

16.4. Grassmannian.

$S = \text{Spec } A$ affine Noetherian, V/S vector bundle.

Recall functor

$$\text{Grass}_S(V, r) : (\text{Sch}/S)^{\text{op}} \rightarrow \text{Set}$$

$$(T, f) \mapsto \left\{ \begin{array}{l} V_T \rightarrow Q \\ \text{locally free of rank } r \\ \text{as quotient} \end{array} \right|_{f^* V}$$

$$\text{Grass}_S^{\mathbb{Z}}(Q_S^n, r) := (\text{Sch}/S)^{\text{op}} \rightarrow \text{Set}$$

$$T \mapsto \left\{ [O_T^r \rightarrow Q] \in \text{Grass}_S(Q_S^n, r)(T) \mid O_T^{\mathbb{Z}} \xrightarrow{e^{\mathbb{Z}}} O_T^n \rightarrow Q \text{ isomorphism} \right\}$$

Fact we have proved:

① $\text{Grass}_S^{\mathbb{Z}}(Q_S^n, r)$ open cover of $\text{Grass}_S(V, r)$

② $\text{Grass}_S^{\mathbb{Z}}(Q_S^n, r)$ is representable by $A_S^{r \times (n-r)}$

then $\text{Grass}_S(Q_S^n, r)$ is representable by $\text{Grass}_S(Q_S^n, r) =: \text{Gr}(r, n)$

*Geometric side of Grassmannian:

Now we assume $S = \text{Spec } k$, k field

A k point of $\text{Gr}(r, n)$ is $\text{Spec } k \xrightarrow{f} \text{Gr}(r, n)$

by definition we have $\text{Hom}(\text{Spec } k, \text{Gr}(r, n)) = \left[V \rightarrow Q / Q \text{ v.b. of rank } r \right]$
 $= \left[R \hookrightarrow V \text{ sub v.b. of rank } n-r \right]$

[Slogan: S -point of $\text{Gr}(r, n)$ is subbundle of V .]

Want to show $\text{Grass}(Q_s^*, r)$ smooth irreducible projective

① Smooth is done (cover by $A^{r(n-r)}$)

② Projectivity [Plücker Embedding]

Consider $\beta(\wedge^r Q_s^*) = \underline{\text{Grass}}(\wedge^r Q_s^*, 1) = (\text{Sch}/S)^{\#} \rightarrow \text{Set}$.

$T \mapsto \{\wedge^r Q_s^* \rightarrow L \mid L \text{ is line bundle}\} \not\models$

free of rank $\binom{n}{r}$

Claim. $\beta(\wedge^r Q_s^*)$ represented by $\text{Proj}(\text{Sym}(\wedge^r Q_s^*)) = P^{(r)-}$

"proj space is 1-dim subspace of a vector space"

Preparation: 17.2.C Relative Proj

Given $I_{\cdot} = \bigoplus_{n \geq 0} I_n \in \mathcal{Qcoh}(X)$ graded \mathcal{O}_X -algebra

$\hookrightarrow U - \text{Spec} A \hookrightarrow X$ $\underbrace{I(U) = \bigoplus_{n \geq 0} I_n(U)}$ is graded A -alg.

then $\text{Proj } I(U) \rightarrow A$ ($m \hookrightarrow M$)

By gluing we can define $\text{Proj}_X(I_{\cdot})/X$

17.2.4 (Projectivization of v.b.)

How to do "proj space is 1-dim subspace of a vector space"

\iff 1-dim Quotient space of its dual.

Simple case: $V/\text{space of rank } n$, then $\text{Proj}(\text{Sym} V^*) = \text{Proj} k[x_1, \dots, x_n] = P_k^{n-1}$
dual basis

General case: V/S v.b. of rank n , then $PV := \text{Proj}(\text{Sym} V^*)$

Back to Claim, it suffices to prove PV represents

$\text{Grass}(V^*, 1) : (\text{Sch}/S)^{\text{op}} \rightarrow \text{Set}$.

$$T \mapsto \{V_T^* \xrightarrow{\sim} L \mid L \text{ is line bundle}\} / \sim$$

Now if $V^* \cong \mathcal{O}_S^n$, this is proved. (In general, $\mathcal{O}_S^n \rightarrow V^*$)

Why Dual: Given morphism of vb $W \hookrightarrow V$, we have $W^* \rightarrow V^*$

$\text{Grass}_S(V^*) \hookrightarrow \text{Grass}_S(W^*)$ which is $\text{Proj-Sym} V^* \rightarrow \text{Proj-Sym} W^*$ [check]

Now $p(\hat{1}\mathcal{O}_S^n)$ represented by $\text{Proj-Sym}(\hat{1}\mathcal{O}_S^n)^* = \mathbb{P}^{(n)-1}$

$\hat{\beta} : \text{Grass}_S(\mathcal{O}_S^n, r) \longrightarrow \hat{\beta}(\hat{1}\mathcal{O}_S^n)$

$$\{\mathcal{O}_T^n \rightarrow Q\} \longmapsto \{\hat{1}\mathcal{O}_T^n \rightarrow \hat{1}Q\}$$

Claim: $\hat{\beta}$ is closed immersion.

