

# Jumps of $\Sigma_2^0$ -high e-degrees and properly $\Sigma_2^0$ e-degrees

Richard Shore\*    Andrea Sorbi†

## Abstract

We show that the  $\Sigma_2^0$  high e-degrees coincide with the high e-degrees. We also show that not every properly  $\Sigma_2^0$  e-degree is high.

## 1 Introduction

Enumeration reducibility is the notion of relative enumerability of sets: a set  $A$  is *enumeration reducible* (or simply *e-reducible*) to a set  $B$ , in symbols,  $A \leq_e B$ , if there is an effective procedure for enumerating  $A$  given *any* enumeration of  $B$ . Formally, we define  $A \leq_e B$  if there is some computably enumerable set  $\Phi$  (called in this context an *enumeration operator* or simply an *e-operator*) such that

$$A = \{x : (\exists \text{ finite } D)[\langle x, D \rangle \in \Phi \& D \subseteq B]\}$$

(throughout the paper we identify finite sets with their canonical indices). We denote by  $\equiv_e$  the equivalence relation generated by the preordering relation  $\leq_e$  and  $\deg_e(A)$  denotes the equivalence class (or the *e-degree*) of  $A$ . The partially ordered structure of the e-degrees is denoted by  $\mathfrak{D}_e$ ; its partial ordering is denoted by  $\leq$ .  $\mathfrak{D}_e$  is, in fact, an upper semilattice with least element  $\mathbf{0}_e$ .

One of the most interesting features of the e-degrees is that they extend the structure  $\mathfrak{D}_T$  of the Turing degrees ([Med55] and [Rog67]). Indeed if we define  $\iota : \mathfrak{D}_T \rightarrow \mathfrak{D}_e$  by  $\iota(\deg_T(A)) = \deg_e(\chi_A)$  (where  $\deg_T(A)$  is the Turing

---

\*Department of Mathematics, Cornell University, Ithaca NY 14853, USA. The first author was partially supported by NSF Grant DMS-9503503 and a CNR visiting professorship at the University of Turin.

†Department of Mathematics, University of Siena, Italy. The second author was partially supported by the HC&M research network *Complexity, Logic and Recursion Theory (COLORET)*, contract no. ERBCHRXCT930415; MURST 60%, and CNR-GNSAGA. During the preparation of part of this paper the second author was visiting at the University of Wisconsin, Madison.

degree of the set  $A$  and  $\chi_A$  denotes the characteristic function of  $A$ ) then  $\iota$  is the desired embedding. (In fact, it preserves joins and least element.) The verification that  $\iota$  is well defined relies on the following lemma:

**Lemma 1.1** *For every total function  $f$  and  $g$ , we have*

$$f \leq_T g \Leftrightarrow f \leq_e g.$$

**Proof.** See e.g. [Rog67, p. 153].  $\square$

One can define (see below) a jump operation  $'$  on the e-degrees, and therefore introduce the notions of a *low* e-degree (i.e. an element of the class  $\mathbf{L}_1 = \{\mathbf{a} \leq \mathbf{0}'_e : \mathbf{a}' = \mathbf{0}'_e\}$ ); and that of a *high* e-degree (i.e. an element of  $\mathbf{H}_1 = \{\mathbf{a} \leq \mathbf{0}'_e : \mathbf{a}' = \mathbf{0}''_e\}$ ). Moreover, since  $\iota$  preserves jump, we have that low Turing degrees are mapped to low e-degrees and high Turing degrees are mapped to high e-degrees.

A nice, useful characterization of the class  $\mathbf{L}_1$  of the low e-degrees is given in [MC85]:  $\mathbf{a} \in \mathbf{L}_1$  if and only if  $\mathbf{a}$  contains a set  $A$  such that, for every  $B \leq_e A, B \in \Delta_2^0$ . Thus  $\mathbf{a}$  and all the e-degrees below  $\mathbf{a}$  consist entirely of  $\Delta_2^0$  sets. In this paper, we characterize the class  $\mathbf{H}_1$  of high e-degrees by a result analogous to the one characterizing the high Turing degrees as those containing a set with an approximation whose associated computation function dominates every total recursive function (Theorem 2.1). The relevant definitions of a  $\Sigma_2^0$  approximation and a computation function are given below. The e-degrees of sets with such approximations are known as the  $\Sigma_2^0$ -high e-degrees (Definition 1.7). This characterization answers Question 7.3 of [Coo90].

Since the e-degrees below  $\mathbf{0}'_e$  are exactly the e-degrees consisting of  $\Sigma_2^0$  sets, in view of the above cited characterization of the low e-degrees, a natural question to ask is where an e-degree  $\mathbf{a} \leq \mathbf{0}'_e$  which contains no  $\Delta_2^0$  set (such an e-degree is called a *properly  $\Sigma_2^0$  e-degree*) lies in the low/high hierarchy. A natural conjecture might be that the properly  $\Sigma_2^0$  e-degrees are all in  $\mathbf{H}_1$ . Cooper and Copeland ([CC88]) show that there exist properly  $\Sigma_2^0$  e-degrees that are  $\Sigma_2^0$ -high, and thus lie in  $\mathbf{H}_1$  by Theorem 2.1. However, in Theorem 3.1 we show that the properly  $\Sigma_2^0$  e-degrees are not contained in  $\mathbf{H}_1$ .

Our notations and terminology are mostly based on [Soa87]. The reader is referred to [Coo90] for an introduction and extensive bibliography on enumeration reducibility. We will be mostly working with  $\Sigma_2^0$  sets. We recall that a  $\Sigma_2^0$  *approximation* to a  $\Sigma_2^0$  set  $A$  is computable sequence of computable sets  $\{A^s : s \in A^s\}$  such that  $A = \{x : (\exists t)(\forall s \geq t)[x \in A^s]\}$ . See [LS92] for an introduction to  $\Sigma_2^0$  approximations, and for a proof that every  $\Sigma_2^0$  set has a *good*  $\Sigma_2^0$  approximation  $\{A^s : s \in A^s\}$ , i.e. a computable sequence of computable (in fact, finite) sets such that  $\{s : A^s \subseteq A\}$  is infinite.

Let  $X$  be any set of natural numbers; if  $x$  is a number, then  $X^{[x]} = \{z \in X : (\exists y)[z = \langle x, y \rangle]\}$ , and  $X \upharpoonright x = \{y \in X : y < x\}$ . If  $\sigma$  is a string and  $x < |\sigma|$  (where  $|\sigma|$  denotes the length of  $\sigma$ ), then  $\sigma \upharpoonright x$  denotes the initial segment of  $\sigma$  having length  $x$ ; likewise, if  $f$  is a function, then  $f \upharpoonright x$  denotes the initial segment of  $f$  having length  $x$ .

Let  $\{\varphi_i\}_{i \in \omega}$  be the standard enumeration of all partial computable functions with corresponding enumerations  $\{W_i\}_{i \in \omega}$  and  $\{\Phi_i\}_{i \in \omega}$  of the computably enumerable sets and the enumeration operators, respectively. Let us fix, as in [Soa87, p. 16], computable approximations  $\{\varphi_{i,s}\}_{i,s \in \omega}$  to the partial computable functions. Without loss of generality, we may assume that if  $\varphi_{i,s}(x) \downarrow$  then  $\varphi_{i,s}(x) < s$ . Correspondingly, we get computable finite approximations  $\{W_{i,s}\}_{i,s \in \omega}$  and  $\{\Phi_{i,s}\}_{i,s \in \omega}$  to the computably enumerable sets and the enumeration operators, respectively.

