

Master's thesis

# An hybrid architecture for multiple people tracking

Marcos Pieras Sagardoy

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# Contents

<b>1 Abstract</b>	<b>4</b>
<b>2 Resumen</b>	<b>4</b>
<b>3 Introduction</b>	<b>4</b>
3.1 Tracking with deep learning techniques . . . . .	7
<b>4 Objectives</b>	<b>8</b>
4.1 Problem description . . . . .	8
4.2 Requirements . . . . .	8
4.3 Methodology . . . . .	8
<b>5 Theoretical basis</b>	<b>9</b>
5.1 Object detection . . . . .	9
5.2 Tracking fundamentals . . . . .	12
5.2.1 Features . . . . .	13
5.2.2 Motion estimation . . . . .	15
5.3 Person re-Identification . . . . .	17
5.3.1 Siamese networks . . . . .	18
<b>6 Software implementation</b>	<b>20</b>
6.1 System setup . . . . .	20
6.2 System Overview . . . . .	20
6.3 Object detector . . . . .	21
6.4 Tracker . . . . .	22
6.4.1 Preprocessing and feature extractor . . . . .	24
6.4.2 Motion estimation . . . . .	25
6.5 Data association . . . . .	30
6.5.1 Siamese Network . . . . .	31
<b>7 Datasets and evaluation procedures</b>	<b>35</b>
7.1 Datasets for object detection . . . . .	36
7.1.1 Pascal Visual Objects Classes . . . . .	36
7.1.2 VOC07 . . . . .	36
7.1.3 VOC12 . . . . .	37
7.1.4 ImageNet . . . . .	37
7.1.5 COCO . . . . .	37
7.1.6 Dataset comparison . . . . .	37
7.1.7 Evaluation of object detection algorithms . . . . .	39
7.2 Datasets for multiple object tracking . . . . .	40
7.2.1 PETS . . . . .	41
7.2.2 MOT challenge . . . . .	41
7.2.3 Evaluation of multiple people tracking algorithms . . . . .	41
7.3 Datasets for pedestrian identification . . . . .	43
7.3.1 Evaluation for pedestrian identification . . . . .	44
<b>8 Experiments</b>	<b>44</b>
8.1 Performance . . . . .	44

8.2	Timing . . . . .	45
<b>9</b>	<b>Conclusions</b>	<b>46</b>
9.1	Future work . . . . .	47
<b>10</b>	<b>Annex</b>	<b>53</b>

# 1 Abstract

Deep learning has rised by drastic improvements over reigning approaches towards the hardest problems in Artificial intelligence (AI), massive investments from industry giants, and exponential growth in research publications. Deep learning is a tool inside the machine learning toolbox, the goal is to make machines learn.

In this work, we used this techniques to tackle the multiple object tracking problem. Specifically, the problem of tracking multiple pedestrian in all types of video sequences. We start our algorithm with an object detector, we studied the family of object detectors based on deep learning, and we selected one according a perfomance and timing measurements. Once, we have the detections of the pedestrian we applied the Lucas-Kanade algorithm to compute their movement. This algorithm might produce identity incongruities on the pedestrian, to solve it, we propose a person re-identification mechanism based on convolutional neural networks.

Finally, we evaluated and analyses our solution in the famous challenge, called Multiple object tracking 2016.

# 2 Resumen

Deep learning ha surgido por grandes mejoras sobre grandes mejoras en los problemas más complicados en Inteligencia Artificial, inversiones masivas de gigantes industriales y por un crecimiento exponencial en el número de publicaciones. Deep learning es una herramienta dentro de la caja de herramientas del Machine Learning, cuyo propósito es hacer aprender a las máquinas.

En este trabajo, hemos usado estas técnicas para abordar el problema de seguimiento de múltiples objetos. Específicamente, el problema de seguimiento de múltiples peatones en todo tipo de secuencias. Empezamos nuestro algoritmo con un detector de objetos, hemos estudiado los tipos de detectores que usan técnicas de deep learning y seleccionamos uno según criterios de rendimiento y tiempo de computación. Una vez que tenemos esas detecciones de los peatones, aplicamos el algoritmo de Lucas-Kanade para calcular su movimiento. Este algoritmo puede producir incongruencias relacionadas con la identidad de los peatones, para solucionarlo, proponemos un mecanismo de reidentificación basado en *convolutional neural networks*.

Finalmente, evaluamos y analizamos nuestra solución en el famoso desafío, llamado *Multiple object tracking* de 2016.

# 3 Introduction

Deep learning has rised by drastic improvements over reigning approaches towards the hardest problems in Artificial intelligence (AI), massive investments from industry giants, and exponential growth in research publications. Deep learning is a tool inside the machine learning toolbox, the goal is to make machines learn.

The first incursion was made by Frank Rosenblatt, the Percetron [?]. Rosenblatt conceived of the Percetron as a simplified mathematical model of how the neurons in our brains operate. This model of the neuron built on the work of McCulloch-Pitts [43], who showed that a neuron model could model the basis OR/AND/NOT functions. Which in the early days of Artificial intelligence, was great, because the predominant thought at that time was that making computers able to perform formal logical reasoning would essentially solve AI. However, the McCulloch-Pitts model lacked a mechanism for learning, which was crucial for it to be usable for AI. This is where the Perceptron exceeded, Rosenblatt came up with a way to make such artificial neurons learn, inspired by the Hebb's Rule. This learning method was as follows: if the output of the perceptron was low, increase the weights, otherwise decrease the weights if the output is too high. Also, another researchers came with ADALINE [71] learning procedure, they used the signal before the activation function to compute the derivative, how much the error changes when each weight is changed can be used to drive the error down and find the optimal weights values. Similar as we train the networks nowadays.

Researchers were really excited about this idea of Connectionism: that networks of such simple computational units could be vastly much more powerful and solve the hard problems of AI. But in 1969, Minsky and Papert published an analysis on the limitations of perceptrons, named Perceptrons [45]. The biggest criticism was that a perceptron could not learn the simple boolean function XOR because it is not linearly separable. However, they stated that it could be learnt with multiple layers perceptron but the learning procedure did not work for multiple layers. After, this book the interest on Neural networks decreased, and initialized a period called *AI winter*, AI shifted to logic programming and commonsense reasoning.

This period lasted till 1986 when Rummelhart, Hinton, and Williams published the algorithm of backpropagation [52], which specifically addressed the problems discussed by Minsky in Perceptrons, a method to train multiple layer neural nets. With this discovery in 1989, LeCun showed a real world application, recognized handwritten digits [33]. The architecture of this model was a convolutional neural network. It was inspired by the Neurocognitron [12] of Fukushima, which took ideas from studies of the brain. In particular the studies of Hubel and Wiesel, they propose that the visual cortex is formed by a hierarchical model, primarily for simple cells who respond for simple structures and then complex cells respond to a more complicated feature. As we can observe in figure 1, in the lower layer, the network learns Gabor like features, and while going upwards, the networks learns more abstract concepts.

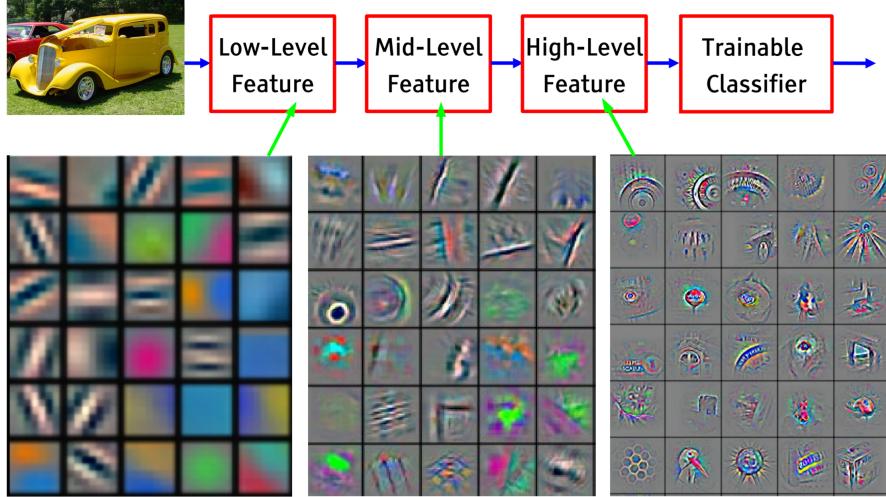


Figure 1: Representation of a Convolutional neural network.

But this approach did not scale to larger problems, the biggest source of problems was the vanishing gradients problem. When the backpropagation gradients backpropagates through the network, in some nodes the local gradient is very low (in the extreme of the sigmoid functions) the signal vanishes or saturates, and by the 90s other techniques became the method of choice, like support vector machine (SVM). Although some progress was made for other kinds of problems.

- Unsupervised learning. This type of architectures are used to find a smaller representation of some data from which the original data can be reconstructed, it is useful for compression, visualization, and classification. One example of this architecture with neural networks, is the Restricted Boltzmann machine [1], developed by Hinton.
- Reinforcement learning. The goal of this type of learning is to learn how to make good decisions, it requires rewards, not labels. One example of this sort of systems, is the TD-Gammon [61], a neural network that learned to be a backgammon player.
- Robotics. This was another field separate from Machine Learning where neural nets were very useful. A major example of early neural net use for robotics came from CMU's NavLab in 1989. The neural net learned to control the vehicle using steering data recorded while a human drove [48].
- Recurrent neural networks. Plain neural networks could not process sequences due to they do not have memory, they need mechanism to remember the past outputs. With memory, it can process sequences like audio or text. One approach to this, by Waibel [67] in 1989.

In 2006, there was a breakthrough [21], Hinton realized that a neural network with many layers really could be trained well, if the weights are initialized in a clever way. The basic idea was to train each layer one by one with unsupervised training (like an autoencoder architecture) and finally stack all together and train it in a supervised way. But the big breakthrough came in 2012, when a neural network won the Large scale visual recognition challenge.

Although, this improvements, the big step forward came in 2012, when AlexNet [29] beat the state of the art in the ImageNet challenge, an image classification challenge, where the error rate was 15.3% whereas the winner of the previous year was 26.3%. In the plot 2 we can observe the advance in the state of the art of the ImageNet challenge with the inclusion of deep learning techniques.

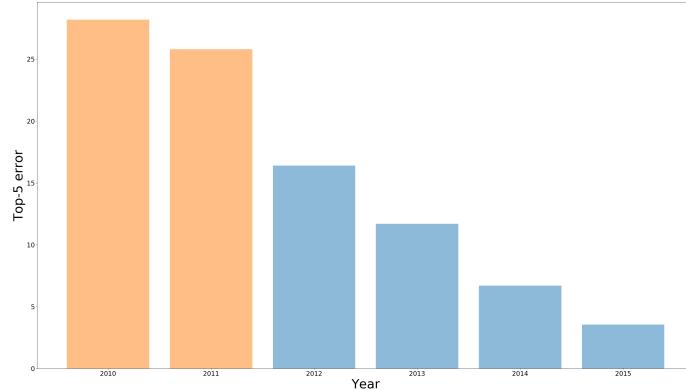


Figure 2: Classification error in ImageNet challenge.

The emergence of these techniques were the culmination of decades of research but the step forward was dued by three aspects:

- **Appearance of large and high quality dataset**, The increasing size and quality of the dataset helps the networks to converge easily.
- **Parallel computation**, The increasing of computing capabilities helped train larger models in least time.
- **Optimization details**. With the discovery of the proper initialization and activation functions larger networks can be trained.

### 3.1 Tracking with deep learning techiniques

As we said above, deep learning techinques has increased the perfomance in all the aspects of computer vision, as well as tracking, the main ways to apply deep learning techniques to tracking are the following [20]:

- **Online training for tracking**. Starting from the first frame of a video, a tracker will sample patches near the target object, and they are used to train a foreground-background classifier, and this classifier is used to score patches from the next frame to estimate the new location of the target object. These methods showed a state-of-the-art perfomance results. Unfortunately, neural networks are slow to train, therefore the speed of the method is reduced [46] [6].
- **Model based trackers**. These methods use a specific class classifier and there is not need to train it online. So, these methods use a neural network to extract instances of the frames and then linked with temporal restrictions.

- **Siamese based tracking.** In this approach, many candidate patches are passed through the network, and the patch with the highest matching score is selected as the tracking output [60].
- **Tracking as regression,** these methods are an extension of object localization using neural networks, these methods given an image containing an object predict the bounding box which contain the object in every frame [20]. They are restricted to one object.
- **Tracking with RNN.** From the output of an object detector, these tracking algorithms model the sequence of movement of objects using an recurrent neural network [53]. These methods are the current state of art.

## 4 Objectives

Next we explain the objectives and the requirements of this thesis.

### 4.1 Problem description

The main objective of this thesis is the developing of an algorithm of tracking, particularly tracking multiple pedestrian on real scenes. We evaluate our solution on the Multiple Object Tracking challenge 2016, which include a variety of evaluation sequences. Our critical parameter are the following:

- Performance measures, we use the measurement of the MOT [32] challenge to evaluate our algorithm, we desire to have the highest possible score.
- Speed, our solution should faster as possible.

When we finish our algorithm we will upload the results to MOT's website and compare with the state of the art.

### 4.2 Requirements

Besides of the previous stated objectives, the solution must guarantee the next requirements:

- The solution will make use of the developing platform JdeRobot, which is the developing environment of the *Grupo de Robótica* of the *Universidad Rey Juan Carlos*.
- The software will run on the GNU/Linux Ubuntu 16.04 environment.

