

## Decision Tree – Entropy/Information Gain

Necessary Formulas:

1. Entropy,  $E = - \sum p_i \log_2 p_i$  ;  $i = 1$  to  $k$ , where  $k$  = number of classes.
2. Average Entropy,  $E_{New} = \sum w_i E_i$  ;  $i = 1$  to  $n$ , where  $n$  = number of unique values for an attribute.
3. Information Gain,  $Ig = E_{Start} - E_{New}$

### Iteration 1 (For Selecting the Root Node)

We have 3 classes. So, The Value of Initial Entropy,  $E_{Start}$  will be:

$$E_{Start} = -p_1 \log_2 p_1 - p_2 \log_2 p_2 - p_3 \log_2 p_3$$

There are 4 instances with classification 1, 5 instances with classification 2 and 15 instances with classification 3. So,  $p_1 = (4/24)$ ,  $p_2 = (5/24)$  and  $p_3 = (15/24)$ .

$$\begin{aligned} E_{Start} &= - (4/24) \log_2 (4/24) - (5/24) \log_2 (5/24) - (15/24) \log_2 (15/24) \\ &= 0.4308 + 0.4715 + 0.4238 \\ &= 1.3261 \text{ bits} \end{aligned}$$

Now, we need to calculate  $E_{New}$  for each of the attributes.

Calculating Entropy for different Values of Age

$$\begin{aligned} \text{For Age} = 1, \\ E_1 &= - (2/8) \log_2 (2/8) \\ &\quad - (2/8) \log_2 (2/8) \\ &\quad - (4/8) \log_2 (4/8) \\ &= 0.5 + 0.5 + 0.5 \\ &= 1.5 \end{aligned}$$

$$\begin{aligned} \text{For Age} = 2, \\ E_2 &= - (1/8) \log_2 (1/8) \\ &\quad - (2/8) \log_2 (2/8) \\ &\quad - (5/8) \log_2 (5/8) \\ &= 0.375 + 0.5 + 0.4238 \\ &= 1.2988 \end{aligned}$$

$$\begin{aligned} \text{For Age} = 3, \\ E_3 &= - (1/8) \log_2 (1/8) \\ &\quad - (1/8) \log_2 (1/8) \\ &\quad - (6/8) \log_2 (6/8) \\ &= 0.375 + 0.375 + 0.3113 \\ &= 1.0613 \end{aligned}$$

$$\begin{aligned} E_{New}(\text{Age}) &= (8/24) E_1 + (8/24) E_2 + (8/24) E_3 &= 1.2867 \text{ bits} \\ \text{Information Gain, } Ig(\text{Age}) &= E_{Start} - E_{New}(\text{Age}) &= 1.3261 - 1.2867 &= 0.0394 \text{ bits} \end{aligned}$$

Calculating Entropy for different Values of SpecRx

$$\begin{aligned} \text{For SpecRx} = 1, \\ E_1 &= - (3/12) \log_2 (3/12) \\ &\quad - (2/12) \log_2 (2/12) \\ &\quad - (7/12) \log_2 (7/12) \\ &= 0.5 + 0.4308 + 0.4536 \\ &= 1.3844 \end{aligned}$$

$$\begin{aligned} \text{For SpecRx} = 2, \\ E_2 &= - (1/12) \log_2 (1/12) \\ &\quad - (3/12) \log_2 (3/12) \\ &\quad - (8/12) \log_2 (8/12) \\ &= 0.2988 + 0.5 + 0.3900 \\ &= 1.1887 \end{aligned}$$

$$\begin{aligned} E_{New}(\text{SpecRx}) &= (12/24) E_1 + (12/24) E_2 &= 1.2866 \text{ bits} \\ \text{Information Gain, } Ig(\text{SpecRx}) &= E_{Start} - E_{New}(\text{SpecRx}) &= 0.0395 \text{ bits} \end{aligned}$$