Let

$$K^A = \{x : x \in \Phi_x^A\}.$$

**Lemma 1.2** [McE85] *Let  $A, B$  be sets; then*

$$A \leq_e B \Leftrightarrow A \leq_1 K^B \Leftrightarrow K^A \leq_1 K^B.$$

Define the *jump* of a set  $A$  ([McE85]) to be the set  $J_e(A) = \chi_{K^A}$ . (Note that we identify functions with their graphs.) Clearly  $J_e(A) \equiv_e K^A \oplus \overline{K^A}$ . If  $\mathbf{a}$  is an e-degree, then we can define  $\mathbf{a}'$  as  $\deg_e(J_e(A))$  for any  $A \in \mathbf{a}$  since, by the previous lemma,

$$A \equiv_e B \Rightarrow K^A \oplus \overline{K^A} \equiv_e K^B \oplus \overline{K^B}$$

this gives a well defined unary operation on the e-degrees. Moreover,  $\mathbf{a} < \mathbf{a}'$  for every e-degree  $\mathbf{a}$ .

The following lemma records two important properties of the jump operation.

**Lemma 1.3** [McE85] *For every set  $A$ ,*

1.  $\iota((\deg_T(A))') = (\deg_e(A))'$ ;
2. if  $A$  is total (i.e. the graph of some total function), then  $J_e(A) \equiv_e \overline{K^A}$ .

**Definition 1.4** A set  $A$  is called *e-high* if  $A \in \Sigma_2^0$  and  $J_e^{(2)}(\emptyset) \leq_e J_e(A)$ . An e-degree  $\mathbf{a}$  is called *high*, if  $\mathbf{a}$  contains an e-high set (hence  $\mathbf{a}' = \mathbf{0}_e''$ ).

By Lemma 1.3, the embedding  $\iota$  preserves highness, i.e. it maps high T-degrees to high e-degrees.

The following is a useful characterization of the e-high sets.

**Lemma 1.5** *For every set  $A$ ,*

$$A \text{ is e-high} \Leftrightarrow \text{Tot} \leq_e J_e(A) \Leftrightarrow \text{Tot} \leq_T K^A.$$

**Proof.** First notice that, by Lemma 1.3,  $A$  is e-high if and only if  $\overline{K^K} \leq_e J_e(A)$ . Moreover,  $A$  is e-high if and only if, by Lemma 1.3,  $\chi_{\overline{K^K}} \leq_e \chi_{K^A}$ , if and only if, by Lemma 1.1,  $\overline{K^K} \leq_T K^A$ . On the other hand,  $\overline{K^K} \equiv_1 \text{Tot}$ . Indeed,  $\overline{K^K} \leq_1 \text{Tot}$  follows from the fact that  $\text{Tot}$  is  $\Pi_2^0$ -complete. To show that  $\text{Tot} \leq_1 \overline{K^K}$  simply observe that  $\overline{\text{Tot}} \in \Sigma_2^0$ , hence  $\overline{\text{Tot}} \leq_e \overline{K}$ , and thus, by Lemma 1.2,  $\overline{\text{Tot}} \leq_1 \overline{K^K}$ .

It follows that  $A$  is e-high if and only if  $\text{Tot} \leq_e J_e(A)$  if and only if  $\text{Tot} \leq_T K^A$ .  $\square$

Finally notice the following:

**Lemma 1.6** *For every total function  $f$ ,  $J_e(f) \equiv_T f'$ .*

**Proof.** Since  $K^f$  is computably enumerable in  $f$ , we have  $K^f \leq_1 f'$ , and so  $\overline{K^f} \leq_T f'$ . On the other hand,  $f'$  is computably enumerable in  $f$ , hence, by the totality of  $f$ ,  $f' \leq_e f$ , and thus  $f' \leq_1 K^f$ , by Lemma 1.2, which shows that  $f' \leq_1 J_e(f)$ .

Hence we conclude that  $J_e(f) \equiv_T f'$ .  $\square$

McEvoy ([McE85]) defines the notion of a  $\Sigma_2^0$ -high e-degree:

**Definition 1.7** A  $\Sigma_2^0$ -high approximation  $\{A^s\}_{s \in \omega}$  to a set  $A$  is a  $\Sigma_2^0$  approximation such that the function

$$c(x) = \mu s(s > x \ \& \ A^s \upharpoonright x \subseteq A)$$

(called the *computation function for  $A$*  relative to the given approximation) is total and dominates every computable function. A set  $A$  is called  $\Sigma_2^0$ -high, if it has a  $\Sigma_2^0$ -high approximation. Finally an e-degree is said to be  $\Sigma_2^0$ -high, if it contains a  $\Sigma_2^0$ -high set.

Using Lemma 1.3 (1), it is shown in [McE85] that if  $\mathbf{a} \leq \mathbf{0}'_e$  is total (hence a degree of the form  $\iota(\mathbf{b})$ , for some Turing degree  $\mathbf{b} \leq_T \mathbf{0}'_T$ ), and  $\mathbf{a}$  is high, then  $\mathbf{a}$  is  $\Sigma_2^0$ -high. On the other hand, the class of  $\Sigma_2^0$ -high e-degrees properly extends the class of all high total e-degrees (in fact, there exist quasi-minimal  $\Sigma_2^0$ -high e-degrees, [McE85]).

In the next section we show that the high e-degrees and the  $\Sigma_2^0$ -high e-degrees coincide. This answers Question 7.3 of [Coo90].

## 2 The $\Sigma_2^0$ -high e-degrees coincide with the high e-degrees

**Theorem 2.1** *An e-degree  $\mathbf{a}$  is  $\Sigma_2^0$ -high if and only if  $\mathbf{a}$  is high.*

**Proof.** ( $\Rightarrow$ ) Let  $A$  be  $\Sigma_2^0$ -high; let  $\{A^s\}_{s \in \omega}$  be a  $\Sigma_2^0$ -high approximation to  $A$ , and let  $c$  be the computation function for  $A$  relative to this approximation.

By Lemma 1.5 it is enough to show that  $Tot \leq_e J_e(A)$ . We claim that, for every  $i$ ,

$$\begin{aligned} i \in Tot &\Leftrightarrow (\forall y)[\varphi_i(y) \downarrow] \\ &\Leftrightarrow (\exists x)(\exists s)[(\forall y < x)\varphi_{i,s}(y) \downarrow \ \& \\ &\quad (\forall y \geq x)(\forall t > y)[A^t \upharpoonright y \subseteq A \Rightarrow \varphi_{i,t}(y) \downarrow]]. \end{aligned}$$

Indeed, if  $\varphi_i$  is total, then the function

$$\hat{\varphi}_i(x) = \mu s(\varphi_{i,s}(x) \downarrow)$$

is a total computable function, and thus dominated by  $c$ . Let  $x$  be such that  $c(y) > \hat{\varphi}_i(y)$  for every  $y \geq x$ ; then

$$(\forall y \geq x)(\forall t > y)[A^t \upharpoonright y \subseteq A \Rightarrow \varphi_{i,t}(y) \downarrow].$$

This establishes the left-to-right implication in the claimed equivalence. The right-to-left implication is trivial.

Now let

$$B = \{\langle i, x \rangle : (\exists y \geq x)(\exists t > y)[A^t \upharpoonright y \subseteq A \ \& \ \varphi_{i,t}(y) \uparrow]\}.$$

Clearly  $B \leq_e A$ . Hence, by Lemma 1.2,  $B \leq_1 K^A$ , via, say, the computable function  $h$ .

