



Ford Foundation records, Human Rights and Governance Program, Program Director, Office Files of Shepard Forman

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English

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Rockefeller Archive Center

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Table of Contents

Summary Information	3
Scope and Contents	3
Arrangement	4
Administrative Information	4
Related Materials	5
Collection Inventory	5
Background Files	5
AIDS Background Files	20
General Correspondence Files	27
General Files	28
Trip Files	32

Summary Information

Repository:	Rockefeller Archive Center
Creator:	Ford Foundation
Creator - aut:	Forman, Shepard, 1938-
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Preferred Citation

Information regarding the Rockefeller Archive Center's preferred elements and forms of citation can be found at <http://www.rockarch.org/research/citations.php>.

[^ Return to Table of Contents](#)

Scope and Contents

Shepard Forman served as the Program Officer-in-Charge of the Human Rights & Governance Program from 1981 to 1986. In 1987, the title of Program Officer-in-Charge was changed to Director and Forman served in that title until 1990. He became Director of the International Affairs Program in 1991 and retired from the Ford Foundation in 1995.

This collection documents Forman's activities as Program Director of the Human Rights and Governance Program. During his tenure, the Human Rights and Governance Program encompassed two of six major areas of funding – Human Rights and Social Justice and Governance and Public Policy. This Program supported the promotion and protection of fundamental civil and political liberties worldwide and provided support for analysis of governmental structures and policies in developed countries and in Third World countries. The records of the Program Director are significant because they document the development of new program ideas with input from the President and Board of Trustees. These papers also document work by the Program staff in developing new initiatives and implementing grant activity in the areas of human rights and public policy on a national and international scope. Activities

documented in the files include creation of program initiatives, preparation of financial materials, reports, and strategy papers that were presented to the Board of Trustees and documentation of task forces and study group activities. Of interest are the background files on the development of an AIDS funding program that involved other private foundations.

[^ Return to Table of Contents](#)

Arrangement

The collection is composed of 16 boxes and is comprised of the following series:

I. Background Files, 1980-1991 II. AIDS Background Files, 1987-1988 III. General Correspondence Files, 1981-1982 IV. General Files, 1985-1992 V. Trip Files, 1981-1990

[^ Return to Table of Contents](#)

Administrative Information

Publication Statement

Rockefeller Archive Center

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Processing Information

Level 1 Minimal Processing has been conducted to establish basic intellectual and physical control of the material and assess immediate preservation and conservation needs. Material remains as received, in original folders and storage boxes.

Conditions Governing Access

Records more than 10 years old are open for research with select materials restricted as noted. Brittle or damaged items are available at the discretion of RAC.

Conditions Governing Use

Completion of a use agreement is required prior to providing scholarly access. See RAC Head of Reference (or applicable designee) for details.

Ford Foundation holds title, copyright and literary rights in the collection, in so far as it holds them.

The Rockefeller Archive Center has authority to grant permission to cite and publish material from the collection for scholarly purposes. Permission to publish extensive excerpts, or material in its entirety, will be referred to the Ford Foundation.

Immediate Source of Acquisition

Ford Foundation archive was deposited at RAC in 2011. Ford Foundation records, correspondence, reports, program files and officers papers were transferred to, and accessioned by, RAC beginning in 2011. Accessions continue as necessary.

[^ Return to Table of Contents](#)

Related Materials

Separated Materials

Reports by staff and consultants were transferred to their respective collections in the Archives. Transfers are noted on cross-reference sheets in Forman's records. An appendix to this register lists the reports that were transferred.

[^ Return to Table of Contents](#)

Collection Inventory

Background Files, 1980-1991

Scope and Contents

Series I, Background Files, 1980-1991, includes grant recommendations, proposals, reports, publications, notes, and meeting materials (i.e. agendas, notes). Some of these files are related to grantees and potential grantees. Other materials served as reference files providing background information on particular organizations or topics. Also included are financial projections, discussion papers, program reviews, and program statements.

Title/Description	Instances
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Population Grants	box 1 (SK #34648)	folder 1
President's Report to Board of Trustees (Draft Outline)	box 1 (SK #34648)	folder 2
Program planning, 1982	box 1 (SK #34648)	folder 3
Committee on Public Policy & Social Organizations	box 1 (SK #34648)	folder 4
Puerto Rico Legal Project	box 1 (SK #34648)	folder 5
Jeff Puryear Paper, 1979	box 1 (SK #34648)	folder 6
Center on International Policy	box 1 (SK #34648)	folder 7
Rhona Rapoport	box 1 (SK #34648)	folder 8
Religious Freedom	box 1 (SK #34648)	folder 9
Rural America	box 1 (SK #34648)	folder 10
PRI	box 1 (SK #34648)	folder 11
Sin Censura	box 1 (SK #34648)	folder 12
Whitten Norman	box 1 (SK #34648)	folder 13
Sacha Runa Research Foundation	box 1 (SK #34648)	folder 14
A. Sakharov Conference, 1981 May 1 - 2	box 1 (SK #34648)	folder 15
N.Y. Council of Law Associates, Barbara Schatz	box 1 (SK #34648)	folder 16
Martin Scurrah (Lima, Peru office)	box 1 (SK #34648)	folder 17
CELS (Center for Legal and Social Studies, Argentina)	box 1 (SK #34648)	folder 18
Expense orders for books and station	box 1 (SK #34648)	folder 19
Title VI Legislation	box 1 (SK #34648)	folder 20
Interim Reports of Theme Teams		

	box 1 (SK #34648)	folder 21
TOLA	box 1 (SK #34648)	folder 22
"U.N. University's Next Stage" Rector Soedjatmoko	box 1 (SK #34648)	folder 23
WOLA (Washington Office on Latin America)	box 1 (SK #34648)	folder 24
Trip to Washington - April - May 1981, International Teaching Rights, 1981	box 1 (SK #34648)	folder 25
Wilson Center	box 1 (SK #34648)	folder 26
Women's Position – Rio	box 1 (SK #34648)	folder 27
Women's Program Group	box 1 (SK #34648)	folder 28
Women	box 1 (SK #34648)	folder 29
International Women's Resource Agency, Inc.	box 1 (SK #34648)	folder 30
Women's Rights, India	box 1 (SK #34648)	folder 31
Women, A-Z	box 1 (SK #34648)	folder 32
Women's Studies	box 1 (SK #34648)	folder 33
Zalaquette, Jose, 1981 February 26	box 1 (SK #34648)	folder 34
Native Americans – Consultancy	box 2 (SK #36049)	folder 1
Africa	box 2 (SK #36049)	folder 2
Amnesty International	box 2 (SK #36049)	folder 3
Amuesha – Yanachaga Project – Peru	box 2 (SK #36049)	folder 4
Anthropology Resource Center	box 2 (SK #36049)	folder 5
Anti-Slavery Society	box 2 (SK #36049)	folder 6
FY1982 Program appropriations		

