

# **MASTER THESIS**

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ences Technikum Wien - Degree Program Robotics Engineering

## **Physics-Informed Inverse Robot Dynamics with Residual LSTM Modeling**

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Vienna, January 27, 2026

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# Kurzfassung

Im Kontext der digitalen Fabrik an der UAS Technikum Wien, wo Menschen und Roboter sich die Aufgaben und den Arbeitsbereich teilen, ist die sichere und effiziente Handhabung von Nutzlasten von entscheidender Bedeutung. In der digitalen Fabrik der UAS werden Nutzlasten derzeit noch ohne Kenntnis ihrer internen Parameter gehandhabt, was zu potenziellen Manipulationsfehlern führen kann, die Menschen Schaden zufügen. Diese Studie beschreibt die Entwicklung einer fortschrittlichen Methode zur Kraft-/Drehmomentabschätzung, um die Fähigkeit eines UR5-Roboters zu verbessern, verschiedene Nutzlastbedingungen zu erkennen und zu handhaben. Diese Fähigkeit gewährleistet die Wahrnehmung des auf einer mobilen Industrieroboterplattform montierten UR5-Roboters, um den sicheren und effizienten Transfer von Nutzlasten zwischen verschiedenen Arbeitsbereichen innerhalb der Fabrik zu erleichtern. Die modernsten Methoden zur Kraft-/Drehmomentabschätzung für Industrieroboter nutzen neuronale Netze und Gauß-Prozesse als führende Methoden für genaue Nutzlastabschätzungen. Es wurde ein Gauß-Prozess-Modell entwickelt, um die Kräfte und Drehmomente abzuschätzen, die vom Roboter bei der Ausführung von Trajektorien erzeugt werden. In einem zukünftigen Projekt kann das Bewusstsein für Nutzlasten auf dem UR5-Roboter hinzugefügt werden. Auf diese Weise zielt die Studie darauf ab, die Intelligenz von Robotersystemen in industriellen Umgebungen zu verbessern und den Weg für eine höhere Produktivität und Sicherheit in digitalen Fertigungsumgebungen zu ebnen. Dieses Projekt führte auch zu einer Simulation, die eine Grundlage für die Aufzeichnung der Sensordaten aus dem UR5-Interieur.

**Schlagworte:** Gaussian Process, Force Estimation, Newton/Euler, UR5 Robot, Rigid Body

# Abstract

In the context of the digital factory, at UAS Technikum Vienna, where humans and robots share the tasks and the workspace, the safe and efficient handling of payloads is essential. At the UAS digital factory payload is still handled without recognising anything about the payloads internal parameters, leading to potential manipulation failures causing human harm. This study describes the development of an advanced force/torque estimation method to improve a UR5 robots ability to recognize and handle different payload conditions. This capability ensures the perception of the UR5 robot mounted on a mobile industrial robot platform to facilitate the safe and efficient transfer of payloads between different workspaces within the factory. The state of the art methods of force/torque estimation for industrial robots serve neuronal networks and gaussian processes as the leading methods for accurate payload estimations. A gaussian process model has been developed to estimate the forces and torques generated by the robot when executing trajectories. In a future project face, an awareness of payloads can be added on the UR5 robot. In this way, the study aims to improve the intelligence of robotic systems in industrial environments and pave the way for higher productivity and safety in digital manufacturing environments. This project face also yeelted in a simulation that provides a basis to record the sensor data from the UR5's internal sensors and a force/torque sensor and a pipeline to train and evaluate gaussian process models.

**Keywords:** Gaussian Process, Force Estimation, Newton/Euler, UR5 Robot, Rigid Body

# Acknowledgements

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# 1 Introduction

This chapter provides the common thread of the work and positions it within the broader field of robotic manipulation and human-robot collaboration. We first develop the motivation for the study, followed by a precise problem description, a formal problem statement, and the resulting aim of the work. Subsequent chapters present the State of the Art, the proposed methods, the experimental evaluation, a discussion of the results and their implications, and an outlook on future research directions.

## 1.1 Motivation

The motivation for this work is given in two subsections, where the Context subsection outlines the growing role of industrial and collaborative manipulators, while the Use Case subsection specifies a concrete manipulation scenario that requires accurate online identification of robot and payload parameters.

**Context** As the robotics industry grows year over year, so does the number of robots operating around the world. It is estimated that there were approximately 3.4 million industrial robots in use worldwide in 2023 [1]. At the same time, the number of newly installed industrial robots has been increasing steadily since 2014; between 2021 and 2024, around 541 000 new industrial robots were installed per year [2]. Within this landscape, collaborative robots (cobots) represent about 10.5% of the industrial robot market, with 57 040 new units deployed in 2023, and annual cobot installations since 2020, 2022, and 2023 reaching roughly 50 000 units per year; importantly, these cobots are expected to complement rather than replace traditional industrial robots [3].

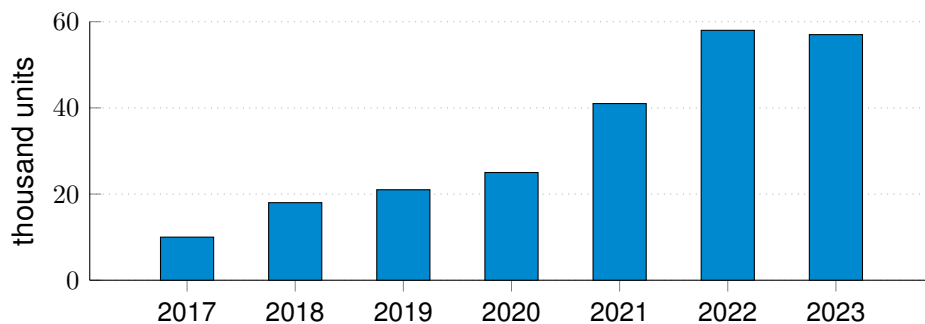


Figure 1: Global annual installations of collaborative robots from 2017 to 2023 (in thousand units). Data from [3].

The growing deployment of, and increasing collaboration with, robots imposes stringent requirements on safety and performance. As tasks become more complex and humans and



robots share workspaces more closely, two closely related problems become central: safe manipulation of payloads and safe physical human-robot interaction. Addressing both problems requires accurate knowledge of the inertial parameters of the manipulated object together with consistent estimation of the robot's dynamic state and interaction forces. A collaborative robot must therefore maintain an internal representation of the mass-inertia properties of the payload or tool it manipulates and of the forces exchanged with its environment. This dynamic awareness is a prerequisite for compliant, contact-rich behaviour and for precise, high-performance manipulation in close proximity to humans. [4–15].

### Use Case

The considerations above motivate a concrete use case in which a collaborative robotic arm must manipulate previously unseen objects in a shared workspace. A vision system can provide geometric information such as shape and dimensions of the payload, but it does not directly reveal its mass, center of mass (CoM), or inertia tensor. For safe and precise execution of contact-rich tasks, however, these inertial properties are indispensable.

In practice, the only viable way to obtain this information during operation is to exploit the robot's own sensor data, such as joint positions, velocities and accelerations, motor currents/torques, and optionally wrist force/torque measurements. From these signals, one can estimate both the robot's rigid-body parameters and the inertial properties of the attached payload. This leads to the dual identification problem of robot dynamic parameter identification (RDPI) and payload dynamic parameter identification (PDPI).

The targeted application scenario comprises typical industrial and collaborative tasks such as pick-and-place, human-assisted manipulation, and precise tool use. In all these cases, RDPI and PDPI must be performed online so that the controller maintains an up-to-date model of the combined robot-payload dynamics and the resulting contact forces. Robust online identification methods are therefore a key enabling technology for safe human-robot collaboration and high-performance manipulation with arbitrary payloads and tools.

## 1.2 Problem Description

The subsection Kinematic and Dynamic Background of Robot Manipulation analyses why endowing a robotic manipulator with awareness of its own dynamics, payload, and tools is mathematically demanding and cannot be achieved by simple calculation or direct measurement alone. The subsection Limitations of the Current State of the Art then identifies the main shortcomings of existing identification and estimation methods in the literature, thereby motivating the contribution of this work.

**Kinematic and Dynamic Background of Robot Manipulation** The inertial properties of a rigid body are collected in the standard 10-dimensional parameter vector

$$\phi^T = \begin{bmatrix} m & mc_x & mc_y & mc_z & J_{xx} & J_{xy} & J_{xz} & J_{yy} & J_{yz} & J_{zz} \end{bmatrix} \in \mathbb{R}^{10}, \quad (1)$$

which enters the Newton-Euler equations

$$\begin{bmatrix} \mathbf{f} \\ \boldsymbol{\tau} \end{bmatrix} = m \begin{bmatrix} \mathbf{I}_{3 \times 3} & -[\mathbf{c}]^\times \\ [\mathbf{c}]^\times & \mathbf{J}_s \end{bmatrix} \begin{bmatrix} \mathbf{a} \\ \boldsymbol{\alpha} \end{bmatrix} + \begin{bmatrix} m[\boldsymbol{\omega}]^\times [\boldsymbol{\omega}]^\times \mathbf{c} \\ [\boldsymbol{\omega}]^\times \mathbf{J}_s \boldsymbol{\omega} \end{bmatrix}, \quad (2)$$

so that the wrench  $(\mathbf{f}, \boldsymbol{\tau})$  depends nonlinearly on the motion  $(\mathbf{a}, \boldsymbol{\alpha}, \boldsymbol{\omega})$  but linearly on  $\boldsymbol{\phi}$ .

For the equipment rigidly attached to the tool flange (gripper/tool, with or without payload/load) we define an effective rigid-body parameter vector

$$\boldsymbol{\phi}_{\text{eff}} = \begin{cases} \boldsymbol{\phi}_{\text{tool}}, & \text{no load,} \\ \boldsymbol{\phi}_{\text{tool}} + \boldsymbol{\phi}_{\text{load}}, & \text{with load,} \end{cases} \quad (3)$$

which acts on top of the nominal robot dynamics. In contrast, with a clean flange (no tool/no load) only the robot parameters  $\boldsymbol{\phi}_{\text{robot}}$  contribute to the system dynamics.

The robot structure itself is described by its own parameter vector  $\boldsymbol{\phi}_{\text{robot}}$ , which enters the standard joint-space rigid-body dynamics. We denote this contribution by  $\boldsymbol{\tau}_{\text{robot}}$  (clean flange),

$$\boldsymbol{\tau}_{\text{robot}} = \mathbf{M}(\mathbf{q})\ddot{\mathbf{q}} + \mathbf{C}(\mathbf{q}, \dot{\mathbf{q}})\dot{\mathbf{q}} + \mathbf{G}(\mathbf{q}) + \boldsymbol{\tau}_f(\dot{\mathbf{q}}), \quad (4)$$

where  $\boldsymbol{\tau}_f(\dot{\mathbf{q}})$  models joint-level non-idealities such as Coulomb and viscous friction, possible Stribeck effects, and drive-train phenomena like backlash.

The wrench generated by the effective rigid body at the flange induces an additional joint-space torque

$$\boldsymbol{\tau}_{\text{ext}} = \mathbf{J}^T(\mathbf{q}) \vec{F}_{\text{ext}}(\boldsymbol{\phi}_{\text{eff}}), \quad (5)$$

where  $\mathbf{J}(\mathbf{q})$  is the end-effector Jacobian. In the clean-flange case (no tool/no load),  $\vec{F}_{\text{ext}}$  reduces to purely external interaction forces with the environment (e.g. contacts or collisions).

The motor torques are therefore

$$\boldsymbol{\tau}_{\text{motor}} = \boldsymbol{\tau}_{\text{robot}} + \boldsymbol{\tau}_{\text{ext}}(\boldsymbol{\phi}_{\text{eff}}), \quad (6)$$

and for brushless DC actuators with torque constant  $k_t$  one obtains the current-torque relation

$$\boldsymbol{\tau}_{\text{motor}} = k_t \mathbf{I} \quad \Rightarrow \quad \mathbf{I} = \frac{\boldsymbol{\tau}_{\text{robot}} + \boldsymbol{\tau}_{\text{ext}}(\boldsymbol{\phi}_{\text{eff}})}{k_t}. \quad (7)$$

If a force/torque sensor is mounted at the flange, the measured wrench can be written, using the relations derived in the Appendix, as

$$\vec{F}_{\text{measured}} = Y(\mathbf{a}, \boldsymbol{\alpha}, \boldsymbol{\omega}) \boldsymbol{\phi}_{\text{eff}} + \vec{F}_{\text{bias}} + \vec{n}, \quad (8)$$

where  $Y(\cdot)$  is the  $6 \times 10$  Newton-Euler regressor matrix defined in the Appendix B. It is linear in the inertial parameter vector  $\boldsymbol{\phi}_{\text{eff}}$ , but depends nonlinearly on the motion variables  $(\mathbf{a}, \boldsymbol{\alpha}, \boldsymbol{\omega})$ . The terms  $\vec{F}_{\text{bias}}$  and  $\vec{n}$  denote sensor bias and noise, respectively.<sup>1</sup> The motion variables  $(\mathbf{a}, \boldsymbol{\alpha}, \boldsymbol{\omega})$  are in turn determined by the joint state and motor torques through the nonlinear dynamics(4)-(7).

From an identification viewpoint, this creates two tightly coupled challenges. First, all available measurements (joint currents, positions, velocities and flange wrench) depend on the

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<sup>1</sup>All experiments in this work are simulation-based; in the subsequent method formulation, sensor bias and noise are therefore neglected and (8) is used without  $\vec{F}_{\text{bias}}$  and  $\vec{n}$ .

combined dynamics of robot, tool and load via the nonlinear relationships (4)-(8), so the contribution of the load parameters  $\phi_{\text{load}}$  cannot be isolated by simple computation or direct measurement. Second, accurate payload or load dynamic parameter identification (PDPI) presupposes an equally accurate compensation of the underlying robot-tool dynamics, including unmodelled effects such as friction and joint transmission nonlinearities. Together, these aspects make dynamic awareness of payload, tool and robot a mathematically demanding inverse problem rather than a straightforward calculation from geometric or sensor data.

## 2 State of the Art

### 2.1 Research Strategy

The literature search was organised around five content clusters  $C_1, \dots, C_5$  and the goal/context term sets  $C_{mt}$  and  $C_{ct}$ . The clusters capture the main methodological families, while  $C_{mt}$  and  $C_{ct}$  constrain the queries to estimation-related objectives in robotic manipulation:

- $C_1$  = Classical / Observers
- $C_2$  = Gaussian Process (GP)
- $C_3$  = Deep Sequence Models (MLP / GRU / TCN / Transformer / LSTM)
- $C_4$  = Physics-Informed / Differentiable
- $C_5$  = Surveys
- $C_T$  = Goal & Domain Terms
  - $C_{mt}$  = Estimation & Modeling Terms
  - $C_{ct}$  = Robotics Context Terms

The detailed index terms associated with each set are listed in Appendix B. For each content cluster  $C_i$ , a family of queries  $Q_i$  was constructed by combining (disjunctions of) its index terms with estimation & modelling terms from  $C_{mt}$  and robotics context terms from  $C_{ct}$ . Figure 2 illustrates this logic schematically as a generalised set intersection over the three term groups.

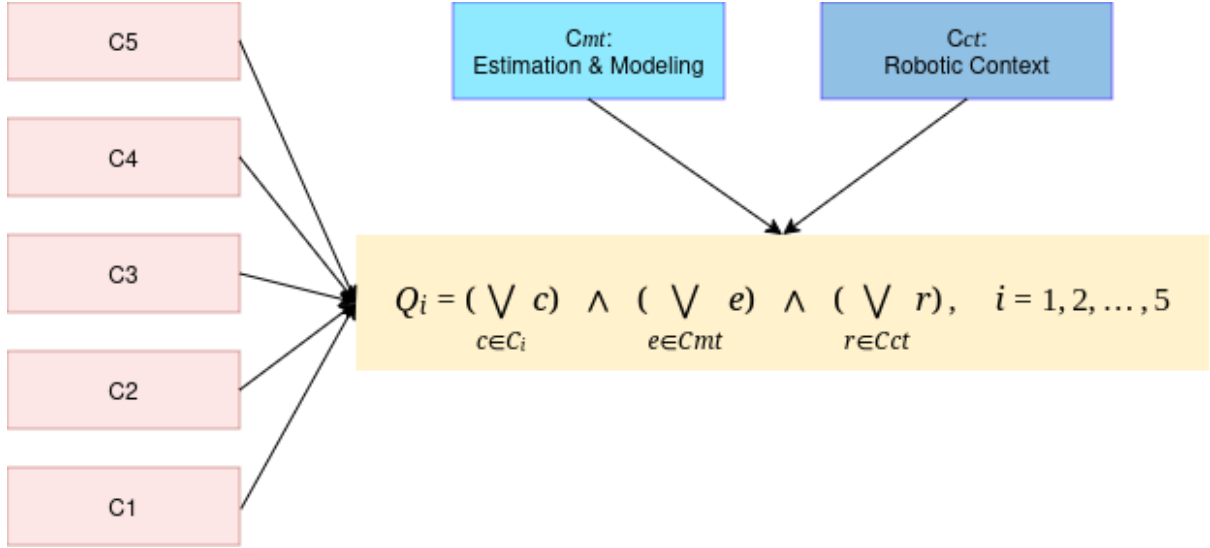


Figure 2: Query logic used to categorise the SoA papers. Each category  $Q_i$  is formed by combining content clusters  $C_i$  with estimation & modelling terms  $C_{mt}$  and robotics context terms  $C_{ct}$ . The combined representation  $C$  and query set  $Q$  are formed by the union of their respective subsets.

This process yielded 36 papers that are directly relevant to robot and payload dynamics, interaction force estimation and related identification problems. Table 1 summarises how these works are distributed across the five query categories and counts, for each category, how many papers address payload dynamics, robot rigid-body dynamics, and contact-force estimation (papers that treat payload and rigid-body dynamics contribute to both columns); the corresponding relations are visualised in the concept graph in Fig. 4.

Table 1: Overview of query results by category.

Query	Relev. SoA	Payload	Rigid-body	Contact Force
$Q_1$ = Classical / Observers	17	10	8	3
$Q_2$ = Gaussian Process (GP)	4	0	1	3
$Q_3$ = Deep Sequence Models	8	4	3	1
$Q_4$ = Physics-Informed / Diff.	5	0	5	0
$Q_5$ = Surveys	2	–	–	–
<b>Total</b>	<b>36</b>	<b>14</b>	<b>17</b>	<b>7</b>

## 2.2 Literature

In the context of robotic manipulator dynamics and payload identification, existing methods largely fall into the four methodological families reproduced by Lutter et al. [16] and illustrated in Figure 3.

