



POLITECNICO DI TORINO

---

MASTER DEGREE IN COMPUTER ENGINEERING

---

## Information Systems Security

---

NOTES FROM THE COURSE 01TYMUV OF PROF. ANTONIO LIOY  
A.A. 2023/24

*Main authors:*

Marco Smorti  
Riccardo Zaccone  
Giovanni Nicosia

IMPORTANT NOTICE: these notes are not in any way promoted or checked by the professor or any person of the course teaching staff, so they are provided “AS-IS” and without any guarantee. However, we put a great effort to make them and verify their correctness, we hope they will be useful for you.

Version 2.0  
October 10, 2023

# Preliminary notes

Copyright (C) 2021.

Permission is granted to copy, distribute and/or modify this document under the terms of the GNU Free Documentation License, Version 1.3 or any later version published by the Free Software Foundation; with no Invariant Sections, no Front-Cover Texts, and no Back-Cover Texts. A copy of the license is included in the appendix A entitled "GNU Free Documentation License".

# Contributors

- Marco Smorti
- Riccardo Zaccone
- Giovanni Nicosia

# Contents

<b>1</b>	<b>Introduction to the security of ICT systems</b>	<b>6</b>
1.1	Why is security an important issue? . . . . .	6
1.2	Complexity of the ICT scenario . . . . .	7
1.3	A definition of ICT Security [OLD] . . . . .	7
1.4	Risk estimation . . . . .	9
1.5	Risk management . . . . .	10
1.6	Analysis and management of security . . . . .	10
1.7	Relation in the security field . . . . .	11
1.8	Relation in the security field . . . . .	11
1.9	Window of exposure . . . . .	13
1.10	Some statistics . . . . .	13
1.11	Cyber threats . . . . .	15
1.12	What is Security? . . . . .	16
1.13	Security properties . . . . .	17
1.13.1	Authentication . . . . .	18
1.13.2	Non-repudiation . . . . .	19
1.13.3	Availability . . . . .	19
1.13.4	Authorization (access control) . . . . .	19
1.13.5	Privacy . . . . .	20
1.13.6	Integrity . . . . .	21
1.14	Data Protection . . . . .	22
1.15	Some classes of attacks . . . . .	23
1.15.1	Packet Sniffing (Eavesdropping) . . . . .	24
1.15.2	Traffic Analysis . . . . .	24
1.15.3	IP Spoofing (Masquerading) . . . . .	24
1.15.4	Denial-of-Service (DoS) . . . . .	25
1.15.5	Distributed Denial-of-Service (DDoS) . . . . .	25
1.15.6	Shadow Server / Fake Server . . . . .	27
1.15.7	Connection Hijacking / Man In The Middle (MITM) . . . . .	28
1.15.8	Trojan . . . . .	28
1.15.9	Zeus . . . . .	28
1.15.10	Software Bug . . . . .	29

1.16 Virus & Co. (malware) . . . . .	29
1.17 Basic problems (non-technological) . . . . .	33
1.18 Some important recent attacks . . . . .	37
1.18.1 Against Cyber-Physical Systems . . . . .	37
1.18.2 Against critical infrastructure . . . . .	39
1.19 Attack maps: sample data sources . . . . .	40
1.20 The three (four) pillars of security . . . . .	41
1.21 The NIST cybersecurity framework . . . . .	42
1.22 Final slides . . . . .	42
<b>2 Cryptographic techniques for cybersecurity</b>	<b>43</b>
2.1 Cryptography . . . . .	43
2.1.1 Cryptography's strength (Kerchoffs' principle) . . . . .	44
2.2 Symmetric cryptography . . . . .	44
2.2.1 Block algorithms . . . . .	45
2.2.2 Application of block algorithm . . . . .	49
2.2.3 Symmetric stream encryption algorithms . . . . .	58
2.2.4 Key distribution for symmetric cryptography . . . . .	60
2.3 Public key / asymmetric cryptography . . . . .	61
2.3.1 Public key algorithms . . . . .	63
2.3.2 Key distribution for asymmetric cryptography . . . . .	64
2.3.3 Secret key exchange by asymmetric algorithms . . . . .	65
2.4 Message integrity . . . . .	68
2.4.1 Cryptographic hash functions . . . . .	70
2.4.2 Protecting the digest . . . . .	73
2.4.3 Integrity and secrecy: how to combine? . . . . .	75
2.4.4 Authentication by Digest and Asymmetric Cryptography: Digital Signature	80
2.4.5 Public key certificate . . . . .	83
<b>3 Authentication techniques and architectures</b>	<b>90</b>
3.1 What is authentication . . . . .	90
3.1.1 Definitions of authentication . . . . .	90
3.1.2 Authentication factors . . . . .	90
3.2 Digital authentication model (NIST SP800.63B) . . . . .	91
3.3 Generic authentication protocol . . . . .	93
3.3.1 Passwords (reusable) . . . . .	93
3.3.2 The "Dictionary" Attack . . . . .	96
3.3.3 Rainbow table . . . . .	97
3.3.4 Using salt in storing passwords . . . . .	98
3.4 Strong (peer) authN . . . . .	100
3.4.1 ECB Definition for Internet Banking . . . . .	100
3.4.2 PCI-DSS definition for payment with credit cards . . . . .	100
3.4.3 PCI-DSS Definition for Payment with Credit Cards . . . . .	101

3.4.4	Other definitions . . . . .	101
3.5	Challenge-Response Authentication (CRA) . . . . .	101
3.5.1	Symmetric CRA . . . . .	102
3.5.2	Asymmetric CRA . . . . .	106
3.5.3	One-time password (OTP) . . . . .	107
3.5.4	Authentication of human beings . . . . .	115
3.5.5	Kerberos (and SSO) . . . . .	116
3.5.6	Authentication interoperability: OATH . . . . .	122
<b>4</b>	<b>Security of IP networks</b>	<b>129</b>
<b>5</b>	<b>Security of network applications</b>	<b>130</b>
<b>6</b>	<b>Firewall and IDS/ISP</b>	<b>131</b>
<b>7</b>	<b>E-mail security</b>	<b>132</b>
<b>A</b>	<b>GNU Free Documentation License</b>	<b>133</b>
<b>GNU Free Documentation License</b>		<b>133</b>
1.	APPLICABILITY AND DEFINITIONS . . . . .	133
2.	VERBATIM COPYING . . . . .	135
3.	COPYING IN QUANTITY . . . . .	135
4.	MODIFICATIONS . . . . .	136
5.	COMBINING DOCUMENTS . . . . .	137
6.	COLLECTIONS OF DOCUMENTS . . . . .	138
7.	AGGREGATION WITH INDEPENDENT WORKS . . . . .	138
8.	TRANSLATION . . . . .	138
9.	TERMINATION . . . . .	139
10.	FUTURE REVISIONS OF THIS LICENSE . . . . .	139
11.	RELICENSING . . . . .	139

# Chapter 1

## Introduction to the security of ICT systems

### 1.1 Why is security an important issue?

Cybersecurity has become very important in today's world. Since every system relies on computer systems, any kind of damage can result in significant economic losses. Even indirect attacks that do not aim to steal money have economic costs. Cybersecurity is essential because attacks can be performed without the need to physically access the target location.

The reasons why cybersecurity is important are as follows:

- Big damage on successful attacks
- Easy accessibility of systems

We must consider all the possible consequences of a successful attack. First, there can be **financial loss** (direct loss, for example, if someone gains access to bank account credentials, and indirect loss if the revelation that the company has been attacked negatively affects the stock exchange). There can be **recovery costs** because every successful attack results in damage, and there will be expenses required to return the system to normal operations and to enhance it to prevent new attacks. There can also be **productivity losses** if the attacks halt or delay processes. A successful attack may lead to **business disruption** because customers may seek alternative suppliers if a company is vulnerable to attacks.

For all these reasons we should protect systems. Most of the innovation is based on two main pillars:

- The ability to communicate from any part of the world (communication networks)
- The increasing use of personal and mobile devices

These two foundations are no longer sufficient for innovative products; every new product now requires a security system.

## 1.2 Complexity of the ICT scenario

The ICT scenario is complex for various reasons. One key factor is the sheer number of different mobile and connected **devices**, including desktops, laptops, tablets, smartphones, smart TVs, fridges, and cars. All these devices can now connect to the internet, making security a critical concern. **Communication networks** have shifted to data-only networks, meaning there are no more analog phone networks. This change implies that almost everything is vulnerable to potential attacks. It's not just wireless networks that can be targeted; even wired networks are susceptible to security threats. **Distributed services** are on the rise, requiring constant technical solutions to keep them running. This often involves outsourcing parts of server management, hosting, and adopting cloud services. This means that computers are no longer confined within a company, which necessitates trust in the service providers. Additionally, software development is getting more complicated due to various factors like software layering, framework integration, and the use of multiple programming languages. This complexity increases the chances of errors and vulnerabilities. In terms of security, the challenges can be summarized by the first engineering axiom:

"The more complex a system is, the harder it is to ensure its correctness."

Therefore, it's essential to keep systems as simple as possible. For instance, the number of bugs in a program tends to increase more than proportionally with the number of lines of code. The current complexity of information systems favors attackers, who can discover increasingly ingenious and unforeseen attack paths.

To express this idea clearly, we follow the **KISS rule**: "*Keep it Simple, Stupid.*"

## 1.3 A definition of ICT Security [OLD]

Each of us has a different concept of security: for example, the obligation to use safety belts when driving depends on country to country. Security is a personal concept but in engineering, we need to provide some formal definitions. Cybersecurity is a distributed part of a company, and every employee of a company must have the awareness for cybersecurity.

1. "Cybersecurity is the set of products, services, organization rules, and individual behaviors that protect the ICT system of a company."

Let us explain the keywords in the definition.

**Products:** refers to something that people can buy (such as products for firewall and VPN);

**Services:** these services are implemented by buying products;

**Organization rules:** they are required because even if, for example, a new system is set up with a password, rules must provide information to employees on how complex the password must be; otherwise, there will be no rules but personal behaviors, which could make the use of technical solutions less effective.

2. It is the duty to protect the resources from undesired access, guarantee the privacy of information, ensure the service operation, and availability in case of unpredictable events (**C.I.A. = Confidentiality, Integrity, Availability**).

More in detail, these three concepts have the following meaning:

- **Confidentiality** covers two related concepts:

**Data confidentiality:** assures that private or confidential information is not made available or disclosed to unauthorized individuals.

**Privacy:** assures that individuals control or influence what information related to them may be collected and stored and by whom and to whom that information may be disclosed.

In terms of requirements and the definition of a loss of security, it means preserving authorized restrictions on information access and disclosure, including means for protecting personal privacy and proprietary information. A loss of confidentiality is the unauthorized disclosure of information.

- **Integrity** covers two related concepts:

**Data integrity:** assures that information (both stored and in transmitted packets) and programs are changed only in a specified and authorized manner.

**System integrity:** assures that a system performs its intended function in an unimpaired manner, free from deliberate or inadvertent unauthorized manipulation of the system.

In terms of requirements and the definition of a loss of security, it means guarding against improper information modification or destruction, including ensuring information non-repudiation and authenticity. A loss of integrity is the unauthorized modification or destruction of information.

- **Availability:** assures that systems work promptly, and service is not denied to authorized users. In terms of requirements and the definition of a loss of security, it means ensuring timely and reliable access to and use of information. A loss of availability is the disruption of access to or use of information or an information system.

This definition is a good starting point for cybersecurity, but more than the C.I.A. triad is required; two of the most mentioned are as follows:

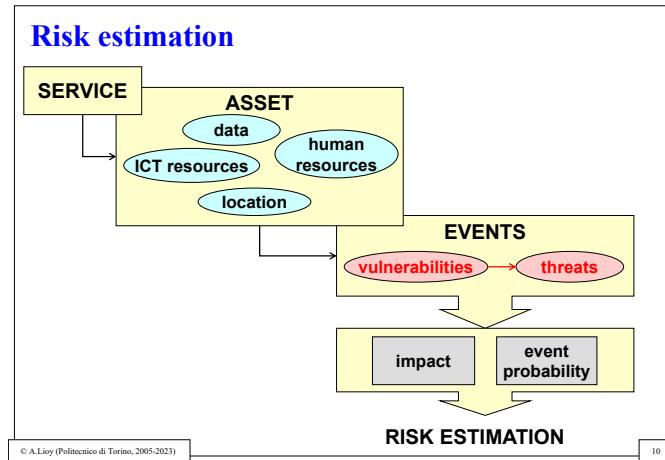
- **Authenticity:** the property of being genuine and being able to be verified and trusted; confidence in the validity of a transmission, a message, or message originator. This means verifying that users are who they say they are and that each input arriving at the system came from a trusted source.
- **Accountability:** the security goal that generates the requirement for actions of an entity to be traced uniquely to that entity. This supports non-repudiation, deterrence, fault isolation, intrusion detection and prevention, and after-action recovery and legal action.

1. "The objective is to guard the information with the same professionalism and attention as for the jewels and deposit certificates stored in a bank caveau".
3. "The ICT system is the safe of our most valuable information; ICT security is the equivalent of the locks, combinations, and keys required to protect it".

## 1.4 Risk estimation

Before setting up a defense, we must understand what the risks are. To make a risk estimation, it is good to start from the **service**. Once we know the service we need to protect, we must identify the assets used to provide that service, and there are four categories of assets: **ICT resources** (computers, disks, networks), **data** (not the disks but something intangible that could be deleted or modified), **location** (assets must be inside a protected room), and **human resources** (which means the group of people who possess the knowledge that must not be shared).

After considering the assets, the next step is to identify the events that could affect their normal operation. The first point is that each asset has some **vulnerabilities** (for example, disks that are vulnerable to physical damage like a hammer hitting the disk), and some vulnerabilities can pose a real **threat** depending on the environment. For example, if a disk is left in an open place, someone might use a hammer to damage the disk. However, if the disk is locked in a room where nobody can access it, the vulnerability still exists but is not a real threat.



So, the process of analyzing a service searching for risks take place as follows:

- Find the **assets** of the service to be protected;
- Finding the **vulnerabilities** of each asset;
- Finding the **threats**, giving the way in which the assets are used;

Once we identify the threats we must:

- decide for each threat which **impact** it could have (what happens if disk is destroyed? If there's only one copy it could be a disaster, but if there are many that's not a problem)
- the **event probability** of the threat. This is the last point to get the **risk estimation**.

Recap of terminology:

**Asset:** the set of goods

**Vulnerability:** weakness of an asset;

**Threat:** deliberate action/accidental even that can procure the loss of a security property exploiting a vulnerability;

**Attack:** threat occurrence (deliberate action);

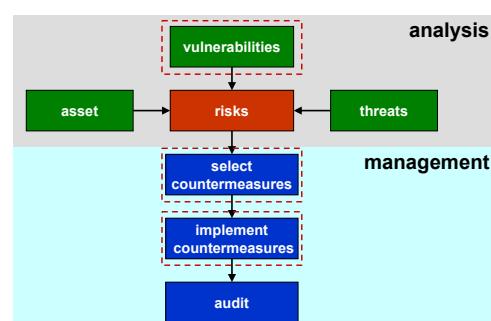
**(negative) Event:** threat occurrence

## 1.5 Risk management

To prioritize the risks, we can build a **risk assessment matrix** (or risk heat map):

catastrophic (5)	5	10	15	20	25
significant (4)	4	8	12	16	20
moderate (3)	3	6	9	12	15
low (2)	2	4	6	8	10
negligible (1)	1	2	3	4	5
	improbable (1)	remote (2)	occasional (3)	probable (4)	frequent (5)

## 1.6 Analysis and management of security



Risk estimation is only a piece for analysis and management of security. We can see that **assets**, **vulnerabilities**, **threats** give us the **risks** and then we start the **management** of the security. This means that for those risks that are not acceptable, either because they have high impact or high probability to end up in an attack, we need to select countermeasures and implement them. The last step is **audit**, that means that some independent person comes to check our work (if we correctly identified risks, selected the correct countermeasures, implemented them correctly).

In this course we will consider three of these blocks: vulnerabilities, the available countermeasures, and how to implement countermeasures.

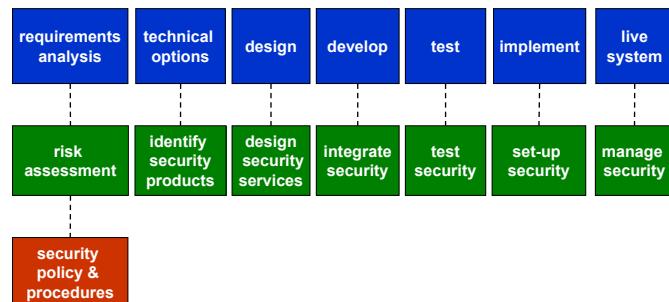
What is the correct step in the lifecycle of a system to implement security?

In brief, there is no single correct point for implementing security because it must be addressed at each stage of the design process.

In more detail, when we perform the **analysis of requirements** for our system, we must conduct a risk assessment; based on these risks, we can define security policies and procedures that we will apply throughout the rest of the system design.

When evaluating **technical options**, we also need to identify security products. For example, when choosing a database, we must consider security alongside other factors such as speed and cost. If we opt for a database that automatically encrypts data, we have already addressed a security concern. Conversely, if we choose a faster database that lacks an encryption system, we will need to design that separately, incurring additional costs and efforts.

When **designing** the services that the system will offer, we must also include the security services component. Security should be integrated at each stage of the design process, not added as an afterthought. If we create a prototype website or app without any security measures, it will be challenging to retrofit security later on.



## 1.7 Relation in the security field

During the development of our system, we must integrate security at each step and ensure that the design is correctly implemented. We must test the system, including its security aspects. An example of this is testing against unexpected inputs to ensure that the system does not accept and process incorrect inputs.

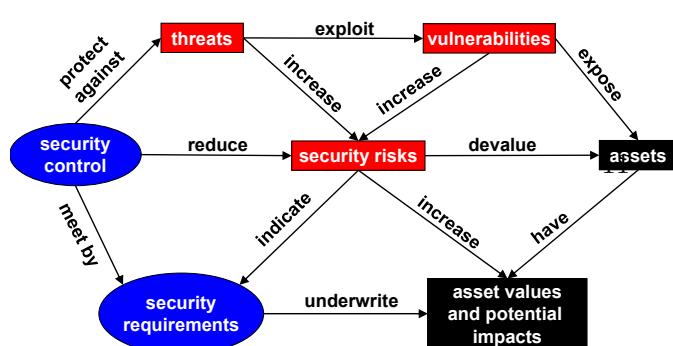
While implementing our system, it is important to establish security mechanisms. Some systems include security functions, but they are often deactivated due to associated costs (generally, activating security may slow down the system). For instance, the default password of a home router may be shared among different units, so it is necessary to change that password.

When the system is operational, security must be managed on a daily basis, as the security landscape changes constantly.

## 1.8 Relation in the security field

The black box is the system itself. Assets are exposed to vulnerabilities, and those vulnerabilities increase security risks. Additionally, threats exploit vulnerabilities, and the existence of vulnerabilities creates the opportunity for a threat.

On the left, there are the security requirements that we want to implement. Secu-



rity requirements are indicated by security risks, and security requirements are met by security controls.

The **security control** is the most important piece of the picture nowadays. It is an element placed in the system to protect against a specific threat and reduce the risks to which the system is exposed. Examples of security controls include firewalls, VPNs, and disk encryption.

Some terminology:

**Incident:** A security event that compromises the integrity, confidentiality, or availability of an information asset (generic definition).

**(Data) breach:** An incident that results in the disclosure or potential exposure of data.

- Disclosure: Occurs when data is intentionally given to someone.
- Exposure: Data becomes available to anyone who knows where to find it.

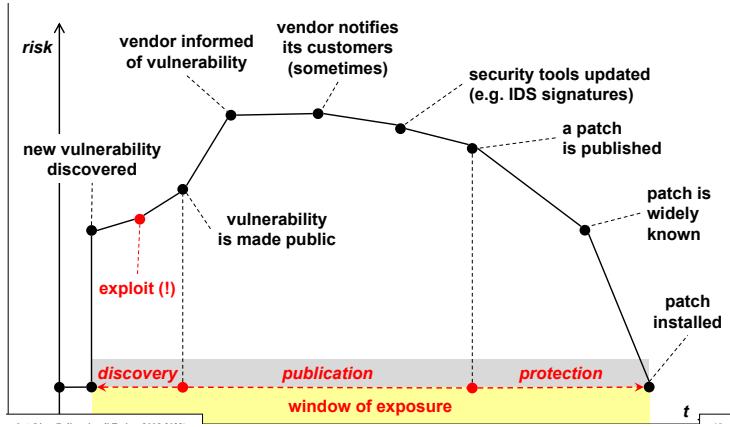
**(Data) disclosure:** A breach for which it is confirmed that data was actually disclosed (not just exposed) to an unauthorized party.

The difference between the last two is that the last one is a breach involving data that were not just exposed but also confirmed to be disclosed to an unauthorized party.

## 1.9 Window of exposure

The **window of exposure** is the time between the discovery of a new vulnerability and the installation of a patch.

Analyzing the graph from the left, we notice a consistently low level of risk, which, although it can never be reduced to 0, remains close to it. However, at a certain juncture, a new vulnerability is discovered, causing the risk to surge due to its uncontrollable nature. At a specific point (marked as the red point), an individual exploits this vulnerability to execute an attack. This action leads to the vulnerability becoming public, thereby making it accessible to everyone. This initial stage is commonly referred to as **discovery**.



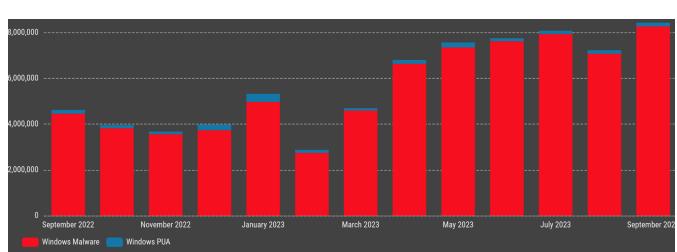
After an attack is carried out, two distinct groups of individuals become aware of it: the *bad guys* who aim to compromise the system and the *good guys* who strive to safeguard the system. Within these two categories, there is also the product vendor, who must be informed of the vulnerability. The vendor should, in turn, promptly notify its customers of the newly discovered vulnerability. While the vendor is working on fixing the vulnerability, users of that product should refrain from attempting to fix it, which is typically impossible. Instead, they should focus on updating their security tools, at the very least, to detect if the vulnerability is actively being exploited in attacks. This is the **publication** phase during which the vulnerability becomes public knowledge, and everyone is awaiting a fix while also making efforts to detect potential attacks.

Finally, at some point in time, the vendor creates a patch to fix the vulnerability. However, the patch must be distributed, and the risk only decreases when the patch becomes widely known and is eventually installed, rendering the system **protected**. The window of exposure can persist for days or even months, which is why security is an ongoing effort.

## 1.10 Some statistics

The graphic shows that there are about 10 million attacks per month using malware. That is why we should always keep our anti-virus/anti-malware updated. If we consider web servers across all sectors, 44% of servers were consistently vulnerable to attacks every day of the year.

The term **0 day** refers to the first day





when a vulnerability is reported to the public and has not yet been fixed.

The banking sector boasts the best security track record, with the lowest vulnerability rate (rarely vulnerable for 30 days or less each year).

In general, achieving strong security is challenging. Vulnerabilities can be discovered by both well-intentioned individuals (good guys) and malicious actors (bad guys). Some people investigate software to uncover vulnerabilities and inform the vendor to patch them before they are exploited by malicious actors. This proactive approach is taken to ensure patches are in place before vulnerabilities are discovered by the bad guys.

The "**0-day initiative**" (**ZDI**) discovers vulnerabilities and notifies the relevant organizations *before* making them public. ZDI typically provides a 120-day grace period from the discovery of a new vulnerability to allow the vendor to fix it before disclosing it to the public. Longer deadlines can be risky, as bad guys may discover the vulnerability during the extended time frame.

Example:

- 8 May 18: ZDI reports the vulnerability to the vendor, and the vendor acknowledges the report.
- 14 May 18: The vendor replies that they have successfully reproduced the issue reported by ZDI.
- 9 Sep 18: The vendor reports an issue with the fix and states that the fix might not be included in the September release.
- 10 Sep 18: ZDI issues a caution about a potential 0-day (which means that the vulnerability, for which a fix is not available, is going to be published).
- 11 Sep 18: The vendor confirms that the fix did not make it into the build.
- 12 Sep 18: ZDI confirms its intention to 0-day on 20 Sep 18.

## 1.11 Cyber threats

### Components

There are **three main components** in cyber threats:

- threat actors (and their motivation)
- attack vectors (vulnerabilities and context)
- vulnerable targets (value for owner and attacker)



### Motivations: *MICE*

What are the motivations behind this?

- **M is for Money:** direct transfer, blackmail, ... or indirect (e.g. data reselling);
- **I is for Ideology:** political, religious, hacktivism;
- **C is for Compromise:** individuals with no choice due to blackmail or threat against their families or themselves;
- **E is for Ego:** bragging around and positive reputation, "we do it because we can".



Figure 1.1: Threat actors

## Standardization bodies

Standardization bodies in cybersecurity are organizations that develop and publish standards and guidelines to enhance security and interoperability in computer systems and networks.

### (Cybersecurity) Standardization bodies (I)

- ISO (International Organization for Standardization)
- ITU-T (International Telecommunication Union, Telecommunication standardization sector)
  
- ISOC (Internet Society)
  - IETF (Internet Engineering Task Force)
  - IRTF (Internet Research Task Force)
  
- NIST (National Institute of Standards & Technology)
- ANSI (American National Standards Institute)

### (Cybersecurity) Standardization bodies (II)

- ETSI (European Telecommunications Standards Institute)
- CEN (European Committee for Standardization)
- CENELEC (European Committee for Electrotechnical Standardization)
  
- BSI (British Standards Institution)
- UNI = Italian national body for standards (unification)
  - UNINFO = UNI body for information technologies and their applications
  
- generically named SDO (Standards Developing Organization), or SSO (Standards Setting Organization)

## 1.12 What is Security?

"Security is a process, not a product".

(Bruce Schneier, Crypto-Gram, May 2005)

If we have learned anything from the past couple of years, it is that **computer security flaws are inevitable**. Systems break, vulnerabilities are reported in the press, and still many people put their faith in the next product, or the next upgrade, or the next patch. "This time it's secure," they say. So far, it has not been. **Security is a process, not a product**. Products provide some protection, but the only way to effectively do business in an insecure world is to put processes in place that recognize the inherent insecurity in the products. **The trick is to reduce your risk of exposure regardless of the products or patches**.

## Security Principles

To make it possible, it is necessary to follow some security principles:

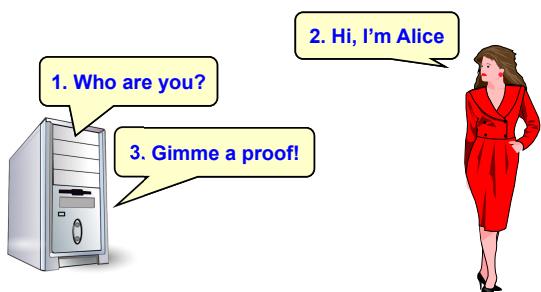
- **Security in depth:** if the enemy can defeat the first line of defense, there must be a second line to stop the attacker. Do not rely on just one defense, as that defense may have a bug or problem. It is better to have multiple levels of defense, so as the attacker breaks through the defenses, it will become increasingly difficult to keep penetrating.
- **Security by design:** this means that the security design is integrated into the system from the beginning and not added as an afterthought.
- **Security by default:** users should not have the choice to activate or deactivate security. Security should be enabled by default, and it should require significant effort to disable security features.
- **Least privilege:** this principle dictates that any element operating within the system should be assigned the minimum amount of privileges necessary to perform its task. Imagine a scenario where a system has excessive privileges, and it is being attacked by a virus. The virus could gain access to everything because of the excessive privileges.
- **Need-to-know:** this principle emphasizes that access to any component of the system should be granted only for the data required to execute a specific task. For example, in the case of Amazon, several people work within the system. When a customer places an order on Amazon, the first person handling the order can only see the details of what was ordered. They do not have access to information about who placed the order or the destination of the goods.

### 1.13 Security properties

Authentication (simple/mutual)	autenticazione (semplice/mutua)
Peer authentication	autenticazione (della controparte)
Data/origin authentication	autenticazione (dei dati)
Authorization, access control	autorizzazione, controllo accessi
<b>Integrity</b>	<b>integrità</b>
<b>Confidentiality, privacy, secrecy</b>	<b>riservatezza, confidenzialità</b>
Non-repudiation	non ripudio
<b>Availability</b>	<b>disponibilità</b>
Traceability, accountability	tracciabilità

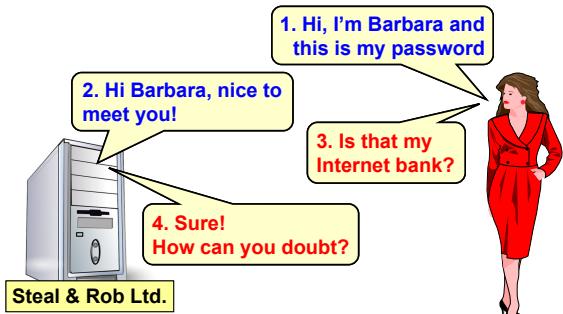
### 1.13.1 Authentication

#### Simple peer authentication



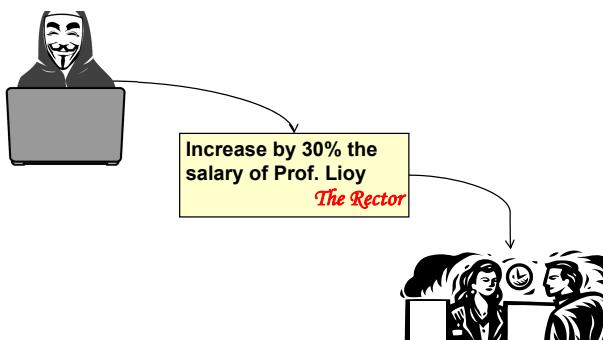
When there is communication between two peers that take part in the communication, they must authenticate. Two entities are considered peers if they implement the same protocol on different systems; for example, two TCP modules in two communicating systems. Computer systems usually ask some questions like the ones in the picture. Typically, for a proof, we provide a password. This is simple authentication: only one party authenticates. It attempts to provide confidence that an entity is not engaging in either masquerade or an unauthorized replay of a previous connection.

#### Mutual peer authentication



In mutual peer authentication, we also require a formal proof that we are connected to the real server. A fake server could display the same page as the ordinary bank just to obtain credentials from the user. When we connect to a server, we typically trust the server, but we need proof. Both parties authenticate each other.

#### Data origin authentication



Someone could write an email to request an increase in someone's salary, signed by the director, but there is no proof that the email came from the director. An electronic email typically lacks proper authentication. The same issue applies to files. Data are usually not authenticated, so there is a need for an authentication system for data as well.

### 1.13.2 Non-repudiation

Non-repudiation is a **formal proof** that is admissible in a court of law, providing **undeniable evidence of the data's creator**. Non-repudiation prevents either the sender or receiver from denying a transmitted message. This has several implications because we not only need **authentication** but also **integrity**. If someone alters data in a document, it should be detectable because it is no longer the original authenticated document.

There is a difference between authentication and identification. Authentication involves using electronic means to verify identity, such as a username and password. However, if the password is stolen, it raises doubts about whether it is the legitimate user or someone else. On the other hand, identification is much stronger, as seen in technologies like the Touch ID on smartphones, where the user is the only one capable of performing that operation.

**Beware!** The concept of "non-repudiation" is typically associated with not only technical aspects but also with specific procedures carried out voluntarily. We rarely achieve non-repudiation with protocols or procedures that automatically perform actions on behalf of the user.

#### Example of non-repudiation

Let's consider non-repudiation of an electronic signature:

- Syntax (is that your signature?)
- Semantics (did you understand what you were signing?) - what you don't understand has no legal value (for example the small lines in a document that are not understandable).
- Will (have you signed voluntarily?)
- Identification (was really YOU the signer?)
- Time (when did you sign?)
- Place (where did you sign?)