	box 2 (SK #36049)	folder 7
Aspen Institute	box 2 (SK #36049)	folder 8
Boston Trip	box 2 (SK #36049)	folder 9
1982-83 Budgets. Originals and extra copies	box 2 (SK #36049)	folder 10
East Timor	box 2 (SK #36049)	folder 11
T. Kessinger	box 2 (SK #36049)	folder 12
Program activities – Hungary	box 2 (SK #36049)	folder 13
Ethnic Conflict	box 2 (SK #36049)	folder 14
SF - Trip to California	box 2 (SK #36049)	folder 15
Patricia Campe – Aguilar Film Project 10/17/80, Brasil [sic], 1980	box 2 (SK #36049)	folder 16
Community Level Action programs	box 2 (SK #36049)	folder 17
CODEJU Commission Nacional Pro Dereshos Javeniles	box 2 (SK #36049)	folder 18
Child Development and Child Care in Latin America	box 2 (SK #36049)	folder 19
Correspondence, A-Z, 1981 July	box 2 (SK #36049)	folder 20
ECLA/UNDP meeting	box 2 (SK #36049)	folder 21
Rural Studies, Latin America	box 2 (SK #36049)	folder 22
Ethical Culture Schools	box 2 (SK #36049)	folder 23
Organized Crime	box 2 (SK #36049)	folder 24
Don Travel	box 2 (SK #36049)	folder 25
Patricia W. Fagen	box 2 (SK #36049)	folder 26
Frank Richard W.		

	box 2 (SK #36049)	folder 27
Forward Planning	box 2 (SK #36049)	folder 28
Friedman Conference	box 2 (SK #36049)	folder 29
Helsinki Watch Committee	box 2 (SK #36049)	folder 30
Human Rights – Eastern Europe	box 2 (SK #36049)	folder 31
Human Rights - The Media	box 2 (SK #36049)	folder 32
Human Rights - Other Funders	box 2 (SK #36049)	folder 33
"Fact-finding by International Nongovernmental Organizations for the Implementation of Human Rights" by David Weissbrodt and James McCarthy	box 2 (SK #36049)	folder 34
Human Rights Research	box 2 (SK #36049)	folder 35
Indian Rights/ICSA Conference	box 2 (SK #36049)	folder 36
International Human Rights Internship Program	box 2 (SK #36049)	folder 37
Inter-American Institute of Human Rights	box 2 (SK #36049)	folder 38
Center for Inter-American Relations - Central America Series (P.D. Bell) 5:30pm (Tuesday, March 24th)	box 2 (SK #36049)	folder 39
International Commission of Jurists	box 2 (SK #36049)	folder 40
International League for Human Rights (grant)	box 2 (SK #36049)	folder 41
SF: FF Interoffice Memos, A-Z, 1981 January - March	box 2 (SK #36049)	folder 42
Americas Watch	box 3 (SK #34630)	folder 1
FY1981 Program Appropriations	box 3 (SK #34630)	folder 2
Ford Foundation Status Report - regular appropriations	box 3 (SK #34630)	folder 3
Special appropriations for women's programs	box 3 (SK #34630)	folder 4
Weekly "Reports of "Approved Actions"		

	box 3 (SK #34630)	folder 5
Commission on U. S. – Brazilian Relations	box 3 (SK #34630)	folder 6
Aspen Institute Dinner, March, 1981. HR: The treatment of journalists, 1981 March	box 3 (SK #34630)	folder 7
Budget tables for program planning	box 3 (SK #34630)	folder 8
Quarterly Budget Projections	box 3 (SK #34630)	folder 9
Lawyers' Committee for Civil Rights under Law	box 3 (SK #34630)	folder 10
Colombian Folklore Institute	box 3 (SK #34630)	folder 11
Grant recommendation and grant proposal - Latin America	box 3 (SK #34630)	folder 12
China	box 3 (SK #34630)	folder 13
Dahrendorf, Ralf	box 3 (SK #34630)	folder 14
Decter, Midge	box 3 (SK #34630)	folder 15
Dressner, Howard	box 3 (SK #34630)	folder 16
El Salvador and the Press	box 3 (SK #34630)	folder 17
Conference: Structural Approaches to ethnic conflict	box 3 (SK #34630)	folder 18
Ethnic conflict workshops (W. Goldman)	box 3 (SK #34630)	folder 19
FF field reports. Information copies	box 3 (SK #34630)	folder 20
Hispanics	box 3 (SK #34630)	folder 21
Human Rights - State Dept.	box 3 (SK #34630)	folder 22
Human Rights - Latin America	box 3 (SK #34630)	folder 23
Latin America Human Rights - Clippings	box 3 (SK #34630)	folder 24
Human Rights - Inter-American Congress of Philosophy		

	box 3 (SK #34630)	folder 25
Human Rights	box 3 (SK #34630)	folder 26
Human Rights - Central America	box 3 (SK #34630)	folder 27
Council on Foundations (32nd Annual conference)	box 3 (SK #34630)	folder 28
Confidentiality of Foundation grant files - reporting (gov't officials)	box 3 (SK #34630)	folder 29
Human Rights - Latin America and the Caribbean	box 3 (SK #34630)	folder 30
10th Inter-American Congress of Philosophy (Gruender, David)	box 3 (SK #34630)	folder 31
Aid Human Rights	box 3 (SK #34630)	folder 32
Human Rights grants - all foundations	box 3 (SK #34630)	folder 33
Human Rights and Intellectual Freedom. March 1981 study - confidential, 1981 March	box 3 (SK #34630)	folder 34
Human Rights and Intellectual Freedom. Information paper, 1975 November	box 3 (SK #34630)	folder 35
SF: Human Rights and Justice. Introduction and problems, 1981 Spring	box 3 (SK #34630)	folder 36
Human Rights Program draft	box 3 (SK #34630)	folder 37
Human Rights and Justice Working Group	box 3 (SK #34630)	folder 38
Human Rights: Needs and priorities (Rosalyn Higgins), 1973 September	box 3 (SK #34630)	folder 39
Human Rights Internet - Laurie Weisberg	box 3 (SK #34630)	folder 40
Latin American Human rights Initiative	box 3 (SK #34630)	folder 41
Lawyers' Committee for Human Rights (Mike Posner)	box 3 (SK #34630)	folder 42
U. Ottawa. H.R. Institute. Walter Tarnosky	box 3 (SK #34630)	folder 43
Citizen's Committee for Immigration	box 3 (SK #34630)	folder 44
Indian Rights Files		

