



Ford Foundation records, Overseas Development (OD), South and Southeast Asia (SSEA), Burma Office Program Files

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Table of Contents

Summary Information	3
Biographical / Historical	3
Scope and Contents	6
Arrangement	6
Administrative Information	6
Collection Inventory	7

Summary Information

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[^ Return to Table of Contents](#)

Biographical / Historical

Segment One: International Activities of the Ford Foundation: An Overview

The Foundation's aspiration to become a national and international philanthropy for the advancement of human welfare was first formally expressed in the seminal 1949 report of the Gaither Study Committee, *Report of the Study for the Ford Foundation on Policy and Program* (RAC Library, call letters 361.7 GAI), which was commissioned by the Board of Trustees to chart the Foundation's future. Foundation Trustees launched Ford's international grantmaking activities in 1950 when they approved the committee's report and its embrace of peace, democracy, and freedom. Since then, the Foundation has tackled these goals using a variety of strategies and responding to changing contexts, from the Cold War to the 1989 fall of the Berlin Wall and beyond.

Toward the Foundation's aspirational goal, "the establishment of peace", its international activities have comprised a wide range of conceptual approaches and focus areas. These include international affairs, international studies, international understanding, arms control and disarmament, international law, international economic concerns, and overseas development in nearly every region of the world. Three distinct periods emerge for the international grantmaking defined by external contextual changes and

internal changes in Foundation leadership and structures: the expansion era of 1950-1965; the transition and restructuring years of 1966-1988; and the post-1989 shift away from Cold War dichotomies. During each of the distinct historical periods the consistent objectives were: 1) to ensure freedom and democracy in developed countries; 2) to foster education and international understanding in all countries; and 3) to contribute to the social, economic, and political development of less developed countries.

[^ Return to Table of Contents](#)

Biographical / Historical

Segment 2. Period Sketch - The Expansion Era 1950-1965

The Ford Foundation's expansion era (1950-1965) began when the Gaither Study Committee Report placed "peace" as the area of top priority for the Foundation and set the Ford Foundation on a global course. Board Chair Henry Ford II writing in the 1951 Annual Report of the Foundation, identified "the danger of war" as the "the prime threat to human welfare today." Under the leadership of the three Ford Foundation presidents in this period-- Paul Hoffman, H. Rowan Gaither and Henry Heald--and several key trustees, notably the two seriatim chairs, John J. McCloy and Julius A. Stratton, the Foundation programs were largely informed by the post-World War II desire to avoid nuclear war and promote greater understanding among the world's peoples, strengthening of the United Nations, and enhancing both private and public American participation in world affairs. The strong board presence of university presidents and former leaders of postwar reconstruction efforts in Europe also helped to inform Ford's approach to building the field of peace and international security in the United States and encouraged extensive overseas activities.

For example, the first three presidents of the expanded Foundation ranged from government and business leadership experience to academic and nonprofit: Paul Hoffman (at Ford, 1950-1953) ran the Marshall Plan in Europe; H. Rowan Gaither Staffer (1948-1953), President (1953-1956) and Trustee (1956-1958) had MIT and Rand experience; Henry Heald, former president, Illinois Institute of Technology and New York University, President (1956-1966). The trustees also ran the leadership gamut from government and nonprofits to academic: Trustee John J. McCloy (from 1953; chair, 1959-1965) was assistant Secretary of War during World War II and chairman of the Council on Foreign Relations; and Trustee Julius A. Stratton (Trustee from 1955; chair, 1966-1970) was president of MIT.

When Ford became an international foundation in 1950, it had a small staff and operated through separate funds. The expansive period in the 1950s and 1960s resulted in a large number of field offices, which increased Ford's global footprint. Support focused on a mix of US university-based programs for research and training; think-tanks for policy-oriented meetings and policy research; and action projects specifically in less developed countries. The Foundation's focus on peace, freedom, and democracy played out against the Cold War backdrop for scientific and military competition between the United States, the Soviet Union and China.

