

## Coding + Theory Assignment - 4

Name: Krishnan R, Roll no: CS24BTECH11036

### Theory Questions Answers

1) i) Algorithm: Bellman-Ford algorithm can be used

Bellman-ford( $G, s$ ).

{

  for each  $v$  in  $V$ :

$d[v] \leftarrow +\infty$

  repeat {  $pred[v] \leftarrow NIL$

$converged = true$ ;

    for each  $(u, v)$  in  $E$ :

      if  $(d[u] + w(u, v) < d[v])$ :

$d[v] \leftarrow d[u] + w(u, v)$

$pred[v] \leftarrow u$

$converged = false$

    } until ( $converged$ )

  for each  $(u, v)$  in  $E$ :

    if  $(d[u] + w(u, v) < d[v])$ :

$x \leftarrow v$

      for  $i = 1$  to  $|V|$ :

$x \leftarrow pred[x]$

$cycle \leftarrow \text{empty list}$

$y \leftarrow x$

      repeat

        append  $y$  to  $cycle$

$y \leftarrow pred[y]$

      until  $y == x$

~~reverse( $cycle$ )~~

      return  $cycle$

  return "none"

}

ii) Theorem: The algo returns vertices of a directed negative weight cycle if it exists and "none" if no negative-weight cycle reachable from the chosen source.



Complexity: Time =  $O(|V| \cdot |E|)$   
 Space =  $O(|V|)$

(iii) Proof:

The algo relaxes every edge  $|V| - 1$  times through  $|V| - 1$  iterations so that if no negative cycles exist in the graph, these iterations ensure that the distance between any two vertices  $u, v$  is ~~minimum~~ the shortest possible. However, if a negative weighted cycle exists, then there exists a shorter path between  $u, v$  through the negative weighted cycle. Hence, once we enter the if condition  $d[u] + w(u, v) < d[v]$ , we search for the negative weighted cycle in that path. We set  $x$  to  $v$  and set  $x \leftarrow \text{pred}[x]$  and iteratively  $|V|$  times ensuring that the cycle is encountered atleast once in between. Then we set  $y \leftarrow x$ ,  $y \leftarrow \text{pred}[y]$  till  $y = x$  (initial value of  $y$ ) indicating we got the cycle while appending it to the empty list cycle.

Time =  $|V| - 1$  relaxations  $\times |E|$  edges + 2<sup>nd</sup> edge pass +  $O(|V|)$  to walk through cycle  $\geq O(|V| |E|)$   
 Space =  $O(|V|)$

2) i) Update MST ( $G(V, E)$ , MST  $T$ ), non MST edge  $e = (u, v)$  weight decreased to  $w'(e)$

For each  $x$  in  $V$   
 visited  $[x] \leftarrow \text{false}$   
 Parent  $[x] \leftarrow \text{NIL}$   
 ParentEdgeWeight  $[x] \leftarrow 0$

Create  $Q$

Enqueue( $Q, u$ )

visited  $[u] \leftarrow \text{true}$

while  $Q$  is not empty {

$x \leftarrow \text{Dequeue}(Q)$

if  $x = v$ : break

for each neighbour  $y$  of  $x$  in  $T$ :

if not visited  $[y]$ :

visited  $[y] \leftarrow \text{true}$

Parent  $[y] \leftarrow x$

ParentEdgeWeight  $\leftarrow$  weight of edge  $(x, y)$

Enqueue( $Q, y$ )

}



$\text{maxEdgeWeight} \leftarrow -\infty$

$f \leftarrow \text{NIL}$

$\text{curr} \leftarrow v$

while ( $\text{curr} \neq u$ ):

if  $\text{parentEdgeWeight}[\text{curr}] > \text{maxEdgeWeight}$

$\text{maxEdgeWeight} \leftarrow \text{parentEdgeWeight}[\text{curr}]$

$f \leftarrow \text{edge}(\text{parent}[\text{curr}], \text{curr})$

$\text{curr} \leftarrow \text{parent}[\text{curr}]$

if  $w(e) < \text{weight}(f)$ :

