#### Module 5

# **Data Security and Privacy**

### Data Security and Privacy

#### Data Security

- Who has access to the data?
- Who can change the data?
- What are the threats to the data?
- How do we mitigate the threats?

#### Data Privacy

- Who is the data about?
- How can we share data without threatening people's privacy?

#### Access Control

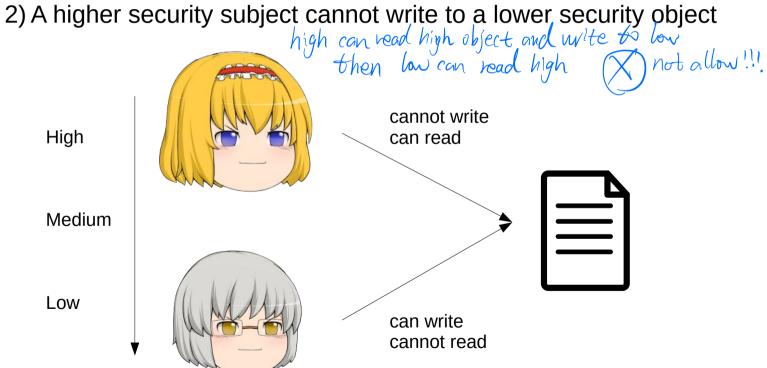
- Define access control for (legitimate) users
  Mandatory vs Discretionary models
- - Mandatory: Policy controls all r/w/x permissions
    - Includes: Multi-level security
  - Discretionary: Each user decides
    - Includes: Unix file access control

#### Bell-LaPadula Model

- Example of Multi-Level Security
- Subjects and Objects both have security levels (e.g. High, Low)
- All read/write must follow two rules (next slide)
- Prevents leakage of information (i.e. confidentiality)

#### Bell-LaPadula Model

1) A lower security subject cannot read a higher security object



## Biba Integrity Model

- Like Bell-LaPadula, but reversed. Two rules:
  - 1) A higher security subject cannot read from a lower security object
  - 2) A lower security subject cannot write to a higher security object
- Prevents flow of incorrect information (i.e. integrity)

### High-water and Low-water mark

- Replaces rule 2) of each model
- High-water Bell-LaPadula: After higher security subject writes to lower security object, increase security level of object to level of subject
- Low-water Biba Integrity: After high security subject reads from lower security object, decrease security level of subject to level of object

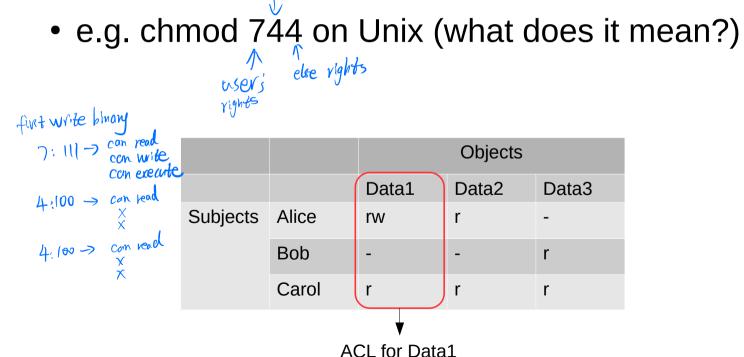
#### File Access Control

- Access control matrix
- Access control list
- Capabilities
- Role-based

		Objects		
		Data1	Data2	Data3
Subjects	Alice	rw	r	-
	Bob	-	-	r
	Carol	r	r	r

#### **Access Control List**

- "Which subjects can read/write/execute this object?" use file group rights



## Capabilities

- A transferable "reference" that gives a subject permissions to an object
- "Which objects can this subject read/write/execute?"
- f = open("filename", r);

		Objects			
		Data1	Data2	Data3	
Subjects	Alice	rw	r	-	Alice's capabilities
	Bob	-	-	r	capabilities
	Carol	r	r	r	

#### Error detection

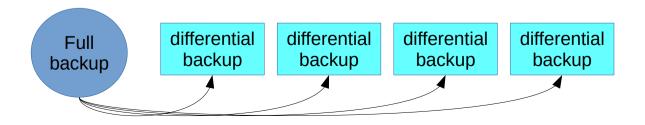
- Small number of bit errors should be detectable
- Append a tag to a file:
  - Parity -> Add all bits % 2
  - Checksums, e.g. CRC32
  - Hashes; a weak cryptographic hash may be a good error detection hash (e.g. MD5)
- Input can be any size, output is fixed (32-bit for CRC32, 128-bit for MD5)
- Cannot fix an error

#### Backup

- Used for disaster recovery we want to recover our data after corruption
- Full backups store all data, but we cannot store too many
- We need to use differential and incremental backups

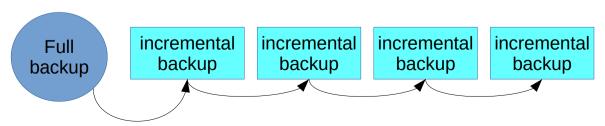
### Differential backup

- Stores all changes between current time and last full backup
- How can we find changes?
  - e.g. rsync in Unix: Divide file into chunks, then hash each chunk, and compare the hash for each chunk with stored MD5 hashes
  - Only updates chunks with changed hashes



#### Incremental backup

- Stores all changes between current time and last backup (not necessarily full backup)
- Smallest storage space
- Hard to recover (if full backup was a long time ago)
- What happens if we combine differential and incremental backups?



