



# Trilateral Commission (North America) records

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English

Describing Archives: A Content Standard

Rockefeller Archive Center

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Sleepy Hollow 10591

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## Summary Information

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<b>Repository:</b>	Rockefeller Archive Center
<b>Creator:</b>	The Trilateral Commission (North America)
<b>Title:</b>	The Trilateral Commission (North America) records
<b>ID:</b>	FA420
<b>Date [inclusive]:</b>	1972-2001
<b>Physical Description:</b>	167.03 Cubic Feet 373 letter document boxes, 12 legal boxes, 15 record storage boxes.
<b>General Physical Description note:</b>	Total volume is an approximation.
<b>Language of the Material:</b>	English .

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## Biographical/Historical note

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The Trilateral Commission is a discussion group focused mainly on policy studies which was founded in 1973 by David Rockefeller and several other prominent private sector leaders of North America, Europe and Japan to broaden the understanding of international issues and their transnational impact.

In many ways the origins of the Trilateral Commission stem from the reorganization and reconstruction of the political and economic order following the resolution of World War II. The United Nations Monetary and Financial Conference held July 1-22, 1944 at the Washington Hotel in Bretton Woods, New Hampshire, commonly known as the Bretton Woods Conference, brought together delegates from all the allied nations to stabilize and regulate the international monetary and financial order. The Bretton Woods accords established the International Bank for Reconstruction and Development, the General Agreement on Tariffs and Trade (GATT) and the International Monetary Fund (IMF). A period of significant economic growth followed particularly in the regions of Western Europe and Japan where the redevelopment of infrastructure and the expansion of trade exports increased their relative economic power.

The exchange rate management system established at Bretton Woods, with the U.S. dollar as its benchmark, remained in place until 1971 when U.S. President Richard M. Nixon abolished the direct convertibility of the U.S. dollar to gold and in effect abandoned U.S. participation in the Bretton Woods system. In this period of rapid change, economic and financial instability and growing

nationalist tendencies discouraging the open market system established at Bretton Woods, the Trilateral Commission was conceptualized and formulated.

In March 1972, speaking before Chase Bank investment forums in Montreal, London, Brussels and Paris, David Rockefeller called for "an international commission for peace and prosperity composed of private citizens drawn from the NATO countries and Japan to examine such vital fields as international trade and investment; environmental problems; control of crime and drugs; population control; and assistance to developing nations."<sup>1</sup> Rockefeller considered Japan as an essential member of the international community and viewed a commission as a mechanism for including the Japanese in the international dialogue.

While on an airplane headed to the Bilderberg group meeting in Belgium in April 1972, David Rockefeller spoke with a like-minded colleague Zbigniew Brzezinski, Director of the Research Institute on Communist Affairs, Columbia University. Two years earlier Brzezinski had published *Between Two Ages: America's Role in the Technotronic Era* in which he advocated that "a community of developed nations must eventually be formed if the world is to respond effectively to the increasingly serious crisis that in different ways now threatens both the advanced world and the Third World."<sup>2</sup> To address transnational issues and problems "an effort must be made to forge a community of the developed nations that would embrace the Atlantic States, the more advanced European communist states and Japan. These nations need not – and for a very long time could not – form a homogenous community resembling EEC."<sup>3</sup> Brzezinski's thesis called for reshaping the framework of international affairs. "Movement toward such a community will in all probability require two broad and overlapping phases. The first of these would involve the forging of community links among the United States, Western Europe and Japan, as well as other more advanced countries...the second phase would include the extension of these links to more advanced communist countries."<sup>4</sup> Brzezinski envisioned forming a trilateral body, "a high-level consultative council for global cooperation, regularly bringing together the heads of governments of the developed world to discuss their common political security, educational-scientific and economic-technological problems, as well as to deal with their moral obligations toward the developing nations."<sup>5</sup> Finally Brzezinski argued that a trilateral body intent on enabling international discourse and the sharing of information regarding issues of commonality is lacking within the existing structure of international organizations, stating that "a council of this sort, perhaps initially linking only the United States, Japan and Western Europe, and thus bringing together the political leaders of states sharing certain common aspirations and problems of modernity, would be more effective in developing common programs than is the United Nations, whose efficiency is unavoidably limited by the Cold War and northsouth divisions"<sup>6</sup>

The Bilderberg group promotes understanding and discourse between North American and Western European countries. At the April 1972 meeting David Rockefeller, as he had done previously, urged the Bilderberg Executive Committee to take a trilateral approach and invite the participation of Japan. When his recommendation for trilateralism was again rejected by Bilderberg, Rockefeller continued to pursue the idea, investigating its application through further consultations with Brzezinski, George S. Franklin, a college roommate of Rockefeller's at Harvard who served as Executive Director of the Council on Foreign Relations from 1953-1971, and interested colleagues in Europe and Japan. David Rockefeller's consultations culminated with a July 23-24, 1972 meeting at Pocantico Hills, NY attended by C. Fred Bersten, Robert Bowie, Zbigniew Brzezinski, McGeorge Bundy, Karl Carstens, Guido Colonna di Paliano, Francois Duchene, Rene Folk, George S. Franklin, Max Kohnstamm, Bayless Manning, Kiichi Miyazawa, Kinhide Mushakoji, Saburo Okita, Henry Owen, David Rockefeller and Tadashi Yamamoto; in which the participants enthusiastically agreed to take steps to establish the Trilateral Commission.<sup>7</sup>

Shortly thereafter in the Fall of 1972 and early 1973, the respective areas designated regional chairman, Zbigniew Brzezinski was selected as the Commission's Director, and financial support was obtained from several sources including but not limited to the Kettering Foundation and Ford Foundation, to supplement the initial seed monies provided by David Rockefeller.

The Commission was originally established for a 3 year period. It has since been renewed for successive triennia, most recently for a 13th triennium to be completed in 2012.

1 Rockefeller, David. *Memoirs*. New York: Random House, 2002, p.416. 2 Brzezinski, Zbigniew. *Between Two Ages*. New York: The Viking Press, 1970, p.293. 3 Brzezinski, Zbigniew. *Between Two Ages*. New York: The Viking Press, 1970, p.295. 4 Brzezinski, Zbigniew. *Between Two Ages*. New York: The Viking Press, 1970, p.296. 5 Brzezinski, Zbigniew. *Between Two Ages*. New York: The Viking Press, 1970, p.297. 6 Brzezinski, Zbigniew. *Between Two Ages*. New York: The Viking Press, 1970, p.297. 7 The Trilateral Commission: A Proposal, March 15, 1973, folder 6062, box 997, RG 3. Rockefeller Brothers Fund Records, RAC.

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## Biographical/Historical note

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Administrative Structure of the Trilateral Commission

Organizing Chairman (Founder) – David Rockefeller

Regional Chairmen – Three (One from each trilateral region)

Director – In the first triennia, the Commission had a central Director, Zbigniew Brzezinski, responsible for overseeing day to day operations, focusing particularly on policy studies.

Coordinator – In 1977, the Commission chose to decentralize by creating the position of Coordinator rather than continuing with a Director. The Coordinator, George Franklin, was chosen by the Executive Committee. The Coordinator continued to oversee Commission operations, but the European and Japanese offices were considerably strengthened.

Executive Committee – comprised of Regional Chairmen, Deputy Chairmen and other members from all three regions. The Executive Committee is responsible for the policy recommendations of the Commission.

In 2000, the Commission accomplished one of the goals Brzezinski had envisioned by expanding its membership to include representatives from other advanced democratic nations – restructuring the Japanese membership to encompass that of the Pacific Asian region including but not limited to: Australia, Malaysia, New Zealand, Philippines, Singapore and Thailand. Commission leaders have also actively sought to include individuals from communist and other non-trilateral countries in the discourse, through the planning for, and/or holding of meetings in non-trilateral areas such as Moscow (1980),

Beijing (1981) and Mexico City (1984, 1990). Such meetings were not always successfully held as initially proposed, but the Commission continues to engage opportunities to enhance the discussion through expanded participation and the inclusion of differing perspectives.

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## Scope and Contents note

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The objective of the Trilateral Commission is to foster discussion and cooperation among the core democratic industrialized areas of the world. David Rockefeller defined the Commission's primary focus as bringing "the best brains in the world to bear on the problems of the future."<sup>8</sup>

In the 1970's the dominance of the United States in the world community and economy was diminishing and there was a sense that a new more equitable paradigm of international affairs would be needed to address global challenges. The Commission acts as a platform for greater cooperation between the member regions as well as an opportunity to enhance discussion and understanding of the problems, concerns and interests common to all three areas.

Upon its founding in 1973, the Commission's immediate goals were to introduce Japan into the international community; welcome Japanese participation in trilateral discourse; and bring together prominent non-governmental leaders, representing all three geographical regions and a variety of professional fields to address the key common problems facing the three regions.

Specific aims of the Commission include:

1. To facilitate better communication. Communication in turn helps to alleviate potential misunderstandings as well as to develop greater understanding of the complex issues confronting the three regions and the world.
2. To propose policies. Policy recommendations are mainly in the area of foreign policy including economic relations, political and security concerns, and the relationship of trilateral countries to the developing world and/or to communist nations.
3. To foster understanding and support of the Commission's recommendations among governmental and private sector non-member leaders in all three trilateral regions.

Files for the regional commissions in Europe and Japan are not represented in the collection.

<sup>8</sup> Sklar, Holly ed., *Trilateralism*. South End Press, Boston, MA., 1980. p.83.

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## Administrative Information

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### Publication Statement

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URL: <http://www.rockarch.org>

### Processing Information note

Minimal archival processing of the Trilateral Commission (North America) records was conducted by Robert Battaly, Assistant Director, Head of Processing, Rockefeller Archive Center in March-April 2011 to arrange and describe the material and enable scholarly access. Further archival processing, primarily to address the preservation and conservation needs of the records, will be conducted at a later date, as necessary.

Finding Aid Preparation The finding aid was prepared by Robert Battaly, Assistant Director, Head of Processing, Rockefeller Archive Center, April 2011. Many of the contextual notes originated as annotations by Charles B. Heck, Trilateral Commission Secretary, upon shipment of the material to the Rockefeller Archive Center.

### Existence and Location of Copies note

Microfilm copies of portions of the Zbigniew Brzezinski Files (Box 241A), Gerard C. Smith Files (Box 308B-P), and Trilateral Commission Early Correspondence, 1972-1975 (Box 310A-B) are available at the Jimmy Carter Library, Atlanta, GA.

### Immediate Source of Acquisition note

The Trilateral Commission (North America) donated these records to the Rockefeller Archive Center in March 2003. The Archive Center received the collection in four accessions in 1985 (Accession 1985:153, 1985:171, 1985:201) and 2002 (2002:045).

### Conditions Governing Use note

The Trilateral Commission retains copyright to published project reports and to the issues of Trialogue. The Rockefeller Archive Center has authority to grant permission to cite and publish material from these publications. Requests to publish long excerpts from a copyrighted publication, or the publication in its entirety will be referred to the officers of the Trilateral Commission.

## Conditions Governing Access note

Records more than 15 years old are open for research with select materials restricted as noted. Brittle or damaged items are available at the discretion of RAC.

To be granted scholarly access to the records of the Trilateral Commission (North America), all users must complete an RAC use agreement. Please see the RAC Head of Reference for details.

Researchers interested in accessing digital media (floppy disks, CDs, DVDs, etc.) or audiovisual material (audio cassettes, VHS, etc.) in this collection must use an access surrogate. The original items may not be accessed because of preservation concerns. To request an access surrogate be made, or if you are unsure if there is an access surrogate, please contact an archivist.

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## Related Materials

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### Related Archival Materials note

1. Rockefeller Brothers Fund records, Grants (See Archivist for details). 2. Rockefeller Family & Associates, General Files (See Archivist for details, special permissions may be required). 3. John Stremlau Papers, Box 26, Folders 301-303. 4. Office of the Messrs. Rockefeller records, World Affairs Series Files document Rockefeller family contributions to international relations including the Institute of Pacific Relations and the Council on Foreign Relations.

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## Controlled Access Headings

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- Nuclear disarmament
- Nuclear arms control
- International relations
- International economic relations
- Energy policy
- Environmental policy
- Asia -- Foreign relations
- East-West trade
- Industrial relations
- International cooperation
- Fiscal policy



- Foreign relations
- Nuclear energy
- Asia -- Foreign economic relations -- United States
- Population policy

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## Collection Inventory

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### Meetings, 1972-2001

Physical Description: 53.5 Cubic Feet Boxes 1-94.

#### Scope and Contents

The collection does not contain documentation of the European or Japanese/Pacific Asian Executive Committee meetings.

#### Scope and Contents

The Commission serves as a forum for discussion of issues common to all three trilateral regions, primarily by organizing and holding meetings among and between its members.

#### Arrangement

Series 1 is arranged into the following subseries: 1. Preliminary and Early Meetings; 2. Principal International Meetings; 3. Other Meetings. Each subseries is loosely arranged chronologically. Subseries 3 is primarily arranged by meeting type, and subsequently loosely arranged chronologically.

