

# 11

## Some Fundamental Algorithms for Arrays: Searching and Sorting

### INTRODUCTION—BUILDING, SEARCHING, AND SORTING AS TYPICAL OPERATIONS PERFORMED WITH ARRAYS

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In Chapter 10, we introduced the array data structure and gave several examples: an array of `ints`, arrays of `Strings`, an array of `Card` objects. In this chapter we will describe a class that uses an array: a class `NameList` that maintains a list of `Name` objects. What distinguishes a list from an array? A list object maintains a counter that keeps track of the number of objects in the array. The `NameList` class will have two instance variables: an array of `Name` objects and an `int` variable that keeps track of the number of `Name` objects currently in the list. We could pretend that this list of names is a list of invitees to a party. We might also imagine looking through the list to find a particular name. That action is called *searching* the list. If our list were long enough, it would be a tough job to find a particular name if the elements in the list were in some random order. Putting the list in alphabetical order could be enormously helpful before searching for any particular name in the list. Rearranging a list so that its elements are in some prescribed order is called *sorting* the list.

When we add an element to the list, it is typical simply to put the new element at the end of the list, disregarding its correct position with respect to the names already in the list. However, we might want to build the list from scratch so that it is in order after each new element is added. This kind of list building removes the need to sort the list. However, there may be a trade off in terms of efficiency. It may be that it takes more effort to maintain the list in order than to simply add items to the end of the list and periodically sort the list. We will discuss each of the actions described above in this chapter. Since we know that we will be dealing with names and alphabetical order will be an issue, we will first develop a `Name` class for elements of our list.

## *The Name Class*

### Instance Variables and Constructors

We usually think of a person's name as having three distinct parts: the first name, the middle name, and the family name. Each part of the name is easily represented by a `String` object. Hence, our `Name` class will have three instance variables of class `String`:

```
public class Name {  
    private String myFirst; // reference to the first name  
    private String myMiddle; // reference to the middle name  
    private String myFamily; // reference to the family name
```

It makes sense to have a constructor that has three parameters corresponding to each of the three `Strings`. The following constructor is similar to the constructor we used for the `Card` class in Chapter 9, except that all parameters are `Strings` this time.

```
public Name (String theFirst, String theMiddle, String theFamily) {  
    myFirst = theFirst;  
    myMiddle = theMiddle;  
    myFamily = theFamily;  
} // 3 parameter constructor
```

Some people do not have middle names. For those names, let us have a two parameter constructor that defaults the middle name field to an empty `String`.

```
public Name (String theFirst, String theFamily) {  
    myFirst = theFirst;  
    myMiddle = "";  
    myFamily = theFamily;  
} // 2 parameter constructor
```

### Access and Modifier Methods for the Instance Variables

We should write `get` and `set` methods for the three `String` instance variables. We will write the methods for the `myFirst` instance variable and leave the others as an exercise. A typical access method has no parameters and returns a reference to the object that is the instance variable. Hence, we have the following access or “`get`” method for the `myFirst` instance variable.

```
public String getFirst() {  
    return myFirst;  
} // getFirst
```

A typical modifier method has a parameter of the same class as the instance variable, has return type `void`, and simply performs an assignment of the parameter to the appropriate instance variable. Hence, we have the following modifier or “`set`” method for the `myFirst` instance variable.

```
public void setFirst(String theFirst) {  
    myFirst = theFirst;  
} // setFirst
```

**Exercise 11.1:** Write access and modifier methods for the `myMiddle` and `myFamily` instance variables of the `Name` class.

### Methods of the `String` Class to Assist in Comparing `Name` Objects

We know that we will be constructing an array of `Name` objects and doing the work to alphabetize the list. Hence, we will need some way to determine if one `Name` is before another in alphabetical order. If two `Names` are compared and the `myFamily` instance variables are different, we can easily determine which `Name` comes first in alphabetical order. For example, Li Santi comes before Mann. If, however, the `myFamily` instance variables are identical, we need to compare the `myFirst` instance variables of the `Names` to determine which name comes first in alphabetical order. For example, George Mann comes before Lydia Mann. In the less likely circumstance that two `Names` match in both the `myFamily` instance variables and the `myFirst` instance variables, we would need to compare the `myMiddle` instance variables to determine which `Name` comes first in alphabetical order. For example, George Millard Mann comes before George Richard Mann in alphabetical order.

The `String` class provides us with two methods to assist in this situation, the `equals` method and the `compareTo` method. Using the relational operator `==` compares references, not the contents of the objects to which they refer. Using `==` with references is essentially asking if the two references point to the same address for an object in memory. In most cases, we need to know if two distinct objects can be considered as having the same data stored in their instance variables. Hence, we usually do not use `==` when we compare objects.

The `equals` method in the `String` class is used as follows:

```
<invoking String>.equals (<argument String>)
```

The `equals` method of the `String` class returns `true` if the invoking `String` and the argument `String` have the same length and characters. The `equals` method of the `String` class returns `false` if the invoking `String` and the argument `String` are not identical. The signature of the `equals` method in the `String` class is `equals (Object)`. Because the `Object` class is the super class of the `String` class, any `String` is an `Object`. When the `equals` method of the `String` class is invoked with an argument that is a reference to a `String` object, the semantics of parameter passing are the same as assignment. In other words, it is as though the argument `String` is assigned to the parameter `Object`. The `equals` method of the `String` class is written in this way so that it will override the `equals` method of the `Object` class that is inherited by the `String` class. If the `equals` method of the `String` class had instead a `String` parameter, its signature would not match the `equals` method of the `Object` class and the `equals` method of the `Object` class would not be overridden. The `equals` method in the `Object` class returns `true` only if the same object is both the invoking object and the parameter object. In other words, the `equals` method in the `Object` class returns `false` when the invoking object and the parameter object are really two distinct objects no matter what values their instance variables have. Every class in Java inherits from the `Object` class. It is typically the case that

classes will override the `equals` method that is inherited from `Object` because it is the instance variables of the objects that will be inspected to determine if the two objects should be considered as equal.

The `compareTo` method in the `String` class is used to compare the invoking `String` to the parameter `String` and return an `int` result that can be interpreted in the context of alphabetical ordering. In general, use the following format for an invocation of (call to) the `compareTo` method:

```
<invoking String>.compareTo (<argument String>)
```

Internally, letters of the alphabet are coded with 'a' less than 'b', 'b' less than 'c', ... 'y' less than 'z'. Similarly, 'A' is less than 'B'; 'B' is less than 'C', ... 'Y' is less than 'Z'. Another fact you need to know is that the entire upper case alphabet is encoded into binary values smaller than 'a'. Hence, "Zebra" is less than "aardvark" because 'Z' is less than 'a'.

The `compareTo` method returns a negative `int` if the `<invoking String>` comes before the `<argument String>` according to the internal Unicode representations of the `Strings`. For example

```
("cat").compareTo("dog")
```

returns a negative `int` value.

The `compareTo` method returns a positive `int` if the `<invoking String>` comes after the `<argument String>` according to the internal Unicode representations of the `Strings`.

The `compareTo` method returns zero if the `<invoking String>` is identical to the `<argument String>` according to the internal Unicode representations of the `Strings`.

To use `compareTo` in a conditional expression, you must use a relational operator to compare the `int` returned by `compareTo` to zero. For example, the following is a `boolean` valued expression

```
("cat").compareTo("dog") < 0
```

that evaluates to `true`. In general, you might think of

```
("cat").compareTo("dog") <operator> 0
```

as

```
("cat")<operator> ("dog")
```

The `compareTo` and the `equals` methods of the `String` class are consistent in that `s1.equals(s2)` is true if and only if `s1.compareTo(s2) == 0` is true.

The `String` class has a `compareTo` method that works as described above because the `String` class implements the `Comparable<String>` interface. A Java interface provides a list of methods (signature and return type) whose details must be supplied by any class that implements the interface.

The `Comparable<T>` interface looks like the following:

```
public interface Comparable<T> {
    int compareTo(T obj);
} // Comparable<T>
```

Hence, any class that implements the `Comparable<T>` interface must provide the details of a method named `compareTo` that has one parameter of class `T` and returns an `int` value. The `<T>` after `Comparable` is a *type parameter*. We will discuss type parameters in the next chapter. Each specific class that implements `Comparable<T>` will supply a type for `T`. In the case of the `String` class, it makes sense that the type parameter `T` will be replaced by `String`. This is reflected in that the header for the `String` class includes “implements `Comparable<String>`”.

### Writing an `equals` Method for the `Name` Class<sup>1</sup>

Using the `equals` method of the `String` class as a model, let us write an `equals` method for our `Name` class. This method will be invoked by a `Name` object and will return `true` when that invoking object has all instance variables equal to the corresponding instance variables of the argument supplied to the method. A typical invocation of the `equals` method will have the following format:

```
<invoking Name object>.equals (<argument Name object>)
```

We use the signature and return type of the `equals` method of the `String` class as a model for the `equals` method of the `Name` class. The return type of the `equals` method is `boolean` and the `equals` method will have one parameter of class `Object`. Hence, the `equals` method of the `Name` class will override the inherited `equals` method of the `Object` class. Note in the code below that `myFirst`, `myMiddle`, and `myFamily` when occurring alone refer to the invoking object. We need to produce a `Name` reference for the parameter in order to reference its instance variables. We cannot simply use an `Object` reference and expect that the compiler to agree that an `Object` reference has instance variables named `myFamily`, `myFirst`, and `myMiddle`. We declare a reference to a `Name` object, `theName`, and assign it the result of casting the parameter to class `Name`. If somehow the parameter sent to the `equals` method is not really a reference to a `Name` object, the code below will throw a `ClassCastException` to indicate the problem.

```
public boolean equals(Object theOther) {
    Name theName = (Name)theOther;
    return myFamily.equals(theName.myFamily)
        && myFirst.equals(theName.myFirst)
        && myMiddle.equals(theName.myMiddle);
} // equals
```

### Writing a `compareTo` Method for the `Name` Class

Let's do the work to make our `Name` class implement the `Comparable<Name>` interface. First we add to the class header to declare our intention to implement `Comparable<Name>` as follows:

```
public class Name implements Comparable<Name> {
```

<sup>1</sup>Whenever we override the `equals` method of the `Object` class and we want to use some of the data structures supplied by the Java API, we also must override the `hashCode` method of the `Object` class.

Next, we provide a `compareTo` method that has one `Name` parameter and returns an `int` value that is negative if the invoking `Name` is before the parameter `Name`, zero if the invoking `Name` equals the parameter `Name`, and positive if the invoking `Name` is after the parameter `Name`. We use the `int` values returned by the `String` class's `compareTo` method applied to each corresponding instance variable of the invoking `Name` and the parameter `Name`. We begin by comparing the `myFamily` instance variables. If `String`'s `compareTo` returns a value other than zero, we can simply return that value and be done. If `String`'s `compareTo` returns zero as the result of comparing the `myFamily` instance variables, we must go on to compare the `myFirst` instance variables. If `String`'s `compareTo` returns a value other than zero for the comparison of the `myFirst` instance variables, we can simply return that value and be done. If `String`'s `compareTo` returns zero for the `myFirst` instance variables, we must proceed to compare the `myMiddle` instance variables and can return that result provided by `String`'s `compareTo`. The code is as follows:

```
public int compareTo(Name theName) {
    int compareFamily = myFamily.compareTo(theName.myFamily);
    if (compareFamily != 0) {
        return compareFamily;
    } // family names were different
    int compareFirst = myFirst.compareTo(theName.myFirst);
    if (compareFirst != 0) {
        return compareFirst;
    } // first names were different with identical family names
    return myMiddle.compareTo(theName.myMiddle);
} // compareTo
```

Note that since the code in the `compareTo` method selects among `return` statements, we do not need to use `else`.

**Exercise 11.2:** Write a `before` method for the `Name` class. The `before` method should have one `Name` parameter and have `boolean` return type. The `before` method needs only one line of code. The `before` method should return `true` only if the `compareTo` method returns a negative value when passed the same parameter.

**Exercise 11.3:** Write an `after` method for the `Name` class. The `after` method should have one `Name` parameter and have `boolean` return type. The `after` method needs only one line of code. The `after` method should return `true` only if the `compareTo` method returns a positive value when passed the same parameter.

**Exercise 11.4:** Write a `toString` method for the `Name` class. The `toString` method should return the family name, followed by a comma and a space, followed by the first name, followed by a space, followed by the middle name. For example, the `toString` method could return:

Mann, Lydia Michelle

If a middle name has not been specified and the `Name` constructor has assigned the empty string `""` to the `myMiddle` instance variable, your `toString` method should work appropriately. In other words your `toString` method could return:

Smith, Fred

### *The NameList Class*

#### Instance Variables of the NameList Class

As mentioned in the introduction to this chapter, the `NameList` class will have two instance variables: an array of `Name` objects and an `int` that holds the count of `Name` objects currently in the list. Hence, the `NameList` class will begin as follows:

```
public class NameList {
    private Name myArray[]; //refers to the array of Names
    private int myCount; // holds the current count of Names in the list
```

#### Constructors for the NameList Class

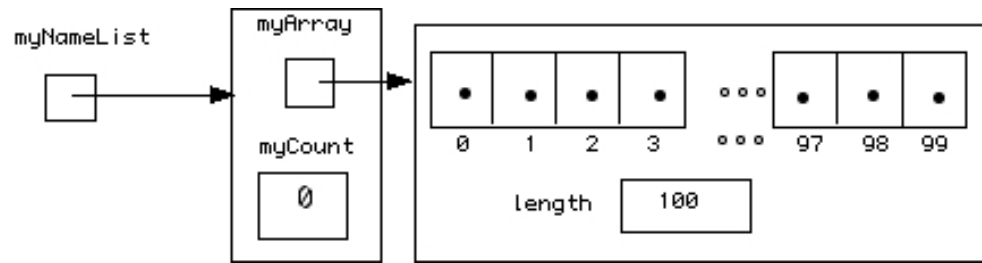
We will write two constructors for our `NameList` class. One constructor will have no parameters and use a default value of `100` for the length of the array `myArray`. The second constructor will have one parameter that will be used as the length of the array `myArray` when it is constructed. In both constructors, we initialize `myCount` to zero to indicate that our `NameList` objects are empty just after they are constructed. Below are the zero parameter and one parameter constructors.

```
public NameList () {
    myArray = new Name[100];
    myCount = 0;
} // 0 parameter constructor

public NameList (int theMax) {
    myArray = new Name[theMax];
    myCount = 0;
} // 1 parameter constructor
```

If an application were to execute the following instruction on a reference to a `NameList` object, the situation in memory that results is shown below.

```
myNameList = new NameList();
```



### Instance Methods for the NameList Class

We will write several methods for our `NameList` class.

- 1) In order for an object of the `NameList` class to be useful, we will need to be able to add `Name` objects to the list. Hence, our `NameList` class should have an `add` method that has a `Name` object as a parameter. Our `add` method will have the return type `boolean`. The `add` method should add the parameter to the end of the list. The `add` method should return `true` if the `Name` object was successfully added to the list or return `false` if the `Name` object was not added to the list.
- 2) Since the list is implemented as an array, it can contain a fixed maximum number of `Name` objects. Hence, it will be useful for us to have a `boolean` valued method named `isFull` that returns `true` if the array is full, meaning that no more names can be added to the list.
- 3) We will also want to be able to search for a specific name in the list. Hence, we will write a `search` method whose return type is `Name`. If the `search` method locates a `Name` object in the list that is `equal` to a supplied parameter, the `search` method will return a reference to that `Name` object. If an equal `Name` object is not found, the `search` method will return a `null` reference.
- 4) Finally, we will want to sort the list into alphabetical order and hence, we will write a `sort` method for our `NameList` class.

### The add Method

The code written in the `add` method should be defensive. It should check to make sure that the array is not full before adding the parameter to the list. Our code below uses the `length` instance variable that accompanies any array reference to accomplish this test. In addition, we use the post-increment operator to increment the `myCount` instance variable and save a line of code.

```
public boolean add(Name theName) {
    if (myCount < myArray.length ) {
        myArray[myCount++] = theName;
        return true;
    } // add was successful
    System.out.println("Attempting to add to full array");
    return false;
} //add
```



Note that if the `return true;` statement is executed, no other code in the method is executed. Hence, an `else` clause could be used, but is not really necessary and would violate Java coding conventions.

### The `isFull` Method

The `isFull` method should simply return `true` if the `myCount` instance variable has the same value as the `length` of the array and `false` otherwise. This method can be written with an `if` statement, but we have chosen to write the most concise code by returning the appropriate `boolean` valued expression.

```
public boolean isFull() {
    return myCount == myArray.length;
} //isFull
```

Note that we could have written this method with an `if` statement as follows:

```
public boolean isFull() {
    if( myCount == myArray.length) {
        return true;
    } //if
    return false;
} //isFull
```

Also note that we could have used the `isFull` method in our `add` method as follows: Pay particular attention to the use of the `!` for logical negation.

```
public boolean add(Name theName) {
    if (!isFull()) {
        myArray[myCount++] = theName;
        return true;
    } // add was successful
    System.out.println("Attempting to add to full array");
    return false;
} //add
```

### A `toString` Method for the `NameList` Class

We have made good use of `for` loops in conjunction with arrays. In fact, we can think of the following first line of a `for` loop as the template for processing each element in an array.

```
for (int i = 0; i < <actual number of elements in the array> ; i++) {
    //process array element with index i
} //for
```

We can put this template to use in producing a `String` representation of a `NameList` object. In this case we will be overriding the `toString` method that `NameList` inherits from the `Object` class. We will start with an empty `String` and concatenate in turn each

element of the list, relying on the `toString` method supplied by the `Name` class. The code for our method is as follows:

```
public String toString() {
    String stringToReturn = "";
    for (int i = 0; i < myCount; i++) {
        stringToReturn = stringToReturn +
            myArray [i].toString()+"\n"; //"\n" for the new line character
    }//for
    return stringToReturn;
} //toString
```

We can shorten the code by using the `+=` operator as follows:

```
stringToReturn += myArray [i].toString()+"\n";
```

We can further shorten the code by remembering that the `+` represents string concatenation when one of its operands is a `String`. In that case, the type of the other operand will be coerced to `String`. Hence, it will be done implicitly for us and we do not need to invoke the `toString` method of class `Name` explicitly. Our further shortened code is as follows:

```
stringToReturn += myArray [i] + "\n";
```

Hence, our final version of the `toString` method of class `NameList` is:

```
public String toString() {
    String stringToReturn = "";
    for (int i = 0; i < myCount; i++) {
        stringToReturn += myArray [i] + "\n"; //"\n" for the new line character
    }//for
    return stringToReturn;
} //toString
```

### The search Method and the while Loop

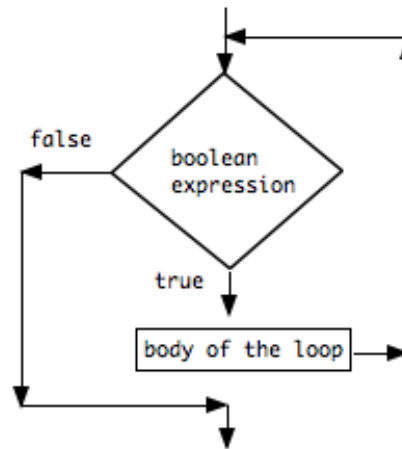
Now, we want to search for an item in an array, and if we find it, return the reference to it. In this case, we want to stop processing elements in the array if we find the element for which we are looking. A `for` loop is not really appropriate here. We need a Java statement that supports indefinite iteration. The `while` statement does the job for us. Recall from Chapter 6, the syntax of the `while` statement is as follows:

```
while ( <boolean valued expression> ) {
    body of the loop
} // while
```

The semantics of the `while` statement are as follows.

- 1) The `boolean` valued expression is evaluated.
- 2) If the expression is `true`, the body of the loop is executed and flow of execution loops back to the top of the loop where the `boolean` valued expression is found (i.e., back to step 1), and otherwise, the body of the loop is skipped and execution proceeds to the statement after the body of the loop.

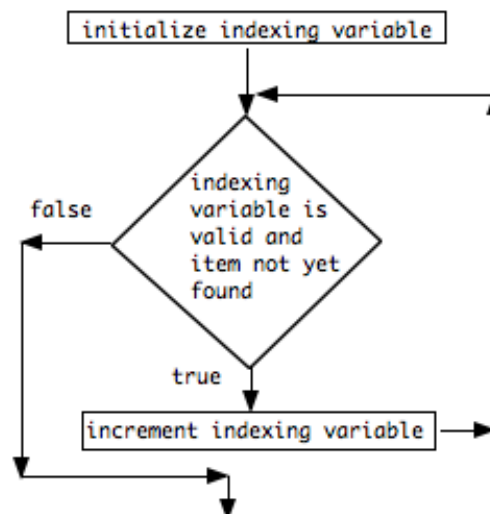
The semantics of the **while** loop are described visually in the following flow diagram.



In the case of searching for a particular item in a list, we must declare and initialize an indexing variable for the array before we evaluate the **boolean** expression. In addition, the **boolean** expression must function in two ways. In general, the **boolean** expression should evaluate to **true** if we want the loop body executed again. As it did in the case of the **for** loop, the boolean expression checks to make sure that the indexing variable *i* is still valid. In general, *i* should be less than the value of **myCount** in order to be valid. In addition, when we are searching, we want to continue searching as long as we have not already found the item for which we are searching. Hence, the condition for the **while** loop to continue iteration can be expressed as :

<indexing variable is valid> AND  
 <current array element is not equal the item for which we are searching>

It is important that we check the validity of the index *before* we use it to access an array element. The body of the loop needs only get us ready to test the next element of the array. Hence, it simply increments the indexing variable *i*. The searching loop is displayed visually below.



Consider the possible situations that could exist upon exit from the **while** loop:

- 1) It may be that the item was not found in the list. In this case the loop ceases iteration because the indexing variable **i** is equal to **myCount** and is invalid.
- 2) It may be that the item was found in the list. In this case, the indexing variable **i** should still be less than **myCount**.

Because there are two possible situations, a selection statement is needed to determine the value to be returned, a reference to the found item or a **null** reference.

The following **search** method conforms to the discussion above.

```
public Name search (Name theName) {
    int i = 0;
    while ( i < myCount && !(myArray[i].equals(theName))) {
        i++;
    } //while
    return (i < myCount ? myArray[i] : null);
} //search
```

### sort Methods

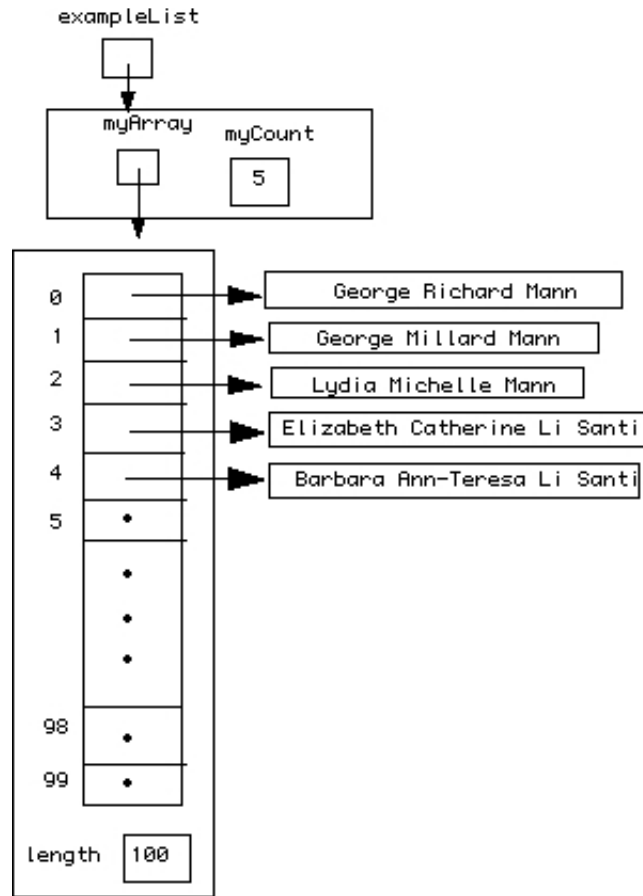
There are many different ways to sort arrays. We will discuss three different methods. We will write the code for one method and leave the coding of the other two methods as exercises.

#### Bubble Sort

In bubble sort, adjacent array elements are compared and if found to be out of order with respect to each other, the references are swapped to put the two compared elements in order. Many pairs of array elements must be compared to accomplish complete sorting. Let us look at a short list of 5 **Name** objects and see how bubble sort would get them into alphabetical order. Recall that our **add** method has **boolean** return type. We can use that fact to have the code test for each successful addition to the list. We will construct a **NameList** object and add 5 **Name** objects to the list as follows:

```
NameList exampleList = new NameList();
if (exampleList.add(new Name("George", "Richard", "Mann")) &&
    exampleList.add(new Name("George", "Millard", "Mann")) &&
    exampleList.add(new Name("Lydia", "Michelle", "Mann")) &&
    exampleList.add(new Name("Elizabeth", "Catherine", "Li Santi")) &&
    exampleList.add(new Name("Barbara", "Ann-Teresa", "Li Santi")) ) {
    System.out.println("Five names added to the list.");
} else {
    System.out.println("Trouble in adding 5 names to the list.");
} // else
```

Assuming that all 5 adds were successful, in memory we have the following **NameList** object named **exampleList**:



When we compare array elements in indices **0** and **1**, we find that they are out of order because George Millard Mann comes before George Richard Mann. Hence, we swap them and get the following list:

0. George Millard Mann
1. George Richard Mann
2. Lydia Michelle Mann
3. Elizabeth Catherine Li Santi
4. Barbara Ann-Teresa Li Santi

We continue to compare by comparing array elements in indices **1** and **2**. We find that they are in order since Lydia Michelle Mann is after George Richard Mann. We continue to compare by comparing array elements in indices **2** and **3**. We find again that they are out of order since Elizabeth Catherine Li Santi is before Lydia Michelle Mann. Hence, we swap the references and have the following list.

0. George Millard Mann
1. George Richard Mann
2. Elizabeth Catherine Li Santi
3. Lydia Michelle Mann
4. Barbara Ann-Teresa Li Santi

We continue to compare by comparing array elements in indices 3 and 4. We find again that they are out of order since Barbara Ann-Teresa Li Santi is before Lydia Michelle Mann. Hence, we swap the references and have the following list.

0. George Millard Mann
1. George Richard Mann
2. Elizabeth Catherine Li Santi
3. Barbara Ann-Teresa Li Santi
4. Lydia Michelle Mann

Note now that we have completed one pass through the list and we have accomplished getting the name that is last in alphabetical order into the last position. That name does not need to be involved in subsequent comparisons. It is as though we now have a list of four names to alphabetize and those names are in positions 0 through 3.

We begin the second pass over the array by comparing array elements in indices 0 and 1. We find that they are in order. We continue by comparing array elements in indices 1 and 2. We find that they are out of order since Elizabeth Catherine Li Santi is before George Richard Mann. Hence, we swap the references and have the following list.

0. George Millard Mann
1. Elizabeth Catherine Li Santi
2. George Richard Mann
3. Barbara Ann-Teresa Li Santi
4. Lydia Michelle Mann

We continue by comparing array elements in indices 2 and 3. We find again that they are out of order since Barbara Ann-Teresa Li Santi is before George Richard Mann. Hence, we swap the references and have the following list:

0. George Millard Mann
1. Elizabeth Catherine Li Santi
2. Barbara Ann-Teresa Li Santi
3. George Richard Mann
4. Lydia Michelle Mann

We have finished the second pass over the list and the two names that are last in alphabetical order are now last in the list. We now have a list of three names to sort and those names are in index positions 0 through 2.

We begin the third pass over the list by comparing array elements in indices 0 and 1. We find that they are out of order since Elizabeth Catherine Li Santi is before George Millard Mann. Hence, we swap the references and have the following list.

0. Elizabeth Catherine Li Santi
1. George Millard Mann
2. Barbara Ann-Teresa Li Santi
3. George Richard Mann
4. Lydia Michelle Mann

We continue to compare by comparing array elements in indices 1 and 2. We find that they are out of order since Barbara Ann-Teresa Li Santi is before George Millard Mann. Hence, we swap the references and have the following list.

0. Elizabeth Catherine Li Santi
1. Barbara Ann-Teresa Li Santi
2. George Millard Mann
3. George Richard Mann
4. Lydia Michelle Mann

We have finished the third pass over the list and the three names that are last in alphabetical order are now last in the list. We now have a list of two names to sort and those names are in index positions 0 and 1.

We begin the fourth and final pass over the list by comparing array elements in indices 0 and 1. We find that they are out of order since Barbara Ann-Teresa Li Santi is before Elizabeth Catherine Li Santi. Hence, we swap the references and have the following list which is completely alphabetized since there is only a list of one element left to be alphabetized and that list is automatically in order.

0. Barbara Ann-Teresa Li Santi
1. Elizabeth Catherine Li Santi
2. Lydia Michelle Mann
3. George Millard Mann
4. George Richard Mann

We need to analyze the pattern of comparisons and abstract that analysis to any size list. The first pass over the list included the following comparisons denoted here as ordered pairs: (0,1), (1,2), (2,3), (3,4). The second pass made the following position comparisons: (0,1), (1,2), (2,3). The third pass made the following position comparisons: (0,1), (1,2). Finally, the last pass made only one comparison (0,1). In each of these comparisons, the second position is one more than the first position. Hence we could abstractly represent all of our ordered pairs as (j, j+1). In each pass j starts at 0. But in each pass the final value of j is one less than it was in the previous pass. Let us look further for a pattern.

pass number	final value of j
1	3
2	2
3	1
4	0

Remember that there were 5 names in the list because `myCount` had value 5. If we had an `int` variable to count the passes over the list named `passNum`, then the final value of j in each pass would be

```
myCount - passNum - 1
```

From a top-down design perspective, we need a **for** loop that counts the pass numbers from 1 through `myCount - 1`:

```
for(int passNum = 1; passNum < myCount; passNum++) {  
    //code for one pass here  
} //for loop that controls passes over the data
```

Within that **for** loop, we need another **for** loop with loop control variable `j`. Each time the inner loop is executed `j` begins at 0 and ends at `myCount - passNum - 1`.

```
for(int passNum = 1; passNum < myCount; passNum++) {  
    for (int j = 0; j < myCount - passNum; j++) {  
        // put comparison and possible swap code here for (j, j+1)  
    } //inner for loop for one pass  
} //for loop that controls passes over the data
```

The comparison and swap code is dependent on a **before** method in the **Name** class (Exercise 11.2). We want to do a swap of references if the element in position `j+1` is **before** the element in position `j`. We can carefully write the correct selection statement as follows:

```
if(myArray[j+1].before(myArray[j])) {  
    swap(j, j+1);  
} //if
```

Note the use of top-down design once more. We put off the details of the swapping by invoking a **swap** method that takes the two **int** positions as arguments. The **swap** method can be **private** in the **NameList** class and is similar to the **swap** method you saw in the **Deck** class in Chapter 10.

```
private void swap (int firstPos, int otherPos) {  
    Name temp = myArray[firstPos];  
    myArray[firstPos] = myArray[otherPos];  
    myArray[otherPos] = temp;  
} //swap
```

We have assembled all of the code for the **bubbleSort** method below.

```
public void bubbleSort() {  
    for(int passNum = 1; passNum < myCount; passNum++) {  
        for (int j = 0; j < myCount - passNum; j++) {  
            if(myArray[j+1].before(myArray[j])) {  
                swap(j, j+1);  
            } //if  
        } //inner for loop for one pass  
    } //for loop that controls passes over the data  
} //bubbleSort
```



### Selection Sort

The Selection Sort algorithm is more efficient than Bubble Sort. In Bubble Sort whenever two array elements are found to be out of order, they are swapped. The swap requires the allocation of a temporary variable and three assignment statements. The Selection Sort algorithm greatly reduces the number of swaps performed. The strategy for Selection Sort is as follows:

- 1) Find the position of the element that is the smallest value stored in the array. Store that position in an `int` variable named `smallest`.
- 2) If the value of `smallest` is not `0` (meaning that the item in position `0` is not the smallest in the array), swap the references at positions `0` and `smallest`.
- 3) The smallest item is now in position `0`. Only `myCount - 1` items need to be sorted and they are in positions `1` through `myCount - 1`. Find the position of the smallest element in this shorter list and store that position in `smallest`.
- 4) If the value of `smallest` is not `1` (meaning that the item in position `1` is not the smallest in the remainder of the list), swap the references at positions `1` and `smallest`.
- 5) The two smallest items are now appropriately in positions `0` and `1` of the array. Only `myCount - 2` items need to be sorted and they are in positions `2` through `myCount - 1`. Find the position of the smallest element in this shorter list and store that position in `smallest`.
- 6) If the value of `smallest` is not `2` (meaning that the item in position `2` is not the smallest in the remainder of the list), swap the references to positions `2` and `smallest`.

You can see that this process will be repeated on smaller and smaller numbers of elements in the list. The last time we need to find a smallest element, we are looking at a two item list occupying positions `myCount - 2` and `myCount - 1` in the array. In addition, it should be clear that far fewer swaps are performed. In fact there will be at most one swap for each pass over the list or `myCount - 1` swaps. In Bubble Sort the maximum number of swaps is one per comparison. There were  $4 + 3 + 2 + 1$  or 10 swaps possible on a list of 5 elements. Selection Sort would have a maximum of 4 swaps. The comparison of efficiency is even more obvious on an array of 100 elements. Selection Sort will perform at most 99 swaps. Bubble Sort will perform at most  $99 + 98 + 97 + 96 + \dots + 3 + 2 + 1$  or 4950 swaps. This could be called a worst case assessment of the situation.

Returning to the Selection Sort algorithm, the question now focuses on how to find the smallest item in an list. We begin by guessing that the smallest item is in the first position we have under consideration and assign that guess to the `int` variable named `posOfSmallest`. We then use the `before` method to compare in turn each succeeding element in the list with the element in the array in position indicated by the value of `posOfSmallest`. We replace the value of `posOfSmallest` whenever we find a better candidate for the smallest element, i.e., whenever the next item we consider is smaller than the one we currently think is the smallest.

For example, consider the list we used above to examine Bubble Sort.

0. George Richard Mann
1. George Millard Mann
2. Lydia Michelle Mann
3. Elizabeth Catherine Li Santi
4. Barbara Ann-Teresa Li Santi

We begin by finding the position of the smallest element in the range of positions 0 through 4. We guess that it is in position 0 to start (George Richard Mann). Hence, the `int` variable `posOfSmallest` will be initialized with value 0. We then compare the element in position 1 with the element in position 0 and determine that the item in position 1 is a better candidate. We assign the value 1 to the `int` variable `posOfSmallest`. Our next comparison is between the element we currently think is smallest in position 1 and the element in position 2. The element in position 2 is not a better candidate for the smallest. Next we compare the value in position 3 to the value in the position indicated by `posOfSmallest` (1) and find that the element in position 3 is a better candidate for the smallest. We assign the value 3 to `posOfSmallest`. Finally we compare the element in position 4 with the element in the position indicated by `posOfSmallest` (3) and find that the element in position 4 is a better candidate for smallest. We assign the value 4 to `posOfSmallest` to record that finding. At this point we have determined that the element in position 4 is the smallest in the array and make the swap between the elements in positions 0 and 4 to get the following list.

0. Barbara Ann-Teresa Li Santi
1. George Millard Mann
2. Lydia Michelle Mann
3. Elizabeth Catherine Li Santi
4. George Richard Mann

Note that we need now only consider array elements in positions 1 through 4. We need to find the position of the smallest element of that section of the array. We start by guessing that the element that belongs in position 1 is already there, i.e., that the smallest of this section is in position 1. We perform the comparisons as described above and end up with the value 3 for `posOfSmallest`. We then swap the elements in positions 1 and 3 and arrive at the following list:

0. Barbara Ann-Teresa Li Santi
1. Elizabeth Catherine Li Santi
2. Lydia Michelle Mann
3. George Millard Mann
4. George Richard Mann

Now we consider elements in positions 2 through 4. Our goal is to find the smallest of these elements. We start by guessing that the smallest is in position 2. After performing comparisons, we find that the smallest is in position 3 and swap the elements in positions 2 and 3. The list now becomes:

0. Barbara Ann-Teresa Li Santi
1. Elizabeth Catherine Li Santi
3. George Millard Mann

3. Lydia Michelle Mann
4. George Richard Mann

We now consider the elements in positions 3 and 4. We find the smallest in position 4 and perform the swap between positions 3 and 4 to get the following list:

0. Barbara Ann-Teresa Li Santi
1. Elizabeth Catherine Li Santi
2. George Millard Mann
3. George Richard Mann
4. Lydia Michelle Mann

Since all but the last array element have been put into order, the last element falls automatically in order and the list is sorted.

Let us try to analyze again in general. For each of the positions 0 through `myCount - 2`, we need to find the appropriate element for that position. For position 0, we search for the smallest item in positions 0 through `myCount - 1`. For position 1, we search for the smallest item in positions 1 through `myCount - 1`. For position 2, we search for the smallest item in positions 2 through `myCount - 1`. It appears that the search area for position `j` is positions `j` through `myCount - 1`.

We could express the algorithm in pseudocode as follows:

For each position named `fixPos` from position 0 through position `myCount - 2`, do the following:

- 1) Find the position of the smallest array element in positions `fixPos` through `myCount - 1` and store that index value in a variable named `posOfSmallest`.
- 2) If the values of `fixPos` and `posOfSmallest` are different, swap the references at those positions.

**Exercise 11.5:** Write the code for method `selectionSort` of the `NameList` class using the discussion above.

### *Insertion Sort*

A third sort algorithm simulates a process that people often go through when playing cards and arranging the cards in a hand. The first card is in order when it is alone. After a second card is dealt, it is placed in the hand in appropriate position with respect to the first card so that the two cards are then in order. After a third card is dealt, it is placed in the hand in appropriate position with respect to the first two cards so that the three cards are then in order. In general, when the `n`th card is dealt, it is placed in the appropriate place with respect to the first `n - 1` cards that are already in order. This process of inserting the new card in appropriate position with respect to the previous cards is the main strategy used in Insertion Sort. Once more consider our list of names:

0. George Richard Mann
1. George Millard Mann
2. Lydia Michelle Mann

3. Elizabeth Catherine Li Santi
4. Barbara Ann-Teresa Li Santi

At first consider the list as having just one name:

0. George Richard Mann

This list is in order. Now consider the second name in the list to be inserted with respect to the first name in the list. Since George Millard Mann is before George Richard Mann, we need to move George Richard Mann down in the list to position **1** because George Millard Mann really belongs in position **0** in our two item list. Hence, we rearrange the list as follows:

0. George Millard Mann
1. George Richard Mann

Now consider that we need to insert Lydia Michelle Mann into our list of two names. Since Lydia is after both of the names already in the list, we can leave her in the position she currently occupies, i.e., position **2**.

0. George Millard Mann
1. George Richard Mann
2. Lydia Michelle Mann

The next name to consider is Elizabeth Catherine Li Santi. Since Elizabeth is before all of the names currently in the list, we effectively need to move those three names down into positions **1**, **2**, and **3** to make position **0** available for Elizabeth. We get the resulting list:

0. Elizabeth Catherine Li Santi
1. George Millard Mann
2. George Richard Mann
3. Lydia Michelle Mann

Finally, we need to insert Barbara Ann-Teresa Li Santi into the list. Since Barbara is before all of the names on the list, we need to move them down into positions **1** through **4** to make room for Barbara in position **0**. We get the following list:

0. Barbara Ann-Teresa Li Santi
1. Elizabeth Catherine Li Santi
2. George Millard Mann
3. George Richard Mann
4. Lydia Michelle Mann

The major conceptual difference between what we have described above and the general Insertion Sort is that the elements of the array are all present from the beginning. Hence the array changes as follows:

0. George Richard Mann
1. George Millard Mann

2. Lydia Michelle Mann
3. Elizabeth Catherine Li Santi
4. Barbara Ann-Teresa Li Santi

First insert the second item (George Millard Mann) in its proper place with respect to the first item:

0. George Millard Mann
1. George Richard Mann
2. Lydia Michelle Mann
3. Elizabeth Catherine Li Santi
4. Barbara Ann-Teresa Li Santi

Now insert the third item (Lydia Michelle Mann) in its proper place with respect to the first two items. The list does not change in this process.

Now insert the fourth item (Elizabeth Catherine Li Santi) in its proper place with respect to the first three items. The list becomes:

0. Elizabeth Catherine Li Santi
1. George Millard Mann
2. George Richard Mann
3. Lydia Michelle Mann
4. Barbara Ann-Teresa Li Santi

Finally insert the fifth item (Barbara Ann-Teresa Li Santi) in its proper place with respect to the first four items. The list becomes:

0. Barbara Ann-Teresa Li Santi
1. Elizabeth Catherine Li Santi
2. George Millard Mann
3. George Richard Mann
4. Lydia Michelle Mann

The strategy for Insertion Sort can be described in the following pseudocode:

For each position named **toBeInserted** from position 1 through position **myCount** – 1, do the following:

- 1) Find the appropriate position for the element in position **toBeInserted** among the elements in positions 0 through **toBeInserted**. Assign that position to an **int** variable named **correctPos**.
- 2) If the values of **toBeInserted** and **correctPos** are different, do the following
  - a) Store a reference to the object in the array in position **toBeInserted** in a temporary variable named **temp**.
  - b) Move elements in the array in positions **toBeInserted** – 1 through **correctPos** down one position in the array.
  - c) Assign the reference **temp** to the array in position **correctPos**.

**Exercise 11.6:** Write an `insertionSort` method for the `NameList` class based on the discussion above.

**Exercise 11.7:** Assuming that the array in a `NameList` object has been sorted, the search algorithm can be made more efficient. An item can be determined *not* to be in the list as soon as a list element is detected that is after the item for which we are searching. Revise the search method to create a `searchSorted` method for the `NameList` class that takes advantage of the list being sorted when it searches for a particular `Name` object.

### Binary Search Algorithm

Even though a phone directory for a college or university is a sorted list, we would never search for the name Carol Lennox by starting with the first name in the list and checking each name in order until we either find Carol Lennox or find a name after Carol Lennox to indicate that Carol Lennox is not in the list. Instead, we might check a name at approximately the middle of the list, and if it is not Carol Lennox, it is a name that is either before or after Carol Lennox. If that name is after Carol Lennox, so is every other name beyond it in the list. We can then eliminate the entire second half of the list when we continue to search for Carol Lennox. We can perform this process repeatedly halving the list until the name is found in the middle, or there is no section of the list remaining to search. Let us consider this algorithm known as Binary Search on the following list of 20 names.

- |                     |                     |
|---------------------|---------------------|
| 0. Linda Adams      | 10. Lydia Mann      |
| 1. Elizabeth Baggan | 11. Diane McEntyre  |
| 2. Susan Bass       | 12. Marilyn Mendle  |
| 3. Mildred Campbell | 13. Eileen Nadler   |
| 4. Evalyn Clark     | 14. Michele Proust  |
| 5. Dennis Handler   | 15. Diane Resek     |
| 6. Kinley Kraft     | 16. Nancy Robertson |
| 7. Carol Lennox     | 17. Amy Sandman     |
| 8. Barbara Li Santi | 18. Elizabeth Stone |
| 9. Jan MacIntosh    | 19. Fred Zenith     |

We have the entire list to search at the start of the algorithm. This can be indicated by storing a value for the lowest index currently under consideration and the highest index under consideration. In this case low is 0 and high is 19. In order to determine a middle index, we use the mathematical concept of average and calculate the value of  $(\text{low} + \text{high})/2$ . In this case we get  $19/2$  which is 9.5 if we do arithmetic with floating point numbers. But if we do integer division,  $19/2$  is 9. Hence, we will use 9 as our middle index. The name in position 9 is Jan MacIntosh. Since Jan MacIntosh is alphabetically after Carol Lennox, we can eliminate Jan MacIntosh and all of those names after her from consideration. We accomplish that elimination of part of the list by assigning the value 8 to high. Note that 8 is one less than the current value of our middle index. Hence, the portion of our list to search has been reduced to:

0. Linda Adams
1. Elizabeth Baggan
2. Susan Bass
3. Mildred Campbell
4. Evalyn Clark
5. Dennis Handler
6. Kinley Kraft
7. Carol Lennox
8. Barbara Li Santi

We calculate a new value for middle.  $(\text{low} + \text{high})/2$  is  $(0 + 8)/2$  or 4. The name in position 4 is Evalyn Clark. Since Carol Lennox is after Evalyn Clark in alphabetical order, we can discard Evalyn Clark and all names before her. We do that by changing the value of low to 5 which is one more than the current value of the middle position. Hence, the portion of our list to search has been reduced to:

5. Dennis Handler
6. Kinley Kraft
7. Carol Lennox
8. Barbara Li Santi

We calculate a new value for middle.  $(\text{low} + \text{high})/2$  is  $(5 + 8)/2$  or  $13/2$  or 6. The name in position 6 is Kinley Kraft. Since Carol Lennox is after Kinley Kraft, we can discard Kinley Kraft and any names before her from the search area. We do that by changing the value of low to become 7 or one more than the current value of the middle position. Hence, the portion of our list to search has been reduced to:

7. Carol Lennox
8. Barbara Li Santi

We calculate a new value for middle.  $(\text{low} + \text{high})/2$  is  $(7 + 8)/2$  or  $15/2$  or 7. The name in position 7 is Carol Lennox. We have found the name for which we were searching!!

Now let us see what happens if we search for a name that is not in the list, Lisa Lemon.

Begin again with the entire list:

- |                     |                     |
|---------------------|---------------------|
| 0. Linda Adams      | 10. Lydia Mann      |
| 1. Elizabeth Baggan | 11. Diane McEntyre  |
| 2. Susan Bass       | 12. Marilyn Mendle  |
| 3. Mildred Campbell | 13. Eileen Nadler   |
| 4. Evalyn Clark     | 14. Michele Proust  |
| 5. Dennis Handler   | 15. Diane Resek     |
| 6. Kinley Kraft     | 16. Nancy Robertson |
| 7. Carol Lennox     | 17. Amy Sandman     |
| 8. Barbara Li Santi | 18. Elizabeth Stone |
| 9. Jan MacIntosh    | 19. Fred Zenith     |

In this case low is 0 and high is 19. We calculate the value of  $(\text{low} + \text{high})/2$  which is  $(0 + 19)/2$  or 9. The name in position 9 is Jan MacIntosh. Since Jan MacIntosh is alphabetically after Lisa Lemon, we can eliminate Jan MacIntosh and all of those names after her from consideration by assigning the value that is one less than the current value of our middle index (8) to high. Hence, the portion of our list to search has been reduced to:

0. Linda Adams
1. Elizabeth Baggan
2. Susan Bass
3. Mildred Campbell
4. Evalyn Clark
5. Dennis Handler
6. Kinley Kraft
7. Carol Lennox
8. Barbara Li Santi

We calculate a new value for middle.  $(\text{low} + \text{high})/2$  is  $(0 + 8)/2$  or 4. The name in position 4 is Evalyn Clark. Since Lisa Lemon is after Evalyn Clark in alphabetical order, we can discard Evalyn Clark and all names before her by changing the value of low to 5 or one more than the current value of the middle position. Hence, the portion of our list to search has been reduced to:

5. Dennis Handler
6. Kinley Kraft
7. Carol Lennox
8. Barbara Li Santi

We calculate a new value for middle.  $(\text{low} + \text{high})/2$  is  $(5 + 8)/2$  or  $13/2$  or 6. The name in position 6 is Kinley Kraft. Since Lisa Lemon is after Kinley Kraft, we can discard Kinley Kraft and any names before her from the search area by changing the value of low to become 7 or one more than the current value of the middle position. Hence, the portion of our list to search has been reduced to:

7. Carol Lennox
8. Barbara Li Santi

We calculate a new value for middle.  $(\text{low} + \text{high})/2$  is  $(7 + 8)/2$  or  $15/2$  or 7. The name in position 7 is Carol Lennox. Carol Lennox is after Lisa Lemon in alphabetical order. Hence, we should discard Carol Lennox and any names after her from the search area by changing high to become one less than the current value of our middle index or 6. Note now that low is 7 and high 6. This condition indicates that there is no longer a search area in the list and the name is *not* found.

Let us write the Java code for a `binarySearch` method that returns a reference to the `Name` object if it is found or returns `null` if the `Name` object is not in the list.

```
public Name binarySearch(Name nameToFind) {  
    int low = 0;  
    int high = myCount - 1;
```



```

int middle = (low+high)/2;
while (low <= high && ! (myArray[middle].equals(nameToFind)) ) {
    if ( nameToFind.before (myArray[middle]) ) {
        high = middle-1;
    } else {
        low = middle+1;
    } //else
    middle = (low+high)/2;
} //while
return (myArray[middle].equals(nameToFind) ? myArray[middle] : null);
} //binarySearch

```

**Exercise 11.8:** Trace the binary search algorithm on the list of 20 names above in each of the following situations:

- a) Search for Linda Adams.
- b) Search for Diane McEntyre.
- c) Search for Fred Zlotnick.
- d) Search for George Mann.

**Exercise 11.9:**

- 1) Write a class named Address using the specifications below.
- 2) Write a class named AddressInfo using the specifications below.
- 3) Write a class named AddressInfoList using the specifications below.
- 4) Write a class named TestAddressInfoList that tests all of the code you wrote for parts 1), 2), and 3).

**Specifications for the Class Address:**

The class Address will implement the Comparable<Address> interface. Objects of class Address will have five String instance variables to represent the street address, an optional second line, the city, the state, and the zipcode. The class Address will have a 5-parameter constructor, a 4-parameter constructor, and a 0-parameter constructor:

- a) The first constructor takes 5 String parameters and assigns the parameters to the corresponding private instance variables.
- b) The second constructor takes 4 String parameters representing the street address, the city, the state, and the zipcode and assigns the parameters to the corresponding private instance variables. The instance variable for the optional second line should be assigned a default value.
- c) The third constructor takes no parameters and assigns default values to all five instance variables.

(continues)

*Exercise 11.9, continued*

The class `Address` will have **14** public methods:

- a) An access method for the street address instance variable.
- b) A modifier method for the street address instance variable.
- c) An access method for the optional line instance variable.
- d) A modifier method for the optional line instance variable.
- e) An access method for the city instance variable.
- f) A modifier method for the city instance variable.
- g) An access method for the state instance variable.
- h) A modifier method for the state instance variable.
- i) An access method for the zipcode instance variable.
- j) A modifier method for the zipcode instance variable.
- k) A `boolean` valued method named `equals` that overrides the `equals` method of the `Object` class. The `equals` method takes an `Object` parameter that it casts to an `Address` object. The `equals` method returns `true` if and only if the five instance variables of the invoking object are equal to the five instance variables of the parameter.

Addresses will be ordered with the state as the primary key, the city as the secondary key, the zipcode as the third key, the street address as the fourth key, and the optional line as the last key.

- l) An `int` valued method named `compareTo` that compares the invoking `Address` object to a parameter `Address` object. The comparison will use all five instance variables as described above for ordering addresses. The `compareTo` method should return:
  - a **negative** value if the invoking object is **before** the parameter object,
  - a **positive** value if the invoking object is **after** the parameter object,
  - and should return **zero** only in the case that all five fields of the invoking object and the parameter object are identical.
- m) a `boolean` valued method named `before`. The `before` method takes an `Address` parameter. The `before` method returns `true` if and only if the invoking object is before the parameter object with respect to the ordering of addresses as described above.
- n) A `toString` method that returns a `String` representation of the information in an object of class `Address` in the following format: the `String` corresponding to the street address on a line by itself, the optional line by itself on a line (make sure that your code does not leave a blank line if the optional line is empty), the city followed by a comma and a space, followed by the state, followed by a space, and the zipcode on a line by themselves. In the case that all five instance variables have been assigned default values, the `toString` method should return an empty `String`.

(continues)

*Exercise 11.9, continued***Specifications for the Class AddressInfo:**

Each AddressInfo object will have *two* instance variables. The first instance variable will be a reference to an object of class Name as described earlier in this chapter. The second instance variable will be a reference to an object of class Address. The class AddressInfo will implement the Comparable<AddressInfo> interface.

The class AddressInfo will have a **8**-parameter constructor, a **7**-parameter constructor, and a **3**-parameter constructor:

- a) A constructor that can be used when all 8 pieces of data about a person have been entered (3 for the Name and 5 for the Address). This constructor should invoke the appropriate constructors of classes Name and Address.
- b) A constructor that can be used when 7 pieces of data about a person (no optional address line) have been specified. This constructor should invoke the appropriate constructors of classes Name and Address.
- c) A three parameter constructor where the parameters correspond to the first, middle, and family names of a person. This constructor should invoke the appropriate constructors of classes Name and Address.

The class AddressInfo will have **9** public methods:

- a) An access method for the Name instance variable.
- b) An access method for the Address instance variable.
- c) A modifier method for the Name instance variable.
- d) A modifier method for the Address instance variable.
- e) A toString method that can be used to display the data to a user. The toString method should invoke the appropriate toString methods in classes Name and Address.
- f) A boolean valued method named equals that overrides the equals method of the Object class. The equals method takes an Object parameter that it casts to an AddressInfo object. The equals method returns true if and only if the Name of the invoking object and the Name of the parameter are equal. Note that this method only uses the Name instance variables of the invoking object and parameter.
- g) An int valued method named compareTo that compares the invoking AddressInfo object to a parameter AddressInfo object. The comparison will be alphabetical depending on *only* the Name field. The compareTo method of class AddressInfo should invoke the compareTo method in class Name with the Name instance variable as invoking object and the Name instance variable of the parameter as the parameter for Name's compareTo and it should return the value that the compareTo method in class Name returns to it.
- h) A boolean valued method named beforeAlpha. The beforeAlpha method takes an AddressInfo parameter. The beforeAlpha method returns true if and only if the invoking object's Name is alphabetically before the parameter object's Name.

(continues)

*Exercise 11.9, continued*

- i) A `boolean` valued method named `beforeAddress`. The `beforeAddress` method takes an `AddressInfo` parameter. The `beforeAddress` method returns `true` if and only if exactly one of the two following conditions is met: 1. The invoking object is before the parameter object with respect to the sorting scheme for addresses described above; or 2. The invoking object and the parameter object have equal addresses and the invoking object's `Name` is alphabetically before the parameter object's `Name`.

**Specifications for the Class `AddressInfoList`:**

An object of class `AddressInfoList` will have **three** fields. The first field will be an array of `AddressInfo` objects. The second field will be an `int` holding the number of records currently in the `AddressInfoList`. The third field will be a static `final int` that indicates the maximum capacity of the `AddressInfoList`.

The `AddressInfoList` class will have a zero parameter constructor that constructs an array of references to `AddressInfo` objects of default length equal to the static `final int` described above. The constructor also initializes the number of records instance variable to zero.

The `AddressInfoList` class will *at minimum* have the **7** following methods:

- a) A `boolean` valued method named `add` that takes an `AddressInfo` parameter representing a person to insert into the list. If a record with the same name attribute as the parameter is *not* already in the database, the method returns `true` *after* inserting the new record. If a record with the same name as the parameter is already in the database, the method returns `false` and the original record remains unchanged. `false` is also returned if the list is full.
- b) A `String` valued method named `toString` that returns a `String` representation of the entire array of `AddressInfo` objects.
- c) A `boolean` valued method named `isFull` that returns `true` if the list is full and cannot hold another record.
- d) A method named `alphaSort` that sorts the array into alphabetical order.
- e) A method named `addressSort` that sorts the array into the address order described above.
- f) An `AddressInfo` valued method named `search` that takes an `AddressInfo` parameter and returns a reference to the object in the list that matches the name attribute of the parameter or returns `null` if there is no record with matching name in the list.
- g) A `boolean` valued method named `delete` that takes an `AddressInfo` parameter and attempts to delete a record from the list that matches the name attribute of the parameter. `delete` returns `true` if a record is deleted or returns `false` if no matching record is found to delete, causing the deletion to fail.

**Exercise 11.10:** This exercise has as its goal the creation of an application program that allows a user to maintain a simple file oriented database of address information.

