



# Ford Foundation records, International Affairs records

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## Summary Information

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<b>Repository:</b>	Rockefeller Archive Center
<b>Creator:</b>	Ford Foundation
<b>Title:</b>	Ford Foundation records, International Affairs records
<b>ID:</b>	FA748
<b>Date [inclusive]:</b>	1950-1983
<b>Physical Description:</b>	9.6 Cubic Feet 8 boxes.
<b>Physical Description:</b>	8 boxes.
<b>Language of the Material:</b>	English

### Preferred Citation note

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## Biographical/Historical note

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The International Affairs Department was part of the International Division of the Ford Foundation from the 1950's until the mid-1980's. A main focus of the department was to strengthen cultural, economic, and political ties between countries. It did this through organizing seminars and conferences on international issues, and awarding grants for research and action in various countries in need. The department supported scientific and scholarly exchanges with various countries and opened several international offices, including the Paris Office, to facilitate communication and programming overseas.

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## Biographical / Historical

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### Segment One: International Activities of the Ford Foundation: An Overview

The Foundation's aspiration to become a national and international philanthropy for the advancement of human welfare was first formally expressed in the seminal 1949 report of the Gaither Study Committee, *Report of the Study for the Ford Foundation on Policy and Program* (RAC Library, call letters 361.7 GAI ), which was commissioned by the Board of Trustees to chart the Foundation's future. Foundation Trustees launched Ford's international grantmaking activities in 1950 when they approved the committee's report and its embrace of peace, democracy, and freedom. Since then, the Foundation has tackled these goals using a variety of strategies and responding to changing contexts, from the Cold War to the 1989 fall of the Berlin Wall and beyond.

Toward the Foundation's aspirational goal, "the establishment of peace", its international activities have comprised a wide range of conceptual approaches and focus areas. These include international affairs, international studies, international understanding, arms control and disarmament, international law, international economic concerns, and overseas development in nearly every region of the world. Three distinct periods emerge for the international grantmaking defined by external contextual changes and internal changes in Foundation leadership and structures: the expansion era of 1950-1965; the transition and restructuring years of 1966-1988; and the post-1989 shift away from Cold War dichotomies. During each of the distinct historical periods the consistent objectives were: 1) to ensure freedom and democracy in developed countries; 2) to foster education and international understanding in all countries; and 3) to contribute to the social, economic, and political development of less developed countries.

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## Scope and Contents

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Contains records documenting: administration, the Paris office, grants, arms control, exchanges and conferences.

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## Arrangement

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Arranged in 6 series: Series I: Administrative papers. Series II: Paris Office. Series III: Grants. Series IV: Arms Control. Series V: Exchanges. Series VI: Conferences.

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## Administrative Information

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### Publication Statement

Rockefeller Archive Center

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URL: <http://www.rockarch.org>

### Immediate Source of Acquisition note

Ford Foundation archive was deposited at RAC in 2011. Ford Foundation records, correspondence, reports, program files and officers papers were transferred to, and accessioned by, RAC beginning in 2011. Accessions continue as necessary.

### Conditions Governing Use note

Completion of a use agreement is required prior to providing scholarly access. See RAC Head of Reference (or applicable designee) for details.

Ford Foundation holds title, copyright and literary rights in the collection, in so far as it holds them.

The Rockefeller Archive Center has authority to grant permission to cite and publish material from the collection for scholarly purposes. Permission to publish extensive excerpts, or material in its entirety, will be referred to the Ford Foundation.

### Conditions Governing Access note

Open for research with select materials restricted as noted. Brittle or damaged items are available at the discretion of RAC. Researchers interested in accessing digital media (floppy disks, CDs, DVDs, etc.) or audiovisual material (audio cassettes, VHS, etc.) in this collection must use an access surrogate. The original items may not be accessed because of preservation concerns. To request an access surrogate be made, or if you are unsure if there is an access surrogate, please contact an archivist.

