MECH 888: Nonlinear Optimization

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# Road Curvature Model Optimization

## **Abstract**

With the advancement of autonomous vehicle technology, the use of sensors has become the cornerstone of any autonomous navigation architecture. However, limitations such as weather disruptions, often make sensors to be unreliable and even have severe consequences. A new method is proposed in which a road is generated independent of vehicle sensors to provide vehicles with enough information to traverse curves. This method relies on vehicle dynamics and street design standards. An optimization routine is implemented to obtain optimized guidance parameters such as curvature, velocity, or wheel angle. This optimization routine is divided into two main optimization problems. The problems are solved independently in two different software. The results show that the guidance profiles generated can be optimized with reasonable values for providing a ride that can complement existing autonomous vehicle technology.

## **Background**

In the United States, the prevailing standards for road design come from The American Association of State Highway and Transportation Officials, referred as the Green Book. This book offers an extensive review of road design considerations that comply with vehicle dynamic behavior. Special consideration is given to curve maneuvering because there are centripetal forces that need to be balanced with a combination of road factors to maintain vehicle stability. The dynamics are formulated using Newton's Second Law of motion, which takes into consideration both road and vehicle characteristics. Road design parameters include, road friction, superelevation, and maximum width, while the vehicle parameters considered are velocity, acceleration, trackwidth, and vehicle length. These are summarized with the following formula [1]:

$$\frac{v^2}{g\rho} = \frac{\mu + 0.01e}{1 - 0.01\mu e} \qquad Eq. 1$$

Where:

v = Vehicle velocity (m/s)  $\mu = \text{Coefficient of side road friction}$ 

e =Superelevation (as a percentage)  $\rho$  = Radius of curvature (m)

g = Gravitational acceleration (9.81 m/s<sup>2</sup>)

This formula relates most of the parameters that can be involved from any general vehicle and general street. In the case of street design, road friction and superelevation are already implemented on most roads. Vehicles can vary their velocity and heading accordingly as they traverse any curve. However, the factor that unites both the road and the vehicle is the radius of curvature. From

geometric considerations and Newton's Second Law, it is possible to find another equation that relates more vehicle parameters to the radius of curvature as follows [2]:

$$\delta = (57.3L + \overline{UG}v^2)\rho^{-1} \qquad Eq. 2$$

Where:

 $\delta$  = Wheel directional angle (deg) L = Vehicle length (m)

 $\rho$  = Radius of curvature (m)  $\overline{UG}$  = Understeer gradient (deg-s<sup>2</sup>/m)

v = Vehicle velocity (m/s)

Since the Radius of Curvature belongs to the set of all real numbers, to avoid division by zero, the inverse function is used. This is known as Curvature and is depicted as follows:  $\kappa = \rho^{-1} \ (m^{-1})$ . Curvature is unique since every vehicle creates its own curvature when traversing any arbitrary road. However, all roads have already a pre-determined curvature that was designed for a variety of vehicles as discussed before. Furthermore, curvature can be directly related to a vehicle's heading angle through an orthogonal shift for an instantaneous point in time. For this reason, being able to provide vehicles with this pre-determined curvature poses a new guiding factor that autonomous vehicle technology can implement into their decision algorithms for navigation. This in turn can improve their reliability under conditions where disruptions such as weather effects or poor lane markings disable sensor information.

There exist multiple types of roads in which curvature changes as a function of segment length (i.e.  $\kappa(s)$ ) to provide both stability and comfort to drivers. These are divided in two categories, horizontal and vertical curves. Horizontal curves focus on the direction of the centerline, while vertical curves focus on the slope of the centerline. Horizontal curves are divided into 4 main categories shown in Figure 1. For this project, only the 4 main horizontal curves are considered because they constitute most of the implemented roads available.

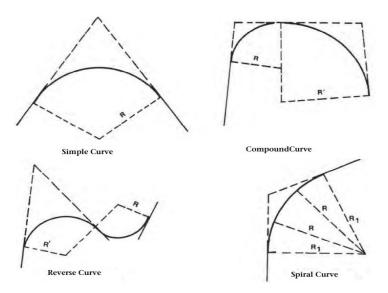


Figure 1. Horizontal Curves

Thus, curvature models were developed that can represent these types of roads. However, to attain an optimized curvature model that can be applied to general vehicles. An optimization problem needs to be defined that utilizes both real road data and vehicle parameters.

