

# Theoretical Computer Science

## Tutorial Week 4

Prof. Andrey Frolov



## Finite State Automaton (FSA)

- **Representations of FSA**
  - Complete
  - Non-complete
- Operations on FSA
- Myhill-Nerode criteria
  - Positive Examples
  - Negative Examples

# FSA (Formal definition)

## Definition

A (complete) Finite State Automaton is a tuple  $\langle Q, \Sigma, q_0, A, \delta \rangle$ , where

$Q$  is a finite set of *states*;

$\Sigma$  is a finite *input alphabet*;

$q_0 \in Q$  is the *initial* state;

$A \subseteq Q$  is the set of *accepting* states;

$\delta : Q \times \Sigma \rightarrow Q$  is a (total) *transition* function.

## Example (by formal definition)

$$M = \langle \{q_0, q_1\}, \{0, 1\}, \\ \{((q_0, 0), q_0), ((q_0, 1), q_1), ((q_1, 0), q_0), ((q_1, 1), q_1)\}, q_0, \{q_1\} \rangle$$

or

## Example (by formal definition)

$$M = \langle \{q_0, q_1\}, \{0, 1\}, \delta, q_0, \{q_1\} \rangle, \text{ where} \\ \delta(q_0, 0) = q_0, \delta(q_0, 1) = q_1, \delta(q_1, 0) = q_0, \delta(q_1, 1) = q_1$$

## Example of a FSA (by formal definition)

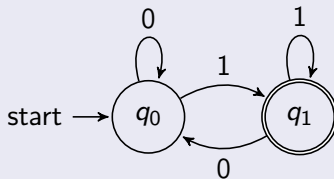
$$M = \langle$$

$\{q_0, q_1\},$	set of states
$\{0, 1\},$	input alphabet
$\{((q_0, 0), q_0), ((q_0, 1), q_1),$ $((q_1, 0), q_0), ((q_1, 1), q_1)\},$	total transition function
$q_0,$	initial state
$\{q_1\}$	set of final states

$$\rangle$$

# FSA: Graphical Representation

## State Transition Diagram



## Example (by formal definition)

$M = \langle \{q_0, q_1\}, \{0, 1\}, \delta, q_0, \{q_1\} \rangle$ , where

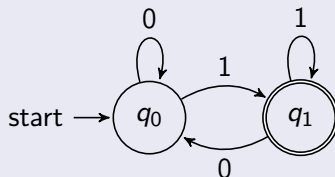
$\delta(q_0, 0) = q_0, \delta(q_0, 1) = q_1, \delta(q_1, 0) = q_0, \delta(q_1, 1) = q_1$

# FSA: Table Representation

## State Transition Table

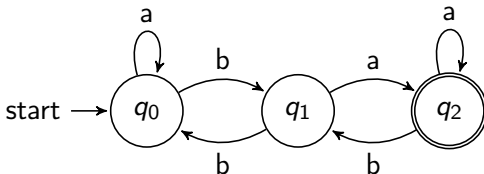
	0	1
$\rightarrow q_0$	$q_0$	$q_1$
$* q_1$	$q_0$	$q_1$

## State Transition Diagram



# State Transition Table: Example

Given an FSA as a State Transition Diagram, build a State Transition Table



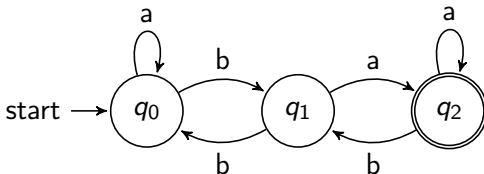
## State Transition Table

	<i>a</i>	<i>b</i>
→ <i>q</i> <sub>0</sub>		
<i>q</i> <sub>1</sub>		
* <i>q</i> <sub>2</sub>		



# State Transition Table: Example

Given an FSA as a State Transition Diagram, build a State Transition Table

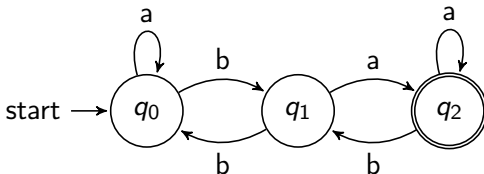


## State Transition Table

	a	b
→ q <sub>0</sub>	q <sub>0</sub>	
q <sub>1</sub>		
* q <sub>2</sub>		

# State Transition Table: Example

Given an FSA as a State Transition Diagram, build a State Transition Table

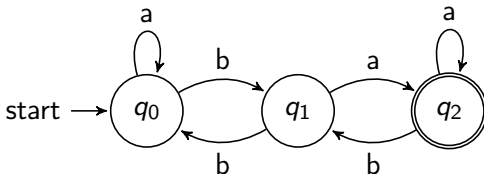


## State Transition Table

	<i>a</i>	<i>b</i>
→ <i>q</i> <sub>0</sub>	<i>q</i> <sub>0</sub>	<i>q</i> <sub>1</sub>
<i>q</i> <sub>1</sub>		
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# State Transition Table: Example

Given an FSA as a State Transition Diagram, build a State Transition Table

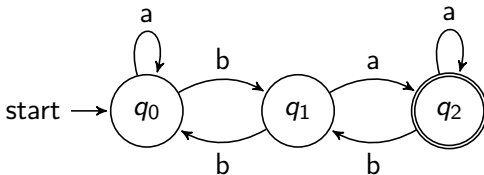


## State Transition Table

	<i>a</i>	<i>b</i>
→ <i>q</i> <sub>0</sub>	<i>q</i> <sub>0</sub>	<i>q</i> <sub>1</sub>
<i>q</i> <sub>1</sub>	<i>q</i> <sub>2</sub>	
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# State Transition Table: Example

Given an FSA as a State Transition Diagram, build a State Transition Table

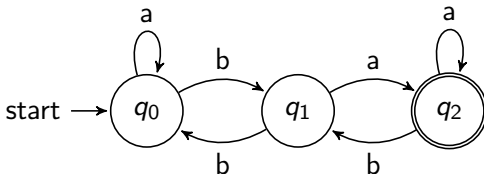


## State Transition Table

	<i>a</i>	<i>b</i>
→ <i>q</i> <sub>0</sub>	<i>q</i> <sub>0</sub>	<i>q</i> <sub>1</sub>
<i>q</i> <sub>1</sub>	<i>q</i> <sub>2</sub>	<i>q</i> <sub>0</sub>
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# State Transition Table: Example

Given an FSA as a State Transition Diagram, build a State Transition Table

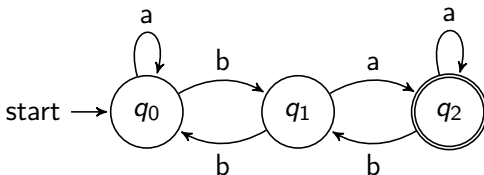


## State Transition Table

	<i>a</i>	<i>b</i>
→ <i>q</i> <sub>0</sub>	<i>q</i> <sub>0</sub>	<i>q</i> <sub>1</sub>
<i>q</i> <sub>1</sub>	<i>q</i> <sub>2</sub>	<i>q</i> <sub>0</sub>
* <i>q</i> <sub>2</sub>	<i>q</i> <sub>2</sub>	