A user of your database application should have the following commands available to manipulate the database:

- **Add:** puts information about a person and her/his address in the database. At minimum, the user must provide a first name, middle name, family name, street address, city, state, and zipcode. The program should NOT proceed without minimal information. An additional line of address information specifying a room, suite, etc. is an optional piece of data when information is being added to the database. As the programmer, you will need to determine how to code the situation where the optional line is empty so that this situation does not cause your code to fail in the context of other records where the optional line is present. All of the information taken together for a person shall be referred to as a “record”. If a person with the same first, middle, and family name already exists in the database, a message should be displayed informing the user about the existing record and the insertion of the new record should fail. The program should also display a message to the user after each successful insertion of a new record.
- **Display:** outputs the entire contents of the database.
- **Alphabetical Sort and Display:** sorts the database in alphabetical order and then displays all records. Records should be sorted with the person’s family name as the primary key, the first name as the secondary key, and the middle name as the last key.
- **Address Sort and Display:** sorts the database in address order. Addresses should be sorted with the state as the primary key, the city as the secondary key, the zipcode as the third key, the street address as the fourth key, and the optional line as the last key. In the case of two entries with the same address, sorting will continue with the family name, then the first name, and finally the middle name of the person.
- **Search:** allows the user to enter a person’s name (family, first, and middle) to determine if the person is in the database. A search cannot proceed without the minimal information of a family name, a first name, and a middle name. If the person is found, her/his information should be displayed. If the person is not found, an appropriate message should be given to the user.
- **Delete:** allows the user to remove the information concerning a specified person from the database. The user should specify the family name, the first name, and the middle name of the person to be deleted. If the person is not found in the database, an appropriate message should be given to the user. Otherwise, once the record is found, it should be displayed, and the user should be asked to confirm the deletion request. The database application should give the user an opportunity to cancel the deletion request. If the user confirms the deletion request, the record should be deleted, and the user should be informed the deletion took place. If the user cancels the deletion request, the user should be informed that the record was not deleted.

*(continues)*

*Exercise 11.10, continued*

- 1) Create a GUI for the database application in a class named `Database`. Your GUI should include buttons for each of the possible commands: Add, Display, Alphabetical Sort And Display, Address Sort and Display, Search, and Delete (including confirming or canceling a delete request). It should also contain text fields and/or combo boxes for user input and a way to display output from the database. At this point the response to any button click should be to display a message that the particular button was clicked or a combo box element was selected.
- 2) Write enough code in your `Database` class so that the buttons for Add and Display in the GUI are functional. Make sure that you include error-checking for user input. In particular, make sure the user provides at least the minimum input required.
- 3) Complete the database application. This means that the Search, Alphabetical Sort And Display, Address Sort And Display, and Delete functions of the GUI should work. The methods `alphaSort`, `addressSort`, `search`, and `delete` of the `AddressInfoList` class support those functions of the GUI. Again, make sure input from the user is appropriately error-checked for the new commands being implemented. Remember to test your program thoroughly. Include a test where the user asks for a deletion and cancels the request after the record is found. Also include a test where the user fills the list, then deletes data, and then fills the list again.

**Exercise 11.11:**

- 1) Write a class named `BirthDate` using the specifications below.
- 2) Write a class named `BirthInfo` using the specifications below.
- 3) Write a class named `BirthInfoList` using the specifications below.
- 4) Write a class named `TestBirthInfoList` that tests all of the code you wrote for parts 1), 2), and 3).

**Specifications for the Class `BirthDate`:**

The class `BirthDate` will implement the `Comparable<BirthDate>` interface. Objects of class `BirthDate` will have three `int` instance variables to represent the month, day, and year of a person's birth. The class `BirthDate` will have a **3**-parameter constructor, a **2**-parameter constructor, and a **0**-parameter constructor:

- a) The first constructor has 3 `int` parameters and assigns the parameters to the corresponding private instance variables.
- b) The second constructor has 2 `int` parameters representing the month and day and assigns the parameters to the corresponding private instance variables. The instance variable for year should be assigned a default value selected by you.
- c) The third constructor takes no parameters and assigns default values (selected by you) to all three instance variables.

*(continues)*

*Exercise 11.11, continued*

The class `BirthDate` will have **10** public methods:

- a) An access method for the month instance variable.
- b) A modifier method for the month instance variable. Note that only values in the range 1–12 are valid for the month. An attempt to modify the month instance variable so that it is not in the range 1–12 should result in *no* change in the value of the instance variable and should cause a message to be displayed through `System.out.println` about an attempt to assign an invalid month. If the value of the month instance variable is changed, this method must check to see if the value of the day instance variable is still valid. If the value of the day instance variable is invalid because it is larger than the largest possible value for the particular month (Months January, March, May, July, August, October, and December have days in range 1–31; April, June, September, and November have days in range 1–30; and February has days in range 1–29.), your code should assign the maximum possible value to the day instance variable.
- c) An access method for the day instance variable.
- d) A modifier method for the day instance variable. The day instance variable should be re-assigned only if the new value is appropriate for the month. The maximum valid value for the day instance variable really depends on the month instance variable. Check that months January, March, May, July, August, October, and December have days in range 1–31; April, June, September, and November have days in range 1–30; and February has days in range 1–29. An attempt to modify the day instance variable so that it is not in the appropriate range should result in *no* change in the value of the instance variable and should cause a message to be displayed through `System.out.println` about an attempt to assign an invalid day in a specified month.
- e) An access method for the year instance variable.
- f) A modifier method for the year instance variable. (Optional Extra Credit: Do not allow the year instance variable to be assigned a value that is larger than the current year.)
- g) a `boolean` valued method named `equals` that overrides the `equals` method of the `Object` class. The `equals` method takes an `Object` parameter that it casts to a `BirthDate` object. The `equals` method returns `true` if and only if the month, day, and year of the invoking object are equal to the month, day, and year of the parameter.
- h) a `boolean` valued method named `before`. The `before` method has a `BirthDate` parameter. The `before` method returns `true` if and only if the invoking object is chronologically before the parameter object. The comparison will be chronological with the month as the first key, the day as the second key, and the year as the third key.
- i) an `int` valued method named `compareTo` that compares the invoking `BirthDate` object to a parameter `BirthDate` object. The comparison will be chronological with the month as the first key, the day as the second key, and the year as the third key. The `compareTo` method should return:
  - a **negative** value if the invoking object is **before** the parameter object,
  - a **positive** value if the invoking object is **after** the parameter object,
  - and should return **zero** only in the case that all three fields of the invoking object and the parameter object are identical.

(continues)



*Exercise 11.11, continued*

- j) A `toString` method that returns a `String` representation of the information in an object of class `BirthDate` in the following format: the `String` corresponding to the month `int` instance variable, followed by a space, followed by the `int` representing the day, followed by a comma, a space, and the year only in cases where the year has not been assigned the default value. Make sure that if the year has the default value, the comma, the space and the default value for the year are not concatenated. In the case that all three instance variables have been assigned default values, the `toString` method should return an empty `String`.

**Specifications for the class `BirthInfo`:**

Each `BirthInfo` object will have **two** instance variables. The first instance variable will be a reference to an object of class `Name`. The second instance variable will be a reference to an object of class `BirthDate`. The class `BirthInfo` will implement the `Comparable<BirthInfo>` interface.

The class `BirthInfo` will have a **6**-parameter constructor, two **5**-parameter constructors, and a **3**-parameter constructor:

- a) A constructor that can be used when all 6 pieces of data about a person have been entered. This constructor should invoke the appropriate constructors of classes `Name` and `BirthDate`.
- b) A constructor that can be used when 5 pieces of data about a person (no year of birth) have been specified. This constructor should invoke the appropriate constructors of classes `Name` and `BirthDate`. It is the responsibility of the constructor of class `BirthDate` to assign a default value for the year of birth if needed.
- c) A constructor that can be used when 5 pieces of data about a person (no middle name) have been specified. This constructor should invoke the appropriate constructors of classes `Name` and `BirthDate`.
- d) A three parameter constructor where the parameters correspond to the first, middle, and family names of a person. This constructor should invoke the appropriate constructors of classes `Name` and `BirthDate`.

The class `BirthInfo` will have **9** public methods:

- a) An access method for the `Name` instance variable.
- b) An access method for the `BirthDate` instance variable.
- c) A modifier method for the `Name` instance variable.
- d) A modifier method for the `BirthDate` instance variable.
- e) A `toString` method that can be used to display the data to a user. The `toString` method should invoke the appropriate `toString` methods in classes `Name` and `BirthDate`.
- f) A `boolean` valued method named `equals` that overrides the `equals` method of the `Object` class. The `equals` method has an `Object` parameter that it casts to a `BirthInfo` object. The `equals` method returns `true` if and only if the `Name` of the invoking object and the `Name` of the parameter are equal. Note that this method only uses the `Name` instance variables of the invoking object and parameter.
- g) A `boolean` valued method named `beforeAlpha`. The `beforeAlpha` method takes a `BirthInfo` parameter. The `beforeAlpha` method returns `true` if and only if the invoking object is alphabetically before the parameter object.

(continues)



*Exercise 11.11, continued*

- h) A boolean valued method named `beforeChron`. The `beforeChron` method takes a `BirthInfo` parameter. The `beforeChron` method returns `true` if and only if exactly one of the two following conditions is met: 1. The invoking object is chronologically before the parameter object; or 2. The invoking object and the parameter object are chronologically equal and the invoking object is alphabetically before the parameter.
- i) an `int` valued method named `compareTo` that compares the invoking `BirthInfo` object to a parameter `BirthInfo` object. The comparison will be alphabetical depending on *only* the `Name` field. The `compareTo` method of class `BirthInfo` should invoke the `compareTo` method in class `Name` with the `Name` instance variable as invoking object and the `Name` instance variable of the parameter as the parameter for `Name`'s `compareTo`, and it should return the value that the `compareTo` method in class `Name` returns to it.

**Specifications for the class `BirthInfoList`:**

An object of class `BirthInfoList` will have **three** fields. The first field will be an array of `BirthInfo` objects. The second field will be an `int` holding the number of records currently in the `BirthInfoList`. The third field will be a static `final int` that indicates the maximum capacity of the `BirthInfoList`.

The `BirthInfoList` class will have a zero parameter constructor that constructs an array of references to `BirthInfo` objects of default length equal to the static `final int` described above. The constructor also initializes the number of records instance variable to zero.

The `BirthInfoList` class will *at minimum* have the **7** following methods:

- a) A boolean valued method named `add` that takes a `BirthInfo` parameter representing a person to insert into the database. If a record with the same `Name` attribute as the parameter is *not* already in the database, the method returns `true` *after* inserting the new record. If a record with the same `Name` as the parameter is already in the database, the method returns `false` and that original record remains unchanged. `false` is also returned if the list is full.
- b) A `String` valued method named `toString` that returns a `String` representation of the entire array of `BirthInfo` objects.
- c) A boolean valued method named `isFull` that returns `true` if the list is full and cannot hold another record.
- d) A method named `alphaSort` that sorts the array into alphabetical order.
- e) A method named `dateSort` that sorts the array into the chronological order described above.
- f) A `BirthInfo` valued method named `search` that has a `BirthInfo` parameter and returns a reference to the object in the array that matches the `Name` instance variable of the parameter or returns `null` if there is no record with matching `Name` in the list.
- g) A boolean valued method named `delete` that has a `BirthInfo` parameter and attempts to delete a record from the list that matches the `Name` instance variable of the parameter. `delete` returns `true` if a record is deleted or returns `false` if no matching record is found to delete, causing the deletion to fail.

**Exercise 11.12:** This exercise has as its goal the creation of an application program that allows a user to maintain a simple file oriented database of birthday information. A user of your database application should have the following commands available to manipulate the database:

- **Add:** puts information about a person and her/his birthday in the database. At minimum, the user must provide a first name, middle family name, month of birth, and day in that month of birth. The program should NOT proceed without minimal information. The year of birth is an optional piece of data when information is being added to the database. As the programmer, you will need to determine how to code the situation where the year of birth and/or the middle name are unknown so that this situation does not cause your code to fail in the context of other records where the year of birth is known. All of the information taken together for a person shall be referred to as a “record”. If a person with the same name already exists in the database, a message should be displayed informing the user about the existing record and the addition of the new record should fail. The program should also display a message to the user after each successful addition of a new record.
  - **Display:** outputs the entire contents of the database.
  - **Alphabetical Sort and Display:** sorts the database in alphabetical order and then displays all records. Records should be sorted with the person’s family name as the primary key, the first name as the secondary key, and the middle name as third key.
  - **Chronological Sort and Display:** sorts the database in date order. Dates should be sorted with the month of birth as the primary key, the day in that month of birth as the secondary key, and the optional year of birth the last key. In the case of two entries with the same birth date, sorting will continue with the family name, the first name, and finally the middle name of the person.
  - **Search:** allows the user to enter a person’s name (family, first, and middle) to determine if the person is in the database. A search cannot proceed without the minimal information of a family name, a first name, and a middle name. If the person is found, her/his information should be displayed. If the person is not found, an appropriate message should be given to the user.
  - **Delete:** allows the user to remove the information concerning a specified person from the database. The user should specify the first name, the middle name, and the family name of the person to be deleted. If the person is not found in the database, an appropriate message should be given to the user. Otherwise, once the record is found, it should be displayed, and the user should be asked to confirm the deletion request. The database application should give the user an opportunity to cancel the deletion request. If the user confirms the deletion request, the record should be deleted, and the user should be informed the deletion took place. If the user cancels the deletion request, the user should be informed that the record was not deleted.
- 1) Create a GUI for the database application in a class named `Database`. Your GUI should include buttons for each of the possible commands: Add, Display, Alphabetical Sort And Display, Chronological Sort and Display, Search, and Delete (including confirming or canceling a delete request). It should also contain text fields and/or combo boxes for user input and a way to display output from the database. At this point the response to any button click should be to display a message that the particular button was clicked or a combo box element was selected.

(continues)

*Exercise 11.12, continued*

- 2) Write enough code in your `Database` class so that the buttons for Add and Display in the GUI are functional. In particular, make sure the user provides at least the minimum input required, and that values for dates are valid (30 days hath September, etc).
- 3) Complete the database application. This means that the Search, Alphabetical Sort And Display, Chronological Sort And Display, and Delete functions of the GUI should work. The methods `alphaSort`, `dateSort`, `search`, and `delete` of the `BirthInfoList` class support those functions of the GUI. Again, make sure input from the user is appropriately error-checked for the new functionality being implemented. Remember to test your program thoroughly. Include a test where the user asks for a deletion and cancels the request after the record is found. Also include a test where the user fills the list, then deletes data, and then fills the list again.



## Lab: Arrays and While Loops • Chapter 11

The objectives of this lab is:

- to get experience working with arrays and while loops

This lab uses a Java class named `ArraysAndWhileLoops` in a code package entitled `for_arrays_and_while_loops_lab`. We provide an application program without a GUI. The code immediately below generates 100 random int values in the range 0–49 and stores them in an array.

You will

- write static methods for the class `ArraysAndWhileLoops`
- write code to test your methods by calling them from within the `main` method.
- write a comment before each method giving the numbered step as indicated below
- write comments in the `main` method indicating the method invocations needed to accomplish each numbered step

To make your output easier to follow

- use `System.out.println` in the `main` method to display sentences describing the output that follows.
- present methods in the order they appear below in the `.java` file.

You may use additional variables and syntactic constructs as needed in conjunction with `System.out.println` to show that your methods work correctly. If a task requires a search, make sure that your tests include tests that you know will fail to find the specified item. Your code in the `main` method should include output that clearly indicates when a search fails. Note that if a task described below indicates that a value should be returned, the method's return type should **not** be void, and your test should use the returned value in some way. However, if a task does not specify that a value is returned, the method's return type should be void.

- 1) Get a copy of the folder `for_arrays_and_while_loops_lab` onto the **Desktop**.
- 2) Launch Eclipse.
- 3) Create a new Java project named with `ArraysAndWhileLoops` and your name.
- 4) Drag the folder `for_arrays_and_while_loops_lab` from the **Desktop** onto the image of the your `ArraysAndWhileLoops` folder in the **Package Explorer** view.
- 5) Double click on your `ArraysAndWhileLoops` folder in the **Package Explorer** view to open the folder. You should see that the package included in your folder is named `for_arrays_and_while_loops_lab`.
- 6) Double click on the `for_arrays_and_while_loops_lab` package in the **Package Explorer** view to open the folder. You should see that the file named `ArraysAndWhileLoops.java` is included in your package named `for_arrays_and_while_loops_lab`.

- 7) Double click on **ArraysAndWhileLoops.java** to open the file in the editor view.
- 8) Run the program.
- 9) To make your name appear on the output, use **System.out.println** in the **main** method to display your name before any other the output.
- 10) Write and test a static method that displays the elements in the array **myInts** until their sum exceeds an **int** parameter. You should display the element that causes the sum to exceed the parameter.
- 11) Write and test a **static** method that determines and returns the index that is the first occurrence of an **int** parameter in the array **myInts**. Your method should return -1 if no element in the array is found to be equal to the parameter.
- 12) Write and test a **static** method that determines and returns the index of the first occurrence of a value in the array **myInts** that is greater than an **int** parameter. Your method should return -1 if no element in the array is found to be greater than the parameter.
- 13) Write and test a **static** method that determines and returns the index that is the last occurrence of an **int** parameter in the array **myInts**. Your method should return -1 if no element in the array is found to be equal to the parameter.
- 14) Write and test a **static** method that determines and returns the index of the last occurrence of a value in the array **myInts** that is greater than an **int** parameter. Your method should return -1 if no element in the array is found to be greater than the parameter.
- 15) Assuming that the array **myInts** has **not** been sorted, determines and returns the index of the first element of the array that is not in ascending order. Your method should return -1 if the all of the elements of the array are found to be in ascending order.
- 16) Run the complete program.
- 17) Copy the output in the Console view and paste it into a word processing document.
- 18) Print a hard copy of your output.
- 19) Print the file **ArraysAndWhileLoops.java**.
- 20) Submit your hard copies of the code and the output to the lab instructor.
- 21) Drag a copy of your **ArraysAndWhileLoops** folder from the **Desktop** onto a flash drive or a file server.
- 22) In the **Package Explorer** view select your **ArraysAndWhileLoops** folder.
- 23) Select **Edit > Delete**.
- 24) In the dialog box that opens, click the radio button that indicates you want to delete everything before you click the **Yes** button.
- 25) Quit Eclipse.

# 12

## Introduction to Abstract Data Types and Java Generics

### INTRODUCTION

---

In Chapter 11, we described a class that uses an array. The class `NameList` maintains a list of `Name` objects. We also discussed several algorithms for the actions of searching and sorting the list. Suppose we were to write a class named `StudentRecord` that represents all of the information about an individual student at our school. We could then write a `StudentRecordList` class that maintains a list of `StudentRecord` objects. How would the two list classes differ? We will examine the differences below and show that the `StudentRecordList` class would be very similar to the `NameList` class. In fact, no matter what class of object is used for the individual elements of a list, the class that represents a list of objects is very similar to our `NameList` class. The only real differences have to do with how we determine equality of elements in the list and ordering of list elements.

Java provides us with more than one mechanism for writing a general `List` class that can be customized to hold a specified kind of object. The first mechanism we will discuss is the use of **abstract** classes. We will write an **abstract** `List` class and extend that **abstract** class to a more specific subclass tailored to the class of the objects in the list. By writing an **abstract** class we do the bulk of the work only once, hence saving a lot of time when writing a new program. An **abstract** class is said to be *reusable*. The subclass of the **abstract** list class will contain only the details that the **abstract** class needs to work with a particular class of object for its elements.

A second mechanism involves creating a list of elements that are assumed to have information about how equality and ordering are determined.

We will show that both of these mechanisms can work, but have a serious problem in that the compiler cannot detect when different kinds of objects that cannot be compared to one another are stored in a list. In this situation, the program can execute without any problems until a comparison is needed. Then a runtime `ClassCastException`

is thrown, making the problem obvious as one object cannot be cast to the class of the other.<sup>1</sup>

The third mechanism we will discuss involves the use of *type parameters* to specify the type of objects in the list. This method gives more information to the compiler so that any attempt in the code to add items to the list that cannot be compared is caught by the compiler. It is more efficient in the world of software development to have problems caught in the compile stage instead of later during execution.

We will also discuss a mechanism for writing a class to represent a list that can use the natural ordering of the objects in the list to sort the list or use an alternative ordering scheme.

### *Code in the `NameList` Class That Is Specific to `Name` Objects*

Consider the `Name` class and the `NameList` class displayed below. The bulk of the `NameList` class is general in nature and could be used for a list whose elements are from any class. We have highlighted the code in the `NameList` class that works only for array elements of class `Name`.

```
public class Name implements Comparable<Name> {
    private String myFirst; // reference to the first name
    private String myMiddle; // reference to the middle name
    private String myFamily; // reference to the family name

    public Name (String theFirst, String theMiddle, String theFamily) {
        myFirst = theFirst;
        myMiddle = theMiddle;
        myFamily = theFamily;
    } //3 parameter constructor

    public Name (String theFirst, String theFamily) {
        myFirst = theFirst;
        myMiddle = "";
        myFamily = theFamily;
    } //2 parameter constructor

    public String getFirst() {
        return myFirst;
    } //getFirst

    public void setFirst(String theFirst) {
        myFirst = theFirst;
    } //setFirst

    public String getMiddle() {
        return myMiddle;
    } //getMiddle
}
```

---

<sup>1</sup>These first two options and the use of an interface instead of an abstract class were the only options available in versions of Java before 1.5.



```

    public void setMiddle(String theMiddle) {
        myMiddle = theMiddle;
    } //setMiddle

    public String getFamily() {
        return myFamily;
    } //getFamily

    public void setFamily(String theFamily) {
        myFamily = theFamily;
    } //setFamily

    public boolean equals(Object theOther){
        Name theName = (Name)theOther;
        return myFirst.equals(theName.myFirst)
            && myMiddle.equals(theName.myMiddle)
            && myFamily.equals(theName.myFamily);
    } //equals

    public int compareTo(Name theName){
        int compareFamily = myFamily.compareTo(theName.myFamily);
        if(compareFamily != 0) {
            return compareFamily;
        } //family names were different

        int compareFirst = myFirst.compareTo(theName.myFirst);
        if(compareFirst != 0) {
            return compareFirst;
        } //family names were equal and first names were different

        return myMiddle.compareTo(theName.myMiddle);
    } //compareTo

    public boolean before(Name theOther) {
        return compareTo(theOther) < 0;
    } //before

    public String toString() {
        return myFamily + ", " + myFirst +
            (myMiddle.equals("")) ? "" : " " + myMiddle;
    } //toString
} //Name

public class NameList {
    private Name myArray[]; //refers to the array of Name objects
    private int myCount; // holds the current count of Name objects
                        // in the list

    public NameList () {
        myArray = new Name [100];
        myCount = 0;
    } // 0 parameter constructor

```

```
public NameList (int theMax) {
    myArray = new Name [theMax];
    myCount = 0;
} // 1 parameter constructor

public boolean isFull() {
    return myCount == myArray.length;
} // isFull

public boolean add(Name theName ) {
    if (!isFull()) {
        myArray [myCount++] = theName ;
        return true;
    } // add was successful
    System.out.println("Attempting to add to full array");
    return false;
} // add

public Name search (Name theName ) {
    int i = 0;
    while ( i < myCount && !( myArray [i].equals(theName ))) {
        i++;
    } // while
    return (i < myCount ? myArray[i] : null);
} // search

private void swap (int firstPos, int otherPos) {
    Name temp = myArray [firstPos];
    myArray [firstPos] = myArray [otherPos];
    myArray [otherPos] = temp;
} // swap

public void bubbleSort() {
    for(int passNum = 1; passNum < myCount; passNum++) {
        for (int j = 0; j < myCount - passNum; j++) {
            if(myArray [j+1].before (myArray [j])) {
                swap(j, j+1);
            } // if
        } // inner for loop for one pass
    } // for loop that controls passes over the data
} // bubbleSort

public String toString() {
    String stringToReturn = "";
    for (int i = 0; i < myCount; i++) {
        stringToReturn += myArray [i] + "\n"; // "\n" for the new line character
    } // for
    return stringToReturn;
} // toString
} // NameList
```

### *Changing the `NameList` Class into a General List Class by Replacing `Name` with `Object`*

We can make a list of the critical places in the code of the `NameList` class where the `Name` class is used or where we have used the word “Name” as part of an identifier. We will replace each reference to the `Name` class with a reference to Java’s most general class, the `Object` class. Additionally, we will modify any identifiers we have created to make them more general.

- 1) `public class NameList {`

The identifier used to name the class is `NameList`. To make a general class, we could choose the identifier `List` instead.

```
public class List {
```

- 2) `private Name myArray[];`

`myArray` is declared to be an array of references to `Name` objects. To make the array hold general elements, we can declare `myArray` to be an array of references to `Objects`, where `Object` refers to the class at the top of Java’s class hierarchy.

```
private Object myArray[];
```

- 3) `myArray = new Name [100];` or `myArray = new Name [theMax];`

`myArray` is constructed as an array of `Name` references. Instead we construct an array of `Object` references.

```
myArray = new Object [100]; or myArray = new Object [theMax];
```

- 4) In the `add` method we have a parameter named `theName` of class `Name`.

```
public boolean add(Name theName) {
```

We can change the parameter to `addMe` is of class `Object`.

```
public boolean add(Object addMe) {
```

- 5) In the `search` method, again there is a parameter named `theName` of class `Name`. Additionally, the method returns a reference of class `Name`. We change the parameter to `findMe` of class `Object`. We also change the return type of the method to class `Object`.

```
public Name search (Name theName) {
```

becomes

```
public Object search (Object findMe) {
```

In addition there is an instance of code that invokes the `equals` method of the `Name` class

```
myArray[i].equals(findMe)
```

Here Java's inheritance and dynamic binding of methods does exactly the correct thing!

From the perspective of the compiler, there is reference to an `Object` invoking its `equals` method with an argument that is a reference to an `Object`. This syntax matches the signature of the `equals` method in the `Object` class. Hence, the compiler finds this code to be correct. At run time, Java's *dynamic binding* looks **not** at the *declared type* of `myArray[i]`, but at the *actual type* of the object assigned to `myArray[i]` in order to determine the appropriate `equals` method to execute. Since we intend to add `Name` objects to our list, the actual type of `myArray[i]` will be `Name` and the `equals` method of the `Name` class will be invoked. This will be true in general for lists of any particular kind of object as long as the class correctly overrides the `equals` method of the `Object` class.

- 6) In the `swap` method, the `temp` variable used to implement the `swap` is declared to be a reference to an object of class `Name`

```
Name temp = myArray[firstPos];
```

We will simply declare the `temp` variable to be a reference to an object of class `Object` as follows

```
Object temp = myArray[firstPos];
```

- 7) In the `bubbleSort` method, there is code that invokes the `before` method in the `Name` class. There is no `before` method in the `Object` class. Hence, code like `myArray[j+1].before(myArray[j])` will not compile when `myArray[j+1]` is declared to be a reference to an `Object`.

Because the `Object` class does not have a `before` method, we must re-write this method invocation so that the method is **not** invoked on an `Object`. Instead we will write a method of the `List` class that is passed references to the two `Objects` being compared. Note that the syntax changes slightly, but in an important way because the `before` method now becomes a method of the class in which it is invoked, and we see it invoked with two arguments instead of one invoking object reference and one argument.

```
if(myArray[j+1].before(myArray[j])) {
```

becomes

```
if(before(myArray[j+1], myArray[j])) {
```

when the `bubbleSort` method needs to determine if one element of the list is before another element. However, we do not want to provide the details of the `before` method. *Those details are dependent on the type of `Object` that is added to the `List`.* Hence, we provide a return type and method signature, but no method body, and we indicate to the Java compiler that the body will be provided when

the programmer **extends** this class by indicating that the method is **abstract**. The **abstract** before method is declared as follows:

```
public abstract boolean before (Object obj1, Object obj2);
```

Note that this looks like the beginning of a method, except for the crucial difference that the line ends with a semicolon instead of an opening curly bracket.

This **abstract** method forces our general **List** class to also be **abstract**. We will not be able to construct objects of our **abstract List** class. Within a subclass that extends **List** we will supply the details of the definition of the **before** method.

- 8) Consider the **toString** method in the **NameList** class.

```
public String toString() {
    String stringToReturn = "";
    for (int i = 0; i < myCount; i++) {
        stringToReturn += myArray[i] + "\n"; //"\n" for the new line character
    }//for
    return stringToReturn;
} //toString
```

What happens when the line of code

```
stringToReturn += myArray[i] + "\n";
```

is executed and **myArray[i]** has declared type **Object** and actual type **Name**? The answer is that the **+** implicitly causes a **toString** method to be executed. Since there is a **toString** method in the **Object** class the compiler finds no problem. The **toString** method in the **Name** class is executed due to Java's dynamic binding. The declared class, **Object**, has a **toString** method that satisfies the compiler and the actual class, **Name**, has a **toString** method that is invoked when the code is executed.

### *Mechanism 1 for Writing a General List Class: the abstract List Class*

Below we have assembled the **abstract List** class.

```
public abstract class List {
    private Object myArray[]; //refers to the list of Object objects
    private int myCount; // holds the current count of objects
                        // in the list

    public List () {
        myArray = new Object [100];
        myCount = 0;
    } // 0 parameter constructor

    public List (int theMax) {
        myArray = new Object [theMax];
        myCount = 0;
    }
}
```

```

    }// 1 parameter constructor

    public boolean isFull() {
        return myCount == myArray.length;
    }//isFull

    public boolean add(Object addMe){
        if (!isFull()) {
            myArray[myCount++] = addMe ;
            return true;
        } // add was successful
        System.out.println("Attempting to add to full array");
        return false;
    }//add

    public Object search (Object findMe ) {
        int i = 0;
        while ( i < myCount && !(myArray[i].equals(findMe ))){
            i++;
        }//while
        return (i < myCount ? myArray[i] : null);
    }//search

    private void swap (int firstPos, int otherPos) {
        Object temp = myArray[firstPos];
        myArray[firstPos] = myArray[otherPos];
        myArray[otherPos] = temp;
    }//swap

    public void bubbleSort() {
        for(int passNum = 1; passNum < myCount; passNum++) {
            for (int j = 0; j < myCount - passNum; j++) {
                if(before (myArray[j+1], myArray[j]) ) {
                    swap(j, j+1);
                }//if
            }//inner for loop for one pass
        }//for loop that controls passes over the data
    }//bubbleSort

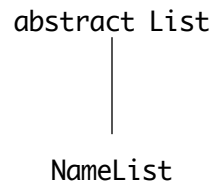
    public String toString() {
        String stringToReturn = "";
        for (int i = 0; i < myCount; i++) {
            stringToReturn += myArray[i] + "\n";//"\n" for the new line character
        }//for
        return stringToReturn;
    }//toString

    public abstract boolean before (Object obj1, Object obj2);
} //List class

```

### Using the abstract List Class by Defining a Concrete Subclass NameList

We can never construct a `List` object from this class definition because the definition is incomplete in that it has an **abstract** method. We can, however, extend the class to a concrete subclass named `NameList` from which objects can be constructed if the subclass provides a definition for the **abstract before** method. In terms of inheritance, any `NameList` object is also a `List` object. We read the following diagram from bottom to top inserting the words “is a” or “is an” as you travel up a line.



We will define a new version of class `NameList` below. In essence, the new version of the `NameList` class provides a bridge to the **before** method in the `Name` class. It is in the `NameList` class that our elements of class `Object` must be recognized as elements of class `Name`. We will use the Java facility of type casting to a class to accomplish the identification of the appropriate class of an object.

```

public class NameList extends List {
    public NameList() {
        super(); //invokes the 0 parameter constructor in the List class
    } // 0 parameter constructor

    public NameList(int theMax) {
        super(theMax); //invokes the 1 parameter constructor in the List class
    } // 1 parameter constructor

    public boolean before (Object obj1, Object obj2) {
        return ((Name) obj1).before((Name) obj2);
    } // before
} // class NameList
  
```

### The Constructors of the NameList Class

Notice that our new version of the `NameList` class has two constructors: a 0 parameter constructor and a 1 parameter constructor. These correspond to the 0 parameter and 1 parameter constructors of the **abstract List** class.

The only line of code in the 0 parameter constructor is

```
super();
```

This line of code invokes the 0 parameter constructor in the **super** class of `NameList`, the **abstract List** class.

The only line of code in the 1 parameter constructor is

```
super(theMax);
```

This line of code invokes the 1 parameter constructor in the **super** class of `NameList`, the **abstract List** class, passing it the `int` parameter `theMax`.

When a `NameList` object is constructed, it *inherits* all of the instance variables and methods of the `List` class since `NameList` extends `List`. In addition, the `NameList` object has a concrete `before` method.

We will trace the flow of execution of the following lines of code when you declare a `NameList` reference and then construct an object of class `NameList` to which that reference points:

```
NameList myListOfNames;
myListOfNames = new NameList(125);
```

The 1 parameter `NameList` constructor has been called. It executes its only line of code which invokes the 1 parameter constructor of the **abstract** `List` class.<sup>2</sup> This **super** class constructor constructs the array of `Object` references of the specified size and assigns it to the instance variable `myArray`. It also initializes the instance variable `myCount` to 0.

**Exercise 12.1:** Describe the flow of execution for the following lines of code.

```
NameList myListOfNames;
myListOfNames = new NameList();
```

Note this rule in Java: If a constructor invokes its **super** class constructor, the invocation must be its first instruction. We have seen that situation many times in our applications that extend the `JFrame` class. We have written

```
super("Title to appear in title bar ");
```

### Casting an Object to Another Class in the Comparison Methods of Class `NameList`

Consider the `before` method in our `NameList` class. The signature of the method must exactly match the signature of the **abstract** `before` method in the **abstract** `List` class. Hence, the two parameters `obj1` and `obj2` are of class `Object` making the header of the method the following:

```
public boolean before (Object obj1, Object obj2) {
```

We need to provide the means for comparison. We know that our `Name` objects have a `before` method that can do the comparison. Java can only invoke that `before` method if it has two `Name` objects, one to invoke the method, and one to pass as an argument to the method. Hence, when we invoke the `before` method, we need to inform Java of the actual types of the objects. We will use `obj1` as the invoking object. To indicate to Java the actual type of `obj1`, we perform a type cast syntactically by placing the name of the class to which we are casting in parentheses in front of the reference to the object being cast (in this case `obj1`).

```
(Name) obj1
```

In order to use this type cast in our invocation of the `before` method, we enclose that expression in parentheses before using the dot and method name to invoke the method because the rules of precedence in Java give higher precedence to dot than to casting.

<sup>2</sup>The constructor of the **abstract** `List` class invokes the constructor of its super class, `Object`, implicitly. Since this happens for during the construction of all Java objects, we do not dwell on it here.



```
((Name) obj1).before( . . .
```

In other words, the following code will not compile

```
(Name) obj1.before( . . .//this won't work
```

because Java sees it as though it was parenthesized as follows:

```
(Name) (obj1.before( . . .)) //this won't work
```

Since there is no `before` method in the `Object` class, this will not work. And even if there were such a method, the resulting `boolean` value could not be cast to the class `Name`.

The `before` method in class `Name` takes one argument of class `Name`. Hence, `obj2` must also be cast to class `Name` before it is used as an argument, resulting in the following code:

```
((Name) obj1).before((Name) obj2)
```

The complete method `before` of class `NameList` is as follows:

```
public boolean before (Object obj1, Object obj2) {
    return ((Name) obj1).before ((Name) obj2);
} // before
```

### Using the Methods of the `NameList` Class

Suppose we have declared and constructed `myListOfNames` as described above using the 0 parameter constructor. Now we would like to put a `Name` in the list using the `add` method. The `add` method of the `NameList` class which is inherited from the `abstract List` class has the following header:

```
public void add(Object addMe) {
```

The parameter `addMe` is a reference to an `Object`. As far as the compiler is concerned, we can add any type of object to the list. However, our program will only run successfully with `Name` objects. In order to add Barbara Ann-Teresa Li Santi to the list, we first need to construct a `Name` object and then pass it as an argument to the `add` method of `myListOfNames`. Recall that the `add` method has `boolean` return type. Hence, our code below prints an appropriate message depending on the value returned by the `add` method.

```
Name oneName = new Name("Barbara", "Ann-Teresa", "Li Santi");
if(myListOfNames.add(oneName)){
    System.out.println(oneName.toString() + " added successfully.");
}else {
    System.out.println(oneName.toString() + " not added.");
} //else
```

The parameter `addMe` of the `add` method is assigned the value of the corresponding argument, `oneName`, which is a reference to an object of class `Name`. This assignment is allowed under the inheritance rules of Java because class `Object` is implicitly the super-class of any class.



The identifier `addMe` has *declared type* `Object` and *actual type* `Name`. The declared type of a reference is the class name used in the declaration. The actual type of a reference is the class from which its object was constructed. This kind of difference is legitimate in Java and in fact, makes many inheritance concepts work. The rule to remember is that it is syntactically and semantically correct if a **superclass reference points to a subclass object**. That rule describes exactly what happens when the object of class `Name` is passed as an argument to a parameter of class `Object`. In this example, the declared class of every reference in the array is `Object` and the actual class of every object pointed to by each reference is `Name`.

As noted above in the discussion of the `before` method, we sometimes need to resurrect the actual class of an object in order to invoke a method of that class. We use casting to the appropriate class in those situations. In those situations, the cast is down the class hierarchy. In general, casting down the hierarchy is viewed as unsafe casting because the cast could be inappropriate. We have specifically cast down the hierarchy when we know it to be safe.

For an example of an unsafe, inappropriate cast down the hierarchy, consider the next example. The example below shows attempt to cast an object whose actual type is `String` to a `Name` object.

```
String myStr = new String("Hello");
Object myObj = myStr; // references of class Object can point to objects of
                      // any class
Name myName = (Name) myObj; //This is a bad cast
```

The class `String` is also a subclass of class `Object`. The cast of `myObj` to class `Name` is inappropriate because the actual class `myObj` is `String` and a `String` object cannot be cast to a `Name` object.

In general, if the compiler can determine that a cast is impossible, it will generate an error message and the code will not compile. However, in certain circumstances, it is only at run time that a cast is found to be inappropriate and a `ClassCastException` is thrown.

### More about Dynamic Binding of Methods to Classes

Consider the following diagram:



In this diagram, **Widget** is a subclass of **Object**, and **Gadget** is a subclass of **Widget**. Each of these classes may have a method with the same signature. When a superclass has a method with the same signature as one of its subclasses, the Java Virtual Machine begins at the actual class of the object and searches upward through the hierarchy for the first occurrence of the method to execute. For example, assume we have an object whose declared class is **Object** and whose actual class is **Gadget**. Also assume that the class **Widget** has a **toString** method, but the class **Gadget** does not. Assume that we invoke the **toString** method on our object. Java does not give us an error message at compile time because the class **Object** has a **toString** method. At execution time, Java will begin by looking for a **toString** method in the actual class of the object, which is the **Gadget** class. When it does not find it there, it will then look in the **Widget** class. Since it finds it there, it will use the **toString** method belonging to the **Widget** class.

In technical terms the binding of a method to a class is *dynamic*, i.e., it happens at run time. If we had not provided a **toString** method in the **Widget** class, the **toString** method in the **Object** class would be executed. Since the **toString** method in the **Object** class returns the class name and something that looks like the address of the object, it probably would not be what we expected.

### Testing the **NameList** class

A small test program for our **NameList** class is displayed below. Note the use of the **boolean** value returned by the **add** method to select an appropriate confirmation message and implicit invocations of the **toString** method.

```
public class TestNameList {
    public static void main(String[] args) {
        NameList myListOfNames = new NameList(100);
        if(myListOfNames.add(new Name("Elizabeth","Catherine","Li Santi"))){
            System.out.println("Elizabeth Catherine Li Santi added successfully.");
        }else {
            System.out.println("Elizabeth Catherine Li Santi not added.");
        }//else
        if(myListOfNames.add(new Name("Lydia","Michelle","Mann"))){
            System.out.println("Lydia Michelle Mann added successfully.");
        }else {
            System.out.println("Lydia Michelle Mann not added.");
        }//else
        if(myListOfNames.add(new Name("Barbara","Ann-Teresa","Li Santi"))){
            System.out.println("Barbara Ann-Teresa Li Santi added successfully.");
        }else {
            System.out.println("Barbara Ann-Teresa Li Santi not added.");
        }//else
        System.out.println("The list is:\n" + myListOfNames);
        myListOfNames.bubbleSort();
        System.out.println("The sorted list is:\n" + myListOfNames);
        if(myListOfNames.search(new Name("Lydia","Michelle","Mann")) != null){
```

```

        System.out.println("Lydia Michelle Mann found.");
    }else {
        System.out.println("Lydia Michelle Mann not found.");
    }//else
    if(myListOfNames.search(new Name("Lydia","Mann")) != null){
        System.out.println("Lydia Mann found.");
    }else {
        System.out.println("Lydia Mann not found.");
    }//else
    }//main
} //TestNameList

```

Below is a transcript of execution of this test program.

Elizabeth Catherine Li Santi added successfully.

Lydia Michelle Mann added successfully.

Barbara Ann-Teresa Li Santi added successfully.

The list is:

Li Santi, Elizabeth Catherine

Mann, Lydia Michelle

Li Santi, Barbara Ann-Teresa

The sorted list is:

Li Santi, Barbara Ann-Teresa

Li Santi, Elizabeth Catherine

Mann, Lydia Michelle

Lydia Michelle Mann found.

Lydia Mann not found.

Note that the definition of the `equals` method of the `Name` class causes the names “Lydia Michelle Mann” and “Lydia Mann” to be considered unequal.

### *Mechanism 2—Writing a General List Class of Comparable Objects*

The method that forced our `List` class to be abstract was the `before` method. Since there is no `before` method in the `Object` class, the compiler would not accept

```
myArray[j + 1].before(myArray[j])
```

However, if we look carefully at the implementation of the `before` method in the `Name` class, we see that the `before` method is dependent on the `compareTo` method of the `Name` class. The `compareTo` method of the `Name` class allows the class to implement the `Comparable<Name>` interface. We could rewrite the `bubbleSort` method of the class `NameList` as follows using the `compareTo` method instead of the `before` method.

```

public void bubbleSort() {
    for(int passNum = 1; passNum < myCount; passNum++) {
        for (int j = 0; j < myCount - passNum; j++) {
            if(myArray [j+1].compareTo(myArray [j]) < 0) {
                swap(j, j+1);
            }
        }
    }
}

```

We *cannot* use this code in our `List` class as written above because the compiler will not find a `compareTo` method in the `Object` class. However, we can make a general class of `Comparable` objects where the declared type of each array element assures the compiler that an invocation of `compareTo` is appropriate. To do this we replace every occurrence of `Object` with `Comparable` and arrive at the second version of class `List` below.

```

public class List {
    private Comparable myArray[]; // array of Objects
    private int myCount; // current count of Objects in List
    public List () {
        myArray = new Comparable [100];
        myCount = 0;
    } // 0 parameter constructor
    public List (int theSize) {
        myArray = new Comparable [theSize];
        myCount = 0;
    } // 1 parameter constructor

    public boolean isFull(){
        return myCount == myArray.length;
    } // isFull

    public boolean add(Comparable addMe) {
        if (!isFull()){
            myArray[myCount++] = addMe;
            return true;
        } // add was successful
        System.out.println("Attempting to add to full array");
        return false;
    } // add

    public Comparable search (Comparable findMe){
        int i = 0;
        while (i < myCount && !(myArray[i].equals(findMe))){
            i++;
        } // while
        return (i < myCount ? myArray[i] : null);
    } // search
}

```

```

private void swap (int firstPos, int otherPos){
    Comparable temp = myArray[firstPos];
    myArray[firstPos] = myArray[otherPos];
    myArray[otherPos] = temp;
} //swap

public void bubbleSort(){
    for (int passNum = 1; passNum < myCount; passNum++) {
        for(int j = 0; j < myCount - passNum; j++) {
            if(myArray[j + 1].compareTo( myArray[j]) < 0 ){
                swap(j, j + 1);
            } //if
        } //inner for
    } //outer for
} //bubbleSort

public String toString(){
    String stringToReturn = "";
    for (int i = 0; i < myCount; i++){
        stringToReturn += myArray[i] + "\n"; //"\n" for the new line character
    } //for
    return stringToReturn;
} //toString
} //List

```

The compiler issues no errors for this code since `myArray[j + 1]` is declared to be a reference to a `Comparable` object and hence can invoke a `compareTo` method, but does issue a warning: **Type Safety: The method `compareTo(Object)` belongs to the raw type `Comparable`. References to generic type `Comparable<T>` should be parameterized.** This error message is telling the programmer to use the type parameters that became available with Java 1.5. We will do this when we describe the third mechanism for writing a general `List` class.

Recall the header of the `compareTo` method that we wrote in the `Name` class:

```
public int compareTo(Name theName){
```

The declared type of `myArray[j + 1]` is `Comparable`, but in our example the actual type will be `Name`. Since the actual type determines where the runtime system starts its search for the method to execute, the `compareTo` method of the `Name` class will be executed. The `compareTo` method of the `Name` class has a `Name` parameter. In general, we cannot assign an `Object` to a `Name` reference because an `Object` is not necessarily a `Name`. The compiler cannot know the actual class of the invoking object. Hence, it issues a warning that the types may not match at the moment that the argument is assigned to the parameter.

We can use our class `TestNameList` above to test this second version of class `List`.

### *The Problems Involved with the First Two Mechanisms*

Both of the mechanisms discussed so far for abstracting the concept of a list suffer from a dangerous pitfall. Different classes of `Objects` can be added to our first version of a `List` object and different classes of `Comparables` can be added to our second version of a `List` object without any complaint from the compiler or runtime system. However, if our test program attempts to sort the list, using the first mechanism, the program will throw a `ClassCastException` generated by the `before` method of class `NameList`. Using the second mechanism, our program will throw a `ClassCastException` generated by the `compareTo` method in the class of the invoking object. In addition, if a test program attempts to search for an object of a class different from the objects in the list, the program will throw a `ClassCastException` generated by the `equals` method in the class of the invoking object. In any of these cases, the program cannot continue to execute in a consistent manner.

The program below shows the problem generated by adding three `Names` and one `String` to a `NameList` that extends abstract `List` object and then attempting to sort the `NameList`.

```
public class TestNameList {
    public static void main(String[] args) {
        NameList myListOfNames = new NameList(100);
        if(myListOfNames.add(new Name("Elizabeth", "Catherine", "Li Santi"))){
            System.out.println("Elizabeth Catherine Li Santi added successfully.");
        }else {
            System.out.println("Elizabeth Catherine Li Santi not added.");
        }//else
        if(myListOfNames.add(new Name("Lydia", "Michelle", "Mann"))){
            System.out.println("Lydia Michelle Mann added successfully.");
        }else {
            System.out.println("Lydia Michelle Mann not added.");
        }//else
        if(myListOfNames.add(new Name("Barbara", "Ann-Teresa", "Li Santi"))){
            System.out.println("Barbara Ann-Teresa Li Santi added successfully.");
        }else {
            System.out.println("Barbara Ann-Teresa Li Santi not added.");
        }//else
        //*****
        // Here a String is added to a list of Name objects
        if(myListOfNames.add("Hello")){
            System.out.println("String Hello added successfully.");
        }else {
            System.out.println("String Hello not added.");
        }//else
        //*****
        System.out.println("The list is:\n" + myListOfNames.toString());
        myListOfNames.bubbleSort();
        System.out.println("The sorted list is:\n" + myListOfNames.toString());
    }//main
}//TestNameList
```

Below is a transcript of the execution that is terminated by the `ClassCastException`.

Elizabeth Catherine Li Santi added successfully.

Lydia Michelle Mann added successfully.

Barbara Ann-Teresa Li Santi added successfully.

String Hello added successfully.

The list is:

Li Santi, Elizabeth Catherine

Mann, Lydia Michelle

Li Santi, Barbara Ann-Teresa

Mann, George Millard

Hello

The error message below describes the method invocations on the runtime stack at the time that the `ClassCastException` was thrown. We read it from the bottom upward.

```
Exception in thread "main" java.lang.ClassCastException: java.lang.String
    at NameList.before(NameList.java:14)
    at List.bubbleSort(List.java:48)
    at TestNameList.main(TestNameList.java:33)
```

Line 33 of the `main` method of `TestNameList.java` is:

```
myListOfNames.bubbleSort();
```

Line 48 of the `bubbleSort` method of `List.java` is:

```
if(before(myArray[j + 1], myArray[j])){
```

Line 14 of the `before` method of `NameList.java` is:

```
return ((Name) obj1).compareTo((Name)obj2) < 0;
```

`obj1` is a `String` and cannot be cast to a `Name`. Hence, a `ClassCastException` is thrown.

Using the second version of class `List` in the code above, we obtain the following transcript of execution:

Elizabeth Catherine Li Santi added successfully.

Lydia Michelle Mann added successfully.

Barbara Ann-Teresa Li Santi added successfully.

String Hello added successfully.

The list is:

Li Santi, Elizabeth Catherine

Mann, Lydia Michelle

Li Santi, Barbara Ann-Teresa

Hello

```
Exception in thread "main" java.lang.ClassCastException: Name
    at java.lang.String.compareTo(String.java:90)
    at List.bubbleSort(List.java:47)
    at TestNameList.main(TestNameList.java:33)
```

Line 33 of the `main` method of `TestNameList.java` is:



```
myListOfNames.bubbleSort();
```

Line 47 of the `bubbleSort` method of our second version of `List.java` is:

```
if(myArray[j + 1].compareTo(myArray[j]) < 0){
```

We do not have the source code for Java's `String` class, but we can still deduce the problem. Line 90 of the `compareTo` method of `String.java` expects the parameter of a `compareTo` to be able to be cast to a `String`. However, a `Name` object cannot be cast to a `String`.

In the following test program, we attempt to search for the `String` "Hello" in a list of `Names` using the abstract `List` class mechanism.

```
public class TestNameList {
    public static void main(String[] args) {
        NameList myListOfNames = new NameList(100);
        if(myListOfNames.add(new Name("Elizabeth", "Catherine", "Li Santi"))){
            System.out.println("Elizabeth Catherine Li Santi added successfully.");
        }else {
            System.out.println("Elizabeth Catherine Li Santi not added.");
        }//else
        if(myListOfNames.add(new Name("Lydia", "Michelle", "Mann"))){
            System.out.println("Lydia Michelle Mann added successfully.");
        }else {
            System.out.println("Lydia Michelle Mann not added.");
        }//else
        if(myListOfNames.add(new Name("Barbara", "Ann-Teresa", "Li Santi"))){
            System.out.println("Barbara Ann-Teresa Li Santi added successfully.");
        }else {
            System.out.println("Barbara Ann-Teresa Li Santi not added.");
        }//else
        System.out.println("The list is:\n" + myListOfNames.toString());
        //*****
        if(myListOfNames.search("Hello") != null){
            System.out.println("Hello found.");
        }else {
            System.out.println("Hello not found.");
        }//else
    }//main
}//TestNameList
```

Below is a transcript of the execution that is terminated by a `ClassCastException`.

```
Elizabeth Catherine Li Santi added successfully.
Lydia Michelle Mann added successfully.
Barbara Ann-Teresa Li Santi added successfully.
String Hello added successfully.
The list is:
```

```
Li Santi, Elizabeth Catherine
Mann, Lydia Michelle
Li Santi, Barbara Ann-Teresa
Hello
```

```
Exception in thread "main" java.lang.ClassCastException: java.lang.String
  at Name.equals(Name.java:43)
  at List.search(List.java:33)
  at TestNameList.main(TestNameList.java:36)
```

Line 36 of the main method of TestNameList.java is:

```
if(myListOfNames.search("Hello") != null){
```

Line 33 of the search method of List.java is:

```
while (i < myCount && !(myArray[i].equals(findMe))){
```

Line 43 of the equals method of Name.java is:

```
Name theName = (Name)theOther;
```

theOther is a String and cannot be cast to a Name. Hence, a ClassCastException is thrown.

Using the second version of class List in the code above, we obtain the following transcript of execution:

```
Elizabeth Catherine Li Santi added successfully.
Lydia Michelle Mann added successfully.
Barbara Ann-Teresa Li Santi added successfully.
String Hello added successfully.
The list is:
Li Santi, Elizabeth Catherine
Mann, Lydia Michelle
Li Santi, Barbara Ann-Teresa
Hello
```

```
Exception in thread "main" java.lang.ClassCastException: java.lang.String
  at Name.equals(Name.java:43)
  at List.search(List.java:32)
  at TestNameList.main(TestNameList.java:35)
```

Line 35 of the main method of TestNameList.java is:

```
if(myListOfNames.search("Hello")!= null){
```

Line 33 of the search method of List.java is:

```
while (i < myCount && !(myArray[i].equals(findMe))){
```

Line 43 of the equals method of Name.java is:

```
Name theName = (Name)theOther;
```

`theOther` is a `String` and cannot be cast to a `Name`. Hence, a `ClassCastException` is thrown.

In order to catch this kind of error at compile time, Java 1.5 introduced the concept of type parameters.

### *Mechanism 3—Writing a General List Class with a Type Parameter, Our First Look at Java's Generics*

Our goal is to find a way to write a general list class so that the compiler catches the kinds of errors described above. In Java 1.5, type parameters were added to the language to allow for this kind of compiler error checking. We would like to indicate the class of the elements in a list so that items that are in some way incompatible are caught early by the compiler, instead of late by the runtime system. In our example, we would like to declare that our list will contain elements that are `Name` objects. The syntax in Java 1.5 allows for a type parameter to be specified for our third version of class `List` as follows:

```
List<Name> myListOfNames = new List<Name>();
```

The angle brackets `<` and `>` are the signal to the compiler that `Name` is acting as a type parameter. Note here that both the declared type and the actual type of `myListOfNames` is `List<Name>`. With this declaration the following code will not compile:

```
if(myListOfNames.add("Hello")){//this generates an compile time error
```

With a properly written class `List<E>`, the line of the above code will generate the following error message, preventing the code from compiling:

```
The method add(Name) in the type List<Name> is not applicable for the
arguments (String).
```

In other words, the `add` method must be passed a reference that is a `Name`.

The following line of code also fails to compile in a similar manner:

```
if(myListOfNames.search("Hello") != null){ //this generates a compile
//time error
```

This line of code will generate the following error message, preventing the code from compiling:

```
The method search(Name) in the type List<Name> is not applicable for the
arguments (String)
```

In other words, the `search` method must also be passed a reference that is a `Name`.

It is now our job to write the class `List<E extends Comparable<E>>`. The convention in Java is to use a single upper case letter to indicate a type parameter. It is also convention to use `E` (for element) for the type parameter since they are so frequently used in the API supplied data structures to stand for the kind of *element* being stored in the data structure.

In our example, we want the elements in the list to be `Comparable`. Java allows us to add such restrictions to the type parameter. Instead of using the word `implements`

as we have with classes that implement the `Comparable<E>` interface, we use the word **extends** for type parameters. In addition, as we have seen with the `Name` class in Chapter 11, the `Comparable` interface itself has a type parameter. Our `Name` class implements `Comparable<Name>` just as the `String` class implements `Comparable<String>`. In order to have our `List` class restrict elements in the list to a class of object that implements the `Comparable<E>` interface, we write the class header as follows:

```
public class List <E extends Comparable<E>> {
```

Since our class `Name` implements the `Comparable<Name>` interface, the compiler will allow the syntactic construct `List<Name>`. It is as though `Name` takes the place of `E` in the class definition of `List<E extends Comparable<E>>`.

Since we are using `E` for our generic element type, the declarations of the instance variables look straightforward:

```
private E myArray[]; // array of E references
private int myCount; // current count of Es in List
```

However, the constructors are not so straightforward. It is illegal in Java to construct an object of a type parameter or to construct an array of references to type parameter objects. Hence, the following code will not compile:

```
E oneE = new E(); // illegal—you cannot construct an E
E[] arrayOfE = new E[100]; // illegal—you cannot construct an array of E
```

A full discussion of the reasons for this prohibition is beyond the scope of this text. Please, see the *Java Programming Language*, 5th Edition, if you are curious and ready for the details!

In writing our `List<E extends Comparable<E>>` class, we get around this prohibition with code that generates a warning, but works. We construct an array of `Comparable` references and then cast that array to `E[]` as follows in our two constructors:

```
public List () {
    myArray = (E []) new Comparable[100];
    myCount = 0;
} // 0 parameter constructor

public List (int theSize) {
    myArray = (E []) new Comparable[theSize];
    myCount = 0;
} // 1 parameter constructor
```

The warning generated by the type casts is as follows:

```
Type safety: The cast from Comparable[] to E[] is actually checking against the
erased type Comparable[]
```

The warning is saying that the type `E` is really replaced by `Comparable` for the runtime system and hence the cast does not really have much effect.<sup>3</sup> Remember that the whole

<sup>3</sup>This is known as type erasure. Once again, the details are beyond the scope of this text.

point of type parameters is to catch errors at compile time instead of runtime. What happens is that we get to write code without those type casts that cause the runtime errors discussed above. It is true that the casts are still necessary. The compiler puts them in as needed.