### 4.3 Methodology

In this part we explain chronological the work plan to develop this thesis, we can divide it in four steps:

- Object detector. We studied the fundamentals of object detectors with deep learning techniques. We analysed the performance on the main datasets and finally, we chose one to our task.
- Tracking module. We studied which tracking technique would fit our problem, when it was selected, we implemented on code. At the end of this item, we had a complete algorithm to produce a solution.
- Siamese networks. We noticed one lack of our algorithm was the management of identity incongruities, we solved that with the study of re-identification techniques and we implemented one of them.
- Evaluation. we evaluated our solution on the MOT'16 dataset. Also, we studied the capabilities and lacks of our algorithm.
- Thesis development. We wrote down our solution and conclusions on a document.

## 5 Theoretical basis

In this section, we will explain the fundamental concepts which is based our thesis. We propose a multi people tracking algorithm, in order to do so, we represent each person with a bounding box, in this bounding box we extract some features and study how they move through the frames, based on the movement of these features we will infer the movement of the bounding box, therefore, the movement of the person. So in the section 5.1 we will explain how to extract the bounding boxes, in section 5.2 how to extract the movement of the bounding boxes, and finally in section 5.3 we explain how to solve people identification incongruities. We can observe the procedure in the next figure 3.



Figure 3: Algorithm's stages.

### 5.1 Object detection

In object detection too, the emergence of the neural networks has supposed a turning point. As we can observe in 4, the mean average precision, has almost doubled since the appearance of deep neural networks.

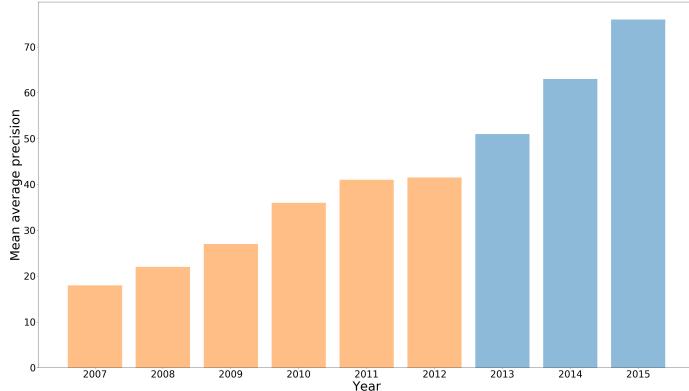


Figure 4: Mean average precion over the years in PASCAL dataset.

Present deep learning object detectors are based on three main family of archictectures [23], named by the reference algorithm of the category: FasterRCNN, SSD, and RFCN, the characteristics of these systems are:

- Faster RCNN [50], it is the last output of a tryology of detectors developed by R. Girshick and his team. Which are called Region-Based object detectors. They work as follows: Use some mechanism to extract region of an image that are probable to be an object and then classify those proposals with a CNN. The first paper to do so, was [14], and supposse a breakthrough in the field, increasing the precision of the state of the art of those days. But, it had a messy pipeline, slow and difficult to train. Later on, they developed [13], in this paper they applied the region proposal algorithm in the cnn feature map, so, they avoid to compute the features for each proposal. They increase the speed and it could be trained much easily. Finally, they showed FasterRCNN [50], in this algorithm, they eluded the external region proposal algorithm and they implemented a CNN to compute those proposals. This CNN share parameters with the main net and they saved a lot of time. This network, has become the standard object detector with CNN. With the association of novel net architecture like ResNet [19], Inception [59], and [27] they have won all the contests.
- SSD, it stands fot Single shot multibox detector. These family of method differs from previous ones considering that these treats the problem of object detection as a regression problem. So, they are called Regression-based object detector or single shot object detector due it does not have a region proposal algorithm, they classify the image with one mechanism. The maximum exponent of these algoirthms are [49] and [39]. These work as follows, they discretize the image at the features level in a fixed grid and for each grid it predicts a class and some number of bounding boxes with different shapes and sizes. It merges all, and apply a Non-Maximum suppression algorithm to obtain a set of detections. We can observe this process in 5. In addition, they apply this process in a multiresolution scheme as we can observe in 6 to deal with objects of different sizes.

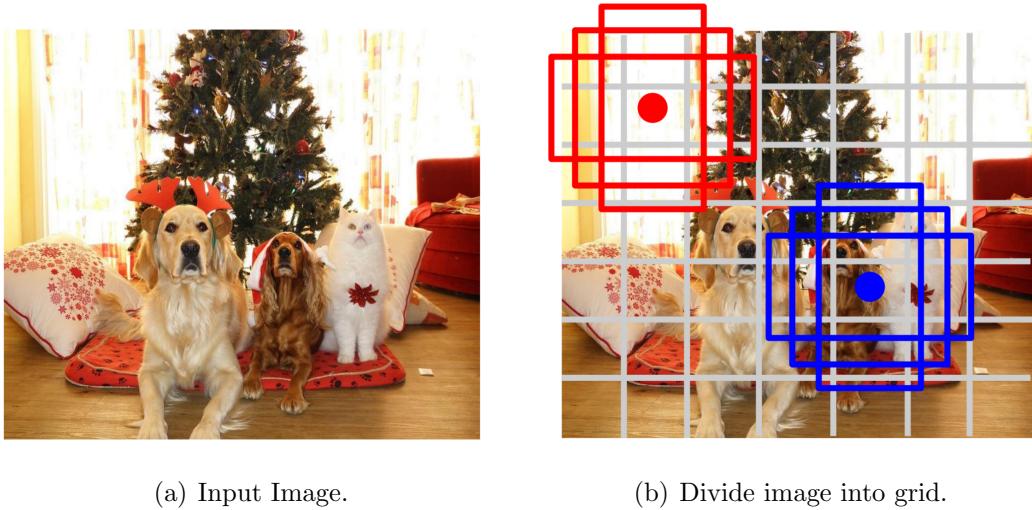


Figure 5: SSD detector scheme.

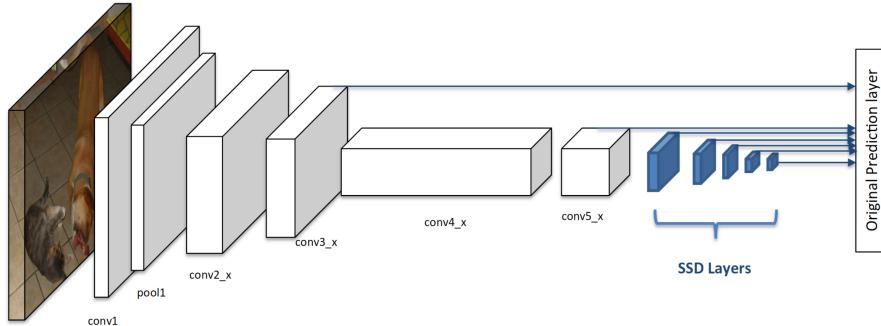


Figure 6: SSD architecture.

- RFCN [5], it stands for Region-based fully convolutional network and it was developed by the same authors of SSD. They noticed the lacks of the SSD, the SSD algorithm computes the object detector on the feature map, and at this level the features have a low spatial resolution, this involves do not detect small objects. So the authors inspired by the fully convolutional architectures, upsample those feature maps and compute the object detector like the SSD algorithm.

In the survey [23], they compared the different methods including changing the features extractors ( ResNet, Inception, VGG ) and they measured the precision ( mean average precision ) and computing time. This results are showed in 7. The conclusion are as follows, SSD is the fastest detector, RFCN it has the best balance between speed-accuracy, and FasterRCNN, is the most accurate detector althoug is slower than the other ones.

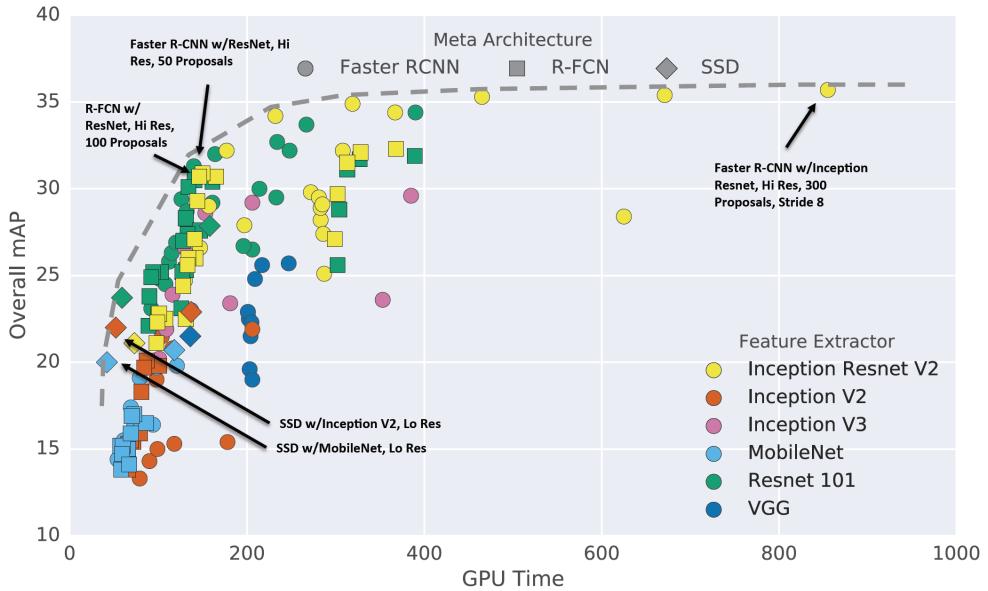


Figure 7: Comparision architectures.

We will finish off our review with a numeric comparision of the methods, as we can observe in the table 1. This information is extracted from the original papers with their implementation, all of them are trained with the union of the training set of VOC07, VOC12, and COCO, and subsequently evaluate on VOC07 test set on a Nvidia Titan X GPU. These results give us an intuition on which detector will be suitable for our task.

	<b>mAP</b>	<b>mAP_person</b>	<b>FPS</b>	<b>Proposals</b>
<i>RCNN</i>	66	64.2	0.077	2000
<i>FastRCNN</i>	70	69.9	6.7	2000
<i>FasterRCNN</i>	85.6	82.3	7	6000
<i>SSD300</i>	81.2	81.4	46	8732
<i>SSD512</i>	83.2	84.6	19	24564
<i>YOLO</i>	66.4	63.5	45	98
<i>YOLOv2</i>	78.6	81.3	40	-
<i>RFCN</i>	83.6	-	10	-
<i>PVANET</i>	84.9	-	31.3	300

Table 1: Summarize of the object detectors.

## 5.2 Tracking fundamentals

As we said above, we need to find features in the image and study how they move to estimate the movement of the targets.

### 5.2.1 Features

Our goal is to find points in an image, that can be found in other images and then compute some information, in this case, the movement. The characteristics of good features are:

- Repeatability, the same feature can be found in several images despite geometric and photometric transformations.
- Matchability, each feature has a distinctive description, thus easy to find.
- Efficiency, few features have to compact much more possible information.
- Locality, a feature occupies a relatively small area of the image, so therefore it is robust to clutter and occlusion.
- Performance, computation speed of features is a critical parameter.

Features points are used in all sort of operations in computer vision: Image alignment, 3D reconstruction, Motion Tracking, Object recognition, Index database retrieval, robot navigation and so on.

Looking at the figure 8, the flat patch, is a patch without texture and impossible to localize. Patches with large contrast edges are easier to localize, although straight lines segments at a single orientation suffer from the *aperture problem*, are also impossible to localize. Finally, patches with large gradients in at least two different orientations are the easiest to localize.

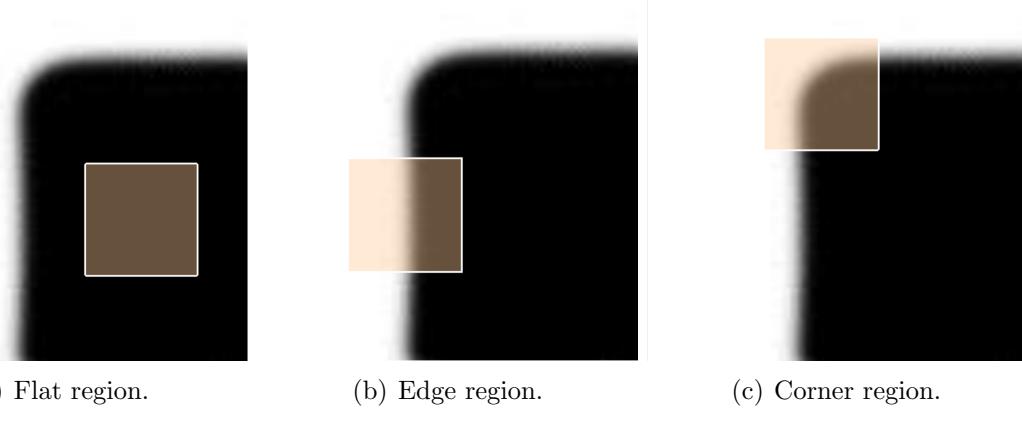


Figure 8: Types of patches.

These intuitions can be formalized by looking at the simplest possible matching criterion for comparing two image patches, their weighted summed square difference:

$$E(u) = \sum_i w(x_i)[I(x_i + u) - I(x_i)]^2$$

where  $I(x)$  is the image,  $I(x + u)$  is the shifted image, and  $w(x, y)$  is a window function like a box or gaussian kernel around the pixel, and the summation  $i$  is over all the pixels in the patch. Then we are looking for points, which if we move according to  $u$  we have a change.

