

§1 (p.1.17) Describing the existence of fibred products using the fancy language of representable functors

Preliminaries

"functor": contravariant functor  $F: \text{Sch} \rightarrow \text{Set}$

" $h_X$ ":  $X \in \text{Sch}$ ,  $h_X = \text{Hom}(-, X)$  ('Yoneda embedding')

"Fun": the category of all functors ( $\text{Sch} \rightarrow \text{Set}$ )

morphisms: natural transformations

Then  $h: \text{Sch} \rightarrow \text{Fun}$  making  $\text{Sch}$  a full subcategory of  $\text{Fun}$ .

$$X \mapsto h_X$$

$\forall F \in \text{Fun}$ , we say  $F$  is representable if  $\exists X \in \text{Sch}$  st.  $F = h_X$

And for  $h, h', h'' \in \text{Fun}$  ( $h \xrightarrow{h'} h''$ ),  $h \times_{h''} h'$  always exists

(Def  $h \times_{h''} h'(\mathcal{T}) \cong h(\mathcal{T}) \times_{h''(\mathcal{T})} h'(\mathcal{T})$ , the fibre product in  $\text{Set}$ )

Then " $X \times_Z Y$  exists"  $\Leftarrow$  " $h_X \times_{h_Z} h_Y$  is representable"  
( $\because \text{Sch} \overset{\text{full sub}}{\hookrightarrow} \text{Fun}$ )

The sketch of the proof

Step 1 (Zariski sheaves)

$F \in \text{Fun}$  is called a Zariski sheaf if

$\forall Y \in \text{Sch}$ ,  $\forall \{U_i\}$ : open covering of  $Y$ , we have the following equalizer.

$$\cdots \rightarrow F(Y) \rightarrow \prod_i F(U_i) \rightrightarrows \prod_{i,j} F(U_i \cap U_j)$$

Since we could glue the morphisms, it's clear that  $h_X$  is a Zariski sheaf for each  $X \in \text{Sch}$ . Then being a Zariski sheaf is a necessary condition for being a representable functor.

Ex(10.1.C) Show that  $h_X \times_{h_2} h_Y$  is a Zariski sheaf.

Step 2 (subfunctor, open subfunctor, the cover of functors)

$h'$  is called a subfunctor if  $h'(X) \subseteq h(X) \quad \forall X \in \text{Sch}$

$h'$  is called an open subfunctor if  $h'|_{h(X)} = h_X$  where  $U \hookrightarrow X, \forall X \in \text{Sch}$

$\{h_i\}_i$ , a collection of open subfunctors of  $h$ , is called a cover of  $h$  if  $\{U_i\}_i$  forms an open covering for  $X$  where  $h|_{U_i} = h_i|_{h(X)} \quad \forall X \in \text{Sch}$ .

Ex (10.1.E(b)). Define the intersection of two open subfunctors.

Step 3 (A sufficient condition for a Zariski sheaf to be representable)

**10.1.H. KEY EXERCISE.** If a functor  $h$  is a Zariski sheaf that has an open cover by representable functors ("is covered by schemes"), then  $h$  is representable.

Ex (10.1.G). Show that  $h_X \times_{h_2} h_Y$  is representable

§2. (10.5) Geometrically connected, irreducible, reduced

First, we'll introduce some statements Let  $X \in \text{Sch}$

- $C_K, C_{K(\bar{K})}, C_{\bar{K}}, C_{k^s}$
  - $I_K, I_{K(\bar{K})}, I_{\bar{K}}, I_{k^s}$
  - $R_K, R_{K(\bar{K})}, R_{\bar{K}}, R_{k^s}$
- $\Rightarrow \Rightarrow \Rightarrow$

Notation explanation:

$P_L := X_L = X \times_{\bar{K}} \text{Spec } L$  is  $[P]$  for all fields  $[L]$

$C \rightarrow$  connected,  $I \rightarrow$  irreducible,  $R \rightarrow$  reduced

geometrically \*\*\*

Thm (10.5.3).  $C_K, C_{K(\bar{K})}, C_{\bar{K}}, C_{k^s}$  are equivalent.

$I_K, I_{K(\bar{K})}, I_{\bar{K}}, I_{k^s}$  are equivalent.

$R_K, R_{K(\bar{K})}, R_{\bar{K}}, R_{k^s}$  are equivalent.