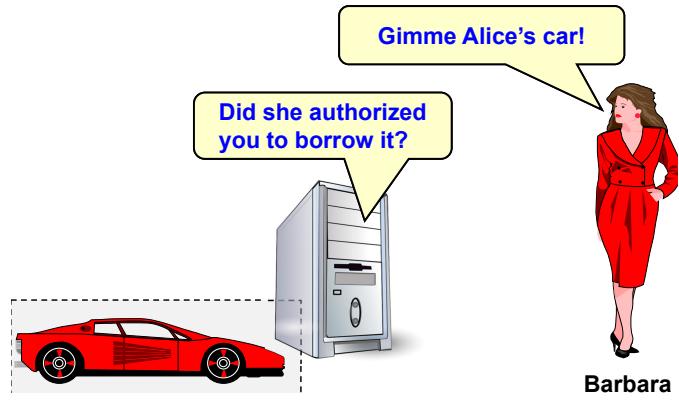
The electronic signature is a set of bits that represent the signature of a person. If we do not know where these bits must be placed to represent the signature, we cannot know which person the signature belongs to. For these reasons, there are specifications for electronic signatures.

### 1.13.3 Availability

An availability service is one that protects a system to ensure its availability. This service addresses the security concerns raised by denial-of-service attacks. It depends on proper management and control of system resources and thus depends on access control service and other security services.

### 1.13.4 Authorization (access control)

Authentication means identifying the actors in the system. After authentication, we can make decisions. For example, in the figure, Barbara has correctly identified herself and then asks the computer to "open the box of Alice's car" and the computer performs an **authorization decision (or access control)**. This is because the car in the box does not belong to Barbara. Therefore, a system must also determine if someone is authorized to perform an operation or not.



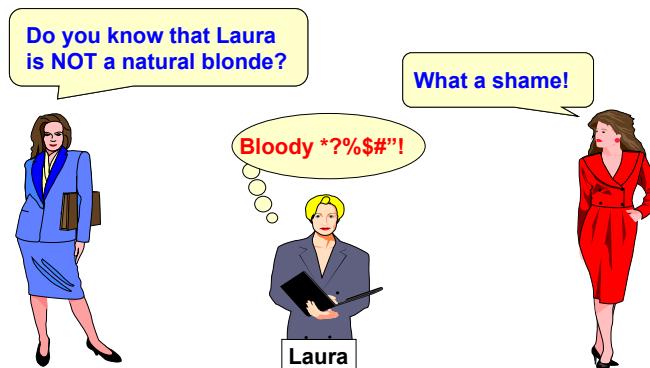
### Important difference!

- **Authorization** is the process of verifying whether you, who have already been authenticated, are permitted to perform a particular operation.
- **Authentication** is the process of identifying the users of a system.

### 1.13.5 Privacy

#### Privacy (communication)

Privacy has several meanings. Communication privacy, for example, ensures that when two peers are communicating, it should not be possible for a third party to understand the communication. Even if someone can intercept the data passing through the network, no one (except the two end users) should be able to comprehend the contents of the communication.



#### Privacy (data, actions, position)

- **Data privacy:** Even if we have access to the physical location where data is stored, we might not be able to access the data.

- **Privacy of actions:** Companies have the right to monitor the websites visited by users, as do law enforcement agencies, especially in cases related to anti-terrorism laws. In Italy, all data sent over the network are stored for 7 years in case of future investigations.
- **Privacy of location:** Information about the location of users is available to those who manage the network. When defining the security properties of an application, we must consider concerns related to data, actions performed over the network, and potentially the location from which the communication originates.

### 1.13.6 Integrity

#### Integrity (data modification)



It means that if data have been modified, we are able to detect it. It doesn't mean that nobody can change the data; that's impossible. Network managers can always read and potentially modify data. That's why integrity refers to the detection of modified data.

#### Integrity (data cancellation/filtering)



Data can also be deleted, so we must ensure that if data deletion occurs, we are able to detect it. This is more challenging to detect because the receiver does not receive any notification (and does not have any hint that the payment shown in the picture should have been received).

### Reply attack



Data sent over the network can be encrypted and thus made non-modifiable by the network manager. However, it is still possible to record the message sent over the network and replay it multiple times. Authentication will pass because the message is not modified, and it may not be easily understood by developers.

## 1.14 Data Protection

During the explanation of security properties, we always talked about protecting data. There are three types of data protection:

- **Data in transit:** when data are transmitted over a communication channel.
- **Data at rest:** when data are stored in a memory device.
- **Data in use:** when data are in RAM for use by a process.

### Where is the enemy?

To defend something, we must know where the enemy is. There are a few possibilities:

- **Outside our organization:** In this case, perimeter defense using a firewall is required.
- **Outside our organization, except for our partners:** In this scenario, the firewall needs to be supplemented with protected paths or routes that allow communication between trusted users. This requires extranet protection, often in the form of a VPN (Virtual Private Network), which extends the intranet to include trusted partners.
- **Inside our organization:** In this case, we should focus on protecting the Local Area Network (LAN) and intranet applications, which can be challenging as we need to facilitate information sharing among users on the same network.
- **Everywhere:** Since attackers can be both inside and outside the organization, security measures must be implemented at the application level. Furthermore, since applications handle data, data protection must be independent of the physical location where the data is stored. For example, if a service like Dropbox is used but is not considered secure by the company, it is possible to encrypt the data before uploading it to Dropbox.

An example of this is an article from Corriere in 2009, which reported: "US PCs sold at the Peshawar market: Computers of the US army with restricted data sold for 650\$ along the road where NATO troops are attacked by the Taliban. Still full of classified information, such as names, sites, and weak points.

### Threat model: where is the enemy? Which actions can it perform?

- **MITM (Main-In-The-Middle):** Sits between two peers A and B;
- **MATE (Main-At-The-End):** Resides inside one peer;
- **MITB (Main-In-The-Browser):** Resides inside one specific component of one peer (typically the web browser);
- **Passive Attacker:** Can only read the data/traffic;
- **Active Attacker:** Can read, but also modify, delete, or create data/traffic.

### Basic problems (technological)

- The networks are insecure:
  - Most communications are made in clear (unless you take some actions);
  - LANs operate in broadcast (sending messages to everybody, and "if it's not for you, don't read");
  - Geographical connections are NOT made through end-to-end dedicated lines but through shared lines or through third-party routers.
- Weak user authentication (normally password-based);
- There is no server authentication;
- The software contains many bugs.

## 1.15 Some classes of attacks

### Passive and active attacks

A useful means of classifying security attacks is in terms of *passive attacks* and *active attacks*.

A **passive attack** attempts to learn or make use of information from the system but does not affect system resources. Examples of passive attacks are:

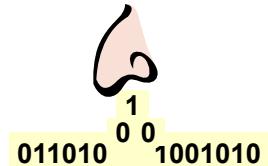
- **Packet sniffing:** The content of network packets (e.g., passwords and/or sensitive data) is read by unauthorized parties.
- **Traffic analysis:** Even if the packet content cannot be understood by a third party, an opponent could extract some information about the nature of the communication taking place.

An **active attack** attempts to alter system resources or affect their operation, involving some modification of the data stream or the creation of a false stream. Examples of active attacks are:

- **IP spoofing / shadow server:** Someone uses the address of another host to take its place as a client (and hide its own actions) or as a server.
- **Connection hijacking / data spoofing:** Data is inserted/modified/cancelled during its transmission.
- **Denial-of-service / distributed DoS:** The functionality of a service is limited or disrupted (e.g., ping bombing).

### 1.15.1 Packet Sniffing (Eavesdropping)

Packet sniffing refers to reading packets addressed to another network node. It is easy to do in a broadcast network (e.g., LAN) or at switching nodes (e.g., router, switch). This is possible by putting the network card in promiscuous mode, which means that the card will read every packet passing through the device. This kind of attack allows intercepting anything (passwords, data, etc.). Some possible countermeasures are avoid using broadcast networks or encrypt the packet payload (if a non-broadcast network is not possible).



### 1.15.2 Traffic Analysis

Traffic analysis is more subtle than packet sniffing. Suppose we had a way of masking the contents of messages or other information traffic so that opponents, so that the content of the messages cannot be understood by a third party. Even with encryption in place, the opponent could determine the location and identity of communicating hosts and could observe the frequency and length of messages being exchanged. This information might be useful in guessing the nature of the communication that was taking place.

### 1.15.3 IP Spoofing (Masquerading)

IP spoofing means forging the source network address. Typically, the level 3 (IP) address is forged, but it is equally easy to forge the level 2 address (e.g., ETH, TR, etc.). A better name would be source address spoofing. This is typically used for attacks where an answer is not needed. If everybody is in the same subnet, due to the broadcast function, it is also possible to read the replies. Attacks of this type go for data forging and unauthorized access to systems. The countermeasure for this type of attack is to never rely on address-based authentication: generally, network addresses should not be trusted.



#### 1.15.4 Denial-of-Service (DoS)

Denial-of-service refers to keep a host busy so that it cannot provide its services. For example, in public administration for a call to a competition, offers can be sent until a date. We can make an offer and stop all the others from sending email. A possible solution is to send tons of email keeping the server busy until the message "Message did not deliver because the destination mailbox is full". We saturated the mail service. Other examples:

- **Mail/log saturation:** As explained before.
- **Ping flooding ("ping bombing"):** The ICMP echo request usually uses a small number of bits (8 bytes) and starts a timer waiting some seconds for a response. We could use the largest amount of bytes possible: 64 Kbytes. We should send a lot of echo requests at maximum speed without starting timers. This will keep the host busy answering all these packets and prevent it from performing other tasks.
- **SYN flood:** It is a form of denial-of-service attack in which an attacker rapidly initiates a connection to a server without finalizing the connection. The server must spend resources waiting for half-opened connections, which can consume enough resources to make the system unresponsive to legitimate traffic (see TCP SYN flooding).

Usually, DoS attacks block the use of a system/device, and there are no countermeasures for it because it is not possible to know if someone connecting to the service is intentionally keeping the service busy or not. In other words, DoS is quite like a high increase in customers using that service. Monitoring and oversizing can mitigate the effects. Every time there is an alert that some threshold is passed, for example, resource saturation, it is time to investigate. It could be a system problem or a security problem. Security and system managers must work together.

#### 1.15.5 Distributed Denial-of-Service (DDoS)

DDoS is essentially the same kind of attack as a DOS attack but magnified by the number of attackers simultaneously targeting a victim. Typically, a small number of individuals gain control of numerous nodes by installing DoS software on them. Each compromised node is often referred to as a **daemon**, **zombie**, or **malbot**, with the aim of creating a **botnet**.

A botnet is a network of compromised computers (*slaves*) controlled by a *master*. The master typically uses a **command and control (C&C)** infrastructure, which can be either client-server or peer-to-peer. The communication between the zombies (compromised computers) and the master is often encrypted or routed through "covert" channels. Covert channels are intended to mislead investigations; for example, information may be concealed by embedding it in **UDP packets** within **ICMP Request** messages, making it harder to detect since ICMP messages are common in normal network traffic.

These bots are sophisticated, and there have been cases where they can auto-update themselves to execute new attack techniques; by increasing the number of daemons (compromised computers), the impact of the attack can be magnified.

Other techniques to improve the attack include using a "**reflector**". This involves utilizing a potentially legitimate third-party component to relay the attack traffic to the victim. This approach offers two main advantages:

- **It hides the attackers' identities:** attackers send packets to the reflector servers with a source IP address set to their victim's IP (IP spoofing), indirectly overwhelming the victim with response packets.
- It **multiplies the effect** through an "**amplification factor**" N:1 (typically protocol-dependent), where the reflector server's response size is much larger than the request size. For example, when making a specific query to DNS, the DNS response can be up to 70 times larger than the request.

### DDOS attack



There is an attacker and a victim. The attacker somehow creates a network of daemons, then selects some nodes to be masters (usually more than one for redundancy), which are part of the botnet. The attacker sends the IP address to attack and then *disconnects from the network* to avoid being tracked. The other masters will coordinate the work of the daemons, which must perform the attack at the same time. Masters do not directly take part in the attack; they try to remain hidden as much as possible to avoid being detected during an investigation because this would stop the attack. The huge class of amplification factors that daemons can create can overwhelm the victim.

Masters and daemons are not real individuals but part of the botnet. The only human involved is the attacker, who disconnects after initiating the DDOS attack.

### Case history: DDoS towards Yahoo Server Farm

The first well-known attack of this kind occurred on Feb 8th, 2000, at 10:30 am (PST) against the Yahoo Server Farm. Administrators wrote a report that stated:

- "The initial flood of packets, which we later realized was in excess of 1 Gbit/sec, took down one of our routers..."
- "... after the router recovered, we lost all routing to our upstream ISP..." This happened because an internet connection requires two routers. Yahoo's people rebooted their own router, but at the other end of the communication link, the router was still down.
- "... it was somewhat difficult to tell what was going on, but at the very least, we noticed lots of ICMP traffic..."
- "... at 1:30 pm, we got basic routing back up and then realized that we were under a DDoS attack."

Later, the attack was traced back to the 15-year-old Canadian boy Michael Calce (aka MafiaBoy). Even if getting to the attacker is not always possible, a U.S. lawyer can easily identify who the daemons are and seek refunds from them, even if the daemon was a victim of the attacker, for example, if the attacker took control of a computer for DDoS purposes.

### Case history: DDoS towards "Krebs on Security" Blog

On September 27, 2016, the administrator of the blog received a DDoS attack that generated 665 Gbps, and it was generated by a botnet of IoT devices (or claimed to be such). There was no use of any reflectors or amplifiers, just millions of devices that performed perfectly valid requests. It seemed like millions of users wanted to connect to the website at the same time. This generated a significant amount of traffic, and even though the blog was hosted on Akamai, the traffic was so substantial that the company had to give up and made the blog unreachable by dropping that destination from their routing table on September 29. There was no known reason for the attack; perhaps it is connected to Krebs' analysis of similar attacks against online game servers.

#### 1.15.6 Shadow Server / Fake Server

In **shadow server** attacks, a host manages to impersonate itself as a service provider to victims without having the right to do so. There are two techniques to achieve this:

- If the attacker **can sniff requests and spoof responses faster than the real server**, the latter will not be able to communicate because the second package will be discarded, considering it a duplicate.
- **Routing or DNS manipulation**, mapping the real name to the IP of the shadow server.

The attacks that can be carried out in these scenarios include:

- **Issuing incorrect answers**, providing a "wrong" service to victims instead of the real one.
- **Capturing victim's data** provided to the wrong service.

The countermeasure to this type of attack is to **require server authentication**.

#### 1.15.7 Connection Hijacking / Man In The Middle (MITM)

It is also referred to as **data spoofing**, and this form of attack involves gaining control of a communication channel to insert, delete, or manipulate the traffic. This can be achieved through logical means (by altering the network's routing) or physically (if one can physically access a router or switch).

These attacks can be executed for various reasons, such as eavesdropping, inserting false data, and altering data exchanged between two parties.

Countermeasures include **authentication**, ensuring data **integrity** (verifying whether it has been altered), and packet **serialization** (ensuring that no packets are added or deleted, and that packets are received in the same manner as they were sent) for each individual network packet.

#### 1.15.8 Trojan

A Trojan is a program that hides a malicious payload within a seemingly harmless exterior. Despite the increasing security of network channels, user terminals have become more susceptible to such attacks. This vulnerability extends to devices like smartphones, smart TVs, and various Internet of Things (IoT) devices.

These attacks tend to target less tech-savvy or "ignorant" users. Attack tools can take on various forms, from traditional methods like embedding keyloggers within seemingly innocuous applications to more contemporary approaches, such as malicious browser extensions.

Trojans are frequently used to carry out two distinctive types of attacks: "**Man-At-The-End**" (*MATE*) and "**Man-In-The-Browser**" (*MITB*).

#### 1.15.9 Zeus

The Zeus attack, also known as **Zbot**, is a major malware threat combined with a botnet. It was first discovered in 2007, and law enforcement has been actively seeking the owner of this network. The owner claimed to have sold it in 2010.

Zeus can be used in the following ways:

- Directly: For example, it can be employed for Man-In-The-Browser (MITB) attacks to perform keylogging or form grabbing (software that reads data in a form).
- Indirectly: It can also be used to deliver other malware, such as the CryptoLocker ransomware.

Zeus is challenging to detect and remove because it employs stealth techniques to conceal itself. In the USA alone, there are approximately 3.6 million active copies of Zeus.

### 1.15.10 Software Bug

Even the best software can have bugs, which can be exploited for various purposes. One common way to exploit a software bug is to create a Denial of Service (DoS) attack.

For instance, there was an attack against the WinNT server (versions 3.51 and 4.0) where attackers discovered that the server hosted a service on an undocumented TCP port 135. They attempted to communicate with this port by sending 10 random characters followed by a carriage return (CR). This caused the server to become unavailable, as it experienced 100% CPU load even though it was not performing any useful work.

The issue stemmed from the fact that at port 135, there was a new Microsoft-only service that was a remote procedure call (RPC) and had a bug. When it received a malformed packet, it went into an infinite loop, continuously asking, "where is a good packet?" Since the ARP server (?) was part of the operating system's kernel, it consumed 100% of the CPU without the possibility of interruption. Microsoft developed a solution with Service Pack 3 (SP3), in which they addressed and corrected this bug.

## 1.16 Virus & Co. (malware)

- **Virus:** A virus is a malicious program that damages the target and then duplicates itself. It is propagated by humans involuntarily.
- **Worm:** A worm damages the target indirectly by replicating itself to the extent that it achieves resource saturation. Additionally, a worm will attempt to propagate automatically. Worms are usually more challenging to detect because the damage they cause may resemble normal activity unless a careful analysis of the network pattern is conducted.
- **Trojan Horse:** The Trojan horse is a program that carries some malware. It may appear to be a valid program but can also install malware.
- **Backdoor:** A backdoor is a piece of software that provides an unauthorized access point. While illegal, it is common among developers. For example, if a developer is concerned about not being paid, they might create a backdoor to gain access in case of payment problems.
- **Rootkit:** A rootkit is a set of tools that provides privileged access. It is hidden, often disguised as a modified program, library, driver, kernel module, or hypervisor. Due to its stealthy nature, rootkits are challenging to detect and remove.
- **PUA (Potentially Unwanted Applications):** It's a sort of grayware, not directly dangerous.

### Virus and worm (malware)

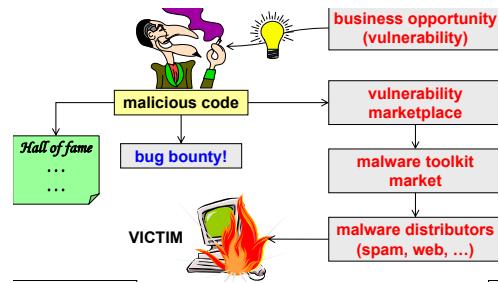
Virus and worms require some kind of complicity (may be involuntary) from **the user** (gratis, free, urgent, important), the **system manager** (wrong configuration), **the producer** (automatic execution, trusted).

Some countermeasures are user awareness, correct configuration/secure software, antivirus (installed and updated).

### Malware food chain

When someone discovers a vulnerability, it can be exploited for the development of malicious code (for example, this code could be used to gain access to a website and manipulate its data). Such information can be exploited in two ways:

- Especially in the past some individuals may perform the attack primarily for the sake of recognition, like being in a "Hall of Fame".
- More recently, individuals have been motivated by business interests. They sell the information about the vulnerability or a working code that exploits it on the *vulnerability marketplace*, a hidden marketplace and is found exclusively on the internet, on dummy servers that appear for a brief time during the night.



Payment for these vulnerabilities is often made using cryptocurrency. The buyers of these vulnerabilities are typically the **malware toolkit makers**, which are used to develop attack programs that incorporate malware; malware distributors, such as spammers and website owners, then employ this type of software for their illicit activities.

### Zeus: Cyber Theft Ring

It often happens to receive an email that asks you to send money outside of the country in exchange for a large sum of money. If you accept this request, you will supposedly receive the money. They may begin by testing you, sending a small amount of money, for example, \$10,000, and then ask you to send \$7,000 to Nigeria, allowing you to keep \$3,000 for yourself. However, by agreeing to this, you will be facilitating money laundering, as the funds are stolen from someone's bank account and transferred to you, making you appear responsible. You will then send the money via MoneyGram, while the individuals in Nigeria will disappear, leaving you to face potential legal consequences. This scenario is a typical case of acting as a 'money mule,' which involves accepting funds from a stolen bank account and forwarding them to the intended destination."

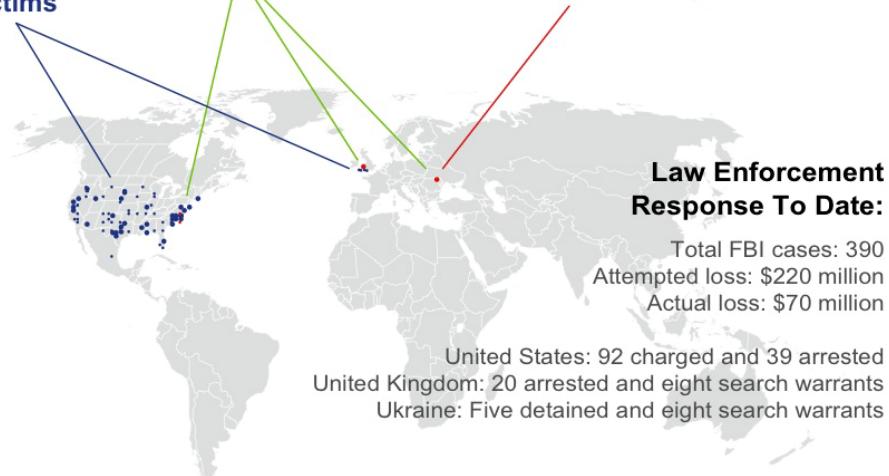
## Cyber Theft Ring



source: [http://en.wikipedia.org/wiki/File:FBI\\_Fraud\\_Scheme\\_Zeus\\_Trojan.jpg](http://en.wikipedia.org/wiki/File:FBI_Fraud_Scheme_Zeus_Trojan.jpg)



source: [http://en.wikipedia.org/wiki/File:FBI\\_Fraud\\_Scheme\\_Zeus\\_Trojan.jpg](http://en.wikipedia.org/wiki/File:FBI_Fraud_Scheme_Zeus_Trojan.jpg)



source: [http://en.wikipedia.org/wiki/File:FBI\\_Fraud\\_Scheme\\_Zeus\\_Trojan.jpg](http://en.wikipedia.org/wiki/File:FBI_Fraud_Scheme_Zeus_Trojan.jpg)

Most of the victims are based in US or UK. The mule organization is spread across many countries: US, Europe, British Ireland, but malware coders/exploiters are typically in the East Europe. In the picture there are also numbers about the Law Enforcement Response.

## Ransomware

Ransomware is a type of malware that is designed to extort a **ransom**, typically by demanding money in exchange for releasing something. When ransomware infects a computer, it usually encrypts the contents of the disk, rendering them unreadable. This also applies to tablets and smartphones, for example, by changing access passwords to data.

However, paying the requested ransom (usually in bitcoin) does not always guarantee the recovery of your data. This is because the key required to unlock the files is typically stored on a server that could be shut down as a result of a police investigation. As a result, the attacker may no longer have access to the key used for locking the data.

## Ransomware-as-a-service

For some time, there was a *ransomware-as-a-service*, in which individuals provided the source code for ransomware; this enabled people to use ransomware even if they had little understanding of how it worked. This service was known as the **TOX malware** and operated on the **TOR anonymous network**. TOX would demand a ransom and handle the payment, charging a 20% service fee. The "customer" has the only task to distribute it to the victims (for example by leaving a USB pen in public places). TOX saw rapid growth, with around 1,000 customers per week and infecting approximately 100 devices per hour.

Even though TOX was eventually stopped by law enforcement, ransomware threats continue to exist. Technology alone is insufficient for protecting against ransomware. While having a robust anti-malware system installed is helpful, it's important to note that this type of attack targets not only end-users but also servers.

## Ransomware: Not only technology but also procedures and organization

Ransomware typically **encrypts data** that can only be restored with the proper decryption key. Regular backups can provide a potential solution, but is that enough?

- **How old is the backup?** Consider the age of your backups. Even if you create daily backups, ransomware developers are aware of this practice and have developed a stealthy variant known as silent ransomware. *Silent ransomware* infiltrates your system but instead of encrypting your current data, it targets your backup files. This can continue for several days, rendering your backups unreadable, and it may only be discovered later that the backups are corrupted.
- **Offline or Network Backups?** Backups should be made offline. For instance, consider a case where a dentist's office used a Network-Attached Storage (NAS) system for continuous backup of local data; ransomware found this setup and encrypted the data on the network storage, rendering the backups useless.

To enhance security, an inverted backup technique is recommended. In this approach, the backup disk is not directly connected to the target PC (the one whose data is being backed up). Instead, there should be another node that remotely mounts the target PC's disk, makes a copy, and then disconnects from the target PC. Even if the target PC is infected, this process remains unaffected. Importantly, the backup node should not be connected to the network to prevent potential malware interference.

- **Verified or "Trusted" Backups?** Have you verified the correctness of your backups, or have you merely trusted them? There is a well-known case in Sweden where magnetic tapes used for backups were corrupted during transportation due to heated seats generating currents on wires, creating magnetic fields that damaged the tapes. This issue was only discovered when data corruption occurred, and there was a need to access the backups.
- **When was the attack?** To identify the correct backup, it's essential to know the timestamp of the attack. There have been cases, such as a video archive, where determining the accurate backup was challenging. In this example, a journalist looking for video clips noticed inconsistencies in file names. While backups existed, determining which one was correct depended on when the attack took place. Without knowledge of the attack's timing, selecting the right backup for restoration becomes impossible.

## 1.17 Basic problems (non-technological)



Figure 1.2: Even the most sophisticated technological tools can be made useless by human error

- **Low Problem Understanding (Awareness):** People often lack awareness of security issues and tend to implement security measures only after experiencing problems. This behavior stems from the belief that such issues will not affect them.
- **Human Mistakes (Especially When Overloaded or Stressed):** Errors are more common when individuals are under stress or overwhelmed with work.

- **Inherent Human Trust:** Humans tend to have a natural inclination to trust others, especially in online interactions where face-to-face contact is absent.
- **Complex Interfaces/Architectures Can Mislead Users and Lead to Errors.**
- **Performance Decrease Due to the Application of Security Measures:** Consider the example of a company that sought Lioy's assistance with antivirus software. Although the company claimed to have the best antivirus, they frequently experienced virus infections that disrupted their system. Lioy visited the company's premises and examined everything, including antivirus installation and updates. While exploring the office to understand the problem, he noticed a desktop with the antivirus icon disabled. Lioy inquired about this, and an employee explained that the antivirus's file scanning process caused delays in accessing files, prompting employees to disable it temporarily for more efficient work. Surprisingly, all employees followed the same practice. Lioy concluded that the company had a non-technological problem.

### Social engineering techniques and strategies

In the context of information security, **social engineering** is the psychological manipulation of people to induce them into performing actions or divulging confidential information. Typically, the targets are **naive users**, such as those who may be urged to "immediately change their password due to an alleged PC attack". However, even experienced users can be targeted, for instance, by replicating a genuine email but altering its attachment or URL.

These tactics are employed through various channels, including email, phone, fax, and even physical documents; they often rely on **psychological pressure**. Usually, this is achieved in two ways:

- By exploiting human empathy towards a friend or a co-worker, for example generating a fake message conveying a message like "Help me, otherwise I'll be in trouble...", leading to some action that could be against best practices, creating an opportunity for an attack.
- By pretending to be a boss, also asking for something that could be forbidden, under the threat "do it, or I'll report it to your boss...".

In general, those who run these psychological attacks try to show familiarity with the company's procedures, habits, and personnel to gain trust and make the target lower his **defenses**.

### Phishing(pronounced "fishing")

It is an attack technique based on attracting a network service user to a fake server (shadow server), using mail or IM, for acquiring his authentication credentials or other personal information, or persuading him to install a plugin or extension which turns out to be a virus or a Trojan. An example message can look like: "Dear Internet banking user, please fill in the attached module and return it to us ASAP according to the privacy law 675...". There are some variants:



- **Spear phishing**, when the message includes several personal data to disguise the fake message as a legitimate one (e.g., email address, name of Dept/Office, phone number, etc.)
- **Whaling**, when the target user holds a position of responsibility or power, such as a CEO or CIO. This has two main advantages from the attacker's point of view: the damage done by deceiving these types of people can be much greater, as their credentials can give the attacker great power; these people generally occupy a commercial and administrative position, which usually means that they do not have the necessary knowledge to handle security issues.

## Pharming

It's a term of controversial use and embodies a set of several techniques to redirect a user towards a shadow server by:

- changing the "hosts" file at the client.
- changing the nameserver pointers at the client.
- changing the nameservers at a DHCP server (e.g., an ADSL/Wireless router).
- poisoning the cache of a nameserver.

This could happen via a **direct attack** if there is a vulnerability or misconfiguration, or via an **indirect attack** with a virus or worm.

## Fake Mail / IM

Creating a fake email is relatively easy, but maintaining the right "tone" can be challenging. For instance, questions like "Should I sign the emails as Antonio Lioy, or just Antonio, or A. L.?" need to be addressed, as an incorrect tone can lead to the detection of the fake email. The creation of fake SMS or instant messages (IM) is also possible, allowing for various deceptive scenarios:



- *Fake ATM withdrawal* messages that prompt the recipient to call a specified number, which then solicits their confidential credentials.
- *Fake kidnapping alarms*, often delivered via IM. It is not uncommon for individuals, particularly older men, to approach the police claiming, "Help me because my daughter has been kidnapped, and they demand 200,000 euros for her release." In reality, the person making the request is often a woman met on social media who has established a fake relationship with the victim to elicit empathy and financial support.

**Case history: "Mr. Confindustria in Brussels tricked by a hacker: 500,000 Euros lost. Fired." (Repubblica journal on 30th September 2017)**

"Transfer immediately half a million to this foreign bank account." However, this email was sent by a hacker, and the money disappeared. The fake order was (seemingly) signed by Director Panucci: "Please execute and don't call me because I'm out of the office with the president."

**Final message:** all the employees should be trained in the security problems of modern life.

**Case history: T.J. Maxx attack (2007)**

In 2007, there was an attack against T.J. Maxx, a chain of shops. The attack occurred externally, allowing the attacker to access 45 million credit card numbers from customers. The attack lasted for about 18 months, ending in January 2007. It gained notoriety when a group of 300 banks, affected by the attack as they had to refund customers, initiated a class action lawsuit against T.J. Maxx, seeking a \$10 million refund. This was due to the fact that, even though it was known in 2007 that the wireless protocol WEP was insecure, T.J. Maxx continued to use WEP instead of WPA. The attacker didn't need to physically enter the shop; instead, they could sit in a nearby park with a laptop and appropriate software, intercepting all transactions between the cash register and the back-end server. The attack was carried out by 10 people (3 USA, 3 UKR, 2 CHN, 1 BEL, 1 EST + "Delpiero") with the assistance of an ex-hacker who had been hired by the US Secret Service.

This practice is not uncommon; when an attacker is apprehended, they are often given the opportunity to work for the government as a consultant or to help prevent future attacks."

Considering the legislation, in general, the law does not prescribe specific security measures to be taken, but merely advises establishing defenses in accordance with the state of the art. This means that a security manager must always stay up-to-date and apply the most recent solutions against new types of attacks.

### Case history: US Air Force phishing test transforms into a problem (04/2010)

In April 2010, during an Operational Readiness Exercise (ORE) at Andersen Air Force Base located on Guam Island, a phishing email was used to test the response of airmen. The email, sent by security testers, claimed that film crews were starting production of 'Transformers 3' on Guam and invited airmen to submit applications on a website if they wished to work on the shoot. The website then requested sensitive information, which the airmen should have been trained not to provide. The outcome of this exercise became widely known because one of the airmen posted the news outside the base, reaching the civilian world. As rumors spread that the highly anticipated film was coming to Guam, local media began contacting the base, leading to efforts to clarify the situation.

Andersen AFB's leadership expressed regret for any confusion caused by this phishing exercise. They emphasized the importance of individuals being cautious about the genuine threat posed by phishing emails, with the hope that others can learn from this exercise.

## 1.18 Some important recent attacks

The first attack discussed is **Stuxnet**, known for being the first cyber-attack to cause physical damage. Next is **Black Energy**, one of the most well-known attacks used against critical infrastructure, such as the electrical grid distribution. Finally, we have **Mirai**, **BlueBorne**, and **BrickerBot**. These attacks are all targeted at IoT devices, embedded systems, the automotive sector, and home devices.

### 1.18.1 Against Cyber-Physical Systems

#### Stuxnet (2010)

It is important because it is the prototype of a new kind of attack, since it caused physical damage (like a virus) and it attempts to propagate itself to other systems (like a worm): the target were **SCADA systems** of a specific manufacturer attached to the infected nodes. SCADA stands for Supervisory Control and Data Acquisition, and they are those computer systems that control process plants or machinery, for example production systems for cars, food, and so on. Since SCADA is the interface between computer and physical reality, an attack against it could damage physical system components. Stuxnet was a very sophisticated attack because this worm contained four attack vectors:

- one was based on an already known vulnerability (for which a patch existed);
- one exploited an already known vulnerability, but for which no patch was available (for those who got the patch for the first one);
- two "zero-day" vulnerabilities (if there were countermeasures for the known vulnerability).