Then

$$\begin{aligned} i \in Tot &\Leftrightarrow (\exists x)(\exists s)[(\forall y < x)\varphi_{i,s}(y) \downarrow \ \& \langle i, x \rangle \notin B] \\ &\Leftrightarrow (\exists x)(\exists s)[(\forall y < x)\varphi_{i,s}(y) \downarrow \ \& h(\langle i, x \rangle) \in \overline{K^A}]. \end{aligned}$$

It follows that  $Tot \leq_e \overline{K^A}$ , hence  $Tot \leq_e J_e(A)$ , as desired.

( $\Leftarrow$ ) Assume that  $A$  is e-high. Then, by Lemma 1.5, we have that  $Tot \leq_T K^A$ . Let  $Z = K^A$  and let  $\{Z^s\}_{s \in \omega}$  be a good  $\Sigma_2^0$ -approximation to  $K^A$ . Let  $\psi$  be some Turing functional such that  $Tot = \psi^Z$ .

We now define an enumeration operator  $\Theta$  by stages, and show that  $\Theta^Z$  is  $\Sigma_2^0$ -high. Since the  $\Sigma_2^0$ -high e-degrees are closed upwards in  $\Sigma_2^0$ -e-degrees (see [BCS97]), it follows that  $\deg_e(A)$  is  $\Sigma_2^0$ -high as well.

**Construction:**

Stage 0: Let  $\Theta_0 = \emptyset$ .

Stage  $s + 1$ : For every  $i \leq s$ , we distinguish the following two cases:

(a) if  $\psi_s^{Z^s}(i) = 0$ , then for every  $x \leq s$ , we enumerate the axiom

$$\langle\langle i, x \rangle, Z^s \rangle \in \Theta_{s+1};$$

(b) otherwise, do nothing.

Let  $\Theta^{s+1}$  consist of  $\Theta^s$  plus all the axioms enumerated at stage  $s + 1$  and let  $\Theta = \bigcup_{s \in \omega} \Theta_s$ . We now prove that  $\Theta$  is the desired enumeration operator by verifying a series of claims.

**Verifications:**

**Claim 1** For every number  $i$ ,

$$i \in \text{Tot} \Rightarrow (\Theta^Z)^{[i]} \text{ finite;}$$

$$i \notin \text{Tot} \Rightarrow (\Theta^Z)^{[i]} = \omega^{[i]}.$$

**Proof.** If  $i \in \text{Tot}$  then at all sufficiently large good stages of the approximation  $\{Z^s\}_{s \in \omega}$  we do nothing on behalf of  $i$  (i.e. case (b) of the definition of  $\Theta$  applies to  $i$ ). To see this, assume that  $i \in \text{Tot}$ , and let  $\sigma \subset \chi_Z$  be such that  $\psi^\sigma(i) = 1$ . Let  $t_0$  be such that

$$(\forall x < |\sigma|)[\sigma = 1 \Rightarrow (\forall s \geq t_0)[x \in Z^s]].$$

Then

$$(\forall s \geq t_0)[s \text{ is good } \Rightarrow \psi_s^{Z^s}(i) = 1].$$

It follows that at stages  $s \geq t_0$ , if (a) holds then  $s$  is not good, hence  $\Theta_s^{Z^s} \not\subseteq \Theta^Z$ . Therefore  $(\Theta^Z)^{[i]}$  is finite.

If  $i \notin \text{Tot}$ , then at all sufficiently large good stages, case (a) of the definition of  $\Theta$  applies to  $i$ . Indeed, if  $i \notin \text{Tot}$ , then  $\psi^Z(i) = 0$ . One thus argues as in the preceding case, but starting with a string  $\sigma \subset \chi_Z$  such that  $\psi^\sigma(i) = 0$ .

Since  $\Theta_s^{Z^s} \subseteq \Theta^Z$ , for all good stages  $s$ , it follows in this case that  $(\Theta^Z)^{[i]} = \omega^{[i]}$ .  $\square$

Now let  $Y = \Theta^Z$ . We want to show that  $Y$  has a  $\Sigma_2^0$ -high approximation  $\{\hat{Y}^s\}_{s \in \omega}$ . Let  $\{Y^s\}_{s \in \omega}$  be any good  $\Sigma_2^0$ -approximation to  $Y$ . Given a partial function  $\varphi$  and a number  $u$ , define  $\varphi \upharpoonright u \downarrow$ , if  $\varphi(v) \downarrow$  for all  $v < u$ .

Define

$$\langle i, x \rangle \in \hat{Y}^s \Leftrightarrow [\langle i, x \rangle \in Y^s \vee \varphi_{i,s} \upharpoonright \langle i, x+1 \rangle \uparrow].$$

**Claim 2**  $\{\hat{Y}^s\}_{s \in \omega}$  is a  $\Sigma_2^0$ -approximation to  $Y$ .

**Proof.** Let  $\hat{Y} = \{y : (\exists t)(\forall s \geq t)[y \in \hat{Y}^s]\}$ . If  $i \notin \text{Tot}$  then  $Y^{[i]} = \omega^{[i]} = \hat{Y}^{[i]}$ . On the other hand, assume that  $i \in \text{Tot}$ . If  $\langle i, x \rangle \in Y$ , then clearly  $\langle i, x \rangle \in \hat{Y}$ .

If  $\langle i, x \rangle \notin Y$ , then at all sufficiently large stages  $\varphi_{i,s} \upharpoonright \langle i, x+1 \rangle \downarrow$  and so when  $\langle i, x \rangle \notin Y^s$ , we have that  $\langle i, x \rangle \notin \hat{Y}^s$ .  $\square$

Next, let  $c$  be the computation function for  $Y$  relative to the  $\Sigma_2^0$  approximation  $\{\hat{Y}^s\}_{s \in \omega}$ . The following claim completes the proof of the Theorem.

**Claim 3** The function  $c$  is total and dominates all total computable functions.

**Proof.** Let us first show that  $c$  is total. To this end, let  $z \in \omega$  be given. Let  $t > z$  be a stage such that

$$(\forall \langle i, x \rangle < z)[\varphi_i \upharpoonright \langle i, x+1 \rangle \downarrow \Leftrightarrow \varphi_{i,t} \upharpoonright \langle i, x+1 \rangle \downarrow].$$

Then if  $s \geq t$  is a good stage of the enumeration  $\{Y^s\}_{s \in \omega}$ , we have that  $\hat{Y}^s \upharpoonright z \subseteq Y$ . Therefore  $c(z)$  is defined.

Now consider any total  $\varphi_i$ . Let  $x$  be such that  $\langle i, y \rangle \notin Y$ , for every  $y \geq x$ . Let  $z \geq \langle i, x \rangle$ , and let  $y$  be the least number such that  $\langle i, y \rangle \leq z < \langle i, y+1 \rangle$ . Let  $s$  be the least stage such that  $\varphi_{i,s}(z) \downarrow$ , hence  $\varphi_{i,t} \upharpoonright \langle i, y+1 \rangle \uparrow$  for every  $t < s$ . Then  $\langle i, y \rangle \in \hat{Y}^t$ , for every  $t < s$ . Therefore  $\varphi_i(z) < s \leq c(z)$ .  $\square$

### 3 Jumps of properly $\Sigma_2^0$ e-degrees

A  $\Sigma_2^0$  e-degree  $\mathbf{a}$  is called *properly  $\Sigma_2^0$*  ([CC88]) if  $\mathbf{a}$  contains no  $\Delta_2^0$  set. Copeland and Cooper, [CC88, Theorem 1], show that there exist e-degrees that are properly  $\Sigma_2^0$  and  $\Sigma_2^0$ -high. Since every high computably enumerable Turing degree corresponds, under the embedding  $\iota$ , to a high e-degree, it follows that not every  $\Sigma_2^0$ -high e-degree is properly  $\Sigma_2^0$ -high. (A trivial counterexample is  $\mathbf{0}'_e = \deg_e(\overline{K})$ ). It is shown in [MC85] that  $\deg_e(A)$  is low if and only if  $B \in \Delta_2^0$ , for every  $B \leq_e A$ . This characterization of the low e-degrees seems to suggest the possibility that the properly  $\Sigma_2^0$  e-degrees are all high. We show in this section that this is not the case.