#### Replication

- Different from backups: replication keeps no historical state
- Synchronous replication: All file updates should happen (almost) immediately
- Asynchronous replication: Small delay when pushing to replicas is acceptable

#### **Data Privacy**

Data has sensitive attributes and personally identifiable information

How can the data owner allow a data user to utilize the data without compromising privacy?

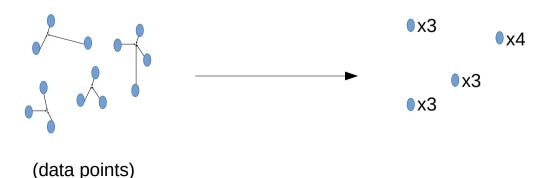
Idea: Restrict queries by data user But this leads to *inference attacks!* 

### **Data Privacy**

How can the data user compute Q on data owner's D without compromising privacy?

- k-Anonymity: (D sensitive, Q possibly sensitive)
   Publish distorted D
- <u>Differential Privacy</u>: (D sensitive) Allow only special queries with mathematical error guarantees
- <u>Secure Multiparty Computation</u>: (D1, D2 sensitive) jointly compute Q without revealing D1, D2 to each other
- <u>Private Information Retrieval</u>: (Q sensitive) Retrieve some information from D without revealing Q

- Remove link between identifiers (PII) and sensitive attribute
- Anonymization function is usually deterministic
- After anonymization, each set of identifiers in the table must appear at least k times (= anonymity sets have at least k elements)



	Quasi-id		
	Age	Weight (kg)	Heart disease?
	23	86	N
	15	65	Υ
	34	123	Υ
	55	95	N
Hospital Subjects	32	63	Υ
	45	89	Υ
	59	112	N
	61	81	Υ
	15	73	Υ

	Quasi-identifiers		
	Age	Weight (kg)	Heart disease?
	25	100	N
	25	50	Υ
Hospital Subjects	25	100	Υ
	50	100	N
	25	50	Υ
	50	100	Υ
	50	100	N
	50	100	Υ
	25	50	Υ

"Round Age to nearest 25, Weight to nearest 50"  $\rightarrow$  k = 2 (There are three anonymity sets: Size 2, Size 3, Size 4. We take the minimum to be k.)

	Quasi-io	lentifiers	
	Age	Weight (kg)	Heart disease?
	25	100	N
	25	50	Υ
	25	100	Υ
	50	100	N
Hospital Subjects	25	50	Υ
Subjects	50	100	Υ
	50	100	N
	50	100	Υ
	25	50	Υ

- A flaw in k-anonymity: All members of an anonymity set may have the same sensitive attribute
  - e.g. If your friend is around age 25 and weight 50kg, and you know they're in the table, you know they have heart disease
- To fix this, we can also enforce Idiversity: Every anonymity set must have at least I different sensitive attributes

• Another weakness is that complementary releases can compromise k-anonymity:

	Quasi- identifiers	
	Weight (kg)	Sickness
	30-60	Α
	30-60	В
	30-60	С
	30-60	D
Hospital Subjects	30-60	Е
Cabjeoto	60-150	F
	60-150	G
	60-150	Н
	60-150	1

	Quasi- identifiers	
	Weight (kg)	Sickness
	25-55	Α
	25-55	В
	25-55	С
	25-55	D
Hospital Subjects	55-150	Е
Cabjooto	55-150	F
	55-150	G
	55-150	Н
	55-150	1

$$k = 4$$

$$k = 4$$

- Knowing the anonymization scheme can also compromise the scheme. Suppose Age is the only QID. If you know the anonymization scheme is the following:
  - Sort patients by age, start with an anonymity set containing only the smallest age.
  - Add patients in order to the current anonymity set until desired k and l have been achieved. Then start a new anonymity set with the next person that has not been added.
  - Repeat until all patients added; if final anonymity set is too small, merge it with the previous completed anonymity set.
- Suppose the hospital want to achieve k = 3, l = 2. It releases two anonymity sets {Age}:{Heart Disease} as follows:
  - {0-40}: {N, Y, Y, Y, Y} {40-80}: {Y, N N}
- If you know that your friend is the youngest person in the database, then they definitely have heart disease, otherwise the first set would not be so large!

