

# Ford Foundation records, Asset Building and Community Development Program (ASSETS), Vice President for Assets, Office Files of Dr. Melvin L. Oliver

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Rockefeller Archive Center

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# **Summary Information**

**Repository:** Rockefeller Archive Center

**Creator:** Ford Foundation

**Title:** Ford Foundation records, Asset Building and Community

Development Program (ASSETS), Vice President for Assets, Office

Files of Dr. Melvin L Oliver

**ID:** FA508

**Date [inclusive]:** 1982-2009 (Bulk 1992-2004)

Date [bulk]: bulk

**Physical Description:** 4 Cubic Feet

**Physical Description:** (10 Hollinger document boxes)

Language of the

**Material:** 

English

**Abstract:** The Asset Building and Community Development Program was

established in October 1996 as part of a Foundation-wide program reorganization. The new program supported the work of a range of groups and individuals seeking to build the assets and capacities of poor communities through community-based activities, research, policy analysis, and advocacy. In 2009, the Asset Building and

Community Development Program became the Economic Opportunity and Assets Program. Dr. Melvin L. Oliver joined the Ford Foundation in 1996. He served as the Foundation's first Vice President for Asset Building and Community Development and worked on issues relating to poverty, community economic development, and reproductive health. The Office Files of Melvin L. Oliver document his professional activities as Vice President of the Assets Program between 1996 and 2009. The bulk of the collection consists of correspondence concerning such subjects as ongoing grant activity, potential grantees, and Foundation policy, and briefing books from meetings, conferences, and field visits. A couple of files pertaining to Dr. Oliver's pre-Ford

research and writing in Sociology during the 1980s can also be found

in the collection.

#### **Preferred Citation note**

Information regarding the Rockefeller Archive Center's preferred elements and forms of citation can be found at <a href="http://www.rockarch.org/research/citations.php">http://www.rockarch.org/research/citations.php</a>

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# **Biographical Note**

Dr. Melvin L. Oliver was born in Pittsburgh, Pennsylvania in 1950. He received an undergraduate degree from William Penn College in Iowa (1972) and was awarded an M.A. (1974) and a PhD (1977) in Sociology from Washington University in St. Louis. In 1978, he joined the faculty of the University of California Los Angeles, where he worked for the next 17 years. He became known for his work in Sociology, receiving such honors as a fellowship grant from the Ford Foundation in 1982 and the title of "California Professor of the Year" from the Carnegie Foundation for the Advancement of Teaching in 1994.

Dr. Oliver joined the Ford Foundation in 1996. He served as the Foundation's first Vice President for Asset Building and Community Development and worked on issues relating to poverty, community economic development, and reproductive health. In 2004, he returned to academia, accepting an appointment as SAGE Sara Miller McCune Dean of Social Sciences and Professor of Sociology at the University of California Santa Barbara.

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# **Organizational History**

The Asset Building and Community Development Program was established in October 1996 as part of the Foundation-wide program reorganization in the 1997 fiscal year. It took over existing Foundation programs in Urban Poverty, Rural Poverty and Resources, Reproductive Health and Population, and Program-Related Investments. The Assets Program had three program units: Economic Development; Community and Resource Development; and Human Development and Reproductive Health. In 2009, the Asset Building and Community Development Program became the Economic Opportunity and Assets Program.

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# **Scope and Content Note**

The Office Files of Dr. Melvin L. Oliver, a well-known sociologist, document his professional activities between 1982 and 2009. The bulk of files pertain to his tenure as Vice President of the Assets Program at the Ford Foundation.

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# Arrangement

Arranged into 3 series.

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#### Administrative Information

#### **Publication Statement**

Rockefeller Archive Center

15 Dayton Avenue Sleepy Hollow 10591 archive@rockarch.org

URL: <a href="http://www.rockarch.org">http://www.rockarch.org</a>

#### **Immediate Source of Acquisition note**

Ford Foundation archive was deposited at RAC in 2011. Ford Foundation records, correspondence, reports, program files and officers papers were transferred to, and accessioned by, RAC beginning in 2011. Accessions continue as necessary.

# **Processing Information Note**

The original total volume of 16 cubic feet accessioned by the Foundation Archives in 2010 has been reduced during processing to 4 cubic feet.

Duplicates, reference files containing papers, magazines, or other items of interest to Dr. Oliver but unrelated to the Foundation or Foundation-related activities, personal records and records from UCLA

pre-dating Dr. Oliver's employment at the Foundation were removed from the collection. Some of the accessioned boxes were brought to the Foundation by Dr. Oliver from his office in UCLA and held personal papers or university-specific documentation. These boxes were de-accessioned during processing for return to Dr. Oliver.

