



## Өгөгдлийн сангийн үндэс (CSII202 - 3 кр) Database Systems

### Lecture 8: Normalisation to BCNF



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**Хүн мэргэжилдээ үнэнч байвал, мэргэжил  
нь хүнийг тэжээдэг юм.**

**Хүний их эмч Доржпалам**

# In This Lecture

- More normalisation
  - Brief review of relational algebra
  - Lossless decomposition
  - Boyce-Codd normal form (BCNF)
  - Higher normal forms
  - Denormalisation
- For more information
  - Connolly and Begg chapter 14
  - Ullman and Widom chapter 3.6

# Normalisation so Far

- First normal form
  - All data values are atomic
- Second normal form
  - In 1NF plus no non-key attribute is partially dependent on a candidate key
- Third normal form
  - In 2NF plus no non-key attribute depends transitively on a candidate key

# Lossless decomposition

- To normalise a relation, we used projections
- If  $R(A,B,C)$  satisfies  $A \rightarrow B$  then we can project it on  $A,B$  and  $A,C$  without losing information

- Lossless decomposition:

$$R = \pi_{AB}(R) \bowtie \pi_{AC}(R)$$

where  $\pi_{AB}(R)$  is projection of  $R$  on  $AB$  and  $\bowtie$  is natural join.

- Reminder of projection:

R			$\pi_{AB}(R)$	
A	B	C	A	B

# Relational algebra reminder: selection

R

A	B	C	D
1	x	c	c
2	y	d	e
3	z	a	a
4	u	b	c
5	w	c	d

$\sigma_{C=D}(R)$

A	B	C	D
1	x	c	c
3	z	a	a

# Relational algebra reminder: product

R1

A	B
1	x
2	y

R2

A	C
1	w
2	v
3	u

$R1 \times R2$

A	B	A	C
1	x	1	w
1	x	2	v
1	x	3	u
2	y	1	w
2	y	2	v
2	y	3	u

# While I am on the subject...

SELECT A,B

FROM R1, R2, R3

WHERE (some property  $\alpha$  holds)

translates into relational algebra

$$\pi_{A,B} \sigma_{\alpha} (R1 \times R2 \times R3)$$



# Relational algebra: natural join

$$R1 \bowtie R2 = \pi_{R1.A, B, C} \sigma_{R1.A = R2.A} (R1 \times R2)$$

R1

A	B
1	x
2	y

R2

A	C
1	w
2	v
3	u

R1  $\bowtie$  R2

A	B	C
1	x	w
2	y	v

# When is decomposition lossless: Module $\rightarrow$ Lecturer

R

Module	Lecturer	Text
DBS	nza	CB
DBS	nza	UW
RDB	nza	UW
APS	rcb	B

$\pi_{\text{Module, Lecturer}} R$

Module	Lecturer
DBS	nza
RDB	nza
APS	rcb

$\pi_{\text{Module, Text}} R$

Module	Text
DBS	CB
DBS	UW
RDB	UW
APS	B

# When is decomposition is not lossless: no fd

S

First	Last	Age
John	Smith	20
John	Brown	30
Mary	Smith	20
Tom	Brown	10

$\pi_{\text{First, Last}} S$

First	Last
John	Smith
John	Brown
Mary	Smith
Tom	Brown

$\pi_{\text{First, Age}} S$

First	Age
John	20
John	30
Mary	20
Tom	10

# When is decomposition is not lossless: no fd

$\pi_{\text{First,Last}} S \bowtie \pi_{\text{First,Last}} S$

First	Last	Age
John	Smith	20
<i>John</i>	<i>Smith</i>	<i>30</i>
<i>John</i>	<i>Brown</i>	<i>20</i>
John	Brown	30
Mary	Smith	20
Tom	Brown	10

$\pi_{\text{First,Last}} S$

First	Last
John	Smith
John	Brown
Mary	Smith
Tom	Brown

$\pi_{\text{First,Age}} S$

First	Age
John	20
John	30
Mary	20
Tom	10

# Normalisation Example

- We have a table representing orders in an online store
- Each entry in the table represents an item on a particular order
- Columns
  - Order
  - Product
  - Customer
  - Address
  - Quantity
  - UnitPrice
- Primary key is {Order, Product}

