

# Rockefeller (Nelson A.) Gubernatorial records, Departmental Reports, Series 28

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## **Table of Contents**

Summary Information	3
Biographical/Historical note	3
Scope and Contents note	4
Arrangement note	5
File Plan note	4
Administrative Information	6
Controlled Access Headings	7
Other Finding Aids note	8
Collection Inventory	ç

### **Summary Information**

**Repository:** Rockefeller Archive Center

**Creator:** New York (State). State Commission for Human Rights

**Creator:** Boyd, Joseph H.

**Creator:** New York (State). Governor (1959-1973: Rockefeller)

**Creator:** Morrow, Hugh

**Creator:** Cannon, James M.

**Creator:** Whitman, Ann

**Creator:** New York (State). Dept. of Civil Service

**Creator:** Ronan, William J. (William John)

**Creator - ctb:** Rockefeller, Nelson A. (Nelson Aldrich)

**Title:** Nelson A. Rockefeller gubernatorial records, Departmental Reports,

Series 28

English

**ID:** FA370

**Date [inclusive]:** 1960-1973

**Physical Description:** 5 record cartons

Language of the

Material:

#### **Preferred Citation note**

Information regarding the Rockefeller Archive Center's preferred elements and forms of citation can be found at <a href="http://www.rockarch.org/research/citations.php">http://www.rockarch.org/research/citations.php</a>

## Biographical/Historical note

Nelson A. Rockefeller served as governor of New York from January 1, 1959, to December 18, 1973. He was the first person elected to four consecutive four-year terms as a state governor.

<sup>^</sup> Return to Table of Contents

#### ^ Return to Table of Contents

## **Scope and Contents note**

#### NOTE TO RESEARCHERS

This material in this series documents the official activities of departments, divisions, and agencies of the executive branch of the New York state government during Nelson A. Rockefeller's governorship. The actions, decisions, and exchanges of information or points of view documented here occurred in the course of proposing and implementing policies for the Rockefeller administration. However, unless a document is personally signed by Nelson A. Rockefeller, or is referred to in a document personally signed by him, it should not be assumed that he saw the document or was aware of its existence or substance. Furthermore, researchers should bear in mind that these files may contain documents addressed to Rockefeller which were never shown to him.

#### SCOPE AND CONTENT

This series consists of periodic reports prepared by departments and agencies of the New York state government for Gov. Nelson A. Rockefeller. The reports are of four different types, from four different periods representing each of Governor Rockefeller's four administrations. In general the reports offer an assessment of each department's responsibilities, goals, performance, problems, and needs. Each of the four different report types is found for most, but not all, of the departments. The four types of reports are as follows:

Program Meeting Report, 1960. Issued in late 1960, these were the result of meetings by representatives of each department with the Secretary to the Governor and the Counsel to the Governor. The purpose of the meeting was to identify the major issues each department would face in the coming year and to define any legislative and budgetary support necessary to meet the issues.

Quarterly Reports, 1963-1966. These were initiated by Governor Rockefeller in March 1963 in response to a fiscal crisis and a "taxpayer revolt" which affected the operation of all departments and construction programs. The reports were to include a one-page "Commissioner's statement," the status of major programs, emergency situations and other unusual developments, and brief summaries of recent research, publications, and management improvement plans. Many of the reports include charts, tables, other graphics, and appendices of departmental publications. The reports were submitted to Dr. William J. Ronan, Secretary to the Governor. Dr. Ronan's staff of Program Associates reviewed the reports and wrote one-page summaries highlighting the most important points and sometimes offering comments on the quality of the report. Copies of the reports, summaries, and a cover letter from Dr. Ronan were then forwarded to Governor Rockefeller, Lt. Gov. Malcolm Wilson, Budget Director Dr. T. Norman Hurd, and Counsel to the Governor Sol Neil Corbin (later Robert R. Douglass). Governor Rockefeller's handwritten comments are found on a few of these reports. The reports begin with the first quarter of 1963. Most agencies reported through the first quarter of 1966. The reason for terminating the reports

is not found in this series. Further information on the guidelines for these reports can be found in folder 102, "Reporting Format."

Agency Appraisal Reports, 1970. These reports represent a self-analysis by each agency defining the agency's purpose, the status of its current programs, and its future plans and needs. They are similar to the Program Meeting Reports, but with more depth. The Appraisal Reports were compiled in the summer of 1970 and together they form a detailed snapshot of the executive branch of the New York state government.

