

Ford Foundation records, Asset Building and Community Development Program (ASSETS), Community and Resource Development, Acting Director, Office Files of Carl Anthony

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Describing Archives: A Content Standard

Rockefeller Archive Center

15 Dayton Avenue
Sleepy Hollow 10591

archive@rockarch.org

URL: <http://www.rockarch.org>

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Summary Information

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| Repository: | Rockefeller Archive Center |
| Creator: | Ford Foundation |
| Title: | Ford Foundation records, Asset Building and Community Development Program (ASSETS), Community and Resource Development, Acting Director, Office Files of Carl Anthony |
| ID: | FA507 |
| Date [inclusive]: | 1998-2006 (Bulk: 2001-2006) |
| Date [bulk]: | bulk |
| Physical Description: | 3.2 Cubic Feet |
| Physical Description: | (8 Hollinger document boxes) |
| Language of the Material: | English |
| Abstract: | <p>The Asset Building and Community Development Program was established in October 1996 as part of a Foundation-wide program reorganization. The new program supported the work of a range of groups and individuals seeking to build the assets and capacities of poor communities through community-based activities, research, policy analysis, and advocacy. The Assets Program had three program units: Economic Development; Community and Resource Development (CRD); and Human Development and Reproductive Health. Carl Anthony joined the Ford Foundation as a Program Officer within the Assets Program's CRD Unit in 2001. In 2003, he became Deputy Director of Community and Resource Development and in 2004, Acting Director. As Program Officer, Anthony directed the Sustainable Metropolitan Communities Initiative (SMCI), the Foundation's grantmaking portfolio in equitable and sustainable community development. He also participated in making substantial cross-portfolio investments in Regional Equity Demonstrations (REDs, singular RED). The Office Files of Carl Anthony span in date from 1999 to 2006 and primarily document his work as Program Officer. The bulk of the collection consists of grantee files, primarily of SMCI and RED grant recipients. These files contain correspondence, project updates, conference materials, products of Ford-funded research, and background information about grantees and projects. The collection also contains files on trips, conferences and meetings Anthony attended in his capacity as Program Officer and Acting Director.</p> |

Preferred Citation note

Information regarding the Rockefeller Archive Center's preferred elements and forms of citation can be found at <http://www.rockarch.org/research/citations.php>

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Organizational History

The Asset Building and Community Development Program was established in October 1996 as part of a Foundation-wide program reorganization. The new program brought together four previously separate Foundation programs in Urban Poverty, Rural Poverty and Resources, Reproductive Health and Population, and Program Related Investments. It supported the work of a range of groups and individuals seeking to build the assets and capacities of poor communities through community-based activities, research, policy analysis, and advocacy. The Assets Program had three program units: Economic Development; Community and Resource Development; and Human Development and Reproductive Health. In 2009, the Asset Building and Community Development Program became the Economic Opportunity and Assets Program.

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Biographical Note

Born in Philadelphia, Carl Anthony received a PhD in Architecture and Urban Planning from Columbia University. Between 1989 and 2001, he served as founding Executive Director of Urban Habitat, one of the nation's oldest environmental and economic justice organizations.

In 2001, he joined the Ford Foundation as a Program Officer within the Assets Program's Community and Resource Development (CRD) Unit. As Program Officer, he directed the Sustainable Metropolitan Communities Initiative (SMCI), the Foundation's grantmaking portfolio in equitable and sustainable community development. SMCI sought to alleviate concentrated poverty resulting from public policies and business practices that promoted economically segregated communities and ecologically unsustainable growth. It supported grantees engaged in a wide range of work, including research and discussions on urban sprawl and smart growth; leadership and capacity building; policy development; and strategic communication influencing more equitable regional resource allocation.

As a CRD Unit program officer, Mr. Anthony also participated in making substantial cross-portfolio investments in Regional Equity Demonstrations (REDs, singular RED). REDs were practical learning applications and demonstrations of the Foundation's regional approach to community development and

urban revitalization. Then CRD Unit Director Cynthia Miller originally initiated RED as a unit-wide initiative designed to foster substantial cross-portfolio investments focused on racial justice and social equity in the City of Camden, New Jersey and its surrounding communities. REDs were initiated at four other locations: Richmond, California; Baltimore, Maryland; Detroit, Michigan; and Atlanta, Georgia. In Camden, New Jersey, where the majority of grant funds were invested, the Foundation promoted its regional equity agenda through targeted investments in the areas of real estate development, employment opportunities, public sector capacity, and civic engagement. Mr. Anthony continued the RED initiative through his tenure as CRD Unit Deputy Director in 2003 and Acting Director in 2004. With the selection of Suzanne Siskel as CRD Unit Director in 2006, RED came under the management of the single grant portfolio, SMCI.

In 2006, Mr. Anthony left the Foundation to become a Visiting Scholar and Ford Foundation Senior Fellow in the Department of Geography at the University of California Berkeley.

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Scope and Content Note

The Office Files of Carl Anthony span in date from 1999 to 2006 and primarily document his work as Program Officer managing the Community and Resource Development Unit portfolio on regional equity and smart growth. The bulk of the collection consists of grantee files, primarily of recipients of grants under the Sustainable Metropolitan Communities Initiative (SMCI) and the Regional Equity Demonstrations (REDs). The collection also contains files on trips, conferences and meetings he attended in his capacity as Program Officer and Acting Director at Ford.

