

Rockefeller (John D. 3rd) papers

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English

Describing Archives: A Content Standard

Rockefeller Archive Center

15 Dayton Avenue Sleepy Hollow 10591

archive@rockarch.org

URL: http://www.rockarch.org

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Summary Information

Repository: Rockefeller Archive Center

Creator - aut: Rockefeller, John D., III (John Davison), 1906-1978

Creator: Lincoln Center for the Performing Arts

Title: John D. Rockefeller 3rd papers

ID: FA108

Date [inclusive]: 1906-1978

Physical Description: 100.7 Cubic Feet 265 Boxes

Language of the

Material:

English.

Biographical/Historical note

John Davison Rockefeller 3rd was born in New York, New York, on March 21, 1906, the eldest son of John D. Rockefeller, Jr., and Abby Aldrich Rockefeller. He was the brother of Abby Rockefeller Mauzé and Nelson, Laurance, Winthrop, and David Rockefeller.

Rockefeller, whose childhood family nickname was "Demi," received his preparatory education at the Browning School in New York City and the Loomis Institute, Windsor, Connecticut. He went to Princeton University where he received high honors in economics and graduated in 1929 with the degree of bachelor of science. Rockefeller chose industrial relations as the subject of his senior thesis. While attending college, Rockefeller formed interests that would influence his career in philanthropy.

Rockefeller spent the summer prior to his college graduation as an assistant in the Information Section of the League of Nations office in Geneva, Switzerland, thus beginning a lifelong commitment to international relations. A world tour, undertaken after college graduation, concluded with work for the Institute of Pacific Relations conference in Japan.

In December 1929, Rockefeller, who had been reared to assume the lead role in his generation's philanthropic endeavors, began working in his father's office at 26 Broadway in New York. He immersed himself in the operations of the many institutions associated with the family and became a board member or officer of the Rockefeller Foundation, the General Education Board, the Rockefeller Institute for Medical Research (now The Rockefeller University), Colonial Williamsburg, and the China Medical Board, among others.

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The young Rockefeller also developed his own interests. Through his work as a member of the board of directors of the Bureau of Social Hygiene (to which he was appointed in 1928), Rockefeller began a lifelong commitment to the issues of population and birth control. In 1932, he became chairman of the Delinquency Committee of the Boys Bureau of New York City. Six years later the Committee published its recommendations for handling youthful offenders in "Youth in the Toils."

On November 11, 1932, at the Riverside Church in New York City, Rockefeller married Blanchette Ferry Hooker, a daughter of Elon Hooker, the founder of Hooker Electrochemical Company, and Blanche Ferry. John and Blanchette had one son, John D. Rockefeller IV, and three daughters: Sandra, Hope, and Alida. They maintained their principal residences in Manhattan and at Fieldwood Farm in Mt. Pleasant (Westchester County), New York.

In July 1942, Rockefeller joined the Navy. He served with the rank of lieutenant commander in the Office of the Chief of Naval Operations and worked in an interagency task force devoted to planning postwar policy for Japan. Rockefeller was released from active duty in 1945, but his wartime experience led to his appointment as cultural consultant to John Foster Dulles during the Japanese peace treaty negotiations. His broad assignment to consider ways to improve U.S.-Japan relations fostered his deep interest in Japan and in all of Asia. Rockefeller came to love the country, its culture, and its people, so much so that Japan became a second home to Rockefeller and his wife. It was during this time, the late 1940s, that he and his wife began collecting Asian art, albeit on an intermittent and amateur basis.

In the early 1950s, Rockefeller revitalized the moribund Japan Society, and in 1956 he organized the Asia Society. Both organizations were devoted to fostering cultural and educational exchanges between the East and the West. He also founded the Council on Economic and Cultural Affairs (later renamed the Agricultural Development Council) to provide assistance to Asian farmers. Rockefeller became the American with whom prominent Asians sought counsel. He was an advocate of moderation and cooperation in foreign affairs.

With the founding of the Population Council in 1952, Rockefeller hoped to bring the problem of overpopulation to global attention. His travels throughout Asia convinced him that population growth had to be checked if underdeveloped countries were to achieve political stability. In 1970, President Nixon appointed Rockefeller to the chairmanship of the Commission on Population Growth and the American Future.

Closer to home, JDR 3rd took leadership of a committee of civic leaders who were working to create Lincoln Center. He became the key figure in fund-raising efforts as well as in forging consensus among the diverse group of civil officials, social leaders, and artists whose cooperation was necessary for the Center to succeed. Rockefeller was the Center's first president, starting in 1956, and became its chairman in 1961, a post in which he served until 1970 when he was elected honorary chairman.

During the 1960s, JDR 3rd and Blanchette became serious collectors of Asian and American art. Sherman Lee, director of the Cleveland Museum of Art and an expert on Asian art, served as a consultant to the Rockefellers, as did Edgar P. Richardson, an expert in American art. Rockefeller viewed himself as the temporary custodian of his works of art; ultimately, his collections would serve the public. Upon his death, his major collections of Oriental and American art were donated to the Asia Society of New York City and the Fine Arts Museums of San Francisco, respectively.

In the late 1960s, Rockefeller undertook a major campaign to influence public policy on philanthropy, particularly private philanthropy, which he viewed as a unique social force that was indispensable to the continued success of the United States. Rockefeller became the leading spokesperson on private philanthropy. He lobbied Congress for regulatory and tax laws under which private giving could flourish. In his role as self-appointed caretaker of philanthropy, Rockefeller was responsible for the creation of the Commission on Foundations and Private Philanthropy (usually known as the Peterson Commission) and the Commission on Private Philanthropy and Public Needs (usually known as the Filer Commission).

Rockefeller saw great potential in the civil rights and youth movements of the 1960s. In his book, "The Second American Revolution" (1973), for which he drew on his experiences of the 1960s, Rockefeller described his vision of the United States as a pluralistic democracy that emphasized cooperation between public and private institutions. This book set the stage for Rockefeller's involvement in helping to plan for the U.S. bicentennial celebration of 1976. Rockefeller took an active role through his work on the National Committee for the Bicentennial Era and with the money provided by the JDR 3rd Fund for bicentennial projects.

