

# Rockefeller (Nelson A.) personal papers, Family and Friends, Series H

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Rockefeller Archive Center

15 Dayton Avenue Sleepy Hollow 10591 archive@rockarch.org

URL: http://www.rockarch.org

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#### **Summary Information**

**Repository:** Rockefeller Archive Center

**Creator - aut:** Rockefeller, Nelson A. (Nelson Aldrich)

**Title:** Nelson A. Rockefeller personal papers, Family and Friends, Series H

**ID:** FA343

**Date [inclusive]:** 1908-1973

**Physical Description:** 132 document box(es)

**Language of the** English

Material:

#### **Preferred Citation note**

Information regarding the Rockefeller Archive Center's preferred elements and forms of citation can be found at <a href="http://www.rockarch.org/research/citations.php">http://www.rockarch.org/research/citations.php</a>

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## Biographical/Historical note

Nelson Aldrich Rockefeller was born on July 8, 1908, in Bar Harbor, Maine, the third child of John Davison Rockefeller, Jr., and Abby Aldrich Rockefeller. He graduated from the Lincoln School of Teachers College at Columbia University in New York City in 1926. Mr. Rockefeller attended Dartmouth College where he was elected to Phi Beta Kappa, graduating cum laude in 1930 with an A.B. degree in economics.

After college, Mr. Rockefeller was active in family enterprises, including real estate, banking, and family philanthropies. His major business interests in time became focused on Rockefeller Center and Latin America. In 1938, he became the president of Rockefeller Center.

In 1935, because of his interest in national affairs and his desire to learn about U.S. business abroad, Mr. Rockefeller became a director of Creole Petroleum Company, the Venezuelan subsidiary of Standard Oil of New Jersey. This association led eventually to his deep, life-long interest in Latin America. He made extensive visits to Latin America in 1937 and 1939 to study economic, social, and political conditions. He resigned his directorship in the Creole Petroleum Company in 1940.

In 1940, Mr. Rockefeller and his four brothers organized the Rockefeller Brothers Fund to carry out a broad range of philanthropic activities.

Throughout his life Mr. Rockefeller was an avid supporter of the arts. He served as a trustee, treasurer, president, and chair of the board of the Museum of Modern Art, which was cofounded by his mother. In 1954, he founded the Museum of Primitive Art, which collected of indigenous art of the Americas, Africa, Oceania, and early Asia and Europe.

After his 1939 visit to Latin America, Mr. Rockefeller prepared a memorandum for President Franklin D. Roosevelt outlining his deep concern over Nazi influence and penetration into that part of the world. In the memo he recommended a U.S. program of cooperation with the nations of the western hemisphere to achieve better relations among these nations and to help raise their standards of living. Largely as a result of this memo, President Roosevelt asked Mr. Rockefeller in August of 1940 to initiate and head a new program ultimately known as the Office of Inter-American Affairs. Mr. Rockefeller served as Coordinator of Inter-American Affairs, his first full-time position in public service.

Mr. Rockefeller served in this position until December 1944, when President Roosevelt appointed him Assistant Secretary of State for American Republic Affairs. In this post, Mr. Rockefeller initiated the Inter-American Conference on Problems of War and Peace in Mexico City in February of 1945. It resulted in the Act of Chapultepec, which provided the framework for economic, social, and defense cooperation among the nations of the western hemisphere and set the principle that an attack on one of these nations would be regarded as an attack on all and jointly resisted.

Mr. Rockefeller signed the Act of Chapultepec on behalf of the United States. He also attended the United Nations Conference on International Organization in San Francisco in 1945. At the conference, there was considerable opposition to the idea of permitting, within the UN Charter, the formation of regional pacts such as the Act of Chapultepec. Mr. Rockefeller, who believed that the inclusion was essential, especially to U.S. policy in Latin America, successfully argued the need for regional pacts within the framework of the United Nations. The importance of this victory was underscored by the subsequent formation of NATO, SEATO, and the Rio Pact.

During these war-time years, Mr. Rockefeller also served as Chairman of the Inter-American Development Commission, which was established in June 1940 to reorganize and revitalize Latin American economies following the loss of European markets. He was also the U.S. Co-Chairman of the Mexican-American Development Commission to help Mexico emerge as an industrial nation in the transition from war to peace.

