

## WIRELESS ACCESS

NO VENBRE

### LTE and LTE-Advanced

LTE stands for LONG TERM EVOLUTION there are two versions  
LTE and LTE-A

The idea was that of satisfy some important demands: try to provide as much as possible devices services, giving the fact that users where interested in increasing the amount of data exchanging with the cellular network.

Initially there was a big interest in the East part of the world for having video on demand and new applications.

In previous generations we wanted to support data (GSM) but not for video streaming.  
Then video streaming started to be an application of interest for the mobile networks.

This was not available in UMTS. There were only MMS (images) instead of SMS but they were quite heavy.

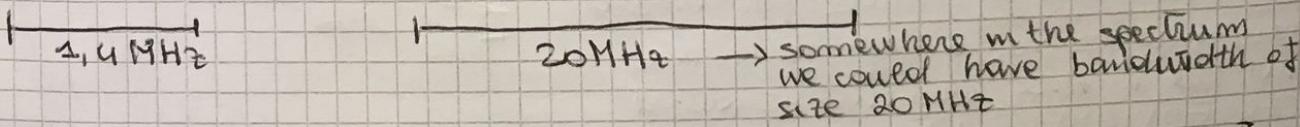
So this is why the wanted to find new applications.

The interest was also in supporting interconnection everywhere

Thus is achieved changing important features:

- Using features like adaptive modulation and coding HARQ
- Using higher bandwidths, but flexible and scalable, only possible by using another transmission scheme
- Using MIMO and smart antennas

1) In general, the important things we have to know is to improve the date rate  
To do that we use larger bandwidth. So starting from bandwidth ~~existing for~~ of 1.4 MHz, we arrive to 3, 5, 10 up to 20 MHz



2) It is possible to use different modulation schemes. A modulation scheme is a way to transmit our data in our spectrum and we can modulate (so we can send more or less data) in accordance to the characteristics of the spectrum.  
So we can use different modulation schemes (QPSK, 16 QAM, 64 QAM) and as the modulation increases, the data rate increases.

N.B. the bandwidths (Downlink and Uplink) have different configurations

So in the LTE the communication is ASYMMETRIC, so as we have seen for ADSL we have more bandwidth and higher data rate in the downlink (from the BS to the mobile user) and less bandwidth, so lower data rate in the Uplink (from user to BS)

## PEAK DATA RATE

So we have, depend up on kind of configurations of some transmission characteristics, we can support in the maximum bandwidth 20 MHz up to 150 Mbps in the Downlink and we have with another configuration (4x4 MIMO) in 20 MHz bandwidth up to 300 Mbps in the Downlink.

→ 2x2 MIMO

These are quite ~~large~~ high rates considering the fact that we are working on WIRELESS DOMAIN toward mobile systems

On the contrary, on the Uplink we have 75 Mbps for 20 MHz

What we expect if the bandwidth available is less than 20 MHz?

We expect lower speed because accordingly to Shannon formula, we know that the capacity  $C$  is proportional to  $B$   
 $C = B \log_2 (1 + SNR)$  so if we decrease the bandwidth  $B$ , the Capacity  $C$  decreases

→ this is the most usual

The carrier frequency of the LTE is 800 MHz, 18000 MHz

is the position in the spectrum where my bandwidth is centered

These carrier frequencies 800 MHz and 18000 MHz are still used in 5G partially, but in the 5G we use higher carrier frequency and we have the availability of even larger bandwidths

So the fact that we have a lower date rate depends on decreasing bandwidth  $B$ .

