Treaps and Skip Lists

COP3503 COMPUTER SCIENCE II

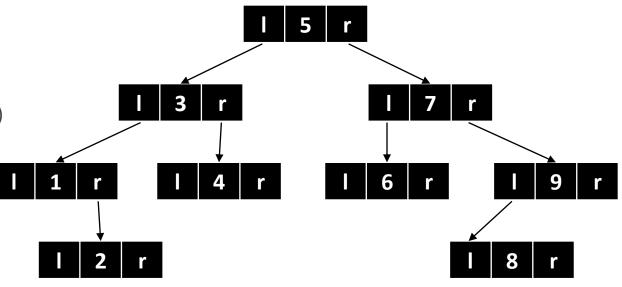
DR. MATTHEW B. GERBER

PORTIONS FROM SEAN SZUMLANSKI, MICHAEL MCALPIN, AND WIKIPEDIA

Review: Binary Search Trees

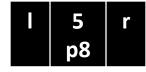
A binary search tree is a tree in which:

- Each node has at most two children
- Each node has at least the following data elements:
 - A parent pointer (NULL if the node is the root)
 - A left child pointer (NULL if the node has no left child)
 - A right child pointer (NULL if the node has no right child)
 - An indexing key
- The insertion and deletion behaviors guarantee that:
 - If node y is the left child of node x, or any descendant of the left child of x, then y. $key \le x$. key
 - If node y is the right child of node x, or any descendant of the right child of x, then y. $key \ge x$. key

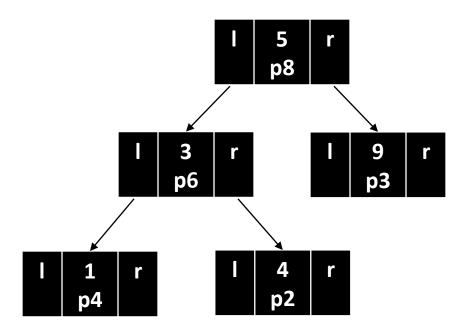


A treap (tree-heap) has an additional property:

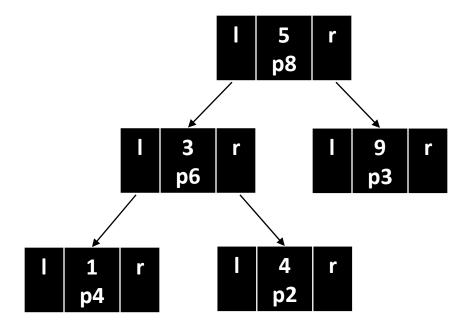
• Each node has an additional value, called *priority*



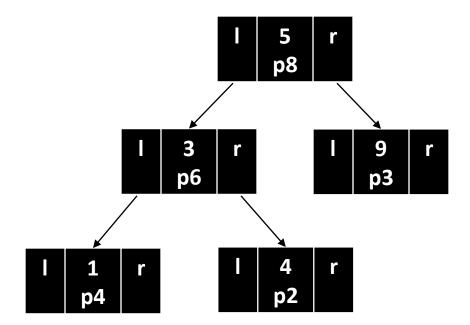
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- Since a randomly-constructed binary search tree has an $O(\log n)$ expected search length—



- Node m is an ancestor of n if:
 - It was inserted before *n*
 - \circ It was inserted before any node with a value *between* node m's value and node n's value

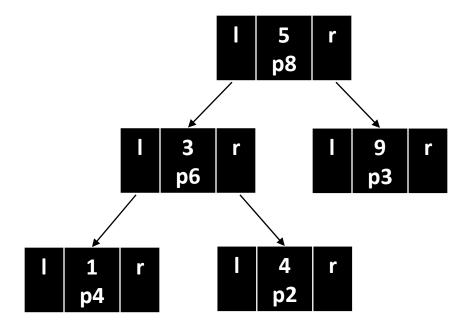
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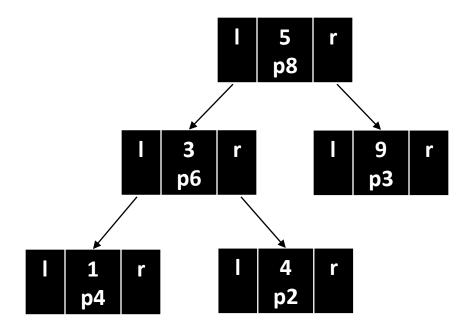
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- Which is $O(\log n)$

