

## 第九讲：关系及其基本性质

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请独立完成作业，不得抄袭。  
若参考了其它资料，请给出引用。  
鼓励讨论，但需独立书写解题过程。

### 第一部分 作业

#### 题目 (UD:10.2)

Let  $X = \{1, 2, 3, 4, 5\}$ .

- (a) If possible, define a relation on  $X$  that is an equivalence relation.
- (b) If possible, define a relation on  $X$  that is reflexive, but neither symmetric nor transitive.
- (c) If possible, define a relation on  $X$  that is symmetric, but neither reflexive nor transitive.
- (d) If possible, define a relation on  $X$  that is transitive, but neither reflexive nor symmetric.

解答：

- (a) define  $x \sim y$  if and only if  $x = y$
- (b) define  $x \sim y$  if and only if  $0 \leq x - y \leq 1$
- (c) define  $x \sim y$  if and only if  $x = 6 - y$
- (d) define  $x \sim y : 1 \sim 2, 2 \sim 3$  and  $1 \sim 3$

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#### 题目 (UD:10.4)

Define a relation  $\sim$  on  $\mathbb{R}^2$  as follows: For  $(x_1, x_2), (y_1, y_2) \in \mathbb{R}^2$ , we say that  $(x_1, x_2) \sim (y_1, y_2)$  if and only if both  $x_1 - y_1$  and  $x_2 - y_2$  are even integers. Is this relation an equivalence relation? Why or why not?

解答：

Yes, this relation is an equivalence.

(i) for reflexive:

$\because$  for  $(x_1, x_2) \sim (y_1, y_2)$ , we have both  $x_1 - y_1$  and  $x_2 - y_2$  are even integers

$\therefore$  we can let  $x_1 - y_1$  and  $x_2 - y_2$  are 0

$\therefore$  we have  $x_1 = y_1$  and  $x_2 = y_2$

$\therefore$  we have  $(x_1, x_2) \sim (x_1, x_2)$

$\therefore$  this relation is reflexive

(ii) for symmetric

$\therefore$  for  $(x_1, x_2) \sim (y_1, y_2)$ , we have both  $x_1 - y_1$  and  $x_2 - y_2$  are even integers

$\therefore$  we also have both  $y_1 - x_1$  and  $y_2 - x_2$  are even integers

$\therefore (y_1, y_2) \sim (x_1, x_2)$

$\therefore$  this relation is symmetric

(iii) for transitive

$\therefore$  for  $(x_1, x_2) \sim (y_1, y_2)$ , we have both  $x_1 - y_1$  and  $x_2 - y_2$  are even integers

$\therefore$  we also have for  $(y_1, y_2) \sim (z_1, z_2)$ , we have both  $y_1 - z_1$  and  $y_2 - z_2$  are even integers

$\therefore$  we have both  $x_1 - z_1$  and  $x_2 - z_2$  are even integers

$\therefore (x_1, x_2) \sim (z_1, z_2)$

$\therefore$  this relation is transitive

### 题目 (UD:10.5)

Let  $X$  be a nonempty set with an equivalence relation  $\sim$  on it. Prove that for all elements  $x$  and  $y$  in  $X$ , the equality  $E_x = E_y$  holds if and only if  $x \sim y$ .

解答:

(1)

$\therefore$  we have  $E_x = E_y$

$\therefore \{y \in X : x \sim y\} = \{x \in X : y \sim x\}$

$\therefore x \sim y$

(2)

$\therefore$  we have  $x \sim y$  and this relation is an equivalence

$\therefore y \sim x$

$\therefore \{y \in X : x \sim y\} = \{x \in X : y \sim x\}$

$\therefore E_x = E_y$

Based on (1) and (2), we have for all elements  $x$  and  $y$  in  $X$ , the equality  $E_x = E_y$  holds if and only if  $x \sim y$ .

