

# Solutions to Ireland, Rosen “A Classical Introduction to Modern Number Theory”

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## Chapter 7

**Ex. 7.1** Use the method of Theorem 1 to show that a finite subgroup of the multiplicative group of a field is cyclic.

A solution is already given in Ex. 4.15

**Ex. 7.2** Find the finite subgroups of  $\mathbb{R}^*$  and  $\mathbb{C}^*$  and show directly that they are cyclic.

*Proof.* If  $G$  is a finite subgroup of  $\mathbb{R}$  or  $\mathbb{C}$ , and  $n = |G|$ , then from Lagrange’s Theorem,  $x^n = 1$  for all  $x \in G$ .

- If  $G$  is a finite subgroup of  $\mathbb{R}^*$ , then the solutions of  $x^n = 1$  are in  $\{-1, 1\}$ , so  $\{1\} \subset G \subset \{-1, 1\}$  :  $G = \{1\}$  or  $G = \{-1, 1\}$ , both cyclic.

- If  $G$  is a finite subgroup of  $\mathbb{C}^*$ , then  $G \subset \mathbb{U}_n = \{e^{2ik\pi/n} \mid 0 \leq k \leq n-1\}$ . As  $|G| = |\mathbb{U}_n| = n$ , then  $G = \mathbb{U}_n \simeq \mathbb{Z}/n\mathbb{Z}$  is cyclic.  $\square$

**Ex. 7.3** Let  $F$  a field with  $q$  elements and suppose that  $q \equiv 1 \pmod{n}$ . Show that for  $\alpha \in F^*$ , the equation  $x^n = \alpha$  has either no solutions or  $n$  solutions.

*Proof.* This is a particular case of Prop. 7.1.2., where  $d = n \wedge (q-1) = n$  : the equation  $x^n = \alpha$  has solutions iff  $\alpha^{(q-1)/n} = 1$ . In this case, there are exactly  $d = n$  solutions.

We give here a direct proof.

Let  $g$  a generator of  $F^*$ . Write  $x = g^y, \alpha = g^a$ . Then

$$x^n = \alpha \iff g^{ny} = g^a \iff q-1 \mid ny - a.$$

Suppose that there exists  $x \in F$  such that  $x^n = \alpha$ . Then there exists  $y \in \mathbb{Z}$  such that  $q-1 \mid ny - a$ . Since  $n \mid q-1$ , then  $n \mid a$ .

$$q-1 \mid ny - a \iff \frac{q-1}{n} \mid y - \frac{a}{n} \iff y = \frac{a}{n} + k \frac{q-1}{n}, k \in \mathbb{Z}.$$

As  $\frac{a}{n} + (k+n) \frac{q-1}{n} = \frac{a}{n} + k \frac{q-1}{n}, k \in \mathbb{Z}$ , the values  $k = 0, 1, \dots, n-1$  are sufficient :

$$x^n = \alpha \iff y = \frac{a}{n} + k \frac{q-1}{n}, k \in \{0, 1, \dots, n-1\}.$$

Moreover, these solutions are all distinct : if  $k, l \in \{0, 1, \dots, n-1\}$ ,

$$\begin{aligned} g^{\frac{a}{n} + k \frac{q-1}{n}} &= g^{\frac{a}{n} + l \frac{q-1}{n}} \Rightarrow g^{(k-l) \frac{q-1}{n}} = 1 \\ &\Rightarrow q-1 \mid (k-l) \frac{q-1}{n} \\ &\Rightarrow n \mid k-l \\ &\Rightarrow k \equiv l \pmod{n} \Rightarrow k = l. \end{aligned}$$

Conclusion : if  $F$  is a field with  $q$  elements and  $n \mid q-1$ , the equation  $x^n = \alpha$  has either no solutions or  $n$  solutions in  $F$ .

Remark :

$$\exists x \in F^*, x^n = \alpha \iff n \mid a \iff \alpha^{(q-1)/n} = 1.$$

Indeed, if  $x^n = \alpha$  has a solution, we have proved that  $n \mid a$ , thus  $\alpha^{(q-1)/n} = (g^{a/n})^{q-1} = 1$ .

Reciprocally, if  $\alpha^{(q-1)/n} = 1$ ,  $g^{a \cdot (q-1)/n} = 1$ , thus  $q-1 \mid a(q-1)/n$ , so  $n \mid a : \alpha = x^n$ , with  $x = g^{n/a}$ .  $\square$

**Ex. 7.4** (continuation) Show that the set of  $\alpha \in F^*$  such that  $x^n = \alpha$  is solvable is a subgroup with  $(q-1)/n$  elements.

*Proof.* Here  $n \mid q-1$ .

Let  $\varphi = F^* \rightarrow F^*$  the application defined by  $\varphi(x) = x^n$ .  $\varphi$  is a morphism of groups, and  $\ker \varphi$  is the set of solutions of  $x^n = 1$ . As  $n \mid q-1$ ,  $x^n = 1$  has exactly  $n$  solutions (Prop 7.1.1, Corollary 2, or Ex 7.3 with  $\alpha = 1$ ). So  $|\ker \varphi| = n$ .

Thus  $\text{Im} \varphi \simeq F^*/\ker \varphi$  is a subgroup with cardinality  $|F^*|/|\ker \varphi| = (q-1)/n$ , and  $\text{Im} \varphi$  is the set of  $\alpha$  such that  $x^n = \alpha$  is solvable.

Conclusion : the set of  $\alpha \in F^*$  such that  $x^n = \alpha$  is solvable is a subgroup with  $(q-1)/n$  elements.  $\square$

**Ex. 7.5** (continuation) Let  $K$  be a field containing  $F$  such that  $[K : F] = n$ . For all  $\alpha \in F^*$ , show that the equation  $x^n = \alpha$  has  $n$  solutions in  $K$ . [Hint: Show that  $q^n - 1$  is divisible by  $n(q-1)$  and use the fact that  $\alpha^{q-1} = 1$ .]

