Planning with Attitude

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***Abstract*— Planning trajectories for floating-base robotic systems that experience large attitude changes is challenging due to the nontrivial group structure of 3D rotations. This paper introduces a powerful and accessible approach for optimization-based planning on the space of rotations using only standard linear algebra and vector calculus. We demonstrate the effectiveness of the approach by adapting Newton's method to solve the canonical Wahba's problem, and modifying the trajectory optimization solver ALTRO to plan directly on the space of unit quaternions, achieving superior convergence on problems involving significant changes in attitude.**

I. INTRODUCTION

Many robotic systems—including quadrotors, airplanes, satellites, autonomous underwater vehicles, and quadrupeds—can perform arbitrarily large three-dimensional translations and rotations as part of their normal operation. While representing translations is straightforward and intuitive, effectively representing the nontrivial group structure of 3D rotations has been a topic of study for many decades. Although we can intuitively deduce that rotations are three-dimensional, a globally non-singular three-parameter representation of the space of rotations does not exist [27]. As a result, when parameterizing rotations, we must either a) choose a three-parameter representation and deal with singularities and discontinuities, or b) choose a higher-dimensional representation and deal with constraints between the parameters. While simply representing attitude is nontrivial, generating and tracking motion plans for floating-base systems is an even more challenging problem.

Early work on control problems involving the rotation group dates back to the 1970s, with extensions of linear control theory to spheres [4] and $SO(3)$ [3]. Effective attitude tracking controllers have been developed for satellites [33], quadrotors [9, 20, 19, 13, 31, 23], and a 3D inverted pendulum [6] using various methods for calculating three-parameter attitude errors.

More recently, these ideas have been extended to trajectory generation [36], sample-based motion planning [37, 17], and optimal control. Approaches to optimal control with attitude states include analytical methods applied to satellites [26], discrete mechanics [16, 15, 18], a combination of sampling-based planning and constrained trajectory optimization for satellite formations [10, 2], projection operators [24], or more general theory for optimization on manifolds [32]. Nearly all of these methods rely heavily on principles from differential geometry and Lie group theory; however, despite these works, many recent papers in the robotics community continue to naively apply standard methods for motion planning and control with no regard for the group structure of rigid body motion [1, 7, 34, 11].

In this paper, we make a departure from previous approaches to geometric planning and control that rely heavily on ideas and notation from differential geometry, and instead use only basic mathematical tools from linear algebra and vector calculus that should be familiar to most roboticists. In Sec. III we introduce an approach to quaternion differential calculus similar to [21, 35], but significantly simpler and more general, enabling straight-forward adaptation of existing algorithms to systems with quaternion states. For concreteness, we then apply our method to the canonical Wahba's problem [30] in Sec. IV, and demonstrate superior convergence to approaches that fail to properly account for the group structure. In Sec. V we extend these ideas to the problem of trajectory optimization, and detail modifications to ALTRO, a state-of-the-art constrained trajectory optimization solver, and demonstrate performance gains on several benchmark problems. In summary, our contributions include:

- A unified approach to quaternion differential calculus entirely based on standard linear algebra and vector calculus.
- Derivation of a Newton-based algorithm for nonlinear optimization directly on the space of unit quaternions using our notation.
- a fast and efficient solver for trajectory optimization problems with attitude dynamics and nonlinear constraints that correctly accounts for the group structure of 3D rotations.

II. BACKGROUND

We begin by defining some useful conventions and notation. Attitude is defined as the rotation from the robot's body frame to a global inertial frame. We also define gradients to be row vectors, that is, for $f(x) : \mathbb{R}^n \to \mathbb{R}$, $\frac{\partial f}{\partial x} \in \mathbb{R}^{1\times n}$.

A. Unit Quaternions

We leverage the fact that quaternions are linear operators and that the space of quaternions $\mathbb{H}$ is isomorphic to $\mathbb{R}^4$ to explicitly represent—following the Hamilton convention—a quaternion $\mathbf{q} \in \mathbb{H}$ as a standard vector $q \in \mathbb{R}^4 := [q_s \;\; q_v^T]^T$ where $q_s \in \mathbb{R}$ and $q_v \in \mathbb{R}^3$ are referred to as the scalar and vector parts of the quaternion, respectively.