# Functional Dependencies

- Each order is for a single customer  $\{\text{Order}\} \rightarrow \{\text{Customer}\}$
- Each customer has a single address  $\{\text{Customer}\} \rightarrow \{\text{Address}\}$
- Each product has a single price  $\{\text{Product}\} \rightarrow \{\text{UnitPrice}\}$
- From FDs 1 and 2 and transitivity  $\{\text{Order}\} \rightarrow \{\text{Address}\}$

# Normalisation to 2NF

- Second normal form means no partial dependencies on candidate keys
  - {Order} → {Customer, Address}
  - {Product} → {UnitPrice}
- To remove the first FD we project over {Order, Customer, Address} (R1)  
and  
{Order, Product, Quantity, UnitPrice} (R2)

# Normalisation to 2NF

- R1 is now in 2NF, but there is still a partial FD in R2  
 $\{\text{Product}\} \rightarrow \{\text{UnitPrice}\}$
- To remove this we project over  
 $\{\text{Product}, \text{UnitPrice}\}$  (R3)  
and  
 $\{\text{Order}, \text{Product}, \text{Quantity}\}$  (R4)



# Normalisation to 3NF

- R has now been split into 3 relations - R1, R3, and R4
  - R3 and R4 are in 3NF
  - R1 has a transitive FD on its key
- To remove
$$\{Order\} \rightarrow \{Customer\}$$
$$\rightarrow \{Address\}$$
  - we project R1 over
    - $\{Order, Customer\}$
    - $\{Customer, Address\}$

# Normalisation

- 1NF:
  - {Order, Product, Customer, Address, Quantity, UnitPrice}
- 2NF:
  - {Order, Customer, Address}, {Product, UnitPrice}, and {Order, Product, Quantity}
- 3NF:
  - {Product, UnitPrice}, {Order, Product, Quantity}, {Order, Customer}, and {Customer, Address}

# The Stream Relation

- Consider a relation, Stream, which stores information about times for various streams of courses
- For example: labs for first years
- Each course has several streams
- Only one stream (of any course at all) takes place at any given time
- Each student taking a course is assigned to a single stream for it

# The Stream Relation

Student	Course	Time
John	Databases	12:00
Mary	Databases	12:00
Richard	Databases	15:00
Richard	Programming	10:00
Mary	Programming	10:00
Rebecca	Programming	13:00

Candidate keys: {Student, Course} and {Student, Time}

# FDs in the Stream Relation

- Stream has the following non-trivial FDs
- $\{\text{Student, Course}\} \rightarrow \{\text{Time}\}$
- $\{\text{Time}\} \rightarrow \{\text{Course}\}$
- Since all attributes are key attributes, Stream is in 3NF

# Anomalies in Stream

- INSERT anomalies
  - You can't add an empty stream
- UPDATE anomalies
  - Moving the 12:00 class to 9:00 means changing two rows
- DELETE anomalies
  - Deleting Rebecca removes a stream

Student	Course	Time
John	Databases	12:00
Mary	Databases	12:00
Richard	Databases	15:00
Richard	Programming	10:00
Mary	Programming	10:00
Rebecca	Programming	13:00

# Boyce-Codd Normal Form

- A relation is in Boyce-Codd normal form (BCNF) if for every FD  $A \rightarrow B$  either
  - B is contained in A (the FD is trivial), or
  - A contains a candidate key of the relation,
- In other words: every determinant in a non-trivial dependency is a (super) key.
- The same as 3NF except in 3NF we only worry about non-key Bs
- If there is only one candidate key then 3NF and BCNF are the same

# Stream and BCNF

- Stream is not in BCNF as the FD  $\{\text{Time}\} \rightarrow \{\text{Course}\}$  is non-trivial and  $\{\text{Time}\}$  does not contain a candidate key

Student	Course	Time
John	Databases	12:00
Mary	Databases	12:00
Richard	Databases	15:00
Richard	Programming	10:00
Mary	Programming	10:00
Rebecca	Programming	13:00



# Conversion to BCNF

Student	Course	Time
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Student	Course
---------	--------

Course	Time
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A diagram showing a functional dependency. A horizontal line connects the top of the 'Course' column to the top of the 'Time' column. A vertical line descends from the center of this horizontal line, ending in an arrowhead pointing down towards the 'Course' column.

