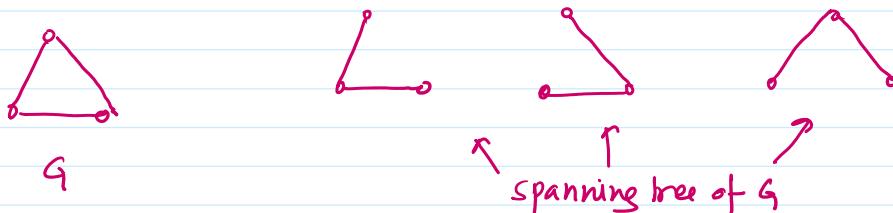


$G = (V, E)$ is undirected graph

Spanning tree (i) should include all the vertices in G ,

(ii) connected subgraph without cycle.

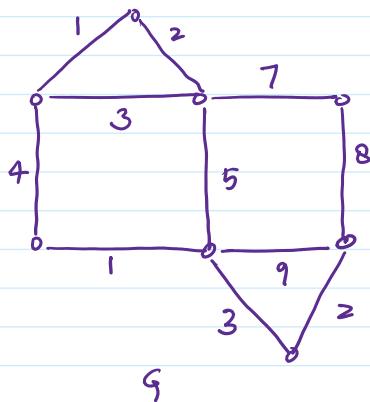
Spanning tree has $|V| - 1$ edges



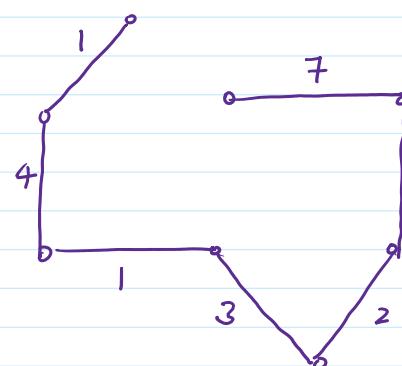
Minimum Spanning Tree

$$G = (V, E)$$

$$w: E \rightarrow \mathbb{R}^+$$



Spanning tree



Weight of spanning tree T = sum of weight of all edges in T

Minimum Spanning tree := Spanning tree of min weight.

KRUSKAL's ALGO

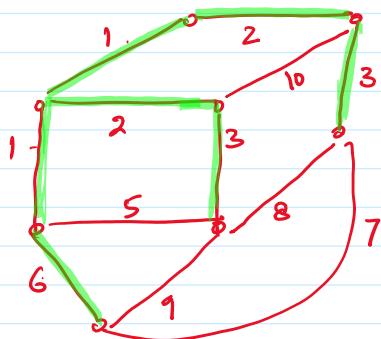
Input $G = (V, E)$, $|V| = n$, $|E| = m$, $w: E \rightarrow \mathbb{R}^+$

① Sort edges based on the ascending order of weight, (e_1, e_2, \dots, e_m)

s.t. $w(e_i) \leq w(e_{i+1})$

② $T \leftarrow \emptyset$ /* T stores edges of MST

③ for $i \leftarrow 1$ to m and while ($\# \text{edges}(T) \leq n-1$) do
if $e_i \cup T$ is a tree then
 $T \leftarrow T \cup \{e_i\}$



Proof of correctness: KRUSKAL's output MST.

Assumption: all edge wt are distinct

$$\text{KRUSKAL} \quad g_1 < g_2 < g_3 < \dots < g_i < g_{n-1} := T_K$$

$\parallel \quad \parallel \quad \parallel \quad \vdots$

$$\text{OPT} \quad f_1 < f_2 < f_3 < \dots < f_{n-1} := T_{\text{OPT}}$$

$g_i :=$ wt of i^{th} edge in Kruskal

$f_i :=$ wt \dots OPT

Suppose the set differ at first position at i^{th} position

Case I $g_i < f_i$

we take $T_{\text{OPT}} \setminus f_i \cup \{g_i\} := T'_{\text{OPT}}$

Case I.I T'_{OPT} doesn't contain cycle

$\Rightarrow T'_{\text{OPT}}$ is a spanning tree of weight less than T_{OPT}

case I. II T_{OPT} doesn't contain cycle

$\Rightarrow T'_1$ is a spanning tree of weight less or than T_{OPT}

\Rightarrow contradiction that T_{OPT} is MST.

