



Ford Foundation records, Education, Media, Arts and Culture (EMAC) - Education, Knowledge, and Religion Unit (EKR), Office Files of Toby Alice Volkman

This finding aid was produced using ArchivesSpace on October 27, 2017.

English

Describing Archives: A Content Standard

Rockefeller Archive Center

15 Dayton Avenue

Sleepy Hollow 10591

archive@rockarch.org

URL: <http://www.rockarch.org>

Table of Contents

Summary Information	3
Biographical note	4
Scope and Contents	12
Arrangement	12
Administrative Information	13
Collection Inventory	14
Crossing Borders Initiative	14
African Studies Initiative	16
Other Grants and Subjects	17

Summary Information

Repository:	Rockefeller Archive Center
Creator:	Ford Foundation
Title:	Ford Foundation records, Education, Media, Arts and Culture (EMAC) - Education, Knowledge, and Religion Unit (EKR), Office Files of Toby Alice Volkman
ID:	FA712
Date [inclusive]:	1982-2001
Physical Description:	10.8 Cubic Feet
Language of the Material:	English
Abstract:	The Office Files of Toby Alice Volkman, Program Officer in the Ford Foundation's Education, Knowledge and Religion unit from 1995-2001, document her oversight of grants to support teaching, scholarship and publications in the field of international and area studies. The collection spans 1982-2001 and consists of correspondence, memoranda, reports, grant proposals, meeting materials and publications. Of particular interest are records pertaining to the Crossing Borders initiative, launched in 1997 under Volkman's leadership, and files from the Foundation's African Studies initiative. The files were transferred to the Ford Foundation Archives in September 2005. The arrangement of the collection follows the original order established by Volkman, though a few unfolded and stray items have been interfiled by the processing archivist. A significant number of duplicate reports and memos were removed and discarded.

Preferred Citation

Information regarding the Rockefeller Archive Center's preferred elements and forms of citation can be found at <http://www.rockarch.org/research/citations.php>.

[^ Return to Table of Contents](#)

Biographical note

Toby Alice Volkman joined the Ford Foundation in 1994 as a consultant to the Education and Culture division. She was appointed Program Officer in the same area in 1995, and under a 1996 reorganization was assigned to the Education, Knowledge and Religion unit of the Education, Media, Arts and Culture program. Volkman was responsible for grantmaking to support teaching, scholarship and publications in the field of international and area studies.

In 1997, the Ford Foundation launched a major initiative under Volkman's leadership. "Crossing Borders: Revitalizing Area Studies" provided \$25 million over six years to enhance research and teaching about particular regions of the world and global processes that impact them. In the first phase of this initiative, Volkman oversaw 30 grants to U.S. academic institutions. In addition, a new Worldwide Fund for Area Studies supported collaborations between American colleges, universities, and institutional counterparts in Africa, Asia, Latin America, the Middle East, and Russia.

Volkman also worked extensively on a Ford Foundation African Studies initiative, which supported scholarship and research in this field during the late 1990s. Her grantmaking also included support for numerous academic programs related to Southeast Asia studies. Volkman completed her assignment at the Ford Foundation in 2001. She was later a Visiting Scholar in the Department of Anthropology at New York University, and became deputy provost of New School University in 2004.

Volkman holds a B.A. degree from the University of Chicago (1969) and a Ph.D. in Anthropology from Cornell University (1980). Her dissertation focused on the cultural anthropology of the Indonesian island of Sulawesi. From 1980-1985, Volkman was Staff Anthropologist at Documentary Educational Resources. Her book *Feasts of Honor: Ritual and Change in Tana Toraja* was published by the University of Illinois in 1985. She was Director, South and Southeast Asia Programs of the Social Science Research Council from 1986-1994.

[^ Return to Table of Contents](#)

Organizational history

Ford Foundation grants to academic and research institutions working to broaden and organize knowledge about specific world regions dates from the 1950s. The Foundation was a primary force behind the establishment of area studies programs in the United States, and granted hundreds of millions of dollars to support graduate scholarship through its International Training and Research Program. In later years, the Ford Foundation maintained its commitment to the field by funding the Social Science Research Council and the American Council of Learned Societies, which in turn supported area studies scholars and programs.

"Crossing Borders: Revitalizing Area Studies" was a special initiative launched by the Ford Foundation in 1997. Drawing upon the Foundation's deep experience with support of scholarship focused on

world regions, this initiative sought to reinvigorate the field, to stimulate a critical rethinking of its parameters, and to encourage broader funding by government, universities and philanthropies. This effort was, in part, a response to intellectual critiques of area studies motivated by demographic shifts, the internationalization of scholarship and the popularity of multiculturalism and post-colonial theory. At the same time, dwindling institutional support for language study, travel and other components of area studies weakened programs and underscored the need for fresh philanthropic commitment to the field.

Crossing Borders began with thirty grants of \$50,000 each to support area studies programs in a wide range of institutions. Eventually, more than fifty Crossing Borders grants were made, running through 2005. Funded projects included Duke University's "Oceans Connect," which fostered research on cultural and economic linkages across maritime basins, a faculty-student research group at Colby College charged with restructuring the institution's junior year abroad program, and research collaborations between Hunter College students and faculty and the staff of several New York-based human rights NGOs. From 1997-2001, Crossing Borders was headed by Ford Foundation Program Officer Toby Alice Volkman. It was a special initiative of the Education, Knowledge and Religion unit of the Foundation's Education, Media, Arts and Culture division.

[^ Return to Table of Contents](#)

Biographical / Historical

Segment One: International Activities of the Ford Foundation: An Overview

The Foundation's aspiration to become a national and international philanthropy for the advancement of human welfare was first formally expressed in the seminal 1949 report of the Gaither Study Committee, *Report of the Study for the Ford Foundation on Policy and Program* (RAC Library, call letters 361.7 GAI), which was commissioned by the Board of Trustees to chart the Foundation's future. Foundation Trustees launched Ford's international grantmaking activities in 1950 when they approved the committee's report and its embrace of peace, democracy, and freedom. Since then, the Foundation has tackled these goals using a variety of strategies and responding to changing contexts, from the Cold War to the 1989 fall of the Berlin Wall and beyond.

