



# Winthrop Rockefeller Foundation records

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Rockefeller Archive Center

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Sleepy Hollow 10591

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URL: <http://www.rockarch.org>

## Table of Contents

---

Summary Information .....	3
Biographical/Historical note .....	3
Scope and Contents note .....	6
Arrangement .....	6
File Plan note .....	7
Administrative Information .....	7
Related Materials .....	8
Controlled Access Headings .....	8
Collection Inventory .....	8
Administrative Files .....	8
Rockwin Fund Incorporated .....	8
Winthrop Rockefeller Foundation .....	9
Grants .....	16
Programs .....	17
Discretionary Grants .....	89
Education Mini-Grants .....	90
Arkansas Issues .....	92
Southern Development Bancorporation, Incorporated .....	96
Financial .....	98
Budgets and Ledgers .....	98
Loans and Investments .....	100

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## Summary Information

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<b>Repository:</b>	Rockefeller Archive Center
<b>Creator:</b>	Winthrop Rockefeller Foundation
<b>Source:</b>	Southern Bancorp
<b>Title:</b>	Winthrop Rockefeller Foundation records
<b>ID:</b>	FA248
<b>Date [inclusive]:</b>	1956-2005, bulk 1978-1999
<b>Date [bulk]:</b>	1978-1999
<b>Physical Description:</b>	1 Cubic Feet 141 reels
<b>Language of the Material:</b>	English

### Preferred Citation

Information regarding the Rockefeller Archive Center's preferred elements and forms of citation can be found at <http://www.rockarch.org/research/citations.php>

[^ Return to Table of Contents](#)

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## Biographical/Historical note

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### Winthrop Rockefeller Biography

Winthrop Rockefeller was born May 1, 1912, the fourth son of John D. Rockefeller, Jr. and Abby Aldrich Rockefeller. Affectionately known as "Win" to family and friends, he attended the Lincoln School, a progressive co-educational preparatory school connected with Teachers College of Columbia University in New York City, and the Loomis School in Windsor, Connecticut before entering Yale University in 1931. He left school in 1934 and worked in the Texas oil fields as a roughneck and roustabout for the Humble Oil and Refining Company.

Rockefeller returned to New York City by 1937, but after a series of executive positions he enlisted in the Army as a private in January 1941. During World War II he participated in the assault landings on Guam, Leyte, and Okinawa in the Pacific. Aboard the troop transport Henrico, en route to Okinawa, he

was wounded in a kamikaze attack. Among his decorations were the Bronze Star with Oak Leaf Cluster and the Purple Heart. He attained the rank of lieutenant colonel by the time of his discharge in 1946.

After the war Rockefeller returned to the Socony-Vacuum Oil Company, but resigned in 1951. By 1953 he decided that he needed a change of scenery from New York and settled permanently in Arkansas. That June he purchased a 927-acre tract atop Petit Jean Mountain, 60 miles west of Little Rock, where he built Winrock Farms into an internationally recognized cattle operation whose pure-bred Santa Gertrudis cattle drew buyers from many parts of the world. The "WR" brand became internationally known as a symbol of excellence among Santa Gertrudis owners.

In 1955 Governor Orval Faubus appointed Rockefeller to the new Arkansas Industrial Development Commission, where he served eight years as chairman. Under his leadership, the commission helped 600 industries locate to or expand in Arkansas, creating more than 100,000 job opportunities.

As the state Republican National committeeman beginning in 1961, he led efforts to bring about a two-party system in heavily Democratic Arkansas. He ran for governor in 1964 and received 44% of the vote, which doubled the previous record of total votes cast in the state for any Republican candidate. "We sincerely believe we won a victory, even in defeat," he said. He won the election for governor in 1966 and took office in 1967, becoming the first Republican governor in Arkansas in 94 years. He won re-election in 1968 but lost in a three-way race in 1970. His two terms in office were marked by a state legislature that was overwhelmingly Democratic. At one time, there were 97 Democrats and three Republicans in the Arkansas House of Representatives.

He considered one of his greatest challenges as governor to be the reform of the state penal system. He brought in professional penologists as part of his corrective measures. His administration also shut down open gambling in Hot Springs, a resort area. Other reform legislation enacted under his administration included governmental reorganization and tighter insurance and securities regulations. He was especially proud of the enactment of the Freedom of Information Act of 1967, which had the effect of opening official meetings to the public. "We have taken the government out of the smoke-filled rooms and returned it to the people," he said.

Although viewed by some as the "black sheep" of his generation of Rockefellers, Winthrop Rockefeller contributed money and time to a number of philanthropic projects and causes that the family favored. His attitude toward wealth and its uses echoed the sentiments of his father: "I have enjoyed the personal use of money," he wrote in his unpublished "A Letter to My Son." "But I have gotten the greatest satisfaction from using it to advance my beliefs in human relations - human values.... The biggest returns from the investment of money come in what we can call philanthropic achievements, in the encouragement of people who make our business grow, making jobs and security for others, and in the development of men, their happiness, their usefulness and their freedom." He was chairman of the board of Colonial Williamsburg Foundation, which his father established, and in 1940 was one of the founders the Rockefeller Brothers Fund, of which he was a trustee. He also served as a trustee of the National Urban League, 1940-1964.

He undertook several philanthropic projects to promote the development of education and public health in Arkansas, creating the Rockwin Fund (renamed the Winthrop Rockefeller Foundation in 1974) in 1956 to fund such projects. He was instrumental in establishing the Arkansas Arts Center, a facility designed to serve the entire state from its central location in Little Rock. He was a trustee of several schools, including Vanderbilt University, and was a member of the Southern Advisory Board for the Institute

of International Education. He established student aid funds at several Arkansas colleges and in 1956 started the Arkansas Opportunity Fund to provide scholarships to qualifying students. He served in an advisory capacity during the development of both the University of Arkansas Medical Center and the Graduate Institute of Technology in Little Rock. In recognition of this and other services, he received several honorary degrees, including ones from the University of Arkansas, Hendrix College, New York University, the College of William and Mary, College of the Ozarks and Southwestern at Memphis.

Winthrop Rockefeller was married twice. He married the former Barbara Sears on February 14, 1948. They had one son before divorcing in 1954. On June 11, 1956 he married Jeannette Edris. She had a son and daughter from a previous marriage. The couple divorced in 1971. His son, Winthrop Paul Rockefeller, followed his father into Arkansas politics. He was elected the lieutenant governor of Arkansas in 1996 in a special election and was reelected to full four-year terms in 1998 and 2002.

On February 22, 1973, Winthrop Rockefeller died of cancer in Palm Springs, California. He was 60 years of age.

[^ Return to Table of Contents](#)

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## Biographical/Historical note

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### Institutional History

The Winthrop Rockefeller Foundation (WRF) began originally as the Rockwin Fund in 1957. It was a philanthropic foundation that was started by Winthrop Rockefeller to help the people of Arkansas, primarily through educational grants. When Governor Rockefeller passed away in 1973 Rockwin Fund became one of the two primary beneficiaries of the late Winthrop Rockefeller estate. With the large endowment bestowed on the organization, they changed their name and redefined their mission and vision and became the Winthrop Rockefeller Foundation in 1974. Since Rockwin Fund focused primarily on education, by changing the scope of the grants the foundation was able to incorporate more of the late Governor's philanthropic passions, which was a larger scope of the welfare of the people of Arkansas.

The areas of interests now include: education, economic development and economic, social and racial justice in the state of Arkansas and only in Arkansas. Grants are only granted to organizations that are primarily based in Arkansas and will benefit the citizens of Arkansas over any other population.

The mission of the WRF is to improve the quality of life for the people of Arkansas and to promote systematic change.

[^ Return to Table of Contents](#)

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## Biographical/Historical note

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### Southern Development Bancorporation

In 1986, then Governor Bill Clinton and the Winthrop Rockefeller Foundation announced an initiative to end decades of economic decline in rural Arkansas by creating new trends of investment in people, jobs, business and property. Southern Bancorp, a community development bank holding company, and its family of nonprofit development affiliates would emerge from that initiative. A consortium of private foundations, governmental entities, corporations and concerned individuals would come together later that year to incorporate Southern Bancorp.

[^ Return to Table of Contents](#)

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## Scope and Contents note

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The records of the Winthrop Rockefeller Foundation span the years 1956 to 2005, with the majority of the records from the late 1970s to the late 1990s. During this time, the Winthrop Rockefeller Foundation was making grants to organizations that were based in Arkansas and only did business in Arkansas.

Materials that have been separated and not filmed are noted throughout the collection with separation sheets and are available for viewing upon request, which includes photographs, previously published material and a videotape.

[^ Return to Table of Contents](#)

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## Arrangement

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The collection is divided into three series: Series 1 Administration Files, 1956-2005, Series 2 Grants, 1972-2001, Series 3 Financial, 1974-2000.

Each grant folder is divided into sections as applicable: original proposal, grant agreement or professional services agreement, then grant actions (divided by multiple years). The grants are organized by alphabetically by organization name and then by the grant number.

Reel and frame numbers represent the frame number where a particular folder title begins.

[^ Return to Table of Contents](#)

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## File Plan note

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Former Classification: IV

[^ Return to Table of Contents](#)

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## Administrative Information

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### Publication Statement

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### Revision Description

Paper finding aid converted to EAD/XML by AureXus in 2010. Imported into the Archvists' Toolkit by Becky Robbins. 2010 October 27

### Existence and Location of Copies

A Note about the Microfilm: The Winthrop Rockefeller Foundation collection exists on 141 reels of 35mm preservation standard microfilm. Material that has been retained in its original format has been separated from the collection and is open for research. The microfilm collection will note the existence of original documentation with a separation sheet.

Microfiche Cards: There is an additional listing of microfiche cards that may be duplicates of the grants that have been filmed. An inventory is provided in this guide.

### Conditions Governing Access

The archival material has been reformatted. Access to the original paper records is restricted. Microfilm user copies are available for research.

[^ Return to Table of Contents](#)

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## Related Materials

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### Related Archival Materials note

Related Collections at the Rockefeller Archive Center: Winthrop Rockefeller Papers.

[^ Return to Table of Contents](#)

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## Controlled Access Headings

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- Charities -- Investments
- Charities
- Charitable uses, trusts, and foundations
- Charitable contributions
- Arkansas
- Economic development
- Race and society
- Education, Rural
- Education
- Social problems
- Social policy
- Social justice
- Economics
- Philanthropy
- Education -- Arkansas
- Social service
- Social sciences
- Southern Bancorp
- Rockefeller, Winthrop

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## Collection Inventory

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<b>Administrative Files, 1956-2005</b>	reel 1	frame 1
<b>Rockwin Fund Incorporated, 1956-1983</b>	reel 1	frame 2



**Biographical/Historical note**

Winthrop Rockefeller founded this organization in 1956 when he moved to Little Rock, Arkansas. It was a philanthropic foundation that helped the people of Arkansas. Later it was redefined and became the Winthrop Rockefeller Foundation.

<b>Title/Description</b>	<b>Instances</b>	
Petition for the Incorporation of Rockwin Fund, Incorporated, 1956	reel 1	frame 3
Articles of Amendment of the Articles of Incorporation of the Rockwin Fund, Incorporated, 1974	reel 1	frame 11
Rockwin Fund Review and Policy Statement, 1974	reel 1	frame 19
Rockwin Program Study, Special Reports, 1967-1983	reel 1	frame 200

## **Winthrop Rockefeller Foundation, 1964-2005**

**Scope and Contents note**

This subseries covers the history of the organization with materials from the Board of Directors meetings and other governing committees, presidential files, and Winthrop Rockefeller.

<b>Title/Description</b>	<b>Instances</b>	
Annual Reports, 1975-2004	reel 1	frame 428
Bylaws, Revised, 1989 September 9	reel 1	frame 430
Board of Directors	reel 1	frame 442
Board of Directors Meeting/Minutes, 1976 September 15	reel 1	frame 443
Board of Directors Meeting/Minutes, 1976 December 13	reel 1	frame 456
Board of Directors Meeting/Minutes, 1977 March 27-28	reel 1	frame 466
Board of Directors Meeting/Minutes, 1977 July 18	reel 1	frame 493
Board of Directors Meeting/Minutes, 1977 September 22	reel 1	frame 500
Board of Directors Meeting/Minutes, 1977 December 9-10	reel 1	frame 512
Board of Directors Meeting/Minutes, 1978 March 4	reel 1	frame 589
Board of Directors Meeting/Minutes, 1978 May 27	reel 1	frame 619
Board of Directors Meeting/Minutes, 1978 September 9	reel 1	frame 637
Board of Directors Meeting/Minutes, 1978 December 15-17	reel 1	frame 647
Board of Directors Meeting/Minutes, 1979 February 20	reel 1	frame 703

Board of Directors Meeting/Minutes, 1979 March 2-3	reel 1	frame 708
Board of Directors Meeting/Minutes, 1979 June 2	reel 1	frame 718
Board of Directors Meeting/Minutes, 1979 September 8	reel 1	frame 742
Board of Directors Meeting/Minutes, 1979 November 30-December 2	reel 1	frame 757
Board of Directors Meeting/Minutes, 1980 March 8	reel 1	frame 837
Board of Directors Meeting/Minutes, 1980 June 7	reel 1	frame 865
Board of Directors Meeting/Minutes, 1980 September 6	reel 1	frame 872
Board of Directors Meeting/Minutes, 1980 December 7	reel 1	frame 881
Board of Directors Meeting/Minutes, 1981 March 7	reel 1	frame 889
Board of Directors Meeting/Minutes, 1981 June 13	reel 1	frame 895
Board of Directors Meeting/Minutes, 1981 September 11	reel 1	frame 906
Board of Directors Meeting/Minutes, 1981 December 5	reel 1	frame 923
Board of Directors Meeting/Minutes, 1982 February 26-27	reel 1	frame 934
Board of Directors Meeting/Minutes, 1982 June 4-6	reel 2	frame 1
Board of Directors Meeting/Minutes, 1982 September 10-11	reel 2	frame 214
Board of Directors Meeting/Minutes, 1982 December 3-4	reel 2	frame 388
Board of Directors Meeting/Minutes, 1983 March 4-5	reel 2	frame 488
Board of Directors Meeting/Minutes, 1983 June 3-5	reel 2	frame 682
Board of Directors Meeting/Minutes, 1983 September 9-10	reel 2	frame 819
Board of Directors Meeting/Minutes, 1983 December 2-3	reel 2	frame 1018
Board of Directors Meeting/Minutes, 1984 March 2-3	reel 3	frame 1
Board of Directors Meeting/Minutes, 1984 June 1-3	reel 3	frame 139
Board of Directors Meeting/Minutes, 1984 September 7-8	reel 3	frame 308
Board of Directors Meeting/Minutes, 1984 November 30-December 1	reel 3	frame 462
Board of Directors Meeting/Minutes, 1985 March 1-2	reel 3	frame 606
Board of Directors Meeting/Minutes, 1985 May 31-June 2	reel 3	frame 784
Board of Directors Meeting/Minutes, 1985 September 6-7	reel 3	frame 1032
Board of Directors Meeting/Minutes, 1985 December 6-7	reel 4	frame 1
Board of Directors Meeting/Minutes, 1986 February 28-March 1	reel 4	frame 210
Board of Directors Meeting/Minutes, 1986 May 30-June 1	reel 4	frame 509

Board of Directors Meeting/Minutes, 1986 September 5-6	reel 4	frame 808
Board of Directors Meeting/Minutes, 1986 December 5-6	reel 4	frame 927
Board of Directors Meeting/Minutes, 1987 February 27-28	reel 4	frame 1080
Board of Directors Meeting/Minutes, 1987 June 7	reel 5	frame 1
Board of Directors Meeting/Minutes, 1987 September 11-12	reel 5	frame 182
Board of Directors Meeting/Minutes, 1987 December 5	reel 5	frame 376
Board of Directors Meeting/Minutes, 1988 March 5	reel 5	frame 485
Board of Directors Meeting/Minutes, 1988 June 3-5	reel 5	frame 662
Board of Directors Meeting/Minutes, 1988 September 9-10	reel 5	frame 803
Board of Directors Meeting/Minutes, 1988 December 2-3	reel 5	frame 959
Board of Directors Meeting/Minutes, 1989 March 3-5	reel 5	frame 1128
Board of Directors Meeting/Minutes, 1989 May 26-28	reel 6	frame 1
Board of Directors Meeting/Minutes, 1989 September 8-9	reel 6	frame 198
Board of Directors Meeting/Minutes, 1989 November 30-December 1	reel 6	frame 395
Board of Directors Meeting/Minutes, 1990 March 1-2	reel 6	frame 577
Board of Directors Meeting/Minutes, 1990 May 30 1990 June 1-2	reel 6	frame 728
Board of Directors Meeting/Minutes, 1990 September 6-8	reel 6	frame 846
Board of Directors Meeting/Minutes, 1990 November 29-30	reel 6	frame 1020
Board of Directors Meeting/Minutes, 1991 February 28-March 1	reel 6	frame 1147
Board of Directors Meeting/Minutes, 1991 June 6-7	reel 6	frame 1177
Board of Directors Meeting/Minutes, 1991 September 5-7	reel 7	frame 1
Board of Directors Meeting/Minutes, 1991 December 12-13	reel 7	frame 109
Board of Directors Meeting/Minutes, 1992 March 5-6	reel 7	frame 347
Board of Directors Meeting/Minutes, 1992 June 4-5	reel 7	frame 419
Board of Directors Meeting/Minutes, 1992 September 10-12	reel 7	frame 601
Board of Directors Meeting/Minutes, 1992 December 11-12	reel 7	frame 725
Board of Directors Meeting/Minutes, 1993 March 18-19	reel 7	frame 928
Board of Directors Meeting/Minutes, 1993 June 3-4	reel 7	frame 1028
Board of Directors Meeting/Minutes, 1993 September 9-11	reel 8	frame 1
Board of Directors Meeting/Minutes, 1993 December 3-4	reel 8	frame 107

Board of Directors Meeting/Minutes, 1994 March 3-5	reel 8	frame 433
Board of Directors Meeting/Minutes, 1994 June 2-3	reel 8	frame 506
Board of Directors Meeting/Minutes, 1994 September 8-10	reel 8	frame 804
Board of Directors Meeting/Minutes, 1994 December 1-2	reel 8	frame 935
Board of Directors Meeting/Minutes, 1995 March 2-4	reel 8	frame 1221
Board of Directors Meeting/Minutes, 1995 June 1-2	reel 9	frame 1
Board of Directors Meeting/Minutes, 1995 September 6-9	reel 9	frame 258
Board of Directors Meeting/Minutes, 1995 November 30-December 1	reel 9	frame 396
Board of Directors Meeting/Minutes, 1996 February 28-29 1996 March 1	reel 9	frame 580
Board of Directors Meeting/Minutes, 1996 June 6-7	reel 9	frame 686
Board of Directors Meeting/Minutes, 1996 September 5-7	reel 9	frame 914
Board of Directors Meeting/Minutes, 1996 December 7	reel 9	frame 958
Board of Directors Meeting/Minutes, 1997 March 6-8	reel 9	frame 1044
Board of Directors Meeting/Minutes, 1997 June 5-6	reel 9	frame 1088
Board of Directors Meeting/Minutes, 1997 September 4-5	reel 9	frame 1188
Board of Directors Meeting/Minutes, 1997 December 4-5	reel 10	frame 1
Board of Directors Meeting/Minutes, 1998 March 5-6	reel 10	frame 138
Board of Directors Meeting/Minutes, 1998 June 5	reel 10	frame 347
Board of Directors Meeting/Minutes, 1998 September 3-4	reel 10	frame 473
Board of Directors Meeting/Minutes, 1999 March 4-5	reel 10	frame 703
Board of Directors Meeting/Minutes, 1999 June 4	reel 10	frame 958
Board of Directors Meeting/Minutes, 1999 September 17	reel 10	frame 963
Board of Directors Meeting/Minutes, 1999 December 3	reel 10	frame 970
Board of Directors Meeting/Minutes, 2000 March 3-4	reel 10	frame 976
Board of Directors Meeting/Minutes, 2000 June 2	reel 10	frame 1088
Board of Directors Meeting/Minutes, 2000 September 7-8	reel 10	frame 1094
Board of Directors Meeting/Minutes, 2000 December 7-8	reel 10	frame 1100
Board of Directors Meeting/Minutes, 2001 March 2	reel 10	frame 1108
Board of Directors Meeting/Minutes, 2001 June 1	reel 10	frame 1114
Board of Directors Meeting/Minutes, 2001 September 7	reel 10	frame 1118
Board of Directors Meeting/Minutes, 2001 December 7	reel 10	frame 1122

Board of Directors Meeting/Minutes, 2002 March 8	reel 10	frame 1126
Board of Directors Meeting/Minutes, 2002 June 7	reel 10	frame 1131
Board of Directors Meeting/Minutes, 2002 September 6	reel 10	frame 1138
Board of Directors Meeting/Minutes, 2002 December 6	reel 10	frame 1144
Board of Directors Meeting/Minutes, 2003 March 7	reel 10	frame 1149
Board of Directors Meeting/Minutes, 2003 June 6	reel 10	frame 1154
Board of Directors Meeting/Minutes, 2003 September 5	reel 10	frame 1159
Board of Directors Meeting/Minutes, 2003 December 5	reel 10	frame 1165
Board of Directors Meeting/Minutes, 2004 March 3-5	reel 11	frame 1
Board of Directors Meeting/Minutes, 2004 June 3-4	reel 11	frame 246
Board of Directors Meeting/Minutes, 2004 September 23-25	reel 11	frame 770
Board of Directors Meeting/Minutes, 2004 December 2-3	reel 11	frame 1051
Board of Directors Meeting/Minutes, 2005 March 4	reel 12	frame 1
Board of Directors Meeting/Minutes, 2005 June 3	reel 12	frame 206
Board of Directors, Retreat, 2005 September 8	reel 12	frame 216
Board of Directors Meeting/Minutes, 2005 September 9	reel 12	frame 251
Board of Directors Meeting/Minutes, 2005 December 2	reel 12	frame 412
Other Committees	reel 12	frame 672
Audit Committee, Meeting/Minutes, 1995 August 25	reel 12	frame 673
Audit Committee, Meeting/Minutes, 1996 May 8	reel 12	frame 679
Audit Committee, Meeting/Minutes, 2004 September 23	reel 12	frame 683
Audit Committee, Meeting/Minutes, 2005 March 3	reel 12	frame 693
Audit Committee, Meeting/Minutes, 2005 May 17	reel 12	frame 753
Audit Committee, Meeting/Minutes, 2005 August 31	reel 12	frame 777
Finance Committee, Historical, 1982-1984	reel 12A	frame 1
Finance Committee, Meeting/Minutes, 1978 January 1	reel 12A	frame 30
Finance Committee, Meeting/Minutes, 1979 November 1	reel 12A	frame 54
Finance Committee, Meeting/Minutes, 1980 November 7	reel 12A	frame 71
Finance Committee, Meeting/Minutes, 1981 November 6	reel 12A	frame 83
Finance Committee, Meeting/Minutes, 1982 January 14	reel 12A	frame 87
Finance Committee, Meeting/Minutes, 1982 October 29	reel 12A	frame 109
Finance Committee, Meeting/Minutes, 1983 October 13	reel 12A	frame 125

Finance Committee, Meeting/Minutes, 1985 December 6	reel 12A	frame 131
Finance Committee, Meeting/Minutes, 1986 December 5	reel 12A	frame 141
Finance Committee, Meeting/Minutes, 1987 December 4	reel 12A	frame 209
Finance Committee, Meeting/Minutes, 1988 December 2	reel 12A	frame 236
Finance Committee, Meeting/Minutes, 1989 November 30	reel 12A	frame 250
Finance Committee, Meeting/Minutes, 1990 November 29	reel 12A	frame 267
Finance Committee, Meeting, 1991 December 12	reel 12A	frame 291
Finance Committee, Meeting, 1992 December 11	reel 12A	frame 328
Finance Committee, Meeting, 1994 September 8	reel 12A	frame 366
Finance Committee, Meeting, 1995 September 6	reel 12A	frame 395
Finance Committee, Meeting/Minutes, 1995 November 28 1995 November 30	reel 12A	frame 423
Finance Committee, Meeting/Minutes, 2003 March 6	reel 12A	frame 467
Finance Committee, Meeting/Minutes, 2003 June 5	reel 12A	frame 517
Finance Committee, Meeting/Minutes, 2003 September 3	reel 12A	frame 539
Finance Committee, Meeting/Minutes, 2003 December 4	reel 12A	frame 583
Finance Committee, Meeting, 2005 February 25	reel 12A	frame 606
Finance Committee, Meeting, 2005 March 3	reel 12A	frame 712
Finance Committee, Meeting, 2005 June 2	reel 13	frame 1
Finance Committee, Meeting, 2005 August 26	reel 13	frame 29
Finance Committee, Meeting, 2005 September 22	reel 13	frame 69
Finance Committee, Meeting, 2005 November 18	reel 13	frame 108
Policy Development, 1974-1984	reel 13	frame 125
Policy Development, 1977	reel 13	frame 228
Policy Retreat, 1984 June	reel 13	frame 239
Policy Retreat, 1985 May 31-June 2	reel 13	frame 280
Policy Meeting, 1985 September 7	reel 13	frame 329
Policy Meeting, 1986 May 31	reel 13	frame 344
Policy Review Meeting, 1989 May 26-28 1989 June 7	reel 13	frame 387
Policy Meeting, 1990 August	reel 13	frame 401
Policy Meeting, 1991 September	reel 13	frame 449
Policy Meeting, 1992 September	reel 13	frame 487
Policy Meeting, 1993 September		

	reel 13	frame 534
Policy Meeting, 1995 September	reel 13	frame 579
Program Area, Long-Range Outcomes and Strategic Objectives, 1989 June	reel 13	frame 612
Reports	reel 13	frame 626
Arkansas Education-Past, Present and Future, undated	reel 13	frame 627
Blueprint for a Resource Information Center in Arkansas, Donella H. Meadows and Dennis L. Meadows, 1983 April 12	reel 13	frame 659
Developing Rural Arkansas, Thomas C. McRae, undated	reel 13	frame 689
Economic Development, undated	reel 13	frame 695
Guidelines for Grant Applications, 1993-1996	reel 13	frame 739
Healthcare in Arkansas, Paying Too Much for the Wrong Thing, 1979-1980	reel 13	frame 754
Management Plan, 1986	reel 13	frame 756
Notes on the Future of Winthrop Rockefeller Foundation, Mary F. King, 1982 June 1	reel 13	frame 779
Performance Report, 1996-1997	reel 13	frame 792
Performance Report, 1997 June 30	reel 13	frame 820
Performance Report, 1999 December 31	reel 13	frame 858
Performance Report, 2004 December 31	reel 13	frame 905
Performance Report, 2005 March 31	reel 13	frame 1002
Performance Report, 2005 June 30	reel 13	frame 1107
Performance Report, 2005 September 30	reel 14	frame 1
Perspectives on the Winthrop Rockefeller Foundation, Elizabeth Fair, undated	reel 14	frame 227
Process Audit, 1988	reel 14	frame 238
Program Implementation Process, undated	reel 14	frame 265
Project Analysis and Evaluation Summary, 1975-1980	reel 14	frame 399
Status Report, 1976 June 22	reel 14	frame 425
Status Report, 1981 September 29	reel 14	frame 564
Status Report, 1996 September 24	reel 14	frame 570
Winthrop Rockefeller Foundation Retrospective, 1975-1988	reel 14	frame 692
Thomas McRae, Presidential Files	reel 14	frame 700
Policy Development, undated	reel 14	frame 701

Thomas McRae, Exit Interview, 1989 March 10	reel 14	frame 708
Historical	reel 14	frame 726
Winthrop Rockefeller Foundation, "The Early Years", undated	reel 14	frame 727
Investment Principles, undated	reel 14	frame 767
Miscellaneous, 1974-2003	reel 14	frame 771
The 25th Anniversary of the Winthrop Rockefeller Foundation, 1974-1999	reel 14	frame 805
Winthrop Rockefeller Foundation, 1984-2004	reel 14	frame 820
Winthrop Rockefeller	reel 14	frame 843
Winthrop Rockefeller, Biographical Information, 1964	reel 14	frame 844
Governor's Conference on Education, 1967 October 12	reel 14	frame 876
"How Far We Have Come; How Far We Can Go", Winthrop Rockefeller, 1970 June 9	reel 14	frame 886
Interview by John L. Ward, 1972	reel 14	frame 900
Letter to My Son, Winthrop Rockefeller, undated	reel 14	frame 860
Programs Begun Under the Administration of Governor Winthrop Rockefeller and Designed to Continue Past, 1971 January 12 1970 December 15	reel 14	frame 1106
The Rockefeller Years, 1967-1970	reel 14	frame 1212
Miscellaneous	reel 15	frame 1
Foundation for the Mid South, 2000	reel 15	frame 2
Grants Management Software for Foundations and Corporations, 1996-1997	reel 15	frame 42
Rural Community Jump-Start Technology Initiative, undated	reel 15	frame 70
Spending Policies and Investment Planning for Foundations: A Structure for Determining a Foundation's Asset Mix, 1995	reel 15	frame 134
University of Arkansas at Little Rock, Archives and Special Collections, 1983	reel 15	frame 191

[^ Return to Table of Contents](#)

## Grants, 1972-2001

reel 15

frame 203

### Biographical/Historical note

This series is about the grant programs that Winthrop Rockefeller Foundation funded from 1972 to 2001. The organization only funded grants that met two of their criteria: First, the organization had to be in Arkansas and, second, it's



activities had to fall within one of the three following areas-- economic development, educational opportunities, or racial and social justice.

