

Rockefeller Brothers Fund records

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Rockefeller Archive Center

15 Dayton Avenue Sleepy Hollow 10591

archive@rockarch.org

URL: http://www.rockarch.org

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Summary Information

Repository: Rockefeller Archive Center

Creator - ctb: Kissinger, Henry

Creator: Rockefeller Brothers Fund

Title: Rockefeller Brothers Fund records

ID: FA005

Date [inclusive]: 1941-2003

Physical Description: 809.56 Cubic Feet

Language of the

English

Material:

Preferred Citation

Information regarding the Rockefeller Archive Center's preferred elements and forms of citation can be found at http://www.rockarch.org/research/citations.php

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Biographical/Historical note

The Rockefeller Brothers Fund (RBF) was established in 1940 by the five sons of John D. Rockefeller Jr. and Abby Aldrich Rockefeller: John D. 3rd, Nelson A., Laurance S., Winthrop, and David Rockefeller, as a vehicle for the members of the Brothers generation to systematically coordinate their philanthropic giving as well as share consultants, advice, and resources. Its endowment was created through a gift from JDR Jr. in 1951, and a subsequent bequest from his estate in 1960. Abby Rockefeller Mauzé, the eldest sibling and the only daughter of John D. Jr. and Abby Aldrich Rockefeller, joined the RBF Board in 1954.

John D. Rockefeller 3rd served as president of the Fund from its inception until 1956. Other past presidents have included: Nelson A. Rockefeller, 1956-1958; Laurance S. Rockefeller, 1958-1968; Dana S. Creel, 1968-1975; William M. Dietel, 1975-1987; and Colin G. Campbell, 1998-2000.

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Scope and Contents note

The collection includes correspondence, reports, memorabilia, grant actions, and other material that document the philanthropic work of the Rockefeller Brothers Fund.

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Arrangement note

The collection is arranged in seven Record Groups:

RG 1 Administative and Accounting records

RG 2 Office of the President

RG 3 Project Files

RG 4 Special Studies Project

RG 5 West Africa Program

RG 6 Ramon Magsaysay Awards Foundation

RG 7 Photographs

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File Plan

Former Classification: V

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Administrative Information

Publication Statement

Rockefeller Archive Center

15 Dayton Avenue Sleepy Hollow 10591 archive@rockarch.org

URL: http://www.rockarch.org

Immediate Source of Acquisition

The collection has been donated to the Rockefeller Archive Center by RBF.

Conditions Governing Access

Open for research with select materials restricted as noted. Brittle or damaged items are available at the discretion of RAC. Researchers interested in accessing digital media (floppy disks, CDs, DVDs, etc.) or audiovisual material (audio cassettes, VHS, etc.) in this collection must use an access surrogate. The original items may not be accessed because of preservation concerns. To request an access surrogate be made, or if you are unsure if there is an access surrogate, please contact an archivist.

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Controlled Access Headings

- Togo
- Ghana
- Public welfare
- Religion
- Education
- Nigeria
- New York (N.Y.)
- Philanthropy
- Civic improvement
- Race and society
- Africa, West
- International relations
- Restoration and conservation

- Population
- Race relations

Collection Inventory

Administrative and Accounting records, 1940-1997

Board of Trustees, 1940-1997

Physical Description: 20 microform reels

Scope and Contents

This series contains Rockefeller Brothers Fund Minutes, 1940-1996; Certificate of Incorporation and By-laws, 1940-1992 (as amended); and Documents of Record, 1940-1997, Volume 1 – Volume 74.

Processing Information:

Processing Information

The records were microfilmed in 1998.

The guide to microfilm contents was prepared by Rockefeller Archive Center staff in 1998. Front matter was compiled in 2014, at which time the finding aid was digitally converted into the RAC collection management system.

Physical Characteristics and Technical Requirements

The material has been reformatted. The original records have been returned to the Rockefeller Brothers Fund. User copies of the microfilm are available for scholarly access.

Immediate Source of Acquisition:

Immediate Source of Acquisition

The minutes and documents of record were received by Rockefeller Archive Center in 1998 and temporarily accessioned as 1998:034. Following microfilming, the original records were returned to the Rockefeller Brothers Fund.

Conditions Governing Access:

Conditions Governing Access

Records twenty years old or older are open for scholarly research.

Arrangement

The records are arranged into two subseries: 1. Minutes and vital records. 2. Documents of Record.

Each subseries is arranged chronologically.

Minutes and Vital records, 1940-1996

Title/Description Instances

1940-1948	reel 1
1949-1952	reel 1
1953-1956	reel 1
1957-1960	reel 1
1961-1963	reel 1
1964-1966	reel 2
1967-1969	reel 2
1970-1971	reel 2
1972-1973	reel 2
1974-1975	reel 2
1976-1977	reel 3
1978-1979	reel 3
1980-1981	reel 3
1982-1984	reel 3
1985-1987	reel 3
1988-1990	reel 4
1991-1992	reel 4
1993-1994	reel 4
1995-1996	reel 4
Certificate of Incorporation, 1940 December 23	reel 4
Certificate of Change of Provisions and Change of Number of Directors, 1958 October 14	reel 4
Certificate of Change of Number of Directors, 1968 June 11	reel 4
By-Laws, 1940 December 23 (amended: 1952195419581961)	reel 4
Certificate of Incorporation, as amended, 1958 October 16	reel 4
By-Laws, as amended, 1961 May 18	reel 4
Certificate of Incorporation, as amended, 1968 July 22	reel 4
By-Laws, as amended, 1968 November 21	reel 4
By-Laws, as amended, 1970 May 21	reel 4
By-Laws, as amended, 1970 November 19	reel 4
By-Laws, as amended, 1972 June 26	reel 4
By-Laws, as amended, 1972 November 16	reel 4
By-Laws, as amended, 1973 May 23	reel 4

By-Laws, as amended, 1975 November 20	reel 4
By-Laws, as amended, 1977 June 16	reel 4
By-Laws, as amended, 1979 June 21	reel 4
By-Laws, as amended, 1981 December 2	reel 4
By-Laws, as amended, 1984 June 26	reel 4
Certificate of Incorporation, as amended, 1984 October 31	reel 4
Composite Certificate of Incorporation, as amended, 1989 June 27	reel 4
By-Laws, as amended, 1990 June 14	reel 4
By-Laws, as amended, 1992 April 7	reel 4

Documents of Record, 1940-1997 Title/Description **Instances** Volume 1 (1-100), 1940-1947 reel 5 reel 5 Volume 2 (101-204), 1948-1950 Volume 3 (205-331), 1951-1953 reel 5 Volume 4 (332-426), 1954-1955 reel 5 Volume 5 (427-518), 1956-1957 reel 6 Volume 6 (519-617), 1957-1958 reel 6 Volume 7 (618-672), 1959-1960 reel 6 Volume 8 (673-736), 1960 reel 6 Volume 9 (737-815), 1961 reel 6 Volume 10 (816-878), 1962 reel 7 reel 7 Volume 11 (879-951), 1963 Volume 12 (952-1031), 1964 reel 7 reel 7 Volume 13 (1,032-1,111), 1965 reel 7 Volume 14 (1,112-2,004), 1966 reel 8 Volume 15 (2,005-2,093), 1967 reel 8 Volume 16 (2,094-4,024), 1968-1969 May 6 Volume 17 (4,025-5,041), 1969 May 6-1970 May 21 reel 8 Volume 18 (5,042-6,039), 1970 May 14-December 31 reel 8 reel 8 Volume 19 (6,040-6,094), 1971 January 18-1971 May 20 Volume 19 (6,095-7,072), 1971 May-December 31 reel 9

Volume 20 (7,073-9,012), 1972	reel 9
Volume 21 (9,013-9,097), 1973 January 1-November 8	reel 9
Volume 22 (9,098-10,067), 1973 November 16-1974 March 18	reel 9
Volume 23 (10,068-11,023), 1974 March 21-1974 June 20	reel 9
Volume 24 (11,024-12,054), 1974 June 24-1975 March 5	reel 10
Volume 25 (12,055-13,117), 1975 March 20-1975 June 19	reel 10
Volume 26 (13,118-13,220), 1975 July 23-1976 April 23	reel 10
Volume 27 (13,221-13,236), 1976 June 2-July 2	reel 10
Volume 28 (13,237-13,273), 1976 October 28-1977 March 4	reel 10
Volume 29 (13,274-13,291), 1977 March 17-19	reel 11
Volume 30 (13,292-13,311), 1977 June 16-July 21	reel 11
Volume 31 (13,312-13,324), 1977 September 19-November 4	reel 11
Volume 32 (13,325-13,342), 1977 November 17-1978 January 26	reel 11
Volume 33 (13,343-13,360), 1978 March 7-1978 May 18	reel 11
Volume 34 (13,361-13,384), 1978 June 15-1978 September 21	reel 11
Volume 35 (13,385-13,410), 1978 October 4-November 12	reel 12
Volume 36 (13,411-13,440), 1978 November 7-1979 March 14	reel 12
Volume 37 (13,441-13,472), 1979 March 15-July 16	reel 12
Volume 38 (13,473-13,505), 1979 October 31-1980 February 5	reel 12
Volume 39 (13,506-13,537), 1980 March 21-July 10	reel 12
Volume 40 (13,538-13,580), 1980 October 29-1981 March 20	reel 13
Volume 41 (13,581-13,607), 1981 May 7-October 5	reel 13
Volume 42 (13,608-13,684), 1981 December 2-1982 April 16	reel 13
Volume 43 (13,685-13,767), 1982 June 22-1983 February 15	reel 14
Volume 44 (13,768-13,833), 1983 March 7-November 18	reel 14
Volume 45 (13,834-13,906), 1984 February 2-October 17	reel 14
Volume 46 (13,907-13,980), 1984 November 29-1985 June 16	reel 14
Volume 47 (13,981-14,060), 1985 October 15-1986 April 8	reel 15
Volume 48 (14,061-14,136), 1986 June 27-November 21	reel 15
Volume 49 (14, 102), 1986 November 21	reel 15

