1 Theoretical introduction

This section contains a short theoretical introduction to acoustics. First of all, the wave equation will be derived for propagation in a fluid. Secondly, a few essential properties regarding sound reflection and absorption will be defined.

1.1 The wave equation

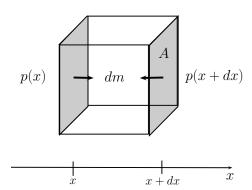
The derivation of the wave equation for propagation in a nonviscous fluid is based on Newton's law, the equation of continuity and the adiabatic gas law.

Newton's law

Consider an element of (nonviscous) fluid with mass dm in a volume Adx (see figure 1). The force acting in the x-direction on surface A at x due to the pressure of the surrounding fluid is F(x) = Ap(x), while the force at x + dx is

$$F(x + dx) = -Ap(x + dx) = -A[p(x) + \frac{\partial p}{\partial x}dx].$$

Here the sign is negative since the surrounding fluid pushes the element to the -x-direction. The total x-component of the force on mass dm is:



$$dF_x = F(x) + F(x + dx) = A[p(x) - p(x) - \frac{\partial p}{\partial x} dx] = -A \frac{\partial p}{\partial x} dx.$$

Figure 1

According to Newton's law, this force creates an acceleration of the mass element dm:

$$dF_x = -A\frac{\partial p}{\partial x}dx = \frac{\partial v_x}{\partial t}dm = \rho_0 A\frac{\partial v_x}{\partial t}dx$$

with ρ_0 the static value of the density ρ . Simplifying the previous equation gives

$$-\frac{\partial p}{\partial x} = \rho_0 \frac{\partial v_x}{\partial t}.$$

This derivation can be repeated for the y- and z-directions, which ultimately gives the following equation:

$$\vec{\nabla}p = -\rho_0 \frac{\partial \vec{v}}{\partial t}.\tag{1}$$

Equation of continuity

Given that mass is conserved in fluid dynamics, we can write an equation of continuity. Consider a volume element V containing fluid. Any change of mass in V is the result of a flow of particles in or out of this volume element. A change of mass can be written as:

$$\frac{\partial m}{\partial t} = \frac{\partial}{\partial t} \int_{V} \rho \, dV = \int_{V} \frac{\partial \rho}{\partial t} dV$$

Whereas the flux of particles in or out of the volume is characterised as:

$$\Phi_m = -\rho_0 \oint_A \vec{v} \cdot d\vec{A}$$

where $\vec{v} \cdot d\vec{A}$ selects the normal component of the velocity of the particles with respect to the surface element dA. The flux of particles can be rewritten according to the divergence theorem:

$$\Phi_m = -\rho_0 \oint_{\vec{A}} \vec{v} \cdot d\vec{A} = -\rho_0 \int_{V} (\vec{\nabla} \cdot \vec{v}) \, dV.$$

Since the change of mass is equal to the mass flux, following relation between the density and the velocity of the particles can be obtained:

$$\frac{\partial \rho}{\partial t} = -\rho_0(\vec{\nabla} \cdot \vec{v}) \tag{2}$$

Adiabatic gas law

It is assumed that the motion of the fluid is an adiabatic process. In combination with the first law of thermodynamics this gives

$$\delta Q = nC_V dT + pdV = 0.$$

If we suppose the fluid to be an ideal gas, following equation holds:

$$pdV + Vdp = nRdT$$
.

Combining the previous equations gives

$$(R+C_V)pdV + C_VVdp = 0$$
 or $\gamma \frac{dV}{V} + \frac{dp}{p} = 0$ with $\gamma \equiv \frac{R+C_V}{C_V} = \frac{C_p}{C_V}$.

Or in terms of the density:

$$\gamma \frac{d(m/\rho)}{m/\rho} + \frac{dp}{p} = -\gamma \frac{m}{\rho^2} \frac{d\rho}{m/\rho} + \frac{dp}{p} = -\gamma \frac{d\rho}{\rho} + \frac{dp}{p} = 0$$

In the assumption that the pressure and density variations are small, the equation becomes:

$$\frac{dp}{p_0} = \gamma \frac{d\rho}{\rho_0} \tag{3}$$

with ρ_0 the static density and p_0 the mean pressure of the surroundings.

Combination of previous equations

Combining equations (2) and (3) gives:

$$\frac{\partial p}{\partial t} = -p_0 \gamma \left(\nabla \cdot \vec{v} \right).$$

Taking the time derivative of the previous equation and substituting equation (1) gives

$$\frac{\partial^2 p}{\partial t^2} = -p_0 \gamma \frac{\partial (\nabla \cdot \vec{v})}{\partial t}$$

$$= -p_0 \gamma \left(\nabla \cdot \frac{\partial \vec{v}}{\partial t} \right)$$

$$= \frac{p_0 \gamma}{\rho_0} (\nabla \cdot \nabla p)$$

$$= \frac{p_0 \gamma}{\rho_0} \Delta p$$

$$= c^2 \Delta p$$

with $c \equiv \sqrt{\frac{p_0 \gamma}{\rho_0}}$ the speed of sound in the fluid.

1.2 Reflection and absorption

In acoustics, several important parameters can be determined in order to characterise the acoustical properties of an object. The definitions of these parameters will be stated in the following paragraphs.