Monthly Reports, 1971-1973. Actually issued every four weeks, these reports are short narratives written in memo form by each agency head. The average length is three pages, with no graphics and few appendices. The focus is on immediate issues and activities. The reports were sent to T. Norman Hurd (Director of State Operations, January 1, 1971-August 31, 1972, Secretary to the Governor, September 1, 1972-1975) who reviewed them and identified a few key points from each report in a summary to Governor Rockefeller. Up to a dozen reports were sent to Governor Rockefeller at a time with a one page summary covering all the reports. Points highlighted in the summary were keyed to numbered tabs attached to the reports. These summaries are filed separately in folders 105-107, "Forwarding Memos and Summaries." Many of the summaries bear Governor Rockefeller's handwritten comments. These reports began in March 1971 and the last ones are dated late November or early December 1973, just before Governor Rockefeller's resignation on December 18.

^ Return to Table of Contents

### **Arrangement note**

The reports are arranged alphabetically by department and then chronologically thereunder.

^ Return to Table of Contents

### File Plan note

Former Classification: III 15 28

^ Return to Table of Contents

### **Administrative Information**

#### **Publication Statement**

Rockefeller Archive Center

15 Dayton Avenue Sleepy Hollow 10591 archive@rockarch.org

URL: http://www.rockarch.org

#### **Revision Description**

### **Conditions Governing Use note**

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### **Conditions Governing Access note**

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### **Processing Information note**

Processing Level 3: Standard Processing.

### **Processing Information note**

Harold W. Oakhill September 1999

^ Return to Table of Contents

### **Controlled Access Headings**

- Presidential campaigns -- United States
- Political science
- New York (State)
- Political campaigns -- United States
- Legislation -- New York (State)
- New York (N.Y.)
- International relations -- United States
- Latin America
- International economic relations
- International relations
- Governors -- Election -- New York (State) -- 1970
- Human rights
- Arms control
- Affirmative action programs -- New York (State)
- Civil defense
- Arms control -- United States
- Civil service
- Civil defense -- United States
- Federal government -- United States
- Government policy
- Civil service -- New York (State)
- Economics
- Governors -- Election -- New York (State) -- 1966
- Presidential candidates -- United States -- History -- 20th century
- Governors -- Election -- New York (State) -- 1958
- Governors -- Election -- New York (State) -- 1962
- State governments -- United States
- United States
- Public policy
- Public relations
- Vice-Presidents -- United States
- New York (State). State Commission for Human Rights
- Boyd, Joseph H.
- New York (State). Governor (1959-1973 : Rockefeller)
- Republican Party (U.S.: 1854-)
- Morrow, Hugh
- Cannon, James M.
- Whitman, Ann
- New York (State). Dept. of Civil Service
- Ronan, William J. (William John)
- Rockefeller, Nelson A. (Nelson Aldrich)

### **Other Finding Aids note**

Detailed finding aids for each series of this collection are available:

Nelson A. Rockefeller Gubernatorial Records, Appointments Office (FA353)

Nelson A. Rockefeller Gubernatorial Records, Assembly and Senate Memos (FA354)

Nelson A. Rockefeller Gubernatorial Records, Joseph H. Boyd (FA355)

Nelson A. Rockefeller Gubernatorial Records, James Cannon (FA356)

Nelson A. Rockefeller Gubernatorial Records, Affirmative Action (N. Lee Cook) (FA357)

Nelson A. Rockefeller Gubernatorial Records, Counsel's Office (FA358)

Nelson A. Rockefeller Gubernatorial Records, New York State Committee to Reelect the President (FA359)

Nelson A. Rockefeller Gubernatorial Records, Jerry A. Danzig (FA360)

Nelson A. Rockefeller Gubernatorial Records, Executive Chamber (FA361)

Nelson A. Rockefeller Gubernatorial Records, 55th Street (FA362)

Nelson A. Rockefeller Gubernatorial Records, Issue Books (FA363)

Nelson A. Rockefeller Gubernatorial Records, Arthur Massolo (FA364)

Nelson A. Rockefeller Gubernatorial Records, Hugh Morrow (FA242)

Nelson A. Rockefeller Gubernatorial Records, New York Office (FA365)

Nelson A. Rockefeller Gubernatorial Records, Civil Service Resolutions (Charles H. Palmer) (FA366)

Nelson A. Rockefeller Gubernatorial Records, Political Files (FA367)

