Margin Loss: Making Faces more Separable

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Abstract—The key point of Face Recognition (FR) is creating a discriminative feature representation to ensure intra-class compactness and inter-class separability. Softmax loss is widely used in deep learning networks, but it is indirect for face verification. Center loss is effective to improve intra-class compactness while inter-class distances are ignored. In this paper, we propose a novel loss function, termed margin loss, to enlarge distances of inter-class and reduce intra-class variations simultaneously. Margin loss aims to focus on samples hard to classify by a distance margin. Different from softmax loss, margin loss is based on Euclidean distances which can directly measure face similarity. Experiments on different datasets have demonstrated the effectiveness of our method.

Index Terms-Margin loss, center loss, deep learning

I. Introduction

ACE recognition is one of the most popular tasks in computer vision and pattern recognition. The enormous variations in poses, illuminations, occlusions and expressions make the recognition task challenging. Reducing the intraclass diversifications and enlarging the inter-class distances become the critical topics.

Deep learning methods [1] [2] [3] [4] [5] [6] have attracted wide attention in recent years. Under the large amount of training data and end-to-end framework, discriminative features can be obtained. The DeepID series [7] [2] [8] [9] demonstrate a set of convolutional neural network architectures which tackle the face recognition and verification problem simultaneously. The FaceNet [10] presents a unified embedding method for face recognition and clustering. Wu et al. [11] introduce a light CNN framework for face representation on the large-scale data with massive noisy labels. Large-margin softmax loss [12] is proposed to encourage intra-class compactness and inter-class separability between learned features. Wen et al. [13] propose center loss which is a discriminative feature learning approach for deep face recognition. Zhong et al. [14] present a method which jointly solve the face alignment and recognition in an end-to-end mannar.

Constructing loss function is essential for face recognition problems. Softmax loss is one of the most common supervision signal in recognition task [5] [2], and there are some improvements of softmax loss [12] [15]. Range Loss [16] is proposed to solve the long-tail training data problem. Contrastive loss [17] is proposed to reduce the intra-personal variations by pairs-wise training. The features learned from softmax are generally with large inter-personal distances. However, softmax layer is indirect and inefficient [10]: the

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success of network has to depend on the layer representation generalizing well to new images, and the bottleneck layer representation size per image is usually very large. In FaceNet [10], the softmax loss function is replaced by triplet loss. The triplet loss is more straightforward for verification task since it is based on learning the Euclidean embeddings. Nevertheless, both pairs and triplets selections are hard tasks and the training will get unstable. CenterFace [13] is more stable and easy to convergent since it can be trained in mini-batch. However, center loss can't be applied independently, and it has to depend on Softmax loss to enlarge inter-class distances.

A successful loss function usually focuses on the hard examples. Hinge loss is a loss function which is used for "maximum margin" classification, most notable for Support Vector Machines [18]. Dogan et al. [19] propose a unified view on Multi-class Support Vector Classification, analyzing various loss functions of multi-class. In [12], Liu et al. apply the large-margin mechanism to softmax loss. Both the Verification signal [17] and Triplet loss [10] define a margin to make training easier.

In this paper, we define a new loss function, named margin loss, to increase inter-class differences and reduce the intraclass variations. The class center, with the same dimension of sample feature, is also learned. In the training phase, we enlarge the distances between the sample and relative interclass centers, and reduce the intra-class variations simultaneously. Particularly, we set the margin to ensure that our method mainly focuses on the hard samples. That is, we don't optimize the sample which is far away from inter-class centers or is close enough to intra-class center in loss function. With the use of margin loss, the softmax loss can be abandoned in some face recognition tasks. In summary, our main contributions are described as follows:

First, a new loss function is defined to enlarge inter-class differences and reduce intra-class variations. In addition, our algorithm is not only effective because it mainly focuses on hard samples, but also easy to train because no hard simple needs to be selected manually.

Second, since the indirectness and inefficiency of softmax loss, we can abandon the softmax loss in the later training phase. We include softmax loss in the early stage of training to gain a reasonable projection for the training samples.

Third, begin with a toy example of Mnist, extensive experiments on different face databases are performed. In the Mnist example, we visualize the results to illustrate the effectiveness of our method.