Quaternion multiplication is defined as

$$\mathbf{q}_2 \otimes \mathbf{q}_1 = L(q_2)q_1 = R(q_1)q_2 \tag{1}$$

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where $L(q)$ and $R(q)$ are orthonormal matrices defined as

$$L(q) := \begin{bmatrix} q_s & -q_v^T \\ q_v & q_s I + [q_v]^\times \end{bmatrix} \tag{2}$$

$$R(q) := \begin{bmatrix} q_s & -q_v^T \\ q_v & q_s I - [q_v]^\times \end{bmatrix}, \tag{3}$$

and $[x]^\times$ is the skew-symmetric matrix operator

$$[x]^\times := \begin{bmatrix} 0 & -x_3 & x_2 \\ x_3 & 0 & -x_1 \\ -x_2 & x_1 & 0 \end{bmatrix}. \tag{4}$$

The inverse of a unit quaternion q^{-1}, giving the opposite rotation, is equal to its conjugate q^*, which is simply the same quaternion with a negated vector part:

$$\mathbf{q}^* = Tq := \begin{bmatrix} 1 & \\ & -I_3 \end{bmatrix} q \tag{5}$$

The following identities, which are easily derived from (2)–(5), are extremely useful:

$$L(Tq) = L(q)^T = L(q)^{-1} \tag{6}$$

$$R(Tq) = R(q)^T = R(q)^{-1}. \tag{7}$$

We will sometimes find it helpful to create a quaternion with zero scalar part from a vector $r \in \mathbb{R}^3$. We denote this operation as,

$$\hat{r} = Hr \equiv \begin{bmatrix} 0 \\ I_3 \end{bmatrix} r. \tag{8}$$

Unit quaternions rotate a vector through the operation $\hat{r}' = \mathbf{q} \otimes \hat{r} \otimes \mathbf{q}^*$. This can be equivalently expressed using matrix multiplication as

$$r' = H^T L(q) R(q)^T H r = A(q) r, \tag{9}$$

where $A(q)$ is the rotation matrix in terms of the elements of the quaternion [14].

B. Rigid Body Dynamics

For clarity, we will restrict our attention to rigid bodies moving freely in 3D space. That is, we consider systems with dynamics of the following form:

$$x = \begin{bmatrix} r \\ R \\ v \\ \omega \end{bmatrix}, \quad \dot{x} = \begin{bmatrix} v \\ \frac{1}{2}\mathbf{q} \otimes \hat{\omega} = \frac{1}{2} L(q) H \omega \\ \frac{1}{m} F_G(x,u) \\ J^{-1}(\tau_L(x,u) - \omega \times J\omega) \end{bmatrix} \tag{10}$$

where x and u are the state and control vectors, $r \in \mathbb{R}^3$ is the position, $R \in SO(3)$ is the attitude TODO: why didn't we just make this q?, $v \in \mathbb{R}^3$ is the linear velocity, and $\omega \in \mathbb{R}^3$ is the angular velocity. $m \in \mathbb{R}$ is the mass, $J \in \mathbb{R}^{3\times3}$ is the inertia matrix, $F_G(x,u) \in \mathbb{R}^3$ are the forces in the global frame, and $\tau_L(x,u)$ are the moments in the local (body) frame TODO: Can we stick with just the term "body" throughout the paper (and maybe change L to B here)?.

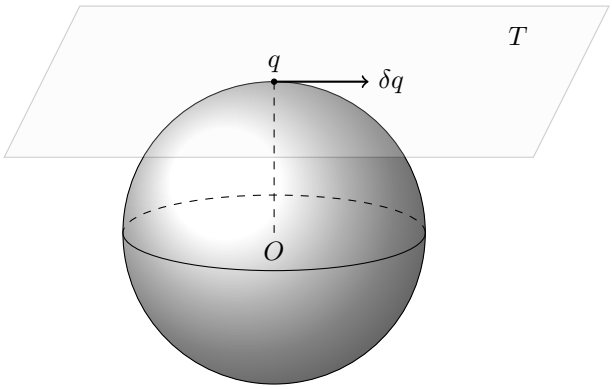

Fig. 1. When linearizing about a point q on an sphere $\mathbb{S}^{n-1}$ in n-dimensional space, the tangent space T is a plane living in $\mathbb{R}^{n-1}$, illustrated here with $n = 3$. Therefore, when linearizing about a unit quaternion $q \in \mathbb{S}^3$, the space of differential rotations lives in $\mathbb{R}^3$.

III. Quaternion Differential Calculus

We now present a simple but powerful method for taking derivatives of functions involving quaternions based on the notation and linear algebraic operations outlined in Sec. II-A.

Derivatives consider the effect an infinitesimal perturbation to the input has on an infinitesimal perturbation to the output. For vector spaces, the composition of the perturbation with the nominal value is simple addition and the infinitesimal perturbation lives in the same space as the original vector. For unit quaternions, however, neither of these are true; instead, they compose according to (1), and infinitesimal unit quaternions are (to first order) confined to a 3-dimensional plane tangent to $\mathbb{S}^3$ (see Fig. 1).

