

# Alignment-free tools for metagenomics-data analysis

Robert Deibel

Eberhard-Karls Universität Tübingen

*robert.deibel@student.uni-tuebingen.de*

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## 1 Metagenomics

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- NGS and alignment

## 2 Alignment-based approach

## 3 Alignment-free methods

- Statistics as similarity measurement
- CVTree
- $D_2^S$ ,  $D_2^*$  and their normalization
- Consideration of mismatches
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- Machine learning – BH-SNE

## Metagenome

- A metagenome is the whole set of transcripts found in a sample.
- Metagenomics is the study of those
- $> 90\%$  unculturable microorganisms
- design of antibiotics, analysis of microorganismal life

## NGS and alignment

- Advances in sequencing made metagenomics possible
- NGS generates comparable reads

## Goals

- insight in microorganismal life
- first evidence of origin and function
- independent from databases and coding regions

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## Disadvantages

- Low speed
- Dependent of databases
- Unsequenced transcripts cannot be matched
- Databases mostly consist of coding sequences

# Different approaches

## Statistics

- Utilizes statistics differing in power
- based on  $k$ -tuple counts
- Have to be applied through (dis)similarity matrix
- Further analysis follows

## Machine learning

- Optimization of a function
- based on  $k$ -mer signature
- applies BH-SNE
- Visualizes data in scatter plots

# Measuring similarity

$$D_2 = \sum_{w \in \mathcal{A}^k} X_w Y_w$$

where:

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- $\mathcal{A}$  alphabet
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## Problem

$D_2$  is not normalized  $\Rightarrow$  results vary on different factors

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- $E(D_2)$  and  $\text{Var}(D_2)$  calculated with Markov chain in mind

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MC of order zero

Probability of  $A = B$  or...

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$$\sum_{|w|=k} p^A(w_1) p^A(w|w_1) p^B(w_1) p^B(w|w_1)$$

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- Observations in composition vector
- subtraction of background "noise" through MC
- $C$  is cosine between vectors



**Figure:** Computed phylogenetic tree through application of neighbor joining dissimilarity matrix



# Nucleotide frequency

- Related approach to *Hao*
- Consider di-nucleotide frequency

$$\rho_{ab}(A) = \frac{f_{ab}}{f_a f_b}$$

- Can be extended to tri- and tetra nucleotides
- $l_p$  norm as dissimilarity measure

$$\delta(A, B) = \sum_{ab \in A} |\rho_{ab}(A) - \rho_{ab}(B)|$$

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### Conclusions

- 1  $D_2^S$  and  $D_2^*$  have higher power than  $D_2$
- 2  $D_2^*$  has highest power when  $k$  equals *motif* length
- 3  $D_2^*$  has higher power for short sequences

but again both not normalized

## Normalization

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- 0 when sequences are the same and close to one if anti-correlated
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## Consideration of mismatches

- instead of  $w$  the statistics should consider the neighborhood  $\varsigma(w)$
- If  $w' \in \varsigma(w)$   $w'$  has a certain number of mismatches with  $w$
- Reverse complement can be included similarly
- statistics can then be modified

# Performance under the mismatch model

## Test parameters

- sequences from mouse embryo
- positive and negative set maximum of 30% repetitions
- Dissimilarity was calculated
- Threshold was applied
- prediction of dissimilarity lower than threshold resulted in positives
- Predictions were compared to real data
- Testing with different parameters for  $k$ ,  $r$  and mismatch weight



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## Test conclusions

- *Hao* performed worse than  $d_2^S$  and  $d_2^*$
- $d_2^S$  and  $d_2^*$  performed best with mismatch weight of 0.05 and  $k = 4$
- Overall  $d_2^S$  achieved best results in testing

# Machine learning – BH-SNE

An example of the `\cite` command to cite within the presentation:

This statement requires citation [Smith, 2012].



John Smith (2012)

Title of the publication

*Journal Name* 12(3), 45 – 678.

# The End