Nelson A. Rockefeller Gubernatorial Records, Press Office (FA368)

Nelson A. Rockefeller Gubernatorial Records, Public Relations (FA369)

Nelson A. Rockefeller Gubernatorial Records, William J. Ronan (FA371)

Nelson A. Rockefeller Gubernatorial Records, Speeches (FA372)

Nelson A. Rockefeller Gubernatorial Records, Diane Van Wie (FA373)

### Nelson A. Rockefeller Gubernatorial Records, Ann C. Whitman, Gubernatorial (FA374)

Nelson A. Rockefeller Gubernatorial Records, Gene Wyckoff (FA375)

Nelson A. Rockefeller Gubernatorial Records, New York Republican State Fund Raising Reports (FA376)

## **Collection Inventory**

Title/Description	Instances	
Adirondack Park Agency, 1973	box 1	folder 1
Scope and Contents note		
9 monthly reports, March-November 1973		
Office for the Aging, 1970-1973	box 1	folder 2
Scope and Contents note		
Agency Appraisal Report, 1970; 1 semi-annual report, 1972; 19 monthly reports, March 1971 - November 1973		
Department of Agriculture and Markets, 1960, 1963-1966, 1970-1973	box 1	folder 3
Scope and Contents note		
Program Meeting Report, 1960; 7 quarterly reports, January 1963 - September 1964		
Department of Agriculture and Markets, 1960, 1963-1966, 1970-1973	box 1	folder 4
<b>Scope and Contents note</b>		
6 quarterly reports, October 1964 - March 1966		
Department of Agriculture and Markets, 1960, 1963-1966, 1970-1973	box 1	folder 5
<b>Scope and Contents note</b>		
Agency Appraisal Report, 1970; 35 monthly reports, March 1971 - December 1973		
Division of Alchoholic Beverage Control/State Liquor Authority, 1960, 1963-1966, 1971-1973	box 1	folder 6

<sup>^</sup> Return to Table of Contents

Bingo Control Commission, 1963

Program Meeting Report, 1960; 12 quarterly reports, January 1963 - March 1966

1703 March 1700			
Division of Alchoholic Beverage Control/State Liquor Authority, 1960, 1963-1966, 1971-1973	box 1	folder 7	
Scope and Contents note			
Agency Appraisal Report, 1970; 32 monthly reports, March 1971 - December 1973			
New York State Council on Architecture, circa 1970	box 1	folder 8	
Scope and Contents note			
Agency Appraisal Report, circa 1970			
New York State Council on the Arts, 1970-1973	box 1	folder 9	
Scope and Contents note			
Agency Appraisal Report, 1970; 11 monthly reports, March 1971 - April 1973			
Office of Atomic Development, 1963-1965	box 1	folder 10	
Scope and Contents note			
5 quarterly reports, January 1963 - March 1965			
New York State Atomic Research and Development Authority, 1963	box 1	folder 11	
Scope and Contents note			
Minutes of meeting of September 18, 1963, with 27 attachments including the authority's first annual report for April 1962 - March 1963			
Department of Audit and Control, 1963-1966, 1971-1972	box 1	folder 12	
Scope and Contents note			
13 quarterly reports, January 1963 - March 1966; 4 quarterly reports, July 1971 - June 1972			
Banking Department, 1960, 1963-1966, 1970-1973	box 1	folder 13	
Scope and Contents note			
Program Meeting Report, 1960; 12 quarterly reports, January 1963 - March 1966; Agency Appraisal Report, 1970; 22 monthly reports, March 1971 - November 1973			

box 1

folder 14

1 quarterly report, January-March 1963; Annual report for 1963

Division of the Budget, 1963-1966, 1971	box 1	folder 15	
Scope and Contents note			
12 quarterly reports, January 1963 - March 1966			
New York State Commission on Cable Television, 1973	box 1	folder 16	
<b>Scope and Contents note</b>			
3 monthly reports, September-November 1973			
New York State Civil Defense Commission, 1963-1965, 1970-1971	box 1	folder 17	
Scope and Contents note			
12 quarterly reports, January 1963 - December 1965; Agency Appraisal Report, 1970; 1 monthly report, March 1971			
Department of Civil Service, 1960, 1962-1966, 1970-1973	box 1	folder 18	
<b>Scope and Contents note</b>			
Program Meeting Report, 1960; annual report, 1962; 5 quarterly reports, January 1963 - March 1964			
Department of Civil Service, 1960, 1962-1966, 1970-1973	box 1	folder 19	
Scope and Contents note			
3 quarterly reports, April-December 1964			
Department of Civil Service, 1960, 1962-1966, 1970-1973	box 1	folder 20	
<b>Scope and Contents note</b>			
4 quarterly reports, January-December 1965			
Department of Civil Service, 1960, 1962-1966, 1970-1973	box 1	folder 21	
<b>Scope and Contents note</b>			
1 quarterly report, January-March 1966; Agency Appraisal Report, 1970; 36 monthly reports, March 1971-November 1973			
Department of Commerce, 1960, 1963-1966, 1970-1973	box 1	folder 22	