Calculating Entropy for different Values of Astig

$$\begin{aligned} \text{For Astig} = 1, \\ E_1 &= - (0/12) \log_2 (0/12) \\ &\quad - (5/12) \log_2 (5/12) \\ &\quad - (7/12) \log_2 (7/12) \\ &= 0 + 0.5263 + 0.4536 \\ &= 0.9799 \end{aligned}$$

$$\begin{aligned} \text{For Astig} = 2, \\ E_2 &= - (4/12) \log_2 (4/12) \\ &\quad - (0/12) \log_2 (0/12) \\ &\quad - (8/12) \log_2 (8/12) \\ &= 0.5283 + 0 + 0.3900 \\ &= 0.9183 \end{aligned}$$

$$E_{\text{New}}(\text{Astig}) = (12/24) E_1 + (12/24) E_2 = 0.9491 \text{ bits}$$

$$\text{Information Gain, Ig}(\text{Astig}) = E_{\text{Start}} - E_{\text{New}}(\text{Astig}) = 0.377 \text{ bits}$$

Calculating Entropy for different Values of Tears

For Tears = 1,

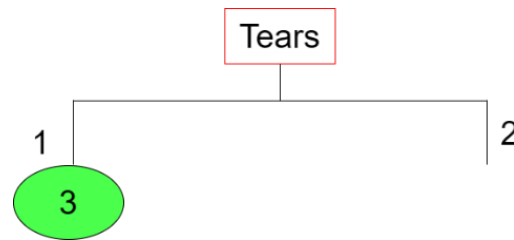
$$\begin{aligned} E_1 &= - (0/12) \log_2 (0/12) \\ &\quad - (0/12) \log_2 (5/12) \\ &\quad - (12/12) \log_2 (12/12) \\ &= 0 + 0 + 0 \\ &= 0 \end{aligned}$$

For Tears = 2,

$$\begin{aligned} E_2 &= - (4/12) \log_2 (4/12) \\ &\quad - (5/12) \log_2 (5/12) \\ &\quad - (3/12) \log_2 (3/12) \\ &= 0.5283 + 0.5263 + 0.5 \\ &= 1.5546 \end{aligned}$$

$$E_{\text{New}}(\text{Tears}) = (12/24) E_1 + (12/24) E_2 = 0.7773 \text{ bits}$$

$$\text{Information Gain, Ig}(\text{Tears}) = E_{\text{Start}} - E_{\text{New}}(\text{Tears}) = 0.5488 \text{ bits}$$



Iteration 2 (For Branch Tears = 2)

There are 4 instances with classification 1, 5 instances with classification 2 and 3 instances with classification 3. So,  $p_1 = (4/12)$ ,  $p_2 = (5/12)$  and  $p_3 = (3/12)$ .

$$\begin{aligned} E_{\text{Start}} &= - (4/12) \log_2 (4/12) - (5/12) \log_2 (5/12) - (3/12) \log_2 (3/12) \\ &= 0.5283 + 0.5263 + 0.5 \\ &= 1.5546 \text{ bits} \end{aligned}$$

Now, we need to calculate  $E_{\text{New}}$  for each of the attributes.

Calculating Entropy for different Values of Age

For Age = 1,

$$\begin{aligned} E_1 &= - (2/4) \log_2 (2/4) \\ &\quad - (2/4) \log_2 (2/4) \\ &\quad - (0/4) \log_2 (0/4) \\ &= 0.5 + 0.5 + 0 \\ &= 1.0 \end{aligned}$$

For Age = 2,

$$\begin{aligned} E_2 &= - (1/4) \log_2 (1/4) \\ &\quad - (2/4) \log_2 (2/4) \\ &\quad - (1/4) \log_2 (1/4) \\ &= 0.5 + 0.5 + 0.5 \\ &= 1.5 \end{aligned}$$

For Age = 3,

$$\begin{aligned} E_3 &= - (1/4) \log_2 (1/4) \\ &\quad - (1/4) \log_2 (1/4) \\ &\quad - (2/4) \log_2 (2/4) \\ &= 0.5 + 0.5 + 0.5 \\ &= 1.5 \end{aligned}$$

$$E_{\text{New}}(\text{Age}) = (4/12) E_1 + (4/12) E_2 + (4/12) E_3 = 1.2867 \text{ bits}$$

$$\text{Information Gain, Ig}(\text{Age}) = E_{\text{Start}} - E_{\text{New}}(\text{Age}) = 1.5546 - 1.3333 = 0.2213 \text{ bits}$$