# State Transition Table: Example

Given an FSA as a State Transition Diagram, build a State Transition Table



## State Transition Table

	<i>a</i>	<i>b</i>
→ <i>q</i> <sub>0</sub>	<i>q</i> <sub>0</sub>	<i>q</i> <sub>1</sub>
<i>q</i> <sub>1</sub>	<i>q</i> <sub>2</sub>	<i>q</i> <sub>0</sub>
* <i>q</i> <sub>2</sub>	<i>q</i> <sub>2</sub>	<i>q</i> <sub>1</sub>

## Finite State Automaton (FSA)

- Representations of FSA
  - Complete
  - **Non-complete**
- Operations on FSA
- Myhill-Nerode criteria
  - Positive Examples
  - Negative Examples

# FSA (Formal definition)

## Definition

A Finite State Automaton is a tuple  $\langle Q, \Sigma, q_0, A, \delta \rangle$ , where

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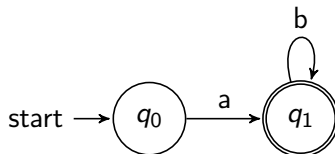
$A \subseteq Q$  is the set of *accepting* states;

$\delta : Q \times \Sigma \rightarrow Q$  is a **partial** *transition* function.



# Non-complete FSA

If a FSA is not complete?

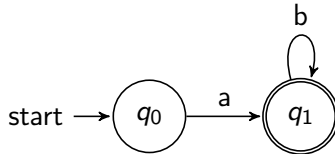


State Transition Table

	$a$	$b$
$\rightarrow q_0$	$q_1$	
$* q_1$		$q_1$

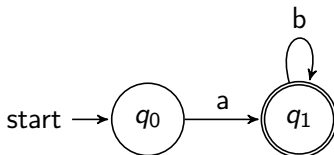
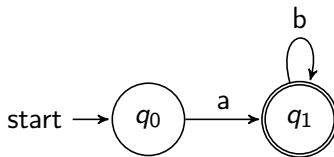
# Non-complete FSA

If a FSA is not complete?



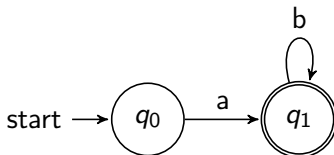
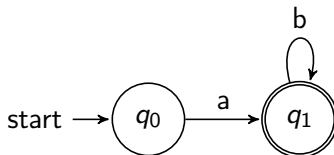
# Non-complete FSA

If a FSA is not complete?



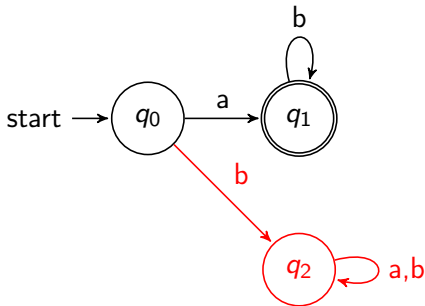
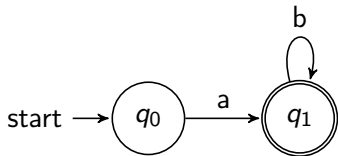
# Non-complete FSA

If a FSA is not complete?



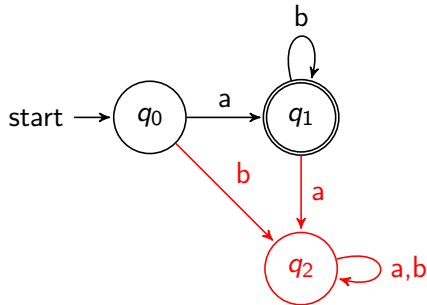
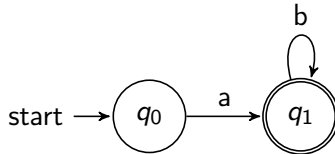
# Non-complete FSA

If a FSA is not complete?



# Non-complete FSA

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## Finite State Automaton (FSA)

- Representations of FSA
  - Complete
  - Non-complete
- **Operations on FSA**
- Myhill-Nerode criteria
  - Positive Examples
  - Negative Examples

## Definition

A language is called regular, if it is recognized by a FSA.



## Problem

If we have an algorithm to accept  $L$ , how can we formulate an algorithm to accept  $L^c$ ?

## Problem

Suppose  $L_1$  and  $L_2$  are both languages over the alphabet  $A$ .

If we have one algorithm to accept  $L_1$  and another to accept  $L_2$ , how can we formulate an algorithm to accept  $L_1 \cap L_2$ ? (similarly,  $L_1 \cup L_2$  or  $L_1 \setminus L_2$ ).

## Problem

Suppose  $M = (Q^1, A, \delta^1, q_0^1, F^1)$  is a finite automaton accepting  $L$ .

What is an automaton which accepts  $L^c$ ?

## Problem

Suppose  $M^1 = (Q^1, A, \delta^1, q_0^1, F^1)$  and  $M^2 = (Q^2, A, \delta^2, q_0^2, F^2)$  are finite automata accepting  $L_1$  and  $L_2$ , respectively.

What is an automaton which accepts  $L_1 \cap L_2$ ?  
(similarly,  $L_1 \cup L_2$ ,  $L_1 \setminus L_2$ )?

## Finite State Automaton (FSA)

- Representations of FSA
  - Complete
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- Operations on FSA
  - **Complement**
  - Intersection
  - Union
  - Difference
- Myhill-Nerode criteria
  - Positive Examples
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Suppose  $M = (Q, A, \delta, q_0, F)$  is a **complete** FSA accepting  $L$ .

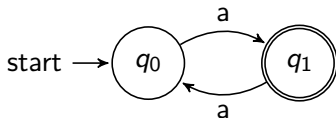
The automaton  $M^c = (Q, A, \delta, q_0, F^c)$  accepts the language  $L^c$ .