**Theorem 3.1** *Let  $C$  be such that  $C$  is computably enumerable in  $\emptyset'$ ,  $\emptyset' \leq_T C <_T \emptyset''$  and  $C' \equiv_T \emptyset'''$ . Then there exists a set  $A$  of properly  $\Sigma_2^0$  e-degree, such that  $J_e(A) \leq_e \chi_C$ .*

**Corollary 3.2** *There exist properly  $\Sigma_2^0$  e-degrees that are not high.*

**Proof.** Let  $C$  and  $A$  be as in the previous theorem. If  $A$  were e-high, then  $J_e^{(2)}(\emptyset) \leq_e \chi_C$ , from which, by totality,  $J_e^{(2)}(\emptyset) \leq_T \chi_C$ ; but  $J_e^{(2)}(\emptyset) \equiv_T \emptyset''$ , by Lemma 1.6. Hence  $\emptyset'' \leq_T C$ , contradiction.  $\square$

### 3.1 Proof of Theorem 3.1

Let  $C$  satisfy the hypotheses of the theorem; let  $C = W^K$ , for some computably enumerable set  $W$ . For every  $t$ , let  $\kappa_t = \chi_{K^t} \upharpoonright k(t)$ , where  $k$  is some  $1 - 1$  computable function such that  $K = \text{range}(k)$  and  $K^t = \{k(s) \mid s \leq t\}$ . Define a  $\Sigma_2^0$  approximation  $\{C^t\}_{t \in \omega}$  to  $C$  by letting

$$C^t = W_t^{\kappa_t},$$

As  $C' \equiv_T K''$ , there is an  $f \leq_T C$  that dominates all  $\Delta_2^0$  total functions (see e.g. [Ler83, p. 85]). Let  $f = \Psi^C$ , for some Turing functional  $\Psi$ , be such a function.

We need the following lemma:

**Lemma 3.3** *There exists a computable sequence  $\{B_i^s\}_{i,s \in \omega}$  of finite sets such that, if*

$$B_i = \{x : (\exists t)(\forall s \geq t)[x \in B_i^s]\}$$

then

1. for every  $B \in \Delta_2^0$ , there is an  $i$  such that  $B =^* B_i$  and, for almost all  $x$ ,  $\lim_s B_i^s(x)$  exists;
2. the relation  $x \in B_i$  (as one of  $x$  and  $i$ ) is computable in  $C$ .

**Proof.** Given  $u$  and  $X$ , with  $X = K$ , or  $X = K^v$  for some  $v \geq u$ , we say that  $u$  is  $X$ -true if  $\kappa_u \subseteq \chi_X$ . We will use the fact that for every  $B \in \Delta_2^0$  there exists some  $i$  such that  $\chi_B = \varphi_i^K$ . Roughly speaking, we will have  $x \in B_i^s$  if there exists some  $K^s$ -true stage  $t < w$ , with  $\varphi_{i,t}^{K^s}(x) = 1$ , where  $w$  is the least  $K^s$ -true stage such that  $\Psi_w^\sigma(x) \downarrow$ , for some  $\sigma \subset \chi_C$ . Then we use the fact that  $\Psi^C$  dominates all  $\Delta_2^0$  functions to verify that, for all but finitely many  $x$ , there exists a  $K$ -true stage  $t$  such that  $\varphi_{i,t}^K(x) = 1$  and  $t < \Psi^C(x) < w$ . The main difficulty here is that one can not find, in a computable way, the right  $w$  at  $s$ . For every  $i, x, s$ , we will therefore define the values of a finite set  $B_i^s \subseteq \omega$ , a finite set  $L(x, s) \subseteq \omega \times 2^{<\omega}$  and a linear ordering  $<_{x,s}$  on  $L(x, s)$ . We “assign preconditions” to elements of  $\omega \times 2^{<\omega}$  subject to the following rules:  $L(x, s)$  may contain only pairs  $\langle r, \rho \rangle$  with preconditions which have been satisfied at some stage  $u \leq s$ . At stage  $s$ , we will choose the  $<_{x,s}$ -first element  $\langle r, \rho \rangle$  of  $L(x, s)$ . We will argue that infinitely many times we choose the correct  $\langle w, \sigma \rangle$  and we eventually choose only pairs  $\langle r, \rho \rangle$  with  $r \geq w$ .

Let  $i, x$  be given. The formal definitions are given by induction on  $s$ .

Stage 0: Define  $B_i^0 = \emptyset$  and  $L(x, 0) = <_{x,0} = \emptyset$ . No  $\langle r, \rho \rangle$  has a precondition at 0.

Stage  $s + 1$ : If  $x \geq s + 1$  then  $x \notin B_i^{s+1}$ ; otherwise, we distinguish two cases:

- if  $L(x, s) = \emptyset$ , then

$$x \in B_i^{s+1} \Leftrightarrow x \in B_i^s;$$

- otherwise, let  $\langle w, \sigma \rangle$  be the  $<_{x,s}$ -least element of  $L(x, s)$ . Then,

- (a) if there is no  $t < w$  such that  $t$  is  $K^{s+1}$ -true, then  $x \notin B_i^{s+1}$ ;
- (b) otherwise, for the least such  $t$ ,  $x \in B_i^{s+1}$  if and only if  $\varphi_{i,t}^{\kappa_t}(x) = 1$ .

In the latter case, i.e. when  $L(x, s) \neq \emptyset$ , we extract  $\langle w, \sigma \rangle$  from  $L(x, s+1)$  and cancel the related precondition. Hence  $\langle w, \sigma \rangle$  has no precondition at any stage  $v \geq s+1$  prior to the smallest stage  $v' > s+1$  (if any) at which we again assign a precondition to  $\langle w, \sigma \rangle$ .

We *assign a precondition* to each pair  $\langle r, \rho \rangle$  such that

1.  $r$  is  $K^{s+1}$ -true;
2.  $\Psi_r^\rho(x) \downarrow$ ;
3.  $\rho \subseteq \chi_{C^r}$ ;
4.  $\rho$  of minimal length, satisfying 2. and 3. (i.e. if  $\Psi_r^{\rho'}(x) \downarrow$  and  $\rho' \subseteq \chi_{C^r}$  then  $\rho \subseteq \rho'$ ; notice that  $|\rho'| < r$ , for each such  $\rho'$ , by the definition of the use function as in [Soa87, p. 49]);
5.  $\langle r, \rho \rangle$  does not have a precondition at  $s+1$ .