## Programs, 1976-2001

reel 15

frame 204

### Scope and Contents note

Main part of the grant program.

Title/Description	Instances	
Grants, Alpha List, 1999	reel 15	frame 205
Grants, Alpha List, by Fund, 1999	reel 15	frame 255
Grants, Alpha List, by Program Area, 1999	reel 15	frame 288
Organizational Profile Report, 1999	reel 15	frame 357
Closed Grants, 1999	reel 15	frame 587
Grant Declination Reports, 1991-1996	reel 15	frame 595
Photo Album, undated	reel 15	frame 676
A Better Chance, Incorporated, 94-201, 1994-2000	reel 15	frame 747
ACES, The Association for Children for Enforcement of Support, Incorporated, 95-164, 1995-1998	reel 16	frame 1
ACES, The Association for Children for Enforcement of Support, Incorporated, Professional Services Agreement, 1996	reel 16	frame 318
ACORN Housing Corporation, Incorporated, Affordable Housing, 91-164, 1991 1996	reel 16	frame 322
Acorn School District, 92-59, 1992-1993	reel 16	frame 457
Acorn School District, 94-124, 1994-1995	reel 16	frame 501
Acorn School District, 95-122, 1995	reel 16	frame 538
Advocates and Relatives for Kids, Incorporated, 96-41, 1996-1998	reel 16	frame 574
Advocates for Battered Women, Incorporated, 85-602, 1985-1988	reel 16	frame 645
AETN Foundation, Arkansas Educational Telecommunication Network Foundation, 85-529, 1985-1987	reel 16	frame 666
AETN Foundation, Arkansas Educational Telecommunication Network Foundation, 87-769, 1987-1988	reel 16	frame 695
AETN Foundation, Arkansas Educational Telecommunication Network Foundation, 93-154, 1993-1994	reel 16	frame 720
AETN Foundation, Arkansas Educational Telecommunication Network Foundation, Arkansas/Soviet Union Student Exchange, 1989-1990	reel 16	frame 790

Aid in Community Development, 96-128, 1996-1998	reel 16	frame 796
All Our Children, 94-160, 1994-1995	reel 16	frame 839
Alpena School District, Alpena Elementary School, 91-9, 1991	reel 16	frame 885
Alpena School District, 92-62, 1991-1993	reel 16	frame 919
Alread Community Resource Development Corporation, ACRDC, 91-80, 1991-1992	reel 16	frame 976
Alread Community Resource Development Corporation, ACRDC, 91-111, 1991-1992	reel 16	frame 1034
Alread Community Resource Development Corporation, ACRDC, 92-200, 1992-1997	reel 16	frame 1085
Alzheimer-Sherrill Schools, 91-91, 1991-1993	reel 16	frame 1157
American Forum, 92-192, Original Proposal-Year One, 1992-1994	reel 17	frame 1
American Forum, 92-192, Year Two-Year Three, 1993-1995	reel 17	frame 220
American Land Forum, Professional Services Agreement, 1983	reel 17	frame 367
Americans for Civic Participation, 85-530, 1985-1986	reel 17	frame 371
Americans for Civic Participation, 86-691, 1986-1988	reel 17	frame 396
Amity Public Schools, 87-844, 1987-1989	reel 17	frame 434
Amity Public Schools, 88-156, 1988-1989	reel 17	frame 454
Amity Public Schools, 94-8, 1994-1995	reel 17	frame 469
Amity Public Schools, 94-180, 1994-1995	reel 17	frame 530
ACROM, Productions, Incorporated, Professional Services Agreement, 1993-1994	reel 17	frame 575
Arch Ford Education Service Cooperative, 92-140, 1992-1994	reel 17	frame 602
Arch Ford Education Service Cooperative, 95-104, 1994-1997	reel 17	frame 768
Argenta Community Development Corporation, 94-158, 1994-1996	reel 17	frame 951
Arkadelphia Public Schools, 90-28, 1989-1990	reel 17	frame 993
Arkadelphia Public Schools, Goza Junior High School, 91-21, 1991	reel 17	frame 1055
Arkadelphia Public Schools, Perritt Primary School, 91-121, 1991-1992	reel 17	frame 1083
Arkadelphia Public Schools, 92-13, 1991-1992	reel 17	frame 1122
Arkadelphia Public Schools, Central Primary School, 92-72, 1992-1993	reel 17	frame 1167

Arkadelphia Public Schools, Goza Junior High School, 92-125, 1992-1993	reel 18	frame 1
Arkadelphia Public Schools, 92-153, 1992-1993	reel 18	frame 32
Arkadelphia Public Schools, Central Primary School, 93-32, 1992-1993	reel 18	frame 71
Arkadelphia Public Schools, 94-64, 1994-1995	reel 18	frame 108
Arkadelphia Public Schools, 95-15, 1995-1996	reel 18	frame 145
Arkadelphia Public Schools, 95-61, 1995-1996	reel 18	frame 198
Arkansas ABLE, Abilities Based on Long Experience, 85-473, 1985-1986	reel 18	frame 229
Arkansas ABLE, Abilities Based on Long Experience, 85-559, 1986-1987	reel 18	frame 259
Arkansas ABLE, Abilities Based on Long Experience, 88-134, 1988-1989	reel 18	frame 294
Arkansas ABLE, Abilities Based on Long Experience, 89-90, 1989-1990	reel 18	frame 318
Arkansas ABLE, Abilities Based on Long Experience, 91-148, 1991-1993	reel 18	frame 344
Arkansas ABLE, Abilities Based on Long Experience, 95-168, 1995-1998	reel 18	frame 526
Arkansas Advocates for Children and Families, Incorporated, 77-1000, 1977	reel 18	frame 778
Arkansas Advocates for Children and Families, Incorporated, 79-1002, 1979	reel 18	frame 786
Arkansas Advocates for Children and Families, Incorporated, 82-308, 1982	reel 18	frame 795
Arkansas Advocates for Children and Families, Incorporated, 83-341, 1981-1983	reel 18	frame 810
Arkansas Advocates for Children and Families, Incorporated, 83-378, 1983-1984	reel 18	frame 833
Arkansas Advocates for Children and Families, Incorporated, 84-434, 1985	reel 18	frame 861
Arkansas Advocates for Children and Families, Incorporated, 85-499, 1985	reel 18	frame 892
Arkansas Advocates for Children and Families, Incorporated, Professional Services Agreement, 1985	reel 18	frame 925
Arkansas Advocates for Children and Families, Incorporated, 86-611, 1986-1987	reel 18	frame 933
Arkansas Advocates for Children and Families, Incorporated, Pre-School Instruction, 87-815, 1987-1988	reel 18	frame 969

Arkansas Advocates for Children and Families, Incorporated, Arkansas Children's Needs. 87-733, 1987-1988	reel 18	frame 988
Arkansas Advocates for Children and Families, Incorporated, HIPPY, 88-121, 1988-1989	reel 18	frame 1007
Arkansas Advocates for Children and Families, Incorporated, 88-132, 1988-1989	reel 18	frame 1039
Arkansas Advocates for Children and Families, Incorporated, 89-89, 1989-1990	reel 18	frame 1055
Arkansas Advocates for Children and Families, Incorporated, 91-161, 1991-1993	reel 18	frame 1076
Arkansas Advocates for Children and Families, Incorporated, 94-197, 1994-1995	reel 19	frame 1
Arkansas Advocates for Children and Families, Incorporated, 95-137, 1995-1996	reel 19	frame 104
Arkansas Advocates for Children and Families, Incorporated, 96-163, Original Proposal-Year One, 1995-1997	reel 19	frame 208
Arkansas Advocates for Children and Families, Incorporated, 96-163, Year Two, 1996-1998	reel 19	frame 358
Arkansas Association of Community Development Corporations, Incorporated, 93-231, Original Proposal-Year One, 1993-1996	reel 19	frame 576
Arkansas Association of Community Development Corporations, Incorporated, 93-231, Year Two, 1994-1996	reel 19	frame 759
Arkansas Association of Community Development Corporations, Incorporated, 93-231, Year Three, 1996-1997	reel 19	frame 1011
Arkansas Association of Community Development Corporations, Incorporated, Daphne R. Scott, Professional Services Agreement, 1993-1994	reel 20	frame 1
Arkansas Association of Community Development Corporations, Incorporated, Professional Services Agreement, 1996-1997	reel 20	frame 44
Arkansas Association of LeadAR Alumni, 90-92, 1991	reel 20	frame 86
Arkansas Association of LeadAR Alumni, 91-152, 1991-1993	reel 20	frame 133
Arkansas Association of LeadAR Alumni, 93-237, 1993-1998	reel 20	frame 172
Arkansas Aviation Historical Society, Incorporated, 90-77, 1989-1996	reel 20	frame 379
Arkansas Aviation Historical Society, Incorporated, Aviation Museum, 90-77, 1990-1996	reel 20	frame 893
Arkansas Aviation Historical Society, Incorporated, 90-127, 1990-1998	reel 21	frame 1
Arkansas Baptist College, 96-79, 1996-1997		

	reel 21	frame 474
Arkansas Baptist State Convention, 93-28, 1993-1994	reel 21	frame 590
Arkansas Broadcasting Foundation, 86-678, 1986-1988	reel 21	frame 654
Arkansas Broadcasting Foundation, 87-793, 1987-1988	reel 21	frame 684
Arkansas Business Development Corporation, Incorporated, 79-1003, 1979	reel 21	frame 700
Arkansas Business Development Corporation, Incorporated, 80-999, 1980	reel 21	frame 714
Arkansas Career Resources, 87-831, 1987-1988	reel 21	frame 725
Arkansas Cattlemen's Association, 94-41, 1994-1995	reel 21	frame 743
Arkansas Chapter of the National Association of Minority Contractors, 87-845, 1987-1988	reel 21	frame 811
Arkansas City Public Schools, Arkansas City High School, 91-125, 1991-1992	reel 21	frame 835
Arkansas Civil Liberties Foundation, Incorporated, 81-229, 1981	reel 21	frame 871
Arkansas Coalition Against Domestic Violence, 80-1000, 1980	reel 21	frame 884
Arkansas Coalition Against Violence to Women and Children, 88-47, 1988-1989	reel 21	frame 897
Arkansas Coalition Against Violence to Women and Children, 90-134, 1991	reel 21	frame 949
Arkansas Coalition Against Violence to Women and Children, 91-160, 1991-1992	reel 21	frame 1064
Arkansas Coalition for the Handicapped, 82-303, 1982	reel 21	frame 1141
Arkansas Coalition for the Handicapped, 83-360, 1983-1984	reel 21	frame 1157
Arkansas Coalition for the Handicapped, 84-429, 1984-1985	reel 22	frame 1
Arkansas Coalition for the Handicapped, 85-600, 1985-1987	reel 22	frame 31
Arkansas Coalition for the Handicapped, 88-52, 1988-1990	reel 22	frame 61
Arkansas College, Institute of Politics and Government, 78-1002, 1978	reel 22	frame 97
Arkansas College, Institute of Politics and Government, Arkansas Constitutional Convention, 78-1009, 1978	reel 22	frame 106
Arkansas College, Original Proposal-Grant Agreements, 1990-1992	reel 22	frame 123

### Scope and Contents note

Grant number : 90-104

Arkansas College, 90-104, Year One-Year Three, 1989-1993	reel 22	frame 262
--	---------	-----------

Arkansas College, Break the Mold, 92-173, 1992-1994	reel 22	frame 479
Arkansas College, Small Business Center, 93-78, 1993-1997	reel 22	frame 510
Arkansas Community Education Development Association, 80-175, 1980-1982	reel 22	frame 736
Arkansas Community Education Development Association, School Based Development Enterprises, 81-252, 1982	reel 22	frame 754
Arkansas Community Education Development Association, Community Education Center on Aging, 82-292, 1982	reel 22	frame 768
Arkansas Community Education Development Association, School Based Development Enterprises, 82-315, 1983	reel 22	frame 786
Arkansas Community Foundation, Incorporated, 76-888-80-888, 1976-1980	reel 22	frame 800
Arkansas Community Foundation, Incorporated, Business Investment and Awards Program, 81-230, 1981	reel 22	frame 842
Arkansas Community Foundation, Incorporated, 1981	reel 22	frame 852
<b>Scope and Contents note</b>		
Challenge Grant, 81-231		
Arkansas Community Foundation, Incorporated, 82-305, 1982-1983	reel 22	frame 865
Arkansas Community Foundation, Incorporated, Arkansas for the Arts, 82-283, 1982-1983	reel 22	frame 880
Arkansas Community Foundation, Incorporated, 83-370, 1983-1984	reel 22	frame 894
Arkansas Community Foundation, Incorporated, 83-381, 1983-1984	reel 22	frame 920
Arkansas Community Foundation, Incorporated, 85-508, 1985-1986	reel 22	frame 949
Arkansas Community Foundation, Incorporated, 86-587, 1986	reel 22	frame 977
Arkansas Community Foundation, Incorporated, 86-624, 1986-1987	reel 22	frame 1004
Arkansas Community Foundation, Incorporated, 86-710, 1986-1988	reel 22	frame 1031
Arkansas Community Foundation, Incorporated, 1987-1988	reel 22	frame 1046
<b>Scope and Contents note</b>		
Challenge Grant, 87-779/87-820/87-824		
Arkansas Community Foundation, Incorporated, Election Laws, 87-834, 1987-1989	reel 22	frame 1063

Arkansas Community Foundation, Incorporated, Cornerstone Project, 88-28, 1988-1990	reel 22	frame 1085
Arkansas Community Foundation, Incorporated, 88-60, 1988-1989	reel 22	frame 1135
Arkansas Community Foundation, Incorporated, 88-133, 1988-1989	reel 22	frame 1152
Arkansas Community Foundation, Incorporated, 88-135, 1988-1991	reel 22	frame 1173
Arkansas Community Foundation, Incorporated, 89-122, 1989-1991	reel 23	frame 1
Arkansas Community Foundation, Incorporated, 90-80, 1990-1991	reel 23	frame 36
Arkansas Community Foundation, Incorporated, 94-137, 1994-1995	reel 23	frame 95
Arkansas Conference Churches, 86-609, 1986-1988	reel 23	frame 132
Arkansas Consumer Research, 78-1003-79-1003, 1978-1979	reel 23	frame 162
Arkansas Consumer Research, Five Year Planning Retreat, 79-1004, 1979	reel 23	frame 176
Arkansas Consumer Research, 79-1005, 1979	reel 23	frame 185
Arkansas Consumer Research, 79-1024, 1979	reel 23	frame 196
Arkansas Delta Foundation, Incorporated, 92-42, 1991-1993	reel 23	frame 205
Arkansas Delta Foundation, Incorporated, Ventures in Education, 92-81, 1989-1996	reel 23	frame 341
Arkansas Delta Housing Development Corporation, Incorporated, 81-244, 1981-1982	reel 23	frame 640
Arkansas Delta Housing Development Corporation, Incorporated, 83-331, 1983-1984	reel 23	frame 657
Arkansas Delta Housing Development Corporation, Incorporated, 84-404, 1984-1985	reel 23	frame 691
Arkansas Delta Housing Development Corporation, Incorporated, 85-485, 1985-1986	reel 23	frame 724
Arkansas Delta Housing Development Corporation, Incorporated, Affordable Housing, Original Proposal-Grant Agreement, 1991-1993	reel 23	frame 751
<b>Scope and Contents note</b>		
Grant number : 91-165		
Arkansas Delta Housing Development Corporation, Incorporated, Affordable Housing, 91-165, Year One-Year Three, 1991-1996	reel 23	frame 891

Arkansas Delta Housing Development Corporation, Incorporated, 94-189, 1994-1998	reel 24	frame 1
Arkansas Delta Housing Development Corporation, Incorporated, 96-39, 1994-1998	reel 24	frame 230
Arkansas Delta Law Foundation, 90-65, 1990-1992	reel 24	frame 464
Arkansas Department of Computer Services, Professional Service Agreement, 1993	reel 24	frame 506
Arkansas Department of Correction, Needs Assessment, 79-1009, 1979-1980	reel 24	frame 518
Arkansas Department of Correction, Training Academy, 80-1004, 1980	reel 24	frame 527
Arkansas Department of Education, Program for Effective Teaching, 79-2000, 1979-1981	reel 24	frame 541
Arkansas Department of Education, 83-376, 1983-1984	reel 24	frame 575
Arkansas Department of Education, 85-525, 1985-1988	reel 24	frame 595
Arkansas Department of Education, 86-578, 1986-1987	reel 24	frame 617
Arkansas Department of Education, 87-759, 1987-1988	reel 24	frame 647
Arkansas Department of Education, Middle Grade, 90-98, 1990-1992	reel 24	frame 665
Arkansas Department of Education, 93-40, 1993-1995	reel 24	frame 814
Arkansas Department of Health, Rural Primary Care Conference, 79-1015, 1979-1980	reel 24	frame 891
Arkansas Department of Health, Venereal Disease Task Force, 80-0030, 1980	reel 24	frame 900
Arkansas Department of Health, 1992-1993	reel 24	frame 913
<b>Scope and Contents note</b>		
Planning Grant, 92-138		
Arkansas Department of Higher Education, 83-386, 1983-1984	reel 24	frame 975
Arkansas Department of Higher Education, 84-432, 1984-1986	reel 24	frame 1000
Arkansas Department of Higher Education, 89-119, 1989-1991	reel 24	frame 1029
Arkansas Department of Higher Education, Dean's Leadership Forum, 92-141, 1992-1994	reel 25	frame 1
Arkansas Department of Human Services, 87-855, 1987-1989	reel 25	frame 243
Arkansas Department of Local Services, Division of Community Services, Day Care in Family Homes, 78-1014, 1978	reel 25	frame 260
Arkansas Department of Natural and Cultural Heritage, The Nature Conservancy, 78-1015, 1978-1979	reel 25	frame 275



Arkansas Development and Finance Authority, 95-80, 1995-1999	reel 25	frame 313
Arkansas Disability Coalition, 90-96, 1990-1991	reel 25	frame 548
Arkansas Disability Coalition, 91-151, 1991-1993	reel 25	frame 604
Arkansas Disability Coalition, 91-159, Original Proposal, 1991-1996	reel 25	frame 649
Arkansas Disability Coalition, Grant Agreement-Year Two, 1991-1996	reel 25	frame 941

### Scope and Contents note

Grant number : 91-159

Arkansas Disability Coalition, 91-159, Year Three-Year Five, 1993-1997	reel 26	frame 1
Arkansas Economic Corporation, 93-221, 1993-1994	reel 26	frame 194
Arkansas Economic Corporation, Original Proposal-Grant Agreement, 1994-1996	reel 26	frame 253

### Scope and Contents note

Grant number : 94-194

Arkansas Economic Corporation, 94-194, Year One-Year Three, 1993-1997	reel 26	frame 392
Arkansas Education Renewal Consortium, Incorporated, 88-155, 1990-1993	reel 26	frame 609
Arkansas Education Renewal Consortium, Incorporated, 91-146, Original Proposal-Year Two, 1991-1996	reel 26	frame 637
Arkansas Education Renewal Consortium, Incorporated, 91-146, Year Three-Year Four, 1993-1996	reel 26	frame 896
Arkansas Endowment for the Humanities, Multi-State Workshop on Family Farmer, 79-1017, 1979	reel 26	frame 1133
Arkansas Endowment for the Humanities, 85-532, 1985-1986	reel 26	frame 1198
Arkansas Endowment for the Humanities. 87-821, 1987-1988	reel 27	frame 1
Arkansas Endowment for the Humanities, 88-27, 1988-1992	reel 27	frame 21
Arkansas Enterprise Group, 88-166, 1988-1991	reel 27	frame 60
Arkansas Extension Homemakers Council, 92-136, 1992-1993	reel 27	frame 123
Arkansas Extension Homemakers Council, 94-154, 1994-1995	reel 27	frame 175
Arkansas Extension Homemakers Council, 95-29, 1995	reel 27	frame 217
Arkansas Family Day Care Providers for Quality Child Care, Incorporated, 79-1006, 1979	reel 27	frame 262

Arkansas Family Planning Council, Teenage Pregnancy, 82-263, 1982	reel 27	frame 284
Arkansas Family Planning Council, 83-322, 1983	reel 27	frame 300
Arkansas Family Planning Council, 83-339, 1983-1984	reel 27	frame 337
Arkansas Family Planning Council, 84-396, 1984-1985	reel 27	frame 363
Arkansas Family Planning Council, 85-477, 1985-1986	reel 27	frame 392
Arkansas Federated Children, Incorporated, 85-494, 1985-1987	reel 27	frame 409
Arkansas Foundation of Associated Colleges, 82-264, 1982	reel 27	frame 432
Arkansas for Drug Free Youth, Union City, 95-169, 1995-1999	reel 27	frame 442
Arkansas Gay and Lesbian Task Force, 95-174, 1995-1997	reel 27	frame 561
Arkansas Grantseekers Horizon, Professional Services Agreement, 1988-1990	reel 27	frame 691
Arkansas Historical Association, 84-385, 1984-1986	reel 27	frame 793
Arkansas Human Development Corporation, 85-512, 1985-1986	reel 27	frame 818
Arkansas Human Development Corporation, 87-827, 1987-1988	reel 27	frame 863
Arkansas Human Development Corporation, 88-140, 1988-1990	reel 27	frame 886
Arkansas Human Development Corporation, 91-72, Original Proposal-Year One, 1991-1993	reel 27	frame 905
Arkansas Human Development Corporation, 91-72, Year Two-Year Three, 1992-1994	reel 27	frame 1071
Arkansas Humanities Council, Original Proposal-Grant Agreement, 1991-1994	reel 28	frame 1

### Scope and Contents note

Grant number : 92-185 & 94-97

Arkansas Humanities Council, 92-185 & 94-97, 92-185 Year One-Year Three and 94-97 Year Two, 1993-1995	reel 28	frame 170
Arkansas Humanities Council, 96-43, 1996-2001	reel 28	frame 376
Arkansas Institute for Social Justice, Incorporated, 86-606, 1986-1987	reel 28	frame 679
Arkansas Institute for Social Justice, Incorporated, 87-744/87-791/87-856, 1987-1988	reel 28	frame 706
Arkansas Institute for Social Justice, Incorporated, 88-42, 1988-1991	reel 28	frame 730
Arkansas Institute for Social Justice, Incorporated, 88-136, 1988-1989	reel 28	frame 795