Recall that

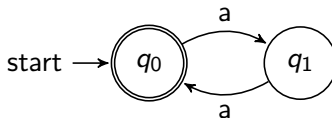
$$F^c = Q \setminus F$$

# Complement: Example

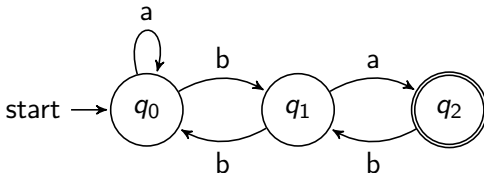
$$M = \langle \{q_0, q_1\}, \{a\}, \\ \{((q_0, a), q_1), ((q_1, a), q_0)\}, \\ q_0, \{\textcolor{red}{q_1}\} \rangle$$



$$M^c = \langle \{q_0, q_1\}, \{a\}, \\ \{((q_0, a), q_1), ((q_1, a), q_0)\}, \\ q_0, \{\textcolor{red}{q_0}\} \rangle$$

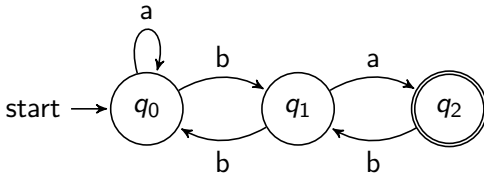


# Complement: Example

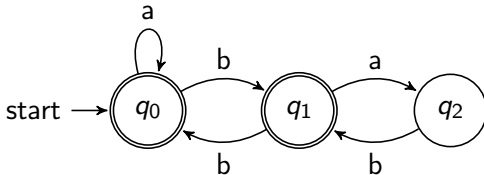


What would be the complement  $M^c$ ?

# Complement: Example

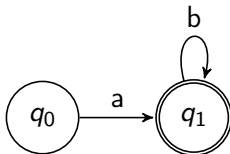


What would be the complement  $M^c$ ?



# Complement: Example

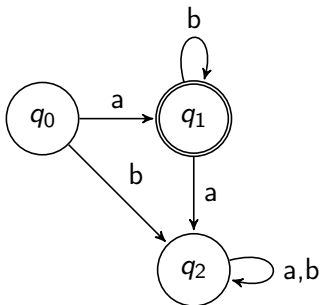
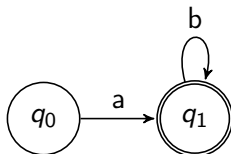
If a FSA is not complete?





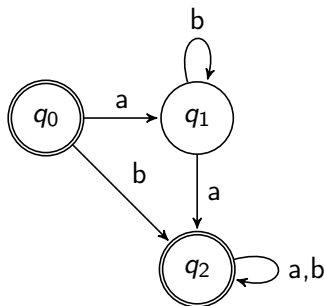
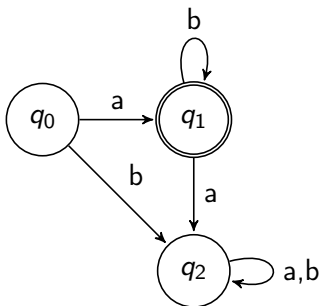
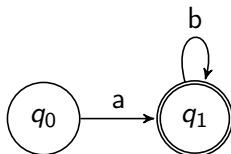
# Complement: Example

If a FSA is not complete?



# Complement: Example

If a FSA is not complete?



## Finite State Automaton (FSA)

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# Intersection

Suppose  $M^1 = (Q^1, A, \delta^1, q_0^1, F^1)$  and  $M^2 = (Q^2, A, \delta^2, q_0^2, F^2)$  are finite automata accepting  $L_1$  and  $L_2$ , respectively.

$$Q = Q^1 \times Q^2$$

$$A$$

$$q_0 = (q_0^1, q_0^2)$$

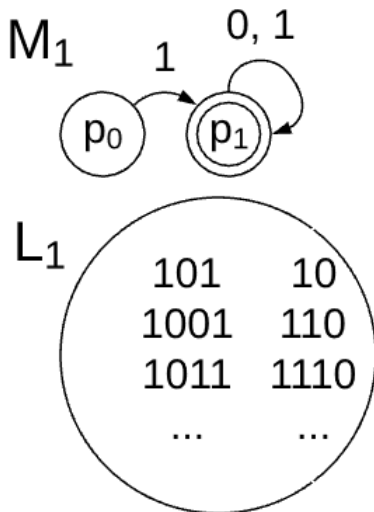
$$\delta((q, p), a) = (\delta^1(q, a), \delta^2(p, a))$$

$$F = \{(q, p) \in Q^1 \times Q^2 \mid q \in F^1 \text{ \& } p \in F^2\}$$

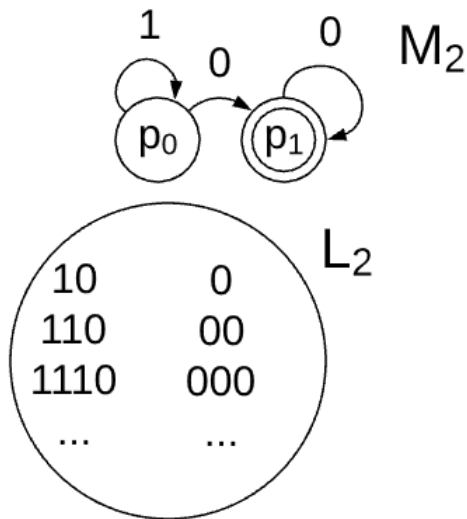
The automaton  $M = (Q, A, \delta, q_0, F)$  accepts the language  $L_1 \cap L_2$ .

$$M = M_1 \cap M_2$$

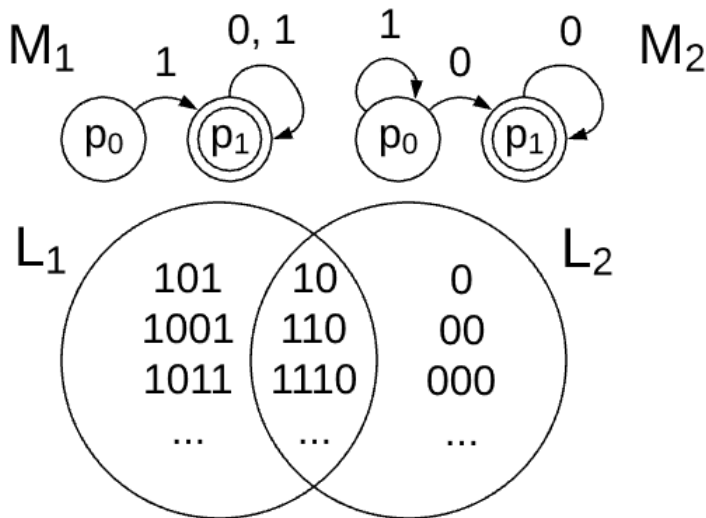
# Intersection: Example 1



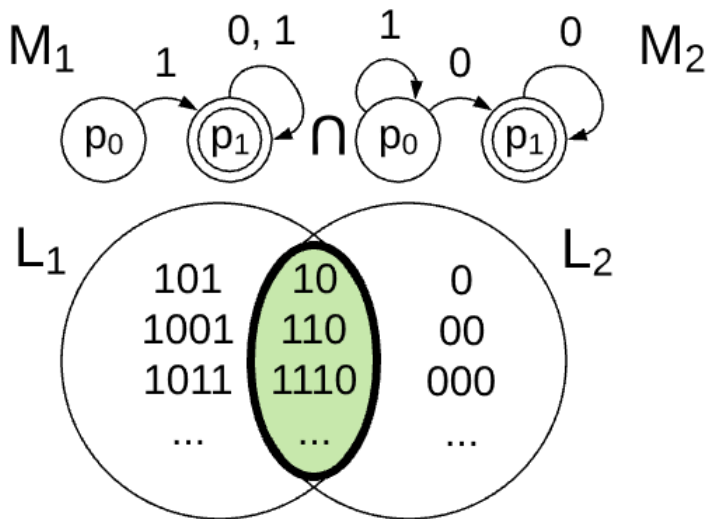
# Intersection: Example 1



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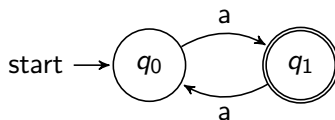
# Intersection: Example 1





