Simultaneous Coordinate Calibrations by Solving the AX=YB Problem without Correspondence*

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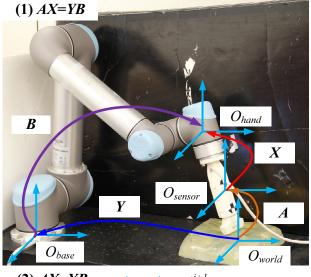
Abstract—In image-guided systems, the relative transformations of hand-eye (X) and robot-world (Y) coordinates have to be calculated and a simultaneous solution is useful in sensor calibration problem. Due to the asynchrony of sensors' timing, the exact correspondence between A and B is unknown, and a common scenario is when there is a constant shift between the two data streams. A probabilistic method is presented to solve the homogeneous matrix equations without a priori knowledge of the correspondence. Using the Euclidean-Group invariants, an exact solution can be found. For noisy and shifted data streams, we numerically simulated the proposed method, and the results show the efficiency and robustness.

I. Introduction

Image-guided system has been widely used in robotics such as robot assisted surgery, (more examples). Sensors such as a camera, a laser scanner or an ultrasound probe are usually mounted on the distal end of a robotic manipulator. For a typical "hand-eye" system as described above, the relative transformation of the sensor with respect to the endeffector should be accurately calibrated, and it is often characterized as the well known AX=XB problem. A variation of this problem is the AX=YB problem, where both the hand-eye transformation and the pose of the robot base with respect to the world frame need to be calibrated. In a typical environment setup, the relationships among the sensor frame, robot frame and world frame are variant and the uncertainties exist. Therefore, simultaneous coordinate calibrations have to be determined frequently in order to enable the robots to respond to dynamic environments.

In the AX=YB problem, As and Bs can be respectively obtained via different sensors. The data streams can be in an asynchronous fashion due to the different working frequencies of the sensors. The asynchrony causes a shift between the two streams of data which damages the correspondence between As and Bs. In this paper, a novel method is presented to solve for X and Y without the need to know a priori knowledge of the correspondence between As and Bs.

The hand-eye calibration problem can be modeled as AX = XB, where A and B are the homogeneous transfor-



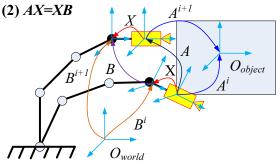


Fig. 1. (1) The hand-eye and robot-world calibration problem which is formulated as AX=YB (The universal robot as shown in the picture is owned by professor Emad Boctor in the Johns Hopkins University). (2) The hand-eye calibration problem which is formulated as AX=XB.

mation matrices describing the relative motions of the end-effector and the sensor respectively. As shown in Fig. 1, $A = A^i(A^{i+1})^{-1}$ and $B = B^i(B^{i+1})^{-1}$. Given multiple pairs of (A_i, B_i) with correspondence, many methods have been proposed to solve for X. To the best of the authors' knowledge, Shiu [1] and Tsai [2] are the first to solve the AX = XB sensor calibration problem. The other methods include but are not limited to the quaternion, dual quaternion, screw theory, Lie group theory, convex optimization and gradient descent methods [3]–[9]. All of the methods above assume a prior knowledge of exact correspondence between A_i and B_i . For data streams $\{A_i\}$ and $\{B_j\}$ that are asynchronous, several methods have been proposed in the literature to solve for X using data without correspondence. Theses methods assume that there is exact knowledge of the

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correspondence between $\{A\}$ and $\{B\}$ [10]–[12].

Simultaneous estimation of the hand-eye and robot-world transformations has been viewed as the AX=YB problem. As shown in Fig. 1, Y is the transformation from the robot base to the world frame, A denotes the pose of the sensor in the world frame and B is the transformation from the endeffector to its fixed base. The A and B in AX=YB are different from those in AX=XB where the former uses absolute transformations and the latter uses relative transformations. This problem has been solved by many different methods such as the Kronecker product, quaternion, dual quaternion, and nonlinear optimization methods [13]-[20]. Simulatneous calibrations of X and Y can be problematic in that all the methods above assume exact correspondence between $\{A_i\}$ and $\{B_i\}$, which is not the case in the real world. Another similar problem involves the calibration of multiple robots in terms of hand-eye, tool-flange and robot-robot system, and it is formulated as the **AXB=YCZ** problem [21]. Simultaneous solution for X and Y in AX=YB problem is a challenging issue. In the above methods, the correspondence between Aand B is known a prior. In this paper, we focus on a case of AX=YB problem where there is no a priori knowledge of the correspondence between the data streams.