Toward the Foundation's aspirational goal, "the establishment of peace", its international activities have comprised a wide range of conceptual approaches and focus areas. These include international affairs, international studies, international understanding, arms control and disarmament, international law, international economic concerns, and overseas development in nearly every region of the world. Three distinct periods emerge for the international grantmaking defined by external contextual changes and internal changes in Foundation leadership and structures: the expansion era of 1950-1965; the transition and restructuring years of 1966-1988; and the post-1989 shift away from Cold War dichotomies. During each of the distinct historical periods the consistent objectives were: 1) to ensure freedom and democracy in developed countries; 2) to foster education and international understanding in all countries; and 3) to contribute to the social, economic, and political development of less developed countries.

[^ Return to Table of Contents](#)

Biographical / Historical

Segment 3. Period Sketch - Transition and Restructuring 1966-1988

In the 1960s, the Ford Foundation Trustees began to rethink the role of the Foundation in the context of the era's dramatic political and cultural changes. For instance, the passage of the 1964 Civil Rights Act created a domestic policy context in which the Foundation could more deeply engage with issues of rights and social justice. Events overseas starting in the late 1960s would extend the rights agenda to international affairs and development operations at the Foundation -- all advanced by the leadership of McGeorge Bundy (president, 1966-1979).

McGeorge Bundy was appointed president in 1966, following a significant career in national security and academic administration. During World War II, he worked with the Army's intelligence division, and in the early post-war years was a political analyst at the Council on Foreign Relations. Even without a postgraduate degree, at the age of thirty-four he became dean of the Faculty of Arts and Science at Harvard University. In 1961, President Kennedy appointed Bundy to be Special Assistant to the President for National Security - a position he held for five years under both Kennedy and Johnson. Those significant years saw the Bay of Pigs invasion, the Cuban Missile Crisis, and the beginnings of the Vietnam War.

When Bundy arrived at the Foundation, he initiated a restructuring that modeled government departments rather than the academic ones President Henry Heald had established in the 1950s. At the same time, he had to contend with serious financial constraints due to Ford's overspending in the prior periods and to economic downturns in the global economy. The Board of Trustees mandated an annual spending of over \$100 million.

These economic constraints meant that Foundation programs had to be more selective across the range of its programs. Nonetheless, international activities remained prominent with the new president and trustees. President Bundy and key trustees such Eugene Black (1960-1968, former head of the World Bank) and Robert S. McNamara (1968-1986, former U.S. Secretary of Defense and then head of the World Bank, 1968-1981) reflected a commitment to the international activities. Bundy also added to the board in 1972 the first trustee from a developing country, the Indonesian activist and scholar, Dr. Soedjakmoto, the former Ambassador to the United States from his country and then Special Adviser on Social and Cultural Affairs to the Chairman of Indonesia's National Development Planning Agency.

To create economies of scale, Bundy unified US-based international and overseas activities under one division: the International Division (using the name for the first time). That division comprised Resources for Development (area studies, languages, and exchanges), Population, International Relations, Planning and Evaluation, and the country programs. David Bell, an economist and the first administrator of the US Agency for International Development (1962-1966), was named vice president and served in that capacity until the end of Bundy presidency.

In 1966, Bundy terminated the long-standing internationally oriented but domestically based International Training and Research Program. Several large domestic programs -- including support of centers of international studies - were phased out in the 1970s. Instead, Ford launched under its international affairs efforts a focused but robust program in security and arms control. Over Bundy's tenure, the overseas offices were reduced from twenty in 1966 to twelve in 1979, when he retired.

The Foundation increasingly turned its attention to different set of international issues including human rights and working in repressive societies, such as South Africa. The military coups in Latin America during the late 1960s and early 1970s led the Foundation to initiate in 1976 a human rights program housed in Vice President Bell's office.

In 1979, Franklin Thomas was named president of the Ford Foundation (1979-1996). In contrast to Bundy's international and defense policy background, Franklin Thomas brought to the Ford Foundation his experience in law, housing, and community development. Prior to joining the Foundation, Thomas, a lawyer, was a Foundation trustee. He chaired the Rockefeller Foundation-funded Study Commission on U.S. Policy towards South Africa and led the Ford-funded Bedford-Stuyvesant Restoration Corporation in New York from its beginning in 1966 until he left in 1979. Earlier, he had been deputy police commissioner of NYC.

In the first decade of Thomas' tenure, the international work was still framed using the post-war East-West dichotomy. Several trustees brought to the board active engagement in international issues: Donald F. McHenry (trustee over the period 1981-1993) had served as ambassador to the U.N. and was active in the anti-apartheid movement; and General Olusegun Obasanjo (trustee over the period 1987-1999) had been Nigerian head of state from 1976-1979, and was then president of the African leadership Forum. Along with McNamara and Soedjakmoto, Rodrigo Botero, an internationally renowned economist from Colombia and former Colombian Minister of Finance and Credit from 1974 to 1976, remained on the board over the period 1978-1989.

During the early years of the Thomas presidency, the scope and strategies of the Foundation's activities were also influenced by economic and global pressures, leading to reduced assets and further financial stringencies. Thomas' board-mandated reductions resulted, for example, the firing of twenty senior staff at the same time. To achieve more effective and efficient programs, Thomas had a vision of Ford as one foundation, linking the domestic and overseas activities under new program themes: Urban Poverty, Rural Poverty and Resources, Human Rights and Social Justice, Governance and Public Policy, Education and Culture, and International Affairs.

The restructured Foundation comprised two programmatic divisions led by vice presidents: U.S. and International Affairs Programs (USIAP) headed by Susan Berresford; and Developing Countries Program (DCP), headed by William Carmichael. Berresford had been at the Foundation since 1970, coming from the U.S. Manpower Career Development Agency. She served in the National Affairs area, first as program assistant and then program officer (1972-1980), becoming head of women's programs in 1980. The main international efforts under USIAP were housed in Rural Poverty and Resources, Human Rights and Governance, and International Affairs. The other programs - Urban Poverty, Education and Culture, and Program-Related Investments - also addressed a scattering of international issues related to their main themes.