The remainder of the class `List<E extends Comparable<E>>` looks just like our second version of class `List` except that everywhere `List` had `Comparable`, class `List<E extends Comparable<E>>` has the type parameter `E`. We have assembled the entire class below:

```
public class List <E extends Comparable<E>> {

    private E myArray[]; // array of Es
    private int myCount; // current count of Es in List

    public List () {
        myArray = (E []) new Comparable[100];
        myCount = 0;
    } // 0 parameter constructor

    public List (int theSize) {
        myArray = (E []) new Comparable[theSize];
        myCount = 0;
    } // 1 parameter constructor

    public boolean isFull() {
        return myCount == myArray.length;
    } // isFull

    public boolean add(E addMe) {
        if (!isFull()) {
            myArray[myCount++] = addMe;
            return true;
        } // add was successful
        System.out.println("Attempting to add to full array");
        return false;
    } // add

    public E search (E findMe) {
        int i = 0;
        while (i < myCount && !(myArray[i].equals(findMe))) {
            i++;
        } // while
        return (i < myCount ? myArray[i] : null);
    } // search

    private void swap (int firstPos, int otherPos) {
        E temp = myArray[firstPos];
        myArray[firstPos] = myArray[otherPos];
```

```

        myArray[otherPos] = temp;
    } // swap

    public void bubbleSort(){
        for (int passNum = 1; passNum < myCount; passNum++) {
            for(int j = 0; j < myCount - passNum; j++) {
                if(myArray[j + 1].compareTo( myArray[j]) < 0 ){
                    swap(j, j + 1);
                } // if
            } // inner for
        } // outer for
    } // bubbleSort

    public String toString(){
        String stringToReturn = "";
        for (int i = 0; i < myCount; i++){
            stringToReturn += myArray[i] + "\n"; // "\n" for the new line character
        } // for
        return stringToReturn;
    } // toString

} // List<E extends Comparable<E>>

```

### Declaring and Constructing Comparator Objects

It is natural to think of names being put into alphabetical order. The `compareTo` method of a class in Java is said to represent the *natural ordering* of objects of that class. Some classes of objects may have alternative ordering schemes beyond the natural ordering. In the package `java.util`, the Java API provides the programmer with the `Comparator<E>` interface as a means of representing additional schemes for ordering objects of a class `E`. Classes that implement the `Comparator<E>` interface are used to construct objects whose only reason for existence is to have a method named `compare` that is passed two objects of class `E` as parameters and returns an `int` value. As you may already have guessed, that `int` will be negative if the first parameter is before the second parameter, zero if the first and second parameters are considered equal, and positive if the first parameter is after the second parameter, all with respect to the secondary ordering scheme that the class represents. Thus, in order to implement the `Comparator<E>` interface, a class must provide the details of a method with the following return type and signature:

```
int compare(E firstE, E secondE)
```

Suppose, for example, that we occasionally need an alternative ordering scheme for our `Name` class that put names into *reverse alphabetical order*. We can write a class that implements `Comparator<Name>` fairly easily. The `compare` method is similar to the `compareTo` method we have already written except that we have two parameter `Names` instead of an invoking `Name` and one parameter `Name`. Also, the `compare` method needs to return the

arithmetic opposite of what the `compareTo` method returns. We accomplish this with a single minus as follows:

```
public int compare(Name name1, Name name2){
    return -name1.compareTo(name2);
} //compare
```

A question arises about where this class should be declared. Somehow, the `Name` class seems like a logical home for such a class that will work with `Name` objects.

Java allows for several kinds of classes declared within other classes. We have seen *inner classes* in previous chapters that implement the `ActionListener` interface. Each object constructed from an inner class has an automatic link to an object of the enclosing class that was the context for the inner class object's construction. It made sense in that context to use an inner class because a handler object was really linked to the application object that constructed it. In the case of `Comparator<E>` objects, there is no individual instance of class `E` that is appropriate to link to the comparator object who will be used to compare arbitrary pairs of objects of class `E`. In Java, classes declared as `static` within other classes are independent of any particular instance of the enclosing class. Hence, it is appropriate to declare a class that implements the `Comparator<Name>` interface as a `static` class within the `Name` class. Hence, we will use the key word `static` to the header of class `ReverseAlphaComp`.

The next question is: which class or object should construct an object of class `ReverseAlphaComp`? Once again, it makes sense that the `Name` class should construct such a comparator of its instances and make that comparator available to other classes that use objects of the `Name` class. It should also be the case that once a comparator is constructed, it should not be possible for another class to change it. To summarize, within the `Name` class we will construct an object of class `ReverseAlphaComp` and declare a `public static final` reference named `REVERSE_COMPARATOR` that will refer to it. Finally to keep the `Name` class in complete control of this comparator class, we declare the class `ReverseAlphaComp` itself as `private`. We have assembled the revised `Name` class below and have highlighted the new code. Note that we `import java.util.*` because that's where the `Comparator<E>` interface is located in the hierarchy.

```
import java.util.*;

public class Name implements Comparable<Name>{

    public static final ReverseAlphaComp
        REVERSE_COMPARATOR = new ReverseAlphaComp();

    private String myFirst; // reference to the first name
    private String myMiddle; // reference to the middle name
    private String myFamily; // reference to the family name

    public Name (String theFirst, String theMiddle, String theFamily) {
        myFirst = theFirst;
        myMiddle = theMiddle;
        myFamily = theFamily;
    }
}
```

```
//3 parameter constructor
public Name (String theFirst, String theFamily) {
    myFirst = theFirst;
    myMiddle = "";
    myFamily = theFamily;
} //2 parameter constructor

public String getFirst() {
    return myFirst;
} //getFirst

public void setFirst(String theFirst) {
    myFirst = theFirst;
} //setFirst

public String getMiddle() {
    return myMiddle;
} //getMiddle

public void setMiddle(String theMiddle) {
    myMiddle = theMiddle;
} //setMiddle

public String getFamily() {
    return myFamily;
} //getFamily

public void setFamily(String theFamily) {
    myFamily = theFamily;
} //setFamily

public boolean equals(Object theOther){
    Name theName = (Name)theOther;
    return myFirst.equals(theName.myFirst)
        && myMiddle.equals(theName.myMiddle)
        && myFamily.equals(theName.myFamily);
} //equals

public int compareTo(Name theName){
    int compareFamily = myFamily.compareTo(theName.myFamily);
    if(compareFamily != 0) {
        return compareFamily;
    } //family names were different

    int compareFirst = myFirst.compareTo(theName.myFirst);
    if(compareFirst != 0) {
        return compareFirst;
    } //family names were equal and first names were different

    return myMiddle.compareTo(theName.myMiddle);
}
```



```

    }//compareTo

    public String toString() {
        return myFamily + ", " + myFirst +
            (myMiddle.equals("") ? "" : " " + myMiddle);
    }//toString

    private static class ReverseAlphaComp implements Comparator<Name>{
        public int compare(Name name1, Name name2){
            return -name1.compareTo(name2);
        }//compare
    }//ReverseAlphaComp
}//Name

```

## PREVIEW OF THE LAMBDA EXPRESSIONS OF JAVA 1.8

The code of the `compare` method above is a single line. It seems like we wrote four lines of code surrounding that one important line. If the code within the `compare` method was more complicated those other 4 lines would not seem so “heavy weight.” Lambda expressions were added to version 1.8 of Java language in part to address this “heavy” nature of such code. If the compiler can detect that a `Comparator<Name>` object is to be constructed, it can determine from the code of the `Comparator<E>` interface that the only method that needs to be written is a `compare` method that has return type `int` and has two `Name` parameters. An interface requiring that only one method be written is a *functional interface* in Java. A lambda expression is a way to express the method that needs to be written in a very short format. The format is so short that `Comparator<Name>` object can be declared and constructed in just one line of code. In writing the code in this manner the class that implements `Comparator<Name>` will be anonymous. Hence there will be no need for a class named `ReverseAlphaComp`, thereby shortening the code.

The lambda expression has several possible forms. The most verbose has the parameters of the method to the left of the arrow token `->` and a block of code to the right of the arrow that is to be executed. If there is only one line of code in the block, the curly brackets can be omitted. Hence one form of the lambda expression that embodies the `compare` method above is

```
(Name name1, Name name2) -> -name1.compareTo(name2)
```

We declare `REVERSE_COMPARATOR` to be a `public static final` variable of class `Comparator<Name>` and use this expression on the right side of the assignment operator as follows:

```

public static final Comparator<Name>
    REVERSE_COMPARATOR = (Name name1, Name name2) -> -name1.compareTo(name2);

```

We can shorten the code even further because the compiler can infer the types of the parameters, again because it knows that a `Comparator<Name>` object must have a `compare` method that takes two `Name` parameters. We can simply leave out the declarations for the parameters as follows:

```
public static final Comparator<Name>
    REVERSE_COMPARATOR = (name1, name2) -> -name1.compareTo(name2);
```

We assemble the Name class once again for reference below.

```
import java.util.*;

public class Name implements Comparable<Name>{

    public static final ReverseAlphaComp
        REVERSE_COMPARATOR = (name1, name2) -> -name1.compareTo(name2);

    private String myFirst; // reference to the first name
    private String myMiddle; // reference to the middle name
    private String myFamily; // reference to the family name
    public Name (String theFirst, String theMiddle, String theFamily) {

        myFirst = theFirst;
        myMiddle = theMiddle;
        myFamily = theFamily;
    } // 3 parameter constructor

    public Name (String theFirst, String theFamily) {
        myFirst = theFirst;
        myMiddle = "";
        myFamily = theFamily;
    } // 2 parameter constructor

    public String getFirst() {
        return myFirst;
    } // getFirst

    public void setFirst(String theFirst) {
        myFirst = theFirst;
    } // setFirst

    public String getMiddle() {
        return myMiddle;
    } // getMiddle

    public void setMiddle(String theMiddle) {
        myMiddle = theMiddle;
    } // setMiddle

    public String getFamily() {
        return myFamily;
    } // getFamily

    public void setFamily(String theFamily) {
        myFamily = theFamily;
    } // setFamily

    public boolean equals(Object theOther){
        Name theName = (Name)theOther;
```

```

        return myFirst.equals(theName.myFirst)
            && myMiddle.equals(theName.myMiddle)
            && myFamily.equals(theName.myFamily);
    } // equals

    public int compareTo(Name theName) {
        int compareFamily = myFamily.compareTo(theName.myFamily);
        if (compareFamily != 0) {
            return compareFamily;
        } // family names were different

        int compareFirst = myFirst.compareTo(theName.myFirst);
        if (compareFirst != 0) {
            return compareFirst;
        } // family names were equal and first names were different

        return myMiddle.compareTo(theName.myMiddle);
    } // compareTo

    public String toString() {
        return myFamily + ", " + myFirst +
            (myMiddle.equals("") ? "" : " " + myMiddle);
    } // toString
} // Name

```

### Using Comparator Objects

The next question to answer is how can our class `List<E>` be revised so that it can *either* construct a list object that is ordered by the natural ordering of its elements *or* construct a list object that is ordered by a comparator object for its elements? Here we acknowledge code written by Mark Allen Weiss for our strategy.

We add a `Comparator<E>` instance variable to class. In addition, we write a new pair of constructors that each take a `Comparator<E>` parameter. These new constructors do the same assignments that the original constructors perform. In addition, if one of these new constructors is invoked, the `Comparator<E>` instance variable is assigned the `Comparator<E>` parameter. We add a line of code to the old constructors that assigns `null` to the `Comparator<E>` instance variable by default.

We also add a new `private` method named `myCompare`. `myCompare` will select between using the natural ordering supplied through the `compareTo` method or the alternative ordering supplied through the `Comparator<E>` instance variable and its `compare` method.

`myCompare` takes two parameters of class `E` and returns an `int`. If the `Comparator<E>` instance variable is `null`, `myCompare` uses its first parameter as the invoking object for the `compareTo` method, the second parameter as the argument for `compareTo`, and returns the `int` value that `compareTo` returns to it. If the `Comparator<E>` instance variable is not `null`, `myCompare` invokes the `compare` method on the `Comparator<E>` instance variable with the two parameters of `myCompare` as its arguments and returns the `int` value that `compare` returns to it. The new code is highlighted below.

```
import java.util.*; // for the Comparator<E> interface
```

```

public class List <E extends Comparable<E>> {

    private E myArray[]; // array of Objects
    private int myCount; // current count of Objects in List
    private Comparator<E> myComparator; // comparator for alternate ordering scheme

    public List () {
        myArray = (E []) new Comparable[100];
        myCount = 0;
        myComparator = null;
    } // 0 parameter constructor

    public List (int theSize) {
        myArray = (E []) new Comparable[theSize];
        myCount = 0;
        myComparator = null;
    } // 1 parameter constructor

    public List (Comparator<E> theComparator) {
        myArray = (E []) new Comparable[100];
        myCount = 0;
        myComparator = theComparator;
    } // 1 parameter constructor

    public List (int theSize, Comparator<E> theComparator) {
        myArray = (E []) new Comparable[theSize];
        myCount = 0;
        myComparator = theComparator;
    } // 2 parameter constructor

    private int myCompare (E firstE, E secondE){
        if(myComparator == null) {
            return firstE.compareTo(secondE);
        } // no comparator
        return myComparator.compare(firstE, secondE);
    } // myCompare

```

Finally, we change the comparison line in `bubbleSort` so that it invokes `myCompare` with the two references to array elements as arguments as follows:

```

public void bubbleSort(){
    for (int passNum = 1; passNum < myCount; passNum++) {
        for(int j = 0; j < myCount - passNum; j++) {
            if(myCompare(myArray[j + 1], myArray[j]) < 0 ){
                swap(j, j + 1);
            } // if
        } // inner for
    } // outer for
} // bubbleSort

```

A test program showing construction of one list ordered by the natural ordering and another ordered by the comparator is displayed below.

```
public class TestNameList {
    public static void main(String[] args) {
        List<Name> myList = new List<Name>();
        List<Name> myListR = new List<Name>(Name.REVERSE_COMPARATOR);
        Name name1 = new Name("Elizabeth", "Catherine", "Li Santi");
        Name name2 = new Name("Lydia", "Michelle", "Mann");
        Name name3 = new Name("Barbara", "Ann-Teresa", "Li Santi");
        if(myList.add(name1)){
            System.out.println("Elizabeth Catherine Li Santi added successfully" +
                               " to first list.");
        }else {
            System.out.println("Elizabeth Catherine Li Santi not added to first list.");
        }//else
        if(myList.add(name2)){
            System.out.println("Lydia Michelle Mann added successfully" +
                               " to first list.");
        }else {
            System.out.println("Lydia Michelle Mann not added to first list.");
        }//else
        if(myList.add(name3)){
            System.out.println("Barbara Ann-Teresa Li Santi added successfully" +
                               " to first list.");
        }else {
            System.out.println("Barbara Ann-Teresa Li Santi not added to first list.");
        }//else
        if(myListR.add(name1)){
            System.out.println("Elizabeth Catherine Li Santi added successfully" +
                               " to second list.");
        }else {
            System.out.println("Elizabeth Catherine Li Santi not added to second list.");
        }//else
        if(myListR.add(name2)){
            System.out.println("Lydia Michelle Mann added successfully" +
                               " to second list.");
        }else {
            System.out.println("Lydia Michelle Mann not added to second list.");
        }//else
        if(myListR.add(name3)){
            System.out.println("Barbara Ann-Teresa Li Santi added successfully" +
                               " to second list.");
        }else {
            System.out.println("Barbara Ann-Teresa Li Santi not added to second list.");
        }
    }
}
```

```

    }//else
    System.out.println("The first list is:\n" + myList.toString() + "\n");
    myList.bubbleSort();
    System.out.println("The first sorted list is:\n"+myList.toString() + "\n");
    System.out.println("The second list is:\n" + myListR.toString() + "\n");
    myListR.bubbleSort();
    System.out.println("The second sorted list is:\n"+myListR.toString()+"\n");
} //main

} //TestNameList

```

### *The Diamond Operator, <>, of Java 1.7*

Programmers quickly noted the redundancy in lines of code like those above:

```

List<Name> myList = new List<Name>();
List<Name> myListR = new List<Name>(Name.REVERSE_COMPARATOR);

```

Using inheritance, it is possible that the type parameter of the declared type appearing on the left of each assignment statement might be different from the type parameter of the actual type being constructed on the right side of each assignment statement. However, in many circumstances, those two type parameters are exactly the same. Programmers felt they did not need to specify the type parameter twice. Hence, in Java 1.7, a new operator called the “diamond” operator and denoted  $\diamond$ , was introduced. The diamond operator allows the type parameter to be omitted on the right side of the assignment operator when it is the same as the type parameter already used in the declaration of the variable. The diamond operator determines the appropriate type from the type parameter used in the declaration. Hence, the lines above can be shortened if the Java compiler being used is at least 1.7 to the following:

```

List<Name> myList = new List<>();
List<Name> myListR = new List<>(Name.REVERSE_COMPARATOR);

```

### *Writing a Generic Method for Bubble Sort*

We have written the `bubbleSort` method and its supporting `swap` method in the context of our class `List<E>` as follows:

```

public void bubbleSort(){
    for (int passNum = 1; passNum < myCount; passNum++) {
        for(int j = 0; j < myCount - passNum; j++) {
            if(myCompare(myArray[j + 1], myArray[j]) < 0 ){
                swap(j, j + 1);
            } //if
        } //inner for
    } //outer for
}

```

```

} // bubbleSort

private void swap (int firstPos, int otherPos){
    E temp = myArray[firstPos];
    myArray[firstPos] = myArray[otherPos];
    myArray[otherPos] = temp;
} // swap

```

Our `bubbleSort` and `swap` methods were declared within a class where the identifiers for `myArray` and `myCount` are within scope. In other words, our `bubbleSort` and `swap` methods did not need to be passed those variables as parameters. In addition, the type identifier `E` was known to the compiler from the class header.

The `bubbleSort` method can be written as a utility method in a more independent way in the context of a class that provides sort methods to other classes. The `bubbleSort` method will be a *generic static* method in the utility class. The utility class will not have the reference `myArray` or know the count of elements in `myArray`. Hence, the reference to `myArray` and the count of elements in `myArray` must be passed as arguments when `bubbleSort` is invoked. The compiler will also need a way to make sense of the type parameter `E`, that describes the kind of references in the array if the `compareTo` method is to be invoked on the array elements. In the case of a secondary ordering provided by a `Comparator<E>` object, a second `bubbleSort` method will be written that requires a reference to that `Comparator<E>` object also be passed as an additional parameter. Our `List<E>` class will select the appropriate `bubbleSort` method dependent on whether the `myComparator` instance variable is null or not.

We will also need to rewrite the private `swap` method invoked by `bubbleSort` in order to make the generic `bubbleSort` work since it also uses `myArray`. It should accept a parameter that is a reference to the array in addition to the `ints` for the two positions of the references to be swapped. Additionally, because the generic `bubbleSort` method is *static*, and the `swap` method is in the same class, the `swap` method must also be declared as *static*. In Java, if `aMethod` and `bMethod` are in class `C` and `aMethod` is *static*, `aMethod` can only call `bMethod` if `bMethod` is also *static*.

Below in the rewritten `bubbleSort` and `swap` methods, we will use the identifier `theArray` for the array as a parameter, and `theCount` for the `int` parameter for the count of elements in the array. In the case of the second `bubbleSort` method, we will use the identifier `theComparator` for the `Comparator<E>` parameter passed to the method.

The syntax of the method header for the first `bubbleSort` that relies on a `compareTo` method is a bit complicated. It is between *static* and the return type `void` that we give the compiler the information it needs about the type parameter `E`. As in our previous code, `<E extends Comparable<E>>`.

The header for the `swap` method is not as complicated because `swap` does not need to know that the objects are comparable. We simply indicate the type parameter `<E>` between *static* and the return type `void` so that the compiler knows that the identifier `E` is a type parameter. Note, that like `bubbleSort`, we have a parameter that is a reference to an array of `E`.

The first `bubbleSort` method and the `swap` method are below. Note that `swap` is called with three arguments.

```

public static <E extends Comparable<E>> void bubbleSort(E[] theArray, int theCount){
    for (int passNum = 1; passNum < theCount; passNum++) {
        for(int j = 0; j < theCount - passNum; j++) {
            if(theArray[j + 1].compareTo( theArray[j]) < 0 ){
                swap(theArray, j, j + 1);
            }//if
        }//inner for
    }//outer for
}

private static <E> void swap (E[] theArray, int firstPos, int secondPos){
    E temp = theArray[firstPos];
    theArray[firstPos] = theArray[secondPos];
    theArray[secondPos] = temp;
}

```

The syntax of the method header for the second `bubbleSort` that does not rely on a `compareTo` method is simpler in that respect, but it has a third parameter for the `Comparator<E>` reference. It is displayed below. Note the use of the `compare` method of the `Comparator<E>` object instead of the `compareTo` method.

```

public static <E> void bubbleSort
    (E[] theArray, int theCount, Comparator<E> theComparator){
    for (int passNum = 1; passNum < theCount; passNum++) {
        for(int j = 0; j < theCount - passNum; j++) {
            if(theComparator.compare(theArray[j + 1],theArray[j]) < 0 ){
                swap(theArray, j, j + 1);
            }//if
        }//inner for
    }//outer for
}

```

We can now write a new class named `SortingMethods` and place the `bubbleSort` and `swap` methods in that class as follows:

```

public class SortingMethods {
    private static <E> void swap (E[] theArray, int firstPos, int secondPos){
        E temp = theArray[firstPos];
        theArray[firstPos] = theArray[secondPos];
        theArray[secondPos] = temp;
    }//swap

    public static <E extends Comparable<E>> void bubbleSort
        (E[] theArray, int theCount){
        for (int passNum = 1; passNum < theCount; passNum++) {
            for(int j = 0; j < theCount - passNum; j++) {
                if(theArray[j + 1].compareTo( theArray[j]) < 0 ){
                    swap(theArray, j, j + 1);
                }//if
            }
        }
    }
}

```



```

        }//inner for
    }//outer for
} //bubbleSort without comparator
public static <E> void
    bubbleSort(E[] theArray, int theCount, Comparator<E> theComparator){
    for (int passNum = 1; passNum < theCount; passNum++) {
        for(int j = 0; j < theCount - passNum; j++) {
            if(theComparator.compare(theArray[j + 1],theArray[j]) < 0 ){
                swap(theArray, j, j + 1);
            }//if
        }//inner for
    }//outer for
} //bubbleSort with Comparator
} //SortingMethods

```

Finally, we replace the `bubbleSort` and `swap` methods of our `List<E> extends Comparable<E>>` class with a single `bubbleSort` method that selects the appropriate generic `bubbleSort` method of class `SortingMethods` to invoke. Note that we have *overloaded* the `bubbleSort` method by having two versions with different parameter lists. When we send the appropriate instance variables to `SortingMethods.bubbleSort`, the compiler chooses the correct version of the method.

```

public void bubbleSort(){
    if (myComparator == null) {
        SortingMethods.bubbleSort(myArray, myCount);
    } else {
        SortingMethods.bubbleSort(myArray, myCount, myComparator);
    } //else
} //bubbleSort

```

A small test program for our generic `bubbleSort` methods is shown below.

Our class `TestSortingMethods` has a generic `display` method so that we can view the results of our sort.

```

public class TestSortingMethods {

    public static void main(String[] args) {
        List<Name> myList = new List<>();
        List<Name> myListR = new List<>(Name.REVERSE_COMPARATOR);
        Name name1 = new Name("Elizabeth", "Catherine", "Li Santi");
        Name name2 = new Name("Lydia", "Michelle", "Mann");
        Name name3 = new Name("Barbara", "Ann-Teresa", "Li Santi");
        if(myList.add(name1)){
            System.out.println("Elizabeth Catherine Li Santi added successfully" +
                " to first list.");
        }else {
            System.out.println("Elizabeth Catherine Li Santi not added to first list.");
        }
    }
}

```

```

    }//else
    if(myList.add(name2)){
        System.out.println("Lydia Michelle Mann added successfully" +
            " to first list.");
    }else {
        System.out.println("Lydia Michelle Mann not added to first list.");
    }//else
    if(myList.add(name3)){
        System.out.println("Barbara Ann-Teresa Li Santi added successfully" +
            " to first list.");
    }else {
        System.out.println("Barbara Ann-Teresa Li Santi not added to first list.");
    }//else
    if(myListR.add(name1)){
        System.out.println("Elizabeth Catherine Li Santi added successfully" +
            " to second list.");
    }else {
        System.out.println("Elizabeth Catherine Li Santi not added to second list.");
    }//else
    if(myListR.add(name2)){
        System.out.println("Lydia Michelle Mann added successfully" +
            " to second list.");
    }else {
        System.out.println("Lydia Michelle Mann not added to second list.");
    }//else
    if(myListR.add(name3)){
        System.out.println("Barbara Ann-Teresa Li Santi added successfully" +
            " to second list.");
    }else {
        System.out.println("Barbara Ann-Teresa Li Santi not added to second list.");
    }//else
    System.out.println("\nThe first list is:\n" + myList + "\n");
    myList.bubbleSort();
    System.out.println("The first sorted list is:\n"+myList + "\n");
    System.out.println("The second list is:\n" + myListR + "\n");
    myListR.bubbleSort();
    System.out.println("The second sorted list is:\n"+myListR + "\n");
} //main
} // TestSortingMethods

```

The output from the test program is as follows. Note that the second list is sorted into reverse alphabetical order.

Elizabeth Catherine Li Santi added successfully to first list.  
 Lydia Michelle Mann added successfully to first list.  
 Barbara Ann-Teresa Li Santi added successfully to first list.  
 Elizabeth Catherine Li Santi added successfully to second list.  
 Lydia Michelle Mann added successfully to second list.  
 Barbara Ann-Teresa Li Santi added successfully to second list.

The first list is:

Li Santi, Elizabeth Catherine  
 Mann, Lydia Michelle  
 Li Santi, Barbara Ann-Teresa

The first sorted list is:

Li Santi, Barbara Ann-Teresa  
 Li Santi, Elizabeth Catherine  
 Mann, Lydia Michelle

The second list is:

Li Santi, Elizabeth Catherine  
 Mann, Lydia Michelle  
 Li Santi, Barbara Ann-Teresa

The second sorted list is:

Mann, Lydia Michelle  
 Li Santi, Elizabeth Catherine  
 Li Santi, Barbara Ann-Teresa

#### Exercise 12.2:

- 1) Write two additional methods in class `SortingMethods` that implement `selectionSort` for Lists of `Comparable` objects and for Lists that employ a `Comparator` object.
- 2) Write a `selectionSort` method in class `List<E extends Comparable<E>>` that selects and invokes the appropriate `selectionSort` method of class `SortingMethods` to sort the List.
- 3) Add code to the class `TestSortingMethods` to test the methods you wrote for parts 1) and 2) above.

#### Exercise 12.3:

- 1) Write two additional methods in class `SortingMethods` that implement `insertionSort` for Lists of `Comparable` objects and for Lists that employ a `Comparator` object.
- 2) Write an `insertionSort` method in class `List<E extends Comparable<E>>` that selects and invokes the appropriate `selectionSort` method of class `SortingMethods` to sort the List.
- 3) Add code to the class `TestSortingMethods` to test the methods you wrote for parts 1) and 2) above.

**Exercise 12.4: Continuation of Exercise 11.10**

- 1) Add features to your class `AddressInfo` from Chapter 11:
  - a) Declare a private static class `AddressComp` that implements `Comparator<AddressInfo>` within class `AddressInfo`. The `compare` method of class `AddressComp` will be used to implement the scheme of ordering `AddressInfo` objects by address.
  - b) Also within class `AddressInfo` declare a public static final reference named `ADDRESS_COMPARATOR` to an object of class `AddressComp`, construct an object of class `AddressComp`, and assign the object to `ADDRESS_COMPARATOR`.
- 2) Replace your class `AddressInfoList` with a class `List` that has a type parameter `E` that extends `Comparable<E>` and is very similar to the `List<E extends Comparable<E>>` class in this chapter. (Choose **Rename...** from Eclipse's **Refactor** menu to rename your `AddressInfoList` class as the `List` class and then make the following modifications.)
  - a) Your new `List<E extends Comparable<E>>` class will have an instance variable that is an array of references to objects of type `E` instead of an array of references to objects of type `AddressInfo`.
  - b) Your new `List<E extends Comparable<E>>` class should have an additional instance variable with declared type `Comparator<E>`.
  - c) Modify your existing constructor so that it constructs an array of `Comparable`'s that is cast to `E[]` and set the `Comparator<E>` instance variable to `null`.
  - d) Write one more constructor that has an additional parameter that is a `Comparator<E>` reference and assign it to the `Comparator<E>` instance variable.
  - e) Write a `myCompare` method like the `myCompare` method of class `List<E extends Comparable<E>>` in this chapter that selects between use of the `compareTo` method of its first parameter or uses the `compare` method of its `Comparator<E>` instance variable.
  - f) Replace your two sort methods with one sort method that uses the `myCompare` method.
  - g) Replace any remaining occurrences of `AddressInfo` to the generic type variable `E`.
- 3) Revise your `Database` class so that you declare, construct, and use two `List<E extends Comparable<E>>` objects, one that can be sorted alphabetically and one that can be sorted by address. More specifically, be sure that:
  - a) When you construct your list sorted by address that you pass the public reference to the `AddressComp` object to the constructor.
  - b) When you add a new record to the database, you add it to both lists.
  - c) When you delete a record from the database, you delete it from both lists.
  - d) Your alphabetical display button needs only sort and display the alphabetical list.
  - e) Your address display button needs only sort and display the list that is sorted by address.

**Exercise 12.5: Continuation of Exercise 11.12**

- 1) Add features to your class `BirthInfo` from Chapter 11.
  - a) Declare a private static class `ChronComp` that implements `Comparator<BirthInfo>` within class `BirthInfo`. The `compare` method of class `ChronComp` will be used to implement the scheme of ordering `BirthInfo` objects by birthday.
  - b) Also within class `BirthInfo` declare a public static final reference named `DATE_COMPARATOR` to an object of class `ChronComp`, construct an object of class `ChronComp`, and assign the object to `DATE_COMPARATOR`.
- 2) Replace your class `BirthInfoList` with a class `List` that has a type parameter `E` that extends `Comparable<E>` and is very similar to the `List<E extends Comparable<E>>` class. (Choose **Re-name...** from Eclipse's **Refactor** menu to rename your `BirthInfoList` class as the `List` class and then make the following modifications.)
  - a) Your new `List<E extends Comparable<E>>` class will have an instance variable that is an array of references to objects of type `E` instead of an array of references to objects of type `BirthInfo`.
  - b) Your new `List<E extends Comparable<E>>` class should have an additional instance variable with declared type `Comparator<E>`.
  - c) Modify your two existing constructors so that they construct an array of `Comparable`'s that is cast to `E[]` and set the `Comparator<E>` instance variable to `null`.
  - d) Write two more constructors that take an additional parameter that is a `Comparator<E>` reference and assign it to the `Comparator<E>` instance variable.
  - e) Write a `myCompare` method like the `myCompare` method of class `List<E extends Comparable<E>>` that selects between use of the `compareTo` method of its first parameter or uses the `compare` method of its `Comparator<E>` instance variable.
  - f) Replace your two sort methods with one sort method that uses the `myCompare` method.
  - g) Replace any remaining occurrences of `BirthInfo` to the generic type variable `E`.
- 3) Revise your `Database` class so that you declare, construct, and use two `List<BirthInfo>` objects, one that can be sorted alphabetically and one that can be sorted chronologically. More specifically, be sure that:
  - a) When you construct your chronological list, you pass the public reference to the `ChronComp` object to the constructor.
  - b) When your code adds a new record to the database, add the new record to both lists.
  - c) When your code deletes a record from the database, delete the record from both lists.
  - d) Your alphabetical display button needs only sort and display the alphabetical list.
  - e) Your chronological display button needs only sort and display the chronological list.

**Exercise 12.6: A stack is a last in first out (LIFO) data structure.** This means that the last item placed on a stack is the first item to come off the stack. A good example is a stack of dishes in a cupboard. When you take a plate from the drying rack to put it away, you put it on the top of the stack of plates in the cupboard. When you need a plate on which to put your sandwich, the last plate you put on the stack in the cupboard is the first one you will take off the stack.

**The following public methods are usually provided for a stack:**

- A zero parameter constructor that constructs a stack of a fixed size.
- A one parameter constructor that constructs a stack of the specified size.
- A push method that has an `Object` as a parameter, attempts to push its parameter onto the top of the stack, and returns `true` if successful or `false` if not successful.
- A pop method that pops an `Object` off the top of the stack and returns a reference to that `Object`.
- An `isEmpty` method that returns `true` if the stack is empty and `false` otherwise.
- A peek method that returns a reference to the top object on the stack, so that you can “examine” it. The peek method does **not** remove the top object.
- A `toString` method that returns a `String` representation of the stack.

**Implementing a stack:** One way to implement a stack is to use an array. The 0th position in the array represents the bottom of the stack, so the stack grows from that position. An integer is used to keep track of the top of the stack.

**Your goal is to write a class named `WCSSStack<E>`.**

- 1) Draw several pictures, showing that you understand the operation (methods) of a stack.
- 2) Write the complete class `WCSSStack`. Your class should have a type parameter `E`.
- 3) Test your class using the GUI named `StackTest` that is displayed below. A `UtilityMethods` class is employed to construct the GUI components and provide window closing behavior. Both of the classes, `StackTest` and `UtilityMethods` are provided in the package named `for_stack`. Although your stack will hold generic objects, `StackTest` will test it by using `String` objects.

```
package for_stack;
/*
 * A GUI provided to test the WCSSStack class
 */

import java.awt.*;
import java.awt.event.*;
import javax.swing.*;

public StackTest() {
    super("The Stack Tester");
    setLocation(100, 100);
    setSize(400, 450);
    myCP = getContentPane();
    myCP.setLayout(null);
```

(continues)

*Exercise 12.6, continues:*

```

pushB = UtilityMethods.makeButton("PUSH", 75, 10, 80, 30, new PushBHandler(), myCP);
popB = UtilityMethods.makeButton("POP", 175, 10, 80, 30, new PopBHandler(), myCP);
peekB = UtilityMethods.makeButton("PEEK", 275, 10, 80, 30, new PeekBHandler(), myCP);

inputL = UtilityMethods.makeLabel("Input", 100, 60, 200, 20, myCP);
stackL = UtilityMethods.makeLabel("The Stack", 100, 310, 200, 30, myCP);

inputTF = UtilityMethods.makeTextField("", 100, 80, 200, 30, myCP);
messageTF = UtilityMethods.makeTextField("", 10, 370, 380, 30, myCP);

stackTA = new JTextArea();
stackSP = UtilityMethods.makeScrollPane(stackTA, 100, 120, 200, 200, myCP);

UtilityMethods.closingCausesExit(this);

    setVisible(true);
    myStack = new WCSSStack<String>();
} //constructor StackTest

public class PushBHandler implements ActionListener {
    public void actionPerformed(ActionEvent e) {
        String userInput = inputTF.getText();
        if(userInput.equals("")){
            messageTF.setText("Nothing to push onto the stack.");
        } else {
            if (myStack.push(userInput)) {
                messageTF.setText(userInput + " has been pushed onto the stack.");
            } else {
                messageTF.setText("Error in pushing " + userInput +
                    " onto the stack.");
            } //else
        } //outer else
        stackTA.setText(myStack.toString());
        inputTF.setText("");
        inputTF.requestFocus();
    } //actionPerformed
} //PushBHandler

public class PopBHandler implements ActionListener {
    public void actionPerformed(ActionEvent e) {
        String fromStack;
        if(!myStack.isEmpty() ) {
            fromStack = myStack.pop();
            messageTF.setText("The top item, " + fromStack +
                " has been popped off the stack.");
            stackTA.setText(myStack.toString());
        } else {

```

(continues)

*Exercise 12.25, continues:*

```

        messageTF.setText("The stack is empty. Nothing can be popped off.");
    }//else
    inputTF.requestFocus();
} //actionPerformed
} //PopBHandler

public class PeekBHandler implements ActionListener {
    public void actionPerformed(ActionEvent e) {
        if(!myStack.isEmpty()) {
            messageTF.setText(myStack.peek() + " is on the top of the stack.");
        } else {
            messageTF.setText("The Stack is empty.");
        } //else
        inputTF.requestFocus();
    } //actionPerformed
} //PeekBHandler

public static void main (String args[]) {
    StackTest myApp = new StackTest();
} //main
} //StackTest

package for_stack;

import java.awt.*;
import java.awt.event.*;
import javax.swing.*;

public class UtilityMethods {

    public static JButton makeButton(String text, int x, int y, int w, int h,
        ActionListener theListener, Container theCP){
        JButton buttonToReturn = new JButton(text);
        buttonToReturn.setLocation(x, y);
        buttonToReturn.setSize(w, h);
        buttonToReturn.addActionListener(theListener);
        theCP.add(buttonToReturn);
        return buttonToReturn;
    } //makeButton

    public static JLabel makeLabel(String text, int x, int y, int w, int h,
        Container theCP){
        JLabel labelToReturn = new JLabel(text, JLabel.CENTER);
        labelToReturn.setLocation(x, y);
        labelToReturn.setSize(w, h);
        theCP.add(labelToReturn);
        return labelToReturn;
    } //makeLabel

```

(continues)



*Exercise 12.6, continues:*

```

public static JScrollPane makeScrollPane(JTextArea theClient, int x, int y,
    int w, int h, Container theCP){
    JScrollPane scrollPaneToReturn = new JScrollPane(theClient,
        JScrollPane.VERTICAL_SCROLLBAR_ALWAYS,
        JScrollPane.HORIZONTAL_SCROLLBAR_NEVER);
    scrollPaneToReturn.setLocation(x, y);
    scrollPaneToReturn.setSize(w, h);
    theCP.add(scrollPaneToReturn);
    return scrollPaneToReturn;
} //makeLabel

public static JTextField makeTextField(String text, int x, int y, int w, int h,
    Container theCP){
    JTextField textFieldToReturn = new JTextField(text);
    textFieldToReturn.setLocation(x, y);
    textFieldToReturn.setSize(w, h);
    theCP.add(textFieldToReturn);
    return textFieldToReturn;
} //makeTextField

public static void closingCausesExit(JFrame theWindow) {
    theWindow.addWindowListener(new WindowAdapter() {
        public void windowClosing(WindowEvent e) {
            System.exit(0);
        } //windowClosing
    }); //end of definition of WindowAdapter and semicolon to end the line
} //closingCausesExit

} //UtilityMethods

```

**Exercise 12.7: A queue is a first in first out (FIFO) data structure.** This means that the first item to enter a queue is the first item to leave the queue. A good example is a line of cars waiting to pass through a toll gate. You get in line at the end of the line. You exit the line when you are at the head of the line. The first car to get out of the line (through the toll gate) is the first car that got into the line.

**The following public methods are usually provided for a queue:**

- A zero parameter constructor that constructs a queue of a fixed size.
- A one parameter constructor that constructs a queue of the specified size.
- An enter method that has an `Object` as a parameter, attempts to place its parameter at the end of the queue, and returns `true` if successful or `false` if not successful.
- A dequeue method that takes an `Object` off the head of the queue and returns a reference to that `Object`.
- An `isEmpty` method that returns `true` if the queue is empty and `false` otherwise.

*(continues)*

*Exercise 12.7, continues:*

- A peek method that returns a reference to the top object at the head of the queue, so that you can “examine” it.
- A toString method that returns a String representation of the queue.

**Implementing a queue:** One way to implement a stack is to use an array. The 0th position in the array represents the head of the queue, so the queue grows from that position. An integer is used to keep track of the end of the queue. When an object leaves the queue, all other objects in the queue move one position closer to the head.

**Your goal is to write a class named `WCSQueue<E>`.**

- 1) Draw several pictures, showing that you understand the operation (methods) of a queue.
- 2) Write the complete class. Your class should have a type parameter **E**.
- 3) Test your class using the GUI named `QueueTest` that is displayed below. A `UtilityMethods` class is employed to construct the GUI components and provide window closing behavior. The `UtilityMethods` class is displayed at the end of Exercise 12.6. Both of the classes, `QueueTest` and `UtilityMethods` are provided in the package named `for_queue`. Although your queue will hold generic objects, you will test it by using `String` objects.

```
package for_queue;

/*
 * A GUI provided to test a Queue class
 */

import java.awt.*;
import java.awt.event.*;
import javax.swing.*;

public class QueueTest extends JFrame {

    private JButton enterQB, dequeueB, peekB; // buttons for the usual queue operations
    private JTextArea queueTA; // where the contents of the queue are displayed
    private JScrollPane queueSP; // controls the queue text area
    private JTextField inputTF, messageTF; // where user enters input for the queue
                                         // and where messages to the user are displayed
    private JLabel inputL, queueL; // labels for the text fields
    private Container myCP; // reference to the content pane
    private WCSQueue<String> myQueue; // reference to the queue object whose methods
                                         // must work with this class

    public QueueTest() {
        super("The Queue Tester");
        setLocation(100, 100);
        setSize(600, 450);
        myCP = getContentPane();
        myCP.setLayout(null);
    }
}
```

(continues)

*Exercise 12.7, continues:*

```

enterQB = UtilityMethods.makeButton("Enter Queue", 50, 10, 150, 30,
    new EnterQBHandler(), myCP);
dequeueB = UtilityMethods.makeButton("Dequeue", 225, 10, 150, 30,
    new DequeueBHandler(), myCP);
peekB = UtilityMethods.makeButton("Peek", 400, 10, 150, 30,
    new PeekBHandler(), myCP);

inputL = UtilityMethods.makeLabel("Input", 200, 60, 200, 20, myCP);
queueL = UtilityMethods.makeLabel("The Queue", 200, 310, 200, 30, myCP);

inputTF = UtilityMethods.makeTextField("", 200, 80, 200, 30, myCP);
messageTF = UtilityMethods.makeTextField("", 50, 370, 500, 30, myCP);

queueTA = new JTextArea();
queueSP = UtilityMethods.makeScrollPane(queueTA, 100, 120, 400, 200, myCP);

setVisible(true);
myQueue = new WCSQueue<String>();

} //constructor QueueTest

public class EnterQBHandler implements ActionListener {
    public void actionPerformed(ActionEvent e) {
        String userInput = inputTF.getText();
        if(userInput.equals("")){
            messageTF.setText("Nothing to enter the queue.");
        } else {
            if (myQueue.enter(userInput)) {
                messageTF.setText(userInput + " has entered the queue.");
            } else {
                messageTF.setText("Error in " + userInput +
                    " trying to enter the queue.");
            } //else
        } //outer else
        queueTA.setText(myQueue.toString());
        inputTF.setText("");
        inputTF.requestFocus();
    } //actionPerformed
} //EnterQBHandler

public class DequeueBHandler implements ActionListener {
    public void actionPerformed(ActionEvent e) {
        String fromQueue;
        if(!myQueue.isEmpty() ) {
            fromQueue = myQueue.dequeue();
            messageTF.setText("The item at the head of the queue, " + fromQueue +
                " has left the queue.");
            queueTA.setText(myQueue.toString());
        }
    }
}

```

(continues)

*Exercise 12.7, continues:*

```

        } else {
            messageTF.setText("The queue is empty.");
        } //else
        inputTF.requestFocus();
    } //actionPerformed
} //DequeueBHandler

public class PeekBHandler implements ActionListener {
    public void actionPerformed(ActionEvent e) {
        if (!myQueue.isEmpty()) {
            messageTF.setText(myQueue.peek() + " is at the head of the queue.");
        } else {
            messageTF.setText("The Queue is empty.");
        } //else
        inputTF.requestFocus();
    } //actionPerformed
} //PeekBHandler

public static void main (String args[]) {
    QueueTest myApp = new QueueTest();
} //main
} //QueueTest

```

**Exercise 12.8:** Revise your `WCSSStack` class so that if there is an attempt to push an item onto the stack when the array is full, a new temporary array of double length is allocated, the references from the original array are copied into the temporary array, the reference to the original array is assigned to point to temporary array, and the application pushes the item onto the stack.

**Exercise 12.9:** Revise your `WCSQueue` class so that the queue “wraps around” the array. In other words, do not move references to lower index positions whenever an item leaves the queue. Instead, have instance variables that keep track of the position of the head of the queue, the position of the tail of the queue, and the number of items currently in the queue. In this way, the array should not be full unless the number of items currently in the array is equal to the length of the array. In addition, when the array is full and there is an attempt to enqueue another item, a new temporary array of double length is allocated, the references from the original array are copied into the temporary array with the item at the head of the original queue copied into the index 0 position in the temporary array, the reference to the original array is assigned to point to temporary array, and the application enqueues the item.

## Lab: Generic Methods • Chapter 12

The objectives of this lab is:

- to get experience writing generic methods.

This lab uses Java classes named `GenericMethods` and `TestGenericMethods` in a code package entitled `for_generic_methods_lab`. The code you have been provided in the `main` method of class `TestGenericMethods` generates 100 random Integer values in the range 0–99 and stores them in an array. The code also stores ten Strings in an array of length 20. The code in class `GenericMethods` also includes a generic method that will implement bubble sort on an array with ordering based on the natural ordering of elements in the array.

For each of the tasks in the numbered steps described below, your goal is:

- to write a generic method in class `GenericMethods` that will work with either one of the arrays named `myIntegers` and `myStrings`
- to write code to test your method in the `main` method of class `TestGenericMethods`

The methods should work with a type parameter `E`. You should indicate that `E` extends `Comparable<E>` whenever necessary. If a method returns one of the objects in the array passed to it, then the return type of the method should be `E`. Please note the following specifications for each method you write:

- Within your code in class `GenericMethods`, please write a comment before each method giving the step number as indicated below.
- Write comments in the `main` method in class `TestGenericMethods` indicating the lines of code needed to accomplish testing each method.
- You should use additional variables and syntactic constructs, e.g., selection constructs to select between alternative literal strings, as needed in conjunction with `System.out.println` to show that your methods work correctly.
- To make your name appear on the output, use `System.out.println` in the `main` method to display your name before any other the output.
- On the hard copy of your output, annotate your output by hand to indicate which parts of the output correspond to tests for each method.
- Present methods in the `GenericMethods.java` file in order according to step number below.
- Make sure you display the contents of any array that has been modified.

Note: A sample solution is already provided in the code for the task of writing a method that determines and returns the maximum value stored in the array parameter.

- 1) Get a copy of the folder `for_generic_methods_lab` onto the **Desktop**.
- 2) Launch Eclipse.
- 3) Create a new Java project named with `GenericMethods` and your name.

- 4) Drag the folder `for_generic_methods_lab` from the **Desktop** onto the image of the your `GenericMethods` folder in the **Package Explorer** view.
- 5) Double click on your `GenericMethods` folder in the **Package Explorer** view to open the folder. You should see that the package included in your folder is named `for_generic_methods_lab`.
- 6) Double click on the `for_generic_methods_lab` package in the **Package Explorer** view to open the folder. You should see that the files named `GenericMethods.java` and `TestGenericMethods.java` is included in your package named `for_generic_methods_lab`.
- 7) Double click on `GenericMethods.java` to open the file in the editor view.
- 8) Double click on `TestGenericMethods.java` to open the file in the editor view.
- 9) Run the program.
- 10) Write and test a generic method that determines and returns the minimum value stored in the array parameter. Return `null` if there are no elements stored in the array.
- 11) Write and test a generic method that displays a list of all the elements of the array parameter that are greater than an `E` parameter.
- 12) Write and test a generic method that displays in reverse order the elements of the array parameter. This method should not change the positions of the elements within the array. It should just display the elements in the order that is the reverse of how they are stored in the array, i.e., from `index theCount -1` to `index 0`.
- 13) Write and test a generic method that displays every other element of the array parameter, i.e., elements in indices 0, 2, 4, 6, ....
- 14) Write and test a generic method that determines and returns the index that is the first occurrence (start searching at `index 0`) of an `E` parameter in the array parameter. Return `-1` if the parameter is not found in the array.
- 15) Write and test a generic method that determines and returns the index of the first occurrence (start searching at `index 0`) of a value in the array parameter that is greater than an `E` parameter. Return `-1` if no element of the array is greater than the parameter.
- 16) Write and test a generic method that determines and returns the index that is the last occurrence (start searching at `index theCount -1`) of an `E` parameter in the array parameter. Return `-1` if the parameter is not found in the array.
- 17) Write and test a generic method that determines and returns the index of the last occurrence (start searching at `index theCount -1`) of a value in the array parameter that is greater than an `E` parameter. Return `-1` if no element of the array is greater than the parameter.
- 18) Write and test a generic method that replaces every value in the array parameter that equals an `E` parameter with the value `null`.
- 19) Write and test a generic method that reverses the order in which the elements are stored in the array parameter, i.e., the reference at `index theCount -1` moves to `index 0`, the reference at `index theCount -2` moves to `index 1`, etc.
- 20) Write and test a generic method that rotates the elements in the array parameter by one position. In other words, each reference is moved to the next higher index position, except the reference in position `theCount -1`, which should be moved to position `0`.

- 21) Write and test a generic method that rotates the elements in the array parameter by two positions. In other words, each reference is moved two index positions higher, except the references in positions **theCount -2** and **theCount -1**, which should be moved into positions **0** and **1**, respectively.
- 22) Write and test a generic method that rotates the elements in the array parameter by an **int** parameter number of positions.
- 23) Write and test a generic method that displays all elements whose references are stored in the array parameter in indices that are multiples of 5 (i.e., indices **0, 5, 10, 15, 20, 25, ...**)
- 24) Write and test a generic method that displays all elements whose references are stored in the array parameter in indices that are multiples of 4 (i.e., indices **0, 4, 8, 12, 16, 20, ...**)
- 25) Write and test a generic method that displays all elements whose references are stored in the array parameter in indices that are multiples of an **int** parameter (i.e., if the parameter is **x**, then the indices are **0, 2x, 3x, 4x, ...**)
- 26) Assuming that the array parameter has **not** been sorted, write and test a generic method that determines and returns the first reference stored in the array that points to an element that is not in ascending order. Since the method written for Step 17 may have replaced some references stored in the array with the value **null**, your method should skip over **null** if it is encountered. The JVM will complain if you attempt to invoke **compareTo** on **null**. If the references stored in the array are in ascending order, your method should return **null**.
- 27) The effect of the method written for Step 18 may be to scatter the value **null** in various indices in the array. Rearrange the references in the array so that all **null** references follow all non-**null** references.
- 28) Rewrite the method for Step 26 so that it calls the method for Step 27 as a first step and then stops checking for ascending order when a **null** reference is found in the array.
- 29) Run the complete program.
- 30) Copy the output in the Console view and paste it into a word processing document.
- 31) Print a hard copy of your output.
- 32) Print the file **GenericMethods.java**.
- 33) Print the file **TestGenericMethods.java**.
- 34) Submit your hard copies of the code and the output to the lab instructor.
- 35) Drag a copy of your **GenericMethods** folder from the **Desktop** onto a flash drive or a file server.
- 36) In the **Package Explorer** view select your **GenericMethods** folder.
- 37) Select **Edit > Delete**.
- 38) In the dialog box that opens, click the radio button that indicates you want to delete everything before you click the **Yes** button.
- 39) Quit Eclipse.





# 13

## Files

### INTRODUCTION

---

In all of the programs we have written so far, the user has interacted with the program by entering text or making choices by generating events through GUI components. We have not written any programs where the data from one execution of a program needs to be saved until the same program or another program uses that data at a later time. The memory unit (RAM) of a computer can store data while the program is executing, but not between executions. It is the storage devices of a computer—hard disk, CD, DVD, flash drive, tape, etc.—that are used to store data in a more permanent way that does not require continuous electrical power. Data stored on such media is usually organized in a hierarchical system. Users view folders as containers for files and other folders. On a UNIX system, *directories* take the place of folders as the means of organizing files. We will use the word “directory” below instead of “folder” to conform with the API.

Our goal in this chapter is to introduce the techniques for handling files in Java. The input/output (I/O) facilities in Java are provided by the API through importing `java.io.*`. There are many ways to store data in files and retrieve data from files in Java. We will not discuss all of the possibilities.

Aside from the actions of storing data in files and retrieving data from files, the Java API also provides the programmer with facilities for manipulating and inspecting the hierarchical organization of the storage system. We will first discuss these facilities and then move on to writing data into files and reading data from files.

### *The File class*

`File` objects in Java are used to inspect and change file related information. `File` objects allow the programmer to access and modify attributes of files, but not the contents

(data) stored in the files. A *pathname* describes the name of a file and its position in the hierarchical organization of files. For example, if a hard disk is named **Frumplemeyer**, a folder at the top level of that hard disk is named **Examples**, and the folder named **Examples** contains a file named **Sample**, then the pathname of this file under Mac OS X is

Frumplemeyer/Examples/Sample

As with any object in Java, a **File** object must be constructed before it can be used. The four constructors provided by the API have the following signatures:

```
File (File parent, String child)
File (String pathname)
File (String parent, String child)
File (URI uri)
```

In the simplest case, the source code for the program is in the folder **Examples** along with the file **Sample**. In that case, the programmer supplies just the file name (which is the same as the pathname) as an argument to the **File** constructor. For example, to construct a **File** object for the file **Sample** described above, we could write the following code in your Java class:

```
File fileObj = new File("Sample");
```

We could then examine and/or manipulate the file's attributes using some of the methods of the **File** class described below.

<code>public boolean exists()</code>	Returns <b>true</b> if there is an item in the hierarchical storage system corresponding to the information specified when the <b>File</b> object was constructed.
<code>public boolean isFile()</code>	Returns <b>true</b> if the item is a file
<code>public boolean isDirectory()</code>	Returns <b>true</b> if the item is a directory
<code>public boolean canRead()</code>	Returns <b>true</b> if the item can be read by the user
<code>public boolean canWrite()</code>	Returns <b>true</b> if the item can be written by the user
<code>public long length()</code>	Returns the number of bytes in the item
<code>public long lastModified()</code>	Returns information regarding when the item was last modified
<code>public boolean delete()</code>	Returns <b>true</b> after successfully deleting the item from the storage system
<code>public boolean mkdir()</code>	Returns <b>true</b> after successfully creating a new directory in the storage system

The next sample program shows some simple uses of the methods listed above. For a little change, we use **BorderLayout**, **GridLayout**, and **JPanel** objects. Note that we have

made the class that extends `JFrame` also implement `ActionListener`. There is one  `JButton`  object, `testB`, who needs a registered `ActionListener`. It is simply a shortcut to use the `TryFile` object itself as the registered `ActionListener` for the `testB`. To accomplish this registration, we use the reserved word `this` to refer to the `TryFile` object being constructed and the class `TryFile` contains an `actionPerformed` method that describes the response to clicking `testB`. We have highlighted the lines of code that make this object its own handler below.

```
import java.io.*; // to get the File class
import java.awt.*; // to get window components
import java.awt.event.*; // to get event handling
import javax.swing.*; // to get Swing GUI components

public class TryFile extends JFrame implements ActionListener {

    // Panel where label and text field for input will be placed
    private JPanel inputP;

    private JLabel promptL // label for input text field
    private JTextField inputTF; // text field for input

    // Panel where output will be displayed in a text area with scroll pane
    private JPanel outputP;

    private JLabel resultsL; // to label output scroll pane
    private JScrollPane outputSP; // view of the output is controlled by this
                                // scroll pane
    private JTextArea outputTA; // output will appear here
    private JButton testB; // will cause testing of the name entered
                           // in the input text field
    private Container myCP; // to hold a reference to the content pane

    public TryFile() {
        super("Try File Methods");
        myCP = getContentPane();
        // We use the default BorderLayout manager for the content pane
        setSize(400,300);
        setLocation(100,100);

        // Construct the panel for the input and add to the content pane
        inputP = new JPanel(new GridLayout(2,1));
        myCP.add(inputP,BorderLayout.NORTH);

        // Construct the GUI components that go on the input panel and add
        // them to the panel inputP.
        promptL = new JLabel("Enter the File name here:");
        inputP.add(promptL);
        inputTF = new JTextField(30);
```

```

inputP.add(inputTF);

// Construct the button and add it to the content pane
testB = new JButton ("Test File");
myCP.add(testB, BorderLayout.WEST);
testB.addActionListener(this) ;

// Construct the panel for the output and add to the content pane
outputP = new JPanel(new GridLayout(2,1));
myCP.add(outputP, BorderLayout.CENTER);

// Construct the GUI components that go on the output panel and add
// them to the panel outputP.
resultsL = new JLabel("Test Results", JLabel.CENTER);
outputP.add(resultsL);
outputTA = new JTextArea();
outputSP = new JScrollPane(outputTA,
    JScrollPane.VERTICAL_SCROLLBAR_ALWAYS,
    JScrollPane.HORIZONTAL_SCROLLBAR_NEVER);
outputSP.setSize(200,100);
outputP.add(outputSP);

addWindowListener(new WindowAdapter() {
    public void windowClosing(WindowEvent e) {
        System.exit(0);
    } //windowClosing
}); //end of definition of WindowAdapter and semicolon to end the line
setVisible(true);
} //constructor for TryFile

public void actionPerformed(ActionEvent e) {
    String userInput = inputTF.getText();
    if(!userInput.equals("")){
        // Declare and construct File object
        File dataFile = new File (userInput);
        // Invoke various instance methods of the File class
        // We make use of the ternary operator ( ? : ) to select
        // appropriate messages to display
        if(dataFile.exists()) {
            outputTA.setText( dataFile.getName() + " exists\n" +
                (dataFile.isFile() ? " is a file\n"
                    : " is not a file\n") +
                (dataFile.canRead() ? " can be read\n"
                    : " cannot be read\n") +
                (dataFile.canWrite() ? " can be written\n"
                    : " cannot be written\n"));
        }
        else { // item did not exist in the file system

```

```

        outputTA.setText (userInput + " does not exist");
    } //inner else
} else {
    outputTA.setText ("You must enter a file name before clicking Test
    File.");
} // outer else
inputTF.setText("");
inputTF.requestFocus();
} //actionPerformed

public static void main (String args[]) {
    TryFile myAppF = new TryFile();
} //main method
} //class TryFile

```

### *Storing Data In Files and Retrieving Data from Files*

Data can be stored in files in several formats. It is possible to store data in human readable characters. However, if this data is needed subsequently, it must be converted to its binary representation before another program can use it. Data can more efficiently be stored in its binary representation so that no conversion is needed in subsequent uses of the data by programs.