(We will show it in the following contents.)

Fact  $\star$  (10.5.6)  $X \in \text{Sch}_k$ , then  $X \rightarrow \text{Spec} k$  is universally open i.e. remains open after any base change. (to be proved in Thm 24.5.11).

Lemma (10.5.7)  $E/F$  purely inseparable  $X \in \text{Sch}_F$ . Then  $\phi_E: X_E \rightarrow X$  is a homeo.

p.f.

$$\begin{array}{ccccc} \phi_E^{-1}(x) & \longrightarrow & X_E & \longrightarrow & \text{Spec } E \\ \downarrow & \square & \phi_E \downarrow & \square & \downarrow \phi \\ \text{Spec}(x) = \{x\} & \longrightarrow & X & \longrightarrow & \text{Spec } F \end{array}$$

Since  $E/F$  is purely inseparable, then  
 $\phi$  is universally injective by b.S.I

As sets,  $X_E = \bigsqcup_{x \in X} \phi_E^{-1}(x) \xleftarrow{\text{1:1}} \bigsqcup_{x \in X} \{x\} = X$ . ( $\#\phi_E^{-1}(x) = 1, \forall x \in X$ )

And  $\phi_E$  is continuous naturally.

Moreover,  $\phi_E$  is open, which comes from the Fact  $\star$ .

Thus,  $\phi_E$  is a homeomorphism □

## §2.1 (10.5.8) Connectedness

Recall (b.5.5):  $X \in \text{Top}$ ,  $X = \bigsqcup_{i \in I} X_i$  where  $X_i$ 's are connected components of  $X$  and  $X_i$ 's are closed

**10.5.K. EASY TOPOLOGICAL EXERCISE.** Suppose  $\phi: X \rightarrow Y$  is open, and has nonempty connected fibers. Show that  $\phi$  induces a bijection of connected components.

p.f. Write  $X = \bigsqcup_{i \in I} X_i$ ,  $Y = \bigsqcup_{j \in J} Y_j$ . We'll define a map  $\varphi: I \rightarrow J$

Then  $\phi(X_i) \subseteq Y_j$  for some (unique)  $j \in J$ , let  $\varphi(i) = j$ .

1°  $\varphi$  is surj. clear, since  $\phi$  has nonempty fibres  $\Rightarrow \phi$  is surj.

2°  $\varphi$  is inj. First,  $\phi(X_i) \cap \phi(X_{i'}) = \emptyset$ . If not,  $\exists y \in \phi(X_i) \cap \phi(X_{i'})$

$\Rightarrow \phi^{-1}(y) \cap X_i \neq \emptyset, \phi^{-1}(y) \cap X_{i'} \neq \emptyset \Rightarrow \phi^{-1}(y) \subseteq X_i, X_{i'}$ , since  $\phi^{-1}(y)$  is connected.

$\Rightarrow$  a contradiction ( $X_i \cap X_{i'} = \emptyset$ )

$\Rightarrow Y_j = \bigsqcup_{i \in \varphi^{-1}(j)} \phi(X_i) = \phi(\bigsqcup_{i \in \varphi^{-1}(j)} X_i) \Rightarrow \#\varphi^{-1}(j) = 1, \therefore \bigsqcup_{i \in \varphi^{-1}(j)} X_i$  should be connected.

If  $\phi^{-1}(Y_j) = (U \cup U') \cap \phi^{-1}(Y_j)$ , then  $\phi(U) \cup \phi(U') \supseteq Y_j \Rightarrow \phi(U) \supseteq Y_j$  or  $\phi(U') \supseteq Y_j$  □

**10.5.9. Lemma.** — Suppose  $X$  is geometrically connected over  $k$ . Then for any scheme  $Y/k$ ,  $X \times_k Y \rightarrow Y$  induces a bijection of connected components.