Carmichael had joined the Foundation in 1968 as Representative in Brazil. In July 1971, he was named Head of the Office of Latin America and the Caribbean, and in September of 1977, he became Head of the Middle East and Africa Office. The DCP program was responsible for all the Field Offices: Andean and the Southern Cone, Brazil, Mexico and Central America, Bangladesh, India, Southeast Asia, West Africa, Eastern and Southern Africa, and Middle East and North Africa.

When the Foundation initiated a Human Rights and Governance program (HR&G) in 1981, it was the first instance of a Ford program explicitly entitled "human rights," despite the fact that human rights grantmaking had started officially in 1976. International Affairs remained separate from HR&G. In 1987, however, the Board conceptually linked three programs, creating a Trustee committee called Human Rights, Governance, and International Affairs, which existed until 1992. Operationally, however, the programs relating to these fields did not often work together.

International governance remained a commitment under Thomas. The Foundation, for example, had a long-established relationship with the United Nations: from 1951 until 1988, the United Nations received ninety grants from the Foundation (it received another 198 over the period 1989-2009).

In these early years of the Thomas presidency, dramatic changes were occurring in the Soviet Union and Eastern Europe. Under President Mikhail Gorbachev's leadership since 1985, the USSR was opening up to the international community, the rule of law in society was taking prominence there, and press freedoms were spreading. The foundation developed new programs in the region, drawing on the \$60 million already spent to promote human rights and free expression and increase Western understanding of developments there.

Given the continuing economic constraints facing the Foundation and rising debt in developing countries, the Foundation shifted support under DCP to smaller scale community-based initiatives in the field offices. Thomas was also charged by the Board to increase the diversity of grantees, especially to favor populations "most affected" by the problems of concern to the Ford Foundation. The Foundation built on earlier efforts and sharpened its focus on women's issues throughout the world, including shifting the focus of the population program to women's reproductive health and child survival.

In the 1980s, Carmichael and others, with strong support from Thomas and the board, continued the 1970s' support of South African grantees for training large numbers of black lawyers and litigating sensitive cases in the South African legal system. Recognizing the multifaceted nature of discrimination in South Africa, the Foundation not only advanced the rule of law, but also strengthened civic organizations, women's groups, and educational institutions. Further, it supported a number of activist organizations in the United States that were energetically advocating US governmental sanctions against South Africa and for private disinvestment. The Foundation also played a role in shaping US policy on apartheid through the role Thomas played from 1985 to 1987 chairing the US Secretary of State's Advisory Committee on South Africa.

The Foundation's overseas staff also sought to improve the economic situation in poor, marginalized communities through targeted loan programs particularly to women head of households, beginning with the innovative work in Bangladesh of Professor Mohammed Yunus, the founder of the Grameen Bank. The results led the way to establish the field of microfinance with the aim of empowering women living in poverty conditions, such as through a microfinance network in Latin America starting 1980 and a global lending program for women starting in 1987.

Thomas encouraged staff to share results at worldwide meetings. During those meetings, Ford staff in the country offices and in New York tried to follow the mandate to work as "one foundation." The persistent challenge toward meeting that goal, however, was that initiatives emerging from the New York-based programs, or indeed any program developed in one country, were not always adaptable, relevant or acceptable in other countries or regions. It remained a challenge to develop a unified program, despite the commitment at the highest level of foundation leadership.

[^ Return to Table of Contents](#)

Biographical / Historical

Segment 3. Period Summary - Transition and Restructuring 1966-1988

During this era, the Foundation's earlier interest in business and the economy evolved into a commitment to improving conditions for people living on the margins of society. The Ford Foundation promoted advancements in women's rights around the globe and introduced micro-lending into grantmaking. At the same time, the economic issues that were shaping program strategies also affected the Foundation's assets. Severe cuts resulted in a significant restructuring of country programs and reduced budgets across all programs.

Even with the cuts in country offices, in the 1970s Ford grantees in developing countries received approximately 80% of the International Division budget. The remaining fifth went to Population, Development Studies, and International Affairs. Although representing a much smaller piece, the International Security and Arms Control program from 1973 was the Foundation's most concerted effort to make meaningful inroads in disarmament and nuclear issues - those challenges most directly linked to the Foundation's historic concern for peace. By 1979, the Ford Foundation was the biggest funder of arms control as a field, both in the U.S. and overseas.

[^ Return to Table of Contents](#)

Biographical / Historical

Segment 4. Period Sketch - The Post-1989 Shift Away from Cold War Dichotomies

Between 1989 and 1991, dramatic changes in the external international conditions created a significantly different context for Ford Foundation's international activities both in the United States and overseas. In November, 1989, the Berlin Wall fell. In February 1990, in South Africa, Nelson Mandela was released from prison. In December 1991, the Soviet Union dissolved. As a consequence, the Cold War was over, Western Europe no longer had a special strategic role in the Foundation, and program-related activities in Eastern Europe and the former Soviet Union multiplied. The Foundation not only continued the earlier

efforts to fund leadership in the region; from 1990-1994, Ford also spent \$1 million on the training of newly-elected parliamentarians in Eastern Europe and the former USSR. By 1993, half of the funds for grantmaking related to the former Soviet bloc were going to in-country individuals and institutions.

In 1989, Thomas also instituted a major restructuring of the Foundation to fulfill his vision of "One Foundation." All programs were put into one division led by Vice President Susan Berresford. Carmichael joined the Institute of International Education to run a program on Soviet and Eastern European Affairs and then higher education in South Africa. All the Foundation grant programs - domestic and international - reported to Berresford: Africa and the Middle East (AME, formerly MEA), Asia (ASIA), Latin American and the Caribbean (LAC/OLAC), Human Rights and Governance (HRG), Education and Culture (EC), Program-Related Investments (PRI), and International Affairs (IA).

The change in South Africa opened up many new opportunities to work in partnership with a range of South Africa partners to promote and sustain the transition to democracy. The Foundation supported women's groups working on law, development and reproductive rights. It contributed, for example, to the groups working on the Women's Chatter in South Africa, resulting in the adoption in 1994 of the first constitution anywhere that specifically included sections on women's rights.