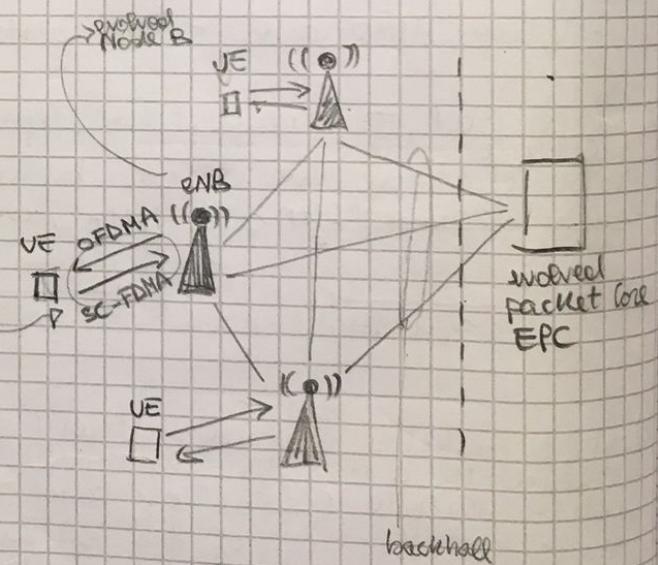
## BASIS ARCHITECTURE OF LTE

The structure is based on a classical cellular network. So we have CELLS in correspondence of Base Stations called eNB where NB was used in UMTS and "e" stands for evolved

Then there is the wireless interface and the mobile element is called USER EQUIPMENT UE

The BACK HALL is the interconnection between these eNB toward a central element EPC which is the CORE NETWORK

EPC is designed to transfer packets.



The difference is in how the wireless interface is managed in the LTE ~~big emphasis is given to~~; We want to improve data rate in the wireless interface, so we want also ~~increase~~ that the back hall is able to support high data rate

So for example if I have an eNB which is connected to hundreds of UEs, we expect Mbps on wireless interface and Gbps on back hall; and this is quite challenging.

## WHICH ARE MAIN FEATURES

- Adaptive Modulation and Coding (AMC)
- Hybrid ARQ (HARQ)
- Spectrum Flexibility OFDMA and SC-FDMA
- MIMO Transmission

LTE

## ADAPTIVE MODULATION and CODING (AMC)

We know that if we increase the modulation scheme, we can achieve a better throughput and data rate. The problem is that we have to adapt our modulation scheme to the channel conditions.

channel conditions may have bit error rate (BER). So if I transmit in a wireless communication I can have the path loss, the multi path, interference and so on and I have a certain BER.

How is this managed in the LTE?

If we have a bad BER we also have to provide a coding that is the amount of extra information we provide in our data in order to be able to correct the data.

What is the novelty here?

The novelty is the CHANNEL QUALITY INDICATOR (CQI) that is transmitted by the end user. The end user codifies in a channel that is a ~~field of~~ a packet of 4 bits ~~of~~ the CQI.

So the quality of the channel ~~is~~ is measured and this is represented by 4 bits. So this means that we have 16 possible values of quality. And this quality is something measured by the user.

How does the system work?

The eNB sends a reference signal (a well known signal).

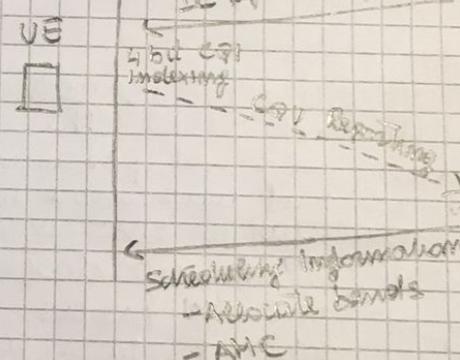
The UE measures the achieved quality ~~and~~, it codifies the ~~quality~~ quality in a channel quality indicator (CQI) and puts this ~~value~~ measurement in a packet and reports this information to the eNB.

On the base of this information what the eNB does is to allocate the modulation (the way the signal will be modulated) in accordance to the current user condition.

Notice that this exchange happens frequently during the communication.

This is novel for two reasons:

- 1) There is a sort of personalization of the modulation to the specific user. So this means that each of our smartphones crossing an area where there is a base station receives a different data rate and different ~~state~~ modulation encoding depending on our channel condition.
- 2) This modulation encoding is done continuously (it changes continuously in time)



3) Modulation encoding changes continuously in time.