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Arrangement

Arranged into 5 series.

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Administrative Information

Publication Statement

Rockefeller Archive Center

15 Dayton Avenue

Sleepy Hollow 10591

archive@rockarch.org

URL: <http://www.rockarch.org>

Immediate Source of Acquisition note

Ford Foundation archive was deposited at RAC in 2011. Ford Foundation records, correspondence, reports, program files and officers papers were transferred to, and accessioned by, RAC beginning in 2011. Accessions continue as necessary.

Processing Information Note

The original volume of 19 cubic feet accessioned by the Ford Foundation Archives in 2010 was reduced during processing to 3.2 cubic feet.

Duplicates, reference files, grant proposals, and chronological correspondence files primarily consisting of thank you notes, form rejection letters for unsolicited grant requests or that addressed purely financial matters were not retained.

Conditions Governing Access note

Material more than ten years old is open for scholarly research.

Date spans of this collection range from 1998-2006. As such, much of the material is closed to scholarly access until the applicable records are more than ten years old. Material will be open for research, as records reach the ten year threshold, 2015-2017.

Select individual files are restricted, as noted. Permission to access these files must be obtained from the Ford Foundation before scholarly access is permitted.

Conditions Governing Use note

Completion of a use agreement is required prior to providing scholarly access. See RAC Head of Reference (or applicable designee) for details. Ford Foundation holds title, copyright and literary rights in the collection, in so far as it holds them. The Rockefeller Archive Center has authority to grant permission to cite and publish material from the collection for scholarly purposes. Permission to publish extensive excerpts, or material in its entirety, will be referred to the Ford Foundation.

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Related Materials

Separated Materials

Appendix A: Reports – Unpublished reports written by Ford Foundation staff and consultants were removed from their original folders, scanned and transferred to the Archives' collection of staff and consultant reports. See Appendix A for a list of these reports and their specific assigned number. The reports are listed in alphabetical order by author. The assigned number may be used to access reports in the online catalog.

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Controlled Access Headings

- Ford Foundation

Physical Characteristics and Technical Requirements note

Materials requiring specialized equipment for access (film, audio, video, slides) may be restricted from scholarly research dependent on availability of the applicable equipment. Brittle or damaged items, or materials otherwise in need of preservation care, may be restricted from scholarly research at the discretion of the RAC Head of Archival Services/RAC Head of Reference.

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Collection Inventory

Sustainable Metropolitan Communities Initiative (SMCI) Files, 1998-2006

box not
specified

Conditions Governing Access:

Conditions Governing Access

Date spans of this series range from 1998-2006. As such, much of the material is closed to scholarly access until the applicable records are more than ten years old. Material will be open for research as records reach the ten year threshold, 2015-2017.

Scope and Contents

This series consists of subject files documenting the work of Sustainable Metropolitan Communities Initiative (SMCI) grantees. Files are arranged alphabetically by name of grantee or project and contain correspondence, conference materials, products of Ford-funded research, and background information about grantees and projects. The bulk of correspondence pertains to project updates and conference information grantees sent to Mr. Anthony. Files on conferences held by grantees, grantmaking partners or the Ford Foundation that Mr. Anthony kept with his SMCI files are included in this series. Additional conference files can be found in Series IV: Trips, Conferences and Meetings.

| Title/Description | Instances | |
|---|-----------|----------|
| African American Forum on Race and Regionalism -- Memoranda Re: Meeting, Conference Call Agenda, Feb 17, 2004-Mar 17, 2005 | box 1 | folder 1 |
| <u>Conditions Governing Access:</u> Conditions Governing Access File is closed to scholarly research. Date Restricted Material -- Contains records less than 10 years old -- Restricted records are Open to scholarly research in 2016. | | |
| African American Forum on Race and Regionalism -- Papers on Race and Regional Equity, 2004 | box 1 | folder 2 |
| <u>Conditions Governing Access:</u> Conditions Governing Access File is closed to scholarly research. Date Restricted Material -- Contains records less than 10 years old -- Restricted records are Open to scholarly research in 2015. | | |
| African American Forum on Race and Regionalism -- Reception and Workshop at the NAACP National Convention, Philadelphia, PA, Jul 10-15, 2004 | box 1 | folder 3 |
| <u>Conditions Governing Access:</u> | | |

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2015.

Atlanta Neighborhood Development Partnership (ANDP) --
Correspondence, Published Report on Mixed Income and Mixed
Use Communities, Apr 8, 2002-Jul 19, 2004

box 1

folder 4

Conditions Governing Access:

Conditions Governing Access

Some of the records in this file are restricted because they
are less than 10 years old. The restricted items have been
segregated and are inaccessible. The file is open to research,
and physical removal of the segregated material is not
necessary.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2015.

Bay Area Council -- Memoranda Re: Background for Conference
Call, Report on Bay Area Family of Funds, Jan 12, 2003-Jun 29,
2004

box 1

folder 5

Conditions Governing Access:

Conditions Governing Access

Some of the records in this file are restricted because they
are less than 10 years old. The restricted items have been
segregated and are inaccessible. The file is open to research,
and physical removal of the segregated material is not
necessary.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2015.

