

# On-line Processing of “Pop-Out” Words in Spoken French Dialogues

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## Abstract

■ Highlighting relevant information in a discourse context is a major aim of spoken language communication. Prosodic cues such as focal prominences are used to fulfill this aim through the pragmatic function of prosody. To determine whether listeners make on-line use of focal prominences to build coherent representations of the informational structure of the utterances, we used the brain event-related potential (ERP) method. Short dialogues composed of a question and an answer were presented auditorily. The design of the experiment allowed us to examine precisely the time course of the processing of prosodic patterns of sentence-medial or -final words in the answer. These patterns were either congruous or incongruous with regard to the pragmatic context introduced by the question. Furthermore, the ERP effects were compared for words with or without focal

prominences. Results showed that pragmatically congruous and incongruous prosodic patterns elicit clear differences in the ERPs, which were largely modulated in latency and polarity by their position within the answer. By showing that prosodic patterns are processed on-line by listeners in order to understand the informational structure of the message, the present results demonstrate the psychobiological validity of the pragmatic concept of focus, expressed via prosodic cues. Moreover, the functional significance of the positive-going effects found sentence medially and negative-going effects found sentence finally is discussed. Whereas the former may reflect the processing of surprising and task-relevant prosodic patterns, the latter may reflect the integration problems encountered in extracting the overall informational structure of the sentence. ■

## INTRODUCTION

Imagine that you hear the following short dialogue: The mother asks “Did he give his fiancée a ring or a bracelet?” and her daughter answers “He gave a RING to his fiancée,” stressing the relevant information. In this discourse context, the word *RING* “pops out” from the string of words in the daughter’s answer. In other words, speakers can choose to emphasize the relevant information (i.e., the “figure,” here brought out by the word RING)<sup>1</sup> so that it stands out from the rest of the message already given in the question (i.e., the “ground,” which is the context of communication, or mental space, shared by both speakers; Fauconnier, 1984).<sup>2</sup> In this case, this emphasis is achieved by means of prosodic variations.

Prosody can broadly be defined at the abstract phonological level as the patterns of stress and intonation in a spoken language, and at the concrete acoustic level by several parameters: fundamental frequency ( $F_0$ ), intensity, duration, and spectral characteristics. Prosody con-

veys both emotional and linguistic information in spoken language. The linguistic function of prosody operates at both the lexical (e.g., meter) and structural levels (stress and intonation patterns). Moreover, the structural function of prosody in utterance parsing and hierarchical organization can be seen at both the syntactic (phrasing) and pragmatic levels (e.g., Di Cristo, 1999; Hirst & Di Cristo, 1998; Cutler, Dahan, & van Donselaar, 1997, for a comprehensive review of the functions of prosody). From a pragmatic point of view, speakers use prosody to organize their message, and listeners use it to identify relevant information in an utterance. Communication is optimal when information is successfully conveyed; that is, when within a general given context and background shared knowledge (common mental space) speakers successfully bring to the foreground the relevant new information they want to convey.

The process by which new information becomes cognitively relevant is known as *focus*. There are two approaches to focus: bottom-up and top-down. In the bottom-up approach, prosodic variations in the speech signal are interpreted phonologically to build up a linguistic representation. Conversely, in the top-down approach, which we adopt, focus is a pragmatic con-

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cept that can be realized either through the combination of syntactic construction and prosodic cues or through prosodic cues only. Indeed, in many languages, and typically in spoken French, focus can be marked through syntactic constructions (change in word order, use of specific morphemes, i.e., grammatical focus). For example, to the question “Qui a mangé le gâteau?” [Who ate the cake?], speakers will tend to answer “C’est Marie qui a mangé le gâteau” [It’s Marie who ate the cake]. The syntactic construction “C’est Marie” bears the focus, whereas the second clause is part of the ground or shared knowledge. However, there are also cases, which are the objects of study here, in which focus is not expressed via syntactic means but through prosodic variations, so that prosodically prominent elements stand out from the rest of the sentence (“MARIE a mangé le gâteau”/“MARIE ate the cake”) and highlight the relevant information of the utterance. Focus can be broad when all parts of an utterance are equally informative or narrow when a specific lexical item is given additional weight (Ladd, 1980, 1991).

In the present experiment, we manipulated a particular type of narrow focus, *contrastive focus*, to specifically analyze the prosodic marking of focus. Contrastive focus induces an exclusive selection in a paradigmatic class (Di Cristo, 1998). As exemplified by the dialogue at the beginning of this article, the question (“Did he give his fiancée a ring or a bracelet?”) introduces a paradigmatic opposition between the words “ring” and “bracelet,” which is resolved by a prosodic prominence on the relevant word in the answer. Indeed, in French, speakers generally use a global rising/falling intonation pattern to realize contrastive focus. This pattern is characterized phonetically by extra pitch prominence, longer duration and greater  $F_0$  excursions and intensity mainly on the first syllable (Astésano, Magne, et al., 2004; Astésano, 2001; Lacheret-Dujour & Beaugendre, 1999; Di Cristo, 1998; Pasdeloup, 1990; Séguinot, 1976). Contrastive focus also induces the prosodic reconfiguration of surrounding words, and relative prominence is altered. Thus, phonetically, intensity and pitch patterns are reduced around the focal element; more specifically, pitch prominences are reduced in amplitude before focus and postfocal elements are produced with a slightly declining, low  $F_0$  pattern without pitch prominence (downstepped tonal pattern; Astésano, Magne, et al., 2004; Di Cristo & Jankowski, 1999).

The specific aim of our study was to determine whether listeners make on-line use of prosodic prominences to process relevant information in a discourse context. Indeed, although the prosodic marking of focus is an important aspect of spoken language comprehension that has largely been studied by linguists, phoneticians, and psycholinguists, it has not been much studied by neurolinguists. Directly related to our concerns, Most and Saltz (1979), in one of the first

studies within the large phonetics literature on the intonation–discourse interface, asked listeners to pair “wh” questions with their appropriate answers. They found that the position of the accentuation (focal accent) in the answer largely determined the listener’s pairing choices. More recently, Birch and Clifton (1995) also manipulated the prosodic–pragmatic congruence of question–answer pairs; they demonstrated that accenting *new/relevant* information and de-accenting *given/background* information in the answers led to faster comprehension judgments and higher prosodic appropriateness ratings than when the reverse was used. Thus, appropriate accentuation clearly seems to facilitate speech comprehension (see also Terken & Nooteboom, 1987; Bock & Mazzella, 1983; Brown, 1983).

However, as noted by Venditti and Hirschberg (2003), off-line comprehension tasks have most often been used in this field of research, so on-line measures are strongly needed. Thus, the aim here is to shed light on the psychophysiological underpinnings of the prosodic marking of focus by using a method that allows for investigation of the precise time course of spoken language comprehension as it unfolds in real time: the brain event-related potential (ERP) method. Before going into the details of the experiment, we briefly describe previous relevant results in the literature on the electrophysiology of language processing.