	box 3 (SK #34630)	folder 45
Indian Rights Files	box 3 (SK #34630)	folder 46
Indian Rights/OLAC	box 3 (SK #34630)	folder 47
Legal Aid Programs - Asia	box 3 (SK #34630)	folder 48
United Nations Research Institute for Social Development - Participation program	box 3 (SK #34630)	folder 49
Immigration Policy Research	box 3 (SK #34630)	folder 50
National Forum on Immigration and Refugee Policy	box 3 (SK #34630)	folder 51
Frank Jones	box 3 (SK #34630)	folder 52
Kiss, Alexander, March 31, 1981 - International Institute of Human Rights, 1981 March 31	box 3 (SK #34630)	folder 53
Worker's Culture	box 3 (SK #34630)	folder 54
LIWASA Standing Committee on Human Rights	box 3 (SK #34630)	folder 55
Latin American Culture	box 3 (SK #34630)	folder 56
Fund for Michigan	box 3 (SK #34630)	folder 57
Congresswoman Barbara Mikulski	box 3 (SK #34630)	folder 58
Migrant Educational Data International Record (MEDIR)	box 3 (SK #34630)	folder 59
Municipal government - East Ralo Alto	box 3 (SK #34630)	folder 60
National Council of La Raza (NCLR) - RGA	box 3 (SK #34630)	folder 61
National Urban League - RGA	box 3 (SK #34630)	folder 62
OLAC Budget	box 3 (SK #34630)	folder 63
OLAC Reorganization	box 3 (SK #34630)	folder 64
OLAC staff patterns		

	box 3 (SK #34630)	folder 65
OLAC travel and vacation schedules	box 3 (SK #34630)	folder 66
Officers' meeting	box 3 (SK #34630)	folder 67
Pending: Anthropology Resource Center	box 3 (SK #34630)	folder 68
Pending: Brazil office	box 3 (SK #34630)	folder 69
Pending: Colombia office	box 3 (SK #34630)	folder 70
Pending: Public Policy Commission	box 3 (SK #34630)	folder 71
Poland – Working File	box 3 (SK #34630)	folder 72
IPSA	box 4 (SK #34804)	folder 1
International Affairs	box 4 (SK #34804)	folder 2
Human Rights	box 4 (SK #34804)	folder 3
Immigrants and Refugees FF working group	box 4 (SK #34804)	folder 4
Indian Rights	box 4 (SK #34804)	folder 5
Leo Kuper - Draft RGA 7/81 Genocide. Study of International Protection, 1981 July	box 4 (SK #34804)	folder 6
International Red Cross	box 4 (SK #34804)	folder 7
Job Files	box 4 (SK #34804)	folder 8
Joint Center for Political Studies. Eddie Williams	box 4 (SK #34804)	folder 9
Latin America	box 4 (SK #34804)	folder 10
Latin America: Trip report of Dorothy Marshall and Harriet Robb (FF trustees)	box 4 (SK #34804)	folder 11
Legal Service Backup Center	box 4 (SK #34804)	folder 12
MALDEF (Mexican American Legal Defense Fund)		

	box 4 (SK #34804)	folder 13
University of Minnesota	box 4 (SK #34804)	folder 14
Herman Montealegre (Inst. Interameric Derechos Humanos)	box 4 (SK #34804)	folder 15
National Youth Employment Coalition	box 4 (SK #34804)	folder 16
Norman Foundation	box 4 (SK #34804)	folder 17
Notre Dame - Father Hesbrough	box 4 (SK #34804)	folder 18
Pan American Seminar on Peace	box 4 (SK #34804)	folder 19
SF personal files: Claims for damages	box 4 (SK #34804)	folder 20
SF personal files: Personal filing	box 4 (SK #34804)	folder 21
Pending calls (recruitment files - Asst. Prog. Officer)	box 4 (SK #34804)	folder 22
Proposed actions reviewed at Program Officers' meeting	box 4 (SK #34804)	folder 23
Trustees' discussions on Education and Culture	box 4 (SK #34804)	folder 24
Public Policy – Women	box 4 (SK #34804)	folder 25
RAND Corporation Institute for Civil Justice	box 4 (SK #34804)	folder 26
Repatriation	box 4 (SK #34804)	folder 27
SSRC (Social Science Research Center)	box 4 (SK #34804)	folder 28
Barry Stein Consultancy	box 4 (SK #34804)	folder 29
Robert J. Stein	box 4 (SK #34804)	folder 30
Survival International	box 4 (SK #34804)	folder 31
Jacob Timerman	box 4 (SK #34804)	folder 32
Travel Center Week 11/5-10, Washington, DC		

	box 4 (SK #34804)	folder 33
Urban Morgan Institute	box 4 (SK #34804)	folder 34
Women & Economics Conference (Dwyer, Daisy)	box 4 (SK #34804)	folder 35
Women's Program Group	box 4 (SK #34804)	folder 36
Writers & Scholars - RGA	box 4 (SK #34804)	folder 37
Affirmative Action	box 4 (SK #34804)	folder 38
Affirmative Action Data	box 4 (SK #34804)	folder 39
Agrarian Rights	box 4 (SK #34804)	folder 40
Agricultural Programs	box 4 (SK #34804)	folder 41
American Association for the Advancement of Science (AAAS)	box 4 (SK #34804)	folder 42
Anthropology meetings 1981 (Barbara Miller), 1981	box 4 (SK #34804)	folder 43
Society for Anthropological Economics meeting, 1981 April 26 - 29	box 4 (SK #34804)	folder 44
Association for Political and Legal Anthropology	box 4 (SK #34804)	folder 45
Brazil – General	box 4 (SK #34804)	folder 46
December 1981 Board of Trustees meeting, 1981 December	box 4 (SK #34804)	folder 47
Agriculture and Natural Resources	box 5 (SK #38393)	folder 1
A.I.D. – Washington Agency for International Development/ Democratization	box 5 (SK #38393)	folder 1A
Anti-slavery Society	box 5 (SK #38393)	folder 2
Argentine Group of Forensic Anthropologists	box 5 (SK #38393)	folder 3
Maureen Berman Report – Special Meeting on Journalists and Extra-legal Executions	box 5 (SK #38393)	folder 4
Brookings - April 6-7, 1987, 1987 April 6 - 7		

	box 5 (SK #38393)	folder 5
Brookings - B. K. MacLaury	box 5 (SK #38393)	folder 6
Brookings - Litan	box 5 (SK #38393)	folder 7
Brookings - 10/26/90 (H. Aaron/S. Riegel), 1990 October 26	box 5 (SK #38393)	folder 8
Common Good - Isabel Sawhill – U.I. Social Welfare Summary	box 5 (SK #38393)	folder 9
Common Good - Senate Commission – "Common Good", 1991 March 4	box 5 (SK #38393)	folder 10
Common Good - General	box 5 (SK #38393)	folder 11
Common Good - AEI	box 5 (SK #38393)	folder 12
Common Good - Committee for Economic Development	box 5 (SK #38393)	folder 13
Common Good - National Academy for Social Insurance, 1990 May 17	box 5 (SK #38393)	folder 14
Common Good - National Academy for Social Insurance – "Common Good," Wash. D.C., 1989 November 1	box 5 (SK #38393)	folder 15
Common Good - American Assembly, 1989 September 25	box 5 (SK #38393)	folder 16
Common Good - Robert Finch (Common Good – ACLS)	box 5 (SK #38393)	folder 17
Common Good - American Assembly – LBJ School of Public Affairs	box 5 (SK #38393)	folder 18
Common Good - American Assembly, Sacramento, CA, 1990 November 15 - 18	box 5 (SK #38393)	folder 19
Consultatial Group on Biological Diversity	box 5 (SK #38393)	folder 20
William Duggan – West Africa Media Program	box 5 (SK #38393)	folder 21
Wilma Espinoza, Consultant – National Hispanic Women's Organizations	box 5 (SK #38393)	folder 22
German Marshall Fund	box 5 (SK #38393)	folder 23
Crawford Goodwin/M. Nacht – "The Forgotten Payoff"	box 5 (SK #38393)	folder 24
Grantmaking in East/Central Europe (SEEG)		