Data that is organized into objects during execution can be stored in a file that maintains that organization so that the objects can be reconstructed when needed. Code written to implement this strategy is actually fairly simple because Java takes care of converting each object into a stream of bytes that is written in a file and of later reversing the process to form a stream of bytes read from the file and from which the object is reconstructed. The formal name for the process of converting an object to a stream of bytes is *object serialization*. In order to make an object serializable, a programmer needs only to specify that the class of the object implements the interface `java.io.Serializable`. There are no methods to be implemented in the `Serializable` interface. We will continue to discuss object serialization in the next example.

### *Example—A Database of Phone Numbers*

We will write an application that maintains a database of the home, cell, and work phone numbers of a set of people. Information can be added to or deleted from the database only while the program is running. Between executions of the program, the data will be stored in a file. The first time a user employs this program, the database will be empty. The user will enter data into the database through a GUI. When the user has completed a session of interaction with the database, she will have the option of saving all of the data in a file with a name she specifies. In a subsequent execution of the program, the user may choose to load data from a file into the database instead of beginning with an empty database.

We will begin by writing the class that represents the information about one person. We have chosen to name that class `PhoneInfo`.

### The PhoneInfo Class

Each `PhoneInfo` object will have four `private` instance variables, one of class `Name` and three of class `String`. These variables will represent a person's:

- 1) name
- 2) home phone number
- 3) cell phone number
- 4) work phone number

We will use the `Name` class from Chapter 11 to represent each person's name. We will use a `String` object to represent each phone number. We will provide a five-parameter constructor to be used for entering data for the first and family names and all three phone numbers. We will also provide a two-parameter constructor to be used when a user is searching the database for phone information and can only supply the person's first and family names. We will also provide access and modifier methods for each instance variable and a `toString` method that returns a `String` representation of all of the information contained in a `PhoneInfo` object, a `compareTo` method so that class `PhoneInfo` will implement the `Comparable<PhoneInfo>` interface, and an `equals` method to override the `equals` method in the `Object` class. Remember that we specify that our class `PhoneInfo` implements `java.io.Serializable` so that we will be able to store information from these objects in a file and later reconstitute these objects. Below is the code for class `PhoneInfo`.

```
package forPhoneDB;
```

```
public class PhoneInfo implements Serializable, Comparable<PhoneInfo> {
    private Name myName; // represents the person's name
    private String myHomePhone; // represents the person's home phone number
    private String myCellPhone; // represents the person's cell phone number
    private String myWorkPhone; // represents the person's work phone number

    public PhoneInfo(String theFirst, String theFamily,
        String theHomePhone, String theCellPhone, String theWorkPhone) {
        myName = new Name(theFirst,theFamily);
        myHomePhone = theHomePhone;
        myCellPhone = theCellPhone;
        myWorkPhone = theWorkPhone;
    } // 5 parameter constructor

    public PhoneInfo(String theFirst, String theFamily) {
        myName = new Name(theFirst,theFamily);
        myHomePhone = "none";
        myCellPhone = "none";
        myWorkPhone = "none";
    } // 2 parameter constructor
```

```
    public Name getName() {
        return myName;
    } //getName

    public String getHomePhone() {
        return myHomePhone;
    } //getHomePhone

    public String getCellPhone() {
        return myCellPhone;
    } //getCellPhone

    public String getWorkPhone() {
        return myWorkPhone;
    } //getWorkPhone

    public void setName(Name theName) {
        myName = theName;
    } //setName

    public void setHomePhone(String theHomePhone) {
        myHomePhone = theHomePhone;
    } //setHomePhone

    public void setCellPhone(String theCellPhone) {
        myCellPhone = theCellPhone;
    } //setCellPhone

    public void setWorkPhone(String theWorkPhone) {
        myWorkPhone = theWorkPhone;
    } //setWorkPhone

    public int compareTo(PhoneInfo theOther){
        return myName.compareTo(theOther.myName);
    } //compareTo

    public boolean equals (Object compareObj) {
        PhoneInfo thePI = (PhoneInfo)compareObj;
        return (myName.equals(thePI.myName));
    } //equals

    public String toString() {
        return "Name: " + myName + "\n"
            + "Home Phone Number: " + myHomePhone + "\n"
            + "Cell Phone Number: " + myCellPhone + "\n"
            + "Work Phone Number: " + myWorkPhone + "\n";
    } //toString
} //class PhoneInfo
```

### *The GUI for the Database of Phone Numbers*

The interface for our phone number database could have the following appearance:

The image shows a graphical user interface window titled "Phone Data Base". The window has a standard macOS-style title bar with red, yellow, and green window control buttons. The interface is organized into several sections. The top section contains five text input fields, each preceded by a label: "First Name:", "Family Name:", "Home Phone:", "Cell Phone:", and "Work Phone:". The "Family Name" field is currently selected with a blue border. Below these fields is a row of four buttons: "Enter", "Display", "Search", and "Delete". The next section contains a "File Name:" label followed by a text input field. Below this are four more buttons: "Load", "Save", "OK", and "Cancel". The bottom section is labeled "Messages:" and contains a large, empty scrollable text area.

### *Specifications for User Interaction with the Database*

- 1) When the user wants to enter a new record in the database, she will type information in the first five text fields and press the **Enter** button. If information about the specified person already exists in the database, a message and the current information will be displayed. All buttons except the **OK** and **Cancel** buttons will be disabled until the user makes her choice. The user will press the **OK** button to indicate that she wants to replace the information currently in the database with the data she has just typed or she will press the **Cancel** button to indicate that the current information should remain unchanged in the database.
- 2) When the user wants to view all of the information in the database, she will press the **Display** button and the contents of the database will be displayed in the scroll pane at the bottom of the window.
- 3) When the user wants to search for a particular person's phone number, she will enter the person's family name and first name in the appropriate text fields and



press the **Search** button. If the person's information is found in the database, it will be displayed. If the person's information is not found in the database, an appropriate message will be displayed.

- 4) When the user wants to delete the information about a particular person from the database, she will enter the person's family name and first name in the appropriate text fields and press the **Delete** button. If the person's information is not found in the database, an appropriate message will be displayed. If the person's information is found in the database, the current information will be displayed and the user will be asked to confirm the delete request. All buttons except the **OK** and **Cancel** buttons will be disabled until the user makes her choice. The user will press the **OK** button to indicate that she wants to delete the information currently in the database or she will press the **Cancel** button to indicate that the current information should remain in the database.
- 5) When the user wants to load information from a file into the database, she will enter the filename in the appropriate text field and press the **Load** button. If there is any problem reading data from the file, an appropriate message will be displayed. If the file contains information about a person already in the database, the person's data from the file will *not* be added to the database and an appropriate message will be displayed. (This is an arbitrary design decision to simplify coding the program at this point.)
- 6) When the user wants to save information from the database into a file, she will enter a file name in the appropriate text field and press the **Save** button. If there is any problem saving the data in the designated file, an appropriate message will be displayed. In particular, if there already exists a file with the specified name, a message will be displayed asking the user if she wants to overwrite the file. All buttons except the **OK** and **Cancel** buttons will be disabled until the user makes her choice. She will press the **OK** button to overwrite the file or she will press the **Cancel** button to cancel the save request.

### *Instance Variables in the PhoneDB Class*

Our `PhoneDB` class has the following instance variables that are references to GUI components:

```
private JLabel familyNameL, firstNameL, homePhoneL, cellPhoneL,
                    workPhoneL, fileNameL, messageL;
private JTextField familyNameTF, firstNameTF, homePhoneTF, cellPhoneTF,
                    workPhoneTF, fileNameTF;
private JScrollPane messagesSP;
private JTextArea messagesTA;
private JButton enterB, searchB, deleteB,
                    displayB, loadB, saveB, okayB, cancelB;
```

Our `PhoneDB` class has several additional instance variables. Note that these variables are declared as instance variables because the inner handler classes need references to them.

```
private List<PhoneInfo> myPhoneList;//refers to the DB in memory
private String fileName;//refers to a file name being processed
                        // by a save or load command
private PhoneInfo currentPIRecord, found;// refer to a record currently being
                        //entered and a record found in the list in memory
private boolean processingSave;//set to true when awaiting OK or Cancel
                        // during a Save to file operation
private boolean duplicateRecord;//set to true when awaiting OK or Cancel
                        // during a new data Enter operation
private boolean processingDelete;//set to true when awaiting OK or Cancel
                        // during a delete operation
private Container myCP;//handy reference to content pane
private String errorMsg, // to pass message from validNameInput method
               fName, //to pass first name from validNameInput method
               lName;//to pass family name from validNameInput method
```

### *Code in the Constructor for Class PhoneDB*

There are many lines of code needed to get all of the GUI components constructed and functioning properly that we will not present here. The inner classes that act as handlers for the **Save** and **Load** requests are of primary interest in this chapter. We have, however, made the program a bit more interesting by employing the **OK** and **Cancel** buttons in three distinct ways. They are used to confirm or cancel **Save** requests when a file may be overwritten. They are used to confirm or cancel **Enter** requests when the user attempts to enter new information for a person who already exists in the database. They are also used to confirm or cancel **Delete** requests. We have supplied three `boolean` instance variables, `processingSave`, `processingDelete`, and `duplicateRecord`, to serve as flags indicating the situation we are handling with the **OK** and **Cancel** buttons. These instance variables need to be initialized in the constructor. The code below shows those initializations along with the construction of the `PhoneInfoList` object.

```
myPhoneList = new List<>(); // construct empty phone info list
processingSave = false; //not processing a save yet
duplicateRecord = false; //not processing a new entry yet
processingDelete = false; //not processing a delete yet
```

### *Some Utility Methods in the PhoneDB Class*

We will find that at times the same lines of code need to be executed in more than one place in a program. When that happens, we should write the code once as the body of a method and then simply invoke that method wherever it is required. There are five

such methods in the `PhoneDB` class. The first method simply clears all of the data input text fields by setting the text to an empty `String`:

```
private void clearInputFields() {
    familyNameTF.setText("");
    firstNameTF.setText("");
    homePhoneTF.setText("");
    cellPhoneTF.setText("");
    workPhoneTF.setText("");
    fileNameTF.setText("");
} //clearInputFields
```

The second method is used to enable and disable sets of buttons. When the **OK** and **Cancel** buttons are enabled, all other buttons should be disabled. When the **OK** and **Cancel** buttons are disabled, all other buttons should be enabled. The method below has one `boolean` parameter and uses that value as the argument to `setEnabled` on all buttons except **OK** and **Cancel**. It uses the logical opposite of its parameter as the argument to `setEnabled` on the **OK** and **Cancel** buttons. Hence, if we need all buttons except **OK** and **Cancel** enabled, we invoke `adjustButtons(true)`. In particular, we do this in the constructor as a form of initialization of the status of the buttons. If we need all buttons except **OK** and **Cancel** disabled, we invoke `adjustButtons(false)`.

```
private void adjustButtons(boolean tFValue) {
    saveB.setEnabled(tFValue);
    enterB.setEnabled(tFValue);
    displayB.setEnabled(tFValue);
    searchB.setEnabled(tFValue);
    deleteB.setEnabled(tFValue);
    loadB.setEnabled(tFValue);
    okayB.setEnabled(!tFValue);
    cancelB.setEnabled(!tFValue);
} //adjustButtons
```

The third method is used to invoke both `clearInputFields` and `adjustButtons(true)`. We have named it `reset` to indicate that the GUI is being reset to its original status.

```
private void reset() {
    adjustButtons(true);
    clearInputFields();
} //reset
```

The fourth method is used to process the user's input of a first or a last name. `getUserInput` returns the `String` that is returned by invoking `getText` on the `JTextField` that is its first parameter. If the user has not entered any text, the method uses its second `String` parameter to compose an error message that is concatenated to the reference named `errorMsg`. That potential change to `errorMsg` is technically a *side effect* since the variable may be effected by the action of the method, but that effect cannot

be discerned from the signature or return type of the method. Our method `getUserInput` is displayed below.

```
private String getUserInput(JTextField theTF, String theText){
    String userInput = theTF.getText();
    if (userInput.equals("")){
        errorMsg += "You need to enter a " + theText + " name.\n";
    }//if
    return userInput;
} //getUserInput
```

Our fifth method employs the method `getUserInput` twice to process the input for the first name and then the input for the family name. It returns a `boolean` value of `true` when both text fields have non-empty `Strings`. It also produces two side effects. It assigns the first name and family name returned by `getUserInput` to instance variables `fName` and `lName`.

```
private boolean validNameInput() {
    errorMsg = "";
    fName = getUserInput(firstNameTF, "first");
    lName = getUserInput(familyNameTF, "family");
    return errorMsg.equals("");
} //validNameInput method
```

## Inner Classes For Event Handling

### Class EnterBHandler

Let us look at the `EnterBHandler` class first to see how the `boolean` flag `duplicateRecord` is set when it is needed. Consider the code below.

```
public class EnterBHandler implements ActionListener {
    public void actionPerformed(ActionEvent e) {
        if (validNameInput()){
            currentPIRecord = new PhoneInfo(fName, lName,
                homePhoneTF.getText(), cellPhoneTF.getText(), workPhoneTF.getText());
            found = myPhoneList.search(currentPIRecord);
            if (found != null) { //person already in DB
                duplicateRecord = true;
                adjustButtons(false);
                messagesTA.setText("\nRecord already exists:\n" + found.toString()
                    + "\nPress OK to replace old record " +
                    "or Cancel to cancel new entry.\n");
            } else {
                if(myPhoneList.add(currentPIRecord)) {
                    messagesTA.setText("\n" + currentPIRecord + "added to the DB.\n");
                } else {

```

```

        messagesTA.setText("\nFailed to add " +
            currentPIRecord + " to the DB.\n");
    } //else
} //else for add new record
clearInputFields();
} else {
    messagesTA.setText(errorMsg + "\n");
} //else
} //actionPerformed
} //EnterBHandler

```

Note that the code above constructs a **PhoneInfo** object from the data entered by the user and then searches the current database to see if the specified person already has a record in the database. If the reference **found** is not **null**, we need to inform the user and wait for another event, pressing **OK** or **Cancel**, before we change the data in the database. The three actions necessary in the code are:

- 1) Assign **true** to the flag **duplicateRecord**.
- 2) Disable all buttons except **OK** and **Cancel**
- 3) Display a message informing the user about the issue at hand.

The lines of code highlighted above accomplish these actions.

### Class **SaveBHandler**

When the user issues a **Save** request, it is always good practice to check that the **Save** operation will not inadvertently erase a useful file by overwriting it. Hence, the **actionPerformed** method of class **SaveBHandler** should construct a **File** object and inform the user of any problems or potential overwriting. If the user supplies a file name that does not correspond to an existing entity, the code can simply perform the **Save** operation by commanding **myPhoneList** to save itself to a file with the specified name. It is also useful to have the method that performs the save process return a **String** object that contains text describing any error conditions encountered during the save process. Hence, code similar to the following might be used.

```

String message = myPhoneList.saveToFile(fileName);
messagesTA.append("Data saved to file "+fileName+".\n"
    + message + "\n");

```

If the name for the file happens to be the name of an already existing directory instead of a file, the user should be informed. If the file exists and cannot be overwritten, the user should be informed. Finally, if the file exists and can be overwritten, the user should be given a chance to bail out of the situation. The program supplies this last chance to the user by forcing the user to press **OK** or **Cancel** before any actions to overwrite the file take place. The buttons other than **OK** and **Cancel** need to be disabled, the **boolean** flag **processingSave** needs to be assigned the value **true** so that the **OK** and **Cancel** button handlers know the situation, and finally the user needs to be informed of the situation. The following three lines of code accomplish the tasks just described.

```

adjustButtons(false);
processingSave = true;
messagesTA.appendText("Press OK to overwrite file "+
    fileName + " or press Cancel to cancel save request\n");

```

Consider the code of class `SaveBHandler` displayed below with the lines involved in the file processing highlighted.

```

public class SaveBHandler implements ActionListener {
    public void actionPerformed(ActionEvent e) {
        fileName = fileNameTF.getText();
        fileNameTF.setText("");
        String message = "";
        if(fileName.compareTo("") > 0){
            File theFile = new File(fileName);
            if(!theFile.exists()) {
                message = myPhoneList.saveToFile(fileName);
                messagesTA.setText("Data saved to file "+fileName+".\n"
                    + message + "\n");
            } else if (theFile.isDirectory()){
                messagesTA.setText("Error: " + fileName + " is a directory.\n");
            } else if (!theFile.canWrite()) {
                messagesTA.setText("Cannot write data to "+fileName+".\n");
            } else {
                adjustButtons(false);
                processingSave = true;
                messagesTA.setText("\nPress OK to overwrite file "+
                    fileName + " or press Cancel to cancel save request\n");
            } //else
        } else {
            messagesTA.setText("You must enter a file name in order to save a file");
        } //else
    } //actionPerformed
} //SaveBHandler

```

Note that we have assumed that a `PhoneInfoList` object knows how to save itself to a file when provided with the file name as an argument to its `saveToFile` method as in the line of code

```
message = myPhoneList.saveToFile(fileName);
```

Both the `SaveBHandler` and `EnterBHandler` methods may pass control of the situation to the `actionPerformed` methods of the `OKBHandler` and `CancelBHandler` objects. We will address this issue after we finish the **Save** operation.

### The saveToFile Method

We need to see just how the **Save** operation is coded. We have assumed that `myPhoneList` is of class `List<PhoneInfo>`. Hence, the `saveToFile` method is needed in the `List<E>` class.

We need to connect two streams of bytes to accomplish moving the data from memory to a file. We will construct a `FileOutputStream` object using the file name as an argument. The `FileOutputStream` object is a conduit through which bytes flow to a file. We must construct an `ObjectOutputStream` through which the bytes of an object flow from memory to the `FileOutputStream`. In addition, we want to preserve the structure of our data as `PhoneInfo` objects. In order to do that, we needed to declare that the class `PhoneInfo` implements `java.io.Serializable` and that class `Name` implements `java.io.Serializable`. The following diagram describes the data conduit we will construct.

phoneInfo object in memory → `ObjectOutputStream` → `FileOutputStream` → file

There are five constructors for `FileOutputStream` objects provided in the API. Their signatures are as follows:

`FileOutputStream(File)`

Creates a file output stream to write to the file represented by the specified `File` object.

`FileOutputStream(File, boolean)`

Creates a file output stream to write to the file represented by the specified `File` object.

`FileOutputStream(FileDescriptor)`

Creates an output file stream to write to the specified `FileDescriptor`, which represents an existing connection to an actual file in the file system.

`FileOutputStream(String)`

Creates an output file stream to write to the file with the specified name.

`FileOutputStream(String, boolean)`

Creates an output file stream to write to the file with the specified name.

We have chosen to use the fourth constructor in our code, simply passing the file name to the `FileOutputStream` constructor.

There are two constructors for an `ObjectOutputStream` object provided by the API. The signature of the one we will use is as follows:

`ObjectOutputStream(OutputStream)`

Creates an `ObjectOutputStream` that writes to the specified `OutputStream`.

In our code, we first construct the `FileOutputStream` object and pass it as an argument to the `ObjectOutputStream` constructor. We use an anonymous `FileOutputStream` and immediately pass it to the `ObjectOutputStream` constructor as follows:

```
ObjectOutputStream oOS = new ObjectOutputStream(
    new FileOutputStream(fileName));
```

Some of the methods available in class `ObjectOutputStream` are listed below. Our code uses only `writeObject`, `flush`, and `close`.

`close()`—Closes the stream.

`defaultWriteObject()`—Write the non-static and non-transient fields of the current class to this stream.

`flush()`—Flushes the stream.

`reset()`—Reset will disregard the state of any objects already written to the stream.

`write(byte[])`—Writes an array of bytes.

`write(byte[], int, int)`—Writes a sub array of bytes.

`write(int)`—Writes a byte.

`writeBoolean(boolean)`—Writes a `boolean`.

`writeByte(int)`—Writes an 8 bit byte.

`writeBytes(String)`—Writes a `String` as a sequence of bytes.

`writeChar(int)`—Writes a 16 bit char.

`writeChars(String)`—Writes a `String` as a sequence of chars.

`writeDouble(double)`—Writes a 64 bit `double`.

`writeFloat(float)`—Writes a 32 bit `float`.

`writeInt(int)`—Writes a 32 bit `int`.

`writeLong(long)`—Writes a 64 bit `long`.

`writeObject(Object)`—Write the specified object to the `ObjectOutputStream`.

`writeShort(int)`—Writes a 16 bit `short`.

`writeUTF(String)`—Writes a `String` in UTF format.

Since various exceptions can be thrown in the process of constructing and using an `ObjectOutputStream`, we have simply chosen to take Peter Van Der Linden's advice and catch any `Exception`. The code for our method `saveToFile` is shown below. It uses the standard template for processing each entry in an array with a `for` loop. In the body of the loop, we write each object to the `ObjectOutputStream` `oOS`. It is good practice to `flush` any bytes out of the stream and `close` the stream when we have completed transmitting all of the bytes through `writeObject`.

```
public String saveToFile(String fileName) {
    String messageFromSave = "";
    try{
        ObjectOutputStream oOS = new ObjectOutputStream(
            new FileOutputStream(fileName);
        for(int i = 0; i < myCount ; i++) {
            oOS.writeObject(myArray[i]);
        }//for
        oOS.flush();
        oOS.close();
    }//try
    catch(Exception e) {
        messageFromSave = e.toString();
    }//catch
    return messageFromSave;
}//saveToFile
```



### Classes OkayBHandler and CancelBHandler

The structure of the code in the `actionPerformed` methods of classes `OkayBHandler` and `CancelBHandler` are similar in that they need to select between alternatives depending on which `boolean` flag is set. It is also the case that the **OK** and **Cancel** buttons should not generate events unless one of these flags is set to `true`. Hence, we have written the code below to test the flags to determine the appropriate actions and to send an error message to the Java Console view if somehow the code is handling an event for one of these buttons when it should be disabled, i.e., none of the flags is set to `true`. Consider first the code in the `actionPerformed` method of class `CancelBHandler` since it is simpler to cancel a request than to perform a request. Note that the appropriate `boolean` flag needs to be reset to `false` just after we acknowledge to the user that the **Save**, **Enter**, or **Delete** request has been cancelled. In addition, note that the enabled status of all of the buttons must be reversed and all text fields cleared as the last action this code performs by invoking the `reset` method.

```
public class CancelBHandler implements ActionListener {
    public void actionPerformed(ActionEvent e) {
        if(processingSave) {
            messagesTA.setText("Save request cancelled. "
                + fileName + "unchanged.\n");
            processingSave = false;
        } else if (duplicateRecord) {
            messagesTA.setText("Information about "+ fName
                + " " + lName + " unchanged.\n");
            duplicateRecord = false;
        } else if (processingDelete){
            messagesTA.setText("Delete request cancelled. \n");
            processingDelete = false;
        } else {
            System.out.println("Cancel Button being handled at inappropriate time"
                + e.toString());
        } //else
        reset();
    } //actionPerformed
} //CancelBHandler
```

The code in the `actionPerformed` method of the `OkayBHandler` class is just a bit longer because it must contain the code to command the `saveToFile` or `delete` a record or `add` a record's replacement. Notice, however that the structure of decision making is the same. The `boolean` flags are used to select the appropriate code segment to execute.

```

public class OkayBHandler implements ActionListener {
    public void actionPerformed(ActionEvent e) {
        if (processingSave) {
            String errormsg = myPhoneList.saveToFile(fileName);
            messagesTA.setText(fileName + " over written.\n"
                               + errormsg + "\n");
            processingSave = false;
        } else if (duplicateRecord) {
            if (myPhoneList.delete(found)) {
                if (myPhoneList.add(currentPIRecord)) {
                    messagesTA.setText("\nRecord for " + currentPIRecord.getName()
                                       + " changed.\n");
                } else {
                    messagesTA.setText("\nError in adding new record. " +
                                       fName + " " + lName + " deleted from DB.\n");
                } //else
            } else {
                messagesTA.setText("\nError in deleting old record. "
                                   + "No change in DB.\n");
            } //inner else
            duplicateRecord = false;
        } else if (processingDelete) {
            if (myPhoneList.delete(found)) {
                messagesTA.setText("The record for " + found + " was deleted.");
            } else {
                messagesTA.setText("Failure occurred in deleting " + found + ".\n");
            }
            processingDelete = false;
        } else {
            System.out.println("OK Button being handled at inappropriate time"
                               + e.toString());
        } //else
        reset();
    } //actionPerformed
} //OkayBHandler

```

### Class LoadBHandler

Finally, we come to the class `LoadBHandler`. The `actionPerformed` method of class `LoadBHandler` should construct a `File` object using the file name supplied by the user and make sure that the file exists, that it is a file, and that it can be read before attempting to read the objects from the file and insert them into `myPhoneList`. The `actionPerformed` method should display an appropriate error message if the file either does not exist or cannot be read. In the case that the file exists and can be read, we need to construct the following layering of streams to accomplish our goal of reading and reconstituting the `PhoneInfo` objects stored in the file.

phoneInfo object in file → `FileInputStream` → `ObjectInputStream` → memory

A `FileInputStream` must be constructed before the `ObjectInputStream` can be constructed.

We need some kind of looping structure, like a `while` loop, to repeatedly read an object from the `ObjectInputStream`, cast that object to class `PhoneInfo` to regain its former structure, and insert that `PhoneInfo` object into `myPhoneList`.

There are three constructors in class `FileInputStream`. Their signatures are listed below. In our code, we have chosen simply to pass the `String` that refers to the file name to the `FileInputStream` constructor.

`FileInputStream(File)`

Creates a `FileInputStream` by opening a connection to an actual file, the file named by the `File` object in the file system.

`FileInputStream(FileDescriptor)`

Creates a `FileInputStream` by using the file descriptor, which represents an existing connection to an actual file in the file system.

`FileInputStream(String)`

Creates a `FileInputStream` by opening a connection to an actual file, the file named by the path in the file system.

There are two constructors in class `ObjectInputStream`. The signature of the constructor we use is as follows:

`ObjectInputStream(InputStream)`

Creates an `ObjectInputStream` that reads from the specified `InputStream`.

Below are some of the methods available in class `ObjectInputStream`. Our code uses only the `readObject` method.

`available()`—Returns the number of bytes that can be read without blocking.

`close()`—Closes the input stream.

`defaultReadObject()`—Read the non-static and non-transient fields of the current class from this stream.

`read()`—Reads a byte of data.

`read(byte[], int, int)`—Reads into an array of bytes.

`readBoolean()`—Reads in a `boolean`.

`readByte()`—Reads an 8 bit byte.

`readChar()`—Reads a 16 bit char.

`readDouble()`—Reads a 64 bit double.

`readFloat()`—Reads a 32 bit float.

`readFully(byte[])`—Reads bytes, blocking until all bytes are read.

`readFully(byte[], int, int)`—Reads bytes, blocking until all bytes are read.

`readInt()`—Reads a 32 bit int.

`readLine()`—Reads in a line that has been terminated by a `\n`, `\r`, `\r\n` or `EOF`.  
`readLong()`—Reads a 64 bit `long`.  
`readObject()`—Read an object from the `ObjectInputStream`.  
`readShort()`—Reads a 16 bit `short`.  
`readUnsignedByte()`—Reads an unsigned 8 bit byte.  
`readUnsignedShort()`—Reads an unsigned 16 bit `short`.  
`readUTF()`—Reads a UTF format `String`.  
`registerValidation(ObjectInputValidation, int)`—Register an object to be validated before the graph is returned.  
`skipBytes(int)`—Skips bytes, block until all bytes are skipped.

We should note another technique used in our coding of the method `loadFromFile`. We have written what appears to be an infinite loop headed with `while(true)`. However, we know that the file will have finite length. After the last object has been read, the invocation of `readObject` will fail and throw an `EOFException` (end of file). The code of `loadFromFile` is ready to catch that `EOFException`. In fact, our code depends on that `EOFException` being thrown and caught to make the program work, and we never write an instruction to close the input stream.

We want to display an error message for the user regarding any unusual condition encountered as the file is read object by object. Hence, the return type of method `loadFromFile` will be `String`. The method will begin with a local variable named `toReturn` initialized to an empty `String`. If any record from the file fails to be added to the database, the information about that record will be appended to the `String` `toReturn`. If an `Exception` is thrown as the file is read, and that `Exception` is not an `EOFException`, the `String` representation of the `Exception` will be appended to the `String` `toReturn`. The last line of code of `loadFromFile` returns a reference to `toReturn`. Hence, the `actionPerformed` method of class `LoadBHandler` can test the value of the returned `String` to determine whether any unexpected behavior occurred or if an `Exception` resulting from an error condition was thrown.

The method `loadFromFile` has one parameter that is the `String` reference to the file name entered by the user. Our method `loadFromFile` is declared in the class `List<E>` and is displayed below.

```

public String loadFromFile(String fileName) {
    String toReturn = "";
    try {
        ObjectInputStream oIS =
            new ObjectInputStream(new FileInputStream(fileName));
        while(true) {
            E fromFile = (E)(oIS.readObject());
            E found = search(fromFile);
            if( found == null) { //object not already in List
                if (add(fromFile)) {
                    toReturn += fromFile + " successfully added to List.\n";
                }else {

```

```

        toReturn += fromFile + " not successfully added to List.\n";
    } //inner else
} else {
    toReturn += found + " already in DB.\n"
        + "record not added from file!\n";
} //else
} //while
} //try
catch (EOFException eOF) {
} //catch
catch (Exception e) {
    toReturn += e;
} //catch
return toReturn;
} //loadFromFile

```

Finally, we will display the code of the `LoadBHandler` class below. Note how we invoke the method `loadFromFile` on `myPhoneList` and how we test the returned `String`.

```

public class LoadBHandler implements ActionListener {
    public void actionPerformed(ActionEvent e) {
        fileName = fileNameTF.getText();
        if (fileName.compareTo("") > 0) {
            File theFile = new File(fileName);
            if (!theFile.exists()) {
                messagesTA.setText(fileName +
                    " does not exist—cannot load data\n");
            } else if (!theFile.canRead()) {
                messagesTA.setText("Cannot read from " + fileName + "\n");
            } else {
                String fromLoad = myPhoneList.loadFromFile(fileName);
                messagesTA.setText("Data loaded from " + fileName + "\n"
                    + fromLoad + "\n");
            } //else
            clearInputFields();
        } else {
            messagesTA.setText("You must enter a file name " +
                "in order to load a file");
        } //else
    } //actionPerformed
} //LoadBHandler

```

**Exercises 13.1 and 13.2 : Extensions of Exercises 12.4 and 12.5**

For Exercise 13.1, use the database of address information application described in Exercise 11.10 and continued in Exercise 12.4. For Exercise 13.2, use the database of birthday information described in Exercise 11.12 and continued in Exercise 12.5.

Modify both the front end and the back end of the database application so that:

- 1) The user may save the data to a file she specifies. In addition, the program should:
  - a) Warn the user if she is about to overwrite an existing file, and give the user the option to cancel the save request if she does not wish to overwrite the existing file.
  - b) Warn the user if the file exists and is locked, and hence cannot be overwritten.
  - c) Provide the user with an appropriate message if saving to the file is successful.
- 2) The user may read load data from a file. The program should provide the user with an appropriate message in each of the following situations:
  - a) The file does not exist.
  - b) The name specified is a directory name and not a file name.
  - c) Reading from an existing file is not possible.
  - d) Reading from the requested file is successful.

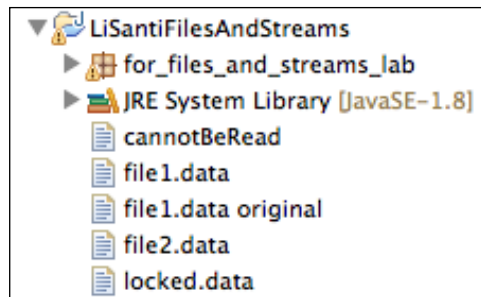
**Exercises 13.3 and 13.4: Extensions of Exercises 13.1 and 13.2**

Instead of writing each object in the `List<E>` to a file one at a time and later adding them back from the file into another `List<E>` one at a time, serialize the entire `List<E>`. Then simply write the entire list to the file with one line of code when the data is to be saved and read the entire list from the file when the data is to be loaded.

## Lab: Files and I/O Streams • Chapter 13

The objective of this lab is to learn about some of the methods of the `File` class supplied as part of the Java API in the package `java.io`. These methods provide a way to give reasonable error messages to the user when difficulty is encountered during file processing.

- 1) Get a copy of the folder named `for_files_and_streams_lab` onto the **Desktop**.
- 2) Get a copy of the folder `dataForFilesAndStreams` onto the **Desktop**.
- 3) You will run the application that is coded into `TryFileClass`. The purpose of the application is to demonstrate some of the information available to you through calls to methods of the `File` class provided as part of the `java.io` package. The methods used in this lab are `exists()`, `isFile()`, `canRead()`, `getName()`, and `canWrite()`. The purposes of these methods are somewhat obvious from their names, but below you will be asked to write a sentence describing each method's usefulness.
- 4) Launch Eclipse, create a Java project entitled with **your name** and `FilesAndStreams`, and add the folder `for_files_and_streams_lab` to the project. In the pictures below and in the text of this handout, my Eclipse project folder is named `LiSantiFilesAndStreams`.
- 5) Select all files WITHIN the folder `dataForFilesAndStreams` and add them to the project. You should have a project structure similar to the one shown below.



- 6) In the **Finder**, double click the folder that Eclipse created for your project to open its window.
- 7) Select the file named `locked.data`.
- 8) Select **Get Info** from the **File** menu.
- 9) Check the locked check box. We should find later that this file cannot be overwritten.



10) A small padlock icon should appear on the file's icon in the **Finder** window.



11) Select the file named **file2.data**.

12) Select **Get Info** from the **File** menu.

13) Check the locked check box. We should find later that this file cannot be overwritten. A small padlock icon should appear on the file's icon in the **Finder** window.

14) Launch the Terminal application.

15) Change directories into your Eclipse project folder by typing the following at the prompt (with your Eclipse project folder name substituted for mine) :

```
cd Desktop/LiSantiFilesAndStreams
```

16) List the contents of the folder with a display of the permissions on each item by typing the following at the prompt:

```
ls -la
```

17) **rw-rw-rw-** means that the user (you), the group, and any other user have read, write, and execute permissions. Change your permission on the file named **cannotBeRead** by typing the following at the prompt:

```
chmod u-r cannotBeRead
```

**u-r** stands for "user minus read". You are doing this to create a file in your folder that you cannot read.

18) Type the **ls -la** command again and note that the first **r** in the permissions on file **cannotBeRead** has been replaced with a dash.

19) Type **logout** in the Terminal application's window.

20) Quit the Terminal application.

21) Within Eclipse's **Package Explorer** view, open the file **TryFileClass.java**.

22) Run the application.



23) Type the file name `for_files_and_streams_lab/TryFileClass.java` in the text field below the prompt.

24) Click the **Test** button.

Q1) What information about the file `for_files_and_streams_lab/TryFileClass.java` is displayed in the text area?

25) Type the folder name `for_files_and_streams_lab` in the text field below the prompt.

26) Click the **Test** button.

Q2) What information about the folder `for_files_and_streams_lab` is displayed in the text area?

27) Type the file name `locked.data` in the text field below the prompt.

28) Click the **Test** button.

Q3) What information about the file `locked.data` is displayed in the text area?

29) Finally, you will try with a file name for a file that does not exist. Type your name in the text field below the prompt.

30) Click the **Test** button.

Q4) What information about the nonexistent file having your name is displayed in the text area?

31) Quit the application by clicking the red button in the left end of the window's title bar.

32) Below you will answer some additional questions about the code in the file `TryFileClass.java`. Note that the class `TryFileClass` not only **extends** `JFrame`, but also **implements** `ActionListener`. Hence, the object constructed is its own handler. Read the code in the `actionPerformed` method of class `TryFileClass`, answer the following questions in complete sentences, and submit your work to the lab instructor.

Q5) What is the class of objects that can invoke the methods `exists()`, `isFile()`, `canRead()`, `getName()`, and `canWrite()`?

Q6) What is the **return** type of each of the methods `exists()`, `isFile()`, `canRead()`, `getName()`, and `canWrite()`?

Q7) For each of the methods `exists()`, `isFile()`, `canRead()`, `getName()`, and `canWrite()` describe how to interpret the value it returns.

33) Close the editor view for **TryFileClass.java**.

34) Double click on the file **TryStreams.java** in the **Package Explorer** view to open the file and read the code of class **TryStreams**.

You will now run this application in several different situations. Some of those situations will throw exceptions that will be caught by the code already provided. Your eventual objective will be to provide more code in this program, using the **File** class, that will give appropriate messages to the user and avoid exception throwing.

35) Run the application.

36) Enter the file name **file1.data** in the text field below the prompt.

37) Click the **Read File** button in the SOUTH segment of the layout and note the message displayed.

38) Enter the file name **file1.data** in the text field below the prompt.

39) Click the **Save File** button in the SOUTH segment of the Layout and note the message displayed. The values in **file1.data** have been over written. The old values are lost forever!

40) Enter the file name **file1.data** in the text field below the prompt.

41) Click the **Read File** button. You get a message displaying the values that were read from the file and confirming that the original values are gone.

42) Enter the file name **file2.data** in the text field below the prompt.

43) Click the **Read File** button. You should see the message displaying the values that were read from the file.

44) Enter the file name **file2.data** in the text field below the prompt.

45) Click the **Save File** button. Note the message displayed because the file named **file2.data** is locked. Hence, you cannot over write its contents.

46) Enter the file name **file2.data** in the text field below the prompt.

47) Click the **Read File** button. Again, you should see the message displaying that values were read from the file. Note that these values have not changed since the previous read, indicating that as noted, the earlier request to save failed.

48) Enter the file name **file3.data** in the text field below the prompt.

49) Click the **Read File** button. Note the error message generated by the fact that **file3.data** does not exist!

50) Enter the file name **file3.data** in the text field below the prompt.

51) Click the **Save File** button. **file3.data** now exists.

52) Enter the file name **file3.data** in the text field below the prompt.

53) Click the **Read File** button. You should see the message displaying the values that were read from the file. This is additional confirmation that the last save was successful. Note that **file3.data** will appear in the Finder's window for your Eclipse project, but not in the **Package Explorer** view.

54) Quit the application by clicking the red button in the left end of the window's title bar.

- 55) Now you will add code in the **actionPerformed** method of the **ReadHandler** class to provide the following more user friendly messages. In the **ReadHandler** class, add code BEFORE the **try** and **catch** clauses to
- a) display an appropriate message and avoid throwing an exception and execution of the **catch** if the item associated with the filename does not exist.
  - b) display an appropriate message and avoid throwing an exception and execution of the **catch** if an item associated with the filename exists, but is not a file.
  - c) display an appropriate message and avoid throwing an exception and execution of the **catch** if the item exists and is a file, but cannot be read.

- 56) Run and debug the project. Make sure to test your program with:

**file1.data**—No error messages should be generated in reading.

**file2.data**—No error messages should be generated in reading.

**for\_files\_and\_streams\_lab**—An error message should be generated when reading, indicating that the item exists, but is not a file.

**frog.data**—An error message should be generated when reading, indicating the file does not exist.

Now you will add code in the **actionPerformed** method of the **SaveHandler** class to provide the following more user friendly messages.

- 57) In the **SaveHandler** class, add code BEFORE the **try** and **catch** clauses to

- a) tell the user that the file is being overwritten if the item already exists, and is a file.
- b) display an appropriate message and avoid throwing an exception and execution of the **catch** if the item exists and is a file, but cannot be over written.
- c) display an appropriate message and avoid throwing an exception and execution of the **catch** if the item exists and is not a file.

- 58) Run and debug the project. Make sure to test your program with:

**file1.data**—A warning message should be generated in writing, indicating that the existing file is being overwritten.

**file2.data**—An error message should be generated when writing indicating that the existing file cannot be overwritten.

**for\_files\_and\_streams\_lab**—An error message should be generated when writing, indicating that this is a directory.

**moose.data** —No error messages should be generated in writing (since the file does not exist).

- 59) Print a hard copy of the program, making sure that you have included your name in the comments, and submit it to the lab instructor.

- 60) Quit Eclipse.

- 61) Copy you're the edited **TryStreams.java** onto a flash drive.



# 14

## Introduction to Linked Lists

### INTRODUCTION

---

#### *Why Not Just Use Arrays?*

In the last few chapters we have explored the array construct that Java provides. Arrays provide a convenient way to represent the commonly used data structure called a *list*. Lists provide us with a means of maintaining several pieces of data, all of the same class or type. Many computer applications, including databases and word processors, rely heavily on the use of such lists. However, arrays are not the only data structure used to maintain a list. A second common representation for a list is called a *linked list*. Both types of lists have their advantages and disadvantages. However, it is useful now to look at some of the disadvantages of lists whose underlying representation uses an array.

When the JRE constructs an array, Java dynamically allocates a certain amount of memory for the array based on the argument we send to the array constructor. For example, the code below declares an array of `ints` named `myNumbers` and allocates 100 contiguous memory locations for `int` values.

```
int [ ] myNumbers = new int [100];
```

As another example, the code below declares an array of `Strings` named `myStrings` and allocates 100 contiguous memory locations for references to `String` objects.

```
String[] myStrings = new String[100];
```

Once we have asked Java to allocate the space for the array, we cannot extend or shrink the size of the array. Our only option is to request a new allocation of space, and discard the currently allocated space. When is this an issue? If we are writing a program, we must anticipate the maximum number of positions in the array that we will need. If,

during execution of our program, we find that we need a larger array, we cannot extend the existing array. This is a problem, because presumably, the existing array is full, and we do not want to lose the data that is already maintains. To fix this problem, we must employ an algorithm something like the following:

*When we need to expand the size of the array, because it has reached capacity:*

- Declare a reference to a second array named `temp`.
- Construct an array that has more space allocated to it than the original array and make `temp` point to the second array.
- Copy the values stored in the original array into the second array.
- Make the reference to the original array point to the second array.

Although these steps seem simple, the overhead for copying the contents is rather high.

**Exercise 14.1:** Write a program that constructs a five element array of `String` references. The program should read `Strings` from the user and store the references in the array until she indicates she has no more data to read. If the array becomes full, the program should have a method that doubles the capacity for `Strings` that can be stored using the algorithm described above.

Moreover, because of the fixed size of an array, we must always be checking to determine if an action attempts to use an index that is out of range or otherwise invalid. For example, before we add an element to the array, we must make sure there is space for it. When we delete an element from an array, we have freed up space that should allow another element to be added.

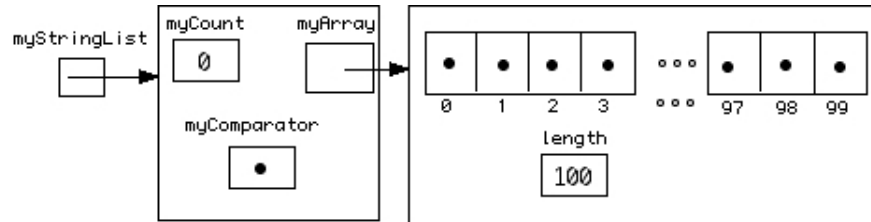
Although accessibility of array elements is flexible—we can easily move from the 2nd element to the tenth element—manipulating the actual contents of the array requires a bit of work. Consider the issue of deleting an element from an array of 100 elements. Let us assume we wish to delete the element in position 50 and that the array currently holds 89 elements. We have two options. The first option is to clear out the value at position 50. Although this seems like an easy solution, it makes tasks such as adding to the array, sorting the array, and printing the array more difficult. For example, if we wish to add to the array, we must search for an empty spot in which to add. We no longer just add at the end of the array. Another solution is to move up elements in positions 51 through 88 to the next lower index position. This requires a lot of assignments, but makes methods that do such tasks as adding and sorting much easier. Neither solution is very elegant.

Linked lists have as some of their advantages flexibility for modification and for changes in size.

### An Overview: Arrays vs. Linked Lists

Recall the class `List<E>` from Chapter 12, and consider the picture below of the object `myStringList` shown just after the list has been constructed with the following line of code:

```
List<String> myStringList = new List<>(100);
```



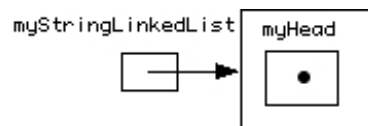
**Figure 14.1** An empty list with an underlying array

In comparison, assume that we have written the Java code necessary to define a class `WCSLinkedList<E>`, and consider the following object, shown in Figure 14.2 below, constructed as a linked list of `Strings` with the following line:

```
WCSLinkedList<String> myStringLinkedList = new WCSLinkedList<>();
```

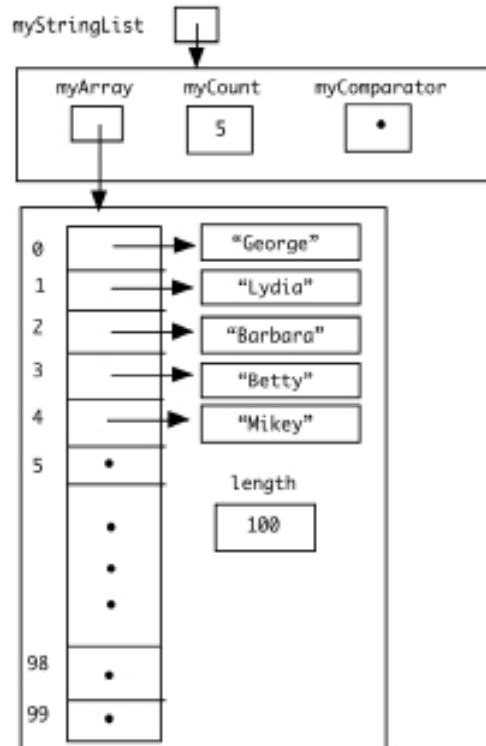
Note that we have used the diamond operator of Java 1.7 in the line of code above. The compiler can infer the type parameter for the construction of the `WCSLinkedList` from the type parameter used in the declaration of `myStringLinkedList`. Hence, we do not need to repeat the type parameter `String` within the angle brackets on the right side of the assignment operator.

This list has no counter, and, as yet, uses very little space in memory. It appears that the linked list object has one instance variable named `myHead` and its value is currently `null`. Exercise 14.21 adds an instance variable for a `Comparator` object to the `WCSLinkedList` class.



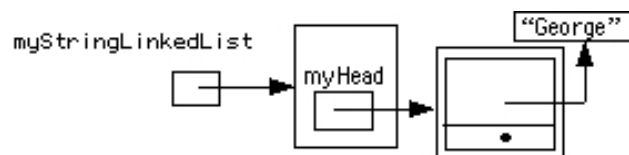
**Figure 14.2** An empty list with an underlying linked list

Assuming that we have added five elements to our list that is implemented with an array, it would look as follows:



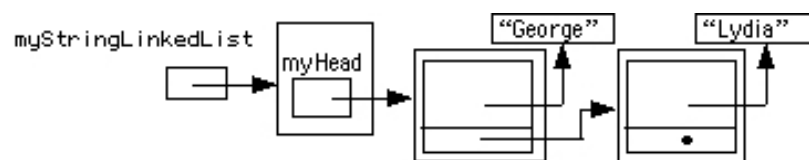
**Figure 14.3** A list that contains 5 elements with an underlying array structure

As we add elements to our linked list structure, it will grow dynamically as space is needed. Hence, after adding the first element, it will look as in Figure 14.4 below:



**Figure 14.4** A list that contains 1 element with an underlying linked structure

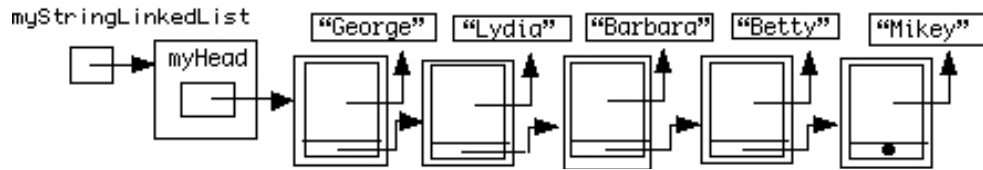
After adding the second element to the linked structure, it appears as in Figure 14.5 below:



**Figure 14.5** A list that contains 2 elements with an underlying linked structure

By the time we have added five elements, the linked structure will appear as in Figure 14.6 below:





**Figure 14.6** A list with an underlying linked structure that contains 5 elements

A linked list is made up of individual objects called *nodes*. Each node has two essential parts or *fields*: its data field and its link field. The data field of a node can be a pointer to an object (as shown above), or it can be a primitive value, like an `int`. The link field is a reference or *pointer* to another node. It is *important* to realize that the link field points to the entire node that follows. For example, the link field of the node containing a reference to "George" points to the entire node that contains a reference to "Lydia". The link out of the node containing "George" is *not* just pointing to the data field of the next node.

Notice that in our Figure 14.6, the link field in the last node in the linked list has a round dot, indicating that it is not pointing to anything. This reference is said to have the value `null`. If another item is added to the end of the list, that reference will point at the new node, and the link field of the new node will have the value `null`. Look back at Figures 14.4, 14.5, and 14.6 provided above to see how the link field in the last node in the list always has the value `null`.

Notice that the only named reference within the linked list is the reference `myHead`, that points to the first node in the list. In order to access the nodes in the list, we will always have to start with the reference `myHead`.

**Exercise 14.2:** Draw a picture of the linked list structure `myStringLinkedList` right after the third node containing a reference to "Barbara" is added to the list. This picture shows the list before "Betty" and "Mikey" have been added.

### The Basics of a Linked List in Java

In order to construct a linked list in Java, we will need two classes: one for the list itself and one for the nodes. We can then declare and construct variables of the linked list class. We will begin by introducing the **Node** class. As noted earlier, an object of class **Node** has two fields, a data field and a link field. These fields correspond to instance variables in Java. To make our design reusable, we will assume the data field is of the generic type `E`, indicating that our linked list can hold any class of object that Java provides or that we define. In the discussion below we will use the terminology that "a **Node** contains a reference to a data item".

Hence, the beginning of the **Node** class looks as follows:

```
public class Node<E> {
    private E myData;
    private Node<E> myLink;

    ■■■ //more to come
} //Node class
```

Like most classes, the **Node** class will have at least one constructor. Normally when we construct a **Node**, we will want to pass in the data that the **Node** will reference. Hence, the constructor would look as follows if we assign the default value of **null** to the link field:

```
public Node (E theData) {
    myData = theData;
    myLink = null;
} // 1 parameter constructor
```

It may also be useful to write a two parameter constructor for the **Node** class, where both the data and the link fields are passed in as parameters to the constructor. This method is left to you as an exercise.

**Exercise 14.3:** Write the two parameter constructor for the **Node** class.

The **Node** class will also need **get** and **set** methods for each instance variable. These are like other standard **get** and **set** methods, and therefore are left as an exercise.

**Exercise 14.4:** Write the following methods for the **Node** class:

- a) setData
- b) getData
- c) setLink
- d) getLink

When you have completed the above exercises, the **Node** class is complete.

The second class for the list we will name **WCSTLinkedList**. We will think of objects of this class as linked lists of **E** objects, and hence use the type parameter **<E>** in the class header. Referring to the pictures above, we can see that the **WCSTLinkedList** class has one instance variable, which we have named **myHead**, that can point or refer to a **Node<E>** object. Hence, the class of the instance variable **myHead** is **Node<E>**. In constructing the initial list, we indicate the list is empty by assigning **myHead** the value **null**. The declaration of the instance variables and the constructor are shown below.

```
public class WCSTLinkedList<E> {

    private Node<E> myHead; //refers to the first node in the list

    public WCSTLinkedList() {
        myHead = null;
    } // 0-parameter constructor

    ■■■ //more to come
} //WCSTLinkedList class
```

Using our definitions so far, we can declare and construct the simple list structure shown in Figure 14.2.

### Adding Elements by Brute Force

How do we add to a linked list? To continue our discussion of linked list syntax and semantics, we will begin by adding to the list in the most basic way possible. All of the statements provided in this section would be in the `WCSLinkedList` class itself, in its `main` method simply for testing purposes. In general, we would never want to construct lists in this way. However, using what is presented here, we will be able to create algorithms that generalize the process.

An empty list object could be declared and constructed within our `main` method using the following statement:

```
public static void main (String args []){
    WCSLinkedList<String> myStringLinkedList = new WCSLinkedList<>();
} //main
```

The identifier `myStringLinkedList` is the identifier used to reference the list object from the code where it is constructed. The `private` instance variable `myHead` is crucial in that it points to the first node in the linked list of nodes. In the discussion below, we take the perspective from *within* the class `WCSLinkedList` and refer to our views of the list from that perspective as “internal” views of the list. Consider the following internal view of the empty list:



**Figure 14.7** Internal view of `myStringLinkedList` when the list is empty

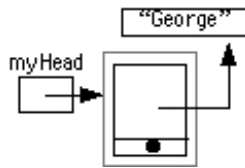
Putting the first element in this list requires constructing a new `Node`, and assigning the reference `myHead` to point to it. This is a task you have performed many times, and is accomplished with the highlighted line of code within the `main` method of the `WCSLinkedList` class:

```
public static void main (String args []){
    WCSLinkedList<String> myStringLinkedList = new WCSLinkedList<>();
    myStringLinkedList.myHead = new Node<String>("George");
} //main
```

The diamond operator of Java 1.7 can be used once again to shorten the highlighted code above. The type parameter needed for the `Node` construction can be inferred by the compiler from the type of `myStringLinkedList.myHead` which is `Node<String>`. Hence, we use the shorter version of the code displayed below.

```
public static void main (String args []){
    WCSLinkedList<String> myStringLinkedList = new WCSLinkedList<>();
    myStringLinkedList.myHead = new Node<>("George");
} //main
```

Note that we are using the one parameter **Node** constructor, that expects an argument of type **String**. Since "George" is a literal **String** value, it is an acceptable argument. Once this is accomplished, the internal view of the list is as follows:

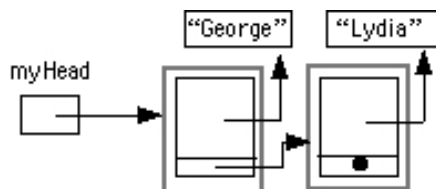


**Figure 14.8** Internal view of **myStringLinkedList** after one **Node** has been added

Putting the next **Node** in the list is a bit trickier. Like adding the initial element to the list, we must construct a **Node** object, and then have a reference point to it. Which reference do we want to point to the new **Node**? We want the new **Node** to be pointed to by the link field in the **Node** that contains the reference to "George". The reference to that **Node** is **myHead**. To change the value of one of the **Node**'s instance variables, we need to use a modifier or **set** method, in this case, the method **setLink**. The following highlighted line could be used within the **main** method of the **WCSLinkedList** class:

```
public static void main (String args []){
    WCSLinkedList<String> myStringLinkedList = new WCSLinkedList<>();
    myStringLinkedList.myHead = new Node<>("George");
    myStringLinkedList.myHead.setLink(new Node<>("Lydia"));
} //main
```

Once this is accomplished, the internal view of the list is as in Figure 14.9 below:



**Figure 14.9** Internal view of **myStringLinkedList** after two **Nodes** have been added

Putting the third **Node** in the list again requires constructing a **Node** object and making the appropriate reference point to it. In this case, the reference that should point to it is the link reference found in the **Node** that contains the reference to **Lydia**. The construction is again trivial, but setting the link becomes more difficult, because access to the link must begin with the reference **myHead**.