Volume 50 (14,137-14,219), 1987 January 27-October 6	reel 15
Volume 51 (14,220-14,297), 1987 November 14-1988 October 4	reel 15
Volume 52 (14,298-14,360), 1988 November 11-1989 June 27	reel 16
Volume 53 (14,361-14,400), 1989 June 27-November 21	reel 16
Volume 54 (14,401-14,470), 1989 November 21-1990 June 14	reel 16
Volume 55 (14,471-14,564), 1990 June 14-November 20	reel 16
Volume 56 (14,565-14,650), 1991 February 12-October 18	reel 17
Volume 57 (14,651-14,695), 1991 December 12-1992 June 17	reel 17
Volume 58 (14,696-14,754), 1992 June 17-December 17	reel 17
Volume 59 (14,755-14,784), 1992 December 17-1993 March 3	reel 17
Volume 60 (14,785-14,827), 1993 March 3-June 17	reel 17
Volume 61 (14,828-14,877), 1993 June 17-December 16	reel 18
Volume 62 (14,878-14,917), 1993 December 16-1994 March 8	reel 18
Volume 63 (14,918-14,959), 1994 March 8-1994 June 16	reel 18
Volume 64 (14,960-15,006), 1994 June 16-October 13	reel 18
Volume 65 (15,007-15,039), 1994 December 15-1995 March 9	reel 18
Volume 66 (15,040-15,100), 1995 March 9-June 6	reel 19
Volume 67 (15,101-15,149), 1995 October 6-December 14	reel 19
Volume 68 (15,150-15,167), 1996 March 7	reel 19
Volume 69 (15,168-15,203), 1996 June 4	reel 19
Volume 70 (15,204-15,230), 1996 October 4	reel 19
Volume 71 (15,231-15,283), 1996 December 12-1997 March 6	reel 20
Volume 72 (15,284-15,319), 1997 June 3	reel 20
Volume 73 (15,320-15,347), 1997 October 10	reel 20
Volume 74 (15,348-15,375), 1997 December 17	reel 20

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Projects (Grants), 1947-1996

Physical Description: 750 Cubic Feet

Scope and Content Note

Grant-making is the core of Rockefeller Brothers Fund operations. The RBF makes grants to local, national, and international philanthropic organizations that depend on the general public for funding. Principally, contributions are made to organizations whose activities reach a large number of people. The RBF's program has also included support for, and in some instances direct operation of, experimental or new undertakings.

RG 3 Project/Grant Files includes correspondence, memoranda, reports, financial records, and background material relating to grant applications and grant administration.

Each file contains records documenting the grant-giving history of the RBF with that given institution. They are not arranged by grant number. The files are presented alphabetically by the institutional name of the grant recipient, and further arranged by date. A specific institution may have received more than one grant for any given time period represented in the folder. Dates are inclusive, and reflect the dates of material within the folders; they can not be taken to represent continuous support, although in some cases continuous support may indeed have been given.

The distinction between Subgroup 1 and Subgroup 2 is artificial, primarily dependent on the date that the material was shipped to RAC.

Record Group 3.1, Box 1-1123 (561.5 cu. ft.), consists of material dated 1941-1989 and received by RAC through 1990.

Record Group 3.2, Box 1124-1492 (184.5 cu. ft.), consists of material dated 1967-2003 (bulk: 1980-1995) and received by RAC after 1990.

Documentation of the grant activity of a given institution may appear in both Subgroups.

Subjects include civic improvement, cultural advancement, education, health, religion, welfare, international relations and understanding, conservation, population, and racial equality in the United States and abroad.

Processing note:

Processing note

Standard archival processing of the Rockefeller Brothers Fund grant files was conducted by the archival staff of the Rockefeller Archive Center from 1991-2011, with the overwhelming majority of the processing completed by Assistant Archivist Richard Torres, 2009-2011.

The finding aid was prepared by Richard Torres, Assistant Archivist, Rockefeller Archive Center, June 2011.

Arrangement

The Project/Grant files are arranged into two subgroups. Each subgroup is arranged alphabetically by institutional name of the grant recipient.

Separated Materials note:

Separated Materials note

Books and publications which were originally contained in the grant files have been systematically separated to the RAC Library. Photographs and special format materials have been separated from their original location within specific grant files to best meet their preservation and conservation needs. Please refer to the inventory, and to the individual separation notes found in the files themselves, for applicable details.

Conditions Governing Use:

Conditions Governing Use

RBF retains legal title, copyright and literary property rights in the records, in so far as they hold them.

The Rockefeller Archive Center has authority to grant permission to cite and publish material from the RBF records. Requests to publish works in their entirety will be referred to the officers of the Rockefeller Brothers Fund.

Conditions Governing Access:

Conditions Governing Access

Material ten years old or older is open for scholarly research

Projects (Grants), 1947-1989

Scope and Contents

Series 3 includes correspondence, memoranda, reports, financial records, and background material relating to grant applications and grant administration. Subjects include civic improvement, cultural advancement, education, health, religion, welfare, international relations and understanding, conservation, population, and racial equality in the U.S. and abroad.

Conditions Governing Access:

Conditions Governing Access

Material ten years old or older is open for scholarly research

Processing Information:

Title/Description

Processing Information

Processing in progress by Richard Torres, 2010.

Title/Description	Histalices		
A Better Chance, 1964-1966	box 1	folder 1	
Related Materials:			
Related Materials			
Formerly: Independent Schools Talent Search.			
A Better Chance, 1967-1968	box 1	folder 2	
A Better Chance, 1969-1970	box 1	folder 3	
A Better Chance, 1971-1974	box 1	folder 4	
A Better Chance, 1975-1978	box 1	folder 5	
A Better Chance, 1979-1980	box 1	folder 6	
A Better Chance, 1980-1984	box 1	folder 7	
A Better Chance, Financial Information, 1965-1981	box 2	folder 8	
A Better Chance, Publications, Annual Reports, 1964-1969	box 2	folder 9	
A Better Chance, Publications, Annual Reports, 1970-1981	box 2	folder 10	
A Better Chance, Publications, Annual Development Reports, Newsletter, 1969-1974	box 2	folder 11	

Instances

A Bunch of Experimental Theaters of New York, Inc., 1974-1977	box 2	folder 12
A. Philip Randolph Educational Fund, Inc., 1967-1978	box 2	folder 13A
A. Philip Randolph Educational Fund, Inc., 1979-1984	box 2	folder 13B
A. Philip Randolph Educational Fund, Inc., Tax Information	box 2A	folder 13C
Abbott House, 1963-1964	box 2A	folder 14A
Abbott House, 1965-1968	box 2A	folder 14B
Abbott House, 1969-1970	box 2A	folder 15
Abbott House, 1971-1974	box 3	folder 16
Abbott House, 1975-1977	box 3	folder 17
Abbott House, Financial Information, 1970-1975	box 3	folder 18
Academy for Educational Development, Inc., 1965-1974	box 3	folder 19
Academy for Educational Development, Inc., 1975-1977	box 3	folder 20
Academy for Educational Development, Inc., 1978-1979	box 3	folder 21
Academy for Educational Development, Inc., 1980-1982	box 3	folder 22
Academy for Educational Development, Inc., Financial Information, 1970-1982	box 3	folder 23
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Academy for Educational Development, Inc., Publications, 1975-1978	box 4	folder 28
Academy of Political Science, 1968-1974	box 4	folder 29
Academy of Political Science, Publications, 1973	box 4	folder 30
Acción Comunitaria del Perú, 1973	box 4	folder 31
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17 photographs with text (19 pages total) relocated to Rockefeller Brothers Fund photographs box 1493		
Acción Comunitaria del Perú, 1973	box 4	folder 32
Acción Comunitaria del Perú, 1973	box 4	folder 33
Acción Comunitaria del Perú, 1974	box 5	folder 34

Acción Comunitaria del Perú, 1974-1975	box 5	folder 36	
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Separated Materials:

Separated Materials

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Acción International, 1973-1974	box 6	folder 48
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Acción International, 1975	box 7	folder 50
Acción International, 1976-1977	box 7	folder 51
Acción International, 1978	box 7	folder 52
Acción International, 1979-1980	box 7	folder 53
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ACTION - Council for Better Cities (formerly: American Council to Improve our Neighborhoods), 1955-1956	box 8	folder 60
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ACTION - Council for Better Cities, 1959	box 8	folder 63

