

# Commonwealth Fund records, Grants, SG 1, Series 18

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## **Table of Contents**

Summary Information	3
Biographical/Historical note	3
Scope and Contents note	Δ
Arrangement note	4
File Plan note	4
Administrative Information	4
Controlled Access Headings	5
Other Finding Aids	6
Collection Inventory	7

## **Summary Information**

**Repository:** Rockefeller Archive Center

**Creator:** Commonwealth Fund

Title: Commonwealth Fund records, Grants, SG 1, Series 18

ID: FA290

Date: 1918-2003

**Physical Description:** 207.98 Cubic Feet 526 boxes and 530 microflim reels

Language of the

**English** 

**Material:** 

#### **Preferred Citation note**

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^ Return to Table of Contents

## Biographical/Historical note

The Commonwealth Fund was established in 1918 with an endowment of \$10 million from Mrs. Stephen V. Harkness for "benevolent, religious, educational and like purposes." The early grants supported a variety of programs while generally promoting welfare, especially child welfare. Several grants were made in the field of medical research, but the Board of Directors decided in 1920 that this field was already sufficiently supported by other philanthropic organizations.

Despite this decision, by the mid-1920s the chief interest of the Fund had become public health, including mental hygiene, community health, rural hospitals, medical research, and medical education. Other grant areas included war relief, educational and legal research, child welfare, and international medical fellowships.

^ Return to Table of Contents

Grant actions comprise the largest series of the Commonwealth Fund records. The earliest grants funded a broad range of projects and associations and reflected the diverse and varied program of the Fund's beginning years. In many cases the grants were parallel or ancillary to existing Commonwealth Fund projects. Often, however, unrelated or special short-term grants were awarded. When the Commonwealth Fund's program became more oriented toward medical education and research, the grant actions mirrored this policy alteration. The records found in the Grants Series are the combination of the unprocessed Grants and Expired Grants Series.

^ Return to Table of Contents

## **Arrangement note**

Series 18 is divided into four subseries. Each series represents an additional accession of grant files.

^ Return to Table of Contents

#### File Plan note

Former Classification: IX; IV 4B 4;

^ Return to Table of Contents

#### **Administrative Information**

#### **Publication Statement**

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^ Return to Table of Contents

## **Controlled Access Headings**

- Law
- Legal issues
- Legal research
- Medical education
- Medical research
- Medicine, Rural
- Medicine -- Scholarships, fellowships, etc.
- Mental health
- Child mental health
- New York (N.Y.)
- New York (State)
- Public health
- Hygiene
- Education, Rural
- Child welfare
- Child psychology
- Public welfare
- United States
- War relief
- Harvard University
- Harkness, Edward Stephen
- Social Science Research Council
- Harkness, Anna M. Richardson
- Columbia University
- Yale University
- Harkness, Mary Emma Stillman
- Phillips Exeter Academy

• Harkness, Charles W.

## **Other Finding Aids**

Other available finding aids describing the Commonwealth Fund records includ	Other a	vailable	finding	aids	describing t	the C	Commonwealth	Fund	records	includ
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- FA276 Commonwealth Fund records, Annual Reports, SG 1, Series 4
- FA277 Commonwealth Fund records, Archives/Library, SG 1, Series 5
- FA278 Commonwealth Fund records, Austrian Program, SG 1, Series 6
- FA279 Commonwealth Fund records, Board of Directors, SG 1, Series 7
- FA280 Commonwealth Fund records, Capital Grants, SG 1, Series 8
- FA281 Commonwealth Fund records, Child Health Demonstrations, SG 1, Series 9
- FA282 Commonwealth Fund records, Division of Community Clinics, SG 1, Series 10
- FA283 Commonwealth Fund records, Division of Health Studies, SG 1, Series 11
- FA284 Commonwealth Fund records, Division of Public Health, SG 1, Series 12
- FA285 Commonwealth Fund records, Division of Publications, SG 1, Series 13
- FA286 Commonwealth Fund records, Division of Rural Hospitals, SG 1, Series 14
- FA287 Commonwealth Fund records, Educational Research, SG 1, Series 15
- FA288 Commonwealth Fund records, English Mental Hygiene Program, SG 1, Series 16
- FA289 Commonwealth Fund records, Finance Committee, SG 1, Series 17
- FA290 Commonwealth Fund records, Grants, SG 1, Series 18
- FA291 Commonwealth Fund records, Harkness Family, SG 1, Series 19
- FA292 Commonwealth Fund records, Harkness Fellowships, SG 1, Series 20
- FA428 Commonwealth Fund records, Harkness Fellowships, Fellows Reports, SG 1, Series 20A
- FA293 Commonwealth Fund records, Institute for Child Guidance, SG 1, Series 21
- FA294 Commonwealth Fund records, Interface Program, SG 1, Series 22

FA295 Commonwealth Fund records, Legal Research Program, SG 1, Series 23

FA296 Commonwealth Fund records, Living at Home Program, SG 1, Series 24

FA297 Commonwealth Fund records, Mental Hygiene Program, SG 1, Series 25

FA298 Commonwealth Fund records, Miscellaneous - unprocessed

FA299 Commonwealth Fund records, Personnel - unprocessed

FA300 Commonwealth Fund records, Pilgrim Trust, SG 1, Series 28

FA301 Commonwealth Fund records, Program for the Prevention of Delinquency, SG 1, Series 29

FA302 Commonwealth Fund records, Reference Files, SG 1, Series 30

FA303 Commonwealth Fund records, Reports of the General Director, SG 1, Series 31

FA304 Commonwealth Fund records, Treasurer, SG 1, Series 32

FA305 Commonwealth Fund records, Turndown Lists, SG 1, Series 33 - unprocessed

FA306 Commonwealth Fund records, Vertical File (R. Fitz), SG 1, Series 34 - unprocessed

FA307 Commonwealth Fund records, Visual Materials, SG 1, Series 35

FA389 Commonwealth Fund records, Administration - Historical Files, SG 1, Series 1

FA390 Commonwealth Fund records, Administration - Officers Files, SG 1, Series 2

FA429 Commonwealth Fund records, President, Margaret E. Mahoney, SG 2, Series 1

FA430 Commonwealth Fund records, Book Program, SG 2, Series 2

## **Collection Inventory**

#### **Grants**

Physical Description: 171.6 Cubic Feet

Physical Description: 390 boxes, 532 microfilm reels

**Arrangement note** 

This subseries of records is arranged alphabetically by grant recipient.

<sup>^</sup> Return to Table of Contents

Grant actions comprise the largest series of the Commonwealth Fund records. The earliest grants funded a broad range of projects and associations and reflected the diverse and varied program of the Fund's beginning years. In many cases the grants were parallel or ancillary to existing Commonwealth Fund projects. Often, however, unrelated or special short-term grants were awarded. When the Commonwealth Fund's program became more oriented toward medical education and research, the grant actions mirrored this policy alteration. The records found in the Grant Series are the combination of the unprocessed Grants and Expired Grants Series.

Title/Description	Instances		
Academy of Religion and Mental Health - Inter-Professional Education in Health Affairs (ME&CH), 1966-1974	box 1	folder 1	
Academy of Religion and Mental Health - Inter-Professional Education in Health Affairs (ME&CH), 1966-1974	box 1	folder 2	
Aid to Medical Schools in Underdeveloped Areas (ME&CH), 1963-1964	box 1	folder 3	
Related Archival Materials note:			
Related Archival Materials note			
See also CF Series Advanced Medical Fellowships, Sub- Series Institutional Block Grants.			
University of Alabama (Medical School) - Physiological Studies of Children with Congenital Heart Defects (MR), 1945-1947	box 1	folder 4	
Scope and Contents note			
Original Grant to Johns Hopkins University.			
University of Alabama (Medical School) - Physiological Studies of Children with Congenital Heart Defects - Financial Data (MR), 1945-1948	box 1	folder 5	
University of Alabama (Medical School) - Physiological Studies of the Heart and Circulation (MR), 1947-1956	box 1	folder 6	
Scope and Contents note			
Original Grant to Johns Hopkins University.			
University of Alabama (Medical School) - Physiological Studies of the Heart and Circulation (MR), 1947-1956	box 1	folder 7	
Scope and Contents note			
Original Grant to Johns Hopkins University.			
University of Alabama (Medical School) - Physiological Studies of the Heart and Circulation (MR), 1947-1956	box 1	folder 8	

Original Grant to Johns Hopkins University.

University of Alabama (Medical School) - Physiological Studies of the Heart and Circulation - Financial Data (MR), 1947-1951	box 1	folder 9	
Albany Medical College-Rensselaer Polytechnic Institute - Integrated Program of Pre-Medical and Medical Education (ME&CH), 1964-1966	box 1	folder 10	
Albany Medical College-Rensselaer Polytechnic Institute - Integrated Program of Pre-Medical and Medical Education (ME&CH), 1966-1977	box 2	folder 11	
Albany Medical College-Rensselaer Polytechnic Institute - Integrated Program of Pre-Medical and Medical Education (ME&CH), 1966-1977	box 2	folder 12	
Albany Medical College-Rensselaer Polytechnic Institute - Integrated Program of Pre-Medical and Medical Education (ME&CH), 1966-1977	box 2	folder 13	
Albany Medical College-Rensselaer Polytechnic Institute - Integrated Program of Pre-Medical and Medical Education (ME&CH), 1966-1977	box 2	folder 14	
University of Alberta (Faculty of Medicine) - Extension of School Program into the Community, 1970-1972	box 2	folder 15	
Alderson-Broaddus College - Baccalaureate Program to Train Physicians Assistants (ME&CH), 1967-1969	box 2	folder 16	
Alderson-Broaddus College - Baccalaureate Program to Train Physicians Assistants (ME&CH), 1967-1969	box 2	folder 17	
Alderson-Broaddus College - Baccalaureate Program to Train Physicians Assistants (ME&CH), 1967-1969	box 2	folder 18	
Alderson-Broaddus College - Baccalaureate Program to Train Physicians Assistants (ME&CH), 1969-1977	box 3	folder 19	
Alderson-Broaddus College - Baccalaureate Program to Train Physicians Assistants (ME&CH), 1969-1977	box 3	folder 20	
Alderson-Broaddus College - Baccalaureate Program to Train Physicians Assistants (ME&CH), 1969-1977	box 3	folder 21	
Alderson-Broaddus College - Baccalaureate Program to Train Physicians Assistants (ME&CH), 1969-1977	box 3	folder 22	
Alderson-Broaddus College - Baccalaureate Program to Train Physicians Assistants (ME&CH), 1969-1977	box 3	folder 23	
Alderson-Broaddus College - Baccalaureate Program to Train Physicians Assistants (ME&CH), 1969-1977	box 3	folder 24	
Alderson-Broaddus College - Baccalaureate Program to Train Physicians Assistants (ME&CH), 1969-1977	box 3	folder 25	

Allen, Sylvia, 1927-1929	box 3	folder 26	
American Academy of Arts and Sciences - Correspondence, 1975-1980	box 4	folder 27	
American Academy of Arts and Sciences - Developing a National Board for Peer Review of Physician Care, 1975-1976	box 4	folder 28	
American Academy of Arts and Sciences - Developing a National Board for Peer Review of Physician Care, 1975-1976	box 4	folder 29	
American Academy of Arts and Sciences - International Center for Insect Physiology and Ecology Nairobi, Kenya, 1970-1973	box 4	folder 30	
American Academy of Arts and Sciences - Occasional Bibliographic Review #1 ("History of Biochemistry in Japan 1877-1940: A Review of the Literature"), 1978	box 4	folder 31	
American Academy of Arts and Sciences - Survey of Sources for History of Biochemistry and Molecular Biology; Archival Source Report #7 ("The Erwin Chargaff Papers"), 1977	box 4	folder 32	
American Academy of Arts and Sciences - Survey of Sources Newsletter, 1975-1979	box 4	folder 33	
American Academy of Child Psychiatry - Study of the Needs of Mentally Ill Children and Adolescents and Implications for Training and Practice of Child Psychiatrists (Project Future), 1979-1983	box 4	folder 34	
American Academy of Child Psychiatry - Study of the Needs of Mentally Ill Children and Adolescents and Implications for Training and Practice of Child Psychiatrists (Project Future), 1979-1983	box 4	folder 35	
American Academy of Pediatrics - Study of Child Health in the United States, 1968-1977	box 5	folder 36	
American Arbitration Association - Application of Arbitration Procedures to Malpractice Disputes in the Health Field, 1974-1977; January 25, 1980	box 5	folder 37	
American Arbitration Association - Application of Arbitration Procedures to Malpractice Disputes in the Health Field, 1974-1977; January 25, 1980	box 5	folder 38	
American Arbitration Association - Consumers Systems and Organizations Providing Medical Services and Health Care, 1979-1982	box 5	folder 39	
American Arbitration Association - Test and Evaluation of the Role of Conflict Prevention and Resolution Procedures for the Health Care Field, 1973-1975; April 8, 1982	box 5	folder 40	
American Arbitration Association - Test and Evaluation of the Role of Conflict Prevention and Resolution Procedures for the Health Care Field (Health Service Associate), 1974-1976	box 5	folder 41	
American Association for the Advancement of Science - Annual Meeting (January 26-31, 1975), 1974-1975	box 5	folder 42	

American Association for the Advancement of Science - First General Meeting on Science and Technology (Science and Man in the Americas), 1972-1974	box 5	folder 43	
American Association for the Advancement of Science - Symposium on Genetics, Man and Society, 1972-1973	box 5	folder 44	
American Association for the Advancement of Science - Systematic Plan for Medical Science Education, 1972-1974	box 5	folder 45	
American Association for the Advancement of Science - Television Programs/Annual Meeting, 1968-1969	box 5	folder 46	
American Association for the Advancement of Science - Television Programs/Annual Meeting, 1970-1974	box 6	folder 47	
American Association of Colleges of Pharmacy - Independent National Study Commission on Pharmacy, 1972-1976	box 6	folder 48	
American Association of Junior Colleges - Coordination of Community College and University Resources to Improve Allied Health Education, 1971-1974	box 6	folder 49	
American Association of Junior Colleges - Coordination of Community College and University Resources to Improve Allied Health Education - "Conference Proceedings: New Directions in Post-Secondary Education Expanding Opportunities for the Occupational Education Student", May 10-11, 1973	box 6	folder 50	
American Association of Junior Colleges - Coordination of Community College and University Resources to Improve Allied Health Education - "Conference Proceedings: Whither Education for Health Care Delivery, A Florida Approach", May 3-4, 1973	box 6	folder 51	
American Association of Junior Colleges - Coordination of Community College and University Resources to Improve Allied Health Education - "Report: Interface The Universities and the Community Colleges Examine and Discuss the Issue, Concerns, and Future of Allied Health Education Programs Together", 1973	box 6	folder 52	
American Association of Psychiatric Social Workers, 1930-1934	box 6	folder 53	
American Association of Social Workers, 1919-1921	box 6	folder 54	
American Bar Association Fund for Public Education - Commission on Medical Professional Liability, 1975-1979	box 6	folder 55	
American Bar Association Fund for Public Education - Commission on Medical Professional Liability, 1975-1979	box 6	folder 56	
American Bible Society, April-October, 1919	box 7	folder 57	
American Blood Commission - Improved Management of National Blood Resources, 1975-1979	box 7	folder 58	
American Board of Pediatrics - Redefinition of Competent Pediatrician-Basis for Improved Certification Procedures in Pediatrics and Related Areas, 1972-1975	box 7	folder 59	

American Board of Pediatrics - Redefinition of Competent Pediatrician - Basis for Improved Certification Procedures in Pediatrics and Related Areas, 1972-1975	box 7	folder 60	
American Child Hygiene Association, 1920-1925	box 7	folder 61	
American City Bureau, May-October, 1920	box 7	folder 62	
American Classical League, 1922, 1930	box 7	folder 63	
American College Health Association - Fifth National Conference on Health in College Communities, 1968-1969	box 7	folder 64	
American College Health Association - Study of the Role of College and University Health Programs-Health Service Delivery, 1972-1974	box 7	folder 65	
American College of Nurse-Midwives Foundation (Congress Fund) - 16th International Congress of Midwives, 1971-1974	box 7	folder 66	
American College of Surgeons - Diagnostic Clinics, February- June, 1920	box 7	folder 67	
American Committee on Armenian and Syrian Relief, April-May, 1919	box 7	folder 68	
American Conference on Hospital Service, 1925-1929	box 7	folder 69	
American Council on Education - "Conference: Presidents and Medical Education (May 6-7, 1978)", 1978-1979	box 7	folder 70	
American Ditchley Foundation - Anglo-American Conference on Psychiatry and the Law (November 5-8, 1971), 1970-1974	box 7	folder 71	
American Ditchley Foundation - Ditchley Conference on Health Services (December 8-11, 1972), 1972-1975	box 8	folder 72	
American Ditchley Foundation - Ditchley Conference on Health Services (December 8-11, 1972), 1972-1975	box 8	folder 73	
American Forestry Association, 1927-1932	box 8	folder 74	
American Forestry Association, 1927-1932	box 8	folder 75	
American Forestry Association, 1927-1932	box 8	folder 76	
American Forestry Association, 1927-1932	box 8	folder 77	
American Forestry Association, 1927-1932	box 8	folder 78	
American Friends Service - Medical Equipment Purchase Viet Duc Hospital, Hanoi, 1979-1980	box 8	folder 79	
American Hospital of Paris - Department of Eye Surgery, 1969-1970	box 8	folder 80	
American Institute of Architects - Educational Facilities Laboratories, Inc Planning and Use of Ambulatory Care Facilities, 1970-1972	box 8	folder 81	

American Institute of Architects - Educational Facilities Laboratories, Inc Planning and Use of Ambulatory Care Facilities, 1973-1976	box 9	folder 82	
American Institute of Architects - Educational Facilities Laboratories, Inc Planning and Use of Ambulatory Care Facilities, 1973-1976	box 9	folder 83	
American Institute of Architects - Proposal to Establish Health Facilities Research, Inc., February-September, 1972	box 9	folder 84	
American Land Service, July-August, 1921	box 9	folder 85	
American Law Institute - International Bill of Rights, 1942-1944	box 9	folder 86	
American Law Institute - Preparation of a Model Law Plan for Handling Delinquent Minors, 1938-1940	box 9	folder 87	
American Law Institute - Youth Authority Program, April-June, 1949	box 9	folder 88	
American Liver Foundation - Support for Initial Development Programs, 1978-1981	box 9	folder 89	
American Medical Association - Education and Research Foundation - Loan Program to Help Minority Group Students to Complete Medical School, 1971-1981	box 9	folder 90	
American Medical Association - Education and Research Foundation - Loan Program to Help Minority Group Students to Complete Medical School, 1971-1981	box 9	folder 91	
American Mission to Lepers, 1919-1936	box 9	folder 92	
American Museum of Natural History - Anthropology Collection Management Program, 1979-1983	box 9	folder 93	
American Museum of Natural History - Centennial Campaign, 1969-1970	box 9	folder 94	
American National Red Cross - Study of Joint Committee of the International Committee of Red Cross - Reappraisal of Role, 1974-1977	box 10	folder 95	
American National Red Cross - Study of Joint Committee of the International Committee of Red Cross - Reappraisal of Role, 1974-1977	box 10	folder 96	
American Psychiatric Association - Experimental Program for Working Committees, 1949-1952	box 10	folder 97	
American Psychiatric Association - Experimental Program for Working Committees, 1949-1952	box 10	folder 98	
American Psychiatric Association - Mental Hospital Service, 1948-1952	box 10	folder 99	
American Psychiatric Association - Twenty-Fifth Anniversary Mental Health Conference, 1971-1973	box 10	folder 100	

American Public Health Association - Committee on Local Health Units, 1943-1946	box 10	folder 101	
American Public Health Association - Educational Campaign, December 4, 1919	box 10	folder 102	
American Public Health Association - General Budget and Financial Data, 1932-1936	box 10	folder 103	
American Public Health Association	box 10	folder 104	
Scope and Contents note			
Personnel and Recruiting Service - August 16, 1926-October 22, 1926 "Popular Health Magazine" - December 13, 1919-January 20, 1920			
American Public Health Association - Revision of Evaluation Methods, 1942-1943; November 14-29, 1950	box 10	folder 105	
American Public Health Association - State Health Studies, March-June, 1937; 1939-1947	box 11	folder 106	
American Public Health Association - State Health Studies, March-June, 1937; 1939-1947	box 11	folder 107	
American Public Health Association - State Health Studies, March-June, 1937; 1939-1947	box 11	folder 108	
American Public Health Association - State Health Studies, March-June, 1937; 1939-1947	box 11	folder 109	
American Public Health Association - State Health Studies, March-June, 1937; 1939-1947	box 11	folder 110	
American Public Health Association - State Health Studies - Financial Data, 1937-1947	box 11	folder 111	
American Public Health Association - State Health Studies - Financial Data, 1937-1947	box 11	folder 112	
American Public Health Association - Study of Effectiveness of Administrative Health Practices, 1927-1935	box 11	folder 113	
American Public Health Association - Study of Effectiveness of Administrative Health Practices - "Report of the Subcommittee on Evaluation of Administrative Practices for the Year 1931 and Program for 1932"	box 12	folder 114	
American Public Health Association - Study of Effectiveness of Administrative Health Practices - "Scarlet Fever Studies 1927-1933", 1927-1933	box 12	folder 115	
American Red Cross	box 12	folder 116	

Balkans Relief - June 9, 1919-July 30, 1919 Chilean Disaster Relief - June 14, 1960-June 16, 1960 Flood Relief -January 27, 1937 and August 23, 1955-November 17, 1955 Miscellaneous Grants - January 3, 1922 Russian Refugees - December 13, 1919-April 24, 1920 West Indies Hurricane Relief Fund - October 10, 1928

American Red Cross in Greater New York - Emergency Disaster Fund (Hurricane Agnes), October-December, 1972	box 12	folder 117	
American Red Cross-New York Chapter - Harry Davison Fund, July 6, 1961	box 12	folder 118	
American Relief Administration	box 12	folder 119	
<b>Scope and Contents note</b>			
European Children's Fund - October 2, 1919-December 7, 1920 European Relief Council - October 5, 1920-May 11, 1921 Food Drafts for Intellectual Class in Central Europe - June 10, 1920-June 25, 1921			
American Relief Administration - Relief of Intellectuals in Central Europe, 1920-1922	box 12	folder 120	
Scope and Contents note			
Five Grants.			
American Relief Administration - Reports, 1920-1922	box 12	folder 121	
American Social Hygiene Association, 1919-1922	box 12	folder 122	
American Society for the Control of Cancer, 1919-1929	box 12	folder 123	
American Society for the Control of Cancer, 1919-1929	box 12	folder 124	
American Society of Law and Medicine/American Bar Association - "Current Status and Utility of American Emergency Medical Care Liability Law", 1978-1979	box 12	folder 125	
American Surgical Association and American College of Surgeons - Study of Surgical Services in the United States (SOSSUS), 1970-1977	box 13	folder 126	
American Surgical Association and American College of Surgeons - Study of Surgical Services in the United States (SOSSUS), 1970-1977	box 13	folder 127	
American Surgical Association and American College of Surgeons - Study of Surgical Services in the United States (SOSSUS), 1970-1977	box 13	folder 128	
American Surgical Association and American College of Surgeons - Study of Surgical Services in the United States (SOSSUS), 1970-1977	box 13	folder 129	
American Surgical Association and American College of Surgeons - Study of Surgical Services in the United States (SOSSUS), 1970-1977	box 13	folder 130	

American Surgical Association and American College of Surgeons - Study of Surgical Services in the United States (SOSSUS), 1970-1977	box 13	folder 131
American Surgical Association and American College of Surgeons - Study of Surgical Services in the United States (SOSSUS) - "Manpower Subcommittee Preliminary Report and Reference Handbook", November 15, 1973	box 13	folder 132
American Surgical Association and American College of Surgeons - Study of Surgical Services in the United States (SOSSUS) - "Questionnaire Survey and Area Studies Preliminary Tabulations", November 13, 1973	box 13	folder 133
American Trauma Society - Core Support for National, Voluntary Health Agency to Direct the Attach on Accidental Death and Disability, 1974-1978	box 14	folder 134
American Trauma Society - Core Support for National, Voluntary Health Agency to Direct the Attach on Accidental Death and Disability, 1974-1978	box 14	folder 135
American Trust for the British Library - Development of United States Sources of Support for building the British Library's "American Collection", 1979-1981	box 14	folder 136
American University of Beirut - Development of the University's Role in the Strengthening of Medical Education and Health Care in the Middle East (ME&CH), 1966-1971	box 14	folder 137
American University of Beirut - Development of the University's Role in the Strengthening of Medical Education and Health Care in the Middle East (ME&CH), 1966-1971	box 14	folder 138
American University of Beirut - Development of the University's Role in the Strengthening of Medical Education and Health Care in the Middle East (ME&CH), 1966-1971	box 14	folder 139
American University of Beirut - Development of the University's Role in the Strengthening of Medical Education and Health Care in the Middle East (ME&CH), 1966-1971	box 14	folder 140
American University of Beirut - Regional Program to Strengthen Medical Education and Health Care in the Middle East, July- December, 1971	box 14	folder 141
American University of Beirut - Regional Program to Strengthen Medical Education and Health Care in the Middle East, 1972-1982	box 15	folder 142
American University of Beirut - Regional Program to Strengthen Medical Education and Health Care in the Middle East, 1972-1982	box 15	folder 144
American University of Beirut - Special Fellowship-Dr. Samuel P. Asper, 1976-1978	box 15	folder 145
Amherst College, October 5-6, 1920	box 15	folder 146
Andover/Phillips Academy - Study of Secondary Schools of Education in the United States, 1979-1984	box 15	folder 147

Andover/Phillips Academy - Study of Secondary Schools of Education in the United States, 1979-1984	box 15	folder 148	
Appalachian Regional Hospital - National Campaign for Operating Funds, 1967-1969; May 31, 1974	box 15	folder 149	
Arizona, Board of Regents of the Universities and State College - Need for Medical School Study, 1958-1959	box 15	folder 150	
Arizona, Board of Regents of the Universities and State College - Need for Medical School Study, 1958-1959	box 15	folder 151	
Arizona, Board of Regents of the Universities and State College - Need for Medical School Study (ME&CH), 1959-1964	box 16	folder 152	
American University of Beirut - Regional Program to Strengthen Medical Education and Health Care in the Middle East, 1972-1982	box 15	folder 143	
Arizona, Board of Regents of the Universities and State College - Need for Medical School Study (ME&CH), 1959-1964	box 16	folder 153	
Arizona, Board of Regents of the Universities and State College - Need for Medical School Study (ME&CH), 1959-1964	box 16	folder 154	
Arizona, Board of Regents of the Universities and State College - Need for Medical School Study (ME&CH), 1959-1964	box 16	folder 155	
University of Arizona - Planning for the College of Medicine (ME&CH), 1964-1972	box 16	folder 156	
University of Arkansas - Program in Education for the Health Professions (ME), 1952-1954	box 16	folder 157	
University of Arkansas - Program in Education for the Health Professions (ME), 1952-1954	box 16	folder 158	
University of Arkansas - Program in Education for the Health Professions (ME), 1952-1954	box 16	folder 159	
University of Arkansas (School of Medicine) - Neural Influences on Kidney Function (Dykman-Corson) (MR), 1955-1963	box 17	folder 160	
University of Arkansas (School of Medicine) - Neural Influences on Kidney Function (Dykman-Corson) (MR), 1955-1963	box 17	folder 161	
University of Arkansas (School of Medicine) - Neural Influences on Kidney Function (Dykman-Corson) (MR), 1955-1963	box 17	folder 162	
University of Arkansas (School of Medicine) - Neural Influences on Kidney Function (Dykman-Corson) (MR), 1955-1963	box 17	folder 163	
University of Arkansas (School of Medicine) - Neural Influences on Kidney Function (Dykman-Corson) (MR), 1955-1963	box 17	folder 164	
Arnold Toynbee House, September-November, 1920	box 17	folder 165	
Aspen Institute for Humanistic Studies - Institute for Analyses of Public Choice, 1972-1974	box 17	folder 166	
Aspen Institute for Humanistic Studies - Institute for Analyses of Public Choice, 1972-1974	box 17	folder 167	

Aspen Institute for Humanistic Studies - National Dialogue, 1978-1981	box 18	folder 168
Aspen Institute for Humanistic Studies - National Dialogue, 1978-1981	box 18	folder 169
Associated Medical Schools of Greater New York - Establishment of Full-Time Executive Office (ME&CH), 1970-1974	box 18	folder 170
Associated Medical Schools of Greater New York - Establishment of Full-Time Executive Office (ME&CH), 1970-1974	box 18	folder 171
Associated Medical Schools of Greater New York - Establishment of Full-Time Executive Office (ME&CH), 1970-1974	box 18	folder 172
Association for the Aid of Crippled Children, 1919-1920	box 18	folder 173
Association for the Study of Abortion, Inc International Conference on Abortion, 1968-1969, 1974	box 18	folder 174
Association of American Medical Colleges (AAMC) - Committee on Educational Research and Services/Committee on Teaching Institutes and Special Studies, 1954-1957	box 18	folder 175
Association of American Medical Colleges (AAMC) - Committee on Educational Research and Services/Committee on Teaching Institutes and Special Studies, 1954-1957	box 18	folder 176
Association of American Medical Colleges (AAMC) - Committee on Educational Research and Services/Committee on Teaching Institutes and Special Studies, 1954-1957	box 18	folder 177
Association of American Medical Colleges (AAMC) - Committee on Educational Research and Services, 1957-1962	box 19	folder 178
Association of American Medical Colleges (AAMC) - Committee on Educational Research and Services, 1957-1962	box 19	folder 179
Association of American Medical Colleges (AAMC) - Committee on Educational Research and Services, 1957-1962	box 19	folder 180
Association of American Medical Colleges (AAMC) - Committee on Educational Research and Services - Auxiliary Folder, 1957-1958	box 19	folder 181
Association of American Medical Colleges (AAMC) - Comparative Study of Medical Schools and Government Interaction in Countries with Developed Systems of National Health Care, 1972-1977	box 19	folder 182
Association of American Medical Colleges (AAMC) - Comprehensive Evaluation of Curriculum Reform in United States and Canadian Medical Education, 1968, 1971, 1974	box 19	folder 183
Association of American Medical Colleges (AAMC) - Comprehensive Evaluation of Curriculum Reform in United States and Canadian Medical Education, 1968, 1971, 1974	box 19	folder 184

Association of American Medical Colleges (AAMC) - Conference "Coordinated National Policies on the Role of Foreign Medical Graduates in the United States Health Care System", 1975-1977	box 19	folder 185	
Association of American Medical Colleges (AAMC) - Conference "Dual Purpose Conference: Effective Utilization of Health Manpower and The Dynamics of Communication (Student National Medical Association), 1973-1974	box 20	folder 186	
Association of American Medical Colleges (AAMC) - Conference "VII Pan American Conference on Medical Education", 1977-1979	box 20	folder 187	
Association of American Medical Colleges (AAMC) - Division of Faculty Development, 1974-1980	box 20	folder 188	
Association of American Medical Colleges (AAMC) - Division of Faculty Development, 1974-1980	box 20	folder 189	
Association of American Medical Colleges (AAMC) - Division of Faculty Development - Faculty Development Program Publications, 1975 and 1976	box 20	folder 190	
Association of American Medical Colleges (AAMC) - Division of Faculty Development - Final Report of the Faculty Development Survey, December 1977	box 20	folder 191	
Association of American Medical Colleges (AAMC) - Division of Faculty Development - First Preliminary Report of the Faculty Development Survey, March 1977	box 20	folder 192	
Association of American Medical Colleges (AAMC) - Division of Faculty Development - Second Preliminary Report of the Faculty Development Survey, June 1977	box 20	folder 193	
Association of American Medical Colleges (AAMC) - Division of Faculty Development - Workshop on Faculty Development, May 12-13, 1977	box 21	folder 194	
Association of American Medical Colleges (AAMC) - Division of Faculty Development - Workshop on Faculty Development, May 12-13, 1977	box 21	folder 195	
Association of American Medical Colleges (AAMC) - Division of International Medical Education, 1966-1974	box 21	folder 196	
Association of American Medical Colleges (AAMC) - Division of International Medical Education, 1966-1974	box 21	folder 197	
Association of American Medical Colleges (AAMC) - Division of International Medical Education - Proposal for the Evaluation of Medical Education Programs of the Agency for International Development, April 1963	box 21	folder 198	
Association of American Medical Colleges (AAMC) - Executive Council Meeting Agenda, May 24-25, 1958	box 21	folder 199	
Association of American Medical Colleges (AAMC) - Executive Council Meeting Agenda, May 24-25, 1958	box 21	folder 200	

Association of American Medical Colleges (AAMC) - Institute on International Medical Education (March 27-30, 1966), 1964-1966	box 22	folder 201	
Association of American Medical Colleges (AAMC) - Institute on Primary Care (October 6-8, 1974), 1974-1976	box 22	folder 202	
Association of American Medical Colleges (AAMC) - Institute on Primary Care - Final Report, July 1975	box 22	folder 203	
Association of American Medical Colleges (AAMC) - Institute on Primary Care - Final Report, July 1975	box 22	folder 204	
Association of American Medical Colleges (AAMC) - Institute on Primary Care - Final Report, July 1975	box 22	folder 205	
Association of American Medical Colleges (AAMC) - Institute on Primary Care - Proceedings, October 6-8, 1974	box 22	folder 206	
Association of American Medical Colleges (AAMC) - Institute on Primary Care - Reports and Brochures, 1974	box 22	folder 207	
Association of American Medical Colleges (AAMC) - Institutes on Medical School Administration (ME&CH), 1962-1964	box 23	folder 208	
Association of American Medical Colleges (AAMC) - Institutes on Medical School Administration (ME&CH), 1962-1964	box 23	folder 209	
Association of American Medical Colleges (AAMC) - Institutes on Medical School Administration - Audits (ME&CH), 1959-1964	box 23	folder 210	
Association of American Medical Colleges (AAMC) - Institutes on Medical School Administration - Workbooks for First Institute (ME&CH), October 5-8, 1963	box 23	folder 211	
Association of American Medical Colleges (AAMC) - Medical Film Institute (ME), 1948-1953	box 23	folder 212	
Association of American Medical Colleges (AAMC) - Multimedia Systems for Educating Health Professionals, 1973-1975	box 23	folder 213	
Association of American Medical Colleges (AAMC) - Multimedia Systems for Educating Health Professionals, 1973-1975	box 23	folder 214	
Association of American Medical Colleges (AAMC) - Multimedia Systems for Educating Health Professionals - Reports, July 11, 1974	box 24	folder 215	
Association of American Medical Colleges (AAMC) - Multimedia Systems for Educating Health Professionals - Reports, July 11, 1974	box 24	folder 216	
Association of American Medical Colleges (AAMC) - Office of the Director of Research - Activities Report, June 1960	box 24	folder 217	
Association of American Medical Colleges (AAMC) - Office of the Director of Research - Activities Report, June 1960	box 24	folder 218	
Association of American Medical Colleges (AAMC) - Special Planning Committee and Fluid Funds (ME&CH), 1964-1968	box 24	folder 219	

Association of American Medical Colleges (AAMC) - Special Planning Committee and Fluid Funds (ME&CH), 1964-1968	box 24	folder 220	
Association of American Medical Colleges (AAMC) - Special Planning Committee and Fluid Funds (ME&CH), 1964-1968	box 24	folder 221	
Association of American Medical Colleges (AAMC) - Special Planning Committee and Fluid Funds - "Analysis of the Past and Present Activities of the AAMC: Correlation of the Increasing Activities with Increasing Expenditures" (ME&CH), April 1964	box 24	folder 222	
Association of American Medical Colleges (AAMC) - Study of Medical Education Costs, Administrative Practices and Policies (State University of New York) - Reports, December 1956 and July 1957	box 25	folder 223	
Association of American Medical Colleges (AAMC) - Study of Medical Education Costs, Administrative Practices and Policies (State University of New York) - Reports, December 1956 and July 1957	box 25	folder 224	
Association of American Medical Colleges (AAMC) - Study of Medical Education Costs, Administrative Practices and Policies (State University of New York) - Reports, December 1956 and July 1957	box 25	folder 225	
Association of American Medical Colleges (AAMC) - Supplementary Support (Fluid Grant) (ME&CH), 1960-1963	box 25	folder 226	
Association of American Medical Colleges (AAMC) - Teaching Institute Program (ME&CH), 1960-1962	box 25	folder 227	
Association of American Medical Colleges (AAMC) - Teaching Institute Program (ME&CH), 1960-1962	box 25	folder 228	
Association of American Medical Colleges (AAMC) - Teaching Institute Program (ME&CH), 1960-1962	box 25	folder 229	
Association of American Medical Colleges (AAMC) - Teaching Institute Program (ME&CH), 1960-1962	box 25	folder 230	
Association of American Medical Colleges (AAMC) - Teaching Institute Program - 3rd Teaching Institute (Anatomy and Anthropology, October 18-22, 1955), 1955-1956	box 25	folder 231	
Association of American Medical Colleges (AAMC) - Teaching Institute Program - 4th Teaching Institute (Evaluation of the Student: Appraisal of Applicants to Medical Schools, November 7-10, 1956), 1956-1957	box 25	folder 232	
Association of American Medical Colleges (AAMC) - Teaching Institute Program - 4th Teaching Institute - Program Material, November 7-19, 1956	box 26	folder 233	
Association of American Medical Colleges (AAMC) - Teaching Institute Program - 4th Teaching Institute - Questionnaire Analyses	box 26	folder 234	

Association of American Medical Colleges (AAMC) - Teaching Institute Program - 4th Teaching Institute - Questionnaire Analyses	box 26	folder 235	
Association of American Medical Colleges (AAMC) - Teaching Institute Program - 5th Teaching Institute (Evaluation of the Student: Ecology of the Medical Student, October 15-19, 1957), 1957-1958	box 26	folder 236	
Association of American Medical Colleges (AAMC) - Teaching Institute Program - 5th Teaching Institute - Program Material, October 15-19, 1957	box 26	folder 237	
Association of American Medical Colleges (AAMC) - Teaching Institute Program - 5th Teaching Institute - Questionnaire Analyses	box 26	folder 238	
Association of American Medical Colleges (AAMC) - Teaching Institute Program - 5th Teaching Institute - Questionnaire Analyses	box 26	folder 239	
Association of American Medical Colleges (AAMC) - Teaching Institute Program - 5th Teaching Institute - Round Table Discussions - Topics 1-3	box 26	folder 240	
Association of American Medical Colleges (AAMC) - Teaching Institute Program - 5th Teaching Institute - Round Table Discussions - Topics 4 and 5	box 27	folder 241	
Association of American Medical Colleges (AAMC) - Teaching Institute Program - 6th Teaching Institute (Clinical Teaching, October 7-11, 1958), 1957-1958	box 27	folder 242	
Association of American Medical Colleges (AAMC) - Teaching Institute Program - 6th Teaching Institute - Program Material, October 7-11, 1958	box 27	folder 243	
Association of American Medical Colleges (AAMC) - Teaching Institute Program - 6th Teaching Institute - Questionnaire Analyses	box 27	folder 244	
Association of American Medical Colleges (AAMC) - Teaching Institute Program - 6th Teaching Institute - Questionnaire Analyses	box 27	folder 245	
Association of American Medical Colleges (AAMC) - Teaching Institute Program - 7th Teaching Institute (Clinical Teaching II, October 27-31, 1959), 1959-1960	box 27	folder 246	
Association of American Medical Colleges (AAMC) - Teaching Institute Program - 8th Teaching Institute (Patient Care Factors in the Education of Medical Students, November 1-3, 1960), 1960-1961	box 27	folder 247	
Association of American Medical Colleges (AAMC) - Workshop on Individualized Medical Education (Council of Academic Societies), 1972-1974	box 28	folder 248	

Association of Canadian Medical Colleges (ACMC) -			
Development of Basic Program/Budgetary Support, 1965-1974	box 28	folder 249	
Association of Canadian Medical Colleges (ACMC) - Development of Basic Program/Budgetary Support, 1965-1974	box 28	folder 250	
Association of Canadian Medical Colleges (ACMC) - Proceedings (Conference on Training in Family Medicine), May 13-15, 1968	box 28	folder 251	
Association of Canadian Medical Colleges (ACMC) - Report to the Commonwealth Fund for the Period of April 1, 1966 to March 31, 1971, 1966-1971	box 28	folder 252	
Association of Canadian Medical Colleges (ACMC) - Report to the Commonwealth Fund for the Period of April 1, 1966 to March 31, 1971, 1966-1971	box 28	folder 253	
Association of Medical School Pediatric Department Chairman, Inc Placement Registry to Enable Emeritus Professors to Continue in Teaching Service, 1970-1978	box 28	folder 255	
Association of the Bar of the City of New York Fund, Inc Study of Commitment Procedures for Mentally Ill in New York State, 1959-1963	box 28	folder 256	
Association of University Programs in Hospital Administration - Work-Study Recruitment Program in Hospital Administration for Minority Group Members (Stipends and Scholarships) (ME&CH), 1970-1973	box 28	folder 257	
Athens State Normal School - Chair of Health, 1924-1925	box 29	folder 258	
Atlanta University Center - New Facility for Shared Library Resources, 1978-1981	box 29	folder 259	
Atlantic Council of the United States - "Atlantic Crisis and Response of the Academic Community: An Effort to Develop a Program", January-April, 1972	box 29	folder 260	
Atlantic Institute - Conference at Bellagio of the European and American Committees on Atlantic Studies, March-October, 1967	box 29	folder 261	
Austen Riggs Center - Project to Improve Effectiveness of Rehabilitation Service in Mental Hospitals and Other Confinement Institutions, 1971-1975	box 29	folder 262	
Austen Riggs Center - Project to Improve Effectiveness of Rehabilitation Service in Mental Hospitals and Other Confinement Institutions, 1971-1975	box 29	folder 263	
Association of Canadian Medical Colleges (ACMC) - Report to	box 28	folder 254	
the Commonwealth Fund for the Period of April 1, 1966 to March 31, 1971, 1966-1971			
the Commonwealth Fund for the Period of April 1, 1966 to March	box 29	folder 264	

Australian Frontier, Inc.	box 29	folder 266
Scope and Contents note		
Newsletters - November 1980-May 1982 Reports - December 1978 and May 1980		
Austrian and Polish Intellectuals (Relief), 1922-1923	box 29	folder 267
Austrian Scholarship Committee, 1928-1930	box 29	folder 268
Austrian Scholarship Committee, 1928-1930	box 29	folder 269
Bank Street College of Education - Planning Child Health Programs for Day Care Centers, 1971-1972	box 30	folder 270
Bassett (Mary Imogene) Hospital - Psychiatric Service (HS), 1950-1963	box 30	folder 271
Bassett (Mary Imogene) Hospital - Psychiatric Service (HS), 1950-1963	box 30	folder 272
Bassett (Mary Imogene) Hospital - Study of Whole-Body Radiation Equipment, 1958-1959	box 30	folder 273
Baylor University College of Medicine - Fluid Grant for Research in the Department of Psychiatry, 1955-1959	box 30	folder 274
Baylor University College of Medicine - Fluid Grant for Research in the Department of Psychiatry, 1955-1959	box 30	folder 275
Baylor University College of Medicine - Fluid Grant for Research in the Department of Psychiatry, 1955-1959	box 30	folder 276
Baylor University College of Medicine - Fluid Grant for Research in the Department of Psychiatry, 1959-1962	box 31	folder 277
Baylor University College of Medicine - Laboratory Program for the Teaching of Physiology, 1956-1959	box 31	folder 278
Baylor University College of Medicine - New Approaches to Comprehensive Health Care - Houston's Mexican-American Community, 1971-1977	box 31	folder 279
Baylor University College of Medicine - New Approaches to Comprehensive Health Care - Houston's Mexican-American Community, 1971-1977	box 31	folder 280
Baylor University College of Medicine - New Approaches to Comprehensive Health Care - Houston's Mexican-American Community, 1971-1977	box 31	folder 281
Baylor University College of Medicine - New Approaches to Comprehensive Health Care - Houston's Mexican-American Community, 1971-1977	box 31	folder 282
Baylor University College of Medicine - New Approaches to Comprehensive Health Care - Houston's Mexican-American Community, 1971-1977	box 31	folder 283

Baylor University College of Medicine - New Approaches to Comprehensive Health Care - Houston's Mexican-American Community, 1971-1977  Bellevue Hospital - Tuberculosis Service, 1934-1938 box 32 folder 286  Bellevue Hospital - Tuberculosis Service, 1934-1938 box 32 folder 286  Bellevue Hospital - Tuberculosis Service, 1934-1938 box 32 folder 287  Berlshier Industrial Farm, 1920-1929 box 32 folder 288  Berlshier Industrial Farm, 1920-1929 box 32 folder 288  Berlsharel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982 box 32 folder 289  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Hemophilia (MR), 1947-1953 box 32 folder 292  Beth Israel Hospital (Boston) - Report "Beth Israel Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Report "Beth Israel Ambulatory Care Center (Teaching and Research), 1971-1981  Beth Israel Hospital (Boston) - Report "OB-GYN Patient Flow Study at the Beth Israel Ambulatory Care Center", February 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Training, Research and Demostration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demostration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Feasibility Study of Governance of Bellevue Hospital, 1968-1969  Better Times, 1920-1931  Beth Starel Hospital (Boston)			
Bellevue Hospital - Tuberculosis Service, 1934-1938 box 32 folder 286  Bellevue Hospital - Tuberculosis Service, 1934-1938 box 32 folder 287  Berkshire Industrial Farm, 1920-1929 box 32 folder 288  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Hemophilia (MR), 1947-1953 box 32 folder 292  Beth Israel Hospital (Boston) - Report "Beth Israel Ambulatory Care (BIAC)", April 1974  Beth Israel Hospital (Boston) - Report "OB-GYN Patient Flow Study at the Beth Israel Ambulatory Care Center", February 1974  Beth Israel Hospital (Boston) - Report "Provider Activity Analysis Before and After Implementation of the Beth Israel Ambulatory Care Center (BIAC)", April 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1	Comprehensive Health Care - Houston's Mexican-American	box 31	folder 284
Berkshire Industrial Farm, 1920-1929 box 32 folder 288  Berkshire Industrial Farm, 1920-1929 box 32 folder 288  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Hemophilia (MR), 1947-1953 box 32 folder 293  Beth Israel Hospital (Boston) - Report "Beth Israel Ambulatory Care (BIAC)", April 1974  Beth Israel Hospital (Boston) - Report "OB-GYN Patient Flow Study at the Beth Israel Ambulatory Care Center", February 1974  Beth Israel Hospital (Boston) - Report "Provider Activity Analysis Before and After Implementation of the Beth Israel Ambulatory Care Center (BIAC)", April 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Me	Bellevue Hospital - Tuberculosis Service, 1934-1938	box 32	folder 285
Berkshire Industrial Farm, 1920-1929 box 32 folder 288  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Hemophilia (MR), 1947-1953 box 32 folder 292  Beth Israel Hospital (Boston) - Report "Beth Israel Ambulatory Care (BIAC)", April 1974  Beth Israel Hospital (Boston) - Report "Povider Activity Analysis Before and After Implementation of the Beth Israel Ambulatory Care Center (BIAC)", April 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Feasibility Study of Governance of Bellevue Hospital, 1968-1969  Better Times, 1920-1931  box 33 folder 303  Board for Christian Work in Santo Domingo - Fellowships, box 33 folder 304	Bellevue Hospital - Tuberculosis Service, 1934-1938	box 32	folder 286
Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Hemophilia (MR), 1947-1953  Beth Israel Hospital (Boston) - Report "Beth Israel Ambulatory Care (BIAC)", April 1974  Beth Israel Hospital (Boston) - Report "Beth Israel Ambulatory Care (BIAC)", April 1974  Beth Israel Hospital (Boston) - Report "Provider Activity Analysis Before and After Implementation of the Beth Israel Ambulatory Care (BIAC)", April 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulat	Bellevue Hospital - Tuberculosis Service, 1934-1938	box 32	folder 287
and Research), 1970-1982  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Hemophilia (MR), 1947-1953  Beth Israel Hospital (Boston) - Report "Beth Israel Ambulatory Care (BIAC)", April 1974  Beth Israel Hospital (Boston) - Report "Beth Israel Ambulatory Care (BIAC)", April 1974  Beth Israel Hospital (Boston) - Report "OB-GYN Patient Flow Study at the Beth Israel Ambulatory Care Center", February 1974  Beth Israel Hospital (Boston) - Report "Provider Activity Analysis Before and After Implementation of the Beth Israel Ambulatory Care Center (BIAC)", April 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Tra	Berkshire Industrial Farm, 1920-1929	box 32	folder 288
and Research), 1970-1982  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Hemophilia (MR), 1947-1953  Beth Israel Hospital (Boston) - Report "Beth Israel Ambulatory Care (BIAC)", April 1974  Beth Israel Hospital (Boston) - Report "OB-GYN Patient Flow Study at the Beth Israel Ambulatory Care Center", February 1974  Beth Israel Hospital (Boston) - Report "Provider Activity Analysis Before and After Implementation of the Beth Israel Ambulatory Care Center (BIAC)", April 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Bether Bellevue Association - Feasibility Study of Governance of Bellevue Hospital, 1968-1969  Better Times, 1920-1931  box 33 folder 303  Board for Christian Work in Santo Domingo - Fellowships, box 33 folder 304		box 32	folder 289
and Research), 1970-1982  Beth Israel Hospital (Boston) - Ambulatory Care Center (Teaching and Research), 1970-1982  Beth Israel Hospital (Boston) - Hemophilia (MR), 1947-1953 box 32 folder 293  Beth Israel Hospital (Boston) - Report "Beth Israel Ambulatory Care (BIAC)", April 1974  Beth Israel Hospital (Boston) - Report "OB-GYN Patient Flow Study at the Beth Israel Ambulatory Care Center", February 1974  Beth Israel Hospital (Boston) - Report "Provider Activity Analysis Before and After Implementation of the Beth Israel Ambulatory Care Center (BIAC)", April 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital, 1968-1969  Better Times, 1920-1931  box 33 folder 302  Bigelow (George H.) Memorial, 1937-1938  box 33 folder 304		box 32	folder 290
and Research), 1970-1982  Beth Israel Hospital (Boston) - Hemophilia (MR), 1947-1953 box 32 folder 293  Beth Israel Hospital (Boston) - Report "Beth Israel Ambulatory Care (BIAC)", April 1974  Beth Israel Hospital (Boston) - Report "OB-GYN Patient Flow Study at the Beth Israel Ambulatory Care Center", February 1974  Beth Israel Hospital (Boston) - Report "Provider Activity Analysis Before and After Implementation of the Beth Israel Ambulatory Care Center (BIAC)", April 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beter Bellevue Association - Feasibility Study of Governance of Bellevue Hospital, 1968-1969  Better Times, 1920-1931  Board for Christian Work in Santo Domingo - Fellowships, box 33 folder 304		box 32	folder 291
Beth Israel Hospital (Boston) - Report "Beth Israel Ambulatory Care (BIAC)", April 1974  Beth Israel Hospital (Boston) - Report "OB-GYN Patient Flow Study at the Beth Israel Ambulatory Care Center", February 1974  Beth Israel Hospital (Boston) - Report "Provider Activity Analysis Before and After Implementation of the Beth Israel Ambulatory Care Center (BIAC)", April 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital, 1968-1969  Better Bellevue Association - Feasibility Study of Governance of Bellevue Hospital, 1968-1969  Better Times, 1920-1931  Box 33 folder 302  Bigelow (George H.) Memorial, 1937-1938  box 33 folder 303  Board for Christian Work in Santo Domingo - Fellowships, box 33 folder 304		box 32	folder 292
Care (BIAC)", April 1974  Beth Israel Hospital (Boston) - Report "OB-GYN Patient Flow Study at the Beth Israel Ambulatory Care Center", February 1974  Beth Israel Hospital (Boston) - Report "Provider Activity Analysis Before and After Implementation of the Beth Israel Ambulatory Care Center (BIAC)", April 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beter Bellevue Association - Feasibility Study of Governance of Bellevue Hospital, 1968-1969  Better Times, 1920-1931  Box 33 folder 302  Bigelow (George H.) Memorial, 1937-1938  Box 35 folder 304	Beth Israel Hospital (Boston) - Hemophilia (MR), 1947-1953	box 32	folder 293
Study at the Beth Israel Ambulatory Care Center", February 1974  Beth Israel Hospital (Boston) - Report "Provider Activity Analysis Before and After Implementation of the Beth Israel Ambulatory Care Center (BIAC)", April 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beter Bellevue Association - Feasibility Study of Governance of Bellevue Hospital, 1968-1969  Better Times, 1920-1931  box 33 folder 302  Bigelow (George H.) Memorial, 1937-1938  box 33 folder 303  Board for Christian Work in Santo Domingo - Fellowships,		box 33	folder 294
Before and After Implementation of the Beth Israel Ambulatory Care Center (BIAC)", April 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Box 33 folder 300  Bigelow (George H.) Memorial, 1937-1938  Box 33 folder 303  Board for Christian Work in Santo Domingo - Fellowships,  box 33 folder 304		box 33	folder 295
An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Study "Health Education Service - An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beter Bellevue Association - Feasibility Study of Governance of Bellevue Hospital, 1968-1969  Better Times, 1920-1931  Bigelow (George H.) Memorial, 1937-1938  box 33  folder 303  Board for Christian Work in Santo Domingo - Fellowships,  box 33  folder 304	Before and After Implementation of the Beth Israel Ambulatory	box 33	folder 296
An Integral Part of Beth Israel Ambulatory Care", February 1974  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beter Bellevue Association - Feasibility Study of Governance of Bellevue Hospital, 1968-1969  Better Times, 1920-1931  Bigelow (George H.) Memorial, 1937-1938  box 33  folder 303  Board for Christian Work in Santo Domingo - Fellowships,  box 33  folder 304		box 33	folder 297
Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beth Israel Hospital (Boston) - Training, Research and Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beter Bellevue Association - Feasibility Study of Governance of Bellevue Hospital, 1968-1969  Better Times, 1920-1931  box 33  folder 302  Bigelow (George H.) Memorial, 1937-1938  box 33  folder 303  Board for Christian Work in Santo Domingo - Fellowships, box 33  folder 304		box 33	folder 298
Demonstration of Program for the Advancement of Ambulatory Medical Care, 1971-1981  Beter Bellevue Association - Feasibility Study of Governance of Bellevue Hospital, 1968-1969  Better Times, 1920-1931  box 33  folder 302  Bigelow (George H.) Memorial, 1937-1938  box 33  folder 303  Board for Christian Work in Santo Domingo - Fellowships,  box 33  folder 304	Demonstration of Program for the Advancement of Ambulatory	box 33	folder 299
Bellevue Hospital, 1968-1969  Better Times, 1920-1931 box 33 folder 302  Bigelow (George H.) Memorial, 1937-1938 box 33 folder 303  Board for Christian Work in Santo Domingo - Fellowships, box 33 folder 304	Demonstration of Program for the Advancement of Ambulatory	box 33	folder 300
Bigelow (George H.) Memorial, 1937-1938 box 33 folder 303  Board for Christian Work in Santo Domingo - Fellowships, box 33 folder 304		box 33	folder 301
Board for Christian Work in Santo Domingo - Fellowships, box 33 folder 304	Better Times, 1920-1931	box 33	folder 302
$\mathcal{E}$ 1 '	Bigelow (George H.) Memorial, 1937-1938	box 33	folder 303
		box 33	folder 304

Board for Christian Work in Santo Domingo - Hospital Internacional, 1930-1935; April 16, 1952	box 34	folder 305	
Board for Christian Work in Santo Domingo - Hospital Internacional, 1930-1935; April 16, 1952	box 34	folder 306	
Board for Christian Work in Santo Domingo - Hospital Internacional, 1930-1935; April 16, 1952	box 34	folder 307	
Board for Christian Work in Santo Domingo - Hospital Internacional (X-Ray Equipment), 1941-1943	box 34	folder 308	
Board for the Investigation of Epidemic Diseases (War Department U.S. Army), 1942-1947	box 34	folder 309	
Board of Home Missions of the Presbyterian Church (Eskimo Hospital Project), 1919-1922	box 34	folder 310	
Boston Psychopathic Hospital - Psychiatric Fellowships, 1928-1932	box 34	folder 311	
Boston University Medical Center - Trustee and Faculty Study to Strengthen Policies and Processes for the Center's Advancement (ME&CH), 1968-1974	box 34	folder 312	
Boston University Medical Center - Trustee and Faculty Study to Strengthen Policies and Processes for the Center's Advancement (ME&CH), 1968-1974	box 34	folder 313	
Boston University Medical Center - Trustee and Faculty Study to Strengthen Policies and Processes for the Center's Advancement (ME&CH), 1968-1974	box 34	folder 314	
Boston University School of Medicine - Combined Liberal Arts- Medical Program of Education (ME&CH), 1957-1964	box 35	folder 315	
Boston University School of Medicine - Combined Liberal Arts- Medical Program of Education (ME&CH), 1957-1964	box 35	folder 316	
Boston University School of Medicine - Combined Liberal Arts- Medical Program of Education (ME&CH), 1957-1964	box 35	folder 317	
Boston University School of Medicine - Combined Liberal Arts- Medical Program of Education (ME&CH), 1957-1964	box 35	folder 318	
Boston University School of Medicine - Development of Accelerated Medical Education (ME&CH), 1967-1980	box 35	folder 319	
Boston University School of Medicine - Development of Accelerated Medical Education (ME&CH), 1967-1980	box 35	folder 320	
Boston University School of Medicine - Development of Accelerated Medical Education (ME&CH), 1967-1980	box 35	folder 321	
Boston University School of Medicine - Development of Accelerated Medical Education (ME&CH), 1967-1980	box 35	folder 322	
Boston University School of Medicine - Development of Accelerated Medical Education (ME&CH) - Report "A New	box 36	folder 323	

Combined Liberal Arts-Medical Program of Education", November 4, 1969

110vember 4, 1707			
Boston University School of Medicine - Home Care Program (ME), 1949-1956, 1959, 1964	box 36	folder 324	
Boston University School of Medicine - Home Care Program (ME), 1949-1956, 1959, 1964	box 36	folder 325	
Boston University School of Medicine - Home Care Program (ME), 1949-1956, 1959, 1964	box 36	folder 326	
Boston University School of Medicine - Home Care Program (ME), 1949-1956, 1959, 1964	box 36	folder 327	
Boston University School of Medicine - Integrated Program of the Teaching of Human Ecology (ME), 1953-1954	box 36	folder 328	
Boston University School of Medicine - Integrated Program of the Teaching of Human Ecology (ME), 1953-1954	box 36	folder 329	
Boston University School of Medicine - Integrated Program of the Teaching of Human Ecology (ME), 1955-1961	box 37	folder 330	
Boston University School of Medicine - Integrated Program of the Teaching of Human Ecology (ME), 1955-1961	box 37	folder 331	
Boston University School of Medicine - New Instructional Building and Addition to Research Building (ME&CH), 1965-1967	box 37	folder 332	
Boston University School of Medicine - New Instructional Building and Addition to Research Building (ME&CH), 1965-1967	box 37	folder 333	
Bowman Gray School of Medicine - County-Wide Development of Community Health Care Service in the Winston-Salem Area, 1970-1975	box 37	folder 334	
Bowman Gray School of Medicine - County-Wide Development of Community Health Care Service in the Winston-Salem Area, 1970-1975	box 37	folder 335	
Bowman Gray School of Medicine - County-Wide Development of Community Health Care Service in the Winston-Salem Area, 1970-1975	box 37	folder 336	
Bowman Gray School of Medicine - County-Wide Development of Community Health Care Service in the Winston-Salem Area, 1970-1975	box 37	folder 337	
Bowman Gray School of Medicine - Faculty Development in the Department of Community Medicine, 1975-1976	box 37	folder 338	
Bowman Gray School of Medicine - Research and Training Program in Marital Health, Family Life and Human Sexuality (ME&CH), 1965-1971; May-June, 1973	box 38	folder 339	
Bowman Gray School of Medicine - Research and Training Program in Marital Health, Family Life and Human Sexuality (ME&CH), 1965-1971; May-June, 1973	box 38	folder 340	

Bowman Gray School of Medicine - Research and Training Program in Marital Health, Family Life and Human Sexuality (MEACH), 1965-1971; May-June, 1973  Bowman Gray School of Medicine - Research and Training Program in Marital Health, Family Life and Human Sexuality (MEACH), 1965-1971; May-June, 1973  Bowman Gray School of Medicine - Research and Training Program in Marital Health, Family Life and Human Sexuality (MEACH), 1965-1971; May-June, 1973  Bowman Gray School of Medicine - Research and Training Program in Marital Health, Family Life and Human Sexuality (MEACH) - Annual Reports, 1966-1970  Bowman Gray School of Medicine - Research and Training Program in Marital Health, Family Life and Human Sexuality (MEACH) - Annual Reports, 1966-1970  Bowman Gray School of Medicine - Research and Training Program in Marital Health, Family Life and Human Sexuality (MEACH) - Annual Reports, 1966-1970  Bowman Gray School of Medicine - Research and Training Program in Marital Health, Family Life and Human Sexuality (MEACH) - Annual Reports, 1966-1970  Bowman Gray School of Medicine - Research and Training Program in Marital Health, Family Life and Human Sexuality (MEACH) - Annual Reports, 1966-1970  Bowman Gray School of Medicine - Research and Training Program in Marital Health, Family Life and Human Sexuality (MEACH) - Annual Reports, 1966-1970  Bowman Gray School of Medicine - Workshop on Family Life and Sex Education, 1964-1967  Boy Scouts of America, 1919, 1921, 1928  box 39 folder 349  Brandeis University - Funds for Staff and Equipment to Increase Brandeis Participation in the Boston Library Consortium, 1978-1981  Brandeis University - Study and Development of a Program for Training in the Basic Medical Sciences (ME&CH), July-November, 1967  Brigham Hospital (Peter Bent) Children's Hospital Medical Center - Shortened Curriculum for the Preparation of Clinically-Oriented Cardiologists Able to Provide Both Adult and Pediatric Care, 1973-1979  British Association for American Studies - Bicentennial box 39 folder 355  Bri			
Program in Marital Health, Family Life and Human Sexuality (ME&CH), 1965-1971; May-June, 1973  Bowman Gray School of Medicine - Research and Training Program in Marital Health, Family Life and Human Sexuality (ME&CH) - Annual Reports, 1966-1970  Bowman Gray School of Medicine - Research and Training Program in Marital Health, Family Life and Human Sexuality (ME&CH) - Annual Reports, 1966-1970  Bowman Gray School of Medicine - Research and Training Program in Marital Health, Family Life and Human Sexuality (ME&CH) - Annual Reports, 1966-1970  Bowman Gray School of Medicine - Research and Training Program in Marital Health, Family Life and Human Sexuality (ME&CH) - Annual Reports, 1966-1970  Bowman Gray School of Medicine - Research and Training Program in Marital Health, Family Life and Human Sexuality (ME&CH) - Annual Reports, 1966-1970  Bowman Gray School of Medicine - Research and Training Program in Marital Health, Family Life and Human Sexuality (ME&CH) - Annual Reports, 1966-1970  Bowman Gray School of Medicine - Workshop on Family Life and Sex Education, 1964-1967  Boy Scouts of America, 1919, 1921, 1928  Boy Scouts of America, 1919, 1921, 1928  Brandeis University - Funds for Staff and Equipment to Increase Brandeis Participation in the Boston Library Consortium, 1978-1981  Brandeis University - Study and Development of a Program for Training in the Basic Medical Sciences (ME&CH), July-November, 1967  Brigham Hospital (Peter Bent) Children's Hospital Medical Center - Shortened Curriculum for the Preparation of Clinically-Oriented Cardiologists Able to Provide Both Adult and Pediatric Care, 1973-1979  British Association for American Studies - Bicentennial Conference in Colonial Williamsburg (1976), 1974-1976  University of British Columbia - Faculty of Medicine Study of Medical Education (ME), 1956-1961	Program in Marital Health, Family Life and Human Sexuality	box 38	folder 341
Program in Marital Health, Family Life and Human Sexuality (ME&CH) - Annual Reports, 1966-1970  Bowman Gray School of Medicine - Research and Training Program in Marital Health, Family Life and Human Sexuality (ME&CH) - Annual Reports, 1966-1970  Bowman Gray School of Medicine - Research and Training Program in Marital Health, Family Life and Human Sexuality (ME&CH) - Annual Reports, 1966-1970  Bowman Gray School of Medicine - Research and Training Program in Marital Health, Family Life and Human Sexuality (ME&CH) - Annual Reports, 1966-1970  Bowman Gray School of Medicine - Research and Training Program in Marital Health, Family Life and Human Sexuality (ME&CH) - Annual Reports, 1966-1970  Bowman Gray School of Medicine - Research and Training Program in Marital Health, Family Life and Human Sexuality (ME&CH) - Annual Reports, 1966-1970  Bowman Gray School of Medicine - Workshop on Family Life and Sex Education, 1964-1967  Boy Scouts of America, 1919, 1921, 1928  box 39 folder 348  Brandeis University - Funds for Staff and Equipment to Increase Brandeis Participation in the Boston Library Consortium, 1978-1981  Brandeis University - Study and Development of a Program for Training in the Basic Medical Sciences (ME&CH), July- November, 1967  Brandeis University - Study and Development of a Program for Training in the Basic Medical Sciences (ME&CH), July- November, 1967  Brigham Hospital (Peter Bent) Children's Hospital Medical Center - Shortened Curriculum for the Preparation of Clinically-Oriented Cardiologists Able to Provide Both Adult and Pediatric Care, 1973-1979  British Association for American Studies - Bicentennial Conference in Colonial Williamsburg (1976), 1974-1976  University of British Columbia - Faculty of Medicine Study of Medical Education (ME), 1956-1961	Program in Marital Health, Family Life and Human Sexuality	box 38	folder 342
Program in Marital Health, Family Life and Human Sexuality (ME&CH) - Annual Reports, 1966-1970  Bowman Gray School of Medicine - Research and Training Program in Marital Health, Family Life and Human Sexuality (ME&CH) - Annual Reports, 1966-1970  Bowman Gray School of Medicine - Research and Training Program in Marital Health, Family Life and Human Sexuality (ME&CH) - Annual Reports, 1966-1970  Bowman Gray School of Medicine - Research and Training Program in Marital Health, Family Life and Human Sexuality (ME&CH) - Annual Reports, 1966-1970  Bowman Gray School of Medicine - Workshop on Family Life and Sex Education, 1964-1967  Boy Scouts of America, 1919, 1921, 1928  Box 39 folder 349  Brandeis University - Funds for Staff and Equipment to Increase Brandeis Participation in the Boston Library Consortium, 1978-1981  Brandeis University - Study and Development of a Program for Training in the Basic Medical Sciences (ME&CH), July- November, 1967  Brandeis University - Study and Development of a Program for Training in the Basic Medical Sciences (ME&CH), July- November, 1967  Brigham Hospital (Peter Bent) Children's Hospital Medical Center - Shortened Curriculum for the Preparation of Clinically-Oriented Cardiologists Able to Provide Both Adult and Pediatric Care, 1973-1979  British Association for American Studies - Bicentennial Conference in Colonial Williamsburg (1976), 1974-1976  University of British Columbia - Faculty of Medicine Study of Medical Education (ME), 1956-1961	Program in Marital Health, Family Life and Human Sexuality	box 38	folder 343
Program in Marital Health, Family Life and Human Sexuality (ME&CH) - Annual Reports, 1966-1970  Bowman Gray School of Medicine - Research and Training Program in Marital Health, Family Life and Human Sexuality (ME&CH) - Annual Reports, 1966-1970  Bowman Gray School of Medicine - Research and Training Program in Marital Health, Family Life and Human Sexuality (ME&CH) - Annual Reports, 1966-1970  Bowman Gray School of Medicine - Workshop on Family Life and Sex Education, 1964-1967  Boy Scouts of America, 1919, 1921, 1928  Brandeis University - Funds for Staff and Equipment to Increase Brandeis Participation in the Boston Library Consortium, 1978-1981  Brandeis University - Study and Development of a Program for Training in the Basic Medical Sciences (ME&CH), July-November, 1967  Brandeis University - Study and Development of a Program for Training in the Basic Medical Sciences (ME&CH), July-November, 1967  Brigham Hospital (Peter Bent) Children's Hospital Medical Center - Shortened Curriculum for the Preparation of Clinically-Oriented Cardiologists Able to Provide Both Adult and Pediatric Care, 1973-1979  British Association for American Studies - Bicentennial Conference in Colonial Williamsburg (1976), 1974-1976  University of British Columbia - Faculty of Medicine Study of Medical Education (ME), 1956-1961	Program in Marital Health, Family Life and Human Sexuality	box 38	folder 344
Program in Marital Health, Family Life and Human Sexuality (ME&CH) - Annual Reports, 1966-1970  Bowman Gray School of Medicine - Research and Training Program in Marital Health, Family Life and Human Sexuality (ME&CH) - Annual Reports, 1966-1970  Bowman Gray School of Medicine - Workshop on Family Life and Sex Education, 1964-1967  Bowman Gray School of Medicine - Workshop on Family Life and Sex Education, 1964-1967  Boy Scouts of America, 1919, 1921, 1928 box 39 folder 349  Brandeis University - Funds for Staff and Equipment to Increase Brandeis Participation in the Boston Library Consortium, 1978-1981  Brandeis University - Study and Development of a Program for Training in the Basic Medical Sciences (ME&CH), July-November, 1967  Brandeis University - Study and Development of a Program for Training in the Basic Medical Sciences (ME&CH), July-November, 1967  Brigham Hospital (Peter Bent) Children's Hospital Medical Center - Shortened Curriculum for the Preparation of Clinically-Oriented Cardiologists Able to Provide Both Adult and Pediatric Care, 1973-1979  British Association for American Studies, February 14, 1957 box 39 folder 354  British Association for American Studies - Bicentennial Conference in Colonial Williamsburg (1976), 1974-1976  University of British Columbia - Faculty of Medicine Study of Medical Education (ME), 1956-1961	Program in Marital Health, Family Life and Human Sexuality	box 38	folder 345
Program in Marital Health, Family Life and Human Sexuality (ME&CH) - Annual Reports, 1966-1970  Bowman Gray School of Medicine - Workshop on Family Life and Sex Education, 1964-1967  Boy Scouts of America, 1919, 1921, 1928 box 39 folder 349  Brandeis University - Funds for Staff and Equipment to Increase Brandeis Participation in the Boston Library Consortium, 1978-1981  Brandeis University - Study and Development of a Program for Training in the Basic Medical Sciences (ME&CH), July-November, 1967  Brandeis University - Study and Development of a Program for Training in the Basic Medical Sciences (ME&CH), July-November, 1967  Brigham Hospital (Peter Bent) Children's Hospital Medical Center - Shortened Curriculum for the Preparation of Clinically-Oriented Cardiologists Able to Provide Both Adult and Pediatric Care, 1973-1979  British Association for American Studies - Bicentennial Conference in Colonial Williamsburg (1976), 1974-1976  University of British Columbia - Faculty of Medicine Study of Medical Education (ME), 1956-1961	Program in Marital Health, Family Life and Human Sexuality	box 38	folder 346
Boy Scouts of America, 1919, 1921, 1928 box 39 folder 349  Brandeis University - Funds for Staff and Equipment to Increase Brandeis Participation in the Boston Library Consortium, 1978-1981  Brandeis University - Study and Development of a Program for Training in the Basic Medical Sciences (ME&CH), July-November, 1967  Brandeis University - Study and Development of a Program for Training in the Basic Medical Sciences (ME&CH), July-November, 1967  Brigham Hospital (Peter Bent) Children's Hospital Medical Center - Shortened Curriculum for the Preparation of Clinically-Oriented Cardiologists Able to Provide Both Adult and Pediatric Care, 1973-1979  British Association for American Studies - Bicentennial Conference in Colonial Williamsburg (1976), 1974-1976  University of British Columbia - Faculty of Medicine Study of Medical Education (ME), 1956-1961	Program in Marital Health, Family Life and Human Sexuality	box 38	folder 347
Brandeis University - Funds for Staff and Equipment to Increase Brandeis Participation in the Boston Library Consortium, 1978-1981  Brandeis University - Study and Development of a Program for Training in the Basic Medical Sciences (ME&CH), July- November, 1967  Brandeis University - Study and Development of a Program for Training in the Basic Medical Sciences (ME&CH), July- November, 1967  Brigham Hospital (Peter Bent) Children's Hospital Medical Center - Shortened Curriculum for the Preparation of Clinically-Oriented Cardiologists Able to Provide Both Adult and Pediatric Care, 1973-1979  British Association for American Studies - Bicentennial British Association for American Studies - Bicentennial Conference in Colonial Williamsburg (1976), 1974-1976  University of British Columbia - Faculty of Medicine Study of Medical Education (ME), 1956-1961	•	box 38	folder 348
Brandeis Participation in the Boston Library Consortium, 1978-1981  Brandeis University - Study and Development of a Program for Training in the Basic Medical Sciences (ME&CH), July-November, 1967  Brandeis University - Study and Development of a Program for Training in the Basic Medical Sciences (ME&CH), July-November, 1967  Brigham Hospital (Peter Bent) Children's Hospital Medical Center - Shortened Curriculum for the Preparation of Clinically-Oriented Cardiologists Able to Provide Both Adult and Pediatric Care, 1973-1979  British Association for American Studies, February 14, 1957 box 39 folder 354  British Association for American Studies - Bicentennial Conference in Colonial Williamsburg (1976), 1974-1976  University of British Columbia - Faculty of Medicine Study of Medical Education (ME), 1956-1961	Boy Scouts of America, 1919, 1921, 1928	box 39	folder 349
for Training in the Basic Medical Sciences (ME&CH), July-November, 1967  Brandeis University - Study and Development of a Program for Training in the Basic Medical Sciences (ME&CH), July-November, 1967  Brigham Hospital (Peter Bent) Children's Hospital Medical Center - Shortened Curriculum for the Preparation of Clinically-Oriented Cardiologists Able to Provide Both Adult and Pediatric Care, 1973-1979  British Association for American Studies, February 14, 1957 box 39 folder 354  British Association for American Studies - Bicentennial box 39 folder 355  Conference in Colonial Williamsburg (1976), 1974-1976  University of British Columbia - Faculty of Medicine Study of Medical Education (ME), 1956-1961	Brandeis Participation in the Boston Library Consortium,	box 39	folder 350
for Training in the Basic Medical Sciences (ME&CH), July-November, 1967  Brigham Hospital (Peter Bent) Children's Hospital Medical Center - Shortened Curriculum for the Preparation of Clinically-Oriented Cardiologists Able to Provide Both Adult and Pediatric Care, 1973-1979  British Association for American Studies, February 14, 1957 box 39 folder 354  British Association for American Studies - Bicentennial conference in Colonial Williamsburg (1976), 1974-1976  University of British Columbia - Faculty of Medicine Study of Medical Education (ME), 1956-1961	for Training in the Basic Medical Sciences (ME&CH), July-	box 39	folder 351
- Shortened Curriculum for the Preparation of Clinically-Oriented Cardiologists Able to Provide Both Adult and Pediatric Care, 1973-1979  British Association for American Studies, February 14, 1957 box 39 folder 354  British Association for American Studies - Bicentennial box 39 folder 355  Conference in Colonial Williamsburg (1976), 1974-1976  University of British Columbia - Faculty of Medicine Study of Medical Education (ME), 1956-1961	for Training in the Basic Medical Sciences (ME&CH), July-	box 39	folder 352
British Association for American Studies - Bicentennial box 39 folder 355  Conference in Colonial Williamsburg (1976), 1974-1976  University of British Columbia - Faculty of Medicine Study of Medical Education (ME), 1956-1961  box 39 folder 356  Medical Education (ME), 1956-1961	- Shortened Curriculum for the Preparation of Clinically-Oriented Cardiologists Able to Provide Both Adult and Pediatric Care,	box 39	folder 353
Conference in Colonial Williamsburg (1976), 1974-1976  University of British Columbia - Faculty of Medicine Study of box 39 folder 356  Medical Education (ME), 1956-1961	British Association for American Studies, February 14, 1957	box 39	folder 354
Medical Education (ME), 1956-1961		box 39	folder 355
British Delegates to Colleges for Women, 1919-1920 box 39 folder 357		box 39	folder 356
	British Delegates to Colleges for Women, 1919-1920	box 39	folder 357

Brookings Institution - Conference Program for Medical Doctors (ME&CH), 1966-1969	box 39	folder 358	
Brookings Institution - Conference Program for Medical Doctors (ME&CH), 1966-1969	box 39	folder 359	
Brookings Institution - Study of the Financing of Medical Education, 1967-1970; April-June, 1974	box 39	folder 360	
Brooklyn Bureau of Charities - Committee on Crippled Children, 1920-1923	box 40	folder 361	
Brooklyn-Cumberland Medical Center - Physician Assistants Training (Minority Students in Fort Greene and Bedford- Stuyvesant Areas of Brooklyn), 1969-1972	box 40	folder 362	
Brown University - Development of Program in Medical Science (ME&CH), 1966-1969	box 40	folder 363	
Brown University - Exploration of Program in Medical Education (ME&CH), 1958-1962	box 40	folder 364	
Brown University - Planning and Development of Program in Medical Education (ME&CH), 1960-1966	box 40	folder 365	
Brown University - Transition to an M.D. Degree Program, 1972-1978	box 40	folder 366	
Brown University - Transition to an M.D. Degree Program, 1972-1978	box 40	folder 367	
Brown University - Transition to an M.D. Degree Program, 1972-1978	box 40	folder 368	
Brown University - Transition to an M.D. Degree Program - Reports, 1971-1972	box 40	folder 369	
Brown University - Transition to an M.D. Degree Program - Reports, 1971-1972	box 40	folder 370	
Brown University - Transition to an M.D. Degree Program - Reports, 1972, 1974-1975	box 41	folder 371	
Brown University - Transition to an M.D. Degree Program - Reports, 1972, 1974-1975	box 41	folder 372	
University of Buffalo (School of Medicine) - Project in Medical Education (ME), 1954-1958	box 41	folder 373	
University of Buffalo (School of Medicine) - Project in Medical Education (ME), 1954-1958	box 41	folder 374	
University of Buffalo (School of Medicine) - Project in Medical Education (ME), 1954-1958	box 41	folder 375	
University of Buffalo (School of Medicine) - Project in Medical Education (ME), 1954-1958	box 41	folder 376	
University of Buffalo (School of Medicine) - Project in Medical Education (ME), 1954-1958	box 41	folder 377	

University of Buffalo (School of Medicine) - Project in Medical Education (ME), 1954-1958	box 41	folder 378	
University of Buffalo (School of Medicine) - Project in Medical Education (ME), 1954-1958	box 41	folder 379	
University of Buffalo (School of Medicine) - Project in Medical Education (ME), 1954-1958	box 41	folder 380	
University of Buffalo (School of Medicine) - Project in Medical Education (ME), 1958-1961	box 42	folder 381	
University of Buffalo (School of Medicine) - Project in Medical Education (ME), 1958-1961	box 42	folder 382	
University of Buffalo (School of Medicine) - Project in Medical Education (ME), 1958-1961	box 42	folder 383	
Bureau of Municipal Research, 1919-1920	box 42	folder 384	
Bureau of Municipal Research - Lawson Purdy Report, December 1919	box 42	folder 385	
Bureau of Municipal Research - Lawson Purdy Report, December 1919	box 42	folder 386	
California College of Medicine (ME&CH), 1961-1968	box 43	folder 387	
Scope and Contents note			
Formerly College of Osteopathic Physicians and Surgeons.			
California College of Medicine (ME&CH), 1961-1968	box 43	folder 388	
Scope and Contents note			
Formerly College of Osteopathic Physicians and Surgeons.			
California Institute of Technology - Divisions of Chemistry and Biology - Fluid Funds in Support of Research (MR), 1956-1978	box 43	folder 389	
California Institute of Technology - Divisions of Chemistry and Biology - Fluid Funds in Support of Research (MR), 1956-1978	box 43	folder 390	
California Institute of Technology - Planning for a Program of Preclinical Education, 1970-1976	box 43	folder 391	
California Institute of Technology - Planning for a Program of Preclinical Education, 1970-1976	box 43	folder 392	
California State Department of Health - Institute for Public Health Officers (IPHO), 1947-1948	box 43	folder 393	
California State Department of Health - Institute for Public Health Officers (IPHO), 1947-1948	box 43	folder 394	
California State Department of Health - Institute for Public Health Officers (IPHO), 1947-1948	box 43	folder 395	

California State Department of Health - Institute for Public Health Officers (IPHO), 1948-1951; April-May, 1957	box 44	folder 396	
California State Department of Health - Institute for Public Health Officers (IPHO), 1948-1951; April-May, 1957	box 44	folder 397	
California State Department of Health - Institute for Public Health Officers (IPHO), 1948-1951; April-May, 1957	box 44	folder 398	
California State Department of Health - Institute for Public Health Officers (IPHO), 1948-1951; April-May, 1957	box 44	folder 399	
California State Department of Health - Institute for Public Health Officers (IPHO), 1948-1951; April-May, 1957	box 44	folder 400	
California State Department of Health - Institute for Public Health Officers (IPHO) - Editorial Correspondence	box 44	folder 401	
Scope and Contents note			
Ethel Ginsburg - September 28, 1948-August 1, 1950 Geddes Smith - July 23, 1948-July 21, 1949			
California State Department of Health - Institute for Public Health Officers (IPHO) - Minutes (Pilot Course), 1947-1948	box 44	folder 402	
California State Department of Health - Institute for Public Health Officers (IPHO) - Minutes (Pilot Course), 1947-1948	box 44	folder 403	
California State Department of Public Health - Mental Health Consultation Service (EHS), 1949-1951	box 44	folder 404	
University of California (Berkeley) - Department of Physiology - Neuroendocrine Responses to Environmental Stimuli (MR), 1955-1963	box 44	folder 405	
University of California (Berkeley) - Department of Physiology - Neuroendocrine Responses to Environmental Stimuli (MR), 1955-1963	box 45	folder 406	
University of California (Berkeley) - Department of Physiology - Neuroendocrine Responses to Environmental Stimuli (MR), 1955-1963	box 45	folder 407	
University of California (Berkeley) - Department of Physiology - Studies of the Thyroid Gland, 1941-1952	box 45	folder 408	
University of California (Los Angeles) - School of Medicine - Study of Functional Interrelationship Between Brain Stem and Cerebral Cortex (MR), 1948-1961	box 45	folder 409	
University of California (Los Angeles) - School of Medicine - Study of Functional Interrelationship Between Brain Stem and Cerebral Cortex (MR), 1948-1961	box 45	folder 410	
University of California (Los Angeles) - School of Medicine - Study of Functional Interrelationship Between Brain Stem and Cerebral Cortex (MR), 1948-1961	box 45	folder 411	

University of California (Los Angeles) - School of Medicine - Study of Functional Interrelationship Between Brain Stem and Cerebral Cortex (MR) - Financial Data, 1949-1952	box 45	folder 412	
University of California (Los Angeles) - School of Nursing - Planning for the Development of Doctoral Program in Nursing, 1956-1961	box 45	folder 413	
University of California (Los Angeles) - School of Nursing - Planning for the Development of Doctoral Program in Nursing, 1956-1961	box 45	folder 414	
University of California (San Diego) - Study of "Abortion Activism" in the State of California, 1978-1981	box 45	folder 415	
University of California (San Francisco) - Core Support for the Medical Center's Human Sexuality Program, 1971-1980	box 46	folder 416	
University of California (San Francisco) - Core Support for the Medical Center's Human Sexuality Program, 1971-1980	box 46	folder 417	
University of California (San Francisco) - Core Support for the Medical Center's Human Sexuality Program, 1971-1980	box 46	folder 418	
University of California (San Francisco) - Core Support for the Medical Center's Human Sexuality Program, 1971-1980	box 46	folder 419	
University of California (San Francisco) - Graduate Program to Train Teachers, Researchers, and Administrators in Medical Information Science, 1971-1976	box 46	folder 420	
University of California (San Francisco) - Graduate Program to Train Teachers, Researchers, and Administrators in Medical Information Science, 1971-1976	box 46	folder 421	
University of California (San Francisco) - Graduate Program to Train Teachers, Researchers, and Administrators in Medical Information Science - Technical Reports, 1974-1976	box 46	folder 422	
University of California (San Francisco) - Graduate Program to Train Teachers, Researchers, and Administrators in Medical Information Science - Technical Reports, 1974-1976	box 46	folder 423	
University of California (San Francisco) - Historical Study on Basic Discoveries in Clinical Medicine, 1971-1977	box 47	folder 424	
University of California (San Francisco) - Studies on Pathogenesis of Nephritis and Rheumatic Fever, 1944-1948	box 47	folder 425	
University of California (San Francisco) - Studies on Pathogenesis of Nephritis and Rheumatic Fever, 1944-1948	box 47	folder 426	
Canadian Mental Health Association - Committee on Mental Health Services (ME&CH), 1958-1966	box 47	folder 427	
Canadian Mental Health Association - Committee on Mental Health Services (ME&CH), 1958-1966	box 47	folder 428	

Canadian Mental Health Association - Committee on Mental Health Services - Financial Statements (ME&CH), December 31, 1958; December 31, 1959	box 47	folder 429	
Canadian Mental Health Association - Committee on Mental Health Services - Miscellaneous Reports and Minutes (ME&CH), 1958-1960	box 47	folder 430	
Case Western Reserve University School of Medicine - Evaluation of Commonwealth Fund Scholarship Program in 1930s and 1940s (Tufts-Tulane-Vanderbilt), 1975-1976	box 47	folder 431	
Case Western Reserve University School of Medicine - History of the "Revised Program" of Medical Education Introduced in 1952, 1972-1981	box 48	folder 432	
Case Western Reserve University School of Medicine - History of the "Revised Program" of Medical Education Introduced in 1952, 1972-1981	box 48	folder 433	
Case Western Reserve University School of Medicine - History of the "Revised Program" of Medical Education Introduced in 1952, 1972-1981	box 48	folder 434	
Case Western Reserve University School of Medicine - Study of the 1956-1965 Graduates of the "Revised Program", 1972-1983	box 48	folder 435	
Case Western Reserve University School of Medicine - Study of the 1956-1965 Graduates of the "Revised Program", 1972-1983	box 48	folder 436	
Case Western Reserve University School of Medicine - Study of the 1956-1965 Graduates of the "Revised Program", 1972-1983	box 48	folder 437	
Case Western Reserve University School of Medicine - Study of the 1956-1965 Graduates of the "Revised Program", 1972-1983	box 48	folder 438	
Case Western Reserve University School of Medicine - Study of the 1956-1965 Graduates of the "Revised Program", 1972-1983	box 48	folder 439	
Case Western Reserve University School of Medicine - Study of the 1956-1965 Graduates of the "Revised Program", 1972-1983	box 48	folder 440	
Center for Information on America - Population Profiles Series I, 1970-1975	box 49	folder 441	
Center for Information on America - Population Profiles Series I, 1970-1975	box 49	folder 442	
Center for Information on America - Population Profiles Series I, 1970-1975	box 49	folder 443	
Center for Information on America - Population Profiles Series II, 1978-1981	box 49	folder 444	
Center for Information on America - Population Profiles Series II, 1978-1981	box 49	folder 445	
Chaddock, R. E., 1928-1930	box 49	folder 446	
Changsha Medical School at China, 1920-1925; April 12, 1945	box 49	folder 447	

Changsha Medical School at China, 1920-1925; April 12, 1945	box 49	folder 448	
Changsha Medical School at China, 1920-1925; April 12, 1945	box 49	folder 449	
Character Committee (Association of the Bar of the City of New York), 1924-1930	box 49	folder 450	
Charity Organization Society, 1919-1922	box 49	folder 451	
Charlotte (North Carolina) Medical Clinic - Improvement of Private Clinic Cost Efficiency and Feasibility Study for Prepaid Group Medical Practice, 1971-1973	box 49	folder 452	
Charlotte (North Carolina) Medical Clinic - Improvement of Private Clinic Cost Efficiency and Feasibility Study for Prepaid Group Medical Practice, 1971-1973	box 49	folder 453	
Charlotte (North Carolina) Medical Clinic - Improvement of Private Clinic Cost Efficiency and Feasibility Study for Prepaid Group Medical Practice, 1974-1980	box 50	folder 454	
Charlotte (North Carolina) Medical Clinic - Improvement of Private Clinic Cost Efficiency and Feasibility Study for Prepaid Group Medical Practice, 1974-1980	box 50	folder 455	
University of Chicago - Center for Policy Studies - National Conference, 1978-1981	box 50	folder 456	
University of Chicago - Center for Policy Studies - National Conference, 1978-1981	box 50	folder 457	
University of Chicago - Department of Anatomy (Isidore Gersh) - Histochemical Studies, 1948-1963	box 50	folder 458	
University of Chicago - Department of Anatomy (Isidore Gersh) - Histochemical Studies, 1948-1963	box 50	folder 459	
University of Chicago - Department of Anatomy (Isidore Gersh) - Histochemical Studies, 1948-1963	box 50	folder 460	
University of Chicago - Department of Anatomy (Isidore Gersh) - Histochemical Studies, 1948-1963	box 50	folder 461	
University of Chicago - Department of Anatomy (Isidore Gersh) - Histochemical Studies, 1948-1963	box 50	folder 462	
University of Chicago - Department of Anatomy (Isidore Gersh) - Histochemical Studies, 1948-1963	box 50	folder 463	
University of Chicago - Department of Pathology - Study of Cancer Producing Substances from Human Tissues, 1940-1946	box 51	folder 464	
University of Chicago - Department of Pathology - Study of Cancer Producing Substances from Human Tissues, 1940-1946	box 51	folder 465	
University of Chicago - Department of Pathology - Study of Cancer Producing Substances from Human Tissues (Financial Data), 1941-1944	box 51	folder 466	
University of Chicago - Division of Biological Science - Study of Porphyrins, 1946-1960	box 51	folder 467	

University of Chicago - Division of Biological Science - Study of Porphyrins, 1946-1960	box 51	folder 468
University of Chicago - Division of Biological Science - Study of Porphyrins, 1946-1960	box 51	folder 469
University of Chicago - Division of Biological Science - Study of Porphyrins, 1946-1960	box 51	folder 470
University of Chicago - Division of Biological Science - Study of Porphyrins, 1946-1960	box 51	folder 471
University of Chicago - Division of Biological Science - Study of Porphyrins, 1946-1960	box 51	folder 472
University of Chicago - Division of Biological Science - Study of Porphyrins, 1961-1978	box 52	folder 473
University of Chicago - Division of Biological Science - Study of Porphyrins, 1961-1978	box 52	folder 474
University of Chicago - Pritzker School of Medicine - Academic Career Training in Social Science Problems of Medical Care, 1971-1979	box 52	folder 475
University of Chicago (and Provident Hospital) - Negro Medical Education, 1924-1937	box 52	folder 476
Child Health Organization of America, 1920-1921	box 52	folder 477
Child Welfare League of America - General Budget and Department of Institutional Care, 1919-1930	box 52	folder 478
Child Welfare League of America - General Budget and Department of Institutional Care, 1919-1930	box 52	folder 479
Child Welfare League of America - General Budget and Department of Institutional Care, 1919-1930	box 52	folder 480
Child Welfare League of America - General Budget and Department of Institutional Care, 1919-1930	box 52	folder 481
Child Welfare League of America - Health Program, 1935-1937	box 52	folder 482
Child Welfare League of America - Health Program, 1935-1937	box 52	folder 483
Child Welfare League of America - Health Program, 1938-1943	box 53	folder 484
Child Welfare League of America - Health Program, 1938-1943	box 53	folder 485
Child Welfare League of America - Health Program, 1938-1943	box 53	folder 486
Child Welfare League of America - Health Program - Financial Data, 1936-1938	box 53	folder 487
Child Welfare League of America - Study "The Intake Policies of the Children's Village (Dobbs Ferry, New York)", 1928	box 53	folder 488
Palatad Arabiyal Matarials notes		

Related Archival Materials note:

#### **Related Archival Materials note**

See Commonwealth Fund, Grants Series, Children's Village

- "Special Study of Intake Policies" Box 55, Folder 508.

Child Welfare League of America - Study "The Intake Policies of	
the Children's Village (Dobbs Ferry, New York)", 1928	

folder 489

Related Archival Materials note:

#### **Related Archival Materials note**

See Commonwealth Fund, Grants Series, Children's Village

- "Special Study of Intake Policies" Box 55, Folder 508.

Child Welfare League of America - Study "The Intake Policies of the Children's Village (Dobbs Ferry, New York)", 1928 box 53 fo

box 53

folder 490

Related Archival Materials note:

#### **Related Archival Materials note**

See Commonwealth Fund, Grants Series, Children's Village

- "Special Study of Intake Policies" Box 55, Folder 508.

Children's Hospital (Boston) - Blood Atlas, 1937-1940; September 8, 1944	box 53	folder 491	
Children's Hospital (Salzburg, Austria), 1927-1928	box 53	folder 492	
Children's Hospital Medical Center (Boston) - Training of Pediatricians and Psychiatrists - Leadership in Teaching and Research in Child Development, 1971-1974	box 53	folder 493	
Children's Hospital Medical Center (Boston) - Training of Pediatricians and Psychiatrists - Leadership in Teaching and Research in Child Development, 1974-1978	box 54	folder 494	
Children's Hospital Medical Center (Boston) - Psychological Study of Children with Cerebral Palsy, 1955-1958	box 54	folder 495	
Children's Memorial Clinic (Richmond, Virginia), 1924-1927	box 54	folder 496	
Children's Memorial Clinic (Richmond, Virginia), 1924-1927	box 54	folder 497	
Children's Television Workshop - Production of "Feeling Good" Television Series, 1972-1977	box 54	folder 498	
Children's Television Workshop - Production of "Feeling Good" Television Series, 1972-1977	box 54	folder 499	
Children's Television Workshop - Production of "Feeling Good" Television Series, 1972-1977	box 54	folder 500	
Children's Television Workshop - Production of "Feeling Good" Television Series, 1972-1977	box 54	folder 501	
Children's Television Workshop - Production of "Feeling Good" Television Series - Evaluations, December 1974 and February 1977	box 54	folder 502	

Children's Television Workshop - Production of "Feeling Good" Television Series - Phase II Progress Report, August 30, 1973	box 54	folder 503	
Children's Television Workshop - Production of "Feeling Good" Television Series - Proposal for Twenty-Six One-Hour Television Programs on Health ("Feeling Good"), November 1972	box 55	folder 504	
Children's Village (Dobbs Ferry, New York), 1926-1928	box 55	folder 505	
Children's Village (Dobbs Ferry, New York) - Educational Department, 1928-1934	box 55	folder 506	
Children's Village (Dobbs Ferry, New York) - Social Service Department, 1928-1930	box 55	folder 507	
Children's Village (Dobbs Ferry, New York) - Special Study of Intake Policies, October-November, 1927	box 55	folder 508	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund, Grants Series, Child Welfare League of America, Box 53, Folders 488-490 for Report.			
Children's Welfare Federation of the City of New York, 1919-1923	box 55	folder 509	
Scope and Contents note  Formerly Babies' Welfare Federation of New York City, and			
Scope and Contents note  Formerly Babies' Welfare Federation of New York City, and also Babies' Welfare Association.			
Formerly Babies' Welfare Federation of New York City, and	box 55	folder 510	
Formerly Babies' Welfare Federation of New York City, and also Babies' Welfare Association.	box 55 box 55	folder 510 folder 511	
Formerly Babies' Welfare Federation of New York City, and also Babies' Welfare Association.  Christ Church (New York) - Mental Hygiene Clinic, 1930-1931  Christmas Appropriations to Various New York Welfare Groups,			
Formerly Babies' Welfare Federation of New York City, and also Babies' Welfare Association.  Christ Church (New York) - Mental Hygiene Clinic, 1930-1931  Christmas Appropriations to Various New York Welfare Groups, 1925-1947  Christmas Appropriations to Various New York Welfare Groups,	box 55	folder 511	
Formerly Babies' Welfare Federation of New York City, and also Babies' Welfare Association.  Christ Church (New York) - Mental Hygiene Clinic, 1930-1931  Christmas Appropriations to Various New York Welfare Groups, 1925-1947  Christmas Appropriations to Various New York Welfare Groups, 1925-1947  Christmas Appropriations to Various New York Welfare Groups,	box 55	folder 511 folder 512	
Formerly Babies' Welfare Federation of New York City, and also Babies' Welfare Association.  Christ Church (New York) - Mental Hygiene Clinic, 1930-1931  Christmas Appropriations to Various New York Welfare Groups, 1925-1947  Christmas Appropriations to Various New York Welfare Groups, 1925-1947  Christmas Appropriations to Various New York Welfare Groups, 1925-1947  University of Cincinnati - Medical-Psychiatric Teaching (ME),	box 55 box 55 box 55	folder 511 folder 512 folder 513	
Formerly Babies' Welfare Federation of New York City, and also Babies' Welfare Association.  Christ Church (New York) - Mental Hygiene Clinic, 1930-1931  Christmas Appropriations to Various New York Welfare Groups, 1925-1947  Christmas Appropriations to Various New York Welfare Groups, 1925-1947  Christmas Appropriations to Various New York Welfare Groups, 1925-1947  University of Cincinnati - Medical-Psychiatric Teaching (ME), 1949-1951  University of Cincinnati - Medical-Psychiatric Teaching (ME),	box 55 box 55 box 55	folder 511  folder 512  folder 513  folder 514	
Formerly Babies' Welfare Federation of New York City, and also Babies' Welfare Association.  Christ Church (New York) - Mental Hygiene Clinic, 1930-1931  Christmas Appropriations to Various New York Welfare Groups, 1925-1947  Christmas Appropriations to Various New York Welfare Groups, 1925-1947  Christmas Appropriations to Various New York Welfare Groups, 1925-1947  University of Cincinnati - Medical-Psychiatric Teaching (ME), 1949-1951  University of Cincinnati - Medical-Psychiatric Teaching (ME), 1949-1951  University of Cincinnati - Medical-Psychiatric Teaching (ME), 1949-1951	box 55 box 55 box 55 box 55	folder 511  folder 512  folder 513  folder 514  folder 515	

City College of the City University of New York - Biomedical Education (Support for Six-Year Track to MD Degree for Students Committed to Medical Practice in Urban Areas), 1975-1981	box 56	folder 519	
City College of the City University of New York - Biomedical Education (Support for Six-Year Track to MD Degree for Students Committed to Medical Practice in Urban Areas), 1975-1981	box 56	folder 520	
City College of the City University of New York - Biomedical Education (Support for Six-Year Track to MD Degree for Students Committed to Medical Practice in Urban Areas), 1975-1981	box 56	folder 521	
City College of the City University of New York - Biomedical Education - Annual Reports, 1973-1979	box 56	folder 522	
City College of the City University of New York - Biomedical Education - Annual Reports, 1973-1979	box 56	folder 523	
City College of the City University of New York - Biomedical Education - Progress Reports, October 1973 and March 1975	box 56	folder 524	
City College of the City University of New York - Biomedical Education - Progress Reports, October 1973 and March 1975	box 56	folder 525	
City College of the City University of New York - Biomedical Education - Proposal, April 1973	box 56	folder 526	
City College of the City University of New York - Biomedical Education - Proposal, February 24, 1976	box 57	folder 527	
Claremont University Center - Feasibility Study of Instituting M.D. Curriculum at Claremont, 1971-1972	box 57	folder 528	
Claremont University Center - Feasibility Study of Instituting M.D. Curriculum at Claremont, January 15, 1973	box 57	folder 529	
Clinical Scholars Program - Collaborative Program Among Five Universities [Case Western Reserve, Duke, Johns Hopkins, McGill, Stanford] for New Patterns of Residency Training and Continuing Education of Physicians (ME&CH), 1968-1971	box 57	folder 530	
Clinical Scholars Program - Collaborative Program Among Five Universities [Case Western Reserve, Duke, Johns Hopkins, McGill, Stanford] for New Patterns of Residency Training and Continuing Education of Physicians (ME&CH), 1968-1971	box 57	folder 531	
Clinical Scholars Program - Collaborative Program - Annual Report (Johns Hopkins) (ME&CH), 1974-1975	box 57	folder 532	
Clinical Scholars Program - Collaborative Program - Post Graduate Report (Johns Hopkins) (ME&CH), September 13, 1972	box 57	folder 533	
Clinical Scholars Program - Collaborative Program - Proposal for Phase II (Case Western Reserve, Duke, Johns Hopkins, McGill, Stanford) (ME&CH), December 1970	box 57	folder 534	
Clinical Scholars Program - Collaborative Program - Site Visit Report (Stanford) (ME&CH), March 16, 1971	box 57	folder 535	

Clinical Scholars Program - Evaluation Project (McGill) (ME&CH), 1971-1973; September 19, 1978	box 58	folder 536	
Clinical Scholars Program - Experiment in Residency (Johns Hopkins and McGill) (ME&CH), 1969-1974	box 58	folder 537	
Clinical Scholars Program - Experiment in Residency (Johns Hopkins and McGill) (ME&CH), 1969-1974	box 58	folder 538	
Clinical Scholars Program - Experiment in Residency (Johns Hopkins and McGill) (ME&CH), 1969-1974	box 58	folder 539	
Clinical Scholars Program - Experiment in Residency (Johns Hopkins and McGill) (ME&CH), 1969-1974	box 58	folder 540	
Clinical Scholars Program - Experiment in Residency (Johns Hopkins and McGill) (ME&CH), 1969-1974	box 58	folder 541	
Coalition of National Voluntary Organizations (Independent Focus) - New National Organization to Protect and Improve the Nonprofit Sector, 1979-1981	box 58	folder 542	
Coalition of National Voluntary Organizations (Independent Focus) - New National Organization to Protect and Improve the Nonprofit Sector, 1979-1981	box 58	folder 543	
University of Colorado (Given Institute of Pathobiology) - Preparation for a core Audiovisual Course in Pathology to Facilitate the Training of M.D.s, 1971-1973	box 58	folder 544	
University of Colorado (Institute of Behavioral Sciences) - Support of Multi-Disciplinary Research in the Development of a Computer-Assisted Approach to Teaching and Learning the Process of Inductive Reasoning, 1968-1971	box 58	folder 545	
University of Colorado (Medical Center) - Demonstration and Teaching of Comprehensive Medical Care (ME), 1948-1954	box 59	folder 546	
University of Colorado (Medical Center) - Demonstration and Teaching of Comprehensive Medical Care (ME), 1948-1954	box 59	folder 547	
University of Colorado (Medical Center) - Demonstration and Teaching of Comprehensive Medical Care (ME), 1948-1954	box 59	folder 548	
University of Colorado (Medical Center) - Demonstration and Teaching of Comprehensive Medical Care (ME), 1948-1954	box 59	folder 549	
University of Colorado (Medical Center) - Demonstration and Teaching of Comprehensive Medical Care (ME), 1948-1954	box 59	folder 550	
University of Colorado (Medical Center) - Demonstration and Teaching of Comprehensive Medical Care (ME), 1948-1954	box 59	folder 551	
University of Colorado (Medical Center) - Demonstration and Teaching of Comprehensive Medical Care (ME), 1948-1954	box 59	folder 552	
University of Colorado (Medical Center) - Demonstration and Teaching of Comprehensive Medical Care (ME), 1955-1961	box 60	folder 553	

University of Colorado (Medical Center) - Demonstration and Teaching of Comprehensive Medical Care (ME), 1955-1961	box 60	folder 554	
University of Colorado (Medical Center) - Demonstration and Teaching of Comprehensive Medical Care (ME), 1955-1961	box 60	folder 555	
University of Colorado (Medical Center) - Demonstration and Teaching of Comprehensive Medical Care (ME), 1955-1961	box 60	folder 556	
University of Colorado (Medical Center) - Demonstration and Teaching of Comprehensive Medical Care (ME), 1955-1961	box 60	folder 557	
University of Colorado (Medical Center) - Demonstration and Teaching of Comprehensive Medical Care (ME) - Auxiliary Reports, 1947-1949	box 60	folder 558	
University of Colorado (Medical Center) - Demonstration and Teaching of Comprehensive Medical Care (ME) - Auxiliary Reports, 1947-1949	box 60	folder 559	
University of Colorado (Medical Center) - Demonstration and Teaching of Comprehensive Medical Care (ME) - Auxiliary Reports, 1947-1949	box 60	folder 560	
University of Colorado (Medical Center) - Demonstration and Teaching of Comprehensive Medical Care (ME) - Auxiliary Reports, 1951-1954	box 61	folder 561	
University of Colorado (Medical Center) - Demonstration and Teaching of Comprehensive Medical Care (ME) - General Medical Clinic Evaluation Research, Summer 1953	box 61	folder 562	
University of Colorado (Medical Center) - Demonstration and Teaching of Comprehensive Medical Care (ME) - Progress Report, 1955-1956	box 61	folder 563	
University of Colorado (Medical Center) - Demonstration and Teaching of Comprehensive Medical Care (ME) - Progress Report, 1955-1956	box 61	folder 564	
University of Colorado (Medical Center) - Demonstration and Teaching of Comprehensive Medical Care (ME) - Progress Report, 1955-1956	box 61	folder 565	
University of Colorado (Medical Center) - Demonstration and Teaching of Comprehensive Medical Care (ME) - Progress Report, 1955-1956	box 61	folder 566	
University of Colorado (Medical Center) - Experiment to Training School Nurse Practitioners, February-October, 1970	box 61	folder 567	
University of Colorado (Medical Center) - Experiment to Training School Nurse Practitioners, 1971-1977	box 62	folder 568	
University of Colorado (Medical Center) - Experiment to Training School Nurse Practitioners, 1971-1977	box 62	folder 569	
University of Colorado (Medical Center) - Experiment to Training School Nurse Practitioners, 1971-1977	box 62	folder 570	

University of Colorado (Medical Center) - Research and Demonstration in the Treatment and Prevention of Parental Child Abuse, 1970-1979	box 62	folder 571	
University of Colorado (Medical Center) - Research and Demonstration in the Treatment and Prevention of Parental Child Abuse, 1970-1979	box 62	folder 572	
University of Colorado (Medical Center) - Research and Demonstration in the Treatment and Prevention of Parental Child Abuse - Report "The Legislative Approach to Child Abuse: A Current Compilation of Our States' Statues," by The National Center for the Prevention and Treatment Abuse and Neglect (NCPTAN)	box 62	folder 573	
University of Colorado (Medical Center) - Research and Demonstration in the Treatment and Prevention of Parental Child Abuse - Report "The Legislative Approach to Child Abuse: A Current Compilation of Our States' Statues," by The National Center for the Prevention and Treatment Abuse and Neglect (NCPTAN)	box 62	folder 574	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), November-December, 1928	box 62	folder 575	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1929-1938	box 63	folder 576	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1929-1938	box 63	folder 577	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1929-1938	box 63	folder 578	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1929-1938	box 63	folder 579	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1929-1938	box 63	folder 580	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1929-1938	box 63	folder 581	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1929-1938	box 63	folder 582	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1929-1938	box 63	folder 583	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1929-1938	box 63	folder 584	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1929-1938	box 63	folder 585	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1939-1949	box 64	folder 586	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1939-1949	box 64	folder 587	

University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1939-1949	box 64	folder 588	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1939-1949	box 64	folder 589	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1939-1949	box 64	folder 590	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1939-1949	box 64	folder 591	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1939-1949	box 64	folder 592	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1939-1949	box 64	folder 593	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1939-1949	box 64	folder 594	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1939-1949	box 64	folder 595	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1950-1957	box 65	folder 596	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1950-1957	box 65	folder 597	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1950-1957	box 65	folder 598	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1950-1957	box 65	folder 599	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1950-1957	box 65	folder 600	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1950-1957	box 65	folder 601	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1950-1957	box 65	folder 602	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1950-1957	box 65	folder 603	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1958-1966	box 66	folder 604	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1958-1966	box 66	folder 605	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1958-1966	box 66	folder 606	
University of Colorado (School of Medicine) - Child Research Council Growth Study (MR), 1958-1966	box 66	folder 607	

box 66	folder 608	
box 66	folder 609	
box 66	folder 610	
box 66	folder 611	
box 67	folder 612	
box 67	folder 613	
box 67	folder 614	
box 67	folder 615	
box 67	folder 616	
box 67	folder 617	
box 67	folder 618	
box 67	folder 619	
	box 66  box 66  box 67  box 67  box 67  box 67  box 67	box 66 folder 609  box 66 folder 610  box 66 folder 611  box 67 folder 612  box 67 folder 614  box 67 folder 615  box 67 folder 616  box 67 folder 616  box 67 folder 617

For Original Grant see University of Minnesota "Study of Human Growth and Development Structure," Commonwealth Fund, Grants Series, Boxes 206-207, Folders 1934-1936.

University of Colorado (School of Medicine) - Community-Wide Program with Public Schools in Treatment of Children with Learning Problems (ME&CH), 1971-1975	box 68	folder 620	
University of Colorado (School of Medicine) - Community-Wide Program with Public Schools in Treatment of Children with Learning Problems (ME&CH), 1971-1975	box 68	folder 621	
University of Colorado (School of Medicine) - Community- Wide Program with Public Schools in Treatment of Children with Learning Problems (ME&CH), 1971-1975	box 68	folder 622	
University of Colorado (School of Medicine) - Conference of Professors of Preventative Medicine (November 3-7, 1952) (ME), 1951-1954	box 68	folder 623	
University of Colorado (School of Medicine) - Conference of Professors of Preventative Medicine (November 3-7, 1952) (ME), 1951-1954	box 68	folder 624	
University of Colorado (School of Medicine) - Conference of Professors of Preventative Medicine (November 3-7, 1952) (ME), 1951-1954	box 68	folder 625	
University of Colorado (School of Medicine) - Experimental Curriculum to Train Pediatric Associates (ME&CH), 1967-1968	box 68	folder 626	
University of Colorado (School of Medicine) - Experimental Curriculum to Train Pediatric Associates (ME&CH), 1968-1978	box 69	folder 627	
University of Colorado (School of Medicine) - Experimental Curriculum to Train Pediatric Associates (ME&CH), 1968-1978	box 69	folder 628	
University of Colorado (School of Medicine) - Experimental Curriculum to Train Pediatric Associates (ME&CH), 1968-1978	box 69	folder 629	
University of Colorado (School of Medicine) - Experimental Curriculum to Train Pediatric Associates (ME&CH), 1968-1978	box 69	folder 630	
University of Colorado (School of Medicine) - Experimental Curriculum to Train Pediatric Associates (ME&CH), 1968-1978	box 69	folder 631	
University of Colorado (School of Medicine) - Experimental Curriculum to Train Pediatric Associates (ME&CH), 1968-1978	box 69	folder 632	
University of Colorado (School of Medicine) - Experimental Curriculum to Train Pediatric Associates (ME&CH), 1968-1978	box 69	folder 633	
University of Colorado (School of Medicine) - Experimental Curriculum to Train Pediatric Associates - "The Future of Pediatric Education" A Report by The Task Force on Pediatric Education (ME&CH), 1978	box 69	folder 634	

University of Colorado (School of Medicine) - Fellowships in Psychiatry, 1927-1932	box 70	folder 635	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund, Mental Hygiene Program Series, Box 11, Folders 163-171, University of Colorado Psychopathic Hospital Fellowships April 8, 1931 to May 9, 1950.			
University of Colorado (School of Medicine) - Fellowships in Psychiatry, 1927-1932	box 70	folder 636	
Related Archival Materials note: Related Archival Materials note			
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University of Colorado (School of Medicine) - Fluid Funds for Support of Research in the Department of Biophysics (Dr. Puck) (MR), 1955-1970	box 70	folder 637	
University of Colorado (School of Medicine) - Fluid Funds for Support of Research in the Department of Biophysics (Dr. Puck) (MR), 1955-1970	box 70	folder 638	
University of Colorado (School of Medicine) - Training Pediatric Public Health Nurse Practitioners (ME&CH), 1965-1971	box 70	folder 639	
University of Colorado (School of Medicine) - Training Pediatric Public Health Nurse Practitioners (ME&CH), 1965-1971	box 70	folder 640	
University of Colorado (School of Medicine) - Training Pediatric Public Health Nurse Practitioners (ME&CH), 1965-1971	box 70	folder 641	
University of Colorado (School of Medicine) - Training Pediatric Public Health Nurse Practitioners (ME&CH), 1965-1971	box 70	folder 642	
Columbia University - American Assembly Program, 1969-1971, 1973, 1980	box 71	folder 643	
Columbia University - American Assembly Program, 1969-1971, 1973, 1980	box 71	folder 644	
Columbia University - American Assembly Program, 1969-1971, 1973, 1980	box 71	folder 645	
Columbia University - Bureau of Applied Social Research (BASR) - Comparative Study of Internship and Residency Training (MR), 1958-1963	box 71	folder 646	
Columbia University - Bureau of Applied Social Research (BASR) - Comparative Study of Internship and Residency Training (MR), 1958-1963	box 71	folder 647	

Columbia University - Bureau of Applied Social Research (BASR) - Comparative Study of Internship and Residency Training (MR), 1958-1963	box 71	folder 648	
Columbia University - Bureau of Applied Social Research (BASR) - Comparative Study of Internship and Residency Training - "Two Learning Environments for Interns and Residents" by Emily Mumford (Draft) (MR), April 1961	box 71	folder 649	
Columbia University - Bureau of Applied Social Research (BASR) - Planning for a Study of Graduate Medical Education (ME&CH), 1957-1963	box 71	folder 650	
Columbia University - Bureau of Applied Social Research (BASR) - Sociological Study of Medical Schools (ME), 1951-1953	box 71	folder 651	
Columbia University - Bureau of Applied Social Research (BASR) - Sociological Study of Medical Schools (ME), 1951-1953	box 71	folder 652	
Columbia University - Bureau of Applied Social Research (BASR) - Sociological Study of Medical Schools (ME), 1954-1962	box 72	folder 653	
Columbia University - Bureau of Applied Social Research (BASR) - Sociological Study of Medical Schools (ME), 1954-1962	box 72	folder 654	
Columbia University - Bureau of Applied Social Research (BASR) - Sociological Study of Medical Schools (ME), 1954-1962	box 72	folder 655	
Columbia University - Bureau of Applied Social Research (BASR) - Sociological Study of Medical Schools (ME), 1954-1962	box 72	folder 656	
Columbia University - Bureau of Applied Social Research (BASR) - Sociological Study of Medical Schools - "Diversities in Role Conceptions: Some Opinions of Clinical Faculty Members at Cornell Medical College," Gene N. Levine and Natalie Rogoff (ME), November 1955	box 72	folder 657	
Columbia University - Bureau of Applied Social Research (BASR) - Sociological Study of Medical Schools - "The Internship: An Exploratory Analysis," Theresa Falaguerra (ME), November 1957	box 72	folder 658	
Columbia University - Bureau of Applied Social Research (BASR) - Sociological Study of Medical Schools - "Physicians in the Making: Introductory Studies in the Sociology of Medical Education," Robert K. Merton and George G. Reader (ME), No Date	box 72	folder 659	
Columbia University - Bureau of Applied Social Research (BASR) - Sociological Study of Medical Schools - "Physicians in the Making: Introductory Studies in the Sociology of Medical Education," Robert K. Merton and George G. Reader (ME), No Date	box 72	folder 660	

Columbia University - Bureau of Applied Social Research (BASR) - Sociological Study of Medical Schools - Questionnaires (ME), 1953-1957	box 73	folder 661	
Columbia University - Bureau of Applied Social Research (BASR) - Sociological Study of Medical Schools - Questionnaires (ME), 1953-1957	box 73	folder 662	
Columbia University - Bureau of Applied Social Research (BASR) - Sociological Study of Medical Schools - "Some Applications of the Panel Method to the Study of Change," Charles Y. Glock (ME), No Date	box 73	folder 663	
Columbia University - Bureau of Applied Social Research (BASR) - Sociological Study of Medical Schools - "Student Orientations Toward the Cornell Comprehensive Care and Teaching Program," Hanan C. Selvin, Patricia L. Kendall and Mary E. W. Goss (ME), April 1953	box 73	folder 664	
Columbia University - Bureau of Applied Social Research (BASR) - Sociological Study of Medical Schools - "The Use of Panels in Social Research," Paul F. Lazarsfeld (ME), 1948	box 73	folder 665	
Columbia University - Bureau of Applied Social Research (BASR) - Sociological Study of Medical Schools - Working Paper #3 "A Sociological Calender of Medical School Part I: First Year," Renee C. Fox (ME), June 1955	box 73	folder 666	
Columbia University - Bureau of Applied Social Research (BASR) - Sociological Study of Medical Schools - Working Paper #5 "The Development of a Professional Self-Image Among Medical Students," Mary Jean Huntington (ME), September 1955	box 73	folder 667	
Columbia University - Bureau of Applied Social Research (BASR) - Sociological Study of Medical Schools - Working Paper #10 "The Autopsy: Its Place in the Attitude-Learning of Second-Year Medical Students," Renee C. Fox (ME), October 1957	box 73	folder 668	
Columbia University - Center for Community Health Systems - "Health Expenditures in New York City: A Decade of Change," Nora Piore, Purlaine Lieberman and James Linnane, 1976	box 73	folder 669	
Columbia University - College of Physicians and Surgeons (CPS) - Area-Wide Program to Improve Systems of Health Care in New York City and Other Urban Communities (ME&CH), 1971-1977	box 73	folder 670	
Columbia University - College of Physicians and Surgeons (CPS) - Area-Wide Program to Improve Systems of Health Care in New York City and Other Urban Communities (ME&CH), 1971-1977	box 73	folder 671	
Columbia University - College of Physicians and Surgeons (CPS) - Area-Wide Program to Improve Systems of Health Care in New York City and Other Urban Communities - Annual Reports (ME&CH), 1972-1976	box 74	folder 672	
Columbia University - College of Physicians and Surgeons (CPS) - Area-Wide Program to Improve Systems of Health Care in New York City and Other Urban Communities - Auxiliary Reports (ME&CH), 1970-1973	box 74	folder 673	

Columbia University - College of Physicians and Surgeons (CPS) - Area-Wide Program to Improve Systems of Health Care in New York City and Other Urban Communities - Auxiliary Reports (ME&CH), 1970-1973	box 74	folder 674	
Columbia University - College of Physicians and Surgeons (CPS) - Area-Wide Program to Improve Systems of Health Care in New York City and Other Urban Communities - Proposals (ME&CH), 1970-1973	box 74	folder 675	
Columbia University - College of Physicians and Surgeons (CPS) - Area-Wide Program to Improve Systems of Health Care in New York City and Other Urban Communities - Proposals (ME&CH), 1970-1973	box 74	folder 676	
Columbia University - College of Physicians and Surgeons (CPS) - Area-Wide Program to Improve Systems of Health Care in New York City and Other Urban Communities - Proposals (ME&CH), 1970-1973	box 74	folder 677	
Columbia University - College of Physicians and Surgeons (CPS) - Area-Wide Program to Improve Systems of Health Care in New York City and Other Urban Communities - Proposals (ME&CH), 1970-1973	box 74	folder 678	
Columbia University - College of Physicians and Surgeons (CPS) - Area-Wide Program to Improve Systems of Health Care in New York City and Other Urban Communities - Proposals (ME&CH), 1970-1973	box 74	folder 679	
Columbia University - College of Physicians and Surgeons (CPS) - Development of a Teaching Program on Ethical Problems of Medicine, 1970-1975	box 74	folder 680	
Columbia University - College of Physicians and Surgeons (CPS) - Development of a Teaching Program on Ethical Problems of Medicine, 1970-1975	box 74	folder 681	
Columbia University - College of Physicians and Surgeons (CPS) - Graduate Medical Education, 1934-1938	box 75	folder 682	
Columbia University - College of Physicians and Surgeons (CPS) - Graduate Medical Education, 1934-1938	box 75	folder 683	
Columbia University - College of Physicians and Surgeons (CPS) - Program in Human Sexuality for Medical Students, 1972-1979	box 75	folder 684	
Columbia University - College of Physicians and Surgeons (CPS) - Program to Strengthen Instruction in Urology (ME&CH), 1965-1980	box 75	folder 685	
Columbia University - College of Physicians and Surgeons (CPS) - Program to Strengthen Instruction in Urology (ME&CH), 1965-1980	box 75	folder 686	
Columbia University - College of Physicians and Surgeons (CPS) - Program to Strengthen Instruction in Urology (ME&CH), 1965-1980	box 75	folder 687	

Columbia University - College of Physicians and Surgeons (CPS) - Program to Strengthen Instruction in Urology (ME&CH), 1965-1980	box 75	folder 688
Columbia University - College of Physicians and Surgeons (CPS) - Psychiatric Clinic, 1922-1927	box 75	folder 689
Columbia University - College of Physicians and Surgeons (CPS) - Study of Cardio-Respiratory Physiology (MR), 1939-1956	box 76	folder 690
Columbia University - College of Physicians and Surgeons (CPS) - Study of Cardio-Respiratory Physiology (MR), 1939-1956	box 76	folder 691
Columbia University - College of Physicians and Surgeons (CPS) - Study of Cardio-Respiratory Physiology (MR), 1939-1956	box 76	folder 692
Columbia University - College of Physicians and Surgeons (CPS) - Study of Cardio-Respiratory Physiology (MR), 1939-1956	box 76	folder 693
Columbia University - College of Physicians and Surgeons (CPS) - Study of Cardio-Respiratory Physiology - Financial Data (MR), 1939-1951	box 76	folder 694
Columbia University - College of Physicians and Surgeons (CPS) - Study of Cardio-Respiratory Physiology - Financial Data (MR), 1939-1951	box 76	folder 695
Columbia University - College of Physicians and Surgeons (CPS) - Study of Chronic Malnutrition in Infants and Children (MR), 1939-1945	box 76	folder 696
Related Archival Materials note: Related Archival Materials note		
Original Grant to Columbia-Presbyterian Medical Center Babies Hospital and Titled "Study of Pancreatic Function."		
Columbia University - College of Physicians and Surgeons (CPS) - Study of Chronic Malnutrition in Infants and Children (MR), 1939-1945	box 76	folder 697
Related Archival Materials note: Related Archival Materials note		
Original Grant to Columbia-Presbyterian Medical Center Babies Hospital and Titled "Study of Pancreatic Function."		
Columbia University - College of Physicians and Surgeons (CPS) - Study of Chronic Malnutrition in Infants and Children (MR), 1939-1945	box 76	folder 698

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Columbia University - College of Physicians and Surgeons (CPS) - Study of Chronic Malnutrition in Infants and Children (MR), 1946-1954; 1974-1975	box 77	folder 699	
Columbia University - College of Physicians and Surgeons (CPS) - Study of Chronic Malnutrition in Infants and Children (MR), 1946-1954; 1974-1975	box 77	folder 700	
Columbia University - College of Physicians and Surgeons (CPS) - Study of Chronic Malnutrition in Infants and Children (MR), 1946-1954; 1974-1975	box 77	folder 701	
Columbia University - College of Physicians and Surgeons (CPS) - Study of Influenza Bacillus (MR), 1940-1952	box 77	folder 702	
Related Archival Materials note:			
Related Archival Materials note			
Original Grant to Columbia-Presbyterian Medical Center Babies Hospital and Titled "Study of the Influenza Bacillus with Special Reference to Meningitis."			
Columbia University - College of Physicians and Surgeons (CPS) - Study of Influenza Bacillus (MR), 1940-1952	box 77	folder 703	
Related Archival Materials note: Related Archival Materials note			
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Columbia University - College of Physicians and Surgeons (CPS) - Study of Influenza Bacillus (MR), 1940-1952	box 77	folder 704	
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Original Grant to Columbia-Presbyterian Medical Center Babies Hospital and Titled "Study of the Influenza Bacillus with Special Reference to Meningitis."