$T' \leftarrow T - \{f\} \cup \{e\}$

else

$T' \leftarrow T$

return  $T'$

}

ii) Theorem: The algo produces a new MST of the modified graph after decreasing weight of  $e$ .

complexity: Time  $\hat{=} O(V)$

Space  $\hat{=} O(V)$

iii) Proof: If we add  $e$  to  $T$  to form a cycle, then we have two cases a) Heaviest edge on path  $p(f) > w(e)$ , then replace  $f$  by  $e$  to minimize weight.

b)  $w(e) \geq w(f)$ , adding  $e$  does not help and the original  $T$  is the MST.

Initialization, Enqueuing, iteration over adjacency list, examining each of  $|E|$  edges  $= |V| - 1$ .

Walk back, compare/update is also  $O(\text{path length}) \leq O(|V|)$ .

$\therefore \text{Time} = O(|V|)$

Space  $= O(|V|)$  as all arrays/queues hold max  $|V|$  elements.

3) i) Statement: If for every cut of the graph, light edge crossing the edge is unique, then the graph has a unique MST.

Give a proof and a counterexample for its converse.

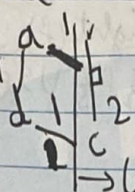
ii) Theorem: If for every cut of a weighted undirected  $G$ , there is a unique light edge crossing that cut, then  $G$  has a MST.



Converse is false.

- iii) Proof: Assume every cut has a unique light edge. Consider any MST algorithm that always picks up a light edge. Now, for every cut, this unique light edge must belong to every MST as if it doesn't, then across the cut, the MST would have chosen a heavier edge, which is wrong. Therefore, the MST must contain all these unique light edges and is hence unique.

Counter example:  $b-a-d-c$  is the MST



cut crosses  $a-b, c-d$  with same weight, MST is not unique

- 4) i)  $MST\_update(G(E,V), MST T, \text{new edges } (E-V) \text{ inserted}) \{$   
 if  $E-V$  is empty: return  $T$

due to space  $\alpha(1)$  extra variables  $\{$   
 $best\_u \leftarrow null$   
 $best\_w \leftarrow +\infty$   $\rightarrow \deg(V)$  iterations

for each  $u$  in  $H$  of  $E-V$

$w \leftarrow \text{weight}(V, u)$

if  $w < best\_w$ :

$best\_w \leftarrow w$

$best\_u \leftarrow u$

$\}$

$T' \leftarrow T \cup \{V, best\_u\}$

return  $T'$

- ii) Theorem: The MST of the updated graph is the original MST  $T + \min$  weight incident edge  $(V, u^*)$ ,  $T' = T \cup \{V, u^*\}$   
 Complexity:  $\Theta(\deg(V))$  Time =  $\Theta(\deg(V))$ , Space =  $O(1)$

- iii) Proof: Adding a new vertex means we have to insert it into our existing MST  $T$  while making sure the result is an MST. We must connect  $V$  with  $T$  by exactly 1 edge as more edges leads to the formation of a cycle. The best way to attach  $V$  is using the min weight edge  $(V, u^*)$ . If we use an edge  $(V, u)$  other than the min weight edge, then replacing  $(V, u)$  with  $(V, u^*)$  leads to a lighter spanning tree. Hence, MST must use  $(V, u^*)$ .



5) i) Counter Example: Vertices  $\{s, a, b\}$  with edges:  $s-a (1)$   
 $s-b (2)$   
 $a-b (-5)$

Running Dijkstra from  $s$  gives  $\text{dist}(s)=0, \text{dist}(a)=1, \text{dist}(b)=2$ , subsequently  $\text{dist}(b)=1+(-5)=-4$ . But, if we proceed differently  $\text{dist}(b)=2, \text{dist}(a)=2+(-5)=-3$ , which is wrong as the shortest dist b/w  $s, b$  is  $1-5=-4$  not 2.

ii) Claim: Dijkstra's algo is correct only when all edge weights are non-negative. Neg weight edges may produce incorrect shortest path distances.

iii) Why proof breaks?

