

Computing Schreyer Resolutions

Ruiqi (Rickey) Huang

`huanr19@wfu.edu`

April 30, 2022



Supervisor: Dr. Frank Moore

Goal

- Find a Schreyer resolution of a given module over a polynomial ring (by first computing a Schreyer frame)

Goal

- Find a Schreyer resolution of a given module over a polynomial ring (by first computing a Schreyer frame)

$$\Phi : \cdots \rightarrow F_l \xrightarrow{\varphi_l} F_{l-1} \xrightarrow{\varphi_{l-1}} \cdots \xrightarrow{\varphi_1} F_0 \quad (1)$$

R-module

Let $R = k[x_1, \dots, x_n]$ be the polynomial ring over a field k .

R -module

Let $R = k[x_1, \dots, x_n]$ be the polynomial ring over a field k .

Definition (R -Module)

Suppose that R is a ring, and 1 is its multiplicative identity. An R -module M consists of an Abelian group $(M, +)$ and an operation $\cdot : R \times M \rightarrow M$ such that for all r, s in R and x, y in M , we have

- $r \cdot (x + y) = r \cdot x + r \cdot y$
- $(r + s) \cdot x = r \cdot x + s \cdot x$
- $(rs) \cdot x = r \cdot (s \cdot x)$
- $1 \cdot x = x$

Like a "vector space" over the ring R .

i.e. the scalar multiplications are actions of multiplying by a ring element in a module.

R-module homomorphism

Definition (*R*-module Homomorphism)

A *R*-module homomorphism between *M* and *N* is a function $f : M \rightarrow N$ such that for any $x, y \in M$ and $r \in R$,

- $f(x + y) = f(x) + f(y)$,
- $f(rx) = rf(x)$

A group homomorphism under addition that commutes with "scalar multiplication".

Free R -module

Definition (**Free R -module**)

For a R -module M , the set $\mathcal{B} \subseteq M$ is a basis for M if:

- \mathcal{B} is a spanning set for M ;
- \mathcal{B} is linearly independent.

A free R -module is a module with a basis.

Example: R^n has basis e_1, \dots, e_n where e_i is the i^{th} standard basis vector.

Free R -module

Definition (**Free R -module**)

For a R -module M , the set $\mathcal{B} \subseteq M$ is a basis for M if:

- \mathcal{B} is a spanning set for M ;
- \mathcal{B} is linearly independent.

A free R -module is a module with a basis.

Example: R^n has basis e_1, \dots, e_n where e_i is the i^{th} standard basis vector.

- Let K be a field, then all K -modules are free modules.
- Not every module is a free R -module.

Motivating Problem

- Find a spanning set \mathcal{B}_0 of M , and use it to define a homomorphism from a free R -module R^{m_0} onto M

$$R^{m_0} \twoheadrightarrow M$$

Motivating Problem

- Find a spanning set \mathcal{B}_0 of M , and use it to define a homomorphism from a free R -module R^{m_0} onto M
- Find the non-trivial kernel of the map $R^{m_0} \twoheadrightarrow M$

$$\begin{array}{ccc} & R^{m_0} & \twoheadrightarrow M \\ & \nearrow \iota_1 & \\ K_1 & & \end{array}$$

Motivating Problem

- Find a spanning set \mathcal{B}_0 of M , and use it to define a homomorphism from a free R -module R^{m_0} onto M
- Find the non-trivial kernel of the map $R^{m_0} \twoheadrightarrow M$
- Find a spanning set \mathcal{B}_1 of K_1 , and use it to define a homomorphism from a free R -module R^{m_1} to K_1 .

$$\begin{array}{ccccc} R^{m_1} & & & R^{m_0} & \twoheadrightarrow M \\ & \searrow \varphi'_1 & & \nearrow \iota_1 & \\ & & K_1 & & \end{array}$$

Motivating Problem

- Find a spanning set \mathcal{B}_0 of M , and use it to define a homomorphism from a free R -module R^{m_0} onto M
- Find the non-trivial kernel of the map $R^{m_0} \twoheadrightarrow M$
- Find a spanning set \mathcal{B}_1 of K_1 , and use it to define a homomorphism from a free R -module R^{m_1} to K_1 .
- Find the kernel of φ'_1

$$\begin{array}{ccccc}
 K_2 & \xhookrightarrow{\iota_2} & R^{m_1} & & R^{m_0} \twoheadrightarrow M \\
 & & \searrow \varphi'_1 & \nearrow \iota_1 & \\
 & & K_1 & &
 \end{array}$$

