



Commonwealth Fund records, Treasurer, SG 1, Series 32

This finding aid was produced using ArchivesSpace on March 13, 2020.

Rockefeller Archive Center

15 Dayton Avenue
Sleepy Hollow 10591

archive@rockarch.org

URL: <http://www.rockarch.org>

Table of Contents

Summary Information	3
Biographical/Historical note	3
Scope and Contents	4
Arrangement note	4
File Plan note	4
Administrative Information	4
Controlled Access Headings	5
Other Finding Aids	6
Collection Inventory	7

Summary Information

Repository:	Rockefeller Archive Center
Creator:	Commonwealth Fund
Title:	Commonwealth Fund records, Treasurer, SG 1, Series 32
ID:	FA304
Date [inclusive]:	1925-1982
Physical Description:	13.84 Cubic Feet 32 boxes
Language of the Material:	English

Preferred Citation note

Information regarding the Rockefeller Archive Center's preferred elements and forms of citation can be found at <http://www.rockarch.org/research/citations.php>

[^ Return to Table of Contents](#)

Biographical/Historical note

The Commonwealth Fund was established in 1918 with an endowment of \$10 million from Mrs. Stephen V. Harkness for "benevolent, religious, educational and like purposes." The early grants supported a variety of programs while generally promoting welfare, especially child welfare. Several grants were made in the field of medical research, but the Board of Directors decided in 1920 that this field was already sufficiently supported by other philanthropic organizations.

Despite this decision, by the mid-1920s the chief interest of the Fund had become public health, including mental hygiene, community health, rural hospitals, medical research, and medical education. Other grant areas included war relief, educational and legal research, child welfare, and international medical fellowships.

[^ Return to Table of Contents](#)

Scope and Contents

Types of records include: financial material and general files.

[^ Return to Table of Contents](#)

Arrangement note

This series is arranged in four subseries:

Subseries 1: Alphabetical Files, 1925-1980

Subseries 2: Chemical Bank, 1971-1982

Subseries 3: Fiscal, 1981-1982

Subseries 4: Taxes

[^ Return to Table of Contents](#)

File Plan note

Former Classification: IX; IV 4B 4;

[^ Return to Table of Contents](#)

Administrative Information

Publication Statement

Rockefeller Archive Center

15 Dayton Avenue
Sleepy Hollow 10591
archive@rockarch.org

URL: <http://www.rockarch.org>

Conditions Governing Access note

Open for research with select materials restricted as noted. Brittle or damaged items are available at the discretion of RAC.

Conditions Governing Use note

RAC users must abide by all applicable provisions of United States copyright law. Reproduction/duplication of archival items is routinely provided in accordance with "fair use." If the RAC does not hold copyright for an archival collection, or items within a collection, it is the user's responsibility to contact the copyright holder, or make a fair effort to do so, prior to publication.

[^ Return to Table of Contents](#)

Controlled Access Headings

- United States
- War relief
- Public health
- Public welfare
- Child welfare
- Education, Rural
- Hygiene
- Law
- New York (State)
- New York (N.Y.)
- Child mental health
- Child psychology
- Medicine, Rural
- Medicine -- Scholarships, fellowships, etc.
- Mental health
- Legal issues
- Legal research
- Medical education
- Medical research

Other Finding Aids

Other available finding aids describing the Commonwealth Fund records include:

[FA247 Commonwealth Fund Harkness House drawings](#)

[FA276 Commonwealth Fund records, Annual Reports, SG 1, Series 4](#)

[FA277 Commonwealth Fund records, Archives/Library, SG 1, Series 5](#)

[FA278 Commonwealth Fund records, Austrian Program, SG 1, Series 6](#)

[FA279 Commonwealth Fund records, Board of Directors, SG 1, Series 7](#)

[FA280 Commonwealth Fund records, Capital Grants, SG 1, Series 8](#)

[FA281 Commonwealth Fund records, Child Health Demonstrations, SG 1, Series 9](#)

[FA282 Commonwealth Fund records, Division of Community Clinics, SG 1, Series 10](#)

[FA283 Commonwealth Fund records, Division of Health Studies, SG 1, Series 11](#)