Under President Hoffman, the Foundation's work was organized by the areas identified in the Gaither Committee Report: Area One, the Establishment of Peace; Area Two, The Strengthening of Democracy;

Area Three, The Strengthening of the Economy; Area Four, Education in a Democratic Society; and Area Five, Individual Behavior and Human Relations.

Program differentiation began in the Gaither era. When he began in 1953, he introduced the following programs, with international work under nearly all of them: International Programs, Public Affairs Programs, Program in Economic Development and Administration (mainly U.S. based), Education Program, The TV-Radio Workshop, and Behavioral Sciences Program. In 1954, the Foundation gave prominence to the international work explicitly through changing the program names and separating international affairs and overseas development. The 1954 program names were: The Advancement of Education, Education for Democracy, Increasing Knowledge of Foreign Areas, Economic Development and Administration, the Behavioral Sciences, and Overseas Development. In 1955, Increasing Knowledge of Foreign Areas became Increasing International Understanding. In 1956, the last year of the Gaither presidency, the program roster read: Education in the United States, The Behavioral Sciences, Public Affairs, Hospital Aid (only in the U.S.), Economic Development and Administration, International Understanding, and Overseas Development. Names changed but the program focus often remained the same.

President Heald maintained the areas of international interest of Hoffman and Gaither. The International Affairs program housed the programs based in the United States, Europe and Japan, with a few activities linking American and developing countries institutions. The Overseas Training and Research Program took over the efforts to increase American understanding of international issues. From 1957-1959, an International Legal Studies program, run from New York, helped build law programs in developing countries. Starting in 1959, the Overseas Development program comprised all the regional and country offices. In fiscal year 1964, Heald convinced the trustees to add a new focus on population, with grants both in the United States and overseas.

[^ Return to Table of Contents](#)

Biographical / Historical

Segment 2. Period Summary - The Expansion Era 1950-1965

With the ever-increasing budget and trustee willingness to invade capital, presidents Hoffman, Gaither and Heald oversaw vast growth in the Foundation's international activities in the United States, other developed countries and developing countries. Activities crossed disciplines, institutions, and national boundaries, although few crossed intra-foundation boundaries. Under the three presidents, Foundation staff in various domestic and international offices from New York interacted with the regional and country offices headed by representatives. Usually in this period, however, these offices in fact worked more closely with local governments than with New York staff, reinforcing the commitment to locally led social and economic development in less-developed countries.

The shared values of this early period reflected as much the continuing competition between the Communist and capitalist worlds as the concerns about maintaining peace. The Cold War provided the contextual continuity for grantmaking on the core themes of increasing American understanding of the

rest of the world, building and strengthening connections not only with European and Asian democracies but also with Eastern European countries, the Soviet Union, China, and Cuba.

[^ Return to Table of Contents](#)

Scope and Contents

Contains general correspondence, administrative records, accounting records, and documentation of program planning, program actions and payments.

[^ Return to Table of Contents](#)

Arrangement

As received.

[^ Return to Table of Contents](#)

Administrative Information

Publication Statement

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Processing Information

Level 1 Minimal Processing has been conducted to establish basic intellectual and physical control of the material and assess immediate preservation and conservation needs. Material remains as received, in original folders and storage boxes.

Conditions Governing Use note

Completion of a use agreement is required prior to providing scholarly access. See RAC Head of Reference (or applicable designee) for details. Ford Foundation holds title, copyright and literary rights in the collection, in so far as it holds them. The Rockefeller Archive Center has authority to grant permission to cite and publish material from the collection for scholarly purposes. Permission to publish extensive excerpts, or material in its entirety, will be referred to the Ford Foundation.

Immediate Source of Acquisition note

Ford Foundation archive was deposited at RAC in 2011. Ford Foundation records, correspondence, reports, program files and officers papers were transferred to, and accessioned by, RAC beginning in 2011. Accessions continue as necessary.