**Attack mode** It seems that the first infection came through a USB pen inserted in one of the computers that managed the SCADA system. Then it propagated through all the computers connected to the network of the enrichment plant in Iran thanks to shared disks, Microsoft Spooler Subsystem and RPC services bugs. The infection via USB key was possible because inside that network of computer there was no internet connection, and a USB Key was required for updates of SCADA systems. It seems that the technician arrived from another country for the maintenance with the USB pen (and good software in it), got distracted in the hotel from some girls while someone added to the USB pen the malware. This malware was disguised as a driver (pretended to be a driver) with a digital signature validated by Microsoft and used two different certificates. Additionally, the guilty was not only from Microsoft but also of the developers, because the SCADA system had only one shared default password for the back-end database.

**Attack motivations** Even the timing and the location are peculiar. First, on 17/6/10, the first damage was created, and it became known that Stuxnet existed. For this reason, an investigation started, and one week later, on 24/6/10, the analysts detected the use of the first signature certificate and revoked it on 17/7/10. However, the malware then started using a second signature certificate. Since its discovery, it took one month for security bulletins by CERT (Computer Emergency Response Team) and MS (Microsoft). Then, Microsoft started developing patches that were gradually released through October '10. This malware stopped propagating itself on 24/6/2012 (which was written inside its code). This suggests that the malware was targeted to a specific time window.

Most of the attacks are against the most developed countries, but this malware was found in 52% of cases in Iran. It seems to be targeted at a specific area: *this worm had the target of destroying the uranium enrichment plant in Iran.*

**Attack consequences** The malware was able to manipulate the speed of the cylinders used for uranium enrichment beyond safe limits, all without triggering any alerts in the monitoring system used by the technicians. As a result, the technicians only managed to halt the system after significant damage had already occurred. This setback delayed the Iran Uranium Program by several years in their efforts to restore the proper functioning of the facilities.

#### Lessons learnt from this attack:

- Physical system separation (air gap) does not imply security: in fact, without any other standard protections (anti-virus, OS patches, firewall), these systems are not secure. In this specific case, the systems did not use any kind of standard protection, relying too much on the physical barrier;
- Unnecessary active services can be a source of vulnerabilities: MS-RPC, shared network print queues, shared network disks were not necessary but still running. In general, any unnecessary service should be disabled;

- A validation list for software to be installed should be used: it seems that the technician copied all the content of his USB pen, also copying the added malware. He should have copied only the file necessary for the SCADA systems update.

### 1.18.2 Against critical infrastructure

#### Fancy Bear / APT 28

This hacking group is believed to have ties to the Russian military intelligence agency, GRU. Over the years, they have been involved in cyber-attacks and espionage activities against various high-profile targets; some of their notable targets include the German and Norwegian parliaments, the French television network TV5Monde, the White House, NATO, the U.S. Democratic National Committee, and the Organization for Security and Co-operation in Europe (OSCE).

Additionally, during the years 2014-2016, they developed Android malware specifically designed to target the Ukrainian Army's Rocket Forces and Artillery.

#### Against Internet-of-Things, automotive, home

##### Mirai

Mirai is the most famous IOT **cyberworm** discovered around September-November 2016. This kind of attack does not damage directly but simply saturates the resources (like the DoS). Every system infected by Mirai becomes part of a huge botnet for a large-scale DDoS. It was used for disruptive attacks such as:

- Krebs on Security → up to 620 Gbit/s
- Ars Technica → up to 1Tbit/s
- Dyn DNS provider → requests from tens of millions of IPs

Botnets are deployed into millions of IoT devices such as cameras, residential routers, or baby monitors because these devices have almost no installed protection. In this way, Mirai can easily spread into home networks. The fast networks (such as 4G and 5G) make it even more critical because these devices can send a lot of data per second. Mirai is a very complex malware because it has almost no external dependencies (this means that the malware is compiled with static libraries) and it is cross-compiled to be executed on several platforms. Mirai is released as an open-source worm: this means that not only it is possible to study it, but also that new variants can be easily developed by other attackers. Mirai contains a propagation scan phase to compromise additional targets (starts contacting other nodes if it can infect them). It also observes the victim system before contacting the C&C: when Mirai is installed on a device, it doesn't know if it is a true or fake device (maybe used to study Mirai's behavior) and for this reason, when it starts, it connects to a fake C&C. IF the communication works, it means that there is a problem (because the connection should not work, which means that someone is giving Mirai full access), and it shuts down automatically. Only after performing this check, it THEN contacts the real C&C.

The kind of attacks, since it is a DoS network-based, is driven by C&C that can decide which attack to make and can open many TCP connections, send tons of UDP packets, or use GRE or simple SYN flooding.

## BrickerBot

In October 2017, a message appeared on blogs and chat platforms (as shown on the left side). In this particular case, Wind, a telecommunications company, was faced with an issue where they had to physically replace all the modems. They were unable to resolve the problem remotely. The modems had malfunctioned and needed replacement. The individual responsible for this attack claimed to have done it for the greater good, possibly to prevent the formation of a botnet. This incident bore some similarities to the Deutsche Telekom case in 2016, albeit with more severe consequences.

**Bonny F.** un'ora fa

Hi guys.. Sorry for not speaking Italian. The Wind modems had telnetd running on port 8023 and a default password admin/admin which gave anyone root access to them. Unfortunately the modems got bricked by malware known as 'BrickerBot' which wrote random data over the partitions. When Wind eventually asks customers to return the devices for a replacement you'll want to be first in line..

### Lessons learnt:

- **Use strong passwords;**
- **change default password** upon installation;
- **Permit external administrative access only from specific “trusted” networks** (and not from any address on internet): using IP addresses as “filter” to allow access is anyway weak, but at least is better than allowing it from all;
- **Apply timely all security patches to minimise the WOE:** in the Wind-Infostrada case, the bug was well-known, so the company should have installed the patches.

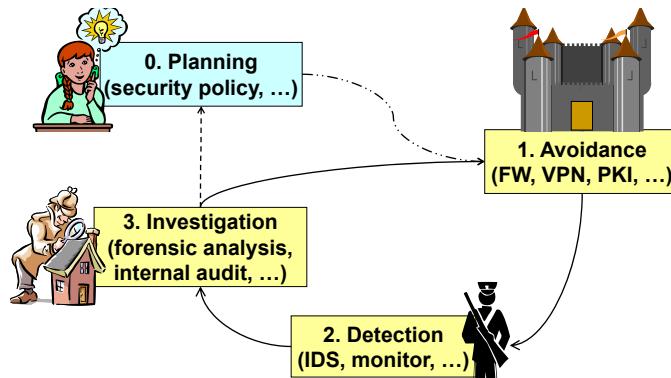
## 1.19 Attack maps: sample data sources

There are many websites that can show how many attacks are being performed. It is possible because many of these sites are providers of security solutions. They get the information from their own software which is installed on millions of devices and detects possibly attacks. The websites have many labels which tell exactly what attack is:

- **OAS = On-Access Scan:** Anti-malware that performs a scan to detect malware before accessing a file.
- **ODS = On-Demand Scan:** Scans inserted USB pens before files are copied.
- **MAV = Mail Anti-Virus:** Antivirus for emails that checks attachments.

- **WAV** = *Web Anti-Virus*: Before downloading something from the internet, the item is temporarily stored in a central facility, checked for possible malware, and only transmitted to the destination if nothing is found.
- **IDS** = *Intrusion Detection System*: Monitors networks and hosts for possible attacks.
- **VUL** = *Vulnerability Scan*: More pro-active; some machines periodically try to contact others on the network and check for vulnerabilities. If something is found, a warning is sent.
- **KAS** = *Kaspersky Anti-Spam*: Specifically for Kaspersky.
- **BAD** = *Botnet Activity Detection*: Detects botnets performing activity.

## 1.20 The three (four) pillars of security



1. **Planning** (security, policy, ...);
2. **Avoidance** (FW, VPN, PKI, ...);
3. **Detection** (IDS, monitor, ...);
4. **Investigation** (forensic analysis, internal audit, ...);

## 1.21 The NIST cybersecurity framework



Figure 1.3: <https://www.nist.gov/cyberframework/online-learning/five-functions>

## 1.22 Final slides



Kevin Siers, NC, USA (cartoon from the Charlotte Observer)

## Chapter 2

# Cryptographic techniques for cybersecurity

### 2.1 Cryptography



The most used technique to achieve protection for many centuries is **cryptography**; a mathematical technique that involves algorithms for encryption and decryption:

- the encryption algorithm takes a message (in clear) and transforms it in such a way that it becomes unintelligible;
- to recover the original text, the decryption algorithms make it readable again.

Next to the algorithms, **key-1** is needed for encryption and **key-2** for decryption, both of which are streams of bits. Cryptography is used in communication and for data storage (for example, to store data on disks without permission to read them except for authorized users). The common terminology used in cryptography includes two other keywords:

- **Plaintext** or **cleartext**: the unencrypted message, typically referred to as **P**;
- **Ciphertext**: the encrypted message, typically referred to as **C**. Note that in some countries, the term "encrypted" may sound offensive for religious reasons (related to the cult of the dead); in such cases, "*enciphered*" is preferred.

### 2.1.1 Cryptography's strength (Kerchoffs' principle)

Kerckhoffs' Principle (1883) states that the security of a cryptosystem must lie in the choice of its keys only; everything else (including the algorithm itself) should be considered of public knowledge. However, this principle relies on the fact that the keys have the following properties.

- Are kept **secret**;
- Are managed only by **trusted systems**;
- Are of **adequate length**

If these properties are met, not only it has no importance that the encryption and decryption algorithms are kept secret, but it is better to make the algorithms public so that they can be widely analysed, and their possible flaws and vulnerabilities identified.

### Security through obscurity (STO)

The Kerckhoffs' Principle is related to the concept of **Security through obscurity**: it means that a system is protected, but the details on how it has been protected are not disclosed. Generally, this alone is not considered a valid security mechanism because if someone discovers how the system has been protected (and we have seen that there are also non-technical ways by which this can be achieved), it is no longer secure.

For this reason, we say that "*Security through obscurity is as bad with computer systems as it is with women*".

"Men try to hide things from women, but when they discover the truth, it is worse than if they had known it from the beginning"

(Antonio Lioy)

*Editor's note:* don't hide things from your partner, regardless of gender.

However, there is a category of people (such as military men) which tend to apply STO, but as an additional layer. It is possible to use STO as a layer only if a really strong algorithm is used (but not a secret one).

## 2.2 Symmetric cryptography

Depending on which relation exists between key-1 and key-2 there are different kinds of cryptography.

### Secret key / symmetric cryptography

It is so named because **only a single key** is shared by the sender and receiver. In the diagram, there is a plaintext used as input for the encryption (E) block, along with the key. The result is a comprehensible text that is transmitted to the receiver. To retrieve the original text, the



Figure 2.1: Symmetric cryptography

decryption (D) block algorithm is used with the same key that was used for encrypting the initial text. If a different key is used, an output is generated, but it will be incorrect (and typically understandable).

The formulas used are as follows.

$$\begin{aligned}
 K_1 &= K_2 = K \\
 C &= \text{enc}(K, P) \quad \text{or} \quad C = \{P\}K \\
 P &= \text{dec}(K, C) = \text{enc}^{-1}(K, C)
 \end{aligned}$$

Note that  $C = \{P\}K$  means "encrypt the plaintext P using the key K".

The issue in the diagram (Figure 2.1) is represented by the dashed line: how can the key be securely shared between the sender and receiver? We'll see it in *Key distribution for symmetric cryptography*.

### 2.2.1 Block algorithms

<b>name</b>	<b>key (bit)</b>	<b>block (bit)</b>	<b>notes</b>
<b>DES</b>	<b>56</b>	<b>64</b>	<b>obsolete</b>
<b>3-DES</b>	<b>112</b>	<b>64</b>	<b>56...112-bit strength</b>
<b>3-DES</b>	<b>168</b>	<b>64</b>	<b>112-bit strength</b>
<b>IDEA</b>	<b>128</b>	<b>64</b>	<b>famous for PGP</b>
<b>RC2</b>	<b>8-1024</b>	<b>64</b>	<b>usually 64-bit key</b>
<b>Blowfish</b>	<b>32-448</b>	<b>64</b>	<b>usually 128-bit key</b>
<b>CAST-128</b>	<b>40-128</b>	<b>64</b>	<b>usually 128-bit key</b>
<b>RC5</b>	<b>0-2048</b>	<b>1-256</b>	<b>optimal when B=2W</b>
<b>AES</b>	<b>128-192-256</b>	<b>128</b>	<b>state-of-the-art</b>

Figure 2.2: Some famous symmetric encryption algorithms (block)

There are many algorithms, and the table on the left represents just a small selection. The first column provides the name, the second indicates the key length, and the third specifies the basic unit each algorithm can encrypt. These algorithms are referred to as "**block algorithms**" because they operate on a fixed number of bits.

The **DES** algorithm, once a standard for many years, is now considered obsolete and should never be used.

The most commonly used algorithm of this kind is **AES**, currently recognized as the state of the art (the strongest).

**RC5** performs optimally when the block size is double the word size of the CPU architecture (e.g., 64-bit architecture -> 128-bit block) on which the algorithm is implemented.

Why are there so many algorithms? Because there are various types of computers, and many algorithms are not suitable for low CPU power.

**The EX-OR (XOR) function** It is the ideal "confusion" operator, available on all CPU.

The peculiarity of this truth table is that it has 50% of 0 and 50% of 1: if XOR is performed with 2 random inputs (probability 0:1 = 50% : 50%), then the output will also be equally random; while, for example, AND is more likely to produce 0. That means that XOR does not change the probability distribution of the input, even though it generates different outputs. Some properties:

- if  $A \oplus B = Z$   
then  $Z \oplus B = A$  or  $Z \oplus A = B$
- $A \oplus 0 = A$   
 $A \oplus 1 = \bar{A}$   
 $A \oplus A = 0$   
 $A \oplus \bar{A} = 1$

$\oplus$	0	1
0	0	1
1	1	0

Figure 2.3: XOR function

## DES

DES stands for "**Data Encryption Standard**" and it is a standard defined by *FIPS 46/2* (Federal Information Processing Standard, the body responsible for setting standards for the American government). The modes for applying DES to data that is not equally divided into blocks are mentioned in the FIPS 81 standard.

DES is a unique algorithm because it has a 64-bit key, but its effective strength is equivalent to that of a **56-bit key**, as 8 bits are used for parity. This means that when a key is generated for the DES algorithm, only 56 bits are truly random, and every 7 bits, the algorithm inserts a bit that serves as the parity of the preceding 7 bits. When an attacker attempts to crack DES, they only need to discover these 56 truly random bits. DES is the only algorithm that distinguishes between actual (bits used to create the key) and effective (total number of bits) bits.

Developed in the 1960s, DES uses a **64-bit data block**, a size chosen when computers were less powerful. To perform all the necessary mathematical computations, a special-purpose unit called an **encryption processor** was created because DES relies on it to perform:

- **XOR**: which is not a problem → elementary operation;
- **Shift**: not a problem → elementary operation;
- **Permutation**: expensive operation. The permutation is not random, there are several ones, but still implementing that was much more efficient if done directly in hardware.

## Triple DES (3DES, TDES)

Triple DES is the repeated application of DES (three times). It is possible to use two or three different 56bit keys. Typically, the process involves taking the input, encrypting it, and then repeating this operation two more times, using the output of the previous encryption as the input for the next encryption (resulting in three consecutive encryptions).

Normally, it is implemented in **EDE** mode, which, in its standard form, requires two keys: the plaintext is first encrypted with key-1, then the decryption algorithm is applied to the output using key-2 (which does not actually decrypt but applies another transformation), and finally, this last output is encrypted again using key-1. This mode was chosen because setting  $K_1 = K_2 = K_3$  allows for the implementation of the simple DES in EDE mode. Thus, both EEE and EDE work equally well. It has been shown that 3DES with two keys can have lower security guarantees than expected.

In particular, denoting  $K_{eq}$  as the equivalent key obtained by applying the discussed transformations:

- **3DES with 2 keys**,  $K_{eq} = 56$  bits if  $2^{59}B$  of memory is available, otherwise  $K_{eq} = 112$  bits:

$$C' = \text{enc}(K_1, P) \quad C'' = \text{dec}(K_2, C') \quad C = \text{enc}(K_1, C'')$$

- **3DES with 3 keys**,  $K_{eq} = 112$  bits:

$$C' = \text{enc}(K_1, P) \quad C'' = \text{dec}(K_2, C') \quad C = \text{enc}(K_3, C'')$$

The 3DES is a standard FIPS 46/3 and ANSI X9.52 (family X9 is standard for security in banking and financial applications).



**Why not Double DES?** The double application of any encryption algorithm is susceptible to a **known-plaintext** attack known as *meet-in-the-middle* see below), which allows for the decryption of data with at most  $2^{N+1}$  attempts (if the keys are  $N$  bits long), instead of the  $2^{2n}$  steps one would expect from an ideally secure algorithm with  $2n$  bits of key. If the attacker knows one plaintext, they can execute the attack. For this reason, **the double version of encryption algorithms is never used** because the computation time doubles, but the effective key length increases by just one bit.

Furthermore, it has been proven that if the base symmetric algorithm is a group, then there exists an equivalent key  $K_3$  such that:

$$\text{enc}(K_2, \text{enc}(K_1, P)) = \text{enc}(K_3, P)$$

This implies that in this case, the time needed for normal encryption/decryption doubles, but not a single bit is gained in terms of  $K_{eq}$ .

**Meet-in-the-middle attack** By hypothesis, N-bit keys are used, and we have known plaintext ( $P$ ) and ciphertext ( $C$ ) such that

$$C = \text{enc}(K_2, \text{enc}(K_1, P))$$

Note that  $\exists M$  such that

$$\begin{aligned} M &= \text{enc}(K_1, P) \\ C &= \text{enc}(K_2, M) \end{aligned}$$

The attacker computes  $2^N$  values  $X_i = \text{enc}(K_i, P)$  and then computes  $2^N$  values  $Y_j = \text{dec}(K_j, C)$ . The search then involves finding those values  $K_i$  and  $K_j$  such that  $X_i = Y_j$ . There can be "false positives" but these can be easily discarded if more than one  $(P, C)$  pair is available.

**hypothesis:**

- N bit keys
- known P and C such that  $C = \text{enc}(K_2, \text{enc}(K_1, P))$

**note:**

- $\exists M$  such that  $M = \text{enc}(K_1, P)$  and  $C = \text{enc}(K_2, M)$

**actions:**

- compute  $2^N$  values  $X_i = \text{enc}(K_i, P)$
- compute  $2^N$  values  $Y_j = \text{dec}(K_j, C)$
- search those values  $K_i$  and  $K_j$  such that  $X_i = Y_j$
- "false positives" can be easily discarded if more than one  $(P, C)$  couple is available

Let's consider a company protecting its communication between Turin and Milan using double DES. If the attacker sniffs the network (and reads the encrypted data), they can send a message over that line (meaning they control the plaintext), and then observe the ciphertext (of the sent plaintext) automatically generated by the security system. This is a way to obtain a pair of  $(P, C)$  without knowing the keys.

### 2.2.2 Application of block algorithm

*How is a block algorithm applied to a data quantity different from the algorithm's block size?*  
There are two cases<sup>1</sup>:

1. Data size to encrypt > algorithm's block size

- **ECB (Electronic Code Book):** Nowadays considered not secure and must never be used.
- **CBC (Cipher Block Chaining):** Currently the best way to apply an encryption algorithm to data that is bigger than the size of the algorithm's block size.

2. Data size to encrypt < algorithm's block size

- **Padding:** Used only when the data size is not exactly a multiple of one block size (e.g., when data is 2.6 blocks long). Note that this technique is not used to pad data that is just shorter than one block size.
  - **CTS (CipherText Stealing):** Permits the use of block algorithms without padding, avoiding an increase in the size of the ciphertext compared to the plaintext.
- **CFB (Cipher FeedBack), OFB (Output Feedback), CTR (Counter mode):** These are application modes for an algorithm, not algorithms themselves.

**ATTENTION!** These are NOT algorithms; they are application modes for an algorithm.

It is necessary to specify: the algorithm, the size of key, and if it is a block algorithm, also the mode of the application (e.g., AES-128-CBC).

#### ECB

**Encryption** This mode splits the plaintext into blocks, and each block is encrypted separately with the key; that means that, for each block  $i = 0, \dots, N$ ,

$$C_i = \text{enc}(K, P_i)$$

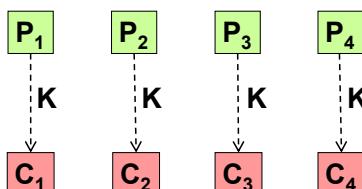


Figure 2.4: Encryption with ECB

It must NOT be used! [Lioy said that the exam will not be passed if ECB is used somehow]. In particular, it must not be used on long messages (any message longer than 1 block) because:

<sup>1</sup>The distinction should be: is the **data size multiple** of the *algorithm's block size*?

- If the attacker is intercepting the ciphertext and exchanges the position of 2 blocks, it is not detected (**block swapping**). This permits to exchange data inside an encrypted message;
- Identical blocks generate identical ciphertexts, hence it is vulnerable to **known-plaintext attacks**. Plaintext attacks require the precomputation of all possible encryptions of a known plaintext: comparing the encrypted block with all the precomputed possible encryptions, it is possible to figure out what the key is.

**Known-plaintext attack example** Let us suppose that we want to intercept a message sent by the rector of the Politecnico di Torino. It is possible to assume that his messages will contain the word “Torino”, so we start encrypting this word with all possible keys. Next, we can go sniffing the blocks sent by the rector: if any of these blocks is equal to the encryption of “Torino”, then we can use the key used to obtain that encryption of “Torino” to decrypt the rest of the message. Some arguments against this method could be: in which way is “Torino” written (e.g., “TORINO”, “Torino”)? What if “Torino”, since it is shorter than one machine word, is split into different blocks (e.g.,  $P_i = \dots \text{To}\dots$ ,  $P_{i+1} = \text{rino}\dots$ )? The known-plaintext attack is made possible by the fact that usually people exchange data in a structured format, so that there are metadata that are usually known (e.g., fixed header).

If a Word file is created with just a word, it is big KBs of memory (and not just bytes). This happens because Word applies a header on the document which is always the same (and always at the beginning of a file). If the first block of a Word file is encrypted, it can serve as a dictionary for Word files. This means that we do not even have to guess some plaintext, but just the file format.

**Decryption** Decryption is a straightforward process as it involves taking the ciphertext block and decrypting it with the corresponding key. In the case of an error in transmission, it only affects the decryption of that specific block, and **there is no propagation of the error to other blocks**.

- For each block,  $P_i = \text{enc}^{-1}(K, C_i)$

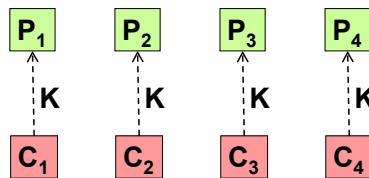


Figure 2.5: Decryption with ECB

### CBC (Cipher Block Chaining)

**Encryption** This mode resolves all of ECB’s problems. It divides the plaintext into blocks, but before each block is encrypted, it is **XORed with the ciphertext of the previous block**.

This introduces unpredictability in the encryption process. However, there is an issue with the first block (which serves as the header of the mentioned file); to apply CBC, an additional element called the **Initialization Vector (IV)** must be introduced, considered as  $C_0$ , with the sole purpose of altering the first plaintext block.

CBC also provides protection against block swapping because if blocks are swapped, the output will be different due to being altered with the incorrect XOR element.

- For each block,  $C_i = \text{enc}(K, P_i \oplus C_{i-1})$
- Requires **IV**

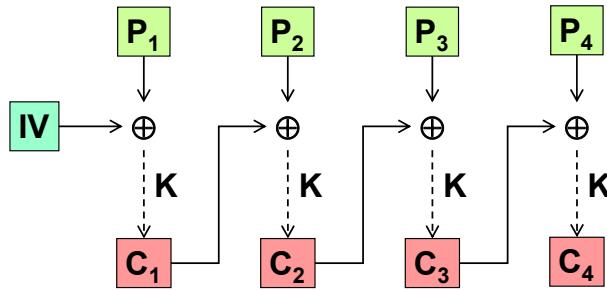


Figure 2.6: CBC encryption

**Decryption** The IV vector needs to be known at the receiver as well because, when the ciphertext is received, the IV must be known to decrypt the first block (since XOR is the inverse operator of itself). The IV must also be different every time (hopefully random) to avoid being guessed, as its purpose is to make it impossible to precompute the possible encryptions of the first block. It must also be a **NONCE** (Number used once), meaning that the IV should be generated and never reused in the future.

In some cases, the IV is sent in clear because even if the attacker knows it, they could start the computation only at that moment, and this is a time-consuming task. Moreover, it will permit the attacker to attack only the sent message, as the next one will have another IV. The IV can also be sent encrypted using ECB since it is just a block.

Opposed to ECB, **one error in transmission** generates an error at the decryption of **two blocks**. For example, if there's an error in  $C_1$ , it affects the decryption, causing incorrect  $P_1$  and  $P_2$ . However,  $P_3$  remains unaffected because  $C_2$  and  $C_3$  are needed for its decryption, and they are error-free in this case.

- $P_i = \text{enc}^{-1}(K, C_i) \oplus C_{i-1}$
- Requires **IV** to be known by the receiver

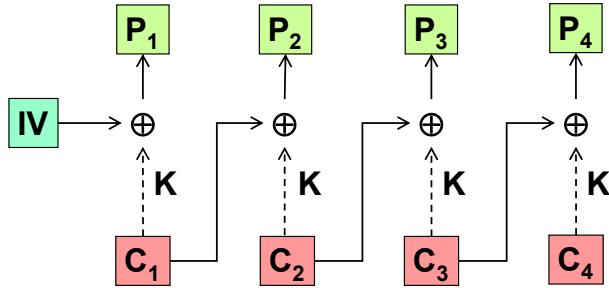


Figure 2.7: CBC decryption

### Padding (aligning, filling)



It is not always possible to have the plaintext split into blocks that are all the same size. In the picture, the data consists of two complete blocks and a partial block at the end. To encrypt this small part, a technique called padding is used. Bits are added at the end of the original data until it reaches a multiple of a block. However, it has some problems since:

- The ciphertext gets longer than the plaintext: adding useless data means transmitting / storing more data than needed;
- Which should be the value of padding bits? How can we distinguish the padding from the original plaintext at the receiver? There are numerous **padding techniques**:
  - If the length is known or it can be obtained as in the case of a C string, it is possible to add null bytes at the end: 0x00 0x00 0x00;
  - The original DES specified to add one "1" bit followed by as many "0" as needed: 1000000;
  - One byte with value 128 followed by null bytes: 0x80 0x00 0x00;
  - Last byte's value equal to the length of padding: 0x?? 0x?? 0x03 (3 bytes of padding. The last one has value 3, and the previous has a value to be specified). There are numerous padding techniques available, and the choice of a specific padding method plays a crucial role in preventing or mitigating various types of attacks. Consider the value of other bits (0x??)<sup>2</sup>:
    - \* (Schneier) null bytes, e.g., 0x00 0x00 0x03
    - \* (SSL/TLS) bytes with value L, e.g., 0x03 0x03 0x03

<sup>2</sup>do not remember all the techniques, just remember there are many and the proper one must be selected

- \* (SSH2) random bytes, e.g., 0x05 0xF2 0x03
- \* (IPsec/ESP) progressive number, e.g., 0x01 0x02 0x03
- \* Byte with value  $L - 1$ , e.g., 0x02 0x02 0x02

### Some notes

- $B$  stands for the size of the algorithm's block
- $D$  stands for the size of data to process

Some of these techniques offer (minimal) integrity control: if the key is wrong or data is manipulated, then the padding bytes are incoherent (e.g., length of something bigger than one block or wrong padding values).

Typically, this is applied to large data, on the last fragment resulting from the division into blocks (e.g., for ECB or CBC). If  $|D| < |B|$ , an ad-hoc technique is preferred (CFB, OFB, CTR, ...). If there is just a byte, it is not good to add 65 bytes of padding.

Even if the plaintext is an exact multiple of the block, padding must be added anyhow to avoid errors in the interpretation of the last block. The biggest padding is required when there is no padding to add.

With SSH2, padding equal to data gives different ciphertexts (even when encrypted with the same key).

The padding type for a certain algorithm determines the type of (some) possible attacks, but it also depends upon the algorithm used.

### Ciphertext stealing (CTS)

When using padding, the size of the ciphertext is bigger than the plaintext. This may not be acceptable in several cases (for example, data encrypted on a hard disk that may not fit on the same disk). It is possible to use CTS instead of padding. The last (partial) block is filled with bytes taken from the second-to-last block (encrypted), then these bytes are removed from the second-to-last block (which becomes a partial one). After encryption, the positions of the last and second-to-last blocks are exchanged. It is useful when it is not possible to increase the size of data after encryption, but the computation time slightly increases.

In order to encrypt or decrypt data, use the standard block cipher mode of operation on all but the last two blocks of data. The following steps describe how to handle the last two blocks of the plaintext, called  $P_{n-1}$  and  $P_n$ , where the length of  $P_{n-1}$  equals the block size of the cipher in bits,  $B$ ; the length of the last block,  $P_n$ , is  $M$  bits; and  $K$  is the key that is in use.  $M$  can range from 1 to  $B$ , inclusive, so  $P_n$  could possibly be a complete block. The CBC mode description also makes use of the ciphertext block just previous to the blocks concerned,  $C_{n-2}$ , which may, in fact, be the IV if the plaintext fits within two blocks.

For this description<sup>3</sup> the following functions and operators are used:

- Head( $data, a$ ): returns the first  $a$  bits of the 'data' string.

---

<sup>3</sup>[https://en.wikipedia.org/wiki/Ciphertext\\_stealing#CBC\\_ciphertext\\_stealing](https://en.wikipedia.org/wiki/Ciphertext_stealing#CBC_ciphertext_stealing)

- $\text{Tail}(data, a)$ : returns the last  $a$  bits of the 'data' string.
- $\text{enc}(K, data)$ : use the underlying block cipher in encrypt mode on the 'data' string using the key  $K$ .
- $\text{dec}(K, data)$ : use the underlying block cipher in decrypt mode on the 'data' string using the key  $K$ .
- XOR: Bitwise Exclusive-OR. Equivalent to bitwise addition without the use of a carry bit.
- $\parallel$ : Concatenation operator. Combine the strings on either side of the operator.
- $0^a$ : a string of  $a$  0 bits.

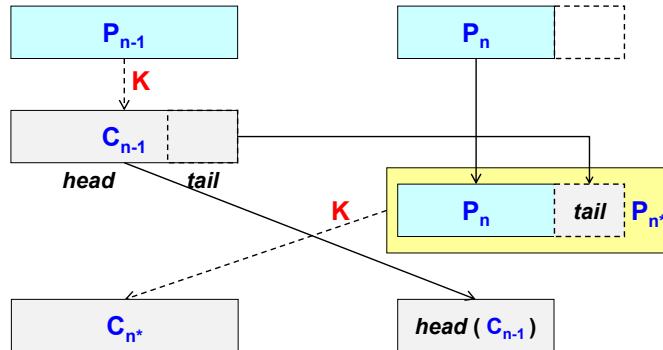


Figure 2.8: CTS with ECB (encryption)

### CTS Example with ECB (encryption)

1.  $E_{n-1} = \text{enc}(K, P_{n-1})$ .  
Encrypt  $P_{n-1}$  to create  $E_{n-1}$ . This is equivalent to the behavior of standard ECB mode.
2.  $C_n = \text{Head}(E_{n-1}, M)$ .  
Select the first  $M$  bits of  $E_{n-1}$  to create  $C_n$ . The final ciphertext block,  $C_n$ , is composed of the leading  $M$  bits of the second-to-last ciphertext block. In all cases, the last two blocks are sent in a different order than the corresponding plaintext blocks.
3.  $D_n = P_n \text{ Tail } (E_{n-1}, B - M)$ .  
Pad  $P_n$  with the low-order bits from  $E_{n-1}$ .
4.  $C_{n-1} = \text{enc}(K, D_n)$ .  
Encrypt  $D_n$  to create  $C_{n-1}$ . For the first  $M$  bits, this is equivalent to what would happen in ECB mode (other than the ciphertext ordering). For the last  $B - M$  bits, this is the second time that these data have been encrypted under this key (It was already encrypted in the production of  $E_{n-1}$  in step 2).