John D. Rockefeller 3rd was killed in an automobile accident in Mt. Pleasant, New York, on July 10, 1978. Following a memorial service at the Riverside Church in New York City, his cremated remains were buried in the Rockefeller Cemetery.

For lengthier biographical sketches of John D. Rockefeller 3rd, see Series 1 (box 1, folder 10) and Series 2 (box 23, folders 203-205) of this collection.

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Scope and Contents note

The papers of John D. Rockefeller 3rd (1906-1978), document Rockefeller's life and philanthropic activities. They provide information on his education; relationships with family, friends, and business associates; travels; and social concerns, including his lifelong involvement in four major areas: population, Asia, philanthropy, and the arts. The records document his concern for the projects and institutions he initiated and developed.

The bulk of the collection is open for research. Material has been closed that relates to the life and work of living members of the Rockefeller family or to the net wealth of the family or of a single family member. Also, material has been closed that relates to personnel matters or to searches for corporate philanthropic officers.

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Arrangement note

The papers of John D. Rockefeller 3rd are divided into three series:

Series 1 - Office of the Messrs. Rockefeller Files, (1906-1961)-1969.

Series 2 - Rockefeller Family and Associates Files, 1942-(1962-1977).

Series 3 - Office and Home Files, 1928-(1955-1978).

Series 4 - Audiovisual materials.

Due to overlap in years and subject matter among the series, please consult the descriptions and folder listings for all three series when researching a topic.

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File Plan note

Former Classification: III RG 05.

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Administrative Information

Publication Statement

Rockefeller Archive Center

15 Dayton Avenue Sleepy Hollow 10591 archive@rockarch.org

URL: http://www.rockarch.org

Conditions Governing Access

Open for research with select materials restricted as noted. Brittle or damaged items are available at the discretion of RAC. Material in the Rockefeller family collections that provides the names, correspondence, or activities of living members of the Rockefeller family, and/or documents the net

wealth of any Rockefeller family members, is restricted from scholarly access. Researchers interested in accessing digital media (floppy disks, CDs, DVDs, etc.) or audiovisual material (audio cassettes, VHS, etc.) in this collection must use an access surrogate. The original items may not be accessed because of preservation concerns. To request an access surrogate be made, or if you are unsure if there is an access surrogate, please contact an archivist.

Immediate Source of Acquisition note

The papers of John D. Rockefeller 3rd were transferred to the Archive Center from the Rockefeller family offices in Manhattan. Series 1 (Office of the Messrs. Rockefeller Files) and Series 2 (Rockefeller Family and Associates Files) were maintained within the general files department and the archives, the departments responsible for recordkeeping in the family office. The majority of the material designated as Series 3 (Office and Home Files) was maintained in the personal office and homes of Mr. Rockefeller until his death in 1978.

Until the records were processed in final form, Series 1 was maintained at the Archive Center as a discrete series within the Rockefeller Family Archives, RG 2 (Office of the Messrs. Rockefeller, 1897-1961); Series 2 was maintained and described as part of the long alphabetical sequence of files that constitutes the Rockefeller Family Archives, RG 3 (Rockefeller Family & Associates, 1962-1978). The archives department of the family office discontinued RG 3 following the death of Mr. Rockefeller 3rd. The current series titles in the John D. Rockefeller 3rd Papers were carried forward from the previous classifications to emphasize this aspect of the provenance.

The general files department created and maintained a card index for the records transferred to the department. This practice continued until the end of 1996. Indexed material was given a markup-either an arbitrary number or a brief title--that was then entered on the relevant index card. This card index, which can be consulted, contains references to specific documents and is not exhaustive. To facilitate use of the index card file, original folder titles have largely been retained except where doing so would hinder access.

Series 3 is, for the most part, composed of material that was not maintained by general files. It includes material that was kept for then-current use in the office and homes of John D. Rockefeller 3rd by Mr. Rockefeller and his associates. It also includes accretions transferred to the Archive Center in the years following the deaths of Mr. and Mrs. Rockefeller, among them files from the office of Joyce Tait, Mr. Rockefeller's personal secretary, and files used by Peter Johnson, an associate of Mr. Rockefeller, in his preparation of the two-volume biography of John D. Rockefeller 3rd that he coauthored with John Harr.

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Related Materials

Related Archival Materials note

In addition to the papers of family members, the Rockefeller Archive Center has numerous collections related to the life and work of John D. Rockefeller 3rd.

Organizations: Agricultural Development Council, Asia Society, Council on Foundations, JDR 3rd Fund, Population Council, Inc., Products of Asia, Rockefeller Brothers Fund, and Rockefeller Foundation.

Associates and advisors: Joan Dunlop, John E. Harr, Raymond Lamontagne, David Lelewer, Elizabeth McCormack, Porter McKeever, Donald H. McLean, Jr., Datus C. Smith, Jr., and Edgar B. Young.

Separated Materials

The following items were removed from the John D. Rockefeller 3rd Papers:

PRINTED MATERIALS

2 record cartons of annual reports, pamphlets, brochures, etc. from various JDR 3rd-related organizations and interests 10 editions of "The New York Times" from December 8, 1941 through August 11, 1945 New York City newspapers containing reports of death of JDR Jr. on May 11, 1960

PHOTOGRAPHS

See RAC Photograph Collection Number 1007

ARTIFACTS

Glass bottleHair clippingNecktieTooth

Controlled Access Headings

- Art
- Rockefeller family -- Art collections
- John D. Rockefeller House (Pocantico Hills, N.Y.)
- Population
- Art -- Collectors and collecting

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- Arts
- Philanthropy -- New York (N.Y.)
- Philanthropy
- Asia
- Travel

Collection Inventory

Office of the Messrs. Rockefeller Files, 1906-1969 1906-1961

Physical Description: 34.5 Cubic Feet 98 Boxes

Arrangement note

This series is arranged into seven subseries:

Subseries 1 - Personal PapersSubseries 2 - Personal CorrespondenceSubseries 3 - Asian InterestsSubseries 4 - Lincoln CenterSubseries 5 - Population InterestsSubseries 6 - TripsSubseries 7 - Financial Material

Personal Papers, 1906-1961

Arrangement note

Arranged alphabetically by subject or type of material.

