

第十一讲：有限与无限

姓名： 丁保荣 学号： 171860509

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请独立完成作业，不得抄袭。
若参考了其它资料，请给出引用。
鼓励讨论，但需独立书写解题过程。

第一部分 作业

题目 (UD:20.4)

- (a) Show that the positive rationals \mathbb{Q}^+ and the negative rationals \mathbb{Q}^- are equivalent.
- (b) Show that the even and odd integers are equivalent.

解答：

(a) Define $f: \mathbb{Q}^+ \rightarrow \mathbb{Q}^-$ by $f(x)=-x$

Firstly, we can easily note that it is well-defined.

Then, for every $y \in \mathbb{Q}^-$, there is one and only one $x \in \mathbb{Q}^+$ that satisfies $f(x)=-x$

Therefore f is bijective.

\therefore the positive rationals \mathbb{Q}^+ and the negative rationals \mathbb{Q}^- are equivalent.

(b) Define $f: \{2k|k \in \mathbb{Z}\} \rightarrow \{2k-1|k \in \mathbb{Z}\}$ by $f(x)=x+1$

Firstly, we can easily note that it is well-defined.

Then, for every $y \in \mathbb{Q}^-$, there is one and only one $x \in \mathbb{Q}^+$ that satisfies $f(x)=-x$

Therefore f is bijective.

\therefore the even and odd integers are equivalent.

题目 (UD:20.8)

Prove Theorem 20.6 working with the outline given in the text.

解答：

Firstly, for every $x \in A \cup B$, $x \in A$ or $x \in B$ (but not both), therefore there exists only one y that satisfies $H(x)=y$ for every $x \in A \cup B$

$\therefore H(x)$ is well-defined.

Then, for every $y \in C \cup D$, $y \in C$ or $y \in D$ (but not both). We just illustrate the case that $y \in C$, for $y \in D$, it is similar

$\therefore f$ is bijective and $A \cap B = \emptyset$

\therefore for every $y \in C$, there is one and only one $x \in A$ that satisfies $f(x) = y$ and there is no $x \in B$ that satisfies $f(x) = y$.

$\therefore H(x)$ is bijective.

$\therefore H(x)$ is well-defined and bijective.

$\therefore A \cup B \approx C \cup D$.

题目 (UD:20.9)

(a) Suppose that A and B are nonempty finite sets and $A \cap B = \emptyset$. Show that there exist integers n and m such that $A \approx \{1, 2, \dots, n\}$ and $B \approx \{n + 1, \dots, n + m\}$.

(b) Prove Corollary 20.8.

解答:

(a) Since A is a nonempty finite set, we can define $f: A \rightarrow \{1, 2, 3, \dots, n\}$ for some $n \in \mathbb{N}$

$\therefore A \approx \{1, 2, 3, \dots, n\}$ for some $n \in \mathbb{N}$

Similarly, we can define $g: B \rightarrow \{1, 2, 3, \dots, m\}$ for some $m \in \mathbb{N}$

Then we define a bijective function $h: \{1, 2, 3, \dots, m\} \rightarrow \{n + 1, n + 2, \dots, n + m\}$ by $f(x) = x + n$

By Theorem 15.6, the composition $h \circ g: B \rightarrow \{n + 1, n + 2, \dots, n + m\}$ for some n and $m \in \mathbb{N}$ is bijective.

$\therefore B \approx \{n + 1, \dots, n + m\}$.

(b) let $C = \{1, 2, 3, \dots, n\}$, $D = \{n + 1, n + 2, \dots, n + m\}$ Therefore $C \cap D = \emptyset$

Define

$$H(x) = \begin{cases} f(x) & \text{if } x \in A \\ g(x) & \text{if } x \in B \end{cases}$$

By Theorem 20.6, we can have $A \cup B$ is finite.

题目 (UD:20.10)

Prove Theorem 20.14 below. We suggest that you start by working Problem 15.14 if you have not already done so.

Theorem 20.14.