The rest of the paper is organized as follows. In Section II, a novel probabilistic method is presented to solve for X and Y. In Section III, an algorithm involving both correlation theorem and Euclidean group invariants is proposed to recover the correspondence between $\{A_i\}$ and $\{B_j\}$. The simulation results which deal with noisy data without correspondence are illustrated in Section IV. Finally, conclusions are drawn based on the numerical results and possible future works are pointed out.

II. SOLVING AX=YB USING A PROBABILISTIC METHOD ON MOTION GROUPS

In this section, a brief introduction to the concepts of probability density function on the special Eucledian group SE(3) is presented and the probabilistic representation of AX = YB are derived.

Any rigid transformation matrix can be viewed as a group element of SE(3) :

$$H(R,t) = \begin{pmatrix} R & t \\ 0^T & 1 \end{pmatrix} \in SE(3), \ R \in SO(3)$$
 (1)

where SO(3) denotes the special orthogonal group, $t \in \mathbb{R}^3$ is translational vector and H is symbol for group element.

Given a large set of pairs $(A_i, B_i) \in SE(3) \times SE(3)$ where $i = 1, \dots, n$, the following equation is true if the correspondence is known as a priori:

$$A_i X = Y B_i. (2)$$

For a group element $H\in SE(3)$, a Dirac delta function $\delta(H)$ is defined to be finite only at the identity and zero elsewhere:

$$\delta(H) = \begin{cases} +\infty, & H = I \\ 0, & H \neq I \end{cases}$$
 (3)

It also satisfies the identity constraint as:

$$\int_{SE(3)} \delta(H)dH = 1. \tag{4}$$

A shifted Dirac delta function can be defined as $\delta_A(H)=\delta(A^{-1}H)$. Given $K,H\in SE(3)$ and two well-defined functions $f_1,f_2\in \left(L^1\cap L^2\right)(SE(3))$, their convolution on SE(3) is defined as:

$$(f_1 * f_2)(H) = \int_{SE(3)} f_1(K) f_2(K^{-1} \circ H) dK.$$
 (5)

Employing the properties of δ function, it is straightforward to see that:

$$(f * \delta)(H) = \int_{SE(3)} f(K)\delta(K^{-1} \circ H)dK = f(H).$$
 (6)

Therefore, for each A_i and B_i , the following equations can be obtained:

$$(\delta_{A_i} * \delta_X)(H) = \delta(A_i^{-1} H X^{-1}) \tag{7a}$$

$$(\delta_Y * \delta_{B_i})(H) = \delta(Y^{-1}HB_i^{-1}).$$
 (7b)

Using Eq.(2), the above two equations can be combined into a single equation as:

$$(\delta_{A_i} * \delta_X)(H) = (\delta_Y * \delta_{B_i})(H) \tag{8}$$

Define the probability density function of $\{A_i\}$ and $\{B_i\}$ as:

$$f_A(H) = \frac{1}{n} \sum_{i=1}^{n} \delta_{A_i}(H)$$
 (9a)

$$f_B(H) = \frac{1}{n} \sum_{i=1}^n \delta_{B_i}(H)$$
 (9b)

Using the distributivity of convolution, add n instances of Eq.(9) and substitute Eq.(8) in can give:

$$(f_{A_i} * \delta_X)(g) = (\delta_Y * f_{B_i})(g) \tag{10}$$

For each of the data stream $\{A_i\}$ and $\{B_i\}$, small relative motions are calculated using consecutive transformations. Take $\{A_i\}$ for example, one metric of the distance between A_i and A_{i+1} can be defined as:

$$d^{2}(A_{i}, A_{i+1}) = || \Delta A ||_{W}^{2} = trace[(\Delta A)W(\Delta A)^{T}] = \epsilon,$$
(11)

where $\Delta A = A_i - A_{i+1}$ and $0 < \epsilon \ll 1$.