So we measure in time over channel condition, so we have better condition x worse condition x medium condition -

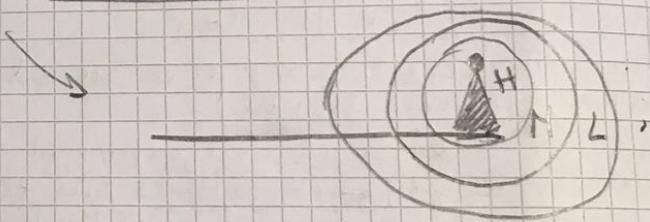
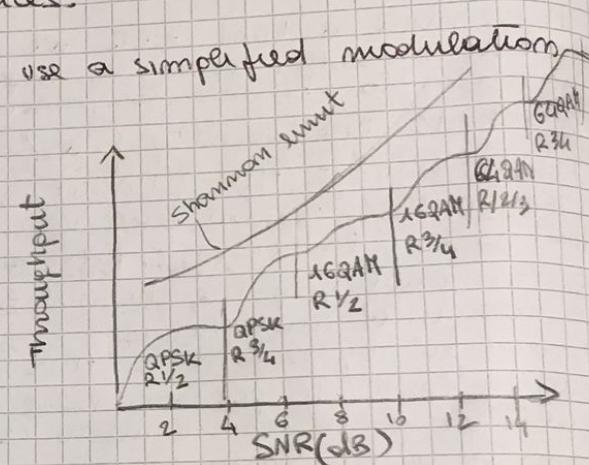
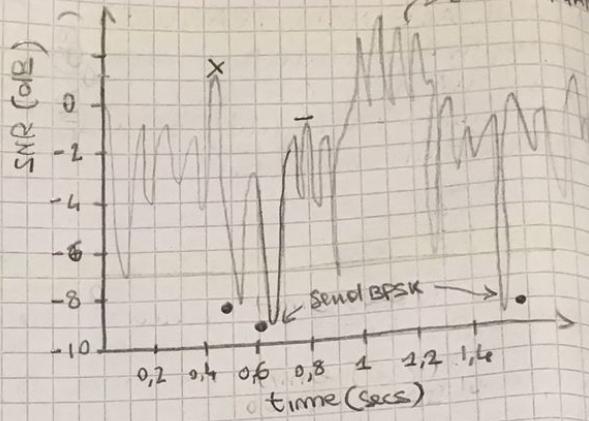
And depending on the conditions that we are measuring, we can use better modulation (64 QAM) (so we send more bits) or lower efficient modulation (BPSK)

- The concept is: if I measure HIGH SNR I can push more bits in my modulation  $\rightarrow$  M-QAM with large M + high code rates and I can achieve better performances.
- if I measure LOW SNR I have to use a simplified modulation like BPSK + low code rates. So we have different performances

The idea is try to follow (depending on the measure of SNR) with our modulation scheme the theoretical curve that is the one given by SHANNON LIMIT

Notice that the previous standards like GSM and UMTS the modulation was not personalized to the users, but was done in a way implemented in this way: in the cell, in an area close to the BS we can use higher modulation scheme. In a larger area we use medium modulation scheme and in further area we use low one

So it was based on the area



## HYBRID AUTOMATIC REPEAT REQUEST (HARQ)

What happens when there are errors packets received on UE or eNB? We use HARQ

It is a combination of FEC and ARQ

- FEC: is a method correct some errors in our transmitted data
- ARQ: is a way to ask back again our information if the error cannot be corrected

So in general in all communication systems there is a combination of these two.

So on one end we want to ~~recover~~ errors with Forward error correction, but this is not always possible because theoretically we would ~~need~~ put a lot of overhead to correct all possible errors.

On the other side we would like to use ARQ but it includes transmission ~~overhead~~ and this ~~implies~~ more time.

So we want a trade-off of the two.

In a wireless system, where we want high data rate and high speed, we can't outplan a priori which is the best use of these two techniques. So the ~~algorithm~~ HARQ exploits both.