Let A, B, C and D be nonempty sets with $A \approx C$ and $B \approx D$. Then $A \times B \approx C \times D$.

解答:

$\therefore A \approx B$ and $C \approx D$

\therefore there exist a bijective function $f: A \rightarrow B$ and a bijective function $g: C \rightarrow D$

Define $H: A \times C \rightarrow B \times D$ by $H(a, c) = (f(a), g(c))$.

According to Problem 15.14, we can conclude that H is bijective.

$\therefore A \times B \approx C \times D$

题目 (UD:21.7)

Show that \mathbb{Q} is infinite.

解答:

We know that $\mathbb{N} \subsetneq \mathbb{Z}$, and Theorem 21.3 tells us that \mathbb{N} is infinite. Since Corollary 20.11 says that every subset of a finite set is finite, or set \mathbb{Q} must be infinite.

题目 (UD:21.9)

Let A be a set, and suppose that B is an infinite subset of A . Show that A must be infinite.

解答:

If A is finite, by Corollary 20.11, we have B is finite. It contradicts with that B is infinite. Therefore A must be infinite.

题目 (UD:21.10)

Suppose that A is an infinite set, B is a finite set and $f: A \rightarrow B$ is a function. Show that there exists $b \in B$ such that $f^{-1}(\{b\})$ is infinite.

解答:

Let we suppose for all $b \in B$, we have $f^{-1}(\{b\})$ is finite.

By Theorem 20.12, we have $\bigcup_{b \in B} f^{-1}(\{b\})$ is finite.

And because $\bigcup_{b \in B} f^{-1}(\{b\}) = A$

Therefore A is finite, but it contradicts with that A is infinite.

Therefore what we suppose is wrong.

Therefore there exists $b \in B$ such that $f^{-1}(\{b\})$ is infinite.

题目 (UD:21.11)

Let X be an infinite set, and A and B be finite subsets of X . Answer each of the following, giving reasons for your answers:

- (a) Is $A \cap B$ finite or infinite?
- (b) Is $A \setminus B$ finite or infinite?
- (c) Is $X \setminus A$ finite or infinite?
- (d) Is $A \cup B$ finite or infinite?
- (e) If $f: A \rightarrow X$ is a one-to-one function, is $f(A)$ finite or infinite?

解答:

(a) $A \cap B$ is finite.

$\because A$ and B are finite

$\therefore A \cap B$ is finite.

$$\because A \cap B \subseteq A \cup B$$

$$\therefore A \cap B \text{ is finite.}$$

$$(b) A \setminus B \text{ is finite.}$$

$$\because A \text{ is finite}$$

$$\because A \setminus B \subseteq A$$

$$\therefore A \setminus B \text{ is finite.}$$

$$(c) X \setminus A \text{ is infinite}$$

Let we suppose $X \setminus A$ is finite

$$\because A \text{ is finite}$$

$$\therefore X = A \cup (X \setminus A) \text{ is finite, but it contradicts with that } X \text{ is infinite.}$$

$$\therefore \text{ what we suppose is wrong.}$$

$$\therefore X \setminus A \text{ is infinite}$$

$$(d) A \cup B \text{ is finite.}$$

By Theorem 20.12, we can conclude that.

$$(e) f(A) \text{ is finite.}$$

$$\because A \text{ is one-to-one.}$$

$$\therefore A \rightarrow f(A) \text{ is bijective}$$

By Theorem 21.6, there is a unique positive integer n such that $A \approx \{1, \dots, n\}$.

$$\therefore f(A) \approx \{1, \dots, n\}$$

$$\therefore f(A) \text{ is finite.}$$

题目 (UD:21.16)

(a) Suppose that A is a finite set and $B \subseteq A$. We showed that B is finite. Show that $|B| \leq |A|$.

(b) Suppose that A is a finite set and $B \subseteq A$. Show that if $B \neq A$, then $|B| < |A|$.