When performing feature detection, we do not know which other image locations the feature will end up being matched against. Therefore, we can only compute how stable this metric is with respect to small variations in positions  $\Delta u$  by comparing an image patch against itself.

$$E(\Delta u) = \sum_i w(x_i) [I(x_i + \Delta u) - I(x_i)]^2$$

Using a Taylor series expansion of the image function  $I(x_i + \Delta u) \approx I(x_i) + \nabla I(x_i) * \Delta u$  we can approximate the expresion as follows:

$$E(\Delta u) \approx \sum_i w(x_i) [I(x_i) + \nabla I(x_i) \Delta u - I(x_i)]^2$$

$$E(\Delta u) = \sum_i w(x_i) [\nabla I(x_i) \Delta u]^2$$

With algebraic notation it transforms to:

$$E(\Delta u) = \Delta u^T M \Delta u$$

where  $\nabla I(x_i) = [I_x, I_y](x_i)$  is the image gradient and  $M$  is the second moment matrix:

$$M = \begin{pmatrix} I_x^2 & I_{xy}^2 \\ I_{xy}^2 & I_y^2 \end{pmatrix}.$$

Computing the eigenvalue descomposition of this matrix, shows the directions of the fastest change, thus a measure of the *cornerness*. There are several algorithms that use in different ways this eigenvalues:

- Harris [18], they propose a corner detection response function. So for each pixel, they compute a matrix  $M$  and with it, they compute the function  $R$ ,  $R = \det(M) - a \text{trace}^2(M)$ . if  $R$  is large, that pixel is a corner, if  $R$  is negative with larger magnitude, it is a an edge, and if  $R$  is small it is a flat region. So the they a treshold to classify those pixels as a corner.
- Shi-Tomasi [55], they define the *cornerness* in another way. The image has a maximum value ( e.g. 255), so  $\lambda_1, \lambda_2$  also have an upper bound, then it is only necessary to check that  $\min(\lambda_1, \lambda_2)$  is large enough, this is how they define *cornerness*. This feature is called good features to track, because the authors defined a *good* features those whose motion can be estimated reliably, and they reached the same conclusions as Harris. This method is implement in the OpenCV's routine `goodFeaturesToTrack()`.

### 5.2.2 Motion estimation

Now, we have invariant points, we want to estimate the motion of those points. In order to do so, we compute the optical flow. This is the apparent two-dimensional motion of brightness pattern in the image. In the next figure 9 we visualized this idea.

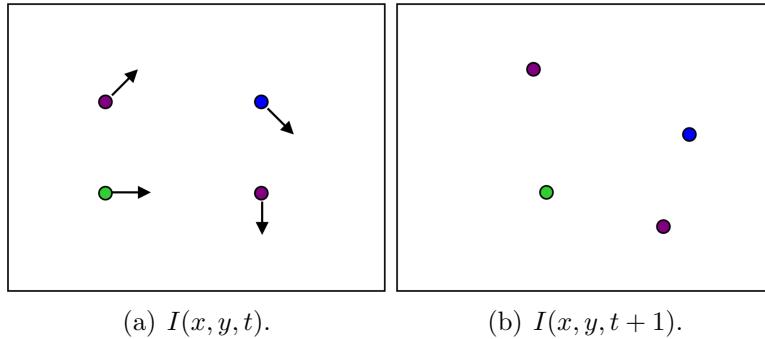


Figure 9: Optical flow example.

So, the question is: How do we estimate pixel motion from image  $I(x, y, t)$  to image  $I(x, y, t + 1)$ . We need to solve the pixel correspondence problem. Given a pixel in  $I(x, y, t)$ , look for nearby pixels of the same color in  $I(x, y, t + 1)$ . Solving this problem is what is referred as the optical flow problem. By nearby pixels and same colour we have two assumptions:

- Colour constancy: a point in  $I(x, y, t)$  looks the same in  $I(x', y', t + 1)$ . For grayscale images, this is called *Brightness constancy constraint*. Stated in mathematical formulation:

$$I(x, y, t) = I(x + u, y + v, t + 1)$$

$$0 = I(x + u, y + v, t + 1) - I(x, y, t)$$

- Small motion: Subsequent points do not move very far, so we can estimate the motion by Taylor expansion:

$$I(x + u, y + v) \approx I(x, y) + \frac{\partial I}{\partial x}u + \frac{\partial I}{\partial y}v + \text{higher order terms}$$

Then, combining these two equations, we get:

$$0 \approx I(x, y, t + 1) + I_x u + I_y v - I(x, y, t)$$

where  $I_x = \frac{\partial I}{\partial x}$ , isolating the terms we obtain:

$$0 \approx [I(x, y, t + 1) - I(x, y, t)] + I_x u + I_y v$$

$$0 \approx I_t + I_x u + I_y v$$

In the limit of  $t$ ,  $u$  and  $v$  approaches zero ( assumption of small motion ), so it becomes, what it is called the the *brightness constancy constraint equation*:

$$0 = I_t + I_x u + I_y v$$

If we look closely, we realized that we have two unknowns  $u, v$  and one equation. This is an underdetermined system. Intuitively, this means, that locally we can only determine the component of the flow in the gradient direction, the component of the flow parallel to an edge is unknown, this is the called the aperture problem. To recover the motion we need to add some extra constraints. There are several types of constraints to solve this problem:

- **Global constraint**, adding a smooth constraint to the brightness constraint, this new constraints penalizes for changes in  $u$  and  $v$  over the images, it assumes that the motion fields vary smoothly over the image. This approach was developed by Horn and Schunk [?].
- **Local constraint**, locally the motion field is almost the same, so we add the neighbor pixels to the equation. This approach was developed by Lucas and Kanade [40].

### Local constraint

In this thesis we use the Local constraint to solve the optical flow problem. As we stated above, we add a local constraint to get more equations, this assumes that the motion field is the same in the locality. From the brightness constraint equation:

$$0 = I_t(p_i) + \nabla I(p_i) [u \ v]$$

Adding the neighborhood equations:

$$\begin{bmatrix} I_x(p_1) & I_y(p_1) \\ I_x(p_2) & I_y(p_2) \\ \vdots & \vdots \\ I_x(p_n) & I_y(p_n) \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix} = \begin{bmatrix} -I_t(p_1) \\ \vdots \\ -I_t(p_n) \end{bmatrix}$$

Now, there are more equation than unknowns, it is an overdetermined system, we have to solve it with the least squares technique. It is based on the optimization of the function:

$$(A^T A) d = A^T b$$

Using the image notation:

$$\begin{bmatrix} \sum I_x I_x & \sum I_y I_x \\ \sum I_x I_y & \sum I_y I_y \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix} = \begin{bmatrix} -\sum I_x I_t \\ -\sum I_y I_t \end{bmatrix}$$

The system has a solution when  $A^t A$  is invertible, it will be invertible when is well conditioned, this is when the ratio of the great and the small eigenvalues of the matrix is large but no too much. The matrix  $A^t A$  in terms of image formulation is the second order matrix that we stated in the section 5.2.1 developing the *cornerness*, then in order to be solvable it should have a strong gradient in both directions. After checking the invertability, we can solve the problem and extract the motion field:

$$d = (A^T A)^{-1} A^T b$$

Thus, using the image notation:

$$\begin{bmatrix} u \\ v \end{bmatrix} = \begin{bmatrix} \sum I_x^2 & \sum I_y I_x \\ \sum I_x I_y & \sum I_y^2 \end{bmatrix}^{-1} \begin{bmatrix} -\sum I_x I_t \\ -\sum I_y I_t \end{bmatrix}$$

In practice motion is large, the assumption that it is small fails, consequently the approach using Taylor expansions. For two reasons, the linearity does not hold, in order to solve it, we apply an iterative refinement, which consists in compute the displacement, apply it to the pixels, and compute it again till it converges. The other one is there are local minimia and it will fail into it. To solve it, we need to utilize a coarse to fine approach, the idea is to use multiresolution to compute optical flow, the basic is that in a low resolution image the motion between pixels is very small and we can compute optical flow.

So, in order to do so, we use image pyramids, this consists in downsample these images to specific resolution, then in top level, we compute the motion field using the previous stated method, then we upsample the motion field and the images, We apply a transformation to one image according to the motion field computed in the previous level and then compute the optical flow between that transformed image and the other image, we apply this algorithm in all the resolutions

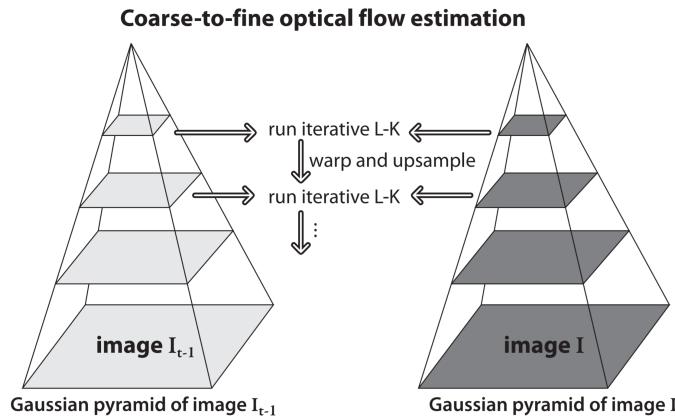


Figure 10: Grphical .

### 5.3 Person re-Identification

After running the tracking module we might lose some tracks and in the detection step we might recover them. We need a module to check whether these have the same identity.

In order to do so, we studied the methods to recover the identity with deep learning techniques.

Person identification is thoroughly studied in the field of Biometrics, it consists of knowing one biometric characteristic, and comparing with a claiming identity query. Specifically, the topic of pedestrian identification based on images has rised in the last years, this is due to the growth of surveillance applications. Also inside the topic of tracking there is a subfield called data association which studies this problem, it consists in matching trackets with further pedestrian detections.

Let's define mathematical the problem, consider  $G$  is a gallery composed of  $N$  images, denoted as  $(g_i)_{i=1}^N$ . They belong to  $N$  different identities  $1, 2, \dots, N$ . Given a query image  $q$ , its identity is determined by:

$$i^* = \arg \max_{i \in 1, 2, \dots, N} sim(q, g_i)$$

where  $i^*$  is the identity of probe  $q$ , and  $sim(., .)$  is some kind of similarity function. There are several categories of this similarity function [76]:

- **Hand-crafted systems.** This involves two components, an image descriptor and a distance metric algoritm. The most common image descriptors are those used in computer vision too, like colour [16], texture [44], SIFT [74], bag of word [65]. The general idea of distance metric learning is to keep all the vectors of the same class closer while pushing vectors of different classes further apart. The most commonly used formulation is based on the class of Mahalanobis distance function [30], [70]. Other works focus on learning discriminative subspaces [37].
- **Deep learning techniques.** Two types of CNN models are commonly employed in the community, the first is the classification model as used in image classification, the ouptut is an identity label, and the second one is the siamese model using image pairs as input. The major drawback of the classification models is that they need a great quantity of training data by category, and most of the identifications datasets only provide a few examples for identity. So currently methods focus on siamese models.

The main differences between them, is that in hand-crafted methods, feature representation of the data and the metric are not learned jointly, instead, deep leraning techniques jointly optimize the representation of the input data conditioned on the *similarity* measure being used [41].

### 5.3.1 Siamese networks

The first work with siamese architectures were developed by LeCun [3], [4] and they adressed the identification of signatures, besides the siamese networks are used in a variaty of problems like: image recovery [66], feature descriptor [56], comparing patches [73], one shot learning [28], and learning visual similarity [2].

Siamese CNN topologies can be grouped under three main categories, depending on the point where the information from each input is combined:

- **Cost function.** Input patches are processed by two parallel branches featuring the same network structure and weights. Finally, the top layers of each branch are fed to a cost function.
- **In-network.** The top layers of the parallel branches processing the two different inputs are concatenated and some more layers added on top of that.
- **Joint data input.** The two input patches are stacked together forming a unified input to the CNN.

Graphically we can observe those differences in 11.

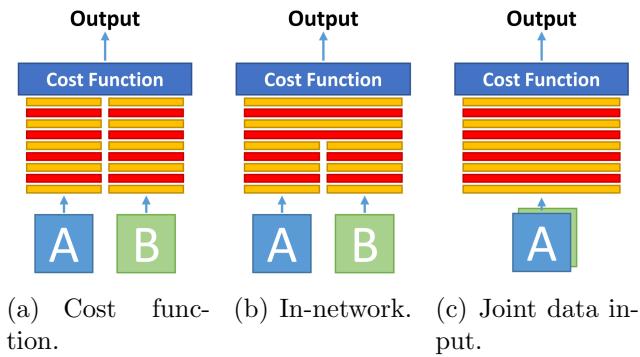


Figure 11: Siamese CNN topologies.

While the two first approaches have yielded good results and historically were dominant, the best performance is obtained with the joint data input strategy. As pointed out by [73] and further corroborated by [11], and [31], jointly using information from both images from the first layer tends to deliver a better performance.

In the field of person re-identification, the community has used these architectures, and they also, have developed their own loss function, what is called *contrastive loss*, this loss is an extension of the Hinge loss of the SVM. This loss longs for getting close similar pairs and moving away according to one defined margin, dissimilar pairs. Although, the binary cross entropy is used by the community. Also, the community has focused in the developing of the datasets, increase the size and quality but there are not any landmark dataset.