Note the following:

- **myHead** is the reference to the **Node** containing the reference to "George".
- **myHead** was given an initial value using an assignment statement.
- **myHead.setLink(...)** modifies the value of the link field in the **Node** that contains the reference to "George". In our example, it changed its value from **null** to a reference to the **Node** that contains the reference to "Lydia".
- **myHead.getLink()** returns the value of the link field in the **Node** that contains the reference to "George" so that we can access the **Node** to which it points, in this case, the **Node** that contains the reference to "Lydia".

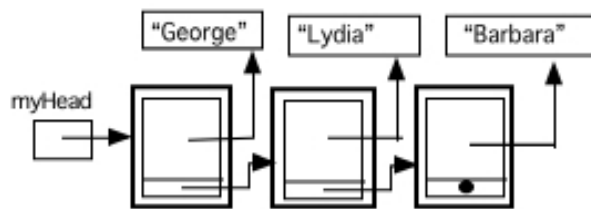
- Since `myHead.getLink()` is a reference to the **Node** that contains the reference to "Lydia", we can use it *in conjunction with* `get` and `set` methods to access or modify the link field of the **Node** that contains the reference to "Lydia".

Hence, to add a new **Node** to the end of our current list, we would use the final line of code within the `main` method of the `WCStringLinkedList` class:

```
public static void main (String args []){
    WCStringLinkedList<String> myStringLinkedList = new WCStringLinkedList<>();
    myStringLinkedList.myHead = new Node<>("George");
    myStringLinkedList.myHead.setLink(new Node<>("Lydia"));
    myStringLinkedList.myHead.getLink().setLink(new Node<>("Barbara"));
} //main
```

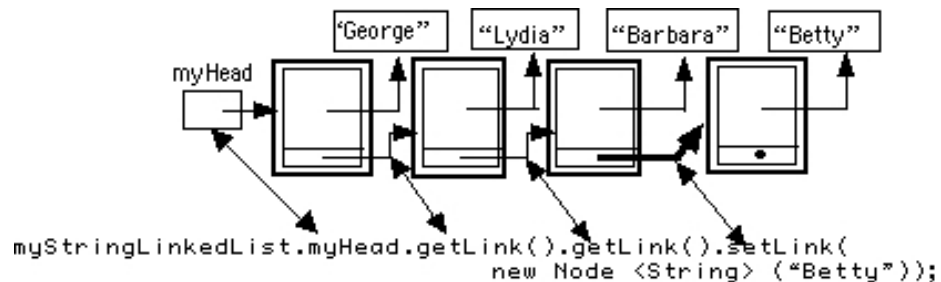
Note, the highlighted portion of the statement is a reference to the **Node** that contains the reference to "Lydia". We are then requesting that the field `myLink` of that **Node** be made to point at a new **Node** containing the reference to "Barbara".

The internal view of the list now is as in Figure 14.10:



**Figure 14.10** Internal view of `myStringLinkedList` after three **Nodes** have been added

As we attempt to add the fourth node to the list, we will annotate the picture, along with the statement we need:



**Figure 14.11** An annotated statement to add "Betty" to the list. The thick arrow indicates the link being modified.

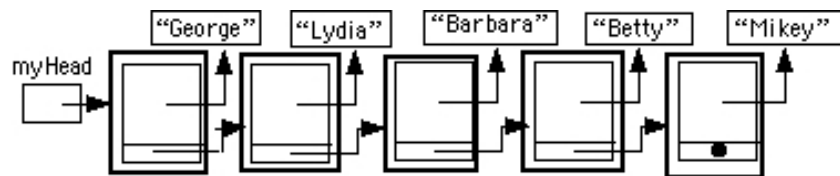
```
public static void main (String args []){
    WCStringLinkedList<String> myStringLinkedList = new WCStringLinkedList<>();
    myStringLinkedList.myHead = new Node<>("George");
    myStringLinkedList.myHead.setLink(new Node<>("Lydia"));
    myStringLinkedList.myHead.getLink().setLink(new Node<>("Barbara"));
    myStringLinkedList.myHead.getLink().getLink().setLink(
        new Node<>("Betty"));
} //main
```

**Exercise 14.5:** Complete the list by writing the statement needed to add "Mikey" to the end of the list.

The technique we have used thus far to add to the list is tedious, and becomes more so as the list gets longer. Moreover, it requires us to know how many **Nodes** are in the list each time we wish to add a new **Node**. Several new techniques for adding to a list will be presented later in this chapter.

### Printing List Elements by Brute Force

Now that we have built our linked list, we will play with it a bit more to make sure we understand how to use the methods provided by the **Node** class to manipulate the list. Internally, the list now looks as follows:



**Figure 14.12** Internal view of the five element linked list.

How do we output the data in the first **Node**? The data is stored in the field **myData** of the **Node** referenced by **myHead**. Hence, we need to use the **getData** method to access that data. The highlighted statement below written in the main method of class **WCSLinkedList** outputs the data in the first **Node**, the **String** "George", to the Java Console view. Note, we are assuming that the object that has the reference to the data in question has a **toString** method that returns a **String** representation of the data in an acceptable manner.

```
public static void main (String args []){
    WCSLinkedList<String> myStringLinkedList = new WCSLinkedList<>();
    myStringLinkedList.myHead = new Node<>("George");
    myStringLinkedList.myHead.setLink(new Node<>("Lydia"));
    myStringLinkedList.myHead.getLink().setLink(new Node<>("Barbara"));
    myStringLinkedList.myHead.getLink().getLink().setLink(
        new Node<>("Betty"));
    System.out.println(myStringLinkedList.myHead.getData().toString());
} //main
```

Now, how do we output the second piece of data? We must first get to the link that refers to it, then we must use the **getData** method to access the data field of the **Node**. The link that refers to the **Node** that has the reference to "Lydia" is the **myLink** field in the **Node** referred to by **myHead**. Hence, we need to **get** that link. The highlighted last line of the **main** method will output "Lydia".

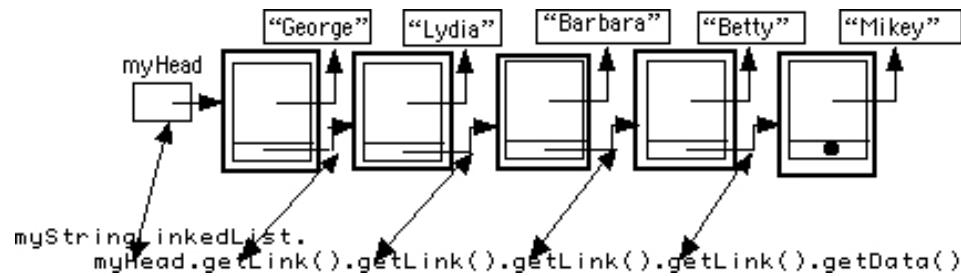
```
public static void main (String args []){
    WCSLinkedList<String> myStringLinkedList = new WCSLinkedList<>();
```

```

myStringLinkedList.myHead = new Node<>("George");
myStringLinkedList.myHead.setLink(new Node<>("Lydia"));
myStringLinkedList.myHead.getLink().setLink(new Node<>("Barbara"));
myStringLinkedList.myHead.getLink().getLink().setLink(
                                new Node<>("Betty"));
System.out.println(myStringLinkedList.myHead.getData().toString());
System.out.println(myStringLinkedList.myHead.getLink().getData().toString());
} //main

```

Now let us skip ahead and attempt to output the data in the last **Node**, i.e., the **Node** that has the reference to **Mikey**. Again, we will use a picture to help us:



**Figure 14.13** How to access the data in the fifth **Node** of the list

We now need to use the `toString` method on the returned value and output is produced by the last line of the main method as highlighted below.

```

public static void main (String args []){
    WCSLinkedList<String> myStringLinkedList = new WCSLinkedList<>();
    myStringLinkedList.myHead = new Node<>("George");
    myStringLinkedList.myHead.setLink(new Node<>("Lydia"));
    myStringLinkedList.myHead.getLink().setLink(new Node<>("Barbara"));
    myStringLinkedList.myHead.getLink().getLink().setLink(
                                new Node<>("Betty"));
    myStringLinkedList.myHead.getLink().getLink().getLink().setLink(
                                new Node<>("Mikey"));
    System.out.println(myStringLinkedList.myHead.getData().toString());
    System.out.println(myStringLinkedList.myHead.getLink().getData().toString());
    System.out.println(myStringLinkedList.myHead.getLink().getLink().
                        getLink().getLink().getData().toString());
} //main

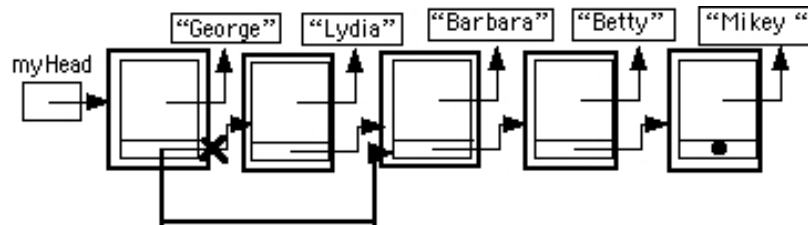
```

**Exercise 14.6:** Draw an annotated picture like that shown in Figure 14.13 to show the line of code needed to print the data field of the **Node** containing the reference to "Barbara".

**Exercise 14.7:** Write the line of code needed to print the data of the `Node` containing the reference to "Betty".

### Deleting List Elements by Brute Force

Now we will delete some items from the list. Let us delete the node that contains the reference to "Lydia" from the list. To begin with, let us draw a picture that shows the link we want to change.



**Figure 14.14** How to delete the `Node` that contains the reference to "Lydia"

To delete the `Node` that contains the reference to "Lydia" from the list, all we need to do is to re-link the `Node` before it so that it bypasses the `Node` that contains the reference to "Lydia". To do this we need to get at two pieces of information from the list. We need to modify the link reference coming out of the `Node` that contains the reference to "George", *and* we need to access the link reference coming out of the `Node` that contains the reference to "Lydia". Why do we need this second value? We need that second reference because we want the link from the `Node` that contains the reference to "George" to point to the `Node` to which the `Node` that contains the reference to "Lydia" is pointing (i.e., we want the link from the `Node` that contains the reference to "George" to point to the `Node` that contains the reference to "Barbara". Wild, isn't it? But you will get used to it!) Let us start by writing the portion of the statement that will change the existing link. The `Node` that contains the reference to "George" is referred to by `myHead`. Hence, we begin by writing

```
myStringLinkedList.myHead.setLink(...);
```

Now we must provide the argument to the `setLink` method. Think of the `setLink` as "refer to the same thing as". Hence, we want the link from the `Node` that contains the reference to "George" to *point to the same thing as* the `myLink` field of the `Node` that contains the reference to "Lydia". We need to supply the `myLink` field of the `Node` that contains the reference to "Lydia". This is:

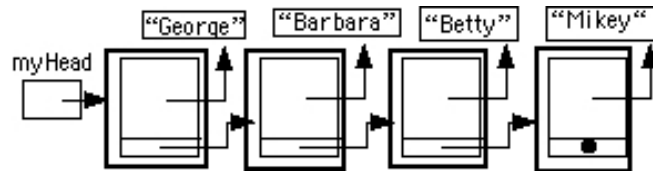
```
myStringLinkedList.myHead.getLink().getLink()
```

(Try drawing the picture if you are unsure of this.) Our complete statement is:

```
myStringLinkedList.myHead.setLink(
    myStringLinkedList.myHead.getLink().getLink());
```

Following execution of this statement, our linked list will look as follows in Figure 14.15:





**Figure 14.15** The list after deletion of the **Node** that contains the reference to "Lydia"

It does not matter how many **Nodes** follow the **Node** we deleted in the list. Even if there were 100 **Nodes** after the **Node** that we wished to delete, the process would still be the same, and no additional processing needs to be done by the computer.

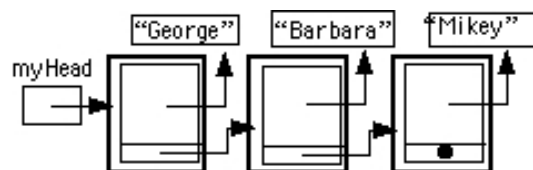
Now let us delete the **Node** that contains the reference to "Betty". First, we want to modify the reference that is pointing to that **Node**. That reference is the link field in the **Node** that contains the reference to "Barbara". Hence, we could write the following code:

```
myStringLinkedList.myHead.getLink().setLink(...);
```

We want to modify this reference to make it point to the same **Node** to which the **Node** that contains the reference to "Betty" is pointing (i.e., to the **Node** that contains the reference to "Mikey"). That would be `myStringLinkedList.myHead.getLink().getLink().getLink()`. Hence, our complete statement is:

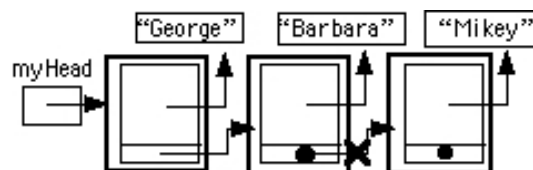
```
myStringLinkedList.myHead.getLink().setLink(
    myStringLinkedList.myHead.getLink().getLink().getLink());
```

Now the list looks as follows:



**Figure 14.16** The list after deletion of the **Node** that contains the reference to "Betty"

In all of these cases we have been deleting an element from the middle of list. Now let us look at how to delete the first and last items from the list. First let us delete the last item from the list, the **Node** that contains the reference to "Mikey". A diagram of the situation is given below:

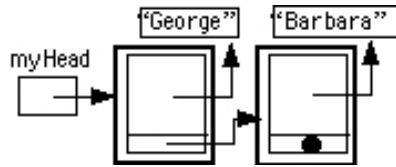


**Figure 14.17** The process of the deletion of the **Node** that contains the reference to "Mikey"

All we need to do is assign `null` to the link from the **Node** that contains the reference to "Barbara". This is easily done with the following statement:

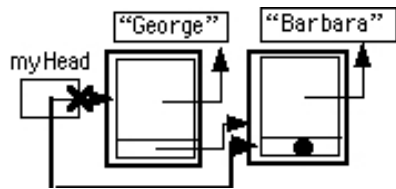
```
myStringLinkedList.myHead.getLink().setLink(null);
```

Our list now looks as follows in Figure 14.18:



**Figure 14.18** The list after deletion of the **Node** that contains the reference to "Mikey"

Finally, we will delete the **Node** that contains the reference to "George" from the list. The process is described in the diagram below:



**Figure 14.19** The process of the deletion of the **Node** that contains the reference to "George"

In this case we are *not* modifying a link field of a **Node**. Instead, we are making the reference **myHead** point to a different **Node**. This is done with a simple assignment statement. The assignment operator in this case can also be read as “refers to the same thing as”. Hence, what appears on the right-hand side of the assignment operator must be a reference already pointing at the object to which we wish **myHead** to point. That would be `myStringLinkedList.myHead.getLink()`.

```
myStringLinkedList.myHead = myStringLinkedList.myHead.getLink();
```

This line of code effectively deletes the **Node** that contains the reference to "George" from the list, leaving only the **Node** that contains the reference to "Barbara" in the list.

Notice that any **Node** that does not have a reference pointing to it is essentially deleted—there is no way to access it. The JRE performs a process called **Garbage Collection** that looks for these “lost in space” memory locations and reclaims the memory they occupy. It is, therefore, very important never to reassign **myHead** from the **Node** at the front of the list, unless you are intentionally deleting items from the front of the list, emptying the entire list, or replacing the entire list.

We have assembled the **main** method of the **WCStringLinkedList** class where all the additions and deletions from the list were performed below.

```
public static void main(String args[]){
    WCStringLinkedList myStringLinkedList = new WCStringLinkedList<> ();
    myStringLinkedList.myHead = new Node<>("George");
    myStringLinkedList.myHead.setLink(new Node<>("Lydia"));
    myStringLinkedList.myHead.getLink().setLink(new Node<>("Barbara"));
    myStringLinkedList.myHead.getLink().getLink().setLink(
        new Node<>("Betty"));
    myStringLinkedList.myHead.getLink().getLink().getLink().setLink(
        new Node<>("Mikey"));
}
```

```

System.out.println(myStringLinkedList.myHead.getData().toString());
System.out.println(myStringLinkedList.myHead.getLink().getData().toString());
System.out.println(myStringLinkedList.myHead.getLink().getLink().
    getLink().getLink().getData().toString());
myStringLinkedList.myHead.setLink(
    myStringLinkedList.myHead.getLink().getLink());
myStringLinkedList.myHead.getLink().setLink(
    myStringLinkedList.myHead.getLink().getLink().getLink());
myStringLinkedList.myHead.getLink().setLink(null);
myStringLinkedList.myHead = myStringLinkedList.myHead.getLink();
} //main

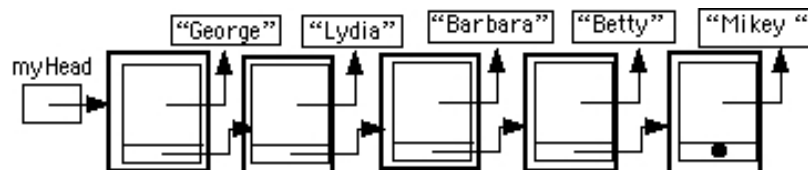
```

**Exercise 14.8:** Assume the initial internal list is as shown in Figure 14.20 below. Write the code to delete the Node that contains the reference to "Mikey" from the list.

**Exercise 14.9:** Assume the initial internal list is as shown in Figure 14.20 below. Write the code to delete the Node that contains the reference to "Betty" from the list.

**Exercise 14.10:** Assume the initial internal list is as shown in Figure 14.20 below. Write the code to delete the Node that contains the reference to "Barbara" from the list.

**Exercise 14.11:** Assume the initial internal list is as shown in Figure 14.20 below. Using one statement, delete the Nodes that contain the references to "Lydia" and "Barbara" respectively from the list.

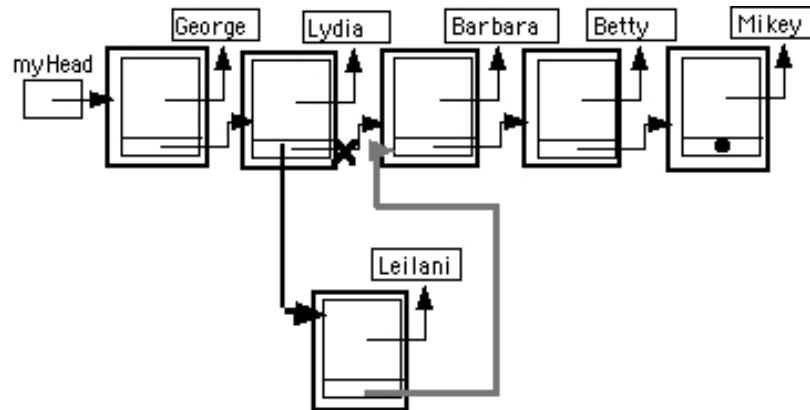


**Figure 14.20** A five element linked list.

### Inserting List Elements by Brute Force

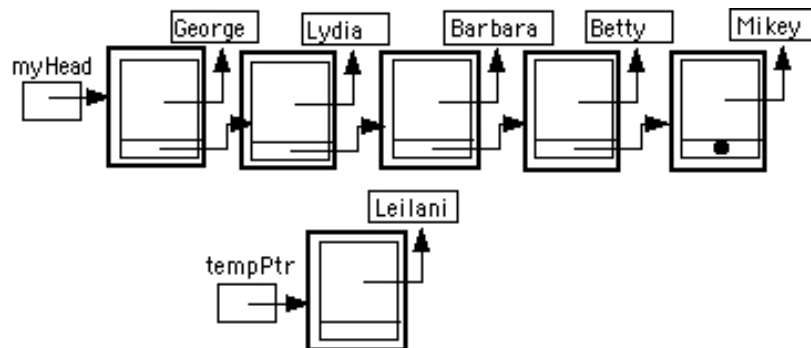
Now we will do a few examples of modifying a list by adding elements in various places. Let us assume we have our five element linked list as in Figure 14.20 to start.

We would like to insert a **Node** that contains a reference to "Leilani" between the **Node** that contains a reference to "Lydia" and the **Node** that contains a reference to "Barbara". This means we wish to redraw the diagram as follows:



**Figure 14.21** Inserting a new **Node** between existing **Nodes**.

Inserting the new **Node** into the list requires changing the link in the **Node** that will precede the new **Node**, and making the new **Node** point to the object that will succeed it in the list. Additionally, we actually need to construct the new **Node**. For clarity, we will first show you how to insert the **Node** in the list after it has been constructed. The initial situation is given in Figure 14.22.

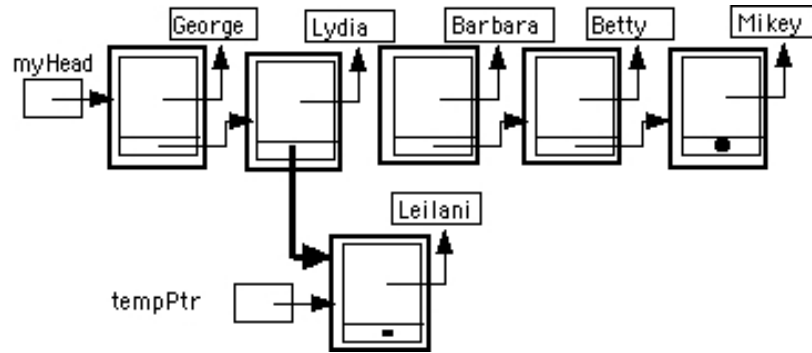


**Figure 14.22** Inserting a new **Node** between existing **Nodes**.  
Just after the new **Node** has been constructed.

Notice that in order to construct the new **Node** before inserting it, we have had to set up a temporary pointer to it. This is done as follows:

```
Node<String> tempPtr = new Node<>("Leilani");
```

Now, looking back at Figure 14.21, we see that two links need to be reset. The question arises: does it matter which link we reset first? Consider the earlier statements that a **Node** with nothing pointing to it is essentially deleted from the list. This provides us to the answer to our question. If we reassign the pointer from the **Node** that contains the reference to **Lydia**, the situation looks as follows in Figure 14.23:



**Figure 14.23** Situation if we reassign the `myLink` field of the `Node` that contains the reference to "Lydia" first.

Notice that there is no longer a reference to the `Node` that contains the reference to "Barbara". Not only have we lost that `Node`, but we have also lost the `Nodes` that come after it in the list, because we need to have the `Node` that contains the reference to "Barbara" to access them. Hence, we must assign the `myLink` field of our temporary `Node` so that it refers to the `Node` containing the reference to "Barbara" first. This is done with the statement:

```
tempPtr.setLink(myStringLinkedList.myHead.getLink().getLink());
```

Notice that we have two `getLinks` after `myHead`, because we want the reference that is stored in the second `Node`. This statement draws the grey line shown in Figure 14.21.

We can now assign the link reference in the `Node` that contains the reference to "Lydia" so that it refers to the new `Node`, which can also be referred to as the `Node` referred to by `tempPtr`. This is done with the following statement:

```
myStringLinkedList.myHead.getLink().setLink(tempPtr);
```

Notice that we are resetting the link in the second `Node`. Hence, we have two method invocations concerning links, a `getLink` followed by a `setLink`. This line of code draws the thick black line shown in Figure 14.21.

**Exercise 14.12:** Assume the initial list is as shown in Figure 14.20. Write the code to add a `Node` with your name between the `Node` that contains the reference to "Barbara" and the `Node` that contains the reference to "Betty".

**Exercise 14.13:** Assume the initial list is as shown in Figure 14.20. Write the code to insert a `Node` that contains a reference to "Pooh Bear" at the front of the list (so that it becomes the first `Node` in the list.)

Although we used three statements to accomplish our task of insertion, we could have done it all in one statement, using our two parameter `Node` constructor. The following line of code also inserts the `Node` that refers to `Leilani` in the list as described above:

```
myStringLinkedList.myHead.getLink().setLink(
    new Node<>("Leilani", myStringLinkedList.myHead.getLink().getLink()));
```

### *A toString Method for a Linked List*

Before we go on to build lists in other ways, it is useful to look at how we write the `toString` method that will allow us to print the contents of the list. Recall that when our underlying representation was an array, our `toString` method looked as follows:

```
public String toString() {
    String toReturn = "";
    for (int i = 0; i < myCount; i++) {
        toReturn += myArray[i] + "\n";
    } // for
    return toReturn;
} //toString
```

The variable `i` moves us through the array:

- `i` gets the initial value `0`, starting us at the beginning of the array.
- we check that `i` does not exceed the number of elements we have in the array
- we process the element at position `i`
- we increment `i` to move to the next element in the array.

We need a similar way to move through the linked list. Because we do not know the specific size of the linked list, we will use a `while` loop, rather than a `for` loop to move through the linked list.

We will have a reference, named `mover`, of class `Node<E>`, that moves us through the linked list:

- `mover` will initially refer to the beginning of the list. We do this by assigning it to point to the `Node` to which `myHead` is pointing.
- We check that `mover` has not reached the end of the list. We will know we are at the end of the list when `mover` is `null`.
- We process the element to which `mover` is referring.
- We move `mover` along to the next element of the list.

This algorithm translates into the following code:

```
public String toString() {
    String toReturn = "";
    Node<E> mover = myHead;
    while (mover != null) {
        toReturn += mover.getData() + "\n";
        mover = mover.getLink();
    } // while
    return toReturn;
} //toString
```

We must have a temporary reference like `mover`, because if we use our only existing reference, `myHead`, we will lose `Nodes` as we move it through the list. Also recall that in the line of code

```
toReturn += mover.getData() + "\n";
```

the `toString` method is implicitly invoked on `mover.getData()` since it is being used in a `String` concatenation operation.

Although this method is specifically designed to build a `String`, it has general properties that are useful whenever we want to traverse an entire linked list. These parts are highlighted below:

```
public String toString() {
    String toReturn = "";
    Node<E> mover = myHead;
    while (mover != null) {
        toReturn += mover.getData() + "\n";
        mover = mover.getLink();
    } //while
    return toReturn;
} //toString
```

The first highlighted line, `Node<E> mover = myHead;`, creates a reference to move through the list, and starts it at the beginning of the list. The line `while (mover != null) {` makes sure that we have not gone beyond the end of the list. Additional expressions can be added to this condition, but the first condition should always be the check to make sure `mover` has not moved past the end of the list. For example, if we were searching the list for a particular piece of data, the condition might look as follows:

```
while (mover != null && !mover.getData().equals(findMe))
```

It is important that the condition `mover != null` come first. If `mover` is `null`, and we try to invoke a method, such as `getData()` the JVM will throw a `Null Pointer Exception`—a run-time error.

The third highlighted line, `mover = mover.getLink();`, has the effect of moving our reference `mover` to the next `Node` in the linked list.

### *Methods to Add to a Linked List*

We have now extensively practiced with `getLink` and `setLink`. It is time to move on to write more general methods that allow us to add new `Nodes` to a linked list. They are three common ways to build a linked list:

- Add to the front of the list.
- Add to the end of the list.
- Add to the list based on some ordering scheme, in other words, build a sorted list.

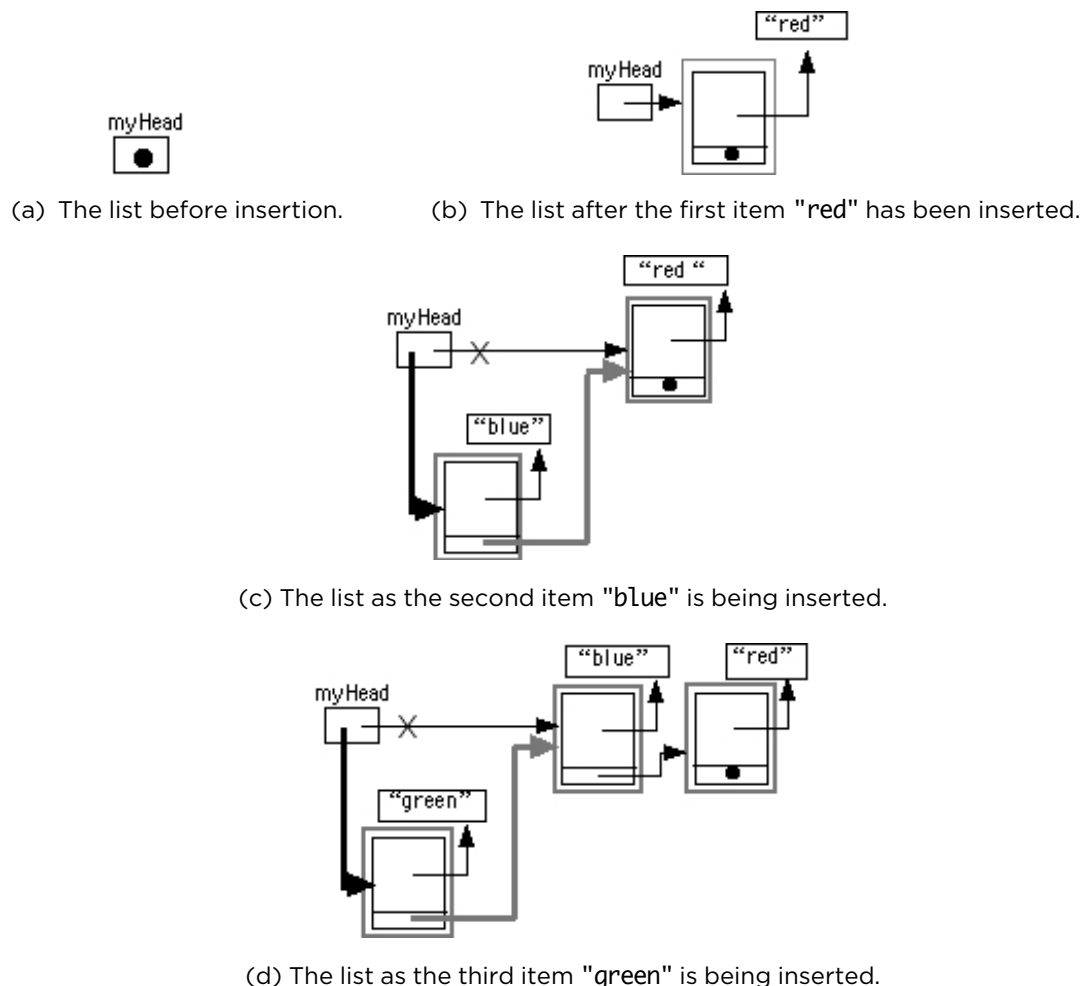
When we created lists using the underlying array representation, we built FIFO-like (First In, First Out) lists. The first item we put in the list was the first item we saw when we printed out the list. An analogous situation is the line at a supermarket. The first person to enter the line is the first person to be waited upon, the second person to enter

the line is the second person to be waited upon, and so on. FIFO lists are also called *queues*. In fact, in England, people queue up to get into concerts, rather than line up. If we never sort the list and always add to the end and remove from the beginning, we have a pure FIFO list.

LIFO (Last In, First Out) lists are also referred to as *stacks*. With a LIFO list, the last item entered in the list is the first item to be processed. An analogous situation is a stack of plates in a cupboard. The first plate you put in goes at the bottom of the stack. The next plate goes on top of that, and so on. When we go to remove a plate from the cupboard, the last plate we put on top of the stack will be the first plate that we remove. (Which is a good thing if you don't want to break them all.) Stacks are heavily used by compilers and runtime systems, and you will encounter them often in future Computer Science courses.

### Adding at the Front of a List

The first method we write to add items to a linked list will be a LIFO method, because it is the easiest. Consider the four pictures below, showing three `Strings` being added to build a LIFO list.



**Figure 14.24** Building a LIFO list.



Notice that the same links are redrawn in Figure 14.24 (c) and (d). The same links will be redrawn whenever we add a new item to the list.

**Exercise 14.14:** Draw a diagram like that shown in Figure 14.24(d) above that shows the String "purple" being added to the list. You should assume the list to which you are adding is the list after the relinking shown in Figure 14.24(d) has been completed.

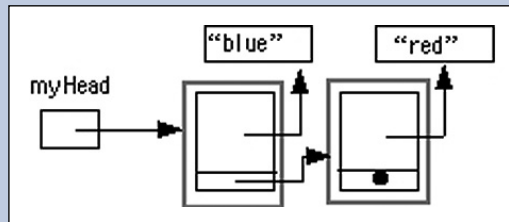
The links that are redrawn in Figure 14.24(c) and (d) are very similar to those drawn when we earlier inserted an item into the list. In fact, we can approach the insertion process using the same algorithm:

- 1) Construct a new **Node** pointed to by **tempPtr**.
- 2) Assign the **myLink** field of the **Node** referred to by **tempPtr** so that it refers to the **Node** to which **myHead** is referring.
- 3) Assign **myHead** so that it refers to the **Node** to which **tempPtr** is referring.

We need to incorporate these steps into a method. We will name the method **addToFront**. Like most methods that add to a list, it will have as a parameter the data to be stored in the **Node** in the form of a reference to an object of generic type **E**. The method that we could add to our **WCSLinkedListclass** is as follows:

```
public void addToFront (E theData) {
    Node<E> tempPtr = new Node< >(theData);
    tempPtr.setLink(myHead);
    myHead = tempPtr;
} // addToFront
```

**Exercise 14.15:** Trace the following incorrect version of the **addToFront** method by modifying the picture below. Cross out the original links and redraw the links as necessary. Start with the diagram shown below. Assume theData is the String "white".



```
public void addToFront (E theData) {
    Node<E> tempPtr = new Node< >(theData);
    myHead = tempPtr;
    tempPtr.setLink(myHead);
} // addToFront - incorrect
```

### Adding at the End of a List

Building a FIFO list requires adding each new **Node** at the end of the list and is a little more difficult than adding at the head of the list. There are two common methods for finding the end of the list so that we can add a **Node** there.

- Move a temporary pointer through the list to find the last **Node**.
- Maintain a pointer to the last **Node** of the list.

#### *Version 1, Adding to the end of a list by locating the last Node each time*

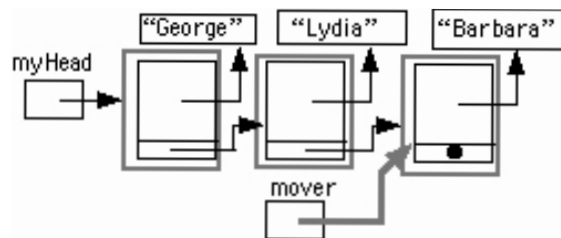
To find the end of the list with a temporary reference, we must begin at the head of the list and move the reference along until we reach the last **Node**. This is similar to what we did when traversing the list in the `toString` method—except that we want to stop at the last **Node**, not when we have gone past the last **Node**. Additionally, we must have a special case for inserting the first **Node** in the list. We can recognize this case because the reference `myHead` will have the value `null`. We will deal with that case first. For the second case, we will adapt the `while` loop used above in the `toString` method to look ahead of the **Node** to which `mover` is referring:

```
public void addAtTail(E theData) {
    if (myHead == null) {
        myHead = new Node<>(theData);
    } else {
        Node<E> mover = myHead;
        while (mover.getLink() != null) {
            mover = mover.getLink();
        } //while

        ■■■ //more to come
    } //else
} // addAtTail
```

Notice that the looping condition does not involve `mover`, but instead `mover.getLink()`. This is what makes the loop look ahead, and stop at the last **Node**, rather than going beyond the last **Node**.

Now that we have found the last **Node** in the list, we need to put the new **Node** in the list. The situation is as shown in Figure 14.25:



**Figure 14.25** The situation after we have positioned `mover` pointing to the last **Node** in the list.

We can now construct the new **Node** making the pointer in the **Node** that refers to "Barbara" point to it. This is done with the following line of code:

```
mover.setLink(new Node<>(theData));
```

The completed method is as follows:

```
public void addAtTail1 (E theData) {
    if (myHead == null) {
        myHead = new Node<>(theData);
    } else {
        Node<E> mover = myHead;
        while (mover.getLink() != null) {
            mover = mover.getLink();
        } //while
        mover.setLink(new Node<>(theData));
    } //else
} // addAtTail1
```

This method is useful for small lists, but the larger the list becomes, the more work the computer must do to add an element to the end of the list. For example, if the list contains 1000 elements, 999 comparisons must be done by the `while` loop before the last `Node` in the list is found. The next method addresses this problem.

### *Version 2, Adding to the end of a list by maintaining a pointer to the end of the list*

In order to maintain a reference to the end of the list, we first need an additional instance variable in the `WCSTLinkedList` class. We will name that instance variable `myTail`. Clearly, in an empty list, both `myHead` and `myTail` will be `null`. In a list that contains only one `Node`, both `myHead` and `myTail` refer to that single `Node`. In general, when we add a `Node` to the end of a list, `myTail` changes much more often than `myHead`. If you only add `Nodes` to the end of a list, `myHead` only changes when the list changes from an empty list to a list containing one `Node`.

Let us first change the code of the `WCSTLinkedList` class by adding a new instance variable named `myTail` and adding a line of code to the constructor that initializes `myTail`.

```
public class WCSTLinkedList<E> {
    private Node<E> myHead;
    private Node<E> myTail;

    public WCSTLinkedList() {
        myHead = null;
        myTail = null;
    } // 0-parameter constructor

    ■■■ //more to be written
} //WCSTLinkedList class
```

**Exercise 14.16:** Write a method named `addAtTail2` for the `WCSLinkedList` class. `addAtTail2` will have one parameter of generic type `E` named `theData`. `addAtTail2` will construct a `Node` with `theData` and appropriately change `myHead`, `myTail`, and any other links in the list to affect the addition of the new `Node` at the tail of the `WCSLinkedList`.

### *Methods to Delete from a Linked List*

There are three common ways to delete from a linked list:

- Delete the element at the head of the list.
- Delete the element at the tail of the list.
- Delete an element from a sorted list.

We leave the coding of the first two ways as exercises in this chapter and the coding of the third way as an exercise in the next chapter.

**Exercise 14.17:** Write a boolean-valued method named `deleteAtHead` for the `WCSLinkedList` class. `deleteAtHead` will have no parameters. `deleteAtHead` will delete the `Node` at the head of the list as long as the list is not empty and return `true`. If the list is empty `deleteAtHead` will return `false`. `deleteAtHead` should *not* throw an exception in the case of an attempt to delete from an empty list. `deleteAtHead` will appropriately change `myHead`, `myTail`, and any other links in the list to affect the deletion of the `Node` at the head of the `WCSLinkedList`.

**Exercise 14.18:** Write a method named `deleteAtTail` for the `WCSLinkedList` class. `deleteAtTail` will have no parameters. `deleteAtTail` will delete the `Node` at the tail of the list as long as the list is not empty and return `true`. If the list is empty `deleteAtTail` will return `false`. `deleteAtTail` should not throw an exception in the case of an attempt to delete from an empty list. `deleteAtTail` will appropriately change `myHead`, `myTail`, and any other links in the list to effect the deletion of the `Node` at the tail of the `WCSLinkedList`.

**Exercise 14.19:** Write a class named `WCSStackLL<E>` that implements a stack with a linked list. Refer to Exercise 12.6 for descriptions of the required methods and the class `StackTest` to test your class `WCSStackLL<E>`.

**Exercise 14.20:** Write a class named `WCSQueueLL<E>` that implements a queue with a linked list. Refer to Exercise 12.7 for descriptions of the required methods and the class `QueueTest` to test your class `WCSQueueLL<E>`.

**Exercise 14.21:** Complete the class named `WCSLinkedList<E>` extends `Comparable<E>` that implements a linked list of items that can be ordered.

Your `WCSLinkedList <E>` extends `Comparable<E>` class should have at least three private instance variables. The first instance variable is of class `Node<E>` and is a reference to the head of the list. The second instance variable is of class `Comparator<E>` and might be used to rebuild the list in an order specified by the `Comparator`. The third instance variable keeps a count of items in the list.

One constructor of class `WCSLinkedList <E>` extends `Comparable<E>` will have one parameter that is a reference to a `Comparator<E>` object. The other constructor will have no parameters.

Your class `WCSLinkedList <E>` extends `Comparable<E>` should have at least the following instance methods:

```
public boolean add(E addMe)
public E search(E findMe)
public boolean delete(E deleteMe)
public boolean isEmpty()
public int getCount()
private int myCompare(E firstItem, E secondItem)

public void sort() (Note that you will need to copy all items into an array,
sort the array, and build a new linked list by adding items from the sorted
array.)

public String saveToFile(String fileName)
public String loadFromFile(String fileName)
public String toString()
```

You will need a `Node<E>` class to work in conjunction with your `WCSLinkedList <E>` extends `Comparable<E>` class.

**Exercise 14.22: Continuation of Exercise 13.1**

- a) Revise your Database class so that you declare, construct, and use two `WCSLinkedList <AddressInfo>` objects, one that can be ordered alphabetically and one that can be ordered by address. When you construct your `WCSLinkedList<AddressInfo>` that can be ordered by address, you should pass the public reference to the `AddressComp` object to the constructor.
- b) When you add a new record to the database, you add it to both `WCSLinkedList< AddressInfo>` objects.
- c) When you delete a record from the database, you delete it from both `WCSLinkedList< AddressInfo>` objects.
- d) Your alphabetical display button needs to rebuild the `WCSLinkedList <AddressInfo>` that is ordered alphabetically and display the list.
- e) Your address display button needs to rebuild `WCSLinkedList <AddressInfo>` that is ordered by address and display the list.
- f) When your code saves the contents of the database to a file, save only the items in the alphabetical list.
- g) When your code loads items from a file, add each item to both lists.

**Exercise 14.23: Continuation of Exercise 13.2**

- a) Revise your Database class so that you declare, construct, and use two `WCSLinkedList <BirthInfo>` objects, one that can be ordered alphabetically and one that can be ordered chronologically. When you construct your `WCSLinkedList<BirthInfo>` object that can be ordered chronologically, you should pass the public reference to the `ChronComp` object to the constructor.
- b) When you add a new record to the database, you add it to both `WCSLinkedList <BirthInfo>` objects.
- c) When you delete a record from the database, you delete it from both `WCSLinkedList <BirthInfo>` objects.
- d) Your alphabetical display button needs to rebuild the `WCSLinkedList <BirthInfo>` that is ordered alphabetically and display the list.
- e) Your chronological display button needs to rebuild the `WCSLinkedList <BirthInfo>` that is ordered chronologically and display the list.
- f) When your code saves the contents of the database to a file, save only the items in the alphabetical list.
- g) When your code loads items from a file, add each item to both lists.

## Lab: Linked List Lab 1 • Chapter 14

The objectives of this lab are:

- to get practice using the `getLink` and `setLink` methods of the `Node<E>` class
- to get practice writing methods that are similar to the `toString` method

This lab uses `.java` files in a folder entitled `for_linked_list_lab1`

- 1) Get a copy of the folder named `for_linked_list_lab1` onto the **Desktop**.
- 2) Launch Eclipse.
- 3) Create a new Java project named with `LinkedListLab1` *and your name*.
- 4) Drag the folder `for_linked_list_lab1` from the **Desktop** onto the image of the your `LinkedListLab1` folder in the **Package Explorer** view.
- 5) Double click on your `LinkedListLab1` folder in the **Package Explorer** view to open the folder.
- 6) Double click on the `for_linked_list_lab1` package in the **Package Explorer** view to open the folder. You should see that the files `TestWCSLinkedList.java`, `WCSLinkedList.java` and `Node.java` in the `for_linked_list_lab1` package.

For steps 7 through 14 below, you will be modifying the `main` method in the `TestWCSLinkedList` class. In each of steps 7 through 14, write the necessary code to be inserted into the `main` method. Unless otherwise noted:

- You should use **only** the methods `getLink`, `setLink`, and `toString` of the `Node` class, the method `toString` of the `WCSLinkedList` class, and the assignment statement.
  - You should **not** use iteration unless it is indicated in the statement of the problem.
  - You should **not** modify the list unless specifically asked to do so.
  - When you are asked to display the list, use `System.out.println`.
- 7) Construct a linked list with the strings "B", "G", "K" (in alphabetical order). Display the list. You can do this by invoking the `toString` method of the `WCSLinkedList` class.
  - 8) Insert **Nodes** containing references to "D" and "J" into the list so that the list maintains its alphabetical order. Display the list. *The easiest way to do this is first to create a temporary reference that initially points to the new Node. Then insert that Node into the list.*
  - 9) Again, maintaining alphabetical order, insert a **Node** containing a reference to "A" and a **Node** containing a reference to "Z" in the list. Display the list.
  - 10) Display the first three **Nodes** in the list.
  - 11) Remove the **Node** containing the reference to "D" from the list. Display the list.
  - 12) Remove the **Node** containing the reference to "A" from the list. Display the list.

- 13) Remove the **Node** containing the reference to “Z” from the list. Display the list.
- 14) Using just one statement delete the **nodes** containing “G” and “J” from the list. Display the list.

For each of steps 15 and 16, you are to write a method in class **WCSTLinkedList**. In order to test those methods, you will write code in the **main** method of class **TestWCSTLinkedList**. Your test code should initialize the list with the following letters: “A”, “B”, “E”, “R”, “T” before testing your methods.

- 15) Write a method **toStringEveryOther**, that builds up a **String** of every other element in the list, beginning with the first element. The method, like the **toString** method, must use a temporary reference and a **while** loop to move through the list. Invoke the method **toStringEveryOther** in conjunction with **System.out.println** in the **main** method.
- 16) Write a method **toStringGrtThan**, that takes a single **String** argument. The method should build up a **String** of all the elements in the list that are alphabetically beyond the parameter. The method, like the **toString** method, must use a temporary reference and a **while** loop to move through the list. Invoke the method **toStringGrtThan** in conjunction with **System.out.println** in the **main** method several times to show that it works in a variety of situations.



# 15

## Ordered Linked Lists and Recursive List Processing

### INTRODUCTION TO ORDERED LINKED LISTS

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In Chapter 14, we introduced the concept of a linked list. We discussed adding and deleting nodes at the head of the list to implement the LIFO data structure known as a stack. We also discussed adding nodes to the tail of the list, allowing us to implement the FIFO data structure known as a queue.

In this chapter, we will discuss ordered linked lists. In other words, the nodes are placed in the list according to some agreed upon ordering scheme that determines when one node's data is "before" another node's data.

Our goal in this chapter is to develop a class `WCSOrderedLinkedList<E extends Comparable<E>>`. Like the class `List<E extends Comparable<E>>` of Chapter 12, our class will organize objects that can be ordered. The class will use the natural ordering provided by the `compareTo` method of the class of objects it organizes or use a different ordering controlled by a `Comparator` object that is provided to it at the time the list is constructed. To keep it as simple as possible, we will not allow duplicate items to be in the list.

#### *An Example of an Ordered List*

Let us trace through an example of an ordered list where the data items are simply `String` objects that we wish to maintain in standard alphabetical order. We will insert the following `String` objects: "meadow", "lake", "zoo", and "tree". Note that building an ordered list is a type of sorting, sometimes known as "sorting on the fly". We will assume that each object's data is unique.

When we start building the list, it is empty, and the data item "meadow" becomes the first and only item in the list:

"meadow"

We then add the second item, "lake". How do we determine where it goes in the list? In general, we must compare the new item to the existing items in the list. Since lists are linear in nature, we begin by comparing the new item to the first item on the list. In relation to an item on the list, the new item can belong

- directly before the current item (which means that we know exactly where the new item goes)
- or
- somewhere after the current item (which means that we need to continue our comparison process on the remainder of the list)

Comparing "lake" to "meadow", we determine that "lake" comes before "meadow" in alphabetical order. Hence, we have located its place in the list. "lake" becomes the first item in the list, and "meadow" becomes the second item in the list:

```
"lake"  
"meadow"
```

We now add "zoo" to the list. Comparing "zoo" to "lake", we see that "zoo" must come somewhere after "lake". We do not know exactly where "zoo" should come after "lake", and hence, we must perform more comparisons. The comparisons we perform will, however, be identical in form to the comparison we just made to "lake". Comparing "zoo" to "meadow", we see that "zoo" must come somewhere after "meadow". We now find ourselves at the end of the list. This case is very similar to the case of an empty list. We simply add the item here.

Our list is now:

```
"lake"  
"meadow"  
"zoo"
```

We now add "tree" to the list. Comparing "tree" to "lake", we see that "tree" must come somewhere after "lake". Comparing "tree" to "meadow", we see that "tree" must come somewhere after "meadow". Comparing "tree" to "zoo", we see that "tree" must come directly before "zoo". Our list is now:

```
"lake"  
"meadow"  
"tree"  
"zoo"
```

Note that we have located the correct place for the new item in two ways above. Either we have performed a comparison and found that the new item comes before a current list item or we have moved to a point where there are no more list items to be compared with the item being inserted.

**Exercise 15.1:** In the traces below, note each comparison that is made and the result of that comparison. Your traces should be similar to the discussion above.

- a) Trace through the process that would insert the String "ocean" in the list above.
- b) Trace through the process that would insert the String "forest" in the list above.
- c) Trace through the process that would insert the String "zoology" in the list above.
- d) Trace through the process that would insert the String "aardvark" in the list above.

**Exercise 15.2:** Consider the `List<E>` extends `Comparable<E>>` class developed in Chapter 12 that implements a list using an array of generic type objects whose class implements the `Comparable` interface or uses a `Comparator` supplied at the time the list is constructed. Write a method named `addInOrder` for the `List<E>` class. `addInOrder` has a single parameter of class `E`. `addInOrder` accomplishes inserting the parameter into the list in a position appropriate to the ordering scheme. A partial listing of the `List<E>` class is given below, including the method `myCompare`, that selects between use of the `Comparator` instance variable if it is not null and the natural ordering of the objects in the list if the `Comparator` instance variable is null.

```
import java.util.*; // for the Comparator<E> interface

public class List <E extends Comparable<E>> {

    private E myArray[]; // array of Objects
    private int myCount; // current count of Objects in List
    private Comparator<E> myComparator; // comparator for
                                         // alternate ordering scheme

    private static final int DEFAULT_SIZE = 100;
    public List () {
        myArray = (E []) new Comparable[DEFAULT_SIZE];
        myCount = 0;
        myComparator = null;
    } // 0 parameter constructor
    public List (int theSize) {
        myArray = (E []) new Comparable[theSize];
        myCount = 0;
        myComparator = null;
    } // 1 int parameter constructor
    public List (Comparator<E> theComparator) {
        myArray = (E []) new Comparable[DEFAULT_SIZE];
        myCount = 0;
        myComparator = theComparator;
    }
}
```

(continues)

*Exercise 15.2, continued*

```

    //1 Comparator parameter constructor
    public List (int theSize, Comparator<E> theComparator) {
        myArray = (E []) new Comparable[theSize];
        myCount = 0;
        myComparator = theComparator;
    } //2 parameter constructor
    private int myCompare (E firstE, E secondE){
        if(myComparator == null) {
            return firstE.compareTo(secondE);
        } //no comparator
        return myComparator.compare(firstE, secondE);
    } //myCompare

    public boolean add(E addMe) {
        if (!isFull()){
            myArray[myCount++] = addMe;
            return true;
        } //add was successful
        System.out.println("Unable to add to full array");
        return false;
    } //add
} // List<E extends Comparable<E>>

```

*An OrderedLinkedList Class*

Now let us consider implementing our ordered list with a linked list. We will update the **Node** class developed in Chapter 14 with a 2 parameter constructor. The second parameter will be used to assign the link field appropriately. The updated **Node** A skeleton for that class is displayed below.

```

public class Node<E> {
    private E myData; //refers to the data for this node
    private Node<E> myLink; //refers to the next node in the list
    public Node (E theData) {
        myData = theData;
        myLink = null;
    } // 1 parameter constructor
    public Node (E theData, Node<E> theLink) {
        myData = theData;
        myLink = theLink;
    } // 2 parameter constructor
    public void setLink(Node<E> theLink) {
        myLink = theLink;
    } //setLink
}

```

```

    public void setData(E theData) {
        myData = theData;
    } //getData

    public Node<E> getLink(){
        return myLink;
    } //getLink

    public E getData() {
        return myData;
    } //getData

} //Node class

```

Our class named `WCSOrderedLinkedList` will have a reference to the **Node** at the head of the list similar to the situation in our `WCSLinkedList` class in Chapter 14. Hence, our `WCSOrderedLinkedList` class will have the following code skeleton.

```

import java.util.*; //for Comparator<E> interface
public class WCSOrderedLinkedList<E extends Comparable<E>> {
    private Node<E> myHead;
    private Comparator<E> myComparator; // comparator for alternate ordering scheme
    public WCSOrderedLinkedList () {
        myHead = null;
        myComparator = null;
    } // 0 parameter constructor
    public WCSOrderedLinkedList (Comparator<E> theComparator) {
        myHead = null;
        myComparator = theComparator;
    } // 1 parameter constructor
    private int myCompare (E firstE, E secondE){
        if(myComparator == null) {
            return firstE.compareTo(secondE);
        } //no comparator
        return myComparator.compare(firstE, secondE);
    } //myCompare
    ■■■ //more to come
} // WCSOrderedLinkedList class

```

### *Developing an Algorithm for an Add Method*

We will now trace through the formation of an ordered linked list to hold the list of **String** objects we discussed above in order to motivate the Java code for an **add** method for class `WCSOrderedLinkedList`. At this point we will use the natural ordering of **Strings** and make our pictures simpler by omitting the `myComparator` instance variable that will be **null** throughout the discussion.

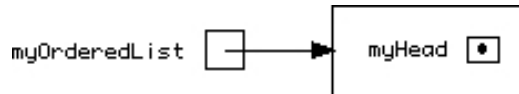
Consider the following Java code:

```

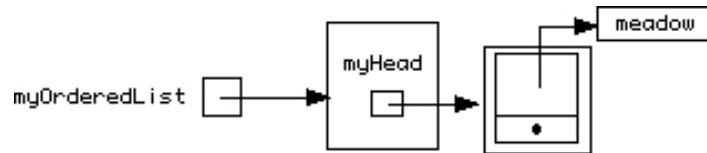
WCSOrderedLinkedList <String> myOrderedList = new WCSOrderedLinkedList <>();
myOrderedList.add("meadow");
myOrderedList.add("lake");
myOrderedList.add("zoo");
myOrderedList.add("tree");

```

After the first line of code is executed, we can draw a sketch of the situation in memory showing an empty `myOrderedList` as follows:

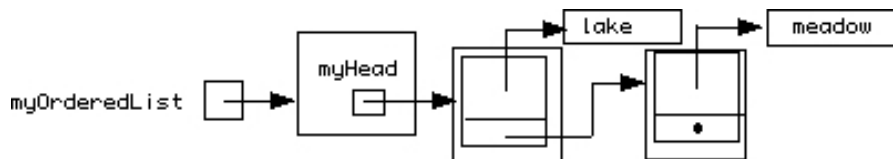


Executing the second line of code, `myOrderedList.add("meadow");`, we see that `myHead` is `null`, indicating an empty list. Hence, we must construct a new `Node` with a reference to the `String` object "meadow" in the data field and `null` in the link field, and make `myHead` refer to that newly constructed `Node`. The resulting situation in memory is shown below.