*Proof.* Combine Fact 10.5.6 and Exercise 10.5.K. □

$$\begin{array}{ccc} X \times_k Y & \xrightarrow[\phi]{\text{open}} & Y \\ \downarrow \square & & \downarrow \\ X & \longrightarrow \text{Spec } k & \end{array} \quad \text{and } \phi \text{ has nonempty connected fibres}$$

$$\phi^{-1}(y) = X \times_k \text{Spec}(k(y))$$

nonempty: surjectiveness is stable under base change.

connected:  $X$  is  $C_K$

Rmk.  $C_{K(\bar{K})} \Rightarrow C_K$

p.f. If  $X$  is  $C_{K(\bar{K})}$ , then for any  $K/k$ ,  $X_K$  is connected.

$$\begin{array}{ccc} X_{\bar{K}} & \longrightarrow & \text{Spec } \bar{K} \\ p \downarrow \text{surj} \square & \Leftarrow \text{surj} & \\ X_K & \longrightarrow & \text{Spec } K \\ \downarrow \square & & \downarrow \\ X & \longrightarrow & \text{Spec } k \end{array} \quad p \text{ is surjective and continuous} \Rightarrow X_K = p(X_{\bar{K}}) \text{ is connected.}$$

(By the same reason,  $I_{K(\bar{K})} \Rightarrow I_K$ , and  $R_{K(\bar{K})} \Rightarrow R_K$  is easy) □

Prop(10.5.L)  $X \in \text{Sch}$ .  $X$  is disconnected  $\Leftrightarrow \exists 0 \neq e \in T(X, \mathcal{O}_X)$  s.t.  $e^2 = e$ .

p.f. " $\Rightarrow$ "  $X = U \sqcup V$  opens  $\Rightarrow T(X, \mathcal{O}_X) \cong \mathcal{O}_X(U) \times \mathcal{O}_X(V)$ .  $e = (1, 0) \cup$

" $\Leftarrow$ "  $X_0 = X_e := \{x \in X \mid e_x \neq 0 \text{ in } \mathcal{O}_{X,x}\}$ ,  $X_1 = X_{1-e}$

Then  $X = X_0 \sqcup X_1$ , ( $0 = e_x(1 - e_x) \in \mathcal{M}_X \Rightarrow e_x \text{ or } 1 - e_x \in \mathcal{M}_X \Rightarrow e_x \text{ or } 1 - e_x \in \mathcal{O}_{X,x}^*$ )

And  $X_0, X_1$  are open  $\Rightarrow X$  is disconnected □

Prop(10.5.10) Suppose  $k = k^s$  and  $A \in k\text{-alg}$  and  $\text{Spec } A$  is connected. Then

$\text{Spec } A$  is geometrically connected.

p.f. It suffices to show  $\text{Spec } A$  satisfies  $C_{K(\bar{K})}$ . Thus WMA  $K = \bar{K}$ .

Consider

$$\begin{array}{ccccc} \text{Spec } A \otimes_k K & \longrightarrow & \text{Spec } A \otimes_k \bar{K} & \xrightarrow[\text{by Lem. 10.5.7}]{\text{homeo.}} & \text{Spec } A \\ \downarrow \square & & \downarrow \square & & \downarrow \\ \text{Spec } K & \longrightarrow & \text{Spec } \bar{K} & \longrightarrow & \text{Spec } k \end{array} \quad \Rightarrow k \rightarrow \bar{k} \rightarrow K$$

It suffices to assume  $k = k$ .

If  $\text{Spec}(A \otimes_k K)$  is disconnected, then  $\exists e \in \sum_{i=1}^n a_i \otimes b_i \in \text{Idem}(A \otimes_k K) \setminus \langle \cdot, 1 \rangle$

Let  $B = k[l_1, \dots, l_n] \subseteq K$ . Then  $B$  is integral and f.t. over  $k$ . Then  $\text{Frac}(B)$

is a fin. field ext of  $k$  and  $\text{Spec } B$  is connected

$$B \hookrightarrow K \Rightarrow A \otimes_k B \hookrightarrow A \otimes_k K \Rightarrow e \in \text{Idem}(A \otimes_k B) \setminus \langle \cdot, 1 \rangle \Rightarrow \text{Spec}(A \otimes_k B)$$

is disconnected. Write  $\text{Spec}(A \otimes_k B) = U \sqcup V$

$$\begin{array}{ccccc} \text{Spec } A \otimes_k K & \longrightarrow & \text{Spec } A \otimes_k B & \longrightarrow & \text{Spec } A \\ \downarrow & & \phi \downarrow \text{open} & & \downarrow \text{universally open} \\ \text{Spec } K & \longrightarrow & \text{Spec } B & \longrightarrow & \text{Spec } k \end{array}$$

$\Rightarrow \{\phi(U), \phi(V)\}$  is an open cover of  $\text{Spec } B \Rightarrow \phi(U) \cap \phi(V) \neq \emptyset$ .

