



Rockefeller (Nelson A.) Gubernatorial records, Departmental Reports, Series 28

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Rockefeller Archive Center

15 Dayton Avenue

Sleepy Hollow 10591

archive@rockarch.org

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Summary Information

Repository:	Rockefeller Archive Center
Creator:	New York (State). State Commission for Human Rights
Creator:	Boyd, Joseph H.
Creator:	New York (State). Governor (1959-1973 : Rockefeller)
Creator:	Morrow, Hugh
Creator:	Cannon, James M.
Creator:	Whitman, Ann
Creator:	New York (State). Dept. of Civil Service
Creator:	Ronan, William J. (William John)
Creator - ctb:	Rockefeller, Nelson A. (Nelson Aldrich)
Title:	Nelson A. Rockefeller gubernatorial records, Departmental Reports, Series 28
ID:	FA370
Date [inclusive]:	1960-1973
Physical Description:	5 Record Cartons
Language of the Material:	English

Preferred Citation note

Information regarding the Rockefeller Archive Center's preferred elements and forms of citation can be found at <http://www.rockarch.org/research/citations.php>

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Biographical/Historical note

Nelson A. Rockefeller served as governor of New York from January 1, 1959, to December 18, 1973. He was the first person elected to four consecutive four-year terms as a state governor.

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Scope and Contents note

NOTE TO RESEARCHERS

This material in this series documents the official activities of departments, divisions, and agencies of the executive branch of the New York state government during Nelson A. Rockefeller's governorship. The actions, decisions, and exchanges of information or points of view documented here occurred in the course of proposing and implementing policies for the Rockefeller administration. However, unless a document is personally signed by Nelson A. Rockefeller, or is referred to in a document personally signed by him, it should not be assumed that he saw the document or was aware of its existence or substance. Furthermore, researchers should bear in mind that these files may contain documents addressed to Rockefeller which were never shown to him.

SCOPE AND CONTENT

This series consists of periodic reports prepared by departments and agencies of the New York state government for Gov. Nelson A. Rockefeller. The reports are of four different types, from four different periods representing each of Governor Rockefeller's four administrations. In general the reports offer an assessment of each department's responsibilities, goals, performance, problems, and needs. Each of the four different report types is found for most, but not all, of the departments. The four types of reports are as follows:

Program Meeting Report, 1960. Issued in late 1960, these were the result of meetings by representatives of each department with the Secretary to the Governor and the Counsel to the Governor. The purpose of the meeting was to identify the major issues each department would face in the coming year and to define any legislative and budgetary support necessary to meet the issues.

Quarterly Reports, 1963-1966. These were initiated by Governor Rockefeller in March 1963 in response to a fiscal crisis and a "taxpayer revolt" which affected the operation of all departments and construction programs. The reports were to include a one-page "Commissioner's statement," the status of major programs, emergency situations and other unusual developments, and brief summaries of recent research, publications, and management improvement plans. Many of the reports include charts, tables, other graphics, and appendices of departmental publications. The reports were submitted to Dr. William J. Ronan, Secretary to the Governor. Dr. Ronan's staff of Program Associates reviewed the reports and wrote one-page summaries highlighting the most important points and sometimes offering comments on the quality of the report. Copies of the reports, summaries, and a cover letter from Dr. Ronan were then forwarded to Governor Rockefeller, Lt. Gov. Malcolm Wilson, Budget Director Dr. T. Norman Hurd, and Counsel to the Governor Sol Neil Corbin (later Robert R. Douglass). Governor Rockefeller's handwritten comments are found on a few of these reports. The reports begin with the first quarter of 1963. Most agencies reported through the first quarter of 1966. The reason for terminating the reports

is not found in this series. Further information on the guidelines for these reports can be found in folder 102, "Reporting Format."

Agency Appraisal Reports, 1970. These reports represent a self-analysis by each agency defining the agency's purpose, the status of its current programs, and its future plans and needs. They are similar to the Program Meeting Reports, but with more depth. The Appraisal Reports were compiled in the summer of 1970 and together they form a detailed snapshot of the executive branch of the New York state government.

