

## Channel matrix

- Table that shows how a communication channel behaves.
- Chances of getting a certain O/P when you send a certain input.
- Each row in the table is for a specific input (what you send).
- Each column is for a specific output
- Each entry in the table shows the probability of a particular O/P given a specific input
- It helps us to understand the behavior of noise (or) channel introduces

→ when you send "0" or "1" through a noisy line

0 → 90% → 0 and a chance 10% → 1

1 → 80% → 1 and a chance of 20% → 0.

$$P = \begin{bmatrix} 1-P & P \\ P & 1-P \end{bmatrix} = \begin{bmatrix} 90\% & 10\% \\ 0.2 & 0.8 \\ 20\% & 80\% \end{bmatrix}$$

Here Row 1 → probabilities when '0' is sent

Row 2 → probabilities when '1' is sent

## channel matrix for Binary Symmetric channel (BSC)

In BSC

→ If you send a bit '0' or '1' there is a 10% chance of error

→ Calculate

→ The channel matrix

→ The Probability of receiving a "0" if "0" is sent

→ The Probability of receiving a "1" if "1" is sent

channel matrix

$$P = \begin{bmatrix} P(0/0) & P(1/0) \\ P(0/1) & P(1/1) \end{bmatrix}$$

$P(0/0)$  : Probability of receiving '0' when '0' is sent

$P(1/0)$  : Probability of receiving "1" when "0" is sent

$P(0/1)$  : Probability of receiving "0" when "1" is sent

$P(1/1)$  : Probability of receiving "1" when "1" is sent

From the problem

$$\text{Probability of no error} = 1 - 0.1 = 0.9$$

$$\text{Probability of error} = 0.1$$

(2)

∴ The channel matrix is

$$P = \begin{bmatrix} 0.9 & 0.1 \\ 0.1 & 0.9 \end{bmatrix}$$

ii) Probability of receiving '0' if '0' is sent

$$P(0|0) = 0.9$$

The probability of correctly receiving '0' when 0 is sent is 90%.

iii)  $P(1|1) = 0.9$  i.e. 90%.

Channel matrix  $P = \begin{bmatrix} 0.9 & 0.1 \\ 0.1 & 0.9 \end{bmatrix}$

BSC Shows 10% errors while still having 90% reliability.

Noisy communication channel

Suppose we have a channel with 3 inputs

$X = \{x_1, x_2, x_3\}$  and 3 outputs  $Y = \{y_1, y_2, y_3\}$ .

If  $x_1$  is sent  $P(y_1|x_1) = 0.6$   $P(y_2|x_1) = 0.3$   $P(y_3|x_1) = 0.1$

If  $x_2$  is sent  $P(y_1|x_2) = 0.2$   $P(y_2|x_2) = 0.7$ ,  $P(y_3|x_2) = 0.1$

$x_3$  is sent  $P(y_1|x_3) = 0.3$ ,  $P(y_2|x_3) = 0.3$ ,  $P(y_3|x_3) = 0.4$

Channel matrix

$$P = \begin{bmatrix} 0.6 & 0.3 & 0.1 \\ 0.2 & 0.7 & 0.1 \\ 0.3 & 0.3 & 0.4 \end{bmatrix}$$

→ what is the probability of receiving  $y_2$  if  $x_2$  is sent?  
 $P(y_2|x_2) = 0.7 \rightarrow 70\%$

$$P(y_3|x_1) = 0.1 \rightarrow 10\%$$

Channel Point Probability Matrix

→ It's a table that shows how likely it is to send a certain input ("0" or "1") and get a certain output ("0" or "1") together.

→ This is different from a normal channel matrix, which only shows the chance of getting a specific output after sending a specific input.

→  $\left\{ \begin{array}{l} \text{Input Probabilities : How likely you are to} \\ \text{send each input ("0" or "1").} \\ \text{Channel matrix : The chance of receiving an output} \\ \text{for a given input.} \end{array} \right.$

Formula  $P(x, y) = P(x) \times P(y/x)$

$P(X, Y) = P(X) \times P(Y/X)$  is the fundamental rule of Probability.  $\rightarrow$  Chain rule.