Mr. Rockefeller resigned as Assistant Secretary of State on August 24, 1945.

Upon his return to private life in New York in 1946, Mr. Rockefeller became chair of the board of Rockefeller Center and undertook a program of physical expansion. Two other initiatives during this period illustrate Mr. Rockefeller's continuing interest in Latin America and international economic development. In July of 1946, the Rockefeller brothers established a philanthropic organization, the American International Association for Economic and Social Development (AIA). AIA financed nonprofit projects to ameliorate health, educational, agricultural, and other social problems in the poorer areas of Latin America. Nelson Rockefeller served as President from July 1946 to June 1953 and from January 1957 to December 1958. In 1947, he organized the International Basic Economy Corporation (IBEC), a business corporation to help raise living standards in foreign countries through new economic

enterprises. In its early years, IBEC concentrated on enterprises in Latin America but later expanded its activities to other regions. Mr. Rockefeller served as IBEC President from January 1947 to June 1953 and from January 1956 to December 1958.

In his inaugural address in January 1949, President Harry S. Truman announced the Point IV program for providing technical assistance to developing nations. This concept was based in part on programs Mr. Rockefeller and his associates had developed through the Office of the Coordinator of Inter-American Affairs and the private, philanthropic AIA. In November of 1950, President Truman asked Mr. Rockefeller to serve as Chairman of the International Development Advisory Board. The Board was charged with recommending policies for carrying out the Point IV program. The Board's report, entitled "Partners in Progress," provided the basic blueprint for America's foreign assistance program.

On November 20, 1952, President-elect Dwight D. Eisenhower asked Mr. Rockefeller to serve as Chairman of the President's Advisory Committee on Government Organization, a group created to recommend ways to improve the efficiency of the executive branch of the government. As Chairman of that committee, Mr. Rockefeller recommended 13 reorganization plans to the President, 10 of which were approved by Congress. These plans achieved basic changes in the organization of the Department of Defense, the Department of Agriculture, and the Office of Defense Mobilization, among others. Another of the plans led to the establishment of the Department of Health, Education, and Welfare. In June 1953, Mr. Rockefeller was appointed Under Secretary of the new department. He was especially active in the department's legislative program, recommending measures that covered an additional ten million people under the Social Security program. He resigned as HEW Under Secretary in 1954 to become Special Assistant to the President for Foreign Affairs.

While serving as Special Assistant, Mr. Rockefeller played a key role in the development of the "Open Skies" proposal for checking on world armaments through mutual air reconnaissance. He accompanied President Eisenhower to the Geneva Summit Conference in 1955, where the plan was proposed to the world by the President. Mr. Rockefeller resigned as Special Assistant on December 31, 1955.

Mr. Rockefeller first ran for public office in 1958 and was elected Governor of New York on November 4, defeating incumbent Averill Harriman. He took office January 1, 1959, and was subsequently reelected Governor three more times, thus becoming the first Governor in the nation's history to be elected to four 4-year terms.

Nelson Rockefeller's tenure as a public servant in the federal government was a formative period in his life. By the time he left Washington to assume the governorship of New York, he had received a thorough education in the workings of the federal government. His chairmanship of PACGO permitted him to examine every aspect of the executive branch. This and his work in HEW exposed him to a wide variety of domestic issues. He gained experience in initiating legislation, in foreign policy and diplomacy, in domestic and international economics, and in national security and military affairs. In the process he also received an education in national politics and gained a reputation for being an effective and hardworking administrator. Most importantly, these appointments provided him with the opportunity to form the friendships and personal associations that served him throughout his political career.

Mr. Rockefeller resigned as Governor of New York in December 1973. In August 1974, President Gerald R. Ford nominated him to fill the vacant vice presidency following the resignation of President Richard

M. Nixon. Mr. Rockefeller served as Vice President from December 19, 1974, to January 20, 1977. Nelson Rockefeller died on January 26, 1979, in New York City.

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# **Scope and Contents note**

This series contains the personal and office files of Nelson A. Rockefeller specifically related to his family members and friends, dating from Rockefeller's birth in 1908 and continuing through 1973. Usually, the files comprise correspondence with or about these individuals, but newspaper clippings, publications, reports, inventories, notebooks, diaries, and photographs are also included (photographs have been transferred to the photo collection). There are also a number of folders of early materials on Nelson Rockefeller himself, which were most likely kept by his mother and then passed along to him at various times. These range from height charts from his infancy, to school work and bank statements from his adolescence, to clippings on his engagement in 1929. Also among this material are numerous photographs taken by Rockefeller, who in his adolescence had a passion for photography.

