



# **Ford Foundation records, Peace and Social Justice Division (PSJ), Governance and Civil Society, Senior Program Officer, Office Files of Michael Lipsky**

---

This finding aid was produced using ArchivesSpace on November 20, 2019.

Rockefeller Archive Center

15 Dayton Avenue  
Sleepy Hollow 10591

[archive@rockarch.org](mailto:archive@rockarch.org)

URL: <http://www.rockarch.org>

---

## Table of Contents

---

Summary Information .....	3
Scope and Contents .....	3
Arrangement .....	3
Administrative Information .....	4
Collection Inventory .....	5
Correspondence .....	5
Program Files .....	6
Program Files, Regional .....	6
Program Files, Subject .....	6
Innovations in State and Local Government Files .....	8
Program Related Investment (PRIs) and Finance Related Files .....	12

---

## Summary Information

---

<b>Repository:</b>	Rockefeller Archive Center
<b>Creator - aut:</b>	Lipsky, Michael
<b>Creator:</b>	Ford Foundation
<b>Title:</b>	Ford Foundation records, Peace and Social Justice Division (PSJ), Governance and Civil Society, Senior Program Officer, Office Files of Michael Lipsky
<b>ID:</b>	FA595
<b>Date [inclusive]:</b>	Bulk, 1991-2002 1959-2002
<b>Date [bulk]:</b>	1991-2002
<b>Physical Description:</b>	8.33 Cubic Feet 6 record storage boxes, 1 legal document box
<b>Language of the Material:</b>	English

### Preferred Citation note

Information regarding the Rockefeller Archive Center's preferred elements and forms of citation can be found at <http://www.rockarch.org/research/citations.php>

[^ Return to Table of Contents](#)

---

## Scope and Contents

---

Contains correspondence, program files, innovations in and state and local government files, program-related investments and finance related files.

[^ Return to Table of Contents](#)

---

## Arrangement

---

Arranged in 4 series by file type.

[^ Return to Table of Contents](#)

---

## Administrative Information

---

### Publication Statement

Rockefeller Archive Center

15 Dayton Avenue

Sleepy Hollow 10591

[archive@rockarch.org](mailto:archive@rockarch.org)

URL: <http://www.rockarch.org>

### Conditions Governing Use note

Ford Foundation holds title, copyright and literary rights in the collection, in so far as it holds them. The Rockefeller Archive Center has authority to grant permission to cite and publish material from the collection for scholarly purposes. Permission to publish extensive excerpts, or material in its entirety, will be referred to the Ford Foundation.

### Immediate Source of Acquisition note

Ford Foundation archive was deposited at RAC in 2011. Ford Foundation records, correspondence, reports, program files and officers papers were transferred to, and accessioned by, RAC beginning in 2011. Accessions continue as necessary.

### Processing Information

Level 1 Minimal Processing has been conducted to establish basic intellectual and physical control of the material and assess immediate preservation and conservation needs. Material remains as received, in original folders and storage boxes.

### Conditions Governing Access

Records more than 10 years old are open for research with select materials restricted as noted. Brittle or damaged items are available at the discretion of RAC.

Completion of a use agreement is required prior to providing scholarly access. See RAC Head of Reference (or applicable designee) for details.

Researchers interested in accessing digital media (floppy disks, CDs, DVDs, etc.) or audiovisual material (audio cassettes, VHS, etc.) in this collection must use an access surrogate. The original

items may not be accessed because of preservation concerns. To request an access surrogate be made, or if you are unsure if there is an access surrogate, please contact an archivist.