During the 1990s, the promising political changes in African countries and elsewhere provided a positive perspective to counteract the continuing economic constraints facing the Foundation and the rising debt in developing countries. Both to reinforce local democratic initiatives and to address its economic challenges, the Foundation's field offices across Africa and the developing world shifted their support toward the promotion of smaller scale community-based initiatives.

Thomas supported work on arts and culture, not as a goal in itself, but, instead, as a means for promoting social justice, education, and human rights, both in the United States and in developing countries. At the same time, while reducing support for cultural institutions in the United States, such institutions received support overseas to preserve and celebrate national and local cultural heritages. While in South Africa, for example, the Foundation provided support for Johannesburg anti-apartheid theatre groups, in West Africa it funded for nearly two decades a program to preserve and make accessible cultural heritage by expand the training of museum leaders and strengthening museum exhibit and outreach capabilities.

In the early 1990s, the Foundation through its India office helped establish an independent foundation to enhance sustainability in the arts community. Ford envisioned new opportunities for cultural institution to rely on local resources focus on new forms of creativity and encourage young artists, in particular. Similar to the Indian effort, the Ford office in Egypt in 1993 provided support for an arts-focused foundation.

When Susan Berresford became president in 1996, with a strong commitment to globalization as well as concern for the uncertainty it created, she reorganized the Foundation in to three large program themes that would inform grantmaking in the United States and developing countries: Assets with a focus on poverty, Peace and Social Justice on rights issues, and Education, Media, Arts and Culture to pull together related endeavors. She also created a separate communications program. Each program was led by a vice president. Berresford strongly reinforced the institutional and grantmaking goal of affirmative action, an organizational goal that had been initiated in the Bundy era.

She maintained significant support, domestically and internationally for women's issues. She endorsed and encouraged the worldwide meetings staff members and grantees to continue to hold joint meetings

in order to create a greater sense of partnership and shared purpose. The more promising international situation enabled the Ford Foundation in 1996 to open an office in Russia (Moscow) and in Vietnam (Hanoi). Berresford encouraged the development of local and regional peace and security programs in developing countries. A regional security, peace, and cooperation program in India, for instance, focused on the work of civil society groups as an increasingly prominent regional interest in South Asia.

In this period, the Foundation gave renewed the prominence of arts and culture in the US and maintained the commitment in developing countries. By 2000, funding levels for the Education, Media, Arts and Culture division were approaching parity with the other two, Assets and Peace and Justice.

Berresford also encouraged each of the programs to hold worldwide meeting to promote cross-program and cross-national collaboration in fields such as human rights, income generation, cultural preservation, and building capacity in the arts and arts management. One persistent question of such initiatives. Toward that end, and building on the earlier experiences in India and Egypt, Berresford worked closely with her senior vice president, Barry Gaberman and other staff to establish new foundations at the local and national levels, particularly in developing countries. Gaberman had been at the foundation since 1973, starting as assistant to the representative in Indonesia. After serving in a number of different positions, by 1984, under Thomas, he served as deputy vice president in the U.S. and International Affairs program. After the 1989, Thomas and Berresford appointed him deputy vice president. 1996, Berresford appointed him senior vice president.

As the twentieth century came to a close, the economic conditions of the 1970s and 1980s that had resulted in so much global debt, with special impact on the developing world, were slowly turning around. Many countries were experiencing economic growth and burgeoning democratic initiatives. Not only was the global economic situation improving but the Foundation's assets were also growing. Consequently, the Foundation was in a strong position to bring attention to long-standing issues holding back developing countries. The grants programs addressed the pressing need both for advanced training of young- to mid-career adults living in marginalized and disadvantaged conditions along the equally, and for strengthening and building sustainability of universities across sub-Saharan Africa, along with enhancing broadband access for improved internet communications, promoting women in higher education, and strengthening postgraduate education.

Reacting to the tragedy of September 11, 2001, the Foundation provided immediate relief to affected local institutions in the United States. The Foundation also helped launch support a fund at the Institute of International Education to support scholars at risk in countries in conflict and under terrorist threats, especially in, but not limited to, the Middle East.

[^ Return to Table of Contents](#)

Biographical / Historical

Segment 4. Period Summary - The Post-1989 Shift Away from Cold War Dichotomies

Franklin Thomas's presidency, lasting until his retirement in 1995, was defined by a commitment to connect the Foundation's US and international activities around a few key themes. These themes were

addressed through grants that created private sector partnerships, enhanced support for local community groups, and enlarged initiatives to promote human rights, with special attention to women's rights. Throughout Thomas's tenure, Ford staff reinforced his special commitment to bolstering marginalized communities and broadening access to the law and educational opportunity. The Ford Foundation led the way in building the fields of international security studies, arms control, human rights, and governance. Moreover, in this period, Ford was innovative in drawing together the fields of international cooperation and human rights into one program.

Susan Berresford in her tenure as president from 1996-1997 continued and expanded the activities she helped initiate under Thomas. She and her colleagues increased support for the arts and established a variety of major international collaborative efforts implementing the concept of one foundation. Ford staff in this period drew on the Foundation's time-tested grantmaking strategies (supporting individuals and new institutions as needed), while concentrating on under-addressed issues and underserved populations. With Berresford's encouragement, Foundation staff explicitly took into account the new global context, increasing opportunities for inclusion of disadvantaged populations in all of their activities.

[^ Return to Table of Contents](#)

Scope and Contents

The Office Files of Toby Alice Volkman document grantmaking to support international and area studies programs at academic and research institutions during the period 1982-2001. A substantial portion of the collection relates to the Crossing Borders initiative, 1997-2001. Also included are records pertaining to a Foundation initiative to support African Studies, and files on dozens of additional grants for international and area studies. Several files include documents created by Sheila Biddle, a Program Officer in Education and Culture from 1982-1996. The files contain correspondence, memoranda, reports, grant proposals and related documentation, meeting materials and publications. The collection measures 9 linear feet and is contained in 9 record cartons.