Conditions Governing Access

Records more than 10 years old are open for research with select materials restricted as noted. Brittle or damaged items are available at the discretion of RAC.

[^ Return to Table of Contents](#)

Collection Inventory

Title/Description	Instances
53-152 Institute of Advanced Buddhistic Studies, 1953-1961	box 1
54-61 State Agricultural Institute, 1953-1961	box 1
54-103 Technical Institute in Burma, 1954-1961	box 1
56-38 Consulting and Training Services in Government Management Accounting, 1955-1960	box 1
56-115 Rangoon Technical High School, 1955-1958	box 1
56-257 Business Administration Program, 1956	box 1
57-11 Support for a Public Administration Library, 1955-1961	box 1
57-95 Support for Burma Translations Society Development Program, 1956-1960	box 1
57-102 Advisor on Agricultural Education and Development, 1958-1960	box 1
57-316 Support for Girl Guide Association of Burma, 1957	box 1
57-360 Adviser (Martens) and Training Equipment for Vocational Agriculture, 1957-1964	box 1

58-57 Support for Adviser on Technical and Vocational Education, 1957-1960	box 1
58-68 Training of Economic Development Planning Personnel, 1957-1963	box 1
58-146 Training in Educational Administration, 1957-1963	box 1
58-162 Science Teaching Program at University College Mandalay, 1957-1964	box 1
58-292 Social Sciences Library University of Rangoon, 1958-1963	box 1
58-314 Fellowships and Training in Public Administration and Management, 1958-1963	box 1
59-12 Science Teaching Program Kambawsa College, 1958-1963	box 1
59-32 Rural Youth Exchange Program, 1958-1961	box 1
59-96 Pilot Project in Supervised Credit, 1958-1964	box 1
59-317 University of Mandalay Library, 1959-1963	box 1
59-381 Teaching and Research in Statistics and Agriculture Economics, 1958-1964	box 1
59-392 General Program -- Burma Law Institute, 1958-1963	box 1
60-464 Faculty of Agriculture -- University of Mandalay, 1960-1964	box 1
61-78 Administrative Law Consultant for the Burma Law Institute (Gerard Moran), 1961-1962	box 1
61-120 Program Specialist on Agricultural Education and Development (Gamble), 1961	box 1
61-273 Vocational Technical Education in Burma (Dunwoody), 1961-1963	box 1
Ford Foundation Burma Reports (1/2), 1952-1961	box 1
Ford Foundation Burma Reports (2/2), [1965]	box 1
Burma Grant Reports, 1961-1964	box 1
Burma Program Letters no. 1-20, 1953-1956	box 1
Burma Program Letters no. 21-32, 1957-1959	box 1
Ford Foundation Program in Burma, 1952-1963	box 1
File Directory, 1962	box 1
Advisor on Agricultural Education and Development -- Program Actions and Payments, 1957-1960	box 2
Advisor on Agricultural Education and Development -- General Correspondence, 1956	box 2
Advisor on Agricultural Education and Development -- General Correspondence, 1957	box 2