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Adoption Service of Westchester, Inc. (formerly: Adoption Service Fund of Westchester, Inc.), 1953	box 10	folder 73
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Adoption Service of Westchester, Inc., 1958-1960	box 10	folder 76
Advisory for Open Education, 1971-1974	box 10	folder 77
Advisory Services for Better Housing, Inc., 1974	box 10	folder 78
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Advisory Services for Better Housing, Inc., 1978	box 12	folder 90
Advisory Services for Better Housing, Inc., 1978	box 12	folder 91
Advisory Services for Better Housing, Inc., 1978	box 12	folder 92
Advisory Services for Better Housing, Inc., 1978	box 13	folder 93
Advisory Services for Better Housing, Inc., 1979	box 13	folder 94

Advisory Services for Better Housing, Inc., 1979-1980	box 13	folder 95
Advisory Services for Better Housing, Inc., 1980	box 13	folder 96
Advisory Services for Better Housing, Inc., 1980	box 13	folder 97
Advisory Services for Better Housing, Inc., 1981	box 13	folder 98
Advocates for Children of New York, Inc. (formerly: Alternative Solutions for Exceptional Children, Inc.), 1973-1975	box 13	folder 99
Advocates for Children of New York, Inc., 1976-1977	box 13	folder 100
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Advocates for Children of New York, Inc., 1981-1982	box 14	folder 103
Advocates for Children of New York, Inc., 1983-1985	box 14	folder 104
Advocates for Children of New York, Inc., Education in the Eighties, 1979-1982	box 14	folder 105
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Africa, Background Papers (J. Hyde and R. Scrivner Memos and Correspondence), 1970	box 15	folder 112
Africa, Background Papers (J. Hyde and R. Scrivner Memos and Correspondence), 1970	box 15	folder 113
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Africa, Background Papers (James Hyde and William Moody), 1971	box 15	folder 116
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American University of Beirut, 1957 April-December	box 100	folder 676
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Antigua-Caribbean Trading Institute, 1980	box 103	folder 697	
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Aspen Institute for Humanistic Studies, 1976 January-May	box 104	folder 702
Aspen Institute for Humanistic Studies, 1976 June-December	box 104	folder 703
Aspen Institute for Humanistic Studies, Program on Communications and Society, 1972-1973	box 104	folder 704
Aspen Institute for Humanistic Studies, Program on Communications and Society, 1974	box 104	folder 705
Aspen Institute for Humanistic Studies, Program on Communications and Society, 1975 January-February	box 104	folder 706
Aspen Institute for Humanistic Studies, Program on Communications and Society, 1975 March-December	box 105	folder 707
Aspen Institute for Humanistic Studies, Program on Communications and Society, 1976	box 105	folder 708
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Scope and Contents

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Conditions Governing Access:

Conditions Governing Access

Material ten years old or older is open for scholarly research

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Related Materials

For material prior to 5/24/65 See: Institute of International

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Arts, International

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Ashoka

Scope and Contents

Formerly: Society for the International Public Interest

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Agenda - Incl. Program Materials (Proposals & Summary Report)

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Conditions Governing Access

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Conditions Governing Access:

Conditions Governing Access

Confidential material - Permanently closed material

1973-1974 box 1183 folder 7302

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Confidential material - permanently closed

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Confidential Material - Permanently closed material

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Confidential Material - Permanently closed material

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Confidential Material - Permanently closed material

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Confidential Material - Permanently closed material

Program Investment - CLOSED MATERIAL, 1975-1976, box 1187 folder 7327

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Confidential Material - Permanently closed material

Trustees - CLOSED MATERIAL

Conditions Governing Access:

Conditions Governing Access

Confidential Material - Permanently closed material

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Conditions Governing Access:

Conditions Governing Access

Confidential Material - Permanently closed material

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Conditions Governing Access:

Conditions Governing Access

Confidential Material - Permanently closed material

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Conditions Governing Access:

Conditions Governing Access

Confidential Material - Permanently closed material

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Conditions Governing Access

Confidential Material - Permanently closed material

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Confidential Material - Permanently closed material

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Separated Materials

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U.S. China Arts Education Delegation Trips , 1982	box 1210	folder 7483I
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January-May 1994	box 1285	folder 7984
July-Oct. 1994	box 1285	folder 7985
1994-1995	box 1285	folder 7986
May-Nov.1995	box 1286	folder 7987
1995-1996	box 1286	folder 7988
November-Dec.1996	box 1286	folder 7989
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1983	box 1288	folder 8001
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International Center fir Integrative Studies		
March 1987	box 1288	folder 8003
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March 1984	box 1289	folder 8007
1984-1985	box 1289	folder 8008
April-Sept. 1985	box 1289	folder 8009
SeptOct. 1985	box 1289	folder 8010
October-Dec. 1985	box 1289	folder 8011
January-April 1986	box 1289	folder 8012
April-Sept. 1986	box 1290	folder 8013
SeptOct. 1986	box 1290	folder 8014
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March 1987	box 1290	folder 8017
March 1987	box 1290	folder 8018
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April-Dec. 1987	box 1291	folder 8020
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April-Sept. 1984	box 1293	folder 8032
1984-1985	box 1293	folder 8033
SeptNov. 1985	box 1293	folder 8034
1985-1986	box 1293	folder 8035

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1987-1989	box 1297	folder 8062
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January-June 1983	box 1297	folder 8063
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October-Dec. 1984	box 1297	folder 8065
January-Nov. 1985	box 1298	folder 8066
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August-Sept. 1989	box 1299	folder 8073
1988-1989	box 1299	folder 8074
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August-Dec. 1983	box 1299	folder 8075
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Dec. 1987	box 1301	folder 8086
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February-June1988	box 1301	folder 8088
June1988	box 1301	folder 8089
July-Dec. 1988	box 1302	folder 8090
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1988-1989	box 1303	folder 8097
October 1989	box 1303	folder 8098
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April-July 1983	box 1304	folder 8107
July 1983	box 1304	folder 8108
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1987-1988	box 1306	folder 8116
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1984-1989	box 1306	folder 8121
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June-July 1983	box 1307	folder 8126
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1983-1984	box 1330	folder 8285
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March-April 1987	box 1367	folder 8530
1987-1988	box 1367	folder 8531
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1989-1991	box 1368	folder 8541
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Tax Information, 1979-1990	box 1369	folder 8543
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1987-1988	box 1369	folder 8544
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March-May 1988	box 1369	folder 8546
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1988-1989	box 1370	folder 8549
April-Sept. 1989	box 1370	folder 8550
1989-1990	box 1370	folder 8551
1990-1991	box 1370	folder 8552
July-Dec. 1991	box 1370	folder 8553
1991-1992	box 1371	folder 8554
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May 1995	box 1371	folder 8557
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November 1994	box 1373	folder 8567
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Tax Information, 1982-1987	box 1401	folder 8760

1982-1983	box 1401	folder 8761
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Dec. 1989	box 1410	folder 8817
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February 1985	box 1418	folder 8871
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1988-1989	box 1431	folder 8955
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1989-1990	box 1431	folder 8958
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Tax Information, 1974-1983	box 1435	folder 8979
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1984-1987	box 1437	folder 8994
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1989-1990	box 1441	folder 9024
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Special Studies Project, 1956-1964

Physical Description: 28 Cubic Feet 70 boxes

Biographical/Historical note

The Rockefeller Brothers Fund Special Studies Project was inspired by Nelson Rockefeller, who served as President during most of the period of major activity. The purpose of the Special Studies was "to define the major problems and opportunities" facing the United States in the late 1950s, "to clarify national purposes and objectives...", and to develop principles which could serve as the basis of national policy.

To effect this aim, Henry Kissinger was chosen to serve as Director. Mr. Kissinger coordinated the solicitation, criticism, and editing of papers from a host of specialists in many fields, including history, area studies, economics, political science, and sociology. Many of these papers were used, in some measure, as the basis of discussion by the subpanels for which the papers were written. The papers and discussion sessions resulted in final reports by each panel, and these reports were published under the title "Prospect for America: the Rockefeller Panel Reports."

Scope and Contents note

The records of the Rockefeller Brothers Fund Special Studies Project document the administrative and intellectual history of the project. The Special Studies Project collection constitutes a rich, but by no means comprehensive, source for the intellectual history of American domestic and foreign policy from 1945 to approximately 1960. Papers and criticisms of papers were contributed to the Project by leading academic and government authorities. Although an examination of these materials will not normally show the development over time of a writer's ideas, some files include a writer's older pieces. The papers prepared for the Special Studies Project are invariably thorough expositions of the contributor's views in the late 1950s.

This study was undertaken in the latter 1950s and was published in 1960. A number of Project participants became members of the Kennedy Administration. In addition, letters and memoranda to and by Henry Kissinger, and editorial comments by Mr. Kissinger, appear throughout these records. Most of these items are of a routine administrative nature, but there are some letters and memoranda by Mr. Kissinger which include substantive comments about foreign policy and panel papers.

Arrangement note

The Special Studies Project record group is divided into ten series, listed below. The title that follows the subpanel number represents the name of the subpanel. The title in parentheses represents the title of the subpanel's report as it appears in "Prospect for America."