Arkansas Institute for Social Justice, Incorporated, Reverend Delton Jones, Professional Services Agreement, 1993	reel 28	frame 812
Arkansas Inter-faith Conference, Formerly Arkansas Partners in Education, Original Proposal-Grant Agreement, 1994-1996	reel 28	frame 815

### Scope and Contents note

Grant number : 94-163

Arkansas Inter-faith Conference, Formerly Arkansas Partners in Education, 94-163, Year One, 1995-1996	reel 28	frame 947
Arkansas Inter-faith Conference, Formerly Arkansas Partners in Education, 94-163, Year Two, 1995-1997	reel 29	frame 1
Arkansas Inter-Faith Hunger Task Force, Rice Depot, 82-285, 1982	reel 29	frame 221
Arkansas Inter-Faith Hunger Task Force, 82-309, 1983-1984	reel 29	frame 232
Arkansas Inter-Faith Hunger Task Force, 83-377, 1983-1984	reel 29	frame 248
Arkansas Inter-Faith Hunger Task Force, 84-415, 1984-1985	reel 29	frame 278
Arkansas Inter-Faith Hunger Task Force, 84-453, 1984-1985	reel 29	frame 307
Arkansas Inter-Faith Hunger Task Force, 85-509, 1985-1986	reel 29	frame 347
Arkansas Land and Farm Development Corporation, 81-1000, 1981	reel 29	frame 379
Arkansas Land and Farm Development Corporation, 82-287, 1982	reel 29	frame 397
Arkansas Land and Farm Development Corporation, 83-347, 1983-1984	reel 29	frame 423
Arkansas Land and Farm Development Corporation, 85-484, 1985-1986	reel 29	frame 454
Arkansas Land and Farm Development Corporation, 86-707, 1986-1988	reel 29	frame 504
Arkansas Land and Farm Development Corporation, 87-849, 1987-1988	reel 29	frame 528
Arkansas Land and Farm Development Corporation, 88-167, 1988-1989	reel 29	frame 550
Arkansas Land and Farm Development Corporation, Original Proposal-Grant Agreement, 1990-1993	reel 29	frame 571

### Scope and Contents note

Grant number : 90-131

Arkansas Land and Farm Development Corporation, 90-131, Year One-Year Three, 1989-1993	reel 29	frame 681
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Arkansas Land and Farm Development Corporation, Original Proposal-Grant Agreement, 1992-1998	reel 29	frame 977
<b>Scope and Contents note</b>		
Grant number : 92-88		
Arkansas Land and Farm Development Corporation, 92-88, Year One-Year Two, 1992-1994	reel 30	frame 1
Arkansas Land and Farm Development Corporation, 92-88, Year Three-Year Four, 1994-1996	reel 30	frame 183
Arkansas Land and Farm Development Corporation, 92-88, Year Five, 1996-1997	reel 30	frame 514
Arkansas Land and Farm Development Corporation, 93-222, 1993-1996	reel 30	frame 678
Arkansas Land and Farm Development Corporation, Planning Grant, 1994	reel 30	frame 773
Arkansas League of Nursing, Incorporated, Peace Links, International, 82-282, 1982	reel 30	frame 788
Arkansas Low Income Housing Coalition, 94-192, Original Proposal-Year One, 1994-1996	reel 30	frame 797
Arkansas Low Income Housing Coalition, 94-192, Year Two-Year Three, 1996-1999	reel 31	frame 1
Arkansas Museum of Science and History, 96-91, 1996-1999	reel 31	frame 504
The Arkansas Nature Conservancy, 83-344, 1983-1984	reel 31	frame 612
The Arkansas Nature Conservancy, 84-437, 1984-1985	reel 31	frame 645
The Arkansas Nature Conservancy, 85-531, 1985-1986	reel 31	frame 690
Arkansas Opera Theatre, 79-1007, 1979	reel 31	frame 734
Arkansas Partners in Education, Incorporated, 93-96, 1993-1995	reel 31	frame 746
Arkansas Performance Review Committee, 87-816, 1987-1988	reel 31	frame 933
Arkansas Public Policy Panel, Incorporated, 91-115, 1991-1994	reel 31	frame 951
Arkansas Public Policy Panel, Incorporated, 94-200, Original Proposal-Year One, 1994-1996	reel 32	frame 1
Arkansas Public Policy Panel, Incorporated, 94-200, Year Two-Year Three, 1995-1998	reel 32	frame 176
Arkansas Regional Minority Purchase Council, Incorporated, 92-111, 1992-1993	reel 32	frame 382
Arkansas Repertory Theatre, 78-1004, 1978	reel 32	frame 456
Arkansas Repertory Theatre, 93-255, Original Proposal-Year Two, 1993-1995	reel 32	frame 467

Arkansas Repertory Theatre, 93-255, Year Three, 1993-1997	reel 32	frame 666
Arkansas River Education Service Cooperative, 92-39, 1992-1994	reel 32	frame 914
Arkansas River Education Service Cooperative, 93-76, 1993-1995	reel 32	frame 1013
Arkansas River Education Service Cooperative, 95-99, 1995-1997	reel 33	frame 1
Arkansas River Valley Resources Conservation and Development Area, Incorporated, 95-84, 1995-1998	reel 33	frame 97
Arkansas Rural Development Commission, 95-81, 1995-2000	reel 33	frame 216
Arkansas School Boards Association, Tommy R. Venters, Professional Services Agreement, 1992	reel 33	frame 466
Arkansas School for Mathematics and Sciences, 94-119, 1994-1995	reel 33	frame 472
Arkansas School for the Blind, Principal School Improvements, 93-212, 1993-1997	reel 33	frame 511
Arkansas School for the Deaf, 88-97, 1988-1989	reel 33	frame 676
Arkansas School for the Deaf, 91-65, 1991	reel 33	frame 693
Arkansas School for the Deaf, 96-21, 1996	reel 33	frame 746
Arkansas Seniors Organized for Progress, Incorporated, 88-175, 1988-1990	reel 33	frame 783
Arkansas Seniors Organized for Progress, Incorporated, 91-150, 1992	reel 33	frame 8110
Arkansas Seniors Organized for Progress, Incorporated, 93-238, 1993-1994	reel 33	frame 837
Arkansas Seniors Organized for Progress, Incorporated, 95-143, 1994-1998	reel 33	frame 884
Arkansas Single Parent Scholarship Fund, 95-173, 1995-1999	reel 34	frame 1
Arkansas Single Parent Scholarship Fund, 96-90, 1996-1998	reel 34	frame 384
Arkansas Soil and Water Conservation, 88-26, 1988-1989	reel 34	frame 500
Arkansas State Council on Economic Education, 81-245, 1981	reel 34	frame 529
Arkansas State Education Department, 85-483, 1985-1986	reel 34	frame 548
Arkansas State Education Department, 86-694, 1986-1987	reel 34	frame 586
Arkansas State University, Mid-South Writing Project, 78-1005, 1978	reel 34	frame 606
Arkansas State University, Mid-South Writing Project, 79-1008, 1979	reel 34	frame 617
Arkansas State University, 92-83, 1992-1993		

	reel 34	frame 627
Arkansas State University, Break the Mold, 92-174, 1992-1995	reel 34	frame 651
Arkansas State University, Break the Mold, 92-175, 1992	reel 34	frame 679
Arkansas State University, 93-47, 1993-1997	reel 34	frame 699
Arkansas State University, Break the Mold, 93-249, 1992-1995	reel 34	frame 910
Arkansas State University, Break the Mold, 94-204, 1994-1999	reel 35	frame 1
Arkansas Tech University, 86-580, 1986	reel 35	frame 337
Arkansas Tech University, Break the Mold, 93-250, 1992-1997	reel 35	frame 453
Arkansas Tech University, Break the Mold, 94-205, 1994-1999	reel 35	frame 692
Arkansas Territorial Restoration Foundation, 92-84, 1992-1996	reel 35	frame 863
Arkansas Vocational Education Foundation, Incorporated, 87-755, 1987-1988	reel 35	frame 886
Arkansas Wildlife Federation, Incorporated, 87-785, 1987-1988	reel 35	frame 905
Arkansas Wildlife Federation, Incorporated, 93-239, 1993-1994	reel 35	frame 1011
Armored School District, 95-11, 1995	reel 35	frame 1043
Artisan Source, Incorporated, 90-63, 1989-1991	reel 35	
Artisan Source, Incorporated, 91-149, 1991-1995	reel 36	frame 1
Arts Alive!, Incorporated, 91-142, 1991-1993	reel 36	frame 254
Arts Alive!, Incorporated, 93-142, Original Proposal, 1993-1995	reel 36	frame 364
Arts Alive!, Incorporated, Grant Agreement-Year Three, 1993-1996	reel 36	frame 622

### Scope and Contents note

Grant number : 93-142

ARVAC, Incorporated, Rural Industries, Craft Division, 78-1001, 1978	reel 36	frame 850
ARVAC, Incorporated, CAA/ARVAC Rural Industries, Folk Craft Division, 79-1001, 1979	reel 36	frame 864
ARVAC, Incorporated, AVRAC Industries, Folk Craft Division, 86-643, 1986	reel 36	frame 877
ARVAC, Incorporated, 93-223, 1993-1998	reel 36	frame 902
Ashdown Public Schools, 87-695, 1988-1989	reel 37	frame 1
Associated Universities for Toxicological Research and Education, Formerly NCTR, Original Proposal-Grant Agreement, 1991-1993	reel 37	frame 20

**Scope and Contents note**

Grant number : 91-73

Associated Universities for Toxicological Research and Education, Formerly NCTR, 91-73, Year One-Year Three, 1991-1994	reel 37	frame 230
Association for Supervision and Curriculum Development, 92-187, Original Proposal-Year One, 1992-1996	reel 37	frame 495
Association for Supervision and Curriculum Development, 92-187, Year Two-Year Three, 1994-1997	reel 37	frame 686
Association for Supervision and Curriculum Development, 95-85, 1995-1998	reel 37	frame 816
Association of Black Engineers, 93-220, 1993-1998	reel 37	frame 954
Atkins School District, 90-79, 1990-1991	reel 38	frame 1
Atkins School District, Atkins Elementary School, 91-53, 1991-1992	reel 38	frame 67
Atkins School District, 95-56, 1995-1996	reel 38	frame 112
Augusta School District, 85-502, 1985-1986	reel 38	frame 158
Augusta School District, 86-712, 1986-1987	reel 38	frame 189
Augusta School District, Augusta Junior High School, 90-14, 1990-1991	reel 38	frame 213
Augusta School District, 92-60, 1992-1994	reel 38	frame 255
Augusta School District, 94-58, 1994-1995	reel 38	frame 282
Augusta School District, 95-16, 1995-1996	reel 38	frame 315
Baird Kurtz and Dobson, Professional Services Agreement, 1989	reel 38	frame 350
Bald Knob School District, 92-11, 1991-1992	reel 38	frame 355
Bald Knob School District, 94-17, 1994-1995	reel 38	frame 388
Banks, Sherman, Professional Services Agreement, 1985	reel 38	frame 430
Bankson, Warren, Professional Services Agreement, 1989	reel 38	frame 443
Barnsley, Janice, Professional Services Agreement, 1990	reel 38	frame 448
Batesville School District, West Elementary School, 89-13, 1989	reel 38	frame 460
Batesville School District, Batesville Junior High School, 89-14, 1989	reel 38	frame 483
Batesville School District, 94-144, 1994-1995	reel 38	frame 497
Batesville School District, 95-124, 1995-1996	reel 38	frame 543

Baxter County Library, 96-123, 1996-1998	reel 38	frame 579
Bay Public Schools, 85-535, 1985-1986	reel 38	frame 628
Bay Public Schools, 86-693, 1986-1988	reel 38	frame 663
Bay Public Schools, 87-819, 1987-1989	reel 38	frame 683
Ann Becker and Associates, Incorporated, Professional Services Agreement, 1986-1989	reel 38	frame 705
Beebe Public Schools, 88-67, 1988-1989	reel 38	frame 736
Bob Bell and Associates, Professional Services Agreement, 1990	reel 38	frame 757
Bennett, Michael, Professional Services Agreement, 1990	reel 38	frame 765
Benton Public Schools, 85-505, 1985-1986	reel 38	frame 769
Benton Public Schools, 86-617, 1986-1987	reel 38	frame 797
Benton Public Schools, Talents Unlimited, 87-741, 1987-1988	reel 38	frame 822
Benton Public Schools, Benton Middle School, 87-807, 1987-1988	reel 38	frame 839
Benton Public Schools, H. Perrin Elementary School, 91-12, 1991-1992	reel 38	frame 858
Benton Public Schools, 95-109, 1995-1996	reel 38	frame 895
Bentonville School District, Old High Elementary School, 89-37, 1989	reel 38	frame 947
Bentonville School District, Thomas Jefferson Elementary School, 92-165, 1992-1993	reel 38	frame 962
Bentonville School District, School Improvements, Original Proposal-Grant Agreement, 1993-1996	reel 38	frame 1010

### Scope and Contents note

Grant number : 93-217

Bentonville School District, School Improvements, 93-217, Year One-Year Four, 1993-1999	reel 39	frame 1
Berryville Public Schools, 87-839, 1988-1989	reel 39	frame 155
Berryville Public Schools, 91-34, 1990-1991	reel 39	frame 173
Berryville Public Schools, 91-100, 1991-1992	reel 39	frame 225
Berryville Public Schools, 93-60, 1993-1994	reel 39	frame 156
Berryville Public Schools, 93-109, 1993-1994	reel 39	frame 307
Berryville Public Schools, 95-9, 1995-1996	reel 39	frame 348
Biggers-Reyno School District, 93-3, 1993	reel 39	frame 396



Bismarck Public Schools, Bismarck Elementary School, 89-77, 1989-1990	reel 39	frame 432
Bismarck Public Schools, 92-19, 1991-1993	reel 39	frame 450
Bismarck Public Schools, 93-19, 1993-1994	reel 39	frame 487
Black Community Developers Program, Incorporated, 89-71, 1989-1991	reel 39	frame 531
Blevins Public Schools, 89-105, 1989-1990	reel 39	frame 551
Blytheville Public Schools, 87-773, 1987-1988	reel 39	frame 571
Board of Global Ministries, North Arkansas Conference, United Methodist Church, Arkansas Women's Training Project, 81-255, 1982	reel 39	frame 590
Board of Global Ministries, North Arkansas Conference, United Methodist Church, Arkansas Women's Training Project, 81-1005, 1980-1982	reel 39	frame 607
Board of Global Ministries, North Arkansas Conference, United Methodist Church, Arkansas Women's Project, 82-314, 1983-1984	reel 39	frame 625
Board of Global Ministries, North Arkansas Conference, United Methodist Church, Arkansas Women's Project, 85-478, 1985-1986	reel 39	frame 641
Boston Mountain Educational Cooperative, 93-112, 1993-1994	reel 39	frame 672
Boy Scouts of America, South Central Region, 81-206, 1981	reel 39	frame 714
Boy Scouts of America, 94-94, 1994-1998	reel 39	frame 737
Boys, Girls, Adults Community Development Center, Incorporated, B.G.A.C.D.C, 84-406, 1984-1985	reel 39	frame 948
Boys, Girls, Adults Community Development Center, Incorporated, B.G.A.C.D.C, 85-479, 1985-1986	reel 39	frame 979
Boys, Girls, Adults Community Development Center, Incorporated, B.G.A.C.D.C, 86-588, 1986-1987	reel 39	frame 1009
Boys, Girls, Adults Community Development Center, Incorporated, B.G.A.C.D.C, 87-757, 1987-1988	reel 40	frame 1
Boys, Girls, Adults Community Development Center, Incorporated, B.G.A.C.D.C, Community Education Support, 88-53, 1988-1991	reel 40	frame 23
Boys, Girls, Adults Community Development Center, Incorporated, B.G.A.C.D.C, 90-94, 1990-1991	reel 40	frame 111
Boys, Girls, Adults Community Development Center, Incorporated, B.G.A.C.D.C, 91-114, 1990-1992	reel 40	frame 151
Boys, Girls, Adults Community Development Center, Incorporated, B.G.A.C.D.C, 91-156, 1991-1993	reel 40	frame 250

Boys, Girls, Adults Community Development Center, Incorporated, B.G.A.C.D.C, 94-162, Original Proposal-Year One, 1994-1997	reel 40	frame 317
Boys, Girls, Adults Community Development Center, Incorporated, B.G.A.C.D.C, 94-162, Year Two-Year Three, 1995-1998	reel 40	frame 590
Boys, Girls, Adults Community Development Center, Incorporated, B.G.A.C.D.C, Professional Services Agreement, 1994	reel 40	frame 888
Bradford Special School District, 88-109, 1988-1989	reel 40	frame 909
Bragg, Ronald E., Professional Services Agreement, 1995-1996	reel 40	frame 928
Break the Mold, Process Observer, Professional Services Agreement, 1995	reel 40	frame 944
Break the Mold, Process Observer, Bishop, Mona, Professional Services Agreement, 1994-1996	reel 40	frame 949
Break the Mold, Process Observer, Cook, Thelma, Professional Services Agreement, 1994-1996	reel 40	frame 962
Break the Mold, Process Observer, Coston, Shari, Professional Services Agreement, 1996	reel 40	frame 974
Break the Mold, Process Observer, Dalton, Susan, Professional Services Agreement, 1994-1996	reel 40	frame 981
Break the Mold, Process Observer, Futterer, Margaret, Professional Services Agreement, 1994-1996	reel 40	frame 996
Break the Mold, Process Observer, Garrett, David, Professional Services Agreement, 1994-1995	reel 40	frame 1023
Break the Mold, Process Observer, Gathright, Diann, Professional Services Agreement, 1995-1996	reel 40	frame 1031
Break the Mold, Process Observer, McCarty, Beth, Professional Services Agreement, 1994-1996	reel 40	frame 1054
Break the Mold, Process Observer, Nesson, Ralph, Professional Services Agreement, 1994-1997	reel 40	frame 1083
Break the Mold, Process Observer, Russell, Charles, Professional Services Agreement, 1994-1996	reel 40	frame 1114
Break the Mold, Process Observer, Thomas, Diane, Professional Services Agreement, 1994-1996	reel 40	frame 1129
Break the Mold, Process Observer, Tyler, Tommy, Professional Services Agreement, 1994-1996	reel 41	frame 1
Brookland Public Schools, Brookland High School, 89-19, 1989	reel 41	frame 12
Brown, Robert L., Professional Services Agreement, 1987	reel 41	frame 27
Bryant Public Schools, 86-568, 1986	reel 41	frame 47

Bryant Public Schools, 87-744, 1987-1988	reel 41	frame 64
Bryant Public Schools, 90-47, 1990	reel 41	frame 81
Bryant Public Schools, 91-90, 1991-1992	reel 41	frame 125
Bryant Public Schools, 93-165, 1993-1994	reel 41	frame 159
Bryant Public Schools, 94-142, 1994-1995	reel 41	frame 189
Buffalo Island Central School District, 94-5, 1994	reel 41	frame 238
C Results, Professional Services Agreement, 1993	reel 41	frame 278
Cabot High School, 85-552, 1985-1986	reel 41	frame 293
Cabot Public Schools, 87-761, 1987-1988	reel 41	frame 315
Cabot Public Schools, 87-836, 1987-1988	reel 41	frame 336
Cabot Public Schools. West side Elementary School, 89-115, 1990-1991	reel 41	frame 358
Cabot Public Schools, 90-15, 1989-1990	reel 41	frame 378
Cabot Public Schools, 92-16, 1991-1992	reel 41	frame 454
Cabot Public Schools, 93-141, 1993-1994	reel 41	frame 493
Cabot Public Schools, 94-178, 1994-1995	reel 41	frame 531
Cabot Public Schools, 95-133, 1995-1996	reel 41	frame 575
Cabot Public Schools, 95-150, 1995-1996	reel 41	frame 613
Caddo Hills School District, 93-23, 1993	reel 41	frame 692
Calico Rock School District, Calico Rock Elementary School, 90-43, 1989-1991	reel 41	frame 734
Camden Public Schools, 90-81, 1990-1991	reel 41	frame 785
Camden Fairview School District, 94-88, 1994-1998	reel 41	frame 828
Camp Aldersgate, Incorporated, Youth-at-Risk Program, 80-1001, 1980	reel 41	frame 961
Camp Aldersgate, Incorporated, Youth-at-Risk Program, 81-246, 1981	reel 41	frame 974
Camp Mitchell, Camp and Conference Center, 82-265, 1982-1983	reel 41	frame 986
Campbell and Associates, Professional Services Agreement, 1993	reel 41	frame 1003
Carson Communications, Professional Services Agreement, 1990-1992	reel 41	frame 1014
Kit Carson Photography, Professional Services Agreement, 1993	reel 41	frame 1022
Carthage Public Schools, Principal School Improvements, 93-213, 1993-1997	reel 41	frame 1027

Case, Charles, Professional Services Agreement, 1991	reel 41	frame 1154
Cats Climb, Incorporated, 87-835, 1988	reel 41	frame 1160
Cavanaugh, Hagan and Pierson, Incorporated, Professional Services Agreement, 1991-1993	reel 42	frame 1
Cavanaugh, Hagan and Pierson, Incorporated, Professional Services Agreement, 1994	reel 42	frame 84
Cedarville Public Schools, 89-12, 1989	reel 42	frame 96
Cedarville Public Schools, 89-103, 1989-1991	reel 42	frame 109
Cedarville Public Schools, 91-99, 1991-1992	reel 42	frame 126
Cedarville Public Schools, 92-167, 1992-1993	reel 42	frame 167
Center for Education and Communication, 81-232, 1981-1983	reel 42	frame 208
Center to Prevent Handgun Violence, 90-38, 1988-1991	reel 42	frame 227
Centers for Youth and Families, Project Safe Place, 90-102, 1988-1993	reel 42	frame 495
Centers for Youth and Families, 94-92, Original Proposal-Year One, 1994-1997	reel 42	frame 719
Centers for Youth and Families, 94-92, Year Two-Year Four, 1995-1998	reel 42	frame 984
Central Arkansas Area Agency on Aging, Incorporated, Share-A-Home, 80-1002, 1980	reel 43	frame 1
Central Arkansas Area Agency on Aging, Incorporated, Share-A-Home, 81-233, 1981-1983	reel 43	frame 13
Central Arkansas Legal Services, Client Empowerment Project, 81-1001, 1981	reel 43	frame 28
Central Arkansas Legal Services, Client Empowerment Project, 82-266, 1981-1982	reel 43	frame 43
Central Arkansas Legal Services, Legal Self-Help (3rd Year), 83-320, 1983-1984	reel 43	frame 60
Central Arkansas Library System, 81-234, 1981	reel 43	frame 83
Central Arkansas Radiation Therapy Institute, 78-1007, 1977-1979	reel 43	frame 94
Central Arkansas YWCA, 80-1003, 1980	reel 43	frame 106
Central Baptist Hospital, HMO, 80-1004, 1980	reel 43	frame 118
City of Blevins, 88-101, 1988-1989	reel 43	frame 133
City of Dermott, 92-190, Original Proposal-Year One, 1992-1994	reel 43	frame 153
City of Dermott, 92-190, Year Two-Year Three, 1993-1996	reel 43	frame 387

City of Dermott, Professional Services Agreement, 1994	reel 43	frame 649
City of Jennette, 93-46, 1993-1994	reel 43	frame 685
City of Madison, 94-32, 1994-1995	reel 43	frame 755
City of Texarkana, 93-236, 1992-1998	reel 43	frame 826
City of Twin Groves, 93-135, 1993-1994	reel 43	frame 1027
City of Wilmar, 93-29, 1993-1994	reel 44	frame 1
City of Wilton, Waste Water Management, 82-273, 1983-1985	reel 44	frame 33
City of Wrightsville, 88-102, 1988-1989	reel 44	frame 62
Clark, Richard, Professional Services Agreement, 1991	reel 44	frame 79
The Clear Spring School, 88-118, 1988-1989	reel 44	frame 84
Cleburne County Cares, Incorporated, 95-167, 1995-1998	reel 44	frame 102
Clinton School District, 94-71, 1994-1995	reel 44	frame 240
College Station Community Development Fund, Incorporated, 83-391, 1983-1985	reel 44	frame 288
College Station Community Development Fund, Incorporated, 84-491, 1985-1986	reel 44	frame 331
College Station Community Development Fund, Incorporated, 85-555, 1985-1987	reel 44	frame 367
College Station Community Development Fund, Incorporated, 86-708, 1986-1988	reel 44	frame 397
College Station Community Development Fund, Incorporated, 87-848, 1987-1988	reel 44	frame 424
College Station YMCA, 84-403, 1984-1985	reel 44	frame 443
College Station YMCA, 85-487, 1985-1986	reel 44	frame 465
College Station YMCA, 86-590, 1986-1987	reel 44	frame 487
Colt Community Development Corporation of St. Francis County, 94-13, 1994-1995	reel 44	frame 508
Colt Community Development Corporation of St. Francis County, 96-44, 1996-1997	reel 44	frame 566
Community Alternative Prevention Program, 92-137, 1992-1993	reel 44	frame 688
Community Coalition Corporation, 96-82, 1996-1999	reel 44	frame 752
Community Local Action Center, Incorporated, 93-147, 1993-1994	reel 44	frame 979
Community Local Action Center, Incorporated, 95-44, 1995-1998	reel 44	frame 1045

