

Complexity Analysis of Sorting Algorithms

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1 Introduction

For this project four sorting algorithms are used to compare the empirical behaviour with the theoretical run time. The algorithms are SelectionSort, InsertionSort, MergeSort, and QuickSort. They are shown below.

Data: array data

Data: int size

Result: array sorted

```
1 SelectionSort(data, size):
2   for  $i = 1$  to size do
3       Let  $m$  be the location of the min value in the array data[1.. $n$ ];
4       Swap data[ $i$ ] and data[ $m$ ]
5   end
6 return data;
```

Data: array data

Data: int size

Result: array data

```
1 InsertionSort(data, size):
2   for  $i = 1$  to size do
3        $j = i$ ;
4       while  $j > 0$  && data[ $j - 1$ ] > data[ $j$ ] do
5           Swap data[ $j$ ] and data[ $j - 1$ ];
6            $j = j - 1$ ;
7       end
8   end
```

Data: array data
Data: int size
Result: array sorted

```

1 MergeSort(data, size):
2   if size ≤ 1 then
3     return data
4   end
5   mid = floor((size + 1)/2;
6   left = MergeSort(data[1..mid], mid);
7   right = MergeSort(data[mid + 1..size], size - mid);
8   sorted = array(size);
9   l = r = 1;
10  while l < mid && r ≤ do
11    if left[l] < right[r] then
12      sorted[l + r - 1] = left[l];
13      l = l + 1;
14    else
15      sorted[l + r - 1] = right[r];
16      r = r + 1;
17    end
18  end
19  sorted[l + r - 1..mid + r - 1] = left[1..mid];
20  sorted[mid + r..n] = right[r..n - mid];
21  return sorted
  
```

Data: array data
Data: int start
Data: int end
Result: array sorted

```

1 QuickSort(data, start, end):
2   if start > end then
3     partition = partition(data, start, end);
4     QuickSort(data, start, partition - 1;
5     QuickSort(data, partition + 1, end
6   end
7 return data;
  
```

The theoretical run time for all the sorting algorithms is presented in the table below

| | Best-case | Average-case | Worst-case |
|---------------|-------------------|-------------------|-------------------|
| SelectionSort | $\Theta(n^2)$ | $\Theta(n^2)$ | $\Theta(n^2)$ |
| InsertionSort | $\Omega(n)$ | $\Omega(n^2)$ | $O(n^2)$ |
| MergeSort | $\Theta(n \lg n)$ | $\Theta(n \lg n)$ | $\Theta(n \lg n)$ |
| QuickSort | $\Omega(n \lg n)$ | $\Theta(n \lg n)$ | $O(n^2)$ |

Table 1: Theoretical run time for the four sorting algorithms

The sorting algorithms were run several times and the empirical behaviour is shown in the next section. There are a total of twelve cases and for half of the cases were recorded the smallest array that takes 30 milliseconds or more per run to sort, the time to sort the smallest array that takes 30 milliseconds or more, and for the other half the largest array sorted, and the time required to sorted the largest array sorted.

2 Data

| Algorithm | Input Type | n_{min} | $t_{min}(\text{ms})$ | n_{max} | $t_{max}(\text{ms})$ |
|---------------|------------|-----------------|----------------------|----------------|----------------------|
| SelectionSort | Constant | $46 \cdot 10^2$ | 30 | 10^6 | 107690 |
| | Sorted | $46 \cdot 10^2$ | 30 | $5 \cdot 10^5$ | 268420 |
| | Random | $46 \cdot 10^2$ | 30 | $5 \cdot 10^5$ | 266832 |
| InsertionSort | Constant | 10^7 | 30 | 10^9 | 3240 |
| | Sorted | 10^7 | 27 | 10^9 | 3542 |
| | Random | $61 \cdot 10^2$ | 30 | 10^6 | 627013 |
| MergeSort | Constant | $47 \cdot 10^4$ | 30 | 10^9 | 82437 |
| | Sorted | $48 \cdot 10^4$ | 30 | 10^9 | 87445 |
| | Random | $22 \cdot 10^4$ | 30 | 10^8 | 16632 |
| QuickSort | Constant | $46 \cdot 10^2$ | 30 | $2 \cdot 10^5$ | 42682 |
| | Sorted | $35 \cdot 10^4$ | 29 | 10^9 | 106750 |
| | Random | $2 \cdot 10^5$ | 29 | $5 \cdot 10^8$ | 98347 |