The rest of the paper is organized as follows. In section II, we introduce our algorithm in detail. The experiments are presented in Section III and finally, we conclude our paper in Section IV.

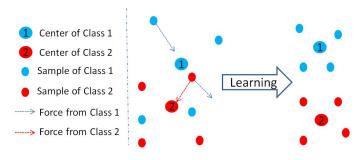


Fig. 1. The motivation of margin loss. We aim to reduce the intra-class variations and enlarge the inter-class differences. After learning, the intra-class samples are gathered and the distances of class centers are enlarged.

II. THE PROPOSED METHOD

A. Softmax Loss and Center Loss

In the k-class classification, labels can take on k different values. Softmax loss has been widely used in CNN. In the training set $\{(x^{(1)},y^{(1)}),...,(x^{(n)},y^{(n)})\},\ y^{(i)}\in\{1,2,...,k\}$. The softmax loss can be described as follows:

$$J_{S}(\theta) = -\frac{1}{m} \left[\sum_{i=1}^{m} \sum_{j=1}^{k} s\left(y^{(i)} = j\right) \log \frac{e^{\theta_{j}^{T} x^{(i)}}}{\sum_{l=1}^{k} e^{\theta_{l}^{T} x^{(i)}}} \right]$$
(1)

where $\theta_i \in \mathbb{R}^{n+1}$ is the parameter of the model. $s(\cdot)$ represents the indicator function. s(x) = 1 if x is true, otherwise s(x) = 0.

In [13], Wen et al. propose a discriminative feature learning method. In order to minimize the intra-class variations, the center loss [13] is defined as follows:

$$J_C(\theta) = \sum_{i=1}^{m} \|x_i - c_{y_i}\|_2^2$$
 (2)

where c_{y_i} is the center feature of the y_i -th class samples.

B. Margin Loss

We define a loss function, named as margin loss, to enlarge the differences of inter-class samples and reduce the intra-class variations. The motivation of margin loss is shown in Fig. 1. Our loss function includes the following considerations:

- 1. In the face recognition task, each sample should be kept close to its center (small intra-class variation) and far away from other class centers (large inter-class distance) as much as possible.
- 2. The samples with large enough inter-class distance or small enough intra-class distance should be excluded in loss training phase. Otherwise, the training would be unstable and converges slowly. It is crucial to select the hard samples which contribute to improving the training model effectively.

Thus, margin loss is defined as follows:

$$J_M = \frac{1}{M} \sum_{i=1}^{M} \sum_{j=1}^{N} \left[l_{ij} \left(\|x_i - c_j\| - \alpha_{l_{ij}} \right) \right]_+$$
 (3)

where

$$c_{j} = \frac{\sum_{i=1}^{m} s(y_{i} = j) \cdot x_{i}}{1 + \sum_{i=1}^{m} s(y_{i} = j)}$$
(4)

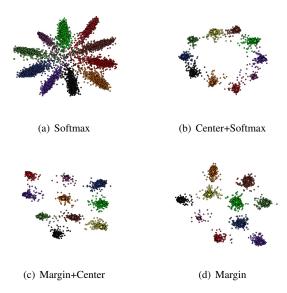


Fig. 2. Comparison of different losses. Compared with (a), (b) is more discriminative. But the class centers are on a circle. (c) and (d) illustrate that the centers are distributed in the whole 2-dimensional space, indicating margin loss enlarges the inter-class distances.

when the label of x_i equals to j, $l_{ij}=1$. Otherwise, $l_{ij}=-1$. αl_{ij} is the defined margin. If $l_{ij}=-1$, margin loss only include the samples x_i which satisfies $\|x_i-c_j\|<\alpha l_{ij}$. When $l_{ij}=1$, the x_i is included in margin loss only when $\|x_i-c_j\|\geq \alpha l_{ij}$. Under this condition, margin loss mainly focuses on the hard samples.