### Electrophysiological Correlates of Language Processing

Over the past 20 years, the ERP literature has mainly been focused on the electrophysiological correlates of semantic and syntactic processing rather than prosodic and pragmatic processing. The first language-related component to be discovered was the N400 (Kutas & Hillyard, 1980), a negative component peaking around 400 msec post critical word onset. Since then, numerous results have been reported that are in line with the general interpretation after which the N400 reflects semantic processing and the integration of word meaning in sentence or discourse contexts (see Besson, Magne, & Regnault, 2004; Brown & Hagoort, 1999, for reviews). Regarding syntax-related ERP effects, violations of phrase structure, word agreement, verb tense, and subcategorization elicit late positive components, peaking around 600 msec after the onset of the critical word (i.e., the P600 component; Hagoort, Brown, & Groothusen, 1993; Osterhout & Holcomb, 1992). Word category and morphosyntactic violations also elicit the occurrence of negative components, peaking around 300–500 msec and with maximum amplitude over left anterior regions (i.e., the left anterior negativity or LAN; Hahne & Friederici, 1999; Gunter, Stowe, & Mulder, 1997).

More recently, several studies have been designed to examine the neurophysiological basis of some of the aspects of prosody described above. For instance, Schirmer, Kotz, and Friederici (2002) investigated the emotional function of prosody by manipulating the intonation contour of sentences (e.g., “Yesterday, she had her final exam”) that were spoken with either happy or sad intonation. Sentences were followed by a visually presented target word. Results showed that the N400 component was smaller for visual target words that matched (e.g., “success” following a sentence spoken with a happy intonation) compared with those that did not match sentence prosody (e.g., “success” following a sad intonation). These results were taken to illustrate the influence of emotional prosody on semantic processing (Besson, Magne, & Schön, 2002).

prosodically congruous or incongruous. Prosodic incongruities were created by cross-splicing the beginning of statements (declarative sentences) with the end of questions (interrogative sentences) and vice versa. Results showed that a left temporoparietal positive component (P800) was associated with prosodic mismatch. Moreover, the finding that the P800 component was larger when the sentences were semantically incongruous than congruous suggests that at least some aspects of semantic and prosodic processing may be interactive.

**Table 1.** Examples of Stimuli in the Four Experimental Conditions

Conditions	Sentence-Medial Word	Sentence-Final Word	Example
MF <sub>0</sub>	Expected focus	Expected no focus	A-t-il donné une bague ou un bracelet à sa fiancée? (Did he give his fiancée a ring or a bracelet?) Il a donné une <i>bague</i> à sa fiancée. (He gave a <i>ring</i> to his fiancée.)
*MF <sub>0</sub>	Inappropriate accent	Missing accent	A-t-il donné une bague à sa fiancée ou à sa soeur? (Did he give a ring to his fiancée or his sister?) Il a donné une <i>bague</i> à sa fiancée. (He gave a <i>ring</i> to his fiancée.)
M <sub>0</sub> F	Expected no focus	Expected focus	A-t-il donné une bague à sa fiancée ou à sa soeur? (Did he give a ring to his fiancée or his sister?) Il a donné une bague à sa <i>fiancée</i> . (He gave a ring to his <i>fiancée</i> .)
*M <sub>0</sub> F	Missing accent	Inappropriate accent	A-t-il donné une bague ou un bracelet à sa fiancée? (Did he give his fiancée a ring or a bracelet?) Il a donné une bague à sa <i>fiancée</i> . (He gave a ring to his <i>fiancée</i> .)

Note that across participants, the very same sentences were used in the four conditions. They were pragmatically congruous or incongruous only in relation to the preceding questions.

accents to elicit different ERP patterns. Based on results obtained by Astésano, Besson, et al. (2004) and Steinhauer et al. (1999), showing that prosodic processing is generally associated with increased positivity, and because highlighting irrelevant information should create a surprise for the listener, we predicted that inappropriate focal accents (\*MF<sub>0</sub> and \*M<sub>0</sub>F) should elicit larger positive components compared with the same focal accents when they are pragmatically congruous (MF<sub>0</sub> and M<sub>0</sub>F conditions). However, if the prosodic marking of focus directly supports the integration of words within the discourse context, a larger N400 component may also be elicited by pragmatically incongruous words, so as to obtain only an N400, as reported by Schirmer et al. (2002), or an N400 followed by a positivity, as reported by Steinhauer et al. (1999).

In addition, this design also allows us to examine the electrophysiological consequences of a missing focal accent. Indeed, when an inappropriate accent is present on medial words (\*MF<sub>0</sub>), this implies that there is no accent on final words although one is expected. Similarly, an inappropriate accent on final words (\*M<sub>0</sub>F) implies that no accent is present on medial words whereas one is expected. We predicted that the absence of a focal accent when one is expected (e.g., fiancée in \*MF<sub>0</sub> and ring in \*M<sub>0</sub>F) should also be surprising (as inappropriate focal accents, although not necessarily to the same extent) and elicit an increased positivity compared with the same words when no focal accent is expected (e.g., fiancée in conditions MF<sub>0</sub> and ring in M<sub>0</sub>F). Note also that the absence of prosodic marking may hinder comprehension and that, conse-

quently, an N400, possibly followed by a positivity, may be generated.

As mentioned above, words with and without focal contrastive accents differ in their acoustic characteristics. It was therefore of interest to determine whether such differences would influence early ERP components. Moreover, by comparing the pragmatic congruity effects for words with and without focal contrastive accents, it was possible to address the issue of whether pragmatic congruity effects are similar or different for words with different acoustic properties.

Finally, it was of interest to study the influence of the position of the focused words within the sentence (medial vs. final). Indeed, several results in the literature point to the importance of word position on semantic, syntactic, and pragmatic effects. For instance, Van Petten and Kutas (1990) showed that the sentence-final congruous words, which are typically more expected than the first or medial words because of the building up of a sentence context, are associated with smaller N400 components. By contrast, morphosyntactic violations typically elicit larger negative components when they are located sentence medially than sentence finally (Osterhout, 1997). Because different types of integration processes (semantic, syntactic, pragmatic) are likely to occur at the end of the target sentence (i.e., answers), it may well be that the electrophysiological effects of pragmatic violations, realized through inappropriate or missing focal accents, would differ as a function of their medial or final positions within the sentence.

To summarize, this design allows us to investigate the time course of contextually induced predictions related

to prosodic marking of focus when they are fulfilled or not. These effects can be compared with the effects for words with or without focal accents when they are located sentence medially or sentence finally within the target answer.

## RESULTS

### Coherence Judgment

According to the preceding question context, participants judged 95% of the answers with expected focal accents ( $MF_0$  and  $M_0F$ ) as acceptable and 92% of the answers with inappropriate focal accents ( $*MF_0$  and  $*M_0F$ ) as unacceptable. These results clearly demonstrate that participants were sensitive to the pragmatic congruity of the focal contrastive accents.