#### Other Finding Aids

For an overview of meeting records contained in the collection, please refer to "Major Meetings" ([available as a PDF](#))

#### Related Materials:

#### Related Materials

Documentation of various Commission meetings is also available in the Francois Sauzey files in Series 3, the Officers Files (Series 5), and the Early Office Files in Series 6.

#### Scope and Contents

1. Preliminary and Early Meetings, 1972-1975 The preliminary and early meeting records document several preparatory meetings in the Commission's formation. Files are also included from North American regional meetings which preceded the international Executive Committee meetings in 1973 and 1974. The pattern of meetings in the early years was for the international Executive Committee meeting to be preceded by regional meetings of the entire membership in that given region.
2. Principal International Meetings The principal international meeting records provide documentation of the Executive Committee meetings, Plenary meetings, and the preparation for several meetings planned to be held outside the Trilateral area including Moscow early 1980 (a planned meeting with members from all three regions - cancelled after the Soviet invasion of Afghanistan); Beijing 1981 (a meeting held in 1981 with members from all three areas, followed by travel in China); and Mexico City 1984 (cancelled due to scheduling when it became clear

that President de la Madrid would be out of the country at the time). A conference was later held in Mexico City prior to the 1990 Plenary meeting in Washington, D.C.

Since the inception of the Commission, the location of the annual meetings has rotated among the three regions.

The first three international meetings of the Commission --- 1. Tokyo October 1973; 2. Brussels June 1974; and 3. Washington, D.C. December 1974 --- were all executive committee meetings. The first international plenary meeting was held in Tokyo/Kyoto in May 1975. The next major meeting was an executive committee meeting held in Paris in December 1975. Then the May 1976 meeting in Ottawa was a plenary meeting. All subsequent principal international meetings have been plenary meetings.

From 1976-1979 the plenary meetings took place approximately every 9 months. Since 1979, the plenary meetings have been conducted on an annual basis. The substance of the principal international meetings is collected in issues of Trialogue, (which draws together presentations from each meeting) and through the project reports (which are discussed in draft form at the meetings). Meeting participation and programs can be tracked in the program/bio books.

3. Other Meetings The records of other meetings include that of: Chairmen's Meetings, 1974-1998 (typically held just prior to the annual plenary meeting, although in some years they were held directly after the plenary meeting); Three Region Executive Committee (typically met over breakfast on one day of the plenary meeting.); North American Executive Committee and Trustees (typically met each summer and have held some special meetings); Meetings with David Rockefeller as Chairman; North American Events; North American Regional Dinners, 1986-1991 and European Regional Meetings. Documentation of various Commission meetings is also available in the Francois Sauzey files in Series 3, the Officers Files (Series 5), and the Early Office Files in Series 6.

## Preliminary and Early Meetings

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Meeting - Agenda, 1972 May 9	box 1	folder 5
Meeting - Master Copy of Proposed Agenda, 1972 May 9	box 1	folder 6
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Visit to Japan (with David Rockefeller and Joseph V. Reed, Jr.), 1972 June	box 1	folder 8
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Conditions Governing Access note:**Conditions Governing Access note**

Permanently Restricted - Confidential Material -  
Personnel

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Conditions Governing Access note:

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Conditions Governing Access note:

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Conditions Governing Access note:

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Conditions Governing Access note:

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Conditions Governing Access note:

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Conditions Governing Access note:

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Conditions Governing Access note:

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Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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Franklin/Hanophy Files	box 87A	folder 3835
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Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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Franklin/Hanophy Files - Meeting with David Rockefeller, 1983 January 27	box 87A	folder 3836
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Conditions Governing Access note:

## Conditions Governing Access note

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Franklin/Hanophy Files - Meeting with David Rockefeller, 1982 July 1	box 87A	folder 3837
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Conditions Governing Access note:

## Conditions Governing Access note

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Franklin/Hanophy Files - Meeting with David Rockefeller, 1982 June 9	box 87A	folder 3838
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Conditions Governing Access note:

## Conditions Governing Access note

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Franklin/Hanophy Files - Meeting with David Rockefeller, 1982 January 4	box 87A	folder 3839
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Conditions Governing Access note:

**Conditions Governing Access note**

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Franklin/Hanophy Files - Meeting with David Rockefeller, 1982 April 30	box 87A	folder 3840
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Conditions Governing Access note:

**Conditions Governing Access note**

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Christina Hanophy Files - North American Regional Dinners - Regional Corporate Dinner, 1990 Fall	box 87	folder 982
Christina Hanophy Files - North American Regional Dinners - Scowcroft, 1990 February 27	box 87	folder 983
Christina Hanophy Files - North American Regional Dinners - Scowcroft, 1989 October 5	box 87	folder 984
Christina Hanophy Files - North American Regional Dinners - Benedetti Dinner	box 87	folder 985
Christina Hanophy Files - North American Regional Dinners - Alan Greenspan Dinner, 1988 June	box 87	folder 986
Christina Hanophy Files - North American Regional Dinners - Alan Greenspan Dinner - Site Selection, 1988 February 9	box 87	folder 987
Christina Hanophy Files - North American Regional Dinners - Alan Greenspan Dinner, 1988 June 23	box 87	folder 988
Christina Hanophy Files - North American Regional Dinners - Alan Greenspan Dinner, 1988 June 23	box 87	folder 988A
Christina Hanophy Files - North American Regional Dinners - Kato Dinner, 1988	box 88	folder 989
Christina Hanophy Files - North American Regional Dinners - Conable Dinner, 1987 June 29	box 88	folder 990
Christina Hanophy Files - North American Regional Dinners - Various Dinner Estimates	box 88	folder 991
Christina Hanophy Files - North American Regional Dinners - Yeutter Dinner, 1986 December	box 88	folder 992
Hanophy Files - North American Events - Pocantico Dinner, 2000 October	box 88	folder 993
Hanophy Files - North American Events - Stephen Bosworth Event, 1999 February 10	box 88	folder 994



Hanophy Files - North American Events - Trustees/Executive Committee (and possible dinner/lunch), 1993 October 7	box 88	folder 995
Hanophy Files - North American Events - Peter Sutherland (GATT) Luncheon, 1993 September 22	box 88	folder 996
Hanophy Files - North American Events - Fall Dinner, 1991 November 7	box 88	folder 997
Hanophy Files - North American Events, 1991 November 7-9	box 88	folder 998
Hanophy Files - North American Events - Corporate Event, 1992-1993 Fall/Winter	box 89	folder 999
Hanophy Files - North American Events - Salinas Seating Arrangements	box 89	folder 1000
Hanophy Files - North American Events - Lambsdorf Dinner, 1992 Winter	box 89	folder 1001
Hanophy Files - North American Events - Hosokawa Dinner (Cancelled), 1994 December 5	box 89	folder 1002
Hanophy Files - North American Events - Hosokawa Dinner (Revived), 1995 March 1	box 89	folder 1003
Hanophy Files - North American Events - Event: Speaker: Jose Aznar, 1995 June 1	box 89	folder 1004
Hanophy Files - North American Events - Possible Rubin Dinner, 1994 March	box 89	folder 1005
Hanophy Files - North American Events, 1994-1995	box 89	folder 1006
Hanophy Files - North American Events - Romano Prodi Event, 1996 Fall/Winter	box 89	folder 1007
Hanophy Files - North American Events - Secretary William Perry Event, 1996	box 89	folder 1008
Hanophy Files - Interoffice Memos and Correspondence, 1987-1989	box 89	folder 1009
North American Events - 25th Anniversary Evening and Publication, 1998	box 90	folder 1010
North American Events - 25th Anniversary Evening and Publication, 1998	box 90	folder 1011
North American Events - 25th Anniversary Evening and Publication, 1998	box 90	folder 1012
North American Events - 25th Anniversary Evening and Publication, 1998	box 90	folder 1013
North American Events - 25th Anniversary Evening and Publication, 1998	box 90	folder 1014
North American Events - 25th Anniversary Evening and Publication, 1998	box 90	folder 1015

North American Events - 25th Anniversary Evening and Publication, 1998	box 90	folder 1016
North American Events - 25th Anniversary Evening and Publication, 1998	box 90	folder 1017
North American Events - 25th Anniversary Evening and Publication, 1998	box 90	folder 1018
North American Events - 25th Anniversary Evening and Publication, 1998	box 90	folder 1019
North American Events - 25th Anniversary Evening and Publication, 1998	box 90	folder 1019A
Rohesia Hamilton Metcalfe Files - North American Events - Salinas Lunch, 1992	box 91	folder 1020
Rohesia Hamilton Metcalfe Files - North American Events - Otto Dinner, 1992	box 91	folder 1021
Rohesia Hamilton Metcalfe Files - North American Events - David Rockefeller - Volcker Dinner, 1991 November 7	box 91	folder 1022
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Rohesia Hamilton Metcalfe Files - North American Events - Bosworth, 1998	box 92	folder 1034

Rohesia Hamilton Metcalfe Files - North American Events - John Hair 25th Anniversary Design, 1998	box 92	folder 1035
Rohesia Hamilton Metcalfe Files - North American Events - 25th Anniversary - Lighting, 1998	box 92	folder 1036
Rohesia Hamilton Metcalfe Files - North American Events - 25th Anniversary - Flowers, 1998	box 92	folder 1037
Rohesia Hamilton Metcalfe Files - North American Events - 25th Anniversary - Miscellaneous Other Contracts, 1998	box 92	folder 1038
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Rohesia Hamilton Metcalfe Files - North American Events - 25th Anniversary - Thanks	box 92	folder 1040
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Rohesia Hamilton Metcalfe Files - North American Events - 25th Anniversary Accommodations/Travel, 1998	box 93	folder 1043
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Rohesia Hamilton Metcalfe Files - North American Events - 25th Anniversary - Souvenir Program, 1998	box 93	folder 1046
Rohesia Hamilton Metcalfe Files - North American Events -25th Anniverary - Calligraphy, 1998	box 93	folder 1047
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Rohesia Hamilton Metcalfe Files - North American Events - Karine Excel Chart, 1997	box 93	folder 1050
Rohesia Hamilton Metcalfe Files - North American Events - Guest Speaker Bios, 1996	box 93	folder 1051
Rohesia Hamilton Metcalfe Files - North American Events - Guest Speaker Bios, 1996	box 93	folder 1051A

## Scope and Contents note

Including Henry Kissinger

Rohesia Hamilton Metcalfe Files - North American Events - Memos, 1989-1998	box 93	folder 1052
Rohesia Hamilton Metcalfe Files - North American Events - Speeches/Statements, 1989-1995	box 93	folder 1053
Stephen Bosworth North American Event - Final, 1998	box 93	folder 1054
Stephen Bosworth North American Event - Substantive Papers, 1998	box 94	folder 1055
Stephen Bosworth North American Event - Responses, 1998	box 94	folder 1056
Stephen Bosworth North American Event - Invitation Lists, 1998	box 94	folder 1057
Stephen Bosworth North American Event - Invitations, 1998	box 94	folder 1058
25th Anniversary Evening and Publications - General, 1998	box 94	folder 1059

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## Task Force Reports/Projects, 1973-2001

Physical Description: 60.6 Cubic Feet Boxes 95=209.

### Scope and Contents

Apart from the gathering of the membership at meetings, the other major activity of the Trilateral Commission involves writing and distributing policy studies which are undertaken primarily through the creation, development and distribution of Task Force or Project reports, also known as the Triangle Papers.

Task Force or Project Reports typically involved a team of authors from the three regions working together for a year or more to create a report. The report was often discussed, usually in draft form, at an annual meeting. Reports have usually been published and circulated widely once they are completed.

There are several exceptions to this general rule: 1. Three reports had single authors: the 1985 Nishihara Report; the 1990 Israel-Pakistan FitzGerald paper; and the 1992 Gyohten paper. 2. Seven are signed individual essays, sometimes with a joint conclusion. 3. Several reports were completed with the help of outside/unaffiliated institutes such as the Atlantic Institute.

In 1991-1992 three working groups were created – 1. Common Security Issues – which led to Report 43 : Keeping the Peace in the Post-Cold War Era: Strengthening Multilateral Peacekeeping (1993). 2. Global Issues (Migration and Refugees) – which led to Report 44: International Migration Challenges in a New Era (1993). 3. Economic Interdependence – which served as the framework for Gyohten's Report 42: Regionalism in a Converging World (1992)

Individual papers prepared in the context of these working groups were published in the 1992 Working Group Papers.

The task force reports/projects permit intensive consideration of an issue, or a set of issues, and allow the participation of experts, including non-members, possibly from nontrilateral countries as authors, consultants or

contributors. The reports often serve to provide context and perspective rather than recommending a set of specific policy directives.

The topics are chosen by the Commission leadership (chairmen, deputy chairmen, and directors) with the advice of the Executive Committee and others.

