

Ford Foundation records, Human Rights and Governance Program, Program Director, Office Files of Shepard Forman

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Rockefeller Archive Center

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Summary Information

Repository: Rockefeller Archive Center

Creator: Ford Foundation

Title: Ford Foundation records, Human Rights and Governance Program,

Program Director, Office Files of Shepard Forman

ID: FA717

Date [inclusive]: 1980-1991

Physical Description: 19.2 Cubic Feet

Language of the

English

Material:

Preferred Citation

Information regarding the Rockefeller Archive Center's preferred elements and forms of citation can be found at http://www.rockarch.org/research/citations.php.

Scope and Contents

Shepard Forman served as the Program Officer-in-Charge of the Human Rights & Governance Program from 1981 to 1986. In 1987, the title of Program Officer-in-Charge was changed to Director and Forman served in that title until 1990. He became Director of the International Affairs Program in 1991 and retired from the Ford Foundation in 1995.

This collection documents Forman's activities as Program Director of the Human Rights and Governance Program. During his tenure, the Human Rights and Governance Program encompassed two of six major areas of funding – Human Rights and Social Justice and Governance and Public Policy. This Program supported the promotion and protection of fundamental civil and political liberties worldwide and provided support for analysis of governmental structures and policies in developed countries and in Third World countries. The records of the Program Director are significant because they document the development of new program ideas with input from the President and Board of Trustees. These papers also document work by the Program staff in developing new initiatives and implementing grant activity in the areas of human rights and public policy on a national and international scope. Activities documented in the files include creation of program initiatives, preparation of financial materials, reports, and strategy papers that were presented to the Board of Trustees and documentation of task forces and

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study group activities. Of interest are the background files on the development of an AIDS funding program that involved other private foundations.

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Arrangement

The collection is composed of 16 boxes and is comprised of the following series:

I. Background Files, 1980-1991 II. AIDS Background Files, 1987-1988 III. General Correspondence Files, 1981-1982 IV. General Files, 1985-1992 V. Trip Files, 1981-1990

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Administrative Information

Publication Statement

Rockefeller Archive Center

15 Dayton Avenue Sleepy Hollow 10591 archive@rockarch.org

URL: http://www.rockarch.org

Processing Information

Level 1 Minimal Processing has been conducted to establish basic intellectual and physical control of the material and assess immediate preservation and conservation needs. Material remains as received, in original folders and storage boxes.

Conditions Governing Access

Material is open for scholarly research, with prior archival review.

Material more than ten years old is open for scholarly research.

Select individual files are restricted, as noted. Permission to access these files must be obtained from the Ford Foundation before scholarly access is permitted.

Conditions Governing Use

Completion of a use agreement is required prior to providing scholarly access. See RAC Head of Reference (or applicable designee) for details.

Ford Foundation holds title, copyright and literary rights in the collection, in so far as it holds them.

The Rockefeller Archive Center has authority to grant permission to cite and publish material from the collection for scholarly purposes. Permission to publish extensive excerpts, or material in its entirety, will be referred to the Ford Foundation.

Immediate Source of Acquisition

Ford Foundation archive was deposited at RAC in 2011. Ford Foundation records, correspondence, reports, program files and officers papers were transferred to, and accessioned by, RAC beginning in 2011. Accessions continue as necessary.

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Related Materials

Separated Materials

Reports by staff and consultants were transferred to their respective collections in the Archives. Transfers are noted on cross-reference sheets in Forman's records. An appendix to this register lists the reports that were transferred.

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Controlled Access Headings

Ford Foundation

Physical Characteristics and Technical Requirements

Materials requiring specialized equipment for access (film, audio, video, slides) may be restricted from scholarly research dependent on availability of the applicable equipment.

Brittle or damaged items, or materials otherwise in need of preservation care, may be restricted from scholarly research at the discretion of the RAC Head of Archival Services/RAC Head of Reference.

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Collection Inventory

Background Files, 1980-1991

Scope and Contents

Series I, Background Files, 1980-1991, includes grant recommendations, proposals, reports, publications, notes, and meeting materials (i.e. agendas, notes). Some of these files are related to grantees and potential grantees. Other materials served as reference files providing background information on particular organizations or topics. Also included are financial projections, discussion papers, program reviews, and program statements.