### 题目 (UD:10.8)

Recall that a **polynomial**  $p$  over  $R$  is an expression of the form  $p(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x^1 + a_0$  where each  $a_j \in R$  and  $n \in \mathbb{N}$ . The largest integer  $j$  such that  $a_j \neq 0$  is the degree of  $p$ . We define the degree of the constant polynomial  $p=0$  to be  $-\infty$ . (A polynomial over  $R$  defines a function  $p: R \rightarrow R$ .)

(a) Define a relation on the set of polynomials by  $p \sim q$  if and only if  $p(0) = q(0)$ . Is this

an equivalence relation? If so, what is the equivalence class of the polynomial given by  $p(x)=x$ ?

(b) Define a relation on the set of polynomials by  $p \sim q$  if and only the degree of  $p$  is the same as the degree of  $q$ . Is this an equivalence relation? If so, what is  $E_r$  if  $r(x)=3x+5$ ?

(c) Define a relation on the set of polynomials by  $p \sim q$  if and only the degree of  $p$  is less than or equal to the degree of  $q$ . Is this an equivalence relation? If so, what is  $E_r$  where  $r(x)=x^2$ ?

**解答：**

(a) yes.

$$E_{p(x)=x} = \{q(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x^1 : a_j \in \mathbb{R} \text{ and } n \in \mathbb{N}\}$$

(b) yes.

$$E_{r(x)=3x+5} = \{q(x) = ax+b : a \neq 0\}$$

(c) No

### 题目 (UD:11.3)

(a) For each  $r \in \mathbb{R}$ , let  $A_r = \{(x,y,z) \in \mathbb{R}^3 : x+y+z=r\}$ . Is this a partition of  $\mathbb{R}^3$ ? If so, give a geometric description of the partitioning sets.

(b) For each  $r \in \mathbb{R}$ , let  $A_r = \{(x,y,z) \in \mathbb{R}^3 : x^2+y^2+z^2=r^2\}$ . Is this a partition of  $\mathbb{R}^3$ ? If so, give a geometric description of the partitioning sets.

**解答：**

(a) yes.

Each partitioning set is a plane.

(b) yes.

when  $r=0$ , the partitioning set is a dot.

for other  $r$ , the partitioning set is a sphere (only the surface).

### 题目 (UD:11.7)

Consider the set  $P$  of polynomials with real coefficients. Decide whether or not each of the following collection of sets determines a partition of  $P$ . If you decide that it does determine a partition, show it carefully. If you decide that it does not determine a partition, list the part(s) of the definition that is (are) not satisfied and justify your claim with an example. (See Problem 10.8 for more information about polynomials.)

(a) For  $m \in \mathbb{N}$ , let  $A_m$  denote the set of polynomials of degree  $m$ .

(b) For  $c \in \mathbb{R}$ , let  $A_c$  denote the set of polynomials such that  $p(0)=c$ .

(c) For a polynomial  $q$ , let  $A_q$  denote the set of all polynomials  $p$  such that  $q$  is a factor

of  $p$ ; that is, there is a polynomial  $r$  such that  $p=qr$ .

(d) For  $c \in \mathbb{R}$ , let  $A_c$  denote the set of polynomials such that  $p(c)=0$ .

解答:

(a) this doesn't determine a partition of  $P$ .

$\because$  the degree of  $(p(x)=0)$  is  $-\infty$

$\therefore$  the set of  $(A_m)$  doesn't include  $B=\{p(x)=0\}$

(b) this determines a partition of  $P$ .

(i) we can easily note that  $A_c$  is nonempty

(ii) first, we can easily conclude that  $\bigcup_{c \in \mathbb{R}} A_c \subseteq P$

then, for every polynomial  $\in P$ , we can denote it by  $p(x)=a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x^1 + c$  where each  $a_j \in \mathbb{R}$  and  $n \in \mathbb{N}$ .

$\therefore p(0)=0$

$\therefore p(x) \in A_c$  for some  $c \in \mathbb{R}$

$\therefore P \subseteq \bigcup_{c \in \mathbb{R}} A_c$

$\therefore \bigcup_{c \in \mathbb{R}} A_c = P$

(iii) If  $A_a \cap A_b \neq \emptyset$  ( $a, b \in \mathbb{R}$ )

$\therefore$  there exist a  $p(x) \in A_a \cap A_b$

$\therefore p(0)=a$  and  $p(0)=b$

$\therefore a=b$

$\therefore A_a = A_b$

Based on (i),(ii) and (iii), this determines a partition of  $P$

(c) this doesn't determine a partition of  $P$ .

