# Applied DSP II: Processing PPG Signals

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## Outline

Introduction

Steps for Computing SVD

Algorithm Blocks
Pre-processing
Filtering
Finding Heart-Rate
Post-processing

Results

## Continuing Where we Left..

Here we try to understand the end-to-end pipeline for PPG processing as given in Figure 1.

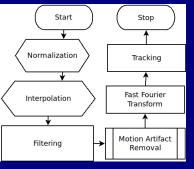


Figure 1: Algorithm Pipeline

### Recap

We have already seen the motion artifact removal block in some detail, now we examine more of Singular Value Decomposition and the rest of the blocks.

# Algorithm for Computing Singular Values

- ▶ For a matrix A, compute  $AA^T$  this will be a square matrix 'P'
- ► Find a scalar value  $\lambda$  such that  $|A \lambda I| = 0$ , where 'I' is the identity matrix of same order.
- ▶ Singular values are positive square roots all possible values of  $\lambda$ . If  $\lambda$  values are 0, they are ignored, negative values indicate non-decomposing matrix.

#### Note

Positive values determine the rank of singular values of a matrix, which may reduce the dimension, further smaller values can be ignored.

# Estimating the Eigenvectors

## Computing the Left Eigenvector

- ▶ The left eigenvector is a row vector  $L_R$
- For matrix A, it follows  $AL_R = \lambda L_R$
- so for any of the eigenvalues, we have to find such a row vector.
- ► There is no direct solution, it is typically determined "iteratively".

## Computing the Right Eigenvector

- ightharpoonup The right eigenvector is a column vector  $R_C$
- ► For matrix A, it follows  $AR_C = \lambda R_C$
- ▶ so for any of the eigenvalues, we have to find such a column vector.

#### The vectors are then converted to their unit vector form

An example

Let, 
$$A = \begin{bmatrix} 4 & 0 \\ 3 & -5 \end{bmatrix}$$
  
 $\Rightarrow A^T A = \begin{bmatrix} 25 & 15 \\ -15 & 25 \end{bmatrix}$   
 $\Rightarrow \lambda = \{10, 40\}$   
For  $\lambda = 10$ ,  
 $\Rightarrow \begin{bmatrix} 15 & -15 \\ -15 & 15 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = 0$   
 $\Rightarrow x_1 = x_2$  For  $x_1 = 1$ ,  $x_2 = 1$   
 $\Rightarrow R_C = \begin{bmatrix} 1 \\ 1 \end{bmatrix}$ 

Converting to unit vector, we get: 
$$R_C = \begin{bmatrix} \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} \end{bmatrix}$$

#### Points to Ponder...

There is *no unique* solution and also *no direct equation* to solve the above. Hence *iterative* methods are used.

# Windowing

- Windowing converts a continuous time series into manageable chunks
- ► It helps us process the data online
- ► It reduces storage requirements of the algorithm

#### PPG Windowing Scheme

In our approach we have used rectangular windows with 50% overlap.

#### Normalization

- ► Normalization allows us to scale signals to a common range
- ▶ One of the common ways employed here is to subtract mean  $(\mu)$  divide by standard deviation  $(\sigma)$ .
- ▶ The formula for normalization is given in equation 1.

#### Formula for normalization

$$X_{norm}(t) = \frac{X(t) - \mu}{\sigma} \tag{1}$$

## Interpolation

- Interpolation ensures uniform sampling.
- ► Sensor data has *jitter* which makes filters ineffective
- ► Interpolation is needed before filtering.
- ► Also makes the signal *smooth*.

## Our Approach: Cubic Spline Interpolation

- 1. We assume  $p_t$  and  $p_{t+1}$  are joined by a equation as in equation 2.
- 2. values of a,b,c,d are derived by empirical curve fitting.

$$p_{\tau} = a\tau^3 + b\tau^2 + c\tau + d; t \le \tau \le t + 1$$
 (2)

## Filtering

- Filtering allows selective amplification of a frequency range.
- ► It can be used to select/reject or subdue certain frequencies within the signal.
- There are multiple kinds of filters
  - 1. band-pass
  - 2. Band-stop
  - 3. Loss-pass
  - 4. High-pass
- ► By means of technique there are *Infinite Impulse Response* (IIR) and *Finite Impulse Response* (FIR) filter

#### PPG Filter

- ▶ We have used a IIR band-pass filter.
- ► The frequency range is based on normal heart-rate range of 30-200 BPM. So we have designed a 0.5-3Hz band-pass filter.

