



Hochschule
Bonn-Rhein-Sieg
University of Applied Sciences



R&D Project Proposal

Testing Deep Neural Networks using Synthetic Dataset Generators

Rashid Ahamed Meeran Tajdeen

Supervised by

Prof. Dr. Sebastian Houben (h-brs)

Deebul Sivarajan Nair (h-brs)

Dec 2022

1 Introduction

Though Deep Neural Networks (DNNs) are being used extensively in many fields including safety critical systems such as autonomous driving and medical diagnostics, there are chances for the DNN to exhibit erroneous behaviours. A DNN being developed must undergo a testing process before it gets deployed [1].

From a software developer point of view, testing a machine learning model would be the white-box testing. But in most cases it is not feasible and are tedious. The most verified process of testing deep neural networks is to test the entire performance without touching the internals of the network. This aspect of testing is more viable and trusted than the other aspects of testing [1].

Testing is a bit deviating from verification as in testing we run the execution of the product with an aim to find out if it satisfies out need. But during verification the aim is to check if the execution occurs as described in the specification [2].

1.1 Why is it essential to test a DNN?

- In most DNN image classifiers, the trained models misunderstands one class with the other, or even sometimes show one-sided biases. Hence the properties of the class are violated and misinterpreted.
- Some DNNs perform well on simple tasks, but when it comes to the point to expand its use case, the user might begin to face capability issues from the model.
- We could never say a DNN model is perfectly trained and can perform well on any situation, because the possibilities real world inputs to this model is infinite.

1.2 Challenges in testing DNN?

1.2.1 Requires huge input data

Vision based DNNs are completely dependent on the input images. With increase in the size and variability of the input images, its stochastic nature decreases.

Hence DNNs require huge input data to train on[7]. Getting this huge dataset from realworld even before deploying the product is difficult.

Solution: To overcome the challenge of inadequate data, we propose synthetic image generation which is the creation of artificially generated images that look as realistic as real images. One way to generate these images is by Generation Adversarial Networks(GAN) [6] which use a generator-discriminator architecture to train, generate and rate synthetic images multiple times until the generated synthetic image can fool the discriminator enough to be considered a real image. Another way to create synthetic images would be with Variational Autoencoders[6], which create a discrete latent representation and create more variety of images and is easier to train compared to GANs. What if images can be generated by simply typing a short description of the image? In our case this short description would be the requirements from the test engineers. The proposed idea here is to generate synthetic images using blender under certain corner case scenarios and test them on the trained DNN model.

1.2.2 Comprehensive white box testing of DNN is costly

Software can be tested using two approaches[2]. The black-box testing where only the external behaviour of the system is taken into consideration during testing and the white-box testing where the internal working of the system is also taken into consideration.

Solution: The focus here is to test the DNN using the black-box testing approach, in which we test the functionality of the software from the outside. Access to the internals of the DNN is not required in this case and has the advantage to be further scaled to test any type of DNN.

This testing process can be combined with a user defined requirements to make it a Behaviour Driven Development approach. In detail, the testing process is carried out by analysing the performance of the DNN on user defined scenarios.

Testing DNNs must involve the corner-cases to assure reliability[8]. The user requirements should focus mainly over corner-cases where the data would be abnormal or of type which rarely occurs. These corner-case data can be generated synthetically based on the user requirements.

1.3 An example of the testing process

The testing process here can be referred to as a requirement validation process. One sample requirement from the test engineer could be

Given there is a DNN classifier model trained using a particular dataset,

When the model is tested using synthetic images with varying illuminance of 100-200 lux

Then the model must correctly classify the images

During the when step of this requirement, the desired dataset along with its ground truth is generated and classified using the model, and in the then step the results are analysed.

1.4 Goal of this RnD

The goal of this RnD is to test deep neural networks using requirements validation. The focus is not on investigating the internals of the DNN, instead to test the DNN using formal specifications generated from a set of requirements. To formalise the requirements into specifications, we follow Behaviour Driven Development(BDD) approach. We use synthetic data generator like blender to generate test dataset that are unique to each specifications for testing the performance of the learned DNN models.

2 Problem Statement

Over the last decade, Deep Neural Networks(DNNs) have achieved great performance in many domains, such as image processing, medical diagnostics, speech recognition and autonomous driving.