Center for Community Change -- Correspondence, 2002-2004

box 1

folder 6

Conditions Governing Access:

Conditions Governing Access

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are less than 10 years old. The restricted items have been
segregated and are inaccessible. The file is open to research,
and physical removal of the segregated material is not
necessary.

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10 years old -- Restricted records are Open to scholarly
research in 2015.

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|---|-------|----------|
| Collins Center for Public Policy -- Correspondence Re: Building a Region of Strong Neighborhoods in South Florida, Mar 6, 2003 | box 1 | folder 7 |
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|--|-------|----------|
| Commonweal -- "Conversations with Advocates of Fair Growth" (interviews by Steve Lerner) (1 of 2), Oct 2004 | box 1 | folder 8 |
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Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2015.

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| Commonweal -- "Conversations with Advocates of Fair Growth" (interviews by Steve Lerner) (2 of 2), Oct 2004 | box 1 | folder 9 |
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Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2015.

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|---|-------|-----------|
| Commonweal -- "Smart Growth/Fair Growth: Building Mixed- Income Communities" (report by Steve Lerner) (1 of 2), 2005 | box 1 | folder 10 |
|---|-------|-----------|

Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2016.

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|---|-------|-----------|
| Commonweal -- "Smart Growth/Fair Growth: Building Mixed- Income Communities" (report by Steve Lerner) (2 of 2), 2005 | box 1 | folder 11 |
|---|-------|-----------|

Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2016.

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|--|-------|-----------|
| Earth House -- Information Kits on SMCI, 2003-2004 | box 1 | folder 12 |
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|---|-------|-----------|
| Earth House -- Internal Communications Audit Summary Report, Interview Notes, Dec 2002-May 2003 | box 2 | folder 1 |
| Earth House -- Strategic Communications for SMCI, 2004-2006 | box 2 | folder 2 |
| <u>Conditions Governing Access:</u> Conditions Governing Access File is closed to scholarly research. Date Restricted Material -- Contains records less than 10 years old -- Restricted records are Open to scholarly research in 2017. | | |
| Earth House -- Strategic Communications Meeting Notes, Charts, Planning Report, Email, Dec 2002-May 2003 | box 2 | folder 3 |
| Earth House -- Strategic Communications Plan (separated), Sep 2003 | box 2 | folder 4 |
| Earth House -- Strategic Communications Work Plans and Reports, 2003 | box 2 | folder 5 |
| Environmental Justice Resource Center -- Correspondence, Report on Strategies for Achieving Environmental, Racial and Economic Justice, 2003 | box 2 | folder 6 |
| Ferris, Deeohn -- SMCI Project on African American Perspectives on Regionalism, Regional Equity and Smart Growth, 1998-2004 | box 2 | folder 7 |
| Gamaliel Foundation -- National Leadership Assembly "Eyes on the Prize: Coalitions to Win Metro Equity" -- Agenda, Conference Book, Dec 5-7, 2002 | box 2 | folder 8 |
| George Washington University Center on Sustainable Growth -- Report and Research on Sustainable Metropolitan Communities, 2003 | box 2 | folder 9 |
| Good Jobs First -- Correspondence, Clippings Re: Smart Growth and Unions, 2002-2004 | box 2 | folder 10 |
| Good Jobs First -- Monograph and Summary Report of Interviews of Labor Union Leaders on Smart Growth, 2003 | box 2 | folder 11 |
| Greater Baltimore Urban League -- Correspondence Re: Transportation Project, Comprehensive Social Equity Study Update, etc., Jun 3, 2002-Nov 3, 2004 | box 2 | folder 12 |
| <u>Conditions Governing Access:</u> Conditions Governing Access Some of the records in this file are restricted because they are less than 10 years old. The restricted items have been segregated and are inaccessible. The file is open to research, and physical removal of the segregated material is not necessary. | | |

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2015.

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|---|-------|-----------|
| Harvard University Civil Rights Project -- Round Table on Transportation Equity -- Agenda, Discussion Questions, Participant List, Concept Paper, Invitation, Jun 9, 2003 | box 2 | folder 13 |
|---|-------|-----------|

Institute on Race and Poverty -- Conference on Race and
Regionalism, Minneapolis, MN -- Correspondence, Conference
Book, Summary, May 6-7, 2005

box 3 folder 1

Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2016.

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|---|-------|----------|
| Institute on Race and Poverty -- Correspondence, Papers on Race, Regional Equity and Urban Sprawl, 1998-2003 | box 3 | folder 2 |
|---|-------|----------|

InterValley Project (IVP) -- Correspondence, Meeting Agenda,
Background on Issue Campaigns and Brownfield Organizing,
2003

box 3 folder 3

| | | |
|---|-------|----------|
| Metro Organization for Racial and Economic Equity -- Summit on Racism and Metropolitan Dynamics: Organizing for Statewide Solutions -- Agenda, Background, Jun 21, 2003 | box 3 | folder 4 |
|---|-------|----------|

National Economic Development and Law Center (NEDLC)
-- Concept Paper on Community Capital Investment Initiative
(CCII), May 12, 2004

box 3 folder 5

Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2015.

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|---|-------|----------|
| National Neighborhood Coalition (NNC) -- Correspondence, Background on NNC, 2003 | box 3 | folder 6 |
|---|-------|----------|

National Vacant Properties Campaign -- Research and Policy
Forum to Identify Campaign Priorities Creating Opportunity from
Abandonment, Washington, DC, Jul 28-29, 2004

box 3 folder 7

Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2015.