Note that the value of the newly constructed `Node`'s link reference is `null` which is the value of `myHead` before it was assigned to refer to the new `Node`. This observation will prove useful when we write the Java code.

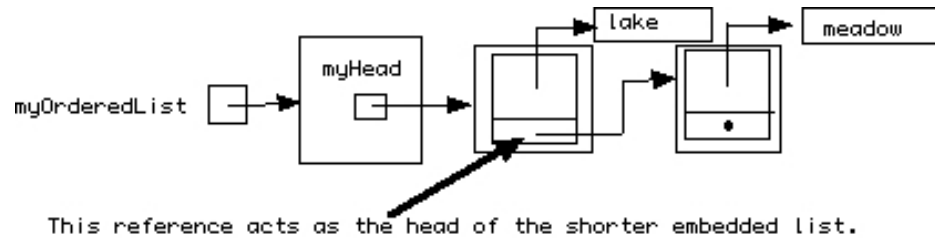
Executing the third line of code, `myOrderedList.add("lake");`, we see that `myHead` is not `null`. Hence, we must compare the `String` object "lake" being inserted to the `String` object "meadow" at the head of the list. We see that the `String` object "lake" should come before the `String` object "meadow" at the head of the list. Hence, we construct a new `Node` with the data field assigned the reference to the `String` object "lake" and link field assigned the value of `myHead`, and make `myHead` refer to the newly constructed `Node`. The resulting situation in memory is shown below.



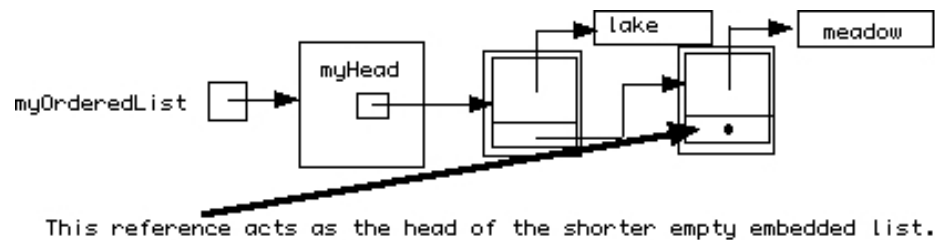
Again the newly constructed `Node` has a link reference that has been assigned the value of `myHead` before `myHead` was assigned to refer to the new `Node`. Note also that `myHead` was assigned an appropriate value as the last step needed in both of the two insertion operations performed so far.

Executing the fourth line of code, `myOrderedList.add("zoo");`, we see that `myHead` is not `null`. Hence, we must compare the `String` object "zoo" being inserted to the `String` object "lake" at the head of the list. We see that the `String` object "zoo" should come somewhere after the `String` object "lake". The key point to notice is that there is another ordered list that is embedded in our current list. That shorter list consists of all

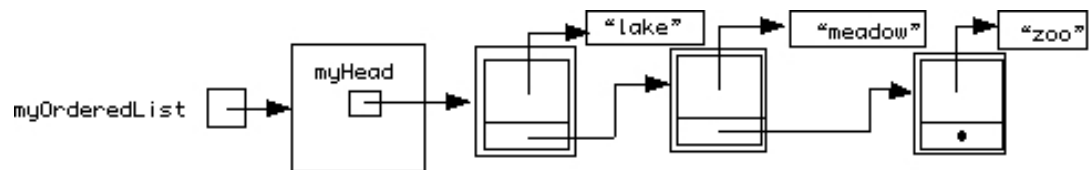
Nodes except the **Node** at the head of the current list. The link reference of the **Node** containing a reference to the **String** object "lake" is a reference to that shorter list that acts as **myHead** acts for the entire list. See the diagram below.



It is now our job to determine where the **String** object "zoo" belongs with respect to this shorter list. The **String** object at the head of the shorter embedded list is "meadow". We compare the **String** object "zoo" to the **String** object "meadow" and determine that the **String** object "zoo" should come somewhere after the **String** object "meadow." Once again, we consider a second shorter embedded list that consists of all **Nodes** of the first embedded list except the **Node** containing the **String** object "meadow". That shorter embedded list is empty. Notice that the null reference in the **Node** containing the reference to the **String** object "meadow" is acting as a reference to an empty list.



Hence, we act as we did when **myHead** was null above, and we were inserting the **String** object "meadow" into an empty list. We construct a new **Node** with data field assigned the reference to the **String** object "zoo" and link field assigned the value of the head of the current embedded list (null). We must reassign the reference acting as the head of the previously empty embedded list so that it points to the new **Node**. The resulting situation in memory is shown below.



Note that we used the value of the link field in the "head" **Node** (in this case the **Node** containing the reference to "meadow") to initialize the link field of the newly constructed **Node**.

We can now think of our ordered list as having three embedded shorter ordered lists. They are the ordered list

"meadow"  
"zoo"

the ordered list

"zoo"

and the empty list.

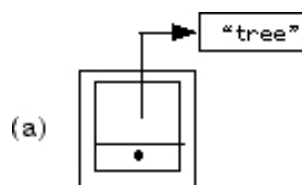
Now we execute the fifth line of code, `myOrderedList.add("tree");`. We see that `myHead` is not `null`. Hence, we must compare the `String` object "tree" being inserted to the `String` object "lake" at the head of the list. We see that the `String` object "tree" should come somewhere after the `String` object "lake". In fact, we can say that we should begin the insertion process again with the first shorter embedded list. The link reference in the `Node` containing the reference to "lake" acts as the head of this shorter list that consists of the two `Nodes` containing the references to "meadow" and "zoo".

We compare the `String` object "tree" to the `String` object "meadow" at the head of this first shorter list. We see that the `String` object "tree" should come somewhere after the `String` object "meadow". In fact, we can say that we should begin the insertion process again with the second shorter embedded list. The link reference in the `Node` containing the reference to "meadow" acts as the head of this second shorter list that consists of the one `Node` containing the reference to "zoo".

We compare the `String` object "tree" to the `String` object "zoo" at the head of this second shorter list. We see that the `String` object "tree" should come before "zoo". This means that the `String` object "tree" should be inserted at the head of the second shorter embedded list. We know the steps to insert a `Node` at the head of a list. We need to

- construct a new `Node` with data field assigned the reference to the `String` object "tree",
- make the link reference of the newly constructed `Node` refer to the same thing as the current head of the list refers (in this case the `Node` containing the reference to "zoo") ,
- and reassign the reference to the `Node` at the head of the list (in this case the link reference in the `Node` containing the reference to "meadow") so that it refers to the newly constructed `Node`. Note that we will need to use the `setLink` method to change the value of this reference if it is the link field of an already existing `Node`.

We give a complete illustration of these three steps in the diagrams below.







**Exercise 15.4:** In the situation described above where the `currentHead` is `null`, explain why this means that the appropriate position for the new `Node` is at the end of the list.

Now consider the actions that need to be taken when either of the above described conditions occur. We have described the actions several times in the trace above. The actions are:

- 1) Construct a new `Node` with the data field assigned the reference to the item to be inserted.
- 2) Assign the link reference of the newly constructed `Node` the value of `currentHead`.
- 3) Reassign the reference to the list or the embedded sub-list so that it refers to the new `Node`.

**Exercise 15.5:** It is important that the second and third steps above be performed in the order presented. What happens if the third step is executed before the second step? Draw a picture to illustrate your answer.

**Exercise 15.6:** Explain why the three steps above work in both the situation where `currentHead` is `null` and the situation where the item we are inserting is before the item in the `Node` referenced by `currentHead`.

The `add` method in class `WCSOrderedLinkedList` will have access to the instance variable `myHead`. `myHead` is either `null` or refers to the first `Node` in the list. It should be clear from the discussion above that the value of `myHead` may change during the insertion process.

**Exercise 15.7:** Describe the situations where the value of `myHead` changes during insertion of a new item in the list.

The code that invokes the `add` method outside the class `WCSOrderedLinkedList` will not have access to the `private` instance variable `myHead`. When the `add` method is invoked, a reference to the `E` to be inserted should be passed to the method as a parameter. Hence, we begin with the first line of the `public add` method as follows:

```
public void add (E toInsert) {
```

The fun part of writing the code for the `add` method is to make the code start over and over with smaller embedded sub-lists until the appropriate position for the new `Node` is found. Previously, we have repeated a process through some type of looping mechanism. This situation is more easily coded using a technique called *recursion*. A method is recursive if it invokes itself. This self-invocation should always modify the arguments to move closer to a solution. In our case the recursive method will have a parameter named `currentHead`. Each recursive invocation will be sent the “next” `currentHead` (the reference to the next smaller sub-list).

Normally, recursion is accomplished in Java by writing a pair of methods, one **public** method as described above and one **private** method that accomplishes the recursive processing of the sub-lists. The **public add** method is very short because all it does is invoke the **private add** method starting at the head of the entire list and assign the reference to the resulting list to **myHead**. The code for the **public add** method is as follows:

```
public void add (E toInsert) {
    myHead = add (toInsert, myHead);
} //public add method
```

Note that the **private add** method must have two parameters. We certainly can justify sending the reference to the **E** being inserted. We also send a reference to the head of the list so that the **private** method knows where to start checking for the correct position for the new **Node**. We must send **myHead** as a parameter even though it is clearly accessible to the **private** method being written in the same class. The point is that the **private** method will be called recursively on smaller embedded sub-lists if necessary. In those recursive invocations, the reference to the head of the embedded sub-list will be the parameter passed to the **private** method and become the **currentHead** as we discussed in our algorithm.

Because the **public** and **private** methods have different signatures, there will be no confusion about which method is being called. Also note that the return type for the **private** method must be compatible with the type of **myHead** since the value returned by the **add** method will be assigned to **myHead**. The value returned will be the appropriate value for **myHead**. **myHead** will be reassigned its current value if it is not appropriate to change its value.

Compare the code below with the discussion above about when the appropriate position has been found in the list and what the appropriate actions are to be performed. The Java code simply mirrors that discussion for the most part. Note that we use the 2 parameter **Node** constructor to accomplish two algorithm steps in one line of code.

```
private Node<E> add (E toInsert, Node<E> currentHead) {
    if ((currentHead == null) ||
        (myCompare (toInsert, currentHead.getData()) < 0)) {
        Node<E> temp = new Node< > (toInsert, currentHead);
        return temp;
    } //if we have found the correct position
    //Otherwise, we need to continue looking for the correct position
    //currentHead's link field may be the reference that needs
    //to be reassigned to refer to the new Node
    currentHead.setLink(add (toInsert, currentHead.getLink()));
    return currentHead;
} // private add method
```

The **boolean** condition controlling the **if** statement is clearly just a translation into Java syntax of the conditions listed above. The first line in the **if** clause also matches the description of the first two actions that need to be performed. Note, however, that the way that the new value is assigned to the head of the list or sub-list is through a value returned by the method.

**Exercise 15.8:** Trace the following code to show how a value is assigned to `myHead`. Be sure to describe the action of each executed statement.

```
WCSOrderedLinkedList<String> myOrderedList =
    new WCSOrderedLinkedList<>();
myOrderedList.add("meadow");
```

Beyond the `if` statement, we see the recursive invocation of (often called “the recursive call to”) the `private` method.

```
currentHead.setLink(add (toInsert, currentHead.getLink()));
```

Note the way in which the method implements the restart of the comparison process with the next smaller embedded sub-list. The second argument sent to the `private` method is the link field of the `Node` at the head of the current sub-list. The recursive invocation of the `private` method appears as the argument for the `setLink` method because the `currentHead`’s link field should refer to the modified list that will exist after this invocation of the `add` method is completed.

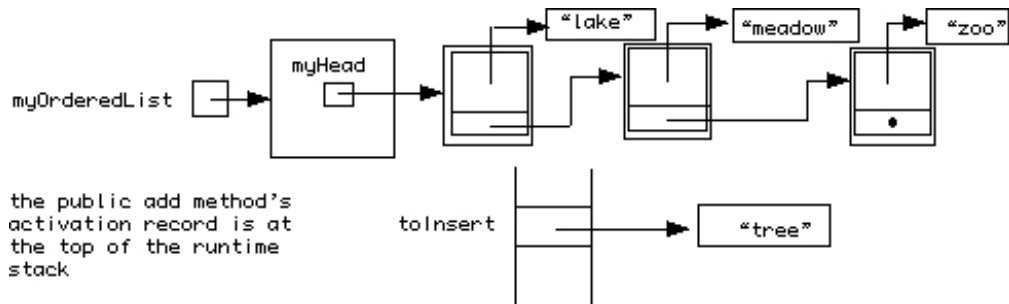
The only tricky part here is that after the invocation of `setLink`, we return the value of `currentHead`. Remember that every call to the `private` method returns to set a link either through a `setLink` method or an assignment statement (for the return from the first call to the `private add` method). Hence, the appropriate value must be returned. Think of the possibilities for that assignment. Either the assignment should be to the reference to the new `Node` as noted in the `if` clause or the assignment should just reassign the current value of the link if that part of the list is to remain unchanged.

Look back at our trace for adding the `String` “tree” to the list that has “lake”, “meadow”, and “zoo” already inserted. The references to the `Nodes` containing the references to “lake” and “meadow” should not be changed. Hence, they should be reassigned their current values. However, the reference to the `Node` containing the reference to “zoo” needs to be changed. It needs to be assigned the reference to the new `Node` that contains the reference to “tree”. When `currentHead` refers to the `Node` containing the reference to “meadow”, it will be appropriate to use `setLink` to change its link field to refer to the new `Node`.

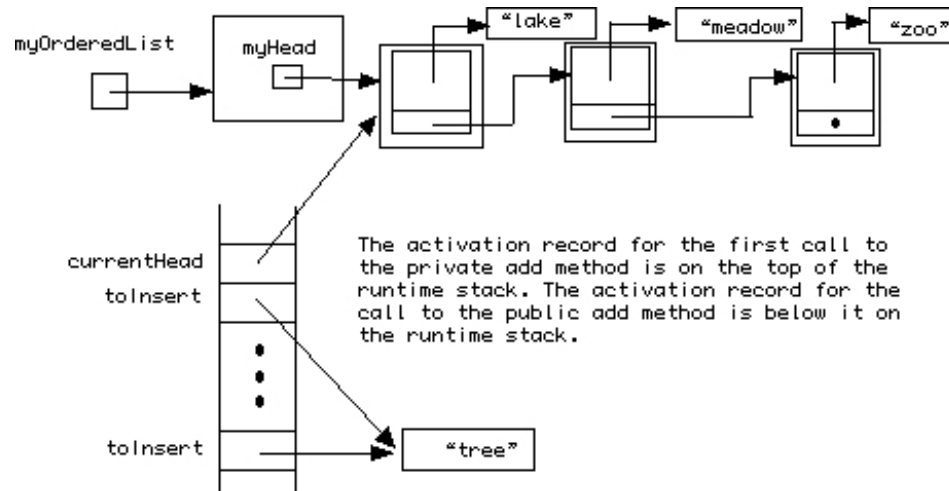
In tracing the code, three values should be returned by the `private add` method. Two of those returned values should have the effect of resetting existing links. The third returned value should reset the link field of the `Node` containing the reference to “meadow” so that it refers to the newly constructed `Node` containing the reference to “tree”.

### *The Runtime Stack and Recursion*

Let us consider how the runtime stack grows and shrinks as the code executes. When the `public add` method is called, its activation record is pushed onto the runtime stack. Its parameter `toInsert` is referring to the `String` object “tree” as shown below.

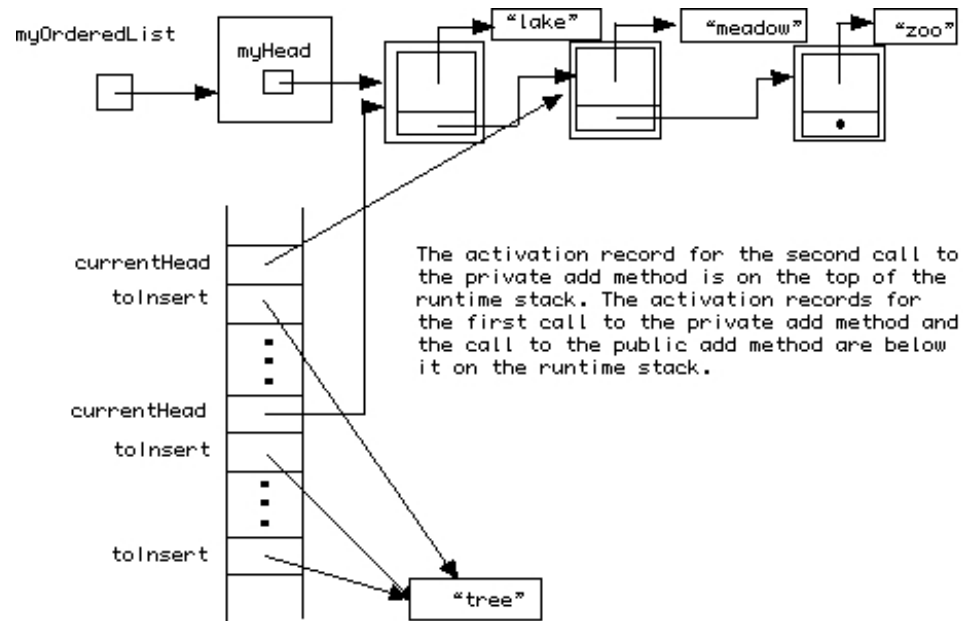


In the first call to the **private add** method from the **public add** method, the **Node** reference sent as an argument is **myHead**. Remember how Java handles parameters. Each parameter is a new copy of the corresponding argument. Hence, when the activation record for the first call to the **private add** method is pushed onto the runtime stack, its parameter **currentHead** is assigned the value of **myHead**. The diagram below illustrates the situation at this point.

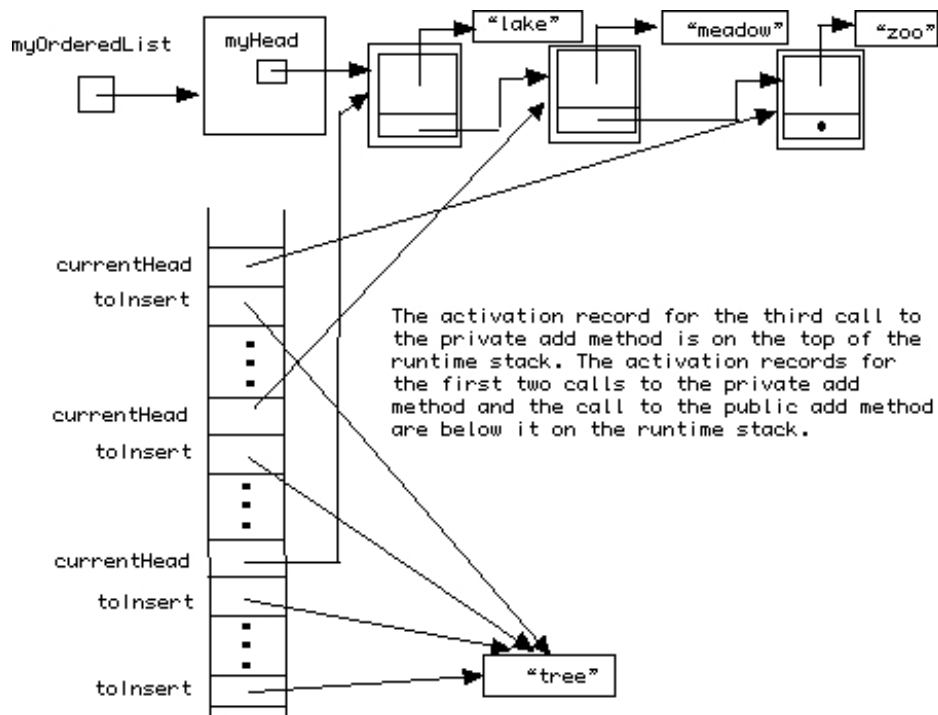


When the **private add** method recurses, that first **currentHead** reference is not destroyed. It exists until that first invocation of the **private add** method completes execution. That execution will not be complete until the second call to the **private add** method returns. That second call to the **private add** method is recursive because it is invoked from within the **private add** method.

In the second call to the **private add** method, the code uses **currentHead.getLink()** for the second argument. This means that the pointer to the **Node** containing the reference to "meadow" is the second argument and assigned to the parameter **currentHead** in the next activation record pushed onto the runtime stack for the **private add** method. There are two **currentHead** variables in memory at this point, but there is no confusion because the runtime stack is managing them. The situation in memory is shown below.



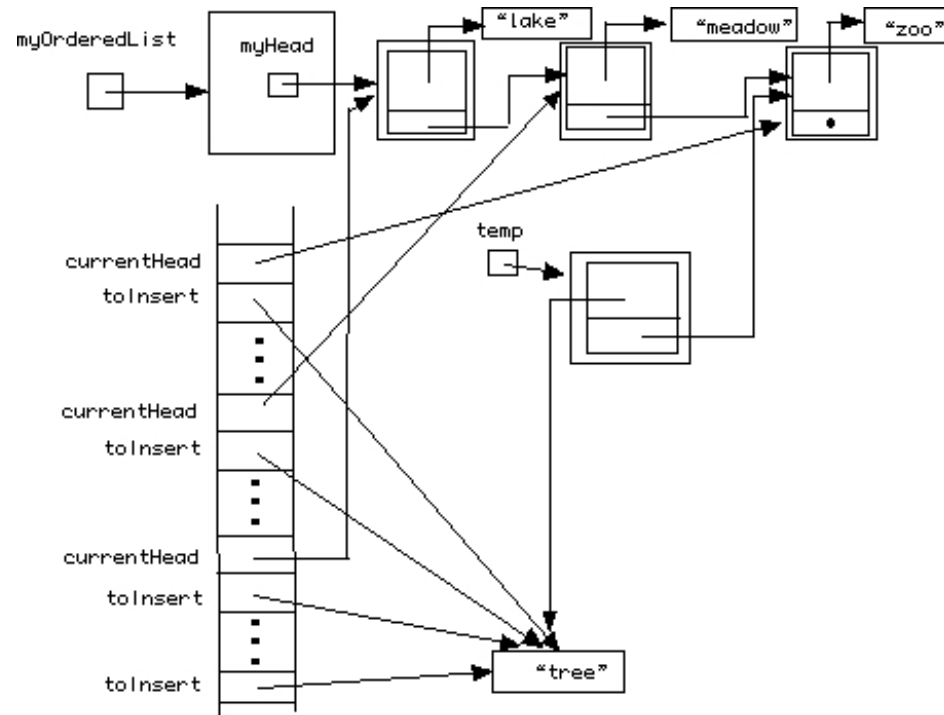
In the third call to the **private** `add` method, the code uses `currentHead.getLink()` for the second argument. This means that the reference to the **Node** containing the reference to "zoo" is the second argument and assigned to the parameter `currentHead` in the next activation record pushed onto the runtime stack for the **private** `add` method. There are three `currentHead` variables in memory at this point. The situation in memory is shown below.



Finally, in this third call to the **private** `add` method, the condition

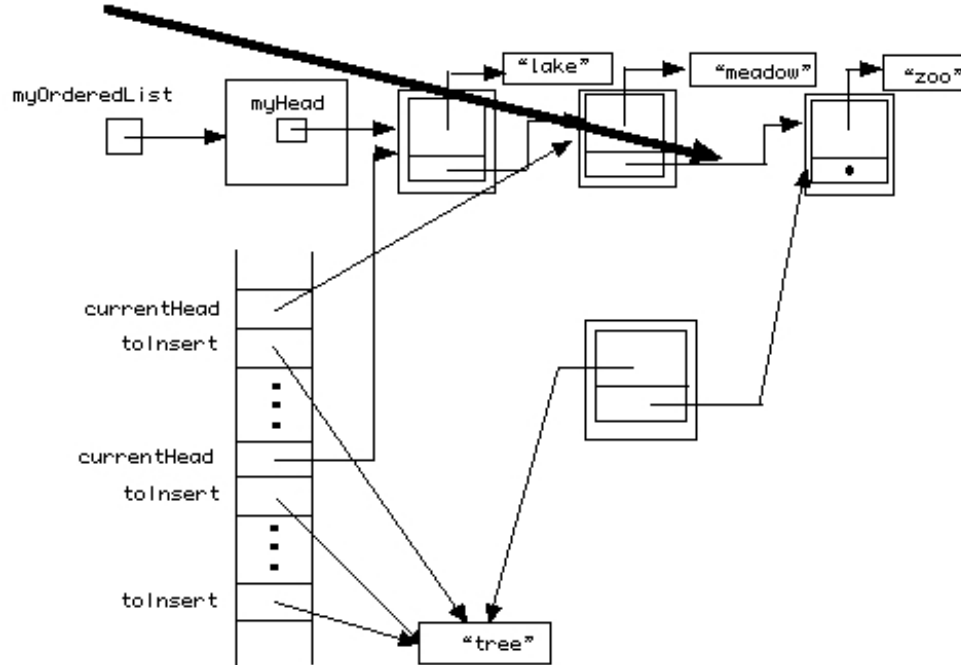
```
(myCompare (toInsert, currentHead.getData()) < 0)
```

is `true` so that we will not recurse any more. A `Node` is constructed with data field assigned the reference to the `String` object `"tree"`. That `Node`'s link field is assigned to the value of `currentHead`. The situation in memory is shown below just before the `return` statement.



Now, we return the value of `temp` and pop the top activation record off the runtime stack. `temp` will no longer exist, but we must remember that its value is being returned to be used. Note that the value returned is a reference to the new `Node`. We return to the second call to the `private add` method and are ready to complete execution of the invocation of the `setLink` method using the returned value for its argument. The diagram below shows the situation just before that `setLink` takes place.

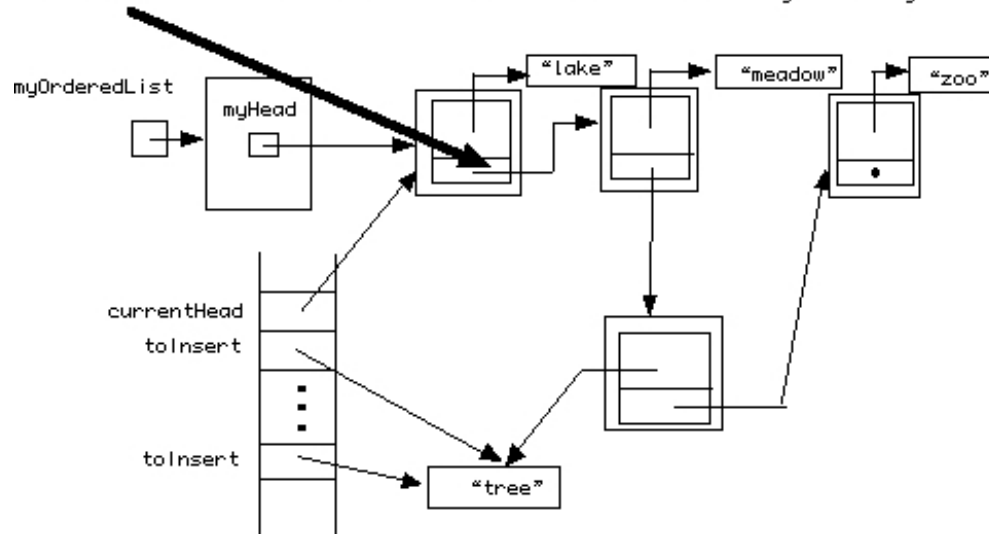
When we complete execution of  
`currentHead.setLink(add(toInsert, currentHead.getLink()))`;  
 this link will refer to the new Node.



After completing the `setLink`, the new `Node` will be appropriately linked into the list.

Then it remains to `return currentHead` to complete the second invocation of the `private add` method. Its activation record will be popped off the runtime stack. The value returned at this point is a reference to the `Node` containing the reference to "meadow". That value will be the argument to the `setLink` method of the first call to the `private` method and will reassign the link field in the `Node` containing the reference to "lake" so that it still points to the `Node` containing "meadow". The situation in memory just before that `setLink` is executed is shown below.

When we complete execution of  
`currentHead.setLink(add(toInsert, currentHead.getLink()))`;  
 this link will refer to the same Node to which it is currently referring.





After completing the `setLink`, the link field of the **Node** containing the reference to "lake" is still referring to the **Node** containing the reference to "meadow".

Then it remains to `return currentHead` to complete the first invocation of the **private add** method. Its activation record will be popped off the runtime stack leaving only the activation record of the **public add** method. The value returned at this point is a reference to the **Node** containing the reference to "lake". Execution returns to complete the assignment statement in the **public add** method. The returned value will be assigned to `myHead`. Hence, `myHead` is reassigned so that it continues to refer to the **Node** containing the reference to "lake".

**Exercise 15.9:** Trace the recursion that results from the following line of code being executed on the ordered list that contains "lake", "meadow", "tree", and "zoo".

```
myOrderedList.add("turkey");
```

Draw pictures showing the situation in memory (including the runtime stack and the linked list) at each of the following points in the execution:

- whenever an activation record is pushed onto the runtime stack
- just before completing a `setLink` invocation.

**Exercise 15.10:** We have ignored the possibility of insertion of duplicate items into the list. Trace the recursion that results from the following line of code being executed on the ordered list that contains "lake", "meadow", and "zoo".

```
myOrderedList.add("meadow");
```

Draw pictures as described in Exercise 15.9.

### *Building a **WCSOrderedLinkedList** without Duplicates*

We would like to modify our **public** and **private add** methods so that duplicate items are not added to the list. Let us first consider the **private add** method. Remember that as the recursion proceeds, we test to determine whether a new **Node** needs to be constructed and a reference to that newly constructed **Node** returned, or if the recursion should proceed to the next **Node** and the `currentHead` should be returned. The first question to answer is "What should be returned if a duplicate item is found in the list?" It should be clear that we simply want to leave the list as it is. Hence, there is no construction of a new **Node**, no recursive call to continue searching for the correct position in the list, and the `currentHead` should be returned. In other words, if a duplicate item is found to be already in the list, we just return the `currentHead`.

The condition that determines that a new **Node** should be constructed is tested first in our **private add** method. That condition is:

```
((currentHead == null) || (myCompare (toInsert, currentHead.getData()) < 0))
```

Remember that this condition tests both for getting to the end of the list or finding that the item being added is before an item already in the list. However, notice that if `toInsert` and `currentHead.myData` are in fact referring to equal items, this condition is `false`, and the recursion goes on to the next `Node` in the list. Thus, if we want to avoid having more than one `Node` referring to equal items, we need to separate the tests and make sure that we check for duplicate items before we test using the `myCompare` method.

We should always check for the end of the list first to make sure that our code avoids references through `null` pointers. In summary, our code should check first for the end of the list, should check second for a duplicate item, and should finally check using the `myCompare` method to see if the correct position in the list has been found. If all three of these conditions fail, it is then time to recurse down the list.

We have assembled a new version of the `private add` method below that conforms to the discussion in the last few paragraphs. The `Node<E>` reference `temp` was not really needed and has been avoided in our new version. Note that we once again use Java 1.7's diamond operator in the `return` statements. The compiler can infer the type parameter `E` for the construction of the new `Node` from the return type `Node<E>` of the method.

```
private Node<E> add (E toInsert, Node<E> currentHead) {
    if (currentHead == null) {
        return new Node<> (toInsert);
    } // We got to the end of the list.
    if (toInsert.equals(currentHead.getData())) {
        return currentHead;
    } // We found a duplicate item.
    if (myCompare (toInsert, currentHead.getData()) < 0) {
        return new Node<> (toInsert, currentHead);
    } // We found the correct position.
    //Otherwise, we need to continue looking for the correct position
    //currentHead's link field may be the reference that needs
    //to be reassigned to refer to the new Node
    currentHead.setLink(add (toInsert, currentHead.getLink()));
    return currentHead;
} // private add method
```

Another consideration with respect to duplicate items is whether the code somehow indicates that a new item was added or not. We would like our `public add` method to have return type `boolean` and return `true` if the list's composition was changed by the actions of the `add` methods or return `false` if the list was unchanged by the actions of the `add` methods. The easiest way to determine if the list has changed or not is to have a `boolean` instance variable in the `WCSOrderedLinkedList` class. Let us name that variable `addSuccessful`. `addSuccessful` is assigned `false` by the `public add` method before it begins the recursion. At any point where the `private add` method constructs a new `Node` that will change the composition of the list, `addSuccessful` should be assigned the value `true`. Finally, the `public add` method should return the value of `addSuccessful`. We have assembled the improved versions of the `public` and `private add` methods below.

```

// With the instance variables for the class
private boolean addSuccessful; // used to indicate that the add methods
                               // changed the composition of the list or not

public boolean add (E toInsert) {
    addSuccessful = false;
    myHead = add (toInsert, myHead);
    return addSuccessful;
} // public add method

private Node<E> add (E toInsert, Node<E> currentHead) {
    if (currentHead == null) {
        addSuccessful = true;
        return new Node<> (toInsert);
    } // We got to the end of the list.
    if (toInsert.equals(currentHead.getData())) {
        return currentHead;
    } // We found a duplicate item.
    if (myCompare (toInsert, currentHead.getData()) < 0) {
        addSuccessful = true;
        return new Node<> (toInsert, currentHead);
    } // We found the correct position.
    // we need to continue looking for the correct position
    // currentHead's link field may be the pointer that needs
    // to be reassigned to point to the new Node
    currentHead.setLink(add (toInsert, currentHead.getLink()));
    return currentHead;
} // private add method

```

Recall from our trace of this recursive code that there are several references to the object `toInsert` on the runtime stack. There is such a reference in each activation record for the `private add` method. We can avoid that waste of space on the runtime stack if we can make the reference available to the `private add` method in some way other than as a parameter. One way to do that is to have another `private instance` variable of class `E` that will hold the reference. Let us name that `private instance` variable `objectBeingAdded`. `objectBeingAdded` will be assigned in the `public add` method. It will be assigned the reference passed as a parameter to the `public add` method. Then the `private add` method will simply refer to the item being added as `objectBeingAdded`. Taking these improvements into consideration, our code becomes:

```

// With the instance variables for the class
private boolean addSuccessful; // used to indicate that the add methods
                               // changed the composition of the list or not
private E objectBeingAdded; // holds a reference to object
                               // being added for the recursion

public boolean add (E toInsert) {
    addSuccessful = false;

```

```
        objectBeingAdded = toInsert;
        myHead = add (myHead);
        return addSuccessful;
    } // public add method

    private Node<E> add (Node<E> currentHead) {
        if (currentHead == null) {
            addSuccessful = true;
            return new Node< > (objectBeingAdded);
        } // We got to the end of the list.
        if (objectBeingAdded.equals(currentHead.getData())) {
            return currentHead;
        } // We found a duplicate item.
        if (myCompare (objectBeingAdded, currentHead.getData()) < 0) {
            addSuccessful = true;
            return new Node< > (objectBeingAdded, currentHead);
        } // We found the correct position.
        // we need to continue looking for the correct position
        // currentHead's link field may be the pointer that needs
        // to be reassigned to point to the new Node
        currentHead.setLink(add (currentHead.getLink()));
        return currentHead;
    } // private add method
```

### *Searching a WCSOrderedLinkedList*

Another typical list operation is searching an ordered linked list for a particular item. Since the list is ordered, the code should be able to detect that an item is not in the list by finding an item in the list that is after the item for which it is searching.

Think about the search process recursively, i.e., in terms of searching smaller and smaller embedded sub-lists. The algorithm should compare the item at the head of the list with the search item. If the current list is empty or the item at the head of the current sub-list is after the search item, return `null` to indicate that the item is not in the list. If the item at the head of the list is the search item, return a reference to the item. If the conditions just stated are all false, it must be that the item at the head of the list is before the search item. In that case, return the result of the recursive call on the next smaller embedded sub-list.

Once again, we use a pair of methods. The **public** method will be passed a reference to the search item. It will invoke the **private** method and return whatever the private method returns to it. The code for the **public search** method uses a private instance variable of class `E` named `objectSearchingFor` and is as follows:

```
public E search(E findMe) {
    objectSearchingFor = findMe;
    return search(myHead);
} // public search
```

Note that the code for searching should not change the structure of the list. Hence, there will be no assignment statements or `setLink` invocations involved.

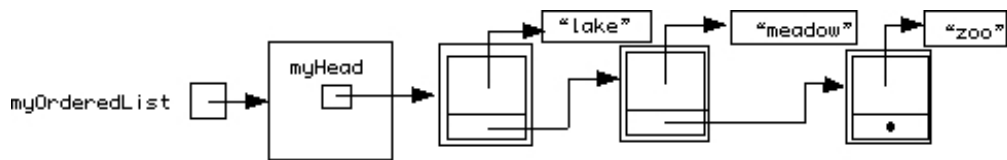
**Exercise 15.11:** Write the code for the `private search` method using the header below and the algorithm described above.

```
private E search (Node<E> currentHead) {
```

### *Deleting an Item from a `WCSOrderedLinkedList`*

Let us now consider deleting an item from an ordered linked list. If the item being deleted is at the head of the list, `myHead` will be reassigned. We can use that description in a recursive process by saying that if the item being deleted is at the head of the current sub-list, the reference to that current sub-list will be reassigned. We know from our discussion of the `add` method that reassigning links can be accomplished with an assignment statement or with a call to `setLink` on the appropriate `Node`.

Consider deleting the `Node` containing the reference to "meadow" from the list below.



It is the link reference in the `Node` containing the reference to "lake" that must be reassigned so that it refers to the `Node` containing the reference to "zoo". Recall that in the code for the `add` methods, we returned the appropriate link reference whether it was a link to remain in place or a link to a new `Node`. When the `Node` containing the reference to "lake" is at the head of the current sub-list, it is `currentHead` that needs to be returned in order to keep this `Node` in the list. When the `Node` containing "meadow" is at the head of the current sub-list, it is the value of its link field that needs to be returned in order to delete this `Node`.

It may be that the item to be deleted is not found in the list. The `public delete` method should have `boolean` return type. The value `true` should be returned if the composition of the list has been changed by the actions of the `public` and `private delete` methods. The value `false` should be returned by the `public delete` method if the composition of the list is unchanged by the actions of the `delete` methods. We will add another `boolean` instance variable and another instance variable of class `E` to the `WCSrderedLinkedList` class to accomplish this task. We name the `boolean` instance variable `deleteSuccessful`. We name the instance variable of class `E` as `objectToDelete`.

**Exercise 15.12:** The `public delete` method should be very similar to the `public add` method. Write the `public delete` method assuming that the class has a `private boolean` instance variable named `deleteSuccessful` and a `private` instance variable of class `E` named `objectToDelete`.

**Exercise 15.13:** The private `delete` method should assign the value `true` to `deleteSuccessful` when the item to be deleted is found and the appropriate link returned. Also note that the private `delete` method should not recurse if it encounters a `Node` containing an item that is after the item to be deleted. Write the code for the recursive private `delete` method.

**Exercise 15.14:** Complete the class named `WCSOrderedLinkedList<E extends Comparable<E>>` that implements a linked list of items that is maintained in order.

Your `WCSOrderedLinkedList <E extends Comparable<E>>` class should have at least eight private instance variables. The first instance variable is of class `Node<E>` and is a reference to the head of the list. The second instance variable is of class `Comparator<E>` and is used to maintain the list in an order specified by the `Comparator`. The third instance variable keeps a count of items in the list. The count should be updated every time an `add` or `delete` operation is successful. The remaining five instance variables have been described above in discussions of adding, searching, and deleting from the list.

One constructor of class `WCSOrderedLinkedList <E extends Comparable<E>>` will have one parameter that is a reference to a `Comparator<E>` object. The other constructor will have no parameters.

Your class `WCSOrderedLinkedList <E extends Comparable<E>>` should have at least the following instance methods:

```
public boolean add(E addMe)
private Node<E> add(Node<E> currrentHead)
public E search(E findMe)
private E search(Node<E> currrentHead)
public boolean delete(E deleteMe)
private Node<E> delete(Node<E> currentHead)
public boolean isEmpty()
public int getCount()
private int myCompare(E firstItem, E secondItem)
public String saveToFile(String fileName)
private void saveToFile(Node<E> currentHead)
public String loadFromFile(String fileName)
public String toString()
private String toString(Node<E> currentHead)
```

You will need a `Node<E>` class to work in conjunction with your `WCSOrderedLinkedList <E extends Comparable<E>>` class.

**Exercise 15.15: Continuation of Exercise 14.22**

- a) In your Address Database GUI, you have buttons that allow the user to display the `AddressInfo` objects in the usual two possible orders, but with an ordered linked list, the underlying data structure will *not* be sorted as a consequence of the user's having clicked one of these buttons. Instead the data in each data structure will be maintained in order. Hence, you should change the text that appears on those buttons to "Alphabetical Order Display" and "Address Order Display". You should also remove the original "Display" button from the GUI and remove any code pertaining to that button from your `Database` class.
- b) Revise your `Database` class so that you declare, construct, and use two `WCSOrderedLinkedList <AddressInfo>` objects, one that can be ordered alphabetically and one that can be ordered by address. When you construct your `WCSOrderedLinkedList <AddressInfo>` that can be ordered by address, you should pass the public reference to the `AddressComp` object to the constructor.
- c) When you add a new record to the database, you add it to both `WCSOrderedLinkedList <AddressInfo>` objects.
- d) When you delete a record from the database, you delete it from both `WCSOrderedLinkedList <AddressInfo>` objects.
- e) When your code saves the contents of the database to a file, save only the items in the alphabetical list.
- f) When your code loads items from a file, add each item to both lists.

**Exercise 15.16: Continuation of Exercise 14.23**

- a) In your Birthday Database GUI, you have buttons that allow the user to display the `BirthInfo` objects in the usual two possible orders, but with an ordered linked list, the underlying data structure will *not* be sorted as a consequence of the user's having clicked one of these buttons. Instead the data in each data structure will be maintained in order. Hence, you should change the text that appears on those buttons to "Alphabetical Order Display" and "Chronological Order Display". You should also remove the original "Display" button from the GUI and remove any code pertaining to that button from your `Database` class.
- b) Revise your `Database` class so that you declare, construct, and use two `WCSOrderedLinkedList <BirthInfo>` objects, one that can be ordered alphabetically and one that can be ordered chronologically. When you construct your `WCSOrderedLinkedList <BirthInfo>` object that can be ordered chronologically, you should pass the public reference to the `ChronComp` object to the constructor.
- c) When you add a new record to the database, you add it to both `WCSOrderedLinkedList <BirthInfo>` objects.

(continues)



*Exercise 15.16 continued*

- d) When you delete a record from the database, you delete it from both `WCSOrderedLinkedList <BirthInfo>` objects.
- e) When your code saves the contents of the database to a file, save only the items in the alphabetical list.
- f) When your code loads items from a file, add each item to both lists.

**Exercise 15.17: Continuation of Exercise 15.15**

In this exercise you will separate the code in the Address Database GUI from the choice of type of data structure that manages the data. You will hide the fact that there are two underlying lists.

- a) Write a class named `AddressInfoCollection` that relies on two ordered linked lists to organize the `AddressInfo` objects. More specifically:
  - i) Your class `AddressInfoCollection` will have two private instance variables that are references to objects of class `WCSOrderedLinkedList <AddressInfo>`. One `WCSOrderedLinkedList <AddressInfo>` will be constructed with zero parameter constructor, and hence be ordered by the natural ordering of `AddressInfo` objects. The second will be constructed with the `AddressComp` object of class `AddressInfo` as an argument to the constructor, and hence, be ordered by address.
  - ii) Class `AddressInfoCollection` will have the following methods:
    - (1) `public AddressInfo search(AddressInfo)`—This search method will return the value returned by the search method invoked on the `WCSOrderedLinkedList <AddressInfo>` ordered by the natural ordering of `AddressInfo` objects.
    - (2) `public boolean add(AddressInfo)`—This add method will invoke the search method described above to check for a duplicate record. If a duplicate is found, `false` is returned. If no duplicate is found, this add method will return `true` only if the attempts to add the record into both `WCSOrderedLinkedList <AddressInfo>` 's are successful. It will return `false` otherwise.
    - (3) `public boolean delete(AddressInfo)`—This delete method will invoke the search method described above and assign the returned value to a local `AddressInfo` reference for the found object. If the returned value is `null`, the delete method should return `false`. If the returned value is not `null`, delete should return `true` only if the attempts to delete the found reference from both `WCSOrderedLinkedList <AddressInfo>` 's are successful. The delete method should return `false` otherwise.
    - (4) `public String toStringAlphabetical()`—This method returns the value returned by invoking `toString()` on the `WCSOrderedLinkedList <AddressInfo>` that is ordered by the natural ordering of `AddressInfo` objects.
    - (5) `public String toStringByAddress()`—This method returns the value returned by invoking `toString()` on the `WCSOrderedLinkedList <AddressInfo>` that is ordered by the `AddressComp` object of class `AddressInfo`.

*(continues)*



*Exercise 15.17, continued*

- (6) `public String saveToFile(String)`—This method returns the `String` returned by invoking `saveToFile` on the `WCSOrderedLinkedList <AddressInfo>` that is ordered by the natural ordering of `AddressInfo` objects with argument equal to the `String` parameter that is a file name.
- (7) `public String loadFromFile(String)`—This method will be similar to the method `loadFromFile` in the class `List<E> extends Comparable<E>>` class from Chapter 13. However, in this case, we do *not* want to invoke `loadFromFile` on each of the two `WCSOrderedLinkedList <AddressInfo>` s. If we did that, we would have two sets of the same data objects in memory that were read from the file. Instead, write `loadFromFile` in the class `AddressInfoCollection` so that each object comes just once from the file and is inserted into both `WCSOrderedLinkedList <AddressInfo>` s using the `add` method described above.
- b) Add your class `AddressInfoCollection` to your Eclipse project.
- c) In your `Database` class declare a reference to an object of class `AddressInfoCollection` with name `myCollection`.
- d) In the constructor of your `Database` class construct the `AddressInfoCollection` and make `myCollection` refer to it with the following line of code:
 

```
myCollection = new AddressInfoCollection();
```
- e) Remove the declarations and constructions of the two `WCSOrderedLinkedList <AddressInfo>` objects. There will be many errors generated in your `Database` class due to this action. However, those red flags indicate the places where the object `myCollection` should be used and the methods of `AddressInfoCollection` should be invoked. Make the appropriate changes so that your Address Database application works correctly.

**Exercise 15.18: Continuation of Exercise 15.16**

In this exercise you will separate the code in the Birthday Database GUI from the choice of type of data structure that manages the data. You will hide the fact that there are two underlying lists.

- a) Write a class named `BirthInfoCollection` that relies on two ordered linked lists to organize the `BirthInfo` objects. More specifically:
  - i) Your class `BirthInfoCollection` will have two private instance variables that are references to objects of class `WCSOrderedLinkedList <BirthInfo>`. One `WCSOrderedLinkedList <BirthInfo>` will be constructed with zero parameter constructor, and hence be ordered by the natural ordering of `BirthInfo` objects. The second will be constructed with the `ChronComp` object of class `BirthInfo` as an argument to the constructor, and hence, be ordered chronologically.
  - ii) Class `BirthInfoCollection` will have the following methods:
    - (1) `public BirthInfo search(BirthInfo)`—This search method will return the value returned by the search method invoked on the `WCSOrderedLinkedList <BirthInfo>` ordered by the natural ordering of `BirthInfo` objects.

(continues)

*Exercise 15.18, continued*

- (2) `public boolean add(BirthInfo)`—This `add` method will invoke the `search` method described above to check for a duplicate record. If a duplicate is found, `false` is returned. If no duplicate is found, this `add` method will return `true` only if the attempts to add the record into both `WCSOrderedLinkedList <BirthInfo>`'s are successful. It will return `false` otherwise.
  - (3) `public boolean delete(BirthInfo)`—This `delete` method will invoke the `search` method described above and assign the returned value to a local `BirthInfo` reference for the found object. If the returned value is `null`, the `delete` method should return `false`. If the returned value is not `null`, `delete` should return `true` only if the attempts to delete the found reference from both `WCSOrderedLinkedList <BirthInfo>`'s are successful. The `delete` method should return `false` otherwise.
  - (4) `public String toStringAlphabetical()`—This method returns the value returned by invoking `toString()` on the `WCSOrderedLinkedList <BirthInfo>` that is ordered by the natural ordering of `BirthInfo` objects.
  - (5) `public String toStringChronological()`—This method returns the value returned by invoking `toString()` on the `WCSOrderedLinkedList <BirthInfo>` that is ordered by the `ChronComp` object of class `BirthInfo`.
  - (6) `public String saveToFile(String)`—This method returns the `String` returned by invoking `saveToFile` on the `WCSOrderedLinkedList <BirthInfo>` that is ordered by the natural ordering of `BirthInfo` objects with argument equal to the `String` parameter that is a file name.
  - (7) `public String loadFromFile(String)`—This method will be similar to the method `loadFromFile` in the class `List<E>` extends `Comparable<E>` class from Chapter 13. However, in this case, we do *not* want to invoke `loadFromFile` on each of the two `WCSOrderedLinkedList <BirthInfo>`'s. If we did that, we would have two sets of the same data objects in memory that were read from the file. Instead, write `loadFromFile` in the class `BirthInfoCollection` so that each object comes just once from the file and is inserted into both `WCSOrderedLinkedList <BirthInfo>`'s using the `add` method described above.
- b) Add your class `BirthInfoCollection` to your Eclipse project.
  - c) In your `Database` class declare a reference to an object of class `BirthInfoCollection` with name `myCollection`.
  - d) In the constructor of your `Database` class construct the `BirthInfoCollection` and make `myCollection` refer to it with the following line of code:
 

```
myCollection = new BirthInfoCollection();
```
  - e) Remove the declarations and constructions of the two `WCSOrderedLinkedList <BirthInfo>` objects. There will be many errors generated in your `Database` class due to this action. However, those red flags indicate the places where the object `myCollection` should be used and the methods of `BirthInfoCollection` should be invoked. Make the appropriate changes so that your Birthday Database application works correctly.

## Lab: Linked List Lab 2 • Chapter 15

The objective of this lab is:

- to get practice writing and testing methods that work with linked list data structures

This lab uses .java files in a folder entitled `for_linked_list_lab2`

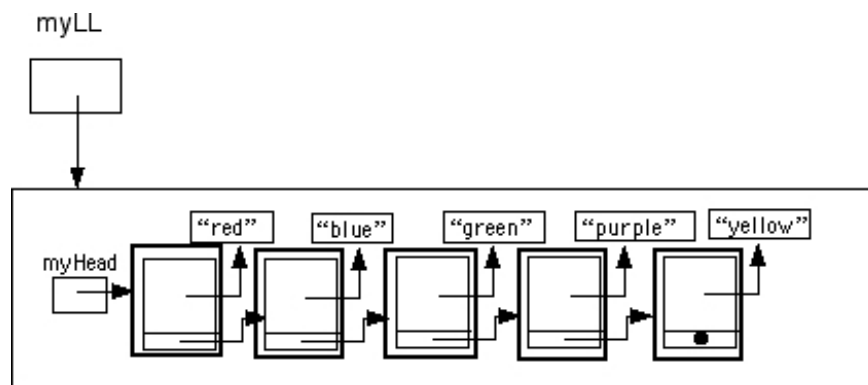
- 1) Get a copy of the folder named `for_linked_list_lab2` onto the **Desktop**.
- 2) Launch Eclipse.
- 3) Create a new Java project named with `LinkedListLab2` and your name.
- 4) Drag the folder `for_linked_list_lab2` from the **Desktop** onto the image of the your `LinkedList-Lab2` folder in the **Package Explorer** view.
- 5) Double click on your `LinkedListLab2` folder in the **Package Explorer** view to open the folder.
- 6) Double click on the `for_linked_list_lab2` package in the **Package Explorer** view to open the folder. You should see that the files `TestWCSLab2LinkedList.java`, `WCSLab2LinkedList.java` and `Node.java` in the `for_linked_list_lab2` package.

Write each of the seven methods described below. You will be adding those methods to the `WCSLab2LinkedList` class and testing those methods by writing code in the `main` method of class `TestWCSLab2LinkedList`.

When you are asked to print, use `System.out.println`.

- Include a brief comment at the beginning of each method giving the step number and describing what the method does.
- Make sure your output statements make clear which method is being invoked and what, if any, arguments it was passed.

To understand the problems given below, the following linked list is given as a *sample*:



- 7) Write a method named **getItemCount** that returns the number of items in the linked list. The method should **NOT** have any parameters.
- 8) Write a method named **numberedToString** that returns a **String** that displays each element in the list with its position. The method should **NOT** have any parameters. For the list above **numberedToString** should return a **String** beginning with "1. red\n 2. blue\n..."
- 9) Consider the linked list above. The **Node** referring to "red" could be considered the first **Node** in the list. The **Node** referring to "blue" could be considered the second **Node** in the list, and so on. Write a method named **dataAtPos** that has a single **int** parameter representing a position in the list. The method should return the data at that position or **null** if the position is not in the range 1 through the item count for the linked list. For example, your Java code should output "The data at position 4 is purple." for the list shown above.
- 10) Write a method named **positionFirstOccurrence** that has a single **String** parameter representing possible data in the list. The method should return the first position at which the data occurs, or 0 if the data does not occur in the list. The following Java code would output "green is at position 3."

```
int position = myLL.positionOf("green");
System.out.println("green is " + (position == 0 ? "not in the list."
                                : ("at position " + position)));
```
- 11) Write a method named **rotate** that moves the last **Node** in the linked list so that it becomes the first **Node** in the linked list. The method has no parameters and returns no value. Before invoking the method you should print the existing list. After invoking the method, you should print the newly modified list.
- 12) Write a method named **countMatches** that has a single parameter and returns an **int** representing the number of times the parameter appears in the linked list.
- 13) Write a method named **reverse**, that modifies the list so that the data is reversed. The method has no parameters and returns no value. Before invoking the method you should print the existing list. After invoking the method, you should print the newly modified list.
- 14) Submit hard copies of the classes **WCSLab2LinkedList** and **TestWCSLab2LinkedList**. Also, submit a hard copy of the final output in Eclipse's Console view.

# 16

## Binary Trees

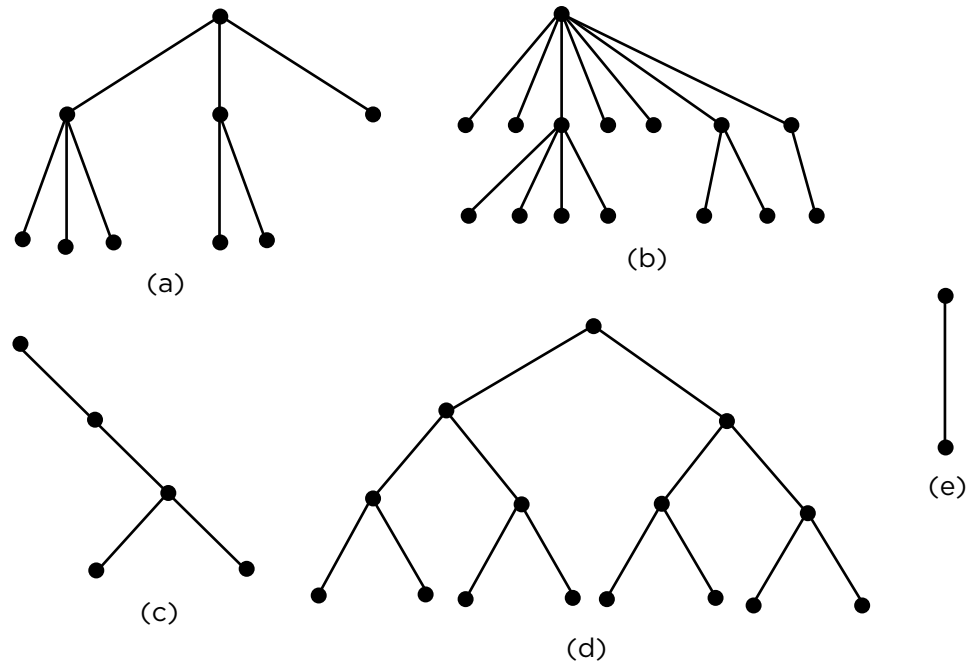
### INTRODUCTION

---

The structure that we call a tree should be familiar to you from various contexts. For instance, everyone has a “family tree”. Biologists classify plants and animals according to a scheme that can be represented as a tree. Most companies have “organizational charts” that display the hierarchy of responsibility in the company. Usually, such a chart takes the form of a tree. In fact, there are many circumstances where the relationships between objects is well described by some sort of tree. Hence, it should not be surprising that constructing tree-shaped dynamic data structures turns out to be useful.

