

Commonwealth Fund records, Grants, SG 1, Series 18

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Summary Information

Repository: Rockefeller Archive Center

Creator: Harkness, Edward Stephen

Creator: Commonwealth Fund

Creator: Harkness, Anna M. Richardson

Creator: Harkness, Mary Emma Stillman

Creator: Harkness, Charles W.

Title: Commonwealth Fund records, Grants, SG 1, Series 18

ID: FA290

Date [inclusive]: 1918-2003

Physical Description: 584.59 Cubic Feet 526 boxes and 529 microflim reels

Language of the

Material:

English

Preferred Citation note

Information regarding the Rockefeller Archive Center's preferred elements and forms of citation can be found at http://www.rockarch.org/research/citations.php

Biographical/Historical note

The Commonwealth Fund was established in 1918 with an endowment of \$10 million from Mrs. Stephen V. Harkness for "benevolent, religious, educational and like purposes." The early grants supported a variety of programs while generally promoting welfare, especially child welfare. Several grants were made in the field of medical research, but the Board of Directors decided in 1920 that this field was already sufficiently supported by other philanthropic organizations.

Despite this decision, by the mid-1920s the chief interest of the Fund had become public health, including mental hygiene, community health, rural hospitals, medical research, and medical education. Other grant areas included war relief, educational and legal research, child welfare, and international medical fellowships.

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Scope and Contents note

Grant actions comprise the largest series of the Commonwealth Fund records. The earliest grants funded a broad range of projects and associations and reflected the diverse and varied program of the Fund's beginning years. In many cases the grants were parallel or ancillary to existing Commonwealth Fund projects. Often, however, unrelated or special short-term grants were awarded. When the Commonwealth Fund's program became more oriented toward medical education and research, the grant actions mirrored this policy alteration. The records found in the Grants Series are the combination of the unprocessed Grants and Expired Grants Series.

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Arrangement note

Series 18 is divided into four subseries. Each series represents an additional accession of grant files.

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File Plan note

Former Classification: IX; IV 4B 4;

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Administrative Information

Publication Statement

Rockefeller Archive Center

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Conditions Governing Access note

Open for scholarly research, except where particular restrictions are noted.

RAC is unable to provide access to obsolete media and original digital media. When feasible, a digital surrogate may be created via special order. All applicable charges will apply. See RAC Head of Archival Services or RAC Head of Digital Programs for details.

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Controlled Access Headings

- Law
- Legal issues
- Legal research
- Medical education
- Medical research
- Medicine, Rural
- Medicine -- Scholarships, fellowships, etc.
- Mental health
- Child mental health
- New York (N.Y.)
- New York (State)
- Public health
- Hygiene
- Education, Rural
- Child welfare
- Child psychology
- Public welfare
- United States
- War relief
- Harvard University
- Harkness, Edward Stephen

- Social Science Research Council
- Commonwealth Fund
- Harkness, Anna M. Richardson
- Columbia University
- Yale University
- Harkness, Mary Emma Stillman
- Phillips Exeter Academy
- Harkness, Charles W.

Other Finding Aids

Other available finding aids describing the Commonwealth Fund records including	Other a	ailable findir	g aids	describing	the (Commonwealth	Fund	records	1nclu	de
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FA247 Commonwealth Fund Harkness House drawings

FA276 Commonwealth Fund records, Annual Reports, SG 1, Series 4

FA277 Commonwealth Fund records, Archives/Library, SG 1, Series 5

FA278 Commonwealth Fund records, Austrian Program, SG 1, Series 6

FA279 Commonwealth Fund records, Board of Directors, SG 1, Series 7

FA280 Commonwealth Fund records, Capital Grants, SG 1, Series 8

FA281 Commonwealth Fund records, Child Health Demonstrations, SG 1, Series 9

FA282 Commonwealth Fund records, Division of Community Clinics, SG 1, Series 10

FA283 Commonwealth Fund records, Division of Health Studies, SG 1, Series 11

FA284 Commonwealth Fund records, Division of Public Health, SG 1, Series 12

FA285 Commonwealth Fund records, Division of Publications, SG 1, Series 13

FA286 Commonwealth Fund records, Division of Rural Hospitals, SG 1, Series 14

FA287 Commonwealth Fund records, Educational Research, SG 1, Series 15

FA288 Commonwealth Fund records, English Mental Hygiene Program, SG 1, Series 16

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FA291 Commonwealth Fund records, Harkness Family, SG 1, Series 19

