

Review

- ① X is a scheme, $x \in X \rightsquigarrow T_{X,x}^\vee$ and $T_{X,x}$
- ② X is a k -scheme of locally finite type $\exists JC : X \rightarrow \mathbb{Z}_{\geq 0}$
- ③ X is a scheme. X is regular at $x \Leftrightarrow \mathcal{O}_{X,x}$ is regular (otherwise X is called singular)
 $\Leftrightarrow \dim T_{X,x} = \dim \mathcal{O}_{X,x}$
 X is regular $\Leftrightarrow X$ is regular at all $x \in X$
- ④ X is a k -scheme of locally finite type of pure dimension d
- $x \in X(k)$ is regular $\Leftrightarrow JC(x) = d$
- ④ X is a k -scheme of finite type of pure dimension d
- $x \in X(k)$ ($\Leftrightarrow x$ is closed) is regular $\Leftrightarrow JC(x) = d$
- ⑤ X is a k -scheme of locally finite type of pure dimension d
- X is smooth (over k) $\stackrel{\text{def}}{\Leftrightarrow} \exists$ affine open cover $\{U_i\}_{i \in I}$ s.t. $\forall x \in U_i, JC(x) = d$
- ⑤ (By 13.1.J) $\Leftrightarrow JC(x) = d \quad \forall x \in X$
- If we define that X is smooth at x if $JC(x) = d$, then X is smooth $\Rightarrow X$ is smooth at all $x \in X$.
- ⑥ (13.2.H) X is a k -scheme of locally finite type of pure dimension d
- X is smooth over $k \Leftrightarrow X_k$ is smooth over k . $\forall k/k$.
- ⑦ X is smooth at x if $\forall y \in P^1(x)$ is regular in X_k . $\begin{matrix} X_k \\ \downarrow P \\ X \end{matrix}$
- (Liu Qing's def)
- Then there is no difference between Liu Qing and Vakil if $k = \bar{k}$ and X is pure dimension and compact.
- When $k = \bar{k}$. Liu. x is smooth $\Leftrightarrow X$ is regular
- Vakil. X is smooth $\Leftrightarrow JC(x) = d (= \dim X)$
- By 12.3.E, the two def coincides when x is closed.

⑧ X is a k -scheme of locally finite type of pure dimension d .

Then by 13.1.M, $J(C(x)) \geq \dim_X x = d$

(lemma). $\forall x \in X, \exists U \ni x$ s.t. $\forall y \in U, J(C(y)) \leq J(C(x))$. $\Rightarrow \begin{cases} x \text{ is smooth} \Rightarrow \exists \text{ open } U \ni x \\ \text{s.t. } U \text{ is smooth} \end{cases}$

2. $\forall x \in X, \forall y \in \overline{\{x\}}, J(C(y)) \geq J(C(x))$

3. $\forall x \in X, \exists y \in \overline{\{x\}}, J(C(y)) = J(C(x))$.

⑨ (Characterize smoothness on closed points) X over k , lft. puredim = d .

$x \in X$ x is smooth $\Rightarrow \exists$ closed pt $y \in \overline{\{x\}}$ s.t. y is smooth

$\exists y \in \overline{\{x\}}$ smooth $\Rightarrow \exists U \ni y$ s.t. U is smooth $\Rightarrow x$ is smooth.

Thus, if $S \subseteq X$ is the smooth locus of X , then S is an open subscheme

let S° be the smooth closed pts of X , then $S^\circ \subseteq S$ and we could get S by find all the points specialized by the points in S° .

⑩ (Fact) X is a k -scheme of finite type of pure dimension d (TFAE)

(i) X is smooth at x (Liu Qing's def)

(ii) $\Omega^1_{X,x}$ is free of rank $\dim_x X = d$ (\because pure dimensional)

(iii) $J(C(x)) = d$. (i.e. X is smooth at x defined by Vakil)

(iv) X is smooth in a nbd of x , U_x (smooth at every $y \in U_x$ Liu)

(v) X is smooth in a nbd of x , U_x (smooth at every $y \in U_x$ Vakil)

ref. ref Prop 6.2.2 in Liu Qing $((i) \Leftrightarrow (ii)) \Leftrightarrow (iv)$

Since $J(C(x)) = \dim_{k(x)} (\Omega^1_{X,x} \otimes_{\mathcal{O}_{X,x}} k(x))$, then $(ii) \Rightarrow (iii)$. By ⑨, $(iii) \Rightarrow (v)$

It remains to show $(v) \Rightarrow (i)$.

U is smooth $\Rightarrow U_k$ is smooth by 13.2.H $\Rightarrow U_k$ is regular $\Rightarrow X$ is smooth (Liu).

13.3 Examples

13.3.A A_k^1 is regular since $\dim(k[X]_P) = \begin{cases} 1 & P=(P(x)) \\ 0 & P=(0) \end{cases}$

A_k^2 is regular since $k[x, y]_{(x-\alpha, y-\beta)}$ has dim 2 and $\dim_k((x-\alpha, y-\beta)/(x-\alpha, y-\beta)^2) = 2$
and $\text{Max } A_k^2 = \{(x-\alpha, y-\beta) | (\alpha, \beta) \in k^2\}$.

(If you want to check the primes of $k[x, y]$ of dim 1, note that $k[x, y]$ is a UFD, and every such prime ideal comes from an irreducible element.)

P_k^1 and P_k^2 are thus regular since it's a local property

13.3.B $|P_k^n$, $f \in k[x_0, \dots, x_n]$ homogenous

(a) Show that $\{\text{non-smooth pts in } V_f(f)\} = V_f(f) \cap \bigcap_{j=0}^n V_f(\frac{\partial f}{\partial x_j}) \triangleq L$

We just check the closed pts

Let $x = [\alpha_0, \dots, \alpha_n] \in V_f(f)$ closed. ($\alpha_j \in k$) $\Rightarrow \ker(k[\frac{x_0}{x_i}, \dots, \frac{x_n}{x_i}] \rightarrow k, \frac{x_j}{x_i} \mapsto \frac{\alpha_j}{\alpha_i})$

$x \in \text{LHS} \Leftrightarrow \text{JC}_f(x) \neq n-1 \Leftrightarrow \text{rank}(\frac{\partial \tilde{f}}{\partial x_0}, \dots, \frac{\partial \tilde{f}}{\partial x_i}, \dots, \frac{\partial \tilde{f}}{\partial x_n}) = 0$, $\tilde{f}(x_0, \dots, \hat{x_i}, \dots, x_n) = f(x_0, \dots, 1, \dots, x_n)$

$\Leftrightarrow \frac{\partial \tilde{f}}{\partial x_0}(x) = \dots = \frac{\partial \tilde{f}}{\partial x_n}(x) = 0 \Leftrightarrow \frac{\partial f}{\partial x_j}(\frac{\alpha_0}{\alpha_i}, \dots, 1, \dots, \frac{\alpha_n}{\alpha_i}) = 0, \forall j \neq i \Leftrightarrow \frac{\partial f}{\partial x_j}(\alpha_0, \dots, \alpha_n) = 0, \forall j \neq i$

Since $\deg f \cdot f = x_1 \frac{\partial f}{\partial x_1} + \dots + x_n \frac{\partial f}{\partial x_n}$ and $f(\alpha_0, \dots, \alpha_n) = 0$, then $\frac{\partial f}{\partial x_j}(\alpha_0, \dots, \alpha_n) = 0, \forall j$

For a non closed pt x , if x is smooth, then \exists closed $y \in \overline{\{x\}}$ s.t. y is smooth

$\Rightarrow y \in L^c$ (open) $\Rightarrow x \in L^c$ If $x \in L^c$, then x is smooth, since

\forall closed pts in L^c is smooth $\Rightarrow L^c$ is smooth.