$\hat{\beta}^2(\hat{1}\mathcal{O}_S^n) : (\text{Sch}/S)^{\text{op}} \rightarrow \text{Set}$

$$T \mapsto \{(\hat{1}\mathcal{O}_T^n \rightarrow L) \mid \hat{1}\mathcal{O}_T^n \xrightarrow{\hat{1}e^2} \hat{1}\mathcal{O}_T^n \rightarrow L \text{ isomorphism}\}$$

$\hat{\beta}^2(\hat{1}\mathcal{O}_S^n) \hookrightarrow \hat{\beta}(\hat{1}\mathcal{O}_S^n)$ open covering.

Now $\text{Grass}_S^2(\mathcal{O}_S^n, r) \rightarrow \hat{\beta}^2(\hat{1}\mathcal{O}_S^n)$ is it fibre product?

$$\begin{array}{ccc} & \downarrow & \downarrow \\ \text{Grass}_S(\mathcal{O}_S^n, r) & \longrightarrow & \hat{\beta}(\hat{1}\mathcal{O}_S^n) \end{array}$$

$$\begin{aligned} \forall T \quad (\hat{\beta}^2 \times \text{Gr})(T) &= \{(\hat{1}\mathcal{O}_T^n \rightarrow \hat{1}Q, \mathcal{O}_T^n \rightarrow Q) \mid \hat{1}\mathcal{O}_T^n \xrightarrow{\hat{1}e^2} \hat{1}\mathcal{O}_T^n \rightarrow \hat{1}Q \text{ isomorphism}\} \\ &= \{Q \mid \mathcal{O}_T^n \rightarrow \mathcal{O}_T^n \rightarrow Q \text{ isomorphism}\} \end{aligned}$$

(on stalk if $\hat{1}\mathcal{O}_T^n \xrightarrow{\hat{1}f} \hat{1}Q$ $\mathcal{O}_{T,x} \xrightarrow{\text{if}} \mathcal{O}_{T,x}$ ($\text{if} \in \mathcal{O}_{T,x}^*$

then $\det(f_x) \in \mathcal{O}_{T,x}^* \Rightarrow f_x \text{ isomorphism}$) $\hat{1}f$ (check affine locally)

$$\begin{array}{c} A^{r(n-r)} \\ \parallel \\ A^{\binom{n}{r}-1} \\ \parallel \end{array}$$

then since $\text{Grass}^{\mathbb{Z}}(\mathcal{O}_S^n, r) \rightarrow \mathbb{P}^{\mathbb{Z}}(\hat{\Lambda}(\mathcal{O}_S^n))$ morphism of affine scheme
 $f \mapsto \lambda f$

$$A = \left(I_r \mid * \right), \xrightarrow{A} \left[\left(\det(A \left(\begin{smallmatrix} 1 & \cdots & r \\ i_1 & \cdots & i_r \end{smallmatrix} \right)) e_{i_1, 1} \cdots e_{i_r, r} \right) \right]_{\binom{n}{r}}$$

$\downarrow |A \left(\begin{smallmatrix} 1 & \cdots & r \\ i_1 & \cdots & i_r \end{smallmatrix} \right)| = 1$

$$\left(|A \left(\begin{smallmatrix} 1 & \cdots & r \\ i_1 & \cdots & i_r \end{smallmatrix} \right)| e_{i_1, 1} \cdots e_{i_r, r} \right)_{(i_1, \dots, i_r) \in \binom{1, \dots, n}{r}}$$

On ring homomorphism $k[x_i]_{(y_j)} \rightarrow k[y_{ij}]_{\substack{i \in S \\ j \in J}}$

$$x_{(i_1, \dots, i_r)} \mapsto |(y_{ij})(\begin{smallmatrix} 1 & \cdots & r \\ i_1 & \cdots & i_r \end{smallmatrix})|$$

which is surjective since $x_{(i_1, \dots, i_r, i+1, j)} \mapsto \pm y_{ij}$

since closed immersion is local on the target.