Case I. II T_{OPT}' contains cycle

why OPT prefer t_i over g_i - the only possible reason is adding

g_i will introduce a cycle

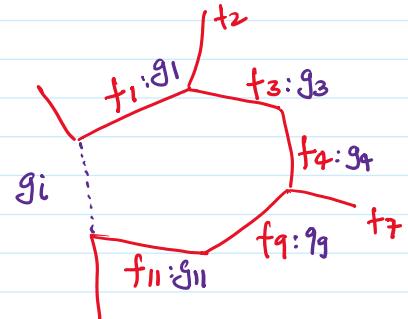
let $t_1, t_2, \dots, t_q, f_q, f_q, t_{11}$ make cycle with g_i

$\begin{matrix} & & \\ \| & \| & \| \\ g_1 & g_3 & g_4 & g_9 & g_{11} \end{matrix}$

$g_1, g_3, g_4, g_9, g_{11}, g_i$

will form cycle in Kruskal's algo

contradiction !!



Case II $g_i > f_i$

why did Kruskal not pick f_i

The only reason could be $\{g_1, \dots, g_{i-1}\} \cup f_i$ form a cycle

But $\{g_1, \dots, g_{i-1}\} \cup f_i \stackrel{\text{Identical}}{=} \{f_1, \dots, f_{i-1}\} \cup f_i$

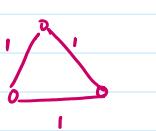
$\Rightarrow \{f_1, \dots, f_{i-1}\} \cup f_i$ also form a cycle in OPT

contradiction !!

Is MCT unique?

Yes if all edges are distinct

No D.W.

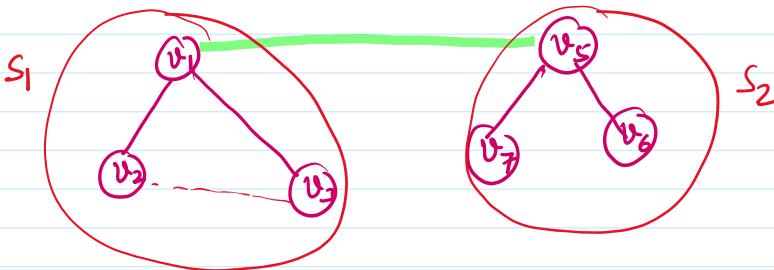


KRUSKAL'S ALGO



n connected component

- Initially we start with n connected components
- In each iteration, we add one edge that reduces # of connected component by 1
- Finally we left with one connected component - MST.



Set Union:

$$\Rightarrow S_1 = \{v_1, v_2, v_3\} \quad S_2 = \{v_5, v_6, v_7\}$$

after adding edge

$$\Rightarrow S_1 \cup S_2 = \{v_1, v_2, v_3, v_5, v_6, v_7\}$$

Set Union!

Find:

Suppose add edge $\{v_2, v_3\}$

Find(v_2, v_3) Return true if v_2, v_3 are in same set

\Rightarrow inclusion of $\{v_2, v_3\}$ form a cycle

Union Find data structure

Kruckal's Algo

① $T \leftarrow \emptyset$

② $S = \{v_1\} \cup \dots \cup \{v_n\}$

③ Sort edges in increasing order of weights

$e_{k-1} < e_m$

$O(m \log m)$

④ For $i=1$ to m and while (<# edge(T) < $n-1$)

do
}

let $e_i = (u, v)$

if ($\text{Find}(u) \neq \text{Find}(v)$)

then $T \leftarrow T \cup \{e_i\}$

Union ($\text{Find}(u), \text{Find}(v)$)

}

Union : $n-1$ \cup

Find : $O(m)$ F

Total running time

$O(m \log m + (n-1)\cup + mF)$

$= O(m \log m + n + m \cdot \log n) - O(m \log n)$

Naiive Soln

Use linked list

Union $O(1)$ time

Find $O(m)$ time

Not acceptable!!