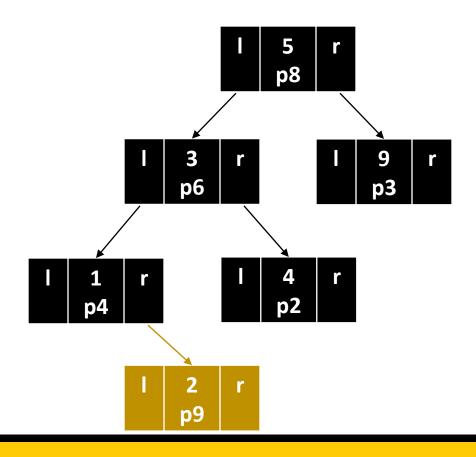
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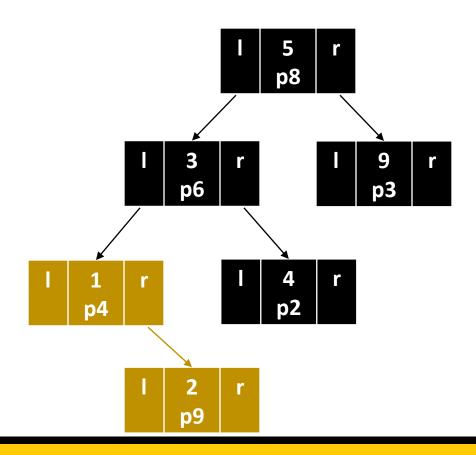
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- So far, so good but how do we maintain the heap property?