Calculating Entropy for different Values of SpecRx

For SpecRx = 1,

$$\begin{aligned} E_1 &= - (3/6) \log_2 (3/6) \\ &\quad - (2/6) \log_2 (2/6) \\ &\quad - (1/6) \log_2 (1/6) \\ &= 0.5 + 0.5283 + 0.4308 \\ &= 1.4591 \end{aligned}$$

For SpecRx = 2,

$$\begin{aligned} E_2 &= - (1/6) \log_2 (1/6) \\ &\quad - (3/6) \log_2 (3/6) \\ &\quad - (2/6) \log_2 (2/6) \\ &= 0.4308 + 0.5 + 0.5283 \\ &= 1.4591 \end{aligned}$$

$$E_{\text{New}}(\text{SpecRx}) = (6/12) E_1 + (6/12) E_2 = 1.4591 \text{ bits}$$

$$\text{Information Gain, Ig}(\text{SpecRx}) = E_{\text{Start}} - E_{\text{New}}(\text{SpecRx}) = 0.096 \text{ bits}$$

Calculating Entropy for different Values of Astig

For Astig = 1,

$$E_1 = - (0/6) \log_2 (0/6) - (5/6) \log_2 (5/6) - (1/6) \log_2 (1/6)$$

$$= 0 + 0.2192 + 0.4308$$

$$= 0.6500$$

For Astig = 2,

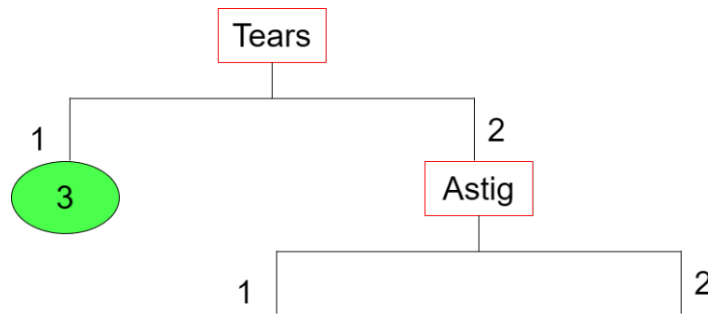
$$E_2 = - (4/6) \log_2 (4/6) - (0/6) \log_2 (0/6) - (2/6) \log_2 (2/6)$$

$$= 0.3900 + 0 + 0.5283$$

$$= 0.9183$$

$$E_{\text{New}}(\text{Astig}) = (6/12) E_1 + (6/12) E_2 = 0.7842 \text{ bits}$$

$$\text{Information Gain, Ig}(\text{Astig}) = E_{\text{Start}} - E_{\text{New}}(\text{Astig}) = 0.7704 \text{ bits}$$



Iteration 3 (For Branch Astig = 1)

There are 5 instances with classification 2 and 1 instance with classification 3. So,  $p_1 = (5/6)$  and  $p_2 = (1/6)$ .

$$E_{\text{Start}} = - (5/6) \log_2 (5/6) - (1/6) \log_2 (1/6)$$

$$= 0.2192 + 0.4308$$

$$= 0.65 \text{ bits}$$

Now, we need to calculate  $E_{\text{New}}$  for each of the attributes.

Calculating Entropy for different Values of Age

For Age = 1,

$$E_1 = - (0/2) \log_2 (0/2) - (2/2) \log_2 (2/2) - (0/2) \log_2 (0/2)$$

$$= 0 + 0 + 0$$

$$= 0$$

For Age = 2,

$$E_2 = - (0/2) \log_2 (0/2) - (2/2) \log_2 (2/2) - (0/2) \log_2 (0/2)$$

$$= 0 + 0 + 0$$

$$= 0$$

For Age = 3,

$$E_3 = - (0/2) \log_2 (0/2) - (1/2) \log_2 (1/2) - (1/2) \log_2 (1/2)$$

$$= 0 + 0.5 + 0.5$$

$$= 1$$

$$E_{\text{New}}(\text{Age}) = (2/6) E_1 + (2/6) E_2 + (2/6) E_3 = 0.3333 \text{ bits}$$

$$\text{Information Gain, Ig}(\text{Age}) = E_{\text{Start}} - E_{\text{New}}(\text{Age}) = 0.6500 - 0.3333 = 0.3167 \text{ bits}$$

