

## Lab 3.7 - Student Notebook

#### Overview

This lab is a continuation of the guided labs in Module 3.

In this lab, you will create a hyperparameter tuning job to tune the model that you created previously. You will then compare the metrics of the two models.

## Introduction to the business scenario

You work for a healthcare provider, and want to improve the detection of abnormalities in orthopedic patients.

You are tasked with solving this problem by using machine learning (ML). You have access to a dataset that contains six biomechanical features and a target of *normal* or *abnormal*. You can use this dataset to train an ML model to predict if a patient will have an abnormality.

## About this dataset

This biomedical dataset was built by Dr. Henrique da Mota during a medical residence period in the Group of Applied Research in Orthopaedics (GARO) of the Centre Médico-Chirurgical de Réadaptation des Massues, Lyon, France. The data has been organized in two different, but related, classification tasks.

The first task consists in classifying patients as belonging to one of three categories:

- *Normal* (100 patients)
- Disk Hernia (60 patients)
- Spondylolisthesis (150 patients)

For the second task, the categories *Disk Hernia* and *Spondylolisthesis* were merged into a single category that is labeled as *abnormal*. Thus, the second task consists in classifying patients as belonging to one of two categories: *Normal* (100 patients) or *Abnormal* (210 patients).

### Attribute information

Each patient is represented in the dataset by six biomechanical attributes that are derived from the shape and orientation of the pelvis and lumbar spine (in this order):

- Pelvic incidence
- Pelvic tilt
- · Lumbar lordosis angle
- Sacral slope
- · Pelvic radius
- Grade of spondylolisthesis

The following convention is used for the class labels:

- DH (Disk Hernia)
- Spondylolisthesis (SL)
- Normal (NO)
- Abnormal (AB)

For more information about this dataset, see the Vertebral Column dataset webpage.

## **Dataset attributions**

This dataset was obtained from: Dua, D. and Graff, C. (2019). UCI Machine Learning Repository (http://archive.ics.uci.edu/ml). Irvine, CA: University of California, School of Information and Computer Science.

## Lab setup

Because this solution is split across several labs in the module, you run the following cells so that you can load the data and train the model to be deployed.

**Note:** The setup can take up to 5 minutes to complete.

## Importing the data, and training, testing and validating the model

By running the following cells, the data will be imported, and the model will be trained, tested and validated and ready for use.

**Note:** The following cells represent the key steps in the previous labs.

In order to tune the model it must be ready, then you can tweak the mdoel with hyperparameters later in step 2.

```
In [1]: bucket='c169682a4380825l11228564t1w281043631139-labbucket-vvipit4s4mqw'
```

```
import time
start = time.time()
import warnings, requests, zipfile, io
warnings.simplefilter('ignore')
import pandas as pd
from scipy.io import arff

import os
import boto3
import sagemaker
from sagemaker.image_uris import retrieve
from sklearn.model_selection import train_test_split

from sklearn.metrics import roc_auc_score, roc_curve, auc, confusion_matrix
import seaborn as sns
import matplotlib.pyplot as plt
```

sagemaker.config INFO - Not applying SDK defaults from location: /etc/xdg/sagem
aker/config.yaml
sagemaker.config INFO - Not applying SDK defaults from location: /home/ec2-use
r/.config/sagemaker/config.yaml

**Note:** The following cell takes approximately **10** minutes to complete. Observe the code and how it processes, this will help you to better understand what is going on in the background. Keep in mind that this cell completes all the steps you did in previous labs in this module including:

- · Importing the data
- Loading the data into a dataframe
- Splitting the data into training, test and validation datasets
- Uploading the split datasets to S3
- Training, testing and validating the model with the datasets

```
def plot roc(test labels, target predicted binary):
   TN, FP, FN, TP = confusion matrix(test labels, target predicted binary).ra
   # Sensitivity, hit rate, recall, or true positive rate
   Sensitivity = float(TP)/(TP+FN)*100
   # Specificity or true negative rate
   Specificity = float(TN)/(TN+FP)*100
   # Precision or positive predictive value
   Precision = float(TP)/(TP+FP)*100
   # Negative predictive value
   NPV = float(TN)/(TN+FN)*100
   # Fall out or false positive rate
   FPR = float(FP)/(FP+TN)*100
   # False negative rate
   FNR = float(FN)/(TP+FN)*100
   # False discovery rate
   FDR = float(FP)/(TP+FP)*100
   # Overall accuracy
   ACC = float(TP+TN)/(TP+FP+FN+TN)*100
    print(f"Sensitivity or TPR: {Sensitivity}%")
   print(f"Specificity or TNR: {Specificity}%")
   print(f"Precision: {Precision}%")
   print(f"Negative Predictive Value: {NPV}%")
   print( f"False Positive Rate: {FPR}%")
    print(f"False Negative Rate: {FNR}%")
   print(f"False Discovery Rate: {FDR}%" )
   print(f"Accuracy: {ACC}%")
   test labels = test.iloc[:,0];
   print("Validation AUC", roc_auc_score(test_labels, target_predicted_binary
   fpr, tpr, thresholds = roc curve(test labels, target predicted binary)
    roc auc = auc(fpr, tpr)
   plt.figure()
   plt.plot(fpr, tpr, label='ROC curve (area = %0.2f)' % (roc auc))
   plt.plot([0, 1], [0, 1], 'k--')
   plt.xlim([0.0, 1.0])
   plt.ylim([0.0, 1.05])
   plt.xlabel('False Positive Rate')
   plt.ylabel('True Positive Rate')
   plt.title('Receiver operating characteristic')
   plt.legend(loc="lower right")
   # create the axis of thresholds (scores)
   ax2 = plt.gca().twinx()
   ax2.plot(fpr, thresholds, markeredgecolor='r',linestyle='dashed', color='r
   ax2.set ylabel('Threshold',color='r')
   ax2.set ylim([thresholds[-1],thresholds[0]])
   ax2.set xlim([fpr[0],fpr[-1]])
   print(plt.figure())
```

```
def plot confusion matrix(test labels, target predicted):
   matrix = confusion matrix(test labels, target predicted)
    df confusion = pd.DataFrame(matrix)
    colormap = sns.color palette("BrBG", 10)
    sns.heatmap(df confusion, annot=True, fmt='.2f', cbar=None, cmap=colormap)
    plt.title("Confusion Matrix")
   plt.tight layout()
   plt.ylabel("True Class")
    plt.xlabel("Predicted Class")
   plt.show()
f zip = 'http://archive.ics.uci.edu/ml/machine-learning-databases/00212/verteb
r = requests.get(f zip, stream=True)
Vertebral zip = zipfile.ZipFile(io.BytesIO(r.content))
Vertebral zip.extractall()
data = arff.loadarff('column 2C weka.arff')
df = pd.DataFrame(data[0])
class_mapper = {b'Abnormal':1,b'Normal':0}
df['class']=df['class'].replace(class mapper)
cols = df.columns.tolist()
cols = cols[-1:] + cols[:-1]
df = df[cols]
train, test and validate = train test split(df, test size=0.2, random state=42
test, validate = train test split(test and validate, test size=0.5, random sta
prefix='lab3'
train file='vertebral train.csv'
test file='vertebral test.csv'
validate file='vertebral validate.csv'
s3 resource = boto3.Session().resource('s3')
def upload s3 csv(filename, folder, dataframe):
   csv buffer = io.StringIO()
    dataframe.to csv(csv buffer, header=False, index=False )
    s3 resource.Bucket(bucket).Object(os.path.join(prefix, folder, filename)).
upload s3 csv(train file, 'train', train)
upload s3 csv(test_file, 'test', test)
upload s3 csv(validate file, 'validate', validate)
container = retrieve('xgboost',boto3.Session().region name,'1.0-1')
hyperparams={"num round":"42",
             "eval metric": "auc",
             "objective": "binary:logistic",
             "silent" : 1}
```

```
s3 output location="s3://{}/{output/".format(bucket,prefix)
 xgb model=sagemaker.estimator.Estimator(container,
                                         sagemaker.get execution role(),
                                         instance count=1,
                                         instance type='ml.m5.2xlarge',
                                         output path=s3 output location,
                                          hyperparameters=hyperparams,
                                          sagemaker session=sagemaker.Session())
 train channel = sagemaker.inputs.TrainingInput(
     "s3://{}/{}/train/".format(bucket,prefix,train file),
     content type='text/csv')
 validate channel = sagemaker.inputs.TrainingInput(
     "s3://{}/validate/".format(bucket,prefix,validate file),
     content type='text/csv')
 data channels = {'train': train_channel, 'validation': validate_channel}
 xgb model.fit(inputs=data channels, logs=False)
 batch X = test.iloc[:,1:];
 batch X file='batch-in.csv'
 upload s3 csv(batch X file, 'batch-in', batch X)
 batch output = "s3://{}/batch-out/".format(bucket,prefix)
 batch_input = "s3://{}/{}/batch-in/{}".format(bucket,prefix,batch X file)
 xgb transformer = xgb model.transformer(instance count=1,
                                         instance type='ml.m5.2xlarge',
                                         strategy='MultiRecord',
                                         assemble with='Line',
                                         output path=batch output)
 xgb transformer.transform(data=batch input,
                          data type='S3Prefix',
                          content type='text/csv',
                          split type='Line')
 xgb transformer.wait(logs=False)
INFO:sagemaker:Creating training-job with name: sagemaker-xgboost-2025-08-15-1
6-34-44-384
2025-08-15 16:34:44 Starting - Starting the training job...
2025-08-15 16:35:09 Starting - Preparing the instances for training...
2025-08-15 16:35:30 Downloading - Downloading input data....
2025-08-15 16:35:50 Downloading - Downloading the training image.....
2025-08-15 16:36:21 Training - Training image download completed. Training in p
rogress....
2025-08-15 16:36:46 Uploading - Uploading generated training model.
2025-08-15 16:37:00 Completed - Training job completed
```