13.3.C. $f(x, y, z) = y^2z - x^3 + xz^2 \quad \frac{\partial f}{\partial x} = -3x^2 + z^2, \frac{\partial f}{\partial y} = 2yz, \frac{\partial f}{\partial z} = y^2 + 2xz$

$$\left\{ \begin{array}{l} y^2z - x^3 + xz^2 = 0 \\ z^2 = 3x^2 \\ yz = 0 \\ y^2 + 2xz = 0 \end{array} \right. \quad \begin{array}{l} \therefore yz = 0 \Rightarrow y=0 \text{ or } z=0 \\ \text{② } 1^\circ y=0 \stackrel{\text{④}}{\Rightarrow} xz=0 \stackrel{\text{①}}{\Rightarrow} x=0 \stackrel{\text{②}}{\Rightarrow} z=0 \quad \text{no solution} \\ \text{③ } 2^\circ z=0 \stackrel{\text{④}}{\Rightarrow} y=0 \stackrel{\text{①}}{\Rightarrow} \text{no solution} \end{array}$$

If $y^2z - x^3 + xz^2 \in (k[y, z])[x]$ is irr by Eisenstein's criterion

13.3.E $X^d + Y^d + Z^d = 0$ is a regular projective plane curve over $k = \bar{k}$ char $= 0$

$$\begin{cases} X^d + Y^d + Z^d = 0 \\ dX^{d-1} = 0 \\ dY^{d-1} = 0 \\ dZ^{d-1} = 0 \end{cases} \Rightarrow X = Y = Z = 0 \text{ has no solution.}$$

13.3.F $k = \bar{k}$. find the singular closed pts.

$$(a) Y^2 = X^2 + X^3 \quad \begin{cases} 2Y = 0 \\ 2X + 3X^2 = 0 \end{cases} \Rightarrow (X, Y) = (0, 0) \quad \text{node}$$

$$(b) Y^2 = X^3 \quad (X, Y) = (0, 0) \quad \text{cusp}$$

$$(c) Y^2 = X^4 \quad (X, Y) = (0, 0) \quad \text{tacnode}$$

For details about node, cusp, tacnode, see 13.3.2. remark.

13.3.G The twisted cubic $\text{Proj}(k[w, x, y, z]/(wz - xy, wy - x^2, xz - y^2))$ is smooth. One way to show it is to use the fact that it is isom. to \mathbb{P}_k^1 . Another solid solution is to calculate.

13.1.N. EXERCISE (COMPUTING THE JACOBIAN CORANK FUNCTION FOR PROJECTIVE k -SCHEMES). Suppose $X \subset \mathbb{P}_k^n$ is cut out by homogeneous polynomials $f_i \in k[x_0, \dots, x_n]$ ($1 \leq i \leq r$). Show that the Jacobian corank function of X is one less than the corank of the "projective Jacobian matrix":

$$(13.1.9.1) \quad \text{JC}(p) = \text{corank} \begin{pmatrix} \frac{\partial f_1}{\partial x_0}(p) & \cdots & \frac{\partial f_r}{\partial x_0}(p) \\ \vdots & \ddots & \vdots \\ \frac{\partial f_1}{\partial x_n}(p) & \cdots & \frac{\partial f_r}{\partial x_n}(p) \end{pmatrix} - 1.$$

You will have to make sense of the right side, as the projective Jacobian matrix has entries which are not functions; what does the corank even mean?

$$4 - \text{rank} \begin{pmatrix} z & y & 0 \\ -y & -2x & -z \\ -x & w & -2y \\ w & 0 & x \end{pmatrix} \neq 1 \Leftrightarrow \text{rank} \begin{pmatrix} z & y & 0 \\ -y & -2x & -z \\ -x & w & -2y \\ w & 0 & x \end{pmatrix} \neq 3$$

$\Rightarrow \dots$

Tangent planes and tangent lines

Suppose $X = V(f_1, \dots, f_r) \subseteq A^n$ and $a = (a_1, \dots, a_n) \in X$ is a k -point.

If X is smooth at a of $\dim d$ (i.e. $J_C(a) = \dim_X a = d$), then

the tangent d -plane to X at a is given by the r equations:

$$\sum_{j=1}^n \left(\frac{\partial f_i}{\partial x_j}(a) \right) (x_j - a_j) = 0 \quad i=1, \dots, r, \text{ and it is denoted by } T_a X$$

$$\dim(T_a X) = \dim_{T_a X} a = \dim_X a = d.$$

Since $T_a X$ is cut out by linear system
since they enjoy the same J -matrix at a

13.3.H. $T_a X$ is independent of the choice of f_1, \dots, f_r by the same argument in 13.1.J.

13.3.I. Calculate $T_a X$ where $a = (1, 1)$, $X = \{y^2 = x^3\}$

$$T_a X = \{-3(x-1) + 2(y-1) = 0\} = \{-3x + 2y + 1 = 0\}.$$

13.3.K. Define the tangent d -plane to X at a where $X = V(f_1, \dots, f_r) \subseteq P_k^n$ and a is smooth $a = [a_0, \dots, a_n]$

$$\left(\frac{\partial \tilde{f}_i}{\partial x_1}(a) \right) (a_0 x_1 - a_1 x_0) + \dots + \left(\frac{\partial \tilde{f}_i}{\partial x_n}(a) \right) (a_0 x_n - a_n x_0) \quad (a_0 \neq 0) \quad i=1, \dots, r$$

13.3.5 Arithmetic examples

13.3.M. $\text{Spec } \mathbb{Z}$ is regular

DVR

$\mathbb{Z}_{(p)}$ is of $\dim 1$, $(p)/(p)^2 \cong \mathbb{Z}/p\mathbb{Z}$ $\forall p$ prime, $\mathbb{Z}_{(5)} = \mathbb{Q}$ is a field.