Calculating Entropy for different Values of SpecRx

For SpecRx = 1,

$$E_1 = - (0/3) \log_2 (0/3) - (2/3) \log_2 (2/3) - (1/3) \log_2 (1/3)$$

$$= 0 + 0.3900 + 0.5283$$

$$= 0.9183$$

For SpecRx = 2,

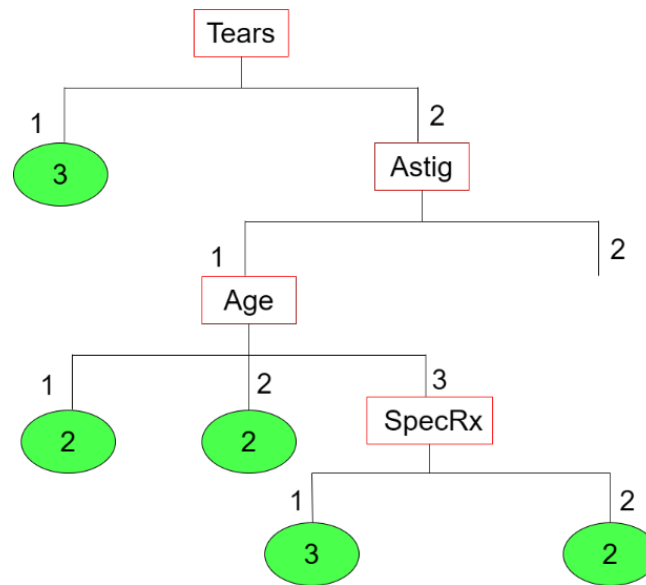
$$E_2 = - (0/3) \log_2 (0/3) - (3/3) \log_2 (3/3) - (0/3) \log_2 (0/3)$$

$$= 0 + 0 + 0$$

$$= 0$$

$$E_{\text{New}}(\text{SpecRx}) = (3/6) E_1 + (3/6) E_2 = 0.4592 \text{ bits}$$

$$\text{Information Gain, Ig}(\text{SpecRx}) = E_{\text{Start}} - E_{\text{New}}(\text{SpecRx}) = 0.1908 \text{ bits}$$



#### Iteration 4 (For Branch Astig = 2)

There are 4 instances with classification 1 and 2 instance with classification 3. So,  $p_1 = (4/6)$  and  $p_2 = (2/6)$ .

$$E_{\text{Start}} = - (4/6) \log_2 (4/6) - (2/6) \log_2 (2/6)$$

$$= 0.3900 + 0.5283$$

$$= 0.9183 \text{ bits}$$

Now, we need to calculate  $E_{\text{New}}$  for each of the attributes.

#### Calculating Entropy for different Values of Age

For Age = 1,

$$E_1 = - (2/2) \log_2 (2/2)$$

$$= 0 + 0 + 0$$

$$= 0$$

For Age = 2,

$$E_2 = - (1/2) \log_2 (1/2)$$

$$= 0.5 + 0 + 0.5$$

$$= 1$$

For Age = 3,

$$E_3 = - (1/2) \log_2 (1/2)$$

$$= 0.5 + 0 + 0.5$$

$$= 1$$

$$E_{\text{New}}(\text{Age}) = (2/6) E_1 + (2/6) E_2 + (2/6) E_3 = 0.6667 \text{ bits}$$

$$\text{Information Gain, Ig}(\text{Age}) = E_{\text{Start}} - E_{\text{New}}(\text{Age}) = 0.9183 - 0.6667 = 0.2516 \text{ bits}$$

#### Calculating Entropy for different Values of SpecRx

For SpecRx = 1,

$$E_1 = - (3/3) \log_2 (3/3)$$

$$= 0 + 0 + 0$$

$$= 0$$

For SpecRx = 2,

$$E_2 = - (1/3) \log_2 (1/3)$$

$$= 0.5283 + 0 + 0.3900$$

$$= 0.9183$$

$$E_{\text{New}}(\text{SpecRx}) = (3/6) E_1 + (3/6) E_2 = 0.4592 \text{ bits}$$

$$\text{Information Gain, Ig}(\text{SpecRx}) = E_{\text{Start}} - E_{\text{New}}(\text{SpecRx}) = 0.4591 \text{ bits}$$