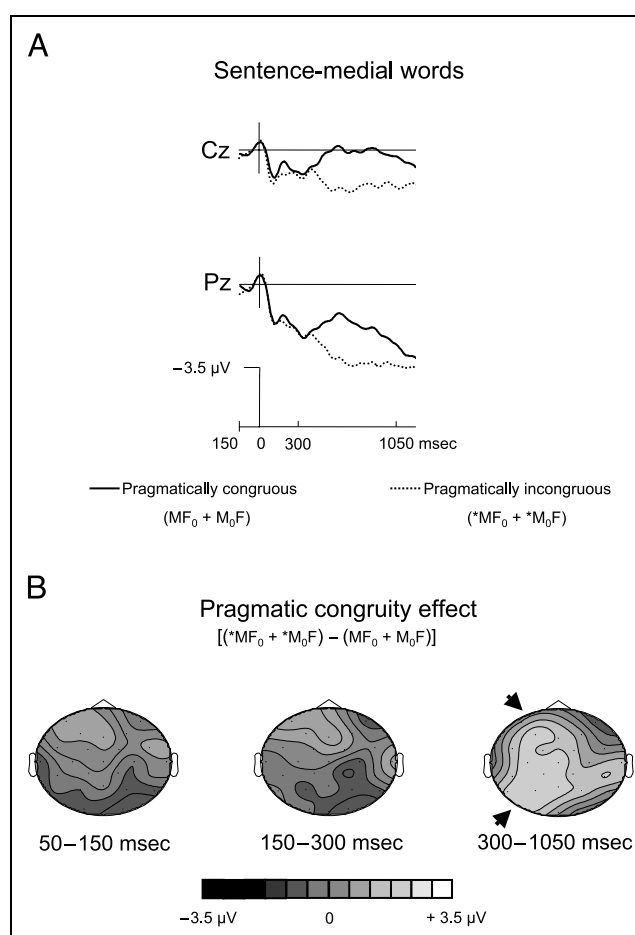
### Electrophysiological Data

#### Visual Inspection

As can be seen clearly in Figures 1A and 2A, the pragmatic congruity effect (i.e., the difference between words with or without pragmatically congruous patterns and words with or without pragmatically incongruous accents) is reversed in polarity for sentence medial and final words. Indeed, whereas pragmatically incongruous accents elicit an increased positivity when occurring sentence medially, they elicit an increased negativity when occurring sentence finally. Moreover, this pragmatic congruity effect seems to start earlier for final (around 150 msec) than medial words (around 300 msec). However, note that for sentence-medial words, a small positive pragmatic congruity effect develops at left frontal sites in the 150- to 300-msec latency band and increases in amplitude and extends over centroparietal sites from 300 to 1050 msec (see Figure 1B). For sentence-final words, a clear negative effect develops early over midline frontal sites in the 50- to 150- and 150- to 300-msec latency bands and then extends over centroparietal sites from 300 to 1050 msec (see Figure 2B).

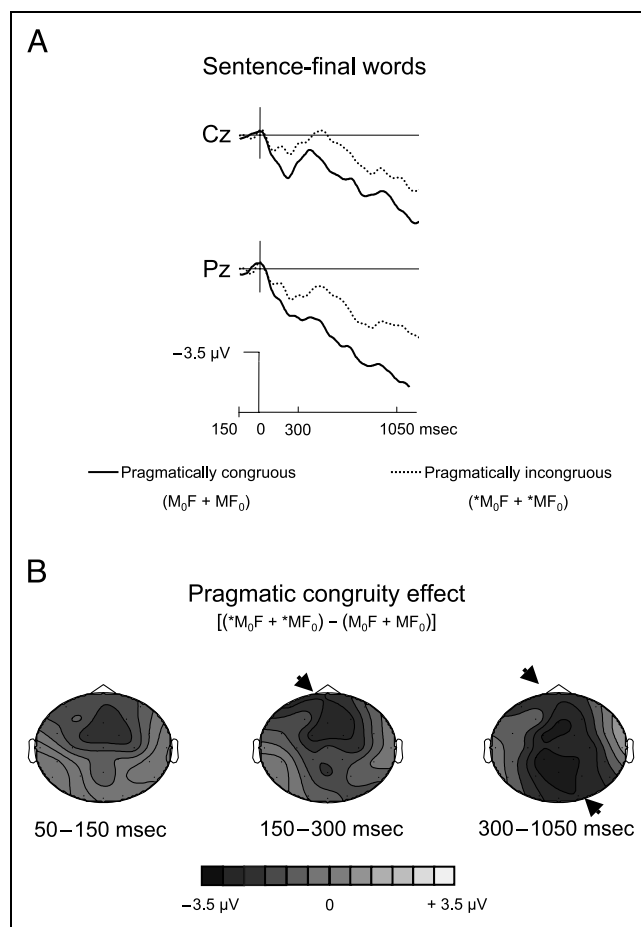
#### Statistical Analyses

**General analyses of variance.** In order to examine these ERP effects in more detail, mean amplitude of the ERP waveforms were measured in successive 150-msec latency windows from focal accent onset<sup>3</sup> until 1050 msec afterward, relative to a 150-msec baseline. Analyses of variance (ANOVAs) were computed separately for midline and lateral electrodes, and the  $p$  values reported below were adjusted with the Greenhouse–Geisser epsilon correction for nonsphericity. The uncorrected degrees of freedom and the probability level after correction are reported. ANOVAs for midline sites included Position of focal accents (on medial vs. final



**Figure 1.** Middle words. (A) Averaged electrophysiological data, recorded from 16 participants, and time locked to the onset of pragmatically congruous (solid trace) or pragmatically incongruous (dotted trace) prosodic patterns, at central (Cz) and parietal (Pz) electrodes. On this figure and the followings ones, the amplitude (in microvolts) is in the ordinate (negative up) and the time (in milliseconds) in the abscissa. Note that ERPs in the  $MF_0$  (expected focal accent) and  $M_0F$  (expected no focal accent) conditions were averaged together to form the ERPs to pragmatically congruous patterns and that the ERPs in the  $*MF_0$  (inappropriate focal accent) and  $*M_0F$  (missing focal accents) conditions were averaged together to form the ERPs to pragmatically incongruous patterns. (B) Topographic maps of the pragmatic congruity effect. Mean amplitude differences between pragmatically incongruous prosodic patterns ( $*MF_0 + *M_0F$ ) and pragmatically congruous prosodic patterns ( $MF_0 + M_0F$ ) in the 50- to 150-, 150- to 300-, and 300- to 1050-msec latency windows.

words), Congruity (pragmatically congruous vs. incongruous contrastive focal accents), Focus (focal accents present vs. absent), and Electrodes (Fz, Cz, Pz, Oz) as factors. To test for the distribution of ERP effects, ANOVAs were also computed for lateral electrodes, using six regions of interest (ROIs): left and right anterior (F3, F7, Fc5 and F4, F8, Fc6), left and right centroparietal (Fc1, C3, Cp1 and Fc2, C4, Cp2), and left and right temporoparietal (Cp5, P3, T5 and Cp6, P4, T6). These ANOVAs included Position of focal accents



**Figure 2.** Final words. (A) Averaged electrophysiological data, recorded from 16 participants, and time locked to the onset of pragmatically congruous (solid trace) or pragmatically incongruous (dotted trace) prosodic patterns, at central (Cz) and parietal (Pz) electrodes. Note that ERPs in the  $M_0F$  (expected focal accent) and  $MF_0$  (expected no focal accent) conditions were averaged together to form the ERPs to pragmatically congruous patterns and that the ERPs in the  $*M_0F$  (inappropriate focal accent) and  $*MF_0$  (missing focal accents) conditions were averaged together to form the ERPs to pragmatically incongruous patterns. (B) Topographic maps of the pragmatic congruity effect. Mean amplitude differences between pragmatically incongruous prosodic patterns ( $*M_0F + *MF_0$ ) and pragmatically congruous prosodic patterns ( $M_0F + MF_0$ ) in the 50- to 150-, 150- to 300-, and 300- to 1050-msec latency windows.

(on medial vs. final words), Congruity (pragmatically congruous vs. incongruous contrastive focal accents), and Focus (focal accent present vs. absent), as well as Hemispheres (left vs. right), Localization (anterior, centrottemporal, and temporoparietal), and Electrodes (three for each ROI), as factors.

