



Ford Foundation records, Human Rights and Governance Program, Program Director, Office Files of Shepard Forman

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English

Describing Archives: A Content Standard

Rockefeller Archive Center

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Summary Information

Repository:	Rockefeller Archive Center
Creator:	Ford Foundation
Title:	Ford Foundation records, Human Rights and Governance Program, Program Director, Office Files of Shepard Forman
ID:	FA717
Date [inclusive]:	1980-1991
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Language of the Material:	English

Preferred Citation

Information regarding the Rockefeller Archive Center's preferred elements and forms of citation can be found at <http://www.rockarch.org/research/citations.php>.

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Scope and Contents

Shepard Forman served as the Program Officer-in-Charge of the Human Rights & Governance Program from 1981 to 1986. In 1987, the title of Program Officer-in-Charge was changed to Director and Forman served in that title until 1990. He became Director of the International Affairs Program in 1991 and retired from the Ford Foundation in 1995.

This collection documents Forman's activities as Program Director of the Human Rights and Governance Program. During his tenure, the Human Rights and Governance Program encompassed two of six major areas of funding – Human Rights and Social Justice and Governance and Public Policy. This Program supported the promotion and protection of fundamental civil and political liberties worldwide and provided support for analysis of governmental structures and policies in developed countries and in Third World countries. The records of the Program Director are significant because they document the development of new program ideas with input from the President and Board of Trustees. These papers also document work by the Program staff in developing new initiatives and implementing grant activity in the areas of human rights and public policy on a national and international scope. Activities documented in the files include creation of program initiatives, preparation of financial materials, reports, and strategy papers that were presented to the Board of Trustees and documentation of task forces and

study group activities. Of interest are the background files on the development of an AIDS funding program that involved other private foundations.

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Arrangement

The collection is composed of 16 boxes and is comprised of the following series:

I. Background Files, 1980-1991 II. AIDS Background Files, 1987-1988 III. General Correspondence Files, 1981-1982 IV. General Files, 1985-1992 V. Trip Files, 1981-1990

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Administrative Information

Publication Statement

Rockefeller Archive Center

15 Dayton Avenue

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Processing Information

Level 1 Minimal Processing has been conducted to establish basic intellectual and physical control of the material and assess immediate preservation and conservation needs. Material remains as received, in original folders and storage boxes.

Conditions Governing Access

Material is open for scholarly research, with prior archival review.

Material more than ten years old is open for scholarly research.

Select individual files are restricted, as noted. Permission to access these files must be obtained from the Ford Foundation before scholarly access is permitted.

Conditions Governing Use

Completion of a use agreement is required prior to providing scholarly access. See RAC Head of Reference (or applicable designee) for details.

Ford Foundation holds title, copyright and literary rights in the collection, in so far as it holds them.

The Rockefeller Archive Center has authority to grant permission to cite and publish material from the collection for scholarly purposes. Permission to publish extensive excerpts, or material in its entirety, will be referred to the Ford Foundation.

Immediate Source of Acquisition

Ford Foundation archive was deposited at RAC in 2011. Ford Foundation records, correspondence, reports, program files and officers papers were transferred to, and accessioned by, RAC beginning in 2011. Accessions continue as necessary.

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Related Materials

Separated Materials

Reports by staff and consultants were transferred to their respective collections in the Archives. Transfers are noted on cross-reference sheets in Forman's records. An appendix to this register lists the reports that were transferred.

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Controlled Access Headings

- Ford Foundation

Physical Characteristics and Technical Requirements

Materials requiring specialized equipment for access (film, audio, video, slides) may be restricted from scholarly research dependent on availability of the applicable equipment.

Brittle or damaged items, or materials otherwise in need of preservation care, may be restricted from scholarly research at the discretion of the RAC Head of Archival Services/RAC Head of Reference.

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Collection Inventory

Background Files, 1980-1991

Scope and Contents

Series I, Background Files, 1980-1991, includes grant recommendations, proposals, reports, publications, notes, and meeting materials (i.e. agendas, notes). Some of these files are related to grantees and potential grantees. Other materials served as reference files providing background information on particular organizations or topics. Also included are financial projections, discussion papers, program reviews, and program statements.

Title/Description	Instances	
Population Grants	box 1 (SK #34648)	folder 1
President's Report to Board of Trustees (Draft Outline)	box 1 (SK #34648)	folder 2
Program planning, 1982	box 1 (SK #34648)	folder 3
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Puerto Rico Legal Project	box 1 (SK #34648)	folder 5
Jeff Puryear Paper, 1979	box 1 (SK #34648)	folder 6
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Amuesha – Yanachaga Project – Peru	box 2 (SK #36049)	folder 4
Anthropology Resource Center	box 2 (SK #36049)	folder 5
Anti-Slavery Society	box 2 (SK #36049)	folder 6
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1982-83 Budgets. Originals and extra copies	box 2 (SK #36049)	folder 10
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T. Kessinger	box 2 (SK #36049)	folder 12
Program activities – Hungary	box 2 (SK #36049)	folder 13
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AIDS Background Files, 1987-1988

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General Correspondence Files, 1981-1982

Scope and Contents

Series III, General Correspondence Files, 1981-1982, is comprised of general correspondence written to and by Forman on various topics including legal services, consultants, human rights, and grant correspondence.