*Proof.* As  $q \equiv 1 \pmod{n}$ ,  $\frac{q^n - 1}{q - 1} = 1 + q + \dots + q^{n-1} \equiv 0 \pmod{n}$ , then  $n \mid \frac{q^n - 1}{q - 1}$  :

$$q^n - 1 = kn(q-1), k \in \mathbb{N}.$$

Since  $\alpha \in F^*$ ,  $\alpha^{q-1} = 1$ , so

$$\alpha^{(q^n - 1)/n} = (\alpha^{q-1})^k = 1.$$

As  $|K| = q^n$ , Prop. 7.1.2 (or the final remark in Ex. 7.3) show that there exists  $x \in K^*$  such that  $x^n = \alpha$ . Then, from Ex. 7.3, we know that there exist  $n$  solutions in  $K$ .

Conclusion : if  $[K : F] = n$ , the equation  $x^n = \alpha$  has  $n$  solutions in  $K$ .  $\square$

**Ex. 7.6** Let  $K \supset F$  be finite fields with  $[K : F] = 3$ . Show that if  $\alpha \in F$  is not a square in  $F$ , it is not a square in  $K$ .

*Proof.* Let  $q = |F|$ . Then  $|K| = q^3$ .

If the characteristic of  $F$  is 2,  $q = 2^k$ , and for all  $x \in F$ ,  $x = x^q = (x^{2^{k-1}})^2$ . So all elements in  $F$  or  $K$  are squares. We can now suppose that the characteristic of  $F$  is not 2, and consequently  $1 \neq -1$  in  $F$ .

As  $\alpha$  is not a square in  $F$ ,  $\alpha^{(q-1)/2} \neq 1$  (Prop. 7.1.2). From  $0 = \alpha^{q-1} - 1 = (\alpha^{(q-1)/2} - 1)(\alpha^{(q-1)/2} + 1)$ , we deduce  $\alpha^{(q-1)/2} = -1$ . Then

$$\alpha^{(q^3-1)/2} = (\alpha^{(q-1)/2})^{q^2+q+1} = (-1)^{q^2+q+1} = -1,$$

since  $q^2 + q + 1$  is always odd.

$\alpha^{(q^3-1)/2} \neq 1$  : this implies (Prop. 7.1.2) that  $\alpha$  is not a square in  $K$ .  $\square$

**Ex. 7.7** Generalize Exercise 6 by showing that if  $\alpha$  is not a square in  $F$ , it is not a square in any extension of odd degree and is a square in every extension of even degree.

*Proof.* Write  $q = [K : F]$ , and  $q = \text{Card } F$ .

As  $\alpha$  is not a square in  $F$ , the characteristic of  $F$  is not 2 (see Ex.7.6), and  $\alpha^{(q-1)/2} \neq 1$ . Since  $\alpha^{q-1} = 1$ ,  $\alpha^{(q-1)/2} = -1$ .

$$\alpha^{(q^n-1)/2} = (\alpha^{(q-1)/2})^{1+q+\dots+q^{n-1}} = (-1)^{1+q+\dots+q^{n-1}}.$$

• If  $n$  is odd,  $1+q+\dots+q^{n-1} \equiv 1 \pmod{2}$ , thus  $\alpha^{(q^n-1)/2} = -1 \neq 1$ , and consequently  $\alpha$  is not a square in  $K$ .

• If  $n$  is even, as  $q$  is odd ( $\text{char}(F) \neq 2$ ),  $1+q+\dots+q^{n-1} \equiv 0 \pmod{2}$ , thus  $\alpha^{(q^n-1)/2} = 1$ , so  $\alpha$  is a square in  $K$ .  $\square$

**Ex. 7.8** In a field with  $2^n$  elements, what is the subgroup of squares.

Let  $F$  a field with  $q = 2^n$  elements.

### Proof 1

*Proof.*  $d = (q-1) \wedge 2 = (2^n-1) \wedge 2 = 1$ , thus each  $\alpha \in F^*$  verifies  $\alpha^{(q-1)/d} = \alpha^{q-1} = 1$ . Theorem 7.1.2 show that  $\alpha$  is a square in  $F$ , of exactly one root.  $\square$

### Proof 2

*Proof.* For all  $x \in F$ ,  $x = x^q = (x^{2^{n-1}})^2$ . So all elements in  $F$  or  $K$  are squares.  $\square$

**Ex. 7.9** If  $K \supset F$  are finite fields,  $|F| = q$ ,  $q \equiv 1 \pmod{n}$ , and  $x^n = \alpha$  is not solvable in  $F$ , show that  $x^n = \alpha$  is not solvable in  $K$  if  $(n, [K : F]) = 1$ .

*Proof.* Let  $k = [K : F]$ . From hypothesis,  $k \wedge n = 1$ , so there exist integers  $u, v$  such that  $uk + vn = 1$ .

As  $n \mid q-1$ ,  $n \wedge (q-1) = n$ , so the hypothesis " $x^n = \alpha$  is not solvable in  $F$ " implies that  $\alpha^{(q-1)/n} \neq 1$  (Prop. 7.1.2).

Write  $\omega = \alpha^{(q-1)/n}$ , so  $\omega \neq 1$  and  $\omega^n = 1$ .

As  $n \mid q-1$ ,  $n \mid q^k-1$  and

$$\alpha^{(q^k-1)/n} = (\alpha^{(q-1)/n})^{1+q+q^2+\dots+q^{k-1}} = \omega^{1+q+q^2+\dots+q^{k-1}}.$$

Moreover  $1+q+\dots+q^{k-1} \equiv k \pmod{n}$ , and  $\omega^n = 1$ , so  $\alpha^{(q^k-1)/n} = \omega^k$ .

If  $\omega^k = 1$ , then  $\omega = \omega^{uk+vn} = (\omega^k)^u (\omega^n)^v = 1$ , which is in contradiction with  $\omega = \alpha^{(q-1)/n} \neq 1$ .