[^ Return to Table of Contents](#)

Arrangement

The Office Files of Toby Alice Volkman are arranged in three series:

I. Crossing Borders Initiative, 1997-2000 II. African Studies Initiative, 1995-1998 III. Other Grants and Subjects, 1982-2001

[^ Return to Table of Contents](#)

Administrative Information

Publication Statement

Rockefeller Archive Center

15 Dayton Avenue

Sleepy Hollow 10591

archive@rockarch.org

URL: <http://www.rockarch.org>

Conditions Governing Use

Completion of a use agreement is required prior to providing scholarly access. See RAC Head of Reference (or applicable designee) for details.

Ford Foundation holds title, copyright and literary rights in the collection, in so far as it holds them.

The Rockefeller Archive Center has authority to grant permission to cite and publish material from the collection for scholarly purposes. Permission to publish extensive excerpts, or material in its entirety, will be referred to the Ford Foundation.

Immediate Source of Acquisition

Ford Foundation archive was deposited at RAC in 2011. Ford Foundation records, correspondence, reports, program files and officers papers were transferred to, and accessioned by, RAC beginning in 2011. Accessions continue as necessary.

Conditions Governing Access

Records more than 10 years old are open for research with select materials restricted as noted. Brittle or damaged items are available at the discretion of RAC. Researchers interested in accessing digital media (floppy disks, CDs, DVDs, etc.) or audiovisual material (audio cassettes, VHS, etc.) in this collection must use an access surrogate. The original items may not be accessed because of preservation concerns. To request an access surrogate be made, or if you are unsure if there is an access surrogate, please contact an archivist.

[^ Return to Table of Contents](#)

Collection Inventory

Crossing Borders Initiative, 1997-2000

Scope and Contents

Series I includes grant proposals, applicant and grantee correspondence, proposal review notes, recommendations for grant action (RGAs), grantee narrative reports and internal memoranda. Two rounds of funding are documented: Round 1 grants made in 1997, and Round 2 grants from 1999. For a list of individual institutions/proposals contained in the "Rejected Proposals" folders (box 1, folders 41-44 and box 2, folders 24-26) see the grant RGAs.

Title/Description	Instances	
Assessment, 1997-2000	box 1	folder 1
Conference, 1998	box 1	folder 2
Conference transcripts, 1998	box 1	folder 3-4
Initiative Announcement, 1997	box 1	folder 5
Lists of Proposals, 1997	box 1	folder 6
Memoranda, 1997-1999	box 1	folder 7
Publicity, 1997-2000	box 1	folder 8
Round 1 – Grants – California State University, Dominguez Hills	box 1	folder 9
Round 1 – Grants – California State University, Los Angeles	box 1	folder 10
Round 1 – Grants – Colby College	box 1	folder 11
Round 1 – Grants – Dartmouth College	box 1	folder 12
Round 1 – Grants – Duke University	box 1	folder 13
Round 1 – Grants – Emory University	box 1	folder 14
Round 1 – Grants – Five Colleges, Inc.	box 1	folder 15
Round 1 – Grants – Hamline University	box 1	folder 16
Round 1 – Grants – Hunter College	box 1	folder 17
Round 1 – Grants – Lewis & Clark College	box 1	folder 18
Round 1 – Grants – Middlebury College	box 1	folder 19
Round 1 – Grants – New Mexico State University	box 1	folder 20
Round 1 – Grants – New York University	box 1	folder 21
Round 1 – Grants – Northern Arizona University	box 1	folder 22
Round 1 – Grants – Oberlin College	box 1	folder 23
Round 1 – Grants – St. Lawrence University	box 1	folder 24

Round 1 – Grants – Trinity College	box 1	folder 25
Round 1 – Grants – University of California, Berkeley	box 1	folder 26
Round 1 – Grants – University of California, Humanities Research Institute	box 1	folder 27
Round 1 – Grants – University of California, Santa Cruz	box 1	folder 28
Round 1 – Grants – University of Hawaii, Manoa	box 1	folder 29
Round 1 – Grants – University of Illinois	box 1	folder 30
Round 1 – Grants – University of Iowa	box 1	folder 31
Round 1 – Grants – University of Michigan	box 1	folder 32
Round 1 – Grants – University of Nebraska, Lincoln	box 1	folder 33
Round 1 – Grants – University of Pennsylvania	box 1	folder 34
Round 1 – Grants – University of Texas, El Paso	box 1	folder 35
Round 1 – Grants – University of Virginia	box 1	folder 36
Round 1 – Grants – University of Washington	box 1	folder 37
Round 1 – Grants – University of Wisconsin, Madison	box 1	folder 38
Round 1 – Grants – Yale University	box 1	folder 39
Round 1 – Recommendation for Grant Action, 1997	box 1	folder 40
Round 1 – Rejected Proposals, A-C	box 1	folder 41
Round 1 – Rejected Proposals, E-K	box 1	folder 42
Round 1 – Rejected Proposals, L-N	box 1	folder 43
Round 1 – Rejected Proposals, O-S	box 1	folder 44
Round 1 – Rejected Proposals, T-W	box 2	folder 1
Round 1 – Request for Proposals, 1997	box 2	folder 2
Round 1 – Reviews, 1997	box 2	folder 3
Round 2 – Grants – California State University, Dominguez Hills	box 2	folder 4
Round 2 – Grants – Duke University	box 2	folder 5
Round 2 – Grants – Emory University	box 2	folder 6
Round 2 – Grants – Five Colleges, Inc.	box 2	folder 7
Round 2 – Grants – Hamline University	box 2	folder 8
Round 2 – Grants – Middlebury College	box 2	folder 9
Round 2 – Grants – New York University	box 2	folder 10
Round 2 – Grants – St. Lawrence University	box 2	folder 11
Round 2 – Grants – University of California, Berkeley		