- On a side it adapts the transmissions and FEC to the specific condition.

So instead of give extra bits ~~X~~ in my data to correct the ~~extra~~ data, the number of bits ~~X~~ is changed dynamically on the bases of what is measured as final performance.

- On the other, if a transmitter sends a packet and it arrives to the other side wrong, (so I have checked FEC and I have understood that the packet is wrong), instead of discarding the packet, ~~the~~ the system keeps the packet and sends back a feedback saying the packet was wrong.

Once the transmitter retransmits the packet, the retransmission is used to combine what was kept from previous transmission with a new one? (SOFT COMBINED).

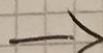
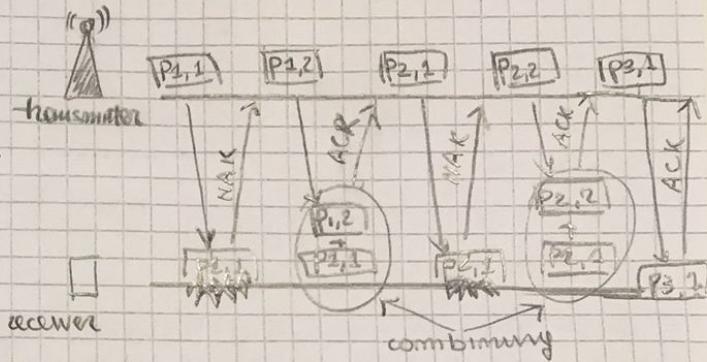
The goal is that if I keep the bits of the previous one and the second one arrives, maybe this too could be wrong but maybe not in the same points, so I can combine the good parts of both to obtain the original packet. (so I can recover the original pkt).

In this way we do not waste the bandwidth ~~while~~ while we transmit.

- Another idea of HARQ is to split the bits to be transmitted in different packets. In this way, ~~without distribute the good~~ we do not lose the ~~whole~~ whole information in one single packet. So we combine information of different packets in multiple packets. ~~and then we expect to~~

In LTE there are usually 8 parallel processes of retransmission & HARQ. So we split our information in 8 parallel branches and thanks to this we expect to be able to recover to this ~~soft~~ soft combining to our original data.

This is novel because of the possibility with the current system to perform this process

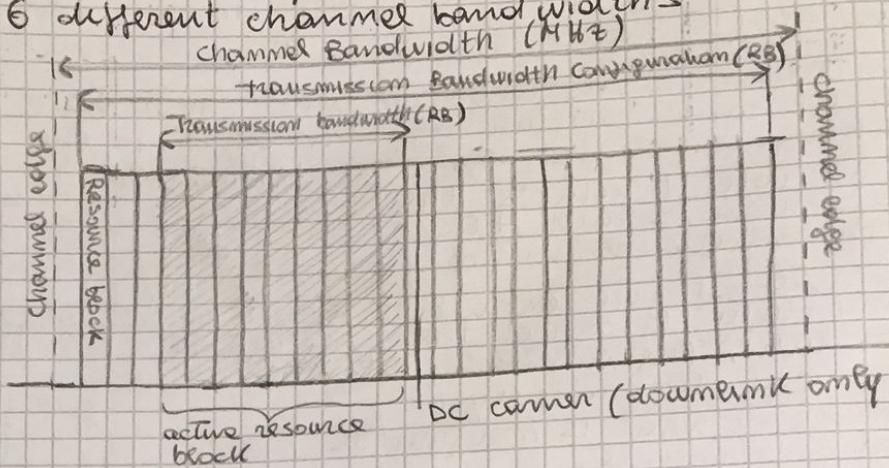


## SPECTRUM FLEXIBILITY OFDMA and SC-FDMA

How the bandwidth is managed:

LTE physical layer supports any bandwidth from 1.4 to 20 MHz  
In LTE we have 6 different channel bandwidths

MULTI-CARRIER MODULATION



These channel bandwidths are centred around central carrier. The idea is to divide the bandwidth in subcarriers - because in general the multi-carrier transmission is more effective than the single carrier one. It is better because in this way we can do a sort of parallel transmission of our information and we can consider each of these subbands as behaving in an ideal manner  $\rightarrow$  FLAT CHANNEL.