Motivating Problem

- Find a spanning set \mathcal{B}_0 of M , and use it to define a homomorphism from a free R -module R^{m_0} onto M
- Find the non-trivial kernel of the map $R^{m_0} \twoheadrightarrow M$
- Find a spanning set \mathcal{B}_1 of K_1 , and use it to define a homomorphism from a free R -module R^{m_1} to K_1 .
- Find the kernel of φ'_1
- Using function composition, we define φ_1 , a free R -module homomorphism

$$\begin{array}{ccccc}
 K_2 & \xhookrightarrow{\iota_2} & R^{m_1} & \xrightarrow{\varphi_1} & R^{m_0} \twoheadrightarrow M \\
 & & \searrow \varphi'_1 & & \nearrow \iota_1 \\
 & & K_1 & &
 \end{array}$$

Motivating Problem

- Find a spanning set \mathcal{B}_0 of M , and use it to define a homomorphism from a free R -module R^{m_0} onto M
- Find the non-trivial kernel of the map $R^{m_0} \twoheadrightarrow M$
- Find a spanning set \mathcal{B}_1 of K_1 , and use it to define a homomorphism from a free R -module R^{m_1} to K_1 .
- Find the kernel of φ'_1
- Using function composition, we define φ_1 , a free R -module homomorphism
- Iterating this process

$$\begin{array}{ccccccc}
 \dots \twoheadrightarrow R^{m_l} & \xrightarrow{\varphi_l} & R^{m_l - \varphi_l^{l-1}} \twoheadrightarrow \dots \twoheadrightarrow R^{m_2} & \xrightarrow{\varphi_2} & R^{m_1} & \xrightarrow{\varphi_1} & R^{m_0} \twoheadrightarrow M \\
 & \searrow \varphi'_l & \nearrow \iota_l & & \searrow \varphi'_1 & \nearrow \iota_1 & \\
 & & K_l & & & K_1 &
 \end{array}$$

φ'_2 \nearrow K_2 $\searrow \iota_2$

Finding the Kernel?

Example

Let $R = \mathbb{R}[x, y, z]$ be a ring, $I = \langle x^2, xy, xz \rangle$ be an ideal in R , and $M = R/I$ be a R -module. How far is M from being a free R -module?

Finding the Kernel?

Example

Let $R = \mathbb{R}[x, y, z]$ be a ring, $I = \langle x^2, xy, xz \rangle$ be an ideal in R , and $M = R/I$ be a R -module. How far is M from being a free R -module?

$$\begin{array}{ccccccc} & & \nearrow \varphi'_2 & K_2 & \hookrightarrow \iota_2 & & \\ & & & & & & \\ \dots & \xrightarrow{\varphi_3} & R^{m_2} & \xrightarrow{\varphi_2} & R^3 & \xrightarrow{\varphi_1} & R^1 \twoheadrightarrow M \\ & & & & \searrow \varphi'_1 & I & \nearrow \iota_1 \end{array}$$

Finding the Kernel?

Example

Let $R = \mathbb{R}[x, y, z]$ be a ring, $I = \langle x^2, xy, xz \rangle$ be an ideal in R , and $M = R/I$ be a R -module. How far is M from being a free R -module?

$$\begin{array}{ccccccc} & & \nearrow \varphi'_2 & K_2 & \searrow \iota_2 & & \\ \dots & \xrightarrow{\varphi_3} & R^{m_2} & \xrightarrow{\varphi_2} & R^3 & \xrightarrow{\varphi_1} & R^1 \twoheadrightarrow M \\ & & & & \searrow \varphi'_1 & I & \nearrow \iota_1 \end{array}$$

$$\varphi_1 = \varphi'_i = \begin{bmatrix} x^2 & xy & xz \end{bmatrix} \left\{ \begin{bmatrix} y \\ -x \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ z \\ -y \end{bmatrix}, \begin{bmatrix} z \\ 0 \\ -x \end{bmatrix} \right\} \quad (2)$$

But how should we compute K_2 ?

