

# **Deep Hough Voting for 3D Object Detection in Point Clouds**

看到这个标题我第一眼想到的是它和深度卷积网络有什么关系

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#### **Abstract**

Current 3D object detection methods are heavily influenced by 2D detectors. In order to leverage architectures in 2D detectors, they often convert 3D point clouds to regular grids (i.e., to voxel grids or to bird's eye view images), or rely on detection in 2D images to propose 3D boxes. Few works have attempted to directly detect objects in point clouds. In this work, we return to first principles to construct a 3D detection pipeline for point cloud data and as generic as possible. However, due to the sparse nature of the data – samples from 2D manifolds in 3D space – we face a major challenge when directly predicting bounding box parameters from scene points: a 3D object centroid can be far from any surface point thus hard to regress accurately in one step. To address the challenge, we propose VoteNet, an end-to-end 3D object detection network based on a synergy of deep point set networks and Hough voting. Our model achieves state-of-the-art 3D detection on two large datasets of real 3D scans, ScanNet and SUN RGB-D with a simple design, compact model size and high efficiency. Remarkably, VoteNet outperforms previous methods by using purely geometric information without relying on color images.

#### 1. Introduction

The goal of 3D object detection is to localize and recognize objects in a 3D scene. More specifically, in this work, we aim to estimate oriented 3D bounding boxes as well as semantic classes of objects from point clouds.

Compared to images, 3D point clouds provide accurate geometry and robustness to illumination changes. On the other hand, point clouds are irregular. thus typical CNNs are not well suited to process them directly.

To avoid processing irregular point clouds, current 3D detection methods heavily rely on 2D-based detectors in various aspects. For example, [42, 12] extend 2D detection frameworks such as the Faster/Mask R-CNN [37, 11] to 3D. They voxelize the irregular point clouds to regular 3D grids and apply 3D CNN detectors, which fails to leverage sparsity in the data and suffer from high computation cost due to expensive 3D convolutions. Alternatively, [4, 55] project

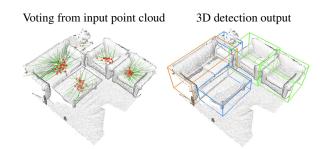


Figure 1. **3D** object detection in point clouds with a deep Hough voting model. Given a point cloud of a 3D scene, our VoteNet votes to object centers and then groups and aggregates the votes to predict 3D bounding boxes and semantic classes of objects.

points to regular 2D bird's eye view images and then apply 2D detectors to localize objects. This, however, sacrifices geometric details which may be critical in cluttered indoor environments. More recently, [20, 34] proposed a cascaded two-step pipeline by firstly detecting objects in front-view images and then localizing objects in frustum point clouds extruded from the 2D boxes, which however is strictly dependent on the 2D detector and will miss an object entirely if it is not detected in 2D.

In this work we introduce a *point cloud focused* 3D detection framework that directly processes raw data and does not depend on any 2D detectors neither in architecture nor in object proposal. Our detection network, *VoteNet*, is based on recent advances in 3D deep learning models for point clouds, and is inspired by the generalized Hough voting process for object detection [23].

We leverage PointNet++ [36], a hierarchical deep network for point cloud learning, to mitigates the need to convert point clouds to regular structures. By directly processing point clouds not only do we avoid information loss by a quantization process, but we also take advantage of the sparsity in point clouds by only computing on sensed points.

While PointNet++ has shown success in object classification and semantic segmentation [36], few research study how to detect 3D objects in point clouds with such architectures. A naïve solution would be to follow common practice in 2D detectors and perform dense object proposal [29, 37],

i.e. to propose 3D bounding boxes directly from the sensed points (with their learned features). However, the inherent sparsity of point clouds makes this approach unfavorable. In images there often exists a pixel near the object center, but it is often not the case in point clouds. As depth sensors only capture surfaces of objects, 3D object centers are likely to be in empty space, far away from any point. As a result, point based networks have difficulty aggregating scene context in the vicinity of object centers. Simply increasing the receptive field does not solve the problem because as the network captures larger context, it also causes more inclusion of nearby objects and clutter.

To this end, we propose to endow point cloud deep networks with a voting mechanism similar to the classical *Hough voting*. By *voting* we essentially generate new points that lie close to objects centers, which can be *grouped and aggregated* to generate box *proposals*.

