

# Rockefeller University records, Faculty-Student records, Sponsored Programs, Grant records

This finding aid was produced using ArchivesSpace on October 04, 2018.

Rockefeller Archive Center

15 Dayton Avenue Sleepy Hollow 10591

archive@rockarch.org
URL: http://www.rockarch.org

# **Table of Contents**

Summary Information	3
Biographical / Historical	3
Scope and Contents	4
Arrangement	4
File Plan	2
Administrative Information	5
Collection Inventory	5

#### **Summary Information**

**Repository:** Rockefeller Archive Center

**Creator:** Rockefeller University.

**Creator:** Rockefeller Institute for Medical Research.

**Title:** Rockefeller University records, Faculty-Student records, Sponsored

Programs, Grant records

**ID:** FA1328

**Date [inclusive]:** 1944-1977

**Physical Description:** 5.4 Cubic Feet 12 document boxes

**Language of the** English

**Material:** 

Language of the

Material:

English

#### **Preferred Citation**

Information regarding the Rockefeller Archive Center's preferred elements and forms of citation can be found at <a href="http://www.rockarch.org/research/citations.php">http://www.rockarch.org/research/citations.php</a>

# **Biographical / Historical**

In March 1960 an Office of the Registrar was established to maintain records of faculty and students. This was a function which to this date had been handled by the Dean of Students and through the Office of the President. Earlier records of the scientific staff had been kept in the Office of the Business Manager. The first appointment to the position of Registrar was Marian Lucius who resigned in June 1972.

The functions of the office developed as it became an adjunct to the Office of the President. Reports to the New York State Education Department were made from this office. Various statistical data on institutional facilities, such as the library; the number of degrees granted; subjects and rank of instructional staff were filed through this office to be reported to the State Department of Education.

<sup>^</sup> Return to Table of Contents

When a program of state-aid to non-public institutions of higher education was established required reports were made each year.

After the resignation of Marian Lucius, Phyllis Viets was appointed and served to June 1974.

Antonia Siddiqi, from the Office of the President, took charge of the funtions of the Office of Faculty and Student records in addition to her work on the Grant Proposals and Grant Programs of the various laboratories. In 1979 Antonia Siddiqi became Secretary to the Board of Trustees as well as Director of the Office of Sponsored Programs with Eleanor Gibson handling much of the routine of Faculty and Student records.

^ Return to Table of Contents

# **Scope and Contents**

Contains early grants, grants made for construction in new laboratory space or new health research facilties, as well as institutional grants made for trainee or post-doctural fellowships, and grants made to support research of a scientist(s).

^ Return to Table of Contents

# Arrangement

Primarily arranged alphabetically by the name of the scientist receiving the grant, with early grants generally arranged in sequence.

^ Return to Table of Contents

#### File Plan

Former Classification: I 370.2

^ Return to Table of Contents

# **Administrative Information**

#### **Publication Statement**

Rockefeller Archive Center

15 Dayton Avenue Sleepy Hollow 10591 archive@rockarch.org

URL: http://www.rockarch.org

# **Conditions Governing Access**

Open for research. Brittle or damaged items are available at the discretion of the RAC.

# **Collection Inventory**

Title/Description	Instances		
Grants, 1955-1958	box 1	folder 1	
<b>Scope and Contents</b>			
Summaries and listings of pending and unexpected grant balances. Includes correspondence with the Bursar and the Business Manager.			
Grants, 1957-1964	box 1	folder 2	
<b>Scope and Contents</b>			
General correspondence between the Business Manager and D. W. Bronk and the National Science Foundation (NSF). Includes June 1964 statement from NSF clarifying possible conflicts of interest for NSF Board Members.			
Grants and Laboratory Development, 1959-1960	box 1	folder 3	

<sup>^</sup> Return to Table of Contents

NSF grants 1959-1960. Plans and proposals for completion of laboratory space in the South Laboratory. Correspondence is between F. Brink, Alan Waterman and Douglas Whitaker.