13.3.N $\text{Spec } \mathbb{Z}[i]$ is regular.

similar to 13.3.M. $\mathbb{Z}[i]$ is PID

13.3.0. $[(5, 5\bar{i})]$ is the unique singular point of $\text{Spec}(\mathbb{Z}[5\bar{i}])$

Since $\mathbb{Z}[5\bar{i}]_P = \mathbb{Z}[\bar{i}]_P$ for $P \neq 5$ $\therefore \mathbb{Z}[5\bar{i}]_5 = \mathbb{Z}[\bar{i}]_5$

Since $\mathbb{Z}[5\bar{i}] \xrightarrow{\text{finite}} \mathbb{Z}[\bar{i}] \Rightarrow \dim \mathbb{Z}[5\bar{i}] = \dim \mathbb{Z}[\bar{i}] = 1$

And $(1+2\bar{i}) \cap \mathbb{Z}[5\bar{i}] = (1+2\bar{i}) \cap \mathbb{Z}[\bar{i}] \supseteq (5, 5\bar{i})$ and $\mathbb{Z}[5\bar{i}] / (5, 5\bar{i}) = \mathbb{Z}[X] / (5, X) = \mathbb{Z}/5\mathbb{Z}$
 \Rightarrow If $P \supseteq 5 \Rightarrow P = (5, 5\bar{i})$

$$\dim_{\mathbb{F}_5}(P/P^2) = 2 \quad (a5 + b(5\bar{i}) = 5a + 5b\bar{i} \in P^2 \Leftrightarrow 5|a, 5|b)$$

$\Rightarrow P$ is not regular.

13.3.6. \mathbb{A}_k^n is regular

13.3.7. Proposition. — Suppose (B, \mathfrak{n}, k) is a regular local ring of dimension d . Let $\phi: B \rightarrow B[x]$. Suppose \mathfrak{p} is a prime ideal of $A := B[x]$ such that $\mathfrak{n}B[x] \subset \mathfrak{p}$. Then $A_{\mathfrak{p}}$ is a regular local ring.

$$\mathfrak{n} = (f_1, \dots, f_d) \quad \mathfrak{o} = q_0 \subset q_1 \subset \dots \subset q_d = \mathfrak{n}$$

$$\text{Spec } B\bar{[X]} = \text{Spec } A \quad [\mathfrak{p}] \in \pi^{-1}([\mathfrak{n}])$$

$\emptyset [\mathfrak{p}]$ is the generic point. Then $\mathfrak{p} = \pi B\bar{[X]}$
 $(\text{Since } B\bar{[X]}/\pi B\bar{[X]} \cong k[X])$

$$\mathfrak{o} = q_0[X] \subset q_1[X] \dots \subset q_d[X] = \mathfrak{p} \Rightarrow h+\mathfrak{p} \geq d$$

$$\mathfrak{p} = (f_1, \dots, f_d) \Rightarrow h+\mathfrak{p} \leq d$$

\Downarrow

$$\dim P/P^2 \leq d \Rightarrow \text{regular}$$

② $[\mathfrak{p}]$ is a closed pt in $\pi^{-1}([\mathfrak{n}])$

Then $\mathfrak{o} = q_0[X] \subset q_1[X] \dots \subset q_d[X] \subset P$

$\mathfrak{p} = (f_1, \dots, f_d, g)$ for some g $\because k[X]$ is a PID $\Rightarrow h+\mathfrak{p} = d+1$

\Downarrow

$$\dim P/P^2 \leq d+1 \Rightarrow \text{regular}$$

13.3.P. If X regular, then $X \times A^1$ is regular. In particular A_k^n is regular.

P.f. Check locally. $\text{Spec } A$ regular $\Rightarrow \text{Spec } A[x]$ regular by 13.3.7.

13.4 Bertini's thm

Def. \mathbb{P}_k^n with coordinates x_0, \dots, x_n $(\mathbb{P}_k^n)^\vee$ with coordinates a_0, a_1, \dots, a_n .

$$\mathbb{P}_k^n = \text{Proj}(\text{Sym. } V) \quad (\mathbb{P}_k^n)^\vee = \text{Proj}(\text{Sym. } V^*) \quad \text{dual basis}$$

$$[v] \qquad [v^*]$$

Then $\forall [v^*] \in (\mathbb{P}_k^n)^\vee$ corresponds to a hyperplane $v^*(x) = 0$ in \mathbb{P}_k^n

13.4.2 Bertini's thm

$X \hookrightarrow \mathbb{P}_k^n$ of pure dim d . Then \exists nonempty open $U \subseteq (\mathbb{P}_k^n)^\vee$ s.e. $\forall k\text{-pt } p = [H] \in U$

H does not contain any component of X , and $H \cap X$ is smooth over k of (pure) dim $d-1$.

13.5 Discrete valuation rings, and Algebraic Hartog's lemma

13.5.10. Let X be a one dim variety. Since the normalization morphism is birational,

by 7.5.5, \exists dense open $U \subseteq X$, $V \subseteq X^{\text{norm}}$ s.t. $U \cong V \Rightarrow U$ is normal. $\Rightarrow \forall x \in U$,

$\mathcal{O}_{X,x}$ is a DVR and hence regular $\Rightarrow x$ is regular. $\Rightarrow \{ \text{non-regular pts} \} \subseteq X \setminus U$

Since $X \setminus U$ is Noe. of dim 0 $\Rightarrow (X \setminus U)$ set is finite $\Rightarrow X \setminus U$ consists with finite many

closed pts. $\Rightarrow X$ is regular at almost all pts except for finite many closed pts.

13.5.13. Definition: Regular in codimension one (R_1). We say that a Noetherian ring A is **regular in codimension 1** (or R_1 for short) if its localization at all codimension one primes are regular local rings. We say a locally Noetherian scheme A is **regular in codimension 1** (or R_1) if it is regular at its codimension one points. By Theorem 13.5.8, Noetherian normal schemes are regular in codimension 1.

13.5.14. Definition: Valuation at an R_1 point. Suppose X is a locally Noetherian scheme. Then for any regular codimension 1 point p (i.e., any point p where $\mathcal{O}_{X,p}$ is a regular local ring of dimension 1), we have a discrete valuation val_p . If f is any nonzero element of the fraction field of $\mathcal{O}_{X,p}$ (e.g., if X is integral, and f is a nonzero element of the function field of X), then if $\text{val}_p(f) > 0$, we say that f has a **zero of order** $\text{val}_p(f)$ **at** p , and if $\text{val}_p(f) < 0$, we say that f has a **pole of order** $-\text{val}_p(f)$ **at** p .