(c) Show that if two finite sets A and B satisfy $B \subseteq A$ and $|A| \leq |B|$, then $A = B$.

解答:

$$(a) A = B \cup (B \setminus A)$$

(i) if $(B \setminus A) \neq \emptyset$ According to Problem 20.9, we can have $B \approx \{1, 2, \dots, n\}$, $(B \setminus A) = \{n+1, \dots, n+m\}$ and $A = \{1, \dots, n+m\}$

$$\therefore |A| = |B| + |(B \setminus A)|$$

(ii) if $(B \setminus A) = \emptyset$, then $A = B$ and $|(B \setminus A)| = 0$

$$\therefore |A| = |B| + |(B \setminus A)|$$

$$\because |(B \setminus A)| \geq 0$$

$$\therefore |B| \leq |A|.$$

$$(b) A = B \cup (B \setminus A)$$

$$\because B \neq A$$

$$\therefore (B \setminus A) \neq \emptyset$$

\therefore According to Problem 20.9, we can have $B \approx \{1, 2, \dots, n\}$, $(B \setminus A) = \{n+1, \dots, n+m\}$ and

$$A = \{1, \dots, n+m\}$$

$$\therefore |A| = |B| + |(B \setminus A)|$$

$$\therefore |(B \setminus A)| > 0$$

$$\therefore |B| < |A|.$$

(c)

$$\therefore B \text{ satisfy } B \subseteq A$$

$$\therefore |B| \leq |A| \text{ and } A = B \cup (B \setminus A)$$

$$\therefore |A| \leq |B|$$

$$\therefore |A| = |B|$$

$$\therefore |(B \setminus A)| = 0$$

$$\therefore (B \setminus A) = \emptyset$$

$$\therefore A = B$$

题目 (UD:21.17)

Suppose that A and B are finite sets and $f: A \rightarrow B$ is one-to-one. Show that $|A| \leq |B|$.

解答:

$\therefore A$ and B are finite sets

$\therefore \{1, \dots, n\} \approx A$ for some $n \in \mathbb{N}$ and $B \approx \{1, \dots, m\}$ for some $m \in \mathbb{N}$

\therefore Define $g: \{1, \dots, n\} \rightarrow A$ is bijective and $h: B \rightarrow \{1, \dots, m\}$ is bijective

and $\therefore f$ is one-to-one,

$\therefore h \circ f \circ g: \{1, \dots, n\} \rightarrow \{1, \dots, m\}$ is one-to-one.

\therefore we can easily conclude that $\{1, \dots, n\} \subseteq \{1, \dots, m\}$

$\therefore n \leq m$

$\therefore |A| \leq |B|$.

题目 (UD:21.18)

Let A and B be sets with A finite. Let $f: A \rightarrow B$. Prove that $|\text{ran}(f)| \leq |A|$.

解答:

Let we suppose $|\text{ran}(f)| > |A|$

\therefore there exists an x which relates to at least two different y 's

\therefore it contradicts with f is a well-defined function

\therefore what we suppose is not right.

$\therefore |\text{ran}(f)| \leq |A|$.

题目 (UD:21.19)

Let A be a finite set. Show that a function $f: A \rightarrow A$ is one-to-one if and only if it is onto. Is this still true if A is infinite?

解答:

(a) $f: A \rightarrow A$ is one-to-one.

Let we suppose f is not onto.

$\therefore \text{ran}(f) \subsetneq A$

$\therefore |\text{ran}(f)| < |A|$

\therefore we can note that $g: A \rightarrow \text{ran}(f)$ is also one-to-one

$\therefore |A| \leq |\text{ran}(f)|$

$\therefore |A| \leq |\text{ran}(f)| < |A|$, but it contradicts

\therefore what we suppose is not right

$\therefore f$ is onto

(b) $f: A \rightarrow A$ is onto.

Let we suppose f is not one-to-one

\therefore there exist one $y \in A$ that there are at least two x 's $\in A$ that satisfy $f(x)=y$, we define them x_1 and x_2

\therefore we define $F: A \setminus \{x_1\}$ is still onto.