Grants for Health Research Facilities, 1956-1958	box 1	folder 4	
Scope and Contents			
Correspondence dealing with applications for financial support for new Rockefeller Institute laboratories.			
Grants for Health Research Facilities, 1959-1962, 1968, 1970, 1971	box 1	folder 5	
NIH Post Doctoral Fellows, 1960-1965	box 1	folder 6	
NSF Graduate Traineeships, 1963-1968	box 1	folder 7	
Agosta, William C., 1969	box 1	folder 8	
Scope and Contents			
Alfred P. Sloan Foundation			
American Cancer Society, 1946-1959	box 1	folder 9	
Scope and Contents			
1946-1951 first granted to provide support to continue the work started in the laboratory of James Murphy. 1953-1955 grant continued to Rockefeller Institute under the direction of Keith R. Porter and Keffer Hartline. Includes 1959 correspondence with Douglas Whitaker.			
Bearn, Alexander, 1959-1966	box 2	folder 1	
Scope and Contents			
1959-1966 National Foundation grant.			
Chase, Merrill W., 1956-1968	box 2	folder 2	
Scope and Contents			
1956-1968 Public Health Service			
Choppin, Parnell with Igor Tamm, 1967-1970	box 2	folder 3	
Scope and Contents			
1967-1970 AT (30-1)-3983 Atomic Energy Commission Animal Virus Stucture and Development.			
Craig, Lyman C., 1958-1968	box 2	folder 4	

AM 02493-1081 Sturctural studies of active principles. Correlation of membrane diffusion and sedimentation data.

Cranefield, Paul, 1967-1972	box 2	folder 5	
Scope and Contents			
HL 11994 National Heart and Lung Institute "Effect of Drugs on cardiac arrhythmias".			
De Duve, Christian, 1962-1971	box 2	folder 6	
Scope and Contents			
GB 5796. GB 588. 2871. 3621. B6-2333. NSF Biochemical studies on lysosomes and peroxesomes. GB26222 purchase of an electronic microscope.			
Di Cara, Leo V., 1969-1972	box 2	folder 7	
Scope and Contents			
American Heart Association, PHS from NIMH.			
Dole, Vincent P., 1958-1968	box 2	folder 8	
Scope and Contents			
AM 03963 Mobilization and transport of lipoids. AM 02427-0581 Metabolic activity of non-esterified fatty acids. A3963 The turnover of plasma lipoids in diabetic ketosis. 1964 NAPAN Narcotic Addiction.			
Dubos, Rene, 1960-1975	box 2	folder 9	
Scope and Contents			
Health Research Council "Infection in Disease-Free and Germ-Free Animals".			
Dubos, Rene, 1964-1971	box 2	folder 10	
Scope and Contents			
HEW "Intestinal Flora and Growth - Resitance to Disease". Includes 1959 and 1964 on "Latency and Reactivation of Bacterial Infections on which Dubos was co-investigator with Dr. Cynthia H. Pierce-Chase.			
Edelman, Gerald, 1960-1971	box 3	folder 1	

NIAMD and NSF. "Interaction and Energy Transfers in Biological Systems". 1966-1967 "Structure and Activity of Proteins".

Franzl, Robert E., 1965-1972	box 3	folder 2	
Scope and Contents			
RSF "Studies on the Induction of the Immune Response".			
Granick, Sam, 1960, 1964, 1971	box 3	folder 3	
Scope and Contents			
NSF G14418. GB 270. GB 2617. GB 6818. "Studies toward growth and differentiation of chloroplasts in vitro." Growth and differentiation of plastids". "Control of heme and chlorophyll biosynthesis by certain steroids". 1966 grant request for Aharan Gibor withdrawn.			
Jackson, George, 1961-1971	box 3	folder 4	
Scope and Contents			
Development Award-Research Career Program. "Helminth Nutrition; Excretion and Host Reaction".			
Jamieson, James D., 1966-1971	box 3	folder 5	
Scope and Contents			
NIAMD AM 10928. National Cystic Fibrosis Research Foundation. "Cell Secretion and Membrane Formation in the Pancreas".			
Krause, Richard, 1968-1975	box 3	folder 6	
Scope and Contents			
NIH Al-9267. Antibody Responses in Rabbits through Selective Breeding. 1967-1971 American Heart Association. "Innunochemical Studies on Streptococcal Antibodies".			
Kunkel, Henry G., 1957-1964	box 3	folder 7	
Scope and Contents			
National Foundation.			
Leader, R. W., 1965-1971, 1975	box 3	folder 8	