- Series A Subpanel I: International Objectives and Strategies (The Mid-Century Challenge to U.S. Foreign Policy)
- Series B Subpanel II: International Security Objectives and Strategy (International Security: The Military Aspect)
- Series C Subpanel III: International Economic and Social Objectives and Strategy (Foreign Economic Policy for the Twentieth Century)
- Series D Subpanel IV: U.S. Economic and Social Policy (The Challenge to America: Its Economic and Social Aspects)
- Series E Subpanel V: U.S. Utilization of Human Resources (The Pursuit of Excellence: Education and the Future of America)
- Series F Subpanel VI: U.S. Democratic Process (The Power of the Democratic Idea)
- Series G Subpanel VII: The Moral Framework of National Purpose (no report)
- Series H Subpanels VI and VII Joint Report-Writing Committee
- Series I Overall Panel
- Series J Office Reference and Report Publication Files
- Series K Special Formats

Related Archival Materials note:

Related Archival Materials note

FA324 Office of the Messrs. Rockefeller records, Rockefeller Boards, Series O contains a brief subseries for the Rockefeller Brothers Fund, which includes some material on the Special Studies Project. Both the Office of the Messrs. Rockefeller records and the Rockefeller Foundation records contain files concerning several of the Special Studies Project participants. Indexes may be checked for names that are of interest.

Conditions Governing Access:

Conditions Governing Access

This record group is open for research, except for sensitive material and material documenting the administration of the project, which is closed.

<u>Immediate Source of Acquisition note:</u>

Immediate Source of Acquisition note

The Rockefeller Brothers Fund Special Studies Project records were deposited at the Rockefeller Archive Center in 1980.

Subpanel I: International Objectives and Strategies, 1956-1964

Arrangement note

The files in this subseries are arranged into several sections: Organization, Membership, Papers, Meetings, and Reports. The Membership section is arranged alphabetically by the last name of the member. The Papers section and accompanying correspondence are arranged by arbitrarily-assigned paper numbers. The Meetings section and the Reports section are both arranged chronologically.

Scope and Contents note

This subseries contains correspondence, memoranda, papers, drafts, and final reports. Organization files, which are brief, concern the planning of subpanel activities. Membership files, as well as the correspondence that accompanies files in the Papers section, document discussions and writing on issues considered by the subpanel. The Papers files provide comprehensive presentations of the views of various subpanel experts on selected topics. Meeting files contain summaries and sometimes minute of subpanel discussions of issues. The Reports files, containing several drafts of each report, show the progressive synthesizing of the views of a subpanel's members.

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Scope and Contents note

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Scope and Contents note

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Meeting files contain summaries and sometimes minute of subpanel discussions of issues. The Reports files, containing several drafts of each report, show the progressive synthesizing of the views of a subpanel's members.

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Arrangement note

The files in this subseries are arranged into several sections: Organization, Membership, Papers, Meetings, and Reports. The Membership section is arranged alphabetically by the last name of the member. The Papers section and accompanying correspondence are arranged by arbitrarily-assigned paper numbers. The Meetings section and the Reports section are both arranged chronologically.

Scope and Contents note

This subseries contains correspondence, memoranda, papers, drafts, and final reports. Organization files, which are brief, concern the planning of subpanel activities. Membership files, as well as the correspondence that accompanies files in the Papers section, document discussions and writing on issues considered by the subpanel. The Papers files provide comprehensive presentations of the views of various subpanel experts on selected topics. Meeting files contain summaries and sometimes minute of subpanel discussions of issues. The Reports files, containing several drafts of each report, show the progressive synthesizing of the views of a subpanel's members.

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Arrangement note

The files in this subseries are arranged into several sections: Organization, Membership, Papers, Meetings, and Reports. The Membership section is arranged alphabetically by the last name of the member. The Papers section and accompanying correspondence are arranged by arbitrarily-assigned paper numbers. The Meetings section and the Reports section are both arranged chronologically.

Scope and Contents note

This subseries contains correspondence, memoranda, papers, drafts, and final reports. Organization files, which are brief, concern the planning of subpanel activities. Membership files, as well as the correspondence that accompanies files in the Papers section, document discussions and writing on issues considered by the subpanel. The Papers files provide comprehensive presentations of the views of various subpanel experts on selected topics. Meeting files contain summaries and sometimes minute of subpanel discussions of issues. The Reports files, containing several drafts of each report, show the progressive synthesizing of the views of a subpanel's members.

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Arrangement note

The files in this subseries are arranged into several sections: Organization, Membership, Papers, Meetings, and Reports. The Membership section is arranged alphabetically by the last name of the member. The Papers section and accompanying correspondence are arranged by arbitrarily-assigned paper numbers. The Meetings section and the Reports section are both arranged chronologically.

Scope and Contents note

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Subpanels VI and VII Joint Report-Writing Committee, 1956-1964

Arrangement note

The files in this subseries are arranged into several sections: Organization, Membership, Papers, Meetings, and Reports. The Membership section is arranged alphabetically by the last name of the member. The Papers section and accompanying correspondence are arranged by arbitrarily-assigned paper numbers. The Meetings section and the Reports section are both arranged chronologically.

Scope and Contents note

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Arrangement note

The files in this subseries are arranged into several sections: Organization, Membership, Papers, Meetings, and Reports. The Membership section is arranged alphabetically by the last name of the member. The Papers section and accompanying correspondence are arranged by arbitrarily-assigned paper numbers. The Meetings section and the Reports section are both arranged chronologically.

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Report Drafts - Chapter 10 - Heilbroner, Robert, 1958 January 27	box 58	folder 652
Report Drafts - Chapter 11 - Heilbroner, Robert, 1957 October 3	box 58	folder 653
Report Drafts - Chapter 12 - Heilbroner, Robert, 1957 October	box 58	folder 654

Report Drafts - Chapter 14 - Berle, Adolf, 1957 July 17	box 58	folder 655	
Report Drafts - Chapter 14 - Kissinger, Henry, 1957 September 3, 9	box 58	folder 656	
Report Drafts - Chapter 15 - Barnett, Lincoln, 1957 November 6	box 58	folder 657	
Report Drafts - Chapter 17 - Heilbroner, Robert, 1957 November 18	box 58	folder 658	
Report Drafts - Chapter 18 - Heilbroner, Robert, 1957 November 25	box 58	folder 659	
Report Drafts - Chapter 19 - Heilbroner, Robert, 1957 December 2	box 58	folder 660	
Report Drafts - Introduction to Part III - Heilbroner, Robert, 1957 November 22-1958 January 20	box 58	folder 661	
Report Drafts - Introduction - Comments, 1960 September 22	box 58	folder 662	
Report Drafts - Preface Outline - Kissinger, Henry, 1960 October 2	box 58	folder 663	
Report Drafts - Preface - Hughes, Emmet J., 1960 October 4	box 58	folder 664	
Report Drafts - Preface Outline - Kissinger, Henry, 1960 October 5	box 58	folder 665	
Report Drafts - Preface and Introduction - Kissinger, Henry, 1960 October 25	box 58	folder 666	
Report Drafts - Preface and Introduction - Gardner, John - Comments, 1960 October 31	box 58	folder 667	
Report Drafts - Preface - Comments, 1960 November 5, 11	box 58	folder 668	
Scope and Contents note			
Comments by Emmet J. Hughes, Louis Galantiere, and John Gardner.			
Report Drafts - Introduction - Ruebhausen, Oscar - Comments, 1960 November 14	box 58	folder 669	
Report Drafts - Preface and Introduction - Comments, 1960 November 16	box 58	folder 670	
Report Drafts - Preface - Reubhausen, Oscar, 1960 November 21	box 58	folder 671	
Report Drafts - Preface - Reubhausen, Oscar - Comments, 1960 November 22	box 58	folder 672	
Report - Preface and Introduction, 1960 November 29	box 58	folder 673	
Report - Endorsements, 1960	box 58	folder 674	

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Office Reference and Report Publication Files, 1956-1960

Physical Description: 5 Cubic Feet 12 boxes

Scope and Contents note

This subseries includes card files, galley proofs, sets of meeting notes, summaries and transcripts, and subpanel working documents, including texts of reports.