Title/Description	Instances	
Population Grants	box 1 (SK #34648)	folder 1
President's Report to Board of Trustees (Draft Outline)	box 1 (SK #34648)	folder 2
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Puerto Rico Legal Project	box 1 (SK #34648)	folder 5
Jeff Puryear Paper, 1979	box 1 (SK #34648)	folder 6
Center on International Policy	box 1 (SK #34648)	folder 7
Rhona Rapoport	box 1 (SK #34648)	folder 8

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AIDS – Local Initiatives Proposals - Edgar Cahn (Service Credits)	box 8 (SK #33358)	folder 32
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AIDS Files - Rosemary Passontino	box 9 (SK #33054)	folder 2
AIDS Files - Rodger Max- Barrow	box 9 (SK #33054)	folder 3
AIDS Files - David Hallman – United Church of Canada	box 9 (SK #33054)	folder 4
AIDS Files - John Wolfe - AIDS Project	box 9 (SK #33054)	folder 5
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AIDS Files - Gerald Hursch-Cesar – Intercultural Communications	box 9 (SK #33054)	folder 7

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Subject: General Files – AIDS - Conference of Mayors	box 10 (SK #33055)	folder 5
Subject: General Files – AIDS - Family Health International	box 10 (SK #33055)	folder 6
Subject: General Files – AIDS - FF memos, etc.	box 10 (SK #33055)	folder 7
Subject: General Files – AIDS - Foundation Center	box 10 (SK #33055)	folder 8
Subject: General Files – AIDS - Harvard – School of Public Health	box 10 (SK #33055)	folder 9
Subject: General Files – AIDS - Jan Friedman – Investment Research – AIDS	box 10 (SK #33055)	folder 10

 $Subject: General\ Files-AIDS-Roger\ Laut-Salzburg\ Seminar$

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Subject: General Files – AIDS - Mainstream article	box 10 (SK #33055)	folder 12
Subject: General Files – AIDS - National Academy of Sciences	box 10 (SK #33055)	folder 13
Subject: General Files – AIDS - Presidential Commission Report	box 10 (SK #33055)	folder 14
Subject: General Files – AIDS - ABFE (Assn. Of Black Foundation Executives)	box 10 (SK #33055)	folder 15
Subject: General Files – AIDS - SIPI (Scientists Institute for Public Information)	box 10 (SK #33055)	folder 16
Subject: General Files – AIDS - Non-Governmental Organization (NGO) Committee on UNICEF	box 10 (SK #33055)	folder 17
Subject: General Files – AIDS - G. W. Worthington – NGO Committee on UNICEF	box 10 (SK #33055)	folder 18
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Subject: AIDS NYC - Citizens Panel (NY/NJ)	box 10 (SK #33055)	folder 21
Subject: AIDS NYC - Lambda Legal Defense and Education Fund	box 10 (SK #33055)	folder 22
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Subject: AIDS NYC - NYC Health Dept.	box 10 (SK #33055)	folder 24
Subject: AIDS NYC - NY Community Trust	box 10 (SK #33055)	folder 25
Subject: AIDS NYC - NY Urban Trust	box 10 (SK #33055)	folder 26
Subject: AIDS NYC - Siecus Report	box 10 (SK #33055)	folder 27
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Subject: AIDS – Other Funders - Edna McConnell Clark	box 10 (SK #33055)	folder 29
Subject: AIDS – Other Funders - Foundation Center	box 10 (SK #33055)	folder 30

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Subject: AIDS – Other Funders - Manuel Corballo - WHO (World Health Organization)	box 10 (SK #33055)	folder 45
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Subject: AIDS – Other Funders - Other Funders	box 10 (SK #33055)	folder 47
Subject: Policy - George Washington University (R. Merritt)	box 10 (SK #33055)	folder 48
Subject: Public Policy - ACLU – AIDS Task Force	box 10 (SK #33055)	folder 49
Subject: Public Policy - General Correspondence AIDS	box 11 (SK #33056)	folder 1