And that is not true if we use total bandwidth

So having the same occupation of the spectrum bandwidth, the multi-carrier solution performs in a better way.

(This is called multi-carrier modulation)



In particular, this is a Frequency division multiple Access FDMA.

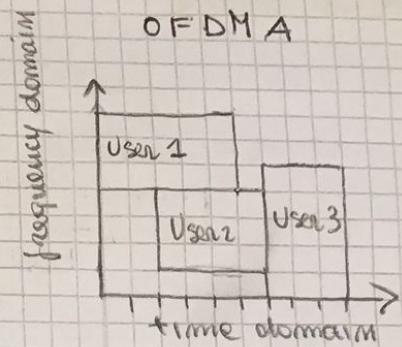
So it is ~~not~~ a frequency division as we saw above and it is multiple access because the difference from ADSL is that here we are transmitting considering different users transmitting to a base station. (Multiple access means that more users share resources and share by accessing them in a distributed way from different positions)

There are two different schemes of FDMA: SC-FDMA and OFDMA

- SC-FDMA: (single carrier) is used in Uplink
- OFDMA: (Orthogonal) in Downlink

Differences between OFDMA and OFDM  $\rightarrow$  Orthogonal single carrier

## Differences between OFDMA and OFDM



We can consider our resources like a matrix. In this matrix we have two dimensions: one is time and the other is the frequency. Let's look at the frequency:

(In OFDM): in the freq. domain we have different subcarriers ( $N$ ) and in time different slots.

The combination of the two allows us to identify an element called RESOURCE BLOCK that is a specific time slot and a specific subcarrier.

So users transmit in all subcarriers and they can transmit in one or more consecutive time slots.

In this case a user may obtain a portion of the bandwidth that may be different from another user.

So the bandwidth can be splitted in a different manner for different users. This means that instead of designing our system in order to leave the same data rate to all the users, users can ask the system for different data rate because of their services.

~~And this can (so it allocates users just in time domain)~~

(In OFDMA): We have more flexibility. In fact we can use the same spectrum in the same time slot by different users.

It allocates users in time and frequency domain

RESOURCE ALLOCATION

How is this implemented?