Columbia University - College of Physicians and Surgeons (CPS) - Study of Influenza Bacillus - Financial Data (MR), 1940-1943	box 77	folder 706	
Columbia University - College of Physicians and Surgeons (CPS) - Study of Influenza Bacillus - Financial Data (MR), 1944-1951	box 78	folder 707	
Columbia University - College of Physicians and Surgeons (CPS) - Study of Influenza Bacillus - Financial Data (MR), 1944-1951	box 78	folder 708	
Columbia University - College of Physicians and Surgeons (CPS) - Study of Rickets, 1920-1925	box 78	folder 709	
Columbia University - College of Physicians and Surgeons (CPS) - Support of the Division of Orthopedic Research Laboratories, 1970-1972	box 78	folder 710	
Columbia University - Columbia-Presbyterian Medical Center (CPMC) - Bacteriological Investigation (Department of Surgery), 1939-1944; May 8, 1952	box 78	folder 711	
Columbia University - Columbia-Presbyterian Medical Center (CPMC) - Clinical Review of Essential Hypertension (Department of the Practice of Medicine), 1941-1942	box 78	folder 712	
Columbia University - Columbia-Presbyterian Medical Center (CPMC) - Constitution Clinic, 1924-1930	box 78	folder 713	
Columbia University - Columbia-Presbyterian Medical Center (CPMC) - Construction of a Library-Health Science Center and Expansion of Vanderbilt Clinic, 1973-1978	box 78	folder 714	
Columbia University - Columbia-Presbyterian Medical Center (CPMC) - Construction of a Library-Health Science Center and Expansion of Vanderbilt Clinic, 1973-1978	box 78	folder 715	
Columbia University - Columbia-Presbyterian Medical Center (CPMC) - Development Program, 1960-1964	box 78	folder 716	
Columbia University - Columbia-Presbyterian Medical Center (CPMC) - Eye Institute - Development and Construction, 1965-1976	box 79	folder 717	
Columbia University - Columbia-Presbyterian Medical Center (CPMC) - Eye Institute - Development and Construction, 1965-1976	box 79	folder 718	
Columbia University - Columbia-Presbyterian Medical Center (CPMC) - Eye Institute - Publications, 1967-1976	box 79	folder 719	
Columbia University - Columbia-Presbyterian Medical Center (CPMC) - Eye Institute - Special Grants, 1968-1969; 1968-1970	box 79	folder 720	
Columbia University - Columbia-Presbyterian Medical Center (CPMC) - Pediatric-Psychiatric Service, September-October, 1934	box 79	folder 721	

Columbia University - Columbia-Presbyterian Medical Center (CPMC) - Reconstruction of 2nd and 4th Floors, July-December 1964	box 79	folder 722
Columbia University - Columbia-Presbyterian Medical Center (CPMC) - Research in Neurology (Neurological Institute), 1941-1947	box 79	folder 723
Columbia University - Columbia-Presbyterian Medical Center (CPMC) - Research in Neurology (Neurological Institute), 1941-1947	box 79	folder 724
Columbia University - Columbia-Presbyterian Medical Center (CPMC) - Research in Neurology (Neurological Institute) - Financial Data, 1942-1947	box 79	folder 725
Columbia University - Columbia-Presbyterian Medical Center (CPMC) - Study of Diagnostic Technique for Cancer of the Stomach (Department of Surgery), 1941-1949	box 79	folder 726
Columbia University - Columbia-Presbyterian Medical Center (CPMC) - Study of Diagnostic Technique for Cancer of the Stomach (Department of Surgery) - Financial Data, 1941-1943	box 79	folder 727
Columbia University - Columbia-Presbyterian Medical Center (CPMC) - Study of the Mechanisms of Labor (Department of Obstetrics and Gynecology), 1939-1945	box 79	folder 728
Columbia University - Columbia-Presbyterian Medical Center (CPMC) - Study of the Mechanisms of Labor (Department of Obstetrics and Gynecology) - Financial Data, 1940-1945	box 79	folder 729
Columbia University - Columbia-Presbyterian Medical Center Fund, Inc Medical Library Support (ME&CH), November 9-27, 1961	box 80	folder 730
Columbia University - Department of Biological Sciences - Conference on Premedical Education, 1971-1975	box 80	folder 731
Columbia University - Institute for Studies of Science in Human Affairs (ISHA) - General Support (ME&CH), 1965-1975	box 80	folder 732
Columbia University - Institute for Studies of Science in Human Affairs (ISHA) - General Support (ME&CH), 1965-1975	box 80	folder 733
Columbia University - Institute for Studies of Science in Human Affairs (ISHA) - General Support (ME&CH), 1965-1975	box 80	folder 734
Columbia University - Institute for Studies of Science in Human Affairs (ISHA) - General Support - Publications (ME&CH), 1970-1971	box 80	folder 735
Columbia University - Institute for the Study of Human Variation (ISHV) - Twin Study of Hereditary and Environmental Factors on Body Build (MR), 1951-1961	box 80	folder 736
Columbia University - Institute for the Study of Human Variation (ISHV) - Twin Study of Hereditary and Environmental Factors on Body Build (MR), 1951-1961	box 80	folder 737

Columbia University - Institute for the Study of Human Variation (ISHV) - Twin Study of Hereditary and Environmental Factors on Body Build (MR), 1951-1961	box 80	folder 738	
Columbia University - Institute of Administrative Medicine - Survey of the Rochester Regional Hospital Program (EHS), 1952-1962	box 81	folder 739	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund, Division of Rural Hospital Series, Boxes 21-23 and 110-118.			
Columbia University - Institute of Administrative Medicine - Survey of the Rochester Regional Hospital Program (EHS), 1952-1962	box 81	folder 740	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund, Division of Rural Hospital Series, Boxes 21-23 and 110-118.			
Columbia University - Institute of Administrative Medicine - Survey of the Rochester Regional Hospital Program - Publications (EHS), 1949-1951	box 81	folder 741	
Columbia University - New York Academy of Medicine - Conference on Health Careers for the Disadvantaged, February- May, 1968	box 81	folder 742	
Columbia University - School of Dental and Oral Surgery - Research into the Causes of Dental Decay, 1929-1933	box 81	folder 743	
Columbia University - School of Dental and Oral Surgery - Research into the Causes of Dental Decay, 1929-1933	box 81	folder 744	
Columbia University - School of Dental and Oral Surgery - Research into the Causes of Dental Decay, 1929-1933	box 81	folder 745	
Columbia University - School of Dental and Oral Surgery - Research into the Causes of Dental Decay, 1929-1933	box 81	folder 746	
Columbia University - School of Dental and Oral Surgery - Research into the Causes of Dental Decay, 1929-1933	box 81	folder 747	
Columbia University - School of Dental and Oral Surgery - Research into the Causes of Dental Decay, 1929-1933	box 81	folder 748	
Columbia University - School of Dental and Oral Surgery - Research into the Causes of Dental Decay, 1933-1938	box 82	folder 749	
Columbia University - School of Dental and Oral Surgery - Research into the Causes of Dental Decay, 1933-1938	box 82	folder 750	
Columbia University - School of Dental and Oral Surgery - Research into the Causes of Dental Decay - Financial Data, 1929-1935	box 82	folder 751	

Columbia University - School of Dental and Oral Surgery - Research into the Causes of Dental Decay - Financial Data, 1929-1935	box 82	folder 752	
Columbia University - School of Dental and Oral Surgery - Research into the Causes of Dental Decay - Financial Data, 1929-1935	box 82	folder 753	
Columbia University - School of Law - British Magistracy System Seminar, 1979-1981	box 82	folder 754	
Columbia University - School of Public Health - Planning Study for a Center for Research and Training in Health Facility Management, 1966-1970	box 82	folder 755	
Columbia University - School of Public Health - Renovation of the School's Academic and Administrative Facility, 1974-1977	box 82	folder 756	
Columbia University - School of Public Health - Renovation of the School's Academic and Administrative Facility - Reports, 1971-1974	box 83	folder 757	
Columbia University - School of Public Health - Support for the 1st Annual Dunning Memorial Symposium on Modern Dentistry (April 29, 1981 "The Changing Dental Care Delivery System: The Effects of Competition on an Ambulatory Care Discipline"), 1980-1982	box 83	folder 758	
Commission for the Improvement of Health Practices in Massachusetts, 1935-1937	box 83	folder 759	
Commission on Chronic Illness - Baltimore Chronic Illness Study (EHS), 1953-1957	box 83	folder 760	
Commission on Chronic Illness - Baltimore Chronic Illness Study (EHS), 1953-1957	box 83	folder 761	
Commission on Chronic Illness - Baltimore Chronic Illness Study (EHS), 1953-1957	box 83	folder 762	
Commission on the Delivery of Personal Health Services, New York City (ME&CH), December 21-30, 1966	box 83	folder 763	
Commission on the Delivery of Personal Health Services, New York City (ME&CH), December 21-30, 1966	box 83	folder 764	
Commission on the Delivery of Personal Health Services, New York City (ME&CH), 1967-1971	box 84	folder 765	
Commission on the Delivery of Personal Health Services, New York City - Commission Report - "Comprehensive Community Health Services for New York City" (ME&CH), December 1967	box 84	folder 766	
Commission on Foreign Medical Graduates - Start-Up Costs, 1971-1973; January 12, 1976	box 84	folder 767	
Commission on Foreign Medical Graduates - Start-Up Costs, 1971-1973; January 12, 1976	box 84	folder 768	

Commission on Foreign Medical Graduates - Start-Up Costs, 1971-1973; January 12, 1976	box 84	folder 769	
Commission on Graduate Medical Education - Study of Graduate and Postgraduate Education, 1937-1938	box 84	folder 770	
Commission on Private Philanthropy and Public Needs - Study of the Nation's Private Philanthropic Institutions, 1974-1978	box 84	folder 771	
Commission on Private Philanthropy and Public Needs - Study of the Nation's Private Philanthropic Institutions, 1974-1978	box 84	folder 772	
Commission on Private Philanthropy and Public Needs - Study of the Nation's Private Philanthropic Institutions - Reports, November 9, 1974	box 84	folder 773	
Commission on Private Philanthropy and Public Needs - Study of the Nation's Private Philanthropic Institutions - Reports, November 9, 1974	box 84	folder 774	
Commission on Private Philanthropy and Public Needs - Study of the Nation's Private Philanthropic Institutions - Reports, November 9, 1974	box 85	folder 775	
Commission to Study the Organization of Peace, 1943-1944	box 85	folder 776	
Committee for Economic Development, 1971-1974	box 85	folder 777	
Committee for Economic Development, 1971-1974	box 85	folder 778	
Committee for the Grading of Nursing Schools, 1927-1929	box 85	folder 779	
Common Council for American Unity - Foreign Language Information Service, 1919-1927; June-July, 1929	box 85	folder 780	
Common Council for American Unity - Foreign Language Information Service, 1919-1927; June-July, 1929	box 85	folder 781	
Commonwealth Fund - Fellowship Appropriations, June 10, 1930	box 85	folder 782	
Commonwealth Fund-Carnegie Corporation - Discussion Program on Education and Health Care - Interfoundation Meetings on Health Services and Medical Education, 1967-1973	box 85	folder 783	
Commonwealth Fund-Carnegie Corporation - Discussion Program on Education and Health Care - Neighborhood Community Health Center, April-June, 1967	box 85	folder 784	
Commonwealth Fund-Carnegie Corporation - Exploratory Conference on Medical Services and Medical Education, January- March, 1966	box 85	folder 785	
Commonwealth Fund-Carnegie Corporation - Exploratory Conference on Medical Services and Medical Education, March- December, 1966	box 86	folder 786	
Commonwealth Fund-Carnegie Corporation - Exploratory Conference on Medical Services and Medical Education, March- December, 1966	box 86	folder 787	

Commonwealth Fund-Carnegie Corporation - Exploratory Conference on Medical Services and Medical Education - Report - "The Crisis in Medical Services and Medical Education", October 1966	box 86	folder 788
Commonwealth Fund-Carnegie Corporation - Feasibility Study of Forming a University-Based Study Center on Medical Services and Medical Education (ME&CH), 1966-1968	box 86	folder 789
Commonwealth Fund-Carnegie Corporation - Study to Consider the National Problem of Financing Medical Education, 1966-1973	box 86	folder 790
Commonwealth Fund-Carnegie Corporation - Study to Consider the National Problem of Financing Medical Education, 1966-1973	box 86	folder 791
Commonwealth Fund-Carnegie Corporation - Working Conference on Behavioral Sciences and Medical Education (ME&CH), 1966-1969	box 86	folder 792
Commonwealth Fund-Carnegie Corporation - Working Conference on Behavioral Sciences and Medical Education (ME&CH), 1966-1969	box 86	folder 793
Community Blood Council of Greater New York, Inc Construction or Renovation of Facilities for Service, Research and Education, 1973-1975	box 87	folder 794
Community Blood Council of Greater New York, Inc New York Blood Center and Research Institute (ME&CH), 1960-1972	box 87	folder 795
Community Blood Council of Greater New York, Inc New York Blood Center and Research Institute (ME&CH), 1960-1972	box 87	folder 796
Community Blood Council of Greater New York, Inc New York Blood Center and Research Institute (ME&CH), 1960-1972	box 87	folder 797
Community Service Society of New York - Experiment to Rehabilitate Dependent Families, 1966-1971	box 87	folder 798
Community Service Society of New York - Experiment to Rehabilitate Dependent Families - Publications, 1949-1970	box 87	folder 799
Community Service Society of New York - Experiment to Rehabilitate Dependent Families - Publications, 1949-1970	box 87	folder 800
The Conference Board - Corporations and Health Care and Invitational Conference on Industry Roles in Health Care, 1971-1974	box 88	folder 801
Conference of State and Provincial Health Authorities - Field Unit for Record Service to State Health Departments, 1939-1942	box 88	folder 802
Connecticut Society for Mental Hygiene, 1928-1930	box 88	folder 803
University of Connecticut (Health Center) - Study of Knowledge- Performance Gap Among Physicians, 1975-1979	box 88	folder 804
University of Connecticut (Health Center) - Study of Knowledge- Performance Gap Among Physicians, 1975-1979	box 88	folder 805

University of Connecticut (Health Center) - Study of Knowledge- Performance Gap Among Physicians, 1975-1979	box 88	folder 806	
University of Connecticut (Health Center) - Study of Knowledge-Performance Gap Among Physicians - Report - "Core Goals and Objectives of the University of Connecticut School of Medicine: The Product and the Process", August 1975	box 88	folder 807	
Consultant on Community Services (EHS), May-September, 1949	box 88	folder 808	
Cornell University Medical College - Comprehensive Care and Teaching Program (ME), 1951-1952	box 88	folder 809	
Cornell University Medical College - Comprehensive Care and Teaching Program (ME), 1951-1952	box 88	folder 810	
Cornell University Medical College - Comprehensive Care and Teaching Program (ME), 1952-1954	box 89	folder 811	
Cornell University Medical College - Comprehensive Care and Teaching Program (ME), 1952-1954	box 89	folder 812	
Cornell University Medical College - Comprehensive Care and Teaching Program (ME), 1952-1954	box 89	folder 813	
Cornell University Medical College - Comprehensive Care and Teaching Program (ME), 1952-1954	box 89	folder 814	
Cornell University Medical College - Comprehensive Care and Teaching Program (ME), 1952-1954	box 89	folder 815	
Cornell University Medical College - Comprehensive Care and Teaching Program (ME), 1952-1954	box 89	folder 816	
Cornell University Medical College - Comprehensive Care and Teaching Program (ME), 1952-1954	box 89	folder 817	
Cornell University Medical College - Comprehensive Care and Teaching Program (ME), 1952-1954	box 89	folder 818	
Cornell University Medical College - Comprehensive Care and Teaching Program (ME), 1954-1959	box 90	folder 819	
Cornell University Medical College - Comprehensive Care and Teaching Program (ME), 1954-1959	box 90	folder 820	
Cornell University Medical College - Comprehensive Care and Teaching Program (ME), 1954-1959	box 90	folder 821	
Cornell University Medical College - Comprehensive Care and Teaching Program (ME), 1954-1959	box 90	folder 822	
Cornell University Medical College - Comprehensive Care and Teaching Program (ME), 1954-1959	box 90	folder 823	
Cornell University Medical College - Comprehensive Care and Teaching Program (ME), 1954-1959	box 90	folder 824	
Cornell University Medical College - Comprehensive Care and Teaching Program (ME), 1954-1959	box 90	folder 825	

Cornell University Medical College - Comprehensive Care and Teaching Program (ME), 1959-1972	box 91	folder 826	
Cornell University Medical College - Comprehensive Care and Teaching Program (ME), 1959-1972	box 91	folder 827	
Cornell University Medical College - Comprehensive Care and Teaching Program (ME), 1959-1972	box 91	folder 828	
Cornell University Medical College - Comprehensive Care and Teaching Program (ME), 1959-1972	box 91	folder 829	
Cornell University Medical College - Comprehensive Care and Teaching Program - "Toward Better Nursing Care of Patients with Long-Term Illness", 1956	box 91	folder 830	
Cornell University Medical College - Joint Program with the University of Bahia, Brazil (ME&CH), 1964-1970	box 91	folder 831	
Cornell University Medical College - Joint Program with the University of Bahia, Brazil (ME&CH), 1964-1970	box 91	folder 832	
Cornell University Medical College - Joint Program with the University of Bahia, Brazil (ME&CH), 1964-1970	box 91	folder 833	
Cornell University Medical College - Joint Program with the University of Bahia, Brazil (ME&CH), 1970-1976	box 92	folder 834	
Cornell University Medical College - Joint Program with the University of Bahia, Brazil - Annual Reports (ME&CH), 1964-1966	box 92	folder 835	
Cornell University Medical College - Joint Program with the University of Bahia, Brazil - Annual Reports (ME&CH), 1964-1966	box 92	folder 836	
Cornell University Medical College - Pilot Clinic for Broader Medical Service (ME), 1945-1955	box 92	folder 837	
Cornell University Medical College - Pilot Clinic for Broader Medical Service (ME), 1945-1955	box 92	folder 838	
Cornell University Medical College - Pilot Clinic for Broader Medical Service (ME), 1945-1955	box 92	folder 839	
Cornell University Medical College - Pilot Clinic for Broader Medical Service - Financial Data (ME), 1946-1951	box 92	folder 840	
Cornell University Medical College - Study of Blood Fats in Relation to Arteriosclerosis (MR), 1950-1953	box 92	folder 841	
Cornell University Medical College - Study of Diagnostic Uses of the Vaginal Smear, 1940-1943	box 92	folder 842	
Cornell University Medical College - Study of Diagnostic Uses of the Vaginal Smear, 1944-1946	box 93	folder 843	
Cornell University Medical College - Study of Diagnostic Uses of	box 93	folder 844	

Cornell University Medical College - Study of Diagnostic Uses of the Vaginal Smear - Financial Data, 1940-1947	box 93	folder 845
Cornell University Medical College - Study of Exfoliated Normal and Cancer Cells, 1946-1953	box 93	folder 846
Cornell University Medical College - Study of Exfoliated Normal and Cancer Cells, 1946-1953	box 93	folder 847
Cornell University Medical College - Study of Exfoliated Normal and Cancer Cells - Financial Data, 1946-1954	box 93	folder 848
Cornell University Medical College - Training of Allied Health Personnel to Assist Surgeons in Hospital Care, 1971-1977	box 93	folder 849
Cornell University/Rockefeller University - Coordinated MD/ Ph.D. Program, 1971-1972	box 93	folder 850
Cornell University/Rockefeller University - Coordinated MD/ Ph.D. Program, 1973-1980	box 94	folder 851
Cornell University/Rockefeller University - Coordinated MD/ Ph.D. Program, 1973-1980	box 94	folder 852
Council on Foundations, Inc General Support, 1981-1982	box 94	folder 853
Council on Foundations, Inc Public Affairs and Education Program, 1972-1977	box 94	folder 854
Council on Library Resources, Inc Comprehensive Bibliographic System for Research Libraries, 1978-1981	box 94	folder 855
Council on Library Resources, Inc Comprehensive Bibliographic System for Research Libraries, 1978-1981	box 94	folder 856
Council on Library Resources, Inc Comprehensive Bibliographic System for Research Libraries, 1978-1981	box 94	folder 857
Council on Library Resources, Inc Comprehensive Bibliographic System for Research Libraries, 1978-1981	box 94	folder 858
Council on Library Resources, Inc Comprehensive Bibliographic System for Research Libraries, 1978-1981	box 94	folder 859
Council on Library Resources, Inc Comprehensive Bibliographic System for Research Libraries, 1978-1981	box 94	folder 860
Council on Library Resources, Inc Comprehensive Bibliographic System for Research Libraries, 1981-1983	box 95	folder 861
Council on Library Resources, Inc Comprehensive Bibliographic System for Research Libraries, 1981-1983	box 95	folder 862
Council on Library Resources, Inc Comprehensive Bibliographic System for Research Libraries, 1981-1983	box 95	folder 863
Council on Library Resources, Inc Comprehensive Bibliographic System for Research Libraries, 1981-1983	box 95	folder 864

Council on Library Resources, Inc Study of the Technical and Administrative Requirements of a National Periodicals Center, 1977-1979	box 95	folder 865	
Council on Library Resources, Inc Study of the Technical and Administrative Requirements of a National Periodicals Center, 1977-1979	box 95	folder 866	
Council on Library Resources, Inc Study of the Technical and Administrative Requirements of a National Periodicals Center, 1977-1979	box 95	folder 867	
Council on Library Resources, Inc Study of the Technical and Administrative Requirements of a National Periodicals Center, 1977-1979	box 95	folder 868	
Dallas Child Guidance Clinic - Fellowship, 1926-1927	box 96	folder 869	
Dartmouth College (Library) - Convocation, Publication and Distribution of "American Libraries as Centers of Scholarship", 1978-1979	box 96	folder 870	
Dartmouth College (Research Center/Veterans Administration Center/Psychiatry Service), 1979-1981	box 96	folder 871	
Dartmouth College and Medical School - Program of Counselling Undergraduate Students Preparing for Medical or Health Careers, 1968-1976	box 96	folder 872	
Dartmouth College and Medical School - Program of Counselling Undergraduate Students Preparing for Medical or Health Careers, 1968-1976	box 96	folder 873	
Dartmouth Medical School - Establishment of a Four Year Medical Curriculum (ME&CH), 1967-1972	box 96	folder 874	
Dartmouth Medical School - Establishment of a Four Year Medical Curriculum (ME&CH), 1967-1972	box 96	folder 875	
Dartmouth Medical School - Establishment of a Four Year Medical Curriculum - Auxiliary Publications (ME&CH), 1962-1969	box 96	folder 876	
Dartmouth Medical School - Establishment of a Full-Time Department of Medicine (ME&CH), 1963-1966	box 96	folder 877	
Dartmouth Medical School - Refounding of the Medical School (ME&CH), January-December, 1956	box 96	folder 878	
Dartmouth Medical School - Refounding of the Medical School (ME&CH), 1957-1970	box 97	folder 879	
Dartmouth Medical School - Refounding of the Medical School (ME&CH), 1957-1970	box 97	folder 880	
Dartmouth Medical School - Refounding of the Medical School (ME&CH), 1957-1970	box 97	folder 881	
Dartmouth Medical School - Refounding of the Medical School (ME&CH), 1957-1970	box 97	folder 882	

Dartmouth Medical School - Refounding of the Medical School (ME&CH), 1957-1970	box 97	folder 883	
Dartmouth Medical School - Refounding of the Medical School (ME&CH), 1957-1970	box 97	folder 884	
Dartmouth Medical School - Refounding of the Medical School - Auxiliary Publications, 1955-1957	box 97	folder 885	
Downstate Medical Center - Conference on the Paraprofessional Health Sphere, April-September, 1974	box 97	folder 886	
Downstate Medical Center - Renewal Support for the Training of Nurses/Midwives, 1973-1974	box 97	folder 887	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund, Grant Series, Maternity Center Association, Box 190-191, Folders 1786-1792.			
Drew Postgraduate Medical School - Planning Program for the Development of a Community-based Academic Medical Center, April-May, 1970	box 97	folder 888	
Drew Postgraduate Medical School - Planning Program for the Development of a Community-based Academic Medical Center, 1970-1976	box 98	folder 889	
Drew Postgraduate Medical School - Planning Program for the Development of a Community-based Academic Medical Center - Reports, 1967-1973	box 98	folder 890	
Drew Postgraduate Medical School - Planning Program for the Development of a Community-based Academic Medical Center - Reports, 1967-1973	box 98	folder 891	
Drew Postgraduate Medical School - Planning Program for the Development of a Community-based Academic Medical Center - Reports, 1967-1973	box 98	folder 892	
Drew Postgraduate Medical School - Planning Program for the Development of a Community-based Academic Medical Center - Reports, 1967-1973	box 98	folder 893	
Drew Postgraduate Medical School - Planning Program for the Development of a Community-based Academic Medical Center - Reports, 1967-1973	box 98	folder 894	
Drew Postgraduate Medical School - Planning Program for the Development of a Community-based Academic Medical Center - Reports, 1967-1973	box 98	folder 895	
Drew Postgraduate Medical School - Planning Program for the Development of a Community-based Academic Medical Center - Reports, 1967-1973	box 98	folder 896	
Drug Abuse Council, Inc Annual Reports, 1972, 1973, 1976	box 98	folder 897	

Drug Abuse Council, Inc Founding of the Council, October-November, 1970	box 98	folder 898	
Drug Abuse Council, Inc Founding of the Council, 1971-1974	box 99	folder 899	
Drug Abuse Council, Inc Founding of the Council, 1971-1974	box 99	folder 900	
Drug Abuse Council, Inc Founding of the Council, 1971-1974	box 99	folder 901	
Drug Abuse Council, Inc Founding of the Council, 1971-1974	box 99	folder 902	
Drug Abuse Council, Inc Planning Project Underlying the Formation of the Council and the Design of its Initial Program, May-November, 1971	box 99	folder 903	
Drug Abuse Council, Inc Support of the Final Two Years of the Council's Operation, 1974-1979	box 99	folder 904	
Drug Abuse Council, Inc Support of the Final Two Years of the Council's Operation, 1974-1979	box 99	folder 905	
Duke University Center for the Study of Health Policy - Funds for Establishment, 1972-1979	box 99	folder 906	
Duke University Center for the Study of Health Policy - Funds for Establishment, 1972-1979	box 99	folder 907	
Duke University Center for the Study of Health Policy - Renewed support, 1977-1982	box 100	folder 908	
Duke University Medical Center - Bioengineering and Computer Science Fund, March-December, 1980	box 100	folder 909	
Duke University Medical Center - Conferences on Leadership for Women in Medicine and Minority Women in Medicine, 1980-1981	box 100	folder 910	
Duke University Medical Center - Experimental Program in Training Unskilled Women for Services in the Health Field, 1969-1974	box 100	folder 911	
Duke University Medical Center - Future of Biomedical Education (Symposium and Publication), 1969-1974	box 100	folder 912	
Duke University Medical Center - Future of Biomedical Education (Symposium and Publication), 1969-1974	box 100	folder 913	
Duke University Medical Center - Future of Biomedical Education (Symposium and Publication), 1969-1974	box 100	folder 914	
Duke University Medical Center - New Curriculum (ME&CH), 1964-1975	box 100	folder 915	
Duke University Medical Center - New Curriculum (ME&CH), 1964-1975	box 100	folder 916	
Duke University Medical Center - New Curriculum (ME&CH), 1964-1975	box 100	folder 917	
Duke University Medical Center - New Curriculum - Report - "Development Program1964-1970" (ME&CH), August 1, 1964	box 101	folder 918	

Duke University School of Medicine - Experiment in Training and Use of Physicians Assistants (ME&CH), 1969-1974	box 101	folder 919	
Duke University School of Medicine - Experiment in Training and Use of Physicians Assistants (ME&CH), 1969-1974	box 101	folder 920	
Duke University School of Medicine - Experiment in Training and Use of Physicians Assistants - Auxiliary Reports (ME&CH), 1969-1971	box 101	folder 921	
Duke University School of Medicine - Experiment in Training and Use of Physicians Assistants - Auxiliary Reports (ME&CH), 1969-1971	box 101	folder 922	
Duke University School of Medicine - Experimental Training Program in the Basic Medical Sciences (ME&CH), 1955-1972	box 101	folder 923	
Duke University School of Medicine - Experimental Training Program in the Basic Medical Sciences (ME&CH), 1955-1972	box 101	folder 924	
Duke University School of Medicine - Experimental Training Program in the Basic Medical Sciences (ME&CH), 1955-1972	box 101	folder 925	
Duke University School of Medicine - Teaching, Research and Demonstration in the Health-Care Field (ME&CH), 1967-1974	box 102	folder 926	
Duke University School of Medicine - Teaching, Research and Demonstration in the Health-Care Field (ME&CH), 1967-1974	box 102	folder 927	
Duke University School of Medicine - Teaching, Research and Demonstration in the Health-Care Field - Auxiliary Reports (ME&CH), 1967	box 102	folder 928	
Economic Development Council of New York City, Inc General Support, 1977-1982	box 102	folder 929	
Economic Development Council of New York City, Inc General Support, 1977-1982	box 102	folder 930	
Education and World Affairs - Study of the International Migration of Talent, 1967-1970	box 102	folder 931	
Educational Broadcasting Corporation (WNET/Channel 13) - General Support, 1979-1983	box 102	folder 932	
Educational Broadcasting Corporation (WNET/Channel 13) - General Support, 1979-1983	box 102	folder 933	
Educational Broadcasting Corporation (WNET/Channel 13) - Programing on Medicine and Health, 1965-1970	box 103	folder 934	
Educational Broadcasting Corporation (WNET/Channel 13) - Support for Major Capital and Program Development, 1975-1978	box 103	folder 935	
Educational Broadcasting Corporation (WNET/Channel 13) - Support for Major Capital and Program Development, 1975-1978	box 103	folder 936	
Einstein College of Medicine - Evaluation and Modification of the Program of Medical Education (ME&CH), 1965-1970	box 103	folder 937	

Einstein College of Medicine - Health Careers Program at Lincoln Hospital (ME&CH), 1966-1974	box 103	folder 938	
Einstein College of Medicine - Health Careers Program at Lincoln Hospital (ME&CH), 1966-1974	box 103	folder 939	
Einstein College of Medicine - Health Careers Program at Lincoln Hospital (ME&CH), 1966-1974	box 103	folder 940	
Einstein College of Medicine - Health Careers Program at Lincoln Hospital (ME&CH), 1966-1974	box 103	folder 941	
Einstein College of Medicine - Program with the New Medical School at Rotterdam, Netherlands (ME&CH), 1967-1970	box 103	folder 942	
Einstein College of Medicine - Program with the New Medical School at Rotterdam, Netherlands (ME&CH), 1971-1978	box 104	folder 943	
Emory University School of Medicine - Fellowships in Population Control and Family Planning, 1965-1967	box 104	folder 944	
Encounter Limited-Freedom House - Emergency Operating Support, 1976-1983	box 104	folder 945	
English-Speaking Union of the United States - King George VI Memorial Fund, 1952-1957	box 104	folder 946	
The Exploratorium - Program in Science Education and General Support, 1976-1980	box 104	folder 947	
Eye-Bank for Sight Restoration - Electron Microscope, 1956-1959	box 104	folder 948	
Family Welfare Association of America, 1919-1921; June 10, 1931	box 104	folder 949	
Family Welfare Societies of New York - Relief Appropriation, 1938-1940	box 104	folder 950	
Farmers Federation Educational and Development Fund - Health Insurance in North Carolina (EHS), 1950-1952	box 104	folder 951	
Farmers Federation Educational and Development Fund - Health Insurance in North Carolina (EHS), 1950-1952	box 104	folder 952	
Farmers Federation Educational and Development Fund - Health Insurance in North Carolina (EHS), 1952-1964	box 105	folder 953	
Farmers Federation Educational and Development Fund - Health Insurance in North Carolina (EHS), 1952-1964	box 105	folder 954	
Farmers Federation Educational and Development Fund - Health Insurance in North Carolina (EHS), 1952-1964	box 105	folder 955	
Fellowship Center, Inc Problem of Alcoholism Among Inmates of the Correctional System, 1979-1981	box 105	folder 956	
Fisk University - Conference between Representatives of the United Negro College Fund and the Association of American Medical Colleges (July 13-15, 1975), 1975-1976	box 105	folder 957	

University of Florida - Planning for New Medical School (ME), 1950-1952	box 105	folder 958
University of Florida - Planning for New Medical School (ME), 1950-1952	box 105	folder 959
University of Florida - Planning for New Medical School (ME), 1950-1952	box 105	folder 960
University of Florida - Planning for New Medical School (ME), 1950-1952	box 105	folder 961
University of Florida - Planning for New Medical School (ME), 1952-1954	box 106	folder 962
University of Florida - Planning for New Medical School (ME), 1952-1954	box 106	folder 963
University of Florida - Planning for New Medical School (ME), 1952-1954	box 106	folder 964
University of Florida - Planning for New Medical School (ME), 1952-1954	box 106	folder 965
University of Florida - Planning for New Medical School (ME), 1952-1954	box 106	folder 966
University of Florida - Planning for New Medical School (ME), 1952-1954	box 106	folder 967
University of Florida - Planning for New Medical School (ME), 1952-1954	box 106	folder 968
University of Florida - Pre-Operational Planning for New Medical School and Health Center (ME), 1955-1958	box 107	folder 969
University of Florida - Pre-Operational Planning for New Medical School and Health Center (ME), 1955-1958	box 107	folder 970
University of Florida - Pre-Operational Planning for New Medical School and Health Center (ME), 1955-1958	box 107	folder 971
University of Florida - Pre-Operational Planning for New Medical School and Health Center (ME), 1955-1958	box 107	folder 972
University of Florida - Pre-Operational Planning for New Medical School and Health Center (ME), 1955-1958	box 107	folder 973
University of Florida - Pre-Operational Planning for New Medical School and Health Center (ME), 1955-1958	box 107	folder 974
University of Florida - Pre-Operational Planning for New Medical School and Health Center (ME), 1955-1958	box 107	folder 975
University of Florida - Pre-Operational Planning for New Medical School and Health Center (ME), 1955-1958	box 107	folder 976
University of Florida - Pre-Operational Planning for New Medical School and Health Center (ME), 1955-1958	box 107	folder 977

University of Florida - Pre-Operational Planning for New Medical School and Health Center (ME), 1958-1964	box 108	folder 978
University of Florida - Pre-Operational Planning for New Medical School and Health Center (ME), 1958-1964	box 108	folder 979
University of Florida - Pre-Operational Planning for New Medical School and Health Center (ME), 1958-1964	box 108	folder 980
University of Florida - Pre-Operational Planning for New Medical School and Health Center (ME), 1958-1964	box 108	folder 981
University of Florida (College of Medicine) - Institution- Wide Program of Education, Research and Experimentation in Community Medical Care (ME&CH), 1968-1976	box 108	folder 982
University of Florida (College of Medicine) - Institution- Wide Program of Education, Research and Experimentation in Community Medical Care (ME&CH), 1968-1976	box 108	folder 983
University of Florida (College of Medicine) - Institution- Wide Program of Education, Research and Experimentation in Community Medical Care (ME&CH), 1968-1976	box 108	folder 984
University of Florida (College of Medicine) - Institution- Wide Program of Education, Research and Experimentation in Community Medical Care (ME&CH), 1968-1976	box 108	folder 985
University of Florida (College of Medicine) - Institution- Wide Program of Education, Research and Experimentation in Community Medical Care - Publications and Reports, 1970-1972	box 108	folder 986
University of Florida (College of Medicine) - Institution- Wide Program of Education, Research and Experimentation in Community Medical Care - Publications and Reports, 1970-1972	box 108	folder 987
University of Florida (College of Medicine) - Lafayette Community Medicine Program, 1969-1974	box 108	folder 988
University of Florida (College of Medicine) - Planning and Evaluation Program for the Integration of Pre-Medical and Medical Studies and Related Student Advisory Systems (ME&CH), 1968-1976	box 109	folder 989
University of Florida (College of Medicine) - Planning and Evaluation Program for the Integration of Pre-Medical and Medical Studies and Related Student Advisory Systems (ME&CH), 1968-1976	box 109	folder 990
University of Florida (College of Medicine) - Planning and Evaluation Program for the Integration of Pre-Medical and Medical Studies and Related Student Advisory Systems - Auxiliary and Final Reports (ME&CH), 1966-1974	box 109	folder 991
University of Florida (College of Medicine) - Planning and Evaluation Program for the Integration of Pre-Medical and Medical Studies and Related Student Advisory Systems - Auxiliary and Final Reports (ME&CH), 1966-1974	box 109	folder 992

University of Florida (College of Medicine) - Planning and Evaluation Program for the Integration of Pre-Medical and Medical Studies and Related Student Advisory Systems - Auxiliary and Final Reports (ME&CH), 1966-1974	box 109	folder 993
University of Florida (College of Medicine) - Planning and Evaluation Program for the Integration of Pre-Medical and Medical Studies and Related Student Advisory Systems - Auxiliary and Final Reports (ME&CH), 1966-1974	box 109	folder 994
University of Florida (College of Medicine) - Planning and Evaluation Program for the Integration of Pre-Medical and Medical Studies and Related Student Advisory Systems - Auxiliary and Final Reports (ME&CH), 1966-1974	box 109	folder 995
University of Florida (College of Medicine) - Planning and Evaluation Program for the Integration of Pre-Medical and Medical Studies and Related Student Advisory Systems - Proposals (ME&CH), August and November, 1968	box 109	folder 996
University of Florida (College of Medicine) - Planning and Evaluation Program for the Integration of Pre-Medical and Medical Studies and Related Student Advisory Systems - Proposals (ME&CH), August and November, 1968	box 109	folder 997
Fluid Funds for Support of Medical Research (MR), 1955-1959	box 110	folder 998
Food Packages for Austria, 1946-1950	box 110	folder 999
Food Packages for England, 1947-1948	box 110	folder 1000
Foreign Policy Association, 1926, 1931-1932, 1935, 1938-1939	box 110	folder 1001
Foreign Policy Association, 1926, 1931-1932, 1935, 1938-1939	box 110	folder 1002
The Foundation Center - Support of Programs and Activities, 1976-1981	box 110	folder 1003
Foundation for the Advancement of International Research in Microbiology, Inc Scholarship Fund, 1977-1980	box 110	folder 1004
Franklin Book Programs, Inc Annual Reports, 1963-1971	box 110	folder 1005
Franklin Book Programs, Inc Annual Reports, 1963-1971	box 110	folder 1006
Franklin Book Programs, Inc Newsletters, 1964-1973	box 110	folder 1007
Franklin Book Programs, Inc Publication of North American Medical Books in Latin America, 1965-1972	box 110	folder 1008
Franklin Book Programs, Inc Publication of North American Medical Books in Latin America, 1965-1972	box 110	folder 1009
Franklin Book Programs, Inc Publication of North American Medical Books in Latin America, 1965-1972	box 110	folder 1010
Franklin Book Programs, Inc Publication of North American Medical Books in Latin America - Auxiliary Publications, 1966-1967	box 111	folder 1011

Franklin Book Programs, Inc Translation and Publication of American Basic Medical Books in Medically Under-Developed Countries, 1963-1966	box 111	folder 1012	
Franklin Book Programs, Inc Translation and Publication of American Basic Medical Books in Medically Under-Developed Countries, 1963-1966	box 111	folder 1013	
Friendly Homes, Inc Establishment of Homes for Children Discharged from Mental Institutions, but Who are not Yet Ready to Return to Their Own Homes, 1968-1970	box 111	folder 1014	
Fund for the City of New York - Support of a Comprehensive Review and Restructuring of the Home Attendant Program Operated by the Human Resources Administration of the City of New York, 1977-1979	box 111	folder 1015	
Georgetown University (Joseph and Rose Kennedy Institute for the Study of human Reproduction and Biology/Center for Bioethics) - Encyclopedia of Bioethics, 1975-1978	box 111	folder 1016	
Georgetown University (School of Foreign Service) - Institute for the Study of Diplomacy, 1978-1980	box 111	folder 1017	
Georgetown University (School of Foreign Service) - Institute for the Study of Diplomacy, 1978-1980	box 111	folder 1018	
Girls' Service League of America, 1920-1922	box 111	folder 1019	
Scope and Contents note			
Formerly New York Probation and Protective Association/ Girls' Protective League.			
Girls' Service League of America, 1920-1922	box 111	folder 1020	
Scope and Contents note			
Formerly New York Probation and Protective Association/ Girls' Protective League.			
Girls' Service League of America - Hillcrest School and Camp, 1920-1922	box 111	folder 1021	
Scope and Contents note			
Formerly New York Probation and Protective Association/ Girls' Protective League.			
Gottlieb, Howard (McGill University Institute of Pathology) - Arteriosclerosis in Swine (MR), 1953-1955	box 112	folder 1022	
Governor's Commission on Unemployment Relief (New York), 1935-1936	box 112	folder 1023	
Great Lakes Colleges Association - Planning for Cooperative Program of Preclinical Education in Medicine Among the Association's Member Colleges, 1971-1975	box 112	folder 1024	

Greater New York Coordinating Committee of the American Red Cross, June-November, 1959	box 112	folder 1025	
The Greater New York Fund - Back-Funding of Retirement Plan, 1965-1974	box 112	folder 1026	
The Greater New York Fund - Emergency Appropriation, April-July, 1943	box 112	folder 1027	
Grenfell Association, 1921-1923	box 112	folder 1028	
Group for the Advancement of Psychiatry, Inc General Support, 1950-1955	box 112	folder 1029	
Group for the Advancement of Psychiatry, Inc General Support, 1950-1955	box 112	folder 1030	
Group for the Advancement of Psychiatry, Inc Program Support, 1967-1969; June 13, 1974	box 112	folder 1031	
Group Health Association of America, Inc Book on Prepaid Medical Group Practice, 1957-1966; October 4, 1971	box 112	folder 1032	
Group Health Association of America, Inc Book on Prepaid Medical Group Practice, 1957-1966; October 4, 1971	box 112	folder 1033	
Guttmacher Institute - Preparation and Dissemination of New York State Abortion "Chart Book", 1977-1981	box 112	folder 1034	
Guttmacher Institute - Preparation and Dissemination of New York State Abortion "Chart Book", 1977-1981	box 112	folder 1035	
Guttmacher Institute - Research, Policy Analysis and Public Education in the Field of Fertility Regulation, 1977-1983	box 113	folder 1036	
Guttmacher Institute - Research, Policy Analysis and Public Education in the Field of Fertility Regulation, 1977-1983	box 113	folder 1037	
Guttmacher Institute - Research, Policy Analysis and Public Education in the Field of Fertility Regulation - Auxiliary Publications, 1980-1982	box 113	folder 1038	
Guttmacher Institute - Research, Policy Analysis and Public Education in the Field of Fertility Regulation - Auxiliary Publications, 1980-1982	box 113	folder 1039	
Guttmacher Institute - Research, Policy Analysis and Public Education in the Field of Fertility Regulation - Auxiliary Publications, 1980-1982	box 113	folder 1040	
Guttmacher Institute - Research, Policy Analysis and Public Education in the Field of Fertility Regulation - Financial Statement, December 31, 1982	box 113	folder 1041	
Guttmacher Institute - Research, Policy Analysis and Public Education in the Field of Fertility Regulation - Newspaper Articles, 1981	box 113	folder 1042	

Guttmacher Institute - Research, Policy Analysis and Public Education in the Field of Fertility Regulation - Program Proposal, July 5, 1977	box 113	folder 1043	
Hampton Normal and Agricultural Institute - General Support, February 2-21, 1919	box 114	folder 1044	
Harkness Community and Medical Center, 1968-1969	box 114	folder 1045	
Harkness Community and Medical Center, 1968-1969	box 114	folder 1046	
Harkness Community and Medical Center - Auxiliary Publications, 1967-1975	box 114	folder 1047	
Harkness Community and Medical Center - Auxiliary Publications, 1967-1975	box 114	folder 1048	
Harvard University (Biological Laboratories) - General Support, 1951-1960	box 114	folder 1049	
<b>Scope and Contents note</b>			
Original Grant to Long Island Biological Laboratory, transferred March 3, 1952.			
Harvard University (Biological Laboratories) - General Support, 1951-1960	box 114	folder 1050	
<b>Scope and Contents note</b>			
Original Grant to Long Island Biological Laboratory, transferred March 3, 1952.			
Harvard University (Biological Laboratories) - General Support, 1951-1960	box 114	folder 1051	
<b>Scope and Contents note</b>			
Original Grant to Long Island Biological Laboratory, transferred March 3, 1952.			
Harvard University (Biological Laboratories) - General Support, 1951-1960	box 114	folder 1052	
Scope and Contents note			
Original Grant to Long Island Biological Laboratory, transferred March 3, 1952.			
Harvard University (Children's Hospital) - Crippled Children Study, 1920-1921	box 114	folder 1053	
Harvard University (Children's Hospital) - Pediatrics Mental Hygiene Unit, May 18, 1934	box 114	folder 1054	
Related Archival Materials note:			

See Commonwealth Fund, Mental Hygiene Program Series, Children's Hospital Boston, Box 15, Folders 234-238.

Harvard University (Dental School), 1931-1933	box 114	folder 1055
Harvard University (Department of Abnormal and Dynamic Psychology) - Psychological Laboratory, 1927-1928	box 114	folder 1056
Harvard University (Graduate School of Education) - Establishment, 1919-1920	box 115	folder 1057
Harvard University (Graduate School of Education) - Teaching Social Studies, December 1, 1921	box 115	folder 1058
Harvard University (Interdisciplinary Effort) - Understanding the Role of Science, 1971-1980	box 115	folder 1059
Harvard University (Interdisciplinary Effort) - Understanding the Role of Science, 1971-1980	box 115	folder 1060
Harvard University (Interdisciplinary Effort) - Understanding the Role of Science, 1971-1980	box 115	folder 1061
Harvard University (Interdisciplinary Effort) - Understanding the Role of Science, 1971-1980	box 115	folder 1062
Harvard University (Interdisciplinary Effort) - Understanding the Role of Science - Auxiliary Reports, 1973-1975	box 115	folder 1063
Harvard University (Interdisciplinary Effort) - Understanding the Role of Science - Auxiliary Reports, 1973-1975	box 115	folder 1064
Harvard University (Interdisciplinary Effort) - Understanding the Role of Science - Auxiliary Reports, 1973-1975	box 115	folder 1065
Harvard University (Interdisciplinary Effort) - Understanding the Role of Science - Auxiliary Reports, 1976-1978	box 116	folder 1066
Harvard University (Interdisciplinary Effort) - Understanding the Role of Science - Auxiliary Reports, 1976-1978	box 116	folder 1067
Harvard University (Kennedy School of Government) - Conference - Regulation as an Instrument of Public Administration, 1975-1979	box 116	folder 1068
Harvard University (Kennedy School of Government) - Conference - Regulation as an Instrument of Public Administration, 1975-1979	box 116	folder 1069
Harvard University (Kennedy School of Government) - Conference - Regulation as an Instrument of Public Administration, 1975-1979	box 116	folder 1070
Harvard University (Kennedy School of Government) - Conference - Regulation as an Instrument of Public Administration, 1975-1979	box 116	folder 1071

Harvard University (Kennedy School of Government) - Conference - Regulation as an Instrument of Public Administration, 1975-1979	box 116	folder 1072	
Harvard University (Kennedy School of Government) - Program to Prepare Future Leaders in Public Policy, Responsibility in Health Affairs and Other Fields (ME&CH), 1969-1974	box 116	folder 1073	
Harvard University (Kennedy School of Government) - Program to Prepare Future Leaders in Public Policy, Responsibility in Health Affairs and Other Fields (ME&CH), 1969-1974	box 116	folder 1074	
Harvard University (Kennedy School of Government) - Program to Prepare Future Leaders in Public Policy, Responsibility in Health Affairs and Other Fields (ME&CH), 1969-1974	box 116	folder 1075	
Harvard University (Kennedy School of Government) - Program to Prepare Future Leaders in Public Policy, Responsibility in Health Affairs and Other Fields - Auxiliary Reports (ME&CH), 1970-1972	box 117	folder 1076	
Harvard University (Kennedy School of Government) - Program to Prepare Future Leaders in Public Policy, Responsibility in Health Affairs and Other Fields - Auxiliary Reports (ME&CH), 1970-1972	box 117	folder 1077	
Harvard University (Kennedy School of Government) - Program to Prepare Future Leaders in Public Policy, Responsibility in Health Affairs and Other Fields - Auxiliary Reports (ME&CH), 1970-1972	box 117	folder 1078	
Harvard University (Kennedy School of Government) - Program to Prepare Future Leaders in Public Policy, Responsibility in Health Affairs and Other Fields - Auxiliary Reports (ME&CH), 1970-1972	box 117	folder 1079	
Harvard University (Kennedy School of Government) - Program to Prepare Future Leaders in Public Policy, Responsibility in Health Affairs and Other Fields - Auxiliary Reports (ME&CH), 1970-1972	box 117	folder 1080	
Harvard University (Kennedy School of Government) - Program to Prepare Future Leaders in Public Policy, Responsibility in Health Affairs and Other Fields - Auxiliary Reports (ME&CH), 1970-1972	box 117	folder 1081	
Harvard University (Laboratory of social Relations) - Social Structure and Interpersonal Processes in the Psychiatric Hospital (EHS), 1951-1954	box 117	folder 1082	
Harvard University (Laboratory of social Relations) - Social Structure and Interpersonal Processes in the Psychiatric Hospital (EHS), 1951-1954	box 117	folder 1083	
Harvard University (Laboratory of social Relations) - Social Structure and Interpersonal Processes in the Psychiatric Hospital (EHS), 1951-1954	box 117	folder 1084	

Harvard University (Law School/Institute of Criminal Law) - Criminological Studies, 1933-1948  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies, 1933-1948  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies, 1933-1948  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies, 1933-1948  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies, 1933-1948  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies, 1933-1948  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies, 1933-1948  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Case Histories, 1934-1940  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Delinquency Study, 1935-1940  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Delinquency Study, 1941-1943  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Work Forms, December 16, 1940  Harvard University (Medical School) - Apparatus for Protein Study, 1938-1940  Harvard University (Medical School) - Apparatus for Protein Study, 1938-1940  Harvard University (Medical School) - Apparatus for Protein Study - Financial Data, 1938-1941  Harvard University (Medical School) - Arthritis Studies, 1933-1943  Harvard University (Medical School) - Arthritis Studies, 1933-1943  Harvard University (Medical School) - Arthritis Studies, 1933-1943	box 118  box 118  box 118  box 118  box 118  box 118	folder 1085  folder 1086  folder 1087  folder 1088  folder 1089	
Criminological Studies, 1933-1948  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies, 1933-1948  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies, 1933-1948  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies, 1933-1948  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies, 1933-1948  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies, 1933-1948  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Case Histories, 1934-1940  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Delinquency Study, 1935-1940  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Delinquency Study, 1941-1943  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Work Forms, December 16, 1940  Harvard University (Medical School) - Apparatus for Protein Study, 1938-1940  Harvard University (Medical School) - Apparatus for Protein Study - Financial Data, 1938-1941  Harvard University (Medical School) - Arthritis Studies, 1933-1943	box 118 box 118 box 118	folder 1087 folder 1088	
Criminological Studies, 1933-1948  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies, 1933-1948  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies, 1933-1948  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies, 1933-1948  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies, 1933-1948  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Case Histories, 1934-1940  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Delinquency Study, 1935-1940  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Delinquency Study, 1941-1943  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Work Forms, December 16, 1940  Harvard University (Medical School) - Apparatus for Protein Study, 1938-1940  Harvard University (Medical School) - Apparatus for Protein Study - Financial Data, 1938-1941  Harvard University (Medical School) - Arthritis Studies, 1933-1943  Harvard University (Medical School) - Arthritis Studies, 1933-1943  Harvard University (Medical School) - Arthritis Studies, 1933-1943	box 118	folder 1088	
Criminological Studies, 1933-1948  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies, 1933-1948  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies, 1933-1948  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies, 1933-1948  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Case Histories, 1934-1940  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Delinquency Study, 1935-1940  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Delinquency Study, 1941-1943  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Work Forms, December 16, 1940  Harvard University (Medical School) - Apparatus for Protein Study, 1938-1940  Harvard University (Medical School) - Apparatus for Protein Study - Financial Data, 1938-1941  Harvard University (Medical School) - Arthritis Studies, 1933-1943	box 118		
Criminological Studies, 1933-1948  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies, 1933-1948  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies, 1933-1948  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Case Histories, 1934-1940  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Delinquency Study, 1935-1940  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Delinquency Study, 1941-1943  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Work Forms, December 16, 1940  Harvard University (Medical School) - Apparatus for Protein Study, 1938-1940  Harvard University (Medical School) - Apparatus for Protein Study - Financial Data, 1938-1941  Harvard University (Medical School) - Arthritis Studies, 1933-1943		folder 1089	
Criminological Studies, 1933-1948  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies, 1933-1948  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Case Histories, 1934-1940  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Delinquency Study, 1935-1940  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Delinquency Study, 1941-1943  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Work Forms, December 16, 1940  Harvard University (Medical School) - Apparatus for Protein Study, 1938-1940  Harvard University (Medical School) - Apparatus for Protein Study - Financial Data, 1938-1941  Harvard University (Medical School) - Arthritis Studies, 1933-1943  Harvard University (Medical School) - Arthritis Studies, 1933-1943  Harvard University (Medical School) - Arthritis Studies, 1933-1943	box 118		
Criminological Studies, 1933-1948  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Case Histories, 1934-1940  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Delinquency Study, 1935-1940  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Delinquency Study, 1941-1943  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Work Forms, December 16, 1940  Harvard University (Medical School) - Apparatus for Protein Study, 1938-1940  Harvard University (Medical School) - Apparatus for Protein Study - Financial Data, 1938-1941  Harvard University (Medical School) - Arthritis Studies, 1933-1943  Harvard University (Medical School) - Arthritis Studies, 1933-1943  Harvard University (Medical School) - Arthritis Studies, 1933-1943		folder 1090	
Criminological Studies - Case Histories, 1934-1940  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Delinquency Study, 1935-1940  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Delinquency Study, 1941-1943  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Work Forms, December 16, 1940  Harvard University (Medical School) - Apparatus for Protein Study, 1938-1940  Harvard University (Medical School) - Apparatus for Protein Study - Financial Data, 1938-1941  Harvard University (Medical School) - Arthritis Studies, 1933-1943  Harvard University (Medical School) - Arthritis Studies, 1933-1943  Harvard University (Medical School) - Arthritis Studies, 1933-1943	box 118	folder 1091	
Criminological Studies - Delinquency Study, 1935-1940  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Delinquency Study, 1941-1943  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Work Forms, December 16, 1940  Harvard University (Medical School) - Apparatus for Protein Study, 1938-1940  Harvard University (Medical School) - Apparatus for Protein Study - Financial Data, 1938-1941  Harvard University (Medical School) - Arthritis Studies, 1933-1943	box 118	folder 1092	
Criminological Studies - Delinquency Study, 1941-1943  Harvard University (Law School/Institute of Criminal Law) - Criminological Studies - Work Forms, December 16, 1940  Harvard University (Medical School) - Apparatus for Protein Study, 1938-1940  Harvard University (Medical School) - Apparatus for Protein Study, 1938-1940  Harvard University (Medical School) - Apparatus for Protein Study - Financial Data, 1938-1941  Harvard University (Medical School) - Arthritis Studies, 1933-1943  Harvard University (Medical School) - Arthritis Studies, 1933-1943  Harvard University (Medical School) - Arthritis Studies,	box 118	folder 1093	
Criminological Studies - Work Forms, December 16, 1940  Harvard University (Medical School) - Apparatus for Protein Study, 1938-1940  Harvard University (Medical School) - Apparatus for Protein Study, 1938-1940  Harvard University (Medical School) - Apparatus for Protein Study - Financial Data, 1938-1941  Harvard University (Medical School) - Arthritis Studies, 1933-1943  Harvard University (Medical School) - Arthritis Studies, 1933-1943  Harvard University (Medical School) - Arthritis Studies,	box 119	folder 1094	
Study, 1938-1940  Harvard University (Medical School) - Apparatus for Protein Study, 1938-1940  Harvard University (Medical School) - Apparatus for Protein Study - Financial Data, 1938-1941  Harvard University (Medical School) - Arthritis Studies, 1933-1943  Harvard University (Medical School) - Arthritis Studies, 1933-1943  Harvard University (Medical School) - Arthritis Studies,	box 119	folder 1095	
Study, 1938-1940  Harvard University (Medical School) - Apparatus for Protein Study - Financial Data, 1938-1941  Harvard University (Medical School) - Arthritis Studies, 1933-1943  Harvard University (Medical School) - Arthritis Studies, 1933-1943  Harvard University (Medical School) - Arthritis Studies,	box 119	folder 1096	
Study - Financial Data, 1938-1941  Harvard University (Medical School) - Arthritis Studies, 1933-1943  Harvard University (Medical School) - Arthritis Studies, 1933-1943  Harvard University (Medical School) - Arthritis Studies,	box 119	folder 1097	
1933-1943  Harvard University (Medical School) - Arthritis Studies, 1933-1943  Harvard University (Medical School) - Arthritis Studies,	box 119	folder 1098	
1933-1943  Harvard University (Medical School) - Arthritis Studies,	box 119	folder 1099	
· ·	box 119	folder 1100	
	box 119	folder 1101	
Harvard University (Medical School) - Arthritis Studies, 1943-1956	box 120	folder 1102	
Harvard University (Medical School) - Arthritis Studies, 1943-1956		folder 1103	
Harvard University (Medical School) - Arthritis Studies, 1943-1956	box 120	folder 1104	

Harvard University (Medical School) - Arthritis Studies, 1943-1956	box 120	folder 1105	
Harvard University (Medical School) - Arthritis Studies, 1943-1956	box 120	folder 1106	
Harvard University (Medical School) - Arthritis Studies, 1943-1956	box 120	folder 1107	
Harvard University (Medical School) - Arthritis Studies, 1943-1956	box 120	folder 1108	
Harvard University (Medical School) - Arthritis Studies, 1943-1956	box 120	folder 1109	
Harvard University (Medical School) - Arthritis Studies - Financial Data, 1937-1951	box 121	folder 1110	
Harvard University (Medical School) - Arthritis Studies - Financial Data, 1937-1951	box 121	folder 1111	
Harvard University (Medical School) - Arthritis Studies - Financial Data, 1937-1951	box 121	folder 1112	
Harvard University (Medical School) - Arthritis Studies - Financial Data, 1937-1951	box 121	folder 1113	
Harvard University (Medical School) - Arthritis Studies - Financial Data, 1937-1951	box 121	folder 1114	
Harvard University (Medical School) - Arthritis Studies - Financial Data, 1937-1951	box 121	folder 1115	
Harvard University (Medical School) - Arthritis Studies - Financial Data, 1937-1951	box 121	folder 1116	
Harvard University (Medical School) - Brigham Hospital - Medical-Psychiatric Teaching (ME), 1948-1952	box 122	folder 1117	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund, Mental Hygiene Program Series, Harvard Medical School Peter Bent Brigham Hospital, Medical-Psychiatric Service Box 16, Folders 244-249.			
Harvard University (Medical School) - Brigham Hospital - Psychiatric Research in Gynecology, 1950-1959	box 122	folder 1118	
Harvard University (Medical School) - Brigham Hospital - Psychiatric Research in Gynecology, 1950-1959	box 122	folder 1119	
Harvard University (Medical School) - Brigham Hospital - Psychiatric Research in Gynecology, 1950-1959	box 122	folder 1120	
Harvard University (Medical School) - Brigham Hospital - Psychiatric Research in Gynecology, 1950-1959	box 122	folder 1121	

Harvard University (Medical School) - Children's Hospital Medical Center - Family Medical Care Research Unit (ME&CH), 1963-1974	box 122	folder 1122	
Harvard University (Medical School) - Children's Hospital Medical Center - Family Medical Care Research Unit (ME&CH), 1963-1974	box 122	folder 1123	
Harvard University (Medical School) - Children's Hospital Medical Center - Family Medical Care Research Unit (ME&CH), 1963-1974	box 122	folder 1124	
Harvard University (Medical School) - Children's Hospital Medical Center - Family Medical Care Research Unit - Auxiliary Reports, 1966-1967	box 122	folder 1125	
Harvard University (Medical School) - Countway Library of Medicine (ME&CH), 1957-1965	box 123	folder 1126	
Harvard University (Medical School) - Countway Library of Medicine (ME&CH), 1957-1965	box 123	folder 1127	
Harvard University (Medical School) - Countway Library of Medicine (ME&CH), 1957-1965	box 123	folder 1128	
Harvard University (Medical School) - Countway Library of Medicine - Architectural Plans, December 12, 1962	box 123	folder 1129	
Harvard University (Medical School) - Countway Library of Medicine - Archive of Medical Visual Resources, 1968-1975	box 123	folder 1130	
Harvard University (Medical School-Department of Bacteriology and Immunology) - Bacterial Nutritive Requirements, 1939-1954	box 123	folder 1131	
Harvard University (Medical School-Department of Bacteriology and Immunology) - Bacterial Nutritive Requirements, 1939-1954	box 123	folder 1132	
Harvard University (Medical School-Department of Bacteriology and Immunology) - Bacterial Nutritive Requirements, 1939-1954	box 123	folder 1133	
Harvard University (Medical School-Department of Bacteriology and Immunology) - Bacterial Nutritive Requirements - Financial Data, 1939-1946	box 123	folder 1134	
Harvard University (Medical School-Department of Bacteriology and Immunology) - Bacterial Nutritive Requirements - Financial Data, 1947-1951	box 124	folder 1135	
Harvard University (Medical School-Department of Bacteriology and Immunology) - Typhus Serum, 1934-1939	box 124	folder 1136	
Harvard University (Medical School-Department of Pediatrics) - Effectiveness of Placental Extract in the Control of Measles, 1933-1944	box 124	folder 1137	
Harvard University (Medical School-Department of Pediatrics) - Effectiveness of Placental Extract in the Control of Measles, 1933-1944	box 124	folder 1138	

Harvard University (Medical School-Department of Pediatrics) - Effectiveness of Placental Extract in the Control of Measles, 1933-1944	box 124	folder 1139	
Harvard University (Medical School-Department of Pediatrics) - Effectiveness of Placental Extract in the Control of Measles, 1933-1944	box 124	folder 1140	
Harvard University (Medical School-Department of Pediatrics) - Effectiveness of Placental Extract in the Control of Measles - Financial Data, 1934-1935	box 124	folder 1141	
Harvard University (Medical School-Department of Pediatrics) - Effectiveness of Placental Extract in the Control of Measles - Financial Data, 1934-1935	box 124	folder 1142	
Harvard University (Medical School-Department of Pediatrics) - Effectiveness of Placental Extract in the Control of Measles - Financial Data, 1936-1940	box 125	folder 1143	
Harvard University (Medical School-Department of Pediatrics) - Effectiveness of Placental Extract in the Control of Measles - Financial Data, 1936-1940	box 125	folder 1144	
Harvard University (Medical School-Department of Pediatrics) - Endocrinological Study, 1941-1952	box 125	folder 1145	
Harvard University (Medical School-Department of Pediatrics) - Endocrinological Study, 1941-1952	box 125	folder 1146	
Harvard University (Medical School-Department of Pediatrics) - Endocrinological Study, 1941-1952	box 125	folder 1147	
Harvard University (Medical School-Department of Pediatrics) - Endocrinological Study, 1941-1952	box 125	folder 1148	
Harvard University (Medical School-Department of Pediatrics) - Endocrinological Study, 1941-1952	box 125	folder 1149	
Harvard University (Medical School-Department of Pediatrics) - Endocrinological Study, 1941-1952	box 125	folder 1150	
Harvard University (Medical School-Department of Pediatrics) - Endocrinological Study, 1953-1960	box 126	folder 1151	
Harvard University (Medical School-Department of Pediatrics) - Endocrinological Study, 1953-1960	box 126	folder 1152	
Harvard University (Medical School-Department of Pediatrics) - Endocrinological Study, 1953-1960	box 126	folder 1153	
Harvard University (Medical School-Department of Pediatrics) - Endocrinological Study - Financial Data, 1942-1954	box 126	folder 1154	
Harvard University (Medical School-Department of Pediatrics) - Endocrinological Study - Financial Data, 1942-1954	box 126	folder 1155	
Harvard University (Medical School-Department of Pediatrics) - Study of Management of Patients with Chronic Disabilities, 1957-1959	box 126	folder 1156	

Harvard University (Medical School-Department of Pediatrics) - Study of Management of Patients with Chronic Disabilities, 1957-1959	box 126	folder 1157	
Harvard University (Medical School-Department of Pediatrics) - Study of Management of Patients with Chronic Disabilities, 1957-1959	box 126	folder 1158	
Harvard University (Medical School-Department of Pediatrics) - Study of Management of Patients with Chronic Disabilities Studies, 1960-1970	box 127	folder 1159	
Harvard University (Medical School-Department of Pediatrics) - Study of Management of Patients with Chronic Disabilities Studies, 1960-1970	box 127	folder 1160	
Harvard University (Medical School-Department of Pediatrics) - Study of Management of Patients with Chronic Disabilities Studies, 1960-1970	box 127	folder 1161	
Harvard University (Medical School-Department of Pediatrics) - Study of Management of Patients with Chronic Disabilities Studies, 1960-1970	box 127	folder 1162	
Harvard University (Medical School-Department of Pediatrics) - Study of Management of Patients with Chronic Disabilities Studies, 1960-1970	box 127	folder 1163	
Harvard University (Medical School-Division of Medical Sciences) - Integrated Course in Medical Science, 1950-1954	box 127	folder 1164	
Harvard University (Medical School-Division of Medical Sciences) - Integrated Course in Medical Science, 1950-1954	box 127	folder 1165	
Harvard University (Medical School-Division of Medical Sciences) - Integrated Course in Medical Science, 1950-1954	box 127	folder 1166	
Harvard University (Medical School-Division of Medical Sciences) - Integrated Course in Medical Sciences, 1955-1961	box 128	folder 1167	
Harvard University (Medical School-Division of Medical Sciences) - Integrated Course in Medical Sciences, 1955-1961	box 128	folder 1168	
Harvard University (Medical School-Division of Medical Sciences) - Integrated Course in Medical Sciences, 1955-1961	box 128	folder 1169	
Harvard University (Medical School-Division of Medical Sciences) - Medical Science 201ab, 1952-1957	box 128	folder 1170	
Harvard University (Medical School-Division of Medical Sciences) - Medical Science 201ab, 1952-1957	box 128	folder 1171	
Harvard University (Medical School-Division of Medical Sciences) - Medical Science 201ab, 1952-1957	box 128	folder 1172	
Harvard University (Medical School-Division of Medical Sciences) - Medical Science 201ab, 1952-1957	box 128	folder 1173	
Harvard University (Medical School-Division of Medical Sciences) - Medical Science 201ab, 1952-1957	box 128	folder 1174	

Harvard University (Medical School-Division of Medical Sciences) - Medical Science 201ab, May 1, 1957	box 129	folder 1175	
Harvard University (Medical School) - Evaluation of the Foreign Medical Graduate Programs, 1972-1976	box 129	folder 1176	
Harvard University (Medical School) - Expansion of Facilities for Teaching and Research in the Medical Sciences; Professorships Named for Edward S. Harkness and George P. Barry (ME&CH), 1955-1976	box 129	folder 1177	
Harvard University (Medical School) - Expansion of Facilities for Teaching and Research in the Medical Sciences; Professorships Named for Edward S. Harkness and George P. Barry (ME&CH), 1955-1976	box 129	folder 1178	
Harvard University (Medical School) - Expansion of Facilities for Teaching and Research in the Medical Sciences; Professorships Named for Edward S. Harkness and George P. Barry (ME&CH), 1955-1976	box 129	folder 1179	
Harvard University (Medical School) - Foreign Investigation (Dr. Means), November-December, 1920	box 129	folder 1180	
Harvard University (Medical School) - Harvard Community Health Plan, Inc., 1968-1976	box 129	folder 1181	
Harvard University (Medical School) - Harvard Community Health Plan, Inc., 1968-1976	box 129	folder 1182	
Harvard University (Medical School) - Harvard Community Health Plan, Inc., 1968-1976	box 129	folder 1183	
Harvard University (Medical School) - Harvard Community Health Plan, Inc., 1968-1976	box 129	folder 1184	
Harvard University (Medical School) - Harvard Community Health Plan, Inc Auxiliary Reports, 1969-1974	box 130	folder 1185	
Harvard University (Medical School) - Harvard Community Health Plan, Inc Auxiliary Reports, 1969-1974	box 130	folder 1186	
Harvard University (Medical School) - Harvard Community Health Plan, Inc Auxiliary Reports, 1969-1974	box 130	folder 1187	
Harvard University (Medical School) - Harvard Community Health Plan, Inc Auxiliary Reports, 1969-1974	box 130	folder 1188	
Harvard University (Medical School) - Harvard Community Health Plan, Inc Auxiliary Reports, 1969-1974	box 130	folder 1189	
Harvard University (Medical School) - Inter-University Program for Faculty Education in Community Psychiatry (ME&CH), 1964-1968	box 130	folder 1190	
Harvard University (Medical School) - Inter-University Program for Faculty Education in Community Psychiatry (ME&CH), 1964-1968	box 130	folder 1191	

Harvard University (Medical School) - Inter-University Program for Faculty Education in Community Psychiatry (ME&CH), 1964-1968	box 130	folder 1192	
Harvard University (Medical School) - Inter-University Program for Faculty Education in Community Psychiatry (ME&CH), 1968-1974	box 131	folder 1193	
Harvard University (Medical School) - Inter-University Program for Faculty Education in Community Psychiatry (ME&CH), 1968-1974	box 131	folder 1194	
Harvard University (Medical School) - Inter-University Program for Faculty Education in Community Psychiatry (ME&CH), 1968-1974	box 131	folder 1195	
Harvard University (Medical School) - Inter-University Program for Faculty Education in Community Psychiatry (ME&CH), 1968-1974	box 131	folder 1196	
Harvard University (Medical School) - Inter-University Program for Faculty Education in Community Psychiatry (ME&CH), 1968-1974	box 131	folder 1197	
Harvard University (Medical School) - Inter-University Program for Faculty Education in Community Psychiatry (ME&CH), 1968-1974	box 131	folder 1198	
Harvard University (Medical School-McLean Hospital) - Studies of the Pineal Gland with Particular Reference to Psychoses, 1952-1958	box 131	folder 1199	
Harvard University (Medical School-McLean Hospital) - Studies of the Pineal Gland with Particular Reference to Psychoses, 1952-1958	box 131	folder 1200	
Harvard University (Medical School-McLean Hospital) - Studies of the Pineal Gland with Particular Reference to Psychoses, 1952-1958	box 131	folder 1201	
Harvard University (Medical School-McLean Hospital) - Studies of the Pineal Gland with Particular Reference to Psychoses, 1952-1958	box 131	folder 1202	
Harvard University (Medical School-McLean Hospital) - Studies of the Pineal Gland with Particular Reference to Psychoses, 1959-1961	box 132	folder 1203	
Harvard University (Medical School) - Medical Education Studies (ME&CH), 1965-1970	box 132	folder 1204	
Harvard University (Medical School) - Medical Education Studies (ME&CH), 1965-1970	box 132	folder 1205	
Harvard University (Medical School) - Medical Education Studies (ME&CH), 1965-1970	box 132	folder 1206	
Harvard University (Medical School) - Medical Education Studies (ME&CH), 1965-1970	box 132	folder 1207	

Harvard University (Medical School) - Medical Education Studies (ME&CH), 1965-1970	box 132	folder 1208	
Harvard University (Medical School) - Medical Education Studies (ME&CH), 1965-1970	box 132	folder 1209	
Harvard University (Medical School) - Medical Education Studies (ME&CH), 1965-1970	box 132	folder 1210	
Harvard University (Medical School) - Medical Education Studies (ME&CH), 1970-1974	box 133	folder 1211	
Harvard University (Medical School) - Medical Education Studies (ME&CH), 1970-1974	box 133	folder 1212	
Harvard University (Medical School) - Medical Education Studies (ME&CH), 1970-1974	box 133	folder 1213	
Harvard University (Medical School) - Planning for the School's Role in Meeting Future Social Needs (ME&CH), 1965-1971	box 133	folder 1214	
Harvard University (Medical School) - Poliomyelitis Research, 1937-1945	box 133	folder 1215	
Harvard University (Medical School) - Poliomyelitis Research, 1937-1945	box 133	folder 1216	
Harvard University (Medical School) - Poliomyelitis Research, 1937-1945	box 133	folder 1217	
Harvard University (Medical School) - Poliomyelitis Research, 1937-1945	box 133	folder 1218	
Harvard University (Medical School) - Poliomyelitis Research, 1937-1945	box 133	folder 1219	
Harvard University (Medical School) - Poliomyelitis Research - Financial Data, 1938-1945	box 134	folder 1220	
Harvard University (Medical School) - Poliomyelitis Research - Financial Data, 1938-1945	box 134	folder 1221	
Harvard University (Medical School) - Program in Comprehensive Pediatric Medicine (ME&CH), 1947-1966	box 134	folder 1222	
Harvard University (Medical School) - Program in Comprehensive Pediatric Medicine (ME&CH), 1947-1966	box 134	folder 1223	
Harvard University (Medical School) - Program in Comprehensive Pediatric Medicine (ME&CH), 1947-1966	box 134	folder 1224	
Harvard University (Medical School) - Program in Comprehensive Pediatric Medicine (ME&CH), 1947-1966	box 134	folder 1225	
Harvard University (Medical School) - Program in Comprehensive Pediatric Medicine (ME&CH), 1947-1966	box 134	folder 1226	
Harvard University (Medical School) - Program in Comprehensive Pediatric Medicine (ME&CH), 1947-1966	box 134	folder 1227	

Harvard University (Medical School) - Program in Comprehensive Pediatric Medicine (ME&CH), 1947-1966	box 134	folder 1228	
Harvard University (Medical School) - Research, Education and Demonstration in the Health Field (ME&CH), 1965-1976	box 135	folder 1229	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund, Grant Series, Harvard Medical School-Harvard Community Health Plan, Inc., Boxes 129-130, Folders 1181-1189.			
Harvard University (Medical School) - Research, Education and Demonstration in the Health Field (ME&CH), 1965-1976	box 135	folder 1230	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund, Grant Series, Harvard Medical School-Harvard Community Health Plan, Inc., Boxes 129-130, Folders 1181-1189.			
Harvard University (Medical School) - Research, Education and Demonstration in the Health Field (ME&CH), 1965-1976	box 135	folder 1231	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund, Grant Series, Harvard Medical School-Harvard Community Health Plan, Inc., Boxes 129-130, Folders 1181-1189.			
Harvard University (Medical School) - Research, Education and Demonstration in the Health Field (ME&CH), 1965-1976	box 135	folder 1232	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund, Grant Series, Harvard Medical School-Harvard Community Health Plan, Inc., Boxes 129-130, Folders 1181-1189.			
Harvard University (Medical School) - Support for Post-Doctoral Fellowships at the Center for Community Health and Medical Care, 1972-1981	box 135	folder 1233	
Harvard University (Medical School) - Support for Post-Doctoral Fellowships at the Center for Community Health and Medical Care, 1972-1981	box 135	folder 1234	
Harvard University (Medical School) - Symposium on Molecular Specialization and Symmetry in Membrane Function, 1976-1978	box 135	folder 1235	
Harvard University (Press) - Editorial Support in the Biomedical Sciences (PUBS), 1966-1974	box 135	folder 1236	

Harvard University (Press) - Editorial Support in the Biomedical Sciences (PUBS), 1966-1974	box 135	folder 1237	
Harvard University (Public Health Unit) - Epidemiological Commission to England, 1940-1955	box 136	folder 1238	
Harvard University (Public Health Unit) - Epidemiological Commission to England, 1940-1955	box 136	folder 1239	
Harvard University (School of Public Health) - Center for the Evaluation of Clinical Procedures, 1973-1980	box 136	folder 1240	
Harvard University (School of Public Health) - Center for the Evaluation of Clinical Procedures, 1973-1980	box 136	folder 1241	
Harvard University (School of Public Health) - Center for the Evaluation of Clinical Procedures, 1973-1980	box 136	folder 1242	
Harvard University (School of Public Health) - Center for the Evaluation of Clinical Procedures, 1973-1980	box 136	folder 1243	
Harvard University (School of Public Health) - Field Training Program - Development, 1949-1953	box 136	folder 1244	
Harvard University (School of Public Health) - Field Training Program - Development, 1949-1953	box 136	folder 1245	
Harvard University (School of Public Health) - Field Training Program - Development, 1949-1953	box 136	folder 1246	
Harvard University (School of Public Health) - Field Training Program - Development, 1949-1953	box 136	folder 1247	
Harvard University (School of Public Health) - Field Training Program - Development, 1949-1953	box 136	folder 1248	
Harvard University (School of Public Health) - Field Training Program - Field Training Unit (ME), 1952-1953	box 137	folder 1249	
Harvard University (School of Public Health) - Field Training Program - Field Training Unit (ME), 1952-1953	box 137	folder 1250	
Harvard University (School of Public Health) - Field Training Program - Field Training Unit/Mental Health Program (ME),	box 137	folder 1251-1258	
1953-1955	box 138	folder 1259-1260	
Harvard University (School of Public Health) - Field Training Program - Mental Health Program/Family Guidance Center (ME),	box 138	folder 1261-1269	
1955-1962	box 139	folder 1270-1272	
Harvard University (School of Public Health) - Health Policy and Management Program - Plan and Development Track, 1978-1980	box 139	folder 1272	
Harvard University (School of Public Health) - National Board for Peer Review of Physicians, 1977-1980	box 139	folder 1273	

Harvard University (School of Public Health) - National Board for Peer Review of Physicians, 1977-1980	box 139	folder 1274	
University of Hawaii - Feasibility Study of Establishing a Program of Basic Medical Sciences (ME&CH), 1963-1966	box 139	folder 1275	
University of Hawaii - Feasibility Study of Establishing a Program of Basic Medical Sciences (ME&CH), 1963-1966	box 139	folder 1276	
University of Hawaii - Feasibility Study of Establishing a Program of Basic Medical Sciences (ME&CH), 1963-1966	box 139	folder 1277	
University of Hawaii - Feasibility Study of Establishing a Program of Basic Medical Sciences (ME&CH), 1963-1966	box 139	folder 1278	
University of Hawaii - Feasibility Study of Establishing a Program of Basic Medical Sciences (ME&CH), 1963-1966	box 139	folder 1279	
University of Hawaii (School of Medicine) - Involvement of Professional Schools in the Continuum of University Health- Sciences Education, 1976-1981	box 139	folder 1280	
University of Hawaii (School of Medicine) - Involvement of Professional Schools in the Continuum of University Health- Sciences Education, 1976-1981	box 139	folder 1281	
University of Hawaii (School of Medicine) - Program of Biomedical Education (ME&CH), 1964-1975	box 140	folder 1282	
University of Hawaii (School of Medicine) - Program of Biomedical Education (ME&CH), 1964-1975	box 140	folder 1283	
University of Hawaii (School of Medicine) - Program of Biomedical Education (ME&CH), 1964-1975	box 140	folder 1284	
University of Hawaii (School of Medicine) - Program of Biomedical Education (ME&CH), 1964-1975	box 140	folder 1285	
University of Hawaii (School of Medicine) - Program of Biomedical Education (ME&CH), 1964-1975	box 140	folder 1286	
University of Hawaii - Study of the University's Future Role in Health Professions Education, 1970-1975	box 140	folder 1287	
University of Hawaii - Study of the University's Future Role in Health Professions Education, 1970-1975	box 140	folder 1288	
University of Hawaii (at Manoa) - Burns School of Medicine Faculty Retreat (October, 1979) to Consider Aspects of Premedical, Medical and Residency Training at the Medical School, July-October, 1979	box 140	folder 1289	
Health and Hospital Planning Council of Southern New York, Inc Study of Medical Staff Appointments in the Metropolitan Area, 1970-1971	box 140	folder 1290	
Health and Hospital Planning Council of Southern New York, Inc Study of Medical Staff Appointments in the Metropolitan Area, 1970-1971	box 140	folder 1291	

Health and Hospital Planning Council of Southern New York, Inc Study of Medical Staff Appointments in the Metropolitan Area, 1971-1975	box 141	folder 1292
Health and Hospital Planning Council of Southern New York, Inc Study of Medical Staff Appointments in the Metropolitan Area, 1971-1975	box 141	folder 1293
Health Insurance Plan of Greater New York - Study of Family Experiences with Medical Care (ESH), 1948-1951	box 141	folder 1294
Health Insurance Plan of Greater New York - Study of Family Experiences with Medical Care (ESH), 1950-1953	box 141	folder 1295
Health Insurance Plan of Greater New York - Study of Family Experiences with Medical Care (ESH), 1950-1953	box 141	folder 1296
Health Insurance Plan of Greater New York - Study of Family Experiences with Medical Care (ESH), 1950-1953	box 141	folder 1297
Health Insurance Plan of Greater New York - Study of Family Experiences with Medical Care (ESH), 1950-1953	box 141	folder 1298
Health Insurance Plan of Greater New York - Study of Family Experiences with Medical Care (ESH), 1950-1953	box 141	folder 1299
Health Insurance Plan of Greater New York - Study of Family Experiences with Medical Care (EHS), 1953-1959	box 142	folder 1300
Health Insurance Plan of Greater New York - Study of Pregnancy Loss, Congenital Anomalies and Morbidity in Early Childhood (ME&CH), 1957-1974	box 142	folder 1301
Health Insurance Plan of Greater New York - Study of Pregnancy Loss, Congenital Anomalies and Morbidity in Early Childhood (ME&CH), 1957-1974	box 142	folder 1302
Health Insurance Plan of Greater New York - Study of Pregnancy Loss, Congenital Anomalies and Morbidity in Early Childhood (ME&CH), 1957-1974	box 142	folder 1303
Health Insurance Plan of Greater New York - Study of Pregnancy Loss, Congenital Anomalies and Morbidity in Early Childhood (ME&CH), 1957-1974	box 142	folder 1304
Health Insurance Plan of Greater New York - Study of Pregnancy Loss, Congenital Anomalies and Morbidity in Early Childhood (ME&CH), 1957-1974	box 142	folder 1305
Health Insurance Plan of Greater New York - Study of Pregnancy Loss, Congenital Anomalies and Morbidity in Early Childhood (ME&CH), 1957-1974	box 142	folder 1306
Health Services and Mental Health Administration Gift Fund Committee on Challenges in Modern Society - Advanced Health Care Delivery Systems, 1972-1974	box 142	folder 1307
Helpern Library of Legal Medicine - International Microfilm Journal of Legal Medicine (ME&CH), 1962-1966	box 142	folder 1308

Helpern Library of Legal Medicine - International Microfilm Journal of Legal Medicine (ME&CH), 1967-1970	box 143	folder 1309	
Helpern Library of Legal Medicine - International Microfilm Journal of Legal Medicine (ME&CH), 1967-1970	box 143	folder 1310	
Helpern Library of Legal Medicine - International Microfilm Journal of Legal Medicine (ME&CH), 1967-1970	box 143	folder 1311	
Helpern Library of Legal Medicine - International Microfilm Journal of Legal Medicine (ME&CH), 1967-1970	box 143	folder 1312	
Helpern Library of Legal Medicine - International Microfilm Journal of Legal Medicine (ME&CH), 1967-1970	box 143	folder 1313	
Helpern Library of Legal Medicine - International Microfilm Journal of Legal Medicine (ME&CH), 1967-1970	box 143	folder 1314	
Historic Churches Preservation Fund, Inc Support for Restoration of Westminster Abbey and for the Work of the Westminster Abbey Appeal, 1976-1981	box 143	folder 1315	
Hospice Institute for Education Training and Research, Inc American Representatives to the Hospice Symposium (London, June 1980), 1979-1981	box 143	folder 1316	
Hospice Institute for Education Training and Research, Inc Planning for Construction and Operation of a Model Facility for Dying Patients and Their Families, 1971-1972	box 143	folder 1317	
Hospice Institute for Education Training and Research, Inc Planning for Construction and Operation of a Model Facility for Dying Patients and Their Families, 1971-1972	box 143	folder 1318	
Hospice Institute for Education Training and Research, Inc Planning for Construction and Operation of a Model Facility for Dying Patients and Their Families, 1973-1980	box 144	folder 1319	
Hospice Institute for Education Training and Research, Inc Planning for Construction and Operation of a Model Facility for Dying Patients and Their Families, 1973-1980	box 144	folder 1320	
Hospice Institute for Education Training and Research, Inc Planning for Construction and Operation of a Model Facility for Dying Patients and Their Families, 1973-1980	box 144	folder 1321	
Hospice Institute for Education Training and Research, Inc Planning for Construction and Operation of a Model Facility for Dying Patients and Their Families, 1973-1980	box 144	folder 1322	
House of the Good Samaritan - Research in Rheumatic Fever, 1930-1934	box 144	folder 1323	
House of the Good Samaritan - Research in Rheumatic Fever, 1930-1934	box 144	folder 1324	
House of the Good Samaritan - Research in Rheumatic Fever, 1930-1934	box 144	folder 1325	

House of the Good Samaritan - Research in Rheumatic Fever, 1930-1934	box 144	folder 1326	
House of the Good Samaritan - Research in Rheumatic Fever, 1934-1942	box 145	folder 1327	
House of the Good Samaritan - Research in Rheumatic Fever, 1934-1942	box 145	folder 1328	
House of the Good Samaritan - Research in Rheumatic Fever, 1934-1942	box 145	folder 1329	
House of the Good Samaritan - Research in Rheumatic Fever, 1934-1942	box 145	folder 1330	
House of the Good Samaritan - Research in Rheumatic Fever, 1934-1942	box 145	folder 1331	
House of the Good Samaritan - Research in Rheumatic Fever, 1934-1942	box 145	folder 1332	
House of the Good Samaritan - Research in Rheumatic Fever, 1934-1942	box 145	folder 1333	
House of the Good Samaritan - Research in Rheumatic Fever, 1934-1942	box 145	folder 1334	
House of the Good Samaritan - Research in Rheumatic Fever, 1934-1942	box 145	folder 1335	
House of the Good Samaritan - Research in Rheumatic Fever - Financial Data, 1931-1934	box 145	folder 1336	
House of the Good Samaritan - Research in Rheumatic Fever - Financial Data, 1935-1941	box 146	folder 1337	
House of the Good Samaritan - Research in Rheumatic Fever - Financial Data, 1935-1941	box 146	folder 1338	
House of the Good Samaritan - Research in Rheumatic Fever - Financial Data, 1935-1941	box 146	folder 1339	
House of the Good Samaritan - Research in Rheumatic Fever - Five Year Report, 1931-1936	box 146	folder 1340	
House of the Good Samaritan - Research in Rheumatic Fever - Five Year Report, 1931-1936	box 146	folder 1341	
Howard University College of Medicine - Accelerated BS-MD Degree Program for Exceptional Students, 1973-1980	box 146	folder 1342	
Howard University College of Medicine - Accelerated BS-MD Degree Program for Exceptional Students, 1973-1980	box 146	folder 1343	
Howard University College of Medicine - Accelerated BS-MD Degree Program for Exceptional Students, 1973-1980	box 146	folder 1344	
Howard University College of Medicine - Accelerated BS-MD Degree Program for Exceptional Students - Auxiliary Reports, 1969-1976	box 146	folder 1345	

Howard University College of Medicine - Accelerated BS-MD Degree Program for Exceptional Students - Auxiliary Reports, 1969-1976	box 146	folder 1346
Hunan-Yale College of Medicine, Changsha, China, 1922-1926; 1945-1947	box 147	folder 1347
Hunan-Yale College of Medicine, Changsha, China, 1922-1926; 1945-1947	box 147	folder 1348
Hunan-Yale College of Medicine, Changsha, China, 1922-1926; 1945-1947	box 147	folder 1349
Hunterdon Medical Center - Building Grant, 1951-1952	box 147	folder 1350
Hunterdon Medical Center - Consultant Service (EH), 1930-1950	box 147	folder 1351
Hunterdon Medical Center - Consultant Service (EH), 1930-1950	box 147	folder 1352
Hunterdon Medical Center - Consultant Service (EH), 1930-1950	box 147	folder 1353
Hunterdon Medical Center - Consultant Service (EH), 1930-1950	box 147	folder 1354
Hunterdon Medical Center - Consultant Service (EH), 1930-1950	box 147	folder 1355
Hunterdon Medical Center - Consultant Service (EH), 1930-1950	box 147	folder 1356
Hunterdon Medical Center - Consultant Service (EH), 1930-1950	box 147	folder 1357
Hunterdon Medical Center - Consultant Service (EH), January-December, 1951	box 148	folder 1358
Hunterdon Medical Center - Consultant Service (EH), January-December, 1951	box 148	folder 1359
Hunterdon Medical Center - Consultant Service (EH), January-December, 1951	box 148	folder 1360
Hunterdon Medical Center - Consultant Service/Aid in Organizing Health Activities (EH), 1952-1954	box 148	folder 1361
Hunterdon Medical Center - Consultant Service/Aid in Organizing Health Activities (EH), 1952-1954	box 148	folder 1362
Hunterdon Medical Center - Consultant Service/Aid in Organizing Health Activities (EH), 1952-1954	box 148	folder 1363
Hunterdon Medical Center - Consultant Service/Aid in Organizing Health Activities (EH), 1952-1954	box 148	folder 1364
Hunterdon Medical Center - Consultant Service/Aid in Organizing Health Activities (EH), 1952-1954	box 148	folder 1365
Hunterdon Medical Center - Consultant Service/Mental Health Program (EH), 1954-1955	box 148	folder 1366
Hunterdon Medical Center - Consultant Service/Mental Health Program (EH), 1954-1955	box 148	folder 1367
Hunterdon Medical Center - Consultant Service/Mental Health Program (EH), 1955-1979	box 149	folder 1368

Hunterdon Medical Center - Consultant Service/Mental Health Program (EH), 1955-1979	box 149	folder 1369	
Hunterdon Medical Center - Consultant Service/Mental Health Program (EH), 1955-1979	box 149	folder 1370	
Hunterdon Medical Center - Consultant Service/Mental Health Program (EH), 1955-1979	box 149	folder 1371	
Hunterdon Medical Center - Consultant Service/Mental Health Program (EH), 1955-1979	box 149	folder 1372	
Hunterdon Medical Center - Evaluation of Community Health Care at Hunterdon, 1976-1978	box 149	folder 1373	
Hunterdon Medical Center - Evaluation of Community Health Care at Hunterdon, 1976-1978	box 149	folder 1374	
Hunterdon Medical Center - Evaluation of Community Health Care at Hunterdon, 1976-1978	box 149	folder 1375	
Hunterdon Medical Center - Evaluation of Community Health Care at Hunterdon, 1976-1978	box 149	folder 1376	
Hunterdon Medical Center - Evaluation of Community Health Care at Hunterdon, February-May, 1978	box 150	folder 1377	
Hunterdon Medical Center - Evaluation of Community Health Care at Hunterdon, February-May, 1978	box 150	folder 1378	
Hunterdon Medical Center - Evaluation of Community Health Care at Hunterdon, February-May, 1978	box 150	folder 1379	
Hunterdon Medical Center - Evaluation of Community Health Care at Hunterdon, February-May, 1978	box 150	folder 1380	
Hunterdon Medical Center - Evaluation of Community Health Care at Hunterdon, February-May, 1978	box 150	folder 1381	
Hunterdon Medical Center - Feasibility Study for a County Wide, Prepaid Health Care Plan, 1969-1977	box 150	folder 1382	
Hunterdon Medical Center - Feasibility Study for a County Wide, Prepaid Health Care Plan, 1969-1977	box 150	folder 1383	
Hunterdon Medical Center - Feasibility Study for a County Wide, Prepaid Health Care Plan, 1969-1977	box 150	folder 1384	
Hunterdon Medical Center - Feasibility Study for a County Wide, Prepaid Health Care Plan, 1969-1977	box 150	folder 1385	
Hunterdon Medical Center - Health Survey of Chronic Illness (EHS), 1950-1957	box 151	folder 1386	
		folder 1387	
Hunterdon Medical Center - Health Survey of Chronic Illness (EHS), 1950-1957	box 151	Tolder 1387	

Hunterdon Medical Center - Health Survey of Chronic Illness (EHS), 1950-1957	box 151	folder 1389	
Hunterdon Medical Center - Health Survey of Chronic Illness (EHS), 1950-1957	box 151	folder 1390	
Hunterdon Medical Center - Health Survey of Chronic Illness (EHS), 1950-1957	box 151	folder 1391	
Hunterdon Medical Center - Health Survey of Chronic Illness (EHS), 1950-1957	box 151	folder 1392	
Hunterdon Medical Center - Princeton Symposium for the Twentieth Anniversary of Hunterdon, May-November, 1973	box 151	folder 1393	
Hunterdon Medical Center - Travel Grant (ME&CH), 1957-1969	box 151	folder 1394	
Hushka, Mabel - Special Fellowship, 1921-1930	box 151	folder 1395	
State of Illinois (Board of Higher Education) - State-Wide Planning for Education in the Health Professions, 1966-1967	box 151	folder 1396	
State of Illinois (Board of Higher Education) - State-Wide Planning for Education in the Health Professions, 1968-1971	box 152	folder 1397	
State of Illinois (Board of Higher Education) - State-Wide Planning for Education in the Health Professions - Auxiliary Reports, 1968-1977	box 152	folder 1398	
State of Illinois (Board of Higher Education) - State-Wide Planning for Education in the Health Professions - Auxiliary Reports, 1968-1977	box 152	folder 1399	
State of Illinois (Board of Higher Education) - State-Wide Planning for Education in the Health Professions - Auxiliary Reports, 1968-1977	box 152	folder 1400	
University of Illinois (College of Medicine) - Center for the Study of Medical Education, 1963-1965	box 152	folder 1401	
University of Illinois (College of Medicine) - Center for the Study of Medical Education, 1963-1965	box 152	folder 1402	
University of Illinois (College of Medicine) - Center for the Study of Medical Education, 1963-1965	box 152	folder 1403	
University of Illinois (College of Medicine) - Center for the Study of Medical Education, 1966-1975	box 153	folder 1404	
University of Illinois (College of Medicine) - Center for the Study of Medical Education, 1966-1975	box 153	folder 1405	
University of Illinois (College of Medicine) - Center for the Study of Medical Education, 1966-1975	box 153	folder 1406	
University of Illinois (College of Medicine) - Center for the Study of Medical Education, 1966-1975	box 153	folder 1407	
University of Illinois (College of Medicine) - Center for the Study of Medical Education - Auxiliary Reports, September 29, 1967	box 153	folder 1408	

University of Illinois (College of Medicine) - Center for the Study of Medical Education - Auxiliary Reports, September 29, 1967	box 153	folder 1409	
University of Illinois (College of Medicine) - Center for the Study of Medical Education - Auxiliary Reports, September 29, 1967	box 153	folder 1410	
University of Illinois (College of Medicine) - Study and Evaluation of Medical Education (ME&CH), 1957-1958	box 153	folder 1411	
University of Illinois (College of Medicine) - Study and Evaluation of Medical Education (ME&CH), 1957-1958	box 153	folder 1412	
University of Illinois (College of Medicine) - Study and Evaluation of Medical Education (ME&CH), 1957-1958	box 153	folder 1413	
University of Illinois (College of Medicine) - Study and Evaluation of Medical Education (ME&CH), 1957-1958	box 153	folder 1414	
University of Illinois (College of Medicine) - Study and Evaluation of Medical Education (ME&CH), 1958-1975	box 154	folder 1415	
University of Illinois (College of Medicine) - Study and Evaluation of Medical Education (ME&CH), 1958-1975	box 154	folder 1416	
University of Illinois (College of Medicine) - Study and Evaluation of Medical Education (ME&CH), 1958-1975	box 154	folder 1417	
University of Illinois (College of Medicine) - Study and Evaluation of Medical Education (ME&CH), 1958-1975	box 154	folder 1418	
University of Illinois (College of Medicine) - Study and Evaluation of Medical Education (ME&CH), 1958-1975	box 154	folder 1419	
University of Illinois (College of Medicine) - Study and Evaluation of Medical Education (ME&CH), 1958-1975	box 154	folder 1420	
University of Illinois (College of Medicine) - Study and Evaluation of Medical Education (ME&CH), 1958-1975	box 154	folder 1421	
University of Illinois (College of Medicine) - Study and Evaluation of Medical Education (ME&CH), 1958-1975	box 154	folder 1422	
University of Illinois (College of Medicine) - Vascular Studies of the Pituitary Gland, November 15, 1956	box 154	folder 1423	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund, Grant Series, Medical College of South Carolina, Box 193, Folders 1813-1818.			
Independent Sector - General Support, 1979-1980	box 154	folder 1424	

## **Scope and Contents note**

Formerly called Coalition of National Voluntary Organizations and National Council on Philanthropy.

Related Archival Materials note:

## **Related Archival Materials note**

See Commonwealth Fund, Grant Series, Box 58, Folders 542-543.

Independent Sector - General Support, 1981-1982	box 155	folder 1425
Indiana University - Department of Zoology - Immuno-Genetics of Paramecium (MR), 1951-1953	box 155	folder 1426
Indiana University - Experimental Program to Prepare Students for the Medical Profession (ME&CH), 1958-1961	box 155	folder 1427
Indiana University - Experimental Program to Prepare Students for the Medical Profession (ME&CH), 1958-1961	box 155	folder 1428
Indiana University - Experimental Program to Prepare Students for the Medical Profession (ME&CH), 1958-1961	box 155	folder 1429
Indiana University - Experimental Program to Prepare Students for the Medical Profession (ME&CH), 1958-1961	box 155	folder 1430
Indiana University - Experimental Program to Prepare Students for the Medical Profession (ME&CH), 1958-1961	box 155	folder 1431
Indiana University - School of Medicine - Audiovisual Materials for a Course on Human Sexuality, 1971-1972	box 155	folder 1432
Industrial Personnel Problem (Conference of The Engineering Foundation), 1919-1921	box 155	folder 1433
Institute for Crippled and Disabled Men, 1922-1925	box 155	folder 1434
Institute for Muscle Research - Study of the Chemical Mechanism of Intracellular Energy Transmission, 1953-1965	box 156	folder 1435
Institute for Muscle Research - Study of the Chemical Mechanism of Intracellular Energy Transmission, 1953-1965	box 156	folder 1436
Institute for Muscle Research - Study of the Chemical Mechanism of Intracellular Energy Transmission, 1953-1965	box 156	folder 1437
Institute for Muscle Research - Study of the Chemical Mechanism of Intracellular Energy Transmission, 1953-1965	box 156	folder 1438
Institute for Muscle Research - Study of the Chemical Mechanism of Intracellular Energy Transmission, 1953-1965	box 156	folder 1439
Institute for Muscle Research - Study of the Chemical Mechanism of Intracellular Energy Transmission, 1953-1965	box 156	folder 1440
Institute for Muscle Research - Study of the Chemical Mechanism of Intracellular Energy Transmission, 1953-1965	box 156	folder 1441
Institute for Muscle Research - Study of the Chemical Mechanism of Intracellular Energy Transmission, 1953-1965	box 156	folder 1442
Institute for Muscle Research - Study of the Chemical Mechanism of Intracellular Energy Transmission, 1953-1965	box 156	folder 1443

Institute for Muscle Research - Study of the Chemical Mechanism of Intracellular Energy Transmission, 1953-1965	box 156	folder 1444
Institute for Muscle Research - Study of the Chemical Mechanism of Intracellular Energy Transmission, 1953-1965	box 156	folder 1445
Institute for Policy Studies - Seminars on Dimensions and Determinants of Health Policy, 1964-1967	box 156	folder 1446
Institute for the Study of Humanistic Medicine - Study of the Way Attitudes and Values (an Ethic) Develop during the Education of Professionals in Law, Medicine and Other Fields, 1977-1978	box 157	folder 1447
Institute of International Education - General Support, 1948-1954	box 157	folder 1448
Institute of International Education - General Support, 1948-1954	box 157	folder 1449
Institute of International Education - General Support, 1948-1954	box 157	folder 1450
Institute of International Education - General Support, 1948-1954	box 157	folder 1451
Institute of International Education - General Support, 1948-1954	box 157	folder 1452
Institute of International Education - General Support, 1948-1954	box 157	folder 1453
Institute of International Education - General Support, 1948-1954	box 157	folder 1454
Institute of International Education - General Support, 1948-1954	box 157	folder 1455
Institute of International Education - General Support, 1948-1954	box 157	folder 1456
Institute of International Education - General Support, 1954-1966	box 158	folder 1457
Institute of International Education - General Support, 1954-1966	box 158	folder 1458
Institute of International Education - General Support, 1954-1966	box 158	folder 1459
Institute of International Education - General Support, 1954-1966	box 158	folder 1460
Institute of International Education - General Support, 1954-1966	box 158	folder 1461
Institute of International Education - General Support, 1954-1966	box 158	folder 1462
Institute of International Education - General Support, 1954-1966	box 158	folder 1463
Institute of International Education - General Support, 1954-1966	box 158	folder 1464
Institute of International Education - General Support, 1966-1979	box 159	folder 1465
Institute of International Education - General Support, 1966-1979	box 159	folder 1466
Institute of International Education - General Support, 1966-1979	box 159	folder 1467
Institute of International Education - General Support, 1966-1979	box 159	folder 1468
Institute of International Education - General Support, 1966-1979	box 159	folder 1469
Institute of International Education - General Support, 1966-1979	box 159	folder 1470
Institute of International Education - General Support, 1966-1979	box 159	folder 1471
Institute of International Education - General Support, 1966-1979	box 159	folder 1472

Institute of International Education - General Support, 1966-1979 Institute of International Education - General Support, 1979-1981 Institute of International Education - General Support, 1979-1981 Institute of International Education - General Support - 1979-1981 Institute of International Education - General Support - Auxiliary Reports, 1969-1981 Institute of International Education - General Support - Auxiliary Reports, 1969-1981 Institute of International Education - General Support - Auxiliary Reports, 1969-1981 Institute of International Education - General Support - Auxiliary Reports, 1969-1981 Institute of International Education - Hungarian Refugee Program, 1956-1958 Institute of International Education - Library for the Proposed Center for International Education, 1962-1966 Institute of Latin American Studies - Survey of Brazil Resources at Bibliotheque Nationale in Paris, 1979-1980 Institute of Society, Ethics and Life Sciences - Education and Research (Two Year Support of Student Internship Program and Study of Limits to Medical Care), 1977-1980 Institute of Society, Ethics and Life Sciences - Education and Research (Two Year Support of Student Internship Program and Study of Limits to Medical Care), 1977-1980 Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980 Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980 Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980	box 159 box 159 box 160	folder 1473 folder 1474 folder 1475 folder 1476 folder 1477 folder 1478 folder 1479 folder 1480 folder 1481 folder 1482 folder 1483
Institute of International Education - General Support, 1979-1981  Institute of International Education - General Support - Auxiliary Reports, 1969-1981  Institute of International Education - General Support - Auxiliary Reports, 1969-1981  Institute of International Education - General Support - Auxiliary Reports, 1969-1981  Institute of International Education - General Support - Auxiliary Reports, 1969-1981  Institute of International Education - Hungarian Refugee Program, 1956-1958  Institute of International Education - Library for the Proposed Center for International Education, 1962-1966  Institute of Latin American Studies - Survey of Brazil Resources at Bibliotheque Nationale in Paris, 1979-1980  Institute of Society, Ethics and Life Sciences - Education and Research (Two Year Support of Student Internship Program and Study of Limits to Medical Care), 1977-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980	box 160	folder 1475 folder 1476 folder 1477  folder 1478  folder 1479  folder 1480  folder 1481  folder 1482
Institute of International Education - General Support, 1979-1981  Institute of International Education - General Support - Auxiliary Reports, 1969-1981  Institute of International Education - General Support - Auxiliary Reports, 1969-1981  Institute of International Education - General Support - Auxiliary Reports, 1969-1981  Institute of International Education - Hungarian Refugee Program, 1956-1958  Institute of International Education - Library for the Proposed Center for International Education, 1962-1966  Institute of Latin American Studies - Survey of Brazil Resources at Bibliotheque Nationale in Paris, 1979-1980  Institute of Society, Ethics and Life Sciences - Education and Research (Two Year Support of Student Internship Program and Study of Limits to Medical Care), 1977-1980  Institute of Society, Ethics and Life Sciences - Education and Research (Two Year Support of Student Internship Program and Study of Limits to Medical Care), 1977-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980	box 160	folder 1476  folder 1477  folder 1478  folder 1479  folder 1480  folder 1481  folder 1482
Institute of International Education - General Support - Auxiliary Reports, 1969-1981  Institute of International Education - General Support - Auxiliary Reports, 1969-1981  Institute of International Education - General Support - Auxiliary Reports, 1969-1981  Institute of International Education - Hungarian Refugee Program, 1956-1958  Institute of International Education - Library for the Proposed Center for International Education, 1962-1966  Institute of Latin American Studies - Survey of Brazil Resources at Bibliotheque Nationale in Paris, 1979-1980  Institute of Society, Ethics and Life Sciences - Education and Research (Two Year Support of Student Internship Program and Study of Limits to Medical Care), 1977-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980	box 160	folder 1478  folder 1479  folder 1480  folder 1481  folder 1482
Institute of International Education - General Support - Auxiliary Reports, 1969-1981  Institute of International Education - General Support - Auxiliary Reports, 1969-1981  Institute of International Education - Hungarian Refugee Program, 1956-1958  Institute of International Education - Library for the Proposed Center for International Education, 1962-1966  Institute of Latin American Studies - Survey of Brazil Resources at Bibliotheque Nationale in Paris, 1979-1980  Institute of Society, Ethics and Life Sciences - Education and Research (Two Year Support of Student Internship Program and Study of Limits to Medical Care), 1977-1980  Institute of Society, Ethics and Life Sciences - Education and Research (Two Year Support of Student Internship Program and Study of Limits to Medical Care), 1977-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical Sciences, Establishment of Ethic	box 160  box 160  box 160  box 160  box 160  box 160	folder 1478  folder 1479  folder 1480  folder 1481  folder 1482
Institute of International Education - General Support - Auxiliary Reports, 1969-1981  Institute of International Education - Hungarian Refugee Program, 1956-1958  Institute of International Education - Library for the Proposed Center for International Education, 1962-1966  Institute of Latin American Studies - Survey of Brazil Resources at Bibliotheque Nationale in Paris, 1979-1980  Institute of Society, Ethics and Life Sciences - Education and Research (Two Year Support of Student Internship Program and Study of Limits to Medical Care), 1977-1980  Institute of Society, Ethics and Life Sciences - Education and Research (Two Year Support of Student Internship Program and Study of Limits to Medical Care), 1977-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical Society, Ethics and Life Sciences - Establishment of Ethical Society, Ethics and Life Sciences - Establishment of Ethical Society, Ethics and Life Sciences - Establishment of Ethical Society, Ethics and Life Sciences - Establishment of Ethical Society, Ethics and Life Sciences - Establishment of Ethical Society, Ethics and Life Sciences - Establishment of Ethical Society, Ethics and Life Sciences - Establishment of Ethical Society, Ethics and Life Sciences - Establishment of Ethical Society, Ethics and Life Sciences - Establishment of Ethical Society, Ethics and Life Sciences - Establishment of Ethical Society, Ethics and Life Sciences - Establishment of Ethical Society, Ethics and Life Sciences - Establishment of Ethical Society, Ethics and Life Sciences - Establishment of Ethical Society - Establishment of Ethical Society - Establishment of	box 160 box 160 box 160 box 160 box 160	folder 1479  folder 1480  folder 1481  folder 1482
Institute of International Education - Hungarian Refugee Program, 1956-1958  Institute of International Education - Library for the Proposed Center for International Education, 1962-1966  Institute of Latin American Studies - Survey of Brazil Resources at Bibliotheque Nationale in Paris, 1979-1980  Institute of Society, Ethics and Life Sciences - Education and Research (Two Year Support of Student Internship Program and Study of Limits to Medical Care), 1977-1980  Institute of Society, Ethics and Life Sciences - Education and Research (Two Year Support of Student Internship Program and Study of Limits to Medical Care), 1977-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical Sciences, Establishment of Ethical Sciences, Establishment of Ethical Sciences, Establishment of Ethical Sciences - Establishment of Ethical Sciences	box 160 box 160 box 160 box 160	folder 1480 folder 1481 folder 1482
Institute of International Education - Library for the Proposed Center for International Education, 1962-1966  Institute of Latin American Studies - Survey of Brazil Resources at Bibliotheque Nationale in Paris, 1979-1980  Institute of Society, Ethics and Life Sciences - Education and Research (Two Year Support of Student Internship Program and Study of Limits to Medical Care), 1977-1980  Institute of Society, Ethics and Life Sciences - Education and Research (Two Year Support of Student Internship Program and Study of Limits to Medical Care), 1977-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980	box 160 box 160 box 160	folder 1481 folder 1482
Center for International Education, 1962-1966  Institute of Latin American Studies - Survey of Brazil Resources at Bibliotheque Nationale in Paris, 1979-1980  Institute of Society, Ethics and Life Sciences - Education and Research (Two Year Support of Student Internship Program and Study of Limits to Medical Care), 1977-1980  Institute of Society, Ethics and Life Sciences - Education and Research (Two Year Support of Student Internship Program and Study of Limits to Medical Care), 1977-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980	box 160 box 160	folder 1482
Institute of Society, Ethics and Life Sciences - Education and Research (Two Year Support of Student Internship Program and Study of Limits to Medical Care), 1977-1980  Institute of Society, Ethics and Life Sciences - Education and Research (Two Year Support of Student Internship Program and Study of Limits to Medical Care), 1977-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980	box 160	
Research (Two Year Support of Student Internship Program and Study of Limits to Medical Care), 1977-1980  Institute of Society, Ethics and Life Sciences - Education and Research (Two Year Support of Student Internship Program and Study of Limits to Medical Care), 1977-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980		folder 1483
Research (Two Year Support of Student Internship Program and Study of Limits to Medical Care), 1977-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980  Institute of Society, Ethics and Life Sciences - Establishment of	1 1.00	
Ethical and Legal Guidelines for Genetic Engineering, 1972-1980  Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980  Institute of Society, Ethics and Life Sciences - Establishment of	box 160	folder 1484
Ethical and Legal Guidelines for Genetic Engineering, 1972-1980  Institute of Society, Ethics and Life Sciences - Establishment of	box 161	folder 1485
	box 161	folder 1486
	box 161	folder 1487
Institute of Society, Ethics and Life Sciences - Establishment of Ethical and Legal Guidelines for Genetic Engineering, 1972-1980	box 161	folder 1488
Institute of Society, Ethics and Life Sciences - General Support, 1977-1983	box 161	folder 1489
Institute of Society, Ethics and Life Sciences - General Support, 1977-1983	box 161	folder 1490
Institute of Society, Ethics and Life Sciences - General Support, 1977-1983	box 161	folder 1491
Institute of Society, Ethics and Life Sciences - General Support, 1977-1983	box 161	folder 1492
Institute of Society, Ethics and Life Sciences - General Support, 1977-1983	box 161	folder 1493

International Association for Child Psychiatry and Allied Professions - General Support, 1976-1977	box 162	folder 1494
International Association for Child Psychiatry and Allied Professions - International Study Project on Preventive Care for Children and Youth Vulnerable to Psychiatric Risk, 1971-1981	box 162	folder 1495
International Committee of the Red Cross - General Support, 1975-1977	box 162	folder 1496
International Congress on Mental Health - General Support, 1947-1949	box 162	folder 1497
International Congress on Mental Health - General Support, 1947-1949	box 162	folder 1498
International Congress on Mental Health - General Support, 1947-1949	box 162	folder 1499
International Congress on Mental Health - General Support, 1947-1949	box 162	folder 1500
International Council of English - General Support, March-June, 1927	box 162	folder 1501
International Council on the Future of the University, Inc General Support, 1973-1978	box 162	folder 1502
International Council on the Future of the University, Inc General Support, 1973-1978	box 162	folder 1503
International Council on the Future of the University, Inc General Support, 1979-1981	box 163	folder 1504
International Epidemiological Association - General Support, 1976-1981	box 163	folder 1505
International Epidemiological Association - General Support - Auxiliary Report, January 26, 1978	box 163	folder 1506
International Epidemiological Association - General Support - Auxiliary Report, January 26, 1978	box 163	folder 1507
International Epidemiological Association - General Support - Auxiliary Report, January 26, 1978	box 163	folder 1508
International Epidemiological Association - Program Planning and Development, 1967-1975	box 163	folder 1509
International Epidemiological Association - Program Planning and Development, 1967-1975	box 163	folder 1510
International Epidemiological Association - Program Planning and Development, 1967-1975	box 163	folder 1511
International Epidemiological Association - Program Planning and Development, 1967-1975	box 163	folder 1512
International Epidemiological Association - Program Planning and Development, March 2-4, 1975	box 164	folder 1513

International Epidemiological Association - Program Planning and Development, March 2-4, 1975	box 164	folder 1514
International Hospital Convention, February-June, 1928	box 164	folder 1515
Inter-Racial Committee, 1919-1922	box 164	folder 1516
Scope and Contents note		
Formerly Committee on After-War Cooperation.		
Inter-Racial Committee - Field Secretaries Report, 1921-1922	box 164	folder 1517
Scope and Contents note		
Formerly Committee on After-War Cooperation.		
Irvington House - Research in Rheumatic Fever, 1935-1941	box 164	folder 1518
Irvington House - Research in Rheumatic Fever, 1935-1941	box 164	folder 1519
Irvington House - Research in Rheumatic Fever, 1935-1941	box 164	folder 1520
Irvington House - Research in Rheumatic Fever, 1935-1941	box 164	folder 1521
Irvington House - Research in Rheumatic Fever, 1935-1941	box 164	folder 1522
Irvington House - Research in Rheumatic Fever - Financial Data, 1936-1937	box 164	folder 1523
Irvington House - Research in Rheumatic Fever - Financial Data, 1938-1943	box 165	folder 1524
Irvington House - Research in Rheumatic Fever - Financial Data, 1938-1943	box 165	folder 1525
Ittleson (Henry) Center for Child Research - Study of Child Schizophrenia, 1950-1962	box 165	folder 1526
Ittleson (Henry) Center for Child Research - Study of Child Schizophrenia, 1950-1962	box 165	folder 1527
Ittleson (Henry) Center for Child Research - Study of Child Schizophrenia, 1950-1962	box 165	folder 1528
Ittleson (Henry) Center for Child Research - Study of Child Schizophrenia, 1950-1962	box 165	folder 1529
Ittleson (Henry) Center for Child Research - Study of Child Schizophrenia, 1950-1962	box 165	folder 1530
Ittleson (Henry) Center for Child Research - Study of Child Schizophrenia, 1950-1962	box 165	folder 1531
Ittleson (Henry) Center for Child Research - Study of Child Schizophrenia, 1950-1962	box 165	folder 1532
Ittleson (Henry) Center for Child Research - Study of Child Schizophrenia, 1950-1962	box 165	folder 1533

Jackson (Roscoe B.) Memorial Laboratory - Equipment for Research Building, 1948-1949	box 166	folder 1534	
Jackson (Roscoe B.) Memorial Laboratory - Study of Inherited and Other Physiological Factors in Susceptibility to Experimental Cancer (MR), 1940-1953	box 166	folder 1535	
Jackson (Roscoe B.) Memorial Laboratory - Study of Inherited and Other Physiological Factors in Susceptibility to Experimental Cancer (MR), 1940-1953	box 166	folder 1536	
Jackson (Roscoe B.) Memorial Laboratory - Study of Inherited and Other Physiological Factors in Susceptibility to Experimental Cancer (MR), 1940-1953	box 166	folder 1537	
Jackson (Roscoe B.) Memorial Laboratory - Study of Inherited and Other Physiological Factors in Susceptibility to Experimental Cancer (MR), 1940-1953	box 166	folder 1538	
Jamaica Hospital - Brace Fund, February-March, 1920	box 166	folder 1539	
Jimmy Yen's Rural Reconstruction Movement - Training of Workers in Health, 1962-1980	box 166	folder 1540	
Scope and Contents note			
Formerly International Mass Education Movement.			
Jimmy Yen's Rural Reconstruction Movement - Training of Workers in Health, 1962-1980	box 166	folder 1541	
Scope and Contents note			
Formerly International Mass Education Movement.			
Jimmy Yen's Rural Reconstruction Movement - Training of Workers in Health, 1962-1980	box 166	folder 1542	
Scope and Contents note			
Formerly International Mass Education Movement.			
Jimmy Yen's Rural Reconstruction Movement - Training of Workers in Health, 1962-1980	box 166	folder 1543	
Scope and Contents note			
Formerly International Mass Education Movement.			
Jimmy Yen's Rural Reconstruction Movement - Training of Workers in Health, 1962-1980	box 166	folder 1544	
Scope and Contents note			
Formerly International Mass Education Movement.			
Johns Hopkins University - Conference on Anglo-American Colonial History, 1979-1984	box 166	folder 1545	

Johns Hopkins University (Department of Chemistry) - Fellowship, March-June, 1930	box 167	folder 1546	
Johns Hopkins University (Hospital) - Cancer Research, April- July, 1921	box 167	folder 1547	
Johns Hopkins University (Hospital) - Family Incidence of Rheumatic Fever Study, 1931-1942	box 167	folder 1548	
Johns Hopkins University (Hospital) - Family Incidence of Rheumatic Fever Study, 1931-1942	box 167	folder 1549	
Johns Hopkins University (Hospital) - Harriet Lane Cardiac Clinic, 1929-1930	box 167	folder 1550	
Johns Hopkins University (Hospital) - Harriet Lane Cardiac Clinic - Social Worker, 1927-1929	box 167	folder 1551	
Johns Hopkins University (Hospital) - Harriet Lane Division for Problem Children, 1927-1928	box 167	folder 1552	
Johns Hopkins University (School of Hygiene and Public Health) - Chronic Diseases Study, 1937-1940	box 167	folder 1553	
Johns Hopkins University (School of Hygiene and Public Health) - Chronic Diseases Study, 1937-1940	box 167	folder 1554	
Johns Hopkins University (School of Hygiene and Public Health) - Chronic Diseases Study, 1937-1940	box 167	folder 1555	
Johns Hopkins University (School of Hygiene and Public Health) - Chronic Diseases Study, 1937-1940	box 167	folder 1556	
Johns Hopkins University (School of Hygiene and Public Health) - Chronic Diseases Study - Financial Data, 1937-1940	box 167	folder 1557	
Johns Hopkins University (School of Hygiene and Public Health) - Chronic Diseases Study - Financial Data, 1937-1940	box 167	folder 1558	
Johns Hopkins University (School of Hygiene and Public Health) - Quantitative community Studies of Diseases, 1940-1944	box 167	folder 1559	
Johns Hopkins University (School of Hygiene and Public Health) - Quantitative community Studies of Diseases - Financial Data, 1940-1942	box 167	folder 1560	
Johns Hopkins University (School of Hygiene and Public Health) - Strengthening the University's Role as a Center of Research and Education in Medical Services (ME&CH), 1967-1969	box 167	folder 1561	
Johns Hopkins University (School of Hygiene and Public Health) - Strengthening the University's Role as a Center of Research and Education in Medical Services (ME&CH), 1970-1976	box 168	folder 1562	
Johns Hopkins University (School of Hygiene and Public Health) - Strengthening the University's Role as a Center of Research and Education in Medical Services (ME&CH), 1970-1976	box 168	folder 1563	

Johns Hopkins University (School of Hygiene and Public Health) - Strengthening the University's Role as a Center of Research and Education in Medical Services (ME&CH), 1970-1976	box 168	folder 1564
Johns Hopkins University (School of Hygiene and Public Health) - Strengthening the University's Role as a Center of Research and Education in Medical Services (ME&CH), 1970-1976	box 168	folder 1565
Johns Hopkins University (School of Hygiene and Public Health) - Strengthening the University's Role as a Center of Research and Education in Medical Services (ME&CH), 1970-1976	box 168	folder 1566
Johns Hopkins University (School of Hygiene and Public Health) - Strengthening the University's Role as a Center of Research and Education in Medical Services (ME&CH), 1970-1976	box 168	folder 1567
Johns Hopkins University (School of Hygiene and Public Health) - Strengthening the University's Role as a Center of Research and Education in Medical Services - Auxiliary Reports (ME&CH), 1967	box 168	folder 1568
Johns Hopkins University (School of Hygiene and Public Health) - Strengthening the University's Role as a Center of Research and Education in Medical Services - Auxiliary Reports (ME&CH), 1967-1971	box 169	folder 1569
Johns Hopkins University (School of Hygiene and Public Health) - Strengthening the University's Role as a Center of Research and Education in Medical Services - Auxiliary Reports (ME&CH), 1967-1971	box 169	folder 1570
Johns Hopkins University (School of Hygiene and Public Health) - Strengthening the University's Role as a Center of Research and Education in Medical Services - Auxiliary Reports (ME&CH), 1967-1971	box 169	folder 1571
Johns Hopkins University (School of Hygiene and Public Health) - Strengthening the University's Role as a Center of Research and Education in Medical Services - Auxiliary Reports (ME&CH), 1967-1971	box 169	folder 1572
Johns Hopkins University (School of Hygiene and Public Health) - Strengthening the University's Role as a Center of Research and Education in Medical Services - Auxiliary Reports (ME&CH), 1967-1971	box 169	folder 1573
Johns Hopkins University (School of Hygiene and Public Health) - Strengthening the University's Role as a Center of Research and Education in Medical Services - Auxiliary Reports (ME&CH), 1967-1971	box 169	folder 1574
Johns Hopkins University (School of Hygiene and Public Health) - Strengthening the University's Role as a Center of Research and Education in Medical Services - Auxiliary Reports (ME&CH), 1967-1971	box 169	folder 1575
Johns Hopkins University (School of Hygiene and Public Health) - Strengthening the University's Role as a Center of Research and	box 170	folder 1576

# Education in Medical Services - Auxiliary Reports (ME&CH), 1971-1972

17/1 17/2		
Johns Hopkins University (School of Hygiene and Public Health) - Strengthening the University's Role as a Center of Research and Education in Medical Services - Auxiliary Reports (ME&CH), 1971-1972	box 170	folder 1577
Johns Hopkins University (School of Hygiene and Public Health) - Strengthening the University's Role as a Center of Research and Education in Medical Services - Auxiliary Reports (ME&CH), 1971-1972	box 170	folder 1578
Johns Hopkins University (School of Hygiene and Public Health) - Tuberculosis Investigation, 1921-1927	box 170	folder 1579
Johns Hopkins University (School of Medicine) - Administration of Post-Doctoral Medical Education, 1960-1966	box 170	folder 1580
Johns Hopkins University (School of Medicine) - Development of a Medical School Student Course on the Relationship of Medicine to the Other Institutions of Society, 1971-1974	box 170	folder 1581
Johns Hopkins University (School of Medicine) - Development of a Medical School Student Course on the Relationship of Medicine to the Other Institutions of Society, 1971-1974	box 170	folder 1582
Johns Hopkins University (School of Medicine) - Development of a Medical School Student Course on the Relationship of Medicine to the Other Institutions of Society, 1971-1974	box 170	folder 1583
Johns Hopkins University (School of Medicine) - Essential Hypertension Study (MR), 1935-1941	box 170	folder 1584
Johns Hopkins University (School of Medicine) - Essential Hypertension Study (MR), 1935-1941	box 170	folder 1585
Johns Hopkins University (School of Medicine) - Essential Hypertension Study (MR), 1942-1958	box 171	folder 1586
Johns Hopkins University (School of Medicine) - Essential Hypertension Study (MR), 1942-1958	box 171	folder 1587
Johns Hopkins University (School of Medicine) - Essential Hypertension Study - Financial Data (MR), 1936-1950	box 171	folder 1588
Johns Hopkins University (School of Medicine) - Essential Hypertension Study - Financial Data (MR), 1936-1950	box 171	folder 1589
Johns Hopkins University (School of Medicine) - Essential Hypertension Study - Financial Data (MR), 1936-1950	box 171	folder 1590
Johns Hopkins University (School of Medicine) - Essential Hypertension Study - Financial Data (MR), 1936-1950	box 171	folder 1591
Johns Hopkins University (School of Medicine) - Essential Hypertension Study - Financial Data (MR), 1936-1950	box 171	folder 1592
Johns Hopkins University (School of Medicine) - General Support, March-December, 1919	box 171	folder 1593

Johns Hopkins University (School of Medicine) - General Support of the Archives, 1977-1981	box 172	folder 1594
Johns Hopkins University (School of Medicine) - Harriet Lane Childhood Tuberculosis Study (MR), 1933-1959	box 172	folder 1595
Johns Hopkins University (School of Medicine) - Harriet Lane Childhood Tuberculosis Study (MR), 1933-1959	box 172	folder 1596
Johns Hopkins University (School of Medicine) - Harriet Lane Childhood Tuberculosis Study (MR), 1933-1959	box 172	folder 1597
Johns Hopkins University (School of Medicine) - Harriet Lane Childhood Tuberculosis Study (MR), 1933-1959	box 172	folder 1598
Johns Hopkins University (School of Medicine) - Harriet Lane Childhood Tuberculosis Study (MR), 1933-1959	box 172	folder 1599
Johns Hopkins University (School of Medicine) - Harriet Lane Childhood Tuberculosis Study (MR), 1933-1959	box 172	folder 1600
Johns Hopkins University (School of Medicine) - Harriet Lane Childhood Tuberculosis Study (MR), 1933-1959	box 172	folder 1601
Johns Hopkins University (School of Medicine) - Harriet Lane Childhood Tuberculosis Study (MR), 1933-1959	box 172	folder 1602
Johns Hopkins University (School of Medicine) - Harriet Lane Childhood Tuberculosis Study (MR), 1933-1959	box 172	folder 1603
Johns Hopkins University (School of Medicine) - Harriet Lane Childhood Tuberculosis Study - Financial Data (MR), 1933-1953	box 173	folder 1604
Johns Hopkins University (School of Medicine) - Harriet Lane Childhood Tuberculosis Study - Financial Data (MR), 1933-1953	box 173	folder 1605
Johns Hopkins University (School of Medicine) - Harriet Lane Childhood Tuberculosis Study - Financial Data (MR), 1933-1953	box 173	folder 1606
Johns Hopkins University (School of Medicine) - Harriet Lane Childhood Tuberculosis Study - Financial Data (MR), 1933-1953	box 173	folder 1607
Johns Hopkins University (School of Medicine) - Harriet Lane Childhood Tuberculosis Study - Financial Data (MR), 1933-1953	box 173	folder 1608
Johns Hopkins University (School of Medicine) - Harriet Lane Childhood Tuberculosis Study - Financial Data (MR), 1933-1953	box 173	folder 1609
Johns Hopkins University (School of Medicine) - Harriet Lane Study of the Relation of the Endocrines to Growth and Development (MR), 1937-1942	box 173	folder 1610
Johns Hopkins University (School of Medicine) - Harriet Lane Study of the Relation of the Endocrines to Growth and Development (MR), 1937-1942	box 173	folder 1611
Johns Hopkins University (School of Medicine) - Harriet Lane Study of the Relation of the Endocrines to Growth and Development (MR), 1937-1942	box 173	folder 1612

Johns Hopkins University (School of Medicine) - Harriet Lane Study of the Relation of the Endocrines to Growth and Development (MR), 1943-1951	box 174	folder 1613
Johns Hopkins University (School of Medicine) - Harriet Lane Study of the Relation of the Endocrines to Growth and Development (MR), 1943-1951	box 174	folder 1614
Johns Hopkins University (School of Medicine) - Harriet Lane Study of the Relation of the Endocrines to Growth and Development (MR), 1943-1951	box 174	folder 1615
Johns Hopkins University (School of Medicine) - Harriet Lane Study of the Relation of the Endocrines to Growth and Development (MR), 1943-1951	box 174	folder 1616
Johns Hopkins University (School of Medicine) - Insulin Research, 1932-1938	box 174	folder 1617
Johns Hopkins University (School of Medicine) - Insulin Research, 1932-1938	box 174	folder 1618
Johns Hopkins University (School of Medicine) - Insulin Research, 1932-1938	box 174	folder 1619
Johns Hopkins University (School of Medicine) - Insulin Research - Financial Data, 1933-1937	box 174	folder 1620
Johns Hopkins University (School of Medicine) - Insulin Research - Financial Data, 1933-1937	box 174	folder 1621
Johns Hopkins University (School of Medicine) - Neuropsychiatric Division, 1930-1931	box 174	folder 1622
Johns Hopkins University (School of Medicine) - Poliomyelitis Research, 1937-1938	box 174	folder 1623
Johns Hopkins University (School of Medicine) - Poliomyelitis Research, 1939-1961	box 175	folder 1624
Johns Hopkins University (School of Medicine) - Poliomyelitis Research, 1939-1961	box 175	folder 1625
Johns Hopkins University (School of Medicine) - Poliomyelitis Research, 1939-1961	box 175	folder 1626
Johns Hopkins University (School of Medicine) - Poliomyelitis Research - Financial Data, 1938-1942	box 175	folder 1627
Johns Hopkins University (School of Medicine) - Poliomyelitis Research - Financial Data, 1938-1942	box 175	folder 1628
Johns Hopkins University (School of Medicine) - Poliomyelitis Research - Financial Data, 1938-1942	box 175	folder 1629
Johns Hopkins University (School of Medicine) - Program to Strengthen Johns Hopkins as a University Medical Center (ME&CH), 1965-1974	box 175	folder 1630

Johns Hopkins University (School of Medicine) - Program to Strengthen Johns Hopkins as a University Medical Center (ME&CH), 1965-1974	box 175	folder 1631
Johns Hopkins University (School of Medicine) - Program to Strengthen Johns Hopkins as a University Medical Center (ME&CH), 1965-1974	box 175	folder 1632
Johns Hopkins University (School of Medicine) - Program to Strengthen Selected Foreign Medical Schools (ME&CH), 1968-1971	box 175	folder 1633
Related Archival Materials note:		
Related Archival Materials note		
See Commonwealth Fund, Advanced Medical Fellowships Series, Boxes 64-65, Folders 781-788.		
Johns Hopkins University (School of Medicine) - Program to Strengthen Selected Foreign Medical Schools - Auxiliary Reports (ME&CH), 1965-1968	box 176	folder 1634
Johns Hopkins University (School of Medicine) - Program to Strengthen Selected Foreign Medical Schools - Auxiliary Reports (ME&CH), 1965-1968	box 176	folder 1635
Johns Hopkins University (School of Medicine) - Program to Strengthen Selected Foreign Medical Schools - Auxiliary Reports (ME&CH), 1965-1968	box 176	folder 1636
Johns Hopkins University (School of Medicine) - Program University-Wide in the Development of Systems of Community Health Care (ME&CH), 1969-1974	box 176	folder 1637
Related Archival Materials note:		
Related Archival Materials note		
See Commonwealth Fund, Grant Series, Johns Hopkins University, Support for the Office of Health Care Programs and Health Services Research and Development Center, Boxes 173-174, Folders 1617-1620.		
Johns Hopkins University (School of Medicine) - Program University-Wide in the Development of Systems of Community Health Care (ME&CH), 1969-1974	box 176	folder 1638
Related Archival Materials note: Related Archival Materials note		
See Commonwealth Fund, Grant Series, Johns Hopkins University, Support for the Office of Health Care Programs and Health Services Research and Development Center, Boxes 173-174, Folders 1617-1620.		
Johns Hopkins University (School of Medicine) - Program University-Wide in the Development of Systems of Community Health Care (ME&CH), 1969-1974	box 176	folder 1639

#### Related Archival Materials note:

### **Related Archival Materials note**

See Commonwealth Fund, Grant Series, Johns Hopkins University, Support for the Office of Health Care Programs and Health Services Research and Development Center, Boxes 173-174, Folders 1617-1620.