Program Meeting Report, 1960; 4 quarterly reports, January-December 1963

Department of Commerce, 1960, 1963-1966, 1970-1973	box 1	folder 23	
Scope and Contents note			
4 quarterly reports, January-December 1964			
Department of Commerce, 1960, 1963-1966, 1970-1973	box 2	folder 24	
Scope and Contents note			
4 quarterly reports, January-December 1965			
Department of Commerce, 1960, 1963-1966, 1970-1973	box 2	folder 25	
Scope and Contents note			
1 quarterly report, January-March 1966; Agency Appraisal Report, 1970			
Department of Commerce, 1960, 1963-1966, 1970-1973	box 2	folder 26	
Scope and Contents note			
37 Monthly reports, March 1971 - November 1973			
Office for Community Affairs, 1970-1971	box 2	folder 27	
Scope and Contents note			
Agency Appraisal Report, 1970; 1 monthly report, February 1971			
Conservation Department, 1960, 1963-1966, 1970-1973	box 2	folder 28	
Scope and Contents note			
2 Program Meeting Reports, 1960; 12 quarterly reports, January 1963 - December 1965			
Conservation Department, 1960, 1963-1966, 1970-1973	box 2	folder 29	
<b>Scope and Contents note</b>			
1 quarterly report, January-March 1966; Agency Appraisal Report, 1970; 31 monthly reports, March 1971 - November 1973			
State Consumer Protection Board, 1971, 1973	box 2	folder 30	

14 quarterly reports, March 1971 - June 1973

Department of Correction, 1960, 1963-1964, 1970-1971	box 2	folder 31	
<b>Scope and Contents note</b>			
Program Meeting Report, 1960; 8 quarterly reports, January 1963 - December 1964; Agency Appraisal Reports, 1970; 8 monthly reports, March 1971 - December 1971			
Department of Correction, 1960, 1963-1964, 1970-1971	box 2	folder 32	
Scope and Contents note			
24 monthly reports, January 1972 - December 1973			
Crime Victims Compensation Board, 1970	box 2	folder 33	
Scope and Contents note			
Agency Appraisal Report, 1970			
Division of Criminal Justice Services, 1972-1973	box 2	folder 34	
Scope and Contents note			
16 quarterly reports, September 1972 - November 1973			
State Commission Against Discrimination, 1960	box 2	folder 35	
Scope and Contents note			
Program Meeting Report, 1960			
Education Department, Bulk, 1963-1965 Bulk, 1963-1965 1960, bulk 1963-1965, 1970-1973 (bulk)	box 2	folder 36	
Scope and Contents note			
Program Meeting Report, 1960; 6 quarterly reports, January 1963 - June 1964			
Education Department, Bulk, 1963-1965 Bulk, 1963-1965 1960, bulk 1963-1965, 1970-1973 (bulk)	box 2	folder 37	
Scope and Contents note			
6 quarterly reports, July 1964 - December 1965; Agency Appraisal Report, 1970			
Education Department, Bulk, 1963-1965 Bulk, 1963-1965 1960, bulk 1963-1965, 1970-1973 (bulk)	box 2	folder 38	