# Zero Phase Filtering

- ▶ Biomedical signals are *quasi-periodic*, just like mechanical vibrations.
- ► Zero phase filtering ensures that phase is removed thereby giving better measures.

Zero-Phase IIR Butterwoth Filter

filtfilt algorithm works by applying the Butterwoth IIR filter on signal and then reverse of the signal in a window.

#### IIR Filter

- ► IIR filters are so called because an impulse theoretically is carried on the filtered signal till infinity.
- ▶ It is implemented via a method called *recursion* as shown in equation 3.

Mathematical Representation of IIR filter

$$\rho[t+1] = \frac{1}{a_0} \left( \sum_{i=0}^{P} b_i \times p[t-i] + \sum_{j=0}^{Q} a_j \times \rho[t-j] \right)$$
 (3)

where,  $\rho[t]$  is the output signal. p[t] is the input signal.  $a_j$  are the feedback filter coefficients.  $b_i$  are the feed-forward filter coefficients. P is the feed-forward order and Q is the feedback filter order.

# Fast Fourier Transform (FFT)

- Fourier transform transforms a time-series signal into temporal frequency domain from time-domain.
- ► The Fourier transform of a signal X(t), denoted by H(x) is given by equation 4.

#### Fourier Transform Details

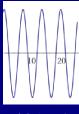
$$H(x) = \mathcal{R}\left\{\frac{1}{2\pi} \int_0^\infty 2F(\omega) e^{i\omega t} d\omega\right\}$$
 (4)

also, 
$$e^{i\omega t} = Cos(\omega t) + i \times Sin(\omega t)$$
  
and  $\omega = 2\pi\nu$ 

where  $\boldsymbol{\nu}$  is a component frequency in the signal.

## Significance of Fourier Transform

- Fourier transform decomposes a signal into independent phase and amplitude pairs each representing one oscillation in the signal
- ► In other words, it decomposes a signal into a sum of Sine and Cosine functions.
- ► Figure 2a and 2b shows how a signal and its Fourier transform look respectively.



(a) Signal



(b) FFT of signal

### FFT and Heart-Rate

- ► In the FFT of a PPG signal filtered between 0.5-3Hz (30-180 bpm), the fundamental peak is considered to be the heart-rate.
- ► Standard peak detection methods are used as the frequency spectrum is "clean".

### Computing Heart-Rate

Since heart-rate is expressed in beats per minute, it is advisable to convert the frequency as in equation 5, where F is the *dominant frequency* in Hz. The "round" function is used since heart-rate is expressed as a natural number.

$$HR = round(F * 60) (5)$$

## Tracking Algorithm

- ► Why tracking?: Because sometimes, even with much effort, we get a wrong HR measurement.
- Tracking is a rule-based post processing with simple physiological rules:
  - 1. If person is going from rest to motion then HR should increase.
  - HR cannot change by more that 20 BPM (usually) within 10 seconds time.
  - If abrupt HR is found, we replace it with last good HR measurement.

## Schematic of Tracking

HR = track(lastHR, activityStatus)

#### Results

- We present our accuracy in-terms of Mean Absolute Deviation (MAD)
- Result in presented for a scientific data-set [1] and our field collected data on over 13 workers during their daily duty over a span of 15 days.
- Summary of results in presented in Table 1.

## Summary of Results

Errors are reported as Mean Absolute Deviation (MAD) in beats per minute (BPM). Formula for MAD is  $MAD = \frac{\sum_{i=0}^{N} ||HR_{observed} - HR_{real}||}{N}$ . The real HR was measured using a chest strap.

Data-set	MAD
Troika [1]	3
Field Collected	7

Table 1: Summary of Results

#### References

Zhang, Zhilin, Zhouyue Pi, and Benyuan Liu. "TROIKA: A general framework for heart rate monitoring using wrist-type photoplethysmographic signals during intensive physical exercise." IEEE Transactions on biomedical engineering 62, no. 2 (2014): 522-531.