$\Rightarrow \exists \text{ cst pt } p \in \phi(U) \cap \phi(V) \Rightarrow k(p) = k$  (Noe. normalization lemma)

$\Rightarrow \text{Spec } A \cong \phi^{-1}(p) \subseteq U \sqcup V$  and  $U \cap \text{Spec } A \neq \emptyset, V \cap \text{Spec } A \neq \emptyset$

$\Rightarrow \text{Spec } A$  is disconnected, a contradiction.

(tensor-finiteness trick)  $\square$

Coro(10.5.12). If  $k = k^s$  and  $Y$  is a connected  $k$ -scheme, then  $Y$  is geometrically connected.

p.f.  $\text{Spec } K$  is geometrically connected by the above prop.

Then  $Y_K \rightarrow Y$  induces a bijection of connected components by taking

$X = \text{Spec } K$  in Lemma(10.5.9)  $\Rightarrow Y_K$  is connected  $\square$

Rmk:  $C_{k^s} \Rightarrow C_K$

## §2.2 (10.5.13) Irreducibility

Prop(10.5.14) Suppose  $k = k^s$ ,  $A \in k\text{-alg}$  with  $\text{Spec } A$  irr, and  $K/k$  field ext, then  $\text{Spec}(A \otimes_k K)$  is irr.

p.f. Lemma:  $I_{K(-\bar{R})} \Rightarrow I_K$ .

Proof of the lemma:  $X_{\bar{R}} \rightarrow X_K$  and  $X_{\bar{R}}$  is irr  $\Rightarrow X_K$  is irr.

Thus, WMA  $K = \bar{R}$ . And  $k \hookrightarrow \bar{k} \hookrightarrow K$ . Since  $\bar{k}/k$  is purely inseparable, we may assume  $k = \bar{k}$ .

If  $\text{Spec}(A \otimes_k K)$  is not irr, then  $\exists$  proper closed subset  $V(x), V(y)$  covering  $\text{Spec}(A \otimes_k K)$ . Write  $x = \sum a_i \otimes l_i, y = \sum b_j \otimes s_j$  ( $\Rightarrow x \cdot y$  is nilp)

Let  $B = k[l_i, s_j]$ .  $\Rightarrow B$  is integral. f.t.  $A \otimes_k B \hookrightarrow A \otimes_k K \Rightarrow x \cdot y$  is still nilp

$$\begin{array}{ccccc} \text{Spec } A \otimes_k K & \longrightarrow & \text{Spec } A \otimes_k B & \longrightarrow & \text{Spec } A \\ \downarrow & & \phi \downarrow \text{open} & & \downarrow \text{universally open} \\ \text{Spec } K & \longrightarrow & \text{Spec } B & \longrightarrow & \text{Spec } k \end{array}$$

$\Rightarrow \phi(D(x)) \cap \phi(D(y)) \neq \emptyset \because \text{Spec } B$  is irreducible

$\Rightarrow \exists$  closed pt  $P \in D(x) \cap D(y) \Rightarrow \phi^{-1}(P) \cong \text{Spec } A \subseteq V(x) \cup V(y)$ ,  $\text{Spec } A \not\subseteq V(x), V(y)$

$\Rightarrow \text{Spec } A$  is not irr, a contradiction.  $\square$

Prop (10.5.15)  $k = k^s$ ,  $A, B \in k\text{-alg}$ ,  $\text{Spec } A, \text{Spec } B$  irr. Then  $\text{Spec}(A \otimes_k B)$  is irr.

p.f. Firstly, we may assume  $A, B$  are reduced and hence integral.

Since  $\text{Spec } A_{\text{red}} \cong \text{Spec } A$ ,  $\text{Spec } B_{\text{red}} \cong \text{Spec } B_{\text{red}}$

$$\text{Spec}(A_{\text{red}} \otimes_k B_{\text{red}}) \cong \text{Spec}(A_{\text{red}} \otimes_k B_{\text{red}})_{\text{red}} \cong \text{Spec}(A \otimes_k B)_{\text{red}} \cong \text{Spec}(A \otimes_k B)$$

$\Delta$ : Write  $\mathcal{A} = \{a \in A \mid a^n = 0 \text{ for some } n\}$ ,  $b = \dots$ , then  $A/\mathcal{A} \otimes_k B/b = A \otimes_k B / (\mathcal{A} \otimes_k B + A \otimes_k B)$