In the margin loss, class centers should be updated as the deep features changed. It is impractical and ineffective to update the class centers among the whole training set [13]. Thus, we update the center in mini-batch. In each iteration, the centers would be updated by the samples in the mini-batch. The update of c_i is described as follows:

$$\Delta c_j = \frac{\sum_{i=1}^m s (y_i = j) \cdot (c_j - x_i)}{1 + \sum_{i=1}^m s (y_i = j)}$$
 (5)

We adopt the joint supervision of three loss functions: softmax loss, center loss and margin loss. The general formulation of our final loss is given as:

$$J = \lambda_0 J_S + \lambda_1 J_C + \lambda_2 J_M \tag{6}$$

where λ_i is the weight of the relative loss function. To evaluate the effectiveness of our method, 6 different loss combinations are compared and discussed in Table III.

C. Mnist Example

In this section, we perform our algorithm on the Mnist dataset [20]. For the convenience of comparison, the experimental sets are as same as [13]. The CNN architecture we used in the Mnist example is LeNets++, which is described in Table I. The last hidden layer is restricted to 2 dimensional, which is easy to visualize. The network is consistent with Github of CenterFace [13], and we only change the loss function.

TABLE I

The LeNets++ structure. $(5,32)\times 2$ represents that the 2 cascaded convolution layers with 32 filters of size 5×5 . All the convolutional strides are 1 and the paddings are 2. The max pooling layers with grid of 2×2 . All the convolutional strides are 2 and the paddings are 0.

Stage 1		Stage 2		Stage 3		Stage 4	
	Conv	Pool	Conv	Pool	Conv	Pool	FC
	$(5, 32) \times 2$	2	$(5, 64) \times 2$	2	$(5, 128) \times 2$	2	2

TABLE II

EVALUATION OF THE MNIST EXAMPLE. D1 REPRESENTS THE AVERAGE DISTANCE OF EACH SAMPLE AND ITS RELEVANT CLASS-CENTER. D2 REPRESENTS THE AVERAGE DISTANCE OF ALL THE CLASS-CENTER PAIRS. D3 REPRESENTS THE AVERAGE DISTANCE OF EACH SAMPLE BETWEEN ITS INTER-CLASS CENTERS.

	D1	D2	D3
S	3.5	5.6	6.4
S+C	1.8	5.9	6.1
C+M	1.7	7.4	7.6
M	1.9	6.9	7.8

From Fig. 2, we notice that the features from softmax loss are nearly separable. But they still hold considerable intraclass variations and the inter-class distances can be enlarged. The center loss reduces intra-class variations, but it makes no contribution to enlarging inter-class distances. On the contrary, margin loss synchronously enlarges the distances of inter-class centers and reduces the intra-class variations.

We evaluate the efficiency of our method in Table II. Softmax loss still holds large intra-class variation (reflected on D1), which is adverse to recognition. Center loss reduces the intra-class variation but makes no contribution to enlarging inter-class distance (reflected on D2 and D3). When including the margin loss, we achieve small intra-class distances and large inter-class distances simultaneously.

D. Discussion of the Algorithm

- 1) Difference between center loss and margin loss: Center loss cannot be applied independently. If we only use center loss, all of the features will converge to the same point. Jointing with the softmax loss, center loss can learn a discriminative feature. However, center loss is based on the Euclidean distance, which is not in line with softmax loss. In margin loss, the intra-class variations are reduced and interclass differences are enlarged, and both of them are based on Euclidean distance.
- 2) Comparisons with contrastive loss and triplet loss: Contrastive loss and triplet loss are representative methods for developing discriminative features. In the contrastive loss, the intra-class similarity is enhanced. The triplet loss reduces the intra-class variations and the inter-class difference in the same time. However, contrastive loss and triplet loss are easily affected by dramatic data. Similar to softmax loss and center loss, margin loss can be trained on mini-batch directly.
- 3) Independence Application: Center loss cannot be used to train model independently. If the CNN network is only supervised by center loss, the deeply learned features and centers would degrade to zeros [13]. Margin loss adds the inter-class constraint, which makes the centers distributed separately with

large margins. Margin loss can be independently applied in networks since it reduces the intra-class variations and enlarges inter-class distance simultaneously.

III. EXPERIMENTS

A. Experiment Settings

In this section, we verify our method on four publicly available databases. CASIA-WebFace [21] and VGGFace [22] are separately used for training. The identification rates on CASIA-WebFace and VGGFace are reported, and face verification tasks are performed on LFW [23], YTF [24] and MegaFace [25].