Advisor on Agricultural Education and Development -- General Correspondence, 1958	box 2
Advisor on Agricultural Education and Development -- General Correspondence, 1959	box 2
Advisor on Agricultural Education and Development -- Agricultural Reports 1 - 9, 1957-1959	box 2
FAO Conference on Agricultural Marketing -- Program Actions and Payments, 1959	box 2
FAO Conference on Agricultural Marketing -- General Correspondence, 1959	box 2
Program Specialist in Agriculture (Gamble) -- Program Actions and Payments, 1961	box 2
A Report on Agricultural Education -- Faculty of Agriculture, Sep 16, 1959	box 2
Agriculture -- General, 1956-1957	box 2
In-Service Training in Agriculture -- General Correspondence, 1961-1962	box 2
Agricultural Reports, 1961	box 2
Burma -- Agricultural Projects, 1960	box 2
Audio-Visual Education -- Program Action and Payments, 1954-1957	box 2
Audio-Visual Education -- General Correspondence, 1954-1956	box 2
Audio-Visual Education -- General Correspondence, 1954-1958	box 2
Basic Documents, 1952-1954	box 2
Burma Girl Guides -- Program Actions and Payments, 1956-1961	box 2
Burma Girl Guides -- General Correspondence, 1955	box 2
Burma Girl Guides -- General Correspondence, 1956	box 2
Burma Girl Guides -- General Correspondence, 1957	box 2
Burma Girl Guides -- General Correspondence, 1958	box 2
Burma Girl Guides -- General Correspondence, 1959	box 2
Burma Girl Guides -- General Correspondence, 1960	box 2
Burma Girl Guides -- General Correspondence, 1961	box 2
Business Administration -- Program Actions and Payments, 1956-1961	box 2
Business Administration -- General Correspondence, 1954	box 2
Business Administration -- General Correspondence, 1955	box 2

Business Administration -- General Correspondence, 1956	box 2
Business Administration -- General Correspondence, 1957	box 2
Business Administration -- General Correspondence, 1958	box 2
Business Administration -- General Correspondence, 1959	box 2
Business Administration -- General Correspondence, 1960	box 3
Business Administration -- General Correspondence, 1961	box 3
Business Administration -- General Correspondence, 1962	box 3
Economic Research Project -- Program Actions and Payments, 1955-1957	box 3
Economic Research Project -- General Correspondence, 1954	box 3
Economic Research Project -- General Correspondence, 1955	box 3
Economic Research Project -- General Correspondence, 1956	box 3
Economic Research Project -- General Correspondence, 1957	box 3
Economic Research Project -- General Correspondence, 1958	box 3
Economic Research Project -- General Correspondence, 1959	box 3
Educational Administration Fellowships -- Program Actions, 1958-1961	box 3
Educational Administration Fellowships -- General Correspondence, 1957	box 3
Educational Administration Fellowships -- General Correspondence, 1958	box 3
Educational Administration Fellowships -- General Correspondence, 1959	box 3
Educational Administration Fellowships -- General Correspondence, 1960	box 3
Educational Administration Fellowships -- General Correspondence, 1961	box 3
Educational Administration Fellowships -- General Correspondence, 1962	box 3
Economic Development Planning -- Program Actions, 1958-1959	box 3
Economic Development Planning -- General Correspondence, 1958	box 3
Economic Development Planning -- General Correspondence, 1959	box 3
Economic Development Planning -- General Correspondence, 1960	box 3
Economic Development Planning -- General Correspondence, 1961	box 3
Fellowships in Economic Development Planning -- General Correspondence, 1957	box 3

Fellowships, FAO Short Course, Phillipines -- U Maung Gale and U Than Shwe, 1958	box 3
Ford Fellows, Burma -- General Correspondence, 1960	box 3
Ford Fellows, Burma -- General Correspondence, 1961	box 3
Government Technical Institute (GTI) -- Program Actions, 1954-1961	box 3
Government Technical Institute (GTI) -- General Correspondence, 1953	box 3
Government Technical Institute (GTI) -- General Correspondence, 1954	box 3
Government Technical Institute (GTI) -- General Correspondence, 1955	box 3
Government Technical Institute (GTI) -- General Correspondence, 1956	box 3
Government Technical Institute (GTI) -- General Correspondence, 1957	box 4
Government Technical Institute (GTI) -- General Correspondence, 1958	box 4
Government Technical Institute (GTI) -- General Correspondence (1/2), Jan-Jun 1959	box 4
Government Technical Institute (GTI) -- General Correspondence (2/2), Jul-Dec 1959	box 4
Government Technical Institute (GTI) -- General Correspondence, 1960	box 4
Government Technical Institute (GTI) -- General Correspondence, 1961	box 4
Government Technical Institute (GTI) -- Information Copies, Aug-Dec 1960	box 4
Burma Historical Commission -- Program Actions, 1956-1958	box 4
Burma Historical Commission -- General Correspondence, 1955	box 4
Burma Historical Commission -- General Correspondence, 1956	box 4
Burma Historical Commission -- General Correspondence, 1957	box 4
Burma Historical Commission -- General Correspondence, 1958	box 4
Burma Historical Commission -- General Correspondence, 1959	box 4
Burma Historical Commission -- General Correspondence, 1960	box 4
Burma Historical Commission -- General Correspondence, 1961	box 4
Burma Law Institute (BLI) -- Program Actions, 1957-1961	box 4
Burma Law Institute (BLI) -- General Correspondence, 1961	