Community Organization for Drug Education, 96-148, 1996-1998	reel 45	frame 1
Community Resources Group, 86-586, 1986-1987	reel 45	frame 95
Community Resources Group, 95-91, Original Proposal-Year One, 1995-1996	reel 45	frame 119
Community Resources Group, 95-91, Year Two, 1996-1999	reel 45	frame 275
Community Resources Group, Professional Services Agreement, 1996	reel 45	frame 550
Community School of Cleburne County, Incorporated, 93-183, 1993-1994	reel 45	frame 560
Complete Computing, Incorporated, Professional Services Agreement, 1987-1989	reel 45	frame 594
The Conflict Clinic, Incorporated, Professional Services Agreement, 1988-1990	reel 45	frame 620
Connally Consulting, Professional Services Agreement, 1986	reel 45	frame 642
Conway Public Schools, Vann Elementary School, 87-686/87-742, 1987	reel 45	frame 649
Conway Public Schools, Sallie Cone Elementary, 87-760, 1987-1988	reel 45	frame 664
Conway Public Schools, 89-62, 1989-1990	reel 45	frame 684
Conway Public Schools, 91-84, 1991-1992	reel 45	frame 697
Conway Public Schools, 92-151, 1992-1993	reel 45	frame 881
Conway Public Schools, 93-6, 1992-1993	reel 45	frame 917
Conway Public Schools, School Improvements, Original Proposal-Grant Agreement, 1992-1997	reel 45	frame 961

### Scope and Contents note

Grant number : 93-208

Conway Public Schools, School Improvements, 93-208, Year One-Year Five, 1994-1998	reel 46	frame 1
Conway Public Schools, 94-23, 1994-1995	reel 46	frame 107
Conway Public Schools, 94-63, 1994-1995	reel 46	frame 180
Conway Public Schools, 94-169, 1994	reel 46	frame 220
Conway Public Schools, 94-175, 1994-1995	reel 46	frame 251
Conway Public Schools, 95-69, 1995-1996	reel 46	frame 315
Conway Public Schools, 96-9, 1996	reel 46	frame 365
Copeland, Ronald R., Professional Services Agreement, 1993	reel 46	frame 402

Cornerstone Project, 96-37, 1996	reel 46	frame 418
Corning Public Schools, 92-155, 1992-1993	reel 46	frame 469
Corning Public Schools, 93-185, 1992-1994	reel 46	frame 512
Corning Public Schools, 94-121, 1994-1995	reel 46	frame 557
Corning Public Schools, 94-172, 1994-1995	reel 46	frame 600
Corning Public Schools, 95-58, 1995	reel 46	frame 646
Corning Public Schools, 96-5, 1996	reel 46	frame 723
The Cornucopia Project, 84-493, 1984-1985	reel 46	frame 758
Cossatot Technical College, 95-136, Original Proposal, 1995-1997	reel 46	frame 786
Cossatot Technical College, Grant Agreement-Year Three, 1995-1998	reel 46	frame 1013

### Scope and Contents note

Grant number : 95-136

Coston, Shari, Professional Services Agreement, 1994-1997	reel 46	frame 1115
Cotter Public Schools, 95-149, 1995-1996	reel 47	frame 1
County Line School District, 91-68, 1991-1992	reel 47	frame 38
Cradle Care Food Program, Incorporated, 92-45, 1992-1993	reel 47	frame 101
Crane and Associates, Professional Services Agreement, 1981-1983	reel 47	frame 162
Criminal Justice Institute, Incorporated, National Center for Correctional Mediation Office (NCCM), 79-1010, 1979-1980	reel 47	frame 189
Criminal Justice Institute, Incorporated, National Center for Correctional Mediation Office (NCCM), 80-1005, 1980	reel 47	frame 200
Criminal Justice Ministry of Arkansas, Incorporated, 83-359, 1983-1984	reel 47	frame 215
Crittenden County Clients Council, 93-153, 1993-1994	reel 47	frame 243
Cross County School District, 93-125, 1993-1994	reel 47	frame 290
Cross County School District, 94-133, 1994-1995	reel 47	frame 321
Crossett Public Schools, 87-688/87-744, 1987-1988	reel 47	frame 366
Crossett Public Schools, 88-110, 1988	reel 47	frame 386
Crowley Ridge Regional Library, 94-185, 1994-1995	reel 47	frame 401
Crowley's Ridge Education Service Cooperative, 85-541, 1985-1986	reel 47	frame 442

Crowley's Ridge Education Service Cooperative, 94-85, 1994-1995	reel 47	frame 460
Crowley's Ridge Education Service Cooperative, 95-105, 1995-1997	reel 47	frame 506
Crowley's Ridge Development Council, Incorporated, 92-33, 1992-1993	reel 47	frame 581
Cushman School District, 94-69, 1994-1995	reel 47	frame 766
Dallas County Good Samaritan Center, 93-37, 1993-1994	reel 47	frame 822
Danville Public Schools, 85-521, 1985-1986	reel 47	frame 885
Danville Public Schools, Danville Elementary School, 85-562, 1985-1987	reel 47	frame 907
Danville Public Schools, 86-698, 1986-1988	reel 47	frame 935
Danville Public Schools, 88-46, 1988-1989	reel 47	frame 951
Dardanelle Public Schools, Staff Development, 85-503, 1985-1986	reel 47	frame 979
Dardanelle Public Schools, Physical Science Kits, 85-520, 1985-1987	reel 47	frame 1014
Dardanelle Public Schools, 86-616, 1986-1987	reel 47	frame 1031
Dardanelle Public Schools, 88-22, 1988-1989	reel 47	frame 1058
Dardanelle Public Schools, 88-96, 1988-1989	reel 47	frame 1071
Dardanelle Public Schools, Dardanelle Intermediate School, 91-36, 1991-1992	reel 47	frame 1090
Dardanelle Public Schools, Primary/Intermediate Schools, 91-46, 1991-1992	reel 47	frame 1117
Dardanelle Public Schools, School Improvements, 93-207, 1993-1998	reel 48	frame 1
Dardanelle Public Schools, 93-254, 1993-1994	reel 48	frame 152
Dawson Education Service Cooperative, 85-561, 1985-1987	reel 48	frame 195
Dawson Education Service Cooperative, 86-660, 1986-1987	reel 48	frame 230
Dawson Education Service Cooperative, 88-126, 1988-1990	reel 48	frame 254
Dawson Education Service Cooperative, 94-84, 1994	reel 48	frame 295
Dawson Education Service Cooperative, 95-98, 1995-1997	reel 48	frame 324
Davidson, Joel, Professional Services Agreement, 1981-1982	reel 48	frame 391
Decatur Public Schools, Decatur Elementary School, 89-40, 1989	reel 48	frame 492
Deer Consolidated Schools, 86-577, 1986-1987	reel 48	frame 506



Deer Consolidated Schools, 87-761, 1987-1988	reel 48	frame 547
Deer Consolidated Schools, 88-49, 1987-1989	reel 48	frame 563
Deer Consolidated Schools, 92-74, 1992-1993	reel 48	frame 585
Deer Consolidated Schools, 94-19, 1993-1994	reel 48	frame 633
Deer Consolidated Schools, 95-147, 1995-1996	reel 48	frame 660
Delight Public Schools, 91-70, 1991-1992	reel 48	frame 714
Delta Community Development Corporation, Incorporated, 88-85, 1988-1990	reel 48	frame 752
Delta Community Development Corporation, Incorporated, 89-92, 1989-1992	reel 48	frame 777
Delta Community Development Corporation, Incorporated, 91-110, 1991-1993	reel 48	frame 850
Delta Community Development Corporation, Incorporated, Vista Workers, 91-147, 1991-1996	reel 48	frame 900
Delta Community Development Corporation, Incorporated, 92-198, 1992-1994	reel 49	frame 1
Delta Community Development Corporation, Incorporated, 93-83, Original Proposal-Year One, 1993-1995	reel 49	frame 38
Delta Community Development Corporation, Incorporated, 93-83, Year Two-Year Three, 1993-1996	reel 49	frame 180
Delta Community Development Corporation, Incorporated, 96-40, Original Proposal-Year One, 1996-1998	reel 49	frame 399
Delta Community Development Corporation, Incorporated, 96-40, Year Two-Year Three, 1996-1999	reel 49	frame 548
Delta Foundation, Incorporated, 84-436, 1984-1986	reel 49	frame 700
Delta Improvement Corporation, 86-589, 1986-1987	reel 49	frame 746
Delta Improvement Corporation, 87-829, 1987-1988	reel 49	frame 775
Delta Improvement Corporation, 88-139, 1988-1990	reel 49	frame 797
Delta Pilot Economic Development Project for Delta Economic Energy District, Incorporated (DEED), Professional Services Agreement, 1994	reel 49	frame 834
Delta Research Education and Development Foundation, DRED, 91-56, 1990-1992	reel 49	frame 885
Delta Research Education and Development Foundation, DRED, 91-107, 1991-1992	reel 49	frame 1089
Delta Research Education and Development Foundation, DRED, Housing Renewal, 92-129, 1992-1993	reel 50	frame 1
Delta Research Education and Development Foundation, DRED, 92-197, 1992-1994	reel 50	frame 53

Delta Research Education and Development Foundation, DRED, 93-224, 1993-1997	reel 50	frame 82
Department of Arkansas Heritage Adventure Tales, 87-820, 1987-1988	reel 50	frame 226
Department of Arkansas Nature and Cultural Heritage, The Nature Conservancy, 80-2034, 1980	reel 50	frame 243
De Queen-Mena Educational Cooperative, 87-803, 1987-1988	reel 50	frame 257
De Queen-Mena Educational Cooperative, 95-101, 1995-1997	reel 50	frame 279
De Queen Public Schools, 91-31, 1991-1992	reel 50	frame 356
Dermott Community Action, Incorporated, 85-470, 1986	reel 50	frame 382
Dermott Community Action, Incorporated, 90-97, 1990-1991	reel 50	frame 406
Dermott Community Action, Incorporated, 91-157, 1991-1993	reel 50	frame 481/482
DeValls Bluff School District, DeValls Bluff High School, 91-19, 1991-1992	reel 50	frame 511
DeValls Bluff School District, 94-134, 1994-1995	reel 50	frame 553
DeWitt School District, 96-7, 1996	reel 50	frame 617
Dillard, Mary F., Professional Services Agreement, 1988-1995	reel 50	frame 653
Displaced Homemakers Network, Incorporated, 81-235, 1981-1983	reel 50	frame 661
Dodson Street Family Life Center, 95-90, 1995-1996	reel 50	frame 686
Dollarway School District, 87-838, 1987-1989	reel 50	frame 754
Dollarway School District, 89-42, 1989-1990	reel 50	frame 770
Dollarway School District, Matthews Primary School, 89-83, 1989-1990	reel 50	frame 788
Dollarway School District, 90-34, 1989-1990	reel 50	frame 819
Dollarway School District, 90-48, 1990-1991	reel 50	frame 861
Dollarway School District, 90-118, 1990-1991	reel 50	frame 894
Dollarway School District, Pinecrest Elementary School, 91-15, 1991-1992	reel 50	frame 928
Dollarway School District, Pinecrest Elementary School, 91-103, 1991-1992	reel 50	frame 967
Dollarway School District, 96-6, 1995-1996	reel 50	frame 1001
Dover School District, 87-851, 1988-1989	reel 50	frame 1033
Drew Central Schools, 87-693, 1987	reel 50	frame 1053
Drew Central Schools, 90-78, 1990-1991	reel 50	frame 1070

Drew Central Schools, Wilmar Elementary School, 92-61, 1992-1993	reel 50	frame 1160
Drew Central Schools, 94-60, 1994-1995	reel 50	frame 1203
Dumas Public Schools. Reed Elementary School, 92-77, 1992-1993	reel 51	frame 1
Dumas Public Schools, 93-53, 1993-1994	reel 51	frame 44
Dumas Public Schools, 93-164, 1993-1994	reel 51	frame 87
Dumas Public Schools, 95-148, 1995-1996	reel 51	frame 132
Earl Moore and Associates, Professional Services Agreement, 1994-1995	reel 51	frame 177
Earle School District, 88-154, 1988	reel 51	frame 248
Earle School District, Earle Elementary School, 89-9, 1989-1990	reel 51	frame 259
East Arkansas Legal Services, EALS, 93-81, 1993-1996	reel 51	frame 271
East Central Arkansas Economic Development Corporation, ECAEDC, 92-110, 1992-1993	reel 51	frame 473
East Central Arkansas Economic Development Corporation, ECAEDC, Transportation, 93-225, 1993-1996	reel 51	frame 538
East Central Arkansas Economic Development Corporation, ECAEDC, Professional Services @1-Agreement, 1994	reel 51	frame 666
East End School District, 94-176, 1994-1995	reel 51	frame 685
East End School District, 95-113, 1995-1996	reel 51	frame 720
East End School District, 95-115, 1995-1996	reel 51	frame 765
East Poinsett County School District, 92-12, 1992	reel 51	frame 804
Ecocenter, 82-310, 1982-1985	reel 51	frame 837
Economic Development Agency, 84-447, 1984-1985	reel 51	frame 857
Economic Opportunity Agency of Washington County, Incorporated, EOA of Washington County, 83-368, 1983-1984	reel 51	frame 915
Economic Opportunity Agency of Washington County, Incorporated, EOA of Washington County, 84-431, 1984-1985	reel 51	frame 941
Economic Opportunity Agency of Washington County, Incorporated, EOA of Washington County, Single Parent School, Original Proposal-Grant Agreement, 1992-1994	reel 51	frame 972

**Scope and Contents note**

Grant number : 92-80

Economic Opportunity Agency of Washington County, Incorporated, EOA of Washington County, Single Parent School, 92-80, Year One-Year Three, 1992-1995	reel 52	frame 1
Economic Opportunity Agency of Washington County, Incorporated, EOA of Washington County, Self-Determination Project, Original Proposal-Grant Agreement, 1992-1993	reel 52	frame 164

**Scope and Contents note**

Grant number : 92-89

Economic Opportunity Agency of Washington County, Incorporated, EOA of Washington County, Self-Determination Project, 92-89, Year One-Year Two, 1992-1994	reel 52	frame 264
Economic Opportunity Agency of Washington County, Incorporated, EOA of Washington County, 94-42, 1994-1995	reel 52	frame 543
Economic Opportunity Agency of Washington County, Incorporated, EOA of Washington County, 94-187, Original Proposal-Year One, 1994-1996	reel 52	frame 637
Economic Opportunity Agency of Washington County, Incorporated, EOA of Washington County, 94-187, Year Two-Year Three, 1995-1998	reel 52	frame 765
El Dorado Public Schools, Yocum Elementary School, 87-798, 1987-1988	reel 52	frame 931
El Dorado Public Schools, 88-74, 1988-1989	reel 52	frame 950
El Dorado Public Schools, 88-98, 1988-1989	reel 52	frame 966
El Dorado Public Schools, Southside Elementary School, 90-42, 1990-1991	reel 52	frame 982
El Dorado Public Schools, 92-9, 1991-1992	reel 52	frame 1046
El Dorado Public Schools, School Improvements, 93-210, 1993-1999	reel 52	frame 1088
Elder Care of Eastern Arkansas, Incorporated, 88-88, 1988-1989	reel 53	frame 1
Elder Care of Eastern Arkansas, Incorporated, 92-131, 1992-1993	reel 53	frame 22
The Elizabeth McGill Drop-In Center, Incorporated, 94-107, 1994-1997	reel 53	frame 62
Elkins Public Schools, 94-59, 1994-1995	reel 53	frame 168
Emerson School District, 90-49, 1990-1991	reel 53	frame 197
Emerson School District, 93-54, 1993-1994	reel 53	frame 224

Emma Elease Webb Community Center, 90-64, 1989-1991	reel 53	frame 266
Emma Elease Webb Community Center, 92-130, 1992-1993	reel 53	frame 306
Emmet Public Schools, 90-59, 1989-1991	reel 53	frame 344
Enterprise Corporation of the Delta, 96-45, Original Proposal-Year Two, 1996-1998	reel 53	frame 384
Enterprise Corporation of the Delta, 96-45, Year Three, 1996-2000	reel 53	frame 649
Environmental Policy Institute, 86-583, 1986	reel 53	frame 869
Ernst and Young, Professional Services Agreement, 1989-1990	reel 53	frame 886
Eureka Springs Public Schools, 87-800, 1987-1988	reel 53	frame 894
Evaluation Associates, Incorporated, Professional Services Agreement, 1992	reel 53	frame 910
Fairview Public Schools, Ivory Primary School, 91-47, 1991-1992	reel 53	frame 917
Fairview Public Schools, 92-34, 1992-1993	reel 53	frame 958
Fairview Public Schools, School Improvements, 93-209, Original Proposal, 1993-1997	reel 53	frame 993
Fairview Public Schools, School Improvements, Grant Agreement-Year Five, 1993-1998	reel 54	frame 1

### Scope and Contents note

Grant number : 93-209

Family Community Development Corporation, 95-179, 1995-2000	reel 54	frame 151
Family Service Agency, 87-765/87-810, 1987-1989	reel 54	frame 366
Family Service Agency, 96-46, 1996-1998	reel 54	frame 388
Family Video Stores, Professional Services Agreement, 1989-1993	reel 54	frame 575
Faust, Judith, Professional Services Agreement, 1993-1994	reel 54	frame 582
Fayetteville Open Channel, 81-236, 1981-1982	reel 54	frame 589
Fayetteville Open Channel, Program Production Awards, 82-267, 1982-1983	reel 54	frame 618
Fayetteville Public Schools, 85-504, 1985-1987	reel 54	frame 647
Fayetteville Public Schools, 86-709, 1986-1988	reel 54	frame 667
Fayetteville Public Schools, Root Elementary School, 87-687/87-743, 1987-1988	reel 54	frame 689

Fayetteville Public Schools, Bates Elementary School, 87-797, 1987-1988	reel 54	frame 708
Fayetteville Public Schools, 88-41, 1988-1990	reel 54	frame 731
Fayetteville Public Schools, Fayetteville Junior High School, 88-75, 1988-1989	reel 54	frame 750
Fayetteville Public Schools, 88-111, 1988-1989	reel 54	frame 769
Fayetteville Public Schools, 88-112, 1988-1989	reel 54	frame 786
Fayetteville Public Schools, Woodland Junior High School, 89-16, 1989	reel 54	frame 803
Fayetteville Public Schools, Bates Elementary School, 89-101, 1989-1990	reel 54	frame 822
Fayetteville Public Schools, Mathematics, 89-125, 1990	reel 54	frame 843
Fayetteville Public Schools, Washington Elementary School, 90-16, 1990	reel 54	frame 856
Fayetteville Public Schools, 90-84, 1990-1991	reel 54	frame 902
Fayetteville Public Schools, 91-92, 1991-1992	reel 54	frame 956
Fayetteville Public Schools, Fayetteville High School, 91-105, 1991-1992	reel 54	frame 994
Fayetteville Public Schools, Jefferson Elementary School, 92-103, 1992-1993	reel 54	frame 1038
Fayetteville Public Schools, Woodland Junior High School, 92-114, 1992-1993	reel 54	frame 1073
Fayetteville Public Schools, 94-27, 1993-1994	reel 54	frame 1120
Fayetteville Public Schools, 94-182, 1994-1995	reel 55	frame 1
Fayetteville Public Schools, 95-66, 1995	reel 55	frame 39
Fayetteville Public Schools, 95-127, 1995	reel 55	frame 79
Fayetteville Public Schools, 95-155, 1995-1996	reel 55	frame 112
Federation of Small Towns, 87-749, 1987-1988	reel 55	frame 160
Fetting, Jeannie, Professional Services Agreement, 1992	reel 55	frame 186
Felton Bryant, Denise, Professional Services Agreement, 1993-1996	reel 55	frame 202
FOCUS, Incorporated, 85-536, 1985-1986	reel 55	frame 247
FORGE, Financing Ozarks Rural Growth & Economy, 90-93, 1990-1991	reel 55	frame 286
FORGE, Financing Ozarks Rural Growth & Economy, 91-154, 1991-1992	reel 55	frame 356

FORGE, Financing Ozarks Rural Growth & Economy, 93-226, 1993-1995	reel 55	frame 388
Forrest City Community Voices, Incorporated, 94-157, 1994-1995	reel 55	frame 512
Forrest City Public Schools, 88-13, 1988-1989	reel 55	frame 550
Forrest City Public Schools, Forrest High School, 89-36, 1989-1990	reel 55	frame 565
Fort Smith Public Schools, 87-782, 1987-1988	reel 55	frame 580
Fort Smith Public Schools, 88-163, 1988-1989	reel 55	frame 602
Fort Smith Public Schools, Carnall Elementary School, 89-11, 1989-1990	reel 55	frame 619
Fort Smith Public Schools, Chaffin Junior High School, 89-112, 1989-1990	reel 55	frame 636
Fort Smith Public Schools, Ramsey Junior High School, 89-113, 1989-1990	reel 55	frame 653
Fort Smith Public Schools, Pike Elementary School, 90-50, 1990-1991	reel 55	frame 671
Fort Smith Public Schools, Southside High School, 90-53, 1990	reel 55	frame 717
Fort Smith Public Schools, Parker Elementary School, 90-126, 1990-1991	reel 55	frame 752
Fort Smith Public Schools, Spradling Elementary School, 91-104, 1991-1992	reel 55	frame 795
Fort Smith Public Schools, 92-18, 1992-1993	reel 55	frame 843
Fort Smith Public Schools, Booneville Elementary School, 92-105, 1992-1993	reel 55	frame 886
Fort Smith Public Schools, Sutton Elementary School, 93-51, 1993-1994	reel 55	frame 916
Fort Smith Public Schools, 94-3, 1993-1994	reel 55	frame 958
Fort Smith Public Schools, 94-120, 1994-1995	reel 55	frame 990
Fort Smith Public Schools, 94-138, 1994-1995	reel 55	frame 1035
Fort Smith Public Schools, 95-73, 1995-1996	reel 56	frame 1
Fort Smith Public Schools, 95-74, 1995-1996	reel 56	frame 57
Fort Smith Public Schools, School Improvement, 95-86, Original Proposal-Year Two, 1995-1998	reel 56	frame 90
Fort Smith Public Schools, School Improvement, 95-86, Year Three-Year Four, 1997-2000	reel 56	frame 315
The Fort Smith Symphony Association, Incorporated, 95-172, 1995-1999	reel 56	frame 558

Fouke Public Schools, Fouke High School, 90-13, 1989-1990	reel 56	frame 712
Fouke Public Schools, 96-17, 1995-1996	reel 56	frame 964
Foundation for the Mid-South, 94-196, 1994-1995	reel 56	frame 1005
Freer, Dick, Professional Services Agreement, 1992-1993	reel 56	frame 1098
Friends of KLRE-FM, 81-237, 1981-1982	reel 56	frame 1142
Friends of KLRE-FM, 82-301, 1982-1984	reel 56	frame 1155
Friends of KLRE-FM, 87-854, 1987-1988	reel 57	frame 1
Friends of KLRE-FM, 88-174, 1988-1989	reel 57	frame 25
Frost, Lynn, Professional Services Agreement, 1991	reel 57	frame 44
Future Builders, Incorporated, 90-74, 1990-1991	reel 57	frame 51
Future Builders, Incorporated, 90-133, 1990-1993	reel 57	frame 109
Future Builders, Incorporated, 91-109, 1991-1992	reel 57	frame 241
Future Builders, Incorporated, 92-203, 1992-1993	reel 57	frame 295
Future Builders, Incorporated, 93-92, 1993-1996	reel 57	frame 326
Gathright, Diann, Professional Services Agreement, 1994-1997	reel 57	frame 474
General Counsel U. S. Catholic Conference/Trinity Junior High School, 94-25, 1994	reel 57	frame 531
Gentry Public Schools, Gentry High School, 91-11, 1991	reel 57	frame 476
Gentry Public Schools, School Improvement, 94-18, 1994-1995	reel 57	frame 610
Gentry Public Schools, 94-73, 1994	reel 57	frame 643
Gillett Public Schools, 91-83, 1991-1992	reel 57	frame 683
Girl Scouts of America, Conifer Council, 95-186, 1995-2000	reel 57	frame 723
The Gladney Fund, 94-161, 1994-1998	reel 57	frame 893
Global Learning Center, Incorporated, 94-33, 1993-1995	reel 57	frame 1010
Global Learning Center, Incorporated, 95-82, 1995-1996	reel 57	frame 1077
Global Village Community Services, Incorporated, 96-92, 1996-1998	reel 58	frame 1
Gould Community Service Corporation, Gould Community Center, 85-516, 1985-1986	reel 58	frame 57
Gould Community Service Corporation, 93-146, 1993-1994	reel 58	frame 79
Gould Public Schools, 88-11, 1988	reel 58	frame 121
Gould Public Schools, 88-71, 1988	reel 58	frame 139
Gould Public Schools, 92-17, 1991-1992	reel 58	frame 155



Governor's Commission on Adult Literacy, 92-186, 1992-1998	reel 58	frame 187
Grady Public Schools, 87-745, 1987-1988	reel 58	frame 416
Grady Public Schools, 90-58, 1990-1991	reel 58	frame 434
Grassroots Leadership, Incorporated, Professional Services Agreement, 1994	reel 58	frame 485
Gravette Public Schools, 93-9, 1993	reel 58	frame 488
Gravette Public Schools, 95-59, 1995	reel 58	frame 533
Great Rivers Educational Service Cooperative, 92-82, 1992-1994	reel 58	frame 559
Greater Friendship, Incorporated, 94-72, 1994-1998	reel 58	frame 664
Greater Little Rock Community Development Corporation, 92-98, 1992-1993	reel 58	frame 699
Greater Little Rock Community Development Corporation, 93-151, 1993	reel 58	frame 775
Green Forest Schools, 88-157, 1988-1989	reel 58	frame 816
Green Forest Schools, 92-157, 1992-1993	reel 58	frame 834
Greenbrier Public Schools, 89-106, 1989-1990	reel 58	frame 877
Greenbrier Public Schools, School Improvement, 93-216, 1993-1999	reel 58	frame 898
Greene County Technical School District, 90-27, 1990	reel 58	frame 1105
Greene County Technical School District, 91-76, 1991-1992	reel 59	frame 1
Greene County Technical School District, 95-77, 1995	reel 59	frame 39
Greenwood Public Schools, 91-88, 1991-1992	reel 59	frame 86
Greenwood Public Schools, 92-32, 1992	reel 59	frame 122
Greenwood Public Schools, 94-56, 1994	reel 59	frame 179
Griffee, Carol, Professional Services Agreement, 1985-1988	reel 59	frame 224
Group Living, Incorporated, 95-52, 1995-1997	reel 59	frame 234
Grubbs Special School District, 92-15, 1992	reel 59	frame 311
Guardianship, Incorporated, 93-240, 1993-1994	reel 59	frame 354
Guardianship, Incorporated, 95-94, Original Proposal-Year One, 1995-1996	reel 59	frame 437
Guardianship, Incorporated, 95-94, Year Two, 1996-1997	reel 59	frame 582
Gurdon Public Schools, Gurdon Primary School, 91-37, 1991-1992	reel 59	frame 695
Gurdon Public Schools, Gurdon Middle School, 93-50, 1993-1994	reel 59	frame 729