VGGFace consists of 2.6M face images of 2622 people. CASIA-webface contains 10575 subjects and 494414 images. We separate these two databases for training set and validation set with the proportion of 8:2 (experiment of Sec III-D).

LFW dataset contains 13,233 face images of 5749 individuals. 1680 people have more than one distinct images. YTF dataset includes 3425 videos which come from 1595 different people. These two datasets are widely used to evaluate the performance of face verification algorithm.

MegaFace dataset is very challenging which aims at evaluating face verification and recognition. This challenge contains probe and gallery set. The test set FaceScrub includes 100,000 images of 530 celebrities, and the distractors contains 1 million photos of 690,572 unique users.

The preprocessing works (face and landmark detection) are conducted by MTCNN [26]. 5 landmarks (two eyes, nose and mouth corners) are used for face alignment.

B. Details of Combinations of Loss Functions

 $S,\ C$ and M represent the softmax loss, center loss and margin loss respectively in this paper, and S+C means the model jointly supervised by softmax loss and center loss. As showed in Table III, we apply 6 combinations of different losses to verify our method. The softmax loss (S) is the baseline and S+C is the method presented in [13]. We include the margin loss in this section and change the combination of different losses. The networks of these loss functions are the same and are picked from the related Github of CenterFace for fair comparison.

C. Experiments on LFW, YTF and MegaFace

In this section, we evaluate our model on 3 famous face databases: LFW, YTF and MegaFace. To ensure the reproducibility, our model is trained on CASIA-WebFace. We apply two kinds of distances (Euclidean and Cosine) for verification and Euclidean for identification. The detailed results of LFW and YTF are reported in Table IV and MegaFace's are presented in Table V.

D. Identification on CASIA and VGGFace

Face identification tasks recognize the identity of a test image. In this section, we report the identification rate of CASIA-WebFace and VGGFace. The experimental results are presented in Table VI.

TABLE III
Description of Losses. $\lambda_0, \lambda_1, \lambda_2$ are the parameters of Eq. 6

Loss	S	S+C	S+M	S+C+M	C+M(tune)	M(tune)
	Description $ \begin{array}{c} \text{Softmax Loss} \\ \lambda_0 = 1 \\ \lambda_1 = 0 \\ \lambda_2 = 0 \end{array} $	Softmax +	Softmax +	Softmax + Center	Training with Softmax first	Training with Softmax first
		Center Loss	Margin Loss	+ Margin Loss	tuning with Center + Margin Loss	tuning with Margin Loss
Description		$\lambda_0 = 1$	$\lambda_0 = 1$	$\lambda_0 = 1$	$\lambda_0 = 0$	$\lambda_0 = 0$
		$\lambda_1 = 0.008$	$\lambda_1 = 0$	$\lambda_1 = 0.008$	$\lambda_1 = 0.008$	$\lambda_1 = 0$
	$\lambda_2 = 0$	$\lambda_2 = 0$	$\lambda_2 = 0.015$	$\lambda_2 = 0.01$	$\lambda_2 = 0.01$	$\lambda_2 = 0.015$

TABLE IV
THE ACCURACY (%) LFW AND YTF. (C) AND (E) REPRESENTS THAT THE SCORE IS COMPUTED BASED ON COSINE AND EUCLIDEAN DISTANCE RESPECTIVELY.

Method	Trainset	Models	LFW	YTF
DeepFace [5]	4M	3	97.35	91.4
FaceNet [10]	200M	1	99.63	95.1
DeepID2 [17]	0.2M	200	99.15	-
L-Softmax [12]	0.49M	1	98.71	-
CenterFace [13]	0.7M	1	99.28	94.9
LightCNN9 [11]	1.5M	1	98.80	93.4
LightCNN29 [11]	1.5M	1	99.33	95.5
NormFace [6]	1.5M	1	99.19	94.72
LN+STN(Pro) [14]	0.46M	1	99.08	94.7
S (c)	0.46M	1	96.85	90.32
S + C(c) [13]	0.46M	1	98.37	93.78
S + M(c)	0.46M	1	98.75	94.12
S + C + M (c)	0.46M	1	98.93	94.14
C + M(tune) (c)	0.46M	1	98.82	94.27
M(tune) (c)	0.46M	1	98.58	94.08
S(e)	0.46M	1	96.62	90.43
S + C (e) [13]	0.46M	1	98.23	93.52
S + M(e)	0.46M	1	98.47	93.95
S + C + M (e)	0.46M	1	98.91	94.04
C + M(tune) (e)	0.46M	1	99.09	94.37
M(tune) (e)	0.46M	1	99.02	94.22