[^ Return to Table of Contents](#)

## Collection Inventory

<b>Correspondence, 1991-2002</b>	
<b>Title/Description</b>	<b>Instances</b>
Chronological Correspondence, A-C, 1991-1994	box 1
Chronological Correspondence, D-F, 1991-1994	box 1
Chronological Correspondence, G-K, 1991-1994	box 1
Chronological Correspondence, L-Z, 1991-1994	box 1
Chronological Correspondence, 1991-1994	box 1
Chronological Correspondence, 1996	box 1
Chronological Correspondence, A-Z, 1997	box 2
Chronological Correspondence, A-Z, 1998	box 2
Chronological Correspondence, A-F, 1999	box 2
Chronological Correspondence, G-O, 1999	box 2
Chronological Correspondence, P-S, 1999	box 2
Chronological Correspondence, T-Z, 1999	box 2
Chronological Correspondence, A-G, Jan-May 2000	box 2
Chronological Correspondence, H-M, Jan-May 2000	box 2
Chronological Correspondence, N-V, Jan-May 2000	box 2
Chronological Correspondence, W-Z, Jan-May 2000	box 3
Chronological Correspondence, A-K, Jun-Dec 2000	box 3
Chronological Correspondence, L-S, Jun-Dec 2000	box 3
Chronological Correspondence, T-Z, Jun-Dec 2000	box 3
Chronological Correspondence (Karen Garcia's copies - Lipsky's assistant) (1 of 2), 2000	box 3
Chronological Correspondence (Karen Garcia's copies - Lipsky's assistant) (2 of 2), 2000	box 3
Chronological Correspondence, A-Z, Jan-Jun 2001	

box 3

Chronological Correspondence, A-Z, Jul-Dec 2001

box 3

Chronological Correspondence, 2002

box 3

[^ Return to Table of Contents](#)**Program Files, 1987-2002****Program Files, Regional, 1991-2000**

box 3

Title/Description	Instances
Africa -- Grants, Reports, Conference Materials, Background, etc., 1993-1994	box 3
Asia, 1991-1994	box 3
Mexico, 1993-1999	box 3
Namibia -- Decentralization in Namibia Summaries, 1997-1998	box 3
Pakistan, 1998	box 3
Philippines -- Innovations -- Galing Pook Awards, 1995-1999	box 3
Philippines -- Manila Meeting Re: Innovations Awards (May 30-June 1, 1997), 1997	box 3
Philippines -- Memoranda, 1998-2000	box 3
Poland -- Exhibit Re: Innovations and Investments, 1992	box 3
Poland -- East Europe Trip (July 1998), 1997-1998	box 3
Senegal, 1993-1997	box 3
South Africa -- Innovations incl. Impumelelo Program, Grants, etc., 1995-1999	box 3
West Africa -- Dakar Visit, Reports, etc., 1994-1995	box 3

**Program Files, Subject, 1987-2002**

Title/Description	Instances
Board of Trustees Meeting (September 2002) -- Memoranda and Reports, incl. "A Review of UN Reform-Related Projects Supported by the Ford Foundation, 1985-1996", 2001-2002	box 3
Current Situation in the States Re: Budget, Fiscal Issues, 2001-2002	box 4
Devolution, 1998	box 4
Ford Foundation Diversity and Equal Opportunity Policy, 1987, n.d.	box 4

Globalvision -- Galbraith Project, 2001	box 4
<b>Grantseeker Checklist, n.d.</b>	box 4
International Budget Project and Public Finance Files, 1995-1997	box 4
International Human Rights Training for Indigenous Peoples -- Ford Foundation Report, May 2002	box 4
Leadership for a Changing World (LCW) Awards Presentation, 2002	box 4
Len Burman -- Urban Institute, 2001	box 4
MAG Nominees, 2001	box 4
National Association of Social Workers -- Replication Seminar and Literature Review (Ford Foundation funded), 1993	box 4
National Service Projects, 1999-2001	box 4
Non-Profit Sector -- Michael Lipsky Working Files, 1994-1998	box 4
Philanthropy, 1998	box 4
Preparation for January 1992 Program Review (Governance and Public Policy), 1991-1992	box 4
PRA Evaluation by Michael Edwards, 1997	box 4
Reflection Memo and Guidelines, Meeting, 2001	box 4
Report, "Gendered Budget Work in the Americas: Selected Country Experience" by Natasha Borges Sugiyama Re: Ford Foundation Grantees, 2002	box 4
Report, "Making Democracy Work" and List of Democracy Reform Organizations Supplement by Miles Rapoport, Aug 2001	box 4
Report, "Race, Ethnicity and Access to Democracy: A Report to the Ford Foundation" by Penda D. Hair (in reports collection), May 2001	box 4
SFAI Grants -- Charles Stewart Mott Foundation Evaluation, 2001	box 4
SFAI Grants -- North Carolina Justice and Community Development, 2002	box 4
SFAI Grants -- United for a Fair Economy, 2002	box 4
Workplans (FY 2002), Reflection Memorandum (2001), Annual Report Photography Memorandum from Michael Lipsky, 2001-2002	box 4