The Dijkstra's proof relies on the invariant: If a vertex  $u$  is extracted from the priority queue,  $\text{dist}[u] = \text{shortest distance } d(s, u)$ . Now, the proof further assumes that since any path through a non-extracted vertex has length atleast  $d(s, u)$  as edge weights are non-negative.

However, with negative edges, the invariant fails as a path through an extracted vertex be improved by traversing edges with -ve weight from adjacent non-extracted vertices. Hence, proof breaks.

6) i) Dijkstra  $(G(V, E), \text{weights } w(e) \in \{0, 1, \dots, W\}, \text{source } s)$

For all  $v \in V: \text{dist}[v] \leftarrow +\infty$

$\text{dist}[s] \leftarrow 0$

Create an array of buckets  $B[0 \dots V_W]$  each a queue ( $V_W = V * W$ )

Insert  $s$  into  $B[0]$

$\text{current\_index} \leftarrow 0$

$\text{processed\_count} \leftarrow 0$

while  $\text{processed\_count} < |V|$ :

while  $\text{current\_index} \leq V_W$  and  $B[\text{current\_index}]$  is empty;

$\text{current\_index} \leftarrow \text{current\_index} + 1$

if  $\text{current\_index} > V_W$ : break

$u \leftarrow \text{pop from } B[\text{current\_index}]$

if  $u$  is already finalized: continue

finalize  $u$ ;  $\text{processed\_count}++$



for each edge  $(u, v)$  in  $E$ :

if  $\text{dist}[u] + w(u, v) < \text{dist}[v]$ :

old  $\leftarrow \text{dist}[v]$

$\text{dist}[v] \leftarrow \text{dist}[u] + w(u, v)$

if  $\text{dist}[v] \leq Vw$ :

insert  $v$  into  $B[\text{dist}[v]]$

else: increase no of buckets to fit  $\text{dist}[v]$  fits  
place  $v$  into bucket  $[\text{dist}[v]]$

return dist  
}

ii) Theorem: Above algo computes SSSP in  $O(VW + E)$  time.

Space complexity:  $O(VW + V)$

iii) Proof: Correctness: Buckets correspond to tentative distance

values and we always process the smallest non-empty.

Since, weights are non-negative integers, when we extract a vertex from the smallest non empty bucket its tentative distance is min among all not yet finalized vertices -

same concept as Dijkstra. Hence, we cannot a ~~small~~ smaller distance for an extracted vertex. once time  $u$  is

Complexity: Each edge relaxation is done per vertex relaxation extracted. Total relaxations  $\leq E$ .

Finding next non empty buckets can cost scanning empty buckets, but index can only increase upto max dist processed, total scanning over full run is atmost  $O(VW)$  as dist is in range  $[0, W(V-1)]$ . Scanning cost is  $O(VW)$ .

$\therefore$  Total time:  $O(VW + E)$

1) i) Statement: Heap Sort takes  $\Omega(n \log n)$  time on arrays of  $n$  distinct elements even on the best input arrangement.

ii) Theorem: For distinct elements, Heap Sort has best running time of  $\Omega(n \log n)$ .

Complexity ~~comment~~: Time =  $\Omega(n \log n)$

Space =  $O(1)$

iii) Proof: Heap Sort is a comparison based sorting algo.  $n!$  possible permutations for  $n$  keys (distinct) and hence decision tree for sorting must have atleast  $n!$  leaves. Binary decision Tree of height  $h$  has at most  $2^h$  leaves



so  $2^h \geq n!$ . Hence  $h \geq \log_2(n!) \approx \Theta(n \log n)$  by Sterling's approximation, which applies in the best case as the no of permutations in the best case remains  $n!$ .

Heap sort performs  $\Theta(n)$  work to build heap +  $n-1$  extract max operations, each requiring  $\Theta(\log n)$  work to re-heapify in the worst case,  $\Theta(1)$  in the best case due to the tree structure.