Monthly Reports, 1971-1973. Actually issued every four weeks, these reports are short narratives written in memo form by each agency head. The average length is three pages, with no graphics and few appendices. The focus is on immediate issues and activities. The reports were sent to T. Norman Hurd (Director of State Operations, January 1, 1971-August 31, 1972, Secretary to the Governor, September 1, 1972-1975) who reviewed them and identified a few key points from each report in a summary to Governor Rockefeller. Up to a dozen reports were sent to Governor Rockefeller at a time with a one page summary covering all the reports. Points highlighted in the summary were keyed to numbered tabs attached to the reports. These summaries are filed separately in folders 105-107, "Forwarding Memos and Summaries." Many of the summaries bear Governor Rockefeller's handwritten comments. These reports began in March 1971 and the last ones are dated late November or early December 1973, just before Governor Rockefeller's resignation on December 18.

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Arrangement note

The reports are arranged alphabetically by department and then chronologically thereunder.

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File Plan note

Former Classification: III 15 28

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Administrative Information

Publication Statement

Rockefeller Archive Center

15 Dayton Avenue

Sleepy Hollow 10591

archive@rockarch.org

URL: <http://www.rockarch.org>

Revision Description

Conditions Governing Use note

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Processing Information note

Processing Level 3: Standard Processing.

Processing Information note

Harold W. Oakhill September 1999

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Controlled Access Headings

- Presidential campaigns -- United States
- Political science
- New York (State)
- Political campaigns -- United States
- Legislation -- New York (State)
- New York (N.Y.)
- International relations -- United States
- Latin America
- International economic relations
- International relations
- Governors -- Election -- New York (State) -- 1970
- Human rights
- Arms control
- Affirmative action programs -- New York (State)
- Civil defense
- Arms control -- United States
- Civil service
- Civil defense -- United States
- Federal government -- United States
- Government policy
- Civil service -- New York (State)
- Economics
- Governors -- Election -- New York (State) -- 1966
- Presidential candidates -- United States -- History -- 20th century
- Governors -- Election -- New York (State) -- 1958
- Governors -- Election -- New York (State) -- 1962
- State governments -- United States
- United States
- Public policy
- Public relations
- Vice-Presidents -- United States
- Republican Party (U.S. : 1854-)

Other Finding Aids note

Detailed finding aids for each series of this collection are available:

[Nelson A. Rockefeller Gubernatorial Records, Appointments Office \(FA353\)](#)

[Nelson A. Rockefeller Gubernatorial Records, Assembly and Senate Memos \(FA354\)](#)

[Nelson A. Rockefeller Gubernatorial Records, Joseph H. Boyd \(FA355\)](#)

[Nelson A. Rockefeller Gubernatorial Records, James Cannon \(FA356\)](#)

[Nelson A. Rockefeller Gubernatorial Records, Affirmative Action \(N. Lee Cook\) \(FA357\)](#)

[Nelson A. Rockefeller Gubernatorial Records, Counsel's Office \(FA358\)](#)

[Nelson A. Rockefeller Gubernatorial Records, New York State Committee to Reelect the President \(FA359\)](#)

[Nelson A. Rockefeller Gubernatorial Records, Jerry A. Danzig \(FA360\)](#)

[Nelson A. Rockefeller Gubernatorial Records, Executive Chamber \(FA361\)](#)

[Nelson A. Rockefeller Gubernatorial Records, 55th Street \(FA362\)](#)

[Nelson A. Rockefeller Gubernatorial Records, Issue Books \(FA363\)](#)

[Nelson A. Rockefeller Gubernatorial Records, Arthur Massolo \(FA364\)](#)

[Nelson A. Rockefeller Gubernatorial Records, Hugh Morrow \(FA242\)](#)

[Nelson A. Rockefeller Gubernatorial Records, New York Office \(FA365\)](#)

[Nelson A. Rockefeller Gubernatorial Records, Civil Service Resolutions \(Charles H. Palmer\) \(FA366\)](#)

[Nelson A. Rockefeller Gubernatorial Records, Political Files \(FA367\)](#)

[Nelson A. Rockefeller Gubernatorial Records, Press Office \(FA368\)](#)