The convolution of two "highly focused" probability density functions (PDF) have some interesting properties that can be used to solve for X. In particular, define the mean M and covariance Σ of a probability density function on SE(3) as:

$$\int_{SE(3)} log(M^{-1}H))f(H)dH = 0$$
 (12a)
$$\Sigma = \int_{SE(3)} log^{\vee}(M^{-1}H)[log^{\vee}(M^{-1}H)]^T f(H)dH$$
 (12b)

Then the corresponding discrete version is:

$$\sum_{i=1}^{n} \log(M^{-1}H)) = 0 \tag{13a}$$

$$\Sigma = \sum_{i=1}^{n} \log^{\vee}(M^{-1}H)[\log^{\vee}(M^{-1}H)]^{T}.$$
 (13b)

Given $\{A_i\}$ where the cloud of frames A_i clustering around M_A , an iterative formula can be used for computing M_A [22] as:

$$^{k+1}M_A = ^k M_A \circ exp[\frac{1}{n} \sum_{i=1}^n log(^k M_A^{-1} \circ A_i)]$$
 (14)

An initial estimate of the iterative procedure can be chosen as ${}^0M_A = \frac{1}{n} \sum_{i=1}^n log(A_i)$, then a local minimum of M_A is obtained by solving a nonlinear optimization problem with the cost function being $||\sum_{i=1}^n log(M_A^{-1}A_i)||^2$. A similar procedure can be used to compute M_B . Σ_A and Σ_B are then straight forward to compute given known M_A and M_B .

The mean and covariance for the convolution $(f_1 * f_2)(g)$ of two highly focused functions f_1 and f_2 are calculated as in [22]:

$$M_{1*2} = M_1 M_2 \tag{15a}$$

$$\Sigma_{1*2} = Ad(M_2^{-1})\Sigma_1 Ad^T(M_2^{-1}) + \Sigma_2.$$
 (15b)

where

$$Ad(H) = \left(\begin{array}{cc} R & O \\ \hat{t}R & R \end{array} \right).$$

Because X and Y are constant, their corresponding PDF will be $\delta_X(g)$ and $\delta_Y(g)$, of which the mean and covariance are $M_X = X$, $\Sigma_X = \mathbb{O}_{6\times 6}$ and $M_Y = Y$, $\Sigma_Y = \mathbb{O}_{6\times 6}$, respectively. Therefore, the following equations can be obtained using Eq.(15):

$$M_A X = Y M_B \tag{16a}$$

$$Ad(X^{-1})\Sigma_A Ad^T(X^{-1}) = \Sigma_B. \tag{16b}$$

To solve the above equations, Eq.(16a) is decomposed into a rotational equation and a translational equation as below:

$$R_{M_A}R_X = R_Y R_{M_B} \tag{17a}$$

$$R_{M_A}t_X + t_{M_A} = R_Y t_{M_B} + t_Y.$$
 (17b)

 Σ_A and Σ_B can be decomposed into blocks as $\begin{pmatrix} \Sigma_A^1 & \Sigma_A^2 \\ \Sigma_A^3 & \Sigma_A^4 \end{pmatrix}$ and $\begin{pmatrix} \Sigma_B^1 & \Sigma_B^2 \\ \Sigma_B^3 & \Sigma_B^4 \end{pmatrix}$, respectively. Knowing

that $X^{-1}=\begin{pmatrix} R_X^T & -R_X^Tt_X \\ 0 & 1 \end{pmatrix}$, then the first two blocks of Eq.(16b) can be written as follows:

$$\Sigma_{M_B}^1 = R_X^T \Sigma_{M_A}^1 R_X \tag{18a}$$

$$\Sigma_{M_B}^2 = R_X^T \Sigma_{M_A}^1 R_X (\widehat{R_X^T t_X}) + R_X^T \Sigma_{M_A}^2 R_X. \quad (18b)$$

Because Eq.(18a) is a similarity transformation between $\Sigma^1_{M_B}$ and $\Sigma^1_{M_A}$, they share the same eigenvalues and can be eigendecomposed into $\Sigma^1_{M_A} = Q_{M_A} \Lambda Q^T_{M_A}$ and $\Sigma^1_{M_B} = Q_{M_B} \Lambda Q^T_{M_B}$ where Λ is a diagonal matrix whose diagonal elements are the eigenvalues of $\Sigma^1_{M_A}$ (or $\Sigma^1_{M_B}$), and Q_{M_A} (or Q_{M_B}) is a square matrix whose columns are the corresponding eigenvectors. The following equation is obtained after substituting $\Sigma^1_{M_B}$ and $\Sigma^1_{M_A}$ into Eq.(18a):