Results of analyses in the 50- to 150-msec latency window revealed no main effect of Position, Congruity, or Focus and no significant interaction between the effects of these three factors. By contrast, in the 150- to 300-msec latency window, results revealed a significant Position by Congruity interaction at midline electrodes,  $F(1,15) = 7.49$ ,  $p < .01$ . Between 300 and

1050 msec, results revealed a main effect of Position at lateral electrodes,  $F(1,15) = 5.53$ ,  $p < .03$ , and a significant Position by Congruity interaction at both midline,  $F(1,15) = 34.48$ ,  $p < .001$ , and lateral electrodes,  $F(1,15) = 14.42$ ,  $p < .001$ .

To better understand the nature of these interactions, separate analyses were conducted for focal accents on medial and final words.

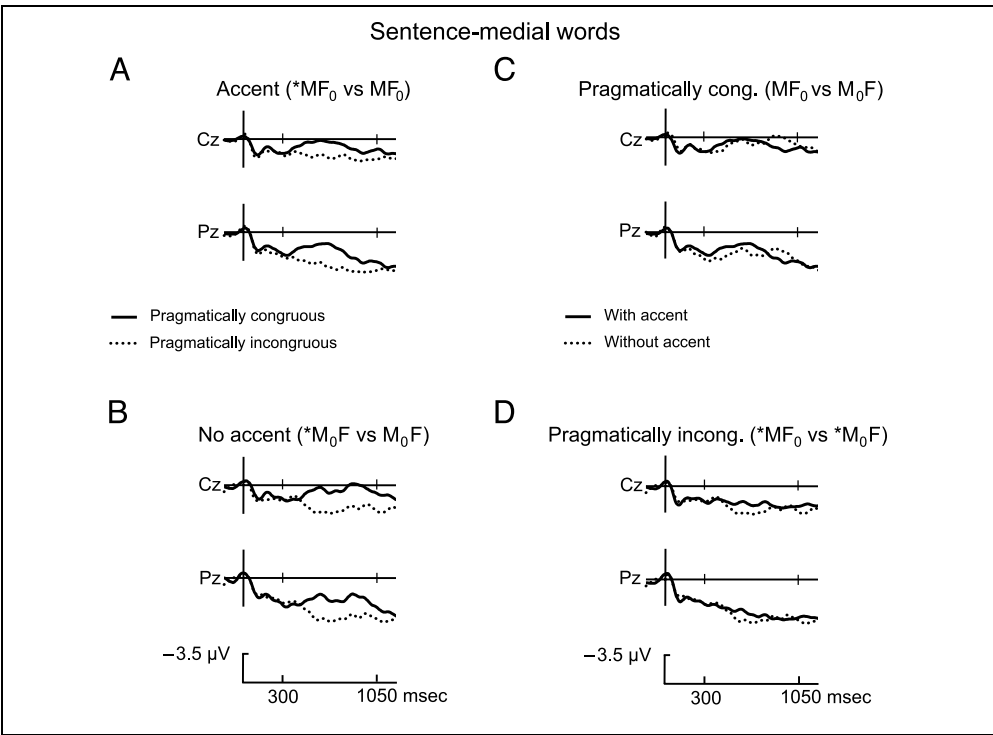
**Medial words.** As can be seen in Figure 3 (left column), sentence-medial words with pragmatically incongruous prosodic patterns elicited significantly more positive ERPs than words with pragmatically congruous prosodic patterns. This positive effect was found independently of the presence or absence of focal accents (see Figure 3A and B) and was statistically significant in the 450- to 600-, 600- to 750-, and 750- to 900-msec latency windows (main effect of Congruity at both midline and lateral electrodes, with no main effect of presence/absence of Focus and no Congruity by presence/absence of Focus interaction; see Tables 2 and 3 for a summary of statistical analyses).

Interestingly, this positive effect started earlier for words with than without focal accents at frontal locations (significant Congruity by presence/absence of Focus by Localization with significant differences between inappropriate and congruous focal accents over the frontal regions in the 300- to 450-msec latency window:  $*MF_0$  vs.  $MF_0$ ;  $F(1,15) = 4.78$ ,  $p = .04$ , and no differences in the same latency window for words without accents:  $*M_0F$  vs.  $M_0F$ ;  $F < 1$ ; see Figure 4). Moreover, for words with focal accent, the positive effect still had a frontal distribution in the 450- to 600-msec latency windows and then a frontoparietal scalp distribution between 600 and 750 msec (see Figure 4A). For words without focal accents, the positive effect had a centroparietal distribution in both the 450- to 600- and 600- to 750-msec latency windows (see Figure 4B; marginally significant Congruity by presence/absence of Focus by Localization interaction in both latency bands, see Table 3). Between 900 and 1050 msec, the positive effect was still present at midline electrodes only (see Table 2).

Finally, it is interesting to note that words with pragmatically congruous prosodic patterns do not differ as a function of the presence or absence of focal accent (see Figure 3C). Similarly, words with pragmatically incongruous prosodic patterns do not differ as a function of the presence or absence of focal accent (see Figure 3D).

**Final word.** As can be seen in Figure 5 (left column) and in contrast with the results for medial words, sentence-final words with pragmatically incongruous prosodic patterns elicited more negative ERPs than words with pragmatically congruous prosodic patterns. This negative effect was found independently of the

**Figure 3.** Pragmatic congruity effect for middle words (A) with focal accents and (B) without focal accents. Effect of the presence/absence of focal accents for pragmatically (C) congruous and (D) incongruous prosodic patterns.



presence or absence of focal accents (see Figure 5A and B) and was statistically significant in the successive 150-msec latency windows, between 150 and 1050 msec, considered for analysis (main effect of Congruity; see Tables 4 and 5; and Figure 6).

Interestingly, the negative effect was largest frontally in the 150- to 300-msec latency window (Congruity by Electrode interaction in the midline electrodes analysis with no main effect of presence/absence of Focus and no Congruity by presence/absence of Focus interaction, see Tables 4 and 5). It was largely distributed across the scalp between 300 and 750 msec (no Congruity by

Localization interaction) and showed a more centroparietal distribution between 750 and 1,050 msec, as revealed by the analysis of lateral electrodes (Congruity by Localization interaction; see Table 5).

Finally, as can be seen in Figure 5 (right column), no differences were found for pragmatically congruous words with (M<sub>0</sub>F) or without (MF<sub>0</sub>) focal accents (Figure 5C). Similarly, no differences were found for pragmatically incongruous words with (\*M<sub>0</sub>F) or without (\*MF<sub>0</sub>) focal accents (Figure 5D). Consequently, neither the main effect of presence/absence of Focus nor the Congruity by presence/absence of Focus inter-

**Table 2.** Sentence-Medial Word (*F* Values for the ANOVAs at Midline Electrodes)

Factors	<i>df</i>	Latency Windows (msec)					
		150–300	300–450	450–600	600–750	750–900	900–1050
C	1.15	–	–	13.03**	24.38***	9.8**	4.76*
F	1.15	–	–	–	–	–	–
C × F	1.15	–	–	–	–	–	–
C × E	3.45	–	–	–	–	–	–
F × E	3.45	–	–	–	–	–	–
C × F × E	3.45	–	–	–	–	–	–

C = Congruity; F = presence/absence of Focus; E = Electrodes.

\**p* < .05.

\*\**p* < .01.

\*\*\**p* < .001.