To calculate the joint Probability of two events  $X$  and  $Y$ , where

$P(X, Y)$  : The joint Probability that <sup>both  $X$  &  $Y$</sup>  happen together

$P(X)$  : The Probability of  $X$

$P(Y/X)$  : The conditional Probability that  $Y$  happens given that  $X$  has already happened.

Ex:-

Imagine tossing a coin and rolling a dice.

Find the Probability of

$\rightarrow$  Tossing a Heads ( $X$ ) and rolling "6" ( $Y$ ).

1) Probability of tossing "Heads"  $P(X)$   $P(X) = 0.5$

2) Probability of rolling a "6" given that

$$P(Y/X) : \frac{1}{6} : 0.1667$$

$$P(X, Y) : P(X) \times P(Y/X)$$

$$: 0.5 \times 0.1667$$

$$: 0.0833$$

So the Probability of tossing Heads and rolling a die 6 is 8.33%.

Contd. Point probability matrix

Assume bits (0, 1) over a noisy channel

→ Input Probabilities  $P(0) = 0.7$  (70% chance you send "0")

$P(1) = 0.3$  (30% chance you send "1").

→ Channel matrix (noise flips the bit)

$$P(Y|X) = \begin{bmatrix} 0.8 & 0.2 \\ 0.4 & 0.6 \end{bmatrix}$$

calculation

i) When sending 0 and receiving 0  
 $P(0,0) = P(0) \times P(0|0) = 0.7 \times 0.8 = 0.56$

ii) When sending 1 and receiving 1  
 $P(0,1) = P(0) \times P(1|0) = 0.7 \times 0.2 = 0.14$

(case iii) When sending 1 and receiving 0  
 $P(1,0) = P(1) \times P(0|1) = 0.3 \times 0.4 = 0.12$

iv) When sending 1 and receiving 1  
 $P(1,1) = P(1) \times P(1|1) = 0.3 \times 0.6 = 0.18$

$$\therefore P(X,Y) = \begin{bmatrix} 0.56 & 0.14 \\ 0.12 & 0.18 \end{bmatrix}$$



## Marginal Entropy :-

→ measures the average amount of uncertainty associated with a single random variable.

Discrete random variable  $x$  with a probability distribution  $P(x)$ , the marginal entropy  $H(x)$  is defined as

$$H(x) = - \sum_{x \in X} P(x) \log_2 P(x)$$

$P(x)$  : The probability of each possible value  $x$  of  $x$

$\log_2$  : logarithm of base 2

$x$  : Each possible value of  $x$

→ Marginal entropy gives the average no. of bits needed to encode the outcomes of  $x$ .

→ If all outcomes are equally likely, entropy is max.  
If " " " more likely, " " " less.

Case : Coin Toss

$$X = \{H, T\} \quad P(H) = 0.5, \quad P(T) = 0.5$$

Entropy :  $H(x) = - [P(H) \log_2 P(H) + P(T) \log_2 P(T)]$

$$H(x) = - [0.5 \log_2 0.5 + 0.5 \log_2 0.5]$$

$$= - [0.5(-1) + 0.5(-1)] = 1 \text{ bit}$$

## Special channels

→ Binary Symmetric channel (BSC)

### Definition:-

→ where each transmitted bit (0 or 1) has a fixed probability  $P$  of being received incorrectly (flipped) and a probability  $1-P$  of being received correctly.

### Explanation

- It's like sending a message through a noisy wire
- Errors happen randomly with a fixed chance  $P$ .
- Sending 0 might result in the receiver hearing 1 because of noise in the system.

### Example

Let  $P = 0.1$  (10% error rate)

You send the bit 0: → 90% chance the receiver gets 0

→ 10% chance the receiver gets 1.

$$\text{i.e. } P(Y=0|X=1) = P(Y=1|X=0) = P$$

$$P(Y=1|X=1) = P(Y=0|X=0) = 1-P.$$

Capacity of the BSC is  $C = 1 - H(P)$

$H(P)$  = binary entropy



## Binary Erasure Channel

→ channel where each bit is either received correctly or lost. The probability of erasure is  $\epsilon$ , and the probability of correct reception is  $1-\epsilon$ .

### Explanation

- It's like sending a message that can sometimes get lost in transit.
- Instead of flipping, the bit becomes unknown to the receiver.
- If you send a bit and it gets erased, the receiver knows there's an error but doesn't know what the bit was.