[FA284 Commonwealth Fund records, Division of Public Health, SG 1, Series 12](#)

[FA285 Commonwealth Fund records, Division of Publications, SG 1, Series 13](#)

[FA286 Commonwealth Fund records, Division of Rural Hospitals, SG 1, Series 14](#)

[FA287 Commonwealth Fund records, Educational Research, SG 1, Series 15](#)

[FA288 Commonwealth Fund records, English Mental Hygiene Program, SG 1, Series 16](#)

[FA289 Commonwealth Fund records, Finance Committee, SG 1, Series 17](#)

[FA290 Commonwealth Fund records, Grants, SG 1, Series 18](#)

[FA291 Commonwealth Fund records, Harkness Family, SG 1, Series 19](#)

[FA292 Commonwealth Fund records, Harkness Fellowships, SG 1, Series 20](#)

[FA428 Commonwealth Fund records, Harkness Fellowships, Fellows Reports, SG 1, Series 20A](#)

[FA293 Commonwealth Fund records, Institute for Child Guidance, SG 1, Series 21](#)

[FA294 Commonwealth Fund records, Interface Program, SG 1, Series 22](#)

[FA295 Commonwealth Fund records, Legal Research Program, SG 1, Series 23](#)

[FA296 Commonwealth Fund records, Living at Home Program, SG 1, Series 24](#)

[FA297 Commonwealth Fund records, Mental Hygiene Program, SG 1, Series 25](#)

[FA298 Commonwealth Fund records, Miscellaneous - unprocessed](#)

[FA299 Commonwealth Fund records, Personnel - unprocessed](#)

[FA300 Commonwealth Fund records, Pilgrim Trust, SG 1, Series 28](#)

[FA301 Commonwealth Fund records, Program for the Prevention of Delinquency, SG 1, Series 29](#)

[FA302 Commonwealth Fund records, Reference Files, SG 1, Series 30](#)

[FA303 Commonwealth Fund records, Reports of the General Director, SG 1, Series 31](#)

[FA304 Commonwealth Fund records, Treasurer, SG 1, Series 32](#)

[FA305 Commonwealth Fund records, Turndown Lists, SG 1, Series 33 - unprocessed](#)

[FA306 Commonwealth Fund records, Vertical File \(R. Fitz\), SG 1, Series 34 - unprocessed](#)

[FA307 Commonwealth Fund records, Visual Materials, SG 1, Series 35](#)

[FA389 Commonwealth Fund records, Administration - Historical Files, SG 1, Series 1](#)

[FA390 Commonwealth Fund records, Administration - Officers Files, SG 1, Series 2](#)

[FA429 Commonwealth Fund records, President, Margaret E. Mahoney, SG 2, Series 1](#)

[FA430 Commonwealth Fund records, Book Program, SG 2, Series 2](#)

[^ Return to Table of Contents](#)

Collection Inventory

Alphabetical Files, 1925-1980

Title/Description	Instances	
Alexander and Alexander, 1967-1982	box 1	folder 1
Alexander and Alexander, 1967-1982	box 1	folder 2
Alexander and Alexander, 1967-1982	box 1	folder 3
American Association of Fund-Raising Counsel, Inc., 1970-1976	box 1	folder 4
Anniversary Dinner (Union Club), October 24, 1968		

