# Distributed Systems Master of Science in Engineering in Computer Science



AA 2020/2021

LECTURE 3: TIME IN DISTRIBUTED SYSTEMS

PHYSICAL CLOCKS AND CLOCK SYNCHRONIZATION

## Introduction

#### In a Distributed System

- Processes run on different nodes interconnected by mean of a network (LAN or WAN)
- Processes cooperate to complete a computation
- Processes communicate only through message-based communications

Many applications require ordering between events and synchronization to terminate correctly

• E.g. Ait traffic control, Network monitoring, measurement and control, Stock market, buy and sell orders, etc...

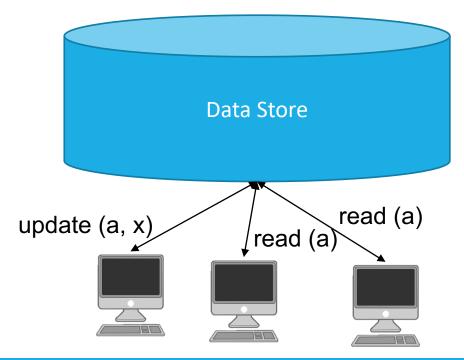
TIME IS A CRITICAL FACTOR FOR DISTRIBUTED SYSTEMS!

# Why Time is so Important?

It is a quantity we are interested to measure

A lot of algorithms depends on time

- data consistency
- authentication
- double processing avoidance



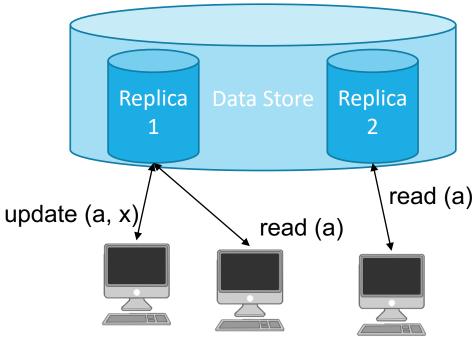
# Why Time is so Important?

It is a quantity we are interested to measure

A lot of algorithms depends on time

- data consistency
- authentication
- double processing avoidance

Ordering events is fundamental to solve these problems



# Why using time in a DS is difficult?



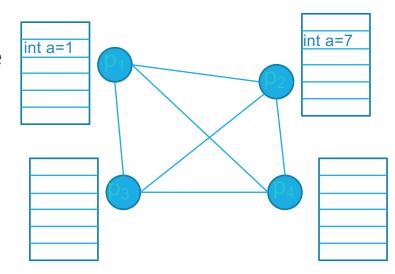
## System Model

A distributed system is composed by a set  $\Pi = \{p_1, p_2, ... p_n\}$  of n processes

Each process runs on a single mono-processor machine with no shared memory

Each process p<sub>i</sub> has a state s<sub>i</sub> that is changed by the actions it takes during the algorithm execution

 The state s<sub>i</sub> includes all the values of variables maintained by p<sub>i</sub>



Processes need to communicate through a network

Each process can communicate with other processes only by exchanging messages

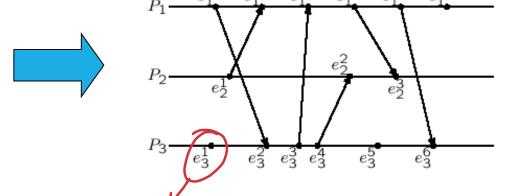
# Computation Model

#### Each process generates a sequence of events

- Internal event (event that transforms the process state) —> Correct State
   external event (send/receive) —> Correct To Correct State
- **\_e<sub>i</sub>k)**k-th event generated by P<sub>i</sub>

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The evolution of the computation can be represented with a spacetime diagram.



# History of Computations

We denote with  $\rightarrow_i$  the ordering relationship between two events in the same processes  $p_i$ :

 $e \rightarrow_i e'$  if and only if e is happened before e' in  $p_i$ 

#### **Local History**

Sequence of events produced by a process

history(
$$p_1$$
) =  $h_1$  =  $\langle e_1^1, e_1^2, e_1^3, e_1^4, e_1^5, e_1^6 \rangle$ 

#### **Partial Local History**

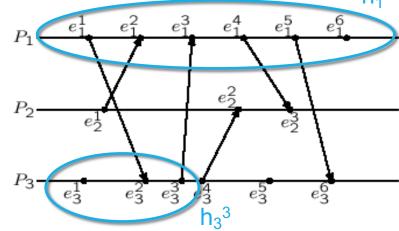
Prefix of local history

$$h_1^{m} = e_1^{1} \dots e_1^{m}$$

#### **Global History**

Set containing every local history

$$\mathbf{H} = \bigcup_{i} \mathbf{h}_{i} \text{ per } 1 \leq i \leq \mathbf{n}$$



# Time in Distributed Systems (1/3)

## **Timestamping**

Each process attaches a label to each event (using a timestamp).
 In this way it should be possible to realize a global history of the system.