Scope and Contents note

This subseries contains information on John D. Rockefeller 3rd's birth, education, engagement, wedding, club memberships, wartime naval service, art collection, and real estate properties. Surviving childhood and school papers include coloring books, autograph collection, notebooks, yearbooks, and examinations. This subseries also contains the congratulatory notes (folder 12) received by JDR 3rd's parents at the time of his birth (including a telegram from Andrew Carnegie), as well as the condolences Rockefeller received upon the death of his grandfather, John D. Rockefeller, Sr., in 1937 (folder 158) and of his mother, Abby Aldrich Rockefeller, in 1948 (folders 149-151). Correspondence with John Foster Dulles and handwritten notes document Rockefeller's refusal of the ambassadorship to Indonesia in 1956 (folder 116). News clippings and magazine articles (folders 21-29) on topics of interest to Rockefeller as well as a scrapbook (box 21) that contains clippings about him can also be found in this subseries. Rockefeller's personal diaries from 1920 to 1961 (folders 36-72) are a valuable source of information. Begun at the age of 13 as a record of people, time, and events, the diaries offer a mixture of the routine interlaced with revealing personal insights. In December 1929, when Rockefeller began working in his father's office at 26 Broadway, the format of the diaries changed from handwritten to typescript. At that point, the diaries became more reflective of his business rather than his personal life.

Related Archival Materials note:

Related Archival Materials note

For diaries from 1962 until Rockefeller's death in 1978, see Series 3, subseries 1.

Title/Description	Instances	
Allowance Account, 1920, 1922-1933	box 1	folder 1

	box 1	folder 2
Apartments - Appraisal Inventory, 1935	box 1	folder 3
Apartments - Transfer of, 1934-1935	box 1	folder 4
Apartments - Beekman Hill Neighbors, 1934-1942	box 1	folder 5
Apartments - Proposed New York City, 1946-1947	box 1	folder 6
Autograph Collection, 1923	box 1	folder 7
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Awards, 1947-1961	box 1	folder 9
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Birth - Certificate, 1906	box 1	folder 11
Birth - Congratulatory Notes, 1906	box 2	folder 12
Birth - News Clippings, 1906	box 2	folder 13
Birthday Greetings, 1919-1956	box 2	folder 14
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Boats - "Snow White", 1940-1944	box 2	folder 17
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Clippings - Birth Control, 1939, n.d.	box 2	folder 22
Clippings - Crime, 1934, 1937, 1940	box 2	folder 23
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Conditions Governing Access

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Arrangement note

Divided into chronological and alphabetical segments.

Scope and Contents note

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Asian Interests, 1949-1969 1949-1961

Arrangement note

Arranged alphabetically by geographical area, country, or organizational name.

Scope and Contents note

Through correspondence, reports, and memoranda, this subseries documents Rockefeller's interest in and commitment to Asia. Rockefeller was deeply concerned about the need for increasing America's understanding and appreciation of the peoples of Asia. To that end, he was involved in the support of cultural exchange programs; the revival of the Japan Society; the formation of the Asia Society; the construction of Asia House, the Asia Society's New York City headquarters; and the construction of the International House of Japan in Tokyo. The files documenting the teaching of the English language in Japan (folders 452-455) reflect Rockefeller's belief in the importance of English to Japan's growth in the international community. Also included here are files relating to the Council on Economic and Cultural Affairs, Inc. (CECA), an organization established by Rockefeller in 1953 to stimulate and support economic and related activities focusing on Asia. Rockefeller's work for the John Foster Dulles peace mission to Japan in 1951 led to a renewed acquaintance with Shigeharu Matsumoto, with whom he had worked at the Institute of Pacific Relations conference in 1929. The Matsumoto and Rockefeller families became close friends. Included in this subseries are Rockefeller's report for Dulles and the State Department (folder 446), as well as correspondence between Matsumoto and JDR 3rd (folders 477-478). Also represented here are two commercial ventures developed by Rockefeller to sell in the United States quality merchandise manufactured in Asia, namely, Products of Asia, Inc. and Products of India, Inc. These companies, which were meant to increase trade and encourage cultural contact, were sold in 1965.

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Related Archival Materials note

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Lincoln Center, 1955-1969 1955-1966

Arrangement note

Arranged alphabetically by general subject

Scope and Contents note

The bulk of this subseries is devoted to the years Rockefeller spent in the creation and development of Lincoln Center for the Performing Arts. His role in the early stages of planning the Center, as well as the myriad details involved in its construction, are documented here. The records demonstrate Rockefeller's ability to forge a partnership among federal, state, and city officials and private philanthropists. As president (and later chair) of Lincoln Center, Rockefeller was responsible for all details of the project, including acquisition of the property, architecture and construction, public relations, seating capacities, and, most importantly, fundraising from both foreign and domestic donors. Due to considerable effort on Rockefeller's part, the Center raised more than \$175 million in contributions and grants during its formative years.

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Related Archival Materials note

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Population Interests, 1951-1967 1951-1961

Scope and Contents note

John D. Rockefeller 3rd developed an interest in the topic of population during his work for the Bureau of Social Hygiene. Rockefeller, who in speeches consistently linked population control to quality of life, became an acknowledged world leader in combating overpopulation. In addition to the files that reveal Rockefeller's general concern regarding the population issue (folders 667-670), this subseries documents the 1951 Conference on Population Problems (folders 718-723) held at Colonial Williamsburg, which was convened by Rockefeller and led to the founding of the Population Council, Inc., a year later. The subseries also sheds light on the early work of the Population Council, an organization founded by Rockefeller to fund biological and demographic research and training fellowships.

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Trips, 1920-1960

Arrangement note

Arranged chronologically.

Scope and Contents note

Beginning with his family's trip to the western United States in 1920, Rockefeller kept accounts of each day's activities in travel logs and diaries. Of note in this subseries are Rockefeller's record of his college graduation world tour in 1929 (folders 728-731); his General Education Board field trips, 1940-1947 (folders 733-734); and the trips to Europe, the Far East, and Africa undertaken on behalf of the Rockefeller Foundation. The field trips undertaken with other GEB trustees involved a study of poverty, race relations, and education in the rural South, notably Georgia, Mississippi, and Arkansas. Also documented here are Rockefeller's annual mid-winter visits to Asia, which began in 1951. With his travels, Rockefeller intended to gain firsthand knowledge of a region's economic and social conditions. To that end, he traveled extensively in Asia, Europe, and Africa. His diaries and logs contain observations on the cultures, societies, and people of the various countries he visited.