So  $\alpha^{(q^k-1)/n} = \omega^k \neq 1$ , and consequently the equation  $x^n = \alpha$  has no solution in  $K$ .  $\square$

**Ex. 7.10** If  $K \supset F$  be finite fields and  $[K : F] = 2$ . For  $\beta \in K$ , show that  $\beta^{1+q} \in F$  and moreover that every element in  $F$  is of the form  $\beta^{1+q}$  for some  $\beta \in K$ .

*Proof.* If  $\beta = 0$ ,  $\beta^{1+q} = 0 \in F$ , and if  $\beta \in K^*$ ,  $\beta^{q^2-1} = 1$ , so  $(\beta^{1+q})^{q-1} = 1$ , thus  $\beta^{1+q} \in F$  (Prop. 7.1.1, Corollary 1).

Let  $g$  a generator of  $K^* : K^* = \{1, g, g^2, \dots, g^{q^2-2}\}$ .

For every integer  $k \in \mathbb{Z}$ ,

$$g^k \in F^* \iff (g^k)^{q-1} = 1 \iff g^{k(q-1)} = 1 \iff q^2 - 1 \mid k(q-1) \iff q+1 \mid k.$$

Thus  $F^* = \{1, g^{q+1}, g^{2(q+1)}, \dots, g^{(q-2)(q+1)}\}$ . If  $\alpha \in F^*$ , there exists  $i, 0 \leq i \leq q-1$  such that  $\alpha = g^{i(q+1)}$ . If we write  $\beta = g^i$ , then  $\alpha = \beta^{1+q}$  (and for  $\alpha = 0$ , we take  $\beta = 0$ ).

Conclusion : if  $K$  is a quadratic extension of  $F$  ( $F, K$  finite fields), every element in  $F$  is of the form  $\beta^{1+q}$  for some  $\beta \in K$ .  $\square$

**Ex. 7.11** With the situation being that of Exercise 10 suppose that  $\alpha \in F$  has order  $q-1$ . Show that there is a  $\beta \in K$  with order  $q^2-1$  such that  $\beta^{1+q} = \alpha$ .

Write  $|a|$  the order of an element  $a$  in a group  $G$ . We recall the following lemma :

**Lemma** If  $|a| = d$ , then for all  $i \in \mathbb{Z}$ ,  $|a^i| = \frac{d}{d \wedge i}$ .

*Proof.* Indeed, for all  $k \in \mathbb{Z}$ ,

$$(a^i)^k = e \iff a^{ik} = e \iff d \mid ik \iff \frac{d}{d \wedge i} \mid \frac{i}{d \wedge i} k \iff \frac{d}{d \wedge i} \mid k.$$

$\square$

*Proof.* (Ex. 7.11)

Let  $\alpha \in F^*$  with  $|\alpha| = q-1$ , and  $g$  a generator of  $K^*$ , so  $|g| = q^2-1$ . We know from exercise 7.10 that there exists an integer  $i$  such that  $\alpha = g^{i(q+1)}$ .

Let  $h = g^{q+1}$ . As  $h^{q-1} = 1$ , then  $h \in F^*$ , and since  $|g| = q^2-1$ ,  $|h| = q-1$ , so  $h$  is a generator of  $F^*$ .

Note that for all  $s \in \mathbb{Z}$ ,  $\alpha = g^{(i+s(q-1))(q+1)}$ , since  $g^{q^2-1} = 1$ .

We will show that we can choose  $s$  such that  $j = i + s(q-1)$  is relatively prime with  $q+1$ . Then  $j$  is such that  $\alpha = g^{j(q+1)} = h^j$ .

$i$  is odd : if not  $\alpha$  is an element of the subgroup of squares in  $F^*$ , so its order divides  $(q-1)/2$ , in contradiction with  $|\alpha| = q-1$ .

$(q-1) \wedge (q+1) \mid 2$ . Since  $i-1$  is even, there exist integers  $s, t$  verifying the Bézout's equation

$$i-1 = t(q+1) - s(q-1).$$

Then  $j = i + s(q - 1) = 1 + t(q + 1)$  is relatively prime with  $q + 1 : j \wedge (q + 1) = 1$ .

Moreover, as  $\alpha = h^j$ , with  $|\alpha| = |h| = q - 1$ , the lemme implies that

$$q - 1 = |\alpha| = \frac{q - 1}{(q - 1) \wedge j},$$

so  $(q - 1) \wedge j = 1$ . As  $(q + 1) \wedge j = 1$  and  $(q - 1) \wedge j = 1$ , then  $(q^2 - 1) \wedge j = 1$ .

Let  $\beta = g^j$  : then  $\alpha = \beta^{1+q}$ , and using the lemma :

$$|\beta| = |g^j| = \frac{q^2 - 1}{(q^2 - 1) \wedge j} = q^2 - 1.$$

Conclusion : there exists a  $\beta \in K^*$  with order  $q^2 - 1$  such that  $\beta^{1+q} = \alpha$ .  $\square$

**Ex. 7.12** Use Proposition 7.2.1 to show that given a field  $k$  and a polynomial  $f(x) \in k[x]$  there is a field  $K \supset k$  such that  $[K : k]$  is finite and  $f(x) = a(x - \alpha_1)(x - \alpha_2) \cdots (x - \alpha_n)$  in  $K[x]$ .

*Proof.* We show by induction on the degree  $n$  of  $f$  that for all polynomials  $f \in k[x]$  with  $\deg(f) = n \geq 1$ , there exists a field extension  $K$  such that  $[K : k]$  is finite, and  $f(x)$  splits in linear factors on  $K$ .

If  $n = 1$ ,  $f(x) = ax + b = a(x - \alpha_0)$ , where  $\alpha_0 = -b/a$  :  $K = k$  is suitable.

Suppose that the property is true for all polynomials of degree less than  $n$  on an arbitrary field  $k$ .

Let  $f(x) \in k[x]$ ,  $\deg(f) = n$ . From proposition 7.2.1. applied to an irreducible factor of  $f$ , there exists a field  $L$ ,  $[L : k] < \infty$  and  $\alpha \in L$  such that  $f(\alpha_1) = 0$ . Then  $f(x) = (x - \alpha_1)g(x)$ ,  $g(x) \in L[x]$ .