in a more complex way

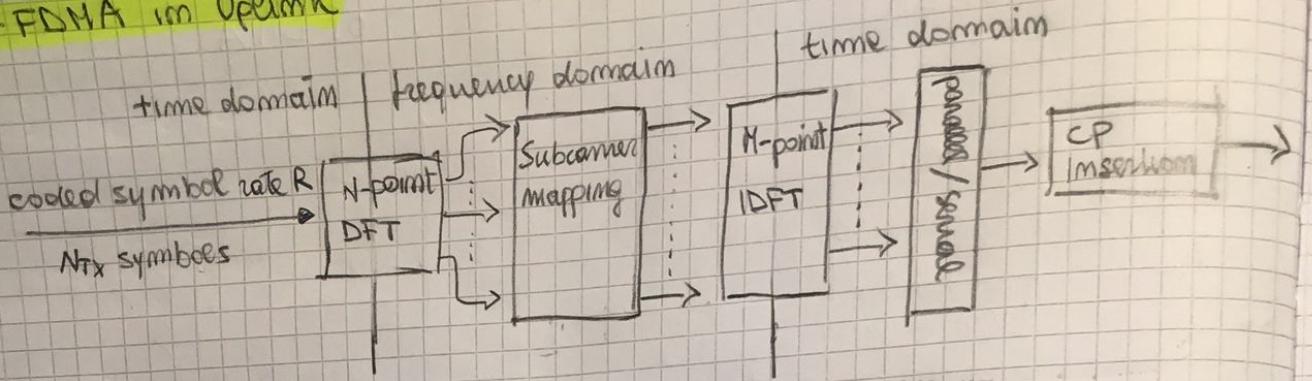
SLIDE

time slots in 0,5 ms

time slots are organized in subframes of 0,1 ms

There is the separation between up and downlink

## SC-FDMA in Uplink



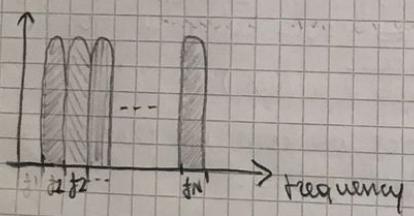
We build up this symbol (the transmission of bits in different subcarriers ( $N$ ) by using a well-known processing mechanism that is DFT (Discrete Fourier Transform). So DFT are used to provide the symbols that is the set of bits that are splitted in the different subcarriers.

Thanks to the possibility to implement DFT and IDFT on a chip in a very fast way to provide the symbol and the mapping of the bits on the subcarriers.

This is a novel technological aspect.

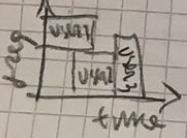
## OFDMA vs SC-FDMA

OFDMA

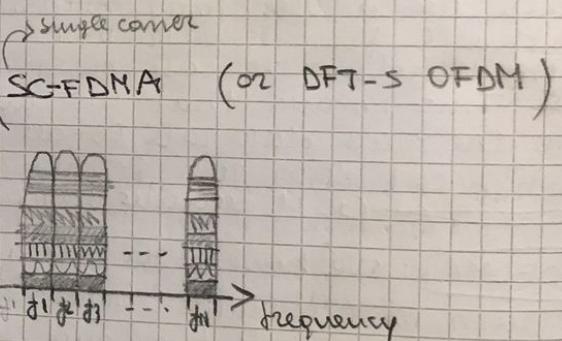


In the downlink we use the more complicated solution because the downlink is prepared by the base station.

So for the BS it is easy to prepare a symbol, a composition of the bandwidth sum in this way, because is the BS itself that puts informations there (are put in a centralized manner).



(NB) the fact that one is used in centralized and the other in distributed is the reason why we use these two different schemes in the two directions.



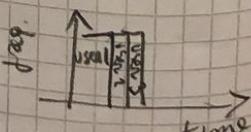
I divide my spectrum in subcarriers but the subcarriers are used by the same user represented by a color.

This is single carrier because the effect of modulation that is based on the multicarrier, at the end results in a modulation where a user occupies the whole spectrum slot by slot.

So in a slot the user occupies the whole spectrum. (And this is why it is called single carrier)

This scheme is used for the uplink because in the uplink is easy to see to indicate to a user that for a certain number of slots he can occupy the whole spectrum with the OFDM symbol and when it accesses the spectrum it is alone.

Informations are put in the system in a distributed manner.



## MIMO TRANSMISSION

MIMO = multiple input multiple output

There are different configurations in the wireless communication

**SISO**  
Single input, single output

$$1 \text{ } Y \rightarrow 1 \text{ } Y$$

a transmitting antenna  
on a side and a  
receiving one on the  
other

**MISO**  
multiple input single  
output

$$4 \text{ } Y \rightarrow 1 \text{ } Y$$

multiple transmitting  
one receiving

**MIMO**  
multiple input multiple  
output

$$1 \text{ } Y \rightarrow 1 \text{ } Y$$

$$2 \text{ } Y \rightarrow 2 \text{ } Y$$

$$3 \text{ } Y \rightarrow 3 \text{ } Y$$

multiple transmitting and  
receiving  
more powerful. We can  
improve our capacity

LTE requires high data rate, and this can be obtained only by MIMO

What are the possibilities of using MIMO:

### - TRANSMIT DIVERSITY (TxD)

We have multiple antennas in the eNB and there are multiple antennas in the receiving side. This means the UE (like the smartphone) has in the system not only ~~one~~ 1 antenna like in the past technologies, but multiple antennas.