$A_1=P$  while  $A_x \subsetneq P$  and  $A_x \neq \emptyset$

$\therefore A_1 \cap A_x \neq \emptyset$  and  $A_1 \neq A_x$

$\therefore$  this doesn't satisfy the third condition

$\therefore$  this doesn't determine a partition of  $P$ .

(d) this doesn't determine a partition of  $P$ .

for  $p(x)=x^2+1$ , we cannot find  $c \in \mathbb{R}$  to let  $p(c)=0$

$\therefore p(x)=x^2+1 \notin A_c$  for any of  $c \in \mathbb{R}$

$\therefore \bigcup_{c \in \mathbb{R}} A_c \neq P$

$\therefore$  this doesn't determine a partition of  $P$ .

### 题目 (UD:11.8)

For two nonempty disjoint sets,  $I$  and  $J$ , let  $\{A_\alpha : \alpha \in I\}$  be a partition of  $\mathbb{R}^+$  and  $\{A_\alpha : \alpha \in J\}$  be a partition of  $\mathbb{R}^- \cup \{0\}$ . Prove that  $\{A_\alpha : \alpha \in I \cup J\}$  is a partition of  $\mathbb{R}$ .

解答:

(i) we can easily note that for every  $\alpha \in I \cup J$ ,  $A_\alpha \neq \emptyset$

(ii)

$\because \{A_\alpha: \alpha \in I\}$  is a partition of  $R^+$  and  $\{A_\alpha: \alpha \in J\}$  is a partition of  $R^- \cup \{0\}$

$\therefore \bigcup_{\alpha \in I} A_\alpha = R^+$  and  $\bigcup_{\alpha \in J} A_\alpha = R^- \cup \{0\}$

$\therefore \bigcup_{\alpha \in I} A_\alpha \cup \bigcup_{\alpha \in J} A_\alpha = R^+ \cup (R^- \cup \{0\})$

$\therefore \bigcup_{\alpha \in I \cup J} A_\alpha = R$

(iii)

if both  $i$  and  $j \in I$ (or  $J$ ) and  $A_i \cap A_j \neq \emptyset$ , we conclude from the condition that  $A_i = A_j$

if  $i \in I$  and  $j \in J$  we can conclude from the condition that  $A_i \cap A_j = \emptyset$

$\therefore$  for  $i, j \in I \cup J$ , if  $A_i \cap A_j \neq \emptyset$ , we can conclude that  $A_i = A_j$

Based on (i), (ii) and (iii),  $\{A_\alpha : \alpha \in I \cup J\}$  is a partition of  $R$ .

### 题目 (UD:11.9)

Let  $X$  be a nonempty set and  $\{A_\alpha : \alpha \in I\}$  be a partition of  $X$ .

(a) Let  $B$  be a subset of  $X$  such that  $A_\alpha \cap B \neq \emptyset$  for every  $\alpha \in I$ . Is  $\{A_\alpha \cap B : \alpha \in I\}$  a partition of  $B$ ? Prove it or give a counterexample.

(b) Suppose further that  $A_\alpha \neq X$  for every  $\alpha \in I$ . Is  $\{X \setminus A_\alpha : \alpha \in I\}$  a partition of  $X$ ? Prove it or give a counterexample.

