

# Ford Foundation records, International Division, Latin America and the Caribbean, Jamaica and Mexico City Field Office Files

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### **Summary Information**

**Repository:** Rockefeller Archive Center

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Caribbean, Jamaica and Mexico City Field Office Files

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## **Biographical / Historical**

#### Segment One: International Activities of the Ford Foundation: An Overview

The Foundation's aspiration to become a national and international philanthropy for the advancement of human welfare was first formally expressed in the seminal 1949 report of the Gaither Study Committee, *Report of the Study for the Ford Foundation on Policy and Program* (RAC Library, call letters 361.7 GAI), which was commissioned by the Board of Trustees to chart the Foundation's future. Foundation Trustees launched Ford's international grantmaking activities in 1950 when they approved the committee's report and its embrace of peace, democracy, and freedom. Since then, the Foundation has tackled these goals using a variety of strategies and responding to changing contexts, from the Cold War to the 1989 fall of the Berlin Wall and beyond.

Toward the Foundation's aspiration#al goal, "the establishment of peace", its international activities have comprised a wide range of conceptual approaches and focus areas. These include international affairs, international studies, international understanding, arms control and disarmament, international law, international economic concerns, and overseas development in nearly every region of the world. Three distinct periods emerge for the international grantmaking defined by external contextual changes and

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internal changes in Foundation leadership and structures: the expansion era of 1950-1965; the transition and restructuring years of 1966-1988; and the post-1989 shift away from Cold War dichotomies. During each of the distinct historical periods the consistent objectives were: 1) to ensure freedom and democracy in developed countries; 2) to foster education and international understanding in all countries; and 3) to contribute to the social, economic, and political development of less developed countries.

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## **Biographical / Historical**

#### Segment 2. Period Sketch - The Expansion Era 1950-1965

The Ford Foundation's expansion era (1950-1965) began when the Gaither Study Committee Report placed "peace" as the area of top priority for the Foundation and set the Ford Foundation on a global course. Board Chair Henry Ford II writing in the 1951 Annual Report of the Foundation, identified "the danger of war" as the "the prime threat to human welfare today." Under the leadership of the three Ford Foundation presidents in this period-- Paul Hoffman, H. Rowan Gaither and Henry Heald--and several key trustees, notably the two seriatim chairs, John J. McCloy and Julius A. Stratton, the Foundation programs were largely informed by the post-World War II desire to avoid nuclear war and promote greater understanding among the world's peoples, strengthening of the United Nations, and enhancing both private and public American participation in world affairs. The strong board presence of university presidents and former leaders of postwar reconstruction efforts in Europe also helped to inform Ford's approach to building the field of peace and international security in the United States and encouraged extensive overseas activities.

For example, the first three presidents of the expanded Foundation ranged from government and business leadership experience to academic and nonprofit: Paul Hoffman (at Ford, 1950-1953) ran the Marshall Plan in Europe; H. Rowan Gaither Staffer (1948-1953), President (1953-1956) and Trustee (1956-1958) had MIT and Rand experience; Henry Heald, former president, Illinois Institute of Technology and New York University, President (1956-1966). The trustees also ran the leadership gamut from government and nonprofits to academic: Trustee John J. McCloy (from 1953; chair, 1959-1965) was assistant Secretary of War during World War II and chairman of the Council on Foreign Relations; and Trustee Julius A. Stratton (Trustee from 1955; chair, 1966-1970) was president of MIT.

When Ford became an international foundation in 1950, it had a small staff and operated through separate funds. The expansive period in the 1950s and 1960s resulted in a large number of field offices, which increased Ford's global footprint. Support focused on a mix of US university-based programs for research and training; think-tanks for policy-oriented meetings and policy research; and action projects specifically in less developed countries. The Foundation's focus on peace, freedom, and democracy played out against the Cold War backdrop for scientific and military competition between the United States, the Soviet Union and China.

Under President Hoffman, the Foundation's work was organized by the areas identified in the Gaither Committee Report: Area One, the Establishment of Peace; Area Two, The Strengthening of Democracy;

Area Three, The Strengthening of the Economy; Area Four, Education in a Democratic Society; and Area Five, Individual Behavior and Human Relations.