13.5.G X integral Noetherian. $\forall f \in K(X)$ has finite many zeros and poles.

pf. Since X is quasi-compact, we may assume $X = \text{Spec } A$ is affine.

$$\forall f \in K(X)^* = (\text{Fract } A)^*. \text{ write } f = \frac{f_1}{f_2}, f_1, f_2 \in A^*$$

Then it suffices to show $\forall f \in A$, if A is Noe. then $\{f \in \mathcal{P} \mid h(\mathcal{P})=1\}$ is finite

Since $(f) = q_1 \cap \dots \cap q_n$ by thm 7.13 in Atiyah, then $\forall \mathcal{P}$ with $h(\mathcal{P})=1$, $\mathcal{P} \supseteq r(q_j)$

for some j by thm 4.6 in Atiyah. $\Rightarrow \mathcal{P} \in \{r(q_j) \mid 1 \leq j \leq n\} \Rightarrow \{f \in \mathcal{P} \mid h(\mathcal{P})=1\}$ is finite

13.5.15. Any normal Noe. scheme is regular at its codim 1 points

But it could be non-regular at other points.

ex. $\{x^2 + y^2 = z^2\} \subseteq \mathbb{A}_k^3$ is normal Noe, but it is singular at $(0,0,0)$

13.5.16 For Noe. rings $\text{UFD} \Rightarrow \text{integrally closed} \Rightarrow \text{regular in codim 1}$

Noe. schemes factorial \Rightarrow normal \Rightarrow regular in codim 1.

examples against " \Leftarrow "

13.5.H Let $A = k[x^3, x^2, xy, y] = \left\{ \sum_{(i,j)} \text{finite } a_{ij} x^i y^j \mid a_{10} = 0 \right\} \subseteq k[x, y]$

① x is integral over A . $x(x^2 - x^2) = 0 \quad \} \Rightarrow A$ is not integrally closed.
 ② $k[x, y]$ is integrally closed (easy) and $A \xrightarrow{\text{f. -ite}} k[x, y]$

③ $\forall \mathcal{P} \in \text{Spec } A, h(\mathcal{P}) = 1$

$$1^\circ x^2 \notin \mathcal{P} \Rightarrow \mathcal{P} \sim \mathcal{P}' \in Ax^2 = k[x, y]_{x^2} \sim \tilde{\mathcal{P}} \in \mathbb{A}_k^2 \quad h(\tilde{\mathcal{P}}) = 1 \because A_{\mathcal{P}} = k[x, y]_{\mathcal{P}'}$$

Since $k[x, y]$ is regular $\Rightarrow \mathcal{P}'$ is regular

2° $Y \notin \mathcal{P} \Rightarrow$ similar $\Rightarrow \mathcal{P}$ is regular

3° $X^2, Y \in \mathcal{P} \Rightarrow (X^2)^2 \in \mathcal{P} \Rightarrow X^4 \in \mathcal{P}, (XY)^2 \in \mathcal{P} \Rightarrow XY \in \mathcal{P} \Rightarrow \mathcal{P} = (1)$, a contradiction!

; $h_{\mathcal{P}} = 1$

13.5.1. $k[w, x, y, z]/(wz - xy)$. integrally closed but not UFD

2. K is a number field with class number bigger than 1, then O_K is not a UFD

since $\text{UFD} \supseteq \text{PID}$ for Dedekind domains (taught by CMF)

13.5.19 Algebraic Hartogs's Lemma.

A Noe. normal domain Then $A = \bigcap_{ht(p)=1} A_p$

p.f. ref LiQing lemma 4.1.13.

13.5.20. It is worth geometrically interpreting Algebraic Hartogs's Lemma as saying that a rational function on a Noetherian normal scheme with no poles is in fact regular (an element of A). Informally: "Noetherian normal schemes have the Hartogs property."

Another generalization. $A \subseteq K$, then the integral closure of A in K is the intersection of all valuation rings of K containing A .

Thm 13.5.25. A Noe. integral domain (R_1). Then

(a) A is normal \Leftrightarrow (b) $A = \bigcap_{ht(p)=1} A_p$

13.6. Smooth (and étale) morphism

13.6.2. Definition. A morphism $\pi: X \rightarrow Y$ is **smooth of relative dimension n** if there exist open covers $\{U_i\}$ of X and $\{V_i\}$ of Y , with $\pi(U_i) \subset V_i$, such that for every i we have a commutative diagram

$$\begin{array}{ccccc} U_i & \xleftarrow{\sim} & W & \xrightarrow{\text{open}} & \text{Spec } B[x_1, \dots, x_{n+r}]/(f_1, \dots, f_r) \\ \pi|_{U_i} \downarrow & & \downarrow \rho|_W & & \swarrow \rho \\ V_i & \xleftarrow{\sim} & \text{Spec } B & & \end{array}$$

where ρ is induced by the obvious map of rings in the opposite direction, and W is

an open subscheme of $\text{Spec } B[x_1, \dots, x_{n+r}]/(f_1, \dots, f_r)$, such that the determinant of the Jacobian matrix of the f_i 's with respect to the first r x_i 's

$$(13.6.2.1) \quad \det \left(\frac{\partial f_j}{\partial x_i} \right)_{i,j \leq r}$$

is an invertible (= nowhere zero) function on W .

Étale means smooth of relative dimension 0.

Def. X is smooth (resp. Étale) at x iff X is smooth (resp. Étale) at a nbd of x
 \Rightarrow the smooth (resp. Étale) locus is open

Open imm. is étale: $\text{Spec } A_f = \text{Spec } A[x]/(fx-1) \rightarrow \text{Spec } A$

$$\det \left(\frac{\partial (fx-1)}{\partial x} \right) = f \text{ is invertible on } \text{Spec } A_f$$

$X \xrightarrow{\circ} Y$, take an affine covering for $Y = \bigcup V_i$. Take a distinguished open cover

for $X \cap V_i = \bigcup U_j$. $J \subseteq I_i$. Then $X = \bigcup U_j$, $Y = \bigcup V_i$, $V_j = V_i$ ($j \in I_i$)

$A^n \times Y \rightarrow Y$ is smooth of rdim n . $\{U_i\}_i$ covers $Y \Rightarrow \{A^n \times U_i\}_i$ covers $A^n \times Y$

13.6. B "smooth of rdim n " is local on source and on target.

pf. "target":

(a). If $\pi: X \rightarrow Y$ is smooth, then $\pi^{-1}(V) \rightarrow V$ ($V \subset Y$) is smooth.