In contrast to traditional Hough voting with multiple separate modules that are difficult to optimize jointly, *VoteNet* is end-to-end optimizable. Specifically, after passing the input point cloud through a backbone network, we sample a set of seed points and generate votes from their features. Votes are targeted to reach object centers. As a result, vote clusters emerge near object centers and in turn can be aggregated through a learned module to generate box proposals. The result is a powerful 3D object detector that is purely geometric and can be applied directly to point clouds.

We evaluate our approach on two challenging 3D object detection datasets: SUN RGB-D [40] and ScanNet [5]. On both datasets VoteNet, *using geometry only*, significantly outperforms prior arts that use both RGB and geometry or even multi-view RGB images. Our study shows that the voting scheme supports more effective context aggregation, and verifies that VoteNet offers the largest improvements when object centers are far from the object surface (e.g. tables, bathtubs, etc.).

In summary, the contributions of our work are:

- A reformulation of Hough voting in the context of deep learning through an end-to-end differentiable architecture, which we dub VoteNet.
- State-of-the-art 3D object detection performance on SUN RGB-D and ScanNet.
- An in-depth analysis of the importance of voting for 3D object detection in point clouds.

#### 2. Related Work

**3D** object detection. Many previous methods were proposed to detect 3D bounding boxes of objects. Examples include: [27] where a pair-wise semantic context potential helps guide the proposals objectness score; template-based methods [26, 32, 28]; Sliding-shapes [41] and its deep

learning-based successor [42]; Clouds of Oriented Gradients (COG) [38]; and the recent 3D-SIS [12].

Due to the complexity of directly working in 3D, especially in large scenes, many methods resort to some type of projection. For example in MV3D [4] and VoxelNet [55], the 3D data is first reduced to a bird's-eye view before proceeding to the rest of the pipeline. A reduction in search space by first processing a 2D input was demonstrated in both Frustum PointNets [34] and [20]. Similarly, in [16] a segmentation hypothesis is verified using the 3D map. More recently, deep networks on point clouds are used to exploit sparsity of the data by GSPN [54] and PointRCNN [39].

Hough voting for object detection. Originally introduced in the late 1950s, the Hough transform [13] translates the problem of detecting simple patterns in point samples to detecting peaks in a parametric space. The Generalized Hough Transform [2] further extends this technique to image patches as indicators for the existence of a complex object. Examples of using Hough voting include the seminal work of [24] which introduced the implicit shape model, planes extraction from 3D point clouds [3], and 6D pose estimation [44] to name a few.

Hough voting has also been previously combined with advanced learning techniques. In [30] the votes were assigned with weights indicating their importance, which were learned using a max-margin framework. Hough forests for object detection were introduced in [8, 7]. More recently, [15] demonstrated improved voting-based 6D pose estimation by using deep features extracted to build a codebook. Similarly [31] learned deep features to build codebooks for segmentation in MRI and ultrasiounds images. In [14] the classical Hough algorithm was used to extract circular patterns in car logos, which were then input to a deep classification network. [33] proposed the semiconvolutional operator for 2D instance segmentation in images, which is also related to Hough voting.

There have also been works using Hough voting for 3D object detection [50, 18, 47, 19], which adopted a similar pipeline as in 2D detectors.

**Deep learning on point clouds.** Recently we see a surge of interest in designing deep network architectures suited for point clouds [35, 36, 43, 1, 25, 9, 48, 45, 46, 22, 17, 53, 52, 49, 51], which showed remarkable performance in 3D object classification, object part segmentation, as well as scene segmentation. In the context of 3D object detection, VoxelNet [55] learn voxel feature embeddings from points in voxels, while in [34] PointNets are used to localize objects in a frustum point cloud extruded from a 2D bounding box. However, few methods studied how to directly propose and detect 3D objects in raw point cloud representation.