	box 4
Burma Law Institute (BLI) -- General Correspondence, 1962	box 4
IIABS -- Program Actions, 1953-1961	box 4
Local Consultant -- Merillat, 1959	box 4
Local Government Consulting Services -- Program Actions, 1955-1960	box 5
Local Government Consulting Services -- General Correspondence, 1954	box 5
Local Government Consulting Services -- General Correspondence, 1955	box 5
Local Government Consulting Services -- General Correspondence, 1956	box 5
Local Government Consulting Services -- General Correspondence, 1957	box 5
Local Government Consulting Services -- General Correspondence, 1958	box 5
Local Government Consulting Services -- General Correspondence, 1959	box 5
Local Government Consulting Services -- General Correspondence, 1960	box 5
Advanced Management Program -- Program Actions, 1956-1960	box 5
Advanced Management Program -- General Correspondence, 1956	box 5
Advanced Management Program -- General Correspondence, 1957	box 5
Advanced Management Program -- General Correspondence, 1958	box 5
Advanced Management Program -- General Correspondence, 1959	box 5
Advanced Management Program -- General Correspondence, 1960	box 5
Management Accounting -- Program Actions, 1955-1960	box 5
Management Accounting -- General Correspondence, 1955	box 5
Management Accounting -- General Correspondence, 1956	box 5
Management Accounting -- General Correspondence, 1957	box 5
Management Accounting -- General Correspondence, 1958	box 5
Management Accounting -- General Correspondence, 1959	box 5
Management Accounting -- General Correspondence, 1960	box 5
University of Mandalay Library -- Program Actions, 1959-1961	box 5
University of Mandalay Library -- General Correspondence, 1959	box 5
University of Mandalay Library -- General Correspondence, 1960	box 5

University of Mandalay Library -- General Correspondence, 1961	box 5
University of Mandalay Library -- General Correspondence, 1962	box 5
Mass Education Council (MEC) -- Program Actions, 1954-1962	box 6
Mass Education Council (MEC) -- General Correspondence, 1952-1953	box 6
Mass Education Council (MEC) -- General Correspondence, 1954	box 6
Mass Education Council (MEC) -- General Correspondence, 1955	box 6
Mass Education Council (MEC) -- General Correspondence, 1956	box 6
Mass Education Council (MEC) -- General Correspondence, 1957	box 6
Mass Education Council (MEC) -- General Correspondence, 1958	box 6
Mass Education Council (MEC) -- General Correspondence, 1959	box 6
Mass Education Council (MEC) -- General Correspondence, 1960	box 6
Mass Education Council (MEC) -- General Correspondence, 1961	box 6
Mass Education Council (MEC) -- General Correspondence, 1962	box 6
Ministry of National Planning, 1956	box 6
Ministry of National Planning, 1957	box 6
Ministry of National Planning, GUB, 1958	box 6
Ministry of National Planning, GUB, 1959	box 6
Nutrition Laboratory -- Program Actions, 1955-1957	box 6
Nutrition Laboratory -- General Correspondence, 1954-1957	box 6
Overseas Development (OD) Area I -- General, 1958	box 6
Overseas Development (OD) Area I -- General, 1959	box 6
Overseas Development (OD) Area I -- General, 1960	box 6
Overseas Development (OD) Area I -- General, 1961	box 6
Overseas Development (OD) Area I -- General, 1962	box 6
Overseas Development (OD) Area II -- General, 1957 and prior years	box 6
Overseas Development (OD) Area II -- General, 1959	box 6
Overseas Development (OD) Area III -- General, 1957 and prior years	box 6
Overseas Development (OD) Area III -- General, 1958	box 6
Overseas Development (OD) Area III -- General, 1959	box 6
Overseas Development (OD) Area III -- General, 1960	box 6