$\text{Grass}_S(\mathcal{O}_S^n, r) \rightarrow \mathbb{P}(\hat{\Lambda}(\mathcal{O}_S^n))$ closed embedding

then $\text{Grass}_S(\mathcal{O}_S^n, r)$ projective.

* Now for general S , take affine covering $S = \bigcup S_i$

$S_i \hookrightarrow S$, then $\text{Grass}_{S_i}(\mathcal{O}_{S_i}^n, r) \rightarrow S_i$

$$\begin{array}{ccc} & \downarrow D & \downarrow \\ \text{Grass}_S(\mathcal{O}_S^n, r) & \rightarrow & S \end{array}$$

$\text{Grass}_{S_i}(\mathcal{O}_{S_i}^n, r)$ open subfunctor of $\text{Grass}_S(\mathcal{O}_S^n, r)$

then $\text{Grass}_S(\mathcal{O}_S^n, r)$ representable

$$\begin{array}{ccc} \mathbb{P}_{S_i}^N & \longrightarrow & S_i \\ \downarrow & D & \downarrow \\ \mathbb{P}_S^N & \longrightarrow & S \end{array}$$

$$\begin{array}{ccc} \text{Grass}_{S_i}(\mathcal{O}_{S_i}^n, r) & \longleftrightarrow & \mathbb{P}_{S_i}^N \\ \downarrow & & \downarrow \end{array}$$

closed immersion is local on the target.

$$\text{Grass}_S(\mathcal{O}_S^n, r) \longrightarrow \mathbb{P}_S^N$$

then $\text{Grass}_S(\mathcal{O}_S^n, r)$ is projective.

13.4. Bertini's Theorem.

13.4.1. Define Dual Proj space of V/S being $\mathbb{P}V^*$
 i.e. S -point of $\mathbb{P}V^*$ is rank n subbundle

We focus on case $/k$. where $V = k^{n+1}$ vector space

then a k -point of $\mathbb{P}V^*$ is a n subspace of V (1 -quotient of V)
 which is a hyperplane of $\mathbb{P}V$ ($W \rightarrow V \quad V^* \rightarrow W^* \quad PW \hookrightarrow \mathbb{P}V$)

△ In general, $\forall p \in \mathbb{P}V^*$ p is $k(p) \rightarrow \mathbb{P}V^*$, where $k(p) \not\hookrightarrow k$
 $k(p) \rightarrow \mathbb{P}V^*$ induces $f^*V \rightarrow L \hookrightarrow n$ subspace of f^*V
 which induces $H \hookrightarrow \mathbb{P}(f^*V) = \mathbb{P}_{k(p)}^n = \mathbb{P}_k^n \times k(p)$

Theorem (Bertini) *of finite type, reduced, separated.*

X smooth closed subvariety of \mathbb{P}_k^n of pure dim d

then $\exists U \subset \mathbb{P}^{n+1}$ s.t. $\forall p \in U$ assume $p \hookrightarrow H \hookrightarrow \mathbb{P}_{k(p)}^n$

we have H doesn't contain any component of $X_{k(p)}$ (set theoretically)

and $H \cap X_{k(p)}$ smooth over $k(p)$ of pure dim $d-1$.

Proof X smooth $\Rightarrow X$ regular $\Rightarrow X$ locally integral locally integral + connected = integral

the component of X is connected component of X which is open

then WMA X is integral of dim d

consider $\mathbb{P}^n \times \mathbb{P}^{n+1} = \text{Proj } \bigoplus_{i>0} (\text{Sym}^i V^* \otimes \text{Sym}^{n-i} V)$

then $I = (V^* \otimes V)_{\vee \in \text{Sym}^n V^*, v \in \text{Sym}^n V}$ then $Y := \text{Proj}_{\bigoplus_{i>0} (\text{Sym}^i V^* \otimes \text{Sym}^{n-i} V)} I$

$Y(k) = \{(p, H) \mid p \in H\}$ k -points of Y .