[^ Return to Table of Contents](#)

## Innovations in State and Local Government Files, 1985-2002

Title/Description	Instances
Innovations in State and Local Government Awards Program -- Press Releases Re: Creation of Program, 1985-1988	box 4
Innovations in State and Local Government -- Public Relations Activity Report, 1988	box 4
Innovations in State and Local Government -- Conference, "Diffusion of Innovations in the Public Sector" (Austin, Texas), Sep 14-16, 1989	box 4
Innovations in State and Local Government -- Office of Communications (FY 1990), 1989	box 4
Innovations in State and Local Government -- Awards -- Finalists' Presentations (Cambridge, MA), July 12-13, 1990	box 4
Innovations in State and Local Government, 1985-1991 Programs -- Reports, Memoranda, etc., 1985-1991	box 4
Innovations in State and Local Government -- Awards Program Booklets, 1986-1991	box 4
Innovations in State and Local Government 1986-1991 -- Updates 1992, 1992	box 4
Innovations in State and Local Government -- Call for Applications, 1990-1991	box 4
Innovations in State and Local Government -- Research Conferences at Duke University, 1991	box 4
Innovating America Video, 1990	box 4
Governing Magazine Anniversary Issues, 1988-1990	box 4
Governing Magazine, 1991	box 4
Governing Magazine, 1993-1994	box 4
Governing Magazine -- Lipsky Final Approval of Issue, Fall 1998	box 5
Governing Magazine -- Michael Lipsky's Op Ed Piece Re: North Carolina Smart Start, 1993-1999	box 5
Innovations 1992 -- Budget, 1992 Meeting Issues, etc., 1992	box 5
Innovations 1992 -- Program Book, Presentation Schedules and Materials, Correspondence/Memoranda, etc., 1992	box 5
Innovations 1993 -- Clipbook (press), 1993	box 5
Innovations 1993 -- Audio Cassette, 1993	box 5
Innovations 1993 -- Innovations Award Recipients, 1986-1993, 1993	box 5



Innovations 1993 -- Newspaper Clippings, Correspondence Re: Grant Recipients, etc., 1993	box 5
Innovations 1993 -- Vice President Albert Gore, Jr. and White House Participation, 1993	box 5
Innovations 1993 -- Application, 1993	box 5
Innovations 1993 -- M. Booth and Associates Re: Public Relations, 1992-1993	box 5
Innovations 1993 -- Recommendations for Grant Actions, 1993	box 5
Innovations 1994 -- Trustee Meeting, 1994	box 5
Innovations 1994 -- June Letter -- National Selection Committee, 1994	box 5
Innovations 1994 -- Logistics for Innovations Award Event in D.C. (Sep 29, 1994), 1994	box 5
Innovations 1994 -- Old Executive Office Building Reception -- Awards Event, 1994	box 5
Innovations 1994 -- Awards Event -- Washington D.C. Planning, 1994	box 5
Innovations 1994 -- Awards Event -- Innovations and the White House/Al Gore Invitation, 1994	box 5
Innovations 1994 -- Awards Event -- Guest List Development -- Ford Foundation Colleagues, 1994	box 5
Innovations 1994 -- Awards Event -- Invitation Card, 1994	box 5
Innovations 1994 -- Awards Event -- Thank You Letters -- National Selection Committee, 1994	box 5
Innovations 1994 -- Awards Event -- Memoranda to Susan V. Berresford and Franklin Thomas Re: Event Planning, 1994	box 5
Innovations 1994 -- Awards Event -- Franklin Thomas Letters to CEOs of Winning Proposals, 1994	box 5
Innovations 1994 -- Awards Event -- Congress Invited to Awards Event, 1994	box 5
Innovations 1994 -- Awards Event -- Special Dinner Request, 1994	box 5
Innovations 1994 -- Awards Event -- Response List, 1994	box 5
Innovations 1994 -- Awards Event -- Request for Names for Invitations -- Government Organizations, 1994	box 5
Innovations 1994 -- M.Booth and Associates, 1994	box 5
Innovations 1994 -- Press Releases (M.Booth and Associates) (1 of 2), 1994	box 5