Similar to traditional software components, DNN models often exhibit erroneous behaviours that may lead to potentially critical errors. Therefore, like traditional software, DNNs needs to be tested effectively to ensure their reliability and safety.

In the software testing context, code coverage criteria are used to guide the generation of test cases and assess the completeness of test suites. But doing that would require a knowledge of the software internals. From the perspective of the user to have the software for a particular application, a well established way to test

the DNN is the black-box testing approach in which we analyse the performance of the DNN on certain scenarios. During this process, this work aims to answer the following research questions.

Research Questions:

- RQ1** What are the state-of-the-art DNN testing approaches?
- RQ2** What are all the parameters that can be varied in blender for dataset generation?
- RQ3** What are the requirements from the test engineer's perspective for testing a vision based DNN?
- RQ4** How to implement BDD for DNN testing based on requirements from test engineers and capabilities of blender?
- RQ5** How the generated synthetic dataset benefits AI testing over real-world dataset?
- RQ6** Comparison of the performance of a specific DNN model on synthetic dataset with different possible variations, for example, illumination, occlusion, depth of focus, etc.

3 Related Work

- In this paper [1], the authors investigate black-box input diversity metrics as an alternative to white-box coverage criteria. They first select and adapt three diversity metrics and study, in a controlled manner, their capacity to measure actual diversity in input sets. Then they analyse their statistical association with fault detection using two datasets and three DNN models. They further compare diversity with state-of-the-art white-box coverage criteria. From the experiments, it could be inferred that relying on the diversity of image features embedded in test input sets is a more reliable indicator than coverage criteria to effectively guide the testing of DNNs. One of the selected black-box diversity metrics far outperforms existing coverage criteria in terms of fault-revealing capability and computational time. Results also confirm that

state-of-the-art coverage metrics are not adequate to guide the construction of test input sets to detect as many faults as possible with natural inputs.

- In this paper [9], inspired by the MC/DC coverage criterion, the authors propose a family of four novel test criteria that are tailored to structural features of DNNs and their semantics. They validate the criteria by demonstrating that the generated test inputs guided via the proposed coverage criteria are able to capture undesired behaviours in a DNN. Test cases are generated using a symbolic approach and a gradient-based heuristic search. By comparing them with existing methods, they prove that their criteria achieve a balance between their ability to find bugs (proxied using adversarial examples) and the computational cost of test case generation. Experiments are conducted on state-of-the-art DNNs obtained using popular open source datasets, including MNIST, CIFAR-10 and ImageNet.
- In this paper [10], the authors design, implement, and evaluate DeepTest, a systematic testing tool for automatically detecting erroneous behaviors of DNN-driven vehicles that can potentially lead to fatal crashes. A tool is designed to automatically generate test cases leveraging real-world changes in driving conditions like rain, fog, lighting conditions, etc. DeepTest systematically explores different parts of the DNN logic by generating test inputs that maximize the numbers of activated neurons. DeepTest found thousands of erroneous behaviors under different realistic driving conditions (e.g., blurring, rain, fog, etc.) many of which lead to potentially fatal crashes in three top performing DNNs in the Udacity self-driving car challenge.
- In this paper [6], the authors summarize some of the available tools for creating a synthetic dataset for object segmentation and provide a detailed example using the Blender 3D creation suite. They discuss in detail about the vital points and considerations for automatic dataset generation for object segmentation.
- There are many parallels between meta-modelling—in the sense of model-driven engineering—and meta-learning. Both rely on abstractions, the meta data, to model a predefined class of problems and to define the variabilities of

the models conforming to this definition. Both are used to define the output and input relationships and then fitting the right models to represent that behaviour. In this paper [4], the authors envision how a meta-model for meta-learning can look like. They discuss possible variabilities, for what types of learning it could be appropriate for, how concrete learning models can be generated from it, and how models can be finally selected. They also discuss a possible integration into existing modelling tools.