There are several papers in the literature, one of the most famous is developed by Ahmed [?], they used In-network architecture although in order to join to the convolutional layers, they used *cross-input neighborhood differences* layer, this layer tried to increase the differences between the features of the inputs and obtain richer representation to the classification layer.

Another paper was published by Leal-Taixé [?], they are also the authors of the MOT challenge, their network used a cost function architecture besides they used as inputs the two images and their optical flow. They used the network as part of a data association algorithm.

## 6 Software implementation

In this section, we will explain the implementation of the algorithm based on the theoretical 5 review.

### 6.1 System setup

The developing and testing of the software has been carried out by a personal computer. This computer has a Intel Core i7 processor and 16 GB of RAM, whereas the operative system is Ubuntu 16.04 Xenial. The graphical processing unit consist in a AMD R7 M265, but its codecs are not available for our operative system, therefore we can not take advantage of this hardware unit. For training our deep learning models we used the Amazon Web services platform, which instances is runned in operative system with a Nvidia Tesla K80 GPU.

### 6.2 System Overview

The system consists in two threads, one responsible of the execution of the object detector and the other one, the main thread, responsible of the tracker. The communication between them is unilateral, the object detector thread loads into a shared buffer the detections and the main thread incorporate them when he requires it.

So, the object detector thread, reads images, proces the forward pass of the neural network and save the detections in the shared buffer, it repeats this sequence until it has processed all the predefined list of images.

In another hand, the main tread, activates the object detector thread and waits till it gets the first detection, after this, it starts the tracking algorithm. It reads the images and computes the motion of all the regions of interest. However, at beginning of each cycle it comproves whether it has newer detection to mix in. This is the main operating mode of the algorithm and it summarizes in the figure 12. In the next sections we will develope in detail these parts.

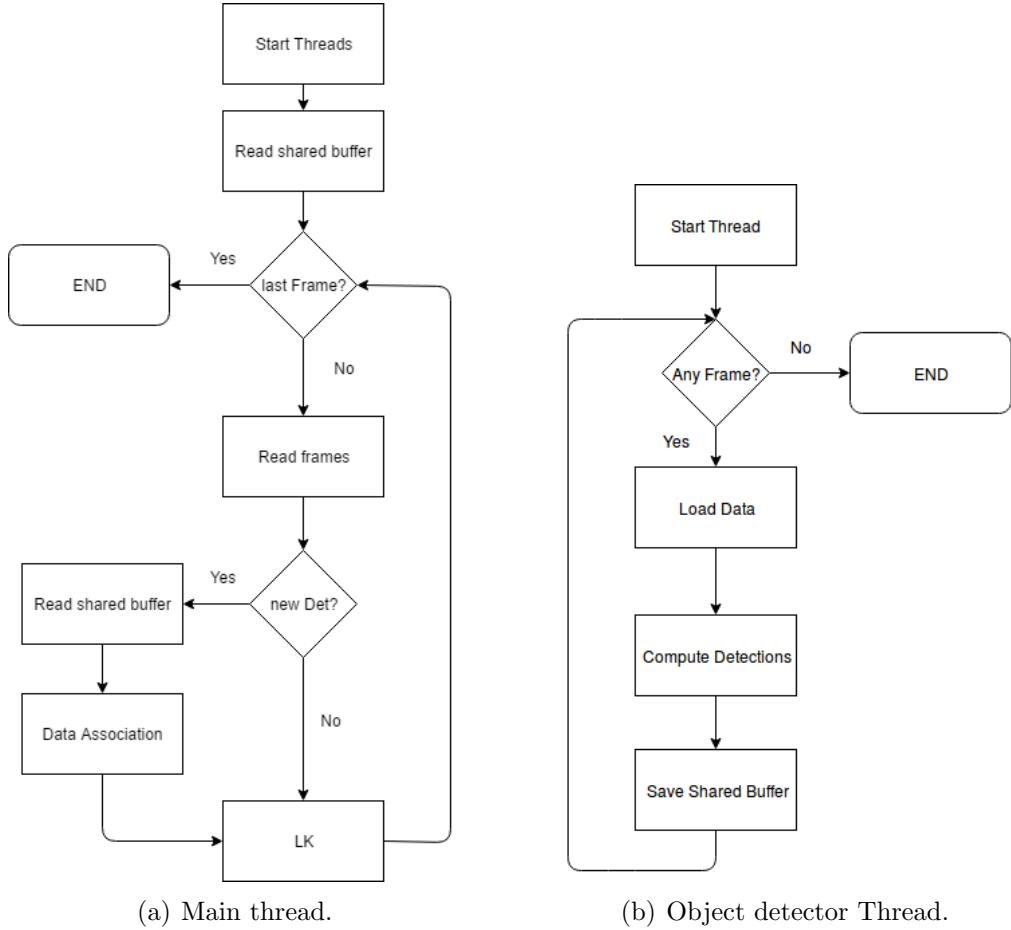


Figure 12: Flow chart of the system.

### 6.3 Object detector

As we have stated previously, the responsible of getting the detection is the object detector thread. It only read images and computes the forward pass of the network and the results are like in the figure 13.



Figure 13: Detections of the algorithm.

For the choice of the detector we compare the detectors studied in the theoretical review on the *MOT16* dataset. In the figure 14 we can observe the ROC curve of different detectors.

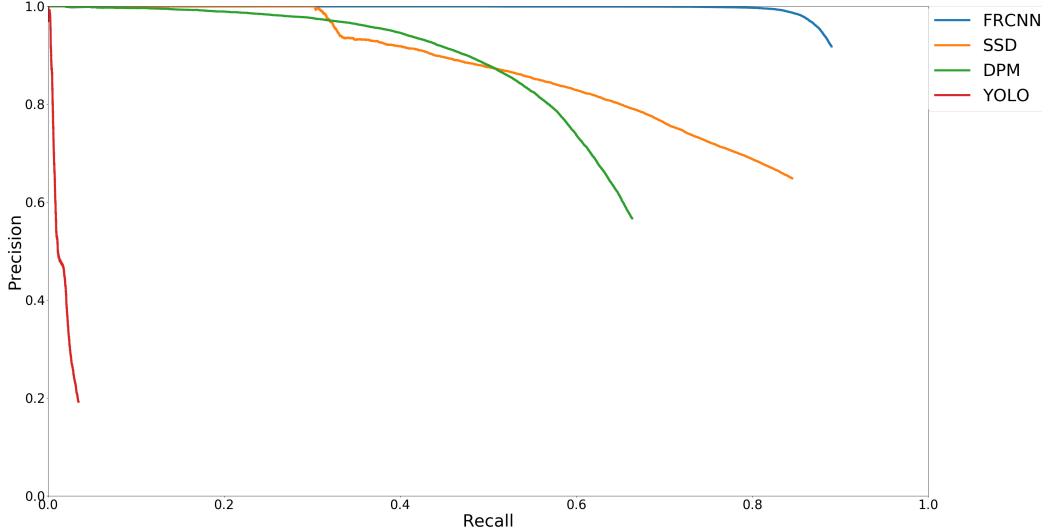


Figure 14: Detections ROC curve.

Finally, the conclusions of the characteristics are the following:

- **Faster-RCNN**, it scores 0.81 average precision on the dataset, although we could not used due a software incompatibilities, it requires a Nvidia GPU to be executed.
- **R-FCN**, the code is not publicly available.
- **DPM**, it scores 0.69 average precision on the dataset, although we could not used due a software incompatibilities, it requires a Nvidia GPU to be executed.
- **YOLO**, it scores 0.09 average precision on the dataset, it takes 6 seconds per image [24].
- **PVANET**, the code is not publicly available.
- **SSD**, it scores 0.73 average precision on the dataset, it takes 0.9 seconds per image. We used the tensorflow version of the detector [47] instead of the original code [69].

According to these results we chose the SSD detector, as object detector on this thesis.

## 6.4 Tracker

Once we have the detections, as we have stated previously, the responsible of computing the motion of the detections is this module. First, computing the features and then extract the motion from the movement of those features, we can observe this process in the figure 15.



(a) Features extraction.

(b) Motion estimation.

Figure 15: Motion computation.

According to [57], our method belong to tracking using matching, considering that the algorithm performs a matching of the representation of the target model built from the previous frames. We do not build an additional extended model of the appearance and merge it with the matching, instead we will apply an object detector to correct the drift of the matching.

The tracking using matching is based on the optical flow, explained in 5, it computes the new position through gradient descent in several frames. We will assume that the motion is pure translational. As we can observe in the sequences of frames 18 of the dataset, the pedestrians move in translation way in the image plane, so this assumption is achieved.



Figure 16: Sequence of translational movement.

In contrast to the previous figure, we can observe the next figure 17 where the assumption of translation motion is not fulfilled ( this sequences does not belong to the used dataset, only showed to contrast the previous idea) and a translational assumption will failed.



Figure 17: Sequence of no translational movement.

The tracking module is inspired by the well-known tracking algorithm *MedianFlow* by

Zalal et al[26] with his correspondent implementation in Python[25]. Next we explain the tracking module in details.

#### 6.4.1 Preprocessing and feature extractor

For extracting the features, we use the OpenCV routine `goodFeaturesToTrack()`, this function determines strong corners on an image, according the Shi Tomasi method. His parameters are the following:

- `image`, input image
- `maxCorners`, maximum number of corners to return. If there are more corners than are found, the strongest of them is returned.
- `qualityLevel`, parameter characterizing the minimal accepted quality of image corners.
- `minDistance`, minimum possible Euclidean distance between the returned corners.
- `mask`, optional region of interest.
- `blockSize`, Size of an average block for computing a derivative covariation matrix over each pixel neighborhood.
- `useHarrisDetector`, Parameter indicating whether to use a Harris detector.
- `k`, Free parameter of the Harris detector.

The strength of the further processing depends on the quality and quantity of this features, so in other to improve both, we apply some preprocessing to the image. We tried several preprocessings techniques like sharpening, image contrast, median filter, and equalization. In 18, we can observe the relation between number of points extracted and time consumption of those techniques.

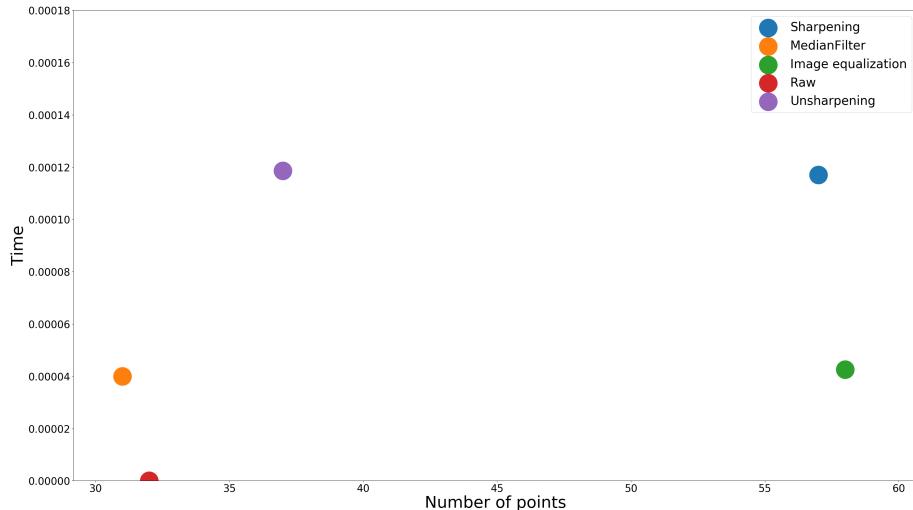


Figure 18: Preprocessing methods.

We realized that the best preprocessing in terms of speed and number of points, is to equalize the image. The computation is really simple, it only consists in equalize an histogram and apply that transformation to the image. It increases over 55% the number points in comparision to not apply it to the raw image. In the figure 19 we can observe the different number of features in the raw and in the equalized image.



Figure 19: Different preprocessings.

#### 6.4.2 Motion estimation

We used the Lucas-Kanade method to matching the points. Also, we implement the same method used in [26], the proposed method is based on so called forward-backward consistency assumption. That assumption consists in that correct tracking should be independent of the direction of time-flow. Algorithmically, the assumption is exploited as follows. First, a tracker produces a trajectory by tracking the point *forward* in time. Second, the point location in the last frame initializes a validation trajectory. The validation trajectory is obtained by *backward* tracking from the last frame to the first one. Third, the two trajectories are compared and if they differ significantly, the forward trajectory is considered as incorrect. 20 illustrates the method when tracking a point between two images. Point number 1 is visible in both images and the tracker is able to localize it correctly. Tracking this point forward or backward results in identical trajectories. On the other hand, point number 2 is not visible in the right image and the tracker localizes a different point. Tracking this point backward ends in a different location than the original one. We also implemented the forward method.

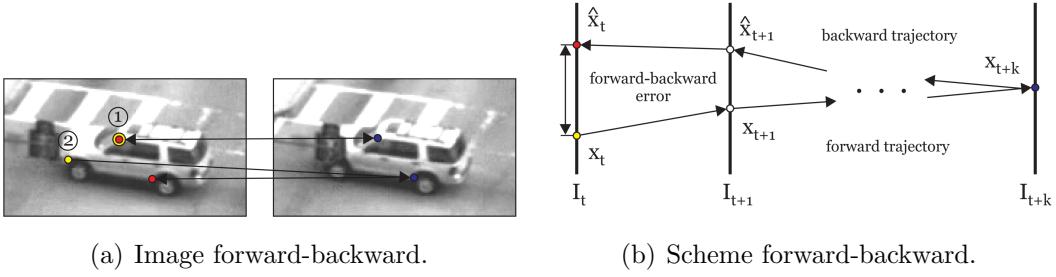


Figure 20: Illustration forward backward error.