Subject: Public Policy - Files

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Subject: Public Policy - AIDS – Funding Mechanism Committee	box 11 (SK #33056)	folder 5
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Subject: Public Policy - AIDS Testimony	box 11 (SK #33056)	folder 7
Subject: Public Policy - Presidential Commission on AIDS	box 11 (SK #33056)	folder 8
Subject: Public Policy - Presidential Commission on HIV Epidemic and National Leadership Coalition on AIDS. Washington, D.C., 1988 February 24	box 11 (SK #33056)	folder 9
Subject: Public Policy - Testimony presented at the Presidential Commission on AIDS – Washington, D.C., 1988 April 19	box 11 (SK #33056)	folder 10
Subject: Public Policy - Council on Foundations AIDS meeting – Washington, D.C., 1988 February 18	box 11 (SK #33056)	folder 11
Subject: Public Policy - Tom Wahman – Rockefeller Bros. Fund, 1988 February 1	box 11 (SK #33056)	folder 12
Subject: Public Policy - Original AIDS report	box 11 (SK #33056)	folder 13
Subject: Public Policy - June Osborn Speech	box 11 (SK #33056)	folder 14
Subject: Public Policy - General	box 11 (SK #33056)	folder 15

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General Correspondence Files, 1981-1982

Scope and Contents

Series III, General Correspondence Files, 1981-1982, is comprised of general correspondence written to and by Forman on various topics including legal services, consultants, human rights, and grant correspondence.

Title/Description	Instances	
Meetings and agendas, 1981-1982	box 12 (SK #34247)	folder 1

Legal Services - Back-up Centers

	box 12 (SK #34247)	folder 2
Proposals - Rejection Letters	box 12 (SK #34247)	folder 3
Shepard Forman General Correspondence I, 1981-1982	box 12 (SK #34247)	folder 4
Shepard Forman General Correspondence II, 1981-1982	box 12 (SK #34247)	folder 5
Shepard Forman General Correspondence III, 1981-1982	box 12 (SK #34247)	folder 6
Reproductive Health Committee – Human Rights, 1982	box 12 (SK #34247)	folder 7
Consultants	box 12 (SK #34247)	folder 8
Human Rights (external of USA)	box 12 (SK #34247)	folder 9
Human Rights (internal USA)	box 12 (SK #34247)	folder 10
Grants – Rejection Letters	box 12 (SK #34247)	folder 11
DAG, 1981	box 12 (SK #34247)	folder 12
Grant correspondence, memos, etc.	box 12 (SK #34247)	folder 13

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General Files, 1985-1992

Scope and Contents

Series IV, General Files, 1985-1992, is comprised of correspondence, project proposals, meeting notes, consultant reports, and discussion papers. Of note are materials pertaining to the promotion of pluralism and diversity in the areas of public policy and international affairs.

Title/Description	Instances	
European Foundation Centre - Central and East European Publishing Project	box 13 (SK #39825)	folder 1
European Cultural Foundation - East-West Parlimentary Practice Project - Jill Adler	box 13 (SK #39825)	folder 2
European Cultural Foundation - East West Parlimentary Practice Project Meeting	box 13 (SK #39825)	folder 3

European Cooperation Fund

	box 13 (SK #39825)	folder 4
European Cooperation Fund - Fund for book publication in East and Central European Languages	box 13 (SK #39825)	folder 5
European Cooperation Fund - Minutes to Meeting, 1986 April 8	box 13 (SK #39825)	folder 6
European Cooperation Fund - Central European Publishing Project, 1986 October 27	box 13 (SK #39825)	folder 7
European Cooperation Fund - Central European Publishing Project, 1990-1992	box 13 (SK #39825)	folder 8
European Cooperation Fund - Eastern European Publications	box 13 (SK #39825)	folder 9
IRCA (Immigration Reform and Control Act)	box 13 (SK #39825)	folder 10
Ford Foundation Review of Programs related to pluralism and diversity	box 13 (SK #39825)	folder 11
Susan Subak Report: A Survey of US Environmental Organizations	box 13 (SK #39825)	folder 12
Don Robinson Report: Bicentennial Era Plans and Opportunities	box 13 (SK #39825)	folder 13
Draft Report: Immigration and Human Captial/Ray Marshall	box 13 (SK #39825)	folder 14
Shane Hunt Report: Grad Programs in Applied Economics and Public Policy/Chile and Argentina	box 13 (SK #39825)	folder 15
Legislative Parlimentary Exchange	box 13 (SK #39825)	folder 16
Consultant Report: Fostering Diversity in Public Television	box 13 (SK #39825)	folder 17
Michael Chege - Gpp East and South Africa	box 13 (SK #39825)	folder 18
Professor Larry Fuchs - Immigration & Refugee Issues	box 13 (SK #39825)	folder 19
Christine Harrington - Dispute Resolution	box 13 (SK #39825)	folder 20
Marvin Harris - Anthropology	box 13 (SK #39825)	folder 21
John Hardt/William Robinson - Congressional Research Service	box 13 (SK #39825)	folder 22
Louise Lampere - Changing Relations Project	box 13 (SK #39825)	folder 23
Louise Lampere - Changing Relations Project		Tolder 23

