

# Rockefeller (Nelson A.) Gubernatorial records, James Cannon, Series 7

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# **Summary Information**

**Repository:** Rockefeller Archive Center

**Creator:** New York (State). Governor (1959-1973 : Rockefeller)

**Creator - aut:** Rockefeller, Nelson A. (Nelson Aldrich)

**Creator - aut:** Cannon, James M.

**Creator - ctb:** Kissinger, Henry

**Title:** Nelson A. Rockefeller gubernatorial records, James Cannon, Series 7

**ID:** FA356

**Date [inclusive]:** 1968-1971

**Physical Description:** 7.36 Cubic Feet 10 legal boxes, 7 letter boxes.

**Language of the** English.

**Material:** 

#### **Preferred Citation note**

Information regarding the Rockefeller Archive Center's preferred elements and forms of citation can be found at <a href="http://www.rockarch.org/research/citations.php">http://www.rockarch.org/research/citations.php</a>

# Biographical/Historical note

Nelson A. Rockefeller served as governor of New York from January 1, 1959, to December 18, 1973. He was the first person elected to four consecutive four-year terms as a state governor.

# **Scope and Contents note**

NOTE TO RESEARCHERS

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This series contains the office files of James Cannon. They document official activities in the execution of his duties as a member of Nelson A. Rockefeller's staff. The actions, decisions, and exchanges of information or points of view documented here occurred in the course of proposing and implementing policies for the Rockefeller administration, political campaigns, and/or Rockefeller's personal activities. However, unless a document is specifically addressed to or from Rockefeller, or is referred to in a document addressed to him, it should not be assumed he saw the document or was aware of its existence or substance. Furthermore, researchers should bear in mind that staff files may contain documents or drafts addressed to Rockefeller that were never shown to him.

#### SCOPE AND CONTENT

This series contains a portion of the files of James Cannon. They were created primarily during Cannon's tenure as an executive assistant to Governor Nelson Rockefeller. Cannon worked in the New York State Washington Office in the early 1970s and reported on fiscal and revenue sharing matters in the U.S. Congress. In 1969, Cannon was part of the Governor's staff on the Presidential Mission to Latin America.

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### **Arrangement note**

This series is divided into two subseries:

Subseries 1 - Revenue Sharing, 1971-1972 Subseries 2 - Latin American Mission, 1968-1972

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#### File Plan note

Former Classification: III 15 7

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# **Administrative Information**

#### **Publication Statement**

Rockefeller Archive Center

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### **Conditions Governing Access note**

Open for research with select materials restricted as noted. Brittle or damaged items are available at the discretion of RAC. Researchers interested in accessing digital media (floppy disks, CDs, DVDs, etc.) or audiovisual material (audio cassettes, VHS, etc.) in this collection must use an access surrogate. The original items may not be accessed because of preservation concerns. To request an access surrogate be made, or if you are unsure if there is an access surrogate, please contact an archivist.

### **Processing Information note**

Processing Level 3: Standard Processing.

# **Processing Information note**

John LeGloahec December 2001

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### **Controlled Access Headings**

- Arms control -- United States
- Arms control
- Affirmative action programs -- New York (State)
- Governors -- Election -- New York (State) -- 1970
- Human rights
- International economic relations
- International relations
- International relations -- United States
- Latin America
- Legislation -- New York (State)

- New York (N.Y.)
- New York (State)
- Political campaigns -- United States
- Political science
- Presidential campaigns -- United States
- United States
- Vice-Presidents -- United States
- Public relations
- State governments -- United States
- Presidential candidates -- United States -- History -- 20th century
- Public policy
- Governors -- Election -- New York (State) -- 1962
- Governors -- Election -- New York (State) -- 1966
- Government policy
- Governors -- Election -- New York (State) -- 1958
- Economics
- Federal government -- United States
- Civil service
- Civil service -- New York (State)
- Civil defense
- Civil defense -- United States

# **Collection Inventory**

### Revenue Sharing, 1971-1972

Physical Description: 4 document box(es)

#### **Scope and Contents note**

This subseries primarily concerns Cannon's tenure in Washington, DC, where he attended to fiscal matters before the U.S. Congress on behalf of Governor Rockefeller. Cannon served as a intermediary representing the interests of the Rockefeller administration to the members of the New York State Congressional Delegation. The chronological files that start the subseries deal with the events and circumstances leading up to the passage of the State and Local Fiscal Assistance Act of 1972. Of note in the subseries is Folder 18, which concerns the General Grant Revenue Act of 1971, proposed by New York Congressmen Hugh Carey and Ed Koch.