	box 5 (SK #38393)	folder 25
Grameen Bank	box 5 (SK #38393)	folder 26
Gridley Hall Paper – Legal Services in Developing Countries	box 5 (SK #38393)	folder 27
The Ford Foundation Hispanic Leadership Development Conference	box 5 (SK #38393)	folder 28
Reports on the Hispanic Leadership Opportunity Program	box 5 (SK #38393)	folder 29
Hispanic Policy and Research Funders Meeting	box 5 (SK #38393)	folder 30
Hispanic Task Force, 1985 January 16	box 5 (SK #38393)	folder 31
Hispanic Leadership Opportunity Program (HLOP)	box 5 (SK #38393)	folder 32
Indigenous People	box 5 (SK #38393)	folder 33
Institute for Human Sciences – Vienna	box 5 (SK #38393)	folder 34
Lardent/McCalpin Report Fellowships and Senior Lawyers	box 5 (SK #38393)	folder 35
Institute for European-Latin America Relations	box 5 (SK #38393)	folder 36
International Center for Development Policy	box 5 (SK #38393)	folder 37
Bill Butler – ICJ, 1989 October 3	box 5 (SK #38393)	folder 38
International Federation for Survival and Development of Humanity	box 5 (SK #38393)	folder 39
Andrei Kozyrev	box 5 (SK #38393)	folder 40
Alexander Lavar	box 5 (SK #38393)	folder 41
Center for Democratic Renewal (Dan Levitas)	box 5 (SK #38393)	folder 42
Institutions, Groups, Individuals in Moscow (HR & G)	box 5 (SK #38393)	folder 43
Multilateral Challenges	box 5 (SK #38393)	folder 44
Crawford Goodwin and Micheal Nacht Report – International Educational Exchange	box 5 (SK #38393)	folder 45

The Nation Article	box 5 (SK #38393)	folder 46
National Security Archives	box 5 (SK #38393)	folder 47
Nun, Jose	box 5 (SK #38393)	folder 48
Reichmann, Rebecca (Strategy Paper on Civil Rights and Economic Opportunities for Blacks and Women in Brazil)	box 6 (SK #38674)	folder 1
Rapoport, Rhona (Advancement of Blacks and Women in South Africa)	box 6 (SK #38674)	folder 2
Abueva, J. (U. of Philippines)	box 6 (SK #38674)	folder 3
Philippor, Igor	box 6 (SK #38674)	folder 4
Poverty & Natural Resources (Kamla Chowdhry, India)	box 6 (SK #38674)	folder 5
Proxy Committee	box 6 (SK #38674)	folder 6
Przeworski, Adam (includes c.v.)	box 6 (SK #38674)	folder 7
Research Advisory Committee, Belmont, MD	box 6 (SK #38674)	folder 8
Role of US Foundations in East/Central Europe	box 6 (SK #38674)	folder 9
South Africa Briefing, 1989 January 1	box 6 (SK #38674)	folder 10
Salett, Stan. Presidential Transitions – Wash, D.C., 1988 February 3	box 6 (SK #38674)	folder 11
Salett, Elizabeth (International Counseling Center)	box 6 (SK #38674)	folder 12
USSR (General SEESG)	box 6 (SK #38674)	folder 13
SEEG (Meeting notes)	box 6 (SK #38674)	folder 14
SEESG (USSR Grants, equipment and supplies)	box 6 (SK #38674)	folder 15
Project recommendations for USSR	box 6 (SK #38674)	folder 16
SF – USSR Trip Report	box 6 (SK #38674)	folder 17
Picken, Margo (USSR Trip report)		

	box 6 (SK #38674)	folder 18
Survival Foundation (USSR) 2/5/91 (E. Velikor), 1991 February 5	box 6 (SK #38674)	folder 19
U.N.D.P. (Confidential)	box 6 (SK #38674)	folder 20
UN Leadership for the Future, 1991 August 14	box 6 (SK #38674)	folder 21
Vetere, Eduardo (Meeting with Margo Picken – 5/19/89), 1989 May 19	box 6 (SK #38674)	folder 22
Evaluation of the Voter Education Project	box 6 (SK #38674)	folder 23
Williams, Shirley (JFK School) Project Liberty	box 6 (SK #38674)	folder 24
International Women's Rights Organizations, 1991 February 15	box 6 (SK #38674)	folder 25
Scott, Jim (Yale)	box 6 (SK #38674)	folder 26
Presentation to Trustees (Rationale for new Human Rights and Governance Program)	box 6 (SK #38674)	folder 27
HR&G Program files	box 6 (SK #38674)	folder 28
Concept paper (SF comments on Harry Dodds' concept paper)	box 6 (SK #38674)	folder 29
Bell, Peter (Graduate Training Programs in Public Policy supported by the Ford Foundation)	box 6 (SK #38674)	folder 30
Review of Public Policy and Social Organization Program	box 6 (SK #38674)	folder 31
National Affairs Review (Session 5: Law and Justice Program)	box 6 (SK #38674)	folder 32
Philippines - Meeting in Philippines	box 7 (SK #38867)	folder 1
Philippines - HR&G meeting, Jan. 28 – Feb. 1, 1991 Philippines, 1991 January 28 - February 1	box 7 (SK #38867)	folder 2
HR&G Program Review, 1991 February 11	box 7 (SK #38867)	folder 3
Program Review, 1990 March 12	box 7 (SK #38867)	folder 4
FY 1989 Program Review	box 7 (SK #38867)	folder 5
USIAP Program Review, 1989 October		

	box 7 (SK #38867)	folder 6
HR&G Program Review - Rapporteur Notes, 1988 October	box 7 (SK #38867)	folder 7
May 1988/1987 DCP Summary Tables, 1987-1988	box 7 (SK #38867)	folder 8
DCP Review, 1986 October	box 7 (SK #38867)	folder 9
Human Rights & Governance Program Review, 1986	box 7 (SK #38867)	folder 10
Human Rights & Governance Program Review, 1985 November	box 7 (SK #38867)	folder 11
Work/Family Task Force Subcommittee	box 7 (SK #38867)	folder 12

[^ Return to Table of Contents](#)

AIDS Background Files, 1987-1988

Scope and Contents

Series II, AIDS Background Files, 1987-1988, includes proposals, background materials for meetings, publications, memos, and correspondence.