William Lesher - Common Good

	box 13 (SK #39825)	folder 24
Robert Michael - Harris School of Public Policy	box 13 (SK #39825)	folder 25
A. Perlmutter - Bill of Rights Project	box 13 (SK #39825)	folder 26
Robert Russell - Consultant	box 13 (SK #39825)	folder 27
Donna Shalala - Madison Plan	box 13 (SK #39825)	folder 28
Changing Relations Project	box 13 (SK #39825)	folder 29
Changing Relations Project (2nd folder)	box 13 (SK #39825)	folder 30
Think Tank Files	box 14 (SK#39826)	folder 1
Minority Pipeline	box 14 (SK#39826)	folder 2
Program for Minority Recruitment and Training in Public Policy and International Affairs	box 14 (SK#39826)	folder 3
1990 Census on Minority Political Participation, 1990	box 14 (SK#39826)	folder 4
Evaluation of Alfred P. Sloan Minority Public Policy Careers Program	box 14 (SK#39826)	folder 5
Black Church	box 14 (SK#39826)	folder 6
Black Organizations and Fundraising	box 14 (SK#39826)	folder 7
Individual Papers: Peter Yu - Papers on affirmative action and the American Electoral System Tonya Lewis - paper on voter registration Catherine Castoreno - paper on US Hispanics Rhona Rapoport - paper on external affirmative action S. Forman, Bentsi-Enchill - paper on the Human Rights and Governance Program Rhona Rapoport - Notes from Geneva and Paper on Improving Government Dru Findley - paper on recent events in China Mora McLean - paper on human rights in Africa	box 14 (SK#39826)	folder 8
ACLU - Perlmutter Production (Handwritten Notes)	box 14 (SK#39826)	folder 9
Perlmutter Production - Sweet Land of Liberty	box 14 (SK#39826)	folder 10

ACLS - Comparative Perspectives on the U.S. Constitution

	box 14 (SK#39826)	folder 11
Center for the Study of Democratic Institutions (1961 Paper on the Fund for the Republic), 1961	box 14 (SK#39826)	folder 12
CIEPLAN Analysis by Steve Sanderson	box 14 (SK#39826)	folder 13
Economic Policy Institute	box 14 (SK#39826)	folder 14
Luxembourg Income Study (LIS)	box 14 (SK#39826)	folder 15
Maxwell School Syracuse University/Biographical Sketches	box 14 (SK#39826)	folder 16
NALEO - Report on Recently Naturalized Individuals	box 14 (SK#39826)	folder 17
National Council of La Raza (Mission Statement)	box 14 (SK#39826)	folder 18
Draft Report on first decade of La Raza	box 14 (SK#39826)	folder 19
La Raza - Financial Review	box 14 (SK#39826)	folder 20
SSRC: Contemporary Hispanic Issues	box 14 (SK#39826)	folder 21
Tomas Rivera Center: 2 reports on improving delivery of services to immigrants	box 14 (SK#39826)	folder 22
Tomas Rivera Center: Funding Agenda	box 14 (SK#39826)	folder 23
Urban Institute: Report by Tom Anton	box 14 (SK#39826)	folder 24
Urban Institute: Changing Domestic Priorities	box 14 (SK#39826)	folder 25
Papers on problems and opportunities in Governance and Economics, 1979	box 14 (SK#39826)	folder 26
Congressional Research Service	box 14 (SK#39826)	folder 27
Exchange 2000	box 14 (SK#39826)	folder 28
Humphrey Institute	box 14 (SK#39826)	folder 29

Reproductive Health - Geneva, 1990

box 14 folder 30 (SK#39826)

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Trip Files, 1981-1990

Scope and Contents

Series V, Trip Files, 1981-1990, mainly consists of itineraries, reading materials for meetings, agendas, handwritten notes, and lists of participants of meetings attended by Forman. Also included in a smaller amount are meeting proceedings, lists of grants, and strategy papers.