At any  $v > s+1$ , we say that this precondition becomes *satisfied at v* if

$$(\forall i < |\rho|)[\rho(i) = 0 \Rightarrow (\exists t)[s+1 \leq t \leq v \& i \notin C^t]].$$

Let

$$\begin{aligned} L(x, s+1) = & (L(x, s) - \{\langle w, \sigma \rangle\}) \cup \\ & \{\langle r, \rho \rangle : \langle r, \rho \rangle \text{ has a precondition that becomes satisfied at } s+1\}. \end{aligned}$$

Finally, we order  $L(x, s+1)$  as follows: if  $\langle r, \rho \rangle, \langle r', \rho' \rangle \in L(x, s+1)$ , then let  $\langle r, \rho \rangle <_{x,s+1} \langle r', \rho' \rangle$  if either

1.  $\langle r, \rho \rangle, \langle r', \rho' \rangle \in L(x, s)$  and  $\langle r, \rho \rangle <_{x,s} \langle r', \rho' \rangle$ , or
2.  $\langle r, \rho \rangle \in L(x, s)$  and  $\langle r', \rho' \rangle \notin L(x, s)$ , or
3.  $\langle r, \rho \rangle, \langle r', \rho' \rangle \notin L(x, s)$  and  $r < r'$ .

We now check that the sequence  $B_i^s$  has the desired properties.

**Claim** Let  $x$  be given, let  $\sigma$  be the least string such that  $\sigma \subset \chi_C$  and  $\Psi^\sigma(x) \downarrow$ . Let  $w$  be the least  $K$ -true stage such that  $\Psi_w^\sigma(x) \downarrow$ . Then

1. at infinitely many stages  $s$  we extract  $\langle w, \sigma \rangle$  from  $L(x, s)$ ;
2. there exists a stage  $t_0$  such that we do not extract any pair  $\langle r, \rho \rangle$  with  $r < w$  from  $L(x, s)$  at any stage  $s \geq t_0$ .

**Proof.** Since  $\sigma \subset \chi_C$ , it is clear that there are infinitely many stages at which the requirements (1-4) for assigning a precondition to  $\langle w, \sigma \rangle$  are fulfilled. Moreover, once assigned at a stage  $s_0$ , there exists a stage  $s_1 > s_0$  such that the precondition becomes satisfied at  $s_1$  and so is then in  $L(x, s)$  until extracted. As there are only finitely many elements of  $L(x, s_1)$  before  $\langle w, \sigma \rangle$  in the ordering and no new ones can later be inserted before it  $\langle w, \sigma \rangle$  is eventually extracted. Hence, there exist infinitely many stages  $s$  such that  $\langle w, \sigma \rangle \in L(x, s)$  and we extract  $\langle w, \sigma \rangle$  from  $L(x, s)$  at infinitely many stages.

Let  $t < w$ , and assume for a contradiction that at infinitely many stages  $s$ , we extract  $\langle t, \rho_s \rangle$ , for some string  $\rho_s$ . Thus  $|\rho_s| < t$  by the definition of the use function, since  $\Psi_t^{\rho_s}(x) \downarrow$ . Then there exist a  $\rho$ , with  $|\rho| < t$ , and infinitely many stages  $u_s$  at which we assign a precondition to  $\langle t, \rho \rangle$  which becomes satisfied at some stage  $v_s \leq s$  and  $\langle t, \rho \rangle \in L(x, v)$  for every  $v$  such that  $v_s \leq v \leq s$ . Then  $t$  is  $K$ -true. Let  $t_0$  be a stage such that

$$(\forall s \geq t_0)(\forall i < t)[i \in C \Rightarrow i \in C^s].$$

By the minimality of  $\sigma$  and  $w$ , and since  $C^t \subseteq C$  and  $t$  is  $K$ -true, it follows that there exists some  $i < |\rho| < t$  such that  $i \in C$  and  $\rho(i) = 0$ . But no pair  $\langle r, \rho \rangle$  with  $\rho(i) = 0$ , for some  $i < |\rho|$  such that  $\chi_C(i) = 1$ , can have a precondition assigned to  $\langle r, \rho \rangle$  at some stage  $u \geq t_0$  which becomes later satisfied.  $\square$

We now conclude the proof of the lemma. Let  $B \in \Delta_2^0$ , and let  $i$  be such that  $\chi_B = \varphi_i^K$ . Let

$$t(x) = \min\{t : t \text{ is } K\text{-true and } \varphi_{i,t}^{\kappa_t}(x) \downarrow\}.$$

Then  $t$  is total and so a  $\Delta_2^0$  function. It follows that there exists some number  $x_0$  such that  $f(x) > t(x)$ , for all  $x \geq x_0$ .

Given  $x \geq x_0$ , let  $w$  and  $\sigma$  be as in the previous claim (for  $x$ ). Then  $t(x) < f(x) < w$  (since  $f(x) = \Psi_w^\sigma(x)$ ). Moreover, if  $t_0$  is as in the proof of the previous claim, then, for every pair  $\langle r, \rho \rangle$  such that  $\langle r, \rho \rangle$  is extracted from  $L(x, s)$  at any stage  $s \geq t_0$ , we have  $t(x) \leq r$ . Hence for all  $x \geq x_0$ ,  $\chi_{B_i}(x) = \varphi_i^K(x)$ .

Since  $f(x), \psi(x)$ , and  $w$  can be computed by  $C$ , we easily conclude that the relation  $x \in B_i$  is computable in  $C$ .  $\square$

**Remark 3.4** Note that if  $t(x) \geq w$ , then  $\lim_s B_i^s(x)$  need not exist, but, in any case,  $x \notin B_i$ , since at every large enough stage at which we extract  $\langle w, \sigma \rangle$  from  $L(x, s)$  we have  $x \notin B_i^s$ .

We now go back to the proof of the theorem. We will build a  $\Sigma_2^0$  set  $A$  such that, for every  $\Delta_2^0$  set  $B$ ,  $A \not\equiv_e B$ , and  $K^A \leq_T C$ . This implies that  $\deg_e(A)$  is properly  $\Sigma_2^0$  and, by Lemma 1.1,  $J_e(A) \leq_e \chi_C$ .

## 3.2 The strategies

**The properly  $\Sigma_2^0$ -strategy.** Let  $\{\Phi_e, \Psi_e\}_{e \in \omega}$  be some effective listing of all pairs of e-operators. To make  $A$  of properly  $\Sigma_2^0$  e-degree, it is enough to satisfy the following requirements, for every  $e, i \in \omega$ :

$$\mathcal{P}_{e,i} : A = \Phi_e^{B_i} \& B_i = \Psi_e^A \Rightarrow (\exists^\infty x)[\lim_s B_i^s(x) \uparrow]$$

where  $\{B_i\}_{i \in \omega}$  and  $\{B_i^s\}_{i, s \in \omega}$  are as given in Lemma 3.3.

Indeed, if we satisfy these requirements for every  $e, i$ , then  $\deg_e(A)$  is properly  $\Sigma_2^0$ . Suppose, for the sake of a contradiction, that  $A \equiv_e B$  and  $B \in \Delta_2^0$ . Then, by the previous lemma,  $A = \Phi_e^{B_i}$  and  $B_i = \Psi_e^A$  for some  $e, i$ , with  $B =^* B_i$  and so  $\lim_s B_i^s(x)$  does not exist for infinitely many  $x$  for the desired contradiction, since  $\lim_s B_i^s(x)$  exists for almost all  $x$ .