	box 2	folder 12
Round 2 – Grants – University of California, Humanities Research Institute	box 2	folder 13
Round 2 – Grants – University of California, Santa Cruz	box 2	folder 14
Round 2 – Grants – University of Hawaii, Manoa	box 2	folder 15
Round 2 – Grants – University of Illinois	box 2	folder 16
Round 2 – Grants – University of Iowa	box 2	folder 17
Round 2 – Grants – University of Michigan	box 2	folder 18
Round 2 – Grants – University of Nebraska, Lincoln	box 2	folder 19
Round 2 – Grants – University of Texas, El Paso	box 2	folder 20
Round 2 – Grants – University of Wisconsin	box 2	folder 21
Round 2 – Grants – Yale University	box 2	folder 22
Round 2 – Recommendation for Grant Action, 1999	box 2	folder 23
Round 2 – Rejected Proposals, C-H	box 2	folder 24
Round 2 – Rejected Proposals, N-O	box 2	folder 25
Round 2 – Rejected Proposals, T-U	box 2	folder 26
Round 2 – Request for Proposals, 1998	box 2	folder 27
Round 2 – Reviews, 1999	box 2	folder 28
Web Site, 2000	box 2	folder 29

[^ Return to Table of Contents](#)

African Studies Initiative, 1995-1998

Scope and Contents

Series II includes grant proposals, applicant and grantee correspondence, proposal review notes, recommendations for grant action (RGAs), grantee narrative reports and internal memoranda. Two rounds of funding are documented: Round 1 grants made in 1995-1996, and Round 2 grants from 1998. For a list of individual institutions/proposals contained in the "Rejected Proposals" folders (box 3, folders 22-24) see the grant RGAs.

Title/Description	Instances	
African Studies Diversity Meeting, 1997	box 2	folder 30
African Studies In Africa, 1999	box 2	folder 31
Round 1 – Grant Review, 1997	box 2	folder 32
Round 1 – Grants - Boston University	box 2	folder 33
Round 1 – Grants - Columbia University	box 2	folder 34

Round 1 – Grants - Emory University	box 2	folder 35
Round 1 – Grants - Howard University	box 3	folder 1
Round 1 – Grants - Indiana University	box 3	folder 2
Round 1 – Grants - Ohio University	box 3	folder 3
Round 1 – Grants - University of California, Berkeley	box 3	folder 4
Round 1 – Grants - University of Illinois	box 3	folder 5
Round 1 – Grants - University of Iowa	box 3	folder 6
Round 1 – Grants - University of Michigan	box 3	folder 7
Round 1 – Grants - University of Wisconsin	box 3	folder 8
Round 1 – Memoranda and Correspondence, 1995-1996	box 3	folder 9
Round 1 – Recommendations for Grant Action, 1995-1996	box 3	folder 10
Round 1 – Request for Proposals, 1994	box 3	folder 11
Round 1 – Selection Panel, 1995	box 3	folder 12
Round 2 – Grants – Clark Atlanta/Columbia/Howard Consortium	box 3	folder 13
Round 2 – Grants – Indiana University	box 3	folder 14
Round 2 – Grants – Michigan State University	box 3	folder 15
Round 2 – Grants – University of California, Berkeley	box 3	folder 16
Round 2 – Grants – University of Illinois	box 3	folder 17
Round 2 – Grants – University of Michigan	box 3	folder 18
Round 2 – Grants – University of Pennsylvania	box 3	folder 19
Round 2 – Memoranda and Correspondence, 1997	box 3	folder 20
Round 2 – Recommendation for Grant Action, 1998	box 3	folder 21
Round 2 – Rejected Proposals, C-E	box 3	folder 22
Round 2 – Rejected Proposals, H-I	box 3	folder 23
Round 2 – Rejected Proposals, O-Y	box 3	folder 24
Round 2 – Rejected Proposals, Reviewer Notes	box 3	folder 25
Round 2 – Request for Proposals, 1997	box 3	folder 26
Round 2 – Review Panel, 1998	box 3	folder 27

[^ Return to Table of Contents](#)

Other Grants and Subjects, 1982-2001

Scope and Contents

Series III includes files on individual grants to support international and area studies programs that were not exclusively connected with the Crossing Borders or African Studies initiatives. Many are focused on Southeast Asian studies, a field of particular interest to Volkman. Also included are files on conferences, workshops and planning meetings where Volkman and her colleagues formulated their agenda to reinvigorate area studies. This material is complemented by copies of several of Volkman's "Reflection Memos."

Title/Description	Instances	
African Literature Association, 1999	box 3	folder 28
Ambrica Productions – "China Emerges", 1995	box 3	folder 29
American Council for Teachers of Russian, 1997	box 3	folder 30
American Council of Learned Societies, 1982-2000	box 3	folder 31-34
American Council on Education, 1999	box 3	folder 35
American Forum for Global Education, 1999-2000	box 3	folder 36
American Historical Association, 1998-2000	box 3	folder 37-38
American Museum of Natural History – Constructing Cultures, 1996	box 3	folder 39
American Museum of Natural History – National Center for Science Literacy, Education and Technology, 1996	box 4	folder 1
American Studies Research Centre, 1997	box 4	folder 2
Archives, Editing, Public History and Curriculum Development, 1996-1998	box 4	folder 3
Area Studies Librarianship, 1996-1998	box 4	folder 4
Asia Fellows Program, 1999	box 4	folder 5
Asia Society, 1993-1998	box 4	folder 6
Asia Studies in Asia, 1998	box 4	folder 7
Asia Studies, miscellaneous, 1996-1999	box 4	folder 8
Asian and Western States Initiative, 1999	box 4	folder 9
Asianetwork, 1995-1999	box 4	folder 10
Association of Professional Schools of International Affairs, 1995-1997	box 4	folder 11
Association for Asian Studies, 1998-1999	box 4	folder 12
Barnard College, 1998	box 4	folder 13
Bernstein, Alison R. – correspondence, 1997	box 4	folder 14
Board of Trustees – Education, Media, Arts and Culture Committee, 1996	box 4	folder 15
Board of Trustees – Meeting, 1997	box 4	folder 16