The series begins with alphabetically arranged files on Nelson Rockefeller's friends (19.5 boxes). These files include a few distant family members on both the Rockefeller and Aldrich sides. The series then continues with the Rockefeller family (111.5 boxes). The family files are arranged primarily by a combination of generation, chronology, and closeness of relationship. For example, general Rockefeller family materials come first, followed by files on paternal great-grandfather William Avery Rockefeller and then John D. Rockefeller, Sr. The next several boxes document Nelson's youth, adolescence, and college years, which are then followed by materials related to his parents, John D. Rockefeller, Jr., and Abby Aldrich Rockefeller. Nelson's stepmother, Martha Baird Rockefeller, comes next, and then his siblings and their children. After several boxes on Nelson during his adult years are materials on his two wives and descendants.

#### MATERIALS OF SPECIAL SIGNIFICANCE

Numerous sections of this series contain materials of special significance for research on Nelson Rockefeller, the Rockefeller family, and others. Among correspondents of note are Sherman Adams, Thomas Braden, Ralph Bunche, Dwight D. Eisenhower, Wallace K. Harrison, William and Oveta Hobby, General George C. Marshall, Roberto Montenegro, Eleanor Roosevelt, Edward Stettinius, Henry A. Wallace, and Earl Warren. Within the Rockefeller family portion of this series, the correspondence between Nelson and John D. Rockefeller, Jr., is substantial, dating from as early as 1917 and continuing until his father's death in 1960. In contrast, the series holds only a handful of letters from John D. Rockefeller, Sr.

Several female family members are well documented in this series. Foremost, Rockefeller's close relationship with his mother is evident in their letters, which begin in 1917 and go until 1941. Aunts on both the Aldrich and Rockefeller sides of the family are represented here, as is Martha Baird Rockefeller, his stepmother. Also, correspondence from Mary Todhunter Clark (whom Rockefeller married in 1930) begins in 1926, and among other things, provides insight into his years at Dartmouth College. Lastly,

following her passing in 2015, 18 boxes of correspondence and clippings on Margaretta (Happy) Fitler (whom Rockefeller married in 1963) were opened to research. These files date from 1963 to 1972 and document her philanthropic, social, and political activities during his second, third, and fourth terms as governor of New York, as well as her involvement in Rockefeller's campaigns for the Republican presidential nomination in 1964 and 1968.

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## **Arrangement note**

The series begins with files on Nelson Rockefeller's friends, arranged alphabetically by last name, and continues with his files on family members, arranged by generation.

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#### File Plan note

Former Classification: III 04 H

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## **Administrative Information**

#### **Publication Statement**

Rockefeller Archive Center

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URL: http://www.rockarch.org

## **Processing Information note**

Processing Level 3: Standard Processing.

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#### **Conditions Governing Access note**

Open for research with select materials restricted as noted. Brittle or damaged items are available at the discretion of RAC. Material in the Rockefeller family collections that provides the names, correspondence, or activities of living members of the Rockefeller family, and/or documents the net wealth of any Rockefeller family members, is restricted. Researchers interested in accessing digital media (floppy disks, CDs, DVDs, etc.) or audiovisual material (audio cassettes, VHS, etc.) in this collection must use an access surrogate. The original items may not be accessed because of preservation concerns. To request an access surrogate be made, or if you are unsure if there is an access surrogate, please contact an archivist.

#### **Processing Information note**

Amy R. Fitch May 2002, rev. July 2015

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## **Controlled Access Headings**

- Philanthropy
- International relations -- United States
- Latin America
- International relations
- International economic relations
- Governors -- Election -- New York (State) -- 1970
- Philanthropy -- New York (State)
- Governors -- Election -- New York (State) -- 1966
- Governors -- Election -- New York (State) -- 1962
- Governors -- Election -- New York (State) -- 1958
- Government policy
- Government agencies
- Federal government -- United States
- Education -- Latin America
- Agriculture
- Agriculture -- Latin America
- Art. Primitive