Instances	
box 15 (SK #38319)	folder 1
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box 15 (SK #38319)	folder 5
box 15 (SK #38319)	folder 6
box 15 (SK #38319)	folder 7
box 15 (SK #38319)	folder 8
box 15 (SK #38319)	folder 9
box 15 (SK #38319)	folder 10
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Southwest with H. Ramos/West Coast, 1987 April 28 - May 6

	box 15 (SK #38319)	folder 15
Europe, 1987 Summer	box 15 (SK #38319)	folder 16
Washington – Brookings/Urban Institute, 1987 July 7	box 15 (SK #38319)	folder 17
California, 1987 October	box 15 (SK #38319)	folder 18
Atlanta/Miami: Board Meeting, 1987 October 4 - 8	box 15 (SK #38319)	folder 19
Kansas City, MO (Hispanic Development Fund Project), 1988 December 9 - 10	box 15 (SK #38319)	folder 20
Washington, D.C., 1987 December 17 - 18	box 15 (SK #38319)	folder 21
The Johnson Foundation: WINGSPREAD, 1987	box 15 (SK #38319)	folder 22
Montreal: AIDS Coalition, 1989 June 4 - 9	box 16 (SK #38675)	folder 1
Washington, D.C.: NCAP, 1989 June 20	box 16 (SK #38675)	folder 2
Washington, D.C., 1989 May 10 - 11	box 16 (SK #38675)	folder 3
Berlin: ACLS, 1989 September	box 16 (SK #38675)	folder 4
Senegal, 1989 September	box 16 (SK #38675)	folder 5
Cairo/Israel, 1989 October 22 - 31	box 16 (SK #38675)	folder 6
AAA Meeting, 1989 November 11 - 19	box 16 (SK #38675)	folder 7
Houston, TX: Nestor Rodriguez, 1989 October 11-12	box 16 (SK #38675)	folder 8
Vienna, 1990 January	box 16 (SK #38675)	folder 9
Budapest, Hungary, 1990 January	box 16 (SK #38675)	folder 10
Latin America, 1990 January	box 16 (SK #38675)	folder 11

Washington, D.C., 1990 February

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Puerto Rico, 1990 February 28 - March 2	box 16 (SK #38675)	folder 14
Boston: Council on Foundations, 1990 April 2	box 16 (SK #38675)	folder 15
Boston: Barone Center, 1990 May 3 - 4	box 16 (SK #38675)	folder 16
Washington, D.C., 1990 May 16	box 16 (SK #38675)	folder 17
Soviet Union: Wingspread (Cancelled), 1990 May 22	box 16 (SK #38675)	folder 18
Hungary, 1990 June 18 - 20	box 16 (SK #38675)	folder 19
Vienna: Vienna Institute for Human Sciences, 1990 June 28 - July 1	box 16 (SK #38675)	folder 20
Brazil, 1990 July	box 16 (SK #38675)	folder 21
Prague, Czechoslovakia, 1990 September 7 - 17	box 16 (SK #38675)	folder 22

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Appendix A: Reports

Arrangement

The following reports were transferred to the Ford Foundation Archives Reports Collection. The number at the left is the assigned accession number. The list is alphabetical by author.

Title/Description	Instances
Carpenter, Margaret and Elizabeth Midgley. Evaluation of the National Immigration, Refugee and Citizenship Program	report 13825
Castoreno, Cathrine. U.S. Hispanics at Mid-Decade	report 13831
Caughman, Susan. Women at Work in Mali: the Case of the Markala Cooperative	report 7016
Espinoza, Wilma. A Review of National Hispanic Women's Organizations	report 13675
Findley, Dru. The Impact of Recent Events in China on International Professional and Academic Exchanges and Related Development Activities	report 13832
Forman, Shepard and Diana Morris. Rapporteur Notes on the Human Rights and Social Justice Meeting in Geneva	report 13833

Harrington, Christine B. An Overview of the Dispute Resolution Field	report 13827
Lacy Jr., Madison, D. Fostering Diversity in Public Television	report 13824
Neblett, Richard and Walter Plotch. Black Organizations and Fund-raising: A Review	report 13828
Rapoprt, Rhona. Advancement of Blacks and Women in South Africa	report 13671
Subak, Susan. A Survey of U. S. Environmental Organizations' Activities in Sustainable Development	report 13826
Walker, Lynn and Mora McLean. Voter Registration and Education Projects: A Profile of Ford Foundation Grantees	report 13830
Yu, Peter M. The American Electoral System: Issues and Considerations	report 13829