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Digital Object: 936876abecef4b50a11ae9e233d6c713.pdf		
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Asia (1954) - India, Pakistan, and Singapore - Notebook	box 92	folder 783
Asia (1954) - Indonesia and Japan - Notebook	box 92	folder 784
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Photographic material separated from the body of the collection

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Southeast Asia (January-March 1957) - Countries	box 93	folder 793
Southeast Asia (January-March 1957) - Diary	box 93	folder 794
Southeast Asia (January-March 1957) - Notebook	box 93	folder 795
Far East (June-August 1957), 1957-1958	box 93	folder 796
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Philippines (Summer 1958)	box 94	folder 805
Philippines (Summer 1958) - Diary	box 94	folder 806
Far East (1959)	box 94	folder 807
Far East (1959) - Countries	box 94	folder 808
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2013pe (1909) 2 1019		

Far East (1960)	box 95	folder 815
Far East (1960) - Books 1 and 2	box 95	folder 816
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Far East (1960) - Diary	box 95	folder 818
Far East (1960) - Notebook	box 95	folder 819
Miscellany	box P 170	folder 21

Separated Materials

Photographic material separated from the body of the collection

Financial Material, 1930-1961

Scope and Contents note

This subserires consists of annual and cumulative financial reports prepared by family associates, most notably Arthur W. Packard and Dana Creel, that document Rockefeller's charitable contributions from 1932 to 1961. The subseries also contains Packard's charitable corporations activities reviews for 1943 to 1946.

Related Archival Materials note:

Related Archival Materials note

For information on contributions in later years, see series 3, subseries 9.

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Contributions - General, 1937-1961	box 96	folder 820
Contributions - Benevolences, 1932-1961	box 96	folder 821
Contributions - Program, 1950-1960	box 96	folder 822
Contributions - Program, 1950-1960	box 96	folder 823
Contributions - Program, 1950-1960	box 96	folder 824
Contributions - Program, 1950-1960	box 96	folder 825
Contributions - Program, 1950-1960	box 96	folder 826
Contributions - Program, 1950-1960	box 96	folder 827
Contributions - Program, 1950-1960	box 96	folder 828
Contributions - Program, 1950-1960	box 96	folder 829
Contributions - Program, 1950-1960	box 96	folder 830
Contributions - Program, 1950-1960	box 96	folder 831
Contributions - Program, 1950-1960	box 96	folder 832
Contributions - Program, 1961	box 97	folder 833

Contributions - Reports, 1937-1941	box 97	folder 834	
Contributions - Reports, 1942-1946	box 97	folder 835	
Contributions - Reports - Packard (Arthur W.), Boards and Committees, 1943-1946	box 97	folder 836	
Contributions - Reports, 1947-1948	box 97	folder 837	
Contributions - Reports, 1951-1954	box 97	folder 838	
Contributions - Reports, 1955-1958	box 97	folder 839	
Contributions - Reports, 1958-1961	box 98	folder 840	
Contributions - Reports - Cumulative, 1930-1960	box 98	folder 841	
Contributions - Reports - Cumulative, 1930-1960	box 98	folder 842	

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Rockefeller Family and Associates Files, 1942-1977 1962-1977

Physical Description: 17.5 Cubic Feet 51 Boxes

Arrangement note

The original filing order created in the Rockefeller family office has been maintained for this subseries. General correspondence, 1962-1976, is filed before the alphabetical subject sequence.

Scope and Contents note

Chronological correspondence from 1962 to 1969 (folders 206-298), which consists of copies of Rockefeller's outgoing correspondence, includes letters that Rockefeller wrote in his capacity as chairman of Lincoln Center. This series documents Rockefeller's work for the U.S. Bicentennial, including his efforts on behalf of the National Committee for the Bicentennial, as well as awards received (1942-1976) and trips taken (1962-1976). It also contains reading copies and drafts of speeches given (folders 45-123) and statements made (folders 367-371) by Rockefeller between 1964 and 1976. In this series, speeches are filed under "Addresses." The Statements files include JDR 3rd's testimony before Rep. Wright Patman's House Ways and Means Committee during hearings on the 1969 Tax Reform Bill (folder 367-368). In his testimony, Rockefeller offered his views on the impact the new tax proposals would have on the state of philanthropy. Newspaper and magazine articles written by and about Rockefeller will be found here, as will information regarding purchases and prospective additions to his art collection (filed under "Works of Art," folders 402-438). Other topics covered in this series include public relations; radio and television appearances; real estate properties; invitations to speak; and invitations to attend dinners, luncheons, and receptions.

Related Archival Materials note:

Related Archival Materials note

Additional and overlapping material on Rockefeller's speeches can be found in series 3, subseries 6. For additional material on the 1969 Tax Reform Bill, see "Tax Reform" files in series 3, subseries 3, box 62, folders 399-402.

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General Correspondence (A), 1962-1976	box 1	folder 1
General Correspondence (A), 1962-1976	box 1	folder 2

General Correspondence (B), 1962-1976	box 1	folder 3
General Correspondence (B), 1962-1976	box 1	folder 4
General Correspondence (B), 1962-1976	box 1	folder 5
General Correspondence (C), 1962-1976	box 1	folder 6
General Correspondence (C), 1962-1976	box 1	folder 7
General Correspondence (D), 1962-1976	box 2	folder 8
General Correspondence (D), 1962-1976	box 2	folder 9
General Correspondence (E), 1962-1976	box 2	folder 10
General Correspondence (E), 1962-1976	box 2	folder 11
General Correspondence (F-G), 1962-1976	box 2	folder 12
General Correspondence (F-G), 1962-1976	box 2	folder 13
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General Correspondence (H-J), 1962-1976	box 2	folder 15
Н	box P 171	folder 1
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•	box 2 box 3	folder 16 folder 17
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Conditions Governing Access

Restricted material

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Separated Materials

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Physical Description: 40 Cubic Feet 115 Boxes

Arrangement note

Arranged into nine subseries:

Subseries 1 - Personal PapersSubseries 2 - CorrespondenceSubseries 3 - Philanthropy and Public InterestsSubseries 4 - Population InterestsSubseries 5 - Asian InterestsSubseries 6 - SpeechesSubseries 7 - TripsSubseries 8 - Subject FileSubseries 9 - Financial Material

Personal Papers, 1956-1978

Arrangement note

Arranged alphabetically by subject or type of material.