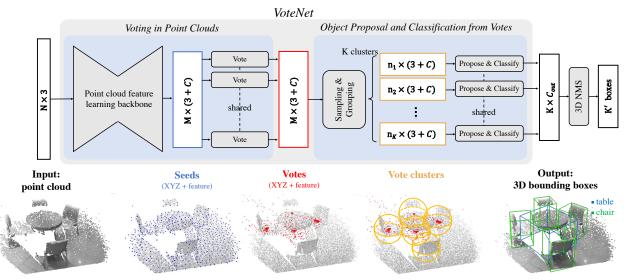


Figure 2. Illustration of the VoteNet architecture for 3D object detection in point clouds. Given an input point cloud of N points with XYZ coordinates, a backbone network (implemented with PointNet++ [36] layers) subsamples and learns deep features on the points and outputs a subset of M points but extended by C-dim features. This subset of points are considered as seed points. Each seed independently generates a vote through a voting module. Then the votes are grouped into clusters and processed by the proposal module to generate the

final proposals. The classified and NMSed proposals become the final 3D bounding boxes output, Image best viewed in color 给定由N个点组成的输入点式XYZ坐标,一个骨干网络(用pointnet++[36]层实现)对这些点进行子采样和学习深度特征,并输出M个点的子集,但由C-dim特征扩展。这个点子集被认为是种子点。每个种子通过投票模块独立生成一个投票。然后投票被分组成簇,并由提出的模块处理以生成最终的解决方案。classified和NMSed方案成为是经的创造规模编辑。

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A traditional Hough voting 2D detector [24] comprises an offline and an online step. First, given a collection of images with annotated object bounding boxes, a codebook is constructed with stored mappings between image patches (or their features) and their offsets to the corresponding object centers. At inference time, interest points are selected from the image to extract patches around them. These patches are then compared against patches in the codebook to retrieve offsets and compute votes. As *object* patches will tend to vote in agreement, clusters will form near object centers. Finally, the object boundaries are retrieved by tracing cluster votes back to their generating patches.

We identify two ways in which this technique is well suited to our problem of interest. First, voting-based detection is more compatible with sparse sets than region-proposal networks (RPN) [37] is. For the latter, the RPN has to generate a proposal near an object center which is likely to be in an empty space, causing extra computation. Second, it is based on a bottom-up principle where small bits of partial information are accumulated to form a confident detection. Even though neural networks can potentially aggregate context from a large receptive field, it may be still beneficial to aggregate in the vote space.

However, as traditional Hough voting comprises multiple separated modules, integrating it into state-of-the-art point cloud networks is an open research topic. To this end, we propose the following adaptations to the different pipeline ingredients.

**Interest points** are described and selected by deep neural networks instead of depending on hand-crafted features.

**Vote** generation is learned by a network instead of using a codebook. Levaraging larger receptive fields, voting can be made less ambiguous and thus more effective. In addition, a vote *location* can be augmented with a *feature vector* allowing for better aggregation.

**Vote aggregation** is realized through point cloud processing layers with trainable parameters. Utilizing the vote features, the network can potentially filter out low quality votes and generate improved proposals.

**Object proposals** in the form of: location, dimensions, orientation and even semantic classes can be directly generated from the aggregated features, mitigating the need to trace back votes' origins.

In what follows, we describe how to combine all the aforementioned ingredients into a single end-to-end trainable network named as VoteNet.

# 4. VoteNet Architecture

Fig. 2 illustrates our end-to-end detection network (*VoteNet*). The entire network can be split into two parts: one that processes *existing* points to generate votes; and the other part that operates on *virtual* points – the votes – to propose and classify objects.

#### 4.1. Learning to Vote in Point Clouds

From an input point cloud of size  $N \times 3$ , with a 3D coordinate for each of the N points, we aim to generate M votes,

where each vote has both a 3D coordinate and a high dimensional feature vector. There are two major steps: point cloud feature learning through a backbone network and learned Hough voting from seed points.

**Point cloud feature learning.** Generating an accurate vote requires geometric reasoning and contexts. Instead of relying on hand-crafted features, we leverage recently proposed deep networks [36, 9, 43, 25] on point clouds for point feature learning. While our method is not restricted to any point cloud network, we adopt PointNet++ [36] as our backbone due to its simplicity and demonstrated success on tasks ranging from normal estimation [10], semantic segmentation [21] to 3D object localization [34].

The backbone network has several set-abstraction layers and feature propagation (upsampling) layers with skip connections, which outputs a subset of the input points with XYZ and an enriched C-dimensional feature vector. The results are M seed points of dimension (3+C). Each seed point generates one vote  $\frac{1}{2}$ .