$$\Lambda = (Q_{M_A}^T R_X^T Q_{M_B}) \Lambda (Q_{M_B}^T R_X Q_{M_A}) = P \Lambda P^T$$
 (19)

where $P = Q_{M_A}^T R_X Q_{M_B}$. If Q_{M_A} and Q_{M_B} are further constrained to be rotation matrices, then a rotation matrix P that satisfies Eq.(19) can be:

$$\mathcal{P} = \left\{ \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} -1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{pmatrix} \right\}.$$
(20)

Therefore, there are eight candidates of R_X which can be calculated via $R_X = Q_{M_A} P Q_{M_B}^T$, and the corresponding t_X can be obtained from Eq.(18b). Given known X, Y can be solved for by $Y = M_A^{-1} X M_B^{-1}$. At last, eight candidate pairs of $\{X_k, Y_k\}$ can be obtained as:

$$X_k = \begin{pmatrix} R_{X_k} & t_{X_k} \\ \mathbf{0}^T & \mathbf{1} \end{pmatrix}, \quad Y_k = \begin{pmatrix} R_{Y_k} & t_{Y_k} \\ \mathbf{0}^T & \mathbf{1} \end{pmatrix}$$
 (21)

where k = 1, 2, ..., 8.

The problem then becomes selecting the best pair of $\{X_k, Y_k\}$ from the eight candidates. Based on the screw theory (references?), it is known that a homogeneous transformation H can be expressed by the four screw parameters $(\theta, d, \mathbf{n}, \mathbf{p})$ as:

$$H = \begin{pmatrix} e^{\theta \hat{\mathbf{n}}} & (\mathbf{I}_3 - e^{\theta \hat{\mathbf{n}}})\mathbf{p} + d\mathbf{n} \\ \mathbf{0}^T & \mathbf{1} \end{pmatrix}$$
 (22)

where θ , d, \mathbf{n} and \mathbf{p} are ?.

Moreover, $AX_k = Y_kB$ can be written as $AX_k = X_k(X_k^{-1}Y_kB)$. Define $B^k = X_k^{-1}Y_kB$, and we will have $AX_k = X_kB^k$. There exist two Euclidean-Group invariant relationships for each pair of $(A_i, B_i^k)(i = 1, \cdots, n; k = 1, \ldots, 8)$ as follows:

$$\theta_{A_i} = \theta_{B^k}, d_{A_i} = d_{B^k} \tag{23}$$

Among the four pairs (X_k, Y_k) , one can find an optimal solution which minimizes the cost function defined as:

$$(X,Y) = \underset{(X_k,Y_k)}{\operatorname{arg}min} \frac{1}{n} \sum_{i=1}^{n} (\|\theta_{A_i} - \theta_{B_i^k}\| + \|d_{A_i} - d_{B_i^k}\|)$$

The correspondences between $\{A_i\}$ and $\{B_j\}$ need to b recovered to pick the optimal X and Y.

III. SOLUTION WITH UNKNOWN CORRESPONDENCE BETWEEN A_i and B_i^k

In most cases, the homogeneous transformations $\{A_i\}$ and $\{B_j\}$ are calculated based on the data obtained from different sensors. Due to the asynchronous timing of the sensor readings, the correspondences between $\{A_i\}$ and $\{B_j^k\}$ is usually unknown. This section deals with the case where there is a shift between $\{A_i\}$ and $\{B_j\}$, and the Euclidean-Group invariants are used to recover the correspondence between the data streams. The advantage of the above probabilistic solution lies in that X and Y can be calculated even if there is not a priori knowledge of the correspondence. However, there are still eight possible candidates of (X_k, Y_k) to choose from and by using Euclidean-Group invariants, it is straightforward to determine which pair is the optimal one if the correspondence between A_i and B_i^k can be known.

The Discrete Fourier Transform (DFT) decomposes a time-domain signal into its constituent frequencies. The input is a finite list of equally spaced samples of a function. Given a discrete signal consisting of a sequence of N complex numbers $x_0, x_1, \cdots, x_{N-1}$, the DFT is denoted by $X_{\kappa} = \mathcal{F}(x_n)$ as:

$$X_{\kappa} = \sum_{n=0}^{N-1} x_n \cdot exp(-i\frac{2\pi}{N}n\kappa). \tag{25}$$

where i here is the imaginary unit.