## Step 1: Getting model statistics

Before you tune the model, re-familiarize yourself with the current model's metrics.

The setup performed a batch prediction, so you must read in the results from Amazon Simple Storage Service (Amazon S3).

```
In [4]:
    s3 = boto3.client('s3')
    obj = s3.get_object(Bucket=bucket, Key="{}/batch-out/{}".format(prefix,'batch-target_predicted = pd.read_csv(io.BytesIO(obj['Body'].read()),names=['class'])

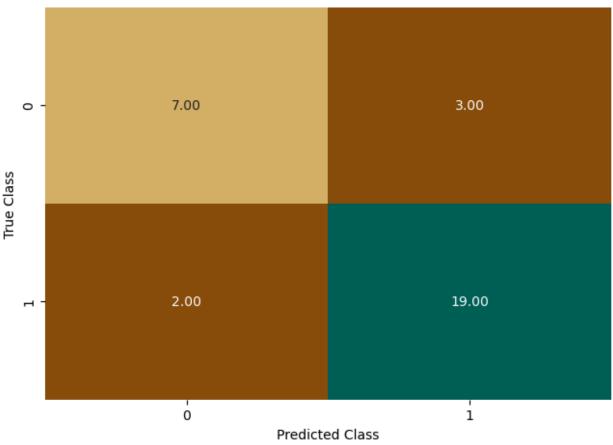
def binary_convert(x):
    threshold = 0.5
    if x > threshold:
        return 1
    else:
        return 0

target_predicted_binary = target_predicted['class'].apply(binary_convert)
    test_labels = test.iloc[:,0]
```

Plot the confusion matrix and the receiver operating characteristic (ROC) curve for the original model.

```
In [5]: plot_confusion_matrix(test_labels, target_predicted_binary)
```