The fact that differential unit quaternions are three-dimensional should make intuitive sense: Rotations are inherently three-dimensional and differential rotations should live in the same space as angular velocities, i.e. $\mathbb{R}^3$.

There are many possible three-parameter representations for small rotations in the literature. Many authors use the exponential map [3, 36, 18, 24, 25, 8, 32], while others have used the Cayley map (also known as Rodrigues parameters) [16, 15], Modified Rodrigues Parameters (MRPs) [28], or the vector part of the quaternion [9]. We choose Rodrigues parameters [22] because they are computationally efficient and do not inherit the sign ambiguity associated with unit quaternions. The mapping between a vector of Rodrigues parameters $\phi \in \mathbb{R}^3$ and a unit quaternion q is known as the Cayley map:

$$q = \varphi(\phi) = \frac{1}{\sqrt{1 + \|\phi\|^2}} \begin{bmatrix} 1 \\ \phi \end{bmatrix}. \tag{11}$$

We will also make use of the inverse Cayley map:

$$\phi = \varphi^{-1}(q) = \frac{q_v}{q_s}. \tag{12}$$

A. Jacobian of Vector-Valued Functions

When taking derivatives with respect to quaternions, we must take into account both the composition rule and the

nonlinear mapping between the space of unit quaternions and our chosen three-parameter error representation.

Let $\phi \in \mathbb{R}^3$ be a differential rotation applied to a function with quaternion inputs $y = h(q) : \mathbb{S}^3 \to \mathbb{R}^p$, such that

$$y + \delta y = h(L(q)\varphi(\phi)) \approx h(q) + \nabla h(q)\phi. \tag{13}$$

We can calculate the Jacobian $\nabla h(q) \in \mathbb{R}^{p\times 3}$ by differentiating (13) with respect to ϕ, evaluated at $\phi = 0$:

$$\nabla h(q) = \frac{\partial h}{\partial q} L(q) H := \frac{\partial h}{\partial q} G(q) = \frac{\partial h}{\partial q} \begin{bmatrix} -q_v^T \\ s I_3 + [q_v]_\times \end{bmatrix} \tag{14}$$

where $G(q) \in \mathbb{R}^{4\times 3}$ is the *attitude Jacobian*, which essentially becomes a "conversion factor" allowing us to apply results from standard vector calculus to the space of unit quaternions. This form is particularly useful in practice since $\partial h/\partial q \in \mathbb{R}^{p\times 4}$ can be obtained using finite differences or automatic differentiation. As an aside, although we have used Rodrigues parameters, $G(q)$ is actually the same (up to a constant scalar factor) for any choice of three-parameter attitude representation.

B. Hessian of Scalar-Valued Functions

If the output of h is a scalar ($p = 1$), then we can find its Hessian by taking the Jacobian of (14) with respect to ϕ using the product rule, again evaluated at $\phi = 0$:

$$\nabla^2 h(q) = G(q)^T \frac{\partial^2 h}{\partial q^2} G(q) + I_3 \frac{\partial h}{\partial q} q, \tag{15}$$

where the second term comes from the second derivative of $\varphi(\phi)$. Similar to $G(q)$, this expression is the same (up to a constant scalar factor) for any choice of three-parameter attitude representation.

C. Jacobian of Quaternion-Valued Functions

We now consider the case of a function that maps unit quaternions to unit quaternions, $q' = f(q) : \mathbb{S}^3 \to \mathbb{S}^3$. TODO: Let's make sure we're bing consistent with our usage of $\mathbb{S}^3$ vs. $\mathbb{H}$. Here we must also consider the non-trivial effect of a differential rotation applied to the output, i.e.:

$$L(q')\varphi(\phi') = f(L(q)\varphi(\phi)). \tag{16}$$

Solving (16) for ϕ' we find,

$$\phi' = \varphi^{-1}\left(L(q')^T f(L(q)\varphi(\phi))\right) \approx \nabla f(q)\,\phi. \tag{17}$$

Finally, the desired Jacobian is obtained by taking the derivative of (17) with respect to ϕ:

$$\nabla f(q) = H^T L(q')^T \frac{\partial f}{\partial q} L(q) H = G(q')^T \frac{\partial f}{\partial q} G(q). \tag{18}$$

The leading $G(q')^T$ comes from the fact that as $\phi' \to 0$, $L(q')f(q) \to I_q$, where I_q is the quaternion identity. Once again, (18) holds (up to a constant) for any three-parameter attitude representation.

IV. Modifying Newton's Method

TODO: I would re-work this section to only talk about the details of doing it the "correct" way (i.e. consistent with the previous section). Then just call the standard version a "naive Newton method in which the quaternion is re-normalized at each step" and don't bother writing any of the mathematical details for that version. I think it will get confusing if you mix derivative types/notation.