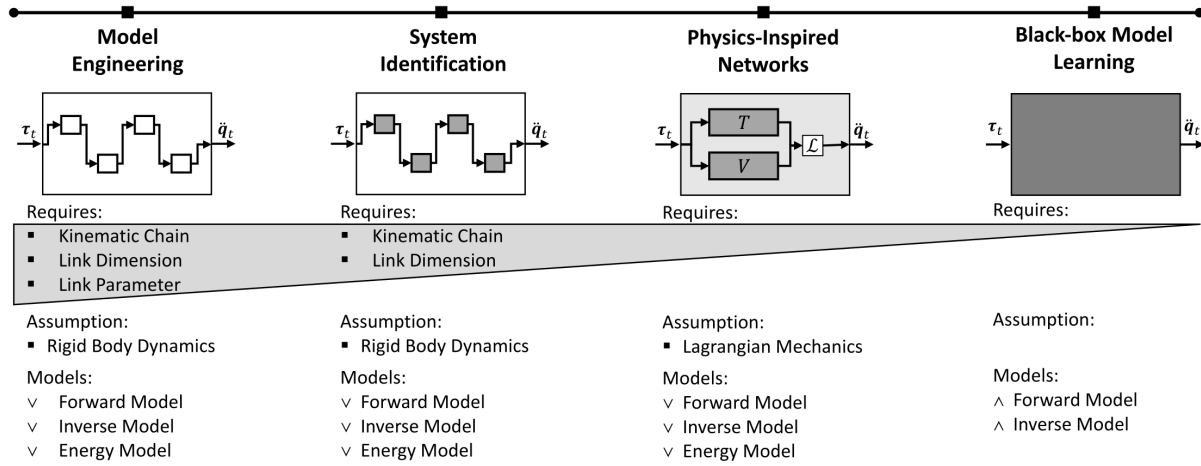


Figure 3: Overview of modelling paradigms for robot dynamics (reproduced by Lutter et al. [16]). The four panels correspond to the approaches discussed in this SoA: classical rigid-body model engineering and system identification (Category Q1), physics-inspired networks such as De-LaN/PINNs (Category Q4), and black-box model learning with deep networks (Category Q3). Gaussian-process residual models (Category Q2) sit between system identification and black-box learning.

**Category Q1** groups classical model-based methods for robot and payload dynamics and interaction force estimation, mostly based on linearly parameterised rigid-body dynamics (RBD) and LS/WLS-type Newton-Euler regressors, sometimes combined with observers and Kalman filters [4–11, 13, 14, 17–22]. Across these works, three main lines of research can be distinguished in payload dynamic parameter identification (PDPI) using force/torque (FT) sensing [4, 7, 8, 11], robot and payload dynamic parameter identification in joint or motor-current space without FT sensors [9, 10, 13, 18, 20, 21], and observer-based sensorless force/torque estimation and online payload identification using proprioceptive data [5, 6, 14, 17, 19, 22]. Across Q1, mass is usually identified accurately, CoM moderately well, and inertia emerges as the hardest quantity to estimate robustly [4, 7, 8, 11, 21].

A first group of methods performs PDPI directly in the FT frame [4, 7, 8, 11]. They typically exploit static poses to identify the payload mass and centre of mass, and then use dedicated dynamic excitation trajectories together with LS or TLS-type Newton-Euler regressors to estimate the inertia tensor. Representative works demonstrate that, given a sufficiently informative excitation and an FT sensor rigidly mounted at the flange, payload mass can be recovered very accurately and CoM can be estimated with reasonable precision, even for heavy payloads [4, 8]. However, inertia estimates are systematically more fragile—especially under cobot-typical safety constraints with short trajectories and low accelerations—and in several cases quantitative ground truth for CoM and inertia is missing or only partially available (validation is often given in terms of residual gravitational/inertial wrench after compensation rather than direct parameter error) [4, 7, 8, 11]. Moreover, these approaches require additional FT hardware and considerable experimental effort in the form of carefully designed calibration motions.

A second group tackles robot dynamic parameter identification (RDPI) and PDPI in joint space or motor-current space without FT sensors [9, 10, 13, 18, 20, 21]. Here, fully or partially decoupled identification schemes are designed to separate gravitational, frictional and inertial effects, often using families of S-curve or Fourier trajectories executed both with and without payload [9, 10, 13, 18]. Double-weighted WLS and optimisation-enhanced LS methods achieve very accurate joint-torque prediction and good agreement with CAD-based payload models, confirming that classical LS/NE pipelines—as systematised, for example, by Swevers et al. [13]—remain a strong baseline for RDPI and PDPI [9, 10, 13, 18, 20, 21]. At the same time, these methods are typically executed in dedicated calibration phases, rely on repeated execution of long and highly exciting trajectories and payload parameters are updated only between such identification runs. They therefore provide an excellent commissioning tool and basis model, but do not by themselves endow the robot with continuous online awareness of payload changes during manipulation tasks.

A third line of work focuses on sensorless estimation of external joint torques and end-effector wrenches using observers and filters [6, 14, 17, 19, 22]. Momentum observers, higher-order sliding-mode observers, adaptive Kalman filters and high-order finite-time observers use a nominal RBD model together with controller torques and joint measurements to reconstruct external forces, sometimes with probabilistic covariance information. These methods achieve good performance in collision detection, binary contact decisions and execution monitoring, and some approaches augment classical friction models with learned nonlinear terms such as

neural-network Stribeck approximations [6, 14, 22]. Nevertheless, their accuracy depends critically on the quality of the underlying RBD and friction models, and residual force errors remain significant in highly dynamic phases or around velocity reversals [6, 19, 22]. Importantly, most observer-based schemes treat payloads and tools as fixed parts of the nominal model or as lumped disturbances, and do not explicitly estimate payload parameters.

More recent contributions bridge RDPI/PDPI and observer-based estimation by using proprioceptive data to identify payload parameters online [5, 21]. Momentum-observer-based schemes and parameter-difference methods compute external joint torques as residuals between measured and model-based torques and apply LS/RLS Newton-Euler regressors to recover payload mass, CoM and, in some cases, inertia during regular robot operation. These works demonstrate that accurate online PDPI is possible without FT sensors, provided that a reasonably accurate base robot model, friction compensation and sufficiently exciting motions are available [5, 21]. At the same time, they underline several structural limitations: inertia remains the hardest quantity to identify robustly; nonlinear friction, backlash and transmission effects must be modelled or learned carefully (with several authors explicitly noting residual error peaks near motion reversal due to unmodelled friction [13, 20]); and the resulting estimators not providing a unified, continuously updated representation of robot and load.

**Category Q2** groups Gaussian Process (GP) and GP-hybrid methods for inverse dynamics and sensorless contact estimation. In all cases, a GP is trained offline as a residual or surrogate model on top of a nominal rigid-body dynamics (RBD) description, and then deployed online for torque or disturbance prediction [23, 24]

Two studies use GPs to improve joint-space contact-force estimation. In [23], an enhanced GP learns the residual dynamics between an Euler-Lagrange model and measured torques; this residual is injected into a decoupling disturbance observer and Kalman filter to obtain external joint torques and end-effector forces. The follow-up [25] extends this to a GP-adaptive disturbance Kalman filter, where the disturbance covariance is adjusted based on the GP output. Both papers show reduced estimation error and faster convergence than purely model-based observers, but require a reasonably accurate nominal model, high-quality proprioception and extensive non-contact training data; payload and tool effects are absorbed into a single residual term.

A third work combines GP inverse dynamics with learning-based contact detection [24]. A GP predicts non-contact motor torques from joint states, and the residual between measured and GP-predicted torques is passed to a convolutional neural network that classifies contact vs. no-contact during assembly tasks, achieving high classification accuracy on scripted collisions. The method remains task-specific and does not recover physical interaction forces or payload parameters.

Finally, [26] compares GPs and neural networks for inverse dynamics when the inputs include physics-inspired features (e.g. nominal RBD torques). Embedding such structure improves data efficiency and prediction accuracy and GPs are competitive for moderate dataset sizes.

**Category Q3** comprises deep-learning approaches for inverse dynamics, force estimation

and payload identification. Most methods either learn residual dynamics on top of a nominal rigid-body model or learn a direct mapping from joint histories to payload parameters or contact indicators, using LSTM/GRU-type sequence models, feed-forward networks, CNNs or ensemble methods [15, 27–33].

A first group focuses on deep residual inverse dynamics on top of a nominal RBD model. In [27], the authors use the public Franka Panda dataset and the Gaz et al. model to compute joint-torque residuals between data and RBD prediction, and train a bootstrapped LSTM ensemble (BLL-LSTM) on sequences of  $(q, \dot{q}, \ddot{q})$  to predict these residuals. The ensemble clearly improves torque prediction over Gaussian processes and single models on held-out dataset splits, but remains a purely offline, dataset-based study that assumes a reasonably accurate full robot model. Similarly, [28] trains LSTMs to map base FT-wrench and joint states to end-effector tip forces, and joint states to joint torques, on a 7-DoF Panda. The LSTMs outperform MLPs, 1D convolutions and a DeLaN baseline in both simulation and real experiments, but are evaluated in a task-specific setting and rely on FT hardware and simulation-generated ground-truth forces.

A second group uses deep models to infer end-effector wrench or contact directly from proprioception. The adaptive sparse GRNN force observer in [29] maps joint positions, velocities, accelerations and motor currents to 6D wrench on a UR5 teleoperation system, using an FT sensor only for supervision; it achieves strong soft/stiff collision force estimation and outperforms GP and MLP baselines. In [30], a CNN is trained in IsaacGym with domain randomisation to detect and localise link-environment contacts for a 7-DoF Panda using only link velocities and pose errors (no torque or FT sensing). The network reaches around 98 % accuracy in sim-to-real contact localisation, but does not estimate wrench magnitude or payload dynamics.

The third line of work addresses payload dynamic parameter identification (PDPI) with deep networks and ensembles. A learning-based method for the OpenMANIPULATOR-X in [15] combines a nominal RBD model and camera pose estimation with an MLP that processes joint states and velocity sign to estimate per-joint torque contributions; a subsequent LS step recovers payload mass and CoM of known objects, with average errors of about 9 % in mass and 18 % in CoM. For collaborative cobots, [32] proposes a batch ensemble of weak learners (NNs or decision trees) that directly map  $(q, \dot{q}, \tau)$  to payload parameters for a library of 77 payloads along a fixed excitation trajectory on a Franka robot; the method achieves good sim-to-real transfer and clearly reduces mass/CoM error compared to RLS, but still requires a dedicated excitation path per payload. This is generalised in [31, 33], which introduce incremental ensemble learning for PDPI along arbitrary task paths. The initial incremental ensemble [31] adapts weak learners online based on Euclidean distance in feature space but suffers from catastrophic forgetting on previously seen trajectories. The follow-up work [33] adds a bag-based classifier that routes new path segments to the most relevant weak learner and spawns new learners as required, thereby preserving accuracy on old paths while adapting to new ones and eliminating the explicit excitation trajectory. The price is a growing ensemble size and the fact that the individual learners remain small feed-forward networks without explicit temporal structure.

**Category Q4** groups physics-informed and differentiable dynamics models, where deep net-



works are structured by Lagrangian or state-space physics and trained on joint data to predict torques or currents. The central goal in all works is accurate robot dynamic parameter identification (RDPI) and inverse dynamics prediction for fixed robots; payload dynamics and interaction forces are not estimated explicitly.

A first line of work builds on Deep Lagrangian Networks (DeLaN) and related continuous-time models. The survey and benchmark in [16] compares structured DeLaN/HNN models against black-box neural networks on low-DoF systems and a 4-DoF WAM arm, showing that physics-informed architectures achieve lower normalised errors and much longer valid prediction horizons than unconstrained networks. However, these models assume conservative dynamics without contacts, and friction is either neglected or handled separately. Extending this idea, [34] learns an “extended DeLaN” that incorporates motor couplings and friction on a UR10e arm, using joint positions, velocities and accelerations together with motor currents. The network learns Lagrangian terms plus actuator/friction parameters and predicts motor currents with high accuracy in simulation and on hardware, outperforming the original DeLaN and a feed-forward baseline, yet still under fixed tool/payload and contact-free conditions.

A second line of work uses physics-informed neural networks (PINNs) as refinements of classical LS/NE identification. In [35], base parameters are first obtained by a Newton-Euler regressor; a decomposed PINN then minimises a hybrid loss combining data residuals and the rigid-body dynamics equations, reducing joint-torque RMSE compared to LS alone. Building on this, [36] proposes a friction-inclusive PINN for multi-joint industrial robots without joint torque sensors, combining Lagrangian dynamics with an explicit Stribeck friction model and a history-based residual network that learns remaining errors over a time window. The resulting hybrid model achieves very strong joint-torque (or current) prediction across several joints and outperforms DeLaN-type and LS baselines. Finally, [37] introduces an H-PINN for a single collaborative robot joint, embedding the joint’s state-space dynamics into an RNN cell and jointly estimating physical parameters and state transitions, with highly accurate joint-level dynamics prediction in simulation and experiments.

Taken together, the **Q1** literature shows that classical model-based techniques can deliver high-quality RDPI and PDPI, as well as useful sensorless interaction-force estimates, but typically only under carefully controlled excitation of dedicated identification trajectories [4, 7–10, 13, 18–22]. From the perspective of this work, the main gaps are the lack of a unified, online notion of dynamic awareness that covers robot and payload; the persistent difficulty of reliably identifying and exploiting payload inertia in cobot-safe regimes; and the sensitivity of existing approaches to friction and transmission nonlinearities. [REFERENCES HERE!] (These limitations directly motivate the methodological choices and objectives formulated in the problem statement and aim of work.)

Overall, **Q2** shows that GPs are effective residual models for unmodelled robot dynamics and can enhance sensorless contact estimation [23–26]. However, the GP is always a lumped compensator: there is no sequence-aware handling of backlash or history-dependent friction, and no unified dynamic representation in the measurement frame that could serve as a precise, task-agnostic basis for tool/gripper compensation.

Overall, the **Q3** literature shows that deep models can substantially improve torque and

force estimation and can achieve competitive PDPI, including sim-to-real transfer for collaborative robots. At the same time, existing works either depend on accurate nominal models and FT supervision, or operate as largely black-box payload regressors, and none provide a unified deep sequence model that simultaneously delivers joint-torque prediction, tool/payload compensation and interaction-force awareness during general manipulation tasks.

Across **Q4**, physics-informed deep models consistently improve inverse-dynamics prediction and friction compensation compared to purely black-box networks, while using only encoder and motor data [16, 34–37]. At the same time, they are trained on carefully designed excitation trajectories and then deployed as fixed models, without explicit treatment of changing payloads or contact forces, and some architectures become quite complex when scaling beyond low-DoF setups or single joints. Thus, Q4 provides strong structured baselines for fixed robot dynamics, but does not yet realise an online, unified dynamic awareness of robot, tool and payload with explicit force estimation, as targeted in this work.

**Overall**, the literature spans the full spectrum in Fig. 3: from classical model engineering and system identification (Q1), through GP residual models (Q2), to black-box deep learning (Q3) and physics-inspired networks (Q4). Classical RBD+LS/observer pipelines in Q1 provide strong RDPI/PDPI under carefully designed calibration trajectories, but remain sensitive to friction and payload changes and rarely yield a unified, online notion of the effective rigid body. GP and deep black-box methods in Q2-Q3 model unmodelled dynamics and contact well, yet largely treat friction, payload and interaction forces as a single residual and do not produce a physics-consistent wrench model in the measurement frame. Physics-informed DeLaN/PINN approaches in Q4 bridge these extremes by embedding Lagrangian structure and achieving joint-torque prediction from proprioception alone, but are typically trained for fixed tools and evaluated only in joint space. This thesis therefore positions itself in the “physics-inspired networks” quadrant of Fig. 3, combining a DeLaN-style backbone with an LSTM residual model to obtain a single joint-space inverse-dynamics model that also serves as a reliable nominal wrench model in the measurement frame and a basis for PDPI.

## 2.3 Limitations of the Current State of the Art

The SoA analysis in reveals several recurring gaps that are directly relevant for this work:

- **Weak and fragmentary treatment of payload inertia and effective rigid body.** In Q1, payload mass (and often CoM) can be estimated accurately, but inertia tensors are frequently weakly excited, ill-conditioned or only partially validated, especially in cobot-safe regimes [4, 7, 8, 11, 21] Q2-Q4 largely assume fixed tools/payloads and do not identify the full effective rigid body at all [23, 26, 28, 29, 34–36] As a result, there is no robust, general mechanism to obtain and maintain an accurate  $\phi_{\text{eff}}$  that could be used systematically for tool/gripper compensation and subsequent PDPI.
- **Entangled or black-box treatment of friction, transmission and contact effects.** Many observer-based and LS/NE methods in Q1 are highly sensitive to imperfect friction and transmission models; errors grow around velocity reversals and at higher speeds,

even with advanced observers or NN friction terms [6, 17–20, 22] Q2 explicitly models all unmodelled dynamics, friction and contacts as a single GP disturbance [23–25], which improves prediction but makes it hard to separate payload, friction and contact contributions in a physics-consistent way. Q3 and Q4 introduce powerful black-box or PINN components, but again focus on reducing torque/current residuals rather than producing explicit interaction wrenches [28, 29, 34, 36].

- **Dependence on carefully designed excitation and repeated with/without-payload experiments.** Strong RDPI/PDPI results in Q1 and Q3 typically rely on long, highly exciting trajectories (S-curves, Fourier/RRT paths) and repeated runs with and without payloads, often for each new object [4, 8–10, 13, 15, 18, 21, 31–33] This calibration-style use of offline data is practical for commissioning, but gives limited evidence that a single offline-trained model, once obtained, can provide robust dynamic awareness across diverse everyday motions and payload changes without further re-identification.
- **Limited use of temporal models for physics-consistent PDPI and force estimation.** Deep sequence models (LSTM/GRU/TCN) are used either as black-box torque/force predictors [27–30] or for payload classification/estimation in largely static mappings [15, 31–33]. Physics-informed DeLaN/PINN models, with or without temporal residuals [34–36, 38], focus on joint-torque prediction in joint space. No existing work couples a temporal model with an explicit effective rigid-body representation to jointly obtain accurate joint-torque prediction, tool/gripper compensation and interaction-force estimation in the measurement frame.



Figure 4: The Concept graph summarising the reviewed literature. Green nodes represent method categories Q1-Q4 with node the size proportional to the number of papers in each category. Blue nodes denote the main estimation goals scaled by how many papers address each goal. Red nodes correspond to individual references R1-R34; their diameter is proportional to the citation count (clipped at the maximum). Directed edges indicate that a given reference belongs to a method category and contributes to one or more estimation goals. R1-R34 table in Appendix C

## 2.4 Deep Lagrangian Networks

Do i have to explain how DeLaN and LSTM work? -> [36, 38] show DeLaN (also with figures)

## 2.5 Long-Short-Term-Memory

and [27, 28] show LSTM (also with figures). I could also do one figure each, very short explain these two and cite with the given above and DeLaN / LSTM base paper.

## 3 Methods

Quick overview of what is found in methods (DeLaN + LSTM)-> May start with general DeLaN / LSTM explanation -> moves on with my pipeline mathematics -> then implementation of my pipeline, especially Dataset and input-/output vector dimensions here, also dataset recording offline training online execution.

### 3.1 Aim of Work

The SoA review indicates that the strongest results for robot inverse dynamics and friction modelling are achieved when physics-structured networks are combined with data-driven residual learners. Extended DeLaN models can capture motor couplings and current-torque relations from encoder and motor data alone [16, 34], while recent PINN-based approaches augment a structured dynamics model with temporal convolutions to obtain joint-torque prediction and friction compensation on industrial robots [35, 36]. At the same time, several works show that recurrent residual learners-in particular LSTMs-are highly effective for compensating modelling errors and estimating joint torques and end-effector forces in closely related settings [27, 28].

The aim of this thesis is to build on these insights and develop a physics-informed, sequence-model-based inverse-dynamics architecture that can be trained using only encoder and motor-current data, yields an accurate nominal model of the robot-gripper joint torques and can be consistently interpreted as a flange-wrench model in the force/torque sensor frame. Thereby providing a basis for tool/gripper compensation and subsequent payload dynamic parameter identification (PDPI) as formulated in Section 1.2. Concretely, the work pursues the following objectives:

- **Stage 1 (structured inverse dynamics)** Stage 1 follows the improved DeLaN parameterisation of [38], using a Cholesky-factor inertia network and a learned potential, complemented by an explicit Coulomb-viscous joint-friction model [38, 39]. In contrast to the extended DeLaN-Motor architecture of [34], which embeds detailed motor couplings and is trained directly in current space, we adopt a simplified joint-side formulation and supervise the model using motor torques  $\tau$ , obtained from encoder and motor-current data, where  $k_t$  is given [40]. The resulting DeLaN network is trained offline on carefully designed excitation trajectories to learn the nominal robot-gripper inverse dynamics.
- **Stage 2 (residual sequence model)** Stage 2 adopts the DeLaN + TCN paradigm of [36]: the structured inverse-dynamics model from Stage 1 provides a nominal torque prediction, and a separate sequence model (LSTM) learns residual joint torques from motion history.