Johns Hopkins University (School of Medicine) - Program University-Wide in the Development of Systems of Community Health Care - Auxiliary Reports (ME&CH), 1969-1970	box 176	folder 1640	
Johns Hopkins University (School of Medicine) - Program University-Wide in the Development of Systems of Community Health Care - Auxiliary Reports (ME&CH), 1969-1970	box 176	folder 1641	
Johns Hopkins University (School of Medicine) - Program University-Wide in the Development of Systems of Community Health Care - Auxiliary Reports (ME&CH), 1969-1970	box 176	folder 1642	
Johns Hopkins University (School of Medicine) - Study of Social Aspects of Medicine, April-May, 1935	box 177	folder 1643	
Johns Hopkins University (School of Medicine) - Support for the Office of Health Care Programs and Health Services Research and Development Center, 1971-1977	box 177	folder 1644	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund, Grant Series, Johns Hopkins University, Program University-Wide in the Development of Systems of Community Health Care, Box 173, Folders 1610-1615.			
Johns Hopkins University (School of Medicine) - Support for the Office of Health Care Programs and Health Services Research and Development Center, 1971-1977	box 177	folder 1645	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund, Grant Series, Johns Hopkins University, Program University-Wide in the Development of Systems of Community Health Care, Box 173, Folders 1610-1615.			

Related Archival Materials note:

Development Center, 1971-1977

#### **Related Archival Materials note**

See Commonwealth Fund, Grant Series, Johns Hopkins University, Program University-Wide in the Development

Johns Hopkins University (School of Medicine) - Support for the

Office of Health Care Programs and Health Services Research and

box 177

folder 1646

of Systems of Community Health Care, Box 173, Folders 1610-1615.

Johns Hopkins University (School of Medicine) - Support for the Office of Health Care Programs and Health Services Research and Development Center, 1971-1977	box 177	folder 1647
Related Archival Materials note: Related Archival Materials note		
See Commonwealth Fund, Grant Series, Johns Hopkins University, Program University-Wide in the Development of Systems of Community Health Care, Box 173, Folders 1610-1615.		
Johns Hopkins University (School of Medicine) - Women Physicians in Contemporary Society - Mary Elizabeth Garrett Symposium (October 9-10, 1979), 1979-1981	box 177	folder 1648
Journal of Clinical Investigation - General Support, 1944-1948	box 177	folder 1649
Journal of Criminal Law and Criminology, January-July, 1921	box 177	folder 1650
Judge Baker Foundation - General Support, 1921-1923	box 177	folder 1651
Julliard School - Support for Scholarships, 1972-1981	box 177	folder 1652
Julliard School - Support for Scholarships, 1972-1981	box 177	folder 1653
University of Kansas - Department of Psychology - Social Psychology of Illness Study, 1954-1963	box 177	folder 1654
University of Kansas - Department of Psychology - Social Psychology of Illness Study, 1954-1963	box 177	folder 1655
University of Kansas - Department of Psychology - Social Psychology of Illness Study, 1954-1963	box 177	folder 1656
University of Kansas - Health Education, 1928-1933	box 178	folder 1657
University of Kansas - Health Education, 1928-1933	box 178	folder 1658
University of Kentucky (College of Medicine) - Department of Community Medicine, Extra-mural Teaching Program (ME&CH), 1961-1966	box 178	folder 1659
University of Kentucky (College of Medicine) - Department of Community Medicine, Extra-mural Teaching Program (ME&CH), 1961-1966	box 178	folder 1660
University of Kentucky (College of Medicine) - Department of Community Medicine, Extra-mural Teaching Program (ME&CH), 1961-1966	box 178	folder 1661
University of Kentucky (College of Medicine) - Department of Community Medicine, Extra-mural Teaching Program (ME&CH), 1961-1966	box 178	folder 1662

University of Kentucky (College of Medicine) - Department of Community Medicine, Extra-mural Teaching Program (ME&CH), 1961-1966	box 178	folder 1663	
University of Kentucky (College of Medicine) - Department of Community Medicine, Extra-mural Teaching Program (ME&CH), 1961-1966	box 178	folder 1664	
University of Kentucky (College of Medicine) - Department of Community Medicine, Extra-mural Teaching Program (ME&CH), 1961-1966	box 178	folder 1665	
University of Kentucky (College of Medicine) - Department of Community Medicine, Extra-mural Teaching Program (ME&CH), 1961-1966	box 178	folder 1666	
University of Kentucky (College of Medicine) - Department of Community Medicine, Extra-mural Teaching Program (ME&CH), 1966-1979	box 179	folder 1667	
University of Kentucky (College of Medicine) - Department of Community Medicine, Extra-mural Teaching Program (ME&CH), 1966-1979	box 179	folder 1668	
University of Kentucky (College of Medicine) - Department of Community Medicine, Extra-mural Teaching Program - Progress Reports (ME&CH), 1965-1967	box 179	folder 1669	
University of Kentucky (College of Medicine) - Department of Community Medicine, Extra-mural Teaching Program - Progress Reports (ME&CH), 1965-1967	box 179	folder 1670	
University of Kentucky (College of Medicine) - Department of Community Medicine, Extra-mural Teaching Program - Progress Reports (ME&CH), 1965-1967	box 179	folder 1671	
University of Kentucky (College of Medicine) - Department of Community Medicine, Extra-mural Teaching Program - Progress Reports (ME&CH), 1965-1967	box 179	folder 1672	
University of Kentucky (College of Medicine) - Department of Community Medicine, Extra-mural Teaching Program - Progress Reports (ME&CH), 1965-1967	box 179	folder 1673	
University of Kentucky (College of Medicine) - Department of Community Medicine, Extra-mural Teaching Program - Progress Reports (ME&CH), 1965-1967	box 179	folder 1674	
University of Kentucky (College of Medicine) - Department of Community Medicine, Extra-mural Teaching Program - Progress Reports (ME&CH), 1965-1967	box 179	folder 1675	
University of Kentucky (College of Medicine) - Department of Community Medicine, Extra-mural Teaching Program - Reprints (ME&CH), 1963-1967	box 179	folder 1676	
University of Kentucky - Course for Health Officers, March-June, 1933	box 179	folder 1677	

University of Kentucky - Planning for Health Center Development (ME), 1955-1956	box 179	folder 1678
University of Kentucky - Planning for a Health Center Development (ME), 1956-1961	box 180	folder 1679
University of Kentucky - Planning for a Health Center Development (ME), 1956-1961	box 180	folder 1680
University of Kentucky - Planning for a Health Center Development (ME), 1956-1961	box 180	folder 1681
University of Kentucky - Planning for a Health Center Development (ME), 1956-1961	box 180	folder 1682
University of Kentucky - Planning for a Health Center Development (ME), 1956-1961	box 180	folder 1683
University of Kentucky - Planning for a Health Center Development (ME), 1956-1961	box 180	folder 1684
University of Kentucky - Planning for a Health Center Development (ME), 1956-1961	box 180	folder 1685
University of Kentucky - Planning for a Health Center Development (ME), 1956-1961	box 180	folder 1686
King Edward's Hospital Fund for London - General Support, March-November, 1948	box 180	folder 1687
Lawrence and Memorial Associated Hospitals, New London, Connecticut - General Support, 1957-1960	box 180	folder 1688
League of Red Cross Societies - International Conference of Social Work, 1926-1932	box 180	folder 1689
League of Red Cross Societies - International Conference of Social Work, 1926-1932	box 180	folder 1690
Leake and Watts Orphan House - General Support, 1921-1922	box 180	folder 1691
Lee, Dr. Peter V Manuscript Preparation (Medical Schools and the Changing Times), 1959-1962	box 181	folder 1692
Leeds Castle Foundation - General Maintenance, 1980-1981	box 181	folder 1693
Legal Aid Society - General Support, February-November, 1920	box 181	folder 1694
Scope and Contents note		
Formerly Voluntary Defenders Committee.		
Lincoln Center for the Performing Arts, Inc General Support, 1957-1966	box 181	folder 1695
London Child guidance Training Centre - General Support,		
1951-1954	box 181	folder 1696

## **Related Archival Materials note**

See Commonwealth Fund, English Mental Hygiene Program Series, London Child Guidance Clinic and Training Center, Boxes 9-15, Folders 96-162.

London Federation of Boys' Clubs, Inc Support of Exchange Program with American Boys' Clubs, 1977-1980	box 181	folder 1697	
University of London - Chair of American History, 1926-1968	box 181	folder 1698	
University of London - Chair of American History, 1926-1968	box 181	folder 1699	
Long Island College of Medicine - Department of Preventive Medicine, 1935-1941	box 181	folder 1700	
Long Island College of Medicine - Department of Preventive Medicine, 1935-1941	box 181	folder 1701	
Long Island College of Medicine - Department of Preventive Medicine, 1935-1941	box 181	folder 1702	
Long Island College of Medicine - Department of Preventive Medicine - Financial Data, 1937-1941	box 181	folder 1703	
Long Island College of Medicine - Histochemical Studies of the Nephron, 1946-1948	box 181	folder 1704	
Long Island College of Medicine - Histochemical Studies of the Nephron, 1949-1957	box 182	folder 1705	
Long Island College of Medicine - Sickle Cell Anemia Study, 1947-1966	box 182	folder 1706	
Long Island University - Development of New Educational Programs in the Allied Health Professions, 1977-1981	box 182	folder 1707	
Long Island University - Development of New Educational Programs in the Allied Health Professions - Auxiliary Report, 1980	box 182	folder 1708	
Long Island University - Development of New Educational Programs in the Allied Health Professions - Auxiliary Report, 1980	box 182	folder 1709	
University of Louisville (School of Medicine) - Curriculum Planning (ME&CH), 1958-1966	box 182	folder 1710	
University of Louisville (School of Medicine) - Curriculum Planning (ME&CH), 1958-1966	box 182	folder 1711	
University of Louisville (School of Medicine) - Curriculum Planning (ME&CH), 1958-1966	box 182	folder 1712	
University of Louisville (School of Medicine) - Mental Health in Pediatrics and Public Health (ME), 1948-1952	box 182	folder 1713	
University of Louisville (School of Medicine) - Mental Health in Pediatrics and Public Health (ME), 1948-1952	box 182	folder 1714	

University of Louisville (School of Medicine) - Mental Health in Pediatrics and Public Health (ME), 1948-1952	box 182	folder 1715
University of Louisville (School of Medicine) - Mental Health in Pediatrics and Public Health (ME), 1948-1952	box 182	folder 1716
University of Louisville (School of Medicine) - Mental Health in Pediatrics and Public Health (ME), 1953-1956	box 183	folder 1717
University of Louisville (School of Medicine) - Mental Health in Pediatrics and Public Health (ME), 1953-1956	box 183	folder 1718
Maine Medical Center - Medical Educational Program (ME&CH), 1953-1968	box 183	folder 1719
Maine Medical Center - Medical Educational Program (ME&CH), 1953-1968	box 183	folder 1720
Maine Medical Center - Medical Educational Program (ME&CH), 1953-1968	box 183	folder 1721
Maine Medical Center - Medical Educational Program (ME&CH), 1953-1968	box 183	folder 1722
Maine Medical Center - Medical Educational Program (ME&CH), 1953-1968	box 183	folder 1723
Marine Biological Laboratory - Support and Development of a Library, 1976-1979	box 183	folder 1724
University of Maryland (School of Law) - Conference on Medical Malpractice Crisis, 1975-1977	box 183	folder 1725
University of Maryland (School of Law) - Conference on Medical Malpractice Crisis, 1975-1977	box 183	folder 1726
Massachusetts Department of Mental Health - Travel to Study New Developments in Mental Hospital Patient Care Programs, 1957-1961	box 183	folder 1727
Massachusetts Department of Public Health - Pneumonia Study, 1930-1937	box 184	folder 1728
Massachusetts Department of Public Health - Pneumonia Study, 1930-1937	box 184	folder 1729
Massachusetts Department of Public Health - Pneumonia Study, 1930-1937	box 184	folder 1730
Massachusetts Department of Public Health - Pneumonia Study, 1930-1937	box 184	folder 1731
Massachusetts Department of Public Health - Pneumonia Study, 1930-1937	box 184	folder 1732
Massachusetts Department of Public Health - Pneumonia Study, 1930-1937	box 184	folder 1733
Massachusetts Department of Public Health - Pneumonia Study, 1930-1937	box 184	folder 1734

Massachusetts Department of Public Health - Pneumonia Study, 1930-1937	box 184	folder 1735	
Massachusetts Department of Public Health - Pneumonia Study, 1930-1937	box 184	folder 1736	
Massachusetts Department of Public Health - Pneumonia Study - Financial Data, 1930-1932	box 184	folder 1737	
Massachusetts Department of Public Health - Pneumonia Study - Financial Data, 1933-1938	box 185	folder 1738	
Massachusetts Department of Public Health - Pneumonia Study - Financial Data, 1933-1938	box 185	folder 1739	
Massachusetts Department of Public Health - Use of the Vaginal Smear Diagnostic Technic in the State Cancer Program, 1944-1947	box 185	folder 1740	
Massachusetts Department of Public Health - Use of the Vaginal Smear Diagnostic Technic in the State Cancer Program, 1944-1947	box 185	folder 1741	
Massachusetts Department of Public Health - Use of the Vaginal Smear Diagnostic Technic in the State Cancer Program - Financial Data, 1944-1947	box 185	folder 1742	
Massachusetts General Hospital - Baker Memorial Study, 1937-1941	box 185	folder 1743	
Massachusetts General Hospital - Baker Memorial Study, 1937-1941	box 185	folder 1744	
Massachusetts General Hospital - Cellular Metabolism Studies, 1942-1947	box 185	folder 1745	
Massachusetts General Hospital - Cellular Metabolism Studies, 1942-1947	box 185	folder 1746	
Massachusetts General Hospital - Cellular Metabolism Studies, 1948-1956	box 186	folder 1747	
Massachusetts General Hospital - Cellular Metabolism Studies - Financial Data, 1942-1952	box 186	folder 1748	
Massachusetts General Hospital - Cellular Metabolism Studies - Financial Data, 1942-1952	box 186	folder 1749	
Massachusetts General Hospital - Coronary Artery Disease Study, 1947-1953	box 186	folder 1750	
Massachusetts General Hospital - Coronary Artery Disease Study, 1947-1953	box 186	folder 1751	
Massachusetts General Hospital - Coronary Artery Disease Study, 1947-1953	box 186	folder 1752	
Massachusetts General Hospital - Interprofessional Care of the Ambulatory Patient (ME&CH), 1966-1969	box 186	folder 1753	

Massachusetts General Hospital - Pediatric Nurse Practitioner Program, 1968-1969	box 186	folder 1754
Massachusetts General Hospital - Pediatric Nurse Practitioner Program, 1969-1974	box 187	folder 1755
Massachusetts General Hospital - Pediatric Nurse Practitioner Program, 1969-1974	box 187	folder 1756
Massachusetts General Hospital - Pediatric Nurse Practitioner Program, 1969-1974	box 187	folder 1757
Massachusetts General Hospital - Pediatric Nurse Practitioner Program, 1969-1974	box 187	folder 1758
Massachusetts Institute of Technology - Department of Psychology - General Support (MR), 1964-1968	box 187	folder 1759
Massachusetts Institute of Technology - Department of Psychology - General Support (MR), 1964-1968	box 187	folder 1760
Massachusetts Institute of Technology - Department of Psychology - General Support (MR), 1964-1968	box 187	folder 1761
Massachusetts Institute of Technology - Planning for MIT's Future Role in Medical and Health Services, January-November, 1967	box 187	folder 1762
Massachusetts Institute of Technology - Planning for MIT's Future Role in Medical and Health Services, 1967-1969	box 188	folder 1763
Massachusetts Institute of Technology - Training for Medical Research (ME), 1950-1963	box 188	folder 1764
Massachusetts Institute of Technology - Training for Medical Research (ME), 1950-1963	box 188	folder 1765
Massachusetts Institute of Technology - Training for Medical Research (ME), 1950-1963	box 188	folder 1766
Massachusetts Institute of Technology - Training for Medical Research (ME), 1950-1963	box 188	folder 1767
Massachusetts Institute of Technology - Training for Medical Research (ME), 1950-1963	box 188	folder 1768
Massachusetts Institute of Technology-Harvard University Joint Program in Health Sciences and Technology (ME&CH), 1968-1971	box 188	folder 1769
Massachusetts Institute of Technology-Harvard University Joint Program in Health Sciences and Technology (ME&CH), 1968-1971	box 188	folder 1770
Massachusetts Institute of Technology-Harvard University Joint Program in Health Sciences and Technology (ME&CH), 1968-1971	box 188	folder 1771
Massachusetts Institute of Technology-Harvard University Joint Program in Health Sciences and Technology (ME&CH), 1971-1980	box 189	folder 1772

Massachusetts Institute of Technology-Harvard University Joint Program in Health Sciences and Technology (ME&CH), 1971-1980	box 189	folder 1773
Massachusetts Institute of Technology-Harvard University Joint Program in Health Sciences and Technology - Auxiliary Reports (ME&CH), 1967-1978	box 189	folder 1774
Massachusetts Institute of Technology-Harvard University Joint Program in Health Sciences and Technology - Auxiliary Reports (ME&CH), 1967-1978	box 189	folder 1775
Massachusetts Institute of Technology-Harvard University Joint Program in Health Sciences and Technology - Auxiliary Reports (ME&CH), 1967-1978	box 189	folder 1776
Massachusetts Institute of Technology-Harvard University Joint Program in Health Sciences and Technology - Auxiliary Reports (ME&CH), 1967-1978	box 189	folder 1777
Massachusetts Institute of Technology-Harvard University Joint Program in Health Sciences and Technology - Auxiliary Reports (ME&CH), 1967-1978	box 189	folder 1778
Massachusetts Institute of Technology-Harvard University Joint Program in Health Sciences and Technology - Auxiliary Reports (ME&CH), 1967-1978	box 189	folder 1779
Massachusetts Society for Mental Hygiene - Survey, 1929-1931	box 190	folder 1780
Maternity Center Association - Development of Procedures for Operating and Evaluating a Model Clinic for Maternity Care, 1975-1978	box 190	folder 1781
Maternity Center Association - Development of Procedures for Operating and Evaluating a Model Clinic for Maternity Care, 1975-1978	box 190	folder 1782
Maternity Center Association - Development of Procedures for Operating and Evaluating a Model Clinic for Maternity Care, 1975-1978	box 190	folder 1783
Maternity Center Association - Development of Procedures for Operating and Evaluating a Model Clinic for Maternity Care, 1975-1978	box 190	folder 1784
Maternity Center Association - General Support, 1920-1922	box 190	folder 1785
Maternity Center Association - National Program to Expand the Training and Use of Nurse-Midwives (ME&CH), 1970-1973	box 190	folder 1786
Maternity Center Association - National Program to Expand the Training and Use of Nurse-Midwives (ME&CH), 1970-1973	box 190	folder 1787
Maternity Center Association - National Program to Expand the Training and Use of Nurse-Midwives (ME&CH), 1970-1973	box 190	folder 1788
Maternity Center Association - National Program to Expand the Training and Use of Nurse-Midwives (ME&CH), 1970-1973	box 190	folder 1789

Maternity Center Association - National Program to Expand the Training and Use of Nurse-Midwives (ME&CH), 1974-1980	box 191	folder 1790
Maternity Center Association - National Program to Expand the Training and Use of Nurse-Midwives (ME&CH), 1974-1980	box 191	folder 1791
Maternity Center Association - National Program to Expand the Training and Use of Nurse-Midwives - Reprints (ME&CH), 1970-1976	box 191	folder 1792
Maternity Center Association - Professional Seminar Concerned with Family Health, 1967-1970	box 191	folder 1793
Mayo Foundation - Establishment of a Medical School within the Mayo Institution (ME&CH), 1970-1978	box 191	folder 1794
Mayo Foundation - Establishment of a Medical School within the Mayo Institution (ME&CH), 1970-1978	box 191	folder 1795
Mayo Foundation - Establishment of a Medical School within the Mayo Institution (ME&CH), 1970-1978	box 191	folder 1796
Mayo Foundation - Establishment of a Medical School within the Mayo Institution (ME&CH), 1970-1978	box 191	folder 1797
Mayo Foundation - Establishment of a Medical School within the Mayo Institution- Auxiliary Reports (ME&CH), 1969-1970	box 191	folder 1798
Mayo Foundation - Establishment of a Medical School within the Mayo Institution- Auxiliary Reports (ME&CH), 1971-1978	box 192	folder 1799
McGill University - Conference on Evaluation in Medicine (Internal), "Opportunities for Sharing on an International Basis," (Copenhagen), 1971-1974	box 192	folder 1800
McGill University - Medical Curriculum Survey, 1955-1962	box 192	folder 1801
McGill University - Medical Curriculum Survey, 1955-1962	box 192	folder 1802
McGill University - Medical Curriculum Survey, 1955-1962	box 192	folder 1803
Medical Care Development, Inc Blue Hill Memorial Hospital TV to Improve Ambulatory Care Services to Deer Isle, Maine, 1969-1974	box 192	folder 1805
Medical Care Plan of the City of New York - Fixed Payment Plan, 1944-1945	box 192	folder 1806
Medical College of Georgia - Study of New Curriculum Program, 1966-1967	box 192	folder 1807
McGill University - Medical Curriculum Survey, 1955-1962	box 192	folder 1804
Medical College of Pennsylvania - Program for Basic Redevelopment of the College (ME&CH), 1969-1970	box 192	folder 1808

# **Scope and Contents note**

Formerly Women's Medical College of Pennsylvania.

Medical College of Pennsylvania - Program for Basic Redevelopment of the College (ME&CH), 1969-1970	box 192	folder 1809	
Scope and Contents note			
Formerly Women's Medical College of Pennsylvania.			
Medical College of Pennsylvania - Program for Basic Redevelopment of the College (ME&CH), 1970-1978	box 193	folder 1810	
Scope and Contents note			
Formerly Women's Medical College of Pennsylvania.			
Medical College of Pennsylvania - Program for Basic Redevelopment of the College (ME&CH), 1970-1978	box 193	folder 1811	
Scope and Contents note			
Formerly Women's Medical College of Pennsylvania.			
Medical College of Pennsylvania - Program for Basic Redevelopment of the College (ME&CH), 1970-1978	box 193	folder 1812	
Scope and Contents note			
Formerly Women's Medical College of Pennsylvania.			
Medical College of South Carolina - Vascular Studies of the Pituitary Gland (MR), 1956-1967	box 193	folder 1813	
Related Archival Materials note:			
Related Archival Materials note			
See Commonwealth Fund, Grant Series, University of Illinois, Box 154, Folder 1423.			
Medical College of South Carolina - Vascular Studies of the Pituitary Gland (MR), 1956-1967	box 193	folder 1814	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund, Grant Series, University of Illinois, Box 154, Folder 1423.			
Medical College of South Carolina - Vascular Studies of the Pituitary Gland (MR), 1956-1967	box 193	folder 1815	
Related Archival Materials note:			

See Commonwealth Fund, Grant Series, University of Illinois, Box 154, Folder 1423.

Medical College of South Carolina - Vascular Studies of the Pituitary Gland (MR), 1956-1967

box 193

folder 1816

Related Archival Materials note:

#### **Related Archival Materials note**

See Commonwealth Fund, Grant Series, University of Illinois, Box 154, Folder 1423.

Medical College of Virginia - Control of the Pituitary Function Study (MR), 1948-1953

box 193

folder 1817

## **Scope and Contents note**

Original Grant to Harvard University Medical School-Brigham Hospital.

Medical College of Virginia - Control of the Pituitary Function Study (MR), 1948-1953

box 193

folder 1818

#### **Scope and Contents note**

Original Grant to Harvard University Medical School-Brigham Hospital.

Medical College of Virginia - Control of the Pituitary Function Study (MR), 1948-1953

box 193

folder 1819

#### **Scope and Contents note**

Original Grant to Harvard University Medical School-Brigham Hospital.

Medical College of Virginia - Control of the Pituitary Function Study (MR), 1954-1962

box 194

folder 1820

#### **Scope and Contents note**

Original Grant to Harvard University Medical School-Brigham Hospital.

Medical College of Virginia - Control of the Pituitary Function Study (MR), 1954-1962

box 194

folder 1821

#### **Scope and Contents note**

Original Grant to Harvard University Medical School-Brigham Hospital.

Medical College of Virginia - Control of the Pituitary Function Study (MR), 1954-1962

box 194

folder 1822

# **Scope and Contents note**

Original Grant to Harvard University Medical School-Brigham Hospital.

Medical College of Virginia - Control of the Pituitary Function Study (MR), 1954-1962	box 194	folder 1823	
Scope and Contents note			
Original Grant to Harvard University Medical School-Brigham Hospital.			
Medical College of Virginia - Control of the Pituitary Function Study - Financial Data (MR), 1949-1952	box 194	folder 1824	
Scope and Contents note			
Original Grant to Harvard University Medical School-Brigham Hospital.			
Medical College of Virginia - Control of the Pituitary Function Study - Financial Data (MR), 1949-1952	box 194	folder 1825	
Scope and Contents note			
Original Grant to Harvard University Medical School-Brigham Hospital.			
Medical College of Virginia - Pediatric Department - General Support, 1927-1929	box 194	folder 1826	
Medical College of Virginia - Program for an Educational Consultant (ME&CH), 1958-1965	box 194	folder 1827	
Medical College of Virginia - Program for an Educational Consultant (ME&CH), 1958-1965	box 194	folder 1828	
Medical College of Virginia - Program for an Educational Consultant (ME&CH), 1958-1965	box 194	folder 1829	
Medical College of Virginia - Program for an Educational Consultant (ME&CH), 1958-1965	box 194	folder 1830	
Medical College of Virginia - Regional Post-graduate Medical Education Program (ME), 1945-1955	box 195	folder 1831	
Medical College of Virginia - Regional Post-graduate Medical Education Program (ME), 1945-1955	box 195	folder 1832	
Medical College of Virginia - Regional Post-graduate Medical Education Program (ME), 1945-1955	box 195	folder 1833	
Medical College of Virginia - Regional Post-graduate Medical Education Program (ME), 1945-1955	box 195	folder 1834	
Medical College of Virginia - Regional Post-graduate Medical Education Program (ME), 1945-1955	box 195	folder 1835	

Medical College of Virginia - Regional Post-graduate Medical Education Program (ME), 1945-1955	box 195	folder 1836	
Medical College of Virginia - Regional Post-graduate Medical Education Program (ME), 1945-1955	box 195	folder 1837	
Medical College of Virginia - Regional Post-graduate Medical Education Program - Financial Data (ME), 1945-1949	box 195	folder 1838	
Medical College of Virginia - Regional Post-graduate Medical Education Program - Financial Data (ME), 1950-1951	box 196	folder 1839	
Medical Foundation, Inc. (Harvard University School of Public Health) - Interprofessional Education in Law, Medicine and Related Fields, 1968-1974	box 196	folder 1840	
Medical Library Center of New York - Purchase of a Headquarters Building (ME&CH), 1958-1965	box 196	folder 1841	
Medical Library Center of New York - Purchase of a Headquarters Building (ME&CH), 1958-1965	box 196	folder 1842	
Meharry Medical College - Developmental Program in the Basic Sciences (ME&CH), 1967-1976	box 196	folder 1843	
Meharry Medical College - Developmental Program in the Basic Sciences (ME&CH), 1967-1976	box 196	folder 1844	
Meharry Medical College - Developmental Program in the Basic Sciences (ME&CH), 1967-1976	box 196	folder 1845	
Meharry Medical College - Developmental Program in the Basic Sciences - Auxiliary Reports (ME&CH), 1967	box 196	folder 1846	
Meharry Medical College - Developmental Program in the Basic Sciences - Auxiliary Reports (ME&CH), 1967	box 196	folder 1847	
Meharry Medical College - Developmental Program in the Basic Sciences - Auxiliary Reports (ME&CH), 1967-1970	box 197	folder 1848	
Meharry Medical College - Developmental Program in the Basic Sciences - Auxiliary Reports (ME&CH), 1967-1970	box 197	folder 1849	
Meharry Medical College - Exploration of Psychiatric Teaching (ME&CH), 1956-1962	box 197	folder 1850	
Meharry Medical College - Exploration of Psychiatric Teaching (ME&CH), 1956-1962	box 197	folder 1851	
Memorial Hospital - Building Fund/Treatment of Cancer and Allied Diseases, 1922-1923	box 197	folder 1852	
Memorial Hospital - Disturbed Chemical Function in Relation to Cancer Study (MR), 1942-1947	box 197	folder 1853	
Memorial Hospital - Disturbed Chemical Function in Relation to Cancer Study (MR), 1942-1947	box 197	folder 1854	
Memorial Hospital - Disturbed Chemical Function in Relation to Cancer Study/Physiology of Steroid Compounds in Health and Disease Study (MR), 1948-1949	box 197	folder 1855	

Memorial Hospital - Disturbed Chemical Function in Relation to Cancer Study/Physiology of Steroid Compounds in Health and Disease Study (MR), 1950-1954	box 198	folder 1856	
Memorial Hospital - Educational Program, 1945-1960	box 198	folder 1857	
Memorial Hospital - Educational Program, 1945-1960	box 198	folder 1858	
Memorial Hospital - Educational Program, 1945-1960	box 198	folder 1859	
Memorial Hospital - Educational Program, 1945-1960	box 198	folder 1860	
Memorial Hospital - Educational Program - Financial Data, 1947-1953	box 198	folder 1861	
Memorial Hospital - Sex Hormones In Relation to Cancer of the Breast Study - Financial Data, 1939-1942	box 198	folder 1862	
Memorial Hospital - Sex Hormones In Relation to Cancer of the Breast Study - Financial Data, 1939-1942	box 198	folder 1863	
Memorial University of Newfoundland - Feasibility of Establishing a Health Sciences Center Study (ME&CH), 1965-1969	box 198	folder 1864	
Memorial University of Newfoundland - Feasibility of Establishing a Health Sciences Center Study - Auxiliary Reports (ME&CH), 1966	box 199	folder 1865	
Memorial University of Newfoundland - Feasibility of Establishing a Health Sciences Center Study - Auxiliary Reports (ME&CH), 1966	box 199	folder 1866	
Menninger Foundation - Mental Health Seminars for Non-Psychiatric Professional Groups (ME&CH), 1965-1972	box 199	folder 1867	
Menninger Foundation - Mental Health Seminars for Non- Psychiatric Professional Groups (ME&CH), 1965-1972	box 199	folder 1868	
Mental Hygiene Investigation, London, May 1, 1926	box 199	folder 1869	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund, English Mental Hygiene Program Series 1927-1947, Boxes 1-26.			
Mental Hygiene Society of Maryland - Mental Hygiene Clinic, 1927-1934	box 199	folder 1870	
University of Miami (School of Medicine) - Basic Study to Improve the Processes of Medical Care for an Ethnically Diverse Population (ME&CH), 1969-1971	box 199	folder 1871	
University of Miami (School of Medicine) - Basic Study to Improve the Processes of Medical Care for an Ethnically Diverse Population (ME&CH), 1969-1971	box 199	folder 1872	

University of Miami (School of Medicine) - Basic Study to Improve the Processes of Medical Care for an Ethnically Diverse Population (ME&CH), 1969-1971	box 199	folder 1873
University of Miami (School of Medicine) - Basic Study to Improve the Processes of Medical Care for an Ethnically Diverse Population (ME&CH), 1969-1971	box 199	folder 1874
University of Miami (School of Medicine) - Basic Study to Improve the Processes of Medical Care for an Ethnically Diverse Population (ME&CH), 1971-1972	box 200	folder 1875
University of Miami (School of Medicine) - Basic Study to Improve the Processes of Medical Care for an Ethnically Diverse Population/Support Study and Improvement of Medical Care to Ethnically Diverse Populations in the Inner City (ME&CH), 1972-1977	box 200	folder 1876
University of Miami (School of Medicine) - Basic Study to Improve the Processes of Medical Care for an Ethnically Diverse Population/Support Study and Improvement of Medical Care to Ethnically Diverse Populations in the Inner City (ME&CH), 1972-1977	box 200	folder 1877
University of Miami (School of Medicine) - Basic Study to Improve the Processes of Medical Care for an Ethnically Diverse Population/Support Study and Improvement of Medical Care to Ethnically Diverse Populations in the Inner City (ME&CH), 1972-1977	box 200	folder 1878
University of Miami (School of Medicine) - Basic Study to Improve the Processes of Medical Care for an Ethnically Diverse Population/Support Study and Improvement of Medical Care to Ethnically Diverse Populations in the Inner City (ME&CH), 1972-1977	box 200	folder 1879
University of Miami (School of Medicine) - Basic Study to Improve the Processes of Medical Care for an Ethnically Diverse Population/Support Study and Improvement of Medical Care to Ethnically Diverse Populations in the Inner City (ME&CH), 1972-1977	box 200	folder 1880
University of Miami (School of Medicine) - Basic Study to Improve the Processes of Medical Care for an Ethnically Diverse Population/Support Study and Improvement of Medical Care to Ethnically Diverse Populations in the Inner City (ME&CH), 1972-1977	box 200	folder 1881
University of Miami (School of Medicine) - Basic Study to Improve the Processes of Medical Care for an Ethnically Diverse Population/Support Study and Improvement of Medical Care to Ethnically Diverse Populations in the Inner City (ME&CH), 1972-1977	box 200	folder 1882
University of Miami (School of Medicine) - Basic Study and Support of the Medical Care for Ethnically Diverse Populations of the Inner City (ME&CH), 1978-1980	box 201	folder 1883

University of Miami (School of Medicine) - Basic Study and Support of the Medical Care for Ethnically Diverse Populations of the Inner City - Project Report (ME&CH), December 15, 1978	box 201	folder 1884
University of Miami (School of Medicine) - Basic Study and Support of the Medical Care for Ethnically Diverse Populations of the Inner City - Project Report (ME&CH), December 15, 1978	box 201	folder 1885
University of Miami (School of Medicine) - Basic Study and Support of the Medical Care for Ethnically Diverse Populations of the Inner City - Project Report (ME&CH), December 15, 1978	box 201	folder 1886
University of Miami (School of Medicine) - Basic Study and Support of the Medical Care for Ethnically Diverse Populations of the Inner City - Project Report (ME&CH), December 15, 1978	box 201	folder 1887
University of Miami (School of Medicine) - Basic Study and Support of the Medical Care for Ethnically Diverse Populations of the Inner City - Project Report (ME&CH), December 15, 1978	box 201	folder 1888
University of Miami (School of Medicine) - National Center for Faculty Development in the Health Professions, 1979-1980	box 201	folder 1889
University of Miami (School of Medicine) - National Center for Faculty Development in the Health Professions, 1979-1980	box 201	folder 1890
University of Miami (School of Medicine) - National Center for Faculty Development in the Health Professions, 1980-1983	box 202	folder 1891
University of Miami (School of Medicine) - National Center for Faculty Development in the Health Professions, 1980-1983	box 202	folder 1892
University of Miami (School of Medicine) - Preparation of a New Curriculum (ME&CH), 1965-1972	box 202	folder 1893
University of Miami (School of Medicine) - Program to Prepare Doctoral Degree Holders in the Sciences as Physicians, 1971-1979	box 202	folder 1894
University of Miami (School of Medicine) - Program to Prepare Doctoral Degree Holders in the Sciences as Physicians, 1971-1979	box 202	folder 1895
University of Miami (School of Medicine) - Program to Prepare Doctoral Degree Holders in the Sciences as Physicians, 1971-1979	box 202	folder 1896
University of Miami (School of Medicine) - Program to Prepare Doctoral Degree Holders in the Sciences as Physicians - Auxiliary Report, June 4, 1971	box 202	folder 1897
University of Miami (School of Medicine) - Program to Prepare Doctoral Degree Holders in the Sciences as Physicians - Auxiliary Report, June 4, 1971	box 202	folder 1898
University of Miami (School of Medicine) - Program to Prepare Doctoral Degree Holders in the Sciences as Physicians - Auxiliary Report, June 4, 1971	box 202	folder 1899
Michigan Department of Health - Pneumonia Study, 1936-1938	box 202	folder 1900
Michigan Department of Health - Pneumonia Study, 1936-1938	box 202	folder 1901

Michigan Department of Health - Pneumonia Study, 1936-1938	box 202	folder 1902
Michigan Department of Health - Pneumonia Study, 1939-1958	box 203	folder 1903
Michigan Department of Health - Pneumonia Study, 1939-1958	box 203	folder 1904
Michigan Department of Health - Pneumonia Study, 1939-1958	box 203	folder 1905
Michigan Department of Health - Pneumonia Study, 1939-1958	box 203	folder 1906
Michigan Department of Health - Pneumonia Study, 1939-1958	box 203	folder 1907
Michigan Department of Health - Pneumonia Study, 1939-1958	box 203	folder 1908
Michigan Department of Health - Pneumonia Study - Financial Data, 1936-1939	box 203	folder 1909
Michigan Department of Health - Pneumonia Study - Financial Data, 1936-1939	box 203	folder 1910
Michigan Department of Health - Pneumonia Study - Financial Data, 1940-1943	box 204	folder 1911
Michigan Department of Health - Pneumonia Study - Progress Reports, 1936-1940	box 204	folder 1912
Michigan Department of Health - Pneumonia Study - Progress Reports, 1936-1940	box 204	folder 1913
Michigan Department of Health - Pneumonia Study - Progress Reports, 1936-1940	box 204	folder 1914
Michigan Department of Health - Pneumonia Study - Progress Reports, 1936-1940	box 204	folder 1915
Michigan Department of Health - Pneumonia Study - Progress Reports, 1936-1940	box 204	folder 1916
Michigan State College of Agriculture - Central Brucella Station and Culture Collection, 1929-1932	box 204	folder 1917
Michigan State College of Agriculture - Central Brucella Station and Culture Collection, 1929-1932	box 204	folder 1918
Michigan State College of Agriculture - Central Brucella Station and Culture Collection, 1932-1936	box 205	folder 1919
Michigan State University (College of Human Medicine) - Educational Research and Evaluation (ME&CH), 1964-1978	box 205	folder 1920
Michigan State University (College of Human Medicine) - Educational Research and Evaluation (ME&CH), 1964-1978	box 205	folder 1921
Michigan State University (College of Human Medicine) - Educational Research and Evaluation (ME&CH), 1964-1978	box 205	folder 1922
Michigan State University (College of Human Medicine) - Educational Research and Evaluation (ME&CH), 1964-1978	box 205	folder 1923
Michigan State University (College of Human Medicine) - Educational Research and Evaluation (ME&CH), 1964-1978	box 205	folder 1924

Michigan State University - Study to Develop Integrated Programs of Teaching and Research in Medicine, Biological Sciences and Related Areas, 1960-1965  Michigan State University - Study to Develop Integrated Programs of Teaching and Research in Medicine, Biological Sciences and Related Areas, 1960-1965  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education, 1979-1982  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education, 1979-1982  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education, 1979-1982  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education, 1979-1982  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education - Final Report, June 3, 1983  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education - Final Report, June 3, 1983  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education - Final Report, June 3, 1983  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education - Final Report, June 3, 1983  University of Michigan - Tuberculosis Research, 1928-1931  box 206 folder 1932  Inversity of Michigan - Tuberculosis Research, 1928-1931  box 206 folder 1933		
of Teaching and Research in Medicine, Biological Sciences and Related Areas, 1960-1965  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education, 1979-1982  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education, 1979-1982  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education, 1979-1982  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education - Final Report, June 3, 1983  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education - Final Report, June 3, 1983  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education - Final Report, June 3, 1983  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education - Final Report, June 3, 1983  University of Michigan - Tuberculosis Research, 1928-1931  box 206 folder 1932  University of Michigan - Tuberculosis Research, 1928-1931  box 206 folder 1933	ching and Research in Medicine, Biological Sciences and	folder 1925
University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education, 1979-1982  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education, 1979-1982  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education, 1979-1982  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education - Final Report, June 3, 1983  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education - Final Report, June 3, 1983  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education - Final Report, June 3, 1983  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education - Final Report, June 3, 1983  University of Michigan - Tuberculosis Research, 1928-1931  box 206  folder 1932  folder 1932	ching and Research in Medicine, Biological Sciences and	folder 1926
University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education, 1979-1982  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education - Final Report, June 3, 1983  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education - Final Report, June 3, 1983  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education - Final Report, June 3, 1983  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education - Final Report, June 3, 1983  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education - Final Report, June 3, 1983  University of Michigan - Tuberculosis Research, 1928-1931  box 206 folder 1933		folder 1927
Accelerate Liberal Arts and Medical Education, 1979-1982  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education - Final Report, June 3, 1983  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education - Final Report, June 3, 1983  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education - Final Report, June 3, 1983  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education - Final Report, June 3, 1983  University of Michigan - Tuberculosis Research, 1928-1931  box 206  folder 1933		folder 1928
Accelerate Liberal Arts and Medical Education - Final Report, June 3, 1983  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education - Final Report, June 3, 1983  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education - Final Report, June 3, 1983  University of Michigan - Tuberculosis Research, 1928-1931  box 206  folder 1932  folder 1933		folder 1929
Accelerate Liberal Arts and Medical Education - Final Report, June 3, 1983  University of Michigan - Study of Program that Combine and Accelerate Liberal Arts and Medical Education - Final Report, June 3, 1983  University of Michigan - Tuberculosis Research, 1928-1931 box 206 folder 1933	rate Liberal Arts and Medical Education - Final Report,	folder 1930
Accelerate Liberal Arts and Medical Education - Final Report, June 3, 1983  University of Michigan - Tuberculosis Research, 1928-1931 box 206 folder 1933	rate Liberal Arts and Medical Education - Final Report,	folder 1931
	rate Liberal Arts and Medical Education - Final Report,	folder 1932
He was a filler 1024	rsity of Michigan - Tuberculosis Research, 1928-1931	folder 1933
University of Minnesota - Human Growth and Developmental box 206 folder 1934 Structure Study, 1937-1942	rsity of Minnesota - Human Growth and Developmental are Study, 1937-1942	folder 1934
University of Minnesota - Human Growth and Developmental box 206 folder 1935 Structure Study - Financial Data, 1937-1939		folder 1935
University of Minnesota - Human Growth and Developmental box 207 folder 1936 Structure Study - Financial Data, 1940-1942	•	folder 1936
University of Minnesota (Medical School) - Developing an box 207 folder 1937 Education Program in Human Sexuality, February-November, 1971		folder 1937
University of Minnesota (Medical School) - Developing an box 207 folder 1938  Education Program in Human Sexuality/Teaching and Research  Program in Human Sexuality for Students In Medical and Other  Professions, 1972-1979	ion Program in Human Sexuality/Teaching and Research m in Human Sexuality for Students In Medical and Other	folder 1938
University of Minnesota (Medical School) - Developing an box 207 folder 1939 Education Program in Human Sexuality/Teaching and Research Program in Human Sexuality for Students In Medical and Other Professions, 1972-1979	ion Program in Human Sexuality/Teaching and Research m in Human Sexuality for Students In Medical and Other	folder 1939
University of Minnesota (Medical School) - Developing an box 207 folder 1940 Education Program in Human Sexuality/Teaching and Research Program in Human Sexuality for Students In Medical and Other Professions, 1972-1979	ion Program in Human Sexuality/Teaching and Research m in Human Sexuality for Students In Medical and Other	folder 1940

University of Minnesota (Medical School) - Developing an Education Program in Human Sexuality/Teaching and Research Program in Human Sexuality for Students In Medical and Other Professions, 1972-1979	box 207	folder 1941
University of Minnesota (Medical School) - Developing an Education Program in Human Sexuality/Teaching and Research Program in Human Sexuality for Students In Medical and Other Professions, 1972-1979	box 207	folder 1942
University of Minnesota (Medical School) - Developing an Education Program in Human Sexuality/Teaching and Research Program in Human Sexuality for Students In Medical and Other Professions, 1972-1979	box 207	folder 1943
University of Minnesota (Medical School) - Developing an Education Program in Human Sexuality/Teaching and Research Program in Human Sexuality for Students In Medical and Other Professions - Auxiliary Report, 1971-1972	box 207	folder 1944
University of Minnesota (Medical School) - Developing an Education Program in Human Sexuality/Teaching and Research Program in Human Sexuality for Students In Medical and Other Professions - Auxiliary Reports, 1972-1975	box 208	folder 1945
University of Minnesota (Medical School) - Developing an Education Program in Human Sexuality/Teaching and Research Program in Human Sexuality for Students In Medical and Other Professions - Auxiliary Reports, 1972-1975	box 208	folder 1946
University of Minnesota (Medical School) - Developing an Education Program in Human Sexuality/Teaching and Research Program in Human Sexuality for Students In Medical and Other Professions - Auxiliary Reports, 1972-1975	box 208	folder 1947
University of Minnesota (Medical School) - Developing an Education Program in Human Sexuality/Teaching and Research Program in Human Sexuality for Students In Medical and Other Professions - Auxiliary Reports, 1972-1975	box 208	folder 1948
University of Minnesota (Medical School) - Developing an Education Program in Human Sexuality/Teaching and Research Program in Human Sexuality for Students In Medical and Other Professions - Auxiliary Reports, 1972-1975	box 208	folder 1949
University of Minnesota (Medical School) - Developing an Education Program in Human Sexuality/Teaching and Research Program in Human Sexuality for Students In Medical and Other Professions - Auxiliary Reports, 1972-1975	box 208	folder 1950
University of Minnesota (Medical School) - Developing an Education Program in Human Sexuality/Teaching and Research Program in Human Sexuality for Students In Medical and Other Professions - Auxiliary Reports, 1972-1975	box 208	folder 1951
University of Minnesota (Medical School) - Developing an Education Program in Human Sexuality/Teaching and Research Program in Human Sexuality for Students In Medical and Other Professions - Auxiliary Reports, 1972-1975	box 208	folder 1952

University of Minnesota - Postgraduate Medical Education, 1937-1943	box 209	folder 1953	
University of Minnesota - Postgraduate Medical Education, 1937-1943	box 209	folder 1954	
University of Minnesota - Postgraduate Medical Education, 1937-1943	box 209	folder 1955	
University of Minnesota - Postgraduate Medical Education - Financial Data, 1938-1943	box 209	folder 1956	
University of Minnesota - Postgraduate Medical Education - Financial Data, 1938-1943	box 209	folder 1957	
University of Minnesota - Postgraduate Medical Education/ Experimental Course in Internal Medicine - Financial Data, 1943-1945	box 209	folder 1958	
University of Minnesota - Standardizing the Point Performance Scale, 1923-1928	box 209	folder 1959	
Mississippi State Medical Association - Postgraduate Instruction in Prenatal and Postnatal Care, 1934-1937	box 209	folder 1960	
Mississippi State Medical Association - Postgraduate Instruction in Prenatal and Postnatal Care, 1934-1937	box 209	folder 1961	
Mississippi State Medical Association - Postgraduate Instruction in Prenatal and Postnatal Care, 1934-1937	box 209	folder 1962	
Mississippi State Medical Association - Postgraduate Instruction in Prenatal and Postnatal Care, 1934-1937	box 209	folder 1963	
Mississippi State Medical Association - Postgraduate Instruction in Prenatal and Postnatal Care - Financial Data, 1934-1937	box 210	folder 1964	
Mississippi State Medical Association - Postgraduate Instruction in Prenatal and Postnatal Care - Financial Data, 1934-1937	box 210	folder 1965	
Mississippi State Medical Association - Postgraduate Instruction in Prenatal and Postnatal Care - Financial Data, 1934-1937	box 210	folder 1966	
University of Mississippi (Medical Center) - Printing of Patient Information Program, 1968-1974	box 210	folder 1967	
University of Missouri - Biological Study of the Effect of Radiation upon Organisms, 1928-1932	box 210	folder 1968	
University of Missouri - Biological Study of the Effect of Radiation upon Organisms, 1928-1932	box 210	folder 1969	
University of Missouri - Biological Study of the Effect of Radiation upon Organisms, 1928-1932	box 210	folder 1970	
University of Missouri - Biological Study of the Effect of Radiation upon Organisms, 1928-1932	box 210	folder 1971	
University of Missouri - Biological Study of the Effect of Radiation upon Organisms, 1928-1932	box 210	folder 1972	

University of Missouri - Biological Study of the Effect of Radiation upon Organisms, 1928-1932	box 210	folder 1973	
University of Missouri - Biological Study of the Effect of Radiation upon Organisms, 1932-1936	box 211	folder 1974	
University of Missouri - Biological Study of the Effect of Radiation upon Organisms, 1932-1936	box 211	folder 1975	
University of Missouri - Field Experience of Medical Students, 1972-1973	box 211	folder 1976	
University of Missouri - Kansas City School of Medicine Symposium, 1977-1980	box 211	folder 1977	
University of Missouri - Kansas City School of Medicine Symposium, 1977-1980	box 211	folder 1978	
Montefiore Hospital - Extension of Home Care Plan (EHP), 1948-1952	box 211	folder 1979	
Montefiore Hospital - Extension of Home Care Plan (EHP), 1948-1952	box 211	folder 1980	
Montefiore Hospital - Extension of Home Care Plan (EHP), 1948-1952	box 211	folder 1981	
Montefiore Hospital - Extension of Home Care Plan (EHP), 1948-1952	box 211	folder 1982	
Montefiore Hospital - Extension of Home Care Plan (EHP), 1953-1960	box 212	folder 1983	
Montefiore Hospital - Extension of Home Care Plan - Financial Data (EHP), 1949-1951	box 212	folder 1984	
Montreal General Hospital-McGill University - Survey of New Developments in the Teaching of Comprehensive Medicine in the United States (ME), 1952-1958	box 212	folder 1985	
Montreal General Hospital-McGill University - Survey of New Developments in the Teaching of Comprehensive Medicine in the United States (ME), 1952-1958	box 212	folder 1986	
University of Montreal (School of Medicine) - Endocrine Factors in Chronic Diseases Study (ME), 1944-1950	box 212	folder 1987	
Scope and Contents note			
Formerly a McGill University Grant.			
University of Montreal (School of Medicine) - Endocrine Factors in Chronic Diseases Study (ME), 1944-1950	box 212	folder 1988	
<b>Scope and Contents note</b>			
Formerly a McGill University Grant.			
University of Montreal (School of Medicine) - Endocrine Factors in Chronic Diseases Study (ME), 1944-1950	box 212	folder 1989	

# **Scope and Contents note**

Formerly a McGill University Grant.

Morgan (Pierpont) Library, New York City - General Support, 1960-1961	box 212	folder 1990
Mount Desert Island Hospital - Memorial to Barry C. Smith, 1960-1962	box 212	folder 1991
Mount Sinai Hospital Laboratories (Division of Bacteriology) - New Antibacterial Agent Study (MR), 1947-1951	box 212	folder 1992
Mount Sinai Hospital Laboratories (Division of Bacteriology) - New Antibacterial Agent Study (MR), 1947-1951	box 212	folder 1993
Mount Sinai Hospital Laboratories (Division of Bacteriology) - New Antibacterial Agent Study (MR), 1947-1951	box 212	folder 1994
Mount Sinai School of Medicine - Center to Study and Advance the Integration of Service, Education and Research in Prepaid Group Practice, 1972-1982	box 213	folder 1995
Mount Sinai School of Medicine - Center to Study and Advance the Integration of Service, Education and Research in Prepaid Group Practice, 1972-1982	box 213	folder 1996
Mount Sinai School of Medicine - Center to Study and Advance the Integration of Service, Education and Research in Prepaid Group Practice - Auxiliary Report, 1973-1974	box 213	folder 1997
Mount Sinai School of Medicine - Department of Psychiatry Imagination Workshop, 1976-1979	box 213	folder 1998
Mount Sinai School of Medicine - Development of Basic-Sciences Faculty (ME&CH), 1966-1974	box 213	folder 1999
Mount Sinai School of Medicine - Development of Basic-Sciences Faculty (ME&CH), 1966-1974	box 213	folder 2000
Mount Sinai School of Medicine - Development of Basic-Sciences Faculty (ME&CH), 1966-1974	box 213	folder 2001
Mount Sinai School of Medicine - Development of Health Care Systems in East Harlem and Other Urban Communities (ME&CH), January-May, 1969	box 213	folder 2002
Mount Sinai School of Medicine - Development of Health Care Systems in East Harlem and Other Urban Communities (ME&CH), 1969-1977	box 214	folder 2003
Mount Sinai School of Medicine - Development of Health Care Systems in East Harlem and Other Urban Communities (ME&CH), 1969-1977	box 214	folder 2004
Mount Sinai School of Medicine - Development of Health Care Systems in East Harlem and Other Urban Communities (ME&CH), 1969-1977	box 214	folder 2005

Mount Sinai School of Medicine - Development of Health Care Systems in East Harlem and Other Urban Communities - Reports (ME&CH), 1970-1975	box 214	folder 2006
Mount Sinai School of Medicine - Development of Health Care Systems in East Harlem and Other Urban Communities - Reports (ME&CH), 1970-1975	box 214	folder 2007
Mount Sinai School of Medicine - Development of Health Care Systems in East Harlem and Other Urban Communities - Reports (ME&CH), 1970-1975	box 214	folder 2008
Mount Sinai School of Medicine - Development of Health Care Systems in East Harlem and Other Urban Communities - Reports (ME&CH), 1970-1975	box 214	folder 2009
Mount Sinai School of Medicine - Development of Health Care Systems in East Harlem and Other Urban Communities - Reports (ME&CH), 1970-1975	box 214	folder 2010
Mount Sinai School of Medicine - Development of Health Care Systems in East Harlem and Other Urban Communities - Reports (ME&CH), 1970-1975	box 214	folder 2011
Mount Sinai School of Medicine - Development of Health Care Systems in East Harlem and Other Urban Communities - Reports (ME&CH), 1970-1975	box 215	folder 2012
Mount Sinai School of Medicine - Development of Health Care Systems in East Harlem and Other Urban Communities - Reports (ME&CH), 1970-1975	box 215	folder 2013
Mount Sinai School of Medicine - Development of Health Care Systems in East Harlem and Other Urban Communities - Reports (ME&CH), 1970-1975	box 215	folder 2014
Mount Sinai School of Medicine - Development of Health Care Systems in East Harlem and Other Urban Communities - Reports (ME&CH), 1970-1975	box 215	folder 2015
Mount Sinai School of Medicine - Development of Health Care Systems in East Harlem and Other Urban Communities - Reports (ME&CH), 1970-1975	box 215	folder 2016
Mount Sinai School of Medicine - Development of Health Care Systems in East Harlem and Other Urban Communities - Reports (ME&CH), 1970-1975	box 215	folder 2017
Mount Sinai School of Medicine - Development of Health Policy Implications of the Department of Community Medicine Projects, 1976-1979	box 215	folder 2018
Mount Sinai School of Medicine - Development of Health Policy Implications of the Department of Community Medicine Projects, 1976-1979	box 215	folder 2019
Mount Sinai School of Medicine - Development of Health Policy Implications of the Department of Community Medicine Projects, 1976-1979	box 215	folder 2020

Mount Sinai School of Medicine - Improvement of Service, Teaching and Research in the Outpatient Department of Elmhurst Hospital Center, 1974-1975	box 215	folder 2021
Mount Sinai School of Medicine - Improvement of Service, Teaching and Research in the Outpatient Department of Elmhurst Hospital Center, 1974-1975	box 215	folder 2022
Mount Sinai School of Medicine - Improvement of Service, Teaching and Research in the Outpatient Department of Elmhurst Hospital Center, 1975-1979	box 216	folder 2023
Mount Sinai School of Medicine - Improvement of Service, Teaching and Research in the Outpatient Department of Elmhurst Hospital Center, 1975-1979	box 216	folder 2024
Mount Sinai School of Medicine - Improvement of Service, Teaching and Research in the Outpatient Department of Elmhurst Hospital Center, 1975-1979	box 216	folder 2025
Mount Sinai School of Medicine - Improvement of Service, Teaching and Research in the Outpatient Department of Elmhurst Hospital Center, 1975-1979	box 216	folder 2026
Mount Sinai School of Medicine - Improvement of Service, Teaching and Research in the Outpatient Department of Elmhurst Hospital Center, 1975-1979	box 216	folder 2027
Mount Sinai School of Medicine - Improvement of Service, Teaching and Research in the Outpatient Department of Elmhurst Hospital Center, 1975-1979	box 216	folder 2028
Mount Sinai School of Medicine - Library Facilities (ME&CH), 1965-1970	box 216	folder 2029
Mount Sinai School of Medicine - Project to Improve Health Care in East Harlem Handbook, 1972-1976	box 216	folder 2030
Mount Zion Hospital and Medical Center - Model Program to Train a New Category of Practitioner in the Field of Mental Health, 1972-1973	box 216	folder 2031
Mount Zion Hospital and Medical Center - Model Program to Train a New Category of Practitioner in the Field of Mental Health, 1972-1973	box 216	folder 2032
Mount Zion Hospital and Medical Center - Model Program to Train a New Category of Practitioner in the Field of Mental Health, 1972-1973	box 216	folder 2033
Mount Zion Hospital and Medical Center - Model Program to Train a New Category of Practitioner in the Field of Mental Health, 1973-1979	box 217	folder 2034
Mount Zion Hospital and Medical Center - Model Program to Train a New Category of Practitioner in the Field of Mental Health, 1973-1979	box 217	folder 2035

Mount Zion Hospital and Medical Center - Model Program to Train a New Category of Practitioner in the Field of Mental Health, 1973-1979	box 217	folder 2036	
Mount Zion Hospital and Medical Center - Model Program to Train a New Category of Practitioner in the Field of Mental Health, 1973-1979	box 217	folder 2037	
Mount Zion Hospital and Medical Center - Model Program to Train a New Category of Practitioner in the Field of Mental Health, 1973-1979	box 217	folder 2038	
Mount Zion Hospital and Medical Center - Model Program to Train a New Category of Practitioner in the Field of Mental Health, 1973-1979	box 217	folder 2039	
Murray State Teachers College - General Support, April-August, 1944	box 217	folder 2040	
Museum of the City of New York - Capital-Fund Drive, 1971-1974	box 217	folder 2041	
Nassau County Council of Boy Scouts of America - General Support, 1950-1963	box 217	folder 2042	
National Academy of Sciences - Development of the Initial Program of the Academy's Newly-Established Board of Medicine (ME&CH), 1967-1968	box 217	folder 2043	
National Academy of Sciences - Development of the Initial Program of the Academy's Newly-Established Board of Medicine (ME&CH), 1967-1968	box 217	folder 2044	
National Academy of Sciences - Development of the Initial Program of the Academy's Newly-Established Board of Medicine (ME&CH), 1967-1968	box 217	folder 2045	
National Academy of Sciences - Development of the Initial Program of the Academy's Newly-Established Board of Medicine (ME&CH), May-August, 1970	box 218	folder 2046	
National Academy of Sciences - Establishing a Pilot Breeding Colony of Dwarf Chimpanzees (Pan Pansicus) in Zaire, 1972-1975	box 218	folder 2047	
National Academy of Sciences - Expansion of Endowment for Unrestricted Operating Income (ME&CH), 1966-1969	box 218	folder 2048	
National Academy of Sciences - Institute of Medicine - Conference on Death with Dignity, 1972-1973	box 218	folder 2049	
National Academy of Sciences - Institute of Medicine - General Support, 1969-1975	box 218	folder 2050	
National Academy of Sciences - Institute of Medicine - General Support, 1969-1975	box 218	folder 2051	
National Academy of Sciences - Institute of Medicine - General Support, 1969-1975	box 218	folder 2052	

National Academy of Sciences - Institute of Medicine - General Support, 1969-1975  National Academy of Sciences - Institute of Medicine - General Support, 1969-1975  National Academy of Sciences - Institute of Medicine - General Support, 1969-1975	box 218	folder 2053 folder 2054	
Support, 1969-1975  National Academy of Sciences - Institute of Medicine - General	box 218	folder 2054	
· · · · · · · · · · · · · · · · · · ·			
	box 218	folder 2055	
National Academy of Sciences - Institute of Medicine - General Support, 1969-1975	box 218	folder 2056	
National Academy of Sciences - Institute of Medicine - General Support, 1976-1980	box 219	folder 2057	
National Academy of Sciences - Institute of Medicine - General Support, 1976-1980	box 219	folder 2058	
National Academy of Sciences - Institute of Medicine - General Support, 1976-1980	box 219	folder 2059	
National Academy of Sciences - Institute of Medicine - General Support, 1976-1980	box 219	folder 2060	
National Academy of Sciences - Institute of Medicine - General Support, 1976-1980	box 219	folder 2061	
National Academy of Sciences - Institute of Medicine - Institute "Fellow" Staff Assistance to the Committee on Education in the Health Profession, 1973-1975	box 219	folder 2062	
National Academy of Sciences - Institute of Medicine - Scholar-in-Residence, 1973-1978	box 219	folder 2063	
National Academy of Sciences - Institute of Medicine - Visit of Chinese Physicians to U.S., 1972-1974	box 219	folder 2064	
National Academy of Sciences - Military Medical Department Study, 1967-1974	box 219	folder 2065	
National Association for Mental Health, Inc General Support (MISC), 1950-1951	box 219	folder 2066	
National Association for Mental Health, Inc General Support (MISC), 1952-1953	box 220	folder 2067	
National Association for the Advancement of Colored People, 1919-1920	box 220	folder 2068	
National Association for the Southern Poor - General Support, 1976-1981	box 220	folder 2069	
National Association for the Southern Poor - General Support, 1976-1981	box 220	folder 2070	
National Association for the Southern Poor - General Support,	box 220	folder 2071	
1976-1981			

National Association of Travelers Aid Societies - General Support, 1921-1928	box 220	folder 2073	
National Association of Travelers Aid Societies - General Support, 1921-1928	box 220	folder 2074	
National Board of Medical Examiners - Evaluation of the Medical School Performance (ME&CH), October 9, 1963	box 220	folder 2075	
National Board of Medical Examiners - Evaluation of the Medical School Performance (ME&CH), October 9, 1963	box 220	folder 2076	
National Board of Medical Examiners - Evaluation of the Medical School Performance (ME&CH), 1963-1968	box 221	folder 2077	
National Board of Medical Examiners - General Support, 1924-1926	box 221	folder 2078	
National Board of Medical Examiners - Invitational Conference on An International View of Qualification for Medical Practice 1979, 1975-1977	box 221	folder 2079	
National Board of Medical Examiners - National Examination System for the Evaluation and Certification of Physicians Assistants, 1972-1974	box 221	folder 2080	
National Board of Medical Examiners - Publication of the Proceedings of 1976 Annual Conference, 1976-1977	box 221	folder 2081	
National Board of Medical Examiners - Research Program in Educational Testing and Measurement (ME&CH), 1966-1969	box 221	folder 2082	
National Board of Medical Examiners - Research Program in Educational Testing and Measurement (ME&CH), 1966-1969	box 221	folder 2083	
National Board of Medical Examiners - Research Program in Educational Testing and Measurement (ME&CH), 1966-1969	box 221	folder 2084	
National Board of Medical Examiners - Research Program in Educational Testing and Measurement (ME&CH), 1966-1969	box 221	folder 2085	
National Board of Medical Examiners - Research Program in Educational Testing and Measurement (ME&CH), 1969-1974	box 222	folder 2086	
National Board of Medical Examiners - Research Program in Educational Testing and Measurement - Auxiliary Reports (ME&CH), 1966-1973	box 222	folder 2087	
National Board of Medical Examiners - Review of the Part II Examination, 1962-1976	box 222	folder 2088	
National Board of Medical Examiners - Review of the Part II Examination, 1962-1976	box 222	folder 2089	
National Board of Medical Examiners - Review of the Part II Examination, 1962-1976	box 222	folder 2090	
National Board of Medical Examiners/American Board of Internal Medicine - Development and Validation of a Computer-based System to Teach and Test Clinical Competency, 1970-1975	box 222	folder 2091	

National Board of Medical Examiners/American Board of Internal Medicine - Development and Validation of a Computer-based System to Teach and Test Clinical Competency, 1970-1975	box 222	folder 2092
National Board of Medical Examiners/American Board of Internal Medicine - Development and Validation of a Computer-based System to Teach and Test Clinical Competency, 1970-1975	box 222	folder 2093
National Board of Medical Examiners/American Board of Internal Medicine - Development and Validation of a Computer-based System to Teach and Test Clinical Competency, 1970-1975	box 222	folder 2094
National Board of Medical Examiners/American Board of Internal Medicine - Development and Validation of a Computer-based System to Teach and Test Clinical Competency, September- November, 1976	box 223	folder 2095
National Bureau of Economic Research - Establishment of a Program in Health Economics (ME&CH), 1966-1978	box 223	folder 2096
National Bureau of Economic Research - Establishment of a Program in Health Economics (ME&CH), 1966-1978	box 223	folder 2097
National Bureau of Economic Research - Establishment of a Program in Health Economics (ME&CH), 1966-1978	box 223	folder 2098
National Bureau of Economic Research - General Support, 1919-1923	box 223	folder 2099
National Center for Health Education - General Support, 1979-1982	box 223	folder 2100
National Child Health Council - General Support, 1920-1922	box 223	folder 2101
National Child Labor Committee - General Support, 1919-1920	box 223	folder 2102
National Civil Service Reform - General Support, 1921-1924	box 223	folder 2103
National Commission on Accrediting - Establishment of a Study Committee to Reform the Accreditation Process in the Education of Allied Health Manpower (ME&CH), February-August, 1970	box 223	folder 2104
National Commission on Accrediting - Establishment of a Study Committee to Reform the Accreditation Process in the Education of Allied Health Manpower (ME&CH), February-August, 1970	box 223	folder 2105
National Commission on Accrediting - Establishment of a Study Committee to Reform the Accreditation Process in the Education of Allied Health Manpower (ME&CH), 1970-1974	box 224	folder 2106
National Commission on Accrediting - Establishment of a Study Committee to Reform the Accreditation Process in the Education of Allied Health Manpower (ME&CH), 1970-1974	box 224	folder 2107
National Commission on Accrediting - Establishment of a Study Committee to Reform the Accreditation Process in the Education of Allied Health Manpower (ME&CH), 1970-1974	box 224	folder 2108

National Commission on Accrediting - Establishment of a Study Committee to Reform the Accreditation Process in the Education of Allied Health Manpower (ME&CH), 1970-1974	box 224	folder 2109	
National Commission on Accrediting - Establishment of a Study Committee to Reform the Accreditation Process in the Education of Allied Health Manpower (ME&CH), 1970-1974	box 224	folder 2110	
National Commission on Accrediting - Establishment of a Study Committee to Reform the Accreditation Process in the Education of Allied Health Manpower (ME&CH), 1970-1974	box 224	folder 2111	
National Commission on Accrediting - Establishment of a Study Committee to Reform the Accreditation Process in the Education of Allied Health Manpower (ME&CH), 1970-1974	box 224	folder 2112	
National Commission on Accrediting - Establishment of a Study Committee to Reform the Accreditation Process in the Education of Allied Health Manpower (ME&CH), 1970-1974	box 224	folder 2113	
National Commission on Accrediting - Study Program to Reform the Accreditation Process in Allied Health Professions, 1970-1971	box 224	folder 2114	
National Commission on Community Health Services - Publication of Reports (ME&CH), 1963-1965	box 225	folder 2115	
National Commission on Community Health Services - Publication of Reports (ME&CH), 1963-1965	box 225	folder 2116	
National Commission on Community Health Services - Publication of Reports (ME&CH), 1963-1965	box 225	folder 2117	
National Commission on Community Health Services - Publication of Reports (ME&CH), 1963-1965	box 225	folder 2118	
National Commission on Community Health Services - Publication of Reports (ME&CH), 1963-1965	box 225	folder 2119	
National Committee for Mental Hygiene - General Support, 1918-1926	box 225	folder 2120	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund: Division of Community Clinics Series, Boxes 1-4; Institute for Child Guidance Series, Boxes 1-2; Mental Hygiene Program Series, Box 1, Folders 15-16, Box 3, Folder 36-48, Boxes 4-5, Folders 53-71, Box 14, Folders 214-222, Boxes 17-18, Folders 260-276; Program for the Prevention of Delinquency Series, Boxes 1-2.			
National Committee for Mental Hygiene - General Support, 1918-1926	box 225	folder 2121	

- Page 132-

See Commonwealth Fund: Division of Community Clinics Series, Boxes 1-4; Institute for Child Guidance Series, Boxes 1-2; Mental Hygiene Program Series, Box 1, Folders 15-16, Box 3, Folder 36-48, Boxes 4-5, Folders 53-71, Box 14, Folders 214-222, Boxes 17-18, Folders 260-276; Program for the Prevention of Delinquency Series, Boxes 1-2.

National Committee for Mental Hygiene - Psychiatric Education, 1929-1931	box 225	folder 2122
National Committee for Mental Hygiene - Psychiatric Education, 1929-1931	box 225	folder 2123
National Committee for Mental Hygiene - Psychiatric Fellowship, January-September, 1923	box 225	folder 2124
National Committee for Mental Hygiene - Second Traveling Clinic, May-June, 1922	box 225	folder 2125
National Committee for Responsive Philanthropy - Feasibility of Conducting a Study of the Grant Making Pattern and Priorities of the Country's Larger Foundations, 1979-1982	box 225	folder 2126
National Committee of Catholic Charities - General Support, 1926-1928	box 226	folder 2127
National Committee of Social Work - General Support, 1922-1923	box 226	folder 2128
National Conference of State Legislatures - Conference on Medical Malpractice, 1975-1976	box 226	folder 2129
National Conference on Medical Costs - General Support, April-August, 1967	box 226	folder 2130
National Conference on Nomenclature of Disease - General Support, 1929-1938	box 226	folder 2131
National Conference on Nomenclature of Disease - General Support, 1929-1938	box 226	folder 2132
National Conference on Nomenclature of Disease - General Support, 1929-1938	box 226	folder 2133
National Conference on Nomenclature of Disease - General Support, 1929-1938	box 226	folder 2134
National Conference on Nomenclature of Disease - General Support, 1929-1938	box 226	folder 2135
National Conference on Nomenclature of Disease - General Support - Financial Data, 1933-1937	box 226	folder 2136
National Council on Alcoholism - Annual Meetings, 1971-1977	box 226	folder 2137
National Council on Alcoholism - Annual Meetings, 1971-1977	box 226	folder 2138
National Council on Alcoholism - Annual Meetings, 1978-1981	box 227	folder 2139

National Council on Alcoholism - Annual Meetings, 1978-1981	box 227	folder 2140	
National Council on Alcoholism - Support of Educational Activities, 1975-1977	box 227	folder 2141	
National Council on Health Services and Education (University of Washington) - Feasibility Study of Forming a University-Based Study Center on Medical Services and Medical Education (ME&CH), 1965-1967	box 227	folder 2142	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund, Grant Series, Commonwealth Fund-Carnegie Corporation, Boxes 85-86, Folders 785-791.			
National Council on Health Services and Education (University of Washington) - Feasibility Study of Forming a University-Based Study Center on Medical Services and Medical Education (ME&CH), 1965-1967	box 227	folder 2143	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund, Grant Series, Commonwealth Fund-Carnegie Corporation, Boxes 85-86, Folders 785-791.			
National Council on Health Services and Education (University of Washington) - Feasibility Study of Forming a University-Based Study Center on Medical Services and Medical Education (ME&CH), 1965-1967	box 227	folder 2144	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund, Grant Series, Commonwealth Fund-Carnegie Corporation, Boxes 85-86, Folders 785-791.			
National Council on Health Services and Education (University of Washington) - Feasibility Study of Forming a University-Based Study Center on Medical Services and Medical Education (ME&CH), 1965-1967	box 227	folder 2145	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund, Grant Series, Commonwealth Fund-Carnegie Corporation, Boxes 85-86, Folders 785-791.			
National Council on Health Services and Education (University of Washington) - Feasibility Study of Forming a University-Based Study Center on Medical Services and Medical Education (ME&CH), 1965-1967	box 227	folder 2146	
Related Archival Materials note:			

See Commonwealth Fund, Grant Series, Commonwealth Fund-Carnegie Corporation, Boxes 85-86, Folders 785-791.

National Council on Health Services and Education (University
of Washington) - Feasibility Study of Forming a University-
Based Study Center on Medical Services and Medical Education
(ME&CH), 1965-1967

box 227

folder 2147

## Related Archival Materials note:

## **Related Archival Materials note**

See Commonwealth Fund, Grant Series, Commonwealth Fund-Carnegie Corporation, Boxes 85-86, Folders 785-791.

Tund Carnegie Corporation, Boxes 65 66, Folders 765 771.		
National Fund for Medical Education - First Year Operating Expenses (ME), 1949-1950	box 227	folder 2148
National Fund for Medical Education - First Year Operating Expenses (ME), 1949-1950	box 227	folder 2149
National Fund for Medical Education - General Support (ME), 1951-1963	box 228	folder 2150
National Fund for Medical Education - General Support (ME), 1951-1963	box 228	folder 2151
National Fund for Medical Education - General Support (ME), 1951-1963	box 228	folder 2152
National Fund for Medical Education - General Support (ME), 1951-1963	box 228	folder 2153
National Health Council, Inc Forum, 1969-1974	box 228	folder 2154
National Health Council, Inc General Support, 1921-1923	box 228	folder 2155
National Health Council, Inc In-depth Appraisal of the Council's On-going Objectives and Program Activities, 1972-1974	box 228	folder 2156
National Health Council, Inc Presidential Committee on Health Education (Publications), 1972-1976	box 228	folder 2157
National Health Council, Inc Presidential Committee on Health Education (Publications), 1972-1976	box 228	folder 2158
National Health Council, Inc Presidential Committee on Health Education (Publications), 1972-1976	box 228	folder 2159
National Health Council, Inc Presidential Committee on Health Education (Publications), 1972-1976	box 228	folder 2160
National Health Council, Inc Presidential Committee on Health Education (Publications), 1972-1976	box 228	folder 2161
National Health Council, Inc Presidential Committee on Health Education (Publications), 1972-1976	box 228	folder 2162

box 229	folder 2163	
box 229	folder 2164	
box 229	folder 2165	
box 229	folder 2166	
box 229	folder 2167	
box 229	folder 2168	
box 229	folder 2169	
box 229	folder 2170	
box 230	folder 2171	
box 230	folder 2172	
box 230	folder 2173	
box 230	folder 2174	
box 230	folder 2175	
box 230  box 230	folder 2175 folder 2176	
	box 229 box 230 box 230 box 230	box 229 folder 2164  box 229 folder 2165  box 229 folder 2166  box 229 folder 2167  box 229 folder 2168  box 229 folder 2168  box 229 folder 2169  box 229 folder 2170  box 230 folder 2171  box 230 folder 2172

- Page 136-

See Commonwealth Fund, Advanced Medical Fellowships Series, National League for Nursing, Inc., Boxes 65-68, Folders 790-817.

National League of Nursing, Inc. - Accreditation and Consultation Services for Nursing Schools, 1950-1957 box 230

folder 2177

Related Archival Materials note:

#### **Related Archival Materials note**

See Commonwealth Fund, Advanced Medical Fellowships Series, National League for Nursing, Inc., Boxes 65-68, Folders 790-817.

National League of Nursing, Inc. - Accreditation and Consultation Services for Nursing Schools, 1950-1957 box 230

folder 2178

Related Archival Materials note:

#### **Related Archival Materials note**

See Commonwealth Fund, Advanced Medical Fellowships Series, National League for Nursing, Inc., Boxes 65-68, Folders 790-817.

National League of Nursing, Inc. - Accreditation and Consultation Services for Nursing Schools, 1950-1957 box 230

folder 2179

Related Archival Materials note:

#### **Related Archival Materials note**

See Commonwealth Fund, Advanced Medical Fellowships Series, National League for Nursing, Inc., Boxes 65-68, Folders 790-817.

National League of Nursing, Inc. - Accreditation and Consultation Services for Nursing Schools, 1950-1957 box 230

folder 2180

Related Archival Materials note:

#### **Related Archival Materials note**

See Commonwealth Fund, Advanced Medical Fellowships Series, National League for Nursing, Inc., Boxes 65-68, Folders 790-817.

National League of Nursing, Inc. - Accreditation and Consultation Services for Nursing Schools, 1957-1963 box 231

folder 2181

See Commonwealth Fund, Advanced Medical Fellowships Series, National League for Nursing, Inc., Boxes 65-68, Folders 790-817.

National League of Nursing, Inc. - Accreditation and Consultation Services for Nursing Schools, 1957-1963 box 231

folder 2182

Related Archival Materials note:

#### **Related Archival Materials note**

See Commonwealth Fund, Advanced Medical Fellowships Series, National League for Nursing, Inc., Boxes 65-68, Folders 790-817.

National League of Nursing, Inc. - Accreditation and Consultation Services for Nursing Schools - Minutes and Publications, 1949-1956 box 231

folder 2183

Related Archival Materials note:

#### **Related Archival Materials note**

See Commonwealth Fund, Advanced Medical Fellowships Series, National League for Nursing, Inc., Boxes 65-68, Folders 790-817.

National League of Nursing, Inc. - Accreditation and Consultation Services for Nursing Schools - Minutes and Publications, 1949-1956 box 231

folder 2184

Related Archival Materials note:

#### **Related Archival Materials note**

See Commonwealth Fund, Advanced Medical Fellowships Series, National League for Nursing, Inc., Boxes 65-68, Folders 790-817.

National Medical Fellowship, Inc. - General Fellowship Support, 1968-1974

box 231

folder 2185

Related Archival Materials note:

## **Related Archival Materials note**

See Commonwealth Fund, Advanced Medical Fellowships Series, Boxes 68-69, Folders 818-828.

National Medical Fellowship, Inc. - General Fellowship Support, 1968-1974

box 231

folder 2186

See Commonwealth Fund, Advanced Medical Fellowships Series, Boxes 68-69, Folders 818-828.

National Medical Fellowship, Inc. - General Fellowship Support, 1968-1974

box 231

folder 2187

Related Archival Materials note:

#### **Related Archival Materials note**

See Commonwealth Fund, Advanced Medical Fellowships Series, Boxes 68-69, Folders 818-828.

National Medical Fellowship, Inc. - General Fellowship Support, 1968-1974

box 231

folder 2188

Related Archival Materials note:

#### **Related Archival Materials note**

See Commonwealth Fund, Advanced Medical Fellowships Series, Boxes 68-69, Folders 818-828.

National Medical Fellowship, Inc. - General Fellowship Support, 1968-1974

box 231

folder 2189

Related Archival Materials note:

#### **Related Archival Materials note**

See Commonwealth Fund, Advanced Medical Fellowships Series, Boxes 68-69, Folders 818-828.

National Medical Fellowship, Inc. - General Fellowship Support, 1976-1982

box 232

folder 2190

Related Archival Materials note:

#### **Related Archival Materials note**

See Commonwealth Fund, Advanced Medical Fellowships Series, Boxes 68-69, Folders 818-828.

National Medical Fellowship, Inc. - General Fellowship Support, 1976-1982

box 232

folder 2191

Related Archival Materials note:

#### **Related Archival Materials note**

See Commonwealth Fund, Advanced Medical Fellowships Series, Boxes 68-69, Folders 818-828.

National Medical Fellowship, Inc. - General Fellowship Support, 1976-1982

box 232

folder 2192

See Commonwealth Fund, Advanced Medical Fellowships Series, Boxes 68-69, Folders 818-828.

National Medical Fellowship, Inc General Fellowship Support, 1976-1982	box 232	folder 2193	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund, Advanced Medical Fellowships Series, Boxes 68-69, Folders 818-828.			
National Medical Fellowship, Inc General Fellowship Support, 1976-1982	box 232	folder 2194	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund, Advanced Medical Fellowships Series, Boxes 68-69, Folders 818-828.			
National Medical Fellowship, Inc General Fellowship Support, 1976-1982	box 232	folder 2195	
Related Archival Materials note:			
Related Archival Materials note			
See Commonwealth Fund, Advanced Medical Fellowships Series, Boxes 68-69, Folders 818-828.			
National Opinion Research Center - National Study of Public Attitudes Towards Mental Health and Illness (MISC), 1950-1953	box 232	folder 2196	
National Opinion Research Center - National Study of Public Attitudes Towards Mental Health and Illness (MISC), 1950-1953	box 232	folder 2197	
National Opinion Research Center - National Study of Public Attitudes Towards Mental Health and Illness (MISC), 1950-1953	box 232	folder 2198	
National Opinion Research Center - National Study of Public Attitudes Towards Mental Health and Illness (MISC), 1954-1963	box 233	folder 2199	
National Opinion Research Center - National Study of Public Attitudes Towards Mental Health and Illness (MISC), 1954-1963	box 233	folder 2200	
National Opinion Research Center - National Study of Public Attitudes Towards Mental Health and Illness (MISC), 1954-1963	box 233	folder 2201	
National Organization for Public Health Nursing - Administrative Practices of Nursing Organizations in the U.S. (Study), 1930-1933	box 233	folder 2202	
National Organization for Public Health Nursing - General Budget, 1934-1937	box 233	folder 2203	

National Prohibition Association - General Support, 1924-1932	box 233	folder 2205	
National Prohibition Association - General Support, 1924-1932	box 233	folder 2206	
National Prohibition Association - General Support, 1924-1932	box 233	folder 2207	
National Prohibition Association - General Support, 1924-1932	box 233	folder 2208	
National Research Council - Committee on Epidemiology - Microbiology of the Soil, June-October, 1930	box 233	folder 2209	
National Research Council - Committee on Problems of Auditory Deficiency, 1930-1931	box 233	folder 2210	
National Research Council - Experiment in Musical Education, 1922-1927	box 233	folder 2211	
National Research Council - General Support, 1919-1920	box 233	folder 2212	
National Tuberculosis Association - Tuberculosis Investigation, 1921-1922	box 234	folder 2213	
Near East College Association - American University of Beirut - Expansion of Public Health Teaching, June-October, 1949	box 234	folder 2214	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund, Grant Series, American University of Beirut, Boxes 14-15, Folders 137-145.			
Near East College Association - American University of Beirut - General Support, June 21-28, 1921	box 234	folder 2215	
Near East College Association - American University of Beirut - Visiting Professorship in Public Health, 1948-1949	box 234	folder 2216	
Near East College Association - Constantinople Woman's College and Robert College at Constantinople - General Support, 1923-1929	box 234	folder 2217	
Neurological Institute - Multiple Sclerosis, Epilepsy, and Social Research, 1919-1941	box 234	folder 2218	
Neurological Institute - Multiple Sclerosis, Epilepsy, and Social Research, 1919-1941	box 234	folder 2219	
Neurological Institute - Multiple Sclerosis, Epilepsy, and Social Research, 1919-1941	box 234	folder 2220	
Neurological Institute - Multiple Sclerosis, Epilepsy, and Social Research, 1919-1941	box 234	folder 2221	
Neurological Institute - Multiple Sclerosis, Epilepsy, and Social Research, 1919-1941	box 234	folder 2222	
Neurological Institute - Multiple Sclerosis, Epilepsy, and Social Research, 1919-1941	box 234	folder 2223	

Neurological Institute - Normal Child Development Clinic, 1932-1936	box 234	folder 2224	
Neurological Institute - Normal Child Development Clinic, 1932-1936	box 234	folder 2225	
University of Nevada - Establishment of a New School of Medicine on the Reno Campus (ME&CH), 1967-1975	box 235	folder 2226	
University of Nevada - Establishment of a New School of Medicine on the Reno Campus (ME&CH), 1967-1975	box 235	folder 2227	
University of Nevada - Establishment of a New School of Medicine on the Reno Campus (ME&CH), 1967-1975	box 235	folder 2228	
University of Nevada - Establishment of a New School of Medicine on the Reno Campus (ME&CH), 1967-1975	box 235	folder 2229	
University of Nevada - Establishment of a New School of Medicine on the Reno Campus (ME&CH), 1967-1975	box 235	folder 2230	
University of Nevada - Establishment of a New School of Medicine on the Reno Campus (ME&CH), 1967-1975	box 235	folder 2231	
University of Nevada - Establishment of a New School of Medicine on the Reno Campus (ME&CH), 1967-1975	box 235	folder 2232	
University of Nevada - Establishment of a New School of Medicine on the Reno Campus - Annual Report (ME&CH), 1966-1967	box 235	folder 2233	
University of Nevada - Establishment of a New School of Medicine on the Reno Campus - Budget Material (ME&CH), August 12, 1968	box 235	folder 2234	
University of Nevada - Establishment of a New School of Medicine on the Reno Campus - Budget Material (ME&CH), August 12, 1968	box 235	folder 2235	
University of Nevada - Establishment of a New School of Medicine on the Reno Campus - Budget Material (ME&CH), August 12, 1968	box 235	folder 2236	
University of Nevada - Establishment of a New School of Medicine on the Reno Campus - Budget Material (ME&CH), August 12, 1968	box 236	folder 2237	
University of Nevada - Establishment of a New School of Medicine on the Reno Campus - Medical Education Study (ME&CH), 1967-1968	box 236	folder 2238	
University of Nevada - Establishment of a New School of Medicine on the Reno Campus - Medical Education Study (ME&CH), 1967-1968	box 236	folder 2239	
University of Nevada - Establishment of a New School of Medicine on the Reno Campus - Medical Education Study (ME&CH), 1967-1968	box 236	folder 2240	

University of Nevada - Establishment of a New School of Medicine on the Reno Campus - Medical Education Study (ME&CH), 1967-1968	box 236	folder 2241
University of Nevada - Establishment of a New School of Medicine on the Reno Campus - Medical Education Study (ME&CH), 1967-1968	box 236	folder 2242
University of Nevada - Establishment of a New School of Medicine on the Reno Campus - Newsletters (ME&CH), 1970-1972	box 236	folder 2243
University of Nevada (Reno School of Medicine) - Establishment of a Clinical Teaching Program in Southern Nevada, 1971-1977	box 236	folder 2244
University of Nevada (Reno School of Medicine) - Payment of Administration Increases for the Medical Degree, 1975-1978	box 236	folder 2245
New Jersey Agricultural Experiment Station - Antibiotics Study, 1946-1955	box 236	folder 2246
New Jersey Agricultural Experiment Station - Antibiotics Study - Financial Data, 1942-1946	box 236	folder 2247
College of Medicine and Dentistry of New Jersey - Aid to Increase the Number of Minority Medical Students, 1971-1976	box 237	folder 2248
College of Medicine and Dentistry of New Jersey - Aid to Increase the Number of Minority Medical Students, 1971-1976	box 237	folder 2249
New Jersey Public Broadcasting Authority - Community Health Needs Broadcast Series, 1971-1972	box 237	folder 2250
New Mexico Health Foundation - Emergency Aid for Medical Care (EHS), 1951-1954	box 237	folder 2251
New Mexico Health Foundation - Emergency Aid for Medical Care (EHS), 1951-1954	box 237	folder 2252
New Mexico State Department of Health - County Nursing, 1929-1933	box 237	folder 2253
New Mexico State Department of Health - County Nursing, 1929-1933	box 237	folder 2254
New Mexico State Department of Health - County Nursing, 1929-1933	box 237	folder 2255
New Mexico State Department of Health - County Nursing, 1929-1933	box 237	folder 2256
New Mexico State Department of Health - County Nursing, 1933-1937	box 238	folder 2257
New Mexico State Department of Health - County Nursing, 1933-1937	box 238	folder 2258
New Mexico State Department of Health - County Nursing, 1933-1937	box 238	folder 2259

New Mexico State Department of Health - County Nursing, 1933-1937	box 238	folder 2260	
New Mexico State Department of Health - County Nursing - Financial Data, 1931-1935	box 238	folder 2261	
New Mexico State Department of Health - County Nursing - Financial Data, 1931-1935	box 238	folder 2262	
New Mexico State Department of Health - County Nursing - Financial Data, 1931-1935	box 238	folder 2263	
New Mexico State Department of Health - County Nursing - Financial Data, 1931-1935	box 238	folder 2264	
New Mexico State Department of Health - County Nursing - Financial Data, 1936-1937	box 239	folder 2265	
New Mexico State Department of Health - County Nursing - Quarterly Reports, 1930-1937	box 239	folder 2266	
New Mexico State Department of Health - County Nursing - Quarterly Reports, 1930-1937	box 239	folder 2267	
New Mexico State Department of Health - County Nursing - Quarterly Reports, 1930-1937	box 239	folder 2268	
New Mexico State Department of Health - County Nursing - Quarterly Reports, 1930-1937	box 239	folder 2269	
University of New Mexico - Emergency Aid for Medical Tuition (EHS), 1951-1952	box 239	folder 2270	
New York Academy of Medicine - Committee on Medicine and the Changing Order, 1943-1944	box 239	folder 2271	
New York Academy of Medicine - Committee on Medicine and the Changing Order, 1943-1944	box 239	folder 2272	
New York Academy of Medicine - Committee on Medicine and the Changing Order, 1943-1944	box 239	folder 2273	
New York Academy of Medicine - Committee on Medicine and the Changing Order, 1944-1948	box 240	folder 2274	
New York Academy of Medicine - Committee on Medicine and the Changing Order, 1944-1948	box 240	folder 2275	
New York Academy of Medicine - Committee on Medicine and the Changing Order, 1944-1948	box 240	folder 2276	
New York Academy of Medicine - Committee on Medicine and the Changing Order, 1944-1948	box 240	folder 2277	
New York Academy of Medicine - Committee on Medicine and the Changing Order, 1944-1948	box 240	folder 2278	
New York Academy of Medicine - Committee on Medicine in	box 240	folder 2279	

New York Academy of Medicine - Committee on Public Health - Study of Problems Involved in Procurement and Distribution of Whole Blood in New York City, 1956-1963	box 240	folder 2280
New York Academy of Medicine - Committee on Public Health - Study of Problems Involved in Procurement and Distribution of Whole Blood in New York City, 1956-1963	box 240	folder 2281
New York Academy of Medicine - Committee on Special Studies, 1966-1967	box 240	folder 2282
New York Academy of Medicine - Future Developments of Medicine, 1955-1958	box 240	folder 2283
New York Academy of Medicine - Future Developments of Medicine, 1955-1958	box 240	folder 2284
New York Academy of Medicine - Future Developments of Medicine, 1958-1965	box 241	folder 2285
New York Academy of Medicine - Future Developments of Medicine, 1958-1965	box 241	folder 2286
New York Academy of Medicine - Future Developments of Medicine, 1958-1965	box 241	folder 2287
New York Academy of Medicine - Future Developments of Medicine, 1958-1965	box 241	folder 2288
New York Academy of Medicine - Future Developments of Medicine, 1958-1965	box 241	folder 2289
New York Academy of Medicine - Future Developments of Medicine, 1958-1965	box 241	folder 2290
New York Academy of Medicine - Future Developments of Medicine, 1958-1965	box 241	folder 2291
New York Academy of Medicine - Future Developments of Medicine, 1958-1965	box 241	folder 2292
New York Academy of Medicine - Future Developments of Medicine, 1958-1965	box 241	folder 2293
New York Academy of Medicine - Future Developments of Medicine, 1958-1965	box 241	folder 2294
New York Academy of Medicine - Hospital Survey and Report, 1919-1925	box 242	folder 2295
New York Academy of Medicine - Library Building Expansion, 1945-1947	box 242	folder 2296
New York Academy of Medicine - Library Fund, 1941-1942	box 242	folder 2297
New York Academy of Medicine - Library Relationships with Other Institutions, 1979-1981	box 242	folder 2298
New York Academy of Medicine - Neonatal Mortality Study, 1948-1958	box 242	folder 2299

New York Academy of Medicine - Neonatal Mortality Study, 1948-1958	box 242	folder 2300
New York Academy of Medicine - Postgraduate Medical Education by Open Circuit Television (ME&CH), 1963-1966	box 242	folder 2301
New York Academy of Medicine - Postgraduate Medical Education by Open Circuit Television (ME&CH), 1963-1966	box 242	folder 2302
New York Academy of Medicine - Postgraduate Medical Education by Open Circuit Television - Supportive Study Material (ME&CH), November 12, 1965	box 242	folder 2303
New York Academy of Medicine - Postgraduate Medical Education by Open Circuit Television - Supportive Study Material (ME&CH), November 12, 1965	box 242	folder 2304
New York Academy of Medicine - Public Relations Committee - Puerperal Mortality Study, 1930-1944	box 242	folder 2305
New York Academy of Medicine - Public Relations Committee - Puerperal Mortality Study, 1930-1944	box 242	folder 2306
New York Academy of Medicine - Public Relations Committee - Puerperal Mortality Study - Financial Data, 1933-1934	box 242	folder 2307
New York Academy of Medicine - Symposium on "The Academic Physician: An Endangered Species", 1980-1981	box 242	folder 2308
New York Academy of Sciences - Conference on "Brain Death", February-April, 1977	box 242	folder 2309
New York Academy of Sciences - Conference on "Medical Schools and Teaching Hospital: Curriculum, Programming and Planning" (ME&CH), January-February, 1965	box 243	folder 2310
New York Blood Center - Campaign to Fund Special Projects, 1978-1981	box 243	folder 2311
New York Botanical Garden - Antibiotics Study, 1946-1958	box 243	folder 2312
New York Botanical Garden - Development Fund, 1968-1969	box 243	folder 2313
New York Botanical Garden - Library Development Program, 1975-1979	box 243	folder 2314
New York City - Budget Support, 1978-1980	box 243	folder 2315
New York City - Department of Health, Appraisal, 1934-1937	box 243	folder 2316
New York City - Department of Health, Appraisal - Financial Data, 1934-1935	box 243	folder 2317
New York City - Department of Health, Bureau of Records, 1938-1940	box 243	folder 2318
New York City - Department of Health, Bureau of Records - Financial Data, 1938-1940	box 243	folder 2319

New York City - Department of Health, Improvement of Statistical Service, 1943-1948	box 243	folder 2321	
New York City - Research Council of Department of Hospitals, Clinical Study of Marijuana, 1939-1945	box 243	folder 2322	
New York City Committee on Mental Hygiene - Minutes and Correspondence, 1929-1933	box 244	folder 2323	
New York City Committee on Mental Hygiene - Minutes and Correspondence, 1929-1933	box 244	folder 2324	
New York City Urban Academy - Health Fellowship Fund, 1979-1981	box 244	folder 2325	
New York Committee on After Care of Infantile Paralysis, 1919-1920	box 244	folder 2326	
New York Committee on the Study of Hospital Internships and Residences - General Support, 1931-1938	box 244	folder 2327	
Scope and Contents note			
Original Grant ot New York Academy of Medicine.			
New York Committee on the Study of Hospital Internships and Residences - General Support, 1931-1938	box 244	folder 2328	
Scope and Contents note			
Original Grant ot New York Academy of Medicine.			
New York Committee on the Study of Hospital Internships and Residences - General Support, 1931-1938	box 244	folder 2329	
Scope and Contents note			
Original Grant ot New York Academy of Medicine.			
New York Committee on the Study of Hospital Internships and Residences - General Support, 1931-1938	box 244	folder 2330	
Scope and Contents note			
Original Grant ot New York Academy of Medicine.			
New York Committee on the Study of Hospital Internships and Residences - General Support, 1931-1938	box 244	folder 2331	
<b>Scope and Contents note</b>			
Original Grant ot New York Academy of Medicine.			
New York Committee on the Study of Hospital Internships and Residences - General Support, 1931-1938	box 244	folder 2332	

# **Scope and Contents note**

Original Grant ot New York Academy of Medicine.

New York Committee on the Study of Hospital Internships and Residences - General Support - Financial Data, 1933-1937	box 245	folder 2333	
Scope and Contents note			
Original Grant ot New York Academy of Medicine.			
New York Committee on the Study of Hospital Internships and Residences - General Support - Financial Data, 1933-1937	box 245	folder 2334	
Scope and Contents note			
Original Grant ot New York Academy of Medicine.			
New York Committee on the Study of Hospital Internships and Residences - General Support - Report Material, 1935-1936	box 245	folder 2335	
Scope and Contents note			
Original Grant ot New York Academy of Medicine.			
New York Committee on the Study of Hospital Internships and Residences - General Support - Report Material, 1935-1936	box 245	folder 2336	
Scope and Contents note			
Original Grant ot New York Academy of Medicine.			
New York Committee on the Study of Hospital Internships and Residences - House Officer Training, 1944-1954	box 245	folder 2337	
New York Committee on the Study of Hospital Internships and Residences - House Officer Training, 1944-1954	box 245	folder 2338	
New York Committee on the Study of Hospital Internships and Residences - House Officer Training, 1944-1954	box 245	folder 2339	
New York Council for the Humanities - General Administrative Funds, 1978-1979	box 245	folder 2340	
New York Diet Kitchen Association - General Support, 1919-1921	box 245	folder 2341	
New York Heart Association - General Support, 1921-1923	box 245	folder 2342	
Scope and Contents note			
Formerly the Association for the Prevention and Relief of Heart Disease.			
New York Hospital - Psychiatric Study of Habitual Abortion (MR), 1955-1956	box 245	folder 2343	

New York Hospital - Psychiatric Study of Habitual Abortion (MR), 1956-1959	box 246	folder 2344	
New York Hospital - Psychiatric Study of Habitual Abortion (MR), 1956-1959	box 246	folder 2345	
New York Hospital-Cornell Medical Center - Rheumatic Fever Study (MR), 1928-1945	box 246	folder 2346	
New York Hospital-Cornell Medical Center - Rheumatic Fever Study (MR), 1928-1945	box 246	folder 2347	
New York Hospital-Cornell Medical Center - Rheumatic Fever Study (MR), 1928-1945	box 246	folder 2348	
New York Hospital-Cornell Medical Center - Rheumatic Fever Study (MR), 1928-1945	box 246	folder 2349	
New York Hospital-Cornell Medical Center - Rheumatic Fever Study (MR), 1928-1945	box 246	folder 2350	
New York Hospital-Cornell Medical Center - Rheumatic Fever Study (MR), 1928-1945	box 246	folder 2351	
New York Hospital-Cornell Medical Center - Rheumatic Fever Study (MR), 1928-1945	box 246	folder 2352	
New York Hospital-Cornell Medical Center - Rheumatic Fever Study (MR), 1946-1963	box 247	folder 2353	
New York Hospital-Cornell Medical Center - Rheumatic Fever Study (MR), 1946-1963	box 247	folder 2354	
New York Hospital-Cornell Medical Center - Rheumatic Fever Study (MR), 1946-1963	box 247	folder 2355	
New York Hospital-Cornell Medical Center - Rheumatic Fever Study (MR), 1946-1963	box 247	folder 2356	
New York Hospital-Cornell Medical Center - Rheumatic Fever Study (MR), 1946-1963	box 247	folder 2357	
New York Hospital-Cornell Medical Center - Rheumatic Fever Study (MR), 1946-1963	box 247	folder 2358	
New York Hospital-Cornell Medical Center - Rheumatic Fever Study - Financial Data (MR), 1933-1936	box 247	folder 2359	
New York Hospital-Cornell Medical Center - Rheumatic Fever Study - Financial Data (MR), 1933-1936	box 247	folder 2360	
New York Hospital-Cornell Medical Center - Rheumatic Fever Study - Financial Data (MR), 1937-1953	box 248	folder 2361	
New York Hospital-Cornell Medical Center - Rheumatic Fever Study - Financial Data (MR), 1937-1953	box 248	folder 2362	
New York Hospital-Cornell Medical Center - Rheumatic Fever Study - Financial Data (MR), 1937-1953	box 248	folder 2363	

New York Hospital-Cornell Medical Center - Rheumatic Fever			
Study - Financial Data (MR), 1937-1953	box 248	folder 2364	
New York Hospital-Cornell Medical Center - Rheumatic Fever Study - Financial Data (MR), 1937-1953	box 248	folder 2365	
New York Hospital-Cornell Medical Center - William H. Harkness Building, 1964-1968	box 248	folder 2366	
New York Hospital-Cornell Medical Center Foundation, Inc Planning Study (ME&CH), 1965-1968	box 248	folder 2367	
New York Hospital-Cornell Medical Center Foundation, Inc Planning Study (ME&CH), 1965-1968	box 248	folder 2368	
New York Landmarks Conservancy - General Support, 1977-1981	box 248	folder 2369	
New York Landmarks Conservancy - General Support, 1977-1981	box 248	folder 2370	
New York Medical College - Survey and Study of Medical Opportunities Offered by Group Practices/Health Maintenance Organizations, 1978-1981	box 249	folder 2371	
New York Medical College - Survey and Study of Medical Opportunities Offered by Group Practices/Health Maintenance Organizations, 1978-1981	box 249	folder 2372	
New York Public Library - General Support for Research Collection, 1976-1981	box 249	folder 2373	
New York State - Pneumonia Program, 1935-1941	box 249	folder 2374	
New York State - Pneumonia Program, 1935-1941	box 249	folder 2375	
New York State - Pneumonia Program, 1935-1941	box 249	folder 2376	
New York State - Pneumonia Program, 1935-1941	box 249	folder 2377	
New York State - Pneumonia Program - Agenda and Minutes, 1935-1937	box 249	folder 2378	
New York State - Pneumonia Program - Agenda and Minutes, 1935-1937	box 249	folder 2379	
New York State - Pneumonia Program - Agenda and Minutes, 1935-1937	box 249	folder 2380	
New York State - Pneumonia Program - Financial Data,	box 249	folder 2381	
1935-1938			
New York State - Pneumonia Program - Monthly Reports, 1935-1937	box 250	folder 2382	
New York State - Pneumonia Program - Monthly Reports,	box 250	folder 2382 folder 2383	

State University of New York (Downstate Medical Center) - Improvement of Educational and Research Capabilities and Further Integration of Premedical-Preclinical Studies, 1976-1979	box 250	folder 2385
State University of New York (Downstate Medical Center) - Improvement of Educational and Research Capabilities and Further Integration of Premedical-Preclinical Studies, 1976-1979	box 250	folder 2386
State University of New York (Downstate Medical Center) - Recruitment of Faculty to the Department of Anatomy and Cell Biology, 1979-1981	box 250	folder 2387
State University of New York (Downstate Medical Center) - Recruitment of Faculty to the Department of Anatomy and Cell Biology, 1979-1981	box 250	folder 2388
New York Tuberculosis and Health Association, Inc General Support, 1926-1929	box 250	folder 2389
New York University - Clinical Pediatric Research in Pneumonia, 1932-1938	box 250	folder 2390
New York University (College of Medicine) - Department of Preventive Medicine - General Support, 1937-1947	box 250	folder 2391
New York University (College of Medicine) - Department of Preventive Medicine - General Support, 1937-1947	box 250	folder 2392
New York University (College of Medicine) - Department of Preventive Medicine - General Support (Financial Data), 1938-1945	box 251	folder 2393
New York University (College of Medicine) - Department of Preventive Medicine - General Support (Financial Data), 1938-1945	box 251	folder 2394
New York University (College of Medicine) - Department of Psychiatry and Neurology - Cerebral Function Studies, 1947-1956	box 251	folder 2395
New York University (College of Medicine) - Department of Psychiatry and Neurology - Cerebral Function Studies, 1947-1956	box 251	folder 2396
New York University (College of Medicine) - Department of Psychiatry and Neurology - Cerebral Function Studies, 1947-1956	box 251	folder 2397
New York University (College of Medicine) - Department of Psychiatry and Neurology - Cerebral Function Studies, 1947-1956	box 251	folder 2398
New York University (College of Medicine) - Department of Psychiatry and Neurology - Cerebral Function Studies, 1947-1956	box 251	folder 2399
New York University (College of Medicine) - Department of Psychiatry and Neurology - Cerebral Function Studies, 1947-1956	box 251	folder 2400
New York University (College of Medicine) - Department of Psychiatry and Neurology - Cerebral Function Studies, 1956-1960	box 252	folder 2401
New York University (College of Medicine) - Department of Psychiatry and Neurology - Cerebral Function Studies (Material Abstracts), 1952	box 252	folder 2402

New York University (College of Medicine) - Department of Psychiatry and Neurology - Cerebral Function Studies (Material Abstracts), 1952	box 252	folder 2403	
New York University (College of Medicine) - Department of Rehabilitation and Physical Disabilities (MR), 1947-1955	box 252	folder 2404	
New York University (College of Medicine) - Department of Rehabilitation and Physical Disabilities (MR), 1947-1955	box 252	folder 2405	
New York University (College of Medicine) - Department of Rehabilitation and Physical Disabilities (MR), 1947-1955	box 252	folder 2406	
New York University (College of Medicine) - Department of Rehabilitation and Physical Disabilities (MR), 1947-1955	box 252	folder 2407	
New York University (College of Medicine) - Department of Rehabilitation and Physical Disabilities - Financial Data (MR), 1948-1951	box 252	folder 2408	
New York University (College of Medicine) - Diphtheria Toxin and Toxoid Study (MR), 1946-1953	box 252	folder 2409	
New York University (College of Medicine) - Diphtheria Toxin and Toxoid Study (MR), 1946-1953	box 252	folder 2410	
New York University (College of Medicine) - Diphtheria Toxin and Toxoid Study - Financial Data, 1946-1952	box 253	folder 2411	
New York University (College of Medicine) - Kidneys and Vascular Physiology Study, 1939-1961	box 253	folder 2412	
New York University (College of Medicine) - Kidneys and Vascular Physiology Study, 1939-1961	box 253	folder 2413	
New York University (College of Medicine) - Kidneys and Vascular Physiology Study, 1939-1961	box 253	folder 2414	
New York University (College of Medicine) - Kidneys and Vascular Physiology Study, 1939-1961	box 253	folder 2415	
New York University (College of Medicine) - Kidneys and Vascular Physiology Study - Financial Data, 1944-1953	box 253	folder 2416	
New York University (College of Medicine) - Pneumonia Serum Treatment, 1928-1934	box 253	folder 2417	
Scope and Contents note			
Original Grant to New York Department of Health.			
New York University (College of Medicine) - Pneumonia Serum Treatment, 1928-1934	box 253	folder 2418	
Scope and Contents note			
Original Grant to New York Department of Health.			
New York University (College of Medicine) - Pneumonia Serum Treatment, 1928-1934	box 253	folder 2419	

# **Scope and Contents note**

Original Grant to New York Department of Health.

