

De Boor's algorithm

In the [mathematical](#) subfield of [numerical analysis](#) **de Boor's algorithm**^[1] is a fast and [numerically stable algorithm](#) for evaluating spline curves in B-spline form. It is a generalization of [de Casteljau's algorithm](#) for [Bézier curves](#). The algorithm was devised by [Carl R. de Boor](#). Simplified, potentially faster variants of the de Boor algorithm have been created but they suffer from comparatively lower stability.^{[2][3]}

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Introduction

A general introduction to B-splines is given in the [main article](#). Here we discuss de Boor's algorithm, an efficient and numerically stable scheme to evaluate a spline curve **S**(*x*) at position *x*. The curve is built from a sum of B-spline functions *B*_{*i*,*p*}(*x*) multiplied with potentially vector-valued constants **c**_{*i*}, called control points,

$$\mathbf{S}(x) = \sum_i \mathbf{c}_i B_{i,p}(x).$$

B-splines of order *p* + 1 are connected piece-wise polynomial functions of degree *p* defined over a grid of knots *t*₀, . . . , *t*_{*i*}, . . . , *t*_{*m*} (we always use zero-based indices in the following). De Boor's algorithm uses O(*p*²) + O(*p*) operations to evaluate the spline curve. Note: the [main article about B-splines](#) and the classic publications^[1] use a different notation: the B-spline is indexed as *B*_{*i*,*n*}(*x*) with *n* = *p* + 1.

Local support

B-splines have local support, meaning that the polynomials are positive only in a finite domain and zero elsewhere. The Cox-de Boor recursion formula^[4] shows this:

$$B_{i,0}(x) := \begin{cases} 1 & \text{if } t_i \leq x < t_{i+1} \\ 0 & \text{otherwise} \end{cases}$$

$$B_{i,p}(x) := \frac{x - t_i}{t_{i+p} - t_i} B_{i,p-1}(x) + \frac{t_{i+p+1} - x}{t_{i+p+1} - t_{i+1}} B_{i+1,p-1}(x).$$

Let the index k define the knot interval that contains the position, $x \in [t_k, t_{k+1})$. We can see in the recursion formula that only B-splines with $i = k - p, \dots, k$ are non-zero for this knot interval. Thus, the sum is reduced to:

$$\mathbf{S}(x) = \sum_{i=k-p}^k \mathbf{c}_i B_{i,p}(x).$$

It follows from $i \geq 0$ that $k \geq p$. Similarly, we see in the recursion that the highest queried knot location is at index $k + 1 + p$. This means that any knot interval $[t_k, t_{k+1})$ which is actually used must have at least p additional knots before and after. In a computer program, this is typically achieved by repeating the first and last used knot location p times. For example, for $p = 3$ and real knot locations $(0, 1, 2)$, one would pad the knot vector to $(0, 0, 0, 0, 1, 2, 2, 2, 2)$.

The algorithm

With these definitions, we can now describe de Boor's algorithm. The algorithm does not compute the B-spline functions $B_{i,p}(x)$ directly. Instead it evaluates $\mathbf{S}(x)$ through an equivalent recursion formula.

Let $\mathbf{d}_{i,r}$ be new control points with $\mathbf{d}_{i,0} := \mathbf{c}_i$ for $i = k - p, \dots, k$. For $r = 1, \dots, p$ the following recursion is applied:

$$\mathbf{d}_{i,r} = (1 - \alpha_{i,r})\mathbf{d}_{i-1,r-1} + \alpha_{i,r}\mathbf{d}_{i,r-1}; \quad i = k - p + r, \dots, k$$

$$\alpha_{i,r} = \frac{x - t_i}{t_{i+1+p-r} - t_i}.$$

Once the iterations are complete, we have $\mathbf{S}(x) = \mathbf{d}_{k,p}$, meaning that $\mathbf{d}_{k,p}$ is the desired result.

De Boor's algorithm is more efficient than an explicit calculation of B-splines $B_{i,p}(x)$ with the Cox-de Boor recursion formula, because it does not compute terms which are guaranteed to be multiplied by zero.