Applying the induction hypothesis in the field  $L$  on the polynomial  $g \in L[x]$  with  $\deg(g) = n - 1$ , we obtain a field  $K$ ,  $[K : L] < \infty$  such that  $g(x) = a(x - \alpha_2) \cdots (x - \alpha_n)$  with  $\alpha_i \in K$ . So  $f(x) = a(x - \alpha_1)(x - \alpha_2) \cdots (x - \alpha_n)$  splits in linear factors in  $K$ . The induction is achieved.  $\square$

**Ex. 7.13** Apply Exercise 7.12 to  $k = \mathbb{Z}/p\mathbb{Z}$  and  $f(x) = x^{p^n} - x$  to obtain another proof of Theorem 2.

*Proof.* Let  $f(x) = x^{p^n} - x$ . We know from Ex. 7.12 that there exists a finite extension  $K$  of  $\mathbb{F}_p$  such that  $f$  splits in linear factors on  $K$  :

$$f(x) = \prod_{k=1}^{p^n} (x - \alpha_k), \quad \alpha_1, \dots, \alpha_{p^n} \in K.$$

The set  $k = \{\alpha_1, \dots, \alpha_{p^n}\} \subset K$  of the roots of  $x^{p^n} - x$  is a subfield of  $K$  : indeed, if  $\alpha, \beta \in k$ ,

- (a)  $f(1) = 0$ , so  $1 \in k$
- (b)  $(\alpha - \beta)^{p^n} = \alpha^{p^n} - \beta^{p^n} = \alpha - \beta$ , so  $\alpha - \beta \in k$ .
- (c)  $(\alpha\beta)^{p^n} = \alpha^{p^n} \beta^{p^n} = \alpha\beta$ , so  $\alpha\beta \in k$ .
- (d)  $(\alpha^{-1})^{p^n} = (\alpha^{p^n})^{-1} = \alpha^{-1}$ , so  $\alpha^{-1} \in k$  if  $\alpha \neq 0$ .

As  $f'(x) = -1$ ,  $f(x) \wedge f'(x) = 1$ , so  $f$  has no multiple root, so the cardinality of  $k$  is  $p^n$ .

Let  $g(x) \in \mathbb{F}_p[x]$  a factor of  $f(x)$ , irreducible in  $\mathbb{F}_p[x]$ , with  $d = \deg(g)$ . As  $g \mid f$ ,  $g$  splits in linear factors in  $k[x]$ . Let  $\alpha$  a root of  $g(x)$  in  $k$ . As  $g$  is irreducible on  $\mathbb{F}_p$ ,  $d = \deg(g) = [\mathbb{F}_p[\alpha] : \mathbb{F}_p]$ . Moreover  $n = [k : \mathbb{F}_p] = [k : \mathbb{F}_p[\alpha]] [\mathbb{F}_p[\alpha] : \mathbb{F}_p]$ , so  $d \mid n$ .

Reciprocally, suppose that  $g$  is any irreducible polynomial in  $\mathbb{F}_p[x]$ , with  $d = \deg(g) \mid n$ . Then  $K_0 = \mathbb{F}_p[x]/\langle g \rangle$  contains a root  $\alpha$  of  $g$ , and  $[K_0 : \mathbb{F}_p] = \deg(g) = d$ , so  $\alpha^{p^d} = \alpha$ .

As  $d \mid n$ , then  $p^d - 1 \mid p^n - 1$  and  $x^{p^d} - 1 \mid x^{p^n} - 1$  (Lemma 2,3 in section 1), so

$$x^{p^d} - x \mid x^{p^n} - x.$$

$f(\alpha) = \alpha^{p^n} - \alpha = 0$  and  $g$  is the minimal polynomial of  $\alpha$ , so  $g \mid f$ .

Conclusion :

$$x^{p^n} - x = \prod_{d \mid n} F_d(x),$$

where  $F_d(x)$  is the product of the monic irreducible polynomial of degree  $d$ .  $\square$

**Ex. 7.14** Let  $F$  be a field with  $q$  elements and  $n$  a positive integer. Show that there exist irreducible polynomials in  $F[x]$  of degree  $n$ .

*Proof.* Let  $F = \mathbb{F}_q$  a field with  $q = p^m$  elements, and  $n$  a positive integer.

From Theorem 2 Corollary 3, there exists an irreducible polynomial  $f(x) \in \mathbb{F}_p[x]$  of degree  $nm$ . Let  $g$  an irreducible factor of  $f$  in  $\mathbb{F}_q[x]$ , and  $\alpha$  a root of  $g$  in an extension of  $\mathbb{F}_q$ .

We show that  $\mathbb{F}_q \subset \mathbb{F}_p[\alpha]$ .

$\mathbb{F}_q$  and  $\mathbb{F}_p[\alpha]$  are two subfield of the same finite field  $\mathbb{F}_q[\alpha]$ . Moreover,  $|\mathbb{F}_q| = p^m$ , and  $|\mathbb{F}_p[\alpha]| = p^{nm}$ . As  $m \mid n$ ,  $\mathbb{F}_q \subset \mathbb{F}_p[\alpha]$ .

Indeed, for all  $\gamma \in \mathbb{F}_q[\alpha]$ ,

$$\gamma \in \mathbb{F}_q \Rightarrow \gamma^{p^m} = \gamma \Rightarrow \gamma^{p^{mn}} = \gamma \Rightarrow \gamma \in \mathbb{F}_p[\alpha].$$

So  $\mathbb{F}_q \subset \mathbb{F}_p[\alpha]$ .

We show that  $\mathbb{F}_q[\alpha] = \mathbb{F}_p[\alpha]$ .

As  $\mathbb{F}_p \subset \mathbb{F}_q$ ,  $\mathbb{F}_p[\alpha] \subset \mathbb{F}_q[\alpha]$ .