And  $\mathcal{A} \otimes_k B + A \otimes_k B \subseteq \text{nil}(A \otimes_k B)$ , then we're done.

$$\begin{array}{ccc} \text{Spec}(A \otimes_k B) & \longrightarrow & \text{Spec}(A \otimes_k B') \longrightarrow \text{Spec } A \\ \downarrow & \downarrow \text{open} & \downarrow \\ \text{Spec } B & \longrightarrow & \text{Spec } B' \longrightarrow \text{Spec } k \end{array}$$

$\text{Spec}(A \otimes_k B) = V(x) \cup V(y)$   
 $\Rightarrow B' \subseteq B, \text{Spec}(A \otimes_k B') = V(x) \cup V(y)$

Similar to the above prop,  $B'$  is f.t. and  $B' \subseteq B \Rightarrow B'$  is integral.

$\text{Spec}(A \otimes_k B)$  is not irr  $\Rightarrow \text{Spec } A$  is not irr, a contradiction  $\square$

**10.5.N. EASY EXERCISE.** Show that a scheme  $X$  is irreducible if and only if there exists an open cover  $X = \cup U_i$  with  $U_i$  irreducible for all  $i$ , and  $U_i \cap U_j \neq \emptyset$  for all  $i, j$ .

p.f. ref. L in Qing.

**Proposition 4.5.** Let  $X$  be a topological space.

- (a) If  $X$  is irreducible, then any non-empty open subset of  $X$  is dense in  $X$  and is irreducible.
- (b) Let  $U$  be an open subset of  $X$ . Then the irreducible components of  $U$  are the  $\{X_i \cap U\}_i$ , where the  $X_i$  are the irreducible components of  $X$  which meet  $U$ .

Then " $\Rightarrow$ " is clear.

" $\Leftarrow$ ". By (b),  $U_k \subseteq X_i \setminus \bigcup_{j \neq i} X_j$  for some  $i, \forall k$ .

$\Rightarrow$  If #(the irr components of  $X$ )  $\geq 2 \Rightarrow \exists U_1 \subseteq X_1 \setminus X_2,$

$U_2 \subseteq X_2 \setminus X_1 \Rightarrow \emptyset \neq U_1 \cap U_2 \subseteq (X_1 \setminus X_2) \cap (X_2 \setminus X_1) = \emptyset$ , a contradiction  $\square$

**Coro(10.5.15)** If  $k = k^s$  and  $Y$  is a irreducible  $k$ -scheme, then  $Y$  is geometrically irreducible ( $Y_K$ )

p.f.  $Y = \bigcup U_i$  where  $U_i$ 's are affine open. Then  $U_i \cap U_j \neq \emptyset$  and  $U_i$ 's are irr.  $\Rightarrow Y_K = \bigcup (U_i)_K$  and  $(U_i)_K \hookrightarrow Y_K$  and  $(U_i)_K \cap (U_j)_K \neq \emptyset$  ( $\because (U_i \cap U_j)_K \neq \emptyset$ )

And  $(U_i)_K$ 's are irr by the first prop. Then  $Y_K$  is irr by 10.5.N.  $\square$

### § 2.3 (10.5.16) Reducedness

Fact (10.5.17) Any f.g. field ext  $E/F$  over a perfect field  $F$  can be factored into a finite separable part and a purely transcendental part.

(? To show  $\dim_E \Omega_{E/F}^1 = n$  ref Qalg 6.1.15.)

$$\begin{array}{c} E \\ F(t_1, \dots, t_n) \\ \downarrow \\ F \end{array}$$

Prop (10.5.20) Suppose  $A$  is a reduced  $k$ -alg. Then

- (a)  $A \otimes_k k(t)$  is reduced.
- (b) If  $E/k$  is a finite separable extension, then  $A \otimes_k E$  is reduced.

p.f. (a) Since localization preserves reducedness, it suffices to show  $A \otimes_k k[t] \cong A[t]$  is reduced and that's clearly true

(b) By induction, wma  $E = k[t]/(p(t))$ .

