Chapter X : Review of Methods for Solving AX=XB Sensor Calibration Problem

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An often used formulation of sensor calibration in robotics and computer vision is "AX=XB", where A, X, and B are rigid-body motions with A and B given from sensor measurements, and X is the unknown calibration parameter. Many methods have been proposed to solve X given data streams of A and B under different scenarios. This chapter presents the most complete picture of the $AX = XB$ solvers up to date. Firstly, a brief overview of the various important sensor calibration techniques is given and problems of interest are highlighted. Then a detailed review on the various "AX=XB" research algorithms is presented. The notations of the selected algorithms are unified to the largest extent in order to show the interconnections between the selected methods in a straightforward way. Next, the criterion for data selection and various error metrics are introduced, which are of critical importance for evaluating the performance of $AX = XB$ solvers.

Index terms— Sensor Calibration, Hand-Eye Calibration, Humanoid Robot, Review

Nomenclature

$SO(3)$ $SO(3) \doteq \{R|RR^T = R^T R = \mathbb{I}$ and $\det(R) = 1$ where $R \in \mathbb{R}^{3\times3}\}$

$SE(3)$ $SE(3) \doteq \{H|H = \begin{pmatrix} R & \mathbf{t} \\ \mathbf{0}^T & 1 \end{pmatrix} \in \mathbb{R}^4$, where $R \in SO(3)$ and $\mathbf{t} \in \mathbb{R}^3\}$

$so(3)$ $so(3) \doteq \{\Omega|R = exp(\Omega)$, where $\Omega \in \mathbb{R}^{3\times3}$ and $R \in SO(3)\}$

$se(3)$ $se(3) \doteq \{\Xi|H = exp(\Xi)$, where $\Xi = \begin{pmatrix} \Omega & \xi \\ \mathbf{0}^T & 0 \end{pmatrix}$ and $\Omega \in SO(3), \xi \in \mathbb{R}^3, H \in SE(3)\}$

$exp()$ The matrix exponential of a square matrix.

$log()$ The matrix logarithm of a square matrix

H A general rigid body transformation ($H \in SE(3)$)

$\mathfrak{H}$ If H is an element of a Lie Group, $\mathfrak{H}$ is the corresponding element in the Lie algebra

$\mathbb{O}_n$ $n \times n$ zero matrix

$\mathbb{I}_n$ $n \times n$ identity matrix

$\{E_i\}$ the set of "natural" basis elements for Lie algebra

$^\vee$ For Lie algebra, the "vee" operator is defined such that $\left(\sum_{i=1}^{n} x_i E_i\right)^\vee \doteq (x_1, x_2, ..., x_n)^T$ where $n = 3$ for $so(3)$ and $n = 6$ for $se(3)$

$\hat{}$ For Lie algebra, the "hat" operator is the inverse of the "vee" operator. $\widehat{(x_1, x_2, ..., x_n)^T} \doteq \left(\sum_{i=1}^{n} x_i E_i\right)$

$\circ$ The operator defined for group product

$\odot$ The operator defined for quaternion product

$\hat{\odot}$ The operator defined for dual quaternion product

$\otimes$ The operator defined for Kronecker product

vec The "vec" operator is defined such that $vec(A) = [a_{11}, ..., a_{1m}, a_{21}, ..., a_{2m}, ..., a_{n1}, ..., a_{nm}]^T$ for $A = [a_{ij}] \in \mathbb{R}^{m\times n}$

$\mathbf{p}$ For $P \in G$ (where G is a Lie group, e.g. $SE(3)$ or $SO(3)$), $\mathbf{p} = (p_1, p_2, ..., p_n)^T = \log^\vee(P)$

A_i A rigid body transformation ($A_i \in SE(3)$), associated with one sensor measurement source

B_i A rigid body transformation ($B_i \in SE(3)$), usually associated with one sensor measurement source

X The rigid body transformation ($X_i \in SE(3)$) that relates A_i to B_i

R_H The rotation matrix of any general transformation matrix $H \in SE(3)$

$\mathbf{t}_H$ The translation vector of any general transformation matrix $H \in SE(3)$

$\mathbf{n}_H$ The axis of rotation for R_H

$\tilde{\mathbf{n}}$ The skew-symmetric representation of the axis of rotation ($\mathbf{n}_H$)

θ_H The angle of rotation for R_H about $\mathbf{n}_H$

ρ A probability distribution of $H \in G$ on SE(3)

M For ρ, M is the mean of the distribution

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Σ For ρ, Σ is the covariance of the distribution about the mean, M

Ad For the Lie group G and the Lie algebra $\mathfrak{G}$, the adjoint operator is the transformation
Ad: $G \to \mathrm{GL}(\mathfrak{G})$, defined as
$\mathrm{Ad}(H_1)\mathfrak{H}_2 \doteq \frac{d}{dt}(H_1 \circ e^{t\mathfrak{H}_2} \circ H_1^{-1})$

$Ad(H)$ The adjoint matrix with columns $(HE_iH^{-1})^{\vee}$

1 INTRODUCTION

In the fields of robotics and computer vision, sensor calibration problems are often codified using the "AX=XB" formulation, (Fig.1, Fig.2, Fig.3). Example applications include camera calibration, Cartesian robot hand calibration, robot eye-to-hand calibration [1], aerial vehicle sensor calibration [2], image guided therapy (IGT) sensor calibration and endoscopic surgery [3]. An alternative name that describes this system is "hand-eye" calibration. Several methods have existed in the literature that can perfom the hand-eye calibration without directly dealing with $AX = XB$ formulation such as [4–9]. Another problem called the robot-world and hand-eye calibration deals with the case where an additional calibration of the robot pose with respect to the world is needed. This calibration technique is formulated as the "AX=YB" problem and multiple methods have been brought up such as [10–15]. Furthermore, a hand-eye, tool-flange, and robot-robot calibration problem is formulated as the "AXB = YCZ" problem in [16].

In the "AX=XB" formulation A, X, and B are each homogeneous transformations (i.e., elements of the special Euclidean group, $SE(3)$) with each pair of measurements (A,B) coming from sensors such as cameras, US probes, optical, or electromagnetic pose tracking systems, among others. X is the unknown rigid-body motion that is found as a result of solving $AX = XB$. It is well known that it is not possible to solve for a unique X from a single pair of exact (A,B), but if there are two instances of independent exact measurements, (A_1,B_1) and (A_2,B_2) satisfying certain constraints, then the problem can be solved. However, in practice, sensor noise is always present, and an exact solution is not possible. The goal, therefore, becomes one of finding an X with least error given corresponding noisy pairs (A_i,B_i) for i=1,2,...,n.

2 THE $AX = XB$ FORMULATION

Any (proper) rigid-body motion in a three-dimensional space can be described as a 4×4 homogeneous transformation matrix of the form: where $R \in SO(3)$ is a 3×3 (proper) rotation matrix, and $\mathbf{t} \in \mathbb{R}^3$ is a translation vector. The set of all such matrices

$$H(R,\mathbf{t}) = \begin{pmatrix} R & \mathbf{t} \\ \mathbf{0}^T & 1 \end{pmatrix} \tag{1}$$

can be identified with $SE(3)$, the group of rigid-body motions, where the group law is matrix multiplication.

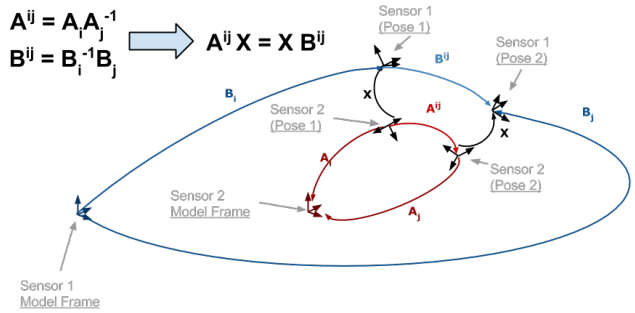

Fig. 1. The AX=XB Sensor Calibration Formulation

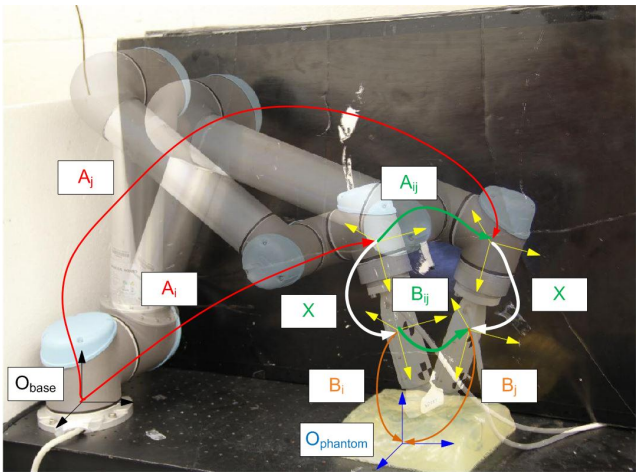

Fig. 2. Application of AX=XB in Ultrasound Sensor Calibration:An Ultrasound Probe Is Attached at the End-effector and the Calibration Phantom Is Used for Ultrasound Probe Calibration. (The UR5 robot in the picture is owned by professor Emad Boctor of Johns Hopkins University)

Given:

$$AX = XB \tag{2}$$

where $A,B,X \in SE(3)$, it is well known that, in non-degenerate cases, there are two unspecified degrees of freedom to the problem for a single pair of sensor measurements, (A,B). This situation is rectified by considering two pairs of exact measurements of the form in (2), i.e., $A_1X = XB_1$ and $A_2X = XB_2$, provided that some mild conditions are observed for the selection of the pairs (A_1,B_1) and (A_2,B_2) [17–19]. Note that (A_i,B_i) here are relative transformation data pairs, so in real experiments, at least 3 absolute transformation data pairs should be measured. Additionally, if there is sensor error, then it may not be possible to find compatible pairs that reproduce the exact value of X. For this reason, minimization and least squared approaches are often taken over large sets of As and Bs.