## "Naïf" solution

Each process timestamps events by mean of its physical clock

# Time in Distributed Systems (2/3)

### But... Is timestamping really a solution?

- It is always possible to define an order among events produced by the same process!!!
- But what's happen when we consider several distinct processes running on different PCs?

In a distributed system in presence of network delay, processing delay, etc... it is impossible to realize a common clock shared among every process.

## Time in Distributed Systems (3/3)

Using timestamps it is possible to synchronize physical clocks (with a certain degree of <u>approximations</u>), through appropriate algorithms.

A process can label events using its physical local clock (synchronized with a certain *synchronization accuracy* or *synchronization error*).

# Physical Computer Clocks

Application processes access a local clock obtained by operating system reading a local hardware clock.

Hardware clocks consist of an oscillator and a counting register that is incremented at every tick of the oscillator.

At real time t, the operating system reads the hardware clock  $H_i(t)$ , therefore it produces the software clock

$$C_i(t) = \alpha H_i(t) + \beta$$

## Physical Computer Clocks

 $C_i(t)$  approximates the physical time t at process  $p_i$ .

 Example: C<sub>i</sub>(t) may be implemented by a 64-bit word, representing nanoseconds that have elapsed at time t.

Generally this clock is not completely accurate

- it can be different from the real time t
- It can be different at any process due to the precision of the approximation

C<sub>i</sub> can be used such as timestamp for event produced by p<sub>i</sub>.

But... How much should be smaller the granularity (resolution) of software clocks (the time interval between two consecutive increments of software clock) to distinguish between two different events?

 $T_{resolution} < \Delta T$  between two notable events

# Parameters affecting the Clock Synchronization accuracy

### Different local clocks can have different values:

• Skew: "the difference in time between two clocks" |Ci(t) - Cj(t) | (Galli)

 Drift Rate: "the gradual misalignment of once synchronized clocks caused by the slight inaccuracies of the time-keeping mechanisms" (difference for time unit with respect to an ideal clock)

e.g. drift rate of 2microsec/sec means clock increases its value of 1sec+2microsec for each second.

- Ordinary quartz clocks deviate nearly by 1 sec in 11-12 days. (10-6 secs/sec).
- High-precision quartz clock drift rate is 10<sup>-7</sup>-10<sup>-8</sup> secs/sec

## Universal Time Coordinated (UTC)

UTC is an international standard: the base of any international measure.

Based on International Atomic Time: 1 sec = time a cesium atom needs for 9192631770 state transitions.

Pyhisical clocks based on atomic oscillators are the most accurate clocks (drift rate 10<sup>-13</sup>)

## Internal/External Synchronization

### **External Synchronization**

Processes synchronize their clock C<sub>i</sub> with an authoritative external source S

WI

- Let D>0 be the synchronization bound and S be the source of UTC
- Clocks C<sub>i</sub> (for i = 1, 2, ... N) are externally synchronized with a time source S (UTC) if for each time interval I:
  - $|S(t) C_i(t)| < D$  for i = 1, 2, ... N and for real time t in I

We say that clocks C<sub>i</sub> are accurate within the bound of D

## Internal/External Synchronization

## **Internal Synchronization**

- All the processes synchronize their clocks C<sub>i</sub> between them
- Let D>0 be the synchronization bound and let  $C_i$  and  $C_j$  the clocks at processes  $p_i$  and  $p_j$  respectively
- Clocks are internally synchronized in a time interval I:

$$C_i(t) - C_j(t)$$
 D for i,j = 1, 2, ... N and for all time t in I

We say that clocks C<sub>i</sub>, C<sub>j</sub> agree within the bound of D

Depend on algorithm and some

condition of the system

## Physical Clock Synchronization

#### **Notes:**

Note that clocks that are internally synchronized are not necessarily externally synchronized. i.e. even thought they agree with each other, they drift collectively from the external time source.

On other hand, a set of processes *P*, externally synchronized within the bound of D, is also internally synchronized within the bound of 2D.

 This property directly follows from the definition of internal and external clock synchronization.