#### Confusion Matrix



#### In [9]: plot\_roc(test\_labels, target\_predicted\_binary)

Sensitivity or TPR: 90.47619047619048%

Specificity or TNR: 70.0% Precision: 86.363636363636%

Negative Predictive Value: 77.7777777779%

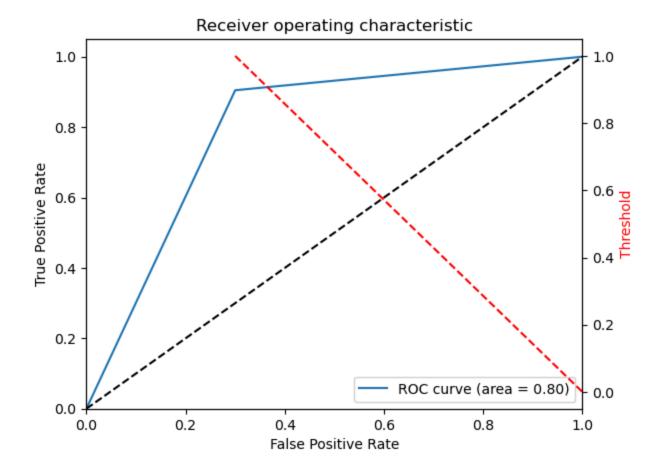
False Positive Rate: 30.0%

False Negative Rate: 9.523809523809524% False Discovery Rate: 13.636363636363635%

Accuracy: 83.87096774193549% Validation AUC 0.8023809523809523

```
- Traceback (most recent call last) —
in <module>:1
) 1 plot roc(test labels, target predicted binary)
in plot roc:49
/home/ec2-user/anaconda3/envs/python3/lib/python3.10/site-packages/matple
in set vlim
  4049
                   if top is not None:
  4050
                       raise TypeError("Cannot pass both 'top' and 'ymax
  4051
                   top = ymax
) 4052
               return self.yaxis. set lim(bottom, top, emit=emit, auto=au
  4053
           get_yscale = _axis_method wrapper("yaxis", "get scale")
  4054
  4055
           set_yscale = _axis_method_wrapper("yaxis", "_set_axes_scale")
/home/ec2-user/anaconda3/envs/python3/lib/python3.10/site-packages/matple
_set_lim
  1214
               self.axes. process unit info([(name, (v0, v1))], convert=
  1215
  1216
               v0 = self.axes. validate converted limits(v0, self.conver
               v1 = self.axes. validate converted limits(v1, self.conver
) 1217
  1218
  1219
               if v0 is None or v1 is None:
  1220
                   # Axes init calls set xlim(0, 1) before get xlim() car
/home/ec2-user/anaconda3/envs/python3/lib/python3.10/site-packages/matple
in validate converted limits
                       converted limit = converted limit.squeeze()
  3736
  3737
                   if (isinstance(converted limit, Real)
  3738
                           and not np.isfinite(converted limit)):
                       raise ValueError("Axis limits cannot be NaN or In-
) 3739
  3740
                   return converted limit
  3741
  3742
           def set xlim(self, left=None, right=None, *, emit=True, auto=
```

ValueError: Axis limits cannot be NaN or Inf



This plot gives you a starting point. Make a note of the *Validation area under the curve (AUC)*. You will use it later to check your tuned model to see if it's better.

# Step 2: Creating a hyperparameter tuning job

A hyperparameter tuning job can take several hours to complete, depending on the value ranges that you provide. To simplify this task, the parameters used in this step are a subset of the recommended ranges. They were tuned to give good results in this lab, without taking multiple hours to complete.

For more information about the parameters to tune for XGBoost, see Tune an XGBoost Model in the AWS Documentation.

Because this next cell can take approximately **45** minutes to complete, go ahead and run the cell. You will examine what's happening, and why these hyperparameter ranges were chosen.