**Hough voting with deep networks.** Compared to traditional Hough voting where the votes (offsets from local keypoints) are determined by look ups in a pre-computed codebook, we generate votes with a deep network based voting module, which is both more efficient (without kNN look ups) and more accurate as it is trained jointly with the rest of the pipeline.

Given a set of seed points  $\{s_i\}_{i=1}^M$  where  $s_i = [x_i; f_i]$  with  $x_i \in \mathbb{R}^3$  and  $f_i \in \mathbb{R}^C$ , a shared voting module generates votes from each seed independently. Specifically, the voting module is realized with a multi-layer perceptron (MLP) network with fully connected layers, ReLU and batch normalization. The MLP takes seed feature  $f_i$  and outputs the Euclidean space offset  $\Delta x_i \in \mathbb{R}^3$  and a feature offset  $\Delta f_i \in \mathbb{R}^C$  such that the vote  $v_i = [y_i; g_i]$  generated from the seed  $s_i$  has  $y_i = x_i + \Delta x_i$  and  $g_i = f_i + \Delta f_i$ .

The predicted 3D offset  $\Delta x_i$  is explicitly supervised by a regression loss

$$L_{\text{vote-reg}} = \frac{1}{M_{\text{pos}}} \sum_{i} \|\Delta x_i - \Delta x_i^*\| \mathbb{1}[s_i \text{ on object}], \quad (1)$$

where  $\mathbbm{1}[s_i \text{ on object}]$  indicates whether a seed point  $s_i$  is on an object surface and  $M_{\text{pos}}$  is the count of total number of seeds on object surface.  $\Delta x_i^*$  is the ground truth displacement from the seed position  $x_i$  to the bounding box center of the object it belongs to.

Votes are the same as seeds in tensor representation but are no longer grounded on object surfaces. A more essential difference though is their position – votes generated from seeds on the same object are now closer to each other than the seeds are, which makes it easier to combine cues from different parts of the object. Next we will take advantage of this semantic-aware locality to aggregate vote features for object proposal.

## 4.2. Object Proposal and Classification from Votes

The votes create canonical "meeting points" for context aggregation from different parts of the objects. After clustering these votes we aggregate their features to generate object proposals and classify them.

Vote clustering through sampling and grouping. While there can be many ways to cluster the votes, we opt for a simple strategy of uniform sampling and grouping according to spatial proximity. Specifically, from a set of votes  $\{v_i = [y_i; g_i] \in \mathbb{R}^{3+C}\}_{i=1}^M$ , we sample a subset of K votes using farthest point sampling based on  $\{y_i\}$  in 3D Euclidean space, to get  $\{v_{i_k}\}$  with k=1,...,K. Then we form K clusters by finding neighboring votes to each of the  $v_{i_k}$ 's 3D location:  $\mathcal{C}_k = \{v_i^{(k)}|||v_i - v_{i_k}|| \leq r\}$  for k=1,...,K. Though simple, this clustering technique is easy to integrate into an end-to-end pipeline and works well in practice.

Proposal and classification from vote clusters. As a vote cluster is in essence a set of high-dim points, we can leverage a generic point set learning network to aggregate the votes in order to generate object proposals. Compared to the back-tracing step of traditional Hough voting for identifying the object boundary, this procedure allows to propose 甚至从局部观察提*amodal* boundaries even from partial observations, as well出一个近似边界,as predicting other parameters like orientation, class, etc. 并预测其他参数,

In our implementation, we use a *shared* PointNet [35]如方向、类等 for vote aggregation and proposal in clusters. Given a vote cluster  $\mathcal{C} = \{w_i\}$  with i = 1, ..., n and its cluster center  $w_j$ , where  $w_i = [z_i; h_i]$  with  $z_i \in \mathbb{R}^3$  as the vote location and  $h_i \in \mathbb{R}^C$  as the vote feature. To enable usage of local vote geometry, we transform vote locations to a local normalized coordinate system by  $z_i' = (z_i - z_j)/r$ . Then an object proposal for this cluster  $p(\mathcal{C})$  is generated by passing the set input through a PointNet-like module:

$$p(\mathcal{C}) = \text{MLP}_2 \left\{ \max_{i=1,\dots,n} \left\{ \text{MLP}_1([z_i'; h_i]) \right\} \right\}$$
 (2)

Loss function. The loss functions in the proposal and clas <del>类得分。</del> sification stage consist of objectness, bounding box estimation, and semantic classification losses.