The Inverse Discrete Fourier transform (IDFT) is denoted as:

$$x_n = \frac{1}{N} \sum_{n=0}^{N-1} X_{\kappa} \cdot exp(i\frac{2\pi}{N}n\kappa). \tag{26}$$

The discrete convolution of two sequences f_n and g_n are defined

$$(f * g)(\tau) = \sum_{i=0}^{N} f(t_i)g(t_i - \tau).$$
 (27)

In the convolution theorem, the Fourier transform of a convolution is the product of the Fourier transforms, namely:

$$f * g = \mathcal{F}^{-1}[\mathcal{F}(f) \cdot \mathcal{F}(g)]. \tag{28}$$

The correlation theorem indicates that the correlation function, Corr(f,g), will be larger for a shift vector where the two sequences f_n and g_n can share more similar features. The correlation can be obtained based on the convolution theorem. The DFT of Corr(f,g) is equal to the product of the DFT of f_n and the complex conjugate \mathcal{F}^* of the DFT of g_n :

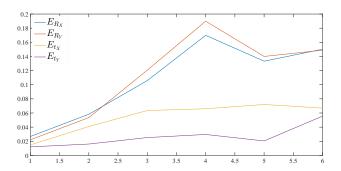


Fig. 2. The errors of translation and rotations versus the shift between data streams $\,A$ and $\,B$

$$Corr(f,g) = f \star g = \mathcal{F}^{-1}[\mathcal{F}(f) \cdot (\mathcal{F}(g))^*]. \tag{29}$$

Compared to the standard time-domain convolution algorithm, the complexity of the convolution by multiplication in the frequency domain is significantly reduced with the help of the convolution theorem and the fast Fourier transform (FFT).

Given two sequences $\{\theta_{A_i}\}$ and $\{\theta_{B_i^k}\}$ corresponding to $\{A_i\}$ and $\{B_i^k\}$, the shift that is needed to recover the data correspondence is obtained as below. Firstly, θ_{Ai} and $\theta_{B_i^k}$ are normalized as:

$$\theta_1 = \frac{(\theta_{A_i} - \mu_{A_i})}{\sigma_{A_i}}, \theta_2 = \frac{(\theta_{B_i^k} - \mu_{B_i^k})}{\sigma_{B_i^k}}$$
(30)

where $\mu_{A_i}(\mu_{B_i^k})$ is the mean of $\theta_{A_i}(\theta_{B_i^k})$ and $\sigma_{A_i}(\sigma_{B_i^k})$ is the standard deviation.

Here, the correlation function $Corr(\theta_1,\theta_2)$ is the function of the time sequence index n which describes the probability of these two sequences being separated by this particular unit. The index corresponding to the maximum of $Corr(\theta_1,\theta_2)$ indicates the amount of shift τ_{shift} between $\{\theta_{A_i}\}$ and $\{\theta_{B_i^k}\}$.

$$\tau_{shift} = \underset{index}{\operatorname{arg}max}(Corr(\theta_1, \theta_2)) \tag{31}$$

Therefore, the correspondence between the two sequences can be found. The data of θ_{A_i} or d_{A_i} are shifted by $-\tau_{shift}$ to obtain a sequence of new pairs $(\theta_{A_i}(i+\tau_{shift}),\theta_{B_i^k})$ and $(d_{A_i}(i+\tau_{shift}),d_{B_i^k})$, $max(i,i+\tau_{shift}) \leq i \leq min(i,i+\tau_{shift})$ TO BE REPHRASED. The data stream can be shifted back to regain correspondence once the shift is computed, and the correct solution of X and Y can also be recovered by minimizing the cost function Eq.(24) using the Euclidean-Group invariants as shown in Section II.

IV. SIMULATION STUDIES

For the numerical experiments in this section, the rotational and translational errors for X and Y are measured as $Error(R_X) = \parallel log^{\vee}(R_{X_{Solved}}^T R_{X_{true}}) \parallel, Error(t_X) = \parallel t_{X_{Solved}} - t_{X_{true}} \parallel, Error(R_Y) = \parallel log^{\vee}(R_{Y_{Solved}}^T R_{Y_{true}}) \parallel$ and $Error(t_Y) = \parallel t_{Y_{Solved}} - t_{Y_{true}} \parallel$ respectively.