$\therefore |A| \leq |A \setminus \{x_1\}| = |A| - 1$,

\therefore it contradicts

\therefore what we suppose is wrong

$\therefore f$ is one-to-one

$\therefore f: A \rightarrow A$ is one-to-one if and only if it is onto.

(c) it is not true if A is infinite.

题目 (UD:22.1)

Give an example, if possible, of each of the following:

(a) a countably infinite collection of pairwise disjoint finite sets whose union is countably infinite;(See Problem 8.11 for the definition of pairwise disjoint.)

(b) a countably infinite collection of nonempty sets whose union is finite;

(c) a countably infinite collection of pairwise disjoint nonempty sets whose union is finite.

解答:

(a) $\bigcup_{I \in \mathbb{N}} \{I\}$

(b) not exist

(c) not exist

题目 (UD:22.2)

Which of the following sets are finite? countably infinite? uncountable? (Be careful- don't apply theorems for finite sets to infinite sets!) Give reasons for your answers for

each of the following:

- (a) $\{1/n: n \in \mathbb{Z} \setminus \{0\}\}$;
- (b) $\mathbb{R} \setminus \mathbb{N}$;
- (c) $\{x \in \mathbb{Z}: |x-7| < |x|\}$;
- (d) $2\mathbb{Z} \times 3\mathbb{Z}$;
- (e) the set of all lines with rational slopes;
- (f) $\mathbb{Q} \setminus \{0\}$;
- (g) $\mathbb{N} \setminus \{1,3\}$.

解答:

- (a) countably infinite.

As \mathbb{Q} is countably infinite and the asked set is a subset of it.

- (b) uncountable, the proof is similar to Theorem 22.12.

- (c) countably infinite. The set is $\{4,5,6,\dots\}$ and we can easily prove that $\{4,5,6,\dots\} \approx \mathbb{N}$.

- (d) countably infinite. By Corollary 22.10

- (e) uncountable, let $y=ax+b$, b can be irrational number.

- (f) countably infinite.

As \mathbb{Q} is countably infinite and the asked set is a subset of it.

- (g) countably infinite.

As \mathbb{N} is countably infinite and the asked set is a subset of it.

题目 (UD:22.3)

Is the set of all infinite sequences of 0's and 1's finite, countably infinite, or uncountable? Guess and then prove, please.

解答:

We can easily note that this set is not finite.

Let us suppose this set is countably infinite. And we have shown that \mathbb{Z}^+ is countably infinite, there exists a bijective function $f: \mathbb{Z}^+ \rightarrow (0,111111\dots)$. We list the values of f using the sequence of 1 or 0

$$f(1) = a_{11}a_{12}a_{13}\dots$$

$$f(2) = a_{21}a_{22}a_{23}\dots$$

$$f(3) = a_{31}a_{32}a_{33}\dots$$

...

Since f is onto, each sequence of 0's and 1's appears in this list.

The odd thing is that we can construct a number $b=f(1) = b_1b_2b_3\dots$

that is not in the list.

if $a_{11}=0$, then $b_1=1$ else $b_1=0$, and similarly we relates b_n with a_{nn} by assigning a

different value from a_{nn} to b_n

Therefore we construct a b that is not in the list, it contradicts with the assertion that f is onto.

\therefore what we suppose is not right

\therefore this set is not countably infinite and isn't finite.

\therefore this set is uncountable.

题目 (UD:22.6)

Prove Corollary 22.4.

解答:

let A be the countable set. There are two cases.

(i) A is finite

According to Corollary 20.11, we can conclude that every subset of A is finite.

Therefore every subset of A is countable.

(ii) A is infinite.

Therefore A is countable infinite.