In [10]:

%%time

from sagemaker.tuner import IntegerParameter, CategoricalParameter, Continuous

```
xgb = sagemaker.estimator.Estimator(container,
                                      role=sagemaker.get execution role(),
                                      instance count= 1, # make sure you have li
                                      instance type='ml.m4.xlarge',
                                      output path='s3://{}/output'.format(buc
                                      sagemaker session=sagemaker.Session())
 xgb.set hyperparameters(eval metric='error@.40',
                          objective='binary:logistic',
                          num round=42)
 hyperparameter ranges = {'alpha': ContinuousParameter(0, 100),
                           'min child weight': ContinuousParameter(1, 5),
                           'subsample': ContinuousParameter(0.5, 1),
                           'eta': ContinuousParameter(0.1, 0.3),
                           'num round': IntegerParameter(1,50)
 objective metric name = 'validation:error'
 objective type = 'Minimize'
 tuner = HyperparameterTuner(xgb,
                              objective metric name,
                              hyperparameter ranges,
                              max jobs=10, # Set this to 10 or above depending u
                              max parallel jobs=1,
                              objective type=objective type,
                              early stopping type='Auto')
 tuner.fit(inputs=data channels, include cls metadata=False)
 tuner.wait()
WARNING: sagemaker.estimator: No finished training job found associated with this
estimator. Please make sure this estimator is only used for building workflow c
onfig
WARNING: sagemaker.estimator: No finished training job found associated with this
estimator. Please make sure this estimator is only used for building workflow c
INFO:sagemaker:Creating hyperparameter tuning job with name: sagemaker-xgboos
t-250815-1650
. . . . . . !
CPU times: user 1.28 s, sys: 30.6 ms, total: 1.31 s
Wall time: 14min 11s
 First, you will create the model that you want to tune.
     xgb = sagemaker.estimator.Estimator(container,
```

Notice that the *eval\_metric* of the model was changed to *error@.40*, with a goal of minimizing that value.

**error** is the binary classification error rate. It's calculated as #(wrong cases)/#(all cases). For predictions, the evaluation will consider the instances that have a prediction value larger than 0.4 to be positive instances, and the others as negative instances.

Next, you must specify the hyperparameters that you want to tune, in addition to the ranges that you must select for each parameter.

The hyperparameters that have the largest effect on XGBoost objective metrics are:

- alpha
- · min child weight
- subsample
- eta
- num round

The recommended tuning ranges can be found in the AWS Documentation at Tune an XGBoost Model.

For this lab, you will use a *subset* of values. These values were obtained by running the tuning job with the full range, then minimizing the range so that you can use fewer iterations to get better performance. Though this practice isn't strictly realistic, it prevents you from waiting several hours in this lab for the tuning job to complete.

You must specify how you are rating the model. You could use several different objective metrics, a subset of which applies to a binary classification problem. Because the evaluation metric is **error**, you set the objective to *error*.

```
objective_metric_name = 'validation:error'
objective_type = 'Minimize'
```

Finally, you run the tuning job.

Wait until the training job is finished. It might take up to **45** minutes. While you are waiting, observe the job status in the console, as described in the following instructions.

#### To monitor hyperparameter optimization jobs:

- 1. In the AWS Management Console, on the **Services** menu, choose **Amazon SageMaker**.
- 2. Choose **Training > Hyperparameter tuning jobs**.
- 3. You can check the status of each hyperparameter tuning job, its objective metric value, and its logs.

After the training job is finished, check the job and make sure that it completed successfully.

# Step 3: Investigating the tuning job results

Now that the job is complete, there should be 10 completed jobs. One of the jobs should be marked as the best.

You can examine the metrics by getting *HyperparameterTuningJobAnalytics* and loading that data into a pandas DataFrame.

```
In [12]: from pprint import pprint
from sagemaker.analytics import HyperparameterTuningJobAnalytics

tuner_analytics = HyperparameterTuningJobAnalytics(tuner.latest_tuning_job.nam

df_tuning_job_analytics = tuner_analytics.dataframe()

# Sort the tuning job analytics by the final metrics value

df_tuning_job_analytics.sort_values(
    by=['FinalObjectiveValue'],
    inplace=True,
    ascending=False if tuner.objective_type == "Maximize" else True)

# Show detailed analytics for the top 20 models

df_tuning_job_analytics.head(20)
```