To compute the flow, we used the OpenCV's routine `calcOpticalFlowPyrLK()`, this function implements a sparse iterative version of the Lucas-Kanade optical flow with pyramids. And his parameters are the following:

- `prevImg`, first image.
- `nextImg`, second image.
- `prevPts`, vector of 2D points for which the flow needs to be found.
- `nextPts`, output vector of 2D points containing the calculated new positions of input features in the second image.
- `status`, output status vector, it tells you whether the flow has been found.
- `err`, each element of the vector is set to an error for the corresponding feature.
- `winSize`, size of the search window at each pyramid level.
- `maxLevel`, number of pyramid levels.
- `criteria`, parameter, specifying the termination criteria of the iterative search algorithm.

In the next figure we can observe the matching of the features in subsequents frames.



Figure 21: Image and motion vectors.

After this step we have a bunch of motion vectors, but some vectors in the bounding box do not belong to the pedestrian, and if we do not erase it will contribute to the motion

computation. Usually these points belong to the static elements of the scene, like the floor or urban furniture, these points in terms of motion between subsequent frames will be very low or almost static. We can observe this fact plotting the displacement of these points and drawing it in the image, we can observe it at 22. So, we erase the points with a displacement smaller than a threshold.

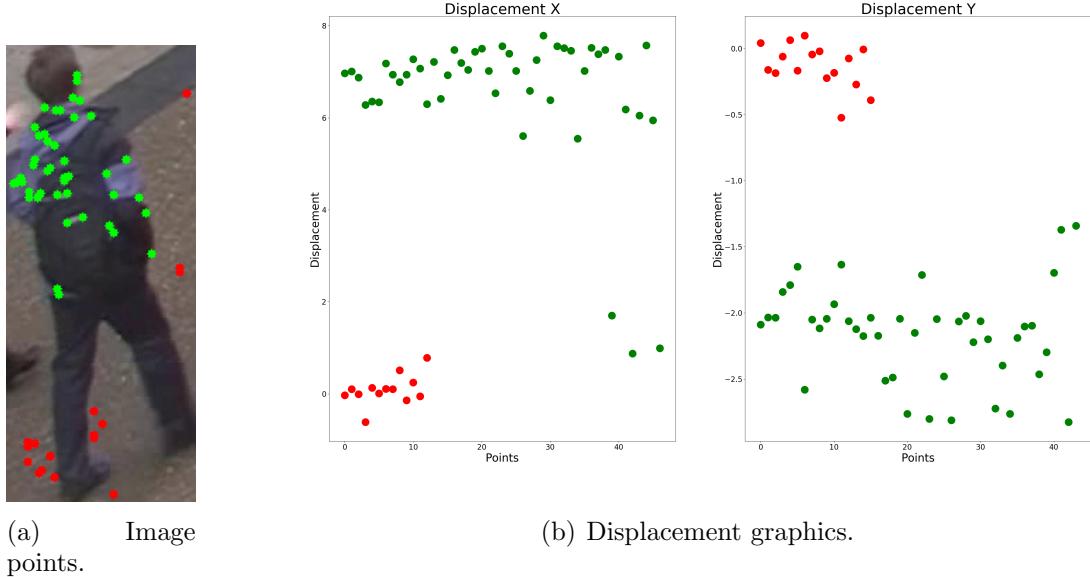


Figure 22: Image and motion vectors.

But, this behavior will only work on sequences where the camera is fixed, in sequences produced by a moving camera it will not work, we can observe the plot of displacement vectors on a sequence acquired by a moving camera in 23.

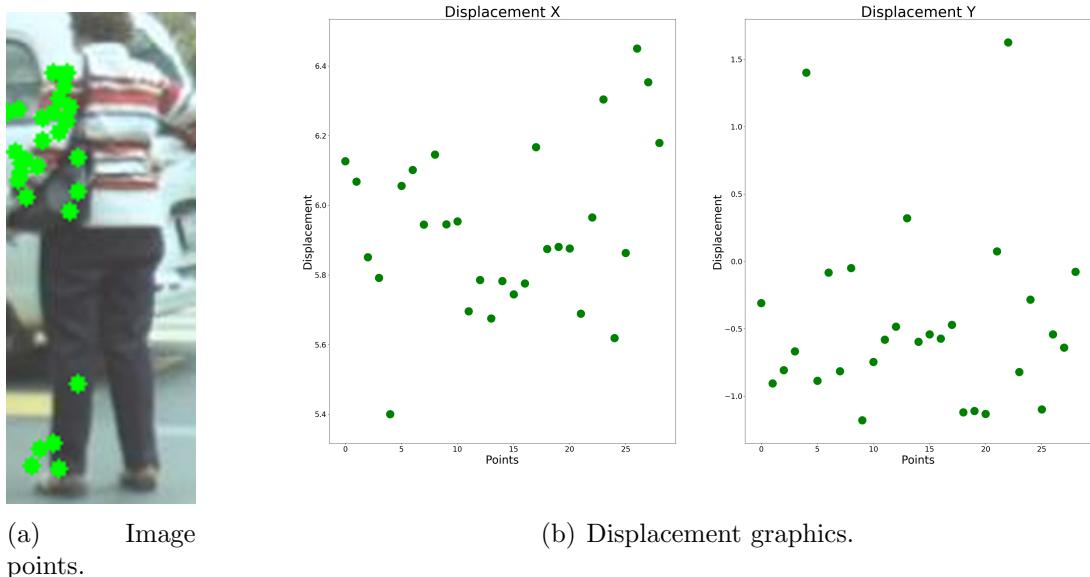


Figure 23: Different preprocessings.

Next we erased this points, we compute the motion as the median of all the contributions displacement vectors in each dimension. Also, the scale change is computed as follows: for each pair of points, a ratio between current point distance and previous point distance is computed, bounding box scale change is defined as the median over these ratios.

At this point, we have an implementation of the tracking algorithm given a set of bounding boxes. Nevertheless, we notice running our algorithm on the dataset, that the bounding box could compute the motion of the assigned pedestrian including the points belonging to another pedestrian who appears also in the bounding box. This interaction will cause a wrong estimation of the movement of the target and eventually the pedestrian will not be embedded by the bounding box. We can observe this event in figure 24.



Figure 24: Tracking failure.

So, we need a mechanism to detect these failures, therefore we studied how the motion algorithm behaves in these situations. When it has got a trajectory without crossing with other pedestrian, the vertical and horizontal displacement behave like a damping sine wave (if it goes away of the camera) or amplified sine wave ( if it goes closer to the camera ). But when it has an interference with another bounding box, it has an steep change in that wave. We can measure that change as the differences between the current displacement and the previous one normalized by current displacement, if this value overtake a thresolh, we consider that tracket as a lost tracket. We can observe this process in the next figure 25, it belongs to previous trajectory 24

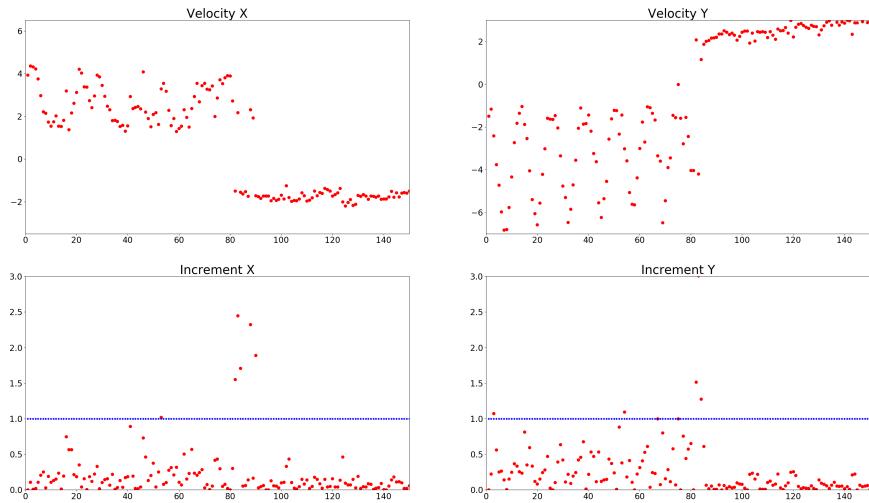
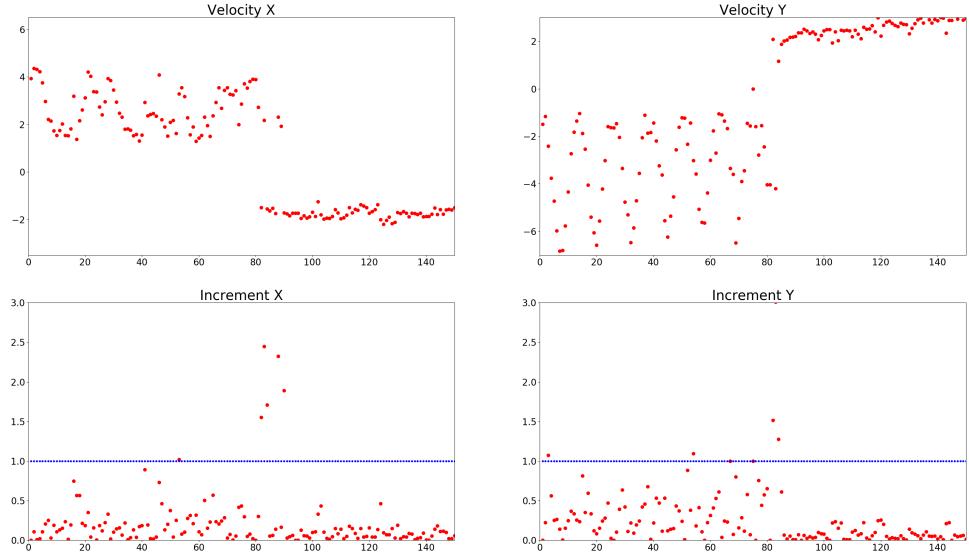


Figure 25: Tracking failure.

In contrast, when it does not cross with another pedestrian, the displacement gets disrupt, then the normalized different with the previous displacement gets a high value, we can observe this process in figure 26. We set a threshold to notice this interference and delete this bounding box. We delete them from the current tracking execution, but we save the bounding box for following processings.



(a) Trajectory.



(b) Plots movement.

Figure 26: Wrong trajectory.

## 6.5 Data association

Once we computed the trajectories, in the next iteration we might have to add a detection, so we need a module to combine these trajectories with detections. So, for each pedestrian we distinguish three situations:

- **Situation 1**, the tracket has got a nearby detection, then the detection replace the tracket bounding box. This is what is called spatio-temporal constraint.
- **Situation 2**, the tracket has not got a nearby detection, then the bounding box tracket continues.
- **Situation 3**, the pedestrian has not got a tracket but has got a detection. In this case we need to decided whether this pedestrian is new in the scene or it has been seen before ( it is a lost tracket ).

We can observe the procedure for situations number one and two in the figure 27, in green

colour we can observe the detections and in blue colour the tracks. We defined nearby as the distance between the centres of the boundings boxes, this distance has to be lower than a threshold to be consider nearby.

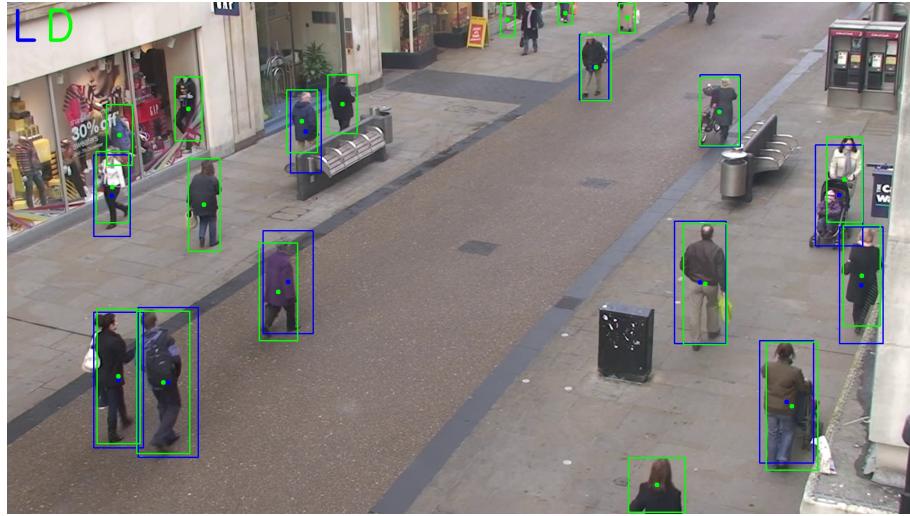


Figure 27: Spatio-temporal data association.

As we stated, in situation number three, we might delete some tracking bounding box, like we explained in section 6.4.2 and get a new detection that it might belong to the same identity, we need a mechanism to preserve the identity of the pedestrians. In an early version of this algorithm we consider those detections as new, and we assign it a new identity.