### Shorter description

1. The plaintext is split into blocks;
2. The second-to-last block is full, so it is possible to encrypt it with the key;
3. The last one is not full and creates a problem;
4. The encrypted block  $C_{N-1}$  is split into two parts: *head* and *tail*. The tail has the same size as the part that is missing in the last block;
5. The tail is added to the partial block, creating a full block;
6. The fully created block is transmitted, and then the head is transmitted.

The receiver must reverse the procedure. Bits corresponding to the tail are encrypted twice. Exchanging the blocks is necessary because if we send the head without the tail, then the beginning of the next block is interpreted as part of the previous one.

Please note that **ECB must never be used**; the example is intended to make it easier to understand.



Figure 2.9: CTS with CBC (encryption)

### CTS Example with *CBC* (encryption)

1.  $X_{N-1} = P_{N-1} \oplus C_{N-2}$ .  
XOR  $P_{N-1}$  with the previous ciphertext block,  $C_{N-2}$ , to create  $X_{N-1}$ . This is equivalent to the behavior of standard CBC mode.
2.  $E_{N-1} = \text{enc}(K, X_{N-1})$ .  
Encrypt  $X_{N-1}$  to create  $E_{N-1}$ . This is equivalent to the behavior of standard CBC mode.
3.  $C_n = \text{Head}(E_{N-1}, M)$ .  
Select the first  $M$  bits of  $E_{N-1}$  to create  $C_n$ . The final ciphertext block,  $C_n$ , is composed of the leading  $M$  bits of the second-to-last ciphertext block. In all cases, the last two blocks are sent in a different order than the corresponding plaintext blocks.

$$4. P = P_N \| 0^{B-M}.$$

Pad  $P_n$  with zeros at the end to create  $P$  of length  $B$ . The zero padding in this step is important for step 5.

$$5. D_n = E_{N-1} \oplus P.$$

XOR  $E_{N-1}$  with  $P$  to create  $D_n$ . For the first  $M$  bits of the block, this is equivalent to CBC mode; the first  $M$  bits of the previous block's ciphertext,  $E_{N-1}$ , are XORed with the  $M$  bits of plaintext of the last plaintext block. The zero padding of  $P$  in step 4 was important because it makes the XOR operation's effect on the last  $B - M$  bits equivalent to copying the last  $B - M$  bits of  $E_{N-1}$  to the end of  $D_n$ . These are the same bits that were stripped off of  $E_{N-1}$  in step 3 when  $C_n$  was created.

$$6. C_{N-1} = \text{enc}(K, D_n).$$

Encrypt  $D_n$  to create  $C_{N-1}$ . For the first  $M$  bits, this is equivalent to what would happen in CBC mode (other than the ciphertext ordering). For the last  $B - M$  bits, this is the second time that these data have been encrypted under this key (It was already encrypted in the production of  $E_{N-1}$  in step 2).

**Shorter description** The various blocks are encrypted with a key and XOR operation, particularly  $C_{N-2}$ , which is utilized in the  $P_{N-1}$  XOR encryption. In the last step,  $P_N$  is padded with all zeros and XORed with the encrypted block  $E_{N-1}$  (encryption of  $P_{N-1}$ ), thus encrypting the last block.  $E_N$  is then positioned in the  $N-1$  position. On the contrary, the  $E_{N-1}$  encryption is not transmitted completely, only the head is sent. It may seem that part of  $E_{N-1}$  is missing, but since the tail is XORed with all zeros, it will be recovered by  $C_{N-1}$ .

Since XOR with zero does not alter data, the tail is embedded into  $E_N$  as the  $N-1$  block. This ensures that the ciphertext has the same dimensions as the plaintext. With this mode, if the blocks are fully filled from the plaintext, there is no need for additional padding. This mode is widely used today, especially for encrypting storage on embedded devices such as smartphones.

### CTR (Counter mode)

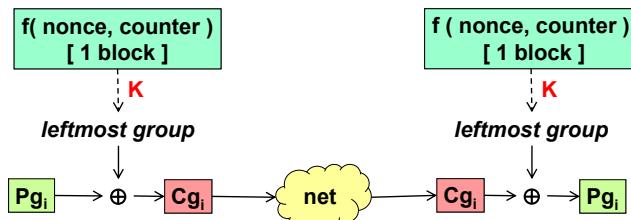


Figure 2.10: CTR (counter mode)

This is useful for plaintext smaller than one block, as it does not make sense to encrypt it using padding. **CTR** is the most used technique that **uses a block algorithm to cipher N bits at a time** (a “group”, often a byte). It has nice properties that permit random direct access to any ciphertext group, and it doesn't require padding.

Take CBC, for instance; it's not possible to encrypt or decrypt individual blocks due to the need for concatenation. In contrast, with CTR, decrypting a single block is possible, however it **requires a nonce** (also known as IV) **and a counter** that are combined in various ways — such as concatenation, summation, XOR, etc.

In this example (Figure 2.10), there is a group (e.g., 1 byte) smaller than one block, denoted as  $P_{g_i}$ , situated above a register exactly the size of one block. The register is filled with a nonce and a counter, combined in the predetermined manner. As it is one block in size, we can directly encrypt it with the key. Subsequently, the leftmost group is selected. For instance, if  $P_{g_i}$  is 1 byte, only the last byte from the encryption of the register is considered. Following this, we apply an XOR operation, generating the corresponding ciphertext  $C_{g_i}$ , which can be transmitted over the network.

On the receiving end, another register must be present, initialized in the same manner as the sender, and undergo the same inverse operation. Naturally, the sender and receiver must share the same nonce and maintain identical counter values to stay synchronized. This type of transmission is vulnerable to **cancellation attacks**; if a message is removed, synchronization is lost. However, this risk can be mitigated using integrity techniques, which will be discussed later.

**Example of CTR** A long data stream must be enciphered in CTR mode, byte- oriented, with key  $K$ , nonce  $N$ , and  $f(\dots)$  is just concatenation. For the sender:

```
for (i=0; i<data_size; i++)
    ctext[i] = ptext[i] xor MSB( enc(K, nonce || i) )
```

For the receiver, if he needs to decipher only bytes no. 30 and 31:

```
ptext[30] = ctext[30] xor MSB( enc(K, nonce || 30) )
ptext[31] = ctext[31] xor MSB( enc(K, nonce || 31) )
```

**Note:** the byte-oriented CTR mode of a block algorithm may be considered a stream algorithm (see chapter 2.2.3).

### 2.2.3 Symmetric stream encryption algorithms

Stream algorithms operate on *one bit* or *one byte* at a time, eliminating the need for block division.

Within this category, the **one-time pad** is the only *ideal algorithm*, requiring a key as long as the message, making it impractical for most use cases.

**Real algorithms** use pseudo-random key generators, necessitating synchronization between the sender and receiver. Examples of modern algorithms include Salsa20 and ChaCha20, while older ones include RC4 and SEAL.

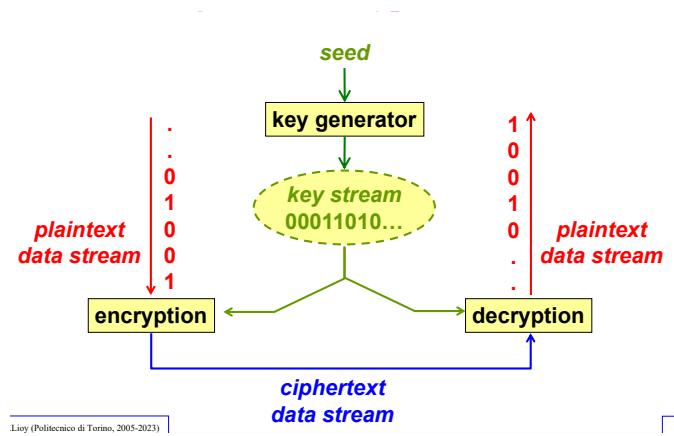


Figure 2.11: Algorithms of type stream

The plaintext data stream on the left is encrypted one bit at a time. As the data stream can be lengthy, the key stream must also be long. The key stream is generated from a key generator, which is initialized with a **seed** (the seed is essentially the key, as it must be identical for both the receiver and sender). The encryption function essentially employs an XOR operator (no more complex function is required), as this ensures the operations are unpredictable.

At the receiver, decryption is performed using the same key stream, meaning the same seed and algorithm are used for generating the key.

If an attacker manages to delete a portion of the ciphertext data stream, decryption will produce incorrect results since the wrong part of the key stream will be used. On the other hand, these algorithms are known for their speed.

#### Salsa20 and ChaCha20

These are symmetric stream algorithms invented by D. J. Bernstein, featuring *128* or *256-bit keys*. ChaCha20 is an improvement of Salsa20, where there is more "diffusion" of bits, meaning that changing 1 bit of the input results in more bits of the output being changed. ChaCha20 is also faster on some architectures.

The base operation is a 32-bit ARX (add-rotate-XOR), while the base function is expressed as:

$$f(\text{Key256}, \text{Nonce64}, \text{Counter64}) = 512 \text{ bit keystream block}$$

This permits  $O(1)$  decryption of any block at random. Salsa20 performs 20 mixing rounds of the input, while Salsa20/12 and Salsa20/8 have a reduced number of mixing rounds: 12 and 8 respectively, which is faster but less secure. ChaCha20 has been standardized and is widely adopted, with documentation in RFC-8439 (Chacha20 and Poly1305 for IETF protocols). The IETF has slightly modified the original specification: a bigger nonce and a smaller block counter. In particular,

- 96-bit nonce;
- 32-bit block counter, note that counter may start from 1 (rather than 0) if the first block is used as an authentication tag (as in AEAD);
- Hence a limit of 256 GB ( $2^{32}$  64-byte blocks)
- To overcome this limit, use the original definition by Bernstein.

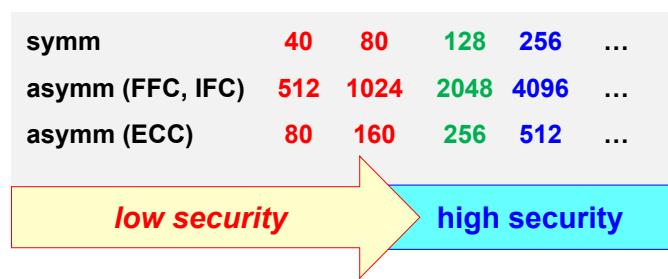
This has become famous because it is used by Google (for Chrome on Android), OpenSSH, and various CSPRNGs (e.g., `/dev/urandom` since Linux kernel 4.8).

**Length of secret keys** If Kerchoff's conditions are met, that is:

- the encryption algorithm was well designed
- the keys ( $N$ -bit in length) are kept secret
- the algorithm is executed by a trusted party (e.g. no malware)

Then the only possible attack is the **brute force** (exhaustive) **attack** which requires a number of trials proportional to  $2^{N\text{bit}}$ :

$$T \propto 2^{N\text{bit}}$$



<b>symm</b>	<b>40</b>	<b>80</b>	<b>128</b>	<b>256</b>	...
<b>asymm (FFC, IFC)</b>	<b>512</b>	<b>1024</b>	<b>2048</b>	<b>4096</b>	...
<b>asymm (ECC)</b>	<b>80</b>	<b>160</b>	<b>256</b>	<b>512</b>	...

Figure 2.12: Length of cryptographic keys

The table (Figure 2.12) what is currently considered secure or insecure.

Currently, any key less than 128 bits is considered insecure. This is due to the current speed of CPUs and the possibility of parallelizing key search (e.g., using several computers to work on a

specific set of keys).

The second row refers to asymmetric keys with FFC and IFC, where any key under 2048 bits is considered insecure. There are no borders in the column, but an arrow that is always expanding to the right.

The third row refers to asymmetric keys using ECC, where the minimum key size is 256 bits. The area of low security increases each year because computers become more powerful.

Another challenge is determining **how long data will remain secret**. If secrets must remain secure, it is essential to avoid the low-security arrow and always stay in the right part of the table. However, as the key size increases, the algorithms become slower, and it's not a simple doubling. For symmetric algorithms, doubling the key cuts performance in half, but for asymmetric algorithms, doubling the key means a factor from 4 to 10 of lower performance.

### AES (Advanced Encryption Standard)

The US government initiated a competition to select a new symmetric algorithm to replace DES: **AES** (Advanced Encryption Standard). There were 15 candidates, but only 5 finalists<sup>4</sup>. The winner was announced on 2nd Oct 2000, called **RIJNDAEL**, and the algorithm was published in November 2001 as FIPS-197.

This algorithm uses a **key length of 128 / 192 / 256 bits** and a **block size of 128 bits**.

Even though the algorithm was published in 2001, it has been gradually adopted after 2010. It takes so long because crypto algorithms are like wine: the best ones are those aged for several years.



#### 2.2.4 Key distribution for symmetric cryptography

Symmetric encryption uses a single and secret key. It is needed one key for each couple (or group) of users. In the example (Figure 2.13) X has a shared key with Y. If X wants to talk with Z, they will need a different key. But it is also possible to decide to have a common key (group key) which is common to X,Y and Z but other people that are part of the group, but are not the destination of a message, would be able to read it.

A complete pairwise private communication between  $N$  parties requires  $\frac{N \times (N-1)}{2}$  keys, which is equal to the diagonals of a polygon with  $N$  vertices. If  $N$  gets bigger, the number of keys also increases significantly. The problem is how to securely distribute the keys to the communicating parties. Those solutions are:

- **OOB** (Out-Of-Band): the key is shared among the parties without utilizing the same electronic channel used for transmitting the message. Direct communication, such as whispering the key to someone, would be an ideal solution for key sharing.
- **By means of key exchange algorithms.** See Chapter 2.3.3

---

<sup>4</sup>[www.nist.gov/aes](http://www.nist.gov/aes)

- single and secret key
- one key for each couple (or group!) of users

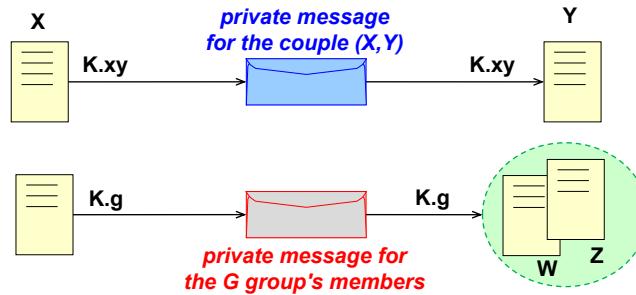


Figure 2.13: Symmetric encryption



Figure 2.14: Key distribution for symmetric encryption

## 2.3 Public key / asymmetric cryptography

Nowadays, there is a second type of cryptography invented in the 1970s, known as **public cryptography**. In this type of cryptography,

$$key_1 \neq key_2$$

If one key is used for encryption, the other must be used for decryption, but their roles can be exchanged. It is also referred to as an **asymmetric algorithm**, and the keys are used **in pairs**. Since there is not only one key, they are named according to the way they are stored: one is public (**public key**,  $PK$ ), while the one is kept private (**private key**,  $SK$ ).

These keys have **inverse functionality**, meaning data encrypted with one key can be decrypted only by the other key.

This type of cryptography has a **high computational load**, so it is usually used to distribute secret keys and to create electronic signatures (with hashing), not for storing data.

The main algorithms include Diffie-Hellman, RSA, DSA, ElGamal, and others.

**Keys** In Figure 2.15, a message is encrypted with a private key and sent to the receiver, who will use the corresponding public key to decrypt the message. Typically, the sender uses its private key to encrypt something, and all other individuals will know the public key and be able to decrypt the message.



Figure 2.15: How a key pair can be used in asymmetric encryption in a communication

On the bottom, there is the case where someone uses the public key of the destination, as only the destination will have the corresponding private key.

These two ways of using a pair of keys are employed to achieve two different functionalities: **digital signature** and **confidentiality without shared secrets**, described below.



Figure 2.16: Digital signature

**Digital signature** The following outlines the *basic idea* of a digital signature.

A digital signature follows the scenario depicted in the upper case of the previous image (Figure 2.15), involving the encryption of data with the private key of the author.

Suppose X wants to sign a document. X encrypts the document using his private key. Since it was encrypted with the private key, anyone can use X's public key to decrypt it. Therefore, the content is not a secret message, but it is "signed" by the sender. If someone attempts to use a different public key, it will not be possible to decrypt the message. This serves as proof and is legally valid evidence that the bits were created by a specific person.

If the message is too large, encrypting the entire message would be time-consuming. For this reason, only a summary (digest) of the data is encrypted. This process provides both **data authentication** and **integrity**.

However, this is NOT the real implementation of the digital signature, that is completely explained next.

**Confidentiality without shared secrets** It is possible to generate a **secret message** for a receiver using only its public key. The message is encrypted with the public key, and only the intended recipient possesses the corresponding private key necessary for decryption. This



Figure 2.17: Confidentiality without shared secrets

approach eliminates the need for key sharing between the sender and receiver. However, for large messages, the encryption and decryption processes can become time-consuming.

### 2.3.1 Public key algorithms

There are two main algorithms used nowadays: **RSA** (Rivest - Shamir - Adleman) and **DSA** (Digital Signature Algorithm).

**RSA** RSA consists in the computation of the product of **two prime numbers**. If you are the attacker, you observe the result, and if you want to compromise the system, you must discover what the two factors are. Therefore, very long keys are needed because if the result is for example 21, it will be easy to attack: 3 and 7 will be the prime numbers. The point is that there are no formulas to compute all prime numbers; for this reason, the larger the number you are considering, the harder it is to find the prime numbers, making the problem more complex for the attacker.

RSA can be used to implement both functionalities: **digital signature** and **confidentiality without shared secrets**.

It is patented only in the USA by RSA, but the patent expired on 20/09/2000 (no problems of royalties).

**DSA** DSA is the primary contender for digital signatures. This algorithm exploits a similar mathematical problem: **given a base and an exponent, perform the power**. If you are the attacker, you see the result, but it is not easily known which is the base and the exponent of that number. This is like taking the logarithm of a number without knowing the base.

The limitation of DSA is that it can only be used to create a digital signature because, within the algorithm, there is a one-way lossy compression function. By losing information, the original plaintext cannot be recovered. This intentional design choice was made by the US government when creating DSA, aiming to prevent citizens from hiding information.

To achieve **confidentiality without shared secrets**, you must use the **El-Gamal algorithm** (following the same principle as DSA).

DSA is a standard defined by NIST for DSS (FIPS-186).

## Hardware and example

**Twinkle** Every year, there is a conference named Eurocrypt, focusing on cryptography in Europe. A scientist from RSA Laboratories attended the Ramp Session, where interesting and promising ideas are presented. Professor Adi Shamir (who contributed the "S" in RSA) showcased an unusual piece of hardware called *TWINKLE* (The Weizmann Institute Key Locating Engine). It is an electro-optical computer that implements the sieving algorithm to find prime numbers. The Twinkle machine is expected to find the factors of an RSA key two to three orders of magnitude faster than a conventional fast PC. It operates at a very high clock rate (10 GHz), must trigger LEDs at precise intervals of time, and uses wafer-scale technology. According to estimates by Professor Shamir, the device can be fabricated for about \$5,000. Unfortunately, Shamir never returned to the next conference to showcase the device.

**Twirl (The Weizmann Institute Relation Locator)** This is an electronic device designed for factoring large integers. It implements the sieving step of the Number Field Sieve integer factorization algorithm, which is, in practice, the most expensive step in factorization. TWIRL is more efficient than previous designs by several orders of magnitude, thanks to high algorithmic parallelization combined with adaptation to technological hardware constraints. Although the design is fairly detailed, it remains hypothetical since the device has not been actually built. TWIRL is constructed using current VLSI technology, and it would be possible to factor 1024-bit integers, thus breaking 1024-bit RSA keys in one year at the cost of a few dozen million US dollars.

**Firmware Signature for TI Calculators** These facts demonstrate that RSA is not totally secure: there is evidence that sooner or later, the factors will be found, and the mathematical problem will be solved. An example is the TI83+ graphing calculator, which has firmware protected by a 512-bit RSA signature. The signature key was factored in July 2009 after 73 days of computation on a 1.9 GHz dual-core Athlon64 PC. In 1999, the same computation would have required 8000 MIPS-years + Cray C916. Now, all users may autonomously modify the firmware themselves. A signed firmware means that a software is signed with a digital signature (which is a piece of software). If anybody alters the software, then the digital signature will fail, and there is a hardware control that will not operate if the software is modified.

### 2.3.2 Key distribution for asymmetric cryptography

Private keys must never be disclosed and must be protected. The other key does not need protection, since it is a public key that must be distributed as widely as possible. However, the problem is: who guarantees the binding (correspondence) between the public key and the identity of the person?

There are two solutions to this problem:

1. Exchange of keys **Out-Of-Band** (OOB) (e.g., key party!)
2. Distribution of the public key by means of a specific data structure named **public-key certificate** (=digital certificate). But what is the format of the certificate? Do you trust

the certificate issuer?

### 2.3.3 Secret key exchange by asymmetric algorithms

In principle, asymmetric cryptography can guarantee confidentiality without a shared secret by itself, but, as previously mentioned, it is slow. This makes it applicable only to a small quantity of data. For this reason, a common practice is **to use it to send the secret key chosen for a symmetric algorithm**, solving at the same time the issue of symmetric key distribution for symmetric algorithms and the slowness of asymmetric ones for big data.

In the example (Figure 2.18), X is willing to send an encrypted message to Y: to do so, it produces a key  $K$  (usually 128-256 bits long) and encrypts it using the public key of Y ( $PK.Y$ ), resulting in  $\epsilon$ . Note that, given the small size of  $K$ , performing its asymmetric encryption is fast enough. At this point, Y uses its private key ( $SK.Y$ ) to decrypt  $\epsilon$ , obtaining the original symmetric key  $K$ , which will be used for the rest of the communication between X and Y, in the example to send "hello." Typically, the key  $K$  can be changed for each message or for each network session; however, it is not meant to be long-term (e.g., years). This type of encryption is applied every time a server connection is created.



Figure 2.18: Secret key exchange by asymmetric algorithms

Is this schema issue-safe? In some environments, the fact that X decides what key will be used for the actual communication is not acceptable. Y could argue that X's key is not safe, maybe it has been disclosed, and someone could be able to decrypt the message in the communication without violating the asymmetric encryption used to exchange the key. The same reasoning could apply to the opposite case, in which Y decides the key to be used. In such cases, the key can be produced jointly using the *Diffie-Hellman algorithm*.

#### Diffie-Hellman algorithm

The two parties (called Alice and Bob in this example<sup>5</sup>) involved in the communication agree on two public integers  $(p, g)$ ,  $p$  (a large prime number), and  $g$  (called the "generator"), given the constraint  $1 < g < p$ . The length of a Diffie-Hellman key is defined as the number of bits in the binary representation of  $p$ . Both numbers  $p$  and  $g$  are public values, and typically,  $g = 2, 3$ , or  $5$ .

Both parties decide autonomously on a (random) number, called  $x$  for peer Alice and  $y$  for peer Bob. Alice computes the number  $X = g^x \bmod p$ , and Bob computes  $Y = g^y \bmod p$ . They then exchange these values in clear. It is easy to see that  $K_A = K_B = g^{xy} \bmod p$ , so the parties

<sup>5</sup>In this example, "Alice and Bob" were used; the figures uses A and B

have decided together on a value for the symmetric key to be used because it depends on both  $x$  and  $y$ .



Figure 2.19: Diffie-Hellman (DH)

### Recap:

- Alice and Bob choose  $p$  (prime, large) and  $g$  (generator, a primitive root module  $p$ ) such that  $1 < g < p$ , typically  $g = 2, 3, 5$ . The length of DH key will be equal to the number of bits of  $p$ .
- Alice arbitrarily chooses an integer  $x > 0$  and computes  $A = g^x \text{ mod } p$   
Bob chooses an integer  $y > 0$  and computes  $B = g^y \text{ mod } p$
- Alice and Bob exchange these values in clear.
- Alice computes  $K_A = B^x \text{ mod } p$   
Bob computes  $K_B = A^y \text{ mod } p$
- It is easy to see that  $K_A = K_B = g^{xy} \text{ mod } p$

**Vulnerabilities** From an attacker's perspective, even if they know the values for  $p$  and  $g$ , as well as the values  $A$  and  $B$  being exchanged (e.g., attempting a passive man-in-the-middle attack), it is not possible to compute  $K_{AB}$ . This is because the attacker would need to also know  $x$  or  $y$ . Therefore, the Diffie-Hellman algorithm is **resistant to sniffing attacks**. However, it is vulnerable to man-in-the-middle attacks if the attacker can manipulate the data. This manipulation can be detected if the exchanged values are authenticated. In such cases, certificates for Diffie-Hellman keys are required, or there is a variant of the algorithm called **Authenticated Diffie-Hellman** (or **MQV**, named after the scientists who invented it, Menezes-Qu-Vanstone).

As it uses only public information, it is referred to as the first public key algorithm ever invented. It is commonly used to agree on a secret key, earning it the title of a key-agreement algorithm, as opposed to the key-distribution algorithm discussed earlier. While it was patented in the USA, it has been royalty-free since April 29, 1997.

**DH: man-in-the-middle attack** As stated, the only way to attack Diffie-Hellman after performing sniffing is to execute a brute-force attack to find  $x = \log_g A \bmod p$ . However, solving this is infeasible since it involves a discrete logarithmic problem. In the case of an attacker performing an active man-in-the-middle attack, the outcome is different.

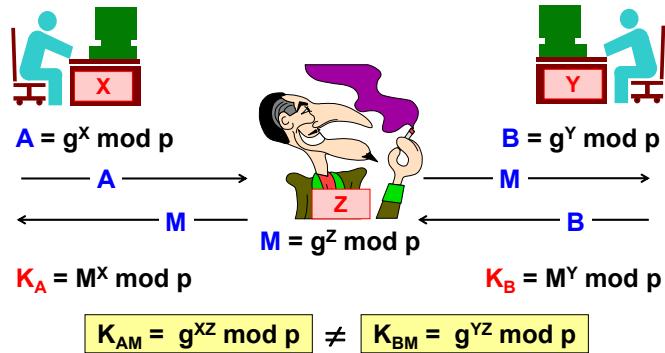


Figure 2.20: DH: man-in-the-middle attack

Let us suppose that a man-in-the-middle entity,  $Z$ , is participating in the communication. Similar to  $X$  and  $Y$ ,  $Z$  computes  $M = g^z \bmod p$ . When peer  $X$  sends its value  $A$ ,  $Z$  intercepts it and sends the value  $M$  in exchange. Similarly, when peer  $Y$  sends its value  $B$ ,  $Z$  intercepts it and sends the same value  $M$ . By doing so, peer  $X$  will compute  $K_A = M^x \bmod p$ , while  $Y$  will compute  $K_B = M^y \bmod p$ .

This time, the two values are not equal. In fact,  $K_{AM} = g^{xz} \bmod p$ , while  $K_{BM} = g^{yz} \bmod p$ . They are effectively computing a shared key with the man-in-the-middle, and any data they encrypt can be decrypted by the man-in-the-middle, who can inspect, modify, and then re-encrypt the data for the destination using the other shared key.

This attack is made possible because when a peer receives a value, it has no proof of who sent it. This is the reason why one possible solution is to use **certificates**. One application of Diffie-Hellman (DH) could be in HTTPS: the browser could produce a key and send it encrypted with the public key of the server, or the server could publish its DH value signed with its private key and distribute it. DH is widely used nowadays to agree on a key, and there is also the possibility to have authentication (because otherwise, such a Man-in-the-Middle attack is possible).

**Elliptic curve cryptography** A new kind of cryptography of this type is ECC (Elliptic Curve Cryptosystem). The concept is the following: instead of using modular arithmetic, the operations are executed on the surface of a 2D (elliptic) curve. Not all the points in the Cartesian space are valid points, but only those that satisfy the 2D curve equation. The problem of the discrete logarithm on such a curve is that since the point representation is more complex, the problem, in general, is more complex than the one in normal modular arithmetic. This gives the opportunity to use keys shorter by a factor of approximately 1/10.

Most algorithms have been revisited to adapt them to the elliptic curve (RSA cannot be adapted):

- ECDSA for digital signature:
  - Message digest computed with a normal hash function (e.g., SHA-256);
  - Signature = pair of scalars derived from the digest plus some operations on the curve (see below about operations on the curve).
- ECDH for key agreement;
- ECMQV for authenticated key agreement;
- ECIES (EC Integrated Encryption Scheme) for key distribution:
  - Generates a symmetric encryption key (e.g., an AES-128 one) with operations on the curve;
  - Provides the receiver with the information (based on their public key) needed to recomputed the encryption key.

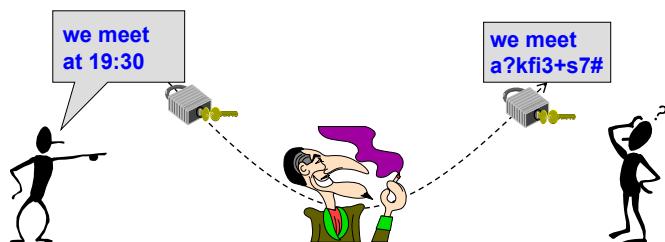
#### Case history: Sony PS3 hacking

The Sony PS3 is a Linux machine on which direct access to the system is blocked by Sony, as well as any modification to it, by digitally signing the firmware with Sony's own signature. The PS3 has embedded Linux with a loader verifying the ECDSA signature of binaries before execution. The generation of an ECDSA signature requires a random nonce; otherwise, the private key can be computed from the signature. The problem arose because Sony decided on a fixed random number (technically not a nonce anymore). As a consequence, the private key was computed and distributed worldwide, allowing anyone to run their own binaries on their PS3.

Sony attempted to take the hackers to court, accusing them of violating their software. However, the judge dismissed the charge because the security measure was not implemented correctly.<sup>a</sup>

<sup>a</sup>More info at <https://fahrplan.events.ccc.de/congress/2010/Fahrplan/events/4087.en.html>

## 2.4 Message integrity



So far, we have seen that there are methods to guarantee that a message from a sender to the receiver cannot be understood by a third party. However, it is still possible for an attacker to intercept the traffic from the two parties and change the encrypted message. Even if this does not give him the possibility to alter the message in a specific way, he can change it in an unpredictable way (for example, flipping some bits in the message). In certain types of communication, this causes the message to become not intelligible anymore when decrypted by the receiver. Consequently, it becomes evident that something went wrong during transmission. In this case, the receiver can ask for retransmission, and a continuous attack like this is, at the very least, a Denial of Service (DoS). In other cases, for example, when the message is a bank account number, there is a high likelihood that the result will be a different number, but still a valid one, so the destination has no way to check if it is wrong. This is particularly dangerous when the communication takes place between automatic systems.

So, along with confidentiality, integrity is a very important matter. Integrity is not about preventing modifications of data; it means that when some data is received, *the receiver can verify if the data has been modified or not*. This is important not only in communications but also for storing data on the disk; it can be important to verify if someone has changed the data on the disk since it has been written. The importance of integrity is shown by a study conducted by the UK army, which estimated that only 10% of their communications needed confidentiality, but 100% of them needed integrity. **Integrity also implies authentication** since a message that has not been modified is also authentic (which means that it is the same as sent by the sender).

To guarantee integrity, the technique used is to compute a **digest**, which is a *fixed-length* summary of the whole message to be protected. A digest is conceptually similar to a checksum (widely used in communication to detect transmission errors); however, the algorithms used to compute a checksum are easy to attack. Therefore, a digest is usually calculated via a **cryptographic hash function**. The digest must be:

- Fast to compute;
- impossible or very difficult to invert;
- it should be difficult to create "collisions" (two different messages should not produce the same digest).



### 2.4.1 Cryptographic hash functions



Figure 2.21: Hash functions (dedicated)

The hash function works like this:

- Split the message  $M$  into  $N$  blocks  $M_1, \dots, M_N$ ;
- Iteratively apply a base function ( $f$ );
- $V_k = f(V_{k-1}, M_k)$  with  $V_0 = \text{IV}$  and  $h = V_N$ .

The IV is an initialization value that does not need to be announced or be random. Typically, it is fixed and specified inside the algorithm itself (source and destination must perform the same computation).

## SHA

### SHA-2 family

The most widely used algorithms nowadays are those in the **SHA-2 family**: they have the same block size but an increasingly digest size. Because they inherit the structure of SHA-1, which has been broken<sup>6</sup> and should never be used anymore, it is possible that soon they will not be secure enough. SHA-3 has already been developed and should be the choice to adopt once its strength is proven.

The SHA-2 family was a quick fix after the SHA-1 attack, and it was developed by making the digest longer. The main algorithms are SHA-256, which uses a 32-bit word, and SHA-512, which uses a 64-bit word (suitable for 32/64-bit PCs, respectively).