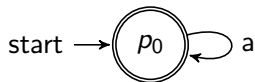
## Intersection: Example 2

$$M^1 = \langle \{q_0, q_1\}, \{a\}, \\ \{((q_0, a), q_1), ((q_1, a), q_0)\}, \\ q_0, \{q_1\} \rangle$$



## Intersection: Example 2

$$M^2 = \langle \{p_0\}, \{a\}, \\ \{((p_0, a), p_0)\}, \\ p_0, \{p_0\} \rangle$$



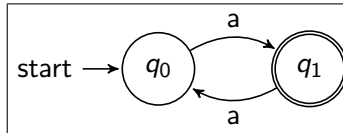
## Intersection: Example 2

$$M^1 = \langle \{q_0, q_1\}, \{a\}, \\ \{((q_0, a), q_1), ((q_1, a), q_0)\}, \\ q_0, \{q_1\} \rangle$$

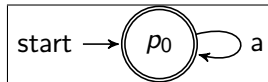
$$M^2 = \langle \{p_0\}, \{a\}, \\ \{((p_0, a), p_0)\}, \\ p_0, \{p_0\} \rangle$$

$$(M^1 \cap M^2) = \langle \{(q_0, p_0), (q_1, p_0)\}, \{a\}, \\ \left\{ \left( ((q_0, p_0), a), (q_1, p_0) \right), \left( ((q_1, p_0), a), (q_0, p_0) \right) \right\}, \\ (q_0, p_0), \{(q_1, p_0)\} \rangle$$

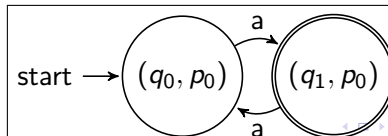
# Intersection: Example 2



$\cap$

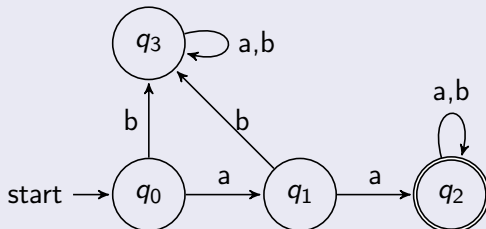


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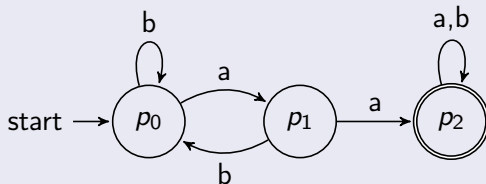