Therefore $A \approx \mathbb{N}$

Therefore we can construct a bijective function $f: \mathbb{N} \rightarrow A$

Select an arbitrary subset of A , name it B

Therefore $B \subseteq A$

if B is finite, we can easily note that B is countable.

if B is infinite, we can construct a bijective function $g: \mathbb{N} \rightarrow B$

$\therefore B$ is countable

\therefore Every subset of a countable set is countable.

题目 (UD:22.9)

There is another way to show that \mathbb{Q} is countable. Turn the outline below into a proof by describing the counting process. (Don't try to find a formula for the function.)

解答:

We can count the elements of \mathbb{Q}^+ in the way illustrated in the graph below.

And we have proved that $\mathbb{Q}^- \approx \mathbb{Q}^+$. Then $\mathbb{Q} = \mathbb{Q}^+ \cup \mathbb{Q}^- \cup \{0\}$ is finite and countable, so $\mathbb{Q} \approx \mathbb{N}$

题目 (UD:23.2)

(a) In \mathbb{R} , find the distance of the number 1 to the number 3 in the usual metric and in the discrete metric.

(b) In \mathbb{R}^2 , find the distance of the point (1,3) to the point (2,5) in the usual metric, the taxicab metric, the max metric, and the discrete metric.

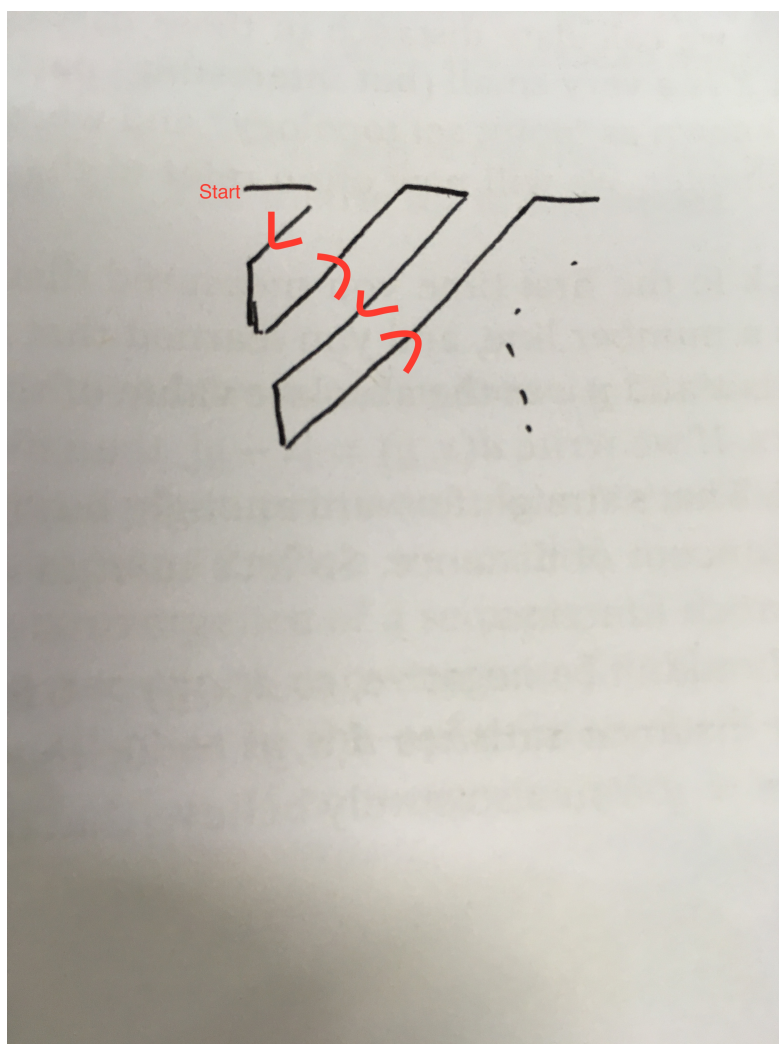


图 1: counting solution

解答:

$$(a) d_u(1,3)=2, d_d(1,3)=1$$

$$(b) d_u((1,3),(2,5))=\sqrt{5}$$

$$d_{tc}((1,3),(2,5))=3$$

$$d_m((1,3),(2,5))=2$$

$$d_d((1,3),(2,5))=1$$

题目 (UD:23.3)

(a) Sketch the set $\{(x, y) \in \mathbb{R}^2: d_u((x, y), (0, 0)) < 1\}$, where d_u is the usual metric.