**Digest length** The digest length is important to avoid **aliasing**, which is the collision of two different messages producing the same digest. Breaking a digest algorithm means finding a second message that will generate the same digest as the first one. If the algorithm is well-designed and generates a digest of  $N$  bits, then the probability of aliasing is  $P_A \propto \frac{1}{2^{N\text{bits}}}$ . This is the reason why digests with many bits are required, as statistical events are involved.

As a consequence of statistical considerations (see the birthday paradox<sup>7</sup>), an  $N$ -bit digest algorithm is insecure when more than  $2^{N/2}$  digests are generated because the probability of

<sup>6</sup>[https://www.schneier.com/blog/archives/2005/02/sha1\\_broken.html](https://www.schneier.com/blog/archives/2005/02/sha1_broken.html)

<sup>7</sup>[https://en.wikipedia.org/wiki/Birthday\\_problem](https://en.wikipedia.org/wiki/Birthday_problem)

<b>name</b>	<b>block</b>	<b>digest</b>	<b>definition</b>	<b>notes</b>
<b>MD2</b>	<b>8 bit</b>	<b>128 bit</b>	<b>RFC-1319</b>	<b>obsolete</b>
<b>MD4</b>	<b>512 bit</b>	<b>128 bit</b>	<b>RFC-1320</b>	<b>obsolete</b>
<b>MD5</b>	<b>512 bit</b>	<b>128 bit</b>	<b>RFC-1321</b>	<b>obsolete</b>
<b>RIPEMD-160</b>	<b>512 bit</b>	<b>160 bit</b>	<b>ISO/IEC 10118-3</b>	<b>old + rare</b>
<b>SHA-1</b>	<b>512 bit</b>	<b>160 bit</b>	<b>FIPS 180-1</b>	<b>sufficient</b>
<b>SHA-224</b>	<b>512 bit</b>	<b>224 bit</b>		
<b>SHA-256</b>	<b>512 bit</b>	<b>256 bit</b>	<b>FIPS 180-2</b>	
<b>SHA-384</b>	<b>512 bit</b>	<b>384 bit</b>	<b>FIPS 180-3</b>	<b>good</b>
<b>SHA-512</b>	<b>512 bit</b>	<b>512 bit</b>		
<b>SHA-2</b>				
<b>SHA-3</b>	<b>1152-576</b>	<b>224-512</b>	<b>FIPS 202 FIPS 180-4</b>	<b>excellent</b>

Figure 2.22: Cryptographic hash algorithms

having two messages with the same digest is  $P_A \sim 50\%$ . If an attacker sniffs the network, they can simply store all the messages and their digests passing by. With many messages and digests stored, the probability of having the same digests for two different messages is high. At this point, it is possible to exchange the two messages because they have the same digest.

A cryptosystem is "balanced" when the encryption and digest algorithms have the same resistance. So, SHA-256 and SHA-512 have been designed for use respectively with AES-128 and AES-256, while SHA-1 (i.e., SHA-160) matched Skipjack-80 (an algorithm developed by the UK secret service). Notice that AES has half the bits of SHA (due to  $N/2$ ).

### SHA-3 family

Because of the already cited problem with SHA-2 family, SHA-3 was a competition for a new dedicated hash function: the winner was announced on 2 October 2012 and was **Keccak** (pronounced *catch-ack*) (developed by G.Bertoni, J.Daemen, G. Van Assche (STM), M.Peeters (NXP)). The NIST team praised the Keccak algorithm for its many admirable qualities, including its elegant design and its ability to run well on many different computing devices. The clarity of Keccak's construction lends itself to easy analysis (during the competition all submitted algorithms were made available for public examination and criticism), and Keccak has higher performance in hardware implementations than SHA-2 or any of the other finalists.

<b>function</b>	<b>output</b>	<b>block capacity</b>	<b>definition</b>	<b>strength</b>
<b>SHA3-224(M)</b>	<b>224</b>	<b>1152</b>	<b>448</b>	<b>Keccak[448](M    01, 224)</b>
<b>SHA3-256(M)</b>	<b>256</b>	<b>1088</b>	<b>512</b>	<b>Keccak[512](M    01, 256)</b>
<b>SHA3-384(M)</b>	<b>384</b>	<b>832</b>	<b>768</b>	<b>Keccak[768](M    01, 384)</b>
<b>SHA3-512(M)</b>	<b>512</b>	<b>576</b>	<b>1024</b>	<b>Keccak[1024](M    01, 512)</b>

Figure 2.23: Strength of different hash functions belonging to SHA-3 family as function of digest length and block size

Keccak is used to define various hash functions (FIPS-202): there are three versions of SHA-3, which are intended as the replacement of the corresponding hash functions in the SHA-2 family

because they produce the same output length and have the same strength. Moreover, there are variable-length outputs since it is possible to parametrize the output length. Of course, a shorter digest leads to weaker protection.

Other variations have been defined (NIST SP.800-185):

- cSHAKE (customizable domain parameters);
- KMAC (keyed digest);
- TupleHash (hash of a tuple, where sequence matters);
- ParallelHash (fast hash by exploiting internal CPU parallelism).

### KDF (Key Derivation Function)

Cryptographic hash functions are not only for digests, but they can also be used to derive (create) a key. A cryptographic key must be random, meaning that 1 and 0 bits are to be uniformly distributed. However, typically, users insert passwords or passphrases that are guessable and not random. This happens because it is impractical for a user to remember a meaningless sequence of bits. Usually, a key is derived from a password or passphrase inserted by the user, so that  $K = \text{KDF}(P, S, I)$ , where:

- $P$  is the user's password or passphrase;
- $S$  is a salt, an unpredictable variation to make  $K$  difficult to guess even knowing  $P$ ;
- $I$  is the number of iterations of the base function (to slow down the computation and make life complex for attackers).

When providing information to the destination, the passphrase is transmitted in a secure way, while the salt will be inserted in the message itself. The salt is something like an IV, a large random number used to prevent precomputations. There are two main key derivation functions that are based on cryptographic hash functions:

- **PBKDF2** (RFC-2898) uses SHA-1, with  $|S| \geq 64$  and  $I \geq 1000$ : The function is  $DK = \text{PBKDF2}(\text{PRF}, \text{PWD}, \text{Salt}, C, dkLen)$ , where:
  - PRF = pseudorandom function of two parameters with output length  $hLen$  (e.g., a keyed HMAC);
  - PWD = password from which a derived key is generated;
  - Salt = cryptographic salt;
  - C = number of iterations desired;
  - dkLen = desired length of the derived key;
  - $DK$  = generated derived key  $T_1 \| T_2 \| \dots \| T_{dkLen/hLen}$ , where each  $T_i$  has length  $hLen$ .

An important application of this KDF is in wireless networks, specifically in WPA2 solutions. When the passphrase is entered, there is an implicit use of this function:  $DK = PBKDF2(HMAC-SHA1, PWD, ssid, 4096, 256)$ , where  $ssid$  is the name of the wireless connection.

However, PBKDF2 can be attacked because  $C$  may be large, but this requires only a lot of computation but not a lot of RAM, hence it can be attacked by ASIC or GPU. A countermeasure is to increase the RAM needed for the attack. A public competition (Password Hashing Competition, *PHC*) about that was launched in 2013, and on July 20, 2015, the winner was **Argon2**.

- **HKDF** (RFC-5869) uses HMAC.

**MAC, MIC, MID** We have seen that a digest could be used in pair with a message to achieve integrity; typically, the part added to the message for integrity is called **MIC** (Message Integrity Code), because of the code added to the message to protect its integrity. If a message is not being modified, it means that it is also authentic and in this case the code is also named **MAC** (Message Authentication Code). They mean the same thing, but MIC is usually used in the telecommunication and networking environment, while MAC is mostly used in the application field. Usually when talking about MIC or MAC, we are also assuming that the digest is keyed, as we will see next. Since this code is an addition to the original message, usually also a unique identifier for the message is included to avoid replay attacks, and that is called **MID** (Message IDentifier). In this context a replay attack is not an attack to the integrity of a single message but to the integrity of the transmission process.

### 2.4.2 Protecting the digest

The problem now to be faced is how to protect the digest: in the simple case in which a digest is used to verify that a file on disk has not been changed, a good approach could be to store the digest in a different and secure place, so that it will not be altered. When sending data, the digest cannot be exchanged out of band, so it means that the digest must be intrinsically secure. There are two mostly adopted techniques:

- Authentication by means of **keyed digest** (Chapter 2.4.2);
- Authenticated encryption (Chapter 2.4.3).

#### Authentication by means of keyed digest

The digest is sent along with the message, but it is calculated not only on the data but also on a secret key that is shared between the sender and receiver. So, these operations are performed:

- **Sender:**  $d = \text{digest}(K, M)$ ;
- **Transmission:**  $M \| d$ ;
- **Receiver:**  $d' = \text{digest}(K, M)$ ;

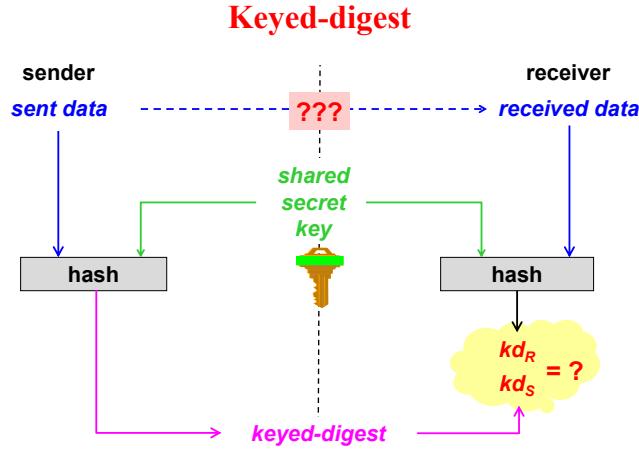


Figure 2.24: A scheme of how a keyed digest works

- **Verification:** if  $(d == d')$  then OK else it means that something has been changed during transmission (the data or the digest, it does not matter).

**Advantages** Advantages of this technique are that there is only one operation (calculation of the digest) and that only few data are added. It is worth noticing that this mechanism guarantees authentication, since only those who know the key can compare the transmitted digest with the digest calculated on the received data. This is the fastest solution to provide integrity and authentication to the data. However, it does not provide non-repudiation: let us suppose that the receiver of a commercial order wants to take the sender of that order to court because he repudiates the order itself; then the receiver would show as proof the message along with the digest that proves integrity and authenticity. In this case, it is not possible to say that the sender originated the order, since the receiver has all the means to create it by himself: he has the data and the key upon which to compute a valid keyed digest.

So, this solution **guarantees authentication** since the receiver can be sure that the data is coming from the sender and has not been modified, but it does not provide non-repudiation by itself since it is impossible for one of the two parties to demonstrate to a third party that the other created the message.

### Keyed digest:

**HMAC** HMAC is defined in RFC-2104 and it uses a base hash function  $H$ . So, HMAC is not a hash function but a way to compute a secure MAC starting from a hash function  $H$ . The base hash function  $H$  must have a block size  $B$ , with an output  $L$  bytes long, where  $B > L$ . Then there are some definitions:

- $ipad = 0x36$  repeated  $B$  times; it is a padding composed of  $0x36$  repeated  $B$  times to fill all the block.

- $opad = 0x5C$  repeated  $B$  times;
- Deprecated keys such that  $|K| < L$ ; never use a key smaller than the output. For example, if HMAC with SHA-1 is being used, it requires a minimum of a 160-bit key.
- If  $|K| > B$ , then  $K' = H(K)$ ; else  $K' = K$ ; if the key is bigger than the block, then the size of the key is reduced by computing the hash of the key (otherwise, the original one is used).
- If  $|K'| < B$ , then  $K'$  is 0-padded up to  $B$  bytes.

Given that,  $hmac = H(K' \oplus opad \| H(K' \oplus ipad \| data))$ .

The  $K'$  is XORed with  $ipad$  and it is pre-appended to the data. Then, the hash is computed. After the hashing, the  $K'$  is XORed with  $opad$  and it is pre-appended to the result of the hash, and the hash is again computed. It is easy to see that basically HMAC is a double hash of the data composed in some way with the key. This is much stronger than just pre- or post-appending the key to the data. This is the most widely solution used when it is needed to create a MAC (or MIC, it is the same). HMAC is typically used to protect integrity. If confidentiality must also be protected, there is CBC-MAC.

**CBC-MAC** Sometimes we do not need only integrity but also confidentiality, so we compute encryption. In that case, rather than using another function (for example, we are using AES for encryption and we also need to have SHA-256 for integrity, which means we need to have more code, which is not always possible, for example, in embedded systems), it is possible to compute a MAC not based on a hash function but based on a symmetric algorithm: this is named CBC-MAC.

It exploits a block-oriented symmetric encryption algorithm in CBC mode with a null IV, taking the last encrypted block as the MAC. The message  $M$  is split into  $N$  blocks  $M_1, \dots, M_N$ . Then, taking  $V_0 = 0$ , for  $k = 1, \dots, N$ , do  $V_k = \text{enc}(K, M_k \oplus V_{k-1})$ ; finally, the CBC-MAC is equal to  $V_N$ . So, the size of the CBC-MAC is equal to the size of one block of the underlying symmetric encryption algorithm. If, for example, we are using AES-CBC-MAC, then the digest will be 128 bits long. The best version of DES-based CBC-MAC is the Data Authentication Algorithm (standard FIPS 113, ANSI X9.17).

CBC-MAC is secure only for fixed-length messages because attacks that extend the length of the message can be successful; for variable-length messages, it is better to use **CMAC**.

#### 2.4.3 Integrity and secrecy: how to combine?

We discussed that in most applications, we need both integrity and confidentiality. This can be achieved through two orthogonal operations: symmetric encryption with a key  $K_1$  for secrecy and key digest (MAC) with  $K_2$  for integrity. There are various ways to combine these operations:

1. **Authenticate-and-encrypt (A&E)**: first, the plaintext is encrypted with  $K_1$ , then the result is concatenated with the MAC computed on the plaintext with  $K_2$ , in formulas,

$$\text{enc}(K_1, p) \| \text{mac}(K_2, p)$$

In this way, nobody can read the plaintext because it is encrypted, and nobody can alter the ciphertext because after decryption, it is possible to compute the MAC again, and any difference will be noticed.

The main drawback is that for verifying integrity, the message must be decrypted first (an operation that takes time), because the MAC is computed on the plaintext, so there is a vulnerability against DoS attacks. Moreover, for the same reason, the MAC may leak some information about the plaintext. It is not the best solution, but it is used by the SSH protocol.

2. **Authenticate-then-encrypt (AtE)**: first, the MAC is computed with  $K_2$  on the plaintext, then it is concatenated with the plaintext, and the result is encrypted with  $K_1$ , in formulas,

$$\text{enc}(K_1, p \parallel \text{mac}(K_2, p))$$

For reasons like the previous case, this solution is still vulnerable to DoS attacks since it is necessary to decrypt the whole message before being able to verify integrity by computing the MAC. However, this solution is better than the previous one because it does not leak information, since the MAC is not sent in clear but is encrypted.

This solution is used by SSL and TLS up to version 1.2; TLS version 1.3 uses a completely different approach.

3. **Encrypt-then-authenticate (EtA)**: first the plaintext is encrypted with  $K_1$ , then the result is concatenated with the MAC computed on the ciphertext obtained in the previous step: in formulas,

$$\text{enc}(K_1, p) \parallel \text{mac}(K_2, \text{enc}(K_1, p))$$

In this way, it is possible to avoid DoS attacks; in fact, an alteration on the ciphertext will be immediately noticed, that is, without first decrypting the message. This is the solution used by IPsec.

### Security of these compositions

Improper combination of secure algorithms may lead to an insecure result!

- **Authenticate-and-encrypt (A&E)**: insecure unless performed in a single step;
- **Authenticate-then-encrypt (AtE)**: secure only with CBC or stream encryption;
- **Encrypt-then-authenticate (EtA)**: the most secure mode but beware of implementation errors: for example, one should always include IV and the name of the algorithms in the computation of the MAC, otherwise some attacks are possible.

Because neither of these three solutions is perfect, current efforts are towards a joint AE algorithm: this is named **authenticated encryption**.

## Authenticated encryption

*Authenticated Encryption (AE)* represents a cryptographic approach that integrates both **privacy** and **authentication/integrity** within a single operation. This unified approach ensures that the same dataset serves the dual purpose of maintaining confidentiality while simultaneously verifying the authenticity/integrity of the information.

This methodology is characterized by the use of a single key and algorithm, promoting efficiency and reducing the likelihood of errors in combining these two crucial functions.

One notable advantage of AE is its ability to counter chosen-ciphertext attacks that may occur during online encryption processes. In such attacks, an adversary manipulates a ciphertext and observes the recipient's response to detect potential vulnerabilities, such as through padding or decryption oracle attacks. AE addresses this concern by emphasizing the need to verify the integrity of the ciphertext before decryption. This verification process ensures that the data maintains its confidentiality (through encryption) and authenticity/integrity simultaneously.

**IGE (Infinite Garble Extension)** IGE<sup>8</sup> is an authenticated encryption algorithm, providing **confidentiality, integrity, and authentication** all in one. Essentially, it is similar to CBC with one addition: in CBC, a modification in one block of the ciphertext affects only the block itself and the next one (and then propagation stops). In contrast, IGE uses a mechanism by which a modification in any block will affect every block after the mangled one.

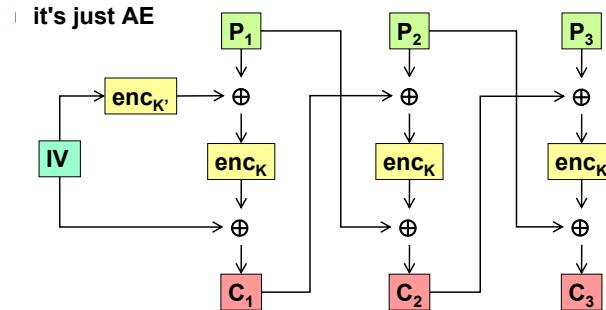


Figure 2.25: How Infinite Garble Extension (IGE) works.

This is important because it means that by putting a last block of plaintext with fixed content (e.g., composed of all zeros or containing the number of blocks of the plaintext), in the decryption phase, it is sufficient to look at the last block and check if it contains the expected value. If not, one can immediately conclude that there has been an attack against integrity. In this context, the last block is what was previously called the tag.

**RFC 5116 - Interface and algorithms for authenticated encryption** There are various ways to perform authenticated encryption; one of the most important is *Authenticated Encryption with Associated Data (AEAD)*, documented in RFC 5116.

<sup>8</sup>This algorithm is not recommended, but the professor explained it for teaching purposes.

This is precisely the type of solution needed for emails and network packets. In essence, authenticated encryption is an algorithm that provides both of the aforementioned functionalities on plaintext. Conversely, AEAD distinguishes between the part that requires privacy and the part that needs integrity.



Figure 2.26: AEAD

Referring to Figure 2.26, the header is the portion that needs only integrity and authentication (also called associated data), while the payload is the part for which confidentiality is also required (hence referred to as plaintext).

This algorithm requires a single symmetric key and a nonce to prevent replay attacks. The result is the ciphertext, which is placed inside the packet as encrypted payload. In front of that, in clear, there are the header and the nonce. Inside the encrypted payload, there is one part that provides integrity not only for the payload itself but also for the header and the nonce; this part is typically referred to as the **tag**.

### Authenticated encryption: standards

ISO/IEC 19772:2009 defines six standard **modes**. By applying these modes with basic encryption algorithms, it is possible to achieve authenticated encryption with associated data (AEAD):

- OCB 2.0 (Offset Codebook Mode) [single-pass AEAD, patented];
- AESKW (AES Key Wrap);
- CCM (CTR mode with CBC-MAC) [double pass];
- EAX (Encrypt then Authenticate then X(trans)late) = CTR + OMAC [double-pass AEAD, free];
- Encrypt-then-MAC;
- GCM (Galois/Counter Mode).

other modes exist and are/will be recommended by other bodies (e.g. NIST, IETF).

**GCM as an example of AEAD** An algorithm applied in GCM mode encryption takes these parameters:

- On encryption,  $(C, T) = \text{algo\_GCM\_enc}(K, IV, P, A)$ , with
  - IV size:  $[1 \dots 2^{64}$  bits] (96 bits is most efficient)
  - P size:  $[0 \dots 2^{39} - 256$  bits]
  - A (associated authenticated data) size:  $[0 \dots 2^{56}$  bits]
  - C has the same size as P
  - T is the authentication tag with size:  $[0 \dots 128$  bits]: Comparing with cryptographic hash functions, this tag is not very large, and we argue that a big integrity code is necessary for strong security. However, beware that since we are not using a hash algorithm here, the security is equal to the number of bits, whereas for cryptographic hash functions, we saw that the protection is half the length of the digest. Therefore, in this case, a tag of 128 bits provides the same protection as a digest that is 256 bits long.
- On decryption,  $P = \text{algo\_GCM\_dec}(K, IV, C, A, T)$ 
  - P is the original plaintext (if authentication is OK)
  - ... or a special value FAIL if the authentication check failed

GCM is defined for only the encryption algorithms with 128-bit block (for example we cannot have DES in GCM, but it is possible to have AES).

**CCM as an example of AEAD** It is Counter-mode with CBC-MAC: first an authentication tag of (plaintext + associated data) is computed by CBC-MAC, then the plaintext and the tag are (separately) encrypted in CTR mode: so, it is a double pass algorithm. It is defined for encryption algorithms with 128-bit block

### Authenticated encryption: applications

- TLS-1.3 uses GCM and CCM;
- 802.11i uses CCM;
- ZigBee (short-range protocol for IoT) uses CCM\* (=CCM + auth-only + enc-only);
- ANSI C12.22 (network transmission of electronic measures, e.g., house power meter) uses EAX' (a famous case of failure): nowadays, it is common to use the electric wire to send electronic measures of a power meter. Because there is no firewall over an electric wire, by attaching a proper device to the electric network, it could be possible to read measures of other people or create fake transmissions. Therefore, protection is needed for privacy (for example, a house not consuming could signal that nobody is at home and so it can be robbed) and for authenticity (the measures sent must be the real ones).

- The modification of the base algorithm created a vulnerability, so the algorithm has been proven to be broken (article<sup>9</sup> by Minematsu, Morita, and Iwata);
- EAX had a formal proof of its security ... but ANSI sacrificed it for 3-5 less encryption steps and 40 bytes less memory usage (was this so important for embedded systems?).

### Comparison of AE modes

- **GCM:** the most popular, on-line single-pass AEAD, parallelizable, used by TLS, present in OpenSSL;
  - For encryption, generates ciphertext + authentication tag;
  - For decryption, first computes the authentication tag and only if it matches the one in input then the ciphertext is decrypted;
  - Fast on Intel architecture ( 4 cycle/byte) using AES-NI for encryption and PCLMULQDQ for the tag.
- **OCB 2.0:** the fastest one, on-line single-pass AEAD, GPL patented so scarcely used, now free but for military uses;
- **EAX:** on-line double-pass AEAD, slow but small (uses just the encryption block), so very good for constrained systems;
- **CCM:** off-line double-pass, the slowest one. Note that double-pass is 2x slower than one-pass (in software).

**NIST lightweight crypto (LWC)** The NIST Lightweight Cryptography (LWC) initiative, launched in August 2018, aimed to identify efficient Authenticated Encryption with Associated Data (AEAD) lightweight algorithms for embedded system. After two rigorous rounds of evaluation, the winner announced on July 2, 2023, is **ASCON**. ASCON is proven to be highly effective for encryption, AEAD, and hashing applications.

**ASCON** Ascon is a family of lightweight authenticated ciphers that had been selected by US National Institute of Standards and Technology (NIST) for future standardization of the lightweight cryptography.

It is not designed to replace AES or SHA3 but rather serves as a lightweight solution suitable for resource-constrained environments. For Authenticated Encryption with Associated Data (AEAD) applications, ASCON offers various configurations such as Table 2.1 and Table 2.2

#### 2.4.4 Authentication by Digest and Asymmetric Cryptography: Digital Signature

As mentioned earlier in Chapter 2.4.2, authenticated encryption offers data integrity, authentication, and confidentiality. However, as discussed in the context of keyed digest, it lacks the

---

<sup>9</sup><https://eprint.iacr.org/2012/018.pdf>

Configuration	Key	Nonce	Tag	Rate	Capacity	$pa$	$pb$
ASCON-128	128	128	128	64	256	12	6
ASCON-128a	128	128	128	128	192	12	8

Table 2.1: ASCON AEAD Configurations

Configuration	Output	Rate	Capacity	Permutation $pa$	Permutation $pb$
ASCON-hash	256	64	256	12	12
ASCON-xof	arbitrary	64	256	12	12
ASCON-hasha	256	64	256	12	8
ASCON-xofa	arbitrary	64	256	12	8

Table 2.2: ASCON Hashing Configurations

ability to provide non-repudiation. This limitation arises from the fact that peers share the key used to compute a valid keyed digest. Consequently, it becomes challenging to determine which of the two peers generated it.

Non-repudiation is achieved by using digest and asymmetric cryptography: the digest is encrypted with the private key of the sender, so that the use of his public key will prove the source.

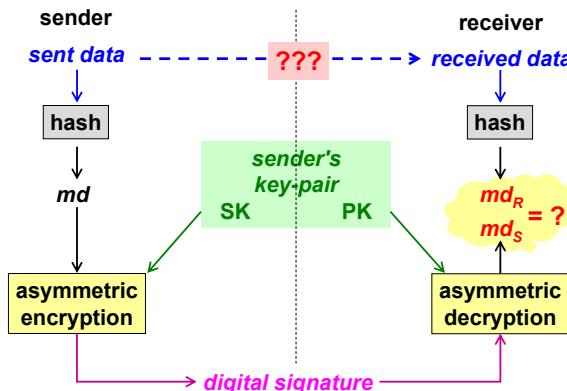


Figure 2.27: Digital signature: computing and verifying a message digest encrypted via asymmetric encryption

Using formulas, such a communication takes place as following:

- Signer:  $H = \text{enc}(S.SK, \text{hash}(M))$
- Transmission:  $M||H$
- Verifier:  $X = \text{dec}(S.PK, H)$
- Verification phase: if  $(X == \text{hash}(M))$  then OK, else it means that something has been changed during transmission.



Figure 2.28: Signature creation and verification

This is the mechanism by which **digital signatures** are implemented. The last piece to obtain full non-repudiation is a mechanism by which it must be possible to guarantee that the association between a public key and an identity is true: this is called a **public key certificate** (Chapter 2.4.5).

An attack that is possible to perform against this schema is the *modification of a digital signature*: changing any bit of the encrypted data leads to a failure in the verification phase, but the same happens with any modification to the digital signature. No matter if the data is correct; it is not possible to say whether the modification affected the data or the signature.

Another case worth discussing is taking a digital signature performed by someone else, then taking that person's public key as well (to make sure the public key fits the digital signature), and then attaching it so as to make it appear that the file is attributed to that person. This will still result in a failure in the verification phase since the digital signature contains the encrypted digest of the original data; the digital signature is bound to the data, so it is not possible to attach a signature to other data.

### Authentication and integrity: analysis

We have examined two methods to achieve authentication and integrity:

- By means of a **shared secret**:
  - Useful only for the receiver.
  - Cannot be used as proof without disclosing the secret key.
  - Not useful for non-repudiation.
  - Good for two peers who trust each other, serving as protection against a third party.
- By means of **asymmetric encryption**:
  - Being slow, it is applied to the digest only.
  - Can be used as formal proof.

- Can be used for non-repudiation, provided that it is possible to demonstrate the owner of the public key (public key certificate, Chapter 2.4.5).

**Digital vs handwritten signature** Digital signatures provide both authentication and integrity, whereas handwritten signatures offer only authentication. Therefore, digital signatures are considered superior due to their tight bound with the data. It's important to note that each user possesses a private key, which can be utilized to generate an infinite number of digital signatures, each unique to a different document.

#### 2.4.5 Public key certificate

As we have seen so far, a digital signature has a great benefit; it can provide non-repudiation. However, non-repudiation is achieved if, in addition to performing a correct digital signature, it is possible to ascribe with certainty the public key to an actor's private key. The attribution of a key to an actor is usually done through a **public key certificate**: it is "*a data structure used to securely bind a public key to some attributes.*" Typically, it binds a key to an identity, but other associations are possible too (e.g., IP address). The security in this binding is provided by a digital signature: the entity that created the certificate, named the *certification authority (CA)*, digitally signs the certificate, so that it is at the same time:

- a proof of authentication: it is a valid certificate because it has been created by a certification authority;
- a proof of integrity: the data are correct, and they have not been modified since the document was issued.

Like a common identity document, a public key certificate also has a **limited lifetime**. It can also **be revoked** on request, both by the user and the issuer.

#### Formats for public key certificates

- **X.509:**
  - v1, v2 (defined by ISO): not very successful;
  - **v3** (ISO + IETF): has achieved great usage and is nowadays the most common format because it can be applied to the security of several internet protocols;
- **Non-X.509:** Since X.509 was developed by ISO, seen as the standardization body for big corporations, some people who do not trust big corporations have created alternative certification structures such as:
  - PGP: mostly used in the underground movement;
  - SPKI (IETF).
- None of these have achieved great diffusion.
- **PKCS#6:** It is a standard promoted by RSA before X.509 was invented. It is partly compatible with X.509, but RSA declared it obsolete.



Figure 2.29: Structure of a X.509 certificate

### Structure of a X.509 certificate

- **Version:** Since there are multiple versions of the same format, as seen previously.
- **Serial number:** Each certificate is uniquely identified.
- **Signature algorithm:** Since the certificate is protected with a digital signature, its format includes information about the algorithm used for the signature.
- **Issuer:** This is the name of the entity that created the certificate, the certification authority. The syntax used to specify this information is called DN (distinguished name), composed of three different fields:
  - C: Country (e.g., Italy).
  - O: Organization (e.g., Politecnico di Torino).
  - OU: Organizational unit, which means the department that created this certificate (e.g., certification authority).
- **Validity:** Defines the period for which the certificate is considered valid. Outside that period, the CA does not guarantee the correspondence.
- **Subject:** The entity controlling the private key corresponding to the certified public key. Here, the DN is used to identify that entity, with additional fields not mentioned earlier:
  - CN: Common name (e.g., Antonio Lioy).
  - Email: The email of the entity. Including these fields is important because public key certificates are used to protect internet applications. For example, to send an email to "Antonio Lioy," the mail server needs to understand the certified mail for the entity, making the email field necessary. The same reasoning applies to other applications for which protection is wanted.

- **subjectPublicKeyInfo:** The field containing the public key; it specifies the algorithm, the key length, and all the bits that constitute it.
- **CA digital signature:** The digital signature of the certification authority computed over the certificate. This way, any modification to it can be detected, as seen previously (Chapter 2.4.5).

So, this mechanism finally solves the problem of knowing who the actor controlling the private key corresponding to the public key is.

### PKI (Public-Key Infrastructure)

This is an infrastructure which is performing two kind of tasks: technical (create certificates) and administrative (before creating a certificate, checking the identity of the requestor is needed). So, this infrastructure oversees *creating, distributing, and revoking* the public key certificates.

**Certificate revocation** Every certificate has a certain validity, but it can be revoked before its expiration date. The revocation can be requested by the owner or by the creator of the certificate:

- The owner can request the revocation in case he has no more control over the private key (e.g., private key stored on a stolen smartcard). This case resembles when a credit card is lost: the owner should go and request to revoke the certificate as soon as possible because if the thief uses that private key, it will appear as if the original owner has performed the signature. This is the most common case in which a certificate is revoked.
- The creator can revoke the certificate in case a certificate has been created for a fake identity. If someone has managed to demonstrate a fake identity to a certification authority, he will receive a valid certificate. Nevertheless, if later the certification authority discovers the deception, it will revoke the certificate automatically.

When a certificate is revoked, the certification authority creates a list stating that the certificate is no longer valid. Since the certification authority does not know when and where the stolen key will be used, the transaction protected by a signature is received by various actors (the receivers). These receivers must check whether the certificate was valid at the time of the signature. The actor responsible for verifying if the signature was valid or not is called the **relying party (RP)**. This means that before trusting the certificate, it should be checked if it is valid or not.

**Revocation mechanism** There are two mechanisms to perform a check on the signature:

- **CRL (Certificate Revocation List):** The certification authority creates a list of revoked certificates. The list is digitally signed by the CA to ensure authenticity and integrity. Without the digital signature, someone could manipulate the list by removing or adding certificates. The CRL contains information about all revoked certificates, allowing the

receiver to verify the validity of a certificate at the time it was issued. For instance, if a document signed three months ago is received today, the verification must consider the validity status of the key three months ago. The drawback is that the entire list must be downloaded to check a single certificate, but it provides a comprehensive history of each certificate.