X smooth Suppose X is cut out by $f_1 - f_r$

then $\left(\frac{\partial f_i}{\partial x_j}\right)$ is of constant rank $n-d$

We want $\left(\frac{\partial f_i}{\partial x_j} \mid \begin{matrix} y_1 \\ \vdots \\ y_n \end{matrix}\right)$ of rank $\leq n-d$. ($= n-d$) (sufficient condition.)

which is $J = (n-d+1 \times n-d) \text{ of } \left(\frac{\partial f_i}{\partial x_j} \mid \begin{matrix} y_1 \\ \vdots \\ y_n \end{matrix}\right)$

$Y := \text{Proj}_{\mathbb{P}^n}^{\perp} (\text{Sym}^r V^* \otimes \text{Sym}^{n-r} V)$ $Z := X \cap Y \cap Y'$ closed subscheme of $\mathbb{P}^n \times \mathbb{P}^{n-r}$

If $\dim Z \leq n-1$, by 4.4.10 $\mathbb{P}_k^n \times \text{Spec} k[y_1, \dots, y_n] \rightarrow \text{Spec} k[y_1, \dots, y_n]$

is closed, then $\mathbb{P}_k^n \times \mathbb{P}_k^{n-r} \xrightarrow{\pi} \mathbb{P}_k^{n-r}$ closed

$\dim \pi(Z) \leq n-1 \Rightarrow \mathbb{P}_k^{n-r} \setminus Z \neq \emptyset \Rightarrow$ dense open

now $V \cap X$ closed, $W_p :=$ fiber of $Z \rightarrow X$ at p .

Ker^*

$W_p(k) = \{(p, H) \mid T_p H \supseteq T_p X\}$, which is define by $d+1$ linear equation

$\text{Ker}^* \Rightarrow \dim W_p = n-d-1$ then by 12.4.A $\dim Z \leq n-1$

$$\begin{array}{ccc} W_p & \hookrightarrow & \mathbb{P}^n \rightarrow k(p) \\ \downarrow & \square & \downarrow \\ Z & \hookrightarrow & X \times \mathbb{P}^{n-r} \rightarrow X \end{array}$$

$$\begin{cases} p \in H \\ T_p H \supseteq T_p X \end{cases}$$

D

Valuative Criterion

Theorem 1b (0.13. (Valuative Criterion))

$f: X \rightarrow Y$ morphism of schemes. TFAE

① f separated. (universally closed, proper)

② f quasi-separated. (quasi-compact, quasi-separated and of finite type)

and $\text{Spec } K \xrightarrow{u} X$ where A valuation ring, $K = \text{Frac } A$

$(A \hookrightarrow K) \downarrow f \quad \begin{matrix} \exists \\ \text{at most 1 (at least 1, unique) lifting } v \\ \text{s.t. } fv = v \quad v \downarrow u \end{matrix}$

Proof ① \Rightarrow ②

(a) If f separated

since $\mathcal{V}, \mathcal{V}' \in \text{Mor}(\text{Sch}/Y)$ $\text{Ker}(\mathcal{V}, \mathcal{V}') \leftrightarrow \text{Spec } A$

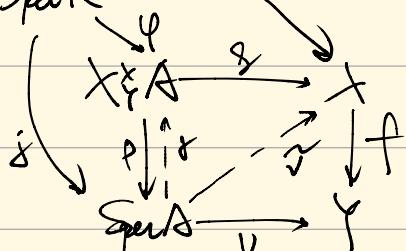
since $\mathcal{V} \circ \mathcal{V}' \downarrow \{\eta\} \in \text{Ker}(\mathcal{V}', \mathcal{V}'')$

thus $\text{Ker}(\mathcal{V}, \mathcal{V}'') = \text{Spec } A$ since A reduced.

hence $\mathcal{V} = \widetilde{\mathcal{V}}$

(b) If f universally closed.

$\text{Spec } K \xrightarrow{u} X \times_A Y$ if $\exists r: \text{Spec } A \rightarrow X \times_A Y$ s.t. $pr \circ r = \text{id}$ and $r \circ f = f$



then $s \circ r$ satisfy $f \circ s \circ r = v \circ p \circ r = v$, $s \circ r \circ f = s \circ u = u$
which is the desired lifting.

Let x be image of φ $X' = \overline{\{x\}}$

X' can be seen as a reduced closed subscheme

f universally closed $\Rightarrow p$ closed

$y \in p(X') \Rightarrow p(X') = \text{Spec } A \ni x' \in X'$ map to m

then $A \hookrightarrow \mathcal{O}_{\text{Spec } A, m} \hookrightarrow \mathcal{O}_{X, x'} \hookrightarrow \mathcal{O}_{X, x} \hookrightarrow \mathcal{O}_{\text{Spec } K, 0} = K$
generalize

since X' integral $\mathcal{O}_{X, x'}$ field $\mathcal{O}_{X, x'} \hookrightarrow \mathcal{O}_{X, x} \hookrightarrow K$

since the composition is exactly $A \hookrightarrow K$

$A \hookrightarrow \mathcal{O}_{X, x'}$ thus $\mathcal{O}_{X, x'} = K$ and

$\mathcal{O}_{X, x'}$ local ring dominating A ($m_{X'} \supseteq m$)

which implies $A \hookrightarrow \mathcal{O}_{X, x'} \quad \text{Spec } A \hookrightarrow \text{Spec } \mathcal{O}_{X, x'} \hookrightarrow X' \hookrightarrow X \not\cong A$

is the desired section

(C) If f proper, f is separated and universally closed.