Title/Description	Instances	
Papers I-1 through I-3	box 59	folder 0674A
Papers I-4 through I-6	box 59	folder 675
Papers I-7 through I-8	box 59	folder 676
Papers I-11 through I-16	box 59	folder 677
Papers I-19 through I-20	box 59	folder 678
Papers I-21 through I-25	box 59	folder 679
Papers I-26 through I-29	box 60	folder 680
Papers I-30 through I-31a	box 60	folder 681
Papers I-32 through I-36	box 60	folder 682
Papers I-38 through I-40 and Paper I-42	box 60	folder 683
Papers A-1 through A-6	box 61	folder 684
Paper II-2 and Papers II-4 through II-8	box 61	folder 685
Draft Report - Subpanel I - Nelson Rockefeller Copy, 1957 April 5 - May 18	box 61	folder 686
Papers II-10 through II-14 and Papers II-A through II-D	box 61	folder 687
Subpanel II Report - Nelson Rockefeller Copy	box 62	folder 688
Papers III-1 through III-2 and Papers III-4 through III-8	box 62	folder 689
Papers III-10 through III-14 and Paper III-A	box 62	folder 690
Subpanel III Draft Report - Nelson Rockefeller Copy	box 63	folder 691
Papers IV-1 through IV-8	box 63	folder 692
Papers IV-9 through IV-10 and Papers IV-12 through IV-19	box 63	folder 693
Subpanel IV - Draft Report - Nelson Rockefeller Copy	box 64	folder 694
Subpanel IV - Published Background Material	box 64	folder 695
Subpanel IV Report - Final Draft, 1958	box 65	folder 696
Papers V-1 through V-9	box 65	folder 697
Subpanel V - Draft Report	box 65	folder 698
Subpanel V - Published Background Material	box 65	folder 699

Papers VI-1 through VI-6	box 66	folder 700	
Papers VI-7 through VI-12 - Bundy Paper	box 66	folder 701	
Subpanel VI - Draft Report - Nelson Rockefeller Copy	box 66	folder 702	
Subpanel VII - Documents - Nelson Rockefeller Copies	box 66	folder 703	
Overall Panel - Final Report Outline - Nelson Rockefeller Copy, 1957 June 19	box 67	folder 704	
Overall Panel - Report Comments - Nelson Rockefeller Copy, 1957 March 19-20	box 67	folder 705	
Overall Panel Meeting - Summary of Subpanel Work, 1957 March 19-20	box 67	folder 706	
Editorial Committee Meeting - Nelson Rockefeller Copy, 1957 September 16	box 67	folder 707	
Publications Committee - Nelson Rockefeller Copy, 1957 September 15	box 67	folder 708	
Subpanel I - Meeting Agendas and Minutes, 1956 November - 1957 April	box 67	folder 709	
Subpanel II - Meeting Agendas and Minutes, 1956 November - 1957 March	box 67	folder 710	
Subpanel III - Meeting Agendas and Minutes, 1956 December - 1957 February	box 67	folder 711	
Subpanel IV - Meeting Agendas and Minutes, 1957 January-April	box 68	folder 712	
Subpanel V - Meeting Agendas and Minutes, 1957 January-April	box 68	folder 713	
Subpanel VI - Meeting Agendas and Minutes, 1956 November - 1957 May	box 68	folder 714	
"Prospect for America: The Rockefeller Panel Reports" - Correspondence, 1960 September - 1961 April, undated	box 68	folder 715	

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Special Formats	reel Recording "Challenge to America: Economic and Social Aspects" program
Title/Description	Instances
Audio	reel

Recording

"Challenge to America: Economic and Social Aspects" program

reel Recording of "The Power of Democratic Ideas"

reel
Recording
from
"Pursuit of
Excellent and
Education"
and " The
Future"
programs

Published material

volume
Published
book
"Processed
for America
- The
Rockefeller
Panel
Reports"

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West Africa Program, 1953-1977, bulk 1957-1963 (1957-1963)

Physical Description: 12.4 Cubic Feet 30 boxes

Biographical/Historical note

The West Africa Program of the Rockefeller Brothers Fund, launched late in 1957, was designed to provide technical assistance tailored to the economic development needs of Ghana and Nigeria. While the Fund's original resolve to aid Liberia in the same manner proved unfruitful, the Republic of Togo also became a beneficiary of this program in a minor way.

Under the limits established by the Fund, the West Africa Program did not operate as a grant-making agency. At the request of ministries in one of the African governments involved or of a private group of investors and industrialists, the Fund contracted with outside consultants to prepare "feasibility studies." Each of these studies dealt in depth with a specific aspect of the concerned nation's economic potential or needs and was designed to provide a realistic framework for later action. The Fund's responsibility ended with the submission of these studies to the appropriate agencies or private groups.

The Program's main office in Lagos, Nigeria was also a distribution point for these studies. Its director, Robert I. Fleming, acted as a liaison between the governments of Togo, Ghana, and Nigeria and groups of U.S. investors.

The Lagos office organized investigative tours for these investors and arranged their contacts with governmental personnel.

The West Africa Program of the Rockefeller Brothers Fund was concluded at the end of 1962.

Scope and Contents note

The records of the West Africa Program include correspondence from the New York and Lagos offices, memoranda, reports, administrative and financial materials, personnel correspondence, and a complete set of feasibility studies contracted by the Rockefeller Brothers Fund. The bulk of this material dates between 1957 and 1963, the period during which the Lagos office was in full operation.

Arrangement note

The records of the West Africa Program are divided into three series:

Series 1 - General Files

Series 2 - Projects

Series 3 - Feasibility Studies

Series 1044 - Photographs

Note: These records were reviewed and partially weeded by Rockefeller Brothers Fund officials in 1968. At that time the original filing order of the documents was broken.

Conditions Governing Access:

Conditions Governing Access

All material more than ten years old is open for research.

Conditions Governing Use:

Conditions Governing Use

The Rockefeller Brothers Fund retains literary rights to this collection.

<u>Immediate Source of Acquisition note:</u>

Immediate Source of Acquisition note

The records of the Rockefeller Brothers Fund West Africa Program were deposited with the Rockefeller Archive Center on January 10, 1979.

General Files, 1957-1977, bulk 1957-1963 (1957-1963)

Physical Description: 4 Cubic Feet

Scope and Contents note

This series contains correspondence, memoranda, reports, financial and administrative material, personnel correspondence and news releases.

Title/Description	Instances	
Action Affecting the Climate for Investment, 1959-1961	box 1	folder 1

Action Affecting the Climate for Investment, 1959-1961

	box 1	folder 2
Agency for International Development (AID) / Arthur D. Little, Incorporated, 1961-1966	box 1	folder 3
Articles Published, 1958-1962	box 1	folder 4
Budgets and Accounting, 1958-1963	box 1	folder 5
Budgets and Accounting, 1958-1963	box 1	folder 6
Camp, John R Survey Trip Correspondence, 1957	box 1	folder 7
Camp, John R Survey Trip Correspondence, 1957	box 1	folder 8
Camp, John R Survey Trip Report, 1957 June	box 2	folder 9
Capital Plant - Equipment and Furnishings for Office and Flat, 1959-1962	box 2	folder 10
Checci and Company - Contract, 1961-1963	box 2	folder 11
Checci Study - Correspondence, 1960 March - 1963 June	box 2	folder 12
Checci Study - Correspondence, 1960 March - 1963 June	box 2	folder 13
Checci Study - Correspondence, 1960 March - 1963 June	box 2	folder 14
Checci Study - Memoranda, 1961	box 2	folder 15
Checci Study - Report, 1960-1961	box 2	folder 16
Confidential File - Incoming Letters from New York, 1958-1963	box 3	folder 17
Confidential File - Incoming Letters from New York, 1958-1963	box 3	folder 18
Confidential File - Incoming Letters from New York, 1958-1963	box 3	folder 19
Confidential File - Incoming Letters from New York, 1958-1963	box 3	folder 20
Confidential File - Incoming Letters from New York, 1958-1963	box 3	folder 21
Confidential File - Incoming Letters from New York, 1958-1963	box 3	folder 22
Confidential File - Incoming Letters from New York, 1958-1963	box 3	folder 23
Confidential File - Outgoing Letters to New York, 1958-1959	box 3	folder 24
Confidential File - Outgoing Letters to New York, 1958-1959	box 3	folder 25
Confidential File - Outgoing Letters to New York, 1960-1963	box 4	folder 26
Confidential File - Outgoing Letters to New York, 1960-1963	box 4	folder 27
Confidential File - Outgoing Letters to New York, 1960-1963	box 4	folder 28
Correspondence (General), 1958-1963	box 4	folder 29
Correspondence (General), 1958-1963	box 4	folder 30
Feasibility Studies - Agency for International Development (AID) Index, 1964-1967	box 4	folder 31

Feasibility Studies - Correspondence with Arthur D. Little, Incorporated, 1962-1967	box 4	folder 32
Feasibility Studies - Requests to New York Office, 1961-1965	box 4	folder 33
Feasibility Studies - Requests to New York Office, 1966-1977	box 5	folder 34
Fleming, Robert I Correspondence, 1959-1963	box 5	folder 35
Fleming, Robert I Correspondence, 1959-1963	box 5	folder 36
Fleming, Robert I Correspondence, 1959-1963	box 5	folder 37
Fleming, Robert I Correspondence, 1959-1963	box 5	folder 38
Fleming, Robert I Correspondence, 1959-1963	box 5	folder 39
Hyde, James N Trips, 1967-1970	box 5	folder 0039A
Ivory Coast - Correspondence, 1962-1963	box 5	folder 40
Incidental Expenses Associated with Program, 1960	box 5	folder 41
Insurance - Automobile, 1958-1963	box 5	folder 42
Insurance - Personnel - Accident, 1959-1960	box 5	folder 43
Legal Documents, 1958-1960	box 6	folder 44
Library, 1958-1961	box 6	folder 45
May, Stacy - Correspondence with Robert I. Fleming, 1958-1962	box 6	folder 46
May, Stacy - Correspondence with Robert I. Fleming, 1958-1962	box 6	folder 47
May, Stacy - Correspondence with Robert I. Fleming, 1958-1962	box 6	folder 48
May, Stacy - Miscellaneous Correspondence, 1958-1961	box 6	folder 49
May, Stacy - Miscellaneous Correspondence, 1958-1961	box 6	folder 50
May, Stacy - Miscellaneous Correspondence, 1958-1961	box 6	folder 51
May, Stacy - Miscellaneous Correspondence, 1962-1964	box 7	folder 52
News Releases, 1958-1962	box 7	folder 53
News Releases, 1958-1962	box 7	folder 54
New York Files, 1958-1961	box 7	folder 55
New York Files, 1958-1961	box 7	folder 56
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New York Files, 1962-1968	box 8	folder 60

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Projects, 1953-1965, bulk 1958-1965 (1958-1965)

Physical Description: 6 Cubic Feet

Scope and Contents note

This series contains correspondence, memoranda, and reports related to projects undertaken in Togo, Ghana, and Nigeria.