## **Optimization Problem Formulation**

To obtain the curvature data, a previous study has been performed which outputs the curvature values needed to be compared with the curvature models [3]. These models are subject to an unconstrained Least Squares Error - Minimization problem such as:

$$\min_{x} \|\kappa_m(s) - \hat{\kappa}\|^2 \quad Pr. 1$$

Where:

$$\kappa_m(s) = \text{Road curvature model } m$$

 $\hat{\kappa}$  = Road sampled curvature data

This minimization problem (Pr.1) will test different analytical curvature models and obtain appropriate parameters for each of them accordingly. It is important to note that the models  $\kappa_m$  can be either linear or non-linear.

Pr.1 will focus on representing models  $\kappa_m$  as a representation of any generic road data input. However, to take into consideration the vehicle dynamic stability, Eq.1 and Eq.2 are used as a second minimization problem (Pr.2) that will minimize the steering wheel angle  $\delta$  with the following constraints:

$$\min_{x} x_1 - (57.3L + \overline{UG}x_2^2)\kappa_m(s) \qquad Pr.2$$

subject to:

$$\frac{x_2^2}{a}\kappa_m(s) - \frac{\mu + 0.01e}{1 - 0.01\mu e} = 0$$

This will find the optimized combination for both traveling velocity and wheel angle that uses the model  $\kappa_m$  as part of their process. Pr. 2 is a non-linear constrained optimization problem in which the vehicle parameters  $(L, \overline{UG})$  and road parameters  $(\mu, e)$  are regarded as constants for any generic road/vehicle. In general, there exists design vehicle ranges, and steering wheel angles such that extra constraints could be added to Pr. 2 in the following manner:

$$v_{min} < x_2 < v_{max}$$
 &  $\delta_{min} < x_1 < \delta_{max}$  C.1

Combining Pr. 2 and C.1 it is possible to form an iterative method in which the velocity and wheel angle of a vehicle, can be optimized with respect to a previously optimized curvature profile. Note: The understeer gradient  $\overline{UG}$  is a function of many tire dynamic parameters including velocity and wheel angle. Thus, for this project, it will be regarded as a constant that complies with C.1.

### **Road Curvature Models**

All horizontal curves (in Figure 1) are made of curvatures with constant, and/or linear slopes. To create a model to be optimized in Pr. 1, the following model is proposed:

Piece-wise linear model:

$$\kappa_{1} = \left(\frac{x_{5}}{x_{2} - x_{1}}\right)(s - x_{1})[\varphi(s - x_{1}) - \varphi(s - x_{2})] + x_{5}[\varphi(s - x_{2}) - \varphi(s - x_{3})] + \left(\left(\frac{x_{5}}{x_{4} - x_{3}}\right)(-s - x_{3}) + x_{5}\right)[\varphi(s - x_{3}) - \varphi(s - x_{4})] \quad M.1$$

Where:

 $\varphi(x - a)$  = Unit Step Function with a-shift

 $x_i$  = Variables that describe curvature function with  $i \in [1,5]$ 

M.1 was mathematically designed to be continuous for all s and offers the flexibility of having its  $x_i$  parameters to be easily identified as basic geometric properties of a trapezoid. This is illustrated in Figure 2 for the general model  $\kappa_1$  along s with parameters  $x_i$ .

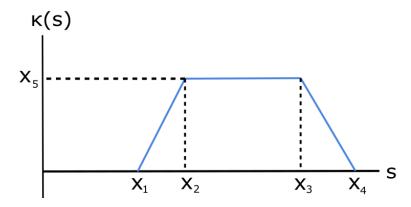


Figure 2. General curvature model 1 (M.1), with designated variables

Note: Mathematical derivations of M.1 are not provided in this report.

## Implementation/Results

Least Squares Minimization Pr.1

To optimize Pr. 1, a sample curvature data was generated so that it resembles a compound curve (Figure 1) with Gaussian noise added. The optimization was performed with MATLAB's Isquirefit optimization routine (see code in Appendix). It is crucial to note, that for convergence of Pr. 1 with M.1, starting points  $x_i$  must be given, and they must not be repeated values so that  $x_i \neq x_{i-1}$ . A sample optimized M.1 is shown in Figure 3 along with Table 1 with its corresponding coefficients. The behavior of M.1 under many datasets was tested, and it is noticeable how data curves that lack a "downward" slope at the end of the are still being able to be modeled by M.1. The model can do that by providing a combination of  $x_3$  and  $x_4$  so that the slope of that section is approximately linear.