We supervise the objectness scores for votes that are located either close to a ground truth object center (within

<sup>&</sup>lt;sup>1</sup>The case of more than one vote is discussed in the appendix.

0.3 meters) or far from any center (by more than 0.6 meters). We consider proposals generated from those votes as positive and negative proposals, respectively. Objectness predictions for other proposals are not penalized. Objectness is supervised via a cross entropy loss normalized by the number of non-ignored proposals in the batch. For positive proposals we further supervise the bounding box estimation and class prediction according to the closest ground truth bounding box. Specifically, we follow [34] which decouples the box loss to center regression, heading angle estimation and box size estimation. For semantic classification we use the standard cross entropy loss. In all regression in the detection loss we use the Huber (smooth- $L_1$  [37]) loss. Further details are provided in the appendix.

#### 4.3. Implementation Details

Input and data augmentation. Input to our detection network is a point cloud of N points randomly sub-sampled from either a popped-up depth image (N=20k) or a 3D scan (mesh vertices, N=40k). In addition to XYZ coordinates, we also include a height feature for each point indicating its distance to the floor. The floor height is estimated as the 1% percentile of all points' heights. To augment the training data, we randomly sub-sample the points from the scene points on-the-fly. We also randomly flip the point cloud in both horizontal direction, randomly rotate the scene points by Uniform $[-5^{\circ}, 5^{\circ}]$  around the upright-axis, and randomly scale the points by Uniform[0.9, 1.1].

**Network architecture details.** The backbone feature learning network is based on PointNet++ [36], which has four set abstraction (SA) layers and two feature propagation/upsamplng (FP) layers, where the SA layers have increasing receptive radius of 0.2, 0.4, 0.8 and 1.2 in meters while they sub-sample the input to 2048, 1024, 512 and 256 points respectively. The two FP layers up-sample the 4th SA layer's output back to 1024 points with 256-dim features and 3D coordinates (more details in the appendix).

The voting layer is realized through a multi-layer perceptron with FC output sizes of 256, 256, 259, where the last FC layer outputs XYZ offset and feature residuals.

The proposal module is implemented as a set abstraction layer with a post processing MLP $_2$  to generate proposals after the max-pooling. The SA uses radius 0.3 and MLP $_1$  with output sizes of 128, 128, 128. The max-pooled features are further processed by MLP $_2$  with output sizes of 128, 128, 5 + 2NH + 4NS + NC where the output consists of 2 objectness scores, 3 center regression values, 2NH numbers for heading regression (NH heading bins) and 4NS numbers for box size regression (NS box anchors) and NC numbers for semantic classification.

**Training the network.** We train the entire network end-to-end and from scratch with an Adam optimizer, batch size 8 and an initial learning rate of 0.001. The learning rate is

decreased by  $10 \times$  after 80 epochs and then decreased by another  $10 \times$  after 120 epochs. Training the model to convergence on one Volta Quadro GP100 GPU takes around 10 hours on SUN RGB-D and less than 4 hours on ScanNetV2.

**Inference.** Our VoteNet is able to take point clouds of the entire scenes and generate proposals in one forward pass. The proposals are post-processed by a 3D NMS module with an IoU threshold of 0.25. The evaluation follows the same protocol as in [42] using mean average precision.

### 5. Experiments

In this section, we firstly compare our Hough voting based detector with previous state-of-the-art methods on two large 3D indoor object detection benchmarks (Sec. 5.1). We then provide analysis experiments to understand the importance of voting, the effects of different vote aggregation approaches and show our method's advantages in its compactness and efficiency (Sec. 5.2). Finally we show qualitative results of our detector (Sec. 5.3). More analysis and visualizations are provided in the appendix.

#### 5.1. Comparing with State-of-the-art Methods

**Dataset.** SUN RGB-D [40] is a single-view RGB-D dataset for 3D scene understanding. It consists of  $\sim$ 5K RGB-D training images annotated with amodal oriented 3D bounding boxes for 37 object categories. To feed the data to our network, we firstly convert the depth images to point clouds using the provided camera parameters. We follow a standard evaluation protocol and report performance on the 10 most common categories.