解答:

(a) yes,  $\{A_\alpha \cap B : \alpha \in I\}$  is a partition of  $B$

(i) we can easily see from the condition that  $A_\alpha \cap B \neq \emptyset$  for every  $\alpha \in I$

(ii)

first, for every  $\alpha \in I$ ,  $A_\alpha \cap B \subseteq B$

$\therefore \bigcup_{\alpha \in I} (A_\alpha \cap B) \subseteq B$

then,  $\because B \subseteq X$  and  $X = \bigcup_{\alpha \in I} A_\alpha$

$\therefore B \subseteq \bigcup_{\alpha \in I} A_\alpha$

$\therefore B \subseteq \bigcup_{\alpha \in I} (A_\alpha \cap B)$

$\therefore \bigcup_{\alpha \in I} (A_\alpha \cap B) = B$

(iii)

if  $A_i \cap B \cap A_j \cap B \neq \emptyset$  ( $i, j \in I$ )

$\therefore A_i \cap A_j \neq \emptyset$  ( $i, j \in I$ )

$\therefore$  according to the condition,  $A_i = A_j$

$\therefore A_i \cap B = A_j \cap B$

Based on (i), (ii) and (iii),  $\{A_\alpha \cap B : \alpha \in I\}$  is a partition of  $B$

(b) No

Let  $X = \{1, 2, 3\}$   $A_1 = \{1\}$   $A_2 = \{2\}$   $A_3 = \{3\}$

$\therefore$  we have  $X \setminus A_1 = \{2, 3\}$ ,  $X \setminus A_2 = \{1, 3\}$ ,  $X \setminus A_3 = \{1, 2\}$

$\therefore A_1 \cap A_2 = \{3\} \neq \emptyset$  but  $A_1 \neq A_2$

$\therefore$  it doesn't satisfy the third condition.

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### 题目 (UD:12.10)

Let  $S$  and  $T$  be nonempty bounded subsets of  $\mathbb{R}$ .

- (a) Show that  $\sup(S \cup T) \geq \sup S$ , and  $\sup(S \cup T) \geq \sup T$ .
- (b) Show that  $\sup(S \cup T) = \max\{\sup S, \sup T\}$ .
- (c) Try to state the results of (a) and (b) in English, without using mathematical symbols.

解答:

(a)

$\therefore$  for all  $x \in S$ , we have  $x \leq \sup S$  and for all  $y \in T$ , we have  $y \leq \sup T$

there are three cases:  $S > T, S < T, S = T$

(i)  $S > T$

$\therefore \sup S \geq x$  and  $\sup S \geq \sup T \geq y$

$\therefore \sup S$  is the upper bound of  $S \cup T$

if there is  $M < \sup S$  that  $M$  is also the upper bound of  $S \cup T$ , then  $M$  is also the upper bound of  $S$

$\therefore$  it contradicts with that  $\sup S$  is the least upper bound of  $S$

$\therefore \sup S$  is the supremum of  $S \cup T$

(ii)  $S < T$

it is similar to (i)

$\therefore \sup T$  is the supremum of  $S \cup T$

(iii)  $S = T$

following the above, we can easily conclude that  $\sup T$  or  $\sup S$  is the supremum of  $S \cup T$

$\therefore$  we can conclude that  $\sup\{S \cup T\} = \max(\sup S, \sup T)$

$\therefore \sup(S \cup T) \geq \sup S$ , and  $\sup(S \cup T) \geq \sup T$ .

(b)

I have done it in (a)

$\therefore$  we can conclude that  $\sup\{S \cup T\} = \max(\sup S, \sup T)$

(c)

for (a): the supremum of the union of sets  $S$  and  $T$  is greater than the supremum of set  $S$  or that of set  $T$

for (b): the supremum of the union of sets  $S$  and  $T$  is the maximum of the supremum of set  $S$  and that of set  $T$

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### 题目 (UD:12.13b)

Let  $\sim$  denote a relation on a set  $S$ . The relation  $\sim$  is called a *partial order* if the following three conditions are satisfied.

- (i) (Reflexive property) For all  $x \in S$ , we have  $x \sim x$ .
- (ii) (Transitive property) For all  $x, y, z \in S$ , if  $x \sim y$  and  $y \sim z$ , then  $x \sim z$ .
- (iii) (Antisymmetric property) For all  $x, y \in S$ , if  $x \sim y$  and  $y \sim x$ , then  $x = y$ .
- The relation  $\sim$  is a **total order** on the set  $S$  if, in addition, (iv) below is satisfied.
- (iv) For all  $x, y \in S$ , either  $x \sim y$  or  $y \sim x$ .
- (b) Let  $A$  be a set containing at least two elements. We define an order on  $P(A)$  using the regular set inclusion  $\subseteq$ . Show that  $(P(A), \subseteq)$  is a partial order, but not a total order.