Out[12]:		alpha	eta	min_child_weight	num_round	subsample	
	0	0.000000	0.159088	1.270421	1.0	0.884939	xgboost-2508
	5	0.351654	0.116188	2.400084	49.0	0.951265	xgboost-2508
	8	5.995956	0.155257	4.723835	27.0	0.790131	xgboost-2508
	1	18.054982	0.113158	3.658336	47.0	0.912227	xgboost-2508]
	2	42.090130	0.131032	2.746126	7.0	0.699886	xgboost-2508:
	7	32.290851	0.200187	4.885146	11.0	0.743606	xgboost-2508
	9	21.777339	0.204754	4.621336	46.0	0.961339	xgboost-25081
	3	79.261612	0.150812	1.000000	32.0	0.865906	xgboost-2508
	4	100.000000	0.100000	3.226191	43.0	0.510394	xgboost-2508
	6	55.185064	0.277781	4.767605	16.0	0.529792	xgboost-25081

You should be able to see the hyperparameters that were used for each job, along with the score. You could use those parameters and create a model, or you can get the best model from the hyperparameter tuning job.

```
In [13]: attached_tuner = HyperparameterTuner.attach(tuner.latest_tuning_job.name, sage
    best_training_job = attached_tuner.best_training_job()
```

Now, you must attach to the best training job and create the model.

```
In [14]: from sagemaker.estimator import Estimator
algo_estimator = Estimator.attach(best_training_job)

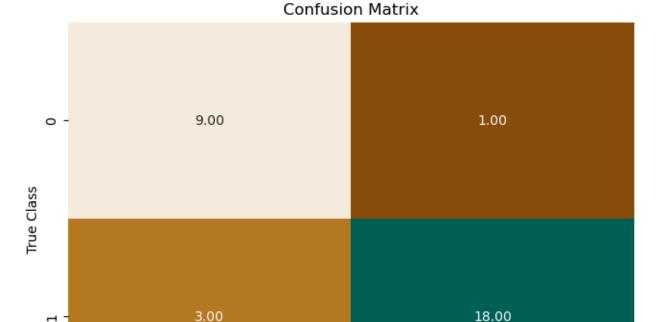
best_algo_model = algo_estimator.create_model(env={'SAGEMAKER_DEFAULT_INVOCATI}

2025-08-15 17:04:03 Starting - Found matching resource for reuse
2025-08-15 17:04:03 Downloading - Downloading the training image
2025-08-15 17:04:03 Training - Training image download completed. Training in p rogress.
2025-08-15 17:04:03 Uploading - Uploading generated training model
2025-08-15 17:04:03 Completed - Resource retained for reuse
```

Then, you can use the transform method to perform a batch prediction by using your testing data. Remember that the testing data is data that the model has

never seen before.

```
In [15]: %time
         batch_output = "s3://{}/{}/batch-out/".format(bucket,prefix)
         batch input = "s3://{}/{}/batch-in/{}".format(bucket,prefix,batch X file)
         xgb transformer = best algo model.transformer(instance count=1,
                                                 instance type='ml.m4.xlarge',
                                                 strategy='MultiRecord',
                                                 assemble with='Line',
                                                 output path=batch output)
         xgb transformer.transform(data=batch input,
                                   data type='S3Prefix',
                                   content_type='text/csv',
                                   split type='Line')
         xgb transformer.wait(logs=False)
       INFO:sagemaker:Creating model with name: sagemaker-xgboost-2025-08-15-17-04-2
        INFO:sagemaker:Creating transform job with name: sagemaker-xgboost-2025-08-15-1
       7-04-24-322
        . . !
       CPU times: user 760 ms, sys: 35.6 ms, total: 795 ms
       Wall time: 6min 58s
         Get the predicted target and the test labels of the model.
In [16]: s3 = boto3.client('s3')
         obj = s3.get object(Bucket=bucket, Key="{}/batch-out/{}".format(prefix,'batch-
         best target predicted = pd.read csv(io.BytesIO(obj['Body'].read()),names=['cla
         def binary convert(x):
             threshold = 0.5
             if x > threshold:
                 return 1
             else:
                 return 0
         best target predicted binary = best target predicted['class'].apply(binary con
         test labels = test.iloc[:,0]
         Plot a confusion matrix for your best target predicted and test labels.
In [17]: plot confusion matrix(test labels, best target predicted binary)
```



Plot the ROC chart.