PolicyLink -- Advancing Regional Equity: Second Annual
Summit on Equitable Development, Social Justice and Smart
Growth, Philadelphia, PA, May 23-25, 2005

box 3

folder 8

Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2016.

PolicyLink and Funders' Network for Smart Growth and Livable
Communities -- Promoting Regional Equity: A National Summit
on Equitable Development, Social Justice and Smart Growth, Los
Angeles, CA, Nov 17-19, 2002

box 3

folder 9

Redefining Progress -- Correspondence, Background on Common
Assets Program, Sustainability Indicators Program, etc., Oct 2001-
Dec 2003

box 3

folder 10

Regional Plan Association (RPA) -- 14th Annual Regional
Assembly, New York, NY -- Reports, Lists of Speakers,
Participants and Workshops, Apr 16, 2004

box 3

folder 11

Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2015.

Regional Plan Association (RPA) -- Civic Alliance to Rebuild
Downtown New York -- Meeting Notes, Resolutions, Prospectus
Outline, 2003-2004

box 3

folder 12

Conditions Governing Access:

Conditions Governing Access

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are less than 10 years old. The restricted items have been
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and physical removal of the segregated material is not
necessary.

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10 years old -- Restricted records are Open to scholarly
research in 2015.

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| Rochester (City of) -- Rochester Conversation on Mid-Size Cities -- Correspondence, Agenda, Published Summary, Nov 12-13, 2002 | box 3 | folder 13 |
| Surface Transportation Policy Project -- Correspondence, Interim Report, Draft Policy Statement, 2002-2003 | box 3 | folder 14 |
| Torrice Productions -- Correspondence Re: Funding Production of Documentary "The New Metropolis", Oct-Nov 2003 | box 3 | folder 15 |
| Transportation and Land Use Coalition (TALC) -- Correspondence, Narrative on Great Communities Initiative, Oct 2002-Jun 2006 | box 3 | folder 16 |

Conditions Governing Access:

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are less than 10 years old. The restricted items have been
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necessary.

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10 years old -- Restricted records are Open to scholarly
research in 2016 and 2017.

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|---|-------|-----------|
| Working Partnerships USA -- Correspondence from Amy B. Dean Re: Project Support, Move to Chicago, etc., May 2003 | box 3 | folder 17 |
|---|-------|-----------|

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Regional Equity Demonstration (RED) Files, 1999-2006

box not
specified

Conditions Governing Access:

Conditions Governing Access

Date spans of this series range from 1998-2006. As such,
much of the material is closed to scholarly access until the
applicable records are more than ten years old. Material will
be open for research as records reach the ten year threshold,
2015-2017.

Scope and Contents

This series consists of files documenting the Ford Foundation
Community and Resource Development (CRD) Unit's
Regional Equity Demonstrations (REDs). Although there
are a few files on other RED project locations, files on the

RED project in Camden, New Jersey constitute the bulk of the series. Camden RED files consist of correspondence, meeting materials, proposals, and background information on Camden, grantees and projects. Several files document various RED staff meetings as well as the Foundation's partnership and collaboration with The Reinvestment Fund (TRF), the Manpower Demonstration Research Corporation (MDRC) and the Annie E. Casey Foundation.

In addition, there are three files pertaining to the work of consultant David Rusk. Mr. Rusk prepared activity reports, recommendations, and papers in his role as advisor to the CRD Unit in national strategies for regional reform and as a resource for Ford grantees in Atlanta, Baltimore, Detroit, Philadelphia and Camden.

| Title/Description | Instances | |
|--|-----------|-----------|
| Annie E. Casey Foundation -- Consultative Session on Responsible Relocation, Mar 16-17, 2005 | box 3 | folder 18 |
| <u>Conditions Governing Access:</u> Conditions Governing Access | | |
| File is closed to scholarly research. | | |
| Date Restricted Material -- Contains records less than 10 years old -- Restricted records are Open to scholarly research in 2016. | | |
| Annie E. Casey Foundation and the Camden Development Collaborative -- Correspondence, Camden Funders Report, Background, 2000-2006 | box 3 | folder 19 |
| <u>Conditions Governing Access:</u> Conditions Governing Access | | |
| Some of the records in this file are restricted because they are less than 10 years old. The restricted items have been segregated and are inaccessible. The file is open to research, and physical removal of the segregated material is not necessary. | | |
| Date Restricted Material -- Contains records less than 10 years old -- Restricted records are Open to scholarly research in 2016 and 2017. | | |
| Camden Churches Organized for the People, Inc. (CCOP) -- Correspondence, Background, Presentation Given During Site Visit, 2000-2003 | box 3 | folder 20 |
| Camden Redevelopment Agency Reorganization Proposal, [2002] | box 3 | folder 21 |
| Concordia LLC -- Proposal, Report, Appendix of Meeting Summaries and Interviews, 2003-2004 | box 3 | folder 22 |
| <u>Conditions Governing Access:</u> | | |

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2015.

Council of New Jersey Grantmakers -- Correspondence, Meeting
and Convening Materials on Regional Equity Project in Southern
Jersey, Proposal, 2002-2005

box 3

folder 23

Conditions Governing Access:

Conditions Governing Access

Some of the records in this file are restricted because they
are less than 10 years old. The restricted items have been
segregated and are inaccessible. The file is open to research,
and physical removal of the segregated material is not
necessary.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2015.