Newton's method uses derivative information about a function to iteratively approximate its roots. For unconstrained systems, this method is highly effective, and can exhibit quadratic convergence. For constrained systems, the updated parameter can be projected back onto the feasible set at each iteration, but without the same convergence guarantees. For the constraints on $SO(3)$, Newton's method struggles to converge past a certain threshold due to this projection. By leveraging the quaternion calculus introduced, Newton's method can be modified to implicitly account for these constraints. To demonstrate this, we will examine Wahba's Problem. In 1965, Grace Wahba proposed the criterion for a least squares estimate of a spacecraft's attitude from vector measurements [30, 22]. We will solve this problem using a standard nonlinear least squares method, as well as a method that exploits the true group structure of $SO(3)$ using the quaternion calculus presented here.

A. Methodology

TODO: Let's keep our notation and language consistent for body vs. inertial frames. I propose "body" (B) and "inertial" or "world" (W), which is the standard in robotics. Given known vectors in some inertial frame, ${}^N v_i$, and measurements of these vectors in some body fixed frame, ${}^B v_i$, our goal is to determine the relative rotation from the body to inertial frame ${}^N q^B$, expressed as a quaternion. We can define Wahba's loss function as the following:

$$L = \sum_i w_i \left\| {}^N v_i - {}^N A(q)^B \, {}^B v_i \right\|_2^2 = \|r_i(q)\|_2^2 \tag{19}$$

TODO: Let's leave the w_i off for clarity since you're not using it later. where $r_i(q)$ is the residual vector.

We can solve for ${}^N q^B$ using a nonlinear least squares method minimizing Wahba's loss function:

$$\begin{aligned} \underset{q}{\text{minimize}} \quad & \|r(q)\|_2^2 \\ \text{subject to} \quad & q \in SO(3). \end{aligned}$$

TODO: This should be $q \in \mathbb{S}^3$ here, since unit quaternions are not isomorphic to $SO(3)$ (rotation matrices). Following the typical approach for Newton's method, we minimize (19) by setting the gradient to zero:

$$\begin{aligned} \frac{\partial L}{\partial q}^T &= \sum_i \frac{\partial r(q)}{\partial q}^T r_i q := J^T r(q) = 0 \\ &= \sum_i (-2H^T R(q)^T R({}^B \hat{v}_i)^T r(q). \end{aligned} \tag{20}$$

which can be obtained from the chain rule and (9).

Treating q as a vector in $\mathbb{R}^4$, we obtain a solution to (20) using the Moore-Penrose pseudoinverse, $\delta q = (J^T J)^{-1} J^T$, and our next candidate quaternion via simple addition, $q_{k+1} = q_k + \delta q$. Since q_{k+1} will no longer be unit norm, we project it back on the unit sphere via the projection operator $\Pi(q) = q/\|q\|$. This "projected" Newton approach is summarized in Algorithm 1.

Algorithm 1 Projected Gauss-Newton Method

$k = 0$
while significant progress **do**
  $J = \frac{\partial r(q_k)}{\partial \delta q}$
  $\delta q = -(J^T J)^{-1} J^T r(q_k)$
  $q_{k+1} = \Pi_{SO(3)}(q_k + \delta q)$
  $k = k + 1$
end while

Alternatively, if we instead minimize with respect to a differential quaternion ϕ, we adapt the algorithm by simply "correcting" our Jacobian J using (18):

$$\bar{J} = \frac{\partial r(\mathbf{q} \otimes \varphi(\phi))}{\partial \phi} = \frac{\partial r(q)}{\partial q} G(q). \tag{21}$$

We obtain our step—this time in the actual tangent space—as before: $\phi = (\bar{J}^T \bar{J})^{-1} \bar{J}^T$). To obtain our next iterate, we "add" the step using the correct notion of composition for the group: $\mathbf{q}_{k+1} = \mathbf{q}_k \otimes \varphi(\phi)$. This "multiplicative" Newton algorithm is summarized in Algorithm 2.

Algorithm 2 Multiplicative Gauss-Newton Method

$k = 0$
while significant progress **do**
  $\bar{J} = \frac{\partial r(q_k)}{\partial q} G(q_k)$ ▷ quaternion adjusted Jacobian
  $\phi = -(\bar{J}^T \bar{J})^{-1} \bar{J}^T r(q_k)$
  $\mathbf{q}_{k+1} = \mathbf{q}_k \otimes \varphi(\phi)$ ▷ apply step multiplicatively
  $k = k + 1$
end while

B. Results

As illustrated in Figure 2, it is clear that by projecting back onto the unit quaternion at each iteration make initial progress, but fails to exhibit the quadratic convergence typical of a Newton method. By optimizing directly in the space of unit quaternions, we achieve the expected quadratic convergence. It should also be clear from the previous section that the adaptations to the original Newton method are simple and straightforward, highlighting both the effectiveness and value of the proposed approach.