- **Evaluation in joint space and measurement frame.** Evaluate the resulting DeLaN+LSTM architecture both in joint space and in the force/torque sensor frame, quantifying its ability to reproduce the nominal robot-gripper wrench across diverse trajectories.

In this work, a 6D force/torque sensor is used primarily as a research instrument to validate the joint-space modelling in the end-effector frame: flange-wrench measurements  $\vec{F}_{\text{meas}}$  are compared against the wrenches obtained by mapping the joint-space DeLaN+LSTM predictions through the Jacobian. Once consistency between predicted and measured flange wrenches has been demonstrated, the joint-space trained DeLaN+LSTM architecture provides a robust basis for PDPI by delivering a reliable tool/gripper wrench compensation in the measurement frame.

By combining a physics-informed inverse-dynamics backbone with a sequence model trained offline but executed online, this thesis aims to move from the calibration-heavy, fragmented SoA towards a unified and practically deployable notion of dynamic awareness: a cobot that can reliably predict its own joint torques, reproduce its nominal flange wrench in the measurement frame, and separate intrinsic robot-gripper dynamics from payload/collision-induced effects.

- RQ1** How accurately can a DeLaN-based inverse-dynamics model, trained purely in joint space, predict the flange wrench in the measurement frame once mapped through the Jacobian? What extent does augmenting DeLaN with a residual sequence model improve this wrench prediction?
- RQ2** How does augmenting a DeLaN with a residual sequence model (LSTM) improve this wrench prediction?
- RQ3** Does augmenting DeLaN with an LSTM-based residual model achieve joint-torque prediction and friction compensation performance comparable to a TCN-based residual model?

## 3.2 Stage 1: Structured inverse dynamics for robot + fixed gripper

In a first step, we learn a nominal inverse-dynamics model for the robot-gripper system without payload and without contact. From the synchronised dataset we obtain

$$\{\mathbf{q}_k, \dot{\mathbf{q}}_k, \ddot{\mathbf{q}}_k, \mathbf{I}_k, \vec{F}_{\text{meas},k}\}_{k=1}^N,$$

where  $\mathbf{q}_k, \dot{\mathbf{q}}_k, \ddot{\mathbf{q}}_k \in \mathbb{R}^n$  are joint position, velocity and acceleration,  $\mathbf{I}_k \in \mathbb{R}^n$  are motor currents, and  $\vec{F}_{\text{meas},k} \in \mathbb{R}^6$  is the measured flange wrench in the sensor frame  $S$ . For brushless DC motors with torque constant  $k_t$  [40], the measured motor torques are

$$\boldsymbol{\tau}_{\text{motor},k} = k_t \mathbf{I}_k. \quad (9)$$

The nominal robot-gripper dynamics are parameterised by a Deep Lagrangian Network (DeLaN) [38] with parameters  $\theta$  for the conservative dynamics and  $\psi$  for friction and other non-conservative terms. The network implements a Lagrangian

$$\mathcal{L}_\theta(\mathbf{q}, \dot{\mathbf{q}}) = \frac{1}{2} \dot{\mathbf{q}}^\top \mathbf{M}_\theta(\mathbf{q}) \dot{\mathbf{q}} - V_\theta(\mathbf{q}), \quad (10)$$

with positive-definite inertia matrix  $\mathbf{M}_\theta(\mathbf{q})$  (e.g. represented via a Cholesky-factor network (D.1)) and potential  $V_\theta(\mathbf{q})$  (D.2) represented by a neural network.[34, 36, 38] Using the Euler-Lagrange equations yields the conservative joint torques

$$\boldsymbol{\tau}_{\text{cons}}(\mathbf{q}, \dot{\mathbf{q}}, \ddot{\mathbf{q}}; \theta) = \mathbf{M}_\theta(\mathbf{q}) \ddot{\mathbf{q}} + \mathbf{C}_\theta(\mathbf{q}, \dot{\mathbf{q}}) \dot{\mathbf{q}} + \mathbf{G}_\theta(\mathbf{q}), \quad (11)$$

where  $\mathbf{C}_\theta$  and  $\mathbf{G}_\theta$  are implicitly defined by  $\mathcal{L}_\theta$  [36, 38].

Joint friction and other non-conservative effects are captured by a Coulomb-viscous friction model, following the improved DeLaN design of [38, 39]. For each joint  $i$  we adopt

$$\tau_{\text{fric},i}(\dot{q}_i; \psi) = f_{c,i} \text{sgn}(\dot{q}_i) + f_{v,i} \dot{q}_i, \quad (12)$$

with learned Coulomb and viscous coefficients  $f_{c,i}$  and  $f_{v,i}$ , collected in  $\psi$ . In vector form this can be written compactly as

$$\boldsymbol{\tau}_{\text{fric}}(\dot{\mathbf{q}}; \psi) = f_{\text{fric}}([\dot{\mathbf{q}}, \text{sgn}(\dot{\mathbf{q}})]; \psi), \quad (13)$$

where  $f_{\text{fric}}$  denotes the joint-wise affine map implementing the Coulomb-viscous law (D.3).

The DeLaN torque prediction is the sum of conservative and frictional parts,

$$\hat{\boldsymbol{\tau}}_{\text{DeLaN}}(\mathbf{q}, \dot{\mathbf{q}}, \ddot{\mathbf{q}}; \theta, \psi) = \boldsymbol{\tau}_{\text{cons}}(\mathbf{q}, \dot{\mathbf{q}}, \ddot{\mathbf{q}}; \theta) + \boldsymbol{\tau}_{\text{fric}}(\dot{\mathbf{q}}; \psi). \quad (14)$$

For compactness we write this as a parametric model

$$\hat{\boldsymbol{\tau}}_{\text{DeLaN}}(\mathbf{q}, \dot{\mathbf{q}}, \ddot{\mathbf{q}}; \theta, \psi) = f_{\text{DeLaN}}(\mathbf{q}, \dot{\mathbf{q}}, \ddot{\mathbf{q}}; \theta, \psi), \quad (15)$$

so that for sample  $k$

$$\hat{\boldsymbol{\tau}}_{\text{DeLaN},k} = f_{\text{DeLaN}}(\mathbf{q}_k, \dot{\mathbf{q}}_k, \ddot{\mathbf{q}}_k; \theta, \psi). \quad (16)$$

The parameters  $(\theta, \psi)$  are trained offline by minimising a joint-space regression loss [36, 38]

$$\mathcal{L}_{\text{DeLaN}}(\theta, \psi) = \frac{1}{N} \sum_{k=1}^N \|\hat{\boldsymbol{\tau}}_{\text{DeLaN}}(\mathbf{q}_k, \dot{\mathbf{q}}_k, \ddot{\mathbf{q}}_k; \theta, \psi) - \boldsymbol{\tau}_{\text{motor},k}\|_2^2. \quad (17)$$

Note that this training objective uses only joint states and motor torques; the force/torque sensor is not required for fitting the DeLaN model.

After training, the DeLaN parameters are frozen and the model serves as a data-driven nominal inverse-dynamics model of the robot-gripper system.



### 3.3 Stage 2: Sequence model for residual joint torques

In the second stage, we model history-dependent effects that are not captured by the structured DeLaN model, such as backlash and fine-grained nonlinear friction. Using the same robot-gripper dataset (still without payload and without contact), we first compute the joint-space residual torques

$$\mathbf{r}_{\tau,k} = \boldsymbol{\tau}_{\text{motor},k} - \hat{\boldsymbol{\tau}}_{\text{DeLaN},k}. \quad (18)$$

Let  $H$  denote the sequence length (number of time steps in the history window). For each time index  $k \geq H$  we construct an input sequence

$$\mathbf{x}_k = \left[ \mathbf{q}_{k-H+1:k}, \dot{\mathbf{q}}_{k-H+1:k}, \ddot{\mathbf{q}}_{k-H+1:k}, \hat{\boldsymbol{\tau}}_{\text{DeLaN},k-H+1:k} \right], \quad (19)$$

where  $\mathbf{q}_{a:b}$  denotes the stacked joint vectors  $(\mathbf{q}_a, \dots, \mathbf{q}_b)$ , and analogously for  $\dot{\mathbf{q}}$ ,  $\ddot{\mathbf{q}}$  and  $\hat{\boldsymbol{\tau}}_{\text{DeLaN}}$ . This construction stacks the last  $H$  joint states together with the corresponding DeLaN torque predictions into a single sequence feature vector  $\mathbf{x}_k$ .

An LSTM with parameters  $\varphi$  maps this sequence to a residual-torque prediction

$$\hat{\mathbf{r}}_{\tau,k} = f_{\text{LSTM}}(\mathbf{x}_k; \varphi) \in \mathbb{R}^n. \quad (20)$$

The LSTM is trained to minimise the mean-squared error between predicted and true residual torques,

$$\mathcal{L}_{\text{LSTM}}(\varphi) = \frac{1}{N_H} \sum_{k=H}^N \|\hat{\mathbf{r}}_{\tau,k} - \mathbf{r}_{\tau,k}\|_2^2, \quad (21)$$

where  $N_H = N - H + 1$  is the number of valid sequences.

The combined joint-space model for the robot-gripper system is then

$$\hat{\boldsymbol{\tau}}_{\text{RG},k} = \hat{\boldsymbol{\tau}}_{\text{DeLaN},k} + \hat{\mathbf{r}}_{\tau,k}. \quad (22)$$

For evaluation in the sensor frame, the corresponding combined flange wrench is obtained via the Jacobian mapping

$$\hat{\tilde{\mathbf{F}}}_{\text{RG},k} = {}^S J(\mathbf{q}_k)^{-\top} \hat{\boldsymbol{\tau}}_{\text{RG},k}. \quad (23)$$

Since both stages are trained exclusively on data without payload and without environment contact,  $\hat{\boldsymbol{\tau}}_{\text{RG},k}$  and  $\hat{\tilde{\mathbf{F}}}_{\text{RG},k}$  represent a high-fidelity, history-aware model of the nominal robot-gripper dynamics. In later stages, deviations between this model and the measured joint torques or flange wrenches can be attributed to the effective rigid-body contribution of additional payloads and contacts.

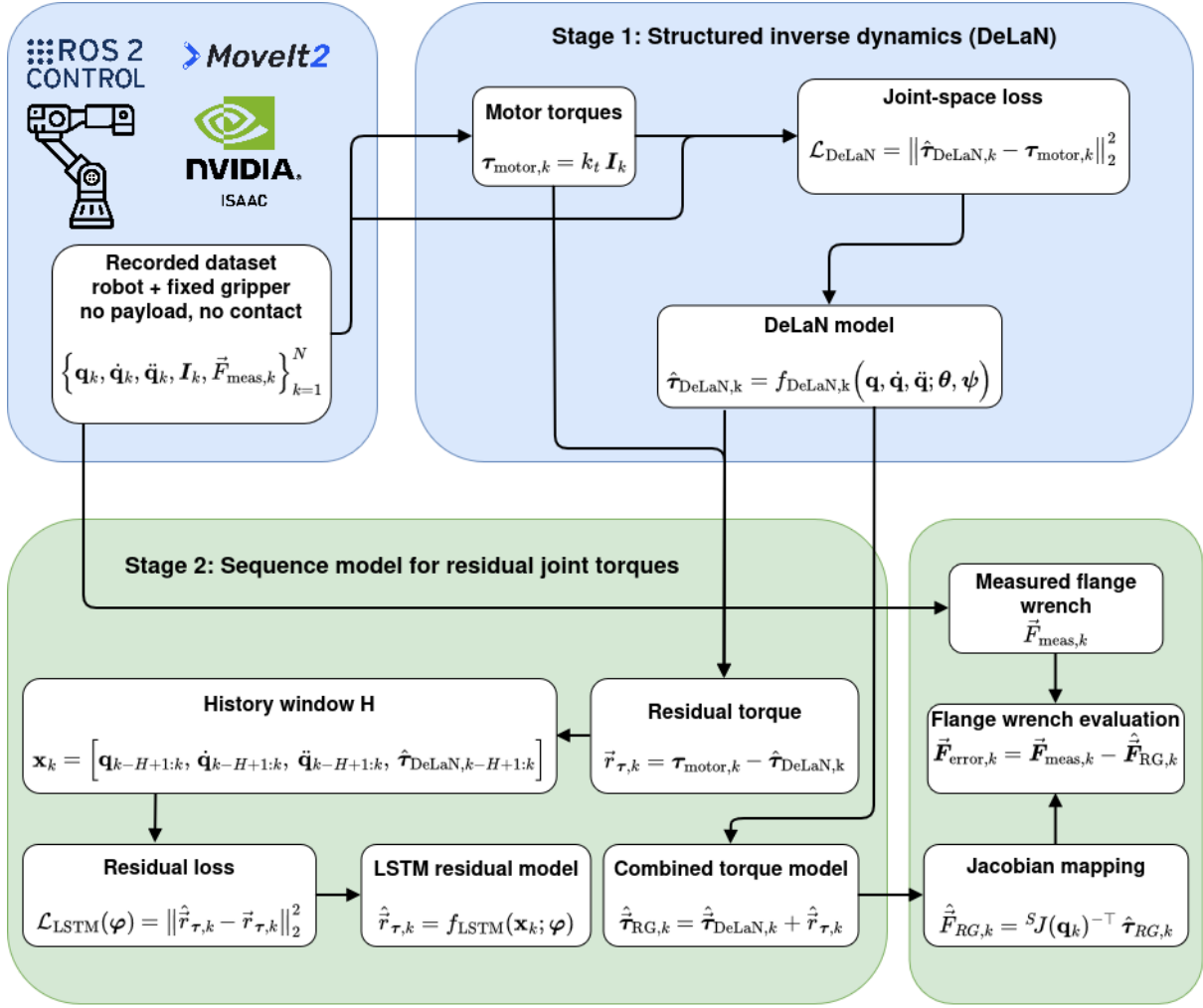


Figure 5: Two-stage learning pipeline for the nominal robot-gripper dynamics. Stage 1 learns a structured inverse-dynamics model (DeLaN) in joint space from encoder and motor-current data and is trained by regressing motor torques. In Stage 2, a recurrent sequence model (LSTM) takes joint-state histories and DeLaN torque predictions as input and learns residual joint torques over a fixed history window. The combined joint-space model is then mapped through the Jacobian to obtain the nominal flange wrench in the force/torque sensor frame, which is compared against the measured wrench for evaluation.

### 3.4 Data preprocessing and dataset construction

The starting point is a long-format log of robot measurements, exported as a CSV with columns

Time, Joint Name, Position, Velocity, Acceleration, Effort.

Each row corresponds to a single joint at a given timestamp. In this first iteration, the joint velocities and accelerations are taken directly from the controller without additional filtering,

and the joint effort is interpreted as a torque-like quantity. The precise mapping from motor currents to joint torques is introduced later via the torque constant  $k_t$  (cf. Section 3).

**Joint selection (UR5 arm).** From the full log we select only the six UR5 joints

$$\mathcal{J} = \{\text{ur5\_shoulder\_pan\_joint}, \text{ur5\_shoulder\_lift\_joint}, \text{ur5\_elbow\_joint}, \text{ur5\_wrist\_1\_}$$

which defines  $n_{\text{dof}} = 6$  for all subsequent processing. For each timestamp  $t$  we thus obtain a 6-dimensional joint configuration, velocity, acceleration and effort vector.

**Frame-wise representation and trajectory segmentation.** Let  $\{t_f\}_{f=1}^F$  denote the sorted set of unique timestamps in the log. For each frame index  $f$  and each joint  $j \in \mathcal{J}$  we collect

$$q_{f,j}, \quad \dot{q}_{f,j}, \quad \ddot{q}_{f,j}, \quad \tau_{f,j}^{\text{eff}},$$

where  $\tau_{f,j}^{\text{eff}}$  denotes the measured joint effort. Stacking over joints yields frame-wise vectors

$$\mathbf{q}_f, \dot{\mathbf{q}}_f, \ddot{\mathbf{q}}_f, \boldsymbol{\tau}_f^{\text{eff}} \in \mathbb{R}^6.$$

To obtain multiple trajectories from a single continuous log, we segment the frames into fixed-length windows. For a chosen number of frames per trajectory,  $T_{\text{seg}}$ , we define a trajectory index

$$\text{traj}(f) = \left\lfloor \frac{f-1}{T_{\text{seg}}} \right\rfloor,$$

and group all frames with the same  $\text{traj}(f)$  into one trajectory.

For trajectory  $i$  this yields a time series of length  $T_i$  with

$$\mathbf{t}^{(i)} = (t_1^{(i)}, \dots, t_{T_i}^{(i)}) \in \mathbb{R}^{T_i}, \quad (24)$$

$$\mathbf{Q}^{(i)} = \begin{bmatrix} \mathbf{q}_1^{(i)\top} \\ \vdots \\ \mathbf{q}_{T_i}^{(i)\top} \end{bmatrix} \in \mathbb{R}^{T_i \times 6}, \quad (25)$$

$$\dot{\mathbf{Q}}^{(i)} = \begin{bmatrix} \dot{\mathbf{q}}_1^{(i)\top} \\ \vdots \\ \dot{\mathbf{q}}_{T_i}^{(i)\top} \end{bmatrix} \in \mathbb{R}^{T_i \times 6}, \quad (26)$$

$$\ddot{\mathbf{Q}}^{(i)} = \begin{bmatrix} \ddot{\mathbf{q}}_1^{(i)\top} \\ \vdots \\ \ddot{\mathbf{q}}_{T_i}^{(i)\top} \end{bmatrix} \in \mathbb{R}^{T_i \times 6}, \quad (27)$$

$$\mathbf{T}^{(i)} = \begin{bmatrix} \boldsymbol{\tau}_1^{\text{eff},(i)\top} \\ \vdots \\ \boldsymbol{\tau}_{T_i}^{\text{eff},(i)\top} \end{bmatrix} \in \mathbb{R}^{T_i \times 6}. \quad (28)$$

In short, each trajectory  $i$  is represented by

$$(\mathbf{t}^{(i)}, \mathbf{Q}^{(i)}, \dot{\mathbf{Q}}^{(i)}, \ddot{\mathbf{Q}}^{(i)}, \mathbf{T}^{(i)}),$$

with shapes

$$\mathbf{t}^{(i)} \in \mathbb{R}^{T_i}, \quad \mathbf{Q}^{(i)}, \dot{\mathbf{Q}}^{(i)}, \ddot{\mathbf{Q}}^{(i)}, \mathbf{T}^{(i)} \in \mathbb{R}^{T_i \times 6}.$$

**Train/test split by trajectory.** Let  $\mathcal{I} = \{1, \dots, N_{\text{traj}}\}$  denote the set of all trajectory indices. We randomly permute  $\mathcal{I}$  and partition it into disjoint training and test index sets,

$$\mathcal{I}_{\text{train}} \cup \mathcal{I}_{\text{test}} = \mathcal{I}, \quad \mathcal{I}_{\text{train}} \cap \mathcal{I}_{\text{test}} = \emptyset,$$

such that a given trajectory is entirely in either the training or the test set. This avoids leakage of near-identical neighbouring samples across splits.