Energy Programs Consortium -- Weatherization, Rehab and Asset
Preservation (WRAP) Partnership -- Background, Jan 15, 2004

box 4

folder 1

Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2015.

Hatcher Group -- Correspondence, Summary Recommendations
for Communicating Ford Foundation Work in Camden, 2003-2004

box 4

folder 2

Conditions Governing Access:

Conditions Governing Access

Some of the records in this file are restricted because they
are less than 10 years old. The restricted items have been
segregated and are inaccessible. The file is open to research,
and physical removal of the segregated material is not
necessary.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2015.

Invest in Camden Day Conference, Camden, NJ -- Agenda,
Brochures on Camden Organizations and Development Projects,
Jan 23, 2004

box 4

folder 3

Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2015.

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| Manpower Demonstration Research Corporation (MDRC) -- Memoranda, Correspondence, Reports Prepared for Meetings with Ford Foundation, 2002-2005 | box 4 | folder 4 |
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Conditions Governing Access:

Conditions Governing Access

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are less than 10 years old. The restricted items have been
segregated and are inaccessible. The file is open to research,
and physical removal of the segregated material is not
necessary.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2015 and 2016.

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|---|-------|----------|
| New Jersey Institute for Social Justice -- Meeting on Regional Equity, Civil Rights and the Low-Income Housing Tax Credit Program -- Correspondence, Agenda, Background Paper, May 6, 2003 | box 4 | folder 5 |
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| New Jersey Regional Coalition (NJRC) -- Briefing on New Jersey Political Environment and Legislation, 2003 | box 4 | folder 6 |
|---|-------|----------|

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| PolicyLink -- Memoranda Re: Ford Foundation Regional Equity/ Equitable Development Strategy, Apr 29, 2002 | box 4 | folder 7 |
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| Regional and Neighborhood Equity Project (RNEP) Meeting (7/28-29/2005) -- Agenda, Correspondence, Background, Jun-Jul 2005 | box 4 | folder 8 |
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Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2016.

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|---|-------|----------|
| RED -- Background and Descriptions of the Community and Resource Development (CRD) Unit and RED, 2003-2005 | box 4 | folder 9 |
|---|-------|----------|

Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2016.

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|--|-------|-----------|
| RED -- Ford Foundation Community and Resource Development (CRD) Unit Site Visit to Camden, Nov 21, 2002 | box 4 | folder 10 |
| RED -- Report to the Ford Foundation on the Camden Environmental Justice Scan, Jan 16, 2004 | box 4 | folder 11 |
| RED -- Research and Reports on Camden, NJ, 2003 | box 4 | folder 12 |
| RED Meetings -- Agendas, Memoranda, Notes, Background, 2002-2004 | box 4 | folder 13 |

Conditions Governing Access:

Conditions Governing Access

Some of the records in this file are restricted because they
are less than 10 years old. The restricted items have been
segregated and are inaccessible. The file is open to research,
and physical removal of the segregated material is not
necessary.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2015.

| | | |
|--|-------|-----------|
| RED Program Officer Meeting -- Summary, RED FAP Overview, Apr 20-May 11, 2004 | box 4 | folder 14 |
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Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2015.

| | | |
|--|-------|-----------|
| RED Staff Planning Meetings and Retreat -- Agenda, Strategic Plan, Retreat Notes, Sep 2004-May 2005 | box 4 | folder 15 |
|--|-------|-----------|

Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2016.

| | | |
|--|-------|-----------|
| RED Stakeholders Meetings (9/30/2003 and 11/20/2003) -- Meeting Summary, Invitation, Agenda, 2003 | box 4 | folder 16 |
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| Reinventing America's Older Communities Conference, Philadelphia, PA -- Agenda, Draft Problem Statement Re: Small Weak Market Cities, Jan 14-16, 2004 | box 4 | folder 17 |
|---|-------|-----------|

Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2015.

| | | |
|--|-------|-----------|
| The Reinvestment Fund (TRF) -- Correspondence, Paper, Background on Project Based Regionalism in Camden, NJ, 2002-2003 | box 4 | folder 18 |
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| Richmond Initiative -- Project Overview, Analysis of Proposed Just Cause/Fair Rent Ordinance for Richmond by Urban Habitat, 2005 | box 4 | folder 19 |
|--|-------|-----------|

Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2016.

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|---|-------|-----------|
| Rusk, David -- Consultant Activity Reports, Speeches, 1999-2004 | box 4 | folder 20 |
|---|-------|-----------|

Conditions Governing Access:

Conditions Governing Access

Some of the records in this file are restricted because they
are less than 10 years old. The restricted items have been
segregated and are inaccessible. The file is open to research,
and physical removal of the segregated material is not
necessary.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2015.

| | | |
|--|-------|-----------|
| Rusk, David -- Draft Paper on Measuring Regional Equity, Summary of Paper, 2005 | box 4 | folder 21 |
|--|-------|-----------|

Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2016.