	box 1	folder 5
Appropriations, 1959/1960-1976/1977	box 1	folder 6
Appropriations, 1959/1960-1976/1977	box 1	folder 7
Appropriations, 1959/1960-1976/1977	box 1	folder 8
Appropriations, 1959/1960-1976/1977	box 1	folder 9
Audit, 1976/1977-1979/1980	box 2	folder 10
Audit, 1976/1977-1979/1980	box 2	folder 11
Audit Guide (Council on Foundations), 1977-1979	box 2	folder 12
Bankers Trust Company, 1947-1979	box 2	folder 13
Barabell Fund, 1930-1949	box 2	folder 14
<u>Conditions Governing Access note:</u> Conditions Governing Access note Restricted material.		
Berry, George P., 1963-1967	box 2	folder 15
Birdsall, William E., 1943-1958	box 2	folder 16
Blue Cross/Blue Shield, 1958-1979	box 2	folder 17
Blue Cross/Blue Shield, 1962-1979	box 3	folder 18
Blue Cross/Blue Shield, 1962-1979	box 3	folder 19
Board of Directors - Journal Entries, 1919-1927	box 3	folder 20
Book Program - General, 1959-1980	box 3	folder 21
Book Program - General, 1959-1980	box 3	folder 22
Book Program - Grant-in-Aid, 1973-1980	box 3	folder 23
Book Program - Grant-in-Aid, 1973-1980	box 3	folder 24
Book Program - Subsidies, 1973-1980	box 4	folder 25
Bowers, John Z., January-April, 1962	box 4	folder 26
Bunnell, P. W., 1935-1979	box 4	folder 27
By-Laws, 1919-1970	box 4	folder 28
By-Laws, 1919-1970	box 4	folder 29
Change of Name Data, 1965-1971	box 4	folder 30
Chapman, Carleton B., 1975-1979	box 4	folder 31
<u>Conditions Governing Access note:</u>		

Conditions Governing Access note

Restricted material.

Chemical Bank, 1918-1965	box 4	folder 32
Chemical Bank, 1918-1965	box 4	folder 33
Chemical Bank, 1918-1965	box 4	folder 34
Chemical Bank, 1966-1980	box 5	folder 35
Chemical Bank, 1966-1980	box 5	folder 36
Chemical Bank, 1966-1980	box 5	folder 37
Chemical Bank, 1966-1980	box 5	folder 38
Childers, Ada, May 27, 1941	box 5	folder 39
Clemens Trust, 1940-1970	box 6	folder 40
Clemens Trust, 1940-1970	box 6	folder 41
Clemens Trust, 1940-1970	box 6	folder 42
Commonwealth Fund - Financial-Estimated Income, 1938-1946	box 7	folder 43
Commonwealth Fund - Incorporation, 1918-1952	box 7	folder 44
Division of Education (British Fellowships), 1935-1944	box 7	folder 45
English Refugee Assistance Fund (Division of Education - Refugee Assistance Fund), 1940-1947	box 7	folder 46
Equitable Life Assurance, 1958-1980	box 7	folder 47
Equitable Life Assurance, 1958-1980	box 7	folder 48
Expense Accounts (Memoranda), 1962-1971	box 7	folder 49
Finance Committee - "Black Book" Policy, 1940-1941	box 7	folder 50
Finance Committee - Minutes, 1950-1958	box 7	folder 51
Finance Committee - Minutes, 1950-1958	box 7	folder 52
Finance Committee - Minutes, 1959-1966	box 8	folder 53
Finance Committee - Minutes, 1959-1966	box 8	folder 54
Finance Committee - Minutes, 1959-1966	box 8	folder 55
Finance Committee - Minutes, 1959-1966	box 8	folder 56
Finance Committee - Reports for Board Meetings, 1946-1959	box 8	folder 57
Finance Committee - Reports for Board Meetings, 1946-1959	box 8	folder 58
Financial Statements, 1971-1973	box 8	folder 59
Foundation Library Center, 1966-1972	box 8	folder 60