Title/Description	Instances		
Togo - Development Bank - Correspondence, 1959-1963	box 10	folder 80	
Togo - Development Bank - Reports, 1961-1962	box 10	folder 81	
Togo - Development Bank - Reports, 1962	box 11	folder 82	

Togo - Economic Information, 1958-1960	box 11	folder 83
Ghana - Electrical Assembly Plant, 1959	box 11	folder 84
Ghana - Fisheries, 1953, 1959-1960	box 11	folder 85
Ghana - Paradigm Site Material, 1959-1960	box 11	folder 86
Ghana - Poultry, 1958-1961	box 11	folder 87
Ghana - Poultry, 1958-1961	box 11	folder 88
Ghana - Water Project, 1958	box 11	folder 89
Ghana - Water Project, 1959 February - 1961 May	box 12	folder 90
Ghana - Water Project, 1959 February - 1961 May	box 12	folder 91
Ghana - Water Project, 1959 February - 1961 May	box 12	folder 92
Ghana - Water Project, 1959 February - 1961 May	box 12	folder 93
Ghana - Water Project, 1959 February - 1961 May	box 12	folder 94
Nigeria - Bicycle Tire Manufacturing, 1959-1961	box 12	folder 95
Nigeria - Boats (Wooden), 1961	box 12	folder 96
Nigeria - Bornu Province, 1959-1960	box 12	folder 97
Nigeria - Building Costs, 1961	box 12	folder 98
Nigeria - Cable and Wire, 1961-1962	box 13	folder 99
Nigeria - Candle Manufacturing, 1960-1961	box 13	folder 100
Nigeria - Cement, 1960-1962	box 13	folder 101
Nigeria - Cement, 1960-1962	box 13	folder 102
Nigeria - Ceramics (Clay-Tile), 1959-1963	box 13	folder 103
Nigeria - Ceramics (Clay-Tile), 1959-1963	box 13	folder 104
Nigeria - Chalk Project, 1962	box 13	folder 105
Nigeria - Cigar Industry, 1960 June - 1963 March	box 13	folder 106
Nigeria - Cigar Industry, 1960 June - 1963 March	box 13	folder 107
Nigeria - Cigarette Project, 1960-1962	box 13	folder 108
Nigeria - Cinema Development, 1963	box 13	folder 109
Nigeria - Cinva Ram Project, 1958-1960	box 14	folder 110
Nigeria - Cinva Ram Project, 1958-1960	box 14	folder 111
Nigeria - Cinva Ram Project - International Volunteer Services, 1959-1961	box 14	folder 112
Nigeria - Coal Products, 1960-1961	box 14	folder 113
Nigeria Coastal Shipping undated		

	box 14	folder 114
Nigeria - Coffee, 1960-1963	box 14	folder 115
Nigeria - Concrete Products, 1960-1963	box 14	folder 116
Nigeria - Cooperage, 1959-1960, 1962	box 14	folder 117
Nigeria - Core Stock Veneer, 1962-1965	box 15	folder 118
Nigeria - Cotton Textiles, 1958-1961	box 15	folder 119
Nigeria - Cylinders and Stove Project, 1960	box 15	folder 120
Nigeria - Deep Sea Fishing, 1959-1962	box 15	folder 121
Nigeria - Dry Cleaning Project, 1960-1961	box 15	folder 122
Nigeria - Electrical Assembly Project, 1959-1962	box 15	folder 123
Nigeria - Electrical Assembly Project, 1959-1962	box 15	folder 124
Nigeria - Fertilizer, 1960-1963	box 15	folder 125
Nigeria - Fish, 1959, 1963	box 15	folder 126
Nigeria - Flour Mill Project, 1960-1962	box 15	folder 127
Nigeria - Food Processing Project, 1959-1962	box 16	folder 128
Nigeria - Furniture Project, 1957-1961	box 16	folder 129
Nigeria - Glass Project, 1959 May - 1961 February	box 16	folder 130
Nigeria - Glass Project, 1959 May - 1961 February	box 16	folder 131
Nigeria - Glass Project, 1959 May - 1961 February	box 16	folder 132
Nigeria - Glass Project, 1959 May - 1961 February	box 16	folder 133
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Nigeria - Glass Project, 1959 May - 1961 February	box 16	folder 135
Nigeria - Glass Project, 1961-1963	box 17	folder 136
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Nigeria - Glass Project, 1961-1963	box 17	folder 138
Nigeria - Glue and Resin Project, 1962	box 17	folder 139
Nigeria - Gramophone Records, 1961	box 17	folder 140
Nigeria - Gravel Crushing Project, 1960-1963	box 17	folder 141
Nigeria - Gypsum Deposit, 1963	box 17	folder 142
Nigeria - Hotels, 1959-1963	box 17	folder 143
Nigeria - Housing - Correspondence, 1959-1963	box 17	folder 144
Nigeria - Housing - Reports, 1959-1962	box 17	folder 145

Nigeria - Industrial Estate Project, 1960, 1962	box 18	folder 146
Nigeria - Investment Fund, 1961	box 18	folder 147
Nigeria - Iron and Steel, 1960 January - 1963 May	box 18	folder 148
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Nigeria - Kenof Fiber Bag Project, 1960-1963	box 19	folder 153
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Nigeria - Kerosene Project, 1959	box 19	folder 155
Nigeria - Lead Pencil Project, 1960-1963	box 19	folder 156
Nigeria - Lead Products, 1960-1963	box 19	folder 157
Nigeria - Leather Tanneries, 1959-1963	box 19	folder 158
Nigeria - Lumber, 1958-1960 August	box 19	folder 159
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Nigeria - Lumber, 1960-1962	box 20	folder 161
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Iron and Steel, 1960

Salt, 1962

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Feasibilities Studies, 1959-1963

Physical Description: 2 Cubic Feet

Scope and Contents note

This series contains copies of studies contracted by the Rockefeller Brothers Fund regarding economic development prospects in Togo, Ghana, and Nigeria.

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Togo - A Development Company for Togo: Economic Growth in a Small Market, 1963 March	box 25	folder 208	
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English and French versions.			
Ghana, 1959-1961	box 25	folder 209	
Scope and Contents note			
Economic Feasibility of a Poultry Breeding Farm in Ghana, September 1959.			
Pakro Dam on the Kuia River: Project Report, February 1960.			
Economic and Technical Feasibility of a Machete Manufacturing Plant, December 1960.			
Ghana - Feasibility Report on Plastics Industry in Ghana, 1961 February	box 25	folder 210	
Ghana - A Program to Accelerate Economic Growth in Ghana, 1961 July	box 25	folder 211	
Nigeria - Nigerian Housing Project, 1959 November	box 25	folder 212	
Nigeria, 1959	box 26	folder 213	

Economic Feasibility of a Meat Industry in Northern Nigeria, December 1959.

Technological and Economic Feasibility of a Structural Clay Tile Plant for Western Nigeria, December 1959.

Nigeria, 1960 box 26

Scope and Contents note

The Feasibility of Glass Production in Nigeria, Volume I: General Report, March 1960.

The Feasibility of Glass Production in Nigeria, Volume II: Economic Reports, March 1960.

Nigeria, 1960 box 26 folder 215

Scope and Contents note

The Feasibility of Glass Production in Nigeria, Volume III: Technical Reports, March 1960.

Nails Plant for Eastern Nigeria, May 1960.

A Study of the Advisability of Building a Plant to Manufacture Electrical Appliances in Nigeria, August 1960.

Nigeria, 1960 box 26 folder 216

Scope and Contents note

Poultry and Egg Production for the Area Around Lagos, Nigeria, October 1960.

Feasibility Report on Concrete Masonry Products Industry in the Federation of Nigeria, November 1960.

The Economic and Technological Feasibility of a Machete Manufacturing Plant for Nigeria, November 1960.

Nigeria, 1960 box 27 folder 217

Scope and Contents note

Feasibility Report on Plastics Industry in the Federation of Nigeria, November 1960.

The Potential for Lead Utilizing Industries in Nigeria, December 1960.