TABLE V

The accuracy (%) of MegaFace (MF) on rank-1 identification accuracy with 1M distractors and verification TAR for $FAR=10^{-6}$. We apply the Euclidean distance for computation.

Method	Trainset	Rank1 Acc	Ver
Faceall 1600	large	63.98	63.96
Faceall Norm 1600	large	64.80	67.12
FaceNet v8	large	70.50	86.47
NTechLabfacenx-large	large	73.30	85.08
LightCNN9 [11]	large	67.11	77.46
LightCNN29 [11]	large	73.49	84.73
Barebones-FR-cnn	small	59.36	59.04
Softmax+ Contrastive	small	57.18	69.99
NtechLab-facenx-small	small	58.22	66.37
L-Softmax Loss [12]	small	67.13	80.42
S(e)	small	41.24	41.13
S + C (e) [13]	small	65.23	76.41
S + M(e)	small	67.64	76.56
S + C + M (e)	small	68.35	77.49
C + M(tune) (e)	small	69.68	78.42
M(tune) (e)	small	68.84	77.37

E. Discussion of Experimental Results

1) The Comparison of state-of-the-art Methods: We compare state-of-the-art methods with our algorithm in Table IV and V. Less training data (0.46M) and a single network are applied in our algorithm, which doesn't receive the highest performance but still competitive. We use the same network and data to compare different losses. Our method beats the softmax loss baseline (e.g. 99.09% beats 96.62% in LFW, 78.42% beats 41.13% in MegaFace) by a large margin and

TABLE VI THE RECOGNITION RATE (%) ON CASIA-WEBFACE AND VGGFACE. (A) REPRESENTS THAT IMAGES ARE ALIGNED.

ſ	Loss Function	Webface	Webface(a)	VGGFace	VGGFace(a)
Ì	S(e)	60.4	64.3	54.7	58.3
	S + C(e) [13]	70.4	74.5	64.7	68.8
	S + M(e)	71.7	74.9	65.8	69.3
ı	S + C + M(e)	73.2	76.1	67.9	72.3
ı	C + M(tune)(e)	72.5	74.8	66.4	70.6
	M(tune)(e)	71.6	74.5	65.8	69.8

improves the center loss to some degree (e.g. from 98.23% to 99.09% in LFW, from 76.41% to 78.42% in MegaFace). In the identification task (Table VI), S+C performances well and M makes some improvements (e.g. from 65.23% to 69.68%, from 68.8% to 72.3% in VGGFace(a)).

2) Loss Function and Verification Distance: As Fig. 2(a) shows, features from the same identity are inclined to the similar direction with the supervision of softmax loss, while the center and margin loss are based on Euclidean distance. And we compare two distances in face verification. When softmax loss is included in the joint loss function ($\lambda_0 \neq 0$), the verification accuracy based on Cosine distance is higher than that based on Euclidean distance. On the contrary, the Euclidean distance is more competitive when softmax loss is abandoned. When the distances of training and verification task are consistent, the performance becomes better.

IV. CONCLUSION

We propose a new loss function termed margin loss for face recognition network. In this loss function, we enlarge the inter-class distances and reduce intra-class variations in the same time. In addition, we define margin in our loss function to focus on the hard samples, which makes our algorithm more effective. The experimental results show our method is competitive in verification and recognition tasks. In this paper, margin loss is based on Euclidean distance, and verification task based Euclidean distance reaches the climax when softmax is abandoned in the later phase. We aim to develop a general version of margin loss to fit different kinds of distances and networks in future work.

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5

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