Table 2: Data for the 12 tests executed

3 Analysis

The analysis will be made comparing the empirical values using three different equations

$$f_1(n) = n$$

$$f_2(n) = n \ln n$$

$$f_3(n) = n^2$$

Now it is possible to find the ratios using the relations

$$f_i(n_{max})/f_i(n_{min})$$

where i can be 1, 2, or 3. The results are shown in the table below

| Algorithm | Input Type | $\frac{t_{max}}{t_{min}}$ | f_1 | f_2 | f_3 | Behaviour |
|---------------|------------|---------------------------|-------|-------|---------|--------------|
| SelectionSort | Constant | 35897 | 217 | 356 | 47259 | $O(n^2)$ |
| | Sorted | 8947 | 109 | 169 | 11815 | $O(n^2)$ |
| | Random | 8894 | 109 | 169 | 11815 | $O(n^2)$ |
| InsertionSort | Constant | 108 | 100 | 129 | 10000 | $O(n)$ |
| | Sorted | 131 | 100 | 129 | 10000 | $O(n \lg n)$ |
| | Random | 20900 | 164 | 260 | 26874 | $O(n^2)$ |
| MergeSort | Constant | 2748 | 3376 | 3376 | 4526935 | $O(n \lg n)$ |
| | Sorted | 2915 | 2083 | 3300 | 4340278 | $O(n \lg n)$ |
| | Random | 554 | 455 | 681 | 206612 | $O(n \lg n)$ |
| QuickSort | Constant | 1423 | 63 | 63 | 1890 | $O(n^2)$ |
| | Sorted | 3681 | 2857 | 4638 | 8163265 | $O(n \lg n)$ |
| | Random | 3391 | 2500 | 4102 | 6250000 | $O(n \lg n)$ |

Table 3: Experimental ratio and expected ratios

It is fairly easy to see when the experimental result aligns with the quadratic growth, the number is very different from the other results. A curious result presented in this report is that the empirical quadratic growth is 75% of the expected for the arrays used.

A problem appeared when the growth was not quadratic. Sometimes the empirical value was in between the expected values for linear and $n \lg n$ which makes it harder to determine which one corresponds to the experimental value. To solve this another ratio was obtained, the ratio between t_{max}/t_{min} and $f_1(n_{max})/f_{min}$ gives a better understanding than the absolute difference between them.

$$ratio = \frac{t_{max}/t_{min}}{f_1(n_{max})/f_{min}}$$

With this relation, the following table was constructed with the approximated ratios found using the different functions as denominator.

All the values with an star near are closer to one so that behaviour will dominate if n_{max} keeps growing.

Observing that the empirical values all relate to the theoretical ones except for when InsertionSort was used with a sorted array. This was not expected because for InsertionSort a sorted array is the best case possible because the while loop inside the algorithm never runs. One explanation for this result can be that, as the best case is a sorted array, the smallest array that takes 30 ms is very big, in this case it has 10^7 elements.

| f_1 | f_2 | f_3 |
|-------|-------|-------|
| 165 | 101 | 0.76* |
| 82 | 53 | 0.76* |
| 82 | 53 | 0.75* |
| 1.08* | 0.84 | 0.01 |
| 1.31 | 1.02* | 0.01 |
| 127 | 80 | 0.78* |
| 1.29 | 0.81* | 0 |
| 1.4 | 0.88* | 0 |
| 1.22 | 0.81* | 0 |
| 33 | 23 | 0.75* |
| 1.29 | 0.79* | 0 |
| 1.36 | 0.83* | 0 |

Table 4: Ratio between experimental results and expected results

4 Conclusion

After comparing the values and analyzing the results it is possible to conclude that the sorting algorithms have very similar empirical behaviours than the ones expected. Possible error sources are the size of the arrays, there is a limit to test in personal computers and it might be hard to differentiate between n and $n \lg n$ when there is no sufficient distance between the smallest array tested to the biggest one.