Table 1. Variables obtained for M.1

Variable	Quantity
$x_1$	1.543
$x_2$	14.505
$x_3$	41.925
$x_4$	151.046
$x_5$	0.0261

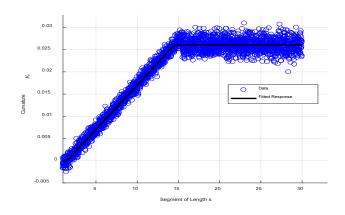


Figure 3. Optimization of Curvature M.1 from Pr. 1

## Visualization of Non-linear Constrained Optimization Pr.2

A contour plot of Pr. 2 is shown in Figure 4, with ranges that are realistic for C.1. It is noted that the minimizer of Pr. 2 lies somewhere in the line generated from the intersection of Eq. 1 and Eq. 2. Also, Table 2 provides with the basic road and vehicle parameters that are used throughout Pr. 2. It is important to note that the model is unbounded without the aforementioned constraints.

Table 2. Input Parameters for M.1

Parameter	Quantity	Unit
κ	0.0167	$m^{-1}$
$\overline{UG}$	1.95	deg
L	2.5	m
g	9.81	$m/s^2$
e	6	%
μ	0.4	

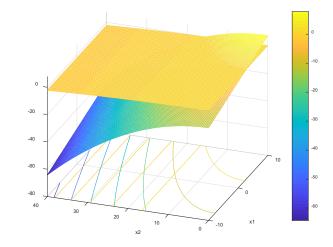


Figure 4. Contour Plots for M.1

## AMPL for Optimization of Pr. 2

To minimize Pr. 2, the AMPL modeling language was used, so that complexity of selection for appropriate optimization solvers is reduced [4]. The basic idea of AMPL is to provide 3 files, of the type .mod, .dat, and .run. These are used along with a server that is able to compile all files and provide an optimized solution. The highest versatility of this modeling language is that resembles closely mathematical formulations, such that the transition from math writing to computer input is greatly reduced. Summarizing: .mod files contain 4 main components:

param: Parameters, which are values can be arbitrarily changed, and are obtained from .dat files.

**var**: Variables, which are the optimized variables that need to be found.

**minimize**: The objective equation to be minimized, which should contain all variables, and some (not all) parameters defined before.

**subject to** (or alternatively, **s.t.**): Constraints that are applied to the objective function, that can contain some or all variables and parameters previously defined.

In general, all parameters are defined in the .dat file, and variables need to be given an initial guess, which is also done in the .dat file (see code in Appendix for example). Then, the .mod file takes all the data to optimize the model (equations and constraints). Finally, the .run file is utilized to simply compile the other 2 files together. It is also used to display specific values or results as deemed appropriate by the user.

Below, Figure 5 is the output obtained from running the files on the Appendix. As it is noticed, this shows the optimized wheel angle and velocity from Pr. 2 subject to C.1 as well.

```
ampl: include Problem2.run
minimize Z:
-0.00331957*x[2]^2 + x[1] - 2.24198;

subject to C1:
0.00170234*x[2]^2 = 0.471311;

MINOS 5.51: optimal solution found.
6 iterations, objective -6.161032377
Nonlin evals: obj = 21, grad = 20, constrs = 21, Jac = 20.
Z = -6.16103
x[1] = -3
x[2] = 16.6391
```

Figure 5 AMPL Console Results for Pr. 2

For Pr. 2, the obtained angle is 3 deg, and velocity is 16.63 m/s or about 36 mph, which is a reasonable velocity for the input curvature 0.0167 m<sup>-1</sup>. Thus, at this curvature segment, the velocity and angle have been optimized. To obtain the ideal velocity and angle profiles, the routine in Pr. 2 needs to be implemented in every segment length and curvature from Pr. 1.

### **Conclusions**

In this report, a method to obtain optimized profiles for curvature/velocities/angles was presented and analyzed. The method was constructed based from vehicle dynamics, and road design standards. The optimization tools were MATLAB and AMPL. An expanded algorithm is discussed that implements Pr. 1 and Pr. 2 into a single algorithm. However, this would imply having to use only one software as opposed to the two offered in this report. In conclusion, the results show promising correlations in between the velocity obtained and the road data input given.