Gurdon Public Schools, 93-58, 1993-1994	reel 59	frame 774
Gurdon Public Schools, 93-116, 1993-1994	reel 59	frame 806
H. K. Stewart Creative Services, Incorporated, Professional Services Agreement, 1988-1997	reel 59	frame 836
Hamburg Public Schools, 90-82, 1990-1991	reel 59	frame 891
Hamling, Charlotte, Professional Services Agreement, 1992-1995	reel 59	frame 956
Harding University, Project Delta, 93-144, 1993-1994	reel 59	frame 1065
Harmony Grove Public Schools, Harmony Grove Elementary School, 91-17, 1991	reel 60	frame 1
Harmony Grove Public Schools, 91-102, 1991-1992	reel 60	frame 35
Harmony Grove Public Schools, 94-174, 1994-1995	reel 60	frame 76
Harold L. Hodgkinson Associates, Limited, Professional Services Agreement, 1989	reel 60	frame 120
Harrisburg School District, 94-177, 1994-1995	reel 60	frame 146
Harrison School District, 88-66, 1988-1989	reel 60	frame 186
Hatley, Margaret Pope, Professional Services Agreement, 1991	reel 60	frame 204
Hazen School District, 95-10, 1995	reel 60	frame 210
Health Center of Pulaski County, Incorporated, Interim Support, 79-1016, 1979-1980	reel 60	frame 240
Heber Springs School District, 92-79, 1992-1993	reel 60	frame 257
Hector School District, Hector Elementary School, 90-11, 1990	reel 60	frame 291
Heifer Project International, Rosston Project, 78-1007, 1978-1979	reel 60	frame 363
Heifer Project International, Rosston Swine Project, 80-2031, 1980-1981	reel 60	frame 383
Heifer Project International, Rosston Swine Project, 81-100, 1981	reel 60	frame 401
Heifer Project International, Family Farm Network, 82-312, 1983-1984	reel 60	frame 417
Heifer Project International, 83-348, 1983-1984	reel 60	frame 437
Heifer Project International, 86-705, 1986-1987	reel 60	frame 453
Heifer Project International, 87-850, 1988-1989	reel 60	frame 477
Heifer Project International, 95-175, 1995-1996	reel 60	frame 493
Helena Community Renewal Corporation, 93-80, 1992-1994	reel 60	frame 552
Helena Community Renewal Corporation, 94-186, 1994-1998	reel 60	frame 657

Helena-West Helena Public Schools, Eliza Miller High School, 93-169, 1993-1994	reel 60	frame 789
Henderson State University, 87-817, 1987-1988	reel 60	frame 816
Henderson State University, 88-120, 1988-1990	reel 60	frame 833
Henderson State University, Teacher Reconstructing, 89-87, 1989-1992	reel 60	frame 866
Henderson State University, Break the Mold, 92-176, 1992-1994	reel 60	frame 945
Henderson State University, Break the Mold, 93-244, Original Proposal Year One, 1993	reel 60	frame 1001
Henderson State University, Break the Mold, 93-244, Original Proposal Year Two, 1994	reel 61	frame 1
Henderson State University, Break the Mold, 93-244, Original Proposal Year Three-Grant Agreement, 1993-1998	reel 61	frame 222
Henderson State University, Break the Mold, 93-244, Year One-Year Two, 1993-1995	reel 61	frame 605
Henderson State University, Break the Mold, 93-244, Year Three, 1996-1997	reel 61	frame 851
Henderson State University, Break The Mold, 93-244, Year Four-Year Five, 1997-1999	reel 61	frame 1098
Hendrix College, 86-674, 1986-1987	reel 61	frame 1180
Hendrix College, 88-141, 1988-1991	reel 62	frame 1
Tom Herrin Associates, Professional Services Agreement, 1996-1997	reel 62	frame 77
Hill, Jack, Professional Services Agreement, 1987	reel 62	frame 88
Himmelfarb, Susan, Professional Services Agreement, 1995-1996	reel 62	frame 95
Histecon Associates, Incorporated, Professional Services Agreement, 1990-1996	reel 62	frame 113
Historic Preservation Alliance of Arkansas, Incorporated, 83-411, 1984-1985	reel 62	frame 186
Historic Preservation Alliance of Arkansas, Incorporated, 85-547, 1985-1986	reel 62	frame 224
Historic Preservation Alliance of Arkansas, Incorporated, 86-648, 1986-1988	reel 62	frame 265
Historic Preservation Alliance of Arkansas, Incorporated, 88-142, 1988-1989	reel 62	frame 296
H. J. F., Incorporated, Professional Services Agreement, 1993	reel 62	frame 319
Holly Grove Educational Club, 88-104, 1988-1989	reel 62	frame 330
Hooks, Robert G., Professional Services Agreement, 1990-1992		

	reel 62	frame 351
Hooper-Briar, Katherine, Professional Services Agreement, 1995	reel 62	frame 366
Hope Public Schools, 94-179, 1994-1995	reel 62	frame 376
Hot Springs City Schools, 85-546, 1985-1986	reel 62	frame 416
Hot Springs City Schools, Jones Elementary School, 89-24, 1989	reel 62	frame 440
House of Lydia, Incorporated, 95-71, 1995-1997	reel 62	frame 455
Housing Initiative, Professional Services Agreement, 1995-1996	reel 62	frame 531
Human Performance Center, 79-1000, 1979	reel 62	frame 599
Human Service Providers Association of Arkansas, Incorporated, 78-1008, 1978-1979	reel 62	frame 605
Human Service Providers Association of Arkansas, Incorporated, 79-1016, 1979-1980	reel 62	frame 632
Human Service Providers Association of Arkansas, Incorporated, Central Arkansas Management Support Network, @1-79-1017, 1979-1980	reel 62	frame 657
Human Service Providers Association of Arkansas, Incorporated, Central Arkansas Management Support Network, @1-80-2032, 1980-1981	reel 62	frame 676
Human Service Providers Association of Arkansas, Incorporated, Block Grants, 82-304, 1982-1983	reel 62	frame 697
Huntsville Schools, 88-73, 1988-1989	reel 62	frame 715
Huntsville Schools, Watson Elementary School, 92-104, 1992-1998	reel 62	frame 734
Huntsville Schools, 95-75, 1995-1996	reel 62	frame 781
Huntsville Schools, 95-121, 1995-1996	reel 62	frame 823
IMPAC Learning Systems, Incorporated, 93-97, 1993-1994	reel 62	frame 860
In Affordable Housing, Incorporated, 95-43, 1995-1998	reel 62	frame 1029
Independent Community Consultants, Incorporated, Manual/ Workbook, 79-109, 1979	reel 63	frame 1
Independent Community Consultants, Incorporated, Corporate Giving, 81-238, 1981	reel 63	frame 15
Independent Community Consultants, Incorporated, Professional Services Agreement, 1986-1993	reel 63	frame 31
Independent Community Consultants, Incorporated, Professional Services Agreement, 1994	reel 63	frame 79
Institute of Cultural Affairs, Town Meeting Arkansas 78-1010	reel 63	frame 97

Institute of Educational Leadership, Incorporated, 88-30, 1988-1989	reel 1978	frame 108
Iron Mountain/Ozan Inghram	reel 63	
<b>Scope and Contents note</b>		
See Also Ozan Inghram/Iron Mountain.		
Ivers, Cynthia, Professional Services Agreement, 1991-1994	reel 63	frame 135
Izard County Consolidated School District, IZARD County High School, 89-41, 1989-1990	reel 63	frame 251
Jackson County Community and Economic Development Agency, 91-5, 1990-1992	reel 63	frame 266
Jackson, Michael, Professional Services Agreement, 1994	reel 63	frame 330
Jacksonville Care Channel for the Needy, 94-156, 1994-1995	reel 63	frame 337
Jann Greenland Creative Services, Professional Services Agreement, 1989-1994	reel 63	frame 449
Jasper School District, 87-804, 1987-1988	reel 63	frame 377
Jeffery, Phillips, Mosley and Scott, P. A., Professional Services Agreement, 1995	reel 63	frame 398
Jessieville Public Schools, 94-9, 1994	reel 63	frame 407
Jester, Becky, Professional Services Agreement, 1994	reel 63	frame 445
JOBS, Incorporated, 87-763, 1987-1988	reel 63	frame 454
JOBS, Incorporated, 88-43, 1988-1989	reel 63	frame 482
John Brown University, Break the Mold, 92-171, 1992-1993	reel 63	frame 501
John Brown University, Break the Mold, Original Proposal-Grant Agreement, 1993-1999	reel 63	frame 530
<b>Scope and Contents note</b>		
Grant number : 93-248		
John Brown University, Break the Mold, 93-248, Year One-Year Five, 1993-2000	reel 63	frame 736
Johnson County Helping Hands, Incorporated, 93-186, 1993-1994	reel 63	frame 933
Jonesboro Public Schools, 86-682, 1986-1988	reel 63	frame 976
Jonesboro Public Schools, 88-116, 1988-1989	reel 63	frame 997
Jonesboro Public Schools, Alternative School, 90-99, 1990-1991	reel 63	frame 1026
Jonesboro Public Schools, West Elementary School, 91-128, 1991-1992	reel 63	frame 1063

Jonesboro Public Schools, 92-7, 1992-1993	reel 63	frame 1105
Jonesboro Public Schools, 92-179, 1992-1993	reel 63	frame 1144
Junction City Industrial Development Corporation, 96-124, 1996-1998	reel 64	frame 1
Junction City Public Schools, 93-59, 1993-1994	reel 64	frame 106
Junction City Public Schools, 93-179, 1993-1994	reel 64	frame 146
Ken Hubbell and Associates, Professional Services Agreement, 1994-1996	reel 64	frame 189
Kensett Special School District, 88-7, 1988	reel 64	frame 198
Kirby Public Schools, 88-76, 1988-1989	reel 64	frame 212
Kirby Public Schools, 90-83, 1990-1991	reel 64	frame 229
Kirby Public Schools, Kirby Elementary School, 91-122, 1991-1992	reel 64	frame 264
Kirby Public Schools, 92-118, 1992-1993	reel 64	frame 303
Kirby Public Schools, 92-145, 1992-1993	reel 64	frame 338
Kirby Public Schools, 93-190, 1993-1994	reel 64	frame 380
Kirby Public Schools, School Improvement, 93-203, 1993-2000	reel 64	frame 439
Kirby Public Schools, 94-130, 1994-1995	reel 64	frame 644
Kiwanis Activities of Little Rock, Incorporated, Joseph Pfeifer Camp, 83-327, 1983-1984	reel 64	frame 690
Kiwanis Activities of Little Rock, Incorporated, 88-131, 1988-1992	reel 64	frame 715
Kronley, Robert, Professional Services Agreement, 1992	reel 64	frame 795
Kyzer, Marc, Professional Services Agreement, 1991	reel 64	frame 801
Lake Hamilton School District, 92-149, 1992-1994	reel 64	frame 849
Lake View School District, 88-39, 1988-1989	reel 64	frame 890
Lakeside School District, 90-86, 1990-1991	reel 64	frame 906
Lakeside School District, Lakeside High School, 91-7, 1990-1991	reel 64	frame 939
Lakeside School District, 92-26, 1992-1993	reel 64	frame 974
Lakeside School District, 93-5, 1993-1994	reel 64	frame 1013
Lakeside School District, 93-20, 1992-1993	reel 64	frame 1043
Lakeside School District, 93-166, 1993-1994	reel 64	frame 1077
Lakeside School District, 94-7, 1994-1995	reel 64	frame 1123
Lakeside School District, 94-15, 1994		

	reel 64	frame 1143
Lakeside School District, 94-26, 1994	reel 64	frame 1179
Lakeside School District, 94-209, 1994-1995	reel 65	frame 1
Lakeside School District, 94-210, 1994-1995	reel 65	frame 31
Lakeside School District, 95-48, 1995	reel 65	frame 68
Lakeside School District, 95-64, 1995-1996	reel 65	frame 100
Lakeside School District, 95-111, 1995	reel 65	frame 133
Lamar School District, 95-4, 1995	reel 65	frame 160
Lane House, Incorporated, 96-38, 1996-1998	reel 65	frame 205
Laubach Literacy International, 94-44, 1993-1994	reel 65	frame 252
Lavaca Public Schools, 91-64, 1990-1992	reel 65	frame 357
Lavaca Public Schools, 94-139, 1994-1995	reel 65	frame 396
Lawson, Hal, Professional Services Agreement, 1995	reel 65	frame 442
League of Women Voters Education Fund, 85-554, 1985-1987	reel 65	frame 532
League of Women Voters Education Fund, 86-623, 1986-1987	reel 65	frame 560
League of Women Voters Education Fund, 87-704, 1987	reel 65	frame 581
League of Women Voters Education Fund, 93-87, 1992-1994	reel 65	frame 602
League of Women Voters Education Fund, 95-108, 1995-1999	reel 65	frame 698
League of Women Voters of Arkansas, Professional Services Agreement, 1988	reel 65	frame 788
Learning Club of Southwest Arkansas, Incorporated, 89-93, 1989-1992	reel 65	frame 794
Lee County School District, At-Risk, 87-811, 1987-1989	reel 65	frame 852
Lee County School District, 88-119, 1988-1991	reel 65	frame 872
Lee County School District, 95-65, 1995-1996	reel 65	frame 915
Leslie School District, 95-152, 1995-1996	reel 65	frame 961
Lewisville Public Schools, 91-86, 1991-1992	reel 65	frame 996
Life Options Program, 83-371, 1983-1984	reel 65	frame 1042
Lincoln Consolidated Schools, 87-780, 1987-1988	reel 65	frame 1066
Lincoln Consolidated Schools, 92-166, 1992-1993	reel 65	frame 1090
Literacy Council of Jefferson County, 86-637, 1986-1987	reel 65	frame 1119
Literacy Council of Jefferson County, 87-823, 1987-1988	reel 65	frame 1149
Literacy Council of Pulaski County, 89-58, 1989-1992	reel 66	frame 1

Little River County Leadership Council, Incorporated, 91-78, 1991-1993	reel 66	frame 68
Little River County Leadership Council, Incorporated, 91-112, 1991-1992	reel 66	frame 126
Little River County Leadership Council, Incorporated, 92-199, 1992-1993	reel 66	frame 186
Little River County Leadership Council, Incorporated, 94-103, 1994-1995	reel 66	frame 222
Little Rock Chamber Foundation, 93-67, 1993	reel 66	frame 296
The Little Rock Panel, Incorporated, 85-486, 1985-1986	reel 66	frame 313
The Little Rock Panel, Incorporated, 86-625, 1986-1987	reel 66	frame 338
The Little Rock Panel, Incorporated, 86-673, 1986-1987	reel 66	frame 367
Little Rock Panel of American Women, Incorporated, 78-1017, 1978	reel 66	frame 383
Little Rock Panel of American Women, Incorporated, 88-59, 1988-1989	reel 66	frame 392
Little Rock School District, KLRE (FM), 78-1011, 1978	reel 66	frame 413
Little Rock School District, Professional Educators Survey, 78-1012, 1978	reel 66	frame 423
Little Rock School District, Human Relations Team Approach, 'Trainer of Trainers' Workshop, 78-1013, 1978-1979	reel 66	frame 457
Little Rock School District, Teen Pregnancy, 79-1019, 1979	reel 66	frame 467
Little Rock School District, Cable Television, 81-239, 1981-1982	reel 66	frame 476
Little Rock School District, Cable Television, 82-291, 1982	reel 66	frame 487
Little Rock School District, 83-342, 1983-1984	reel 66	frame 504
Little Rock School District, Fair Park Elementary School, 85-522, 1985-1986	reel 66	frame 525
Little Rock School District, King Elementary School, 85-523, 1985-1986	reel 66	frame 543
Little Rock School District, 85-539, 1985-1987	reel 66	frame 579
Little Rock School District, Rightsell Elementary School, 87-741, 1987-1988	reel 66	frame 598
Little Rock School District, Henderson Middle School, 87-750, 1987-1988	reel 66	frame 615
Little Rock School District, International At-Risk Program, 87-781, 1987	reel 66	frame 635
Little Rock School District, Baseline Elementary School, 88-10, 1988-1989	reel 66	frame 647



Little Rock School District, New Futures, 88-31, 1988-1992	reel 66	frame 663
Little Rock School District, Baseline Elementary School, 88-82, 1988-1989	reel 66	frame 821
Little Rock School District, Fulbright Elementary School, 88-115, 1988-1989	reel 66	frame 836
Little Rock School District, Bale Elementary School, 88-129, 1988-1989	reel 66	frame 853
Little Rock School District, Gibbs Magnet School, 88-130, 1988-1989	reel 66	frame 869
Little Rock School District, Central High School, 88-146, 1988-1989	reel 66	frame 879
Little Rock School District, Baseline Elementary School, 89-29, 1989	reel 66	frame 892
Little Rock School District, McClellan High School, 89-44, 1989-1990	reel 66	frame 906
Little Rock School District, Parkview Magnet School, 89-47, 1989	reel 66	frame 921
Little Rock School District, Wilson Elementary School, 89-85, 1989-1990	reel 66	frame 936
Little Rock School District, Forrest Park School, 89-100, 1989-1990	reel 66	frame 954
Little Rock School District, Teachers of Tomorrow, 89-126, 1990	reel 66	frame 969
Little Rock School District, Fair Park Elementary School, 90-45, 1990-1991	reel 66	frame 988
Little Rock School District, Southwest Junior High School, 90-46, 1990-1991	reel 66	frame 1031
Little Rock School District, 90-116, 1990-1991	reel 66	frame 1070
Little Rock School District, Mablevale Junior High School, 91-14, 1989-1992	reel 66	frame 1108
Little Rock School District, Terry Elementary School, 91-18, 1991-1992	reel 66	frame 1149
Little Rock School District, George Washington Carver Magnet School, 91-43, 1991	reel 66	frame 1183
Little Rock School District, Booker T. Washington Magnet School, 91-98, 1991-1992	reel 67	frame 1
Little Rock School District, Cloverdale Elementary School, 92-63, 1991-1993	reel 67	frame 47
Little Rock School District, Rightsell Elementary School, 92-122, 1992-1993	reel 67	frame 83

Little Rock School District, Jefferson Elementary School, 93-52, 1993-1994	reel 67	frame 142
Little Rock School District, Williams Magnet School, 93-170, 1993-1994	reel 67	frame 180
Little Rock School District, Carver Magnet School, 93-171, 1993-1994	reel 67	frame 226
Little Rock School District, Pulaski Heights Elementary School, 93-172, 1993-1994	reel 67	frame 260
Little Rock School District, Jefferson Elementary School, 93-188, 1993-1994	reel 67	frame 296
Little Rock School District, School Improvement, Original Proposal-Grant Agreement, 1993-1998	reel 67	frame 337

### Scope and Contents note

Grant number : 93-248

Little Rock School District, School Improvement, 93-211, Year One-Year Five, 1993-2000	reel 67	frame 529
Little Rock School District, School Improvement, Lakewood Middle School, 93-215, 1993-1996	reel 67	frame 763
Little Rock School District, 94-183, 1994-1995	reel 67	frame 842
Little Rock School District, 94-208, 1994-1996	reel 67	frame 894
Little Rock School District, 95-25, 1995-1996	reel 67	frame 933
Little Rock School District, 95-47, 1995	reel 67	frame 987
Little Rock School District, 95-112, 1995-1996	reel 67	frame 1025
Little Rock School District, 96-52, 1996	reel 67	frame 1058
Little Rock School District, 96-122, 1996	reel 67	frame 1094
Little Rock School District and KLRE (FM), Focus on Energy, 81-1002, 1981	reel 67	frame 1133
LISC, Local Initiatives Support Corporation, 92-41, 1991-1994	reel 68	frame 1
LISC, Local Initiatives Support Corporation, 94-195, 1994-1995	reel 68	frame 213
Lockesburg School District, 94-170, 1994-1995	reel 68	frame 255
Lonoke Public Schools, 87-799, 1987-1988	reel 68	frame 306
Lonoke Public Schools, 88-8, 1988-1989	reel 68	frame 322
Lonoke Public Schools, 88-100, 1988-1989	reel 68	frame 336
Lonoke Public Schools, 92-65, 1991-1994	reel 68	frame 355
Lonoke Public Schools, 95-119, 1995-1996	reel 68	frame 387
Love, Charles, Professional Services Agreement, 1989		

	reel 68	frame 428
Lyon College, Break the Mold, Original Proposal-Grant Agreement, 1993-1998	reel 68	frame 434
<b>Scope and Contents note</b>		
Grant number : 93-247		
Lyon College, Break the Mold, 93-247, Year One-Year Three, 1992-1997	reel 68	frame 630
Lyon College, Break the Mold, 93-247, Year Four-Year Five, 1997-1999	reel 68	frame 740
Magazine School District, Magazine High School, 93-10, 1993-1994	reel 68	frame 924
Magazine School District, Magazine Elementary School, 93-202, 1993-1994	reel 68	frame 956
Magnolia Public Schools, 94-10, 1994	reel 68	frame 1003
Magnolia Public Schools, 95-123, 1995-1996	reel 68	frame 1036
Mallalieu Black Community Developers, Incorporated, 93-227, 1993-1996	reel 68	frame 1081
Malvern Public Schools, 88-159, 1988-1989	reel 69	frame 1
Mammoth Spring School District, 93-63, 1993-1994	reel 69	frame 18
Manpower Demonstration Research Corporation, 83-324, 1983-1984	reel 69	frame 50
Manpower Demonstration Research Corporation/Arkansas Department of Human Services, 84-398, 1984-1985	reel 69	frame 76
Manpower Demonstration Research Corporation, 85-513, 1985-1987	reel 69	frame 106
Marion School District, Phelix Elementary School, 89-18, 1989-1990	reel 69	frame 124
Marion School District, "S.T.A.R.S.", 89-97, 1989-1990	reel 69	frame 141
Marion School District, 90-26, 1990-1991	reel 69	frame 158
Marion School District, 91-87, 1991-1992	reel 69	frame 231
Marion School District, Marion Middle School, 92-91, 1992-1993	reel 69	frame 260
Marshall, Linda, Professional Services Agreement, 1986-1988	reel 69	frame 319
Matrix IV, Incorporated, Professional Services Agreement, 1992-1994	reel 69	frame 331
McGehee School District, 94-11, 1994	reel 69	frame 471
McGuigan, Dermot, Professional Services Agreement, 1981	reel 69	frame 509