Brown University, 1994-1999	box 4	folder 17
Center for Arabic Study Abroad, 1999	box 4	folder 18
Center for Research Libraries, 1997	box 4	folder 19
Center for Transcultural Studies, 2000-2001	box 4	folder 20
City College – Latin American Studies, 1999	box 4	folder 21
City University of New York Graduate Center – Center for Place, Culture and Politics, 2000	box 4	folder 22
City University of New York Middle East Studies, 1999-2000	box 4	folder 23
Columbia University – Center for Comparative Literature and Society, 1999-2001	box 4	folder 24
Columbia University – Center for United States-China Arts Exchange, 1992-1999	box 4	folder 25-26
Columbia University – Council for European Studies, 1991-1998	box 4	folder 27
Columbia University – Institute of African Studies, 1999	box 4	folder 28
Columbia University – Oral History Research Institute, 1998	box 4	folder 29
Columbia University – Restructuring International Programs, 1998	box 4	folder 30
Community College Humanities Review, 1994-1997	box 4	folder 31
Cornell University, 1996	box 4	folder 32
Council for the Development of Economic and Social Research in Africa (CODESRIA) – Northwestern University – Transcending Boundaries, 1991-2000	box 4	folder 33-35
Council for the Development of Economic and Social Research in Africa (CODESRIA) – Yale University – African Agrarian Studies, 1999	box 5	folder 1
Cultural Studies, 1995-1996	box 5	folder 2
Culture and Natural Resource Management – General, 1994-2000	box 5	folder 3-7
Culture and Natural Resource Management – Mozambique National Dance Company, 1995	box 5	folder 8
Culture and Natural Resource Management – University of California, Berkeley, 1996-1998	box 5	folder 9
Culture and Natural Resource Management – University of Florida – Conservation and Development Forum, 1994-1996	box 5	folder 10
Culture and Resources – Recommendations for Grant Action, 1988-1995	box 5	folder 11-12
East-West Center, 1995	box 5	folder 13
Eastern Seaboard Association of Tertiary Institutions, 1998-2000	box 5	folder 14

Education and Culture Initiatives, 1994-1995	box 5	folder 15
Educations, Arts and Culture Consultative Group, 1996	box 5	folder 16
European Association for South-East Asian Studies (EUROSEAS), 1995	box 5	folder 17
European Science Foundation, 1995	box 5	folder 18
Facultad Latinoamericana de Ciencias Sociales (FLACSO), 1996	box 5	folder 19
Five Colleges, Inc., 1997	box 5	folder 20
Florida International University – Cuban Research Institute, 1995-1998	box 5	folder 21
Fulbright Educational Exchange Program, 1997-1999	box 5	folder 22
Gallatin Institute – Writers on the Range, 1995	box 5	folder 23
Georgetown University, 1995-1996	box 5	folder 24
German Marshall Fund of the United States, 1998	box 5	folder 25
Grants as liaison, 1995-1997	box 5	folder 26
Gulbenkian Commission, 1995	box 5	folder 27
Harvard University – Asia Center, 1997-1999	box 5	folder 28
Harvard University – Center for Literacy and Cultural Studies, 1995-1996	box 5	folder 29
Inherited grants, 1996	box 5	folder 30
Institute for Advanced Study in Berlin – Literature and Culture in North Africa, 1995	box 5	folder 31
Institute for Intercultural Studies, 1996-1998	box 5	folder 32
Institute of International Education, 1993-2000	box 5	folder 33
Interdisciplinary Workshops/Seminars in Area Studies and Social Sciences, 1995	box 5	folder 34
International Center for Migration, Ethnicity and Citizenship, 1998	box 5	folder 35
Japan Foundation, 1996-1999	box 5	folder 36
Joint Fund for International/Area/Cultural Studies, 1995-1998	box 5	folder 37
Kazanjian, Miriam Alexis, 1996	box 5	folder 38
Latin American Studies Association, 1996-1999	box 6	folder 1
Laurasian Institute, 1998	box 6	folder 2
Leadership Alliance, 1995-1999	box 6	folder 3-5
Lewis & Clark College – Asian Studies, 1999-2000	box 6	folder 6
Linguistic Diversity, Access and Opportunity, 1998		

	box 6	folder 7
Michigan State University – South Africa collaborations, 1998-2000	box 6	folder 8
Modern Literatures of Southeast Asia, 1992-1995	box 6	folder 9
NAFSA Association of International Educators, 1997-1998	box 6	folder 10
National Centers for Tolerance Education, 1998	box 6	folder 11
National Council for Eurasian and East European Research, 1999-2000	box 6	folder 12
National Council for Research on Women, 1996	box 6	folder 13
National Council of Area Studies Associations, 1989-1996	box 6	folder 14
National Endowment for the Humanities, 1999	box 6	folder 15
National Foreign Language Center, 1986-1999	box 6	folder 16-21
National Foreign Language Center – National Organization of Less Commonly Taught Languages – University of Maryland, 2000	box 6	folder 22
National Resource Centers, 1994-1999	box 6	folder 23
National Security Education Program, 1996	box 6	folder 24
New Approaches to Area Studies, 1996-1997	box 6	folder 25
New School University, 1998-1999	box 6	folder 26
New York University – Asian/Pacific/American Studies Institute, 1998	box 6	folder 27
New York University – Center for Media, Culture and History, 1992-1999	box 6	folder 28
New York University – Hemispheric Institute on Performance and Politics, 1998-2000	box 7	folder 1-3
New York University – Internationalizing the Study of American History, 1996-1998	box 7	folder 4
New York University – School of Education, 2000	box 7	folder 5
New York University – Yari Yari Conference, 1997-2000	box 7	folder 6
Northwest Regional Consortium for Southeast Asian Studies, 1995-1997	box 7	folder 7
Northwestern University – Melville J. Herskovits Library, 1998-1999	box 7	folder 8
Nyae Nyae Development Foundation, 1988-1993	box 7	folder 9
Pacific Basin Institute, 1996-1997	box 7	folder 10
Peace, Equality and Governance, 1996	box 7	folder 11
Pennsylvania State University – Against All Odds, 1998-1999		