Performing the matrix multiplication of homogeneous transformations in Eq.(2) and separating out the rotational

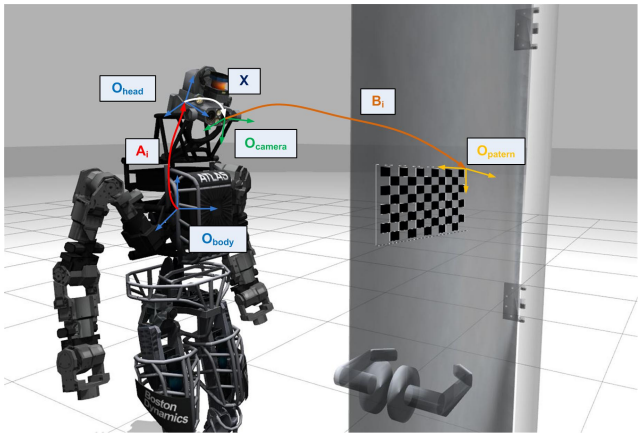

Fig. 3. Application of AX=XB in Humanoid Camera Sensor Calibration: The Humanoid Robot Named Atalas Designed by the Company *Boston Dynamics* Requires Head Body Calibration before Turning the Knob. Different pairs of (A_i, B_i) are measured by changing the head pose of the humanoid robot. (The picture is generated using Gazebo which is an open source robot simulation software)

and translational parts results in two equations of the form:

$$R_A R_X = R_X R_B \tag{3a}$$
$$R_A \mathbf{t}_X + \mathbf{t}_A = R_X \mathbf{t}_B + \mathbf{t}_X. \tag{3b}$$

One strategy to solve Eq.(2) would appear to reduce to first solving Eq.(3a) for only rotation and then rearranging the Eq.(3b) so as to find acceptable values of $\mathbf{t}_X$ satisfying $(R_A - \mathbb{I}_3)\mathbf{t}_X = R_X \mathbf{t}_B - \mathbf{t}_A$. The other strategy is to solve for R_X and $\mathbf{t}_X$ simultaneously based on some cost function $f(R_X, \mathbf{t}_X)$ or reformulating the matrix equation into dual quaternion. However, when there are only two exact sensor measurements, there are some problems with the first approach. As pointed out in [18, 19], in nondegenerate cases, there is a one-parameter set of solutions to the first part in Eq.(3a), and the matrix $R_A - \mathbb{I}_3$ in general has a rank of 2. Hence, there are two unspecified degrees of freedom to the problem, and it cannot be solved uniquely unless additional measurements are taken.

However, if there is sensor error, then it may not be possible to find compatible pairs that reproduce the exact value of X. For this reason, minimization approaches are often taken, where for $n > 2$ a cost function:

$$C(X) = \sum_{i=1}^{n} w_i d^2(A_i X, X B_i) \tag{4}$$

is computed for some distance metric $d(\cdot,\cdot)$ on $SE(3)$ and $\{w_i\}$ is a set of weights which can be taken to be a partition of unity.

The chapter is organized as follows. In section 3, a detailed review is given for the existing methods that solve $AX = XB$ problem using $\{A_i, B_i\}$ pairs with correspondence. The notations in the literature are not consistent and the authors tried their best to standardize the notations across all the reviewed methods here so that readers can have a straight forward and consistent understanding of the methods and their relationships. Some approaches are preferable under certain circumstances and their advantages and disadvantages are also highlighted. In section 4, some important criterion for data selection and different errors metrics used in the literature are presented. In section 5, numerical simulations are performed on the selected methods and further conclusions are given based on the results of the simulation. In section 6, a complete summary of the $AX = XB$ solvers is provided and open problems are noted as well.

3 EXISTING SOLUTION METHODS

The problem of solving Eq.(2) for X when multiple corresponding pairs of As and Bs are presented has a history that goes back more than a quarter of a century [18–20], with the earliest proposed by Shiu [19, 21] and Tsai [1], and applications involving this problem remain active today [8, 22]. Shah [23] overviewed several different AX = XB methods qualitatively. Fassi and Legnani [24] gave a geometric view of $AX = XB$ and discussed the over-constrained and singular conditions. A more complete list of the traditional $AX = XB$ solvers includes: the Shiu method [19], the screw motion method [17, 25], the Euclidean Group method [18, 26], the quaternion method [20, 27, 28], the dual quaternion method [29, 30], the Kronecker method [31, 32]. Several new optimization methods emerged recently such as the convex optimization method [33], some global optimization methods [15, 32, 34] and structure from motion (SfM) approach [35]. The SfM method deals with the case where a calibration target is not applicable and a scaling factor needs to be calibrated in addition to rotation and translation. The methods mentioned previously are all off-line methods where X can be calculated given a complete list of data pairs. However, several online methods are proposed that are more preferable to real time application [31, 36, 37]. All the methods above assume $\{A_i, B_i\}$ data pairs with correspondence. Probabilistic methods that deal with data pairs without correspondence are introduced in [38, 39]. In the follow sections, representatives of the above $AX = XB$ solvers will be reviewed in detail.

3.1 Shiu and Ahmad

Shiu and Ahmad [19, 21] use two data pairs (A_i, B_i) to solve for X. The necessary condition for the uniqueness of X is that the rotation axes of R_{A_1} and R_{A_2} are neither parallel nor anti-parallel, and the angles of rotation are neither 0 nor π. Though this method shows the tolerance of noise to a certain level, it is specifically designed to solve for the case where only two sets of (A, B) are given. The rotation matrix R_X is solved for first and the translation is obtained using least square technique given known R_X.

The closed form expression for R_X is:

$$R_X = e^{\tilde{\mathbf{n}}_A \beta} R_{X_P} \tag{5}$$

where

$$R_{X_p} = e^{\tilde{\mathbf{n}}_X \theta_X}$$
$$\mathbf{n}_X = \mathbf{n}_B \times \mathbf{n}_A$$
$$\theta_X = \text{atan2}(|\mathbf{n}_\text{B} \times \mathbf{n}_\text{A}|, \mathbf{n}_\text{B} \cdot \mathbf{n}_\text{A})$$

and β is an arbitrary angle. Given a vector $\mathbf{n} = (n_1, n_2, n_3)^T \in \mathbb{R}^3$, $\tilde{\mathbf{n}}$ is a skew-symmetric matrix defined as below:

$$\tilde{\mathbf{n}} = \begin{pmatrix} 0 & -n_3 & n_2 \\ n_3 & 0 & -n_1 \\ -n_2 & n_1 & 0 \end{pmatrix}. \tag{6}$$

Equation (5) shows that R_x has one degree of freedom which is determined by the angle β. Therefore, two relative arm motions are needed to generate two (A_i, B_i) data pairs in order to calculate the unique solution of X. Given two pairs of As and Bs, two equations can be obtained as:

$$A_1 X = X B_1 \tag{7a}$$
$$A_2 X = X B_2. \tag{7b}$$

Instead of giving a closed-form solution, R_X is calculated by solving for β in Eq.(8), which is formulated by equating two Eq.(5) that are obtained by (A_1, B_1) and (A_2, B_2):

$$CY = D. \tag{8}$$

In Eq.(8), $Y = \big(\cos(\beta_1), \sin(\beta_1), \cos(\beta_2), \sin(\beta_2)\big)^T$ where β_1, β_2 correspond to Eq.(7a) and Eq.(7b) respectively, $C \in \mathbb{R}^{9\times 4}$ and $D \in \mathbb{R}^{9\times 1}$ are determined by $\mathbf{n}_{A_1}$,$\mathbf{n}_{B_1}$, $\mathbf{n}_{A_2}$ and $\mathbf{n}_{B_2}$, and the explicit expressions are given in Eq.(44) of [19].
Similarly, with kwown R_X, $\mathbf{t}_x$ can be calculated using the least square method:

$$\begin{pmatrix} R_{A_1} - \mathbb{I}_3 \\ R_{A_2} - \mathbb{I}_3 \end{pmatrix} \mathbf{t}_X = \begin{pmatrix} R_X \mathbf{t}_{B_1} - \mathbf{t}_{A_1} \\ R_X \mathbf{t}_{B_2} - \mathbf{t}_{A_2} \end{pmatrix}. \tag{9}$$

This is not a closed form solution and it is constrained to the case where only two data pairs are provided.

