

# Intelligent Learning and Analysis Systems SS19, Exercise Sheet 1

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## 5. The $\phi$ -HH Problem: Lower Bounds

Suppose  $\Sigma_1 = \Sigma_2$ . Since  $S_1 \neq S_2$ , there is an  $x \in [n]$  such that w.l.o.g.  $x \in S_1, x \notin S_2$ .

Now let  $\sigma_1$  be the concatenation of  $\langle S_1 \rangle$  and  $\langle x \rangle$ , and let  $\sigma_2$  be the concatenation of  $\langle S_2 \rangle$  and  $\langle x \rangle$ . Since the stream lengths of  $\sigma_1$  and  $\sigma_2$  are  $m + 1$ , we might have more than  $m'$  bits at our disposal, but this is not important.

Let  $\phi = \frac{2}{m+1}$ . Then every item which appears at least twice in  $\sigma_1$  or  $\sigma_2$  is a  $\phi$ -heavy hitter. Since  $S_1, S_2$  are sets, there is no item that appears twice in  $\langle S_1 \rangle$  or  $\langle S_2 \rangle$ .

Since  $x \in S_1$  but  $x \notin S_2$ ,  $x$  is a heavy hitter of  $\sigma_1$ , but  $x$  is not a heavy hitter of  $\sigma_2$ . But before we processed the last element of the streams, the respective states of the storages  $\Sigma_1$  and  $\Sigma_2$  were equal by assumption. And since the last elements of both  $\sigma_1$  and  $\sigma_2$  are identical, the algorithm should have identical output for both  $\sigma_1$  and  $\sigma_2$ . But this is not the case. So we contradicted our assumption, which means  $\Sigma_1$  and  $\Sigma_2$  have to be different.

## 2. Identifying the Majority

Let  $\sigma = \langle a_1, \dots, a_m \rangle$  and  $a_i \in [n]$ .

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**Algorithm 1** Algorithm for finding the majority of  $\sigma$ , if it exists

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1:  $c \leftarrow 0$ 
2: for all  $i \in [m]$  do
3:   if  $c = 0$  then
4:      $s \leftarrow a_i$ 
5:      $c \leftarrow 1$ 
6:   end if
7:   if  $s = a_i$  then
8:      $c += 1$ 
9:   else
10:     $c -= 1$ 
11:  end if
12: end for
13: return  $s$ 

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Let  $A(\sigma)$  be the output of the algorithm.

Claim:  $A(\sigma)$  is the majority of  $\sigma$ , if it exists.

Proof: Let  $k$  be the number of times  $c$  is set to 0 in the for loop. We prove the claim by induction over  $k$ .

Base case:

Let  $k = 0$ . Since  $c$  is never set to 0 during the loop, we know that  $s$  never changes after iteration 1, i.e.  $A(\sigma) = a_1$ . Also,  $c$  increases at least one time more often than it decreases, so  $A(\sigma) = a_1$  has to be the majority of  $\sigma$ .

Step case:

Let  $k > 0$ . Suppose the claim is true for all streams for which  $c$  is set to 0 at most  $k - 1$  times in the for loop. Let  $d$  be the majority of  $\sigma$ . Let  $j$  be the first iteration where  $c$  is set to 0. Side note: When  $c$  is set to 0 in an iteration  $j'$ ,  $j'$  has to be even.  $d$  can occur at most  $\frac{j}{2}$  times in  $\tau := \langle a_1, \dots, a_j \rangle$ , otherwise  $d$  would be the majority of  $\tau$  and  $c$  couldn't be set to 0 in iteration  $j$ . Consequently  $d$  will occur at least

$$\left\lfloor \frac{m}{2} \right\rfloor + 1 - \frac{j}{2} = \left\lfloor \frac{m-j}{2} \right\rfloor + 1$$

times in  $\sigma' = \langle a_{j+1}, \dots, a_m \rangle$ . Because  $\sigma'$  is a stream of length  $m - j$ ,  $d$  is the majority of  $\sigma'$ . Since the number of zeros in  $\sigma'$  is smaller than  $k$ , we can apply the inductive hypothesis to see that  $A(\sigma') = d$ . Since in iteration  $j$  of computing  $A(\sigma)$ ,  $c$  is set to 0, applying the algorithm to  $\sigma$  is the same as applying it to  $\tau$  and then to  $\sigma'$ . Hence,  $A(\sigma) = d$ . ■