ScanNetV2 [5] is a richly annotated dataset of 3D reconstructed meshes of indoor scenes. It contains ~1.2K training examples collected from hundreds of different rooms, and annotated with semantic and instance segmentation for 18 object categories. Compared to partial scans in SUN RGB-D, scenes in ScanNetV2 are more complete and cover larger areas with more objects on average. We sample vertices from the reconstructed meshes as our input point clouds. Since ScanNetV2 does not provide amodal or oriented bounding box annotation, we aim to predict axisaligned bounding boxes instead, as in [12].

Methods in comparison. We compare with a wide range of prior art methods. Deep sliding shapes (DSS) [42] and 3D-SIS [12] are both 3D CNN based detectors that combine geometry and RGB cues in object proposal and classification, based on the Faster R-CNN [37] pipeline. Compared with DSS, 3D-SIS introduces a more sophisticated sensor fusion scheme (back-projecting RGB features to 3D voxels) and therefore is able to use multiple RGB views to improve performance. 2D-driven [20] and F-PointNet [34] are 2D-based 3D detectors that rely on object detection in 2D images to reduce the 3D detection search space. Cloud of gra-

	Input	bathtub	bed	bookshe	lf chair	desk	dresser	nightsta	nd sofa	table	toilet	mAP
DSS [42]	Geo + RGB	44.2	78.8	11.9	61.2	20.5	6.4	15.4	53.5	50.3	78.9	42.1
COG [38]	Geo + RGB	58.3	63.7	31.8	62.2	45.2	15.5	27.4	51.0	51.3	70.1	47.6
2D-driven [20]	Geo + RGB	43.5	64.5	31.4	48.3	27.9	25.9	41.9	50.4	37.0	80.4	45.1
F-PointNet [34]	Geo + RGB	43.3	81.1	33.3	64.2	24.7	32.0	58.1	61.1	51.1	90.9	54.0
VoteNet (ours)	Geo only	74.4	83.0	28.8	75.3	22.0	29.8	62.2	64.0	47.3	90.1	57.7

Table 1. **3D object detection results on SUN RGB-D val set.** Evaluation metric is average precision with 3D IoU threshold 0.25 as proposed by [40]. Note that both COG [38] and 2D-driven [20] use room layout context to boost performance. To have fair comparison with previous methods, the evaluation is on the SUN RGB-D V1 data.

	Input	mAP@0.25	mAP@0.5
DSS [42, 12]	Geo + RGB	15.2	6.8
MRCNN 2D-3D [11, 12]	Geo + RGB	17.3	10.5
F-PointNet [34, 12]	Geo + RGB	19.8	10.8
GSPN [54]	Geo + RGB	30.6	17.7
3D-SIS [12]	Geo + 1 view	35.1	18.7
3D-SIS [12]	Geo + 3 views	36.6	19.0
3D-SIS [12]	Geo + 5 views	40.2	22.5
3D-SIS [12]	Geo only	25.4	14.6
VoteNet (ours)	Geo only	58.6	33.5

Table 2. **3D object detection results on ScanNetV2 val set.** DSS and F-PointNet results are from [12]. Mask R-CNN 2D-3D results are from [54]. GSPN and 3D-SIS results are up-to-date numbers provided by the original authors.

dients [38] is a sliding window based detector using a newly designed 3D HoG-like feature. MRCNN 2D-3D is a naïve baseline that directly projects Mask-RCNN [11] instance segmentation results into 3D to get a bounding box estimation. GSPN [54] is a recent instance segmentation method using a generative model to propose object instances, which is also based on a PointNet++ backbone.

Results are summarized in Table 1 and 2. VoteNet outperforms all previous methods by at least 3.7 and 18.4 mAP increase in SUN RGB-D and ScanNet respectively. Notably, we achieve such improvements when we use geometric input (point clouds) only while they used both geometry and RGB images. Table 1 shows that in the category "chair" with the most training samples, our method improves upon previous state of the art by more than 11 AP. Table 2 shows that when taking geometric input only, our method outperforms 3D CNN based method 3D-SIS by more than 33 AP. A per-category evaluation for ScanNet is provided in the appendix. Importantly, the same set of network hyperparameters was used in both datasets.