Topics include: 1. Economic Relations (monetary, fiscal, trade and investment policy); 2. Security (arms control, nuclear proliferation); 3. Energy issues (and the political implications of energy policies); 4. Relations among trilateral countries; 5. Relations with developing countries; 6. Relations with communist countries; 7. International institutions and political consultations.

The task force reports/projects are reported to the Trilateral Commission, not of the Trilateral Commission. This distinction is an important one because attempting to reach a consensus among trilateral countries is often extremely difficult or impossible to achieve. The Commission in effect is not coming to a consensus on the issues being discussed; instead several appropriate individuals are chosen to investigate a topic and their findings are then reported to the Commission.

A unique characteristic of the trilateral reporting process involves giving experts a forum within which to discuss issues, and to potentially seek multilateral long term solutions and common ground, without the pressures of national interests, which so often dominate bilateral negotiations between countries.

## Arrangement

This series is arranged numerically by Report Number (Task Force Reports 1-55). Accompanying files extend through Report 52 (1998).

## Scope and Contents

The Task Force files contained in the collection have accompanying "front matter" which chronicles the process by which each report was developed, often including various draft chapters and reports, correspondence between authors and/or authors meeting records and meetings with other consultants or experts. Accompanying files may also include publication arrangements, and documentation of press conferences and/or publicity.

Box 209 contains two copies of most published reports. One copy is available of Report #37, #38, #39, #42 and #53. A final published version of Report #52 is not available in the archival collection. There is no individual copy of Report #9, but #9 is available in the combined volumes published by the NYU Press.

Four draft reports were not completed for publication – Report #20: Major Payment Imbalances and International Financial Stability (1979); Labor Market Problems and Policies in Modern Trilateral Societies (no assigned #, 1980); Report #34: Science and Technology in Trilateral Relations: Competition and Cooperation (1987); and Report #47: Revitalizing Trilateral Democracies.

Most reports were translated into Japanese. Many were translated into German. Some were translated into Spanish, French, Russian, and/or Italian. Translations are sporadically available within the body of the collection.

## Scope and Contents

Trilateral Commission - Task Force Reports (Triangle Papers)

1. Towards a Renovated World Monetary System The Trilateral Commission (© 1973); Motoo Kaji, Richard N. Cooper and Claudio Segré. ISBN: 0-930503-61-9. Related Archival Material: Monetary Task Force (Box 95, 209, 308E). RAC Library: 339.5 KAJ.

2. The Crisis of International Cooperation The Trilateral Commission (© 1974). François Duchêne, Kinhide Mushakoji and Henry Owen. ISBN: 0-930503-60-0. Related Archival Material: Political Task Force (Box 95, 209, 308E, 345).

3. A Turning Point in North-South Economic Relations The Trilateral Commission (© 1974). Richard N. Gardner, Saburo Okita and B.J. Udink. ISBN: 0-930503-56-2. Related Archival Material: LDC Task Force (Box 96, 97, 209, 308E).
4. Directions for World Trade in the Nineteen-Seventies The Trilateral Commission (© 1974). Guido Colonna Di Paliano, Philip H. Trezise and Nobuhiko Ushiba. ISBN: 0-930503-59-7. 36 pp. Related Archival Material: Trade Task Force (Box 97, 98, 209, 308E).
5. Energy: The Imperative for a Trilateral Approach The Trilateral Commission (© 1974). John C. Campbell, Guy de Carmoy and Shinichi Kondo. ISBN: 0-930503-58-9. 36 pp. Related Archival Material: Energy Task Force (Box 98, 99, 209, 308F, 343).
6. Energy: A Strategy for International Action The Trilateral Commission (© 1974). John C. Campbell, Guy de Carmoy and Shinichi Kondo. ISBN: 0-930503-57-0. 48 pp. Related Archival Material: Energy Task Force (Box 98, 99, 209, 308F, 343). RAC Library: 333.8 CAM.
7. OPEC, the Trilateral World, and the Developing Countries: New Arrangements for Cooperation, 1976-1980 The Trilateral Commission (© 1975). Richard N. Gardner, Saburo Okita and B.J. Udink. ISBN: 0-930503-55-4. 32 pp. Related Archival Material: LDC Task Force (Box 96, 97, 209, 308E).
8. The Crisis of Democracy Trilateral Commission © 1975, New York University Press. Michel Crozier, Samuel P. Huntington and Joji Watanuki. ISBN: 0-8147-1305-3. 220 pp. Related Archival Material: Democracies Task Force (Boxes 99, 100, 209, 356).
9. A New Regime for the Oceans Trilateral Commission © 1976, New York University Press. Michael Hardy, Ann L. Hollick, Johan Jørgen Holst, Douglas M. Johnston and Shigeru Oda. ISBN: 0-930503-54-6. 54 pp. Related Archival Material: Oceans Task Force (Box 101, 102, 209, 308E).
10. Seeking a New Accommodation in World Commodity Markets The Trilateral Commission (© 1976). Carl E. Beigie, Wolfgang Hager and Sueo Sekiguchi. ISBN: 0-930503-53-8. 40 pp. Related Archival Material: Access to Supplies Task Force (Box 102, 103, 209). RAC Library: 382 BEI.
11. The Reform of International Institutions The Trilateral Commission (© 1976). C. Fred Bergsten, Georges Berthoin and Kinhide Mushakoji. 31 pp. Related Archival Material: Institutions Task Force (Box 104, 209, 356). RAC Library: 382 BER.
12. The Problem of International Consultations The Trilateral Commission (© 1976). Egidio Ortona, J. Robert Schaetzel and Nobuhiko Ushiba. ISBN: 0-930503-51-1. 21 pp. Related Archival Material: Consultations Task Force (Box 104, 105, 209, 356).
13. Collaboration with Communist Countries in Managing Global Problems: An Examination of the Options The Trilateral Commission (© 1977). Chihiro Hosoya, Henry Owen and Sir Andrew Shonfield. ISBN: 0-930503-47-3. 33 pp. Related Archival Material: Communist Involvement Task Force (Box 105, 106, 209).
14. Towards a Renovated International System The Trilateral Commission (© 1977). Richard N. Cooper, Karl Kaiser and Masataka Kosaka. ISBN: 0-930503-46-5. 68 pp. Related Archival Material: Renovated International System Task Force (Box 107, 108, 209).
15. An Overview of East-West Relations The Trilateral Commission (© 1978). Jeremy R. Azrael, Richard Löwenthal and Tohru Nakagawa. ISBN: 0-930503-42-2. 70 pp. Related Archival Material: East-West Task Force (Box 108, 109, 110, 209, 234, 308F). RAC Library: 337 TRI.
16. Reducing Malnutrition in Developing Countries: Increasing Rice Production in South and Southeast Asia The Trilateral Commission (© 1978). Umberto Colombo, D. Gale Johnson and Toshio Shishido. ISBN: 0-930503-41-4.

55 pp. Related Archival Material: Food Task Force (Box 110, 111, 112, 113, 114, 115, 209, 308E). RAC Library: 337 TRI.

17. Energy: Managing the Transition The Trilateral Commission (© 1978). John C. Sawhill, Keichi Oshima and Hanns W. Maull. ISBN: 0-930503-40-6. 92 pp. Related Archival Material: Energy Task Force (Box 115, 116, 117, 118, 119, 209). RAC Library: 337 TRI.

18. Collective Bargaining and Employee Participation in Western Europe, North America and Japan The Trilateral Commission (© 1979). Benjamin C. Roberts, George C. Lodge and Hideaki Okamoto. ISBN: 0-930503-36-8. 90 pp. Related Archival Material: Labor-Management Task Force (Box 120, 121, 122, 123, 124, 209, 235). RAC Library: 337 TRI.

19. Industrial Policy and the International Economy The Trilateral Commission (© 1979). John Pinder, Takashi Hosomi and William Diebold. ISBN: 0-930503-35-X. 82 pp. Related Archival Material: Industrial Policy Task Force (Box 124, 125, 126, 209, 347). RAC Library: 337 TRI & 338.91 PIN.

20. Major Payments Imbalances and International Financial Stability The Trilateral Commission (© 1979, in draft form). Masao Fujioka, Alexandre Lamfalussy and Bruce K. MacLaury. Related Archival Material: Payments Imbalances Task Force (Box 127, 128, 129, 226).

Unemployment and Smoothing Adaptation (No # assigned) The Trilateral Commission (© 1980, in draft form). Heinz Markmann, Richard Nelson, and Tadashi Hanami. Related Archival Material: Employment Task Force (Box 130, 131, 132).

21. Trade in Manufactured Products with Developing Countries: Reinforcing North-South Partnership The Trilateral Commission (© 1981). Albert Fishlow, Jean Carrière and Sueo Sekiguchi. ISBN: 0-930503-29-5. 85 pp. Related Archival Material: North South Trade Task Force (Box 132, 133, 134, 135, 136, 137, 209, 327). RAC Library: 382 FIS.

22. The Middle East and the Trilateral Countries The Trilateral Commission (© 1981). Garret FitzGerald, Hideo Kitahara, Arrigo Levi and Joseph Sisco. ISBN: 0-930503-28-7. 52 pp. Related Archival Material: Middle East Task Force (Box 138, 139, 140, 141, 142, 209). RAC Library: 327 MID.

23. The Trilateral Countries in the International Economy of the 1980s The Trilateral Commission (© 1982). Miriam Camps, Ryokichi Hirono and Karsten Laursen. ISBN: 0-930503-24-4. 64 pp. Related Archival Material: New Vistas Task Force (Box 142, 143, 144, 145, 146, 209).

24. East-West Trade at a Crossroads: Economic Relations with the Soviet Union and Eastern Europe Trilateral Commission © 1982, New York University Press. Robert V. Roosa, Michiya Matsukawa and Armin Gutowski. ISBN: 0-8147-7385-0. 119 pp. Related Archival Material: East-West Trade Task Force (Box 147, 148, 149, 150, 209, 226). RAC Library: 382 ROO.

25. Sharing International Responsibilities Among the Trilateral Countries The Trilateral Commission (© 1982). Nobuhiko Ushiba, Graham Allison and Thierry de Montbrial. ISBN: 0-930503-20-1. 102 pp. Related Archival Material: Sharing Responsibilities Task Force (Box 150, 151, 152, 153, 209, 226). RAC Library: 337.1 USH.

26. Trilateral Security: Defense and Arms Control Policies in the 1980s. The Trilateral Commission (© 1983). Gerard L. Smith, Paolo Vittorelli and Kiichi Saeki. ISBN: 0-930503-19-8. 106 pp. Related Archival Material: Trilateral Security Task Force (Box 153, 154, 155). RAC Library: 355 SMI.

27. Facilitating Development in a Changing Third World: Trade, Finance, Aid The Trilateral Commission (© 1983). Takeshi Watanabe, Jacques Lesourne and Robert S. McNamara. ISBN: 0-930503-18-X. 126 pp. Related Archival Material: Strategies for Assistance to Developing Countries Task Force (Box 155, 156, 157, 226). RAC Library: 338.91 WAT.