Scope and Contents note

This subseries contains information on Rockefeller's later years. Of note is the continuation (1962-1978) of the diaries begun in series 1, subseries 1. Also included here are appointment books from 1956 to 1978, which list meeting schedules and participants, along with an occasional reference to the subject to be discusses, as well as telephone logs from 1954 to 1969, which list details of phone calls made or received by Rockefeller. Two sets of index cards containing information on acquaintances, American and foreign business leaders and government officials, and countries are also in this subseries (boxes 16-25). Material related to Rockefeller's extensive art collection including correspondence with galleries, museums, and Edgar P. Richardson, his advisor on American paintings, is also part of this subseries (folders 22-54). While these files do contain some information on his Asian art collection, the bulk of the material on Asian art in this series can be found in subseries 5 (folders 514-519). Records pertaining to the death of JDR 3rd are also contained in this subseries. Condolence letters received by his wife, his son, and the various organizations with which he was associated, as well as a memorial service program and booklet, are filed here (folders 58-74).

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Arrangement note

Arranged into personal, chronological, and alphabetical sections.

Scope and Contents note

This correspondence documents Rockefeller's business, civic, governmental, philanthropic, cultural, and social activities. The personal correspondence contains incoming and outgoing letters from family members, friends, associates, and American and foreign government officials. The "Famous People" correspondents (folders

137-138) include Rosalyn Carter, Kurt Waldheim, and members of Congress and the presidential cabinets. Letters between Rockefeller and Charles Percy, John D. Rockefeller IV's father-in-law, reveal the warm relationship between the two families. Also located in this subseries are letters from Shigeharu Matsumoto and his family, close personal friends with whom the Rockefellers visited during their numerous trips to Japan. This section also contains correspondence between Rockefeller and his associates David K. Lelewer, Donald H. McLean, Jr., and Datus C. Smith, Jr. The chronological file contains copies of Rockefeller's outgoing correspondence from 1970 to 1978. The alphabetical file contains outgoing correspondence for the year 1978 filed by name of the recipient.

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Scope and Contents note

The bulk of this subseries is devoted to materials relating to the work of organizations and commissions founded by Rockefeller to address issues of concern to him. One of Rockefeller's earliest involvements was as chair of a Boys Bureau committee that studied the causes of juvenile delinquency. "Youth in the Toils" (folders 242-243), the culmination of the committee's six years of effort, was published in 1938. Also in this subseries are files relating to Colonial Williamsburg (folders 248-250). Rockefeller worked closely with his father on this restoration project, which is evidenced by their correspondence (folder 249). Rockefeller's involvement with the Rockefeller Foundation (folders 322-334) and with the Rockefeller Brothers Fund (folders 311-320) is also documented here.

Material that complements the Lincoln Center files in series 1 can be found in this subseries (folder 285-290). Of particular note is a 1977 interview conducted with Rockefeller adviser Edgar B. Young on JDR 3rd's role in the development of Lincoln Center. Rockefeller was also instrumental in the establishment of the Rockefeller Archive Center (folders 305-310) and the Rockefeller Public Service Awards (folders 335-343), which honor civilian employees in the Federal government. The collaborative efforts of Rockefeller, John E. Harr, Datus C. Smith, and Richard Schickel in the writing of "The Second American Revolution" are extensively documented in drafts, correspondence, and memoranda (folders 344-398).

In addition to material on the JDR 3rd Fund and its Arts in Education Program (folders 282-284), this subseries contains a number of files on the Youth Task Force. Established by the JDR 3rd Fund in 1970 to promote understanding among youth, business, and professional leaders, the Task Force sponsored a series of dialogs and underwrote surveys by Daniel Yankelovich, Inc. (folders 427-435). As a result of Wright Patman's attacks on philanthropy, Rockefeller became a lobbyist on its behalf. Numerous elements of this subseries reflect his efforts to protect, reform, and enhance philanthropy in the United States. Related material will be found under the headings "Corporate giving" (folders 264-267); "Tax reform" (folders 399-404); and "Third sector" (folders 406-419). Rockefeller's search to find methods of partnership between philanthropy, on the one hand, and government and private enterprise on the other hand, led to the creation of the Commission on Foundations and Private Philanthropy ("Peterson Commission," folders 251-254) and the Commission on Private Philanthropy and Public Needs ("Filer Commission," folders 254-260).

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Arrangement note

Arranged alphabetically by subject or name of organization.

Scope and Contents note

The bulk of this subseries documents Rockefeller's role in the national and international population movement during the 1970s. In 1972, President Richard Nixon appointed Rockefeller chair of the National Commission on Population Growth and the American Future. The commission, which had been created by Congress, was

charged with making recommendations regarding a broad range of population issues. The work of the commission and its findings are documented in this subseries. A number of files in this subseries reveal Rockefeller's strong interest in the abortion debate, an interest that predated the Supreme Court's 1973 "Roe v. Wade" decision. After the decision, Rockefeller and Joan Dunlop, his adviser on population and on the status of women, attempted to develop strategies to influence public opinion in favor of access to contraceptive and abortion services.

This subseries also contains material on the operation and fundraising efforts of the Population Council. Of special note is Frederick Osborn's 1969 interview regarding Rockefeller's role in the founding and development of the Pop Council (folder 494). Rockefeller's correspondence with the Vatican (folder 511), as well as his efforts on behalf of the United Nations' World Leaders' Statement on Population (folder 513), for which he obtained the signatures of more than 30 world leaders, is also in this subseries.

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Asian Interests, 1949-1978

World Leaders' Statement, 1973

Arrangement note

Arranged alphabetically by subject or name of organization.

Scope and Contents note

This small subseries contains general material as well as files on the funding and leadership of two organizations: the Asia Society and the Japan Society. Of special note are transcripts of proceedings from the American Policy Toward China Roundtable in 1949 and documents and records of sessions from the Japanese Peace Conference

box 74

folder 513

in 1951. This subseries also houses files on the Ramon Magsaysay Award Foundation, a Philippine organization created and financed by the Rockefeller Brothers Fund, to confer awards upon Asians who had given noteworthy public service to the peoples of Asia. Material on the Pagan restoration in Burma are also found here.