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|--|-------|-----------|
| Rusk, David -- The People's Summit for Regional Equity -- Program, Participant List, Presentation, Jun 27, 2003 | box 4 | folder 22 |
| The Trust for Public Land -- Advisory Council Meeting Minutes, Background on Camden, NJ, Jan 9-10, 2003 | box 5 | folder 1 |
| University of California Santa Cruz Center for Justice, Tolerance & Community (CJTC) -- Conversation on Regional Equity (CORE) Meeting -- Agenda, Minutes, Summary, Background, Participant Bios, Feb 24-25, 2004 | box 5 | folder 2 |

Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2015.

| | | |
|--|-------|----------|
| University of California Santa Cruz Center for Justice, Tolerance & Community (CJTC) -- Conversation on Regional Equity (CORE) Meeting -- Agenda, Report, Background, Participant Bios, Oct 3-5, 2004 | box 5 | folder 3 |
|--|-------|----------|

Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2015.

| | | |
|--|-------|----------|
| University of California Santa Cruz Center for Justice, Tolerance & Community (CJTC) - Conversation on Regional Equity (CORE) Meeting -- Agenda, Report, Comments, Participants List, Jan 17-18, 2006 | box 5 | folder 4 |
|--|-------|----------|

Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2017.

| | | |
|---|-------|----------|
| Urban Habitat -- Overview of Strategic Communication Needs and Transcript of Interview Between Carl Anthony, Juliet Ellis and Angela Glover-Blackwell, 2003 | box 5 | folder 5 |
|---|-------|----------|

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Grant-Related Files, 2001-2005

box not
specified

Conditions Governing Access:

Conditions Governing Access

Date spans of this series range from 2001-2005. As such, much of the material is closed to scholarly access until the applicable records are more than ten years old. Material will be open for research as records reach the ten year threshold, 2015-2016.

Scope and Contents

This series consists of files relating to grants Mr. Anthony managed within his program area of regional equity and smart growth. Mr. Anthony kept these files as part of his General Files. They are maintained as a separate series because it is unclear whether these grants were issued as part of the SMCI or RED initiatives. Files contain correspondence and memoranda along with attached proposals, meeting notes, agenda and background materials regarding proposed or then-ongoing grant activity. One highlight is a file that documents Mr. Anthony's involvement in the creation of the Funder's Network, a resource for foundations, nonprofit organizations and other partners working to address problems resulting from suburban sprawl and urban disinvestment.

| Title/Description | Instances | |
|--|-----------|----------|
| The Funders' Network -- IRS Agreement, Papers on Smart Growth Issues, Meeting Notes, 2001-2004 | box 5 | folder 6 |
| <u>Conditions Governing Access:</u> | | |
| Conditions Governing Access | | |
| Some of the records in this file are restricted because they are less than 10 years old. The restricted items have been segregated and are inaccessible. The file is open to research, and physical removal of the segregated material is not necessary. | | |
| Date Restricted Material -- Contains records less than 10 years old -- Restricted records are Open to scholarly research in 2015. | | |
| Living Cities: The National Community Development Initiative -- Agenda, Email Correspondence, Discussion Paper on Shaping Public Policy (1 of 2), 2004-2005 | box 5 | folder 7 |
| <u>Conditions Governing Access:</u> | | |

Conditions Governing Access

File is closed to scholarly research. Date Restricted Material
-- Contains records less than 10 years old -- Restricted
records are Open to scholarly research in 2016.

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| Living Cities -- Meeting Book with Agenda, Memoranda, Background (2 of 2), 2005 | box 5 | folder 8 |
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Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research. Date Restricted Material
-- Contains records less than 10 years old -- Restricted
records are Open to scholarly research in 2016.

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|--|-------|----------|
| Public Sector Mapping Project -- Memoranda, Papers on Workforce Development Perspective, Homeland Security, etc., 2004 | box 5 | folder 9 |
|--|-------|----------|

Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research. Date Restricted Material
-- Contains records less than 10 years old -- Restricted
records are Open to scholarly research in 2015.

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|---|-------|-----------|
| Regional Equity -- Notes, Agenda, PowerPoint, Program Officer Memorandum, Apr-Jun 2003 | box 5 | folder 10 |
|---|-------|-----------|

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|---|-------|----------|
| "Selecting and Working with Public Relations Firms: A Guide for Grantmakers", May 2003 | box 6 | folder 1 |
|---|-------|----------|

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|---|-------|----------|
| Sexual and Reproductive Health -- Memoranda, Background, Human Rights Meeting Minutes and Materials, 2002-2004 | box 6 | folder 2 |
|---|-------|----------|

Conditions Governing Access:

Conditions Governing Access

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are less than 10 years old. The restricted items have been
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and physical removal of the segregated material is not
necessary.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2015.

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|---|-------|----------|
| Study on Urbanization, Environment and Development in Africa -- Background Papers, 2005-2006 | box 6 | folder 3 |
|---|-------|----------|

Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2017.

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Trips, Conferences and Meetings, 2001-2005

box not
specified

Conditions Governing Access:

Conditions Governing Access

Date spans of this series range from 2001-2005. As such, much of the material is closed to scholarly access until the applicable records are more than ten years old. Material will be open for research as records reach the ten year threshold, 2015-2016.

Scope and Contents

This series consists of files Mr. Anthony maintained of various conferences and meetings he attended on behalf of the Foundation. Files contain correspondence, agenda, notes, and background information for conferences held by other philanthropies such as the Land Bank Authority Forum in Flint, Michigan; conferences held with some Ford Foundation support such as the Funder's Network Conference in New Orleans; and internal Foundation events such as the Environment and Development Affinity Group workshop in China. A few files document meetings held at the Foundation building in New York, including the Ford Foundation Community Benefits Roundtable. There are also records of Mr. Anthony's travels for Foundation-related reasons such as his site visit to the Center for Heirs' Property Preservation in Charleston, South Carolina.

