

Ford Foundation records, Developing Countries Program, Office Files of William D. Carmichael

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Summary Information

Repository: Rockefeller Archive Center

Creator - aut: Carmichael, William D. (William Daniel), 1929-

Creator: Ford Foundation

Title: Ford Foundation records, Developing Countries Program, Office Files

of William D. Carmichael

ID: FA711

Date [inclusive]: 1964-1990

Physical Description: 18 Cubic Feet 48 boxes (45 letter document boxes, 2 half letter

document containers, 1 legal document container)

Language of the

Material:

English.

Biographical / Historical

William D. Carmichael was Vice President of the Ford Foundation, Developing Country Programs from 1981-1989. The administration of the Ford Foundation international programs was dramatically restructured in 1980-1981, and a new office for Developing Country Programs was created. William D. Carmichael was appointed Vice President of this new area in March 1981. Carmichael oversaw the work of Foundation field offices, and of New York-based staff responsible for grants to developing countries. Prior to his role as Vice President, he was Head of the Middle East and Africa Office (1977-1981), Head of the Office for Latin America and the Caribbean (1971-1977) and Representative in the Brazil Office (1968-1971). Dr. Carmichael retired from the Ford Foundation on September 30, 1989. Key accomplishments of Carmichael's tenure as Vice President include his role in advancing the development of a Women's Program, financing for worldwide activities in community resource management, the expansion of Foundation activities throughout southern Africa. Carmichael and his staff played a leading role within the U.S. philanthropic and scholarly communities in the formulation of policies towards the apartheid regime in South Africa. After leaving the Ford Foundation, he became executive director for Soviet Union and Eastern European Programs at the Institute of International Education until 1993.

Born on September 5, 1929, Dr. William D. Carmichael received a B.A. in Economics from Yale in 1950. In 1952 he received an M.P.A. in Public and International Affairs and an M.A. in Economics from Princeton University. He received a BLitt from Oxford University in Economics in 1955, and was awarded a PhD in Economics by Princeton in 1959. Before working at the Foundation, Dr. Carmichael

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served as Dean of Cornell University's Graduate School of Business and Public Administration from 1962 until 1968.

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Scope and Contents

The Office Files of William D. Carmichael document Ford Foundation overseas grants during the period 1968-1989, with an emphasis on the 1980s. The bulk of the records concern Carmichael's work as Vice President, Developing Country Programs, and consist of correspondence, memos and reports pertaining to the administration and grant activities of Ford Foundation field offices around the world. The collection also documents the relationship between overseas offices of the Ford Foundation and the headquarters in New York City. There are substantial records documenting Carmichael's travel and attendance at conferences, his participation in Board of Trustee meetings and his role in coordinating Board meetings convened overseas. Also included are budget planning records, program review documents, and subject files relating to numerous program initiatives and administrative matters of concern to Carmichael. The collection is particularly rich in material concerning the daily operations of field offices around the world, Ford Foundation-funded educational programs in South Africa, and the international component of the Foundation's Women's Program.

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Arrangement

The Office Files of William D. Carmichael are arranged in eight series:

- 1. Field Offices, 1972-1989
- 2. South Africa, 1974-1988
- 3. Conferences, Meetings and Trips, 1981-1988
- 4. Program Budget, 1982-1989
- 5. Subjects, 1968-1989
- 6. Board of Trustees, 1978-1989
- 7. Chronological Correspondence Files, 1971-1989
- 8. Reports and Program Files, 1964-1990

Administrative Information

Publication Statement

Rockefeller Archive Center

15 Dayton Avenue Sleepy Hollow 10591 archive@rockarch.org

URL: http://www.rockarch.org

Conditions Governing Access

Open for research with select materials restricted as noted. The entirety of Series 6: Board of Trustees, 1978-1989 is restricted from access. Brittle or damaged items are available at the discretion of RAC.

When requesting to view audiovisual material, please refer to the Title and the AV Number (example: AV 1916). Researchers are asked to check the "Restrictions" note for each Title. If a Title does not currently have an access copy, please contact a RAC archivist for further instruction.

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Immediate Source of Acquisition

William D. Carmichael's material arrived at the RAC in three accessions between 2011 and 2019. The material that arrived in 2011 and 2012 was processed as two separate collections at the RAC. When another box of Carmichael material arrived at the RAC in 2019, all of Carmichael's files were consolidated into one collection and brought up to standard processing by Katie Martin in February 2020.