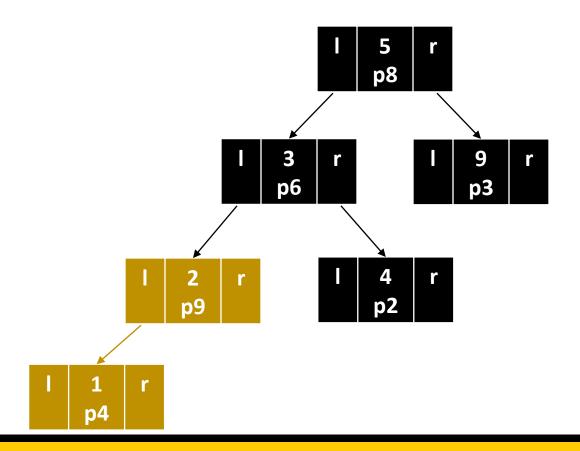
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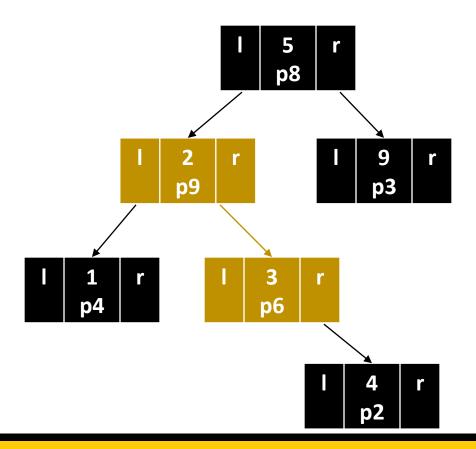
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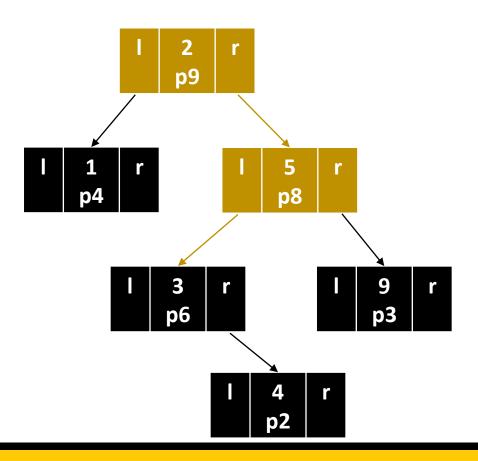
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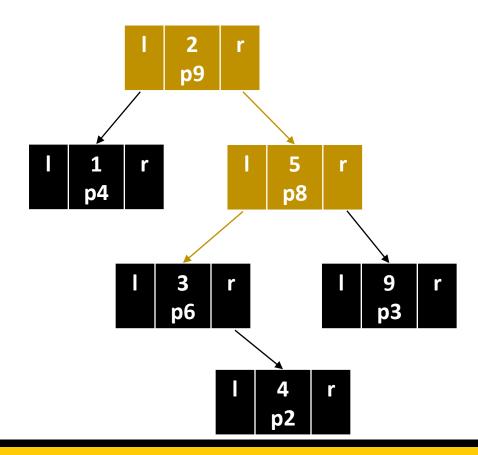
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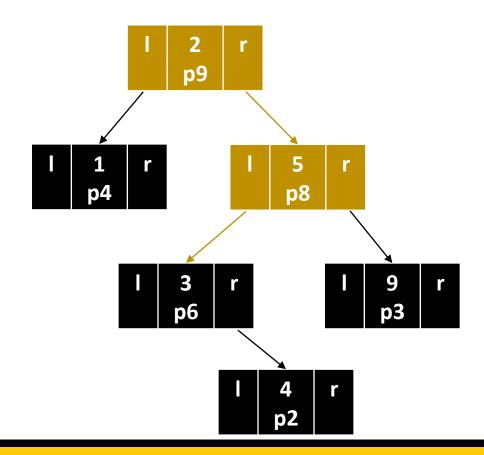
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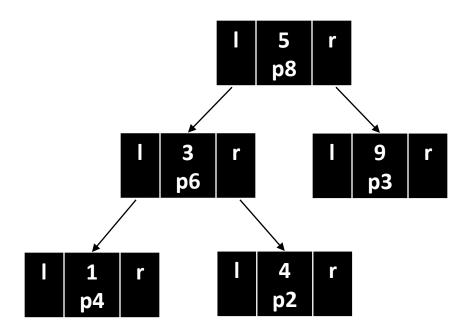
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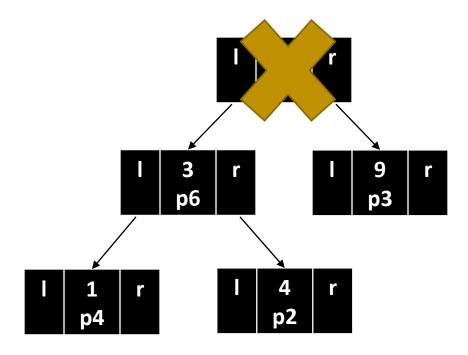
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- The rotation is maximum $\mathcal{O}(h)$, which—again, on average—is $\mathcal{O}(\log n)$



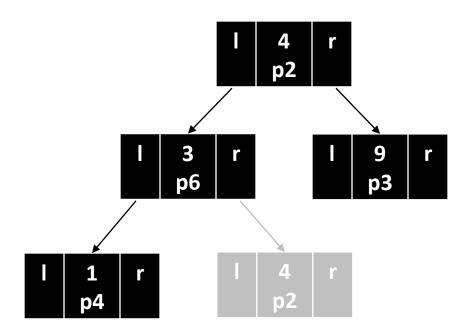
- As always, leaves and nodes with one child are easy
- If a node has two children, replace it with its adjacent successor or predecessor...



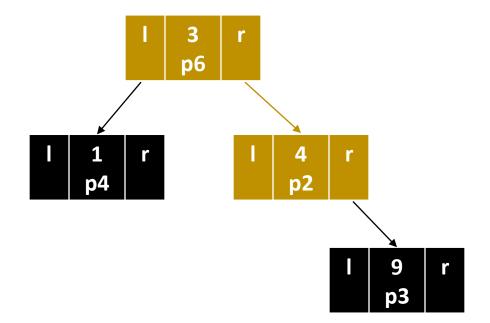
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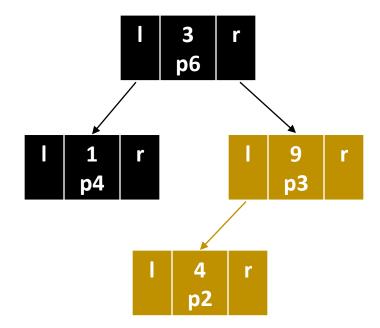
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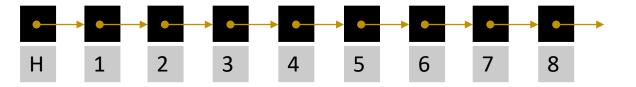