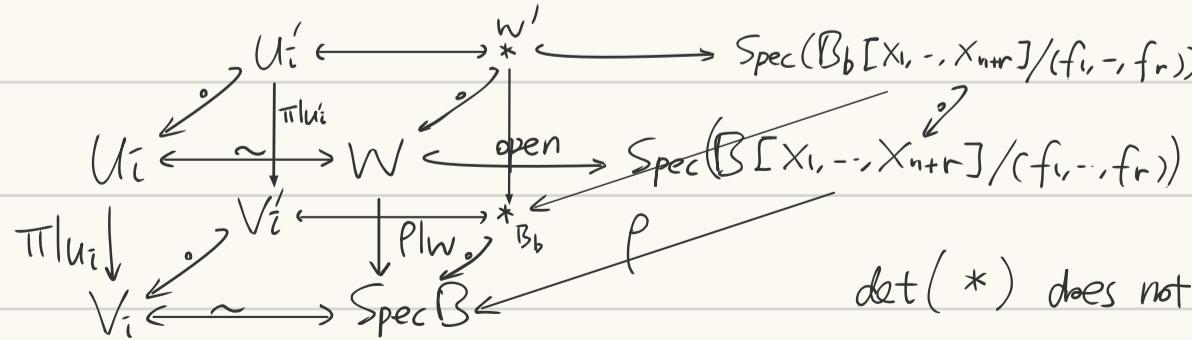
By definition, $Y = \bigcup V_i$, $X = \bigcup U_i$. Let $V'_i = V_i \cap V$, $U'_i = U_i \cap \pi^{-1}(V)$

By modifying slightly as above, we may assume W is special affine.

Write $W = \text{Spec}(B[x_0, x_1, \dots, x_{n+r}] / (f_1, \dots, f_r, gx_0-1))$ $g \in B[x_1, \dots, x_{n+r}]$

Do it again, we may assume $V'_i \hookrightarrow \text{Spec}(B_b) = \text{Spec}(B[y]/(by-1))$

$U'_i \hookrightarrow \text{Spec}(B_b[x_0, \dots, x_{n+r}] / (f_1, \dots, f_r, gx_0-1)) = W'$



$\det(*)$ does not change and hence still invertible
 in $W' (\subseteq W)$.

(b) Glueing is clear

"Source":

(a) $\forall U \hookrightarrow X \xrightarrow{\pi} Y \quad U_i = U \cap U_i$ Similar to above

$$\begin{array}{ccccc}
 & U'_i & \xleftarrow{*'} & \text{Spec}(B_b[x_1, \dots, x_{n+r}] / (f_1, \dots, f_r)) \\
 & \downarrow \circ & \nearrow \circ & & \\
 U_i & \xleftarrow{\circ} & W & \xrightarrow{\circ} & \text{Spec}(B[x_1, \dots, x_{n+r}] / (f_1, \dots, f_r)) \\
 \downarrow \pi|_{U_i} & \nearrow \sim & \downarrow \text{open} & & \\
 V_i & \xleftarrow{\sim} & \text{Spec } B & \xrightarrow{\rho} & W' = \text{Spec}(B[y, x_0, \dots, x_{n+r}] / (b y - 1, g x_0 - 1, f_1, \dots, f_r))
 \end{array}$$

matrix = $\begin{pmatrix} b & \text{---} \\ 0 & g \\ * & \boxed{\text{original matrix}} \\ * & \end{pmatrix}$ $\begin{vmatrix} \frac{\partial}{\partial y} \\ \frac{\partial}{\partial x_0} \\ \vdots \\ \frac{\partial}{\partial x_r} \end{vmatrix}$

$$\Rightarrow \det(\cdot) = \underbrace{b \cdot g \cdot \det(\text{original})}_{\text{still invertible}} \quad b, g \text{ are natural invertible on } W'$$

(b) Glueing is clear.

We can thus make sense of the phrase " $\pi: X \rightarrow Y$ is smooth of relative dimension n at $p \in X$ ": it means that there is an open neighborhood U of p such that $\pi|_U$ is smooth of relative dimension n .

The phrase **smooth morphism** (without reference to relative dimension n) often informally means "smooth morphism of some relative dimension n ", but sometimes can mean "smooth of some relative dimension in the neighborhood of every point".

13.6.C smoothness of $\text{rdim } n$ is preserved by base change

$$\begin{array}{ccc}
 X' & \longrightarrow & Y' \\
 \downarrow \square & & \downarrow \\
 X & \xrightarrow{\pi} & Y
 \end{array}$$

$X = \bigcup_i U_i \quad Y = \bigcup_i V_i$

We may assume $V_i \cong \text{Spec } B_i \rightarrow$

$U_i = W_i$ is a

distinguished open subset.

$$\text{Spec } B_i[x_1, \dots, x_{n+r}] / (f_1^{(i)}, \dots, f_r^{(i)})$$

WMA $Y = \bigcup_i V_i$, V_i affine open $\rightarrow V_i$

$$X' = \text{Spec}(B'[x_1, \dots]) \hookrightarrow \text{Spec}(B[x_1, \dots])$$

Thus, we may assume $X = \text{Spec}(B[x_0, x_1, \dots, x_{n+r}] / (g x_0 - 1, f_1, \dots, f_r)) \hookrightarrow \text{Spec}(B[x_1, \dots] / (f_1, \dots, f_r))$

$\det(-)$ inv on $X \Rightarrow p^\#(\det(-))$ inv on X'

$$\begin{array}{ccc}
 & X' = \text{Spec}(B'[x_1, \dots]) & \hookrightarrow \text{Spec}(B[x_1, \dots]) \\
 & \downarrow p^\# & \swarrow \\
 & Y' = \text{Spec } B' & \\
 & \downarrow & \searrow \\
 & Y = \text{Spec } B &
 \end{array}$$

13.6.D $X \xrightarrow{\pi} Y \xrightarrow{\rho} Z$. the $\rho\pi$ is smooth of relative dimension $n+m$.

smooth
of relative dimension m

smooth
of relative dimension n

$$X = \bigcup X_i \rightarrow Y = \bigcup Y_i \xrightarrow{\text{distinguished}} Z = \bigcup Z_i$$

$$X_i = \pi^{-1}(Y_i)$$

$X_i \rightarrow Y_i$ is smooth $\Rightarrow X'_j \rightarrow Y'_j$ simultaneously distinguished open in Y_i

$$Y'_j \subset Y_i$$

distinguished open

$$(Z'_j = Z_i) \Rightarrow X = \bigcup X'_j, Y = \bigcup Y'_j, Z = \bigcup Z'_j$$

