

# 2. Algorithm Analysis

## 2.1 Computational Tractability

Too many solutions → untractable

Polynomial running time: if we have problem to solve find shortest path

### 2.1.1 Polynomial running time

We want that when the input grows, the algorithm slow down just of a constaname c

Def. An algorithm is **poly-time** if when the input size doubles, the algorithm should slow down by at most some constant factor C.

An algorithm is efficient if it has a polynomial running time.

### 2.1.2 Types of analysis

Simple algorithms are not poly-time algorithms.

**Worst-case:** the maximum considering all input instances, parametrized on n.

**Probabilistic:** expectation on randomized algorithm, we cannot compute the deterministic value.

**Amortized:** Worst-case for any sequence of n operations.

**Average-case:** For random input of size n.

**Table 2.1** The running times (rounded up) of different algorithms on inputs of increasing size, for a processor performing a million high-level instructions per second. In cases where the running time exceeds  $10^{25}$  years, we simply record the algorithm as taking a very long time.

	$n$	$n \log_2 n$	$n^2$	$n^3$	$1.5^n$	$2^n$	$n!$
$n = 10$	< 1 sec	< 1 sec	< 1 sec	< 1 sec	< 1 sec	< 1 sec	4 sec
$n = 30$	< 1 sec	< 1 sec	< 1 sec	< 1 sec	< 1 sec	18 min	$10^{25}$ years
$n = 50$	< 1 sec	< 1 sec	< 1 sec	< 1 sec	11 min	36 years	very long
$n = 100$	< 1 sec	< 1 sec	< 1 sec	1 sec	12,892 years	$10^{17}$ years	very long
$n = 1,000$	< 1 sec	< 1 sec	1 sec	18 min	very long	very long	very long
$n = 10,000$	< 1 sec	< 1 sec	2 min	12 days	very long	very long	very long
$n = 100,000$	< 1 sec	2 sec	3 hours	32 years	very long	very long	very long
$n = 1,000,000$	1 sec	20 sec	12 days	31,710 years	very long	very long	very long

## 2.2 Asymptotic order of growth

### 2.2.1 Big-Oh

**Upper bounds.**  $T(n)$  is  $O(f(n))$  if there exist constants  $c > 0$  and  $n_0 \geq 0$  such that  $T(n) \leq c \cdot f(n)$  for all  $n \geq n_0$ .

Ex.  $T(n) = 32n^2 + 17n + 1$ .

Typical usage. Insertion sort makes  $O(n^2)$  compares to sort  $n$  elements.  
(because  $n^2$  is the maximum number of couples)

Alternate definition.  $T(n)$  is  $O(f(n))$  if  $\limsup_{n \rightarrow \infty} T(n)/f(n) < \infty$ .

*we assume that the functions involved are (asymptotically) non-negative.*

### 2.2.2 Big-Omega

**Lower bounds.**  $T(n)$  is  $\Omega(f(n))$  if there exist constants  $c > 0$  and  $n_0 \geq 0$  such that  $T(n) \geq c \cdot f(n)$  for all  $n \geq n_0$ .

Ex.  $T(n) = 32n^2 + 17n + 1$ .

$T(n)$  is both  $\Omega(n^2)$  and  $\Omega(n)$ .

$T(n)$  is neither  $\Omega(n^3)$  nor  $\Omega(n^3 \log n)$ .

*Any compare-based sorting algorithm requires  $\Omega(n \log n)$  compares in the worst case.*

### 2.2.3 Big-Theta

**Tight bounds.**  $T(n)$  is sandwiched between  $O$  and  $\Omega$

if there exist constants  $c_1 > 0$ ,  $c_2 > 0$ , and  $n_0 \geq 0$  such that  $c_1 \cdot f(n) \leq T(n) \leq c_2 \cdot f(n)$  for all  $n \geq n_0$ .

**Ex.**  $T(n) = 32n^2 + 17n + 1$ .

- $T(n)$  is  $\Theta(n^2)$ .
- $T(n)$  is neither  $\Theta(n)$  nor  $\Theta(n^3)$ .

**Proposition.** If:  $\lim_{n \rightarrow \infty} f(n)/g(n) = c > 0$ , then  $f(n)$  is  $\Theta(g(n))$ .

**Polynomials.** Let  $T(n) = a_0 + a_1 n + \dots + a_d n^d$  with  $a_d > 0$ . Then,  $T(n)$  is  $\Theta(n^d)$ .

**Logarithms.**  $\Theta(\log_a n)$  is  $\Theta(\log_b n)$  for any constants  $a, b > 0$ .

**Logarithms and polynomials.** For every  $d > 0$ ,  $\log n$  is  $O(n^d)$ .

**Big-Oh for multiple variables:**  $T(m, n)$  is  $O(f(m, n))$  if there exist constants  $c > 0$ ,  $m_0 \geq 0$ , and  $n_0 \geq 0$  such that  $T(m, n) \leq c \cdot f(m, n)$  for all  $n \geq n_0$  and  $m \geq m_0$ .

## 2.3 Survey of common running times

### 2.3.1 Linear time: $O(n)$

```
max ← a1
for i = 2 to n {
  if (ai > max)
    max ← ai
}
```

**E.G.: Merge.** Combine two sorted lists  $A = a_1, a_2, \dots, a_n$  with  $B = b_1, b_2, \dots, b_n$  into sorted whole.

```
i = 1, j = 1
while (both lists are nonempty) {
  if (ai ≤ bj) append ai to output list and increment i
  else (ai ≤ bj) append bj to output list and increment j
}
append remainder of nonempty list to output list
```

### 2.3.2 Linearithmic time: $O(n \log n)$

Often in divide-and-conquer (Merge-sort, heap-sort).

### 2.3.3 Quadratic time: $O(n^2)$

E.g.: Enumerate all pairs of elements.

$O(n^2)$  solution. Try all pairs of points.

```
min ← (x1 - x2)^2 + (y1 - y2)^2
for i = 1 to n {
  for j = i+1 to n {
    d ← (xi - xj)^2 + (yi - yj)^2
    if (d < min)
      min ← d
  }
}
```

Remark.  $\Omega(n^2)$  seems inevitable, but this is just an illusion. [see Chapter 5]

### 2.3.4 Cubit time: $O(n^3)$

E.g.: Enumerate all triples of elements.

```
foreach set Si {
  foreach other set Sj {
    foreach element p of Si {
      determine whether p also belongs to Sj
    }
    if (no element of Si belongs to Sj)
      report that Si and Sj are disjoint
  }
}
```

### 2.3.5 Polynomial time: $O(n^k)$

**Independent set of size k.**

**$O(n^k)$  solution.** Enumerate all subsets of k nodes.

```
foreach subset S of k nodes {
  check whether S is an independent set
  if (S is an independent set)
    report S is an independent set
}
```

### 2.3.6 Exponential time

**$O(2^{2^n})$  solution.** Enumerate all subsets.

```

S* ← ∅
foreach subset S of nodes {
    check whether S is an independent set
    if (S is largest independent set seen so far)
        update S* ← S
    }
}

```

### 2.3.7 Sublinear time

**Search in a sorted array.** Given a sorted array A of n numbers, is a given number x in the array? **Binary search**

```

lo ← 1, hi ← n
while (lo ≤ hi) {
    mid ← (lo + hi) / 2
    if (x < A[mid]) hi ← mid - 1
    else if (x > A[mid]) lo ← mid + 1
    else return yes
}
return no

```