Related Archival Materials note:

Related Archival Materials note

For additional information on the Pagan restoration, see series 1, subseries 3, folder 382.

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Arrangement note

Arranged chronologically.

Scope and Contents note

An index to the speeches is in the first folder in this subseries (folder 549). The files contain reading copies and drafts, as well as correspondence and memoranda relating to the preparation of speeches and to their reception when released or delivered. The speeches are a valuable resource for charting JDR 3rd's reactions to events and his involvement in numerous activities and causes. They can be used to trace Rockefeller's evolving views on such issues as population and philanthropy. In addition to speeches, this subseries includes Rockefeller's testimony before various congressional committees. Following the chronological arrangement of speeches, a separate, mostly redundant collation of "Speeches, Remarks, and Releases" will be found in boxes 96 through 98.

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Arrangement note

Arranged chronologically. Background material on countries visited by Rockefeller is arranged alphabetically and is filed at the end of this subseries.

Scope and Contents note

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Background Material - Ceylon, 1960	box 101	folder 836
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Background Material - Hong Kong, 1958-1961	box 101	folder 838
Background Material - India, 1954-1959n.d.	box 101	folder 839
Background Material - Indonesia, 1957-1962	box 101	folder 840
Background Material - Japan, 1958-1965	box 101	folder 841
Background Material - Laos, 1960n.d.	box 101	folder 842

Background Material - Malaya, 19591961n.d.	box 101	folder 843	
Background Material - Nepal, 1960	box 101	folder 844	
Background Material - Pakistan, 19581962	box 101	folder 845	
Background Material - Philippines, 1958-1962	box 101	folder 846	
Background Material - Thailand, 1962	box 101	folder 847	
Background Material - Vietnam, 1962	box 101	folder 848	

Subject File, 1936-1978

Arrangement note

Arranged alphabetically by subject

Scope and Contents note

This eclectic subseries contains information on a wide range of topics, including public relations, staff, and foreign relations. Of note is the typescript of the autobiography of Frederick T. Gates, "Chapters in My Life," which was given to Rockefeller by Gates's granddaughter, Janet Gates Bonney. Also found here are copies of Rockefeller's oral history interviews with Columbia University as well as reactions to publication of "The Rockefellers: An American Dynasty" by Peter Collier and David Horowitz. This subseries contains the printed journal from the United Nations Relief and Rehabilitation Administration conference in 1943, to which Rockefeller was a Navy Department representative. Records of the Rockefeller Brothers, Inc., a venture capital operation, are also housed here.

Title/Description	Instances	
Articles and Interviews with John Davison Rockefeller 3rd, 1956-1978	box 102	folder 849
Articles and Interviews with John Davison Rockefeller 3rd - Film and Television, 1972-1977	box 102	folder 850
Articles and Interviews with John Davison Rockefeller 3rd - "Time" Magazine, 1966	box 102	folder 851
Defense Departement, U.S., 1952-1961	box 102	folder 852
Dulles (John Foster) Oral History Project, 1965	box 102	folder 853
Foreign Relations - Background Material, 1955-1960	box 102	folder 854
Foreign Relations - Council on Foreign Relations, Inc., 1966	box 102	folder 855
Gates, F. T., "Chapters of My Life," Typescript, 1928	box 102	folder 856
Gates, F. T., "Chapters of My Life," Typescript, 1928	box 102	folder 857
Gates, F. T., "Chapters of My Life," Typescript, 1928	box 102	folder 858
Greenfield Village, 1977	box 103	folder 859
Ladejinsky, Wolf - Vietnam Years (Vol. 3), 1955-1961	box 103	folder 860
Ladejinsky, Wolf - Ford Foundation Years (Vol. 4), 1961-1964	box 103	folder 861

Ongoing Responsibilities and Interests, 1966-1977	box 103	folder 862
Printed Material, 1940-1946	box 103	folder 863
Public Relations, 19531964-1977	box 104	folder 864
Public Relations - Rosenberg, Anna M., 1950	box 104	folder 865
Public Relations - Ruder and Finn, 1968-1976	box 104	folder 866
"Reminiscences of John D. Rockefeller 3rd," Columbia University Oral History, Part I, 1964	box 104	folder 867
"Reminiscences of John D. Rockefeller 3rd," Columbia University Oral History, Part I, 1964	box 104	folder 868
"Reminiscences of John D. Rockefeller 3rd," Columbia University Oral History, Part I, 1964	box 104	folder 869
"Reminiscences of John D. Rockefeller 3rd," Columbia University Oral History, Part I, 1964	box 104	folder 870
"Reminiscences of John D. Rockefeller 3rd," Columbia University Oral History, Part II, 1970	box 105	folder 871
"The Rockefellers: An American Dynasty" (Collier and Horowitz), 1976	box 105	folder 872
Rockefeller Brothers, Inc General Papers, 1955-1962	box 105	folder 873
Rockefeller Brothers, Inc Legal Papers, 1946	box 105	folder 874
Rockefeller Brothers, Inc Organization and Procedures, 194719481951	box 105	folder 875
Rockefeller Brothers, Inc Report to Directors, June 30, 1958	box 105	folder 876
Rockefeller Brothers, Inc Tax Issues, 1946-1947	box 105	folder 877
Rockefeller Center, Inc., 1936-19571959-1963c1972	box 105	folder 878
Rockefeller Center, Inc., 1936-19571959-1963c1972	box 105	folder 879
Staff - Applications for Employment (CLOSED FOLDER), 1951	box 106A	folder 880
Conditions Governing Access:		
Conditions Governing Access		
Restricted material		
Staff - Employees (CLOSED FOLDER), 1941-1960	box 106A	folder 881
Conditions Governing Access: Conditions Governing Access		
Restricted material		
Staff - Employees - One Beekman Place (CLOSED FOLDER), 1967-1974	box 106A	folder 882

Conditions Governing Access:

Conditions Governing Access

Restricted material

Staff - Employees -	Pocantico	Hills (CL	OSED	FOLDER	₹),
1940-194119461956	51959				

box 106A

folder 883

Conditions Governing Access:

Conditions Governing Access

Restricted material

Staff - Group Insurance and Pensions (CLOSED FOLDER), 1938-1961

box 106A

folder 884

Conditions Governing Access:

Conditions Governing Access

Restricted material

Staff - Meeting Agendas and Summaries, 1964-1978	box 106A	folder 885	
Staff - Policy and Planning Committee Status Reports, 1954-1959	box 106A	folder 886A	
Staff - Policy and Planning Committee Status Reports,	box 106B	folder 886B	

Staff - Policy and Planning Committee Status Reports, box 106B folder 886B 1960-1964

Conditions Governing Access:

Conditions Governing Access

Staff - Salaries (CLOSED FOLDER), 1937-1946

Restricted material

Standard Oil of California - Project Standard Oil (CLOSED FOLDER), 19701973

box 106B

box 106B

folder 888

folder 887

Conditions Governing Access:

Conditions Governing Access

Restricted material

United Nations Relief and Rehabilitation Administration, Journal of First Session of the Council, 1943 box 107

folder 888A

Financial Material, 1962-1978

Arrangement note

Arranged chronologically.

Scope and Contents note

This subseries, which is a continuation of the financial records in series 1, subseries 7, contains the annual reports of Rockefeller's charitable giving.

Title/Description	Instances	
Contributions - Program, 1962-1970	box 108	folder 889
Contributions - Program, 1962-1970	box 108	folder 890
Contributions - Program, 1962-1970	box 108	folder 891
Contributions - Program, 1962-1970	box 108	folder 892
Contributions - Program, 1962-1970	box 108	folder 893
Contributions - Program, 1962-1970	box 108	folder 894
Contributions - Program, 1962-1970	box 108	folder 895
Contributions - Program, 1962-1970	box 108	folder 896
Contributions - Program, 1962-1970	box 108	folder 897
Contributions - Program, 1971-1976	box 109	folder 898
Contributions - Program, 1971-1976	box 109	folder 899
Contributions - Program, 1971-1976	box 109	folder 900
Contributions - Program, 1971-1976	box 109	folder 901
Contributions - Program, 1971-1976	box 109	folder 902
Contributions - Program, 1971-1976	box 109	folder 903
Contributions - Program, 1971-1976	box 109	folder 904
Contributions - Program, 1977-1978	box 110	folder 905
Contributions - Program, 1977-1978	box 110	folder 906
Contributions - Nondeductible, 1976-1978	box 110	folder 907
Contributions - Reports, 1962-1978	box 110	folder 908
Contributions - Reports, 1962-1978	box 110	folder 909
Contributions - Reports, 1962-1978	box 110	folder 910

JDR 3rd Material Donated by William P. Garrison, 1906-1929

Immediate Source of Acquisition:

Immediate Source of Acquisition

Donated to the RAC in January 2008 by the family of William P. Garrison.

Biographical / Historical

William P. Garrison was the farm manager at Fieldwood Farm from 1981-1985.

Title/Description	Instances	
Princeton Commencement Book, 1929	box 115	folder 1
JDR 3rd passport, 1926-1927	box 115	folder 2
JDR 3rd Infant notebook, 1906-1917	box 115	folder 3

Scope and Contents

Documents feedings, height and weight

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JDR 3rd Audiovisual materials, 1946-1975

Conditions Governing Access:

Conditions Governing Access

When requesting to view films -- please refer to the Title and the AV Number (example: AV 1902).

All inquires to view films require advanced notice of at least 24 hours, and may necessitate notice of up to one week. Individual items, including those not available in modern formats, may be restricted from scholarly access at the discretion of RAC.

Controlled Access Headings:

Moving Images

Title/Description	Instances
Episode of NewsFront: Segment with John D. Rockefeller, 1967 December 16	reel AV 2934
Conditions Governing Access note: Conditions Governing Access note	
Access copy currently unavailable. Please contact a RAC archivist for further instruction.	

Controlled Access Headings:

- Television news programs
- Rockefeller, John D., III (John Davison), 1906-1978

Asian Art Collection, 1970

reel AV 1113

Conditions Governing Access note:

Conditions Governing Access note

Access copy currently unavailable. Please contact a RAC archivist for further instruction.

Scope and Contents note

Footage from the opening of the JDR 3rd Asian Art Collection at the Asia Society. JDR 3rd and wife Blanchette are in attendance.

Controlled Access Headings:

- Art collections
- Rockefeller, John D., III (John Davison), 1906-1978
- Rockefeller, Blanchette Hooker
- Asia Society

Bicentennial: Beyond the Birthday, 1975

reel AV 1945

Conditions Governing Access note:

Conditions Governing Access note

Access copy currently unavailable. Please contact a RAC archivist for further instruction.

Scope and Contents

Film about the projects and ideas generated around the Bicentennial. Introduced by President Ford, the film features interviews with prominent figures and examines civic projects all looking to examine the history of the US so far, and to make constructive plans for the future. Interviews with JDR 3rd, Ladybird Johnson, Dr. Jonas Salk, and others.

Controlled Access Headings:

- United States--History
- Ford, Gerald R.
- Rockefeller, John D., III (John Davison), 1906-1978
- Salk, Jonas

Frontiers of Faith, 1952

reel AV 1713

Conditions Governing Access note:

Conditions Governing Access note

Access copy currently unavailable. Please contact a RAC archivist for further instruction.

Scope and Contents note

NBC presentation: panel discussion about Christian ministry and religion in America with JDR 3rd (as president of the RBF, the RBF Fund for Theological Education began in 1952); panel includes Eugene Carson Blake (moderator), Liston Pope, Ralph Sockman, Nathan Pusey.

Controlled Access Headings:

- Religion
- Clergy--Office
- United States

• Rockefeller, John D., III (John Davison), 1906-1978

John D. Rockefeller 3rd, 1952

reel AV 1108

Conditions Governing Access note:

Conditions Governing Access note

Access copy currently unavailable. Please contact a RAC archivist for further instruction.

Scope and Contents note

Compilation of newsreel footage from 1952: King and Queen of Jordan visit to Madrid; JDR 3rd gives speech in Japan & visits International Culture Center; viewing British helicopters in England.