It suffices to consider:

$$X = \text{Spec } A_g[Y_0, Y_1, \dots, Y_{m+r}] / (hY_0 - 1, f_1, \dots, f_r) \hookrightarrow \text{Spec } A_g[Y_1, \dots, Y_{m+r}] / (f_1, \dots, f_r) \hookrightarrow \text{Spec } A[Y_1, \dots, Y_{m+r}] / (gY_0 - 1, f_1, \dots, f_r)$$

$$\begin{array}{ccc} & \text{W} & \\ & \parallel & \\ \text{Y} = \text{Spec } B[X_0, X_1, \dots, X_{n+r}] / (gX_0 - 1, f_1, \dots, f_t) & \xleftarrow{\Delta \parallel A_g} & \text{Spec } B[X_1, \dots, X_{n+r}] / (f_1, \dots, f_t) \\ \downarrow & & \downarrow \Delta \parallel A \\ \text{Z} = \text{Spec } B & & \end{array}$$

$$A[Y_1, \dots, Y_{m+r}] / (f_1, \dots, f_r) = B[X_1, \dots, X_t, Y_1, \dots, Y_r, \dots] / (f_1, \dots, f_t, f'_1, \dots, f'_r)$$

$$\text{Matrix}(X, Z) = \begin{pmatrix} \frac{\partial f_1}{\partial X_1} & \text{matrix } Y_Z & * & * \\ \ddots & & & \\ \frac{\partial f_t}{\partial X_t} & & & \\ \hline 0 & g & 0 & \frac{\partial f_1}{\partial X_1} \\ & & & \vdots \\ & & & \frac{\partial f_t}{\partial X_t} \\ & & & \hline 0 & * & * & \frac{\partial f'_1}{\partial Y_1} \\ & & & \ddots \\ & & & \frac{\partial f'_r}{\partial Y_r} \end{pmatrix} \quad \begin{array}{l} f_1 - f_t, gY_0 - 1, f'_1 - f'_r \\ \hline \frac{\partial}{\partial X_1} \\ \vdots \\ \frac{\partial}{\partial X_t} \\ \hline \frac{\partial}{\partial Y_1} \\ \vdots \\ \frac{\partial}{\partial Y_r} \end{array}$$

$$\Rightarrow \det(\cdot) = g \cdot \det(Y_Z) \cdot \det(XY) \quad \Rightarrow \text{Inv on } X \quad \checkmark$$

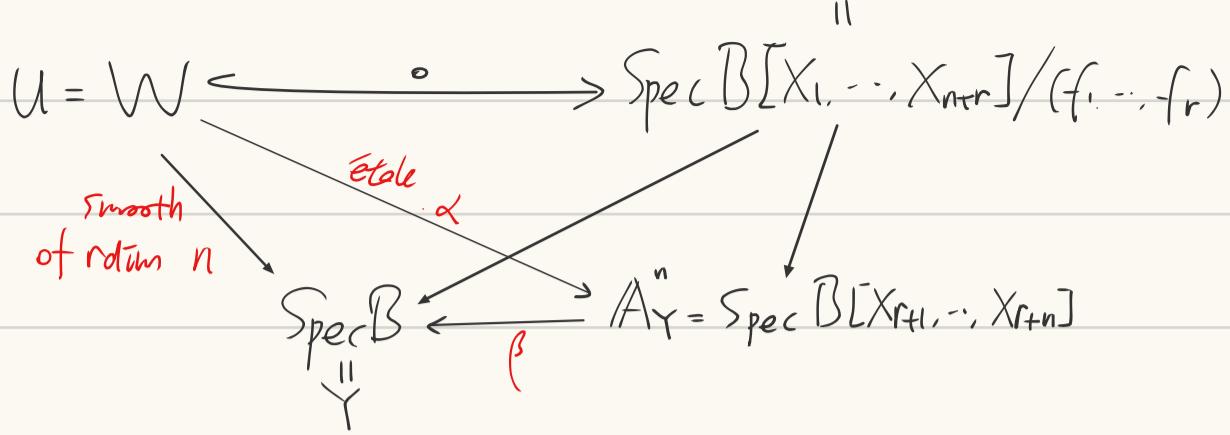
$$\begin{array}{|c|c|c|} \hline \text{Inv on } Y & \text{Inv on } Y & \text{Inv on } X \\ \hline \text{thus on } X & \text{thus on } X & \text{Inv on } X \\ \hline \end{array}$$

13.6.4. *Observation.* Suppose $\pi: X \rightarrow Y$ is smooth of relative dimension n . Then locally on X , π can be described as an étale cover of \mathbb{A}_Y^n . More precisely, for every $p \in X$, there is an open neighborhood U of p , such that $\pi|_U$ can be factored into

$$U \xrightarrow{\alpha} \mathbb{A}_Y^n \xrightarrow{\beta} Y$$

where α is étale and β is the obvious projection.

$$\text{Spec } \mathcal{B}[X_{1+r}, \dots, X_{n+r}] [X_1, \dots, X_r] / (f_1, \dots, f_r)$$



13.6.E. EXERCISE. Show that $\text{Spec } k \rightarrow \text{Spec } k[\epsilon]/(\epsilon^2)$ is not an étale morphism.

13.6.E Since $\text{Spec } k, \text{Spec } k[\epsilon]/(\epsilon^2)$ are both points.

If $\text{Spec } k \rightarrow \text{Spec } (k[\epsilon]/(\epsilon^2))$ is étale, then

$$X = \text{Spec } k \xleftarrow{\circ} \text{Spec } (k[\epsilon]/(\epsilon^2)[X_1, \dots, X_r] / (f_1, \dots, f_r)) = Z$$

$\downarrow \quad \downarrow \quad \varphi$

$$Y = \text{Spec } k[\epsilon]/(\epsilon^2) \xrightarrow{\det \left(\frac{\partial f_j}{\partial x_i} \right)_{i,j} \neq 0 \text{ on Spec } k}$$

Consider $T_{X,x}$ and $T_{Y,y}$

$$T_{X,x} = T_{Z,x} \text{ since } X \hookrightarrow Z \Rightarrow \mathfrak{m}/\mathfrak{m}^2 = T_{X,x}^\vee = 0 \Rightarrow \mathfrak{m} = \mathfrak{m}^2$$

$$\text{Write } \mathcal{B} = k[\epsilon]/(\epsilon^2) \quad \mathcal{B} \xrightarrow{\varphi} \mathcal{B}[X_1, \dots, X_r] / (f_1, \dots, f_r)$$

$\mathfrak{n} = \mathfrak{m} \cap \mathcal{B} \quad \mathfrak{m} \rightsquigarrow x$

$$\text{Then } \mathfrak{n} = (\epsilon) \text{ and } \mathfrak{n} = \mathfrak{n}/\mathfrak{n}^2 \xrightarrow{\varphi} \mathfrak{m}/\mathfrak{m}^2 = 0 \rightarrow \varphi(\epsilon) = 0 \Rightarrow \epsilon \in (f_1, \dots, f_r)$$

$$\Rightarrow \mathcal{B}[X_1, \dots, X_r] / (f_1, \dots, f_r) = k[\epsilon, X_1, \dots, X_r] / (\epsilon^2 f_1, \dots, f_r) = k[\epsilon, X_1, \dots, X_r] / (f_1, \dots, f_r) \quad \because \epsilon \in (f_1, \dots, f_r)$$

$$\exists g \text{ s.t. } \text{Spec } k = D(g) \subseteq \text{Spec } (\mathcal{B}[X_1, \dots, X_r] / (f_1, \dots, f_r)) \Rightarrow k = \mathcal{B}[Y, X_1, \dots, X_r] / (gy-1, f_1, \dots, f_r)$$

$$\Rightarrow k = k[\epsilon, Y, X_1, \dots, X_r] / (gy-1, f_1, \dots, f_r)$$

$$\Rightarrow 0 = \dim(k[\epsilon, Y, X_1, \dots, X_r] / (gy-1, f_1, \dots, f_r)) \geq 2 + r - (r+1) = 1, \text{ a contradiction}$$

13.6.F. EXERCISE. Suppose k is a field of characteristic not 2. Let $Y = \text{Spec } k[t]$, and $X = \text{Spec } k[u, 1/u]$. Show that the morphism $\pi: X \rightarrow Y$ induced by $t \mapsto u^2$ is étale. (Sketch $\pi!$) Show that there is no nonempty open subset U of X on which π is an isomorphism.