[Nelson A. Rockefeller Gubernatorial Records, Public Relations \(FA369\)](#)

[Nelson A. Rockefeller Gubernatorial Records, William J. Ronan \(FA371\)](#)

[Nelson A. Rockefeller Gubernatorial Records, Speeches \(FA372\)](#)

[Nelson A. Rockefeller Gubernatorial Records, Diane Van Wie \(FA373\)](#)

[Nelson A. Rockefeller Gubernatorial Records, Ann C. Whitman, Gubernatorial \(FA374\)](#)

[Nelson A. Rockefeller Gubernatorial Records, Gene Wyckoff \(FA375\)](#)

[Nelson A. Rockefeller Gubernatorial Records, New York Republican State Fund Raising Reports \(FA376\)](#)

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Collection Inventory

Title/Description	Instances	
Adirondack Park Agency, 1973	box 1	folder 1
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Office for the Aging, 1970-1973	box 1	folder 2
Scope and Contents note Agency Appraisal Report, 1970; 1 semi-annual report, 1972; 19 monthly reports, March 1971 - November 1973		
Department of Agriculture and Markets, 1960, 1963-1966, 1970-1973	box 1	folder 3
Scope and Contents note Program Meeting Report, 1960; 7 quarterly reports, January 1963 - September 1964		
Department of Agriculture and Markets, 1960, 1963-1966, 1970-1973	box 1	folder 4
Scope and Contents note 6 quarterly reports, October 1964 - March 1966		
Department of Agriculture and Markets, 1960, 1963-1966, 1970-1973	box 1	folder 5
Scope and Contents note Agency Appraisal Report, 1970; 35 monthly reports, March 1971 - December 1973		
Division of Alcoholic Beverage Control/State Liquor Authority, 1960, 1963-1966, 1971-1973	box 1	folder 6
Scope and Contents note Program Meeting Report, 1960; 12 quarterly reports, January 1963 - March 1966		
Division of Alcoholic Beverage Control/State Liquor Authority, 1960, 1963-1966, 1971-1973	box 1	folder 7

Scope and Contents note

Agency Appraisal Report, 1970; 32 monthly reports, March 1971 - December 1973

New York State Council on Architecture, circa 1970	box 1	folder 8
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Scope and Contents note

Agency Appraisal Report, circa 1970

New York State Council on the Arts, 1970-1973	box 1	folder 9
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Scope and Contents note

Agency Appraisal Report, 1970; 11 monthly reports, March 1971 - April 1973

Office of Atomic Development, 1963-1965	box 1	folder 10
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Scope and Contents note

5 quarterly reports, January 1963 - March 1965

New York State Atomic Research and Development Authority, 1963	box 1	folder 11
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Scope and Contents note

Minutes of meeting of September 18, 1963, with 27 attachments including the authority's first annual report for April 1962 - March 1963

Department of Audit and Control, 1963-1966, 1971-1972	box 1	folder 12
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Scope and Contents note

13 quarterly reports, January 1963 - March 1966; 4 quarterly reports, July 1971 - June 1972

Banking Department, 1960, 1963-1966, 1970-1973	box 1	folder 13
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Scope and Contents note

Program Meeting Report, 1960; 12 quarterly reports, January 1963 - March 1966; Agency Appraisal Report, 1970; 22 monthly reports, March 1971 - November 1973

Bingo Control Commission, 1963	box 1	folder 14
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Scope and Contents note

1 quarterly report, January-March 1963; Annual report for 1963

Division of the Budget, 1963-1966, 1971	box 1	folder 15
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Scope and Contents note

12 quarterly reports, January 1963 - March 1966

New York State Commission on Cable Television, 1973	box 1	folder 16
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Scope and Contents note

3 monthly reports, September-November 1973

New York State Civil Defense Commission, 1963-1965, 1970-1971	box 1	folder 17
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Scope and Contents note

12 quarterly reports, January 1963 - December 1965; Agency Appraisal Report, 1970; 1 monthly report, March 1971

Department of Civil Service, 1960, 1962-1966, 1970-1973	box 1	folder 18
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Scope and Contents note