V. Trajectory Optimization on $\mathbb{R}^n \times SO(3)$

Here we outline the modifications to the ALTRO solver [12], to solve trajectory optimization problems for rigid bodies, which extends easily to arbitrary systems whose state is in $\mathbb{R}^n \times SO(3)$.

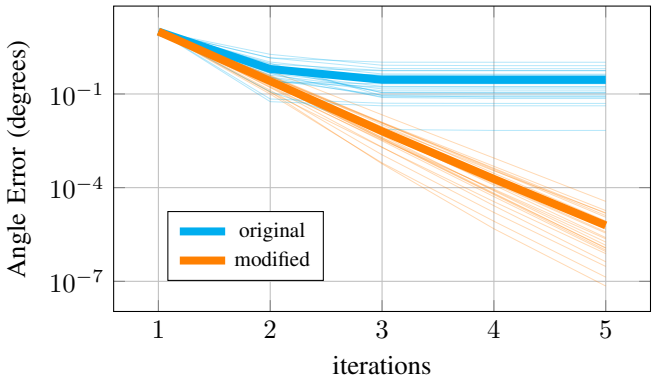

Fig. 2. Convergence comparison for Wahba's problem. By performing Newton's method on the error quaternion and applying the result to the full quaternion we achieve quadratic convergence, whereas the more naïve approach doesn't converge to zero error. The angle error is calculated relative to the true analytical solution obtained via an SVD decomposition.

We consider trajectory optimization problems of the form,

$$\begin{aligned} \underset{x_{0:N},\, u_{0:N-1}}{\text{minimize}} \quad & \ell_f(x_N) + \sum_{k=0}^{N-1} \ell(x_k, u_k) \\ \text{subject to} \quad & x_{k+1} = f(x_k, u_k), \\ & g_k(x_k, u_k) \leq 0, \\ & h_k(x_k, u_k) = 0, \end{aligned} \tag{22}$$

where x and u are the state and control vectors as described in Sec. II-B, f are the dynamics as defined in (10), ℓ is a general nonlinear cost function, N is the number of time steps, and g_k, h_k are general nonlinear inequality and equality constraints.

Like most gradient or Newton-based methods for optimization, ALTRO approximates the nonlinear functions f, ℓ, g, and h with their first or second-order Taylor series expansions. Leveraging the methods from Sec: III, we adapt the algorithm to optimize directly on the error state $\delta x \in \mathbb{R}^{12}$.

We begin by linearizing the dynamics about the reference trajectory using (18). Our linearized error dynamics become

$$\delta x_{k+1} = A_k \delta x_k + B_k \delta u_k \tag{23}$$

where

$$\begin{aligned} A_k &= E(\bar{x}_{k+1})^T \frac{\partial f}{\partial x}|_{\bar{x}_k, \bar{u}_k} E(\bar{x}_k), \\ B_k &= E(\bar{x}_{k+1})^T \frac{\partial f}{\partial u}|_{\bar{x}_k, \bar{u}_k}, \end{aligned} \tag{24}$$

and $\delta x_k \in \mathbb{R}^{12}$ and $E(x_k) \in \mathbb{R}^{12 \times 13}$ are the state error and state-error Jacobian, respectively:

$$\delta x_k = \begin{bmatrix} r_k - \bar{r}_k \\ \varphi^{-1}(\bar{\mathbf{q}}_k^{-1} \otimes \mathbf{q}_k) \\ v_k - \bar{v}_k \\ \omega_k - \bar{\omega}_k \end{bmatrix}, \; E(x) = \begin{bmatrix} I_3 & & & \\ & G(q) & & \\ & & I_3 & \\ & & & I_3 \end{bmatrix}. \tag{25}$$

By applying (14) and (15) to our nonlinear cost functions ℓ and (18) to the nonlinear constraint functions g_k and h_k,

we can calculate the second-order expansion

$$\delta\ell(x,u) \approx \frac{1}{2}\delta x^T \ell_{xx}\delta x + \frac{1}{2}\delta u^T \ell_{uu}\delta u + \delta_u^T \ell_{ux}\delta u + \ell_x^T \delta x^T + \ell_u^T \delta u \quad (26)$$

of the augmented Lagrangian cost function: TODO: I think you can safely leave out any references to the augmented Lagrangian, etc. and just talk about the cost function.

$$\mathcal{L}_A = \mathcal{L}_N(x_N, \lambda_N, \mu_N) + \sum_{k=0}^{N-1} \mathcal{L}_k(x_k, u_k, \lambda_k, \mu_k) \quad (27)$$

where

$$\mathcal{L}_k(x,u,\lambda,\mu) = \ell(x,u) + (\lambda + \frac{1}{2} I_\mu c(x,u))^T c(x,u), \quad (28)$$

with $c(x,u)$ being the concatenation of the constraints f, g, and h at a given time step, and I_μ the penalty matrix.