# Correct Clock (1/2)

The clock evolves accordingly the real time

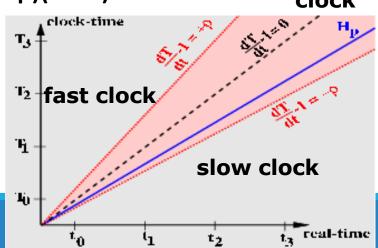
An hardware clock H is **correct** if its drift rate is within a limited bound of  $\rho > 0$  (e.g.  $10^{-6}$  secs/ sec).

$$1-\rho \le dC/dt \le 1+\rho$$

In presence of a correct hardware clock H we can measure a time interval [t,t'] (for all t'>t) introducing only limited errors.

$$(1-\rho)(t'-t) \le H(t') - H(t) \le (1+\rho)(t'-t)$$
 perfect clock

Max skew D: resynchronize at least every D/2ρ seconds.



## Correct Clock (2/2)

Software clocks have to be monotone

$$t' > t \rightarrow C(t') > C(t)$$

The monotonic property can be guaranteed choosing opportune  $\alpha$  and  $\beta$ .

• Note that  $\alpha$  and  $\beta$  can be a function of time

#### Clock failure:

- crash failure clock simply stops
- arbitrary failure clock behaves arbitrarily (e.g. Y2K bug: the day after the 31/1/1999 becomes 1/1/1900 rather than 1/1/2000)

Correctness is no accuracy...

# Synchronization Algorithms

## Synchronization by mean of a Time Server

#### Centralized Time Service

- Request-driven
  - Christian's Algorithm
- Broadcast-based
  - Berkeley Unix algorithm Gusella & Zatti (1989)

Distributed Time Service (Network Time Protocol)

## Christian's Algorithm

External synchronization algorithm

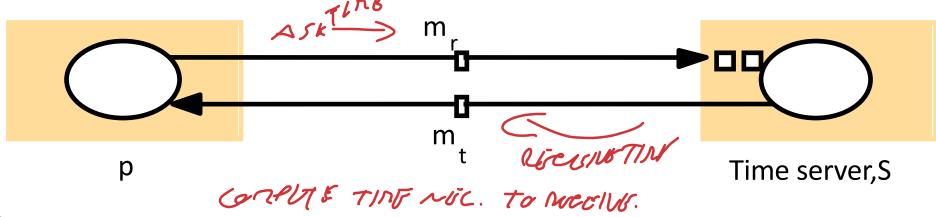
Use a time server S that receives a signal from an UTS source

## Works (probabilistically) also in an asynchronous system

- Is based on message round trip time (RTT)
- Synchronization is reached only if RTTs are small with respect to the required accuracy

## Christian's Algorithm

A process p asks the current time through a message  $m_r$  and receives t in  $m_t$  from S p sets its clock to  $t + T_{round}/2$ ,  $T_{round}$  is round trip time experienced by p



#### Notes:

- A time server can crash
- Cristian suggests to use a cluster of synchronized time servers
- A time server can be attacked...

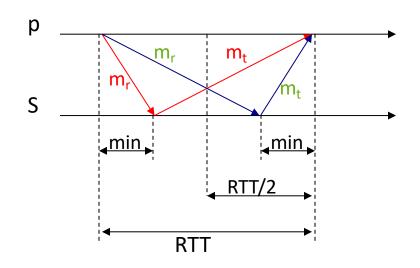
## Accuracy

#### Case 1

Reply time is greater than estimate one (obtained by RTT/2), in particular is equal to (RTT-min)

 $\Delta$  = estimate of response – real time = (RTT/2) - (RTT - min) =

(-RTT+2min)/2 = -RTT/2 + min = -(RTT/2 - min)



#### Case 2

Reply time is smaller than estimate one (obtained by RTT/2), in particular is equal to (RTT-min)