3.2 Lie Group Method

The Lie Group method [18] by Park and Martin is the first method to solve the AX = XB problem from the perspective of Lie group. It uses the axes of rotation of A_i and B_i to construct R_X and gives both the closed form solution for the no-noise case and the numerical solution for multiple noisy (A_i, B_i) pairs.

3.2.1 Closed Form Solution with Two Exact Pairs

The closed-form solution for R_X is as follows:

$$R_X = \mathcal{A}\mathcal{B}^{-1} \tag{10}$$

where

$$\mathcal{A} = (\mathbf{n}_{A_1}, \mathbf{n}_{A_2}, \mathbf{n}_{A_1} \times \mathbf{n}_{A_2}) \in \mathbb{R}^{3\times 3}$$
$$\mathcal{B} = (\mathbf{n}_{B_1}, \mathbf{n}_{B_2}, \mathbf{n}_{B_1} \times \mathbf{n}_{B_2}) \in \mathbb{R}^{3\times 3}$$
$$\tilde{\mathbf{n}}_{A_1} = \log(R_{A_i}) / ||(\log R_{A_i})^\vee||$$
$$\tilde{\mathbf{n}}_{B_1} = \log(R_{B_i}) / ||(\log R_{B_i})^\vee||.$$

The solution for R_X is uniquely determined given two pairs of (A_i, B_i), and the solution for $\mathbf{t}_X$ can be obtained using Eq.(9) once R_X is obtained.

3.2.2 Estimation of X Using Multiple Pairs with Noise

When there are multiple pairs of (A_i, B_i) with noise, rotation matrix R_X is solved for first and then translation vector $\mathbf{t}_x$ is obtained using least square method given known R_X. The closed form expression for R_X is as follows:

$$R_X = (M^T M)^{-\frac{1}{2}} M^T \tag{11}$$

where

$$M = \textstyle\sum_{i=1}^{n} \mathbf{n}_{B_i} \mathbf{n}_{A_i}^T.$$

Note that $i \geq 3$ is a necessary condition for M to be a nonsingular matrix, but it doesn't guarantee M to be nonsingular. Theoretically, the Lie group method is more likely to fail when the number of data pairs is small while in real application, this is barely seen as long as the data pairs are not specially chosen. Given known R_X, $\mathbf{t}_X$ can be calculated using the least square method as shown in Eq.(12):

$$\mathbf{t}_x = (C^T C)^{-1} C^T d \tag{12}$$

where

$$C = \begin{pmatrix} \mathbb{I}_3 - R_{A_1} \\ \mathbb{I}_3 - R_{A_2} \\ \cdot \\ \cdot \\ \cdot \\ \mathbb{I}_3 - R_{A_n} \end{pmatrix} \quad d = \begin{pmatrix} \mathbf{t}_{A_1} - R_X \mathbf{t}_{B_1} \\ \mathbf{t}_{A_2} - R_X \mathbf{t}_{B_2} \\ \cdot \\ \cdot \\ \cdot \\ \mathbf{t}_{A_n} - R_X \mathbf{t}_{B_n} \end{pmatrix}.$$

3.3 Quaternion Method

The Quaternion method proposed by Chou and Kamel [20, 27] uses normalized quaternions to transform the rotation parts of $A_i X = X B_i$ (i = 1,2) into two linear systems. Then singular value decomposition method is performed to obtain a closed form solution of R_X. In order to estimate X given multiple pairs of (A_i, B_i) with noise, Horaud and Dornaika [28] cast the problem into a nonlinear optimization one. Two different approaches are discussed: (1) estimate the rotation matrix R_X by minimizing an objective function, and

solve for the translation $\mathbf{t}_X$ using least square method separately and (2) estimate R_X and $\mathbf{t}_X$ simultaneously by minimizing an objective function that incorporates the information of both rotation and translation. Method (1) turns out to have a closed form solution for q_X, while method (2) is a nonlinear optimization problem which requires an initial guess and will be discussed in section 3.6.

3.3.1 Closed Form Solution with Two Exact Pairs

First, the rotation equation in Eq.(3) is transformed into the equation of quaternion multiplication as below:

$$R_A R_X = R_X R_B \;\Leftrightarrow\; q_A \odot q_X = q_X \odot q_B \tag{13}$$

where q_A, q_B and q_X are unit quaternions that represent the rotation parts of matrices A, B and X, and $\odot$ denotes the quaternion multiplication.
Given two quaternions $q_\alpha = (\alpha_0, \alpha_1, \alpha_2, \alpha_3)^T = \left(\alpha_0, \boldsymbol{\alpha}^{\mathbf{T}}\right)^T$ and $q_\beta = (\beta_0, \beta_1, \beta_2, \beta_3)^T = \left(\beta_0, \boldsymbol{\beta}^{\mathbf{T}}\right)^T$, the quaternion multiplication $\odot$ is defined into:

$$q_\alpha \odot q_\beta = \begin{pmatrix} \alpha_0\beta_0 - \boldsymbol{\alpha}^{\mathbf{T}}\boldsymbol{\beta} \\ \beta_0\boldsymbol{\alpha} + \boldsymbol{\alpha_0}\boldsymbol{\beta} + \tilde{\boldsymbol{\alpha}}\boldsymbol{\beta} \end{pmatrix}. \tag{14}$$

α_0 is called the scalar component and $\boldsymbol{\alpha}$ is the vector component of the quaternion q_α. In order to solve for q_X, the quaternion equation is transformed as:

$$E\mathbf{q}_X = \mathbf{0} \tag{15}$$

where $E \in \mathbb{R}^{4\times4}$ is obtained by grouping q_A and q_B together, and $\mathbf{q}_X \in \mathbb{R}^4$ is the vector representation of the unit quaternion q_X.

It turns out that the unit quaternion q_X which represents the rotation part of X can be written as:

$$\mathbf{q}_X = V_2 \mathbf{y_2}. \tag{16}$$

To obtain the matrix V_2 and vector y_2, E is first written as $E = \sin(\theta_{A|B}/2)E_0$ with $\theta_{A|B} = \theta_A = \theta_B$, which is the constraint that the corresponding transformations A_i and B_i should have the same angle of rotation. Next, the singular value decomposition of M is computed as $E_0 = U\Sigma V^T$ where $V = (V_1, V_2)$, $V_1 \in \mathbb{R}^{4\times2}$, $V_2 \in \mathbb{R}^{4\times2}$, $U \in \mathbb{R}^{4\times4}$ and Σ is a diagonal matrix. Vector $\mathbf{y_2}$ is obtained by calculating $\mathbf{y} = V^T\mathbf{q}_x$ where $\mathbf{y} = (\mathbf{y_1^T}, \mathbf{y_2^T})^\mathbf{T}$, $\mathbf{y_1} \in \mathbb{R}^{2\times1}$ and $\mathbf{y_2} \in \mathbb{R}^{2\times1}$. Their expressions are also outlined as follows:

$$\begin{aligned} E &= \sin(\theta_{A|B}/2)E_0 \\ M &= U\Sigma V^T \\ V &= (V_1, V_2) \\ \mathbf{y} &= V^T\mathbf{q}_x \\ \mathbf{y} &= (\mathbf{y_1^T}, \mathbf{y_2^T})^\mathbf{T}. \end{aligned}$$

The translation vector $\mathbf{t}_x$ satisfies the following equation:

$$\left(\cot(\frac{\theta_A}{2})\hat{\mathbf{n}}_A(R_A - \mathbb{I}_3) + R_A + \mathbb{I}_3\right)\mathbf{t}_x = \mathbf{n}_A z \tag{17}$$

where $z \in \mathbb{R}$ is arbitrary. A unique solution can be calculated using Eq.(16) and Eq.(17) given two non-degenerate pairs of (A_i, B_i).