## References

- 1. A Policy on Geometric Design of Highways and Streets (The Green Book) Sixth Edition (American Association of State Highway and Transportation Officials, 2011).
- 2. Gillespie, T.D., Fundamentals of Vehicle Dynamics (SAE International, 1992). ISBN:1-56091-199-9.
- 3. Jacome, R., Stolle, C. and Sweigard, M., "Road Curvature Decomposition for Autonomous Guidance," SAE Technical Paper 2020-01-1024, 2020, doi:10.4271/2020-01-1024.
- 4. Robert Fourer, David M. Gay, and Brian W. Kernighan "AMPL: A Modeling Language for Mathematical Programming" Second edition, ISBN 0-534-38809-4

## **Appendix**

### **Codes**

### **MATLAB Contours**

```
clear all; clc; close all
% From Carsim paper
% At Rho = 30 m -> U = 1.91
% At Rho = 60 m -> U = 1.95
x1 = linspace(-10,10); %Resonable Angles
x2 = linspace(0,40); % Reasonable Speed
Ranges (m/s)
% 40 \text{ m/s} \sim 90 \text{ mph} \sim 144 \text{ km/hr}
L = 2.5; % m
q = 9.81; % m/s^2
%AASHTO values
e = 6; mu = .4;
U = 1.95; K = 1/60;
[X1,X2] = meshgrid(x1,x2);
Z = X1 - (53.7*L+U*X2.^2)*K;
figure; meshc(X1,X2,Z); hold on
Z2 = - X2.^2 * K/g + (mu + 0.01 * e) / (1 -
0.01*mu*e);
meshc(X1, X2, Z2); colorbar;
xlabel('x1'); ylabel('x2')
```

### MATLAB M.1 Pr.1 Minimization

```
s = 1:.01:30; n = numel(s)-1;
y1 = (2.*s(1:n/2) - 3)*1e-3;
y2 = 26*ones(1,n/2)*1e-3;
y1o = awgn(y1,25,'measured');
y2o = awgn(y2,25,'measured');
y = [y10 y20];
x0 = [1 2 3 4 5];
fun2 = @(x,s) ((x(5)./(x(2)-x(1))).*(s -
x(1)).* (heaviside(s-x(1)) - heaviside(s-
x(2))) +...
    x(5).* (heaviside (s-x(2)) -heaviside (s-
x(3))) + ...
((x(5)./(x(4)-x(3))).*(-s+x(3))+x(5)
).*(heaviside(s-x(3)) - heaviside(s-x(4)));
x = lsqcurvefit(fun1, x0, s(1:end-1), y)
times = linspace(s(1),s(end-1));
figure
hold on; plot(s(1:end-1),y,'bo')
plot(times, fun2(x, times), 'k-', 'linewidth', 2)
legend('Data','Fitted
Response','location','best');
title('Optimization of Curvature Model
\kappa 1'); grid on
xlabel('Segment of Length s');
ylabel('Curvature \kappa');
xlim([times(1), times(end)+5])
```

### AMPL Model File: Problem2.mod

```
param L > 0; # m
param K > 0; # m^-1
param W > 0; # deg
param g > 0; # m/s^2
param e > 0; # (Percentage 0-100)
param mu > 0; # unitless

var x{1..2};
minimize Z: x[1] - (53.7*L + U*x[2]^2/g )*K;

subject to C1: x[2]^2*K/g - (mu + 0.01*e)/(1-0.01*mu*e) = 0;
subject to C2: x[1] <= 3;
subject to C3: x[1] >= -3;
subject to C4: x[2] >= 0;
subject to C5: x[2] <= 60;</pre>
```

### AMPL Data File: Problem2.dat

```
param L := 2.5;
param K := 0.0167;
param U := 1.95;
param g := 9.81;
param e := 6;
param mu := 0.4;
```

data;

### 

#### AMPL Data File: Problem2.run

```
#RESET THE AMPL ENVIROMENT
reset;

#LOAD THE MODEL
#model example3.mod;
model Problem2.mod;

#LOAD THE DATA
data Problem2.dat;

#expand Z,C1,C2,C3,C4,C5;
expand Z,C1;
solve;
display Z, x[1], x[2];
```