has been used by enforcing  $2D\ TM$  conditions<sup>2</sup>. The plots of  $|E_z(\mathbf{r})|$  [Fig. 3(a)] and  $|E_z''(\mathbf{r}'')|$  [Fig. 3(b)] (near field region) obtained for a steering angle equal to  $\phi_s=60$  [deg] assess the extremely good agreement between the field radiated by the circular arrangement in free space [Fig. 3(a)] and that generated by the edge-shaped arrangement coated with the QCTO lens [Fig. 3(b)]. This confirms the effectiveness and the reliability of the proposed two-step synthesis method. Such an outcome is further supported by the plots of the magnitude of the "difference field" [Fig. 3(c)] defined as

$$\Delta E_z(\mathbf{r}) \triangleq E_z(\mathbf{r}) - E_z''(\mathbf{r}'')|_{\mathbf{r}''=\mathbf{r}} \quad \mathbf{r} \notin \Omega$$
 (16)

which is nearly negligible everywhere throughout the area outside the lens support [Fig. 3(c) vs. Fig. 3(a)]. As a matter of fact, the *virtual* and the *physical* configurations present the same radiation features with only minor differences [Fig. 3(c)] caused by the unavoidable discretization errors of the numerical solver.

The effectiveness of the QCTO-synthesized lens is even more evident when comparing it with a free-space edge-shaped array with the same geometry ("No Lens" configuration—Fig. 4). In this latter case, the difference field is of the same order of magnitude as  $E_z(\mathbf{r})$  [Fig. 4(b) vs. Fig. 3(a)]. Analogously, a

 $<sup>^2\</sup>mathrm{Under}$  these assumptions, only the  $z\text{-}\mathrm{component}$  of the electric field has to be computed.

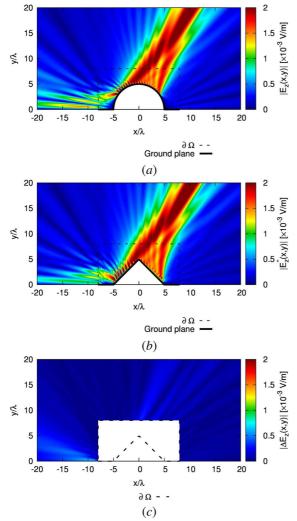


Fig. 3. [Lens Performance] Plots of (a)  $|E_z(\mathbf{r})|$  and (b)  $|E_z''(\mathbf{r}'')|$ , and (c) difference field  $|\Delta[E_z(\mathbf{r})]|$ .

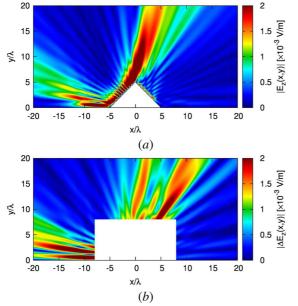


Fig. 4. [Comparative Analysis] Plots of (a)  $|E_z(\mathbf{r})|$  and (b) difference field  $|\Delta[E_z(\mathbf{r})]|$  for the "No Lens" configuration.

lens obtained by setting the off-diagonal elements of the permittivity and permeability tensors to zero would not be suffi-

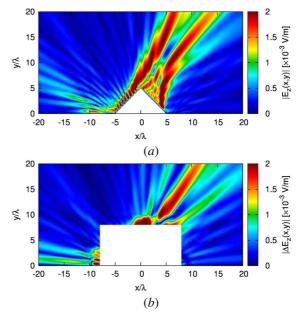


Fig. 5. [Comparative Analysis] Plots of (a)  $|E_z(\mathbf{r})|$  and (b) difference field  $|\Delta[E_z(\mathbf{r})]|$  for the "Uniaxial" configuration.

cient to guarantee satisfactory performance ("Uniaxial" configuration—Fig. 5). Indeed, the field difference in this test case [Fig. 5(b)] turns out comparable to the "No Lens" configuration [Fig. 4(b)]. Such a result suggests that the anisotropic nature of the synthesized lens is mandatory to achieve a suitable field control, unlike in simpler array design cases [7].

