



# **Rockefeller University records, Faculty-Student records, Sponsored Programs, Grant records**

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## Summary Information

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<b>Repository:</b>	Rockefeller Archive Center
<b>Creator:</b>	Rockefeller University.
<b>Creator:</b>	Rockefeller Institute for Medical Research.
<b>Title:</b>	Rockefeller University records, Faculty-Student records, Sponsored Programs, Grant records
<b>ID:</b>	FA1328
<b>Date [inclusive]:</b>	1944-1977
<b>Physical Description:</b>	5.4 Cubic Feet 12 document boxes
<b>Language of the Material:</b>	English
<b>Language of the Material:</b>	English

### Preferred Citation

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## Biographical / Historical

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In March 1960 an Office of the Registrar was established to maintain records of faculty and students. This was a function which to this date had been handled by the Dean of Students and through the Office of the President. Earlier records of the scientific staff had been kept in the Office of the Business Manager. The first appointment to the position of Registrar was Marian Lucius who resigned in June 1972.

The functions of the office developed as it became an adjunct to the Office of the President. Reports to the New York State Education Department were made from this office. Various statistical data on institutional facilities, such as the library; the number of degrees granted; subjects and rank of instructional staff were filed through this office to be reported to the State Department of Education.

When a program of state-aid to non-public institutions of higher education was established required reports were made each year.

After the resignation of Marian Lucius, Phyllis Viets was appointed and served to June 1974.

Antonia Siddiqi, from the Office of the President, took charge of the functions of the Office of Faculty and Student records in addition to her work on the Grant Proposals and Grant Programs of the various laboratories. In 1979 Antonia Siddiqi became Secretary to the Board of Trustees as well as Director of the Office of Sponsored Programs with Eleanor Gibson handling much of the routine of Faculty and Student records.

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## Scope and Contents

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Contains early grants, grants made for construction in new laboratory space or new health research facilities, as well as institutional grants made for trainee or post-doctoral fellowships, and grants made to support research of a scientist(s).

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## Arrangement

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Primarily arranged alphabetically by the name of the scientist receiving the grant, with early grants generally arranged in sequence.

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## File Plan

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Former Classification: I 370.2

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## Administrative Information

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### Publication Statement

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## Collection Inventory

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Title/Description	Instances	
Grants, 1955-1958	box 1	folder 1
<b>Scope and Contents</b>		
Summaries and listings of pending and unexpected grant balances. Includes correspondence with the Bursar and the Business Manager.		
Grants, 1957-1964	box 1	folder 2
<b>Scope and Contents</b>		
General correspondence between the Business Manager and D. W. Bronk and the National Science Foundation (NSF). Includes June 1964 statement from NSF clarifying possible conflicts of interest for NSF Board Members.		
Grants and Laboratory Development, 1959-1960	box 1	folder 3

## Scope and Contents

NSF grants 1959-1960. Plans and proposals for completion of laboratory space in the South Laboratory. Correspondence is between F. Brink, Alan Waterman and Douglas Whitaker.

Grants for Health Research Facilities, 1956-1958	box 1	folder 4
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## Scope and Contents

Correspondence dealing with applications for financial support for new Rockefeller Institute laboratories.

Grants for Health Research Facilities, 1959-1962, 1968, 1970, 1971	box 1	folder 5
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NIH Post Doctoral Fellows, 1960-1965	box 1	folder 6
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NSF Graduate Traineeships, 1963-1968	box 1	folder 7
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Agosta, William C., 1969	box 1	folder 8
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## Scope and Contents

Alfred P. Sloan Foundation

American Cancer Society, 1946-1959	box 1	folder 9
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## Scope and Contents

1946-1951 first granted to provide support to continue the work started in the laboratory of James Murphy. 1953-1955 grant continued to Rockefeller Institute under the direction of Keith R. Porter and Keffer Hartline. Includes 1959 correspondence with Douglas Whitaker.

Archibald, Reginald M., 1959-1968	box 1	folder 10
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## Scope and Contents

1959-1968 AM 03347 Study of post-menopausal osteoporosis. HE 07473 Angiotensin degradation in hypertension and edema 1962-1966.

Archibald, Reginald M., 1958-1966	box 1	folder 11
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## Scope and Contents

HEW, NIDR Training grant. 1963-1966 Biosynthesis and action of thyroxin AM 08216. 1958-1966 Enzymes of osseous and cartilagenous tissues AM 02772.