Controlled Access Headings:

- Newsreels
- Japan
- Spain
- England
- Speeches, addresses, etc.
- Helicopters
- Rockefeller, John D., III (John Davison), 1906-1978

John D. Rockefeller 3rd: Population Explosion, 1967

reel AV 1652

Conditions Governing Access note:

Conditions Governing Access note

Access copy currently unavailable. Please contact a RAC archivist for further instruction.

Scope and Contents note

From an ABC interview, a short statement from JDR 3rd on the realities of family planning in response to the question, "What is the scope of the problem of the population explosion?"

Controlled Access Headings:

- Family planning
- Filmed interviews
- Rockefeller, John D., III (John Davison), 1906-1978

John D. Rockefeller 3rd Lecture, 1973 October 31

reel AV 1864

Conditions Governing Access note:

Conditions Governing Access note

Access copy currently unavailable. Please contact a RAC archivist for further instruction.

Scope and Contents note

Speech by JDR 3rd about the U.S. Bicentennial (1976), the current state of the nation, and remembering his recently

deceased brother, Winthrop. Introduced by his nephew, Winthrop P. Rockefeller, who pays tribute to his father and the Rockefeller Family. Filmed at the University of Arizona six months after the death of Winthrop A. Rockefeller.

Controlled Access Headings:

- Rockefeller, John D., III (John Davison), 1906-1978
- Rockefeller, Winthrop
- Rockefeller, Winthrop
- Rockefeller, Winthrop
- Rockefeller family
- University of Arizona

John D. Rockefeller IV Campaign in West Virginia, 1966

reel AV 110

Conditions Governing Access note:

Conditions Governing Access note

Access copy available.

Scope and Contents note

Report on JDR IV campaign for West Virginia seat in House of Delegates, reported by Paul Duke of NBC.

Controlled Access Headings:

- West Virginia
- Political campaigns -- United States
- Rockefeller, John D., IV (John Davison)

Kaleidoscope Interview with John D. Rockefeller 3rd, 1969 April

reel AV 1325

30

Conditions Governing Access note:

Conditions Governing Access note

Access copy available.

Scope and Contents note

JDR 3rd interviewed by James Day about the realities and responsibilities of being a Rockefeller. Questions: Was there a spirit of competition between the brothers? Were you groomed for philanthropic responsibility? What pursuit has given you the most satisfaction? What is your rationale for giving?

Controlled Access Headings:

- Filmed interviews
- Rockefeller, John D., III (John Davison), 1906-1978

National Conference on Citizenship, 1970

reel AV 109

Conditions Governing Access note:

Conditions Governing Access note

Access copy currently unavailable. Please contact a RAC archivist for further instruction.

Scope and Contents note

Coverage of bipartisan workshop on student involvement with the electoral process, informal panel discussions-film funded with grants from the Stern Foundation and JDR 3rd.

Pilgrimage to Virginia, 1946 March 8

reel AV 1117

Conditions Governing Access note:

Conditions Governing Access note

Access copy currently unavailable. Please contact a RAC archivist for further instruction.

Scope and Contents note

Winston Churchill visits Virginia with his wife and daughter: speech at the Virginia General Assembly in Richmond with General Eisenhower and Governor William Tuck; visit to Colonial Williamsburg with JDR 3rd, Kenneth Chorley, and General Eisenhower.

Controlled Access Headings:

- Virginia
- Churchill, Winston
- Eisenhower, Dwight D. (Dwight D.)
- Tuck, William M. (William Munford), 1896-1983
- Rockefeller, John D., III (John Davison), 1906-1978
- Chorley, Kenneth
- Colonial Williamsburg (Williamsburg, Va.)

Population and the American Future (2-reels), 1972

reel AV 1711A

reel AV 1711B

Conditions Governing Access note:

Conditions Governing Access note

All inquires to view films require advanced notice of at least 24 hours, and may necessitate notice of up to one week. Individual items, including those not available in modern formats, may be restricted from scholarly access at the discretion of RAC.

Scope and Contents note

Overview of findings from 2-year research project on population growth funded by JDR 3rd, Ford Foundation, Commonwealth Fund, Xerox Corp, and the Scaife Family Fund. Part I: change in life expectancy, population growth statistics, environmental deterioration, national security, illegal immigration, urban development, national distribution policy.

Processing Information:

Processing Information

2 reels; AV 1711A is part I and AV 1711B is part II

Controlled Access Headings:

- Population
- Public health
- Sexual health
- Sex instruction
- Population and Population Control
- Abortion

Ramon Magsaysay Award Foundation, 1958

reel AV 1017

Conditions Governing Access note:

Conditions Governing Access note

Access copy currently unavailable. Please contact a RAC archivist for further instruction.

Scope and Contents note

Compilation of news footage: reporting on US funding of agriculture projects in the Philippines, then coverage of first Ramon Magsaysay Foundation award, with President Garcias and JDR 3rd.

Controlled Access Headings:

- Philippines
- Agriculture -- Asia.
- Rockefeller, John D., III (John Davison), 1906-1978
- Garcia, Carlos P. (Carlos Polestico), 1896-1971

The Today Show: Performing Arts in America, 1965 March 9

reel AV 1654

Conditions Governing Access note:

Conditions Governing Access note

Access copy currently unavailable. Please contact a RAC archivist for further instruction.

Scope and Contents note

A study of the performing arts in America, supported by JDR 3rd. The study stresses that the arts should have the same public funding and accessibility as museums or libraries, and the importance of bringing professional standards and quality to community and school-level programs. Barbara Walters and Hugh Downs are the interviewers.

United Nations Population Explosion, 1967

reel AV 1653

Conditions Governing Access note:

Conditions Governing Access note

Access copy currently unavailable. Please contact a RAC archivist for further instruction.

Scope and Contents note

Film on ABC about family planning in New York City, with sound bites from JDR 3rd and various international public leaders. Relates to article written by JDR 3rd "The Greatest Challenge" in Reader's Digest (1966 October).

Urban Gateways: Art Safaris

reel AV 873

Conditions Governing Access note:

Conditions Governing Access note

Access copy currently unavailable. Please contact a RAC archivist for further instruction.

Scope and Contents note

Film from theater group funded by the JDR 3rd Fund

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