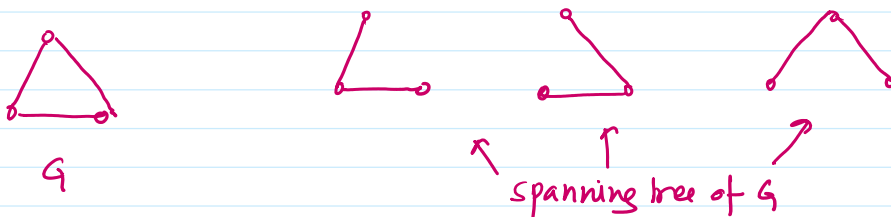


$G = (V, E)$ is undirected graph

Spanning tree (i) should include all the vertices in G

(ii) connected subgraph without cycle.

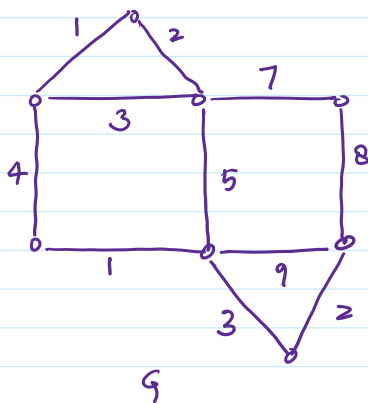
Spanning tree has $|V| - 1$ edges



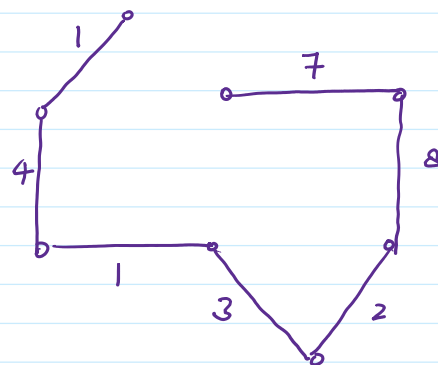
Minimum Spanning Tree

$G = (V, E)$

$W: E \rightarrow \mathbb{R}^+$



Spanning tree



Sum of
wt of edges 26

Weight of spanning tree $T = \text{sum of weight of all edges in } T$

Minimum Spanning tree := Spanning tree of min weight.

KRUSKAL'S ALGO

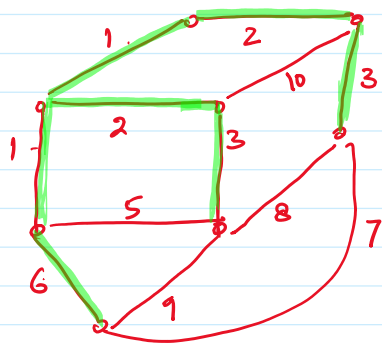
Input $G = (V, E)$, $|V| = n$, $|E| = m$, $W: E \rightarrow \mathbb{R}^+$

① Sort edges based on the ascending order of weight, (e_1, \dots, e_m)

s.t. $W(e_i) \leq W(e_{i+1})$

② $T \leftarrow \phi$ /* T stores edges of MST

③ for $i \leftarrow 1$ to m and while ($\# \text{edges}(T) \leq n-1$) do
 if $e_i \cup T$ is a tree then
 $T \leftarrow T \cup \{e_i\}$



Proof of correctness: KRUSKAL's output MST.

Assumption: all edge wt are distinct

KRUSKAL $g_1 < g_2 < g_3 < \dots < g_i < g_{n-1} := T_K$
 $\parallel \quad \parallel \quad \parallel \quad \neq$

OPT $f_1 < f_2 < f_3 < f_i < f_{n-1} := T_{OPT}$

$g_i :=$ wt of i^{th} edge in kruskal

$f_i :=$ wt " " " " OPT

Suppose the set differ at first position at i^{th} position

Case (I) $g_i < f_i$

we take $T_{OPT} \setminus f_i \cup \{g_i\} := T'_{OPT}$

Case (I.I) T'_{OPT} doesn't contain cycle

$\Rightarrow T'_{OPT}$ is a spanning tree of weight less than T_{OPT}

Case (I.I) T_{OPT} doesn't contain cycle

$\Rightarrow T'_{OPT}$ is a spanning tree of weight less or than T_{OPT}

\Rightarrow contradiction that T_{OPT} is MST.

Case (I.II) T'_{OPT} contain cycle

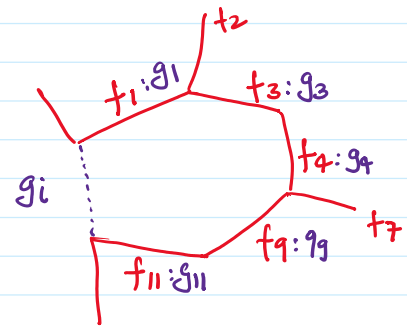
why OPT prefer f_i over g_i — the only possible reason is adding g_i will introduce a cycle

let $f_1, f_2, f_4, f_9, f_{11}$ make cycle with g_i
" " " " "
 $g_1, g_3, g_4, g_9, g_{11}$

$g_1, g_3, g_4, g_9, g_{11}, g_i$

will form cycle in Kruskal's algo

contradiction !!