### 6.5.1 Siamese Network

To mantain the identity of the pedestrian we need a mehtod to compare miss tracks with no associated detections. So, we decided to solve it with deep learning techniques, the convolutiona neural networks that we considered are the following:

- **Siamese network: Joint data input**, according to the literature this architecture gives the best results compared with the other topologies. The input of the network is aa concatenation of the two images and the network proces together.
- **Siamese network: Cost function**, this is based on the idea of deep learning as feature extractor and top layers as classifier. Two branches that share parameters process the images and classify it.
- **Siamese network: In-network**, this is a mix of the previous models, where the information of the convolutional layers merges at some point before the classifier.
- **Feature extractor with cosine distance**, we used well-known architectures for image classification to extract features from the images and then compare those features with the cosine distance.
- **Famous network fine-tuned**, we extract features for each image with a well-known architecture and merge it with a fully connected layer.

We can observe this architectures in the figure 28.

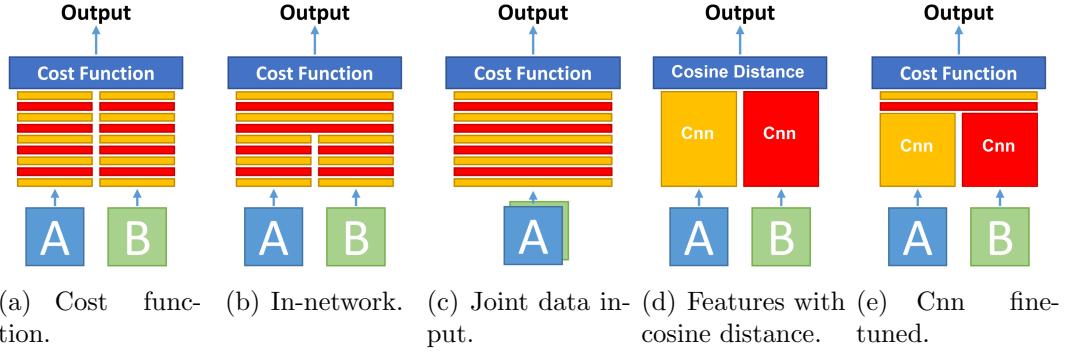


Figure 28: Siamese CNN topologies.

The main characteristics of the trained networks are the following:

- **Loss**, we used the binary cross entropy as a loss, we tried with the contrastive divergence but it did not converge.
- **Optimizer**, As optimizer we used Adam, even though it has a mechanism to decrease the learning rate, we add a exponential decay, it speed up the convergence
- **Activation**, we used ReLu. Currently, there other activations functions, but ReLu has been established as the reference.
- **Inizialization**, To initialize the wrights we used He. inizialization, as activation function we used ReLu, in adition we inizialize the biases with the value of 0.1, in this way we avoid the dead neurons in the firsts iterations.
- **Batch normalization**, We make use of batch normalization to normalize the ouput of each layer.
- **Regularization**, we use Dropout in the fully connected layer to avoid overfitting.
- **Final layers**, In the junction between the convolutional layers and the fully connected historically, a flatten mechanishm of the tensor has been used, but it increases dramatically the number of neurons in the fully connected layer, and it shows problems to converge, from the publication of InceptionV3 it appears with a Global Average pooling, it computes the spatial average of each layer of the tensor, reducing the number of parameters. Also we used the Spatial pyramid pooling layers

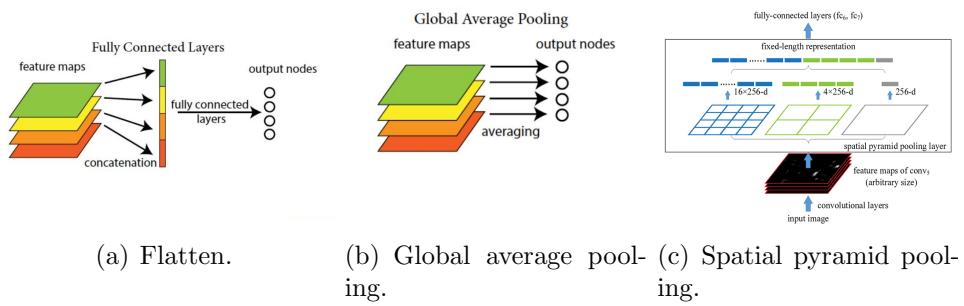


Figure 29: Final layers.

- **Output**, We did not use softmax as ouput, we only used one neuron with sigmoid activation, in this way the output is constraintet between 0 and 1.

We developed our models in a VGG way, stacking several convolutional layers and finishing it with a fully connected layers. We started with a few convolutional layers and added more till we reach an overfitting condition, this conditional will manifest when adding more layers the score on the test set decline. We started with 3 and we end up with 7 convolutional layer as best performance.

For the dataset, it does not exist a prominent dataset in the field, so we decided to use the MOT16 ground truth as dataset to adapt the domain. In order to do so, we extract the detections with their identities, and then for each identity we selected all possible random pairs and for the negative set we selected two random identites. The negative dataset is much bigger than the positive dataset, so we limited it to have a balanced dataset. The problem with the MOT16 dataset, is that the ground truth was built with the detections of a classifier and there is not a human intervention, resulting in a messy ground truth. We inspected the dataset and around the 70% of the dataset was wrong, there are a lot of occlusion in detection resulting in erroneous pairs, pairs are not matching with the same identity.

Then, we decided to discard the MOT16 dataset an use the TownCenter dataset [64] from the University of Oxford. We have got 29824 positive and negative pairs, then a dataset of 59648 image pairs. We split the dataset between training and validation set, 80% and 20% respectively. For testing we selected a set of identities of the MOT16 dataset. To regularize and enlarge our dataset we added some data augmentation to our database like we observe in figure 30. For each pair we added one transformation, so we double our dataset. We tried to apply all the transformation for each images but the dataset was too noisy and the network did not converge.

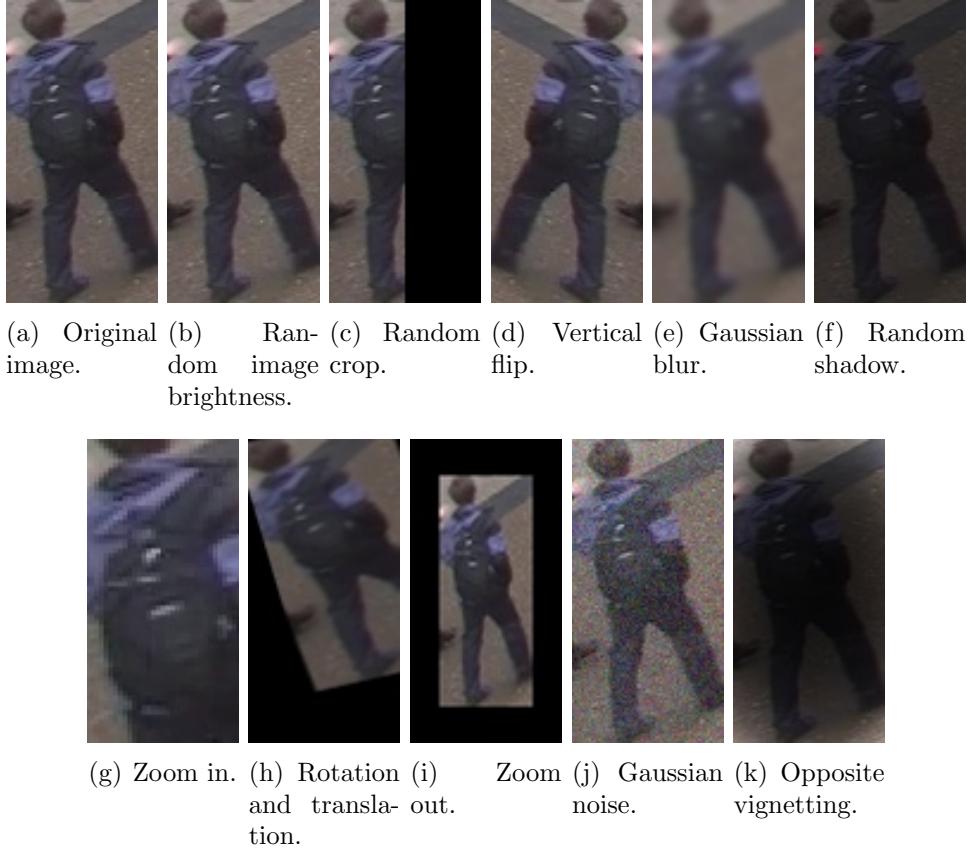


Figure 30: Data augmentation.

We trained all the models and obtained a graphs like the figure 31, we observe that the network converge, it decreases the loss and increases the accuracy, also we observe that tests plots are a little bit noisy, but we considered that regularization techniques are enough.

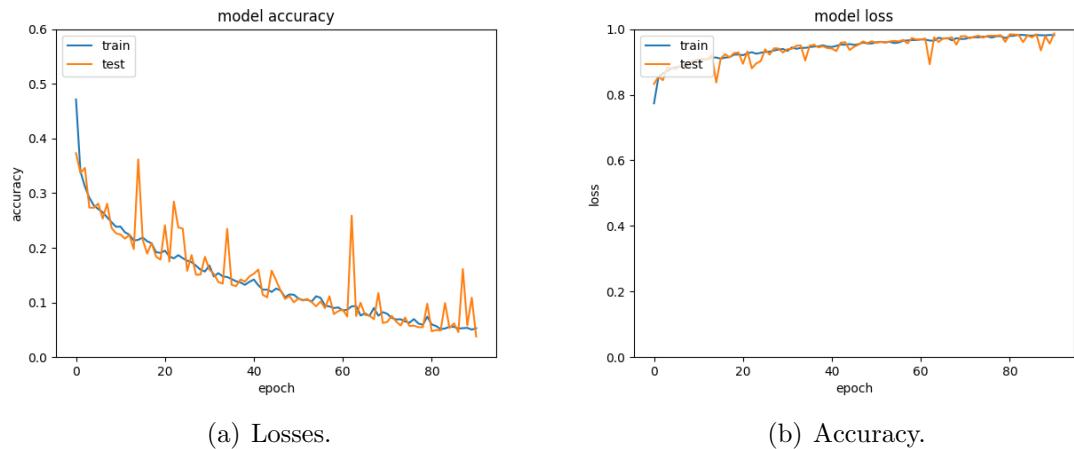


Figure 31: Results training.

Finally, we can observe the comparision using the CMC measure in the figure 32, we can observe that the siamese with Joint data with less layers than bigger models like Inception

performs better, this remarks the idea of training jointly the feature extractor and the classifier and the need of task specific networks. Also, the siamese network with the configuration Joint data input, outperforms the other siamese networks. Among the siamese joint data input, the performance increases till the 7 convolutional layer architecture, then the 8 convolutional layers drops.

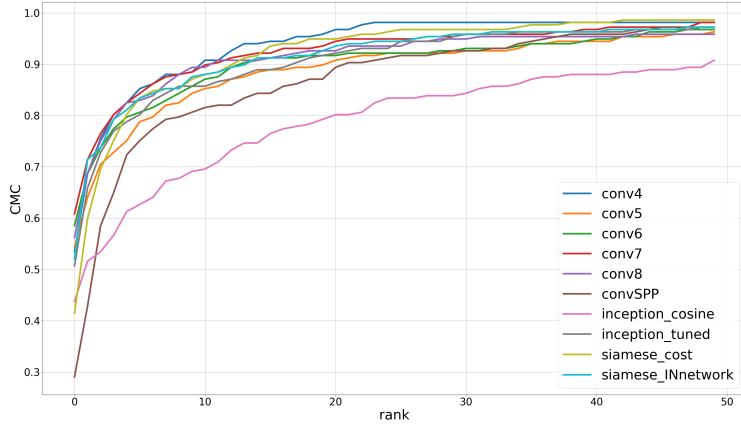


Figure 32: CMC plot.

Also in the next plot, we observe the performance against the time consumption the siamese network with the joint data input with 7 convolutional layers gets the best balance between performance and execution time.

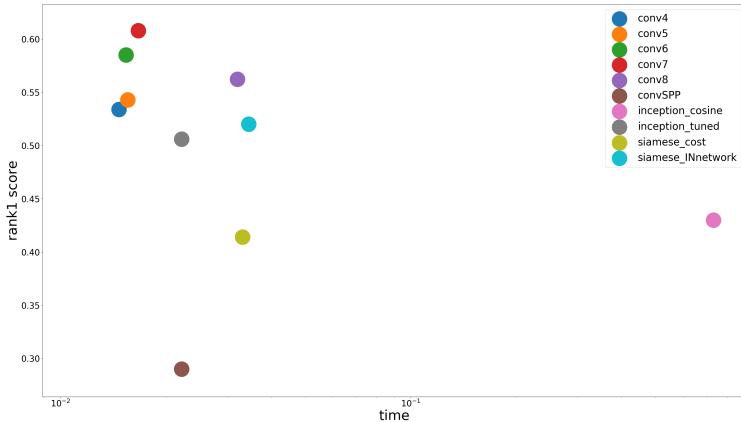


Figure 33: Performance-timing comparision.

## 7 Datasets and evaluation procedures

Next we explain the datasets and measures utilized in this thesis.

## 7.1 Datasets for object detection

This section describes the most common datasets used in object detection tasks. Throughout the history of computer vision research datasets have played a critical role. They not only provide a means to train and evaluate algorithms, they drive research in new and more challenging directions. In order to accomplish this, they provide:

- a collection of challenging images and high quality annotation.
- an standard evaluation methodology, so the performance of the algorithms can be compared.

In the next subsections, we will explain the main datasets for object detection task.