- Arts
- Brazil
- Business
- Developing countries
- Civics
- Civic improvement
- Philanthropy -- New York (N.Y.)
- Business enterprises, Foreign
- National security -- United States
- Education
- Economics
- Economic policy
- New York (State)
- New York (N.Y.)
- Political science
- Political campaigns -- United States
- Public health -- Latin America
- Social problems -- Latin America
- Presidential campaigns -- United States
- Presidential candidates -- United States -- History -- 20th century
- Venezuela
- State governments -- United States
- United States
- Philanthropy -- United States
- Rockefeller family

## Other Finding Aids note

Detailed finding aids for each series of this collection are available:

Nelson A. Rockefeller papers, Activities (FA338)

Nelson A. Rockefeller Papers, AIA-IBEC (FA339)

Nelson A. Rockefeller Papers, Art (FA340)

Nelson A. Rockefeller Papers, Countries (FA341)

Nelson A. Rockefeller Papers, DNA (FA342)

Nelson A. Rockefeller Papers, Oral Histories (FA344)

Nelson A. Rockefeller Papers, Politics - New York City Office (FA345)

Nelson A. Rockefeller Papers, Politics - George L. Hinman (FA346)

Nelson A. Rockefeller Papers, Politics - Oscar M. Ruebhausen (FA347)

Nelson A. Rockefeller Papers, Projects (FA348)

Nelson A. Rockefeller Papers, Speeches, Post-Vice Presidential (FA349)

Nelson A. Rockefeller Papers, Washington, DC (FA350)

Nelson A. Rockefeller Papers, Ann C. Whitman - Politics (FA351)

Nelson A. Rockefeller Papers, Hugh Morrow Interviews (FA352)

## **Collection Inventory**

Title/Description	Instances	
Adams, Sherman	box 1	folder 1
Albright, Horace	box 1	folder 2
Aldrich, Nelson - Ancestry	box 1	folder 3
Aldrich, Nelson - Papers	box 1	folder 4
Aldrich Family - Miscellaneous	box 1	folder 5
Aldrich, Lucy - Correspondence	box 1	folder 6
Aldrich, Lucy - Death	box 1	folder 7
Aldrich, Lucy - Estate Distribution	box 1	folder 8
Aldrich, Lucy - Estate Distribution	box 1	folder 9
Aldrich, Mr. and Mrs. Winthrop	box 2	folder 10
Aldrich, Mr. and Mrs. Winthrop	box 2	folder 0010A
Conditions Governing Access note: Conditions Governing Access note		
Restricted material		
Aldrich, Winthrop - Apartment on Fifth Avenue	box 2	folder 11
Aldrich, Winthrop - Apartment on Fifth Avenue	box 2	folder 0011A

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#### Restricted material

Aldrich, Winthrop - Latin America	box 2	folder 12
Aldrich, Winthrop - Latin America Lists, 1947	box 2	folder 13
Aldrich, Winthrop - Latin America - Turnbull Correspondence	box 2	folder 14
Aldrich, Winthrop - Latin America - Oreamuno Correspondence	box 2	folder 15
Andrade, Victor - Trip Correspondence	box 2	folder 16
Andrade, Victor - Memos, 1948	box 2	folder 17
Andrade, Victor - Report Extracts, 1948	box 2	folder 18
Andrade, Victor - Report #1 Mexico, 1948	box 2	folder 19
Andrade, Victor - Report #2 Venezuela, 1948	box 2	folder 20
Andrade, Victor - Report #3 Colombia, 1948	box 2	folder 21
Andrade, Victor - Report #4 Ecuador, 1948	box 2	folder 22
Andrade, Victor - Report #5 Peru, 1948	box 2	folder 23
Andrade, Victor - Report #6 Chile, 1948	box 2	folder 24
Andrade, Victor - Report #7 Brazil, 1948	box 2	folder 25
Andrade, Victor - Report #8 Argentina, 1948	box 2	folder 26
Austin, Warren	box 2	folder 27
Baldwin, Joseph Clark	box 3	folder 28
Bates, Ana (Tia)	box 3	folder 29
Benton, William, 1942-1945	box 3	folder 30
Benton, William, 1946-1948	box 3	folder 31
Benton, William, 1949-1957	box 3	folder 32
Benton, William - Foxhall Lease	box 3	folder 33
Benton, William, 1959-1968	box 3	folder 34
Bering, Dr. and Mrs. E.	box 3	folder 35
Berle, Adolf	box 3	folder 36
Berle, Beatrice	box 3	folder 37
Borella, Victor	box 4	folder 38
Borella, Victor	box 4	folder 0038A