## 5.2. Analysis Experiments

**To Vote or Not To Vote?** A straightforward baseline to VoteNet is a network that directly proposes boxes from sam-

Method	mAP@0.25			
	SUN RGB-D	ScanNet		
BoxNet (ours)	53.0	45.4		
VoteNet (ours)	57.7	58.6		

Table 3. **Comparing VoteNet with a no-vote baseline.** Metric is 3D object detection mAP. VoteNet estimate object bounding boxes from vote clusters. BoxNet proposes boxes directly from seed points on object surfaces without voting.

pled scene points. Such a baseline – which we refer to as BoxNet – is essential to distill the improvement due to voting. The BoxNet has the same backbone as the VoteNet but instead of voting, it directly generates boxes from the seed points (more details in appendix). Table 3 shows voting boosts the performance by a significant margin of  $\sim$ 5 mAP on SUN RGB-D and >13 mAP on ScanNet.

In what ways, then, does voting help? We argue that since in sparse 3D point clouds, existing scene points are often far from object centroids, a direct proposal may have lower confidence and inaccurate amodal boxes. Voting, instead, brings closer together these lower confidence points and allows to reinforce their hypothesis though aggregation. We demonstrate this phenomenon in Fig. 3 on a typical ScanNetV2 scene. We overlay the scene with only those seed points which, if sampled, would generate an accurate proposal. As can be seen, VoteNet (right) offers a much broader coverage of "good" seed points compared to BoxNet (left), showing its robustness brought by voting.

We proceed with a second analysis in Fig. 4 showing on the same plot (in separate scales), for each SUN RGB-D category: (in blue dots) gains in mAP between VoteNet and BoxNet, and (in red squares) closest distances between object points (on their surfaces) and their amodal box centers, averaged per category and normalized by the mean class size (a large distance means the object center is usually far from its surface). Sorting the categories according to the former, we see a strong correlation. Namely, when object points tend to be further from the amodal box center, voting helps much more.

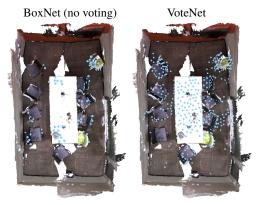


Figure 3. Voting helps increase detection contexts. Seed points that generate good boxes (BoxNet), or good votes (VoteNet) which in turn generate good boxes, are overlaid (in blue) on top of a representative ScanNet scene. As the voting step effectively increases context, VoteNet demonstrates a much denser cover of the scene, therefore increasing the likelihood of accurate detection.

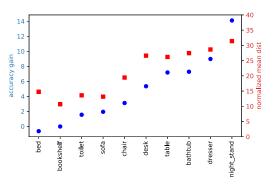


Figure 4. Voting helps more in cases where object points are far from object centers. We show for each category: voting accuracy gain (in blue dots) of VoteNet w.r.t our direct proposal baseline BoxNet; and (in red squares) average object-center distance, normalized by the mean class size.

**Effect of Vote Aggregation** Aggregation of votes is an important component in VoteNet as it allows communication between votes. Hence, it is useful to analyze how different aggregation schemes influence performance.

In Fig. 5 (right), we show that vote aggregation with a learned Pointnet and max pooling achieves far better results than manually aggregating the vote features in the local regions due to the existence of clutter votes (i.e. votes from non-object seeds). We test 3 types of those aggregations (first three rows): max, average, and RBF weighting (based on vote distance to the cluster center). In contrast to aggregation with Pointnet (Eq. 2), the vote features are directly pooled, e.g. for avg. pooling:  $p = \text{MLP}_2 \left\{ \text{AVG} \left\{ h_i \right\} \right\}$ ).

In Fig. 5 (left), we show how vote aggregation radius affects detection (tested with Pointent using max pooling). As the aggregation radius increases, VoteNet improves until it peaks at around 0.2 radius. Attending to a larger region

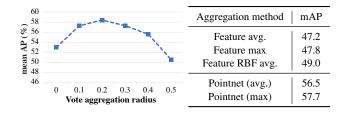


Figure 5. **Vote aggregation analysis.** *Left:* mAP@0.25 on SUN RGB-D for varying aggregation radii when aggregating via Pointnet (max). *Right:* Comparisons of different aggregation methods (radius = 0.3 for all methods). Using a learned vote aggregation is far more effective than manually pooling the features in a local neighborhood.

though introduces more clutter votes thus contaminating the good votes and results in decreased performance.