Program Meeting Report, 1960; annual report, 1962; 5 quarterly reports, January 1963 - March 1964

Department of Civil Service, 1960, 1962-1966, 1970-1973	box 1	folder 19
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Scope and Contents note

3 quarterly reports, April-December 1964

Department of Civil Service, 1960, 1962-1966, 1970-1973	box 1	folder 20
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Scope and Contents note

4 quarterly reports, January-December 1965

Department of Civil Service, 1960, 1962-1966, 1970-1973	box 1	folder 21
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Scope and Contents note

1 quarterly report, January-March 1966; Agency Appraisal Report, 1970; 36 monthly reports, March 1971-November 1973

Department of Commerce, 1960, 1963-1966, 1970-1973	box 1	folder 22
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Scope and Contents note

Program Meeting Report, 1960; 4 quarterly reports, January-December 1963

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Scope and Contents note

4 quarterly reports, January-December 1964

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Department of Commerce, 1960, 1963-1966, 1970-1973	box 2	folder 25
Scope and Contents note		
1 quarterly report, January-March 1966; Agency Appraisal Report, 1970		
Department of Commerce, 1960, 1963-1966, 1970-1973	box 2	folder 26
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Conservation Department, 1960, 1963-1966, 1970-1973	box 2	folder 28
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Conservation Department, 1960, 1963-1966, 1970-1973	box 2	folder 29
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Scope and Contents note		
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Department of Correction, 1960, 1963-1964, 1970-1971	box 2	folder 31
Scope and Contents note		
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Department of Correction, 1960, 1963-1964, 1970-1971	box 2	folder 32

Scope and Contents note

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Crime Victims Compensation Board, 1970

box 2

folder 33

Scope and Contents note

Agency Appraisal Report, 1970

Division of Criminal Justice Services, 1972-1973

box 2

folder 34

Scope and Contents note

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State Commission Against Discrimination, 1960

box 2

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Scope and Contents note

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bulk 1963-1965, 1970-1973 (bulk)

box 2

folder 36

Scope and Contents note

Program Meeting Report, 1960; 6 quarterly reports, January
1963 - June 1964

Education Department, Bulk, 1963-1965 Bulk, 1963-1965 1960,
bulk 1963-1965, 1970-1973 (bulk)

box 2

folder 37

Scope and Contents note

6 quarterly reports, July 1964 - December 1965; Agency
Appraisal Report, 1970

Education Department, Bulk, 1963-1965 Bulk, 1963-1965 1960,
bulk 1963-1965, 1970-1973 (bulk)

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Scope and Contents note

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Office of Education Performance Review, 1973

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Scope and Contents note

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Board of Equalization and Assessment, 1963

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folder 40

Scope and Contents note

1 quarterly report, January - March 1963

Office of General Services, 1963-1966, 1970-1973

Scope and Contents note

box 2

folder 41

8 quarterly reports, January 1963 - December 1964

Office of General Services, 1963-1966, 1970-1973

box 2

folder 42

Scope and Contents note

5 quarterly reports, January 1965 - March 1966

Office of General Services, 1963-1966, 1970-1973

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Scope and Contents note

Agency Appraisal Report, 1970; 35 monthly reports, March 1971 - November 1973

Department of Health, 1960, 1963-1966, 1971-1973

box 2

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Scope and Contents note

Program Meeting Report, 1960; 8 quarterly reports, January 1963 - December 1964

Department of Health, 1960, 1963-1966, 1971-1973

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Scope and Contents note

5 quarterly reports, January 1965 - March 1966

Department of Health, 1960, 1963-1966, 1971-1973

box 2

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Scope and Contents note

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Scope and Contents note

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Scope and Contents note

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Related Archival Materials note:

Related Archival Materials note

See also Rent Commission.

