

# LECTURE 02

Monday January 09, 2017

*based on notes by Denis Pankratov*

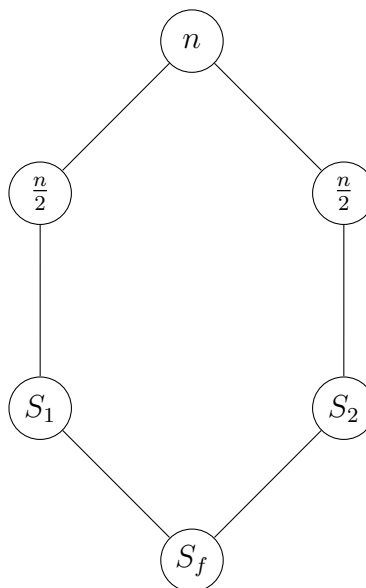
## Divide & Conquer

To solve an instance of size  $n$

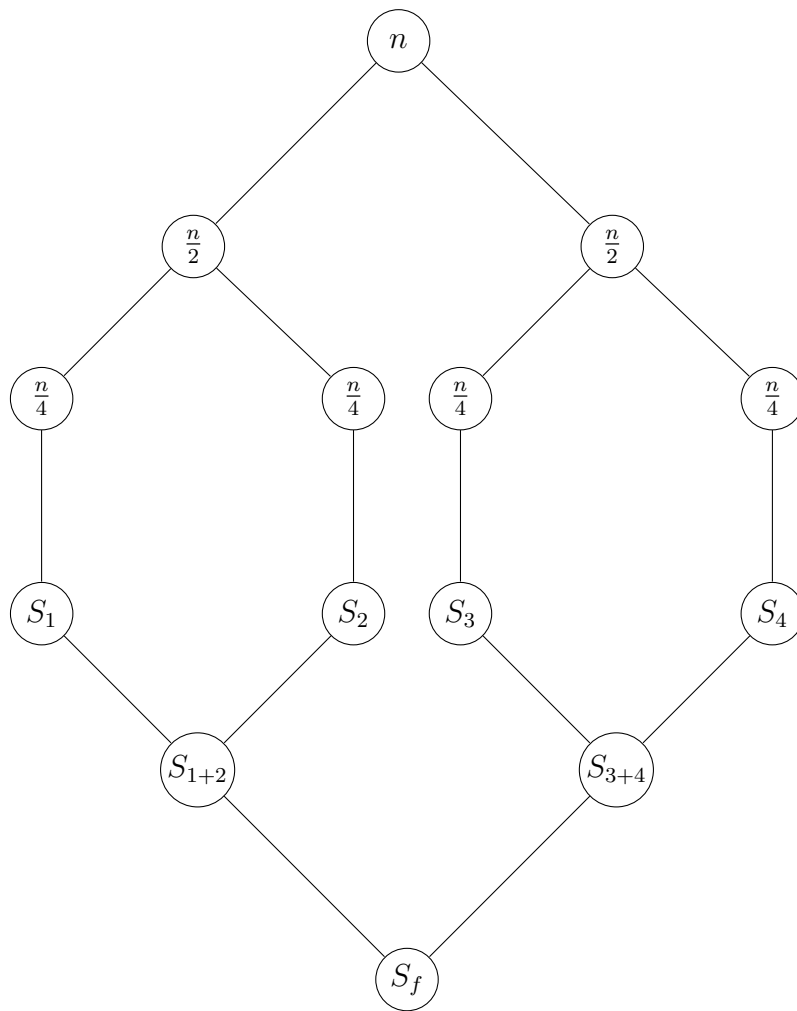
1. Divide it into two (or *more*) subinstances of smaller size (e.g.  $\lfloor n/2 \rfloor$  and  $\lceil n/2 \rceil$ )
2. Solve subinstances recursively
3. Combine solutions from (2) to get a solution for the original instance

This is a visual representation of the concept:

Dividing once:



Dividing twice:



Where we first divide into fractions of  $n$ , then solve these parts individually. The combination of partial solutions makes our final solution  $S_f$ .

However, this approach is only beneficial if the stack of operations is not too big. If this were the case, then this strategy would offer no computational advantage.

**Input:** Array  $A$  of  $n$  numbers (indexed from 1),  $p, r \in [n] := 1, 2, \dots, n$

**Output:**  $A[p..r]$  contains elements from  $A[p..r]$  in increasing order & other elements are left unchanged

**Algorithm:**

```

1  def MergeSort(A, p, r):
2    if p < r:
3      q = floor((p + r)/2)
4      MergeSort(A, p, q)
5      MergeSort(A, q + 1, r)
6      Merge(A, p, q, r)

```

When does it stop? What is the base case?