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- FA293 Commonwealth Fund records, Institute for Child Guidance, SG 1, Series 21
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- FA300 Commonwealth Fund records, Pilgrim Trust, SG 1, Series 28
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- FA389 Commonwealth Fund records, Administration Historical Files, SG 1, Series 1
- FA390 Commonwealth Fund records, Administration Officers Files, SG 1, Series 2
- FA429 Commonwealth Fund records, President, Margaret E. Mahoney, SG 2, Series 1
- FA430 Commonwealth Fund records, Book Program, SG 2, Series 2

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Physical Characteristics and Technical Requirements note

Materials requiring specialized equipment for access (film, audio, video, slides) may be closed to research dependent on availability of the applicable equipment

Brittle or damaged items, or materials otherwise in need of preservation care, may be closed to researchers at the discretion of the RAC Head of Archival Services/Head of Reference.

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Collection Inventory

Grants

Physical Description: 171.6 Cubic Feet

Physical Description: 390 boxes, 532 microfilm reels

Arrangement note

This subseries of records is arranged alphabetically by grant recipient.

Scope and Contents note

Grant actions comprise the largest series of the Commonwealth Fund records. The earliest grants funded a broad range of projects and associations and reflected the diverse and varied program of the Fund's beginning years. In many cases the grants were parallel or ancillary to existing Commonwealth Fund projects. Often, however, unrelated or special short-term grants were awarded. When the Commonwealth Fund's program became more oriented toward medical education and research, the grant actions mirrored this policy alteration. The records found in the Grant Series are the combination of the unprocessed Grants and Expired Grants Series.

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Related Archival Materials note:

Related Archival Materials note

See also CF Series Advanced Medical Fellowships, Sub-Series Institutional Block Grants.

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Restricted - Confidential material. Requires prior archival review.

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Conditions Governing Access note

Restricted - Confidential material. Requires prior archival review.

University of Kentucky (College of Medicine) - Department of Community Medicine, Extra-mural Teaching Program - Progress Reports (ME&CH), 1965-1967

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Restricted - Confidential material. Requires prior archival review.

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Restricted - Confidential material. Requires prior archival review.

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Medical College of South Carolina - Vascular Studies of the Pituitary Gland (MR), 1956-1967

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See Commonwealth Fund, Advanced Medical Fellowships Series, National League for Nursing, Inc., Boxes 65-68, Folders 790-817.

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Related Archival Materials note:

Related Archival Materials note

See Commonwealth Fund, Advanced Medical Fellowships Series, National League for Nursing, Inc., Boxes 65-68, Folders 790-817.

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Related Archival Materials note

See Commonwealth Fund, Advanced Medical Fellowships Series, National League for Nursing, Inc., Boxes 65-68, Folders 790-817.

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Related Archival Materials note:

Related Archival Materials note

See Commonwealth Fund, Advanced Medical Fellowships Series, National League for Nursing, Inc., Boxes 65-68, Folders 790-817.

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See Commonwealth Fund, Advanced Medical Fellowships Series, National League for Nursing, Inc., Boxes 65-68, Folders 790-817.

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Related Archival Materials note:

Related Archival Materials note

See Commonwealth Fund, Advanced Medical Fellowships Series, National League for Nursing, Inc., Boxes 65-68, Folders 790-817.

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Related Archival Materials note:

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Related Archival Materials note:

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Related Archival Materials note:

Related Archival Materials note

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Related Archival Materials note:

Related Archival Materials note

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Planned Parenthood-World Population - Center for Family Planning Program Development/Alan Guttmacher Institute, 1973-1981

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Planned Parenthood-World Population - Center for Family Planning Program Development/Alan Guttmacher Institute, 1973-1981 box 283

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Physical Description: 4.4 Cubic Feet

Arrangement note

This subseries of records is arranged alphabetically by grant recipient.