Title/Description

Instances

Advancing Regional Equity: Second Annual Summit on Equitable Development, Social Justice and Smart Growth, Philadelphia, PA
-- Agenda, Background, May 21-25, 2005

box 6 folder 4

Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2016.

The African American Conversations: Black Life-New Vision --
Synopsis, 2002

box 6 folder 5

| | | |
|--|-------|----------|
| African American Forum on Race and Regionalism, Oct 4, 2005, Detroit, MI, 2002-2005 | box 6 | folder 6 |
|--|-------|----------|

Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2016.

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|--|-------|----------|
| African American Leadership Commission (AALC) Meeting, Chicago, IL -- Agenda, Background, Jun 15-17, 2005 | box 6 | folder 7 |
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Conditions Governing Access:

Conditions Governing Access

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Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2016.

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|--|-------|----------|
| The Center for Heirs' Property Preservation Site Visit, Charleston, SC, Oct 26-30, 2001 | box 6 | folder 8 |
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| Conversations on Regional Equity (CORE) Meeting, Los Angeles, CA -- Agenda, Background, Jun 5-7, 2005 | box 6 | folder 9 |
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Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2016.

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|--|-------|-----------|
| Environment and Development Affinity Group Meeting, Cape Town, South Africa -- Program, Background, Reports, Jan 21-25, 2002 | box 6 | folder 10 |
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| Environment and Development Affinity Group Meeting, Ooty, India -- Meeting Book, Background (1 of 2), Nov 4-9, 2004 | box 7 | folder 1 |
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Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2015.

| | | |
|--|-------|----------|
| Environment and Development Affinity Group Meeting, Ooty, India (2 of 2), Nov 4-9, 2004 | box 7 | folder 2 |
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Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2015.

| | | |
|--|-------|----------|
| Environment and Development Affinity Group Workshop, Shangri-La, China -- Program, Notes, Background (1 of 2), Oct 27-29, 2003 | box 7 | folder 3 |
| Environment and Development Affinity Group Workshop, Shangri-La, China (2 of 2), Oct 27-29, 2003 | box 7 | folder 4 |
| Ford Foundation Community Benefits Round Table -- Agenda, Presenters, Background, Notes, Jun 2, 2004 | box 7 | folder 5 |

Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2015.

| | | |
|--|-------|----------|
| Ford Foundation Meetings on Mixed-Income Housing and Hardest-to-House Population -- Summary, Notes, Aug 26, 2002- Jan 13, 2003 | box 7 | folder 6 |
| Ford Foundation Program Division Joint Worldwide Meeting -- Rapporteur's Report, Jan 29, 2003 | box 7 | folder 7 |
| Ford Foundation RED Staff Retreat -- Community and Resource Development Unit -- Notes, Handouts, Sep 29-30, 2004 | box 7 | folder 8 |

Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2015.

| | | |
|--|-------|----------|
| Ford Foundation and UC Santa Cruz Center for Justice, Tolerance and Community (CJTC) Meeting Re: Camden RED Learning Agenda and Applicability to New Orleans after Hurricane Katrina, Aug 11-Sep 20, 2005 | box 7 | folder 9 |
|--|-------|----------|

Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2016.

| | | |
|---|-------|-----------|
| Funders Briefing on Regional Organizing, Dearborn, MI -- Agenda, Background, Dec 6-7, 2001 | box 7 | folder 10 |
| Funders' Network 2002 National Conference, New Orleans, LA -- Program, Background, Mar 4-7, 2002 | box 7 | folder 11 |
| Funders' Network Regional and Neighborhood Equity Gathering, Minneapolis, MN -- Agenda, Background, Apr 7-11, 2003 | box 7 | folder 12 |
| Funders' Network Regional and Neighborhood Equity Project Business Meeting and Equitable Development Tour, Detroit, MI -- Agenda, Background, Jul 22-23, 2004 | box 7 | folder 13 |

Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

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10 years old -- Restricted records are Open to scholarly
research in 2015.

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|--|-------|----------|
| Gamaliel Foundation National Leadership Assembly, Chicago, IL -- Agenda, Background, Dec 5-6, 2002 | box 8 | folder 1 |
| Governor's Symposium on Smart Growth, Seaside, FL (organized by Maryland Governor's Office) -- Conference Book with Agenda, Background, Nov 29-Dec 2, 2001 | box 8 | folder 2 |
| Land Bank Authority Forum (on land use and vacant property problem), Flint, MI -- Agenda, Apr 6-8, 2005 | box 8 | folder 3 |

Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2016.

| | | |
|--|-------|----------|
| Living Cities Annual Meeting, Washington, DC -- Schedule, Agenda, Background, May 10-11, 2005 | box 8 | folder 4 |
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Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2016.

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| Living Cities Semi-Annual Meeting, Nov 29-30, 2005, Detroit, MI -- Correspondence, Background, Agenda, July-Nov 2005 | box 8 | folder 5 |
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Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2016.

