

Rockefeller (Nelson A.) Gubernatorial records, Departmental Reports, Series 28

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Summary Information

Repository: Rockefeller Archive Center

Creator - ctb: Rockefeller, Nelson A. (Nelson Aldrich)

Title: Nelson A. Rockefeller gubernatorial records, Departmental Reports,

Series 28

ID: FA370

Date [inclusive]: 1960-1973

Physical Description: 5 record cartons

Language of the

English

Material:

Preferred Citation note

Information regarding the Rockefeller Archive Center's preferred elements and forms of citation can be found at http://www.rockarch.org/research/citations.php

Biographical/Historical note

Nelson A. Rockefeller served as governor of New York from January 1, 1959, to December 18, 1973. He was the first person elected to four consecutive four-year terms as a state governor.

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Scope and Contents note

NOTE TO RESEARCHERS

This material in this series documents the official activities of departments, divisions, and agencies of the executive branch of the New York state government during Nelson A. Rockefeller's governorship. The actions, decisions, and exchanges of information or points of view documented here occurred in the course of proposing and implementing policies for the Rockefeller administration. However, unless

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a document is personally signed by Nelson A. Rockefeller, or is referred to in a document personally signed by him, it should not be assumed that he saw the document or was aware of its existence or substance. Furthermore, researchers should bear in mind that these files may contain documents addressed to Rockefeller which were never shown to him.

SCOPE AND CONTENT

This series consists of periodic reports prepared by departments and agencies of the New York state government for Gov. Nelson A. Rockefeller. The reports are of four different types, from four different periods representing each of Governor Rockefeller's four administrations. In general the reports offer an assessment of each department's responsibilities, goals, performance, problems, and needs. Each of the four different report types is found for most, but not all, of the departments. The four types of reports are as follows:

Program Meeting Report, 1960. Issued in late 1960, these were the result of meetings by representatives of each department with the Secretary to the Governor and the Counsel to the Governor. The purpose of the meeting was to identify the major issues each department would face in the coming year and to define any legislative and budgetary support necessary to meet the issues.

Quarterly Reports, 1963-1966. These were initiated by Governor Rockefeller in March 1963 in response to a fiscal crisis and a "taxpayer revolt" which affected the operation of all departments and construction programs. The reports were to include a one-page "Commissioner's statement," the status of major programs, emergency situations and other unusual developments, and brief summaries of recent research, publications, and management improvement plans. Many of the reports include charts, tables, other graphics, and appendices of departmental publications. The reports were submitted to Dr. William J. Ronan, Secretary to the Governor. Dr. Ronan's staff of Program Associates reviewed the reports and wrote one-page summaries highlighting the most important points and sometimes offering comments on the quality of the report. Copies of the reports, summaries, and a cover letter from Dr. Ronan were then forwarded to Governor Rockefeller, Lt. Gov. Malcolm Wilson, Budget Director Dr. T. Norman Hurd, and Counsel to the Governor Sol Neil Corbin (later Robert R. Douglass). Governor Rockefeller's handwritten comments are found on a few of these reports. The reports begin with the first quarter of 1963. Most agencies reported through the first quarter of 1966. The reason for terminating the reports is not found in this series. Further information on the guidelines for these reports can be found in folder 102, "Reporting Format."

Agency Appraisal Reports, 1970. These reports represent a self-analysis by each agency defining the agency's purpose, the status of its current programs, and its future plans and needs. They are similar to the Program Meeting Reports, but with more depth. The Appraisal Reports were compiled in the summer of 1970 and together they form a detailed snapshot of the executive branch of the New York state government.

Monthly Reports, 1971-1973. Actually issued every four weeks, these reports are short narratives written in memo form by each agency head. The average length is three pages, with no graphics and few appendices. The focus is on immediate issues and activities. The reports were sent to T. Norman Hurd (Director of State Operations, January 1, 1971-August 31, 1972, Secretary to the Governor, September 1, 1972-1975) who reviewed them and identified a few key points from each report in a summary to Governor Rockefeller. Up to a dozen reports were sent to Governor Rockefeller at a time with a one page summary covering all the reports. Points highlighted in the summary were keyed to numbered tabs

attached to the reports. These summaries are filed separately in folders 105-107, "Forwarding Memos and Summaries." Many of the summaries bear Governor Rockefeller's handwritten comments. These reports began in March 1971 and the last ones are dated late November or early December 1973, just before Governor Rockefeller's resignation on December 18.

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Arrangement note

The reports are arranged alphabetically by department and then chronologically thereunder.

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File Plan note

Former Classification: III 15 28

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Administrative Information

Publication Statement

Rockefeller Archive Center

15 Dayton Avenue Sleepy Hollow 10591 archive@rockarch.org

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Revision Description

Conditions Governing Use note

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Processing Information note

Processing Level 3: Standard Processing.

