

## Induction Intro

### Note 3

Natural numbers start at 0, and there is always a next one. For predicates on natural numbers the *principle of induction* is:  $\forall n \in \mathbb{N}, P(n) \equiv P(0) \wedge \forall n, P(n) \implies P(n+1)$ .

That is, to prove  $P(n)$  for natural numbers one proves  $P(0)$ , the *base case*, and  $\forall n, P(n) \implies P(n+1)$ , the *induction step*. In the induction step, the assumption that  $P(n)$  is true is called the *induction hypothesis* which is typically used to argue that  $P(n+1)$  is true.

An example is the statement  $P(n) = \sum_{i=0}^n i = \frac{n(n+1)}{2}$ . The base case,  $P(0)$ , is the observation that  $\sum_{i=0}^0 i = 0$ . In the induction step, the induction hypothesis,  $P(n)$ , is  $\sum_{i=0}^n i = \frac{(n)(n+1)}{2}$ . The induction step proceeds as follows:

$$\sum_{i=0}^{n+1} i = \sum_{i=0}^n i + n + 1 = \frac{(n)(n+1)}{2} + n + 1 = \frac{(n+1)(n+2)}{2}.$$

The first equality follows from the definition of the notation,  $\sum$ , the second substitutes the induction hypothesis and the last is algebra. And what is proven is  $P(n+1)$ , which is that  $\sum_{i=0}^{n+1} i = \frac{(n+1)(n+2)}{2}$ .

Another and equivalent view of the natural numbers are that there are the numbers 0 to  $n$  and then there is  $n+1$ . The *strong induction principle* is that

$$\forall n \in \mathbb{N}, P(n) \equiv P(0) \wedge \forall n, ((\forall k \leq n) P(k)) \implies P(n+1).$$

Here the induction hypothesis is that  $P(k)$  is true for all values  $k \leq n$ . To prove that every natural number  $n \geq 2$  can be written as a product of primes, we take the base case as  $P(2)$  which can be written as 2, which is a product of a prime. And for any  $n$ , if it is prime, it can be written as itself, otherwise  $n = ab$  and by the inductive hypotheses  $P(a)$  and  $P(b)$  is that each can be written as a product of primes. Thus, we can write  $n$  as the product of the primes in both  $a$  and  $b$ . Note here that the base case starts at 2, which illustrates that one chose the base case as is relevant to the statement being proven.

*Strengthening the induction hypothesis* is a technique proves a stronger theorem. The example, the notes consider the theorem *The sum of the first  $n$  odd numbers is a perfect square*. In fact, the notes inductively prove the stronger theorem *The sum of the first  $n$  odd numbers is  $n^2$* . Here, the stronger inductive hypothesis allows the induction step to proceed easily.

# 1 Natural Induction on Inequality

Note 3

Prove that if  $n \in \mathbb{N}$  and  $x > 0$ , then  $(1+x)^n \geq 1+nx$ .

base case:  $n=0$ :  $1 \geq 1$  ✓

induction hypothesis:  $n=k$ ,  $(1+x)^k \geq 1+kx$

induction steps:  $(1+x)^{k+1} = (1+x)^k(1+x) \geq (1+kx)(1+x)$   
 $= 1 + x + kx + kx^2 \geq 1 + (k+1)x$

□

## 2 Make It Stronger

Note 3

Suppose that the sequence  $a_1, a_2, \dots$  is defined by  $a_1 = 1$  and  $a_{n+1} = 3a_n^2$  for  $n \geq 1$ . We want to prove that

$$a_n \leq 3^{(2^n)}$$

for every positive integer  $n$ .

- (a) Suppose that we want to prove this statement using induction. Can we let our inductive hypothesis be simply  $a_n \leq 3^{(2^n)}$ ? Attempt an induction proof with this hypothesis to show why this does not work.

$a_1 \leq 3^{(2^1)} = 9$  ✓  
 $n=k$ :  $a_k \leq 3^{(2^k)}$   
 $n=k+1$ :  $a_{k+1} = 3a_k^2 \leq 3 \cdot (3^{(2^k)})^2 = 3 \cdot 3^{2 \cdot 2^k} = 3^{2^{k+1} + 1}$ , there's a additional 3!

- (b) Try to instead prove the statement  $a_n \leq 3^{(2^n - 1)}$  using induction.

$a_1 = 1 \leq 3^{(2^1 - 1)} = 3$  ✓  
 $n=k$ :  $a_k \leq 3^{(2^k - 1)}$   
 $n=k+1$ :  $a_{k+1} = 3a_k^2 \leq 3 \cdot (3^{(2^k - 1)})^2 = 3 \cdot 3^{2 \cdot (2^k - 1)} = 3^{2^{k+1} - 2 + 1} = 3^{(2^{k+1} - 1)}$  ✓

- (c) Why does the hypothesis in part (b) imply the overall claim?

Because  $3^{(2^k - 1)} \leq 3^{(2^k)}$

c.b.  $\Rightarrow$  overall claim

### 3 Binary Numbers

Note 3

Prove that every positive integer  $n$  can be written in binary. In other words, prove that for any positive integer  $n$ , we can write

$$n = c_k \cdot 2^k + c_{k-1} \cdot 2^{k-1} + \dots + c_1 \cdot 2^1 + c_0 \cdot 2^0,$$

for some  $k \in \mathbb{N}$  and  $c_i \in \{0, 1\}$  for all  $i \leq k$ .

$$n = 1 \checkmark$$

induction hypothesis.  $n = 1, \dots, k$ ,  $n$  could be write as binary

induction steps.  $n = k+1$ : ① if  $2 \mid k+1$ ,  
then  $\frac{k+1}{2} = c_m 2^m + c_{m-1} 2^{m-1} + \dots + c_0 2^0$  ( $\frac{k+1}{2} \leq k$ )  
 $k+1 = \frac{k+1}{2} \times 2 = c_{m+1} 2^{m+1} + c_m 2^m + \dots + c_1 2^1 + 0 \cdot 2^0$

② if  $2 \nmid k+1$   
then  $\lfloor \frac{k+1}{2} \rfloor = c_m 2^m + \dots + c_0 2^0$  ( $\lfloor \frac{k+1}{2} \rfloor \leq k$ )  
 $k+1 = \lfloor \frac{k+1}{2} \rfloor \times 2 + 1 = c_{m+1} 2^{m+1} + \dots + c_1 2^1 + 1 \cdot 2^0$

### 4 Fibonacci for Home

Note 3

Recall, the Fibonacci numbers, defined recursively as

$$F_1 = 1, F_2 = 1, \text{ and } F_n = F_{n-2} + F_{n-1}.$$

Prove that every third Fibonacci number is even. For example,  $F_3 = 2$  is even and  $F_6 = 8$  is even.

To prove  $F_{3n}$  is even ( $n = 1, 2, 3, \dots$ )

$$n=1: F_3 = F_1 + F_2 = 2 \text{ is even}$$

$$n=k: F_{3k} \text{ is even}$$

$$\begin{aligned} n=k+1: F_{3(k+1)} &= F_{3k+3} = F_{3k+2} + F_{3k+1} \\ &= F_{3k+1} + F_{3k} + F_{3k+1} \\ &= 2F_{3k+1} + F_{3k} = 2a + b = 2(a+b) \\ &= \text{even} + \text{even} = \text{even} \checkmark \end{aligned}$$