Let  $\beta \in \mathbb{F}_q[\alpha] : \beta = \sum_{i=1}^k a_i \alpha^i$ , where  $a_i \in \mathbb{F}_q \subset \mathbb{F}_p[\alpha]$ , so  $a_i = p_i(\alpha), p_i \in \mathbb{F}_p[\alpha]$ .

Consequently

$$\beta = \sum_{i=1}^k p_i(\alpha) \alpha^i \in \mathbb{F}_p[\alpha],$$

so  $\mathbb{F}_q[\alpha] = \mathbb{F}_p[\alpha]$ .

$$nm = [\mathbb{F}_p[\alpha] : \mathbb{F}_p] = [\mathbb{F}_q[\alpha] : \mathbb{F}_p] = [\mathbb{F}_q[\alpha] : \mathbb{F}_q] \times [\mathbb{F}_q : \mathbb{F}_p] = [\mathbb{F}_q[\alpha] : \mathbb{F}_q] \times m.$$

Thus  $[\mathbb{F}_q[\alpha] : \mathbb{F}_q] = n$ , and  $g$  is the minimal polynomial of  $\alpha$  on  $\mathbb{F}_q$ , so  $\deg(g) = n$ .

Conclusion : if  $F$  is a field with  $q = p^m$  elements, there exist irreducible polynomials in  $F[x]$  of degree  $n$  for all positive integers  $n$ .  $\square$

**Ex. 7.15** Let  $x^n - 1 \in F[x]$ , where  $F$  is a finite field with  $q$  elements. Suppose that  $(q, n) = 1$ . Show that  $x^n - 1$  splits into linear factors in some extension field and that the least degree of such a field is the smallest integer  $f$  such that  $q^f \equiv 1 \pmod{n}$ .

*Proof.* From exercise 7.12, we know that  $x^n - 1$  splits into linear factors in some extension field  $K$ , with  $[K : F] < \infty$  :

$$u(x) = x^n - 1 = (x - \zeta_0)(x - \zeta_1) \cdots (x - \zeta_{n-1}), \quad \zeta_i \in K.$$

$u'(x) \wedge u(x) = nx^{n-1} \wedge (x^n - 1) = 1$ , since  $x(nx^{n-1}) - n(x^n - 1) = n$ , and  $n \neq 0$  in the field  $F$ , since we know from the hypothesis  $q \wedge n = 1$  that the characteristic  $p$  doesn't divide  $n$ . So the  $n$  roots of  $x^n - 1$  are distinct.

The set  $G = \{x \in K \mid x^n = 1\}$  is a subgroup of  $K^*$ , thus  $G$  is cyclic of order  $n$ . Let  $\zeta$  a generator of  $G$ . Then

$$x^n - 1 = (x - 1)(x - \zeta)(x - \zeta^2) \cdots (x - \zeta^{n-1}).$$

Let  $p(x)$  the minimal polynomial of  $\zeta$  on  $F$ , and  $f$  the degree of  $p$  :

$$f = \deg(p) = [F[\zeta] : F].$$

So  $\text{Card } F[\zeta] = q^f$ , and since  $\zeta \in F[\zeta]^*$ ,  $\zeta^{q^f-1} - 1 = 0$ . As the order of  $\zeta$  in the group  $G$  is  $n$ ,  $n \mid q^f - 1$ , namely  $q^f \equiv 1 \pmod{n}$ .

Let  $k$  any positive integer such that  $q^k \equiv 1 \pmod{n}$ .

Then  $n \mid q^k - 1$ , so  $\zeta^{q^k-1} - 1 = 0$ ,  $\zeta^{q^k} - \zeta = 0$ . Let  $L$  an extension of  $K$  such that  $x^{q^k} - x$  splits in linear factors in  $L$ . As  $\zeta^{q^k} - \zeta = 0$ ,  $\zeta$  belongs to the subfield  $M$  of  $L$  with cardinality  $q^k$ , such that  $[M : F] = k$ . Thus  $F[\zeta] \subset M$ , so  $f = [F[\zeta] : F] \leq k = [M : F]$ .

$f = [F[\zeta] : F]$  is the smallest  $k \in \mathbb{N}^*$  such that  $q^k \equiv 1 \pmod{n}$ .

If  $K$  is any extension of  $F$  containing the roots of  $x^n - 1$ , then  $K \supset F[\zeta]$ , where  $\zeta$  is a primitive root of unity, so  $[K : F] \geq [F[\zeta] : F] = f$ .

Conclusion : the minimal degree of a extension  $K \supset F$  containing the roots of  $x^n - 1$ , with  $n \wedge q = 1$ , is the smallest positive integer  $f$  such that  $q^f \equiv 1 \pmod{n}$ , the order of  $q$  modulo  $n$ .  $\square$

**Ex. 7.16** Calculate the monic irreducible polynomials of degree 4 in  $\mathbb{Z}/2\mathbb{Z}[x]$ .

*Proof.* Write  $F_d$  the product of irreducible monic polynomials in  $\mathbb{F}_2[x]$ .

Theorem 2 gives

$$x^{16} - x = x^{2^4} - x = \prod_{d \mid 4} F_d(x) = F_1(x)F_2(x)F_4(x)$$

and

$$x^4 - x = x^{2^2} - x = \prod_{d \mid 2} F_d(x) = F_1(x)F_2(x)$$

$$\text{so } F_4(x) = \frac{x^{16}-x}{x^4-x} = \frac{x^{15}-1}{x^3-1} = x^{12} + x^9 + x^6 + x^3 + 1$$

$$F_4(x) = (x^4 + x^3 + x^2 + x + 1)(x^4 + x + 1)(x^4 + x^3 + 1)$$

Among the 16 monic polynomials of degree 4 in  $\mathbb{F}_2[x]$ , 3 are irreducible :

$$P_1(x) = x^4 + x^3 + x^2 + x + 1,$$

$$P_2(x) = x^4 + x + 1$$

$$P_3(x) = x^4 + x^3 + 1$$

With sage :

```
sage: A = PolynomialRing(GF(2), 'x')
sage: x = A.gen()
sage: f = (x^16-x)/(x^4-x)
sage: factor(f)
(x^4 + x + 1) * (x^4 + x^3 + 1) * (x^4 + x^3 + x^2 + x + 1)
```

□

**Ex. 7.17** Let  $q$  and  $p$  be distinct odd primes. Show that the number of monic irreducibles of degree  $q$  in  $\mathbb{Z}/p\mathbb{Z}$  is  $q^{-1}(p^q - p)$ .