The strategy to meet  $\mathcal{P}_{e,i}$  is a slight modification of the canonical properly  $\Sigma_2^0$  strategy as given in [CC88], and described as follows:

- (a) appoint a witness  $x$  and let  $x \in A$ ;
- (w<sub>1</sub>) wait for finite sets  $D, E$  such that  $x \in \Phi_e^D$  and  $D \subseteq \Psi_e^E$ ;
- (b) fix  $E - \{x\} \subseteq A$ ;
- (w<sub>2</sub>) wait for  $D \subseteq B_i$ ;
- (w<sub>3</sub>) let  $x \notin A$ , wait for  $D \not\subseteq B_i$ ;
- ( $\ell$ ) let  $x \in A$ ; go back to (w<sub>2</sub>).

A triple  $x, D, E$  as above is called a *follower* of  $\mathcal{P}_{e,i}$ .

As described in [CC88, Theorem 1], for a given follower  $x, D, E$  this strategy may have the following outcomes: (w<sub>1</sub>) yields  $x \in A - \Phi_e^{B_i}$  or  $y \in B_i - \Psi_e^A$  for some  $y$ ; (w<sub>2</sub>) corresponds to the case  $D \subseteq \Psi_e^A$ ,  $D \not\subseteq B_i$ ; (w<sub>3</sub>) corresponds to the case  $x \in \Phi_e^{B_i} - A$ ; finally, the infinitary outcome  $\ell$  entails that  $\lim_s B_i^s(y)$  does not exist for some  $y \in D$ .

**The subrequirements**  $\mathcal{P}_{e,i,j}$ . It follows by the analysis of the outcomes of the previous strategy that if  $B_i^s(x)$  does have limit on every  $x \in D$ , then  $A \neq \Phi_e^{B_i}$  or  $B_i \neq \Psi_e^A$ . The only complication here (see Remark 3.4) is that there might exist finitely many numbers  $x$  such that  $\lim_s B_i^s(x)$  does not exist, thus, for some  $y \in D$ ,  $\lim_s B_i^s(y)$  need not exist. We cope with this difficulty by attacking  $\mathcal{P}_{e,i}$  through infinitely many subrequirements  $\mathcal{P}_{e,i,j}$ , with  $j \in \omega$ . The strategy for  $\mathcal{P}_{e,i,j}$  consists in looking for a follower  $x, D, E$  such that  $D \upharpoonright j = B_i \upharpoonright j$ : thus, for almost all  $j$ , if we appoint a follower  $x, D, E$  as before, we are bound to conclude that  $B_i^s(y)$  exists on every  $y \in D$ . Thus  $\mathcal{P}_{e,i}$  is satisfied through some subrequirement  $\mathcal{P}_{e,i,j}$  (in fact cofinitely many such subrequirements). Before acting, the subrequirement  $\mathcal{P}_{e,i,j}$  must therefore be provided with some knowledge of what numbers  $x < j$  are in fact in  $B_i$ . This information is coded in the first component,  $h(\sigma, s)$ , of the outcome of the node corresponding to  $\mathcal{P}_{e,i,j}$  in the tree of outcomes.

**The strategy for  $K^A \leq_T C$ .** For every  $i$ , we will look for a finite set  $D$  such that  $i \in \Phi_i^D$ . If such a  $D$  exists then we let  $D \subseteq A$ . Notice that we can determine computably in  $\emptyset'$  and, thus, in  $C$ , whether or not such a finite set exists.

### 3.3 The tree of outcomes

For notation and terminology for strings and trees, the reader is referred to [Soa87]. The tree of outcomes is the smallest set  $T$  of strings  $\sigma$  such that

1. if  $|\sigma|$  is even then  $\sigma\hat{(}h, r) \in T$ , for every  $h \in \omega$  and  $r \in \{0, 1\}$ ;
2. if  $|\sigma|$  is odd then  $\sigma\hat{r} \in T$ , for every  $r \in \{0, 1\}$ .

The strings of even length are assigned to the (sub)requirements  $\mathcal{P}_{e,i,j}$ , according to some fixed priority listing. The first component,  $h(\sigma, s)$ , of the outcome of  $\sigma$  at stage  $s$  will be an assessment as to which numbers  $x < j$  are in fact in  $B_i$ : at stage  $s + 1$ ,  $h(\sigma, s)$  will be chosen to be the first element of a list  $\mathcal{L}(\sigma, s)$  of numbers. Each element  $h$  of the list is the canonical index of a finite subset of  $\{x : x < j\}$ . Its position in the list measures how well the set  $\{x : x < j\} - B_i$  is approximated by the finite set  $D_h$ . Having decided on the first component,  $h$ , of the outcome at  $\sigma$ , the strategy for  $\mathcal{P}_{e,i,j}$  is ready to act at  $\sigma^+ = \sigma\hat{h}$ . The outcome 1 at  $\sigma^+$  corresponds to  $(w_1)$  or  $(w_2)$ ; the outcome 0 corresponds to  $(w_3)$  or  $(\ell)$ .

The strings of odd length are devoted to guaranteeing that  $K^A \leq_T C$ : if  $|\sigma| = 2i + 1$  then we have outcome 0 if there exists (modulo higher priority constraints) some finite set  $D$  such that  $i \in \Phi_i^D$ ; otherwise we have outcome 1.

Let  $\hat{T} = T \cup \{\sigma \hat{h} : |\sigma| \text{ even } \& h \in \omega\}$ . For  $\sigma \in \hat{T}$ , the parameter  $\alpha(\sigma, s)$  is intended to record some finite set which we want to keep in  $A$  for the sake of our actions at  $\sigma$ ; the parameter  $\epsilon(\sigma, s)$  is meant to record some finite set of elements which we want to keep out of  $A$ .

The ordering  $\preceq$  of  $T$  is determined in the usual way by the ordering of the outcomes given that we define  $(h, r) < (h', r')$  if

$$h > h' \text{ or } [h = h' \& r < r'].$$

We extend  $\preceq$  to  $\hat{T}$  in the obvious way.

Finally, let  $\{\xi_\sigma\}_{\sigma \in \hat{T}}$  be a computable partition of  $\omega$  into infinite computable sets.

### 3.4 The construction

The construction proceeds by stages. At stage  $s$  we define a finite set  $A^s$ , a string  $\delta_s$ , and the values of several parameters. Unless otherwise specified, at each stage each parameter retains the same value as at the preceding stage.

Stage 0: Define  $\delta_0 = \emptyset$ . For every  $\sigma \in \hat{T}$ , let

$$\alpha(\sigma, 0) = \epsilon(\sigma, 0) = \mathcal{L}(\sigma, 0) = \emptyset.$$

Let  $x(\sigma, 0)$  and  $p(\sigma, h, 0)$  be undefined for every  $x, h \in \omega$ . Finally, let  $A^0 = \emptyset$ .

Stage  $s + 1$ : Suppose that we have defined  $\delta_{s+1} \upharpoonright n$ , where  $n < s + 1$ : let  $\sigma = \delta_{s+1} \upharpoonright n$ . Our aim is to define a string  $\sigma^{++}$  which we will be  $\delta_{s+1} \upharpoonright n + 1$ .

**$|\sigma| \text{ even.}$**  Let  $\mathcal{P}_{e,i,j}$  be the requirement assigned to  $\sigma$ . For simplicity, drop subscripts, and let  $\Phi_e = \Phi$ ,  $\Psi_e = \Psi$  and  $B_i = B$ .

Our first task is to define the first component,  $h(\sigma, s + 1)$ , of the outcome. We define  $h(\sigma, s + 1)$  to be the least element of  $\mathcal{L}(\sigma, s)$  if  $\mathcal{L}(\sigma, s) \neq \emptyset$ , otherwise  $h(\sigma, s + 1) = 0$ . Then we cancel the precondition for  $h(\sigma, s + 1)$  by letting  $p(\sigma, h(\sigma, s + 1), s + 1) \uparrow$ .