{a} {b} {c} {d} {e} {f}

a

b

c

d

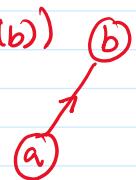
e

f

[a b c]

↓

Union ($\text{Find}(a), \text{Find}(b)$)



c d e f

{a,b} {c} {d} {e} {f}

Union ($\text{Find}(a), \text{Find}(c)$)



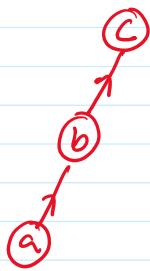
d

e

f

{a,b,c} {d} {e} {f}

$\text{Union}(\text{Find}(a), \text{Find}(c))$



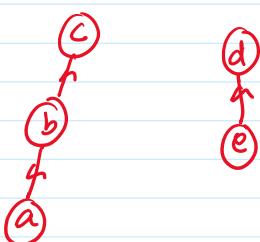
(d)

(e)

(f)

(a, b, c), (d, e, f)

$\text{Union}(\text{Find}(d), \text{Find}(e))$



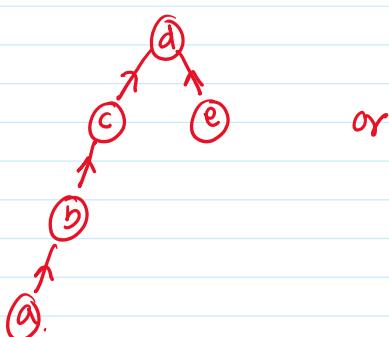
(f)

{a, b, c}

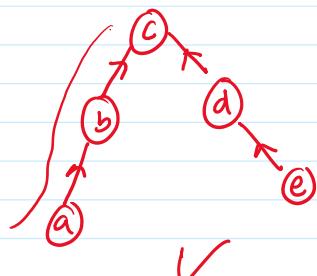
(d, e)

(f)

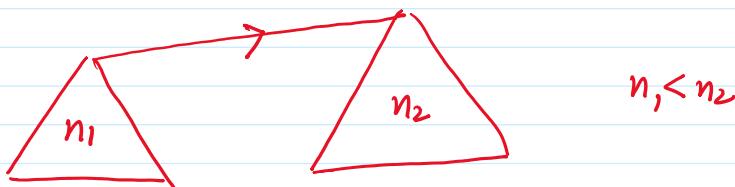
$\text{Union}(\text{Find}(a), \text{Find}(d))$



or



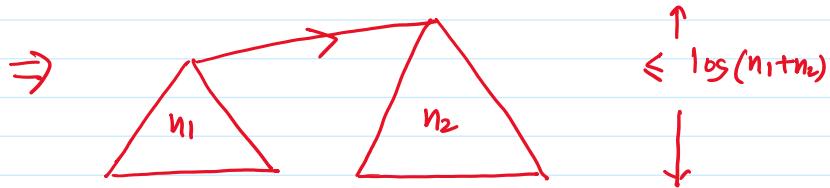
Union by rank



The A tree with n nodes has height $\leq \log n$ (if we follow union by rank procedure)

Proof Proof by induction





Suppose $n_1 < n_2$

then height of resultant tree = $\max \{ \log n_2, (\log n_1) + 1 \}$

$$\leq \log(n_1 + n_2) \leftarrow \textcircled{1} \text{ & } \textcircled{11}$$

$$\log n_2 \leq \log(n_1 + n_2) \quad \text{---} \textcircled{1}, \quad (\log n_1) + 1 \leq \log n_1 + \log\left(\frac{n_1 + n_2}{n_1}\right) \quad \frac{n_1 + n_2}{n_1} \geq 2$$

$$= \log(n_1 + n_2) \quad \text{---} \textcircled{2} \quad \log\left(\frac{n_1 + n_2}{n_1}\right) \geq 1$$