Title/Description	Instances		
Chronological Files - September 1971	box 1	folder 1	
Scope and Contents note			
Press releases, correspondence on congressional action on HR 14370, the State and Local Fiscal Assistance Act of 1972.			

Chronological Files - October 1971

box 1

folder 2

Press releases, correspondence on congressional action on HR 14370, the State and Local Fiscal Assistance Act of 1972.

Chronological Files - December 1971	box 1	folder 3	
Scope and Contents note			
Press releases, correspondence on congressional action on HR 14370, the State and Local Fiscal Assistance Act of 1972.			
Chronological Files - February 1972	box 1	folder 4	
Scope and Contents note			
Press releases, correspondence on congressional action on HR 14370, the State and Local Fiscal Assistance Act of 1972.			
Chronological Files - February/March 1972	box 1	folder 5	
Scope and Contents note			
Press releases, correspondence on congressional action on HR 14370, the State and Local Fiscal Assistance Act of 1972.			
Chronological Files - April 1972	box 1	folder 6	
Scope and Contents note			
Press releases, correspondence on congressional action on HR 14370, the State and Local Fiscal Assistance Act of 1972.			
Chronological Files - May 1972	box 1	folder 7	
Scope and Contents note			
Press releases, correspondence on congressional action on HR 14370, the State and Local Fiscal Assistance Act of 1972.			
Chronological Files - House of Representatives, June 1972	box 1	folder 8	
Scope and Contents note			
Tally sheets on closed rule votes, correspondence with members of New York State congressional delegation, notes on Members of Congress.			
Chronological Files - Senate, June-July 1972	box 2	folder 9	

Charts, reports, legislation from U. S. Senate Finance Committee, testimony, press releases, clippings on bill.

Chronological Files - Senate, June-July 1972	box 2	folder 10	
Scope and Contents note			
Charts, reports, legislation from U. S. Senate Finance Committee, testimony, press releases, clippings on bill.			
Chronological Files - July 1972	box 2	folder 11	
Scope and Contents note			
Press releases, correspondence on congressional action on HR 14370, the State and Local Fiscal Assistance Act of 1972.			
Chronological Files - August 1972	box 2	folder 12	
Scope and Contents note			
Press releases, correspondence on congressional action on HR 14370, the State and Local Fiscal Assistance Act of 1972.			
Subject Files - Background Materials	box 2	folder 13	
Scope and Contents note			
Revenue sharing general files, bound volumes on government finance.			
Subject Files - Background Materials	box 2	folder 14	
Scope and Contents note			
Revenue sharing general files, bound volumes on government finance.			
Subject Files - "Big Six Mayors", May 1971	box 2	folder 15	
Scope and Contents note			
Correspondence with mayors of the six largest cities in New York (Albany, Buffalo, New York, Rochester, Syracuse, and Yonkers) on revenue sharing plans and the impact on their cities.			
Subject Files - Byrnes Statement, April 1972	box 2	folder 16	

Statement by Representative John W. Byrnes (R-WI) on HR 14370; text of HR 14370.

Subject Files - Byrnes Tax Credit Proposal, 1971	box 2	folder 17	
Scope and Contents note			
Correspondence and memoranda on alternative plan proposed by Congressman Byrnes offering tax credits as a form of revenue sharing.			
Subject Files - Carey-Koch Bill, May 1971	box 2	folder 18	
Scope and Contents note			
Correspondence and memoranda on the General Grant Revenue Act of 1971, proposed by Congressman Hugh Carey and Congressman Edward Koch.			
Subject Files - Charts, 1971	box 2	folder 19	
Scope and Contents note			
Table 2, State and Local Taxes as a percentage of personal income by states; Table 3, General Revenue from other sources as a percentage of personal income by states; Table 8, Intergovernmental Expenditures/State Aid related to population, personal income and state general expenditures by states; Table 9, State Government Taxes as a percentage of total state and local government taxes.			
Subject Files - "Congressional Record" Comments, Favorable, 1971	box 3	folder 20	
Scope and Contents note			
Copies of pages from the "Congressional Record" supporting revenue sharing proposals.			
Subject Files - HR 14370	box 3	folder 21	
Scope and Contents note			
Copy of text of HR 14370 on revenue sharing, April 17, 1972.			
Subject Files - Meeting with President Nixon, June 1971	box 3	folder 22	
<b>Scope and Contents note</b>			
Notes, schedules, correspondence, memoranda on meeting in Rochester, New York between NAR and President Nixon on revenue sharing.			
Subject Files - Mills Visit, April 1972	box 3	folder 23	

Correspondence, memoranda, schedules for visit by Congressman Wilbur Mills to New York State.