3.3.2 Estimation of X Using Multiple Pairs With Noise

As shown in [28] ,in the case where there are n pairs of (A_i, B_i), the problem of recovering R_X is converted into minimizing an error objective function as in Eq.(18):

$$\begin{aligned} f(R_X) &= \textstyle\sum_{i=1}^n ||n_{A_i} - q_X \odot n_{Bi} \odot \bar{q}_X||^2 \\ &= \mathbf{q}_X{}^T \tilde{K} \mathbf{q}_X \end{aligned} \tag{18}$$

where $n_{A_i} = \left(0, \mathbf{n}_{A_i}{}^T\right)^T$ and $n_{B_i} = \left(0, \mathbf{n}_{B_i}{}^T\right)^T$. $\tilde{K} = \sum_{i=1}^n \tilde{K}_i$ and $\tilde{K}_i \in \mathbb{R}^{4\times4}$ is a symmetric positive definite matrix determined by $\mathbf{n}_{A_i}$ and $\mathbf{n}_{B_i}$; $\bar{q}_X$ is the conjugate of q_X where $q_X \odot \bar{q}_X = 1$.
To minimize Eq.(18) under the constraint that $\mathbf{q}_X$ is unit quaternion, the Lagrangian multiplier is introduced in Eq.(19) as:

$$\min_q f = \min_q(\mathbf{q}_X{}^T \tilde{K} \mathbf{q}_X + \lambda(1 - \mathbf{q}_X{}^T \mathbf{q}_X)). \tag{19}$$

Differentiate the error function with respect to $\mathbf{q}_X$, the 1st order necessary optimality condition is obtained as:

$$\tilde{K}\mathbf{q}_X = \lambda\mathbf{q}_X. \tag{20}$$

It can be shown that the unit quaternion $\mathbf{q}_X$ that minimizes f is the eigenvector of $\tilde{K}$ associated with its smallest positive eigenvalue. After recovering $\mathbf{q}_X$ (or equivalently R_X), $\mathbf{t}_X$ can be recovered using the least square technique as introduced in previous methods.

3.4 Dual Quaternion Method

The dual quaternion method proposed by Daniilidis and Bayro-Corrochano [29] (Daniilidis [30]) treats the rotation and translation parts of the matrix X in a unified way and facilitates a new simultaneous solution of X using the singular value decomposition method. To begin with, Eq.(2) is transformed into an equation in dual quaternion form as follows:

$$AX = XB \;\Leftrightarrow\; \check{a} = \check{q}_X \hat{\odot} \check{b} \hat{\odot} \bar{\check{q}}_X \tag{21}$$

where $\check{a}$, $\check{b}$ and $\check{q}$ are the dual quaternions that represent matrices A, B and X, and $\bar{\check{q}}$ is the conjugate of $\check{q}$.

The dual quaternion that corresponds to a 4 by 4 rigid transformation matrix is defined as follows:

$$\check{q}_X = \begin{pmatrix} \cos(\frac{\theta+\varepsilon d}{2}) \\ \sin(\frac{\theta+\varepsilon d}{2})(\mathbf{l}+\varepsilon\mathbf{m}) \end{pmatrix} \tag{22}$$

where θ, d, $\mathbf{l}$ and $\mathbf{m}$ are screw parameters and $\varepsilon^2 = 0$. θ is the rotation angle, d is the pitch, $\vec{\mathbf{l}}$ is the direction of the screw, and $\mathbf{m} = \mathbf{p} \times \mathbf{l}$ is the line moment where $\mathbf{p}$ is a point on the line. The six tuple $(\mathbf{l}, \mathbf{m})$ defines a line in 3-D space. Furthermore, by expanding the dual terms in $\check{q}_X$, Eq.(22) can also be written as:

$$\check{q}_X = q_X + \varepsilon q'_X. \tag{23}$$

Both q and q' are quaternions satisfying the following constraints:

$$\mathbf{q}_X^T \mathbf{q}_X = 1 \text{ and } \mathbf{q}_X^T \mathbf{q}'_X = 0. \tag{24}$$

Similar to Section 3, $\mathbf{q}_X$ and $\mathbf{q}'_X$ are the vector representations of q_X and q'_X. Then equation $A_i X = X B_i$ can be converted into the form as below:

$$S_i \underbrace{\begin{pmatrix} \mathbf{q}_X \\ \mathbf{q}'_X \end{pmatrix}}_{\mathbf{x}} = \mathbf{0} \tag{25}$$

where

$$S_i = \begin{pmatrix} \mathbf{a}-\mathbf{b} & (\mathbf{a}+\mathbf{b})^\wedge & \mathbf{0_{3\times 1}} & \mathbf{0_{3\times 3}} \\ \mathbf{a}'-\mathbf{b}' & (\mathbf{a}'+\mathbf{b}')^\wedge & \mathbf{a}-\mathbf{b} & (\mathbf{a}+\mathbf{b})^\wedge \end{pmatrix} \in \mathbb{R}^{6\times 8}. \tag{26}$$

The notation $\mathbf{x}$ here will be referred to in section 3.6. To maintain the consistency of notation throughout the chapter as well as preserve the original notation in [29], for a vector $\mathbf{v} \in \mathbb{R}^{3\times 1}$, $\mathbf{v}^\wedge$ is the same as $\tilde{\mathbf{v}}$, which maps a vector into the corresponding skew-symmetric matrix. $\mathbf{a}' = \frac{1}{2}\mathbf{t}_X \times \mathbf{a}$ and $\mathbf{a}$ is the vector part of q_X. Similarly, $\mathbf{b}$ is the vector part of q'. After concatenating S_i, the following matrix T can be obtained and used to solve for X:

$$T = \begin{pmatrix} S_1^T & S_2^T & \dots & S_n^T \end{pmatrix}^T. \tag{27}$$

By calculating the singular value decomposition of $T = U\Sigma V^T$, the dual quaternion for matrix X can be expressed as a linear combination of the last two right-singular vectors ($\mathbf{v_7}$, $\mathbf{v_8}$) of matrix T , which are the last two columns of matrix V, as shown in Eq.(28):

$$\begin{pmatrix} \mathbf{q}_X \\ \mathbf{q}'_X \end{pmatrix} = \lambda_1 \mathbf{v_7} + \lambda_2 \mathbf{v_8} \in \mathbb{R}^8 \text{ where } \lambda_1, \lambda_2 \in \mathbb{R}. \tag{28}$$

Different from the quaternion method (13), the dual quaternion method solves the rotational part and translational part in a united way, and it contains all the information to reconstruct matrix X. However, it does not use all the available information while only use the imaginary parts of $\check{a}$ and $\check{b}$. Despite the advantages of the dual quaternion method, it has the drawback of having to filter the data pairs to ensure appropriate solutions when there is noise on A_i and B_i.

3.5 Kronecker Product Method

Inspired by the well known Sylvester equation ($AX + XB = C$) in linear systems, Andreff *et al*. [31] proposed the Kronecker method which converts Eq.(2) into the form of Kronecker products [31] as in Eq.(29):

$$AX = XB \Leftrightarrow$$
$$\underbrace{\begin{pmatrix} \mathbb{I}_9 - R_B \otimes R_A & 0_{9\times 3} \\ \mathbf{t}_B^T \otimes \mathbb{I}_3 & \mathbb{I}_3 - R_A \end{pmatrix}}_{C} \underbrace{\begin{pmatrix} vec(R_X) \\ \mathbf{t}_X \end{pmatrix}}_{\mathbf{x}} = \underbrace{\begin{pmatrix} 0_9 \\ \mathbf{t}_A \end{pmatrix}}_{d}. \tag{29}$$

where $C, d, \mathbf{x}$ will be referred to in section 3.6. Given multiple pairs of As and Bs with noise, the Kroneker product is reformulated as Eq.(30) and Eq.(31):

$$\begin{pmatrix} \mathbb{I}_9 - R_{B_1} \otimes R_{A_1} \\ \mathbb{I}_9 - R_{B_2} \otimes R_{A_2} \\ \vdots \\ I_9 - R_{B_n} \otimes R_{A_n} \end{pmatrix} vec(R_X) = \mathbf{0}_{9n\times 1}, \tag{30}$$

$$\begin{pmatrix} \mathbb{I}_3 - R_{A_1} \\ \mathbb{I}_3 - R_{A_2} \\ \vdots \\ \mathbb{I}_3 - R_{A_n} \end{pmatrix} \mathbf{t}_X = \begin{pmatrix} \mathbf{t}_{A_1} - R_X \mathbf{t}_{B_1} \\ \mathbf{t}_{A_2} - R_X \mathbf{t}_{B_2} \\ \vdots \\ \mathbf{t}_{A_n} - R_X \mathbf{t}_{B_n} \end{pmatrix}. \tag{31}$$

The vectorized version of R_X obtained from Eq.(30) doesn't fall into $SO(3)$ and orthogonalization on R_X is required to get a rotation matrix as in Eq.(32):

$$R_{X_e} = R_X (R_X^T R_X)^{-1/2} \tag{32}$$

[40] where R_{X_e} denotes the orthogonalized R_X.
The orthogonalized matrix R_{X_e} is further normalized as in Eq.(33):

$$R_{X_n} = \frac{sign(\det(R_{X_e}))}{|\det(R_{X_e})|^{\frac{1}{3}}} R_{X_e} \tag{33}$$

where R_{X_n} is the normalized matrix of R_{X_e}. After getting the estimation of R_X, least square method is implemented on

Eq.(31) to recover $\mathbf{t}_X$. One advantage of the Kronecker product method is its capability of dealing with small motions, because the associated orthogonal matrix R_{X_n} is always defined, while the rotation can be ill-defined when using the axis-angle representation. Moreover, the linear system can also be sued to analyse the recoverable information in X based on the available type and number of motions. Details will be included in Section 4. In addition, an on-line hand-eye calibration method is developed for an unknown scene based on the above algorithm, where the camera translations are estimated up to a scaling factor.