## **Conditions Governing Access note**

Material more than ten years old is open for scholarly research.

Date spans of this collection range from 1982-2009. As such, some of the material is closed to scholarly access until the applicable records are more than ten years old. Material will be open for research as records reach the ten year threshold, 2014-2020.

Select individual files are restricted, as noted. Permission to access these files must be obtained from the Ford Foundation before scholarly access is permitted.

## **Conditions Governing Use note**

Completion of a use agreement is required prior to providing scholarly access. See RAC Head of Reference (or applicable designee) for details. Ford Foundation holds title, copyright and literary rights in the collection, in so far as it holds them. The Rockefeller Archive Center has authority to grant permission to cite and publish material from the collection for scholarly purposes. Permission to publish extensive excerpts, or material in its entirety, will be referred to the Ford Foundation.

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#### **Related Materials**

# **Separated Materials**

A photograph of Dr. Oliver and a fellow Ford Foundation staff member at the Great Wall of China has been transferred to the Trustees and Staff Photo Collection.

# **Separated Materials**

Appendix A: Reports – Unpublished reports written by Ford Foundation staff and consultants were removed from their original folders, scanned and transferred to the Archives' collection of staff and consultant reports. See Appendix A for a list of these reports and their specific assigned number. The reports are listed in alphabetical order by author. The assigned number may be used to access reports in the online catalog.

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# **Controlled Access Headings**

Ford Foundation

# Physical Characteristics and Technical Requirements note

Materials requiring specialized equipment for access (film, audio, video, slides) may be restricted from scholarly research dependent on availability of the applicable equipment. Brittle or damaged items, or materials otherwise in need of preservation care, may be restricted from scholarly research at the discretion of the RAC Head of Archival Services/RAC Head of Reference.

# **Collection Inventory**

# Correspondence, 1996-2002

box not specified

#### **Scope and Contents**

This series consists of Mr. Oliver's incoming and outgoing correspondence and memoranda that Dr. Oliver arranged chronologically by year, and within years, alphabetically by correspondent. Dr. Oliver's separation of external correspondence from internal Ford Foundation memoranda was maintained. Subjects of correspondence include ongoing grant actions, potential grantees, Foundation policy, and other subjects. The files also contain copies of newspaper and magazine articles retained by Dr. Oliver for discussion or reference.

Title/Description	Instances	
Chron File (1 of 18): A-F, 1996	box 1	folder 1
Chron File (2 of 18): G-L, 1996	box 1	folder 2
Chron File (3 of 18): M-Q, 1996	box 1	folder 3
Chron File (4 of 18): S-Z, 1996	box 1	folder 4

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Chron File (5 of 18): Memoranda, 1997	box 1	folder 5	
Chron File (6 of 18): A-D, 1997	box 1	folder 6	
Chron File (7 of 18): E-H, 1997	box 1	folder 7	
Chron File (8 of 18): I-Z, 1997	box 1	folder 8	
Chron File (9 of 18): Memoranda, 1998	box 2	folder 1	
Chron File (10 of 18): A-L, 1998	box 2	folder 2	
Chron File (11 of 18): M-Z, 1998	box 2	folder 3	
Chron File (12 of 18): Memoranda, 1999	box 2	folder 4	
Chron File (13 of 18): A-Z, 1999	box 2	folder 5	
Chron File (14 of 18): Memoranda, 2000	box 2	folder 6	
Chron File (15 of 18): A-Z, 2000	box 2	folder 7	
Chron File (16 of 18): Memoranda, 2001	box 2	folder 8	
Chron File (17 of 18): A-Z, 2001	box 2	folder 9	
Chron File (18 of 18): A-Z, 2002	box 2	folder 10	
DeGiovanni, Frank Correspondence re: PRIs, 1997-2009	box 2	folder 11	

#### **Conditions Governing Access:**

## **Conditions Governing Access**

Some of the records in this file are restricted because they are less than 10 years old. The restricted items have been segregated and are inaccessible. The file is open to research, and physical removal of the segregated material is not necessary.

Date Restricted Material -- Contains records less than 10 years old -- Pertinent records are Open to scholarly research in 2017, 2018, and 2020.