- **OCSP (On-line Certificate Status Protocol):** This mechanism is preferred for real-time checks, such as transactions. OCSP is a client-server protocol where a specific service can be queried to determine the current validity status of a certificate. Unlike CRL, OCSP does not consider previous states of the certificate. The response from the server is signed, preventing anyone from providing a fake response.

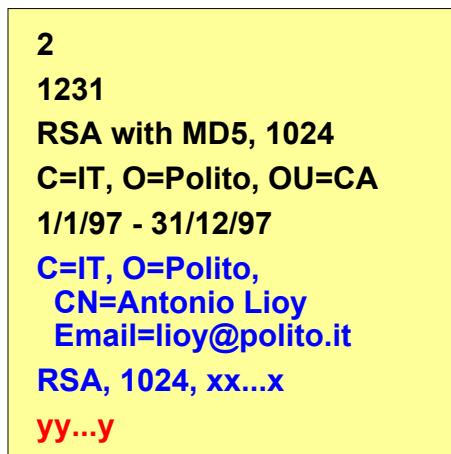


Figure 2.30: Structure of a X.509 CRL

**Structure of a X.509 CRL** The X.509 CRL shares common parameters with the X.509 certificate, including *version*, *signature algorithm*, and *issuer*. However, the X.509 CRL structure introduces the "**thisUpdate**" parameter, indicating the time when the CRL was created. This timestamp is crucial because if a signature check is conducted on a document signed before this date, the relevant information is found in the CRL. Conversely, if the verification pertains to an event occurring after the "thisUpdate" date, the current CRL may not be suitable, and a more recent CRL needs to be obtained. Following this parameter, there is a list of revoked certificates, each accompanied by the *userCertificate* and *revocationDate*.

### Verification of a signature / certificate

Suppose we want to verify a signature. The verification process involves obtaining the public key certificate signed by the entity providing it (e.g., the certificate of "Antonio Lioy" is signed by "Politecnico di Torino"). A crucial question arises: how can we verify that this last signature is valid? To check the validity of the CA's signature, we need the public key certificate of that CA. However, this certificate is created and signed by another CA, and the verification of

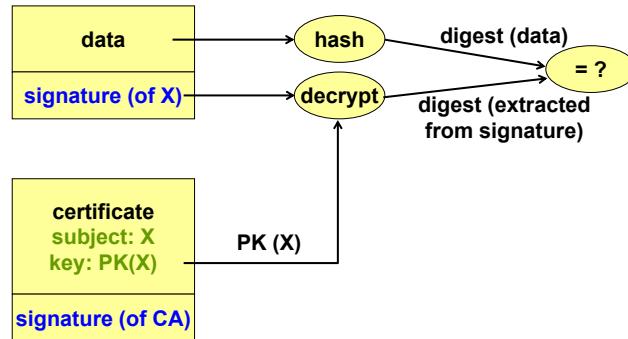


Figure 2.31: Verification of a signature / certificate

this signature requires the public key certificate of the second CA. This chain of dependencies creates a recursive problem<sup>10</sup>. Consequently, a hierarchical infrastructure for the certification and distribution of public-key certificates becomes essential.

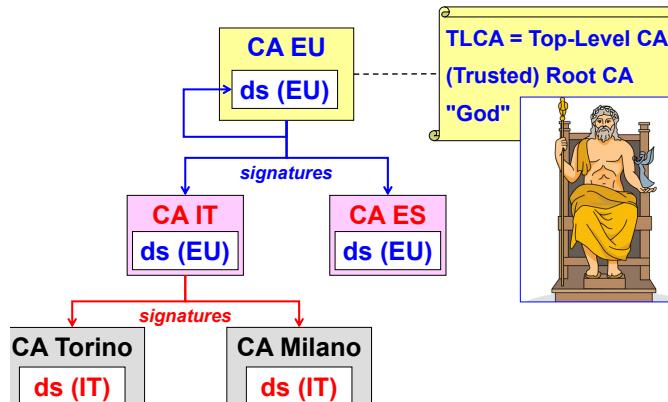


Figure 2.32: Certification hierarchy

Such a hierarchical infrastructure is depicted on Figure 2.32. For example, to check the signature of "Politecnico di Torino," which created the public key certificate for "Antonio Lioy," the certificate of "CA Torino" is needed, which contains the signature of "CA IT"; to check if this one is good, the certificate of the entity that signed the "CA IT" certificate is needed, so the "CA EU" must be obtained. This last CA ends the chain; in fact, the "CA EU" is signed by the European CA itself: this last certificate is trusted a priori (*self-signed*), it cannot be verified, and for this reason is also called **TLCA, Root CA**, or even **God**.

Please note that in the world there is not only one TLCA, nor are there a few: typically, in computer systems, there are quite a lot of trusted CAs, and this is due to two main reasons:

- **Security:** The one who would control the only TLCA would also control the other ones,

<sup>10</sup>Quis custodiet ipsos custodes?

by faking them;

- **Commercial:** To obtain a certificate from a certification authority, a price must be paid to it.

For the second reason, the list of trusted CAs can be different on systems produced by different manufacturers: since it is a matter of who places the trust, one system can accept or not accept a CA as a trusted one. For example, in the past, Microsoft performed only light checks on incoming trusted CAs but requested the payment of \$250,000 to be in its list.

The problem that arises is: **can't an attacker fake the whole tree?** Of course, that is possible: if the device is left unattended and the attacker manages to have administrative privileges, it is possible to add another (fake) Root CA, making the whole hierarchical system useless for that device. The list of Root CAs can be managed either by the operating system or by the application being used.

## Performance

Cryptographic performance is primarily dependent on the CPU, including its architecture, instruction set, and cache size. Some CPUs are equipped with specialized instructions tailored for cryptographic algorithms. Generally, client-side performance is not a significant concern, unless dealing with less powerful devices like IoT, embedded systems, or clients overloaded by multiple applications. On the other hand, server environments or network nodes (e.g., routers) may face performance challenges when implementing security measures.

In such cases, cryptographic accelerators, such as **Hardware Security Modules (HSMs)**, can be employed. These modules offer primitive instructions to execute various security algorithms. Additionally, **special-purpose accelerators** are available, which not only handle hardware-based cryptographic operations but also support packet processing for specific security protocols (e.g., SSL, IPsec). Alternatively, **generic accelerators** may focus on expediting computations related to a particular cryptographic algorithm, such as AES, for various protocols.

**CNSA** In 2018, the NSA released a new suite named CNSA (Commercial National Security Algorithm Suite), which includes the following algorithms:

- **Symmetric Encryption:** AES-256 - Any key shorter than 256 bits is now considered insufficient. To protect real-time (e.g., stream) data, it suggests using mode CTR for low bandwidth applications or GCM for those requiring high bandwidth. Moreover, GCM provides authenticated encryption, offering an additional advantage.
- **Hash:** SHA-384
- **Key Agreement:** ECDH and ECMQV
- **Digital Signature:** ECDSA, with EC on the curve P-384

For legacy systems, such as old systems that are still operational and unable to perform EC or GCM, it is recommended to use:

- **Key Agreement:** DH-3072
- **Key Exchange, Digital Signature:** RSA-3072
- New quantum-resistant algorithms are expected by 2022.

# Chapter 3

## Authentication techniques and architectures

### 3.1 What is authentication

#### 3.1.1 Definitions of authentication

There are three different definitions of *authentication*:

- **RGC-4949 (Internet security glossary):**

"the process of verifying a claim that a system entity or system resource has a certain attribute value"

- **whatism.com:**

"the process of determining whether someone or something is who or what it is declared to be"

- **NIST IR 7298 (Glossary of Key Information Security Terms):**

"verifying the identity of a user, process, or device, often as a prerequisite to allowing access to resources in an information system"

The key aspects of these definitions are that they define the authentication of an actor, meaning that **it could be not only a human being** (interacting via software running on hardware) **but also a software component or a hardware element** (interacting via software). The common shorthand for authentication is `authN` or `authC`, while `authZ` is used for authorization, *which is different but related*.

#### 3.1.2 Authentication factors

While authenticating an *actor*, there are three categories of **authentication factors** that can be used:

- **Knowledge:** authentication relies on something that *only the user knows*, for example a static passphrase, code, or personal identification number.

The associated risks involve the storage of this knowledge, how it can be demonstrated, and the way it is transmitted.

- **Ownership:** authentication relies on something that *only the user possesses* (often called an "authenticator"), for example, a token, smart card, or smartphone.

The associated risks can be related to the authenticator itself, such as the possibility of infection with malware, the potential for it to be manufactured in a country that imposes government control, or the risk of it being stolen, cloned, or used without the owner's authorization (e.g., forgetting an unlocked smartphone).

- **Inherence:** *something the user is*, for example, a biometric characteristic (such as a fingerprint).

The associated risks include counterfeiting and privacy concerns. Inherence factors pose a greater risk than the previous cases because, for example, a biometric characteristic cannot be replaced when compromised. For this reason, inherence factors should be limited to very secure environments, typically used only for local authentication, as a mechanism to unlock a secret or a device.

## 3.2 Digital authentication model (NIST SP800.63B)

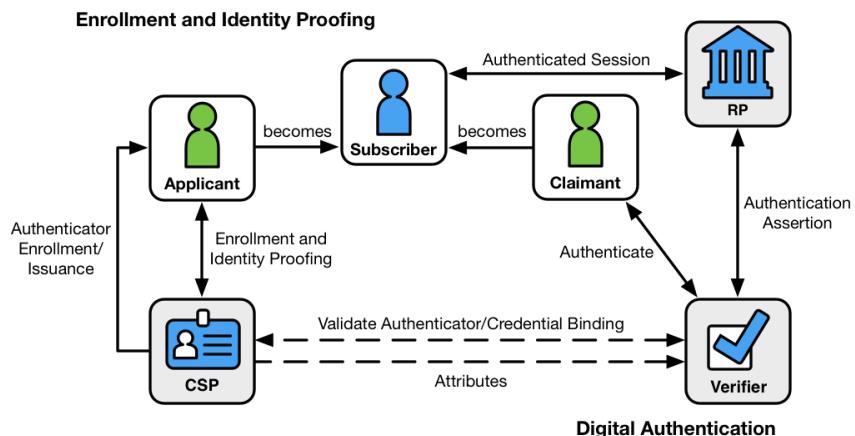


Figure 3.1: General model for digital authentication as described in NIST SP800.63B

- In this model, an actor who wants to use a system is called an **applicant**: if it possesses an authenticator it can provide it to the **CSP (Credential Service Provider)**, or it can get one (for example, when a student is enrolled in Politecnico, he is given a smart card that works as an authenticator). The CSP is that component that will issue or enrol user credential and authenticator, and verify and store associated attributes.

When this procedure is completed successfully, the actor becomes a **subscriber**, which is an entity recorded in the authentication system.

- Later, when the actor wants to use some network service, typically the actor is called a **claimant**, because they claim to be a valid user. Typically, an authentication protocol against a **verifier** is run to verify this claim. When this process ends successfully, the actor becomes **a subscriber with an open authenticated session** with the **relying party**, that will request and receive an authN assertion from the Verifier to assess user identity (and attributes).

The relying party, which requests the actor to be authenticated, is the end application. The verifier may have communication with the CSP to validate the binding between the authenticator used in the authentication protocol and the credential claimed.

## Recap

- **The credential binds an authenticator to the subscriber via an ID:**
  - for example, an X.509 certificate can be considered the credential, as it binds the identity and attributes written inside the certificate with the authenticator. In this case, the authenticator is the private key that the user controls.
- **CSP (Credential Service Provider):**
  - will issue or enrol user credential and authenticator
  - verify and store associated attributes
- **Verifier:**
  - executes an authN protocol to verify possess of a valid authenticator and credential
- **Relying party:**
  - will request/receive an authN assertion from the Verifier to assess user identity (and attributes)

These roles may be separate or collapsed together. Thinking about a *Linux machine* used locally, the enrollment phase involves creating a new user with a username (the credential) and a password (the authenticator). In this scenario, the CSP is the operating system itself, and when a user wants to use a server on this machine, they need to perform login, which is the verifier. The relying party is any software running on that machine that uses the identity as proven by the login service of the operating system.

Another example is the use of Google Identity for different services, such as the Doodle Service to schedule an appointment. For Doodle, there is an option to use Google or Facebook Credentials. In this case, the relying party is Doodle, while the verifier (as well as the CSP) is Google or Facebook.



Figure 3.2: Generic authentication protocol

### 3.3 Generic authentication protocol

Suppose that the user wants to access an application server (Relying Party). In this case, the server will include both the Relying Party and Verifier. The user has been identified with the User ID and has a secret associated with that User ID. The server's identifier contains a table with the User ID and the result of the function  $f$  applied to the secret. Normally, the secret should never be stored in cleartext; of course, if the function  $f$  is the identity function, it means you are storing the secret in cleartext, which is not recommended.

When the user wants to access the service, they receive an **authentication request**. Initially, they provide the **User ID**, and then the verifier asks for a **proof request**; the user replies with the **proof =  $F(S_{\text{UID}})$** , which is the result of the computation with the function  $F$  applied to the user's secret.

In this scenario, several problems need to be addressed:

- On the user side, how is the secret stored? How is the secret provided (e.g., if it is a password entered via a keyboard, a keylogger could disclose it)? Is the transmission of the proof secure?
- On the server side, how are the secrets related to the user stored? When a proof is received, how is it verified to be the correct proof?

#### 3.3.1 Passwords (reusable)

Imagine that the secret is a **reusable password** (meaning that it is always the same), and the user is identified by their User ID, with the secret being the password associated with that user. On the server side, there is a table containing usernames and passwords in plain text or a function  $H$  computed over the password.

Once again, there will be an **authentication request**, followed by the user sending their **UID**. Then, a password request is made, and the user responds with  $P_{\text{UID}}$ .

Assume the network is secure and focus on the verifier's side. The secret is the user's password, and the client creates and transmits the proof, typically using a function  $F = I$ , which is the identity function. The proof is the password sent in plain text, which is, of course, dangerous.



Figure 3.3: Authentication with password

Now, looking at the server, when the server receives the password, it needs to check if it is correct or not:

1. first case: if the function  $f$  used to store the password is the **identity function** ( $f = I$ ), then the proof is the password in cleartext. In this scenario, the server knows all the passwords in plain text, and verifying their correctness is simple. However, it is risky; if someone copies the database, they will gain access to all data.
2. second case (the suggested one):  $f$  is not the identity function but a **one-way hash** (a digest of the password), and the server does not know the password in plain text but only the (unprotected) digest  $H_{\text{UID}}$ . This means that access control is a bit more complex, as when the proof is received, the hash of the proof is computed and compared with the hash stored in the password database. If the database is stolen, the attacker will not have a copy of the plain-text passwords.

### Problems of reusable passwords

Password-based authentication is usually convenient for the user, but only if they have to remember just one password, a reusable one. The current situation is unfortunate because in some applications, there is a need for several passwords that cannot be remembered by a person, so they would need to be stored on the user's side, which is a source of insecurity. The **disadvantages** of password-based authentication are:

- **The user-side password storage**: it could be written on a post-it or on a client-side password manager (also called password wallet), that stores it encrypted typically using only one passphrase;
- **Guessable passwords**;
- **Server-side password storage**: the server must know the password in cleartext or an unprotected digest of it (dictionary attack);
- **Sniffing**: Password can be sniffed while it is sent across the network;
- **DB attacks**: There could be attacks to the password DB at the verifier (if DB contains plaintext or only obfuscated password);

- **Password guessing:** it is very dangerous if it can be done offline, for example against a list of password hashes;
- **Password enumeration:** if the password is limited in length or character type, or if authN protocol doesn't block;
- **Password duplication:** using the same password for different services, due to user password reuse. This could be a problem because if the user has the same password for a high-security service and for a weaker one, an attacker could discover it on the weaker system and have access to the high-security one;
- **Cryptography aging:** the solution adopted for verifying the secret should not be tied to a specific cryptographic algorithm, because it could be then difficult to adapt to the need for changing the algorithm used, due to new attacks and more computing power;
- **Password capture** via server spoofing and phishing;
- **MITM attacks.**

### Password best practice

- Use a **mixture** of alphabetic characters (both uppercase and lowercase), digits, and special characters. Unfortunately, there are many systems that don't allow the use of special characters or impose limits on password length.
- Use a **long** password, preferably at least 8 characters in length.
- **Avoid using dictionary words**, as attackers often employ dictionaries from multiple languages.
- **Change your password frequently.** If the same password is kept for an extended period, attackers have more time to perform their computations. It's advisable to change your password at least once or twice a year to reduce the window of exposure.
- Whenever possible, **consider not using passwords**. However, this may be unavoidable unless biometric techniques are employed.

### Storing passwords

#### Storing passwords on the server-side

- Never store passwords in cleartext.
- If the password is **encrypted**, the server must have access to the encryption key in cleartext, which can be a security concern. To enhance security, it's recommended to **store a password digest**. However, be cautious of dictionary attacks that can be expedited by techniques such as **rainbow tables**. To mitigate these types of attacks, you can introduce an unpredictable element known as a "**salt**".

### Storing password on the client-side

- Should be only in user's head;
- If passwords are a lot, use an encrypted file or a password wallet;
- It's better use an encrypted file, or a "password wallet / manager".

### Storing passwords on the client-side

- Passwords should be memorized by the user.
- If there are numerous passwords to manage, consider using an encrypted file or a password wallet.

#### 3.3.2 The "Dictionary" Attack



If you store the plain hash of a password, dictionary attacks are possible. This is possible under two hypotheses:

1. known hash algorithm;
2. leakage of information, so that the attacker has a copy of the **password hash values**.

Hashes are not invertible functions, but it is possible to make a **pre-computation**. Therefore, even if there is no copy of any password hash yet, it is possible to decide that it would be worthwhile to attack passwords stored as plain SHA-1 hashes in the future.

You must obtain a dictionary containing not only the Italian language but all possible languages. For each word in the dictionary, you compute the hash of the word and store it in a database paired with the corresponding word. By "word," we mean a potential passphrase, not a part of it. Typically, attackers have dictionaries extended to include words such as names of famous people.

The main hypothesis is that the user has chosen one of the words contained in the dictionary. The attack proceeds as follows:

1. At some point, the attacker obtains a hash value due to a leakage.
2. The attacker performs a simple **lookup** as follows:  $w = \text{lookup}(\text{DB}, \text{HP})$ , where DB is the database and HP is the computed hash of a word in the database, if any of the hash passwords appears in any tuple.

3. If the response is positive, the password is equal to that word. If not, the password is not from the dictionary.

**Pre-computation** is the key because if you wait until you get a copy of the password hash, and only at that point you start computing all the possible hash values, it could be too late because the password could have changed.

### 3.3.3 Rainbow table

A dictionary attack can be made faster and more effective by the **Rainbow table** technique. It is still a *dictionary attack*, but it involves a trade-off between space and time. Trying all possible passwords and computing the hash would be fast, but the result would be a huge database. If you have a complete database, the lookup would be fast, but fewer passwords are stored, and a bit more time is taken to compute the password if the corresponding hash is present. This is an improvement because it makes an exhaustive attack feasible for certain password sets.

Imagine creating a rainbow table to attack a password that we know contains 12 digits. The exhaustive attack would require  $10^{12}$  rows, which is a huge number of lines containing passwords and the corresponding hash values. A rainbow table could be used to reduce the number of rows in the database by a factor of 1000. In this way, we get a  $10^9$  rows database, where each line represents 1000 passwords. To achieve that, we use the **reduction function**:

$$r : h \Rightarrow p$$

It is a function  $r$  that takes a hash as input and creates one possible password. **Beware that this is NOT the inverse of the hash ( $h^{-1}$ )**, because the inverse of the hash does not exist. It is just a mapping function that, from a hash, creates one of the possible passwords of the whole set; in other words, the reduction function is a different function with a swapped domain and codomain of the hash function.

For other informations, check [https://en.wikipedia.org/wiki/Rainbow\\_table](https://en.wikipedia.org/wiki/Rainbow_table).

**Pre-computation** Then, the pre-computing is the following:

```
■ pre-computation:  
  ■ for ( 109 distinct P )  
    ■ for (p=P, n=0; n<1000; n++)  
      - k = h(p); p = r(k);  
    ■ store ( DB, P, p ) // chain head and tail
```

1. Select  $10^9$  distinct passwords (the desired size) called  $P$ .
2. For each of them, initialize the computation starting from that specific password, and then iterate 1000 times; each time the hash of the current password is computed (called  $k$ ), and then the reduction function is used to go from  $k$  to another possible password.

3. At the end, the password  $P$  of the first cycle is stored in the database together with the last computation of the reduction function (called  $p$ ).
4. Then, the entry implicitly represents all the 1000 passwords tried. Note that there is no more hash to be stored.

**Attack** Then the attack rises in this way:

```
■ attack:  
■ let HP be the hash of a password  
■ for (k=HP; n=0; n<1000; n++)  
    ■ p = r(k)  
    ■ if lookup( DB, x, p ) then exit ( "chain found!" )  
    ■ k = h(p)  
■ exit ( "HP is not in any chain of mine" )
```

1. HP is the leaked hash of a password;
2. Start an iteration of at most 1000 times, and each time, the reduction function is used to derive a possible password from the hash value;
3. Next, search the database to check if there is a row where  $p$  (the result of the reduction function) is at the end of the chain. In that case, we found the chain containing that hash; otherwise, a new value  $k$  is calculated by performing the password hash;
4. After finding the chain, the computation of the hash must be done again to identify which hash matches the one we have.

The problem is that since the reduction function is going from a hash to one possible password, there could be two different hashes that generates the same password, and this is called **fusion**. Rather than using a reduction function, a set of  $n$  reduction functions is used, one for each reduction step. On internet there are on sale pre-computed rainbow tables for various hash functions and password sets (e.g., SHA1 for alphanumeric).

This technique is used by various attack programs.

### 3.3.4 Using salt in storing passwords

The critical point in the previous kind of attack is that the attacker performs pre-computation. Without the rainbow table and without the database created by the dictionary, it would take a lot of time. For this reason, **do not provide the attacker with the information needed for pre-computation**, because it is based on the idea that the attacker may know which is the password (through the dictionary).

- Using the following technique, even if it can be possible to guess what a possible password is, the attacker does not get the hash table because every time a User ID is created, the

system generates a **salt** that is different for each user.

The salt is a random (unpredictable) and long (increased dictionary complexity) string of bytes. Users do not have to memorize the salt, which should contain rarely used or control characters.

Then the hash is computed using the password concatenated with salt:

$$HP = \text{hash}(\text{pwd}|\text{salt});$$

- The verifier stores UID,  $HP_{\text{UID}}$  and  $\text{salt}_{\text{UID}}$ .

If someone gets the information in the database, he also gets the salt, but only then the computation can start, which will require a lot of time and in the meanwhile the password could have been changed. Additionally, there are different HP for users having the same password.

This makes the dictionary attacks nearly impossible (including those based on rainbow tables).

### Example: Passwords in Linux

Originally stored in `/etc/passwd`, hashed with a DES-based hash function named `crypt()`. Since `/etc/passwd` needs to be world-readable (contains usernames, UID, GID, home, shell, etc.), passwords have been moved to `/etc/shadow`, readable only by system processes. Passwords are stored in the following form - see `crypt(5)`:

```
$id$salt$hashedpwd
```

Different hash functions are used depending on the ID, for example:

- 1 = MD5, ..., 5 = SHA-256, 6 = SHA-512, ...

If `$id$salt` is absent, the old DES-based hash is used (with a 12-bit salt, and the password is truncated to 8 characters) - danger! Some algorithms have adjustable complexity (to counter brute-force attacks).

### Case history: The LinkedIn Attack

In June 2012, someone was able to copy 6.5 million passwords from LinkedIn, which were unsalted plain SHA-1 hashes. The person published those hashes on the internet and asked for crowdsourcing, used for cooperative password cracking (which means trying to compute SHA-1 hashing of words and looking if someone has a match). At least 236,578 passwords were found before Interpol was able to ban the website that published the password hashes.

Note that simultaneously LinkedIn found out that the LinkedIn app for iPad/iPhone was sending in clear sensitive data (not relevant to LinkedIn!).

### Example: Passwords in MySQL

**MySQL** is a database where usernames and passwords are stored in the "user" table. MySQL (from v4.1) uses a **double hash (without salt!)** to store passwords:

```
SHA-1(SHA-1(password))
```

Then, the hex encoding of the result is stored, preceded by \* (to distinguish this case from MySQL versions < 4.1). For example, for the password "Superman!!!," the field `user.password` is

```
user.password = *868E8E4F0E782EAA610A67B01E63EF04817F60005
```

To verify that this is the double hash of the word, you can use the following command on Linux:

```
$ echo -n "Superman!!!" | sha1sum | xxd -r -p | sha1sum
```

This is the standard way for MySQL to store passwords, which is not secure. It is advisable to change the standard way MySQL uses to store passwords by using a salted approach.

## 3.4 Strong (peer) authN

Recently, there has been a growing emphasis on the requirement to move away from standard authentication methods and adopt strong peer authentication. While this is consistently requested in specifications, it is often not formally defined or defined in multiple, potentially confusing ways.

### 3.4.1 ECB Definition for Internet Banking

According to the European Central Bank (ECB), strong customer authentication (authN) is a procedure based on the use of two or more of knowledge, ownership, and inherence. The selected elements must be mutually independent, ensuring that the breach of one does not compromise the others. At least one element should be non-reusable and non-replicable (except for inherence), and not capable of being surreptitiously stolen via the Internet. The strong authentication procedure should be designed to protect the confidentiality of the authentication data; for example, if a password is used, it cannot be sent in clear text.

### 3.4.2 PCI-DSS definition for payment with credit cards

According to PCI-DSS definition, which is for payment with credit cards, starting from v3.2 it requires multi-factor authentication (MFA) for access into the cardholder data environment (CDE): it does not matter if it a trusted or untrusted network, and it is also used when the access is performed by administrators. The only exception is with direct console access (physical security), which means that you enter the room where the server is placed. For remote access it is always required from untrusted network and by users and third-parties (such as maintenance). This was the best practise until Jan '18 and it has been made compulsory afterwards. **Remember:** MFA is **not** twice the same factor (e.g., two passwords).

### 3.4.3 PCI-DSS Definition for Payment with Credit Cards

According to the PCI-DSS definition, which applies to payment with credit cards, starting from v3.2, multi-factor authentication (MFA) is required for access into the cardholder data environment (CDE). This requirement is applicable regardless of whether the network is trusted or untrusted, and it is also mandatory for administrators accessing the CDE.

The only exception is for direct console access, which involves physical security measures, such as entering the room where the server is located. However, for remote access, MFA is always required, especially from untrusted networks, and for users and third parties (e.g., maintenance).

This best practice was in effect until January '18 and became mandatory thereafter.

**Remember:** MFA does **not** mean using the same factor twice, like using two passwords.

### 3.4.4 Other definitions

According to the *Handbook of Applied Cryptography*,

authentication is a **cryptographic challenge-response identification protocol**.

More in general, it is a technique resisting to a well-defined set of attacks.

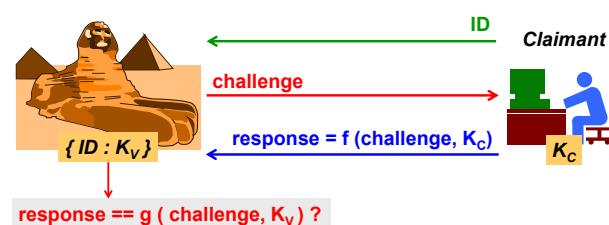
An authN technique can be classified as strong or weak depending on the attack model:

- E.g., users of Internet Banking follow the ECB definition;
- E.g., employees of PSP adhere to the PCI-DSS definition.

In general, pay attention to your specific application field because it defines the types of risks and the level of strength required for your strong authentication.

## 3.5 Challenge-Response Authentication (CRA)

Challenge-response protocol is a possible way to implement strong authentication. CRA means that there is a challenge sent to the Claimant from the Verifier. The Claimant replies with the solution computed using some secret knowledge and the challenge. The Verifier compares the response with a solution computed via a secret associated with the Claimant.



Someone claims to own the identifier (ID). The verifier looks that there is a row associated with that ID and sends to the claimant the challenge. The claimant has a key ( $K_c$ ) and uses it to perform some kind of computation (function  $f$ ) and generates a response. The response can be checked by applying the function  $g$  to the challenge and to a well-known key ( $K_v$ ) of the Verifier. The keys can be different or the same.

## General issues of CRA

- The challenge must be non-repeatable to avoid replay attacks. For this reason, usually, the challenge is a (random) nonce.
- The function  $f$  must be non-invertible, otherwise, a listener can record the traffic and easily find the shared secret by using the function  $K_c = f^{-1}(response, challenge)$ .

### 3.5.1 Symmetric CRA



In this case, there is a common key shared between Claimant and Verifier, which is typically the password or passphrase of the user. The function  $f$  is computed two times: once from the user to make the response, and once from the verifier to verify the match.

## General issues of Symmetric CRA

General issues with Symmetric CRA are:

- The easiest implementation uses a hash function (faster than encryption) such as SHA1 (deprecated), SHA2 (recommended) or SHA3 (future);
- $K_{ID}$  must be known in cleartext to the Verifier and this may lead to attacks against the  $\{ID : K_{ID}\}$  table at the Verifier;

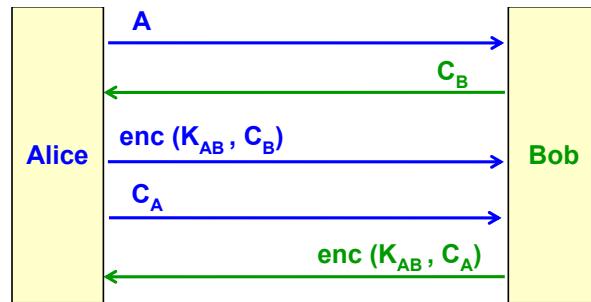
There is a technique called **SCRAM** (Salted CRA Mechanism) which solves this problem by using hashed passwords at the Verifier, which also offers channel binding and mutual authentication, while we are always talking about single authentication

### Mutual symmetric CRA (v1)

Imagine that we are not using a hash but encryption (although it would work in the same way), and let's consider the scenario of mutual authentication.

Alice and Bob share a key  $K_{AB}$ , and Alice sends a message  $A$  to Bob (which means: "Hey, I'm Alice!"). Bob responds with a challenge  $C_B$ , and Alice replies with  $\text{enc}(K_{AB}, C_B)$ , which is the encryption of the challenge using the shared key. Then, Alice could create a challenge ( $C_A$ ), and Bob would respond in the same way by computing  $\text{enc}(K_{AB}, C_A)$ . This approach provides protection against MITM attacks because, if the challenges are nonces, the Replay Attack is not possible.

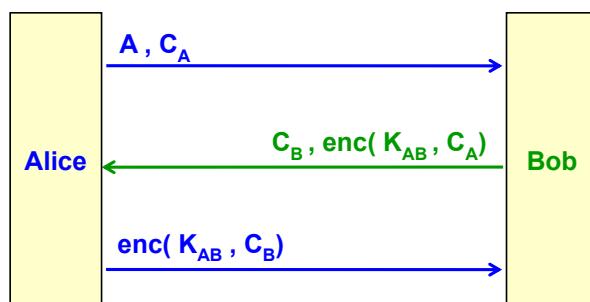
**Beware!** This protocol is outdated and insecure.



### Mutual symmetric CRA (v2)

IBM, in its SNA network system, employed a similar technique with a different implementation: they reduced the number of messages for improved performance without compromising security.

In the initial step, Alice transmits both the identity ( $A$ ) and the challenge ( $C_A$ ). The response from Bob includes both the challenge ( $C_B$ ) and the encryption  $\text{enc}(K_{AB}, C_A)$ . Finally, Alice responds with  $\text{enc}(K_{AB}, C_B)$ . Although this may appear equivalent to the previous solution in terms of functionality and security, it is not.

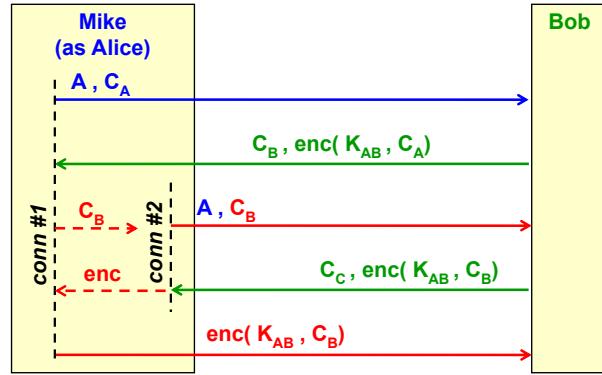


### Attack to the mutual symmetric CRA (v2)

Here, Mike pretends to be Alice. Mike sends to Bob Alice's identity ( $A$ ) and Alice's challenge ( $C_A$ ). Bob replies with  $C_B$  and  $\text{enc}(K_{AB}, C_A)$ .