Scope and Contents note

Grant actions comprise the largest series of the Commonwealth Fund records. The earliest grants funded a broad range of projects and associations and reflected the diverse and varied program of the Fund's beginning years. In many cases the grants were parallel or ancillary to existing Commonwealth Fund projects. Often, however, unrelated or special short-term grants were awarded. When the Commonwealth Fund's program became more oriented toward medical education and research, the grant actions mirrored this policy alteration. The records found in the Grant Series are the combination of the unprocessed Grants and Expired Grants Series.

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Related Archival Materials note

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Related Archival Materials note:

Related Archival Materials note

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Grants

Physical Description: 55.44 Cubic Feet

Arrangement note

This subseries of records is arranged alphabetically by grant recipient.

Scope and Contents note

Grant actions comprise the largest series of the Commonwealth Fund records. The earliest grants funded a broad range of projects and associations and reflected the diverse and varied program of the Fund's beginning years. In many cases the grants were parallel or ancillary to existing Commonwealth Fund projects. Often, however, unrelated or special short-term grants were awarded. When the Commonwealth Fund's program became more oriented toward medical education and research, the grant actions mirrored this policy alteration. The records found in the Grant Series are the combination of the unprocessed Grants and Expired Grants Series.

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3 reel-to-reel tapes 2 cassette tapes

Related Archival Materials note:

Related Archival Materials note

See also Boxes 92-93, Folders 686-688

Grants, 1979-2003 1987-2003

Physical Description: 53.15 Cubic Feet microfilm reels representing 205 cubic feet of paper records.

Arrangement note

Series 18.4 is arranged alphabetically by grant recipient. Within each grant, the material has been arranged in chronological order while maintaining the distinctiveness of the ten separate sections or 'tabs' as noted below.

- Tab 1: Completed Grant Reports, follow-up evaluations by staff and consultants.
- Tab 2: Interim and final financial reports (including cash requisition forms).
- Tab 3: Interim and final narrative reports (including follow-up correspondence from staff and consultant reports).
- Tab 4: Program summary, news release, annual report text, and related correspondence.
- Tab 5: Award letter, executed letter of agreement, and amendments to the letter of agreement.
- Tab 6: Board report text and resolution (minutes).
- Tab 7: Budget (final budget, revisions, and related correspondence).
- Tab 8: Proposal (final proposal and addenda) and CV's of project personnel.
- Tab 9: Request for project support form, tax papers, expenditure responsibility forms, approved subcontracts, and correspondence concerning change(s) in organization name, project director, and/or address.
- Tab 10: Consultant reports, support letters, and site visit reports.

Physical Characteristics and Technical Requirements note

The archival material has been reformatted (to 35mm preservation standard microfilm) and the original records are no longer available. User copies of the microfilm are available for scholarly access.

Appraisal note:

Appraisal note

The archival material has been reformatted and the original records are no longer available. User copies of the microfilm are available for scholarly access.

Scope and Contents note

The Grant files comprise the largest series of the Commonwealth Fund records. Series 18.4 of the Commonwealth Fund contains the fourth accession of grant files from the Commonwealth Fund to the Rockefeller Archive Center. The first three sub-series include grants from the years 1918-1988, while the bulk of the grants in this series range from 1987-2003. A small portion of the grants in 18.4, however, date back as far as 1979. Taken as a whole, these grants demonstrate the Fund's commitment to stimulating innovative thinking on health policy and practice in the United States. This far reaching aim is achieved primarily, but not exclusively through programs that address, "access to affordable care, quality of health care services, health system responsiveness to patients, long-term care concerns, and allocation of health care resources."