(b) Sketch the set $\{(x, y) \in \mathbb{R}^2: d_{tc}((x, y), (0, 0)) < 1\}$, where d_{tc} is the taxicab metric.

(c) Sketch the set $\{(x, y) \in \mathbb{R}^2: d_m((x, y), (0, 0)) < 1\}$, where d_m is the max metric.

(d) Sketch the set $\{(x, y) \in \mathbb{R}^2: d_d((x, y), (0, 0)) < 1\}$, where d_d is the discrete metric.

(e) Sketch the set $\{(x, y, z) \in \mathbb{R}^3: d_u((x, y, z), (0, 0, 0)) < 1\}$, where d_u is the usual metric. (See Example 23.2 for the definition if you need it.)

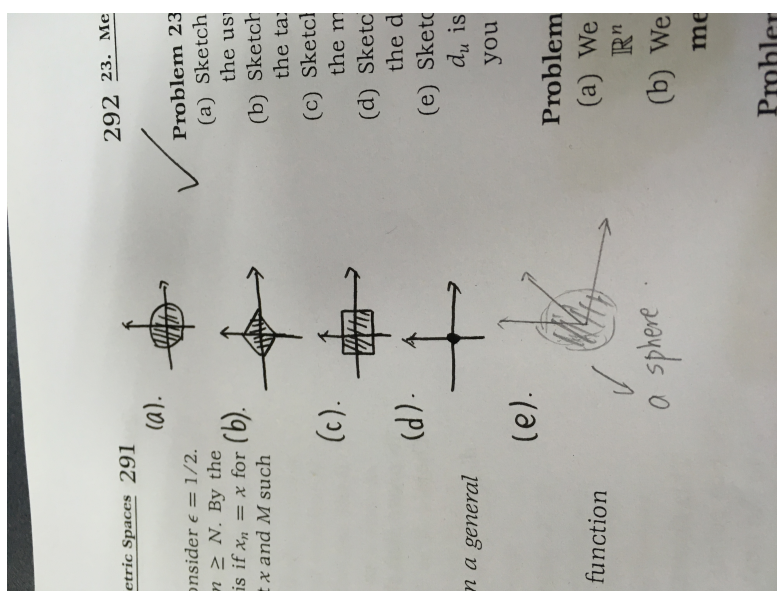


图 2: sketching solution

解答:

题目 (UD:23.10)

Let X be the space of polynomials with real coefficients. Define a function d from $X \times X \rightarrow \mathbb{R}$ by $d(p, q) = |p(0), q(0)|$. Is d a metric? If so, prove it. If not, why not?

解答:

No, it doesn't satisfy definiteness: let $p=x$, $q=x^2$, then $|p(0)=q(0)|$, but $p \neq q$.

第二部分 订正

题目 (UD: 10.5)

Let X be a nonempty set with an equivalence relation \sim on it. Prove that for all elements x and y in X , the equality $E_x = E_y$ holds if and only if $x \sim y$.

错因分析： 简述错误原因（可选）。

订正：

(1)

$$\because [x] = [y]$$

$$\therefore x \in [x] = [y]$$

$$\therefore x \sim y$$

(2)

for $a \in [x]$

$$\therefore a \sim x \sim y$$

$$\therefore a \sim y$$

$$\therefore a \in [y]$$

$$\therefore [x] \subseteq [y]$$

Similarly, we can have $[y] \subseteq [x]$

$$\therefore [x] = [y]$$

第三部分 反馈

你可以写：

- 对课程及教师的建议与意见
- 教材中不理解的内容
- 希望深入了解的内容
- 等