

$P \subseteq \text{Mor}(\text{Scheme})$ ,  $P$  is a "Reasonable" class of morphisms

(i) preserved by compositions:

$$\pi: X \rightarrow Y, \rho: Y \rightarrow Z \in P \Rightarrow \rho \circ \pi: X \rightarrow Z \in P.$$

(ii) preserved by base change:

$$\pi: X \rightarrow Y \in P \Rightarrow \forall Y' \rightarrow Y, X \times_Y Y' \rightarrow Y' \in P.$$

(iii) local on the target:

(a) If  $\pi: X \rightarrow Y \in P$ , then  $V$  open  $V \subseteq Y$ ,

$$\pi^{-1}(V) \rightarrow V \in P.$$

(b)  $\forall \pi: X \rightarrow Y$ , if  $\exists$  open cover  $\{V_i\}$  of  $Y$  s.t

$\forall i, \pi^{-1}(V_i) \rightarrow V_i \in P$ , then  $\pi \in P$ .

(affine-local on the target)

8.1A: (i), (ii)  $\Rightarrow$  (iv): preserved by product.

S-scheme morphisms  $X \rightarrow Y, X' \rightarrow Y' \in P$ , then  $X \times_S X' \rightarrow Y \times_S Y'$   $\in P$ .

pf:

$$X \times_S X' \xrightarrow{EP} X \times_S Y' \xrightarrow{CP} Y \times_S Y'$$

$$\begin{array}{ccc} & \downarrow & \downarrow \\ X' & \xrightarrow{\text{CP}} & Y' \\ & \downarrow & \downarrow \\ X & \xrightarrow{\text{CP}} & Y \end{array}$$

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Rem: (i), (ii)  $\Rightarrow$  (v) (Cancellation Thm)

8.1 B: "isomorphisms" is a reasonable class

Pf: ✓.

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8.1. C, D: "Open embeddings" reasonable.

Pf. (i)(ii)(iii) ✓.

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8.1 E: Open embeddings of sch. are monomorphisms of Sch.

Pf: Directly verify.

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8.1 F:  $\pi: X \rightarrow Y$  open embedding,

(a)  $Y \text{ locally Noe} \Rightarrow X \text{ locally Noe}$

(b)  $Y \text{ Noe} \Rightarrow X \text{ Noe}$

(c)  $Y \text{ qc} \not\Rightarrow X \text{ qc}$

Pf: (a) By def: ✓

(b) Suffices to show  $X \text{ qc}$ .

$Y = \bigcup_i \text{Spec } A_i$ ,  $A_i$  Noe ring.

Suffices to show  $X \cap A_i \text{ qc}, \forall i$ .

Wlog assume  $Y = \text{Spec } A$ ,  $A$  Noe ring

Then  $Y - X = V(I) \stackrel{\text{Noe}}{=} V(f_1, \dots, f_n) = \bigcap_{k=1}^n V(f_k)$

$\Rightarrow X = \bigcup_{k=1}^n D(f_k) \text{ qc}$

(c) Counter example:  $A = k[x_1, x_2, \dots]$ ,

$Y = \text{Spec } A$ ,  $X = Y - V(m)$ ,  $m = (x_1, x_2, \dots)$ .

then  $X = Y - \bigcap_{n=1}^{\infty} V(x_n) = \bigcup_{n=1}^{\infty} D(x_n)$ .

Assume  $X = \bigcup_{n=1}^N D(x_n)$  has fin subopencover  $\bigcup_{k=1}^M D(x_{n_k})$ .

$\bigcup_{k=1}^M D(x_{n_k}) = Y - V((x_{n_1}, \dots, x_{n_M})) \not\subseteq Y - V(m) = X$ ,  $X$

□

8.1.3 Def

• by 2.1.1.1 it's enough if

Property P<sub>2S</sub> local on the source if

(a) If  $\pi: X \rightarrow Y \in \mathcal{P}$ , then  $H_i: U_i \hookrightarrow X$ ,  $f_i: U_i \rightarrow Y \in \mathcal{P}$

(b) If  $\mathcal{I}$  open cover  $X = \bigcup_i U_i$ , s.t.  $H_i: U_i \xrightarrow{\phi_{U_i}} Y \in \mathcal{P}$

then  $\mathcal{I} \in \mathcal{P}$ .