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Scope and Contents note

2 quarterly reports, first and third quarters 1963; Agency Appraisal Report, 1970; 35 monthly reports, March 1971 - November 1973

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Scope and Contents note

Agency Appraisal Report, 1970; 19 monthly reports, March 1971 - August 1972

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Program Meeting Report, 1960; 10 quarterly reports, January 1963 - June 1965

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Agency Appraisal Report, 1970; 33 monthly reports, May 1971 - November 1973

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Scope and Contents note

Program Meeting Report, 1960; 7 quarterly reports, January 1963 - September 1964

Department of Labor, 1960, 1963-1966, 1970-1973	box 3	folder 54
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Scope and Contents note

6 quarterly reports, October 1964 - March 1966; Agency Appraisal Report, 1970

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Scope and Contents note

36 monthly reports, March 1971 - November 1973

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Scope and Contents note

11 quarterly reports, January 1963 - September 1965

Office for Local Government, Bulk, 1963-1965 Bulk, 1963-1965 1960, bulk 1963-1965, 1970-1973 (bulk)	box 3	folder 57
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Scope and Contents note

Program Meeting Report, 1960; 10 quarterly reports, January
1963 - June 1965

Office for Local Government, Bulk, 1963-1965 Bulk, 1963-1965 1960, 1963-1965, 1970-1973 (bulk)	box 3	folder 58
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Scope and Contents note

Agency Appraisal Report, 1970; 36 monthly reports, March
1971 - November 1973

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Scope and Contents note

2 monthly reports, March - April 1971

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Scope and Contents note

1 quarterly report, July-September 1963

Department of Mental Hygiene, 1960, 1963-1966	box 3	folder 60
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Scope and Contents note

Program Meeting Report, 1960; 10 quarterly reports, January
1963 - March 1966

Department of Mental Hygiene, 1960, 1963-1966	box 3	folder 61
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Scope and Contents note

33 monthly reports, March 1971 - November 1973

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Scope and Contents note

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1963 - March 1966; Agency Appraisal Report, 1970; 21
monthly reports, March 1971 - December 1972

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Scope and Contents note

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Scope and Contents note

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Scope and Contents note

5 quarterly reports, January 1965 - March 1966

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Scope and Contents note

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Department of Motor Vehicles, Bulk, 1963-1966 Bulk, 1963-1966 1960, bulk 1963-1966, 1970-1973 (bulk)	box 3	folder 68
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Agency Appraisal Report, 1970; 36 monthly reports, March 1971 - November 1973

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Scope and Contents note

34 monthly reports, March 1971 - November 1973

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Scope and Contents note

Program Meeting Report, 1960; 6 quarterly reports, January 1963 - June 1965; Agency Appraisal Report, 1970

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Scope and Contents note

Program Appraisal Report, 1970; 25 monthly reports, March 1971 - December 1973

Division of Probation, 1972-1973	box 4	folder 72
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Scope and Contents note

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Scope and Contents note

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Public Service Commission, Bulk, 1963-1966 Bulk, 1963-1966 1960, bulk 1963-1966, 1970-1971 (bulk)	box 4	folder 74
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Scope and Contents note

Program Meeting Report, 1960; 13 quarterly reports, January 1963 - March 1966; Agency Appraisal Report, 1970; 1 monthly report, October 1970

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Scope and Contents note

Program Meeting Report, 1960; 8 quarterly reports, January 1963 - December 1964

Department of Public Works, 1960, 1963-1965	box 4	folder 76
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Scope and Contents note

4 quarterly reports, January-December 1965

Department of Public Works - Route 87, 1964	box 4	folder 77
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Scope and Contents note

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Office for Regional Development, 1963	box 4	folder 78
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Scope and Contents note

1 quarterly report, January-March 1963

Rent Commission, 1960, 1963-1964	box 4	folder 79
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Scope and Contents note

Program Meeting Report, 1960; 6 quarterly reports, January 1963 - June 1964

Related Archival Materials note:

Related Archival Materials note

See also Division of Housing and Community Affairs, Office of Rent Administration.