# Intersection: Example 3

$M_1$

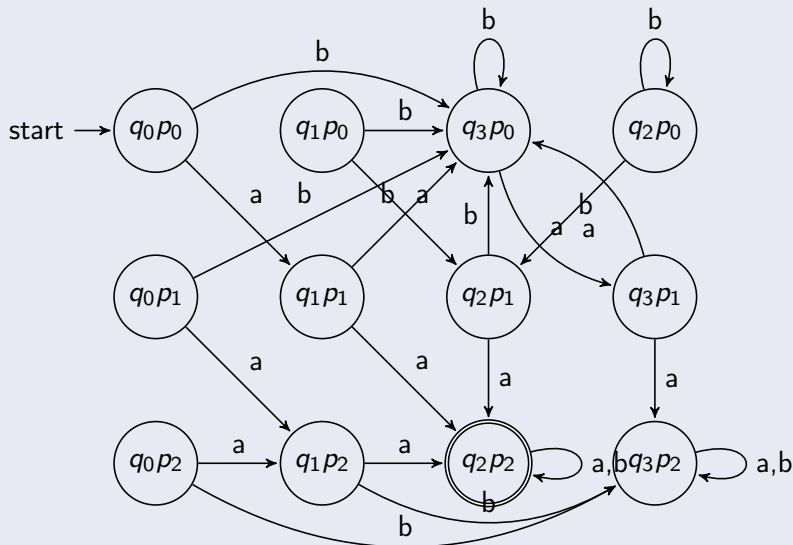


$M_2$



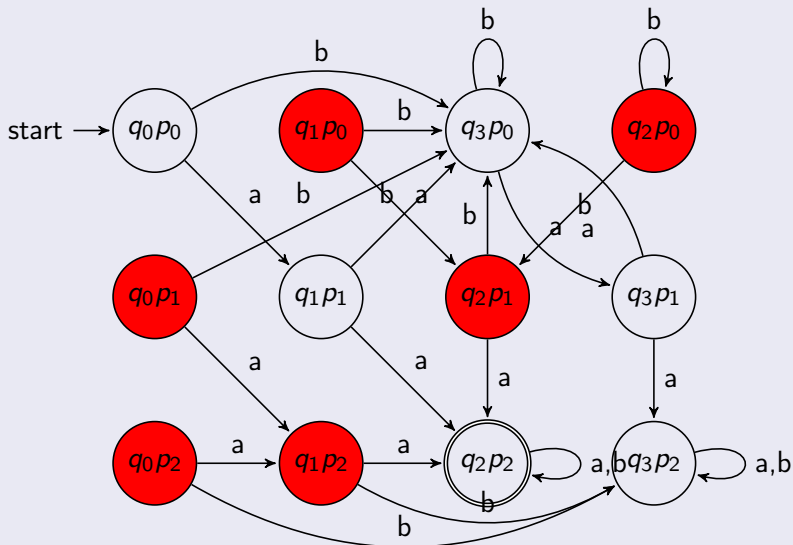
# Intersection: Example 3

$M_1 \cap M_2$



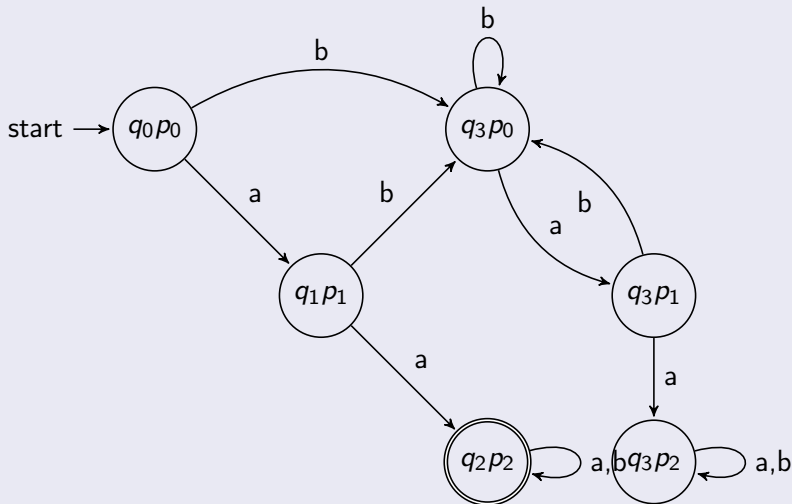
# Intersection: Example 3

$M_1 \cap M_2$



# Intersection: Example 3

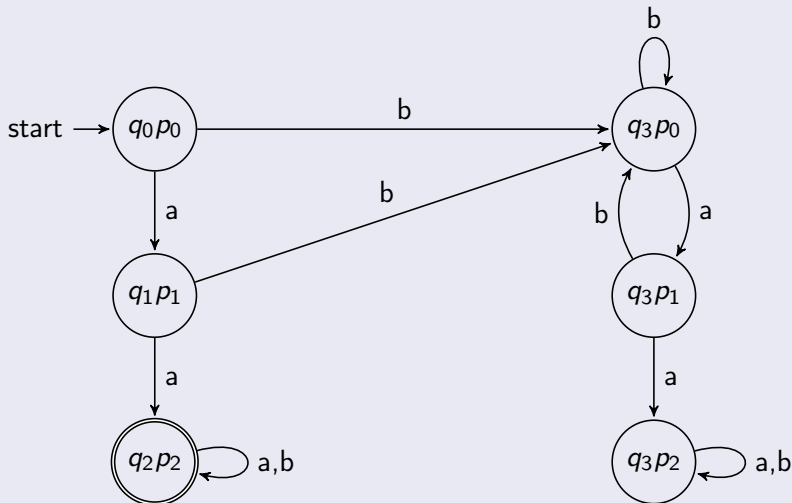
$M_1 \cap M_2$





# Intersection: Example 3

$M_1 \cap M_2$



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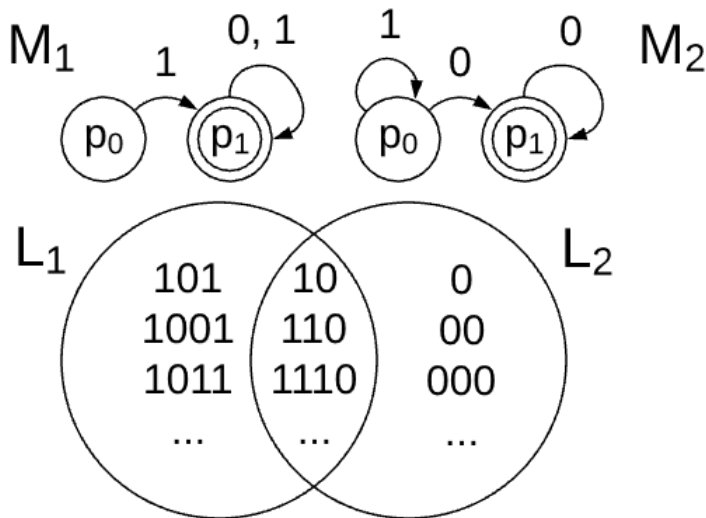
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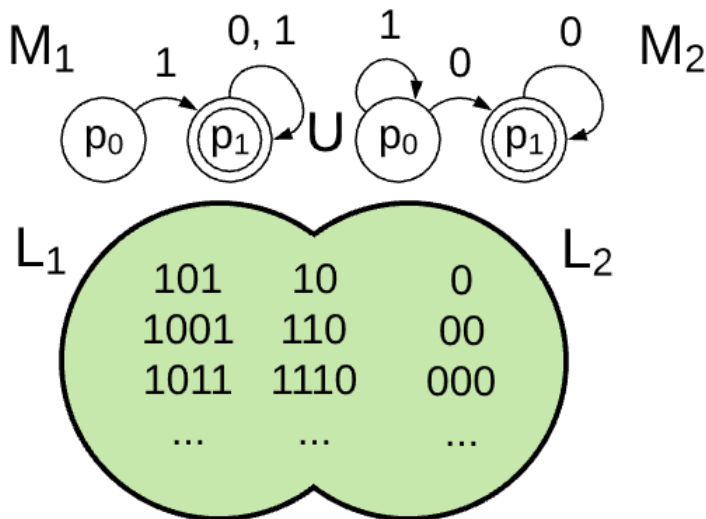
The automaton  $M = (Q, A, \delta, q_0, F)$  accepts the language  $L_1 \cup L_2$ .