If  $A \otimes_k E$  is not reduced  $\Rightarrow \exists x = \sum a_i \otimes e_i \in \text{nilp}(A \otimes_k E) \Rightarrow A' = k[a_1, \dots, a_n] \subseteq A$  is reduced. And  $A' \otimes_k E$  is not, since  $x \in \text{nilp}(A' \otimes_k E)$ . Since  $A'$  is a f.g.  $k$ -alg  $\Rightarrow$

$A' \hookrightarrow \prod_{\mathcal{P}} A'/\mathcal{P} \hookrightarrow \prod_{\mathcal{P}} \text{Frac}(A'/\mathcal{P}) \cong \widehat{A}$  where  $\mathcal{P}$ 's are the minimal prime ideals of  $A$

The injection comes from the reducedness of  $A'$ . And  $\widehat{A}$  is a finite product of fields since  $A'$  is Noe.  $\Rightarrow$  It suffices to assume  $A$  is a field

And  $A \otimes_k E = A[t]/(p(t))$  is reduced by the separability of  $p(t)$ .  $\square$

Lemma (10.5.21) Suppose  $E/k$  is a field ext of a perfect field  $k$ . Then  $A \otimes_k E$  is reduced.

p.f. If  $x = \sum a_i \otimes e_i \in \text{Nilp}(A \otimes_k E) \Rightarrow x \in \sum a_i \otimes e_i \in \text{Nilp}(A \otimes_k E')$ ,  $E' = k(e_1, \dots, e_n)$

Thus, we may assume  $E$  is f.g. Then, by the fact (10.5.17) and induction, we've actually done by using the above prop  $\square$

Coro (b.5.2) If  $k = k'$  and  $Y$  is a reduced  $k$ -scheme, then  $Y$  is geometrically reduced ( $R_k$ )

p.f. Since reducedness is a local property, we've done by the above lemma.

Then we've proved the main theorem

## § 2.4 (Appendix)

**10.4.H. EXERCISE** (cf. EXERCISE 10.2.E). Suppose  $X$  and  $Y$  are integral finite type  $\bar{k}$ -schemes. Show that  $X \times_{\bar{k}} Y$  is an integral finite type  $\bar{k}$ -scheme.

**10.5.O. EXERCISE (GEOMETRICALLY INTEGRAL  $\times$  INTEGRAL = INTEGRAL).** Suppose  $B$  is a  $k$ -algebra such that  $B \otimes_k \bar{k}$  is an integral domain ( $\text{Spec } B$  is geometrically integral), and  $A$  is a  $k$ -algebra that is an integral domain ( $\text{Spec } A$  is integral). Show that  $A \otimes_k B$  is an integral domain ( $\text{Spec } A \otimes_k B$  is integral).

**10.5.19. Proposition (geometrically reduced  $\times$  reduced = reduced).** — Suppose  $B$  is a geometrically reduced  $k$ -algebra, and  $A$  is a reduced  $k$ -algebra. Then  $A \otimes_k B$  is reduced.

**10.5.23. Corollary.** — Suppose  $k$  is perfect, and  $A$  and  $B$  are reduced  $k$ -algebras. Then  $A \otimes_k B$  is reduced.

**10.5.R. EXERCISE.** Suppose that  $A$  and  $B$  are two integral domains that are  $\bar{k}$ -algebras. Show that  $A \otimes_{\bar{k}} B$  is an integral domain. (Compare this to Exercise 10.4.H, which had finite type hypotheses.)

## § 3 (b.6) The Segre embedding.

Classical case  $\mathbb{P}_k^m \times \mathbb{P}_k^n \hookrightarrow \mathbb{P}_k^{mn+m+n}$

$$([x_0, \dots, x_m], [y_0, \dots, y_n]) \mapsto \begin{bmatrix} x_0 \\ \vdots \\ x_m \end{bmatrix} [y_0 \dots y_n]$$

Scheme case  $\mathbb{P}_A^m \times_A \mathbb{P}_A^n \hookrightarrow \mathbb{P}_A^{mn+m+n}$

① Glueing of morphism

② Show the image of this map is cut out by the equations

$$\text{rank} \begin{pmatrix} z_{00} & -z_{0n} \\ \vdots & \ddots \\ z_{m0} & z_{mn} \end{pmatrix} = 1$$

## §4.(lo.7) Normalization

Recall.