解答:

- (b)
- (i) for every  $x \in P(A)$ , we can easily conclude that  $x \subseteq x$   
 $\therefore x \sim x$
- (ii) for every  $x, y, z \in P(A)$  and  $x \sim y$  and  $y \sim z$ , we have  $x \subseteq y$  and  $y \subseteq z$   
 $\therefore x \subseteq z$   
 $\therefore x \sim z$
- (iii) for every  $x, y \in P(A)$  and  $x \sim y$  and  $y \sim x$ , we have  $x \subseteq y$  and  $y \subseteq x$   
 $\therefore x = y$
- (iv) for  $z, w \in A$ , let  $x = \{z\}$ ,  $y = \{w\}$ , we have  $x, y \in P(A)$ , but  $x \not\subseteq y$  and  $y \not\subseteq x$
- Based on (i), (ii), (iii), and (iv), we can conclude that  $(P(A), \subseteq)$  is a partial order, but not a total order.

### 题目 (UD:12.16)

You showed in Problem 12.13 that  $(P(Z), \subseteq)$  is a partial order. For every nonempty subset  $\mathcal{A}$  of  $P(Z)$  we say that  $U \in P(Z)$  is an upper set of  $\mathcal{A}$ , if  $X \subseteq U$  for all  $X \in \mathcal{A}$ . A nonempty set  $\mathcal{A} \subseteq P(Z)$  will be called an upper bounded set if there is an upper set of  $\mathcal{A}$  in  $P(Z)$ . We say  $U_0 \in P(Z)$  is a least upper set if (i)  $U_0$  is an upper set of  $\mathcal{A}$  and (ii) if  $U$  is another upper set of  $\mathcal{A}$ , then  $U_0 \subseteq U$ .

- (a) Let  $\mathcal{B} = \{\{1, 2, 5, 7\}, \{2, 8, 10\}, \{2, 5, 8\}\}$ . Show that  $\mathcal{B}$  is an upper bounded set and find a least upper set of  $\mathcal{B}$ , if there is one.
- (b) Prove that every nonempty subset of  $P(Z)$  is upper bounded.
- (c) Define "lower set," "lower bounded set," and "greatest lower set."
- (d) Let  $\mathcal{A}$  be a nonempty subset of  $P(Z)$ . Using union and intersection, find an expression for least upper set of  $\mathcal{A}$  and greatest lower set of  $\mathcal{A}$ .
- (e) Prove that  $(P(Z), \subseteq)$  has the "least upper set property" (in other words, show every upper bounded set has a least upper set).

解答:

- (a) Let  $U_0 = \{1, 2, 5, 7, 8, 10\}$   
 $\therefore$  for every  $X \in \mathcal{B}$ , we  $X \subseteq U_0$

$\therefore \mathcal{B}$  is an upper bounded set

And the least upper set of  $\mathcal{B}$  is  $U_0 = \{1, 2, 5, 7, 8, 10\}$

(b) Let  $U = \mathcal{Z}$

$\therefore$  for every  $\mathcal{A} \subseteq \mathcal{P}(\mathcal{Z})$  and every  $X \in \mathcal{A}$ , we have  $X \subseteq \mathcal{Z}$

$\therefore X \subseteq U$

$\therefore \mathcal{A}$  is an upper bounded set

$\therefore$  every nonempty subset of  $\mathcal{P}(\mathcal{Z})$  is upper bounded.