Processing Information note

Harold W. Oakhill September 1999

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Controlled Access Headings

- Presidential campaigns -- United States
- Political science
- New York (State)
- Political campaigns -- United States
- Legislation -- New York (State)
- New York (N.Y.)
- International relations -- United States
- Latin America
- International economic relations
- International relations
- Governors -- Election -- New York (State) -- 1970
- Human rights
- Arms control
- Affirmative action programs -- New York (State)
- Civil defense
- Arms control -- United States
- Civil service
- Civil defense -- United States
- Federal government -- United States

- Government policy
- Civil service -- New York (State)
- Economics
- Governors -- Election -- New York (State) -- 1966
- Presidential candidates -- United States -- History -- 20th century
- Governors -- Election -- New York (State) -- 1958
- Governors -- Election -- New York (State) -- 1962
- State governments -- United States
- United States
- Public policy
- Public relations
- Vice-Presidents -- United States

Other Finding Aids note

Detailed finding aids for each series of this collection are available:

Nelson A. Rockefeller Gubernatorial Records, Appointments Office (FA353)

Nelson A. Rockefeller Gubernatorial Records, Assembly and Senate Memos (FA354)

Nelson A. Rockefeller Gubernatorial Records, Joseph H. Boyd (FA355)

Nelson A. Rockefeller Gubernatorial Records, James Cannon (FA356)

Nelson A. Rockefeller Gubernatorial Records, Affirmative Action (N. Lee Cook) (FA357)

Nelson A. Rockefeller Gubernatorial Records, Counsel's Office (FA358)

Nelson A. Rockefeller Gubernatorial Records, New York State Committee to Reelect the President (FA359)

Nelson A. Rockefeller Gubernatorial Records, Jerry A. Danzig (FA360)

Nelson A. Rockefeller Gubernatorial Records, Executive Chamber (FA361)

Nelson A. Rockefeller Gubernatorial Records, 55th Street (FA362)

Nelson A. Rockefeller Gubernatorial Records, Issue Books (FA363)

Nelson A. Rockefeller Gubernatorial Records, Arthur Massolo (FA364)

Nelson A. Rockefeller Gubernatorial Records, Hugh Morrow (FA242)

Nelson A. Rockefeller Gubernatorial Records, New York Office (FA365)

Nelson A. Rockefeller Gubernatorial Records, Civil Service Resolutions (Charles H. Palmer) (FA366)

Nelson A. Rockefeller Gubernatorial Records, Political Files (FA367)

Nelson A. Rockefeller Gubernatorial Records, Press Office (FA368)

Nelson A. Rockefeller Gubernatorial Records, Public Relations (FA369)

Nelson A. Rockefeller Gubernatorial Records, William J. Ronan (FA371)

Nelson A. Rockefeller Gubernatorial Records, Speeches (FA372)

Nelson A. Rockefeller Gubernatorial Records, Diane Van Wie (FA373)

Nelson A. Rockefeller Gubernatorial Records, Ann C. Whitman, Gubernatorial (FA374)

Nelson A. Rockefeller Gubernatorial Records, Gene Wyckoff (FA375)

Nelson A. Rockefeller Gubernatorial Records, New York Republican State Fund Raising Reports (FA376)

Collection Inventory

Title/Description	Instances		
Adirondack Park Agency, 1973	box 1	folder 1	
Scope and Contents note			
9 monthly reports, March-November 1973			
Office for the Aging, 1970-1973	box 1	folder 2	
Scope and Contents note			
Agency Appraisal Report, 1970; 1 semi-annual report, 1972; 19 monthly reports, March 1971 - November 1973			
Department of Agriculture and Markets, 1960, 1963-1966, 1970-1973	box 1	folder 3	
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Department of Agriculture and Markets, 1960, 1963-1966, 1970-1973	box 1	folder 4	

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Program Meeting Report, 1960; 12 quarterly reports, January 1963 - March 1966			
Division of Alchoholic Beverage Control/State Liquor Authority, 1960, 1963-1966, 1971-1973	box 1	folder 7	
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Scope and Contents note			
Agency Appraisal Report, circa 1970			
New York State Council on the Arts, 1970-1973	box 1	folder 9	
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Agency Appraisal Report, 1970; 11 monthly reports, March 1971 - April 1973			
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Scope and Contents note			
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New York State Atomic Research and Development Authority, 1963	box 1	folder 11	
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Bingo Control Commission, 1963	box 1	folder 14	
Scope and Contents note			
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Program Meeting Report, 1960; 8 quarterly reports, January 1963 - December 1964; Agency Appraisal Reports, 1970; 8 monthly reports, March 1971 - December 1971			
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Related Archival Materials note			
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See also Division of Housing and Community Affairs, Office of Rent Administraton.			
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Scope and Contents note

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box 4

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Department of Social Services, 1970-1973

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formerly Department of Social Welfare

Department of Social Welfare, 1960, 1963-1966

box 4

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Program Meeting Report, 1960; 4 quarterly reports, January-December 1963

General note

later Department of Social Services

Department of Social Welfare, 1960, 1963-1966

box 4

folder 89

Scope and Contents note

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General note

later Department of Social Services

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Scope and Contents note

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General note

later Department of Social Services

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Division of Youth, 1963-1965, 1970, 1972-1973	box 5	folder 101	
Scope and Contents note			
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Directives to state departments and agencies outling the procedures and format for filing quarterly reports, 1963-1966, and Agency Appraisal Reports, 1970

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Annual check list of quarterly reports received from state departments and agencies			
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Scope and Contents note			
Cover memos sent with quarterly reports from Dr. William J. Ronan, Secretary to the Governor, to Governor Rockefeller			
Forwarding Memos and Summaries, 1971-1973	box 5	folder 105	
Scope and Contents note			
Cover memos and summaries of monthly reports from T. Norman Hurd, Secretary to the Governor, to Governor Rockefeller. Some include marginal notes by NAR.			
Forwarding Memos and Summaries, 1971-1973	box 5	folder 106	
Scope and Contents note			
Cover memos and summaries of monthly reports from T. Norman Hurd, Secretary to the Governor, to Governor Rockefeller. Some include marginal notes by NAR.			
Forwarding Memos and Summaries, 1971-1973	box 5	folder 107	

Scope and Contents note

Cover memos and summaries of monthly reports from T. Norman Hurd, Secretary to the Governor, to Governor Rockefeller. Some include marginal notes by NAR.