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## Collection Inventory

### Administrative Papers, 1955-1983

#### Scope and Contents note

The administrative records contain general memoranda, policy and directives notes, early project planning, reviews and evaluations of ongoing projects, financial documents (including appropriations reports), procedures, and questionnaires. It also includes the files of some staff members, including Craufurd Goodwin and Richard Catalano, which contain correspondence, reports, memoranda, and meeting notes.

Title/Description	Instances
Appropriations reports, 1972-1975	box 1
Catalano Files - Advisory directives, 1966	box 1
Catalano Files - Budget requests, 1967	box 1
Catalano Files - East, West, and China reports, 1966	box 1
Catalano Files - Europe-Atlantic cooperation - reports, 1966	box 1
Catalano Files - Grants - active, 1967	box 1
Catalano Files - Grants - anticipated renewals, 1967	box 1
Catalano Files - Grants - Institutional Development and Exchanges, 1967	box 1
Catalano Files - Grants - Latin America, 1967	box 1
Catalano Files - Internal operations - reports and evaluations, 1966	box 1
Catalano Files - Law, international, 1965-1967	box 1
Catalano Files - Law organizations, 1965	box 1
Catalano Files - Law, pending projects, 1965	box 1
Catalano Files - Law proposals, 1963-1969	box 1
Catalano Files - Law, restrictive trade practices, 1966	box 1
Catalano Files - Office of International Relations - files transferred, 1967	box 1
Catalano Files - Pacific regions - reports, 1966	box 1
Catalano Files - Policy papers, 1965-1967	box 1
Catalano Files - Projects, pending, 1967	box 1
Catalano Files - Proposals - British Universities, 1967	box 1
Catalano Files - Science, general, 1966	box 1
Catalano Files - Science policy, Europe, undated	box 1

Catalano Files - Science projects, ideas, 1966	box 1
Catalano Files - Technology papers, 1966	box 1
Catalano Files - U.N. Commission on International Trade Law, 1966-1967	box 1
Catalano Files - U.S. World affairs and American Studies - reports, 1966	box 1
Catalano Files - World Cooperation and International Order - reports, 1966	box 1
Committee on Citizen Education in World Affairs - background information, 1966	box 1
Committee on Citizen Education in World Affairs - consultants, 1964-1965	box 1
Committee on Citizen Education in World Affairs - proposals and appropriations, 1966	box 1
Country research papers, 1967	box 1
East and West Europe review, 1967	box 1
Financial documents and memoranda, "important", 1968-1969	box 1
General administrative files, 1965-1968	box 1
Goodwin Files - Affirmative action, 1974-1975	box 1
Goodwin Files - Bader, William, 1970-1972	box 1
Goodwin Files - Bell, David, 1970-1971	box 1
Goodwin Files - Bell, David, 1972-1973	box 1
Goodwin Files - Bellagio conference (COATS), 1977	box 1
Goodwin Files - Branson, William, 1973-1974	box 1
Goodwin Files - Budgets, 1973	box 2
Goodwin Files - Budgets, 1973-1978	box 2
Goodwin Files - Bundy, McGeorge, 1971-1978	box 2
Goodwin Files - Chronological files (miscellaneous), 1977-1978	box 2
Goodwin Files - Comparative systems, 1973	box 2
Goodwin Files - Education correspondence - Howe, Meane, Ward, 1971-1975	box 2
Goodwin Files - Education in Europe, management, 1969	box 2
Goodwin Files - Gordon, S.T., 1970-1971	box 2
Goodwin Files - Grants, research procedures, 1971	box 2
Goodwin Files - Grants - Employee standards for grantees, 1972	box 2