New York University (College of Medicine) - Pneumonia Serum Treatment, 1928-1934	box 253	folder 2420
Scope and Contents note		
Original Grant to New York Department of Health.		
New York University (College of Medicine) - Research in Obstetrics and Gynecology, 1936-1942	box 254	folder 2421
New York University (College of Medicine) - Research in Obstetrics and Gynecology, 1936-1942	box 254	folder 2422
New York University (College of Medicine) - Research in Obstetrics and Gynecology, 1936-1942	box 254	folder 2423
New York University (College of Medicine) - Research in Obstetrics and Gynecology, 1936-1942	box 254	folder 2424
New York University (College of Medicine) - Research in Obstetrics and Gynecology, 1936-1942	box 254	folder 2425
New York University (College of Medicine) - Research in Obstetrics and Gynecology, 1936-1942	box 254	folder 2426
New York University (College of Medicine) - Research in Obstetrics and Gynecology - Financial Data, 1937-1944	box 254	folder 2427
New York University (College of Medicine) - Research in Obstetrics and Gynecology - Financial Data, 1937-1944	box 254	folder 2428
New York University (College of Medicine) - Research in Obstetrics and Gynecology - Financial Data, 1937-1944	box 254	folder 2429
New York University (College of Medicine) - Toxemia and the Physiology of Pregnancy Study, 1943-1952	box 255	folder 2430
New York University (College of Medicine) - Toxemia and the Physiology of Pregnancy Study, 1943-1952	box 255	folder 2431
New York University (College of Medicine) - Toxemia and the Physiology of Pregnancy Study - Financial Data, 1943-1946	box 255	folder 2432
New York University (Department of Biology) - Endocrines in Biological Perspective Study, 1945-1947	box 255	folder 2433
New York University (Department of Biology) - Endocrines in Biological Perspective Study - Financial Data, 1946-1947	box 255	folder 2434
New York University (Department of Biology) - Means to Increase Resistance to Low Atmospheric Pressures, 1943-1946	box 255	folder 2435
New York University (Department of Biology) - Synthesis of Studies of the Living Cell, 1950-1959	box 255	folder 2436

New York University (Department of Biology) - Synthesis of Studies of the Living Cell, 1950-1959	box 255	folder 2437	
New York University (Department of Biology) - Synthesis of Studies of the Living Cell, 1950-1959	box 255	folder 2438	
New York University - Diphtheria Immunization, 1921-1923	box 255	folder 2439	
New York University - Scarlet Fever Immunization, 1925-1932	box 255	folder 2440	
Scope and Contents note			
Original Grant to New York Department of Health.			
New York University (School of Medicine) - Development of Service and Teaching Center in Community Medicine, 1974-1975	box 255	folder 2441	
New York University (School of Medicine) - Development of Service and Teaching Center in Community Medicine, 1976-1980	box 256	folder 2442	
New York University (School of Medicine) - Development of Service and Teaching Center in Community Medicine, 1976-1980	box 256	folder 2443	
New York University (School of Medicine) - Development of Service and Teaching Center in Community Medicine, 1976-1980	box 256	folder 2444	
New York University (School of Medicine) - Development of Service and Teaching Center in Community Medicine - Proceedings, March 11, 1974	box 256	folder 2445	
New York University (School of Medicine) - Development of Service and Teaching Center in Community Medicine - Proceedings, March 11, 1974	box 256	folder 2446	
New York University (School of Medicine) - Development of Service and Teaching Center in Community Medicine - Report, December 13, 1976	box 256	folder 2447	
New York University (School of Medicine) - Development of Service and Teaching Center in Community Medicine - Report, December 13, 1976	box 256	folder 2448	
New York University (School of Medicine) - Development of Service and Teaching Center in Community Medicine - Symposium (May 4, 1972), January 31, 1974	box 256	folder 2449	
New York University (School of Medicine) - Establishment of the Colin M. MacLead Genetic Library, 1966-1976	box 256	folder 2450	
New York University - Support of Graduate Students in the Research and Training Project on Urban Ambulatory Care, June- September, 1976	box 256	folder 2451	
New York University - Support of Graduate Students in the Research and Training Project on Urban Ambulatory Care, 1977-1980	box 257	folder 2452	
New York University/New York Department of Health - Research in Rheumatic Fever, 1931-1933	box 257	folder 2453	

University of North Carolina (Division of Health Affairs and Institute for Research in Social Science) - Research Program in the Social Aspects of Health (ME), 1955-1973	box 257	folder 2454
University of North Carolina (Division of Health Affairs and Institute for Research in Social Science) - Research Program in the Social Aspects of Health (ME), 1955-1973	box 257	folder 2455
University of North Carolina (Division of Health Affairs and Institute for Research in Social Science) - Research Program in the Social Aspects of Health (ME), 1955-1973	box 257	folder 2456
University of North Carolina (School of Medicine) - General Clinic (ME), 1952-1954	box 257	folder 2457
University of North Carolina (School of Medicine) - General Clinic (ME), 1952-1954	box 257	folder 2458
University of North Carolina (School of Medicine) - General Clinic (ME), 1952-1954	box 257	folder 2459
University of North Carolina (School of Medicine) - General Clinic (ME), 1952-1954	box 257	folder 2460
University of North Carolina (School of Medicine) - General Clinic (ME), 1952-1954	box 257	folder 2461
University of North Carolina (School of Medicine) - General Clinic (ME), 1955-1961	box 258	folder 2462
University of North Carolina (School of Medicine) - General Clinic (ME), 1955-1961	box 258	folder 2463
University of North Carolina (School of Medicine) - General Clinic (ME), 1955-1961	box 258	folder 2464
University of North Carolina (School of Medicine) - General Clinic (ME), 1955-1961	box 258	folder 2465
University of North Carolina (School of Medicine) - General Clinic (ME), 1955-1961	box 258	folder 2466
University of North Carolina (School of Medicine) - General Clinic (ME), 1955-1961	box 258	folder 2467
University of North Carolina (School of Medicine) - General Clinic (ME), 1955-1961	box 258	folder 2468
University of North Carolina (School of Medicine) - General Clinic (ME), 1955-1961	box 258	folder 2469
University of North Carolina (School of Medicine) - Training Fellowship for Theo Peeters - Treatment and Education of the Autistic, 1979-1980	box 258	folder 2470
Northwestern University (College of Nursing), 1964-1967	box 258	folder 2471
Northwestern University (Medical School) - Evaluation of Accredited Premedical/Medical Program, 1973-1977	box 259	folder 2472

Northwestern University (Medical School) - Evaluation of Accredited Premedical/Medical Program, 1973-1977	box 259	folder 2473	
Northwestern University (Medical School) - Evaluation of Accredited Premedical/Medical Program, 1973-1977	box 259	folder 2474	
Northwestern University (Medical School) - Integrated Program for Medical Education (ME&CH), 1958-1966	box 259	folder 2475	
Northwestern University (Medical School) - Integrated Program for Medical Education (ME&CH), 1958-1966	box 259	folder 2476	
Northwestern University (Medical School) - Integrated Program for Medical Education (ME&CH), 1958-1966	box 259	folder 2477	
Northwestern University (Medical School) - Integrated Program for Medical Education (ME&CH), 1958-1966	box 259	folder 2478	
Northwestern University (Medical School) - Integrated Program for Medical Education (ME&CH), 1958-1966	box 259	folder 2479	
Northwestern University (Medical School) - Integrated Program for Medical Education (ME&CH), 1958-1966	box 259	folder 2480	
Northwestern University (Medical School) - Integrated Program for Medical Education (ME&CH), 1966-1968	box 260	folder 2481	
Northwestern University - Program in the Health Professions Study, 1967-1971	box 260	folder 2482	
Northwestern University - Program in the Health Professions Study, 1967-1971	box 260	folder 2483	
Northwestern University - Program in the Health Professions Study, 1967-1971	box 260	folder 2484	
Notre Dame Bay Memorial Hospital - General Support, 1924-1934	box 260	folder 2485	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund, Grant Series, Grenfell Association, Box 112, Folder 1028.			
Notre Dame Bay Memorial Hospital - General Support, 1924-1934	box 260	folder 2486	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund, Grant Series, Grenfell Association, Box 112, Folder 1028.			
Notre Dame Bay Memorial Hospital - General Support, 1924-1934	box 260	folder 2487	
Related Archival Materials note:			

## **Related Archival Materials note**

See Commonwealth Fund, Grant Series, Grenfell Association, Box 112, Folder 1028.

Notre Dame Bay Memorial Hospital - General Support, 1924-1934	box 260	folder 2488	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund, Grant Series, Grenfell Association, Box 112, Folder 1028.			
Nutrition Foundation, Inc Citizens' Commission on Science, Law and the Food Supply, February-July, 1974	box 260	folder 2489	
Nutrition Foundation, Inc Citizens' Commission on Science, Law and the Food Supply, 1975-1976	box 261	folder 2490	
Oberlin College - Preparation for Medical Education in the Liberal Arts College (ME), 1954-1958	box 261	folder 2491	
Occupational Health Institute, Inc Feasibility Study of a Postgraduate School of Occupational Health, 1975-1976	box 261	folder 2492	
Ohio Public Health Association - Study of Health Needs and Development, 1930-1932	box 261	folder 2493	
Ohio Public Health Association - Study of Health Needs and Development, 1930-1932	box 261	folder 2494	
Oklahoma State Medical Association - Postgraduate Instruction in Internal Medicine, 1940-1951	box 261	folder 2495	
Oklahoma State Medical Association - Postgraduate Instruction in Internal Medicine, 1940-1951	box 261	folder 2496	
Oklahoma State Medical Association - Postgraduate Instruction in Internal Medicine, 1940-1951	box 261	folder 2497	
Oklahoma State Medical Association - Postgraduate Instruction in Internal Medicine - Financial Data, 1941-1944	box 261	folder 2498	
Oklahoma State Medical Association - Postgraduate Instruction in Medical Gynecology, 1945-1949	box 261	folder 2499	
Oklahoma State Medical Association - Postgraduate Instruction in Medical Gynecology - Financial Data, 1946-1949	box 261	folder 2500	
Oklahoma State Medical Association - Postgraduate Instruction in Obstetrics, 1937-1938	box 261	folder 2501	
Oklahoma State Medical Association - Postgraduate Instruction in Obstetrics, 1938-1944	box 262	folder 2502	
Oklahoma State Medical Association - Postgraduate Instruction in Obstetrics - Financial Data, 1938-1941	box 262	folder 2503	

Oklahoma State Medical Association - Postgraduate Instruction in Pediatrics, 1938-1944	box 262	folder 2504	
Oklahoma State Medical Association - Postgraduate Instruction in Pediatrics - Financial Data, 1939-1942	box 262	folder 2505	
Oklahoma State Medical Association - Postgraduate Instruction in Surgical Diagnosis, 1943-1946	box 262	folder 2506	
Oklahoma State Medical Association - Postgraduate Instruction in Surgical Diagnosis, 1943-1946	box 262	folder 2507	
Oklahoma State Medical Association - Postgraduate Instruction in Surgical Diagnosis - Financial Data, 1944-1947	box 262	folder 2508	
University of Oklahoma (School of Medicine) - Comprehensive Medical Care Program (ME), 1952-1957	box 262	folder 2509	
University of Oklahoma (School of Medicine) - Comprehensive Medical Care Program (ME), 1952-1957	box 262	folder 2510	
University of Oklahoma (School of Medicine) - Comprehensive Medical Care Program (ME), 1952-1957	box 262	folder 2511	
University of Oklahoma (School of Medicine) - Public Health Training Program (ME&CH), April-September, 1957	box 262	folder 2512	
University of Oklahoma (School of Medicine) - Public Health Training Program (ME&CH), 1957-1963	box 263	folder 2513	
University of Oklahoma (School of Medicine) - Public Health Training Program (ME&CH), 1957-1963	box 263	folder 2514	
University of Oregon (Medical School) - Preparation of Instructional Aids in Teaching Developmental Anatomy, 1966-1978	box 263	folder 2515	
University of Oregon (Medical School) - Preparation of Instructional Aids in Teaching Developmental Anatomy, 1966-1978	box 263	folder 2516	
Overhead Costs on Research Projects/Indirect Costs on Educational Projects in the Health Field (MR), 1953-1955	box 263	folder 2517	
University of Oxford (Faculty of Medicine) - Reform of the Oxford Medical Curriculum (ME&CH), 1966-1980	box 263	folder 2518	
University of Oxford (Faculty of Medicine) - Reform of the Oxford Medical Curriculum (ME&CH), 1966-1980	box 263	folder 2519	
University of Oxford (Faculty of Medicine) - Reform of the Oxford Medical Curriculum (ME&CH), 1966-1980	box 263	folder 2520	
Pace University - Study of Corporate Attitudes in Areas of Social Concern, February-November, 1978	box 263	folder 2521	
Pan-African Psychiatric Conference Fund (Western Region, Nigeria), 1961-1963	box 263	folder 2522	

Pan American Federation of Associations of Medical Schools - Consortium on the Distribution in Latin America of Post-Graduate Educational Materials in Medicine, 1978-1981	box 264	folder 2523
Pan American Federation of Associations of Medical Schools - Consortium on the Distribution in Latin America of Post-Graduate Educational Materials in Medicine, 1978-1981	box 264	folder 2524
Pan American Federation of Associations of Medical Schools - Consortium on the Distribution in Latin America of Post-Graduate Educational Materials in Medicine, 1978-1981	box 264	folder 2525
Pan American Federation of Associations of Medical Schools - Consortium on the Distribution in Latin America of Post-Graduate Educational Materials in Medicine, 1978-1981	box 264	folder 2526
Pan American Federation of Associations of Medical Schools - Consortium on the Distribution in Latin America of Post-Graduate Educational Materials in Medicine, 1978-1981	box 264	folder 2527
Pan American Federation of Associations of Medical Schools - Development of the Federation's Executive Office, 1964-1970	box 264	folder 2528
Pan American Federation of Associations of Medical Schools - Development of the Federation's Executive Office, 1964-1970	box 264	folder 2529
Pan American Federation of Associations of Medical Schools - Development of the Federation's Executive Office, 1964-1970	box 264	folder 2530
Pan American Federation of Associations of Medical Schools - Development of the Federation's Executive Office, 1971-1974	box 265	folder 2531
Pan American Federation of Associations of Medical Schools - Development of the Federation's Executive Office, 1971-1974	box 265	folder 2532
Pan American Federation of Associations of Medical Schools - Development of the Federation's Executive Office, 1971-1974	box 265	folder 2533
Pan American Federation of Associations of Medical Schools - General Support of the Federation's Executive Office, 1973-1977	box 265	folder 2534
Pan American Federation of Associations of Medical Schools - General Support of the Federation's Executive Office, 1973-1977	box 265	folder 2535
Pan American Federation of Associations of Medical Schools - Seminar - "Curriculum Innovation in Americans (Yale-AAMC)", 1968-1971	box 265	folder 2536
Pan American Federation of Associations of Medical Schools - Seminar - "Curriculum Innovation in Americans (Yale-AAMC)", 1968-1971	box 265	folder 2537
Pan American Federation of Associations of Medical Schools - Seminar - "Curriculum Innovation in Americans (Yale-AAMC)", 1971-1974	box 266	folder 2538
Pan American Health Organization - Needs and Resources for Biomedical Research in Latin America, 1972-1974	box 266	folder 2539

Pan American Health Organization - Regional Library of Medicine for Latin America, 1967-1975	box 266	folder 2540	
Pan American Health Organization - Regional Library of Medicine for Latin America, 1967-1975	box 266	folder 2541	
Pan American Health Organization - Regional Library of Medicine for Latin America, 1967-1975	box 266	folder 2542	
Pan American Health Organization - Regional Library of Medicine for Latin America, 1967-1975	box 266	folder 2543	
Pan American Health Organization - Regional Library of Medicine for Latin America - Proposals and Reports, 1965-1973	box 266	folder 2544	
Pan American Health Organization - Regional Library of Medicine for Latin America - Proposals and Reports, 1965-1973	box 266	folder 2545	
Pan American Health Organization - Regional Library of Medicine for Latin America - Proposals and Reports, 1965-1973	box 266	folder 2546	
Pennsylvania Hospital - Department for Mental and Nervous Diseases, 1927-1938	box 267	folder 2547	
Pennsylvania Hospital - Department for Mental and Nervous Diseases, 1927-1938	box 267	folder 2548	
University of Pennsylvania (Gynecean Hospital) - Institute of Gynecologic Research - Familial Distribution of Cancer of the Breast Study, 1948-1958	box 267	folder 2549	
University of Pennsylvania (Gynecean Hospital) - Institute of Gynecologic Research - Familial Distribution of Cancer of the Breast Study, 1948-1958	box 267	folder 2550	
University of Pennsylvania (Gynecean Hospital) - Institute of Gynecologic Research - Familial Distribution of Cancer of the Breast Study (Financial Data), 1949-1952	box 267	folder 2551	
University of Pennsylvania (Gynecean Hospital) - Institute of Gynecologic Research - Familial Distribution of Cancer of the Cervix, 1940-1950	box 267	folder 2552	
University of Pennsylvania (Gynecean Hospital) - Institute of Gynecologic Research - Familial Distribution of Cancer of the Cervix, 1940-1950	box 267	folder 2553	
University of Pennsylvania (Gynecean Hospital) - Institute of Gynecologic Research - Familial Distribution of Cancer of the Cervix, 1940-1950	box 267	folder 2554	
University of Pennsylvania (Gynecean Hospital) - Institute of Gynecologic Research - Familial Distribution of Cancer of the Cervix, 1940-1950	box 267	folder 2555	
University of Pennsylvania (Henry Phipps Institute) - Prevention of Air-borne Tuberculosis Study/Pathogenesis of Experimental Tuberculosis Study, 1941-1945	box 268	folder 2556	

University of Pennsylvania (Henry Phipps Institute) - Prevention of Air-borne Tuberculosis Study/Pathogenesis of Experimental Tuberculosis Study, 1941-1945	box 268	folder 2557
University of Pennsylvania (Henry Phipps Institute) - Prevention of Air-borne Tuberculosis Study/Pathogenesis of Experimental Tuberculosis Study - Financial Data, 1942-1946	box 268	folder 2558
University of Pennsylvania (Henry Phipps Institute) - Resistance and Susceptibility to Experimental Tuberculosis Study, 1942-1962	box 268	folder 2559
University of Pennsylvania (Henry Phipps Institute) - Resistance and Susceptibility to Experimental Tuberculosis Study, 1942-1962	box 268	folder 2560
University of Pennsylvania (Henry Phipps Institute) - Resistance and Susceptibility to Experimental Tuberculosis Study, 1942-1962	box 268	folder 2561
University of Pennsylvania (Henry Phipps Institute) - Resistance and Susceptibility to Experimental Tuberculosis Study, 1942-1962	box 268	folder 2562
University of Pennsylvania (Henry Phipps Institute) - Resistance and Susceptibility to Experimental Tuberculosis Study, 1942-1962	box 268	folder 2563
University of Pennsylvania (Henry Phipps Institute) - Resistance and Susceptibility to Experimental Tuberculosis Study - Financial Data, 1941-1950	box 268	folder 2564
University of Pennsylvania (Henry Phipps Institute) - Resistance and Susceptibility to Experimental Tuberculosis Study - Financial Data, 1941-1950	box 268	folder 2565
University of Pennsylvania - Prevention and Control of Air-borne Infections Study, 1937-1941	box 269	folder 2566
University of Pennsylvania - Prevention and Control of Air-borne Infections Study, 1937-1941	box 269	folder 2567
University of Pennsylvania - Prevention and Control of Air-borne Infections Study, 1937-1941	box 269	folder 2568
University of Pennsylvania - Prevention and Control of Air-borne Infections Study, 1937-1941	box 269	folder 2569
University of Pennsylvania - Prevention and Control of Air-borne Infections Study, 1937-1941	box 269	folder 2570
University of Pennsylvania - Prevention and Control of Air-borne Infections Study, 1937-1941	box 269	folder 2571
University of Pennsylvania - Prevention and Control of Air-borne Infections Study, 1937-1941	box 269	folder 2572
University of Pennsylvania - Prevention and Control of Air-borne Infections Study, 1937-1941	box 269	folder 2573
University of Pennsylvania - Prevention and Control of Air-borne Infections Study, 1942-1948	box 270	folder 2574
University of Pennsylvania - Prevention and Control of Air-borne Infections Study, 1942-1948	box 270	folder 2575

University of Pennsylvania - Prevention and Control of Air-borne Infections Study, 1942-1948	box 270	folder 2576
University of Pennsylvania - Prevention and Control of Air-borne Infections Study, 1942-1948	box 270	folder 2577
University of Pennsylvania - Prevention and Control of Air-borne Infections Study, 1942-1948	box 270	folder 2578
University of Pennsylvania - Prevention and Control of Air-borne Infections Study - Financial Data, 1937-1945	box 270	folder 2579
University of Pennsylvania - Prevention and Control of Air-borne Infections Study - Financial Data, 1937-1945	box 270	folder 2580
University of Pennsylvania - Prevention and Control of Air-borne Infections Study - Financial Data, 1937-1945	box 270	folder 2581
University of Pennsylvania - Prevention and Control of Air-borne Infections Study - Progress Reports, 1939-1946	box 271	folder 2582
University of Pennsylvania - Prevention and Control of Air-borne Infections Study - Progress Reports, 1939-1946	box 271	folder 2583
University of Pennsylvania - Prevention and Control of Air-borne Infections Study - Progress Reports, 1939-1946	box 271	folder 2584
University of Pennsylvania - Prevention and Control of Air-borne Infections Study - Progress Reports, 1939-1946	box 271	folder 2585
University of Pennsylvania - Prevention and Control of Air-borne Infections Study - Progress Reports, 1939-1946	box 271	folder 2586
University of Pennsylvania - Prevention and Control of Air-borne Infections Study - Progress Reports, 1939-1946	box 271	folder 2587
University of Pennsylvania - Prevention and Control of Air-borne Infections Study - Progress Reports, 1939-1946	box 271	folder 2588
University of Pennsylvania - Professional Training of Teachers, May 1, 1922	box 271	folder 2589
University of Pennsylvania - Psychiatric Fellowships, 1925-1929	box 271	folder 2590
University of Pennsylvania - Psychiatric Fellowships, 1925-1929	box 271	folder 2591
University of Pennsylvania - Research Laboratories in Connection with the New Gynecological-Obstetrical Hospital, 1938-1947	box 271	folder 2592
University of Pennsylvania (School of Medicine) - Center for the Study of Sex Education in Medicine, 1966-1974	box 272	folder 2593
University of Pennsylvania (School of Medicine) - Center for the Study of Sex Education in Medicine, 1966-1974	box 272	folder 2594
University of Pennsylvania (School of Medicine) - Center for the Study of Sex Education in Medicine, 1966-1974	box 272	folder 2595
University of Pennsylvania (School of Medicine) - Center for the Study of Sex Education in Medicine, 1966-1974	box 272	folder 2596

University of Pennsylvania (School of Medicine) - Center for the Study of Sex Education in Medicine, 1966-1974	box 272	folder 2597	
University of Pennsylvania (School of Medicine) - Center for the Study of Sex Education in Medicine, 1966-1974	box 272	folder 2598	
University of Pennsylvania (School of Medicine) - Center for the Study of Sex Education in Medicine, 1966-1974	box 272	folder 2599	
University of Pennsylvania (School of Medicine) - Center for the Study of Sex Education in Medicine, 1966-1974	box 272	folder 2600	
University of Pennsylvania (School of Medicine) - Center for the Study of Sex Education in Medicine, 1966-1974	box 272	folder 2601	
University of Pennsylvania (School of Medicine) - Center for the Study of Sex Education in Medicine, 1974-1976	box 273	folder 2602	
University of Pennsylvania (School of Medicine) - Center for the Study of Sex Education in Medicine, 1974-1976	box 273	folder 2603	
University of Pennsylvania (School of Medicine) - Center for the Study of Sex Education in Medicine, 1974-1976	box 273	folder 2604	
University of Pennsylvania (School of Medicine) - Center for the Study of Sex Education in Medicine, 1974-1976	box 273	folder 2605	
University of Pennsylvania (School of Medicine) - Center for the Study of Sex Education in Medicine - Eastern Regional Workshop for Medical Education, 1969-1974	box 273	folder 2606	
University of Pennsylvania (School of Medicine) - Children's Hospital of Philadelphia - Chemical Study of Virus Formation (MR), 1948-1955	box 273	folder 2607	
University of Pennsylvania (School of Medicine) - Children's Hospital of Philadelphia - Chemical Study of Virus Formation (MR), 1948-1955	box 273	folder 2608	
University of Pennsylvania (School of Medicine) - Children's Hospital of Philadelphia - Chemical Study of Virus Formation (MR), 1948-1955	box 273	folder 2609	
University of Pennsylvania (School of Medicine) - Children's Hospital of Philadelphia - Chemical Study of Virus Formation (MR), 1948-1955	box 273	folder 2610	
University of Pennsylvania (School of Medicine) - Children's Hospital of Philadelphia - Chemical Study of Virus Formation (MR), 1948-1955	box 273	folder 2611	
University of Pennsylvania (School of Medicine) - Children's Hospital of Philadelphia - Chemical Study of Virus Formation (MR), 1956-1966	box 274	folder 2612	
University of Pennsylvania (School of Medicine) - Children's Hospital of Philadelphia - Chemical Study of Virus Formation (MR), 1956-1966	box 274	folder 2613	

University of Pennsylvania (School of Medicine) - Children's Hospital of Philadelphia - Chemical Study of Virus Formation (MR), 1956-1966	box 274	folder 2614	
University of Pennsylvania (School of Medicine) - Children's Hospital of Philadelphia - Chemical Study of Virus Formation (Financial Data) (MR), 1949-1951	box 274	folder 2615	
University of Pennsylvania (School of Medicine) - Children's Hospital of Philadelphia - Mechanism of Antibody Formation Study, 1948-1955	box 274	folder 2616	
University of Pennsylvania (School of Medicine) - Children's Hospital of Philadelphia - Mechanism of Antibody Formation Study, 1948-1955	box 274	folder 2617	
University of Pennsylvania (School of Medicine) - Children's Hospital of Philadelphia - Mechanism of Antibody Formation Study, 1948-1955	box 274	folder 2618	
University of Pennsylvania (School of Medicine) - Department of Bacteriology - Streptococcus Study, 1937-1939	box 274	folder 2619	
University of Pennsylvania (School of Medicine) - Department of Bacteriology - Streptococcus Study, 1937-1939	box 274	folder 2620	
University of Pennsylvania (School of Medicine) - Department of Bacteriology - Streptococcus Study, 1940-1943	box 275	folder 2621	
University of Pennsylvania (School of Medicine) - Department of Bacteriology - Streptococcus Study (Financial Data), 1938-1941	box 275	folder 2622	
University of Pennsylvania (School of Medicine) - Development of New Programs in Community Medicine (ME&CH), 1969-1973	box 275	folder 2623	
University of Pennsylvania (School of Medicine) - Development of New Programs in Community Medicine (ME&CH), 1969-1973	box 275	folder 2624	
University of Pennsylvania (School of Medicine) - Development of New Programs in Community Medicine - Consortium of West Philadelphia Mental Health (ME&CH), May 5-9, 1969	box 275	folder 2625	
University of Pennsylvania (School of Medicine) - Development of New Programs in Community Medicine - Reports (ME&CH), 1971 and 1975	box 275	folder 2626	
University of Pennsylvania (School of Medicine) - Development of New Programs in Community Medicine - Reports (ME&CH), 1971 and 1975	box 275	folder 2627	
University of Pennsylvania (School of Medicine) - Development of New Programs in Community Medicine - Reports (ME&CH), 1971 and 1975	box 275	folder 2628	
University of Pennsylvania (School of Medicine) - Development of New Programs in Community Medicine - Reports (ME&CH), 1971 and 1975	box 275	folder 2629	

University of Pennsylvania (School of Medicine) - Development of New Programs in Community Medicine - Reports (ME&CH), 1974-1975	box 276	folder 2630	
University of Pennsylvania (School of Medicine) - Development of New Programs in Community Medicine - Reports (ME&CH), 1974-1975	box 276	folder 2631	
University of Pennsylvania (School of Medicine) - Development of New Programs in Community Medicine - Reports (ME&CH), 1974-1975	box 276	folder 2632	
University of Pennsylvania (School of Medicine) - Family Advisor Program, 1951-1958	box 276	folder 2633	
University of Pennsylvania (School of Medicine) - Family Advisor Program, 1951-1958	box 276	folder 2634	
University of Pennsylvania (School of Medicine) - Family Advisor Program, 1951-1958	box 276	folder 2635	
University of Pennsylvania (School of Medicine) - Family Advisor Program, 1951-1958	box 276	folder 2636	
University of Pennsylvania (School of Medicine) - National Conference on Sex Education in Medicine, 1973-1975	box 276	folder 2637	
University of Pennsylvania (School of Medicine) - New Techniques of Respiratory Physiology Study, 1947-1951	box 277	folder 2638	
University of Pennsylvania (School of Medicine) - New Techniques of Respiratory Physiology Study - Financial Data, 1948-1953	box 277	folder 2639	
University of Pennsylvania (School of Medicine) - Normals Study, 1929-1934	box 277	folder 2640	
University of Pennsylvania (School of Medicine) - Normals Study, 1929-1934	box 277	folder 2641	
University of Pennsylvania (School of Medicine) - Normals Study, 1929-1934	box 277	folder 2642	
University of Pennsylvania (School of Medicine) - Research on the Function of the Kidney, 1931-1935	box 277	folder 2643	
University of Pennsylvania (School of Medicine) - Research on the Function of the Kidney, 1931-1935	box 277	folder 2644	
University of Pennsylvania (School of Medicine) - Research on the Function of the Kidney, 1931-1935	box 277	folder 2645	
University of Pennsylvania (School of Medicine) - Research on the Function of the Kidney, 1936-1966	box 278	folder 2646	
University of Pennsylvania (School of Medicine) - Research on the Function of the Kidney, 1936-1966	box 278	folder 2647	
University of Pennsylvania (School of Medicine) - Research on the Function of the Kidney, 1936-1966	box 278	folder 2648	

University of Pennsylvania (School of Medicine) - Research on the Function of the Kidney - Financial Data, 1931-1942	box 278	folder 2649
University of Pennsylvania (School of Medicine) - Research on the Function of the Kidney - Financial Data, 1931-1942	box 278	folder 2650
University of Pennsylvania (School of Medicine) - Research on the Function of the Kidney - Financial Data, 1931-1942	box 278	folder 2651
University of Pennsylvania (School of Medicine) - Research on the Function of the Kidney - Financial Data, 1931-1942	box 278	folder 2652
University of Pennsylvania (School of Medicine) - Research on the Function of the Kidney - Financial Data, 1931-1942	box 278	folder 2653
University of Pennsylvania (School of Medicine) - Research on the Function of the Kidney - Financial Data, 1931-1942	box 278	folder 2654
University of Pennsylvania (School of Medicine) - Support for Key Faculty in the Department of Community Medicine (ME&CH), 1971-1977	box 279	folder 2655
University of Pennsylvania (School of Medicine) - Support for Key Faculty in the Department of Community Medicine (ME&CH), 1971-1977	box 279	folder 2656
College of Physicians of Pennsylvania - Development of the College's Role as a Scholarly Library Center in Medicine (ME&CH), 1967-1974	box 279	folder 2657
University of Pittsburgh - Development of Objective Measures of Medical Ability and Proficiency (ME&CH), 1956-1960	box 279	folder 2658
University of Pittsburgh - Development of Objective Measures of Medical Ability and Proficiency (ME&CH), 1956-1960	box 279	folder 2659
University of Pittsburgh - Development of Objective Measures of Medical Ability and Proficiency (ME&CH), 1956-1960	box 279	folder 2660
University of Pittsburgh - Development of Objective Measures of Medical Ability and Proficiency (ME&CH), 1956-1960	box 279	folder 2661
University of Pittsburgh - Development of Objective Measures of Medical Ability and Proficiency (ME&CH), 1956-1960	box 279	folder 2662
University of Pittsburgh - Development of Objective Measures of Medical Ability and Proficiency (ME&CH), 1956-1960	box 279	folder 2663
University of Pittsburgh - Development of Objective Measures of Medical Ability and Proficiency (ME&CH), 1961-1963	box 280	folder 2664
University of Pittsburgh - Development of Objective Measures of Medical Ability and Proficiency (ME&CH), 1961-1963	box 280	folder 2665
University of Pittsburgh - Development of Objective Measures of Medical Ability and Proficiency (ME&CH), 1961-1963	box 280	folder 2666
University of Pittsburgh - Development of Objective Measures of Medical Ability and Proficiency (ME&CH), 1961-1963	box 280	folder 2667

University of Pittsburgh (School of Medicine) - Department of Orthopedic Surgery - Programmed Instruction about the Musculo- skeletal System (ME&CH), 1964-1966	box 280	folder 2668
Planned Parenthood Federation of America - General Support, 1946-1948	box 280	folder 2669
Planned Parenthood of New York City, Inc Consulting Services and RElated Costs of Increasing Public Education Through Various New Outlets Statewide, About the Record and Benefits of Legal Abortion Services in New York State, 1979-1980	box 280	folder 2670
Planned Parenthood of New York City, Inc Creation of an International Training Center for Physicians and Allied Health Workers in the Field of Family Planning, 1972-1977	box 280	folder 2671
Planned Parenthood of New York City, Inc Creation of an International Training Center for Physicians and Allied Health Workers in the Field of Family Planning, 1972-1977	box 280	folder 2672
Planned Parenthood of New York City, Inc Experiment in Sex Education, Counseling, and Related Medical Services for Urban Ghetto Youth, 1967-1968	box 280	folder 2673
Planned Parenthood of New York City, Inc Experiment in Sex Education, Counseling and Related Medical Services for Urban Ghetto Youth, 1968-1978	box 281	folder 2674
Planned Parenthood of New York City, Inc Experiment in Sex Education, Counseling and Related Medical Services for Urban Ghetto Youth, 1968-1978	box 281	folder 2675
Planned Parenthood of New York City, Inc Experiment in Sex Education, Counseling and Related Medical Services for Urban Ghetto Youth, 1968-1978	box 281	folder 2676
Planned Parenthood of New York City, Inc Experiment in Sex Education, Counseling and Related Medical Services for Urban Ghetto Youth, 1968-1978	box 281	folder 2677
Planned Parenthood of New York City, Inc Experiment in Sex Education, Counseling and Related Medical Services for Urban Ghetto Youth, 1968-1978	box 281	folder 2678
Planned Parenthood of New York City, Inc Experiment in Sex Education, Counseling and Related Medical Services for Urban Ghetto Youth, 1968-1978	box 281	folder 2679
Planned Parenthood of New York City, Inc Family Planning Crisis Fund Reorganization of New York City Family Planning Services Threatened by City Budget Cuts, 1976-1979	box 281	folder 2680
Planned Parenthood of New York City, Inc Margaret Sanger Center - International Student Program, 1977-1979	box 281	folder 2681
Planned Parenthood-World Population - Center for Family Planning Program Development (ME&CH), June-August, 1968	box 281	folder 2682
Planned Parenthood-World Population - Center for Family Planning Program Development (ME&CH), 1968-1975	box 282	folder 2683

Planned Parenthood-World Population - Center for Family Planning Program Development (ME&CH), 1968-1975	box 282	folder 2684	
Planned Parenthood-World Population - Center for Family Planning Program Development (ME&CH), 1968-1975	box 282	folder 2685	
Planned Parenthood-World Population - Center for Family Planning Program Development (ME&CH), 1968-1975	box 282	folder 2686	
Planned Parenthood-World Population - Center for Family Planning Program Development (ME&CH), 1968-1975	box 282	folder 2687	
Planned Parenthood-World Population - Center for Family Planning Program Development (ME&CH), 1968-1975	box 282	folder 2688	
Planned Parenthood-World Population - Center for Family Planning Program Development (ME&CH), 1968-1975	box 282	folder 2689	
Planned Parenthood-World Population - Center for Family Planning Program Development (ME&CH), 1968-1975	box 282	folder 2690	
Planned Parenthood-World Population - Center for Family Planning Program Development (ME&CH), 1968-1975	box 282	folder 2691	
Planned Parenthood-World Population - Center for Family Planning Program Development (ME&CH), 1968-1975	box 282	folder 2692	
Planned Parenthood-World Population - Center for Family Planning Program Development/Alan Guttmacher Institute, 1973-1981	box 283	folder 2693	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund, Grant Series, Guttmacher Institute, Boxes 112-113, Folders 1034-1043.			
Planned Parenthood-World Population - Center for Family Planning Program Development/Alan Guttmacher Institute, 1973-1981	box 283	folder 2694	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund, Grant Series, Guttmacher Institute, Boxes 112-113, Folders 1034-1043.			
Planned Parenthood-World Population - Center for Family Planning Program Development/Alan Guttmacher Institute, 1973-1981	box 283	folder 2695	
Related Archival Materials note:			

### **Related Archival Materials note**

See Commonwealth Fund, Grant Series, Guttmacher Institute, Boxes 112-113, Folders 1034-1043.

Planned Parenthood-World Population - Center for Family Planning Program Development/Alan Guttmacher Institute, 1973-1981

box 283

folder 2696

Related Archival Materials note:

#### **Related Archival Materials note**

See Commonwealth Fund, Grant Series, Guttmacher Institute, Boxes 112-113, Folders 1034-1043.

Planned Parenthood-World Population - Center for Family Planning Program Development/Alan Guttmacher Institute, 1973-1981

box 283

folder 2697

Related Archival Materials note:

### **Related Archival Materials note**

See Commonwealth Fund, Grant Series, Guttmacher Institute, Boxes 112-113, Folders 1034-1043.

Planned Parenthood-World Population - Center for Family Planning Program Development/Alan Guttmacher Institute, 1973-1981

box 283

folder 2698

Related Archival Materials note:

#### **Related Archival Materials note**

See Commonwealth Fund, Grant Series, Guttmacher Institute, Boxes 112-113, Folders 1034-1043.

Planned Parenthood-World Population - Center for Family Planning Program Development/Alan Guttmacher Institute, 1973-1981

box 283

folder 2699

Related Archival Materials note:

#### **Related Archival Materials note**

See Commonwealth Fund, Grant Series, Guttmacher Institute, Boxes 112-113, Folders 1034-1043.

Planned Parenthood-World Population - Center for Family Planning Program Development/Alan Guttmacher Institute, 1973-1981

box 283

folder 2700

Related Archival Materials note:

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See Commonwealth Fund, Grant Series, Guttmacher Institute, Boxes 112-113, Folders 1034-1043.

Planned Parenthood-World Population - Expansion of the Work of the International Planned Parenthood Federation Program (ME&CH), June-September, 1965	box 283	folder 2701	
Planned Parenthood-World Population - Expansion of the Work of the International Planned Parenthood Federation Program (ME&CH), 1965-1968	box 284	folder 2702	
Planned Parenthood-World Population - Expansion of the Work of the International Planned Parenthood Federation Program (ME&CH), 1965-1968	box 284	folder 2703	
Planned Parenthood-World Population - Expansion of the Work of the International Planned Parenthood Federation Program (ME&CH), 1965-1968	box 284	folder 2704	
Planned Parenthood-World Population - Expansion of the Work of the International Planned Parenthood Federation Program (ME&CH), 1965-1968	box 284	folder 2705	
Planned Parenthood-World Population - Film for Medical Students and Physicians on Conceptions Control (ME&CH), 1959-1974	box 284	folder 2706	
Planned Parenthood-World Population - Film for Medical Students and Physicians on Conceptions Control (ME&CH), 1959-1974	box 284	folder 2707	
Planned Parenthood-World Population - Film for Medical Students and Physicians on Conceptions Control (ME&CH), 1959-1974	box 284	folder 2708	
Planned Parenthood-World Population - Film for Medical Students and Physicians on Conceptions Control (ME&CH), 1959-1974	box 284	folder 2709	
Planned Parenthood-World Population - Film for Medical Students and Physicians on Conceptions Control (ME&CH), 1959-1974	box 284	folder 2710	
Planned Parenthood-World Population - Film for Medical Students and Physicians on Conceptions Control (ME&CH), 1959-1974	box 284	folder 2711	
Planned Parenthood-World Population - Victor-Bostrom Fund to Assist the Work of the International Planned Parenthood Federation (ME&CH), 1968-1974	box 285	folder 2712	
Planned Parenthood-World Population - Victor-Bostrom Fund to Assist the Work of the International Planned Parenthood Federation (ME&CH), 1968-1974	box 285	folder 2713	

Planned Parenthood-World Population - Victor-Bostrom Fund to Assist the Work of the International Planned Parenthood Federation (ME&CH), 1968-1974	box 285	folder 2714	
Population Council, Inc Biological and Medical Fellowships (F&AHF), 1961-1971	box 285	folder 2715	
Population Council, Inc Biological and Medical Fellowships (F&AHF), 1961-1971	box 285	folder 2716	
Population Council, Inc Implementation of Family Planning in Large Delivery Hospitals (ME&CH), 1965-1969	box 285	folder 2717	
Population Council, Inc Implementation of Family Planning in Large Delivery Hospitals (ME&CH), 1965-1969	box 285	folder 2718	
Population Council, Inc Implementation of Family Planning in Large Delivery Hospitals (ME&CH), 1965-1969	box 285	folder 2719	
Population Council, Inc Implementation of Family Planning in Large Delivery Hospitals (ME&CH), 1965-1969	box 285	folder 2720	
Population Council, Inc Implementation of Family Planning in Large Delivery Hospitals (ME&CH), 1965-1969	box 285	folder 2721	
Population Council, Inc Pre-packaged Lecture Series on Population for Use in Medical and Nursing Schools in the Developing World, 1973-1979	box 286	folder 2722	
Population Council, Inc Pre-packaged Lecture Series on Population for Use in Medical and Nursing Schools in the Developing World, 1973-1979	box 286	folder 2723	
Population Council, Inc Research, Education and Program Development Related to International Population Growth, 1979-1982	box 286	folder 2724	
Population Council, Inc Research, Education and Program Development Related to International Population Growth, 1979-1982	box 286	folder 2725	
Population Council, Inc./International Institute for the Study of Human Reproduction, Columbia University - Planning Program to Integrate Family Planning into Rural Maternal-Care Services (ME&CH), 1968-1974	box 286	folder 2726	
Population Council, Inc./International Institute for the Study of Human Reproduction, Columbia University - Planning Program to Integrate Family Planning into Rural Maternal-Care Services (ME&CH), 1968-1974	box 286	folder 2727	
Population Council, Inc./International Institute for the Study of Human Reproduction, Columbia University - Planning Program to Integrate Family Planning into Rural Maternal-Care Services (ME&CH), 1968-1974	box 286	folder 2728	
Population Council, Inc./International Institute for the Study of Human Reproduction, Columbia University - Planning Program to Integrate Family Planning into Rural Maternal-Care Services (ME&CH), 1968-1974	box 286	folder 2729	

Population Education, Inc Television Presentation of the Report of the Commission on Population Growth and the American Future, 1971-1972	box 286	folder 2730	
Population Education, Inc Television Presentation of the Report of the Commission on Population Growth and the American Future, 1972-1974	box 287	folder 2731	
Population Education, Inc Television Presentation of the Report of the Commission on Population Growth and the American Future, 1972-1974	box 287	folder 2732	
Population Institute - Program of Sex Education for Teenagers, 1977-1982	box 287	folder 2733	
Population Institute - Program of Sex Education for Teenagers, 1977-1982	box 287	folder 2734	
Practical Nurses of New York, Inc Recruitment Committee, 1943-1945	box 287	folder 2735	
Practical Nurses of New York, Inc Recruitment Committee, 1943-1945	box 287	folder 2736	
Presbyterian-St. Luke's Hospital - Patient Care Research and Nursing Education (ME&CH), 1957-1958	box 287	folder 2737	
Presbyterian-St. Luke's Hospital - Patient Care Research and Nursing Education (ME&CH), 1957-1958	box 287	folder 2738	
Presbyterian-St. Luke's Hospital - Patient Care Research and Nursing Education (ME&CH), 1957-1958	box 287	folder 2739	
Presbyterian-St. Luke's Hospital - Patient Care Research and Nursing Education (ME&CH), 1959-1972	box 288	folder 2740	
Presbyterian-St. Luke's Hospital - Patient Care Research and Nursing Education (ME&CH), 1959-1972	box 288	folder 2741	
Presbyterian-St. Luke's Hospital - Patient Care Research and Nursing Education (ME&CH), 1959-1972	box 288	folder 2742	
Presbyterian-St. Luke's Hospital - Patient Care Research and Nursing Education (ME&CH), 1959-1972	box 288	folder 2743	
Presbyterian-St. Luke's Hospital - Patient Care Research and Nursing Education (ME&CH), 1959-1972	box 288	folder 2744	
Princeton University - Office of Population Research, 1977-1981	box 288	folder 2745	
Princeton University - Office of Population Research, 1977-1981	box 288	folder 2746	
Program of Accentuated Giving to Medical Education by Use of Capital Funds, No Date	box 288	folder 2747	
Public Charities Association of Pennsylvania - Division of Statistical Service, 1925-1930	box 289	folder 2748	
Public Health Education - General Federation of Women's Clubs, February-September, 1934	box 289	folder 2749	

University of Puerto Rico (School of Medicine) - Library, 1961-1970	box 289	folder 2750
University of Puerto Rico (School of Medicine) - Library, 1961-1970	box 289	folder 2751
University of Puerto Rico (School of Medicine) - Library, 1961-1970	box 289	folder 2752
University of Puerto Rico (School of Medicine) - Library, 1961-1970	box 289	folder 2753
Relief Appropriations - Christmas Emergency Relief, 1931-1932	box 289	folder 2754
Relief Appropriations - Emergency Work Relief Board, 1931-1933	box 289	folder 2755
Relief Appropriations - Emergency Work Relief Board, 1931-1933	box 289	folder 2756
Relief Appropriations - Emergency Work Relief Board, 1931-1933	box 289	folder 2757
Relief Appropriations - Emergency Work Relief Board, 1931-1933	box 289	folder 2758
Relief Appropriations - Family Agencies, 1933-1934	box 289	folder 2759
Relief Appropriations - Family Welfare Committee - Emergency Relief, 1935-1936	box 290	folder 2760
Relief Appropriations - Family Welfare Committee - Special Relief Appropriation, 1934-1935	box 290	folder 2761
Relief Appropriations - Family Welfare Societies of New York, 1937-1938	box 290	folder 2762
Relief Appropriations - Proposed 1936 to 1937 Relief, 1936-1937	box 290	folder 2762A
Relief Appropriations - Special Emergency Appropriation, 1930-1931	box 290	folder 2763
Research Foundation for Mental Hygiene, Inc. (Columbia University, College of Physicians and Surgeons, Department of Psychiatry) - Development of a Laboratory for Observing Human Behavior (ME), 1954-1970	box 290	folder 2764
Research Foundation for Mental Hygiene, Inc. (Columbia University, College of Physicians and Surgeons, Department of Psychiatry) - Development of a Laboratory for Observing Human Behavior (ME), 1954-1970	box 290	folder 2765
Research in Aviation Medicine (Army Air Forces), January- December, 1942	box 290	folder 2766
Richmond Department of Public Health - Home Care Program (EHS), 1950-1965	box 290	folder 2767
Richmond Department of Public Health - Home Care Program (EHS), 1950-1965	box 290	folder 2768

Richmond Department of Public Health - Home Care Program (EHS), 1950-1965	box 290	folder 2769	
Richmond Department of Public Health - Medical Care for Indigents (EHS), 1948-1949	box 290	folder 2770	
Richmond Department of Public Health - Medical Care for Indigents (EHS), 1948-1949	box 290	folder 2771	
Richmond Department of Public Health - Medical Care for Indigents (EHS), 1950-1951	box 291	folder 2772	
Rochester Regional Hospital Council, Inc Development of Continuing Professional Education in the Regional Program (EHS), 1955-1968	box 291	folder 2773	
Rochester Regional Hospital Council, Inc Development of Continuing Professional Education in the Regional Program (EHS), 1955-1968	box 291	folder 2774	
Rochester Regional Hospital Council, Inc Development of Continuing Professional Education in the Regional Program (EHS), 1955-1968	box 291	folder 2775	
Rochester Regional Hospital Council, Inc Development of Continuing Professional Education in the Regional Program (EHS), 1955-1968	box 291	folder 2776	
Rochester Regional Hospital Council, Inc Development of Continuing Professional Education in the Regional Program (EHS), 1955-1968	box 291	folder 2777	
Rochester Regional Hospital Council, Inc Development of Continuing Professional Education in the Regional Program (EHS), 1955-1968	box 291	folder 2778	
University of Rochester - Comprehensive Evaluation of the School of Medicine and Dentistry, 1966-1974	box 291	folder 2779	
University of Rochester (School of Medicine and Dentistry) - Conference on Schizophrenia (ME&CH), 1966-1968	box 291	folder 2780	
University of Rochester (School of Medicine and Dentistry) - Conference on Schizophrenia (ME&CH), 1966-1968	box 291	folder 2781	
University of Rochester (School of Medicine and Dentistry) - Cooperative Health Program in Public Schools (ME&CH), May- October, 1969	box 291	folder 2782	
University of Rochester (School of Medicine and Dentistry) - Cooperative Health Program in Public Schools (ME&CH), 1970-1977	box 292	folder 2783	
University of Rochester (School of Medicine and Dentistry) - Cooperative Health Program in Public Schools (ME&CH), 1970-1977	box 292	folder 2784	
University of Rochester (School of Medicine and Dentistry) - Cooperative Health Program in Public Schools (ME&CH), 1970-1977	box 292	folder 2785	

University of Rochester (School of Medicine and Dentistry) - Cooperative Health Program in Public Schools (ME&CH), 1970-1977	box 292	folder 2786	
University of Rochester (School of Medicine and Dentistry) - Department of Psychiatry (ME), 1948-1952	box 292	folder 2787	
University of Rochester (School of Medicine and Dentistry) - Department of Psychiatry (ME), 1948-1952	box 292	folder 2788	
University of Rochester (School of Medicine and Dentistry) - Department of Psychiatry - Financial Data (ME), 1949-1952	box 292	folder 2789	
University of Rochester (School of Medicine and Dentistry) - Department of Psychiatry - Lectures (ME), 1949-1950	box 292	folder 2790	
University of Rochester (School of Medicine and Dentistry) - Department of Psychiatry - Lectures (ME), 1949-1950	box 292	folder 2791	
University of Rochester (School of Medicine and Dentistry) - Department of Psychiatry - Objectives (ME), 1950	box 292	folder 2792	
University of Rochester (School of Medicine and Dentistry) - Department of Psychiatry - Report (ME), April-September, 1950	box 292	folder 2793	
University of Rochester (School of Medicine and Dentistry) - New Program of Medical Education (ME&CH), February-March, 1964	box 292	folder 2794	
University of Rochester (School of Medicine and Dentistry) - New Program of Medical Education (ME&CH), 1964-1968	box 293	folder 2795	
University of Rochester (School of Medicine and Dentistry) - New Program of Medical Education (ME&CH), 1964-1968	box 293	folder 2796	
University of Rochester (School of Medicine and Dentistry) - New Program of Medical Education (ME&CH), 1964-1968	box 293	folder 2797	
University of Rochester (School of Medicine and Dentistry) - Post-Graduate Program to Prepare Pediatricians for Teaching and Research in the Emerging Field of Child Development, 1973-1982	box 293	folder 2798	
University of Rochester (School of Medicine and Dentistry) - Post-Graduate Program to Prepare Pediatricians for Teaching and Research in the Emerging Field of Child Development, 1973-1982	box 293	folder 2799	
University of Rochester (School of Medicine and Dentistry) - Regional Consortium for Health Manpower Training (ME&CH), 1970-1983	box 293	folder 2800	
University of Rochester (School of Medicine and Dentistry) - Regional Consortium for Health Manpower Training (ME&CH), 1970-1983	box 293	folder 2801	
University of Rochester (School of Medicine and Dentistry) - Regional Consortium for Health Manpower Training - Health Careers Directory (ME&CH), February 5-17, 1976	box 293	folder 2802	
University of Rochester (School of Medicine and Dentistry) - Regional Consortium for Health Manpower Training - Health Manpower Report (ME&CH), May-June, 1975	box 293	folder 2803	

University of Rochester (School of Medicine and Dentistry) - Regional Consortium for Health Manpower Training - Health Manpower Report (ME&CH), February 5-17, 1976	box 294	folder 2804	
Rockefeller University - Seminar Series "Evolution and Execution of Public Policy in Science and Related Education; Science and Evolution of Public Policy", 1970-1974	box 294	folder 2805	
Roosevelt Hospital of New York - General Support, 1939-1949	box 294	folder 2806	
Roosevelt Hospital of New York - Model for Comprehensive Consumer Health Education in the Community Hospital Setting, 1973-1981	box 294	folder 2807	
Roosevelt Hospital of New York - Model for Comprehensive Consumer Health Education in the Community Hospital Setting, 1973-1981	box 294	folder 2808	
Roosevelt Hospital of New York - Model for Comprehensive Consumer Health Education in the Community Hospital Setting, 1973-1981	box 294	folder 2809	
Roosevelt Hospital of New York - Model for Comprehensive Consumer Health Education in the Community Hospital Setting - Annual Report, October 29, 1974	box 294	folder 2810	
Roosevelt Hospital of New York - Model for Comprehensive Consumer Health Education in the Community Hospital Setting - Medical Information Technology, Inc., 1975	box 294	folder 2811	
Roosevelt Hospital of New York - Model for Comprehensive Consumer Health Education in the Community Hospital Setting - Site Visit, December 9, 1975	box 294	folder 2812	
Roosevelt Hospital of New York - Model for Comprehensive Consumer Health Education in the Community Hospital Setting - Summary Report, 1975	box 294	folder 2813	
Roosevelt Hospital of New York/St. Luke's Hospital Center - Combined Study of the Feasibility of Establishing a System of Comprehensive Medical Care, 1973-1974	box 294	folder 2814	
Roosevelt Hospital of New York/St. Luke's Hospital Center - Combined Study of the Feasibility of Establishing a System of Comprehensive Medical Care, 1974-1978	box 295	folder 2815	
Roosevelt Hospital of New York/St. Luke's Hospital Center - Combined Study of the Feasibility of Establishing a System of Comprehensive Medical Care, 1974-1978	box 295	folder 2816	
Roosevelt Hospital of New York/St. Luke's Hospital Center - Combined Study of the Feasibility of Establishing a System of Comprehensive Medical Care, 1974-1978	box 295	folder 2817	
Roosevelt Hospital of New York/St. Luke's Hospital Center - Combined Study of the Feasibility of Establishing a System of Comprehensive Medical Care, 1974-1978	box 295	folder 2818	

Roosevelt Hospital of New York/St. Luke's Hospital Center - Combined Study of the Feasibility of Establishing a System of Comprehensive Medical Care, 1974-1978	box 295	folder 2819
Roosevelt Hospital of New York/St. Luke's Hospital Center - Planning for the Merger of the Two Institutions, 1978-1983	box 295	folder 2820
Roosevelt Hospital of New York/St. Luke's Hospital Center - Planning for the Merger of the Two Institutions, 1978-1983	box 295	folder 2821
Roosevelt Hospital of New York/St. Luke's Hospital Center - Planning for the Merger of the Two Institutions, 1978-1983	box 295	folder 2822
Roosevelt Hospital of New York/St. Luke's Hospital Center - Planning for the Merger of the Two Institutions, 1978-1983	box 295	folder 2823
Royal College of Surgeons in Ireland - Testing and Evaluation Project in Cooperation with National Board of Medical Examiners, 1976-1980	box 295	folder 2824
Royal Society of Medicine - Anglo-American Conference on Medical Care, 1970-1974	box 295	folder 2825
Royal Society of Medicine - Experimental Journal of Medical Practice for the Continuing of Physicians Serving in the Development Countries, 1970-1981	box 296	folder 2826
Royal Society of Medicine - Experimental Journal of Medical Practice for the Continuing of Physicians Serving in the Development Countries, 1970-1981	box 296	folder 2827
Royal Society of Medicine - Experimental Journal of Medical Practice for the Continuing of Physicians Serving in the Development Countries - Publications, 1969-19776~	box 296	folder 2828
Royal Society of Medicine Foundation, Inc Anglo-American Conference on Drug Abuse, 1972-1976	box 296	folder 2829
Royal Society of Medicine Foundation, Inc Anglo-American Conference on Sexually Transmitted Diseases, 1974-1977	box 296	folder 2830
Royal Society of Medicine Foundation, Inc General Support, 1975-1982	box 296	folder 2831
Rush-Presbyterian-St. Luke's Medical Center - Network of Teaching Hospitals in Cook County to Improve Health Manpower Distribution and Medical Care (ME&CH), 1971-1975	box 296	folder 2832
Rush-Presbyterian-St. Luke's Medical Center - Network of Teaching Hospitals in Cook County to Improve Health Manpower Distribution and Medical Care (ME&CH), 1971-1975	box 296	folder 2833
Rush-Presbyterian-St. Luke's Medical Center - Network of Teaching Hospitals in Cook County to Improve Health Manpower Distribution and Medical Care (ME&CH), 1976-1978	box 297	folder 2834
Rush-Presbyterian-St. Luke's Medical Center - Network of Teaching Hospitals in Cook County to Improve Health Manpower Distribution and Medical Care (ME&CH), 1976-1978	box 297	folder 2835

Rush-Presbyterian-St. Luke's Medical Center - Network of Teaching Hospitals in Cook County to Improve Health Manpower Distribution and Medical Care - Proceedings and Reports, 1972-1980	box 297	folder 2836
Rush-Presbyterian-St. Luke's Medical Center - Network of Teaching Hospitals in Cook County to Improve Health Manpower Distribution and Medical Care - Proceedings and Reports, 1972-1980	box 297	folder 2837
Rush-Presbyterian-St. Luke's Medical Center - Network of Teaching Hospitals in Cook County to Improve Health Manpower Distribution and Medical Care - Proceedings and Reports, 1972-1980	box 297	folder 2838
Rush-Presbyterian-St. Luke's Medical Center - Network of Teaching Hospitals in Cook County to Improve Health Manpower Distribution and Medical Care - Proceedings and Reports, 1972-1980	box 297	folder 2839
Rutgers University Medical School - Library Books (ME&CH), 1963-1971	box 297	folder 2840
Rutgers University Medical School - Library Books (ME&CH), 1963-1971	box 297	folder 2841
Rutgers University Medical School - Travel Costs (ME), 1962-1963	box 297	folder 2842
University of Saint Andrews - Symposium on Hospital and Medical School Design (ME&CH), 1960-1961	box 297	folder 2843
Saint Vincent's Hospital - Building Fund, January-February, 1942	box 297	folder 2844
Salzburg Seminar in American Studies - Building Fund, 1956-1960	box 297	folder 2845
Salzburg Seminar in American Studies - General Support, February-November, 1950	box 297	folder 2846
Salzburg Seminar in American Studies - General Support, 1951-1965	box 298	folder 2847
Salzburg Seminar in American Studies - General Support, 1951-1965	box 298	folder 2848
Salzburg Seminar in American Studies - General Support, 1951-1965	box 298	folder 2849
Salzburg Seminar in American Studies - General Support, 1951-1965	box 298	folder 2850
Salzburg Seminar in American Studies - General Support, 1951-1965	box 298	folder 2851
Salzburg Seminar in American Studies - General Support, 1951-1965	box 298	folder 2852
Salzburg Seminar in American Studies - General Support, 1951-1965	box 298	folder 2853

Salzburg Seminar in American Studies - General Support, 1951-1965	box 298	folder 2854	
Salzburg Seminar in American Studies - General Support, 1965-1981	box 299	folder 2855	
Salzburg Seminar in American Studies - General Support, 1965-1981	box 299	folder 2856	
Salzburg Seminar in American Studies - General Support, 1965-1981	box 299	folder 2857	
Salzburg Seminar in American Studies - General Support, 1965-1981	box 299	folder 2858	
Salzburg Seminar in American Studies - General Support, 1965-1981	box 299	folder 2859	
Salzburg Seminar in American Studies - General Support, 1965-1981	box 299	folder 2860	
Salzburg Seminar in American Studies - General Support, 1965-1981	box 299	folder 2861	
Salzburg Seminar in American Studies - General Support, 1965-1981	box 299	folder 2862	
Salzburg Seminar in American Studies - General Support, 1965-1981	box 299	folder 2863	
Salzburg Seminar in American Studies - General Support - Annual Reports, 1967-1975	box 300	folder 2864	
Salzburg Seminar in American Studies - Proposed Fellowships, January 11, 1952	box 300	folder 2865	
Saranac Laboratory - Silicosis and Pneumonoconioses Study, 1928-1930	box 300	folder 2866	
Saskatchewan Department of Public Health - Role of the Mental Hospital Nurse, 1954-1964	box 300	folder 2867	
Saskatchewan Department of Public Health - Role of the Mental Hospital Nurse, 1954-1964	box 300	folder 2868	
Saskatchewan Department of Public Health/University of Saskatchewan - Psychiatric Training and Field Experimentation in Mental Health (EHS), 1950-1955	box 300	folder 2869	
Saskatchewan Department of Public Health/University of Saskatchewan - Psychiatric Training and Field Experimentation in Mental Health (EHS), 1950-1955	box 300	folder 2870	
Saskatchewan Department of Public Health/University of Saskatchewan - Psychiatric Training and Field Experimentation in Mental Health (EHS), 1950-1955	box 300	folder 2871	
Saskatchewan Department of Public Health/University of Saskatchewan - Psychiatric Training and Field Experimentation in Mental Health (EHS), 1950-1955	box 300	folder 2872	

Saskatchewan Department of Public Health/University of Saskatchewan - Psychiatric Training and Field Experimentation in Mental Health (EHS), 1950-1955	box 300	folder 2873	
Saskatchewan Hospital - Application of Learning Theory Techniques to Altering Behavior in Psychiatric Patients (MR), 1958-1965	box 300	folder 2874	
Saskatchewan Hospital - Application of Learning Theory Techniques to Altering Behavior in Psychiatric Patients (MR), 1958-1965	box 300	folder 2875	
Saskatchewan Hospital - Relation of Ward Design to Patient Behavior (ME&CH), 1957-1964	box 301	folder 2876	
Saskatchewan Hospital - Relation of Ward Design to Patient Behavior (ME&CH), 1957-1964	box 301	folder 2877	
University of Saskatchewan (Faculty of Medicine) - Medical Sociology Program (ME&CH), 1958-1963	box 301	folder 2878	
University of Saskatchewan (Faculty of Medicine) - Medical Sociology Program (ME&CH), 1958-1963	box 301	folder 2879	
University of Saskatchewan (Faculty of Medicine) - Travel and Consultation for Dean, 1951-1953	box 301	folder 2880	
Seamen's Church Institute of New York, 1924, 1935, 1946	box 301	folder 2881	
Serbian Child Welfare Association of America, 1920-1921	box 301	folder 2882	
Seton Hall College of Medicine, May-July, 1962	box 301	folder 2883	
Sex Information and Education Council of the United States - General Support, 1964-1979	box 301	folder 2884	
Sex Information and Education Council of the United States - General Support, 1964-1979	box 301	folder 2885	
Sex Information and Education Council of the United States - General Support, 1964-1979	box 301	folder 2886	
Sex Information and Education Council of the United States - Project Support, March 11-16, 1966	box 301	folder 2887	
Sex Information and Education Council of the United States - Project Support, 1966-1974	box 302	folder 2888	
Sex Information and Education Council of the United States - Project Support, 1966-1974	box 302	folder 2889	
Sex Information and Education Council of the United States - Project Support, 1966-1974	box 302	folder 2890	
Sex Information and Education Council of the United States - Resource Center and Library Support, 1979-1980	box 302	folder 2891	
Sloan-Kettering Institute for Cancer Research - Structure and Function of Nucleic Acids and Nucleoproteins (ME), 1955-1966	box 302	folder 2892	

Sloan-Kettering Institute for Cancer Research - Structure and Function of Nucleic Acids and Nucleoproteins (ME), 1955-1966	box 302	folder 2893
Sloan-Kettering Institute for Cancer Research - Structure and Function of Nucleic Acids and Nucleoproteins (ME), 1955-1966	box 302	folder 2894
Smith College - Between-terms Program - Interdisciplinary Perspectives on Medicine and the Medical Sciences, 1978-1980	box 302	folder 2895
Smith College - School for Social Work, 1924-1927	box 302	folder 2896
Smithsonian Institution - Annotated Catalogue of the Smithsonian Collection of Medical Devices and Instruments, and a National Directory of Other Collections (ME&CH), 1971-1975	box 302	folder 2897
Smithsonian Institution - Third International Symposium on Comparative Social Behavior, 1968-1972	box 303	folder 2898
Smithsonian Institution - Third International Symposium on Comparative Social Behavior, 1968-1972	box 303	folder 2899
South Forty Corporation - Resources Development Study of Health Services - Green Haven Correctional Facility, January- December, 1973	box 303	folder 2900
University of Southern California (School of Medicine) - Construction of Multi-discipline Teaching Laboratories (ME&CH), 1957-1961	box 303	folder 2901
University of Southern California (School of Medicine) - Development of New Programs in Community Medicine (ME&CH), 1966-1974	box 303	folder 2902
University of Southern California (School of Medicine) - Development of New Programs in Community Medicine (ME&CH), 1966-1974	box 303	folder 2903
University of Southern California (School of Medicine) - Development of New Programs in Community Medicine (ME&CH), 1966-1974	box 303	folder 2904
University of Southern California (School of Medicine) - Division of Research in Medical Education (ME&CH), 1961-1970	box 303	folder 2905
University of Southern California (School of Medicine) - Establishment of a Division of Allied Health in the Department of Community Medicine and Public Health (ME), 1971-1973	box 303	folder 2906
University of Southern California (School of Medicine) - Establishment of a Division of Allied Health in the Department of Community Medicine and Public Health (ME), 1971-1973	box 303	folder 2907
University of Southern California (School of Medicine) - Establishment of a Division of Allied Health in the Department of Community Medicine and Public Health (ME), 1974-1978	box 304	folder 2908
University of Southern California (School of Medicine) - Establishment of a Division of Allied Health in the Department of Community Medicine and Public Health (ME), 1974-1978	box 304	folder 2909

Southern Pediatric Seminar - General Support, 1925-1934	box 304	folder 2910
Southern Pediatric Seminar - General Support, 1925-1934	box 304	folder 2911
Southern Pediatric Seminar - General Support, 1925-1934	box 304	folder 2912
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - General Files, 1951-1953	box 304	folder 2913
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - General Files, 1951-1953	box 304	folder 2914
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - General Files, 1951-1953	box 304	folder 2915
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - General Files, 1953-1955	box 305	folder 2916
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - General Files, 1953-1955	box 305	folder 2917
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - General Files, 1953-1955	box 305	folder 2918
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - General Files, 1953-1955	box 305	folder 2919
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - General Files, 1953-1955	box 305	folder 2920
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - General Files, 1953-1955	box 305	folder 2921
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - General Files, 1953-1955	box 305	folder 2922
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - General Files, 1953-1955	box 305	folder 2923
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - General Files, 1953-1955	box 305	folder 2924
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - General Files, 1953-1955	box 305	folder 2925
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - General Files, 1955-1957	box 306	folder 2926
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - General Files, 1955-1957	box 306	folder 2927
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - General Files, 1955-1957	box 306	folder 2928
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - General Files, 1955-1957	box 306	folder 2929
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - General Files, 1955-1957	box 306	folder 2930

Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - General Files, 1955-1957	box 306	folder 2931	
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - General Files, 1955-1957	box 306	folder 2932	
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - General Files, 1955-1957	box 306	folder 2933	
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - General Files, 1955-1957	box 306	folder 2934	
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - General Files, 1955-1957	box 306	folder 2935	
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - General Files, 1957-1981	box 307	folder 2936	
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - General Files, 1957-1981	box 307	folder 2937	
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - General Files, 1957-1981	box 307	folder 2938	
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - Regional Committee Minutes, 1954-1957	box 307	folder 2939	
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - Regional Committee Minutes, 1954-1957	box 307	folder 2940	
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - University Files (Emory University) (ME), 1954-1957	box 307	folder 2941	
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - University Files (Emory University) (ME), 1954-1957	box 307	folder 2942	
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - University Files (Emory University) (ME), 1954-1957	box 307	folder 2943	
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - University Files (Emory University) (ME), 1954-1957	box 307	folder 2944	
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - University Files (Emory University) (ME), 1958-1959	box 308	folder 2945	
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - University Files (University of Alabama) (ME), 1954-1960	box 308	folder 2946	
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - University Files (University of Alabama) (ME), 1954-1960	box 308	folder 2947	

Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - University Files (University of Alabama) (ME), 1954-1960	box 308	folder 2948
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - University Files (University of Maryland) (ME), 1954-1960	box 308	folder 2949
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - University Files (University of Maryland) (ME), 1954-1960	box 308	folder 2950
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - University Files (University of Maryland) (ME), 1954-1960	box 308	folder 2951
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - University Files (University of Maryland) (ME), 1954-1960	box 308	folder 2952
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - University Files (University of North Carolina) (ME), 1954-1956	box 308	folder 2953
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - University Files (University of North Carolina) (ME), 1954-1956	box 308	folder 2954
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - University Files (University of North Carolina) (ME), 1957-1959	box 309	folder 2955
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - University Files (University of North Carolina) (ME), 1957-1959	box 309	folder 2956
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - University Files (University of Texas) (ME), 1953-1959	box 309	folder 2957
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - University Files (University of Texas) (ME), 1953-1959	box 309	folder 2958
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - University Files (University of Texas) (ME), 1953-1959	box 309	folder 2959
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - University Files (Vanderbilt University) (ME), 1953-1962	box 309	folder 2960
Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - University Files (Vanderbilt University) (ME), 1953-1962	box 309	folder 2961

Southern Regional Education Board - Coordination of Planning for Graduate Education for Nursing - University Files (Vanderbilt University) (ME), 1953-1962	box 309	folder 2963
Spelman College - Support for the Division of Natural Sciences in Educating Black Women for the Health Professions, 1973-1974	box 309	folder 2964
Spelman College - Support for the Division of Natural Sciences in Educating Black Women for the Health Professions, 1975-1981	box 310	folder 2965
Spelman College - Support for the Division of Natural Sciences in Educating Black Women for the Health Professions, 1975-1981	box 310	folder 2966
Stanford University - Medical Education Program Study, February 25, 1954	box 310	folder 2967
Stanford University - Proposed Study "Randomized Controller Trail of Informed Consent for Elective Surgery", 1978-1979	box 310	folder 2968
Stanford University (School of Medicine) - Attitudes of Medical Personnel towards Cannabis and its Use, 1971-1973	box 310	folder 2969
Stanford University (School of Medicine) - Development and Testing of Audiovisual Instructional Materials to Improve Education in Gross Anatomy, 1970-1979	box 310	folder 2970
Stanford University (School of Medicine) - Experiment in the Teaching of Gross Anatomy, 1973-1975	box 310	folder 2971
Stanford University (School of Medicine) - Operation Rates Study, 1972-1974	box 310	folder 2972
Stanford University (School of Medicine) - Planning Studies for a New University Hospital, 1967-1973	box 310	folder 2973
Stanford University (School of Medicine) - Planning Studies for a New University Hospital, 1967-1973	box 310	folder 2974
Stanford University (School of Medicine) - Team Approach to Multifactorial Medical Problems, Including Sexual Dysfunction, 1972-1973	box 310	folder 2975
Stanford University (School of Medicine) - Team Approach to Multifactorial Medical Problems, Including Sexual Dysfunction, 1972-1973	box 310	folder 2976
Stanford University (School of Medicine) - Team Approach to Multifactorial Medical Problems, Including Sexual Dysfunction, 1974-1976	box 311	folder 2977
Stanford University (School of Medicine) - Team Approach to Multifactorial Medical Problems, Including Sexual Dysfunction, 1974-1976	box 311	folder 2978
Stanford University (School of Medicine) - Team Approach to Multifactorial Medical Problems, Including Sexual Dysfunction, 1974-1976	box 311	folder 2979

Stanford University (School of Medicine) - Team Approach to Multifactorial Medical Problems, Including Sexual Dysfunction - Progress Report, October 24, 1974	box 311	folder 2980	
Stanford University (School of Medicine) - University-wide Program on Aggression and Violence in Modern Society, 1970-1979	box 311	folder 2981	
Stanford University (School of Medicine) - University-wide Program on Aggression and Violence in Modern Society, 1970-1979	box 311	folder 2982	
Stanford University (School of Medicine) - University-wide Program on Aggression and Violence in Modern Society, 1970-1979	box 311	folder 2983	
Stanford University (School of Medicine) - University-wide Program on Aggression and Violence in Modern Society, 1970-1979	box 311	folder 2984	
Stanford University (School of Medicine) - University-wide Program on Aggression and Violence in Modern Society, 1970-1979	box 311	folder 2985	
Stanford University (School of Medicine) - University-wide Program on Aggression and Violence in Modern Society - Pamphlets, 1974-1976	box 311	folder 2986	
Stanford University (School of Medicine) - University-wide Program on Aggression and Violence in Modern Society - Technical Report Series Nos. 101 to 104, October 15, 1976	box 311	folder 2987	
Stanford University (School of Medicine) - University-wide Program on Aggression and Violence in Modern Society - Technical Report Series Nos. 105 to 111, October 15, 1976	box 312	folder 2988	
Stanford University - Support of University Press, 1979-1981	box 312	folder 2989	
State Charities Aid Association (New York) - Joint Committee Hygiene Clinic, 1926-1932	box 312	folder 2990	
State Charities Aid Association (New York) - Joint Committee Hygiene Clinic, 1926-1932	box 312	folder 2991	
State Charities Aid Association (New York) - Joint Committee Hygiene Clinic, 1926-1932	box 312	folder 2992	
State Charities Aid Association (New York) - Joint Committee Hygiene Clinic - Reports, 1927-1930	box 312	folder 2993	
State Charities Aid Association (New York) - New York City Committee on Mental Hygiene, 1926-1932	box 312	folder 2994	
State Charities Aid Association (New York) - New York City Committee on Mental Hygiene, 1926-1932	box 312	folder 2995	
State Charities Aid Association (New York) - New York City Committee on Mental Hygiene, 1926-1932	box 312	folder 2996	

State Charities Aid Association (New York) - New York City Committee on Mental Hygiene, 1926-1932	box 312	folder 2997	
State Communities Aid Association (New York) - Study and Evaluation of the Health Research Council, 1970-1973	box 313	folder 2998	
State Communities Aid Association (New York) - Study and Evaluation of the Health Research Council, 1970-1973	box 313	folder 2999	
State Communities Aid Association (New York) - Study and Evaluation of the Health Research Council, 1970-1973	box 313	folder 3000	
State Communities Aid Association (New York) - Study and Evaluation of the Health Research Council, 1970-1973	box 313	folder 3001	
State Council of Social Agencies (Georgia) - Financing of Secretaries, 1922-1924	box 313	folder 3002	
State Serum Institute (Copenhagen, Denmark) - Salmonella Culture Center, 1937-1945	box 313	folder 3003	
State Serum Institute (Copenhagen, Denmark) - Salmonella Culture Center, 1937-1945	box 313	folder 3004	
State Serum Institute (Copenhagen, Denmark) - Salmonella Culture Center, 1937-1945	box 313	folder 3005	
State University of New York (College of Medicine at Syracuse) - Training of Pediatric Educators (ME), 1954-1956	box 313	folder 3006	
State University of New York (College of Medicine at Syracuse) - Training of Pediatric Educators (ME), 1954-1956	box 313	folder 3007	
State University of New York (College of Medicine at Syracuse) - Training of Pediatric Educators (ME), 1954-1956	box 313	folder 3008	
State University of New York (College of Medicine at Syracuse) - Training of Pediatric Educators (ME), 1957-1963	box 314	folder 3009	
State University of New York (Downstate Medical Center) - Faculty Retreat, June-December, 1972	box 314	folder 3010	
State University of New York (Downstate Medical Center) - Research Training for Post-Resident Psychiatrists (CH), 1957-1963	box 314	folder 3011	
State University of New York (Downstate Medical Center) - Research Training for Post-Resident Psychiatrists (CH), 1957-1963	box 314	folder 3012	
State University of New York (Medical Center at New York) - Department of Physiology, 1948-1960	box 314	folder 3013	
Scope and Contents note			
Long Island College of Medicine until April, 1950.			
State University of New York (Medical Center at New York) - Department of Physiology, 1948-1960	box 314	folder 3014	

# **Scope and Contents note**

Long Island College of Medicine until April, 1950.

State University of New York (Medical Center at New York) - Department of Physiology, 1948-1960	box 314	folder 3015	
Scope and Contents note			
Long Island College of Medicine until April, 1950.			
State University of New York (Stony Brook) - Academic Planning for New Medical School and Health Sciences Center, 1967-1973	box 314	folder 3016	
State University of New York (Stony Brook) - Academic Planning for New Medical School and Health Sciences Center, 1967-1973	box 314	folder 3017	
State University of New York (Stony Brook) - Academic Planning for New Medical School and Health Sciences Center, 1967-1973	box 314	folder 3018	
State University of New York (Stony Brook) - Academic Planning for New Medical School and Health Sciences Center - Auxiliary Report, February 1, 1968	box 315	folder 3019	
State University of New York - Task Force on the University's Role in Preparing Health Manpower and Strengthening Health Care in New York State, 1972-1973	box 315	folder 3020	
State University of New York - Task Force on the University's Role in Preparing Health Manpower and Strengthening Health Care in New York State, 1972-1973	box 315	folder 3021	
State University of New York (Upstate Medical Center at Syracuse) - Planning Study for a Health Services Research Center, 1967-1970	box 315	folder 3022	
Stuyvesant Polyclinic - General Support, February-April, 1920	box 315	folder 3023	
Swarthmore College - Study of Direct Electric Currents in the Brain, 1951-1964	box 315	folder 3024	
Swarthmore College - Study of Direct Electric Currents in the Brain, 1951-1964	box 315	folder 3025	
Swarthmore College - Study of Direct Electric Currents in the Brain, 1951-1964	box 315	folder 3026	
Syracuse University (College of Medicine) - Teaching Parasitology, 1942-1947	box 315	folder 3027	
Teachers' College - Institute of Educational Research - Vocational Guidance Study, 1927-1930	box 315	folder 3028	
Teachers' College - Research in Social Studies Program, February 1, 1922	box 315	folder 3029	
Temple University (School of Medicine) - Capital Grants/ Unrestricted Gifts, November 15, 1955	box 315	folder 3030	

Temple University (School of Medicine) - Teaching Comprehensive Medicine (ME), September 23, 1955	box 315	folder 3031	
Temple University (School of Medicine) - Teaching Comprehensive Medicine (ME), 1955-1968	box 316	folder 3032	
Temple University (School of Medicine) - Teaching Comprehensive Medicine (ME), 1955-1968	box 316	folder 3033	
Temple University (School of Medicine) - Teaching Comprehensive Medicine (ME), 1955-1968	box 316	folder 3034	
Temple University (School of Medicine) - Teaching Comprehensive Medicine (ME), 1955-1968	box 316	folder 3035	
Temple University (School of Medicine) - Teaching Comprehensive Medicine (ME), 1955-1968	box 316	folder 3036	
Temple University (School of Medicine) - Teaching Comprehensive Medicine (ME), 1955-1968	box 316	folder 3037	
Temple University (School of Medicine) - Teaching Comprehensive Medicine (ME), 1955-1968	box 316	folder 3038	
Temple University (School of Medicine) - Travel Grant, 1955-1956	box 316	folder 3039	
Tennessee Medical Foundation - Planning and Organizing Programs to Improve Medical Care in Selected Tennessee Communities (EHS), November-December, 1952	box 316	folder 3040	
Tennessee Medical Foundation - Planning and Organizing Programs to Improve Medical Care in Selected Tennessee Communities (EHS), 1953-1959	box 317	folder 3041	
Tennessee Medical Foundation - Planning and Organizing Programs to Improve Medical Care in Selected Tennessee Communities (EHS), 1953-1959	box 317	folder 3042	
Tennessee Medical Foundation - Planning and Organizing Programs to Improve Medical Care in Selected Tennessee Communities (EHS), 1953-1959	box 317	folder 3043	
Tennessee Medical Foundation - Planning and Organizing Programs to Improve Medical Care in Selected Tennessee Communities (EHS), 1953-1959	box 317	folder 3044	
Tennessee Medical Foundation - Planning and Organizing Programs to Improve Medical Care in Selected Tennessee Communities (EHS), 1953-1959	box 317	folder 3045	
Tennessee Medical Foundation - Planning and Organizing Programs to Improve Medical Care in Selected Tennessee Communities (EHS), 1953-1959	box 317	folder 3046	
Tennessee Medical Foundation - Planning and Organizing Programs to Improve Medical Care in Selected Tennessee Communities (EHS), 1953-1959	box 317	folder 3047	

Tennessee Medical Foundation - Planning and Organizing Programs to Improve Medical Care in Selected Tennessee Communities (EHS), 1953-1959	box 317	folder 3048	
Tennessee Medical Foundation - Planning and Organizing Programs to Improve Medical Care in Selected Tennessee Communities (EHS), 1953-1959	box 317	folder 3049	
Tennessee Medical Foundation - Planning and Organizing Programs to Improve Medical Care in Selected Tennessee Communities - Original Tennessee Report (EHS), April 29, 1957	box 318	folder 3050	
Tennessee State Department of Public Health - Rural Squad, 1929-1938	box 318	folder 3051	
Tennessee State Medical Association - Postgraduate Instruction in Internal Medicine, 1939-1945	box 318	folder 3052	
Tennessee State Medical Association - Postgraduate Instruction in Internal Medicine - Financial Data, 1940-1943	box 318	folder 3053	
Tennessee State Medical Association - Postgraduate Instruction in Medical Gynecology, Endocrinology and Irradiation, 1944-1948	box 318	folder 3054	
Tennessee State Medical Association - Postgraduate Instruction in Minor Surgery, 1941-1945	box 318	folder 3055	
Tennessee State Medical Association - Postgraduate Instruction in Minor Surgery - Financial Data, 1942-1945	box 318	folder 3056	
Tennessee State Medical Association - Postgraduate Instruction in Neuropsychiatry, 1946-1952	box 318	folder 3057	
Tennessee State Medical Association - Postgraduate Instruction in Neuropsychiatry, 1946-1952	box 318	folder 3058	
Tennessee State Medical Association - Postgraduate Instruction in Obstetrics, 1933-1937	box 318	folder 3059	
Tennessee State Medical Association - Postgraduate Instruction in Obstetrics, 1933-1937	box 318	folder 3060	
Tennessee State Medical Association - Postgraduate Instruction in Obstetrics, January-December, 1938	box 319	folder 3061	
Tennessee State Medical Association - Postgraduate Instruction in Obstetrics - Financial Data, 1936-1940	box 319	folder 3062	
Tennessee State Medical Association - Postgraduate Instruction in Pediatrics, 1938-1941	box 319	folder 3063	
Tennessee State Medical Association - Postgraduate Instruction in Pediatrics, 1938-1941	box 319	folder 3064	
University of Tennessee - Area-wide Planning Project to Develop Health Manpower and Services in East Tennessee, 1970-1974	box 319	folder 3065	
University of Tennessee - Area-wide Planning Project to Develop Health Manpower and Services in East Tennessee, 1970-1974	box 319	folder 3066	

University of Tennessee - Area-wide Planning Project to Develop Health Manpower and Services in East Tennessee, 1970-1974	box 319	folder 3067	
University of Tennessee - Area-wide Planning Project to Develop Health Manpower and Services in East Tennessee, 1970-1974	box 319	folder 3068	
University of Tennessee - Area-wide Planning Project to Develop Health Manpower and Services in East Tennessee, 1970-1974	box 319	folder 3069	
University of Tennessee - Area-wide Planning Project to Develop Health Manpower and Services in East Tennessee, 1970-1974	box 319	folder 3070	
University of Tennessee (College of Medicine) - Family General Practice Clinic (ME), 1950-1951	box 319	folder 3071	
University of Tennessee (College of Medicine) - Family General Practice Clinic (ME), 1952-1956	box 320	folder 3072	
University of Tennessee (College of Medicine) - Family General Practice Clinic (ME), 1952-1956	box 320	folder 3073	
University of Tennessee (College of Medicine) - Family General Practice Clinic (ME), 1952-1956	box 320	folder 3074	
University of Tennessee (College of Medicine) - Family General Practice Clinic (ME), 1952-1956	box 320	folder 3075	
University of Tennessee (College of Medicine) - Family General Practice Clinic (ME), 1952-1956	box 320	folder 3076	
University of Tennessee (College of Medicine) - Family General Practice Clinic - Survey Report (ME), June 1, 1955	box 320	folder 3077	
University of Tennessee (College of Medicine) - Teaching Materials in Tropical Medicine, January-August, 1944	box 320	folder 3078	
University of Texas - Southwestern Medical School Symposium on Medical Education - Annual Meeting of the Association of Professors of Medicine, January-June, 1972	box 320	folder 3079	
Thetford, Vermont - Library and Archives Building, 1976-1979	box 320	folder 3080	
Throop Avenue Hospital - General Support, 1919-1920	box 320	folder 3081	
Tilney, Dr. Frederick - Structure and Development of the Brain, 1935-1938	box 320	folder 3082	
University of Toronto (Faculty of Medicine) - Research in Medical Education (ME&CH), February-October, 1966	box 320	folder 3083	
University of Toronto (Faculty of Medicine) - Research in Medical Education (ME&CH), February-October, 1966	box 320	folder 3084	
University of Toronto (Faculty of Medicine) - Research in Medical Education (ME&CH), 1966-1974	box 321	folder 3085	
University of Toronto (Faculty of Medicine) - Research in Medical Education (ME&CH), 1966-1974	box 321	folder 3086	
University of Toronto (Faculty of Medicine) - Research in Medical Education - Supplementary Material (ME&CH), 1969	box 321	folder 3087	

University of Toronto (Faculty of Medicine) - Research in Medical Education - Supplementary Material (ME&CH), 1969	box 321	folder 3088
University of Toronto (Faculty of Medicine) - Research in Medical Education - Supplementary Material (ME&CH), 1969	box 321	folder 3089
University of Toronto (Faculty of Medicine) - Research in Medical Education - Supplementary Material (ME&CH), 1969	box 321	folder 3090
University of Toronto (Faculty of Medicine) - Research in Medical Education - Supplementary Material (ME&CH), 1969	box 321	folder 3091
University of Toronto - Summer Student Project at Health Sciences Functional Planning Unit, 1968-1974	box 321	folder 3092
Totts Gap Institute for Human Ecology - Colloquium "The Limits of Medicine: An Analysis of the Doctor's Job in the Coming Era", 1975-1978	box 322	folder 3093
Totts Gap Institute for Human Ecology - Colloquium "The Limits of Medicine: An Analysis of the Doctor's Job in the Coming Era", 1975-1978	box 322	folder 3094
Trade Extension Classes for Girls (Training School for Morons), 1921-1925	box 322	folder 3095
Travelers' Aid Society - General Support, 1920-1930	box 322	folder 3096
Tuberculosis Sanatorium (Meridian, Mississippi) - General Support, 1933-1935	box 322	folder 3097
Tufts College - Stereoscopic Vision at Low Levels of Illumination Study, 1942-1949	box 322	folder 3098
Tufts-New England Medical Center - Definition of the Role and Educational Requirements of the "Primary Care Physician", 1972-1976	box 322	folder 3099
Tufts-New England Medical Center - Definition of the Role and Educational Requirements of the "Primary Care Physician", 1972-1976	box 322	folder 3100
Tufts-New England Medical Center - Definition of the Role and Educational Requirements of the "Primary Care Physician", 1972-1976	box 322	folder 3101
Tufts University - Curriculum Development - Undergraduate Program for Students Interested in Careers in the Delivery of Health Care, 1971-1973	box 322	folder 3102
Tufts University (School of Medicine) - Building Expansion, 1958-1959	box 322	folder 3103
Tufts University (School of Medicine) - Building Expansion, 1959-1961	box 323	folder 3104
Tufts University (School of Medicine) - Building Expansion, 1959-1961	box 323	folder 3105

Tufts University (School of Medicine) - Building Expansion, 1959-1961	box 323	folder 3106
Tufts University (School of Medicine) - Developmental Funds (ME&CH), 1961-1968	box 323	folder 3107
Tufts University (School of Medicine) - Fluid Funds - Educational Programing and Development (ME), 1955-1962	box 323	folder 3108
Tufts University (School of Medicine) - Fluid Funds - Educational Programing and Development (ME), 1955-1962	box 323	folder 3109
Tufts University (School of Medicine) - Fluid Funds - Educational Programing and Development (ME), 1955-1962	box 323	folder 3110
Tufts University (School of Medicine) - Joint Faculty Appointments to Strengthen and Integrate Teaching in the Biological Sciences, 1977-1979	box 323	folder 3111
Tufts University (School of Medicine) - Joint Faculty Appointments to Strengthen and Integrate Teaching in the Biological Sciences, 1980-1983	box 324	folder 3112
Tufts University (School of Medicine) - Joint Faculty Appointments to Strengthen and Integrate Teaching in the Biological Sciences - Curriculum, March 9, 1978	box 324	folder 3113
Tufts University (School of Medicine) - Joint Faculty Appointments to Strengthen and Integrate Teaching in the Biological Sciences - Curriculum, March 9, 1978	box 324	folder 3114
Tufts University (School of Medicine) - Joint Faculty Appointments to Strengthen and Integrate Teaching in the Biological Sciences - Curriculum, March 9, 1978	box 324	folder 3115
Tufts University (School of Medicine) - Joint Faculty Appointments to Strengthen and Integrate Teaching in the Biological Sciences - Proposal, July 15, 1977	box 324	folder 3116
Tufts University (School of Medicine) - Joint Faculty Appointments to Strengthen and Integrate Teaching in the Biological Sciences - Study of Student Interest in A Career as a New Health Care Specialist - The Personal Physician, June-July, 1974	box 324	folder 3117
Tulane University (School of Medicine) - Basic Development Funds, 1965-1969	box 324	folder 3118
Tulane University (School of Medicine) - Basic Development Funds, 1965-1969	box 324	folder 3119
Tulane University (School of Medicine) - Basic Development Funds, 1970-1974	box 325	folder 3120
Tulane University (School of Medicine) - Basic Development Funds, 1970-1974	box 325	folder 3121
Tulane University (School of Medicine) - Basic Development Funds, 1970-1974	box 325	folder 3122

Tulane University (School of Medicine) - Basic Development Funds - Supplementary Material, 1967-1969	box 325	folder 3123	
Tulane University (School of Medicine) - Basic Development Funds - Supplementary Material, 1967-1969	box 325	folder 3124	
Tulane University (School of Medicine) - Basic Development Funds - Supplementary Material, 1967-1969	box 325	folder 3125	
Tulane University (School of Medicine) - Basic Development Funds - Supplementary Material, 1967-1969	box 325	folder 3126	
Tulane University (School of Medicine) - Basic Development Funds - Supplementary Material, 1967-1969	box 325	folder 3127	
Tulane University (School of Medicine) - Basic Development Funds - Supplementary Material, 1967-1969	box 325	folder 3128	
Tulane University (School of Medicine) - Basic Development Funds - Supplementary Material, 1967-1969	box 325	folder 3129	
Tulane University (School of Medicine) - Medical Students and Their Adaptational Problems Study (ME), 1956-1958	box 325	folder 3130	
Tulane University (School of Medicine) - Medical Students and Their Adaptational Problems Study (ME), 1956-1958	box 325	folder 3131	
Tulane University (School of Medicine) - Medical Students and Their Adaptational Problems Study (ME), 1959-1964	box 326	folder 3132	
Tulane University (School of Medicine) - Medical Students and Their Adaptational Problems Study (ME), 1959-1964	box 326	folder 3133	
Tulane University (School of Medicine) - Pediatric Department, 1937-1939	box 326	folder 3134	
Tulane University (School of Medicine) - Planning of a New Medical Center and Curriculum (ME&CH), 1965-1969	box 326	folder 3135	
Tulane University (School of Medicine) - Planning of a New Medical Center and Curriculum (ME&CH), 1965-1969	box 326	folder 3136	
Tulane University (School of Medicine) - Planning of a New Medical Center and Curriculum (ME&CH), 1965-1969	box 326	folder 3137	
Tulane University (School of Medicine) - Planning of a New Medical Center and Curriculum - Reports (ME&CH), 1966	box 326	folder 3138	
Tulane University (School of Medicine) - Planning of a New Medical Center and Curriculum - Reports (ME&CH), 1966	box 326	folder 3139	
Tulane University (School of Medicine) - Planning of a New Medical Center and Curriculum - Reports (ME&CH), 1966	box 326	folder 3140	
Tulane University (School of Medicine) - Planning of a New Medical Center and Curriculum - Reports (ME&CH), 1966	box 326	folder 3141	
Tulane University (School of Medicine) - Planning of a New Medical Center and Curriculum - Workbook (ME&CH), September 1966	box 327	folder 3142	

Tulane University (School of Medicine) - Planning of a Summer Educational Program for Undergraduate Students, 1969-1974	box 327	folder 3143	
Tulane University (School of Medicine) - Planning of a Summer Educational Program for Undergraduate Students - Report, 1969-1970	box 327	folder 3144	
Tulane University (School of Medicine) - Planning of a Summer Educational Program for Undergraduate Students - Report, 1969-1970	box 327	folder 3145	
Tulane University (School of Medicine) - Planning of a Summer Educational Program for Undergraduate Students - Report, 1969-1970	box 327	folder 3146	
Tulane University (School of Medicine) - Planning of a Summer Educational Program for Undergraduate Students - Report, 1969-1970	box 327	folder 3147	
Tulane University (School of Medicine) - Research in Schizophrenia (MR), 1950-1952	box 327	folder 3148	
Tulane University (School of Medicine) - Research in Schizophrenia (MR), 1950-1952	box 327	folder 3149	
Tulane University (School of Medicine) - Research in Schizophrenia (MR), 1950-1952	box 327	folder 3150	
Tulane University (School of Medicine) - Research in Schizophrenia (MR), 1950-1952	box 327	folder 3151	
Tulane University (School of Medicine) - Research in Schizophrenia (MR), 1953-1957	box 328	folder 3152	
Tulane University (School of Medicine) - Research in Schizophrenia (MR), 1953-1957	box 328	folder 3153	
Tulane University (School of Medicine) - Research in Schizophrenia (MR), 1953-1957	box 328	folder 3154	
Tulane University (School of Medicine) - Research in Schizophrenia (MR), 1953-1957	box 328	folder 3155	
Tulane University (School of Medicine) - Research in Schizophrenia (MR), 1953-1957	box 328	folder 3156	
Tulane University (School of Medicine) - Research in Schizophrenia (MR), 1953-1957	box 328	folder 3157	
Tulane University (School of Medicine) - Research in Schizophrenia (MR), 1953-1957	box 328	folder 3158	
Tulane University (School of Medicine) - Research in Schizophrenia (MR), 1953-1957	box 328	folder 3159	
Tulane University (School of Medicine) - Research in Schizophrenia (MR), 1953-1957	box 328	folder 3160	
Tulane University (School of Medicine) - Research in Schizophrenia (MR), 1958-1965	box 329	folder 3161	

Tulane University (School of Medicine) - Research in Schizophrenia (MR), 1958-1965	box 329	folder 3162
Tulane University (School of Medicine) - Research in Schizophrenia (MR), 1958-1965	box 329	folder 3163
Tulane University (School of Medicine) - Research in Schizophrenia (MR), 1958-1965	box 329	folder 3164
Tulane University (School of Medicine) - Research in Schizophrenia (MR), 1958-1965	box 329	folder 3165
Tulane University (School of Medicine) - Research in Schizophrenia (MR), 1958-1965	box 329	folder 3166
Tulane University (School of Medicine) - Research in Schizophrenia (MR), 1958-1965	box 329	folder 3167
Tulane University (School of Medicine) - Research in Schizophrenia (MR), 1958-1965	box 329	folder 3168
Tulane University (School of Medicine) - Research in Schizophrenia - Conference on June 11, 1952 (MR), 1952-1957	box 330	folder 3169
Tulane University (School of Medicine) - Research in Schizophrenia - Site Visit Report (MR), 1959-1960	box 330	folder 3170
Tulane University (School of Medicine) - Research in Schizophrenia - Site Visit Report (MR), 1959-1960	box 330	folder 3171
Tulane University (School of Medicine) - Teaching Clinics, 1932-1936	box 330	folder 3172
Tulane University (School of Medicine) - Teaching Clinics, 1932-1936	box 330	folder 3173
Tulane University (School of Medicine) - Teaching Clinics, 1932-1936	box 330	folder 3174
Tulane University (School of Medicine) - Teaching Clinics - Financial Data, 1932-1935	box 330	folder 3175
Twentieth Century Fund - "Empirical Analysis of Shifts in Program Priorities of Major Foundations", 1979-1980	box 330	folder 3176
Union Theological Seminary - Endowment of a Professorship, 1959-1963	box 330	folder 3177
Union Theological Seminary - Endowment of a Professorship, 1959-1963	box 330	folder 3178
United Fund of Greater New York, Inc Annual Drive, 1973-1974	box 330	folder 3179
United Hospital Fund - Group Payment Plan, 1934-1935	box 330	folder 3180
United Hospital Fund - Hospital Information Bureau, 1924-1926	box 330	folder 3181
United Hospital Fund - Hospital Information Bureau, 1924-1926	box 330	folder 3182

United Negro College Fund, Inc General Support, June-October, 1946	box 331	folder 3183	
University of the West Indies - Detection and Treatment of Hypertension in a Defined Barbadian Population, 1971-1973	box 331	folder 3184	
University of the West Indies - Faculty of Medicine Travel, 1969-1972	box 331	folder 3185	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund, Advanced Medical Fellowships Series, Box 70, Folders 835-837.			
Upper Midwest Research and Development Council - Blueprint for a System of Prepaid Group Medical Practice in the Twin Cities Area, 1971-1973	box 331	folder 3186	
Upper Midwest Research and Development Council - Blueprint for a System of Prepaid Group Medical Practice in the Twin Cities Area, 1971-1973	box 331	folder 3187	
Upper Midwest Research and Development Council - Blueprint for a System of Prepaid Group Medical Practice in the Twin Cities Area, 1971-1973	box 331	folder 3188	
Upper Midwest Research and Development Council - Blueprint for a System of Prepaid Group Medical Practice in the Twin Cities Area, 1971-1973	box 331	folder 3189	
Upper Midwest Research and Development Council - Blueprint for a System of Prepaid Group Medical Practice in the Twin Cities Area, 1971-1973	box 331	folder 3190	
Upper Midwest Research and Development Council - Blueprint for a System of Prepaid Group Medical Practice in the Twin Cities Area, 1971-1973	box 331	folder 3191	
Upper Midwest Research and Development Council - Blueprint for a System of Prepaid Group Medical Practice in the Twin Cities Area, 1971-1973	box 331	folder 3192	
Upper Midwest Research and Development Council - Blueprint for a System of Prepaid Group Medical Practice in the Twin Cities Area, 1973-1974	box 332	folder 3193	
Upper Midwest Research and Development Council - Blueprint for a System of Prepaid Group Medical Practice in the Twin Cities Area, 1973-1974	box 332	folder 3194	
Upper Midwest Research and Development Council - Blueprint for a System of Prepaid Group Medical Practice in the Twin Cities Area, 1973-1974	box 332	folder 3195	
Upper Midwest Research and Development Council - Blueprint for a System of Prepaid Group Medical Practice in the Twin Cities Area, 1973-1974	box 332	folder 3196	

Upper Midwest Research and Development Council - Blueprint for a System of Prepaid Group Medical Practice in the Twin Cities Area, 1973-1974	box 332	folder 3197
Upper Midwest Research and Development Council - Blueprint for a System of Prepaid Group Medical Practice in the Twin Cities Area - Analysis of Selected HMO's Nationally and Locally, December 6, 1972	box 332	folder 3198
Upper Midwest Research and Development Council - Blueprint for a System of Prepaid Group Medical Practice in the Twin Cities Area - Report to the Board of Sponsors, April 11, 1973	box 332	folder 3199
Urban Coalition - Establishment of a Task Force on Health, 1968-1969	box 332	folder 3200
Urban Coalition - Establishment of a Task Force on Health, 1968-1969	box 332	folder 3201
Urban Coalition - Establishment of a Task Force on Health, 1968-1969	box 332	folder 3202
Urban Coalition - Establishment of a Task Force on Health, March-August, 1969	box 333	folder 3203
Urban Coalition - Establishment of a Task Force on Health, March-August, 1969	box 333	folder 3204
Urban Coalition - Establishment of a Task Force on Health, March-August, 1969	box 333	folder 3205
Urban Coalition - Establishment of a Task Force on Health, March-August, 1969	box 333	folder 3206
Urban Coalition - Establishment of a Task Force on Health/ Development of a Health Program, 1969-1971	box 333	folder 3207
Urban Coalition - Establishment of a Task Force on Health/ Development of a Health Program, 1969-1971	box 333	folder 3208
Urban Coalition - Establishment of a Task Force on Health/ Development of a Health Program, 1969-1971	box 333	folder 3209
Urban Coalition - Establishment of a Task Force on Health/ Development of a Health Program, 1969-1971	box 333	folder 3210
Urban Coalition - Establishment of a Task Force on Health/ Development of a Health Program, 1971-1974	box 334	folder 3211
University of Utah (College of Medicine) - Construction and Equipment of Health Sciences Library (ME&CH), 1966-1971	box 334	folder 3212
University of Utah (College of Medicine) - Field Equipment for Postgraduate Rural Seminar, 1953-1959	box 334	folder 3213
University of Utah (College of Medicine) - Planning a New Medical Center, 1957-1966	box 334	folder 3214
University of Utah (College of Medicine) - Planning a New Medical Center, 1957-1966	box 334	folder 3215

Van Name, F. W "Eolia" Harkness Memorial State Park (Waterford, Connecticut), 1960-1961	box 334	folder 3216	
Rip Van Winkle Foundation - Mental Health Section (EHS), 1948-1953	box 334	folder 3217	
Rip Van Winkle Foundation - Mental Health Section (EHS), 1948-1953	box 334	folder 3218	
Rip Van Winkle Foundation - Mental Health Section (EHS), 1948-1953	box 334	folder 3219	
Rip Van Winkle Foundation - Mental Health Section (EHS), 1954-1964	box 335	folder 3220	
Rip Van Winkle Foundation - Mental Health Section (EHS), 1954-1964	box 335	folder 3221	
Rip Van Winkle Foundation - Mental Health Section (EHS), 1954-1964	box 335	folder 3222	
Rip Van Winkle Foundation - Mental Health Section (EHS), 1954-1964	box 335	folder 3223	
Rip Van Winkle Foundation - Mental Health Section (EHS), 1954-1964	box 335	folder 3224	
Rip Van Winkle Foundation - Mental Health Section (EHS), 1954-1964	box 335	folder 3225	
Rip Van Winkle Foundation - Mental Health Section (EHS), 1954-1964	box 335	folder 3226	
Rip Van Winkle Foundation - Mental Health Section (EHS), 1954-1964	box 335	folder 3227	
Vanderbilt University - Medical Education Program Study (ME&CH), 1955-1962	box 335	folder 3228	
Vanderbilt University - Medical Education Program Study (ME&CH), 1955-1962	box 335	folder 3229	
Vanderbilt University - Medical Education Program Study - Progress Report (ME&CH), September 21, 1959	box 336	folder 3230	
Vanderbilt University - Medical Education Program Study - Progress Report (ME&CH), September 21, 1959	box 336	folder 3231	
Vanderbilt University (School of Medicine) - Chronic Chest Disease Diagnostic Center, 1943-1951	box 336	folder 3232	
Vanderbilt University (School of Medicine) - Chronic Chest Disease Diagnostic Center - Financial Data, 1943-1947	box 336	folder 3233	
Vanderbilt University (School of Medicine) - Departmental Support, 1929-1935	box 336	folder 3234	
Vanderbilt University (School of Medicine) - Evaluation of the Center for Health Services Activities, 1977-1981	box 336	folder 3235	

Vanderbilt University (School of Medicine) - Expansion of the Department of Medicine and the Clinical Research Center (ME&CH), 1966-1972	box 336	folder 3236
Vanderbilt University (School of Medicine) - Expansion of the Department of Medicine and the Clinical Research Center (ME&CH), 1966-1972	box 336	folder 3237
Vanderbilt University (School of Medicine) - Expansion of the Department of Medicine and the Clinical Research Center - Auxiliary Report (ME&CH), May 5, 1966	box 336	folder 3238
Vanderbilt University (School of Medicine) - Expansion of the Department of Medicine and the Clinical Research Center - Auxiliary Report (ME&CH), May 5, 1966	box 336	folder 3239
Vanderbilt University (School of Medicine) - Medical Education Program, 1961-1964	box 337	folder 3240
Vanderbilt University (School of Medicine) - Medical Education Program, 1961-1964	box 337	folder 3241
Vanderbilt University (School of Medicine) - Medical Education Program, 1961-1964	box 337	folder 3242
Vanderbilt University (School of Medicine) - Syphilis Clinic, May-October, 1938	box 337	folder 3243
Vanderbilt University (School of Medicine) - University-wide Program on Health Care Problems in the Mid-South, 1971-1978	box 337	folder 3244
Vanderbilt University (School of Medicine) - University-wide Program on Health Care Problems in the Mid-South, 1971-1978	box 337	folder 3245
Vanderbilt University (School of Medicine) - University-wide Program on Health Care Problems in the Mid-South, 1971-1978	box 337	folder 3246
Vanderbilt University (School of Medicine) - University-wide Program on Health Care Problems in the Mid-South, 1971-1978	box 337	folder 3247
Vanderbilt University (School of Medicine) - University-wide Program on Health Care Problems in the Mid-South - Annual Report, Newsletters and Proposals, 1971-1979	box 337	folder 3248
Vanderbilt University (School of Medicine) - University-wide Program on Health Care Problems in the Mid-South - Report, 1973	box 338	folder 3249
Vanderbilt University (School of Medicine) - University-wide Program on Health Care Problems in the Mid-South - Report, 1973	box 338	folder 3250
Vanderbilt University (School of Medicine) - University-wide Program on Health Care Problems in the Mid-South - Report, 1973	box 338	folder 3251
Vanderbilt University (School of Medicine) - University-wide Program on Health Care Problems in the Mid-South - Report, 1973	box 338	folder 3252

Vanderbilt University (School of Medicine) - University-wide Program on Health Care Problems in the Mid-South - Report, 1973	box 338	folder 3253
Vanderbilt University (School of Medicine) - University-wide Program on Health Care Problems in the Mid-South - Report, 1973	box 338	folder 3254
Vanderbilt University (School of Medicine) - University-wide Program on Health Care Problems in the Mid-South - Report, 1973	box 338	folder 3255
Vanderbilt University (School of Medicine) - University-wide Program on Health Care Problems in the Mid-South - Report, 1973	box 338	folder 3256
Vanderbilt University (School of Medicine) - University-wide Program on Health Care Problems in the Mid-South - Report, 1973	box 338	folder 3257
Vanderbilt University (School of Medicine) - University-wide Program on Health Care Problems in the Mid-South - Report, 1973	box 339	folder 3258
Vanderbilt University (School of Medicine) - University-wide Program on Health Care Problems in the Mid-South - Report, 1973	box 339	folder 3259
University of Vermont (College of Medicine) - Campus-based Community Medical Practice to Teach Ambulatory Medical Care, 1970-1977	box 339	folder 3260
University of Vermont (College of Medicine) - Campus-based Community Medical Practice to Teach Ambulatory Medical Care, 1970-1977	box 339	folder 3261
University of Vermont (College of Medicine) - Campus-based Community Medical Practice to Teach Ambulatory Medical Care, 1970-1977	box 339	folder 3262
University of Vermont (College of Medicine) - Campus-based Community Medical Practice to Teach Ambulatory Medical Care, 1970-1977	box 339	folder 3263
University of Vermont (College of Medicine) - Development of Reimbursement Systems Consistent with the Problem-Oriented Approach to Primary Health Care, 1975-1979	box 339	folder 3264
University of Vermont (College of Medicine) - Regional Medical Care Project, 1948-1955	box 339	folder 3265
University of Vermont (College of Medicine) - Regional Medical Care Project, 1948-1955	box 339	folder 3266
University of Vermont (College of Medicine) - Regional Medical Care Project, 1955-1958	box 340	folder 3267
University of Vermont (College of Medicine) - Regional Medical Care Project, 1955-1958	box 340	folder 3268

University of Vermont (College of Medicine) - Regional Medical Care Project, 1955-1958	box 340	folder 3269
University of Vermont (College of Medicine) - Regional Medical Care Project, 1955-1958	box 340	folder 3270
University of Vermont (College of Medicine) - Regional Medical Care Project, 1955-1958	box 340	folder 3271
University of Vermont (College of Medicine) - Regional Medical Care Project, 1955-1958	box 340	folder 3272
University of Vermont (College of Medicine) - Regional Medical Care Project, 1955-1958	box 340	folder 3273
University of Vermont (College of Medicine) - Regional Medical Care Project, 1955-1958	box 340	folder 3274
University of Vermont (College of Medicine) - Regional Medical Care Project, 1955-1958	box 340	folder 3275
University of Vermont (College of Medicine) - Regional Medical Care Project, 1955-1958	box 340	folder 3276
University of Vermont (College of Medicine) - Regional Medical Care Project, 1958-1967	box 341	folder 3277
University of Vermont (College of Medicine) - Regional Medical Care Project, 1958-1967	box 341	folder 3278
University of Vermont (College of Medicine) - Regional Medical Care Project - Annual Reports, 1955-1961	box 341	folder 3279
University of Vermont (College of Medicine) - Regional Medical Care Project - Annual Reports, 1955-1961	box 341	folder 3280
University of Vermont (College of Medicine) - Regional Medical Care Project - Annual Reports, 1955-1961	box 341	folder 3281
University of Vermont (College of Medicine) - Regional Medical Care Project - Annual Reports, 1955-1961	box 341	folder 3282
University of Vermont (College of Medicine) - Total Family Care Project (ME), 1956-1965	box 341	folder 3283
University of Vermont (College of Medicine) - Total Family Care Project (ME), 1956-1965	box 341	folder 3284
University of Vermont/State Agriculture College - Department of Nursing - Clinical and Classroom Teaching of Rural and Urban Public Health Nursing (ME), 1953-1957	box 341	folder 3285
University of Vermont/State Agriculture College - Department of Nursing - Clinical and Classroom Teaching of Rural and Urban Public Health Nursing (ME), 1953-1957	box 341	folder 3286
Virginia State Medical Society - Postgraduate Prenatal and Postnatal Instruction, 1930-1934	box 342	folder 3287
Virginia State Medical Society - Postgraduate Prenatal and Postnatal Instruction, 1930-1934	box 342	folder 3288

Tr. ' ' G M. I' 1G ' . D 1 . D 1 . 1			
Virginia State Medical Society - Postgraduate Prenatal and Postnatal Instruction, 1930-1934	box 342	folder 3289	
Virginia State Medical Society - Postgraduate Prenatal and Postnatal Instruction, 1930-1934	box 342	folder 3290	
Virginia State Medical Society - Postgraduate Prenatal and Postnatal Instruction - Financial Data, 1932-1934	box 342	folder 3291	
Virginia State Medical Society - Postgraduate Prenatal and Postnatal Instruction - Financial Data, 1932-1934	box 342	folder 3292	
University of Virginia (School of Law) - Mental Health Law Fellowships, 1978-1979	box 342	folder 3293	
University of Virginia (School of Medicine) - Establishment of a Diagnostic Clinic at the University Hospital's Outpatient Department (ME), 1955-1956	box 342	folder 3294	
University of Virginia (School of Medicine) - Establishment of a Diagnostic Clinic at the University Hospital's Outpatient Department (ME), 1955-1956	box 342	folder 3295	
University of Virginia (School of Medicine) - Establishment of a Diagnostic Clinic at the University Hospital's Outpatient Department (ME), 1955-1956	box 342	folder 3296	
University of Virginia (School of Medicine) - Establishment of a Diagnostic Clinic at the University Hospital's Outpatient Department (ME), 1955-1956	box 342	folder 3297	
University of Virginia (School of Medicine) - Establishment of a Diagnostic Clinic at the University Hospital's Outpatient Department (ME), 1957-1962	box 343	folder 3298	
University of Virginia (School of Medicine) - Establishment of a Diagnostic Clinic at the University Hospital's Outpatient Department (ME), 1957-1962	box 343	folder 3299	
University of Virginia (School of Medicine) - Faculty Appointment in Medico-legal Studies, 1971-1974	box 343	folder 3300	
University of Virginia - University-wide Program on Ethical and Social Problems in Modern Medicine, 1971-1978	box 343	folder 3301	
University of Virginia - University-wide Program on Ethical and Social Problems in Modern Medicine, 1971-1978	box 343	folder 3302	
University of Virginia - Visiting Scholar, 1973-1974	box 343	folder 3303	
University of Virginia - Ways New Doctors Enter and Adjust to Their Profession, 1976-1978	box 343	folder 3304	
University of Virginia - Ways New Doctors Enter and Adjust to Their Profession, 1976-1978	box 343	folder 3305	
University of Virginia - Ways New Doctors Enter and Adjust to Their Profession, 1976-1978	box 343	folder 3306	

University of Virginia Hospital - Pediatric Department, 1926-1932	box 344	folder 3308
University of Virginia Hospital - Pediatric Department, 1926-1932	box 344	folder 3309
Visiting Instructor Program - Emory University School of Medicine, February-December, 1944	box 344	folder 3310
Visiting Instructor Program - Long Island College of Medicine, 1941-1949	box 344	folder 3311
Visiting Instructor Program - Long Island College of Medicine, 1941-1949	box 344	folder 3312
Visiting Instructor Program - New York University College of Medicine, 1942-1951	box 344	folder 3313
Visiting Instructor Program - University of Louisville School of Medicine, 1944-1949	box 344	folder 3314
Visiting Instructor Program - University of Louisville School of Medicine, 1944-1949	box 344	folder 3315
Visiting Instructor Program - University of Tennessee School of Medicine (Visiting Instructor in Tropical Diseases), 1943-1944	box 344	folder 3316
Visiting Nurse Association of Brooklyn - General Support, 1919-1921	box 344	folder 3317
Visiting Teachers of New York/Public Education Association - General Support, 1920-1921	box 344	folder 3318
Vocational Service for Juniors, 1919-1923	box 344	folder 3319
War Relief and Related Purposes - American Field Services, 1942-1945	box 344	folder 3320
War Relief and Related Purposes - American Friends Services Committee, 1941-1945	box 345	folder 3321
War Relief and Related Purposes - American Red Cross, 1940-1945	box 345	folder 3322
War Relief and Related Purposes - British War Relief Society, 1940-1944	box 345	folder 3323
War Relief and Related Purposes - British War Relief Society, 1940-1944	box 345	folder 3324
War Relief and Related Purposes - Finnish Relief Fund, Inc., 1939-1940	box 345	folder 3325
War Relief and Related Purposes - Greek War Relief Association, 1940-1946	box 345	folder 3326
War Relief and Related Purposes - Medical and Surgical Relief Committee of America, 1942-1945	box 345	folder 3327
War Relief and Related Purposes - National War Fund, Inc., 1943-1945	box 345	folder 3328

War Relief and Related Purposes - National War Fund, Inc., 1943-1945	box 345	folder 3329	
War Relief and Related Purposes - Navy Relief Society, March- June, 1942	box 345	folder 3330	
War Relief and Related Purposes - Paderewski Testimonial Fund (Paderewski Hospital, Edinburgh), 1941-1949	box 345	folder 3331	
War Relief and Related Purposes - Queen Wilhelmina Fund, Inc., October 23-27, 1944	box 346	folder 3332	
War Relief and Related Purposes - Russian War Relief, Inc., 1941-1944	box 346	folder 3333	
War Relief and Related Purposes - United China Relief, Inc., 1940-1945	box 346	folder 3334	
War Relief and Related Purposes - United China Relief, Inc., 1940-1945	box 346	folder 3335	
War Relief and Related Purposes - United Jewish Appeal, Inc., 1943-1945	box 346	folder 3336	
War Relief and Related Purposes - United Seaman's Service, Inc., 1942-1944	box 346	folder 3337	
War Relief and Related Purposes - United Service Organizations, Inc., 1942-1944	box 346	folder 3338	
War Relief and Related Purposes - United Service Organizations, Inc., 1942-1944	box 346	folder 3339	
War Relief and Related Purposes - War Prisoners' Aid Committee, 1942-1944	box 346	folder 3340	
George Washington University - Curriculum Reorganization Program (ME&CH), 1961-1965	box 346	folder 3341	
George Washington University - Curriculum Reorganization Program (ME&CH), 1961-1965	box 346	folder 3342	
George Washington University - Curriculum Reorganization Program (ME&CH), 1961-1965	box 346	folder 3343	
George Washington University - Curriculum Reorganization Program (ME&CH), 1965-1969	box 347	folder 3344	
Washington University (School of Medicine) - Department of Public Health and Preventive Medicine, 1937-1951	box 347	folder 3345	
Washington University (School of Medicine) - Department of Public Health and Preventive Medicine, 1937-1951	box 347	folder 3346	
Washington University (School of Medicine) - Department of Public Health and Preventive Medicine, 1937-1951	box 347	folder 3347	
Washington University (School of Medicine) - Department of Public Health and Preventive Medicine - Financial Data, 1945-1951	box 347	folder 3348	

Washington University (School of Medicine) - Division of Psychosomatic Medicine (ME), 1947-1952	box 347	folder 3349	
Washington University (School of Medicine) - Division of Psychosomatic Medicine (ME), 1947-1952	box 347	folder 3350	
Washington University (School of Medicine) - Division of Psychosomatic Medicine (ME), 1947-1952	box 347	folder 3351	
Washington University (School of Medicine) - Division of Psychosomatic Medicine (ME), 1947-1952	box 347	folder 3352	
Washington University (School of Medicine) - Division of Psychosomatic Medicine (ME), 1952-1956	box 348	folder 3353	
Washington University (School of Medicine) - Division of Psychosomatic Medicine (ME), 1952-1956	box 348	folder 3354	
Washington University (School of Medicine) - Division of Psychosomatic Medicine (ME), 1952-1956	box 348	folder 3355	
Washington University (School of Medicine) - Division of Psychosomatic Medicine (ME), 1952-1956	box 348	folder 3356	
Washington University (School of Medicine) - Division of Psychosomatic Medicine - Financial Data (ME), 1950-1953	box 348	folder 3357	
Washington University (School of Medicine) - Functional Studies of Autopsy Material, 1942-1946	box 348	folder 3358	
Washington University (School of Medicine) - Functional Studies of Autopsy Material - Financial Data, 1942-1945	box 348	folder 3359	
Washington University (School of Medicine) - Immunology Studies, 1940-1942	box 348	folder 3360	
Washington University (School of Medicine) - Immunology Studies, 1940-1942	box 348	folder 3361	
Washington University (School of Medicine) - Immunology Studies - Financial Data, 1940-1943	box 348	folder 3362	
Washington University (School of Medicine) - Institute of Radiology - Radioactive Phosphorus in the Treatment of Cancer, 1942-1952	box 349	folder 3363	
Washington University (School of Medicine) - Institute of Radiology - Radioactive Phosphorus in the Treatment of Cancer (Financial Data), 1942-1943	box 349	folder 3364	
Washington University (School of Medicine) - Kidney Function with Special Reference to Endocrine Control, 1937-1944	box 349	folder 3365	
Washington University (School of Medicine) - Kidney Function with Special Reference to Endocrine Control, 1937-1944	box 349	folder 3366	
Washington University (School of Medicine) - Kidney Function with Special Reference to Endocrine Control, 1937-1944	box 349	folder 3367	

Washington University (School of Medicine) - Kidney Function with Special Reference to Endocrine Control/Physiological Controls Centering in the Pituitary Gland, 1945-1951	box 349	folder 3368	
Washington University (School of Medicine) - Kidney Function with Special Reference to Endocrine Control/Physiological Controls Centering in the Pituitary Gland, 1945-1951	box 349	folder 3369	
Washington University (School of Medicine) - Kidney Function with Special Reference to Endocrine Control/Physiological Controls Centering in the Pituitary Gland, 1945-1951	box 349	folder 3370	
Washington University (School of Medicine) - Kidney Function with Special Reference to Endocrine Control/Physiological Controls Centering in the Pituitary Gland, 1945-1951	box 349	folder 3371	
Washington University (School of Medicine) - Kidney Function with Special Reference to Endocrine Control/Physiological Controls Centering in the Pituitary Gland, 1952-1958	box 350	folder 3372	
Washington University (School of Medicine) - Kidney Function with Special Reference to Endocrine Control/Physiological Controls Centering in the Pituitary Gland, 1952-1958	box 350	folder 3373	
Washington University (School of Medicine) - Neural Mechanisms in the Regulation of Pituitary Thyrotropic Hormone Secretion (MR), 1955-1958	box 350	folder 3374	
Washington University (School of Medicine) - Neural Mechanisms in the Regulation of Pituitary Thyrotropic Hormone Secretion (MR), 1955-1958	box 350	folder 3375	
Washington University (School of Medicine) - Neural Mechanisms in the Regulation of Pituitary Thyrotropic Hormone Secretion (MR), 1955-1958	box 350	folder 3376	
Washington University (School of Medicine) - Pneumonia Studies, 1943-1946	box 350	folder 3377	
Scope and Contents note			
Mechanism of Recovery in Bacterial Pneumonia, February 27, 1943 to April 2, 1946; Pathogenesis of Experimental Pneumonia, November 28, 1944 to June 23, 1945; Cellular Mechanism in Natural Resistance to Infection, December 4, 1945 to March 5, 1953.			
Washington University (School of Medicine) - Pneumonia Studies, 1943-1946	box 350	folder 3378	

## **Scope and Contents note**

Mechanism of Recovery in Bacterial Pneumonia, February 27, 1943 to April 2, 1946; Pathogenesis of Experimental Pneumonia, November 28, 1944 to June 23, 1945; Cellular

Mechanism in Natural Resistance to Infection, December 4, 1945 to March 5, 1953.