With this expansion, we calculate the expansion of the "action-value function" $Q(x,u)$ as normal:

$$Q_{xx} = \ell_{xx} + A_k^T P_{k+1} A_k \quad (29)$$
$$Q_{uu} = \ell_{uu} + B_k^T P_{k+1} B_k \quad (30)$$
$$Q_{ux} = \ell_{ux} + B_k^T P_{k+1} A_k \quad (31)$$
$$Q_x = \ell_x + A_k^T p_{k+1} \quad (32)$$
$$Q_u = \ell_u + B_k^T p_{k+1}, \quad (33)$$

from which we can calculate the quadratic expansion of the cost-to-go $P_k \in \mathbb{R}^{12\times 12}$, $p_k \in \mathbb{R}^{12}$, and optimal linearized feedback gains $K_k \in \mathbb{R}^{m\times 12}$ and $d_k \in \mathbb{R}^m$ by starting at the terminal state and resursing backward in time along the trajectory during the "backward pass" of the iLQR algorithm. During the "forward pass", the dynamics are simulated forward in time using the feedback gains computed during the backward pass. At each time step, the control is calculated using the linear feedback controller:

$$u_k = K_k \delta x_k + \bar{u}_k. \quad (34)$$

where $\bar{u}_k$ is the control value from the previous iteration, and δx is computed using (25), with x_k being the current state estimate and $\bar{x}_k$ the state from the previous iteration. The rest of the algorithm is left unchanged. For more details on the ALTRO algorithm, the reader is encouraged to refer to the original paper [12].

A. Quaternion Cost Functions

In addition to the straight-forward modifications to the ALTRO algorithm itself, we need to carefully consider the types of cost functions we minimize. We frequently minimize costs that penalize distance from a goal state, e.g. $\frac{1}{2}(x - x_g)^T Q (x - x_g)$; However, naïve substraction of unit quaternions is ill-defined. We propose two different cost functions that accomplish similar behavior. For sake of clarity and space, we only consider the costs on the quaternion variables: costs on the other states and the control variables remain unaffected.

1) Error Quadratic: Rather than simple subtraction, we can use a quadratic function on the three-parameter error state (25):

$$J_{\text{err}} = \frac{1}{2}\phi^T Q \phi = \frac{1}{2}\left(\varphi^{-1}(\delta q)\right)^T Q \left(\varphi^{-1}(\delta q)\right). \quad (35)$$

where $\delta q = L(q_g)^T q$, and $\phi = \varphi \delta q$. The gradient and Hessian of (35) are

$$\nabla J_{\text{err}} = \phi^T Q D(\delta q) G(\delta q) \quad (36)$$

$$\nabla^2 J_{\text{err}} = G(\delta q)^T \left(D(\delta q)^T Q D(\delta q) + \nabla D\right) G(\delta q) + I_3(\phi^T Q D(\delta q))\delta q \quad (37)$$

where, for the Cayley map,

$$D(q) = \frac{\partial \varphi^{-1}}{\partial q} = -\frac{1}{q_s^2}\begin{bmatrix} q_v & -\frac{1}{q_s} I_3 \end{bmatrix} \quad (38)$$

$$\nabla D = \frac{\partial}{\partial q}\left(D(q)^T Q \phi\right) = -\frac{1}{q_s^2}\begin{bmatrix} -2\frac{q_v}{q_s}^T Q\phi & \phi^T Q \\ Q\phi & 0 \end{bmatrix}. \quad (39)$$

2) Geodesic Distance: Alternatively, we can use the geodesic distance between two quaternions: [17],

$$J_{\text{geo}} = (1 - |q_g^T q|), \quad (40)$$

whose gradient and Hessian are,

$$\nabla J_{\text{geo}} = \text{sign}(q_d^T q) q_g^T G(q) \quad (41)$$
$$\nabla^2 J_{\text{geo}} = \text{sign}(q_d^T q) I_3 q_g^T q, \quad (42)$$

where sign denotes the signum function.

TODO: If the geodesic distance works better on all the examples, I vote for leaving out the error quadratic stuff.