Goodwin Files - Grants, summaries, 1969	box 2
Goodwin Files - International Division conference, 1971	box 2
Goodwin Files - International peace, security, and arms control, 1983	box 2
Goodwin Files - International subcommittees, 1967-1969	box 2
Goodwin Files - London trip with Kennedy, 1972	box 2
Goodwin Files - Memoranda, 1972-1976	box 2
Goodwin Files - Pending files, 1978	box 2
Goodwin Files - Programs, future planning, 1976	box 2
Goodwin Files - Program related investments, 1972	box 2
Goodwin Files - Projects and travel, 1975-1977	box 2
Goodwin Files - Public management, 1972	box 2
Goodwin Files - Race and ethnicity, 1977-1979	box 2
Goodwin Files - Resource bases, 1971	box 2
Goodwin Files - Russia and Poland trip, 1971	box 2
Goodwin Files - Silj, A., 1971-1973	box 2
Goodwin Files - Social Science paper, responses, 1976	box 2
Goodwin Files - Sutton, F.X., 1979-1980	box 2
Goodwin Files - Tax laws, 1972-1974	box 3
Goodwin Files - Technological innovation - Kahn, "The Next 33 Years: a Framework for Speculation", 1967	box 3
Goodwin Files - U Thant correspondence, 1971-1972	box 3
International studies - reports, mailing list, 1976-1977	box 3
Policy directives, 1957	box 3
Programs - American studies, 1965	box 3
Programs - Cultural Affairs, 1957-1962	box 3
Programs - important memoranda, 1959-1966	box 3
Programs - Language, proposal, 1978	box 3
Programs - Smaller European countries, 1965-1966	box 3
Programs - Trustees future planning, 1976	box 3
Programs - War, Peace, and Cooperation Among Nations, proposals, 1976	box 3
Public opinion and international affairs, 1957-1968	box 3



Self study, Office of International Relations, 1966-1969	box 3
Status reports - Appropriations, 1969-1978	box 3
Strategy paper - Office of International Relations, 1966	box 3
University International support, 1968-1970	box 3

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## Paris Office, 1960-1976

### Scope and Contents note

The Paris Office was open from 1970 until 1975. Its primary functions were to provide a European base for the International Affairs Department and to perform liaison services for other offices. William Bader ran the office with assistance from Marion Bieber. The files contain memoranda about policy and procedures between the Paris Office and various other offices, including New York. They also contain annual reports, circulars from Paris and other European foundations, and minutes from the European Luncheon Club, which was a periodic meeting where projects were discussed.

Title/Description	Instances
Bieber Files - Financial arrangements for closing, 1974-1976	box 3
Bieber Files - Goodwin correspondence, 1975-1976	box 3
Bieber, Marion and Bader, William - general memoranda, 1972	box 3
Bieber, Marion and Goodwin - general memoranda, 1973-1976	box 3
Bieber, Marion and Silj - general memoranda, 1972-1973	box 3
Closure of Paris Office - memoranda, 1974-1975	box 3
Communications coordination - Athens, Spain, 1960-1971	box 3
Conferences, seminars, meetings, 1973-1974	box 3
Correspondence protocols between NY and Paris offices, 1970-1971	box 3
Development of European office, 1967-1971	box 3
European Luncheon club, 1970	box 3
European Luncheon Club, 1970-1973	box 4
Goodwin files - general memoranda, 1970-1973	box 4
Goodwin files - general memoranda, 1971	box 4
Information circulars - outgoing, 1971-1972	box 4
Information circulars - outgoing, 1972-1973	box 4
International studies - Europe, memoranda, 1974-1975	box 4
Lease for Paris Office, 1970-1972	box 4

Lease for Reid Hall - memoranda, 1975-1976	box 4
Local consultants - memoranda, 1972	box 4
Memoranda - general, 1970	box 4
Memoranda - general, 1973	box 4
Memoranda - general, 1976	box 4
Opening of the Paris Office, 1970	box 4

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## Grants, 1950-1981

### Scope and Contents note

The International Affairs Department awarded grants for programs of world-wide scope, including education, exchanges, and aid in Atlantic and European countries and Pacific and East Asian regions. Grants also supported research and projects in East-West relations, and US foreign policy and understanding. The grants ranged from a few thousand to a few million dollars. These records consist of applications, proposals, evaluations, reports and all other supporting documents pertaining to the International Affairs grant program. Included are grants in support of the International Economic Order, the Young Leaders Project, Soviet Russia and Eastern European projects, and education about international affairs, such as language and cultural studies courses. The grant files also contain materials from Travel and Study grants and several grant competitions.