Subject Files - Mills Visit, April 1972	box 3	folder 24	
<b>Scope and Contents note</b>			
Correspondence, memoranda, schedules for visit by Congressman Wilbur Mills to New York State.			
Subject Files - Miscellaneous	box 3	folder 25	
Scope and Contents note			
Loose, unfiled papers on revenue sharing.			
Subject Files - Other Governors Letters and Statements, 1971	box 3	folder 26	
<b>Scope and Contents note</b>			
Letters, press releases from governors on revenue sharing issues.			
Subject Files - Report	box 3	folder 27	
<b>Scope and Contents note</b>			
Analyses of revenue sharing issues, comparison of different plans.			
Subject Files - Report	box 3	folder 28	
<b>Scope and Contents note</b>			
Analyses of revenue sharing issues, comparison of different plans.			
Subject Files - Revenue Sharing, Special, 1971	box 3	folder 29	
<b>Scope and Contents note</b>			
General Information			
Subject Files - Revenue Sharing, Special, 1971	box 3	folder 30	
Scope and Contents note			
Badillo, HR 7367, Intergovernmental Emergency Advance Act of 1971			
Subject Files - Revenue Sharing, Special, 1971	box 3	folder 31	

Daniels, HR 3613, Emergency Employment Act of 1971

Subject Files - Revenue Sharing, Special - Education, 1968-1971	box 3	folder 32	
Scope and Contents note			
Appropriations bill for education in United States.			
Subject Files - Revenue Sharing, Special, 1971	box 3	folder 33	
Scope and Contents note			
Reuss, HR 7594, State and Metropolitan Development Act of 1971			
Subject Files - Talking Points	box 3	folder 34	
Scope and Contents note			
Issues for NAR to emphasize on HR 14370.			
Subject Files - Testimony, General, 1971	box 3	folder 35	
Scope and Contents note			
Transcript, press releases of NAR testimony on revenue sharing.			
Subject Files - Testimony, James M. Cannon Notes	box 3	folder 36	
Scope and Contents note			
Cannon's handwritten notes on testimony before committees.			
Subject Files - Testimony, NAR, 1971	box 3	folder 37	
Scope and Contents note			
NAR's testimony on revenue sharing before the U.S. House Ways and Means Committee, June 15, 1971.			
Subject Files - Testimony, Ways and Means, 1971	box 4	folder 38	
Scope and Contents note			
Transcripts, press releases of NAR's and others' testimony on revenue sharing before the U.S. House Ways and Means Committee.			
Subject Files - Testimony, Ways and Means, 1971	box 4	folder 39	

Transcripts, press releases of NAR's and others' testimony on revenue sharing before the U.S. House Ways and Means Committee.

Subject Files - Testimony, Ways and Means Members, 1971	box 4	folder 40	
Scope and Contents note			
Correspondence with members of Congress on revenue sharing issues before Congress.			
Subject Files - Welfare - HR 1, January 1972	box 4	folder 41	
Scope and Contents note			
Correspondence, memoranda, testimony on Welfare Reform Bill, January 1972.			
Subject Files - Welfare - HR 1, January 1972	box 4	folder 42	
Scope and Contents note			
Correspondence, memoranda, testimony on Welfare Reform Bill, January 1972.			
Subject Files - Welfare - Rep. Carey, HR 5379, Amending Social Security Act, March 1971	box 4	folder 43	
Scope and Contents note			
Testimony before the U.S. House.			
Subject Files - Welfare - Rep. Green, HR 7249, April 1971	box 4	folder 44	
Scope and Contents note			
Amending Social Security Act to include additional family assistance. Testimony before the U.S. House.			
Subject Files - Welfare - Sen. Hartke, S 1162, March 1971	box 4	folder 45	
Scope and Contents note			
Permit states and local governments to increase taxes to offer tax credits; testimony before the U. S. Senate.			
Subject Files - Welfare - Rep. Ullman, HR 6004, March 1971	box 4	folder 46	
Scope and Contents note			
Rehabilitation Employee Assistance and Child Care Act of [March] 1971. Testimony before the U. S. House.			

# **Latin American Mission, 1968-1972**

Physical Description: 13 document box(es)

#### **Scope and Contents note**

After several discussions with President Richard Nixon, in 1969 Nelson Rockefeller was appointed to undertake a Presidential Mission to Latin America to consult with the leaders of those republics and determine the needs and conditions there. During the spring and summer of that year, Rockefeller toured 20 Latin American countries. This subseries contains a small sample of the documentation of the mission. Notable in this subseries is correspondence with members of President Nixon's staff, specifically National Security Advisor Henry Kissinger, who monitored the mission from Washington.