**7.5.1. Definition.** A **rational map**  $\pi$  of reduced schemes, from  $X$  to  $Y$ , denoted  $\pi: X \dashrightarrow Y$ , is the data of a morphism  $\alpha: U \rightarrow Y$  from a dense open set  $U \subset X$ , with the equivalence relation  $(\alpha: U \rightarrow Y) \sim (\beta: V \rightarrow Y)$  if there is a dense open set  $Z \subset U \cap V$  such that  $\alpha|_Z = \beta|_Z$ . (In §11.3.3, we will improve this to: if  $\alpha|_{U \cap V} = \beta|_{U \cap V}$  in good circumstances — when  $Y$  is separated.)

A rational map  $\pi: X \dashrightarrow Y$  is **dominant** (or in some sources, *dominating*) if for some (and hence every) representative  $U \rightarrow Y$ , the image is dense in  $Y$ . A morphism is a **dominant morphism** (or *dominating morphism*) if it is dominant as a rational map.

For an integral scheme  $X$ , its normalization  $(\tilde{X}, \nu)$  exists and it is characterized by the following properties:

- ①  $\tilde{X}$  is normal and irreducible. (normal:  $\mathcal{O}_{\tilde{X}, x}$  is normal for all  $x \in \tilde{X}$ .)
- ②  $\tilde{X} \xrightarrow{\nu} X$  is a dominant morphism
- ③ (universal property)  $\forall$  irr normal  $Y \xrightarrow{\exists! \nu^*} X$

Firstly, let's deal with the affine case.

Prop (lo.7.A) If  $A$  is an integral domain, and  $\tilde{A}$  is its integral closure, then  $\text{Spec } \tilde{A} \rightarrow \text{Spec } A$  is the normalization of  $\text{Spec } A$ .

p.f. It's clear from the commutative algebras and the following easy fact.

If  $X$  is an integral normal scheme, then  $X$  admits an affine open covering  $\{\text{Spec } A_i\}_i$  s.t.  $A_i$ 's are integral and integral closed (normal).

Prop (lo.7.B) For integral schemes, the normalization exists

p.f. Routine argument

A-affine case  $\rightarrow$  Separated case  $\rightarrow$  General case

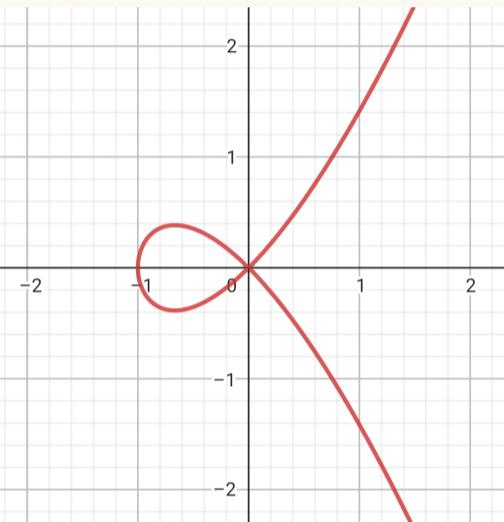
**10.7.C. EASY EXERCISE.** Show that normalizations are integral and surjective.

More general normalization see Stacks, tag 035Q.

Then we'll show some examples.

Example (p.7.E)  $\text{Spec}(k[t]) \longrightarrow \text{Spec}(k[x,y]/(y^2 - x^2(x+1)))$  given by  $x \mapsto t^2 - 1, y \mapsto t(t^2 - 1)$

is a normalization. (chark ≠ 2)



p.f. First, it's well-defined, since  $(t(t^2-1))^2 = (t^2-1)^2(t^2-1+1)$  ✓

Then, since they're affine, the assertion follows

from the following observations:

$$k[x,y]/(y^2 - x^2(x+1)) \xrightarrow{\textcircled{3}} k[t] \xrightarrow{\textcircled{5}} k(t)$$

① Int domain      ② Frac field  
 ④ Int closed      ⑤ Frac field

Example (b.7.F) The normalization of  $y^2 = x^3$  i.e.  $\text{Spec } k[x,y]/(y^2 - x^3)$  is  $\text{Spec } k[t]$  with the morphism defined by  $(x,y) \mapsto (t^2, t^3)$ .

$$k[x,y]/(y^2-x^3) \hookrightarrow k[t] \xrightarrow{\text{Frac}} k(t)$$

Int dom      Frac       $\frac{y}{x} = t$

Example (p.7.H)  $\text{Spec}(\mathbb{Z}[i]) \rightarrow \text{Spec}(\mathbb{Z}[15i])$  is a normalization

Then we'll give a generalized type of normalization.