28. Democracy Must Work: A Trilateral Agenda for the Decade Trilateral Commission © 1984, New York University Press. David Owen, Zbigniew Brzezinski and Saburo Okita. ISBN: 0-8147-6161-5. 88 pp. Related Archival Material: Box 158, 159, 209. RAC Library: 337 OWE.
29. Agricultural Policy & Trade: Adjusting Domestic Programs in an International Framework Trilateral Commission © 1985, New York University Press. D. Gale Johnson, Kenzo Hemmi and Pierre Lardinois. ISBN: 0-8147-4168-1. 132 pp. Related Archival Material: Box 160, 161, 162, 163, 209. RAC Library: 338.1 JOH.
30. East Asian Security & The Trilateral Countries Trilateral Commission © 1985, New York University Press. Masashi Nishihara. ISBN: 0-8147-5759-6. 111 pp. Related Archival Material: Box 163, 209. RAC Library: 355 SMI.
31. Prospects for East-West Relations The Trilateral Commission (© 1986). William G. Hyland, Karl Kaiser and Hiroshi Kimura. ISBN: 0-930503-00-7. 52 pp. Related Archival Material: Box 163, 164, 209.
32. Conditions for Partnership in International Economic Management The Trilateral Commission (© 1986). C. Fred Bergsten, Etienne Davignon and Isamu Miyazaki. ISBN: 0-930503-01-5. 56 pp. Related Archival Material: Box 165, 166, 209.
33. Restoring Growth in the Debt-Laden Third World The Trilateral Commission (© 1987). Martin Feldstein, Hervé de Carmoy, Koei Narusawa and Paul R. Krugman. ISBN: 0-930503-02-3. 82 pp. Related Archival Material: Box 166, 167, 168, 169, 170, 209.
34. Science and Technology in Trilateral Relations: Competition and Cooperation The Trilateral Commission (© 1988, in draft form). Takashi Mukaibo, Harold Brown and Luis Solana. Related Archival Material: Box 170, 171, 172, 173, 209.
35. East Asia in Transition: Challenges for the Trilateral Countries The Trilateral Commission (© 1988). Richard C. Holbrooke, Roderick MacFarquhar and Kazuo Nukazawa. ISBN: 0-930503-04-X. 80 pp. Related Archival Material: Box 173, 173A, 209.
36. East-West Relations The Trilateral Commission (© 1989). Valéry Giscard d'Estaing, Yasuhiro Nakasone and Henry Kissinger. ISBN: 0-930503-06-6. 23 pp. Related Archival Material: Box 174, 175, 176, 177, 209.
37. International Financial Integration: The Policy Challenges The Trilateral Commission (© 1989). Shijuro Ogata, Richard N. Cooper and Horst Schulmann. ISBN: 0-930503-07-4. 28 pp. Related Archival Material: Box 177A, 178, 209.
38. The Israeli-Palestinian Issue The Trilateral Commission (© 1990). Garret FitzGerald. ISBN: 0-930503-66-X. 42 pp. Related Archival Material: Box 179, 209.
39. Latin America at a Crossroads: The Challenge to the Trilateral Countries The Trilateral Commission (© 1990). George W. Landau, Julio Feo and Akio Hosono. ISBN: 0-930503-62-7. 56 pp. Related Archival Material: Box 180, 181, 182, 209.
40. Beyond Interdependence: The Meshing of the World's Economy and the Earth's Ecology Jim MacNeill © 1991, Oxford University Press. ISBN: 0-19-507126-3. 192 pp. Related Archival Material: Box 184, 185, 209.
41. Global Cooperation After the Cold War: A Reassessment of Trilateralism The Trilateral Commission (© 1991). Joseph S. Nye, Jr., Kurt Biedenkopf and Motoo Shiina. ISBN: 0-930503-67-8. 64 pp. Related Archival Material: Box 186, 209.
42. Regionalism in a Converging World The Trilateral Commission (© 1992). Toyoo Gyohten. ISBN: 0-930503-68-6. 16 pp. Related Archival Material: 209.



43. Keeping the Peace in the Post-Cold War Era: Strengthening Multilateral Peacekeeping The Trilateral Commission (© 1993). John Roper, Masashi Nishihara, Olara Otunnu and Enid Schoettle. ISBN: 0-930503-70-8. 101 pp. Related Archival Material: Box 183, 186, 187, 188, 209.
44. International Migration Challenges in a New Era The Trilateral Commission (© 1993). Doris Meissner, Robert D. Hormats, Antonio Garrigues Walker and Shijuro Ogata. ISBN: 0-930503-69-4. 116 pp. Related Archival Material: Migration and Refugees Working Group (Box 189, 190, 191, 192, 209).
- Structural Convergence Project, 1993-1994 (Aborted). Related Archival Material: Box 192.
45. An Emerging China in a World of Interdependence The Trilateral Commission (© 1994). Yoichi Funabashi, Michel Oksenberg and Heinrich Weiss. ISBN: 0-930503-71-6. 84 pp. Related Archival Material: Box 193, 194, 195, 209.
46. Engaging Russia The Trilateral Commission (© 1995). Robert D. Blackwill, Rodric Braithwaite and Akihiko Tanaka. ISBN: 930503-72-4. 139 pp. Related Archival Material: Box 195, 196, 197, 209.
47. Revitalizing Trilateral Democracies The Trilateral Commission (© 1995, in draft form only). Robert D. Putnam, Jean-Claude Casanova and Seizaburo Sato. Related Archival Material: Box 198, 199, 209.
48. Maintaining Energy Security in a Global Context The Trilateral Commission (© 1996). William F. Martin, Ryukichi Imai and Helga Steeg. ISBN: 0-930503-73-2. 117 pp. Related Archival Material: Box 200, 201, 202, 203, 209.
49. Globalization and Trilateral Labor Markets: Evidence and Implications The Trilateral Commission (© 1996). Niels Thygesen, Yutaka Kosai and Robert Z. Lawrence. ISBN: 0-930503-74-0. 112 pp. Related Archival Material: Box 203, 204, 209.
50. Managing the International System Over the Next Ten Years: Three Essays The Trilateral Commission (© 1997). Bill Emmott, Koji Watanabe and Paul Wolfowitz. ISBN: 0-930503-76-7. 62 pp. Related Archival Material: Box 204, 205, 209.
51. Community-Building With Pacific Asia The Trilateral Commission (© 1997). Charles E. Morrison, Akira Kojima and Hanns W. Maull. ISBN: 0-930503-75-9. 123 pp. Related Archival Material: Box 205, 206, 207, 209.
52. Advancing Common Purposes in the Broad Middle East The Trilateral Commission (1998). Robert H. Pelletreau, Reinhard Schlagintweit, Yoshiji Nogami and Dominique Moïsi. ISBN: 0-930503-77-5. 30 pp. Related Archival Material: 208.
53. 21st Century Strategies of the Trilateral Countries: In Concert or Conflict? The Trilateral Commission (1999). Robert Zoellick, Peter D. Sutherland and Hisashi Owada. ISBN: 0-930503-78-3. 66 pp. Related Archival Material: Box 209.
54. The New Central Asia: In Search of Stability The Trilateral Commission (2000). Alexander Rahr, Sherman Garnett and Koji Watanabe. ISBN: 0-930503-79-1. 79 pp. Related Archival Material: Box 209.
55. East Asia and The International System The Trilateral Commission (2001). Coordinator: Charles E. Morrison. Other Authors: Wendy K. Dobson, Michel Oksenberg, Hisashi Owada, Hadi Soesastro. Study Group chair: Tadashi Yamamoto. ISBN: 0-930503-80-5. 88 pp. Related Archival Material: Box 209.
56. Addressing The New International Terrorism: Prevention, Intervention and Multilateral Cooperation The Trilateral Commission (2003). Authors: Joseph S. Nye, Jr., Yukio Satoh and Paul Wilkinson. ISBN: 0-930503-81-6. 31pp. Related Archival Material: Not contained in collection.

57. The "Democracy Deficit" in the Global Economy: Enhancing the Legitimacy and Accountability of Global Institutions The Trilateral Commission (2003). Authors: Joseph S. Nye, Jr. and others. ISBN: 0-930503-84-8. 96pp. Related Archival Material: Not contained in collection.

58. The New Challenges to International, National and Human Security Policy The Trilateral Commission (2004). Anne-Marie Slaughter, Carl Bildt and Kazuo Ogura. ISBN: 0-930503-86-4. 66 pp. Related Archival Material: Not contained in collection.

59. Engaging with Russia: The Next Phase The Trilateral Commission (2006). Roderic Lyne, Strobe Talbott and Koji Watanabe. ISBN: 0-0-930503-87-2; 978-0-930503-87-1. 192 pp. Related Archival Material: Not contained in collection.

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63. The Global Economic Crisis The Trilateral Commission (2011). E. Gerald Corrigan, Sir Callum McCarthy, Naoki Tanaka, Paul A. Volcker, and Bill Emmott. ISBN: 978-0-930503-93-2. 64 pp. Related Archival Material: Not contained in collection.

64. Nuclear Disarmament and Nonproliferation The Trilateral Commission (2011). Harold Brown, Graham Allison, Gilles Andréani, Kim Sung-han, Sergei Karaganov, Ariel E. Levite, Masashi Nishihara and Rajiv Sikri. ISBN: 978-0-930503-94-9. 120 pp. Related Archival Material: Not contained in collection.

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### Scope and Contents

The Publications series contains documentation of the Commission's principal publication Trialogue, as well as the Commission's Annual Reports, 1974-1991, and a limited selection of publication distribution files.

Triologue serves as the Commission's bulletin or newsletter including documentation of the Annual Meeting, other reports, speeches, and relevant policy discussions. Trialogue began as a mimeographed bulletin circulated to members and friends. It became a printed affair in 1975. With Francois Sauzey as its editor it grew to a substantial magazine with one issue each year covering the annual meeting of the Commission and other issues each covering specific topics. But its subscriber base was not large enough to sustain an expanded publication schedule. In mid-1984, after Sauzey left the staff, Trialogue shifted to producing only one issue each year covering the annual plenary meeting. There were two special issues in the 1990s – a compilation of the Working Group papers in 1992, and the Commission's 25th Anniversary in 1998 (with Sauzey returning as its editor).

### Arrangement

The Trialogue records are loosely arranged by Issue Number, 1-54 (1973-2000).

## Scope and Contents

Some issues of Trialogue have related files, correspondence and work product within the collection. Many issues are represented only by a copy of the final publication. Final publications are available in Boxes 220-223.

Archival material related to Issue 4 is in Box 340 only. No final publication copy of Issue 4 is available.

## Scope and Contents

Francois Sauzey Files – Sauzey was a member of the Commission's New York staff from mid-1976 through mid-1984. His primary responsibility was to act as editor of Trialogue. Sauzey also handled much of the Commission's public relations. In addition to Sauzey's Trialogue and public relations records, his files also contain meeting records and correspondence from his time with the Commission.

## Scope and Contents

Trilateral Commission - Trialogue Issues

T1. Trialogue: A Bulletin Of North American-European-Japanese Affairs: Oct. 1973. The Trilateral Commission (© 1973). Ed: Michael J. Sodaro. 6pp. Related Archival Material: Box 210, 336.

T2. Trialogue: A Bulletin Of North American-European-Japanese Affairs: Nov 1973. (Includes "Statement Of Purposes" Of The Trilateral Commission). The Trilateral Commission (© 1973). Ed: Michael J. Sodaro. Related Archival Material: Box 210, 220.

T3. Trialogue: A Bulletin Of North American-European-Japanese Affairs: Dec. 1973 - Jan. 1974. The Trilateral Commission (© 1974). Ed: Michael J. Sodaro. Includes contribution by: Robert R. Bowie. 6pp. Related Archival Material: Box 210, 220.

T4. Trialogue: A Bulletin Of North American-European-Japanese Affairs: Feb-Mar. 1974. The Trilateral Commission (© 1974). Ed: Michael J. Sodaro. 6pp. Related Archival Material: Box 340.

T5. Trialogue: A Bulletin Of North American-European-Japanese Affairs: May-July 1974. The Trilateral Commission (© 1974). Ed: Charles B. Heck. Contributions by: Kazushige Hirasawa and Harold Brown. 18pp. Related Archival Material: Box 210, 220.

T6. Commission Recommends Cooperation With Oil-Exporters, Proposes New Aid Agency, Consults With Ford And Kissinger. The Trilateral Commission (© 1975). Ed: Charles B. Heck. Contributions by: Nobuhiko Ushiba, Paul Delouvrier, George W. Ball and Andrew Shonfield. 16pp. Related Archival Material: Box 210, 220.

T7. Trilateral Leaders Discuss Global Redistribution Of Power And Problems Of Trilateral Community, Japan, May 1975. The Trilateral Commission (© 1975). Ed: Charles B. Heck. Contributions by: Takeshi Watanabe, Saburo Okita, Ralf Dahrendorf and Zbigniew Brzezinski. 16pp. Related Archival Material: Box 210, 220.

T8. Is Reform An Illusion? A Trilateral Perspective On International Relations. The Trilateral Commission (© 1975). Ed: Charles B. Heck. Contributions by: Christopher J. Makins and Charles B. Heck. 8pp. Related Archival Material: Box 210, 220.

T9. Economic Cooperation And Resource Management. The Trilateral Commission (© 1976). Ed: Charles B. Heck. Contributions by: Guido Carli, Claude Cheysson and Kinhide Mushakoji. 15pp. Related Archival Material: Box 210, 220.

T10. Looking Back.....And Forward. The Trilateral Commission (© 1976). Ed: Charles B. Heck. Contributions by: Richard N. Cooper, Richard N. Gardner, Philip H. Tresize, John C. Campbell, Samuel P. Huntington, John H. Perkins, P. Nyboe Andersen, Marina v.N. Whitman, Peter Dobell and Takeshi Watanabe. 12pp. Related Archival Material: Box 210, 220.

T11. Improper Corporate Payoffs Termed A "Cancer" Which Weakens Firms, Subverts Markets And Threatens Democratic Values, May 1976 Ottawa Meeting. The Trilateral Commission (© 1976). Ed: Charles B. Heck. Contributions by: Pierre Trudeau, Lloyd N. Cutler and Zbigniew Brzezinski. 16pp. Related Archival Material: Box 210, 220.

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T15. Trilateral Meeting In Bonn Discusses East-West Relations And Strategies To Increase Food Production In LDCs And Non-Proliferation Policies. The Trilateral Commission (© 1977). Ed: François Sauzey. Contributions by: Gerard C. Smith, Kinya Niizeki, André Giraud, Zbigniew Brzezinski and Bunroku Yoshino. Related Archival Material: Box 210, 220, 223, 356.