#### Related Archival Materials note:

#### **Related Archival Materials note**

Additional extensive materials on the Latin American Mission may be found in the Washington, DC, series in Record Group 4 of the Nelson A. Rockefeller Papers (Boxes 98-201).

Title/Description	Instances
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Country files

#### **Scope and Contents note**

Correspondence, memoranda, notes, clippings, schedules, background information, telexes to Henry Kissinger at White House, memos from Kissinger, correspondence with Berent Friele, transcripts and remarks, and meeting notes.

Argentina, 1968-1970	box 5	folder 47	
Argentina - Briefing Book, 1968-1970	box 5	folder 48	
Argentina - Briefing Book, 1968-1970	box 5	folder 49	
Barbados, 1968-1970	box 5	folder 50	
Barbados - Briefing Book, 1968-1970	box 5	folder 51	
Bolivia, 1968-1970	box 5	folder 52	
Bolivia - Briefing Book, 1968-1970	box 5	folder 53	
Brazil, 1968-1970	box 6	folder 54	
Brazil, 1968-1970	box 6	folder 55	
Brazil, 1968-1970	box 6	folder 56	
Brazil, 1968-1970	box 6	folder 57	
Brazil - Briefing Book, 1968-1970	box 6	folder 58	
Brazil - Briefing Book, 1968-1970	box 6	folder 59	
Brazil - Report, Brazilian Educational Radio and TV Experiment, July 1968	box 7	folder 60	
Brazil - Report, "Projecto Saci, Parte I", May 1968	box 7	folder 61	
Brazil - Report, "Projecto Saci, Parte II", May 1968	box 7	folder 62	

Brazil - Report, "Projecto Saci, Parte III", May 1968	box 7	folder 63
Central American Common Market, 1968-1972	box 7	folder 64
Central American Common Market - Briefing Book, 1968-1972	box 7	folder 65
Chile, 1968-1972	box 7	folder 66
Chile - Briefing Book, 1968-1972	box 7	folder 67
Haiti, 1968-1972	box 7	folder 68
Haiti - Briefing Book, 1968-1972	box 7	folder 69
Honduras, 1968-1972	box 8	folder 70
Honduras - Briefing Book, 1968-1972	box 8	folder 71
Jamaica, 1968-1972	box 8	folder 72
Jamaica - Briefing Book, 1968-1972	box 8	folder 73
Mexico, 1968-1972	box 8	folder 74
Mexico, 1968-1972	box 8	folder 75
Mexico, 1968-1972	box 8	folder 76
Mexico - Briefing Book, 1968-1972	box 9	folder 77
Nicaragua, 1968-1972	box 9	folder 78
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Panama, 1968-1972	box 9	folder 81
Panama - Briefing Book, 1968-1972	box 9	folder 82
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Uruguay, 1968-1972	box 10	folder 84
Uruguay - Briefing Book, 1968-1972	box 10	folder 85
Venezuela, 1968-1972	box 10	folder 86
Venezuela - Briefing Book, 1968-1972	box 10	folder 87
Venezuela - Briefing Book, 1968-1972	box 10	folder 88
Country Reports, 1968-1972	box 11	folder 89
Country Reports, 1968-1972	box 11	folder 90
Country Reports, 1968-1972	box 11	folder 91
Country Reports, 1968-1972	box 11	folder 92
General Information, 1968-1972	box 11	folder 93
Advance	box 12	folder 94

Memos on details of trip.

box 12	folder 95
box 12	folder 96
box 12	folder 97
box 12	folder 98
box 12	folder 98A
box 12	folder 99
box 12	folder 100
	box 12 box 12 box 12

Health

Uncredited, undated, expansive report on "Subversion of the Church in Latin America."