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|--|-------|----------|
| Natural Assets in Native America -- Meeting of Practitioners of Community-Based Natural Resource Management from Native America -- Agenda, Background, Mar 13-15, 2002 | box 8 | folder 6 |
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| Ohio Vacant Properties Forum, Columbus, OH -- Correspondence, Agenda, Background, Oct 24-25, 2004 | box 8 | folder 7 |
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Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

Date Restricted Material -- Contains records less than
10 years old -- Restricted records are Open to scholarly
research in 2015.

| | | |
|--|-------|----------|
| Urbanization, Environment and Development Seminar, Cape Town, South Africa -- Draft Program, Notes, Feb 25-27, 2005 | box 8 | folder 8 |
|--|-------|----------|

Conditions Governing Access:

Conditions Governing Access

File is closed to scholarly research.

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research in 2016.

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Writings, 2006

Scope and Contents

This series contains a book chapter titled "Race, Poverty and
the Humane Metropolis: Revisiting William H. Whyte" that
Mr. Anthony wrote for The Human Metropolis: People and
Nature in the Twenty-First Century City edited by Rutherford

H. Platt (Amherst: University of Massachusetts Press and
Lincoln Institute of Land Policy, Cambridge, 2006).

"Race, Poverty and the Humane Metropolis: Revisiting William
H. Whyte," *The Human Metropolis: People and Nature in the 21st
Century City* (published book chapter), 2006

box 8

folder 9

Appendix A: Reports, 1998-2005

| Title/Description | Instances | |
|--|-------------------|-------------------|
| Buhl, Lance C., Strengthening the Community Development Partnership Strategy: Analysis and Recommendations, 1998 | report #019631 | |
| Buhl, Lance C., The Ford Foundation's Community Development Partnership Strategy: A Review, 1998 | report #019633 | |
| Bullard, Robert D.; Johnson, Glenn S.; Torres, Angel O.; Building Regional Equity into Smart Growth, 2003 | report #019630 | |
| Concordia, LLC, Camden: The Next Generation: Conditions and Opportunities for Community Development, 2004 | report #019620 | |
| Dixit, Kanak Mani, The International Year of the Mountains: Opportunities for the Ford Foundation, 2001 | report #019625 | |
| Escudero, Arthur Getz, The World Summit on Sustainable Development: Opportunities for the Ford Foundation, 2001 | report #019626 | |
| Foster, Sheila, Report to the Ford Foundation on the Camden Environmental Justice Scan, 2004 | report #019619 | |
| Hamilton, Ralph, Moving Toward Inclusion: A Proposed Approach for a Discussion of Strategy at Commonweal, 2000 | report #019632 | report #019547 |
| Kayuni, Happy M. and Tambulasi, Richard I. C., The Key Issues in Regard to Urbanization and Environmental Change in Malawi, 2005 | report #019634 | |
| La Vina, Antonio, From Kyoto to Marrakech: Global Climate Politics and Local Communities, 2002 | report #019627 | |
| La Vina, Antonio, Summary Report: The World Summit on Sustainable Development and International Year on Ecotourism and Mountains, 2001 | report #019624 | |
| La Vina, Antonio, The Emerging Global Regime on Access and Benefit Sharing for Genetic Resources: Implications for Local Communities, 2002 | report #019628 | |
| La Vina, Antonio, The International Year of Ecotourism: Opportunities for the Ford Foundation, 2001 | report #019623 | |
| Mabala, Richard, From Prevention to Protection for AIDS: Addressing Vulnerability in Urban Areas, 2005 | report #019640 | |
| Manpower Demonstration Research Corporation, The Reinvestment Fund, The Camden Project: A Framework for Investment, 2003 | report #019621 | |

| | |
|---|-------------------|
| Mitlin, Diana, Inter-Dependency and Synergy in Poverty Reduction, 2005 | report #019641 |
| Nkhoma, Sikhulile, Malawi Homeless People's Federation, 2005 | report #019636 |
| Nowak, Jeremy, Spatial Targeting Strategies: Reflections from Camden, 2003 | report #019617 |
| Pavel, M. Paloma, Executive Summary: Strategic Communications Practices at the Ford Foundation, 2003 | report #019614 |
| Pavel, M. Paloma, Strategic Communications Plan, 2003 | report #019616 |
| Pavel, M. Paloma, Vision to Action: A Strategic Communications Plan for the SMCI 2004-2006: Executive Summary, 2004 | report #019615 |
| Sabry, Sarah, The Social Aid and Assistance Programme of the Government of Egypt - A Critical Review, 2005 | report #019638 |
| Swilling, Mark, Sustainability and Infrastructure Planning in South Africa: A Cape Town Case Study, 2005 | report #019635 |
| Tacoli, Cecilia, Rural-Urban Linkages in Africa: Their Role in Livelihood Transformations and Poverty Reduction, 2005 | report #019637 |
| The Reinvestment Fund, Developing a Camden Strategy: A partnership with the Ford Foundation: A Concept Paper, 2002 | report #019618 |
| The Reinvestment Fund, The Camden Project: Notes for the Regional Equity Demonstration, undated | report #019622 |
| Van Donk, Mirjam, "Positive" Urban Futures in Sub-Saharan Africa: HIV/AIDS, 2005 | report #019639 |
| Weiss, Jonathan D., The Engineering/Construction Industry and Sustainable Metropolitan Communities, 2003 | report #019629 |