( $\Leftarrow$  affine local).

8.1 G "open embeddings" is not local on the source

Pf:  $X \amalg X \xrightarrow{id \amalg id} X$ . D

8.2 Another alg interlude: Lying over and Nakayama  
插曲

Def: Ring hom  $\phi: B \rightarrow A$  is called integral if

$\forall a \in A$ ,  $a$  is integral over  $\phi(B)$

8.2 A.  $\phi: B \rightarrow A$  ring hom,  $(b_1, \dots, b_n) = 1$  in  $B$ ,

and  $\phi_{b_i}: B_{b_i} \rightarrow A_{\phi(b_i)}$  integral,  $H_i$ . then  $\phi$  integral

pf:  $\forall a \in A$ ,  $\frac{a}{T} \in A \phi(h_i)$  integral over  $B_{h_i}$

$\Rightarrow \exists m_i \geq 1, t_i \geq 0$ , s.t.  $b_i^{t_i} a^{m_i} \in Ba^{m_i-1} + \dots + B$  (1)

let  $m = m_1 \dots m_n$ , then (1)  $\Rightarrow$

$b_i^{s_i} a^m \in Ba^{m-1} + \dots + B$ ,  $s_i = t_i \sum_{j \neq i} m_j$ .

let  $S \geq s_1 + \dots + s_n$ , then  $b_i^S a^m \in Ba^{m-1} + \dots + B$ .

$(b_1^S, \dots, b_n^S) \supseteq (b_1, \dots, b_n)^{ns} = (1) \text{ in } B$

$\Rightarrow (b_1^S, \dots, b_n^S) = (1) \text{ in } B$

$\Rightarrow a^m \in Ba^{m-1} + \dots + B$ .  $\checkmark$  (2)

## 8.2 B

(a)  $\phi: B \rightarrow A$  integral, then

$T^{-1}B \rightarrow \phi(T)^{-1}A$ ,  $B/J \rightarrow A/\phi(J)A$ ,  $B \rightarrow A/I$  integral

but  $B \rightarrow S^{-1}A$  not necessary integral.

(b)  $\phi: B \rightarrow A$  integral extension, then

$T^{-1}B \rightarrow \phi(T)^{-1}A$  integral extension,

but  $\underline{B/J \rightarrow A/\phi(J)A}$ ,  $B \rightarrow A/I$ ,  $B \rightarrow S^{-1}A$  not necessary

integral extension

(c) e.g.

8.2.1 lemma:  $\phi: B \rightarrow A$  ring hom,

$a \in A$ ,  $a$  is integral over  $B$

$\Leftrightarrow \exists$  subalg  $C \subseteq A$  s.t.  $C$  is a f.g  $B$ -mod and  $a \in C$ .

$\Leftrightarrow B[a]$  is a f.g  $B$ -mod

pf: (Atiyah Chapter 5)

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8.2.2. Cor: finite  $\Rightarrow$  integral.

8.2.C:  $C \rightarrow B$ ,  $B \rightarrow A$  are integral ring hom

$\Rightarrow C \rightarrow A$  integral.

pf: ✓

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8.2.D:  $\phi: B \rightarrow A$ , then  $\{a \in A \mid a \text{ integral over } B\}$  is a subalg of  $A$

a Sunday, Mar. 1.

Pf: ✓.

8.2.5: The Lying Over Thm:

$\phi: B \rightarrow A$  integral extension, then  $\forall \text{ prime } q \triangleleft B$ ,

$\exists \text{ prime ideal } p \triangleleft A \text{ s.t. } p \cap B = q$ .

The Going-up Thm:

$\phi: B \rightarrow A$  integral.  $q_1 \subset \dots \subset q_n$  prime ideals of

$B$ .

$p_1 \subset \dots \subset p_m$  prime ideals of  $A$  s.t.