3.6 Optimization Methods

Different optimization methods have been proposed in the literature and most of them are built upon the various parametrization of the $AX = XB$ equations as mentioned previously.

3.6.1 Quaternion Based Simultaneous Approach

When trying to solve for R_X and $\mathbf{t}_X$ simultaneously, it is impossible to find a closed form solution. Horaud and Dornaika [28] came up with an objective function for minimization, which is a sum of squares of nonlinear functions as:

$$f(q_X,\mathbf{t}_X) = \lambda_1 \sum_{i=1}^{n} ||vec(q_X \odot t_{B_i} \odot \bar{q}_X) - (R_{A_i} - \mathbb{I})\mathbf{t}_X - \mathbf{t}_{A_i}||^2 \\ \lambda_2 \sum_{i=1}^{n} ||n_{A_i} - q_X \odot n_{B_i} \odot \bar{q}_X||^2 + \lambda_3(1 - \mathbf{q}_X^T\mathbf{q}_X)^2 \tag{34}$$

where $\lambda_1, \lambda_2, \lambda_3 \in \mathbb{R}$. Note that $vec()$ here represents the vector part of a quaternion such that $vec(q) \in \mathbb{R}^3$. The third term is a penalty function where the module of q will approach to 1 when λ_3 becomes large. This is a non-convex optimization problem which requires a good initial guess due to the existence of multiple local minima. However, the result can be more accurate than the rest of the solvers for certain motion pairs when the initial guess is good.

3.6.2 Polynomial Global Optimization

Heller *et al.* [15] brought up a polynomial global optimization method which does not require initial estimate and is also globally optimal in L_2-norm. Define a certain parametrization of $X \in SE(3)$ as $P(X)$, the previous minimization problem Eq.(4) is formulated as:

$$\min_{X \in SE(3)} \sum_{i=1}^{n} ||A_iX - XB_i||^2 \Leftrightarrow \\ \begin{aligned} &\text{minimize} \quad f(P(X)) \\ &\text{subject to} \quad \mathbf{c}(P(X)) \geq \mathbf{0} \end{aligned} \tag{35}$$

where $f(X)$ is the converted multivariate polynomial function using convex linear matrix inequality (LMI) relaxations technique [41].

When R_X is parametrized using the orthonormal basis as $R_X(\mathbf{u},\mathbf{v}) = (\mathbf{u},\mathbf{v},\mathbf{u}\times\mathbf{v})$ where $\mathbf{v},\mathbf{u} \in \mathbb{R}^3$, then Eq.(35) becomes:

$$\begin{aligned} &\text{minimize} \quad f_1(\mathbf{u},\mathbf{v},\mathbf{t}_X) = \\ &\qquad \sum_{i=1}^{n} ||A_iX(\mathbf{u},\mathbf{v},\mathbf{t}_X) - X(\mathbf{u},\mathbf{v},\mathbf{t}_X)B_i||^2 \\ &\text{subject to} \quad \mathbf{u}^T\mathbf{u} = 1, \mathbf{v}^T\mathbf{v} = 1, \mathbf{u}^T\mathbf{v} = 0. \end{aligned} \tag{36}$$

Similarly, using the quaternion representation of R_X, Eq.(35) becomes:

$$\begin{aligned} &\text{minimize} \quad f_2(\mathbf{q}_X,\mathbf{t}_X) = \\ &\qquad \sum_{i=1}^{n} ||A_iX(\mathbf{q}_X,\mathbf{t}_X) - X(\mathbf{q}_X,\mathbf{t}_X)B_i||^2 \\ &\text{subject to} \quad \mathbf{q}_X^T\mathbf{q}_X = 1, \;\; q_{X1} \geq 0. \end{aligned} \tag{37}$$

If A, B and X are parametrized using dual quaternion representation, then

$$\begin{aligned} &\text{minimize} \quad f_3(\check{q}_X) = \\ &\qquad \sum_{i=1}^{n} ||\check{q}_A \hat{\odot} \check{q}_X - \check{q}_X \hat{\odot} \check{q}_B||^2 \\ &\text{subject to} \quad \mathbf{q}_X^T\mathbf{q}_X = 1, \;\; q_{X1} \geq 0 \\ &\qquad q_{X1}q_{X5} + q_{X2}q_{X6} + q_{X3}q_{X7} + q_{X4}q_{X8} = 0. \end{aligned} \tag{38}$$

As pointed out in [15], the polynomial global optimization method as described in Eq.(38) can give better solutions than Park [18], Eq.(34), Eq.(36) and Eq.(37). Several solvers for $AX = YB$ using LMI technique are also given, however, they fail to give a better result than the traditional methods.

3.6.3 Convex Optimization

Based on different ways of formulating the rotation part of the rigid body transformation, Zhao [33] gives two formulations that use L_∞ optimization technique. L_∞ optimization is the minimax problem as:

$$\min_{\mathbf{x}} \; \max_{i} f_i(\mathbf{x}) \;\; \mathbf{i} = \mathbf{1},\mathbf{2},...,\mathbf{n} \tag{39}$$

where $\mathbf{x}$ represents all the unknown transformation parameters and $f_i(\mathbf{x})$ is the error function corresponding to (A_i,B_i). Eq.(39) can be converted into a convex optimization problem if $f_i(\mathbf{x})$ is a quasi-convex function on a convex domain on which it is to be minimized.

Using the Kronecker formulation as in Eq.(29) and introducing an additional variable δ, the equivalent form of L_∞ optimization problem will be:

$$\begin{aligned} &\min_{\delta,\mathbf{x}} \;\; \delta \\ &\text{subject to} \;\; ||C_i\mathbf{x} - \mathbf{d}_i||_2 \leq \delta \\ &\text{where} \;\; i = 1,2,...,n. \end{aligned} \tag{40}$$

The matrix $\mathbf{C}_i$, vector $\mathbf{x}$ and $\mathbf{d}_i$ correspond to those in Eq.(29) Above is a convex optimization problem that can be solved using *second-order cone program*, which can be solved using tool-boxes available online.

When the $AX = XB$ problem is formulated as dual quaternion representation as in Eq.(25), the equivalent L_∞ optimization problem can be written as:

$$\begin{aligned} &\min_{\delta,\mathbf{x}} \ \delta \\ &\text{subject to } \ ||S_i\mathbf{x}||_2 \leq \delta \\ &\text{where } \ i = 1,2,...,n \ \text{ with } \ \mathbf{Dx} \geq \mathbf{f}. \end{aligned} \tag{41}$$

The matrix S_i and vector $\mathbf{x}$ correspond to those in Eq.(25). The inequality constraint $\mathbf{Dx} \geq \mathbf{f}$ is added manually in order to prevent $\mathbf{x}$ from obtaining zero, which is a meaningless solution for the programming. Another constraint $\mathbf{x}$ has to satisfy is that $||\mathbf{q}_X|| = 1$ and $||\mathbf{q}'_X|| = 1$.

The proposed methods needs no initial guess and are less time consuming compared to the simultaneous optimization method in Eq.(34). However, the errors for both of the convex optimization methods are larger than the latter.

3.7 Gradient Descent Method

Except for the Kronecker product method, all the methods mentioned above are only able to solve for matrix X offline, which means $\{A_i, B_i\}$ data pairs should be fully collected before being put into the algorithm. The gradient descent method [37] by Ackerman *et al.* is an online sensor calibration method which uses a gradient descent optimization on the Euclidean group (SE(3)) given an appropriate cost function.

In the first place, define $\mathcal{X} \in se(3)$ as the Lie algebra corresponding to $G = SE(3)$, and let $f : G \to \mathbb{R}$ be an analytic function and $g \in G$. As defined in [42], the concept of directional derivatives in $\mathbb{R}^n$ is extended to functions on a Lie group as:

$$(\hat{\mathcal{X}}^r f)(g) \doteq \frac{d}{dt} f(g \circ \exp(t\mathcal{X}))\bigg|_{t=0}. \tag{42}$$

Note that t is just a scalar denoting the time, while $\mathbf{t}$ represents the translation part of a homogeneous transformation. Eq.(42) is the "right" Lie derivative and the "left" Lie derivative can be defined in a similar form. A gradient on $SE(3)$ is then defined using the right Lie derivative with the "natural" basis of Lie algebra $\{E_i\}$ where $i = 1,2,...,6$. Therefore, the gradient of the function on Lie group $f(g)$ is as follows:

$$\nabla f(g) = \begin{pmatrix} \frac{d}{dt} f(g \circ \exp(tE_1))|_{t=0} \\ \frac{d}{dt} f(g \circ \exp(tE_2))|_{t=0} \\ \vdots \\ \frac{d}{dt} f(g \circ \exp(tE_6))|_{t=0} \end{pmatrix}. \tag{43}$$

In order to update g, the rigid boy velocity is introduced where $V_g^r = g^{-1}\dot{g}$, and the update law is written as below:

$$g_{s+1} = g_s \exp(\Delta t V_g^r) \tag{44}$$

where $t_{s+1} = t_s + \Delta t$ is the discrete time step. To complete the update law of g_s, the rigid body velocity is defined as:

$$V_g^r = g^{-1}\dot{g} = -\alpha\widehat{\nabla f(g)}. \tag{45}$$

After choosing the cost function as:

$$C(X) = \sum_{i=1}^{n} ||A_i X - X B_i|| \tag{46}$$

X can be optimized using Eq.(45). The gradient descent method updates the calibrations parameters online based on new incoming data. The initial guess of X will converge to the true X, however, the rate of convergence depends on how "good" the initial guesses are.