**Table 3.** Sentence-Medial Word (*F* Values for the ANOVAs at Lateral Electrodes)

Factors	df	Latency Windows (msec)					
		150–300	300–450	450–600	600–750	750–900	900–1050
C	1,15	–	–	8.13*	19.04***	8.34*	–
F	1,15	–	–	–	–	–	–
C × F	1,15	–	–	–	–	–	–
C × H	1,15	–	–	–	–	–	–
F × H	1,15	–	–	–	–	–	–
C × F × H	1,15	–	–	–	–	–	–
C × L	2,30	–	–	–	–	–	–
F × L	2,30	–	–	–	–	–	–
C × F × L	2,30	–	4.33*	2.79†	2.86†	–	–
C × H × L	2,30	–	–	–	–	–	–
F × H × L	2,30	–	–	–	–	–	–
C × F × H × L	2,30	–	–	–	–	–	–

C = Congruity; F = presence/absence of Focus; H = Hemisphere; L = anteroposterior Localization.

\**p* < .05.

\*\*\**p* < .001.

†*p* < .07.

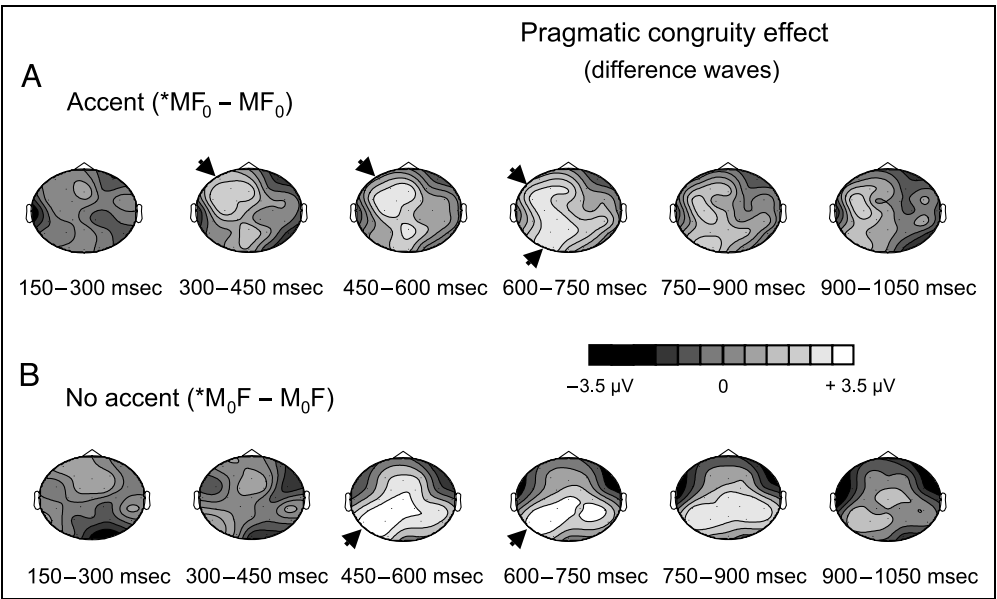
action were significant in any of the latency windows considered for analysis (see Tables 4 and 5).

**DISCUSSION**

Results of the experiment can be summarized as follows. First, clear differences are found between pragmatically

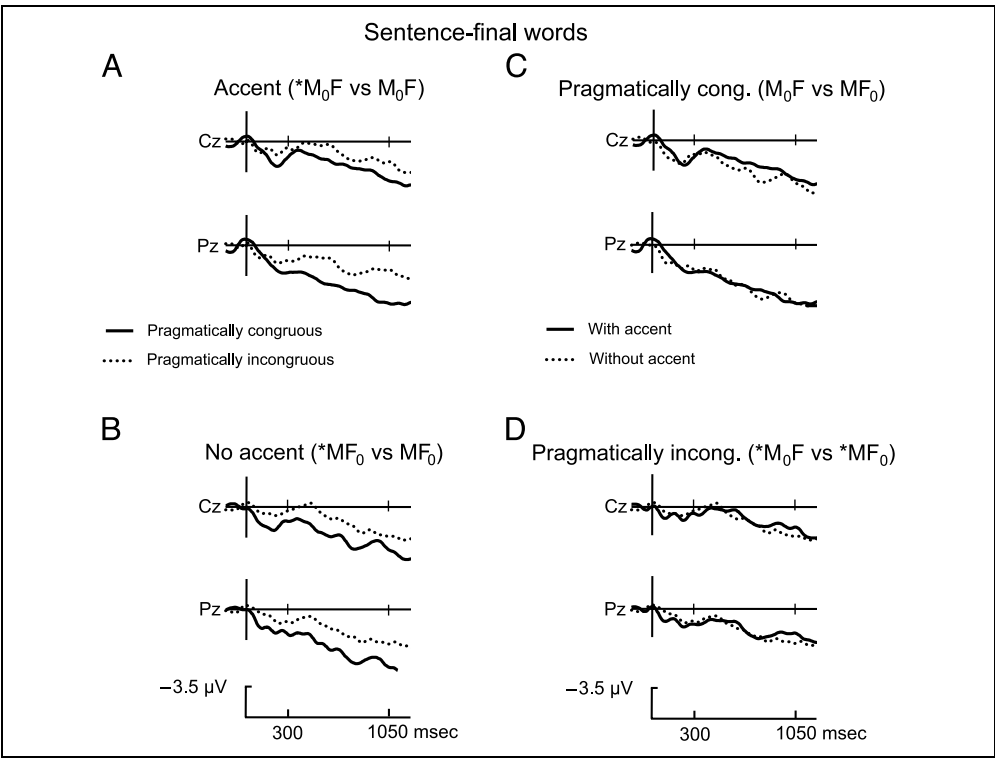
congruous and incongruous prosodic patterns. Thus, prosodic cues seem to be processed on-line, in relation to their pragmatic relevance within the ongoing discourse context. Second, similar pragmatic congruity effects are found for both words with and without focal accents, with some slight differences. Finally, the pragmatic congruity effect is largely modulated by the posi-

**Figure 4.** Middle words. (A) Topographic maps of the pragmatic congruity effect in successive 150-msec latency windows between 150 and 1050 msec for words (A) with accents (mean amplitude differences between inappropriate [ $*MF_0$ ] and expected [ $MF_0$ ] focal accents) and (B) without focal accent (mean amplitude differences between missing [ $*M_0F$ ] and expected no focal accents [ $M_0F$ ]).





**Figure 5.** Pragmatic congruity effect for final words (A) with focal accents and (B) without focal accents. Effect of the presence/absence of focal accents for pragmatically (C) congruous and (D) incongruous prosodic patterns.



tion of incongruous prosodic patterns in the utterance: When they occur sentence medially, positive-going effects are elicited, whereas negative-going effects are elicited at the end of sentences. These results are discussed in turn, in light of previous results in the literature.