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Francois Sauzey Files - T15 - Bonn Meeting, 1977	box 223	folder 2442
Francois Sauzey Files - Subscription and Finances, 1980-1982	box 223	folder 2443
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Francois Sauzey Files - Latin America Trialogue, 1982	box 223	folder 2446
Francois Sauzey Files - Combined Volume Trialogue	box 223	folder 2447
Francois Sauzey Files - Meetings, 1977	box 223	folder 2448
Francois Sauzey Files - Press, 1978	box 223	folder 2449
Francois Sauzey Files - Miscellaneous Letters and Memos, 1976-1977	box 223	folder 2450
Francois Sauzey Files - Clippings and Reports	box 224	folder 2451
Francois Sauzey Files - Makins Memos on Europe, 1976	box 224	folder 2452
Francois Sauzey Files - Memorandums, 1977	box 224	folder 2453
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Francois Sauzey Files - Small Meetings; Study Group	box 225	folder 2461
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Francois Sauzey Files - Announcements re: TC (NA), 1977	box 225	folder 2462
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Francois Sauzey Files - Publications - Orders from University Press, 1980	box 226	folder 2478
Francois Sauzey Files - Brochure	box 226	folder 2479
Francois Sauzey Files - Special Requests, 1978 Fall-1979	box 226	folder 2480
Francois Sauzey Files - Meetings - Tokyo Plenary Meeting, 1982	box 226	folder 2481
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Francois Sauzey Files - Meetings - Washington, D.C. Plenary Meeting, 1981 March	box 226	folder 2483
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Subscribers Prior to Reconstruction	box 239A	folder 3850
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Old Subscriber Lists	box 239A	folder 3851
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Subscriber List, 1994-1993-March November	box 239A	folder 3852
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Subscriber List, 1994 April-May	box 239A	folder 3853
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Old Subscriber List, Pre-April 30, 1995	box 239A	folder 3854
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Subscribers as of February 1, 1993	box 239A	folder 3855
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Book Orders Shipped, 1993	box 239A	folder 3856
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Brookings Flier Mailing, 1993 December 3	box 239A	folder 3857
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Rohesia Hamilton Metcalfe Files - Publications Distribution	box 239A	folder 3858
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Rohesia Hamilton Metcalfe Files - Publications Distribution - In House Mailing Lists, 1993	box 239A	folder 3859
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Capital City Mailing, 1993 August	box 239A	folder 3860
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Migration/Peacekeeping/Regionalism Subscriber Mailings, 1993	box 239A	folder 3861
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Mailing, 1993 February	box 239A	folder 3862
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Subscribers, 1994	box 239A	folder 3864
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Book Orders Shipped, 1992	box 239A	folder 3865
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Target Mailing, 1992	box 239A	folder 3866
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Complimentary Query, 1992	box 239A	folder 3867
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Subscriber Mailing, 1992 October 23	box 239A	folder 3868
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Environment, 1991	box 239A	folder 3869
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Subscriber and PS Lists, 1995 November 29	box 239B	folder 3870
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Rohesia Hamilton Metcalfe Files - Publications Distribution - T48, 1995 October	box 239B	folder 3871
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Rohesia Hamilton Metcalfe Files - Publications Distribution - T48 - Comp and Target, 1995	box 239B	folder 3872
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Target - Russia Flier, 1995 August 16	box 239B	folder 3873
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Sub/SO Paid Up Mailed, 1995 October	box 239B	folder 3874
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Sub/SO Paid Up Mailed, 1995 July-September	box 239B	folder 3875
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Rohesia Hamilton Metcalfe Files - Publications Distribution - T48 Mailings, 1995 August	box 239B	folder 3876
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Russia Report	box 239B	folder 3877
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Rohesia Hamilton Metcalfe Files - Publications Distribution - T49	box 239B	folder 3878
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Democracy Reports - Mailings to Subscribers	box 239B	folder 3879
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Mailings, 1995	box 239B	folder 3880
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Energy Report, 1996 September	box 239B	folder 3881
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Energy Report	box 239B	folder 3882
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Globalization Report - Mailings, 1996 December	box 239B	folder 3883
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Globalization Report	box 239B	folder 3884
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Sub/SO Paid Up and Mailed, 1996 January-March	box 239B	folder 3885
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Sub/SO Paid Up and Mailed, 1996 April-June	box 239B	folder 3886
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Sub/SO Paid Up and Mailed, 1996 July-September	box 239B	folder 3887
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Sub/SO Paid Up and Mailed, 1996 October-December	box 239B	folder 3888
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Subscribers, 1995	box 239B	folder 3889
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Sub/SO Paid Up and Mailed, 1995 April-June	box 239B	folder 3890
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Sub/SO Paid Up and Mailed, 1995 February	box 239B	folder 3891
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Sub/SO Paid Up and Mailed, 1995 January	box 239B	folder 3892
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Renewal Notices Sent, 1995	box 239B	folder 3893
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Mailing Memos, 1992-1997	box 239B	folder 3894
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Rohesia Hamilton Metcalfe Files - Miscellaneous Correspondence, 1996	box 239B	folder 3895
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Rohesia Hamilton Metcalfe Files - Miscellaneous Correspondence, 1995	box 239B	folder 3896
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Conditions Governing Access note:

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Rohesia Hamilton Metcalfe Files - Perry Fundraising

box 239B

folder 3898

Conditions Governing Access note:

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## Membership, 1978-1980s

Physical Description: 1 Cubic Feet Boxes 240-241.

### Scope and Contents

When the Trilateral Commission was initially established, the intention had been to have an equal number of members from each of the three regions. Initial membership consisted of approximately 190 members. 1. North America (United States and Canada); 2. Europe (Belgium, Denmark, France, Ireland, Italy, Luxembourg, Netherlands, Norway, U.K., West Germany); 3. Japan.

Around 1980, membership ceilings were imposed for the three regions. These ceilings have been periodically raised as new countries are added.

Membership ceilings as of 2011 are: North America – 120 (87 US, 20 Canada, 13 Mexico). Europe – 160 (20 members each for Germany, France, Italy, U.K.)(14 Spain), (The remaining national quotas range from 4 to 9). Pacific Asian – 100+ In 2000, the Japanese group of 85 members was expanded and restructured. The new configuration is 57 Japan, 15 Korea, 8 Australia & New Zealand, 16 from 5 ASEAN Countries (Indonesia, Malaysia, Philippines, Singapore and Thailand). By 2008, the Pacific Asian group was comprised of more than 100 members. Individuals from Taiwan, Hong Kong and the People's Republic of China have also participated.

Membership is by invitation. For the North American group, invitations are decided upon by the Executive Committee, on the basis of recommendations by members and staff. Members are generally corporate, political, educational and non-profit leaders including prominent businessmen, journalists, academicians, non-profit executives, union and labor leaders and politicians. New members are chosen on a national basis. Membership procedures differ slightly depending on the national region. Members who accept positions in the executive branch of their government must forfeit their Commission membership.

The Trilateral Commission archives does not contain much documentation of the Commission's membership. Most North American membership files remain at the North American Office in Washington, D.C. Membership files for other regions are at their respective offices.

Title/Description	Instances	
Membership - Japanese, 1975-1986	box 240	folder 2609
Membership - European, 1977-1986	box 240	folder 2610
Member Participation, 1975-1986	box 240	folder 2611
U.S. Membership Matters, 1975-1986	box 240	folder 2612

Membership, 1973-1995	box 240	folder 2613
Membership, 1996-2000	box 240	folder 2613A
Japanese Commissioners, 1973-1990	box 240	folder 2614
Early European Membership, 1972-1979	box 240	folder 2615
European Membership Matters, 1979-1991	box 241	folder 2616
European Membership Matters, 1996-1998	box 241	folder 2616A
European Membership Matters, 1973-1981	box 241	folder 2617
Membership, 1984	box 241	folder 2618
Printed Membership Lists, Corporate Sponsors (and Task Force Brochure), 1985-1978-1981	box 241	folder 2619
TC Membership Breakdown by Location and Occupation, 1981-1984	box 241	folder 2620
Membership, 1979-1980	box 241	folder 2621
Membership, Post-March 1985, 1985-1986	box 241	folder 2622

[^ Return to Table of Contents](#)

## Officer's Files, 1974-1998

Physical Description: 50 Cubic Feet Boxes 241-310.

### Scope and Contents

Leadership of the Trilateral Commission is composed of: 1. Regional Chairman (one from each of the three regions); 2. Deputy Chairman; 3. Directors; 4. Executive Committee (36 other members, exact total of membership fluctuates).

North American Chairmen: Gerard C. Smith, 1973-1977 (from establishment in 1973-mid 1977); David Rockefeller, 1977-1991 (also Founder and Honorary North American chairman); Paul A. Volcker, 1991-2001 (also Honorary North American Chairman); Thomas S. Foley, 2001-2008; Joseph S. Nye, Jr., 2009-.

European Chairmen: Max Kohnstamm, 1973-1976; Georges Berthoin, 1976-1992 (also Honorary European Chairman); Otto Graf Lambsdorff, 1992-2001 (also Honorary European Chairman); Peter Sutherland, 2001-2010 (also Honorary European Chairman); Mario Monti, 2010-.

Japanese Chairmen: Takeshi Watanabe, 1973-1985; Isamu Yamashita, 1985-1992; Akio Morita, 1992-1993; Kiichi Miyazawa, Acting Chairmen, 1993-1997; Yotaro Kobayashi, Current (Pacific Asian Chairman).

### Arrangement

Records are primarily arranged alphabetically, by the last name of the officer. 1. Zbigniew Brzezinski - Director of the Trilateral Commission from its inception in 1973 through mid-1976. In 1975-1976 Brzezinski served part-time and Christopher Makins was Deputy Director. Archival holdings include chronological files, January 1975-June 1976.

2. George S. Franklin - North American Secretary from mid 1973 through 1976. Franklin served as the Commission's Coordinator from early 1977 through mid- 1982. Archival holdings are primarily chronological files, August 1974-1994 and a selection of alphabetical files.

3. Charles B. Heck - Joined the Commission staff in 1974 as assistant to the Director (Zbigniew Brzezinski). In 1976 Heck became Deputy North American Secretary, then North American Secretary (1977-1981) and North American Director (1982-2001). Charles Heck was also editor of the Task Force reports from 1974-1991. Archival holdings are primarily meeting records and chronological files 1974-1998. The collection also holds a limited selection of office records created by assistants to Charles Heck including: Catherine Katin (Assistant to Charles Heck, 1977-1979); Daniel Newman (Assistant to Charles Heck, 1981-1984); and Erna Prickett Adelson (Assistant to Charles Heck, 1979-1981).

4. Christopher Makins – Trilateral Commission Deputy Director, August 1975-June 1976. Makins began his career in the British diplomatic service with posts in London, Paris, Washington, D.C. and others. He then shifted his focus to U.S/European relations with appointments in organizations including, but not limited to: the Atlantic Council of the United States, the Aspen Institute, the German Marshall Fund and the Carnegie Endowment for International Peace. Makins served the Trilateral Commission in a variety of capacities, most notably as Deputy Director. Archival holdings include chronological files from August 1975-June 1976.

5. David Rockefeller – Founder and North American Chairman, 1977-1991. David Rockefeller served as Chairman and Chief Executive Officer of Chase Manhattan Bank (now J.P. Morgan Chase Bank), from 1969-1980. Rockefeller relinquished his chairmanship of Chase Manhattan Bank in 1981. Archival holdings include chronological files, 1985-1998, and a selection of speeches, 1977-1980.

6. Gerard C. Smith – Before becoming Trilateral Commission North American Chairman in 1973, Smith served as Director of the Arms Control and Disarmament Agency, and Chief United States negotiator of the Strategic Arms Limitation (SALT I) talks, which led to the Antiballistic Missile (ABM) Treaty of 1972. In mid-1977, he relinquished his Commission chairmanship to become Ambassador for Non-Proliferation Issues in the Carter administration. Smith had his office in Washington D.C. while the Trilateral Commission Director and North American staff were in New York. The Smith files represented in the collection were shipped to New York after Smith's chairmanship had concluded.

7. Paul A. Volcker – North American Chairman, 1991-2001. Paul Volcker served as Chairman of the Board of Governors of the Federal Reserve System from August 1979-August 1987. Archival holdings are chronological files, 1991-1998.

#### Related Materials:

#### **Related Materials**

Documentation of the correspondence and activities of the Commission's officers is prevalent throughout the Trilateral Commission archives. Users of Series 5 are advised to also consider Series 6 Office Files, particularly the Early Office files.

#### Existence and Location of Copies:

#### **Existence and Location of Copies**

Microfilm copies of portions of the Zbigniew Brzezinski Files (Box 241A), Gerard C. Smith Files (Box 308B-P), and Trilateral Commission Early Correspondence, 1972-1975 (Box 310A-B) are available at the Jimmy Carter Library, Atlanta, GA.