Program differentiation began in the Gaither era. When he began in 1953, he introduced the following programs, with international work under nearly all of them: International Programs, Public Affairs Programs, Program in Economic Development and Administration (mainly U.S. based), Education Program, The TV-Radio Workshop, and Behavioral Sciences Program. In 1954, the Foundation gave prominence to the international work explicitly through changing the program names and separating international affairs and overseas development. The 1954 program names were: The Advancement of Education, Education for Democracy, Increasing Knowledge of Foreign Areas, Economic Development and Administration, the Behavioral Sciences, and Overseas Development. In 1955, Increasing Knowledge of Foreign Areas became Increasing International Understanding. In 1956, the last year of the Gaither presidency, the program roster read: Education in the United States, The Behavioral Sciences, Public Affairs, Hospital Aid (only in the U.S.), Economic Development and Administration, International Understanding, and Overseas Development. Names changed but the program focus often remained the same.

President Heald maintained the areas of international interest of Hoffman and Gaither. The International Affairs program housed the programs based in the United States, Europe and Japan, with a few activities linking American and developing countries institutions. The Overseas Training and Research Program took over the efforts to increase American understanding of international issues. From 1957-1959, an International Legal Studies program, run from New York, helped build law programs in developing countries. Starting in 1959, the Overseas Development program comprised all the regional and country offices. In fiscal year 1964, Heald convinced the trustees to add a new focus on population, with grants both in the United States and overseas.

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## **Biographical / Historical**

#### Segment 2. Period Summary - The Expansion Era 1950-1965

With the ever-increasing budget and trustee willingness to invade capital, presidents Hoffman, Gaither and Heald oversaw vast growth in the Foundation's international activities in the United States, other developed countries and developing countries. Activities crossed disciplines, institutions, and national boundaries, although few crossed intra-foundation boundaries. Under the three presidents, Foundation staff in various domestic and international offices from New York interacted with the regional and country offices headed by representatives. Usually in this period, however, these offices in fact worked more closely with local governments than with New York staff, reinforcing the commitment to locally led social and economic development in less-developed countries.

The shared values of this early period reflected as much the continuing competition between the Communist and capitalist worlds as the concerns about maintaining peace. The Cold War provided the contextual continuity for grantmaking on the core themes of increasing American understanding of the

rest of the world, building and strengthening connections not only with European and Asian democracies but also with Eastern European countries, the Soviet Union, China, and Cuba.

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## **Scope and Contents**

Contains correspondence, John S. Nagel files, reports, subject files, grant and proposal files.

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#### **Publication Statement**

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Completion of a use agreement is required prior to providing scholarly access. See RAC Head of Reference (or applicable designee) for details. Ford Foundation holds title, copyright and literary rights in the collection, in so far as it holds them. The Rockefeller Archive Center has authority to grant permission to cite and publish material from the collection for scholarly purposes. Permission to publish extensive excerpts, or material in its entirety, will be referred to the Ford Foundation.

### **Immediate Source of Acquisition note**

Ford Foundation archive was deposited at RAC in 2011. Ford Foundation records, correspondence, reports, program files and officers papers were transferred to, and accessioned by, RAC beginning in 2011. Accessions continue as necessary.

### **Conditions Governing Access**

Records more than 10 years old are open for research with select materials restricted as noted. Brittle or damaged items are available at the discretion of RAC.