Overseas Development (OD) Area IV -- General, 1957 and prior years	box 6
Overseas Development (OD) Area IV -- General, 1958	box 6
Overseas Development (OD) Area IV -- General, 1959	box 7
Overseas Development (OD) Area IV -- General, 1960	box 7
Overseas Development (OD) Training Associates, General Correspondence, 1961-1962	box 7
Overseas Development (OD) Office, Ford Foundation New York, General, 1962	box 7
Office Space, Burma, 1954-1962	box 7
Program Descriptions, Ford Foundation Burma, 1956-1961	box 7
Program Grant Procedures, Ford Foundation, 1955-1961	box 7
Program Specialists, Burma, 1954-1957	box 7
Internship in Public Administration and Law -- Program Actions, 1960	box 7
Internship in Public Administration and Law -- General Correspondence, 1960	box 7
Public Administration Library -- Grant Actions, 1956-1962	box 7
Public Administration Library -- General Correspondence, 1954	box 7
Public Administration Library -- General Correspondence, 1955	box 7
Public Administration Library -- General Correspondence, 1956	box 7
Public Administration Library -- General Correspondence, 1957	box 7
Public Administration Library -- General Correspondence, 1958	box 7
Public Administration Library -- General Correspondence, 1959	box 7
Public Administration Library -- General Correspondence, 1960	box 7
Public Administration Library -- General Correspondence, 1961	box 7
Public Administration Training -- Program Actions, 1958-1962	box 7
Public Administration Training -- General Correspondence, 1958	box 7
Public Administration Training -- General Correspondence, 1959	box 7
Public Administration Training -- General Correspondence, 1960	box 7
Public Administration Training -- General Correspondence, 1961	box 7
Public Administration Training -- General Correspondence, 1962	box 7
Public Administration Training -- University of Rangoon, 1958	box 7
Public Service Fellowships -- Program Actions, 1959-1961	box 7

Public Service Fellowships -- C.E.W. Daniel (Harvard), 1958-1959	box 7
Public Service Fellowships -- U Win Pe, 1957-1959	box 7
Public Service Fellowships -- U Ba Than, 1961-1962	box 7
Public Service Fellowships -- General Correspondence, 1957	box 7
Public Service Fellowships -- General Correspondence, 1958	box 7
Public Service Fellowships -- General Correspondence, 1959	box 7
Public Service Fellowships -- General Correspondence, 1960	box 7
Public Service Fellowships -- General (Harvard), 1961	box 7
Public Service Fellowships -- General (Williams College), 1961	box 7
Rangoon Technical High School (RTHS) -- Program Actions, 1955-1961	box 8
Rangoon Technical High School (RTHS) -- General Correspondence, 1955	box 8
Rangoon Technical High School (RTHS) -- General Correspondence, 1956	box 8
Rangoon Technical High School (RTHS) -- General Correspondence, 1957	box 8
Rangoon Technical High School (RTHS) -- General Correspondence, 1958	box 8
Rangoon Technical High School (RTHS) -- General Correspondence, 1959	box 8
Rangoon Technical High School (RTHS) -- General Correspondence (1/2), Jan-Jun 1960	box 8
Rangoon Technical High School (RTHS) -- General Correspondence (2/2), Jul-Dec 1960	box 8
Rangoon Technical High School (RTHS) -- General Correspondence, 1961	box 8
Rangoon Technical High School (RTHS) -- Technical High School Project Terminal Report, Sep 30, 1962	box 8
Research and Training in Economics, University of Rangoon -- Program Actions, 1958-1962	box 8
Research and Training in Economics, University of Rangoon -- General Correspondence, 1957	box 8
Research and Training in Economics, University of Rangoon -- General Correspondence, 1958	box 8
Research and Training in Economics, University of Rangoon -- General Correspondence, 1959	box 8
Research and Training in Economics, University of Rangoon -- General Correspondence, 1960	box 8