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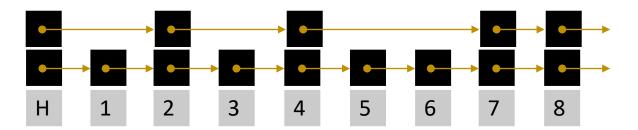


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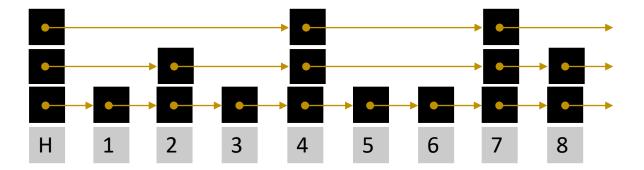
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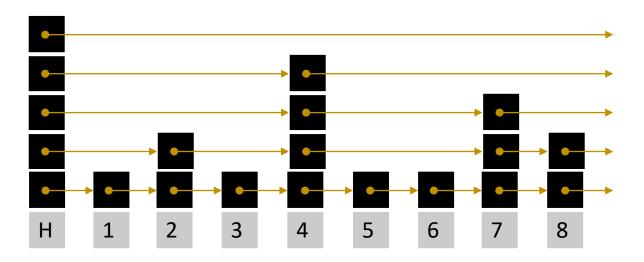
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A skip list starts as an ordinary linked list...

- But adds additional layers of pointers *on top* of it
- Each layer omits a certain number of the pointers from the previous later (usually about half)...
- ...until all that's left is the head element
- Only needs about twice as many pointers as we had in the first place



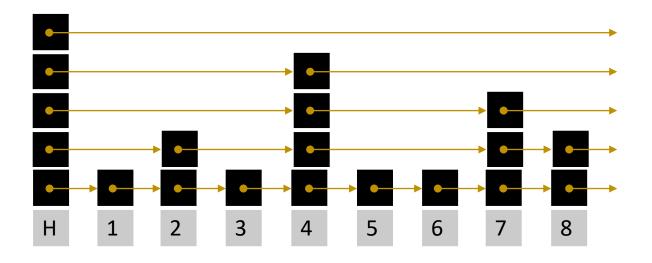
Searching Skip Lists

Searching for value v is easy:

- Let n be the node at the left of the top level
- Repeat
 - \circ If n. v = v, succeed
 - If n. v < v, go right
 - If n. v > v or ! n, go left then down
 - If we've fallen off the bottom, fail

Obviously $O(\log n)$ on average...

 ...as long as we keep our cut-by-half property



Insertion and Deletion

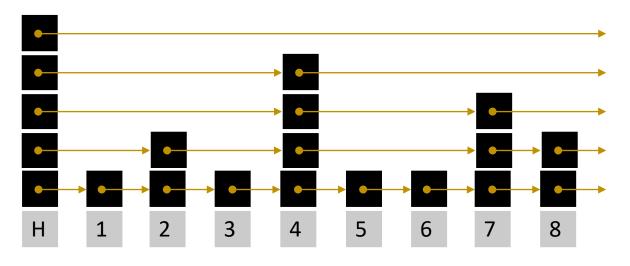
Insertion and deletion are also easy

Insertion:

- Find the spot the node should go
- Randomly choose the height of the node
- Insert it into the linked lists up to that level, as you normally would
- One search, plus $\log n$ insertions $\mathcal{O}(\log n)$

Deletion:

- Find the node
- Delete it from all the linked lists it's in, as you normally would
- Dispose of the value
- \circ One search, plus $\log n$ deletions $\mathcal{O}(\log n)$



Re-Optimizing a Skip List

- We could theoretically end up deleting too many tall or short nodes
- We can fix this by just going through the list and re-balancing the height of each node
- Can use straight logarithmic boundaries if it's an internal structure...
- Or randomize it if we're worried about adversarial values
- $\circ \mathcal{O}(n)$, but a fast $\mathcal{O}(n)$, since we're just doing *real* simple pointer operations
- Can attach this to list traversals that happen naturally (load/save, print, etc.)