Washington University (School of Medicine) - Pneumonia Studies, 1943-1946

box 350

folder 3379

#### **Scope and Contents note**

Mechanism of Recovery in Bacterial Pneumonia, February 27, 1943 to April 2, 1946; Pathogenesis of Experimental Pneumonia, November 28, 1944 to June 23, 1945; Cellular Mechanism in Natural Resistance to Infection, December 4, 1945 to March 5, 1953.

box 350

folder 3380

Washington University (School of Medicine) - Pneumonia Studies, 1943-1946

#### **Scope and Contents note**

Mechanism of Recovery in Bacterial Pneumonia, February 27, 1943 to April 2, 1946; Pathogenesis of Experimental Pneumonia, November 28, 1944 to June 23, 1945; Cellular Mechanism in Natural Resistance to Infection, December 4, 1945 to March 5, 1953.

Washington University (School of Medicine) - Pneumonia Studies, 1943-1946

box 350

folder 3381

### **Scope and Contents note**

Mechanism of Recovery in Bacterial Pneumonia, February 27, 1943 to April 2, 1946; Pathogenesis of Experimental Pneumonia, November 28, 1944 to June 23, 1945; Cellular Mechanism in Natural Resistance to Infection, December 4, 1945 to March 5, 1953.

Washington University (School of Medicine) - Pneumonia Studies

box 350

folder 3382

#### **Scope and Contents note**

- Financial Data, 1943-1946

Mechanism of Recovery in Bacterial Pneumonia, February 27, 1943 to April 2, 1946; Pathogenesis of Experimental Pneumonia, November 28, 1944 to June 23, 1945; Cellular Mechanism in Natural Resistance to Infection, December 4, 1945 to March 5, 1953.

Washington University (School of Medicine) - Pneumonia Studies

box 351

folder 3383

- Financial Data, 1946-1950

#### **Scope and Contents note**

Mechanism of Recovery in Bacterial Pneumonia, February 27, 1943 to April 2, 1946; Pathogenesis of Experimental Pneumonia, November 28, 1944 to June 23, 1945; Cellular

Mechanism in Natural Resistance to Infection, December 4, 1945 to March 5, 1953.

Washington University (School of Medicine) - Pneumonia Studies - Financial Data, 1946-1950	box 351	folder 3384
Scope and Contents note		
Mechanism of Recovery in Bacterial Pneumonia, February 27, 1943 to April 2, 1946; Pathogenesis of Experimental Pneumonia, November 28, 1944 to June 23, 1945; Cellular Mechanism in Natural Resistance to Infection, December 4, 1945 to March 5, 1953.		
Washington University (School of Medicine) - Trachoma Research Program, 1929-1937	box 351	folder 3385
Washington University (School of Medicine) - Trachoma Research Program, 1929-1937	box 351	folder 3386
Washington University (School of Medicine) - Trachoma Research Program, 1929-1937	box 351	folder 3387
Washington University (School of Medicine) - Trachoma Research Program, 1929-1937	box 351	folder 3388
Washington University (School of Medicine) - Trachoma Research Program - Financial Data, 1930-1935	box 351	folder 3389
Washington University (School of Medicine) - Trachoma Research Program - Financial Data, 1930-1935	box 351	folder 3390
Washington University (School of Medicine) - Trachoma Research Program - Financial Data, 1935-1937	box 352	folder 3391
Washington University (School of Medicine) - Treatment of Shock due to Hemorrhage and Burns, 1941-1945	box 352	folder 3392
University of Washington (Health Sciences Division) - Clinical Planning (ME), 1956-1965	box 352	folder 3393
University of Washington (Health Sciences Division) - Clinical Planning (ME), 1956-1965	box 352	folder 3394
University of Washington (Health Sciences Division) - Clinical Planning (ME), 1956-1965	box 352	folder 3395
University of Washington (School of Medicine) - Department of Psychiatry - Medical Sociology Program (ME&CH), 1958-1963	box 352	folder 3396
University of Washington (School of Medicine) - Drug Spotlight Program, 1972-1978	box 352	folder 3397
University of Washington (School of Medicine) - Drug Spotlight Program, 1972-1978	box 352	folder 3398
University of Washington (School of Medicine) - Establishment of a Unit for Medical Genetics (ME), 1956-1963	box 352	folder 3399

University of Washington (School of Medicine) - Establishment of a Unit for Medical Genetics (ME), 1956-1963	box 352	folder 3400
University of Washington (School of Medicine) - Region-wide Program of Medical Education in the Pacific Northwest, February- September, 1970	box 352	folder 3401
University of Washington (School of Medicine) - Region- wide Program of Medical Education in the Pacific Northwest, 1970-1980	box 353	folder 3402
University of Washington (School of Medicine) - Region- wide Program of Medical Education in the Pacific Northwest, 1970-1980	box 353	folder 3403
University of Washington (School of Medicine) - Region- wide Program of Medical Education in the Pacific Northwest, 1970-1980	box 353	folder 3404
University of Washington (School of Medicine) - Regionwide Program of Medical Education in the Pacific Northwest, 1970-1980	box 353	folder 3405
University of Washington (School of Medicine) - Regionwide Program of Medical Education in the Pacific Northwest, 1970-1980	box 353	folder 3406
University of Washington (School of Medicine) - Region- wide Program of Medical Education in the Pacific Northwest, 1970-1980	box 353	folder 3407
University of Washington (School of Medicine) - Region-wide Program of Medical Education in the Pacific Northwest - Exhibits, November 30, 1971	box 353	folder 3408
University of Washington (School of Medicine) - Region-wide Program of Medical Education in the Pacific Northwest - Exhibits, November 30, 1971	box 353	folder 3409
University of Washington (School of Medicine) - Region-wide Program of Medical Education in the Pacific Northwest - Exhibits, November 30, 1971	box 353	folder 3410
University of Washington (School of Medicine) - Region-wide Program of Medical Education in the Pacific Northwest - Exhibits, November 30, 1971	box 354	folder 3411
University of Washington (School of Medicine) - Region-wide Program of Medical Education in the Pacific Northwest - Final Report, 1976-1978	box 354	folder 3412
University of Washington (School of Medicine) - Region-wide Program of Medical Education in the Pacific Northwest - Final Report, 1976-1978	box 354	folder 3413
University of Washington (School of Medicine) - Region- wide Program of Medical Education in the Pacific Northwest - Newsletters and Publications, 1970-1979	box 354	folder 3414

University of Washington (School of Medicine) - Regionwide Program of Medical Education in the Pacific Northwest - Program, March 5-31, 1972	box 354	folder 3415
University of Washington (School of Medicine) - Region-wide Program of Medical Education in the Pacific Northwest - Progress Report, April 4, 1972	box 354	folder 3416
University of Washington (School of Medicine) - Regionwide Program of Medical Education in the Pacific Northwest - Quarterly Report 1, 1972-1973	box 354	folder 3417
University of Washington (School of Medicine) - Regionwide Program of Medical Education in the Pacific Northwest - Quarterly Report 1, 1972-1973	box 355	folder 3418
University of Washington (School of Medicine) - Region- wide Program of Medical Education in the Pacific Northwest - Quarterly Report 1, 1972-1973	box 355	folder 3419
University of Washington (School of Medicine) - Regionwide Program of Medical Education in the Pacific Northwest - Quarterly Report 1, 1972-1973	box 355	folder 3420
University of Washington (School of Medicine) - Region- wide Program of Medical Education in the Pacific Northwest - Quarterly Report 1, 1972-1973	box 355	folder 3421
University of Washington (School of Medicine) - Regionwide Program of Medical Education in the Pacific Northwest - Quarterly Report 1, 1972-1973	box 355	folder 3422
University of Washington (School of Medicine) - Regionwide Program of Medical Education in the Pacific Northwest - Quarterly Report 1, 1972-1973	box 355	folder 3423
University of Washington (School of Medicine) - Region- wide Program of Medical Education in the Pacific Northwest - Quarterly Report 2, January-March, 1973	box 355	folder 3424
University of Washington (School of Medicine) - Region- wide Program of Medical Education in the Pacific Northwest - Quarterly Report 2, January-March, 1973	box 355	folder 3425
University of Washington (School of Medicine) - Region- wide Program of Medical Education in the Pacific Northwest - Quarterly Report 3, August 13, 1973	box 355	folder 3426
University of Washington (School of Medicine) - Region- wide Program of Medical Education in the Pacific Northwest - Quarterly Report 3, August 13, 1973	box 356	folder 3427
University of Washington (School of Medicine) - Region- wide Program of Medical Education in the Pacific Northwest - Quarterly Report 3, August 13, 1973	box 356	folder 3428
University of Washington (School of Medicine) - Region- wide Program of Medical Education in the Pacific Northwest - Quarterly Report 4, July-September, 1973	box 356	folder 3429

University of Washington (School of Medicine) - Regionwide Program of Medical Education in the Pacific Northwest - Quarterly Report 4, July-September, 1973	box 356	folder 3430	
University of Washington (School of Medicine) - Regionwide Program of Medical Education in the Pacific Northwest - Quarterly Report 4, July-September, 1973	box 356	folder 3431	
University of Washington (School of Medicine) - Regionwide Program of Medical Education in the Pacific Northwest - Quarterly Report 4, July-September, 1973	box 356	folder 3432	
University of Washington (School of Medicine) - Regionwide Program of Medical Education in the Pacific Northwest - Quarterly Report 5, October-December, 1973	box 356	folder 3433	
University of Washington (School of Medicine) - Regionwide Program of Medical Education in the Pacific Northwest - Quarterly Report 5, October-December, 1973	box 356	folder 3434	
University of Washington (School of Medicine) - Regionwide Program of Medical Education in the Pacific Northwest - Quarterly Report 5, October-December, 1973	box 357	folder 3435	
University of Washington (School of Medicine) - Regionwide Program of Medical Education in the Pacific Northwest - Quarterly Report 5, October-December, 1973	box 357	folder 3436	
University of Washington (School of Medicine) - Regionwide Program of Medical Education in the Pacific Northwest - Quarterly Report 6, April-June, 1974	box 357	folder 3437	
University of Washington (School of Medicine) - Regionwide Program of Medical Education in the Pacific Northwest - Quarterly Report 6, April-June, 1974	box 357	folder 3438	
University of Washington (School of Medicine) - Region- wide Program of Medical Education in the Pacific Northwest - Quarterly Report 6, April-June, 1974	box 357	folder 3439	
University of Washington (School of Medicine) - Region- wide Program of Medical Education in the Pacific Northwest - Quarterly Report 7, July-September, 1974	box 357	folder 3440	
University of Washington (School of Medicine) - Region- wide Program of Medical Education in the Pacific Northwest - Quarterly Report 7, July-September, 1974	box 357	folder 3441	
University of Washington (School of Medicine) - Region- wide Program of Medical Education in the Pacific Northwest - Quarterly Report 7, July-September, 1974	box 357	folder 3442	
University of Washington (School of Medicine) - Region- wide Program of Medical Education in the Pacific Northwest - Quarterly Report 8, October-December, 1974	box 357	folder 3443	
University of Washington (School of Medicine) - Region- wide Program of Medical Education in the Pacific Northwest - Quarterly Report 8, October-December, 1974	box 358	folder 3444	

box 358	folder 3445
box 358	folder 3446
box 358	folder 3447
box 358	folder 3448
box 358	folder 3449
box 358	folder 3450
box 358	folder 3451
box 359	folder 3452
box 359	folder 3453
box 359	folder 3454
box 359	folder 3455
box 359	folder 3456
box 359	folder 3457
box 359	folder 3458
box 359	folder 3459
	box 358  box 358  box 358  box 358  box 358  box 359  box 359  box 359  box 359  box 359

University of Washington (School of Medicine) - Region- wide Program of Medical Education in the Pacific Northwest - Quarterly Report 11, July-September, 1975	box 359	folder 3460
University of Washington (School of Medicine) - Region- wide Program of Medical Education in the Pacific Northwest - Quarterly Report 12, January-March, 1976	box 359	folder 3461
University of Washington (School of Medicine) - Region- wide Program of Medical Education in the Pacific Northwest - Quarterly Report 12, January-March, 1976	box 360	folder 3462
University of Washington (School of Medicine) - Surgical Experimentation for the Relief of Mitral Stenosis, 1948-1950	box 360	folder 3463
University of Washington (School of Medicine) - Systemic Effect of Injury Study, 1945-1952	box 360	folder 3464
University of Washington (School of Medicine) - Systemic Effect of Injury Study, 1945-1952	box 360	folder 3465
University of Washington (School of Medicine) - Virus Disease Study, 1937-1940	box 360	folder 3466
University of Washington (School of Medicine) - Virus Disease Study, 1937-1940	box 360	folder 3467
University of Washington (School of Medicine) - Virus Disease Study, 1937-1940	box 360	folder 3468
University of Washington (School of Medicine) - Virus Disease Study, 1937-1940	box 360	folder 3469
University of Washington (School of Medicine) - Virus Disease Study - Financial Data, 1937-1940	box 360	folder 3470
University of Washington (School of Nursing) - Study Relating Social and Natural Sciences to Clinical Nursing (ME), June-July, 1953	box 360	folder 3471
University of Washington (School of Nursing) - Study Relating Social and Natural Sciences to Clinical Nursing (ME), 1953-1959	box 361	folder 3472
University of Washington (School of Nursing) - Study Relating Social and Natural Sciences to Clinical Nursing (ME), 1953-1959	box 361	folder 3473
University of Washington (School of Nursing) - Study Relating Social and Natural Sciences to Clinical Nursing (ME), 1953-1959	box 361	folder 3474
University of Washington (School of Nursing) - Study Relating Social and Natural Sciences to Clinical Nursing (ME), 1953-1959	box 361	folder 3475
University of Washington (School of Nursing) - Study Relating Social and Natural Sciences to Clinical Nursing (ME), 1953-1959	box 361	folder 3476
University of Washington (School of Nursing) - Study Relating Social and Natural Sciences to Clinical Nursing (ME), 1953-1959	box 361	folder 3477
University of Washington (School of Nursing) - Study Relating Social and Natural Sciences to Clinical Nursing (ME), 1953-1959	box 361	folder 3478

University of Washington (School of Nursing) - Study Relating Social and Natural Sciences to Clinical Nursing (ME), 1953-1959	box 361	folder 3479
University of Washington (School of Nursing) - Study Relating Social and Natural Sciences to Clinical Nursing - Printed Material (ME), February 3-4, 1955	box 361	folder 3480
University of Washington - University-wide Program in Health Affairs (ME&CH), 1966-1967	box 361	folder 3481
University of Washington - University-wide Program in Health Affairs (ME&CH), 1968-1971	box 362	folder 3482
University of Washington - University-wide Program in Health Affairs - Report (ME&CH), October 2, 1969	box 362	folder 3483
University of Washington - University-wide Program in Health Affairs - Report (ME&CH), October 2, 1969	box 362	folder 3484
University of Washington - University-wide Program in Health Affairs - Report (ME&CH), October 2, 1969	box 362	folder 3485
University of Washington - University-wide Program in Health Affairs - Report (ME&CH), October 2, 1969	box 362	folder 3486
University of Washington - University-wide Program in Health Affairs - Report (ME&CH), October 2, 1969	box 362	folder 3487
University of Washington - University-wide Program in Health Affairs - Report (ME&CH), October 2, 1969	box 362	folder 3488
Welfare Council of New York City - General Budget, 1925-1928	box 362	folder 3489
Welfare Council of New York City - Relief Appropriation, 1933-1935	box 362	folder 3490
Welfare Council of New York City - Relief Appropriation, 1936-1938	box 363	folder 3491
Welfare Council of New York City - Research Bureau, 1926-1932	box 363	folder 3492
Welfare Council of New York City - Research Bureau, 1926-1932	box 363	folder 3493
Welfare Council of New York City - Research Bureau, 1926-1932	box 363	folder 3494
Welfare Council of New York City - Research Bureau, 1926-1932	box 363	folder 3495
Welfare Council of New York City - Research Bureau, 1926-1932	box 363	folder 3496
West Side Dispensary and Hospital - General Support, February-March, 1920	box 363	folder 3497
Westchester Community Health Planning Corporation - Establishment of Health Maintenance Organization in Westchester County, New York, 1975-1976	box 363	folder 3498
Western Interstate Commission for Higher Education - Expanding Opportunities for Medical Education in the West (ME&CH), April-July, 1960	box 363	folder 3499

Western Interstate Commission for Higher Education - Expanding Opportunities for Medical Education in the West (ME&CH), 1960-1966	box 364	folder 3500
Western Interstate Commission for Higher Education - Expanding Opportunities for Medical Education in the West (ME&CH), 1960-1966	box 364	folder 3501
Western Interstate Commission for Higher Education - Expanding Opportunities for Medical Education in the West (ME&CH), 1960-1966	box 364	folder 3502
Western Interstate Commission for Higher Education - Expanding Opportunities for Medical Education in the West (ME&CH), 1960-1966	box 364	folder 3503
Western Interstate Commission for Higher Education - Medical Education Needs in Idaho, Montana, Nevada and Wyoming (ME&CH), 1961-1964	box 364	folder 3504
Western Interstate Commission for Higher Education - Medical Education Needs in Idaho, Montana, Nevada and Wyoming (ME&CH), 1961-1964	box 364	folder 3505
Western Interstate Commission for Higher Education - Medical Education Needs in Idaho, Montana, Nevada and Wyoming (ME&CH), 1961-1964	box 364	folder 3506
Western Interstate Commission for Higher Education - Medical Education Needs in Idaho, Montana, Nevada and Wyoming (ME&CH), 1961-1964	box 364	folder 3507
Western Interstate Commission for Higher Education - Medical Education Needs in Idaho, Montana, Nevada and Wyoming (ME&CH), 1961-1964	box 364	folder 3508
Western Interstate Commission for Higher Education - Medical Education Needs in Idaho, Montana, Nevada and Wyoming (ME&CH), 1965-1971	box 365	folder 3509
Western Interstate Commission for Higher Education - Medical Education Needs in Idaho, Montana, Nevada and Wyoming (ME&CH), 1965-1971	box 365	folder 3510
Western Interstate Commission for Higher Education - Medical Education Needs in Idaho, Montana, Nevada and Wyoming (ME&CH), 1965-1971	box 365	folder 3511
Western Interstate Commission for Higher Education - Medical Education Needs in Idaho, Montana, Nevada and Wyoming - Reports (ME&CH), 1966-1976	box 365	folder 3512
Western Interstate Commission for Higher Education - Public Education Concerning the West's Medical Manpower Needs (ME&CH), 1959-1965	box 365	folder 3513
Western Interstate Commission for Higher Education - Public Education Concerning the West's Medical Manpower Needs (ME&CH), 1959-1965	box 365	folder 3514

Western Reserve University (Institute of Pathology) - Chemical Factors Involved in Resistance to Disease, 1938-1940	box 365	folder 3515
Western Reserve University (Institute of Pathology) - Chemical Factors Involved in Resistance to Disease, 1938-1940	box 365	folder 3516
Western Reserve University (Institute of Pathology) - Chemical Factors Involved in Resistance to Disease, 1940-1941	box 366	folder 3517
Western Reserve University (Institute of Pathology) - Chemical Factors Involved in Resistance to Disease, 1940-1941	box 366	folder 3518
Western Reserve University (Institute of Pathology) - Chemical Factors Involved in Resistance to Disease, 1940-1941	box 366	folder 3519
Western Reserve University (Institute of Pathology) - Chemical Factors Involved in Resistance to Disease, 1940-1941	box 366	folder 3520
Western Reserve University (Institute of Pathology) - Chemical Factors Involved in Resistance to Disease - Financial Data, 1939-1942	box 366	folder 3521
Western Reserve University (School of Medicine) - Building Changes to Permit Inauguration of New Curriculum (ME), 1951-1953	box 366	folder 3522
Western Reserve University (School of Medicine) - Career Study of Graduates (ME&CH), 1960-1963	box 366	folder 3523
Western Reserve University (School of Medicine) - Career Study of Graduates (ME&CH), 1960-1963	box 366	folder 3524
Western Reserve University (School of Medicine) - Career Study of Graduates (ME&CH), 1963-1964	box 367	folder 3525
Western Reserve University (School of Medicine) - Career Study of Graduates (ME&CH), 1963-1964	box 367	folder 3526
Western Reserve University (School of Medicine) - Clinical and Experimental Study of Chronic Nephritis, 1937-1942	box 367	folder 3527
Western Reserve University (School of Medicine) - Clinical and Experimental Study of Chronic Nephritis, 1937-1942	box 367	folder 3528
Western Reserve University (School of Medicine) - Clinical and Experimental Study of Chronic Nephritis - Financial Data, 1937-1942	box 367	folder 3529
Western Reserve University (School of Medicine) - Clinical and Experimental Study of Chronic Nephritis - Financial Data, 1937-1942	box 367	folder 3530
Western Reserve University (School of Medicine) - Cooperative Research in Teaching Hematology (ME&CH), 1961-1966	box 367	folder 3531
Western Reserve University (School of Medicine) - Cooperative Research in Teaching Hematology (ME&CH), 1961-1966	box 367	folder 3532

Western Reserve University (School of Medicine) - Department of Anatomy - Intracellular Chemistry and Physiology, August-December, 1945	box 367	folder 3533
Western Reserve University (School of Medicine) - Department of Physiology - Peripheral Circulation and Shock, 1940-1941	box 367	folder 3534
Western Reserve University (School of Medicine) - Department of Physiology - Peripheral Circulation and Shock Study, 1941-1949	box 368	folder 3535
Western Reserve University (School of Medicine) - Department of Physiology - Peripheral Circulation and Shock Study, 1941-1949	box 368	folder 3536
Western Reserve University (School of Medicine) - Department of Physiology - Peripheral Circulation and Shock Study (Financial Data), 1940-1947	box 368	folder 3537
Western Reserve University (School of Medicine) - Department of Physiology - Peripheral Circulation and Shock Study (Financial Data), 1940-1947	box 368	folder 3538
Western Reserve University (School of Medicine) - Division of Research in Medical Education (ME&CH), 1965-1969	box 368	folder 3539
Western Reserve University (School of Medicine) - Division of Research in Medical Education (ME&CH), 1965-1969	box 368	folder 3540
Western Reserve University (School of Medicine) - Division of Research in Medical Education (ME&CH), 1965-1969	box 368	folder 3541
Western Reserve University (School of Medicine) - Educational Program (ME), 1948-1949	box 368	folder 3542
Western Reserve University (School of Medicine) - Educational Program (ME), 1948-1949	box 368	folder 3543
Western Reserve University (School of Medicine) - Educational Program (ME), 1949-1953	box 369	folder 3544
Western Reserve University (School of Medicine) - Educational Program (ME), 1949-1953	box 369	folder 3545
Western Reserve University (School of Medicine) - Educational Program (ME), 1949-1953	box 369	folder 3546
Western Reserve University (School of Medicine) - Educational Program (ME), 1949-1953	box 369	folder 3547
Western Reserve University (School of Medicine) - Educational Program (ME), 1949-1953	box 369	folder 3548
Western Reserve University (School of Medicine) - Educational Program (ME), 1949-1953	box 369	folder 3549
Western Reserve University (School of Medicine) - Educational Program (ME), 1949-1953	box 369	folder 3550
Western Reserve University (School of Medicine) - Educational Program (ME), 1949-1953	box 369	folder 3551

Western Reserve University (School of Medicine) - Educational Program (ME), 1953-1954	box 370	folder 3552	
Western Reserve University (School of Medicine) - Educational Program (ME), 1953-1954	box 370	folder 3553	
Western Reserve University (School of Medicine) - Educational Program (ME), 1953-1954	box 370	folder 3554	
Western Reserve University (School of Medicine) - Educational Program - Evaluation, 1953-1954	box 370	folder 3555	
Western Reserve University (School of Medicine) - Educational Program - Evaluation, 1953-1954	box 370	folder 3556	
Western Reserve University (School of Medicine) - Educational Program - Evaluation, 1953-1954	box 370	folder 3557	
Western Reserve University (School of Medicine) - Educational Program - Evaluation, 1953-1954	box 370	folder 3558	
Western Reserve University (School of Medicine) - Educational Program - Evaluation, 1953-1954	box 370	folder 3559	
Western Reserve University (School of Medicine) - Educational Program - Evaluation, 1953-1954	box 370	folder 3560	
Western Reserve University (School of Medicine) - Educational Program - Evaluation, 1955-1964	box 371	folder 3561	
Western Reserve University (School of Medicine) - Educational Program - Evaluation, 1955-1964	box 371	folder 3562	
Western Reserve University (School of Medicine) - Educational Program - Evaluation, 1955-1964	box 371	folder 3563	
Western Reserve University (School of Medicine) - Educational Program - Evaluation, 1955-1964	box 371	folder 3564	
Western Reserve University (School of Medicine) - Educational Program - Evaluation, 1955-1964	box 371	folder 3565	
Western Reserve University (School of Medicine) - Educational Program - Evaluation, 1955-1964	box 371	folder 3566	
Western Reserve University (School of Medicine) - Educational Program - Evaluation, 1955-1964	box 371	folder 3567	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Gates Mills Meetings), 1953-1956	box 371	folder 3568	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Gates Mills Meetings), 1953-1956	box 371	folder 3569	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Gates Mills Meetings), 1953-1956	box 371	folder 3570	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Gates Mills Meetings), 1956-1958	box 372	folder 3571	

Western Reserve University (School of Medicine) - Educational Program - Evaluation (Gates Mills Meetings), 1956-1958	box 372	folder 3572	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Gates Mills Meetings), 1956-1958	box 372	folder 3573	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Gates Mills Meetings), 1956-1958	box 372	folder 3574	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Gates Mills Meetings), 1956-1958	box 372	folder 3575	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Gates Mills Meetings), 1956-1958	box 372	folder 3576	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Minutes), October 30, 1950	box 372	folder 3577	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Multidiscipline Laboratory Units), October, 1952	box 372	folder 3578	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Phase I Evaluating and Reports), 1952-1954	box 373	folder 3579	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Phase I Evaluating and Reports), 1952-1954	box 373	folder 3580	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Phase I Evaluating and Reports), 1952-1954	box 373	folder 3581	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Phase I Evaluating and Reports), 1952-1954	box 373	folder 3582	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Phase I Evaluating and Reports), 1952-1954	box 373	folder 3583	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Phase I Evaluating and Reports), 1952-1954	box 373	folder 3584	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Phase I Supplementary Material), 1952-1954	box 373	folder 3585	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Phase I Supplementary Material), 1952-1954	box 373	folder 3586	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Phase I Supplementary Material), 1952-1954	box 373	folder 3587	

Western Reserve University (School of Medicine) - Educational Program - Evaluation (Phase I Supplementary Material), 1952-1954	box 374	folder 3588	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Phase I Supplementary Material), 1952-1954	box 374	folder 3589	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Phase I Supplementary Material), 1952-1954	box 374	folder 3590	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Phase I Supplementary Material), 1952-1954	box 374	folder 3591	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Phase I Supplementary Material), 1952-1954	box 374	folder 3592	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Phase II Reports), 1953-1954	box 374	folder 3593	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Phase II Reports), 1953-1954	box 374	folder 3594	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Phase IIA Laboratory), 1954	box 374	folder 3595	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Phase IIA Laboratory), 1954	box 375	folder 3596	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Phase IIA Lecture), 1954	box 375	folder 3597	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Phase IIA Lecture), 1954	box 375	folder 3598	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Phase IIA Lecture), 1954	box 375	folder 3599	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Phase IIA Lecture), 1954	box 375	folder 3600	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Phase III), 1955	box 375	folder 3601	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Supplementary Reports), 1951-1954	box 375	folder 3602	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Supplementary Reports), 1951-1954	box 375	folder 3603	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Supplementary Reports), 1951-1954	box 375	folder 3604	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Supplementary Reports), 1951-1956	box 376	folder 3605	

Western Reserve University (School of Medicine) - Educational Program - Evaluation (Supplementary Reports), 1951-1956	box 376	folder 3606	
Western Reserve University (School of Medicine) - Educational Program - Evaluation (Supplementary Reports), 1951-1956	box 376	folder 3607	
Western Reserve University (School of Medicine) - Health Science Library (ME&CH), 1963-1969	box 376	folder 3608	
Western Reserve University (School of Medicine) - Leukemia Study, 1937-1947	box 376	folder 3609	
Western Reserve University (School of Medicine) - Leukemia Study, 1937-1947	box 376	folder 3610	
Western Reserve University (School of Medicine) - Leukemia Study, 1937-1947	box 376	folder 3611	
Western Reserve University (School of Medicine) - Leukemia Study - Financial Data, 1939-1944	box 376	folder 3612	
Western Reserve University (School of Medicine) - Leukemia Study - Financial Data, 1939-1944	box 376	folder 3613	
Western Reserve University (School of Medicine) - Leukemia Study - Financial Data, 1945-1947	box 377	folder 3614	
Western Reserve University (School of Medicine) - Mechanism of Heart Failure Study, 1938-1944	box 377	folder 3615	
Western Reserve University (School of Medicine) - Mechanism of Heart Failure Study, 1938-1944	box 377	folder 3616	
Western Reserve University (School of Medicine) - Mechanism of Heart Failure Study - Financial Data, 1938-1944	box 377	folder 3617	
Western Reserve University (School of Medicine) - Mechanism of Heart Failure Study - Financial Data, 1938-1944	box 377	folder 3618	
Western Reserve University (School of Medicine) - Survey of the Work of the Child Research Council (MR), 1953-1955	box 377	folder 3619	
White-William Foundation - General Support, 1921-1924	box 377	folder 3620	
White-William Foundation - General Support, 1921-1924	box 377	folder 3621	
University of Wisconsin (Enzyme Institute) - Integrated Enzyme Action Study (MR), 1948-1953	box 377	folder 3622	
University of Wisconsin (Enzyme Institute) - Integrated Enzyme Action Study (MR), 1948-1953	box 377	folder 3623	
University of Wisconsin (Enzyme Institute) - Integrated Enzyme Action Study - Financial Data (MR), 1948-1951	box 378	folder 3624	
Women and Foundations/Corporate Philanthropy - Annual Membership, 1980-1982	box 378	folder 3625	
Women and Foundations/Corporate Philanthropy - Program to Change Patterns of Sex Discrimination in Organized Philanthropy, 1978-1981	box 378	folder 3626	

Worchester Foundation for Experimental Biology - Biological Role of Steroids (MR), 1953-1965	box 378	folder 3627	
Worchester Foundation for Experimental Biology - Biological Role of Steroids (MR), 1953-1965	box 378	folder 3628	
Worchester Foundation for Experimental Biology - Biological Role of Steroids (MR), 1953-1965	box 378	folder 3629	
Worchester Foundation for Experimental Biology - Biological Role of Steroids (MR), 1953-1965	box 378	folder 3630	
Worchester Foundation for Experimental Biology - Biological Role of Steroids (MR), 1953-1965	box 378	folder 3631	
Worchester Foundation for Experimental Biology - Blood Studies in Relation to Psychoses (MR), 1959-1966	box 378	folder 3632	
Worchester Foundation for Experimental Biology - Blood Studies in Relation to Psychoses (MR), 1959-1966	box 378	folder 3633	
Work in American Institute - Improvement of Employer, Union and Government Accommodation of an Aging American Work Force, 1978-1980	box 379	folder 3634	
Work in American Institute - Improvement of Employer, Union and Government Accommodation of an Aging American Work Force, 1978-1980	box 379	folder 3635	
Work in American Institute - Improvement of Employer, Union and Government Accommodation of an Aging American Work Force, 1978-1980	box 379	folder 3636	
Work in American Institute - Improvement of Employer, Union and Government Accommodation of an Aging American Work Force, 1978-1980	box 379	folder 3637	
Work in American Institute - Improvement of Employer, Union and Government Accommodation of an Aging American Work Force, 1978-1980	box 379	folder 3638	
Work in American Institute - Improvement of Employer, Union and Government Accommodation of an Aging American Work Force, 1978-1980	box 379	folder 3639	
Work in American Institute - Improvement of Employer, Union and Government Accommodation of an Aging American Work Force, 1978-1980	box 379	folder 3640	
Work in American Institute - Improvement of Employer, Union and Government Accommodation of an Aging American Work Force - Conference Proceedings, April 18, 1979	box 379	folder 3641	
Work in American Institute - Improvement of Employer, Union and Government Accommodation of an Aging American Work Force - Highlights of the Literature, 1978-1979	box 379	folder 3642	
Work in American Institute - Improvement of Employer, Union and Government Accommodation of an Aging American Work Force - Highlights of the Literature, 1978-1979	box 379	folder 3643	

Work in American Institute - Improvement of Employer, Union and Giovernment Accommodation of an Aging American Work Force - Highlights of the Literature, 1979  Work in American Institute - Improvement of Employer, Union and Government Accommodation of an Aging American Work Force - Plans and Progress, 1978  Work in American Institute - Improvement of Employer, Union and Government Accommodation of an Aging American Work Force - Proposal, October 12, 1979  Work in American Institute - Improvement of Employer, Union and Government Accommodation of an Aging American Work Force - Proposal, October 12, 1979  Work in American Institute - Improvement of Employer, Union and Government Accommodation of an Aging American Work Force - Publications, 1978-1980  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Word of Council of Churches - Consultation on Genetics and the Quality of Life, 1972-1975  Wordd Federation for Medical Education, Inc General Support, 1977-1981  World Federation for Medical Education, Inc General Support, 1977-1981  World Federation for Medical Education, Inc General Support, 1977-1981  World Federation for Medical Education Fund - Contribution to the Fourth World Conference, 1972-1973  Yale University - Center for the Study of Human Genetics, 1974-1981  Vord Federation for Mental Health - General Support, 194			
and Government Accommodation of an Aging American Work Force - Plans and Progress, 1978  Work in American Institute - Improvement of Employer, Union and Government Accommodation of an Aging American Work Force - Proposal, October 12, 1979  Work in American Institute - Improvement of Employer, Union and Government Accommodation of an Aging American Work Force - Proposal, October 12, 1979  Work in American Institute - Improvement of Employer, Union and Government Accommodation of an Aging American Work Force - Publications, 1978-1980  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American In	and Government Accommodation of an Aging American Work	box 380	folder 3644
and Government Accommodation of an Aging American Work Force - Proposal, October 12, 1979  Work in American Institute - Improvement of Employer, Union and Government Accommodation of an Aging American Work Force - Publications, 1978-1980  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  World Council of Churches - Consultation on Genetics and the Quality of Life, 1972-1975  World Federation for Medical Education, Inc General Support, 1977-1981  World Federation for Medical Education, Inc General Support, 1977-1981  World Federation for Mental Health - General Support, 1949-1953  World Federation for Mental Health - General Support, 1949-1953  World Federation for Mental Health - General Support, 1949-1953  World Medical Association, Inc Education Fund - Contribution to the Fourth World Conference, 1972-1973  Yale University - Center for the Study of Human Genetics, 1974-1981  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in box 382  Folder 3663  Yale University (Child Study Center) - Emotional Development in box 382  Folder 3663	and Government Accommodation of an Aging American Work	box 380	folder 3645
and Government Accommodation of an Aging American Work Force - Publications, 1978-1980  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  World Council of Churches - Consultation on Genetics and the Quality of Life, 1972-1975  World Federation for Medical Education, Inc General Support, 1977-1981  World Federation for Medical Education, Inc General Support, 1977-1981  World Federation for Mental Health - General Support, 1949-1953  World Federation for Mental Health - General Support, 1949-1953  World Federation for Mental Health - General Support, 1949-1953  World Medical Association, Inc Education Fund - Contribution to the Fourth World Conference, 1972-1973  Yale University - Center for the Study of Human Genetics, 1974-1981  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1952-1953  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1952-1953  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1952-1953  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1952-1953	and Government Accommodation of an Aging American Work	box 380	folder 3646
Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  World Council of Churches - Consultation on Genetics and the Quality of Life, 1972-1975  World Federation for Medical Education, Inc General Support, 1977-1981  World Federation for Medical Education, Inc General Support, 1977-1981  World Federation for Mental Health - General Support, 1949-1953 box 381 folder 3655  World Federation for Mental Health - General Support, 1949-1953 box 381 folder 3656  World Federation for Mental Health - General Support, 1949-1953 box 381 folder 3657  World Medical Association, Inc Education Fund - Contribution to the Fourth World Conference, 1972-1973  Yale University - Center for the Study of Human Genetics, 1974-1981  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in box 382 folder 3663	and Government Accommodation of an Aging American Work	box 380	folder 3647
Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  World Council of Churches - Consultation on Genetics and the Quality of Life, 1972-1975  World Federation for Medical Education, Inc General Support, 1977-1981  World Federation for Medical Education, Inc General Support, 1977-1981  World Federation for Mental Health - General Support, 1949-1953 box 381 folder 3655  World Federation for Mental Health - General Support, 1949-1953 box 381 folder 3656  World Federation for Mental Health - General Support, 1949-1953 box 381 folder 3657  World Medical Association, Inc Education Fund - Contribution to the Fourth World Conference, 1972-1973  Yale University - Center for the Study of Human Genetics, 1974-1981  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1952-1953  Yale University (Child Study Center) - Emotional Development in box 382 folder 3663		box 380	folder 3648
Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  World Council of Churches - Consultation on Genetics and the Quality of Life, 1972-1975  World Federation for Medical Education, Inc General Support, 1977-1981  World Federation for Medical Education, Inc General Support, 1977-1981  World Federation for Mental Health - General Support, 1949-1953 box 381 folder 3655  World Federation for Mental Health - General Support, 1949-1953 box 381 folder 3657  World Medical Association, Inc Education Fund - Contribution to the Fourth World Conference, 1972-1973  Yale University - Center for the Study of Human Genetics, 1974-1981  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1952-1953  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1952-1953  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1952-1953  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1952-1953  Yale University (Child Study Center) - Emotional Development in box 382 folder 3663		box 380	folder 3649
Work in American Institute - Promotion of New Patterns of Work That Benefit Employees and Increase Productivity, 1979-1983  World Council of Churches - Consultation on Genetics and the Quality of Life, 1972-1975  World Federation for Medical Education, Inc General Support, 1977-1981  World Federation for Medical Education, Inc General Support, 1977-1981  World Federation for Mental Health - General Support, 1949-1953 box 381 folder 3655  World Federation for Mental Health - General Support, 1949-1953 box 381 folder 3656  World Federation for Mental Health - General Support, 1949-1953 box 381 folder 3657  World Medical Association, Inc Education Fund - Contribution to the Fourth World Conference, 1972-1973  Yale University - Center for the Study of Human Genetics, 1974-1981  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1952-1953  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1952-1953  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1952-1953  Yale University (Child Study Center) - Emotional Development in Box 382 folder 3663		box 380	folder 3650
World Council of Churches - Consultation on Genetics and the Quality of Life, 1972-1975  World Federation for Medical Education, Inc General Support, 1977-1981  World Federation for Medical Education, Inc General Support, 1977-1981  World Federation for Medical Education, Inc General Support, 1977-1981  World Federation for Mental Health - General Support, 1949-1953  World Federation for Mental Health - General Support, 1949-1953  World Federation for Mental Health - General Support, 1949-1953  World Medical Association, Inc Education Fund - Contribution to the Fourth World Conference, 1972-1973  Yale University - Center for the Study of Human Genetics, 1974-1981  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1952-1953  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1952-1953  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1952-1953  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1952-1953  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1952-1953  Yale University (Child Study Center) - Emotional Development in Emotional Development in Early Childhood (MR), 1952-1953		box 380	folder 3651
World Federation for Medical Education, Inc General Support, 1977-1981  World Federation for Medical Education, Inc General Support, 1977-1981  World Federation for Medical Education, Inc General Support, 1949-1953 box 381 folder 3655  World Federation for Mental Health - General Support, 1949-1953 box 381 folder 3656  World Federation for Mental Health - General Support, 1949-1953 box 381 folder 3657  World Medical Association, Inc Education Fund - Contribution to the Fourth World Conference, 1972-1973  Yale University - Center for the Study of Human Genetics, 1974-1981  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1952-1953  Yale University (Child Study Center) - Emotional Development in box 382 folder 3663  Yale University (Child Study Center) - Emotional Development in box 382 folder 3663		box 380	folder 3652
World Federation for Medical Education, Inc General Support, 1949-1951 box 381 folder 3655  World Federation for Mental Health - General Support, 1949-1953 box 381 folder 3656  World Federation for Mental Health - General Support, 1949-1953 box 381 folder 3657  World Medical Association, Inc Education Fund - Contribution to the Fourth World Conference, 1972-1973  Yale University - Center for the Study of Human Genetics, 1974-1981  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1952-1953  Yale University (Child Study Center) - Emotional Development in box 382 folder 3663		box 381	folder 3653
World Federation for Mental Health - General Support, 1949-1953 box 381 folder 3656  World Federation for Mental Health - General Support, 1949-1953 box 381 folder 3657  World Medical Association, Inc Education Fund - Contribution to the Fourth World Conference, 1972-1973  Yale University - Center for the Study of Human Genetics, 1974-1981  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1952-1953  Yale University (Child Study Center) - Emotional Development in box 382 folder 3663	**	box 381	folder 3654
World Federation for Mental Health - General Support, 1949-1953 box 381 folder 3657  World Medical Association, Inc Education Fund - Contribution to the Fourth World Conference, 1972-1973  Yale University - Center for the Study of Human Genetics, 1974-1981  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1952-1953  Yale University (Child Study Center) - Emotional Development in box 382 folder 3663		box 381	folder 3655
World Medical Association, Inc Education Fund - Contribution to the Fourth World Conference, 1972-1973  Yale University - Center for the Study of Human Genetics, 1974-1981  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1952-1953  Yale University (Child Study Center) - Emotional Development in box 382  Yale University (Child Study Center) - Emotional Development in box 382  Folder 3663	World Federation for Mental Health - General Support, 1949-1953	box 381	folder 3656
Yale University - Center for the Study of Human Genetics, 1974-1981  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1952-1953  Yale University (Child Study Center) - Emotional Development in box 382  folder 3662	World Federation for Mental Health - General Support, 1949-1953	box 381	folder 3657
Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1952-1953  Yale University (Child Study Center) - Emotional Development in box 382 folder 3663		box 381	folder 3658
<ul> <li>Early Childhood (MR), 1950-1952</li> <li>Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1950-1952</li> <li>Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1952-1953</li> <li>Yale University (Child Study Center) - Emotional Development in box 382</li> <li>Yale University (Child Study Center) - Emotional Development in box 382</li> </ul>		box 381	folder 3659
Early Childhood (MR), 1950-1952  Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1952-1953  Yale University (Child Study Center) - Emotional Development in box 382 folder 3663		box 381	folder 3660
Early Childhood (MR), 1952-1953  Yale University (Child Study Center) - Emotional Development in box 382 folder 3663		box 381	folder 3661
	· · · · · · · · · · · · · · · · · · ·	box 382	folder 3662
Early Cimunood (1916), 1752-1755	Yale University (Child Study Center) - Emotional Development in Early Childhood (MR), 1952-1953	box 382	folder 3663

Yale University (Child Study Center) - Emotional Development in Early Childhood/Personality Development (MR), 1954-1960	box 382	folder 3664
Yale University (Child Study Center) - Emotional Development in Early Childhood/Personality Development (MR), 1954-1960	box 382	folder 3665
Yale University (Child Study Center) - Emotional Development in Early Childhood/Personality Development (MR), 1954-1960	box 382	folder 3666
Yale University (Child Study Center) - Emotional Development in Early Childhood/Personality Development (MR), 1954-1960	box 382	folder 3667
Yale University (Child Study Center) - Emotional Development in Early Childhood/Personality Development (MR), 1954-1960	box 382	folder 3668
Yale University (Child Study Center) - Emotional Development in Early Childhood/Personality Development - Financial Data (MR), 1950-1952	box 382	folder 3669
Yale University (Child Study Center) - Emotional Development in Early Childhood/Personality Development - Manuscript (MR), 1953-1959	box 382	folder 3670
Yale University (Child Study Center) - Emotional Development in Early Childhood/Personality Development - Manuscript (MR), 1953-1959	box 382	folder 3671
Yale University (Child Study Center) - Emotional Development in Early Childhood/Personality Development - Manuscript (MR), 1953-1959	box 382	folder 3672
Yale University (Child Study Center) - Emotional Development in Early Childhood/Personality Development - New Family Correspondence (MR), 1957-1959	box 382	folder 3673
Yale University (Child Study Center) - Regional Center for Service, Education and Research in Problems of Early Childhood Development (ME&CH), 1972-1978	box 383	folder 3674
Yale University (Child Study Center) - Regional Center for Service, Education and Research in Problems of Early Childhood Development (ME&CH), 1972-1978	box 383	folder 3675
Yale University (Child Study Center) - Regional Center for Service, Education and Research in Problems of Early Childhood Development - Supplementary Material (ME&CH), 1972-1977	box 383	folder 3676
Yale University (Child Study Center) - Regional Center for Service, Education and Research in Problems of Early Childhood Development - Supplementary Material (ME&CH), 1972-1977	box 383	folder 3677
Yale University (Child Study Center) - Regional Center for Service, Education and Research in Problems of Early Childhood Development - Supplementary Material (ME&CH), 1972-1977	box 383	folder 3678
Yale University (Child Study Center) - Regional Center for Service, Education and Research in Problems of Early Childhood Development - Supplementary Material (ME&CH), 1972-1977	box 383	folder 3679

Yale University - Construction of New Science Laboratory, 1954-1955	box 383	folder 3680	
Yale University (Department of Sociology) - Experimental Graduate Training Program in the Social Aspects of Medicine (ME), 1953-1958	box 383	folder 3681	
Yale University (Department of Sociology) - Experimental Graduate Training Program in the Social Aspects of Medicine (ME), 1953-1958	box 383	folder 3682	
Yale University (Department of Sociology) - Experimental Graduate Training Program in the Social Aspects of Medicine (ME), 1953-1958	box 383	folder 3683	
Yale University (Department of Sociology) - Experimental Graduate Training Program in the Social Aspects of Medicine (ME), 1953-1958	box 383	folder 3684	
Yale University (Department of Sociology) - Experimental Graduate Training Program in the Social Aspects of Medicine (ME), 1959-1965	box 384	folder 3685	
Yale University - Designing a Program in Human Biology and Ecology (ME), 1950-1953	box 384	folder 3686	
Yale University - Designing a Program in Human Biology and Ecology (ME), 1950-1953	box 384	folder 3687	
Yale University - Fundamental Reappraisal of the University's Medical Program (ME&CH), 1966-1974	box 384	folder 3688	
Yale University (Law School) - Graduate Education and Research Program in Law, Science and Medicine (ME&CH), 1972-1977	box 384	folder 3689	
Yale University (Law School) - Graduate Education and Research Program in Law, Science and Medicine (ME&CH), 1972-1977	box 384	folder 3690	
Yale University - Memorial for Edward S. Harkness, 1952-1956	box 384	folder 3691	
Yale University - Memorial for Edward S. Harkness, 1952-1956	box 384	folder 3692	
Yale University - Memorial for Edward S. Harkness, 1952-1956	box 384	folder 3693	
Yale University - Mental Hygiene College Conference, 1926-1927	box 384	folder 3694	
Yale University (School of Medicine) - Auditorium Construction (ME&CH), 1958-1964	box 384	folder 3695	
Yale University (School of Medicine) - Committee on Medical Affairs, 1972-1974	box 384	folder 3696	
Yale University (School of Medicine) - Comprehensive Program on the National Health Problem of Trauma (ME&CH), 1968-1978	box 385	folder 3697	
Yale University (School of Medicine) - Comprehensive Program on the National Health Problem of Trauma (ME&CH), 1968-1978	box 385	folder 3698	
Yale University (School of Medicine) - Comprehensive Program on the National Health Problem of Trauma (ME&CH), 1968-1978	box 385	folder 3699	

Yale University (School of Medicine) - Comprehensive Program on the National Health Problem of Trauma (ME&CH), 1968-1978	box 385	folder 3700
Yale University (School of Medicine) - Comprehensive Program on the National Health Problem of Trauma (ME&CH), 1968-1978	box 385	folder 3701
Yale University (School of Medicine) - Comprehensive Program on the National Health Problem of Trauma - Communications System for Emergency Medical Services for the New Haven Area (ME&CH), June 11, 1971	box 385	folder 3702
Yale University (School of Medicine) - Comprehensive Program on the National Health Problem of Trauma - Emergency Medical Communications System for South Central Connecticut (ME&CH), November 12, 1971	box 385	folder 3703
Yale University (School of Medicine) - Comprehensive Program on the National Health Problem of Trauma - Financial Data (ME&CH), April 2, 1969	box 385	folder 3704
Yale University (School of Medicine) - Comprehensive Program on the National Health Problem of Trauma - Financial Data (ME&CH), April 2, 1969	box 385	folder 3705
Yale University (School of Medicine) - Comprehensive Program on the National Health Problem of Trauma - Financial Data (ME&CH), April 2, 1969	box 386	folder 3706
Yale University (School of Medicine) - Comprehensive Program on the National Health Problem of Trauma - Financial Data (ME&CH), April 2, 1969	box 386	folder 3707
Yale University (School of Medicine) - Comprehensive Program on the National Health Problem of Trauma - Financial Data (ME&CH), April 2, 1969	box 386	folder 3708
Yale University (School of Medicine) - Comprehensive Program on the National Health Problem of Trauma - Financial Data (ME&CH), April 2, 1969	box 386	folder 3709
Yale University (School of Medicine) - Comprehensive Program on the National Health Problem of Trauma - Progress Report (ME&CH), October 18, 1974	box 386	folder 3710
Yale University (School of Medicine) - Comprehensive Program on the National Health Problem of Trauma - Questions Concerning the Yale-New Haven Medical Center (ME&CH), March 12, 1969	box 386	folder 3711
Yale University (School of Medicine) - Comprehensive Program on the National Health Problem of Trauma - Study and Analysis of Emergency Medical Services in Connecticut (ME&CH), July 23, 1971	box 386	folder 3712
Yale University (School of Medicine) - Comprehensive Program on the National Health Problem of Trauma - Study and Analysis of Emergency Medical Services in Connecticut (ME&CH), April 5, 1973	box 386	folder 3713

Yale University (School of Medicine) - Comprehensive Program on the National Health Problem of Trauma - Study and Analysis of Emergency Medical Services in Connecticut (ME&CH), April 5, 1973	box 387	folder 3714	
Yale University (School of Medicine) - Comprehensive Program on the National Health Problem of Trauma - Survey Report (ME&CH), October 14-17, 1968	box 387	folder 3715	
Yale University (School of Medicine) - Department of Epidemiology and Public Health, 1979-1982	box 387	folder 3716	
Yale University (School of Medicine) - Department of Mental Hygiene - Institute of Human Behavior, 1927-1935	box 387	folder 3717	
Yale University (School of Medicine) - Department of Mental Hygiene - Mental Hygiene Work, 1925-1929	box 387	folder 3718	
Yale University (School of Medicine) - Department of Mental Hygiene - Mental Hygiene Work, 1925-1929	box 387	folder 3719	
Yale University (School of Medicine) - Department of Mental Hygiene - Mental Hygiene Work, 1925-1929	box 387	folder 3720	
Yale University (School of Medicine) - Department of Mental Hygiene - Mental Hygiene Work, 1929-1931	box 388	folder 3721	
Yale University (School of Medicine) - Department of Mental Hygiene - Mental Hygiene Work (Minutes and Monthly Reports), 1926-1932	box 388	folder 3722	
Yale University (School of Medicine) - Department of Mental Hygiene - Mental Hygiene Work (Minutes and Monthly Reports), 1926-1932	box 388	folder 3723	
Yale University (School of Medicine) - Department of Psychiatry, 1947-1955	box 388	folder 3724	
Yale University (School of Medicine) - Department of Psychiatry, 1947-1955	box 388	folder 3725	
Yale University (School of Medicine) - Department of Psychiatry, 1947-1955	box 388	folder 3726	
Yale University (School of Medicine) - Department of Psychiatry - Financial Data, 1949-1951	box 388	folder 3727	
Yale University (School of Medicine) - Establishment of the Division of Cell Biology, 1971-1977	box 388	folder 3728	
Yale University (School of Medicine) - Etiology of Vascular Disease, 1938-1939	box 388	folder 3729	
Yale University (School of Medicine) - Etiology of Vascular Disease, 1939-1946	box 389	folder 3730	
Yale University (School of Medicine) - Etiology of Vascular Disease, 1939-1946	box 389	folder 3731	

Yale University (School of Medicine) - Etiology of Vascular Disease, 1939-1946	box 389	folder 3732
Yale University (School of Medicine) - Etiology of Vascular Disease, 1939-1946	box 389	folder 3733
Yale University (School of Medicine) - Etiology of Vascular Disease - Financial Data, 1938-1946	box 389	folder 3734
Yale University (School of Medicine) - Etiology of Vascular Disease - Financial Data, 1938-1946	box 389	folder 3735
Yale University (School of Medicine) - Experimental Study of Cardiovascular-Renal Disease, 1946-1948	box 389	folder 3736
Yale University (School of Medicine) - Experimental Study of Cardiovascular-Renal Disease, 1946-1948	box 389	folder 3737
Yale University (School of Medicine) - Experimental Study of Cardiovascular-Renal Disease, 1948-1952	box 390	folder 3738
Yale University (School of Medicine) - Experimental Study of Cardiovascular-Renal Disease - Financial Data, 1946-1948	box 390	folder 3739
Yale University (School of Medicine) - Laboratory of Clinical Investigation, 1961-1967	box 390	folder 3740
Yale University (School of Medicine) - Longitudinal Study of the Medical School Admissions Process, 1975-1981	box 390	folder 3741
Yale University (School of Medicine) - Longitudinal Study of the Medical School Admissions Process, 1975-1981	box 390	folder 3742
Yale University (School of Medicine) - New Haven Hospital, 1921-1922	box 390	folder 3743
Yale University (School of Medicine) - Nine School Consortium Joint Planning Project, 1971-1975	box 390	folder 3744
Yale University - Ten-Year, Multidisciplinary Study of Private, Non-Profit Institutions and Organizations, 1976-1981	box 390	folder 3745
Yale University - Ten-Year, Multidisciplinary Study of Private, Non-Profit Institutions and Organizations, 1976-1981	box 390	folder 3746
Yale University - Ten-Year, Multidisciplinary Study of Private, Non-Profit Institutions and Organizations, 1976-1981	box 390	folder 3747
York College (The City University of New York) - Summer Science Program for High School Students (1979), 1979-1981	box 390	folder 3748

# **Grants**

Physical Description: 4.4 Cubic Feet

# **Arrangement note**

This subseries of records is arranged alphabetically by grant recipient.

# **Scope and Contents note**

Grant actions comprise the largest series of the Commonwealth Fund records. The earliest grants funded a broad range of projects and associations and reflected the diverse and varied program of the Fund's beginning years. In many cases the grants were parallel or ancillary to existing Commonwealth Fund projects. Often, however, unrelated or special short-term grants were awarded. When the Commonwealth Fund's program became more oriented toward medical education and research, the grant actions mirrored this policy alteration. The records found in the Grant Series are the combination of the unprocessed Grants and Expired Grants Series.

Title/Description	Instances	
Affiliated Hospital Center - Study of Center for Cost Effective Care, 1979-1983	box 1	folder 1
Related Archival Materials note: Related Archival Materials note		
See Commonwealth Fund, Grant Series 18.2 Brigham and Women's Hospital, Box 2, Folders 9-14.		
Affiliated Hospital Center - Study of Center for Cost Effective Care, 1979-1983	box 1	folder 2
Related Archival Materials note: Related Archival Materials note		
See Commonwealth Fund, Grant Series 18.2 Brigham and Women's Hospital, Box 2, Folders 9-14.		
American Friends of the Maru a Pula School, Inc Support of Student Scholarships and Sabbatical Fellowships, 1984-1986	box 1	folder 3
American Medical Association - Educational Research Foundation Program to Improve Medical Care and Services related to Health in Correctional Institutions, 1978-1984	box 1	folder 4
American Medical Association - Educational Research Foundation Program to Improve Medical Care and Services related to Health in Correctional Institutions, 1978-1984	box 1	folder 5
American Medical Association - Educational Research Foundation Program to Improve Medical Care and Services related to Health in Correctional Institutions, 1978-1984	box 1	folder 6
American Medical Association - Educational Research Foundation Program to Improve Medical Care and Services related to Health in Correctional Institutions, 1978-1984	box 1	folder 7
American University of Beirut - Dean's Discretionary Fund, 1977-1984	box 1	folder 8
Brigham and Women's Hospital - Establishment and Operation of a Center for Cost Effective Care, 1974-1987	box 2	folder 9
Related Archival Materials note:		

# **Related Archival Materials note**

1977-1983

See Commonwealth Fund, Grant Series 18.2 Affiliated Hospital Center, Box 1, Folders 1-2.

Hospital Celici, Box 1, 1 olders 1-2.			
Brigham and Women's Hospital - Establishment and Operation of a Center for Cost Effective Care, 1974-1987	box 2	folder 10	
Related Archival Materials note:			
Related Archival Materials note			
See Commonwealth Fund, Grant Series 18.2 Affiliated Hospital Center, Box 1, Folders 1-2.			
Brigham and Women's Hospital - Establishment and Operation of a Center for Cost Effective Care, 1974-1987	box 2	folder 11	
Related Archival Materials note:			
Related Archival Materials note			
See Commonwealth Fund, Grant Series 18.2 Affiliated Hospital Center, Box 1, Folders 1-2.			
Brigham and Women's Hospital - Establishment and Operation of a Center for Cost Effective Care - Index, November 13, 1987	box 2	folder 12	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund, Grant Series 18.2 Affiliated Hospital Center, Box 1, Folders 1-2.			
Brigham and Women's Hospital - Establishment and Operation of a Center for Cost Effective Care - Index, November 13, 1987	box 2	folder 13	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund, Grant Series 18.2 Affiliated Hospital Center, Box 1, Folders 1-2.			
Brigham and Women's Hospital - Establishment and Operation of a Center for Cost Effective Care - Index, November 13, 1987	box 2	folder 14	
Related Archival Materials note: Related Archival Materials note			
See Commonwealth Fund, Grant Series 18.2 Affiliated Hospital Center, Box 1, Folders 1-2.			
Brown University - Revision of Premedical Sciences Courses, 1977-1983	box 2	folder 15	
Brown University - Revision of Premedical Sciences Courses, 1977-1983	box 2	folder 16	
Brown University - Revision of Premedical Sciences Courses,	box 2	folder 17	

Brown University - Revision of Premedical Sciences Courses, 1983-1985	box 3	folder 18	
Brown University - Revision of Premedical Sciences Courses, 1983-1985	box 3	folder 19	
University of California (San Diego) - Revised Program of Preprofessional Education for Students Interested in the Health Professions, Especially Medicine, 1976-1982	box 3	folder 20	
University of California (San Diego) - Revised Program of Preprofessional Education for Students Interested in the Health Professions, Especially Medicine, 1976-1982	box 3	folder 21	
University of California (San Diego) - Revised Program of Preprofessional Education for Students Interested in the Health Professions, Especially Medicine, 1976-1982	box 3	folder 22	
University of California (San Diego) - Revised Program of Preprofessional Education for Students Interested in the Health Professions, Especially Medicine, 1976-1982	box 3	folder 23	
University of California (San Diego) - Revised Program of Preprofessional Education for Students Interested in the Health Professions, Especially Medicine - Auxiliary Report, 1976-1977	box 3	folder 24	
University of California (San Diego) - Warren College - Health Professions Honor Program, 1983-1984	box 3	folder 25	
University of California (San Francisco) - Critical Assessment and Revision of Curriculum Materials Used in Teaching of Medical Ethics, 1979-1982	box 3	folder 26	
University of California (San Francisco) - Critical Assessment and Revision of Curriculum Materials Used in Teaching of Medical Ethics, 1979-1982	box 3	folder 27	
University of California (San Francisco) - Critical Assessment and Revision of Curriculum Materials Used in Teaching of Medical Ethics, 1982-1984	box 4	folder 28	
University of California (San Francisco) - Critical Assessment and Revision of Curriculum Materials Used in Teaching of Medical Ethics - Auxiliary Reports, 1982-1984	box 4	folder 29	
University of California (San Francisco) - Critical Assessment and Revision of Curriculum Materials Used in Teaching of Medical Ethics - Auxiliary Reports, 1982-1984	box 4	folder 30	
University of California (San Francisco) - Critical Assessment and Revision of Curriculum Materials Used in Teaching of Medical Ethics - Auxiliary Reports, 1982-1984	box 4	folder 31	
Carnegie Institution of Washington - Support for the Department of Embryology, 1979-1984	box 4	folder 32	
College of Physicians of Philadelphia - Restoration of Journals and Rare Books, 1978-1984	box 4	folder 33	

Columbia Presbyterian Medical Center - Endowment of the Rudolph N. Schullinger Chair of Pediatric Surgery, 1976-1981	box 4	folder 34	
Community Sex Information, Inc Information on Sexual Health Care and Clinical and Medical Referrals, 1976-1984	box 4	folder 35	
Eastern Virginia Medical Authority - Special Office to Plan and Coordinate Education and Health-Care Services in Eastern Virginia, 1979-1981	box 4	folder 36	
Eastern Virginia Medical Authority - Special Office to Plan and Coordinate Education and Health-Care Services in Eastern Virginia, 1979-1981	box 4	folder 37	
Eastern Virginia Medical Authority - Special Office to Plan and Coordinate Education and Health-Care Services in Eastern Virginia, 1981-1983	box 5	folder 38	
Eastern Virginia Medical Authority - Special Office to Plan and Coordinate Education and Health-Care Services in Eastern Virginia, 1981-1983	box 5	folder 39	
Eastern Virginia Medical Authority - Special Office to Plan and Coordinate Education and Health-Care Services in Eastern Virginia, 1981-1983	box 5	folder 40	
Eastern Virginia Medical Authority - Special Office to Plan and Coordinate Education and Health-Care Services in Eastern Virginia - Auxiliary Report, May 1, 1982	box 5	folder 41	
Charles Henderson Child Health Center - Exposure of Medical Students and Residents to Health Care Services in a Rural Area, 1978-1985	box 5	folder 42	
Institute for Law and Social Research - Depth of Habitual Criminal Offenders Leading to More Effective Prosecution of Career Criminals, May 3-4, 1979	box 5	folder 43	
Institute for Law and Social Research - Depth of Habitual Criminal Offenders Leading to More Effective Prosecution of Career Criminals, 1979-1983	box 6	folder 44	
Institute for Law and Social Research - Depth of Habitual Criminal Offenders Leading to More Effective Prosecution of Career Criminals, 1979-1983	box 6	folder 45	
Institute of International Education - General Services Evaluation and Program Development, 1980-1982	box 6	folder 46	
University of Iowa - Editing of British Law Reports 1329-1331 (John Beckermand and Donald W. Sutherland), 1979-1983	box 6	folder 47	
Johns Hopkins University - New Building for Preclinical Sciences Teaching, 1977-1981	box 6	folder 48	
Johns Hopkins University - New Building for Preclinical Sciences Teaching, 1977-1981	box 6	folder 49	

National Board of Medical Examiners - Development of Comprehensive Evaluation Services for Medical Schools, 1980-1983	box 6	folder 50
National Board of Medical Examiners - Development of Comprehensive Evaluation Services for Medical Schools, 1980-1983	box 6	folder 51
National Board of Medical Examiners - Development of Comprehensive Evaluation Services for Medical Schools, 1980-1983	box 6	folder 52
National Board of Medical Examiners - Development of Comprehensive Evaluation Services for Medical Schools, July- August, 1983	box 7	folder 53
National Board of Medical Examiners - Development of Comprehensive Evaluation Services for Medical Schools - Auxiliary Reports, 1979-1983	box 7	folder 54
National Board of Medical Examiners - Development of Comprehensive Evaluation Services for Medical Schools - Auxiliary Reports, 1979-1983	box 7	folder 55
National Board of Medical Examiners - Development of Comprehensive Evaluation Services for Medical Schools - Auxiliary Reports, 1979-1983	box 7	folder 56
National Board of Medical Examiners/American Board of Internal Medicine - Development and Validation of Computer-based System to Teach and Test Clinical Competency (ME&CH), 1970-1975	box 7	folder 57
National Board of Medical Examiners/American Board of Internal Medicine - Development and Validation of Computer-based System to Teach and Test Clinical Competency (ME&CH), 1970-1975	box 7	folder 58
National Board of Medical Examiners/American Board of Internal Medicine - Development and Validation of Computer-based System to Teach and Test Clinical Competency (ME&CH), 1970-1975	box 7	folder 59
National Board of Medical Examiners/American Board of Internal Medicine - Development and Validation of Computer-based System to Teach and Test Clinical Competency (ME&CH), 1970-1975	box 7	folder 60
National Board of Medical Examiners/American Board of Internal Medicine - Development and Validation of Computer-based System to Teach and Test Clinical Competency (ME&CH), 1976-1982	box 8	folder 61
National Board of Medical Examiners/American Board of Internal Medicine - Development and Validation of Computer-based System to Teach and Test Clinical Competency (ME&CH), 1976-1982	box 8	folder 62

National Humanities Center - Study of the Issues and Problems of Professional Responsibility and a Professional Ethic, 1979-1983	box 8	folder 63	
National Humanities Center - Study of the Issues and Problems of Professional Responsibility and a Professional Ethic, 1979-1983	box 8	folder 64	
National Humanities Center - Study of the Issues and Problems of Professional Responsibility and a Professional Ethic, 1979-1983	box 8	folder 65	
City of New York (Department of Corrections) - Teach and Counsel Male Inmates of Rikers Island - More Effective Parent Program, 1978-1984	box 8	folder 66	
University of Pennsylvania (Law School) - Strengthen the Library Resources, 1980-1984	box 8	folder 67	
University of Pennsylvania - Preparation of a History of the Commonwealth Fund, 1979-1983	box 8	folder 68	
University of Pennsylvania - Preparation of a History of the Commonwealth Fund, 1979-1983	box 8	folder 69	
University of Pennsylvania - Preparation of a History of the Commonwealth Fund, 1983-1984	box 9	folder 70	
University of Pennsylvania - Preparation of a History of the Commonwealth Fund, 1983-1984	box 9	folder 71	
University of Pennsylvania - Preparation of a History of the Commonwealth Fund, 1983-1984	box 9	folder 72	
Rockefeller University/Cornell University/Sloan Kettering Cancer Center - Planning for a Shared Research Library/Communications Center, 1978-1983	box 9	folder 73	
Rockefeller University/Cornell University/Sloan Kettering Cancer Center - Planning for a Shared Research Library/Communications Center, 1978-1983	box 9	folder 74	
Rockefeller University/Cornell University/Sloan Kettering Cancer Center - Planning for a Shared Research Library/Communications Center, 1978-1983	box 9	folder 75	
United Fund of Greater New York - Annual Drive, 1973-1985	box 9	folder 76	
University of Virginia (School of Medicine) - Support of the Program in Human Biology and Society, 1979-1985	box 9	folder 77	
Voluntary Teaching Hospital Trustees Council of New York, Inc Establishment and First Year of Operation, 1979-1984	box 9	folder 78	
Yale University - Annual University Colloquium, 1980-1987	box 9	folder 79	
Yale University (School of Law) - Support for the Program in Law, Science and Medicine, 1976-1984	box 10	folder 80	

Related Archival Materials note:

### **Related Archival Materials note**

See Commonwealth Fund, Grant Series, Yale University, Box 384, Folders 3689-3690.

Yale University (School of Law) - Support for the Program in Law, Science and Medicine, 1976-1984

box 10

folder 81

Related Archival Materials note:

## **Related Archival Materials note**

See Commonwealth Fund, Grant Series, Yale University, Box 384, Folders 3689-3690.

Yale University (School of Law) - Support for the Program in Law, Science and Medicine, 1976-1984

box 10

folder 82

Related Archival Materials note:

#### **Related Archival Materials note**

See Commonwealth Fund, Grant Series, Yale University, Box 384, Folders 3689-3690.

Yale University (School of Law) - Longitudinal Study, October 19, 1984

box 10

folder 83

# **Grants**

Physical Description: 55.44 Cubic Feet

#### **Arrangement note**

This subseries of records is arranged alphabetically by grant recipient.

## **Scope and Contents note**

Grant actions comprise the largest series of the Commonwealth Fund records. The earliest grants funded a broad range of projects and associations and reflected the diverse and varied program of the Fund's beginning years. In many cases the grants were parallel or ancillary to existing Commonwealth Fund projects. Often, however, unrelated or special short-term grants were awarded. When the Commonwealth Fund's program became more oriented toward medical education and research, the grant actions mirrored this policy alteration. The records found in the Grant Series are the combination of the unprocessed Grants and Expired Grants Series.

Title/Description	Instances	
Academy for Gerontological Education and Development (AGED) - Training Volunteers to Help Elderly People Continue to Live at Home - Laurence G. McFarland, 1978-1986	box 1	folder 1
Academy for Gerontological Education and Development (AGED) - Training Volunteers to Help Elderly People Continue to Live at Home - Laurence G. McFarland, 1978-1986	box 1	folder 2
Academy for Gerontological Education and Development (AGED) - Training Volunteers to Help Elderly People Continue to Live at Home - Laurence G. McFarland, 1978-1986	box 1	folder 3

Academy for Gerontological Education and Development (AGED) - Training Volunteers to Help Elderly People Continue to Live at Home - Laurence G. McFarland, 1978-1986	box 1	folder 4
Academy for Gerontological Education and Development (AGED) - Training Volunteers to Help Elderly People Continue to Live at Home - Laurence G. McFarland, 1978-1986	box 1	folder 5
Academy for Gerontological Education and Development (AGED) - Training Volunteers to Help Elderly People Continue to Live at Home - Laurence G. McFarland, 1978-1986	box 1	folder 6
Academy for Gerontological Education and Development (AGED) - Training Volunteers to Help Elderly People Continue to Live at Home - Laurence G. McFarland, 1978-1986	box 2	folder 7
Academy for Gerontological Education and Development (AGED) - Training Volunteers to Help Elderly People Continue to Live at Home - Laurence G. McFarland, 1978-1986	box 2	folder 8
Academy for Gerontological Education and Development (AGED) - Training Volunteers to Help Elderly People Continue to Live at Home - Laurence G. McFarland, 1978-1986	box 2	folder 9
Academy for State and Local Government (ASLG) - A National Conference on the Medically Indigent - Richard E. Curtis, 1984-1985	box 2	folder 10
Academy for State and Local Government (ASLG) - A National Conference on the Medically Indigent - Richard E. Curtis, 1984-1985	box 2	folder 11
Academy for State and Local Government (ASLG) - A National Conference on the Medically Indigent - Richard E. Curtis, 1984-1985	box 2	folder 12
Academy for State and Local Government (ASLG) - A National Conference on the Medically Indigent - Richard E. Curtis, 1984-1985	box 2	folder 13
University of Alabama at Birmingham (UAB) - Executive Nurse Leadership Program - Jean A. Kelley, 1981-1983	box 3	folder 14
University of Alabama at Birmingham (UAB) - Executive Nurse Leadership Program - Jean A. Kelley, 1981-1983	box 3	folder 15
University of Alabama at Birmingham (UAB) - Executive Nurse Leadership Program - Jean A. Kelley, 1981-1983	box 3	folder 16
Alan Guttmacher Institute (AGI) - Helping Women Make More Informed Decisions About Birth Control - Richard Lincoln, 1981-1986	box 3	folder 17
Alan Guttmacher Institute (AGI) - Helping Women Make More Informed Decisions About Birth Control - Richard Lincoln, 1981-1986	box 3	folder 18
Alan Guttmacher Institute (AGI) - Helping Women Make More Informed Decisions About Birth Control - Subcontract - Judith Jones and Michelle Sheldin, Columbia University, 1983-1985	box 3	folder 19

Alan Guttmacher Institute (AGI) - Helping Women Make More Informed Decisions About Birth Control - Richard Lincoln, 1981-1986	box 3	folder 20	
Albert Einstein College of Medicine (AECM) - Hospital Outpatient Treatment of Alcoholism - Evaluation of a More Economical Approach - Marc Galanter, 1981-1988	box 4	folder 21	
Albert Einstein College of Medicine (AECM) - Hospital Outpatient Treatment of Alcoholism - Evaluation of a More Economical Approach - Marc Galanter, 1981-1988	box 4	folder 22	
Albert Einstein College of Medicine (AECM) - Hospital Outpatient Treatment of Alcoholism - Evaluation of a More Economical Approach - Marc Galanter, 1981-1988	box 4	folder 23	
Albert Einstein College of Medicine (AECM) - Hospital Outpatient Treatment of Alcoholism - Evaluation of a More Economical Approach - Marc Galanter, 1981-1988	box 4	folder 24	
Albert Einstein College of Medicine (AECM) - Hospital Outpatient Treatment of Alcoholism - Evaluation of a More Economical Approach - Marc Galanter, 1981-1988	box 4	folder 25	
Alzheimer's Disease and Related Disorders Association, Inc Toward Start-Up Support for Associations's National Office - Martha J. Kappes, 1981-1982	box 4	folder 26	
America the Beautiful Fund (ABF) - Operation Green Plant - Nanine Bilski, 1973-1991	box 4	folder 27	
America the Beautiful Fund (ABF) - Operation Green Plant - Nanine Bilski, 1973-1991	box 5	folder 28	
American Academy of Arts and Sciences (AAAS) - Special "Daedalus" Issue on Australia - Stephen R. Graubard, 1982-1985	box 5	folder 29	
American Academy of Arts and Sciences (AAAS) - Special "Daedalus" Issue on Australia - Stephen R. Graubard, 1982-1985	box 5	folder 30	
American Academy of Arts and Sciences (AAAS) - Special "Daedalus" Issue on Australia - Stephen R. Graubard, 1982-1985	box 5	folder 31	
American Association of Homes for the Aging (AAHA) - Publishing a Directory of Continuing Care Retirement Communities - Sheldon Goldberg, 1982-1984	box 5	folder 32	
American Association of Homes for the Aging (AAHA) - Publishing a Directory of Continuing Care Retirement Communities - Sheldon Goldberg, 1982-1984	box 5	folder 33	
American Association of Homes for the Aging (AAHA) - Publishing a Directory of Continuing Care Retirement Communities - Sheldon Goldberg, 1982-1984	box 5	folder 34	
American Association of Homes for the Aging (AAHA) - Publishing a Directory of Continuing Care Retirement Communities - Sheldon Goldberg, 1982-1984	box 5	folder 35	

American Association of Homes for the Aging (AAHA) - Publishing a Directory of Continuing Care Retirement Communities - Sheldon Goldberg, 1982-1984	box 6	folder 36
American Clinical and Climatological Association (ACCA) - Publication and Dissemination of a Centennial History of the Association - Robert Austrian, 1983-1986	box 6	folder 37
American Clinical and Climatological Association (ACCA) - Publication and Dissemination of a Centennial History of the Association - Robert Austrian, 1983-1986	box 6	folder 38
American Clinical and Climatological Association (ACCA) - Publication and Dissemination of a Centennial History of the Association - Robert Austrian, 1983-1986	box 6	folder 39
American College of Physicians - Handbook on Medical Ethics - Robert M. Kark, 1981-1985	box 6	folder 40
American Council on Science and Health (ACSH) - Public Health Information on Nutrition and Environmental Issues Related to Health - Elizabeth M. Whelan, 1979-1984	box 6	folder 41
American Council on Science and Health (ACSH) - Public Health Information on Nutrition and Environmental Issues Related to Health - Elizabeth M. Whelan, 1979-1984	box 6	folder 42
American Council on Science and Health (ACSH) - Public Health Information on Nutrition and Environmental Issues Related to Health - Elizabeth M. Whelan, 1979-1984	box 6	folder 43
American Council on Science and Health (ACSH) - Public Health Information on Nutrition and Environmental Issues Related to Health - Elizabeth M. Whelan, 1982-1984	box 7	folder 44
American Council on Science and Health (ACSH) - Public Health Information on Nutrition and Environmental Issues Related to Health - Elizabeth M. Whelan, 1982-1984	box 7	folder 45
American Enterprise Institute for Public Policy Research (AEI) - Encouraging the Expansion of Personal Resources for Retirement - Jack A. Meyer and Marion Ein Lewin, 1984-1992	box 7	folder 46
American Enterprise Institute for Public Policy Research (AEI) - Encouraging the Expansion of Personal Resources for Retirement - Jack A. Meyer and Marion Ein Lewin, 1984-1992	box 7	folder 47
American Enterprise Institute for Public Policy Research (AEI) - Encouraging the Expansion of Personal Resources for Retirement - Jack A. Meyer and Marion Ein Lewin, 1984-1992	box 7	folder 48
American Enterprise Institute for Public Policy Research (AEI) - Encouraging the Expansion of Personal Resources for Retirement - Jack A. Meyer and Marion Ein Lewin, 1984-1992	box 8	folder 49
American Enterprise Institute for Public Policy Research (AEI) - Encouraging the Expansion of Personal Resources for Retirement - Jack A. Meyer and Marion Ein Lewin, 1984-1992	box 8	folder 50

American Enterprise Institute for Public Policy Research (AEI) - Encouraging the Expansion of Personal Resources for Retirement - Jack A. Meyer and Marion Ein Lewin, 1984-1992	box 8	folder 51	
American Medical Women's Association - Professional Resources Research Center (AMWA) - Professional Training Program Aimed at Increasing the Participation of Women and Minority Physicians as Faculty Members and Administrators in American Medical Schools - Marlys H. Witte, 1980-1983	box 8	folder 52	
American Medical Women's Association - Professional Resources Research Center (AMWA) - Professional Training Program Aimed at Increasing the Participation of Women and Minority Physicians as Faculty Members and Administrators in American Medical Schools - Marlys H. Witte, 1980-1983	box 8	folder 53	
American Medical Women's Association - Professional Resources Research Center (AMWA) - Professional Training Program Aimed at Increasing the Participation of Women and Minority Physicians as Faculty Members and Administrators in American Medical Schools - Marlys H. Witte, 1980-1983	box 8	folder 54	
American Medical Women's Association - Professional Resources Research Center (AMWA) - Professional Training Program Aimed at Increasing the Participation of Women and Minority Physicians as Faculty Members and Administrators in American Medical Schools - Marlys H. Witte, 1980-1983	box 9	folder 55	
American Trust for the British Library (ATBL) - Acquisition of American Materials for the British Library - Douglas W. Bryant, 1981-1984	box 9	folder 56	
American Trust for the British Library (ATBL) - Acquisition of American Materials for the British Library - Douglas W. Bryant, 1981-1984	box 9	folder 57	
American Trust for Oxford University - Preservation of William Osler's Home in Oxford, England - Donald H . Rivkin, 1981-1984	box 9	folder 58	
American University in Beirut (AUB) - Care for Casualties of the War in Lebanon - William F. Rice, 1982-1984	box 9	folder 59	
American University in Beirut (AUB) - Care for Casualties of the War in Lebanon - William F. Rice, 1982-1984	box 9	folder 60	
Argus Community Inc. (ACI) - Development of Fundraising Capacity - Elizabeth L. Sturz, 1982-1984	box 9	folder 61	
Argus Community Inc. (ACI) - Development of Fundraising Capacity - Elizabeth L. Sturz, 1982-1984	box 9	folder 62	
Association of Academic Health Centers (AAHC) - Support of Investigation into the Changing Effects of Government Policies on Academic Health Centers - John R. Hogness and Ruth Hanft, 1981-1984	box 9	folder 63	
Association of Academic Health Centers (AAHC) - Support of Investigation into the Changing Effects of Government Policies	box 9	folder 64	

on Academic Health Centers - John R. Hogness and Ruth Hanft, 1981-1984

1901-1904			
Association of Academic Health Centers (AAHC) - Support of Investigation into the Changing Effects of Government Policies on Academic Health Centers - John R. Hogness and Ruth Hanft, 1981-1984	box 9	folder 65	
Association of Academic Health Centers (AAHC) - Support of Investigation into the Changing Effects of Government Policies on Academic Health Centers - John R. Hogness and Ruth Hanft, 1981-1984	box 9	folder 66	
Association of Academic Health Centers (AAHC) - Support of Investigation into the Changing Effects of Government Policies on Academic Health Centers - John R. Hogness and Ruth Hanft, 1981-1984	box 10	folder 67	
Association of Academic Health Centers (AAHC) - Support of Investigation into the Changing Effects of Government Policies on Academic Health Centers - John R. Hogness and Ruth Hanft, 1981-1984	box 10	folder 68	
Association of Academic Health Centers (AAHC) - Improving the Management of Academic Health Centers - John R. Hogness, 1981-1984	box 10	folder 69	
Association of Academic Health Centers (AAHC) - Improving the Management of Academic Health Centers - John R. Hogness, 1981-1984	box 10	folder 70	
Association of Academic Health Centers (AAHC) - Improving the Management of Academic Health Centers - John R. Hogness, 1981-1984	box 10	folder 71	
Association of Academic Health Centers (AAHC) - Improving the Management of Academic Health Centers - John R. Hogness, 1981-1984	box 10	folder 72	
Association of Academic Health Centers (AAHC) - Improving the Management of Academic Health Centers - John R. Hogness, 1981-1984	box 10	folder 73	
Association of Academic Health Centers (AAHC) - Improving the Management of Academic Health Centers - John R. Hogness, 1981-1984	box 11	folder 74	
Association of Academic Health Centers (AAHC) - Improving the Management of Academic Health Centers - John R. Hogness, 1981-1984	box 11	folder 75	
Association of American Medical Colleges (AAMC) - The General Medical Care Needs of Low-Income Blacks - Are Younger Black Physicians A Major Source of Medical Care? (Phase 1) - August G. Swanson, 1981-1987	box 11	folder 76	
Association of American Medical Colleges (AAMC) - The General Medical Care Needs of Low-Income Blacks - Are Younger Black Physicians A Major Source of Medical Care? (Phase 1) - August G. Swanson, 1981-1987	box 11	folder 77	

Association of American Medical Colleges (AAMC) - The General Medical Care Needs of Low-Income Blacks - Are Younger Black Physicians A Major Source of Medical Care? (Phase 1) - August G. Swanson, 1981-1987	box 11	folder 78	
Association of American Medical Colleges (AAMC) - The General Medical Care Needs of Low-Income Blacks - Are Younger Black Physicians A Major Source of Medical Care? (Phase 1) - August G. Swanson, 1981-1987	box 11	folder 79	
Association of American Medical Colleges (AAMC) - The General Medical Care Needs of Low-Income Blacks - Are Younger Black Physicians A Major Source of Medical Care? (Phase 1) - August G. Swanson, 1981-1987	box 12	folder 80	
Association of American Medical Colleges (AAMC) - The General Medical Care Needs of Low-Income Blacks - Are Younger Black Physicians A Major Source of Medical Care? (Phase 2) - August G. Swanson and Stephen N. Keith, 1981-1987	box 12	folder 81	
Association of American Medical Colleges (AAMC) - The General Medical Care Needs of Low-Income Blacks - Are Younger Black Physicians A Major Source of Medical Care? (Phase 2) - August G. Swanson and Stephen N. Keith, 1981-1987	box 12	folder 82	
Association of University Programs in Health Administration (AUPHA) - Expanding Minority Student Aid Resources - Gary L. Filerman, 1983-1985	box 12	folder 83	
Association of University Programs in Health Administration (AUPHA) - Expanding Minority Student Aid Resources - Gary L. Filerman, 1983-1985	box 12	folder 84	
Beth Abraham Hospital (BAH) - A Health Care Organization for Elderly People in New York City - William H. Frohlich and Geraldine W. Thompson, 1981-1988	box 12	folder 85	
Beth Abraham Hospital (BAH) - A Health Care Organization for Elderly People in New York City - William H. Frohlich and Geraldine W. Thompson, 1981-1988	box 12	folder 86	
Beth Abraham Hospital (BAH) - A Health Care Organization for Elderly People in New York City - William H. Frohlich and Geraldine W. Thompson, 1981-1988	box 12	folder 87	
Beth Abraham Hospital (BAH) - A Health Care Organization for Elderly People in New York City - William H. Frohlich and Geraldine W. Thompson, 1981-1988	box 13	folder 88	
Beth Abraham Hospital (BAH) - A Health Care Organization for Elderly People in New York City - William H. Frohlich and Geraldine W. Thompson, 1981-1988	box 13	folder 89	
Beth Abraham Hospital (BAH) - A Health Care Organization for Elderly People in New York City - William H. Frohlich and Geraldine W. Thompson, 1981-1988	box 13	folder 90	

Beth Israel Hospital, Boston - Comparison of Alcohol Treatment Programs to Which Physicians Refer Patients - Thomas L. Delbanco, 1981-1982	box 13	folder 91
Boston College (BC) - Study of Research Funding and Career Development in Health Policy and Health Systems Research - Jeanne Guillemin, 1982-1987	box 13	folder 92
Boston College (BC) - Study of Research Funding and Career Development in Health Policy and Health Systems Research - Jeanne Guillemin, 1982-1987	box 13	folder 93
Boston College (BC) - Study of Research Funding and Career Development in Health Policy and Health Systems Research - Jeanne Guillemin, 1982-1987	box 13	folder 94
Boston University, Center for Industry and Health (BU) - Planning Grant - Evaluation of Industrial Alcoholism Programs - Diana Chapman Walsh, 1981-1982	box 14	folder 95
Boston University, Center for Industry and Health (BU) - Planning Grant - Evaluation of Industrial Alcoholism Programs - Diana Chapman Walsh, 1981-1982	box 14	folder 96
Boston University (BU) - Renewed 2-Year Support of Modular Medical Integrated Curriculum - John Sandson, 1980-1986	box 14	folder 97
Boston University (BU) - Renewed 2-Year Support of Modular Medical Integrated Curriculum - John Sandson, 1980-1986	box 14	folder 98
Boston University (BU) - Renewed 2-Year Support of Modular Medical Integrated Curriculum - John Sandson, 1980-1986	box 14	folder 99
Boston University, Center for Industry and Health Care (BU) - A Comparison of Treatment Approaches Used in Industrial Alcoholism Programs (Phase 1) - Diana C. Walsh and Ralph W. Hingson, 1981-1983	box 14	folder 100
Boston University, Center for Industry and Health Care (BU) - A Comparison of Treatment Approaches Used in Industrial Alcoholism Programs (Phase 1) - Diana C. Walsh and Ralph W. Hingson, 1981-1983	box 14	folder 101
Boston University, Center for Industry and Health Care (BU) - A Comparison of Treatment Approaches Used in Industrial Alcoholism Programs (Phase 1) - Diana C. Walsh and Ralph W. Hingson, 1981-1983	box 15	folder 102
Boston University, Center for Industry and Health Care (BU) - A Comparison of Treatment Approaches Used in Industrial Alcoholism Programs (Phase 1) - Diana C. Walsh and Ralph W. Hingson, 1981-1983	box 15	folder 103
Boston University, Center for Industry and Health Care (BU) - A Comparison of Treatment Approaches Used in Industrial Alcoholism Programs (Phase 1) - Diana C. Walsh and Ralph W. Hingson, 1981-1983	box 15	folder 104

Boston University, Center for Industry and Health Care (BU) - A Comparison of Treatment Approaches Used in Industrial Alcoholism Programs (Phase 1) - Diana C. Walsh and Ralph W. Hingson, 1981-1983	box 15	folder 105	
Boston University, Center for Industry and Health Care (BU) - A Comparison of Treatment Approaches Used in Industrial Alcoholism Programs (Phase 1) - Diana C. Walsh and Ralph W. Hingson, 1981-1983	box 15	folder 106	
Boston University, Center for Industry and Health Care (BU) - A Comparison of Treatment Approaches Used in Industrial Alcoholism Programs (Phase 1) - Diana C. Walsh and Ralph W. Hingson, 1981-1983	box 15	folder 107	
Boston University, Center for Industry and Health Care (BU) - A Comparison of Treatment Approaches Used in Industrial Alcoholism Programs (Phase 2) - Diana C. Walsh, 1982-1993	box 16	folder 108	
Boston University, Center for Industry and Health Care (BU) - A Comparison of Treatment Approaches Used in Industrial Alcoholism Programs (Phase 2) - Diana C. Walsh, 1982-1993	box 16	folder 109	
Boston University, Center for Industry and Health Care (BU) - A Comparison of Treatment Approaches Used in Industrial Alcoholism Programs (Phase 2) - Diana C. Walsh, 1982-1993	box 16	folder 110	
Boston University, Center for Industry and Health Care (BU) - A Comparison of Treatment Approaches Used in Industrial Alcoholism Programs (Phase 2) - Diana C. Walsh, 1982-1993	box 16	folder 111	
Boston University, Center for Industry and Health Care (BU) - A Comparison of Treatment Approaches Used in Industrial Alcoholism Programs (Phase 2) - Diana C. Walsh, 1982-1993	box 16	folder 112	
Boston University, Center for Industry and Health Care (BU) - A Comparison of Treatment Approaches Used in Industrial Alcoholism Programs (Phase 2) - Diana C. Walsh, 1982-1993	box 16	folder 113	
Boston University, Center for Industry and Health Care (BU) - A Comparison of Treatment Approaches Used in Industrial Alcoholism Programs (Phase 2) - Diana C. Walsh, 1982-1993	box 17	folder 114	
Boston University, Center for Industry and Health Care (BU) - A Comparison of Treatment Approaches Used in Industrial Alcoholism Programs (Phase 2) - Diana C. Walsh, 1982-1993	box 17	folder 115	
Boston University, Center for Industry and Health Care (BU) - A Comparison of Treatment Approaches Used in Industrial Alcoholism Programs (Phase 2) - Diana C. Walsh, 1982-1993	box 17	folder 116	
Boston University, Center for Industry and Health Care (BU) - A Comparison of Treatment Approaches Used in Industrial Alcoholism Programs (Phase 2) - Diana C. Walsh, 1982-1993	box 17	folder 117	
Boston University, Center for Industry and Health Care (BU) - A Comparison of Treatment Approaches Used in Industrial Alcoholism Programs (Phase 2) - Diana C. Walsh, 1982-1993	box 17	folder 118	

Boston University, Center for Industry and Health Care (BU) - A Comparison of Treatment Approaches Used in Industrial Alcoholism Programs (Phase 2) - Diana C. Walsh, 1982-1993	box 17	folder 119	
Boston University, Center for Industry and Health Care (BU) - A Comparison of Treatment Approaches Used in Industrial Alcoholism Programs (Phase 2) - Diana C. Walsh, 1982-1993	box 18	folder 120	
Boston University, Center for Industry and Health Care (BU) - A Comparison of Treatment Approaches Used in Industrial Alcoholism Programs (Phase 2) - Diana C. Walsh, 1982-1993	box 18	folder 121	
Boston University, Center for Industry and Health Care (BU) - A Comparison of Treatment Approaches Used in Industrial Alcoholism Programs (Phase 2) - Diana C. Walsh, 1982-1993	box 18	folder 122	
Boston University, School of Public Health (BU) - Can A Law Reduce Traffic Accidents in Maine Caused by Drunk Driving? - Ralph W. Hingson, 1981-1986	box 18	folder 123	
Boston University, School of Public Health (BU) - Can A Law Reduce Traffic Accidents in Maine Caused by Drunk Driving? - Ralph W. Hingson, 1981-1986	box 18	folder 124	
Boston University, School of Public Health (BU) - Can A Law Reduce Traffic Accidents in Maine Caused by Drunk Driving? - Ralph W. Hingson, 1981-1986	box 19	folder 125	
Boston University, School of Public Health (BU) - Can A Law Reduce Traffic Accidents in Maine Caused by Drunk Driving? - Ralph W. Hingson, 1981-1986	box 19	folder 126	
Boston University, School of Public Health (BU) - Can A Law Reduce Traffic Accidents in Maine Caused by Drunk Driving? - Ralph W. Hingson, 1981-1986	box 19	folder 127	
Boston University, School of Public Health (BU) - Can A Law Reduce Traffic Accidents in Maine Caused by Drunk Driving? - Ralph W. Hingson, 1981-1986	box 19	folder 128	
Boston University, School of Public Health (BU) - Can A Law Reduce Traffic Accidents in Maine Caused by Drunk Driving? - Ralph W. Hingson, 1981-1986	box 19	folder 129	
Boston University, School of Public Health (BU) - Can A Law Reduce Traffic Accidents in Maine Caused by Drunk Driving? - Ralph W. Hingson, 1981-1986	box 19	folder 130	
Boston University, School of Public Health (BU) - Can A Law Reduce Traffic Accidents in Maine Caused by Drunk Driving? - Ralph W. Hingson, 1981-1986	box 20	folder 131	
Boston University (BU) - Executive Nurse Leadership Program - Implementation of an Educational Program to Improve the Management Skills of Nurses with Top Executive Potential (Phase One) - Muriel A. Poulin, 1983-1987	box 20	folder 132	
Boston University (BU) - Executive Nurse Leadership Program - Implementation of an Educational Program to Improve the	box 20	folder 133	

# Management Skills of Nurses with Top Executive Potential (Phase One) - Muriel A. Poulin, 1983-1987

One) Marier M. Found, 1905-1907		
Boston University (BU) - Executive Nurse Leadership Program - Implementation of an Educational Program to Improve the Management Skills of Nurses with Top Executive Potential (Phase One) - Muriel A. Poulin, 1983-1987	box 20	folder 134
Boston University (BU) - Executive Nurse Leadership Program (Phase Two) - Muriel A. Poulin, 1983-1984	box 20	folder 135
Boston University (BU) - Executive Nurse Leadership Program (Phase Two) - Muriel A. Poulin, 1983-1984	box 20	folder 136
Brandeis University, University Health Policy Consortium (HPC) - Examining the Effects of Medicaid and Medicare Financing and Delivery Innovations - Stuart H. Altman and Stanley S. Wallack, 1982-1992	box 20	folder 137
Brandeis University, University Health Policy Consortium (HPC) - Examining the Effects of Medicaid and Medicare Financing and Delivery Innovations - Stuart H. Altman and Stanley S. Wallack, 1982-1992	box 20	folder 138
Brandeis University, University Health Policy Consortium (HPC) - Examining the Effects of Medicaid and Medicare Financing and Delivery Innovations - Stuart H. Altman and Stanley S. Wallack, 1982-1992	box 21	folder 139
Brandeis University, University Health Policy Consortium (HPC) - Examining the Effects of Medicaid and Medicare Financing and Delivery Innovations - Stuart H. Altman and Stanley S. Wallack, 1982-1992	box 21	folder 140
Brandeis University, University Health Policy Consortium (HPC) - Examining the Effects of Medicaid and Medicare Financing and Delivery Innovations - Stuart H. Altman and Stanley S. Wallack, 1982-1992	box 21	folder 141
Brandeis University, University Health Policy Consortium (HPC) - Examining the Effects of Medicaid and Medicare Financing and Delivery Innovations - Stuart H. Altman and Stanley S. Wallack, 1982-1992	box 21	folder 142
Brandeis University, University Health Policy Consortium (HPC) - Examining the Effects of Medicaid and Medicare Financing and Delivery Innovations - Stuart H. Altman and Stanley S. Wallack, 1982-1992	box 21	folder 143
Brandeis University, University Health Policy Consortium (HPC) - Examining the Effects of Medicaid and Medicare Financing and Delivery Innovations - Stuart H. Altman and Stanley S. Wallack, 1982-1992	box 21	folder 144
Brandeis University, University Health Policy Consortium (HPC) - Examining the Effects of Medicaid and Medicare Financing and Delivery Innovations - Stuart H. Altman and Stanley S. Wallack, 1982-1992	box 22	folder 145

Brandeis University - Guiding Educational and Career Choices in Allied Health Professions and Nursing - Frederick W. McKinney, 1983-1987	box 22	folder 146	
Brandeis University - Guiding Educational and Career Choices in Allied Health Professions and Nursing - Frederick W. McKinney, 1983-1987	box 22	folder 147	
Brandeis University - Guiding Educational and Career Choices in Allied Health Professions and Nursing - Frederick W. McKinney, 1983-1987	box 22	folder 148	
Brandeis University - Guiding Educational and Career Choices in Allied Health Professions and Nursing - Frederick W. McKinney, 1983-1987	box 22	folder 149	
Brandeis University - Guiding Educational and Career Choices in Allied Health Professions and Nursing - Frederick W. McKinney, 1983-1987	box 22	folder 150	
Brandeis University - Guiding Educational and Career Choices in Allied Health Professions and Nursing - Frederick W. McKinney, 1983-1987	box 23	folder 151	
Brandeis University - Guiding Educational and Career Choices in Allied Health Professions and Nursing - Frederick W. McKinney, 1983-1987	box 23	folder 152	
Brandeis University - Guiding Educational and Career Choices in Allied Health Professions and Nursing - Frederick W. McKinney, 1983-1987	box 23	folder 153	
Brandeis University - Guiding Educational and Career Choices in Allied Health Professions and Nursing - Frederick W. McKinney, 1983-1987	box 23	folder 154	
Brandeis University - Guiding Educational and Career Choices in Allied Health Professions and Nursing - Frederick W. McKinney, 1983-1987	box 23	folder 155	
Brandeis University - Guiding Educational and Career Choices in Allied Health Professions and Nursing - Frederick W. McKinney, 1983-1987	box 23	folder 156	
Bronx Professional Standards Review Organization, Inc. (BPSRO) - System of Monitoring the Quality of Ambulatory Care in Clinics - Louis Ferreri and Jennifer A. Lee, 1981-1983	box 24	folder 157	
Bronx Professional Standards Review Organization, Inc. (BPSRO) - System of Monitoring the Quality of Ambulatory Care in Clinics - Louis Ferreri and Jennifer A. Lee, 1981-1983	box 24	folder 158	
Bronx Professional Standards Review Organization, Inc. (BPSRO) - System of Monitoring the Quality of Ambulatory Care in Clinics - Louis Ferreri and Jennifer A. Lee, 1981-1983	box 24	folder 159	
Bronx Professional Standards Review Organization, Inc. (BPSRO) - System of Monitoring the Quality of Ambulatory Care in Clinics - Louis Ferreri and Jennifer A. Lee, 1981-1983	box 24	folder 160	

University of California, Berkeley (UC) - Experimental Living Arrangement for Frail Elderly Persons - Roslyn Lindheim, 1981-1984	box 24	folder 161
University of California, Berkeley (UC) - Experimental Living Arrangement for Frail Elderly Persons - Roslyn Lindheim, 1981-1984	box 24	folder 162
University of California, Berkeley (UC) - Experimental Living Arrangement for Frail Elderly Persons - Roslyn Lindheim, 1981-1984	box 24	folder 163
University of California, Los Angeles (UCLA) - Reports on Selected New Yorkers' Experience in Seeking and Using Health Care Services - Howard E. Freeman, 1982-1985	box 25	folder 164
University of California, Los Angeles (UCLA) - Reports on Selected New Yorkers' Experience in Seeking and Using Health Care Services - Howard E. Freeman, 1982-1985	box 25	folder 165
University of California, Los Angeles (UCLA) - Reports on Selected New Yorkers' Experience in Seeking and Using Health Care Services - Howard E. Freeman, 1982-1985	box 25	folder 166
University of California, Los Angeles (UCLA) - Reports on Selected New Yorkers' Experience in Seeking and Using Health Care Services - Howard E. Freeman, 1982-1985	box 25	folder 167
University of California, Los Angeles (UCLA) - Reports on Selected New Yorkers' Experience in Seeking and Using Health Care Services - Howard E. Freeman, 1982-1985	box 25	folder 168
University of California, Los Angeles (UCLA) - Reports on Selected New Yorkers' Experience in Seeking and Using Health Care Services - Howard E. Freeman, 1982-1985	box 25	folder 169
University of California, Los Angeles (UCLA) - Reports on Selected New Yorkers' Experience in Seeking and Using Health Care Services - Howard E. Freeman, 1982-1985	box 25	folder 170
University of California, Los Angeles (UCLA) - Reports on Selected New Yorkers' Experience in Seeking and Using Health Care Services - Howard E. Freeman, 1982-1985	box 26	folder 171
University of California, Los Angeles (UCLA) - Reports on Selected New Yorkers' Experience in Seeking and Using Health Care Services - Howard E. Freeman, 1982-1985	box 26	folder 172
University of California, Los Angeles (UCLA) - Executive Nurse Leadership Program (Phase Two) - Dennis D. Pointer and Cynthia C. Scalzi, May-December, 1983	box 26	folder 173
University of California, Los Angeles (UCLA) - Executive Nurse Leadership Program (Phase Two) - Dennis D. Pointer and Cynthia C. Scalzi, May-December, 1983	box 26	folder 174
University of California, Los Angeles (UCLA) -What New Yorkers Say About Their Health Care - 1985 - Howard E. Freeman, 1983-1987	box 26	folder 175

University of California, Los Angeles (UCLA) -What New Yorkers Say About Their Health Care - 1985 - Howard E. Freeman, 1983-1987	box 26	folder 176
University of California, Los Angeles (UCLA) -What New Yorkers Say About Their Health Care - 1985 - Howard E. Freeman, 1983-1987	box 26	folder 177
University of California, Los Angeles (UCLA) -What New Yorkers Say About Their Health Care - 1985 - Howard E. Freeman, 1983-1987	box 27	folder 178
University of California, Los Angeles (UCLA) -What New Yorkers Say About Their Health Care - 1985 - Howard E. Freeman, 1983-1987	box 27	folder 179
University of California, Los Angeles (UCLA) -What New Yorkers Say About Their Health Care - 1985 - Howard E. Freeman, 1983-1987	box 27	folder 180
University of California, Los Angeles (UCLA) -What New Yorkers Say About Their Health Care - 1985 - Howard E. Freeman, 1983-1987	box 27	folder 181
University of California, San Francisco - School of Medicine (UCSF) - Preparation for a Forum to Examine Critical Issues in Medical Care in Five English-Speaking Countries - Steven A. Schroeder, 1981-1987	box 27	folder 182
University of California, San Francisco - School of Medicine (UCSF) - Preparation for a Forum to Examine Critical Issues in Medical Care in Five English-Speaking Countries - Steven A. Schroeder, 1981-1987	box 27	folder 183
University of California, San Francisco - School of Medicine (UCSF) - Improving the Health of Homebound Elderly People - Best Prospects from Five English-Speaking Countries - A. Schroeder and John Ungaretti, 1982-1987	box 27	folder 184
University of California, San Francisco - School of Medicine (UCSF) - Improving the Health of Homebound Elderly People - Best Prospects from Five English-Speaking Countries - A. Schroeder and John Ungaretti, 1982-1987	box 27	folder 185
University of California, San Francisco - School of Medicine (UCSF) - Improving the Health of Homebound Elderly People - Best Prospects from Five English-Speaking Countries - A. Schroeder and John Ungaretti, 1982-1987	box 28	folder 186
University of California, San Francisco - School of Medicine (UCSF) - Improving the Health of Homebound Elderly People - Best Prospects from Five English-Speaking Countries - A. Schroeder and John Ungaretti, 1982-1987	box 28	folder 187
University of California, San Francisco - School of Medicine (UCSF) - Improving the Health of Homebound Elderly People - Best Prospects from Five English-Speaking Countries - A. Schroeder and John Ungaretti, 1982-1987	box 28	folder 188

University of California, San Francisco - School of Medicine (UCSF) - Improving the Health of Homebound Elderly People - Best Prospects from Five English-Speaking Countries - A. Schroeder and John Ungaretti, 1982-1987	box 28	folder 189
University of California, San Francisco - School of Medicine (UCSF) - Improving the Health of Homebound Elderly People - Best Prospects from Five English-Speaking Countries - A. Schroeder and John Ungaretti, 1982-1987	box 28	folder 190
University of California, San Francisco - School of Medicine (UCSF) - Improving the Health of Homebound Elderly People - Best Prospects from Five English-Speaking Countries - A. Schroeder and John Ungaretti, 1982-1987	box 28	folder 191
University of California, San Francisco (UCSF) - Better Understanding the Nation's Burden of Chronic Disease - Dorothy P. Rice, 1983-1987	box 28	folder 192
University of California, San Francisco (UCSF) - Better Understanding the Nation's Burden of Chronic Disease - Dorothy P. Rice, 1983-1987	box 29	folder 193
University of California, San Francisco (UCSF) - Better Understanding the Nation's Burden of Chronic Disease - Dorothy P. Rice, 1983-1987	box 29	folder 194
University of California, San Francisco (UCSF) - Better Understanding the Nation's Burden of Chronic Disease - Dorothy P. Rice, 1983-1987	box 29	folder 195
University of California, San Francisco (UCSF) - Better Understanding the Nation's Burden of Chronic Disease - Dorothy P. Rice, 1983-1987	box 29	folder 196
University of California, San Francisco (UCSF) - Better Understanding the Nation's Burden of Chronic Disease - Dorothy P. Rice, 1983-1987	box 29	folder 197
University of California, San Francisco (UCSF) - Better Understanding the Nation's Burden of Chronic Disease - Dorothy P. Rice, 1983-1987	box 29	folder 198
University of California, San Francisco (UCSF) - Better Understanding the Nation's Burden of Chronic Disease - Dorothy P. Rice, 1983-1987	box 29	folder 199
University of California, San Francisco (UCSF) - Preventing Falls by Elderly People (Phase One) - Steven R. Cummings, 1984-1988	box 30	folder 200
University of California, San Francisco (UCSF) - Preventing Falls by Elderly People (Phase One) - Steven R. Cummings, 1984-1988	box 30	folder 201
University of California, San Francisco (UCSF) - Preventing Falls by Elderly People (Phase One) - Steven R. Cummings, 1984-1988	box 30	folder 202
University of California, San Francisco (UCSF) - Preventing Falls by Elderly People (Phase One) - Steven R. Cummings, 1984-1988	box 30	folder 203

University of California, San Francisco (UCSF) - Preventing Falls by Elderly People (Phase One) - Steven R. Cummings, 1984-1988	box 30	folder 204	
University of California, San Francisco (UCSF) - Preventing Falls by Elderly People (Phase One) - Steven R. Cummings, 1984-1988	box 30	folder 205	
University of California, San Francisco (UCSF) - Preventing Falls by Elderly People (Phase One) - Steven R. Cummings, 1984-1988	box 30	folder 206	
University of California, San Francisco (UCSF) - Preventing Falls by Elderly People (Phase One) - Steven R. Cummings, 1984-1988	box 31	folder 207	
University of California, San Francisco (UCSF) - Preventing Falls by Elderly People (Phase One) - Steven R. Cummings, 1984-1988	box 31	folder 208	
University of California, San Francisco (UCSF) - Preventing Falls by Elderly People (Phase One) - Steven R. Cummings, 1984-1988	box 31	folder 209	
Center for Community Change (CFCC) - Assisting Community-Based Organizations with Fundraising - Pablo Eisenberg, 1984-1986	box 31	folder 210	
Center for Community Change (CFCC) - Assisting Community-Based Organizations with Fundraising - Pablo Eisenberg, 1984-1986	box 31	folder 211	
Center for Studies of the Person - Program on Human Dimensions in Medical Education - Orienne Strode, 1981-1982	box 31	folder 212	
Center for the Well-Being of Health Professionals, Inc. (CWHP) - Development of a Program on Well-Being of Health Care Professionals - John Henry Pfifferling, 1981-1983	box 31	folder 213	
Center for the Well-Being of Health Professionals, Inc. (CWHP) - Development of a Program on Well-Being of Health Care Professionals - John Henry Pfifferling, 1981-1983	box 31	folder 214	
Center for the Well-Being of Health Professionals, Inc. (CWHP) - Development of a Program on Well-Being of Health Care Professionals - John Henry Pfifferling, 1981-1983	box 32	folder 215	
Central Park Conservancy (CPC) - Support for Restoring and Improving Central Park - William S. Beinecke, 1981-1983	box 32	folder 216	
Central Park Conservancy (CPC) - Support for Restoring and Improving Central Park - William S. Beinecke, 1981-1983	box 32	folder 217	
Central Park Conservancy (CPC) - Master Plan for Restoring and Improving Central Park, Grant #6981 - Pamela F. Tice, 1983-1984	box 32	folder 218	
Central Park Conservancy (CPC) - Master Plan for Restoring and Improving Central Park, Grant #6981 - Pamela F. Tice, 1983-1984	box 32	folder 219	
Central Park Conservancy (CPC) - Master Plan for Restoring and Improving Central Park, Grant #7771 - Pamela F. Tice, 1984-1985	box 32	folder 220	
Central Park Conservancy (CPC) - Master Plan for Restoring and Improving Central Park, Grant #7771 - Pamela F. Tice, 1984-1985	box 32	folder 221	

Central Park Conservancy (CPC) - Master Plan for Restoring and Improving Central Park, Grant #7771 - Pamela F. Tice, 1984-1985	box 32	folder 222	
Chicano Health Policy Development, Inc. (CHPD) - Development of a Fund-Raising Capacity - Juan H. Flores, 1983-1984	box 32	folder 223	
Chicano Health Policy Development, Inc. (CHPD) - Development of a Fund-Raising Capacity - Juan H. Flores, 1983-1984	box 32	folder 224	
Children's Art Carnival (CAC) - Establishing a Harlem Textile Business to Support Job Training for Harlem Youth - Betty Blayton-Taylor, 1983-1986	box 32	folder 225	
Children's Art Carnival (CAC) - Establishing a Harlem Textile Business to Support Job Training for Harlem Youth - Betty Blayton-Taylor, 1983-1986	box 33	folder 226	
Children's Art Carnival (CAC) - Establishing a Harlem Textile Business to Support Job Training for Harlem Youth - Betty Blayton-Taylor, 1983-1986	box 33	folder 227	
Children's Defense Fund (CDF) - Development of a Community-Based Center for Troubled Adolescents - Marian Wright Edelman, 1982-1985	box 33	folder 228	
Children's Defense Fund (CDF) - Development of a Community-Based Center for Troubled Adolescents - Marian Wright Edelman, 1982-1985	box 33	folder 229	
Children's Defense Fund (CDF) - Development of a Community-Based Center for Troubled Adolescents - Marian Wright Edelman, 1982-1985	box 33	folder 230	
Children's Defense Fund (CDF) - Development of a Community-Based Center for Troubled Adolescents - Marian Wright Edelman, 1982-1985	box 33	folder 231	
Children's Hospital Corporation (CHC) - Improving School Placement for Children with Handicapping Conditions (Phase 1) - John A. Butler and Judith Palfrey, 1982-1987	box 34	folder 232	
Children's Hospital Corporation (CHC) - Improving School Placement for Children with Handicapping Conditions (Phase 1) - John A. Butler and Judith Palfrey, 1982-1987	box 34	folder 233	
Children's Hospital Corporation (CHC) - Improving School Placement for Children with Handicapping Conditions (Phase 1) - John A. Butler and Judith Palfrey, 1982-1987	box 34	folder 234	
Children's Hospital Corporation (CHC) - Improving School Placement for Children with Handicapping Conditions (Phase 1) - John A. Butler and Judith Palfrey, 1982-1987	box 34	folder 235	
Children's Hospital Corporation (CHC) - Improving School Placement for Children with Handicapping Conditions (Phase 1) - John A. Butler and Judith Palfrey, 1982-1987	box 34	folder 236	
Children's Hospital Corporation (CHC) - Improving School Placement for Children with Handicapping Conditions (Phase 1) - John A. Butler and Judith Palfrey, 1982-1987	box 34	folder 237	

Children's Hospital Corporation (CHC) - Improving School Placement for Children with Handicapping Conditions (Phase 1) - John A. Butler and Judith Palfrey, 1982-1987	box 34	folder 238
Children's Hospital Corporation (CHC) - Improving School Placement for Children with Handicapping Conditions (Phase 1) - John A. Butler and Judith Palfrey, 1982-1987	box 35	folder 239
Children's Hospital Corporation (CHC) - Improving School Placement for Children with Handicapping Conditions (Phase 1) - John A. Butler and Judith Palfrey, 1982-1987	box 35	folder 240
Children's Hospital Corporation (CHC) - Improving School Placement for Children with Handicapping Conditions (Phase 1) - John A. Butler and Judith Palfrey, 1982-1987	box 35	folder 241
Children's Hospital Corporation (CHC) - Improving School Placement for Children with Handicapping Conditions (Phase 1) - John A. Butler and Judith Palfrey, 1982-1987	box 35	folder 242
Children's Hospital Corporation (CHC) - Improving School Placement for Children with Handicapping Conditions (Phase 1) - John A. Butler and Judith Palfrey, 1982-1987	box 35	folder 243
Children's Hospital Corporation (CHC) - Improving School Placement for Children with Handicapping Conditions (Phase 1) - John A. Butler and Judith Palfrey, 1982-1987	box 35	folder 244
Children's Hospital Corporation (CHC) - Improving School Placement for Children with Handicapping Conditions (Phase 1) - John A. Butler and Judith Palfrey, 1982-1987	box 36	folder 245
Children's Hospital Corporation (CHC) - Improving School Placement for Children with Handicapping Conditions (Phase 1) - John A. Butler and Judith Palfrey, 1982-1987	box 36	folder 246
Children's Hospital Corporation (CHC) - Improving School Placement for Children with Handicapping Conditions (Phase 1) - John A. Butler and Judith Palfrey, 1982-1987	box 36	folder 247
Christian Action Ministry (CAM) - Strengthening Services to Chicago's West Side Community - Alfred L. Portis, 1982-1989	box 36	folder 248
Christian Action Ministry (CAM) - Strengthening Services to Chicago's West Side Community - Alfred L. Portis, 1982-1989	box 36	folder 249
Citizen's Budget Commission, Inc. (CBC) - General Support - James M. Hartman, 1983-1986	box 36	folder 250
Citizen's Budget Commission, Inc. (CBC) - General Support - James M. Hartman, 1983-1986	box 36	folder 251
Coalition of 100 Black Women (CBW) - Women in Partnership - Jewell J. McCabe and Bernice F. Powell, 1981-1987	box 36	folder 252

# **Scope and Contents note**

Formerly Project Self-Renewal.