## In [18]: plot\_roc(test\_labels, best\_target\_predicted\_binary)

Predicted Class

1

Sensitivity or TPR: 85.71428571428571%

0

Specificity or TNR: 90.0% Precision: 94.73684210526315% Negative Predictive Value: 75.0%

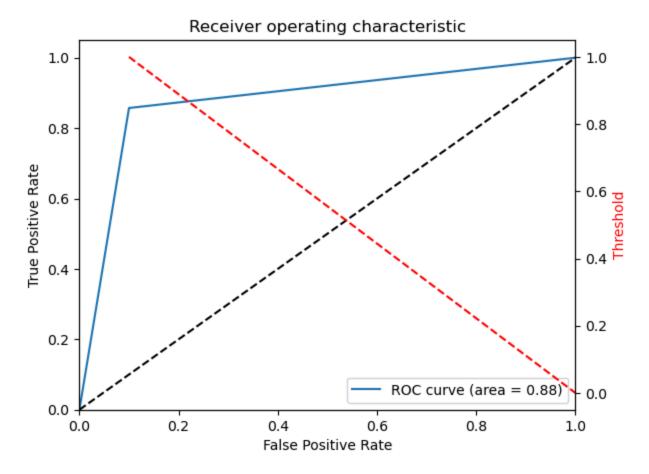
False Positive Rate: 10.0%

False Negative Rate: 14.285714285714285% False Discovery Rate: 5.263157894736842%

Accuracy: 87.09677419354838% Validation AUC 0.8785714285714286

```
- Traceback (most recent call last) —
in <module>:1
) 1 plot roc(test labels, best target predicted binary)
in plot roc:49
/home/ec2-user/anaconda3/envs/python3/lib/python3.10/site-packages/matple
in set vlim
  4049
                   if top is not None:
  4050
                       raise TypeError("Cannot pass both 'top' and 'ymax
  4051
                   top = ymax
) 4052
               return self.yaxis. set lim(bottom, top, emit=emit, auto=au
  4053
           get_yscale = _axis_method wrapper("yaxis", "get scale")
  4054
  4055
           set_yscale = _axis_method_wrapper("yaxis", "_set_axes_scale")
/home/ec2-user/anaconda3/envs/python3/lib/python3.10/site-packages/matple
_set_lim
  1214
               self.axes. process unit info([(name, (v0, v1))], convert=
  1215
  1216
               v0 = self.axes. validate converted limits(v0, self.conver
               v1 = self.axes. validate converted limits(v1, self.conver
) 1217
  1218
  1219
               if v0 is None or v1 is None:
  1220
                   # Axes init calls set xlim(0, 1) before get xlim() car
/home/ec2-user/anaconda3/envs/python3/lib/python3.10/site-packages/matple
in validate converted limits
                       converted limit = converted limit.squeeze()
  3736
  3737
                   if (isinstance(converted limit, Real)
  3738
                           and not np.isfinite(converted limit)):
                       raise ValueError("Axis limits cannot be NaN or In-
) 3739
  3740
                   return converted limit
  3741
  3742
           def set xlim(self, left=None, right=None, *, emit=True, auto=
```

ValueError: Axis limits cannot be NaN or Inf



**Question:** How do these results differ from the original? Are these results better or worse?

You might not always see an improvement. There are a few reasons for this result:

- The model might already be good from the initial pass (what counts as *good* is subjective).
- You don't have a large amount of data to train with.
- You are using a *subset* of the hyperparameter tuning ranges to save time in this lab.

Increasing the hyperparameter ranges (as recommended by the documentation) and running more than 30 jobs will typically improve the model. However, this process will take 2-3 hours to complete.

## Congratulations!

You have completed this lab, and you can now end the lab by following the lab guide instructions.