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Argentina "Government of the University of Buenos Aires" by Kalman H. Silvert, Nov 1959	box 3
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Argentina Trip Report, Sep-Oct 1960, Sep-Oct 1960	box 3
Argentina "Manpower Survey Prepared for the Instituto Torcuato di Tella" by Robert L. Thomas, Jan 1961	box 3
Argentina "The Availability of Economists in the United Kingdom and France for Service Overseas" by Jo W. Saxe, Aug 2, 1961	box 3
Argentina "Education in the Field of Economic Development and Administration in Argentina and Chile" by William D. Carmichael, Nov 1963	box 3
Argentina Library of Faculty of Exact and Natural Sciences at the University of Buenos Aires Two Reports, 1964-1965	box 3
Argentina "University of Buenos Aires, School of Economics (Grant 62-235)" Memo from William D. Carmichael, Apr 2, 1965	box 3
Argentina "Economics in Argentina" by William D. Carmichael and Joseph Grunwald, Jun 1965	box 3
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Brazil "Exploratory Mission to Latin America - Brazil, 1959" by Alfred Wolf, Reynold Carlson, Lincoln Gordon, 1959	box 3
Brazil "Discussions on Brazil Held at Various U.S. Government Agencies, March 16-20, 1959" Memo from Nita Rous Manitzas, Mar 23, 1959	box 3
Brazil "Recommendations to Ford Foundation for Support of Economic Research Programs in Brazil" by Alfred C. Neal and Reynold E. Carlson, Apr 29, 1959	box 3
Brazil "Economic Growth in Brazil" by Reynold E. Carlson, May 1959	box 3
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Brazil "The Current Economic Situation in Brazil" by Reynold Carlson, Dec 1959	box 3
Brazil "American Cooperation with Brazilian Universities" by Robert J. Havighurst, Apr 1960	box 3
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Brazil "Secondary Science Education in Brazil" by Karl Dittmer, Paul Klinge, and Arthur Roe, Jul 1960	box 3
Brazil "Summary Report on Visit to Brazil Part A, Observations and Recommendations with Respect to Labor Market Research in Brazil" by C.V. Kidd, Sep 1960	box 3
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Brazil Correspondence Re: Visits to Science Centers from L. Grinter, Sep 6, 1960	box 3
Brazil "A Report on a General Survey of the Libraries of the University of Sao Paulo" by Herman H. Fussler and Supplementary Statement, 1961	box 3

Brazil "Organizational Outline of the Proposed Institute of Statistics at the University of Sao Paulo" by Jerzy Neyman, Mar- Apr 1961	box 3
Brazil "Notes on the Brazil Grant Program" by Sidney Mintz, Jun 1961	box 3
Brazil "Basic Science in Brazil, Mid 1961" by George R. Harrison, Sep 1, 1961	box 3
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Brazil "Report on Mission to Survey Present Activities and Future Possibilities of ETV in Brazil" by James W. Armsey and John F. Baxter, Aug 1963	box 3
Brazil "Instituto de Economia Rural Universidade Rural do Estado de Minas Gerais Vicosa, Minas Gerais, Brazil Current Status and Prospects" Progress Report by D. Woods Thomas, Aug 1963	box 3
Brazil "Graduate Teaching and Research in Economics", Sep 1963	box 3
Brazil "Report on the Rural University of the State of Minas Gerais", Oct 1963	box 3
Brazil "Introductory Report on Brazilian Education with Special Reference to Secondary Schools" by George F.G. Little, Mar 1964	box 3
Brazil "A Study of Technical Education Problems in Brazil" by Ernest J. Simon, Albert E. French, Henry P. Adams, Aug 30, 1964	box 3
Brazil "Report on Trip to Brazil: August 2-September 3, 1964" by Nicholas Georgescu-Roegen, Sep 1964	box 3
Brazil "An Appraisal of the Needs of the Universidade Rural do Estado de Minas Gerais - Supplement 1", Dec 12, 1964	box 3
Brazil "Sao Paulo University CCTV Consultation Report" by Kenneth Christianson, Dec 15, 1964	box 3
Brazil "Activity Report of Ford Foundation Consultant to Advise on the Development of Post-Colegio Technical Education in Brazil" Memo from Henry P. Adams, Apr 12, 1965	box 3