**NPZ dataset structure.** For efficient loading during model training, the trajectory-wise data are stored in a NumPy `.npz` archive with the following keys:

$$\text{train\_labels}, \text{train\_t}, \text{train\_q}, \text{train\_qd}, \text{train\_qdd}, \text{train\_tau}, \quad (29)$$

$$\text{test\_labels}, \text{test\_t}, \text{test\_q}, \text{test\_qd}, \text{test\_qdd}, \text{test\_tau}. \quad (30)$$

Each of the trajectory-wise arrays is stored as a one-dimensional object array. For example,

$$\text{train\_q}[i] \triangleq \mathbf{Q}^{(i)} \in \mathbb{R}^{T_i \times 6},$$

and analogously for `train_qd`, `train_qdd` and `train_tau`. Thus, if there are  $N_{\text{train}}$  training trajectories, we have

$$\text{train\_q} \in \mathbb{R}_{\text{object}}^{N_{\text{train}}}, \quad \text{train\_q}[i] \in \mathbb{R}^{T_i \times 6},$$

and likewise for the test set.

In the current implementation the `Effort` column is used to populate  $\mathbf{T}^{(i)}$ ; the conversion to motor torques via  $\tau_{\text{motor},k} = k_t \mathbf{I}_k$  is applied later in the training pipeline (cf. (6)).

**Flattening trajectories for DeLaN training.** On the DeLaN side, the trajectory-wise arrays are flattened into a single pool of samples for stochastic optimisation. Denoting concatenation along the time dimension by `vstack`( $\cdot$ ), the training set becomes

$$\tilde{\mathbf{Q}}_{\text{train}} = \text{vstack}(\text{train\_q}[i]) \in \mathbb{R}^{N_{\text{train,tot}} \times 6}, \quad (31)$$

$$\dot{\tilde{\mathbf{Q}}}_{\text{train}} = \text{vstack}(\text{train\_qd}[i]) \in \mathbb{R}^{N_{\text{train,tot}} \times 6}, \quad (32)$$

$$\ddot{\tilde{\mathbf{Q}}}_{\text{train}} = \text{vstack}(\text{train\_qdd}[i]) \in \mathbb{R}^{N_{\text{train,tot}} \times 6}, \quad (33)$$

$$\tilde{\mathbf{T}}_{\text{train}} = \text{vstack}(\text{train\_tau}[i]) \in \mathbb{R}^{N_{\text{train,tot}} \times 6}, \quad (34)$$

where

$$N_{\text{train,tot}} = \sum_{i \in \mathcal{I}_{\text{train}}} T_i$$

is the total number of training time steps across all trajectories. An analogous flattening is performed for the test set.

All arrays are cast to a floating-point dtype (e.g. `float32`) to avoid `dtype=object` issues in the JAX-based DeLaN implementation.

### 3.5 DeLaN training setup

Given the flattened dataset

$$\mathcal{D}_{\text{train}} = \{(\mathbf{q}_k, \dot{\mathbf{q}}_k, \ddot{\mathbf{q}}_k, \boldsymbol{\tau}_{\text{motor},k})\}_{k=1}^{N_{\text{train,tot}}},$$

the DeLaN model  $f_{\text{DeLaN}}$  is trained as described in Section 3. Here we summarise the main implementation details used in this work.

**Feature transform on joint positions.** Instead of feeding the raw joint angles  $\mathbf{q}_k$  directly into the DeLaN subnetworks, we use a bounded, trigonometric feature map

$$\phi(\mathbf{q}_k) = \begin{bmatrix} \mathbf{q}_k \\ \sin(\mathbf{q}_k) \\ \cos(\mathbf{q}_k) \end{bmatrix} \in \mathbb{R}^{3n_{\text{dof}}}, \quad (35)$$

where the sine and cosine are applied element-wise. This follows the common practice in DeLaN/PINN-based models of encoding revolute joints through periodic features, which mitigates angle wrap-around and keeps the network inputs well scaled. The feature vector  $\phi(\mathbf{q}_k)$  is used as input to the inertia MLPs and the potential network described in Appendix D.

**Per-joint loss normalisation.** To prevent joints with large torque magnitudes from dominating the optimisation, we employ a per-joint normalisation in the inverse-dynamics loss. Let

$$\hat{\boldsymbol{\tau}}_{\text{DeLaN},k} = f_{\text{DeLaN}}(\mathbf{q}_k, \dot{\mathbf{q}}_k, \ddot{\mathbf{q}}_k; \boldsymbol{\theta}, \boldsymbol{\psi}) \quad (36)$$

denote the DeLaN torque prediction for sample  $k$ .

We compute empirical variances of the training torques

$$\boldsymbol{\sigma}_{\boldsymbol{\tau}}^2 = \text{Var}(\tilde{\mathbf{T}}_{\text{train}}) \in \mathbb{R}^6, \quad (37)$$

and define a diagonal weighting matrix

$$\mathbf{W}_{\boldsymbol{\tau}} = \text{diag}(\boldsymbol{\sigma}_{\boldsymbol{\tau}}^{-1}) \in \mathbb{R}^{6 \times 6}, \quad (38)$$

where the inverse is taken element-wise. The training objective can then be written as

$$\mathcal{L}_{\text{DeLaN}}(\boldsymbol{\theta}, \boldsymbol{\psi}) = \frac{1}{N_{\text{train,tot}}} \sum_{k=1}^{N_{\text{train,tot}}} \|\mathbf{W}_{\boldsymbol{\tau}}(\hat{\boldsymbol{\tau}}_{\text{DeLaN},k} - \boldsymbol{\tau}_{\text{motor},k})\|_2^2, \quad (39)$$

which corresponds to a per-joint normalisation of the squared torque error. In practice, additional factors derived from  $\ddot{\mathbf{q}}$  may be included analogously, but the essential idea is that the loss is balanced across joints.

**Replay buffer and random mini-batch sampling.** All training samples are stored in a replay buffer  $\mathcal{M}$ , which supports random-access mini-batch sampling. At each optimisation step, a mini-batch index set  $S \subset \{1, \dots, N_{\text{train,tot}}\}$  with  $|S| = B$  is drawn (e.g. by taking a random permutation of indices and slicing), and the corresponding batch

$$\mathcal{B} = \{(\mathbf{q}_k, \dot{\mathbf{q}}_k, \ddot{\mathbf{q}}_k, \boldsymbol{\tau}_{\text{motor},k})\}_{k \in S}$$

is used to evaluate  $\mathcal{L}_{\text{DeLaN}}$  and its gradients. This renders the optimisation effectively i.i.d. over the flattened pool of samples; the original trajectory grouping is retained only for the train/test split and for later sequence-based models and visualisations.

**Importance of constant sampling time.** Throughout the preprocessing and training pipeline, we assume a (approximately) constant sampling interval

$$\Delta t_k = t_{k+1} - t_k \approx \Delta t, \quad \forall k,$$

as is standard for robot control logs. A fixed sampling period is crucial for:

- interpreting measured joint velocities  $\dot{\mathbf{q}}_k$  and accelerations  $\ddot{\mathbf{q}}_k$  as consistent derivatives of  $\mathbf{q}_k$ ,
- applying finite-difference schemes or filtering to reconstruct  $\dot{\mathbf{q}}_k$  and  $\ddot{\mathbf{q}}_k$  from position data,
- ensuring that the DeLaN model learns a time-homogeneous mapping from  $(\mathbf{q}_k, \dot{\mathbf{q}}_k, \ddot{\mathbf{q}}_k)$  to  $\boldsymbol{\tau}_{\text{motor},k}$ .

In the present work, the raw controller velocities and accelerations are used directly, but enforcing a constant sampling time and applying dedicated filtering to  $\dot{\mathbf{q}}_k$  and  $\ddot{\mathbf{q}}_k$  constitutes a straightforward extension of the preprocessing pipeline.

## 3.6 Long-Short-Term-Memory Training Setup

### Export DeLaN Residuals

After training Stage 1, the DeLaN parameters  $(\boldsymbol{\theta}, \boldsymbol{\psi})$  are frozen and the model is evaluated on all training samples  $(\mathbf{q}_k, \dot{\mathbf{q}}_k, \ddot{\mathbf{q}}_k)$ ,  $k = 1, \dots, N_{\text{train,tot}}$ . This yields joint-torque predictions

$$\hat{\boldsymbol{\tau}}_{\text{DeLaN},k} = f_{\text{DeLaN}}(\mathbf{q}_k, \dot{\mathbf{q}}_k, \ddot{\mathbf{q}}_k; \boldsymbol{\theta}, \boldsymbol{\psi}), \quad (40)$$

which we stack into a matrix

$$\hat{\mathbf{T}}_{\text{DeLaN,train}} = \begin{bmatrix} \hat{\boldsymbol{\tau}}_{\text{DeLaN},1}^\top \\ \vdots \\ \hat{\boldsymbol{\tau}}_{\text{DeLaN},N_{\text{train,tot}}}^\top \end{bmatrix} \in \mathbb{R}^{N_{\text{train,tot}} \times 6}. \quad (41)$$

Using the corresponding motor torques  $\boldsymbol{\tau}_{\text{motor},k}$ , we define the joint-space residual torques for all training samples as

$$\mathbf{r}_{\tau,k} = \boldsymbol{\tau}_{\text{motor},k} - \hat{\boldsymbol{\tau}}_{\text{DeLaN},k}, \quad k = 1, \dots, N_{\text{train,tot}}, \quad (42)$$

and collect them in

$$\mathbf{R}_{\tau, \text{train}} = \begin{bmatrix} \mathbf{r}_{\tau, 1}^\top \\ \vdots \\ \mathbf{r}_{\tau, N_{\text{train}, \text{tot}}}^\top \end{bmatrix} \in \mathbb{R}^{N_{\text{train}, \text{tot}} \times 6}. \quad (43)$$

### Build LSTM Windows

Let  $H$  denote the history length (sequence length) used in Stage 2. From the flattened arrays  $\ddot{\mathbf{Q}}_{\text{train}}, \dot{\mathbf{Q}}_{\text{train}}, \ddot{\mathbf{Q}}_{\text{train}}, \hat{\mathbf{T}}_{\text{DeLaN}, \text{train}}$  we then construct overlapping sequences with a sliding window. For each time index  $k \geq H$  we define the LSTM input sequence

$$\mathbf{x}_k = [\mathbf{q}_{k-H+1:k}, \dot{\mathbf{q}}_{k-H+1:k}, \ddot{\mathbf{q}}_{k-H+1:k}, \hat{\boldsymbol{\tau}}_{\text{DeLaN}, k-H+1:k}], \quad (44)$$

where, as in the main Methods section,  $\mathbf{q}_{a:b}$  denotes the stacked joint vectors  $(\mathbf{q}_a, \dots, \mathbf{q}_b)$  and analogously for  $\dot{\mathbf{q}}, \ddot{\mathbf{q}}$  and  $\hat{\boldsymbol{\tau}}_{\text{DeLaN}}$ . The corresponding target for each sequence is chosen as the residual torque at the final time step,

$$\mathbf{y}_k = \mathbf{r}_{\tau, k} \in \mathbb{R}^6. \quad (45)$$

Stacking all valid windows yields the Stage 2 training dataset

$$\mathbf{X}_{\text{train}}^{\text{LSTM}} = \{\mathbf{x}_k\}_{k=H}^{N_{\text{train}, \text{tot}}}, \quad (46)$$

$$\mathbf{Y}_{\text{train}}^{\text{LSTM}} = \{\mathbf{y}_k\}_{k=H}^{N_{\text{train}, \text{tot}}}, \quad (47)$$

with  $N_H = N_{\text{train}, \text{tot}} - H + 1$  sequences in total. In implementation,  $\mathbf{X}_{\text{train}}^{\text{LSTM}}$  is stored as a tensor of shape  $(N_H, H, d_{\text{in}})$ , where  $d_{\text{in}} = 4n_{\text{dof}}$  corresponds to  $(\mathbf{q}, \dot{\mathbf{q}}, \ddot{\mathbf{q}}, \hat{\boldsymbol{\tau}}_{\text{DeLaN}})$  per time step, and  $\mathbf{Y}_{\text{train}}^{\text{LSTM}} \in \mathbb{R}^{N_H \times 6}$ . A completely analogous construction is used for the test split.

### LSTM Training

We train an LSTM-based residual model  $g_\phi$  on the windowed dataset  $(\mathbf{x}_k, \mathbf{y}_k)$ . As in Stage 1, the goal is to prevent single joints from dominating the objective. To this end, we apply a train-only standardisation of both inputs and targets, and train the network to predict scaled residuals.

**Train-only standardisation.** Let  $\mathbf{X}_{\text{train}}^{\text{LSTM}} \in \mathbb{R}^{N_H \times H \times d_{\text{in}}}$  and  $\mathbf{Y}_{\text{train}}^{\text{LSTM}} \in \mathbb{R}^{N_H \times 6}$  denote the windowed training set. We compute feature-wise input statistics across all windows and time steps,

$$\mu_{x,j} = \frac{1}{N_H H} \sum_{k=1}^{N_H} \sum_{t=1}^H X_{\text{train}}^{\text{LSTM}}[k, t, j], \quad \sigma_{x,j}^2 = \frac{1}{N_H H} \sum_{k=1}^{N_H} \sum_{t=1}^H (X_{\text{train}}^{\text{LSTM}}[k, t, j] - \mu_{x,j})^2, \quad (48)$$

for  $j = 1, \dots, d_{\text{in}}$ , and collect them into vectors  $\boldsymbol{\mu}_x, \boldsymbol{\sigma}_x \in \mathbb{R}^{d_{\text{in}}}$ . Analogously, we compute per-joint target statistics over windows,

$$\mu_{y,i} = \frac{1}{N_H} \sum_{k=1}^{N_H} Y_{\text{train}}^{\text{LSTM}}[k, i], \quad \sigma_{y,i}^2 = \frac{1}{N_H} \sum_{k=1}^{N_H} (Y_{\text{train}}^{\text{LSTM}}[k, i] - \mu_{y,i})^2, \quad (49)$$

for  $i = 1, \dots, 6$ , yielding  $\mu_y, \sigma_y \in \mathbb{R}^6$ . To avoid numerical issues, standard deviations below a small threshold  $\varepsilon$  are clamped to 1 component-wise. Defining diagonal scaling matrices

$$\mathbf{W}_x = \text{diag}(\sigma_x^{-1}), \quad \mathbf{W}_y = \text{diag}(\sigma_y^{-1}),$$

the standardised inputs and targets are then given by

$$\mathbf{x}_{k,t}^n = \mathbf{W}_x(\mathbf{x}_{k,t} - \mu_x), \quad (50)$$

$$\mathbf{r}_{\tau,k}^s = \mathbf{W}_y(\mathbf{r}_{\tau,k} - \mu_y), \quad (51)$$

with  $\mathbf{r}_{\tau,k} = \mathbf{y}_k$ . The same  $(\mu_x, \sigma_x, \mu_y, \sigma_y)$  computed on the training split are used to scale the test split.

**Architecture and objective.** The residual model is implemented as a two-layer LSTM with dropout regularisation and a linear output layer,

$$\hat{\mathbf{r}}_{\tau,k}^s = g_\phi(\mathbf{x}_k^n) \in \mathbb{R}^6, \quad (52)$$

where  $\mathbf{x}_k^n$  denotes the standardised input window and  $\hat{\mathbf{r}}_{\tau,k}^s$  the predicted scaled residual. Training minimises a mean-squared error on scaled residuals,

$$\mathcal{L}_{\text{LSTM}}(\phi) = \frac{1}{N_H} \sum_{k=1}^{N_H} \|\hat{\mathbf{r}}_{\tau,k}^s - \mathbf{r}_{\tau,k}^s\|_2^2, \quad (53)$$

which corresponds to a balanced, per-joint normalisation via  $\sigma_y$ . Optimisation is performed with Adam using shuffled mini-batches and an internal validation split; the best-performing model is selected by early stopping on the validation loss.

**Inference (unscaled units).** For reporting and for composing the final DeLaN+LSTM torque prediction, the scaled residual is mapped back to physical units via

$$\hat{\mathbf{r}}_{\tau,k} = \mathbf{W}_y^{-1} \hat{\mathbf{r}}_{\tau,k}^s + \mu_y, \quad (54)$$

where  $\mathbf{W}_y^{-1} = \text{diag}(\sigma_y)$ .

## 4 Experimental Setup

### 4.1 Dataset of Collaborative Robots

**Dataset source and scope.** All experiments are based on the publicly available “Dataset of Collaborative Robots for Energy Consumption Modeling” released via IEEE DataPort [41] and documented in [42]. The dataset contains measurements from two Universal Robots platforms (UR3e and UR10e) recorded both without load and with an external payload.



**Recorded signals.** Each log sample provides a time stamp  $t$  and a trajectory identifier, and includes joint-space signals (joint positions  $\mathbf{q}$ , joint velocities  $\dot{\mathbf{q}}$ ) together with electrical measurements (per-joint motor currents  $\mathbf{i}$ , motor voltages, motor torques, as well as robot-level current and voltage). In addition, the dataset provides end-effector quantities such as Cartesian position and the measured wrench (force and moment) at the end effector. In the remainder of this thesis, motor current is treated as the central measured actuation signal.

**Data collection protocol.** To excite the robot dynamics across a wide range of operating conditions, the robots execute sinusoidal joint motions with varying amplitudes, frequencies, and initial conditions [42]:

$$q_i(t) = q_{i0} + A_i \cos(2\pi f_i t + \varphi_i), \quad (55)$$

where  $q_i$ ,  $q_{i0}$ ,  $A_i$ ,  $f_i$  and  $\varphi_i$  denote the desired joint position, initial position, amplitude, oscillation frequency, and phase of joint  $i$ , respectively. The experiments were conducted for UR3e and UR10e under two load conditions: without load and with an attached payload (hammer 1.5 kg and RobotiQ 2F-85 gripper 1 kg) [42].

**Sampling and dataset size.** The signals are recorded at 100 Hz. For each robot (and load condition), the dataset provides 50,000 samples for training and 5,000 samples for testing [42]. Since the underlying dynamics are time-invariant, the published dataset is formed by combining multiple shorter recordings into one consistent dataset, without treating discontinuities as separate experiments [42].

## 4.2 DeLaN + LSTM - Learning Curve Stroy

**Key findings.** The learning-curve study shows that trajectory quantity  $K$  is a primary driver of stability and generalisation for both stages: small subsets lead to higher dispersion and occasional failure modes, whereas sufficiently large  $K$  yields consistent convergence and low per-joint errors. In this regime, freezing the best DeLaN and learning residual dynamics with an LSTM provides an additional systematic reduction of the remaining modelling error.

### 4.2.1 K-Domination

**Motivation and experimental protocol.** The purpose of the K-domination study is to quantify how the number of available demonstration trajectories influences the complete two-stage pipeline (Stage 1 DeLaN and Stage 2 residual LSTM). To this end, we construct multiple training sets by drawing  $K$  trajectories from a fixed pool, train the full pipeline for each set under identical hyperparameters, and evaluate performance as a function of  $K$ .

**Trajectory pool and signals.** The base dataset consists of 122 trajectories, each identified by a trajectory ID. For each trajectory, we use joint position  $\mathbf{q}$ , joint velocity  $\dot{\mathbf{q}}$ , and motor current  $\mathbf{i}$ . All logs are recorded at approximately 100 Hz. Since trajectory durations vary substantially (roughly 5–40 s), all filtering and preprocessing steps are applied per trajectory.