### 7.1.1 Pascal Visual Objects Classes

The Pascal Visual Object Classes (VOC) challenge [9] is a benchmark in visual object category recognition and detection. Organised annually from 2005 to 2012, the challenge and its associated dataset has become accepted as one of the most benchmark for object detection. All the images are from the flickr consumer photographs website and annotated with the Mechanical turk tool.

The most popular editions of the challenge for object detection are those from years 2007 and 2012.

### 7.1.2 VOC07

The challenge of the year 2007, it contains 10 thousand images in the trainval and test sets, with almost 12 thousand objects. This was one the first datasets for object detection before the era deep learning. Also, it is very useful for researchers, due it has 2.5 mean object per image and it is very challenging. In the figure 34 we can observe the distribution of images and objects instances.

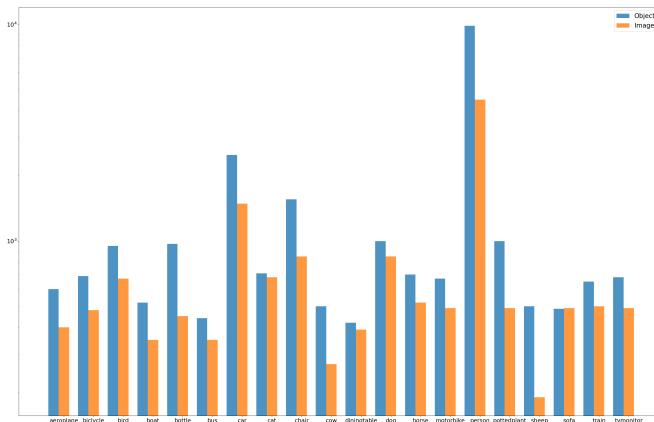


Figure 34: Distribution of VOC07 dataset.

### 7.1.3 VOC12

The 2012's edition is also, one of the most used dataset in object detection tasks. It increases the volume of images of the 2007 edition up to 10 thousand images on trainval and test set and similar quantity of instances per image.

### 7.1.4 ImageNet

ImageNet project [8] with the challenge ImageNet Large Scale Visual Recognition Challenge [ILSVRC] was the first large-scale database, temporally developed to supply the deep learning techniques, eager of feed with tons of images. ImageNet aims to populate the majority of the 80000 synsets of WordNet with an average of 500-1000 clean and full resolution images. The collection was based on the query of that words on several image search engines and human refined on the Amazon Mechanical Turk platform.

In 2016, the project collects more than 10 million of annotated images with 1000 classes. Although its main purpose is image classification, it has an object detection challenge with 200 categories with over a 1 million images with objects annotated.

### 7.1.5 COCO

The Microsoft Common Objects in Context also known as COCO dataset [38], is a dataset that address the three core research problems in scene understanding:

- detecting non-iconic views of objects, for many dataset most of the objects have an iconic representation, they appear unobstructed , near the center of the photo and with their canonical shape. So in this dataset, they included images to struggle the object recognition task, like objects in the background, partially occluded, amid clutter. Therefore, reflecting the composition of actual everyday scenes.
- contextual reasoning between objects, nowadays natural images contain multiple objects, and their identity can only be solved using context, due to small size or ambiguous appearance in the image, so in this dataset, images contain scenes rather isolated objects.
- the precise 2D localization of objects, also the detailed spatial understanding of object layout will be a core component of an image understanding system, so this dataset struggle to do so.

So, the three main tasks of this challenge are object classification, object detection and semantic scene labelling. This dataset contains 91 object categories, with 2.5 million labelled object instances in 328 thousand images, labeled with the Amazon Mechanical Turk tool.

### 7.1.6 Dataset comparison

The datasets from Pascal challenge are very useful to test object detection algorithms, their quantity is very handy ( a few thousands of images ) and contains a challenging quantity of objects per image, very interesting for the algorithms. But its little amount

of images does not permit to train a network on this dataset, although it can be used to finetune the network.

The datasets for the ImageNet challenge are not used to much in object detection tasks, it contains a few instances per image, this not encourage researcher to use it. Although, it is very utilize to extract features and then finetune your net.

The COCO datasets, is the most recent one, is the one focus on object recognition, and the detection suppose a challenge due the objects are in common places and are very challenging to detect. And it is very interesting to due of the quantity of instances per image.

The COCO challenge contains 91 object categories with 82 of them having more than 5 thousand labeled instances. In total the dataset has 2.5 million labeled instances in 328 thousand images. In contrast to ImageNet dataset, COCO has fewer categories but more instances per category. Also, it has more instances per category than the VOC dataset. We can observe that difference in the chart 35. This fact aid in learning detailed object models capable to chance the variability and also its 2D location.

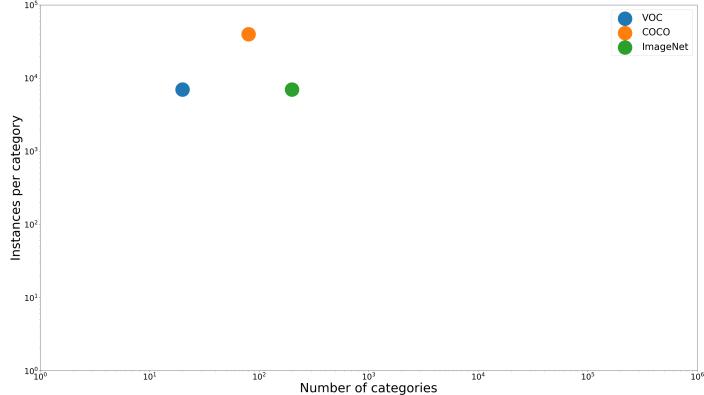


Figure 35: Distribution of pascal.

In addition, another prominent feature of the COCO over the other two, is the number of labelled instances per image which may aid in learning contextual information. This difference can be seen in ?? chart.

Moreover, the COCO dataset uses images from non-canonical point view, allowing to the algorithm to be robust to everyday views. This feature can be observed in the plot 36, in this plot we can observe differences views of the same category. And clearly the coco's images is the most uni-conic representation.



(a) Pascal VOC.

(b) ImageNet.

(c) COCO.

Figure 36: Distribution of pascal.

Finally, the table 2 summarizes the main statistics of the dataset stated previously.

	VOC07	VOC12	ImageNet [ 2014 ]	Coco [ 2015 ]
<i>trainval set</i>	5011	11540	476688	165482
<i>test set</i>	4952	10991	40152	81434
<i>Number of classes</i>	20	20	200	80
<i>Mean obj per image</i>	2.5	2.4	1.1	7.2
<i>Number person instances</i>	4690	8566	-	300000

Table 2: Datasets tables

### 7.1.7 Evaluation of object detection algorithms

In order to compare the performance of the different datasets, each challenges establish a clear measure. In this thesis, we used the interpolated average precision (AP), used in the Pascal VOC challenge (based on [54]).

For each class, the precision/recall curve is computed from a method's ranked output.

- Recall, is defined as the proportion of all positives examples ranked above a given rank.
- Precision is the proportion of all examples above the rank which are from the positive class.

The AP summarises the shape of the precision/recall curve, and is defined as the mean precision at a set of eleven equally spaced recall levels  $[0, 0.1, \dots, 1]$ :

$$AP = \frac{1}{11} \sum_{r \in (0, \dots, 1)} p_{\text{interp}}(r)$$

The precision at each recall level  $r$  is *interpolated* by taking the maximum precision measured for a method for which the corresponding recall exceeds  $r$ :

$$p_{\text{interp}}(r) = \max_{\hat{r}: \hat{r} > r} p(\hat{r})$$

The authors justified this measurement as a way to reduce the impact of the 'wiggles' in the precision/recall curve, caused by small variations in the ranking of examples. In the figure 37, we can observe this effect on the curve.

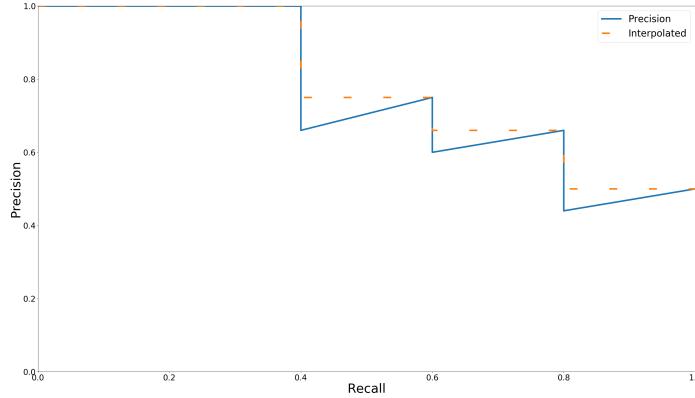


Figure 37: Comparision interpolated and normal curve.

In addition, detections were assigned to ground truth objects and judged to be true/false positives by measuring bounding box overlap. To be considered a correct detection, the area of overlap  $a_0$  between the predicted bounding box  $B_p$  and ground truth bounding box  $B_{gt}$  must exceed 0.5 by the formula:

$$a_0 = \frac{\text{area}(B_p \cap B_{gt})}{\text{area}(B_p \cup B_{gt})}$$

where  $B_p \cap B_{gt}$  denotes the intersection of the predicted and ground truth bounding boxes and  $B_p \cup B_{gt}$  their union. The threshold of 50 % was set deliberately low to account for inaccuracies in bounding boxes in the ground truth data. Multiple detections of the same object in an image were considered false detections.

Finally, we want to point out, even we don't take into account in our implementation, setting the threshold IoU to a value of 0.5 could cause misdetections of small objects [8], they propose an adaptive setting of that threshold based on the size of the ground truth and so detect correctly small objects. In practice this change only affects 5.5% of objects in the detection validation set.

## 7.2 Datasets for multiple object tracking

Evaluating and comparing multi-target tracking methods is not trivial for numerous reasons.

- First, the perfect solution one aims to achieve is difficult to define clearly. Partially visible, occluded, or cropped targets, reflections, and objects that are very closely resemble targets; all impose intrinsic ambiguities, such that even humans may not agree on one particular ideal solution.

- Second, a number of different evaluation metrics with free parameters and ambiguous definitions often lead to inconsistent quantitative results across the literature.
- Finally, the lack of pre-defined test and training data makes it difficult to compare different methods fairly.

In contrast to other research areas in computer vision, still lacks large-scale benchmarks.

### 7.2.1 PETS

Targeted primarily at surveillance applications [10]. The 2009 version consisted of 3 subsets, S1 targeted at person count and density estimation, S2 targeted at people tracking, and S3 targeted at flow analysis and event recognition. In the 39 we can observe one image from this dataset.



Figure 38: Example of Pets.

Even for this widely used benchmark, we observe that tracking results are commonly obtained in an inconsistent fashion: involving using different subsets of available data, different detection inputs, inconsistent model training that is often prone to over-fitting, and varying evaluation scripts. Results are thus not easily comparable [32].

### 7.2.2 MOT challenge

The aim of the Multiple object tracking [MOT] is to standardize the use of multiple people trackings datasets, in order to do so, they solve the problems in this kind of dataset, explained above.

### 7.2.3 Evaluation of multiple people tracking algorithms

A critical point with any dataset is how to measure the performance of the algorithms. A large number of metrics for quantitative evaluation of multiple target tracking have been proposed. Choosing unique general evaluation is still ongoing.

On the one hand, it is desirable to summarize the performance into one single number to enable a direct comparison. On the other hand, one might not want to lose information about the individual errors made by the algorithms and provide several performance estimates, which precludes a clear ranking.

We will explain two sets of measures that have established themselves in the literature the CLEAR metrics [58], and a set of track quality measures [72].

As in the object detection metrics, we can classify each tracket, whether it is a true positive, that describes an actual (annotated) target, whether the output is a false alarm ( or false positive, FP ). This decisions is typically made by the well-known thresholding measure of Intersection of the union [IoU]. Also a target that is mised by any tracker is a false negative.

Due to we are working with multiple object, we assume that each ground truth trajectory has one unique start and one unique end point, that is not fragmented. So we need to penalty re-identification. This is called, identity switch [IDSW], and it is counted as if a ground truth target  $i$  is matched to track  $j$  and the last known assignment was  $k = j$ . The next figure summarizes the stated measures ( the grey area indicate the the matching threshold ).

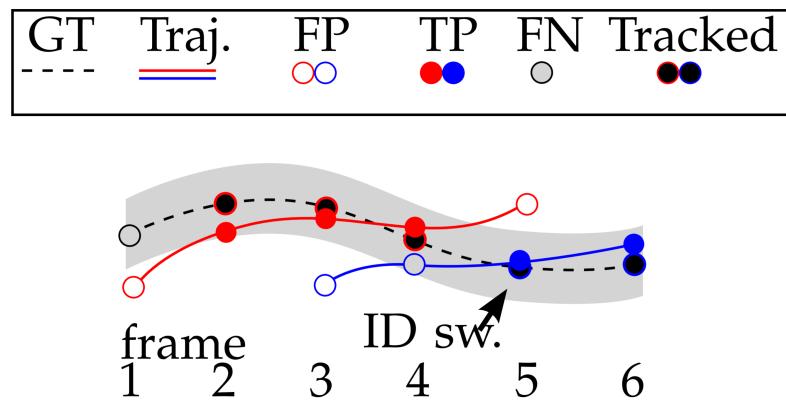


Figure 39: Example of measures.

Then after determining true matches and establishing the correspondandes it is now possible to compute the metrics over all the sequences.