The material in Series 1-6 came to the RAC in 2012 as Accession 2012:068 [Boxes 30272-30275, 30277-30280, 30282, 47940-47942]. The material was received by the Ford Foundation archive staff in 1990. This material was originally arranged and described by Jim Moske, Ford Foundation Archives, in 2006.

The material that comprises Series 7: Chronological Correspondence Files came to the RAC in 2011 as Accession 2011:075. The material was originally processed by Brian Bleich and Nancy Ng Tam, The Winthrop Group, in August 2011 as a standalone collection, A Guide to the Ford Foundation

records, Developing Countries Program, Correspondence Files of William D. Carmichael (FA522). This material became Series 7 of Ford Foundation records, Developing Countries Program, Office Files of William D. Carmichael in February 2020.

Series 8 is comprised of material from Accession 2019:101 [Box 40044] that was originally separated from the rest of Carmichael's papers. The box arrived at the RAC from the Ford Foundation in 2019. The materials were processed and added to this collection by Katie Martin in February 2020.

Processing Information

William D. Carmichael's material arrived at the RAC in three accessions between 2011 and 2019. The material that arrived in 2011 and 2012 was processed as two separate collections at the RAC. When another box of Carmichael material arrived at the RAC in 2019, all of Carmichael's files were consolidated into one collection and brought up to standard processing by Katie Martin in February 2020.

Most of the description created by previous processors has been retained and the order of files within series is unchanged.

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Collection Inventory

Field Offices, 1972-1989

Scope and Contents

Correspondence, memoranda, reports, press clippings and other records that document program development, grantmaking and grantee activities. Also included are records concerning the establishment, administration and daily operations of overseas offices. Numerous memos and reports written by Program Officers include vivid accounts of the political scene, current events and social and economic conditions in their host countries. Many reports contain general histories of regions or countries, as well as overviews of Foundation activities in these areas. Also included are files concerning the opening of new offices in China and Zimbabwe, and on transferring the West Africa office from Lagos, Nigeria to Dakar, Senegal. The bulk of the series dates from the 1980s, though scattered memos and reports from the 1970s are also present.

Arrangement

The records are arranged alphabetically by the region, with further sub-division by program officer.

Title/Description	Instances	
Andes and Southern Cone - Buenos Aires, 1973-1976	box 1	folder 1
Andes and Southern Cone - Consolidation, 1972-1973	box 1	folder 2
Andes and Southern Cone - General, 1973-1989	box 1	folder 3

Andes and Southern Cone - Hall, Gridley, 1983-1984	box 1	folder 4
Andes and Southern Cone - Lima office consultation – Lowenthal, Abraham, 1989	box 1	folder 5
Andes and Southern Cone - Puryear, Jeffrey M. (Representative), 1985-1987	box 1	folder 6-8
Andes and Southern Cone - Recife, 1973-1974	box 1	folder 9
Andes and Southern Cone - Saint, William S., 1981-1985	box 1	folder 10
Bangladesh - Bailey, Charles (Representative), 1985-1989	box 2	folder 11
Bangladesh - Bottrall, Anthony, 1981-1985	box 2	folder 12
Bangladesh - General, 1982-1986	box 2	folder 13
Bangladesh - Germain, Adrienne, 1981-1985	box 2	folder 14
Brazil - Dassin, Joan (Representative), 1987-1989	box 2	folder 15
Brazil - Fry, Peter, 1986-1988	box 2	folder 16
Brazil - General, 1981-1988	box 2	folder 17
Brazil - Reichmann, Rebecca, 1988	box 2	folder 18
Brazil - Sanderson, Steven, 1987	box 2	folder 19
Brazil - Sellers, Patricia, 1983-1984	box 2	folder 20
Brazil - Welna, Christopher, 1988	box 2	folder 21
China - Geithner, Peter F. (Representative), 1982-1989	box 2	folder 22
China - General, 1979-1989	box 3	folder 23-24
Eastern and Southern Africa - Booker, Salih, 1986-1987	box 3	folder 25
Eastern and Southern Africa - General, 1981-1989	box 3	folder 26-29
Eastern and Southern Africa - Harare, 1983-1989	box 3	folder 30-31
	box 4	folder 32
Eastern and Southern Africa - Howard, Craig, 1982-1985	box 4	folder 33
Eastern and Southern Africa - Hyden, Goran, 1981-1985	box 4	folder 34-37
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Eastern and Southern Africa - Saint, William (Representative), 1985-1989	box 5	folder 40-41
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Eastern and Southern Africa - Saulniers, Suzanne, 1983-1984	box 5	Tolder 42