Term Orders

Definition (Graded Lex (GrLex) Order)

Let $\alpha, \beta \in \mathbb{Z}_{\geq 0}^n$. We say $\alpha >_{\text{grlex}} \beta$ if

$$|\alpha| = \sum_{i=1}^n \alpha_i > |\beta| = \sum_{i=1}^n \beta_i, \text{ or } |\alpha| = |\beta| \text{ and } \alpha >_{\text{lex}} \beta \quad (3)$$

Example

Compare $x_1^5 x_2 x_3^2$ and $x_1 x_2^3 x_3^4$ using the GrLex Order.

Term Orders

Definition (Graded Lex (GrLex) Order)

Let $\alpha, \beta \in \mathbb{Z}_{\geq 0}^n$. We say $\alpha >_{\text{grlex}} \beta$ if

$$|\alpha| = \sum_{i=1}^n \alpha_i > |\beta| = \sum_{i=1}^n \beta_i, \text{ or } |\alpha| = |\beta| \text{ and } \alpha >_{\text{lex}} \beta \quad (3)$$

Example

Compare $x_1^5 x_2 x_3^2$ and $x_1 x_2^3 x_3^4$ using the GrLex Order.

$$x_1^5 x_2 x_3^2 >_{\text{grlex}} x_1 x_2^3 x_3^4$$

Reason: the coefficient tuple $|(5, 1, 2)| = |(1, 3, 4)| = 8$, and $(5, 1, 2) > (1, 3, 4)$

Term Orders

Definition (Term order on a Free Module)

The term order on a free module F over k (a total order on the monomials of F) such that:

- if $m <_F n$, then $t \cdot m <_F t \cdot n$;
- if $s <_R t$, then $s \cdot e <_F t \cdot e$;

for all m, n monomials of F , s, t power products in R , and e any basis element of F .

Gröbner Basis

Definition (Gröbner Basis)

Fix a monomial order on the polynomial ring R and fix a term order on a R -module M . A finite set $G = \{g_1, \dots, g_t\}$ of a submodule M of R^m different from $\{0\}$ is said to be **Gröbner basis** of M if

$$\langle \text{LT}(g_1), \dots, \text{LT}(g_t) \rangle = \langle \text{LT}(M) \rangle$$

where $\langle X \rangle$ is the submodule generated by elements of X where X be a subset of M .

Definition (Reduced Gröbner Basis)

The **reduced** Gröbner basis is a Gröbner basis G for M such that:

- $\text{LC}(p) = 1$ for all $p \in G$
- For all $p \in G$, none of the monomials in p lies in $\langle \text{LT}(G \setminus \{p\}) \rangle$

Schreyer Resolution and Schreyer Frame

Definition (Free resolution)

Let R be the polynomial ring as previously defined, and let F_i be free R -modules with a given (canonical) basis \mathcal{E}_i of F_i and $M = F_0/I$. A **free resolution** of M is a sequence of homomorphisms of free R -modules:

$$\Phi : \cdots \rightarrow F_l \xrightarrow{\varphi_l} F_{l-1} \xrightarrow{\varphi_{l-1}} \cdots \xrightarrow{\varphi_1} F_0 \rightarrow 0 \quad (4)$$

such that $\text{im } \varphi_i = \ker \varphi_{i-1}$ for $i \geq 1$ and $\text{coker } \varphi_1 = M$.

Define $\mathcal{C}_i = \varphi_i(\mathcal{E}_i)$ as the image of the given basis and define the level of an element f in \mathcal{C}_i as $\text{lev}(f) = i$.

Schreyer resolution and Schreyer Frame

Definition (Term Ordering on a Resolution)

Let $\tau = \{\tau_i\}$ be a sequence of term ordering on the modules F_i . We call τ a **term ordering on Φ** if it satisfies the following compatibility relationship:

$$s \cdot e_1 <_{\tau_i} t \cdot e_2 \text{ whenever } s \cdot \text{LM}(\varphi_i(e_1)) <_{\tau_{i-1}} t \cdot \text{LM}(\varphi_i(e_2)) \quad (5)$$

where e_1 and e_2 are elements of \mathcal{E}_i .