(c)

For every nonempty subset  $\mathcal{A}$  of  $\mathcal{P}(\mathcal{Z})$  we say that  $U \in \mathcal{P}(\mathcal{Z})$  is a lower set of  $\mathcal{A}$ , if  $U \subseteq X$  for all  $X \in \mathcal{A}$ . A nonempty set  $\mathcal{A} \subseteq \mathcal{P}(\mathcal{Z})$  will be called an lower bounded set if there is a lower set of  $\mathcal{A}$  in  $\mathcal{P}(\mathcal{Z})$ . We say  $U_0 \in \mathcal{P}(\mathcal{Z})$  is a greatest upper set if (i)  $U_0$  is a lower set of  $\mathcal{A}$  and (ii) if  $U$  is another lower set of  $\mathcal{A}$ , then  $U \subseteq U_0$ .

(d)

the least upper set of  $\mathcal{A} = \bigcup A_\alpha$  (for every  $A_\alpha \in \mathcal{A}$ )

the greatest lower set of  $\mathcal{A} = \bigcap A_\alpha$  (for every  $A_\alpha \in \mathcal{A}$ )

(e)

for every upper bounded set  $\mathcal{A}$ , we can let  $U_0 = \bigcup A_\alpha$  (for every  $A_\alpha \in \mathcal{A}$ )

$\therefore$  we can easily conclude that for every  $X \in \mathcal{A}$ , we have  $X \subseteq U_0$

$\therefore U_0$  is an upper set of  $\mathcal{A}$

Then, we need to prove  $U_0$  is the least one

we set a set  $U_1 \subsetneq U_0$

there is  $x \notin U_1$  but  $x \in \bigcup A_\alpha$  (for every  $A_\alpha \in \mathcal{A}$ )

then there is  $x \notin U_1$  but  $x \in A_\alpha$  ( $A_\alpha \in \mathcal{A}$ )

we can let  $X = A_\alpha$ , then  $X \not\subseteq U_1$

$\therefore U_1$  is not an upper set of  $\mathcal{A}$

$\therefore U_0$  is the least upper set of  $\mathcal{A}$

$\therefore$  every upper bounded set has a least upper set

and we prove that every nonempty subset of  $\mathcal{P}(\mathcal{Z})$  is upper bounded in (b)

$\therefore (\mathcal{P}(\mathcal{Z}), \subseteq)$  has the "least upper set property"

### 题目 (UD:12.20)

Suppose we define  $\infty$  to be an object that satisfies  $a \leq \infty$  for all  $a \in \mathbb{R}$ . Prove that  $\infty \neq \mathbb{R}$ .

解答:

Let we suppose  $\infty \in \mathbb{R}$

$\therefore \infty + 1 \in \mathbb{R}$

$\therefore \infty + 1 > \infty$

but it contradicts with  $a \leq \infty$  for all  $a \in \mathbb{R}$

$\therefore$  what we suppose is not right



$\therefore \infty \neq \mathbb{R}$ .

### 题目 (UD:12.22)

Prove that if  $a$  is a rational number, then there is an irrational number  $b$  such that  $a < b$ .

解答:

let  $b = a + \sqrt{2}$

$\therefore \sqrt{2}$  is an irrational number and  $a$  is a rational number

$\therefore b = a + \sqrt{2}$  is an irrational number and  $b > a$

$\therefore$  if  $a$  is a rational number, then there is an irrational number  $b$  such that  $a < b$ .

### 题目 (UD:12.23)

Prove that for two arbitrary real numbers  $a$  and  $b$  with  $a < b$ , there is an irrational number  $c$  such that  $a < c < b$ . (Hint: Consider  $a/\sqrt{2}$  and  $b/\sqrt{2}$ .)

解答:

we can find an  $x$  that  $a/\sqrt{2} < x < b/\sqrt{2}$

$\therefore$  according to Theorem 12.11 we have find an  $x$  that is a rational number

let  $x = \sqrt{2}c$

$\therefore c$  is an irrational number and  $a < c < b$

$\therefore$  for two arbitrary real numbers  $a$  and  $b$  with  $a < b$ , there is an irrational number  $c$  such that  $a < c < b$ .

## 第二部分 订正

题目 (题号)

题目。

错因分析: 简述错误原因 (可选)。

订正:

正确解答。

## 第三部分 反馈

你可以写:

- 对课程及教师的建议与意见

- 教材中不理解的内容
- 希望深入了解的内容
- 等