Title/Description	Instances	
AIDS Background - AIDS Action Council	box 8 (SK #33358)	folder 1
AIDS Background - AIDS in India	box 8 (SK #33358)	folder 2
AIDS Background - Dane County Forum	box 8 (SK #33358)	folder 3
AIDS Background - HIV in Women	box 8 (SK #33358)	folder 4
AIDS Background - H.R. Campaign Fund Vic Basile	box 8 (SK #33358)	folder 5
AIDS Background - Center for Population Options	box 8 (SK #33358)	folder 6
AIDS Background - Centers for Disease Control	box 8 (SK #33358)	folder 7
AIDS Background - Citizens Commission On AIDS	box 8 (SK #33358)	folder 8
AIDS Background - Fund for the City of New York		

	box 8 (SK #33358)	folder 9
AIDS Background - International Development Research Center	box 8 (SK #33358)	folder 10
AIDS Background - Harrison McKay	box 8 (SK #33358)	folder 11
AIDS Background - Symposium – Mass Panic	box 8 (SK #33358)	folder 12
AIDS Background - National Commission To Prevent Infant Mortality	box 8 (SK #33358)	folder 13
AIDS Background - National Puerto Rican Coalition	box 8 (SK #33358)	folder 14
AIDS Background - June Osborn article	box 8 (SK #33358)	folder 15
AIDS Background - PATH – Prog. Appropriate Technology in Health	box 8 (SK #33358)	folder 16
AIDS Background - Red Cross	box 8 (SK #33358)	folder 17
AIDS Background - Science & Technology Article	box 8 (SK #33358)	folder 18
AIDS – Criminal Justice Proposals - Association for Bar of City of New York Fund, Inc.	box 8 (SK #33358)	folder 19
AIDS – Criminal Justice Proposals - The Legal Aid Society	box 8 (SK #33358)	folder 20
AIDS – Criminal Justice Proposals - Mark Moore-Harvard JFK School	box 8 (SK #33358)	folder 21
AIDS – Criminal Justice Proposals - National Institute of Justice	box 8 (SK #33358)	folder 22
AIDS-DCP - R. Horovitz	box 8 (SK #33358)	folder 23
AIDS-DCP - AIDS – Africa	box 8 (SK #33358)	folder 24
AIDS-DCP - AIDS – Mexico	box 8 (SK #33358)	folder 25
AIDS-DCP - GAPA (Support Group for the Prevention of AIDS)	box 8 (SK #33358)	folder 26
AIDS-DCP - Haitian Coalition of AIDS	box 8 (SK #33358)	folder 27
AIDS-DCP - AIDS – Haiti	box 8 (SK #33358)	folder 28
AIDS – General Impact Assessment Proposals - Stephen Graubard – DAEDALUS	box 8 (SK #33358)	folder 29

AIDS – General Impact Assessment Proposals - Walter Reich, MD National Security Studies Center	box 8 (SK #33358)	folder 30
AIDS – General Impact Assessment Proposals - SRRC(Social Science Research Council)	box 8 (SK #33358)	folder 31
AIDS – Local Initiatives Proposals - Edgar Cahn (Service Credits)	box 8 (SK #33358)	folder 32
AIDS – Local Initiatives Proposals - Corlabs	box 8 (SK #33358)	folder 33
AIDS – Proposals, Public Education for Prevention - Allied Signal	box 8 (SK #33358)	folder 34
AIDS – Proposals, Public Education for Prevention - Jane Delgado – COSSMHO (National Coalition of Hispanic Health and Human Services Organization)	box 8 (SK #33358)	folder 35
AIDS – Proposals, Public Education for Prevention - Dr. Beny Primm	box 8 (SK #33358)	folder 36
AIDS – Proposals, Public Education for Prevention - Project Hope	box 8 (SK #33358)	folder 37
AIDS – Proposals, Public Education for Prevention - Anne Welbourne – Moglia NYU	box 8 (SK #33358)	folder 38
AIDS – Proposals, Public Education for Prevention - Oralee Wachter	box 8 (SK #33358)	folder 39
AIDS – Proposals, Public Education for Prevention - Rochelle Zeidman – American Red Cross	box 8 (SK #33358)	folder 40
AIDS – Proposals, Public Education for Prevention - AIDS Education – Misc.	box 8 (SK #33358)	folder 41
AIDS – Research - Foundation Center	box 8 (SK #33358)	folder 42
AIDS – Research - Dan Fax/ David Willis	box 8 (SK #33358)	folder 43
AIDS – Adolescents	box 8 (SK #33358)	folder 44
AIDS – Costs	box 8 (SK #33358)	folder 45
AIDS – Dispute Resolution	box 8 (SK #33358)	folder 46
AIDS Files - School of Dentistry (University of California)	box 9 (SK #33054)	folder 1
AIDS Files - Rosemary Passontino	box 9 (SK #33054)	folder 2
AIDS Files - Rodger Max- Barrow		

	box 9 (SK #33054)	folder 3
AIDS Files - David Hallman – United Church of Canada	box 9 (SK #33054)	folder 4
AIDS Files - John Wolfe – AIDS Project	box 9 (SK #33054)	folder 5
AIDS Files - Vermont C.A.R.E.S.	box 9 (SK #33054)	folder 6
AIDS Files - Gerald Hirsch-Cesar – Intercultural Communications	box 9 (SK #33054)	folder 7
Subject: Politics of AIDS - C. Everett Koop address	box 9 (SK #33054)	folder 8
Subject: Politics of AIDS - Helms Amendment Background	box 9 (SK #33054)	folder 9
Subject: Politics of AIDS - Politics of AIDS	box 9 (SK #33054)	folder 10
Subject: Politics of AIDS - National Conference of State Legislators	box 9 (SK #33054)	folder 11
Subject: AIDS Resource People - Resources available	box 9 (SK #33054)	folder 12
Subject: AIDS Resource People - Mario Orlandi – American Health Foundation	box 9 (SK #33054)	folder 13
Subject: AIDS Resource People - SSRC	box 9 (SK #33054)	folder 14
Subject: AIDS Resource People - Citizens Commission On AIDS	box 9 (SK #33054)	folder 15
Subject: AIDS Resource People - Nicholas Raugo	box 9 (SK #33054)	folder 16
Subject: AIDS Resource People - Abigail Krystall	box 9 (SK #33054)	folder 17
Subject: AIDS Resource People - Henry Mosley – Johns Hopkins University	box 9 (SK #33054)	folder 18
Subject: AIDS Resource People - Henry Mosley – Johns Hopkins University	box 9 (SK #33054)	folder 19
Subject: AIDS Resource People - D.C. Jayasuriga (includes c.v.)	box 9 (SK #33054)	folder 20
Subject: AIDS Resource People - Robert Wood Johnson	box 9 (SK #33054)	folder 21
Subject: AIDS Resource People - R. Faden – Johns Hopkins University	box 9 (SK #33054)	folder 22
Subject: AIDS Resource People - Dr. Jellinek - Robert Wood Johnson Foundation		