Schreyer resolution and Schreyer Frame

Definition (Schreyer Resolution)

A **Schreyer resolution** of an R -module $M = F_0/I$ is free resolution:

$$\Phi : \cdots \rightarrow F_l \xrightarrow{\varphi_l} F_{l-1} \xrightarrow{\varphi_{l-1}} \cdots \xrightarrow{\varphi_1} F_0, \quad (6)$$

such that:

- There is a term ordering $\tau = \{\tau_i\}$ on Φ
- $\varphi_i(\mathcal{E}_i)$ forms a reduced Gröbner basis of $\text{im } \varphi_i = \ker \varphi_{i-1}$ (for all i where $F_i \neq 0$) with respect to the term order τ_{i-1} .

Schreyer Resolution and Schreyer Frame

Definition (Initial Term of A Resolution)

Given Φ as previously described and a term ordering τ on Φ , define the **initial terms** of Φ , $\text{in}(\Phi)$, as the sequence of (graded) R -homomorphisms:

$$\Xi = \text{in}(\Phi) : \cdots \rightarrow F_l \xrightarrow{\xi_l} F_{l-1} \xrightarrow{\xi_{l-1}} \cdots \xrightarrow{\xi_2} F_1 \xrightarrow{\xi_1} F_0 \quad (7)$$

where $\xi_i(e) = \text{LM}(\varphi_i(e))$, for all e in \mathcal{E}_i . That is, the differentials in $\text{in}(\Phi)$ are obtained by taking the leading monomials of each entry in the differentials in Φ .

Schreyer Resolution and Schreyer Frame

Definition (Schreyer Frame)

A **Schreyer frame** of $M = F_0/I$ is a sequence of R -homomorphisms:

$$\Xi : \cdots \rightarrow F_l \xrightarrow{\xi_l} F_{l-1} \xrightarrow{\xi_{l-1}} \cdots \xrightarrow{\xi_2} F_1 \xrightarrow{\xi_1} F_0 \quad (8)$$

where each column is a monomial, and a term ordering on Ξ such that:

- $\xi_1(\mathcal{E}_1)$ is a minimal set of generators for $\text{in}(I)$;
- $\xi_i(\mathcal{E}_i)$ is a minimal set of generators for $\text{in}(\ker \xi_{i-1})$ for $i \geq 2$.

Computing the Schreyer Frame

Definition (**Colon Ideal**)

Let I be an ideal of a commutative ring R , let p be a polynomial in R , then the **colon ideal** is defined as:

$$(I : p) = \{r \in R \mid rp \in I\} \quad (9)$$

Computing the Schreyer Frame

Let $e \in \mathcal{E}_{i-1}$, then

$$\mathcal{B}_i = \xi_i(\mathcal{E}_i) \quad (10)$$

$$\mathcal{E}_i(e) = \{\epsilon \in \mathcal{E}_i \mid \xi_i(\epsilon) = s \cdot e, \text{ for some power product } s\} \quad (11)$$

Lemma

In a Schreyer frame Ξ , $\text{in}(\ker \xi_i)$ is minimally generated by

$$\bigcup_{e \in \mathcal{E}_{i-1}} \bigcup_{j=2}^r \text{mingens}((t_1, \dots, t_{j-1}) : t_j) \cdot \epsilon_j \quad (12)$$

where for each e in the outer union, if $\mathcal{E}_i(e) = \{\epsilon_1, \dots, \epsilon_r\}$, then $\xi_i(\epsilon_j) = t_j \cdot e$, and mingens defines the minimal generators of the considered monomial ideal.

Example

$$\bigcup_{e \in \mathcal{E}_{i-1}} \bigcup_{j=2}^r \text{mingens}((t_1, \dots, t_{j-1}) : t_j) \cdot \epsilon_j \quad (13)$$

Example

Let $R = \mathbb{R}[x, y, z]$ be a ring, $I = \langle x^2, xy, xz \rangle$ be an ideal in R , and $M = R/I$ be a R -module. Computing the Schreyer frame of M .