**On-line Processing of Contrastive Focus**

The present results clearly demonstrate that words with pragmatically incongruous prosodic patterns (\*MF<sub>0</sub> and \*M<sub>0</sub>F for sentence-medial words; \*M<sub>0</sub>F and \*MF<sub>0</sub> for

final words) are associated with different ERP patterns compared with words with pragmatically congruous prosodic patterns (MF<sub>0</sub> and M<sub>0</sub>F for sentence-medial words; M<sub>0</sub>F and MF<sub>0</sub> for final words). Thus, the very same focal accents (e.g., on the word ring [MF<sub>0</sub> and \*MF<sub>0</sub>] or on the word fiancée [M<sub>0</sub>F and \*M<sub>0</sub>F]; see Table 1) or the absence of such accents (M<sub>0</sub>F and \*M<sub>0</sub>F; MF<sub>0</sub> and \*MF<sub>0</sub>) elicit different ERP patterns and are thereby processed differently, as a function of their pragmatic coherence within the ongoing dialogue context (see Figures 1A and 2A). Therefore, these results demonstrated that prosodic patterns are used on-line by

**Table 4.** Sentence-Final Word (*F* Values for the ANOVAs at Midline Electrodes)

Factors	df	Latency Windows (msec)					
		150–300	300–450	450–600	600–750	750–900	900–1050
C	1.15	8.49**	13.69**	28.42***	29.75***	23.05***	14.59**
F	1.15	–	–	–	–	–	–
C × F	1.15	–	–	–	–	–	–
C × E	3.45	9.87***	–	–	–	–	–
F × E	3.45	–	–	–	–	–	–
C × F × E	3.45	–	–	–	–	–	–

C = Congruity; F = presence/absence of Focus; E = Electrodes.

\*\**p* < .01.

\*\*\**p* < .001.

**Table 5.** Sentence-Final Word (*F* Values for the ANOVAs at Lateral Electrodes)

Factors	df	Latency Windows (msec)					
		150–300	300–450	450–600	600–750	750–900	900–1050
C	1,15	3.56 <sup>†</sup>	4.30*	6.18*	5.97*	5.48*	4.69*
F	1,15	–	–	–	–	–	–
C × F	1,15	–	–	–	–	–	–
C × H	1,15	–	–	–	–	–	–
F × H	1,15	–	–	–	–	–	–
C × F × H	1,15	–	–	–	–	–	–
C × L	2,30	–	–	–	–	3.76*	5.93**
F × L	2,30	–	–	–	–	–	–
C × F × L	2,30	–	–	–	–	–	–
C × H × L	2,30	–	–	–	–	–	–
F × H × L	2,30	–	–	–	–	–	–
C × F × H × L	2,30	–	–	–	–	–	–

C = Congruity; F = presence/absence of Focus; H = Hemisphere; L = anteroposterior Localization.

\**p* < .05.

\*\**p* < .01.

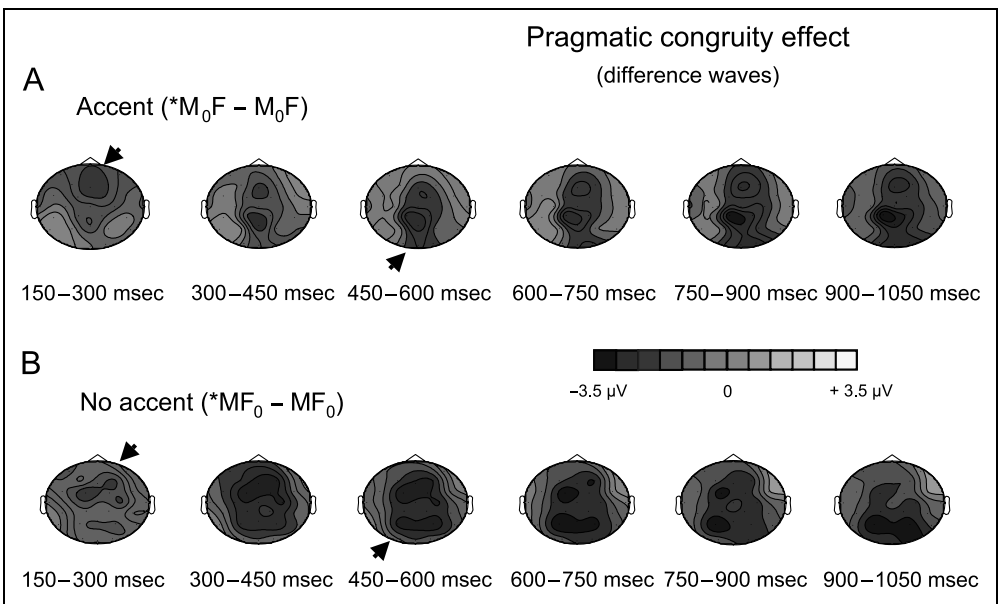
<sup>†</sup>*p* < .07.

listeners to understand the informational structure of the message. In this respect, they extend those previously reported by Steinhauer et al. (1999), demonstrating that prosodic cues are processed on-line by the listeners to parse complex utterances.

By contrast, pragmatically congruous words with and without focal accents elicit similar patterns of brain waves (e.g., the word *ring* in the MF<sub>0</sub> and M<sub>0</sub>F con-

ditions or the word *fiancée* in the M<sub>0</sub>F and MF<sub>0</sub> conditions; see Table 1 and Figures 3C and 5C). Similarly, words with inappropriate focal accents and with missing focal accents elicit similar overall ERP patterns<sup>4</sup> (e.g., the word *ring* or the word *fiancée* in the \*M<sub>0</sub>F and \*MF<sub>0</sub> conditions, respectively; see Table 1 and Figures 3D and 5D). These results may seem somewhat surprising in light of detailed acoustic anal-

**Figure 6.** Final words. (A) Topographic maps of the pragmatic congruity effect in successive 150-msec latency windows between 150 and 1050 msec for words (A) with accents (mean amplitude differences between inappropriate [\*M<sub>0</sub>F] and expected [M<sub>0</sub>F] focal accents), and (B) without focal accent (mean amplitude differences between missing [\*MF<sub>0</sub>] and expected no focal accents [MF<sub>0</sub>]).



yses showing that, as found for contrastive focal accents in English (Selkirk, 2002), sentence-medial and -final words with focal contrastive accents are characterized by greater  $F_0$  and intensity values and longer duration than words with no focal accents (see Astésano, Magne, et al., 2004, for a more comprehensive presentation of these acoustic results). Thus, one could have expected such acoustic differences to influence the sensory, perceptual stages of spoken word processing and, as a consequence, to elicit differences in the N1–P2 exogenous ERP components. That this was not the case may result from a lack of sensitivity of the method or from overlap problems caused by the use of continuous speech. Note that another interpretation is to consider that the prosodic marking of focus is not as important as its derived pragmatic function. In other words, both words with and without focal accents may elicit similar patterns of brain waves whenever they are pragmatically appropriate or inappropriate within the sentence context. We tend to favor this last interpretation, which is in line with the point of view that in a discourse context the absence of focal accent on given information is as relevant as the presence of focal accent on new information. Thus, no utterance is neutral or unmarked in a discourse context (Sperber & Wilson, 1986).

One may argue that the present results are specific to the present design because the material was built in such a way that participants came to expect focal contrastive accents in medial or final sentence positions (see the section Influence of Focal Contrastive Accent Position within the Utterance). This, however, was intentional. This first experiment sought to examine the explicit processing of prosodic cues and maximize the expectations derived from the context. To do so, we used question–answer pairs that, as shown by the results of several psycholinguistic experiments described in the Introduction, generate stronger contextual predictions than nonquestion contexts (Altmann, Garnham, van Nice, & Henstra, 1998). Nevertheless, in future experiments it will be important to determine whether similar results are obtained when the design is built in such a way as to favor implicit processing of prosodic cues in dialogue contexts.<sup>5</sup>

### Relationship to Behavioral and Acoustic Experiments

The present results are also in line with and extend those previously reported in psycholinguistic and experimental acoustics experiments aimed at better understanding the role of accentuation and intonation in spoken language processing. As mentioned in the introduction, results of several experiments have shown that accenting new/relevant information and de-accenting given/background information in the answers facilitate speech comprehension (Birch & Clifton, 1995; Terken &

Nooteboom, 1987; Bock & Mazzella, 1983; Brown, 1983; Most and Saltz, 1979). One aim of the present study was to use an on-line measure of spoken language processing to enhance knowledge of the time course of such facilitatory influences.