Coalition of 100 Black Women (CBW) - Women in Partnership - Jewell J. McCabe and Bernice F. Powell, 1981-1987	box 36	folder 253	
Scope and Contents note			
Formerly Project Self-Renewal.			
Coalition of 100 Black Women (CBW) - Women in Partnership - Jewell J. McCabe and Bernice F. Powell, 1981-1987	box 37	folder 254	
Scope and Contents note			
Formerly Project Self-Renewal.			
Coalition of 100 Black Women (CBW) - Women in Partnership - Jewell J. McCabe and Bernice F. Powell, 1981-1987	box 37	folder 255	
Scope and Contents note			
Formerly Project Self-Renewal.			
Coalition of 100 Black Women (CBW) - Women in Partnership - Jewell J. McCabe and Bernice F. Powell, 1981-1987	box 37	folder 256	
Scope and Contents note			
Formerly Project Self-Renewal.			
Coalition of 100 Black Women (CBW) - Women in Partnership - Jewell J. McCabe and Bernice F. Powell, 1981-1987	box 37	folder 257	
Scope and Contents note			
Formerly Project Self-Renewal.			
Coalition of 100 Black Women (CBW) - Women in Partnership - Jewell J. McCabe and Bernice F. Powell, 1981-1987	box 37	folder 258	
Scope and Contents note			
Formerly Project Self-Renewal.			
Coalition of 100 Black Women (CBW) - Women in Partnership - Jewell J. McCabe and Bernice F. Powell, 1981-1987	box 37	folder 259	
Scope and Contents note			
Formerly Project Self-Renewal.			
Coalition of 100 Black Women (CBW) - Women in Partnership - Jewell J. McCabe and Bernice F. Powell, 1981-1987	box 37	folder 260	

# **Scope and Contents note**

Formerly Project Self-Renewal.

Coalition of 100 Black Women (CBW) - Women in Partnership - Jewell J. McCabe and Bernice F. Powell, 1981-1987	box 38	folder 261	
Scope and Contents note			
Formerly Project Self-Renewal.			
University of Colorado, Health Services Center (CUHSC) - Improving the Education and Utilization of Health Professionals - Henry K. Silver, 1983-1985	box 38	folder 262	
University of Colorado, Health Services Center (CUHSC) - Improving the Education and Utilization of Health Professionals - Henry K. Silver, 1983-1985	box 38	folder 263	
Columbia University - Sources for Medical Care Currently Financed by New York City - Raymond E. Horton, 1981-1982	box 38	folder 264	
Columbia University - Sources for Medical Care Currently Financed by New York City - Raymond E. Horton, 1981-1982	box 38	folder 265	
Columbia University, School of Public Health (CU) - Comparative Analysis of Community-Based Health Services in Canada and the United States - Samuel Wolfe, 1981-1985	box 38	folder 266	
Columbia University, School of Public Health (CU) - Comparative Analysis of Community-Based Health Services in Canada and the United States - Samuel Wolfe, 1981-1985	box 38	folder 267	
Columbia University (CU) - Developing Five Specific Policies and Programs for Improving the Health and Well-Being of Low-Income Residents of New York State - Raymond D. Horton, 1981-1984	box 38	folder 268	
Columbia University (CU) - Developing Five Specific Policies and Programs for Improving the Health and Well-Being of Low-Income Residents of New York State - Raymond D. Horton, 1981-1984	box 38	folder 269	
Columbia University (CU) - Developing Five Specific Policies and Programs for Improving the Health and Well-Being of Low-Income Residents of New York State - Raymond D. Horton, 1981-1984	box 38	folder 270	
Columbia University (CU) - Developing Five Specific Policies and Programs for Improving the Health and Well-Being of Low-Income Residents of New York State - Raymond D. Horton, 1981-1984	box 39	folder 271	
Columbia University (CU) - Developing Five Specific Policies and Programs for Improving the Health and Well-Being of Low-Income Residents of New York State - Raymond D. Horton, 1981-1984	box 39	folder 272	

Columbia University (CU) - Developing Five Specific Policies and Programs for Improving the Health and Well-Being of Low-Income Residents of New York State - Raymond D. Horton, 1981-1984	box 39	folder 273	
Columbia University (CU) - Developing Five Specific Policies and Programs for Improving the Health and Well-Being of Low-Income Residents of New York State - Raymond D. Horton, 1981-1984	box 39	folder 274	
Columbia University , Conservation of Human Resources (CU) - Better Information for Academic Health Care Planning in New York City - Eli Ginzberg, 1981-1985	box 39	folder 275	
Columbia University , Conservation of Human Resources (CU) - Better Information for Academic Health Care Planning in New York City - Eli Ginzberg, 1981-1985	box 39	folder 276	
Columbia University , Conservation of Human Resources (CU) - Better Information for Academic Health Care Planning in New York City - Eli Ginzberg, 1981-1985	box 40	folder 277	
Columbia University , Conservation of Human Resources (CU) - Better Information for Academic Health Care Planning in New York City - Eli Ginzberg, 1981-1985	box 40	folder 278	
Columbia University , Conservation of Human Resources (CU) - Better Information for Academic Health Care Planning in New York City - Eli Ginzberg, 1981-1985	box 40	folder 279	
Columbia University , Conservation of Human Resources (CU) - Better Information for Academic Health Care Planning in New York City - Eli Ginzberg, 1981-1985	box 40	folder 280	
Columbia University , Conservation of Human Resources (CU) - Review of Resources, Including Health Care Resources, for Meeting Needs of Elderly in New York City - Eli Ginzberg, 1982-1985	box 40	folder 281	
Columbia University , Conservation of Human Resources (CU) - Review of Resources, Including Health Care Resources, for Meeting Needs of Elderly in New York City - Eli Ginzberg, 1982-1985	box 40	folder 282	
Columbia University (CU) - Comprehensive Analysis of New York City Government and Politics - Charles Brecher and Raymond D. Horton, 1979-1991	box 40	folder 283	
Columbia University (CU) - Comprehensive Analysis of New York City Government and Politics - Charles Brecher and Raymond D. Horton, 1979-1991	box 40	folder 284	
Columbia University (CU) - Comprehensive Analysis of New York City Government and Politics - Charles Brecher and Raymond D. Horton, 1979-1991	box 41	folder 285	
Columbia University (CU) - Comprehensive Analysis of New York City Government and Politics - Charles Brecher and Raymond D. Horton, 1979-1991	box 41	folder 286	

Columbia University (CU) - Comprehensive Analysis of New York City Government and Politics - Charles Brecher and Raymond D. Horton, 1979-1991	box 41	folder 287	
Columbia University (CU) - Comprehensive Analysis of New York City Government and Politics - Charles Brecher and Raymond D. Horton, 1979-1991	box 41	folder 288	
Community Family Planning Council (CFPC) - Improved Financial Management of Family Planning Clinics in New York City - Ana O. Dumois, 1983-1986	box 42	folder 289	
Community Family Planning Council (CFPC) - Improved Financial Management of Family Planning Clinics in New York City - Ana O. Dumois, 1983-1986	box 42	folder 290	
Community Family Planning Council (CFPC) - Improved Financial Management of Family Planning Clinics in New York City - Ana O. Dumois, 1983-1986	box 42	folder 291	
Community Family Planning Council (CFPC) - Improved Financial Management of Family Planning Clinics in New York City - Ana O. Dumois, 1983-1986	box 42	folder 292	
Community Family Planning Council (CFPC) - Improved Financial Management of Family Planning Clinics in New York City - Ana O. Dumois, 1983-1986	box 42	folder 293	
Community Service Society (CSS) - Long-Range Building and Services Plans of the St. Luke's-Roosevelt Hospital Center - Ludwig Gelobter, 1982-1984	box 42	folder 294	
Community Service Society (CSS) - Long-Range Building and Services Plans of the St. Luke's-Roosevelt Hospital Center - Ludwig Gelobter, 1982-1984	box 42	folder 295	
Consumer Interest Research Institute (CIRI) - Forum on Long- Range Issues Affecting the Social Security System, 1983-1984	box 42	folder 296	
Consumer Interest Research Institute (CIRI) - Forum on Long- Range Issues Affecting the Social Security System, 1983-1984	box 43	folder 297	
Consumer Interest Research Institute (CIRI) - Forum on Long- Range Issues Affecting the Social Security System, 1983-1984	box 43	folder 298	
Consumer Interest Research Institute (CIRI) - Forum on Long- Range Issues Affecting the Social Security System, 1983-1984	box 43	folder 299	
Consumer Interest Research Institute (CIRI) - Forum on Long- Range Issues Affecting the Social Security System, 1983-1984	box 43	folder 300	
Contributions Management, Inc Improving the Management of Selected New York City Institutions, 1980-1982	box 43	folder 301	

# **Scope and Contents note**

Not Approved by Board 5/13/81.

Cornell University Medical College (CUMC) - Teaching Techniques of Medical Care Delivery in Medical School Curriculum - Eric Cassell, 1980-1986	box 43	folder 302	
Cornell University Medical College (CUMC) - Teaching Techniques of Medical Care Delivery in Medical School Curriculum - Eric Cassell, 1980-1986	box 43	folder 303	
Cornell University Medical College (CUMC) - Teaching Techniques of Medical Care Delivery in Medical School Curriculum - Eric Cassell, 1980-1986	box 43	folder 304	
Cornell University Medical College (CUMC) - Research to Improve Clinical Decision-Making in Treating Breast Cancer - Mary E. Charlson, 1981-1985	box 44	folder 305	
Cornell University Medical College (CUMC) - Research to Improve Clinical Decision-Making in Treating Breast Cancer - Mary E. Charlson, 1981-1985	box 44	folder 306	
Cornell University Medical College (CUMC) - Research to Improve Clinical Decision-Making in Treating Breast Cancer - Mary E. Charlson, 1981-1985	box 44	folder 307	
Cornell University Medical College (CUMC) - Strengthening a Department of Pediatrics - Maria I. New and John J. Ferry Jr., 1982-1982	box 44	folder 308	
Cornell University Medical College (CUMC) - Strengthening a Department of Pediatrics - Maria I. New and John J. Ferry Jr., 1982-1982	box 44	folder 309	
Cornell University Medical College (CUMC) - Strengthening a Department of Pediatrics - Maria I. New and John J. Ferry Jr., 1982-1982	box 44	folder 310	
Cornell University Medical College (CUMC) - Strengthening a Department of Pediatrics - Maria I. New and John J. Ferry Jr., 1982-1982	box 44	folder 311	
Council on Foundations, Inc. (COF) - Annual Membership Dues, General Support for 1982 - James A. Joseph, 1982-1983	box 44	folder 312	
Council on Foundations, Inc. (COF) - Annual Membership Dues, General Support for 1982 - James A. Joseph, 1982-1983	box 44	folder 313	
Council on Foundations, Inc. (COF) - General Support for 1983 - James A. Joseph, 1982-1984	box 45	folder 314	
Council on Foundations, Inc. (COF) - General Support for 1983 - James A. Joseph, 1982-1984	box 45	folder 315	
Council on Foundations, Inc. (COF) - General Support for 1984 - James A. Joseph, 1984-1985	box 45	folder 316	

Council on Foundations, Inc. (COF) - General Support for 1984 - James A. Joseph, 1984-1985	box 45	folder 317	
Council on Foundations, Inc. (COF) - General Support for 1984 - James A. Joseph, 1984-1985	box 45	folder 318	
Council on Foundations, Inc. (COF) - General Support 1985 - James A. Joseph, 1983-1986	box 45	folder 319	
Council on Foundations, Inc. (COF) - General Support 1985 - James A. Joseph, 1983-1986	box 45	folder 320	
Council on Foundations, Inc. (COF) - General Support 1985 - James A. Joseph, 1983-1986	box 45	folder 321	
Dartmouth Medical College - Reducing Variations in Physicians' Use of Selected Medical Procedures (Phase One) - Daniel F. Hanley and John E. Wennberg, 1981-1986	box 46	folder 322	
Dartmouth Medical College - Reducing Variations in Physicians' Use of Selected Medical Procedures (Phase One) - Daniel F. Hanley and John E. Wennberg, 1981-1986	box 46	folder 323	
Dartmouth Medical College - Reducing Variations in Physicians' Use of Selected Medical Procedures (Phase One) - Daniel F. Hanley and John E. Wennberg, 1981-1986	box 46	folder 324	
Dartmouth Medical College - Reducing Variations in Physicians' Use of Selected Medical Procedures (Phase Two) - Daniel F. Hanley and John E. Wennberg, 1981-1987	box 46	folder 325	
Dartmouth Medical College - Reducing Variations in Physicians' Use of Selected Medical Procedures (Phase Two) - Daniel F. Hanley and John E. Wennberg, 1981-1987	box 46	folder 326	
Dartmouth Medical College - Reducing Variations in Physicians' Use of Selected Medical Procedures (Phase Two) - Daniel F. Hanley and John E. Wennberg, 1981-1987	box 46	folder 327	
Dartmouth Medical College - Reducing Variations in Physicians' Use of Selected Medical Procedures (Phase Two) - Daniel F. Hanley and John E. Wennberg, 1981-1987	box 46	folder 328	
Dartmouth Medical College - Reducing Variations in Physicians' Use of Selected Medical Procedures (Phase Two) - Daniel F. Hanley and John E. Wennberg, 1981-1987	box 47	folder 329	
Dartmouth Medical College - Reducing Variations in Physicians' Use of Selected Medical Procedures (Phase Two) - Daniel F. Hanley and John E. Wennberg, 1981-1987	box 47	folder 330	
Downstate Medical Center/Research Foundation of State University of New York (DMC/SUNY) - Alternative Strategies for Urban Health Care and Medical Education at Downstate Medical Center - Donald J. Scherl, 1981-1984	box 47	folder 331	
Downstate Medical Center/Research Foundation of State University of New York (DMC/SUNY) - Alternative Strategies for Urban Health Care and Medical Education at Downstate Medical Center - Donald J. Scherl, 1981-1984	box 47	folder 332	

Downstate Medical Center/Research Foundation of State University of New York (DMC/SUNY) - Alternative Strategies for Urban Health Care and Medical Education at Downstate Medical Center - Donald J. Scherl, 1981-1984	box 47	folder 333
Downstate Medical Center/Research Foundation of State University of New York (DMC/SUNY) - Alternative Strategies for Urban Health Care and Medical Education at Downstate Medical Center - Donald J. Scherl, 1981-1984	box 47	folder 334
Downstate Medical Center/Research Foundation of State University of New York (DMC/SUNY) - Developing a Regional Education and Patient Care System in Brooklyn - Donald J. Scherl and Donovan W. Riley, 1984-1990	box 47	folder 335
Downstate Medical Center/Research Foundation of State University of New York (DMC/SUNY) - Developing a Regional Education and Patient Care System in Brooklyn - Donald J. Scherl and Donovan W. Riley, 1984-1990	box 48	folder 336
Downstate Medical Center/Research Foundation of State University of New York (DMC/SUNY) - Developing a Regional Education and Patient Care System in Brooklyn - Donald J. Scherl and Donovan W. Riley, 1984-1990	box 48	folder 337
Downstate Medical Center/Research Foundation of State University of New York (DMC/SUNY) - Developing a Regional Education and Patient Care System in Brooklyn - Donald J. Scherl and Donovan W. Riley, 1984-1990	box 48	folder 338
Downstate Medical Center/Research Foundation of State University of New York (DMC/SUNY) - Developing a Regional Education and Patient Care System in Brooklyn - Donald J. Scherl and Donovan W. Riley, 1984-1990	box 48	folder 339
Downstate Medical Center/Research Foundation of State University of New York (DMC/SUNY) - Developing a Regional Education and Patient Care System in Brooklyn - Donald J. Scherl and Donovan W. Riley, 1984-1990	box 48	folder 340
Downstate Medical Center/Research Foundation of State University of New York (DMC/SUNY) - Developing a Regional Education and Patient Care System in Brooklyn - Donald J. Scherl and Donovan W. Riley, 1984-1990	box 48	folder 341
Downstate Medical Center/Research Foundation of State University of New York (DMC/SUNY) - Developing a Regional Education and Patient Care System in Brooklyn - Donald J. Scherl and Donovan W. Riley, 1984-1990	box 48	folder 342
Downstate Medical Center/Research Foundation of State University of New York (DMC/SUNY) - Developing a Regional Education and Patient Care System in Brooklyn - Donald J. Scherl and Donovan W. Riley, 1984-1990	box 49	folder 343
Downstate Medical Center/Research Foundation of State University of New York (DMC/SUNY) - Developing a Regional Education and Patient Care System in Brooklyn - Donald J. Scherl and Donovan W. Riley, 1984-1990	box 49	folder 344

Downstate Medical Center/Research Foundation of State University of New York (DMC/SUNY) - Developing a Regional Education and Patient Care System in Brooklyn - Donald J. Scherl and Donovan W. Riley, 1984-1990	box 49	folder 345
Downstate Medical Center/Research Foundation of State University of New York (DMC/SUNY) - Developing a Regional Education and Patient Care System in Brooklyn - Donald J. Scherl and Donovan W. Riley, 1984-1990	box 49	folder 346
Downstate Medical Center/Research Foundation of State University of New York (DMC/SUNY) - Developing a Regional Education and Patient Care System in Brooklyn - Donald J. Scherl and Donovan W. Riley, 1984-1990	box 49	folder 347
Duke University - Forecasting Changes in Health Status of America's Elderly Population - Kenneth G. Manton, 1983-1987	box 49	folder 348
Duke University - Forecasting Changes in Health Status of America's Elderly Population - Kenneth G. Manton, 1983-1987	box 49	folder 349
Duke University - Forecasting Changes in Health Status of America's Elderly Population - Kenneth G. Manton, 1983-1987	box 49	folder 350
Economic Development Council of New York City, Inc. (EDC) - General Support for 1982 - Morris Dantzker, 1981-1983	box 50	folder 351
Economic Development Council of New York City, Inc. (EDC) - General Support for 1982 - Morris Dantzker, 1981-1983	box 50	folder 352
Economic Development Council of New York City, Inc. (EDC) - Strategic Plan by the New York City Partnership to Foster the City's Economic Vitality in the 1980's - Frank J. Macchiarola, 1983-1984	box 50	folder 353
Economic Development Council of New York City, Inc. (EDC) - Strategic Plan by the New York City Partnership to Foster the City's Economic Vitality in the 1980's - Frank J. Macchiarola, 1983-1984	box 50	folder 354
Economic Development Council of New York City, Inc. (EDC) - Strategic Plan by the New York City Partnership to Foster the City's Economic Vitality in the 1980's - Frank J. Macchiarola, 1983-1984	box 50	folder 355
Educational Testing Service (ETS) - Evaluation of the "Women in Partnership Program" of the Coalition of 100 Black Women - Jeanne Brooks-Gunn and Beatriz C. Clewell, 1984-1986	box 50	folder 356
Educational Testing Service (ETS) - Evaluation of the "Women in Partnership Program" of the Coalition of 100 Black Women - Jeanne Brooks-Gunn and Beatriz C. Clewell, 1984-1986	box 50	folder 357
Educational Testing Service (ETS) - Evaluation of the "Women in Partnership Program" of the Coalition of 100 Black Women - Jeanne Brooks-Gunn and Beatriz C. Clewell, 1984-1986	box 50	folder 358

Educational Testing Service (ETS) - The Role of Grandmothers in Improving the Lives of Their Daughters Who Were Teenage Mothers, and Their Children - Jeanne Brooks-Gunn, 1983-1987	box 51	folder 359
Educational Testing Service (ETS) - The Role of Grandmothers in Improving the Lives of Their Daughters Who Were Teenage Mothers, and Their Children - Jeanne Brooks-Gunn, 1983-1987	box 51	folder 360
Episcopal Church of the Diocese of New Jersey - Strategic Use of Volunteers to Provide Community-Based Health Services - Albert Van Duzer, 1981-1983	box 51	folder 361
Episcopal Church of the Diocese of New Jersey - Strategic Use of Volunteers to Provide Community-Based Health Services - Albert Van Duzer, 1981-1983	box 51	folder 362
Food Research and Action Center (FRAC) - Collection, Analysis, and Dissemination of Information on Federal Support for Child Nutrition Programs - Nancy Amidei, 1981-1984	box 51	folder 363
Food Research and Action Center (FRAC) - Collection, Analysis, and Dissemination of Information on Federal Support for Child Nutrition Programs - Nancy Amidei, 1981-1984	box 51	folder 364
The Foundation Center - Support for "Grantmakers in Health" - Catherine McDermott, 1980-1985	box 51	folder 365
The Foundation Center - Support for "Grantmakers in Health" - Catherine McDermott, 1980-1985	box 51	folder 366
The Foundation Center - Support for "Grantmakers in Health" - Catherine McDermott, 1980-1985	box 52	folder 367
The Foundation Center - Support for "Grantmakers in Health" - Catherine McDermott, 1980-1985	box 52	folder 368
The Foundation Center - Three-Year General Support, Grant #6158 - Thomas R. Buckman, 1982-1985	box 52	folder 369
The Foundation Center - Three-Year General Support, Grant #6158 - Thomas R. Buckman, 1982-1985	box 52	folder 370
The Foundation Center - Three-Year General Support, Grant #6158 - Thomas R. Buckman, 1982-1985	box 52	folder 371
The Foundation Center - General Support, Grant #7929 - Thomas Buckman, 1985-1988	box 52	folder 372
The Foundation Center - General Support, Grant #7929 - Thomas Buckman, 1985-1988	box 52	folder 373
Foundation for Long Term Care, Inc. (20010254), 2000-2003	box 127	folder 925-929
Freedom Institute, Inc Counseling Program for Recovering Alcoholics and Their Families, Grant #6320 - Edmona L. Mansell, 1981-1984	box 52	folder 374

Freedom Institute, Inc Counseling Program for Recovering Alcoholics and Their Families, Grant #6320 - Edmona L. Mansell, 1981-1984	box 52	folder 375	
Freedom Institute, Inc Counseling Program for Recovering Alcoholics and Their Families, Grant #6986 - Edmona L. Mansell, 1983-1984	box 53	folder 376	
Freedom Institute, Inc Counseling Program for Recovering Alcoholics and Their Families, Grant #6986 - Edmona L. Mansell, 1983-1984	box 53	folder 377	
Freedom Institute, Inc Counseling Program for Recovering Alcoholics and Their Families, Grant #7769 - Edmona L. Mansell, 1984-1985	box 53	folder 378	
Freedom Institute, Inc Counseling Program for Recovering Alcoholics and Their Families, Grant #7769 - Edmona L. Mansell, 1984-1985	box 53	folder 379	
Fresh Air Fund (FAF) - Summer Camp Program for Needy Children, Grant #6298 - Lisa Semple, 1981-1982	box 53	folder 380	
Fresh Air Fund (FAF) - Summer Camp Program for Needy Children, Grant #6298 - Lisa Semple, 1981-1982	box 53	folder 381	
Fresh Air Fund (FAF) - Summer Camp Program for Children, Grant #6983 - Jenny Morganthau, 1983-1984	box 53	folder 382	
Fresh Air Fund (FAF) - Summer Camp Program for Children, Grant #6983 - Jenny Morganthau, 1983-1984	box 53	folder 383	
Fresh Air Fund (FAF) - Summer Program for Children, Grant #7772 - Jenny Morganthau, 1984-1985	box 53	folder 384	
Fresh Air Fund (FAF) - Summer Program for Children, Grant #7772 - Jenny Morganthau, 1984-1985	box 53	folder 385	
Friends Services for the Aging (FSA) - Extending Life Care Communities to Elderly People Living at Home - Donald L. Moon, 1982-1990	box 53	folder 386	
Friends Services for the Aging (FSA) - Extending Life Care Communities to Elderly People Living at Home - Donald L. Moon, 1982-1990	box 53	folder 387	
Friends Services for the Aging (FSA) - Extending Life Care Communities to Elderly People Living at Home - Donald L. Moon, 1982-1990	box 53	folder 388	
Friends Services for the Aging (FSA) - Extending Life Care Communities to Elderly People Living at Home - Donald L. Moon, 1982-1990	box 54	folder 389	
Friends Services for the Aging (FSA) - Extending Life Care Communities to Elderly People Living at Home - Donald L. Moon, 1982-1990	box 54	folder 390	

Friends Services for the Aging (FSA) - Extending Life Care Communities to Elderly People Living at Home - Donald L. Moon, 1982-1990	box 54	folder 391
Friends Services for the Aging (FSA) - Extending Life Care Communities to Elderly People Living at Home - Donald L. Moon, 1982-1990	box 54	folder 392
Friends Services for the Aging (FSA) - Extending Life Care Communities to Elderly People Living at Home - Donald L. Moon, 1982-1990	box 54	folder 393
Friends Services for the Aging (FSA) - Extending Life Care Communities to Elderly People Living at Home - Donald L. Moon, 1982-1990	box 54	folder 394
Friends Services for the Aging (FSA) - Extending Life Care Communities to Elderly People Living at Home - Donald L. Moon, 1982-1990	box 55	folder 395
Friends Services for the Aging (FSA) - Extending Life Care Communities to Elderly People Living at Home - Donald L. Moon, 1982-1990	box 55	folder 396
Fund for the Advancement of Basic Biomedical Research (ABBR) - Program to Enhance Public Understanding of the Need for Basic Biomedical Research - Maholn Hoagland, 1983-1986	box 55	folder 397
Fund for the Advancement of Basic Biomedical Research (ABBR) - Program to Enhance Public Understanding of the Need for Basic Biomedical Research - Maholn Hoagland, 1983-1986	box 55	folder 398
Fund for the City of New York (FCNY) - Program to Enhance Services Provided by Non-Profit Organizations in New York City - Marcia Eisenberg, 1983-1987	box 55	folder 399
Fund for the City of New York (FCNY) - Program to Enhance Services Provided by Non-Profit Organizations in New York City - Marcia Eisenberg, 1983-1987	box 55	folder 400
Fund for the City of New York (FCNY) - Support of the Non-Profit Coordinating Committee - John Temple Swing, 1984-1987	box 55	folder 401
Fund for the City of New York (FCNY) - Support of the Non- Profit Coordinating Committee - John Temple Swing, 1984-1987	box 55	folder 402
Gateway School of New York - Developing a Fund-Raising Capacity for Scholarships for Needy Students - John Maxtone- Graham, 1980-1990	box 55	folder 403
Gateway School of New York - Developing a Fund-Raising Capacity for Scholarships for Needy Students - John Maxtone- Graham, 1980-1990	box 55	folder 404
George Washington University (GW) - Seminar Series for Washington Health Staff - Judith Miller Jones and Joseph Isaacs, 1983-1991	box 56	folder 405

George Washington University (GW) - Seminar Series for Washington Health Staff - Judith Miller Jones and Joseph Isaacs, 1983-1991	box 56	folder 406	
George Washington University (GW) - Seminar Series for Washington Health Staff - Judith Miller Jones and Joseph Isaacs, 1983-1991	box 56	folder 407	
George Washington University (GW) - Seminar Series for Washington Health Staff - Judith Miller Jones and Joseph Isaacs, 1983-1991	box 56	folder 408	
George Washington University (GW) - Seminar Series for Washington Health Staff - Judith Miller Jones and Joseph Isaacs, 1983-1991	box 56	folder 409	
George Washington University (GW) - Seminar Series for Washington Health Staff - Judith Miller Jones and Joseph Isaacs, 1983-1991	box 56	folder 410	
George Washington University (GW) - Seminar Series for Washington Health Staff - Judith Miller Jones and Joseph Isaacs, 1983-1991	box 57	folder 411	
Georgetown University, Center for Health - Analysis of the Effects of Federal Budget Changes on New York City Health Care Institutions - Clifton R. Gaus, 1981-1983	box 57	folder 412	
Georgetown University, Center for Health - Analysis of the Effects of Federal Budget Changes on New York City Health Care Institutions - Clifton R. Gaus, 1981-1983	box 57	folder 413	
Georgetown University, Center for Health - Analysis of the Effects of Federal Budget Changes on New York City Health Care Institutions - Clifton R. Gaus, 1981-1983	box 57	folder 414	
Georgetown University, Center for Health - Analysis of the Effects of Federal Budget Changes on New York City Health Care Institutions - Clifton R. Gaus, 1981-1983	box 57	folder 415	
Georgetown University, Center for Health - Analysis of the Effects of Federal Budget Changes on New York City Health Care Institutions - Clifton R. Gaus, 1981-1983	box 57	folder 416	
Georgetown University, Center for Health Policy - Hospital Reorganization and the Care of the Poor - Judith Feder and Jack Hadley, 1984-1986	box 57	folder 417	
Georgetown University, Center for Health Policy - Hospital Reorganization and the Care of the Poor - Judith Feder and Jack Hadley, 1984-1986	box 58	folder 418	
Georgetown University, Center for Health Policy - Hospital Reorganization and the Care of the Poor - Judith Feder and Jack Hadley, 1984-1986	box 58	folder 419	
Geri-Pare, Inc Developing a Special Store for Handicapped and Frail Elderly Persons - Edward H. Kramer, 1984-1986	box 58	folder 420	

Geri-Pare, Inc Developing a Special Store for Handicapped and Frail Elderly Persons - Edward H. Kramer, 1984-1986	box 58	folder 421
Harvard Medical School (HMS) - Development, Evaluation and Dissemination of an Educational Program to Strengthen the Concern and Skills of General Physicians in Identifying and Coordinating the Treatment of Alcoholic Patients - Thomas L. Delbanco, 1981-1988	box 58	folder 422
Harvard Medical School (HMS) - Development, Evaluation and Dissemination of an Educational Program to Strengthen the Concern and Skills of General Physicians in Identifying and Coordinating the Treatment of Alcoholic Patients - Thomas L. Delbanco, 1981-1988	box 58	folder 423
Harvard Medical School (HMS) - Development, Evaluation and Dissemination of an Educational Program to Strengthen the Concern and Skills of General Physicians in Identifying and Coordinating the Treatment of Alcoholic Patients - Thomas L. Delbanco, 1981-1988	box 58	folder 424
Harvard Medical School (HMS) - Development, Evaluation and Dissemination of an Educational Program to Strengthen the Concern and Skills of General Physicians in Identifying and Coordinating the Treatment of Alcoholic Patients - Thomas L. Delbanco, 1981-1988	box 59	folder 425
Harvard Medical School (HMS) - Development, Evaluation and Dissemination of an Educational Program to Strengthen the Concern and Skills of General Physicians in Identifying and Coordinating the Treatment of Alcoholic Patients - Thomas L. Delbanco, 1981-1988	box 59	folder 426
Harvard Medical School (HMS) - Development, Evaluation and Dissemination of an Educational Program to Strengthen the Concern and Skills of General Physicians in Identifying and Coordinating the Treatment of Alcoholic Patients - Thomas L. Delbanco, 1981-1988	box 59	folder 427
Harvard Medical School (HMS) - Support of a Workshop Entitled "Experiences and Innovations in Medical Education in the Quantitative Sciences" - S. James Adelstein, 1980-1983	box 59	folder 428
Harvard University - Helping Selected States Make Decisions About Changing Their Medicaid Programs - Penny H. Feldman and Marc J. Roberts, 1982-1987	box 59	folder 429
Harvard University - Helping Selected States Make Decisions About Changing Their Medicaid Programs - Penny H. Feldman and Marc J. Roberts, 1982-1987	box 59	folder 430
Harvard University - Helping Selected States Make Decisions About Changing Their Medicaid Programs - Penny H. Feldman and Marc J. Roberts, 1982-1987	box 60	folder 431
Harvard University - Helping Selected States Make Decisions About Changing Their Medicaid Programs - Penny H. Feldman and Marc J. Roberts, 1982-1987	box 60	folder 432

Harvard University - Helping Selected States Make Decisions About Changing Their Medicaid Programs - Penny H. Feldman and Marc J. Roberts, 1982-1987	box 60	folder 433	
Harvard University - Helping Selected States Make Decisions About Changing Their Medicaid Programs - Penny H. Feldman and Marc J. Roberts, 1982-1987	box 60	folder 434	
Harvard University - Helping Selected States Make Decisions About Changing Their Medicaid Programs - Penny H. Feldman and Marc J. Roberts, 1982-1987	box 60	folder 435	
Harvard University - Helping Selected States Make Decisions About Changing Their Medicaid Programs - Penny H. Feldman and Marc J. Roberts, 1982-1987	box 60	folder 436	
Harvard Medical School (HMS) - Workshop on the Organization and Financing of Child Health Services, 1981-1986	box 61	folder 437	
Harvard Medical School (HMS) - Workshop on the Organization and Financing of Child Health Services, 1981-1986	box 61	folder 438	
Harvard University - Research on Oxybutynin and Incontinence in the Elderly - John W. Rowe, 1984-1986	box 61	folder 439	
Harvard University - Research on Oxybutynin and Incontinence in the Elderly - John W. Rowe, 1984-1986	box 61	folder 440	
Henry Ford Hospital (HFH) - Development of a Prepaid, Multi- Hospital, Regional Health Care System - Douglas S. Peters and Robert W. Asmussen, 1983-1988	box 61	folder 441	
Henry Ford Hospital (HFH) - Development of a Prepaid, Multi- Hospital, Regional Health Care System - Douglas S. Peters and Robert W. Asmussen, 1983-1988	box 61	folder 442	
Henry Ford Hospital (HFH) - Development of a Prepaid, Multi-Hospital, Regional Health Care System - Douglas S. Peters and Robert W. Asmussen, 1983-1988	box 61	folder 443	
Henry Ford Hospital (HFH) - Development of a Prepaid, Multi- Hospital, Regional Health Care System - Douglas S. Peters and Robert W. Asmussen, 1983-1988	box 61	folder 444	
Henry Ford Hospital (HFH) - Development of a Prepaid, Multi- Hospital, Regional Health Care System - Douglas S. Peters and Robert W. Asmussen, 1983-1988	box 62	folder 445	
Hospital Audiences, Inc. (HA) - Summer Concert Program for the Handicapped and Frail Elderly in New York City - Michael John Spencer, 1981-1983	box 62	folder 446	
Hospital Audiences, Inc. (HA) - Summer Concert Program for the Handicapped and Frail Elderly in New York City - Michael John Spencer, 1981-1983	box 62	folder 447	
Hospital Audiences, Inc. (HA) - Summer Concert Program for the Handicapped and Frail Elderly in New York City - Michael John Spencer, 1982-1984	box 62	folder 448	

box 62	folder 449	
box 62	folder 450	
box 62	folder 451	
box 62	folder 452	
box 62	folder 453	
box 62	folder 454	
box 62	folder 455	
box 62	folder 456	
box 63	folder 457	
box 63	folder 457 folder 458	
	box 62  box 62  box 62  box 62  box 62	box 62 folder 450  box 62 folder 451  box 62 folder 452  box 62 folder 453  box 62 folder 454  box 62 folder 455

### **Related Archival Materials note**

Photographs placed in Box 125.

Hunter College, Research Foundation of the City of New York - Career Explorations 82 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Diane H. DePuydt, 1982-1983	box 63	folder 459
Related Archival Materials note: Related Archival Materials note		
Photographs placed in Box 125.		
Hunter College, Research Foundation of the City of New York - Career Explorations 82 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Diane H. DePuydt, 1982-1983	box 63	folder 460
Related Archival Materials note:  Related Archival Materials note		
Photographs placed in Box 125.		
Hunter College, Research Foundation of the City University of New York - Career Explorations 83 - A New York City Summer Youth Employment and Career Planning Program (Part One) - Donna E. Shalala and Diane H. DePuydt, 1983-1985	box 63	folder 461
Hunter College, Research Foundation of the City University of New York - Career Explorations 83 - A New York City Summer Youth Employment and Career Planning Program (Part One) - Donna E. Shalala and Diane H. DePuydt, 1983-1985	box 63	folder 462
Hunter College, Research Foundation of the City University of New York - Career Explorations 83 - A New York City Summer Youth Employment and Career Planning Program (Part One) - Donna E. Shalala and Diane H. DePuydt, 1983-1985	box 63	folder 463
Hunter College, Research Foundation of the City University of New York - Career Explorations 83 - A New York City Summer Youth Employment and Career Planning Program (Part One) - Donna E. Shalala and Diane H. DePuydt, 1983-1985	box 64	folder 464
Hunter College, Research Foundation of the City University of New York - Career Explorations 83 - A New York City Summer Youth Employment and Career Planning Program (Part One) - Donna E. Shalala and Diane H. DePuydt, 1983-1985	box 64	folder 465
Hunter College, Research Foundation of the City University of New York - Career Explorations 83 - A New York City Summer Youth Employment and Career Planning Program (Part Two) - Donna E. Shalala and Diane H. DePuydt, May-September, 1983	box 64	folder 466
Hunter College, Research Foundation of the City University of New York - Career Explorations 83 - A New York City Summer Youth Employment and Career Planning Program (Part Two) - Donna E. Shalala and Diane H. DePuydt, May-September, 1983	box 64	folder 467

Hunter College, Research Foundation of the City University of New York - Career Explorations 84 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1985  Hunter College, Research Foundation of the City University of New York - Career Explorations 84 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1985  Hunter College, Research Foundation of the City University of New York - Career Explorations 84 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1985  Hunter College, Research Foundation of the City University of New York - Career Explorations 85 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1986  Hunter College, Research Foundation of the City University of New York - Career Explorations 85 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1986  Hunter College, Research Foundation of the City University of New York - Career Explorations 85 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1986  Hunter College, Research Foundation of the City University of New York - Career Explorations 85 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1986  Hunter College, Research Foundation of the City University of New York - Career Explorations 85 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1986  Hunter College, Research Foundation of the City University of New York - Career Explorations 85 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1986  Hunter College, Research Foundation of the City			
New York - Career Explorations 84 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1985  Hunter College, Research Foundation of the City University of New York - Career Explorations 84 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1986  Hunter College, Research Foundation of the City University of New York - Career Explorations 85 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1986  Hunter College, Research Foundation of the City University of New York - Career Explorations 85 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1986  Hunter College, Research Foundation of the City University of New York - Career Explorations 85 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1986  Hunter College, Research Foundation of the City University of New York - Career Explorations 85 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1986  Independent Sector - Annual Membership Dues - Brian O'Connell, April-October, 1982  Independent Sector - Annual Membership Dues - Brian O'Connell, 1982-1984  Independent Sector - Educational Materials on the Role of U.S. Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984  Independent Sector - Educational Materials on the Role of U.S. Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984  Independent Sector - Educational Materials on the Role of U.S. Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984	New York - Career Explorations 84 - A New York City Summer Youth Employment and Career Planning Program - Donna E.	box 64	folder 468
New York - Career Explorations 84 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1985  Hunter College, Research Foundation of the City University of New York - Career Explorations 85 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1986  Hunter College, Research Foundation of the City University of New York - Career Explorations 85 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1986  Hunter College, Research Foundation of the City University of New York - Career Explorations 85 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1986  Hunter College, Research Foundation of the City University of New York - Career Explorations 85 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1986  Hunter College, Research Foundation of the City University of New York - Career Explorations 85 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1986  Independent Sector - Annual Membership Dues - Brian O'Connell, box 65 folder 475  April-October, 1982  Independent Sector - Annual Membership Dues - Brian O'Connell, box 65 folder 476  April-October, 1982  Independent Sector - Educational Materials on the Role of U.S. Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984  Independent Sector - Educational Materials on the Role of U.S. Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984  Independent Sector - Educational Materials on the Role of U.S. Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984	New York - Career Explorations 84 - A New York City Summer Youth Employment and Career Planning Program - Donna E.	box 64	folder 469
New York - Career Explorations 85 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1986  Hunter College, Research Foundation of the City University of New York - Career Explorations 85 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1986  Hunter College, Research Foundation of the City University of New York - Career Explorations 85 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1986  Hunter College, Research Foundation of the City University of New York - Career Explorations 85 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1986  Hunter College, Research Foundation of the City University of New York - Career Explorations 85 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1986  Independent Sector - Annual Membership Dues - Brian O'Connell, box 65 folder 475  April-October, 1982  Independent Sector - Educational Materials on the Role of U.S. Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984  Independent Sector - Educational Materials on the Role of U.S. Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984  Independent Sector - Educational Materials on the Role of U.S. Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984	New York - Career Explorations 84 - A New York City Summer Youth Employment and Career Planning Program - Donna E.	box 64	folder 470
New York - Career Explorations 85 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1986  Hunter College, Research Foundation of the City University of New York - Career Explorations 85 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1986  Hunter College, Research Foundation of the City University of New York - Career Explorations 85 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1986  Independent Sector - Annual Membership Dues - Brian O'Connell, April-October, 1982  Independent Sector - Annual Membership Dues - Brian O'Connell, April-October, 1982  Independent Sector - Educational Materials on the Role of U.S. Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984  Independent Sector - Educational Materials on the Role of U.S. Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984  Independent Sector - Educational Materials on the Role of U.S. Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984  Independent Sector - Educational Materials on the Role of U.S. Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984	New York - Career Explorations 85 - A New York City Summer Youth Employment and Career Planning Program - Donna E.	box 64	folder 471
New York - Career Explorations 85 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1986  Hunter College, Research Foundation of the City University of New York - Career Explorations 85 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1986  Independent Sector - Annual Membership Dues - Brian O'Connell, April-October, 1982  Independent Sector - Annual Membership Dues - Brian O'Connell, April-October, 1982  Independent Sector - Educational Materials on the Role of U.S. Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984  Independent Sector - Educational Materials on the Role of U.S. Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984  Independent Sector - Educational Materials on the Role of U.S. Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984  Independent Sector - Educational Materials on the Role of U.S. box 65  folder 478  Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984	New York - Career Explorations 85 - A New York City Summer Youth Employment and Career Planning Program - Donna E.	box 65	folder 472
New York - Career Explorations 85 - A New York City Summer Youth Employment and Career Planning Program - Donna E. Shalala and Nicholas Freudenberg, 1984-1986  Independent Sector - Annual Membership Dues - Brian O'Connell, April-October, 1982  Independent Sector - Annual Membership Dues - Brian O'Connell, April-October, 1982  Independent Sector - Educational Materials on the Role of U.S. Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984  Independent Sector - Educational Materials on the Role of U.S. Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984  Independent Sector - Educational Materials on the Role of U.S. Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984  Independent Sector - Educational Materials on the Role of U.S. Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984	New York - Career Explorations 85 - A New York City Summer Youth Employment and Career Planning Program - Donna E.	box 65	folder 473
Independent Sector - Annual Membership Dues - Brian O'Connell, April-October, 1982  Independent Sector - Educational Materials on the Role of U.S. Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984  Independent Sector - Educational Materials on the Role of U.S. Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984  Independent Sector - Educational Materials on the Role of U.S. Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984  Independent Sector - Educational Materials on the Role of U.S. Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984	New York - Career Explorations 85 - A New York City Summer Youth Employment and Career Planning Program - Donna E.	box 65	folder 474
Independent Sector - Educational Materials on the Role of U.S. Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984  Independent Sector - Educational Materials on the Role of U.S. Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984  Independent Sector - Educational Materials on the Role of U.S. Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984  Independent Sector - Educational Materials on the Role of U.S. Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984	•	box 65	folder 475
Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984  Independent Sector - Educational Materials on the Role of U.S. Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984  Independent Sector - Educational Materials on the Role of U.S. Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984	•	box 65	folder 476
Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984  Independent Sector - Educational Materials on the Role of U.S. box 65 Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984	Voluntary Organizations and Philanthropy - Brian O'Connell,	box 65	folder 477
Voluntary Organizations and Philanthropy - Brian O'Connell, 1982-1984	Voluntary Organizations and Philanthropy - Brian O'Connell,	box 65	folder 478
Independent Sector - General Support - Brian O'Connell box 65 folder 480	Voluntary Organizations and Philanthropy - Brian O'Connell,	box 65	folder 479
1983-1984	Independent Sector - General Support - Brian O'Connell, 1983-1984	box 65	folder 480
Independent Sector - General Support - Brian O'Connell, box 65 folder 481 1983-1984		box 65	folder 481

Independent Sector - Strengthening the Role of Private Sector Leadership in America - John Gardner, 1983-1989	box 65	folder 482
Independent Sector - Strengthening the Role of Private Sector Leadership in America - John Gardner, 1983-1989	box 65	folder 483
Independent Sector - Strengthening the Role of Private Sector Leadership in America - John Gardner, 1983-1989	box 66	folder 484
Independent Sector - General Support - Brian O'Connell, 1984	box 66	folder 485
Independent Sector - General Support - Brian O'Connell, February-October, 1984	box 66	folder 486
Independent Sector - General Support - Brian O'Connell, 1985-1986	box 66	folder 487
Independent Sector - General Support - Brian O'Connell, 1985-1986	box 66	folder 488
Institute of International Education (IIE) - Special Services in International Educational Exchange - Walter B. Edgerton, 1982-1986	box 66	folder 489
Institute of International Education (IIE) - Special Services in International Educational Exchange - Walter B. Edgerton, 1982-1986	box 66	folder 490
Institute of International Education (IIE) - Special Services in International Educational Exchange - Walter B. Edgerton, 1982-1986	box 66	folder 491
Institute of Society, Ethics and Life Sciences (The Hastings Center) (ISEFS) - President's Commission for the Study of Ethical Problems in Medicine and Biomedical and Behavioral Research, Support of Eight President's Commission Commonwealth Fellows - Alexander Capron, 1980-1983	box 66	folder 492
Institute of Society, Ethics and Life Sciences (The Hastings Center) (ISEFS) - President's Commission for the Study of Ethical Problems in Medicine and Biomedical and Behavioral Research, Support of Eight President's Commission Commonwealth Fellows - Alexander Capron, 1980-1983	box 67	folder 493
Institute of Society, Ethics and Life Sciences (The Hastings Center) (ISEFS) - President's Commission for the Study of Ethical Problems in Medicine and Biomedical and Behavioral Research, Support of Eight President's Commission Commonwealth Fellows - Alexander Capron, 1980-1983	box 67	folder 494
International Study Group of the International Association for Adolescent Psychiatry and Allied Professions (IACAP) - Travel and Living Expenses Connected with Meetings in Stockholm and	box 67	folder 495
Nigeria and for Costs of Proposed Book on Conference Theme - "Children in Turmoil: Tomorrow's Parents" - Albert J. Solnit, 1980-1983		

and Living Expenses Connected with Meetings in Stockholm and Nigeria and for Costs of Proposed Book on Conference Theme
- "Children in Turmoil: Tomorrow's Parents" - Albert J. Solnit,

1980-1983			
International Study Group of the International Association for Adolescent Psychiatry and Allied Professions (IACAP) - Travel and Living Expenses Connected with Meetings in Stockholm and Nigeria and for Costs of Proposed Book on Conference Theme - "Children in Turmoil: Tomorrow's Parents" - Albert J. Solnit, 1980-1983	box 67	folder 497	
International Study Group of the International Association for Adolescent Psychiatry and Allied Professions (IACAP) - Travel and Living Expenses Connected with Meetings in Stockholm and Nigeria and for Costs of Proposed Book on Conference Theme - "Children in Turmoil: Tomorrow's Parents" - Albert J. Solnit, 1980-1983	box 67	folder 498	
International Center for Integrative Studies (The Door - A Center for Alternatives) (ICIS) - Evaluating Social and Medical Services to Troubled Youth - Charles D. Terry, 1980-1984	box 67	folder 499	
International Center for Integrative Studies (The Door - A Center for Alternatives) (ICIS) - Evaluating Social and Medical Services to Troubled Youth - Charles D. Terry, 1980-1984	box 68	folder 500	
International Center for Integrative Studies (The Door - A Center for Alternatives) (ICIS) - Evaluating Social and Medical Services to Troubled Youth - Charles D. Terry, 1980-1984	box 68	folder 501	
International Center for Integrative Studies (The Door - A Center for Alternatives) (ICIS) - Evaluating Social and Medical Services to Troubled Youth - Charles D. Terry, 1980-1984	box 68	folder 502	
International Women's Health Coalition (IWHC) - A Funding Source for Women's Health Programs in Developing Countries - Joan B. Dunlop, 1984-1986	box 68	folder 503	
International Women's Health Coalition (IWHC) - A Funding Source for Women's Health Programs in Developing Countries - Joan B. Dunlop, 1984-1986	box 68	folder 504	
International Women's Health Coalition (IWHC) - A Funding Source for Women's Health Programs in Developing Countries - Joan B. Dunlop, 1984-1986	box 68	folder 505	
University of Iowa - Developing A Comprehensive System of Publicly Supported Health Care Services for Mothers and Children in Iowa - Samuel Levey and John W. Colloton, 1982-1985	box 68	folder 506	
University of Iowa - Developing A Comprehensive System of Publicly Supported Health Care Services for Mothers and Children in Iowa - Samuel Levey and John W. Colloton, 1982-1985	box 69	folder 507	
University of Iowa - Developing A Comprehensive System of Publicly Supported Health Care Services for Mothers and	box 69	folder 508	

# Children in Iowa - Samuel Levey and John W. Colloton, 1982-1985

1902-1903		
University of Iowa - Developing A Comprehensive System of Publicly Supported Health Care Services for Mothers and Children in Iowa - Samuel Levey and John W. Colloton, 1982-1985	box 69	folder 509
University of Iowa - Developing A Comprehensive System of Publicly Supported Health Care Services for Mothers and Children in Iowa - Samuel Levey and John W. Colloton, 1982-1985	box 69	folder 510
University of Iowa - Developing A Comprehensive System of Publicly Supported Health Care Services for Mothers and Children in Iowa - Samuel Levey and John W. Colloton, 1982-1985	box 69	folder 511
University of Iowa - Developing A Comprehensive System of Publicly Supported Health Care Services for Mothers and Children in Iowa - Samuel Levey and John W. Colloton, 1982-1985	box 69	folder 512
University of Iowa - Developing A Comprehensive System of Publicly Supported Health Care Services for Mothers and Children in Iowa - Samuel Levey and John W. Colloton, 1982-1985	box 70	folder 513
University of Iowa - Executive Nurse Leadership Program to Improve the Management Skills of Nurses with Top Executive Potential - Marilyn T. Molen, 1983-1987	box 70	folder 514
University of Iowa - Executive Nurse Leadership Program to Improve the Management Skills of Nurses with Top Executive Potential - Marilyn T. Molen, 1983-1987	box 70	folder 515
University of Iowa - Executive Nurse Leadership Program to Improve the Management Skills of Nurses with Top Executive Potential - Marilyn T. Molen, 1983-1987	box 70	folder 516
University of Iowa - Executive Nurse Leadership Program (Phase Two) - Marilyn T. Molen, 1983-1984	box 70	folder 517
University of Iowa - Executive Nurse Leadership Program (Phase Two) - Marilyn T. Molen, 1983-1984	box 70	folder 518
Johns Hopkins Hospital - Strengthening the Fund's Program for Academic Health Centers - Robert M. Heyssel, 1982-1983	box 71	folder 519
Johns Hopkins Hospital - Strengthening the Fund's Program for Academic Health Centers - Robert M. Heyssel, 1982-1983	box 71	folder 520
Johns Hopkins Hospital - The Commonwealth Fund Task Force on Academic Health Centers - Robert M. Heyssel, 1982-1984	box 71	folder 521
Johns Hopkins Hospital - The Commonwealth Fund Task Force on Academic Health Centers - Robert M. Heyssel, 1982-1984	box 71	folder 522
Johns Hopkins Hospital - The Commonwealth Fund Task Force on Academic Health Centers - Robert M. Heyssel, 1982-1984	box 71	folder 523

Johns Hopkins Hospital - Program of Reports - Task Force on Academic Health Centers - Robert M. Heyssel, 1983-1987	box 72	folder 524	
Johns Hopkins Hospital - Program of Reports - Task Force on Academic Health Centers - Robert M. Heyssel, 1983-1987	box 72	folder 525	
Johns Hopkins Hospital - Program of Reports - Task Force on Academic Health Centers - Robert M. Heyssel, 1983-1987	box 72	folder 526	
Johns Hopkins Hospital - Program of Reports - Task Force on Academic Health Centers - Robert M. Heyssel, 1983-1987	box 72	folder 527	
Johns Hopkins Hospital - Program of Reports - Task Force on Academic Health Centers - Robert M. Heyssel, 1983-1987	box 72	folder 528	
Johns Hopkins Hospital - Program of Reports - Task Force on Academic Health Centers - Robert M. Heyssel, 1983-1987	box 72	folder 529	
Johns Hopkins Hospital - Program of Reports - The Commonwealth Fund Task Force on Academic Health Centers, 1984-1985 - Robert M. Heyssel, May-November, 1984	box 73	folder 530	
Johns Hopkins Hospital - Program of Reports - The Commonwealth Fund Task Force on Academic Health Centers, 1984-1985 - Robert M. Heyssel, May-November, 1984	box 73	folder 531	
Johns Hopkins Hospital - Program of Reports - The Commonwealth Fund Task Force on Academic Health Centers (Part Two) - Robert M. Heyssel, 1984-1985	box 73	folder 532	
Johns Hopkins Hospital - Program of Reports - The Commonwealth Fund Task Force on Academic Health Centers (Part Two) - Robert M. Heyssel, 1984-1985	box 73	folder 533	
Johns Hopkins Hospital - Program of Reports - The Commonwealth Fund Task Force on Academic Health Centers (Part Three) - Robert M. Heyssel, 1984-1988	box 73	folder 534	
Johns Hopkins Hospital - Program of Reports - The Commonwealth Fund Task Force on Academic Health Centers (Part Three) - Robert M. Heyssel, 1984-1988	box 73	folder 535	
Johns Hopkins Hospital - Program of Reports - The Commonwealth Fund Task Force on Academic Health Centers (Part Three) - Robert M. Heyssel, 1984-1988	box 73	folder 536	
Johns Hopkins Hospital - Program of Reports - The Commonwealth Fund Task Force on Academic Health Centers (Part Three) - Robert M. Heyssel, 1984-1988	box 73	folder 537	
Johns Hopkins Hospital - Program of Reports - The Commonwealth Fund Task Force on Academic Health Centers (Part Three) - Robert M. Heyssel, 1984-1988	box 74	folder 538	
Johns Hopkins Hospital - Program of Reports - The Commonwealth Fund Task Force on Academic Health Centers (Part Four) - Robert M. Heyssel, 1985-1987	box 74	folder 539	

Johns Hopkins Hospital - Program of Reports - The Commonwealth Fund Task Force on Academic Health Centers (Part Four) - Robert M. Heyssel, 1985-1987	box 74	folder 540	
Johns Hopkins Hospital - Program of Reports - The Commonwealth Fund Task Force on Academic Health Centers (Part Four) - Robert M. Heyssel, 1985-1987	box 74	folder 541	
Johns Hopkins Hospital - Program of Reports - The Commonwealth Fund Task Force on Academic Health Centers (Part Four) - Robert M. Heyssel, 1985-1987	box 74	folder 542	
Johns Hopkins Hospital - Program of Reports - The Commonwealth Fund Task Force on Academic Health Centers (Part Four) - Robert M. Heyssel, 1985-1987	box 74	folder 543	
Johns Hopkins University, School of Hygiene and Public Health - A Five-Year Follow-Up Study of Workers Who Stopped Drinking - Carl J. Schramm, 1981-1987	box 74	folder 544	
Johns Hopkins University, School of Hygiene and Public Health - A Five-Year Follow-Up Study of Workers Who Stopped Drinking - Carl J. Schramm, 1981-1987	box 75	folder 545	
Johns Hopkins University, School of Hygiene and Public Health - A Five-Year Follow-Up Study of Workers Who Stopped Drinking - Carl J. Schramm, 1981-1987	box 75	folder 546	
Johns Hopkins University, School of Hygiene and Public Health - A Five-Year Follow-Up Study of Workers Who Stopped Drinking - Carl J. Schramm, 1981-1987	box 75	folder 547	
Johns Hopkins University, School of Hygiene and Public Health - The Development of Options for Meeting the Needs of the Functionally Dependent Elderly - Karen Davis, 1981-1985	box 75	folder 548	
Johns Hopkins University, School of Hygiene and Public Health - The Development of Options for Meeting the Needs of the Functionally Dependent Elderly - Karen Davis, 1981-1985	box 75	folder 549	
Johns Hopkins University, School of Hygiene and Public Health - The Development of Options for Meeting the Needs of the Functionally Dependent Elderly - Karen Davis, 1981-1985	box 75	folder 550	
Johns Hopkins University, School of Hygiene and Public Health - The Development of Options for Meeting the Needs of the Functionally Dependent Elderly - Karen Davis, 1981-1985	box 75	folder 551	
Johns Hopkins University, School of Hygiene and Public Health - The Development of Options for Meeting the Needs of the Functionally Dependent Elderly - Karen Davis, 1981-1985	box 76	folder 552	
Johns Hopkins University, School of Medicine - Special Archival Development - A. McGehee Harvey, 1982-1984	box 76	folder 553	
Johns Hopkins University, School of Medicine - Special Archival Development - A. McGehee Harvey, 1982-1984	box 76	folder 554	

Johns Hopkins University, School of Medicine - Completion of a Review of The Commonwealth Fund's Past Activities in Medical Education and Research - A. McGehee Harvey, 1981-1986	box 76	folder 555	
Johns Hopkins University, School of Medicine - Completion of a Review of The Commonwealth Fund's Past Activities in Medical Education and Research - A. McGehee Harvey, 1981-1986	box 76	folder 556	
Johns Hopkins University, School of Medicine - Completion of a Review of The Commonwealth Fund's Past Activities in Medical Education and Research - A. McGehee Harvey, 1981-1986	box 76	folder 557	
Johns Hopkins University, School of Hygiene and Public Health - Improving Ambulatory Care for Children - Barbara Starfield, 1981-1985	box 77	folder 558	
Johns Hopkins University, School of Hygiene and Public Health - Improving Ambulatory Care for Children - Barbara Starfield, 1981-1985	box 77	folder 559	
Joint Diseases North General Hospital - Long-Range Planning for Joint Diseases North General Hospital - Eugene L. McCabe, 1981-1984	box 77	folder 560	
Joint Diseases North General Hospital - Long-Range Planning for Joint Diseases North General Hospital - Eugene L. McCabe, 1981-1984	box 77	folder 561	
Joint Diseases North General Hospital - Long-Range Planning for Joint Diseases North General Hospital - Eugene L. McCabe, 1981-1984	box 77	folder 562	
The Lab School of Washington - Development of Fund-Raising Capability - Sally L. Smith, 1982-1983	box 77	folder 563	
The Lab School of Washington - Development of Fund-Raising Capability - Sally L. Smith, 1982-1983	box 77	folder 564	
The Lab School of Washington - Development of Fund-Raising Capability - Sally L. Smith, 1982-1983	box 77	folder 565	
Lenox Hill Neighborhood Association, Inc. (LHNA) - Helping Families Obtain Appropriate Care for Frail Elderly Members - David J. Stern, 1983-1985	box 77	folder 566	
Lenox Hill Neighborhood Association, Inc. (LHNA) - Helping Families Obtain Appropriate Care for Frail Elderly Members - David J. Stern, 1983-1985	box 78	folder 567	
Lenox Hill Neighborhood Association, Inc. (LHNA) - Helping Families Obtain Appropriate Care for Frail Elderly Members - David J. Stern, 1983-1985	box 78	folder 568	
Lenox Hill Neighborhood Association, Inc. (LHNA) - Helping Families Obtain Appropriate Care for Frail Elderly Members - David J. Stern, 1983-1985	box 78	folder 569	
The Library of Congress - The Congressional Research Service Seminar Program in Health Policy - Ed Mason, 1984-1988	box 78	folder 570	

The Library of Congress - The Congressional Research Service Seminar Program in Health Policy - Ed Mason, 1984-1988	box 78	folder 571	
Louis Harris and Associates, Inc. (LHA) - The Nature and Extent of Problems New Yorkers Face in Seeking and Using Medical Care Services - Humphrey Taylor, 1981-1983	box 78	folder 572	
Louis Harris and Associates, Inc. (LHA) - The Nature and Extent of Problems New Yorkers Face in Seeking and Using Medical Care Services - Humphrey Taylor, 1981-1983	box 78	folder 573	
Louis Harris and Associates, Inc. (LHA) - The Nature and Extent of Problems New Yorkers Face in Seeking and Using Medical Care Services - Humphrey Taylor, 1981-1983	box 78	folder 574	
Louis Harris and Associates, Inc. (LHA) - The Nature and Extent of Problems New Yorkers Face in Seeking and Using Medical Care Services - Humphrey Taylor, 1981-1983	box 78	folder 575	
Louis Harris and Associates, Inc. (LHA) - The Nature and Extent of Problems New Yorkers Face in Seeking and Using Medical Care Services - Humphrey Taylor, 1981-1983	box 79	folder 576	
Louis Harris and Associates, Inc. (LHA) - The Nature and Extent of Problems New Yorkers Face in Seeking and Using Medical Care Services - Humphrey Taylor, 1981-1983	box 79	folder 577	
Louis Harris and Associates, Inc. (LHA) - What New Yorkers Say About Their Health Care-1985 (Part Two) - Humphrey Taylor, 1984-1986	box 79	folder 578	
Louis Harris and Associates, Inc. (LHA) - What New Yorkers Say About Their Health Care-1985 (Part Two) - Humphrey Taylor, 1984-1986	box 79	folder 579	
Louis Harris and Associates, Inc. (LHA) - New Yorkers' Views on Health Issues Affecting Them - Michael Kagay, 1984-1986	box 79	folder 580	
Louis Harris and Associates, Inc. (LHA) - New Yorkers' Views on Health Issues Affecting Them - Michael Kagay, 1984-1986	box 79	folder 581	
Louis Harris and Associates, Inc. (LHA) - New Yorkers' Views on Health Issues Affecting Them - Michael Kagay, 1984-1986	box 80	folder 582	
Louis Harris and Associates, Inc. (LHA) - New Yorkers' Views on Health Issues Affecting Them - Michael Kagay, 1984-1986	box 80	folder 583	
Marine Biological Laboratory (MBL) - Planning the Marine Biological Laboratory's Centennial - Paul R. Gross, 1983-1987	box 80	folder 584	
Marine Biological Laboratory (MBL) - Planning the Marine Biological Laboratory's Centennial - Paul R. Gross, 1983-1987	box 80	folder 585	
Marygrove College - Career Explorations 84 - A Summer Youth Employment and Career Planning Program - Sarah C. Reese, 1984-1985	box 80	folder 586	
Marygrove College - Career Explorations 84 - A Summer Youth Employment and Career Planning Program - Sarah C. Reese, 1984-1985	box 80	folder 587	

Massachusetts General Hospital (MGH) - Evaluation of Breast Cancer Treatments - Oliver Cope, 1980-1985	box 80	folder 588
Massachusetts General Hospital (MGH) - Evaluation of Breast Cancer Treatments - Oliver Cope, 1980-1985	box 80	folder 589
Maternity Center Association (MCA) - Recommendations on the Future of Nurse-Midwifery Education - Ruth W. Lubic, 1981-1983	box 80	folder 590
Maternity Center Association (MCA) - Recommendations on the Future of Nurse-Midwifery Education - Ruth W. Lubic, 1981-1983	box 80	folder 591
Maternity Center Association (MCA) - Accreditation Program for Birthing Centers - Ruth Watson Lubic, 1984-1986	box 81	folder 592
Maternity Center Association (MCA) - Accreditation Program for Birthing Centers - Ruth Watson Lubic, 1984-1986	box 81	folder 593
Medicine in the Public Interest, Inc. (MPI) - Conference on Current Conceptions of "A Person" and its Implications for Medical, Social and Legal Issues - Ann Castle, 1981-1983	box 81	folder 594
Medicine in the Public Interest, Inc. (MPI) - Conference on Current Conceptions of "A Person" and its Implications for Medical, Social and Legal Issues - Ann Castle, 1981-1983	box 81	folder 595
Medicine in the Public Interest, Inc. (MPI) - Conference on Current Conceptions of "A Person" and its Implications for Medical, Social and Legal Issues - Ann Castle, 1981-1983	box 81	folder 596
Meharry Medical College (MMC) - Strengthening the Managerial Capacity of Meharry Medical Capacity - Richard G. Lester and Fred D. Poellnitz, 1981-1985	box 81	folder 597
Meharry Medical College (MMC) - Strengthening the Managerial Capacity of Meharry Medical Capacity - Richard G. Lester and Fred D. Poellnitz, 1981-1985	box 81	folder 598
Meharry Medical College (MMC) - Strengthening the Managerial Capacity of Meharry Medical Capacity - Richard G. Lester and Fred D. Poellnitz, 1981-1985	box 81	folder 599
Meharry Medical College (MMC) - Strengthening the Managerial Capacity of Meharry Medical Capacity - Richard G. Lester and Fred D. Poellnitz, 1981-1985	box 81	folder 600
Meharry Medical College (MMC) - Strengthening the Managerial Capacity of Meharry Medical Capacity - Richard G. Lester and Fred D. Poellnitz, 1981-1985	box 82	folder 601
Meharry Medical College (MMC) - Strengthening the Managerial Capacity of Meharry Medical Capacity - Richard G. Lester and Fred D. Poellnitz, 1981-1985	box 82	folder 602
Meharry Medical College (MMC) - Strengthening the Managerial Capacity of Meharry Medical Capacity - Richard G. Lester and Fred D. Poellnitz, 1981-1985	box 82	folder 603

Meharry Medical College (MMC) - Strengthening the Managerial Capacity of Meharry Medical Capacity - Richard G. Lester and Fred D. Poellnitz, 1981-1985	box 82	folder 604	
University of Michigan - Instructional Modules for Teaching Quality Assessment of Medical Care - Avedis Donabedian, 1980-1985	box 82	folder 605	
University of Michigan - Instructional Modules for Teaching Quality Assessment of Medical Care - Avedis Donabedian, 1980-1985	box 82	folder 606	
University of Michigan - Instructional Modules for Teaching Quality Assessment of Medical Care - Avedis Donabedian, 1980-1985	box 82	folder 607	
University of Michigan - Instructional Modules for Teaching Quality Assessment of Medical Care - Avedis Donabedian, 1980-1985	box 83	folder 608	
University of Missouri-Kansas City - Career Explorations in Kansas City, MO - A Summer Youth Employment and Career Planning Program - Loren Jamerson, 1984-1986	box 83	folder 609	
University of Missouri-Kansas City - Career Explorations in Kansas City, MO - A Summer Youth Employment and Career Planning Program - Loren Jamerson, 1984-1986	box 83	folder 610	
Montefiore Medical Center - A Prepaid Medical Care System for Community Health Centers in the Bronx, New York - Mo Katz, 1982-1985	box 83	folder 611	
Montefiore Medical Center - A Prepaid Medical Care System for Community Health Centers in the Bronx, New York - Mo Katz, 1982-1985	box 83	folder 612	
Montefiore Medical Center - A Prepaid Medical Care System for Community Health Centers in the Bronx, New York - Mo Katz, 1982-1985	box 83	folder 613	
Montefiore Medical Center - A Prepaid Medical Care System for Community Health Centers in the Bronx, New York - Mo Katz, 1982-1985	box 84	folder 614	
Montefiore Medical Center - A Prepaid Medical Care System for Community Health Centers in the Bronx, New York - Mo Katz, 1982-1985	box 84	folder 615	
Montefiore Medical Center - A Prepaid Medical Care System for Community Health Centers in the Bronx, New York - Mo Katz, 1982-1985	box 84	folder 616	
Montefiore Medical Center - A Prepaid Medical Care System for Community Health Centers in the Bronx, New York - Mo Katz, 1982-1985	box 84	folder 617	
Montefiore Medical Center - "Project Hunger Watch" in New York State - Victor W. Sidel, 1982-1986	box 84	folder 618	

Montefiore Medical Center - "Project Hunger Watch" in New York State - Victor W. Sidel, 1982-1986	box 84	folder 619	
Montefiore Medical Center - "Project Hunger Watch" in New York State - Victor W. Sidel, 1982-1986	box 84	folder 620	
Montefiore Medical Center - "Project Hunger Watch" in New York State - Victor W. Sidel, 1982-1986	box 85	folder 621	
Montefiore Medical Center - An Acute Home Care Demonstration Program - Mo Katz, 1983-1984	box 85	folder 622	
Montefiore Medical Center - An Acute Home Care Demonstration Program - Mo Katz, 1983-1984	box 85	folder 623	
Mount Sinai School of Medicine - Development of a "Health Data Resource Book" on the East Harlem Area - Michael N. Mulvihill, 1980-1983	box 85	folder 624	
Mount Sinai School of Medicine - Development of a "Health Data Resource Book" on the East Harlem Area - Michael N. Mulvihill, 1980-1983	box 85	folder 625	
Mount Sinai School of Medicine - Development of a "Health Data Resource Book" on the East Harlem Area - Michael N. Mulvihill, 1980-1983	box 85	folder 626	
Mount Sinai School of Medicine - Inventory of Medical Student Research Projects in the Area of Community Medicine - Kurt Deuschle, 1982-1985	box 85	folder 627	
Mount Sinai School of Medicine - Inventory of Medical Student Research Projects in the Area of Community Medicine - Kurt Deuschle, 1982-1985	box 85	folder 628	
National Academy of Sciences (NAS) - Study Project and Report on the Atomic Bomb Casualty Commission - John Z. Bowers, 1981-1984	box 85	folder 629	
National Academy of Sciences (NAS) - Study Project and Report on the Atomic Bomb Casualty Commission - John Z. Bowers, 1981-1984	box 85	folder 630	
National Academy of Sciences, Institute of Medicine (NAS) - A Board on Priorities to Improve the Health of Children - Frederick C. Robbins, 1981-1984	box 86	folder 631	
National Academy of Sciences, Institute of Medicine (NAS) - A Board on Priorities to Improve the Health of Children - Frederick C. Robbins, 1981-1984	box 86	folder 632	
National Academy of Sciences, Institute of Medicine (NAS) - Conferences and Reports on Issues and Problems in Health and Medicine - Frederick C. Robbins, 1981-1984	box 86	folder 633	
National Academy of Sciences, Institute of Medicine (NAS) - Conferences and Reports on Issues and Problems in Health and Medicine - Frederick C. Robbins, 1981-1984	box 86	folder 634	

National Academy of Sciences, Institute of Medicine (NAS) - Conferences and Reports on Issues and Problems in Health and Medicine - Frederick C. Robbins, 1981-1984	box 86	folder 635	
National Academy of Sciences, Institute of Medicine (NAS) - Conferences and Reports on Issues and Problems in Health and Medicine - Frederick C. Robbins, 1981-1984	box 86	folder 636	
National Academy of Sciences, Institute of Medicine (NAS) - Planning Activities in the Area of Technology Assessment in the Health Sector - Enriqueta C. Bond, 1982-1984	box 86	folder 637	
National Academy of Sciences, Institute of Medicine (NAS) - Planning Activities in the Area of Technology Assessment in the Health Sector - Enriqueta C. Bond, 1982-1984	box 86	folder 638	
National Academy of Sciences, Institute of Medicine (NAS) - Planning Activities in the Area of Technology Assessment in the Health Sector - Enriqueta C. Bond, 1982-1984	box 87	folder 639	
National Academy of Sciences, Institute of Medicine (NAS) - Planning Activities in the Area of Technology Assessment in the Health Sector - Enriqueta C. Bond, 1982-1984	box 87	folder 640	
National Academy of Sciences, Institute of Medicine (NAS) - Improving the Health of Children - Frederick C. Robbins, 1982-1985	box 87	folder 641	
National Academy of Sciences, Institute of Medicine (NAS) - Improving the Health of Children - Frederick C. Robbins, 1982-1985	box 87	folder 642	
National Academy of Sciences, Institute of Medicine (NAS) - Improving the Health of Children - Frederick C. Robbins, 1982-1985	box 87	folder 643	
National Academy of Sciences, Institute of Medicine (NAS) - Improving the Health of Children - Frederick C. Robbins, 1982-1985	box 87	folder 644	
National Academy of Sciences, Institute of Medicine (NAS) - Improving the Health of Children - Frederick C. Robbins, 1982-1985	box 88	folder 645	
National Academy of Sciences, Institute of Medicine (NAS) - A Review of the Institute of Medicine - Philip M. Smith, 1984-1985	box 88	folder 646	
National Academy of Sciences, Institute of Medicine (NAS) - A Review of the Institute of Medicine - Philip M. Smith, 1984-1985	box 88	folder 647	
National Academy of Sciences, Institute of Medicine (NAS) - A Review of the Institute of Medicine - Philip M. Smith, 1984-1985	box 88	folder 648	
National Academy of Sciences, Institute of Medicine (NAS) - A Review of the Institute of Medicine - Philip M. Smith, 1984-1985	box 88	folder 649	
National Center for Health Education, Inc. (NCHE) - Examination of the Management Structure and Program Priorities of the National Center for Health Education - Clarence E. Pearson, 1983-1984	box 88	folder 650	
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box 88	folder 651	
box 88	folder 652	
box 88	folder 653	
box 88	folder 654	
box 89	folder 655	
box 89	folder 656	
box 89	folder 657	
box 89	folder 658	
box 89	folder 659	
box 89	folder 660	
	box 88 box 88 box 89 box 89 box 89 box 89	box 88 folder 652  box 88 folder 653  box 89 folder 655  box 89 folder 656  box 89 folder 657  box 89 folder 658

### **Related Archival Materials note**

Grossman, 1981-1985

See also Box 125 for audiotape "Remember When" and videocassette "Before You Take It, Talk About It."

National Council on Patient Information and Education (NCPIE) - Improving the Use of Prescription Medicines by the Elderly - Robert M. Bachman, 1984-1988	box 89	folder 661	
Related Archival Materials note: Related Archival Materials note			
See also Box 125 for audiotape "Remember When" and videocassette "Before You Take It, Talk About It."			
National Council on Patient Information and Education (NCPIE) - Improving the Use of Prescription Medicines by the Elderly - Robert M. Bachman, 1984-1988	box 90	folder 662	
Related Archival Materials note: Related Archival Materials note			
See also Box 125 for audiotape "Remember When" and videocassette "Before You Take It, Talk About It."			
National Council on Patient Information and Education (NCPIE) - Improving the Use of Prescription Medicines by the Elderly - Robert M. Bachman, 1984-1988	box 90	folder 663	
Related Archival Materials note: Related Archival Materials note			
See also Box 125 for audiotape "Remember When" and videocassette "Before You Take It, Talk About It."			
National Institute on Aging (NIA) - National Institute on Aging Tenth Anniversary Collection - T. Franklin Williams, 1984-1987	box 90	folder 664	
National Institute on Aging (NIA) - National Institute on Aging Tenth Anniversary Collection - T. Franklin Williams, 1984-1987	box 90	folder 665	
National Institute on Aging (NIA) - National Institute on Aging Tenth Anniversary Collection - T. Franklin Williams, 1984-1987	box 90	folder 666	
New England Medical Center (NEMC) - Collaborative Effort Among Eight Teaching Hospitals to Develop A Better Medical Care System for Patients Covered By Medicaid - Jerome H. Grossman, 1981-1985	box 90	folder 667	
New England Medical Center (NEMC) - Collaborative Effort Among Eight Teaching Hospitals to Develop A Better Medical Care System for Patients Covered By Medicaid - Jerome H. Grossman, 1981-1985	box 90	folder 668	
New England Medical Center (NEMC) - Collaborative Effort Among Eight Teaching Hospitals to Develop A Better Medical Care System for Patients Covered By Medicaid - Jerome H.	box 90	folder 669	

New England Medical Center (NEMC) - Collaborative Effort Among Eight Teaching Hospitals to Develop A Better Medical Care System for Patients Covered By Medicaid - Jerome H. Grossman, 1981-1985	box 91	folder 670
New England Medical Center (NEMC) - Collaborative Effort Among Eight Teaching Hospitals to Develop A Better Medical Care System for Patients Covered By Medicaid - Jerome H. Grossman, 1981-1985	box 91	folder 671
New England Medical Center (NEMC) - Collaborative Effort Among Eight Teaching Hospitals to Develop A Better Medical Care System for Patients Covered By Medicaid - Jerome H. Grossman, 1981-1985	box 91	folder 672
New England Medical Center (NEMC) - Collaborative Effort Among Eight Teaching Hospitals to Develop A Better Medical Care System for Patients Covered By Medicaid - Jerome H. Grossman, 1981-1985	box 91	folder 673
New England Medical Center (NEMC) - Collaborative Effort Among Eight Teaching Hospitals to Develop A Better Medical Care System for Patients Covered By Medicaid - Jerome H. Grossman, 1981-1985	box 91	folder 674
University of Medicine and Dentistry of New Jersey (UMD) - Preparing for the Consolidation of Two Major Medical Institutions in Newark, New Jersey - Stanley S. Bergan and Norman S. Smith, 1984-1988	box 91	folder 675
University of Medicine and Dentistry of New Jersey (UMD) - Preparing for the Consolidation of Two Major Medical Institutions in Newark, New Jersey - Stanley S. Bergan and Norman S. Smith, 1984-1988	box 91	folder 676
University of Medicine and Dentistry of New Jersey (UMD) - Preparing for the Consolidation of Two Major Medical Institutions in Newark, New Jersey - Stanley S. Bergan and Norman S. Smith, 1984-1988	box 92	folder 677
New School For Social Research (NSSR) - An Advisory Committee on Issues Promoting Family Stability - Blanche Berstein, 1982-1983	box 92	folder 678
New School For Social Research (NSSR) - An Advisory Committee on Issues Promoting Family Stability - Blanche Berstein, 1982-1983	box 92	folder 679
New York Academy of Medicine - Support for the Academy Library - Duncan W. Clark, 1984-1986	box 92	folder 680
New York Academy of Medicine - Support for the Academy Library - Duncan W. Clark, 1984-1986	box 92	folder 681
New York Academy of Medicine - Support for the Academy Library - Duncan W. Clark, 1984-1986	box 92	folder 682

New York City School Volunteer Program, Inc Volunteer Tutoring of New York City Public School Pupils - Mildred E. Jones, 1983-1985	box 92	folder 683	
New York City School Volunteer Program, Inc Volunteer Tutoring of New York City Public School Pupils - Mildred E. Jones, 1983-1985	box 92	folder 684	
New York City School Volunteer Program, Inc Volunteer Tutoring of New York City Public School Pupils - Mildred E. Jones, 1983-1985	box 92	folder 685	
New York Hospital (NYH) - Commonwealth Fund Forum, "Medical Care for the Poor: What Can States Do in the 1980's?" - Michael Sniffen, 1981-1983	box 92	folder 686	
Related Archival Materials note:			
Related Archival Materials note			
AUDIO TAPES placed in box 126.			
New York Hospital (NYH) - Commonwealth Fund Forum, "Medical Care for the Poor: What Can States Do in the 1980's?" - Michael Sniffen, 1981-1983	box 92	folder 687	
Related Archival Materials note: Related Archival Materials note			
AUDIO TAPES placed in box 126.			
New York Hospital (NYH) - Commonwealth Fund Forum, "Medical Care for the Poor: What Can States Do in the 1980's?" - Michael Sniffen, 1981-1983	box 93	folder 688	
Related Archival Materials note:			
Related Archival Materials note			
AUDIO TAPES placed in box 126.			
New York Interface Development Project, Inc., New Ventures (NYIDP) - Establishment of New Ventures, A Non-Profit Consulting Firm Committed to Assisting Non-Profits - Edward Skloot, 1980-1984	box 93	folder 689	
New York Interface Development Project, Inc., New Ventures (NYIDP) - Establishment of New Ventures, A Non-Profit Consulting Firm Committed to Assisting Non-Profits - Edward Skloot, 1980-1984	box 93	folder 690	
New York Landmarks Conservancy (NYLC) - Preservation of Historic New York City Buildings, Grant #6984 - Laurie Beckelman, 1983-1984	box 93	folder 691	
New York Landmarks Conservancy (NYLC) - Preservation of Historic New York City Buildings, Grant #6984 - Laurie Beckelman, 1983-1984	box 93	folder 692	

New York Landmarks Conservancy (NYLC) - Preservation of Historic New York City Buildings, Grant #6984 - Laurie Beckelman, 1983-1984	box 93	folder 693	
New York Landmarks Conservancy (NYLC) - Preservation of Historic New York City Buildings, Grant #7773 - Laurie Beckelman, 1983-1985	box 93	folder 694	
New York Landmarks Conservancy (NYLC) - Preservation of Historic New York City Buildings, Grant #7773 - Laurie Beckelman, 1983-1985	box 93	folder 695	
The New York Public Library (NYPL) - Support for the New York Public Library System - Vartan Gregorian and Gregory R. Long, 1984-1987	box 93	folder 696	
The New York Public Library (NYPL) - Support for the New York Public Library System - Vartan Gregorian and Gregory R. Long, 1984-1987	box 94	folder 697	
New York Regional Association of Grantmakers (NYRAG) - Annual Membership Dues - Barrie M. Pribyl, 1981-1983	box 94	folder 698	
New York Regional Association of Grantmakers (NYRAG) - Annual Membership Dues - Barrie M. Pribyl, 1981-1983	box 94	folder 699	
New York Regional Association of Grantmakers (NYRAG) - General Support, Grant #6577 - Barrie M. Pribyl, 1983-1984	box 94	folder 700	
New York Regional Association of Grantmakers (NYRAG) - General Support, Grant #6577 - Barrie M. Pribyl, 1983-1984	box 94	folder 701	
New York Regional Association of Grantmakers (NYRAG) - General Support, Grant #6957 - Barrie M. Pribyl, 1984-1985	box 94	folder 702	
New York Regional Association of Grantmakers (NYRAG) - General Support, Grant #6957 - Barrie M. Pribyl, 1984-1985	box 94	folder 703	
New York Regional Association of Grantmakers (NYRAG) - General Support, Grant #6957 - Barrie M. Pribyl, 1984-1985	box 94	folder 704	
New York Regional Association of Grantmakers (NYRAG) - General Support, Grant #7703 - Barrie M. Pribyl, 1984-1986	box 94	folder 705	
New York Regional Association of Grantmakers (NYRAG) - General Support, Grant #7703 - Barrie M. Pribyl, 1984-1986	box 94	folder 706	
New York University, Graduate School of Public Administration (NYU) - Develop an Annual Report on the Financial Condition of Hospitals in New York City - Charles Brecher, January-December, 1981	box 94	folder 707	
New York University, Graduate School of Public Administration (NYU) - Further Development of an Annual Report on the Financial Condition of Hospitals in New York City - Charles Brecher, 1981-1982	box 95	folder 708	
New York University, Graduate School of Public Administration (NYU) - Further Development of an Annual Report on the	box 95	folder 709	

Financial Condition of Hospitals in New York City - Charles Brecher, 1981-1982			
New York University, Graduate School of Public Administration (NYU) - Examination of the Effect of Community Attitudes on New York City Policies for Sheltering the Homeless - Kristina Ford and Allen E. Claxton, 1981-1983	box 95	folder 710	
New York University, Graduate School of Public Administration (NYU) - Examination of the Effect of Community Attitudes on New York City Policies for Sheltering the Homeless - Kristina Ford and Allen E. Claxton, 1981-1983	box 95	folder 711	
New York University, Graduate School of Public Administration (NYU) - Examination of the Effect of Community Attitudes on New York City Policies for Sheltering the Homeless - Kristina Ford and Allen E. Claxton, 1981-1983	box 95	folder 712	
New York University, Graduate School of Public Administration (NYU) - Reporting on the Financial Condition of Voluntary Hospitals in New York City - Charles Brecher, 1982-1985	box 95	folder 713	
New York University, Graduate School of Public Administration (NYU) - Reporting on the Financial Condition of Voluntary Hospitals in New York City - Charles Brecher, 1982-1985	box 95	folder 714	
New York University, Graduate School of Public Administration (NYU) - Reporting on the Financial Condition of Voluntary Hospitals in New York City - Charles Brecher, 1982-1985	box 95	folder 715	
New York University, Graduate School of Public Administration (NYU) - Faculty Development in Health Policy and Management - Anthony R. Kovner, 1984-1985	box 96	folder 716	
New York University, Graduate School of Public Administration (NYU) - Faculty Development in Health Policy and Management - Anthony R. Kovner, 1984-1985	box 96	folder 717	
New York Zoological Society (NYZS) - Developing a Children's Zoo for Brooklyn - William Conway, 1982-1987	box 96	folder 718	
Related Archival Materials note: Related Archival Materials note			
See also Box 125 for sketches.			
New York Zoological Society (NYZS) - Developing a Children's Zoo for Brooklyn - William Conway, 1982-1987 Related Archival Materials note:	box 96	folder 719	
Related Archival Materials note			
See also Box 125 for sketches.			
New York Zoological Society (NYZS) - Developing a Children's	box 96	folder 720	

- Page 287-

Zoo for Brooklyn - William Conway, 1982-1987

Related Archival Materials note:

### **Related Archival Materials note**

See also Box 125 for sketches.

New York Zoological Society (NYZS) - Developing a Children's Zoo for Brooklyn - William Conway, 1982-1987	box 96	folder 721	
Related Archival Materials note: Related Archival Materials note			
See also Box 125 for sketches.			
Non-Profit Coordinating Committee of New York (NCC) - General Support - Lisa Semple, 1985-1987	box 96	folder 722	
Non-Profit Coordinating Committee of New York (NCC) - General Support - Lisa Semple, 1985-1987	box 96	folder 723	
University of North Carolina, School of Public Health (UNC) - Dissemination of the Findings of the Select Panel for the Promotion of Child Health - C. Arden Miller, 1980-1982	box 96	folder 724	
University of North Carolina, School of Public Health (UNC) - Biostatistics Summer Program for Minorities - Bernard G. Greenberg, 1981-1982	box 96	folder 725	
University of North Carolina, School of Medicine (UNC) - Support of an Anglo-American Symposium on Health Policy and Health Care Services - Christopher C. Fordham, III, 1982-1984	box 97	folder 726	
University of North Carolina, School of Medicine (UNC) - Support of an Anglo-American Symposium on Health Policy and Health Care Services - Christopher C. Fordham, III, 1982-1984	box 97	folder 727	
University of North Carolina, Chapel Hill (UNC) - Development of A Data Management System for the Child Health Outcomes Project - C. Arden Miller, 1983-1986	box 97	folder 728	
University of North Carolina, Chapel Hill (UNC) - Development of A Data Management System for the Child Health Outcomes Project - C. Arden Miller, 1983-1986	box 97	folder 729	
University of North Carolina, Chapel Hill (UNC) - Development of A Data Management System for the Child Health Outcomes Project - C. Arden Miller, 1983-1986	box 97	folder 730	
University of North Carolina, Chapel Hill (UNC) - Development of A Data Management System for the Child Health Outcomes Project - C. Arden Miller, 1983-1986	box 97	folder 731	
Northwestern University - Development of Approaches to Care for the Indigent in a Competitive Health Services Economy - Walter J. McNerney, 1983-1986	box 97	folder 732	
Northwestern University - Development of Approaches to Care for the Indigent in a Competitive Health Services Economy - Walter J. McNerney, 1983-1986	box 97	folder 733	

Older Women's League (OWL) - Midlife Planning for Women - Tish Sommers, 1981-1984	box 97	folder 734
Older Women's League (OWL) - Midlife Planning for Women - Tish Sommers, 1981-1984	box 97	folder 735
Older Women's League (OWL) - Midlife Planning for Women - Tish Sommers, 1981-1984	box 98	folder 736
Older Women's League (OWL) - Midlife Planning for Women - Tish Sommers, 1981-1984	box 98	folder 737
Older Women's League (OWL) - Midlife Planning for Women - Tish Sommers, 1981-1984	box 98	folder 738
Oregon Bioethics Conference, Inc. (OBC) - Ethics in Health Care - Ralph Crawshaw, 1984-1986	box 98	folder 739
Oregon Bioethics Conference, Inc. (OBC) - Ethics in Health Care - Ralph Crawshaw, 1984-1986	box 98	folder 740
Oregon Bioethics Conference, Inc. (OBC) - Ethics in Health Care - Ralph Crawshaw, 1984-1986	box 98	folder 741
Ounce of Prevention Fund (OPF) - A High School Program to Reduce Teenage Pregnancies - Judith S. Musick, 1984-1989	box 98	folder 742
Ounce of Prevention Fund (OPF) - A High School Program to Reduce Teenage Pregnancies - Judith S. Musick, 1984-1989	box 99	folder 743
Patmos Associates, Ltd Organizational, Management and Resource Development Services for Community-Based Nonprofit Organizations - James W. Bergland, 1984-1986	box 99	folder 744
Patmos Associates, Ltd Organizational, Management and Resource Development Services for Community-Based Nonprofit Organizations - James W. Bergland, 1984-1986	box 99	folder 745
University of Pennsylvania, Wharton School - How Life Care Communities Work and How to Help Them Survive - Howard E. Winklevoss, 1980-1985	box 99	folder 746
University of Pennsylvania, Wharton School - How Life Care Communities Work and How to Help Them Survive - Howard E. Winklevoss, 1980-1985	box 99	folder 747
University of Pennsylvania, Wharton School - How Life Care Communities Work and How to Help Them Survive - Howard E. Winklevoss, 1980-1985	box 99	folder 748
University of Pennsylvania, Wharton School - How Life Care Communities Work and How to Help Them Survive - Howard E. Winklevoss, 1980-1985	box 99	folder 749
University of Pennsylvania, Wharton School - How Life Care Communities Work and How to Help Them Survive - Howard E. Winklevoss, 1980-1985	box 100	folder 750

University of Pennsylvania, Wharton School - How Life Care Communities Work and How to Help Them Survive - Howard E. Winklevoss, 1980-1985	box 100	folder 751	
University of Pennsylvania, Wharton School - How Life Care Communities Work and How to Help Them Survive - Howard E. Winklevoss, 1980-1985	box 100	folder 752	
University of Pennsylvania, Wharton School - How Life Care Communities Work and How to Help Them Survive - Howard E. Winklevoss, 1980-1985	box 100	folder 753	
University of Pennsylvania, Wharton School - How Life Care Communities Work and How to Help Them Survive - Howard E. Winklevoss, 1980-1985	box 100	folder 754	
University of Pennsylvania - Foundation Support for Science - Robert E. Kohler and Anthony Merrit, 1982-1984	box 101	folder 755	
University of Pennsylvania - Foundation Support for Science - Robert E. Kohler and Anthony Merrit, 1982-1984	box 101	folder 756	
University of Pennsylvania - Determining Factors Affecting Economic Independence and Family Stability for Teenage Mothers, Grant #6292 - Frank F. Furstenberg, Jr., 1982-1983	box 101	folder 757	
University of Pennsylvania - Determining Factors Affecting Economic Independence and Family Stability for Teenage Mothers, Grant #6292 - Frank F. Furstenberg, Jr., 1982-1983	box 101	folder 758	
University of Pennsylvania - Determining Factors Affecting Economic Independence and Family Stability for Teenage Mothers, Grant #6291 - Frank F. Furstenberg, Jr., 1982-1988	box 101	folder 759	
University of Pennsylvania - Determining Factors Affecting Economic Independence and Family Stability for Teenage Mothers, Grant #6291 - Frank F. Furstenberg, Jr., 1982-1988	box 101	folder 760	
University of Pennsylvania - Determining Factors Affecting Economic Independence and Family Stability for Teenage Mothers, Grant #6291 - Frank F. Furstenberg, Jr., 1982-1988	box 101	folder 761	
University of Pennsylvania - Determining Factors Affecting Economic Independence and Family Stability for Teenage Mothers, Grant #6291 - Frank F. Furstenberg, Jr., 1982-1988	box 101	folder 762	
University of Pennsylvania - Determining Factors Affecting Economic Independence and Family Stability for Teenage Mothers, Grant #6291 - Frank F. Furstenberg, Jr., 1982-1988	box 101	folder 763	
University of Pennsylvania - Determining Factors Affecting Economic Independence and Family Stability for Teenage Mothers, Grant #6291 - Frank F. Furstenberg, Jr., 1982-1988	box 102	folder 764	
University of Pennsylvania - Determining Factors Affecting Economic Independence and Family Stability for Teenage Mothers, Grant #6291 - Frank F. Furstenberg, Jr., 1982-1988	box 102	folder 765	

University of Pennsylvania - Determining Factors Affecting Economic Independence and Family Stability for Teenage Mothers, Grant #6291 - Frank F. Furstenberg, Jr., 1982-1988	box 102	folder 766
University of Pennsylvania - History of The Commonwealth Fund - Rosemary Stevens and Margo Horn, 1984-1990	box 102	folder 767
University of Pennsylvania - History of The Commonwealth Fund - Rosemary Stevens and Margo Horn, 1984-1990	box 102	folder 768
University of Pennsylvania - History of The Commonwealth Fund - Rosemary Stevens and Margo Horn, 1984-1990	box 102	folder 769
Philadelphia Department of Public Health (PDPH) - Developing More Effective Ways of Using Available Public Funds to Provide Health Services in Large Cities - Stuart H. Shapiro, 1981-1985	box 103	folder 770
Philadelphia Department of Public Health (PDPH) - Developing More Effective Ways of Using Available Public Funds to Provide Health Services in Large Cities - Stuart H. Shapiro, 1981-1985	box 103	folder 771
Philadelphia Department of Public Health (PDPH) - Developing More Effective Ways of Using Available Public Funds to Provide Health Services in Large Cities - Stuart H. Shapiro, 1981-1985	box 103	folder 772
Philadelphia Department of Public Health (PDPH) - Developing More Effective Ways of Using Available Public Funds to Provide Health Services in Large Cities - Stuart H. Shapiro, 1981-1985	box 103	folder 773
Philadelphia Department of Public Health (PDPH) - Developing More Effective Ways of Using Available Public Funds to Provide Health Services in Large Cities - Stuart H. Shapiro, 1981-1985	box 103	folder 774
Philadelphia Department of Public Health (PDPH) - Developing More Effective Ways of Using Available Public Funds to Provide Health Services in Large Cities - Stuart H. Shapiro, 1981-1985	box 103	folder 775
Philadelphia Department of Public Health (PDPH) - Developing More Effective Ways of Using Available Public Funds to Provide Health Services in Large Cities - Stuart H. Shapiro, 1981-1985	box 104	folder 776
Philadelphia Department of Public Health (PDPH) - Developing More Effective Ways of Using Available Public Funds to Provide Health Services in Large Cities - Stuart H. Shapiro, 1981-1985	box 104	folder 777
The Physicians Forum, Inc Activities to Commemorate the 50th Anniversary of the Committee on the Costs of Medical Care - Andrew W. Nichols, 1982-1983	box 104	folder 778
The Physicians Forum, Inc Activities to Commemorate the 50th Anniversary of the Committee on the Costs of Medical Care - Andrew W. Nichols, 1982-1983	box 104	folder 779
Planned Parenthood of New York City, Inc Reorganization of Public Family Planning Clinics in New York City - Peter J. Purdy, 1982-1986	box 104	folder 780
Planned Parenthood of New York City, Inc Reorganization of Public Family Planning Clinics in New York City - Peter J. Purdy, 1982-1986	box 104	folder 781

Planned Parenthood of New York City, Inc Reorganization of Public Family Planning Clinics in New York City - Peter J. Purdy, 1982-1986	box 104	folder 782
Planned Parenthood of New York City, Inc Reorganization of Public Family Planning Clinics in New York City - Peter J. Purdy, 1982-1986	box 105	folder 783
Public/Private Ventures (PPV) - Facilitating Replication of the "Career Explorations" Program - Wendy C. Wolf, 1984-1986	box 105	folder 784
Public/Private Ventures (PPV) - Facilitating Replication of the "Career Explorations" Program - Wendy C. Wolf, 1984-1986	box 105	folder 785
Public/Private Ventures (PPV) - Facilitating Replication of the "Career Explorations" Program - Wendy C. Wolf, 1984-1986	box 105	folder 786
Public/Private Ventures (PPV) - Facilitating Replication of the "Career Explorations" Program - Wendy C. Wolf, 1984-1986	box 105	folder 787
The Rand Corporation - A Plan for a National Research Strategy That Would Lead to a More Discerning Use of Medical Technology - Robert H. Brook, 1980-1988	box 105	folder 788
The Rand Corporation - Further Investigative Work Toward A More Rational Use of Medical Services - Robert H. Brook, 1981-1988	box 106	folder 789
The Rand Corporation - Further Investigative Work Toward A More Rational Use of Medical Services - Robert H. Brook, 1981-1988	box 106	folder 790
The Rand Corporation - Further Investigative Work Toward A More Rational Use of Medical Services - Robert H. Brook, 1981-1988	box 106	folder 791
The Rand Corporation - Towards A More Rational Use of Medical Services, Grant #6317 - Robert H. Brook, 1982-1988	box 106	folder 792
The Rand Corporation - Towards A More Rational Use of Medical Services, Grant #6317 - Robert H. Brook, 1982-1988	box 106	folder 793
The Rand Corporation - Towards A More Rational Use of Medical Services, Grant #6317 - Robert H. Brook, 1982-1988	box 106	folder 794
The Rand Corporation - Towards A More Rational Use of Medical Services, Grant #6317 - Robert H. Brook, 1982-1988	box 106	folder 795
The Rand Corporation - Towards A More Rational Use of Medical Services, Grant #6317 - Robert H. Brook, 1982-1988	box 107	folder 796
The Rand Corporation - Towards A More Rational Use of Medical Services, Grant #6317 - Robert H. Brook, 1982-1988	box 107	folder 797
The Rand Corporation - Towards A More Rational Use of Medical Services, Grant #6317 - Robert H. Brook, 1982-1988	box 107	folder 798
The Rand Corporation - Towards A More Rational Use of Medical Services, Grant #6317 - Robert H. Brook, 1982-1988	box 107	folder 799

The Rand Corporation - Towards A More Rational Use of Medical Services, Grant #6317 - Robert H. Brook, 1982-1988	box 107	folder 800	
The Rand Corporation - Towards A More Rational Use of Medical Services, Grant #6317 - Robert H. Brook, 1982-1988	box 107	folder 801	
The Rand Corporation - Towards A More Rational Use of Medical Services, Grant #6317 - Robert H. Brook, 1982-1988	box 108	folder 802	
The Rand Corporation - Towards A More Rational Use of Medical Services, Grant #6317 - Robert H. Brook, 1982-1988	box 108	folder 803	
The Rand Corporation - Towards A More Rational Use of Medical Services, Grant #6317 - Robert H. Brook, 1982-1988	box 108	folder 804	
The Rand Corporation - Towards A More Rational Use of Medical Services, Grant #6317 - Robert H. Brook, 1982-1988	box 108	folder 805	
The Rand Corporation - Preparations of a Pamphlet for Foundations on Indirect Costs - David W. Lyon, 1984-1986	box 109	folder 806	
The Rand Corporation - Preparations of a Pamphlet for Foundations on Indirect Costs - David W. Lyon, 1984-1986	box 109	folder 807	
University of Rochester - More Discerning Treatment of Two Common Diseases: Pneumonia and Arteriosclerotic Peripheral Vascular Disease - Paul F. Griner, 1982-1987	box 109	folder 808	
University of Rochester - More Discerning Treatment of Two Common Diseases: Pneumonia and Arteriosclerotic Peripheral Vascular Disease - Paul F. Griner, 1982-1987	box 109	folder 809	
University of Rochester - More Discerning Treatment of Two Common Diseases: Pneumonia and Arteriosclerotic Peripheral Vascular Disease - Paul F. Griner, 1982-1987	box 109	folder 810	
University of Rochester - More Discerning Treatment of Two Common Diseases: Pneumonia and Arteriosclerotic Peripheral Vascular Disease - Paul F. Griner, 1982-1987	box 109	folder 811	
University of Rochester - More Discerning Treatment of Two Common Diseases: Pneumonia and Arteriosclerotic Peripheral Vascular Disease - Paul F. Griner, 1982-1987	box 110	folder 812	
Salzburg Seminar in American Studies (SSAS) - Program Activities and Development - Robert Lort, 1981-1984	box 110	folder 813	
Salzburg Seminar in American Studies (SSAS) - Program Activities and Development - Robert Lort, 1981-1984	box 110	folder 814	
Salzburg Seminar in American Studies (SSAS) - Program Activities and Development - Robert Lort, 1981-1984	box 110	folder 815	
Scientists' Institute for Public Information - Program to Advance Public Understanding of Science and Medicine - Alan McGowan, 1981-1983	box 110	folder 816	
Senior Care Action Network (SCAN) - Developing a Social Health Maintenance Organization - William C. McMorran and James O. Hillman, 1982-1985	box 110	folder 817	

Senior Care Action Network (SCAN) - Developing a Social Health Maintenance Organization - William C. McMorran and James O. Hillman, 1982-1985	box 110	folder 818	
Senior Care Action Network (SCAN) - Developing a Social Health Maintenance Organization - William C. McMorran and James O. Hillman, 1982-1985	box 110	folder 819	
Senior Care Action Network (SCAN) - Developing a Social Health Maintenance Organization - William C. McMorran and James O. Hillman, 1982-1985	box 110	folder 820	
Senior Care Action Network (SCAN) - Developing a Social Health Maintenance Organization - William C. McMorran and James O. Hillman, 1982-1985	box 111	folder 821	
Sisters of Mercy Health Corporation (SMHC) - Improving the Managerial Skills of Senior Nursing Administrators in Teaching Hospitals (Phase One) - Edward J. Connors, 1981-1984	box 111	folder 822	
Sisters of Mercy Health Corporation (SMHC) - Improving the Managerial Skills of Senior Nursing Administrators in Teaching Hospitals (Phase One) - Edward J. Connors, 1981-1984	box 111	folder 823	
Sisters of Mercy Health Corporation (SMHC) - Improving the Managerial Skills of Senior Nursing Administrators in Teaching Hospitals (Phase One) - Edward J. Connors, 1981-1984	box 111	folder 824	
Sisters of Mercy Health Corporation (SMHC) - Improving the Managerial Skills of Senior Nursing Administrators in Teaching Hospitals (Phase One) - Edward J. Connors, 1981-1984	box 111	folder 825	
Social Science Research Council (SSRC) - A Collaborative Effort Among New York City Institutions to Examine Social Welfare Issues - Barbara Heyns, 1983-1984	box 111	folder 826	
Social Science Research Council (SSRC) - A Collaborative Effort Among New York City Institutions to Examine Social Welfare Issues - Barbara Heyns, 1983-1984	box 112	folder 827	
Social Science Research Council (SSRC) - A Collaborative Effort Among New York City Institutions to Examine Social Welfare Issues - Barbara Heyns, 1983-1984	box 112	folder 828	
Social Science Research Council (SSRC) - Providing Better Information on Demographic Changes in New York City - Barbara Heyns, 1983-1984	box 112	folder 829	
Social Science Research Council (SSRC) - Providing Better Information on Demographic Changes in New York City - Barbara Heyns, 1983-1984	box 112	folder 830	
Social Science Research Council (SSRC) - Providing Better Information on Demographic Changes in New York City - Barbara Heyns, 1983-1984	box 112	folder 831	
Spelman College - Workshop on the Problem of Teenage Pregnancy - Marian Wright Edelman, 1982-1983	box 112	folder 832	

Spelman College - Workshop on the Problem of Teenage Pregnancy - Marian Wright Edelman, 1982-1983	box 112	folder 833	
St. Luke's-Roosevelt Hospital Center - Improving Young Children's Health Through Educational Programs in Teaching Hospitals (Development Grant) - Jeanne Brooks-Gunn, 1981-1982	box 112	folder 834	
St. Luke's-Roosevelt Hospital Center - Improving Young Children's Health Through Educational Programs in Teaching Hospitals (Development Grant) - Jeanne Brooks-Gunn, 1981-1982	box 113	folder 835	
Stanford University Medical Center (SUMC) - The Development of Strategic Planning for Departments of Medicine - David S. P. Hopkins, 1981-1985	box 113	folder 836	
Stanford University Medical Center (SUMC) - The Development of Strategic Planning for Departments of Medicine - David S. P. Hopkins, 1981-1985	box 113	folder 837	
Stanford University Medical Center (SUMC) - The Development of Strategic Planning for Departments of Medicine - David S. P. Hopkins, 1981-1985	box 113	folder 838	
Stanford University Medical Center (SUMC) - The Development of Strategic Planning for Departments of Medicine - David S. P. Hopkins, 1981-1985	box 113	folder 839	
Sun Valley Forum on National Health, Inc Symposium on Roles for State and Local Health Departments in the 1980's - Bayless Manning, 1983-1984	box 113	folder 840	
Sun Valley Forum on National Health, Inc Symposium on Roles for State and Local Health Departments in the 1980's - Bayless Manning, 1983-1984	box 113	folder 841	
Sun Valley Forum on National Health, Inc Symposium on Roles for State and Local Health Departments in the 1980's - Bayless Manning, 1983-1984	box 113	folder 842	
Tennessee Association of Primary Health Care Centers (TAPHCC) - A Prepaid Medical Care System for Community Health Centers in Tennessee - Anthony Cebron, 1982-1986	box 114	folder 843	
Tennessee Association of Primary Health Care Centers (TAPHCC) - A Prepaid Medical Care System for Community Health Centers in Tennessee - Anthony Cebron, 1982-1986	box 114	folder 844	
Tennessee Association of Primary Health Care Centers (TAPHCC) - A Prepaid Medical Care System for Community Health Centers in Tennessee - Anthony Cebron, 1982-1986	box 114	folder 845	
Tennessee Association of Primary Health Care Centers (TAPHCC) - A Prepaid Medical Care System for Community Health Centers in Tennessee - Anthony Cebron, 1982-1986	box 114	folder 846	
Tennessee Association of Primary Health Care Centers (TAPHCC) - A Prepaid Medical Care System for Community Health Centers in Tennessee - Anthony Cebron, 1982-1986	box 114	folder 847	

Tennessee Association of Primary Health Care Centers (TAPHCC) - A Prepaid Medical Care System for Community Health Centers in Tennessee - Anthony Cebron, 1982-1986	box 114	folder 848
Tennessee Association of Primary Health Care Centers (TAPHCC) - A Prepaid Medical Care System for Community Health Centers in Tennessee - Anthony Cebron, 1982-1986	box 115	folder 849
University of Texas, at Austin - Preparation of Cost Estimates of a Welfare Reform Proposal - Wilbur J. Cohen, 1982-1983	box 115	folder 850
University of Texas, at Austin - Preparation of Cost Estimates of a Welfare Reform Proposal - Wilbur J. Cohen, 1982-1983	box 115	folder 851
University of Texas, at Austin - Developing an Archive of Historical Materials Relating to Health Legislation in the United States Since 1934 - Wilbur J. Cohen, 1982-1986	box 115	folder 852
University of Texas, at Austin - Developing an Archive of Historical Materials Relating to Health Legislation in the United States Since 1934 - Wilbur J. Cohen, 1982-1986	box 115	folder 853
Union College - Research on Collaborative Approaches to Problems of Academic Health Centers in New York City - Eugene Schneller, 1983-1986	box 115	folder 854
Union College - Research on Collaborative Approaches to Problems of Academic Health Centers in New York City - Eugene Schneller, 1983-1986	box 115	folder 855
Union College - Research on Collaborative Approaches to Problems of Academic Health Centers in New York City - Eugene Schneller, 1983-1986	box 115	folder 856
The United Hospital Fund (UHF) - Health Services Data Collection, Research and Policy Analysis - Joseph V. Terenzio, 1981-1986	box 116	folder 857
The United Hospital Fund (UHF) - Health Services Data Collection, Research and Policy Analysis - Joseph V. Terenzio, 1981-1986	box 116	folder 858
The United Hospital Fund (UHF) - Health Services Data Collection, Research and Policy Analysis - Joseph V. Terenzio, 1981-1986	box 116	folder 859
The United Hospital Fund (UHF) - Health Services Data Collection, Research and Policy Analysis - Joseph V. Terenzio, 1981-1986	box 116	folder 860
The United Hospital Fund (UHF) - Health Services Data Collection, Research and Policy Analysis - Joseph V. Terenzio, 1981-1986	box 117	folder 861
United Hospital Fund of New York (UHF) - The New York City Health Policy Forum - Bruce C. Vladeck, 1983-1986	box 117	folder 862
United Hospital Fund of New York (UHF) - The New York City Health Policy Forum - Bruce C. Vladeck, 1983-1986	box 117	folder 863

United Hospital Fund of New York (UHF) - Continuing Analysis of the Financial Condition of New York City Voluntary Hospitals - Bruce C. Vladeck and Susan Nesbitt, 1984-1987	box 117	folder 864
United Hospital Fund of New York (UHF) - Continuing Analysis of the Financial Condition of New York City Voluntary Hospitals - Bruce C. Vladeck and Susan Nesbitt, 1984-1987	box 117	folder 865
United Hospital Fund of New York (UHF) - Agenda Setting and Issue Identification for Health Policy in New York City - Bruce C. Vladeck, 1984-1986	box 117	folder 866
United Hospital Fund of New York (UHF) - Agenda Setting and Issue Identification for Health Policy in New York City - Bruce C. Vladeck, 1984-1986	box 117	folder 867
United Hospital Fund of New York (UHF) - Agenda Setting and Issue Identification for Health Policy in New York City - Bruce C. Vladeck, 1984-1986	box 117	folder 868
United Hospital Fund of New York (UHF) - The New York City Health Policy Forum (Phase Two) - Bruce C. Vladeck, 1984-1986	box 118	folder 869
United Hospital Fund of New York (UHF) - The New York City Health Policy Forum (Phase Two) - Bruce C. Vladeck, 1984-1986	box 118	folder 870
United Hospital Fund of New York (UHF) - The New York City Health Policy Forum (Phase Two) - Bruce C. Vladeck, 1984-1986	box 118	folder 871
United Seniors Consumer Cooperative (USCC) - Converting Home Equity into Income for Elderly People - James Firman and Bronwyn Belling, 1984-1986	box 118	folder 872
United Seniors Consumer Cooperative (USCC) - Converting Home Equity into Income for Elderly People - James Firman and Bronwyn Belling, 1984-1986	box 118	folder 873
United Seniors Consumer Cooperative (USCC) - Converting Home Equity into Income for Elderly People - James Firman and Bronwyn Belling, 1984-1986	box 118	folder 874
The Urban Institute (UI) - Helping States Restrain Medicaid Expenditures for Nursing Home Care Without Harming Quality of Access - John F. Holahan and William Scanlon, 1981-1986	box 118	folder 875
The Urban Institute (UI) - Helping States Restrain Medicaid Expenditures for Nursing Home Care Without Harming Quality of Access - John F. Holahan and William Scanlon, 1981-1986	box 119	folder 876
The Urban Institute (UI) - Helping States Restrain Medicaid Expenditures for Nursing Home Care Without Harming Quality of Access - John F. Holahan and William Scanlon, 1981-1986	box 119	folder 877
The Urban Institute (UI) - Helping States Restrain Medicaid Expenditures for Nursing Home Care Without Harming Quality of Access - John F. Holahan and William Scanlon, 1981-1986	box 119	folder 878
The Urban Institute (UI) - Helping States Restrain Medicaid Expenditures for Nursing Home Care Without Harming Quality of Access - John F. Holahan and William Scanlon, 1981-1986	box 119	folder 879

The Urban Institute (UI) - Helping States Restrain Medicaid Expenditures for Nursing Home Care Without Harming Quality of Access - John F. Holahan and William Scanlon, 1981-1986	box 119	folder 880
The Urban Institute (UI) - Helping States Restrain Medicaid Expenditures for Nursing Home Care Without Harming Quality of Access - John F. Holahan and William Scanlon, 1981-1986	box 119	folder 881
The Urban Institute (UI) - Helping States Restrain Medicaid Expenditures for Nursing Home Care Without Harming Quality of Access - John F. Holahan and William Scanlon, 1981-1986	box 120	folder 882
The Urban Institute (UI) - Helping States Restrain Medicaid Expenditures for Nursing Home Care Without Harming Quality of Access - John F. Holahan and William Scanlon, 1981-1986	box 120	folder 883
The Urban Institute (UI) - Helping States Restrain Medicaid Expenditures for Nursing Home Care Without Harming Quality of Access - John F. Holahan and William Scanlon, 1981-1986	box 120	folder 884
The Urban Institute (UI) - Hospital Reorganization and the Care of the Poor - Jack Hadley and Judith Feder, 1982-1986	box 120	folder 885
The Urban Institute (UI) - Hospital Reorganization and the Care of the Poor - Jack Hadley and Judith Feder, 1982-1986	box 120	folder 886
Vanderbilt University, School of Medicine - Development of Alternative Funding Strategies for The Center For Health Services - Richard A. Cuoto and Macon Summerville, 1981-1983	box 120	folder 887
Vanderbilt University, School of Medicine - Development of Alternative Funding Strategies for The Center For Health Services - Richard A. Cuoto and Macon Summerville, 1981-1983	box 120	folder 888
Vanderbilt University, School of Medicine - Development of Alternative Funding Strategies for The Center For Health Services - Richard A. Cuoto and Macon Summerville, 1981-1983	box 120	folder 889
Vanderbilt University, School of Medicine - Documenting the Development of Community Clinics in the South - Richard A. Couto, 1983-1988	box 120	folder 890
Vanderbilt University, School of Medicine - Documenting the Development of Community Clinics in the South - Richard A. Couto, 1983-1988	box 120	folder 891
Virginia Commonwealth University, Medical College of Virginia (VCU) - Executive Nurse Leadership Program - Implementation of an Educational Program to Improve the Management Skills of Nurses with Top Executive Potential - Barbara Mark, 1983-1986	box 121	folder 892
Virginia Commonwealth University, Medical College of Virginia (VCU) - Executive Nurse Leadership Program - Implementation of an Educational Program to Improve the Management Skills of Nurses with Top Executive Potential - Barbara Mark, 1983-1986	box 121	folder 893
Virginia Commonwealth University, Medical College of Virginia (VCU) - Executive Nurse Leadership Program - Implementation	box 121	folder 894

of an Educational Program to Improve the Management Skills of Nurses with Top Executive Potential - Barbara Mark, 1983-1986

box 121	folder 895
box 121	folder 896
box 121	folder 897
box 121	folder 898
box 121	folder 899
box 122	folder 900
box 122	folder 901
box 122	folder 902
box 122	folder 903
box 122	folder 904
box 122	folder 905
box 122	folder 906
box 122	folder 907
box 122	folder 908
box 122	folder 909
box 122	folder 910
box 122	folder 911
	box 121  box 121  box 121  box 121  box 122  box 122

Women and Foundations/Corporate Philanthropy - General Support, Grant #6958 - Joanne Hayes, 1982-1985	box 123	folder 912
Women and Foundations/Corporate Philanthropy - General Support, Grant #6958 - Joanne Hayes, 1982-1985	box 123	folder 913
Women and Foundations/Corporate Philanthropy - General Support, Grant #7704 - Joanne Hayes, January-November, 1985	box 123	folder 914
Women and Foundations/Corporate Philanthropy - General Support, Grant #7704 - Joanne Hayes, January-November, 1985	box 123	folder 915
Yale University, School of Medicine - Support of Research and Training That Will Help Make the Physician's Subjective Clinical Observations More Scientific - Alvan R. Feinstein, 1981-1988	box 123	folder 916
Yale University, School of Medicine - Support of Research and Training That Will Help Make the Physician's Subjective Clinical Observations More Scientific - Alvan R. Feinstein, 1981-1988	box 123	folder 917
Yale University, School of Medicine - Support of Research and Training That Will Help Make the Physician's Subjective Clinical Observations More Scientific - Alvan R. Feinstein, 1981-1988	box 123	folder 918
Yale University, School of Medicine - Support of Research and Training That Will Help Make the Physician's Subjective Clinical Observations More Scientific - Alvan R. Feinstein, 1981-1988	box 123	folder 919
Yale University, School of Medicine - Support of Research and Training That Will Help Make the Physician's Subjective Clinical Observations More Scientific - Alvan R. Feinstein, 1981-1988	box 124	folder 920
Yale University, School of Medicine - Support of Research and Training That Will Help Make the Physician's Subjective Clinical Observations More Scientific - Alvan R. Feinstein, 1981-1988	box 124	folder 921
Yale University, School of Medicine - A Symposium on the Canadian Health System: Lessons for the United States - Theodore R. Marmor, 1984-1985	box 124	folder 922
Yale University, School of Medicine - A Symposium on the Canadian Health System: Lessons for the United States - Theodore R. Marmor, 1984-1985	box 124	folder 923
Yale University, School of Medicine - A Symposium on the Canadian Health System: Lessons for the United States - Theodore R. Marmor, 1984-1985	box 124	folder 924
Miscellaneous Materials from Grants 8570, 6281, 7631, 6196	box 125	

Grant 8570 Hudson River Film and Video 1 Videotape - "Metro" See also Box 62, Folders 455-456

Grant 6281 Hunter College, Research Foundation of the City of New York 20 Photographs See also Box 63, Folder 457-460

Grant 7631 National Council on Patient Information and Education 1 Audiotape - "Remember When" 1 Videocassette - "Before You Take It, Talk About It" See also Boxes 89-90, Folders 658-663

Grant 6196 New York Zoological Society 5 sketches See also Box 96, Folders 718-721

Miscellaneous Materials from Grant 5691 - New York Hospital

box 126

#### **Scope and Contents note**

3 reel-to-reel tapes 2 cassette tapes

Related Archival Materials note:

#### **Related Archival Materials note**

See also Boxes 92-93, Folders 686-688

### Grants, 1979-2003 1987-2003

Physical Description: 53.15 Cubic Feet microfilm reels representing 205 cubic feet of paper records.

#### **Arrangement note**

Series 18.4 is arranged alphabetically by grant recipient. Within each grant, the material has been arranged in chronological order while maintaining the distinctiveness of the ten separate sections or 'tabs' as noted below.

- Tab 1: Completed Grant Reports, follow-up evaluations by staff and consultants.
- Tab 2: Interim and final financial reports (including cash requisition forms).
- Tab 3: Interim and final narrative reports (including follow-up correspondence from staff and consultant reports).
- Tab 4: Program summary, news release, annual report text, and related correspondence.
- Tab 5: Award letter, executed letter of agreement, and amendments to the letter of agreement.
- Tab 6: Board report text and resolution (minutes).
- Tab 7: Budget (final budget, revisions, and related correspondence).
- Tab 8: Proposal (final proposal and addenda) and CV's of project personnel.
- Tab 9: Request for project support form, tax papers, expenditure responsibility forms, approved subcontracts, and correspondence concerning change(s) in organization name, project director, and/or address.
- Tab 10: Consultant reports, support letters, and site visit reports.

#### Physical Characteristics and Technical Requirements note

The archival material has been reformatted (to 35mm preservation standard microfilm) and the original records are no longer available. User copies of the microfilm are available for scholarly access.

#### Appraisal note:

#### Appraisal note

The archival material has been reformatted and the original records are no longer available. User copies of the microfilm are available for scholarly access.