3.8 Batch Method

This section presents a probabilistic method [38] by Ackerman and Chirikjian to solve for X wherein there does not need to be any priori knowledge of the correspondence between the exact sets of measurements $A = \{A_i\}$ and $B = \{B_j\}$. In other words, the sets A and B each can be given as unordered "batches" without knowing how each A_i matches to a B_j.

A commonality of all the methods in the previous sections is that exact knowledge of $\{A_i\}$ and $\{B_j\}$ correspondence is assumed, however, this is not always the case. There are many instances in the literature when the sensor data used in calibration becomes "unsynchronized". Different attempts have been implemented to solve this problem, such as time stamping the data, developing dedicated software modules for syncing the data, and analyzing components of the sensor data stream to determine a correlation [2], to varying effects. The Batch method bypasses these issues altogether without tracking, or recomputing, correspondence. By modeling the set of As and Bs as probability distributions on $SE(3)$, the data can be taken as an unordered, uncorrelated "batch" and a solution for X can be generated.

3.8.1 The Batch Method Formulation

Given a large set of pairs $(A_i, B_i) \in SE(3) \times SE(3)$ for $i = 1,...,n$ that exactly satisfy the equation:

$$A_i X = X B_i, \tag{47}$$

a new algorithm is developed to find $X \in SE(3)$. The batch method addresses a generalization of the standard problem in which the sets $A = \{A_i\}$ and $B = \{B_j\}$ are provided with

elements written in any order and it is known that a correspondence exists between the elements of these sets such that Eq.(47) holds, but no a priori knowledge of this correspondence is known between each A_i and B_j.

Define a Gaussian probability distribution on $SE(3)$ (assuming the norm $\|\Sigma\|$ is small) as:

$$\rho(H;M,\Sigma) = \frac{1}{(2\pi)^3|\Sigma|^{\frac{1}{2}}} e^{-\frac{1}{2}F(M^{-1}H)}$$

where $|\Sigma|$ denotes the determinant of Σ and

$$F(H) = [\log^{\vee}(H)]^T \Sigma^{-1} [\log^{\vee}(H)].$$

When H is parameterized with exponential coordinates, $H = \exp Z$, this means that $F(\exp Z) = \mathbf{z}^T\Sigma^{-1}\mathbf{z}$ where $\mathbf{z} = Z^{\vee}$ and $\rho(\exp Z; \mathbb{I}_4, \Sigma)$ becomes exactly a zero-mean Gaussian distribution on the Lie algebra $se(3)$, with covariance Σ, that is 'lifted up' to the Lie group $SE(3)$. This definition is valid when $\|\Sigma\|$ is small enough that the tails of the distribution decay rapidly enough that the value of ρ becomes negligible before they "wrap around" due to the topology of $SE(3)$.

Using formulations of probability theory on SE(3), Eq.(47) can be thought as:

$$(\delta_{A_i} * \delta_X)(H) = (\delta_X * \delta_{B_i})(H) \tag{48}$$

where $*$ denotes the convolution of functions on $SE(3)$, as defined in the Appendix.

Because convolution is a linear operation on functions, the following equation can be obtained by adding all n instances of Eq.(48):

$$(f_A * \delta_X)(H) = (\delta_X * f_B)(H) \tag{49}$$

where

$$f_A(H) = \frac{1}{n}\sum_{i=1}^{n} \delta(A_i^{-1}H) \text{ and } f_B(H) = \frac{1}{n}\sum_{i=1}^{n} \delta(B_i^{-1}H).$$

The above functions can be normalized to be probability densities:

$$\int_{SE(3)} f_A(H)dH = \int_{SE(3)} f_B(H)dH = 1.$$

See the Appendix for a review of the properties of integration on $SE(3)$.

Let the mean and covariance of a probability density $f(H)$ be defined by the conditions:

$$\begin{aligned} &\int_{SE(3)} \log(M^{-1}H) f(H) dH = \mathbb{O} \text{ and} \\ &\Sigma = \int_{SE(3)} \log^{\vee}(M^{-1}H)[\log^{\vee}(M^{-1}H)]^T f(H) dH. \end{aligned} \tag{50}$$

If $f(H)$ is of the form of $f_A(H)$ given above, then

$$\begin{aligned} &\sum_{i=1}^{n} \log(M_A^{-1}A_i) = \mathbb{O} \text{ and} \\ &\Sigma_A = \frac{1}{n}\sum_{i=1}^{n} \log^{\vee}(M_A^{-1}A_i)[\log^{\vee}(M_A^{-1}A_i)]^T. \end{aligned} \tag{51}$$

It can be shown that if these quantities are computed for two highly focused functions, f_1 and f_2, that the same quantities for the convolution of these functions can be closely approximated as [43]:

$$M_{1*2} = M_1 M_2 \text{ and } \Sigma_{1*2} = Ad(M_2^{-1})\Sigma_1 Ad^T(M_2^{-1}) + \Sigma_2 \tag{52}$$

where

$$Ad(H) = \begin{pmatrix} R & \mathbb{O} \\ \widehat{\mathbf{x}}R & R \end{pmatrix} \tag{53}$$

and $\widehat{\mathbf{a}}$ is the skew-symmetric matrix such that $\widehat{\mathbf{a}}\mathbf{b} = \mathbf{a} \times \mathbf{b}$.

The mean of $\delta_X(H)$ is $M_X = X$, and its covariance is the zero matrix. Therefore, Eq.(49) together with Eq.(52) gives two "Batch Method" equations:

$$\boxed{M_A X = X M_B} \tag{54}$$

and

$$\boxed{Ad(X^{-1})\Sigma_A Ad^T(X^{-1}) = \Sigma_B} \tag{55}$$

In section 3.8.2, it will be shown how X can be recovered using Eq.(54) and Eq.(55).

3.8.2 A Batch Method Solution

Starting with Eq.(54), the solution space of X can be defined as a cylinder. Specifically Eq.(54) can be rewritten as:

$$\log^{\vee}(M_A) = Ad(X)\log^{\vee}(M_B). \tag{56}$$

In the case of general M_A and M_B (i.e., not degenerate cases in which the rotation angle[1] is outside of the range $(0,\pi)$, the solution space of all possible Xs that satisfy this equation is known to be two dimensional. This can be seen by defining

$$\log^{\vee}(M) = \begin{pmatrix} \omega \\ \mathbf{v} \end{pmatrix} = \begin{pmatrix} \theta\,\mathbf{n} \\ \mathbf{v} \end{pmatrix},$$

[1] This angle is computed from the Frobenius norm $\theta_A = \|\frac{1}{2}\log R_A\| = \|\frac{1}{2}\log R_B\| = \theta_B$.

where $\omega \in \mathbb{R}^3$ and $\mathbf{v} \in \mathbb{R}^3$ are the elements of the Lie Algebra, and writing the rotation and translation parts of Eq.(56) separately,

$$\mathbf{n}_A = R_X \mathbf{n}_B \quad \text{and} \tag{57}$$

$$\mathbf{v}_A = \theta_B \widehat{\mathbf{t}_X} R_X \mathbf{n}_B + R_X \mathbf{v}_B. \tag{58}$$

The first of these equations has a one-dimensional solution space of the form $R_X = R(\mathbf{n}_A, \mathbf{n}_B) R(\mathbf{n}_B, \phi)$ where $\phi \in [0, 2\pi)$ is free and $R(\mathbf{n}_A, \mathbf{n}_B)$ is any rotation matrix that rotates the vector $\mathbf{n}_B$ into $\mathbf{n}_A$. In particular, choose

$$R(\mathbf{n}_A, \mathbf{n}_B) = \mathbb{I} + \widehat{\mathbf{n}_B \times \mathbf{n}_A} + \frac{(1 - \mathbf{n}_B \cdot \mathbf{n}_A)}{\|\mathbf{n}_B \times \mathbf{n}_A\|^2} \left(\widehat{\mathbf{n}_B \times \mathbf{n}_A} \right)^2. \tag{59}$$

The rotation $R(\mathbf{n}_B, \phi)$ is given by Euler's formula:

$$R(\mathbf{n}_B, \phi) = \mathbb{I} + \sin\phi\, \widehat{\mathbf{n}_B} + (1 - \cos\phi)\, (\widehat{\mathbf{n}_B})^2.$$