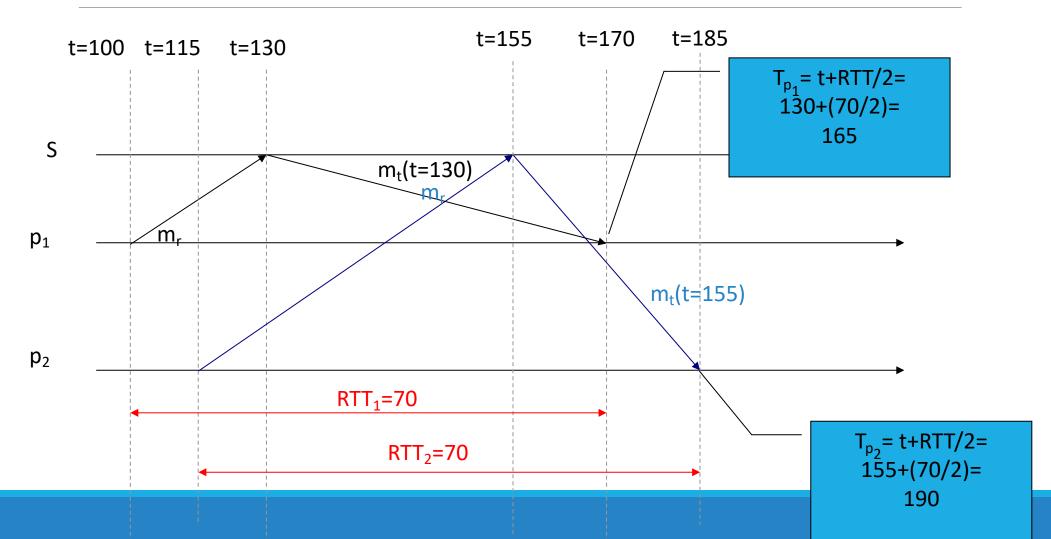
 $\Delta$  = estimate of response – real time = (RTT/2) - min = + (RTT/2 - min)

Consequently the accuracy of Cristian's algorithm is ± (RTT/2 – min) where min is the minimum transmission delay

In synchronous you can predict rat, because is the half of the time needed.

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# Christian's algorithm example of the system much.



### In the previous scenario

• If the minimum message transmission time is  $t_{min}$  = 30 then the accuracy is ±5 (i.e. ± RTT/2- $t_{min}$  = 70/2 – 30 = ± 5)

• If the minimum message transmission time is  $t_{min}$ = 20 then the accuracy is ±15 (i.e. ± RTT/2- $t_{min}$  = 70/2 – 20 = ± 15)

## Discussion

## The synchronization server is a single point of failure

 There could exists periods in which the synchronization is not possible -> Ask to multiple servers at the same time (synchronization group)

Servers in the group may be arbitrarily faulty or malicious

- Add redundancy
- Use authentication

# Berkeley's Algorithm

## Internal synchronization algorithm

- master-slave structure
- Based on steps
  - gathering of all the clocks from other processes and computation of the difference
  - computation of the correction

# Measuring the difference between clocks

The master process  $p_m$  sends a message with a timestamp  $t_1$  (local clock value) to each process of the system ( $p_m$  included)

When a process  $p_i$  receives a message from the master, it sends back a reply with its timestamp  $t_2$  (local clock value)

When the master receives the reply message it reads the local clock ( $t_3$ ) and compute the difference between the clocks  $\Delta = (t_1 + t_3)/2 - t_2$ 

# Synchronization Algorithm

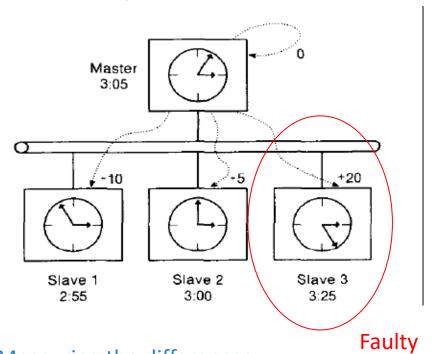
## Master behavior

- Computes of the differences  $\Delta p_i$  between the master clock and the clock of every other process  $p_i$  (including also the master)
- Computes the average avg of all  $\Delta p_i$  without considering faulty processes
- Computes the correction of each process (including faulty processes)
  - Adg<sub>pi</sub>= avg  $\Delta$ p<sub>i</sub>

## Slaves behavior

- When a process receives the correction, it is applied to the local clock
- If the correction is a negative one, the process do not adjust the value but it slow down its clock