Title/Description	Instances
American Studies papers - grant supported, 1967-1976	box 4
Canadian based institutions - grants, 1969-1976	box 4
China - grants, 1968	box 4
Common Problems of Advanced Industrial Society, 1976	box 4
East Asia, Australia, New Zealand - grants, 1968	box 4
Eastern Europe - grants, 1964-1974	box 4
English as a Second Language - Quebec conference, 1961-1967	box 4
English as a Second Language - Hungary, 1961-1967	box 4
English as a Second Language - Japan, 1961-1967	box 4
English as a Second Language - programs, 1961-1967	box 4
Environment - grants, 1971	box 4
Europe - grants, 1970-1979	box 4
Europe and Canada - list of grants, 1970-1971	box 4
Europe and Canada - programs, 1967-1969	box 4
France and Germany - grants, 1951-1978	box 4

Grantee publications, 1969-1974	box 4
Grantee publications - annual reports, 1975-1977	box 4
Greece and Italy - grants, 1967-1972	box 4
Hungarian Refugee grants, 1957-1963	box 4
International Affairs programs grants, 1951-1968	box 4
International Economic Order - Announcements and grantees, 1972-1977	box 4
International Economic Order - Correspondence, 1973-1980	box 5
International Economic Order - International correspondence, 1978-1979	box 5
International Economic Order - Progress reports, 1974-1976	box 5
International Economics - EIA grants, 1963-1981	box 5
International Economics - EIA grants, 1970-1981	box 5
International Economics - Grantees, 1973-1977	box 5
International Law - grants, 1963-1971	box 5
International Training and Research grants, 1951-1966	box 5
Japan - grants, 1968-1973	box 5
List of grants for fiscal year, 1969	box 5
List of grants by program categories, 1950-1966	box 5
"Noteworthy" grants, 1969	box 5
Russian Research competition - Evaluations, 1975	box 5
Scandinavia - grants, 1967-1972	box 5
Scholars - grants, 1981	box 5
Soviet / Eastern Europe Research competition, 1974-1975	box 5
Soviet / Eastern Europe Research competition, 1975	box 5
Travel and Study grants, 1969	box 5
Travel and Study grants, 1964	box 5
Travel and Study grants - Awards, 1961-1967	box 5
Travel and Study grants - Basic materials, 1965	box 5
Travel and Study grants - Europe, 1957-1971	box 5
Travel and Study grants - lists of grants, 1957-1973	box 5
Two-Keys - Correspondence, 1972-1973	box 5
Two-Keys - European grants, 1968-1973	box 5

"Umbrella" project proposals, 1965	box 5
United Nations - grants, 1968-1972	box 5
Women - grants list, 1980	box 5
Young Leaders Project - correspondence, 1965-1966	box 5
Young Leaders Project - discussion and comments, 1965-1966	box 5
Young Leaders Project - Latin America, UK, US, 1965-1966	box 6
Young Leaders Project - program layout, 1965-1966	box 6

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## Arms Control, 1969-1979

### Scope and Contents note

A key program of the International Affairs Department focused on arms control research. These files contain documents supporting the goals of the arms control program, including setting up academic centers of training and research, an educational program for defense analysts or defense “managers”, and training of arms control specialists from less developed countries. In addition, these records include publications from the US Department of State and the US Arms Control and Disarmament Agency. The arms control program also supported a grant contest for research into arms control issues and solutions. Many of these grants supported conflict study programs in universities such as Harvard and MIT.