Frye, Theodore R., March 4-14, 1966	box 8	folder 61
Galdston, Iago (Consultation), January 6-8, 1959	box 8	folder 62
Grants, Special (Policy), 1934-1946	box 8	folder 63
Harkness, Anna M., 1918-1945	box 9	folder 64
Harkness, Edward S. (Estate), 1942-1951	box 9	folder 65
Harkness, Mary S. (Estate), 1950-1957	box 9	folder 66
Harkness, Mary S. (Estate-Escrow Agreement), 1951-1970	box 9	folder 67
Harkness House, 1951-1955	box 9	folder 68
Haskins and Sells (Correspondence), 1921-1958	box 9	folder 69
Haskins and Sells (Correspondence), 1921-1958	box 9	folder 70
Haskins and Sells (Correspondence), 1921-1958	box 9	folder 71
Haskins and Sells (Correspondence), 1959-1977	box 10	folder 72
Haskins and Sells (Correspondence), 1959-1977	box 10	folder 73
Hilton Head Inn Meeting (May 5-9, 1971) - Foundation Executives Group Meeting, May-September, 1971	box 10	folder 74
Honoraria, 1962-1964	box 10	folder 75
Income (Permanent Record), 1973-1980	box 10	folder 76
Income (Permanent Record), 1973-1980	box 10	folder 77
Insurance, 1949-1974	box 10	folder 78
Interface Program, 1975-1981	box 10	folder 79
Medical Activities (Special Research Grants), 1946-1949	box 10	folder 80
Medical Appropriations (Special Gifts), 1931-1947	box 10	folder 81
<u>Conditions Governing Access note:</u>		
Conditions Governing Access note		
Restricted material.		
Medical Fellowships (Advanced), 1939-1947	box 11	folder 82
Medical Research (Appropriations), 1920-1959	box 11	folder 83
Medical Research (Appropriations), 1920-1959	box 11	folder 84
Mental Health (Appropriations), 1937-1957	box 11	folder 85
Mental Hygiene in England, 1937-1957	box 11	folder 86
Moore, William H., February-March, 1973	box 11	folder 87
National Information Bureau, June 5-8, 1972	box 11	folder 88
Newton, Quigg, 1977-1978		

	box 11	folder 89
New York Academy of Medicine, 1920-1942	box 11	folder 90
New York City (Taxes), 1935-1951	box 11	folder 91
New York State (Taxes), 1933-1969	box 11	folder 92
Peat, Marwick, Mitchell and Company, 1972-1974	box 11	folder 93
Plimpton, Calvin H., 1963-1969	box 11	folder 94
President's Revolving Fund, 1952-1977	box 12	folder 95
President's Revolving Fund, 1952-1977	box 12	folder 96
President's Revolving Fund, 1952-1977	box 12	folder 97
President's Revolving Fund, 1952-1977	box 12	folder 98
President's Revolving Fund, 1952-1977	box 12	folder 99
President's Revolving Fund, 1952-1977	box 12	folder 100
President's Revolving Fund, 1952-1977	box 12	folder 101
President's Revolving Fund, 1952-1977	box 12	folder 102
President's Revolving Fund, 1977-1979	box 13	folder 103
President's Revolving Fund, 1977-1979	box 13	folder 104
The Public Interest Institution as Investor (H. David Rosenbaum), July-October, 1971	box 13	folder 105
Division of Publications (Budgets), 1946-1959	box 13	folder 106
Division of Publications (Financial Statements/Memoranda), 1946-1959	box 13	folder 107
Division of Publications (Financial Statements/Memoranda), 1946-1959	box 13	folder 108
Division of Publications (Journal Entries/Ledger Accounts), 1949-1952	box 13	folder 109
Division of Publications (Statements), 1948-1951	box 13	folder 110
Puerto Rico (Annual Return of Organization Exempt from Income Tax), 1969-1978	box 13	folder 111
Refugee Assistance Fund (Division of Education - Mothers and Children Fund/English Refugee Assistance Fund), June-August, 1940	box 13	folder 112
<u>Related Archival Materials note:</u> Related Archival Materials note Also see box 7, folder 46.		
Rogers and Butler, 1951-1953	box 13	folder 113

Sage, Gray, Todd and Sims, 1941-1957	box 13	folder 114
Sanazaro, Paul J., 1961-1962	box 13	folder 115
Securities and Exchange Commission (Questionnaire - 1970), February-March, 1970	box 14	folder 116
Securities and Exchange Commission (Special Study of Security Markets), 1962-1969	box 14	folder 117
Securities and Exchange Commission (Special Study of Security Markets), 1962-1969	box 14	folder 118
Somers, Anne, 1970-1971	box 14	folder 119
South Bronx Open Space Task Force, Inc., October-November, 1978	box 14	folder 120