Bearn, Alexander, 1959-1966	box 2	folder 1
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## Scope and Contents

1959-1966 National Foundation grant.

Chase, Merrill W., 1956-1968	box 2	folder 2
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## Scope and Contents

1956-1968 Public Health Service

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Choppin, Parnell with Igor Tamm, 1967-1970

box 2

folder 3

## Scope and Contents

1967-1970 AT (30-1)-3983 Atomic Energy Commission  
Animal Virus Structure and Development.

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Craig, Lyman C., 1958-1968

box 2

folder 4

## Scope and Contents

AM 02493-1081 Structural studies of active principles.  
Correlation of membrane diffusion and sedimentation data.

---

Crane field, Paul, 1967-1972

box 2

folder 5

## Scope and Contents

HL 11994 National Heart and Lung Institute "Effect of Drugs  
on cardiac arrhythmias".

---

De Duve, Christian, 1962-1971

box 2

folder 6

## Scope and Contents

GB 5796. GB 588. 2871. 3621. B6-2333. NSF Biochemical  
studies on lysosomes and peroxisomes. GB26222 purchase of  
an electronic microscope.

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Di Cara, Leo V., 1969-1972

box 2

folder 7

## Scope and Contents

American Heart Association, PHS from NIMH.

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Dole, Vincent P., 1958-1968

box 2

folder 8

## Scope and Contents

AM 03963 Mobilization and transport of lipoids. AM  
02427-0581 Metabolic activity of non-esterified fatty acids.  
A3963 The turnover of plasma lipoids in diabetic ketosis.  
1964 NAPAN Narcotic Addiction.

---

Dubos, Rene, 1960-1975

box 2

folder 9

## Scope and Contents

Health Research Council "Infection in Disease-Free and  
Germ-Free Animals".

---

Dubos, Rene, 1964-1971

box 2

folder 10

## Scope and Contents

HEW "Intestinal Flora and Growth - Resistance to Disease". Includes 1959 and 1964 on "Latency and Reactivation of Bacterial Infections on which Dubos was co-investigator with Dr. Cynthia H. Pierce-Chase.

---

Edelman, Gerald, 1960-1971

box 3

folder 1

## Scope and Contents

NIAMD and NSF. "Interaction and Energy Transfers in Biological Systems". 1966-1967 "Structure and Activity of Proteins".

---

Franzl, Robert E., 1965-1972

box 3

folder 2

## Scope and Contents

RSF "Studies on the Induction of the Immune Response".

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Granick, Sam, 1960, 1964, 1971

box 3

folder 3

## Scope and Contents

NSF G14418. GB 270. GB 2617. GB 6818. "Studies toward growth and differentiation of chloroplasts in vitro." Growth and differentiation of plastids". "Control of heme and chlorophyll biosynthesis by certain steroids". 1966 grant request for Aharon Gibor withdrawn.

---

Jackson, George, 1961-1971

box 3

folder 4

## Scope and Contents

Development Award-Research Career Program. "Helminth Nutrition; Excretion and Host Reaction".

---

Jamieson, James D., 1966-1971

box 3

folder 5

## Scope and Contents

NIAMD AM 10928. National Cystic Fibrosis Research Foundation. "Cell Secretion and Membrane Formation in the Pancreas".

---

Krause, Richard, 1968-1975

box 3

folder 6

## Scope and Contents

NIH AI-9267. Antibody Responses in Rabbits through Selective Breeding. 1967-1971 American Heart Association. "Immunochemical Studies on Streptococcal Antibodies".

---

Kunkel, Henry G., 1957-1964

box 3

folder 7



## Scope and Contents

National Foundation.

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Leader, R. W., 1965-1971, 1975

box 3

folder 8

## Scope and Contents

Mink Farmers Research Foundation HEW AI 09046

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Lipmann, Fritz, 1961-1965

box 3

folder 9

## Scope and Contents

NSF G17436; PHS C3159. NSF 04341 1958-1961 "Metabolic Group Activation". "Biosynthetic mechanisms".

---

Lloyd, David P. C., 1960-1971, 1975

box 3

folder 10

## Scope and Contents

NS 02816. National Institute of Neurological and communicative disorders and stroke. "Neuroglandular Physiology".