$\phi^{-1}(p_i) = q_i. \quad (1 \leq i \leq n)$ ,

then  $p_1 \subset \dots \subset p_m$  can be extended to

$p_1 \subset \dots \subset p_n$  s.t  $\phi^{-1}(p_i) = q_i$ .

Nakayama!

8.2 H. ( $A, \mathfrak{m}$ ) local ring,  $M$  f.g  $A$ -mod,  $f_1, \dots, f_n \in M$

s.t.  $\bar{f}_1, \dots, \bar{f}_n$  generating  $M/\mathfrak{m}M$ , then  $f_1, \dots, f_n$

generates  $M$ .

pf: let  $N = Af_1 + \dots + Af_n \subseteq M$ , then

$N \rightarrow M \rightarrow M/N \rightarrow 0$  exact

$$\xrightarrow{-\otimes_A N/m} N/mN \rightarrow M/mM \rightarrow (M/N)/_{m(M/N)} \rightarrow 0$$

$$N/mN \rightarrow M/mM \text{ surj} \Rightarrow (M/N)/_{m(M/N)} = 0 \Rightarrow M/N = 0$$

$$\Rightarrow N = M \quad \checkmark$$

□

### 8.3 A gazillion finiteness conditions on morphisms

Def:  $\pi: X \rightarrow Y$ ,

(1)  $\pi$  is qc  $\Leftrightarrow \pi^{-1}(qc) = qc \Leftrightarrow \pi^{-1}(\text{aff}) = qc$ .

(2)  $\pi$  is qs  $\Leftrightarrow \pi^{-1}(qs) = qs \stackrel{(*)}{\Leftrightarrow} \pi^{-1}(\text{aff}) = qs$ .

qs sch:  $qc \cap qc = qc = \bigcup_{\text{finite}} \text{aff}$ .   
 $(qc, qc, \text{aff}$   
 $\text{are all open!})$

(\*) fact.

Rem:  $X$  is qc (or qs)  $\Leftrightarrow X \rightarrow \text{Spec } \mathbb{K}$  is qc (or qs)

8.3. A qc (or qs) is preserved by composition

8.3.B (a) Any morphism from a Noe sch is qc.

(b) Any morphism from a qc sch is qc.

((or: Any mor from a locally Noe sch is qc).)

Pf: (a) let  $X$  Noe,

let  $X = \bigcup_{i=1}^n \text{Spec } A_i$ ,  $A_i$ : Noe ring,  $U$  open  $\hookrightarrow X$ .

$U = \bigcap_{i=1}^n (U \cap \text{Spec } A_i) = \bigcup_{i=1}^n \bigcup_{j=1, \dots, n_i} D(f_{ij})$  is qc. ✓.  
 $f_{ij} \in A_i$   
 $D(f_{ij}) \subseteq U \cap \text{Spec } A_i$ .

(b). let  $X$  qc,  $U \hookrightarrow X$ , let  $q \in C, D \hookrightarrow U$ ,

then  $C, D \hookrightarrow X \Rightarrow C \cap D \neq \emptyset$  so  $U$  qc ✓.

8.3.2 Rem:  $\pi: X \rightarrow Y$ ,

$X$  qc  $\not\Rightarrow \pi$  qc

$X$  qc,  $Y$  qc  $\Rightarrow \pi$  qc

8.3.C (a) qc is affine-local on the target

(b) qc -

pf: (a)  $\pi: X \rightarrow Y$ , suffices to show

$\exists$  affine open cover  $Y = \bigcup_i U_i$  s.t  $\pi^{-1}(U_i) \rightarrow U_i$  qc,

then  $\pi$  qc.

Affine communication lemma 5.3.2

$P \subseteq \{\text{affine open subsets of } A \text{ s.t. } X\}$

If (i)  $\text{Spec } A \in P \Rightarrow \forall f \in A, \text{Spec } A_f \in P$ .

(ii)  $(f_1, \dots, f_n) = A$  and  $\text{Spec } A_f \in P, \forall i$   
 $\Rightarrow \text{Spec } A \in P$ .