Ex:

Let  $\epsilon = 0.2$  (20% erasure rate)

i.e 80% chance the receiver gets 1

20% chance the receiver gets an erasure.

i.e A channel where each transmitted bit is either received correctly with a probability  $1-\epsilon$  or erased with a probability  $\epsilon$

$$P(Y=x) = 1-\epsilon, \quad P(Y=\text{Erasure}) = \epsilon$$

$$\text{Capacity } C = 1-\epsilon$$

i.e <sup>only</sup>  $1-\epsilon$  bits we matter

## 2 channel

A 2 channel where one input (en 0) is always transmitted correctly but the other input (1) has a probability  $P$  of being flipped to 0.

### Explanation

- Think of this as a biased (or) one sided
- 0 is safe and always received correctly
- 1 is risky because it might flip to 0.

Ex:- It's like sending messages over a channel that favors one input over the other.

Let  $P = 0.3$  (30% error rate for 1)

When you send 0: Always received as 0

1: 70% chance of being received as 1 & 30% chance of flipping to 0.

- Commonly used in systems where noise affects one symbol more than the other.

## Channel capacity.

- It is defined as the maximum average mutual information between the input and the output of a channel, maximized over all possible input probability distributions.

$$C = \max_{P(x)} I(x; y)$$

$C$  - channel capacity,  $I(x; y)$  mutual information between input  $x$  and output  $y$ .

$P(x)$  : Input Probability distribution.

- It describes how much information can be sent through a channel without errors, despite noise or interference.

Ex -  
for a Binary Symmetric Channel (BSC)

$C = 1 - H(p)$   $H(p)$  binary entropy function

$$H(p) = -p \log_2 p - (1-p) \log_2 (1-p)$$

## Capacity of Special Channels

→ BSC is defined as with a crossover probability  $P$  which represents the probability of a bit being flipped.

→ The capacity of the BSC is given by

$$C = 1 - H(P)$$

$$H(P) = -P \log_2(P) - (1-P) \log_2(1-P)$$

$P=0$ , (no noise),  $C=1$  bit/sec (Perfect communication)

$P=0.5$  (max noise),  $C=0$  (no reliable communication)

If  $P=0.1$

$$H(0.1) = - (0.1 \log_2(0.1) + (0.9) \log_2(0.9))$$

$$= 0.469$$

$$C = 1 - 0.469 \approx 0.531 \text{ bits per sec.}$$

## # BEC

$$C = 1 - E$$

If  $E=0$  (no Erasure)  $C=1$  bit/sec

If  $E=1$  (all bits erased),  $C=0$ .

If  $E=0.2$   $C = 1 - 0.2 = 0.8$  bits per sec.

1) Z channel is an asymmetric channel. 0 - correct  
1 - flip to 0.



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\* Comparison of two sources

$$R_1 = r_1 \cdot h$$

$$R_2 = r_2 \cdot h$$

If  $r_1 > r_2$

$$R_1 > R_2$$

The first source generates more information per second.

Verify the equation,

$$I(x_i, x_j) = I(x_i) + I(x_j)$$

If  $x_i$  &  $x_j$  are independent

I)

$I(x, y)$  — mutual information

$I(x), I(y)$  — Information measures for  $x$  &  $y$

$$p(x, y) = p(x) \cdot p(y) \rightarrow x, y \text{ independent}$$

$$\begin{aligned} I(x, y) &= \log \frac{1}{p(x, y)} \\ &= \log \frac{1}{p(x) \cdot p(y)} \end{aligned}$$

$$\log(ab) = \log a + \log b$$

$$\log \frac{1}{p(x) \cdot p(y)} = \log \frac{1}{p(x)} + \log \frac{1}{p(y)}$$



$$\therefore I(x, y) = \log \frac{1}{p(x)} + \log \frac{1}{p(y)}$$

$$\log \frac{1}{p(x)} = I(x)$$

$$\log \frac{1}{p(y)} = I(y)$$

$$\therefore I(x, y) = I(x) + I(y)$$

Mutual Information measures dependence  
b/w 2 random variables

If  $x$  &  $y$  are independent,  $I(x, y) = 0$

### Channel Model

Input	Channel
Output	Noiseless Channel
Distortion	Noisy Channel
Attenuation	Additive White Gaussian
Interference	Noise (AWGN)
Noise	

### \* Joint Entropy

$H(x, y)$  i.e. rolling a die or flipping a coin  
 $x = 6, y = 2$

$$H(x, y) = - \sum_{x=1}^m \sum_{y=1}^n P(x, y) \log_2 P(x, y)$$



Properties

- i)  $H(x, y) \geq \max(H(x), H(y))$
- ii)  $H(x, y) = H(x) + H(y)$

Eg. Imagine  $X$  is rolling a 6 sided die,  
 $Y$  is flipping a coin.