13.6.F $X = \text{Spec } k[u, 1/u] \longrightarrow Y = \text{Spec } k[t] \quad t \mapsto u^2$

$$\begin{array}{ccc}
 X = \text{Spec } k[u, \frac{1}{fu^2}] & \xhookrightarrow{\quad \circ \quad} & \text{Spec}(k[t][x]/(x^2 - t)) \\
 \downarrow \begin{matrix} u^2 \\ 1 \\ t \end{matrix} \quad \varphi \quad \swarrow & & \begin{matrix} \text{Spec } k[x] \\ t=x^2 \end{matrix} \\
 Y = \text{Spec } k[t] & & D(f_{\text{ht}}) = D(\varphi(f_{\text{ht}}))
 \end{array}$$

No local issue: If $k[t, \frac{1}{f_{\text{ht}}}] \cong k[u, \frac{1}{fu^2}, \frac{1}{u}]$ i.e. $k[u^2, \frac{1}{fu^2}] = k[u, \frac{1}{fu^2}, \frac{1}{u}]$
 $\Rightarrow \frac{1}{u} \in k[u^2, \frac{1}{fu^2}] \subseteq k(u^2) \Rightarrow k(u) = k(u^2)$. But $[k(u) : k(u^2)] = 2$! a contradiction

13.6.6. Theorem. — Suppose X is a k -scheme. Then the following are equivalent.

- (i) X is smooth of relative dimension n over $\text{Spec } k$ (Definition 13.6.2).
- (ii) X has pure dimension n , and is smooth over k (in the sense of Definition 13.2.4).

p.f. (i) \Rightarrow (ii) Check affine locally, we just need to show:

If X is an open subscheme of $Z = \text{Spec}(k[x_1, x_2, \dots, x_{n+r}] / (f_1, \dots, f_r))$ s.t
 $\det(\frac{\partial f_i}{\partial x_j})_{1 \leq i \leq r, 1 \leq j \leq n+r}$ is invertible on X , then X is smooth and has puredim n .

Since "JC" is an inherent function defined on a scheme

$\forall x \in X, \text{JC}(x) = n+r - \text{rank}(\frac{\partial f_i}{\partial x_j}(x))_{1 \leq i \leq r, 1 \leq j \leq n+r} = n+r-r=n \Rightarrow$ It remains to show

X has puredim n .

By 12.1-H1, it suffices to show X_k has puredim n , i.e. WMA $k=k$.

We know that $X \xhookrightarrow{\circ} V(f_1, \dots, f_r) \hookrightarrow A_k^{n+r}$
 $\bigcup_{j=1}^m V_j$ Irr components

$\{\text{Irr components of } X\} = \{X \cap V_j \mid 1 \leq j \leq m, X \cap V_j \neq \emptyset\} \cong \{X \cap V_j \mid j \in J\}$

It suffices to show " $\dim(X \cap V_j) \geq n, \forall j \in J$ " and " $\dim X \leq n$ "

Step 1. $\dim(X \cap V_j) \geq n, \forall j \in J$.

It suffices to show $\dim(V_j) \geq n$.

By 12.3.10. $\text{codim}_{A_k^{n+r}} V_j \leq r \Rightarrow \dim V_j = \dim A_k^{n+r} - \text{codim}_{A_k^{n+r}} V_j \geq n+r-r=n$.

Step 2. $\dim X \leq n$

By 13.1.M, $\forall p \in X, \dim_X P \leq \text{JC}(p)=n \Rightarrow \dim X \leq n$

(ii) \Rightarrow (i). We just need to check locally.

$$\text{WMA } X = \text{Spec}(k[X_1, \dots, X_{n+r}]/(f_1, \dots, f_r))$$

We only need to solve the following problem:

"Assumption: $\forall p \in X$, \exists open nbhd U s.t. U is of puredim n and $\forall x \in U$,

$$\text{rank} \left(\frac{\partial f_i}{\partial x_j}(x) \right)_{i,j} = r$$

Want to show: $X \rightarrow \text{Spec} k$ is smooth of relative dim n at p .

By permuting f_i and shrinking U , WMA $\text{rank} \left(\frac{\partial f_i}{\partial x_j}(x) \right)_{\substack{1 \leq i \leq r \\ 1 \leq j \leq n+r}} = r$, $\forall x \in U$

Then $Y := \text{Spec}(k[X_1, \dots, X_{n+r}]/(f_1, \dots, f_r))$ is smooth of rdim n at p over $\text{Spec} k$

$\Rightarrow \exists V$ open nbhd of p in Y , V is smooth of rdim n over $\text{Spec} k$

$$\begin{array}{ccc} X & \hookrightarrow & Y \\ \downarrow & \square & \downarrow \\ U & \hookrightarrow & V \\ \downarrow & & \downarrow \\ p & & p \end{array}$$

(shinking U again)

By the argument (i) \Rightarrow (ii), V is of puredim n

Since $U \hookrightarrow V$ and U has puredim n

$\Rightarrow \forall$ irr comp V' of V , $V' \cap U = V'$ or \emptyset .

$\Rightarrow U \hookrightarrow V \Rightarrow U$ is smooth of relative dim n over $\text{Spec} k$

$\Rightarrow X$ is smooth of relative dim n at p .