## **Zbigniew Brzezinski**

Title/Description	Instances	
Zbigniew Brzezinski Chronological Files, 1975 January	box 241A	folder 4117

Conditions Governing Access note:**Conditions Governing Access note**

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Zbigniew Brzezinski Chronological Files, 1975 February	box 241A	folder 4118
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Conditions Governing Access note:**Conditions Governing Access note**

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Zbigniew Brzezinski Chronological Files, 1975 March	box 241A	folder 4119
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Conditions Governing Access note:**Conditions Governing Access note**

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Zbigniew Brzezinski Chronological Files, 1975 April	box 241A	folder 4120
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Conditions Governing Access note:**Conditions Governing Access note**

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Zbigniew Brzezinski Chronological Files, 1975 May	box 241A	folder 4121
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Conditions Governing Access note:**Conditions Governing Access note**

CLOSED to scholarly research due to preservation concerns.

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Zbigniew Brzezinski Chronological Files, 1975 June	box 241A	folder 4122
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Conditions Governing Access note:**Conditions Governing Access note**

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Zbigniew Brzezinski Chronological Files, 1975 July	box 241A	folder 4123
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Conditions Governing Access note:**Conditions Governing Access note**

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Zbigniew Brzezinski Chronological Files, 1975 August	box 241A	folder 4124
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Zbigniew Brzezinski Chronological Files, 1975 September	box 241A	folder 4125
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Conditions Governing Access note:

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Zbigniew Brzezinski Chronological Files, 1975 October	box 241A	folder 4126
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Conditions Governing Access note:

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Zbigniew Brzezinski Chronological Files, 1975 November	box 241A	folder 4127
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Conditions Governing Access note:

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Zbigniew Brzezinski Chronological Files, 1975 December	box 241A	folder 4128
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Conditions Governing Access note:

**Conditions Governing Access note**

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Zbigniew Brzezinski Chronological Files, 1976 January	box 241A	folder 4129
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Conditions Governing Access note:

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Zbigniew Brzezinski Chronological Files, 1976 February	box 241A	folder 4130
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Conditions Governing Access note:

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Zbigniew Brzezinski Chronological Files, 1976 March	box 241A	folder 4131
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Zbigniew Brzezinski Chronological Files, 1976 April

box 241A

folder 4132

Conditions Governing Access note:

**Conditions Governing Access note**

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Zbigniew Brzezinski Chronological Files, 1976 May

box 241A

folder 4133

Conditions Governing Access note:

**Conditions Governing Access note**

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Zbigniew Brzezinski Chronological Files, 1976 June

box 241A

folder 4134

Conditions Governing Access note:

**Conditions Governing Access note**

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Zbigniew Brzezinski - Correspondence, 1976

box 241A

folder 4135

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Zbigniew Brzezinski - Travel

box 241A

folder 4136

Conditions Governing Access note:

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Zbigniew Brzezinski - Expense Reports

box 241A

folder 4137

Conditions Governing Access note:

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Zbigniew Brzezinski - Invitations

box 241A

folder 4138

Conditions Governing Access note:

**Conditions Governing Access note**

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Zbigniew Brzezinski - Mailing Lists and Salutations	box 241A	folder 4139
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Conditions Governing Access note:

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Zbigniew Brzezinski - Mid Atlantic Clubs	box 241A	folder 4140
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Zbigniew Brzezinski - Correspondence with Commissioners	box 241A	folder 4141
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**George S. Franklin**

Title/Description	Instances	
George Franklin Chronological Files		
George Franklin Chronological Files, 1974 July	box 242	folder 2623
George Franklin Chronological Files, 1974 August	box 242	folder 2623A
George Franklin Chronological Files, 1974 September	box 242	folder 2624
George Franklin Chronological Files, 1974 October	box 242	folder 2625
George Franklin Chronological Files, 1974 November	box 242	folder 2626
George Franklin Chronological Files, 1974 December	box 242	folder 2627
George Franklin Chronological Files, 1975 January-March	box 243	folder 2628
George Franklin Chronological Files, 1975 April-June	box 243	folder 2629
George Franklin Chronological Files, 1975 July	box 244	folder 2630
George Franklin Chronological Files, 1975 August	box 244	folder 2631
George Franklin Chronological Files, 1975 September	box 244	folder 2632
George Franklin Chronological Files, 1975 October	box 244	folder 2633
George Franklin Chronological Files, 1975 November	box 244	folder 2634



George Franklin Chronological Files, 1975 December	box 244	folder 2635
George Franklin Chronological Files, 1976 January	box 244	folder 2636
George Franklin Chronological Files, 1976 February	box 245	folder 2637
George Franklin Chronological Files, 1976 March	box 245	folder 2638
George Franklin Chronological Files, 1976 April	box 245	folder 2639
George Franklin Chronological Files, 1976 May-July	box 245	folder 2640
George Franklin Chronological Files, 1976 August-September	box 246	folder 2641
George Franklin Chronological Files, 1976 October	box 246	folder 2641A
George Franklin Chronological Files, 1976 November	box 246	folder 2642
George Franklin Chronological Files, 1976 December	box 247	folder 2643
George Franklin Correspondence with Commissioner and Staff, 1976	box 247	folder 2644
George Franklin Correspondence - Impact, 1976	box 247	folder 2645
George Franklin Italian Trip Bills, 1976	box 247	folder 2646
George Franklin Chronological Files, 1977 January	box 248	folder 2647
George Franklin Chronological Files, 1977 February	box 248	folder 2648
George Franklin Chronological Files, 1977 March	box 248	folder 2649
George Franklin Chronological Files, 1977 April	box 248	folder 2650
George Franklin Chronological Files, 1977 May	box 249	folder 2651
George Franklin Chronological Files, 1977 June	box 249	folder 2652
George Franklin Chronological Files, 1977 July	box 249	folder 2653
George Franklin Chronological Files, 1977 August	box 249	folder 2654
George Franklin Chronological Files, 1977 September	box 249	folder 2655
George Franklin Chronological Files, 1977 October	box 250	folder 2656
George Franklin Chronological Files, 1977 November	box 250	folder 2657
George Franklin Chronological Files, 1977 December	box 250	folder 2658
George Franklin Chronological Files, 1978 January	box 251	folder 2659
George Franklin Chronological Files, 1978 February	box 251	folder 2660
George Franklin Chronological Files, 1978 March	box 251	folder 2661
George Franklin Chronological Files, 1978 April	box 251	folder 2662
George Franklin Chronological Files, 1978 May	box 252	folder 2663
George Franklin Chronological Files, 1978 June	box 252	folder 2664
George Franklin Chronological Files, 1978 July		

	box 252	folder 2665
George Franklin Chronological Files, 1978 August	box 252	folder 2666
George Franklin Chronological Files, 1978 September	box 252	folder 2667
George Franklin Chronological Files, 1978 October	box 253	folder 2668
George Franklin Chronological Files, 1978 November	box 253	folder 2669
George Franklin Chronological Files, 1978 December	box 253	folder 2670
George Franklin Chronological Files, 1979 January	box 254	folder 2671
George Franklin Chronological Files, 1979-1981	box 254	folder 2671A

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Permanently Restricted - Confidential Material -  
Personnel

George Franklin Chronological Files, 1979 February	box 254	folder 2672
George Franklin Chronological Files, 1979 March	box 254	folder 2673
George Franklin Chronological Files, 1979 April	box 255	folder 2674
George Franklin Chronological Files, 1979 May	box 255	folder 2675
George Franklin Chronological Files, 1979 June	box 255	folder 2676
George Franklin Chronological Files, 1979 July	box 255	folder 2677
George Franklin Chronological Files, 1979 August	box 256	folder 2678
George Franklin Chronological Files, 1979 September	box 256	folder 2679
George Franklin Chronological Files, 1979 October	box 256	folder 2680
George Franklin Chronological Files, 1979 November	box 256	folder 2681
George Franklin Chronological Files, 1979 December	box 256	folder 2682
George Franklin Chronological Files, 1980 January	box 257	folder 2683
George Franklin Chronological Files, 1980 February	box 257	folder 2684
George Franklin Chronological Files, 1980 March	box 257	folder 2685
George Franklin Chronological Files, 1980 April	box 257	folder 2686
George Franklin Chronological Files, 1980 May	box 257	folder 2687
George Franklin Chronological Files, 1980 June	box 257	folder 2688
George Franklin Chronological Files, 1980 July	box 257	folder 2689
George Franklin Chronological Files, 1980 August	box 257	folder 2690
George Franklin Chronological Files, 1980 September	box 258	folder 2691
George Franklin Chronological Files, 1980 October	box 258	folder 2692

George Franklin Chronological Files, 1980 November	box 258	folder 2693
George Franklin Chronological Files, 1980 December	box 258	folder 2694
George Franklin Chronological Files, 1981 January	box 258	folder 2695
George Franklin Chronological Files, 1981 February	box 258	folder 2696
George Franklin Chronological Files, 1981 March	box 258	folder 2697
George Franklin Chronological Files, 1981 April	box 258	folder 2698
George Franklin Chronological Files, 1981 May	box 259	folder 2699
George Franklin Chronological Files, 1981 June	box 259	folder 2700
George Franklin Chronological Files, 1981 July	box 259	folder 2701
George Franklin Chronological Files, 1981 August	box 259	folder 2702
George Franklin Chronological Files, 1979-1981	box 259	folder 2702A
<u>Conditions Governing Access note:</u>		
<b>Conditions Governing Access note</b>		
Permanently Restricted - Confidential Material - Personnel		
George Franklin Chronological Files, 1981 September	box 259	folder 2703
George Franklin Chronological Files, 1981 October	box 259	folder 2704
George Franklin Chronological Files, 1981 November	box 259	folder 2705
George Franklin Chronological Files, 1981 December	box 259	folder 2706
George Franklin Chronological Files, 1982 January	box 260	folder 2707
George Franklin Chronological Files, 1982 February	box 260	folder 2708
George Franklin Chronological Files, 1982 March	box 260	folder 2709
George Franklin Chronological Files, 1982 April	box 260	folder 2710
George Franklin Chronological Files, 1982 May	box 260	folder 2711
George Franklin Chronological Files, 1982 June	box 260	folder 2712
George Franklin Chronological Files, 1982 July	box 260	folder 2713
George Franklin Chronological Files, 1982 August	box 261	folder 2714
George Franklin Chronological Files, 1982 September	box 261	folder 2715
George Franklin Chronological Files, 1982 October	box 261	folder 2716
George Franklin Chronological Files, 1982 November	box 261	folder 2717
George Franklin Chronological Files, 1982 December	box 261	folder 2718
George Franklin Chronological Files, 1983 January	box 261	folder 2719
George Franklin Chronological Files, 1983 February		

	box 262	folder 2720
George Franklin Chronological Files, 1983 March	box 262	folder 2721
George Franklin Chronological Files, 1983 April	box 262	folder 2722
George Franklin Chronological Files, 1983 May	box 262	folder 2723
George Franklin Chronological Files, 1983 June	box 262	folder 2724
George Franklin Chronological Files, 1983 July	box 262	folder 2725
George Franklin Chronological Files, 1983 August	box 262	folder 2726
George Franklin Chronological Files, 1983 September	box 262	folder 2727
George Franklin Chronological Files, 1983 October	box 263	folder 2728
George Franklin Chronological Files, 1983 November	box 263	folder 2729
George Franklin Chronological Files, 1983 December	box 263	folder 2730
George Franklin - Georges Berthoin/George S. Franklin Meeting, 1981 July 10	box 263	folder 2731
George Franklin - Trip, Los Angeles, 1981 April	box 263	folder 2732
George Franklin - Franklin New York Times Article, 1958	box 263	folder 2732A
George Franklin - Trip - December 9, 1980 - Congressional Committees, 1980 December 9	box 263	folder 2733
George Franklin Chronological Files, 1984 January	box 263A	folder 3899
<u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  CLOSED to scholarly research due to preservation concerns.		
George Franklin Chronological Files, 1984 February	box 263A	folder 3900
<u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  CLOSED to scholarly research due to preservation concerns.		
George Franklin Chronological Files, 1984 March	box 263A	folder 3901
<u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  CLOSED to scholarly research due to preservation concerns.		
George Franklin Chronological Files, 1984 April	box 263A	folder 3902
<u>Conditions Governing Access note:</u>		

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George Franklin Chronological Files, 1984 May

box 263A

folder 3903

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George Franklin Chronological Files, 1984 June

box 263A

folder 3904

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1984 July

box 263A

folder 3905

Conditions Governing Access note:

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George Franklin Chronological Files, 1984 August

box 263A

folder 3906

Conditions Governing Access note:

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George Franklin Chronological Files, 1984 September

box 263A

folder 3907

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1984 October

box 263A

folder 3908

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1984 November

box 263A

folder 3909

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George Franklin Chronological Files, 1984 December

box 263A

folder 3910

Conditions Governing Access note:

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George Franklin Chronological Files, 1985 January

box 263A

folder 3911

Conditions Governing Access note:

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George Franklin Chronological Files, 1985 February

box 263A

folder 3912

Conditions Governing Access note:

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George Franklin Chronological Files, 1985 March

box 263A

folder 3913

Conditions Governing Access note:

**Conditions Governing Access note**

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George Franklin Chronological Files, 1985 April

box 263A

folder 3914

Conditions Governing Access note:

**Conditions Governing Access note**

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George Franklin Chronological Files, 1985 May

box 263A

folder 3915

Conditions Governing Access note:

**Conditions Governing Access note**

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George Franklin Chronological Files, 1985 June

box 263A

folder 3916

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1985 July

box 263A

folder 3917

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1985 August

box 263A

folder 3918

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1985 September

box 263A

folder 3919

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1985 October

box 263A

folder 3920

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1985 November

box 263A

folder 3921

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1985 December

box 263A

folder 3922

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1986 January

box 263A

folder 3923

Conditions Governing Access note:

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George Franklin Chronological Files, 1986 February

box 263A

folder 3924

Conditions Governing Access note:

**Conditions Governing Access note**

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George Franklin Chronological Files, 1986 March

box 263A

folder 3925

Conditions Governing Access note:

**Conditions Governing Access note**

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George Franklin Chronological Files, 1986 April

box 263A

folder 3926

Conditions Governing Access note:

**Conditions Governing Access note**

CLOSED to scholarly research due to preservation concerns.

