

# **Maximum Flow Algorithms: Ford-Fulkerson vs Edmonds-Karp**

A Comparative Study of Classical Flow Network Algorithms

Advanced Algorithms and Data Structures Project

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# 1. Introduction and Objectives

The Maximum Flow problem stands as one of the fundamental challenges in graph theory and network optimization. Given a flow network—a directed graph where each edge has a capacity constraint—the problem seeks to determine the maximum amount of flow that can be sent from a designated source vertex to a sink vertex while respecting the capacity limitations and flow conservation constraints.

This project presents a comprehensive analysis and implementation of two seminal algorithms that solve the Maximum Flow problem: the Ford-Fulkerson algorithm (1956) and its refined variant, the Edmonds-Karp algorithm (1972). Through theoretical analysis, empirical evaluation, and visual demonstration, we explore the fundamental differences, performance characteristics, and practical implications of these algorithmic approaches.

## 1.1. Project Objectives

The primary goals of this investigation are:

1. **Implementation and Verification:** Develop robust, well-documented implementations of both algorithms with comprehensive test suites ensuring correctness across diverse graph topologies.
2. **Theoretical Analysis:** Provide detailed mathematical analysis of algorithmic complexity, convergence properties, and theoretical performance bounds.
3. **Empirical Evaluation:** Conduct extensive benchmarking across various graph types, sizes, and structural characteristics to understand practical performance differences.
4. **Visualization and Education:** Create intuitive visualizations that demonstrate algorithm execution, flow assignments, and comparative behavior to enhance understanding of the underlying mechanisms.
5. **Practical Applications:** Explore real-world applications where maximum flow algorithms provide optimal solutions to network optimization problems.

## 1.2. Significance and Applications

Maximum flow algorithms find applications across numerous domains:

- **Network Infrastructure:** Bandwidth allocation, routing optimization, and network reliability analysis
- **Transportation Systems:** Traffic flow optimization, supply chain management, and logistics planning
- **Computer Vision:** Image segmentation, stereo matching, and object recognition
- **Operations Research:** Project scheduling, resource allocation, and capacity planning
- **Bioinformatics:** Sequence alignment, protein folding analysis, and phylogenetic reconstruction

The ubiquity of these applications underscores the fundamental importance of understanding and optimizing maximum flow algorithms.

## 2. Problem Definition and Mathematical Foundations

### 2.1. Flow Network Formal Definition

A **flow network** is formally defined as a directed graph  $G = (V, E)$  equipped with the following components:

$$G = (V, E, c, s, t)$$

where:

- $V$  is the set of vertices (nodes)
- $E \subseteq V \times V$  is the set of directed edges
- $c : E \rightarrow \mathbb{R}^+$  is the **capacity function** assigning positive real capacities to edges
- $s \in V$  is the designated **source** vertex
- $t \in V$  is the designated **sink** vertex, with  $s \neq t$

### 2.2. Flow Function Properties

A **flow** in the network is a function  $f : E \rightarrow \mathbb{R}^+$  that must satisfy two fundamental constraints:

#### 2.2.1. Capacity Constraint

For every edge  $(u, v) \in E$ :

$$f(u, v) \leq c(u, v)$$

This ensures that the flow through any edge never exceeds its capacity limit.

#### 2.2.2. Flow Conservation Constraint

For every vertex  $v \in V \setminus \{s, t\}$  (all vertices except source and sink):

$$\sum_{\{u:(u,v) \in E\}} f(u, v) = \sum_{\{w:(v,w) \in E\}} f(v, w)$$

This constraint ensures that flow is neither created nor destroyed at intermediate vertices—the total flow entering a vertex must equal the total flow leaving it.

### 2.3. Maximum Flow Problem Statement

The **Maximum Flow Problem** seeks to find a flow  $f$  that maximizes the **value of the flow**, defined as:

$$|f| = \sum_{\{v:(s,v) \in E\}} f(s, v) - \sum_{\{u:(u,s) \in E\}} f(u, s)$$

Equivalently, by flow conservation, this equals:

$$|f| = \sum_{\{u:(u,t) \in E\}} f(u, t) - \sum_{\{v:(t,v) \in E\}} f(t, v)$$

### 2.4. Residual Network and Augmenting Paths

Central to understanding maximum flow algorithms is the concept of the **residual network**.