To every  $h$  such that  $\max D_h < j$  and  $h$  does not have a precondition, we assign the precondition  $p(\sigma, h, s + 1)$  which becomes satisfied at some later stage  $v > s + 1$  if, for every  $x < j$  and  $x \in D_h$ , there exists  $u$  such that  $s + 1 \leq u \leq v$  and  $B^u(x) = 0$ .

Define

$$\begin{aligned} \mathcal{L}(\sigma, s + 1) = & (\mathcal{L}(\sigma, s) - \{h(\sigma, s + 1)\}) \cup \\ & \{h : h \text{ has a precondition that is satisfied at } s + 1\} \end{aligned}$$

and order  $\mathcal{L}(\sigma, s + 1)$  in the usual way: for every  $h, h' \in \mathcal{L}(\sigma, s + 1)$ , define  $h <_{\sigma, s + 1} h'$  if either

1.  $h, h' \in \mathcal{L}(\sigma, s)$  and  $h <_{\sigma,s} h'$ , or
2.  $h \in \mathcal{L}(\sigma, s)$  and  $h' \notin \mathcal{L}(\sigma, s)$ , or
3.  $h, h' \notin \mathcal{L}(\sigma, s)$  and  $h < h'$ .

Let  $\sigma^+ = \sigma \hat{\wedge} h(\sigma, s + 1)$ .

Now we are ready to activate the strategy for  $\mathcal{P}$ .

Let  $x = x(\sigma^+, s + 1)$  be the least number in  $\xi_{\sigma^+}$  such that  $x \notin \alpha(\rho, s + 1)$ , for every  $\rho \prec \sigma^+$ .

Case 1).

$$(\exists D)(\exists E)[x \in \Phi_s^D \& D \cap D_{h(\sigma, s + 1)} = \emptyset \& D \subseteq \Psi_s^E \\ \& E \cap \bigcup\{\epsilon(\rho, s + 1) : \rho \preceq \sigma\} = \emptyset].$$

Choose the least such pair  $D, E$ .

In this case, let  $\alpha(\sigma^+, s + 1) = E - \{x\}$ :

1. if  $D \subseteq B^s$ , then let  $\sigma^{++} = \sigma^+ \hat{\wedge} 0$  and  $\epsilon(\sigma^{++}, s + 1) = \{x\}$ ;
2. otherwise, let  $\sigma^{++} = \sigma^+ \hat{\wedge} 1$  and  $\alpha(\sigma^{++}, s + 1) = \{x\}$ .

Case 2). Otherwise, let  $\sigma^{++} = \sigma^+ \hat{\wedge} 1$  and  $\alpha(\sigma^{++}, s + 1) = \{x\}$ .

**$|\sigma| \text{ odd.}$**  Let  $|\sigma| = 2i + 1$ . We distinguish two cases.

Case 1).  $(\exists D)[i \in \Phi_i^D \& D \cap \bigcup\{\epsilon(\rho, s + 1) : \rho \preceq \sigma\} = \emptyset]$ .

In this case, let  $\sigma^{++} = \sigma \hat{\wedge} 0$ , and let  $\alpha(\sigma, s + 1) = D$  for the least such  $D$ .

Case 2). Otherwise, let  $\sigma^{++} = \sigma \hat{\wedge} 1$ .

**Definition of  $A^{s+1}$ .** At the end of stage  $s + 1$ , let

$$A^{s+1} = (A^s \cup \bigcup\{\alpha(\rho, s + 1) : \rho \preceq \delta_{s+1}\}) - \bigcup\{\epsilon(\rho, s + 1) : \rho \preceq \delta_{s+1}\}.$$

### 3.5 Verification

The verification is based upon the following lemmas.

**Lemma 3.5** *For every  $n$ ,  $\sigma_n = \liminf_s \delta_s \upharpoonright n$  exists.*

**Proof.** Assume by induction that the claim is true of  $n$ . The only nontrivial case is when  $|\sigma_n|$  is even, where, say, the requirement  $\mathcal{P}_{e,i,j}$  is assigned to  $\sigma_n$ .

Let  $h$  be the canonical index of  $\overline{B_i} \upharpoonright j$ . It is clear that, whenever we assign a precondition to  $h$ , then this precondition becomes satisfied at some later stage. Hence, at infinitely many stages  $s$ ,  $h \in \mathcal{L}(\sigma_n, s)$ , and at infinitely many stages  $t$ ,  $h = h(\sigma_n, t)$ . On the other hand, it is also clear that for almost all stages  $s$ , if  $h' \in \mathcal{L}(\sigma_n, s)$ , then  $D_{h'} \subseteq D_h$ , hence  $h' \leq h$  by the usual coding of canonical sets. Therefore it follows that  $\sigma_{n+1} = \sigma_n \hat{\wedge} (h, r)$ , for some  $r \in \{0, 1\}$ .  $\square$

Let  $f = \bigcup_{n \in \omega} \sigma_n$ .

**Lemma 3.6** *For every  $\tau \in \hat{T}$ , if  $\tau \subset f$ , then  $\alpha(\tau) = \lim_s \alpha(\tau, s)$ ,  $\epsilon(\tau) = \lim_s \epsilon(\tau, s)$  and  $x(\tau) = \lim_s x(\tau, s)$  exist. Moreover, if  $\tau = \sigma_n$  for some  $n$ , then the requirement assigned to  $\sigma_n$  is satisfied.*

**Proof.** By induction on  $n$ , we show that if  $\tau = \sigma_n$  or  $\tau = \sigma_n^+$ , where  $\sigma_{n+1} = \sigma_n^+ \hat{\wedge} r$  for some  $r \in \{0, 1\}$  (of course  $\sigma_n^+ = \sigma_n$  if  $n$  is odd), then  $\lim_s \alpha(\tau, s)$ ,  $\lim_s \epsilon(\tau, s)$  and  $\lim_s x(\tau, s)$  exist, and the requirement assigned to  $\sigma_n$  is satisfied. The case  $n = 0$  is trivial as are the existence of the required limits for all nodes to the left of the true path.

Assume that the claim is true of  $n$ . For every  $\tau \in \hat{T}$  such that  $\tau \preceq \sigma_n$ , let  $\alpha(\tau) = \lim_s \alpha(\tau, s)$ ,  $\epsilon(\tau) = \lim_s \epsilon(\tau, s)$  and  $x(\tau) = \lim_s x(\tau, s)$ ; and let  $t$  be a stage such that, for every  $s \geq t$  and  $\tau \preceq \sigma_n$ ,  $\alpha(\tau) = \alpha(\tau, s)$ ,  $\epsilon(\tau) = \epsilon(\tau, s)$  and  $x(\tau) = x(\tau, s)$ .