	box 9 (SK #33054)	folder 23
Subject: AIDS Resource People - Congress of National Black Churches	box 9 (SK #33054)	folder 24
Subject: AIDS Resource People - Lincoln Chen, Harvard – School of Public Health	box 9 (SK #33054)	folder 25
Subject: AIDS Resource People - Harvard School, School of Public Health	box 9 (SK #33054)	folder 26
Subject: AIDS Resource People - Dr. Sam Matheny – Health Resources Services Administration	box 9 (SK #33054)	folder 27
Subject: AIDS Resource People - George Marshall Worthington (includes resumes)	box 9 (SK #33054)	folder 28
Subject: AIDS Rights and Protection - London Meeting – AIDS, 1988 March	box 9 (SK #33054)	folder 29
Subject: AIDS Rights and Protection - Center for Constitutional Rights	box 9 (SK #33054)	folder 30
Subject: AIDS Rights and Protection - Legal Action Center	box 9 (SK #33054)	folder 31
Subject: AIDS Rights and Protection - Robert Stein – Environmental Mediation International	box 9 (SK #33054)	folder 32
Subject: AIDS Rights and Protection - AIDS Treatment and Drugs	box 9 (SK #33054)	folder 33
Original AIDS Letter	box 9 (SK #33054)	folder 34
Responses to AIDS letters	box 9 (SK #33054)	folder 35
AIDS Letters with Precis	box 9 (SK #33054)	folder 36
AIDS Fund Committee	box 9 (SK #33054)	folder 37
Subject: Consultants/AIDS - Consultant Assignments (includes c.v.)	box 10 (SK #33055)	folder 1
Subject: Consultants/AIDS - Memos re: consultants reports	box 10 (SK #33055)	folder 2
Subject: Consultants/AIDS - General	box 10 (SK #33055)	folder 3
Subject: General Files – AIDS - Carter Center	box 10 (SK #33055)	folder 4
Subject: General Files – AIDS - Conference of Mayors	box 10 (SK #33055)	folder 5
Subject: General Files – AIDS - Family Health International		

	box 10 (SK #33055)	folder 6
Subject: General Files – AIDS - FF memos, etc.	box 10 (SK #33055)	folder 7
Subject: General Files – AIDS - Foundation Center	box 10 (SK #33055)	folder 8
Subject: General Files – AIDS - Harvard – School of Public Health	box 10 (SK #33055)	folder 9
Subject: General Files – AIDS - Jan Friedman – Investment Research – AIDS	box 10 (SK #33055)	folder 10
Subject: General Files – AIDS - Roger Laut – Salzburg Seminar	box 10 (SK #33055)	folder 11
Subject: General Files – AIDS - Mainstream article	box 10 (SK #33055)	folder 12
Subject: General Files – AIDS - National Academy of Sciences	box 10 (SK #33055)	folder 13
Subject: General Files – AIDS - Presidential Commission Report	box 10 (SK #33055)	folder 14
Subject: General Files – AIDS - ABFE (Assn. Of Black Foundation Executives)	box 10 (SK #33055)	folder 15
Subject: General Files – AIDS - SIPI (Scientists Institute for Public Information)	box 10 (SK #33055)	folder 16
Subject: General Files – AIDS - Non-Governmental Organization (NGO) Committee on UNICEF	box 10 (SK #33055)	folder 17
Subject: General Files – AIDS - G. W. Worthington – NGO Committee on UNICEF	box 10 (SK #33055)	folder 18
Subject: Legal and Ethical Issues - David Schalman – Testimony for Presidential Committee on H.I.V. epidemic	box 10 (SK #33055)	folder 19
Subject: Legal and Ethical Issues - Hastings Center	box 10 (SK #33055)	folder 20
Subject: AIDS NYC - Citizens Panel (NY/NJ)	box 10 (SK #33055)	folder 21
Subject: AIDS NYC - Lambda Legal Defense and Education Fund	box 10 (SK #33055)	folder 22
Subject: AIDS NYC - David Dinkins	box 10 (SK #33055)	folder 23
Subject: AIDS NYC - NYC Health Dept.	box 10 (SK #33055)	folder 24
Subject: AIDS NYC - NY Community Trust	box 10 (SK #33055)	folder 25
Subject: AIDS NYC - NY Urban Trust		

	box 10 (SK #33055)	folder 26
Subject: AIDS NYC - Siecus Report	box 10 (SK #33055)	folder 27
Subject: AIDS – Other Funders - Carnegie Foundation	box 10 (SK #33055)	folder 28
Subject: AIDS – Other Funders - Edna McConnell Clark	box 10 (SK #33055)	folder 29
Subject: AIDS – Other Funders - Foundation Center	box 10 (SK #33055)	folder 30
Subject: AIDS – Other Funders - Donor's Mtg – Kenya	box 10 (SK #33055)	folder 31
Subject: AIDS – Other Funders - Walter & Elsie Haas Fund	box 10 (SK #33055)	folder 32
Subject: AIDS – Other Funders - MacArthur Foundation	box 10 (SK #33055)	folder 33
Subject: AIDS – Other Funders - Josiah Macy Foundation	box 10 (SK #33055)	folder 34
Subject: AIDS – Other Funders - Milbank Memorial Fund	box 10 (SK #33055)	folder 35
Subject: AIDS – Other Funders - Minority Task Force	box 10 (SK #33055)	folder 36
Subject: AIDS – Other Funders - NYRAG (National Regional Assn. Of Grantmakers)	box 10 (SK #33055)	folder 37
Subject: AIDS – Other Funders - PEW (Rebecca Rinel)	box 10 (SK #33055)	folder 38
Subject: AIDS – Other Funders - Population Council Meeting	box 10 (SK #33055)	folder 39
Subject: AIDS – Other Funders - Mark Smith, M.D.	box 10 (SK #33055)	folder 40
Subject: AIDS – Other Funders - Natalie Spinganen	box 10 (SK #33055)	folder 41
Subject: AIDS – Other Funders - Nancy Tichten – Bankers' Trust	box 10 (SK #33055)	folder 42
Subject: AIDS – Other Funders - Jerold Weitzman – Exxon	box 10 (SK #33055)	folder 43
Subject: AIDS – Other Funders - Terry Mooney – WHO (World Health Organization)	box 10 (SK #33055)	folder 44
Subject: AIDS – Other Funders - Manuel Corballo - WHO (World Health Organization)	box 10 (SK #33055)	folder 45
Subject: AIDS – Other Funders - Jonathan Mann - WHO (World Health Organization)	box 10 (SK #33055)	folder 46