(iii)  $X = \bigcup_i \text{Spec } A_i, \forall i, \text{Spec } A_i \in P$

Then  $P = \{\text{affine open subsets of } X\}$

$P = \{ \text{Spec } A \subset Y \mid \pi^{-1}(\text{Spec } A) \rightarrow \text{Spec } A \text{ qc} \}$

(i)  $A_f \hookrightarrow A: \checkmark$  (or  $\pi^{-1}(\text{Spec } A)$  qc?)

(ii)  $\pi^{-1}(\text{Spec } A_f) \rightarrow \text{Spec } A_f$  qc

$\forall C \subset \text{Spec } A, C \cap \text{Spec } A_f$  qc

$\Rightarrow \pi^{-1}(C) = \bigcup_{i=1}^n \pi^{-1}(C \cap \text{Spec } A_f)$  qc

(iii) ✓.

(b)  $P = \{\text{Spec}(A \otimes_{\mathbb{Z}} Y) \mid \pi^{-1}(\text{Spec}(A)) \rightarrow \text{Spec}(A) \text{ q.s.}\}$ .

(i) ✓.

(ii)  $\forall q \in C \hookrightarrow \text{Spec}(A)$ , ???

(iii) ✓.

8.3.3 Def:  $\pi: X \rightarrow Y$  is aff if  $\pi^{-1}(\text{aff}) = \text{aff}$

8.3D: aff mor is qc and qs. ✓.

8.3.4 Prop ("aff" is aff.-local on the target)

pf: Use 5.3.2.  $\pi: X \rightarrow Y$

(i)  $\text{Spec}(B \hookrightarrow Y)$ ,  $\pi^{-1}(\text{Spec}(B)) = \text{Spec}(A)$ :

$\forall s \in B$ ,  $\pi^{-1}(\text{Spec}(B_s)) = \text{Spec}(A_{\pi^s})$ .

(ii)  $\pi: X \rightarrow \text{Spec}(B)$ ,  $(s_1, \dots, s_n) \models B$ .

$X_{\pi^s_i} = \pi^{-1}(\text{Spec}(B_{s_i})) =: \text{Spec}(A_i)$  aff.

Show  $X$  is aff:

let  $A = \Gamma(X, \mathcal{O}_X)$ , then

$$\begin{array}{ccc}
 X & \xrightarrow{\pi} & \text{Spec } B \\
 & \swarrow \alpha & \nearrow \beta \\
 \text{induced by} & & \text{induced by } \pi^*: B \rightarrow \Gamma(X, \mathcal{O}_X) = A \\
 \text{id}: A \rightarrow A & & \text{Spec } A
 \end{array}$$

By 8.3.C,  $X$  is qcqs.

qcqs lemma 6.29

$$A_{\beta^{\#} s_i} = \Gamma(X, \mathcal{O}_X)_{\beta^{\#} s_i} \cong \Gamma(X_{\beta^{\#} s_i}, \mathcal{O}_X) = A_i$$

$$\Rightarrow \alpha: \text{Spec } A_i \xrightarrow{\sim} \text{Spec } A_{\beta^{\#} s_i}$$

$\{\text{Spec } A_{\beta^{\#} s_i}\}$  covers  $A$ ,  $\{\text{Spec } A_i\}$  covers  $X$

$$\Rightarrow \alpha: X \xrightarrow{\sim} \text{Spec } A \Rightarrow X \text{ aff.} \quad 12.$$

8.3.E: Suppose  $Z$  is a closed subset of aff sch  
 $\text{Spec } A$ , locally cut out by one equation.

i.e.  $\exists$  open cover  $\text{Spec } A = \bigcup_i U_i$ , and  $\forall i, \exists f_i \in \Gamma(U_i, \mathcal{O}_{\text{Spec } A})$   
 $\text{s.t. } Z \cap U_i = U_i - (U_i)_{f_i}$

Then  $Y \xrightarrow{\text{Spec } A - Z}$  is aff.

Pf: Wlog let  $U_i$  aff.

Consider  $\pi_i: Y \xrightarrow{\phi} \text{Spec } A$

For  $\text{Spec } A = \bigcup U_i$ ,  $\pi_i^{-1}(U_i) = (U_i)_{f_i}$  is aff

$\Rightarrow Y$  is aff.

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