As far as we know, only one study has contributed some information to this issue. Dahan, Tanenhaus, and Chambers (2002) used eye-movement recordings to examine auditory sentence comprehension processes as they unfold in real time. By combining the presentation of a visual scene with auditory instructions to move some of the objects in the scene, they were able to show that appropriate accentuation on the first syllable of a spoken target word allows the disambiguation of potentially ambiguous words. Thus, appropriate accentuation seems to affect comprehension at early stages of language processing. This conclusion is in line with our results showing that differences in the ERPs between pragmatically congruous and incongruous prosodic patterns started as early as 150–300 msec for final words and a little later (in the 300- to 450-msec range) for sentence-medial words.

Taken together, results gained from both off-line and on-line measures clearly point to the conclusion that accurate focal prominence realization on relevant/new information as well as the absence of such a cue on given information are used by listeners to process the informational structure of the discourse. In other words, the factor that seems to drive the pragmatic congruity of the answer is the appropriateness of relative prominences in the sentence. Note, however, that this is not to say that the given/new distinction is the only factor determining the position of focal prominences within an utterance. Indeed, ongoing research also highlights the importance of grammatical and thematic role assignments in the distribution of focal prominences (Venditti, Stone, Nanda, & Tepper, 2002; Terken & Hirscheberg, 1994). Future experiments will aim to examine the influence of these factors using on-line measures.

### Influence of Focal Contrastive Accent Position within the Utterance

Interestingly, the pragmatic congruity effects reported here, including their onset latency, were largely modulated by the position of focal prominences in the utterance. The functional significance of the pragmatic effects for sentence-medial and final words is discussed in turn.

#### *Sentence-Medial Words*

Both types of pragmatically incongruous prosodic patterns, inappropriate ( $*MF_0$ ) and missing ( $*M_0F$ ) focal accents, elicited an increased positivity compared with expected focal accents ( $MF_0$ ) or no accent expected ( $M_0F$ ), from around 300 msec until 1000 msec (see the topographic maps illustrating the pragmatic congruity

effect in Figure 4). Insofar as it may be surprising both to hear a focal accent when none is expected ( $*MF_0$ ) and not to hear a focal accent when one is expected ( $*M_0F$ ), the most likely interpretation is that the observed positivities reflect such a surprise effect and belong to the P300 family of components (Donchin, 1981). Moreover, based on the findings that neither the main effect of presence versus absence of focal accents nor the pragmatic congruity by focus interaction were significant in any of the latency bands considered for analysis, one could conclude that these positivities have the same functional significance for both words with and without focal accents. However, detailed analyses of the time course and scalp distribution of the pragmatic congruity effects for words with and without focal accents add important information in this respect.

In the 300- to 450-msec range, the pragmatic congruity effect was significant over frontal sites for words with focal accents [ $(*MF_0) - (MF_0)$ ] but was not significant for words without accents (Congruity by presence/absence of Focus by Localization interaction). Thus, the pragmatic congruity effect starts earlier for words with than without focal accents. By contrast, in the following successive 150-msec latency windows, from 450 to 900 msec, the pragmatic congruity effect is significant for both words with and without focal accents. Interestingly, however, this effect shows a frontal distribution for words with accents and a centroparietal distribution for words without accents in the 450- to 600-msec range. Finally, the distribution of both pragmatic effects becomes more parietal in the latter latency band (600–700 msec). Taken together, both the time course and the scalp distribution of these pragmatic effects are strongly reminiscent of the P3a and P3b components. Indeed, a large number of results in the ERP literature have demonstrated that novel and surprising events elicit an early frontally distributed positivity (P3a), whereas surprising and task-relevant events elicit a later centroparietally distributed positivity (P3b), whose latency varies with the difficulty of the categorization task (Picton, 1992; Duncan-Johnson & Donchin, 1977; Kutas, MacCarthy, & Donchin, 1977; see Donchin & Coles, 1988, for a review). Therefore, a likely interpretation of the present data is that inappropriate accents, because of their unexpected acoustic characteristics (increase in  $F_0$  and intensity maxima and in duration), evoked an orientation response reflected in the early frontal P3a, which is not induced by words without focal accents, which are acoustically less salient. However, because both types of pragmatically incongruous prosodic patterns are task relevant, they evoke later parietally distributed P3b components.

One could argue, however, that the absence of P3a components in response to missing accents is not caused by their less salient acoustic characteristics but rather by the fact that not having a focal contrastive accent on the word ring in the  $*M_0F$  condition could be

of no surprise. Even without focal prominences, the answer may remain acceptable (pragmatically congruous) within the dialogue context (e.g., “Did he give his fiancée a ring or a bracelet?” “He gave a ring to his fiancée”). However, several authors have argued that this cannot be the case because words are always more or less prominent prosodically and that there are no accentless sentences in the European languages. Moreover, in our experiment, answers always had a focal accent either in sentence-medial or in sentence-final position. Therefore, the listeners always came to expect a focal accent. It remains, however, that not hearing an expected focal accent may not be as surprising as hearing an inappropriate focal accent. Consequently, no P3a is generated, but because the lack of accent remains unexpected and task relevant, a P3b component is generated. Interestingly, Bornkessel, Schlesewsky, and Friederici (2003) recently showed that focused constituents also elicit increased positivities that are functionally similar to the P3b component.

### *Sentence-Final Words*

Both types of incongruous prosodic patterns, inappropriate ( $*M_0F$ ) and missing accents ( $*MF_0$ ), elicited overall more negative-going ERPs, from around 100 msec until the end of the recording period, than final words with pragmatically congruous prosodic patterns ( $M_0F$  and  $MF_0$ ; see Figures 2 and 6). In the 300- to 600-msec latency band, these negativities, in their latency and scalp distribution, resemble the N400 component described in several previous auditory sentence experiments (e.g., Holcomb & Neville, 1990; McCallum, Farmer, & Pocock, 1984). Typically, the N400 component is elicited by words that do not fit semantically within the context of another word, a sentence, or a larger discourse and is generally interpreted as reflecting semantic integration processes (see Besson, Magne, & Regnault, 2004; Brown & Hagoort, 1999, for reviews). It is also interesting to note that an N400 component has also been found in some experiments to semantically congruous words in sentence-final positions when semantic or syntactic violations occurred earlier in the sentence (Osterhout & Nicol, 1999; Hagoort, Brown, et al., 1993; Osterhout & Holcomb, 1992, 1993).

Studying the interplay between semantic and syntactic processing, Hagoort (2003), for instance, conducted an experiment in which participants were asked to judge the overall acceptability of sentences containing syntactic, semantic, or combined violations. Moreover, these syntactic and/or semantic incongruities could occur either in middle or in final sentence positions. Interestingly, results revealed that when semantic or syntactic violations occurred sentence medially, sentence-final words were associated with larger N400 components than when no such violation occurred. Hagoort (2003) interprets these findings as suggesting that some addi-



inappropriate focal accent). The second list was built using the 120 dialogues that were not presented in the first list. Finally, the other two lists were derived from the first and the second lists by replacing all coherent dialogues by their incoherent counterparts and vice versa.