If the die is fair,  $P(x) = 1/6$   $P(y) = 1/2$

$$P(x, y) = P(x) \cdot P(y)$$

$$= \frac{1}{6} \cdot \frac{1}{2}$$

$$= \frac{1}{12}$$

$$H(x, y) = - \sum_{x=1}^6 \sum_{y=1}^2 \frac{1}{12} \log_2 \left( \frac{1}{12} \right)$$

$$= - \sum \sum (-0.298)$$

$$= \sum_{x=1}^6 \sum_{y=1}^2 0.298$$

\* Conditional Probability

$$H(x/y) = \sum_{x=1}^m \sum_{y=1}^n P(x, y) \log_2 \left[ \frac{1}{P(x/y)} \right]$$

$$H(y/x) = \sum_{x=1}^m \sum_{y=1}^n P(x, y) \log_2 \left[ \frac{1}{P(y/x)} \right]$$



Relationship

$$H(X, Y) = H(X/Y) + H(Y)$$

$$= H(Y/X) + H(X)$$

\* Marginal Entropy

Consider two independent events -  $X$  &  $Y$

1) For every  $X$ , there are  $m$  symbols

$$X = [x_1, x_2, x_3, x_4, \dots]$$

2) For every  $Y$ , there are  $n$  symbols

Marginal Entropy

$$H(X) = \sum_{i=1}^m P(x_i) \log_2 \frac{1}{P(x_i)}$$

$$H(X) = - \sum_{i=1}^m P(x_i) \log_2 P(x_i) = \text{Avg uncertainty in input}$$

$$H(Y) = - \sum_{i=1}^n P(y_i) \log_2 P(y_i) = \text{Avg uncertainty in output}$$

\* Shannon's formula

$$C = B \log_2 (1 + \text{SNR})$$

$C$  : Channel capacity

$B$  : Bandwidth

$$\text{SNR} = \frac{S}{N}$$

SNR : Signal to Noise Ratio

$$B = 3 \text{ MHz} = 3 \times 10^6 \text{ Hz}$$

$$\text{SNR} = \text{db}$$



Eg.  $B = 1 \text{ MHz}$   
 $\text{SNR} = 10 \text{ dB}$   
 $C = 1 \times 10^6 \log_2 (1 + 10) = 3.459$

Eg.  $B = 3 \text{ kHz}$   
 $\text{SNR} = -20 \text{ dB} = -(10^{20/10})$   
 $= -(10)^2$   
 $= -100$

$$C = B \log_2 (1 + \text{SNR})$$

$$= 3 \times 10^3 \log_2 (1 + (-100))$$

$$= 19888$$

→ Numerical

Let  $(X, Y)$  have the following Joint distribution

Q Let  $(X, Y)$  have the following Joint Distribution

		$X \rightarrow$				
		$Y \downarrow$	1	2	3	4
$P(x,y)=1 \rightarrow$	1	$1/8$	$1/16$	$1/32$	$1/32$	
	2	$1/16$	$1/8$	$1/32$	$1/32$	
	3	$1/16$	$1/16$	$1/16$	$1/16$	
	4	$1/4$	0	0	0	
		$P(y,x)=1$	$P(y,x)=2$			

$P(y, x) = 1$   $P(y, x) = 2$

Marginal Distribution of  $X$   $\left( \frac{1}{2}, \frac{1}{4}, \frac{1}{8}, \frac{1}{8} \right)$

Marginal Distribution of  $Y$   $\left( \frac{1}{4}, \frac{1}{4}, \frac{1}{4}, \frac{1}{4} \right)$