- $\mathcal{B}_1 = \{x^2e_{0,1}, xye_{0,1}, xze_{0,1}\}$
- $\mathcal{E}_0 = \{e_{0,1}\}$
- $\mathcal{E}_1 = \{e_{1,1}, e_{1,2}, e_{1,3}\}$

Example

$$\bigcup_{e \in \mathcal{E}_{i-1}} \bigcup_{j=2}^r \text{mingens}((t_1, \dots, t_{j-1}) : t_j) \cdot \epsilon_j \quad (13)$$

Example

Let $R = \mathbb{R}[x, y, z]$ be a ring, $I = \langle x^2, xy, xz \rangle$ be an ideal in R , and $M = R/I$ be a R -module. Computing the Schreyer frame of M .

- $\mathcal{B}_1 = \{x^2e_{0,1}, xye_{0,1}, xze_{0,1}\}$
- $\mathcal{B}_2 = \{xe_{1,2}, xe_{1,3}, ye_{1,3}\}$
- $\mathcal{E}_0 = \{e_{0,1}\}$
- $\mathcal{E}_1 = \{e_{1,1}, e_{1,2}, e_{1,3}\}$

Example

$$\bigcup_{e \in \mathcal{E}_{i-1}} \bigcup_{j=2}^r \text{mingens}((t_1, \dots, t_{j-1}) : t_j) \cdot \epsilon_j \quad (13)$$

Example

Let $R = \mathbb{R}[x, y, z]$ be a ring, $I = \langle x^2, xy, xz \rangle$ be an ideal in R , and $M = R/I$ be a R -module. Computing the Schreyer frame of M .

- $\mathcal{B}_1 = \{x^2e_{0,1}, xye_{0,1}, xze_{0,1}\}$
- $\mathcal{B}_2 = \{xe_{1,2}, xe_{1,3}, ye_{1,3}\}$
- $\mathcal{E}_0 = \{e_{0,1}\}$
- $\mathcal{E}_1 = \{e_{1,1}, e_{1,2}, e_{1,3}\}$
- $\mathcal{E}_2 = \{e_{2,1}, e_{2,2}, e_{2,3}\}$

Example

$$\bigcup_{e \in \mathcal{E}_{i-1}} \bigcup_{j=2}^r \text{mingens}((t_1, \dots, t_{j-1}) : t_j) \cdot \epsilon_j \quad (13)$$

Example

Let $R = \mathbb{R}[x, y, z]$ be a ring, $I = \langle x^2, xy, xz \rangle$ be an ideal in R , and $M = R/I$ be a R -module. Computing the Schreyer frame of M .

- $\mathcal{B}_1 = \{x^2e_{0,1}, xye_{0,1}, xze_{0,1}\}$
- $\mathcal{B}_2 = \{xe_{1,2}, xe_{1,3}, ye_{1,3}\}$
- $\mathcal{B}_3 = \{xe_{2,3}\}$
- $\mathcal{E}_0 = \{e_{0,1}\}$
- $\mathcal{E}_1 = \{e_{1,1}, e_{1,2}, e_{1,3}\}$
- $\mathcal{E}_2 = \{e_{2,1}, e_{2,2}, e_{2,3}\}$

Example

$$\bigcup_{e \in \mathcal{E}_{i-1}} \bigcup_{j=2}^r \text{mingens}((t_1, \dots, t_{j-1}) : t_j) \cdot \epsilon_j \quad (13)$$

Example

Let $R = \mathbb{R}[x, y, z]$ be a ring, $I = \langle x^2, xy, xz \rangle$ be an ideal in R , and $M = R/I$ be a R -module. Computing the Schreyer frame of M .

- $\mathcal{B}_1 = \{x^2e_{0,1}, xye_{0,1}, xze_{0,1}\}$
- $\mathcal{B}_2 = \{xe_{1,2}, xe_{1,3}, ye_{1,3}\}$
- $\mathcal{B}_3 = \{xe_{2,3}\}$
- $\mathcal{E}_0 = \{e_{0,1}\}$
- $\mathcal{E}_1 = \{e_{1,1}, e_{1,2}, e_{1,3}\}$
- $\mathcal{E}_2 = \{e_{2,1}, e_{2,2}, e_{2,3}\}$
- $\mathcal{E}_3 = \{e_{3,1}\}$

Compute the Schreyer Resolution

Theorem

If Ξ is a Schreyer frame for a R -module M , then there exists a Schreyer resolution Φ such that $\Xi = \text{in}(\Phi)$.