17 monthly reports, March 1971 - November 1973

Office of Education Performance Review, 1973	box 2	folder 39	
Scope and Contents note			
3 monthly reports, September - November 1973			
Board of Equalization and Assessment, 1963	box 2	folder 40	
Scope and Contents note			
1 quarterly report, January - March 1963			
Office of General Services, 1963-1966, 1970-1973	box 2	folder 41	
Scope and Contents note			
8 quarterly reports, January 1963 - December 1964			
Office of General Services, 1963-1966, 1970-1973	box 2	folder 42	
Scope and Contents note			
5 quarterly reports, January 1965 - March 1966			
Office of General Services, 1963-1966, 1970-1973	box 2	folder 43	
Scope and Contents note			
Agency Appraisal Report, 1970; 35 monthly reports, March 1971 - November 1973			
Department of Health, 1960, 1963-1966, 1971-1973	box 2	folder 44	
Scope and Contents note			
Program Meeting Report, 1960; 8 quarterly reports, January 1963 - December 1964			
Department of Health, 1960, 1963-1966, 1971-1973	box 2	folder 45	
Scope and Contents note			
5 quarterly reports, January 1965 - March 1966			
Department of Health, 1960, 1963-1966, 1971-1973	box 2	folder 46	
Scope and Contents note			
36 monthly reports, March 1971 - November 1973			
Division of Housing and Community Renewal, 1960, 1963-1965, 1970-1973	box 2	folder 47	

Program Meeting Report, 1960; 7 quarterly reports, January 1963 - March 1965; Agency Appraisal Report, 1970; 21 monthly reports, March 1971 - December 1973

Department of Labor, 1960, 1963-1966, 1970-1973

• •			
Division of Housing and Community Renewal - Office of Rent Administration, 1965-1966	box 2	folder 48	
Scope and Contents note			
3 quarterly reports, January 1965 - March 1966			
Related Archival Materials note: Related Archival Materials note			
See also Rent Commission.			
Commission/Division of Human Rights, 1963, 1970-1973	box 2	folder 49	
Scope and Contents note			
2 quarterly reports, first and third quarters 1963; Agency Appraisal Report, 1970; 35 monthly reports, March 1971 - November 1973			
Identification and Intelligence System, 1970-1972	box 3	folder 50	
Scope and Contents note			
Agency Appraisal Report, 1970; 19 monthly reports, March 1971 - August 1972			
Insurance Department, 1960, 1963-1965, 1970-1973	box 3	folder 51	
Scope and Contents note			
Program Meeting Report, 1960; 10 quarterly reports, January 1963 - June 1965			
Insurance Department, 1960, 1963-1965, 1970-1973	box 3	folder 52	
Scope and Contents note			
Agency Appraisal Report, 1970; 33 monthly reports, May 1971 - November 1973			
Department of Labor, 1960, 1963-1966, 1970-1973	box 3	folder 53	
Scope and Contents note			
Program Meeting Report, 1960; 7 quarterly reports, January 1963 - September 1964			

box 3

folder 54

6 quarterly reports, October 1964 - March 1966; Agency Appraisal Report, 1970

Department of Labor, 1960, 1963-1966, 1970-1973	box 3	folder 55	
Scope and Contents note			
36 monthly reports, March 1971 - November 1973			
Department of Law, 1963-1965	box 3	folder 56	
Scope and Contents note			
11 quarterly reports, January 1963 - September 1965			
Office for Local Government, Bulk, 1963-1965 Bulk, 1963-1965 1960, bulk 1963-1965, 1970-1973 (bulk)	box 3	folder 57	
Scope and Contents note			
Program Meeting Report, 1960; 10 quarterly reports, January 1963 - June 1965			
Office for Local Government, Bulk, 1963-1965 Bulk, 1963-1965 1960, 1963-1965, 1970-1973 (bulk)	box 3	folder 58	
Scope and Contents note			
Agency Appraisal Report, 1970; 36 monthly reports, March 1971 - November 1973			
Manpower Resources Commission, 1971	box 3	folder 59	
Scope and Contents note			
2 monthly reports, March - April 1971			
Board of Mediation, 1963	box 3	folder 0059A	
Scope and Contents note			
1 quarterly report, July-September 1963			
Department of Mental Hygiene, 1960, 1963-1966	box 3	folder 60	
Scope and Contents note			
Program Meeting Report, 1960; 10 quarterly reports, January 1963 - March 1966			
Department of Mental Hygiene, 1960, 1963-1966	box 3	folder 61	

