

Please provide an ownership and control structure to enable us to identify the Beneficial Owner(s) of your entity.

Please note

The Beneficial Owner is any natural person who:

- independently or together with another person, directly or indirectly, has a controlling ownership interest of 25% or more in the entity; or
- controls the entity through other means, for example voting pool arrangements and family groups.

1 Format of ownership and control structure

- 1.1 The ownership and control structure must be provided in diagrammatic format (refer to Annexure A for an example of the required ownership and control structure).

2 Mandatory information

All of the following mandatory information must be included in the ownership and control structure:

- 2.1 The percentage shareholding of all shareholders (natural persons, entities, partnerships, trusts, etc) with a direct or indirect ownership interest in the entity must be stated. The total percentage shareholding at each level of ownership must be 100%.
- 2.2 Any natural person or entity that has a contractual or voting pool arrangement with another person or entity in the ownership and control structure must be annotated accordingly.
- 2.3 Any natural person who is part of the same family group (ie sibling, spouse, parent or child) must be annotated accordingly.
- 2.4 Where a shareholder is a trust, all trustees, named beneficiaries and founders of the trust must be named together with the mandatory information for natural persons below.
- 2.5 Where the trust beneficiary is an entity, the entity's ultimate ownership must be shown to rest with a natural person, if appropriate.
- 2.6 Where a shareholder is a partnership, all natural-person partners of the partnership must be named together with the mandatory information for natural persons below.

Natural person information must contain:

- Full name
- Surname
- Any one or more of the following:
 - Date of birth
 - Age
 - Nationality
 - Country of residence
 - If available, identity/passport number with country of issue and expiry date

Entity information must contain:

- Entity name
- Any one or more of:
 - Trading name
 - Country of registration/incorporation/formation
 - Country of operation
 - Industry
 - If available, registration number

- 3 Once the Beneficial Owner has been determined by Nedbank based on the information provided, we will request you to further provide the identification details (identity or passport number, country of issue and expiry date) of the Beneficial Owner should we not already have this on record.

4 ANNEXURE