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West Africa - Ivory Coast – Edwards visit, 1975	box 10	folder 82
West Africa - Joseph, Richard, 1985-1988	box 10	folder 83
West Africa - Kubisch, Ann, 1987-1989	box 10	folder 84
West Africa - Lagos, 1981-1989	box 10	folder 85-86
West Africa - Tragar, Lillian, 1985-1986	box 10	folder 87

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South Africa, 1974-1988

Scope and Contents

Correspondence, memoranda and supporting documents concerning grantmaking and investment in South Africa by the Ford Foundation and other organizations. Includes files of Assistant Program Officer David Bonbright, and records of a committee of foundation and university executives convened by the Carnegie Corporation to promote better educational opportunities for black South Africans.

Arrangement

Files are arranged alphabetically.

Title/Description	Instances	
Bonbright, David, 1985-1987	box 11	folder 88
Consortium on Educational Opportunities for Black South Africans, 1985-1986	box 11	folder 89-91
Firestone, 1985	box 11	folder 92
Fund for Education in South Africa, 1988	box 11	folder 93
General, 1974-1988	box 11	folder 94-95
	box 12	folder 96-101

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Conferences, Meetings and Trips, 1981-1988

Scope and Contents

Correspondence, memoranda, notes, agendas, reports, program submissions and briefing materials relating to William Carmichael's travel abroad on Foundation business, and his attendance at conferences and meetings worldwide. Events documented in these files include Ford Foundation staff meetings, field office site visits and conferences sponsored by other organizations. Of particular importance are extensive files on the 1985 United Nations Nairobi Conference marking the end of the Women's Decade, the 1983 meetings of the Ford Foundation

International Affairs and Human Rights and Social Justice programs in Geneva, Switzerland, and a 1982 New York meeting of Indian Prime Minister Indira Gandhi with representatives of American philanthropies.

Arrangement

Files are arranged chronologically.

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Lima, Peru and Bogota, Colombia, 1981	box 13	folder 104
Miscellaneous – Overseas, 1982	box 13	folder 105
Miscellaneous – United States, 1982	box 13	folder 106
Prime Minister Indira Gandhi New York visit, 1982 August	box 13	folder 107
Miscellaneous – Overseas, 1983	box 13	folder 108
Miscellaneous – United States, 1983	box 13	folder 109
African-American Institute Conference, Harare, Zimbabwe, 1983 January	box 13	folder 110
International Affairs/Human Rights meeting, Geneva, Switzerland, 1983 January	box 13	folder 111
Indonesia Trip File, 1983 March-April	box 13	folder 112
International University Foundation Conference, Ditchley Park, 1983 August	box 14	folder 113
Buenos Aires, Argentina Trip, 1984 January	box 14	folder 114
Central America Trip, Briefing Book, 1984 January	box 14	folder 115
Mexico Trip, Briefing Book, 1984 January	box 14	folder 116
Mexico/Central America Trip, Miscellaneous records, 1984	box 14	folder 117
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Employment and Income Generation meeting, Bangladesh, 1984 April	box 14	folder 119
International Affairs meeting, Mexico, 1984 April - May	box 14	folder 120
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Dakar, Senegal Trip, 1985 January	box 15	folder 126	
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SACHED Trust donors' meeting, Geneva, Switzerland, 1985 February	box 15	folder 128	
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Human Rights meeting, Ica, Peru, 1985 March	box 15	folder 130	
Israel and South Africa Trip, 1985 April	box 15	folder 131	
Southeast Asia Trip, Education and Culture meeting, Bali, 1985 May	box 15	folder 132	
Thailand Trip, Briefing Book, 1985 May	box 15	folder 133	
Washington, D.C Meeting with University Presidents Regarding South Africa, 1985 June	box 15	folder 134	
International Affairs meeting, Paraty, Brazil, 1985 June - July	box 15	folder 135	
End of Women's Decade Conference, Nairobi, Kenya, 1985 July	box 15	folder 136-137	
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Child Survival/Population meeting, Katmandu, Nepal, 1986 April	box 16	folder 144	
Boston Trip (Re: OXFAM), 1986 June	box 16	folder 145	
China Trip, 1986 June - July	box 16	folder 146	
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"Enabling Environment" Conference, Nairobi, Kenya, 1986 October	box 16	folder 151	
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Rural Poverty and Resources meeting, Nairobi, Kenya, 1986 November	box 16	folder 153	