Marler, Peter R., 1966-1970

Mink Farmers Research Foundation HEW Al 09046

Lipmann, Fritz, 1961-1965	box 3	folder 9	
Scope and Contents			
NSF G17436; PHS C3159. NSF 04341 1958-1961 "Metabolic Group Activation". "Biosynthetic mechanisms".			
Lloyd, David P. C., 1960-1971, 1975	box 3	folder 10	
Scope and Contents			
NS 02816. National Institute of Neurological and communicative disorders and stroke. "Neuroglandular Physiology".			
Leob, Jacques	box 3	folder 11	
Scope and Contents			
Sponsored jointly bt RIMR and the Office of Naval Research, J. Ross Stevenson 1963-1964. Francis L. Lambert 1960-1961. Harold Hum 1959-1960. Includes correspondence between D. Whitaker, S. R. Galler, Office of Naval Research in establishment of program, reports and posters.			
Lundeen, Carl, 1971-1973	box 3	folder 12	
Scope and Contents			
Corn products company.			
Lynch, Clara, 1957-1972	box 4	folder 1	
Scope and Contents			
CA 08974 AI 07377. "A genetic study of sisceptibility of mice to experimental tuberculosis". Includes reprint from Laboratory Animal Care 19 (1969) 214-220 "The So-called Swiss Mice".			
McCarty, Maclyn, 1960-1971	box 4	folder 2	
Scope and Contents			
1960-1967 "Training in Human Biology" basic research in biological sciences abd clinical investigation on abnormalities of human biology. Originally designed by Dr. F. Horsfall to be carried out in nine laboratories including McCarty, Dole, Dubos, Kunkel, etc. 1966-1971 Biomedical Science Support Grant. Includes correspondence with D. W. Bronk, F. Seitz, Wm. Stein, NIH and NIMH and listings of recipients.			

box 4

folder 3

NSF "A comparative study of instinctive behavior in certain vertebrate animals". "A Comparative Study of Vocal Learning".

Mauzerall, David, 1967-1970	box 4	folder 4	
Scope and Contents			
Photobiological systems and their analouges			
Merrifield, Bruce R., 1956-1969	box 4	folder 5	
Scope and Contents			
Original grant made to D. W. Woolley (principal investigator) who died in 1966. Merrifield continued work as "Synthesis of Peptides".			
Mirsky, Alfred E., 1956-1972	box 4	folder 6	
Scope and Contents			
Physiological Activities of Nucleoproteins"			
Moody, Michael F., 1966-1972	box 4	folder 7	
Scope and Contents			
Grant transferred to Brookhaven in 1972. "Fine structure of bacteriophages".			
Morse, Stephan I., 1964-1969	box 4	folder 8	
Scope and Contents			
1962-1963 AI 04648 "Antigenic structure of staphylococci". "Lymphocyte response to B pertussis" Relinquished to Downstate Medical Center.			
Office of War Information, 1944-1946	box 4	folder 9	
Scope and Contents			
Correspondence between H. S. Gasser and Cleveland Norcross regarding return of research materials and declassification for publication purposes.			
Pfaffman, Carl, 1965-1972	box 4	folder 10	

#### **Scope and Contents**

Department of the Navy N00014-66-C0067 "Physiological and behavioral mechanisms of taste and smell". 1965-1972 NSF GB 4198, GB 25001 "Physiological and behavioral mechanisms of the chemical senses. Primarily taste". 1968 NSF B80694C, GB7592 "Third International Symposium

for olfaction and taste". 1969 Nutrition Foundation Grant "Metabolism, blood constitutants and taste sensitivity".

Printz, Martin, 1971-1972	box 5	folder 1	
Scope and Contents			
Transferred to University of California.			
Reich, Edward, 1964-1972	box 5	folder 2	
Rizack, Martin A., 1961-1969	box 5	folder 3	
Scope and Contents			
NSF			
Rothen, A., 1961-1970	box 5	folder 4	
Sabatini, David, 1968-1972	box 5	folder 5	
Scope and Contents			
Transferred to New York University School of Medicine.			
Siekevitz, Philip, 1963-1967	box 5	folder 6	
Scope and Contents			
1967 NSF GB 6654 Equipment. 1963-1964 NSF GB 864 "Metabolism of different RNA species in sea-urchin embryos".			
Sunderlin, Charles E., 1971	box 5	folder 7	
Scope and Contents			
NSF GP27971 1971 February-July. Site visits and consultation fees "Coordination of evaluation of interdisciplinary materials research laboratories."			
Tamm, Igor, 1961-1968	box 5	folder 8	
Scope and Contents			
1961 U-1047 Health Research Council "Interaction of Human Viruses with receptors". NSF 1968 GB7681 with P. Choppin.			
Trager, William, 1962-1972	box 5	folder 9	
Scope and Contents			
Biochemical changes accompanying malarial infection. "Biochemistry of intercellular parasitism in malaria".			
Voeller, Bruce, 1971-1972	box 5	folder 10	

NSF renewal of GB5350x, GB30611 "Developmental and evolutionary studies of pteridophyytes".