*Proof.* From Theorem 2 Corollary 2, we know that the number of irreducible polynomials on  $\mathbb{F}_p$  of degree  $q$  is given by

$$N_q = \frac{1}{q} \sum_{d|q} \mu\left(\frac{q}{d}\right) p^d.$$

As  $q$  is prime,  $d$  takes the values 1,  $q$ , with  $\mu(1) = 1, \mu(q) = -1$ , so

$$N_q = \frac{p^q - p}{q}.$$

□

**Ex. 7.18** Let  $p$  be a prime with  $p \equiv 3 \pmod{4}$ . Show that the residue classes modulo  $p$  in  $\mathbb{Z}[i]$  form a field with  $p^2$  elements.

*Proof.* If  $p$  is a prime rational integer, with  $p \equiv 3 \pmod{4}$ , then  $p$  is a prime in  $\mathbb{Z}[i]$ .

Indeed,  $p$  is irreducible : if  $p = uv$ ,  $u, v \in \mathbb{Z}[i]$ , where  $u = c + di, v$  are not units, then  $p^2 = N(u)N(v)$ ,  $N(u) > 1, N(v) > 1$ , so  $p = N(u) = u\bar{u} = c^2 + d^2$ .

As  $c^2 \equiv 0, 1 \pmod{4}, d^2 \equiv 0, 1 \pmod{4}$ , so  $p \equiv 1 \pmod{4}$ , which is in contradiction with the hypothesis.

So  $p$  is irreducible in  $\mathbb{Z}[i]$ , and since  $\mathbb{Z}[i]$  is a principal ideal domain,  $p$  is prime in  $\mathbb{Z}[i]$ , thus  $\mathbb{Z}[i]/(p)$  is a field.

Let  $z = a + bi \in \mathbb{Z}[i]$ . The Euclidean division of  $a, b$  by  $p$  gives

$$a = qp + r, \quad 0 \leq r < p, \quad b = q'p + s, \quad 0 \leq s < p,$$

so

$$z \equiv r + is \pmod{p}, \quad 0 \leq r < p, 0 \leq s < p.$$

Let's verify that these  $p^2$  elements are in different classes of congruences modulo  $p$ .

If  $r + is \equiv r' + is' \pmod{p}$ , then  $(r - r')/p + i(s - s')/p \in \mathbb{Z}[i]$ , so  $r \equiv r', s \equiv s' \pmod{p}$ .

As  $r, r', s, s'$  are between 0 and  $p - 1$ ,  $r = r', s = s'$ .

So the cardinality of the field  $\mathbb{Z}[i]/(p)$  is  $p^2$ .

□



**Ex. 7.19** Let  $F$  be a finite field with  $q$  elements. If  $f(x) \in F[x]$  has degree  $t$ , put  $|f| = q^t$ . Verify the formal identity  $\sum_f |f|^{-s} = (1 - q^{1-s})^{-1}$ . The sum is over all monic polynomials.

*Proof.* Let  $U$  the set of monic polynomials in  $\mathbb{F}_q[x]$ , and  $U_t$  the set of monic polynomials of degree  $t$ , and  $s \in \mathbb{C}$ . Then  $U = \coprod_{t \in \mathbb{N}} U_t$ , so

$$\begin{aligned} \sum_{f \in U} |f|^{-s} &= \sum_{t=0}^{\infty} \sum_{f \in U_t} |f|^{-s} \\ &= \sum_{t=0}^{\infty} \frac{1}{q^{ts}} \sum_{f \in U_t} 1 \end{aligned}$$

As  $\sum_{f \in U_t} 1 = \text{Card}(U_t) = q^t$ , then, for  $\text{Re}(s) > 1$

$$\begin{aligned} \sum_{f \in U} |f|^{-s} &= \sum_{t=0}^{\infty} \frac{1}{q^{t(s-1)}} \\ &= \frac{1}{1 - \frac{1}{q^{s-1}}} \\ &= (1 - q^{1-s})^{-1} \end{aligned}$$

As  $\left| \frac{1}{q^{t(s-1)}} \right| = \frac{1}{q^{t(\text{Re}(s)-1)}}$ , the serie is absolutely convergent for  $\text{Re}(s) > 1$ . This justifies the grouping of terms in this sum.

Conclusion : if  $\text{Re}(s) > 1$ ,

$$\sum_{f \in U} |f|^{-s} = (1 - q^{1-s})^{-1},$$

where  $U$  is the set of monic polynomials in  $\mathbb{F}_q[x]$ . □

**Ex. 7.20** With the notation of Exercise 19 let  $d(f)$  be the number of monic divisors of  $f$  and  $\sigma(f) = \sum_{g|f} |g|$ , where the sum is over the monic divisors of  $f$ . Verify the following identities :

$$(a) \sum_f d(f) |f|^{-s} = (1 - q^{1-s})^{-2}$$

$$(b) \sum \sigma(f) |f|^{-s} = (1 - q^{1-s})^{-1} (1 - q^{2-s})^{-1}$$

*Proof.* (a) With the notation of 7.19, for  $s \in \mathbb{C}, \text{Re}(s) > 1$ ,  $\sum_{f \in U} |f|^{-s}$  is absolutely convergent and

$$(1 - q^{1-s})^{-1} = \sum_{f \in U} |f|^{-s}$$

Then

$$\begin{aligned} (1 - q^{1-s})^{-2} &= \sum_{f \in U} |f|^{-s} \sum_{g \in U} |g|^{-s} \\ &= \sum_{(f,g) \in U^2} |fg|^{-s} \\ &= \sum_{h \in U} \sum_{g \in U, g|h} |h|^{-s}, \end{aligned}$$

indeed, the application

$$\varphi : \begin{cases} U \times U & \rightarrow \{ (h, g) \in U \times U, g \mid h \} \\ (f, g) & \mapsto (fg, g) \end{cases}$$

is a bijection.