---

Leob, Jacques

box 3

folder 11

## Scope and Contents

Sponsored jointly by RIMR and the Office of Naval Research, J. Ross Stevenson 1963-1964. Francis L. Lambert 1960-1961. Harold Hum 1959-1960. Includes correspondence between D. Whitaker, S. R. Galler, Office of Naval Research in establishment of program, reports and posters.

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Lundeen, Carl, 1971-1973

box 3

folder 12

## Scope and Contents

Corn products company.

---

Lynch, Clara, 1957-1972

box 4

folder 1

## Scope and Contents

CA 08974 AI 07377. "A genetic study of susceptibility of mice to experimental tuberculosis". Includes reprint from Laboratory Animal Care 19 (1969) 214-220 "The So-called Swiss Mice".

---

McCarty, Maclyn, 1960-1971

box 4

folder 2

## Scope and Contents

1960-1967 "Training in Human Biology" basic research in biological sciences and clinical investigation on abnormalities of human biology. Originally designed by Dr. F. Horsfall to be carried out in nine laboratories including McCarty, Dole,

Dubos, Kunkel, etc. 1966-1971 Biomedical Science Support Grant. Includes correspondence with D. W. Bronk, F. Seitz, Wm. Stein, NIH and NIMH and listings of recipients.

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Marler, Peter R., 1966-1970

box 4

folder 3

**Scope and Contents**

NSF "A comparative study of instinctive behavior in certain vertebrate animals". "A Comparative Study of Vocal Learning".

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Mauzerall, David, 1967-1970

box 4

folder 4

**Scope and Contents**

Photobiological systems and their analogues

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Merrifield, Bruce R., 1956-1969

box 4

folder 5

**Scope and Contents**

Original grant made to D. W. Woolley (principal investigator) who died in 1966. Merrifield continued work as "Synthesis of Peptides".

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Mirsky, Alfred E., 1956-1972

box 4

folder 6

**Scope and Contents**

Physiological Activities of Nucleoproteins"

---

Moody, Michael F., 1966-1972

box 4

folder 7

**Scope and Contents**

Grant transferred to Brookhaven in 1972. "Fine structure of bacteriophages".

---

Morse, Stephan I., 1964-1969

box 4

folder 8

**Scope and Contents**

1962-1963 AI 04648 "Antigenic structure of staphylococci". "Lymphocyte response to B pertussis" Relinquished to Downstate Medical Center.

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Office of War Information, 1944-1946

box 4

folder 9

**Scope and Contents**

Correspondence between H. S. Gasser and Cleveland Norcross regarding return of research materials and declassification for publication purposes.

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Pfaffman, Carl, 1965-1972

box 4

folder 10

## Scope and Contents

Department of the Navy N00014-66-C0067 "Physiological and behavioral mechanisms of taste and smell". 1965-1972 NSF GB 4198, GB 25001 "Physiological and behavioral mechanisms of the chemical senses. Primarily taste". 1968 NSF B80694C, GB7592 "Third International Symposium for olfaction and taste". 1969 Nutrition Foundation Grant "Metabolism, blood constituents and taste sensitivity".

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Printz, Martin, 1971-1972

box 5

folder 1

## Scope and Contents

Transferred to University of California.

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Reich, Edward, 1964-1972

box 5

folder 2

---

Rizack, Martin A., 1961-1969

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## Scope and Contents

NSF

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Rothen, A., 1961-1970

box 5

folder 4

---

Sabatini, David, 1968-1972

box 5

folder 5

## Scope and Contents

Transferred to New York University School of Medicine.

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Siekevitz, Philip, 1963-1967

box 5

folder 6

## Scope and Contents

1967 NSF GB 6654 Equipment. 1963-1964 NSF GB 864 "Metabolism of different RNA species in sea-urchin embryos".

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Sunderlin, Charles E., 1971

box 5

folder 7

## Scope and Contents

NSF GP27971 1971 February-July. Site visits and consultation fees "Coordination of evaluation of interdisciplinary materials research laboratories."

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Tamm, Igor, 1961-1968

box 5

folder 8

## Scope and Contents

1961 U-1047 Health Research Council "Interaction of Human Viruses with receptors". NSF 1968 GB7681 with P. Choppin.

---

Trager, William, 1962-1972

box 5

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## Scope and Contents

Biochemical changes accompanying malarial infection.  
"Biochemistry of intercellular parasitism in malaria".

Voeller, Bruce, 1971-1972

box 5

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## Scope and Contents

NSF renewal of GB5350x, GB30611 "Developmental and evolutionary studies of pteridophytes".