#### **Scope and Contents note**

The Grant files comprise the largest series of the Commonwealth Fund records. Series 18.4 of the Commonwealth Fund contains the fourth accession of grant files from the Commonwealth Fund to the Rockefeller Archive Center. The first three sub-series include grants from the years 1918-1988, while the bulk of the grants in this series range from 1987-2003. A small portion of the grants in 18.4, however, date back as far as 1979. Taken as a whole, these grants demonstrate the Fund's commitment to stimulating innovative thinking on health policy and practice in the United States. This far reaching aim is achieved primarily, but not exclusively through programs that address, "access to affordable care, quality of health care services, health system responsiveness to patients, long-term care concerns, and allocation of health care resources."

Series 18.4 of the CF grant files document the more recent activities of the organization, which focus primarily on improving the quality of, and access to, health care services and insurance. They are especially concerned with making these improvements available to vulnerable populations such as children, the elderly, women, minorities, and low-income families. These grants also document funding to promote healthier lifestyles and to improve medical education and research. These grant files also document the Commonwealth Fund's clear commitment to improving the quality of life for the residents of New York City through grants that improve the physical environment and grants that support research on a variety of health and social issues. Grants housed within this series vary in size from several to several thousand pages each. The files themselves are divided into ten discrete sections as follows: 1.) Completed Grant Reports and follow-up evaluations by staff and consultants, 2.) Interim and final financial reports including cash requisition forms, 3.) Interim and final narrative reports including followup correspondence from staff and consultant reports, 4.) Program summary, news release, annual report text, and related correspondence, 5.) Award letter, executed letter of agreement, and amendments to the letter of agreement, 6.) Board report text and resolution, 7.) Final budget, revisions, and related correspondence, 8.) Final proposal and CV's of project personnel, 9.) Request for project support form, tax papers, expenditure responsibility forms, approved subcontracts, and correspondence concerning change in organization name, project director, and address, 10.) Consultant reports, support letters, and site visit reports. The location of each of these tabs is marked by a "tab target" which has been filmed in place of the actual tab. Within each grant, the material has been arranged in chronological order while maintaining the distinctiveness of the ten separate sections or 'tabs.' Some grant folders do not include ten individual sections; however, these records are complete as is. Please note that the title 'Missing Pages' indicates that the administrator retained a 'tab' or section title for the folder, but no documentation was included for that section.

While this collection has been re-formatted, the microfilm is entirely representative of the original documents. In some cases, grant files do not include 10 'Tab' sections although the finding aid may indicate otherwise. This information is completely representative of the original documents.

Title/Description	Instances	
ABT Associates - #2000472, 2000	reel 1	frame 1
Scope and Contents note		
Janet Shikles		
ABT Associates - #90-05, 1989	reel 1	frame 614
<b>Scope and Contents note</b>		
Michael Puma		
ABT Associates - #94-16, 1993	reel 2	frame 1

Alan Werner

ABT Associates - #990729, 1999	reel 2	frame 676	
Scope and Contents note			
Janet Shikles			
Academy for Health Services Research and Health Policy - #20000543, 2000	reel 2	frame 1011	
Scope and Contents note			
W. David Helms			
Academy for Health Services Research and Health Policy - #20010458, 2000	reel 2	frame 1110	
<b>Scope and Contents note</b>			
Lawrence Lewin			
Acadia Institute - #9023, 2001	reel 3	frame 1	
<b>Scope and Contents note</b>			
Judith Swazey			
Actor's Fund of America - #980253, 1986	reel 3	frame 120	
Scope and Contents note			
Daniel Beaudoin			
Advertising Council - #92-80, 1998	reel 3	frame 384	
Scope and Contents note			
Eva Kasten			
Advocate Charitable Foundation - #20000579, 1992	reel 3	frame 525	
Scope and Contents note			
Elizabeth Lee			
Advocates for Children of New York, Inc #20000563, 2000	reel 3	frame 614	
<b>Scope and Contents note</b>			
Jill Chaifetz			
Advocates for Children of New York, Inc #20010354, 2000	reel 3	frame 725	
Advocates for Children of New York, Inc #20010354, 2000	reel 3	frame 725	

Jill Chaifetz

Alan Guttmacher Institute - #10834, 2001	reel 3	frame 818	
Scope and Contents note			
Richard Lincoln			
Albany Medical Center - #9029, Tabs 1-2, 1988	reel 3	frame 932	
Scope and Contents note			
J. Richard Gainter			
Albany Medical Center - #9029, Tabs 3-10, 1988	reel 4	frame 1	
Scope and Contents note			
J. Richard Gainter			
Albert Einstein College of Medicine - #6089, 1982	reel 4	frame 671	
Scope and Contents note			
Robert Katzman			
Albert Einstein Medical Center - #94-31, 1982	reel 4	frame 1094	
<b>Scope and Contents note</b>			
Harriet Bernstein			
Albert Einstein Medical Center - #96987, 1993	reel 5	frame 1	
Scope and Contents note			
Harriet Bernstein			
Alfred E. Smith Memorial Foundation - #11251, 1996	reel 5	frame 46	
Scope and Contents note			
Robert Robbins			
Alfred E. Smith Memorial Foundation - #90-24, 1989	reel 5	frame 106	
<b>Scope and Contents note</b>			
Robert Robbins			
Alfred E. Smith Memorial Foundation - #91-33, 1989	reel 5	frame 150	

Alexandra Thomas

Alfred E. Smith Memorial Foundation - #92-28, 1990	reel 5	frame 203	
Scope and Contents note			
Lawrence Connaughton			
Alfred E. Smith Memorial Foundation - #93-44, 1992	reel 5	frame 285	
<b>Scope and Contents note</b>			
John Cardinal O'Connor			
Alfred E. Smith Memorial Foundation - #94-68, 1993	reel 5	frame 372	
Scope and Contents note			
Danielle Annunziata			
Alfred E. Smith Memorial Foundation - #95-34, 1993	reel 5	frame 385	
Scope and Contents note			
John Cardinal O'Connor			
Allegheny University of the Health Sciences - #96992, 1994	reel 5	frame 405	
Scope and Contents note			
George Gardiner			
Alliance for Aging Research - #20010635, 1996	reel 5	frame 463	
Scope and Contents note			
Daniel Perry			
Alliance for Aging Research - #91-85, 2000	reel 6	frame 1	
Scope and Contents note			
Daniel Perry			
Alliance for Aging Research - #93-37, 1991	reel 7	frame 1	
Scope and Contents note			
Daniel Perry			
Alliance for Aging Research - #95-17, Tabs 1-3, 1993	reel 7	frame 582	

Daniel Perry

Alliance for Aging Research - #95-17, Tabs 4-10, 1993	reel 8	frame 1
Scope and Contents note		
Daniel Perry		
Alliance for Aging Research - #9529, 1995	reel 8	frame 108
Scope and Contents note		
Daniel Perry		
Alliance for Aging Research - #9574, 1986	reel 8	frame 831
Scope and Contents note		
Daniel Perry		
Alliance for Aging Research - #970943, 1997	reel 9	frame 1
Scope and Contents note		
Daniel Perry		
Alliance for Aging Research - #980767, 1998	reel 9	frame 386
Scope and Contents note		
Daniel Perry		
Alliance for Aging Research - #990769, 1998	reel 9	frame 1148
Scope and Contents note		
Daniel Perry		
Alliance for Catholic Health and Human Services - #90-23, 1999	reel 10	frame 1
Scope and Contents note		
Donna O'Brien		
Alliance for Health Reform - #2000131, 1989	reel 10	frame 62
Scope and Contents note		
Edward Howard		
Alliance for Health Reform - #95-27, 1999	reel 10	frame 166

Edward Howard

Alliance for Health Reform - #96491, 1994	reel 10	frame 694	
Scope and Contents note			
Edward Howard			
Alliance for Health Reform - #970421, 1995	reel 10	frame 763	
Scope and Contents note			
Edward Howard			
Alliance for Health Reform - #970627, Tabs 1-2, 1997	reel 10	frame 803	
Scope and Contents note			
Edward Howard			
Alliance for Health Reform - #970627, Tabs 3-10, 1997	reel 11	frame 1	
Scope and Contents note			
Edward Howard			
Alliance for Health Reform - #98007, 1997	reel 11	frame 561	
Scope and Contents note			
Edward Howard			
Alliance for Health Reform - #980187, 1997	reel 11	frame 642	
Scope and Contents note			
Edward Howard			
Alliance for Health Reform - #99059, 1997	reel 12	frame 1	
Scope and Contents note			
Edward Howard			
Alpha Center for Health Planning - #95736, 1998	reel 12	frame 734	
Scope and Contents note			
Deborah Chollet			
Alpha Center for Health Planning - #96451, 1995	reel 12	frame 833	

Deborah Chollet

Alpha Omega Alpha Honor Medical Society - #90-40, 1996	reel 12	frame 927	
Scope and Contents note			
Jeremiah Barondess			
Alzheimer's Disease and Related Disorders Association - #92-25, 1989	reel 13	frame 1	
Scope and Contents note			
Jean Marks			
America the Beautiful Fund - #90-42, 1991	reel 13	frame 160	
Scope and Contents note			
Nanine Bilski			
American Academy of Arts and Sciences - #11045, 1989	reel 13	frame 260	
Scope and Contents note			
Stephen Grabaurd			
American Academy of Arts and Sciences - #92-76, 1988	reel 13	frame 338	
Scope and Contents note			
Joel Orlen			
American Academy of Arts and Sciences - #9316, 1992	reel 13	frame 400	
Scope and Contents note			
Stephen Grabaurd			
American Academy of Arts and Sciences - #93-29, 1986	reel 13	frame 489	
Scope and Contents note			
Sherman Mellinkoff			
American Academy of Arts and Sciences - #93-49, 1992	reel 13	frame 645	
<b>Scope and Contents note</b>			
Howard Hiatt			
American Academy of Arts and Sciences - #990113, 1992	reel 13	frame 706	

Arthur Kleinman

American Academy of Child Adolescent Psychiatry - #20000103, 1998	reel 13	frame 790	
Scope and Contents note			
James Harris			
American Academy of Pediatrics - #90-71, 1999	reel 13	frame 840	
Scope and Contents note			
Birt Harvey			
American Accreditation Healthcare Commission - #990498, 1989	reel 14	frame 1	
Scope and Contents note			
E. Liza Greenberg			
American Assembly - #93-52, 1999	reel 14	frame 81	
<b>Scope and Contents note</b>			
Daniel Sharp			
American Association of Health Plans Foundation - #970277, 1992	reel 14	frame 165	
<b>Scope and Contents note</b>			
Carmella Bocchino			
American Association of Health Plans Foundation - #990119, 1996	reel 15	frame 1	
<b>Scope and Contents note</b>			
Carmello Bocchino			
American Association of Homes and Services for the Aging - #20000377, 1999	reel 15	frame 197	
Scope and Contents note			
Robyn Stone			
American Association of Homes and Services for the Aging - #20000483, Tabs 1-3, 2000	reel 15	frame 377	

Robyn Stone

American Association of Homes and Services for the Aging - #20000483, Tabs 4-10, 2000	reel 16	frame 1	
Scope and Contents note			
Robyn Stone			
American Association of Homes for the Aging - #11120, 2000	reel 16	frame 396	
<b>Scope and Contents note</b>			
Sheldon Goldberg			
American Association of Retired Persons - #11176, Tabs 1-9, 1988	reel 16	frame 753	
<b>Scope and Contents note</b>			
Thomas Nelson			
American Association of Retired Persons - #11176, Tab 10, 1988	reel 17	frame 1	
<b>Scope and Contents note</b>			
Thomas Nelson			
American Association of Retired Persons - #11176, Tab 10 (continued), 1988	reel 18	frame 1	
Scope and Contents note			
Thomas Nelson			
American Association of Retired Persons - #90-66, 1989	reel 18	frame 606	
Scope and Contents note			
Horace Deets			
American Association of Retired Persons - #95819, 1990	reel 19	frame 1	
Scope and Contents note			
Albert Hollenbeck			
American Association of Retired Persons - #9722, 1995	reel 19	frame 108	
Scope and Contents note			
John Rother			
American Association of Retired Persons - #9872, Tabs 1-3, 1986	reel 19	frame 640	

John Rother

American Association of Retired Persons - #9872, Tabs 4-10, 1986	reel 20	frame 1	
Scope and Contents note			
John Rother			
American Bar Association - #10838, 1987	reel 20	frame 746	
<b>Scope and Contents note</b>			
Thomas Smith			
American Bar Association - #11178, Tabs 1-4, 1988	reel 20	frame 896	
Scope and Contents note			
Charles Sabatino			
American Bar Association - #11178, Tabs 5-10, 1988	reel 21	frame 1	
Scope and Contents note			
Charles Sabatino			
American Bar Association - #9231, 1989	reel 21	frame 447	
Scope and Contents note			
Thomas Smith			
American Bar Association - #94-91, Tabs 1-3, 1986	reel 21	frame 518	
Scope and Contents note			
Nancy Coleman			
American Bar Association - #94-91, Tabs 4-10, 1986	reel 22	frame 1	
Scope and Contents note			
Nancy Coleman			
American College of Physicians - #20000817, 1994	reel 22	frame 138	
Scope and Contents note			
Robert Doherty			
American College of Physicians - #91-35, 2000	reel 22	frame 363	

John Ball

American College of Physicians - #92-30, 1990	reel 23	frame 1
Scope and Contents note		
John Ball		
American College of Physicians - #9321, 1991	reel 23	frame 1022
Scope and Contents note		
Isaac Kruger		
American College of Physicians - #94-88, 1986	reel 24	frame 1
Scope and Contents note		
Frank Davidoff		
American Council on Science and Health - #95-70, 1994	reel 24	frame 909
Scope and Contents note		
Elizabeth Whelan		
American Enterprise Institute for Public Policy Research - #11195, 1994	reel 25	frame 1
Scope and Contents note		
Douglas Besharov		
American Enterprise Institute for Public Policy Research - #93-411, Tabs 1-3, 1989	reel 25	frame 910
Scope and Contents note		
Douglas Besharov		
American Enterprise Institute for Public Policy Research - #93-411, Tabs 4-10, 1989	reel 26	frame 1
Scope and Contents note		
Douglas Besharov		
American Enterprise Institute for Public Policy Research - #94-83, 1992	reel 26	frame 147
Scope and Contents note		
Douglas Besharov		
American Enterprise Institute for Public Policy Research - #970315, Tabs 1-3, 1994	reel 26	frame 550

Douglas Besharov

American Enterprise Institute for Public Policy Research - reel 27 frame 1 #970315, Tabs 4-10, 1994  Scope and Contents note  Douglas Besharov	
Douglas Besharov	
American Federation for Aging Research - #970942, 1996 reel 27 frame 142	
Scope and Contents note	
T. Franklin Williams	
American Federation for Aging Research - #980766, 1997 reel 27 frame 569	
Scope and Contents note	
Stephanie Lederman	
American Federation for Aging Research - #980771, Tabs 1-3, reel 28 frame 1 1998	
Scope and Contents note	
Stephanie Lederman	
American Federation for Aging Research - #980771, Tabs 3 reel 29 frame 1 (continued)-10, 1998	
Scope and Contents note	
Stephanie Lederman	
American Federation for Aging Research - #990740, 1995 reel 29 frame 640	
Scope and Contents note	
T. Franklin Williams	
American Foundation for AIDS Research - #91-63, 1999 reel 29 frame 904	
Scope and Contents note	
Trish Halleron	
American Geriatrics Society - #91-32, 1990 reel 29 frame 1053	
American Geriatrics Society - #91-32, 1990 reel 29 frame 1053	

Jeremiah Barondess

American Journal of Preventive Medicine - #96354, 1986	reel 30	frame 372	
Scope and Contents note			
Charlotte Seidman			
American Leadership Forum - #94-114, 1996	reel 30	frame 432	
Scope and Contents note			
Jing Lyman			
American Leadership Forum - #9977, 1994	reel 30	frame 512	
Scope and Contents note			
Joseph Jaworski			
American Medical Association - #95042, 1986	reel 30	frame 649	
Scope and Contents note			
Larry Goldman			
American Medical Association - #96347, 1996	reel 31	frame 1	
Scope and Contents note			
Barbara Barzansky			
American Medical Women's Association - #94-85, 1994	reel 31	frame 103	
Scope and Contents note			
Eileen McGrath			
American Osler Society - #10246, 1987	reel 31	frame 633	
Scope and Contents note			
Jeremiah Barondess			
American Prospect Magazine - #20000820, 2000	reel 31	frame 700	
Scope and Contents note			
Robert Kuttner			
American Psychological Association - #94-75, 1994	reel 31	frame 842	

Gwendolyn Keita

American Public Welfare Association - #980469, 1998	reel 32	frame 1	
<b>Scope and Contents note</b>			
J. Lee Partridge			
American University of Beirut - #8558, 1985	reel 32	frame 416	
Scope and Contents note			
Calvin Plimpton			
American Youth Policy - #980801, 1998	reel 32	frame 500	
Scope and Contents note			
Samuel Halperin			
America's Society - #10476, 1987	reel 32	frame 723	
Scope and Contents note			
Lansing Lamont			
Areawide Aging Agency - #10021, Tabs 1-7, 1987	reel 32	frame 858	
Scope and Contents note			
Edward Knisley			
Areawide Aging Agency - #10021, Tabs 8-10, 1987	reel 33	frame 1	
Scope and Contents note			
Edward Knisley			
Aspen Institute for Humanistic Studies - #90-83, 1990	reel 33	frame 243	
Scope and Contents note			
Waldemar Nielsen			
Association for Health Services Research - #10703, 1990	reel 33	frame 373	
Scope and Contents note			
Alice Hersh			
Association for Health Services Research - #94-19, 1994	reel 33	frame 377	

Alice Hersh

Association for Health Services Research - #96648, 1996	reel 33	frame 381	
Scope and Contents note			
Alice Hersh			
Association for Health Services Research - #96949, 1996	reel 33	frame 454	
<b>Scope and Contents note</b>			
Robin Osborn			
Association for Health Services Research - #970558, 1997	reel 34	frame 1	
Scope and Contents note			
Alice Hersh			
Association for Health Services Research - #980747, 1998	reel 34	frame 214	
Scope and Contents note			
Naomi Adelman			
Association for Health Services Research - #990043, 1998	reel 34	frame 248	
Scope and Contents note			
Barabara Krimgold			
Association for Health Services Research - #990421, 1999	reel 34	frame 335	
Scope and Contents note			
Wendy Valentine			
Association of Academic Health Centers - #11156, 1990	reel 34	frame 407	
<b>Scope and Contents note</b>			
Roger Bulger			
Association of Academic Health Centers - #20000641, 2000	reel 34	frame 659	
Scope and Contents note			
Marian Osterweis			
Association of Academic Health Centers - #970710, 1997	reel 34	frame 733	

Marian Osterweis

Association of American Medical Colleges - #20000704, 2000	reel 34	frame 814	
Scope and Contents note			
Paul Griner			
Association of American Medical Colleges - #9370, 1986	reel 35	frame 1	
Scope and Contents note			
Richard Knapp			
Association of American Medical Colleges - #9370, Tabs 1-10, 1986	reel 36	frame 1	
Scope and Contents note			
Richard Knapp			
Association of American Medical Colleges - #9370, Tab 10 (continued), 1986	reel 37	frame 1	
Scope and Contents note			
Richard Knapp			
Association of American Medical Colleges - #9370, Tab 10 (continued), 1986	reel 38	frame 1	
Scope and Contents note			
Richard Knapp			
Association of American Medical Colleges - #95891, 1995	reel 38	frame 365	
Scope and Contents note			
David Witter			
Association of American Medical Colleges - #96176, 1996	reel 38	frame 464	
Scope and Contents note			
Paul Griner			
Association of American Medical Colleges - #96960, 1996	reel 38	frame 668	
Scope and Contents note			
Paul Griner			
Association of American Medical Colleges - #970954, Tabs 1-2, 1997	reel 38	frame 1081	

Paul Griner

Association of American Medical Colleges - #970954, Tabs 3-10, 1997	reel 39	frame 1	
Scope and Contents note			
Paul Griner			
Association of American Medical Colleges - #980732, 1999	reel 40	frame 1	
Scope and Contents note			
David Korn			
Association of American Medical Colleges - #980770, 1998	reel 40	frame 112	
Scope and Contents note			
Paul Griner			
Association of American Medical Colleges - #990820, Tabs 1-3, 1999	reel 40	frame 838	
<b>Scope and Contents note</b>			
Paul Griner			
Association of American Medical Colleges - #990820, Tabs 4-10, 1999	reel 41	frame 1	
Scope and Contents note			
Paul Griner			
Association of American Physicians - #8753, 1985	reel 41	frame 251	
Scope and Contents note			
Stuart Bondurant			
Association of Charitable Foundations - #11132, 1989	reel 41	frame 346	
Scope and Contents note			
Nigel Siderer			
Association of Charitable Foundations - #90-70, 1990	reel 41	frame 428	
Scope and Contents note			
Nigel Siderer			
Association of Charitable Foundations - #91-71, 1991	reel 41	frame 525	

Nigel Siderer

Association of Charitable Foundations - #92-61, 1992	reel 41	frame 651	
Scope and Contents note			
Nigel Siderer			
Association of Charitable Foundations - #93-60, 1993	reel 41	frame 894	
<b>Scope and Contents note</b>			
Nigel Siderer			
Association of Charitable Foundations - #94-95, 1994	reel 41	frame 992	
Scope and Contents note			
Nigel Siderer			
Association of Charitable Foundations - #95-84, 1995	reel 41	frame 1104	
Scope and Contents note			
Nigel Siderer			
Association of Charitable Foundations - #96642, 1996	reel 42	frame 1	
Scope and Contents note			
Nigel Siderer			
Association of Health Care Journalists - #2000816, 2000	reel 42	frame 72	
Scope and Contents note			
Melinda Voss			
Association of Junior Leagues - #10308, 1987	reel 42	frame 173	
Scope and Contents note			
Sally Orr			
Association of Maternal and Child Health Programs - #20020329, 2002	reel 42	frame 426	
Scope and Contents note			
Frances Valera			
Association of Schools of Public Health - #990774, 1999	reel 42	frame 544	

Michael Gemmell

Bank Street College of Education - #96576, 1996	reel 42	frame 627	
<b>Scope and Contents note</b>			
George Willers			
Barents Group - #96950, 1996	reel 42	frame 655	
<b>Scope and Contents note</b>			
Kathryn Langwell			
Barnes-Jewish Hospital - #96028, 1995	reel 42	frame 1182	
<b>Scope and Contents note</b>			
Linda Billington			
Barnes-Jewish Hospital - #96988, 1996	reel 43	frame 1	
<b>Scope and Contents note</b>			
Linda Billington			
Baylor College of Medicine - #91-60, 1990	reel 43	frame 169	
<b>Scope and Contents note</b>			
Carl Fasser			
Bellefaire Jewish Children's Bureau - #90-20, 1989	reel 43	frame 333	
<b>Scope and Contents note</b>			
Kathleen Wells			
Betances Health Center - #20020240, 2001	reel 43	frame 545	
<b>Scope and Contents note</b>			
Wanda Evans			
Beth Israel Hospital - #10052, Tabs 1-4, 1987	reel 43	frame 617	
Scope and Contents note			
John Rowe			
Beth Israel Hospital - #10052, Tabs 5-10, 1987	reel 44	frame 1	

John Rowe

Beth Israel Hospital - #10471, 1987	reel 44	frame 173	
Scope and Contents note			
Thomas Delbanco			
Beth Israel Hospital - #10704, Tabs 1-6, 1988	reel 44	frame 844	
Scope and Contents note			
Thomas Delbanco			
Beth Israel Hospital - #10704, Tabs 7-10, 1988	reel 45	frame 1	
Scope and Contents note			
Thomas Delbanco			
Beth Israel Hospital - #11123, Tabs 1-3, 1989	reel 45	frame 176	
Scope and Contents note			
Thomas Delbanco			
Beth Israel Hospital - #11123, Tabs 3 (continued)-10, 1989	reel 46	frame 1	
Scope and Contents note			
Thomas Delbanco			
Beth Israel Hospital - #90-02, 1989	reel 47	frame 1	
Scope and Contents note			
Thomas Delbanco			
Beth Israel Hospital - #90-07, 1990	reel 47	frame 470	
Scope and Contents note			
Thomas Delbanco			
Beth Israel Hospital - #90-62, 1990	reel 47	frame 552	
Scope and Contents note			
Thomas Delbanco			
Beth Israel Hospital - #91-07, Tabs 1-2, 1990	reel 47	frame 916	

Thomas Delbanco

Beth Israel Hospital - #91-07, Tabs 3-10, 1990	reel 48	frame 1	
<b>Scope and Contents note</b>			
Thomas Delbanco			
Beth Israel Hospital - #91-19/92-34, Tabs 1-4, 1990	reel 48	frame 847	
Scope and Contents note			
Thomas Delbanco			
Beth Israel Hospital - #91-19/92-34, Tabs 5-10, 1990	reel 49	frame 1	
Scope and Contents note			
Thomas Delbanco			
Beth Israel Hospital - #91-34, 1991	reel 49	frame 384	
Scope and Contents note			
Mitchell Rabkin			
Beth Israel Hospital - #92-10, Tabs 1-3, 1991	reel 49	frame 833	
Scope and Contents note			
Thomas Delbanco			
Beth Israel Hospital - #92-10, Tabs 4-10, 1991	reel 50	frame 1	
Scope and Contents note			
Thomas Delbanco			
Beth Israel Hospital - #92-12, 1992	reel 50	frame 211	
<b>Scope and Contents note</b>			
Thomas Delbanco			
Beth Israel Hospital - #93-01, 1992	reel 50	frame 469	
<b>Scope and Contents note</b>			
Thomas Delbanco			
Beth Israel Hospital - #93-75, Tabs 1-3, 1992	reel 50	frame 939	

Janice Walker

Beth Israel Hospital - #93-75, Tabs 4-10, 1992	reel 51	frame 1	
Scope and Contents note			
Janice Walker			
Beth Israel Hospital - #94-17, 1993	reel 51	frame 104	
Scope and Contents note			
Thomas Delbanco			
Beth Israel Hospital - #95-13, 1994	reel 51	frame 424	
Scope and Contents note			
Thomas Delbanco			
Beth Israel Medical Center - #94-33, 1993	reel 51	frame 603	
Scope and Contents note			
Susan Devlin			
Beth Israel Medical Center - #96029, 1995	reel 51	frame 859	
Scope and Contents note			
Fred Grauman			
Beth Israel Medical Center - #96989, 1996	reel 51	frame 911	
Scope and Contents note			
Stephanie Busby			
Beth Israel Medical Center - #980734, 1997	reel 51	frame 970	
Scope and Contents note			
Russell Portenoy			
Beth Israel Medical Center - #990226, 1998	reel 52	frame 1	
Scope and Contents note			
Russell Portenoy			
Big Brothers and Big Sisters of New York City - #96674, 1996	reel 52	frame 229	

Carolyn Kennedy

Big Brothers and Big Sisters of New York City - #96708, 1996	reel 52	frame 324
Scope and Contents note		
Stephen Carey		
Boston University - #6150, 1987	reel 52	frame 411
<b>Scope and Contents note</b>		
Diane C. Walsh		
Boston University - #96014, Tabs 1-9, 1995	reel 52	frame 470
<b>Scope and Contents note</b>		
Barry Zuckerman		
Boston University - #96014, Tab 10, 1995	reel 53	frame 1
<b>Scope and Contents note</b>		
Barry Zuckerman		
Boston University - #990098, 1998	reel 53	frame 301
<b>Scope and Contents note</b>		
Barry Zuckerman		
Boston University Institute for Employment Policy - #10163, Tabs 1-7, 1987	reel 53	frame 1063
Scope and Contents note		
Peter Doeringer		
Boston University Institute for Employment Policy - #10163, Tabs 8-10, 1987	reel 54	frame 1
Scope and Contents note		
Peter Doeringer		
Boston University School for Public Health - #9623, 1995	reel 54	frame 732
Scope and Contents note		
Ralph Hingson		
Boston University School for Public Health - #8478, 1995	reel 54	frame 803

Ralph Hingson

Boston University School for Public Health - #8478, 1995	reel 55	frame 1	
Scope and Contents note			
Ralph Hingson			
Boston University School of Medicine - #20000789, 2000	reel 55	frame 792	
Scope and Contents note			
Margot Kaplan-Sanoff			
Boston University School of Medicine - #20010114, 2001	reel 55	frame 944	
Scope and Contents note			
Barry Zuckerman			
Boston University School of Medicine - #96650, 1996	reel 56	frame 1	
Scope and Contents note			
Barry Zuckerman			
Boston University School of Medicine - #980202, Tabs 1-4, 1997	reel 57	frame 1	
Scope and Contents note			
Barry Zuckerman			
Boston University School of Medicine - #980202, Tabs 5-10, 1997	reel 58	frame 1	
Scope and Contents note			
Barry Zuckerman			
Boys' Clubs of New York - #92-07, 1991	reel 58	frame 104	
Scope and Contents note			
Martin Bunce			
Boys' Clubs of New York - #93-16, 1992	reel 58	frame 218	
Scope and Contents note			
Ron Britt			
Boys' Clubs of New York - #94-01, 1993	reel 58	frame 257	

Ron Britt

Boys' Clubs of New York - #95-01, 1994	reel 58	frame 316	
Scope and Contents note			
Martin Bunce			
Boys' Clubs of New York - #96017, 1995	reel 58	frame 403	
Scope and Contents note			
Martin Bunce			
Brandeis University - #96222, 1995	reel 58	frame 487	
Scope and Contents note			
Dennis Beatrice			
Brandeis University - #10170 (Pre-07/01/1989), Tabs 1-7, 1994	reel 58	frame 591	
Scope and Contents note			
Erik Butler			
Brandeis University - #10170 (Pre-07/01/1989), Tabs 8-10, 1994	reel 59	frame 1	
Scope and Contents note			
Erik Butler			
Brandeis University - #10170 (07/01/1989 - 11/01/1990), 1994	reel 59	frame 134	
Scope and Contents note			
Erik Butler			
Brandeis University - #10170 (11/01/1990 - 03/25/1994), 1994	reel 59	frame 799	
Scope and Contents note			
Erik Butler			
Brandeis University - #10170 (Financial Audit File), 1994	reel 60	frame 1	
Scope and Contents note			
Erik Butler			
Brandeis University - #10170 (Mentoring Study), 1994	reel 60	frame 385	

Erik Butler

Brandeis University - #10170 (Outward Bound Program), 1994	reel 60	frame 692	
Scope and Contents note			
Erik Butler			
Brandeis University - #10170 (Reports/Newsletters), 1994	reel 61	frame 1	
Scope and Contents note			
Erik Butler			
Brandeis University - #10170 (Study on College Education), 1994	reel 62	frame 1	
Scope and Contents note			
Erik Butler			
Brandeis University - #10170 (William Bloomfield File), 1994	reel 62	frame 286	
Scope and Contents note			
Erik Butler			
Brandeis University - #20030030, 2002	reel 62	frame 811	
Scope and Contents note			
Jeff Prottas			
Brandeis University - #9022/9943, Tabs 1-7, 1989	reel 62	frame 980	
Scope and Contents note			
Erik Butler			
Brandeis University - #9022/9943, Tabs 8-10, 1989	reel 63	frame 1	
Scope and Contents note			
Erik Butler			
Brandeis University - #9022/9943, Tab 10 (continued), 1989	reel 64	frame 1	
Scope and Contents note			
Erik Butler			
Brandeis University - #93-78, 1993	reel 64	frame 621	

Donna L. Yee

Brandeis University - #94-50, Tabs 1-4, 1994	reel 64	frame 1009	
Scope and Contents note			
Donna L. Yee			
Brandeis University - #94-50, Tabs 5-10, 1994	reel 65	frame 1	
Scope and Contents note			
Donna L. Yee			
Brandeis University - #94-76, 1994	reel 65	frame 508	
Scope and Contents note			
James Callahan			
Brandeis University - #990312, 1999	reel 65	frame 759	
Scope and Contents note			
Stuart Altman			
Brigham Medical Group Foundation - #95020, 1992	reel 65	frame 879	
Scope and Contents note			
Arnold Relman			
Brigham and Women's Hospital - #92-48, 1994	reel 65	frame 939	
Scope and Contents note			
Arnold Relman			
British Institute - #9320, 1992	reel 65	frame 998	
<b>Scope and Contents note</b>			
Stephen Strickland			
Broad Jump - #10000, 1987	reel 65	frame 1065	
Scope and Contents note			
Gary Simons			
Brookings Institution - #20010218, 2001	reel 66	frame 1	

Henry Aaron

Brookings Institution - #95-72, 1995	reel 66	frame 257	
Scope and Contents note			
Henry Aaron			
Brown University - #20010581, 2001	reel 66	frame 348	
<b>Scope and Contents note</b>			
Kate Lapane			
Building Centre Trust - #91-04, 1990	reel 66	frame 595	
<b>Scope and Contents note</b>			
Nicholas Falk			
Building Centre Trust - #91-09, 1990	reel 66	frame 673	
<b>Scope and Contents note</b>			
Nicholas Falk			
Butler University - #2084-053, 1986	reel 66	frame 718	
<b>Scope and Contents note</b>			
Michael Priller			
C. Everett Koop Institute at Dartmouth College - #95-59, 1995	reel 66	frame 1024	
<b>Scope and Contents note</b>			
G. Christian Jernsted			
California State University, Northridge - #94-103, 1994	reel 66	frame 1072	
<b>Scope and Contents note</b>			
Blenda Wilson			
California State University, Northridge - #990534, 1999	reel 66	frame 1098	
Scope and Contents note			
Blenda Wilson			
Camp Holiday Trails - #94135, 1994	reel 67	frame 1	

William Anderson

Canadian Consulate General - #20010318, 2000	reel 67	frame 139	
Scope and Contents note			
Jennnifer Kay			
Canadian Consulate General - #980884, 1998	reel 67	frame 274	
Scope and Contents note			
Lianne Verheyden			
Capitol Area Community Health Plan - #92-32, Tabs 1-10, 1992	reel 67	frame 327	
Scope and Contents note			
Warren Paley			
Capitol Area Community Health Plan - #92-32, Tab 10 (continued), 1992	reel 68	frame 1	
Scope and Contents note			
Warren Paley			
Capitol Area Community Health Plan - #92-65 - File 1, 1992	reel 68	frame 336	
Scope and Contents note			
Warren Paley			
Capitol Area Community Health Plan - #92-65 - File 2, 1992	reel 68	frame 795	
Scope and Contents note			
Warren Paley			
Capitol Area Community Health Plan - #92-65 - File 3, 1992	reel 69	frame 1	
Scope and Contents note			
Warren Paley			
Carter Center - #990862, 1999	reel 70	frame 1	
Scope and Contents note			
John Hardman			
Case Western Reserve University - #2084-003, 1986	reel 70	frame 61	

Albert Abramovitz

Case Western Reserve University - #90-28, 1989	reel 70	frame 304	
<b>Scope and Contents note</b>			
Joyce Fitzpatrick			
Case Western Reserve University - #93-95, 1993	reel 70	frame 530	
Scope and Contents note			
Gary Rosenthal			
Cato Institute - #11179, 1988	reel 70	frame 834	
Scope and Contents note			
Peter Ferrara			
CDS International - #91-46, 1991	reel 71	frame 1	
Scope and Contents note			
Wolfgang Linz			
Cedars-Sinai Medical Center - #94-34, 1993	reel 71	frame 704	
Scope and Contents note			
Curtis Holliman			
Cedars-Sinai Medical Center - #96030, 1995	reel 71	frame 855	
Scope and Contents note			
Andrea Perry			
Cedars-Sinai Medical Center - #96990, 1996	reel 71	frame 908	
Scope and Contents note			
Andrea Perry			
Center for Community Change - #10165, Tabs 1-5, 1987	reel 71	frame 951	
Scope and Contents note			
Pablo Eisenberg			
Center for Community Change - #10165, Tabs 6-10, 1987	reel 72	frame 1	

Pablo Eisenberg

Center for Effective Philanthropy - #11157, 1989	reel 72	frame 153	
Scope and Contents note			
Gerald Freund			
Center for Excellence in Government - #10382, 1987	reel 72	frame 341	
Scope and Contents note			
Mark Abramson			
Center for Health Economics Research - #96955, 1996	reel 72	frame 588	
Scope and Contents note			
Janet Mitchell			
Center for Health Policy Development - #20020047, 2002	reel 72	frame 873	
Scope and Contents note			
Trish Riley			
Center for Health Policy Development - #990423, 1999	reel 73	frame 1	
Scope and Contents note			
Patricia Riley			
Center for Health Policy Development - #990762, Tabs 1-3, 1999	reel 74	frame 1	
Scope and Contents note			
Robert Mollica			
Center for Health Policy Development - #990762, Tabs 4-10, 1999	reel 75	frame 1	
Scope and Contents note			
Robert Mollica			
Center for Medicare Advocacy - #20020722, 2002	reel 76	frame 1	
Scope and Contents note			
Toby Edelman			
Center for National Policy - #20000286, 1999	reel 76	frame 872	

Michael Calabrese

reel 76	frame 1042	
reel 76	frame 1087	
reel 77	frame 1	
reel 77	frame 132	
reel 77	frame 518	
reel 77	frame 605	
reel 77	frame 658	
reel 77	frame 734	
reel 77	frame 795	
	reel 76  reel 77  reel 77  reel 77  reel 77	reel 76 frame 1087  reel 77 frame 1  reel 77 frame 132  reel 77 frame 518  reel 77 frame 605  reel 77 frame 658

Cindy Mann

Central Park Conservancy - #11044, 1988	reel 77	frame 855	
Scope and Contents note			
Elizabeth Barlow Rogers			
Central Park Conservancy - #20020157, 2002	reel 77	frame 937	
Scope and Contents note			
Regina Peruggi			
Central Park Conservancy - #8872, 1988	reel 77	frame 1007	
Scope and Contents note			
Pamela Tice			
Central Park Conservancy - #90-12, 1989	reel 77	frame 1077	
Scope and Contents note			
Elizabeth Barlow Rogers			
Central Park Conservancy - #91-01, 1990	reel 77	frame 1167	
Scope and Contents note			
Elizabeth Barlow Rogers			
Central Park Conservancy - #92-01, 1991	reel 78	frame 1	
Scope and Contents note			
Elizabeth Barlow Rogers			
Central Park Conservancy - #93-12, 1992	reel 78	frame 102	
Scope and Contents note			
Elizabeth Barlow Rogers			
Central Park Conservancy - #94-02, 1993	reel 78	frame 191	
Scope and Contents note			
Amy Hines			
Central Park Conservancy - #95-02, 1994	reel 78	frame 248	

Elizabeth Barlow Rogers

Central Park Conservancy - #95423, 1995	reel 78	frame 345	
Scope and Contents note			
James Cossaboom			
Central Park Conservancy - #96018, 1995	reel 78	frame 462	
Scope and Contents note			
Elizabeth Rogers			
Central Park Conservancy - #970643, 1997	reel 78	frame 539	
Scope and Contents note			
Karen Putnam			
Central Park Conservancy - #9713, 1997	reel 78	frame 658	
<b>Scope and Contents note</b>			
Pamela Tice			
Central Park Conservancy - #980646, 1998	reel 78	frame 719	
Scope and Contents note			
Karen Putnam			
Central Park Conservancy - #9866, 1998	reel 78	frame 780	
<b>Scope and Contents note</b>			
Elizabeth Barlow Rogers			
Century Foundation - #20000049, 1999	reel 78	frame 848	
<b>Scope and Contents note</b>			
Greg Anrig			
Channel Thirteen - #90-19, 1990	reel 79	frame 1	
<b>Scope and Contents note</b>			
William Baker			
Channel Thirteen - #93-22, 1992	reel 79	frame 696	

Susan Fox

Channel Thirteen - #94-15, 1993	reel 79	frame 831	
<b>Scope and Contents note</b>			
William Baker			
Chapin Hall Center for Children - #90-59, 1990	reel 79	frame 945	
Scope and Contents note			
Joan Costello			
Child Health Foundation of Boston - #990127, 1999	reel 79	frame 1044	
Scope and Contents note			
Glen Flores			
Child Trends - #96910, 1996	reel 79	frame 1140	
Scope and Contents note			
Suzanne Miller			
Children Now - #980136, 1998	reel 80	frame 1	
<b>Scope and Contents note</b>			
Dominquez Arms			
Children of Alcoholics Foundation - #10547, 1987	reel 80	frame 340	
Scope and Contents note			
Meg Woodside			
Children of Alcoholics Foundation - #95-61, 1995	reel 80	frame 624	
Scope and Contents note			
Janice Walker			
Children's Aid Society - #11188, 1988	reel 80	frame 744	
<b>Scope and Contents note</b>			
Henry Barnett			
Children's Defense Fund - #20000378, 2000	reel 80	frame 848	

Melinda Dutton

Children's Defense Fund - #8901, 1988	reel 80	frame 905	
Scope and Contents note			
Mariam Edelman			
Children's Defense Fund - #8901, Tabs 1-10, 1988	reel 81	frame 1	
<b>Scope and Contents note</b>			
Mariam Edelman			
Children's Defense Fund - #8901, Tab 10 (continued), 1988	reel 82	frame 1	
Scope and Contents note			
Mariam Edelman			
Children's Defense Fund - #95-35, 1995	reel 82	frame 474	
Scope and Contents note			
Mariam Edelman			
Children's Defense Fund - #990334, 1999	reel 82	frame 519	
Scope and Contents note			
Melinda Dutton			
Children's Express Foundation - #91-96, 1991	reel 82	frame 601	
Scope and Contents note			
Mia MacDonald			
Children's Health Fund - #990292, 1999	reel 82	frame 727	
Scope and Contents note			
Irwin Redlener			
Children's Hospital - #11052, 1988	reel 82	frame 952	
Scope and Contents note			
Judith Palfrey			
Children's Hospital - #9044, 1986	reel 83	frame 1	

Judith Palfrey

Children's Hospital - #990229, 1998	reel 83	frame 963	
Scope and Contents note			
Michael Regalado			
Children's Storefront - #10716, 1987	reel 84	frame 1	
Scope and Contents note			
Elsie Newburg			
Children's Storefront - #95-79, 1995	reel 84	frame 198	
Scope and Contents note			
Ned O'Gorman			
Children's Storefront - #990338, 1998	reel 84	frame 383	
Scope and Contents note			
Elise Aidinoff			
Children's Village - #96731, 1996	reel 84	frame 449	
Scope and Contents note			
Geraldine Alpert			
Christian Brothers College - #2084-037, 1986	reel 84	frame 600	
Scope and Contents note			
Conrad Brombach			
Citizen's Committee for New York - #95-52, 1994	reel 85	frame 1	
Scope and Contents note			
Michael Clark			
Citizen's Union - #93-09, 1992	reel 85	frame 633	
Scope and Contents note			
Henry Stern			
City of New York - #95-66, 1994	reel 85	frame 814	

Michelle Vruwink

City Parks Foundation - #20010176, 2001	reel 85	frame 873	
<b>Scope and Contents note</b>			
Tim Tompkins			
City Parks Foundation - #9188, 1991	reel 85	frame 929	
<b>Scope and Contents note</b>			
Svenja Soldovier			
City Parks Foundation - #94-66, 1993	reel 86	frame 1	
Scope and Contents note			
Betsy Gotbaum			
City Parks Foundation - #95411, 1995	reel 86	frame 390	
Scope and Contents note			
Deborah Landau			
City Parks Foundation - #96572, 1996	reel 86	frame 481	
Scope and Contents note			
Deborah Landau			
City Parks Foundation - #980490, 1997	reel 86	frame 909	
Scope and Contents note			
Deborah Landau			
City Parks Foundation - #990644, 1998	reel 86	frame 1037	
Scope and Contents note			
Tim Tompkins			
City Parks Foundation - #990817, 1999	reel 86	frame 1138	
Scope and Contents note			
Deborah Landau			
City University of New York Graduate Center - #20000463, 2000	reel 87	frame 1	

Gregory Colman

City University of New York Medical School - #970279, 1997	reel 87	frame 181	
Scope and Contents note			
David Michaels			
Cleveland Clinic Foundation - #95542, 1995	reel 87	frame 249	
Scope and Contents note			
Lorraine Mion			
Cleveland Foundation - #20000229, 1999	reel 87	frame 425	
Scope and Contents note			
Robert Eckardt			
Coalition of 100 Black Women - #6637, 1987	reel 87	frame 674	
Scope and Contents note			
Jewell Jackson McCabe			
Coalition of Institutionalized Aged and Disabled - #11194, 1987	reel 87	frame 707	
Scope and Contents note			
Geoff Lieberman			
Columbia University - #10164, 1987	reel 87	frame 860	
Scope and Contents note			
Eli Ginzberg			
Columbia University - #20000064, 1999	reel 88	frame 1	
Scope and Contents note			
Keith Reemtsma			
Columbia University - #8555, 1986	reel 88	frame 134	
Scope and Contents note			
Miriam Ostow			
Columbia University - #90-01, 1989	reel 88	frame 408	

Eli Ginzberg

Columbia University - #90-29, 1989	reel 88	frame 879
Scope and Contents note		
Mary Mundinger		
Columbia University - #9057, 1990	reel 89	frame 1
<b>Scope and Contents note</b>		
Eli Ginzberg		
Columbia University - #91-92, 1991	reel 89	frame 386
<b>Scope and Contents note</b>		
Eli Ginzberg		
Columbia University - #93-90, 1992	reel 89	frame 586
<b>Scope and Contents note</b>		
Allan Rosenfield		
Columbia University - #93-99, 1992	reel 89	frame 762
<b>Scope and Contents note</b>		
Ellen Smith		
Columbia University - #94-10, 1993	reel 90	frame 1
<b>Scope and Contents note</b>		
Jane Sisk		
Columbia University - #94-118, 1994	reel 90	frame 860
Scope and Contents note		
Steven Shea		
Columbia University - #94-126, 1994	reel 91	frame 1
<b>Scope and Contents note</b>		
Robert Fullilove		
Columbia University - #94-14, 1994	reel 91	frame 348

Eli Ginzberg

Columbia University - #94-141, 1994	reel 91	frame 551	
Scope and Contents note			
Donna Gaffney			
Columbia University - #94-45, Tabs 1-2, 1994	reel 91	frame 646	
<b>Scope and Contents note</b>			
Joan Leiman			
Columbia University - #94-45, Tabs 3-10, 1994	reel 92	frame 1	
<b>Scope and Contents note</b>			
Joan Leiman			
Columbia University - #94-84, 1994	reel 93	frame 1	
<b>Scope and Contents note</b>			
Joan Leiman			
Columbia University - #95-16, 1994	reel 94	frame 1	
Scope and Contents note			
Jan Blustein			
Columbia University - #95413, Tabs 1-3, 1995	reel 94	frame 323	
<b>Scope and Contents note</b>			
Joan Leiman			
Columbia University - #95413, Tabs 4-10, 1995	reel 95	frame 1	
<b>Scope and Contents note</b>			
Joan Leiman			
Columbia University - #95447, 1995	reel 95	frame 341	
Scope and Contents note			
Joan Lieman			
Columbia University - #96953, Tabs 1-3, 1996	reel 95	frame 912	

Joan Leiman

Columbia University - #96953, Tabs 4-10, 1996	reel 96	frame 1	
<b>Scope and Contents note</b>			
Joan Leiman			
Columbia University - #970299, 1996	reel 96	frame 399	
Scope and Contents note			
Herbert Pardes			
Columbia University - #970459, 1997	reel 96	frame 459	
Scope and Contents note			
Ronald Bayer			
Columbia University - #970460, 1997	reel 96	frame 679	
<b>Scope and Contents note</b>			
Michael Ganz			
Columbia University - #970486, 1997	reel 96	frame 971	
Scope and Contents note			
Michael Sparer			
Columbia University - #97091, 1996	reel 96	frame 1129	
<b>Scope and Contents note</b>			
Sherry Glied			
Columbia University - #980090, 1997	reel 96	frame 1189	
Scope and Contents note			
Elizabeth Lenz			
Columbia University - #990539, 1999	reel 97	frame 1	
<b>Scope and Contents note</b>			
Annenetine Gelijns			
Columbia University - #990632, 1999	reel 97	frame 93	

Jane Knitzer

Columbia University School of Dental and Oral Surgery - #9999, 1987	reel 97	frame 159	
Scope and Contents note			
Allan Formicola			
Columbia University School of Public Health - #20000705, 2000	reel 97	frame 363	
Scope and Contents note			
Sherry Glied			
Columbia University School of Public Health - #20010361, Tabs 1-4, 2001	reel 97	frame 832	
Scope and Contents note			
Chau Trinh			
Columbia University School of Public Health - #20010361, Tabs 5-10, 2001	reel 98	frame 1	
Scope and Contents note			
Chau Trinh			
Columbia University School of Public Health - #970828, 1997	reel 98	frame 47	
Scope and Contents note			
Rhonda Poirier			
Columbia University School of Public Health - #990818, 1994	reel 98	frame 425	
Scope and Contents note			
Sherry Glied			
Committee for Economic Development - #20000357, 2000	reel 98	frame 925	
Scope and Contents note			
Charles Kolb			
Committee for Economic Development - #96739, 1997	reel 98	frame 1059	
Scope and Contents note			
Sol Hurwitz			
Committee for Economic Development - #980720, 1998	reel 99	frame 1	

Charles Kolb

Committee for Economic Development - #990631, 1999	reel 99	frame 64	
Scope and Contents note			
Charles Kolb			
Committee for Education - #9628, 1986	reel 99	frame 196	
Scope and Contents note			
John Ehle			
Common Sense About Kids and Guns - #990897, 1999	reel 99	frame 267	
Scope and Contents note			
Victoria Kennedy			
Commonwealth Fund - #20000240, 2000	reel 99	frame 314	
Scope and Contents note			
Susan Raetzman			
Commonwealth Fund - #20000732, 2000	reel 99	frame 363	
Scope and Contents note			
David Sandman			
Commonwealth Fund - #20000863, 2000	reel 99	frame 476	
Scope and Contents note			
Cathy Schoen			
Commonwealth Fund - #94-48, 1994	reel 99	frame 790	
Scope and Contents note			
Mo Katz			
Commonwealth Fund - #95-36, 1994	reel 100	frame 1	
Scope and Contents note			
Shawn LaFrance			
Commonwealth Fund - #95453, 1995	reel 100	frame 374	

Karen Scott Collins

Commonwealth Fund - #97082, 1997	reel 100	frame 805	
Scope and Contents note			
Cathy Schoen			
Commonwealth Fund - #990348, 1999	reel 100	frame 937	
<b>Scope and Contents note</b>			
Kathryn Taaffee McLearn			
Commonwealth Fund (Benefits), 1995-1996	reel 101	frame 1	
Scope and Contents note			
Karen Davis			
Commonwealth Fund (Benefits), 1996-1997	reel 101	frame 151	
<b>Scope and Contents note</b>			
Karen Davis			
Commonwealth Fund (Benefits), 1997-1998	reel 101	frame 290	
Scope and Contents note			
Karen Davis			
Commonwealth Fund (Benefits), 1998-1999	reel 101	frame 427	
Scope and Contents note			
Karen Davis			
Commonwealth Fund (Benefits), 1999-2000	reel 101	frame 585	
<b>Scope and Contents note</b>			
Karen Davis			
Commonwealth Fund (Benefits), 2000-2001	reel 101	frame 717	
Scope and Contents note			
Karen Davis			
Commonwealth Fund Minority Health Policy - #970653, 1997	reel 101	frame 894	

Karen Scott Collins

Communications Consortium Media Center - #91-95, 1991	reel 102	frame 1	
Scope and Contents note			
Lael Stegall			
Community Family Planning Council - #92-49, 1991	reel 102	frame 58	
Scope and Contents note			
Kim Atkins			
Community Family Planning Council - #94-71, 1994	reel 102	frame 129	
Scope and Contents note			
Kim Atkins			
Community Family Planning Council - #96049, 1995	reel 102	frame 196	
Scope and Contents note			
Kim Atkins			
Community Resource Exchange - #94-67, 1994	reel 102	frame 201	
Scope and Contents note			
Fran Barrett			
Community Resource Exchange - #9721, 1996	reel 102	frame 323	
Scope and Contents note			
Fran Barrett			
Community Service Society of New York - #95523, 1995	reel 102	frame 667	
Scope and Contents note			
David Jones			
Community Service Society of New York - #970357, 1997	reel 102	frame 699	
Scope and Contents note			
Christine Molnar			
Community Service Society of New York - #980511, Tabs 1-2, 1998	reel 102	frame 896	

Christine Molnar

Community Service Society of New York - #980511, Tabs 3-10, 1998	reel 103	frame 1	
Scope and Contents note			
Christine Molnar			
Conference Board - #91-43, Tabs 1-9, 1990	reel 103	frame 379	
Scope and Contents note			
Preston Townley			
Conference Board - #91-43, Tab 10, 1990	reel 104	frame 1	
Scope and Contents note			
Preston Townley			
Conservancy for Historic Battery Park - #970933, 1997	reel 105	frame 1	
Scope and Contents note			
Warrie Price			
Consumers Union of United States, Inc #20010397, 2001	reel 105	frame 103	
Scope and Contents note			
Trudy Lieberman			
Consumers Union of United States, Inc #96513, 1996	reel 105	frame 371	
Scope and Contents note			
Judith Bell			
Consumers Union of United States, Inc #96528, Tabs 1-3, 1996	reel 106	frame 1	
Scope and Contents note			
Trudy Lieberman			
Consumers Union of United States, Inc #96528, Tabs 4-10, 1996	reel 107	frame 1	
Scope and Contents note			
Trudy Lieberman			
Consumers Union of United States, Inc #970594, 1997	reel 107	frame 156	

Judith Bell

Consumers Union of United States, Inc #980444, 1998	reel 108	frame 1	
Scope and Contents note			
Judith Bell			
Cooke Foundation for Special Education - #95116, 1994	reel 108	frame 782	
Scope and Contents note			
Karen Robards			
Coordinated Care Management - #10019, 1987	reel 108	frame 857	
<b>Scope and Contents note</b>			
MaryAnn Bolles			
Coordinated Care Management - #10825, 1988	reel 109	frame 1	
<b>Scope and Contents note</b>			
Gloria Brennan			
Copiah Lincoln Community College - #91-26, 1990	reel 109	frame 64	
Scope and Contents note			
Howell Garner			
Cornell University - #990420, 1998	reel 109	frame 596	
<b>Scope and Contents note</b>			
Mark Callahan			
Cornell University Medical College - #93-53, 1995	reel 109	frame 745	
Scope and Contents note			
John Dusseau			
Cornell University Medical College - #94-72, 1994	reel 109	frame 793	
Scope and Contents note			
David Rogers			
Corporation for Supportive Housing - #92-68, Tabs 1-2, 1991	reel 109	frame 847	

Julie Sandorf

Corporation for Supportive Housing - #92-68, Tabs 3-10, 1991	reel 110	frame 1
Scope and Contents note		
Julie Sandorf		
Council for Jewish Elderly - #10020, 1986	reel 110	frame 337
Scope and Contents note		
Daniel Silverstein		
Council on Foundations - #10445, 1987	reel 111	frame 1
Scope and Contents note		
James Joseph		
Council on Foundations - #11164, 1989	reel 111	frame 161
Scope and Contents note		
James Joseph		
Council on Foundations - #2000487, 2000	reel 111	frame 447
Scope and Contents note		
Dorothy Ridings		
Council on Foundations - #20010342, 2001	reel 111	frame 646
Scope and Contents note		
Dorothy Ridings		
Council on Foundations - #20020512, 2001	reel 111	frame 938
Scope and Contents note		
Dorothy Ridings		
Council on Foundations - #8746, 1986	reel 112	frame 1
Scope and Contents note		
James Joseph		
Council on Foundations - #90-49, 1990	reel 112	frame 315

James Joseph

Council on Foundations - #91-72, 1991	reel 112	frame 663	
Scope and Contents note			
James Joseph			
Council on Foundations - #92-55, 1992	reel 112	frame 945	
Scope and Contents note			
Kate Guedj			
Council on Foundations - #93-61, 1993	reel 113	frame 1	
<b>Scope and Contents note</b>			
James Joseph			
Council on Foundations - #94-96, 1994	reel 113	frame 447	
<b>Scope and Contents note</b>			
James Joseph			
Council on Foundations - #95-85, 1995	reel 114	frame 1	
<b>Scope and Contents note</b>			
James Joseph			
Council on Foundations - #98-78, 1996	reel 114	frame 229	
<b>Scope and Contents note</b>			
Dorothy Ridings			
Council on Foundations - #96643, 1997	reel 114	frame 410	
<b>Scope and Contents note</b>			
Dorothy Ridings			
Council on Foundations - #970634, 1998	reel 114	frame 660	
Scope and Contents note			
Dorothy Ridings			
Council on Foundations - #980478, 1998	reel 114	frame 779	

Dorothy Ridings

Council on Foundations - #980530, 1998	reel 114	frame 905	
<b>Scope and Contents note</b>			
Dorothy Ridings			
Council on Foundations - #990408, 1999	reel 115	frame 1	
<b>Scope and Contents note</b>			
James Joseph			
Dartmouth College - #80-13, 1982	reel 115	frame 250	
<b>Scope and Contents note</b>			
Henry Harbury			
Dartmouth College - #94-11, Tabs 1-2, 1993	reel 115	frame 948	
<b>Scope and Contents note</b>			
Ann Flood			
Dartmouth College - #94-11, Tabs 3-10, 1993	reel 116	frame 1	
<b>Scope and Contents note</b>			
Ann Flood			
Dartmouth College - #96909, 1996	reel 116	frame 366	
<b>Scope and Contents note</b>			
John Wasson			
Dartmouth College Medical School - #96260, 1996	reel 116	frame 538	
<b>Scope and Contents note</b>			
Allen Dietrich			
Datastat, Inc #95-37, Tabs 1-9, 1994	reel 116	frame 761	
Scope and Contents note			
Sherry Marcy			
Datastat, Inc #95-37, Tab 10, 1994	reel 117	frame 1	
Datastat, Inc #75-57, 140 10, 1774	1001 117	nume i	

Sherry Marcy

Datastat, Inc #96766, 1996	reel 117	frame 1010	
Scope and Contents note			
Sherry Marcy			
Datastat, Inc #970591, 1997	reel 118	frame 1	
Scope and Contents note			
Lou Magilavy			
Delmarva Foundation for Medical Care - #20020708, 2002	reel 118	frame 316	
Scope and Contents note			
Virginia Paganelli			
Denver MetroNet - #10840, 1986	reel 118	frame 552	
Scope and Contents note			
Donald Hoagland			
Denver Museum of Natural History - #90-45, 1990	reel 118	frame 799	
Scope and Contents note			
James Goddard			
Design Trust for Public Space - #980280, 1998	reel 118	frame 985	
Scope and Contents note			
Andrea Woodner			
Dole Foundation - #9041, 1990	reel 119	frame 1	
Scope and Contents note			
Carl Rush			
Duke University - #20000364, 2000	reel 119	frame 101	
Scope and Contents note			
Ralph Snyderman			
Duke University - #90-46, 1989	reel 119	frame 209	

William	Anlyan
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Duke University - #91-59, 1990	reel 119	frame 346	
<b>Scope and Contents note</b>			
Robert Wright			
Duke University - #94-35, 1993	reel 119	frame 430	
Scope and Contents note			
Mark Charles Rogers			
Duke University - #96031, 1995	reel 119	frame 579	
Scope and Contents note			
Warren Herndon			
Duke University Medical Center - #96911, 1995	reel 119	frame 626	
Scope and Contents note			
Warren Herndon			
Economic and Social Research Institute - #2000441, 2000	reel 119	frame 683	
Scope and Contents note			
Jack Meyer			
Education and Research Foundation of the Better Business Bureau of New York - #970323, 1996	reel 119	frame 784	
Scope and Contents note			
B. Opotowsky			
Education and Research Fund of EBRI - #20000355, 1999	reel 120	frame 1	
Scope and Contents note			
Paul Fronstin			
Education and Research Fund of EBRI - #20000645, 2000	reel 120	frame 70	
Scope and Contents note			
Paul Fronstin			
Education and Research Fund of EBRI - #20010414, 2001	reel 120	frame 317	

Paul Fronstin

Education Development Center - #96255, 1995	reel 120	frame 436	
Scope and Contents note			
Stu Cohen			
Educational Film Center - #11159, 1988	reel 120	frame 696	
Scope and Contents note			
Robert Gardner			
Eleanor Roosevelt Monument Fund - #95-73, 1994	reel 120	frame 889	
Scope and Contents note			
Franklin D. Roosevelt III			
Elliott White Springs Memorial Hospital - #91-66, 1991	reel 120	frame 981	
Scope and Contents note			
Ann Nelson			
Elmhurst Hospital Center - #94-36, 1993	reel 121	frame 1	
Scope and Contents note			
Brenda LaVann			
Emory University - #95451, Tabs 1-2 (February 14, 1996), 1994	reel 121	frame 203	
Scope and Contents note			
Carol Hogue			
Emory University - #95451, Tab 3 (June 9-11, 1996), 1994	reel 122	frame 1	
Scope and Contents note			
Carol Hogue			
Emory University - #95451, Tabs 3-9 (April 22, 1996), 1994	reel 123	frame 1	
Scope and Contents note			
Carol Hogue			
Emory University - #95451, Tab 10, 1994	reel 124	frame 1	

Carol Hogue

Emory University - #980842, 1998	reel 124	frame 456	
Scope and Contents note			
Dale Nordenberg			
Emory University - #990913, 1999	reel 124	frame 555	
<b>Scope and Contents note</b>			
Kenneth Thorpe			
Employee Benefit Research Institute - #11181, 1989	reel 124	frame 677	
<b>Scope and Contents note</b>			
William Cusler			
Employee Benefit Research Institute - #20020335, 2002	reel 124	frame 1127	
<b>Scope and Contents note</b>			
Paul Fronstin			
Employee Benefit Research Institute - #20020464, 2002	reel 125	frame 1	
Scope and Contents note			
Paul Fronstin			
Employee Benefit Research Institute - #980209, 1998	reel 125	frame 231	
<b>Scope and Contents note</b>			
Dallas Salisbury			
Employee Benefit Research Institute - #990082, 1999	reel 125	frame 291	
<b>Scope and Contents note</b>			
Paul Fronstin			
Enterprise Foundation - #93-11, 1992	reel 125	frame 400	
Scope and Contents note			
William Frey			
Executive Office of Public Safety - #10392, 1987	reel 125	frame 792	

Terrance Schiavone

Executive Office of Public Safety - #10426, 1987	reel 125	frame 1080	
<b>Scope and Contents note</b>			
Terrance Schiavone			
Executive Office of Public Safety - #10451, 1988	reel 126	frame 1	
Scope and Contents note			
Terrance Schiavone			
Executive Office of Public Safety - #90-07, Tabs 1-8, 1989	reel 126	frame 679	
Scope and Contents note			
Terrance Schiavone			
Executive Office of Public Safety - #90-07, Tabs 9-10, 1989	reel 127	frame 1	
Scope and Contents note			
Terrance Schiavone			
Executive Office of Public Safety - #91-11, 1990	reel 127	frame 203	
Scope and Contents note			
Terrance Schiavone			
Exploratorium - #8494, 1984	reel 127	frame 553	
Scope and Contents note			
Frank Oppenheimer			
FACCT, Inc #20000252, 1999	reel 127	frame 718	
Scope and Contents note			
Christina Bethell			
FACCT, Inc #20000512, 2000	reel 127	frame 850	
Scope and Contents note			
Christina Bethell			
FACCT, Inc #20010529, 2001	reel 127	frame 1010	

David Lansky

FACCT, Inc #980495, 1997	reel 128	frame 1	
Scope and Contents note			
Christina Bethell			
FACCT, Inc #980498, 1998	reel 128	frame 211	
Scope and Contents note			
Christina Bethell			
Families and Work Institute - #91-81, 1991	reel 129	frame 1	
Scope and Contents note			
Ellen Galinsky			
Families and Work Institute - #94-136, 1994	reel 130	frame 1	
Scope and Contents note			
Ellen Galinsky			
Families and Work Institute - #95099, 1995	reel 130	frame 294	
Scope and Contents note			
Ellen Galinsky			
Families and Work Institute - #95457, 1995	reel 131	frame 1	
Scope and Contents note			
Ellen Galinsky			
Families and Work Institute - #96677, 1996	reel 131	frame 180	
Scope and Contents note			
Ellen Galinsky			
Families and Work Institute - #97119, 1997	reel 131	frame 516	
<b>Scope and Contents note</b>			
Ellen Galinsky			
Families and Work Institute - #990044, 1999	reel 131	frame 734	

Ellen Galinsky

Families USA Foundation - #970442, 1997	reel 132	frame 1	
Scope and Contents note	reel 132	folder 1	
Geraldine Dallek			
Families USA Foundation - #971068, 1997	reel 132	frame 136	
Scope and Contents note			
Geraldine Dallek			
Floating Hospital - #92-26, 1991	reel 132	frame 383	
<b>Scope and Contents note</b>			
Mary Bleiberg			
Foundation Center - #10804, 1986	reel 132	frame 565	
<b>Scope and Contents note</b>			
Thomas Buckman			
Foundation Center - #11220, Tabs 1-9, 1988	reel 132	frame 826	
<b>Scope and Contents note</b>			
Wilhelm Bartenbach			
Foundation Center - #11220, Tab 10, 1988	reel 133	frame 1	
<b>Scope and Contents note</b>			
Wilhelm Bartenbach			
Foundation Center - #91-78, 1991	reel 133	frame 536	
<b>Scope and Contents note</b>			
Sara Engelhardt			
Foundation Center - #94-94, 1994	reel 133	frame 925	
<b>Scope and Contents note</b>			
Sara Engelhardt			
Foundation Center - #970636, 1997	reel 134	frame 1	

Sara Engelhardt

Foundation Center - #980569, 1998	reel 134	frame 106	
Scope and Contents note			
Sara Englehardt			
Foundation Center - #9902, 1998	reel 134	frame 264	
Scope and Contents note			
Thomas Buckman			
Foundation Center - #990510, 1999	reel 134	frame 389	
Scope and Contents note			
Sara Englehardt			
Foundation for Health Services Research - #10491, 1987	reel 134	frame 480	
Scope and Contents note			
Alice Hersh			
Foundation for Health Services Research - #10703, 1989	reel 134	frame 539	
Scope and Contents note			
Alice Hersh			
Foundation for Health Services Research - #92-44, 1991	reel 135	frame 1	
Scope and Contents note			
Alice Hersh			
Foundation for Health Services Research - #94-19, 1993	reel 135	frame 274	
Scope and Contents note			
Alice Hersh			
Foundation for Health Services Research - #95-12, Tabs 1-2, 1994	reel 135	frame 815	
Scope and Contents note			
Alice Hersh			
Foundation for Health Services Research - #95-12, Tabs 3-10, 1994	reel 136	frame 1	

Alice Hersh

Foundation for Health Services Research - #96002, 1996	reel 137	frame 1	
Scope and Contents note			
Alice Hersh			
Foundation for Healthcare Policy and Evaluation - #20010381, 2001	reel 137	frame 450	
Scope and Contents note			
Sheila Leatherman			
Foundation for Healthcare Policy and Evaluation - #990771, Tabs 1-5, 1999	reel 137	frame 566	
Scope and Contents note			
Patricia Venus			
Foundation for Healthcare Policy and Evaluation - #990771, Tabs 6-10, 1999	reel 138	frame 1	
Scope and Contents note			
Patricia Venus			
Foundation for International Studies on Social Security - #20020031, 2002	reel 138	frame 541	
Scope and Contents note			
Han Emanuel			
Foundation for the National Capitol Region - #95473, 1995	reel 138	frame 601	
Scope and Contents note			
Leon Dash			
Freedom Institute - #111045, 1987	reel 138	frame 763	
Scope and Contents note			
Edmona Mansell			
Freedom Institute - #8873, 1988	reel 138	frame 850	
Scope and Contents note			
Edmona Mansell			
Freedom Institute - #90-13, 1989	reel 138	frame 915	

Elinor McClaren

Freedom Institute - #91-02, 1990	reel 138	frame 962	
Scope and Contents note			
Edmona Mansell			
Freedom Institute - #92-02, 1991	reel 138	frame 1008	
Scope and Contents note			
Edmona Mansell			
Freedom Institute - #93-13, 1992	reel 138	frame 1078	
Scope and Contents note			
Cary Normile			
Freedom Institute - #94-03, 1993	reel 138	frame 1139	
<b>Scope and Contents note</b>			
Cary Normile			
Freedom Institute - #9720, 1996	reel 139	frame 1	
Scope and Contents note			
Edmona Mansell			
Freedom Institute - #9887, 1998	reel 139	frame 64	
<b>Scope and Contents note</b>			
Cathleen Clements			
French American Foundation - #95575, 1995	reel 139	frame 117	
<b>Scope and Contents note</b>			
Susan Watts			
Fresh Air Fund - #11046, 1987	reel 139	frame 634	
<b>Scope and Contents note</b>			
Jenny Morgenthau			
Fresh Air Fund - #8874, 1988	reel 139	frame 722	

Jenny Morgenthau

Fresh Air Fund - #91-03, 1989	reel 139	frame 879
Scope and Contents note		
Jenny Morgenthau		
Fresh Air Fund - #90-14, 1990	reel 139	frame 914
Scope and Contents note		
Jenny Morgenthau		
Fresh Air Fund - #94-78, 1994	reel 139	frame 945
Scope and Contents note		
Lisa Watson		
Fresh Air Fund - #9714, 1996	reel 140	frame 1
<b>Scope and Contents note</b>		
Jenny Morgenthau		
Fresh Air Fund - #9888, 1998	reel 140	frame 73
Scope and Contents note		
Jenny Morgenthau		
Friends and Relatives of the Institutionalized Aged - #11089, 1987	reel 140	frame 139
Scope and Contents note		
Cynthia Rudder		
Friends of Harlem Hospital - #95-31, 1994	reel 140	frame 520
Scope and Contents note		
Pamela Hamilton		
Friends of Sheba Medical Center - #980944, 1998	reel 140	frame 549
Scope and Contents note		
Tel Hashomer		
Fund for Aging Services - #92-72, 1992	reel 140	frame 606

Paul Dieterich

Fund for Public Schools - #94-140, 1994	reel 140	frame 747	
Scope and Contents note			
Linda Frank			
Fund for the City of New York - #11154, 1988	reel 140	frame 938	
Scope and Contents note			
Gregory Farrell			
Fund for the City of New York - #9011, 1989	reel 140	frame 1039	
<b>Scope and Contents note</b>			
Gregory Farrell			
Fund for the City of New York - #90-39, 1990	reel 141	frame 1	
Scope and Contents note			
Gregory Farrell			
Fund for the City of New York - #92-19, 1991	reel 141	frame 66	
<b>Scope and Contents note</b>			
Marylinn Holobean			
Fund for the City of New York - #9465, 1994	reel 141	frame 189	
Scope and Contents note			
Mary McCormick			
Fund for the City of New York - #96023, 1995	reel 141	frame 264	
Scope and Contents note			
Elizabeth Rogers			
Fund for the City of New York - #96911, 1996	reel 141	frame 397	
<b>Scope and Contents note</b>			
Mary McCormick			
Fund for the City of New York - #990259, 1999	reel 141	frame 528	

Mary McCormick

Gay Men's Health Crisis - #95-62, 1995	reel 141	frame 609	
Scope and Contents note			
David Nimmons			
GBSM, Inc #20010116, Tabs 1-4, 2001	reel 141	frame 952	
Scope and Contents note			
Robert Simon			
GBSM, Inc #20010116, Tabs 5-10, 2001	reel 142	frame 1	
Scope and Contents note			
Robert Simon			
George Washington University - #20000224, 2001	reel 142	frame 115	
Scope and Contents note			
Brian Biles			
George Washington University - #92-36, 2000	reel 142	frame 429	
Scope and Contents note			
Richard Merritt			
George Washington University - #92-77, 1991	reel 142	frame 1057	
Scope and Contents note			
Judith Jones			
George Washington University - #93-33, 1992	reel 143	frame 1	
Scope and Contents note			
Peter Budetti			
George Washington University - #93-34, 1993	reel 143	frame 156	
Scope and Contents note			
Richard Merritt			
George Washington University - #94-59, 1993	reel 143	frame 415	

Richard Merritt

George Washington University - #94-61, 1994	reel 144	frame 1
Scope and Contents note		
Peter Budetti		
George Washington University - #95881, 1994	reel 144	frame 540
Scope and Contents note		
Deborah Lamm		
George Washington University - #980772, 1995	reel 144	frame 630
Scope and Contents note		
Sara Rosenbaum		
George Washington University - #990566, 1998	reel 144	frame 1024
Scope and Contents note		
Sara Rosenbaum		
George Washington University - #9961, 1999	reel 145	frame 1
Scope and Contents note		
Judith Miller Jones		
George Washington University Center for Health Policy Research - #96175, 1999	reel 145	frame 663
Scope and Contents note		
Ann Zuvekas		
Georgetown University - #10160, 1996	reel 146	frame 1
Scope and Contents note		
Judith Feder		
Georgetown University - #10315, 1987	reel 146	frame 524
Scope and Contents note		
Judith Feder		
Georgetown University - #94-73, 1988	reel 146	frame 838

Victor Cohn

Georgetown University - #95450, Tabs 1-2, 1994	reel 146	frame 914	
<b>Scope and Contents note</b>			
James Reuter			
Georgetown University - #95450, Tabs 3-10, 1994	reel 147	frame 1	
<b>Scope and Contents note</b>			
James Reuter			
Georgetown University - #95-55, 1995	reel 147	frame 307	
<b>Scope and Contents note</b>			
Warren Reich			
Georgetown University - #96962, 1995	reel 147	frame 406	
<b>Scope and Contents note</b>			
Harriet Komisar			
Georgetown University - #970273, 1996	reel 147	frame 783	
<b>Scope and Contents note</b>			
Judith Feder			
Georgetown University - #980205, 1997	reel 148	frame 1	
Scope and Contents note			
Judith Feder			
Georgetown University - #980441, Tabs 1-3, 1998	reel 148	frame 718	
<b>Scope and Contents note</b>			
Geraldine Dallek			
Georgetown University - #980441, Tabs 4-10, 1998	reel 149	frame 1	
<b>Scope and Contents note</b>			
Geraldine Dallek			
Georgetown University - #980739, 1998	reel 149	frame 134	

Marian Gornick

Georgetown University Medical Center - #96959, 1998	reel 149	frame 339	
Scope and Contents note			
James Reuter			
Georgetown University Medical Center - #96963, Tabs 1-3, 1996	reel 149	frame 803	
Scope and Contents note			
Darryl Gaskin			
Georgetown University Medical Center - #96963, Tabs 4-10, 1996	reel 150	frame 1	
Scope and Contents note			
Darryl Gaskin			
Georgetown University Medical Center - #970953, 1996	reel 150	frame 174	
Scope and Contents note			
James Reuter			
Georgetown University Medical Center - #980769, 1997	reel 151	frame 1	
Scope and Contents note			
James Reuter			
Georgetown University School of Medicine - #94-56, 1998	reel 151	frame 695	
Scope and Contents note			
Jack Hadley			
Georgetown University School of Medicine - #96174, 1993	reel 152	frame 1	
Scope and Contents note			
Judith Feder			
Gerontological Society of America - #990437, 1995	reel 152	frame 203	
Scope and Contents note			
Robert Friedland			
Gerontological Society of America - #990907, 1999	reel 152	frame 423	

Robert Friedland

Gift of Life Foundation - #20000414, 1999	reel 152	frame 531	
Scope and Contents note			
Maria Lopes			
Giraffe Project - #93-50, 2000	reel 152	frame 699	
Scope and Contents note			
Jean Gaznier			
Global Strategy Group, Inc #980519, 1993	reel 153	frame 1	
Scope and Contents note			
Peter Feld			
Global Strategy Group, Inc #990199, 1998	reel 153	frame 258	
Scope and Contents note			
Peter Feld			
Governor's Highway Safety Bureau of the Commonwealth of Massachusetts - #91-84, 1999	reel 154	frame 1	
Scope and Contents note			
Thomas McGovern			
Governor's Highway Safety Bureau of the Commonwealth of Massachusetts - #92-18, 1991	reel 154	frame 476	
Scope and Contents note			
Thomas McGovern			
Governor's Highway Safety Bureau of the Commonwealth of Massachusetts - #93-05, Tabs 1-9, 1992	reel 154	frame 726	
Scope and Contents note			
Thomas McGovern			
Governor's Highway Safety Bureau of the Commonwealth of Massachusetts - #93-05, Tab 10, 1992	reel 155	frame 1	
Scope and Contents note			
Thomas McGovern			
Grantmakers for Children, Youth and Families - #96649, 1993	reel 155	frame 357	

Mary Bogle

Grantmakers for Children, Youth and Families - #970641, 1996	reel 155	frame 602
Scope and Contents note		
Mary Bogle		
Grantmakers in Aging, Inc #20040091, 1997	reel 155	frame 791
Scope and Contents note		
Carol Farquhar		
Grantmakers in Health - #11165, 2003	reel 155	frame 875
Scope and Contents note		
Catherine McDermott		
Grantmakers in Health - #20020130, 1989	reel 155	frame 978
Scope and Contents note		
Anne Schwartz		
Grantmakers in Health - #9032, 2002	reel 156	frame 1
Scope and Contents note		
Catherine McDermott		
Grantmakers in Health - #90-50, 1989	reel 156	frame 499
Scope and Contents note		
Catherine McDermott		
Grantmakers in Health - #91-73, 1990	reel 156	frame 610
Scope and Contents note		
Catherine McDermott		
Grantmakers in Health - #92-56, 1991	reel 157	frame 1
<b>Scope and Contents note</b>		
Catherine McDermott		
Grantmakers in Health - #93-62, 1992	reel 157	frame 68

Catherine McDermott

Grantmakers in Health - #94-107, 1993	reel 157	frame 200	
Scope and Contents note			
Catherine McDermott			
Grantmakers in Health - #94-97, 1994	reel 157	frame 295	
<b>Scope and Contents note</b>			
Catherine McDermott			
Grantmakers in Health - #95-86, 1994	reel 157	frame 335	
<b>Scope and Contents note</b>			
Catherine McDermott			
Grantmakers in Health - #96641, 1995	reel 157	frame 390	
Scope and Contents note			
Catherine McDermott			
Grantmakers in Health - #96644, 1996	reel 157	frame 556	
Scope and Contents note			
Catherine McDermott			
Grantmakers in Health - #970638, 1996	reel 157	frame 598	
Scope and Contents note			
Catherine McDermott			
Grantmakers in Health - #980722, 1997	reel 157	frame 671	
Scope and Contents note			
Lauren LeRoy			
Greater New York Hospital Foundation - #20000758, 1998	reel 157	frame 948	
Scope and Contents note			
Rima Cohen			
Greater Philadelphia Urban Affairs Coalition - #91-10, 2000	reel 157	frame 1022	

P. Turner Bunyon

Greater Philadelphia Urban Affairs Coalition - #92-40, 1990	reel 158	frame 1	
Scope and Contents note			
P. Turner Bunyon			
Greater Philadelphia Urban Affairs Coalition - #93-42, 1991	reel 158	frame 444	
<b>Scope and Contents note</b>			
Marciene Mattleman			
Greater Philadelphia Urban Affairs Coalition - #94-64, Tabs 1-4, 1992	reel 158	frame 753	
<b>Scope and Contents note</b>			
Marciene Mattleman			
Greater Philadelphia Urban Affairs Coalition - #94-64, Tabs 5-10, 1992	reel 159	frame 1	
Scope and Contents note			
Marciene Mattleman			
Greater Philadelphia Urban Affairs Coalition - #95-39, 1993	reel 159	frame 77	
Scope and Contents note			
Marciene Mattleman			
Greater Philadelphia Urban Affairs Coalition - #96147, 1994	reel 159	frame 464	
Scope and Contents note			
Marciene Mattleman			
Greater Philadelphia Urban Affairs Coalition - #971050, 1995	reel 159	frame 1095	
Scope and Contents note			
P. Turner Bunyon			
Greater Southeast Healthcare System - #92-62, 1996	reel 160	frame 1	
Scope and Contents note			
Dalton Tong			
Greater Southeast Healthcare System - #93-04, 1991	reel 160	frame 45	

Jo Ann Kurtz

Greater Southeast Healthcare System - #93-84, 1992	reel 160	frame 525	
Scope and Contents note			
Thomas Chapman			
Greater Southeast Healthcare System - #94-80, 1993	reel 160	frame 957	
<b>Scope and Contents note</b>			
Dalton Tong			
Greater Southeast Healthcare System - #95422, 1994	reel 161	frame 1	
Scope and Contents note			
Dalton Tong			
Greater Southeast Healthcare System - #96622, 1995	reel 161	frame 852	
Scope and Contents note			
Dalton Tong			
Greater Southeast Healthcare System - #970646, 1996	reel 162	frame 1	
<b>Scope and Contents note</b>			
Dalton Tong			
Greenbelt Conservancy, Inc #20000087, 1997	reel 162	frame 443	
Scope and Contents note			
Edward Toth			
Hahnemann University Hospital - #94-37, 1999	reel 162	frame 521	
<b>Scope and Contents note</b>			
Iqbal Paroo			
Harlem Interfaith Counseling Service - #92-29, 1993	reel 162	frame 708	
Scope and Contents note			
Doris Dennard			
Harlem Interfaith Counseling Service - #94-132, 1991	reel 163	frame 1	

Doris Dennard

Harvard Community Health Plan - #10236, 1994	reel 163	frame 83	
Scope and Contents note			
Donald M. Berwick			
Harvard Community Health Plan - #10823, 1990	reel 163	frame 329	
<b>Scope and Contents note</b>			
Gordon Moore			
Harvard Medical School - #20000218, 1988	reel 163	frame 543	
Scope and Contents note			
Paul Cleary			
Harvard Medical School - #10842, 1999	reel 164	frame 1	
Scope and Contents note			
S. James Adelstein			
Harvard Medical School - #90-82, 1989	reel 164	frame 94	
Scope and Contents note			
Edward Kravitz			
Harvard Medical School - #92-11, 1990	reel 164	frame 250	
Scope and Contents note			
Paul Cleary			
Harvard Medical School - #94-127, 1991	reel 164	frame 516	
<b>Scope and Contents note</b>			
Edward Guadagnoli			
Harvard Medical School - #95420, 1994	reel 165	frame 1	
<b>Scope and Contents note</b>			
Joan Reede			
Harvard Medical School - #95-45, 1995	reel 165	frame 368	

Pamela Barron

Harvard Medical School - #95521, 1995	reel 166	frame 1	
<b>Scope and Contents note</b>			
Ezekiel Emanuel			
Harvard Medical School - #95-77, 1995	reel 166	frame 937	
<b>Scope and Contents note</b>			
Daniel Tosteson			
Harvard Medical School - #96022, 1995	reel 166	frame 1085	
Scope and Contents note			
Arnold Epstein			
Harvard Medical School - #96186, Tabs 1-3, 1996	reel 167	frame 1	
Scope and Contents note			
Joan Reede			
Harvard Medical School - #96186, Tab 3 (continued), 1996	reel 168	frame 1	
Scope and Contents note			
Joan Reede			
Harvard Medical School - #96186, Tabs 4-10, 1996	reel 169	frame 1	
Scope and Contents note			
Joan Reede			
Harvard Medical School - #96914, 1996	reel 169	frame 790	
Scope and Contents note			
Gerald Koocher			
Harvard Medical School - #96952, 1996	reel 169	frame 1128	
Scope and Contents note			
Joseph Newhouse			
Harvard Medical School - #970268, Tabs 1-7, 1996	reel 170	frame 1	

Joan Reede

Harvard Medical School - #970268, Tabs 8-10, 1996	reel 171	frame 1	
Scope and Contents note			
Joan Reede			
Harvard Medical School - #970940, 1997	reel 171	frame 306	
<b>Scope and Contents note</b>			
Joan Reede			
Harvard Medical School - #9723, 1997	reel 171	frame 881	
Scope and Contents note			
John Rowe			
Harvard Medical School - #980414, 1997	reel 172	frame 1	
Scope and Contents note			
Michael Rosenblatt			
Harvard Medical School - #980440, 1998	reel 172	frame 144	
Scope and Contents note			
Joseph Newhouse			
Harvard Medical School - #980774, Tabs 1-3, 1998	reel 172	frame 529	
Scope and Contents note			
Joan Reede			
Harvard Medical School - #980774, Tabs 4-10, 1998	reel 173	frame 1	
<b>Scope and Contents note</b>			
Joan Reede			
Harvard Medical School - #980909, 1998	reel 173	frame 457	
Scope and Contents note			
Leon Eisenberg			
Harvard Medical School, Division of Health Policy Education and Research - #9871, 1998	reel 173	frame 511	

John Rowe

Harvard Pilgrim Health Care - #980666, 1998	reel 173	frame 651	
Scope and Contents note			
Gordon Moore			
Harvard School of Public Health - #11125, Tabs 1-4, 1998	reel 173	frame 761	
Scope and Contents note			
M. Roberts			
Harvard School of Public Health - #11125, Tabs 5-10, 1998	reel 174	frame 1	
<b>Scope and Contents note</b>			
M. Roberts			
Harvard School of Public Health - #5624, 1991	reel 174	frame 701	
Scope and Contents note			
Howard H. Hiatt			
Harvard School of Public Health - #9727/90-37 - File 1, Tabs 1-5, 1987	reel 174	frame 731	
Scope and Contents note			
Jay Winsten			
Harvard School of Public Health - #9727/90-37 - File 1, Tabs 6-10, 1987	reel 175	frame 1	
Scope and Contents note			
Jay Winsten			
Harvard School of Public Health - #9727/90-37 - File 2, Tabs 1-5, 1987	reel 175	frame 99	
Scope and Contents note			
Jay Winsten			
Harvard School of Public Health - #9727/90-37 - File 2, Tab 5 (continued), 1987	reel 176	frame 1	
Scope and Contents note			
Jay Winsten			
Harvard School of Public Health - #90-57, 1987	reel 176	frame 719	

Frank Mosteller

Harvard School of Public Health - #91-52, 1990	reel 176	frame 933
Scope and Contents note		
Robert Blendon		
Harvard School of Public Health - #91-62, 1990	reel 176	frame 1077
<b>Scope and Contents note</b>		
Penny Feldman		
Harvard School of Public Health - #91-86, 1990	reel 177	frame 1
Scope and Contents note		
Diana C. Walsh		
Harvard School of Public Health - #92-67, 1991	reel 177	frame 417
Scope and Contents note		
Diana C. Walsh		
Harvard School of Public Health - #92-71, 1992	reel 177	frame 739
Scope and Contents note		
Diana C. Walsh		
Harvard School of Public Health - #93-19, Tabs 1-2, 1992	reel 177	frame 920
Scope and Contents note		
Penny Feldman		
Harvard School of Public Health - #93-19, Tabs 3-10, 1992	reel 178	frame 1
Scope and Contents note		
Penny Feldman		
Harvard School of Public Health - #93-76, 1992	reel 178	frame 291
<b>Scope and Contents note</b>		
Robert Blendon		
Harvard School of Public Health - #94-12, 1993	reel 178	frame 661

Nancy Kane

Harvard School of Public Health - #96058, 1994	reel 178	frame 914
<b>Scope and Contents note</b>		
Katherine Swartz		
Harvard School of Public Health - #970622, 1995	reel 178	frame 1004
Scope and Contents note		
Arnold Epstein		
Harvard School of Public Health - #97064, 1996	reel 179	frame 1
Scope and Contents note		
S. Jody Heymann		
Harvard School of Public Health - #970645, 1996	reel 179	frame 155
Scope and Contents note		
Jack Needleman		
Harvard School of Public Health - #970926, 1997	reel 180	frame 1
Scope and Contents note		
Robert Blendon		
Harvard School of Public Health - #980753, 1997	reel 180	frame 414
Scope and Contents note		
Eric Schneider		
Harvard School of Public Health - #980827, Tabs 1-2, 1997	reel 180	frame 724
Scope and Contents note		
Robert Blendon & Karen Donelan		
Harvard School of Public Health - #980827, Tabs 3-10, 1997	reel 181	frame 1
Scope and Contents note		
Robert Blendon & Karen Donelan		
Harvard School of Public Health - #980868, 1997	reel 181	frame 225

Karen Donelan

Harvard School of Public Health - #9830, 1998	reel 181	frame 328	
<b>Scope and Contents note</b>			
Jay Winston			
Harvard School of Public Health - #9874, Tabs 1-7, 1998	reel 181	frame 569	
Scope and Contents note			
N. Kane			
Harvard School of Public Health - #9874, Tabs 8-10, 1998	reel 182	frame 1	
Scope and Contents note			
N. Kane			
Harvard School of Public Health - #990773, 1998	reel 182	frame 707	
Scope and Contents note			
Katherine Swartz			
Harvard School of Public Health - #990822, 1999	reel 182	frame 861	
Scope and Contents note			
Robert Blendon			
Harvard School of Public Health - #9949, 1999	reel 183	frame 1	
Scope and Contents note			
William Hsiao			
Harvard University - #6223, 1986	reel 183	frame 142	
Scope and Contents note			
Barbara Rosenkrantz			
Harvard University - #8569, 1982	reel 183	frame 443	
<b>Scope and Contents note</b>			
Barbara Rosenkrantz			
Harvard University - #8878, Tabs 1-3, 1985	reel 183	frame 937	

John Dunlop

reel 184	frame 1	
reel 184	frame 52	
reel 184	frame 805	
reel 185	frame 1	
reel 185	frame 343	
reel 185	frame 454	
reel 185	frame 620	
reel 185	frame 785	
	reel 184 reel 185 reel 185 reel 185	reel 184 frame 52  reel 184 frame 805  reel 185 frame 1  reel 185 frame 343  reel 185 frame 454

Theodore Pelosi

Haverhill, City of - #91-53, 1987	reel 186	frame 1	
Scope and Contents note			
Theodore Pelosi			
Health and Medicine Policy Research Group - #20010559, 1990	reel 186	frame 151	
Scope and Contents note			
Margie J. Schaps			
Health and Medicine Policy Research Group - #97059, 2001	reel 186	frame 322	
Scope and Contents note			
Carole Warshaw			
Health Care Coverage and Access Program - #970948, 1996	reel 186	frame 487	
Scope and Contents note			
Cathy Schoen			
Health Care Coverage and Quality Program - #96001, 1997	reel 186	frame 979	
Scope and Contents note			
Brian Biles			
Health Care Coverage and Quality Program - #96961, 1995	reel 187	frame 1	
Scope and Contents note			
Brian Biles			
Health Insurance Association of America - #980474, 1996	reel 187	frame 224	
Scope and Contents note			
Charles Kahn			
Health Insurance Coverage for Families - #970276, 1998	reel 187	frame 404	
Scope and Contents note			
Cathy Schoen			
Health Policy Alternatives - #970311, 1997	reel 187	frame 934	

Michael Hash

Health Research and Education Trust - #20000510, 1997	reel 187	frame 1004	
Scope and Contents note			
Mary Pittman			
Health Research and Education Trust - #20000461, 2000	reel 188	frame 1	
Scope and Contents note			
Mary Pittman			
Health Research and Education Trust - #20000533, 2000	reel 188	frame 381	
Scope and Contents note			
John Gabel			
Health Research, Inc #94-51, 2000	reel 188	frame 479	
Scope and Contents note			
Mary Jane Koren			
Health Research, Inc #95-18, Tabs 1-2, 1993	reel 188	frame 960	
Scope and Contents note			
Brian Hendricks			
Health Research, Inc #95-18, Tab 3, 1993	reel 189	frame 1	
Scope and Contents note			
Brian Hendricks			
Health Research, Inc #95-18, Tabs 3 (continued)-10, 1993	reel 190	frame 1	
Scope and Contents note			
Brian Hendricks			
Health Research, Inc #971028, 1994	reel 190	frame 354	
Scope and Contents note			
Edward Salsberg			
Health Services Foundation - #990214, 1996	reel 190	frame 459	

Diane Hunter

Health Systems Research, Inc #990516, 1998	reel 190	frame 816	
Scope and Contents note			
Lawrence Bartlett			
Henry Ford Health Systems - #980148, Tabs 1-3, 1999	reel 191	frame 1	
<b>Scope and Contents note</b>			
David Nerenz			
Henry Ford Health Systems - #980148, Tabs 4-10, 1999	reel 192	frame 1	
<b>Scope and Contents note</b>			
David Nerenz			
Historic Landmarks Preservation Center, #90-25, 1997	reel 192	frame 802	
<b>Scope and Contents note</b>			
Barbaralee Diamondstein			
Historic Landmarks Preservation Center, #92-82, 1989	reel 192	frame 853	
Scope and Contents note			
Barbaralee Diamondstein			
Hope for the Children - #10380, 1991	reel 192	frame 1002	
<b>Scope and Contents note</b>			
Richard Krugman			
Horticultural Society of New York - #20000427, 1987	reel 193	frame 1	
Scope and Contents note			
Anthony Smith			
Horticultural Society of New York - #970832, 2000	reel 193	frame 88	
Scope and Contents note			
Anthony Smith			
Hospital Audiences - #11047, 1997	reel 193	frame 213	

Michael	Jon	Spencer
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Hospital Audiences - #8742, 1986	reel 193	frame 299
<b>Scope and Contents note</b>		
Michael Jon Spencer		
Hospital Audiences - #90-15, 1987	reel 193	frame 398
<b>Scope and Contents note</b>		
Michael Jon Spencer		
Hospital Audiences - #91-04, 1989	reel 193	frame 470
<b>Scope and Contents note</b>		
Michael Jon Spencer		
Hospital Audiences - #92-04, 1990	reel 193	frame 538
<b>Scope and Contents note</b>		
Michael Jon Spencer		
Hospital Audiences - #93-14, 1991	reel 193	frame 597
Scope and Contents note		
Tricia Hennessey		
Hospital Audiences - #94-04, 1992	reel 193	frame 680
<b>Scope and Contents note</b>		
Michael Jon Spencer		
Hospital Audiences - #95-03, 1993	reel 193	frame 736
<b>Scope and Contents note</b>		
Michael Jon Spencer		
Hospital Audiences - #9580, 1994	reel 193	frame 804
Scope and Contents note		
Michael Jon Spencer		
Hospital Audiences - #96019, 1995	reel 193	frame 909

Michael Jon Spencer

Hospital Audiences - #9889, 1996	reel 193	frame 1037	
Scope and Contents note			
Michael Jon Spencer			
Hospital Chaplaincy - #9113, 1997	reel 194	frame 1	
Scope and Contents note			
John Twiname			
Hospital Chaplaincy - #92-81, 1990	reel 194	frame 119	
Scope and Contents note			
John Twiname			
Hospital Research and Educational Trust - #10053, 1991	reel 194	frame 283	
Scope and Contents note			
Constance Curran			
Hospital Research and Educational Trust - #93-47, 1989	reel 195	frame 1	
Scope and Contents note			
John Dunlop			
Hospital Research and Educational Trust - #990219, 1992	reel 195	frame 41	
Scope and Contents note			
Jon Gabel			
Hospital Research and Educational Trust - #990678, 1998	reel 195	frame 159	
Scope and Contents note			
Jon Gabel			
HRA Planning and Research Corporation - #90-58, 1999	reel 195	frame 258	
Scope and Contents note			
Kathryn Haslanger			
Hunter College - #6281, 1990	reel 195	frame 427	

Donna Shalala

Hunter College - #8745, 1985	reel 195	frame 474	
Scope and Contents note			
Donna Shalala			
Huntington Medical Research Institutes - #92-79, 1986	reel 195	frame 783	
<b>Scope and Contents note</b>			
Richard Bing			
I Have a Dream Foundation - #10013, 1991	reel 195	frame 846	
<b>Scope and Contents note</b>			
George Friedman			
ICF - #10233, 1987	reel 196	frame 1	
<b>Scope and Contents note</b>			
Michael Barth			
ICF - #11121, 1986	reel 196	frame 348	
<b>Scope and Contents note</b>			
Michael Barth			
ICF - #11175, 1987	reel 196	frame 986	
<b>Scope and Contents note</b>			
Michael Barth			
ICF - #20000199, 1988	reel 197	frame 1	
Scope and Contents note			
Michael Barth			
ICF - #2001007, 2000	reel 197	frame 364	
<b>Scope and Contents note</b>			
Michael Barth			
ICF - #90-36, Tabs 1-7, 2001	reel 197	frame 595	

Mic	hael	Barth

ICF - #90-36, Tabs 8-10, 2001	reel 198	frame 1
<b>Scope and Contents note</b>		
Michael Barth		
ICF - #91-12 - File 1, 1989	reel 198	frame 462
<b>Scope and Contents note</b>		
Michael Barth		
ICF - #91-12 - File 2, Tabs 1-3, 1990	reel 198	frame 775
<b>Scope and Contents note</b>		
Michael Barth		
ICF - #91-12 - File 2, Tabs 4-10, 1990	reel 199	frame 1
<b>Scope and Contents note</b>		
Michael Barth		
ICF - #91-12 - File 3, 1990	reel 199	frame 91
Scope and Contents note		
Michael Barth		
ICF - #9148, 1990	reel 199	frame 442
Scope and Contents note		
Michael Barth		
ICF - #91-80 - File 1, 1991	reel 199	frame 903
<b>Scope and Contents note</b>		
Michael Barth		
ICF - #91-80 - File 2, 1991	reel 200	frame 1
<b>Scope and Contents note</b>		
Michael Barth		
ICF - #91-80 - File 3, 1991	reel 200	frame 433

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ICF - #92-15, 1991	reel 201	frame 1
Scope and Contents note		
Michael Barth		
ICF - #92-38, 1992	reel 201	frame 351
<b>Scope and Contents note</b>		
Michael Barth		
ICF - #92-39, 1992	reel 201	frame 490
<b>Scope and Contents note</b>		
Michael Barth		
ICF - #93-39 - File 1, 1993	reel 202	frame 1
<b>Scope and Contents note</b>		
Michael Barth		
ICF - #93-39 - File 2, Tabs 1-2, 1993	reel 202	frame 945
Scope and Contents note		
Michael Barth		
ICF - #93-39 - File 2, Tabs 3-10, 1993	reel 203	frame 1
Scope and Contents note		
Michael Barth		
ICF - #95-81 - File 1, Tabs 1-3, 1993	reel 203	frame 539
<b>Scope and Contents note</b>		
Michael Barth		
ICF - #95-81 - File 1, Tabs 4-10, 1993	reel 204	frame 1
<b>Scope and Contents note</b>		
Michael Barth		
ICF - #95-81 - File 2, 1994	reel 204	frame 84

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ICF - #96180, Tabs 1-10, 1994	reel 204	frame 431
<b>Scope and Contents note</b>		
Michael Barth		
ICF - #96180, Tab 10 (continued), 1994	reel 205	frame 1
Scope and Contents note		
Michael Barth		
ICF - #96957, 1995	reel 205	frame 345
<b>Scope and Contents note</b>		
Michael Barth		
ICF - #970269, Tabs 1-3, 1996	reel 205	frame 817
<b>Scope and Contents note</b>		
Michael Barth		
ICF - #970269, Tabs 4-10, 1996	reel 206	frame 1
<b>Scope and Contents note</b>		
Michael Barth		
ICF - #970579, 1996	reel 206	frame 132
<b>Scope and Contents note</b>		
Michael Barth		
ICF - #971067, 1997	reel 206	frame 227
<b>Scope and Contents note</b>		
Michael Barth		
ICF - #9712, 1997	reel 206	folder 601
Scope and Contents note		
Michael Barth		
ICF - #980200, Tabs 1-4, 1997	reel 207	frame 1

Mic	hael	Barth

ICF - #980200, Tabs 5-10, 1997	reel 208	frame 1	
<b>Scope and Contents note</b>			
Michael Barth			
ICF - #990122, Tabs 1-2, 1998	reel 208	frame 934	
Scope and Contents note			
Michael Barth			
ICF - #990122, Tab 3, 1998	reel 209	frame 1	
Scope and Contents note			
Michael Barth			
ICF - #990122, Tabs 3 (continued)-10, 1998	reel 210	frame 1	
Scope and Contents note			
Michael Barth			
Imperial College School of Medicine - #92-08, 1999	reel 210	frame 701	
Scope and Contents note			
Brian Jarman			
Imperial College School of Medicine - #92-33, 1991	reel 210	frame 818	
Scope and Contents note			
Brian Jarman			
Imperial College School of Medicine - #96913, 1992	reel 210	frame 1031	
<b>Scope and Contents note</b>			
Brian Jarman			
Independent Production Fund - #95-49 - File 1, 1996	reel 210	frame 1071	
<b>Scope and Contents note</b>			
Lisa Zbar			
Independent Production Fund - #95-49 - File 2, 1996	reel 210A	frame 1	

Lisa Zbar

Independent Production Fund - #93-38, 1996	reel 210B	frame 1
Scope and Contents note		
Lisa Zbar		
Independent Production Fund - #94-23, 1996	reel 210B	frame 464
Scope and Contents note		
Lisa Zbar		
Independent Production Fund - #95-49 - File 2, 1996	reel 210A	frame 1
Scope and Contents note		
Lisa Zbar		
Independent Production Fund - #93-38, 1996	reel 210B	frame 1
Scope and Contents note		
Lisa Zbar		
Independent Production Fund - #94-23, 1996	reel 210B	frame 464
Scope and Contents note		
Lisa Zbar		
Independent Production Fund - #980486, Tabs 1-3, 1992	reel 211	frame 1
Scope and Contents note		
Lisa Zbar		
Independent Production Fund - #980486, Tabs 3 (continued)-10, 1992	reel 212	frame 1
Scope and Contents note		
Lisa Zbar		
Independent Production Fund - #990470, 1998	reel 213	frame 1
Scope and Contents note		
Lisa Zbar		
Independent Sector - #10169 - Tabs 1-10, 1998	reel 213	frame 890

Edwin Knauft

Independent Sector - #10169 - Tab 10 (continued), 1998	reel 214	frame 1
<b>Scope and Contents note</b>		
Edwin Knauft		
Independent Sector - #10446, 1987	reel 214	frame 637
Scope and Contents note		
Brian O'Connell		
Independent Sector - #11166, 1988	reel 214	frame 878
Scope and Contents note		
Brian O'Connell		
Independent Sector - #20010409, 2001	reel 215	frame 1
Scope and Contents note		
Sara Melendez		
Independent Sector - #20020568, 2001	reel 215	frame 578
Scope and Contents note		
Sara Melendez		
Independent Sector - #8747, 2002	reel 215	frame 1064
Scope and Contents note		
Brian O'Connell		
Independent Sector - #90-51, 1986	reel 216	frame 1
Scope and Contents note		
Brian O'Connell		
Independent Sector - #91-74, 1990	reel 216	frame 505
<b>Scope and Contents note</b>		
Brian O'Connell		
Independent Sector - #92-57, 1991	reel 216	frame 844

Brian O'Connell

Independent Sector - #93-54, 1992	reel 216	frame 1070
<b>Scope and Contents note</b>		
Brian O'Connell		
Independent Sector - #93-63, 1993	reel 217	frame 1
Scope and Contents note		
Brian O'Connell		
Independent Sector - #94-109, 1993	reel 217	frame 187
<b>Scope and Contents note</b>		
Virginia Hodgkinson		
Independent Sector - #94-98, 1994	reel 217	frame 275
<b>Scope and Contents note</b>		
Brian O'Connell		
Independent Sector - #95-87, 1994	reel 217	frame 658
<b>Scope and Contents note</b>		
Sara Melendez		
Independent Sector - #96645, 1995	reel 218	frame 1
Scope and Contents note		
Sara Melendez		
Independent Sector - #970629, 1996	reel 218	frame 546
<b>Scope and Contents note</b>		
Sara Melendez		
Independent Sector - #980531, 1997	reel 218	frame 903
<b>Scope and Contents note</b>		
Sara Melendez		
Independent Sector - #9879, 1998	reel 219	frame 1

Brian O'Connell

Independent Sector - #990542, 1998	reel 219	frame 86	
Scope and Contents note			
Sara Melendez			
Indiana University - #94-128, 1999	reel 219	frame 955	
<b>Scope and Contents note</b>			
Lisa Harris			
Indiana University - #97066, 1994	reel 220	frame 1	
Scope and Contents note			
Jaya K. Rao			
Indiana University Foundation - #2084-034, 1996	reel 220	frame 184	
Scope and Contents note			
Philip Rutledge			
Institute for Circadian Physiology - #10388, 1987	reel 220	frame 439	
<b>Scope and Contents note</b>			
M. C. Moore-Ede			
Institute for Educational Leadership - #93-86 - File 1, 1988	reel 220	frame 620	
Scope and Contents note			
Samuel Halperin			
Institute for Educational Leadership - #93-86 - File 2, 1993	reel 221	frame 1	
Scope and Contents note			
Samuel Halperin			
Institute for Educational Leadership - #95-419, 1993	reel 221	frame 319	
Scope and Contents note			
Samuel Halperin			
Institute for Educational Leadership - #970644, Tabs 1-3, 1994	reel 221	frame 958	

Samuel Halperin

Institute for Educational Leadership - #970644, Tabs 4-10, 1994	reel 221A	frame 1
Scope and Contents note		
Samuel Halperin		
Institute for Educational Leadership - #970644, Tabs 4-10, 1994	reel 221A	frame 1
Scope and Contents note		
Samuel Halperin		
Institute for Health Policy - #20000797, 1997	reel 222	frame 1
Scope and Contents note		
Mark Merlis		
Institute for Health Policy Solutions - #990200, 1999	reel 222	frame 310
Scope and Contents note		
Richard Curtis		
Institute for Healthcare Improvement - #2000375, 2000	reel 222	frame 678
Scope and Contents note		
Donald M. Berwick		
Institute for Public Policy Research - #91-08, 1999	reel 222	frame 753
Scope and Contents note		
James Cornford		
Institute for the Future - #7650, 1985	reel 222	frame 821
Scope and Contents note		
Roy Amara		
Institute for the Future - #7650, Tabs 1-3, 1985	reel 223	frame 1
Scope and Contents note		
Roy Amara		
Institute for the Future - #7650, Tabs 4-10, 1985	reel 224	frame 1

Roy Amara

Institute for Research in History - #9837, 1986	reel 224	frame 87	
Scope and Contents note			
Esther Katz			
Institute for the Future - #92-78, Tabs 1-3, 1991	reel 224	frame 205	
Scope and Contents note			
Thomas Moloney			
Institute for the Future - #92-78, Tabs 3 (continued)-10, 1991	reel 225	frame 1	
Scope and Contents note			
Thomas Moloney			
Institute of Public Administration - #91-01, 1990	reel 225	frame 827	
Scope and Contents note			
Howard Mantel			
Intelecom - #94-113, 1994	reel 225	frame 971	
Scope and Contents note			
Sally Beaty			
International Center for the Disabled - #10027, 1987	reel 226	frame 1	
Scope and Contents note			
John Wingate			
International Health Economics - #980942, 1987	reel 226	frame 377	
Scope and Contents note			
Tom Getzen			
International Women's Health Coalition - #91-94, 1998	reel 226	frame 465	
Scope and Contents note			
Adrienne Germain			
International Women's Media Foundation - #93-21, 1991	reel 226	frame 676	

Ellen Morgenstern

International Women's Media Foundation - #94-125, 1992	reel 226	frame 725	
Scope and Contents note			
Katherine Moore			
InterRAI Corporation - #990775, 1993	reel 226	frame 837	
<b>Scope and Contents note</b>			
Brant Fries			
Investment Fund for Foundations - #94-46, 1999	reel 226	frame 1043	
<b>Scope and Contents note</b>			
David Salem			
Investment Fund for Foundations - #95-28, 1993	reel 227	frame 1	
<b>Scope and Contents note</b>			
David Salem			
Iona Senior Services - #970258, 1994	reel 227	frame 5	
Scope and Contents note			
Eileen Dombo			
Irondale Productions - #92-21, 1997	reel 227	frame 82	
Scope and Contents note			
Julie Sacks			
Jacobs Institute of Women's Health - #94-55, 1991	reel 227	frame 290	
<b>Scope and Contents note</b>			
Martha Romans			
Jacobs Institute of Women's Health - #95-15, 1993	reel 227	frame 857	
Scope and Contents note			
Martha Romans			
Jacobs Institute of Women's Health - #96349, 1994	reel 228	frame 1	

Martha Romans

Jacobs Institute of Women's Health - #990908, 1995	reel 228	frame 884
<b>Scope and Contents note</b>		
Martha Romans		
Jewish Home and Hospital for the Aged - #90-73, 1999	reel 229	frame 1
Scope and Contents note		
Michael Mulvihill		
Jewish Home and Hospital for the Aged - #90-74, 1989	reel 229	frame 296
Scope and Contents note		
Richard Neufeld		
Jewish Home and Hospital for the Aged - #92-14, Tabs 1-2, 1990	reel 229	frame 711
Scope and Contents note		
Richard Neufeld		
Jewish Home and Hospital for the Aged - #92-14, Tab 3, 1990	reel 230	frame 1
Scope and Contents note		
Richard Neufeld		
Jewish Home and Hospital for the Aged - #92-14, Tabs 4-10, 1990	reel 231	frame 1
Scope and Contents note		
Richard Neufeld		
Jewish Home and Hospital for the Aged - #94-53, Tabs 1-3, 1993	reel 231	frame 372
Scope and Contents note		
Richard Neufeld		
Jewish Home and Hospital for the Aged - #94-53, Tabs 3 (continued)-10, 1993	reel 232	frame 1
Scope and Contents note		
Richard Neufeld		
Jewish Museum - #92-46, 1993	reel 232	frame 307

Adina Back

Jobs for the Future - #94-81, Tabs 1-3, 1994	reel 232	frame 554	
Scope and Contents note			
Richard Kazis			
Jobs for the Future - #94-81, Tabs 3 (continued)-10, 1994	reel 233	frame 1	
Scope and Contents note			
Richard Kazis			
Jobs for the Future - #95449, Tabs 1-3, 1994	reel 233	frame 696	
Scope and Contents note			
Hillary Pennington			
Jobs for the Future - #95449, Tabs 3 (continued)-10, 1994	reel 234	frame 1	
Scope and Contents note			
Hillary Pennington			
Jobs for the Future - #96607, 1995	reel 234	frame 275	
Scope and Contents note			
Hillary Pennington			
John F. Kennedy Library Foundation - #93-55, 1996	reel 234	frame 823	
Scope and Contents note			
Charles Daly			
Johns Hopkins Hospital - #7842, 1993	reel 234	frame 873	
Scope and Contents note			
Robert Heyssel			
Johns Hopkins Hospital - #9245, 1985	reel 235	frame 1	
Scope and Contents note			
Robert Heyssel			
Johns Hopkins Hospital - #93-03, 1991	reel 235	frame 229	

Deborah Knight Kerr

Johns Hopkins Hospital - #95-23, 1992	reel 236	frame 1	
Scope and Contents note			
James Block			
Johns Hopkins Hospital - #96005, 1994	reel 237	frame 1	
<b>Scope and Contents note</b>			
Deborah Knight Kerr			
Johns Hopkins Hospital - #96844, Tabs 1-5, 1996	reel 238	frame 1	
<b>Scope and Contents note</b>			
Deborah Knight Kerr			
Johns Hopkins Hospital - #96844, Tabs 6-10, 1996	reel 239	frame 1	
Scope and Contents note			
Deborah Knight Kerr			
Johns Hopkins Hospital - #970939, 1996	reel 239	frame 96	
Scope and Contents note			
Deborah Knight Kerr			
Johns Hopkins Hospital - #990227, 1997	reel 239	frame 662	
Scope and Contents note			
Deborah Knight Kerr			
Johns Hopkins University - #96169, Tabs 1-3, 1998	reel 239	frame 711	
Scope and Contents note			
Gerard Anderson			
Johns Hopkins University - #96169, Tabs 4-10, 1998	reel 240	frame 1	
<b>Scope and Contents note</b>			
Gerard Anderson			
Johns Hopkins University - #970596, 1996	reel 240	frame 45	

Gerard Anderson

Johns Hopkins University - #980510, Tabs 1-8, 1997	reel 240	frame 672	
<b>Scope and Contents note</b>			
Judith Kasper			
Johns Hopkins University - #980510, Tabs 9-10, 1997	reel 241	frame 1	
<b>Scope and Contents note</b>			
Judith Kasper			
Johns Hopkins University - #980843, 1998	reel 241	frame 78	
Scope and Contents note			
Linda Fried			
Johns Hopkins University - #990823, 1998	reel 241	frame 169	
Scope and Contents note			
Gerard Anderson			
Johns Hopkins University Institute for Policy Studies - #94-26, 1999	reel 241	frame 606	
Scope and Contents note			
Arnold Packer			
Johns Hopkins University Institute for Policy Studies - #95-24, 1993	reel 241	frame 742	
<b>Scope and Contents note</b>			
Gerard Anderson			
Johns Hopkins University Institute for Policy Studies - #96172, 1994	reel 242	frame 1	
Scope and Contents note			
Gerard Anderson			
Johns Hopkins University Institute for Policy Studies - #970647, 1995	reel 242	frame 293	
Scope and Contents note			
Arnold Packer			
Johns Hopkins University Press - #7960, 1996	reel 242	frame 580	

William Richardson

Johns Hopkins University School of Hygiene and Public Health - #10307, Tabs 1-10, 1986	reel 242	frame 583	
Scope and Contents note			
Diane Rowland			
Johns Hopkins University School of Hygiene and Public Health - #10307, Tab 10 (continued), 1986	reel 243	frame 1	
Scope and Contents note			
Diane Rowland			
Johns Hopkins University School of Hygiene and Public Health - #10313, 1987	reel 243	frame 178	
Scope and Contents note			
Janet Archer			
Johns Hopkins University School of Hygiene and Public Health - #10450, 1987	reel 243	frame 771	
Scope and Contents note			
Karen Davis			
Johns Hopkins University School of Hygiene and Public Health - #10690, 1988	reel 244	frame 1	
Scope and Contents note			
Karen Davis			
Johns Hopkins University School of Hygiene and Public Health - #10822, 1988	reel 245	frame 1	
Scope and Contents note			
Diane Rowland			
Johns Hopkins University School of Hygiene and Public Health - #20000648, 1988	reel 245	frame 309	
Scope and Contents note			
Bernard Guyer			
Johns Hopkins University School of Hygiene and Public Health - #8741, 2000	reel 245	frame 390	

Karen Davis

Johns Hopkins University School of Hygiene and Public Health - #90-04, 1985	reel 245	frame 754	
Scope and Contents note			
Karen Davis			
Johns Hopkins University School of Hygiene and Public Health - #90-35, 1989	reel 246	frame 1	
Scope and Contents note			
Judith Kasper			
Johns Hopkins University School of Hygiene and Public Health - #90-65, 1989	reel 246	frame 174	
Scope and Contents note			
Karen Davis			
Johns Hopkins University School of Hygiene and Public Health - #91-09, 1990	reel 246	frame 536	
Scope and Contents note			
Karen Davis			
Johns Hopkins University School of Hygiene and Public Health - #91-30, 1990	reel 247	frame 1	
Scope and Contents note			
Victor Cohn			
Johns Hopkins University School of Hygiene and Public Health - #91-44, 1990	reel 247	frame 105	
Scope and Contents note			
Karen Davis			
Johns Hopkins University School of Hygiene and Public Health - #92-44, 1991	reel 247	frame 500	
Scope and Contents note			
Karen Davis			
Johns Hopkins University School of Hygiene and Public Health - #93-18, 1986	reel 247	frame 693	

Karen Davis

Johns Hopkins University School of Hygiene and Public Health - #93-25, 1992	reel 247	frame 909	
Scope and Contents note			
Carol Weisman			
Johns Hopkins University School of Hygiene and Public Health - #93-30, 1992	reel 248	frame 1	
Scope and Contents note			
Gerard Anderson			
Johns Hopkins University School of Hygiene and Public Health - #93-68, Tabs 1-10, 1986	reel 248	frame 174	
Scope and Contents note			
Karen Davis			
Johns Hopkins University School of Hygiene and Public Health - #93-68, Tab 10 (continued), 1986	reel 249	frame 1	
Scope and Contents note			
Gerard Anderson			
Johns Hopkins University School of Hygiene and Public Health - #93-96, 1993	reel 249	frame 261	
Scope and Contents note			
Alfred Somner			
Johns Hopkins University School of Hygiene and Public Health - #94-13, 1993	reel 249	frame 349	
Scope and Contents note			
Gerard Anderson			
Johns Hopkins University School of Hygiene and Public Health - #94-54, Tabs 1-3, 1993	reel 249	frame 645	
Scope and Contents note			
Carol Weisman			
Johns Hopkins University School of Hygiene and Public Health - #94-54, Tabs 4-10, 1993	reel 250	frame 1	

Carol Weisman

Johns Hopkins University School of Hygiene and Public Health - #95016, 1993	reel 250	frame 105	
Scope and Contents note			
Gerard Anderson			
Johns Hopkins University School of Hygiene and Public Health - #96044, Tabs 1-3, 1995	reel 250	frame 208	
<b>Scope and Contents note</b>			
Lisa Cooper Patrick			
Johns Hopkins University School of Hygiene and Public Health - #96044, Tabs 3 (continued)-10, 1995	reel 251	frame 1	
<b>Scope and Contents note</b>			
Lisa Cooper Patrick			
Johns Hopkins University School of Hygiene and Public Health - #96044, Tab 10 (continued), 1995	reel 252	frame 1	
Scope and Contents note			
Lisa Cooper Patrick			
Johns Hopkins University School of Hygiene and Public Health - #96145, 1995	reel 252	frame 606	
Scope and Contents note			
Bernard Guyer			
Johns Hopkins University School of Hygiene and Public Health - #970270, Tabs 1-3, 1995	reel 252	frame 787	
Scope and Contents note			
Bernard Guyer			
Johns Hopkins University School of Hygiene and Public Health - #970270, Tabs 3 (continued)-10, 1995	reel 253	frame 1	
Scope and Contents note			
Bernard Guyer			
Johns Hopkins University School of Hygiene and Public Health - #970488, 1996	reel 253	frame 640	

Michael Paglia

Johns Hopkins University School of Hygiene and Public Health -	reel 253	frame 888
#970946, Tabs 1-3, 1994	1001 233	Table 600
Scope and Contents note		
Gerard Anderson		
Johns Hopkins University School of Hygiene and Public Health - #970946, Tabs 3 (continued)-10, 1994	reel 254	frame 1
<b>Scope and Contents note</b>		
Gerard Anderson		
Johns Hopkins University School of Hygiene and Public Health - #9710, 1998	reel 254	frame 634
Scope and Contents note		
Lauren Leroy		
Johns Hopkins University School of Hygiene and Public Health - #971021, 1986	reel 254	frame 912
Scope and Contents note		
Lawrence Wissow		
Johns Hopkins University School of Hygiene and Public Health - #9724, 1997	reel 254	frame 975
Scope and Contents note		
Diane Rowland		
Johns Hopkins University School of Hygiene and Public Health - #980143, Tabs 1-3, 1986	reel 255	frame 1
Scope and Contents note		
Bernard Guyer		
Johns Hopkins University School of Hygiene and Public Health - #980143, Tabs 4-10, 1986	reel 256	frame 1
Scope and Contents note		
Bernard Guyer		
Johns Hopkins University School of Hygiene and Public Health - #980828, 1997	reel 256	frame 278