# Example



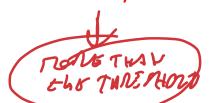
#### Measuring the differences

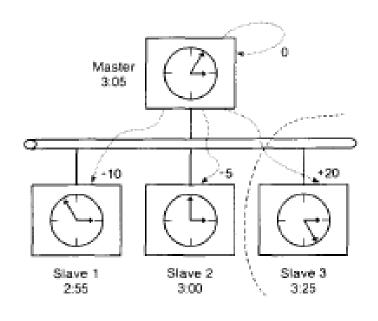
• 
$$\Delta p_m = 3.05 - 3.05 = 0$$

• 
$$\Delta p_1 = 3:05 - 2:55 = -10$$

• 
$$\Delta p_2 = 3:05 - 3:00 = -5$$

• 
$$\Delta p_3 = 3:05 - 3:25 = 20$$

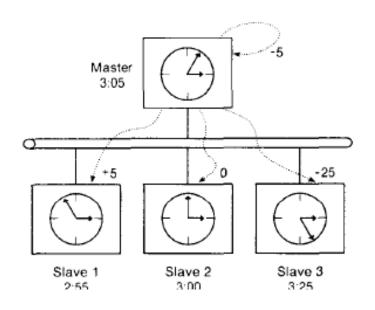


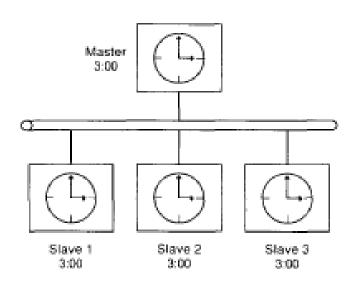


#### Computing the average

• Avg = (0 - 10 - 5) / 3 = -5

## Example





#### Compute and send the Correction

• 
$$Adj_m = Avg - \Delta p_m = -5 - 0 = -5$$

• 
$$Adj_1 = Avg - \Delta p_1 = -5 - (-10) = 5$$

• 
$$Adj_2 = Avg - \Delta p_2 = -5 - (-5) = 0$$

• 
$$Adj_3 = Avg - \Delta p_3 = -5 - 20 = -25$$

Apply the correction

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# Berkeley's algorithm: accuracy Intellegent

# The protocol accuracy depends on the maximum round-trip time

 The master does not consider clock values associated to RTT grater than the maximum one

### Fault tolerance:

- If the master crashes, another master is elected (in an unknown time)
- It is tolerant to arbitrary behavior (eg. slaves that send wrong values)
  - Master process consider a certain number of clock values and these values do not differ between them over a certain threshold

## Berkeley Algorithm

- Observation: what does slowing down a clock mean?
- It is not possible to impose a clock value in past to slaves that have a clock value greater than the new computed mean.
  - □ This action can violate the cause/effect ordering of the events produced by the slave and the time monotonicity.
- Consequently we slow down clocks hiding interrupts.
  - ☐ Hiding interrupts, the local clock is not updated so that we have to hide a number of interrupt equals to slowdown time divides the interrupt period.

## Network Time Protocol (NTP)

Time service over **Internet** - synchronizes clients with UTC:

Reliability by mean of redundant server and path

Scalable The stream may grow creating other branches.

Primary servers are connected to UTC sources

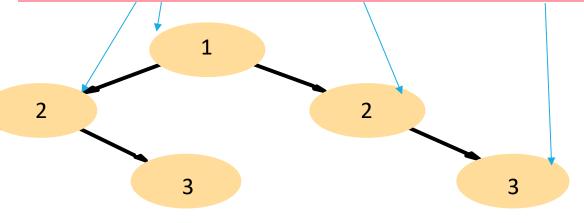
Secondary servers are synchronized to primary servers

Fully distributed algorithm, executed over internet, to have synchronization, layered structure, in which processes are grouped in 3lauers

Exist hidden connection between processes, is done for fault tolerance, to recover the problem of the master failing, so connection between peers or with another up leveled.

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Synchronization subnet - lowest level servers in users' computers



## Network Time Protocol

#### Synchronization of clients relative to UTC on an Internet-wide scale

- NTP is a standard de facto for external clock synchronization of distributed system on Internet
- NTP employs several security mechanisms (e.g. mechanisms for authentication of time references) usually they are not required in a local area network

### Based on a remote reading procedure like Cristian's Algorithm

 NTP specification adds to the basic algorithm mechanisms for clustering, filtering and evaluating data quality in order to minimize the synchronization

## NTP – Server Synchronization

#### The NTP hierarchy is reconfigurable in presence of faults

- Primary server that loses its connection with UTC-signal can become a secondary server
- Secondary server that loses its connection with a primary server (e.g. a crash of the primary server) can contact and connect itself to another primary.

## NTP Synchronization Modes

Multicast: server periodically sends its actual time to its leaves in the LAN. Leaves set their time using the received time assuming a certain delay. It is used in quick LANs but it shows a low accuracy

Procedure call: server replies to requests with its actual timestamp (like Cristian's algorithm). High Accuracy and it is useful when it is not available hw multicast.

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Symmetrical: used to synchronize between pairs of time servers using messages containing timing information. Only used in high level of hierarchy.

## Time in Asynchronous Systems

Physical Time: A global property... Observable?

NO in a distributed asynchronous system:
different clocks are synchronizable only with a
certain probability



- The impossibility of perfect accuracy is due to unpredictability of communication delay.
  - We can introduce a bound for the accuracy only when we known the upper and lower bounds for communication delays.