**Per-trajectory low-pass filtering.** To attenuate sensor noise while avoiding temporal misalignment, we apply a 4th-order Butterworth low-pass filter with cutoff frequency  $f_c = 10$  Hz. The filter is applied in zero-phase form (forward–backward filtering), thereby preventing phase

shifts in  $\mathbf{q}$ ,  $\dot{\mathbf{q}}$  and  $\mathbf{i}$ . Joint accelerations  $\ddot{\mathbf{q}}$  are derived from the filtered velocities via numerical differentiation and, if filtering is enabled, are filtered analogously.

**Subsampling by  $K$ .** From the trajectory pool, we draw subsets of size

$$K \in \{8, 16, 32, 48, 64, 84, 122\},$$

using three independent dataset seeds. For each  $(K, \text{seed})$ , a fixed trajectory split is created with test fraction 0.2 and validation fraction 0.1 at the level of complete trajectories (i.e., trajectories are never split across subsets).

**Stage 1 (DeLaN) configuration and model selection.** For each  $(K, \text{seed})$  subset, we train five DeLaN initialisations (seeds  $s \in \{0, \dots, 4\}$ ) and select the best DeLaN by validation error (validation torque RMSE, equivalently MSE). All DeLaN runs use the structured JAX implementation and a fixed hyperparameter preset `lutter_like_256` (Table 1 [16]): `softplus` activation, width 256, depth 2, mini-batch size 1024, learning rate  $10^{-4}$  and weight decay  $10^{-5}$ . Training is run for at most 200 epochs with early stopping (patience 10, monitored on validation MSE) to avoid overfitting and to ensure that changes in performance are attributable to  $K$  rather than excessive training time.

**Stage 2 (LSTM) configuration.** After selecting the best DeLaN, we freeze it and export residuals for each trajectory. Stage 2 uses a history length  $H = 100$  and feature mode `full`, i.e., each LSTM input time step concatenates  $(\mathbf{q}, \dot{\mathbf{q}}, \ddot{\mathbf{q}}, \hat{\boldsymbol{\tau}}_{\text{DeLaN}})$ . The residual LSTM is trained with two stacked LSTM layers (units 128), dropout 0.2, batch size 64, and a validation split of 0.1. Training runs for at most 120 epochs and employs early stopping on validation loss (patience 20, warm-up 5 epochs), again fixing hyperparameters across all  $K$  to isolate the effect of trajectory quantity.

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**Algorithm 1** K-domination experiment protocol for the DeLaN+LSTM pipeline

---

**Require:** Trajectory pool  $\mathcal{T}$  with  $|\mathcal{T}| = 122$  at 100 Hz

**Require:**  $K \in \{8, 16, 32, 48, 64, 84, 122\}$ , dataset seeds  $\mathcal{D} = \{0, 1, 2\}$

**Require:** DeLaN seeds  $\mathcal{S}_{\text{DeLaN}} = \{0, \dots, 4\}$ , LSTM seeds  $\mathcal{S}_{\text{LSTM}} = \{0, \dots, 4\}$

**Ensure:** Aggregated learning curves and per-joint RMSE as a function of  $K$

Low-pass filter each trajectory (4<sup>th</sup>-order Butterworth,  $f_c = 10$  Hz, zero-phase)

**for all**  $K$  **do**

**for all**  $d \in \mathcal{D}$  **do**

        Sample  $K$  trajectories from  $\mathcal{T}$  using seed  $d$

        Split into train/val/test trajectories (fixed fractions, no within-trajectory splitting)

**for all**  $s \in \mathcal{S}_{\text{DeLaN}}$  **do**

            Train DeLaN with fixed hyperparameters  $\triangleright$  max 200 epochs; early stop (patience 10)

            Record train loss and validation MSE/RMSE

            Select best DeLaN by validation error and freeze its parameters

            Export residuals per trajectory

**for all**  $s \in \mathcal{S}_{\text{LSTM}}$  **do**

            Build residual windows ( $H = 100$ ) and train LSTM  $\triangleright$  max 120 epochs; early stop (patience 20)

            Record train/validation loss and residual RMSE

        Aggregate across seeds: median  $\pm$  IQR learning curves and progress-aligned errors

---

**Aggregation and reporting.** For each  $K$ , we aggregate results across the three dataset seeds. Reported learning curves (train loss, validation loss) are shown as median curves with interquartile ranges (IQR, 25–75 percentile) across the 5 delan seeds per  $(K, \text{seed})$ . For Stage 1, where five DeLaN initialisations are trained per  $(K, \text{seed})$ , we first compute the median  $\pm$  IQR across DeLaN seeds for each dataset seed, and then aggregate these seed-wise median curves across dataset seeds. For time-dependent error visualisations, trajectories are aligned by normalised progress (mapping each trajectory time index to  $[0, 1]$ ) and resampled to a fixed number of bins; median  $\pm$  IQR is then computed per progress bin.

## 4.2.2 Best Model Approach

**Motivation.** The K-domination sweep shows that once a sufficiently large number of trajectories is available, the DeLaN training dynamics become comparable across  $K$  and the remaining variance is dominated by (i) which trajectories end up in the train/validation/test split (dataset seed) and (ii) the network initialisation (DeLaN seed). This effect is visible in the collapse of the median learning curves for  $K \geq 32$  (Figures 6 and 7), while the dispersion and occasional outliers remain seed-dependent, especially for the progress-aligned torque RMSE (Figure 8).

**Objective.** To obtain a reliable basis for the second experiment, we therefore fix  $K = 84$  and select a DeLaN hyperparameter preset within the stable  $K$  regime. Since Algorithm 2 performs validation-based checkpoint selection, the hyperparameters should (i) reduce seed

sensitivity (tight IQR with few or no catastrophic runs), (ii) reach low validation error quickly and consistently (stable early-stopping behaviour), and (iii) generalise such that the validation-based ranking correlates with test performance. Accordingly, we do not select the setting with the lowest median validation error alone, but the setting that achieves a favourable accuracy–robustness trade-off across dataset and initialisation seeds.

**Robust DeLaN score for hyperparameter selection.** For each DeLaN preset  $h$ , we aggregate validation RMSE across DeLaN seeds (and then across dataset seeds) and compute a robustness-aware selection score

$$\text{score}(h) = \text{median}(\text{RMSE}_{\text{val}}) + \lambda \cdot \text{IQR}(\text{RMSE}_{\text{val}}) + P \cdot \mathbf{1}\{\text{diverged run under } h\}. \quad (56)$$

We use  $\lambda = 0.5$  and  $P = 10$  (in current units [A]). Here, the median term captures typical validation accuracy, the IQR term penalises sensitivity to dataset and initialisation seeds, and the penalty term excludes hyperparameter settings that exhibit numerical divergence (e.g., NaNs or exploding loss) for any seed. Lower values of  $\text{score}(h)$  therefore indicate both high accuracy and high reliability.

**Aggregate scatter plots.** In addition to the scalar score, we report two aggregated scatter plots for comparing presets  $h$ : (i) median validation RMSE versus IQR of validation RMSE, and (ii) median validation RMSE versus median test RMSE. In both cases, statistics are computed across DeLaN seeds for each dataset seed first, and then aggregated across dataset seeds, such that each point reflects the combined accuracy–robustness behaviour under the dominant seed variability identified by K-domination. The bottom-left region of the median–IQR plot corresponds to accurate and stable settings, while the validation–test plot directly assesses whether validation error is a reliable selector for test performance in the sense required by Algorithm 2.

**DeLaN presets** ( $|\mathcal{H}_{\text{DeLaN}}| = 5$ ). Guided by the stable “Lutter-like” regime observed in the K-domination results for  $K \geq 32$  (Figures 6–9), we evaluate the following five presets at fixed  $K = 84$ :

1. **Baseline (Lutter-like):** SoftPlus, batch size 1024, learning rate  $10^{-4}$ , weight decay  $10^{-5}$ , width 256, depth 2.
2. **Smaller capacity:** baseline with width 128, depth 2.
3. **Deeper network:** baseline with width 256, depth 3.
4. **More regularisation:** baseline with weight decay  $10^{-4}$ .
5. **Lower step size:** baseline with learning rate  $5 \cdot 10^{-5}$ .

This best-model study thus fixes  $K = 84$  and asks: within the stable regime, which hyperparameters provide the best accuracy–robustness trade-off across dataset splits and DeLaN initialisations?

**Stage 2: Should we already set up the LSTM best-model loop?** Yes—you can set up Stage 2 now without first having identified the single best DeLaN configuration, as long as Stage 2 is formulated as a conditional optimization: given a reasonably stable DeLaN baseline (selected by validation error), we then optimize the residual learner and quantify the added value.

This is consistent with the standard two-stage residual-compensation logic used in the literature: (i) learn a physics-based inverse dynamics model, (ii) learn a data-driven residual term capturing unmodeled effects such as friction and other nonlinearities. :contentReference[oaicite:0]index=0

### Why Stage 2 is already meaningful (even if DeLaN is not fully “final”).

- Your  $K$ -domination experiments indicate that DeLaN variance is dominated by the data regime  $K$  (and by fold/seed effects at low  $K$ ). This means you already know the correct strategy for Stage 2: **freeze a strong DeLaN regime** (high  $K$ ) and then study what the residual learner can add in a stable baseline setting.
- In related robotic torque/force estimation work, LSTM-style models are trained with **early stopping based on validation loss** (e.g., stop when validation loss does not improve for 5 consecutive epochs) and sequence length was found to matter (longer histories improved performance).
- Also, including torque-related signals as inputs can materially improve sequential models (reported improvement on the order of  $\sim 15\%$  in one study), which directly motivates testing feature modes that **include**  $\hat{\tau}$  vs. those that do not. :contentReference[oaicite:2]index=2

### 4.2.3 Recommended Stage 2 “best LSTM” experiment design

The goal is to identify an LSTM configuration that (i) reliably converges (no premature stopping), (ii) yields consistent gains over the DeLaN baseline, and (iii) generalizes across dataset splits.

**Core principle: nested selection.** Do **not** sweep LSTM hyperparameters on weak DeLaN runs. Instead:

1. For each dataset split (dataset seed), train multiple DeLaN initializations.
2. Select the best DeLaN using validation torque RMSE (or MSE equivalently).
3. Only then train the residual LSTM(s) on the residuals of the selected DeLaN.

This reduces wasted LSTM training and makes LSTM comparisons interpretable.

**Stage 2 sweep axes (what to vary).** Given your observations ( $H=25$  fails; patience too small stops at 5–15 epochs), the highest-value sweep is:

- **History length  $H$  (primary axis).** Use a small set biased toward longer contexts, e.g.

$$H \in \{50, 100, 150, 200\}.$$

Rationale: longer sequences improved sequential model performance in related work.  
:contentReference[oaicite:3]index=3

- **Early stopping policy (primary axis).** Your current policy (warm-up = 0, patience = 10) can stop too early. Use a minimum-training constraint plus patience:

$$\text{warm-up} \in \{10, 20\}, \quad \text{patience} \in \{20, 30\}.$$

This matches the general practice of validation-based stopping (commonly used in torque/force estimation networks) but avoids premature termination.  
:contentReference[oaicite:4]index=4

- **Feature modes (secondary axis, but important for interpretation).** For a thesis-ready story, I would run:

$$\text{full}, \text{state}, \text{tau\_hat}, \text{state\_tauhat}.$$

Motivation: papers report that adding torque(-like) signals can help sequential estimators.  
:contentReference[oaicite:5]index=5 In your case,  $\hat{\tau}_{\text{DeLaN}}$  is the torque-like proxy; this makes the comparison scientifically meaningful:

- `state` tests whether residuals are explainable purely from kinematics.
- `tau_hat` tests whether the residual mainly depends on the baseline prediction.
- `full` tests whether kinematics + baseline together is best.

- **Model capacity (secondary axis).** Keep most LSTM capacity fixed initially to isolate  $H$  and early stopping:

$$\text{units} = 128, \text{ dropout} = 0.2, \text{ layers} = 2$$

and only if results saturate, test one larger and one smaller setting, e.g.  $\text{units} \in \{64, 128, 256\}$ .

- **Seeds.** For Stage 2, add **LSTM seeds** only after narrowing to 1–2 best configs:

$$s_{\text{LSTM}} \in \{0, 1, 2\}$$

is usually enough to quantify residual-model variance.

## 4.2.4 How to define the Stage 2 selection metric (what “best” means)

To match your pipeline and avoid leakage:

- Select the **best LSTM config** by minimizing **validation residual RMSE** (or validation loss if your loss is residual MSE).
- Report final performance on the test set via your combined evaluation metrics:

$$\text{RMSE}(\tau_{\text{gt}}, \tau_{\text{DeLaN}}), \quad \text{RMSE}(\tau_{\text{gt}}, \tau_{\text{DeLaN}} + \hat{r}),$$

and derived gain metrics.

## 4.2.5 Implementation notes that matter for correctness

## 4.2.6 A concrete Stage 2 “best LSTM” loop (thesis-ready)

With DeLaN fixed to a stable regime (e.g., your litter-like preset and high  $K$ ):

1. Fix a stable DeLaN regime (high  $K$ ) and select best DeLaN per dataset seed using validation RMSE.
2. For each selected DeLaN:
  - a) Sweep  $H \in \{50, 100, 150, 200\}$ .
  - b) Sweep early stopping settings:  $\text{warm-up} \in \{10, 20\}$ ,  $\text{patience} \in \{20, 30\}$ .
  - c) Sweep feature modes: `full`, `state`, `tau_hat`, `state_tauhat`.
  - d) Train LSTM, select best checkpoint on validation.
  - e) Run combined evaluation and store `(delan_rmse, rg_rmse, gain, gain_ratio)`.
3. Pick the final Stage 2 config as the one with the best (median) test gain ratio and low dispersion (IQR) across dataset seeds.

---

**Algorithm 2** Best-model selection for the DeLaN+LSTM pipeline

---

**Require:** Trajectory pool size  $K = 84$  with fixed split  $(N_{\text{train}}, N_{\text{val}}, N_{\text{test}}) = (59, 8, 17)$

**Require:** Dataset seeds  $\mathcal{D} = \{0, 1, 2, 3, 4\}$ , DeLaN seeds  $\mathcal{S}_{\text{DeLaN}} = \{0, 1, 2, 3, 4\}$ , LSTM seeds  $\mathcal{S}_{\text{LSTM}} = \{0, 1, 2, 3, 4\}$

**Require:** Hyperparameter presets  $\mathcal{H}_{\text{DeLaN}}$  and  $\mathcal{H}_{\text{LSTM}}$  with  $|\mathcal{H}| = 5$

**Ensure:** Best DeLaN checkpoint and best LSTM checkpoint for the fixed  $K$

**Stage 1: DeLaN model selection**

**for all**  $d \in \mathcal{D}$  **do**

**for all**  $h \in \mathcal{H}_{\text{DeLaN}}$  **do**

**for all**  $s \in \mathcal{S}_{\text{DeLaN}}$  **do**

            Train DeLaN on split (59, 8, 17)

            ▷ log train/val curves; early stopping

            Record  $\text{RMSE}_{\text{val}}$  and  $\text{RMSE}_{\text{test}}$

            ▷ flag diverged runs

        Compute seed-wise statistics for  $(d, h)$  and append to aggregation buffers:

$\tilde{r}_{\text{val}}(d, h) = \text{median}_s(\text{RMSE}_{\text{val}})$ ,  $q_{\text{val}}(d, h) = \text{IQR}_s(\text{RMSE}_{\text{val}})$ ,  $\tilde{r}_{\text{test}}(d, h) = \text{median}_s(\text{RMSE}_{\text{test}})$

        Save best DeLaN for this  $(K, d)$  by validation error

Aggregate across dataset seeds for each  $h$ :

$x_h = \text{median}_d(\tilde{r}_{\text{val}}(d, h))$ ,  $y_h^{\text{IQR}} = \text{median}_d(q_{\text{val}}(d, h))$ ,  $y_h^{\text{test}} = \text{median}_d(\tilde{r}_{\text{test}}(d, h))$

Plot `scatter_valrmse_median_vs_iqr__delan` ▷  $x$ :  $x_h$  (median val RMSE),  $y$ :  $y_h^{\text{IQR}}$  (IQR of val RMSE)

Plot `scatter_valrmse_median_vs_testrmse_median__delan` ▷  $x$ :  $x_h$  (median val RMSE),  $y$ :  $y_h^{\text{test}}$  (median test RMSE)

Save best-model plots for DeLaN

▷ exemplar overlays; see `delan_plots.py`

**Freeze DeLaN and export residuals**

Freeze best DeLaN parameters and export residual torques per trajectory

**Stage 2: LSTM model selection (same split and  $K$ )**

**for all**  $d \in \mathcal{D}$  **do**

**for all**  $h \in \mathcal{H}_{\text{LSTM}}$  **do**

**for all**  $s \in \mathcal{S}_{\text{LSTM}}$  **do**

            Build LSTM windows from exported residuals (same split)

            Train residual LSTM

            ▷ log train/val curves; early stopping

            Record validation metric and append to aggregation buffers

        Update aggregate plots for this  $(K, d, h)$

        ▷ median  $\pm$  IQR; see `lstm_plots.py`

        Save best LSTM for this  $(K, d)$  by validation loss

Save best-model plots for LSTM

▷ residual overlays; see `lstm_plots.py`

**Combined evaluation**

Evaluate DeLaN+LSTM on the test split and store final metrics/plots

---



# 5 Experimental Results

**Chapter overview.** This chapter reports experimental evidence for the proposed two-stage pipeline, covering (i) the effect of the number of available trajectories ( $K$ ) on DeLaN and residual LSTM training dynamics and accuracy, (ii) a quantitative comparison to the dataset baseline, and (iii) a best-model evaluation on “with load” trajectories to assess robustness under gripper-induced payload changes.

## 5.1 Learning Curve Results

### 5.1.1 K-Domination Results

**Stage 1 (DeLaN): learning dynamics.** Figures 6 and 7 summarise the Stage 1 optimisation as a function of the number of trajectories  $K$ . Small trajectory sets lead to substantially higher training loss and validation error, and also to markedly larger variability across dataset seeds. In contrast, for  $K \geq 32$  the curves collapse quickly, indicating both faster convergence and improved generalisation.

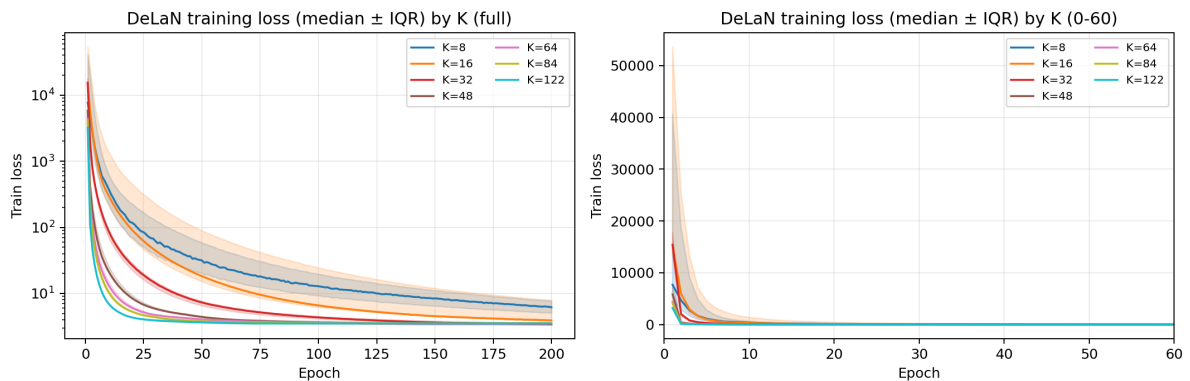


Figure 6: DeLaN training loss by  $K$  shown as median  $\pm$  IQR across dataset seeds (with seed-wise aggregation across DeLaN initialisations).