Research and Training in Economics, University of Rangoon -- General Correspondence, 1961	box 8
Research and Training in Economics, University of Rangoon -- General Correspondence, 1962	box 8
Science Teaching, Kambawsa College -- Program Actions, 1957-1961	box 9
Science Teaching, Kambawsa College -- General Correspondence, 1958	box 9
Science Teaching, Kambawsa College -- General Correspondence, 1959	box 9
Science Teaching, Kambawsa College -- General Correspondence, 1960	box 9
Science Teaching, Kambawsa College -- General Correspondence, 1961	box 9
Science Teaching, Kambawsa College -- General Correspondence, 1962	box 9
Science Teaching, Mandalay -- Program Actions, 1957-1961	box 9
Science Teaching, Mandalay -- General Correspondence, 1957	box 9
Science Teaching, Mandalay -- General Correspondence, 1958	box 9
Science Teaching, Mandalay -- General Correspondence, 1959	box 9
Science Teaching, Mandalay -- General Correspondence, 1960	box 9
Science Teaching, Mandalay -- General Correspondence, 1961	box 9
Science Teaching, Mandalay -- General Correspondence, 1962	box 9
Sarpay Beikman Institute (SBI) -- Program Actions, 1956-1962	box 9
Sarpay Beikman Institute (SBI) -- General Correspondence, 1954	box 9
Sarpay Beikman Institute (SBI) -- General Correspondence, 1955	box 9
Sarpay Beikman Institute (SBI) -- General Correspondence, 1957	box 9
Sarpay Beikman Institute (SBI) -- General Correspondence (1/2), Jan-Jun 1958	box 9
Sarpay Beikman Institute (SBI) -- General Correspondence (2/2), Jul-Dec 1958	box 10
Sarpay Beikman Institute (SBI) -- General Correspondence, 1959	box 10
Sarpay Beikman Institute (SBI) -- General Correspondence, 1960	box 10
Sarpay Beikman Institute (SBI) -- General Correspondence, 1961	box 10
Small Industries -- Program Actions, 1955-1959	box 10
Small Industries -- General Correspondence, 1955	box 10

Small Industries -- General Correspondence, 1956	box 10
Small Industries -- General Correspondence, 1957	box 10
Small Industries -- General Correspondence, 1958	box 10
Small Industries -- General Correspondence, 1959	box 10
Small Industries -- General Correspondence, 1960	box 10
State Agricultural Institute (SAI) -- Program Actions, 1954-1961	box 10
State Agricultural Institute (SAI) Fellowships -- U Chit Ko Ko, 1955-1959	box 10
State Agricultural Institute (SAI) Fellowships -- U Hla Ohn, 1959	box 10
State Agricultural Institute (SAI) Fellowships -- U Ba Thein, 1961-1962	box 10
State Agricultural Institute (SAI) Fellowships -- U Khin Maung Soe and U Mu, 1961	box 10
State Agricultural Institute (SAI) Fellowships -- U Mya Than, 1961-1962	box 10
State Agricultural Institute (SAI) Fellowships -- U Thaung Tin, 1958-1960	box 10
State Agricultural Institute (SAI) Fellowships -- U Tun Myint and U Than Sein, 1958-1961	box 10
State Agricultural Institute (SAI) Fellowships -- U Thaung Nyunt, 1958	box 10
State Agricultural Institute (SAI) Fellowships -- U Tun Kyine, 1958-1959	box 11
State Agricultural Institute (SAI) Fellowships -- U Ohn Maung, 1957-1960	box 11
State Agricultural Institute (SAI) Fellowships -- U Than Pe and U Ba Thein, 1956-1957	box 11
State Agricultural Institute (SAI) -- General Correspondence, 1954	box 11
State Agricultural Institute (SAI) -- General Correspondence, 1958	box 11
State Agricultural Institute (SAI) -- India Study Tour, Oct 1955	box 11
State Agricultural Institute (SAI) -- India and Pakistan Study Tour, Nov 1956	box 11
State Agricultural Institute (SAI) -- India Study Tour, 1957	box 11
State Agricultural Institute (SAI) -- Philippines Study Tour, 1954	box 11
State Agricultural Institute (SAI) -- Philippines Study Tour, 1955	box 11
State Agricultural Institute (SAI) -- Philippines Study Tour, May 1958	box 11