Wang, Hao, 1961-1967	box 5	folder 11	
Scope and Contents			
New York State Science and Technology Foundation			
Weiss, Paul A., 1955-1961	box 5	folder 12	
Scope and Contents			
National Cancer Institute			
Weiss, Paul A., 1966-1975	box 5	folder 13	
Scope and Contents			
National Cancer Institute			
William, Curtis A., 1957-1967	box 6	folder 1	
Scope and Contents			
With Rene Dubos "Study on fractionation of tubercle bacilli related to basic fractionation of tubercle bacilli related to basic immunology and vaccination against tuberculosis". With Edward Tatum "Biochemical and cytological analysis of the cytoplasmic incompatibility reaction in Neurospora crassa".			
William, Curtis A., 1968-1971	box 6	folder 2	
Scope and Contents			
1968-1969 "Effect of brain specifiic antibody on real behavior". Same title 1969-1971 National Association for Mental Health. 1965-1968 PHS AI 073456-01 "Regulation of plasma protein synthesis. 1970-1971 Grant Foundation: "Behavioral effects of antibody reaction in the brain".			
Wolf, Beverly, 1959	box 6	folder 3	
Scope and Contents			
PF-74 American Cancer Society			
Zworykin, V. K., 1959-1962	box 6	folder 4	
Scope and Contents			
PHS A3307 (c2). "Electronic Data Processing in Hematology".			
Zworykin, V. K., 1957-1959	box 6	folder 5	

Contract with Veterans Administration for clinical testing: "Pressure-sensitive ingestible radio capsule. 1961 proporal to NSF for printing photographs for paper: "Ultraviolet color-translating television microscopy".

Immigration, 1928-1962	box 7	folder 1	
Scope and Contents			
Correspondence by Waldo Flinn with U. S. Immigration and Naturalization authorities on behalf of and with students or scientists from abroad at Rockefeller Institute.			
Office of Scientific Research and Development, 1941-1944	box 7	folder 2	
Scope and Contents			
Correspondence between H. S. Gasser, John D. Rockefeller, Jr, V. Bush, E. B. Smith, Irvin Stewart (Ex. Secr. OSRD) and others about contracts and grants during World War II and various provisions of contracts.			
Office of Scientific Research and Development, 1945-1947, 1951	box 7	folder 3	
Visiting Investigators, 1955-1956	box 7	folder 4	
Office of Naval Research, 1956 February-1959 April	box 7	folder 5	
Scope and Contents			
Correspondence on the establishment of the Jacques Loeb Associate in Marine Biology. The first recipient was Harold Humm.			
Public Health Service Fellowships, 1955	box 7	folder 6	
Public Health Service - Health Research Facilities, 1956-1959	box 7	folder 7	
NDEA Fellowships, 1959-1960	box 7	folder 8	
NSF Senior Foreign Policy Program, 1955-1972	box 7	folder 9	
Post-doctortal fellowships, 1960-1964	box 7	folder 10	
NSF Institutional Grants, 1960-1971	box 7	folder 11	
NSF Graduate Traineeships, 1964-1973	box 7	folder 12	
Foundation for Medical Technology, 1962-1966	box 8	folder 1	
Health Research Council, 1965-1970	box 8	folder 2	
<b>Scope and Contents</b>			
Summary of grants received.			
NSF and NIH Fellowship Supplements, 1967	box 8	folder 3	

Clinical Research Volunteer Program, 1973	box 8	folder 4	
Aherns, E. H., 1960-1973	box 8	folder 5	
<b>Scope and Contents</b>			
NIH HE 06222 (1) - (13)			
Braun, Armin, 1956-1974	box 8	folder 6	
Choppin, Parnell - Atomic Energy Commission, 1970-1971	box 8	folder 7	
<b>Scope and Contents</b>			
Animal Virus Structure and Development			
Becker, J. NIHAMD (with Edelman, G.), 1971-1972	box 8	folder 8	
Cohen, E. G. D., 1971-1973	box 8	folder 9	
<b>Scope and Contents</b>			
NSF GP 30704 "Mathematical physics with special emphasis on statistical physics".			
Cunningham, Bruce, 1970-1973	box 8	folder 10	
<b>Scope and Contents</b>			
Lectin Stucture and Cell Fractionation. "The Chemical structure of muscle proteins".			
Dobzhansky, T., 1965-1974	box 8	folder 11-12	
Dole, Vincent P., 1963-1975	box 9	folder 1-5	
<b>Scope and Contents</b>			
Agreements with NY State Narcotics Control Commission. Health Research Council Grants 1963-1975. Agreements with NY City drug addiction 1971-1974.			
Ehrman, Lee, 1964-1974	box 9	folder 6	
<b>Scope and Contents</b>			
Population fitness: genic and cytoplasmic determinants			
Estes, W., 1968-1973	box 9	folder 7	
<b>Scope and Contents</b>			
Cognitive processes and mathematical psychology with Carl Pfaffman.			
Farquhar, Marilyn, 1968-1973	box 10	folder 1	

Research Career Grant GM25109-08. "Studies on Secretion in Anterior Pituitary Cells" started at University of California.