$\textcircled{2} \Rightarrow \textcircled{1}$ Lemma. $f: X \rightarrow Y$ quasi-compact f is closed iff
 $\forall y \in f(X) \quad y \in \overline{f(x)}, \quad y' \in f(x).$

Lemma. $x \in \overline{f(x)}$ iff $x' \in \text{Im}(\text{Spec}D_{x,x} \rightarrow X)$

(a) If f quasi-compact, $S \rightarrow Y \quad X' := S \times Y$

then $\forall \text{Spec}K \xrightarrow{\psi} X' \xrightarrow{\pi} X$ \Rightarrow lifting $\tilde{\nu}_i: \text{Spec}A \rightarrow X$
 $\downarrow \quad \downarrow \quad \downarrow$
 $\text{Spec}A \xrightarrow{\nu} S \xrightarrow{f} Y$ which induces $\tilde{\nu}$

then assume $s \in \overline{f(S)} \subseteq S$ $s \in f(X')$ and $x \in f^{-1}(S') \subseteq X'$

$K := K(x')$ $K|K(s')$ extension of field

we have $\text{Spec}K(s) \rightarrow \text{Spec}D_{s,s} \xrightarrow{\alpha} S$

$\text{Spec}D_{s,s'}$

which induces

$D_{s,s} \xrightarrow{\beta} K(s') \hookrightarrow K$ by Cheralley

$\Rightarrow A \subseteq K$ valuation ring of K s.t.

$\beta(D_{s,s}) = A$ and $m_A \cap \beta(D_{s,s}) = \beta(m_s)$

$D_{s,s} \xrightarrow{\beta} A$ induces $\text{Spec}A \xrightarrow{f} \text{Spec}D_{s,s} \xrightarrow{\alpha} S$
 $m_A \hookrightarrow m_s \hookrightarrow s$

thus we obtain $\text{Spec}K \xrightarrow{\psi} X' \xrightarrow{\pi} X$, $\tilde{\nu}$ lifting of $\text{Spec}A \rightarrow S$
 $\downarrow \quad \downarrow \quad \downarrow$
 $\text{Spec}A \rightarrow \text{Spec}D_{s,s} \rightarrow S \quad K(s')$
 $K(\eta) \xrightarrow{\text{id}} \text{Ker}f \hookrightarrow S'$

$x := \tilde{\nu}(m_A)$ then $f(x) = s$ and $x \in \overline{f(x)}$ $f'(x) = s$

$\forall y \in f(x) \quad y \in \overline{f(x)}$ thus by Lemma f is closed

S is arbitrary thus f universally closed.

(b) if f quasi-separated. $\Delta_{X/Y}$ quasi-compact.

it sufficient to show $\exists \text{ Spec } K \xrightarrow{\quad} X$ \exists lifting of v

$$\text{Spec } K \xrightarrow{u} X$$

$$\text{Spec } A \xrightarrow{v} X \times_X X$$

$$j \downarrow$$

$$\begin{array}{ccc} \text{Spec } A & \xrightarrow{v} & X \times_X X \\ \downarrow b & \nearrow a & \downarrow f \\ \text{Spec } A & \xrightarrow{v} & X \end{array}$$

then $f_a = f_b : \text{Spec } A \rightarrow Y$

$$aj = pvj = pbu = u$$

$$bj = svj = sbu = u$$

by

$$\begin{array}{ccc} \text{Spec } K & \xrightarrow{u} & X \\ j \downarrow & \nearrow a & \downarrow f \\ \text{Spec } A & \xrightarrow{fa=fb} & Y \end{array}$$

a, b both lifting of $fa = fb$

thus $a = b$

$$pa = a \quad ja = a = b$$

$$\begin{array}{ccc} \text{Spec } K & \xrightarrow{u} & X \\ j \downarrow & \nearrow a=b & \downarrow \Delta \\ \text{Spec } A & \xrightarrow{v} & X \times_X X \end{array}$$

by universal property $ja = jb = v$

thus Δa is a lifting

by (a) $\Delta_{X/Y}$ is (universally) closed

thus $f : X \rightarrow Y$ is separated.

(c) f of finite type $\Rightarrow f$ quasi-compact

thus by (a) (b) f is proper. \square