State Agricultural Institute (SAI) -- Philippines Study Tour, Sep 1958	box 11
State Agricultural Institute (SAI) -- U Soe Study Tour, 1955-1956	box 11
Social Science Library, University of Rangoon -- Program Actions, 1958-1960	box 11
Social Science Library, University of Rangoon -- General Correspondence, 1957	box 11
Social Science Library, University of Rangoon -- General Correspondence, 1958	box 11
Social Science Library, University of Rangoon -- General Correspondence, 1959	box 11
Social Science Library, University of Rangoon -- General Correspondence, 1960	box 11
Social Science Library, University of Rangoon -- General Correspondence, 1961	box 11
Social Science Library, University of Rangoon -- General Correspondence, 1962	box 11
Supervised Credit -- Program Actions, 1958-1961	box 11
Supervised Credit -- General Correspondence, 1958	box 11
Supervised Credit -- General Correspondence, 1959	box 11
Supervised Credit -- General Correspondence, 1960	box 11
Supervised Credit -- General Correspondence (1/2), Jan-Jul 1961	box 12
Supervised Credit -- General Correspondence (2/2), Aug-Dec 1961	box 12
Supervised Credit -- General Correspondence, 1962	box 12
Terminal Reports, Burma Ford Foundation Advisors (Part I), 1958-1959	box 12
Terminal Reports, Burma Ford Foundation Advisors (Part II), 1959-1961	box 12
Travel and Study Awards -- Program Actions, 1954-1957	box 12
Travel and Study Awards -- General Correspondence, 1957	box 12
Travel and Study Awards -- General Correspondence, 1958	box 12
Travel and Study Awards -- General Correspondence, 1959	box 12
Travel and Study Awards -- General Correspondence, 1960	box 12
Travel and Study Awards -- General Correspondence, 1961	box 12
Travel and Study Awards -- Robert E. Knowlton, 1959-1960	box 12
Travel and Study Awards -- U Tha Hto, 1956-1957	box 12

Travel and Study Awards -- Daw Soe Myint, 1955	box 12
Travel and Study Awards -- Daw Tin Tin Oo, 1957-1959	box 12
Travel and Study Awards -- Robert Myat San, 1958-1960	box 12
Travel and Study Awards -- U Thein Tun, 1959-1961	box 12
Travel and Study Awards -- U Nyunt We, 1957-1959	box 12
Advisor on Vocational Technical Education -- Program Actions, 1957-1960	box 12
Advisor on Vocational Technical Education -- General Correspondence, 1957	box 12
Advisor on Vocational Technical Education -- General Correspondence, 1958	box 12
Advisor on Vocational Technical Education -- General Correspondence, 1959	box 12
Advisor on Vocational Technical Education -- General Correspondence, 1960	box 12
Advisor on Vocational Technical Education -- General Correspondence, 1961	box 12
Advisor on Vocational Technical Education -- General Correspondence, 1962	box 12