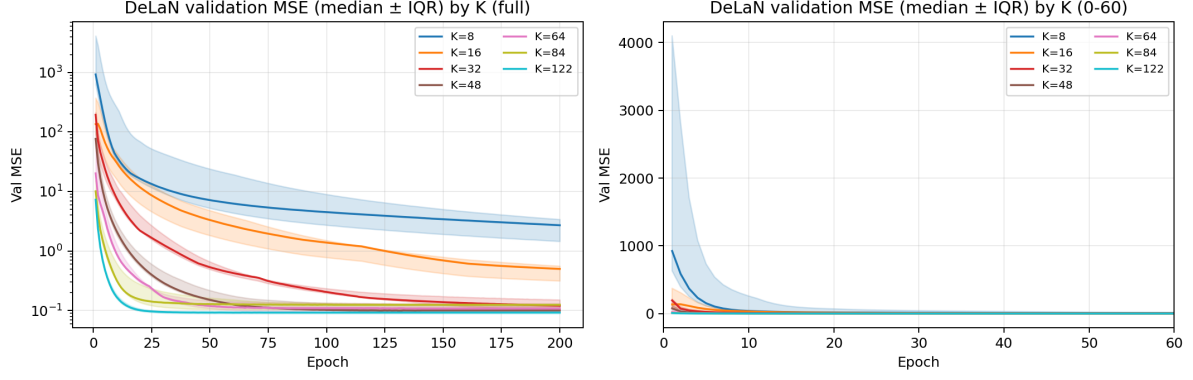


Figure 7: DeLaN validation MSE by  $K$  shown as median  $\pm$  IQR across dataset seeds (with seed-wise aggregation across DeLaN initialisations).

**Stage 1 (DeLaN): torque error as a function of motion progress.** Figure 8 reports the torque RMSE along the normalised trajectory progress. The smallest setting ( $K = 8$ ) exhibits pronounced error spikes and large IQR, highlighting sensitivity to the selected trajectory subset. Increasing  $K$  substantially reduces both the typical error level and its variability, and the curves stabilise for large  $K$ .

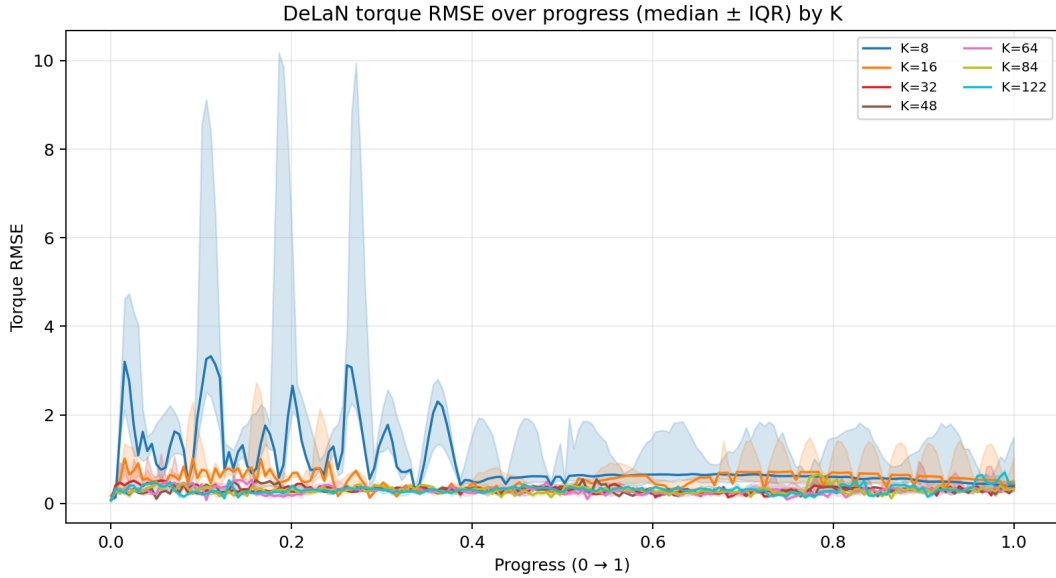


Figure 8: DeLaN torque RMSE over normalised progress ( $0 \rightarrow 1$ ) by  $K$  shown as median  $\pm$  IQR.

**Stage 1 (DeLaN): per-joint error.** Figure 9 reports per-joint torque RMSE for a representative subset of  $K$  values. For small  $K$ , individual joints can dominate the overall error (here, notably joints 3 and 5), whereas larger  $K$  leads to consistently low errors across all joints and reduced dispersion.

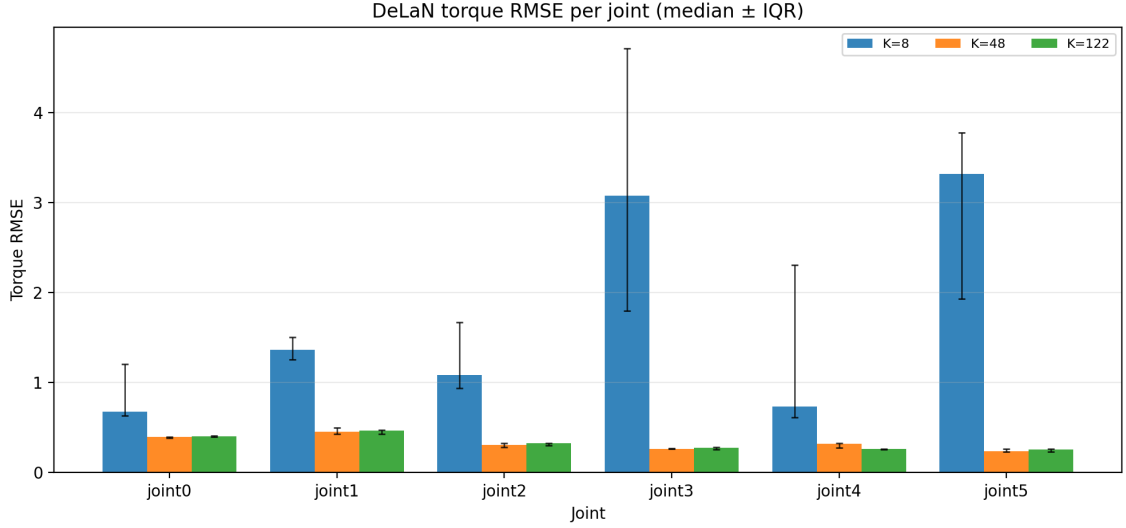


Figure 9: DeLaN torque RMSE per joint (median  $\pm$  IQR) for selected values of  $K$  (bars) with IQR error bars.

**Stage 2 (LSTM): learning dynamics.** Figures 10 and 11 show the Stage 2 training and validation loss of the residual LSTM. While the training loss decreases rapidly for all  $K$ , the validation loss shows a clear dependence on the amount of available trajectories: larger  $K$  yields lower validation error and smaller IQR, i.e., more robust generalisation of the residual model.

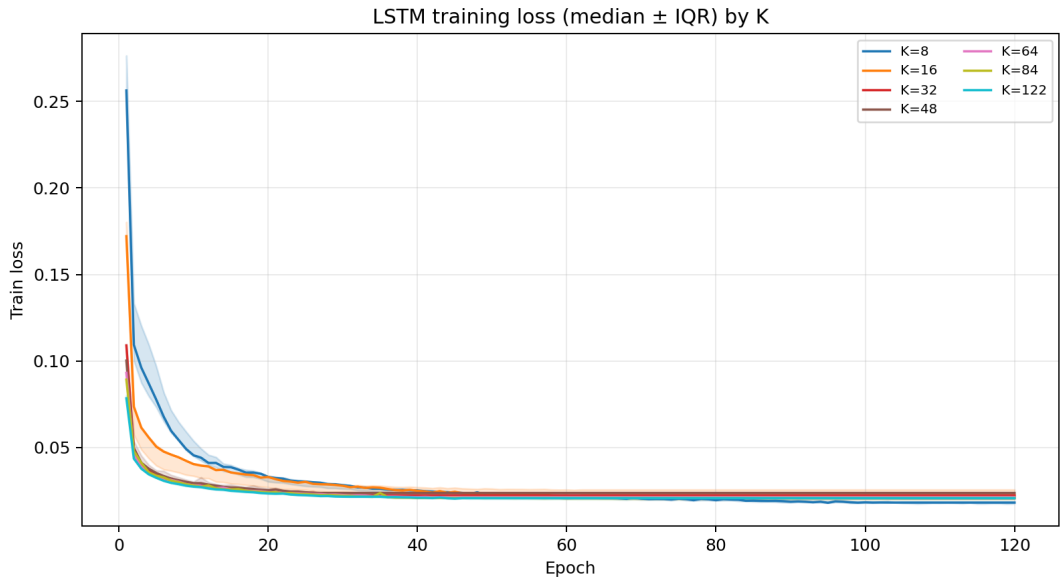


Figure 10: LSTM training loss by  $K$  shown as median  $\pm$  IQR across dataset seeds ( $H = 100$ , feature mode `full`).

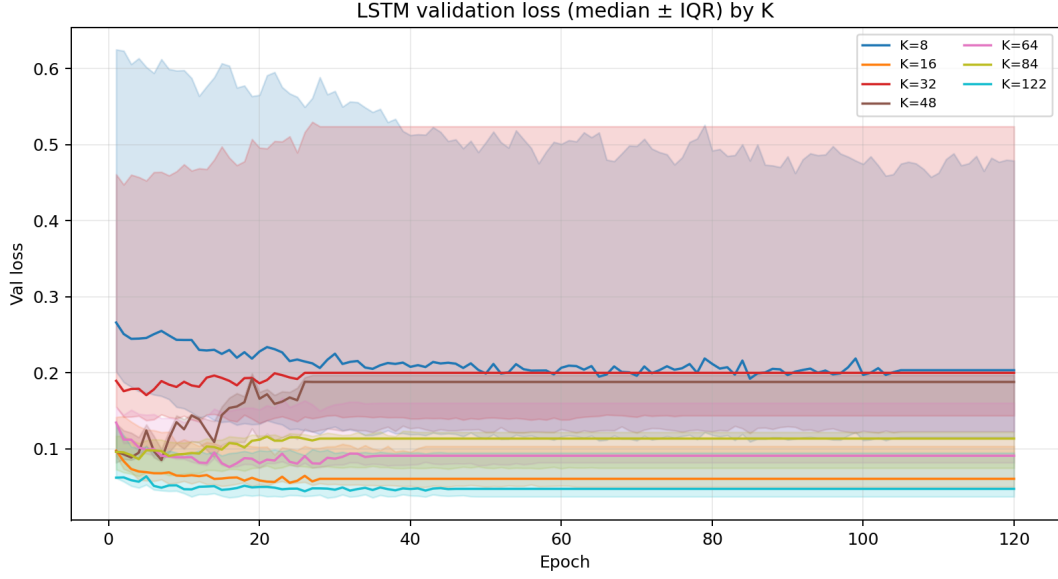


Figure 11: LSTM validation loss by  $K$  shown as median  $\pm$  IQR across dataset seeds ( $H = 100$ , feature mode `full`).

**Stage 2 (LSTM): residual error.** Figure 12 shows the residual RMSE over normalised trajectory progress, and Figure 13 summarises per-joint residual errors. As for Stage 1, small  $K$  leads to large spikes and high variability, whereas larger  $K$  reduces the residual magnitude and yields consistently low residual errors across joints.

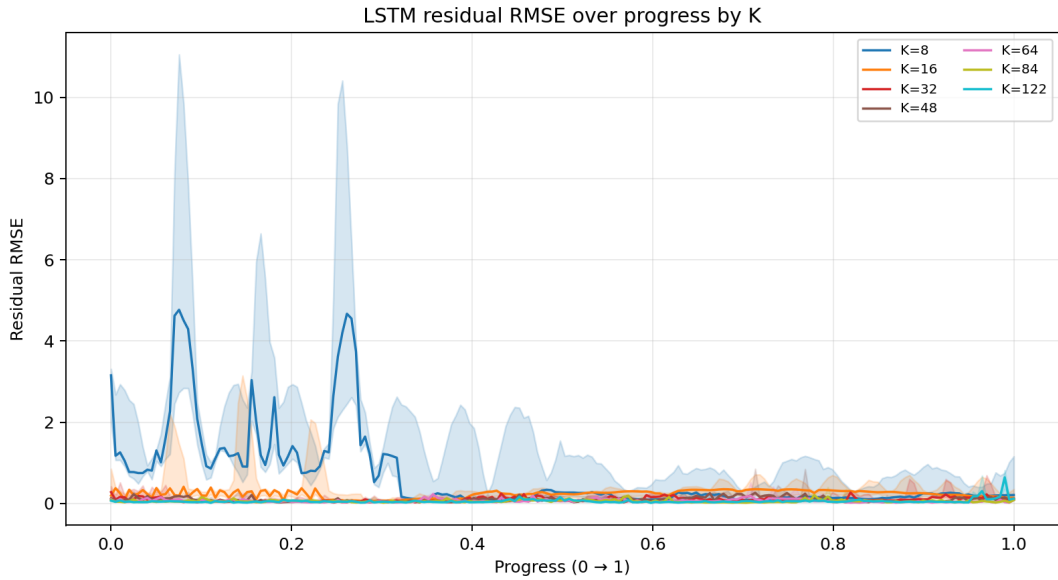


Figure 12: LSTM residual RMSE over normalised progress ( $0 \rightarrow 1$ ) by  $K$  shown as median  $\pm$  IQR ( $H = 100$ , feature mode `full`).

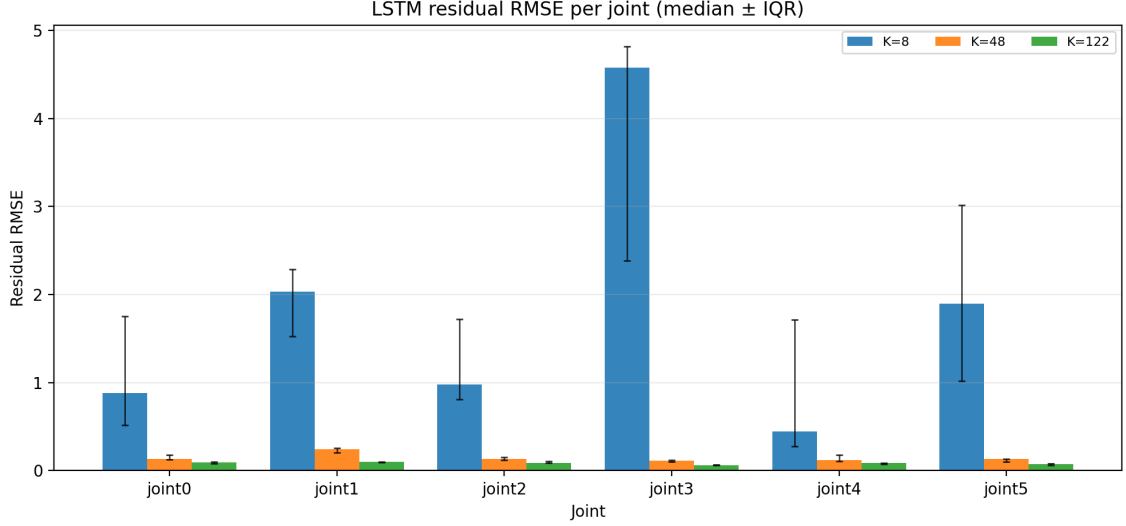


Figure 13: LSTM residual RMSE per joint (median  $\pm$  IQR) for selected values of  $K$  ( $H = 100$ , feature mode `full`).

**End-to-end DeLaN+LSTM behaviour (representative trajectory).** Figure 16 illustrates a representative test trajectory (evaluated only on indices  $k \geq H - 1$ ). The DeLaN prediction captures the gross trend but exhibits systematic deviations in amplitude and offset, which are compensated by the residual model such that the combined torque prediction closely follows the measured signal.

**End-to-end torque accuracy (per joint).** Figure 14 reports per-joint torque RMSE for the same representative evaluation, demonstrating that the residual learner reduces the torque error consistently across all joints.

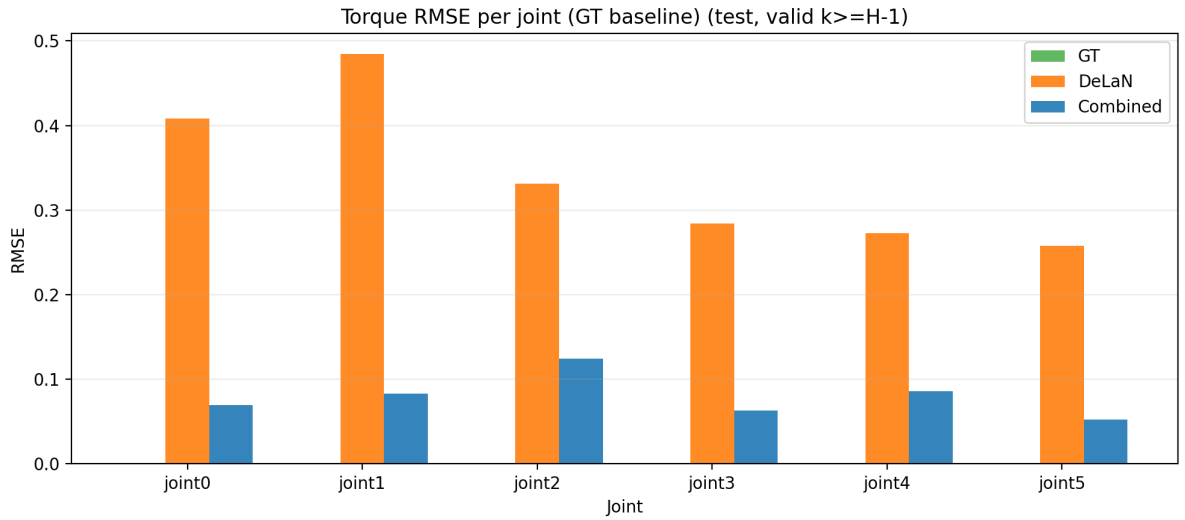


Figure 14: Representative per-joint torque RMSE: DeLaN versus combined DeLaN+LSTM prediction (test split, valid indices  $k \geq H - 1$ ,  $H = 100$ ).

**Residual tracking (representative trajectory).** Figure 15 shows that the learned residual signal closely matches the ground-truth residual across joints, including sharp transitions,

which directly explains the improvements observed in the combined torque prediction.