Valuation criterion for separatedness and properness

13.7.1. Theorem (valuative criterion for separatedness, DVR version; see Figure 13.6). — Suppose $\pi: X \rightarrow Y$ is a morphism of finite type of locally Noetherian schemes. Then π is separated if and only if the following condition holds: for any discrete valuation ring A , and any diagram of the form

(13.7.1.1)

$$\begin{array}{ccc} \text{Spec } K(A) & \longrightarrow & X \\ \text{open emb.} \swarrow & & \downarrow \pi \\ \text{Spec } A & \longrightarrow & Y \end{array}$$

(where the vertical morphism on the left corresponds to the inclusion $A \hookrightarrow K(A)$), there is at most one morphism $\text{Spec } A \rightarrow X$ such that the diagram

(13.7.1.2)

$$\begin{array}{ccc} \text{Spec } K(A) & \longrightarrow & X \\ \text{open emb.} \swarrow & \nearrow \leq 1 & \downarrow \pi \\ \text{Spec } A & \longrightarrow & Y \end{array}$$

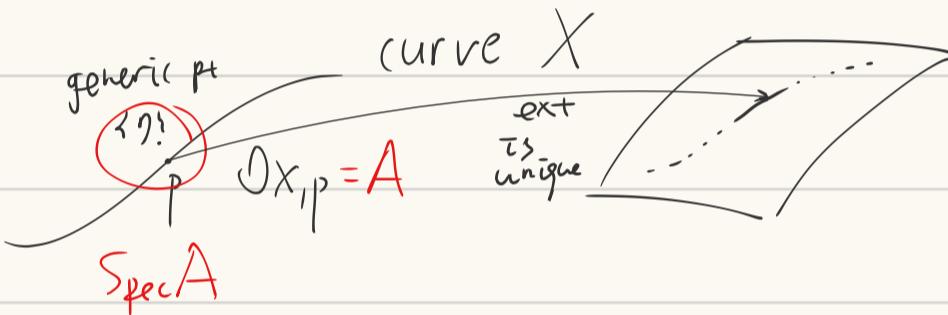
13.7.A. If π is separated, then the criterion holds

If $\text{Spec } A \xrightarrow{\begin{smallmatrix} f_1 \\ f_2 \end{smallmatrix}} X$, then $f_{1\mid \eta} = f_{2\mid \eta} \Rightarrow f_1 \text{ and } f_2 \text{ coincide on a dense open subset}$
 $\{\eta, s\} \Rightarrow \text{QED.}$

13.7.B. EXERCISE. Suppose X is an integral Noetherian separated curve. If $p \in X$ is a regular closed point, then $\mathcal{O}_{X,p}$ is a discrete valuation ring, so each regular point yields a discrete valuation on $K(X)$. Use the previous exercise to show that distinct points yield distinct discrete valuations.

P.f. obvious.

Intuition: A DVR



13.7.C

See why the line with two origins is not separable

A good choice to understand the intuition of separatedness, see the note written by WZH

13.7.D' If Z is a locally closed subset contained in X , a locally Nae. scheme and Z is not closed. Then $\exists q \in Z$ and $p \in X \setminus Z$ s.t. $p \in \bar{q}$ and if $r \in X$ s.t. $p \in \bar{r}, r \in \bar{q}$, then $r = p$ or q .

By 8.4.C, $\exists q' \in Z$ s.t. $\bar{q}' \neq Z$ the rising sea-247 Let $p' \in \bar{q}' \setminus Z$

Then take $U = \text{Spec } A$ open and $U \ni q'$. Assume $U \cap Z = V$

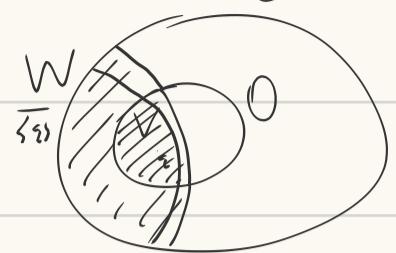
Then q', p' correspond to prime ideals q', p' s.t. $q' \subsetneq p'$, $q' \in V$, $p' \notin V$

Let $S_1 = \{q \text{ primes} \mid q' \subsetneq q \subsetneq p', q \in V\} \neq \emptyset$. \exists maximal $q \in S$ since A is Nae.

Let $S_2 = \{p \text{ primes} \mid p \supsetneq q \text{ and } p \notin V\} \neq \emptyset$.

$V = W \cap U$, $U \hookrightarrow U$. $W \hookrightarrow U$. $q \in V \Rightarrow \bar{q} \subseteq W \Rightarrow \bar{q} \not\subseteq U - \bar{q} \not\subseteq V$

$\cup \quad \phi \neq \bar{q} \setminus U$ is closed. Take a irr component of $\bar{q} \setminus U$.



let $p \sim P$ be the corresponding generic point

Then P is a minimal elt in S_2

Then $q \in Z$, $p \in \bar{q} \setminus Z$

If $r \in X$ s.t. $p \in \bar{r}$, $r \in \bar{q} \Rightarrow r \in U$ Write $r \sim P'' \in \text{Spec } A$

$\Rightarrow q \subseteq P'' \subseteq P$. 1° $P'' = P' \Rightarrow P'' = P = P'' \Rightarrow r = p$

2° $P'' \neq P'$ and $P'' \notin V \Rightarrow q \subsetneq P'' \subseteq P \Rightarrow P'' \in S_2 \Rightarrow P'' = P$.

3° $P'' \in V \Rightarrow q \subseteq q \subseteq P'' \subsetneq P \subseteq P' \Rightarrow P'' \in S_1 \Rightarrow P'' = q$

13.7.D. EXERCISE. Show that you can find points p not in the diagonal Δ of $X \times_Y X$ and q in Δ such that $p \in \bar{q}$, and there are no points "between p and q " (no points r distinct from p and q with $p \in \bar{r}$ and $r \in \bar{q}$). (Exercise 8.4.C may shed some light.)

Let Q be the scheme obtained by giving the induced reduced subscheme structure to \bar{q} . Let $B = \mathcal{O}_{Q,p}$ be the local ring of Q at p .

13.7.E. EXERCISE. Show that B is a Noetherian local integral domain of dimension 1.

Integral: since Q is reduced and irr (\bar{q} is irr) $\Rightarrow Q$ is integral $\Rightarrow B$ is int dim=1 by 13.7.D

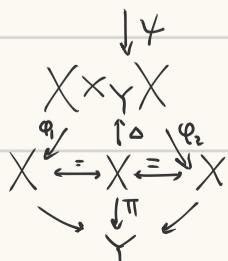
Then let \bar{B} be the normalization of B . $\exists \bar{P} \in \text{Spec } \bar{B}$ s.t. $\bar{P} \cap B = P$

by going up Then $\bar{B}_{\bar{P}}$ is a DVR.

$\text{Spec } \bar{B}_{\bar{P}} = \{s, \eta\}$ $\eta \mapsto q \in \Delta$ induced by $B \hookrightarrow \bar{B}_{\bar{P}}$, and

$s \mapsto p \notin \Delta$ $\text{Spec } B \hookrightarrow Q \hookrightarrow X \times_Y X$

$\text{Spec } \bar{B}_{\bar{P}}$



$$\varphi_1 \circ \gamma \neq \varphi_2 \circ \gamma$$

$$\varphi_1 \circ \gamma|_{\eta} = \varphi_2 \circ \gamma|_{\eta}$$

a contradiction.

Then we conclude the thm.