Gerard Anderson

Johns Hopkins University School of Hygiene and Public Health - #9883, 1999	reel 256	frame 491	
Scope and Contents note			
Karen Davis			
Johns Hopkins University School of Hygiene and Public Health - #990096, Tabs 1-3, 1999	reel 256	frame 880	
Scope and Contents note			
Bernard Guyer			
Johns Hopkins University School of Hygiene and Public Health - #990096, Tabs 4-10, 1999	reel 257	frame 1	
Scope and Contents note			
Bernard Guyer			
Johns Hopkins University School of Hygiene and Public Health - #990096, Tab 10 (continued), 1999	reel 258	frame 1	
Scope and Contents note			
Bernard Guyer			
Johns Hopkins University School of Hygiene and Public Health - #990630, 1999	reel 258	frame 448	
Scope and Contents note			
Bernard Guyer			
Johns Hopkins University School of Medicine - #10458, 1999	reel 258	frame 657	
Scope and Contents note			
Charleton Chapman			
Johns Hopkins University School of Medicine - #6088, Tabs 1-8, 1987	reel 258	frame 911	
Scope and Contents note			
Donald Price			
Johns Hopkins University School of Medicine - #6088, Tabs 9-10, 1987	reel 259	frame 1	

Donald Price

Johnson Group Consulting, Inc #980855, 1982	reel 259	frame 505	
Scope and Contents note			
Kay Johnson			
Joint Center for Political and Economic Studies - #93-40, Tabs 1-3, 1998	reel 259	frame 697	
Scope and Contents note			
Eddie Williams			
Joint Center for Political and Economic Studies - #93-40, Tabs 3 (continued)-6, 1998	reel 260	frame 1	
Scope and Contents note			
Eddie Williams			
Joint Center for Political and Economic Studies - #93-40, Tabs 7-10, 1998	reel 261	frame 1	
Scope and Contents note			
Eddie Williams			
Joint Center for Political and Economic Studies - #970398, 1992	reel 261	frame 307	
Scope and Contents note			
Eddie Williams			
Joint Center for Political and Economic Studies - #970648, Tabs 1-3, 1992	reel 261	frame 621	
Scope and Contents note			
Karen Scott Collins			
Joint Center for Political and Economic Studies - #970648, Tabs 3 (continued)-10, 1992	reel 262	frame 1	
Scope and Contents note			
Karen Scott Collins			
Joint Center for Political and Economic Studies - #990186, 1996	reel 262	frame 271	
Scope and Contents note			
Bailus Walker			
IOINT Ional #000071 1008			

Scope and Contents note	reel 262	frame 499
Arnon Mantver		
Joslin Diabetes Center - #10387, 1998	reel 262	frame 578
Scope and Contents note		
Raymond Herskowitz		
Kent County Council - #9103, 1988	reel 262	frame 680
Scope and Contents note		
Norman Warner		
KPMG Peat Marwick - #980111, 1990	reel 262	frame 748
Scope and Contents note		
Jon Gabel		
Lake Snell Perry & Associates - #20000542, 1998	reel 262	frame 964
Scope and Contents note		
Michael Perry		
Lewin and Associates - #9685, 2000	reel 262	frame 1024
Scope and Contents note		
Patricia Drury		
Lewin Group - #20000703, 1996	reel 263	frame 1
Scope and Contents note		
Allen Dobson		
Lewin Group - #96511, 2000	reel 263	frame 713
Scope and Contents note		
Lawrence Lewin		
Lewin Group - #96610, 1995	reel 263	frame 794
Scope and Contents note		
Gary Claxton		
Lewin Group - #96904, 1995	reel 263	frame 980

Gary Claxton

Lewin Group - #96905, 1996	reel 263	frame 1034
Scope and Contents note		
Gary Claxton		
Lewin Group - #970440, 1996	reel 263	frame 1120
Scope and Contents note		
Allen Dobson		
Lewin Group - #980177, 1997	reel 264	frame 1
Scope and Contents note		
Allen Dobson		
Lewin Group - #980558, 1997	reel 264	frame 303
Scope and Contents note		
Mary Jo O'Brien		
Lewin Group - #980563, 1998	reel 264	frame 806
Scope and Contents note		
David Stapleton		
Lewin Group - #990772, 1998	reel 264	frame 1085
Scope and Contents note		
Lisa Maria B. Alecxih		
Library of Congress - #91-29, 1999	reel 265	frame 1
Scope and Contents note		
William Robinson		
Louis Harris and Associates, Inc #11231, Tabs 1-10, 1990	reel 265	frame 306
Scope and Contents note		
Humphrey Taylor		
Louis Harris and Associates, Inc #11231, Tab 10 (continued), 1990	reel 266	frame 1

Humphrey Taylor

Louis Harris and Associates, Inc #11233, 1987	reel 266	frame 908	
<b>Scope and Contents note</b>			
Humphrey Taylor			
Louis Harris and Associates, Inc #902061, 1988	reel 267	frame 1	
Scope and Contents note			
Humphrey Taylor			
Louis Harris and Associates, Inc #9030, Tabs 1-10, 1991	reel 267	frame 506	
Scope and Contents note			
Humphrey Taylor			
Louis Harris and Associates, Inc #9030, Tab 10 (continued), 1991	reel 268	frame 1	
Scope and Contents note			
Humphrey Taylor			
Louis Harris and Associates, Inc #91-24, Tabs 1-10, 1989	reel 268	frame 432	
Scope and Contents note			
Humphrey Taylor			
Louis Harris and Associates, Inc #91-24, Tab 10 (continued), 1989	reel 269	frame 1	
Scope and Contents note			
Humphrey Taylor			
Louis Harris and Associates, Inc #91-29, 1990	reel 269	frame 182	
Scope and Contents note			
William Robinson			
Louis Harris and Associates, Inc #91-45, Tabs 1-9, 1990	reel 269	frame 676	
Scope and Contents note			
Ronald Bass			
Louis Harris and Associates, Inc #91-45, Tab 10, 1990	reel 270	frame 1	

Ronald Bass

Louis Harris and Associates, Inc #91-45, Tab 10 (continued), 1990	reel 271	frame 1	
Scope and Contents note			
Ronald Bass			
Louis Harris and Associates, Inc #92-35, Tabs 1-10, 1991	reel 272	frame 1	
Scope and Contents note			
Humphrey Taylor			
Louis Harris and Associates, Inc #92-35, Tab 10 (continued), 1991	reel 273	frame 1	
Scope and Contents note			
Humphrey Taylor			
Louis Harris and Associates, Inc #92-43, 1991	reel 273	frame 1034	
Scope and Contents note			
Humphrey Taylor			
Louis Harris and Associates, Inc #93-31, 1992	reel 274	frame 1	
Scope and Contents note			
Humphrey Taylor			
Louis Harris and Associates, Inc #93-88, 1992	reel 274	frame 222	
Scope and Contents note			
Louis Harris			
Louis Harris and Associates, Inc #94-09, 1992	reel 274	frame 362	
Scope and Contents note			
Humphrey Taylor			
Louis Harris and Associates, Inc #94-09, 1992	reel 275	frame 1	
Scope and Contents note			
Humphrey Taylor			
Louis Harris and Associates, Inc #94-09, Tabs 1-10, 1992	reel 276	frame 1	

Humphrey Taylor

Louis Harris and Associates, Inc #94-09, Tab 10 (continued), 1992	reel 277	frame 1
Scope and Contents note		
Humphrey Taylor		
Louis Harris and Associates, Inc #94-09, Tab 10 (continued), 1992	reel 278	frame 1
Scope and Contents note		
Humphrey Taylor		
Louis Harris and Associates, Inc #94-63, Tabs 1-3, 1993	reel 278	frame 892
Scope and Contents note		
Humphrey Taylor		
Louis Harris and Associates, Inc #94-63, Tab 3 (continued), 1993	reel 279	frame 1
Scope and Contents note		
Humphrey Taylor		
Louis Harris and Associates, Inc #94-63, Tab 3 (continued), 1993	reel 280	frame 1
Scope and Contents note		
Humphrey Taylor		
Louis Harris and Associates, Inc #94-63, Tab 3 (continued), 1993	reel 281	frame 1
Scope and Contents note		
Humphrey Taylor		
Louis Harris and Associates, Inc #94-63, Tab 3 (continued), 1993	reel 282	frame 1
Scope and Contents note		
Humphrey Taylor		
Louis Harris and Associates, Inc #94-63, Tab 3 (continued), 1993	reel 283	frame 1

Humphrey Taylor

Louis Harris and Associates, Inc #94-63, Tab 3 (continued), 1993	reel 284	frame 1	
Scope and Contents note			
Humphrey Taylor			
Louis Harris and Associates, Inc #94-63, Tab 3 (continued), 1993	reel 285	frame 1	
Scope and Contents note			
Humphrey Taylor			
Louis Harris and Associates, Inc #94-63, Tab 3 (continued), 1993	reel 286	frame 1	
Scope and Contents note			
Humphrey Taylor			
Louis Harris and Associates, Inc #94-63, Tab 3 (continued), 1993	reel 287	frame 1	
Scope and Contents note			
Humphrey Taylor			
Louis Harris and Associates, Inc #94-63, Tab 3 (continued), 1993	reel 288	frame 1	
Scope and Contents note			
Humphrey Taylor			
Louis Harris and Associates, Inc #94-63, Tab 3 (continued), 1993	reel 289	frame 1	
Scope and Contents note			
Humphrey Taylor			
Louis Harris and Associates, Inc #94-63, Tab 3 (continued), 1993	reel 290	frame 1	
Scope and Contents note			
Humphrey Taylor			
Louis Harris and Associates, Inc #94-63, Tab 3 (continued), 1993	reel 291	frame 1	

**Humphrey Taylor** 

Louis Harris and Associates, Inc. - #94-63, Tab 3 (continued), reel 292 frame 1 1993 **Scope and Contents note** 

**Humphrey Taylor** 

Louis Harris and Associates, Inc. - #94-63, Tab 3 (continued), reel 293 frame 1 1993

### **Scope and Contents note**

**Humphrey Taylor** 

Louis Harris and Associates, Inc. - #94-63, Tabs 3 (continued)-10, reel 294 frame 1 1993

#### **Scope and Contents note**

Humphrey Taylor

Louis Harris and Associates, Inc. - #95-07, Tabs 1-3, 1993 reel 294 frame 843

#### **Scope and Contents note**

**Humphrey Taylor** 

Louis Harris and Associates, Inc. - #95-07, Tabs 3 (continued)-10, reel 295 frame 1 1993

#### **Scope and Contents note**

**Humphrey Taylor** 

Louis Harris and Associates, Inc. - #95-09, 1993 reel 295 frame 369

#### **Scope and Contents note**

Humphrey Taylor

frame 843 Louis Harris and Associates, Inc. - #95448, Tabs 1-3, 1993 reel 295

#### **Scope and Contents note**

**Humphrey Taylor** 

Louis Harris and Associates, Inc. - #95448, Tabs 3 (continued)-10, reel 296 frame 1 1993

#### **Scope and Contents note**

Humphrey Taylor

Louis Harris and Associates, Inc. - #95520, 1994

<b>Scope and Contents note</b>	reel 297	frame 1	
Humphrey Taylor			
Louis Harris and Associates, Inc #95605, 1995	reel 297	frame 74	
Scope and Contents note			
Elizabeth Cooner			
Louis Harris and Associates, Inc #96003, 1995	reel 297	frame 137	
Scope and Contents note			
Humphrey Taylor			
Louis Harris and Associates, Inc #96015, 1995	reel 297	frame 485	
Scope and Contents note			
Humphrey Taylor			
Louis Harris and Associates, Inc #9606, 1995	reel 298	frame 1	
Scope and Contents note			
Michael Kagay			
Louis Harris and Associates, Inc #96608, 1996	reel 298	frame 155	
Scope and Contents note			
Humphrey Taylor			
Louis Harris and Associates, Inc #96956, 1996	reel 298	frame 647	
Scope and Contents note			
Humphrey Taylor			
Louis Harris and Associates, Inc #970152, 1996	reel 299	frame 1	
Scope and Contents note			
Robert Leitman			
Louis Harris and Associates, Inc #970153, 1996	reel 299	frame 36	
Scope and Contents note			
Robert Leitman			
Louis Harris and Associates, Inc #980667, 1997	reel 299	frame 68	

Humphrey Taylor

Louis Harris and Associates, Inc #990532, 1997	reel 299	frame 120	
<b>Scope and Contents note</b>			
Katherine Binns			
Lowell, City of - #91-54, 1998	reel 299	frame 163	
Scope and Contents note			
James Campbell			
LSE Foundation - #970776, 1999	reel 299	frame 286	
Scope and Contents note			
Walter Holland			
MA Division of Health Care Finance and Policy - #20020529, 1990	reel 299	frame 331	
Scope and Contents note			
Amy Lischko			
Maine Health Care Reform Commission - #95-43, 1997	reel 299	frame 475	
Scope and Contents note			
Ellen Schneider			
Maine Health Information Center - #91-90, 2002	reel 300	frame 1	
Scope and Contents note			
Ellen Schneider			
Maine Medical Assessment Foundation - #93-77, 1994	reel 300	frame 642	
Scope and Contents note			
Alice Russell Chapin			
Maine Medical Assessment Foundation - #94-116, 1990	reel 300	frame 993	
Scope and Contents note			
Robert Keller			
Maine Medical Center - #94-38, 1992	reel 301	frame 1	

Richard Balser

Maine Medical Center - #96034, 1994	reel 301	frame 244	
<b>Scope and Contents note</b>			
Richard Balser			
Maine Medical Center - #96994, 1994	reel 301	frame 304	
Scope and Contents note			
Richard Basler			
Manhattan Institute - #11046, 1995	reel 301	frame 380	
Scope and Contents note			
Lawrence Mone			
Manhattan Institute - #91-16, 1996	reel 301	frame 576	
Scope and Contents note			
William Hammet			
Manhattan Institute - #91-49/#91-87, Tabs 1-3, 1989	reel 301	frame 748	
<b>Scope and Contents note</b>			
William Hammet/Lawrence Mone			
Manhattan Institute - #91-49/#91-87, Tabs 4-10, 1989	reel 302	frame 1	
Scope and Contents note			
William Hammet/Lawrence Mone			
Manhattan Institute - #91-49/#91-87 - Auxiliary File 1, 1989	reel 302	frame 175	
<b>Scope and Contents note</b>			
William Hammet/Lawrence Mone			
Manhattan Institute - #91-49/#91-87 - Auxiliary File 1 (continued), 1989	reel 303	frame 1	
Scope and Contents note			
William Hammet/Lawrence Mone			
Manhattan Institute - #91-49/#91-87 - Auxiliary File 2, 1989	reel 303	frame 755	

William Hammet/Lawrence Mone

reel 304	frame 1	
reel 304	frame 504	
reel 305	frame 1	
reel 305	frame 477	
reel 306	frame 1	
reel 307	frame 1	
reel 308	frame 1	
reel 308	frame 705	
	reel 304 reel 305 reel 306 reel 307	reel 304 frame 504  reel 305 frame 1  reel 306 frame 1  reel 307 frame 1  reel 308 frame 1

**Edward Pauly** 

Manpower Demonstration Research Corporation - #96-18, 1993	reel 308	frame 896	
Scope and Contents note			
Edward Pauly			
Manpower Demonstration Research Corporation - #96-18, Tabs 1-10, 1993	reel 309	frame 1	
Scope and Contents note			
Edward Pauly			
Manpower Demonstration Research Corporation - #96813, Tabs 1-3, 1994	reel 309	frame 411	
<b>Scope and Contents note</b>			
Robert Ivry			
Manpower Demonstration Research Corporation - #96813, Tabs 3 (continued)-10, 1994	reel 310	frame 1	
Scope and Contents note			
Robert Ivry			
Manpower Demonstration Research Corporation - #980809, 1986	reel 310	frame 540	
<b>Scope and Contents note</b>			
Judith Gueron			
Manpower Demonstration Research Corporation - #990815, 1996	reel 310	frame 605	
Scope and Contents note			
Gordon Berlin			
Margaret Tietz Center for Nursing Care - #11190, 1998	reel 310	frame 684	
Scope and Contents note			
Lila Sherlock			
Market Foundation - #10470, 1999	reel 310	frame 788	
<b>Scope and Contents note</b>			
Aaron Zaretsky			
Marlborough, City of - #2083-3, 1989	reel 310	frame 1006	

Chester Conary

Marlborough, City of - #91-55, 1988	reel 311	frame 1	
Scope and Contents note			
Michael Hogan			
Martha Stuart Communications - #10859, 1987	reel 311	frame 377	
<b>Scope and Contents note</b>			
Sara Stuart			
Martha Stuart Communications - #92-24, 1990	reel 311	frame 556	
Scope and Contents note			
Mary Bleiberg			
Massachusetts Caring for Children Foundation - #990917, 1986	reel 311	frame 617	
Scope and Contents note			
Susan Jo Bumagin			
Massachusetts General Hospital - #91-28, 1991	reel 311	frame 701	
Scope and Contents note			
Karen Carlson			
Massachusetts General Hospital - #91-38, Tabs 1-4, 1998	reel 311	frame 799	
Scope and Contents note			
Albert Mulley			
Massachusetts General Hospital - #91-38, Tabs 5-10, 1998	reel 312	frame 1	
Scope and Contents note			
Albert Mulley			
Massachusetts General Hospital - #93-69, 1993	reel 312	frame 303	
Scope and Contents note			
Albert Mulley			
Massachusetts General Hospital - #94-57, 1993	reel 313	frame 1	

Albert Mulley

Massachusetts General Hospital - #95735, 1991	reel 313	frame 713	
Scope and Contents note			
David Blumenthal			
Massachusetts General Hospital - #96013, Tabs 1-4, 1992	reel 313	frame 796	
Scope and Contents note			
David Blumenthal			
Massachusetts General Hospital - #96013, Tabs 5-10, 1993	reel 314	frame 1	
Scope and Contents note			
David Blumenthal			
Massachusetts General Hospital - #96958, 1993	reel 314	frame 159	
Scope and Contents note			
David Blumenthal			
Massachusetts General Hospital - #970952, Tabs 1-3, 1997	reel 314	frame 868	
Scope and Contents note			
David Blumenthal			
Massachusetts General Hospital - #970952, Tab 3 (continued), 1997	reel 315	frame 1	
Scope and Contents note			
David Blumenthal			
Massachusetts General Hospital - #970952, Tabs 3 (continued)-10, 1997	reel 316	frame 1	
Scope and Contents note			
David Blumenthal			
Massachusetts General Hospital - #980771, Tabs 1-3, 1995	reel 316	frame 419	
Scope and Contents note			
David Blumenthal			
Massachusetts General Hospital - #980771, Tabs 3 (continued)-9, 1995	reel 317	frame 1	

David Blumenthal

Massachusetts General Hospital - #980771, Tab 10, 1995	reel 318	frame 1	
Scope and Contents note			
David Blumenthal			
Massachusetts General Hospital - #990795, Tabs 1-3, 1995	reel 318	frame 131	
Scope and Contents note			
David Blumenthal			
Massachusetts General Hospital - #990795, Tabs 3 (continued)-7, 1995	reel 319	frame 1	
Scope and Contents note			
David Blumenthal			
Massachusetts General Hospital - #990795, Tabs 8-10, 1995	reel 320	frame 1	
Scope and Contents note			
David Blumenthal			
Massachusetts General Hospital - #99083, 1995	reel 320	frame 603	
Scope and Contents note			
David Blumenthal			
Massachusetts General Hospital - #990831, 1996	reel 320	frame 770	
Scope and Contents note			
David Blumenthal			
Massachusetts Institute of Technology - #94-47, 1997	reel 320	frame 850	
Scope and Contents note			
James Thompson			
Massachusetts Institute of Technology - #9964, 1998	reel 320	frame 960	
Scope and Contents note			
Walter Abelmann			
Maternity Center Association - #10810, 1999	reel 321	frame 1	

Ruth Watson Lubic

Maternity Center Association - #93-101, 1999	reel 321	frame 436
Scope and Contents note		
Ruth Watson Lubic		
Mathematica Policy Research, Inc #20010156, 1999	reel 321	frame 581
Scope and Contents note		
Marsha Gold		
Mathematica Policy Research, Inc #95-08, Tabs 1-3, 1994	reel 321	frame 655
Scope and Contents note		
Marsha Gold		
Mathematica Policy Research, Inc #95-08, Tabs 3 (continued)-10, 1994	reel 322	frame 1
Scope and Contents note		
Marsha Gold		
Mathematica Policy Research, Inc #95889, 1987	reel 322	frame 966
Scope and Contents note		
Marsha Gold		
Mathematica Policy Research, Inc #96179, 1988	reel 323	frame 1
Scope and Contents note		
Robert St. Peter		
Mathematica Policy Research, Inc #96639, 1993	reel 324	frame 1
Scope and Contents note		
Barbara Philips		
Mathematica Policy Research, Inc #980198, Tabs 1-3, 1997	reel 324	frame 670
<b>Scope and Contents note</b>		
Marsha Gold		
Mathematica Policy Research, Inc #980198, Tab 3 (continued), 1997	reel 325	frame 1

Marsha Gold

Mathematica Policy Research, Inc #980198, Tabs 3 (continued)-10, 1997	reel 326	frame 1	
Scope and Contents note			
Marsha Gold			
Mathematica Policy Research - #980471, Tabs 1-6, 1994	reel 326	frame 606	
Scope and Contents note			
Susan Felt-Lisk			
Mathematica Policy Research - #980471, Tabs 7-10, 1994	reel 327	frame 1	
Scope and Contents note			
Susan Felt-Lisk			
Mathematica Policy Research - #990196, 1995	reel 327	frame 448	
<b>Scope and Contents note</b>			
Marsha Gold			
Mathematica Policy Research - #990481, 1995	reel 327	frame 715	
Scope and Contents note			
Marsha Gold			
McManus Health Policy, Inc #95456, Tabs 1-3, 1996	reel 327	frame 910	
<b>Scope and Contents note</b>			
Margaret McManus			
McManus Health Policy, Inc #95456, Tabs 3 (continued)-10, 1996	reel 328	frame 1	
Scope and Contents note			
Margaret McManus			
McManus Health Policy, Inc #971012, 1997	reel 328	frame 842	
Scope and Contents note			
Margaret McManus			
McManus Health Policy, Inc #980339, 1998	reel 328	frame 881	

Margaret McManus

McManus Health Policy, Inc #980554, 1998	reel 328	frame 1017	
<b>Scope and Contents note</b>			
Margaret McManus			
MDS Associates - #94-07, 1998	reel 329	frame 1	
<b>Scope and Contents note</b>			
Marilyn Falik			
MDS Associates - #96906, 1999	reel 329	frame 262	
<b>Scope and Contents note</b>			
Deborah Lewis-Idema			
MDS Associates - #96954, 1995	reel 329	frame 364	
Scope and Contents note			
Marilyn Falik			
MDS Associates - #970704, 1997	reel 329	frame 664	
Scope and Contents note			
Deborah Lewis-Idema			
MDS Associates - #980509, 1998	reel 329	frame 801	
Scope and Contents note			
Marilyn Falik			
Medford, City of - #2083-4, 1996	reel 330	frame 1	
Scope and Contents note			
Michael McGlynn			
Medford, City of - #91-56, 1996	reel 330	frame 307	
Scope and Contents note			
Michael McGlynn			
Medical and Health Research Association of New York City - #970707, 1996	reel 330	frame 467	

Carmen Ramos-Bonoan

Medical and Health Research Association of New York City - #980786, 1997	reel 330	frame 525	
Scope and Contents note			
Ellen Rautenberg			
Medical Care Development, Inc #94-112, 1998	reel 330	frame 838	
Scope and Contents note			
Judy Kany			
Medical Care Research Foundation - #9942, 1988	reel 331	frame 1	
Scope and Contents note			
Frank McGlone			
Medicare Rights Center - #20000077, 1990	reel 331	frame 412	
Scope and Contents note			
Diane Archer			
Medicare Rights Center - #970226, 1997	reel 331	frame 477	
Scope and Contents note			
Diane Archer			
Medicare Rights Center - #980600, 1998	reel 331	frame 560	
Scope and Contents note			
Diane Archer			
Medicine Education Research Foundation - #10833, 1994	reel 331	frame 701	
Scope and Contents note			
William Hollingsworth			
Meharry Medical College - #6339, 6954, 7705, 8744, 9877, Tabs 1-7, 1987	reel 331	frame 778	
Scope and Contents note			
David Satcher			
Meharry Medical College - #6339, 6954, 7705, 8744, 9877, Tabs 8-10, 1987	reel 332	frame 1	

David Satcher

Meharry Medical College - #8736, 1999	reel 332	frame 140
Scope and Contents note		
David Satcher		
Meharry Medical College - #95-20, 1996	reel 332	frame 711
<b>Scope and Contents note</b>		
John Maupin		
MEM Associates - #95-80, Tabs 1-2, 1998	reel 332	frame 1038
<b>Scope and Contents note</b>		
Margaret Mahoney		
MEM Associates - #95-80, Tabs 3-10, 1998	reel 333	frame 1
<b>Scope and Contents note</b>		
Margaret Mahoney		
Memorial Sloan-Kettering Cancer Center - #6284, 1988	reel 333	frame 237
Scope and Contents note		
Lewis Thomas		
Memorial Sloan-Kettering Cancer Center - #6471, 1983	reel 333	frame 734
Scope and Contents note		
Lewis Thomas		
Memorial Sloan-Kettering Cancer Center - #7270, 1985	reel 333	frame 920
<b>Scope and Contents note</b>		
Lewis Thomas		
Memorial Sloan-Kettering Cancer Center - #7843, 1994	reel 333	frame 1083
Scope and Contents note		
Lewis Thomas		
Memorial Sloan-Kettering Cancer Center - #9242, 1995	reel 334	frame 1

Lewis Thomas

Memorial Sloan-Kettering Cancer Center - #9885, 1982	reel 334	frame 128	
Scope and Contents note			
Lewis Thomas			
Menorah Park Center for Aging - #990528, 1983	reel 334	frame 321	
Scope and Contents note			
Catherine Hawes			
MetroHealth System - #94-39, 1984	reel 334	frame 460	
Scope and Contents note			
Patricia Gray			
Metropolitan Athletics Congress - #93-56, 1985	reel 334	frame 670	
Scope and Contents note			
Tracey Sundlun			
Michael K. Thomas Scholarship and Student Fund - #92-03, 1986	reel 334	frame 748	
Scope and Contents note			
Susan Katzman			
Midwest Bioethics Center - #95-78, 1987	reel 334	frame 810	
Scope and Contents note			
Myra Christopher			
Minneapolis Medical Research Foundation - #93-70, Tabs 1-7, 1999	reel 334	frame 974	
Scope and Contents note			
Nicole Lurie			
Minneapolis Medical Research Foundation - #93-70, Tabs 8-10, 1999	reel 335	frame 1	
Scope and Contents note			
Nicole Lurie			
Mirror Theatre - #93-46, 1993	reel 335	frame 177	

Sabra Jones

frame 372	
frame 473	
frame 1	
frame 151	
frame 461	
frame 976	
frame 1	
frame 466	
frame 1004	
	frame 1004

Mo Katz

Montefiore Medical Center - #8688, 1994	reel 338	frame 1	
<b>Scope and Contents note</b>			
Michael Alderman			
Montefiore Medical Center - #92-73, 1990	reel 338	frame 531	
<b>Scope and Contents note</b>			
John Arras			
Montefiore Medical Center - #94-115, 1990	reel 338	frame 722	
<b>Scope and Contents note</b>			
John Rosen			
Montefiore Medical Center - #94-139, 1986	reel 338	frame 780	
Scope and Contents note			
Spencer Foreman			
Montefiore Medical Center - #95-19, 1985	reel 338	frame 899	
Scope and Contents note			
Robert Massad			
Morehouse School of Medicine, Inc #980374, 1985	reel 339	frame 1	
Scope and Contents note			
William Murrain			
Morris-Jumel Mansion, Inc #20000491, 1992	reel 339	frame 39	
<b>Scope and Contents note</b>			
Kenneth Moss			
Mount Sinai Medical Center - #91-79, 1994	reel 339	frame 119	
<b>Scope and Contents note</b>			
John Rowe			
Mount Sinai Medical Center - #94-41, 1994	reel 339	frame 288	

Gary Rosenberg

Mount Sinai Medical Center - #95-41, 1994	reel 339	frame 488	
<b>Scope and Contents note</b>			
Stacey Plichta			
Mount Sinai Medical Center - #96037, 1998	reel 340	frame 1	
Scope and Contents note			
Gary Rosenberg			
Mount Sinai Medical Center - #96965, 2000	reel 340	frame 52	
Scope and Contents note			
Christine Cassel			
Mount Sinai Medical Center - #96996, 1991	reel 340	frame 230	
Scope and Contents note			
Gary Rosenberg			
Mount Sinai School of Medicine - #5706, 1993	reel 340	frame 289	
Scope and Contents note			
John Rowe			
Mount Sinai School of Medicine - #7121, 1994	reel 340	frame 381	
Scope and Contents note			
Diane Meier			
Mount Sinai School of Medicine - #7699, 1995	reel 341	frame 1	
<b>Scope and Contents note</b>			
James Glenn			
Mount Sinai School of Medicine - #96484, 1996	reel 342	frame 1	
<b>Scope and Contents note</b>			
John Rother			
Mount Sinai School of Medicine - #980179, Tabs 1-3, 1996	reel 342	frame 184	

Christine Cassel

Mount Sinai School of Medicine - #980179, Tabs 3 (continued)-10, 1996	reel 343	frame 1
<b>Scope and Contents note</b>		
Christine Cassel		
Mount Sinai School of Medicine - #980204, Tabs 1-3, 1997	reel 343	frame 851
Scope and Contents note		
Bruce Vladeck		
Mount Sinai School of Medicine - #980204, Tabs 3 (continued)-10, 1997	reel 344	frame 1
<b>Scope and Contents note</b>		
Bruce Vladeck		
Mount Sinai School of Medicine - #9944, 1983	reel 344	frame 346
<b>Scope and Contents note</b>		
Robert Butler		
Mount Zion Hospital and Medical Center - #8735, 1984	reel 344	frame 590
Scope and Contents note		
Martin Diamond		
Municipal Art Society of New York - #10312, Tabs 1-9, 1996	reel 344	frame 1083
Scope and Contents note		
Paul Gunther		
Municipal Art Society of New York - #10312, Tab 10, 1996	reel 345	frame 1
Scope and Contents note		
Paul Gunther		
Museum of Science - #980606, 1998	reel 345	frame 135
<b>Scope and Contents note</b>		
John Slakely		
National Academy for State Health Policy - #96640, 1998	reel 345	frame 232

Trish Rile

National Academy of Public Administration Foundation - #91-98, 1999	reel 345	frame 802
Scope and Contents note		
Roger Sperry		
National Academy of Sciences - #6067, 1987	reel 345	frame 881
Scope and Contents note		
Frederick Robbins		
National Academy of Sciences - #6142, 1987	reel 345	frame 962
Scope and Contents note		
Frederick Robbins		
National Academy of Sciences - #9221, Tab 1, 1998	reel 345	frame 1044
Scope and Contents note		
Samuel Their		
National Academy of Sciences - #9221, Tabs 2-10, 1998	reel 346	frame 1
Scope and Contents note		
Samuel Their		
National Academy of Sciences - #92-53, 1996	reel 347	frame 1
Scope and Contents note		
Frederick Robbins		
National Academy of Sciences - #94-22, 1991	reel 347	frame 66
Scope and Contents note		
Marilyn Field		
National Academy of Sciences - #9485, Tabs 1-2, 1983	reel 347	frame 1087
Scope and Contents note		
Samuel Their		
National Academy of Sciences - #9485, Tabs 3-10, 1983	reel 348	frame 1

Samuel Their

reel 349	frame 1	
reel 349	frame 98	
reel 349	frame 200	
reel 350	frame 1	
reel 350	frame 939	
reel 351	frame 1	
reel 351	frame 252	
reel 352	frame 1	
reel 352		
	reel 349 reel 349 reel 350 reel 351 reel 351	reel 349 frame 98  reel 349 frame 200  reel 350 frame 1  reel 351 frame 1  reel 351 frame 252  reel 352 frame 1

Clyde Behney

National Academy of Sciences - #980362, 1995	reel 352	frame 486	
<b>Scope and Contents note</b>			
Deborah Phillips			
National Academy of Sciences - #980719, 1995	reel 352	frame 702	
Scope and Contents note			
Marion Ein Lewin			
National Academy of Sciences - #990839, 1996	reel 352	frame 801	
<b>Scope and Contents note</b>			
Janet Corrigan			
National Academy of Sciences, Institute of Medicine - #20000043, 1997	reel 352	frame 908	
<b>Scope and Contents note</b>			
Janet Corrigan			
National Academy of Sciences, Institute of Medicine - #20010609, Tabs 1-3, 1997	reel 352	frame 996	
<b>Scope and Contents note</b>			
Janet Corrigan			
National Academy of Sciences, Institute of Medicine - #20010609, Tabs 3 (continued)-10, 1997	reel 353	frame 1	
Scope and Contents note			
Janet Corrigan			
National Academy of Social Insurance - #90-56, 1998	reel 353	frame 652	
<b>Scope and Contents note</b>			
Pamela Larson			
National Academy of Social Insurance - #93-02, 1998	reel 353	frame 1003	
Scope and Contents note			
Nathan Stark			
National Academy of Social Insurance - #95011, 1999	reel 354	frame 1	

Michael Glick

National Academy of Social Insurance - #95-33, 2000	reel 354	frame 144	
Scope and Contents note			
Janet Corrigan			
National Academy on Aging, Gerontological Society of America - #96609, 2001	reel 354	frame 176	
Scope and Contents note			
Robert Friedland			
National Association of Community Health Centers - #92-64, Tabs 1-3, 1989	reel 354	frame 864	
Scope and Contents note			
Mary Plaska			
National Association of Community Health Centers - #92-64, Tabs 3 (continued)-10, 1989	reel 355	frame 1	
Scope and Contents note			
Mary Plaska			
National Association of Community Health Centers - #93-36, Tabs 1-3, 1992	reel 355	frame 436	
Scope and Contents note			
Ann Zuvekas			
National Association of Community Health Centers - #93-36, Tabs 3 (continued)-10, 1992	reel 356	frame 1	
Scope and Contents note			
Ann Zuvekas			
National Black Caucus of State Legislators - #96656, 1994	reel 356	frame 394	
Scope and Contents note			
Diane Bush			
National Bureau of Economic Research - #11180, 1994	reel 356	frame 954	
Scope and Contents note			
Michael Hurd			

Scope and Contents note	reel 357	frame 1
David Wise		
National Bureau of Economic Research - #95342, 1992	reel 357	frame 440
Scope and Contents note		
David Wise		
National Bureau of Economic Research - #95958, 1992	reel 357	frame 745
Scope and Contents note		
Alan Garber		
National Bureau of Economic Research - #96170, Tabs 1-3, 1996	reel 357	frame 873
Scope and Contents note		
Alan Garber		
National Bureau of Economic Research - #96170, Tabs 3 (continued)-10, 1996	reel 358	frame 1
Scope and Contents note		
Alan Garber		
National Bureau of Economic Research - #970957, 1986	reel 358	frame 281
Scope and Contents note		
Alan Garber		
National Center for Clinical Infant Programs - #10474, 1987	reel 358	frame 336
Scope and Contents note		
Emily Schrag		
National Center for Health Education - #9319, 1994	reel 358	frame 991
Scope and Contents note		
Stephanie Lederman		
National Center on Addiction and Substance Abuse - #93-06, 1995	reel 359	frame 1
Scope and Contents note		
Joseph Califano		
National Center on Addiction and Substance Abuse - #970705, 1996	reel 359	frame 338

Susan Foster

reel 359	frame 431	
reel 359	frame 564	
reel 359	frame 642	
reel 359	frame 880	
reel 359	frame 1034	
reel 360	frame 1	
reel 360	frame 642	
reel 360	frame 704	
reel 361	frame 1	
	reel 359 reel 359 reel 359 reel 360 reel 360	reel 359 frame 564  reel 359 frame 642  reel 359 frame 1034  reel 360 frame 1  reel 360 frame 642

Margaret O'Kane

National Committee for Quality Assurance - #9511, Tab 10 (continued), 1994	reel 362	frame 1	
Scope and Contents note			
Margaret O'Kane			
National Committee for Quality Assurance - #96009, 1997	reel 362	frame 448	
Scope and Contents note			
Margaret O'Kane			
National Committee for Quality Assurance - #96355, Tabs 1-2, 1995	reel 362	frame 934	
Scope and Contents note			
Margaret O'Kane			
National Committee for Quality Assurance - #96355, Tabs 3-10, 1995	reel 363	frame 1	
Scope and Contents note			
Margaret O'Kane			
National Committee for Quality Assurance - #970266, Tabs 1-3, 1996	reel 363	frame 303	
Scope and Contents note			
Margaret O'Kane			
National Committee for Quality Assurance - #970266, Tabs 4-10, 1996	reel 364	frame 1	
Scope and Contents note			
Margaret O'Kane			
National Committee for Quality Assurance - #970651, 1997	reel 364	frame 299	
Scope and Contents note			
Joseph Thompson			
National Committee for Quality Health Care - #990393, 1994	reel 364	frame 1046	
Scope and Contents note			
Catherine McDermott			

Scope and Contents note	reel 364	frame 1136
Robert Bothwell		
National Committee for Responsive Philanthropy - #94-99, 1996	reel 365	frame 1
Scope and Contents note		
Robert Bothwell		
National Conference of State Legislatures - #20020753, 1996	reel 365	frame 321
Scope and Contents note		
Kala Ladenheim		
National Conference of State Legislatures - #990915, 1997	reel 365	frame 501
Scope and Contents note		
Richard Merritt		
National Conference on Social Welfare - #7231, 1999	reel 365	frame 664
Scope and Contents note		
Alan Pifer		
National Conference on Social Welfare - #7933, 1989	reel 365	frame 991
Scope and Contents note		
Forrest Chisman		
National Conference on Social Welfare - #9241, 1993	reel 366	frame 1
Scope and Contents note		
Forrest Chisman		
National Council on Patient Information and Education - #7631, 2002	reel 366	frame 278
Scope and Contents note		
Peter Lamy		
National Dropout Prevention Fund - #11150, 1999	reel 366	frame 559
Scope and Contents note		
Esther Ferguson		
National Executive Service Corporation - #10837, 1985	reel 366	frame 713

Andrew Popp

National Executive Service Corporation - #8459, 1984	reel 366	frame 939	
Scope and Contents note			
Frank Pace			
National Executive Service Corporation - #91-22, 1986	reel 367	frame 1	
Scope and Contents note			
Walter Foster			
National Family Caregivers Association - #95-68, 1985	reel 367	frame 256	
Scope and Contents note			
Suzanne Mintz			
National Federation of Interfaith Volunteer Caregivers - #91-69, 1989	reel 367	frame 514	
Scope and Contents note			
Virginia Schiaffino			
National Forum of Women Health Care Leaders - #95600, 1988	reel 367	frame 686	
Scope and Contents note			
Sheila Smythe			
National Governor's Association Center for Policy - #10232, 1984	reel 367	frame 757	
Scope and Contents note			
Richard Curtis			
National Health Council - #94-70, 1990	reel 368	frame 1	
Scope and Contents note			
Heather Paul			
National Health Law Program, Inc #970559, 1995	reel 368	frame 191	
<b>Scope and Contents note</b>			
Jane Perkins			
National Health Law Program, Inc #990349, 1991	reel 368	frame 327	

Jane Perkins

National Institute for Health Care Management - #20000562, 1995	reel 368	frame 548	
Scope and Contents note			
Steven Findlay			
National Leadership Commission on Healthcare - #11196, 1987	reel 368	frame 806	
<b>Scope and Contents note</b>			
Henry Simmons			
National League of Cities Institute - #95900, 1993	reel 368	frame 1105	
<b>Scope and Contents note</b>			
John Kyle			
National Medical Fellowships - #10443, 1997	reel 369	frame 1	
Scope and Contents note			
Leon Johnson			
National Medical Fellowships - #11234, Tabs 1-3, 1999	reel 369	frame 746	
<b>Scope and Contents note</b>			
Leon Johnson			
National Medical Fellowships - #11234, Tabs 3 (continued)-10, 1999	reel 370	frame 1	
Scope and Contents note			
Leon Johnson			
National Medical Fellowships - #11234, Tab 10 (continued), 1999	reel 371	frame 1	
Scope and Contents note			
Leon Johnson			
National Medical Fellowships - #6279, Tabs 1-2, 2000	reel 371	frame 949	
Scope and Contents note			
Leon Johnson			
National Medical Fellowships - #6279, Tabs 3-10, 2000	reel 372	frame 1	

Leon Johnson

National Medical Fellowships - #7706, 1987	reel 372	frame 317
Scope and Contents note		
Leon Johnson		
National Medical Fellowships - #8751, 1995	reel 372	frame 1004
Scope and Contents note		
Frances French		
National Medical Fellowships - #90-68, 1986	reel 373	frame 1
Scope and Contents note		
Leon Johnson		
National Opinion Research Center - #95541, Tabs 1-3, 1988	reel 373	frame 456
Scope and Contents note		
Lawrence Bergner		
National Opinion Research Center - #95541, Tabs 3 (continued)-10, 1988	reel 374	frame 1
<b>Scope and Contents note</b>		
Lawrence Bergner		
National Medical Fellowships - #96803, 1984	reel 374	frame 735
Scope and Contents note		
Brian Byrd		
National Opinion Research Center - #970716, 1986	reel 374	frame 847
Scope and Contents note		
Alma Kuby		
National Press Foundation - #95-57, 1987	reel 374	frame 914
Scope and Contents note		
Robert Meyers		
National Public Health and Hospital Institute - #91-37, Tabs 1-2, 1989	reel 374	frame 1032

Dennis Andrulis

National Public Health and Hospital Institute - #91-37, Tabs 3-10, 1989	reel 375	frame 1
Scope and Contents note		
Dennis Andrulis		
National Public Health and Hospital Institute - #92-31, 1995	reel 375	frame 187
<b>Scope and Contents note</b>		
Dennis Andrulis		
National Public Health and Hospital Institute - #990248, 1995	reel 376	frame 1
Scope and Contents note		
Betsy Carrier		
National Public Radio - #94-133, 1995	reel 376	frame 445
Scope and Contents note		
Anne Gudenkauf		
National Retiree Volunteer Center - #91-61, 1996	reel 376	frame 677
Scope and Contents note		
Donna Anderson		
National Senior Citizens Law Center - #20000719, 1997	reel 376	frame 933
Scope and Contents note		
Burton Fretz		
National Senior Citizens Law Center - #970649, 1994	reel 377	frame 1
Scope and Contents note		
Toby Edelman		
National Senior Citizens Law Center - #990514, 1990	reel 377	frame 1023
Scope and Contents note		
Toby Edelman		
National Urban Fellows - #92-74, 1991	reel 378	frame 1

Catherine Pino

Neighborhood Defender Service - #93-48, 1999	reel 378	frame 103	
Scope and Contents note			
Christopher Stone			
New England Medical Center Hospitals - #20010182, 1994	reel 378	frame 196	
<b>Scope and Contents note</b>			
Dana Gelb Safran			
New England Medical Center Hospitals - #90-84, 1990	reel 378	frame 416	
<b>Scope and Contents note</b>			
Mark Meterko			
New England Medical Center Hospitals - #91-70, 2000	reel 378	frame 629	
Scope and Contents note			
Jerome Grossman			
New Mexico Hospitals and Health Systems Agency - #20000448, 1997	reel 378	frame 676	
Scope and Contents note			
Maureen Boshier			
New School for Social Research - #8490, 1999	reel 378	frame 800	
Scope and Contents note			
Robert Curvin			
New School for Social Research - #91-08, Tabs 1-9, 1991	reel 378	frame 982	
Scope and Contents note			
Howard Berliner			
New School for Social Research - #91-08, Tab 10, 1991	reel 379	frame 1	
Scope and Contents note			
Howard Berliner			
New School for Social Research - #96478, 2000	reel 379	frame 362	

Bruce Siegel

New School for Social Research - #96629, 2000	reel 379	frame 419	
Scope and Contents note			
Bruce Siegel			
New School University - #990716, 1989	reel 379	frame 641	
Scope and Contents note			
Karla Hanson			
New York Academy of Medicine - #20000163, 1990	reel 379	frame 761	
Scope and Contents note			
Bradford Gray			
New York Academy of Medicine - #20000413, 2000	reel 379	frame 808	
Scope and Contents note			
Alan Fleischman			
New York Academy of Medicine - #20010523, 1984	reel 379	frame 956	
Scope and Contents note			
Gerry Fairbrother			
New York Academy of Medicine - #91-40, 1990	reel 380	frame 1	
Scope and Contents note			
Jeremiah Barondess			
New York Academy of Medicine - #9242, 1996	reel 380	frame 189	
Scope and Contents note			
James McCorkel			
New York Academy of Medicine - #92-47, Tabs 1-9, 1996	reel 380	frame 745	
Scope and Contents note			
Jeremiah Barondess			
New York Academy of Medicine - #92-47, Tab 10, 1996	reel 381	frame 1	

Jeremiah Barondess

New York Academy of Medicine - #92-75, 1999	reel 381	frame 415	
<b>Scope and Contents note</b>			
Jeremiah Barondess			
New York Academy of Medicine - #95-44, 1999	reel 381	frame 685	
<b>Scope and Contents note</b>			
Jeremiah Barondess			
New York Academy of Medicine - #95517, 2000	reel 382	frame 1	
<b>Scope and Contents note</b>			
Jeremiah Barondess			
New York Academy of Medicine - #95-58, 2000	reel 382	frame 38	
<b>Scope and Contents note</b>			
Jeremiah Barondess			
New York Academy of Medicine - #970515, 1990	reel 382	frame 77	
<b>Scope and Contents note</b>			
Bradford Gray			
New York Academy of Medicine - #980182, 1991	reel 382	frame 134	
<b>Scope and Contents note</b>			
Bradford Gray			
New York Academy of Medicine - #980199, 1991	reel 383	frame 1	
<b>Scope and Contents note</b>			
Jeremiah Barondess			
New York Academy of Medicine - #9895, 1992	reel 383	frame 478	
Scope and Contents note			
Ralph Engle			
New York Academy of Medicine - #990257, 1994	reel 383	frame 549	

Alan Fleischman

New York Academy of Medicine - #990936, 1995	reel 383	frame 625	
Scope and Contents note			
Jeremiah Barondess			
New York Academy of Sciences - #20010001, 1995	reel 383	frame 673	
Scope and Contents note			
Rodney Nichols			
New York Academy of Sciences - #91-93, 1997	reel 383	frame 830	
Scope and Contents note			
Oakes Ames			
New York Academy of Sciences - #93-28, 1997	reel 384	frame 1	
Scope and Contents note			
Kenneth Warren			
New York Academy of Sciences - #94-110, 1997	reel 384	frame 81	
Scope and Contents note			
Susan Raymond			
New York Academy of Sciences - #970578, 1998	reel 384	frame 171	
Scope and Contents note			
Susan Raymond			
New York Academy of Sciences - #990545, 1998	reel 384	frame 364	
Scope and Contents note			
Rodney Nichols			
New York Botanical Garden - #90-85, 1998	reel 384	frame 716	
Scope and Contents note			
Paula Kascel			
New York Botanical Garden - #91-13, 2000	reel 384	frame 822	

Gregory Long

New York Botanical Garden - #93-87, Tabs 1-3, 1991	reel 384	frame 989	
Scope and Contents note			
Gregory Long			
New York Botanical Garden - #93-87, Tabs 3 (continued)-10, 1991	reel 385	frame 1	
Scope and Contents note			
Gregory Long			
New York Botanical Garden - #94-105, 1992	reel 385	frame 94	
Scope and Contents note			
Gregory Long			
New York Botanical Garden - #990311, 1993	reel 385	frame 153	
Scope and Contents note			
Gregory Long			
New York City Health and Hospital Corporation - #8202, Tabs 1-8, 1997	reel 385	frame 222	
Scope and Contents note			
Margaret Heagarty			
New York City Health and Hospital Corporation - #8202, Tabs 9-10, 1997	reel 386	frame 1	
Scope and Contents note			
Margaret Heagarty			
New York City Health and Hospital Corporation - #9622, 1999	reel 386	frame 312	
Scope and Contents note			
Carlotta Brantley			
New York City Mission Society - #94-69, 1989	reel 386	frame 411	
Scope and Contents note			
Emilio Bermiss			
New York City Neighborhood Open Space Coalition - #93-10, 1990	reel 386	frame 472	

Thomas Fox

New York City Partnership Foundation - #9322, 1992	reel 386	frame 720	
Scope and Contents note			
Perry Davis			
New York City Street Tree Consortium - #20000265, 1994	reel 387	frame 1	
Scope and Contents note			
Barbara Eber-Schmid			
New York City Street Tree Consortium - #970631, 1999	reel 387	frame 65	
Scope and Contents note			
Barbara Eber-Schmid			
New York City Voluntary Action Corporation - #9608, 1982	reel 387	frame 195	
Scope and Contents note			
N.J. Fenwick			
New York Community Trust - #10393, 1995	reel 387	frame 541	
Scope and Contents note			
W. Grinker			
New York Community Trust - #90-43, 1993	reel 387	frame 742	
Scope and Contents note			
James Dumpson			
New York Community Trust - #90-77, 1992	reel 388	frame 1	
Scope and Contents note			
Lucy Friedman			
New York Community Trust - #93-58, 1992	reel 388	frame 131	
Scope and Contents note			
Barbara Whitney			
New York Community Trust - #94-106, 2000	reel 388	frame 256	

Lorie Slutsky

New York Community Trust - #94-74, 1997	reel 388	frame 303	
<b>Scope and Contents note</b>			
James Dumpson			
New York Energy Conservation - #8120, 1995	reel 388	frame 447	
Scope and Contents note			
Clare Miller			
New York Historical Society - #90-21, 1987	reel 389	frame 1	
Scope and Contents note			
Juliana Sciolla			
New York Horticultural Society - #20020780, 1990	reel 389	frame 81	
Scope and Contents note			
Anthony Smith			
New York Hospital - #10806, 1990	reel 389	frame 174	
Scope and Contents note			
Morton Bogdonoff			
New York Hospital - #11182, 1992	reel 389	frame 570	
Scope and Contents note			
Linda Kane			
New York Hospital - #8877, 1994	reel 390	frame 1	
Scope and Contents note			
Lauren Goldberg			
New York Hospital - #9870, 1994	reel 390	frame 436	
<b>Scope and Contents note</b>			
Judity Trachtenberg			
New York Hospital - #9960, 1981	reel 390	frame 881	

Morton Bogdonoff

New York Junior Tennis League - #94-27, 1989	reel 391	frame 1	
Scope and Contents note			
Lewis Hartman			
New York Landmarks Conservancy - #10234, 2002	reel 391	frame 391	
Scope and Contents note			
Laurie Beckelman			
New York Landmarks Preservation Foundation - #10580, 1988	reel 391	frame 484	
Scope and Contents note			
Barbaralee Diamondstein			
New York Landmarks Conservancy - #11048, 1989	reel 391	frame 565	
Scope and Contents note			
Laurie Beckelman			
New York Landmarks Conservancy - #8876, 1985	reel 391	frame 682	
Scope and Contents note			
Laurie Beckelman			
New York Landmarks Conservancy - #90-16, 1990	reel 391	frame 805	
Scope and Contents note			
Laurie Beckelman			
New York Landmarks Conservancy - #91-05, 1987	reel 391	frame 852	
Scope and Contents note			
Susan Henshaw Jones			
New York Landmarks Conservancy - #92-05, 1993	reel 391	frame 906	
Scope and Contents note			
Susan Henshaw Jones			
New York Landmarks Conservancy - #92-42, 1987	reel 391	frame 1011	

Susan Henshaw Jones

New York Landmarks Conservancy - #93-15, 1987	reel 392	frame 1	
Scope and Contents note			
Susan Henshaw Jones			
New York Landmarks Conservancy - #94-05, 1988	reel 392	frame 40	
<b>Scope and Contents note</b>			
Susan Henshaw Jones			
New York Landmarks Conservancy - #94-30, 1985	reel 392	frame 165	
<b>Scope and Contents note</b>			
Peg Breen			
New York Landmarks Conservancy - #95-04, 1989	reel 392	frame 217	
<b>Scope and Contents note</b>			
Peg Breen			
New York Landmarks Conservancy - #96020, 1990	reel 392	frame 314	
<b>Scope and Contents note</b>			
Peg Breen			
New York Landmarks Conservancy - #9715, 1991	reel 392	frame 386	
Scope and Contents note			
Laurie Beckelman			
New York Landmarks Conservancy - #9890, 1992	reel 392	frame 500	
Scope and Contents note			
Laurie Beckelman			
New York Law School - #95-25, 1992	reel 392	frame 556	
Scope and Contents note			
Ross Sandler			
New York Law School - #96181, 1993	reel 392	frame 745	

Ross Sandler

New York Lawyers for Public Interest - #20000440, 1993	reel 392	frame 920
Scope and Contents note		
Marianne Lado		
New York League for the Hard of Hearing - #11198, 1994	reel 393	frame 1
Scope and Contents note		
Ruth Green		
New York Presbyterian Hospital - #990148, 1995	reel 393	frame 97
<b>Scope and Contents note</b>		
Eveleyn Lipper		
New York Public Library - #11184, 1986	reel 393	frame 188
Scope and Contents note		
John Masten		
New York Public Library - #20000799, 1987	reel 393	frame 551
Scope and Contents note		
Jane Fisher		
New York Public Library - #95-74, 1994	reel 393	frame 631
Scope and Contents note		
Bonnie Levinson		
New York Regional Association of Grantmakers - #10447, 1995	reel 393	frame 706
Scope and Contents note		
Barbara Bryan		
New York Regional Association of Grantmakers - #11167, Tabs 1-10, 2000	reel 393	frame 1015
Scope and Contents note		
Barbara Bryan		
New York Regional Association of Grantmakers - #11167, Tab 10 (continued), 2000	reel 394	frame 1

Barbara Bryan

New York Regional Association of Grantmakers - #20000490, 1989	reel 394	frame 66	
Scope and Contents note			
Gregory King			
New York Regional Association of Grantmakers - #20010343, 1998	reel 394	frame 325	
Scope and Contents note			
Barbara Bryan			
New York Regional Association of Grantmakers - #8748, 1989	reel 394	frame 373	
Scope and Contents note			
Barbara Bryan			
New York Regional Association of Grantmakers - #90-52, 2000	reel 394	frame 542	
Scope and Contents note			
Barbara Bryan			
New York Regional Association of Grantmakers - #91-75, 1995	reel 394	frame 676	
Scope and Contents note			
Barbara Bryan			
New York Regional Association of Grantmakers - #92-58, 1988	reel 394	frame 983	
Scope and Contents note			
Barbara Bryan			
New York Regional Association of Grantmakers - #93-64, 1989	reel 395	frame 1	
Scope and Contents note			
Barbara Bryan			
New York Regional Association of Grantmakers - #93-67, 2000	reel 395	frame 131	
Scope and Contents note			
Barbara Bryan			
New York Regional Association of Grantmakers - #94-100, 2001	reel 395	frame 214	

Barbara Bryan

New York Regional Association of Grantmakers - #95-88, 1986	reel 395	frame 469	
Scope and Contents note			
Barbara Bryan			
New York Regional Association of Grantmakers - #96646, 2000	reel 395	frame 770	
Scope and Contents note			
Barbara Bryan			
New York Regional Association of Grantmakers - #970639, 1991	reel 395	frame 1007	
Scope and Contents note			
Barbara Bryan			
New York Regional Association of Grantmakers - #970639, Tabs 1-10, 1991	reel 396	frame 1	
Scope and Contents note			
Barbara Bryan			
New York Regional Association of Grantmakers - #980528, 1992	reel 396	frame 225	
Scope and Contents note			
Barbara Bryan			
New York Regional Association of Grantmakers - #9880, 1993	reel 396	frame 623	
Scope and Contents note			
Barbara Bryan			
New York Regional Association of Grantmakers - #990488, 1993	reel 396	frame 740	
Scope and Contents note			
Barbara Bryan			
New York State Health Research Council - #9750, 1994	reel 397	frame 1	
<b>Scope and Contents note</b>			
John Jack			
New York State Urban Development Corporation - #90-22, 1995	reel 397	frame 96	
New York State Urban Development Corporation - #90-22, 1995	reel 397	frame 96	

Michael Bellacosa

New York University - #11171, 1996	reel 397	frame 166	
Scope and Contents note			
James Knickman			
New York University - #11191, 1997	reel 397	frame 771	
Scope and Contents note			
Howard Neuman			
New York University - #20000099, 1998	reel 397	frame 900	
Scope and Contents note			
Janice Blustein			
New York University - #20000398, Tabs 1-2, 1998	reel 397	frame 993	
Scope and Contents note			
Drew Halfmann			
New York University - #20000398, Tabs 3-10, 1998	reel 398	frame 1	
Scope and Contents note			
Drew Halfmann			
New York University - #20020474, 1999	reel 398	frame 549	
Scope and Contents note			
Arthur Fried			
New York University - #5588, 1997	reel 398	frame 656	
Scope and Contents note			
Lawrence Tancredi			
New York University - #7502, 1989	reel 398	frame 691	
Scope and Contents note			
Roger Kropf			
New York University - #80-25, 1988	reel 398	frame 699	

Lawrence Tancredi

New York University - #92-22, 1989	reel 398	frame 805	
Scope and Contents note			
William Grinker			
New York University - #95-63, 1999	reel 398	frame 996	
Scope and Contents note			
John Billings			
New York University - #980436, Tabs 1-2, 2000	reel 398	frame 1064	
Scope and Contents note			
Janice Blustein			
New York University - #980436, Tabs 3-10, 2000	reel 399	frame 1	
Scope and Contents note			
Janice Blustein			
New York University - #980553, 2002	reel 399	frame 619	
Scope and Contents note			
John Billings			
New York University - #980662, 1986	reel 399	frame 1123	
Scope and Contents note			
Howard Newman			
New York University - #980781, 1987	reel 400	frame 1	
Scope and Contents note			
Jo Ivey Boufford			
New York University - #990116, 1988	reel 400	frame 84	
Scope and Contents note			
John Billings			
New York University - #990916, 1991	reel 400	frame 312	

Jo Ivey Boufford

New York University, R. F. Wagner Graduate School of Public Service - #970935, 1994	reel 400	frame 448	
<b>Scope and Contents note</b>			
Catherine Fitzgerald			
New York Urban League - #94-86, Tabs 1-7, 1998	reel 400	frame 550	
Scope and Contents note			
Gail Garfield			
New York Urban League - #94-86, Tabs 8-10, 1998	reel 401	frame 1	
Scope and Contents note			
Gail Garfield			
Nonprofit Coordinating Committee of New York - #10159, 1998	reel 401	frame 341	
Scope and Contents note			
Peter Swords			
Nonprofit Coordinating Committee of New York - #10448, 1998	reel 401	frame 514	
Scope and Contents note			
Peter Swords			
Nonprofit Coordinating Committee of New York - #11168, 1998	reel 401	frame 586	
Scope and Contents note			
Peter Swords			
Nonprofit Coordinating Committee of New York - #11235, 1999	reel 401	frame 625	
Scope and Contents note			
Peter Swords			
Nonprofit Coordinating Committee of New York - #20000489, 1999	reel 401	frame 811	
Scope and Contents note			
Jonathan Smalls			
Nonprofit Coordinating Committee of New York - #20000581, 1997	reel 401	frame 843	

Jonathan Smalls

Nonprofit Coordinating Committee of New York - #20010340, 1994	reel 401	frame 926	
Scope and Contents note			
Jonathan Smalls			
Nonprofit Coordinating Committee of New York - #20010530, 1987	reel 401	frame 957	
<b>Scope and Contents note</b>			
Jonathan Smalls			
Nonprofit Coordinating Committee of New York - #8749, 1988	reel 401	frame 1062	
Scope and Contents note			
Lisa Semple			
Nonprofit Coordinating Committee of New York - #90-53, 1989	reel 401	frame 1136	
Scope and Contents note			
Peter Swords			
Nonprofit Coordinating Committee of New York - #91-50, 1988	reel 402	frame 1	
Scope and Contents note			
Peter Swords			
Nonprofit Coordinating Committee of New York - #91-76, 2000	reel 402	frame 76	
Scope and Contents note			
Peter Swords			
Nonprofit Coordinating Committee of New York - #92-59, 2000	reel 402	frame 124	
Scope and Contents note			
Peter Swords			
Nonprofit Coordinating Committee of New York - #93-43, 2001	reel 402	frame 177	
Scope and Contents note			
Peter Swords			
Nonprofit Coordinating Committee of New York - #93-65, 2001	reel 402	frame 248	

Peter Swords

Nonprofit Coordinating Committee of New York - #94-101, 1986	reel 402	frame 301	
Scope and Contents note			
Peter Swords			
Nonprofit Coordinating Committee of New York - #94-108, 1990	reel 402	frame 337	
Scope and Contents note			
Peter Swords			
Nonprofit Coordinating Committee of New York - #95-50, 1990	reel 402	frame 426	
Scope and Contents note			
Peter Swords			
Nonprofit Coordinating Committee of New York - #95-89, 1991	reel 402	frame 518	
Scope and Contents note			
Peter Swords			
Nonprofit Coordinating Committee of New York - #96647, 1992	reel 402	frame 561	
Scope and Contents note			
Peter Swords			
Nonprofit Coordinating Committee of New York - #970640, 1993	reel 402	frame 603	
Scope and Contents note			
Peter Swords			
Nonprofit Coordinating Committee of New York - #970786, 1993	reel 402	frame 655	
Scope and Contents note			
Peter Swords			
Nonprofit Coordinating Committee of New York - #980571, 1994	reel 402	frame 735	
Scope and Contents note			
Peter Swords			
Nonprofit Coordinating Committee of New York - #9881, 1994	reel 402	frame 785	

Peter Swords

Nonprofit Coordinating Committee of New York - #990121, 1994	reel 402	frame 883	
Scope and Contents note			
Peter Swords			
Nonprofit Coordinating Committee of New York - #990500, 1995	reel 402	frame 952	
Scope and Contents note			
Peter Swords			
North Carolina State University - #2084-012, Tabs 1-7, 1996	reel 402	frame 983	
Scope and Contents note			
Edward E. Erickson			
North Carolina State University - #2084-012, Tabs 8-10, 1996	reel 403	frame 1	
Scope and Contents note			
Edward E. Erickson			
Northampton, City of - #2083-5, 1997	reel 403	frame 242	
Scope and Contents note			
David Musante			
Northampton, City of - #91-57, 1997	reel 403	frame 577	
Scope and Contents note			
David Musante			
Northampton, City of - #91-57, 1998	reel 403	frame 588	
Scope and Contents note			
David Musante			
Northwestern University - #10448, Tabs 1-4, 1987	reel 403	frame 744	
Scope and Contents note			
Susan Hughes			
Northwestern University - #10448, Tabs 5-10, 1987	reel 404	frame 1	

Susan Hughes

Northwestern University - #10475, 1998	reel 404	frame 967	
Scope and Contents note			
Susan Hughes			
Northwestern University - #96907, 1999	reel 405	frame 1	
Scope and Contents note			
Peter Budetti			
Northwestern University - #96912, 1999	reel 405	frame 70	
Scope and Contents note			
David Musante			
Northwestern University - #970984, 1986	reel 405	frame 171	
<b>Scope and Contents note</b>			
Peter Budetti			
Northwestern University - #980559, Tabs 1-3, 1988	reel 405	frame 246	
Scope and Contents note			
Peter Budetti			
Northwestern University - #980559, Tabs 4-10, 1988	reel 406	frame 1	
Scope and Contents note			
Peter Budetti			
Norwich University - #9372, 1990	reel 406	frame 736	
<b>Scope and Contents note</b>			
Cynthia Parsons			
Nuffield Trust - #20000048, 1987	reel 406	frame 855	
<b>Scope and Contents note</b>			
Sheila Leatherman			
Nuffield Trust - #990218, Tabs 1-2, 1987	reel 406	frame 973	

John Wyn Owen

Nuffield Trust - #990218, Tabs 3-10, 1987	reel 407	frame 1	
<b>Scope and Contents note</b>			
John Wyn Owen			
Older Women's League - #90-19, 1996	reel 407	frame 169	
Scope and Contents note			
Molly Daniels			
Oregon Bioethics Conference - #8493, 1996	reel 407	frame 527	
Scope and Contents note			
Brian Hines			
Ounce of Prevention Fund - #8871, 1997	reel 407	frame 529	
Scope and Contents note			
Judith Musick			
Outward Bound - #10237, 1998	reel 408	frame 1	
Scope and Contents note			
Ian Wade			
Outward Bound - #11183, Tabs 1-2, 1993	reel 408	frame 983	
Scope and Contents note			
Ian Wade			
Outward Bound - #11183, Tabs 3-10, 1993	reel 409	frame 1	
Scope and Contents note			
Ian Wade			
Oxfordshire Health Authority Trust Fund - #96684, 1999	reel 409	frame 242	
<b>Scope and Contents note</b>			
Nicholas Hicks			
Pace University - #93-23, 1999	reel 409	frame 364	

Madelyn Jaffe Ruiz

Pacific Oaks - #93-102, 1989	reel 409	frame 485	
<b>Scope and Contents note</b>			
Denise Johnston			
Parifals Productions - #94-137, 1985	reel 409	frame 596	
Scope and Contents note			
Diana Walker			
Parks Council - #20000765/#20000766, 1987	reel 409	frame 648	
Scope and Contents note			
Kate Chura			
Parks Council - #20010045, 1987	reel 409	frame 722	
Scope and Contents note			
Elizabeth Cooke			
Parks Council - #20020215, 1989	reel 409	frame 789	
Scope and Contents note			
Pamela Governale			
Parks Council - #970931, Tabs 1-7, 1996	reel 409	frame 852	
Scope and Contents note			
Elizabeth Cooke			
Parks Council - #970931, Tabs 8-10, 1996	reel 410	frame 1	
Scope and Contents note			
Elizabeth Cooke			
Partnership for a Drug Free America - #93-07, 1992	reel 410	frame 181	
<b>Scope and Contents note</b>			
James Burke			
Partnership for a Drug Free America - #94-24, 1993	reel 410	frame 611	

Thomas Hedrick

Partnership for a Drug Free America - #95-21, Tabs 1-7, 1994	reel 410	frame 798	
Scope and Contents note			
Ginna Marston			
Partnership for a Drug Free America - #95-21, Tabs 8-10, 1994	reel 411	frame 1	
<b>Scope and Contents note</b>			
Ginna Marston			
Partnership for a Drug Free America - #96004, Tabs 1-9, 2000	reel 411	frame 534	
Scope and Contents note			
Richard Bonnette			
Partnership for a Drug Free America - #96004, Tab 10, 2000	reel 412	frame 1	
Scope and Contents note			
Richard Bonnette			
Partnership for the Homeless - #92-83, 2000	reel 412	frame 98	
Scope and Contents note			
Linda Gowell			
Passaic County Community College - #2084004, 2000	reel 412	frame 210	
Scope and Contents note			
Edward Mosley			
PDF Incorporated - #980512, 1997	reel 412	frame 593	
Scope and Contents note			
Peter Fox			
PDF Incorporated - #990197, 1992	reel 412	frame 814	
Scope and Contents note			
Peter Fox			
Pennsylvania State University - #990568, 1993	reel 412	frame 922	

Pamela Farley Short

Persephone Production, Inc #20010160, 1994	reel 413	frame 1	
<b>Scope and Contents note</b>			
Bonnie Erbe			
Persephone Production, Inc #20010775, 1995	reel 413	frame 65	
<b>Scope and Contents note</b>			
Bonnie Erbe			
Persephone Production, Inc #970381, 1992	reel 413	frame 108	
Scope and Contents note			
Bonnie Erbe			
Peter F. Drucker Foundation - #95772, 1986	reel 413	frame 177	
Scope and Contents note			
Robert Johnston			
Phelps Stokes Fund - #11161, 1998	reel 413	frame 306	
<b>Scope and Contents note</b>			
D. Lydia Bronte			
Phelps Stokes Fund - #90-55, 1998	reel 413	frame 434	
Scope and Contents note			
George Bonham			
Phelps Stokes Fund - #92-23, 1999	reel 413	frame 598	
Scope and Contents note			
George Bonham			
Philadelphia Geriatric Center - #11185, 2000	reel 413	frame 659	
<b>Scope and Contents note</b>			
Robert Rubinstein			
Philadelphia Urban Coalition Foundation - #91-10, 2001	reel 414	frame 1	

Marciene Mattleman

Philanthropic Collaborative, Inc #96492, 1996	reel 414	frame 400	
Scope and Contents note			
Julia McCrady			
Phipps Community Development Corporation - #20000677/ #20000767, 1995	reel 414	frame 475	
Scope and Contents note			
Adam Weinstein			
Phipps Community Development Corporation - #20010638/ #20010703, 1989	reel 414	frame 558	
Scope and Contents note			
Stephen Tosh			
Phipps Community Development Corporation - #96758, 1990	reel 414	frame 643	
<b>Scope and Contents note</b>			
Manuel Mendez			
Phipps Community Development Corporation - #980664, 1991	reel 414	frame 722	
Scope and Contents note			
Ronay Menschel			
Phipps Community Development Corporation - #990035, 1989	reel 414	frame 800	
Scope and Contents note			
Manuel Mendez			
Phipps Community Development Corporation - #990779, 1990	reel 414	frame 824	
Scope and Contents note			
Ronay Menschel			
Picker Institute - #20000253, 1997	reel 414	frame 887	
Scope and Contents note			
Margaret Gerteis			
Picker Institute - #20000439, 2000	reel 414	frame 957	

Susan Edgman-Levitan

Picker Institute - #95-14, 2001	reel 415	frame 1	
<b>Scope and Contents note</b>			
Thomas Delbanco			
Picker Institute - #95282, 1996	reel 416	frame 1	
<b>Scope and Contents note</b>			
Janice Walker			
Picker Institute - #970518, 1998	reel 416	frame 586	
<b>Scope and Contents note</b>			
Susan Edgman-Levitan			
Picker Institute - #970567, 1988	reel 416	frame 687	
<b>Scope and Contents note</b>			
Susan Edgman-Levitan			
Picker Institute - #980470, Tabs 1-3, 1998	reel 416	frame 984	
Scope and Contents note			
Susan Edgman-Levitan			
Picker Institute - #980470, Tab 3 (continued)-10, 1998	reel 417	frame 1	
Scope and Contents note			
Susan Edgman-Levitan			
Pioneer Network - #20020417, 1998	reel 417	frame 368	
<b>Scope and Contents note</b>			
Marie Fagan			
Planned Parenthood of New York City - #6063, 1999	reel 417	frame 645	
Scope and Contents note			
Michael Reid-Schwartz			
Plays for Living - #96318, 2000	reel 417	frame 649	

Peggy Hazard

Plymouth, Town of - #20836, 2000	reel 417	frame 704	
Scope and Contents note			
William Griffin			
Plymouth, Town of - #91-58, 1994	reel 417	frame 980	
Scope and Contents note			
William Griffin			
Policy Resource Center - #97021, 1995	reel 418	frame 1	
<b>Scope and Contents note</b>			
Gail Robinson			
Population Council - # 96755, 1997	reel 418	frame 87	
<b>Scope and Contents note</b>			
Margaret Catley-Carlson			
Port Authority of New York and New Jersey - #93-103, 1997	reel 418	frame 324	
Scope and Contents note			
Amos Ilan			
Pricewaterhouse Coopers, LLP - #990202, 1998	reel 418	frame 417	
Scope and Contents note			
Stephen Rosenberg			
Primary Care Development Corporation - #94-29, 2002	reel 418	frame 799	
Scope and Contents note			
Ronda Kotelchuck			
Primary Care Development Corporation - #970187, 1986	reel 418	frame 934	
Scope and Contents note			
Ronda Kotelchuck			
Princeton Center for Leadership Training - #94-77, 1996	reel 419	frame 1	

Linnell Wright

Princeton Survey Research Associates - #20010137, 1989	reel 419	frame 92	
Scope and Contents note			
Diane Colasanto			
Princeton Survey Research Associates - #20020442, 1990	reel 419	frame 574	
Scope and Contents note			
Diane Colasanto			
Princeton Survey Research Associates - #96919, 1996	reel 419	frame 731	
Scope and Contents note			
Diane Colasanto			
Princeton Survey Research Associates - #970945, Tabs 1-2, 1996	reel 419	frame 921	
Scope and Contents note			
Diane Colasanto			
Princeton Survey Research Associates - #970945, Tab 3, 1996	reel 420	frame 1	
Scope and Contents note			
Diane Colasanto			
Princeton Survey Research Associates - #970945, Tab 3 (continued)-10, 1996	reel 421	frame 1	
Scope and Contents note			
Diane Colasanto			
Princeton Survey Research Associates - #980701, 1993	reel 421	frame 1010	
Scope and Contents note			
Diane Colasanto			
Princeton Survey Research Associates - #980775, 1999	reel 422	frame 1	
Scope and Contents note			
Diane Colasanto			
Princeton University - #6178, Tabs 1-10, 1982	reel 422	frame 337	

Richard Nathan

Princeton University - #6178, Tab 10 (continued), 1982	reel 423	frame 1	
Scope and Contents note			
Richard Nathan			
Princeton University - #980232, 1997	reel 423	frame 713	
Scope and Contents note			
Sara McLanahan			
Project HOPE/People to People Health Foundation - #10473, 1993	reel 423	frame 808	
<b>Scope and Contents note</b>			
Gail Wilensky			
Project HOPE/People to People Health Foundation - #20000226, 2001	reel 424	frame 1	
Scope and Contents note			
Claudia Schur			
Project HOPE/People to People Health Foundation - #8879, 2002	reel 424	frame 464	
Scope and Contents note			
Gail Wilensky			
Project HOPE/People to People Health Foundation - #8951, 1996	reel 424	frame 806	
Scope and Contents note			
John Iglehart			
Project HOPE/People to People Health Foundation - #90-10, 1997	reel 424	frame 856	
Scope and Contents note			
John Iglehart			
Project HOPE/People to People Health Foundation - #93-08, 1998	reel 424	frame 1054	
Scope and Contents note			
John Iglehart			
Project HOPE/People to People Health Foundation - #93-73, 1998	reel 425	frame 1	

Gail Wilensky

Project HOPE/People to People Health Foundation - #96025, 1988	reel 425	frame 411	
Scope and Contents note			
John Iglehart			
Project HOPE/People to People Health Foundation - #96046, 1998	reel 425	frame 473	
Scope and Contents note			
John Iglehart			
Project HOPE/People to People Health Foundation - #9615, 1987	reel 425	frame 685	
<b>Scope and Contents note</b>			
John Iglehart			
Project HOPE/People to People Health Foundation - #970580, 2000	reel 426	frame 1	
<b>Scope and Contents note</b>			
John Iglehart			
Project HOPE/People to People Health Foundation - #970592, 1988	reel 426	frame 45	
Scope and Contents note			
John Iglehart			
Project HOPE/People to People Health Foundation - #970947, 1989	reel 426	frame 204	
Scope and Contents note			
John Iglehart			
Project HOPE/People to People Health Foundation - #980826, 1989	reel 426	frame 528	
Scope and Contents note			
John Iglehart			
Prospect Park Alliance - #20000289, 1992	reel 426	frame 835	
Scope and Contents note			
Tupper Thomas			
Prospect Park Alliance - #91-14, 1993	reel 426	frame 933	

**Tupper Thomas** 

Prospect Park Alliance - #96575, 1995	reel 427	frame 1
Scope and Contents note		
Tupper Thomas		
Prospect Park Alliance - #970566, 1995	reel 427	frame 221
Scope and Contents note		
Tupper Thomas		
Public Education Association - #96182, 1996	reel 427	frame 353
Scope and Contents note		
Raymond Domanico		
Public Space for Public Life (Central Park Conservancy) - #94-28, 1997	reel 427	frame 671
Scope and Contents note		
Elizabeth Rogers		
Public/Private Ventures - #91-47, Tabs 1-3, 1990	reel 427	frame 851
Scope and Contents note		
Michael Bailin		
Public/Private Ventures - #91-47, Tabs 3 (continued)-10, 1990	reel 428	frame 1
Scope and Contents note		
Michael Bailin		
Public/Private Ventures - #93-80, 1997	reel 429	frame 1
Scope and Contents note		
Michael Bailin		
Public/Private Ventures - #94-131, 1998	reel 429	frame 484
Scope and Contents note		
Charles Dey		
Public/Private Ventures - #94-79, 2000	reel 429	frame 608

Michael Bailin

Public/Private Ventures - #94-97, 2000	reel 429	frame 1104	
Scope and Contents note			
Bernardine H. Watson			
Public/Private Ventures - #96171, 1990	reel 430	frame 1	
Scope and Contents note			
Cynthia Sipe			
Public/Private Ventures - #96621, Tabs 1-3, 1996	reel 430	frame 827	
Scope and Contents note			
Bernardine H. Watson			
Public/Private Ventures - #96621, Tabs 3 (continued)-10, 1996	reel 431	frame 1	
Scope and Contents note			
Bernardine H. Watson			
Public/Private Ventures - #970437, 1997	reel 431	frame 850	
Scope and Contents note			
Gary Walker			
Public/Private Ventures - #970932, Tabs 1-3, 1996	reel 431	frame 920	
Scope and Contents note			
Gary Walker			
Public/Private Ventures - #970932, Tabs 3 (continued)-10, 1996	reel 432	frame 1	
Scope and Contents note			
Gary Walker			
Publicolor - #990228, 1993	reel 432	frame 425	
<b>Scope and Contents note</b>			
Ruth Shuman			
QED Communications, Inc #90-72, 1990	reel 432	frame 510	

Ricki Wintz

Queens Botanical Garden - #20000264, 1992	reel 432	frame 666	
<b>Scope and Contents note</b>			
Susan Lacerte			
Queens College Foundation - #91-02, Tabs 1-10, 1994	reel 432	frame 900	
Scope and Contents note			
Arthur Lipow			
Queens College Foundation - #91-02, Tab 10 (continued), 1994	reel 433	frame 1	
Scope and Contents note			
Arthur Lipow			
Radcliffe College - #94-142, 1994	reel 433	frame 129	
Scope and Contents note			
Adrian LeBlanc			
Ramsey County Public Health - #10023, 1995	reel 433	frame 328	
Scope and Contents note			
Barbara O'Grady			
RAND Corporation - #11173 - File 1, Tabs 1-3, 1990	reel 434	frame 1	
Scope and Contents note			
Lucian Leape			
RAND Corporation - #11173 - File 1, Tabs 3 (continued)-10, 1990	reel 435	frame 1	
Scope and Contents note			
Lucian Leape			
RAND Corporation - #11173 - File 2, 1990	reel 435	frame 159	
Scope and Contents note			
Lucian Leape			
RAND Corporation - #6137, 1997	reel 435	frame 738	

Robert Brook

RAND Corporation - #91-25 - File 1, Tabs 1-3, 1990	reel 435	frame 806
Scope and Contents note		
Robert Brook		
RAND Corporation - #91-25 - File 1, Tabs 3 (continued)-10, 1990	reel 436	frame 1
Scope and Contents note		
Robert Brook		
RAND Corporation - #91-25 - File 2, 1990	reel 436	frame 62
Scope and Contents note		
Robert Brook		
RAND Corporation - #93-83, 2000	reel 436	frame 566
Scope and Contents note		
Lucian Leape		
RAND Corporation - #94-58, 1990	reel 437	frame 1
Scope and Contents note		
Elizabeth McGlynn		
RAND Corporation - #95-10, 1994	reel 438	frame 1
Scope and Contents note		
Elizabeth McGlynn		
RAND Corporation - #95-42, 1988	reel 438	frame 167
Scope and Contents note		
Elizabeth McGlynn		
RAND Corporation - #96007, Tabs 1-3, 1995	reel 438	frame 956
Scope and Contents note		
Pamela Farley Short		
RAND Corporation - #96007, Tabs 3 (continued)-10, 1995	reel 439	frame 1

Pamela Farley Short

RAND Corporation - #970275, 1988	reel 439	frame 234	
Scope and Contents note			
Elizabeth McGlynn			
Randall's Island Sports Foundation - #20010036, 1988	reel 439	frame 664	
Scope and Contents note			
Aimee Boden			
Randall's Island Sports Foundation - #990640, 1990	reel 439	frame 774	
<b>Scope and Contents note</b>			
Aimee Boden			
Regional Plan Association - #20020281, 1990	reel 439	frame 901	
<b>Scope and Contents note</b>			
Robert Yaro			
Regional Plan Association - #970307, 1993	reel 440	frame 1	
<b>Scope and Contents note</b>			
Robert Yaro/Robert Pirani			
Rensselaer Polytechnic Institute - #90-75, 1993	reel 440	frame 257	
<b>Scope and Contents note</b>			
William J. Foley			
Rensselaerville Institute - #94-122, 1994	reel 440	frame 1014	
<b>Scope and Contents note</b>			
Peter Mason			
Research Foundation of the City University of New York - #11193, 1995	reel 440	frame 1071	
<b>Scope and Contents note</b>			
Frank Riessman			
Research Foundation of the City University of New York - #20000217, 1995	reel 441	frame 1	

Rose Dobrof

Research Foundation of the City University of New York - #980595, 1996	reel 441	frame 132	
Scope and Contents note			
Shoshanna Sofaer			
Research Foundation of the City University of New York - #990085, 1999	reel 441	frame 891	
Scope and Contents note			
Rose Dobrof			
Research Foundation of the City University of New York - #990739, 2000	reel 442	frame 1	
Scope and Contents note			
Shoshanna Sofaer			
Research Triangle Institute - #970435, 2001	reel 442	frame 503	
Scope and Contents note			
Catherine Hawes			
Rice University - #20031265, 1996	reel 442	frame 655	
Scope and Contents note			
Peter Hartley			
Robbett, Rosenthal and Jennings - #20010101, 1991	reel 442	frame 717	
Scope and Contents note			
Robert Rosenthal			
Rockefeller Family Fund - #20000330, 1994	reel 442	frame 825	
Scope and Contents note			
Michelle Greanias/Perietta Wingfield			
Rockefeller University - #11091, 1989	reel 442	frame 973	
Scope and Contents note			
Alexander Bearn			
Rockefeller University - #20010700, 1999	reel 443	frame 1	

Darwin H. Stapleton

Rockefeller University - #90-03, 1997	reel 443	frame 63	
<b>Scope and Contents note</b>			
Anthony Cerami			
Rockefeller University - #90-09, 1998	reel 443	frame 601	
<b>Scope and Contents note</b>			
Alexander Bearn			
Rockefeller University - #90-61, 1999	reel 443	frame 892	
Scope and Contents note			
Alexander Bearn			
Rockefeller University - #91-31, 1997	reel 444	frame 1	
Scope and Contents note			
Alexander Bearn			
Rockefeller University - #91-51, 2003	reel 444	frame 49	
Scope and Contents note			
Darwin H. Stapleton			
Rockefeller University - #91-89, 2000	reel 444	frame 188	
Scope and Contents note			
Alexander Bearn			
Rockefeller University - #92-69, 2000	reel 444	frame 312	
<b>Scope and Contents note</b>			
Alexander Bearn			
Rockefeller University - #93-100, 1988	reel 444	frame 445	
Scope and Contents note			
Ingrid Reed			
Rockefeller University - #93-59, 2001	reel 444	frame 494	

Darwin H. Stapleton

Rockefeller University - #990660, 1989	reel 444	frame 558	
Scope and Contents note			
Darwin H. Stapleton			
Rockefeller University - #9924, 1989	reel 444	frame 654	
Scope and Contents note			
Joseph Ernst			
Rollins School of Public Health - #95451, 1995	reel 444	frame 817	
Scope and Contents note			
Carol Hogue			
Roper Center - #91-97, 1990	reel 444	frame 818	
Scope and Contents note			
John Barry			
Rush Presbyterian St. Luke's Medical Center - #11172, 1994	reel 445	frame 1	
Scope and Contents note			
Ann Minnick			
Rush Presbyterian St. Luke's Medical Center - #91-36, 1991	reel 445	frame 380	
Scope and Contents note			
Ann Minnick			
Rush Presbyterian St. Luke's Medical Center - #92-09 - File 1, 1992	reel 445	frame 559	
<b>Scope and Contents note</b>			
Ann Minnick			
Rush Presbyterian St. Luke's Medical Center - #92-09 - File 2, 1992	reel 446	frame 1	
Scope and Contents note			
Ann Minnick			
Rush University - #90-32, 1992	reel 446	frame 460	

Joel Shalowitz/Ann Minnick

Rush University - #94-20, 1999	reel 446	frame 692	
Scope and Contents note			
Ann Minnick			
Rutgers University - #20020029, 1985	reel 447	frame 1	
Scope and Contents note			
Margaret Koller			
Rutgers University - #980671, 1995	reel 447	frame 480	
Scope and Contents note			
David Mechanic			
Salzburg Seminar in American Studies - #9262, 1991	reel 447	frame 515	
Scope and Contents note			
Herbert Gleason			
Salzburg Seminar in American Studies - #971051, 1989	reel 447	frame 734	
Scope and Contents note			
Tim Ryback			
Samaritan Foundation - #11053, 1990	reel 447	frame 925	
Scope and Contents note			
Elizabeth Barton			
School and Business Alliance of New York - #11197, 1991	reel 448	frame 1	
Scope and Contents note			
Miquel A. Garcia			
Science Museum of Long Island - #10519, 1991	reel 448	frame 135	
<b>Scope and Contents note</b>			
K. C. Cole			
Scientists' Institute for Public Information - #7952, 1989	reel 448	frame 222	

Alan McGowan

Scientists' Institute for Public Information - #93-24, 1993	reel 448	frame 459	
<b>Scope and Contents note</b>			
Alan McGowan			
Scientists' Institute for Public Information - #93-72, 2001	reel 448	frame 585	
Scope and Contents note			
Alan McGowan			
Sheffield City Council - #91-05, 1998	reel 448	frame 810	
Scope and Contents note			
Valerie Hannon			
Smithsonian Institution - #93-18, 1992	reel 448	frame 880	
Scope and Contents note			
Wilton Dillon			
Social Community Planning Research - #92-17, 1997	reel 449	frame 1	
Scope and Contents note			
Roger Jowell			
Southport Institute for Policy Analysis - #91-23, 1986	reel 449	frame 138	
Scope and Contents note			
Jessie Allen			
Southport Institute for Policy Analysis - #93-26, 1989	reel 449	frame 636	
Scope and Contents note			
Forrest Chisman			
Southport Institute for Policy Analysis - #93-30, 1988	reel 449	frame 745	
<b>Scope and Contents note</b>			
Jessie Allen			
Spelman College - #5662, 1985	reel 449	frame 816	

Francis Rogers

Sports in Schools Foundation - #96483, 1992	reel 449	frame 921
<b>Scope and Contents note</b>		
Lewis Hartman		
St. Clare's Hospital and Health Center - #11189, 1992	reel 449	frame 1048
Scope and Contents note		
Albert Messina		
St. Luke's Roosevelt Hospital Center - #11199, 1990	reel 449	frame 1111
Scope and Contents note		
Marianne Legato		
St. Mary's Hospital Medical School - #95571, 1992	reel 450	frame 1
Scope and Contents note		
Brian Jarman		
St. Mary's Hospital Medical School - #95741, 1991	reel 450	frame 61
Scope and Contents note		
Mark Poznansky		
St. Mary's Hospital Medical School - #92-66, 1990	reel 450	frame 222
Scope and Contents note		
Brian Jarman		
Stanford University - #20000762, 1992	reel 450	frame 988
Scope and Contents note		
Mark Mclellan		
Stanford University - #93-104, 1992	reel 451	frame 1
<b>Scope and Contents note</b>		
Jeanne Wahl Halleck		
Stanford University - #93-91, 1979	reel 451	frame 69

John Hornberger

Stanford University - #94-130, 1996	reel 451	frame 369	
Scope and Contents note			
David Thom			
Stanford University - #94-138, 1989	reel 451	frame 587	
Scope and Contents note			
Jeanne Wahl Halleck			
Stanford University - #96816, 1989	reel 451	frame 631	
Scope and Contents note			
Alain Enthoven			
Staten Island Botanical Garden - #20000428, 1995	reel 451	frame 710	
Scope and Contents note			
Fran Huber			
Statewide Youth Advocacy, Inc #20000158, 1995	reel 451	frame 793	
Scope and Contents note			
Elie Ward			
Student Pugwash USA - #94-104, 1991	reel 452	frame 1	
Scope and Contents note			
Betsy Fader			
Summit Health Institute for Research and Education - #980869, 2000	reel 452	frame 266	
Scope and Contents note			
Ruth Perot			
Sun Valley Forum on National Health - #9315, 1993	reel 453	frame 1	
Scope and Contents note			
John Hogness			
Sylvan House, Inc #95-82, 1993	reel 453	frame 478	

Edith Berrios

Syracuse University - #990840, 1994	reel 453	frame 590	
Scope and Contents note			
Deborah Freund			
Take the Field, Inc #20030173, 1994	reel 453	frame 915	
<b>Scope and Contents note</b>			
Mary Musca			
Teachers College, Columbia University - #96944, 1996	reel 453	frame 1031	
Scope and Contents note			
Jeanne Brooks-Gunn			
Theatre Development Fund - #91-10, 10238, 2000	reel 454	frame 1	
Scope and Contents note			
Henry Guettel			
Thomas Jefferson University - #96041, 2000	reel 454	frame 149	
Scope and Contents note			
Christine Laine			
Toby Levine Communications, Inc #20000760, 1994	reel 454	frame 447	
Scope and Contents note			
Toby Levine			
Trinity College - #2084-026, 1998	reel 454	frame 506	
<b>Scope and Contents note</b>			
Nancy Caddigan			
Tufts University - #8125, Tabs 1-7, 1992	reel 454	frame 829	
Scope and Contents note			
William Schwartz			
Tufts University - #8125, Tabs 8-10, 1992	reel 455	frame 1	

William Schwartz

Tufts University - #96043, 1994	reel 455	frame 539	
<b>Scope and Contents note</b>			
Ira Wilson			
Tulane University - #96008, Tabs 1-3, 1999	reel 455	frame 853	
Scope and Contents note			
Kenneth Thorpe			
Tulane University - #96008, Tabs 4-10, 1999	reel 456	frame 1	
<b>Scope and Contents note</b>			
Kenneth Thorpe			
U.S. Pharmacopeia - #20010563, 2003	reel 456	frame 186	
Scope and Contents note			
Jacqueline Eng			
United Hospital Fund of New York - #11170, 1996	reel 456	frame 381	
Scope and Contents note			
Bruce Vladeck			
United Hospital Fund of New York - #20000091, 1990	reel 457	frame 1	
<b>Scope and Contents note</b>			
David Gould			
United Hospital Fund of New York - #7949, 1996	reel 457	frame 182	
<b>Scope and Contents note</b>			
Bruce Vladeck			
United Hospital Fund of New York - #91-21, 2000	reel 457	frame 916	
<b>Scope and Contents note</b>			
Stephen Johnson			
United Hospital Fund of New York - #91-39, 1986	reel 458	frame 1	

Bruce Vladeck

United Hospital Fund of New York - #93-45, 1981	reel 458	frame 728	
Scope and Contents note			
Bruce Vladeck			
United Hospital Fund of New York - #94-18, Tabs 1-2, 1996	reel 458	frame 806	
Scope and Contents note			
Sally Rogers			
United Hospital Fund of New York - #94-18, Tabs 3-10, 1996	reel 459	frame 1	
Scope and Contents note			
Sally Rogers			
United Hospital Fund of New York - #95-32, 1995	reel 459	frame 582	
Scope and Contents note			
James Tallon			
United Hospital Fund of New York - #95991, 2001	reel 459	frame 614	
Scope and Contents note			
Kathryn Haslanger			
United Hospital Fund of New York - #96167, 1989	reel 459	frame 730	
Scope and Contents note			
James Tallon			
United Hospital Fund of New York - #96920, 1999	reel 460	frame 1	
Scope and Contents note			
Kathryn Haslanger			
United Hospital Fund of New York - #970265, 1996	reel 460	frame 86	
Scope and Contents note			
David Gould			
United Hospital Fund of New York - #980184, Tabs 1-3, 1990	reel 460	frame 448	

David Gould

reel 461	frame 1
reel 461	frame 144
reel 461	frame 230
reel 461	frame 724
reel 462	frame 1
reel 462	frame 156
reel 462	frame 745
reel 463	frame 1
reel 463	frame 69
	reel 461 reel 461 reel 462 reel 462 reel 462

Calvin Green

United Way of New York City - #90-17, 1997	reel 463	frame 149	
<b>Scope and Contents note</b>			
Richard Boly			
United Way of New York City - #91-06, 1998	reel 463	frame 263	
<b>Scope and Contents note</b>			
Ralph Dickerson			
United Way of New York City - #92-06, 1998	reel 463	frame 423	
<b>Scope and Contents note</b>			
Ralph Dickerson			
United Way of New York City - #9263, 1999	reel 463	frame 573	
<b>Scope and Contents note</b>			
Calvin Green			
United Way of New York City - #93-17, 1999	reel 463	frame 680	
<b>Scope and Contents note</b>			
Ralph Dickerson			
United Way of New York City - #94-06, 1998	reel 463	frame 716	
<b>Scope and Contents note</b>			
Ralph Dickerson			
United Way of New York City - #95-05, 1993	reel 463	frame 801	
<b>Scope and Contents note</b>			
Ralph Dickerson			
United Way of New York City - #96021, 1990	reel 463	frame 891	
<b>Scope and Contents note</b>			
Ralph Dickerson			
United Way of New York City - #9891, 1988	reel 464	frame 1	

Calvin Green

University Hospital at Boston University Medical Center - #9956, 1989	reel 464	frame 229
Scope and Contents note		
Knight Steel		
University of Arkansas - #990433, 1990	reel 465	frame 1
<b>Scope and Contents note</b>		
Joseph Thompson		
University of Bristol - #91-06, 1991	reel 465	frame 400
Scope and Contents note		
Robin Hambleton		
University of California, Berkeley - #11252, 1992	reel 465	frame 458
Scope and Contents note		
Candance Falk		
University of California, Berkeley - #90-08, Tabs 1-5, 1993	reel 465	frame 645
Scope and Contents note		
Kristin Luker		
University of California, Berkeley - #90-08, Tabs 6-10, 1993	reel 466	frame 1
Scope and Contents note		
Kristin Luker		
University of California, Berkeley - #95-38, 1994	reel 466	frame 44
Scope and Contents note		
Meredith Minkler		
University of California, Berkeley - #990193, 1995	reel 466	frame 331
Scope and Contents note		
Paul Gertler		
University of California, Davis - #93-92, 1996	reel 466	frame 435

Richard Kravitz

University of California, Los Angeles - #20000227, 1997	reel 466	frame 861	
<b>Scope and Contents note</b>			
E. Richard Brown			
University of California, Los Angeles - #90-27, 1998	reel 467	frame 1	
<b>Scope and Contents note</b>			
Olive Burner			
University of California, Los Angeles - #9035, 1999	reel 467	frame 445	
<b>Scope and Contents note</b>			
Sherman Mellinkoff			
University of California, Los Angeles - #91-17, 1990	reel 467	frame 572	
<b>Scope and Contents note</b>			
Helen Astin			
University of California, Los Angeles - #92-37, 1989	reel 467	frame 864	
Scope and Contents note			
Richard Kravitz			
University of California, Los Angeles - #93-71, 1989	reel 468	frame 1	
<b>Scope and Contents note</b>			
E. Richard Brown			
University of California, Los Angeles - #96227, 1994	reel 468	frame 801	
<b>Scope and Contents note</b>			
Roberta Wyn			
University of California, Los Angeles - #970522, 1999	reel 468	frame 898	
Scope and Contents note			
Neal Halfon			
University of California, Los Angeles - #970955, 1993	reel 469	frame 1	

E. Richard Brown

University of California, Los Angeles - #980405, 2000	reel 469	frame 284	
Scope and Contents note			
E. Richard Brown			
University of California, Los Angeles - #980599, 1989	reel 469	folder 363	
Scope and Contents note			
Suzanne Wenzel			
University of California, Los Angeles - #980773, 1989	reel 469	frame 622	
Scope and Contents note			
Thomas Rice			
University of California, San Diego - #96964, 1990	reel 470	frame 1	
Scope and Contents note			
Richard Kronick			
University of California, San Francisco - #10314, 1991	reel 470	frame 376	
Scope and Contents note			
A. E. Benjamin			
University of California, San Francisco - #7550, 1992	reel 471	frame 1	
Scope and Contents note			
Steven Cummings			
University of California, San Francisco - #91-20, 1996	reel 471	frame 8	
Scope and Contents note			
Harold Luft			
University of California, San Francisco - #93-35, 1997	reel 471	frame 277	
Scope and Contents note			
Harold Luft			
University of California, San Francisco - #94-60, Tabs 1-2, 1997	reel 471	frame 680	

Harold Luft

University of California, San Francisco - #94-60, Tabs 3-10, 1997	reel 472	frame 1	
Scope and Contents note			
Harold Luft			
University of California, San Francisco - #96611, 1998	reel 473	frame 1	
<b>Scope and Contents note</b>			
Robert Newcomer			
University of California, San Francisco - #97067, 1998	reel 473	frame 530	
Scope and Contents note			
Michael Rodriquez			
University of California, San Francisco - #971038, 1998	reel 473	frame 710	
<b>Scope and Contents note</b>			
Philip Lee			
University of California, San Francisco - #980251, 1996	reel 473	frame 927	
Scope and Contents note			
Carroll Estes			
University of Connecticut Health Center - #94-87, 1987	reel 474	frame 1	
Scope and Contents note			
Anne Flitcraft			
University of Connecticut Health Center - #95446, 1987	reel 474	frame 904	
Scope and Contents note			
Anne Flitcraft			
University of Connecticut Health Center - #970236, 1990	reel 475	frame 1	
Scope and Contents note			
Anne Flitcraft			
University of Florida - #9246, Tabs 1-10, 1992	reel 475	frame 292	

G. L. Scheibler

University of Florida - #9246, Tab 10 (continued), 1992	reel 476	frame 1	
Scope and Contents note			
G. L. Scheibler			
University of Illinois - #11152, 1993	reel 476	frame 702	
<b>Scope and Contents note</b>			
Donna Cohen			
University of Iowa - #6342, 1995	reel 476	frame 861	
Scope and Contents note			
John Colloton			
University of Iowa - #90-30, 1996	reel 476	frame 893	
<b>Scope and Contents note</b>			
Joanne McCloskey			
University of Kentucky College of Medicine - #95-65, 1997	reel 477	frame 1	
Scope and Contents note			
H.I. Stroth			
University of Maryland Foundation - #990515, 1997	reel 477	frame 76	
Scope and Contents note			
Bruce Stuart			
University of Maryland - #9373, 1997	reel 478	frame 1	
Scope and Contents note			
Adam Yarmolinsky			
University of Maryland - #20010382, 1998	reel 478	frame 272	
Scope and Contents note			
Bruce Stuart			
University of Massachusetts, Amherst - #91-42, 1994	reel 478	frame 513	

Scott Bass

University of Massachusetts, Amherst - #94-08, 1996	reel 479	frame 1	
Scope and Contents note			
Cathy Schoen			
University of Massachusetts, Amherst - #95-06, Tabs 1-3, 1992	reel 479	frame 742	
Scope and Contents note			
Cathy Schoen			
University of Massachusetts, Amherst - #95-06, Tabs 3 (continued)-10, 1992	reel 480	frame 1	
Scope and Contents note			
Cathy Schoen			
University of Massachusetts, Amherst - #95-67, 1989	reel 480	frame 439	
Scope and Contents note			
Seth Goldsmith			
University of Massachusetts, Boston - #10832, 1986	reel 480	frame 765	
Scope and Contents note			
Floyd Fowler			
University of Michigan - #11230, 1989	reel 481	frame 1	
Scope and Contents note			
James House			
University of Michigan - #90-31, 1994	reel 481	frame 709	
Scope and Contents note			
Richard Redman			
University of Michigan - #90-67, Tabs 1-3, 2001	reel 482	frame 1	
Scope and Contents note			
Noel Tichy			
University of Michigan - #90-67, Tabs 4-10, 2001	reel 483	frame 1	

Noel Tichy

University of Michigan - #9069, 1992	reel 483	frame 593	
Scope and Contents note			
Lawrence Brown			
University of Michigan - #92-41, Tabs 1-3, 1999	reel 483	frame 661	
Scope and Contents note			
Noel Tichy			
University of Michigan - #92-41, Tabs 4-10, 1999	reel 484	frame 1	
<b>Scope and Contents note</b>			
Noel Tichy			
University of Michigan - #94-40, 1990	reel 484	frame 702	
<b>Scope and Contents note</b>			
Laurita Thomas			
University of Michigan - #96036, 1993	reel 485	frame 1	
<b>Scope and Contents note</b>			
Laurita Thomas			
University of Michigan - #96042, 1994	reel 485	frame 49	
<b>Scope and Contents note</b>			
Susan Dorr Goold			
University of Michigan - #9609, 1995	reel 485	frame 287	
<b>Scope and Contents note</b>			
Stephen Cohn			
University of Michigan - #96995, 1987	reel 485	frame 291	
Scope and Contents note			
Laurita Thomas			
University of Michigan - #980413, 1988	reel 485	frame 343	

**Daniel Saunders** 

University of Minnesota - #10386, 1989	reel 485	frame 432
Scope and Contents note		
Cheryl Perry		
University of Minnesota - #10824, 1990	reel 486	frame 1
Scope and Contents note		
Cheryl Perry		
University of Minnesota - #20020587, 1986	reel 486	frame 581
Scope and Contents note		
Leslie Grant		
University of Minnesota - #2084-087, 1991	reel 486	frame 773
Scope and Contents note		
Diane Hedin		
University of Minnesota - #95-48, 1993	reel 487	frame 1
Scope and Contents note		
Robert Kane		
University of Minnesota - #96967, 1995	reel 487	frame 604
Scope and Contents note		
Nicole Lurie		
University of Minnesota - #980305, 1996	reel 487	frame 933
Scope and Contents note		
Nicole Lurie		
University of Minnesota - #980439, 1988	reel 488	frame 1
<b>Scope and Contents note</b>		
Beth Virnig		
University of North Carolina, Chapel Hill - #90-44, 1996	reel 488	frame 571

Gordon DeFriese

University of North Carolina, Chapel Hill - #92-63, 1998	reel 488	frame 740	
<b>Scope and Contents note</b>			
John Hatch			
University of North Carolina, Chapel Hill - #93-32, 1987	reel 488	frame 846	
<b>Scope and Contents note</b>			
Andrea Biddle			
University of Oklahoma - #980309, 1988	reel 489	frame 1	
<b>Scope and Contents note</b>			
Betty Pfefferbaum			
University of Pennsylvania - #6261, 2002	reel 489	frame 383	
Scope and Contents note			
Rosemary Stevens			
University of Pennsylvania - #6304, 1986	reel 489	frame 388	
<b>Scope and Contents note</b>			
Marie McCormick			
University of Pennsylvania - #6421, Tabs 1-2, 1994	reel 489	frame 843	
Scope and Contents note			
Rosemary Stevens			
University of Pennsylvania - #6421, Tabs 3-10, 1994	reel 490	frame 1	
Scope and Contents note			
Rosemary Stevens			
University of Pennsylvania - #90-26, 1996	reel 491	frame 1	
Scope and Contents note			
Lois Evans			
University of Pennsylvania - #91-82, 1998	reel 491	frame 272	
	reel 491	frame 272	

Frank Furstenberg

University of Pennsylvania - #93-27, 1998	reel 491	frame 520	
<b>Scope and Contents note</b>			
Lois Evans			
University of Pennsylvania - #93-85, 1991	reel 491	frame 616	
Scope and Contents note			
Rosemary Stevens			
University of Pennsylvania - #93-94, 1992	reel 492	frame 1	
Scope and Contents note			
Annie Steinberg			
University of Pennsylvania - #94-82, 1998	reel 492	frame 266	
Scope and Contents note			
Margaret Spencer			
University of Pennsylvania - #96011, 1989	reel 493	frame 1	
Scope and Contents note			
Risa Lavizzo-Mourey			
University of Pennsylvania - #96593, 1982	reel 494	frame 1	
Scope and Contents note			
Amy Johnson			
University of Pennsylvania - #970490, 1986	reel 494	frame 220	
Scope and Contents note			
V. Grace Jones			
University of Pennsylvania - #9752, 1989	reel 494	frame 423	
Scope and Contents note			
John Kimberly			
University of Pennsylvania - #980425, 1990	reel 494	frame 575	

Linda Aiken

University of Rochester - #10443, 1992	reel 494	frame 650
Scope and Contents note		
David L. Olds		
University of Rochester - #10444, 1993	reel 495	frame 1
Scope and Contents note		
Loretta Ford		
University of Rochester - #11090, 1993	reel 495	frame 730
Scope and Contents note		
Sheila Ryan		
University of Rochester - #11124, Tabs 1-3, 1993	reel 496	frame 1
Scope and Contents note		
Jonathan Klein		
University of Rochester - #11124, Tabs 3 (continued)-10, 1993	reel 497	frame 1
Scope and Contents note		
Jonathan Klein		
University of Rochester - #7369 - File 1, 1983	reel 498	frame 1
Scope and Contents note		
Loretta Ford		
University of Rochester - #7369 - File 2, 1983	reel 498	frame 831
Scope and Contents note		
Loretta Ford		
University of Rochester - #90-60, Tabs 1-3, 1990	reel 499	frame 1
<b>Scope and Contents note</b>		
Paul Griner		
University of Rochester - #90-60, Tabs 3 (continued)-10, 1990	reel 500	frame 1

Paul Griner

University of Rochester - #90-63, 1997	reel 501	frame 1	
<b>Scope and Contents note</b>			
Sheila Ryan			
University of Rochester - #94-42, 1986	reel 502	frame 1	
<b>Scope and Contents note</b>			
Cynthia Beckwith			
University of Rochester - #96038, 1998	reel 502	frame 213	
Scope and Contents note			
Cynthia Beckwith			
University of Rochester - #9631, 1988	reel 502	frame 313	
Scope and Contents note			
Loretta Ford			
University of Rochester - #96997, 1988	reel 502	frame 918	
Scope and Contents note			
Cynthia Beckwith			
University of Rochester - #9884, 1988	reel 503	frame 1	
Scope and Contents note			
Sheila Ryan			
University of Rochester School of Medicine - #970491, 1989	reel 503	frame 417	
Scope and Contents note			
Jonathan D. Klein			
University of Southern Mississippi - #90-86, 1983	reel 503	frame 579	
Scope and Contents note			
Joe Holloway			
University of Sydney - #980670, 1998	reel 503	frame 1070	

Jane Hall

University of Sydney - #990258, 1998	reel 503	frame 1116	
<b>Scope and Contents note</b>			
Jane Hall			
University of Texas, Austin - #90-33, 1989	reel 504	frame 1	
<b>Scope and Contents note</b>			
Ruth Anderson			
University of Texas, Austin - #96592, 1993	reel 504	frame 299	
<b>Scope and Contents note</b>			
Max Sherman			
University of Texas, Houston - #970490, 1995	reel 504	frame 357	
<b>Scope and Contents note</b>			
Patricia Dolan Mullen			
University of Virginia - #90-34, 1996	reel 504	frame 444	
<b>Scope and Contents note</b>			
Kay Hart			
University of Virginia - #90-41, 1996	reel 504	frame 692	
<b>Scope and Contents note</b>			
Moses Woode			
University of Virginia - #91-27, 1998	reel 504	frame 785	
<b>Scope and Contents note</b>			
Don Detmer			
University of Virginia - #980274, 1997	reel 504	frame 914	
<b>Scope and Contents note</b>			
Don Detmer			
University of Washington - #94-129, 1998	reel 505	frame 1	

Sarah Shannon

University of Washington - #96045, 1999	reel 505	frame 260	
Scope and Contents note			
J. Randall Curtis			
University of Wisconsin - #20000051, 1989	reel 505	frame 464	
Scope and Contents note			
David Zimmerman			
University of Wisconsin - #20010118, Tabs 1-3, 1996	reel 505	frame 613	
Scope and Contents note			
David Zimmerman			
University of Washington - #20000325, 1990	reel 505	frame 974	
Scope and Contents note			
Carolyn Madden			
University of Wisconsin - #20010118, Tabs 3 (continued)-10, 1996	reel 506	frame 1	
Scope and Contents note			
David Zimmerman			
University of Wisconsin - #980327, 1996	reel 506	frame 334	
Scope and Contents note			
Roger Williams			
Urban Institute - #20000191, 1989	reel 506	frame 483	
Scope and Contents note			
Marilyn Moon			
Urban Institute - #200010113, 1989	reel 506	frame 672	
Scope and Contents note			
Marilyn Moon			
Urban Institute - #200010136, 1990	reel 507	frame 1	

Jack Hadley

Urban Institute - #9101, 1998	reel 507	frame 670
<b>Scope and Contents note</b>		
Richard Michel		
Urban Institute - #94-119, 2000	reel 508	frame 1
Scope and Contents note		
Marilyn Moon		
Urban Institute - #95-46, 1994	reel 508	frame 575
<b>Scope and Contents note</b>		
Marilyn Moon		
Urban Institute - #96178, Tabs 1-2, 1995	reel 508	frame 883
<b>Scope and Contents note</b>		
Marilyn Moon		
Urban Institute - #96178, Tabs 3-10, 1995	reel 509	frame 1
<b>Scope and Contents note</b>		
Marilyn Moon		
Urban Institute - #96951, 1999	reel 509	frame 516
<b>Scope and Contents note</b>		
Joshua Wiener		
Urban Institute - #970267, 2000	reel 510	frame 1
<b>Scope and Contents note</b>		
Corbin Liu		
Urban Institute - #970272, 1998	reel 511	frame 1
<b>Scope and Contents note</b>		
Marilyn Moon		
Urban Institute - #970950, 2000	reel 512	frame 1

Marilyn Moon

Urban Institute - #980180, 2000	reel 513	frame 1	
Scope and Contents note			
Marilyn Moon			
Urban Institute - #980779, Tabs 1-3, 2000	reel 513	frame 689	
Scope and Contents note			
Joshua Wiener			
Urban Institute - #980779, Tabs 4-10, 2000	reel 514	frame 1	
Scope and Contents note			
Joshua Wiener			
Urban Institute - #990224, 1990	reel 514	frame 185	
Scope and Contents note			
Barbara Gage			
Urban Institute - #990776, 1994	reel 514	frame 336	
Scope and Contents note			
Joshua Wiener			
Urban Institute - #990806, 1994	reel 514	frame 463	
Scope and Contents note			
Gregory Acs			
Vanderbilt University - #11192, 1995	reel 514	frame 587	
Scope and Contents note			
Colleen Conway-Welch			
Vanderbilt University - #6838, 1996	reel 514	frame 883	
<b>Scope and Contents note</b>			
Richard Couto			
Vanderbilt University Medical Center - #94-43, 1996	reel 514	frame 887	

William Rochford

Vanderbilt University Medical Center - #96039, 1996	reel 514	frame 1064	
Scope and Contents note			
William Rochford			
Vanderbilt University Medical Center - #96998, 1997	reel 514	frame 1118	
Scope and Contents note			
William Rochford			
Vanderbilt University School of Nursing - #94-21, 1997	reel 515	frame 1	
Scope and Contents note			
Colleen Conway-Welch			
Varicella Zoster Research Foundation, Inc #94-121, 1998	reel 515	frame 165	
<b>Scope and Contents note</b>			
Richard Perkin			
Vera Institute of Justice, Inc #20010275, 1998	reel 515	frame 419	
Scope and Contents note			
Julia Vitullo-Martion			
Vera Institute of Justice, Inc #95-51, 1999	reel 515	frame 515	
Scope and Contents note			
Christopher Stone and Julia Vitullo-Martion			
Vera Institute of Justice, Inc #95-56, 1999	reel 515	frame 628	
<b>Scope and Contents note</b>			
Meryl Schwartz			
Vera Institute of Justice, Inc #96638, 1989	reel 515	frame 717	
Scope and Contents note			
Christopher Stone and Julia Vitullo-Martion			
Victim Services Agency - #92-54, 1991	reel 515	frame 800	

Frances Tyler

Victim Services, Inc #96527, 1993	reel 516	frame 1	
Scope and Contents note			
Lucy Friedman			
Visiting Nurse Service - #980555, Tabs 1-3, 1998	reel 516	frame 697	
Scope and Contents note			
Christopher Murtaugh			
Visiting Nurse Service - #980555, Tabs 4-10, 1998	reel 517	frame 1	
Scope and Contents note			
Christopher Murtaugh			
Volunteer Trustees Foundation of Not-for-Profit Hospitals - #10012, 1996	reel 517	frame 205	
Scope and Contents note			
Linda Miller			
Volunteer Trustees Foundation for Research and Education - #96208, 1993	reel 517	frame 509	
Scope and Contents note			
Linda Miller			
Volunteer Trustees Foundation for Research and Education - #96620, Tabs 1-2, 1994	reel 517	frame 668	
Scope and Contents note			
Linda Miller			
Volunteer Trustees Foundation for Research and Education - #96620, Tabs 3-10, 1994	reel 518	frame 1	
Scope and Contents note			
Linda Miller			
Volunteer Trustees Foundation for Research and Education - #970595, 2001	reel 519	frame 1	

Linda Miller

Volunteer Trustees Foundation for Research and Education - #980443, Tabs 1-4, 1994	reel 519	frame 634	
Scope and Contents note			
Linda Miller			
Volunteer Trustees Foundation for Research and Education - #980443, Tabs 4 (continued)-10, 1994	reel 520	frame 1	
Scope and Contents note			
Linda Miller			
Volunteers of Legal Services - #9398, 1994	reel 520	frame 258	
Scope and Contents note			
Margaret Flint			
Washington Hospital Center - #94-44, 1995	reel 520	frame 386	
Scope and Contents note			
Artencia Hawkins-Bell			
Washington Hospital Center - #96040, 1991	reel 520	frame 625	
Scope and Contents note			
Carolyn Monford			
Washington Hospital Center - #96999, 1996	reel 520	frame 683	
<b>Scope and Contents note</b>			
Carolyn Monford			
Washington Tennis Foundation - #980802, 1998	reel 520	frame 730	
<b>Scope and Contents note</b>			
Kpakpundu Ezeze			
Washington University - #93-93, 1987	reel 521	frame 1	
Scope and Contents note			
Robert Nease			
We Care About New York - #10381, 1996	reel 521	frame 431	

Emory Jackson

We Care About New York - #91-15, 1996	reel 521	frame 558	
<b>Scope and Contents note</b>			
Emory Jackson			
Well Spouse Foundation - #91-67, 1997	reel 521	frame 665	
Scope and Contents note			
Peggy Meisel			
Well Spouse Foundation - #94-134, 1998	reel 521	frame 856	
<b>Scope and Contents note</b>			
Patricia Still			
Westat, Inc #10689, 1993	reel 522	frame 1	
<b>Scope and Contents note</b>			
Pat Skinner			
William Carey College - #9251, 1993	reel 522	frame 642	
<b>Scope and Contents note</b>			
William Payne and Jim Edwards			
WNYC Foundation - #93-81, 1995	reel 522	frame 1022	
<b>Scope and Contents note</b>			
Thomas Morgan			
Women and Foundations - #10449, 1996	reel 523	frame 1	
Scope and Contents note			
Joanne Hayes			
Women and Foundations - #11169, 1998	reel 523	frame 97	
<b>Scope and Contents note</b>			
Joanne Hayes			
Women and Foundations - #8750, 1992	reel 523	frame 156	

Joanne Hayes

Women and Foundations - #90-54, 1986	reel 523	frame 216	
Scope and Contents note			
Jane Ransom			
Women and Foundations - #91-77, 1990	reel 523	frame 336	
Scope and Contents note			
Jane Ransom			
Women and Foundations - #92-60, 1990	reel 523	frame 460	
Scope and Contents note			
Jane Ransom			
Women and Foundations - #92-70, 1994	reel 523	frame 527	
Scope and Contents note			
Jane Ransom			
Women and Foundations - #93-66, 1988	reel 523	frame 593	
Scope and Contents note			
Jane Ransom			
Women and Foundations - #94-102, 1991	reel 523	frame 687	
Scope and Contents note			
Walteen Grady Truly			
Women and Foundations - #9882, 1993	reel 523	frame 746	
Scope and Contents note			
Joanne Hayes			
Women and Philanthropy, Inc #95-90, 1988	reel 523	frame 875	
Scope and Contents note			
Walteen Grady Truly			
Women's Prison Association and Home, Inc #92-27, 1989	reel 524	frame 1	

Ann Jacobs

Women's Prison Association and Home, Inc #95824, 1986	reel 524	frame 95	
Scope and Contents note			
Ann Jacobs			
Women's Research and Education Institute - #94-120, 1990	reel 524	frame 206	
Scope and Contents note			
Cynthia Costello			
Women's Research and Education Institute - #95-40, Tabs 1-3, 1991	reel 524	frame 306	
Scope and Contents note			
Barbara Krimgold			
Women's Research and Education Institute - #95-40, Tabs 4-10, 1991	reel 525	frame 1	
Scope and Contents note			
Barbara Krimgold			
Women's Research and Education Institute - #970420, 1992	reel 525	frame 283	
Scope and Contents note			
Betty Parsons Dooley			
Woodrow Wilson International Center for Scholars - #95-71, 1992	reel 525	frame 341	
<b>Scope and Contents note</b>			
Walter Reich			
World Bank - #970423, 1993	reel 525	frame 448	
<b>Scope and Contents note</b>			
George Schieber			
Wright State University - #11177, 1994	reel 525	frame 512	
Scope and Contents note			
Marshall Kapp			
Wright State University - #90-76, 1987	reel 526	frame 1	

Marshall Kapp

Xavier University of Louisiana - #91-91, 1995	reel 526	frame 422	
<b>Scope and Contents note</b>			
J. W. Carmichael			
Yale University - #11186, 1991	reel 526	frame 530	
<b>Scope and Contents note</b>			
Lisa Berkman			
Yale University - #20020119, 1995	reel 526	frame 873	
<b>Scope and Contents note</b>			
Sharon Inouye			
Yale University - #6073, 1994	reel 527	frame 1	
<b>Scope and Contents note</b>			
Elisa Manuelidis			
Yale University - #94-90, 1994	reel 527	frame 371	
<b>Scope and Contents note</b>			
Sharon Inouye			
Yale University - #95-47, 1997	reel 528	frame 1	
<b>Scope and Contents note</b>			
Charles Torre			
Yale University - #970487, 1995	reel 528	frame 640	
<b>Scope and Contents note</b>			
Julie Lee			
Yale University - #97063, 1997	reel 528	frame 712	
<b>Scope and Contents note</b>			
Terry Fried			
Yale University - #980883, 1989	reel 528	frame 919	

Theodore Marmor

Youthbuild U.S.A. - #95-22, 1990 reel 529 frame 1

**Scope and Contents note** 

Dorothy Stoneman

YWCA - #92-50, 1991 reel 529 frame 526

**Scope and Contents note** 

Alpha Alexander

Zero to Three: National Center for Infants Toddlers and Families - reel 529 frame 602

#20000104, 1989

#### **Scope and Contents note**

Emily Fenichel