South Africa Trip, 1986 November - December	box 16	folder 154
African-American Institute Conference, Gaborone, Botswana, 1987 January	box 16	folder 155-156
Education Policies for Sub-Saharan Africa, Paris, France, 1987 January	box 16	folder 157
Human Rights and Governance meeting, London, England, 1987 January	box 16	folder 158
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Ditchley Foundation Conference: Future of the Front-Line States in Southern Africa, 1988 January	box 17	folder 168
Latin America staff meeting, 1988 February	box 17	folder 169
Non-Profit and International Organizations Forum, Columbia University, 1988 February	box 17	folder 170
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Indonesia Trip, 1988 March	box 17	folder 172
Asia-Plus, Rural Poverty and Governance staff meeting, Bangalore, India, 1988 April	box 17	folder 173
Colloquium on Development, American Academy of Arts and Sciences, Cambridge, Massachusetts, 1988 May	box 17	folder 174
1.) Staff Meetings on Livelihoods, Employment, and Income Generation, 2.) Symposium on Expanding Income Opportunities for Women in Poverty, Nairobi, Kenya, 1988 May	box 17	folder 175
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North-South Roundtable Meeting on Southern Africa, Juliasdal, Zimbabwe, 1988 December	box 17	folder 181	

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Program Budget, 1982-1989

Scope and Contents

Program budget submissions and related documentation from DCP and a few other Foundation divisions. Many files include substantive reports on the political, social, and economic climates of various countries in which the Foundation had offices. The Program Review files contained in Series V are closely related to these budget materials.

Arrangement

Files are arranged chronologically.

Title/Description	Instances	
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Mexico and Central America, 1982-1989	box 19	folder 202	
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Rural Poverty and Resources, 1984-1985	box 20	folder 204	
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Urban Poverty, 1984-1985	box 20	folder 207	
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Subjects, 1968-1989

Arrangement

Files are arranged alphabetically by subject.

Scope and Contents

Series contains records concerning miscellaneous program initiatives, administrative matters, outside organizations and topics of general interest to Carmichael. Included are DCP program review files, memos and reports concerning the origins of the Women's Program and the Health, Nutrition and Population Program, and correspondence concerning the Bilateral Commission on United States-Mexican Relations (access to the latter is restricted from scholarly access).

Conditions Governing Access:

Conditions Governing Access

Select files are restricted from scholarly access as noted.

Title/Description	Instances	
Affirmative Action - External, 1983-1985	box 20	folder 209
Affirmative Action – Ford Foundation Policy, 1974-1978	box 20	folder 210
AIDS Task Force, 1987-1988	box 20	folder 211
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Bilateral Commission on the Future of U.SMexican Relations, 1984-1989	box 21	folder 213-218

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Boston University Health Policy Institute, 1980	box 22	folder 219	
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Council on Foreign Relations - Discussion Group on the Soviet Union in Africa, 1978-1979	box 22	folder 222
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Sutton, Francis X., 1981-1986	box 30	folder 278
Women's Program, 1977-1985	box 30	folder 279-283
	box 31	folder 284-285

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Board of Trustees, 1978-1989

Scope and Contents

Correspondence, memoranda, agendas, reports, briefing books and travel information, relating primarily to overseas meetings coordinated by William Carmichael and his staff. Included in some files are Carmichael's handwritten notes on meeting proceedings, and outlines for several of his presentations to the Board. Also a few files of correspondence with, and travel reports by, individual board members. Meeting minutes, dockets, reports and committee files previously and separately collected by the Archives are not included here. Access restricted.

Arrangement

Files are arranged alphabetically.

Conditions Governing Access:

Conditions Governing Access

All contents of Series VI are restricted from scholarly access.

