- A survey of registration practices among observational researchers using preexisting datasets
- Robert T. Thibault^{1,5}, Marton Kovacs^{2,6}, Tom E. Hardwicke³, Alexandra Sarafoglou⁴, John P. A. Ioannidis⁴, & Marcus R. Munafò^{1,7}
- ¹ Meta-Research Innovation Center at Stanford (METRICS), Stanford University.
- ² Doctoral School of Psychology, ELTE Eotvos Lorand University, Budapest, Hungary
- ³ Melbourne School of Psychological Sciences, University of Melbourne.
 - ⁴ Department of Psychology, University of Amsterdam.
- ⁵ School of Psychological Science, University of Bristol.
- ⁶ Institute of Psychology, ELTE Eotvos Lorand University, Budapest, Hungary
 - ⁷ Meta-Research Innovation Center Berlin (METRIC-B), QUEST Center for Transforming
- Biomedical Research, Berlin Institute of Health, Charité Universitätsmedizin Berlin.
- ⁸ MRC Integrative Epidemiology Unit at the University of Bristol.
- ⁹ Departments of Medicine, Epidemiology and Population Health, Biomedical Data
 Science, and Statistics, Stanford University.

11

- The authors made the following contributions. Robert T. Thibault:
- ¹⁸ Conceptualization, Data curation, Formal analysis, Funding acquisition, Investigation,
- 19 Methodology, Project administration, Resources, Supervision, Validation, Visualization,
- Writing original draft, Writing review & editing; Marton Kovacs: Data curation, Formal
- 21 analysis, Software, Validation, Visualization, Writing review & editing; Tom E.
- 22 Hardwicke: Methodology, Writing review & editing; Alexandra Sarafoglou: Methodology,
- Writing review & editing; John P. A. Ioannidis: Methodology, Writing review & editing;
- Marcus R. Munafò: Conceptualization, Methodology, Supervision, Writing review &
- editing.
- 26 Correspondence concerning this article should be addressed to Robert T. Thibault,
- 27 Enter postal address here. E-mail: robert.thibault@stanford.edu

28 Abstract

29 placeholder for an abstract

30 Keywords: keywords

Word count: X

A survey of registration practices among observational researchers using preexisting

datasets

Introduction

35 Methods

36 Results

37 Participants

34

- We invited the ALSPAC mailing list to participate, which included 1148 email addresses. 54 emails bounced, leaving 1094 emails that went through. The survey was completed 107 times and partially completed 21 times, leading to a response rate of 10% for complete surveys and 2% for incomplete surveys. The median time taken for complete survey responses was 7.22 minutes (IQR: 4.30 to 13.10).
- Respondents published a median of NA (IQR 2 to 25) studies using preexisting
 observational data (Figure S1). They reported using the programming languages R (n =
 65), Stata (n = 48), SPSS (n = 17), SAS (n = 15), Python (n = 6), Mplus (n = 3), Bash
 (n = 2), MATLAB (n = 1), Nextflow (n = 1), and plink2 (n = 1) (Table S1)². 61% of
 participants reported being more concerned with research trustworthiness, bias, rigour, and
 reproducibility compared to what they think of as a typical research who uses preexisting
 observational data (Figure C2); 6% reported being less concerned.

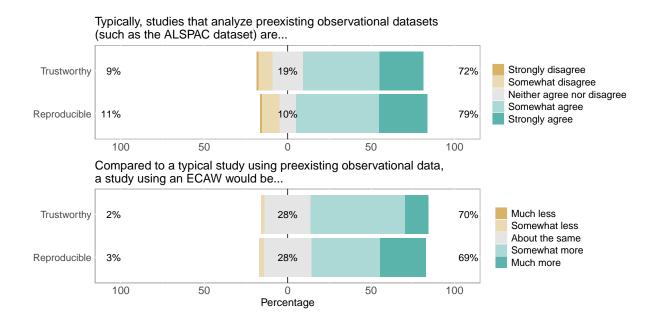


Figure 1. Caption goes here...

50 Survey results

Respondents generally agreed that studies that analyze preexisting observational datasets are trustworthy³ (72%) and reproducible⁴ (79%) (Figure 1A). At the same time, many agreed that a study using an ECAW would be *more* trustworthy (70%) and *more* reproducible (69%) compared to a typical study using preexisting observational data (Figure 1B).

¹ The ALSPAC mailing list has been active for >30 years and may contain email addresses that are no longer monitored. For example, we received one email reply stating that the recipient hasn't been active in research for 30 years. Excluding these email addresses would increase the response rate, but we do not know by how much.

² Participants could select multiple responses to this survey question.