At this point, Mike does not know  $K_{AB}$  and cannot compute the response to the challenge. However, Mike opens a new connection with Bob, sending Alice's identity ( $A$ ) again but, this time, sends the challenge sent from Bob ( $C_B$ ). Bob replies again with another challenge ( $C_C$ ) and  $\text{enc}(K_{AB}, C_B)$ , which is the answer to the challenge of the 1st connection. Mike can finally provide the correct answer.

Of course, this can be countered if there is a limit on connections.



### GSM (in)security

In our discussion on improved authentication methods beyond basic passwords, we highlighted the effectiveness of the challenge-response approach with symmetric keys. To illustrate this concept in a real-world scenario, let's delve into GSM authentication and its relevance to security.

**GSM** (*Global System for Mobile Communications*) has been designed violating the principle of security through obscurity and relies on three confidential algorithms:

- A8, operating within the SIM (Subscriber Identity Module), focuses on symmetric key generation, laying the foundation for secure connections.
- A3, also embedded in the SIM, handles authentication processes, providing a robust framework for verifying user identities.
- Additionally, A5, a stream cipher implemented in the mobile device, contributes to data encryption, safeguarding sensitive information during transmission.
  - GSM's security approach introduces some level of secrecy through the implementation of A5/1 and A5/2, where A5/1 is the more commonly used variant and A5/2 is intentionally weakened in specific regions for surveillance purposes.
  - A5/3, based on the Kasumi block cipher, offers an alternative encryption method, but it's not widely used.

Implementing **security through obscurity**, as highlighted, is inherently problematic. This approach is not only detrimental in terms of the algorithm's efficacy but also raises concerns about the design process itself. The fact that a limited group of individuals crafted the process without seeking revisions, reviews, or insights from external experts resulted in a suboptimal design. This underlines the importance of inclusive and collaborative design practices for creating robust and effective security measures.

Furthermore, the discretion of Mobile Network Operators (MNOs) comes into play, allowing them to choose algorithms for A8, A3, and A5. Typically, A8 and A3 are constructed using the COMP128 secret function, involving the computation of

$$Z = \text{COMP128}(X, Y)$$

with each variable being 128 bits. A8 extracts the least significant 54 bits of Z to generate the connection key

$$Kc = lsb(54, Z)$$

while A3 utilizes the most significant 32 bits of Z to produce a "*Signed RESpone*"

$$SRES = msb(32, Z)$$

actually this is not a digital signal because it is not using any public key.

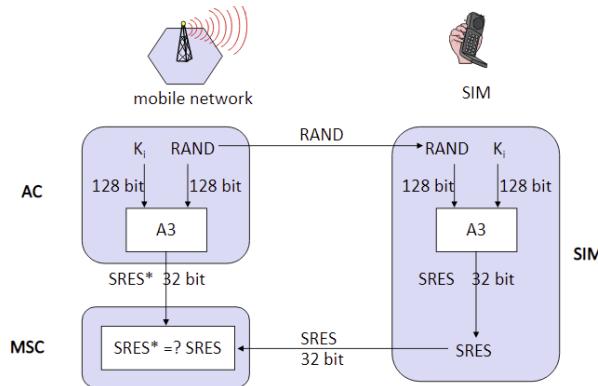


Figure 3.4: GMS authentication

**GSM Security Overview** In GSM authentication, a symmetric Challenge-Response Authentication (CRA) is employed to verify the identity of the Mobile Station (MS), such as a mobile phone, to the Base Station (BS) through its SIM. The SIM holds an **individual subscriber authentication key** ( $Ki$ ), a confidential 128-bit secret shared with the Authentication Centre (AC).

The process unfolds as the BS transmits a random 128-bit challenge ( $C$ ) to the SIM, and in response, the SIM computes the 32-bit Signed Response (SRES) using the A3 algorithm with inputs  $C$  and  $Ki$ :

$$SRES = A3(C, Ki)$$

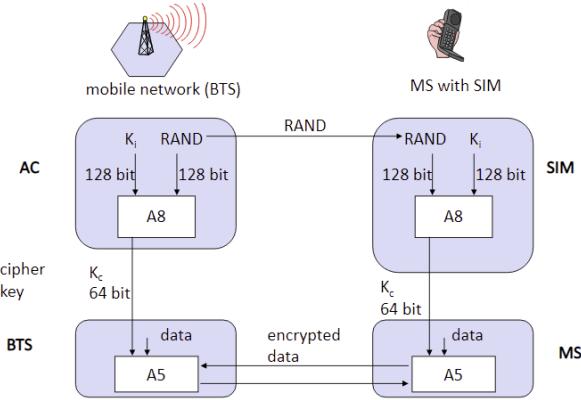


Figure 3.5: GMS encryption

This value is, in fact, a keyed digest, as it is computed based on a key.

However, a notable vulnerability arises with COMP128-1, which is identified as weak. Exploiting chosen-challenge and differential cryptanalysis techniques, a relatively modest number of challenges, around 150,000, are adequate to compute  $K_i$ . This weakness opens the door to potential security breaches, enabling actions such as SIM cloning, where an unauthorized party can replicate the SIM, sharing the same  $K_i$ .

Consequently, with the compromised  $K_i$ , the attacker gains the ability to decrypt the communication traffic by computing the Connection Key ( $K_c$ ) for that specific  $K_i$  and the challenge ( $C$ ) sent by the BS. This vulnerability highlights the importance of addressing weaknesses in authentication protocols to ensure the integrity of GSM security.

### 3.5.2 Asymmetric CRA

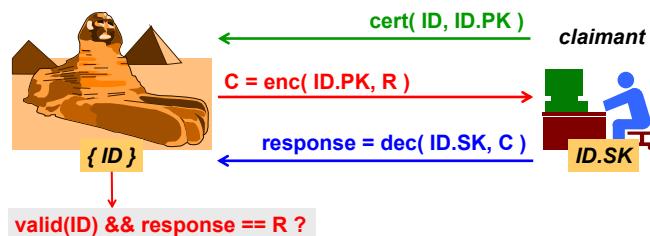


Figure 3.6: Asymmetric CRA

It is also possible to employ an asymmetric challenge-response mechanism. In this scenario, the user does not transmit their identity directly but rather provides their X.509 certificate, which declares both their identity and public key (the claimant possesses the corresponding private key,  $ID.SK$ ). The Verifier initiates the process by generating a challenge, employing a random nonce  $R$  encrypted with the public key. The claimant decrypts the challenge using their private key

and returns the decrypted value to the Verifier. If the response matches the  $R$  value specified in the challenge, it confirms possession of the private key. The only necessary verification involves checking if a valid ID is registered in the Verifier's database.

**Asymmetric CRA: analysis** Asymmetric Challenge-Response Authentication (CRA) is the strongest usable mechanism, eliminating the need for storing any secrets at the Verifier. It is consistently implemented for *peer authentication* (client and server) in protocols such as IPsec, SSH, and TLS. Additionally, it serves as a fundamental component for user authentication in a new authentication system named FIDO (Chapter ).

However, it comes with certain downsides:

- **Slowness**, stemming from the inherent sluggishness of asymmetric cryptography.
- Inaccurate design may result in **unintentional signature creation by the Claimant**. The Claimant, while receiving something, performs a computation with the private key. This computation, used both for decryption and creating a signature, poses a risk of inadvertently signing a document. To mitigate this risk, the data provided as input for decryption on the claimant-side must follow a specific format.
- Public Key Infrastructure (**PKI**) **issues**, including concerns related to *trusted roots*, *name constraints*, and *revocation*, since it relies on certificates. These issues can be avoided if the Verifier stores  $ID.PK$ , however this strategy shifts the equivalent PKI effort to the Verifier. This approach is adopted by SSH, wherein the public key is taken and made public on the server, despite with the significant risk of someone potentially altering the key stored on the server.

### 3.5.3 One-time password (OTP)

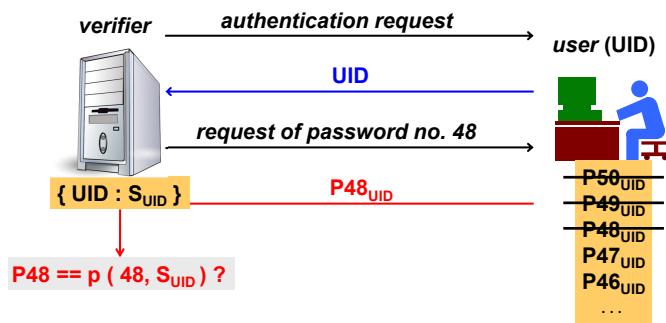


Figure 3.7: One Time Password (OTP)

Instead of employing reusable passwords, users are assigned passwords that are valid for a single login session. When a claimant seeks access to the server, they respond by providing their userID. Subsequently, the server issues a request for a specific password from an extensive list,

for instance, password number 48. If passwords  $P_{49UID}$  and  $P_{50UID}$  have already been utilized, the user transmits  $P_{48UID}$  and marks it as used in the table.

At this juncture, the server maintains a table containing user identifiers (UID) and the undisclosed secret ( $S_{UID}$ ) that the user is unaware of. This secret is utilized for password generation. The Verifier, in turn, replicates the function used to generate the password to regenerate it and verifies if it aligns with the one transmitted by the user. This methodology renders passwords **immune to potential sniffing attacks**, as they can be transmitted in plaintext, knowing that the subsequent login will involve a different password.

## Summary

- The password in this authentication protocol is **only valid for a single run**, necessitating a new password for each subsequent authentication session.
- This characteristic makes it **immune to sniffing attacks**, as the intercepted password becomes obsolete after one use.
- However, it is **subject to Man-in-the-Middle (MITM)** attacks when an entity assumes the role of the verifier. To mitigate this risk, Verifier authentication is essential.
- Provisioning for subscribers involves managing a large number of passwords, leading to potential password exhaustion.
- Additionally, **inserting passwords can be challenging** due to their typically random and complex nature, designed to prevent guessing attacks.

**OTP provisioning to the users** For OTP provisioning to users, additional precautions may be necessary when the claimant operates on a device lacking computational capabilities or on an insecure/untrusted workstation. In such cases, physical safety measures can include:

- A sheet of paper containing pre-computed passwords.
- Hardware authenticator (crypto token): a device where passwords are stored or generated.

By contrast, when working on an intelligent and secure/trusted workstation, OTPs can be **automatically computed** by a dedicated application (commonly found in smartphones, tablets, laptops, etc.).

## The S/KEY system

This was the first OTP definition and implementation by Bell Labs (1981).

- The user generates a secret  $S_{ID}$ .
- Then the user autonomously computes  $N$  one-time passwords where  $P_1 = h(S_{ID})$ ,  $P_2 = h(P_1)$ , ...,  $P_N = h(P_{N-1})$  (associated hash).

- The Verifier stores the last one  $P_N$ . This password will never be used directly for authentication but only indirectly.
- When the user wants to access the server, the Verifier (which has  $P_N$ ) asks for  $P_{N-1}$  and gets  $X$  from the user. Then the following check is performed:

```
if ( $P_N \neq h(X)$ ) then FAIL
else OK; store  $X$  as  $P_{N-1}$ 
```

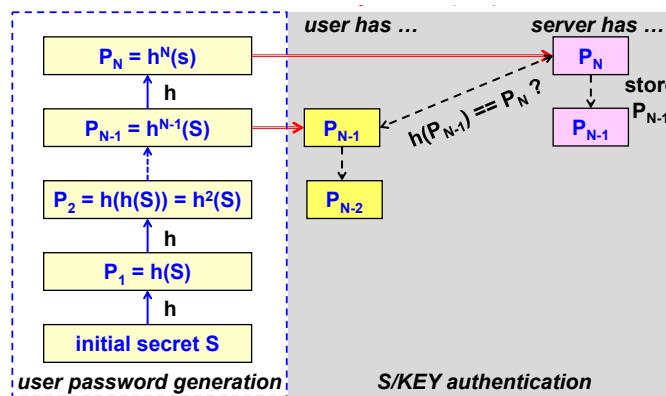


Figure 3.8: The S/KEY System

The technique employed here involves the Verifier requesting passwords **in reverse order**. This approach allows the Verifier to operate without the need to possess knowledge of the user's secret, placing the responsibility on the user to be familiar with all passwords. This methodology is detailed in RFC-1760 and employs MD4 (although alternative options are possible). *S/KEY* serves as an illustration of an Off-line / Pre-computed OTP.

It is crucial to note that **MITM** (Man-in-the-Middle) **attacks are possible with OTP**. Consequently, *S/KEY* should be utilized in conjunction with **server authentication**.

**S/KEY - generation of the password list** The user inserts a passphrase (PP), which must be a minimum of 8 characters long and kept secret. If disclosed, the security of S/KEY is compromised. The passphrase is concatenated with a server-provided seed (sent in cleartext from S to C), allowing the use of the same passphrase for multiple servers (using different seeds). It is also possible to safely reuse the same passphrase by changing the seed.

A 64-bit quantity is extracted from the MD4 hash, which generates a 128-bit result (by XORing the first/third 32-bit groups and the second/fourth groups).

**S/KEY - passwords** 64-bit passwords represent a compromise, and entering them in hexadeciml form requires 16 characters. Typically, they are input as a sequence of six short English words selected from a dictionary of 2048 (e.g., 0 = A, 1 = ABE, 2 = ACE, 3 = ACT, 4 = AD, 5 = ADA).

This entails choosing 11 bits from the computed hash and utilizing a dictionary with simple words corresponding to the combinations (2048 in this case). **It is crucial that the client and server share the same dictionary.** For instance:

- **Password (text):** "YOU SING A NICE OLD SONG," not because it serves as a password but because "YOU" is one of the words in the dictionary, representing 11 bits. In total, there are 6 words, resulting in 66 bits (more than 64, ensuring security).
- **Password (numeric):** 1D6E5001884BD711 (hex) or 2,120,720,442,049,943,313 (decimal).

This is merely an illustrative example of encoding a lengthy bit string in a user-friendly manner.

### Time-based OTP

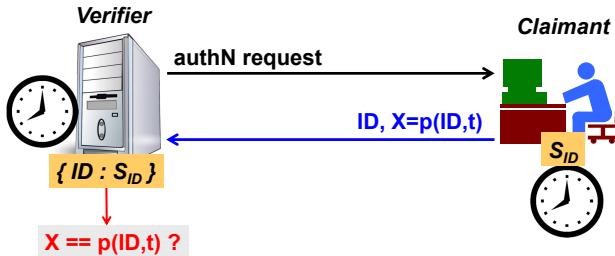


Figure 3.9: Time Based OTP

In this solution, the password depends on time and the user's secret:

$$p(ID, t) = h(t, S_{ID})$$

When the claimant wants to authenticate, it receives a request from the verifier and submits its own ID plus the value generated by a device (authenticator), which tells the claimant which is the correct password to be entered at that moment. At that point, the verifier needs to check if the value is the current OTP for the current time. Since the verifier has a large table containing, for each user, the corresponding secret, it can perform the same computation and compare it.

This type of OTP requires local computation at the subscriber and clock synchronization (or keeping track of time-shift for each subscriber). Due to the fact that the password needs time to be sent, the time needs to be quantized (considering timeslots, usually ranging from 30 to 60 seconds), and an authentication window is established. Usually, the verifier considers the password as correct if it is generated one timeslot before or one timeslot after the correct timeslot according to its own time. In formulas, the authentication succeeds if<sup>1</sup>

$$X == p(ID, t) \quad || \quad X == p(ID, t - 1) \quad || \quad X == p(ID, t + 1)$$

<sup>1</sup>Here "||" means logical or instead of concatenated

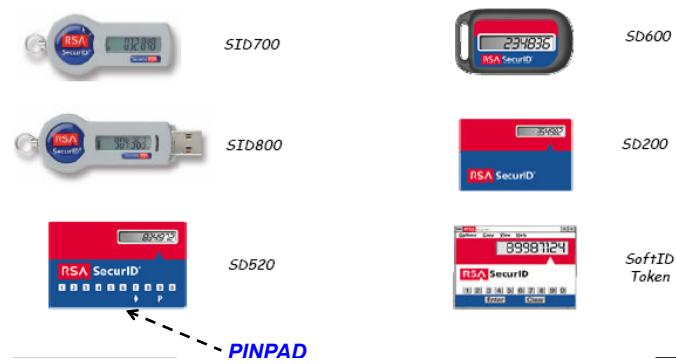
(where  $t$  is the timeslot). Typically, only one authentication run per timeslot is allowed, which might not be suitable for some services, for example, a broker that needs to perform many transactions concurrently.

This system is **susceptible to time-based attacks** against both subscribers and the Verifier. Typically, servers and clients synchronize their time with an external source, and an attack can be executed using a fake NTP server or a mobile network femtocell. For instance, if an attacker successfully deceives the subscriber into providing a password for a future timeslot, they can exploit it at that specific time.

Since the Verifier stores the secret for each user, it must manage a highly sensitive database. In the event of a security breach where the database is compromised, the attacker gains the ability to impersonate users and compute the passwords for any user. An incident of this nature occurred in an attack against a TOTP system named *RSA SecurID*.

**A TOTP example: RSA SecurID** In this system, the Claimant sends the triple  $\{user, PIN, tokencode(seed, time)\}$  in clear to the Verifier. As *RSA SecurID* is a physical device continuously displaying the correct password, if someone other than the subscriber reads and uses it, an attacker could gain access with the subscriber's identity. This emphasizes the need for an additional authentication factor. Therefore, one factor is "*owning the device*" while the other is "*knowing the (reusable) password*".

Since it could be possible for the PIN to be sniffed while sent across the network, there is a variant of the *SecurID* authenticator that includes a "pin pad" (a sort of small keyboard just for entering the PIN). When the PIN is entered, the value of the PIN is taken into account for the generation of the OTP. In this case, it is possible to send just the user and the modified token code (the tuple  $\{user, token-code^*(seed, time, PIN)\}$ ), which is also a function of the PIN. Based on the user and PIN, the Verifier checks against three possible token codes:  $TC_{-1}$ ,  $TC_0$ ,  $TC_{+1}$ , as in any TOTP system.



Moreover, each device is associated with two PINs: the first one is the one used normally for authentication, while the second is called duress code, and it is used to generate an alarm when the subscriber is under attack (for example, when the user is forced by a criminal to authenticate).

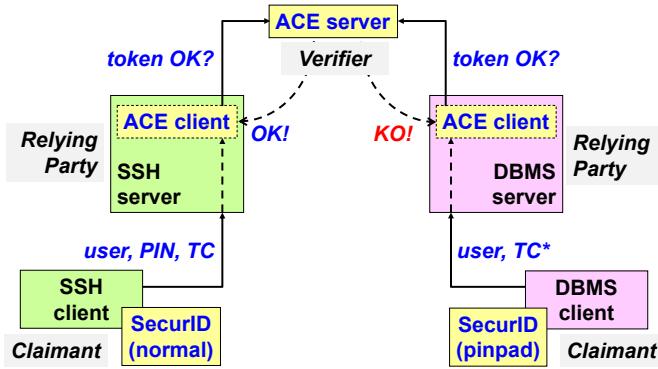


Figure 3.10: SecurID: architecture

**SecurID: architecture** Besides providing the hardware authenticator which computes the token code, RSA also provides some component called **ACE** (Access Control Engine):

- **ACE client** is installed at the Relying Party, which is the server that wants to use the authentication system;
- **ACE server** implements the Verifier.

In the illustration (Figure 3.10), the ACE server positioned at the top serves as the verifier, possessing the comprehensive information required to validate a token code. The service servers represent the Relying Party, entities necessitating access control integration with RSA SecurID. To interact with the verifier and authenticate user credentials, these servers must implement the ACE client. On the left of the depicted scenario, remote access to an SSH server is illustrated: the user, equipped with a standard SecurID device, submits the triple {user, PIN, TC}. Upon receiving this information, the Relying Party forwards it to the verifier, which subsequently delivers a response indicating the validity of the provided credentials. On the right side of the picture, an unsuccessful authentication attempt is exemplified, employing a device with a pin pad.

The significance of examining this architecture extends beyond its role as a SecurID implementation. It serves as a comprehensive model, highlighting the need for server enhancements to accommodate specialized authentication methods (e.g., DBMS software in the provided example). When a company aims to deploy a uniform authentication technology across various servers, a centralized verifier becomes essential. This verifier can offer authentication verification services for all relaying parties, drawing parallels with the *NIST schema* (Chapter 3.2) in this regard.

### Event-based OTP

The principal problem with TOTP is that only one authentication per timeslot is allowed. This solution introduces a monotonic integer counter, denoted as  $C$ , as an additional input alongside the seed:

$$p(ID, C) = h(C, S_{ID})$$

It requires local computation at the subscriber, where the counter is incremented (e.g., through a button press), allowing for frequent authentication runs. While this system enables OTP pre-computation, even by adversaries with temporary access to the authenticator, it poses challenges for the Verifier in handling **desynchronization** issues. For instance, if the subscriber unintentionally triggers the button, desynchronization may occur. To address this, a counter window is considered, defining a set of counters with a fixed and typically small size. In formula terms, a password is deemed correct if

$$X == p(ID, C) || X == p(ID, C + 1) || X == p(ID, C + 2) || \dots$$

with a maximum of ten subsequent counters to resist exhaustive attacks. Verifiers can adapt to desynchronization, storing the counter that matched the password sent by the user. If none of the passwords generated on the user side is acceptable, a counter reset may be required, such as in cases where a child presses the button more than ten times.

## Out-of-band OTP

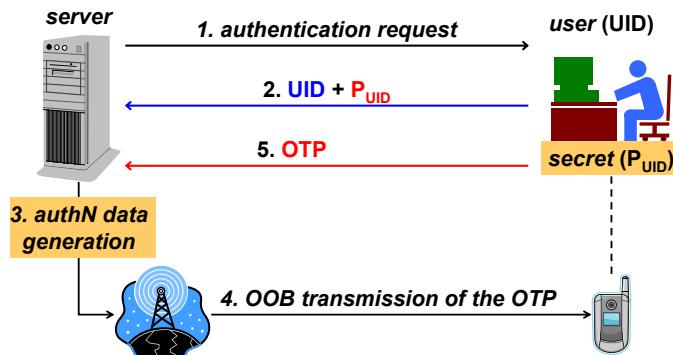


Figure 3.11: Out-of-band OTP

The solutions illustrated so far require the user to receive something: a list of passwords in the case of S/KEY or a device in the case of TOTP and EOTP. In situations where these options are not feasible, such as the inability to securely provide the list or a lack of trust in the user's device, Out-of-Band OTP can be utilized. This method is based on generating the OTP without using the normal communication channel.

In the schema depicted in the figure, the user is provided with a secret key, which is a *reusable password*. During authentication, the user sends the user ID and the reusable password, necessary for clear user identification. Although reusable passwords may not be very robust, the verifier can ensure the user's authenticity through the third step: it looks up the registered phone number in its database. Subsequently, the verifier generates an OTP and sends it (out of band) to the user's cell phone, typically via SMS (step four). This process is considered out of band because the OTP transmission uses a different medium than the communication between the server and the user. Finally, in step five, the user provides the OTP just received.

This is a widely used system by many banks and identity providers, such as those involved in SPID. This system also reduces the burden on the user, who does not need to have a modern smartphone but just a minimal device to receive the message: the OTP is generated by the server only when needed and then sent in a trusted way to the user. However, be aware that at step five, a secure channel with server authentication is needed to avoid MITM attacks. The Out-of-Band (OOB) channel is frequently a text via SMS message. Since most mobile networks are nowadays implemented with VoIP, mobile user identification, and SS7 protocol (which are quite insecure), NIST suggests using the Push mechanism over a TLS channel to the registered subscriber device. This is done by putting the message inside a notification, the confirmation of which is made by inserting the user's fingerprint.

### Two-/Multi- Factors AuthN (2FA/MFA)

It has already been pointed out that, if we want to provide strong authentication, more than one factor should be used. This is also named **2FA**, or more generally, **MFA**. MFA is used both to increase authentication strength and to protect the physical authenticator, as seen with OTP. Usually, a **PIN** is used for authenticator protection.

- **PIN transmitted along with OTP:** As seen, the possible problem is that it can be sniffed when entered or transmitted across the network.
- **PIN entered to compute the OTP itself:** Like in RSA SecurID.
- **PIN (or inherence factor) used to unlock the authenticator**, which is very risky if:
  - No protection from **multiple unlock attempts**.
  - **The lock mechanism** is weak, for example, the fingerprint sensor is not strong enough to recognize only the device owner's fingerprint.
  - **Unlocking is valid for a time window:** if someone has access to the authenticator in the time window in which it is unlocked, then they can use the user identity.

**Importance of MFA: the Iphone ransomware** In May 2014, a security incident occurred involving iCloud accounts with single-factor authentication, resulting in unauthorized access. The attackers employed a "remote lock" feature through the "Find My Device" functionality. Users of affected devices, such as iPhones and iPads, received a menacing message indicating the breach: "*Device hacked by Oleg Pliss!*" The attackers demanded a payment of 100 USD/EUR via a specified PayPal account (lock404(at)hotmail.com) for the restoration of control.

Alternatively, users were informed of the option to use "recovery mode," although this came at the cost of losing all device data and applications. It's crucial to note that even complying with the payment demand did not guarantee a resolution, as the attackers provided a fake PayPal account. This incident highlighted the vulnerabilities associated with single-factor authentication and emphasized the importance of robust security measures in safeguarding user accounts.

More info here.

### 3.5.4 Authentication of human beings

Ensuring that the subscriber is a human rather than a program can be achieved through two solutions:

- **CAPTCHA** techniques (*Completely Automated Public Turing test to tell Computers and Humans Apart*): for example, a picture with images of distorted characters;
- **Biometric** techniques: for example, fingerprints.

#### Biometric systems

The main idea is to measure a biological characteristic of the user, such as fingerprint, voice, retinal scan, iris scan, hand's blood vein pattern, heart rate, and hand geometry. However, it is important to note that each technique can potentially be circumvented. Moreover, biometrics is not replaceable, highlighting the necessity of implementing additional security measures to mitigate potential vulnerabilities. Regardless of the chosen biometric solution, two parameters must be considered:

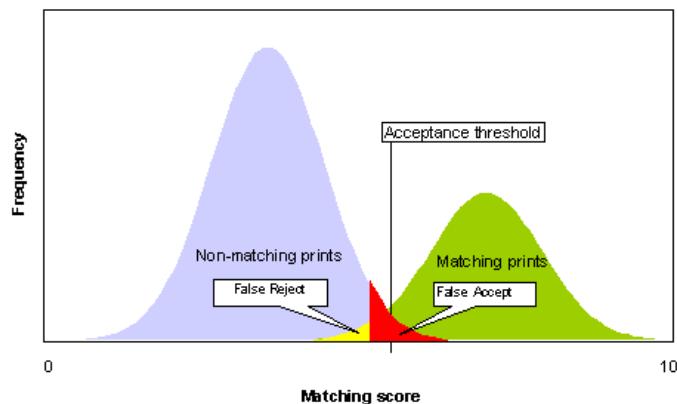


Figure 3.12: FAR and FRR

- **FAR (False Acceptance Rate)**: the rate at which the system incorrectly accepts a biometric signal as valid.
- **FRR (False Rejection Rate)**: the rate at which the system erroneously rejects a valid signal as if it were fake.

The two normal distributions refer to fingerprints that match (green) and those that do not. The two distributions overlap, necessitating the establishment of a threshold. Regardless of where the threshold is set, it is important to note that FAR and FRR cannot both be equal to zero. They may be partly tuned, but they heavily depend on the cost of the device. Moreover, some

biological characteristics are variable; for example, the user can have a finger wound, the voice altered due to emotion, or retinal blood pattern altered due to alcohol or drugs.

Other than technical challenges, there are also various non-technical issues:

- **Psychological acceptance:**
  - "Big Brother" syndrome, related to personal data collection.
  - Some technologies are intrusive and could be harmful; for example, retinal scans may not be suitable for everyone.
- **Privacy concerns** arise since, at this point, it is not just a matter of authentication but of identification, making it irrepudiable.
- Biometric features **cannot be changed** if copied. Unlike passwords, which can be changed if there is a fear of disclosure, a biometric authentication cannot. Therefore, it should not be sent across the network and can only be useful for locally replacing a PIN or a password.
- **Lack of a standard API/SPI** leads to:
  - High development costs.
  - Heavy dependence on single/few vendors.

### 3.5.5 Kerberos (and SSO)

Kerberos, named after the three-headed dog from Greek mythology that guarded the entrance to the underworld, is an authentication system based on a *Trusted Third Party* (**TTP**). Originally developed as part of the MIT project Athena, Kerberos holds significance beyond the realm of HTTP-based services.

One of its distinguishing features is its innovative approach to user password management; rather than transmitting passwords across the network, Kerberos utilizes them only locally, as **symmetric cryptographic keys**. In the Kerberos framework, there are two important concepts:

- the term "**realm**" denotes the Kerberos domain, which represents the collection of systems employing Kerberos as their authentication system.
- Additionally, a **credential** within Kerberos is identified as `user.instance@realm`, resembling an email address but specific to the Kerberos domain. This structure attaches to each user the role that the user is assuming at this moment for a given operation.

**Ticket** Kerberos employs a data structure known as a ticket for client authentication to servers. These tickets possess a **variable lifetime**. In the earlier Version 4, the maximum duration was restricted to 21 hours, structured around five-minute time slots, totaling 255. However, in the more recent Version 5, the validity period is explicitly defined, allowing tickets to be valid for an extended duration, even years. Despite being potentially unlimited, it is recommended to

opt for shorter duration tickets to mitigate potential security risks associated with prolonged authentication privileges.

One notable characteristic of Kerberos tickets is their encryption with the **symmetric key of the target server**. This encryption ensures that the ticket remains secure and can only be decrypted at the destination server, adding an extra layer of protection to the authentication process.

In the initial Kerberos *Version 4*, **tickets were bound to the IP address of the client**, serving as an identifier for accessing a specific server. However, recognizing the vulnerability of IP addresses to spoofing, this association with IP addresses was eliminated in Version 5, enhancing the robustness of the Kerberos authentication system.

It's essential to highlight that each ticket is uniquely tied to a single credential. If a user possesses multiple credentials, they must obtain distinct tickets for each credential. While the primary purpose of tickets is to facilitate client authentication, Kerberos also offers the optional feature of server authentication using these tickets.

### Protocol description

The protocol is described in detail below<sup>2</sup>. See Figure 3.13.

---

<sup>2</sup>Editor's note: This section is taken from [https://en.wikipedia.org/wiki/Kerberos\\_\(protocol\)](https://en.wikipedia.org/wiki/Kerberos_(protocol)). I thought it could be simpler and more concise, and it aligns with the content in the slides



Figure 3.13: Kerberos protocol

### User Client-based Login example without Kerberos

1. A user enters a username and password on the client machine(s). Other credential mechanisms like pkinit (RFC 4556) allow for the use of public keys in place of a password. The client transforms the password into the key of a symmetric cipher. This either uses the built-in key scheduling, or a one-way hash, depending on the cipher-suite used.
2. The server receives the username and symmetric cipher and compares it with the data from the database. Login was a success if the cipher matches the cipher that is stored for the

user.

### Client Authentication

1. The client sends a cleartext message of the user ID to the AS (Authentication Server) requesting services on behalf of the user. (Note: Neither the secret key nor the password is sent to the AS.)
2. The AS checks to see whether the client is in its database. If it is, the AS generates the secret key by hashing the password of the user found at the database (e.g., Active Directory in Windows Server) and sends back the following two messages to the client:
  - Message A: **Client/TGS Session Key** encrypted using the secret key of the client/user.
  - Message B: **Ticket-Granting-Ticket** (TGT, which includes the client ID, client network address, ticket validity period, and the **Client/TGS Session Key**) encrypted using the secret key of the TGS.
3. Once the client receives messages A and B, it attempts to decrypt message A with the secret key generated from the password entered by the user. If the user entered password does not match the password in the AS database, the client's secret key will be different and thus unable to decrypt message A. With a valid password and secret key, the client decrypts message A to obtain the **Client/TGS Session Key**. This session key is used for further communications with the TGS. (Note: The client cannot decrypt Message B, as it is encrypted using TGS's secret key.) At this point, the client has enough information to authenticate itself to the TGS.

