



Record of Advice

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Fintech Campus, Cnr Lynnwood & Botterklapper

I, _____ [Full names] with Identity No. _____ ,
in my personal capacity or, where applicable, in a representative capacity for and on behalf of [State if not applicable]
_____, acknowledge the following:

1. Sound and proper financial advice can only be provided after full disclosure of relevant information. This information should relate to appropriate personal, private and other information that might reasonably be required for the purposes of determining and advising on my financial situation and financial product experience and objectives;
2. I have met with _____ [Name of Authorised User]
of Aluma Capital Proprietary Limited, FSP Licence No 46449.
3. The meeting was held with the intention of discussing, and was or was not limited to, seeking advice on:

4. The following recommendations and decisions were made by me:

I/We herewith acknowledge the advice received and the decision made. I/We herewith acknowledge that the advice given was based on the information provided by me/us and the appropriateness of said recommendations and advice must be considered in line with the information provided.

Signed for and on behalf of the Client:

SIGNATURE

Name: _____

Signed at: _____

Date: _____