## 5.1.2 Best Model Approach Results

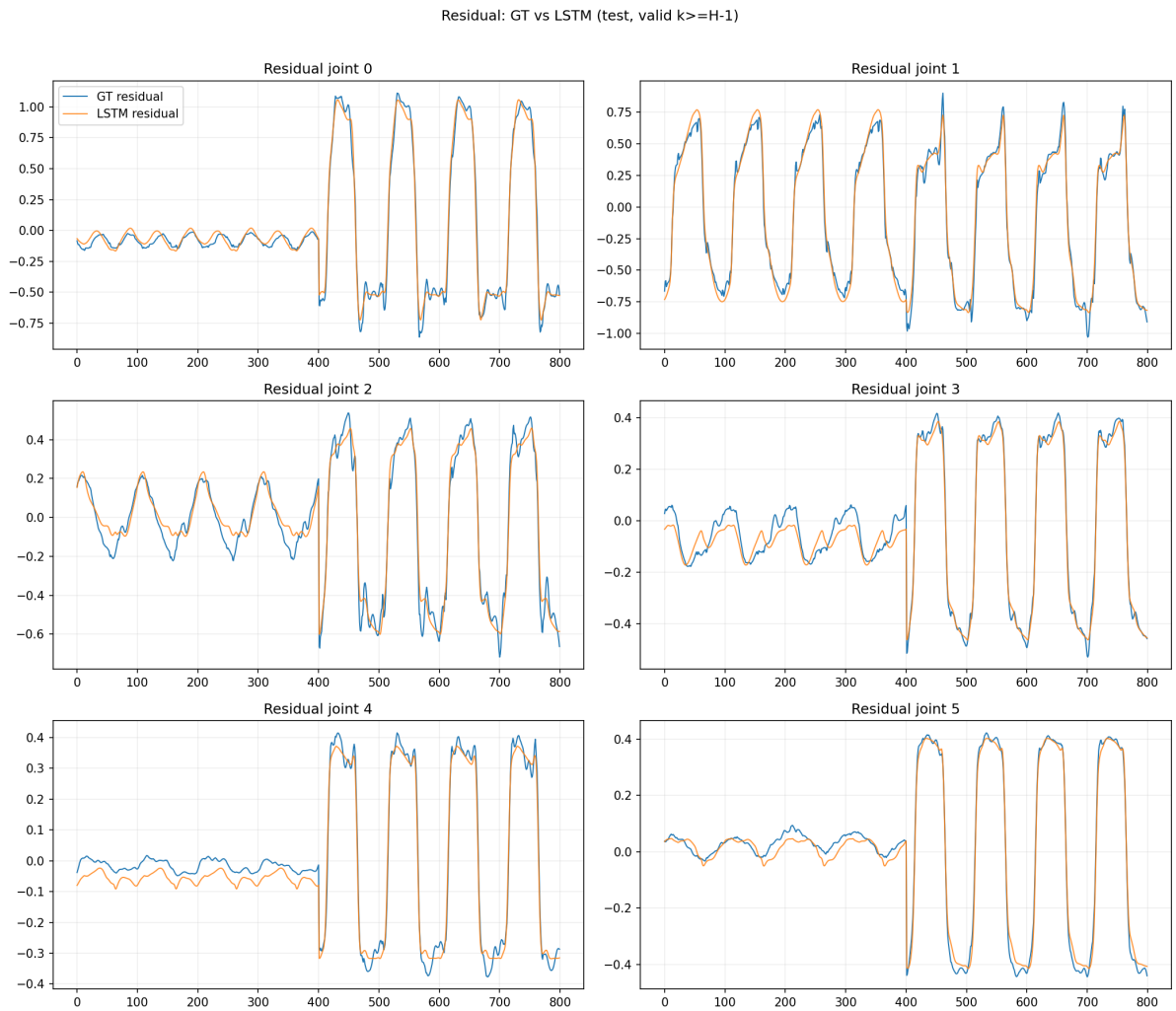


Figure 15: Representative residual overlay: ground-truth residual versus LSTM-predicted residual (test split, valid indices  $k \geq H - 1$ ,  $H = 100$ ).

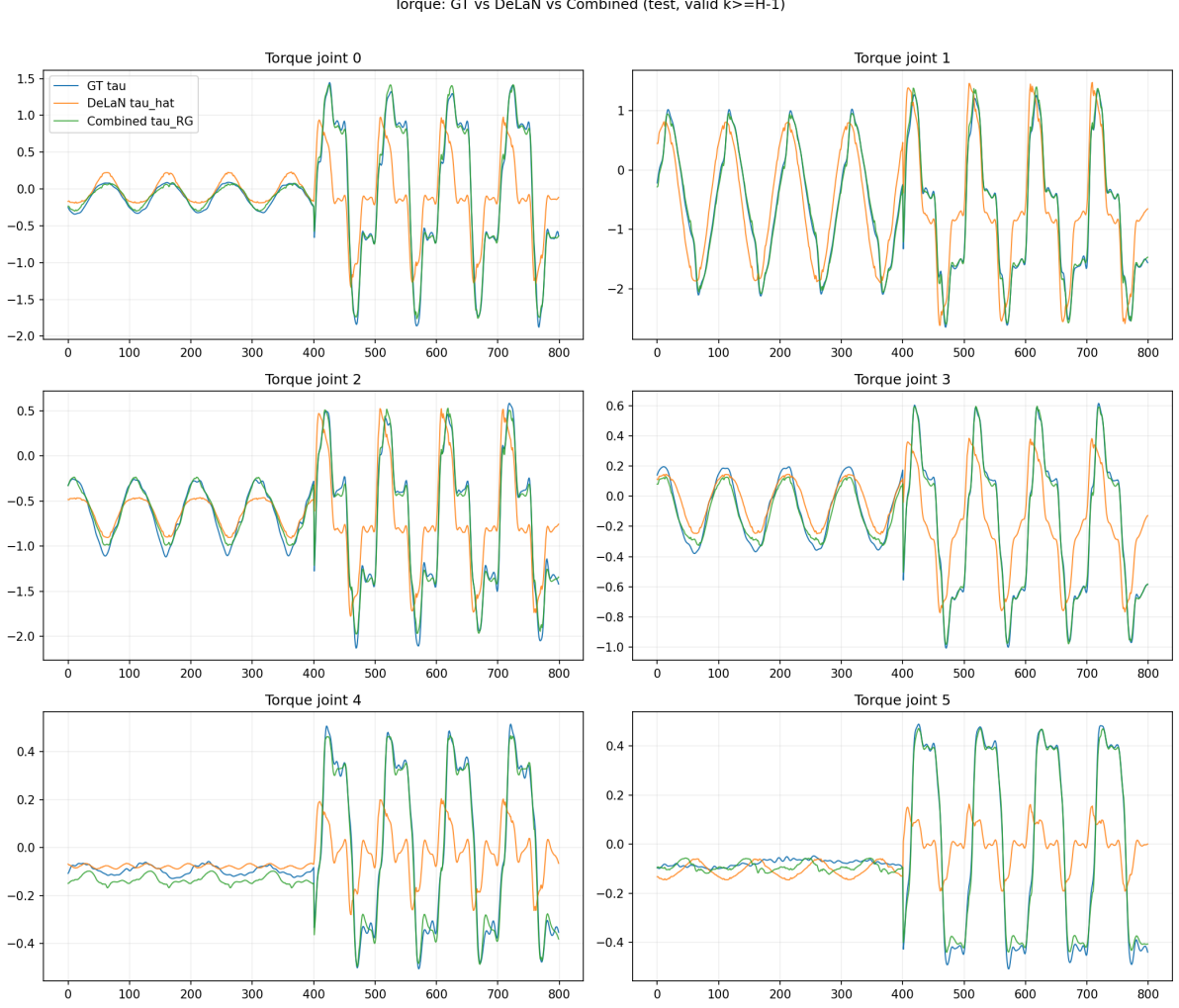


Figure 16: Representative torque overlay: ground truth, DeLaN prediction, and combined DeLaN+LSTM prediction (test split, valid indices  $k \geq H - 1$ ,  $H = 100$ ).

## 5.2 Performance Evaluation to Baseline

**5.3 Performance Evaluation to Baseline.** To benchmark the proposed DeLaN+LSTM pipeline against prior work on the same dataset, we compare against the data-driven dynamic model presented in [42] and its accompanying IEEE DataPort release [41]. The baseline identifies a joint-wise, linear-in-parameters model of the motor current  $i_i$  from measured joint positions, velocities, and accelerations, and estimates the unknown parameter vector via least squares:

$$\mathbf{K}_i = \left( \Theta_{m,i}^\top \Theta_{m,i} \right)^{-1} \Theta_{m,i}^\top \mathbf{i}_{m,i}, \quad (57)$$

where  $\Theta_{m,i}$  is the measurement matrix of the regressor terms for joint  $i$  and  $\mathbf{i}_{m,i}$  denotes the corresponding measured motor-current samples [42]. Evaluation is reported as per-joint RMSE in current units,

$$\text{RMSE}(i_i) = \sqrt{\frac{1}{n} \sum_{k=1}^n \left( i_{i,k}^{\text{real}} - i_{i,k}^{\text{pred}} \right)^2}. \quad (58)$$

**Baseline results on IEEE DataPort dataset.** Tables 2 and 3 reproduce the current-prediction RMSE reported by [42] for UR3e and UR10e, each without load and with load, for the provided training and testing datasets.

Table 2: Baseline motor-current RMSE on the training dataset (Table I in [42]).

RMSE	$i_1$ [A]	%	$i_2$ [A]	%	$i_3$ [A]	%	$i_4$ [A]	%	$i_5$ [A]	%	$i_6$ [A]	%
UR3e without load	0.103	1.79	0.118	1.72	0.114	2.77	0.071	3.26	0.077	3.79	0.063	3.11
UR3e with load	0.101	1.76	0.182	2.49	0.154	3.43	0.136	5.32	0.092	4.50	0.071	3.47
UR10e without load	0.477	1.88	0.615	0.85	0.322	1.28	0.096	3.27	0.091	3.57	0.096	3.34
UR10e with load	0.444	1.38	0.692	0.96	0.338	1.34	0.104	3.35	0.089	3.47	0.087	3.02

Table 3: Baseline motor-current RMSE on the testing dataset (Table II in [42]).

RMSE	$i_1$ [A]	%	$i_2$ [A]	%	$i_3$ [A]	%	$i_4$ [A]	%	$i_5$ [A]	%	$i_6$ [A]	%
UR3e without load	0.089	3.14	0.130	2.87	0.122	4.55	0.066	4.39	0.106	8.29	0.083	5.89
UR3e with load	0.096	3.31	0.376	5.63	0.308	5.09	0.249	8.53	0.150	8.10	0.070	4.10
UR10e without load	0.490	3.24	0.853	2.54	0.351	3.14	0.110	4.40	0.098	6.48	0.078	5.12
UR10e with load	0.809	4.39	1.555	6.05	0.653	5.06	0.185	9.88	0.097	6.47	0.080	5.41

**Our evaluation protocol and split.** In contrast to [42], our pipeline is trained and selected within the thesis-internal model-selection procedure (K-domination  $\rightarrow$  best-model selection). The current best model used here is the outcome of the K-domination sweep at  $K = 84$  trajectories with split sizes (train, val, test) = (59, 8, 17) trajectories. Since the dataset provides motor current measurements, and motor current is treated as the primary actuation signal throughout this thesis, we report RMSE in current units [A] per joint. Load-condition baselines are included as placeholders and will be filled once the best-model pipeline is re-run on the “with load” trajectories.

Table 4: DeLaN motor-current RMSE per joint on the held-out test split (17 trajectories,  $K = 84$ ).

	$i_1$ [A]	$i_2$ [A]	$i_3$ [A]	$i_4$ [A]	$i_5$ [A]	$i_6$ [A]
UR3e without load	0.368	0.405	0.286	0.226	0.219	0.202
UR3e with load ( <i>TBD</i> )	—	—	—	—	—	—



Table 5: DeLaN motor-current RMSE per joint on the validation split (8 trajectories,  $K = 84$ ).

	$i_1$ [A]	$i_2$ [A]	$i_3$ [A]	$i_4$ [A]	$i_5$ [A]	$i_6$ [A]
UR3e without load	0.408	0.484	0.331	0.284	0.273	0.257
UR3e with load ( <i>TBD</i> )	–	–	–	–	–	–

Table 6: Best-model DeLaN+LSTM pipeline motor-current RMSE per joint on the validation split (8 trajectories, valid indices  $k \geq H - 1$ ).

	$i_1$ [A]	$i_2$ [A]	$i_3$ [A]	$i_4$ [A]	$i_5$ [A]	$i_6$ [A]
UR3e without load	0.069	0.083	0.124	0.063	0.086	0.052
UR3e with load ( <i>TBD</i> )	–	–	–	–	–	–

**Technical discussion.** Direct numerical comparison to [42] should be interpreted with care, since the baseline is identified on the dataset-provided training split (50k samples) and evaluated on the dataset-provided test split (5k samples), whereas our results are obtained from the thesis-specific trajectory-wise split (59/8/17 trajectories) and include the LSTM warm-up constraint  $k \geq H - 1$ . Nevertheless, the tables show the intended effect of the two-stage architecture: DeLaN alone can exhibit substantially higher current RMSE on held-out trajectories, while augmenting it with a residual sequence model reduces the per-joint error to the same order of magnitude as the baseline results reported for the UR3e “without load” case.

### 5.3 DeLaN+LSTM Robust Gripper Compensation

**Best-model evaluation on “with load” trajectories.** Following the best-model selection procedure, we evaluate the final DeLaN+LSTM pipeline on the “with load” trajectories of the IEEE DataPort dataset [41] to quantify robustness under gripper-induced payload changes. The corresponding best-model metrics are reported in Table 7 and Table 8 (placeholders shown until the best-model run on the loaded dataset is completed).

Table 7: Best-model DeLaN motor-current RMSE per joint for gripper compensation on dataset [41] (placeholders).

Condition	$i_1$ [A]	$i_2$ [A]	$i_3$ [A]	$i_4$ [A]	$i_5$ [A]	$i_6$ [A]
UR3e without load ( <i>TBD</i> )	–	–	–	–	–	–
UR3e with load ( <i>TBD</i> )	–	–	–	–	–	–

Table 8: Best-model DeLaN+LSTM pipeline motor-current RMSE per joint for gripper compensation on dataset [41] (placeholders).

Condition	$i_1$ [A]	$i_2$ [A]	$i_3$ [A]	$i_4$ [A]	$i_5$ [A]	$i_6$ [A]
UR3e without load ( <i>TBD</i> )	–	–	–	–	–	–
UR3e with load ( <i>TBD</i> )	–	–	–	–	–	–

## 6 Implementation

## 7 Discussion

**K-Domination.** The K-domination study (Section 5.1.1) quantifies how the number of available demonstration trajectories influences the two-stage pipeline. Across all reported metrics, increasing  $K$  reduces both (i) the typical error level and (ii) the variability across dataset seeds, indicating improved generalisation and robustness with more diverse motion coverage.

**Stage 1 (DeLaN): learning dynamics and split sensitivity.** The DeLaN learning curves (Figures 6 and 7) summarise the Stage 1 optimisation as a function of  $K$ . Small trajectory sets lead to substantially higher training loss and validation error, together with markedly larger IQR. This behaviour is consistent with the fact that the dataset seed determines which trajectories are selected and how they are split into train/val/test at the trajectory level, which is comparable to evaluating different “folds” of the trajectory pool. At low  $K$ , some subsets can miss relevant dynamic regimes, resulting in splits that are harder to learn and therefore higher validation error; for  $K \geq 32$  the curves collapse quickly, indicating reduced sensitivity to the particular split.

**Stage 1 (DeLaN): error over motion progress and per-joint balance.** The progress-aligned RMSE (Figure 8) highlights that the smallest setting ( $K = 8$ ) exhibits pronounced error spikes and large variability along the trajectory, while increasing  $K$  stabilises the error over the full progress range. Moreover, the per-joint analysis (Figure 9) shows that for small  $K$  individual joints can dominate the overall error, whereas larger  $K$  yields consistently low and more uniform per-joint errors. This aligns with the per-joint normalisation used in the Stage 1 loss, but also emphasises that sufficient trajectory diversity is required for this normalisation to translate into uniform generalisation across all joints.

**Stage 2 (LSTM): dependence on  $K$  and coupling to Stage 1.** The residual LSTM results (Figures 10, 11, and 12) indicate that larger  $K$  yields lower validation loss and reduced variability.

ity. Since Stage 2 is trained on residuals generated by the frozen Stage 1 model, its achievable performance is inherently coupled to the quality and coverage of the DeLaN residuals. Consequently, low  $K$  can manifest as larger residual peaks and higher seed sensitivity, whereas larger  $K$  provides a more stable residual learning problem.

**End-to-end implication.** The representative overlays (Figures 16 and 15) illustrate that the residual learner compensates systematic deviations of the DeLaN prediction and thereby reduces the final torque error. Taken together, the  $K$ -domination results support the interpretation that a minimum trajectory count is required before the two-stage pipeline becomes robust to the particular trajectory selection and split, with diminishing returns as  $K$  approaches the full dataset.

## 8 Summary and Outlook

The results in this thesis can be interpreted as a first step towards a more general notion of dynamic awareness for collaborative manipulators. Several conceptual implications and natural extensions are worth highlighting.

**Online torque awareness for safety-critical control.** Once the DeLaN+LSTM architecture has been trained and deployed, the robot possesses an accurate estimate of its joint torques  $\hat{\tau}_{RG,k}$  at every time step, and—via the Jacobian—of the corresponding end-effector wrench  $\hat{F}_{RG,k}$  in the measurement frame. In principle, this enables model-predictive controllers and safety monitors to operate directly on end-effector torque or wrench limits, for example those prescribed for collaborative operation, rather than relying on conservative joint-space bounds. By exploiting the available torque margin more tightly, such a controller could realise higher velocity and acceleration profiles while remaining within certified interaction-force constraints.

**Beyond joint space: evaluation of end-effector wrench prediction.** The present thesis restricts training and quantitative evaluation to joint space: the DeLaN+LSTM model is trained on joint states and motor torques, and its quality is assessed primarily via joint-torque prediction errors. A natural next step is a systematic evaluation of end-effector wrench prediction against ground-truth FT data at the flange. One promising route is to combine Isaac-Sim-based data generation (with and without domain randomisation and sensor noise) with real-robot experiments using the same excitation families as in this work. This would allow a comprehensive comparison between (i) models trained purely in simulation and tested on the real robot, (ii) models trained on real data and tested on held-out real trajectories, and (iii) hybrid training schemes that mix simulated and measured data. Such an evaluation pipeline would provide strong evidence for the robustness of the joint-space training strategy when viewed in the measurement frame. Once this consistency between Jacobian-mapped model predictions and ground-truth flange wrenches has been demonstrated, the same De-

LaN+LSTM architecture can, in principle, be trained and deployed using proprioceptive data alone, without requiring an FT sensor in the loop.

**Tool/gripper calibration as a third learning stage.** In the current experiments, the LSTM residual model in Stage 2 reduces the joint–torque error on the nominal robot–only configuration to near zero. When a fixed gripper is mounted, one could either re–record the entire dataset with the gripper attached or, more interestingly, treat the gripper as an additional structured disturbance to be learned. Conceptually, this suggests a third learning stage in which a small network (e.g. another LSTM) takes the residual error of Stage 2 as input and learns a tool–specific compensation term. From a closed–loop viewpoint, the robot would then iteratively refine its internal model when a new tool is attached, realising a form of transfer learning for tool calibration without rebuilding the entire inverse–dynamics model from scratch.

**Towards payload identification from residual wrenches.** Once tool/gripper effects are compensated, remaining discrepancies between the nominal robot–gripper wrench model and the measured flange wrench can be attributed primarily to external interaction and payload dynamics. Mapping the Stage 2/Stage 3 residuals into the end–effector frame via the Jacobian yields an estimate of the interaction wrench along and around the  $x/y/z$  axes. In combination with the corresponding end–effector linear and angular velocities and accelerations, this opens the door to a further stage in which a dedicated estimator recovers the payload dynamic parameters  $\phi_{\text{payload}} = (m, \mathbf{c}, \mathbf{I}) \in \mathbb{R}^{10}$  from these residual wrenches in a Newton–Euler framework. In other words, the same DeLaN+LSTM backbone that currently provides accurate joint–space prediction could serve, after appropriate extensions, as the core of an online PDPI pipeline that incrementally refines the effective rigid–body model (robot + tool + payload) during everyday manipulation tasks.