Suppose first that  $|\sigma_n|$  is even, and let  $\mathcal{P}_{e,i,j}$  be the requirement assigned to  $\sigma_n$ . Let  $\sigma_{n+1} = \sigma_n \hat{\wedge} (h, i)$ , and let  $\sigma_n^+ = \sigma_n \hat{\wedge} h$ . Then

$$x(\sigma_n^+) = \min x \in (\xi_{\sigma_n^+} - \bigcup_{\tau \preceq \sigma_n} \alpha(\tau)).$$

- If there are no finite sets  $D, E$  such that  $D \cap D_h = \emptyset$ ,  $x(\sigma_n^+) \in \Phi_e^D$ ,  $E \cap \bigcup_{\tau \preceq \sigma_n} \epsilon(\tau) = \emptyset$  and  $D \subseteq \Psi_e^E$ , then  $\sigma_{n+1} = \sigma_n^+ \hat{\wedge} 1$ ,

$$\lim_s \epsilon(\sigma_n^+, s) = \lim_s \epsilon(\sigma_{n+1}, s) = \emptyset,$$

$\lim_s \alpha(\sigma_n^+, s) = \emptyset$ ,  $\lim_s \alpha(\sigma_{n+1}, s) = \{x(\sigma_n^+)\}$  and  $x(\sigma_n^+) \in A$ . Moreover, either  $x(\sigma_n^+) \in A - \Phi_e^{B_i}$ , or  $x(\sigma_n^+) \in \Phi_e^D$ , for some  $D \subseteq B_i$ , but  $D \not\subseteq \Psi_e^A$ .

- If  $D, E$  exist, then we eventually choose the least such pair  $D, E$ , hence  $\alpha(\sigma_n^+) = E - \{x(\sigma_n^+)\}$ ,  $\epsilon(\sigma_n^+) = \emptyset$ , and either (a) or (b) holds:
  - (a)  $\sigma_{n+1} = \sigma_n^+ \hat{\wedge} 0$  and  $\alpha(\sigma_{n+1}) = \emptyset$ ,  $\epsilon(\sigma_{n+1}) = \{x(\sigma_n^+)\}$ ;
  - (b)  $\sigma_{n+1} = \sigma_n^+ \hat{\wedge} 1$  and  $\alpha(\sigma_{n+1}) = \{x(\sigma_n^+)\}$ ,  $\epsilon(\sigma_{n+1}) = \emptyset$ .

In case (a) either

- (a<sub>1</sub>) there exist infinitely many stages  $s$  such that  $\sigma_n^+ \hat{\wedge} 1 \subseteq \delta_s$ , in which case, there exists some  $y \in D$  such that  $\lim_s B_i^s(y)$  does not exist; or
- (a<sub>2</sub>)  $D \subseteq B_i$  but  $x(\sigma_n^+) \notin A$ , giving  $x(\sigma_n^+) \in \Phi_e^{B_i} - A$ .

In (b) we have  $D \not\subseteq B_i$ , but  $E \subseteq A$ , hence  $D \subseteq \Psi_e^A$ .

**Remark 3.7** Notice that if  $j$  is such that  $\lim_s B_i^s(y)$  exists for every  $y \geq j$ , then (a<sub>1</sub>) does not occur, by Lemma 3.3.

If  $|\sigma_n| = 2i + 1$  is odd and  $i \in \Phi_i^D$ , for some finite set  $D$  such that  $D \cap \bigcup_{\tau \preceq \sigma_n} \epsilon(\tau) = \emptyset$ , then  $\sigma_{n+1} = \sigma_n \hat{\wedge} 0$  and  $\alpha(\sigma_{n+1}) = D$ , for some such  $D$ ; otherwise  $\sigma_{n+1} = \sigma_n \hat{\wedge} 1$  and  $\alpha(\sigma_{n+1}) = \emptyset$ . In either case  $\epsilon(\sigma_{n+1}) = \emptyset$ .

The proof of the lemma is now complete.  $\square$

**Lemma 3.8**  $K^A \leq_T C$ .

**Proof.** We will show that, for every  $n$ , one can compute  $\sigma_n$  recursively in  $C$ .

Now,  $\sigma_0 = \emptyset$ . Assume by induction that we can compute  $\sigma_n$  and a stage  $s_n$  such that  $\tau \not\subseteq \delta_s$ , for every  $s \geq s_n$  and  $\tau \prec_L \sigma_n$  and each parameter at any  $\tau \preceq \sigma_n$  has reached its limit by stage  $s_n$ . Assume first that  $|\sigma_n|$  is even, and let  $\mathcal{P}_{e,i,j}$  be the requirement assigned to  $\sigma_n$ . Since  $C$  can compute  $B_i \upharpoonright j$ , it follows that  $C$  can compute the first component,  $h = \lim_s h(\sigma_n, s)$ , of the outcome of  $\sigma_n$ . Moreover, since  $\emptyset' \leq_T C$ ,  $C$  can compute the least stage  $s_n^+ \geq s_n$  such that  $\tau \not\subseteq \delta_s$ , for every  $\tau \prec_L \sigma_n \hat{\wedge} h$  (since for every  $x \in B_i \upharpoonright j$  and every  $t$ , one can compute in  $\emptyset'$  whether there exists some  $s \geq t$  such that  $x \notin B_i^s$ ). Again, using  $\emptyset'$  as an oracle, one can compute whether Case 1 or Case 2 of the construction holds, thus computing  $\sigma_{n+1}$  and the corresponding  $s_{n+1}$ .

A similar argument applies in the case  $|\sigma_n| = 2i + 1$ , for some  $i$ , since the oracle  $\emptyset'$  can compute whether or not there exists some stage  $s \geq s_n$  and some finite  $D$  such that  $i \in \Phi_i^D$ , and  $D \cap \bigcup_{\tau \preceq \sigma_n} \epsilon(\tau, s_n) = \emptyset$ .

It follows that  $i \in K^A$  if and only if  $\sigma_{2i+2} = \sigma_{2i+1} \hat{\wedge} 0$ , thus  $K^A \leq_T C$ .  $\square$

**Remark 3.9** We expect that, by combining the above construction of  $A$  with a variant of the coding procedure and the associated guessing at outcomes used in the tree proof of the Sacks' jump inversion theorem, one can actually guarantee that  $J_e(A) \equiv_e \chi_C$ .

## References

- [BCS97] S. Bereznuk, R. Coles, and A. Sorbi. The distribution of properly  $\Sigma_2^0$  enumeration degrees. preprint, 1997.
- [CC88] S. B. Cooper and C. S. Copestake. Properly  $\Sigma_2$  enumeration degrees. *Z. Math. Logik Grundlag. Math.*, 34:491–522, 1988.
- [Coo90] S. B. Cooper. Enumeration reducibility, nondeterministic computations and relative computability of partial functions. In K. Ambos-Spies, G. Müller, and G. E. Sacks, editors, *Recursion Theory Week, Oberwolfach 1989*, volume 1432 of *Lecture Notes in Mathematics*, pages 57–110, Heidelberg, 1990. Springer–Verlag.
- [Ler83] M. Lerman. *Degrees of Unsolvability*. Perspectives in Mathematical Logic. Springer–Verlag, Heidelberg, 1983.
- [LS92] A. H. Lachlan and R. A. Shore. The  $n$ -rea enumeration degrees are dense. *Arch. Math. Logic*, 31:277–285, 1992.
- [MC85] K. McEvoy and S. B. Cooper. On minimal pairs of enumeration degrees. *J. Symbolic Logic*, 50:839–848, 1985.
- [McE85] K. McEvoy. Jumps of quasi-minimal enumeration degrees. *J. Symbolic Logic*, 50:839–848, 1985.
- [Med55] Y. T. Medvedev. Degrees of difficulty of the mass problems. *Dokl. Nauk. SSSR*, 104:501–504, 1955.
- [Rog67] H. Rogers, Jr. *Theory of Recursive Functions and Effective Computability*. McGraw-Hill, New York, 1967.
- [Soa87] R. I. Soare. *Recursively Enumerable Sets and Degrees*. Perspectives in Mathematical Logic, Omega Series. Springer–Verlag, Heidelberg, 1987.