8)i) Consider a graph  $G(V, E)$  be a directed graph (no self loops). Let  $B$  be the  $|V| \times |E|$  incidence matrix with entries

$$b_{ij} = \begin{cases} -1, & \text{if edge } j \text{ leaves vertex } i \\ +1, & \text{if edge } j \text{ enters vertex } i \\ 0, & \text{otherwise} \end{cases}$$

We have to describe the entries of the matrix product  $BB^T$ .

ii) Theorem:  $L = BB^T$  is the a matrix such that

$$L_{ij} = \begin{cases} \text{no of edges incident on vertex } i & \text{if } i=j \\ -(\text{no of edges connecting } i, j) & \text{if } i \neq j \end{cases}$$

iii) Proof:  $(BB^T)_{ij} = \sum_{e \in E} b_{ie} \cdot b_{je}$

a) If  $i = j$ , for each incident edge  $e$  to  $i$ , we have  $b_{ie} \in \{\pm 1\}$ ,  $b_{ie} = 1$  for each incident edge. Non incident edges contribute to 0.  $\therefore (BB^T)_{ii} = \sum_{e \text{ incident to } i} 1 = \text{deg}_{\text{undirected}}(i)$   
 = Total no of incident edges (in-degree + out-degree)

b) If  $i \neq j$ , considers an edge from  $p$  to  $q$   
 If  $p = i, q = j$ ,  $b_{ie} = -1, b_{je} = +1$ , contribution of  $b_{ie} b_{je} = -1$   
 If  $p = j, q = i$ , then contribution is also  $-1$ .  
 Otherwise, contribution is 0  
 Summing over all edges counts  $-1$  for each edge connecting  $i, j$ .  $\therefore (BB^T)_{ij} = -\text{no of edges between } i, j$ .

9)i) Adjacency matrix representation.

Let  $A$  be the  $n \times n$  adjacency matrix of  $G$  (0/1 entries)

Algorithm:

1) Compute matrix  $A^2$  where multiplication follows logical AND logic while addition follows logical OR logic.



$A^2_{uv} = \sum_w (A_{uw} \wedge A_{wv})$ . Done by normal matrix multiplication but with logical ops.

2) Let  $A^{i,j} = A \text{ OR } A^2$  (Boolean OR for each  $A_{ij}$  or  $A^2_{ij}$ )

3)  $A^1$  is the adjacency matrix of  $G^1$ .

Running time:

Naive boolean matrix multiplication gives  $O(n^3)$

ii) Adjacency list representation:

$G_2(\text{Adj}[u] \text{ for all } u \in V, G(V, E))$

For each  $u$  in  $V$ :

array  $M[1..n] \leftarrow \text{false}$

for each  $v$  in  $\text{adj}[u]$ :

$M[v] \leftarrow \text{true}$

for each  $v$  in  $\text{adj}[u]$ :

for each  $w$  in  $\text{adj}[v]$ :

$M[w] \leftarrow \text{true}$

$\text{Adj}_2[u] \leftarrow \{w \mid M[w] = \text{true}, w \neq u\}$

clear marks  $M$  entries used

Return  $G_2$  with adj matrix  ~~$A$~~   $\text{adj}_2$

Running Time!

For each vertex, work  $\propto \sum_{v \in N(u)} (1 + \deg(v))$   $\uparrow = v \text{ cost } |N(u)|$   
 $\downarrow$  neighbours

iteration of each  $v$  cost  $\sum_{v \in N(u)} \deg(v)$

Summing over all  $u$ , total time is  $O(\sum_{u \in V} \sum_{v \in N(u)} \deg(v))$   
 $= O(\sum_{v \in V} (\deg(v))^2)$

Worst case cost  $= O(|V| + \sum (\deg(v))^2) = O(n^3)$  for dense graphs with  $\deg(v) = \Theta(n)$ , bounded by  $O(E \cdot \Delta)$   $\rightarrow$  Max degree

$= O(m^2)$  worst case in most cases