Similar considerations arise from the comparisons of the plots of the (far-field) normalized power pattern of the virtual and the *physical* layouts for different steering angles  $[\phi_s] =$  $\{45, 90, 135\}$ —Fig. 6(a)].<sup>3</sup> The matching between the pattern of the physical array and that of the virtual one turns out to be accurate whatever the steering angle [Fig. 6(a)] as also confirmed by the plot of the half-power beamwidth (HPBW) versus  $\phi_s$ . As a matter of fact,  $HPBW(\phi_s)|_{virtual} \approx HPBW(\phi_s)|_{QCTO}$ [Fig. 6(b)] for any  $\phi_s \in [30, 150]$  [deg]. On the contrary, the beamwidth of the "No Lens" edge configuration significantly differs when varying the steering angle [Fig. 6(b)] as also expected from the near field results (Fig. 4). Moreover, the "Uniaxial" lens exhibits a HPBW similar to the virtual one when  $\phi_s \approx 90$  [deg], while it can significantly differ for  $\phi_s < 60$  [deg] or  $\phi_s > 120$  [deg] [Fig. 6(b)], as it was predicted by the plots in Fig. 5.

As for the computational issues of the proposed synthesis method, the lens synthesis (i.e., the Laplace's problem and the Jacobian computation for the two steps) required about  $6.2 \times 10^2$  [s] on a standard laptop running at 2.40 GHz when using a non-optimized Matlab implementation, while the full-wave simulation took about  $2.4 \times 10^3$  [s] for each steering angle. This suggests that an Evolutionary Optimization loop aimed at refining the shape of  $\Omega$ ,  $\Omega'$ , and  $\Omega''$  to enhance the lens features (e.g., to reduce the lens medium anisotropy) is not currently feasible from the numerical viewpoint because of the need to synthesize and simulate the lens (i.e., the computation of the cost function measuring the mismatch between *virtual* and *physical* 

<sup>3</sup>The far field patterns have been numerically computed with COMSOL by using the *Stratton-Chu* formula [21].

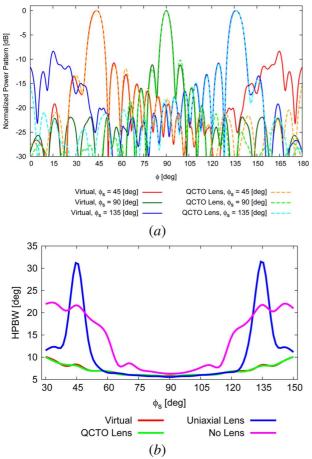


Fig. 6. [Comparative Analysis] (a) Directivity patterns for the configurations in Fig. 1(a) and (c) when  $\phi_s = \{45, 90, 135\}$  [deg]. (b) Plot of the FNBW as a function of the steering angle for the "Virtual", "QCTO Lens", "Uniaxial", and "No Lens" configurations.

electromagnetic behavior) several times to reach convergence [19], [20]. This issue could be overcome, however, by creating *ad-hoc* tools devoted to increasing the speed of the functional evaluation within the *Material-by-Design* loop.

# IV. CONCLUSIONS AND REMARKS

In this work, a transformation optics methodology has been proposed to design inhomogeneous metamaterial lenses able to control the radiation properties of arbitrary-shaped antenna arrays (*physical* arrangements) so that they exhibit the same radiating features of arbitrary *virtual* arrays.

From the methodological viewpoint, the main contribution of this work is the generalization of the QCTO technique presented in [7] to effectively deal with arbitrary *virtual* and *physical* layouts while yielding almost uniaxial lens parameters. Towards this end, the solution of two connected Laplace's problems has been carried out to deduce the overall deformation field and, successively, TO rules have been exploited to compute the lens dielectric parameters. Such a two-step QCTO methodology has been assessed for the case of a 2D array synthesis problem related to an edge-conformal layout comprising N=20 antennas and matching the radiation of a *virtual* circular arrangement. Full-wave numerical simulations have verified the reliability of the two-step QCTO method in controlling the radiation features of conformal arrangements regardless of the steering angle.

Further investigations, beyond the scope of the present paper, will be devoted to the extension of the two-step QCTO method to 3D structures, as well as to its integration within Evolutionary Optimization loops [19], [20]. This would allow the designer to further simplify the dielectric features of the lenses by targeting realistic/available materials while at the same time enhancing their performance. As for this latter research item, the choice of the shape and dimensions of the domains  $\Omega$ ,  $\Omega'$ , and  $\Omega''$  will be a key-challenge to be addressed since it strongly affects the performance of the synthesized devices as well as its impact on their practical feasibility. Furthermore, additional studies aimed at addressing the potential difficulties in the realization of the two-step QCTO designs (caused by the arbitrary gradients in the permittivity and permeability tensors) are envisaged. Toward this end, the generalization of state-of-the-art processes for the fabrication of TO lenses [22] to the layouts of interest is currently under investigation.

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