Def  $X_{\text{int}}$  scheme. The normalization  $v: \tilde{X} \rightarrow X$  of  $X$  in a given algebraic field extension  $L$  of the function field  $K(X)$  of  $X$  is a dominant morphism from a normal integral scheme  $\tilde{X}$  with  $K(\tilde{X}) = L$ , such that

$\vee$  induces the inclusion  $\text{Spec } K(X) \hookrightarrow L$ , and that is universal with this property.

$$\begin{array}{ccccc}
 \text{Spec } L & = & \text{Spec } K(Y) & \longrightarrow & Y \text{ normal} \\
 & \swarrow & \downarrow \simeq & & \exists! \downarrow \\
 & & \text{Spec } K(\tilde{X}) & \longrightarrow & \tilde{X} \text{ normal} \\
 & & \downarrow & & \downarrow \nu \\
 \text{Spec } K(X) & \longrightarrow & X & &
 \end{array}$$

And the existence follows by the routine argument.

The most classical example is the rings of integers in number fields. We admit that readers are familiar this.

Example (b.7.L)

(a)  $\text{Spec } k[x,y]/(y^2 - x^2 - x)$  is the normalization of  $X = \text{Spec } k[x]$  in the field extension  $k(x)(y)$  where  $y^2 = x^2 + x$  i.e.  $\text{Frac}(k(x)[y]/(y^2 - x^2 - x))$ .

$$k[X] \xrightarrow{\text{normal}} k[x,y]/(y^2 - x^2 - x) \xleftarrow{\text{Frac}} k(x)(y)$$

Thus, all we need to do is to show  $k[x,y]/(y^2 - x^2 - x)$  is normal.

It follows from 5.4.H

**5.4.H. HANDY EXERCISE (YIELDING MANY ENLIGHTENING EXAMPLES LATER).** Suppose  $A$  is a unique factorization domain with  $2$  invertible, and  $z^2 - f$  is irreducible in  $A[z]$ .

- (a) Show that if  $f \in A$  has no repeated prime factors, then  $\text{Spec } A[z]/(z^2 - f)$  is normal. Hint:  $B := A[z]/(z^2 - f)$  is an integral domain, as  $(z^2 - f)$  is prime in  $A[z]$ . Suppose we have monic  $F(T) \in B[T]$  so that  $F(T) = 0$  has a solution  $\alpha$  in  $K(B) \setminus K(A)$ . Then by replacing  $F(T)$  by  $\bar{F}(T)F(T)$ , we can assume  $F(T) \in A[T]$ . Also,  $\alpha = g + hz$  where  $g, h \in K(A)$ . Now  $\alpha$  is the solution of  $Q(T) = 0$  for monic  $Q(T) = T^2 - 2gT + (g^2 - h^2f) \in K(A)[T]$ , so we can factor  $F(T) = P(T)Q(T)$  in  $K(A)[T]$ . By Gauss's lemma,  $2g, g^2 - h^2f \in A$ . Say  $g = r/2, h = s/t$  ( $s$  and  $t$  have no common factors,  $r, s, t \in A$ ). Then  $g^2 - h^2f = (r^2t^2 - 4s^2f)/4t^2$ . Then  $t$  is invertible.
- (b) Show that if  $f \in A$  has repeated prime factors, then  $\text{Spec } A[z]/(z^2 - f)$  is not normal.

(b) Now, we could figure out the normalization of  $\mathbb{P}_k^1$  in the field extension  $k(x)(y)$  where  $y^2 = x^2 + x$

$$\mathbb{P}_k^1 = \text{Proj}(k[x_0, x_1]) = \text{Spec } k\left[\frac{x_0}{x_1}\right] \cup \text{Spec } k\left[\frac{x_1}{x_0}\right]$$



$$\text{Spec } k[y_1, \frac{x_0}{x_1}]/(y_1^2 - (\frac{x_0}{x_1})^2 - \frac{x_0}{x_1}) \cup \text{Spec } k[y_0, \frac{x_1}{x_0}]/(y_0^2 - (\frac{x_1}{x_0})^2 - \frac{x_1}{x_0})$$



$$\text{Proj}(k[x_0, x_1, y]/(y^2 - x_0(x_0 + x_1)))$$