Nigeria - Prospectus for the Establishment of a Lead-Acid Automotive Storage Battery Company in Nigeria, 1960 December box 27

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Nigeria - Proposal for Glass Manufacturing Plants for Eastern Region Government of Nigeria, 1961 January	box 27	folder 219	
Nigeria - Techno-Economic Evaluation of Opportunities for Establishing a Textile Products Manufacturing Plant in Nigeria, 1961 February	box 27	folder 220	
Nigeria, 1961	box 27	folder 221	
Scope and Contents note			
Feasibility Report on Integrated Textile Mill in the Eastern Region of the Federation of Nigeria, March 1961.			
Report on Possibilities for Cigar Leaf and Cigar Production in Nigeria, June 1961.			
Nigeria, 1961	box 28	folder 222	
Scope and Contents note			
Feasibility Report on Cement Manufacturing Industry in the Northern Region of the Federation of Nigeria, June 1961.			
Review of Feasibility: Nail Manufacturing Industry in the Eastern Region of the Federation of Nigeria, July 1961.			
A Feasibility Report on the Establishment of a Phonograph Record and General Plastics Industry in Nigeria, August 1961.			
Diversified Nigerian Wood Products Industries, 1961.			
Nigeria, 1962	box 28	folder 223	
Scope and Contents note			
Feasibility Report on Metal Fastener Manufacture and Machine Shop in the Federation of Nigeria, April 1962.			
General Feasibility Survey of a Pharmaceutical Production Project for Nigeria, April 1962.			
Nigeria - Report on the Feasibility of Establishing an Integrated Steel Mill in Nigeria, 1962 October	box 28	folder 224	
Nigeria, 1963	box 28	folder 225	

Investigation of the Feasibility of Establishing a Gravel Washing-Crushing-Grading Industry in Nigeria, January 1963.

The Feasibility of a Core-Stock Veneer Mill in Eastern Nigeria, November 1963.

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Ramon Magsaysay Award Foundation, 1957-1998

Biographical / Historical

Biography of President Ramon Magsaysay

Ramón del Fierro Magsaysay (b.August 31, 1907 – d.March 17, 1957) served as president of the Republic of the Philippines from December 30, 1953 until his death in a plane crash in 1957. President Magsaysay was best known for successfully defeating the communist-led Hukbalahap (Huk) movement, and for introducing reform to various aspects of Filipino life.(1) He was extremely popular with the Filipino people and was universally respected for his integrity and his dedication to the betterment of all humankind.

Magsaysay Credo

I believe that government starts at the bottom and moves upward, for government exists for the welfare of the masses of the nation. I believe that he who has less in life should have more in law. I believe that the little man is fundamentally entitled to a little bit more food in his stomach, a little more cloth in his back and a little more roof over his head. I believe that this nation is endowed with a vibrant and stout heart, and possesses untapped capabilities and incredible resiliency. I believe that a high and unwavering sense of morality should pervade all spheres of governmental activity. I believe that the pulse of government should be strong and steady, and the men at the helm imbued with missionary zeal. I believe in the majesty of constitutional and legal processes, in the inviolability of human rights. I believe that the free world is collectively strong, and that there is neither need or reason to compromise the dignity of man. I believe that communism is iniquity, as is the violence it does to the principles of Christianity. I believe that the President should set the example of a big heart, an honest mind, sound instincts, the virtue of healthy impatience and an abiding love for the common man.

Organizational History of the Ramon Magsaysay Award Foundation

The Ramon Magsaysay Award Foundation (RMAF) was established in 1957 in memory of the late President Magsaysay who died in a place crash that same year. President Magsaysay was well-known for his good nature and commitment to justice for all people. Consequently, his death devastated not just the people of the Philippines, but the global community which included the Rockefeller brothers. Just six weeks after learning of the death of Ramon Magsaysay, John D. Rockefeller 3rd wrote to President Carlos P. Garcia, who succeeded President Magsaysay, and proposed that an award be given in honor of President Magsaysay. He wrote:

"My brothers and I have followed closely President Magsaysay's life and work. We believe deeply in that for which he stood... The trustees of the Rockefeller Brothers Fund would consider it a privilege to have a part in establishing a living memorial to the late President. They have authorized me to suggest the creation of the Ramon Magsaysay Award to be given annually to one or more persons in Asia whose demonstrated leadership is motive by a concern for the welfare of people comparable to that which characterized the life of Ramon Magsaysay."(2)

President Garcia accepted the Rockefeller Brothers Fund's proposal. As described on the Ramon Magsaysay Award Foundation's website, "[w]ith the concurrence of the Philippine government, the trustees of the Rockefeller Brothers Fund (RBF) established the Award to honor his memory and perpetuate his example of integrity in public service and pragmatic idealism within a democratic society".(3) The RBF supplied the initial endowment and the RMAF was formally organized in May 1957.

The RMAF is a non-profit corporation run by a seven person, all-Filipino, Board of Trustees. The RMAF is based in Manila, Philippines, and has operated out of the Ramon Magsaysay Center since 1968, when the building opened. Income earned from the Ramon Magsaysay Center has helps to support and sustain the RMAF.

The first awards were given in 1958. Since then, each year one award was given in each of the following five categories: Government Service (GS), Public Service (PS), Community Leadership (CL), Journalism and Literature (JL) and International Understanding (IU, later PIU). In 1964, the Journalism and Literature category was amended to include Creative Communication Arts (JLCCA). Then, in 2000, thanks to a grant from the Ford Foundation, a sixth category for Emergent Leadership (EL) was added. After 2008, the awards were no longer given according to category, except for Emergent Leadership. As described on the RMAF website, "[t]he Ramon Magsaysay Award is given to persons – regardless of race, nationality, creed or gender – who address issues of human development in Asia with courage and creativity, and in doing so have made contributions which have transformed their societies".(4) Since, 1958, the award has been given to a diverse group of individuals and organizations. The award ceremonies are held every year on August 31st, the anniversary of the birth of President Magsaysay. During the days surrounding the award ceremony, awardees and guests attend a series of events given in their honor. These events include the laying of wreaths at the Rizal Monument and in front of Ramon Magsaysay's tomb, luncheons for the awardees, and calls on the current President of the Philippines and on Mrs. Luz Magsaysay, the wife of President Magsaysay. Additionally, the awardees are asked to lead a discussion or give a presentation on a subject related to the work for which they were acknowledged.

- (1) Encyclopædia Britannica Online, s. v. "Ramon Magsaysay," accessed January 03, 2012, http://owww.britannica.com.libcat.lafayette.edu/EBchecked/topic/357732/Ramon-Magsaysay.
- (2) The Ramon Magsaysay Awards: 1958 1962. 9-10.
- (3) Ramon Magsaysay Award Foundation Website. "History". (accessed on June 2, 2011) http://www.rmaf.org.ph/? id=2&page=history
- (4) Ibid.

Scrapbooks, 1957-1998

Scope and Contents

Contains a scrapbook for each year that the Ramon Magsaysay Award was given from 1958-1998, with the exception of 1996 and 1997. In each given year, a scrapbook was completed shortly after the award ceremonies had ended. The scrapbooks were then sent to the Rockefeller Brothers Fund (RBF).

The material within each scrapbook includes media coverage leading up to the award ceremony, documentation of the ceremony itself, and the post-event departures of the awardees. Most scrapbooks feature newspaper clippings, responses given by the awardees at the ceremonies, photographs of the various events related to the awards, and copies of materials handed out during informal group discussions given by the awardees.

There were no awards given in 1970 because the government of the Philippines asked that institutions conserve all foreign currency. No awards given in 1990 out of respect for the people of the Philippines who had just experienced a terrible earthquake on July 16th, 1990.

In this record, categories of awards will be abreviated in the following fashion:

Award Category Abbreviations are as follows: Government Service (GS) Public Service (PS) Community Leadership (CL) Journalism and Literature (JL) International Understanding (IU) Peace & International Understanding (PIU) Journalism, Literature and Creative Communication Arts (JLCCA) Emergent Leadership (EL)

Arrangement

Arranged chronological by the year the award was given. Original order was maintained within each scrapbook, and pages numbers were assigned, found in the upper right-hand corner of each sleeve.

Conditions Governing Access:

Conditions Governing Access

All archival material 10 years old or older is open for scholarly research.

Conditions Governing Use:

Conditions Governing Use

The Rockefeller Brothers Fund retains legal title, copyright and literary property rights in the records. The Rockefeller Archive Center has authority to grant permission to cite and publish material from the records. Requests to publish works in their entirety will be referred to the officers of the Rockefeller Brothers Fund.

Immediate Source of Acquisition:

Immediate Source of Acquisition

Rockefeller Brothers Fund has deposited these records at the Rockefeller Archive Center. The Archive Center received the scrapbooks in multiple accessions beginning in 1979 and continuing periodically through 2006.

Physical Characteristics and Technical Requirements

The scrapbooks were originally housed in maroon covers inscribed with the year. Archival photocopies of each cover can be found in the collection. To enable long-term preservation of the original materials, the support pages of the scrapbooks were removed from their bindings and re-housed in polypropylene sleeves.

Processing Information:

Processing Information

Advanced archival processing of the Ramon Magsaysay Scrapbooks was conducted by Julia Welby, Summer Intern, Rockefeller Archive Center, June-August 2011. Processing included arranging and describing the records and preparing them for scholarly access. Advanced work focused on the re-housing and item-level care of each individual scrapbook to facilitate their long-term preservation.