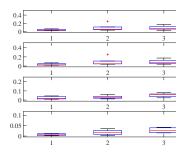


Fig. 3. The errors of translation and

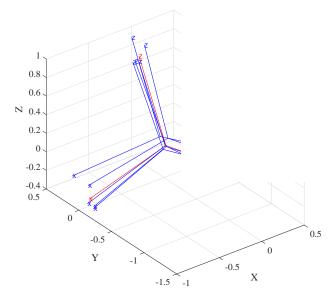


Fig. 4. The solved X (in blue) and the actual X (in red) for 5 trials of simulation with noise covariance 0.01 and shift 2.

There are multiple ways of generating the data streams $\{A_i\}$ and $\{B_i\}$. One way is to first generate $\{B_i\}$ and then map it to $\{A_i\}$ using $A=YBX^{-1}$. $\{B_i\}$ can be obtained by randomly sampling on the Lie algebra of B from a zero mean multivariate Gaussian distribution, where the mean $\mu=\mathbf{0}\in se(3)$ and covariance matrix $\Sigma\in\mathbb{R}^{6\times 6}$ are as follows:

$$\delta_i \in \mathcal{N}(\mathbf{0}; \Sigma) \subset \mathbb{R}^6$$
 (32a)

$$B_i = \exp(\hat{\delta_i}) \exp(\mu) \tag{32b}$$

The data stream $\{A_i\}$ can be easily obtained as described above. After employing the proposed probabilistic method, 8 sets of sequences $(\theta_{A_i}, \theta_{B_i^k})$ and $(d_{A_i}, d_{B_i^k})$ where $i = 1, \dots, 100$ and $k = 1, \dots, 8$ can be obtained respectively.

If the data streams $\{A_i\}$ were shifted by m units compared to the data stream $\{B_i\}$, then the maximum of cross correlation can be used to recover the shift. Once done, we shift the data stream $\{A_i\}$ back to recover the correspondence, which can help find a correct solution satisfying the Euclidean-Group invariants using Eq.(25). Therefore, only one pair of

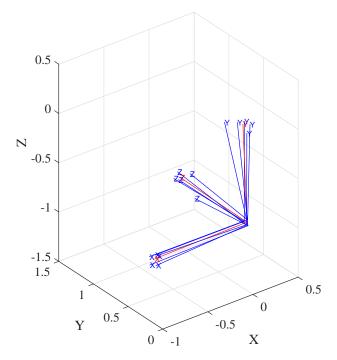


Fig. 5. The solved Y (in blue) and the actual Y (in red) for 5 trials of simulation with noise covariance 0.01 and shift 2.

 (X_k,Y_k) can be picked to minimize the cost function. In Fig. 2, as the shift between $\{A_i\}$ and $\{B_i\}$ increases, the errors of translations and rotations increment in general.

To test the robustness of the proposed method, noises are exerted to $\{B_i\}$ by the data stream $B_i^{noise}=B_i \exp(\widehat{\mathbf{x}}_{noise})$, where each element of the Lie Algebra \mathbf{x}_{noise} is a Gaussian distribution as $N\sim(\mu_{noise},\sigma_{noise})$. In Fig. 3, as σ_{noise} increments from 0.01 to 0.08, the errors of R_X , R_Y , t_X , and t_Y increase as shown in the box-and-whisker plot. There are several outliers outside the whiskers. The median value can be used as the final solved X and Y. Fig. 4 and Fig. 5 show the solved (X,Y)s in blue and the actual (X,Y) in red in the coordinate frame.

V. CONCLUSIONS

In this paper, we developed a probabilistic approach to simultaneously obtain X and Y in AX = YB sensor calibration problem. Without a prior knowledge of the correspondence between $\{A_i\}$ and $\{B_j\}$, the proposed probabilistic method on Lie group is used to constrain the possible solutions of X and Y to eight pairs of candidates. Given shifted data streams of $\{A_{i+s}\}$ and $\{B_i\}$, using the correlation theorem with Euclidean group invariants,the correspondence is recovered to determine the correct solution among the eight candidates. In the numerical simulation, the method performs well with different sets of data samples.

APPENDIX ACKNOWLEDGMENT

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