Series 18.4 of the CF grant files document the more recent activities of the organization, which focus primarily on improving the quality of, and access to, health care services and insurance. They are especially concerned with making these improvements available to vulnerable populations such as children, the elderly, women, minorities, and low-income families. These grants also document funding to promote healthier lifestyles and to improve medical education and research. These grant files also document the Commonwealth Fund's clear commitment to improving the quality of life for the residents of New York City through grants that improve the physical environment and grants that support research on a variety of health and social issues. Grants housed within this series vary in size from several to several thousand pages each. The files themselves are divided into ten discrete sections as follows: 1.) Completed Grant Reports and follow-up evaluations by staff and consultants, 2.) Interim and final financial reports including cash requisition forms, 3.) Interim and final narrative reports including followup correspondence from staff and consultant reports, 4.) Program summary, news release, annual report text, and related correspondence, 5.) Award letter, executed letter of agreement, and amendments to the letter of agreement, 6.) Board report text and resolution, 7.) Final budget, revisions, and related correspondence, 8.) Final proposal and CV's of project personnel, 9.) Request for project support form, tax papers, expenditure responsibility forms, approved subcontracts, and correspondence concerning change in organization name, project director, and address, 10.) Consultant reports, support letters, and site visit reports. The location of each of these tabs is marked by a "tab target" which has been filmed in place of the actual tab. Within each grant, the material has been arranged in chronological order while maintaining the distinctiveness of the ten separate sections or 'tabs.' Some grant folders do not include ten individual sections; however, these records are complete as is. Please note that the title 'Missing Pages' indicates that the administrator retained a 'tab' or section title for the folder, but no documentation was included for that section.

While this collection has been re-formatted, the microfilm is entirely representative of the original documents. In some cases, grant files do not include 10 'Tab' sections although the finding aid may indicate otherwise. This information is completely representative of the original documents.

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Thomas Delbanco

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Ralph Hingson

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Ron Britt

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Donna L. Yee

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Henry Aaron

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William Anderson

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Albert Abramovitz

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Pablo Eisenberg

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Michael Calabrese

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Elizabeth Barlow Rogers

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Susan Fox

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James Joseph

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Alice Hersh

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Sheila Leatherman			
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Michael Barth		
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Lisa Zbar

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Lisa Zbar		
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Brian O'Connell

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Brian O'Connell		
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Brian O'Connell

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Jaya K. Rao			
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Philip Rutledge			
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M. C. Moore-Ede			
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Samuel Halperin			
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Samuel Halperin

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Roy Amara

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Thomas Moloney			
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Thomas Moloney			
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Howard Mantel			
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Sally Beaty			
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John Wingate			
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Tom Getzen			
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Ellen Morgenstern

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Brant Fries		
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David Salem		
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Eileen Dombo		
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Martha Romans

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Michael Mulvihill		
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Richard Neufeld		
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Richard Neufeld		
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Richard Neufeld		
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Richard Neufeld		
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Adina Back

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Richard Kazis			
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Richard Kazis			
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Hillary Pennington			
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Charles Daly			
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Robert Heyssel			
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Deborah Knight Kerr

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James Block		
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Linda Fried			
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Gerard Anderson			
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Gerard Anderson			
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Arnold Packer			
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William Richardson

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Janet Archer			
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Karen Davis			
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Karen Davis			
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Diane Rowland			
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Bernard Guyer			
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Karen Davis

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Karen Davis			
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Judith Kasper			
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Karen Davis			
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Victor Cohn			
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Carol Weisman

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Bernard Guyer			
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Michael Paglia

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Lauren Leroy			
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Lawrence Wissow			
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Diane Rowland			
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Bernard Guyer			
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Donald Price			
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Donald Price

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Kay Johnson		
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Arnon Mantver		
Joslin Diabetes Center - #10387, 1998	reel 262	frame 578
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Raymond Herskowitz		
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Norman Warner		
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Jon Gabel		
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Michael Perry		
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Patricia Drury		
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Allen Dobson		
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Lawrence Lewin		
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Gary Claxton

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Allen Dobson		
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Mary Jo O'Brien		
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Lisa Maria B. Alecxih		
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Humphrey Taylor

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William Robinson			
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Ronald Bass			
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Ronald Bass

Humphrey Taylor

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Susan Hughes

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Peter Budetti			
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David Musante			
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Peter Budetti			
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Cynthia Parsons			
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Sheila Leatherman			
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John Wyn Owen

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Nicholas Hicks			
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Madelyn Jaffe Ruiz

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Denise Johnston			
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Kate Chura			
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Thomas Hedrick