Substituting $R_X = R(\mathbf{n}_A, \mathbf{n}_B) R(\mathbf{n}_B, \phi)$ into Eq.(58) and rearranging terms, we get:

$$\frac{R(\mathbf{n}_A, \mathbf{n}_B) R(\mathbf{n}_B, \phi) \mathbf{v}_B - \mathbf{v}_A}{\theta_B} = \widehat{\mathbf{n}_A} \mathbf{t}_X. \tag{60}$$

The skew-symmetric matrix $\widehat{\mathbf{n}_A}$ has a rank of 2, so a free translational degree of freedom exists in $\mathbf{t}_X$ along the $\mathbf{n}_A$ direction. $\mathbf{t}_X$ can thus be described as:

$$\mathbf{t}_X = \mathbf{t}(s) = s\, \mathbf{n}_A + a\, \mathbf{m}_A + b\, \mathbf{m}_A \times \mathbf{n}_A \tag{61}$$

where $s \in \mathbb{R}$ is a second free parameter, $\mathbf{m}_A$ and $\mathbf{m}_A \times \mathbf{n}_A$ are defined to be orthogonal to $\mathbf{n}_A$ by construction. If $\mathbf{n}_A = [n_1, n_2, n_3]^T$ and n_1, n_2 are not simultaneously zero, then one can define[2]

$$\mathbf{m}_A \doteq \frac{1}{\sqrt{n_1^2 + n_2^2}} \begin{pmatrix} -n_2 \\ n_1 \\ 0 \end{pmatrix}.$$

The coefficients a and b are then computed by substituting Eq.(61) into Eq.(60) and using the fact that $\{\mathbf{n}_A, \mathbf{m}_A, \mathbf{n}_A \times \mathbf{m}_A\}$ is an orthonormal basis for $\mathbb{R}^3$. Explicitly,

$$a = -\left(\frac{R(\mathbf{n}_A, \mathbf{n}_B) R(\mathbf{n}_B, \phi) \mathbf{v}_B - \mathbf{v}_A}{\theta_B} \right) \cdot (\mathbf{m}_A \times \mathbf{n}_A) \quad \text{and}$$

$$b = \left(\frac{R(\mathbf{n}_A, \mathbf{n}_B) R(\mathbf{n}_B, \phi) \mathbf{v}_B - \mathbf{v}_A}{\theta_B} \right) \cdot \mathbf{m}_A.$$

This means that the feasible solutions can be completely parameterized as:

$$X(\phi, s) = H(R(\mathbf{n}_A, \mathbf{n}_B) R(\mathbf{n}_B, \phi), \mathbf{t}(s)) \tag{62}$$

where $(\phi, s) \in [0, 2\pi) \times \mathbb{R}$.

To provide the additional constraints needed to solve for X, decompose Σ_A and Σ_B into blocks as:

$$\Sigma_i = \begin{pmatrix} \Sigma_i^1 & \Sigma_i^2 \\ \Sigma_i^3 & \Sigma_i^4 \end{pmatrix}$$

where $\Sigma_i^3 = (\Sigma_i^2)^T$, then take the first two blocks of Eq.(55) and write:

$$\begin{aligned} \Sigma_{M_B}^1 &= R_X^T \Sigma_{M_A}^1 R_X \text{ and} \\ \Sigma_{M_B}^2 &= R_X^T \Sigma_{M_A}^1 R_X (\widehat{R_X^T t_X}) + R_X^T \Sigma_{M_A}^2 R_X. \end{aligned} \tag{63}$$

Calculate the eigendecomposition as $\Sigma_i = Q_i \Lambda Q_i^T$, where Q_i is the square matrix whose ith column is the eigenvector of Σ_i and Λ is the diagonal matrix with corresponding eigenvalues as diagonal entries. Write the first block of Eq.(63) as [38, 44]:

$$\Lambda = Q_{M_B}^T R_X^T Q_{M_A} \Lambda Q_{M_A}^T R_X Q_{M_B} = Q \Lambda Q^T. \tag{64}$$

The set of Qs that satisfy this equation will be given as:

$$\begin{aligned} Q = \Bigg\{ &\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \\ &\begin{pmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{pmatrix} \Bigg\} \end{aligned} \tag{65}$$

This means that the rotation component of X is given by:

$$R_x = Q_{M_A}\, Q\, Q_{M_B}^T. \tag{66}$$

When Λ has repeated entries, a continuum of symmetries result. For example, in the extreme case when $\Lambda = \lambda \mathbb{I}_3$, the finite set Q would be replaced by $SO(3)$, which is the same as saying that the first equation in Eq.(63) imposes no constraint. In general, it is possible to construct trajectories that define the sets $\{A_i\}$ and $\{B_j\}$ such that this does not happen, and so the Batch method limits the discussion to the case where Eq.(65) holds.

[2] The special case when they are simultaneously zero is a set of measure zero, and hence is a rare event. Nevertheless, it is easy to handle, since in this case R_A is necessarily a rotation around $\mathbf{e}_3$.

Once the four possibilities of R_X are found in this manner, the corresponding possible $\mathbf{t}_X$ can be found easily from the block 2 and 4 of in Eq.(63)(b).

The correct solution, from the set of 4 possibilities (given Eq.(66)), can be found by applying the cylinder constraints as in Eq.(57) and Eq.(58). This is achieved by choosing the possibility that minimizes a cost function, such as $\|\mathbf{n}_{M_A} - R_X\mathbf{n}_{M_B}\| + w\|\mathbf{v}_{M_A} - \theta_{M_B}\widehat{\mathbf{t}_X}R_X\mathbf{n}_{M_B} + R_X\mathbf{v}_{M_B}\|$ where w is an appropriately chosen weighting factor, and in this case, it is chosen to be unity.

4 DATA SELECTION AND ERROR METRICS

When performing the hand-eye calibration in the experiment, the accuracy of the calibrated X is highly dependent on the data that is obtained in the process. Tsai and Lenz [1] proposed several principles on designing the movement of the robot. This is useful when the motion planning under these constraints is practical. However, data selection has to be considered when such movement is not applicable, such as when there is a lack of free space or a hand-held sensor is used. In this section, several principles and methods for data selection are reviewed. In addition, different error metrics are also discussed to give a more complete picture of the $AX = XB$ problem.

4.1 Data Selection

Selection of well defined (A_i, B_i) is very important for the $AX = XB$ solvers. Data selection methods for off-line application have been proposed in [44–46], and corresponding selection techniques for on-line solvers are introduced in [47, 48]. For probabilistic methods, data sets $\{A_i\}$ and $\{B_i\}$ must be highly concentrated, which means small and relative motions are preferable [38]. This is opposite to the data selection criterion of other non-probabilistic approaches.

To determine the hand-eye transformation, at least 2 non-parallel rotation axes from the data pairs are needed (which is also referred at the non-parallelism criterion), and further data selection algorithms all build on top of this. In the error analysis of [1], four observations are given to show the relationships between the errors in rotation and translation and the features of the robot motions. In addition, seven steps are suggested to improve the calibration accuracy. Shi *et al.* [47] developed a motion selection algorithm based on three out of the four observations in [1]. However, the thresholds in [47] are chosen in a heuristic manner. To fix this problem, Zhang *et al.* [48] proposed an adaptive selection method which can update the thresholds online. All of the above approaches share some common standards such as small relative rotations between (A_i, B_i) and (A_{i+1}, B_{i+1}) should be avoided, and the rotation angles for both $\{A_i\}$ and $\{B_i\}$ should be large enough to avoid the singularity of the representation. The Kronecker product method, however, has a tolerance on small robot motions as pointed out in section 3.5. It also offers an algebraic analysis to show what information of X can be obtained using certain type and number of motions. Interesting results are: (1) three independent pure translations can fully define R_X but not $\mathbf{t}_X$; (2) with two or more independent pure rotations, both R_X and $\mathbf{t}_X$ can be recovered. A more detailed summary can be seen in the Table 1 of [31]. Schmidt *et al.* [3] brought up a data selection for the dual quaternion hand-eye calibration algorithm based on a RANSAC approach, which shows that the dual quaternion method can give a better X after data selection, while the algorithm can even fail otherwise. However, the computation of all possible relative movements from the data set results in a long computational time. For a set of continuous robot motions, a sequence of images or sensor recorded information will be obtained. But due to the small difference between consecutive images or sensor recordings, it is often undesirable to process the data in the temporal order because this can yield high errors. Schmidt *et al.* [45] then proposed a vector quantization based data selection technique which selects a globally consistent set of motions that optimizes the non-parallelism criterion. The main idea is to select a subset of the given rotation axes of (A_i, B_i) using clustering algorithms. It is shown that compared to the above two approaches, the algorithm presented is both fast and accurate. Ackerman *et al.* [44] also proposed a method which uses the Euclidean group invariants in the structure of $\{A_i\}$ and $\{B_i\}$ to realign asynchronous data streams.