### Client Service Authorization

1. When requesting services, the client sends the following messages to the TGS:
  - Message C: Composed of the message B (the encrypted TGT using the TGS session key) and the ID of the requested service.
  - Message D: Authenticator (which is composed of the client ID and the timestamp), encrypted using the **Client/TGS Session Key** (found by the client in Message A).
2. Upon receiving messages C and D, the TGS retrieves message B out of message C. It decrypts message B using the TGS secret key. This gives it the Client/TGS Session Key and the client ID (both are in the TGT). Using this **Client/TGS Session Key**, the TGS decrypts message D (Authenticator) and compares the client IDs from messages B and D; if they match, the server sends the following two messages to the client:
  - Message E: **Client-to-server ticket** (which includes the client ID, client network address, validity period, and Client/Server Session Key) encrypted using the service's secret key.
  - Message F: **Client/Server Session Key** encrypted with the **Client/TGS Session Key**.

### Client Service Request

1. Upon receiving messages E and F from TGS, the client has enough information to authenticate itself to the Service Server (SS). The client connects to the SS and sends the following two messages:
  - Message E: From the previous step (the **Client-to-server ticket**, encrypted using service's Secret key by the TGS).
  - Message G: A new Authenticator, which includes the client ID, timestamp and is encrypted using **Client/Server Session Key**.
2. The SS decrypts the ticket (message E) using its own secret key to retrieve the **Client/Server Session Key**. Using the sessions key, SS decrypts the Authenticator and compares client ID from messages E and G; if they match server sends the following message to the client to confirm its true identity and willingness to serve the client:
  - Message H: The timestamp found in client's Authenticator (plus 1 in version 4, but not necessary in version 5), encrypted using the **Client/Server Session Key**.
3. The client decrypts the confirmation (message H) using the **Client/Server Session Key** and checks whether the timestamp is correct. If so, then the client can trust the server and can start issuing service requests to the server.
4. The server provides the requested services to the client.

**Kerberos versions** Kerberos has evolved through various versions, with MIT V4 marking its original implementation. The subsequent version, MIT V5 (also known as RFC-1510), introduced significant enhancements. Unlike its predecessor, MIT V5 supports encryption beyond DES, offering increased security options. Notably, it extended the ticket lifetime by specifying explicit begin-end validity periods. Additionally, MIT V5 introduced features such as inter-realm authentication, forwardable tickets, and extendable tickets. This version enabled users to achieve a single login for access to all Kerberized services. These services encompassed a diverse range, including K-POP, K-NFS, K-LPD, K-telnet, K-ftp, and K-dbms. Furthermore, Kerberos found adoption in Windows domains, with Microsoft incorporating it into their systems starting from Windows 2000.

The subsequent evolution of Kerberos is reflected in RFC-4120, which supersedes RFC-1510. One notable improvement is the introduction of algorithm flexibility, allowing clients and servers to support different encryption algorithms. Originally, Kerberos utilized DES-CRC32, but over time, it expanded its repertoire to include 3DES, RC4, AES, Camellia, MD4, and MD5.

To enhance security, RFC-4120 introduced pre-authentication measures, aiming to thwart password enumeration or dictionary attacks on the Ticket Granting Ticket (TGT). For instance, in Windows, the **AS\_REQ** must contain encrypted forms of the user's key and timestamp.

**TGT request with PKINIT** RFC-4120 (v5) brings support for asymmetric cryptography, applicable specifically in the AS\_REQ phase, the initial phase of the protocol when making a request to the authentication server.

This feature, known as PKINIT (Public Key Initialization), modifies the Ticket Granting Ticket (TGT) request. In this process, a user seeks a ticket for the Ticket Granting Server (TGS). Unlike previous versions, the authentication server no longer stores user passwords, significantly improving security. Even in the event of an attack on the authentication server, no clear passwords are compromised. Instead, the server stores the public keys of users. Consequently, the server's response to the user's request is encrypted not with a password but with the user's public key. To decrypt this response, the user employs their private key, eliminating the need for storing passwords. In essence, the user relies on a key pair—public and private—rather than a password, and the authentication server utilizes the public key for encryption in the initial step of the authentication process. This paradigm shift represents a substantial advancement in security and authentication within the Kerberos framework.



Figure 3.14: Client authentication

### SSO (Single Sign-On)

Kerberos is an example of a more general concept of *SSO* (Single Sign-On), where users possess a single "credential" to authenticate themselves and gain access to various services in the system.

- **Fictitious SSO:**

- Client for automatic password synchronization/management (alias "password wallet").
- Specific for some applications only.

- **Integral SSO:**

- Multi-application authentication techniques (e.g., asymmetric CRA, Kerberos), likely requiring a change in the applications.
- Multi-domain SSO (e.g., with SAML tokens, that generalize Kerberos tickets).



Figure 3.15: OATH

### 3.5.6 Authentication interoperability: OATH

As mentioned, companies that manage numerous servers aim to implement a unified authentication system for all their relying parties, namely the application servers. However, this implies the ability to purchase a verifier and use it with devices created by different companies. In the past, achieving such interoperability was challenging. For instance, when acquiring RSA SecurID devices, it was necessary to also procure the ACE client and ACE server from RSA. Moreover, RSA did not disclose the hash algorithm used in generating the token code.

To address this challenge, an initiative called OATH was introduced. The primary goal of OATH is to establish interoperability among authentication systems based on One-Time Passwords (OTPs) and symmetric or asymmetric challenges. This is achieved through the development of standards for the client-server protocol and the data format on the client, aiming to realize universal strong authentication.

So far, OATH has provided the following specifications:

- **HOTP** (HMAC OTP, RFC-4226)
- **TOTP** (Time-based OTP, RFC-6238)
- **OATH Challenge-Response Protocol** (OCRA, RFC-6287)
- **Portable Symmetric Key Container** (PSKC, RFC-6030): When using OTP, the shared secret must be protected. This solution offers an XML-based key container for transporting symmetric keys and key-related metadata.
- **Dynamic Symmetric Key Provisioning Protocol** (DSKPP, RFC-6063): It is a client-server protocol for provisioning symmetric keys to a crypto-engine by a key-provisioning server.

#### HOTP

Let us define:

- $K$ : shared secret key (between Verifier and Subscriber);
- $C$ : counter (monotonic positive integer number);
- $h$ : cryptographic hash function (by default is SHA1);

- sel: function to select 4 bytes out of a long byte string.

The HMAC-based OTP is computed in the following way:

$$\text{HOTP}(K, C) = \text{sel}(\text{HMAC} - h(K, C)) \&& 0x7FFFFFFF$$

where the mask `0x7FFFFFFF` is used to set  $MSB = 0$  (to avoid problems if the result is interpreted as a signed integer). Then to generate an  $N$ -digit (6-8) access code:

$$\text{HOTP code} = \text{HOTP}(K, C) \mod 10^N$$

In this way, we implement an event-based OTP.

## TOTP

To create a Time-based OTP (TOTP), the process is similar to HOTP, but the counter  $C$  is the number of intervals  $TS$  elapsed since a fixed origin  $T_0$ . In formulas:

$$C = \frac{(T - T_0)}{TS}$$

Where, in the default (RFC-6238),  $T_0$  is the Unix epoch (1/1/1970),  $T$  is `unixtime(now)` seconds elapsed since the Unix epoch,  $TS$  is equal to 30 seconds,  $h$  is `SHA1` (but may use SHA-256 or SHA-512), and  $N$  is equal to 6.

The crucial point is that with the same base functions, *it is possible to implement both TOTP and EOTP*.

Moreover, this standard is essential because Google provides free open-source implementations of both HOTP and TOTP, for both the client and the server. In **Google authenticator**,  $K$  is provided base-32 encoded (most often as a QR code),  $C$  is provided as `uint_64`,  $TS$  is equal to 30 seconds,  $N$  is equal to 6, and the function `sel(X)` is such that: an offset with the 4 least-significant-bits of  $X$  is considered, then it returns  $X[\text{offset} \dots \text{offset} + 3]$ . If the generated code contains less than 6 digits, then it is left padded with zeroes (e.g., 123 → 000123). The availability of this code from Google has significantly contributed to the widespread usage of this standard.

## FIDO

One of the most recent attempts to increase the security of authentication is the standard named **FIDO** (*Fast IDentity Online*). It is an industry standard of the FIDO Alliance for:

- biometric authentication (referred to as *passwordless user experience* in FIDO terminology);
- 2-factor authentication (referred to as *2nd factor user experience* in FIDO terminology).

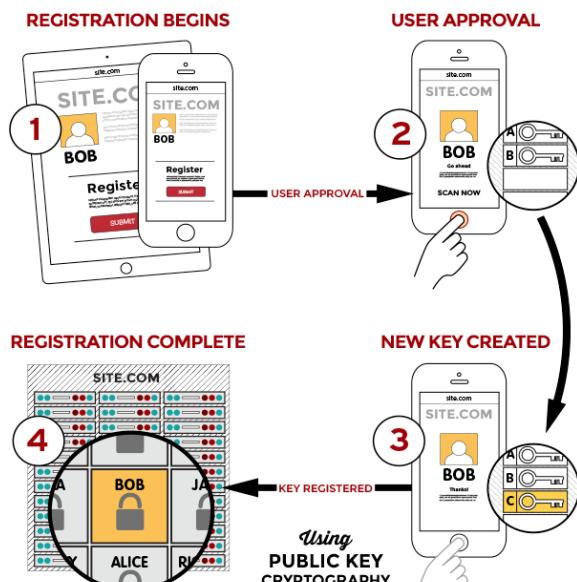
So, the focus of FIDO is to simplify the user experience in authentication, allowing them to use a biometric system and more than one factor. The main concept of FIDO is not to invent another device but to let the user exploit any personal device capable of asymmetric cryptography (e.g., laptop or smartphone). Inside FIDO, it will be used:

- for responding to an asymmetric challenge;
- for the digital signature of texts.

The FIDO protocols are designed from the ground up to protect user privacy. The protocols do not provide information that can be used by different online services to collaborate and track a user across services. Biometric information, if used, never leaves the user's device.

**FIDO registration** Differently from other systems, in which registration is usually performed by a new classical username and password creation, or by reusing the user's Facebook or Google identity, FIDO uses the user's device with asymmetric cryptography. When registering with FIDO:

1. User is prompted to choose an available FIDO authenticator that matches the online service's acceptance policy.
2. User unlocks the FIDO authenticator using a fingerprint reader, a button on a second-factor device, securely-entered PIN or other method.
3. User's device creates a new public/private key pair unique for the local device, online service, and user's account.
4. Public key is sent to the online service and associated with the user's account. The private key and any information about the local authentication method (such as biometric measurements or templates) never leave the local device.



Please note that the real user's identity can be fictitious! However, the real identity of the user is not important, only the key is: the private key is stored inside the device and the public key is sent to the web server and associated with the name decided for authentication. There is the use of asymmetric cryptography but no X509 certificates (it is not needed), because the public key is stored at the server, associated with a name.

**FIDO login** After signing up, there are four steps to be performed to log in:

1. Online service challenges the user to log in with a previously registered device that matches the service's acceptance policy: in this phase, the username and (reusable) password are provided by the user;
2. User unlocks the FIDO authenticator using the same method as at Registration time.
3. Device uses the user's account identifier to select the correct key and sign the service's challenge: this identifier is retrieved by considering the pair username-password;
4. Client device sends the signed challenge back to the service, which verifies it with the stored public key and logs in the user.

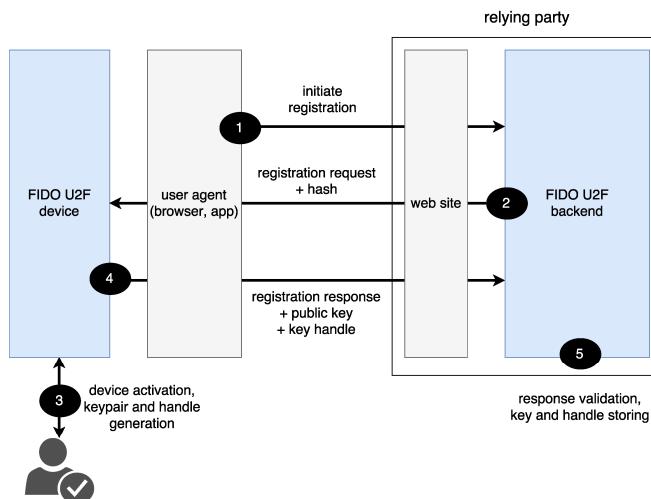
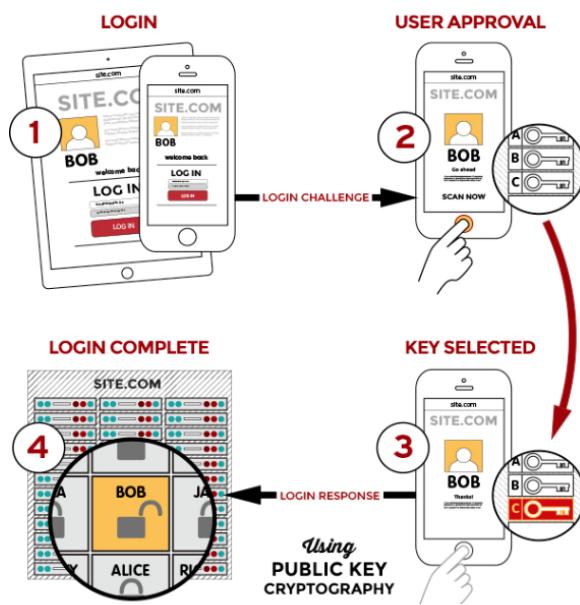


Figure 3.16: FIDO U2F registration

**FIDO U2F registration** In the registration phase, typically, the work starts with the browser or with an application that typically communicates with the server. The user registration phase means that the website on which the users try to sign up **must** have a FIDO U2F backend, which is something that can manage the FIDO protocol.

When a registration request arrives, the backend will send a registration request to the device, including a hash (like a nonce, to protect the integrity of transmission). The browser/app will pass it to the FIDO U2F device (which can be software or hardware). Then the user must explicitly activate the device, authorize the keypair generation and the handle generation, which is an identifier for this specific key (typically the hash of the public key).

The registration response is then sent along with the public key and the key handle. These items are validated in the final step (5), and the key-handle pair is stored.



Figure 3.17: FIDO U2F authentication

**FIDO U2F authentication** Authentication typically begins with the submission of the username and reusable password to the FIDO U2F backend. The backend initiates a challenge that must be addressed using the key associated with the provided username. Upon receiving the challenge, the user activates the device once again, selects the key (based on the key handle submitted by the server), and resolves the challenge. Subsequently, the solved challenge is sent to the server for verification.

#### FIDO: Other Characteristics & Security Analysis

- **Biometric techniques:** local authentication method to enable the FIDO keys stored only on the user device;
- **Secure transactions:** digital signature of a transaction text (in addition to the response to the challenge) with the same key used for authentication. This is done to avoid MITM attacks;
- FIDO backend (or server): to enable the use of FIDO on an application server;
- FIDO client: to create and manage credentials FIDO on a user device.

About security and privacy, FIDO provides:

- **Strong authentication** (asymmetric cryptography);
- **No 3rd party in the protocol:** since there is no use of X509 certificates. It's a sort of direct trust between user and web server;
- **No secrets on the server side:** not exposed to any confidentiality attack. Of course, since it stores public keys and identifiers of the users, authentication and integrity for those data is needed;
- **Biometric data** (if used) **never leave user device**;
- **No phishing because authN response cannot be reused:** it is a signature over the transaction text, including the RP identity (which means that the same response cannot be used on another server);
- Since one new keypair is generated at every registration, we obtain **no link-ability among** different services used by the same user; different accounts owned by the same user.
- There is no limit in private key generated, because **private keys are not stored in the authenticator but recomputed** (generated on-the-fly) as needed based on an **internal secret** and **RP identity**. Using a proper internal function with the secret, it can be possible to use RP identity to compute private key.

**FIDO Evolution** The evolution of FIDO is as follows:

- Feb 2013: FIDO alliance launched;
- Dec 2014: FIDO v1.0;
- Jun 2015: Bluetooth and NFC as transport for U2F;
- Nov 2015: submission to W3C of the Web API for accessing FIDO credentials;
- Feb 2016: W3C creates the Web Authentication WG to define a client-side API that provides strong authentication functionality to Web Applications, based on the FIDO Web API;
- Nov 2017: FIDO v2.0.

**FIDO 2.0** With FIDO 2.0, a new protocol, the **CTAP** (*Client To Authenticator Protocol*), is defined for connecting an external authenticator to the platform. During authentication initiation, the RP application can utilize the **web authN JS API** to access FIDO. The API operates within the browser, on top of a platform (such as Linux, iOS, Android). Two choices are available: **a local authenticator** (e.g., a private key stored in a file on Windows) or an **external device paired with the device using the CTAP protocol**. This protocol operates through USB,



BT, NFC, etc., and keys are always present. This represents a kind of *roaming authenticator* as the device can be used with multiple application devices.

In case of using an internal authenticator, there are cryptographic elements (more or less secure) capable of storing and using asymmetric keys. For example:

- **Packed attestation:** an authenticator with limited resources (e.g., a Secure Element in Android);
- **TPM attestation:** a special chip providing robust security features, capable of generating and using asymmetric keys;
- **Android Key attestation:** an authenticator available from Android Nougat onward;
- **Android SafetyNet attestation:** an authenticator for Android via the SafetyNet API;
- **FIDO U2F attestation:** an authenticator for FIDO U2F using the FIDO-U2F Message Format.

## Chapter 4

# Security of IP networks

## Chapter 5

# Security of network applications

# Chapter 6

## Firewall and IDS/ISP

## Chapter 7

### E-mail security

## Appendix A

# GNU Free Documentation License

Version 1.3, 3 November 2008

Copyright © 2000, 2001, 2002, 2007, 2008 Free Software Foundation, Inc.

<<https://fsf.org/>>

Everyone is permitted to copy and distribute verbatim copies of this license document, but changing it is not allowed.

### Preamble

The purpose of this License is to make a manual, textbook, or other functional and useful document “free” in the sense of freedom: to assure everyone the effective freedom to copy and redistribute it, with or without modifying it, either commercially or noncommercially. Secondly, this License preserves for the author and publisher a way to get credit for their work, while not being considered responsible for modifications made by others.

This License is a kind of “copyleft”, which means that derivative works of the document must themselves be free in the same sense. It complements the GNU General Public License, which is a copyleft license designed for free software.

We have designed this License in order to use it for manuals for free software, because free software needs free documentation: a free program should come with manuals providing the same freedoms that the software does. But this License is not limited to software manuals; it can be used for any textual work, regardless of subject matter or whether it is published as a printed book. We recommend this License principally for works whose purpose is instruction or reference.

## 1. APPLICABILITY AND DEFINITIONS

This License applies to any manual or other work, in any medium, that contains a notice placed by the copyright holder saying it can be distributed under the terms of this License. Such a notice grants a world-wide, royalty-free license, unlimited in duration, to use that work under the conditions stated herein. The “**Document**”, below, refers to any such manual or work. Any

member of the public is a licensee, and is addressed as “**you**”. You accept the license if you copy, modify or distribute the work in a way requiring permission under copyright law.

A “**Modified Version**” of the Document means any work containing the Document or a portion of it, either copied verbatim, or with modifications and/or translated into another language.

A “**Secondary Section**” is a named appendix or a front-matter section of the Document that deals exclusively with the relationship of the publishers or authors of the Document to the Document’s overall subject (or to related matters) and contains nothing that could fall directly within that overall subject. (Thus, if the Document is in part a textbook of mathematics, a Secondary Section may not explain any mathematics.) The relationship could be a matter of historical connection with the subject or with related matters, or of legal, commercial, philosophical, ethical or political position regarding them.

The “**Invariant Sections**” are certain Secondary Sections whose titles are designated, as being those of Invariant Sections, in the notice that says that the Document is released under this License. If a section does not fit the above definition of Secondary then it is not allowed to be designated as Invariant. The Document may contain zero Invariant Sections. If the Document does not identify any Invariant Sections then there are none.

The “**Cover Texts**” are certain short passages of text that are listed, as Front-Cover Texts or Back-Cover Texts, in the notice that says that the Document is released under this License. A Front-Cover Text may be at most 5 words, and a Back-Cover Text may be at most 25 words.

A “**Transparent**” copy of the Document means a machine-readable copy, represented in a format whose specification is available to the general public, that is suitable for revising the document straightforwardly with generic text editors or (for images composed of pixels) generic paint programs or (for drawings) some widely available drawing editor, and that is suitable for input to text formatters or for automatic translation to a variety of formats suitable for input to text formatters. A copy made in an otherwise Transparent file format whose markup, or absence of markup, has been arranged to thwart or discourage subsequent modification by readers is not Transparent. An image format is not Transparent if used for any substantial amount of text. A copy that is not “Transparent” is called “**Opaque**”.

Examples of suitable formats for Transparent copies include plain ASCII without markup, Texinfo input format, LaTeX input format, SGML or XML using a publicly available DTD, and standard-conforming simple HTML, PostScript or PDF designed for human modification. Examples of transparent image formats include PNG, XCF and JPG. Opaque formats include proprietary formats that can be read and edited only by proprietary word processors, SGML or XML for which the DTD and/or processing tools are not generally available, and the machine-generated HTML, PostScript or PDF produced by some word processors for output purposes only.

The “**Title Page**” means, for a printed book, the title page itself, plus such following pages as are needed to hold, legibly, the material this License requires to appear in the title page. For works in formats which do not have any title page as such, “Title Page” means the text near the most prominent appearance of the work’s title, preceding the beginning of the body of the text.

The “**publisher**” means any person or entity that distributes copies of the Document to the public.

A section “**Entitled XYZ**” means a named subunit of the Document whose title either is

precisely XYZ or contains XYZ in parentheses following text that translates XYZ in another language. (Here XYZ stands for a specific section name mentioned below, such as “**Acknowledgements**”, “**Dedications**”, “**Endorsements**”, or “**History**”.) To “**Preserve the Title**” of such a section when you modify the Document means that it remains a section “Entitled XYZ” according to this definition.

The Document may include Warranty Disclaimers next to the notice which states that this License applies to the Document. These Warranty Disclaimers are considered to be included by reference in this License, but only as regards disclaiming warranties: any other implication that these Warranty Disclaimers may have is void and has no effect on the meaning of this License.

## 2. VERBATIM COPYING

You may copy and distribute the Document in any medium, either commercially or non-commercially, provided that this License, the copyright notices, and the license notice saying this License applies to the Document are reproduced in all copies, and that you add no other conditions whatsoever to those of this License. You may not use technical measures to obstruct or control the reading or further copying of the copies you make or distribute. However, you may accept compensation in exchange for copies. If you distribute a large enough number of copies you must also follow the conditions in section 3.

You may also lend copies, under the same conditions stated above, and you may publicly display copies.

## 3. COPYING IN QUANTITY

If you publish printed copies (or copies in media that commonly have printed covers) of the Document, numbering more than 100, and the Document’s license notice requires Cover Texts, you must enclose the copies in covers that carry, clearly and legibly, all these Cover Texts: Front-Cover Texts on the front cover, and Back-Cover Texts on the back cover. Both covers must also clearly and legibly identify you as the publisher of these copies. The front cover must present the full title with all words of the title equally prominent and visible. You may add other material on the covers in addition. Copying with changes limited to the covers, as long as they preserve the title of the Document and satisfy these conditions, can be treated as verbatim copying in other respects.

If the required texts for either cover are too voluminous to fit legibly, you should put the first ones listed (as many as fit reasonably) on the actual cover, and continue the rest onto adjacent pages.

If you publish or distribute Opaque copies of the Document numbering more than 100, you must either include a machine-readable Transparent copy along with each Opaque copy, or state in or with each Opaque copy a computer-network location from which the general network-using public has access to download using public-standard network protocols a complete Transparent copy of the Document, free of added material. If you use the latter option, you must take reasonably prudent steps, when you begin distribution of Opaque copies in quantity, to ensure that this Transparent copy will remain thus accessible at the stated location until at least one year

after the last time you distribute an Opaque copy (directly or through your agents or retailers) of that edition to the public.

It is requested, but not required, that you contact the authors of the Document well before redistributing any large number of copies, to give them a chance to provide you with an updated version of the Document.

## 4. MODIFICATIONS

You may copy and distribute a Modified Version of the Document under the conditions of sections 2 and 3 above, provided that you release the Modified Version under precisely this License, with the Modified Version filling the role of the Document, thus licensing distribution and modification of the Modified Version to whoever possesses a copy of it. In addition, you must do these things in the Modified Version:

- A. Use in the Title Page (and on the covers, if any) a title distinct from that of the Document, and from those of previous versions (which should, if there were any, be listed in the History section of the Document). You may use the same title as a previous version if the original publisher of that version gives permission.
- B. List on the Title Page, as authors, one or more persons or entities responsible for authorship of the modifications in the Modified Version, together with at least five of the principal authors of the Document (all of its principal authors, if it has fewer than five), unless they release you from this requirement.
- C. State on the Title page the name of the publisher of the Modified Version, as the publisher.
- D. Preserve all the copyright notices of the Document.
- E. Add an appropriate copyright notice for your modifications adjacent to the other copyright notices.
- F. Include, immediately after the copyright notices, a license notice giving the public permission to use the Modified Version under the terms of this License, in the form shown in the Addendum below.
- G. Preserve in that license notice the full lists of Invariant Sections and required Cover Texts given in the Document's license notice.
- H. Include an unaltered copy of this License.
- I. Preserve the section Entitled "History", Preserve its Title, and add to it an item stating at least the title, year, new authors, and publisher of the Modified Version as given on the Title Page. If there is no section Entitled "History" in the Document, create one stating the title, year, authors, and publisher of the Document as given on its Title Page, then add an item describing the Modified Version as stated in the previous sentence.

- J. Preserve the network location, if any, given in the Document for public access to a Transparent copy of the Document, and likewise the network locations given in the Document for previous versions it was based on. These may be placed in the “History” section. You may omit a network location for a work that was published at least four years before the Document itself, or if the original publisher of the version it refers to gives permission.
- K. For any section Entitled “Acknowledgements” or “Dedications”, Preserve the Title of the section, and preserve in the section all the substance and tone of each of the contributor acknowledgements and/or dedications given therein.
- L. Preserve all the Invariant Sections of the Document, unaltered in their text and in their titles. Section numbers or the equivalent are not considered part of the section titles.
- M. Delete any section Entitled “Endorsements”. Such a section may not be included in the Modified Version.
- N. Do not retitle any existing section to be Entitled “Endorsements” or to conflict in title with any Invariant Section.
- O. Preserve any Warranty Disclaimers.

If the Modified Version includes new front-matter sections or appendices that qualify as Secondary Sections and contain no material copied from the Document, you may at your option designate some or all of these sections as invariant. To do this, add their titles to the list of Invariant Sections in the Modified Version’s license notice. These titles must be distinct from any other section titles.

You may add a section Entitled “Endorsements”, provided it contains nothing but endorsements of your Modified Version by various parties—for example, statements of peer review or that the text has been approved by an organization as the authoritative definition of a standard.

You may add a passage of up to five words as a Front-Cover Text, and a passage of up to 25 words as a Back-Cover Text, to the end of the list of Cover Texts in the Modified Version. Only one passage of Front-Cover Text and one of Back-Cover Text may be added by (or through arrangements made by) any one entity. If the Document already includes a cover text for the same cover, previously added by you or by arrangement made by the same entity you are acting on behalf of, you may not add another; but you may replace the old one, on explicit permission from the previous publisher that added the old one.

The author(s) and publisher(s) of the Document do not by this License give permission to use their names for publicity for or to assert or imply endorsement of any Modified Version.

## 5. COMBINING DOCUMENTS

You may combine the Document with other documents released under this License, under the terms defined in section 4 above for modified versions, provided that you include in the combination all of the Invariant Sections of all of the original documents, unmodified, and list them all as Invariant Sections of your combined work in its license notice, and that you preserve all their Warranty Disclaimers.

The combined work need only contain one copy of this License, and multiple identical Invariant Sections may be replaced with a single copy. If there are multiple Invariant Sections with the same name but different contents, make the title of each such section unique by adding at the end of it, in parentheses, the name of the original author or publisher of that section if known, or else a unique number. Make the same adjustment to the section titles in the list of Invariant Sections in the license notice of the combined work.

In the combination, you must combine any sections Entitled “History” in the various original documents, forming one section Entitled “History”; likewise combine any sections Entitled “Acknowledgements”, and any sections Entitled “Dedications”. You must delete all sections Entitled “Endorsements”.

## **6. COLLECTIONS OF DOCUMENTS**

You may make a collection consisting of the Document and other documents released under this License, and replace the individual copies of this License in the various documents with a single copy that is included in the collection, provided that you follow the rules of this License for verbatim copying of each of the documents in all other respects.

You may extract a single document from such a collection, and distribute it individually under this License, provided you insert a copy of this License into the extracted document, and follow this License in all other respects regarding verbatim copying of that document.

## **7. AGGREGATION WITH INDEPENDENT WORKS**

A compilation of the Document or its derivatives with other separate and independent documents or works, in or on a volume of a storage or distribution medium, is called an “aggregate” if the copyright resulting from the compilation is not used to limit the legal rights of the compilation’s users beyond what the individual works permit. When the Document is included in an aggregate, this License does not apply to the other works in the aggregate which are not themselves derivative works of the Document.

If the Cover Text requirement of section 3 is applicable to these copies of the Document, then if the Document is less than one half of the entire aggregate, the Document’s Cover Texts may be placed on covers that bracket the Document within the aggregate, or the electronic equivalent of covers if the Document is in electronic form. Otherwise they must appear on printed covers that bracket the whole aggregate.

## **8. TRANSLATION**

Translation is considered a kind of modification, so you may distribute translations of the Document under the terms of section 4. Replacing Invariant Sections with translations requires special permission from their copyright holders, but you may include translations of some or all Invariant Sections in addition to the original versions of these Invariant Sections. You may include a translation of this License, and all the license notices in the Document, and any Warranty Disclaimers, provided that you also include the original English version of this License

and the original versions of those notices and disclaimers. In case of a disagreement between the translation and the original version of this License or a notice or disclaimer, the original version will prevail.

If a section in the Document is Entitled “Acknowledgements”, “Dedications”, or “History”, the requirement (section 4) to Preserve its Title (section 1) will typically require changing the actual title.

## 9. TERMINATION

You may not copy, modify, sublicense, or distribute the Document except as expressly provided under this License. Any attempt otherwise to copy, modify, sublicense, or distribute it is void, and will automatically terminate your rights under this License.

However, if you cease all violation of this License, then your license from a particular copyright holder is reinstated (a) provisionally, unless and until the copyright holder explicitly and finally terminates your license, and (b) permanently, if the copyright holder fails to notify you of the violation by some reasonable means prior to 60 days after the cessation.

Moreover, your license from a particular copyright holder is reinstated permanently if the copyright holder notifies you of the violation by some reasonable means, this is the first time you have received notice of violation of this License (for any work) from that copyright holder, and you cure the violation prior to 30 days after your receipt of the notice.

Termination of your rights under this section does not terminate the licenses of parties who have received copies or rights from you under this License. If your rights have been terminated and not permanently reinstated, receipt of a copy of some or all of the same material does not give you any rights to use it.

## 10. FUTURE REVISIONS OF THIS LICENSE

The Free Software Foundation may publish new, revised versions of the GNU Free Documentation License from time to time. Such new versions will be similar in spirit to the present version, but may differ in detail to address new problems or concerns. See <https://www.gnu.org/licenses/>.

Each version of the License is given a distinguishing version number. If the Document specifies that a particular numbered version of this License “or any later version” applies to it, you have the option of following the terms and conditions either of that specified version or of any later version that has been published (not as a draft) by the Free Software Foundation. If the Document does not specify a version number of this License, you may choose any version ever published (not as a draft) by the Free Software Foundation. If the Document specifies that a proxy can decide which future versions of this License can be used, that proxy’s public statement of acceptance of a version permanently authorizes you to choose that version for the Document.

## 11. RELICENSING

“Massive Multiauthor Collaboration Site” (or “MMC Site”) means any World Wide Web server that publishes copyrightable works and also provides prominent facilities for anybody to edit

those works. A public wiki that anybody can edit is an example of such a server. A “Massive Multiauthor Collaboration” (or “MMC”) contained in the site means any set of copyrightable works thus published on the MMC site.

“CC-BY-SA” means the Creative Commons Attribution-Share Alike 3.0 license published by Creative Commons Corporation, a not-for-profit corporation with a principal place of business in San Francisco, California, as well as future copyleft versions of that license published by that same organization.

“Incorporate” means to publish or republish a Document, in whole or in part, as part of another Document.

An MMC is “eligible for relicensing” if it is licensed under this License, and if all works that were first published under this License somewhere other than this MMC, and subsequently incorporated in whole or in part into the MMC, (1) had no cover texts or invariant sections, and (2) were thus incorporated prior to November 1, 2008.

The operator of an MMC Site may republish an MMC contained in the site under CC-BY-SA on the same site at any time before August 1, 2009, provided the MMC is eligible for relicensing.