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Meetings and agendas, 1981-1982	box 12 (SK #34247)	folder 1
Legal Services – Back-up Centers		

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Proposals - Rejection Letters	box 12 (SK #34247)	folder 3
Shepard Forman General Correspondence I, 1981-1982	box 12 (SK #34247)	folder 4
Shepard Forman General Correspondence II, 1981-1982	box 12 (SK #34247)	folder 5
Shepard Forman General Correspondence III, 1981-1982	box 12 (SK #34247)	folder 6
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Human Rights (external of USA)	box 12 (SK #34247)	folder 9
Human Rights (internal USA)	box 12 (SK #34247)	folder 10
Grants – Rejection Letters	box 12 (SK #34247)	folder 11
DAG, 1981	box 12 (SK #34247)	folder 12
Grant correspondence, memos, etc.	box 12 (SK #34247)	folder 13

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General Files, 1985-1992

Scope and Contents

Series IV, General Files, 1985-1992, is comprised of correspondence, project proposals, meeting notes, consultant reports, and discussion papers. Of note are materials pertaining to the promotion of pluralism and diversity in the areas of public policy and international affairs.

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European Foundation Centre - Central and East European Publishing Project	box 13 (SK #39825)	folder 1
European Cultural Foundation - East-West Parliamentary Practice Project - Jill Adler	box 13 (SK #39825)	folder 2
European Cultural Foundation - East West Parliamentary Practice Project Meeting	box 13 (SK #39825)	folder 3
European Cooperation Fund		

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European Cooperation Fund - Fund for book publication in East and Central European Languages	box 13 (SK #39825)	folder 5
European Cooperation Fund - Minutes to Meeting, 1986 April 8	box 13 (SK #39825)	folder 6
European Cooperation Fund - Central European Publishing Project, 1986 October 27	box 13 (SK #39825)	folder 7
European Cooperation Fund - Central European Publishing Project, 1990-1992	box 13 (SK #39825)	folder 8
European Cooperation Fund - Eastern European Publications	box 13 (SK #39825)	folder 9
IRCA (Immigration Reform and Control Act)	box 13 (SK #39825)	folder 10
Ford Foundation Review of Programs related to pluralism and diversity	box 13 (SK #39825)	folder 11
Susan Subak Report: A Survey of US Environmental Organizations	box 13 (SK #39825)	folder 12
Don Robinson Report: Bicentennial Era Plans and Opportunities	box 13 (SK #39825)	folder 13
Draft Report: Immigration and Human Capital/Ray Marshall	box 13 (SK #39825)	folder 14
Shane Hunt Report: Grad Programs in Applied Economics and Public Policy/Chile and Argentina	box 13 (SK #39825)	folder 15
Legislative Parliamentary Exchange	box 13 (SK #39825)	folder 16
Consultant Report: Fostering Diversity in Public Television	box 13 (SK #39825)	folder 17
Michael Chege - Gpp East and South Africa	box 13 (SK #39825)	folder 18
Professor Larry Fuchs - Immigration & Refugee Issues	box 13 (SK #39825)	folder 19
Christine Harrington - Dispute Resolution	box 13 (SK #39825)	folder 20
Marvin Harris - Anthropology	box 13 (SK #39825)	folder 21
John Hardt/William Robinson - Congressional Research Service	box 13 (SK #39825)	folder 22
Louise Lampere - Changing Relations Project	box 13 (SK #39825)	folder 23
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Robert Michael - Harris School of Public Policy	box 13 (SK #39825)	folder 25
A. Perlmutter - Bill of Rights Project	box 13 (SK #39825)	folder 26
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Donna Shalala - Madison Plan	box 13 (SK #39825)	folder 28
Changing Relations Project	box 13 (SK #39825)	folder 29
Changing Relations Project (2nd folder)	box 13 (SK #39825)	folder 30
Think Tank Files	box 14 (SK#39826)	folder 1
Minority Pipeline	box 14 (SK#39826)	folder 2
Program for Minority Recruitment and Training in Public Policy and International Affairs	box 14 (SK#39826)	folder 3
1990 Census on Minority Political Participation, 1990	box 14 (SK#39826)	folder 4
Evaluation of Alfred P. Sloan Minority Public Policy Careers Program	box 14 (SK#39826)	folder 5
Black Church	box 14 (SK#39826)	folder 6
Black Organizations and Fundraising	box 14 (SK#39826)	folder 7
Individual Papers: Peter Yu - Papers on affirmative action and the American Electoral System Tonya Lewis - paper on voter registration Catherine Castoreno - paper on US Hispanics Rhona Rapoport - paper on external affirmative action S. Forman, Bentsi-Enchill - paper on the Human Rights and Governance Program Rhona Rapoport - Notes from Geneva and Paper on Improving Government Dru Findley - paper on recent events in China Mora McLean - paper on human rights in Africa	box 14 (SK#39826)	folder 8
ACLU - Perlmutter Production (Handwritten Notes)	box 14 (SK#39826)	folder 9
Perlmutter Production - Sweet Land of Liberty	box 14 (SK#39826)	folder 10
ACLS - Comparative Perspectives on the U.S. Constitution		