$$M = M_1 \cup M_2$$

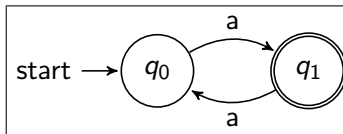
# Union: Example 1



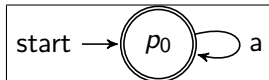
# Union: Example 1



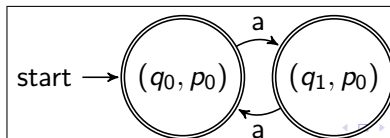
## Union: Example 2



$\cup$

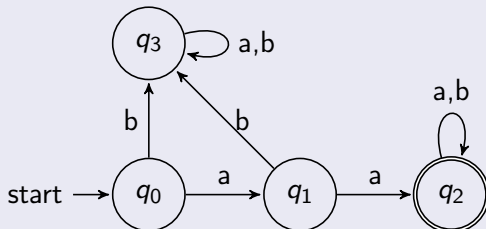


$=$

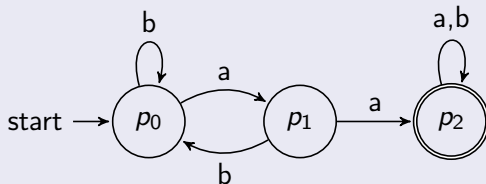


# Union: Example 3

$M_1$

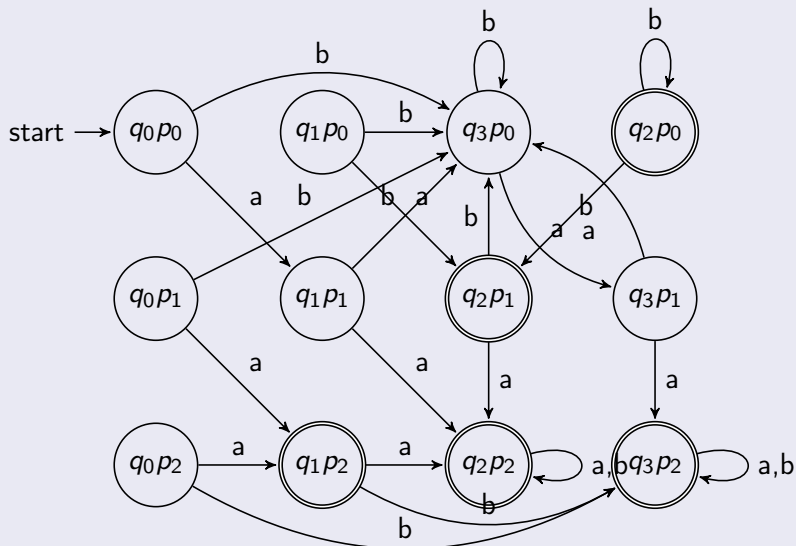


$M_2$



# Union: Example 3

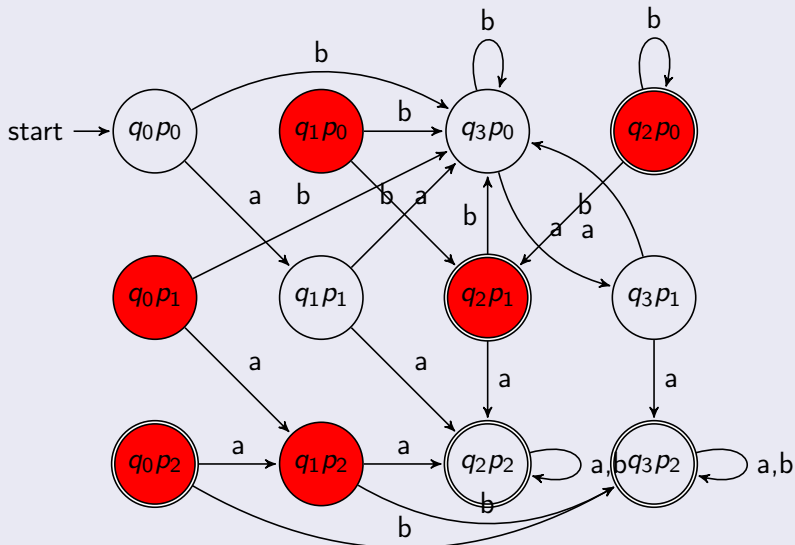
$M_1 \cup M_2$





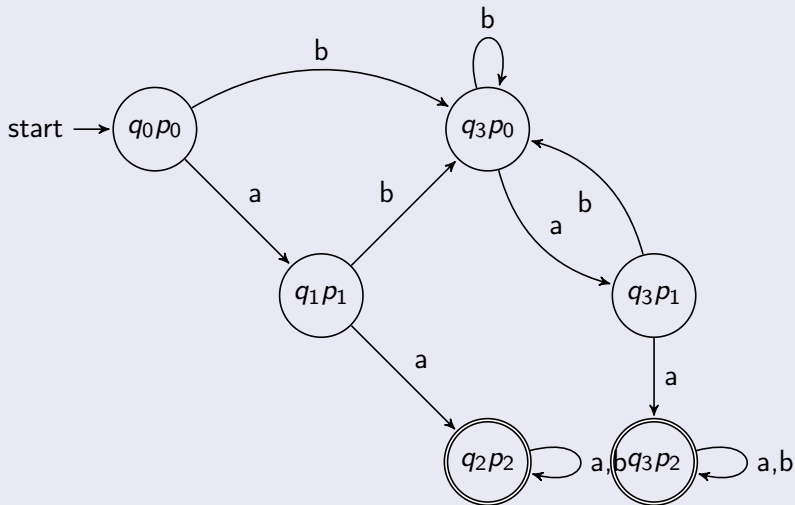
# Union: Example 3

$M_1 \cup M_2$



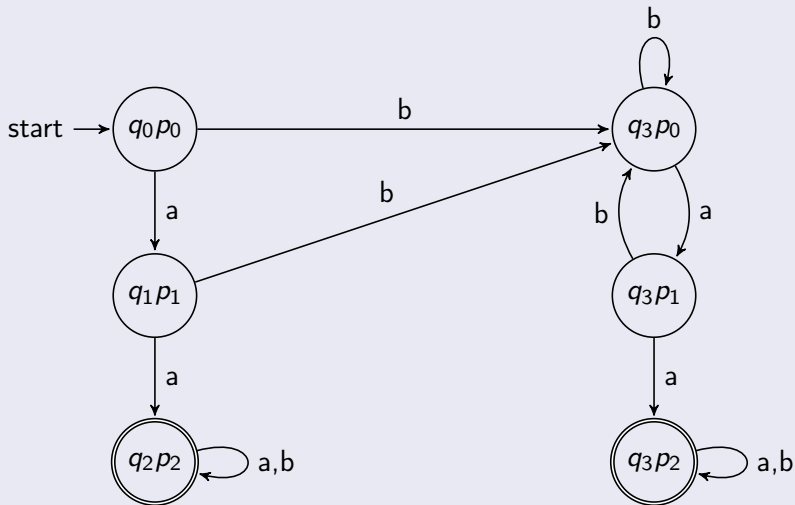
# Union: Example 3

$M_1 \cup M_2$



# Union: Example 3

$M_1 \cup M_2$



## Finite State Automaton (FSA)

- Representations of FSA
  - Complete
  - Non-complete
- Operations on FSA
  - Complement
  - Intersection
  - Union
  - **Difference**
- Myhill-Nerode criteria
  - Positive Examples
  - Negative Examples

# Difference

Suppose  $M^1 = (Q^1, A, \delta^1, q_0^1, F^1)$  and  $M^2 = (Q^2, A, \delta^2, q_0^2, F^2)$  are finite automata accepting  $L_1$  and  $L_2$ , respectively.

$$Q = Q^1 \times Q^2$$

$$A$$

$$q_0 = (q_0^1, q_0^2)$$

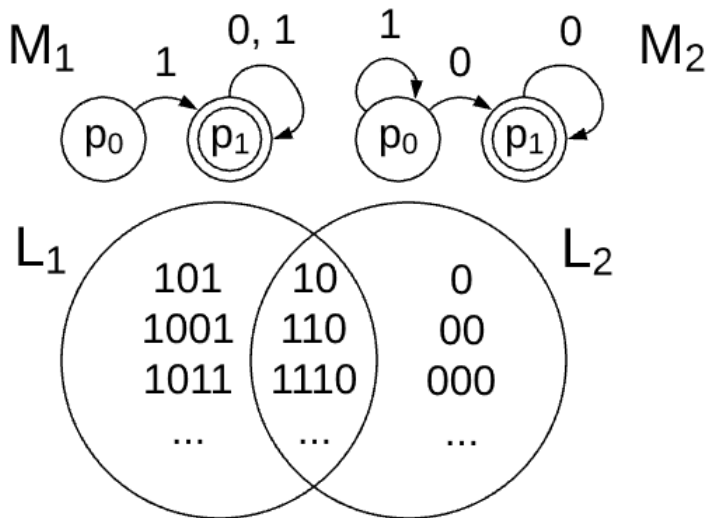
$$\delta((q, p), a) = (\delta^1(q, a), \delta^2(p, a))$$