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# Meetings, Conferences and Visits, 1991-2004 Scope and Contents As Vice President, Dr. Oliver traveled extensively, visiting grantee locations, attending conferences, and meeting with other Foundation staff members. This series is comprised of the briefing books and other materials he retained from his travels. Title/Description Instances

Poverty and Race Research Action Council (PRRAC) Board Meeting, Washington D.C., Dec 16, 1996 Agenda, Notes, Correspondence, 1992-1996	box 3	folder 1	
Natural Resources Management Program Officer Consultation, Napa Valley, CA, Jun 9-11, 1996 Memoranda, Agenda, Program Descriptions, Notes, Discussion Papers, Apr-Jun 1996	box 3	folder 2	
Asset Program Retreat Agenda, Notes, Background, May 20-Jul 31, 1996	box 3	folder 3	
Community Development Collaborative Partnership Conference Agenda, Memoranda, Jun 1996	box 3	folder 4	
Development Finance Affinity Group Meeting, Positano, Italy, Nov 4-8, 1996 Meeting Book, Memoranda, Sep-Nov 1996	box 3	folder 5	
Mexico City Field Office Visit Itinerary, Agenda, Meeting Book (1 of 2), Jan 2-10, 1997	box 3	folder 6	
Mexico City Field Office Visit Background (2 of 2), Jan 2-10, 1997	box 3	folder 7	
Madras and New Delhi, India Itinerary, Notes, Conference Program, Background Papers (1 of 3), Jan 31-Feb 8, 1997	box 3	folder 8	
Madras and New Delhi, India Background Papers (2 of 3), Jan 31-Feb 8, 1997	box 4	folder 1	
Madras and New Delhi, India Ford Program Office Meeting Book (3 of 3), Jan 31-Feb 8, 1997	box 4	folder 2	
Trustees Tour of Virginia's Eastern Shore, Northampton County, Virginia, Mar 1997 Agenda, Background, Memoranda, Grant Recommendations, 1991-1997	box 4	folder 3	
Reproductive Health Worldwide Affinity Group Meeting, Marrakesh, Morocco, Jun 14-19, 1997, Dec 1996-Jun 1997	box 4	folder 4	
National Research Council Conference of Ford Fellows, Washington D.C., Oct 17, 1997, Dec 20, 1996-Nov 5, 1997	box 4	folder 5	
Natural Resources Affinity Group Meeting, Istanbul, Turkey, Nov 12-16, 1997, Nov-Dec 1997	box 4	folder 6	
Brookings Institution Meeting on Community Development Corporations (CDCs), Jan 1998	box 4	folder 7	
Beijing Field Office Visit Agenda and Background, Mar 1998	box 4	folder 8	
Rural Community College Initiative (RCCI) Road Trip, May 11-13, 1998 Itinerary, Background, Correspondence, May-Jul 1998	box 4	folder 9	
Corporation for Enterprise Development (CFED) Ideas in Development Conference, Washington D.C., Jun 24, 1999, 1998-1999	box 5	folder 1	
Visit to the World Bank, 1998-1999	box 5	folder 2	

National Center of Strategic Nonprofit Planning and Community Leadership (NPLC) and National Minority AIDS Council (NMAC) Site Visits, Mar 13, 2001, 1998-2001	box 5	folder 3	
Children's Defense Fund National Symposium on Arts and Scholarship, Clinton, TN, Mar 19-21, 1999	box 5	folder 4	
New School University Notes, PowerPoint, Email, Conference Information, Apr 30, 1999	box 5	folder 5	
All Africa Program Officers and Representatives Meeting, Zanzibar (1 of 2), Aug 25-Sep 1, 1999	box 5	folder 6	
All Africa Program Officers and Representatives Meeting, Zanzibar (2 of 2), Aug 25-Sep 1, 1999	box 5	folder 7	
Reproductive Health Affinity Group Annual Meeting, Bahia, Brazil, Oct 9-14, 1999	box 5	folder 8	
Ten Point Coalition Visit, Oct 27, 1999, Oct 5-Nov 28, 1999	box 5	folder 9	
Development Finance Affinity Group Meeting, London, UK Agenda, Notes, PowerPoint, Background, Nov 7-10, 1999	box 6	folder 1	
Sustainable Metropolitan Communities Meeting, Jun 27-29, 2000, 1999-2000	box 6	folder 2	
Natural Assets Conference Agenda, Meeting Book, Background (1 of 2), Jan 2000	box 6	folder 3	
Natural Assets Conference Meeting Book (2 of 2), Jan 2000	box 6	folder 4	
Worldwide Meeting on Youth Involvement, Costa Rica Workshop Information (1 of 3), Jan 4-7, 2000	box 6	folder 5	
Worldwide Meeting on Youth Involvement, Costa Rica Country Profiles A-Q (2 of 3), Jan 4-7, 2000	box 6	folder 6	
Worldwide Meeting on Youth Involvement, Costa Rica Country Profiles R-Z (3 of 3), Jan 4-7, 2000	box 7	folder 1	
Environmental and Development Affinity Group (EDAG) Meeting, Mactan, Philippines Agenda Book with Background, Papers, Memoranda (1 of 2), Feb 14-18, 2000	box 7	folder 2	
Environmental and Development Affinity Group (EDAG) Meeting, Mactan, Philippines Itinerary, PowerPoint, Notes, Case Study (2 of 2), Feb 14-18, 2000	box 7	folder 3	
Moscow Field Office Visit (1 of 2), Mar 2000	box 7	folder 4	
Moscow Field Office Visit (2 of 2), Mar 2000	box 7	folder 5	
Africa and Middle East Rep RAP, Jerusalem Briefing Book, Oct 1-6, 2000	box 7	folder 6	
"Building Broader Women's Health/Reproductive Healthcare Coalitions in the States" (report) by Terry McGovern, Oct 24, 2000	box 7	folder 7	