Computing the Schreyer Resolution

Algorithm 2 Resolution[$\bar{\mathcal{C}}_1$]

Input: a reduced Gröbner basis $\bar{\mathcal{C}}_1$ of I , and an ordered union \mathcal{B} of bases of all levels

Output: the set of Gröbner bases $\mathcal{C}_1, \dots, \mathcal{C}_l$, and the set of corresponding syzygies $\mathcal{H}_1, \dots, \mathcal{H}_l$

$\mathcal{C}_i, \mathcal{H}_i := \emptyset (1 \leq i \leq l)$

while $\mathcal{B} \neq \emptyset$ **do**

$m := \min \mathcal{B}$

$\mathcal{B} := \mathcal{B} \setminus \{m\}$

$i := \text{lev}(m)$

if $i = 1$ **then**

$g :=$ the element of $\bar{\mathcal{C}}_i$ s.t. $\text{lm}(g) = m$

$\mathcal{C}_1 := \mathcal{C}_1 \cup \{g\}$

$\mathcal{H}_1 := \mathcal{H}_1 \cup \{g\}$

else

$(f, g) := \text{Reduce}[m, \mathcal{C}_{i-1}]$

$\mathcal{C}_i := \mathcal{C}_i \cup \{g\}$

if $f \neq 0$ **then**

$\mathcal{C}_{i-1} := \mathcal{C}_{i-1} \cup \{f\}$

$\mathcal{B} := \mathcal{B} \setminus \{\text{lm}(f)\}$

else

$\mathcal{H}_i := \mathcal{H}_i \cup \{g\}$

end if

end if

end while

return $\mathcal{C}_i, \mathcal{H}_i (1 \leq i \leq l)$

Example

Example

Given the Schreyer frame in the previous example, create a Schreyer resolution corresponding to it.

- $\mathcal{B}_1 = \{x^2e_{0,1}, xye_{0,1}, xze_{0,1}\}$
- $\mathcal{B}_2 = \{xe_{1,2}, xe_{1,3}, ye_{1,3}\}$
- $\mathcal{B}_3 = \{xe_{2,3}\}$
- $\mathcal{C}_1 = \{x^2e_{0,1}, xye_{0,1}, xze_{0,1}\}$

Example

Example

Given the Schreyer frame in the previous example, create a Schreyer resolution corresponding to it.

- $\mathcal{B}_1 = \{x^2e_{0,1}, xye_{0,1}, xze_{0,1}\}$

- $\mathcal{B}_2 = \{xe_{1,2}, xe_{1,3}, ye_{1,3}\}$

- $\mathcal{B}_3 = \{xe_{2,3}\}$

- $\mathcal{C}_1 = \{x^2e_{0,1}, xye_{0,1}, xze_{0,1}\}$

- $\mathcal{C}_2 = \{xe_{1,2} - ye_{1,1}, xe_{1,3} - ze_{1,1}, ye_{1,3} - ze_{1,2}\}$

Example

Example

Given the Schreyer frame in the previous example, create a Schreyer resolution corresponding to it.

$$\bullet \mathcal{B}_1 = \{x^2e_{0,1}, xye_{0,1}, xze_{0,1}\}$$

$$\bullet \mathcal{B}_2 = \{xe_{1,2}, xe_{1,3}, ye_{1,3}\}$$

$$\bullet \mathcal{B}_3 = \{xe_{2,3}\}$$

$$\bullet \mathcal{C}_1 = \{x^2e_{0,1}, xye_{0,1}, xze_{0,1}\}$$

$$\bullet \mathcal{C}_2 = \{xe_{1,2} - ye_{1,1}, xe_{1,3} - ze_{1,1}, ye_{1,3} - ze_{1,2}\}$$

$$\bullet \mathcal{C}_3 = \{xe_{2,3} - ye_{2,2} + ze_{2,1}\}$$

The End

Thank you for your attention!