McKenzie, Incorporated, Professional Services Agreement, 1981-1987	reel 69	frame 530
McKnight, John, Professional Services Agreement, 1990-1991	reel 69	frame 560
Meadowcreek Project, Incorporated, 80-2003, 1980	reel 69	frame 564
Meadowcreek Project, Incorporated, 81-1002, 1981	reel 69	frame 580
Meadowcreek Project, Incorporated, 82-260, 1982-1983	reel 69	frame 596
Meadowcreek Project, Incorporated, 84-397, 1984-1985	reel 69	frame 623
Meadowcreek Project, Incorporated, 85-481, 1985-1986	reel 69	frame 650
Meadowcreek Project, Incorporated, 86-579, 1986-1987	reel 69	frame 673
Meadowcreek Project, Incorporated, 86-612, 1986-1987	reel 69	frame 698
Meadowcreek Project, Incorporated, 88-44, 1988-1991	reel 69	frame 723
Mena Public Schools, 88-20, 1988-1989	reel 69	frame 839
Meretazon, Ari S., Professional Services Agreement, 1990-1994	reel 69	frame 856
Michelli, Nicholas, Professional Services Agreement, 1996	reel 69	frame 867
Miller, Jerry, Professional Services Agreement, 1988	reel 69	frame 875
Mission Projects, Incorporated, (in behalf of Total Health Services, Incorporated), 80-2038, 1980-1981	reel 69	frame 879
Mississippi Action for Community Education, Blues Festival, 82-280, 1982	reel 69	frame 893
Mississippi County Arkansas Economic Opportunity Commission, Incorporated, Professional Services Agreement, 1994-1997	reel 69	frame 908
Mitchell, James, Professional Services Agreement, 1990	reel 69	frame 948
Mitchell, Ruth, Professional Services Agreement, 1996	reel 69	frame 956
Monticello School District, City Park Elementary School, 89-80, 1989	reel 69	frame 962
Monticello School District, 92-150, 1992-1993	reel 69	frame 975
Monticello School District, 94-61, 1994-1995	reel 69	frame 1015
Monticello School District, 95-153, 1995-1996	reel 69	frame 1052
Moon, Tonya, Professional Services Agreement, 1990	reel 69	frame 1102
Morgan, Mark, Professional Services Agreement, 1990-1994	reel 69	frame 1136
Morris Booker Memorial College, 81-1003, 1981	reel 69	frame 1143
Morris Booker Memorial College, 82-268, 1982-1983	reel 69	frame 1158
Morris Booker Memorial College, 83-325, 1983	reel 69	frame 1172
Morris Booker Memorial College, 83-345, 1983-1984		

	reel 69	frame 1193
Mount Holly Public Schools, 88-108, 1988-1989	reel 69	frame 1216
Mount Saint Mary Academy, 87-746, 1987-1988	reel 69	frame 1235
Mountain Home Public Schools, Mountain Junior High School, 89-8, 1989-1990	reel 69	frame 1254
Mountain Home Public Schools, 94-21, 1994-1995	reel 70	frame 1
Mountain Home Public Schools, 94-83, 1994-1995	reel 70	frame 40
Mountain View School District, 94-143, 1994-1995	reel 70	frame 80
Murfreesboro Community Foundation, 91-136, 1991-1992	reel 70	frame 135
Murfreesboro Public Schools, 88-6, 1988	reel 70	frame 226
Murfreesboro Public Schools, 96-11, 1996	reel 70	frame 243
Murphy, Patrick, Professional Services Agreement, 1990	reel 70	frame 306
Murphy, Sara, Professional Services Agreement, 1983-1992	reel 70	frame 314
Museum of Science and History, Solar Life Celebration, 79-1020, 1979	reel 70	frame 592
My House, Incorporated, 95-187, 1995-2000	reel 70	frame 603
NAACP Fairshare Economic Development Program, National Association for the Advancement of Colored People, 90-130, 1989-1993	reel 70	frame 744
NAACP Legal Defense Fund, Incorporated, National Association for the Advancement of Colored People, 79-1018, 1980	reel 70	frame 861
Nashville Public Schools, 87-802, 1987-1989	reel 70	frame 871
Nashville Public Schools, 87-837, 1987-1988	reel 70	frame 885
Nashville Public Schools, 89-39, 1989	reel 70	frame 900
Nashville Public Schools, 94-173, 1994-1995	reel 70	frame 915
National Association of State Board of Education, 87-762, 1987-1988	reel 70	frame 957
National Conference of Christians and Jews, Incorporated, NCCJ, 90-129, Original Proposal-Year One, 1989-1993	reel 70	frame 986
National Conference of Christians and Jews, Incorporated, NCCJ, 90-129, Year Two-Year Three, 1992-1994	reel 71	frame 1
National Conference of Christians and Jews, Incorporated, NCCJ, Jewish History, 92-86, 1992	reel 71	frame 243
National Conference of Christians and Jews, Incorporated, NCCJ, 93-86, 1993-1996	reel 71	frame 371

The National Faculty, 92-193, Original Proposal-Year One, 1992-1994	reel 71	frame 612
The National Faculty, 92-193, Year Two, 1993-1996	reel 71	frame 859
National Home Caring Council, Home Healthcare, 81-240, 1981	reel 71	frame 1019
National Home Caring Council, Home Healthcare, 82-294, 1982-1984	reel 71	frame 1040
National Urban League, 95-139, 1995-1996	reel 71	frame 1061
National Wildlife Federation, Weyerhaeuser Monitoring, 80-178, 1980-1983	reel 72	frame 1
Native American Coalition of Arkansas, 95-138, 1995-1997	reel 72	frame 88
The Nature Conservancy, "Overflow Bottoms" PRI, 80-3000, 1980-1981	reel 72	frame 165
The Nature Conservancy, Model Land Conservation Program, 81-247, 1981-1983	reel 72	frame 187
Neighborhood Housing Services, Incorporated, 79-1021, 1979-1980	reel 72	frame 213
Nemo Vista Public Schools, Nemo Vista High School, 91-40, 1991-1992	reel 72	frame 244
Nettleton Public Schools, 89-81, 1989-1990	reel 72	frame 280
Nettleton Public Schools, Nettleton High/Interim School, 90-57, 1990-1991	reel 72	frame 299
Nettleton Public Schools, University Heights Elementary School, 91-45, 1991-1992	reel 72	frame 344
Nettleton Public Schools, 91-97, 1991-1992	reel 72	frame 383
Nettleton Public Schools, 92-128, 1992-1995	reel 72	frame 425
Nettleton Public Schools, Fox Meadow Elementary School, 93-14, 1993	reel 72	frame 467
Nettleton Public Schools, Nettleton High School, 93-57, 1993-1994	reel 72	frame 501
Nettleton Public Schools, Fox Meadow Elementary School, 93-140, 1993-1994	reel 72	frame 542
Nettleton Public Schools, Nettleton High School, 93-195, 1993-1994	reel 72	frame 581
Nettleton Public Schools, School Improvement, 93-206, 1993-1999	reel 72	frame 618
Nettleton Public Schools, 94-37, 1993-1994	reel 72	frame 822
Nettleton Public Schools, 94-46, 1994	reel 72	frame 865
Nettleton Public Schools, 94-181, 1994-1995	reel 72	frame 903

Nettleton Public Schools, 95-5, 1995	reel 72	frame 940
Nettleton Public Schools, 95-126, 1995	reel 72	frame 981
Nevada School District, 92-10, 1992	reel 72	frame 1004
Nevada School District, Nevada Elementary School, 93-16, 1992-1993	reel 72	frame 1037
Nevada School District, 95-17, 1994-1996	reel 72	frame 1064
New Earth Health Services, Incorporated, 81-1003, 1981	reel 72	frame 1112
New Horizon Church and Its Ministries, Incorporated, 94-104, 1994-1995	reel 72	frame 1135
New Horizon Church and Its Ministries, Incorporated/New Horizon Development Corporation, 95-83, 1995-1996	reel 73	frame 1
Newark School District, 90-123, 1990-1991	reel 73	frame 94
Newark School District, Oil Trough Elementary School, 93-64, 1993-1994	reel 73	frame 148
Newton County Housing Council, 94-191, 1994-1998	reel 73	frame 184
Newton County Resource Council, NCRC, 89-117, 1989-1993	reel 73	frame 330
Newton County Resource Council, NCRC, 91-153, 1991-1993	reel 73	frame 409
Newton County Resource Council, NCRC, Affordable Housing, 91-163, 1991-1994	reel 73	frame 435
Newton County Resource Council, NCRC, 93-228, Original Proposal-Year One, 1993-1996	reel 73	frame 687
Newton County Resource Council, NCRC, 93-228, Year Two-Year Four, 1994-1998	reel 73	frame 940
NonProfit Resources, Incorporated, Formerly Human Service Providers Association of Arkansas, 84-405, 1984-1985	reel 74	frame 1
NonProfit Resources, Incorporated, 85-480, 1985-1987	reel 74	frame 27
NonProfit Resources, Incorporated, Database, 85-526, 1985-1986	reel 74	frame 47
NonProfit Resources, Incorporated, 86-664, 1986-1988	reel 74	frame 59
NonProfit Resources, Incorporated, 86-706, 1986-1988	reel 74	frame 70
NonProfit Resources, Incorporated, 87-830, 1987-1988	reel 74	frame 97
NonProfit Resources, Incorporated, 87-853, 1988	reel 74	frame 112
NonProfit Resources, Incorporated, 88-138, 1988-1989	reel 74	frame 133
NonProfit Resources, Incorporated, 88-138, Year Three, 1989-1990	reel 74	frame 152
NonProfit Resources, Incorporated, 89-124, 1990-1991	reel 74	frame 163

NonProfit Resources, Incorporated, 90-128, 1985-1991	reel 74	frame 171
NonProfit Resources, Incorporated, 91-158, 1991-1993	reel 74	frame 357
NonProfit Resources, Incorporated/Arkansas Grantseekers Horizon, 91-167, 1989-1992	reel 74	frame 433
NonProfit Resources, Incorporated, Original Proposal-Grant Agreement, 1992-1996	reel 74	frame 496
<b>Scope and Contents note</b>		
Grant number : 92-139		
NonProfit Resources, Incorporated, 92-139, Year One-Year Four, 1992-1998	reel 74	frame 656
NonProfit Resources, Incorporated, 95-171, 1995-1999	reel 74	frame 859
NonProfit Resources, Incorporated, 97-1, 1997-1999	reel 74	frame 1064
NonProfit Resources, Incorporated, Financial Management Workshops, Professional Services Agreement, 1985-1998	reel 75	frame 1
NonProfit Resources, Incorporated, Professional Services Agreement, 1996-1997	reel 75	frame 160
Norfolk Schools, 89-10, 1989	reel 75	frame 226
Norfolk Schools, 90-106, 1990-1991	reel 75	frame 246
North Crossett Elementary School, 85-545, 1985-1986	reel 75	frame 317
North Garland County Youth Center, Incorporated, 93-148, 1993-1994	reel 75	frame 339
North Garland County Youth Center, Incorporated, 95-96, 1995-2000	reel 75	frame 3.84
North Little Rock Public Schools, 85-538, 1985-1986	reel 75	frame 693
North Little Rock Public Schools, Ridgeroad Junior High School, 87-683, 1987	reel 75	frame 708
North Little Rock Public Schools, Indian Hills Elementary School, 87-777, 1987-1988	reel 75	frame 724
North Little Rock Public Schools, Bellwood Elementary School, 88-127, 1988-1989	reel 75	frame 741
North Little Rock Public Schools, West Campus High School, 91-123, 1991-1992	reel 75	frame 767
North Little Rock Public Schools, West Campus High School, 92-109, 1992-1994	reel 75	frame 812
North Little Rock Public Schools, Ridgeroad Junior High School, School Improvement, 93-214, 1993-1995	reel 75	frame 873
North Little Rock Public Schools, Lakewood Middle School, School Improvement, 93-215, 1993-1996	reel 75	frame 960



Northcentral Arkansas Education Service Center, 95-100, 1995-1997	reel 75	frame 1132
Northeast Arkansas Educational Cooperative, 87-846, 1987-1988	reel 75	frame 1210
Northeast Arkansas School District, Baldwin Elementary School, 89-84, 1989-1990	reel 76	frame 1
Northeast Arkansas School District, Baldwin Elementary School, 90-12, 1989-1990	reel 76	frame 19
Northeast Arkansas School District, 92-8, 1991-1992	reel 76	frame 78
Northeast Arkansas School District, 92-164, 1992-1994	reel 76	frame 122
Northeast Arkansas School District, 93-11, 1992-1993	reel 76	frame 178
Northeast Arkansas School District, 93-110, 1993-1994	reel 76	frame 207
Northeast Arkansas School District, 94-126, 1994	reel 76	frame 259
Northside Redevelopment Corporation, Incorporated, 91-108, 1991-1992	reel 76	frame 305
Northside Redevelopment Corporation, Incorporated, 92-202, 1992-1993	reel 76	frame 364
Northwest Arkansas Education Service Cooperative, 95-102, 1995-1998	reel 76	frame 394
Northwest Arkansas Rape Crisis, 93-149, 1993-1994	reel 76	frame 483
Oasis Resources, Incorporated, 92-195, 1992-1995	reel 76	frame 523
Oasis Resources, Incorporated, 93-152, 1993-1994	reel 76	frame 773
Office of Rural Advocacy, Professional Services Agreement, 1993	reel 76	frame 823
Okolona Love Council, 88-103, 1988-1989	reel 76	frame 827
Ola Public Schools, 91-113, 1991-1994	reel 76	frame 845
Ola Public Schools, Ola Elementary School, 91-127, 1991-1992	reel 76	frame 877
Olive Garden Development Corporation, 94-109, 1994-1996	reel 76	frame 926
Ouachita Baptist University, Red River Region, 83-319, 1983	reel 76	frame 968
Ouachita County Youth Aid, Incorporated, 93-89, 1993-1994	reel 76	frame 996
Ouachita County Youth Aid, Incorporated, 94-199, Original Proposal-Year One, 1994-1998	reel 77	frame 1
Ouachita County Youth Aid, Incorporated, 94-199, Year Two-Year Three, 1995-1998	reel 77	frame 327
Ouachita Girl Scout Council, Original Proposal-Grant Agreement, 1992-1994	reel 77	frame 576

**Scope and Contents note**

Grant number : 92-191

Ouachita Girl Scout Council, 92-191, Year One-Year Three, 1992-1996	reel 77	frame 713
Ouachita Public Schools, Ouachita Elementary School, 91-42, 1991-1992	reel 77	frame 815
Ouachita Public Schools, 95-57, 1994-1995	reel 77	frame 848
Our Lady of Good Counsel, 89-38, 1989-1990	reel 77	frame 892
Our Lady of Holy Souls, 88-77, 1988-1989	reel 77	frame 910
Ozan Inghram/Iron Mountain, Neighborhood Development Corporation, 92-43, 1992-1993	reel 77	frame 941
Ozan Inghram/Iron Mountain, Neighborhood Development Corporation, 93-150, 1993-1994	reel 77	frame 1058
Ozan Inghram/Iron Mountain, Neighborhood Development Corporation, 93-235, 1993-1998	reel 78	frame 1
Ozark Action, Incorporated, 78-1016, 1978	reel 78	frame 246
Ozark Action, Incorporated, 78-1017, 1978	reel 78	frame 254
Ozark Alternative Crop Education Training and Promotion Association, 92-133, 1992-1993	reel 78	frame 264
Ozark Foothills Resource Conservation and Development Project, Incorporated, 93-232, 1993-1994	reel 78	frame 325
Ozark Foothills Resource Conservation and Development Project, Incorporated, 94-155, 1994-1995	reel 78	frame 383
Ozark Institute, 86-663, 1986-1988	reel 78	frame 420
Ozark Legal Services, Incorporated, Videotapes, 80-180, 1980-1986	reel 78	frame 447
Ozark Legal Services, Incorporated, Ozark Elders, 80-2035, 1980-1981	reel 78	frame 470
Ozark Recycling Enterprise, Incorporated, 90-61, 1990-1991	reel 78	frame 484
Ozark Recycling Enterprise, Incorporated, Professional Services Agreement, 1989-1990	reel 78	frame 812
Ozark Regional Land Trust, 87-738, 1987-1988	reel 78	frame 820
Ozark Regional Land Trust, 88-54, 1988-1992	reel 78	frame 840
Ozark Returned Peace Corps Volunteers, 1991-1992	reel 78	frame 912
Ozarks Unlimited Resources Cooperative, 85-514, 1985-1986	reel 78	frame 935
Palestine-Wheatley School District, 93-55, 1993-1994	reel 78	frame 952

Pangburn Public Schools, Pangburn Elementary School, 91-38, 1991-1992	reel 78	frame 1004
Pankey Community Improvement Association, Incorporated, 93-159, 1993-1994	reel 78	frame 1046
The Parent Center, 84-412, 1984-1985	reel 78	frame 1083
The Parent Center, 85-507, 1985-1986	reel 78	frame 1124
The Parent Center, 86-686, 1986-1988	reel 78	frame 1150
Paris Public Schools, 92-205, 1992-1994	reel 78	frame 1173
Paris Public Schools, 93-49, 1993-1994	reel 79	frame 1
Paris Public Schools, 93-106, 1993-1996	reel 79	frame 46
Paris Public Schools, 94-16, 1994-1995	reel 79	frame 92
Parkers Chapel School District, 94-135, 1994-1995	reel 79	frame 128
Parkin Public Schools, 93-167, 1993-1994	reel 79	frame 173
Partnership of the Future, Incorporated, 86-689, 1986-1988	reel 79	frame 224
Pathfinder Schools, Incorporated, 82-269, 1982	reel 79	frame 249
Pea Ridge Schools, 88-99, 1988-1989	reel 79	frame 279
Pea Ridge Schools, 88-158, 1988-1989	reel 79	frame 294
Pea Ridge Schools, 89-49, 1989-1990	reel 79	frame 306
Peace Links Worldwide, Incorporated, 83-340, 1983-1984	reel 79	frame 321
Peace Links Worldwide, Incorporated, 84-423, 1984-1985	reel 79	frame 348
Peace Links Worldwide, Incorporated, 85-506, 1985-1986	reel 79	frame 377
Peace Links Worldwide, Incorporated, 86-622, 1986-1987	reel 79	frame 411
Peace Links Worldwide, Incorporated, 87-786, 1987-1988	reel 79	frame 438
Peace Links Worldwide, Incorporated, 88-83, 1988-1989	reel 79	frame 469
PEDCO, Incorporated, Presbyterian Economic Development Corporation, Incorporated, Minority Business, 79-1022, 1979-1980	reel 79	frame 490
PEDCO, Incorporated, Presbyterian Economic Development Corporation, Incorporated, 80-2036, 1980-1982	reel 79	frame 522
The People's Institute for Survival and Beyond, Professional Services Agreement, 1993	reel 79	frame 550
The People's Institute for Survival and Beyond, Professional Services Agreement, 1994-1995	reel 79	frame 554
Perryville Public Schools, 86-639, 1986-1987	reel 79	frame 569
Perryville Public Schools, Gifted and Talented, 87-818, 1987-1988	reel 79	frame 598

Perryville Public Schools, 88-123, 1988-1990	reel 79	frame 622
Person to Person Citizen Advocacy, Incorporated, 94-67, 1994-1998	reel 79	frame 646
Philander Smith College, 84-464, 1985-1986	reel 79	frame 704
Philander Smith College, 85-560, 1986	reel 79	frame 748
Philander Smith College, 86-702, 1986-1987	reel 79	frame 781
Philander Smith College, PRI Loan, 91-130, 1991-1993	reel 79	frame 828
Pine Bluff Downtown Development, Incorporated, 92-196, 1992-1994	reel 79	frame 963
Pine Bluff Public Schools, 87-775, 1987-1988	reel 79	frame 1010
Pine Bluff Public Schools, Pine Bluff High School, 90-17, 1990-1991	reel 79	frame 1026
Pine Bluff Public Schools, Indiana Street School, 90-44, 1990-1991	reel 79	frame 1075
Pine Bluff Public Schools, Carver Elementary School, 91-26, 1991-1992	reel 79	frame 1129
Pine Bluff Public Schools, Greenville Elementary School, 91-41, 1991-1992	reel 79	frame 1166
Pine Bluff Public Schools, 91-85, 1991-1992	reel 79	frame 1202
Pine Bluff Public Schools, Southeast Junior High School, 91-124, 1991-1992	reel 80	frame 1
Pine Bluff Public Schools, 93-12, 1993	reel 80	frame 40
Pine Bluff Public Schools, George Washington Carver Elementary School, 94-89, 1994-1997	reel 80	frame 84
Pine Bluff Public Schools, 95-60, 1995-1996	reel 80	frame 229
Pitts, Barbara A., Professional Services Agreement, 1993-1994	reel 80	frame 282
Plainview-Rover School System, 89-69, 1989-1990	reel 80	frame 336
Plainview-Rover School System, 89-94, 1989-1990	reel 80	frame 354
Plainview-Rover School System, Plainview Elementary School, 91-23, 1991	reel 80	frame 370
Planned Parenthood of Arkansas, Incorporated, 88-137, 1988-1990	reel 80	frame 408
Policy Studies Associates, Incorporated, Professional Services Agreement, 1994	reel 80	frame 441
Policy Studies Associates, Incorporated, Professional Services Agreement, 1994-1995	reel 80	frame 675
Pope County 4-H Association, Incorporated, 94-159, 1994-1995	reel 80	frame 730

Potts, Jody, Professional Services Agreement, 1987	reel 80	frame 776
Pottsville School District, 95-63, 1995-1996	reel 80	frame 781
Powers, Jennifer, Professional Services Agreement, 1987	reel 80	frame 822
Prairie Grove Public Schools, 93-66, 1993-1994	reel 80	frame 829
Prentice, Mary, Professional Services Agreement, 1994-1997	reel 80	frame 857
Presbyterian Urban Council, Peace Links Worldwide, Incorporated, 82-281, 1982	reel 80	frame 895
Presbytery of Arkansas, Agriculture Marketing, 86-605, 1986-1987	reel 80	frame 916
Presbytery of Arkansas, 87-790, 1987-1989	reel 80	frame 943
Prescott School District, 94-171, 1994-1995	reel 80	frame 964
Prescott School District, 95-158, 1995	reel 80	frame 1023
PTA Arkansas Congress, 93-75, 1993-1994	reel 80	frame 1061
PTA Arkansas Congress, 94-164, 1994-1997	reel 81	frame 1
Pulaski Activity Center, 86-607, 1986-1987	reel 81	frame 158
Pulaski Activity Center, 87-784, 1987-1988	reel 81	frame 188
Pulaski Activity Center, 88-89, 1988-1990	reel 81	frame 209
Pulaski County, Professional Services Agreement, 1994	reel 81	frame 225
Pulaski County Circuit Court, 92-25, 1992	reel 81	frame 248
Pulaski County Council on Aging, Incorporated, 80-2037, 1980-1982	reel 81	frame 343
Pulaski County Educational Cooperative, 86-675, 1986-1987	reel 81	frame 365
Pulaski County Educational Cooperative, 87-760, 1987-1988	reel 81	frame 392
Pulaski County Quorum Court, Pulaski County Quorum Reorganization Study, 91-137, 1991-1992	reel 81	frame 408
Pulaski County Special School District, 85-565, 1985-1987	reel 81	frame 664
Pulaski County Special School District, 86-714, 1986-1988	reel 81	frame 695
Pulaski County Special School District, 87-692, 1987	reel 81	frame 725
Pulaski County Special School District, 87-778, 1987-1988	reel 81	frame 737
Pulaski County Special School District, 88-21, 1988-1989	reel 81	frame 756
Pulaski County Special School District, 88-160, 1988-1989	reel 81	frame 770
Pulaski County Special School District, Sylvan Hills High School, 89-17, 1989-1990	reel 81	frame 784
Pulaski County Special School District, Jacksonville Junior High School, 89-96, 1989	reel 81	frame 801

Pulaski County Special School District, Bates Elementary School, 89-111, 1989-1990	reel 81	frame 815
Pulaski County Special School District, Teachers of Tomorrow, 89-114, 1989-1990	reel 81	frame 829
Pulaski County Special School District, Project YES (Youth Experiencing Success), Original Proposal-Grant Agreement, 1990-1992	reel 81	frame 848
<b>Scope and Contents note</b>		
Grant number : 90-60		
Pulaski County Special School District, Project YES (Youth Experiencing Success), 90-60, Year One-Year Two, 1990-1992	reel 81	frame 958
Pulaski County Special School District, 90-119, 1990-1999	reel 81	frame 1225
Pulaski County Special School District, Jacksonville Elementary School, 91-39, 1991-1992	reel 82	frame 1
Pulaski County Special School District, Robinson Elementary School, 91-120, 1991-1992	reel 82	frame 83
Pulaski County Special School District, Scott Elementary School, 92-117, 1992-1993	reel 82	frame 125
Pulaski County Special School District, Sylvan Hills Junior High School, 92-119, 1992-1993	reel 82	frame 155
Pulaski County Special School District, 93-130, 1993-1994	reel 82	frame 207
Pulaski County Special School District, Tri-Level Early Intervention Project, 94-90, 1994-1997	reel 82	frame 245
Pulaski County Special School District, 95-129, 1995-1996	reel 82	frame 416
Pulaski County Special School District, 95-157, 1995-1996	reel 82	frame 646
Pulaski County Special School District, 95-159, 1995	reel 82	frame 505
Pulaski Heights Presbyterian Church, Home Grown Markets, Incorporated, 84-395, 1984-1985	reel 82	frame 548
Pulaski Heights Presbyterian Church, 85-482, 1985-1986	reel 82	frame 582
Pulaski Heights Presbyterian Church, Agricultural Marketing Project of Arkansas, 85-498, 1985-1986	reel 82	frame 612
Pulaski Metropolitan Initiative, Incorporated, 94-108, 1994-1998	reel 82	frame 641
Pulaski Metropolitan Initiative, Incorporated, 95-166, 1995-1999	reel 82	frame 875
Quapaw Quarter Association, Incorporated, 95-178, 1995-2000	reel 83	frame 1
RAIN Arkansas, Incorporated, Regional AIDS Interfaith Network of Arkansas, 92-115, Original Proposal-Year One, 1992-1994	reel 83	frame 269

RAIN Arkansas, Incorporated, Regional AIDS Interfaith Network of Arkansas, 92-115, Year Two-Year Three, 1993-1995	reel 83	frame 480
Ray Scott Associates, Professional Services Agreement, 1995	reel 83	frame 740
Ray, Victor K., Professional Services Agreement, 1988-1990	reel 83	frame 768
Reading is Fundamental, Incorporated, 1991 Payout, 90-36, 1989-1991	reel 83	frame 851
Regenerative Agriculture Association, 86-688, 1986-1988	reel 83	frame 1089
Research and Development of Environmentally Safe Agriculture Insecticides and Related Products, Incorporated, @ 1-92-144, 1991-1993	reel 84	frame 1
Resource Development Council, Professional Services Agreement, 1981	reel 84	frame 208
Resource Policy Center, Thayer School of Engineering, Dartmouth College, Resource Inventory, 82-270, 1982-1983	reel 84	frame 322
Rison Public Schools, 92-21, 1992	reel 84	frame 367
Rodale Institute, 87-770, 1987-1988	reel 84	frame 412
Rogers Public Schools, 88-25, 1988-1989	reel 84	frame 433
Rogers Public Schools, 88-162, 1988-1989	reel 84	frame 450
Rogers Public Schools, Elmwood Junior High School, 89-78, 1989-1990	reel 84	frame 468
Rogers Public Schools, 91-13, 1991-1992	reel 84	frame 489
Rural Special Schools, 87-740, 1987	reel 84	frame 589
Russellville Public Schools, 85-563, 1985-1987	reel 84	frame 606
Russellville Public Schools, 86-666, 1986-1987	reel 84	frame 633
Russellville Public Schools, 87-852, 1987-1988	reel 84	frame 653
Russellville Public Schools, 88-117, 1988-1989	reel 84	frame 673
Russellville Public Schools, Center Valley Elementary School, 89-76, 1989-1990	reel 84	frame 692
Russellville Public Schools, 89-123, 1989-1990	reel 84	frame 708
Russellville Public Schools, 90-85, 1990-1991	reel 84	frame 729
Russellville Public Schools, 93-143, 1993-1996	reel 84	frame 779
Russellville Public Schools, 95-62, 1995-1996	reel 84	frame 977
Russellville Public Schools, 95-70, 1995-1996	reel 84	frame 1025
Sandflat-Glendale Neighborhood Development Corporation, 89-116, 1989-1991	reel 84	frame 1082