Chicago/Cleveland Shorebank Site Visits and Cleveland Saves Meeting, Nov 30-Dec 4, 2000	box 7	folder 8	
Federation of Southern Cooperative/Land Assistance Fund Correspondence and memoranda re: grantee, background, 2000-2001	box 8	folder 1	
Reproductive Health Affinity Group Annual Meeting, Cape Town, South Africa, Jan 18-22, 2002, 2000-2002	box 8	folder 2	
Vietnam Visit, Jan 8-13, 2001 Itinerary, Notes, Memoranda (1 of 3), 2000-2001	box 8	folder 3	
Vietnam Visit, Jan 8-13, 2001 Briefing Book (2 of 3), Jan 8-13, 2001	box 8	folder 4	
Vietnam Visit, Jan 8-13, 2001 Briefing Book (3 of 3), Jan 8-13, 2001	box 8	folder 5	
Youth Service Workshop, Costa Rica, 2001	box 8	folder 6	
Cairo Field Office Visit, Mar 2001	box 8	folder 7	
Environmental and Development Affinity Group (EDAG) Meeting, Cape Town, South Africa, Jan 21-25, 2002 Program, Schedule, Notes, Email, Oct 18, 2001 - Jan 21, 2002	box 8	folder 8	
"Reflections on The Ford Foundation's Role in Building a Global Field of Development Finance and Economic Security" (separated report) by Frank F. DeGiovanni, Feb 20, 2002	box 8	folder 9	
Affinity Group on Development Finance and Economic Security Meeting, Beijing, China Meeting Book, Agenda, Reports, Background, Feb 26-Mar 3, 2002	box 9	folder 1	
Visit to Mexico Meeting Book, Agenda, RGAs, May 11-19, 2002	box 9	folder 2	
Latin America Regional Program Annotated Agenda, Background, Oct 2002	box 9	folder 3	
Asia Representatives' Meeting, Hanoi, Vietnam Meeting Book, Dec 9-11, 2002	box 9	folder 4	
All Africa Program Staff Meeting, Sep 17-20, 2002 Agenda, Objectives, Background, Briefing Book, 2002-2003	box 9	folder 5	
Corporate Involvement Initiative (CII) Convening, Jun 2-4, 2003 Correspondence, Agenda, Background, Mar 24-Jun 2, 2003	box 10	folder 1	
Special Initiative on Africa: Senegal (1 of 2), Aug 2004	box 10	folder 2	

# Conditions Governing Access:

# **Conditions Governing Access**

File is currently closed to scholarly research.

Date Restricted Material -- Contains records less than 10 years old -- Pertinent records are Open to scholarly research in 2015.

Special Initiative on Africa: Senegal (2 of 2), Aug 2004

box 10

folder 3

**Conditions Governing Access:** 

#### **Conditions Governing Access**

File is currently closed to scholarly research.

Date Restricted Material -- Contains records less than 10 years old -- Pertinent records are Open to scholarly research in 2015.