Title/Description	Instances
Appropriations for research, 1973	box 6
Articles - PRC Arms Control, France and China, undated	box 6
Competition - Arms Control - letters to winners and losers, evaluations, 1976	box 6
Competition - Arms Control - planning, 1977	box 6
Competition - Arms Control - rejected proposals (sample), 1976	box 6
Ford Foundation Arms Control activities - documents, 1971-1977	box 6
Ford Foundation Arms Control activities - documents, 1972-1974	box 6
Ford Foundation concerns with Peace and International Security, 1974	box 6
Meeting - Arms Control, 1974	box 6
Outside Evaluators - Centers for Research and Training in International Security and Arms Control, 1977-1978	box 6
Outside Evaluators - Kaysen Report on the Foundation and Arms Control, 1973	box 6
Papers on Arms Control, 1971-1974	box 6
Programs in International Security and Arms Control, 1979	box 6

Programs in Arms Control and International Security, 1972	box 6
SALT (Strategic Arms Limitation Talks) documents, 1969-1973	box 6
Seminar - Pittsburgh - Department of State publications, 1978	box 6
Seminar - Pittsburgh - Miscellaneous publications, 1978	box 6
Seminar - Pittsburgh - US Arms Control and Disarmament Agency publications, 1978	box 6
Seminar - US and Japan Defense Relations - NYC, 1979	box 6
Seminar - US and Japan Defense Relations - Proposal by Institute of War and Peace Studies, 1979	box 6

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## Exchanges, 1954-1970

### Scope and Contents note

The International Affairs Department supported scientific and scholarly exchanges with Eastern Europe, including the USSR. The programs include scholarly exchanges in Poland, Yugoslavia, and Rumania. Also supported were an Artists in Residence program in Berlin, funding for Hungarian Student Refugees to study abroad, and scientist exchanges in the USSR and various other countries.

Title/Description	Instances
Berlin Artists in Residence - General, 1963-1965	box 7

Related Materials:

### Related Materials

See also Ford Foundation grant 06300351 (PA63-351), German Academic Exchange Service, PROVIDE OPPORTUNITIES FOR YOUNG AND RENOWNED CULTURAL AND INTELLECTUAL LEADERS TO WORK AND TO VISIT BERLIN.

### Scope and Contents

Artist-In-Residence grantees include: Russero Albanese, Carlos Alsina-Roque, Gilbert Amy, Louis Andriessen, Wystan H. Auden, Ingeborg Bachmann, Angelica Behrmann, Enrique Belloc, Frederic Benrath, Eric Bentley, Elaza Benyoetz-Koppel, Luciano Berio, Michel Butor, Elliott Carter, Joel Chadabe, Mario Cravo, Alvin Curran, Franco Di Carlo, Roberto Dionisio, Wojciech Fangor, Ruth Francken-Steinreich, Alberto Ginastera, Vinko Globokar, Marvin Goldstein, Witold Gombrowicz, Dagmar Hader, Werner Haderer, Hans-Werner Henze, Peter Heyworth, Shirley Jaffe, Gerard Koch, Hans Koellreutter, Mercedes Kruschewsky, Klaus Matthes, Rudiger Rufer, Filipe Pires, Joachim Prenzlowl, Lucio Raccanelli, Piers P. Read, Antonio Reboucas, Remo Remotti, Klaus Roehler, Frederic Rzewski,

Hans-Dietrich Sander, William Scott, Roger Sessions, Gin Stefani, James Sutcliffe, Yuji Takahashi, Emilio Vedova, Roman Vlad, Paul Westheim, Yannis Xenakis, and Isang Yun.