Subject: AIDS – Other Funders - Other Funders	box 10 (SK #33055)	folder 47
Subject: Policy - George Washington University (R. Merritt)	box 10 (SK #33055)	folder 48
Subject: Public Policy - ACLU – AIDS Task Force	box 10 (SK #33055)	folder 49
Subject: Public Policy - General Correspondence AIDS	box 11 (SK #33056)	folder 1
Subject: Public Policy - Files	box 11 (SK #33056)	folder 2
Subject: Public Policy - AIDS Conference - Stockholm, Sweden, 1988 June 11 - 17	box 11 (SK #33056)	folder 3
Subject: Public Policy - General	box 11 (SK #33056)	folder 4
Subject: Public Policy - AIDS – Funding Mechanism Committee	box 11 (SK #33056)	folder 5
Subject: Public Policy - AMFAR	box 11 (SK #33056)	folder 6
Subject: Public Policy - AIDS Testimony	box 11 (SK #33056)	folder 7
Subject: Public Policy - Presidential Commission on AIDS	box 11 (SK #33056)	folder 8
Subject: Public Policy - Presidential Commission on HIV Epidemic and National Leadership Coalition on AIDS. Washington, D.C., 1988 February 24	box 11 (SK #33056)	folder 9
Subject: Public Policy - Testimony presented at the Presidential Commission on AIDS – Washington, D.C., 1988 April 19	box 11 (SK #33056)	folder 10
Subject: Public Policy - Council on Foundations AIDS meeting – Washington, D.C., 1988 February 18	box 11 (SK #33056)	folder 11
Subject: Public Policy - Tom Wahman – Rockefeller Bros. Fund, 1988 February 1	box 11 (SK #33056)	folder 12
Subject: Public Policy - Original AIDS report	box 11 (SK #33056)	folder 13
Subject: Public Policy - June Osborn Speech	box 11 (SK #33056)	folder 14
Subject: Public Policy - General	box 11 (SK #33056)	folder 15

[^ Return to Table of Contents](#)

General Correspondence Files, 1981-1982

Scope and Contents

Series III, General Correspondence Files, 1981-1982, is comprised of general correspondence written to and by Forman on various topics including legal services, consultants, human rights, and grant correspondence.

Title/Description	Instances	
Meetings and agendas, 1981-1982	box 12 (SK #34247)	folder 1
Legal Services – Back-up Centers	box 12 (SK #34247)	folder 2
Proposals - Rejection Letters	box 12 (SK #34247)	folder 3
Shepard Forman General Correspondence I, 1981-1982	box 12 (SK #34247)	folder 4
Shepard Forman General Correspondence II, 1981-1982	box 12 (SK #34247)	folder 5
Shepard Forman General Correspondence III, 1981-1982	box 12 (SK #34247)	folder 6
Reproductive Health Committee – Human Rights, 1982	box 12 (SK #34247)	folder 7
Consultants	box 12 (SK #34247)	folder 8
Human Rights (external of USA)	box 12 (SK #34247)	folder 9
Human Rights (internal USA)	box 12 (SK #34247)	folder 10
Grants – Rejection Letters	box 12 (SK #34247)	folder 11
DAG, 1981	box 12 (SK #34247)	folder 12
Grant correspondence, memos, etc.	box 12 (SK #34247)	folder 13

[^ Return to Table of Contents](#)

General Files, 1985-1992

Scope and Contents

Series IV, General Files, 1985-1992, is comprised of correspondence, project proposals, meeting notes, consultant reports, and discussion papers. Of note are materials pertaining to the promotion of pluralism and diversity in the areas of public policy and international affairs.

Title/Description	Instances	
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European Foundation Centre - Central and East European Publishing Project	box 13 (SK #39825)	folder 1
European Cultural Foundation - East-West Parliamentary Practice Project - Jill Adler	box 13 (SK #39825)	folder 2
European Cultural Foundation - East West Parliamentary Practice Project Meeting	box 13 (SK #39825)	folder 3
European Cooperation Fund	box 13 (SK #39825)	folder 4
European Cooperation Fund - Fund for book publication in East and Central European Languages	box 13 (SK #39825)	folder 5
European Cooperation Fund - Minutes to Meeting, 1986 April 8	box 13 (SK #39825)	folder 6
European Cooperation Fund - Central European Publishing Project, 1986 October 27	box 13 (SK #39825)	folder 7
European Cooperation Fund - Central European Publishing Project, 1990-1992	box 13 (SK #39825)	folder 8
European Cooperation Fund - Eastern European Publications	box 13 (SK #39825)	folder 9
IRCA (Immigration Reform and Control Act)	box 13 (SK #39825)	folder 10
Ford Foundation Review of Programs related to pluralism and diversity	box 13 (SK #39825)	folder 11
Susan Subak Report: A Survey of US Environmental Organizations	box 13 (SK #39825)	folder 12
Don Robinson Report: Bicentennial Era Plans and Opportunities	box 13 (SK #39825)	folder 13
Draft Report: Immigration and Human Capital/Ray Marshall	box 13 (SK #39825)	folder 14
Shane Hunt Report: Grad Programs in Applied Economics and Public Policy/Chile and Argentina	box 13 (SK #39825)	folder 15
Legislative Parliamentary Exchange	box 13 (SK #39825)	folder 16
Consultant Report: Fostering Diversity in Public Television	box 13 (SK #39825)	folder 17
Michael Chege - Gpp East and South Africa	box 13 (SK #39825)	folder 18
Professor Larry Fuchs - Immigration & Refugee Issues	box 13 (SK #39825)	folder 19
Christine Harrington - Dispute Resolution	box 13 (SK #39825)	folder 20
Marvin Harris - Anthropology		

	box 13 (SK #39825)	folder 21
John Hardt/William Robinson - Congressional Research Service	box 13 (SK #39825)	folder 22
Louise Lampere - Changing Relations Project	box 13 (SK #39825)	folder 23
William Leshner - Common Good	box 13 (SK #39825)	folder 24
Robert Michael - Harris School of Public Policy	box 13 (SK #39825)	folder 25
A. Perlmutter - Bill of Rights Project	box 13 (SK #39825)	folder 26
Robert Russell - Consultant	box 13 (SK #39825)	folder 27
Donna Shalala - Madison Plan	box 13 (SK #39825)	folder 28
Changing Relations Project	box 13 (SK #39825)	folder 29
Changing Relations Project (2nd folder)	box 13 (SK #39825)	folder 30
Think Tank Files	box 14 (SK#39826)	folder 1
Minority Pipeline	box 14 (SK#39826)	folder 2
Program for Minority Recruitment and Training in Public Policy and International Affairs	box 14 (SK#39826)	folder 3
1990 Census on Minority Political Participation, 1990	box 14 (SK#39826)	folder 4
Evaluation of Alfred P. Sloan Minority Public Policy Careers Program	box 14 (SK#39826)	folder 5
Black Church	box 14 (SK#39826)	folder 6
Black Organizations and Fundraising	box 14 (SK#39826)	folder 7
Individual Papers: Peter Yu - Papers on affirmative action and the American Electoral System Tonya Lewis - paper on voter registration Catherine Castoreno - paper on US Hispanics Rhona Rapoport - paper on external affirmative action S. Forman, Bentsi-Enchill - paper on the Human Rights and Governance Program Rhona Rapoport - Notes from Geneva and Paper on Improving Government Dru Findley - paper on recent events in China Mora McLean - paper on human rights in Africa	box 14 (SK#39826)	folder 8
ACLU - Perlmutter Production (Handwritten Notes)		