So

$$\begin{aligned} (1 - q^{1-s})^{-2} &= \sum_{h \in U} |h|^{-s} \text{Card}\{g \in U, g \mid h\} \\ &= \sum_{h \in U} |h|^{-s} d(h) \\ &= \sum_{f \in U} d(f) |f|^{-s} \end{aligned}$$

(b) Similarly,

$$\begin{aligned} (1 - q^{1-s})^{-1} (1 - q^{2-s})^{-1} &= \sum_{f \in U} |f|^{-s} \sum_{g \in U} |g|^{-s+1} \\ &= \sum_{(f, g) \in U^2} |g| |fg|^{-s} \\ &= \sum_{h \in U} \sum_{g \in U, g \mid h} |g| |h|^{-s} \\ &= \sum_{h \in U} |h|^{-s} \sum_{g \in U, g \mid h} |g| \\ &= \sum_{h \in U} \sigma(h) |h|^{-s} \\ &= \sum_{f \in U} \sigma(f) |f|^{-s} \end{aligned}$$

□

**Ex. 7.21** Let  $F$  be a field with  $q = p^n$  elements. For  $\alpha \in F$  set  $f(x) = (x - \alpha)(x - \alpha^p)(x - \alpha^{p^2}) \cdots (x - \alpha^{p^{n-1}})$ . Show that  $f(x) \in \mathbb{Z}/p\mathbb{Z}[x]$ . In particular,  $\alpha + \alpha^p + \cdots + \alpha^{p^{n-1}}$  and  $\alpha\alpha^p\alpha^{p^2} \cdots \alpha^{p^{n-1}}$  are in  $\mathbb{Z}/p\mathbb{Z}$ .

*Proof.* Let  $F : \begin{cases} \mathbb{F}_q & \rightarrow \mathbb{F}_q \\ x & \mapsto x^p \end{cases}$ .

As the characteristic of  $\mathbb{F}_q$  is  $p$ ,  $(x + y)^p = x^p + y^p$  et  $(xy)^p = x^p y^p$ , and each homomorphism of field is injective,  $F$  is a field automorphism (Frobenius automorphism).

For every automorphism  $H$  in  $\mathbb{F}_q$ , and every polynomial  $p(x) = \sum a_i x^i \in \mathbb{F}_q[x]$ , write  $(H.p)(x) = \sum_i H(a_i) x^i$ . Then for all  $(p, q) \in \mathbb{F}_q[x]^2$ ,  $H.(pq) = (H.p)(H.q)$ .

With this notation,

$$\begin{aligned} f(x) &= (x - \alpha)(x - F\alpha)(x - F^2\alpha) \cdots (x - F^{n-1}\alpha), \\ (H.f)(x) &= (x - F\alpha)(x - F^2\alpha)(x - F^3\alpha) \cdots (x - F^n\alpha). \end{aligned}$$

Since  $\alpha \in \mathbb{F}_{p^n}$ ,  $F^n \alpha = \alpha^{p^n} = \alpha$ , thus

$$H.f = f.$$

In other words, if  $f(x) = \sum_i a_i x^i$ , then for all  $i$ ,  $H(a_i) = a_i$ , so  $a_i^p = a_i$ , thus  $a_i \in \mathbb{F}_p$ , and  $f \in \mathbb{F}_p[x]$ . In particular, the coefficients  $a_{n-1} = \alpha + \alpha^p + \cdots + \alpha^{p^{n-1}}$ ,  $a_0 = \alpha \alpha^p \alpha^{p^2} \cdots \alpha^{p^{n-1}}$  are in  $\mathbb{F}_p$ .  $\square$

**Ex. 7.22** (continuation) Set  $\text{tr}(\alpha) = \alpha + \alpha^p + \cdots + \alpha^{p^{n-1}}$ . Prove that

$$(a) \text{tr}(\alpha) + \text{tr}(\beta) = \text{tr}(\alpha + \beta).$$

$$(b) \text{tr}(a\alpha) = a \text{tr}(\alpha) \text{ for } a \in \mathbb{Z}/p\mathbb{Z}.$$

$$(c) \text{ There is an } \alpha \in F \text{ such that } \text{tr}(\alpha) \neq 0.$$

*Proof.* Let  $F$  the Frobenius automorphism of  $\mathbb{F}_q$  introduced in Ex.7.21.

(a),(b) : If  $x, y \in \mathbb{F}_q$ , and  $a \in \mathbb{F}_p$ , then  $a^p = a$ , so  $F(x + y) = (x + y)^p = x^p + y^p = F(x) + F(y)$ , and  $F(ax) = a^p x^p = ax^p = aF(x)$ , so  $F$  is  $\mathbb{F}_p$ -linear, and also  $\text{tr} = I + F + F^2 + \cdots + F^{n-1}$ .

(c) The polynomial  $p(x) = x + x^p + x^{p^2} + \cdots + x^{p^{n-1}}$  has degree  $p^{n-1}$ , so  $p(x)$  has at most  $p^{n-1}$  roots in  $\mathbb{F}_q$ , and  $|\mathbb{F}_q| = p^n > \deg(p) = p^{n-1}$ . Therefore there exist in  $\mathbb{F}_q$  some element  $\alpha$  which is not a root of  $p(x)$ , and so  $\text{tr}(\alpha) = p(\alpha) \neq 0$ .  $\square$

**Ex. 7.23** (continuation) For  $\alpha \in F$  consider the polynomial  $x^p - x - \alpha \in F[x]$ . Show that this polynomial is either irreducible or the product of linear factors. Prove that the latter alternative holds iff  $\text{tr}(\alpha) = 0$ .