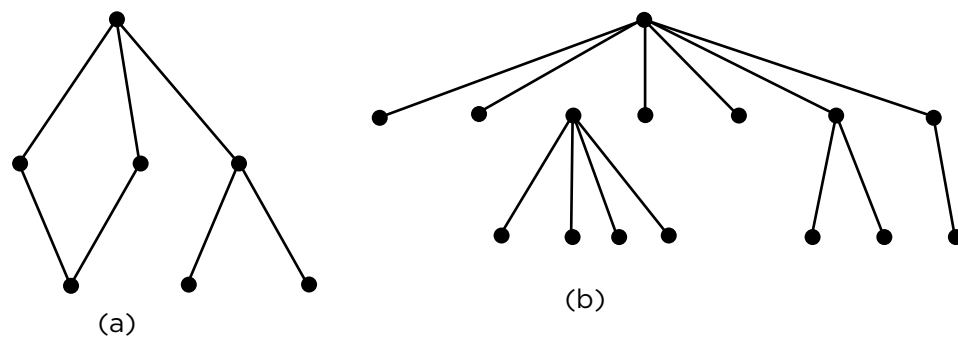
#### *Some Definitions*

Informally, what we mean by a tree is a structure with form something like any of the shapes in Figure 16.1:



**Figure 16.1** Various Shapes for Trees

There is a suggestive, but possibly confusing, vocabulary for describing the parts of a tree structure. Think of the lines as emanating from upper to lower (left or right) dots. Then the top (as we have drawn it) of a tree, from which zero or more lines emanate, is referred to as the *root*. All the lines are referred to as *branches*. Thus, as other writers have remarked, computer scientists draw their trees upside down. The various places from which branches emanate are referred to as *nodes*. The root counts as a node. The ends of branches from which no new branches emanate are also referred to as nodes, but in addition they have the special name *leaves*. If a branch goes from node A to node B, then node A is node B's *parent*, while node B is node A's *child*. A node can have any number of children, but every node except the root must have exactly one parent. Thus the shapes in Figure 16.2 are NOT trees. (In 16.2a there is a node with two parents, and in 16.2b there is a non-root node with no parent.)



**Figure 16.2** Shapes That Are Not Trees

The depth of a tree is the number of nodes in any of the longest paths from the root to a leaf. Both the root and the leaf count in the depth.

**Exercise 16.1:** Give the depth of each of the trees in Figure 16.1.

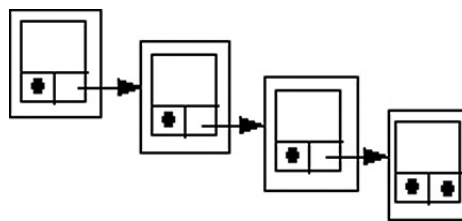
### Binary Trees

We are only going to discuss the special kind of tree known as the binary tree in this text. Even our discussion of the binary tree will be limited. It turns out that tree structures, and binary trees in particular, are so useful in computer science that the theory regarding their manipulation has been extensively studied and developed. This theory is rich and complex. Much of it is typically covered in courses devoted to the study of Data Structures. We will content ourselves with some definitions, a few examples, and a hint of the deeper problems.

Informally, a binary tree is a tree in which every node has at most two children. The formal definition of a binary tree is a recursive one. This is no accident. The recursive nature of binary trees is reflected in the recursive nature of many of the algorithms for manipulating them. A binary tree is a finite data structure which either is empty, or consists of a node with references to two binary trees. The binary trees attached to a node are its sub-trees. There is a left sub-tree and a right sub-tree at each node; either or both of the sub-trees may be empty.

**Exercise 16.2:** Which if the trees shown in Figure 16.1 are binary trees, according to the above definition?

In a sense, the tree structure is a generalization of the list: we can think of a linked list as a “degenerate” binary tree in which all the left sub-trees at each `TreeNode` are empty as in Figure 16.3 below.



**Figure 16.3** Linked List as Degenerate Binary Tree

The distinction between the left sub-tree and the right sub-tree is arbitrary, but it is a convenient bit of terminology that reflects the way we draw trees. An example of a familiar binary tree can be found in your “family tree”. Draw a node with yourself as the root. To the left, draw a “child” node representing your father, and to the right a “child” node representing your mother. In this tree, a node’s “children” (in tree terminology) are its parents (in the English-language sense)! Repeat this for each node, as far as you can.

**Exercise 16.3:** Actually, if you repeat the above process far enough, the structure you get will no longer be a tree. Why?

### *Describing Binary Trees in Java*

As with the other data structures we have discussed previously, we will develop a **WCSBinaryTree** class. The **Node** class we have been using will not suffice here because we need pointers to both the left and right sub-trees. When a **TreeNode** is missing a left or right sub-tree, this will be indicated by a value of **null** for the corresponding reference. Note that in the code for class **TreeNode** below, we have not used the **private** access modifier for the instance variables of each **TreeNode**. By omitting any access modifier, we are using the default access which is access within the package containing the class. On a Mac, default access is access by any class in the same folder. On a UNIX system, default access is access by any class within the same directory. We plan to put our binary tree class in the same package with the **TreeNode** class. As we will see below in the **WCSBinaryTree** class, using default access allows us to write shorter, more efficient code. Since our **TreeNode** class and our **WCSBinaryTree** class work together and never separately, it is okay to give away some of the security provided through the use of the **private** access modifier. Figure 16.4 shows a class definition appropriate for a binary **TreeNode**.

```
public class TreeNode<E> {
    E myData; //reference to the data being organized in the binary tree
    TreeNode<E> myLeft; //reference to left sub-tree
    TreeNode<E> myRight; //reference to right sub-tree
    public TreeNode(E theData) {

        myData = theData;
        myLeft = null;
        myRight = null;
    } //1-parameter constructor
} //class TreeNode
```

**Figure 16.4** A class to Represent a Node of a Binary Tree.

We manipulate binary trees in many of the same ways we manipulate linked lists: we construct, traverse, search, add to, and delete from trees. The algorithms for these manipulations must take into account the non-linear nature of the tree. For example, this leads to at least three different, useful ways to traverse a **WCSBinaryTree**. There are also various ways to construct **WCSBinaryTrees**, depending on the kind of relationships between the **TreeNodes** which are reflected by the tree structure. Two of the most important uses of **WCSBinaryTrees** are as search trees and as expression trees. We will discuss the uses, construction, and traversal of search trees in some detail, and talk briefly about the use of expression trees.



## Search Trees

The most common use of the binary tree structure is probably in the role of a search tree. A search tree is a `WCSBinaryTree` in which each `TreeNode` has a key value, and in which each `TreeNode` has the property: all the keys of all the `TreeNode`s in the left sub-tree are less than the `TreeNode`'s key, and all the keys of all the `TreeNode`s in the right sub-tree are greater than the `TreeNode`'s key. Figure 16.5 shows three examples of search trees. In one of the examples in this figure, we use English words as our keys. We consider the words to be ordered by the usual alphabetical order. Note that an ordered linked list is a form of “degenerate” search tree, as in the third example in Figure 16.5.

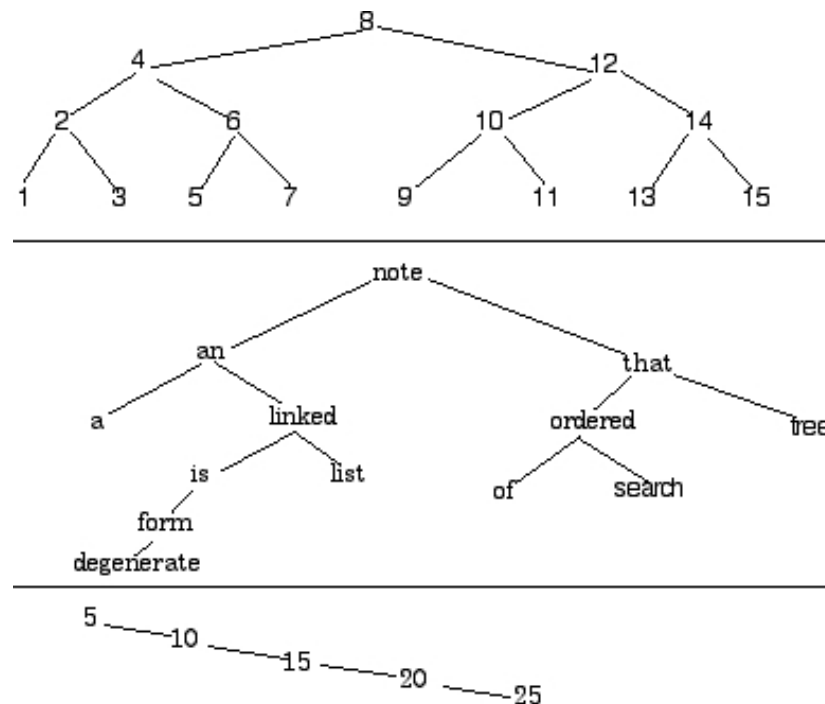


Figure 16.5 Examples of Search Trees

## Building Search Trees

Search trees, as their name implies, are useful for searching. That is, if you have a group of data items properly organized in a search tree, and you wish to know if an item with a particular key is in the tree, it turns out to be simple and efficient to search the tree for the item. We will describe the construction of a search tree first, and then show how the search is done. Here is a simple problem to place the construction in a context:

Read a sequence of integers, and construct a search tree with the integers as keys. If an integer is encountered with the same value as an integer already installed in the tree, then ignore it.

The algorithm for building the tree uses a recursive subalgorithm. The idea is as follows. Each integer will be inserted according to the following scheme. We examine the root of the tree. If the root is `null`, we have found the place to insert a new `TreeNode`. Hence, we construct a `TreeNode` and make the root refer to it. If the root is not `null`, we

compare the integer being inserted to the integer in the root **TreeNode**. If the integer being inserted is less than the integer in the root **TreeNode**, we recursively begin the process again using the left sub-tree. If the integer being inserted is greater than the integer in the root **TreeNode**, we recursively begin the process again using the right sub-tree. If the integer is equal to the integer in the root **TreeNode**, just ignore that integer.

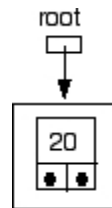
Let us illustrate the logic by building a search tree with the following sequence of integers:

20 15 10 30 25 18 6 28 13

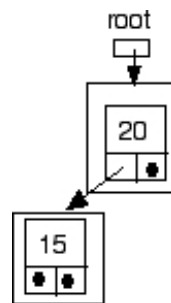
Since the root **TreeNode** begins as **null**,



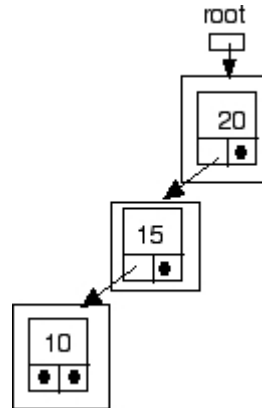
we construct a **TreeNode** using the value 20 and make the root refer to that node as follows:



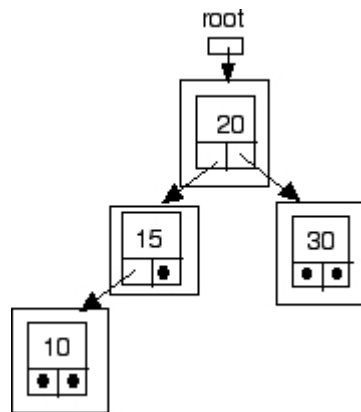
The value 15 is next to be inserted. We examine the root which is not **null**. Then since 15 is less than 20, we recurse with the left sub-tree. Since the root of the left sub-tree is **null**, we have found the place to insert 15 in the tree. We construct a **TreeNode** with 15 and make the root of the left sub-tree refer to the newly constructed node as shown below.



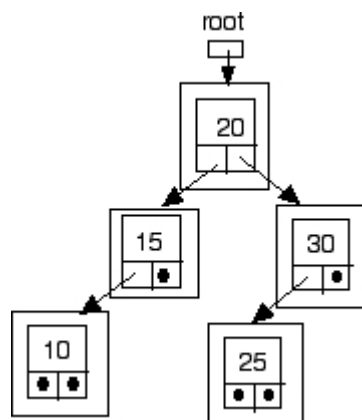
The value 10 is next to be inserted. We examine the root which is not **null**. Since 10 is less than 20, we recurse with the left sub-tree. Since the root of the left sub-tree is not **null**, we have not found the place to insert 10. Since 10 is less than 15, we recurse with the root of the left sub-tree off of 15. That sub-tree is **null**. Hence, we have found the place to insert 10. We construct a **TreeNode** with 10 and make the root of the left sub-tree off of 15 refer to the newly constructed node as shown below.



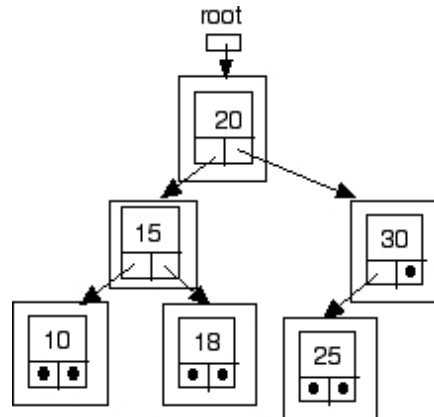
The value 30 is next to be inserted. We examine the root which is not **null**. Since 30 is greater than 20, we recurse with the right sub-tree. Since the root of the right sub-tree is **null**, we have found the place to insert 30. We construct a **TreeNode** with 30 and make the right sub-tree off of 20 point to the newly constructed node as shown below.



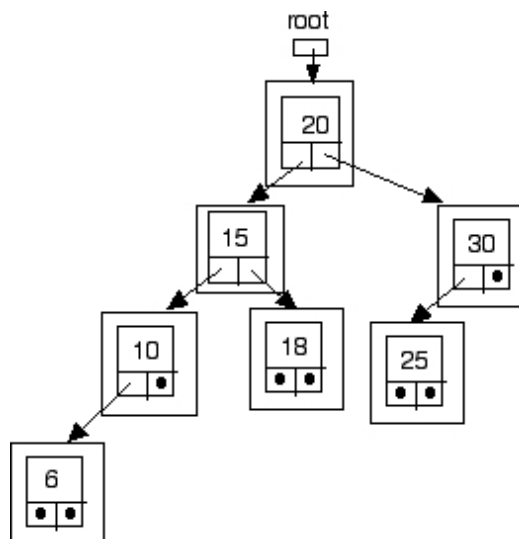
The value 25 is next to be inserted. We examine the root which is not **null**. Since 25 is greater than 20, we recurse with the right sub-tree. Since the root of the right sub-tree is not **null**, we have not found the place to insert 25. Since 25 is less than 30, we recurse with the root of the left sub-tree off of 30. That sub-tree is **null**. Hence, we have found the place to insert 25. We construct a **TreeNode** with 25 and make the root of the left sub-tree off of 30 point to the newly constructed node as shown below.



The value 18 is next to be inserted. We examine the root which is not **null**. Since 18 is less than 20, we recurse with the left sub-tree. Since the root of the left sub-tree is not **null**, we have not found the place to insert 18. Since 18 is greater than 15, we recurse with the right sub-tree off of 15. That sub-tree is **null**. Hence, we have found the place to insert 18. We construct a **TreeNode** with 18 and make the right sub-tree off of 15 point to the newly constructed node as shown below.

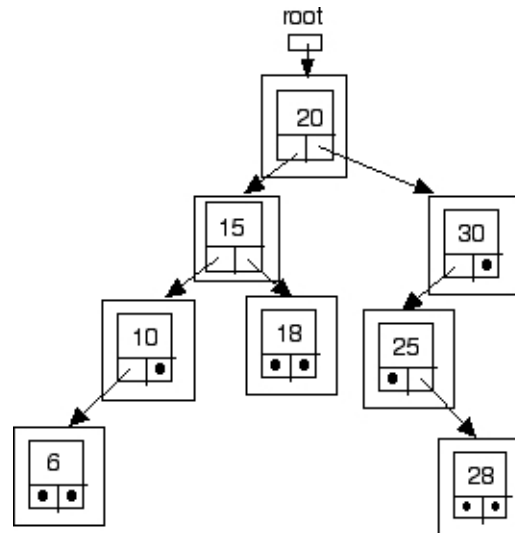


The value 6 is next to be inserted. We examine the root which is not **null**. Since 6 is less than 20, we recurse with the left sub-tree. Since the root of the left sub-tree is not **null**, we have not found the place to insert 6. Since 6 is less than 15, we recurse with the root of the left sub-tree off of 15. Since the root of the root of the left sub-tree off 15 is not **null**, we have not found the place to insert 6. Since 6 is less than 10, we recurse with the root of the left sub-tree off of 10. That sub-tree is **null**. Hence, we have found the place to insert 6. We construct a **TreeNode** with 6 and make the root of the left sub-tree off of 10 point to the newly constructed node as shown below.

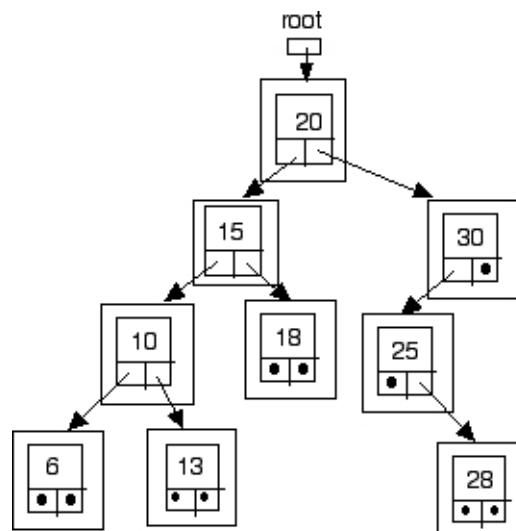


The value 28 is next to be inserted. We examine the root which is not **null**. Since 28 is greater than 20, we recurse with the right sub-tree. Since the root of the right sub-tree is not **null**, we have not found the place to insert 28. Since 28 is less than 30, we

recurse with the root of the left sub-tree off of 30. Since the root of the left sub-tree off 30 is not **null**, we have not found the place to insert 28. Since 28 is greater than 25, we recurse with the right sub-tree off of 25. That sub-tree is **null**. Hence, we have found the place to insert 28. We construct a **TreeNode** with 28 and make the root of the left sub-tree off of 25 point to the newly constructed node as shown below.



The value 13 is next to be inserted. We examine the root which is not **null**. Since 13 is less than 20, we recurse with the left sub-tree. Since the root of the left sub-tree is not **null**, we have not found the place to insert 13. Since 13 is less than 15, we recurse with the root of the left sub-tree off of 15. Since the root of the left sub-tree is not **null**, we have not found the place to insert 13. Since 13 is greater than 10, we recurse with the right sub-tree off of 10. That sub-tree is **null**. Hence, we have found the place to insert 13. We construct a **TreeNode** with 13 and make the right sub-tree off of 10 point to the newly constructed node as shown below.



The tree is complete.

**Exercise 16.4:** Suppose the input to this algorithm consists of the same 10 numbers as those we traced, but in a different sequence. Then the resulting tree may not look the same. Trace the algorithm with the inputs:

- a) 15 10 30 25 18 6 28 13 20
- b) 6 10 13 15 18 20 25 28 30
- c) 13 28 6 18 25 30 10 15 20
- d) 20 30 25 28 15 10 6 13 18

In each case, draw the resulting tree.

### Writing a `WCSBinaryTree` Class

Now, let us start to write our class appropriately named `WCSBinaryTree`. As usual, we are hiding the particular data structure from a user of the class. We use the `private` access modifier for the root of a `WCSBinaryTree`. We have an additional `private` instance variable for a `Comparator<E>` object that may be employed to order the tree in a way that is different from the natural ordering of its data objects provided through the `compareTo` method. There are two constructors whose signatures differ with respect to the existence of a `Comparator<E>` parameter. Both constructors simply assign the value `null` to the `myRoot` and assign the appropriate value to `myComparator`. We begin the class definition as shown below, including the method `myCompare` that selects between using `myComparator` and the `compareTo` method.

```
public class WCSBinaryTree<E extends Comparable<E>> {
    private TreeNode<E> myRoot; // the TreeNode at the root of the tree
    private Comparator<E> myComparator; // for ordering other than natural ordering
    public WCSBinaryTree () {
        myRoot = null;
        myComparator = null;
    } //0 parameter constructor
    public WCSBinaryTree (Comparator<E> theComparator) {
        myRoot = null;
        myComparator = theComparator;
    } //1 parameter constructor
    private int myCompare (E firstE, E secondE){
        if(myComparator == null) {
            return firstE.compareTo(secondE);
        } //no comparator
        return myComparator.compare(firstE, secondE);
    } //myCompare
}
```

Recall from our discussion of recursive list processing that our methods typically come in pairs, one method being `public` and the second being `private`. The parameter of the `public` method to perform insertion into the tree is simply the object to be inserted. The `public` method invokes the `private` method, using the hidden data item that is the root of the tree. Recall from the discussion above that insertion occurs when the

root or the root of a sub-tree is found to be **null**. We can write an algorithm to embody this idea as follows. We will use the name “**currentRoot**” to refer to the root of the sub-tree we are examining at any particular moment.

If **currentRoot** is **null**, then

construct a new **TreeNode** with the object to be inserted and **null** left and right references and make **currentRoot** refer to it by returning a reference to the new **TreeNode**.

Otherwise, if the key in the object to be inserted is before the key in the **TreeNode** referenced by **currentRoot**,

begin the insertion process with the left sub-tree and return **currentRoot** since it should not be changed.

Otherwise, if the key in the object to be inserted is after the key in the **TreeNode** referenced by **currentRoot**,

begin the insertion process with the right sub-tree and return **currentRoot** since it should not be changed.

After having recursed either left or right appropriately or not at all if the key is already in the tree, return the **currentRoot**.

The main idea in coding this algorithm is that an assignment is made to a **null** reference. However, as the code searches through the tree to locate the **null** sub-tree, it must not lose existing links. Hence, the code will reassign already existing references to sub-trees. This seemingly redundant reassigning of references allows the code to be short and elegant.

Let us first consider the **public** method of the pair. Think about what must happen when the tree is empty. A new **TreeNode** must be constructed and the root must be assigned to refer to that new **TreeNode**. Hence, an assignment to the root of the tree appears appropriate. In addition, according to our last paragraph, we will reassign the root whenever a subsequent object is inserted in the tree. Hence, the code below assigns the value returned by the **private** method to the root, and it should be clear that the **private** method returns either a reference to the new **TreeNode** constructed (in the case that this is the first **TreeNode** inserted) or a reference to the same **TreeNode** to which it is currently referring (in the case that this is not the first **TreeNode** inserted).

Analogous to our previous discussion of recursive pairs of methods, the following statements describe the structure of the code.

- The class **WCSBinaryTree** will have two instance variables that facilitate the insertion process. The first is of type **boolean** and is named **addSuccessful**. The second is of class **E** and is named **objectBeingAdded**.
- The return type of the **public add** method is **boolean** indicating whether the composition of the tree was changed by the actions of the **public** and **private add** methods.
- The **public add** method has one parameter of class **E** that is a reference to the object being inserted.

- The **public add** method assigns the value **false** to **addSuccessful** and assigns its parameter to **objectBeingAdded** before the **private add** method is invoked.
- The root of the tree becomes the argument in the invocation of the **private add** method.
- The **public add** method returns the value of **addSuccessful** that may have been changed by the actions of the **private add** method.
- The return type of the **private add** method is **TreeNode<E>**.

Hence, the code for the instance variables and the **public add** method is as follows:

```
// additional instance variables of the class
private boolean addSuccessful;
private E objectBeingAdded;

public boolean add (E addMe) {
    addSuccessful = false;
    objectBeingAdded = addMe;
    myRoot = add(myRoot);
    return addSuccessful;
} // public add
```

Now we consider the code of the **private add** method. The code must first test to see if the **currentRoot** is **null**, and if it is, a new **TreeNode** is constructed and returned to the calling method. Hence the method begins as follows:

```
private TreeNode<E> add(TreeNode<E> currentRoot) {
    if (currentRoot == null) {
        addSuccessful = true;
        return new TreeNode<>(objectBeingAdded);
    } // insertion position found
```

When the **currentRoot** is not **null**, a test must be performed to decide whether to continue looking for a **null** sub-tree to the left or to the right of the **currentRoot**. We declare a local **int** variable **compareResult** to hold the value returned by **myCompare** since we may need to test it twice. If the key of **objectBeingAdded** is before the key in the **currentRoot**'s **TreeNode**, we make the recursive call to start the process again with the left sub-tree. Hence, the code continues as follows:

```
int compareResult = myCompare(objectBeingAdded, currentRoot.myData);
if (compareResult < 0) {
    currentRoot.myLeft = insert (currentRoot.myLeft);
```

The assignment statement in the code above should make sense because it is very similar to the assignment statement in the **public** method. Remember that the value that is assigned to **currentRoot.myLeft** will either be a reference to a new **TreeNode** if this is the place to insert the new **TreeNode** or a reassignment of its current value.



If the key in `objectBeingAdded` is not before the key in `currentRoot`'s node, we test to see if it is after and recurse to the right in that case. Hence, the code continues in a similar fashion as shown below.

```
    } else if (compareResult > 0) {
        currentRoot.myRight = insert (currentRoot.myRight);
    } // else if
```

Our last case is that the key is already in the tree and we have agreed not to insert duplicates. Hence, we do nothing.

The last statement that we need is:

```
    return currentRoot;
```

Our `private add` method must return a reference to a `TreeNode`. If we have just made a recursive call, it means that we had not found the correct place in the tree. In that case, the value returned to the calling method must be the same value of `currentRoot` to make the redundant assignment work correctly. You may think of this as rebuilding the current structure of the binary tree.

We have assembled the `private add` method below in for your convenience.

```
private TreeNode<E> add (TreeNode<E> currentRoot) {
    if (currentRoot == null) {
        addSuccessful = true;
        return new TreeNode< > (objectBeingAdded);
    } // insertion position found
    int compareResult = myCompare(objectBeingAdded,currentRoot.myData);
    if (compareResult < 0) {
        currentRoot.myLeft = insert (currentRoot.myLeft);
    } else if (compareResult > 0) {
        currentRoot.myRight = insert (currentRoot.myRight);
    } // else if
    return currentRoot;
} // private add method
```

### *Searching a Search Tree*

Having built a search tree, we can now search it. The power of the search tree structure arises from the fact that, under certain circumstances, it provides an extremely simple—in fact, almost automatic—implementation of the binary search algorithm (see Chapter 11). Recall that we can search an array for an item using binary search if the array is sorted. However, we cannot use binary search for a linked list, even if the list is sorted, since the only access to list items is sequential. The power of binary search, of course, is its speed. To find an item in a sequence of one million items can take up to one million comparisons if the search is done sequentially. Using binary search, you will never need more than 20 comparisons to find an item in an ordered array of one million items.

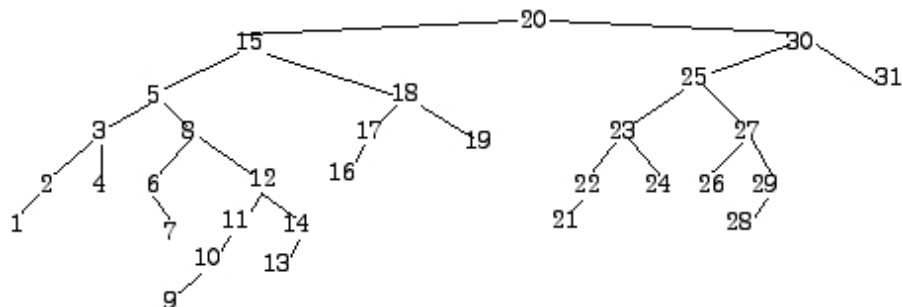
To illustrate why search trees can provide some of the power of binary search, and what the necessary circumstances are, consider the search tree in Figure 16.6:



**Figure 16.6** A Binary Search Tree

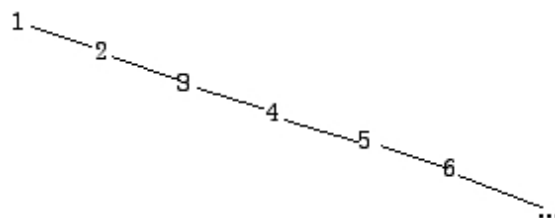
This search tree is nicely symmetric. It contains all the integers between 1 and 31, inclusive. Suppose we do not know that, and we want to find out if the value 18 is in the tree. Initially, we only have access to the root node. We compare our search key (18) to the root key, and discover that the search key is greater. This immediately eliminates half the tree from consideration: we know that, if 18 is in the tree, it will be in the right sub-tree below 16. So we examine the root of this sub-tree, which is 24. The search key is less than this key, so if 18 is anywhere in the tree it will be in the left sub-tree below 24. That sub-tree is rooted at 20, and since 18 is less than 20, we know that if 18 is in the tree it will be in the left sub-tree below 20. We look at the root of this sub-tree, and find that it is 18. Our search is complete.

Each comparison moves us down one level of this tree. At each stage in the search, we can eliminate half of the remaining part of the tree. This is precisely the feature that makes binary search so powerful. Since the depth of the tree in Figure 16.6 is 5, we will never need more than 5 comparisons to either find a key in this tree, or decide that it is not present. However, suppose the same 31 keys are organized into a search tree as in Figure 16.7:



**Figure 16.7** Binary Search Tree with Depth 8

This search tree has depth 8. It may take as many as 8 comparisons to find a key, or determine that it is not present. And things can be much worse. Figure 16.8 shows part of a “worst case” search tree for these 31 keys:



**Figure 16.8** The Worst Case is a Binary Tree that is Really an Ordered List

The search tree can be an ordered list, in which case the search becomes equivalent to sequential search. Thus, the efficiency of searching a search tree depends on how well the tree is “balanced”. As a result, much effort has been spent by researchers in determining the best way to construct search trees that are balanced, or nearly balanced, regardless of the order in which the data arrives. (Exercise 16.4 shows that, using the algorithm we gave for building trees, the same input values arriving in different orders give rise to trees of very different shapes.)

We will not discuss the tree balancing problem further in this book. It is just an example of the sorts of problems that arise in the study of data structures. Our next order of business is to describe an algorithm, and then write Java code, for the searching process we described above. It is much simpler than tree construction.

The search algorithm is recursive. To begin, we test to see if the current root is `null`. If it is, then the object is not in the tree. If the current root is not `null`, we test to see if the object for which we are searching is `equal` to the `myData` field the current root node. If it is, we have found the object and we return a reference to the `myData` field of the `TreeNode` which is referenced by the current root. If we have not found the object, we need to decide whether to search left or right. Arbitrarily, we test to see whether the object for which we are searching is before the object in the current root node. If it is, we recursively search to the left of the current root node. If it is not, we recursively search to the right of the current root node.

Once again our search algorithm is coded into a pair of methods, one `public` and one `private`. Both methods have return type `E`. When a `null` value is returned, our code must interpret the `null` pointer as indicating that the object is not in the tree. The `public` method simply assigns its parameter to the instance variable of class `E` named `objectSearchedFor` and returns the reference that the `private` method returns to it. The `public` method as shown below.

```
public E search(E findMe) {
    objectSearchedFor = findMe;
    return search(myRoot);
} //public search
```

The `private` method embodies the algorithm described above. If at any point in the recursion, we have a `currentRoot` that is `null`, we return `null` because the object is not in the tree. If the `currentRoot` is not `null`, and we find that the object for which we are searching is equal to the `myData` field of the `TreeNode` referenced by `currentRoot`, we will return the `myData` field of `currentRoot`. If the object for which we are searching is before the `myData` field of the `TreeNode` referenced to by `currentRoot`, we return the result of a recursive call to `search` using the left sub-tree. Finally, if the above three conditions all fail, we must be in the case where the object for which we are searching is after the `myData` field of the `TreeNode` referenced to by `currentRoot`, and we return the result of a recursive call to `search` using the right sub-tree. The `private search` method is displayed below.

```
private E search (TreeNode<E> currentRoot) {
    if (currentRoot == null) {
        return null;
    }
    if (currentRoot.myData.equals(findMe)) {
        return currentRoot.myData;
    }
    if (currentRoot.myData.compareTo(findMe) < 0) {
        return search(currentRoot.left);
    }
    return search(currentRoot.right);
}
```

```

} // object not in tree
if (objectSearchedFor.equals(currentRoot.myData)){
    return currentRoot.myData;
} // found the object
if ( myCompare (objectSearchedFor, currentRoot.myData) < 0) {
    return search (currentRoot.myLeft);
} // search left sub-tree
return search (currentRoot.myRight); // search right sub-tree
} // private search

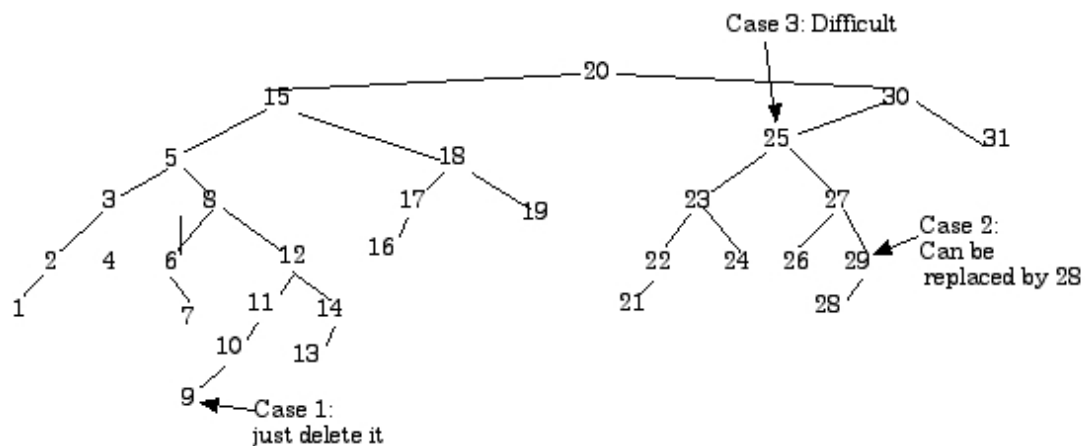
```

### Deleting Items from a Search Tree

Having seen how to build search trees and how to search for items in a search tree, we might next ask how to delete items from a binary search tree. This turns out to be surprisingly complex. We give an introductory discussion of it here, and leave coding the solution as an exercise.

If we want to delete a node from a search tree, part of the problem is to ensure that the remaining portion of the tree still satisfies the definition of a search tree. There are three cases to deal with:

- The **TreeNode** to be deleted has no children, i.e., is a “leaf” node. This case is the simplest, since we can simply make the link from the **TreeNode**’s parent **null**.
- The **TreeNode** to be deleted has only one child. In this case, we simply “promote” the child, i.e., make its grandparent into its parent, by assigning to the link from the parent the value of the link in the **TreeNode** we want to delete.
- The **TreeNode** to be deleted has two children. This case is more difficult. We will explain below an example algorithm for deleting such a **TreeNode**.



**Figure 16.9** Three Cases for Deletion of a Binary **TreeNode**

**Exercise 16.5:** Redraw the tree in Figure 16.9 after deleting the `TreeNode` containing 25, in such a way that it remains a search tree, and no part of the tree changes except the sub-tree under the node containing 25.

One way to think about deleting a `TreeNode` with two children is that every value in the `TreeNode`'s right sub-tree is greater than every value in the `TreeNode`'s left sub-tree. In particular, every value in the right sub-tree is greater than the largest value in the left sub-tree. Hence, our strategy could be to attach the right sub-tree to the rightmost node of the left sub-tree and “promote” the top `TreeNode` of the left sub-tree to take the place of the `TreeNode` being deleted.

**Exercise 16.6:** It is similarly true that every value in the `TreeNode`'s left sub-tree is less than the leftmost value in the `TreeNode`'s right sub-tree. Describe the strategy for deletion that follows from this fact and is similar to the strategy described in the last paragraph.

**Exercise 16.7:** Redraw the tree after deleting the `TreeNode` containing 25 according to the strategy described above where the top `TreeNode` of the left sub-tree takes the place of the `TreeNode` being deleted.

**Exercise 16.8:** Below is a public delete method for the `WCSBinaryTree` class that is passed an object to delete and that returns a `boolean` value. The method returns `true` if the object is successfully deleted from the tree. The method returns `false` in the case that the object is not found and hence not deleted from the tree. This method invokes a private delete method of the `WCSBinaryTree` class that is recursive and does the work to delete the `TreeNode`. Note that the private method is passed a reference to the root of the tree. Also note that the `WCSBinaryTree` class is assumed to have a `boolean` instance variable named `deleteSuccessful` and an instance variable of class `E` named `objectToDelete`. Write the corresponding private delete method for the `WCSBinaryTree` class.

```
public boolean delete(E deleteMe) {
    objectToDelete = deleteMe;
    deleteSuccessful = false;
    myRoot = delete (myRoot);
    return deleteSuccessful;
} // public delete
```

### Traversing Binary Trees

By traversing a tree we mean visiting each of its nodes exactly once. The expression “visiting a node” can include applying some simple operation to the `TreeNode`, such as concatenating the result of the method `toString` on its `myData` field to a temporary

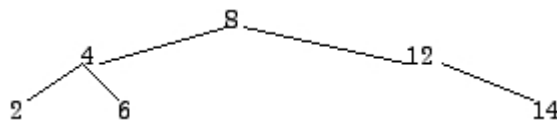
**String.** We are going to describe three different algorithms for traversing binary trees. Each of these algorithms is recursive, each can be applied to any kind of binary tree (including search trees), and each has its uses under certain circumstances. The recursive descriptions of the algorithms are remarkably simple and remarkably similar.

The first algorithm is known as pre-order traversal. In this strategy, each **TreeNode** is visited before any of the **TreeNode**s of its left and right sub-trees. The description is:

If the **currentRoot** is not **null**,

- a) Visit the **TreeNode** to which **currentRoot** refers.
- b) Apply this algorithm to the left sub-tree.
- c) Apply this algorithm to the right sub-tree.

To illustrate this method, we will trace it on the tree shown in Figure 16.10.



**Figure 16.10** Sample **WCSBinaryTree** for tracing pre-order traversal

**currentRoot**(#1) points to the **TreeNode** containing 8 initially. Since that reference is not **null**, we have three steps to perform:

- 1a) Visit the **TreeNode** containing 8.
- 1b) Apply this algorithm to its left sub-tree (whose root node contains 4).
- 1c) Apply this algorithm to its right sub-tree (whose root node contains 12)

Hence, we visit the **TreeNode** containing 8 and begin the algorithm with its left sub-tree. We will need to remember to apply the algorithm to its right sub-tree (step 1c) after we complete the algorithm for its left sub-tree (step 1b). Hence, step 1c is suspended.

**currentRoot**(#2) points to the **TreeNode** containing 4. Since that reference is not **null**, we have three steps to perform:

- 2a) Visit the **TreeNode** containing 4.
- 2b) Apply this algorithm to its left sub-tree (whose root node contains 2).
- 2c) Apply this algorithm to its right sub-tree (whose root node contains 6)

Hence, we visit the **TreeNode** containing 4 and begin the algorithm with its left sub-tree. We will need to remember to apply the algorithm to its right sub-tree (step 2c) after we complete the algorithm for its left sub-tree (step 2b). At this point steps 1c and 2c are suspended.

**currentRoot**(#3) points to the **TreeNode** containing 2. Since that reference is not **null**, we have three steps to perform:

3a) Visit the **TreeNode** containing 2.

3b) Apply this algorithm to its left sub-tree (whose root node is **null**).

3c) Apply this algorithm to its right sub-tree (whose root node is **null**)

Hence, we visit the **TreeNode** containing 2 and begin the algorithm with its left sub-tree. We will need to remember to apply the algorithm to its right sub-tree (step 3c) after we complete the algorithm for its left sub-tree (step 3b). At this point steps 1c, 2c, and 3c are suspended.

**currentRoot**(#4) is a **null TreeNode**. Hence, we complete the left sub-tree off the node containing 2 (step 3b) without any more work. **currentRoot**(#4) no longer exists. It is now time to work on the suspended step 3c, the right sub-tree off the node containing 2.

**currentRoot**(#5) is a **null TreeNode**. Hence, we complete the right sub-tree off the node containing 2 (step 3c) without any more work. **currentRoot**(#5) no longer exists. Note that we have completed steps 3a, 3b, and 3c, and **currentRoot** (#3) no longer exists. It is now time to work on the suspended step 2c, the right sub-tree off the node containing 4.

**currentRoot**(#6) refers to the **TreeNode** containing 6. Since that reference is not **null**, we have three steps to perform:

4a) Visit the **TreeNode** containing 6.

4b) Apply this algorithm to its left sub-tree (whose root node is **null**).

4c) Apply this algorithm to its right sub-tree(whose root node is **null**)

Hence, we visit the **TreeNode** containing 6 and begin the algorithm with its left sub-tree. We will need to remember to apply the algorithm to its right sub-tree (step 4c) after we complete the algorithm for its left sub-tree (step 4b). At this point steps 1c, 2c, and 4c are suspended.

**currentRoot**(#7) is a **null TreeNode**. Hence, we complete the left sub-tree off the node containing 6 (step 4b) without any more work. **currentRoot**(#7) no longer exists. It is now time to work on the suspended step 4c, the right sub-tree off the node containing 6.

**currentRoot**(#8) is a **null TreeNode**. Hence, we complete the right sub-tree off the node containing 6 (step 4c) without any more work. **currentRoot**(#8) no longer exists. Steps 4a, 4b, and 4c have been completed, and **currentRoot**(#6) no longer exists.

Step 2c has also been completed since we have completed the right sub-tree off the node containing 4, and **currentRoot**(#2) no longer exists.

Step 1b has also been completed since we are done with the left sub-tree off the node containing 8. It is now time to work on the suspended step 1c, the right sub-tree off the node containing 8.

**currentRoot**(#9) refers to the **TreeNode** containing 12. Since that reference is not **null**, we have three steps to perform:

5a) Visit the **TreeNode** containing 12.

5b) Apply this algorithm to the left sub-tree (whose root node is **null**).

5c) Apply this algorithm to the right sub-tree (whose root node contains 14)

Hence, we visit the **TreeNode** containing 12 and begin the algorithm with its left sub-tree. We will need to remember to apply the algorithm to its right sub-tree (step 5c)

after we complete the algorithm for its left sub-tree (step 5b). At this point step 5c is suspended.

`currentRoot(#10)` is a `null TreeNode`. Hence, we complete the left sub-tree off the node containing 12 (step 5b) without any more work. `currentRoot(#10)` no longer exists. It is now time to work on the suspended step 5c, the right sub-tree off the node containing 12.

`currentRoot(#11)` refers to the `TreeNode` containing 14. Since that reference is not `null`, we have three steps to perform:

- 6a) Visit the `TreeNode` containing 14.
- 6b) Apply this algorithm to the left sub-tree (whose root node is `null`).
- 6c) Apply this algorithm to the right sub-tree(whose root node is `null`)

Hence, we visit the `TreeNode` containing 14 and begin the algorithm with its left sub-tree. We will need to remember to apply the algorithm to its right sub-tree (step 6c) after we complete the algorithm for its left sub-tree (step 6b). At this point step 6c is suspended.

`currentRoot(#12)` is a `null TreeNode`. Hence, we complete the left sub-tree off the node containing 14 (step 6b) without any more work. `currentRoot(#12)` no longer exists. It is now time to work on the suspended step 6c.

`currentRoot(#13)` is a `null TreeNode`. Hence, we complete the right sub-tree off the node containing 14 (step 6c) without any more work. `currentRoot(#13)` no longer exists.

We have also just completed the right sub-tree off the node containing 12, finishing step 5c. We have finally completed step 1c, the right sub-tree off the node containing 8, and `currentRoot(#1)` no longer exists.

Note that the order in which we visited the nodes was:

8, 4, 2, 6, 12, 14

**Exercise 16.9:** Traverse each of the trees in Figure 16.5 in pre-order. Indicate the order in which the nodes are visited.

Figure 16.11 shows a pair of methods for the `WCSBinaryTree` class that implement a `toStringPreOrder` method for the class using pre-order traversal.

```
public String toStringPreOrder() {
    return toStringPreOrder (myRoot);
} // public toStringPreOrder

private String toStringPreOrder (TreeNode<E> currentRoot) {
    if (currentRoot != null ) {
        return currentRoot.myData.toString() + "\n" +
            toStringPreOrder (currentRoot.myLeft) +
            toStringPreOrder (currentRoot.myRight);
    } // if
    return "";
} // private toStringPreOrder
```

**Figure 16.11** Methods to implement `toStringPreOrder`



A second tree traversal strategy is called in-order traversal. In this strategy, each `TreeNode` is visited after all of the nodes of its left sub-tree, but before any of the nodes of its right sub-tree. The description is:

If the `currentRoot` is not `null`,

- a) Apply this algorithm to the left sub-tree.
- b) Visit the `TreeNode` to which `currentRoot` refers.
- c) Apply this algorithm to the right sub-tree.

**Exercise 16.10:** Trace the algorithm for in-order traversal on the tree shown in Figure 16.10. Model your trace on our trace above.

**Exercise 16.11:** Trace the algorithm for in-order traversal on each of the trees in Figure 16.5. Indicate the order in which the nodes are visited. Model your trace on our trace above.

**Exercise 16.12:** Write public and private `toStringInOrder` methods for the `WCSBinaryTree` class modeled on the methods in Figure 16.11.

The third tree traversal strategy is called post-order traversal. In this method, each node is visited only after all of the nodes of both of its sub\_trees are visited and the nodes of its left sub-tree are all visited before the nodes of its right sub-tree.

**Exercise 16.13:** Write a description of the algorithm for post-order tree traversal using the descriptions above for pre-order and in-order traversal as models.

**Exercise 16.14:** Trace your algorithm for post-order traversal on the tree shown in Figure 16.10. Model your trace on our trace above.

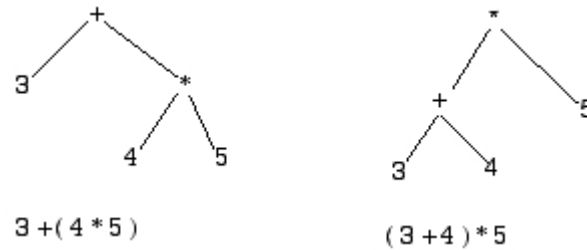
**Exercise 16.15:** Trace the algorithm for post-order traversal on each of the trees in Figure 16.5. Indicate the order in which the nodes are visited. Model your trace on our trace above.

**Exercise 16.16:** Write public and private `toStringPostOrder` methods for the `WCSBinaryTree` class modeled on the methods in Figure 16.11.

## Expression Trees

An expression tree is a binary tree whose nodes consist of either operators or operands. The operators can be arithmetic, or relational, but they must be either unary or binary operators. That is, they must take either one or two operands. The operands of an expression tree are either values or expression sub-trees. A `TreeNode` that is a value can have no children. A `TreeNode` that is a unary operator must have exactly one child, and a `TreeNode` that is a binary operator must have two children.

Expression trees are useful as a way of writing expressions in parenthesis-free, unambiguous form, making evident the precedence of the operators. For example, consider the expressions and corresponding trees in Figure 16.12:



**Figure 16.12** Examples of Expression Trees and Corresponding Expressions

In the first expression, the operands of the ‘+’ are its children: the value 3, and its right sub-tree. This right sub-tree itself consists of an operator with two children, both of which are values. This means “add the value 3 to the value of the expression represented by the right sub-tree.” We can evaluate the expression tree “from bottom to top”, which is equivalent to evaluating the expression itself from innermost parentheses outward. The second tree in Figure 16.14 describes the product of an expression represented by a sub-tree (which is  $3 + 4$ ) and 5.

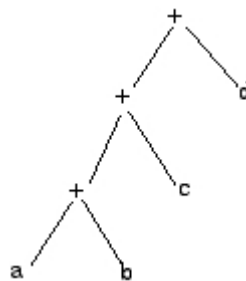
In the absence of parentheses, we can apply Java’s rules of precedence to draw the proper tree. For example, the expression

$$a + b + c + d$$

is interpreted as

$$((a + b) + c) + d$$

because of the left-to-right rule of evaluation. Consequently, it has the expression tree



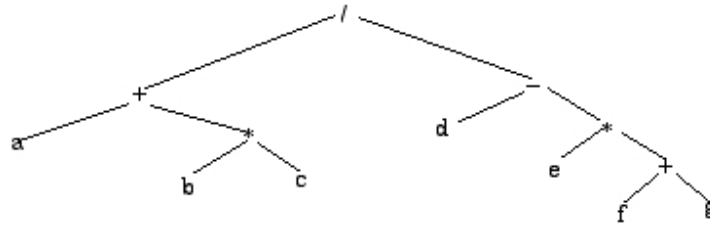
**Exercise 16.17:** Draw expression trees for the following un-parenthesized expressions, using the precedence rules for Java:

- a)  $3 * 4 - 5 * 6$
- b)  $3 + 4 + 5 + 6$
- c)  $3 - 4 / 5 * 6$
- d)  $-6 * 3 + 7$

Expression trees can be constructed for expressions of any desired level of complexity. The depth of the tree corresponds to the number of levels of operator precedence in the expression. Consider the Java arithmetic expression

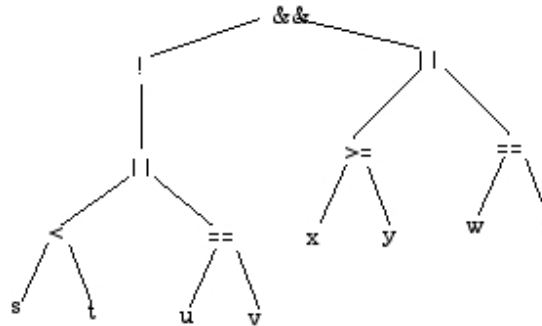
$$(a + (b * c)) / (d - (e * (f + g)))$$

We can form an expression tree for this expression as in Figure 16.13:



**Figure 16.13** Expression Tree for  $(a + (b * c)) / (d - (e * (f + g)))$

Another example is shown in Figure 16.14, which illustrates an expression tree for a complex conditional expression:



**Figure 16.14** Expression Tree for  $(!(s < t) || (u == v)) \&\& ((x \geq y) || (w == z))$

It turns out that expression trees are very useful, because it is easy to evaluate an expression once its expression tree has been constructed. Thus, one of the functions of a compiler is to produce expression trees (or equivalent data structures of some sort) for the expressions present in the program being compiled. The construction of an expression tree is complex, and we will not go into it here. However, suppose an expression has been translated into an expression tree. Let us see what happens when we traverse that tree in post-order.

We will start with the two trees in Figure 16.12. Traversing each of them in post-order according to the algorithm given above yields the sequences

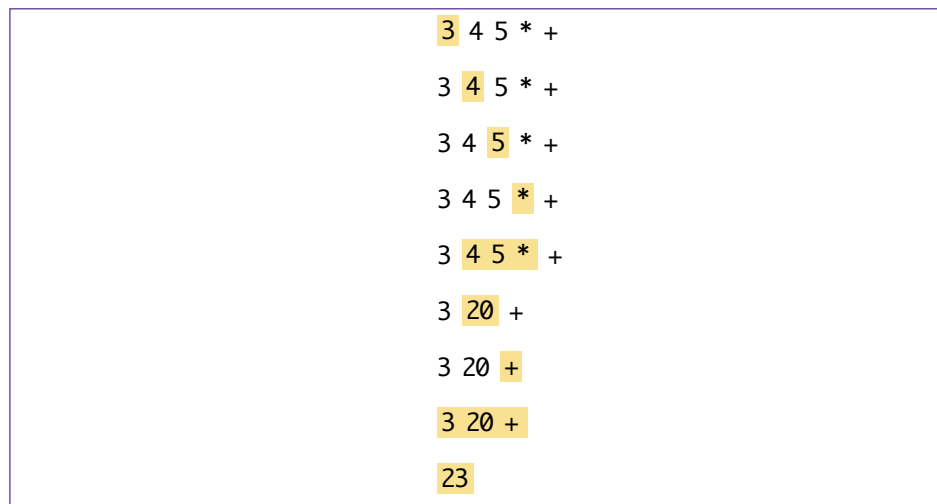
3 4 5 \* +

and

3 4 + 5 \*

respectively. Some readers may recognize these forms as the postfix notation (also called reverse Polish notation) for the original expressions. Postfix notation is an unambiguous, parenthesis-free way to write expressions. The postfix form of an expression is useful because it can be evaluated directly, without precedence rules, according to

the following scheme: scan the expression from left to right. When you encounter a value, leave it there. When you encounter a unary operator (one which only takes one operand) erase both the operator and the previous value, replacing them with the result of applying the operator to the value. When you encounter a binary operator, erase the operator and the previous two values, replacing them with the result of applying the operator to those values. For example, Figure 16.15 shows an evaluation of “3 4 5 \* +”, where highlighting is used to indicate which symbol in the expression is being scanned.



**Figure 16.15** Evaluation of a Postfix Expression

An expression can be converted to postfix notation by writing its expression tree, and then traversing that tree in post-order. This process can be applied to expression trees of any complexity. Thus we can convert the expression tree of Figure 16.13 into a postfix expression by post-order traversal, getting

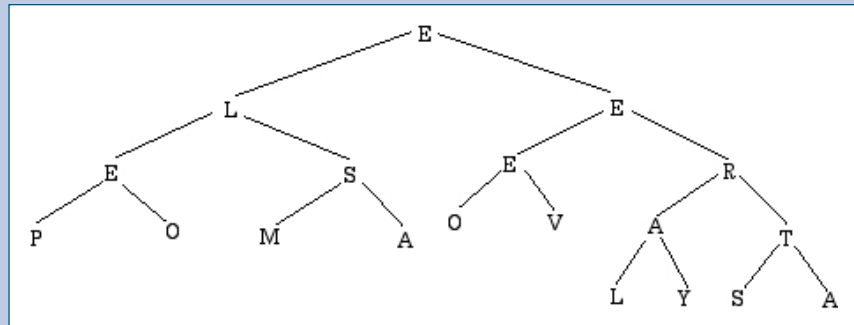
`a b c * + d e f g + * - /`

**Exercise 16.18:** Convert the expression in Figure 16.14 into postfix notation by traversing the corresponding expression tree in post-order. Incidentally, if you traverse an expression tree in pre-order, the resulting expression is called the prefix notation (or Polish notation) form of the expression. It is also parenthesis-free and unambiguous, but the rule for evaluating prefix expressions is less convenient than that for postfix expressions. If you traverse an expression tree in in-order, you will get an expression that looks like the usual algebraic notation for expressions, but without parentheses. Unlike prefix and postfix forms, the algebraic form needs precedence rules and parentheses to be unambiguous.

**Exercise 16.19:** Write a recursive method that is passed a reference to the root of a binary tree, and returns an integer that is the depth of the tree. If the reference passed is null, then the returned value should be zero. If the reference is not null, but the root has no children, the depth should be one, and so on.

**Exercise 16.20:** The algorithm steps for pre-order, in-order, and post-order traversal of a binary tree consist of the same three steps, in different orders. There are six possible orders in which to arrange any three things. Thus there are three other ways you could arrange the same algorithm steps. Describe the resulting tree traversals.

**Exercise 16.21:** Given the following data in a binary tree:



Show the sequence of keys produced when the tree is traversed

- a) Pre-order
- b) In-order
- c) Post-order

**Exercise 16.22:** Write a method for the `WCSBinaryTree` class that uses a temporary file to delete each node of specified key from a tree. The method should traverse the tree, writing out the `myData` field of each node to the file, except for the nodes to be deleted. Then the program should read back the data from the file and rebuild the tree, following the usual strategy for constructing a search tree. Note that the strategy of tree traversal is important. The three methods we have seen will write the data out in three different orders, which will affect the balance of the new tree to be reconstructed. Which traversal method will be best?

**Exercise 16.23:** Complete the class named `WCSBinaryTree<E>` extends `Comparable<E>` that implements a binary tree of items.

Your `WCSBinaryTree<E>` extends `Comparable<E>` class should have at least eight private instance variables. The first instance variable is of class `TreeNode<E>` and is a reference to the root of the tree. The second instance variable is of class `Comparator<E>` and is used to maintain the tree in an order specified by the `Comparator`. The third instance variable keeps a count of items in the list. The count should be updated every time an add or delete operation is successful. The remaining five instance variables are useful during the processes of adding, searching, and deleting from the binary tree. They are:

```
private boolean addSuccessful;
private boolean deleteSuccessful;
private E objectBeingAdded;
private E objectBeingSearchedFor;
private E objectBeingDeleted;
```

One constructor of class `WCSBinaryTree <E>` extends `Comparable<E>` will have one parameter that is a reference to a `Comparator<E>` object. The other constructor will have no parameters.