- This survey paper [5] conducts a review of the current research effort into making DNNs safe and trustworthy, by focusing on four aspects: verification, testing, adversarial attack and defence, and interpretability. In total, they survey 202 papers, most of which were published recently after 2017.
- In this paper [3], the authors propose a technique that re-purposes software testing methods, specifically mutation-based fuzzing, to augment the training data of DNNs, with the objective of enhancing their robustness. This technique casts the DNN data augmentation problem as an optimization problem. It uses genetic search to generate the most suitable variant of an input data to use for training the DNN, while simultaneously identifying opportunities to accelerate training by skipping augmentation in many instances. They instantiate this technique in two tools, Sensei and Sensei-SA, and evaluate them on 15 DNN models spanning 5 popular image data-sets. The evaluation shows that Sensei can improve the robust accuracy of the DNN, compared to the state of the art, on each of the 15 models, by upto 11.9% and 5.5% on average. Further, Sensei-SA reduces the average DNN training time by 25%, while still improving robust accuracy.

4 Project Plan

4.1 Work Packages

The bare minimum will include the following packages:

WP1 Literature review on testing of Deep Neural Networks

T1.1 Understanding testing of Deep Neural Networks and the concept of synthetic dataset generation.

T1.2 Literature search on the testing methods introduced in the past.

T1.3 Identify and analyse the state-of-the-art methods.

WP2 Experimental setup

T2.1 Choose the best synthetic dataset generation tool and analyse its capabilities.

T2.2 Decide on the DNN models to be used for the testing.

T2.3 Gather requirements from a test engineer.

T2.4 Choose a suitable BDD structure that transfers requirements into dataset along with the ground truth.

WP3 Mid-term Report

T3.1 Submission of the mid-term report.

WP4 Experimental analysis

T4.1 Train the DNN model with the synthetic dataset.

T4.2 Follow a behaviour driven development method.

T4.3 The Behaviour Driven Development steps creates dataset based on the requirements from the test engineer.

T4.4 The DNN model is tested using the created dataset.

T4.5 Analyse the performance of the model.

T4.6 As a result of the evaluation, provide consolidated results on what scenarios the DNN failed to provide good result.

WP5 Final Report

T5.1 Draft revision.

T5.2 Submission of the Final report.

4.2 Milestones

- M1 Literature search
- M2 Experimental setup
- M3 Mid-term Report submission
- M4 Experimental Analysis
- M5 Final Report submission

4.3 Project Schedule

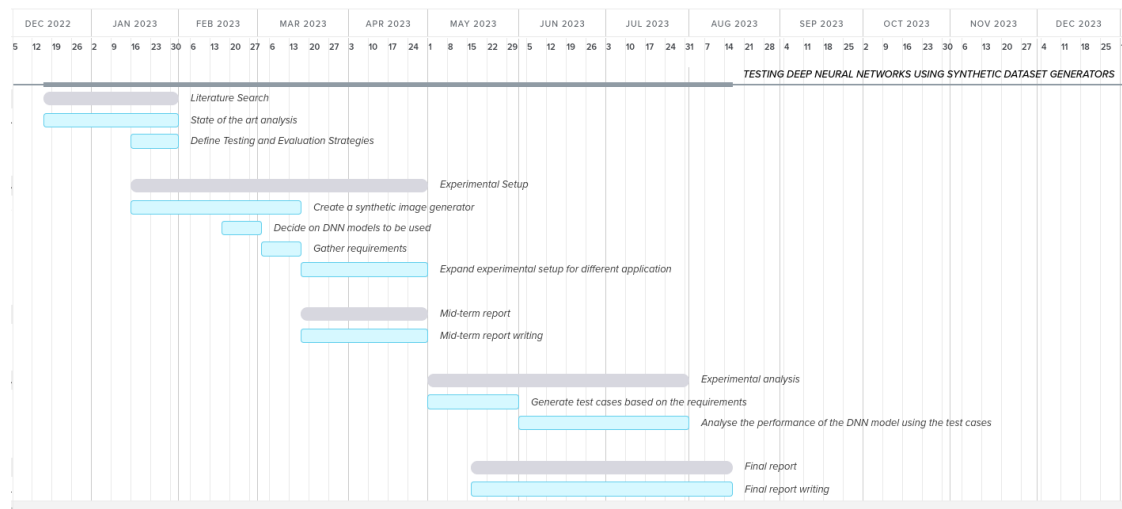


Figure 1: The gantt chart of the RnD project

4.4 Deliverables

Minimum Viable

- State of the art analysis.
- A simple and easy to use synthetic dataset generator with variabilies such as illumninance, sharpness, color, contrast, exposure, object poses, camera angles, FOV and perspective.