	box 7	folder 12
Program Officer Memo (POM), 1999	box 7	folder 13
Reflections and Exit Memoranda, 1997-2001	box 7	folder 14
Religion – Curriculum Vitae, 1990s	box 7	folder 15
Religion – Culture and Religion Consultative Subgroup, 1996	box 7	folder 16
Religion – Foundation grant making related to, 1996	box 7	folder 17
Religion – Planning notes and email, 1996	box 7	folder 18
Resignation announcement, 2000	box 7	folder 19
Resume, 1995	box 7	folder 20
Rethinking Area Studies conference, 1995-1997	box 7	folder 21
Rethinking Area Studies memoranda and drafts, 1995-1996	box 7	folder 22
Revitalizing Area Studies conference, 1998	box 7	folder 23
Rice University, 1997	box 7	folder 24
Rutgers University – African Studies Coordinating Committee, 1998-1999	box 7	folder 25
Rutgers University – Center for the Critical Analysis of Contemporary Culture, 1996	box 7	folder 26
Rutgers University – Latin American Studies – Writers at the Border, 1997-1999	box 7	folder 27
Science, 1990s	box 7	folder 28
Social Science Research Council – Bangladesh Studies, 1990-1996	box 7	folder 29
Social Science Research Council – Collective Memories of Repression, 1997-1998	box 7	folder 30
Social Science Research Council – Core grant, 1991-1996	box 7	folder 31
Social Science Research Council – Core grant, 1997-2000	box 8	folder 1-3
Social Science Research Council - Cultural Agency in the Americas, 2000	box 8	folder 4
Social Science Research Council - International Dissertation Field Research Fellowship, 1997	box 8	folder 5
Social Science Research Council - International Predissertation Fellowship Program – General, 1990-2000	box 8	folder 6-8
Social Science Research Council - International Predissertation Fellowship Program – Committee meetings, 1996	box 8	folder 9-10
Social Science Research Council - International Predissertation Fellowship Program – Fellows conference, 1995	box 8	folder 11

Social Science Research Council - International Predissertation Fellowship Program – Fellows report, 1991-1996	box 8	folder 12
Social Science Research Council - International Predissertation Fellowship Program – Recommendations for Grants Action (RGAs), 1993-1997	box 8	folder 13
Social Science Research Council - New International Program, 2000	box 8	folder 14
Social Science Research Council - Regional Advisory Panels, 1997	box 8	folder 15
Social Science Research Council - Reports on Ford Foundation-funded projects, 1996	box 8	folder 16
Social Science Research Council - Southeast Asian Studies, 1999	box 8	folder 17
Social Science Research Council - Vietnamese Studies Fellowship Program, 1996-1998	box 8	folder 18
Social Sciences Research and Development Forum, 1996	box 8	folder 19
Southeast Asia Studies, 1996-1997	box 8	folder 20
Stanford University – Institute for International Studies, 1993-1996	box 8	folder 21
Stephens, Robert F., 1997	box 8	folder 22
Tufts College – Navajo Oral History and Photography, 1996	box 8	folder 23
United Negro College Fund – International Education Project, 1998	box 8	folder 24
United States-Indonesia Society, 1995-1996	box 8	folder 25
United States Information Agency, 1997	box 8	folder 26
University of California, Berkeley – Culture and Environment, 1998-2000	box 8	folder 27
University of California, Berkeley – Vietnam Studies, 1996	box 8	folder 28
University of California, Berkeley – Workshop on Environment and Politics, 1999-2000	box 8	folder 29-31
University of California, Davis – African and African-American Studies Program, 1996	box 9	folder 1
University of California, Davis – Pacific Bridges, 1995	box 9	folder 2
University of California, Humanities Research Institute, 1999	box 9	folder 3
University of California, Los Angeles – Interdisciplinary Workshop for Students of Developing Areas, 1996	box 9	folder 4
University of California, Los Angeles – Southeast Asian Studies Program, 1996-2000	box 9	folder 5
University of California, Los Angeles – Uongozi Institute, 1997-1998	box 9	folder 6

University of Chicago – Regional Worlds, 1995-1999	box 9	folder 7-8
University of Colorado – Center for the American West, 1998-1999	box 9	folder 9
University of Georgia, 1995-1999	box 9	folder 10
University of Ghana – International Centre for Music and Dance, 1993-1995	box 9	folder 11
University of Kentucky, 1999	box 9	folder 12
University of Massachusetts – Living Economy, 1996	box 9	folder 13
University of Michigan – Center for Southeast Asian Studies, 2000	box 9	folder 14
University of Minnesota – Breaking Boundaries, 1998-1999	box 9	folder 15
University of Pennsylvania – African Health Group, 1998-2000	box 9	folder 16
University of Pennsylvania – Center for the Advanced Study of India, 1998	box 9	folder 17
University of Pittsburgh, 1997-1999	box 9	folder 18
University of Texas, El Paso – National Immigration Memorial, 1998-1999	box 9	folder 19
University of Washington – Southeast Asian Area Studies, 2000	box 9	folder 20
University of Wisconsin – Philippine Environmental Photography, 1995-1998	box 9	folder 21-22
Vector Project, 1997-1998	box 9	folder 23
Volkman grant lists, 1995-2001	box 9	folder 24
Volunteers in Asia, 1999	box 9	folder 25
Wellesley College – China Photo Project, 1998-1999	box 9	folder 26
Western Interstate Commission for Higher Education, 1996	box 9	folder 27
Williams, Carolyn – "What is Cultural Studies?", 1995-1996	box 9	folder 28
Woodrow Wilson Center, 1997-1998	box 9	folder 29
World History Association, 1998	box 9	folder 30
Worldwide Fund for Area Studies, 1997-1999	box 9	folder 31
Worldwide Workshop for Media, Arts and Culture Programmers, 1998	box 9	folder 32
Worldwide Workshop on Culture, 1994-1995	box 9	folder 33
Worldwide Workshop on Education, 1997	box 9	folder 34
Yale University – Agrarian Studies, 1994-1997	box 9	folder 35
Yale University – Southeast Asian Studies, 1998	box 9	folder 36

Yayasan Asosiasi Tradisi Lisan, 1999

box 9

folder 37

[^ Return to Table of Contents](#)