$$F = \{(q, p) \in Q^1 \times Q^2 \mid q \in F^1 \text{ \& } p \notin F^2\}$$

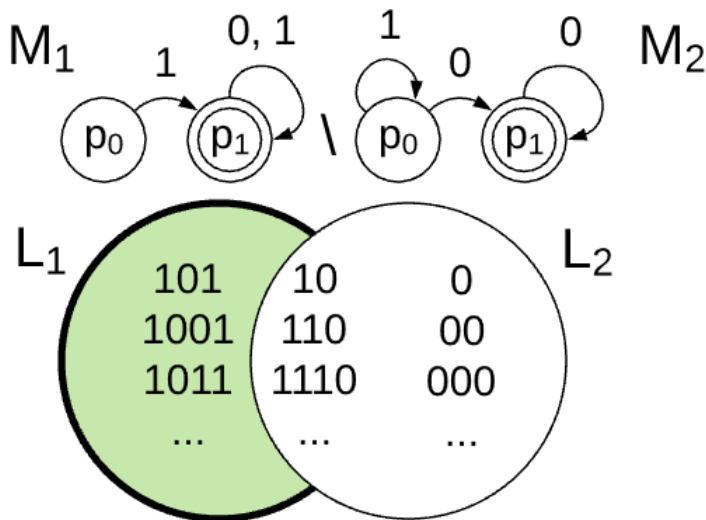
The automaton  $M = (Q, A, \delta, q_0, F)$  accepts the language  $L_1 \setminus L_2$ .

$$M = M_1 \setminus M_2$$

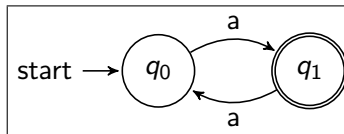
# Difference (Example 1)



# Difference (Example 1)



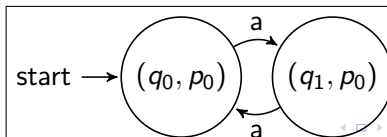
# Difference (Example 2 $L_1 \setminus L_2$ )



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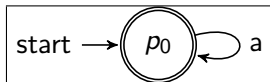


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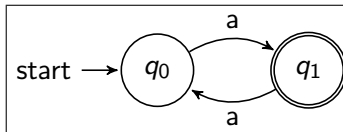




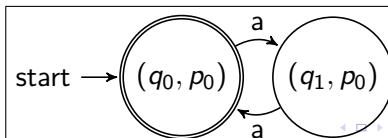
# Difference (Example 3 $L_2 \setminus L_1$ )



$\setminus$



$=$



## Finite State Automaton (FSA)

- Representations of FSA
  - Complete
  - Non-complete
- Operations on FSA
- **Myhill-Nerode criteria**
  - Positive Examples
  - Negative Examples

# Myhill-Nerode criteria

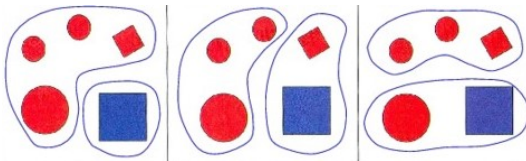
For a language  $L$  over an alphabet  $A$ ,

$$s_1 \equiv_L s_2 \Leftrightarrow (\forall t \in A^*) (s_1 t \in L \leftrightarrow s_2 t \in L)$$

$\equiv_L$  is an equivalence relation

# Myhill-Nerode criteria

What are equivalence relations in general?



# Myhill-Nerode criteria

For a language  $L$  over an alphabet  $A$ ,

$$s_1 \not\equiv_L s_2 \Leftrightarrow (\exists t \in A^*) [(s_1 t \notin L \& s_2 t \in L) \vee (s_1 t \in L \& s_2 t \notin L)]$$

$t$  is called a **distinguishing extension**.

## Myhill-Nerode theorem

A language  $L$  is regular iff  $\equiv_L$  has a finite number of equivalent classes.

## Finite State Automaton (FSA)

- Representations of FSA
  - Complete
  - Non-complete
- Operations on FSA
- Myhill-Nerode criteria
  - **Positive Examples**
  - Negative Examples

# Myhill-Nerode method. Examples

$$s_1 \not\equiv_L s_2 \Leftrightarrow (\exists t \in A^*) [(s_1 t \notin L \& s_2 t \in L) \vee (s_1 t \in L \& s_2 t \notin L)]$$

Example 1:  $L_1 = \{0x \mid x \in \Sigma^*\}$ , where  $\Sigma = \{0, 1\}$



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1.  $\epsilon$

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1.  $\epsilon$
2. 0:  $0 \not\equiv_{L_1} \epsilon$ , since  $0 \cdot \epsilon \in L_1 \& \epsilon \cdot \epsilon \notin L_1$  (a disting. ext. is  $\epsilon$ )

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3. 1:



# Myhill-Nerode method. Examples

$$s_1 \not\equiv_L s_2 \Leftrightarrow (\exists t \in A^*) [(s_1 t \notin L \& s_2 t \in L) \vee (s_1 t \in L \& s_2 t \notin L)]$$

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3. 1:  
 $1 \not\equiv_{L_1} 0$ , since  $1 \notin L_1, 0 \in L_1$

# Myhill-Nerode method. Examples

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# Myhill-Nerode method. Examples

$$s_1 \not\equiv_L s_2 \Leftrightarrow (\exists t \in A^*) [(s_1 t \notin L \& s_2 t \in L) \vee (s_1 t \in L \& s_2 t \notin L)]$$

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$\epsilon, 0, 1, 00, 01, 10, 11, \dots$

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3.  $1$ :
  - $1 \not\equiv_{L_1} 0$ , since  $1 \notin L_1, 0 \in L_1$
  - $1 \not\equiv_{L_1} \epsilon$ , since  $1 \cdot 0 \notin L_1, \epsilon \cdot 0 \in L_1$  (a distinguishing ext. is  $0$ )
4.  $0t \equiv_{L_1} 0$

# Myhill-Nerode method. Examples

$$s_1 \not\equiv_L s_2 \Leftrightarrow (\exists t \in A^*) [(s_1 t \notin L \& s_2 t \in L) \vee (s_1 t \in L \& s_2 t \notin L)]$$

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5.  $1t \equiv_{L_1} 1$

# Myhill-Nerode method. Examples

Example 1:  $L_1 = \{0x \mid x \in \Sigma^*\}$

$[\epsilon] = \{\epsilon\}$ ,  $[0] = \{0x \mid x \in \Sigma^*\} = L_1$ ,  $[1] = \{1x \mid x \in \Sigma^*\}$