Innovations 1994 -- Press Releases (M.Booth and Associates) (2 of 2), 1994	box 5
Innovations 1994 -- Public Outreach and Dissemination Strategies, 1994	box 5
Innovations 1994 -- Communications Strategy, 1993-1994	box 5
Innovations 1994 -- Worldwide Activities, 1994	box 5
Innovations 1994 -- Grant Proposals and Memoranda, 1994	box 5
Innovations 1994 -- Semi-Finalists, 1994	box 5
Innovations 1994 -- Letters to All 25 Innovation Finalists Re: Guidelines and Current-Rutledge Schedule, 1994	box 5
Innovations 1994 -- Guidelines for Finalists, 1994	box 5
Innovations 1994 -- Finalists Seminar, 1994	box 5
Innovations 1994 -- Award Winners -- Guidelines for the \$100,000 Award Winners, 1994	box 5
Innovations 1994 -- Congratulation Letters to \$100,000 Winners, 1994	box 5
Innovations 1994 -- Congratulation Letters to Finalists, 1994	box 5
Innovations 1994 -- Winners List, 1994	box 5
Innovations 1994 -- \$100,000 Winners -- Coles Levee Ecosystem Preserve, 1994	box 5
Innovations 1994 -- \$100,000 Winners -- Quick Court System, 1994	box 5
Innovations 1994 -- \$100,000 Winners -- Citywide Central Insurance Program, 1994	box 5
Innovations 1994 -- \$100,000 Winners -- Partnership for Long Term Care, 1994	box 5
Innovations 1994 -- \$100,000 Winners -- Voluntary Investigation and Cleanup, 1994	box 5
Innovations 1994 -- \$100,000 Winners -- Sexual Assault Nurse Examiners Program, 1994	box 5
Innovations 1994 -- \$100,000 Winners -- Minnesota Parents' Fair Share, 1994	box 5
Innovations 1994 -- \$100,000 Winners -- Here, Thayre and Everywhere, 1994	box 5
Innovations 1994 -- \$100,000 Winners -- Student Conflict Resolution Experts, 1994	box 5
Innovations 1994 -- \$100,000 Winners -- Oregon Progress Board, 1994	box 5