#### 2.4.1. Residual Capacity

For any edge  $(u, v)$ , the **residual capacity** is defined as:

$$c_{f(u,v)} = \begin{cases} c(u,v) - f(u,v) & \text{if } (u,v) \in E \\ f(v,u) & \text{if } (v,u) \in E \\ 0 & \text{otherwise} \end{cases}$$

The first case represents remaining forward capacity, while the second represents the possibility of reducing existing flow (creating backward capacity).

#### 2.4.2. Residual Network

The **residual network**  $G_f = (V, E_f)$  with respect to flow  $f$  contains only edges with positive residual capacity:

$$E_f = \{(u,v) \in V \times V : c_{f(u,v)} > 0\}$$

#### 2.4.3. Augmenting Path

An **augmenting path** is a simple path from  $s$  to  $t$  in the residual network  $G_f$ . The **residual capacity** of such a path  $P$  is:

$$c_{f(P)} = \min_{\{(u,v) \in P\}} c_{f(u,v)}$$

### 2.5. Max-Flow Min-Cut Theorem

The theoretical foundation for maximum flow algorithms rests on the celebrated Max-Flow Min-Cut Theorem:

**Theorem (Max-Flow Min-Cut):** In any flow network, the value of the maximum flow equals the capacity of the minimum cut.

Formally, if  $f^*$  is a maximum flow and  $(S, T)$  is a minimum cut where  $S \cup T = V$ ,  $S \cap T = \emptyset$ ,  $s \in S$ , and  $t \in T$ , then:

$$|f^*| = c(S, T) = \sum_{\{u \in S, v \in T, (u,v) \in E\}} c(u, v)$$

This theorem provides both an optimality condition and a certificate for maximum flow solutions.

## 3. Algorithm Analysis and Implementation

### 3.1. Ford-Fulkerson Algorithm

The Ford-Fulkerson method, introduced by L.R. Ford Jr. and D.R. Fulkerson in 1956, establishes the foundational approach for solving maximum flow problems through the iterative augmentation of flow along source-to-sink paths.

#### 3.1.1. Algorithmic Framework

The Ford-Fulkerson method follows a generic framework that can be instantiated with different path-finding strategies:

- 1: **function** FORD-FULKERSON( $G, s, t$ )
- 2:      $f(u, v) \leftarrow 0$  for all  $(u, v) \in E$
- 3:     **while** there exists an augmenting path  $P$  in  $G_f$  **do**
- 4:          $c_{f(P)} \leftarrow$  minimum residual capacity along  $P$

```

5:         for edges  $(u,v)$  in  $P$  do
6:             if  $(u,v) \in E$  then
7:                  $f(u,v) \leftarrow f(u,v) + c_{f(P)}$ 
8:             else
9:                  $f(v,u) \leftarrow f(v,u) - c_{f(P)}$ 
10:    return  $f$ 

```

### 3.1.2. Path Selection Strategy

The generic Ford-Fulkerson framework does not specify how augmenting paths should be discovered. In our implementation, we employ **depth-first search** (DFS) to locate augmenting paths, which provides a straightforward recursive approach:

```

1: function DFS-FIND-PATH( $u, t, \text{visited}, \text{path}$ )
2:     if  $u = t$  then
3:         return  $\text{path} \cup \{t\}$ 
4:     visited  $\leftarrow$  "visited"  $\cup \{u\}$ 
5:     for  $v$  such that  $c_f(u,v) > 0$  and  $v \notin \text{visited}$  do
6:         "result"  $\leftarrow$  "DFS-Find-Path( $v, t, \text{visited}, \text{path} \cup \{u\}$ )"
7:         if result  $\neq$  null then
8:             return "result"
9:     return "null"

```

### 3.1.3. Complexity Analysis

The time complexity of Ford-Fulkerson depends critically on the path selection method and the nature of the input:

**Time Complexity:**  $O(E \cdot |f^*|)$  where  $|f^*|$  is the value of the maximum flow.

This bound arises because:

- Each augmenting path increases the flow by at least 1 unit (assuming integer capacities)
- Finding each path requires  $O(E)$  time using DFS
- At most  $|f^*|$  iterations are needed

**Space Complexity:**  $O(V + E)$  for storing the residual graph and maintaining the DFS recursion stack.

### 3.1.4. Limitations

The Ford-Fulkerson algorithm exhibits several limitations:

1. **Non-polynomial Runtime:** With irrational capacities, the algorithm may not terminate
2. **Inefficient Path Selection:** DFS may select long paths when shorter alternatives exist
3. **Poor Performance on Dense Graphs:** The  $O(E \cdot |f^*|)$  bound becomes problematic when  $|f^*|$  is large

## 3.2. Edmonds-Karp Algorithm

Jack Edmonds and Richard Karp addressed the efficiency limitations of Ford-Fulkerson in 1972 by proposing a specific path selection strategy that guarantees polynomial-time performance.

### 3.2.1. Key Innovation: Breadth-First Search

The Edmonds-Karp algorithm modifies Ford-Fulkerson by using **breadth-first search** (BFS) to find the **shortest** augmenting paths (in terms of number of edges):

```

1: function BFS-FIND-PATH( $s, t$ )
2:   "queue"  $\leftarrow$  "Queue( $s$ )"
3:   "parent"  $\leftarrow$  "empty map"
4:   "visited"  $\leftarrow$   $\{s\}$ 
5:   while queue is not empty do
6:     " $u$ "  $\leftarrow$  "queue.dequeue()"
7:     for  $v$  such that  $c_f(u, v) > 0$  and  $v \notin$  visited do
8:       "queue.enqueue( $v$ )"
9:       "visited"  $\leftarrow$  "visited"  $\cup \{v\}$ 
10:      "parent[ $v$ ]"  $\leftarrow u$ 
11:      if  $v = t$  then
12:        return "reconstruct path using parent map"
13:   return "null"

```

### 3.2.2. Theoretical Advantages

The BFS-based path selection provides several theoretical guarantees:

**Theorem:** The Edmonds-Karp algorithm runs in  $O(VE^2)$  time.

#### Proof Sketch:

1. Each BFS operation requires  $O(E)$  time
2. The distance from  $s$  to  $t$  (in terms of edges) can increase at most  $V$  times
3. Between distance increases, at most  $E$  edges can become saturated
4. Therefore, at most  $O(VE)$  iterations are needed
5. Total complexity:  $O(VE) \cdot O(E) = O(VE^2)$

**Key Insight:** By always choosing shortest paths, the algorithm ensures that the distance to the sink in the residual network is non-decreasing, leading to the polynomial bound.

### 3.2.3. Implementation Details

Our Edmonds-Karp implementation incorporates several optimizations:

1. **Efficient Residual Graph Representation:** Using adjacency lists with both forward and backward edges
2. **Path Reconstruction:** Parent pointer technique for efficient path recovery
3. **Early Termination:** BFS terminates immediately upon reaching the sink

### 3.2.4. Comparative Analysis

Aspect	Ford-Fulkerson	Edmonds-Karp	Path Strategy
Any augmenting path (DFS)	Shortest augmenting path (BFS)	<b>Time Complexity</b>   $O(Ec \cdot  f^* )$   $O(VE^2)$	<b>Termination</b>   Guaranteed only for rational capacities   Always guaranteed
<b>Practical Performance</b>	Variable, can be poor	Consistently polynomial	

## 3.3. Algorithm Correctness

Both algorithms rely on the fundamental correctness of the augmenting path method:

**Theorem (Augmenting Path Correctness):** A flow  $f$  is maximum if and only if there are no augmenting paths in the residual network  $G_f$ .

**Proof:**

- **Necessity:** If an augmenting path exists, the flow can be increased, contradicting maximality
- **Sufficiency:** If no augmenting path exists, then by the Max-Flow Min-Cut theorem, the current flow is maximum

## 4. Results and Analysis

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## 5. Conclusions and Future Work

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