*Execution:*

```

1 Merge(A, p, q, r)
2   init array L of size q - p + 2
3   init array R of size r - q + 1
4
5   for i = 1 to q - p + 1:
6     L[i] = A[p + i - 1]
7
8   for j = 1 to r - q:
9     R[j] = A[q + j]
10
11  L[q - p + 2], R[r - q + 1] = R[r - q + 1], float('inf')
12  i = j = 1
13
14  # of interest for loop invariant (LI)
15  for k = p to r:
16    if L[i] < R[j]:
17      A[k] = L[i]
18      i++
19    else:
20      A[k] = R[j]
21      j++

```

Loop Invariant:

1.  $A[p..k-1]$  contains  $k-p$  smallest elements from  $L, R$  in increasing order, and  $L[i], R[j]$  are the smallest elements in  $L, R$ , respectively, not in  $A[p..k-1]$ .
2. MERGESORT is correct by trivial induction and part (1)

Measure of Interest: # of comparisons of array elements (*i.e.*  $L[i] < R[j]$  is of true interest to us since its computation will dictate runtime).

$T(n)$  = worst case # of comparisons performed by MERGESORT on inputs of length  $r$ .

$$T(n) = \begin{cases} 0, & \text{for } n \leq 1 \text{ (base case)} \\ 2T(n/2) + \mathcal{O}(n), & \text{for } n > 1 \end{cases}$$

By the Master Theorem (*see below*), this solves to  $\mathcal{O}(n \log n)$ , where  $n = r - p + 1$ .

## Integer Multiplication

**Input:**  $X, Y$   $n$ -digit (decimal) integers

**Output:**  $X \cdot Y$

*Most computers* can handle  $n = 19$  digits, so what do we do if we want more digits?

Measure of Interest: single digit operations

Observation:

1. Base (10, 2, etc) does not matter as long as it is *constant*
2. Elementary school algorithm costs  $\mathcal{O}(n^2)$ , but *Karatsuba's Algorithm* (1960) does better
3. Write  $X = 10^{n/2}X_1 + X_2, Y = 10^{n/2}Y_1 + Y_2$ , so that:

$$\begin{aligned} X \cdot Y &= (10^{n/2}X_1 + X_2)(10^{n/2}Y_1 + Y_2) \\ &= 10^n X_1 Y_1 + 10^{n/2}(X_1 Y_2 + X_2 Y_1) + X_2 Y_2 \end{aligned}$$

4. Karatsuba's trick: compute  $X_1 Y_1, X_2 Y_2, (X_1 + X_2)(Y_1 + Y_2)$ , so that  $X_1 Y_1 + (X_1 Y_2 + X_2 Y_1) + X_2 Y_2$ . From this, the middle part was computed to be  $Z$ , which can be evaluated the following way:

$$\begin{aligned} Z &= (X_1 Y_2 + X_2 Y_1) \\ &= (X_1 + X_2) \cdot (Y_1 + Y_2) - X_1 Y_1 - X_2 Y_2 \end{aligned}$$

$Z$  can be computed using only 3 *recursive calls*!

Algorithm:

```

1  def Mult(X, Y, n):
2      if n == 1:
3          return X*Y
4      else:
5          # Following two lines are pseudo Python
6          X = (10 ** (n/2)) * X_1 + X_2
7          Y = (10 ** (n/2)) * Y_1 + Y_2
8          A = Mult(X_1, Y_1)
9          B = Mult(X_2, Y_2)
10
11         # T_1, T_2 are only n/2 digits long
12         T_1 = X_1 + X_2
13         T_2 = Y_1 + Y_2
14
15         # Takes only 3 recursive calls!
16         C = Mult(T_1, T_2, n/2)
17
18     return (10**n) * A + (10 ** (n/2)) (C - A - B) + B

```

Let  $T(n) = \#$  of single digit operations performed by multiplication on inputs of length  $n$ .

$$T(n) = \begin{cases} 1, & \text{if } n = 1 \\ 3T(n/2) + \mathcal{O}(n), & \text{if } n > 1 \end{cases}$$

By Master Theorem, this solves to  $\mathcal{O}(n^{\log_2 3}) = \mathcal{O}(n^{1.56})$ .

## Review: Master Theorem

Let  $T : \mathbb{N} \rightarrow \mathbb{R}^+$  be a recursively defined function with recurrence relation

$$T(n) = cT\left(\frac{n}{d}\right) + f(n)$$

for some constants  $c, d \in \mathbb{Z}^+, d > 1$ , and  $f : \mathbb{N} \rightarrow \mathbb{R}^+$ .

Furthermore, suppose  $f(n) \in \Theta(n^k)$  for some  $k \in \mathbb{R}, k \geq 0$ . Then:

1. if  $k = \log_d c$ , then  $T(n) \in \mathcal{O}(n^k \log n)$ ;
2. if  $k < \log_d c$ , then  $T(n) \in \mathcal{O}(n^{\log_d c})$ ;
3. if  $k > \log_d c$ , then  $T(n) \in \mathcal{O}(n^k)$ ;