VI. Experiments

In this section we present several trajectory optimization problems for systems that undergo large changes in attitude: an airplane barrel roll, a quadrotor flip, and a satellite with flexible solar panels that must slew to a new orientation while avoiding a keep-out zone. All results were run on a desktop computer with an AMD Ryzen 2950x processor with 40 GB of RAM. All problems are run using ALTRO, first without any of the modifications presented in the current paper, analagous to the naive Newton's method in section IV and labeled "naive", and then using the modifications listed in Sec. V and the geodesic cost function described in Sec. V-A.2, labeled "modified". Timing results are summarized in Table VI. All experiments were solved to a constraint satisfaction tolerance of 10^{-5} and discretized with a 4th order Runge-Kutta integrator. Code for all experiments is available on GitHub[1].

[1] https://github.com/RoboticExplorationLab/PlanningWithAttitude

Problem	Iterations	time (ms)
barrellroll	53 / 40	94.93 / 76.48
quadflip	58 / 22	419.08 / 138.63
satellite	35 / 35	392.66 / 460.08

TABLE I

TRAJECTORY OPTIMIZATION TIMING RESULTS (NAIVE/MODIFIED)

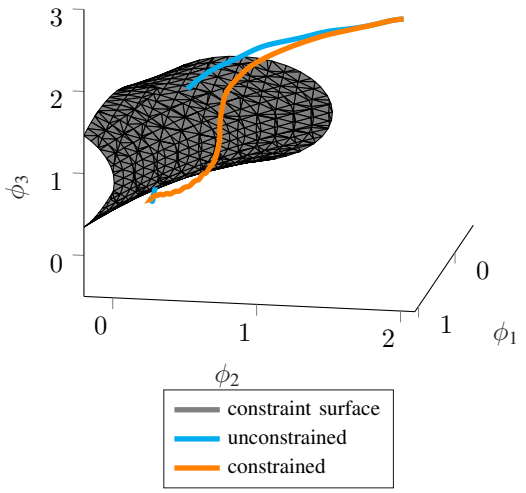

Fig. 3. Visualization of the flexible spacecraft slew with a keep-out zone. Attitude is parameterized with a Rodrigues parameter to visualize the trajectory in three dimensions. The constraint surface represents attitudes where the star tracker line-of-sight is within 40° of the sun. The unconstrained solution violates this constraint, while the constrained solution is able to avoid the keep out zone.

A. Satellite Attitude Keep-Out

TODO: Let's add a little more detail here: How about saying the dynamics are (10) plus a few extra states to capture the bending modes. Also, let's just call the start tracker a camera or sensor. A spacecraft with flexible appendages must perform a 150 degree slew while ensuring that a star tracker line-of-sight does not cross within 40 degrees of the sun. The attitudes that result in the star tracker pointing too close to the sun will be referred to as a keep-out zone. The flexible body spacecraft dynamics are based on the truncated hybrid coordinate model, with the three largest modes captured [29]. We can guarantee the star tracker body fixed vector (${}^B r_{st}$) does not look within 40 degrees of the inertial sun vector (${}^N r_{sun}$) by formulating the following constraint: TODO: Let's use standard matrix notation here rather than the angle brackets, and let's also write the rotation correctly using $A(q)$ like in the other sections.

$$\langle {}^N q^B {}^B r_{st}, {}^N r_{sun} \rangle \leq \cos(40^\circ). \tag{43}$$

TODO: Talk a little about the results in the plot here.

B. Airplane Barrel Roll

TODO: Reference (10) and then say that the forces and torques due to lift and drag are fit from wind tunnel data. Also, cite that paper.

An airplane model with aerodynamic coefficients fit from real wind-tunnel data is tasked to do a barrel roll by setting a high terminal cost for being upside-down, see Fig. 4. The solver is initialized with level flight trim conditions. The convergence of the different versions of ALTRO is compared in Fig. 5. For both the quadrotor flip TODO: put the quadrotor comments in the quadrotor section. and the airplane barrel roll, the modified version of ALTRO converged faster than original version. For these highly aerobatic maneuvers, we achieve, as expected, better performance by correctly leveraging the structure of the rotation group during the optimization routine.

TODO: If we're not going to plot it and actually do an experimental comparison, I vote for leaving this stuff out. We also compared the "error quadratic" cost function described in Section V-A.1 to the geodesic cost function in Section V-A.2. The geodesic cost was more efficient, both in term of iterations and computation time, taking only 36 iterations and 1.8 ms/iteration vs 76 iterations and 7.6 ms/iteration for the error quadratic. Not only is the geodesic cost function much cheaper to compute, it also converges much faster than the error quadratic cost function. This could be due to the strong nonlinearities introduced when computing the quaternion error, making it more difficult to optimize.