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# Research and Writing, 1982-1988

#### **Scope and Contents**

This series consists of two folders. Both pre-date Dr. Oliver's tenure at the Foundation. One contains information retained by Dr. Oliver about his Ford Foundation-funded postdoctoral fellowship administered by the National Science Foundation. The other is an undated paper from the early 1980s containing Dr. Oliver's views on affirmative action.

box not specified

Title/Description	Instances		
"Is Affirmative Action Necessary? One Sociologist's Viewpoint" by Melvin L. Oliver, early 1980s	box 10	folder 4	
National Science Foundation Postdoctoral Fellowship, 1982-1988	box 10	folder 5	

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#### **APPENDIX A: Reports Instances** Title/Description AGDF, Update on the Development Finance Industry - Where report 19527 Are the Gaps that the GI/IF Might Fill? A Report for the Ford Foundation, 2002 Aidoo, Akwasi, Maputo Trip Report: SIA Workshop on Peace and report 19532 Conflicts in Africa, 2002 report 19533 DeGiovanni, Frank F., Reflections on the Ford Foundation's Role in Building a Global Field of Development Finance and Economic Security, 2002 report 19540 Dempers, Im Uhuru, Namibia Country Paper: Land Reform and Transition in Namibia, 2001

Faulhaber, Ingrid, Development Finance: A Background Paper on the State of the Field, 2001	report 19528
Greenberg, Stephen, Land Reform and Transition in South Africa, 2002	report 19541
La Vina, Antonio G. M., The State of Community Based Forest Management in the Philippines and the Role of Local Governments, 1999	report 19526
Hlatshwayo, Zakes, Land Reform Processes in South Africa, 2002	report 19539
Magome, Hector, South African National Parks: Land Acquisition Strategy, Land Claims and Transfrontier Conservation Areas, 2002	report 19536
Miller, Thomas F., The Ford Foundation's Global Role in Advancing Development Finance and Economic Security, 2001	report 19529
Moyo, Sam, Land Reform and Transition in Zimbabwe (draft), 2002	report 19542
Songelwa, N. C., Gender, Environment and Sustainable Development: Reflections from Rural Voices, 2002	report 19538
Affinity Group on Development Finance: 1999-2000 Operating Plan and Project Status: Second Draft, 1999	report 19554
Africa Philanthropy Initiative (API): First Year Progress Report, 1999	report 19530
A Scan of the Assets Program's Affinity Groups, 1999	report 19524
An Overview of Land Claims in Protected Areas in South Africa, 2002	report 19537
Building Broader Women's Health/Reproductive Healthcare Coalitions in the States: A Look at Idaho, Texas and Florida: An Open Society Institute Initiative, 2000	report 19523
Building Natural Assets and Wealth to Reduce Poverty: Concepts and Potential Applications in the United States, 2000	report 19543
Can the Natural Assets of Cities Help Address Urban Poverty?, 2000	report 19544
Corporate Involvement Initiative: Frameworks and Findings from the Learning Agenda (draft), 2003	report 19545
Conditions Governing Access:	
<b>Conditions Governing Access</b>	
This material is closed to scholarly access until the applicable records are more than ten years old. Material will be open for research as records reach the ten year threshold, 2013.	
The Informal Solid Waste Sector in Egypt: Prospects for	report 19549

Formalization, 2001

Institutions and Uncertainty: New Directions in Natural Resource Management (draft), 1999	report 19525
Interim Report to the Affinity Group on Development Finance: Development Finance Institutions, Small and Medium Sized Enterprises and Low-Income Impacts (draft), 1999	report 19553
Investing in Micro-Insurance: Briefing Paper, 1999	box # 019556
Low-Cost Bank Accounts and the EITC: How Financial Institutions Can Reach the Unbanked and Facilitate Savings (draft), 2000	report 19546
Moving Toward Inclusion: A Proposed Approach for a Discussion of Strategy at Commonweal, 2000	report 19547
On-Time Grantmaking: Task Force Report #2, 2002	report 19531
The Persistence of Poverty in a Changing World, 2001	report 19550
Rapportuer's Notes on Meeting with Representatives of U.S. Based International Organizations to Discuss the Reproductive Health and Population Program's External Review Team Report, March 27, 1997	report 19551
Rapportuer's Notes on Meeting with Representatives of U.S. Based International Organizations to Discuss the Reproductive Health and Population Program's External Review Team Report, April 15, 1997	box # 019552
Room for Hope: Tenure Security for Farm Workers?, 2001	report 19535
Scan of Opportunities for the Ford Foundation's Sustainable Metropolitan Communities Initiative, 2000	report 19548
To Run is Not to Arrive: What Hope for Transboundary Natural Resources Management in Southern Africa?, 2001	report 19534
Who Owns Us? A Discussion Paper on Key Dimensions of Ownership and Governance in Evolving Community Development Finance Institutions, 1999	report 19555