

# Homework 3

Robbie McKinsty, Jack McQuown, Cyrus Ramavarapu

7 September 2016

## Problem 9:

### A

The algorithm whereby the skier and ski whose height difference is minimized gets assigned first and then the process is repeated is incorrect.

Consider the following counter example:

$$\text{Skiers} = \{1, 2, 3\}$$

$$\text{Skis} = \{2.5, 2.6, 3.6\}$$

This greedy algorithm will produce the following pairings:

$$(\text{Skiers}, \text{Skis}) = \{(1, 3.6), (2, 2.5), (3, 2.6)\}$$

This has an average height difference of  $3.5/3$ .

An optimal pairing would be:

$$(\text{Skiers}, \text{Skis}) = \{(1, 2.5), (2, 2.6), (3, 3.6)\}$$

This has an average height difference of  $2.7/3$ .

## B

This greedy algorithm can be shown to be correct using an exchange argument.

Let  $Alg$  be the process by which the greedy algorithm operates. Assume there exists some input  $I$  such that  $Alg(I)$  is incorrect. Let  $Opt(I)$  be the optimal output for input  $I$  that agrees with  $Alg(I)$  for the greatest number of steps.

Since  $Opt(I)$  cannot equal  $Alg(I)$ , there must be an earliest step of disagreement. Label this step  $i$ .

At step  $i$ , let  $Alg(I)$  select  $(x_a, y_a)$  and let  $Opt(I)$  select  $(x_i, y_i)$ .

Since for all steps  $t < i$ ,  $Opt_t(I) = Alg_t(I)$ , the values  $x_a$  and  $y_a$  must be used at some step after  $i$  in  $Opt(I)$ ; however,  $x_a$  and  $y_a$  need not be used together in the same step.

Additionally, since  $Alg(I)$  always picks the two smallest available elements and pairs them together, the pair  $x_a$  and  $y_a$  are the smallest possible values available at step  $i$ . Therefore, in  $Opt(I)$ ,  $x_a$  and  $y_a$  are respectively smaller than any other  $x$  and  $y$  used by  $Opt(I)$  after step  $i$ .

Let the step beyond  $i$  that  $Opt(I)$  uses  $x_a$  and  $y_a$  be respectively  $u$  and  $v$ . Without loss of generality, let  $u < v$ . Define  $Opt'(I)$  as  $Opt(I)$  except for all steps  $k : i \leq k \leq v$  sort the  $x$  and  $y$  values in ascending order. This sort is done independently on the  $x$  and  $y$  values. For example, if  $x_i > x_j$  and  $y_i < y_j$ ,

$$Sort((x_i, y_i), (x_j, y_j)) \rightarrow ((x_j, y_i), (x_i, y_j))$$

Since  $x_a$  and  $y_a$  are the minimum values in the range  $k : i \leq k \leq v$ , they will be placed at step  $i$ . Hence,  $Opt'(I)$  agrees with  $Alg(I)$  for at least one more step than  $Opt(I)$ .  $Opt'(I)$  is also a feasible solution since every element is still used.

To show that  $Opt'(I)$  is at least as optimal as  $Opt(I)$  upon sorting requires showing that  $|x_i - y_i| + |x_j - y_j|$  does not increase after the sort. This follows from the following cases which fix  $y_i < y_j$ . The cases where  $y_i$  is not less than

$y_j$  are analogous since a conflict only arises when both  $x$  and  $y$  are sorted.

*Case 1:  $x_i < y_i$*

In this case  $x_j < x_i < y_i < y_j$ . Therefore,  $(x_i - y_i) < 0$  and  $(x_j - y_j) < 0$ . By the definition of the absolute value, the following holds true:

$$|x_i - y_i| + |x_j - y_j| = -(x_i - y_i) - (x_j - y_j) = -x_i + y_i - x_j + y_j$$

This can be rearranged and the absolute values can be replaced, giving:

$$|x_i - y_j| + |x_j - y_i|$$

This is equivalent to the sum if the values were sorted, thereby showing that the value of the sum does not change under sorting.

*Case 2:  $x_i = y_i$*

Since  $x_i = y_i$ ,  $(x_i - y_i) = 0$ . The sum can therefore be expanded as  $-(x_i - y_i) - (x_j - y_j)$  since  $x_j < x_i$ . Rearranging these values adding the absolute values gives:

$$|x_i - y_j| + |x_j - y_i|$$

*Case 3:  $x_j < y_i < x_i < y_j$*

Based on the inequality, the definition of the absolute value function can be used to show

$$|x_i - y_i| + |x_j - y_j| = x_i - y_i - (x_j - y_j) = x_i - y_i - x_j + y_j$$

Since

$$y_i < y_j$$

and

$$x_i - y_i - x_j + y_j > x_i + y_i - x_j - y_j$$

because the positive quantity  $(y_j - y_i)$  has been replaced with the negative quantity  $(y_i - y_j)$ . This lower bound can be rewritten as  $|x_i - y_j| + |x_j - y_i|$ , thereby showing that the sorting of the values actually reduces the sum.

Cases such as  $y_i < x_j < x_i < y_j$  and  $y_i < y_j < x_j < x_i$  have similar explanations showing the sum after a sort being equal to or less than the unsorted sum.

Since sorting will produce at least as optimal a result as  $Opt(I)$ ,  $Opt'(I)$  is at least as optimal as  $Opt'(I)$ , but agrees with more steps than  $Opt(I)$  which is a contradiction, proving that there is no input  $I$  for which the greedy algorithm  $Alg$  is incorrect.