Notice that those antennas are in a small object. So the technology channel was to put ~~multiple antennas~~ in a small object. So this means that the smartphone needs more energy to work.

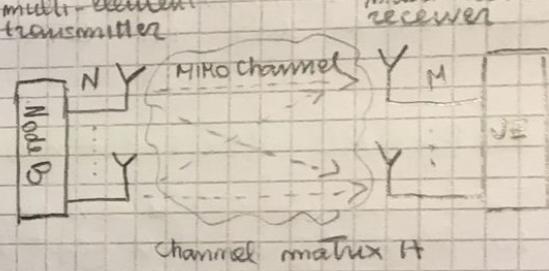
Transmit diversity means that the signal (that we typically transmit with only 1 antenna toward 1 antenna) is transmitted by multiple antennas and it arrives also to multiple antennas. It is called transm. div. because we transmit the ~~same~~ replica of the same signal on different paths.

This can be advantageous because if we are able to combine in a positive manner the different signals, we are able to sum up their power and achieve a higher signal to noise ratio SNR. To do this we ~~do~~ module the channel, so the challenge here

If I receive a direct path and a path that is not direct, I need to understand which is the delay of the ~~previous~~ second path with respect to the previous one to synchronize the data that arrives.

So this is represented by a matrix (channel matrix H). So the channel is represented by paths that ~~vary~~ vary in time and in the dimension.

This channel matrix is estimated (we have to model it). Then we are able to combine and process at the deserializer the signals and we are able to improve the performances.



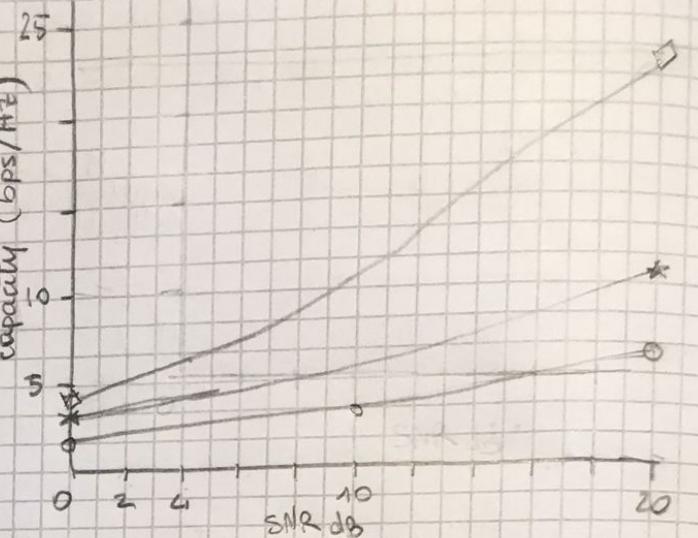
# CAPACITY

- classical one-to-one  
 $M_T = 1 \quad M_R = 1 \quad \diamond$

- 2-to-2  
 $M_T = 2 \quad M_R = 2 \quad \star$   
 In this way I can improve much more

- 4-to-4  
 $M_T = 4 \quad M_R = 4 \quad \diamond$   
 We have big increment in performance  
 (So having the same SNR, I can boost my capacity of a big amount) -

And this is how we can achieve high data rate in LTE



## - SPATIAL MULTIPLEXING (SM)

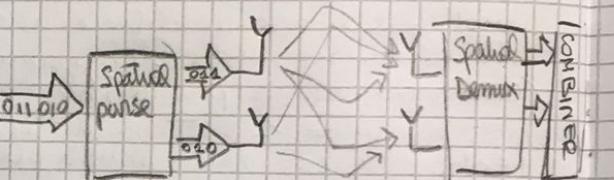
This time we split our information in  $M$  parts and a part is transmitted on one antenna and the other on the other antenna.