$\delta$	0	1
$\rightarrow [\epsilon]$		
$*[0]$		
$[1]$		

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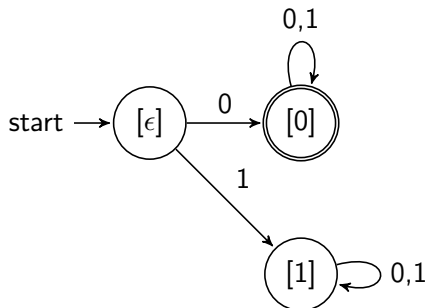
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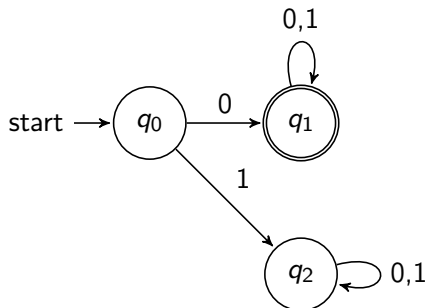
# Myhill-Nerode method. Examples

Example 1:  $L_1 = \{0x \mid x \in \Sigma^*\}$



# Myhill-Nerode method. Examples

Example 1:  $L_1 = \{0x \mid x \in \Sigma^*\}$



# Myhill-Nerode method. Examples

$$s_1 \not\equiv_L s_2 \Leftrightarrow (\exists t \in A^*) [(s_1 t \notin L \ \& \ s_2 t \in L) \vee (s_1 t \in L \ \& \ s_2 t \notin L)]$$

Example 2:  $L_2 = \{x00 \mid x \in \Sigma^*\}$

1.  $\epsilon$

# Myhill-Nerode method. Examples

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2. 0:  $0 \not\equiv_{L_2} \epsilon$ , since  $00 \in L_2$  &  $\epsilon 0 = 0 \notin L_2$  (a disting. ext. is 0)

# Myhill-Nerode method. Examples

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# Myhill-Nerode method. Examples

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4. 00:

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3. 1:  $1 \equiv_{L_2} \epsilon$ ,  $t1 \equiv_{L_2} \epsilon$
4. 00:  
 $00 \not\equiv_{L_2} \epsilon$ , since  $00 \in L_2 \& \epsilon \notin L_2$  (a distinguishing ext. is  $\epsilon$ )  
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# Myhill-Nerode method. Examples

Example 2:  $L_2 = \{x00 \mid x \in \Sigma^*\}$

$$[\epsilon] = \{\epsilon\} \cup \{x1 \mid x \in \Sigma^*\}, [0] = \{x10 \mid x \in \Sigma^*\}, \\ [00] = \{x00 \mid x \in \Sigma^*\} = L_2$$

$\delta$	0	1
$\rightarrow [\epsilon]$		
$[0]$		
$*[00]$		

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$[0]$	$[00]$	$[\epsilon]$
$*[00]$	$[00]$	

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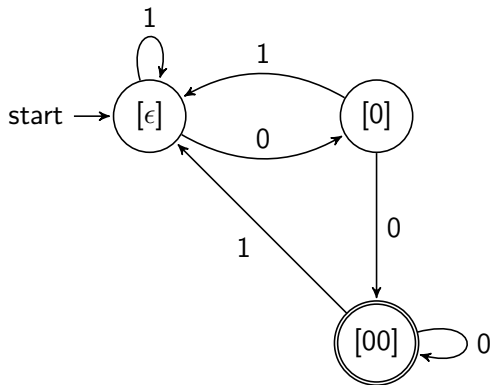
Example 2:  $L_2 = \{x00 \mid x \in \Sigma^*\}$

$$[\epsilon] = \{\epsilon\} \cup \{x1 \mid x \in \Sigma^*\}, [0] = \{x10 \mid x \in \Sigma^*\}, \\ [00] = \{x00 \mid x \in \Sigma^*\} = L_2$$

$\delta$	0	1
$\rightarrow [\epsilon]$	$[0]$	$[\epsilon]$
$[0]$	$[00]$	$[\epsilon]$
$*[00]$	$[00]$	$[\epsilon]$

# Myhill-Nerode method. Examples

Example 2:  $L_2 = \{x00 \mid x \in \Sigma^*\}$



# Myhill-Nerode method. Examples

Example 3:  $L_3 = \{x \in \Sigma^* \mid x \text{ is a binary representation of an integer divisible by 5 and it begins with 1}\}$ , where  $\Sigma = \{0, 1\}$

1.  $[\epsilon]$
2.  $[0] = \{0x \mid x \in \Sigma^*\}$
3.  $[1] = \{x \mid \text{the remainder after dividing } x \text{ by 5 is 1}\}$
4.  $[10] = \{x \mid \text{the remainder after dividing } x \text{ by 5 is 2}\}$
5.  $[11] = \{x \mid \text{the remainder after dividing } x \text{ by 5 is 3}\}$
6.  $[100] = \{x \mid \text{the remainder after dividing } x \text{ by 5 is 4}\}$
7.  $[101] = \{x \mid x \text{ is divisible by 5}\}$

# Myhill-Nerode method. Examples

Example 3:  $L_3 = \{x \in \Sigma^* \mid x \text{ is a binary representation of an integer divisible by 5 and it begins with 1}\}$

$\delta$	0	1
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[1]		
[10]		
[11]		
[100]		
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# Myhill-Nerode method. Examples

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# Myhill-Nerode method. Examples

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# Myhill-Nerode method. Examples

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$\rightarrow [\epsilon]$	[0]	[1]
[0]	[0]	[0]
[1]	[10]	[11]
[10]	[100]	[101]
[11]	[1]	[10]
[100]	[11]	[100]
*[101]	[101]	[1]

## Finite State Automaton (FSA)

- Representations of FSA
  - Complete
  - Non-complete
- Operations on FSA
- Myhill-Nerode criteria
  - Positive Examples
  - **Negative Examples**



# Myhill-Nerode method. Examples

## Negative Example 1

$L_1 = \{a^n b^n \mid n \in \mathbb{N}\}$  is not regular.

## Proof

For  $m \neq k$ ,

$$a^m \not\equiv_{L_1} a^k,$$

since  $a^m b^k \notin L_1, a^k b^k \in L_1$  (a distinguishing ext. is  $b^k$ ).

Therefore, there are **infinity many equivalence classes**!

So,  $L_1$  is not regular.

# Myhill-Nerode method. Examples

## Negative Example 2

$L_2 = \{a^n ba^n \mid n \in \mathbb{N}\}$  is not regular.

## Proof

For  $m \neq k$ ,

$$a^m b \not\equiv_{L_2} a^k b,$$

since  $a^m ba^k \notin L_2$ ,  $a^k ba^k \in L_2$  (a distinguishing ext. is  $a^k$ ).

Therefore, there are **infinitely many equivalence classes**!

So,  $L_2$  is not regular.

Thank you for your attention!