*Proof.* Let  $f(x) = x^p - x - \alpha \in F[x]$ . There exists an extension  $K \supset F$  with finite degree on  $F$  which contains a root  $\gamma$  of  $f$ .

As  $\gamma^p - \gamma - \alpha = 0$ , then for all  $i \in \mathbb{F}_p$ ,

$$(\gamma + i)^p - (\gamma + i) - \alpha = (\gamma^p - \gamma - \alpha) + i^p - i = 0.$$

So  $f$  has  $n$  distinct roots in  $K$  :  $\gamma, \gamma + 1, \dots, \gamma + p - 1$ , and so

$$f(x) = (x - \gamma)(x - \gamma - 1) \cdots (x - \gamma - (p - 1)).$$

$F[\gamma]$  contains all roots of  $f$ .

- If  $\gamma \in F$ ,  $f(x)$  splits in linear factors in  $F$ .  $f(x)$  is not irreducible, since  $\deg(f) = p > 1$ .

- If  $\gamma \notin F$ , we will show that  $f$  is irreducible in  $F[x]$ .

If not, then  $f(x) = g(x)h(x)$  is the product of two polynomials  $g, h \in F[x]$  such that  $1 \leq \deg(g) \leq p - 1$ .

The unicity of the decomposition in irreducible factors in  $F[\gamma][x]$  shows that

$$g(x) = \prod_{i \in A} (x - \gamma - i),$$

where  $A$  is a subset of  $\mathbb{F}_p$ , with  $A \neq \emptyset, A \neq \mathbb{F}_p$ . As  $g(x) \in F[x]$ ,  $\sum_{i \in A} (\gamma + i) = k\gamma + l \in \mathbb{F}_p$ ,

where  $1 \leq k = |A| \leq p - 1$  and  $l = \sum_{i \in A} i \in \mathbb{F}_p$ .

So  $k\gamma \in \mathbb{F}_p$ . Since  $\gamma \notin \mathbb{F}_p$ ,  $k$  is not invertible in  $\mathbb{F}_p$ , in contradiction with  $1 \leq k \leq p-1$ . Consequently,  $f(x)$  is irreducible.

We conclude that  $x^p - x - \alpha \in F[x]$  is irreducible iff  $\gamma \notin F$ .

Let  $F$  the Frobenius automorphism of  $K$  (cf. Ex. 7.21).

$$\alpha = F(\gamma) - \gamma, F(\alpha) = F^2(\gamma) - F(\gamma), \dots, F^{n-1}(\alpha) = F^n(\gamma) - F^{n-1}(\gamma).$$

The sum of these equalities gives

$$\text{tr}(\alpha) = \alpha + F(\alpha) + \dots + F^{n-1}(\alpha) = F^n(\gamma) - \gamma = \gamma^{p^n} - \gamma.$$

As the cardinality of  $F$  is  $q = p^n$ ,

$$\gamma \in F \iff \gamma^{p^n} - \gamma = 0 \iff \text{tr}(\alpha) = 0.$$

Conclusion :  $x^p - x - \alpha$  is irreducible iff  $\text{tr}(\alpha) \neq 0$ . If  $\text{tr}(\alpha) = 0$ ,  $x^p - x - \alpha$  splits in linear factors in  $F[x]$ .  $\square$

**Ex. 7.24** Suppose that  $f(x) \in \mathbb{Z}/p\mathbb{Z}[x]$  has the property that  $f(x+y) = f(x) + f(y) \in \mathbb{Z}/p\mathbb{Z}[x, y]$ . Show that  $f(x)$  must be of the form  $a_0x + a_1x^p + a_2x^{p^2} + \dots + a_mx^{p^m}$ .

**Lemma** If the prime number  $p$  divides all binomial coefficients  $\binom{n}{1}, \binom{n}{2}, \dots, \binom{n}{n-1}$ , then  $n$  is a power of  $p$ .

*Proof.* Let  $u(x) = (x+1)^n - x^n - 1 \in \mathbb{F}_p[x]$ . Then  $f(x) = \sum_{k=1}^{n-1} \binom{n}{k} x^k = 0$ .

Write  $n = p^a q$ , with  $p \nmid q = 1$ . With a reductio ad absurdum, suppose that  $q > 1$ . Then

$$f(x) = 0 = (x+1)^{p^a q} - x^{p^a q} - 1 = (x^{p^a} + 1)^q - x^{p^a q} - 1 = \sum_{k=1}^{q-1} \binom{q}{k} x^{kp^a}.$$

Consequently, the coefficient of  $x^{p^a}$  is null, so  $p \mid q$  : this is absurd. Therefore  $q = 1$  and  $n = p^a$ .  $\square$

*Proof.* (Ex. 7.24)

Suppose that  $f \in \mathbb{F}_p[x]$  verify in  $\mathbb{F}_p[x, y]$  the equality  $f(x+y) = f(x) + f(y)$ .

Write  $f(x) = \sum_{k=1}^d c_k x^k$ .

$$\begin{aligned} 0 = f(x+y) - f(x) - f(y) &= \sum_{n=0}^d c_n [(x+y)^n - x^n - y^n] \\ &= \sum_{n=0}^d \sum_{k=1}^{n-1} c_n \binom{n}{k} x^k y^{n-k} \end{aligned}$$

So for all  $n$ , for all  $k$ ,  $1 \leq k \leq n-1$ ,  $c_n \binom{n}{k} = 0$  in  $\mathbb{F}_p$ .

From the lemma, if  $n$  is not a power of  $p$ , there exists a  $k$ ,  $1 \leq k \leq n-1$  such that  $\binom{n}{k} \not\equiv 0 \pmod{p}$ , so  $c_n = 0$ . If we write  $a_k = c_{p^k}$ , then  $f(x)$  is of the form

$$f(x) = a_0x + a_1x^p + a_2x^{p^2} + \dots + a_mx^{p^m}.$$

$\square$