Characteristic impedance

An important quantity is the characteristic impedance Z of a material. It is defined as

$$Z = \rho c$$

with ρ the density of the medium and c the longitudinal wave speed. In case of plane waves travelling through a homogeneous fluid (like air), the characteristic impedance equals the ratio of the complex pressure amplitude and the particle velocity:

$$Z = \frac{p}{v} = \rho c$$

where the particle velocity is evaluated in the direction of propagation.

The reflection coefficient

When a sound wave travels from one medium to another, it will be reflected and refracted at the boundary surface. Let us consider plane longitudinal waves:

$$p_{in} = p_{in,0} \exp \left[i(\vec{r} \cdot \vec{k}_{in} - \omega t) \right]$$

$$p_{ref} = p_{ref,0} \exp \left[i(\vec{r} \cdot \vec{k}_{ref} - \omega t) \right]$$

$$p_{tr} = p_{tr,0} \exp \left[i(\vec{r} \cdot \vec{k}_{tr} - \omega t) \right]$$

Let θ_{in} , θ_{ref} and θ_{tr} be the angles respective to the normal of the surface of the incoming wave (in), reflected wave (ref) and transmitted wave (tr) respectively. The norm of the wave vectors are

 $\left\| \vec{k}_{in} \right\| = \left\| \vec{k}_{ref} \right\| = \frac{\omega}{c_1}$ and $\left\| \vec{k}_{tr} \right\| = \frac{\omega}{c_2}$

with c_1 and c_2 the speeds of sound in medium 1 and 2. The acoustical waves are subject to boundary conditions at the surface. First of all, the law of action and reaction dictates that the pressure should vary continuously at the boundary: $p_{in} + p_{ref} = p_{tr}$. Secondly, the normal velocity of the particles remains the same in both media at the boundary, whereas the tangential component may differ: $v_{in,n} + v_{ref,n} = v_{tr,n}$.

These boundary conditions result in Snell's law

$$\|\vec{k}_{in}\|\sin\theta_{in} = \|\vec{k}_{ref}\|\sin\theta_{ref} = \|\vec{k}_{tr}\|\sin\theta_{tr}$$

and the following relations between the angles and the pressure amplitudes:

$$\begin{cases} p_{in,0} + p_{ref,0} = p_{tr,0} \\ \frac{1}{\rho_1 c_1} p_{in,0} \cos \theta_{in} - \frac{1}{\rho_1 c_1} p_{ref,0} \cos \theta_{ref} = \frac{1}{\rho_2 c_2} p_{tr,0} \cos \theta_{tr}. \end{cases}$$

These equations can be simplified by changing the variables to the pressure reflection coefficient $R_p = p_{ref,0}/p_{in,0}$ and the pressure transmission coefficient $T_p = p_{tr,0}/p_{in,0}$.

$$\begin{cases} 1 + R_p = T_p \\ \frac{1}{\rho_1 c_1} (1 - R_p) \cos \theta_{ref} = \frac{1}{\rho_2 c_2} T_p \cos \theta_{tr}. \end{cases}$$

The solution of this set of equations is

$$R_p = \frac{Z_2 \cos \theta_{in} - Z_1 \cos \theta_{tr}}{Z_2 \cos \theta_{in} + Z_1 \cos \theta_{tr}} \quad \text{and} \quad T_p = \frac{2Z_2 \cos \theta_{in}}{Z_2 \cos \theta_{in} + Z_1 \cos \theta_{tr}}.$$

with $Z_1 = \rho_1 c_1$ the characteristic impedance of fluid 1 and $Z_2 = \rho_2 c_2$ the impedance of medium 2. The reflection coefficient ranges from -1 to 1, while the transmission coefficient has a value between 0 and 2. A value greater than 1 for the transmission coefficient may seem in disagreement with conservation of energy, but that is not the case. We speak of pressure coefficients, instead of intensity coefficients.

The average acoustic intensity \vec{I} of a sound wave is defined as

$$\vec{I} = \frac{1}{T} \int_0^T p(t)\vec{v}(t) dt.$$

with p the instantaneous pressure and \vec{v} the particle velocity. It equals the sound power per unit area. For plane waves, the magnitude of the intensity becomes

$$I = \frac{1}{T} \int_0^T \frac{(p(t))^2}{Z} dt = \frac{p_{rms}^2}{Z}.$$

The reflection and transmission coefficients are then defined as

$$R_I = \frac{I_{ref}}{I_{in}} = \frac{p_{ref,rms}^2}{Z_1} \frac{Z_1}{p_{in,rms}^2} = R_p^2$$
 and $T_I = \frac{I_{tr}}{I_{in}} = \frac{p_{tr,rms}^2}{Z_2} \frac{Z_1}{p_{in,rms}^2} = T_p^2 \frac{Z_1}{Z_2}$.

When $Z_1 \ll Z_2$, $T_p \approx 2$, but T_I becomes 0. Hence there is no discrepancy.

The absorption coefficient

The sound absorption coefficient is defined as the fraction of acoustic energy that is absorbed by the surface on reflection [?, p.12]. When dealing with incident plane waves, the absorption coefficient can be written as a function of the (pressure) reflection coefficient:

$$\alpha(\theta_{in}) = 1 - |R_p(\theta_{in})|^2.$$