Innovations 1994 -- \$20,000 Finalists -- Grant Proposals and Memoranda, 1994	box 6
Innovations 1994 -- \$20,000 Finalists -- Community Intensive Supervision Program, 1994	box 6
Innovations 1994 -- \$20,000 Finalists -- CARE/Project HOME/ AIDS Program, 1994	box 6
Innovations 1994 -- \$20,000 Finalists -- Regional Alliance for Small Contractors, 1994	box 6
Innovations 1994 -- \$20,000 Finalists -- Peer Intervention Program, 1994	box 6
Innovations 1994 -- \$20,000 Finalists -- Project Care, 1994	box 6
Innovations 1994 -- \$20,000 Finalists -- Self-Help Support Systems, 1994	box 6
Innovations 1994 -- \$20,000 Finalists -- Florida Marine Spill Analysis System, 1994	box 6
Innovations 1994 -- \$20,000 Finalists -- Miracle Village, 1994	box 6
Innovations 1994 -- \$20,000 Finalists -- Living in Family Environment, 1994	box 6
Innovations 1994 -- \$20,000 Finalists -- Families and Schools Together, 1994	box 6
Innovations 1994 -- \$20,000 Finalists -- Financial Institutions eXpert eXaminations, 1994	box 6
Innovations 1994 -- \$20,000 Finalists -- Technology Enhanced Community Problem Solving Policing Model, 1994	box 6
Innovations 1994 -- \$20,000 Finalists -- Building Community Campaign, 1994	box 6
Innovations 1994 -- \$20,000 Finalists -- Self-Directed Highway Maintenance, 1994	box 6
Innovations 1994 -- \$20,000 Finalists -- Child Health Clinics, 1994	box 6
Ford Foundation "Report" -- Featuring Innovations Program, Fall 1994	box 6
Innovations Focus Groups (federal component) and Report, "Assessing the Expansion of the Innovations Program to the Federal Level", 1994	box 6
Current-Rutledge Video Production -- Innovations Film and Media Projects, 1993-1994	box 6
Current-Rutledge Video Production -- Innovations 1994, 1994	box 6
Innovations Awards -- 1995 Application, 1995	box 6
Innovations 1995 -- Press, 1995	box 6

Innovations -- Linda Kaboolian -- Harvard Innovations Paper, 1997	box 6
Innovations -- International -- Reports and Materials Re: Ford Foundation Funded Program, 1998-2002	box 6
Innovations -- International (Harvard Project on American Indian Economic Development), 1999-2000	box 6
Innovations -- International -- "Citizenship, Citizen Participation and Democratic Culture" by David Arnold -- Prepared for the Worldwide Governance Meeting (Brazil), Oct 18, 1996	box 6
Innovations -- International -- "Improving American Governance: Problems and Strategies" Prepared for June 1985 Board of Trustees Meeting, Jun 1985	box 6
Innovations 1999-2000 -- Materials, 1999-2000	box 6
Innovations 1999, 1999	box 6
Notes on Innovations, 1998-2000	box 6
Harvard University -- Institute for Government Innovation Proposal, 2000	box 6
Harvard University -- Institute for Government Innovation -- Grant Documents, 2000-2003	box 6
Harvard University -- Innovations in American Government Program Proposal, 2000	box 6
Harvard University -- Institute for Government Innovation and Innovation in American Government Program, 1998-2001	box 6
Harvard University -- Institute for Government Innovation -- Status Reports, Memoranda and Reports Re: Endowment, etc., 2001	box 6
Harvard University -- Institute for Government Innovation -- Brochure and Program Materials, 2001	box 6
Harvard University -- Innovations in American Government -- Andrew Lee, Council for Excellence and Harvard/Kennedy School, 2001	box 6
Harvard University -- Innovations in American Government -- 2001 Finalists Events, 2001	box 6

[^ Return to Table of Contents](#)

## Program Related Investment (PRIs) and Finance Related Files, 1959-1995

Title/Description	Instances
Social Business Venture Study and Meeting at Ford Foundation (Oct 1989), 1989	box 7

PRI Issues Papers -- Background, 1959-1987	box 7
PRI -- Ford Foundation Report, "Program Related Investments -- A Different Approach to Philanthropy", 1974	box 7
PRI -- Archives, 1969-1987	box 7
PRI -- Format Handbook and Memoranda Re: PRI Procedure, 1984-1987	box 7
MIT Workshops, 1990-1995	box 7
PRI Source, 1991	box 7
PRI Workshop -- Conference Materials, Oct 1991	box 7
Worldwide Meeting on Development Finance (Oct 1995), 1994-1995	box 7
Resources for Foundations Exploring Program-Related Investments, n.d.	box 7

[^ Return to Table of Contents](#)