33 monthly reports, March 1971 - November 1973

Division of Military and Naval Affairs, Bulk, 1963-1966 Bulk, 1963-1966 1960, bulk 1963-1966, 1970-1972 (bulk)	box 3	folder 62	
Scope and Contents note			
Program Meeting Report, 1960; 13 quarterly reports, January 1963 - March 1966; Agency Appraisal Report, 1970; 21 monthly reports, March 1971 - December 1972			
Division of Military and Naval Affairs, Bulk, 1963-1966 Bulk, 1963-1966 1960, bulk 1963-1966, 1970-1972 (bulk)	box 3	folder 63	
Scope and Contents note			
11 monthly reports, January-November 1973			
Department of Motor Vehicles, Bulk, 1963-1966 Bulk, 1963-1966 1960, bulk 1963-1966, 1970-1973 (bulk)	box 3	folder 64	
Scope and Contents note			
Program Meeting Report, 1960; 4 quarterly reports, January-December 1963			
Department of Motor Vehicles, Bulk, 1963-1966 Bulk, 1963-1966 1960, bulk 1963-1966, 1970-1973 (bulk)	box 3	folder 65	
Scope and Contents note			
4 quarterly reports, January-December, 1964			
Department of Motor Vehicles, Bulk, 1963-1966 Bulk, 1963-1966 1960, bulk 1963-1966, 1970-1973 (bulk)	box 3	folder 66	
Scope and Contents note			
5 quarterly reports, January 1965 - March 1966			
Department of Motor Vehicles, Bulk, 1963-1966 Bulk, 1963-1966 1960, bulk 1963-1966, 1970-1973 (bulk)	box 3	folder 67	
Scope and Contents note			
Safety Car Program Feasibility Study, 1966			
Department of Motor Vehicles, Bulk, 1963-1966 Bulk, 1963-1966 1960, bulk 1963-1966, 1970-1973 (bulk)	box 3	folder 68	

Agency Appraisal Report, 1970; 36 monthly reports, March 1971 - November 1973

Narcotic Addiction Control Commission, 1971-1973	box 3	folder 69	
Scope and Contents note			
34 monthly reports, March 1971 - November 1973			
Division of Parole, Bulk, 1963-1965 Bulk, 1963-1965 1960, bulk 1963-1965, 1970 (bulk)	box 4	folder 71	
Scope and Contents note			
Program Meeting Report, 1960; 6 quarterly reports, January 1963 - June 1965; Agency Appraisal Report, 1970			
Office of Parks and Recreation, 1970-1973	box 3	folder 70	
Scope and Contents note			
Program Appraisal Report, 1970; 25 monthly reports, March 1971 - December 1973			
Division of Probation, 1972-1973	box 4	folder 72	
Scope and Contents note			
10 monthly reports, November 1972 - December 1973			
Division of Public Information, 1963	box 4	folder 73	
Scope and Contents note			
1 quarterly report, July-September 1963			
Public Service Commission, Bulk, 1963-1966 Bulk, 1963-1966 1960, bulk 1963-1966, 1970-1971 (bulk)	box 4	folder 74	
Scope and Contents note			
Program Meeting Report, 1960; 13 quarterly reports, January 1963 - March 1966; Agency Appraisal Report, 1970; 1 monthly report, October 1970			
Department of Public Works, 1960, 1963-1965	box 4	folder 75	
Scope and Contents note			
Program Meeting Report, 1960; 8 quarterly reports, January 1963 - December 1964			
Department of Public Works, 1960, 1963-1965	box 4	folder 76	

4 quarterly reports, January-December 1965

Department of Public Works - Route 87, 1964

box 4

folder 77

**Scope and Contents note** 

1 monthly report, February 1964

Office for Regional Development, 1963

box 4

folder 78

**Scope and Contents note** 

1 quarterly report, January-March 1963

Rent Commission, 1960, 1963-1964

box 4

folder 79

**Scope and Contents note** 

Program Meeting Report, 1960; 6 quarterly reports, January 1963 - June 1964

Related Archival Materials note:

**Related Archival Materials note** 

See also Division of Housing and Community Affairs, Office of Rent Administraton.

Department of State, 1960, 1963-1966, 1970-1971, 1973

box 4

folder 80

**Scope and Contents note** 

Program Meeting Report, 1960; 8 quarterly reports, January 1963 - December 1964

Department of State, 1960, 1963-1966, 1970-1971, 1973

box 4

folder 81

**Scope and Contents note** 

5 quarterly reports, January 1965 - March 1966; Agency Appraisal Report, 1970; 2 monthly reports, March 1971 and January 1973