The multiple object tracking accuracy [MOTA] [58] is perhaps the most widely used figure to evaluate a tracker's perfomance. The main reason for this is its expressiveness as it combines as it combines three sources of errors defined above:

$$MOTA = 1 - \frac{\sum_t (FN_t + FP_t + IDSW_t)}{\sum_t GT_t}$$

where  $t$  is the frame index and GT is the number of ground truth objects. This measures gives an indication of the overall performance.

The multiple object tracking precision [MOTP] is the average dissimilarity between all true postives and their corresponding ground truth targets. For bounding box overlap, that is computed as

$$MOTP = \frac{\sum_{t,i} d_{t,i}}{\sum_t c_t}$$

where  $c_t$  denotes the number of matches in frame  $t$  and  $d_{t,i}$  is the bounding box overlap of target  $i$  with its assigned ground truth object. Thereby gives the average overlap between all correctly matched hypotheses. So, the MOTP is a measure of localization precision.

As we have stated above, another metrics are the track quality measures. Each ground truth trajectory can be classified as mostly tracked (MT), partially tracked (PT), and mostly lost (ML). This is done based on how much of the trajectory is recovered by the tracking algorithm. A target is mostly tracked if it is successfully tracked for at least 80% of its life span, without consider if there are an identity switch. If a track is only recovered for less than 20% of its total length, it is said to be mostly lost (ML). All other tracks are partially tracked. Finally antoher quality measure is track fragmentations (FM), it counts how many times a ground truth trajectory is resumed at a later point.

### 7.3 Datasets for pedestrian identification

A number of datasets for image-based re-Identification have been released, and some commonly used datasets are summarized in table 3.

Name	Date	Images	IDs	Cameras	Label	Evaluation
<i>VIPeR</i> [62]	2007	1264	632	2	hand	CMC
<i>iLIDS</i> [68]	2009	476	119	2	hand	CMC
<i>GRID</i> [63]	2009	1275	250	8	hand	CMC
<i>CAVIAR</i> [15]	2011	610	72	2	hand	CMC
<i>PRID2011</i> [22]	2011	1134	200	2	hand	CMC
<i>WARD</i> [7]	2012	4786	70	3	hand	CMC
<i>CUHK01</i> [36]	2012	3884	971	2	hand	CMC
<i>CUHK02</i> [34]	2013	7264	1816	10	hand	CMC
<i>CUHK03</i> [35]	2014	13164	1467	2	hand/DPM	CMC
<i>RAiD</i> [42]	2014	1264	43	4	hand	CMC
<i>PRiD 450S</i> [51]	2014	900	450	2	hand	CMC
<i>Market-1501</i> [75]	2015	32668	1501	6	hand/DPM	CMC/mAP

Table 3: Statistical comparision datasets.

Over recent years, progress can observed. The dataset scale is increasing. Many of these datasets are relatively small in size, especially those of early days, but recent datasets, such as CUHK03 and Market-1501, are larger. Both have over 1000 ID's and over 10000 bounding boxes, and both datasets provide good amount of data for training deep learning models. Second, the bounding boxes tend to be produced by pedestrian detectors, instead of being hand-drawn. Also, more cameras are used during collection, this helps to increase generalization. There are not a prominent dataset in the literature.

### 7.3.1 Evaluation for pedestrian identification

When evaluating identification algorithms, the cumulative matching characteristics (CMC) curve is usually used. CMC represents the probability that a query identity appears in different sized candidate lists.

Formally [17], for each probe  $p$  from  $P_G$  we sort the similarity scores against gallery  $G$ , and obtain the rank of the match. Identification performance is then stated as the fraction of probes whose gallery match it at rank  $r$  or lower. If the set of probes with a close match is:

$$C(r) = \{p_j : \text{rank}(p_j) \leq r\} \quad \forall p_j \in P_G$$

where the rank is defined as before. We now define the Cumulative match characteristic (CMC) to be the identification rate as a function of  $r$ :

$$P_I(r) = \frac{|C(r)|}{|P_G|}$$

which we plot as the primary measure of identification performance. It gives an estimate of the rate at which probe images will be classified at rank  $r$  or better. One drawback of the characteristics is its dependence on gallery size,  $|G|$ .

## 8 Experiments

In this section, we will analyse the performance and the timings of our solution.

### 8.1 Performance

Using the code provided by the MOT16 challenge organization, we evaluate our solution, we evaluated the results of the version without re-identification module,  $v1$ , the version with optical flow forward-backward  $v2$ , and the version with re-identification module and without forward-backward method, also we compare with the state of the art, an anonymous submission to the MOT16's website. The results are showed in table 4.

	Rcll	Prcn	FAR	GT	MT	PT	ML	FP	FN	IDs	FM	MOTA	MOTP	MOTAL	FPS
$v1$	21.4	49.5	5.17	517	12	180	325	13339	78998	827	1053	9.8	69.1	6.5	18.2
$v2$	21.3	50.4	5.12	517	11	181	325	11212	75738	827	1056	9.7	67.3	6.1	9.0
$v3$	19.6	61.8	2.52	517	3	127	387	13373	78999	618	936	10.8	70.3	7.5	15.85
SOTA	-	-	-	517	92	219	206	5333	86795	391	-	49.3	79.0	-	0.8

Table 4: Results algorithm global.

According to this results, we decided to do not use the forward-backward method, due for its high computation demands. Also, we observe the usage of a mechanism of re-identification increment the MOTA performance, so the overall performance of the detector, with the cost of increase the running time. This improvement come from the reduction in around 24% the identity switching (ID's) parameter.

Finally we can observe the results in all the sequences 5, we get a MOTA of 10.8 and a frame rate of 15.85 FPS.

	Rcll	Prcn	FAR	GT	MT	PT	ML	FP	FN	IDs	FM	MOTA	MOTP	MOTAL	FPS
02	12.9	51.4	3.63	54	0	13	41	2181	15526	113	146	0.1	67.1	0.7	9.02
04	28.5	71.2	5.23	83	0	41	42	5495	33980	185	290	16.6	71.1	17.0	12.3
05	30.9	42.4	3.41	125	3	43	79	28571	4713	83	109	-12.2	67.8	-11.1	17.94
09	38.7	68.6	1.78	25	1	19	5	932	3225	62	71	19.7	71.2	20.9	10.52
10	5.4	62.4	0.62	54	0	4	50	404	11647	81	133	1.5	68.4	2.1	14.23
11	19.7	65.6	1.05	69	0	16	53	948	7366	72	121	8.6	71.4	9.4	17.49
13	6.2	34.9	1.75	107	0	9	98	1315	10743	32	59	-5.6	67.1	-5.4	20.5
Global	19.6	61.8	2.52	517	3	127	387	13373	88754	618	936	10.8	70.3	7.5	15.85

Table 5: Results algorithm by sequences.

The algorithm gets the best performance on sequences with a fixed camera from an elevated view point like sequences 4 and a low angle recording with a high frame rate and close targets like sequences 9, and 11, but it fails in sequences with low frame rate like sequences 5 and when the targets are away from the camera like 13.

## 8.2 Timing

As we stated above the mean frame rate of the algorithm with the person re-identification mechanism is 15.86. In figure 40 we can observe a barplot of per frame time consumption of our algorithm. We notice the peaks each 30 frames, these belong to the execution of the siamese network and it depends on how many detections without assignment there are.

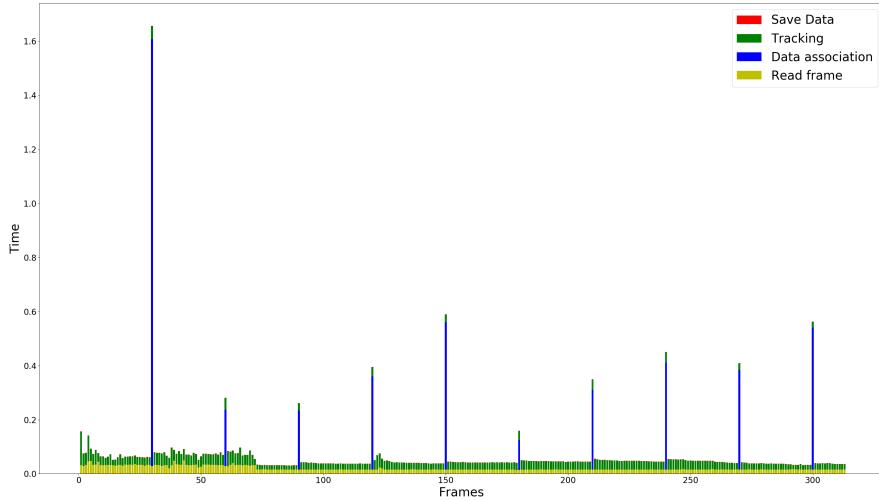


Figure 40: Barplot of the timming.

Getting a zoom of the graph 42, we can observe when the object detector finishes, it is around the frame 75, then the execution time of the algorithm decreases, the time of

reading the frame remain constant, and the tracking gets a peak after the detection and afterwards decrease this is due it erase tracket with the lost mechanism.

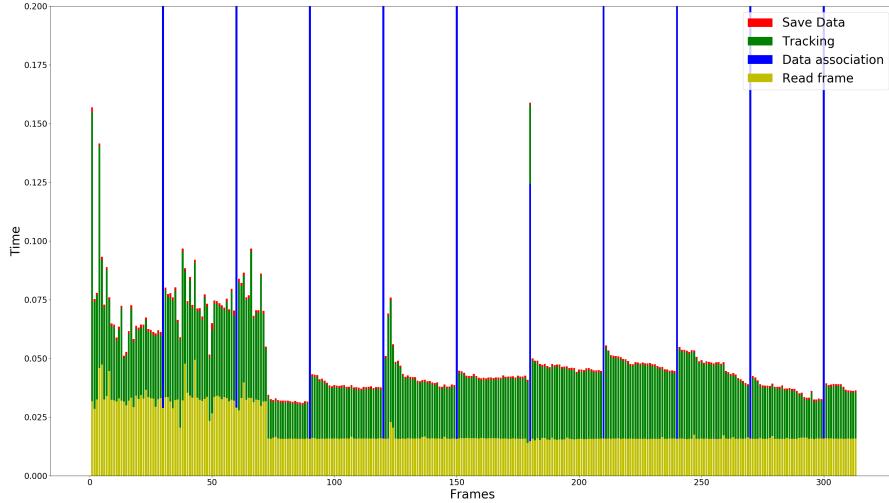


Figure 41: Zoom in of the barplot.

To optimize our code we studied how to reduce the execution time of the tracking module, plotting the execution time against some dependent variables, in this case, the number of points and the size of the ROI, as we can observe in figure 42. We notice that the execution time is highly correlated with the size of the pedestrian's ROI and not with the number of points. The main responsible is the OpenCV's routine `calcOpticalFlowPyrLK()`, but we could not modify this parameter, and reducing the number of points would have a remarkable importance in the execution time.

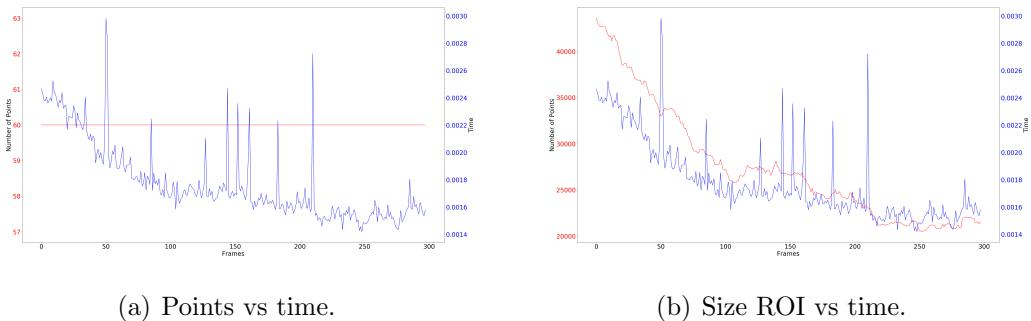


Figure 42: Time versus points and size of the ROI.

## 9 Conclusions

In this thesis we studied deep learning techniques and their application in a tracking algorithm. We included an object detector and a person re-identification module based on those techniques and we implemented a tracking algorithm.

This tracking algorithm, takes the detections of the object detector and computes the optical flow on the sequences. When it misses some pedestrians it can preserve their identity with a person re-identification module.

Finally we evaluated our algorithm in a well-known challenge, MOT16, and analyses its performance and timing capabilties on it. The algorithms performs reasonably well in sequences of high frame rate and resolution, but in the opposite sequences, low frame rate and resolution the performance drop dramatically. This is happens because our algorithm rely on points with high texture, with a low resolution there are less points with this characteristics. Also, we have problems with people who wear low texture or are away from the camera, as we can observe in figure 43. The low frame rate, penalize the matching capabilites between frames, it produces wrong matches between images, therefore, wrong displacements.



Figure 43: Differences texture examples.

## 9.1 Future work

This is a first entrance on trackings algorithm, we have a resanoable results. We can add some details to improve our results.

- Port to C++. We used a sripting programming languagge, if we switch to a compiled programing language we would increase the time perfomance.
- GPU implementation. Computing displacement for each tracket could be computed in a parallel way. They are independent from each other and are a low demanding.
- Propabilistic framework. Include bayesian filter techniques to increase perfomance.
- Siamese architectures. Study new siamese architecture to increase the accuracy of this modeule, like inception stem of InceptionV3 or include the optical flow information in the neural network.

- Data association. Use much confidence techniques to associate the detections, the current methods relay ond probablistic graphical models.

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## 10 Annex