**Interpretable sparse models via SINDy.** The DeLaN+LSTM architecture used in this thesis is deliberately expressive but remains a largely black–box regression model: the Lagrangian network and the recurrent residual model jointly fit the data, yet they do not expose which physical effects are actually dominant in the identified dynamics. A complementary avenue for future work is to apply sparse identification of nonlinear dynamics (SINDy) to the same joint–space data. In such a setting, the candidate function library could explicitly include standard rigid–body torque models (e.g. Newton–Euler and Lagrangian terms), nonlinear Coriolis and gravity terms, and parametric friction models, together with a small number of additional generic nonlinear features. SINDy would then select a parsimonious subset of these terms that best explains the observed motor torques, potentially yielding an interpretable model in which a few physically meaningful contributions account for most of the variance in the data. This would provide insight into which dynamic effects the robot actually relies on in practice, and would offer a transparent counterpart to the high–capacity DeLaN+LSTM model used in the present work.

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# A Kinematic and Dynamic Background of Robot Manipulation and Environment Interaction

The external wrench  $\vec{F}_{\text{ext}}$  in (5) is a 6-dimensional vector expressed in the sensor/tool frame  $S$ ,

$$\vec{F}_{\text{ext}} = \begin{bmatrix} \mathbf{f} \\ \boldsymbol{\tau} \end{bmatrix} \in \mathbb{R}^6, \quad (59)$$

with  $\mathbf{f} \in \mathbb{R}^3$  the linear force and  $\boldsymbol{\tau} \in \mathbb{R}^3$  the moment about the frame origin. For a rigid body with parameters  $\phi_{\text{eff}}$  (mass, CoM and inertia) moving with linear and angular motion  $(\mathbf{a}, \boldsymbol{\alpha}, \boldsymbol{\omega})$ , the Newton-Euler equations (cf. (2)) give

$$\begin{bmatrix} \mathbf{f} \\ \boldsymbol{\tau} \end{bmatrix} = m \begin{bmatrix} \mathbf{I} & -[\mathbf{c}]^\times \\ [\mathbf{c}]^\times & \mathbf{J}_s \end{bmatrix} \begin{bmatrix} \mathbf{a} \\ \boldsymbol{\alpha} \end{bmatrix} + \begin{bmatrix} m[\boldsymbol{\omega}]^\times [\boldsymbol{\omega}]^\times \mathbf{c} \\ [\boldsymbol{\omega}]^\times \mathbf{J}_s \boldsymbol{\omega} \end{bmatrix}. \quad (60)$$

The translational part  $\mathbf{f}$  can be written as

$$\mathbf{f} = m\mathbf{a} - m[\mathbf{c}]^\times \boldsymbol{\alpha} + m[\boldsymbol{\omega}]^\times [\boldsymbol{\omega}]^\times \mathbf{c}, \quad (61)$$

where the first term  $m\mathbf{a}$  is the familiar inertial force, while  $-m[\mathbf{c}]^\times \boldsymbol{\alpha}$  and  $m[\boldsymbol{\omega}]^\times [\boldsymbol{\omega}]^\times \mathbf{c}$  collect the additional centripetal and Coriolis contributions induced by the angular motion  $\boldsymbol{\omega}$  and the CoM offset  $\mathbf{c}$ . Similarly, the rotational part  $\boldsymbol{\tau}$  can be written as

$$\boldsymbol{\tau} = m[\mathbf{c}]^\times \mathbf{a} + \mathbf{J}_s \boldsymbol{\alpha} + [\boldsymbol{\omega}]^\times \mathbf{J}_s \boldsymbol{\omega}, \quad (62)$$

where  $\mathbf{J}_s \boldsymbol{\alpha}$  is the inertial moment due to angular acceleration,  $m[\mathbf{c}]^\times \mathbf{a}$  is the torque induced by the translational acceleration of the offset CoM, and  $[\boldsymbol{\omega}]^\times \mathbf{J}_s \boldsymbol{\omega}$  represents gyroscopic effects associated with the angular velocity  $\boldsymbol{\omega}$ .

In compact form, for a given motion  $(\mathbf{a}, \boldsymbol{\alpha}, \boldsymbol{\omega})$  this can be written as

$$\vec{F}_{\text{ext}} = \vec{F}_{\text{dyn}}(\mathbf{a}, \boldsymbol{\alpha}, \boldsymbol{\omega}; \phi_{\text{eff}}) = Y(\mathbf{a}, \boldsymbol{\alpha}, \boldsymbol{\omega}) \phi_{\text{eff}}, \quad (63)$$

where  $Y(\cdot)$  is a  $6 \times 10$  regressor matrix that is linear in  $\phi_{\text{eff}}$  but nonlinear in the motion variables. Hence,  $\vec{F}_{\text{ext}}$  is not simply  $m\mathbf{a}$ , nor can it be written as  $\phi_{\text{eff}} \ddot{\mathbf{q}}$ ; the mapping from joint accelerations  $\ddot{\mathbf{q}}$  to  $\vec{F}_{\text{ext}}$  passes through the robot kinematics and the Newton-Euler relations.

Once the wrench at the flange is known, the corresponding joint torques are obtained via

$$\boldsymbol{\tau}_{\text{ext}} = {}^S J(\mathbf{q})^\top \vec{F}_{\text{ext}}, \quad (64)$$

where  ${}^S J(\mathbf{q})$  is the Jacobian of the sensor/tool frame  $S$ . Combining the relations above yields the identification-friendly form

$$\boldsymbol{\tau}_{\text{ext}} = \mathbf{J}^T(\mathbf{q}) Y(\mathbf{a}, \boldsymbol{\alpha}, \boldsymbol{\omega}) \phi_{\text{eff}}, \quad (65)$$

which makes explicit that  $\boldsymbol{\tau}_{\text{ext}}$  is linear in  $\phi_{\text{eff}}$ , but nonlinear in  $\mathbf{q}, \dot{\mathbf{q}}, \ddot{\mathbf{q}}$  through the dependence on  $\mathbf{a}, \boldsymbol{\alpha}, \boldsymbol{\omega}$ .

## B Query Categories-Index Terms

This appendix lists the index terms used to construct the query categories illustrated in Fig. 2. The original nine term groups were consolidated into five content clusters  $C_1, \dots, C_5$  and the goal/context term sets  $C_{mt}$  and  $C_{ct}$ .

### Content Clusters $C_i$

#### $C_1$ : Classical / Observers

- momentum observer (MO)
- generalized momentum observer (GMO)
- disturbance observer (DOB)
- reaction force observer (RFOB)
- Kalman filter (KF)
- extended Kalman filter (EKF)
- unscented Kalman filter (UKF)
- state observer
- least squares (LS)
- weighted least squares (WLS)
- iterative reweighted least squares (IRLS)
- recursive least squares (RLS)
- momentum-based observer
- dynamic state observer
- observer
- force observer
- torque observer

## **$C_2$ : Gaussian Process (GP)**

- gaussian process regression (GPR)
- sparse gaussian process (SGP, SGPR)
- multi-output gaussian process (MOGP)
- multi-task gaussian process (MTGP)
- gaussian process state space model (GPSSM)
- hybrid gaussian process
- GP residual
- gaussian process dynamics
- GP inverse dynamics
- bayesian nonparametric regression (BNPR)

## **$C_3$ : Deep Sequence Models (MLP / GRU / TCN / Transformer / LSTM)**

- neural network inverse dynamics (NN-ID)
- deep learning
- multi layer perceptron (MLP)
- residual network (ResNet)
- long short-term memory (LSTM)
- gated recurrent unit (GRU)
- temporal convolutional network (TCN)
- causal convolution
- dilated convolution
- transformer model
- attention model
- sequence-to-sequence (seq2seq, S2S)
- sequence GAN (SeqGAN, TimeGAN)
- GAN
- Generative Adversarial Networks
- residual neural network (ResNN)

- residual GAN
- domain adaptation (DA)
- transfer learning (TL)
- meta learning (ML)
- context variable dynamics
- latent variable model (LVM)
- amortized inference (AI)
- test time adaptation (TTA)
- online adaptation (OA)
- feature invariance
- domain invariant features (DIF)
- few shot learning (FSL)
- zero shot transfer (ZSL)
- reinforcement
- reinforcement learning
- Isaac Gym differentiable
- Isaac Lab differentiable
- Isaac Gym
- Isaac Lab

#### **$C_4$ : Physics-Informed / Differentiable**

- residual learning dynamics
- hybrid model dynamics
- analytical dynamics neural network (ADNN)
- physics residual
- rigid body dynamics residual (RBD residual)
- Newton Euler residual (NE residual)
- nominal dynamics model (NDM)
- neural correction



- learning inverse dynamics residual (ID residual)
- physics-informed neural network (PINN)
- differentiable physics
- differentiable simulation (DiffSim)
- differentiable robot model
- differentiable dynamics
- neural ODE (NODE)
- torchdiffeq
- ODE-net
- physics-guided machine learning robotics (PGML)

#### $C_5$ : **Surveys**

- survey
- benchmarking
- review
- overview
- systematic comparison

## Goal & Domain Terms $C_T$

#### $C_{mt}$ : **Estimation & Modeling Terms**

- external force
- force measurement
- force estimation
- force/torque estimation
- wrench estimation
- joint torque estimation
- end-effector force
- end-effector torque
- inertial parameters

- inertial parameter identification (IPI)
- online payload identification
- payload identification
- payload estimation
- object parameter estimation
- parameter identification
- inertia tensor
- inertia tensor estimation
- center of mass (CoM)
- rigid body dynamics
- friction approximation
- nonlinear friction model
- external perturbations
- force torque sensor (F/T sensor)
- external force estimation (EFE)
- external torque estimation (ETE)
- torque estimation
- parameter identification differentiable simulation
- payload identification (PI)
- payload estimation (PE)
- contact force
- nonlinear systems
- noise
- signal noise
- noise estimation

Note that the last four entries (nonlinear systems, noise, signal noise, noise estimation) are generic terms that occur across many physical systems beyond robotic manipulators. Including them in the queries significantly increased the number of retrieved results.

$C_{ct}$ : **Robotics Context Terms**

- robotic manipulator
- robotic arm
- robotic manipulation
- robot payload

# C Concept Graph

Table 9: Overview of selected references by category, source and citation count. (accessed 2025-11-30T18:53:00 [YYYY-MM-DDTHH:mm:ss])

Reference	Cite	Year	Database	Citations
R1	[4]	2022	IEEE	13
R2	[5]	2022	IEEE	16
R3	[6]	2022	IEEE	47
R4	[17]	2021	ScienceDirect	34
R5	[7]	2025	IEEE	0
R6	[18]	2025	IEEE	2
R7	[8]	2025	IEEE	1
R8	[9]	2025	IEEE	0
R9	[10]	2022	Cambridge	10
R10	[11]	2022	MPDI	27
R11	[12]	2025	IEEE	2
R12	[19]	2022	IEEE	57
R13	[13]	2007	IEEE	303
R14	[14]	2022	MPDI	17
R15	[20]	2023	MPDI	16
R16	[21]	2024	Cambridge	2
R17	[22]	2021	ScienceDirect	100
R18	[23]	2022	IEEE	3
R19	[25]	2024	IEEE	19
R20	[24]	2022	IEEE	2
R21	[26]	2023	ScienceDirect	2
R22	[27]	2025	Cambridge	1
R23	[15]	2023	IEEE	3
R24	[28]	2021	MPDI	10
R25	[29]	2023	ScienceDirect	9
R26	[30]	2021	IEEE	5
R27	[31]	2024	IEEE	1
R28	[32]	2024	IEEE	13
R29	[33]	2024	Frontiersin	0
R30	[34]	2025	IEEE	0
R31	[16]	2023	ArXiv	-
R32	[35]	2025	IEEE	0
R33	[36]	2024	IEEE	10

## D DeLaN parameterisation and friction model

This appendix details the specific neural parameterisation of the Deep Lagrangian Network (DeLaN) used in Stage 1 of the proposed architecture, i.e. the construction of the inertia, potential/gravity and friction subnetworks that together implement the inverse-dynamics mapping  $f_{\text{DeLaN}}(\mathbf{q}, \dot{\mathbf{q}}, \ddot{\mathbf{q}}; \boldsymbol{\theta}, \boldsymbol{\psi})$  described in Section 3.

### D.1 Inertia subnetwork

Following the improved DeLaN formulation of [38] and its PINN-based extension to industrial robots in [36], the symmetric positive-definite inertia matrix  $\mathbf{M}_{\boldsymbol{\theta}}(\mathbf{q}) \in \mathbb{R}^{n \times n}$  is obtained from a learned Cholesky factor. We parameterise a lower-triangular matrix  $\hat{\mathbf{L}}(\mathbf{q}; \boldsymbol{\theta}_M)$  and set

$$\mathbf{M}_{\boldsymbol{\theta}}(\mathbf{q}) = \hat{\mathbf{L}}(\mathbf{q}; \boldsymbol{\theta}_M) \hat{\mathbf{L}}(\mathbf{q}; \boldsymbol{\theta}_M)^\top. \quad (66)$$

The factor  $\hat{\mathbf{L}}$  is decomposed into a strictly lower-triangular part and a diagonal part,

$$\hat{\mathbf{L}}(\mathbf{q}; \boldsymbol{\theta}_M) = \mathbf{L}_o(\mathbf{q}; \boldsymbol{\theta}_o) + \mathbf{L}_d(\mathbf{q}; \boldsymbol{\theta}_d), \quad (67)$$

where both  $\mathbf{L}_o$  and  $\mathbf{L}_d$  are represented by multilayer perceptrons (MLPs) taking  $\mathbf{q}$  as input:

- $\mathbf{L}_o(\mathbf{q}; \boldsymbol{\theta}_o)$  is lower-triangular with zero diagonal entries and uses a linear output layer.
- $\mathbf{L}_d(\mathbf{q}; \boldsymbol{\theta}_d)$  is diagonal. Its diagonal elements are obtained as

$$[\mathbf{L}_d]_{ii} = \text{ReLU}(h_i(\mathbf{q})) + \varepsilon,$$

where  $h_i$  is the  $i$ -th output of an MLP and  $\varepsilon > 0$  is a small constant. The ReLU+offset guarantees strictly positive diagonal entries and hence  $\mathbf{M}_{\boldsymbol{\theta}}(\mathbf{q}) \succ 0$  for all  $\mathbf{q}$ .

In practice, all MLPs in the DeLaN core (the two inertia subnetworks and the potential network below) use sinusoidal activation functions as suggested in [36, 38], since for revolute manipulators the elements of  $\mathbf{M}(\mathbf{q})$  can be written as linear combinations of  $\sin(\cdot)$  and  $\cos(\cdot)$ .

### D.2 Potential and gravity subnetworks

The conservative part of the dynamics is encoded by a learned Lagrangian

$$\mathcal{L}_{\boldsymbol{\theta}}(\mathbf{q}, \dot{\mathbf{q}}) = \frac{1}{2} \dot{\mathbf{q}}^\top \mathbf{M}_{\boldsymbol{\theta}}(\mathbf{q}) \dot{\mathbf{q}} - V_{\boldsymbol{\theta}_V}(\mathbf{q}), \quad (68)$$

where  $V_{\theta_V}(\mathbf{q})$  is represented by another MLP (the “potential” subnetwork) with parameters  $\theta_V$ . Using automatic differentiation we obtain the gravity term as the gradient of the potential,

$$\mathbf{G}_{\theta}(\mathbf{q}) = \frac{\partial V_{\theta_V}(\mathbf{q})}{\partial \mathbf{q}} \approx \mathbf{g}(\mathbf{q}). \quad (69)$$

This DeLaN-style representation of the potential and its gradient follows the improved formulations proposed in [36, 38], where the potential is modelled by a neural network and the gravity vector is obtained as its configuration-space gradient.

Together with the inertia matrix (66), the conservative torques are given by

$$\boldsymbol{\tau}_{\text{cons}}(\mathbf{q}, \dot{\mathbf{q}}, \ddot{\mathbf{q}}; \boldsymbol{\theta}) = \mathbf{M}_{\theta}(\mathbf{q}) \ddot{\mathbf{q}} + \mathbf{C}_{\theta}(\mathbf{q}, \dot{\mathbf{q}}) \dot{\mathbf{q}} + \mathbf{G}_{\theta}(\mathbf{q}), \quad (70)$$

with the Coriolis/centrifugal term  $\mathbf{C}_{\theta}(\mathbf{q}, \dot{\mathbf{q}}) \dot{\mathbf{q}}$  obtained from  $\mathcal{L}_{\theta}$  via the Euler–Lagrange equations.

### D.3 Friction subnetwork

Joint friction and other non-conservative effects are modelled in a physically interpretable way by a Coulomb-viscous law, following the improved DeLaN formulation in [38] and empirical studies on UR robots with Coulomb-viscous friction [39]. For each joint  $i$  we use

$$\tau_{\text{fric},i}(\dot{q}_i; \boldsymbol{\psi}) = f_{c,i} \text{sgn}(\dot{q}_i) + f_{v,i} \dot{q}_i, \quad (71)$$

where  $f_{c,i}$  and  $f_{v,i}$  are the Coulomb and viscous friction coefficients for joint  $i$ . Collecting these in vectors  $\mathbf{f}_c, \mathbf{f}_v \in \mathbb{R}^n$  yields the compact vector form

$$\boldsymbol{\tau}_{\text{fric}}(\dot{\mathbf{q}}; \boldsymbol{\psi}) = \mathbf{f}_c \text{sgn}(\dot{\mathbf{q}}) + \mathbf{f}_v \dot{\mathbf{q}}, \quad (72)$$

with  $\text{sgn}(\cdot)$  applied element-wise. This can be interpreted as a joint-wise affine map

$$\boldsymbol{\tau}_{\text{fric}} = f_{\text{fric}}([\dot{\mathbf{q}}, \text{sgn}(\dot{\mathbf{q}})]; \boldsymbol{\psi}),$$

consistent with the main Methods section.

Equation (72) corresponds directly to the friction term in [38], where  $\tau_f = f_c \text{sgn}(\dot{q}) + f_v \dot{q}$  is added to the DeLaN prediction.

### D.4 Resulting DeLaN inverse-dynamics map

Combining the inertia, potential/gravity and friction subnetworks, the DeLaN inverse-dynamics model used in this thesis is

$$\hat{\boldsymbol{\tau}}_{\text{DeLaN}}(\mathbf{q}, \dot{\mathbf{q}}, \ddot{\mathbf{q}}; \boldsymbol{\theta}, \boldsymbol{\psi}) = \boldsymbol{\tau}_{\text{cons}}(\mathbf{q}, \dot{\mathbf{q}}, \ddot{\mathbf{q}}; \boldsymbol{\theta}) + \boldsymbol{\tau}_{\text{fric}}(\dot{\mathbf{q}}; \boldsymbol{\psi}) = f_{\text{DeLaN}}(\mathbf{q}, \dot{\mathbf{q}}, \ddot{\mathbf{q}}; \boldsymbol{\theta}, \boldsymbol{\psi}), \quad (73)$$

which is trained in Stage 1 by minimising the joint-space loss

$$\mathcal{L}_{\text{DeLaN}}(\boldsymbol{\theta}, \boldsymbol{\psi}) = \frac{1}{N} \sum_{k=1}^N \|f_{\text{DeLaN}}(\mathbf{q}_k, \dot{\mathbf{q}}_k, \ddot{\mathbf{q}}_k; \boldsymbol{\theta}, \boldsymbol{\psi}) - \boldsymbol{\tau}_{\text{motor},k}\|_2^2, \quad (74)$$

with  $\boldsymbol{\tau}_{\text{motor},k} = k_t \mathbf{I}_k$  as defined in (6). The trained DeLaN then serves as the nominal inverse-dynamics backbone that is subsequently refined by the residual LSTM in Stage 2, as described in Section 3.