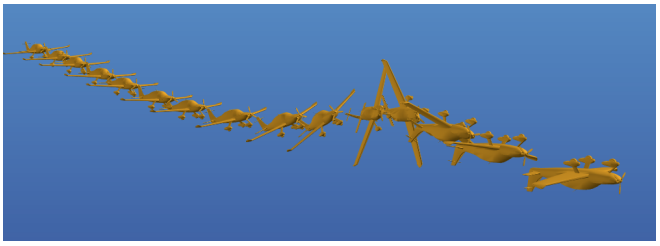

Fig. 4. Barrel roll trajectory computed by iterative MLQR using a terminal cost to encourage an upside-down attitude.

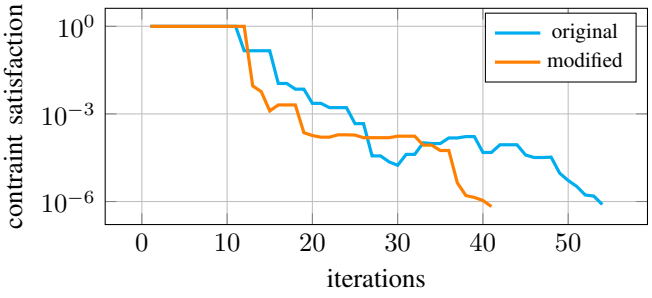

Fig. 5. Constraint convergence when solving the barrel roll problem. Compares the convergence of the original version of ALTRO versus the new, modified version that optimizing on the error state.

C. Quadrotor Flip

TODO: Cite the Penn quadrotor paper for the dynamics model. We optimized a 360 degree flip trajectory for a quadrotor using the modified version of ALTRO. Four intermediary "keyframes" were used to encourage the quadrotor

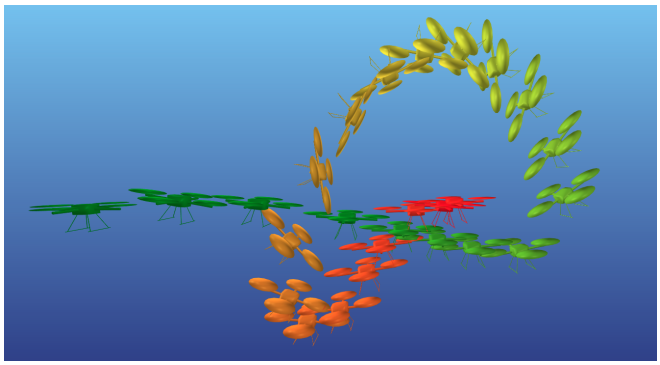

Fig. 6. Snapshots of the quadrotor flip trajectory. The gree-colored quadrotors represent the state near t=0 s and the red-colored quadrotors represent the state near t=5.0 s

to be at angles of 90°, 180°, 270°, and 360° around an approximately circular arc. The quadrotor was constrained to stay above the floor and move to a goal state 2 meters away in the $+y$ direction. The solver was initialized with a dynamically infeasible trajectory that linearly interpolates between the initial and final states, rotating the quad around the x-axis a full 360°.

Figure 6 shows snapshots of the trajectory as generated using ALTRO. The original version of ALTRO, even after significant tuning efforts, was not able to converge to the desired solution. This behavior is common and expected when attempting optimization that does not properly account for the group structure of rotations. It is also worth noting that this problem could not be solved using any three-parameter attitude representation, since it passes through the singularities at $90°, 180°$, and 360° associated with Euler angles, Rodrigues parameters, and Modified Rodrigues Parameters, respectively.

VII. Conclusions

We have presented a general, unified method for optimization-based planning and control for rigid-body systems with arbitrary attitude using standard linear algebra and vector calculus. We have demonstrated that the application of this methodology is straightforward and yields substantial improvements in the convergence of Newton-based methods (see Fig. 2), while also offering improvements for nonlinear constrained trajectory optimization for floating-base systems (see Table VI).

With the modifications presented, ALTRO can solve problems few other methods for trajectory optimization can. Many state-of-the-art methods, such as direct collocation or sequential convex programming, rely on commercial, proprietary, or general-purpose solvers whose internal numerics often are abstracted away from the user. By exploiting the unique structure of both the trajectory optimization problem and the rotation group, ALTRO will likely be able to solve more challenging problems with performance.

The methods presented here can easily be leveraged to adapt other classes of gradient or Newton-based algorithms to exploit the structure of 3D rotations. Future work may include adaptation of methods for state estimation, localization, design, or other methods for motion planning such as direct collocation.

Future work will focus on continued refinement of ALTRO and performance improvements for use in real-time model-predictive control applications, as well as extensions to multi-body robotic systems, such as humanoids or quadrupeds, represented in "maximal" coordinates that include the 3D orientation of each body [5].

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