- Training the DNN with the synthetic data generated randomly irrespective of the requirements.
- A BDD that could map requirements to dataset generation functions and DNN testing functions.
- Testing of the DNN model for a classification or a regression application.

Expected

- A simple and easy to use synthetic dataset generator with additional variabilities such as material texture and reflection, crowded environment.
- Training the DNN with both synthetic and real world data on a ratio 3:1 respectively.
- Testing of the DNN model for a classification and a regression application.

Desired

- An easy to use synthetic dataset generator which could incorporate as much variabilities available in blender.
- Training the DNN with relatively more real world data than the synthetic data.

References

- [1] Zohreh Aghababaeyan, Manel Abdellatif, Lionel C. Briand, Ramesh S, and Mojtaba Bagherzadeh. Black-box testing of deep neural networks through test case diversity. *CoRR*, abs/2112.12591, 2021. URL <https://arxiv.org/abs/2112.12591>.
- [2] Osman Balci. Verification, validation, and testing. *Handbook of simulation*, 10(8):335–393, 1998.

- [3] Xiang Gao, Ripon K. Saha, Mukul R. Prasad, and Abhik Roychoudhury. Fuzz testing based data augmentation to improve robustness of deep neural networks. In *2020 IEEE/ACM 42nd International Conference on Software Engineering (ICSE)*, pages 1147–1158, 2020.
- [4] Thomas Hartmann, Assaad Moawad, Cedric Schockaert, Francois Fouquet, and Yves Le Traon. Meta-modelling meta-learning. In *2019 ACM/IEEE 22nd International Conference on Model Driven Engineering Languages and Systems (MODELS)*, pages 300–305, 2019. doi: 10.1109/MODELS.2019.00014.
- [5] Xiaowei Huang, Daniel Kroening, Wenjie Ruan, James Sharp, Youcheng Sun, Emese Thamo, Min Wu, and Xinpeng Yi. A survey of safety and trustworthiness of deep neural networks: Verification, testing, adversarial attack and defence, and interpretability. *Computer Science Review*, 37:100270, 2020. ISSN 1574-0137. doi: <https://doi.org/10.1016/j.cosrev.2020.100270>. URL <https://www.sciencedirect.com/science/article/pii/S1574013719302527>.
- [6] Artx00FA;r I. Kx00E1;roly and Px00E9;ter Galambos. Automated dataset generation with blender for deep learning-based object segmentation. In *2022 IEEE 20th Jubilee World Symposium on Applied Machine Intelligence and Informatics (SAMI)*, pages 000329–000334, 2022. doi: 10.1109/SAMI54271.2022.9780790.
- [7] Dusica Marijan, Arnaud Gotlieb, and Mohit Ahuja. Challenges of testing machine learning based systems. pages 101–102, 04 2019. doi: 10.1109/AITest.2019.00010.
- [8] Tinghui Ouyang, Vicent Sanz Marco, Yoshinao Isobe, Hideki Asoh, Yutaka Oiwa, and Yoshiki Seo. Corner case data description and detection. In *2021 IEEE/ACM 1st Workshop on AI Engineering - Software Engineering for AI (WAIN)*, pages 19–26, 2021. doi: 10.1109/WAIN52551.2021.00009.
- [9] Youcheng Sun, Xiaowei Huang, and Daniel Kroening. Testing deep neural networks. *CoRR*, abs/1803.04792, 2018. URL <http://arxiv.org/abs/1803.04792>.

- [10] Yuchi Tian, Kexin Pei, Suman Jana, and Baishakhi Ray. Deeptest: Automated testing of deep-neural-network-driven autonomous cars. In *Proceedings of the 40th International Conference on Software Engineering, ICSE '18*, page 303–314, New York, NY, USA, 2018. Association for Computing Machinery. ISBN 9781450356381. doi: 10.1145/3180155.3180220. URL <https://doi.org/10.1145/3180155.3180220>.
- [11] Weibin Wu, Hui Xu, Sanqiang Zhong, Michael R. Lyu, and Irwin King. Deep validation: Toward detecting real-world corner cases for deep neural networks. In *2019 49th Annual IEEE/IFIP International Conference on Dependable Systems and Networks (DSN)*, pages 125–137, 2019. doi: 10.1109/DSN.2019.00026.