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Scope and Contents note

Program Meeting Report, 1960; 8 quarterly reports, January 1963 - December 1964

Department of State, 1960, 1963-1966, 1970-1971, 1973	box 4	folder 81
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5 quarterly reports, January 1965 - March 1966; Agency Appraisal Report, 1970; 2 monthly reports, March 1971 and January 1973

Division of State Police, Bulk, 1963-1966 Bulk, 1963-1966 1960, bulk 1963-1966, 1970-1973 (bulk)	box 4	folder 82
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Division of State Police, Bulk, 1963-1966 Bulk, 1963-1966 1960, bulk 1963-1966, 1970-1973 (bulk)	box 4	folder 83
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Scope and Contents note

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Division of State Police, Bulk, 1963-1966 Bulk, 1963-1966 1960, bulk 1963-1966, 1970-1973 (bulk)	box 4	folder 84
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Scope and Contents note

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Division of State Police, Bulk, 1963-1966 Bulk, 1963-1966 1960, bulk 1963-1966, 1970-1973 (bulk)	box 4	folder 85
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Scope and Contents note

Agency Appraisal Report, 1970; 35 monthly reports, March 1971 - November 1973

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Scope and Contents note

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Department of Social Services, 1970-1973

box 4

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Scope and Contents note

Agency Appraisal Report, 1970; 36 monthly reports, March 1971 - November 1973

General note

formerly Department of Social Welfare

Department of Social Welfare, 1960, 1963-1966

box 4

folder 88

Scope and Contents note

Program Meeting Report, 1960; 4 quarterly reports, January-December 1963

General note

later Department of Social Services

Department of Social Welfare, 1960, 1963-1966

box 4

folder 89

Scope and Contents note

4 quarterly reports, January-December 1964

General note

later Department of Social Services

Department of Social Welfare, 1960, 1963-1966

box 4

folder 90

Scope and Contents note

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General note

later Department of Social Services

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box 4

folder 91

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Scope and Contents note

1 quarterly report, January-March 1966; Agency Appraisal Report, 1970; 36 monthly reports, March 1971 - December 1973

Department of Taxation and Finance, Bulk, 1963-1966 Bulk, 1963-1966 1960, bulk 1963-1966, 1970-1973 (bulk)	box 5	folder 93
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Scope and Contents note

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Thruway Authority, 1966	box 5	folder 94
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Scope and Contents note

1 quarterly report, April-June 1966

Department of Transportation, 1963-1966, 1970-1973	box 5	folder 95
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Scope and Contents note

13 quarterly reports, January 1963 - March 1966; Agency Appraisal Report, 1970; 10 monthly reports, March-December 1971

Department of Transportation, 1963-1966, 1970-1973	box 5	folder 96
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Scope and Contents note

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Scope and Contents note

Program Meeting Report, 1960; 13 quarterly reports, January 1963 - March 1966; Agency Appraisal Report, 1970; 10 monthly reports, March-December 1971

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Scope and Contents note

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Scope and Contents note

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Division of Youth, 1963-1965, bulk 1970, 1972-1973	box 5	folder 100
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Scope and Contents note

6 quarterly reports, January 1963 - June 1964

Division of Youth, 1963-1965, 1970, 1972-1973	box 5	folder 101
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Scope and Contents note

6 quarterly reports, July 1964 - December 1965; Agency Appraisal Report, 1970; 20 monthly reports, January 1972 - December 1973

Reporting Format, 1963, 1970	box 5	folder 102
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Scope and Contents note

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Scope and Contents note

Annual check list of quarterly reports received from state departments and agencies

Forwarding Memos, 1963-1965	box 5	folder 104
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Scope and Contents note

Cover memos sent with quarterly reports from Dr. William J. Ronan, Secretary to the Governor, to Governor Rockefeller

Forwarding Memos and Summaries, 1971-1973	box 5	folder 105
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Scope and Contents note

Cover memos and summaries of monthly reports from T. Norman Hurd, Secretary to the Governor, to Governor Rockefeller. Some include marginal notes by NAR.

Forwarding Memos and Summaries, 1971-1973	box 5	folder 106
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Scope and Contents note

Cover memos and summaries of monthly reports from T. Norman Hurd, Secretary to the Governor, to Governor Rockefeller. Some include marginal notes by NAR.

Forwarding Memos and Summaries, 1971-1973	box 5	folder 107
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Scope and Contents note

Cover memos and summaries of monthly reports from T. Norman Hurd, Secretary to the Governor, to Governor Rockefeller. Some include marginal notes by NAR.