Wang, Hao, 1961-1967

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## Scope and Contents

New York State Science and Technology Foundation

Weiss, Paul A., 1955-1961

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## Scope and Contents

National Cancer Institute

Weiss, Paul A., 1966-1975

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## Scope and Contents

National Cancer Institute

William, Curtis A., 1957-1967

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folder 1

## Scope and Contents

With Rene Dubos "Study on fractionation of tubercle bacilli related to basic fractionation of tubercle bacilli related to basic immunology and vaccination against tuberculosis". With Edward Tatum "Biochemical and cytological analysis of the cytoplasmic incompatibility reaction in *Neurospora crassa*".

William, Curtis A., 1968-1971

box 6

folder 2

## Scope and Contents

1968-1969 "Effect of brain specific antibody on real behavior". Same title 1969-1971 National Association for Mental Health. 1965-1968 PHS AI 073456-01 "Regulation of plasma protein synthesis. 1970-1971 Grant Foundation: "Behavioral effects of antibody reaction in the brain".

Wolf, Beverly, 1959

box 6

folder 3

## Scope and Contents

PF-74 American Cancer Society

Zworykin, V. K., 1959-1962

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**Scope and Contents**

PHS A3307 (c2). "Electronic Data Processing in Hematology".

---

Zworykin, V. K., 1957-1959

box 6

folder 5

**Scope and Contents**

Contract with Veterans Administration for clinical testing: "Pressure-sensitive ingestible radio capsule. 1961 proposal to NSF for printing photographs for paper: "Ultraviolet color-translating television microscopy".

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Immigration, 1928-1962

box 7

folder 1

**Scope and Contents**

Correspondence by Waldo Flinn with U. S. Immigration and Naturalization authorities on behalf of and with students or scientists from abroad at Rockefeller Institute.

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Office of Scientific Research and Development, 1941-1944

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folder 2

**Scope and Contents**

Correspondence between H. S. Gasser, John D. Rockefeller, Jr, V. Bush, E. B. Smith, Irvin Stewart (Ex. Secr. OSRD) and others about contracts and grants during World War II and various provisions of contracts.

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Office of Scientific Research and Development, 1945-1947, 1951

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Visiting Investigators, 1955-1956

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Office of Naval Research, 1956 February-1959 April

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folder 5

**Scope and Contents**

Correspondence on the establishment of the Jacques Loeb Associate in Marine Biology. The first recipient was Harold Humm.

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Public Health Service Fellowships, 1955

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Public Health Service - Health Research Facilities, 1956-1959

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NDEA Fellowships, 1959-1960

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NSF Senior Foreign Policy Program, 1955-1972

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Post-doctoral fellowships, 1960-1964

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NSF Institutional Grants, 1960-1971

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NSF Graduate Traineeships, 1964-1973

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Foundation for Medical Technology, 1962-1966

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folder 1

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NSF and NIH Fellowship Supplements, 1967	box 8	folder 3
Clinical Research Volunteer Program, 1973	box 8	folder 4
Aherns, E. H., 1960-1973	box 8	folder 5
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NIH HE 06222 (1) - (13)		
Braun, Armin, 1956-1974	box 8	folder 6
Choppin, Parnell - Atomic Energy Commission, 1970-1971	box 8	folder 7
<b>Scope and Contents</b>		
Animal Virus Structure and Development		
Becker, J. NIHAMD (with Edelman, G.), 1971-1972	box 8	folder 8
Cohen, E. G. D., 1971-1973	box 8	folder 9
<b>Scope and Contents</b>		
NSF GP 30704 "Mathematical physics with special emphasis on statistical physics".		
Cunningham, Bruce, 1970-1973	box 8	folder 10
<b>Scope and Contents</b>		
Lectin Structure and Cell Fractionation. "The Chemical structure of muscle proteins".		
Dobzhansky, T., 1965-1974	box 8	folder 11-12
Dole, Vincent P., 1963-1975	box 9	folder 1-5
<b>Scope and Contents</b>		
Agreements with NY State Narcotics Control Commission. Health Research Council Grants 1963-1975. Agreements with NY City drug addiction 1971-1974.		
Ehrman, Lee, 1964-1974	box 9	folder 6
<b>Scope and Contents</b>		
Population fitness: genic and cytoplasmic determinants		
Estes, W., 1968-1973	box 9	folder 7

## Scope and Contents

Cognitive processes and mathematical psychology with Carl Pfaffman.