Sandflat-Glendale Neighborhood Development Corporation, 92-132, 1992-1993	reel 84	frame 1099
Sandflat-Glendale Neighborhood Development Corporation, 93-234, 1993-1998	reel 85	frame 1
Sanctuary, Incorporated, 92-135, 1992-1993	reel 85	frame 221
Sanctuary, Incorporated, 93-233, 1993-1995	reel 85	frame 270
Saratoga Schools, 93-115, 1993-1994	reel 85	frame 310
Save the Children, Incorporated, Arkansas Delta Project, 78-1006, 1978-1979	reel 85	frame 343
Save the Children, Incorporated, Arkansas Delta Project, 79-1011, 1979-1980	reel 85	frame 354
Save the Children, Incorporated, Arkansas Delta Project, 80-1006, 1980-1981	reel 85	frame 370
Save the Children, Incorporated, Arkansas Delta Project, Livestock Needs Assessment, 82-302, 1982	reel 85	frame 402
Save the Children, Incorporated, 88-55, 1988-1992	reel 85	frame 417
SCAN Volunteer Services, Incorporated, Suspect Child Abuse and Neglect, 94-95, Original Proposal, 1994-1996	reel 85	frame 466
SCAN Volunteer Services, Incorporated, Suspect Child Abuse and Neglect, Grant Agreement-Year Three, 1994-1998	reel 85	frame 798
<b>Scope and Contents note</b>		
Grant number : 94-95		
Schriver, Joe M., Professional Services Agreement, 1987	reel 85	frame 1002
S.C.O.R.E., Service Corps of Retired Executives, 84-421, 1984-1985	reel 85	frame 1007
Scotland School District, 95-151, 1995-1996	reel 85	frame 1022
Searcy County Airport Commission, 89-108, 1989-1990	reel 85	frame 1063
Searcy County Airport Commission, 90-103, Original Proposal-Year One, 1990-1992	reel 85	frame 1086
Searcy County Airport Commission, 90-103, Year Two-Year Three, 1991-1993	reel 86	frame 1
Searcy County Airport Commission, 93-229, 1993-1997	reel 86	frame 157
Searcy Public Schools, 88-9, 1988-1989	reel 86	frame 276
Searcy Public Schools, 93-13, 1992-1993	reel 86	frame 291
Second Genesis Ministries, Incorporated, 91-106, 1991-1992	reel 86	frame 353
Second Genesis Ministries, Incorporated, 92-204, 1992-1993	reel 86	frame 423



Second Genesis Ministries, Incorporated, 94-93, 1994-1999	reel 86	frame 472
SEED, Incorporated, Social, Economic and Educational Development, 92-44, 1992-1994	reel 86	frame 776
SEED, Incorporated, Social, Economic and Educational Development, 94-153, 1994-1995	reel 86	frame 830
Shelby, Linda, Professional Services Agreement, 1987	reel 86	frame 889
The Shepard's Ranch, Incorporated, 95-92, Original Proposal-Year One, 1994-1997	reel 86	frame 894
The Shepard's Ranch, Incorporated, 95-92, Year Two-Year Three, 1996-1998	reel 86	frame 1047
Sheridan School District, 85-500, 1985-1986	reel 87	frame 1
Sheridan School District, 86-687, 1986-1987	reel 87	frame 33
Sheridan School District, Expansion, 86-692, 1986-1988	reel 87	frame 61
Sheridan School District, 87-813, 1987-1988	reel 87	frame 95
Sheridan School District, 88-122, 1988-1990	reel 87	frame 118
Sheridan School District, Reconstructing, 89-82, 1989-1990	reel 87	frame 151
Sheridan School District, East End Elementary School, 90-70, 1990-1991	reel 87	frame 180
Sheridan School District, Sheridan Junior High School, 91-134, 1991	reel 87	frame 289
Sheridan School District, 93-8, 1993	reel 87	frame 318
Sheridan School District, 93-184, 1993-1994	reel 87	frame 403
Shirley Community Service and Development Corporation, 89-64, 1989-1990	reel 87	frame 459
Shirley Community Service and Development Corporation, 90-132, 1990-1993	reel 87	frame 483
Shirley Community Service and Development Corporation, 93-82, Original Proposal-Year One, 1993-1996	reel 87	frame 667
Shirley Community Service and Development Corporation, 93-82, Year Two-Year Four, 1995-1998	reel 88	frame 1
Shirley Public Schools, School Improvement, 94-22, 1993-1994	reel 88	frame 328
Shorter College, 93-230, 1993-1995	reel 88	frame 379
Siloam Springs Public Schools, Siloam Springs High School, 89-46, 1989-1990	reel 88	frame 444
Siloam Springs Public Schools, Southside Elementary School, 90-10, 1990	reel 88	frame 464
Siloam Springs Public Schools, 91-69, 1991	reel 88	frame 519

Siloam Springs Public Schools, 92-56, 1992	reel 88	frame 567
Siloam Springs Public Schools, 93-117, 1993-1994	reel 88	frame 599
Siloam Springs Public Schools, 93-219, 1993-1995	reel 88	frame 750
Sims, Opal, Professional Services Agreement, 1990	reel 88	frame 692
Skipping Rock Studios, Professional Services Agreement, 1990	reel 88	frame 696
Sloan-Hendrix School District, 92-96, 1992-1993	reel 88	frame 701
Solutions, Incorporated, An Arkansas Foundation Against Hunger and Homelessness, 89-91, 1989-1990	reel 88	frame 882
South Central Arkansas Community Action Authority, Professional Services Agreement, 1994	reel 88	frame 917
South Central Service Cooperative, 94-86, 1994-1995	reel 88	frame 956
South Central Service Cooperative, 95-106, 1995-1996	reel 88	frame 991
South Conway County School District, 87-801, 1987-1988	reel 88	frame 1050
South Conway County School District, 94-50, 1993-1994	reel 88	frame 1068
South Conway County School District, 95-24, 1995	reel 88	frame 1115
South Little Rock Community Development Corporation, 96-75, 1996-1998	reel 88	frame 1144
South Mississippi County School District, 95-156, 1995-1996	reel 88	frame 1210
Southeast Arkansas Community Action Corporation, 91-135, 1991-1993	reel 89	frame 1
Southeast Arkansas Community Development Corporation, 91-79, 1991-1993	reel 89	frame 101
Southeast Arkansas Community Development Corporation, 92-188, Original Proposal, 1992-1995	reel 89	frame 213
Southeast Arkansas Community Development Corporation, Grant Agreement-Year Three, 1992-1996	reel 89	frame 420

### Scope and Contents note

Grant number : 92-188

Southeast Arkansas Educational Cooperative, 81-248, 1981-1982	reel 89	frame 650
Southeast Arkansas Educational Cooperative, 82-299, 1982-1983	reel 89	frame 670
Southeast Arkansas Educational Cooperative, 83-369, 1983-1984	reel 89	frame 687
Southeast Arkansas Educational Cooperative, 90-9, 1989-1991	reel 89	frame 709

Southeast Arkansas Educational Service Cooperative, 92-40, 1992-1993	reel 89	frame 754
Southern Arkansas University, Mentor Directory, 78-1018, 1978-1979	reel 89	frame 800
Southern Arkansas University, 83-393, 1983-1984	reel 89	frame 816
Southern Arkansas University, 84-424, 1984-1985	reel 89	frame 831
Southern Arkansas University, 85-533, 1985-1987	reel 89	frame 859
Southern Arkansas University, Small Farm and Technical Assistance, 86-690, 1986-1987	reel 89	frame 888
Southern Arkansas University, 87-826, 1987-1988	reel 89	frame 918
Southern Arkansas University, 90-101, 1990-1992	reel 89	frame 938
The Southern Center for International Studies, 93-39, 1992-1993	reel 90	frame 1
Southern Coalition for Educational Equity, Incorporated, 83-337, 1983-1984	reel 90	frame 170
Southern Coalition for Educational Equity, Incorporated, 84-420, 1984-1985	reel 90	frame 206
Southern Development Center, Professional Services Agreement, 1995	reel 90	frame 231
Southern Education Foundation, Incorporated, 88-35, 1988-1992	reel 90	frame 239
Southern Growth Policies Board, 89-99, 1989-1991	reel 90	frame 309
Southern Regional Council, 95-144, 1995-1998	reel 90	frame 393
Southside School District-Batesville, Southside Elementary School, 93-22, 1993	reel 90	frame 403
Southside School District-Batesville, Southside Middle School, 93-176, 1993-1994	reel 90	frame 439
Southwest Arkansas Community Development Corporation, 90-124, 1990-1991	reel 90	frame 475
Southwest Arkansas Community Development Corporation, 91-113, 1991-1993	reel 90	frame 537
Southwest Arkansas Community Development Corporation, 92-189, 1992-1996	reel 90	frame 593
Southwest Arkansas Community Development Corporation, 92-201, 1992-1994	reel 90	frame 797
Southwest Arkansas Educational Cooperative, 81-249, 1981-1982	reel 90	frame 826
Southwest Arkansas Educational Cooperative, 82-300, 1982	reel 90	frame 841
Southwest Arkansas Educational Cooperative, 83-364, 1983-1984	reel 90	frame 858

Spa Area Independent Living Services, SAILS, 92-134, 1992-1993	reel 90	frame 879
Sparkman Public Schools, 85-537, 1985-1986	reel 90	frame 930
The Special Learning Center, 79-1023, 1979-1980	reel 90	frame 956
Springdale Public Schools, 86-574, 1986-1987	reel 90	frame 993
Springdale Public Schools, 86-581, 1986-1987	reel 90	frame 1034
Springdale Public Schools, 87-758, 1987-1988	reel 90	frame 1059
Springdale Public Schools, 88-40, 1988-1989	reel 90	frame 1080
Springdale Public Schools, 90-120, 1990-1991	reel 90	frame 1104
Springdale Public Schools, 91-30, 1991-1992	reel 90	frame 1142
Springdale Public Schools, Elmdale Elementary School, 91-118, 1991-1992	reel 90	frame 1189
Springdale Public Schools, Walker Elementary School, 92-73, 1992	reel 90	frame 1223
Springdale Public Schools, 93-120, 1993-1994	reel 91	frame 1
Springdale Public Schools, 93-129, 1993-1994	reel 91	frame 42
Springdale Public Schools, School Improvement, 93-205, 1993-1995	reel 91	frame 74
Springdale Public Schools, 95-12, 1995	reel 91	frame 187
St. Luke's AME Church, 84-433, 1984-1986	reel 91	frame 226
St. Michael's Catholic School, 92-78, 1992-1993	reel 91	frame 250
Star City Public Schools, 92-102, 1992-1993	reel 91	frame 302
Star City Public Schools, Jimmy Brown Elementary School, 92-116, 1992-1993	reel 91	frame 365
Star City Public Schools, 95-120, 1995	reel 91	frame 400
State of Arkansas, The Commission for Arkansas' Future, 90-69, 1990-1992	reel 91	frame 425
State of Arkansas, Department of Energy, i.e. Associates, Incorporated, Methanogenesis Project, 80-132, 1980-1981	reel 91	frame 542
State of Arkansas, Department of Local Services, 78-1000, 1978	reel 91	frame 563
Stephens Consulting, Incorporated, Professional Services Agreement, 1995-1996	reel 91	frame 573
Stewart, Andrea, Professional Services Agreement, 1988-1995	reel 91	frame 583
Stewart, H. D., Professional Services Agreement, 1985	reel 91	frame 604
Stewart, Jo Ann, Professional Services Agreement, 1985	reel 91	frame 612
Stuttgart Public Schools, 87-694, 1987		

	reel 91	frame 624
Stuttgart Public Schools, Stuttgart Junior High School, 91-16, 1990-1992	reel 91	frame 647
Stuttgart Public Schools, 92-64, 1992-1994	reel 91	frame 675
Stuttgart Public Schools, Stuttgart Junior High School, 93-15, 1992-1993	reel 91	frame 707
Sulphur Rock School District, Sulphur Rock High School, 93-118, 1993-1994	reel 91	frame 738
Swafford, Wyonne, Professional Services Agreement, 1996	reel 91	frame 768
Synergetics Development, Incorporated, Professional Services Agreement, 1988-1992	reel 91	frame 782
Synergetics Development, Incorporated, Professional Services Agreement, 1991-1993	reel 92	frame 1
Synergetics, Incorporated, Professional Services Agreement, 1992-1996	reel 92	frame 246
Teach for America, 91-145, 1991-1994	reel 92	frame 322
Texarkana Arkansas School District, 84-427, 1984-1986	reel 92	frame 501
Texarkana Arkansas School District, 88-114, 1988-1989	reel 92	frame 529
Texarkana Arkansas School District, 89-107, 1989-1990	reel 92	frame 548
Texarkana Arkansas School District, 92-28, 1992-1993	reel 92	frame 568
Texarkana Arkansas School District, 92-54, 1991-1994	reel 92	frame 621
Texarkana Arkansas School District, Fairview Elementary School, 92-76, 1992-1993	reel 92	frame 673
Texarkana Arkansas School District, Trice Elementary School, 92-154, 1992-1993	reel 92	frame 719
Texarkana Arkansas School District, Washington Intermediate School, 93-65, 1993-1994	reel 92	frame 772
Texarkana Arkansas School District, 95-14, 1994-1995	reel 92	frame 810
Texarkana Regional Arts and Humanities Council, Incorporated, TRAHC, 89-121, 1989-1992	reel 92	frame 850
Tri-County Community Center, Incorporated, 89-65, 1989-1990	reel 92	frame 913
Tri-County Community Center, Incorporated, 90-95, 1990-1991	reel 92	frame 935
Tri-County Community Center, Incorporated, 91-155, 1991-1993	reel 92	frame 975
Trumann Public Schools, 88-567, 1986	reel 92	frame 997
Trumann Public Schools, Cedar Park Elementary School, 95-160, 1995-1996	reel 92	frame 1015

Tuckerman Public Schools, 88-161, 1988-1989	reel 92	frame 1075
Union Medical Center, Wellness, 2nd Year, 81-254, 1982-1985	reel 92	frame 1093
Union Medical Center, Wellness, 81-1004, 1981-1982	reel 92	frame 1114
The United Methodist Church, 83-387, 1983-1984	reel 92	frame 1140
The United Methodist Church, Board of Global Ministries, 84-452, 1984-1985	reel 92	frame 1162
The United Methodist Church, Social Justice, 85-558, 1985-1987	reel 92	frame 1192
United Methodist Homeless Housing Mission. Incorporated, 96-4, 1995-1996	reel 93	frame 1
United Way of Pulaski County, Incorporated, Project Circuit Rider, Planning, 81-1006, 1981-1982	reel 93	frame 88
United Way of Pulaski County, Incorporated, Project Circuit Rider, 2nd Year, 81-271, 1982-1983	reel 93	frame 126
United Way of Pulaski County, Incorporated, Project Circuit Rider, 1st Year, 82-289, 1982-1983	reel 93	frame 146
United Way of Pulaski County, Incorporated, Project Circuit Rider, 2nd Year, 83-343, 1983-1985	reel 93	frame 170
United Way of Pulaski County, Incorporated, 84-400, 1984-1985	reel 93	frame 202
United Way of Union County, Incorporated, 95-181, 1995-1999	reel 93	frame 246
Universal Housing Development Corporation, Affordable Housing, 91-162, 1991-1997	reel 93	frame 530
University of Arkansas, College of Education, Sensitivity Training, Collective Bargaining with the Public Sector, 78-999, 1978	reel 93	frame 700
University of Arkansas, Department of Mathematics and Office of Student Affairs, Women in Math, 78-1019, 1978-1979	reel 93	frame 709
University of Arkansas, College of Education, Institute for Employment Relations, 79-999, 1979	reel 93	frame 761
University of Arkansas, College of Engineering, Minority Engineering, 80-2038, 1980	reel 93	frame 769
University of Arkansas, Rural Schools, 80-2039, 1980	reel 93	frame 814
University of Arkansas, Rural Schools, 81-256, 1981-1982	reel 93	frame 833
University of Arkansas, College of Engineering, Minority Engineering, 81-1007, 1981	reel 93	frame 848
University of Arkansas, College of Engineering, Minority Engineering, 82-286, 1982-1983	reel 93	frame 902
University of Arkansas, Rural Schools, 82-316, 1983-1984	reel 93	frame 917

University of Arkansas, Division of Agriculture, 83-361, 1983-1985	reel 93	frame 941
University of Arkansas, Agriculture Engineering Department, 84-458, 1984-1987	reel 93	frame 993
University of Arkansas, Agriculture Development, 87-767, 1987-1988	reel 93	frame 1033
University of Arkansas, Technology Transfer, 87-840, 1987-1988	reel 93	frame 1049
University of Arkansas, Farming Systems and Sustainable Agriculture, 88-56, 1988-1989	reel 93	frame 1063
University of Arkansas, Agriculture and Rural Policy, 88-58, 1988-1992	reel 93	frame 1081
University of Arkansas, Arkansas Association of Educational Administrators, AAEA, 88-155, 1988-1990	reel 93	frame 1118
University of Arkansas, KUAF Radio, 92-87, 1992-1994	reel 93	frame 1152
University of Arkansas at Fayetteville, Break the Mold, 92-172, 1992-1994	reel 94	frame 1
University of Arkansas at Fayetteville, Break the Mold, 93-242, Original Proposal, 1993-1998	reel 94	frame 50
University of Arkansas at Fayetteville, Break the Mold, Grant Agreement-Year One, 1992-1998	reel 94	frame 375
<b>Scope and Contents note</b>		
Grant number : 93-242		
University of Arkansas at Fayetteville, Break the Mold, 93-242, Year Two-Year Four, 1995-1997	reel 94	frame 604
University of Arkansas at Fayetteville, Break the Mold, 93-242, Year Five, 1997-2000	reel 94	frame 838
University of Arkansas at Little Rock, UALR, "Money Magic" Video Series, 78-1021, 1978-1981	reel 94	frame 1075
University of Arkansas at Little Rock, UALR, School of Law, Minority Scholarships, 80-2040, 1981	reel 95	frame 1
University of Arkansas at Little Rock, UALR, University for Youth, 82-272, 1982-1983	reel 95	frame 32
University of Arkansas at Little Rock, UALR, Local Government Institute, 82-284, 1982-1983	reel 95	frame 122
University of Arkansas at Little Rock, UALR, School of Law, Minority Scholarships, 82-288, 1982-1983	reel 95	frame 182
University of Arkansas at Little Rock, UALR, University for Youth, 83-329, 1983	reel 95	frame 225

University of Arkansas at Little Rock, UALR, Gifted Math, 83-330, 1983	reel 95	frame 256
University of Arkansas at Little Rock, UALR, Engineering Technology, 83-334, 1983-1984	reel 95	frame 286
University of Arkansas at Little Rock, UALR, Local Government Institute, 83-348, 1983-1984	reel 95	frame 303
University of Arkansas at Little Rock, UALR, Prehistoric Indian Rock Art, 83-350, 1983-1984	reel 95	frame 324
University of Arkansas at Little Rock, UALR, University for Youth, 83-354, 1983-1984	reel 95	frame 343
University of Arkansas at Little Rock, UALR, Arkansas Issues 1983, 83-362, 1983-1984	reel 95	frame 381
University of Arkansas at Little Rock, UALR, Minority Lawyers, 83-364, 1983-1984	reel 95	frame 396
University of Arkansas at Little Rock, UALR, Gifted Math, 83-382, 1983-1985	reel 95	frame 418
University of Arkansas at Little Rock, UALR, Conference on Prehistoric Indian Art, 83-384, 1984	reel 95	frame 451
University of Arkansas at Little Rock, UALR, International Education, 84-409, 1984-1985	reel 95	frame 469
University of Arkansas at Little Rock, UALR, Local Government Institute, 84-414, 1984-1986	reel 95	frame 494
University of Arkansas at Little Rock, UALR, Indian Rock Art, 84-419, 1984-1986	reel 95	frame 529
University of Arkansas at Little Rock, UALR, University for Youth, 84-428, 1984-1985	reel 95	frame 557
University of Arkansas at Little Rock, UALR, Minority Lawyers, 84-438, 1984-1985	reel 95	frame 581
University of Arkansas at Little Rock, UALR, Land Stewardship Project, 84-445, 1984-1987	reel 95	frame 599
University of Arkansas at Little Rock, UALR, Gifted Math, 85-511, 1985-1986	reel 95	frame 623
University of Arkansas at Little Rock, UALR, School of Law, 85-534, 1985-1986	reel 95	frame 652
University of Arkansas at Little Rock, UALR, Abstract, 85-556, 1985-1987	reel 95	frame 670
University of Arkansas at Little Rock, UALR, International Studies, 86-610, 1986-1987	reel 95	frame 699
University of Arkansas at Little Rock, UALR, Gifted Math, 86-621, 1986-1987	reel 95	frame 723



University of Arkansas at Little Rock, UALR, Land Stewardship Project, 86-677, 1986-1988	reel 95	frame 755
University of Arkansas at Little Rock, UALR, School of Law, 86-683, 1986-1987	reel 95	frame 789
University of Arkansas at Little Rock, UALR, International Center, 86-704, 1986-1988	reel 95	frame 815
University of Arkansas at Little Rock, UALR, Land Stewardship Project, 87-756, 1987-1988	reel 95	frame 841
University of Arkansas at Little Rock, UALR, School of Law, Minority Lawyers, 87-768, 1987-1988	reel 95	frame 859
University of Arkansas at Little Rock, UALR, International Studies, 87-787, 1987-1988	reel 95	frame 875
University of Arkansas at Little Rock, UALR, School of Law, Minority Lawyers, 87-825, 1987-1988	reel 95	frame 892
University of Arkansas at Little Rock, UALR, Arkansas International Center, 87-847, 1987-1989	reel 95	frame 906
University of Arkansas at Little Rock, UALR, Land Stewardship Project, 88-27, 1988-1992	reel 95	frame 927
University of Arkansas at Little Rock, UALR, School of Law, 88-86, 1988-1989	reel 95	frame 946
University of Arkansas at Little Rock, UALR, School of Law, 88-87, 1988-1992	reel 95	frame 960
University of Arkansas at Little Rock, UALR, Reading Recovery, 90-35, Original Proposal-Year Two, 1990-1992	reel 95	frame 1018
University of Arkansas at Little Rock, UALR, Reading Recovery, 90-35, Year Three, 1992-1994	reel 96	frame 1
University of Arkansas at Little Rock, UALR, Children's Center, 92-162, 1992-1993	reel 96	frame 210
University of Arkansas at Little Rock, UALR, Break the Mold, 92-169, 1992-1994	reel 96	frame 251
University of Arkansas at Little Rock, UALR, Reading Recovery, 93-218, 1992-1995	reel 96	frame 279
University of Arkansas at Little Rock, UALR, UALR 2000, 93-241, 1993-1996	reel 96	frame 574
University of Arkansas at Little Rock, UALR, Break the Mold, 93-246, Original Proposal, 1993-1998	reel 96	frame 799
University of Arkansas at Little Rock, UALR, Break the Mold, Grant Agreement-Year Three, 1993-1998	reel 96	frame 1051

**Scope and Contents note**

Grant number : 93-246

University of Arkansas at Little Rock, UALR, Break the Mold, 93-246, Year Four-Year Five, 1995-1999	reel 97	frame 1
University of Arkansas at Little Rock, UALR, KLRE/KUAR, 95-95, 1995-1998	reel 97	frame 139
University of Arkansas at Little Rock, UALR, Professional Services Agreement, 1995	reel 97	frame 285
University of Arkansas for Medical Sciences, UAMS, Department of Pediatrics, Low Birth Weight Infants, 79-1025, 1979-1982	reel 97	frame 298
University of Arkansas for Medical Sciences, UAMS, Little Rock Public Schools, Chronobiology Project, 79-1026, 1979-1982	reel 97	frame 369
University of Arkansas for Medical Sciences, UAMS, Nutrition Project, 80-138, 1980-1982	reel 97	frame 441
University of Arkansas for Medical Sciences, UAMS, Division of Medical Humanities, Medical Ethics Project, 82-259, 1982-1983	reel 97	frame 536
University of Arkansas for Medical Sciences, UAMS, 83-379, 1983-1984	reel 97	frame 698
University of Arkansas for Medical Sciences, UAMS, 84-451, 1984-1986	reel 97	frame 721
University of Arkansas for Medical Sciences, UAMS, 87-777, 1987-1989	reel 97	frame 744
University of Arkansas for Medical Sciences, UAMS, Minority Student and Recruitment Retention, 88-168, 1988-1992	reel 97	frame 762
University of Arkansas at Monticello, UAM, 90-125, 1990-1992	reel 97	frame 837
University of Arkansas at Monticello, UAM, Break the Mold, 92-177, 1992-1993	reel 97	frame 921
University of Arkansas at Monticello, UAM, Break the Mold, 93-251, 1993-1994	reel 97	frame 961
University of Arkansas Monticello Foundation Fund, UAM, Acid Rain, 85-528, 1985-1987	reel 97	frame 1058
University of Arkansas at Pine Bluff, UAPB, Wiley Branton Scholarship, 89-50, 1989	reel 97	frame 1078
University of Arkansas at Pine Bluff, UAPB, Wiley Branton Scholarship, 89-118, 1989-1991	reel 97	frame 1087
University of Arkansas at Pine Bluff, UAPB, Teachers of Tomorrow, 91-143, 1991-1993	reel 98	frame 1