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Edward Mosley			
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Pamela Farley Short

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Marciene Mattleman

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Julia McCrady			
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Adam Weinstein			
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Stephen Tosh			
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Susan Edgman-Levitan

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Thomas Delbanco			
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Janice Walker			
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Marie Fagan			
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Michael Reid-Schwartz			
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Peggy Hazard

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William Griffin			
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Gail Robinson			
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Margaret Catley-Carlson			
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Stephen Rosenberg			
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Ronda Kotelchuck			
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Linnell Wright

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Diane Colasanto			
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Richard Nathan

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Gail Wilensky			
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Claudia Schur			
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Gail Wilensky

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Tupper Thomas

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Charles Dey		
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Michael Bailin

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Gary Walker			
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Ruth Shuman			
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Ricki Wintz

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Susan Lacerte			
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Adrian LeBlanc			
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Barbara O'Grady			
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Robert Brook

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Elizabeth McGlynn			
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Pamela Farley Short

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Elizabeth McGlynn			
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Aimee Boden			
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Aimee Boden			
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Robert Yaro			
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Robert Yaro/Robert Pirani			
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William J. Foley			
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Peter Mason			
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Frank Riessman			
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Rose Dobrof

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Shoshanna Sofaer			
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Rose Dobrof			
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Shoshanna Sofaer			
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Catherine Hawes			
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Peter Hartley			
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Robert Rosenthal			
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Michelle Greanias/Perietta Wingfield			
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Darwin H. Stapleton

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Ingrid Reed		
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Darwin H. Stapleton

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Joseph Ernst			
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Carol Hogue			
Roper Center - #91-97, 1990	reel 444	frame 818	
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John Barry			
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Ann Minnick			
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Ann Minnick			
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Joel Shalowitz/Ann Minnick

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Ann Minnick			
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Margaret Koller			
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David Mechanic			
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Herbert Gleason			
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Tim Ryback			
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Elizabeth Barton			
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Miquel A. Garcia			
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K. C. Cole			
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Alan McGowan

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Valerie Hannon			
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Wilton Dillon			
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Roger Jowell			
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Jessie Allen			
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Forrest Chisman			
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Jessie Allen			
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Francis Rogers

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Lewis Hartman			
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Albert Messina			
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Marianne Legato			
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Brian Jarman			
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Brian Jarman			
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Mark Mclellan			
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Jeanne Wahl Halleck			
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John Hornberger

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David Thom			
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Jeanne Wahl Halleck			
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Alain Enthoven			
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Fran Huber			
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Elie Ward			
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Betsy Fader			
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John Hogness			
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Edith Berrios

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Deborah Freund			
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Mary Musca			
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Jeanne Brooks-Gunn			
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Henry Guettel			
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Nancy Caddigan			
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William Schwartz			
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William Schwartz

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Ira Wilson			
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Jacqueline Eng			
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Bruce Vladeck			
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Calvin Green

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Adam Yarmolinsky			
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Scott Bass

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Gordon DeFriese

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Jane Hall

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Sarah Shannon

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Jack Hadley

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Gregory Acs			
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William Rochford

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Artencia Hawkins-Bell			
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Emory Jackson

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Ann Jacobs

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Marshall Kapp			
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Marshall Kapp

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J. W. Carmichael			
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Lisa Berkman			
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Sharon Inouye			
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Elisa Manuelidis			
Yale University - #94-90, 1994	reel 527	frame 371	
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Sharon Inouye			
Yale University - #95-47, 1997	reel 528	frame 1	
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Charles Torre			
Yale University - #970487, 1995	reel 528	frame 640	
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Julie Lee			
Yale University - #97063, 1997	reel 528	frame 712	
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Terry Fried			
Yale University - #980883, 1989	reel 528	frame 919	

Theodore Marmor

Youthbuild U.S.A. - #95-22, 1990 reel 529 frame 1

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Dorothy Stoneman

YWCA - #92-50, 1991 reel 529 frame 526

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Alpha Alexander

Zero to Three: National Center for Infants Toddlers and Families - reel 529 frame 602

#20000104, 1989

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Emily Fenichel