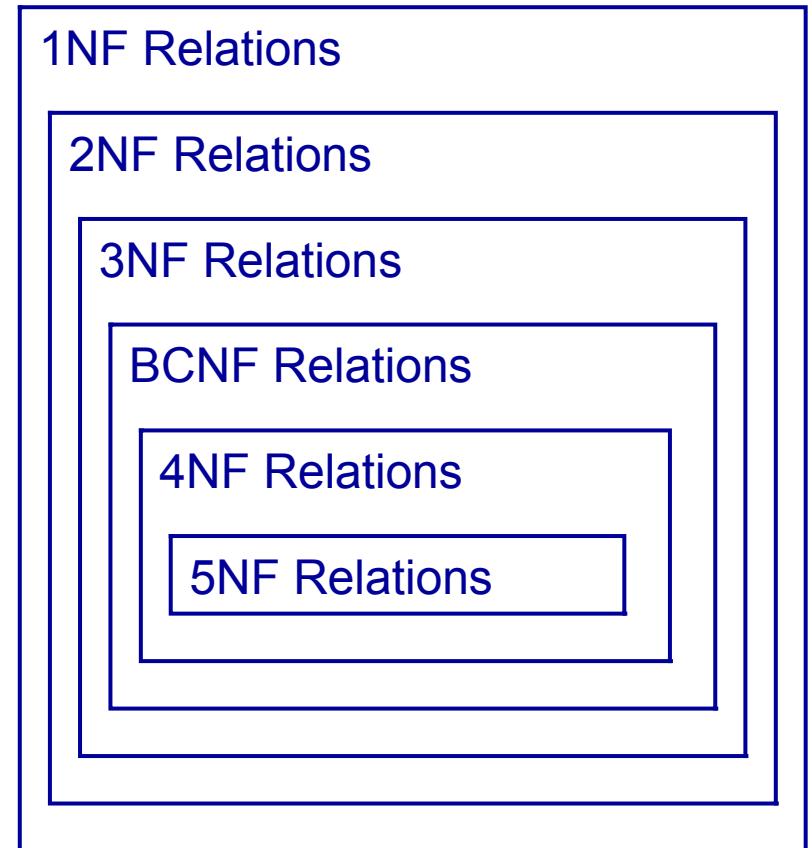
Stream has been put into BCNF but we have lost the FD  
 $\{\text{Student, Course}\} \rightarrow \{\text{Time}\}$

# Decomposition Properties

- Lossless: Data should not be lost or created when splitting relations up
- Dependency preservation: It is desirable that FDs are preserved when splitting relations up
- Normalisation to 3NF is always lossless and dependency preserving
- Normalisation to BCNF is lossless, but may not preserve all dependencies

# Higher Normal Forms

- BCNF is as far as we can go with FDs
  - Higher normal forms are based on other sorts of dependency
  - Fourth normal form removes multi-valued dependencies
  - Fifth normal form removes join dependencies



# Denormalisation

- Normalisation
  - Removes data redundancy
  - Solves INSERT, UPDATE, and DELETE anomalies
  - This makes it easier to maintain the information in the database in a consistent state
- However
  - It leads to more tables in the database
  - Often these need to be joined back together, which is expensive to do
  - So sometimes (not often) it is worth 'denormalising'

# Denormalisation

- You *might* want to denormalise if
  - Database speeds are unacceptable (not just a bit slow)
  - There are going to be very few INSERTs, UPDATEs, or DELETEs
  - There are going to be lots of SELECTs that involve the joining of tables

Address

Number	Street	City	Postcode
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Not normalised since  
 $\{\text{Postcode}\} \rightarrow \{\text{City}\}$

Address1

Number	Street	Postcode
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Address2

Postcode	City
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