Division of State Police, Bulk, 1963-1966 Bulk, 1963-1966 1960, bulk 1963-1966, 1970-1973 (bulk)

box 4

folder 82

**Scope and Contents note** 

Program Meeting Report, 1960; 9 monthly reports, January 1963 - March 1965

Division of State Police, Bulk, 1963-1966 Bulk, 1963-1966 1960, bulk 1963-1966, 1970-1973 (bulk)

box 4

folder 83

1 quarterly report, April-June 1965

Division of State Police, Bulk, 1963-1966 Bulk, 1963-1966 1960, bulk 1963-1966, 1970-1973 (bulk)

box 4

folder 84

**Scope and Contents note** 

3 quarterly reports, July 1965 - March 1966

Division of State Police, Bulk, 1963-1966 Bulk, 1963-1966 1960, bulk 1963-1966, 1970-1973 (bulk)

box 4

folder 85

**Scope and Contents note** 

Agency Appraisal Report, 1970; 35 monthly reports, March 1971 - November 1973

State University of New York, 1960, 1970-1973

box 4

folder 86

**Scope and Contents note** 

Program Meeting Report, 1960; 1 quarterly report, January-March 1963; Agency Appraisal Report, 1970; 28 monthly reports, March 1971 - November 1973

Department of Social Services, 1970-1973

box 4

folder 87

**Scope and Contents note** 

Agency Appraisal Report, 1970; 36 monthly reports, March 1971 - November 1973

General note

formerly Department of Social Welfare

Department of Social Welfare, 1960, 1963-1966

box 4

folder 88

**Scope and Contents note** 

Program Meeting Report, 1960; 4 quarterly reports, January-December 1963

General note

later Department of Social Services

Department of Social Welfare, 1960, 1963-1966

box 4

folder 89

**Scope and Contents note** 

4 quarterly reports, January-December 1964

### **General note**

later Department of Social Services

Department of Social Welfare, 1960, 1963-1966	box 4	folder 90	
Scope and Contents note			
5 quarterly reports, January 1965 - March 1966			
General note			
later Department of Social Services			
Department of Taxation and Finance, Bulk, 1963-1966 Bulk, 1963-1966 1960, bulk 1963-1966, 1970-1973 (bulk)	box 4	folder 91	
Scope and Contents note			
Program Meeting Report, 1960; 12 quarterly reports, January 1963 - December 1965			
Department of Taxation and Finance, Bulk, 1963-1966 Bulk, 1963-1966 1960, bulk 1963-1966, 1970-1973 (bulk)	box 5	folder 92	
Scope and Contents note			
1 quarterly report, January-March 1966; Agency Appraisal Report, 1970; 36 monthly reports, March 1971 - December 1973			
Department of Taxation and Finance, Bulk, 1963-1966 Bulk, 1963-1966 1960, bulk 1963-1966, 1970-1973 (bulk)	box 5	folder 93	
Scope and Contents note			
Specifications for an Electronic Data Processing System, 1961			
Thruway Authority, 1966	box 5	folder 94	
Scope and Contents note			
1 quarterly report, April-June 1966			
Department of Transportation, 1963-1966, 1970-1973	box 5	folder 95	
<b>Scope and Contents note</b>			
13 quarterly reports, January 1963 - March 1966; Agency Appraisal Report, 1970; 10 monthly reports, March-December 1971			
Department of Transportation, 1963-1966, 1970-1973	box 5	folder 96	

26 monthly reports, January 1972 - December 1973

box 5	folder 97	
box 5	folder 98	
box 5	folder 99	
box 5	folder 100	
box 5	folder 101	
box 5	folder 102	
box 5	folder 103	
box 5	folder 104	
	box 5  box 5  box 5  box 5	box 5 folder 99  box 5 folder 100  box 5 folder 101  box 5 folder 102

Cover memos sent with quarterly reports from Dr. William J. Ronan, Secretary to the Governor, to Governor Rockefeller

Forwarding Memos and Summaries, 1971-1973

box 5

folder 105

#### **Scope and Contents note**

Cover memos and summaries of monthly reports from T. Norman Hurd, Secretary to the Governor, to Governor Rockefeller. Some include marginal notes by NAR.

Forwarding Memos and Summaries, 1971-1973

box 5

folder 106

#### **Scope and Contents note**

Cover memos and summaries of monthly reports from T. Norman Hurd, Secretary to the Governor, to Governor Rockefeller. Some include marginal notes by NAR.

Forwarding Memos and Summaries, 1971-1973

box 5

folder 107

#### **Scope and Contents note**

Cover memos and summaries of monthly reports from T. Norman Hurd, Secretary to the Governor, to Governor Rockefeller. Some include marginal notes by NAR.