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Center for the Study of Democratic Institutions (1961 Paper on the Fund for the Republic), 1961	box 14 (SK#39826)	folder 12
CIEPLAN Analysis by Steve Sanderson	box 14 (SK#39826)	folder 13
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Maxwell School Syracuse University/Biographical Sketches	box 14 (SK#39826)	folder 16
NALEO - Report on Recently Naturalized Individuals	box 14 (SK#39826)	folder 17
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Papers on problems and opportunities in Governance and Economics, 1979	box 14 (SK#39826)	folder 26
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Reproductive Health - Geneva, 1990		

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Trip Files, 1981-1990

Scope and Contents

Series V, Trip Files, 1981-1990, mainly consists of itineraries, reading materials for meetings, agendas, handwritten notes, and lists of participants of meetings attended by Forman. Also included in a smaller amount are meeting proceedings, lists of grants, and strategy papers.

Title/Description	Instances	
European Trip, 1981 April	box 15 (SK #38319)	folder 1
Philippines Meeting, 1982	box 15 (SK #38319)	folder 2
South Africa Trip File, 1982	box 15 (SK #38319)	folder 3
European (London/Paris), 1983 October	box 15 (SK #38319)	folder 4
Bellagio Conference (Institute for Research on Poverty/U-WI), 1984 May 14 - 17	box 15 (SK #38319)	folder 5
Washington, D.C. – GPP/Welfare State – Program Discussions, 1984 June 13	box 15 (SK #38319)	folder 6
Nairobi: HR & G, 1985	box 15 (SK #38319)	folder 7
Center for Mexican American Studies, 1985 May 21	box 15 (SK #38319)	folder 8
Paris – Amsterdam Brussels, 1985 June 16 – 21	box 15 (SK #38319)	folder 9
IAP Rio Meeting, 1985 June – July	box 15 (SK #38319)	folder 10
Texas, 1987 February 7-10	box 15 (SK #38319)	folder 11
China, 1987 August 2 - 18	box 15 (SK #38319)	folder 12
Washington, D. C., 1987 March 5 - 6	box 15 (SK #38319)	folder 13
Africa/Latin America – D. Arnold, 1987 March	box 15 (SK #38319)	folder 14
Southwest with H. Ramos/West Coast, 1987 April 28 - May 6		

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Europe, 1987 Summer	box 15 (SK #38319)	folder 16
Washington – Brookings/Urban Institute, 1987 July 7	box 15 (SK #38319)	folder 17
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Kansas City, MO (Hispanic Development Fund Project), 1988 December 9 - 10	box 15 (SK #38319)	folder 20
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Montreal: AIDS Coalition, 1989 June 4 - 9	box 16 (SK #38675)	folder 1
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Berlin: ACLS, 1989 September	box 16 (SK #38675)	folder 4
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Houston, TX: Nestor Rodriguez, 1989 October 11-12	box 16 (SK #38675)	folder 8
Vienna, 1990 January	box 16 (SK #38675)	folder 9
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Puerto Rico, 1990 February 28 - March 2	box 16 (SK #38675)	folder 14
Boston: Council on Foundations, 1990 April 2	box 16 (SK #38675)	folder 15
Boston: Barone Center, 1990 May 3 - 4	box 16 (SK #38675)	folder 16
Washington, D.C., 1990 May 16	box 16 (SK #38675)	folder 17
Soviet Union: Wingspread (Cancelled), 1990 May 22	box 16 (SK #38675)	folder 18
Hungary, 1990 June 18 - 20	box 16 (SK #38675)	folder 19
Vienna: Vienna Institute for Human Sciences, 1990 June 28 - July 1	box 16 (SK #38675)	folder 20
Brazil, 1990 July	box 16 (SK #38675)	folder 21
Prague, Czechoslovakia, 1990 September 7 - 17	box 16 (SK #38675)	folder 22

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