## Speech Signals

Dialogues were recorded in a soundproof acoustic studio on a computer using an AP-850 Galaxy microphone and a SoundBlaster NCV 128 card, allowing 22050 Hz and 16-bit sampling rate. For the recording and clipping of the acoustic signals, we used a home-made recording and signal analysis software, Kparole, which is part of a larger research project of speech synthesis called Kali (Morel & Lacheret, 2001). Acoustic analyses were conducted using the signal analysis software MES developed in Aix-en-Provence (Espesser, 1996). Four independent judges carefully listened to the recordings to control for naturalness of the intonation and to detect recording noises as well as to ensure that the meaning and the syntactic structure of the sentences could be easily understood.

## Acoustic Analyses

For each of the 240 answers, the acoustic signal corresponding to the middle and final target words was segmented by hand. The fundamental frequency ( $F_0$ ) was modeled with a quadratic spline function using an automatic modeling algorithm with manual corrections (Momel, Hirst & Espesser, 1993). Whole word duration (milliseconds), as well as intensity (decibels) and  $F_0$  (hertz) maximum values were analyzed for each target word of the answers. Intensity maxima were normalized with respect to the mean intensity value of each answer to suppress loudness differences between each recording. Table 6 presents the results for the acoustic measures in middle and final words. Three one-way ANOVAs were performed for middle and final words separately, with word duration, intensity, and  $F_0$  maxima as dependent variables and focal versus no focal accents as independent variables. Results indicate that both middle and final words with focal accents showed significantly higher  $F_0$  and intensity maxima as well as

longer duration overall than words without focal accents (Table 6; see Astésano, Magne, et al., 2004, for a more comprehensive presentation of these acoustic results). Focus onset was determined by comparing the two versions of the sentences, with and without focal accents. The time when the intensity and  $F_0$  values started to differ in these two conditions was taken as focus onset. On average, results showed that focus onset was located 79 msec after word onset with a standard deviation of 54 msec.

## Procedure

Participants were seated in a comfortable chair in an electrically shielded room, facing a computer screen. A total of 120 dialogues were presented auditorily through headphones, in three blocks of 40 trials. The timing within each trial was as follows: Each dialogue started with a question, followed by an answer after a silence of 700 msec (mean duration of a dialogue, 4 sec). One second after the offset of the answer, a fixation cross appeared on the computer screen for 2 sec, and participants gave their responses (Figure 7). They were required to judge whether the intonation of the answer was coherent or not in relation to the question. They responded by pressing one of two response keys (for “Yes” or “No” responses); the hand of response was counterbalanced across participants. To avoid spurious effects linked to the order of presentation, the question–answer pairs were presented in a pseudorandom order (no more than three dialogues of the same experimental condition presented in succession). The order of presentation varied from one participant to the other. Each session began with a practice session to familiarize participants with the task and train them to blink during the interstimulus interval (ISI).

## ERP Recordings

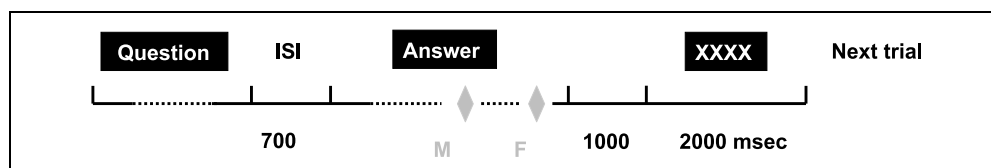
Because we were interested in the precise time course of focus processing and because focal accent onset slightly varies from one focused word to the other, electroencephalogram (EEG) acquisition was synchronized to the onset of focal accents rather than to the onset of the word. EEG was recorded for 2150 msec

**Table 6.** Acoustic Analyses for Sentence-Medial and Sentence-Final Words

	<i>Sentence-Medial Word</i>			<i>Sentence-Final Word</i>		
	<i>Accent</i>	<i>No Accent</i>	<i>F(1,238)</i>	<i>Accent</i>	<i>No Accent</i>	<i>F(1,238)</i>
Duration (msec)	606	465	76.35***	619	531	17.70***
$F_0$ maxima (Hz)	246	228	34.58***	231	157	1090.20***
Intensity maxima (normalized dB)	5.5	4.1	54.14***	5.7	4.5	47.31***

\*\*\* $p < .001$ .

**Figure 7.** Timing within a trial. Each dialogue started with a question followed by an answer after a silence of 700 msec. One second after the offset of the answer, a fixation cross appeared on the computer screen for 2 sec, and participants were required to give their responses. EEG was recorded for 2,150 msec, starting 150 msec before focal accent onset on medial (M) or final words (F).



(Figure 7), starting 150 msec before focal accent onset on middle or final words from 28 scalp electrodes mounted on an elastic cap and located at standard left- and right-hemisphere positions over frontal, central, parietal, occipital, and temporal areas (International 10/20 system sites: Fz, Cz, Pz, Oz, Fp1, Fp2, F3, F4, C3, C4, P3, P4, O1, O2, F7, F8, T3, T4, T5, T6, Fc5, Fc1, Fc2, Fc6, Cp5, Cp1, Cp2, and Cp6).

These recording sites plus an electrode placed on the right mastoid were referenced to the left mastoid electrode. The data were then re-referenced off-line to the algebraic average of the left and right mastoids. Impedances of the electrodes never exceeded 3 k $\Omega$ . To detect vertical eye movements and blinks, the horizontal electrooculogram (EOG) was recorded from electrodes placed 1 cm to the left and right of the external canthi, and the vertical EOG was recorded from an electrode beneath the right eye, referenced to the left mastoid. Trials containing ocular artifacts, movement artifacts, or amplifier saturation were excluded from the averaged ERP waveforms. The EEG and EOG were amplified by an SA Instrumentation (San Diego, CA) amplifier with a band pass of 0.01–30 Hz, and were digitized at 250 Hz by a PC-compatible microcomputer.

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## Notes

1. Note that the word “ring” brings the relevant information not because this is a new word (it is already introduced in the question), but because the relation between the word ring and the context (i.e., John gave something to his fiancée) is new.
2. In pragmatic theories, the cognitive pair *ground/figure* may receive different labels such as *given/new*, *topic/focus*, *theme/rheme*, *theme/predicate*, *topic/comment*, and so on (see Fuchs & Marchello-Nizia, 1998, for a presentation). All of them can be used synonymously. However, as noted by Venditti and Hirschberg (2003), although these concepts are widely used because they are intuitively understood, they nevertheless remain extremely difficult to define.
3. Because we were interested in the processing of focal accent, ERP recordings were time locked to focal accent onset, which is the moment when the acoustic analyses show that the  $F_0$  and intensity values for words with and without accents start to differ (see Methods).
4. We also found some early interesting differences in the pragmatic congruity effect for sentence-medial words with or without focal accents; these results are discussed below, in the section Influence of Focal Contrastive Accent Position within the Utterance.
5. One could also argue that different results would be obtained if comparisons were made relative to a control condition in which no contrastive focal accents were expected. However, such a control condition was not included for the two following reasons. First, at least for words in middle-sentence position, the condition in which there is no focal accent and none is expected ( $M_0F$ ) seems like a good control for both conditions in which there is a focal accent that is either pragmatically congruous ( $MF_0$ ) or incongruous ( $*MF_0$ ). Second, for such a control condition to play its role, as many control as experimental dialogues would be needed. This would have considerably increased both the difficulty of constructing the linguistic materials and the duration of the experiment.

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