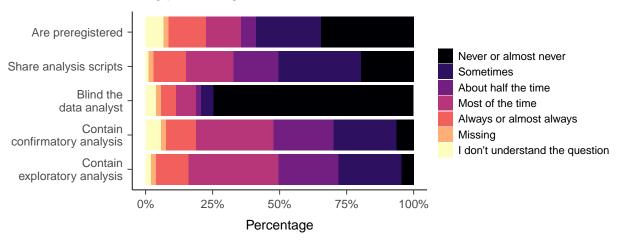
³ The survey defined trustworthy as: "meaning that the results and conclusions of the publications are valid, reliable, rigorous, and accurate. That they merit trust."

⁴ The survey defined reproducible "in the sense that other researchers re-analysing the data with the same research question would produce similar results."

Over half of respondents reported that their studies using preexisting observational
data are preregistered never or almost never (38%), or sometimes (27%) (Figure 2A).

About half reported sharing their analysis scripts never or almost never (20%), or
sometimes (32%) (Figure 2B). 79% reported that they never or almost never blind the data
analyst (Figure 2C). Almost all respondents answered that they use both exploratory
(95%) and confirmatory (92%) analyses at least sometimes (Figure 2D-E).

The studies using preexisting observational data that I am involved in...



25% of respondents agreed (versus 44% who disagreed) that they would be less

Figure 2. Caption goes here...

62

willing to use ALSPAC data if they were required to use an ECAW (Figure 3). 53% agreed (20% disagreed) that they would opt-in if ALSPAC ran a study on ECAWs. 55% agreed (10% disagreed) that ALSPAC should run a study on ECAWs. 45% agreed (22% disagreed) that they would prefer using an ECAW than using typical preregistration.

Table 1. Recurring topics in responses to the open-ended survey questions. The survey included 4 open-ended questions with broad prompts regarding running a study on ECAWs, benefits and drawbacks of ECAWs, related research practices, and general comments. These questions received a total of (92) responses from (55) unique respondents. A complete list of responses are viewable in the open data [LINK]. We synthesized the response to open-ended questions into the 9 topics on the left side of this

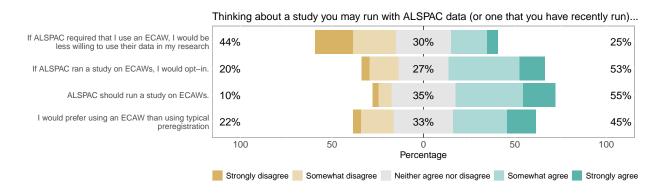


Figure 3. Caption goes here...

- table. We divide these into three sections: (i) concerns about the acceptability of ECAWs,
- 74 (ii) concerns that ECAWs will not have their intended impact, and (iii) alternative
- 15 interventions that may achieve similar goals as typical preregistration and ECAWs. On the
- right side of the table, we provide a reflection on each topic.

77 Exploratory analyses

79

78 Discussion

Acknowledgements

80 References

$\label{eq:Appendix} \mbox{Supplementary materials C}$

Approximately how many studies have you published using a preexisting observational dataset (e.g., the ALSPAC dataset)?

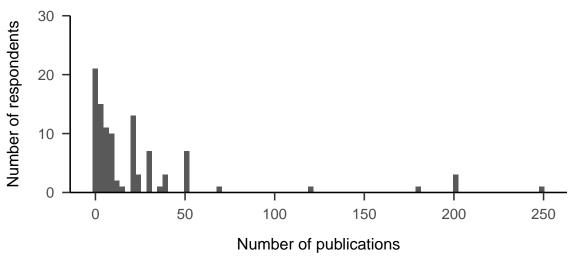


Figure A1. Caption goes here...

Table A1

What programming language or software do you use for your analyses of preexisting observational data?

Programming language	N	Percentage of respondents
R	65	61
Stata	48	45
SPSS	17	16
SAS	15	14
Python	6	6
Missing	4	4
Mplus	3	3
Bash	2	2
MATLAB	1	1
Nextflow	1	1
plink2	1	1

Compared to what you think of as a typical researcher who uses preexisting observational data in your field, how concerned are you with research trustworthiness, bias, rigour, and reproducibility ...

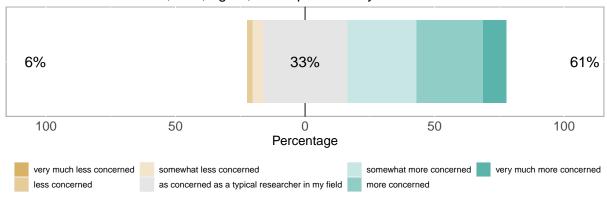


Figure A2. Caption goes here...