#### Restricted material

Borella, Victor	box 4	folder 39	
Bowler, Helen Rockefeller (John D. Rockefeller, Jr.'s cousin)	box 4	folder 40	
Boyer, Louise	box 4	folder 41	
Braden, Thomas	box 4	folder 42	
Braden, Thomas - Blade-Tribune Stock Transfer	box 4	folder 43	
Bunche, Dr. Ralph J.	box 4	folder 44	
Carson, Robert	box 4	folder 45	
Chandler, Si	box 4	folder 46	
Chase, Frederick and Virginia	box 4	folder 47	
Clark, John McLane	box 5	folder 48	
Clayton, William	box 5	folder 49	
Corrigan, Frank	box 5	folder 50	
Corry, Andrew	box 5	folder 51	
Creel, Dana - Items Collected by	box 5	folder 52	
Davis, Frederick	box 5	folder 53	
Dilworth, J. Richardson	box 5	folder 54	
Dodge, Geraldine Rockefeller (John D. Rockefeller, Jr.'s cousin)	box 5	folder 55	
Donnelly, Walter J.	box 5	folder 56	
Dorsey, Fisher	box 5	folder 57	
Ebenstein, Samuel	box 6	folder 58	
Eisenhower, Dwight D.	box 6	folder 59	
Eisenhower, Dwight D.	box 6	folder 0059A	
Conditions Governing Access note:			
<b>Conditions Governing Access note</b>			
Restricted material			
Eisenhower, Dwight D Memos for NATO Meeting, 1957	box 6	folder 60	

# **Employees (CLOSED FOLDERS)**

<b>Conditions Governing Access note</b>		
Restriction-Confidential material		
Ferrer, Mel and Audrey Hepburn	box 7	folder 71
Finley Award - Recipients of	box 7	folder 72
Finley Award - Recipients of	box 7	folder 0072A
Conditions Governing Access note: Conditions Governing Access note		
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Fisher, Raymond	box 7	folder 73
Fisher, Raymond	box 7	folder 0073A
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Fisher, Sterling	box 7	folder 74
Frank, Waldo	box 7	folder 75
Friele, Berent		
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1941-1953	box 7	folder 76
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1967-1970s	box 7	folder 0078A
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Restricted material		
Miscellaneous	box 8	folder 79
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Dean Rusk Correspondence	box 8	folder 81
Foreign Visitors	box 8	folder 82
Foreign Visitors	box 8	folder 0082A

Miscellaneous	box 8	folder 83
Mrs. Alexander Grieg Correspondence	box 8	folder 84
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General	box 8	folder 85
Diary Volume II	box 8	folder 86
Diary Volume IV	box 8	folder 87
Diary Volume V	box 8	folder 88
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Diary Volume IX	box 8	folder 90
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Diary Volume XIII	box 8	folder 93
Diary Volume XIV	box 9	folder 94
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Gallivan, Jack	box 9	folder 96
Gaudet, William	box 9	folder 97
Grant, Edwin	box 9	folder 98
Griffing, Dr. John ("Dad")	box 9	folder 99
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Conditions Governing Access note: Conditions Governing Access note		
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Gumbel, Robert	box 9	folder 102
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Harrison, Wallace K., 1936-1945	box 10	folder 106
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Keppel, Charles - Memos to Louise Boyer	box 11	folder 128
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Mintener, Bradshaw	box 13	folder 150
Mirick, Dr. Gordon	box 13	folder 151
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Montague, Gilbert	box 13	folder 153
Montenegro, Roberto, 1940s-1954	box 14	folder 154
Montenegro, Roberto, 1955-1968	box 14	folder 155
Montenegro, Roberto, 1955-1968	box 14	folder 0155A
Conditions Governing Access note:		
<b>Conditions Governing Access note</b>		
Restricted material		
Neylar, John Travers	box 14	folder 156
O'Brien, John J. ("Cap")	box 14	folder 157
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<b>Conditions Governing Access note</b>		
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<b>Conditions Governing Access note</b>		
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