Title/Description	Instances
1958	box 1
Scope and Contents	
Award Winners: Vinoba Bhave (CL); Chian Mon Lin (GS); Robert Dick and Mochtar Lubis (JLCCA); Operation Brotherhood (PIU); Mary Rutnam (PS)	
1959	box 2
Scope and Contents	box 1
Award Winners: Jose Aguilar (GS); Dalai Lama (CL); Chintaman Deshmukh (GS); Edward Law Yone (JLCCA); Tee Tee Luce and Joaquin Vilallonga (PS); Tarzie Vittachi (JLCCA)	

Scope and Contents	box 2
Award Winners: Rahman Abdul (CL); Henry Holland and Ronald Holland (PS); Y.C. James Yen (PIU)	box 3
1961	box 4
Scope and Contents	box 3
Award Winners: Gus Borgeest (CL); Genevieve Caulfield (PIU); Amitabha Chowdhury (JLCCA); Raden Kodijat (GS); Nilawan Pintong (PS)	
1962	box 4
Scope and Contents	box 5
Award Winners: Francisca Aquinto (GS); Chang Chunha (JLCCA); Horace Kadoorie and Lawrence Kadoorie (PS); Mother Teresa (PIU); Palayil Narayanan and Koesna Poeradiredja (CL)	
1963	box 6
Scope and Contents	box 5
Award Winners: Akhter Khan (GS); Dara Khurody, Verghese Kurien and Tribhuvandas Patel (CL); Helen Kim (PS); US Peace Corps in Asia (PIU)	
1964	box 6
Scope and Contents	box 7
Award Winners: Welthy Fisher (PIU); Augustine Hoa (PS); Yukiharu Miki (GS); Kayser Sung and Richard Wilson (JLCCA); Pablo Tapia (CL)	
1965	box 9
Scope and Contents	box 8
Award Winners: Bayanihan Folk Arts Center (PIU); Akira Kurosawa (JLCCA); Lim Kim San (CL); Jayaprakash Narayan (PS); Puey Ungphakorn (GS)	box 7
1966	box 9
Scope and Contents	
Award Winners: Kamaladevi Chattopadhyay (CL); Committee for Coordination of Investigations of the Lower Mekong Basin and Cooperating Entities (PIU); Kim Yong-ki (PS); Phon Sangsingkeo (GS)	

1967

Scope and Contents	box 10
Award Winners: Abdul Razak (CL); Sithiporn Kridakara (PS); Shiroshi Nasu (PIU); Satyajit Ray (JLCCA); Keo Viphakone (GS)	
1968	box 11
Scope and Contents	
Award Winners: Cooperative for American Relief Everywhere (PIU); Rosario Encarnation and Silvino Encarnation (CL); Li Kwoh-ting (GS); Ton That Thien (JLCCA); Seiichi Tobata (PS)	
1969	box 12
Scope and Contents	
Award Winners: Ahangamage Ariyaratne (CL); Hsu Shih-Chu (GS); International Rice Research Institute (PIU); Kim Hyung-Seo (PS); Mitoji Nishimoto (JLCCA)	
1971	box 13
Scope and Contents	
Award Winners: Prayoon Chanyavongs (JLCCA); Saburo Okita (PIU); Pedro Orata (PS); Ali Sadikin (GS); Moncompu Sambasivan Swaminathan (CL)	
1972	box 13
Scope and Contents	box 14
Award Winners: Goh Keng-Swee (GS); Cecile Guidote and Gilopez Kabayao (PS); Yasuji Hanamori (JLCCA); Hans Westernberg (CL)	
1973	box 14
Scope and Contents	box 15
Award Winners: Krasae Chanawongse (CL); Antonio Fortich and Benjamin Gaston (PS); Michiko Ishimure (JLCCA); Balachandra Sekhar (GS); Summer Institute of	

box 15

box 16

Linguistics (PIU)

1974

Scope and Contents

Award Winners: Fusaye Ichikawa (CL); Hiroshi Kuroki (GS); William Materson (PIU); Zacarias Sarian (JLCCA); M.S. Subbulakshmi (PS)

1975	box 16
Scope and Contents	box 17
Award Winners: Lee Tai-Young (CL); Patrick James McGlinchey (PIU); Phra Parnchand (PS); Mohammed Suffian (GS); Boobli George Verghese (JLCCA)	
1976	box 17
Scope and Contents	box 18
Award Winners: Hermenegild Fernandez (PS); Henning Holck-Larson (PIU); Sombhu Mitra (JLCCA); Elsie Tu (GS); Toshikazu Wakatsuki (CL)	
1977	box 18
Scope and Contents	box 19
Award Winners: Ela Ramesh Bhatt (CL); College of Agriculture at the University of the Philippines at Los Banos (PIU); Fe Del Mundo (PS); Benjamin Galstaun (GS); Mahesh Regmi (JLCCA)	
1978	box 19
Scope and Contents	box 20
Award Winners: Tahrunnesa Abdullah (CL); Shahrum bin Yub (GS); Soedjatmoko (PIU); Prateep Ungsongtham- Hata (PS); Yoon Suk-joong (JLCCA)	
1979	box 21
Scope and Contents	box 20
Award Winners: Mabelle Arole and Rajanikant Arole (CL); Association of Southeast Asian Nations (PIU); Chang Kee-ryo (PS); Lokukamkanamge Manjusri (JLCCA); Raden Wasito (GS)	
1980	box 21
Scope and Contents	box 22
Award Winners: Fazle Hazan Abed (CL); Muhammed Alias (GS); Francisco Sionil Jose (JLCCA); Shigeharu Matsumoto (PIU); Ohm Dae-sup (PS)	
1981	box 22

Scope and Contents	box 23
Award Winners: Gour Kishore Ghosh (JLCCA); Augustine Joung Kang (PIU); Johanna Nasution (PS); Pramod Karan Sethi (CL); Prawase Wasi (GS)	
1982	box 23
Scope and Contents	box 24
Award Winners: Arturo Alcaraz (GS); Chandi Prasad Bhatt (CL); Manibhai Desai (PS); Arun Shourie (JLCCA)	
1983	box 25
Scope and Contents	box 24
Award Winners: Fua Hariphitak (PS); Marcelline Jayakody (JLCCA); Aloysius Schwartz (PIU); ANton Soedjarwo (CL); SU Nan-cheng (GS)	
1984	box 25
Scope and Contents	box 26
Award Winners: Jiro Kawakita (PIU); Rasipuram Laxman (JLCCA); Thongbai Thongpao (PS); Wu Ta-you (GS); Muhammad Yunus (CL)	
1985	box 26
Scope and Contents	box 27
Award Winners: Murlidhar Amte (PS); Lino Brocka (JLCCA); Zafrullah Chowdhury (CL); Tan Sri Noordin (GS); Harold Ray Watson (PIU)	
1986	box 27
Scope and Contents	box 28
Award Winners: John Vincent Daly and Paul Jei (CL); Abdul Sattar Edhi and Bilqis Edhi (PS); International Institute of Rural Reconstruction (PIU); Aloysius Mboi and Nafsiah Mboi (GS); Radio Veritas (JLCCA)	
1987	box 28
Scope and Contents	
Award Winners: Haji Hanafiah (GS); Hans Bague Jassin (PS); RIchard William Timm (PIU); Aree Valyasevi (CL); Diane (Yun-Peng) Ying (JLCCA)	

box 29

1988

Scope and Contents

Award Winners: Masanobu Fukuoka (PS); Miriam Santiago (GS); Veditantirige Sarachchandra (JLCCA); The Royal Project (PIU); Mohammad Yeasin (CL)

1989	box 30
Scope and Contents	
Asian Institute of Technology (PIU); Zakiah Hanum (GS); Lakshimi Chand Jain (PS); Kim Im-Soon (CL); James Reuter (JLCCA)	
1991	box 32
Scope and Contents	box 31
Award Winners: Alfredo Bengzon (GS); Shih Cheng- Yen (CL); Press Foundation of Asia (PIU); Princess Maha Sirindhorn (PS); K.V. Subbanna (JLCCA)	box 30
1992	box 32
Scope and Contents	
Award Winners: Angel Alcala (PS); Shoaib Khan (CL); Ravi Shankar (JLCCA); Chamlong Srimuang (GS); Washington Sycip (PIU)	
1993	box 33
Scope and Contents	box 32
Award Winners: Banoo Jehangir Coyaji (PS); Noboru Iwamura (PIU); Bienvenido Lumbera (JLCCA); Abdurrahman Wahid (CL); Vo-Tong Xuan (GS)	
1994	box 33
Scope and Contents	box 34
Award Winners: Eduardo Jorge Anzorena (PIU); Kiran Bedi (GS); Fei Xiaotong and Sima Samar (CL); Abdul Samad Ismail (JLCCA); Mechai Viravaidya (PS)	
1995	box 35
Scope and Contents	box 34
Award Winners: Asian Institute of Management (PIU); Morihiko Hiramatsu (GS); Teh Ho Ming (CL); Asma Jahangir (PS); Pramoedya Ananta Toer (JLCCA)	
1998	box 36

Scope and Contents

Corazon Aquino (IU); Nuon Phaly (CL); Syed Adibul Rizvi (GS); Sophon Suphapong (PS); Ying Ruocheng (JLCCA)

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Rockefeller Brothers Fund photographs, 1957-1963

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