# CSE/ECE 848 Introduction to Evolutionary Computation

Module 2, Lecture 8, Part 1a Introduction to Schema Theory

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## Explaining Why a GA Works – Intro to GA Theory

- This lecture: introduction to schema theory
- Next few lectures: some classical results:
  - Schema theorem explains how building blocks are assembled
  - Implicit parallelism explains that each evaluation provides information on many possible candidate solutions
  - k-Armed Bandit problem how the GA allocates search effort among schemata

### What is a GA DOING?

## -- Schemata and Hyperstuff

- Schema -- adds "\*" to alphabet, means "don't care" any value
- One schema, two schemata (forgive occasional misuse in Whitley)
- Definition: ORDER of schema H -- o(H): # of non-\*'s
- Def.: Defining Length of a schema,  $\Delta(H)$ : distance between first and last non-\* in a schema; for example:  $\Delta$  (\*\*1\*01\*0\*\*) = 5 (= number of positions where 1-pt crossover can disrupt it). (NOTE: diff. xover  $\rightarrow$  diff. relationship to defining length)
- Strings or chromosomes or individuals or "solutions" are order L schemata, where L is length of chromosome (in bits or loci). Chromosomes are INSTANCES (or members) of lower-order schemata

## Cube and Hypercube

Vertices are order? schemata

Edges are order? schemata

Planes are order? schemata

Cubes (a type of hyperplane) are order? schemata

8 different order-1 schemata (cubes): 0\*\*\*, 1\*\*\*, \*0\*\*, \*1\*\*, \*\*\*0\*, \*\*1\*, \*\*\*0, \*\*\*1

Figure from Whitley, A Genetic Algorithm Tutorial, https://www.cs.colostate.edu/~genitor/MiscPubs/tutorial.pdf

## Hypercubes, Hyperplanes, etc.

- A string is an instance of 2<sup>L</sup>-1 schemata or a member of that many hyperplane partitions (-1 because \*\*\*\*...\*\*\* all \*'s, the whole space, is not counted as a schema, per Holland)
- List them, for L=3: example: string 101
   101, 10\*, 1\*0, \*01, \*\*1, \*0\*, 1\*\*
- What is the fitness of a schema H?
  We define the fitness of schema H at any time t: f(H, t),
  as the average fitness of the INSTANCES of schema H in the current population (since we can't calculate its theoretical fitness without evaluating ALL of its instances)

## **GA Sampling of Hyperplanes**

So, in general, string of length L is an instance of 2<sup>L</sup>-1 schemata

But how many schemata are there in the whole search space?

(how many choices each locus?)

Since one string instances 2<sup>L</sup>-1 schemata, how much does a population tell us about schemata of various orders?

Implicit parallelism: one string's fitness tells us something about relative fitnesses of more than one schema