Gillette, Peter NF Lincoln Fund, 1971-1974	box 10	folder 2	
Scope and Contents			
Birth defects research program (sickle cell project).			
Gotschlich, Emil Health Research Council, 1971-1974	box 10	folder 3	
Scope and Contents			
Immunochemical studies of gonococcal pili			
Hartline, H. K. USPHS NB00864, 1955-1969	box 10	folder 4	
Scope and Contents			
Electrical activity, single receptors, neurons of eye			
Hotchkiss, Rolin and Magda PHS GM09427, 1962-1973	box 10	folder 5	
Scope and Contents			
Biologically active polynucleatid structures			
Jackson, George PHS AI04842, 1967-1972	box 10	folder 6	
Scope and Contents			
Helminth nutritian, excretion and host reaction			
Jamieson, James D., 1972-1973	box 10	folder 7	
Scope and Contents			
National Cystic Fibrosis Research Foundation			
Kappas, Attallah NIH, 1969-1974	box 10	folder 8-10	
Scope and Contents			
Reproductive hormones: biology and pharmacology. NIH 1971-1974 Lead poisoning pharmacological and clinical studies. Council for Tobacco Research 1971-1974.			
Kindt, Thomas Health Research Council, 1974-1977	box 10	folder 11	
Scope and Contents			
Factors affecting resistance to streptococcal infection			
Krause, Richard PHS CC00473, 1969-1975	box 11	folder 1	

Genetic control of the immune response to streptococcal antigens

Kreek, Mary Jeanne Health Research Council, 1974-1977	box 11	folder 2	
Scope and Contents			
Narcotic drugs of use and abuse			
Kunkel, Henry G. NIAMDD AM10503, 1971-1973	box 11	folder 3	
Scope and Contents			
Studies in Cystic Fibrosis			
Luck, David NSF, 1962-1974	box 11	folder 4	
Scope and Contents			
Origin and development of mitrochondria in Neurospora Crassa, originally with George Palade.			
Miller, Neal NIH	box 11	folder 5	
Morton, Herbert L. RR 00696-01, 1972-1973	box 11	folder 6	
Scope and Contents			
Animal resources improvement program			
Nemethy, George NSF GB23920, 1970-1973	box 11	folder 7	
Scope and Contents			
Noncovalent interactions in glycol solutions of micelles and of proteins.			
Pais, Abraham AEC, 1978-1972	box 11	folder 8	
Scope and Contents			
AFOSR 69-1629 Theoretical high energy physics. Department of Air Force 1968-1970 The nature of fundamental reactions.			
Palade, George NIH, 1961-1973	box 11	folder 9	
<b>Scope and Contents</b>			
National Lung and Heart Institute. Includes some NSF. "Localization of pore system in capillary walls". Scientist left to join Yale faculty.			
Pfaffman, Carl PHS, 1966-1973	box 11	folder 10-12	

Graduate training grants in behavioral sciences and psychobiology of behavior.

Reeke, George AI 09921, 1970-1973	box 12	folder 1	
Scope and Contents			
PHS "Structure of immunologically important molecules".			
Roberts, Richard, 1966-1969	box 12	folder 2	
Scope and Contents			
Interactions between meningococci and phagocytic cells.  James Hirsch listed as principal investigator.			
Sassa, Shigaru HRC, 1973-1976	box 12	folder 3	
Scope and Contents			
Studies on lead poisoning, iron deficiency			
Shannon, James A.	box 12	folder 4	
Siekevitz, Philip NSF, 1971-1973	box 12	folder 5	
Scope and Contents			
Specialized research equipment			
Tatum, Edward L., 1957-1968	box 12	folder 6	
Tamm, Igor New York Heart Association, 1969-1970	box 12	folder 7	
Scope and Contents			
Fellowship program.			
Tomasz, Alexander AEC, 1966-1971	box 12	folder 8-10	
Scope and Contents			
30-1-3686. Cellular mechanisms involved in the uptake of DNA molecules during genetic transformation			
Weiss, Jay NIMH, 1971-1973	box 12	folder 11	

#### **Scope and Contents**

Psychological-neurological interactions in stress