- Ensures privacy of data items using a differential mathematical formulation
- Hard to understand, easy to implement
- Anonymization function is random
- Used in iOS 10 (2016)
- Two uses: prevent data queries from compromising privacy, and allow data collection

Two databases are *neighboring* if they are the same except for one element (one person's data).

A query Q is  $\varepsilon$ -differentially private if for all neighboring databases  $D_1$  and  $D_2$  and for all q:

$$\frac{Pr(Q(D_1)=q)}{Pr(Q(D_2)=q)} \leq e^{\varepsilon}$$

Intuitively, changing one person's data is unlikely to change the result (distribution) of a differentially private query => the query result does not reveal that person's existence!

Suppose the salaries of 5 employees are:

Employee	Salary	
Α	\$200	
В	\$210	
С	\$240	
D	\$150	
E	\$400	

For legal compliance, the company is obligated to reveal the average salary of its employees.

Now E has left the company. Suppose someone queries the average salary twice: before and after E left. The results are: \$200, \$240. This reveals E's salary: \$240 \* 5 - \$200 \* 4 = \$400.

<u>Differential privacy:</u> Implicitly add noise before returning the average.

 This data is never revealed, noise is only added once, "internally"

Employee	Salary
Α	\$200+Noise
В	\$210+Noise
С	\$240+Noise
D	\$150+Noise
E	\$400+Noise

This satisfies differential privacy, generally:

- Difference between two neighboring data sets is dominated by noise
- In other words, one person joining/leaving doesn't change the result as much as the noise itself
- The mean has less noise than any other element

## Satisfying differential privacy

Does Laplace distribution noise satisfy differential privacy for mean query?

Suppose two neighboring datasets  $D_1$  and  $D_2$  have means  $M_1$  and  $M_2$ 

We write  $k = M_1 + x_1 = M_2 + x_2$ 

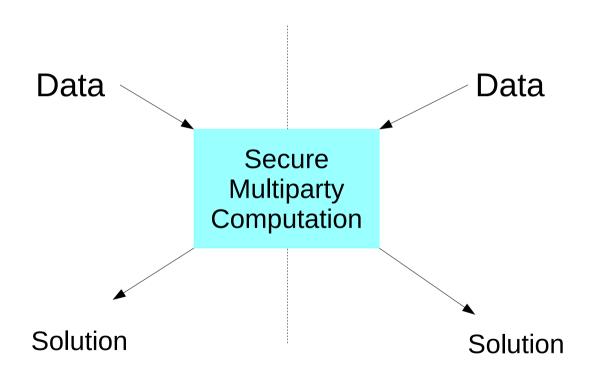
$$\frac{Pr(Q(D_2)=k)}{Pr(Q(D_1)=k)} = \frac{e^{-|x_2|/b}}{e^{-|x_1|/b}} \le e^{|x_1-x_2|/b} = e^{|M_1-M_2|/b}$$

If we can bound  $|M_1-M_2|$ , which is the maximum difference between two query results, we achieve  $(|M_1-M_2|/b)$ -differential privacy

Note that normal distribution noise does not satisfy differential privacy in general

- Note the proof applies to any function (replace M<sub>1</sub>, M<sub>2</sub> with f(D<sub>1</sub>), f(D<sub>2</sub>))
  - $max(|f(D_1) f(D_2)|)$  is known as the "sensitivity"
  - Functions with good (low) sensitivity: COUNT, SUM
  - Functions with bad sensitivity: MAX, MIN, Median
- To avoid multiple queries reducing the noise level of the data, we sample the noise only once until the data changes
- Can be applied to many types of data/queries
- Useful for data collection each individual adds large noise before sending to data collector ("query" is invisible)

#### Secure Multiparty Computation



Useful for research, data analytics, collaboration, etc.

#### Secure Multiparty Computation

- Two parties with different data can jointly compute a known function on the union of their data while sharing no data at all
  - e.g. "Who has more customers on this day?"
- Generally (much) slower than directly running the algorithm
  - e.g. 20 minutes on 2 cores to complete one AES encryption of 128 bits under SMPC
- Guaranteed correctness without noise

#### A different scenario

- What if the data holder's data is not sensitive, but the data user's query is sensitive?
- For example:
  - Searching for a patent
  - Searching for attributes of a sickness
  - Searching for darknet sites
- We want to use Private Information Retrieval in these cases

#### Private Information Retrieval

- Sometimes we want to query a database without revealing the query to the database
  - e.g. Asking a medical database about your symptoms
  - e.g. Asking a patent database about a new idea
- Trivial but impractical solution: Download the entire database. To achieve practical solutions we need to reduce communication overhead
- Two types:
  - Information-theoretic PIR: Several databases will each obtain a "portion" of the query
    - No knowledge of the real query is leaked
    - Impossible for single database
  - Computational PIR: Databases need to compute difficult problem to obtain query