Case II $g_i > f_i$

why did Kruskal not pick f_i

The only reason could be $\{g_1, \dots, g_{i-1}\} \cup f_i$ form a cycle

But $\{g_1, \dots, g_{i-1}\} \cup f_i \stackrel{\text{Identical}}{=} \{f_1, \dots, f_{i-1}\} \cup f_i$

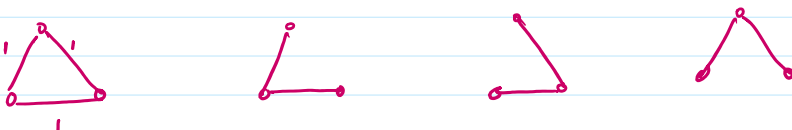
$\Rightarrow \{f_1, \dots, f_{i-1}\} \cup f_i$ also form a cycle in OPT

contradiction !!

Is MST unique?

Yes if all edges are distinct

No ow.

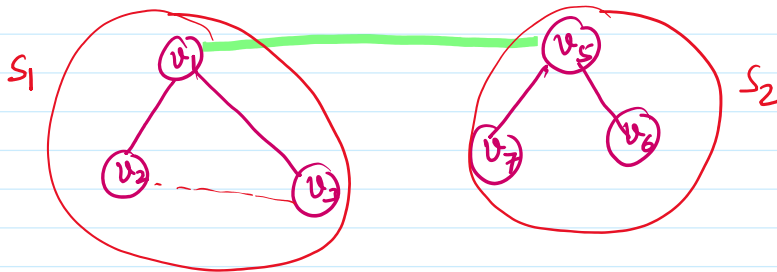


KRUSKAL'S ALGO



n connected components

- Initially we start with n connected components
- In each iteration, we add one edge that reduces # of connected component by 1
- Finally we left with one connected component - MST.



Set Union:

$$\Rightarrow S_1 = \{v_1, v_2, v_3\} \quad S_2 = \{v_5, v_6, v_7\}$$

after adding edge

$$\Rightarrow S_1 \cup S_2 = \{v_1, v_2, v_3, v_5, v_6, v_7\} \quad \text{Set Union!}$$

Find:

Suppose add edge $\{v_2, v_3\}$

Find(v_2, v_3) Return true if v_2, v_3 are in same set
 \Rightarrow inclusion of $\{v_2, v_3\}$ form a cycle

Union Find data structure

Kruskal's Algo

- ① $T \leftarrow \phi$
- ② $S = \{v_1\} \dots \{v_n\}$
- ③ Sort edges in increasing order of weights

$$e_k < e_m \quad | \quad O(m \log m)$$

④ For $i=1$ to m and while ($\# \text{ edge}(T) < n-1$)
do
 let $e_i = (u, v)$
 if ($\text{Find}(u) \neq \text{Find}(v)$)
 then $T \leftarrow T \cup \{e_i\}$
 Union ($\text{Find}(u), \text{Find}(v)$)
}

Union : $n-1$ U

Find : $O(m)$ F

Total running time $O(m \log m + (n-1)U + mF)$
 $= O(m \log m + n + m \cdot \log n) = \underline{O(m \log n)}$

Naive Soln Use linked list

Union $O(1)$ time

Find $O(m)$ time Not acceptable!!