Title/Description	Instances	
Dahrendorf, Ralf, 1981-1983	box 31	folder 286

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Meetings in Africa - Nairobi program, 1982	box 34	folder 318	
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Meetings in Africa - Schedules, 1982	box 34	folder	
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Conditions Governing Access

Restricted - confidential material.

Meetings in Latin America - Briefing book, 1986	box 34	folder 321	
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Rabb, Harriet S., 1981-1983	box 38	folder 345	
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Spencer, Edson W., 1981	box 38	folder 346	
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Conditions Governing Access

Restricted - confidential material.

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Chronological Correspondence Files, 1971-1989

Scope and Contents

This series contains Dr. Carmichael's chronological correspondence files dating from 1971 to 1989. The files from October 1981 to 1989 are arranged in yearly increments and then alphabetically by recipient's last name.

Processing Information:

Processing Information

This series was originally a separate collection, Ford Foundation records, Developing Countries Program, Correspondence Files of William D. Carmichael (FA522) The original finding aid was created by Brian Bleich and Nancy Ng Tam, The Winthrop Group in August 2011. This collection was added as a correspondence series to Ford Foundation records, Developing Countries Program, Office Files of William D. Carmichael by Katie Martin in February 2020 to consolidate Carmichael's materials into one collection. The order of the material is unchanged.

Related Materials:

Related Materials

Other information about the Africa and Middle East (AME) Programs can be found in Program Staff Files, 1965-1976, 1987-1994 (FA503). Additional information about the Developing Countries Program can be found in the Program Staff Files, 1960-1983. The files were maintained by Elisa Scatena and Richard W. Dye, both of whom reported to Dr. Carmichael as head of DCP. A portion of these files have been transferred to Rockefeller Archive Center as FA528 Ford Foundation records, Developing Countries Program, Program Staff Files, 1973-1978. Ford Foundation records, Developing Countries Program, Vice President, Office files of William D. Carmichael, 1968-1989, document his oversight of the administration and program activities of Foundation field offices around the world, and of New York-based program staff responsible for grants in developing countries.

Scope and Contents

The files begin with Dr. Carmichael's appointment as head of the Office of Latin America and the Caribbean (OLAC) and continue through to his retirement in 1989. During this time, he also directed the Middle East and Africa (MEA) office and served as Vice President of the Developing Countries Program (DCP). The files include correspondence with and memoranda about grantees, including approvals for grant extensions and modifications. Memoranda in the files also discuss issues regarding Foundation policy such as budget review questions and internal affirmative action policy.

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Reports and Program Files, 1964-1990

Processing Information:

Processing Information

Series 8 is comprised of material from Accession 2019:101 [Box 40044] that arrived at the RAC in 2019. The files in this series came to the RAC described as "to be catalogued." A note on the original inventory stated that the material was "removed from Carmichael collection." These materials were processed and added to Ford Foundation records, Developing Countries Program, Office Files of William D. Carmichael by Katie Martin in February 2020.

Scope and Contents

The reports in this series were originally separated by the Ford Foundation from the rest of Carmichael's collection for further cataloging. The reports were added back after documentation indicated the material belonged with Carmichael's paper. Many of the reports in this series are from the Urban Mathematics Collaborative Project funded by the Ford Foundation.

Title/Description	Instances	
Conference on Community Development, San Juan, Puerto Rico - Part 1, 1964 December 14	box 1	reel AV 15740
Conditions Governing Access: Conditions Governing Access		
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Access copy currently unavailable. Please contact a RAC archivist for further instruction.		
Conference on Community Development, San Juan, Puerto Rico - Part 2, 1964 December 14	box 1	reel AV 15741
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Developing Countries Program - Program Reviews, 1979-1986	box 45	folder 396
Conference on International and Regional Institutions, 1984 November 7-8	box 46	folder 397
Program Report 90-1, The Urban Mathematics Collaborative Project: Report to the Ford Foundation on the 1988-89 School Year, Wisconsin Center for Education Research, 1990 March	box 46	folder 398
Scope and Contents		
Authors: Norman L. Webb, Susan D. Pittelman, Thomas A. Romberg, Allan J. Pitman, James A. Middleton, Elizabeth M. Fadell, and Marilyn Sapienza		
Program Report 87-4, 1986 Annual Report to the Ford Foundation: The Urban Mathematics Collaborative Project, Wisconsin Center for Education Research, 1987 March	box 46	folder 399
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