**Model Size and Speed** Our proposed model is very efficient since it leverages sparsity in point clouds and avoids search in empty space. Compared to previous best methods (Table 4), our model is more than  $4\times$  smaller than F-PointNet (the prior art on SUN RGB-D) in size and more than  $20\times$  times faster than 3D-SIS (the prior art on Scan-NetV2) in speed. Note that the ScanNetV2 processing time by 3D-SIS is computed as averaged time in offline batch mode while ours is measured with sequential processing which can be realized in online applications.

#### 5.3. Qualitative Results and Discussion

Fig. 6 and Fig. 7 show several representative examples of VoteNet detection results on ScanNet and SUN RGB-D scenes, respectively. As can be seen, the scenes are quite diverse and pose multiple challenges including clutter, partiality, scanning artifacts, etc. Despite these challenges, our network demonstrates quite robust results. See for example in Fig. 6, how the vast majority of chairs were correctly detected in the top scene. Our method was able to nicely distinguish between the attached sofa-chairs and the sofa in the bottom left scene; and predicted the complete bounding box of the much fragmented and cluttered desk at the bottom right scene.

There are still limitations in our method though. Com-

Method	Model size	SUN RGB-D	ScanNetV2
F-PointNet [34] 3D-SIS [12]	47.0MB 19.7MB	0.09s	2.85s
VoteNet (ours)	11.2MB	0.10s	0.14s

Table 4. Model size and processing time (per frame or scan). Our method is more than  $4 \times$  more compact in model size than [34] and more than  $20 \times$  faster than [12].

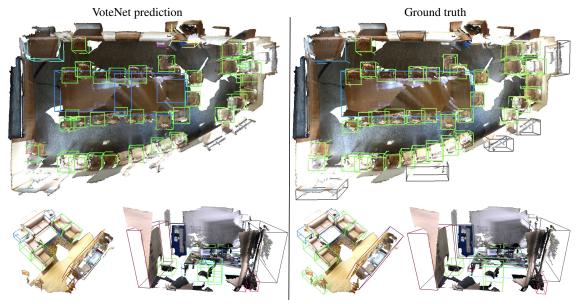


Figure 6. Qualitative results of 3D object detection in ScanNetV2. Left: our VoteNet, Right: ground-truth. See Section 5.3 for details.

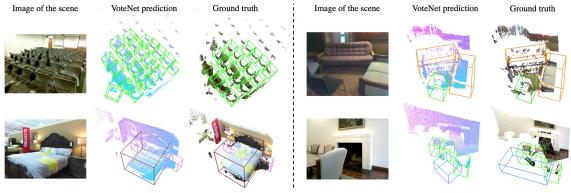


Figure 7. **Qualitative results on SUN RGB-D.** Both left and right panels show (from left to right): an image of the scene (not used by our network), 3D object detection by VoteNet, and ground-truth annotations. See Section 5.3 for details.

mon failure cases include misses on very thin objects like doors, windows and pictures denoted in black bounding boxes in the top scene (Fig. 6). As we do not make use of RGB information, detecting these categories is almost impossible. Fig. 7 on SUN RGB-D also reveals the strengths of our method in partial scans with single-view depth images. For example, it detected more chairs in the top-left scene than were provided by the ground-truth. In the top-right scene we can see how VoteNet can nicely hallucinate the amodal bounding box despite seeing only part of the sofa. A less successful amodal prediction is shown in the bottom right scene where an extremely partial observation of a very large table is given.

# 6. Conclusion

In this work we have introduced VoteNet: a simple, yet powerful 3D object detection model inspired by Hough voting. The network learns to vote to object centroids directly from point clouds and learns to aggregate votes through their features and local geometry to generate high-quality object proposals. Using only 3D point clouds, the model showed significant improvements over previous methods that utilize both depth and colored images.

In future work we intend to explore how to incorporate RGB images into our detection framework and to utilize our detector in downstream application such as 3D instance segmentation. We believe that the synergy of Hough voting and deep learning can be generalized to more applications such as 6D pose estimation, template based detection etc. and expect to see more future research along this line.

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