Comments on NAR/Latin American Policy	box 12	folder 101	
Scope and Contents note			
Telexes, clippings, notes on reaction to the mission.			
Congressional Relations	box 12	folder 102	
<b>Scope and Contents note</b>			
Correspondence and memoranda on Latin American affairs with members of the U. S. Congress; invitations to NAR to speak before committees; legislation on Latin American development programs.			
Drafts			
Scope and Contents note			
Sections of Report to the President on the Mission.			
Agriculture	box 13	folder 103	
U.S. Agency for International Development (USAID)	box 13	folder 104	
CECLA (Special Latin American Coordinating Committee)	box 13	folder 105	
Conservation	box 13	folder 106	
Culture	box 13	folder 107	
Education	box 13	folder 108	
Finance and Economics	box 13	folder 109	
Fishing	box 13	folder 110	
General Readings	box 13	folder 111	
Scope and Contents note			
Cannon notes, memos to NAR on U.S. military aid program in Latin America, reports.			
Government Structure	box 13	folder 112	
Scope and Contents note			
Memoranda, correspondence, reports on effective use of U.S. resources in support of the Inter-American System (IAS).			

box 14

folder 113

Information	box 14	folder 114
Inter-American System (IAS)	box 14	folder 115
<b>Scope and Contents note</b>		
Notes, reports, memoranda on strengths and weaknesses of the IAS.		
Labor	box 14	folder 116
Miscellaneous Draft Notes	box 14	folder 117
Scope and Contents note		
Staff member observations, correspondence, memoranda on suggested report contents.		
Notes by James Cannon from meeting, August 12, 1969	box 14	folder 118
Notes by James Cannon from meeting, August 13, 1969	box 14	folder 119
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Scope and Contents note		
Suggested outline and chapter headings.		
Preamble	box 14	folder 121
Private Enterprise	box 14	folder 122
Recognition	box 14	folder 123
<b>Scope and Contents note</b>		
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Recommendations	box 14	folder 124
Rural Development	box 15	folder 125
Science and Technology	box 15	folder 126
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Section II	box 15	folder 128
<b>Scope and Contents note</b>		
Draft of section, recommendations on direction and policy.		
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Technical Assistance	box 15	folder 130
Trade	box 15	folder 131

Urban Affairs	box 15	folder 132
Women	box 15	folder 133
Work with People	box 15	folder 134
Foreign Aid	box 16	folder 135
Scope and Contents note		
Information on U.S. aid to Latin American countries; clippings on mission.		
Foreign Policy Speech	box 16	folder 136
Scope and Contents note		
Notes and transcript of NAR foreign policy speech, delivered at the National Press Club, October 31, 1972.		
General	box 16	folder 137
Scope and Contents note		
Miscellaneous materials, clippings, memoranda and correspondence.		
Invitations	box 16	folder 138
Scope and Contents note		
Offers to NAR to speak or appears at events relating to the mission.		
Letters to Heads of State, February 19, 1969	box 16	folder 139
Scope and Contents note		
Introductory letters to Latin American leaders in advance of visit.		
Letters to Ambassadors, March 31, 1969	box 16	folder 140
Scope and Contents note		
Preparatory letter to U. S. Ambassadors and Charge D'affaires in countries to be visited by NAR.		
Letters to Heads of State, Follow-Up, April 1969	box 16	folder 141
Scope and Contents note		
Follow-up letters to leaders with expected dates of visit to their countries.		
Major Questions	box 16	folder 142

Suggested topics for consideration on mission offered by academic and private individuals.

Mission Reports and Recommendations	box 16	folder 143	
<b>Scope and Contents note</b>			
Memo from Cannon on behalf of NAR calling for final drafts from mission staff.			
Nixon Memos	box 16	folder 144	
Scope and Contents note			
Drafts of memos to President Nixon proposing the Latin American Mission; correspondence and memoranda on mission related issues; NAR notes, draft of memo.			
Outline	box 17	folder 145	
Scope and Contents note			
July 1969 memorandum with outline of report to president.			
People to be Seen by NAR	box 17	folder 146	
Scope and Contents note			
Correspondence and memoranda on individuals for NAR to consult with on trip issues.			
Pocantico Working Session, July 1969	box 17	folder 147	
Scope and Contents note			
Mission staff meeting held at Kykuit, July 1969, on report drafting.			
Post-Trip Material	box 17	folder 148	
Scope and Contents note			
Correspondence and memoranda on public relations events attended by NAR on Latin American Mission.			
Press	box 17	folder 149	
Scope and Contents note			
Clippings and press releases on mission.			
Press Material	box 17	folder 150	

Correspondence and memoranda on suggested members of the press to be included in the mission.

"Read" File	box 17	folder 151	
<b>Scope and Contents note</b>			
Miscellaneous materials forwarded for NAR to read.			
"Read" File	box 17	folder 152	
Scope and Contents note			
Miscellaneous materials forwarded for NAR to read.			
Schedules	box 17	folder 153	
Scope and Contents note			
Planned schedules for Latin American Mission.			
Transcripts of NAR Remarks	box 17	folder 154	

# **Scope and Contents note**

Copies of NAR remarks on a variety of issues, September-November 1972.