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George Franklin Chronological Files, 1986 May

box 263A

folder 3927

Conditions Governing Access note:

**Conditions Governing Access note**

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George Franklin Chronological Files, 1986 June

box 263A

folder 3928

Conditions Governing Access note:

**Conditions Governing Access note**

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George Franklin Chronological Files, 1986 July

box 263A

folder 3929

Conditions Governing Access note:

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George Franklin Chronological Files, 1986 August

box 263A

folder 3930

Conditions Governing Access note:



## Conditions Governing Access note

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George Franklin Chronological Files, 1986 September

box 263A

folder 3931

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1986 October

box 263B

folder 3932

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1986 November

box 263B

folder 3933

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1986 December

box 263B

folder 3934

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1987 January

box 263B

folder 3935

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1987 February

box 263B

folder 3936

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1987 March

box 263B

folder 3937

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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George Franklin Chronological Files, 1987 April

box 263B

folder 3938

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1987 May

box 263B

folder 3939

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1987 June

box 263B

folder 3940

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1987 July

box 263B

folder 3941

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1987 August

box 263B

folder 3942

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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George Franklin Chronological Files, 1987 September

box 263B

folder 3943

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1987 October

box 263B

folder 3944

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1987 November

box 263B

folder 3945

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1987 December

box 263B

folder 3946

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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George Franklin Chronological Files, 1988 January

box 263B

folder 3947

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1988 February

box 263B

folder 3948

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1988 March

box 263B

folder 3949

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1988 April

box 263B

folder 3950

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1988 May

box 263B

folder 3951

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1988 June-December	box 263B	folder 3952
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Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1989 January-April	box 263B	folder 3953
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Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1989 May-August	box 263B	folder 3954
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Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1989 September-December	box 263B	folder 3955
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Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1990 January-March	box 263B	folder 3956
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Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1990 April-June	box 263B	folder 3957
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Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1990 July-September	box 263B	folder 3958
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Conditions Governing Access note:

**Conditions Governing Access note**

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George Franklin Chronological Files, 1990 October-December	box 263B	folder 3959
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Conditions Governing Access note:

**Conditions Governing Access note**

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George Franklin Chronological Files, 1991 January-March	box 263B	folder 3960
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Conditions Governing Access note:

**Conditions Governing Access note**

CLOSED to scholarly research due to preservation concerns.

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George Franklin Chronological Files, 1992	box 263B	folder 3961
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Conditions Governing Access note:

**Conditions Governing Access note**

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George Franklin Chronological Files, 1993	box 263B	folder 3962
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Conditions Governing Access note:

**Conditions Governing Access note**

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George Franklin Chronological Files, 1994	box 263B	folder 3963
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Conditions Governing Access note:

**Conditions Governing Access note**

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George Franklin - Trilateral Related Correspondence, 1986	box 263C	folder 3964
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Conditions Governing Access note:

**Conditions Governing Access note**

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George Franklin, 1986	box 263C	folder 3965
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Conditions Governing Access note:

**Conditions Governing Access note**

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George Franklin, 1988	box 263C	folder 3966
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Conditions Governing Access note:

**Conditions Governing Access note**

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George Franklin - Plenary Meeting, San Francisco, 1987	box 263C	folder 3967
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Conditions Governing Access note:

**Conditions Governing Access note**

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Franklin/Heck Alphabetical Files - A, 1987-1990	box 264	folder 2734
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Franklin/Heck Alphabetical Files - Atlantic Institute, 1987-1988	box 264	folder 2735
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Franklin/Heck Alphabetical Files - B, 1985-1993	box 264	folder 2736
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Franklin/Heck Alphabetical Files - Berthoin, 1978-1993	box 264	folder 2737
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Franklin/Heck Alphabetical Files - Blumenthal, W. Michael, 1979	box 264	folder 2738
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Franklin/Heck Alphabetical Files - Colombo, Umberto, 1978-1985	box 265	folder 2743
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Franklin/Heck Alphabetical Files - Commissioners, 1984	box 265	folder 2746
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Franklin/Heck Alphabetical Files - D, 1984-1994	box 265	folder 2747
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Franklin/Heck Alphabetical Files - E, 1984-1995	box 265	folder 2748
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Franklin/Heck Alphabetical Files - F, 1984-1994	box 265	folder 2749
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Franklin/Heck Alphabetical Files - Frankel, Andrew - Trip, 1987	box 265	folder 2750
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Franklin/Heck Alphabetical Files - H, 1985-1995	box 265	folder 2753
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Franklin/Heck Alphabetical Files - Hill, Roger, 1978-1985	box 266	folder 2755
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Franklin/Heck Alphabetical Files - K, 1984-1995	box 266	folder 2763
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Franklin/Heck Alphabetical Files - K, 1996-1998	box 266	folder 2763B
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Franklin/Heck Alphabetical Files - Spotlight Negative TC	box 268	folder 2788
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Franklin/Heck Alphabetical Files - Watanabe, Takeshi, 1978-1989	box 270	folder 2797
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Charles B. Heck Files - Chronological Correspondence, 1975 February-1976 June	box 271	folder 2804
Charles B. Heck Files - Chronological Correspondence and Memos, 1976 October-1977 January	box 271	folder 2805
Charles B. Heck Files - Chronological, 1977 February-March	box 271	folder 2806
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Charles B. Heck Files - Chronological, 1977 May	box 272	folder 2808
Charles B. Heck Files - Outside Correspondence, 1977 January-June	box 272	folder 2809
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Charles B. Heck Files - Response to Inquiries, 1977 January-June	box 272	folder 2811
Charles B. Heck Files - Memos to CBH, 1974-1979	box 272	folder 2812
Charles B. Heck Files - Outside Correspondence, 1979	box 272	folder 2813
Charles B. Heck Files - Chronological, 1979 November-December	box 273	folder 2814
Charles B. Heck Files - Chronological, 1979 August-October	box 273	folder 2815
Charles B. Heck Files - Chronological, 1979 May-July	box 273	folder 2816
Charles B. Heck Files - Chronological, 1979 March-April	box 273	folder 2817

Charles B. Heck Files - Chronological, 1979 January-February	box 273	folder 2818
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Charles B. Heck Files - Response to Inquiries, 1978 January-1979 January	box 275	folder 2825
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Charles B. Heck Files - Outside Correspondence, Response to Inquiries, 1979	box 275	folder 2827
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Charles B. Heck Files - Chronological, 1978 January	box 276	folder 2831
Charles B. Heck Files - Chronological, 1978 February-May	box 276	folder 2832
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Charles B. Heck Files - Chronological, 1978 November-December	box 276	folder 2835
Charles B. Heck Files - Chronological, 1980 January-April	box 277	folder 2836
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Charles B. Heck Files - Chronological, 1980 July-October	box 277	folder 2838
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Charles B. Heck Files - Chronological, 1981 October-November	box 278	folder 2843
Charles B. Heck Files - Chronological, 1981 December	box 278	folder 2844
Charles B. Heck Files - Chronological, 1982 January-March	box 278	folder 2845
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Charles B. Heck Files - Chronological, 1982 June	box 278	folder 2847
Charles B. Heck Files - Chronological, 1982 July	box 278	folder 2848
Charles B. Heck Files - Chronological, 1982 August	box 278	folder 2849
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Charles B. Heck Files - Chronological, 1982 November	box 278	folder 2852
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Charles B. Heck Files - Chronological, 1983 January	box 278	folder 2854
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Charles B. Heck Files - Chronological, 1983 March	box 278	folder 2855
Charles B. Heck Files - Chronological, 1983 April	box 278	folder 2856
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Charles B. Heck Files - Chronological, 1983 July	box 279	folder 2859
Charles B. Heck Files - Chronological, 1983 August	box 279	folder 2869
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Charles B. Heck Files - Chronological, 1984 August	box 280	folder 2872
Charles B. Heck Files - Chronological, 1984 September	box 280	folder 2873
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Charles B. Heck Files - Chronological, 1985 January	box 280	folder 2877
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Charles B. Heck Files - Chronological Files, 1985 May	box 281	folder 2882
Charles B. Heck Files - Chronological Files, 1985 June	box 281	folder 2883
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Charles B. Heck Files - Chronological Files, 1985 August	box 281	folder 2885
Charles B. Heck Files - Chronological Files, 1985 September	box 281	folder 2886
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Charles B. Heck Files - Chronological, 1986 May	box 282	folder 2894
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Charles B. Heck Files - Chronological, 1986 July	box 283	folder 2897
Charles B. Heck Files - Chronological, 1986 August	box 283	folder 2898
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Charles B. Heck Files - Chronological, 1987 September	box 286	folder 2910
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Charles B. Heck Files - Chronological, 1987 December	box 286A	folder 3969
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Charles B. Heck Files - Chronological, 1991 February	box 286A	folder 3971
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Charles B. Heck Files - Chronological, 1991 May	box 286A	folder 3974
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Charles B. Heck Files - Chronological, 1991 June	box 286A	folder 3975
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Charles B. Heck Files - Projects - Environmental Project, 1990	box 286A	folder 3976
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Charles B. Heck Files - Projects	box 286A	folder 3977
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Charles B. Heck Files - Chronological, 1988 February	box 287	folder 2913
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Charles B. Heck Files - Chronological, 1988 March	box 287	folder 2914
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Charles B. Heck Files - Chronological, 1988 April	box 287	folder 2915
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Charles B. Heck Files - Chronological, 1988 May	box 287	folder 2916
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Charles B. Heck Files - Chronological, 1988 June	box 288	folder 2917
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Charles B. Heck Files - Chronological, 1988 July	box 288	folder 2918
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Charles B. Heck Files - Chronological, 1988 August	box 288	folder 2919
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Charles B. Heck Files - Chronological, 1988 September	box 288	folder 2920
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Charles B. Heck Files - Chronological, 1988 September	box 288	folder 2921
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Charles B. Heck Files - Chronological, 1988 September	box 288	folder 2922
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Charles B. Heck Files - Chronological, 1988 November	box 288	folder 2925
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Charles B. Heck Files - Chronological, 1997 June-August	box 293	folder 2951
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Charles B. Heck Files - Chronological, 1998 January	box 294	folder 2955

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### Scope and Contents note

Includes: European Regional Meeting, Berlin

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## Assistants to Charles B. Heck

Title/Description	Instances
Catherine Katin	

**Scope and Contents note**

Assistant to Heck, 1977-

CK Reports, Minutes, 1977-1979	box 306	folder 3074
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Erna Prickett Adelson

**Scope and Contents note**

Assistant to Heck, 1979-1981.

EPA Chronological, 1979 August-December	box 306	folder 3075
EPA Chronological, 1979 August-December	box 306	folder 3076
EPA Chronological, 1980 January-April	box 306	folder 3077
EPA Chronological, 1980	box 306	folder 3078
EPA Chronological, 1980 May-August	box 307	folder 3079
EPA Chronological, 1980 September-December	box 307	folder 3080
EHP Chronological, 1981	box 307	folder 3081
EHP Staff Meeting Minutes, 1980-1981	box 307	folder 3082
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EPA Chronological, 1981 January-April	box 307	folder 3084

Daniel J. Newman

**Scope and Contents note**

Assistant to Heck, 1981-1984.