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Farquhar, Marilyn, 1968-1973

box 10

folder 1

## Scope and Contents

Research Career Grant GM25109-08. "Studies on Secretion in Anterior Pituitary Cells" started at University of California.

---

Gillette, Peter NF Lincoln Fund, 1971-1974

box 10

folder 2

## Scope and Contents

Birth defects research program (sickle cell project).

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Gotschlich, Emil Health Research Council, 1971-1974

box 10

folder 3

## Scope and Contents

Immunochemical studies of gonococcal pili

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Hartline, H. K. USPHS NB00864, 1955-1969

box 10

folder 4

## Scope and Contents

Electrical activity, single receptors, neurons of eye

---

Hotchkiss, Rolin and Magda PHS GM09427, 1962-1973

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folder 5

## Scope and Contents

Biologically active polynucleatid structures

---

Jackson, George PHS AI04842, 1967-1972

box 10

folder 6

## Scope and Contents

Helminth nutritian, excretion and host reaction

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Jamieson, James D., 1972-1973

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## Scope and Contents

National Cystic Fibrosis Research Foundation

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Kappas, Attallah NIH, 1969-1974

box 10

folder 8-10

## Scope and Contents

Reproductive hormones: biology and pharmacology. NIH 1971-1974 Lead poisoning pharmacological and clinical studies. Council for Tobacco Research 1971-1974.

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Kindt, Thomas Health Research Council, 1974-1977

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## Scope and Contents

Factors affecting resistance to streptococcal infection

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Krause, Richard PHS CC00473, 1969-1975

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folder 1

## Scope and Contents

Genetic control of the immune response to streptococcal antigens

---

Kreek, Mary Jeanne Health Research Council, 1974-1977

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folder 2

## Scope and Contents

Narcotic drugs of use and abuse

---

Kunkel, Henry G. NIAMDD AM10503, 1971-1973

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## Scope and Contents

Studies in Cystic Fibrosis

---

Luck, David NSF, 1962-1974

box 11

folder 4

## Scope and Contents

Origin and development of mitochondria in Neurospora Crassa, originally with George Palade.

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Miller, Neal NIH

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folder 5

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Morton, Herbert L. RR 00696-01, 1972-1973

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folder 6

## Scope and Contents

Animal resources improvement program

---

Nemethy, George NSF GB23920, 1970-1973

box 11

folder 7

## Scope and Contents

Noncovalent interactions in glycol solutions of micelles and of proteins.

---

Pais, Abraham AEC, 1978-1972

box 11

folder 8

## Scope and Contents

AFOSR 69-1629 Theoretical high energy physics. Department of Air Force 1968-1970 The nature of fundamental reactions.

---

Palade, George NIH, 1961-1973

box 11

folder 9



## Scope and Contents

National Lung and Heart Institute. Includes some NSF.  
"Localization of pore system in capillary walls". Scientist left  
to join Yale faculty.

---

Pfaffman, Carl PHS, 1966-1973

box 11

folder 10-12

## Scope and Contents

Graduate training grants in behavioral sciences and  
psychobiology of behavior.

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Reeke, George AI 09921, 1970-1973

box 12

folder 1

## Scope and Contents

PHS "Structure of immunologically important molecules".

---

Roberts, Richard, 1966-1969

box 12

folder 2

## Scope and Contents

Interactions between meningococci and phagocytic cells.  
James Hirsch listed as principal investigator.

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Sassa, Shigaru HRC, 1973-1976

box 12

folder 3

## Scope and Contents

Studies on lead poisoning, iron deficiency

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Shannon, James A.

box 12

folder 4

---

Siekevitz, Philip NSF, 1971-1973

box 12

folder 5

## Scope and Contents

Specialized research equipment

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Tatum, Edward L., 1957-1968

box 12

folder 6

---

Tamm, Igor New York Heart Association, 1969-1970

box 12

folder 7

## Scope and Contents

Fellowship program.

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Tomasz, Alexander AEC, 1966-1971

box 12

folder 8-10

## Scope and Contents

30-1-3686. Cellular mechanisms involved in the uptake of  
DNA molecules during genetic transformation

---

Weiss, Jay NIMH, 1971-1973

box 12

folder 11

## **Scope and Contents**

Psychological-neurological interactions in stress