Berlin Artists in Residence - Karl Haas, 1963	box 7
Bulgarian awards, 1968-1969	box 7
Eastern European Programs - Communist controlled countries, 1957-1960	box 7
Eastern European Programs - Finances and Appropriations, 1964-1968	box 7
Eastern European Programs - General, 1957-1968	box 7
Eastern European Programs - Prospects, 1957-1968	box 7
Eastern European Programs - Reports, 1965-1968	box 7
Eastern European Programs - Selection team candidates, 1964-1967	box 7
Eastern European Programs - Statistics, press releases, general information, 1961-1968	box 7
Eastern European Programs - Trips to East Europe, 1968	box 7
European trips and Conversations - Eugene Staples, 1967	box 7
Hungarian Awards and Correspondence, 1966-1969	box 7
Hungarian Program, 1965-1966	box 7
Hungarian Refugee Students - appropriations, 1956-1963	box 7
Hungarian Refugee Student program, 1956-1960	box 7
Hungary - Reports - Institute of International Education, 1965-1969	box 7
Polish Exchange - Application form, selectees, grantees, 1957-1959	box 7
Polish Exchange - Background and Guidelines for selection team, 1961	box 7
Polish Exchange - Candidates, Awards, Selections, 1963-1970	box 7
Polish Exchange - Docket items, 1958	box 7
Polish Exchange - General information, 1957-1961	box 7
Polish Exchange - Missions, 1957-1959	box 7
Polish Exchange - Reports - Institute of International Education, 1967-1968	box 7
Polish Exchange - Selection team material - Mr. Stone's folder, 1958-1959	box 7

Polish Exchange - Statistics, 1957-1965	box 7
Polish Exchange - Statistics and Roundtable, 1957-1969	box 7
Rumanian Program - Candidates, 1964-1966	box 7
Rumanian Program - General Information, 1963-1965	box 7
USSR - Appropriations - scholarly exchange, 1959-1963	box 7
USSR - Cultural Exchange, 1959-1960	box 7
USSR - Exchange of Scientists agreement, 1959	box 7
USSR - General, 1953-1959	box 7
Yugoslavian Program - Awardees, 1963-1969	box 7
Yugoslavian Program - Background, 1958-1967	box 7
Yugoslavian Program - Candidates and selections, 1966-1969	box 7
Yugoslavian Program - General information, 1954-1960	box 7
Yugoslavian Program - Mission Briefing documents, 1963	box 7
Yugoslavian Program - Projects, 1965-1967	box 7
Yugoslavian Program - Reports, 1959-1969	box 7

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## Conferences, 1954-1978

### Scope and Contents note

Conferences were hosted or sponsored by the International Affairs Department in support of its mission of outreach and education. These records include planning and meeting notes, agendas, programs, correspondence and lists and biographies of participants. The conferences covered various international topics, including European Development and Policy, Higher Education, and Foreign Cultures. Conferences took place in Japan, Versailles, the US, and several other places.

Title/Description	Instances
Ditchley Park Higher Education Meeting, 1964	box 8
Ditchley Park Higher Education Meeting - Transcripts (3 folders), 1964	box 8
European and American Foundation Executives Meeting - Villa Serbelloni - Bellagio, 1969	box 8
European and American Foundation Executives Meeting - Villa Serbelloni - Bellagio - Correspondence, 1969	box 8
European Overseas Development Meeting - Fregene, Italy, 1961	box 8
Ford Foundation Europe conference, 1971	box 8

International Division Conference - New York, 1973	box 8
International Press Institute Meeting at Ford Foundation, 1965	box 8
Japanese Conference (first) - Dartmouth College, NH (3 folders), 1962	box 8
Japanese Conference (second) - Kurashiki, Japan, 1964	box 8
Japanese Conference (third) - Williamsburg, VA - Agenda and schedule, 1967	box 8
Japanese Conference (third) - Williamsburg, VA - Participants, American and Japanese, 1967	box 8
Japanese Conference (third) - Williamsburg, VA - Roundtable papers, 1967	box 8
Miscellaneous sponsored conferences, 1954-1967	box 8
Policy Studies in Europe - Versailles, 1977-1978	box 8

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