Optimizations

The algorithm above is not optimized for the implementation in a computer. It requires memory for $(p+1) + p + \dots + 1 = (p+1)(p+2)/2$ temporary control points $\mathbf{d}_{i,r}$. Each temporary control points is written exactly once and read twice. By reversing the iteration over i (counting down instead of up), we can run the algorithm with memory for only $p+1$ temporary control points, by letting $\mathbf{d}_{i,r}$ reuse the memory for $\mathbf{d}_{i,r-1}$. Similarly, there is only one value of α used in each step, so we can reuse the memory as well.

Furthermore, it is more convenient to use a zero-based index $j = 0, \dots, p$ for the temporary control points. The relation to the previous index is $i = j + k - p$. Thus we obtain the improved algorithm:

Let $\mathbf{d}_j := \mathbf{c}_{j+k-p}$ for $j = 0, \dots, p$. Iterate for $r = 1, \dots, p$:

$$\mathbf{d}_j := (1 - \alpha_j)\mathbf{d}_{j-1} + \alpha_j\mathbf{d}_j; \quad j = p, \dots, r \quad (j \text{ must be counted down})$$

$$\alpha_j := \frac{x - t_{j+k-p}}{t_{j+1+k-r} - t_{j+k-p}}.$$

After the iterations are complete, the result is $\mathbf{S}(\mathbf{x}) = \mathbf{d}_p$.

Example implementation

The following code in the [Python programming language](#) is a naive implementation of the optimized algorithm.

```
def deBoor(k, x, t, c, p):
    """
    Evaluates S(x).

    Args
    ----
    k: index of knot interval that contains x
    x: position
    t: array of knot positions, needs to be padded as described above
    c: array of control points
    p: degree of B-spline
    """
    d = [c[j + k - p] for j in range(0, p+1)]
    for r in range(1, p+1):
        for j in range(p, r-1, -1):
            alpha = (x - t[j+k-p]) / (t[j+1+k-r] - t[j+k-p])
            d[j] = (1.0 - alpha) * d[j-1] + alpha * d[j]
    return d[p]
```

See also

- [De Casteljau's algorithm](#)
- [Bézier curve](#)
- [NURBS](#)

External links

- [De Boor's Algorithm](http://www.cs.mtu.edu/~shene/COURSES/cs3621/NOTES/spline/de-Boor.html) (<http://www.cs.mtu.edu/~shene/COURSES/cs3621/NOTES/spline/de-Boor.html>)
- [The DeBoor-Cox Calculation](http://www.idav.ucdavis.edu/education/CAGDNotes/Deboor-Cox-Calculat/Deboor-Cox-Calculat.html) (<http://www.idav.ucdavis.edu/education/CAGDNotes/Deboor-Cox-Calculat/Deboor-Cox-Calculat.html>)

Computer code

- [PPPACK](http://www.netlib.org/pppack/) (<http://www.netlib.org/pppack/>): contains many spline algorithms in [Fortran](#)
- [GNU Scientific Library](https://www.gnu.org/software/gsl/) (<https://www.gnu.org/software/gsl/>): C-library, contains a sub-library for splines ported from [PPPACK](#)
- [SciPy](https://www.scipy.org/) (<https://www.scipy.org/>): Python-library, contains a sub-library *scipy.interpolate* with spline functions based on [FITPACK](#)
- [TinySpline](https://github.com/msteinbeck/tinyspline) (<https://github.com/msteinbeck/tinyspline>): C-library for splines with a C++ wrapper and bindings for C#, Java, Lua, PHP, Python, and Ruby
- [Einspline](http://einspline.sourceforge.net/) (<http://einspline.sourceforge.net/>): C-library for splines in 1, 2, and 3 dimensions with Fortran wrappers

References

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- Lee, E. T. Y. (December 1982). "A Simplified B-Spline Computation Routine". *Computing*. Springer-Verlag. **29** (4): 365–371. doi:10.1007/BF02246763 (<https://doi.org/10.1007/BF02246763>).

3. Lee, E. T. Y. (1986). "Comments on some B-spline algorithms". *Computing*. Springer-Verlag. **36** (3): 229–238. doi:[10.1007/BF02240069](https://doi.org/10.1007/BF02240069) (<https://doi.org/10.1007/BF02240069>).
4. C. de Boor, p. 90

Works cited

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