$\{a\}$ $\{b\}$ $\{c\}$ $\{d\}$ $\{e\}$ $\{f\}$

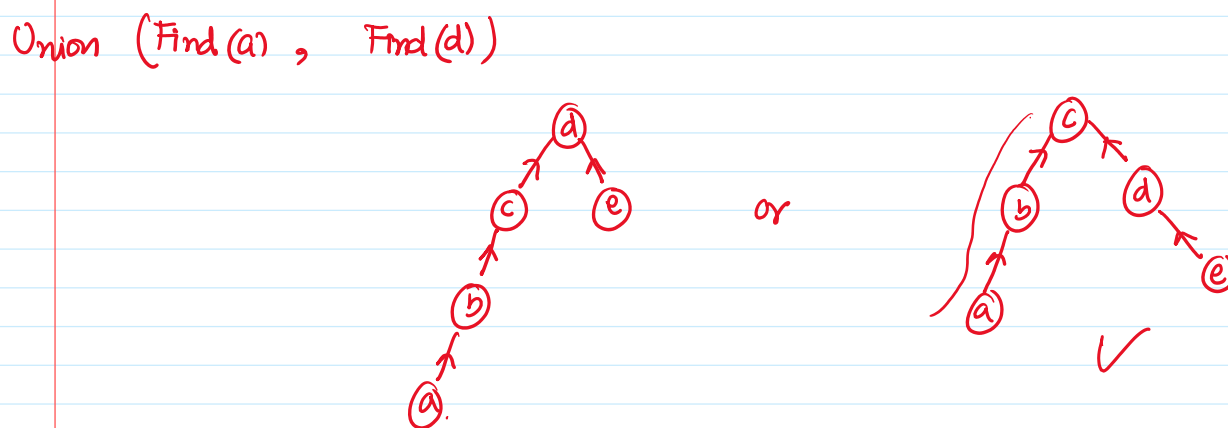
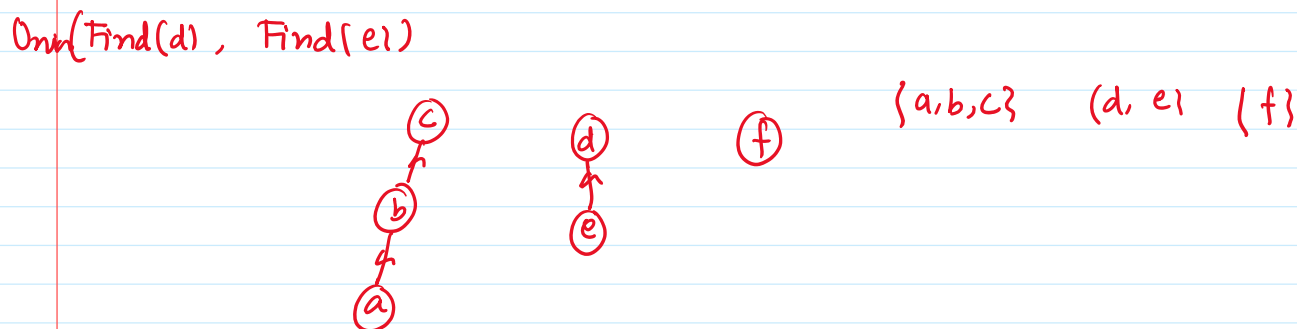
① ② ③ ④ ⑤ ⑥ a b c r

↓

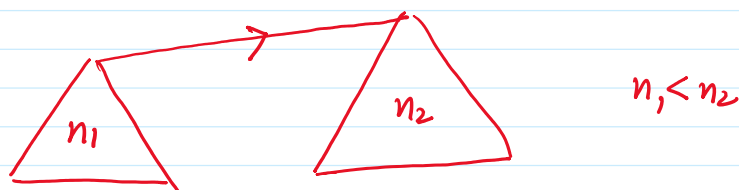
Union($\text{Find}(a), \text{Find}(b)$) ① ② ③ ④ ⑤ ⑥ $\{a, b\}$ $\{c\}$ $\{d\}$ $\{e\}$ $\{f\}$

①

Union($\text{Find}(a), \text{Find}(c)$) ③ ④ ⑤ ⑥ $\{a, b, c\}$ $\{d\}$ $\{e\}$ $\{f\}$

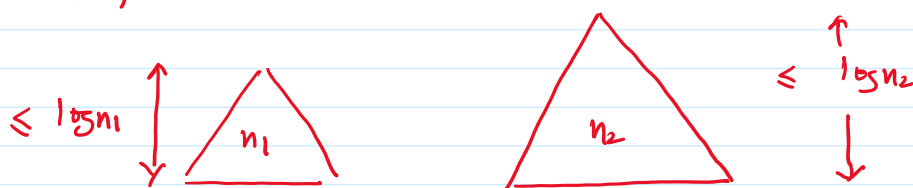


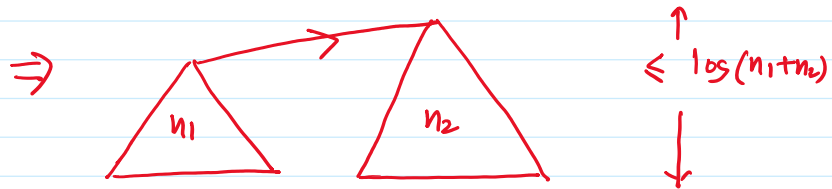
Union by rank



Th A tree with n nodes has height $\leq \log n$ (if we follow union by rank procedure)

Proof Proof by induction





Suppose $n_1 < n_2$

then height of resultant tree = $\max \{ \log n_2, (\log n_1) + 1 \}$

$$\stackrel{?}{\leq} \log(n_1+n_2) \Leftarrow \textcircled{i} \& \textcircled{ii}$$

$$\begin{aligned} \log n_2 &\leq \log(n_1+n_2) & \textcircled{i} \\ (\log n_1) + 1 &\leq \log n_1 + \log\left(\frac{n_1+n_2}{n_1}\right) & \frac{n_1+n_2}{n_1} \geq 2 \\ &= \log(n_1+n_2) & \textcircled{ii} \end{aligned}$$