4.2 Error Metrics

There are multiple ways to define the errors of rigid body transformation, and some methods rely heavily on the metric that is chosen [49]. One approach is to measure the errors of R_x and $\mathbf{t}_X$ simultaneously which is rarely seen in more recent literature. The other approach is to measure the rotation error and translation error separately.

4.2.1 Metrics for Rotation and Translation Errors

Various metrics of rotation error have been used in the literature. In [1] and [28], the matrix error metric is defined as:

$$E_{rot} \doteq \|R_{X_{true}} - R_{X_{calc}}\|. \tag{67}$$

However, this is less preferable because rotation matrices lie in $SO(3)$ and the deduction operation is not defined for $SO(3)$. In [30] and [31], the quaternion error metric is used as:

$$E_{rot} \doteq \|\mathbf{q}_{X_{true}} - \mathbf{q}_{X_{calc}}\|. \tag{68}$$

As noted in [29], $\|R_{X_{true}} - R_{X_{calc}}\|_F$ is $2\sqrt{2}$ times larger than $\|\mathbf{q}_{X_{true}} - \mathbf{q}_{X_{calc}}\|$ so it is important to maintain a consistent error metric especially for results comparison. Another way is to calculate the norm of the relative rotation between X_{true} and X_{calc} as:

$$E_{rot} \doteq \|R_{X_{true}}^T R_{X_{calc}}\|. \tag{69}$$

In [38], the Lie algebra error metric is defined for the relative rotation $R_{X_{true}}^T R_{X_{calc}}$ as:

$$E_{rot} \doteq \| \log^\vee (R_{X_{true}}^T R_{X_{calc}}) \|. \tag{70}$$

Metrics for the translation error are relatively simple because translation lies in the Euclidean space. A common way is to use the relative translation error to eliminate the influence of the translation unit:

$$E_{tran} \doteq \frac{\|\mathbf{t}_{X_{true}} - \mathbf{t}_{X_{calc}}\|_2}{\|\mathbf{t}_{X_{true}}\|_2}. \tag{71}$$

Conventionally, multiple trials will be performed at each fixed noise level in numerical simulation, and the "averaged" errors in rotation and translation are defined in [28] as:

$$e_{rot} \doteq \sqrt{\frac{1}{N}\sum_{i=1}^{N} E_{rot}^2}, \tag{72}$$

$$e_{tran} \doteq \sqrt{\frac{1}{N}\sum_{i=1}^{N} E_{tran}^2}. \tag{73}$$

It should be noted due to the diversity of rotation error metrics, it is still to be seen that which metric is better or whether different metrics make a difference at all.

5 CONCLUSION AND FUTURE DIRECTIONS

In this paper, the "AX=XB" formulation of the sensor calibration problem is examined, which is widely used in camera calibration, humanoid head-eye calibration, robot eye-to-hand calibration, aerial vehicle sensor calibration and image guided therapy (IGT) sensor calibration. A review of some of the most influential and effective methods was presented and their positive and negative traits were discussed. For the various $AX = XB$ solvers, the focus is put on the case where there is noise on the incoming sensor data, and therefore multiple sensor readings are needed. It was clear that each algorithm has strengths and problems in different contexts, and it is important to use the appropriate method for the circumstance.

In addition to measurement error contributing to noise, it is emphasized that the sensor data streams containing the As and Bs may present at different sample rates, be asynchronous, and each stream may contain gaps in information. Therefore, a probabilistic method is reviewed in detail which is for calculating the calibration transformation that works for data without any a priori knowledge of the correspondence between the As and Bs. Data selection is of critical importance to $AX = XB$ solvers. Depending on the quality of the data pairs, the usage of data selection technique can either greatly improve the final result or prevent the solver from failing.

Though many algorithms are available for hand-eye calibration, which algorithm is preferable for the given type and number of motions is still unclear. It will be helpful to categorize the type of motions and their corresponding preferable solvers.

Appendix A: Integration and Convolution on SE(3)

This appendix reviews those features of integration and convolution on the group $SE(3)$ that are relevant to the formulation in this paper. For more detailed treatments see [42, 50].

Integration

$SE(3)$ is a six-dimensional matrix Lie group. If $H = H(\mathbf{q})$ where $\mathbf{q} = [q_1, ..., q_6]^T$ is a global set of coordinates, then functions $f : SE(3) \to \mathbb{R}$ can be integrated as

$$\int_{SE(3)} f(H) dH \doteq \int_{\mathbf{q}\in D} f(H(\mathbf{q}))|J(\mathbf{q})| d\mathbf{q}$$

where D is the domain of integration in parameter space and $d\mathbf{q} = dq_1 dq_2 \cdots dq_6$. The Jacobian determinant $|J(\mathbf{q})|$ is computed from the Jacobian matrix

$$J(\mathbf{q}) = \left[\left(H^{-1} \frac{\partial H}{\partial q_1} \right)^\vee ; \left(H^{-1} \frac{\partial H}{\partial q_2} \right)^\vee ; \cdots \left(H^{-1} \frac{\partial H}{\partial q_6} \right)^\vee \right].$$

For example, if Cartesian coordinates are used for the translation vector and ZXZ Euler angles are used for rotations, then $\mathbf{q} = [x, y, z, \alpha, \beta, \gamma]^T$, $D = \mathbb{R} \times \mathbb{R} \times \mathbb{R} \times [0, 2\pi] \times [0, \pi] \times [0, 2\pi]$ and $J(\mathbf{q}) = \sin\beta$. And while D and $J(\mathbf{q})$ will change depending on what parameterization is used, the value of the integral itself does not, as it is a property of the Lie group and the function, and not how the function is expressed and the integral is computed in coordinates.

$SE(3)$ is unimodular, which means that the integation measure, $dH = |J(\mathbf{q})| d\mathbf{q}$, has the property that for any fixed $H_0 \in SE(3)$ and "well behaved fuction[3]" $f : SE(3) \to \mathbb{R}$, [42]

$$\int_{SE(3)} f(H_0 \circ H) dH = \int_{SE(3)} f(H \circ H_0) dH = \int_{SE(3)} f(H) dH. \tag{74}$$

In addition, it can be shown that when these conditions hold, so too does

$$\int_{SE(3)} f(H^{-1}) dH = \int_{SE(3)} f(H) dH. \tag{75}$$

[3] Here well behaved function means a function for which the integral exists, and hence $f \in L^1(SE(3))$, and later that the convolution integral exists, which is guaranteed by further requiring that $f \in L^2(SE(3))$. And so, with the notable exception of the Dirac delta function, the discussion is restricted to $f \in (L^1 \cap L^2)(SE(3))$.

A common source of confusion is that many books on Lie groups are concerned with compact Lie groups, which possess both bi-invariant metrics and bi-invariant integration measures. When going to the noncompact case, bi-invariant metrics generally do not exist (except for special cases such as products of tori and Euclidean spaces), and they do not exist for $SE(3)$. Though bi-invariant integration measures also do not exist in general, they do exist for a broader class of special noncompact Lie groups than those that have bi-invariant metrics, and this includes $SE(3)$.

Convolution

Given two functions, $f_1, f_2 \in (L^1 \cap L^2)(SE(3))$, the convolution is defined as

$$(f_1 * f_2)(H) \doteq \int_{SE(3)} f_1(K) f_2(K^{-1}H) dK. \qquad (76)$$

This integral can be rewitten in a number of equivalent forms using Eq.(74) and Eq.(75). Convolution inherits the associative property from the underlying group, which is written as

$$(f_1 * f_2) * f_3 = f_1 * (f_2 * f_3)$$

(where the dependence of these functions on H has been temporarily suppressed). In analogy with the way it inherit associativity, convolution also inherits noncommutativity for general functions, with the exception of special functions called "class functions".

If the class of functions is expanded to consider beyond $(L^1 \cap L^2)(SE(3))$ to include Dirac delta functions[4], which are the unique functions such that for every $f \in (L^1 \cap L^2)(SE(3))$

$$(f * \delta)(H) = (\delta * f)(H) = f(H),$$

then the result is the "group algebra" consisting of functions as elements, and the two operations of convolution and addition of functions: $f_1 * f_2$ and $f_1 + f_2$. A slightly further expansion of allowable functions to include shifted delta functions of the form:

$$\delta_X(H) \doteq \delta(X^{-1}H) = \delta(HX^{-1}).$$

The unshifted delta function is an example of a symmetric function, in that $\delta(H) = \delta(H^{-1})$.

Using the properties of the invariant integral on $SE(3)$, convolving a shifted delta function with an arbitrary function transfers the shift:

$$\begin{aligned}(\delta_X * f)(H) &= \int_{SE(3)} \delta(X^{-1}K) f(K^{-1}H) dK \\ &= \int_{SE(3)} \delta(J) f((XJ)^{-1}H) dK = f(X^{-1}H)\end{aligned} \qquad (77)$$

where the change of variables $J = X^{-1}K$ and the invariance of integration have been used.

[4] As in $\mathbb{R}^n$, these can be thought of as spikes of infinite height and infinitesimal width centered on the identity

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