Sol<sup>n</sup> ① Find  $H(X)$

$$H(X) = - \sum_{i=1}^4 P(x_i) \log_2 P(x_i)$$

$$= - \left( \frac{1}{2} \log_2 \frac{1}{2} + \frac{1}{4} \log_2 \frac{1}{4} + \frac{1}{8} \log_2 \frac{1}{8} + \frac{1}{8} \log_2 \frac{1}{8} \right)$$

$$= - \left( -\frac{7}{4} \right)$$

$$H(X) = \frac{7}{4} \text{ bits}$$

Similarly,

$$\textcircled{2} H(Y) = 2 \text{ bits}$$

$$\textcircled{3} H(X/Y) = \sum_{i=1}^4 P(Y=i) H(X/Y=i)$$

$$= P(Y=1) H(X/Y=1) + P(Y=2) H(X/Y=2) + P(Y=3) H(X/Y=3) + P(Y=4) H(X/Y=4)$$

$$\therefore P(x,y) = P(y) P(x/y)$$

if we take  $P(y=1)$  common from  $P(x,y) = 1$   
Then, by taking  $\frac{1}{4}$  common, we get,

$$P(x/y=1) = \left( \frac{1}{2}, \frac{1}{4}, \frac{1}{8}, \frac{1}{8} \right)$$

Similarly,

$$P(x/y=2) = \left( \frac{1}{4}, \frac{1}{2}, \frac{1}{8}, \frac{1}{8} \right)$$

$$P(x/y=3) = \left( \frac{1}{4}, \frac{1}{4}, \frac{1}{4}, \frac{1}{4} \right)$$

$$P(x/y=4) = (1, 0, 0, 0)$$

$$\therefore H(X/Y) = \frac{1}{4} H\left(\frac{1}{2}, \frac{1}{4}, \frac{1}{8}, \frac{1}{8}\right) + \frac{1}{4} H\left(\frac{1}{4}, \frac{1}{2}, \frac{1}{8}, \frac{1}{8}\right) + \frac{1}{4} H\left(\frac{1}{4}, \frac{1}{4}, \frac{1}{4}, \frac{1}{4}\right) + \frac{1}{4} H(1, 0, 0, 0)$$



$$= \frac{1}{4} \times \frac{7}{4} + \frac{1}{4} \times \frac{7}{4} + \frac{1}{4} \times 2 + \frac{1}{4} \times 0$$

$$= \frac{11}{8} \text{ bits}$$

④ find  $H(Y/X)$

$$H(Y/X) = \sum_{i=1}^4 P(X=i) H(Y/X=i)$$

$$= P(X=1) H(Y/X=1) + P(X=2) H(Y/X=2)$$

$$+ P(X=3) H(Y/X=3) + P(X=4) H(Y/X=4)$$

$$= \frac{1}{2} H\left(\frac{1}{4}, \frac{1}{8}, \frac{1}{8}, \frac{1}{2}\right) + \frac{1}{4} H\left(\frac{1}{4}, \frac{1}{2}, \frac{1}{4}, 0\right)$$

$$+ \frac{1}{8} H\left(\frac{1}{8}, \frac{1}{8}, \frac{1}{2}, 0\right) + \frac{1}{8} H\left(\frac{1}{4}, \frac{1}{4}, \frac{1}{2}, 0\right)$$

$$H\left(\frac{1}{4}, \frac{1}{8}, \frac{1}{8}, \frac{1}{2}\right) = -\left(\frac{1}{4} \log_2 \frac{1}{4} + \frac{1}{8} \log_2 \frac{1}{8} + \frac{1}{8} \log_2 \frac{1}{8} + \frac{1}{2} \log_2 \frac{1}{2}\right)$$

$$= \frac{7}{4}$$

$$\therefore H(Y/X) = \frac{1}{2} \times \frac{7}{4} + \frac{1}{4} \times \frac{3}{2} + \frac{1}{8} \times \frac{3}{2} + \frac{1}{8} \times \frac{3}{2}$$

$$= \frac{13}{8} \text{ bits}$$

⑤ find  $H(x,y)$

$$H(x,y) = H(x) + H(y/x)$$

$$= \frac{7}{4} + \frac{13}{8}$$

$$= \frac{14+13}{8}$$

$$= H(y) + H(x/y)$$

$$= 2 + \frac{11}{8} = \frac{16+11}{8}$$

$$= \frac{27}{8} \text{ bits}$$

$$= \frac{27}{8} \text{ bits}$$