Chemical Bank, 1971-1982

Title/Description	Instances	
Investment Account, 1966-1969	box 14	folder 121
Investment Account, 1966-1969	box 14	folder 122
Investment Account, 1966-1969	box 14	folder 123
Investment Account, 1969-1974	box 15	folder 124
Investment Account, 1969-1974	box 15	folder 125
Investment Account, 1969-1974	box 15	folder 126
Investment Account, 1969-1974	box 15	folder 127
Investment Account, 1969-1974	box 15	folder 128
Investment Account, 1974-1980	box 16	folder 129
Investment Account, 1974-1980	box 16	folder 130
Investment Account, 1974-1980	box 16	folder 131
Investment Account, 1974-1980	box 16	folder 132
Investment Account, 1974-1980	box 16	folder 133
Investment Account, 1974-1980	box 16	folder 134
Investment Account, 1980-1982	box 17	folder 135
Investment Account, 1980-1982	box 17	folder 136
Investment Account, 1980-1982	box 17	folder 137
Investment Account, 1980-1982	box 17	folder 138
Investment Account, 1980-1982	box 17	folder 139
Investment Account, 1980-1982	box 17	folder 140

Investment Account, June 1982	box 18	folder 141
Investment Account (30-70057), 1981-1982	box 18	folder 142
Investment Account (30-70057), 1981-1982	box 18	folder 143
Investment Account (30-70057), 1981-1982	box 18	folder 144
Investment Account (30-70057), 1981-1982	box 18	folder 145
Investment Account (30-70057), April-May, 1982	box 19	folder 146
Investment Account (30-75306), 1981-1982	box 19	folder 147
Investment Account (30-75306), 1981-1982	box 19	folder 148
Investment Account (30-75306), 1981-1982	box 19	folder 149
Investment Account (30-75308), 1981-1982	box 19	folder 150
Investment Account (30-75308), 1981-1982	box 19	folder 151
Investment Account (30-75308), 1981-1982	box 19	folder 152
Investment Account (30-75309), 1981-1982	box 20	folder 153
Investment Account (30-75309), 1981-1982	box 20	folder 154
Investment Account (30-75309), 1981-1982	box 20	folder 155
Investment Account (30-75310), 1981-1982	box 20	folder 156
Investment Account (30-75310), 1981-1982	box 20	folder 157
Investment Account (30-75310), 1981-1982	box 20	folder 158
Investment Account (30-75311), October-December, 1981	box 20	folder 159
Investment Account (30-75311), January-May, 1982	box 21	folder 160
Investment Account (30-75311), January-May, 1982	box 21	folder 161
Portfolios, 1977-1979	box 21	folder 162
Portfolios, 1977-1979	box 21	folder 163
Portfolios, 1977-1979	box 21	folder 164
Portfolios, 1977-1979	box 21	folder 165
Portfolios, 1979-1982	box 22	folder 166
Portfolios, 1979-1982	box 22	folder 167
Portfolios, 1979-1982	box 22	folder 168
Securities Purchased, 1977-1980	box 22	folder 169
Securities Sold, 1977-1981	box 22	folder 170
Security Portfolio - Permanent Record, 1979-1980	box 22	folder 171
Special Account, 1969-1970	box 22	folder 172

Fiscal, 1981-1982

Title/Description	Instances	
Dissemination Program, 1981-1982	box 22	folder 173
Review of Commonwealth Fund Activities, 1981-1982	box 22	folder 174

Taxes, 1919-1979

Title/Description	Instances	
Federal Form 990, 1940-1968	box 23	folder 175
Federal Form 990, 1940-1968	box 23	folder 176
Federal Form 990, 1940-1968	box 23	folder 177
Federal Form 990, 1940-1968	box 23	folder 178
Federal Form 990, 1940-1968	box 23	folder 179
Federal Form 990, 1940-1968	box 23	folder 180
Federal Form 990, 1940-1968	box 23	folder 181
Federal Form 990, 1968-1974	box 24	folder 182
Federal Form 990, 1968-1974	box 24	folder 183
Federal Form 990, 1968-1974	box 24	folder 184
Federal Form 990, 1968-1974	box 24	folder 185
Federal Form 990, 1968-1974	box 24	folder 186
Federal Form 990, 1968-1974	box 24	folder 187
Federal Form 990, 1974-1976	box 25	folder 188
Federal Form 990, 1974-1976	box 25	folder 189
Federal Form 990, 1974-1976	box 25	folder 190
Federal Form 990, 1974-1976	box 25	folder 191
Federal Form 990, 1974-1976	box 25	folder 192
Federal Form 990, 1974-1976	box 25	folder 193
Federal Form 990, 1976-1979	box 26	folder 194
Federal Form 990, 1976-1979	box 26	folder 195
Federal Form 990, 1976-1979	box 26	folder 196
Federal Form 990, 1976-1979	box 26	folder 197
Federal Forms 1096 and 1099, 1956-1969	box 26	folder 198
Federal Withholding, 1919-1947	box 26	folder 199