This time we do not have DIVERSITY GAIN but we parallelize our transmission

The system has a performance that depends on how efficient are the two separated transmission ( $011$  and  $010$  in this example)

In spatial multiplexing we can also have also multiple paths. So we need some capacity to manage the multiple path

→ fascio, ragno



## - BEAM FORMING

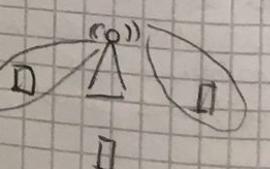
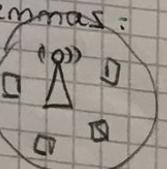
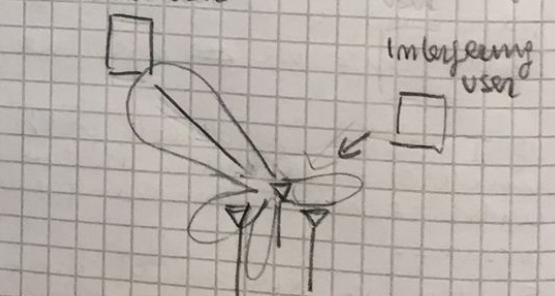
What is beamforming? By operating with the transmission parameters instead of transmitting our information in a omnidirectional way, we transmit our information in a BEAM shape.

So we can provide a specific shape of the beam where our signal is spread.

So in general there are two kinds of antennas:  
 an omnidirectional one where there is an antenna transmitting everywhere or an antenna that transmits in a beam shape and the beam can be directed toward a specific user

desired user

Interfering user



If I form a beam toward a direction that I want to spend in a transmission I concentrate the power toward a certain direction. The result is that I have more power toward the user and I do not waste power where the user is not present. So this is another way to improve SNR.

IN LTE-A the beam forming can be used in a better way.

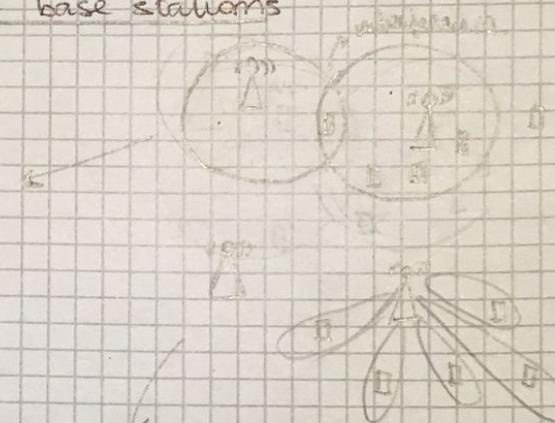
Instead of providing data rates } 300 Mbps DL we can provide  
much higher data rates } 1 Gbps DL for different reasons!  
} 500 Mbps UL

- we can use in a better way the spectrum, because we can use larger bandwidths; they exploit much more the MIMO configuration and they provide a cooperation of base stations

### CARRIER AGGREGATION

Let consider the classical system.

The problem is that cells are close one to the other and it may happen that a user that is at the border of two multiple cells, receives the signal of two cells. If these signals are transmitted in the same frequency band, there is an interference of the two cells.



Why the beam forming is advantageous in this case? because I can concentrate the power toward my users. And if there is a cooperation of the two base stations, if one concentrate the power toward a user, the other may avoid to concentrate the power to that user, avoiding interference.

So I can provide a higher coverage to the set of users that I have in my area.

The novelty is the coordination protocol between base stations to improve capacity in the system.

The complexity in the technology is to form the beam, it requires multiple antennas and processing capabilities that are not available in the previous technologies.

Remember that is important to grant mobility for users. This means that this beamforming must be DYNAMICALLY ADAPTED.

The adaptation of the beam forming is complex because passes through the dynamic modelling of the matrix  $H$  using machine learning techniques to derive parameters.

### MASSIVE MIMO

The base station has not only 4 antennas configured dynamically toward the users but are hundreds of antennas (mini-antennas) at the base station.

And this matrix of antennas is used to provide the coverage of much more users.

This changes the concept of cellular network because the cell is not any more the ~~area~~ area, but each specific beam becomes a cell.

We can consider that these antennas are computing servers. In some architectures the computing is done in the CLOUD or in the EDGE.