	box 14 (SK#39826)	folder 9
Perlmutter Production - Sweet Land of Liberty	box 14 (SK#39826)	folder 10
ACLS - Comparative Perspectives on the U.S. Constitution	box 14 (SK#39826)	folder 11
Center for the Study of Democratic Institutions (1961 Paper on the Fund for the Republic), 1961	box 14 (SK#39826)	folder 12
CIEPLAN (Corporation for Latin American Economic Research) Analysis by Steve Sanderson	box 14 (SK#39826)	folder 13
Economic Policy Institute	box 14 (SK#39826)	folder 14
Luxembourg Income Study (LIS)	box 14 (SK#39826)	folder 15
Maxwell School Syracuse University/Biographical Sketches	box 14 (SK#39826)	folder 16
NALEO - Report on Recently Naturalized Individuals	box 14 (SK#39826)	folder 17
National Council of La Raza (Mission Statement)	box 14 (SK#39826)	folder 18
Draft Report on first decade of La Raza	box 14 (SK#39826)	folder 19
La Raza - Financial Review	box 14 (SK#39826)	folder 20
SSRC: Contemporary Hispanic Issues	box 14 (SK#39826)	folder 21
Tomas Rivera Center: 2 reports on improving delivery of services to immigrants	box 14 (SK#39826)	folder 22
Tomas Rivera Center: Funding Agenda	box 14 (SK#39826)	folder 23
Urban Institute: Report by Tom Anton	box 14 (SK#39826)	folder 24
Urban Institute: Changing Domestic Priorities	box 14 (SK#39826)	folder 25
Papers on problems and opportunities in Governance and Economics, 1979	box 14 (SK#39826)	folder 26
Congressional Research Service	box 14 (SK#39826)	folder 27
Exchange 2000	box 14 (SK#39826)	folder 28
Humphrey Institute		

box 14
(SK#39826) folder 29

Reproductive Health - Geneva, 1990

box 14
(SK#39826) folder 30

[^ Return to Table of Contents](#)

Trip Files, 1981-1990

Scope and Contents

Series V, Trip Files, 1981-1990, mainly consists of itineraries, reading materials for meetings, agendas, handwritten notes, and lists of participants of meetings attended by Forman. Also included in a smaller amount are meeting proceedings, lists of grants, and strategy papers.

Title/Description	Instances	
European Trip, 1981 April	box 15 (SK #38319)	folder 1
Philippines Meeting, 1982	box 15 (SK #38319)	folder 2
South Africa Trip File, 1982	box 15 (SK #38319)	folder 3
European (London/Paris), 1983 October	box 15 (SK #38319)	folder 4
Bellagio Conference (Institute for Research on Poverty/U-WI), 1984 May 14 - 17	box 15 (SK #38319)	folder 5
Washington, D.C. – GPP/Welfare State – Program Discussions, 1984 June 13	box 15 (SK #38319)	folder 6
Nairobi: HR & G, 1985	box 15 (SK #38319)	folder 7
Center for Mexican American Studies, 1985 May 21	box 15 (SK #38319)	folder 8
Paris – Amsterdam Brussels, 1985 June 16 – 21	box 15 (SK #38319)	folder 9
IAP Rio Meeting, 1985 June – July	box 15 (SK #38319)	folder 10
Texas, 1987 February 7-10	box 15 (SK #38319)	folder 11
China, 1987 August 2 - 18	box 15 (SK #38319)	folder 12
Washington, D. C., 1987 March 5 - 6	box 15 (SK #38319)	folder 13
Africa/Latin America – D. Arnold, 1987 March		

	box 15 (SK #38319)	folder 14
Southwest with H. Ramos/West Coast, 1987 April 28 - May 6	box 15 (SK #38319)	folder 15
Europe, 1987 Summer	box 15 (SK #38319)	folder 16
Washington – Brookings/Urban Institute, 1987 July 7	box 15 (SK #38319)	folder 17
California, 1987 October	box 15 (SK #38319)	folder 18
Atlanta/Miami: Board Meeting, 1987 October 4 - 8	box 15 (SK #38319)	folder 19
Kansas City, MO (Hispanic Development Fund Project), 1988 December 9 - 10	box 15 (SK #38319)	folder 20
Washington, D.C., 1987 December 17 - 18	box 15 (SK #38319)	folder 21
The Johnson Foundation: WINGSPREAD, 1987	box 15 (SK #38319)	folder 22
Montreal: AIDS Coalition, 1989 June 4 - 9	box 16 (SK #38675)	folder 1
Washington, D.C.: NCAP, 1989 June 20	box 16 (SK #38675)	folder 2
Washington, D.C., 1989 May 10 - 11	box 16 (SK #38675)	folder 3
Berlin: ACLS, 1989 September	box 16 (SK #38675)	folder 4
Senegal, 1989 September	box 16 (SK #38675)	folder 5
Cairo/Israel, 1989 October 22 - 31	box 16 (SK #38675)	folder 6
AAA Meeting, 1989 November 11 - 19	box 16 (SK #38675)	folder 7
Houston, TX: Nestor Rodriguez, 1989 October 11-12	box 16 (SK #38675)	folder 8
Vienna, 1990 January	box 16 (SK #38675)	folder 9
Budapest, Hungary, 1990 January	box 16 (SK #38675)	folder 10
Latin America, 1990 January	box 16 (SK #38675)	folder 11
Budapest, Hungary, 1990 February		

	box 16 (SK #38675)	folder 12
Washington, D.C., 1990 February	box 16 (SK #38675)	folder 13
Puerto Rico, 1990 February 28 - March 2	box 16 (SK #38675)	folder 14
Boston: Council on Foundations, 1990 April 2	box 16 (SK #38675)	folder 15
Boston: Barone Center, 1990 May 3 - 4	box 16 (SK #38675)	folder 16
Washington, D.C., 1990 May 16	box 16 (SK #38675)	folder 17
Soviet Union: Wingspread (Cancelled), 1990 May 22	box 16 (SK #38675)	folder 18
Hungary, 1990 June 18 - 20	box 16 (SK #38675)	folder 19
Vienna: Vienna Institute for Human Sciences, 1990 June 28 - July 1	box 16 (SK #38675)	folder 20
Brazil, 1990 July	box 16 (SK #38675)	folder 21
Prague, Czechoslovakia, 1990 September 7 - 17	box 16 (SK #38675)	folder 22

[^ Return to Table of Contents](#)