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DJN Chronological, 1982 January-June	box 307	folder 3086
DJN Chronological, 1982 July-December	box 307	folder 3087
DJN Chronological, 1983 January-June	box 307	folder 3088
DJN Chronological, 1983 July-December	box 307	folder 3089
Memos to DJN, 1981-1983	box 307	folder 3090
DJN Chronological, 1984 January-June	box 307	folder 3091

**Christopher Makins**

Title/Description	Instances	
Christopher J. Makins Chronological Files, 1975 August	box 307A	folder 4142
<u>Conditions Governing Access note:</u>		

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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Christopher J. Makins Chronological Files, 1975 September	box 307A	folder 4143
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Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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Christopher J. Makins Chronological Files, 1975 October	box 307A	folder 4144
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Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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Christopher J. Makins Chronological Files, 1975 November	box 307A	folder 4145
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Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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Christopher J. Makins Chronological Files, 1975 December	box 307A	folder 4146
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Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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Christopher J. Makins Chronological Files, 1976 January	box 307A	folder 4147
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Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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Christopher J. Makins Chronological Files, 1976 February	box 307A	folder 4148
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Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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Christopher J. Makins Chronological Files, 1976 March	box 307A	folder 4149
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Conditions Governing Access note:

**Conditions Governing Access note**

CLOSED to scholarly research due to preservation concerns.

Christopher J. Makins Chronological Files, 1976 April

box 307A

folder 4150

Conditions Governing Access note:

**Conditions Governing Access note**

CLOSED to scholarly research due to preservation concerns.

Christopher J. Makins Chronological Files, 1976 May

box 307A

folder 4151

Conditions Governing Access note:

**Conditions Governing Access note**

CLOSED to scholarly research due to preservation concerns.

Christopher J. Makins Chronological Files, 1976 June

box 307A

folder 4152

Conditions Governing Access note:

**Conditions Governing Access note**

CLOSED to scholarly research due to preservation concerns.

Christopher J. Makins - Correspondence, 1976

box 307A

folder 4153

Conditions Governing Access note:

**Conditions Governing Access note**

CLOSED to scholarly research due to preservation concerns.

**David Rockefeller****Title/Description****Instances**

David Rockefeller - Speeches, 1977-1980

box 308

folder 3092

David Rockefeller Chronological Files

Conditions Governing Access note:

**Conditions Governing Access note**

CLOSED to scholarly research due to preservation concerns.

David Rockefeller Chronological Files, 1985 April-May

box 308A

folder 3978

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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David Rockefeller Chronological Files, 1985 June	box 308A	folder 3979
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Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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David Rockefeller Chronological Files, 1985 July-September	box 308A	folder 3980
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Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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David Rockefeller Chronological Files, 1985 October-November	box 308A	folder 3981
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Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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David Rockefeller Chronological Files, 1985 December	box 308A	folder 3982
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Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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David Rockefeller Chronological Files, 1986 January-March	box 308A	folder 3983
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Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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David Rockefeller Chronological Files, 1986 April-June	box 308A	folder 3984
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Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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David Rockefeller Chronological Files, 1986 July-November	box 308A	folder 3985
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Conditions Governing Access note:



## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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David Rockefeller Chronological Files, 1986 November-December

box 308A

folder 3986

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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David Rockefeller Chronological Files, 1987 January-September

box 308A

folder 3987

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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David Rockefeller Chronological Files, 1987 November-1988 May

box 308A

folder 3988

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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David Rockefeller Chronological Files, 1988 July-1989 February

box 308A

folder 3989

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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David Rockefeller Chronological Files, 1989 April-October

box 308A

folder 3990

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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David Rockefeller Chronological Files, 1989 November-1990 March

box 308A

folder 3991

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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David Rockefeller Chronological Files, 1990-1991

Conditions Governing Access note:

box 308A

folder 3992

**Conditions Governing Access note**

CLOSED to scholarly research due to preservation concerns.

David Rockefeller Chronological Files, 1993-1998

box 308A

folder 3993

Conditions Governing Access note:**Conditions Governing Access note**

CLOSED to scholarly research due to preservation concerns.

**Gerard C. Smith**

<b>Title/Description</b>	<b>Instances</b>	
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Gerard C. Smith Files - Executive Committee Meeting - Communique and Statement of Purpose, Tokyo, 1973 October 20-22	box 308B	folder 3994B
Gerard C. Smith Files - Executive Committee Meeting, Brussels, 1974 April 4-5	box 308B	folder 3995
Gerard C. Smith Files - Executive Committee Meeting, Brussels, 1974 June 23-25	box 308B	folder 3996
Gerard C. Smith Files - Brussels - Joint Communique	box 308B	folder 3997
Gerard C. Smith Files - N.A. Commissioners, 1974	box 308B	folder 3997A
Gerard C. Smith Files - Executive Committee Meeting, Washington, D.C., 1974 December	box 308B	folder 3998
Gerard C. Smith Files - Executive Committee Meeting, Washington, D.C., 1974 December	box 308B	folder 3998A
Gerard C. Smith Files - Executive Committee - Resolution, Washington, D.C., 1974 December	box 308B	folder 3998B
Gerard C. Smith Files - T.C. Meeting, Ottawa/Washington, D.C., 1976 May 9-13	box 308C	folder 3999
Gerard C. Smith Files - Advisory Council On Japan-U.S. Economic Relations, 1973-1974	box 308C	folder 4000
Gerard C. Smith Files - (Proposed) Foreign Policy Article	box 308C	folder 4001
Gerard C. Smith Files - Tripartite Conference, Monte Carlo, 1973 May 21-24	box 308C	folder 4002

Gerard C. Smith Files - Trilateral Book - Trade Post World War I History	box 308C	folder 4003
Gerard C. Smith Files - Impact Mailings - Newsmen, 1974	box 308C	folder 4004
Gerard C. Smith Files - Commissioners - Distribution to Commissioners, 1973	box 308C	folder 4005
Gerard C. Smith Files - Correspondence - General Preliminary, 1972	box 308C	folder 4006
Gerard C. Smith Files - Progress Report, Program Activity, 1973	box 308C	folder 4007
Gerard C. Smith Files - Trilateral Tribune, 1974	box 308C	folder 4008
Gerard C. Smith Files - General, 1973	box 308C	folder 4009
Gerard C. Smith Files - Joint Project - Atlantic Council/Atlantic Institute/Japan Society, 1973	box 308	folder 4010
Gerard C. Smith Files - Battelle, 1976-1977	box 308	folder 4011
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Gerard C. Smith Files - Paris/London, 1977 March	box 308	folder 4013
Gerard C. Smith Files - Atlantic Institute for International Affairs, 1974-1976	box 308	folder 4014
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Gerard C. Smith Files - Meeting Reports - General, 1973	box 308I	folder 4054
Gerard C. Smith Files - Meetings - Prof. Brzezinski, Tokyo, 1974 March	box 308I	folder 4055
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Gerard C. Smith Files - Meetings - General, 1973-1975	box 308I	folder 4057
Gerard C. Smith Files - Regional (N.A.) Dinner - New York, 1975 October 16-17	box 308I	folder 3058
Gerard C. Smith Files - American Candidates - Congressional, 1974	box 308I	folder 4059

Gerard C. Smith Files - American Candidates - General Correspondence, 1973-1974	box 308I	folder 4060
Gerard C. Smith Files - American Candidates and Suggestions	box 308I	folder 4061
Gerard C. Smith Files - Japan - General, 1973	box 308J	folder 4062
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Gerard C. Smith Files - Organization Papers	box 308J	folder 4063
<u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b> CLOSED to scholarly research due to preservation concerns.		
Gerard C. Smith Files - Impact Mailing #1 Brochure Trialogue, 2-Monetary	box 308J	folder 4064
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Gerard C. Smith Files - Impact Mailing #2 Political Report and Trialogue #3	box 308J	folder 4065
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Gerard C. Smith Files - Impact Mailing, 1974 Summer	box 308J	folder 4066
<u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b> CLOSED to scholarly research due to preservation concerns.		
Gerard C. Smith Files - Meetings, 1973-1974	box 308J	folder 4067
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Gerard C. Smith Files - U.S.-Japan Business Conference, June 1977 - GS Talk at U.S. Chamber of Commerce in Washington, 1977 June	box 308K	folder 4068

Gerard C. Smith Files - Fundraising - Corporate	box 308K	folder 4069
Gerard C. Smith Files - Fundraising - General Motors	box 308K	folder 4070
Gerard C. Smith Files - Possible D.C. Office - TC General, 1976	box 308K	folder 4071
Gerard C. Smith Files - T.C. History, 1972-1977	box 308K	folder 4072
Gerard C. Smith Files - General, 1975-1977	box 308K	folder 4073
Gerard C. Smith Files - General, 1974	box 308K	folder 4074
Gerard C. Smith Files - Impact, 1973-1975	box 308K	folder 4075
Gerard C. Smith Files - Japan - Correspondence, 1974	box 308K	folder 4076
Gerard C. Smith Files - Japan - "US-Japan Communication" (Article) by Masao Kunihiro	box 308K	folder 4077
Gerard C. Smith Files - Foundations - Rockefeller Foundation, 1973-1976	box 308L	folder 4078
Gerard C. Smith Files - News Clippings - Japan, 1973-1974	box 308L	folder 4079
Gerard C. Smith Files - Japan - Candidates, 1973-1974	box 308L	folder 4080
Gerard C. Smith Files - The Asia Foundation, 1973	box 308L	folder 4081
Gerard C. Smith Files - Hazen Foundation, 1973	box 308L	folder 4082
Gerard C. Smith Files - Foundations - Land (Edwin H. Land, Helen M. Land), 1973	box 308L	folder 4083
Gerard C. Smith Files - Foundations - Lilly (The Lilly Endowment, Inc.), 1973-1976	box 308L	folder 4084
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Gerard C. Smith Files - Foundations - Rockefeller Brothers Fund, 1973-1976	box 308L	folder 4086
Gerard C. Smith Files - Foundations - Sloan, 1973-1974	box 308L	folder 4087
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Gerard C. Smith Files - Foundations - General	box 308L	folder 4092
Gerard C. Smith Files - Foreign Corporations - Grants, 1973-1975	box 308L	folder 4093
Gerard C. Smith Files - Foundations - Ford, 1973-1976	box 308M	folder 4094
Gerard C. Smith Files - Ford Foundation Meeting, 1976 February 4	box 308M	folder 4095
Gerard C. Smith Files - Foundations - Kettering, 1973-1974		

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Gerard C. Smith Files - Foundations - Kettering (Formal Papers), 1974	box 308M	folder 4097
Gerard C. Smith Files - Annual Report, 1973-1976	box 308M	folder 4098
Gerard C. Smith Files - Articles, 1973-1977	box 308M	folder 4099
Gerard C. Smith Files - News Clippings - General, 1972-1975	box 308M	folder 4100
Gerard C. Smith Files - Background Memoranda, 1973	box 308M	folder 4101
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Physical Description: 25.4 Cubic Feet Boxes 311-357.

### Scope and Contents

This series contains a variety of office files created by the Trilateral Commission (North America) including early correspondence of the Commission's officers, general correspondence, and telexes. Of particular note are the Early Office Files including early organizational records and documentation of periodic reviews of the future of the Commission; relations and a possible merger with the Atlantic Institute; as well as other collaborative efforts. This series also contains a variety of press clippings, publicity and press coverage and publication files.

Existence and Location of Copies:

### Existence and Location of Copies

Microfilm copies of portions of the Zbigniew Brzezinski Files (Box 241A), Gerard C. Smith Files (Box 308B-P), and Trilateral Commission Early Correspondence, 1972-1975 (Box 310A-B) are available at the Jimmy Carter Library, Atlanta, GA.

## Correspondence Files

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<u>General Physical Description note:</u> <b>General Physical Description note</b>  Microfilm copies of portions of the Trilateral Commission Early Correspondence (Box 310A-B) are available at the Jimmy Carter Library, Atlanta, GA.	
<u>Conditions Governing Access note:</u>	

**Conditions Governing Access note**

CLOSED to scholarly research due to preservation concerns.

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Early Correspondence, 1972-1975

box 310B

General Physical Description note:

**General Physical Description note**

Microfilm copies of portions of the Trilateral Commission Early Correspondence (Box 310A-B) are available at the Jimmy Carter Library, Atlanta, GA.

Conditions Governing Access note:

**Conditions Governing Access note**

CLOSED to scholarly research due to preservation concerns.

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Trilateral Commission General Correspondence - F-G, 1978-1979	box 311	folder 3105
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### Scope and Contents

Much of the Commission's day-to-day financial material was discarded prior to shipment of the archival records to the Rockefeller Archive Center, and is therefore not contained in the archives of the Trilateral Commission (North America). Financial records documenting activities of the past five years are maintained in the Washington D.C. office.

In the Commission's first triennium (July 1973-June 1976) all program expenses were covered out of the North American office in New York. In the second triennium, a system was put in place - termed the "balancing of accounts" - for program expenses to be shared among the three areas and for a coordination contribution to be made by Europe and Japan to North America. Archival holdings are available documenting the balancing of accounts, 1976-1988.

Funding for Commission operations was obtained from corporations and private foundations. Early funding sources documented in the archival collection include, but are not limited to: David Rockefeller, the Kettering Foundation, the Rockefeller Brothers Fund, the Lilly Endowment and the Ford Foundation.

The collection also contains a selection of financial statements and reports for the period 1973-1997.

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