Federal Withholding, 1919-1947	box 26	folder 200
Federal Withholding, 1948-1970	box 27	folder 201
Federal Withholding, 1948-1970	box 27	folder 202
Federal Withholding, 1948-1970	box 27	folder 203
Federal Withholding, 1948-1970	box 27	folder 204
Federal Withholding, 1948-1970	box 27	folder 205
Federal Withholding, 1948-1970	box 27	folder 206
Federal Withholding, 1948-1970	box 27	folder 207
Federal Withholding, 1971-1975	box 28	folder 208
Federal Withholding, 1971-1975	box 28	folder 209
Federal Withholding, 1971-1975	box 28	folder 210
Federal Withholding, 1971-1975	box 28	folder 211
Federal Withholding, 1971-1975	box 28	folder 212
New York City Tax, 1966-1972	box 28	folder 213
New York State - Annual Report to the Attorney General (NYCF 1), 1967	box 28	folder 214
New York State - Annual Report to the Attorney General (NYCF 2), 1967	box 28	folder 215
New York State - Annual Report to the Attorney General (NYCF 2), 1967-1972	box 29	folder 216
New York State - Annual Report to the Attorney General (NYCF 2), 1967-1972	box 29	folder 217
New York State - Annual Report to the Attorney General (NYCF 2), 1967-1972	box 29	folder 218
New York State - Annual Report to the Attorney General (NYCF 2), 1967-1972	box 29	folder 219
New York State - Annual Report to the Attorney General (NYCF 2), 1967-1972	box 29	folder 220
New York State - Annual Report to the Attorney General (NYCF 2), 1967-1972	box 29	folder 221
New York State - Annual Report to the Attorney General (NYCF 2), 1967-1972	box 29	folder 222
New York State - Annual Report to the Attorney General (NYCF 2), 1967-1972	box 29	folder 223
New York State - Annual Report to the Attorney General (NYCF 2), 1973-1979	box 30	folder 224

New York State - Annual Report to the Attorney General (NYCF 2), 1973-1979	box 30	folder 225
New York State - Annual Report to the Attorney General (NYCF 2), 1973-1979	box 30	folder 226
New York State - Annual Report to the Attorney General (NYCF 2), 1973-1979	box 30	folder 227
New York State - Annual Report to the Attorney General (NYCF 2), 1973-1979	box 30	folder 228
New York State - Annual Report to the Attorney General (NYCF 2), 1973-1979	box 30	folder 229
New York State - Annual Report to the Attorney General (NYCF 2), 1973-1979	box 30	folder 230
New York State - Income Tax, 1919-1936	box 30	folder 231
New York State - Income Tax, 1919-1936	box 30	folder 232
New York State - Income Tax, 1919-1936	box 30	folder 233
New York State - Income Tax, 1937-1952	box 31	folder 234
New York State - Income Tax, 1937-1952	box 31	folder 235
New York State - Income Tax, 1937-1952	box 31	folder 236
New York State - Income Tax, 1937-1952	box 31	folder 237
New York State - Income Tax, 1937-1952	box 31	folder 238
New York State - Income Tax, 1937-1952	box 31	folder 239
New York State - Income Tax, 1953-1972	box 32	folder 240
New York State - Income Tax, 1953-1972	box 32	folder 241
New York State - Income Tax, 1953-1972	box 32	folder 242
New York State - Income Tax, 1953-1972	box 32	folder 243
New York State - Income Tax, 1953-1972	box 32	folder 244