



FINANCIAL NEEDS ANALYSIS

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Dear Sir / Madam

Please provide an ownership and control structure to enable us to identify the Beneficial Owner(s) of your entity.

The FAIS act requires that a financial needs analysis and Risk profile analysis be completed whenever advice is provided on the purchase of any financial product. You may not waive your right to a financial needs or risk analysis but in this instance, you have chosen not to provide the information required to complete this analysis and have been made aware of the following consequences:

- A full analysis could not be undertaken by my financial advisor, but this is in accordance with my instruction.
- As a result there may be limitations on the appropriateness of the advice provided to me.
- I should take particular care to consider whether the advice provided is appropriate considering my objectives, financial situation and particular needs.

My financial adviser has alerted me to the possible existence of risks which may result from the conclusion of this transaction, given the fact that a full need analysis could not be conducted.

I am aware that the financial advisor will receive the regulate commission/advice fees as a result of this transaction.

In this instance, I have given a direct instruction to implement specific risk benefits for me and have personally selected my Investment amounts as follows:

My adviser has provided the following reason as to why the product is suitable:

Signed at: _____ on this _____ day of _____

Client Name: _____ ID: _____ Signature: _____

Financial Advisor Name: _____ ID: _____ Signature: _____