Your class `WCSBinaryTree <E>` extends `Comparable<E>` should have at least the following instance methods:

```
public boolean add(E addMe)
private TreeNode<E> add(TreeNode<E> currentRoot)
public E search(E findMe)
private E search(TreeNode<E> currentRoot)
public boolean delete(E deleteMe)
private TreeNode<E> delete(TreeNode<E> currentRoot)
public boolean isEmpty()
public int getCount()
private int myCompare(E firstItem, E secondItem)
public String saveToFile(String fileName)
private void saveToFile(TreeNode<E> currentRoot)
public String toString()
private String toString(TreeNode<E> currentRoot)
```

You will need a `TreeNode<E>` class to work in conjunction with your `WCSBinaryTree <E>` extends `Comparable<E>` class.

**Exercise 16.24: Extension of Exercise 15.17**

Revise your class named `AddressInfoCollection` so that it relies on two `WCSBinaryTree<E extends Comparable<E>>`s to organize the `AddressInfo` objects. Test to be sure that your Address Database application works correctly after this change. More specifically:

- 1) Your class `AddressInfoCollection` will have two private instance variables that are references to objects of class `WCSBinaryTree<AddressInfo>`. One `WCSBinaryTree<AddressInfo>` will be constructed with zero parameter constructor, and hence be ordered by the natural ordering of `AddressInfo` objects. The second will be constructed with the `AddressComp` object of class `AddressInfo` as an argument to the constructor, and hence, be ordered by address.
- 2) Class `AddressInfoCollection` will have at least the following methods:
  - a) `public AddressInfo search(AddressInfo)`—This search method will return the value returned by the search method invoked on the `WCSBinaryTree` ordered by the natural ordering of `AddressInfo` objects.
  - b) `public boolean add(AddressInfo)`—This add method will invoke the search method described above to check for a duplicate record. If a duplicate is found, `false` is returned. If no duplicate is found, this insert method will return `true` only if the attempts to insert the record into both `WCSBinaryTree`'s are successful. It will return `false` otherwise.
  - c) `public boolean delete(AddressInfo)`—This delete method will invoke the search method described above and assign the returned value to a local `AddressInfo` reference for the found object. If the returned value is `null`, the delete method should return `false`. If the returned value is not `null`, delete should return `true` only if the attempts to delete the found reference from both `WCSBinaryTree`'s are successful. The delete method should return `false` otherwise.
  - d) `public String toStringAlphabetical()`—This method returns the value returned by invoking `toString()` on the `WCSBinaryTree<AddressInfo>` that is ordered by the natural ordering of `AddressInfo` objects.
  - e) `public String toStringByAddress()`—This method returns the value returned by invoking `toString()` on the `WCSBinaryTree<AddressInfo>` that is ordered by the `AddressComp` object of class `AddressInfo`.
  - f) `public String saveToFile(String)`—This method returns the `String` returned by invoking `saveToFile` on the `WCSBinaryTree<AddressInfo>` that is ordered by the natural ordering of `AddressInfo` objects with argument equal to the `String` parameter that is a file name.
  - g) `public String loadFromFile(String)`—This method will be similar to the method `loadFromFile` in the class `List<E extends Comparable<E>>` class from Chapter 13. However, in this case, we do not want to invoke `loadFromFile` on each of the two `WCSBinaryTrees`. If we did that, we would have two sets of the same data objects in memory that were read from the file. Instead, write `loadFromFile` in the class `AddressInfoCollection` so that each object comes just once from the file and is inserted into both `WCSBinaryTrees` using the add method described above.

**Exercise 16.25: Extension of Exercise 15.18**

Revise your class named `BirthInfoCollection` so that it relies on two `WCSBinaryTree<E extends Comparable<E>>`s to organize the `BirthInfo` objects. Test to be sure that your Birthday Database application works correctly after this change. More specifically:

- 3) Your class `BirthInfoCollection` will have two private instance variables that are references to objects of class `WCSBinaryTree<BirthInfo>`. One `WCSBinaryTree<BirthInfo>` will be constructed with zero parameter constructor, and hence be ordered by the natural ordering of `BirthInfo` objects. The second will be constructed with the `ChronComp` object of class `BirthInfo` as an argument to the constructor, and hence, be ordered chronologically.
- 4) Class `BirthInfoCollection` will have at least the following methods:
  - a) `public BirthInfo search(BirthInfo)`—This search method will return the value returned by the search method invoked on the `WCSBinaryTree` ordered by the natural ordering of `BirthInfo` objects.
  - b) `public boolean add(BirthInfo)`—This add method will invoke the search method described above to check for a duplicate record. If a duplicate is found, `false` is returned. If no duplicate is found, this insert method will return `true` only if the attempts to insert the record into both `WCSBinaryTree`'s are successful. It will return `false` otherwise.
  - c) `public boolean delete(BirthInfo)`—This delete method will invoke the search method described above and assign the returned value to a local `BirthInfo` reference for the found object. If the returned value is `null`, the delete method should return `false`. If the returned value is not `null`, delete should return `true` only if the attempts to delete the found reference from both `WCSBinaryTree`'s are successful. The delete method should return `false` otherwise.
  - d) `public String toStringAlphabetical()`—This method returns the value returned by invoking `toString()` on the `WCSBinaryTree<BirthInfo>` that is ordered by the natural ordering of `BirthInfo` objects.
  - e) `public String toStringChronological()`—This method returns the value returned by invoking `toString()` on the `WCSBinaryTree<BirthInfo>` that is ordered by the `ChronComp` object of class `BirthInfo`.
  - f) `public String saveToFile(String)`—This method returns the `String` returned by invoking `saveToFile` on the `WCSBinaryTree<BirthInfo>` that is ordered by the natural ordering of `BirthInfo` objects with argument equal to the `String` parameter that is a file name.
  - g) `public String loadFromFile(String)`—This method will be similar to the method `loadFromFile` in the class `List<E extends Comparable<E>>` class from Chapter 13. However, in this case, we do not want to invoke `loadFromFile` on each of the two `WCSBinaryTrees`. If we did that, we would have two sets of the same data objects in memory that were read from the file. Instead, write `loadFromFile` in the class `BirthInfoCollection` so that each object comes just once from the file and is inserted into both `WCSBinaryTrees` using the `add` method described above.



### *The Class `TreeSet<T>` of the Java Collections API*

The Java API provides us with a `TreeSet<E>` class that is similar to our class `WCSBinaryTree<E>`. `TreeSet<E>` has four constructors:

- 1) `TreeSet()`—Constructs a new, empty tree set, sorted according to the natural ordering of its elements.
- 2) `TreeSet(Collection<? extends E> c)`—Constructs a new tree set containing the elements in the specified collection, sorted according to the natural ordering of its elements.
- 3) `TreeSet(Comparator<? super E> comparator)`—Constructs a new, empty tree set, sorted according to the specified comparator.
- 4) `TreeSet(SortedSet<E> s)`—Constructs a new tree set containing the same elements and using the same ordering as the specified sorted set.

The class `TreeSet<E>` has a variety of methods. A complete list can be found in the Java API documentation. A selection of them that are similar to the methods of class `WCSBinaryTree` are as follows:

- `boolean add(E e)`—Adds the specified element to this set if it is not already present.
- `boolean addAll(Collection <? extends E> c)`—Adds all of the elements in the specified collection to this set.
- `boolean contains(Object o)`—Returns `true` if this set contains the specified element.
- `boolean isEmpty()`—Returns `true` if this set contains no elements.
- `Iterator<E> iterator()`—Returns an iterator over the elements in this set in ascending order.
- `boolean remove(Object o)`—Removes the specified element from this set if it is present.

Note that there is no `search` method in class `TreeSet<E>` that is similar to the `search` method we wrote for class `WCSBinaryTree<E>`. If we want the same functionality, we need a way to return a reference to the `E` in the `TreeSet<E>` that matches the `E` for whom we are searching. The `iterator()` method is the key to producing this functionality. The `iterator()` method returns an `Iterator<E>` object. The abstract methods in the `Iterator<E>` interface are:

- `boolean hasNext()`—Returns `true` if the iteration has more elements.
- `E next()`—Returns the next element in the iteration.

Let us look at code that uses produces an `Iterator<E>` and then uses it to examine each `E` in a `TreeSet<E>`. We will use class `PhoneInfo` from Chapter 13.

We can declare and construct a `TreeSet<PhoneInfo>` named `alphaTreeSet` that is ordered by the `compareTo` method in class `PhoneInfo` as follows:

```
TreeSet<PhoneInfo> alphaTreeSet = new TreeSet<>();
```

and add `PhoneInfo` objects to the `alphaTreeSet` as usual. We use the `iterator` method with the `alphaTreeSet` as invoking object to produce an `Iterator<PhoneInfo>` object named `it` as follows:

```
Iterator< PhoneInfo > it = alphaTreeSet.iterator();
```

We use a `while` loop in conjunction with the methods `hasNext()` and `next()` of the `Iterator<PhoneInfo>` to examine each `PhoneInfo` object in the `TreeSet` as follows:

```
while (it.hasNext()){
    PhoneInfo current = it.next();
    //do whatever is required with current here
} //while
```

Hence we could write a search method to work in a context where `alphaTreeSet` is known as follows:

```
public PhoneInfo search(PhoneInfo findMe){
    if(!alphaTreeSet.contains(findMe)){
        return null;
    } //no matching PhoneInfo object in the TreeSet
    Iterator< PhoneInfo > it = alphaTreeSet.iterator();
    while (it.hasNext()){
        PhoneInfo current = it.next();
        if(current.equals(findMe)){
            return current;
        } //if found a match
    } //while
    return null;
} //search
```

The pattern of generating an `Iterator<E>` and using it in conjunction with a `while` loop and the `hasNext()` and `next()` methods was so commonly used that a short form called the *enhanced for loop* was introduced into Java with version 1.5. Using an enhanced `for` loop, we rewrite the `search` method above as follows:

```
public PhoneInfo search(PhoneInfo findMe){
    if(!alphaTreeSet.contains(findMe)){
        return null;
    } //no matching PhoneInfo object in the TreeSet
    for (PhoneInfo current : alphaTreeSet) {
        if(current.equals(findMe)){
            return current;
        } //if found a match
    } //for
    return null;
} //search
```

The `Iterator<PhoneInfo>` is working behind the scenes, but is not obvious from the code. We can think of the enhanced `for` loop as an abstraction that allows us to iterate through the elements in a data structure without needing to know how to get from one to the next.

**Exercise 16.26:** Extension of Exercise 16.24 Revise your class named `AddressInfoCollection` so that it employs two instance variables of class `java.util.TreeSet<AddressInfo>` to organize the `AddressInfo` objects. Your **Database** class should need no revision. More specifically:

- 1) Your class `AddressInfoCollection` will have two private instance variables that are references to objects of class `TreeSet<AddressInfo>`. One `TreeSet<AddressInfo>` will be constructed with no arguments to the constructor. The second will be constructed with the public static final `Comparator` object of class `AddressInfo` as an argument to the constructor.
- 2) The methods of class `AddressInfoCollection` are as described below:
  - a) `public AddressInfo search(AddressInfo)`—The search method will invoke the `contains` method on the `TreeSet` ordered by the natural ordering of its `Comparable` objects. If the `contains` method returns `false`, search should return `null`. If the `contains` method returns `true`, either use an enhanced `for` loop or invoke the `iterator` method on the `TreeSet` ordered by the natural ordering of its `Comparable` objects to get an `Iterator` object for that `TreeSet`, and then use a `while` loop with the `Iterator` object to find the reference, and return it.
  - b) `public boolean add(AddressInfo)`—The `add` method will invoke the `contains` method on the `TreeSet` ordered by the natural ordering of its `Comparable` objects. If the `contains` method returns `true`, the `add` method should return `false`. If the `contains` method returns `false`, the `add` method will return `true` only if the attempts to add the record into both `TreeSet`'s are successful. It will return `false` otherwise.
  - c) `public boolean delete(AddressInfo)`—The `delete` method will invoke the `search` method described above and assign the returned value to a local `AddressInfo` reference for the found object. If the returned value is `null`, the `delete` method should return `false`. If the returned value is not `null`, `delete` should return `true` only if the attempts to remove the found reference from both `TreeSet`'s are successful. The `delete` method should return `false` otherwise.
  - d) `public String toStringAlphabetical()`—This method returns the `String` returned by invoking `toString()` on the `TreeSet` ordered by the natural ordering of its `Comparable` objects.
  - e) `public String toStringByAddress()`—This method returns the `String` returned by invoking `toString()` on the `TreeSet` ordered by the public static final `Comparator` object of class `AddressInfo`.

(continues)

*Exercise 16.26, continued*

- f) `public String saveToFile(String)`—This method looks very much like the method `saveToFile` from Chapter 13. Instead of a simple for loop iterating through the possible array indices, you will need either an enhanced for loop or an `Iterator` object working with a while loop. You may invoke the `iterator` method on the `TreeSet` ordered by the natural ordering of its `Comparable` objects to get an `Iterator` object for that `TreeSet`, and then use a while loop with the `Iterator` to get a reference to each record in the `TreeSet` and write each object to the `ObjectOutputStream`.
- g) `public String loadFromFile(String)`—This method will be similar to the method `loadFromFile` in the class `List<E>` from Chapter 13. However, in this case, we do not want to invoke `loadFromFile` on each of the two `TreeSets`. If we did that, we would have two sets of the same data objects in memory that were read from the file. Instead, write `loadFromFile` in the class `AddressInfoCollection` so that each object comes just once from the file and is inserted into both `TreeSet`'s using the `add` method described above.

**Exercise 16.27:** Extension of Exercise 16.25 Revise your class `BirthInfoCollection` so that it employs two instance variables of class `java.util.TreeSet<BirthInfo>`. Your **Database** class should need no revision.

More specifically:

- 1) Your class `BirthInfoCollection` will have two private instance variables that are references to objects of class `TreeSet<BirthInfo>`. One `TreeSet<BirthInfo>` will be constructed with the zero parameter constructor. The second will be constructed with the public static final `Comparator` object of class `BirthInfo` as the single argument to the constructor.
- 2) The methods of class `BirthInfoCollection` are as described below:
  - a) `public BirthInfo search(BirthInfo)`—The `search` method will invoke the `contains` method on the `TreeSet` ordered by the natural ordering of its `Comparable` objects. If the `contains` method returns `false`, `search` should return `null`. If the `contains` method returns `true`, either use an enhanced for loop or invoke the `iterator` method on the `TreeSet` ordered by the natural ordering of its `Comparable` objects to get an `Iterator` object for that `TreeSet`, and then use a while loop with the `Iterator` object to find the reference, and return it.
  - b) `public boolean add(BirthInfo)`—The `add` method will invoke the `contains` method on the `TreeSet` ordered by the natural ordering of its `Comparable` objects. If the `contains` method returns `true`, the `add` method should return `false`. If the `contains` method returns `false`, the `add` method will return `true` only if the attempts to add the record into both `TreeSet`'s are successful. It will return `false` otherwise.

(continues)

*Exercise 16.27, continued*

- c) `public boolean delete(BirthInfo)`—The `delete` method will invoke the `search` method described above and assign the returned value to a local `BirthInfo` reference for the found object. If the returned value is `null`, the `delete` method should return `false`. If the returned value is not `null`, `delete` should return `true` only if the attempts to remove the found reference from both `TreeSet`'s are successful. The `delete` method should return `false` otherwise.
- d) `public String toStringAlphabetical()`—This method returns the `String` returned by invoking `toString()` on the `TreeSet` ordered by the natural ordering of its `Comparable` objects.
- e) `public String toStringChronological()`—This method returns the `String` returned by invoking `toString()` on the `TreeSet` ordered by the public static final `Comparator` object of class `BirthInfo`.
- f) `public String saveToFile(String)`—This method looks very much like the method `saveToFile` from Chapter 13. Instead of a simple `for` loop iterating through the possible array indices, you will need either an enhanced `for` loop or an `Iterator` object working with a `while` loop. You may invoke the `iterator` method on the `TreeSet` ordered by the natural ordering of its `Comparable` objects to get an `Iterator` object for that `TreeSet`, and then use a `while` loop with the `Iterator` to get a reference to each object in the `TreeSet` and write each object to the `ObjectOutputStream`.
- g) `public String loadFromFile(String)`—This method will be similar to the method `loadFromFile` in the class `List<E>` class from Chapter 13. However, in this case, we do not want to invoke `loadFromFile` on each of the two `TreeSet`s. If we did that, we would have two sets of the same data objects in memory that were read from the file. Instead, write `loadFromFile` in the class `BirthInfoCollection` so that each object comes just once from the file and is inserted into both `TreeSet`'s using the `add` method described above.

*The Class `HashSet<T>` of the Java Collections API*

A hash table is a data structure that does not organize its elements according to any ordering scheme. Instead it uses a hashing function to determine where items should be placed. In a Data Structures course you will study the efficiency of various hashing functions and compare hash tables to binary trees. In this text, we simply introduce the `HashSet<E>` of the Java API and present exercises that pose the problem of how to produce ordered displays of data when sorting the data structure is contrary to its basic structure and would destroy its efficiency.

`HashSet<E>` has four constructors:

- 1) `HashSet()`—Constructs a new, empty set; the backing `HashMap` has default capacity (16) and load factor (0.75)
- 2) `HashSet(Collection<? extends E> c)`—Constructs a new set containing the elements in the specified collection.

- 3) `HashSet(int initialCapacity)`—Constructs a new, empty set; the backing `HashMap` has the specified initial capacity and load factor (0.75)
- 4) `HashSet(int initialCapacity, float loadFactor)`—Constructs a new, empty set; the backing `HashMap` has the specified initial capacity and the specified load factor

The class `HashSet<E>` has a variety of methods. A complete list can be found in the Java API documentation. A selection of them that are useful to us are as follows:

- `boolean add(E e)`—Adds the specified element to this set if it is not already present.
- `boolean contains(Object o)`—Returns `true` if this set contains the specified element.
- `boolean isEmpty()`—Returns `true` if this set contains no elements.
- `Iterator<E> iterator()`—Returns an `iterator` over the elements in this set.
- `boolean remove(Object o)`—Removes the specified element from this set if it is present.

**Exercise 16.28:** Extension of Exercise 16.26 Revise your class named `AddressInfoCollection` so that it employs one instance variable of class `java.util.HashSet<AddressInfo>` instead of two instance variables of class `TreeSet<AddressInfo>`. Your **Database** class should need no revision. More specifically:

- 1) Your class `AddressInfoCollection` will have one private instance variable that is reference to an object of class `HashSet<AddressInfo>`. The `HashSet<AddressInfo>` will be constructed with the zero parameter constructor.
- 2) The methods of class `AddressInfoCollection` will change as described below:
  - a) `public AddressInfo search(AddressInfo)`—The search method will invoke the `contains` method on the `HashSet<AddressInfo>` with argument equal to the `AddressInfo` parameter. If the `contains` method returns `false`, search should return `null`. If the `contains` method returns `true`, use an enhanced for loop or a `while` loop with an `Iterator<AddressInfo>` object to find the reference and return it.
  - b) `public boolean add(AddressInfo)`—The `add` method will invoke the `contains` method on the `HashSet<AddressInfo>` to check for a duplicate record. If a duplicate is found, `add` should return `false`. If no duplicate is found, the `add` method will return the value returned by invoking the `add` method on the `HashSet<AddressInfo>` with argument equal to the `AddressInfo` parameter.

(continues)

*Exercise 16.28, continued*

- c) `public boolean delete(AddressInfo)`—The `delete` method will invoke the `contains` method on the `HashSet<AddressInfo>` to see if a matching record is present in the `HashSet<AddressInfo>`. If the `contains` method returns `false`, the `delete` method should return `false`. If the `contains` method returns `true`, `delete` should return the value returned by invoking the `remove` method on the `HashSet<AddressInfo>` with argument equal to the `AddressInfo` parameter.
- d) `public String toStringAlphabetical()`—Construct a local `TreeSet<AddressInfo>` with the no argument to the constructor. Invoke the `addAll` method on that `TreeSet<AddressInfo>` with argument equal to the `HashSet<AddressInfo>`. Return the `String` returned by invoking `toString` on the `TreeSet<AddressInfo>`.
- e) `public String toStringByAddress()`—Construct a local `TreeSet<AddressInfo>` with the `public static final Comparator` object of class `AddressInfo` as an argument to the constructor. Invoke the `addAll` method on that `TreeSet<AddressInfo>` with argument equal to the `HashSet<AddressInfo>`. Return the `String` returned by invoking `toString` on the `TreeSet<AddressInfo>`.
- f) `String saveToFile(String)`—This method looks very much like the method `saveToFile` from Chapter 13. Invoke the `iterator` method on the `HashSet<AddressInfo>` to get an `Iterator<AddressInfo>` object. Use a `while` loop with the `Iterator<AddressInfo>` to get a reference to each record in the `HashSet<AddressInfo>` and write each object to the `ObjectOutputStream`.
- g) `public String loadFromFile(String)`—Write `loadFromFile` in the class `AddressInfoCollection` so that each object comes from the file and is inserted into the `HashSet` using the `add` method described above.

**Exercise 16.29:** Extension of Exercise 16.27 Revise your class `BirthInfoCollection` so that it employs one instance variable of class `java.util.HashSet<BirthInfo>` instead of two instance variables of class `TreeSet<BirthInfo>`. Your **Database** class should need no revision. More specifically:

- 1) Your class `BirthInfoCollection` will have one private instance variable that is reference to an object of class `HashSet<BirthInfo>`. The `HashSet<BirthInfo>` will be constructed with the zero parameter constructor.
- 2) The methods of class `BirthInfoCollection` will change as described below:
  - a) `public BirthInfo search(BirthInfo)`—The `search` method will invoke the `contains` method on the `HashSet<BirthInfo>` with argument equal to the `BirthInfo` parameter. If the `contains` method returns `false`, `search` should return `null`. If the `contains` method returns `true`, use an enhanced `for` loop or a `while` loop with an `Iterator<BirthInfo>` object to find the reference and return it.

(continues)

*Exercise 16.29, continued*

- b) `public boolean add(BirthInfo)`—The `add` method will invoke the `contains` method on the `HashSet<BirthInfo>` to check for a duplicate record. If a duplicate is found, `add` should return `false`. If no duplicate is found, the `add` method will return the value returned by invoking the `add` method on the `HashSet<BirthInfo>` with argument equal to the `BirthInfo` parameter.
- c) `public boolean delete(BirthInfo)`—The `delete` method will invoke the `contains` method on the `HashSet<BirthInfo>` to see if a matching record is present in the `HashSet<BirthInfo>`. If the `contains` method returns `false`, the `delete` method should return `false`. If the `contains` method returns `true`, `delete` should return the value returned by invoking the `remove` method on the `HashSet<BirthInfo>` with argument equal to the `BirthInfo` parameter.
- d) `String toStringAlphabetical()`—Construct a local `TreeSet<BirthInfo>` with the no argument to the constructor. Invoke the `addAll` method on that `TreeSet<BirthInfo>` with argument equal to the `HashSet<BirthInfo>`. Return the `String` returned by invoking `toString` on the `TreeSet<BirthInfo>`.
- e) `public String toStringByAddress()`—Construct a local `TreeSet<BirthInfo>` with the `public static final Comparator` object of class `BirthInfo` as an argument to the constructor. Invoke the `addAll` method on that `TreeSet<BirthInfo>` with argument equal to the `HashSet<BirthInfo>`. Return the `String` returned by invoking `toString` on the `TreeSet<BirthInfo>`.
- f) `public String saveToFile(String)`—This method looks very much like the method `saveToFile` from Chapter 13. Invoke the `iterator` method on the `HashSet<BirthInfo>` to get an `Iterator<BirthInfo>` object. Use a `while` loop with the `Iterator<BirthInfo>` to get a reference to each record in the `HashSet<BirthInfo>` and write each object to the `ObjectOutputStream`.
- g) `public String loadFromFile(String)`—Write `loadFromFile` in the class `BirthInfoCollection` so that each object comes from the file and is inserted into the `HashSet` using the `add` method described above.



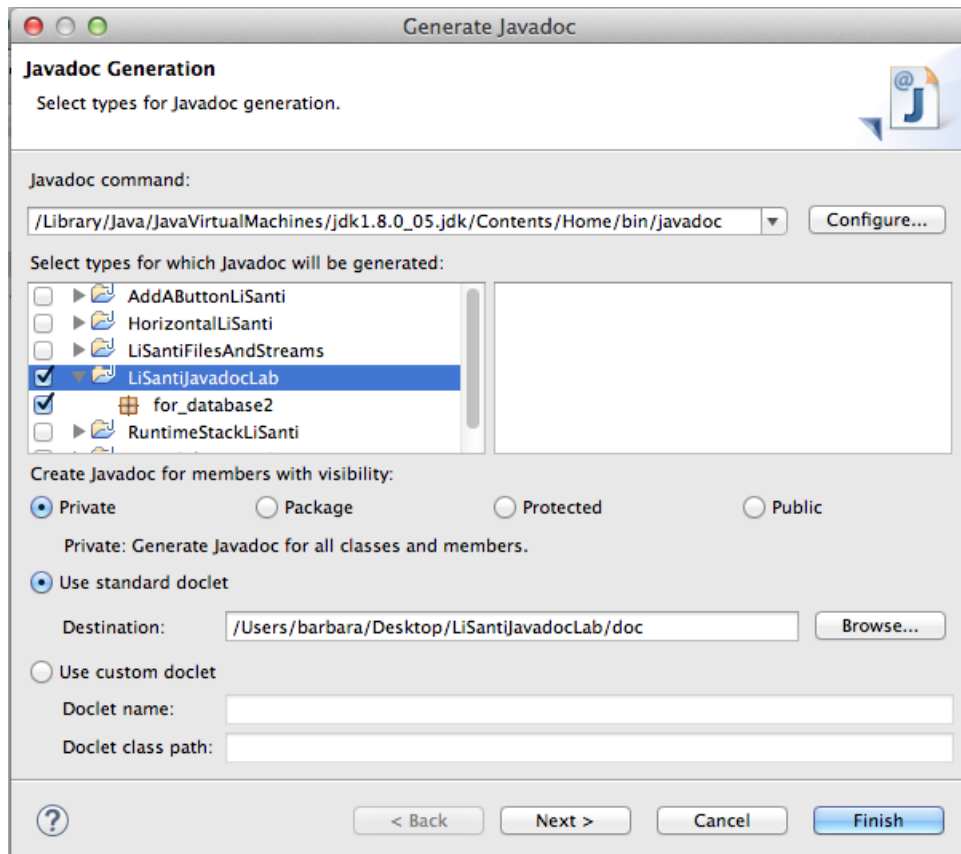
## Lab: Javadoc • Chapter 16

The purpose of this lab is to learn how to use the `javadoc` utility to generate documentation for your Java projects in HTML format. The steps of this lab assume that you are using Mac OS X. You will:

- Use `javadoc` to generate documentation without changing your `.java` files
- Read about documentation comments and special tags that you can edit into your comments to enhance your documentation
- Insert documentation comments into your code using Eclipse
- Learn how to generate `javadoc` documentation at the command line
- Learn how to generate `javadoc` documentation from within Eclipse

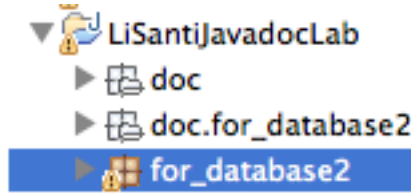
- 1) Make a copy on the **Desktop** of the folder containing your `.java` files for your database project. For this handout, I will use the name `for_database2` as the folder's name. You should use the package name that you have already chosen for the code.
- 2) Launch the **Terminal** application from the dock.
- 3) Change directory to the **Desktop** by typing `cd Desktop` at the `%` prompt.
- 4) In order to see all of your files from the command line perspective, type `ls for_database2`.
- 5) Generate `javadoc` documentation for your code by typing `javadoc for_database2` at the `%` prompt.
- 6) Note all of the messages indicating that files are being loaded and that `.html` files are being generated. Also note that some files are created on the **Desktop** at the same level as your folder and some files are created inside your folder.
- 7) From the GUI perspective, double click the icon for the file `index.html` on the **Desktop**.
- 8) Navigate through the `javadoc` documentation and compare the specifications to your code. Note in particular that the `private` instance variables do not appear in the generated documentation. That is because the default in `javadoc` is to generate documentation for only the `public` variables, constructors, and methods.
- 9) Generate `javadoc` documentation for all your code by typing `javadoc -private for_database2` at the `%` prompt.
- 10) From the GUI perspective, double click the icon for the file `index.html` on the **Desktop**.
- 11) Navigate through the `javadoc` documentation and compare the specifications to your code. Note that the `private` instance variables do appear in the generated documentation this time.
- 12) Quit the browser you have been using to view the `html` files.
- 13) Within the **Terminal** application window, type `logout` at the `%` prompt.

- 14) Quit the **Terminal** application.
- 15) Read Chapter 19 of *The Java Programming Language, Fourth Edition* to learn about the special comments and various tags that can be inserted in your code to enhance your documentation files. You may also read about **javadoc** online by typing `man javadoc` at the `%` prompt to view the online manual entry. If you try this, you get one window's worth of reading at a time. Press the space bar to get the next window's worth. Hold down the **control** key while typing a **b** to go back a window's worth. Type a **q** to get back to the `%` prompt.
- 16) Build an Eclipse project named with **your name** and **JavadocLab**.
- 17) Add your code package named **for\_database2** to the Eclipse project.
- 18) Insert documentation comments into your **Java** classes to document **every** class, variable, and method. Note that the Java editor in Eclipse assists you. When you type `/**` and return, the editor makes the comment light blue in color and gives a skeleton for the rest of the comment down to the closing `*/`. Use the `@author`, `@param`, and `@return` tags where appropriate. Make sure to save each of your files after editing in the documentation comments.
- 19) Select your code package in the **Package Explorer** view of Eclipse.
- 20) From the **Project** menu of Eclipse, select **Generate Javadoc**. A dialog box similar to the one below will open.



- 21) Make sure that your code package is selected in the left scrolling list.
- 22) Click the radio button labeled **Private**.

- 23) Note that the destination for the documentation is a folder named **doc** within your Eclipse project folder and click the **Finish** button.
- 24) If there are no errors, two packages named **doc** and **doc.for\_database2** will appear in your project folder as shown below.



- 25) Click the triangle to the left of **doc** to view its contents. You should find a file named **index.html**.
- 26) Double click on **index.html** to start viewing the documentation within the Eclipse window. Navigate to examine all of the documentation.
- 27) Quit Eclipse.
- 28) Drag a copy of your Eclipse project folder onto a flash drive.



# Introduction to Lambda Expressions and the Stream Abstraction of Java 8

The sources for the technical content of this chapter are two papers written in September 2013 by Brian Goetz, Oracle's Java Language Architect: State of Lambda (<http://cr.openjdk.java.net/~briangoetz/lambda/lambda-state-final.html>) and State of Lambda: Libraries Edition (<http://cr.openjdk.java.net/~briangoetz/lambda/lambda-libraries-final.html>).

## INTRODUCTION TO LAMBDA EXPRESSIONS

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We have made extensive use of Java's **ActionListener** interface in all of our programs that have GUI's. In order to define a class that implements the **ActionListener** interface, we must provide the details of the **actionPerformed** method of that class. The **actionPerformed** method is the only member we define within the class. Because Java is object oriented, a method cannot stand alone. It must be a member of a class. One could say that the **ActionListener** interface exists only so that we can define a class that is the home for its **actionPerformed** method. In a similar fashion the **Comparator** interface that we introduced in Chapter 12 exists so we can define its **compare** method. There are several other interfaces in Java that are implemented by providing the details of only one method. Such an interface is called a *functional interface*.

It often happens that the body of an **actionPerformed** method is very short, perhaps only one line. But the code that one must write to enclose that one line usually spans over 5 lines because there is the header for the class, the header for the **actionPerformed** method, the one line of code, and two lines for the closing curly brackets for the method and the class. Hence, to define one line of code, one writes 5 lines. Below is an example of such code taken from the class **ThreeStoogesL1** (See the code package for the lab that adds a button to the Three Stooges application at the end of Chapter 2.)

```
class CurlyBHandler implements ActionListener {  
    public void actionPerformed(ActionEvent e) {  
        resultsTA.append("Nyuck Nyuck!\n");  
    } // actionPerformed  
} // CurlyBHandler
```

Assuming that `curlyB` has been declared to be a reference to a `JButton` object and that the `JButton` object has been declared and constructed with the following lines of code,

```
private JButton curlyB;  
curlyB = new JButton("Curly");
```

the line of code for adding an `ActionListener` to the button `curlyB` is as follows.

```
curlyB.addActionListener(new CurlyBHandler());
```

Before Java 8, one could shorten the code by making the class anonymous and declaring it in the line of code that adds the `ActionListener` as follows:

```
curlyB.addActionListener(new ActionListener() {  
    public void actionPerformed(ActionEvent e) {  
        resultsTA.append("Nyuck Nyuck!\n");  
    } //actionPerformed  
});
```

However, this was inelegant and did not improve the readability of the code.

With Java 8, when an argument to a method is a type defined by a functional interface, you can use a shortcut, called a *lambda expression*. The line below shows how to rewrite using a lambda expression.

```
curlyB.addActionListener(  
    (ActionEvent e) -> resultsTA.append("Nyuck Nyuck!\n"));
```

Thinking from a functional perspective instead of an object oriented perspective, when code adds an `ActionListener`, it is really providing the details of a method that provides the functionality of the listener. It is the method that is important. When the Java compiler begins to parse the statement `curlyB.addActionListener()`, the compiler already knows that the code within the parentheses must refer to an `ActionListener` object and in order to be an `ActionListener` object, the object must provide the details of its `actionPerformed` method. Beginning with Java 8, the syntax of the Java language provides for *lambda expressions* that are anonymous methods and simultaneously represent an instance of the required *target type*. The general syntax of a lambda expression consists of an argument list, the arrow token formed by a dash and the greater than symbol `->`, and a body for the method. The body can be either a single expression or a statement block enclosed in curly brackets. In the expression form, the body is simply evaluated and returned. In the block form, the body is evaluated like a method body. A return statement returns control to the caller of the anonymous method. If the body produces a result, every control path must return something appropriate or throw an exception.

The class that a lambda expression represents is inferred from the surrounding context. For example, if we find a lambda expression as the argument for the `addActionListener` method, it is clear that the class is one that implements the `ActionListener` interface. Hence, the lambda expression must be the anonymous form of an `actionPerformed` method.

**Exercise 17.1:** Revise the class `ThreeStoogesL1` from the Add a Button Lab of Chapter 2 to eliminate the classes `MoeBHandler`, `LarryBHandler`, and `CurlyBHandler` and employ lambda expressions to provide the details of each `actionPerformed` method.

**Exercise 17.2:** Revise the code of Sample Program 6.1, the class `DriversAide`, to eliminate the classes `RedBHandler`, `YellowBHandler`, and `GreenBHandler` and employ lambda expressions to provide the details of each `actionPerformed` method.

In the case that there is more than one line of code in the `actionPerformed` method, the use of a lambda expression might not actually improve the readability of the code. Consider the inner classes `EqualBHandler` and `ClearBHandler` of class `SumEm` of Sample Program 6.3.

```
public class EqualBHandler implements ActionListener {
    public void actionPerformed(ActionEvent e) {
        String input1string, input2string;
        int input1, input2, sum;
        input1string = input1TF.getText();
        input1 = Integer.parseInt(input1string);
        input2string = input2TF.getText();
        input2 = Integer.parseInt(input2string);
        sum = input1 + input2;
        resultTF.setText(sum + "");
    } //actionPerformed
} //EqualBHandler

public class ClearBHandler implements ActionListener {
    public void actionPerformed(ActionEvent e) {
        input1TF.setText("");
        input2TF.setText("");
        resultTF.setText("");
    } //actionPerformed
} //ClearBHandler
```

Since there will be more than one line of code in the body of each lambda expression, the body is a block of code enclosed by curly brackets.

Replacing the class `ClearBHandler` with a lambda expression, we get the following lines of code:

```
clearB.addActionListener(
    (ActionEvent e) -> {
        input1TF.setText("");
        input2TF.setText("");
        resultTF.setText("");
    });
```

That's not particularly difficult to read. However, replacing the class `EqualBHandler` with a lambda expression, we get the following lines of code:

```
equalB.addActionListener((ActionEvent e) -> {
    String input1string, input2string;
    int input1, input2, sum;
    input1string = input1TF.getText();
    input1 = Integer.parseInt(input1string);
    input2string = input2TF.getText();
    input2 = Integer.parseInt(input2string);
    sum = input1 + input2;
    resultTF.setText(sum + "");
});
```

Since the body of the lambda expression above invokes `parseInt` method of the `Integer` class, we know that a `NumberFormatException` could be thrown. The code really should employ `try` and `catch` to deal with that possibility, making it even more complicated. Since the point is to clarify the code instead of making it harder to read, the code substitution above might not be optimal. However, if there was a method was written that encapsulated the more complicated code and the body of the lambda expression simply invoked that method, then the lambda expression can remain short and straightforward. We could write a method named `handleUserInputs` within the `SumEm` class and then write an invocation of that method as the body of the lambda expression as follows:

```
private void handleUserInputs(){
    int input1, input2, sum;
    String input1string, input2string;
    try{
        input1string = input1TF.getText();
        input1 = Integer.parseInt(input1string);
        input2string = input2TF.getText();
        input2 = Integer.parseInt(input2string);
        sum = input1 + input2;
        resultTF.setText(sum + "");
    }//try
    catch(NumberFormatException nFE){
        resultTF.setText("Input was in the wrong format. Try again.");
    }//catch
}//handleUserInputs
```



```
equalB.addActionListener((ActionEvent e) -> handleUserInputs());
```

As another example, consider the class `AddColor` below that is written without lambda expressions.

```
// Three buttons will increase one each of the R, G, or B components of the
// background color of the content pane until the maximum
// is reached for each component.
// A reset button resets the background color of the content pane to black.

import java.awt.*; // for original GUI stuff
import java.awt.event.*; // for event handling
import javax.swing.*; //for swing GUI stuff
public class AddColor extends JFrame {
    private JButton redB,
        greenB,
        blueB; //user clicks these to add color to content pane's background
    private JButton resetB; // to reset all color components to 0
    private JTextField showRGBTF; //will display the RGB components of the
        //background color of the content pane
    private Container myCP; //to hold a reference to the content pane

    public AddColor () {
        super("Increase Color Components");
        setSize(650, 300);
        setLocation(100,100);
        myCP = getContentPane();
        myCP.setLayout(null); //there is no layout manager
        myCP.setBackground(Color.BLACK); // red, green, and blue components are 0

        redB =
            makeButton(30,75,120,50, "Increase Red",Color.RED, new RedBHandler() );
        greenB =
            makeButton(180,75,120,50,"Increase Green",Color.GREEN,
                new GreenBHandler() );
        blueB =
            makeButton(330,75,120,50, "Increase Blue",Color.BLUE,
                new BlueBHandler() );
        resetB =
            makeButton(500,75,120,50, "Reset",Color.BLACK, new ResetBHandler() );

        showRGBTF = new JTextField("R is 0, G is 0, and B is 0.");
        showRGBTF.setSize(200,30);
        showRGBTF.setLocation(225,150);
        showRGBTF.setEditable(false);
        myCP.add(showRGBTF);
```

```
setVisible(true);
addWindowListener(new WindowAdapter() {
    public void windowClosing(WindowEvent e) {
        System.exit(0);
    } //windowClosing

}); //end of definition of WindowAdapter and semicolon to end the line
} // AddColor constructor

private JButton makeButton(int theX, int theY, int theWidth, int theHeight,
    String theText, Color theForeground, ActionListener theHandler){
    JButton toReturn = new JButton(theText);
    toReturn.setSize(theWidth, theHeight);
    toReturn.setLocation(theX, theY);
    toReturn.setForeground(theForeground);
    myCP.add(toReturn);
    toReturn.addActionListener(theHandler);
    return toReturn;
} //makeButton

private int handleIncrease(int theComponent, JButton theButton){
    if (theComponent + 20 > 255 ) {
        theComponent = 255;
        theButton.setEnabled(false);
    } else {
        theComponent = theComponent + 20;
    } //else
    return theComponent;
} //handleIncrease

private void handleOneColor(Color c){
    Color currentBackgroundColor = myCP.getBackground();
    int redComponent = currentBackgroundColor.getRed();
    int greenComponent = currentBackgroundColor.getGreen();
    int blueComponent = currentBackgroundColor.getBlue();
    if(c.equals(Color.RED)){
        redComponent = handleIncrease(redComponent, redB);
    } else if (c.equals(Color.GREEN)){
        greenComponent = handleIncrease(greenComponent, greenB);
    } else {
        blueComponent = handleIncrease(blueComponent, blueB);
    } //last else
    Color adjustedColor = new Color
        (redComponent, greenComponent, blueComponent);
    myCP.setBackground(adjustedColor);
}
```

```

        showRGBTF.setText ("R is " + redComponent + ", G is " + greenComponent
                           + ", B is " + blueComponent + ".");
    } // handleOneColor

    private void reset(){
        myCP.setBackground(Color.BLACK);
        showRGBTF.setText ("R is 0, G is 0, B is 0.");
        redB.setEnabled(true);
        greenB.setEnabled(true);
        blueB.setEnabled(true);
    } // reset

    public class RedBHandler implements ActionListener {
        public void actionPerformed (ActionEvent e) {
            handleOneColor(Color.RED);
        } // actionPerformed
    } // RedBHandler

    public class GreenBHandler implements ActionListener {
        public void actionPerformed (ActionEvent e) {
            handleOneColor(Color.GREEN);
        } // actionPerformed
    } // GreenBHandler

    public class BlueBHandler implements ActionListener {
        public void actionPerformed (ActionEvent e) {
            handleOneColor(Color.BLUE);
        } // actionPerformed
    } // BlueBHandler

    public class ResetBHandler implements ActionListener {
        public void actionPerformed (ActionEvent e) {
            reset();
        } // actionPerformed
    } // ResetBHandler

    public static void main (String args[]) {
        AddColor myAppF = new AddColor ();
    } // main
} // AddColor

```

Note that the last parameter of the method `makeButton` is of type `ActionListener`. Remember that the semantics of parameter passing are the same as assignment. Hence, the corresponding argument has target type `ActionListener`, and we can replace the expressions that construct instances of `RedBHandler`, `GreenBHandler`, `BlueBHandler`, and `ResetBHandler` with lambda expressions. We eliminate the class `RedBHandler` as follows:

```

redB =
    makeButton(30,75,120,50, "Increase Red", Color.RED, new RedBHandler());

```

becomes

```
redB = makeButton(30,75,120,50, "Increase Red",Color.RED,
    (ActionEvent e) -> handleOneColor(Color.RED));
```

**Exercise 17.3:** Write the necessary lambda expressions to replace the last argument in the other three invocations of method `makeButton` and thereby eliminate the inner classes `GreenBHandler`, `BlueBHandler`, and `ResetBHandler`.

**Exercise 17.4:** Revise the class `AddColor` to produce the class `AddOrSubtractColor`. `AddOrSubtractColor` should have three additional buttons that reduce the corresponding color component by 20 until the component hits the minimum of zero. When a color component is zero, the corresponding button to decrease that color component should be disabled. Note that if a button for increasing a color component has been disabled, an event that consists of clicking the corresponding decrease button should enable the increase button. Similarly, if a button for decreasing a color component has been disabled, an event that consists of clicking the corresponding increase button should enable the button. Write methods and use lambda expressions to eliminate the need for inner classes that implement the `ActionListener` interface.

In Chapter 12 we gave a preview of lambda expressions when we discussed the `Comparator<T>` interface and in particular constructed a `Comparator<Name>` public static final variable for the class `Name` with the following line of code.

```
public static final Comparator<Name>
    REVERSE_COMPARATOR = (name1, name2) -> -name1.compareTo(name2);
```

Since the compiler can infer that a `Comparator<Name>` must provide a `compare` method that has two `Name` parameters, our code does not need to specify the types for the parameters in the lambda expression. In this case, the lambda expression is said to be *implicitly typed*. When the lambda expression specifies the types of its parameters (as above `ActionEvent e`), the lambda expression is said to be *explicitly typed*. Hence, we could shorten the lambda expression

```
(ActionEvent e) -> handleOneColor(Color.RED)
```

to

```
(e) -> handleOneColor(Color.RED)
```

**Exercise 17.5:** For Exercise 12.4, nested within the class `AddressInfo`, you wrote a private static class `AddressComp` that implements the `Comparator<AddressInfo>` interface in order to construct a public static final variable named `ADDRESS_COMPARATOR`. Eliminate the need for class `AddressComp` by replacing the construction of an instance of class `AddressComp` with a lambda expression.

**Exercise 17.6:** For Exercise 12.5, nested within the class `BirthInfo`, you wrote a private static class `ChronComp` that implements the `Comparator<BirthInfo>` interface in order to construct a public static final variable named `DATE_COMPARATOR`. Eliminate the need for class `ChronComp` by replacing the construction of an instance of class `ChronComp` with a lambda expression.

In general lambda expressions can appear only in contexts that have target types. Those contexts are: variable declarations, assignments, return statements, array initializers, method or constructor arguments, lambda expression bodies, conditional expressions (`? :` ), and cast expressions.

Our examples above use only the `ActionListener` and `Comparator<T>` functional interfaces. There were other functional interfaces in the Java API before Java 8. In addition, Java 8 added a new package, `java.util.function`, that contains functional interfaces that are expected to be commonly used, such as:

- `Predicate<T>`—a Boolean valued property of an object
- `Consumer<T>`—an action to be performed on an object
- `Function<T, R>`—a function transforming a `T` to an `R`
- `Supplier<T>`—provide an instance of `T` (such as factory)
- `UnaryOperator<T>`—a function from `T` to `T`
- `BinaryOperator<T>`—a function from `(T, T)` to `T`

## Method Expressions

Lambda expressions represent instances of functional interfaces and anonymous methods. It is often useful to use an already existing method. Consider the class `PhoneInfo` from Chapter 13:

```
public class PhoneInfo implements java.io.Serializable, Comparable<PhoneInfo> {
    private Name myName;
    private String myHomePhone;
    private String myCellPhone;
    private String myWorkPhone;
    public PhoneInfo(String theFirst, String theFamily,
                     String theHomePhone, String theCellPhone, String theWorkPhone) {
        myName = new Name(theFirst, theFamily);
        myHomePhone = theHomePhone;
        myCellPhone = theCellPhone;
        myWorkPhone = theWorkPhone;
    }
}
```

```
// 5 parameter constructor

public PhoneInfo(String theFirst, String theFamily) {
    myName = new Name(theFirst,theFamily);
    myHomePhone = "none";
    myCellPhone = "none";
    myWorkPhone = "none";
}

// 2 arg constructor

public Name getName() {
    return myName;
}

// getName

public String getHomePhone() {
    return myHomePhone;
}

// getHomePhone

public String getCellPhone() {
    return myCellPhone;
}

// getCellPhone

public String getWorkPhone() {
    return myWorkPhone;
}

// getWorkPhone

public void setName(Name theName) {
    myName = theName;
}

// setFamily

public void setHomePhone(String theHomePhone) {
    myHomePhone = theHomePhone;
}

// setHomePhone

public void setCellPhone(String theCellPhone) {
    myCellPhone = theCellPhone;
}

// setCellPhone

public void setWorkPhone(String theWorkPhone) {
    myWorkPhone = theWorkPhone;
}

// setWorkPhone

public String toString() {
    return "Name: " + myName + "\n"
        + "Home Phone Number: " + myHomePhone + "\n"
        + "Cell Phone Number: " + myCellPhone + "\n"
        + "Work Phone Number: " + myWorkPhone + "\n";
}

// toString

public int compareTo(PhoneInfo theOther){
    return myName.compareTo(theOther.myName);
}
```

```

    }// compareTo

    public boolean equals (Object compareObj) {
        PhoneInfo thePI = (PhoneInfo)compareObj;
        return (myName.equals(thePI.myName));
    }// equals

}// PhoneInfo

```

The class `PhoneInfo` implements the `Comparable<PhoneInfo>` interface through its `compareTo` method. We might want to construct lists of `PhoneInfo` objects that are each ordered by one of the three kinds of phone numbers. We have `get` methods for each phone number field. Those fields are of class `String`. `String`'s are `Comparable` because the `String` class has its own `compareTo` method.

Java 8 added the ability to place `static` methods in functional interfaces. You can think of those `static` methods as helper methods that are specific to the interface and are allowed to live within the interface rather than in a separate class. Java 8 supplied several versions of a `static comparing` method to the `Comparator<T>` interface. One of those comparing methods takes as an argument a method that extracts a `Comparable` sort key from type `T` and returns a `Comparator<T>` that compares by that sort key. In other words, if we supply a `get` method to the `static comparing` method of the `Comparator<T>` interface, it will return a `Comparator<T>` object whose `compare` method is based on that `get` method. The new idea here is that the reference we pass to the `comparing` method is a reference to a method, not a reference to an object. To illustrate, consider the following code that results in three `Comparator<PhoneInfo>` objects being created:

```

Comparator<PhoneInfo> byWork = Comparator.comparing(PhoneInfo::getWorkPhone);
Comparator<PhoneInfo> byCell = Comparator.comparing(PhoneInfo::getCellPhone);
Comparator<PhoneInfo> byHome = Comparator.comparing(PhoneInfo::getHomePhone);

```

The new syntax here is the double colon operator `::`. Its meaning is clear. Each `get` method is extracted from class `PhoneInfo` and sent to the `static comparing` method of the `Comparator` interface. The `comparing` method returns a `Comparator<PhoneInfo>` instance.

There are several different kinds of method references, each with slightly different syntax:

- A `static` method (`ClassName::methodName`)
- An instance method of a particular object (`instanceReference::methodName`)
- A super method of a particular object (`super::methodName`)
- An instance method of an arbitrary object of a particular type (`ClassName::methodName`)
- A class constructor reference (`ClassName::new`)
- An array constructor reference (`TypeName[]::new`)

The following sample code shows the use of the method references to create `Comparator` objects and the subsequent construction of `Lists` whose ordering is based on the `Comparator` object supplied to the `List` constructor. We use the `List` class of Chapter 12.

```
import java.util.*;
public class TestMethodReferences {
    public static void main(String[] args) {
        PhoneInfo p1, p2, p3;
        p1 = new PhoneInfo("Barbara", "Li Santi", "510-345-6789",
                           "510-456-7890", "510-430-2247");
        p2 = new PhoneInfo("Lydia", "Mann", "510-567-8901",
                           "510-678-9012", "415-123-4567");
        p3 = new PhoneInfo("George", "Mann", "415-987-6543",
                           "415-876-5432", "415-234-5678");

        Comparator<PhoneInfo> byWork =
            Comparator.comparing(PhoneInfo::getWorkPhone);
        Comparator<PhoneInfo> byCell =
            Comparator.comparing(PhoneInfo::getCellPhone);
        Comparator<PhoneInfo> byHome =
            Comparator.comparing(PhoneInfo::getHomePhone);

        List<PhoneInfo> workList = new List<> (byWork);
        List<PhoneInfo> cellList = new List<> (byCell);
        List<PhoneInfo> homeList = new List<> (byHome);

        if(workList.add(p1) &&workList.add(p2)&& workList.add(p3)){
            System.out.println("Original work list\n" + workList);
            workList.bubbleSort ();
            System.out.println("work list after sorting\n" + workList);
        }//workList

        if(cellList.add(p1) &&cellList.add(p2)&& cellList.add(p3)){
            System.out.println("Original cell list\n" + cellList);
            cellList.bubbleSort ();
            System.out.println("cell list after sorting\n" + cellList);
        }//cellList

        if(homeList.add(p1) &&homeList.add(p2)&& homeList.add(p3)){
            System.out.println("Original home list\n" + homeList);
            homeList.bubbleSort ();
            System.out.println("home list after sorting\n" + homeList);
        }//homeList
    }//main
} //TestMethodReferences
```



## INTRODUCTION TO THE STREAM ABSTRACTION

In all of the code we have written so far, whenever we examine each item in a data structure we do it sequentially. It is as though we line up the data items and process them one at a time. Modern computers have the capacity for parallel processing. In the parallel processing model, the items are divided into groups, the groups are processed simultaneously and the results are combined to produce the result for the aggregate of data items.

Both the traditional **for** loop that we used extensively in conjunction with arrays and the enhanced **for** loop introduced in Chapter 16 are examples of sequential processing. The **for** loop index of the traditional **for** loop clearly accesses the elements in an array sequentially. It may be a bit more hidden, but the enhanced **for** loop relies on an **Iterator<E>** object to present the elements in a collection sequentially. These **for** loops are an example of *external iteration*, meaning that the control of the enumeration of the elements of the data structure comes from outside the data structure itself. Hence, there was nothing in the API to provide the opportunity to manage the flow of control, which might be able to provide better performance by exploiting reordering of the data, parallelism, short-circuiting, or laziness (not processing elements that do not need to be processed).

The **Stream<T>** interface was added to the Java 8 API to address this issue. The **Stream<T>** interface supports internal iteration where the programmer's code delegates to the library code in the API the job of how best to process the aggregate of data items in the collection. The **Stream<T>** interface is used in conjunction with the functional interfaces in the package **java.util.function** that were mentioned earlier in this chapter. Hence, use of the **Stream<T>** interface goes hand in hand with lambda expressions. The idea here is not to write classes that implement the **Stream<T>** interface. There are 32 abstract methods in the **Stream<T>** interface. The point is that the Collections API that has been widely used since Java 1.5 has been enhanced to use the **Stream<T>** interface. The **Collection<E>** interface now has a **stream()** method whose return type is **Stream<E>**. Hence, we can produce a **Stream<E>** from any of the concrete classes that implement the **Collection<E>** interface. Two of those classes introduced in Chapter 16 are **TreeSet<E>** and **HashSet<E>**.

Let's look at an example of how this all fits together. The **Predicate<T>** interface is a functional interface that has as its one abstract method:

```
boolean test(T t)
```

that evaluates this predicate on the given argument.

The **Stream<T>** interface has a method

```
boolean anyMatch(Predicate<? super T> predicate)
```

that returns whether any elements of this stream match the provided predicate.

The **Stream<T>** interface has a method

```
Stream<T> filter(Predicate<? super T> predicate)
```

that returns a stream consisting of the elements of this stream that match the given predicate.

The **Stream<T>** interface has a method

```
Optional<T> findFirst()
```

that returns an `Optional` describing the first element of this stream, or an empty `Optional` if the stream is empty.

The `Optional<T>` class has a method

```
T get()
```

If a value is present in this `Optional`, returns the value, otherwise throws `NoSuchElementException`.

With all of these pieces in hand, we can rewrite the search method that we wrote at the end of Chapter 16 that will work with a `TreeSet<PhoneInfo>` using the stream abstraction as follows:

```
public PhoneInfo search(PhoneInfo findMe){
    if(!alphaTreeSet.stream()
        .anyMatch(p -> p.equals(findMe))){
        return null;
    }//no matching object in data structure
    return alphaTreeSet.stream()
        .filter(p -> p.equals(toSearch))
        .findFirst()
        .get();
}//search
```

**Exercise 17.7:** For Exercise 16.26, you revised the class `AddressInfoCollection` so that it employed two `TreeSet<AddressInfo>` objects. Revise the search method of class `AddressInfoCollection` again so that it uses the stream abstraction.

**Exercise 17.8:** For Exercise 16.27, you revised the class `BirthInfoCollection` so that it employed two `TreeSet<BirthInfo>` objects. Revise the search method of class `BirthInfoCollection` again so that it uses the stream abstraction.

**Exercise 17.9:** For Exercise 16.28, you revised the class `AddressInfoCollection` so that it employed a single `HashSet<AddressInfo>` object. Revise the search method of class `AddressInfoCollection` again so that it uses the stream abstraction.

**Exercise 17.10:** For Exercise 16.29, you revised the class `BirthInfoCollection` so that it employed a single `TreeSet<BirthInfo>` object. Revise the search method of class `BirthInfoCollection` again so that it uses the stream abstraction.