Brazil "Political Science: Public Administration and Development in Brazil" by Peter Bell, Sep 1965	box 3
Brazil "Report to the Ford Foundation on Support for Graduate Study in Science at the University of Brazil" by George R. Harrison, Dec 1, 1965	box 3
Brazil "Evaluation of the IRI Research Institute Animal Nutrition Center Program in Brazil and Related Agricultural Research and Educational Institutions" by Kenneth L. Turk, Jan 21, 1966	box 3
Brazil "Report on Visit to Rio de Janeiro to Explore Research Possibilities with BNDE and Sao Paulo School of Business Administration" by J.D. Nyhart, Mar 1966	box 3
Brazil "Comments on Visit to Escola Americana, Rio de Janeiro, at the Request of the Ford Foundation" Memo from James D. MacConnell, Apr 5, 1966	box 3
Brazil "Notes on Engineering Education in Brazil" Memo from K.N. Rao, May 11, 1966	box 3
Brazil "A Suggested Program of BNDE-MIT Cooperation in Research and Management Training in the Brazilian National Development Banking System" and Related Correspondence, Jul 1966	box 3
Brazil "Report of the Inter-American Development Bank Mission to Brazil" by Reuben Frodin, Charles F. Gosnell, K.N. Rao (English and Portuguese) and Related Documents, Jul-Sep 1966	box 3
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Caribbean "University of Puerto Rico - Natural Sciences and Agriculture" by Paul Pearson, Mar 8, 1962	box 3
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Caribbean "Structures and Processes for Biomedical Research Policy in the British Caribbean" by C.V. Kidd, May 3, 1965	box 3
Caribbean "The Political Situation in the Dominican Republic" by Robert A. Mayer, Sep 10, 1965	box 3

Caribbean "Institute of Advanced Studies (ISA) Curriculum Development and Teacher Training" by Amalia Llabres de Charneco, Nov 30, 1965	box 3
Caribbean "Trip to Dominican Republic, March 25-April 1, 1966" Memorandum from Morris A. Horowitz, Apr 12, 1966	box 3
Caribbean "The Dominican Crisis of 1965: An Annotated Bibilography" by Abraham Lowenthal, Jun 1967	box 3
Caribbean Report Re: Jamaica Visit by Amos H. Hawley, Feb 1969	box 3
Central/Latin America General "A Report to the Ford Foundation Concerning Program Possibilities in Latin America" by the Yale Group, Jun 10, 1958	box 4
Central/Latin America General "Economic Research and Training in Latin America" by Richard Ruggles and Nancy Ruggles, Mar 1960	box 4
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Central/Latin America General "Observations on Pan American School of Agriculture" by William K. Gamble, Dec 1962	box 4
Central/Latin America General "University Education and the Regional Concept in Central America" by Harold L. Enarson, Aug 1963	box 4
Central/Latin America General Human Resources Study of Central America, An Interim Report" by Herbert J. Betz, Sep 1963	box 4
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Central/Latin America General "Toward Strategies for Public Administration Development in Latin America" by John C. Honey, Mar 1966	box 4
Central/Latin America General "Agricultural Development in Latin America" Statement by Lowell S. Hardin before the International Finance Sub-Committee, House of Representatives, Aug 29, 1966	box 4

Central/Latin America General "Urban Planning and Development Centers in Latin America" by Guido G. Weigund and James H. Street, Apr 1967	box 4
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Central/Latin America General "The Challenge - A Political Economist's Views" by Byron L. Johnson Conference Paper, Aug-Sep 1967	box 4
Central/Latin America General "The Role of the Humanities in Desarrollo: The Latin American Case" by William L. Reese, 1968	box 4
Chile "Possibilities for Significant Studies of Land Reform in Chile" by Marion Clawson, Apr 1960	box 4
Chile Correspondence/Report Re: Education Planning Committee from Paul Bulger, Oct 21, 1963	box 4
Chile "A Report on Chilean Agriculture and Agricultural Education to the Ford Foundation" by S.O. Berg, L.E. Hanson, W.P. Martin, Nov 1963	box 4
Chile Memorandum, Chile Education Planning Committee Re: Visit to Santiago, Apr 3, 1964	box 4
Chile Report Re: Library Development at University of Concepcion by E.W. McDiarmid, Apr 29, 1964	box 4
Chile Community Facilities Project in Chile (published booklet), Feb 1966	box 4
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Chile Consultant's Report Re: Education, "Project in Curricular Reform and Teaching Retraining" by Michael Chiappetta, May-Jun 1967	box 4
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