University of Arkansas at Pine Bluff, UAPB, Break the Mold, 92-170, 1992-1994	reel 98	frame 146
University of Arkansas at Pine Bluff, UAPB, Minority Recruitment and Retention Project, Original Proposal-Grant Agreement, 1992-1996	reel 98	frame 170
<b>Scope and Contents note</b>		
Grant number : 92-194		
University of Arkansas at Pine Bluff, UAPB, Minority Recruitment and Retention Project, 92-194, Year One, 1992-1994	reel 98	frame 345
University of Arkansas at Pine Bluff, UAPB, Minority Recruitment and Retention Project, 92-194, Year Two-Year Three, 1993-1997	reel 98	frame 576
University of Arkansas at Pine Bluff, UAPB, Break the Mold, 93-243, Original Proposal-Year One, 1993-1998	reel 98	frame 864
University of Arkansas at Pine Bluff, UAPB, Break the Mold, 93-243, Year Two, 1994-1995	reel 99	frame 1
University of Arkansas at Pine Bluff, UAPB, Break the Mold, 93-243, Year Three-Year Five, 1995-2000	reel 99	frame 489
University of Arkansas at Pine Bluff, UAPB, UABP/AM&N Alumni Association, Incorporated, 95-32, 1995-1996	reel 99	frame 785
University of Arkansas at Pine Bluff, UAPB, Professional Services Agreement, 1989-1990	reel 99	frame 843
University of Arkansas Foundation, Incorporated, Computer Controlled Courses, 83-332, 1983	reel 99	frame 852
University of Arkansas Foundation, Incorporated, 83-380, 1983-1985	reel 99	frame 871
University of Arkansas Foundation, Incorporated, 84-422, 1984-1986	reel 99	frame 903
University of Arkansas Foundation, Incorporated, 84-454, 1984-1986	reel 99	frame 949
University of Arkansas Foundation, Incorporated, 85-510, 1985-1986	reel 99	frame 975
University of Arkansas Foundation, Incorporated, Lead AR, 87-766, 1987-1988	reel 99	frame 1008
University of Arkansas Foundation, Incorporated, Lead AR, 88-57, 1988-1989	reel 99	frame 1025
University of Arkansas Foundation, Incorporated, Lead AR Program, 89-98, 1992-1998	reel 99	frame 1047
University of Arkansas Foundation, Incorporated, Leadership, 90-40, 1990-1991	reel 99	frame 1114

University of Arkansas Foundation, Incorporated, Leadership, 91-74, Original Proposal-Year One, 1991-1992	reel 100	frame 1
University of Arkansas Foundation, Incorporated, Leadership, 91-74, Year Two, 1992-1993	reel 100	frame 257
University of Arkansas Foundation, Incorporated, Middle Level Education, 92-85, Original Proposal-Year One, 1992-1994	reel 100	frame 430
University of Arkansas Foundation, Incorporated, Middle Level Education, 92-85, Year Two-Year Three, 1993-1997	reel 100	frame 661
University of Arkansas Foundation, Incorporated, KUAF Public Affairs Division, 93-90, 1993-1996	reel 100	frame 839
University of Arkansas Foundation, Incorporated, TCOC, Training Community Organizations for Change, 93-93, 1993-1994	reel 101	frame 1
University of Arkansas Foundation, Incorporated, TCOC, Training Community Organizations for Change, 94-98, Original Proposal-Year One, 1994-1997	reel 101	frame 352
University of Arkansas Foundation, Incorporated, TCOC, Training Community Organizations for Change, 94-98, Year Two-Year Three, 1995-1997	reel 101	frame 644
University of Arkansas Foundation, Incorporated, TCOC, Training Community Organizations for Change, 94-98, Year Four-Year Five, 1997-1999	reel 101	frame 879
University of Arkansas Foundation, Incorporated, Middle Level Education, 95-183, 1995-1996	reel 101	frame 1085
University of Arkansas Foundation System, 88-61, 1988-1992	reel 102	frame 1
University of Central Arkansas, Distance Education, 90-100, 1990-1993	reel 102	frame 68
University of Central Arkansas, Break the Mold, 92-178, 1992-1993	reel 102	frame 135
University of Central Arkansas, Break the Mold, 93-245, 1993-2000	reel 102	frame 163
University of Central Arkansas Foundation, Incorporated, 89-88, 1989-1990	reel 102	frame 368
University of North Carolina, 89-120, 1989-1990	reel 102	frame 389
Urban League of Arkansas, Incorporated, Research, 87-789, 1987-1988	reel 102	frame 413
Urban League of Greater Arkansas, Incorporated, Affordable Housing, 91-166, 1991-1995	reel 102	frame 427
Urban League of Greater Little Rock, Incorporated, GYST House, 78-1020, 1978-1979	reel 102	frame 642
Urban League of Greater Little Rock, Incorporated, 86-684, 1986-1988	reel 102	frame 679

Urban League of Greater Little Rock, Incorporated, Black Leadership, 87-765, 1987-1988	reel 102	frame 722
Valley Springs School District, Valley Springs Elementary School, 88-72, 1988-1989	reel 102	frame 742
Valley Springs School District, 92-14, 1992-1993	reel 102	frame 762
Valley Springs School District, 95-3, 1995	reel 102	frame 804
Van Buren Public Schools, 86-566, 1986	reel 102	frame 836
Venture Resources, Incorporated, Professional Services Agreement, 1992	reel 102	frame 854
Ventures in Education, 94-203, 1994-1997	reel 102	frame 923
Vilonia Public Schools, Vilonia Elementary School, 91-10, 1990-1991	reel 103	frame 1
Vilonia Public Schools, Vilonia Elementary School, 91-48, 1991	reel 103	frame 71
Vilonia Public Schools, 93-4, 1992-1993	reel 103	frame 105
Viola Public Schools, 89-15, 1989-1990	reel 103	frame 130
Violet Hill School District, 81-241, 1981-1982	reel 103	frame 145
Volunteers of America, Incorporated, 93-79, 1993-1995	reel 103	frame 179
Volunteers of America, Incorporated, 95-140, 1995-1996	reel 103	frame 354
Voter Education Project, Incorporated, 84-401, 1984-1985	reel 103	frame 429
Voter Education Project, Incorporated, 85-474, 1985-1987	reel 103	frame 479
Waldron Public Schools, 93-111, 1993-1994	reel 103	frame 492
Walnut Ridge School District, 85-543, 1985-1986	reel 103	frame 544
Warren Public Schools, 87-776, 1987-1988	reel 103	frame 562
Washington County Juvenile Court, 88-36, 1988-1990	reel 103	frame 579
Watershed Human and Community Development Agency, Incorporated, 89-72, 1989-1990	reel 103	frame 614
Watson Chapel Schools, Coleman Elementary School, 89-51, 1989-1990	reel 103	frame 639
Watson Chapel Schools, Edgewood Elementary School, 91-8, 1990-1991	reel 103	frame 651
Watson Chapel Schools, 91-89, 1991-1992	reel 103	frame 699
Watson Chapel Schools, Edgewood Elementary School, 92-57, 1992-1993	reel 103	frame 734
Watson Chapel Schools, Owen Elementary School, 92-121, 1992-1993	reel 103	frame 776
Watson Chapel Schools, 93-18, 1992-1993	reel 103	frame 808

Watson Chapel Schools, 95-154, 1995-1996	reel 103	frame 858
Watson Chapel Schools, 96-12, 1996	reel 103	frame 899
Weiner Public Schools, 89-104, 1989-1990	reel 103	frame 939
Weiner Public Schools, 92-58, 1992-1993	reel 103	frame 961
Weiner Public Schools, 93-163, 1993-1994	reel 103	frame 1011
Weiner Public Schools, School Improvement, 93-204, Original Proposal-Year One, 1993-1997	reel 104	frame 1
Weiner Public Schools, School Improvement, 93-204, Year Two-Year Five, 1995-2000	reel 104	frame 212
Weiner Public Schools, 95-130, 1995-1996	reel 104	frame 357
West, George, Professional Services Agreement, 1987	reel 104	frame 401
West Fork Public Schools, 85-524, 1985-1987	reel 104	frame 410
West Memphis School District, 95-18, 1995-1996	reel 104	frame 430
Western Arkansas Educational Cooperative, 85-501, 1985-1986	reel 104	frame 482
Western Arkansas Educational Cooperative, 86-619, 1986-1987	reel 104	frame 513
Western Arkansas Educational Cooperative, 88-124, 1988-1990	reel 104	frame 536
Western Arkansas Educational Cooperative, 89-28, 1989-1992	reel 104	frame 553
Western Arkansas Educational Cooperative, 95-103, 1995-1997	reel 104	frame 619
Western Yell County Schools, Western Yell Elementary School, 91-129, 1991-1992	reel 104	frame 716
Westside Consolidated School District, Westside Elementary School, 91-126, 1991-1992	reel 104	frame 754
Westside Consolidated School District, 92-101, 1992-1993	reel 104	frame 792
Westside Consolidated School District, 93-56, 1993-1994	reel 104	frame 831
Westside Consolidated School District, 93-134, 1993-1994	reel 104	frame 872
Westside Consolidated School District, 93-189, 1993-1994	reel 104	frame 903
Westside Consolidated School District, 95-114, 1995-1997	reel 104	frame 931
White County Central Schools, 92-112, 1992-1994	reel 104	frame 959
White County Central Schools, 93-17, 1993-1994	reel 104	frame 991
White Hall School District, 96-8, 1996	reel 104	frame 1031
Wilbur D. Mills Education Cooperative, 90-117, 1990-1991	reel 104	frame 1066
William Allen, Incorporated, Professional Services Agreement, 1982	reel 104	frame 1100
Williams Consulting, Professional Services Agreement, 1994-1995	reel 104	frame 1106

Williams, Dianne, Professional Services Agreement, 1988	reel 104	frame 1135
Wilowe Institute, 83-383, 1983-1985	reel 104	frame 1140
Wilowe Institute, 84-457, 1984-1987	reel 104	frame 1168
Wilowe Institute, 85-542, 1985-1987	reel 104	frame 1191
Wilowe Institute, 86-679, 1986-1988	reel 104	frame 1221
Wilowe Institute, 87-764, 1987-1988	reel 105	frame 1
Wilowe Institute, 88-84, 1988-1989	reel 105	frame 20
Wilowe Institute, 91-144, 1991-1994	reel 105	frame 33
Wilowe Institute, Professional Services Agreement, 1991	reel 105	frame 132
Winrock International Institute for Agricultural Development, 85-540, 1985-1986	reel 105	frame 139
Winrock International Institute for Agricultural Development, 86-695, 1986-1987	reel 105	frame 178
Winrock International Institute for Agricultural Development, 87-754, 1987-1989	reel 105	frame 211
Winrock International Institute for Agricultural Development, Professional Services Agreement, 1993	reel 105	frame 234
Winrock International Livestock Research and Training Center, Incorporated, Documentary on Arkansas Agriculture, 79-1027, 1978-1980	reel 105	frame 266
Winrock International Livestock Research and Training Center, Incorporated, Solar Energy Efficiency Project, 80-2041, 1980-1981	reel 105	frame 333
Winrock International Livestock Research and Training Center, Incorporated, Arkansas Central States Dairy Goat Marketing Cooperative, 80-2042, 1980-1984	reel 105	frame 371
Winrock International Livestock Research and Training Center, Incorporated, Arkansas Central States Dairy Goat Marketing Cooperative, 1980-1981	reel 105	frame 399
<b>Scope and Contents note</b>		
Implementation Grant, 80-2043		
Winrock International Livestock Research and Training Center, Incorporated, Family Farm Development Network, 81-257, 1981-1983	reel 105	frame 472
Winrock International Livestock Research and Training Center, Incorporated, Solar Energy Efficiency Project, 81-2041, 1981	reel 105	frame 537
Witts Springs School District, 88-12, 1988-1989	reel 105	frame 581
Wolfe-Kelly Community Development Council, Incorporated, 86-697, 1986-1988	reel 105	frame 599

Women's Educational Development Institute, 80-2045, 1980	reel 105	frame 624
Women's Project, Social Justice, 86-703, 1986-1987	reel 105	frame 657
Women's Project, 88-45, 1988-1991	reel 105	frame 685
The Woodstock Institute, Professional Services Agreement, 1985	reel 105	frame 743
Word Wrights, Professional Services Agreement, 1988	reel 105	frame 749
Wright, Clarence, Professional Services Agreement, 1991-1993	reel 105	frame 760
Wynne Public Schools, 93-168, 1993-1994	reel 105	frame 818
YARN, Incorporated, Youth Advocate and Resources Network, 92-69, 1992-1993	reel 105	frame 866
Yellville Summit Public Schools, Learning Partners, 87-772, 1987-1988	reel 105	frame 938
Yellville Summit Public Schools, 88-37, 1988	reel 105	frame 959
York-Hannover Case Study, Professional Services Agreement, 1984	reel 105	frame 972
YMCA of Metro Little Rock, Young Mens Christian Association, 93-91, 1993-1997	reel 106	frame 1
Youth Home, Incorporated, Arkansas Community Foundation, Mary F. McLeod Memorial Endowment, 77-1001, 1977-1978	reel 106	frame 246
Youth Home, Incorporated, 95-177, Original Proposal-Year One, 1995-1997	reel 106	frame 275
Youth Home, Incorporated, 95-177, Year Two-Year Three, 1997-1998	reel 106	frame 431
Unknown, (Morrison Associates), Youth Program Development, 78-1022, 1978-1979	reel 106	frame 511
Active Proposal File	reel 106	frame 566
Correspondence, 1993-March 1994	reel 106	frame 588
Correspondence, 1994 April-June	reel 106	frame 701
Correspondence, 1994 July-September	reel 106	frame 915
Correspondence, 1994 October-December	reel 107	frame 1
Correspondence, 1995 January-March	reel 107	frame 202
Correspondence, 1995 April-June	reel 107	frame 309
Correspondence, 1995 July-September	reel 107	frame 420
Correspondence, 1995 October-December	reel 107	frame 636
Correspondence, 1996 January-February	reel 107	frame 668
Correspondence, 1996 March-April	reel 107	frame 890



Correspondence, 1996 May-June	reel 107	frame 1050
Correspondence, 1996 July-August	reel 108	frame 1
Correspondence, 1996 September-October	reel 108	frame 206
Correspondence, 1996 November-1997 February 1997 December	reel 108	frame 422
Declination Letters, A-H, 1995-1996	reel 108	frame 622
Declination Letters, I-Y, 1996	reel 108	frame 845
Newspaper Clippings, 1997	reel 109	frame 1
<b>Discretionary Grants, 1977-1990</b>	reel 109	frame 105
<b>Scope and Contents note</b>		
Small grants made by the Winthrop Rockefeller Foundation.		
<b>Title/Description</b>	<b>Instances</b>	
Discretionary Grants, 1978 1977-1979	reel 109	frame 106
Discretionary Grants, 1979 1979	reel 109	frame 163
Discretionary Grants, 1980 1979-1982	reel 109	frame 180
Discretionary Grants, 1982 1982-1984	reel 109	frame 415
Discretionary Grants 1983, A-E, 1983-1984	reel 109	frame 588
Discretionary Grants 1983, H-Q, 1982-1984	reel 109	frame 692
Discretionary Grants 1983, S-Y, 1983-1985	reel 109	frame 799
Discretionary Grants 1984, A, 1984-1985	reel 109	frame 965
Discretionary Grants 1984, C-I, 1984-1985	reel 109	frame 1126
Discretionary Grants 1984, L-P, 1984-1985	reel 110	frame 1
Discretionary Grants 1984, S-W, 1984-1985	reel 110	frame 130
Discretionary Grants 1985, A, 1985-1986	reel 110	frame 204
Discretionary Grants 1985, C-L, 1985-1987	reel 110	frame 301
Discretionary Grants 1985, N-U, 1985-1987	reel 110	frame 434
Discretionary Grants 1986, A, 1986-1987	reel 110	frame 554
Discretionary Grants 1986, B-O, 1986-1987	reel 110	frame 696
Discretionary Grants 1986, P-U, 1986-1988	reel 110	frame 852
Discretionary Grants 1987, A-D, 1987-1988	reel 110	frame 1015
Discretionary Grants 1987, J-O, 1987-1988	reel 111	frame 1
Discretionary Grants 1987, P-W, 1987-1988	reel 111	frame 84

Discretionary Grants 1988, A-C, 1988-1989	reel 111	frame 162
Discretionary Grants 1988, E-O, 1988-1990	reel 111	frame 292
Discretionary Grants 1988, P-W, 1987-1990	reel 111	frame 465
Discretionary Grants 1989, A-D, 1989-1990	reel 111	frame 623
Discretionary Grants 1989, H-N, 1989-1990	reel 111	frame 772
Discretionary Grants 1989, P-U, 1989-1990	reel 111	frame 928
<b>Education Mini-Grants, 1995-1998</b>	reel 111	frame 1042
<b>Scope and Contents note</b>		
All the grants pertain to education.		
<b>Title/Description</b>	<b>Instances</b>	
Acorn School District, 97-4, 1997-1998	reel 111	frame 1043
Arkadelphia School District, 96-24, 1995-1996	reel 111	frame 1076
Atkins School District, 96-65, 1996-1997	reel 111	frame 1105
Beebe School District, 96-71, 1996-1998	reel 111	frame 1147
Benton School District, 96-54, 1996-1997	reel 111	frame 1184
Bentonville Public Schools, 96-144, 1996-1997	reel 112	frame 1
Blytheville Public Schools, 97-2, 1997-1998	reel 112	frame 55
Brinkley School District, 96-136, 1996-1997	reel 112	frame 90
Cave City School District, 96-10, 1996-1997	reel 112	frame 125
Conway Public Schools, 96-14, 1995-1996	reel 112	frame 168
Conway Public Schools, 96-18, 1995-1997	reel 112	frame 208
Conway Public Schools, 96-119, 1996-1997	reel 112	frame 259
Cotter School District, 97-16, 1997-1998	reel 112	frame 296
Danville Public Schools, 96-100, 1996-1997	reel 112	frame 326
Deer Consolidated Schools, 96-27, 1995-1996	reel 112	frame 358
Delta Special School District, 96-142, 1996-1997	reel 112	frame 387
El Dorado School District, 96-55, 1996	reel 112	frame 423
Elaine School District, 97-9, 1997-1998	reel 112	frame 456
Emerson School District, 97-14, 1996-1998	reel 112	frame 482
Farmington School District, 96-134, 1996-1997	reel 112	frame 514
Fayetteville Public Schools, 96-137, 1996-1997	reel 112	frame 552

Fayetteville Public Schools, 96-154, 1996-1997	reel 112	frame 590
Fort Smith Public Schools, 96-10, 1996	reel 112	frame 642
Fort Smith Public Schools, 96-22, 1996-1997	reel 112	frame 669
Fort Smith Public Schools, 97-12, 1997	reel 112	frame 703
Fountain Lake Public Schools, 96-102, 1996-1997	reel 112	frame 731
Genoa Central School District, 96-113, 1996-1997	reel 112	frame 767
Green Forest School District, 96-88, 1996-1997	reel 112	frame 799
Hamburg School District, 96-58, 1996-1997	reel 112	frame 871
Harrisburg School District, 96-138, 1996-1997	reel 112	frame 913
Harrison School District, 96-57, 1996-1997	reel 112	frame 951
Horatio School District, 96-53, 1996	reel 112	frame 1003
Jonesboro Public Schools, 96-19, 1995-1996	reel 112	frame 1047
Kirby School District, 96-139, 1996-1997	reel 112	frame 1096
Lake Hamilton School District, 96-77, 1996-1997	reel 112	frame 1145
Lakeside School District, 96-62, 1996-1997	reel 112	frame 1217
Lakeside School District, 96-111, 1996-1997	reel 113	frame 1
Leslie School District, 96-16, 1995-1996	reel 113	frame 34
Little Rock School District, 96-151, 1996-1997	reel 113	frame 75
Little Rock School District, 97-11, 1997-1998	reel 113	frame 118
Lonoke School District, 96-145, 1996-1997	reel 113	frame 149
Magnet Cove Public Schools, 97-11, 1996-1997	reel 113	frame 181
Malvern Special School District, 96-60, 1996-1997	reel 113	frame 226
Monticello School District, 96-95, 1996-1997	reel 113	frame 299
Monticello School District, 96-141, 1996	reel 113	frame 346
Nettleton School District, 96-135, 1996-1997	reel 113	frame 381
Nettleton School District, 96-152, 1996-1997	reel 113	frame 421
North Little Rock School District, 96-76, 1995-1997	reel 113	frame 459
North Little Rock School District, 96-103, 1996-1997	reel 113	frame 504
Oden School District, 96-33, 1995-1996	reel 113	frame 542
Osceola School District, 96-143, 1996-1997	reel 113	frame 581
Paragould School District, Formerly Known As North East Arkansas School District, 96-96, 1995-1997	reel 113	frame 622
Pine Bluff School District, 96-93, 1996		

	reel 113	frame 680
Plainview-Rover Public Schools, 96-105, 1996-1997	reel 113	frame 717
Pulaski County Special School District, 96-15, 1995-1997	reel 113	frame 754
Pulaski County Special School District, 96-109, 1996-1997	reel 113	frame 798
Pulaski County Special School District, 96-140, 1996-1997	reel 113	frame 839
Pulaski County Special School District, 97-3, 1996-1998	reel 113	frame 881
Rogers Public Schools, 96-23, 1996	reel 113	frame 913
Russellville School District, 96-13, 1995-1996	reel 113	frame 942
Russellville School District, 96-120, 1996-1997	reel 113	frame 987
Russellville School District, 97-8, 1997-1998	reel 113	frame 1026
Searcy School District, 96-101, 1996	reel 113	frame 1053
Sloan-Hendrix School District, 96-112, 1996-1997	reel 113	frame 1084
South Mississippi County School District, 96-72, 1996	reel 113	frame 1120
South side School District, 96-56, 1996	reel 113	frame 1161
Springdale School District, 96-59, 1996-1997	reel 114	frame 1
Springdale School District, 96-129, 1996-1997	reel 114	frame 47
Stamps Public Schools, 96-147, 1996-1997	reel 114	frame 91
Star City Public Schools, 96-110, 1996-1997	reel 114	frame 134
Texarkana Arkansas School District, 97-6, 1997	reel 114	frame 165
Viola Public Schools, 96-61, 1995-1997	reel 114	frame 189
Walnut Ridge Public Schools, 97-15, 1997	reel 114	frame 234
Watson Chapel School District, 97-5, 1996-1997	reel 114	frame 268
West Memphis School District, 96-114, 1995-1997	reel 114	frame 293
Westside Consolidated School District, 96-149, 1996-1997	reel 114	frame 333
Wickes Public Schools, 97-13, 1996-1998	reel 114	frame 365
Witts Springs School District, 96-104, 1996-1997	reel 114	frame 388
Yellville-Summit School District, 97-10, 1997-1998	reel 114	frame 432
<b>Arkansas Issues, 1972-1996</b>	reel 114	frame 457
<b>Scope and Contents note</b>		
Addressing issues that are important to Arkansas in the areas of: economics, education, and the environment.		
<b>Title/Description</b>	<b>Instances</b>	

Economics	reel 114	frame 458
An Agenda for Tax Reform in Arkansas, 1983-1987	reel 114	frame 459
Arkansas State Revenues, 1988-1989	reel 114	frame 729
Capital Availability, 1983-1984	reel 114	frame 845
Coalition for Fair Taxes, 1983	reel 115	frame 1
Community Planning/Enterprise Zones, 1994	reel 115	frame 14
Corporate Income Tax, 1983-1984	reel 115	frame 40
Economic Development in Arkansas, Obstacles, 1982-1987	reel 115	frame 260
Economic Development in Arkansas, 12 Obstacles, Legislative Report Card, 1979-1987	reel 115	frame 542
Financial Management Workshops, 1994-1995	reel 115	frame 730
Housing: Low to Moderate Income, Piece of the Puzzle, Phase I and II, 1989-1991	reel 115	frame 734
Housing Initiative Documentation, 1992-1994	reel 115	frame 748
Increasing Philanthropy in Arkansas, 1990-1991	reel 115	frame 764
Individual Income Tax, 1984-1985	reel 115	frame 778
Leveraging Study, Original Proposal-, 1990-1991, 1991	reel 115	frame 882
Leveraging Study, Report-Study, 1991	reel 116	frame 1
Micro-Loan Funds, Vendor Invoice Files, 1995-1996	reel 116	frame 264
Property Tax, 1983-1984	reel 116	frame 273
Property Taxes in Arkansas, 1981-1982	reel 116	frame 592
Rural Profile of Arkansas, 1993	reel 116	frame 694
Sales Tax, 1983-1985	reel 116	frame 701
Sales Tax, Newspaper Clippings-Vendor Invoice Files, 1983-1986	reel 116	frame 841
Second Look, Housing Arena, Vendor Invoice Files, 1996	reel 116	frame 1118
Severance Tax, 1982-1983	reel 117	frame 1
Tax Overview, 1982-1985	reel 117	frame 95
Tax Overview, Newspaper Clippings, 1983-1987	reel 117	frame 307
Education	reel 117	frame 408
AETN Foundation, Arkansas Educational Telecommunication Network Foundation, Arkansas/Soviet Union Student Exchange, 1989	reel 117	frame 409
Amendment 59, 1990-1992	reel 117	frame 414

Arkansas School Reform Study, Professional Services Agreement, 1987 1985-1987	reel 117	frame 597
Arkansas School Reform Study, 1988-1989	reel 117	frame 860
Arkansas School Reform Study, Financial, 1986-1988	reel 118	frame 1
Arkansas School Reform Study, Newspaper Clippings-Vendor Invoice Files, 1985-1989	reel 118	frame 211
Break the Mold, Process Observer, 1993-1995	reel 118	frame 275
Education Accountability, 1977	reel 118	frame 322
Edukashun: The High Cost of Failure, 1988-1989	reel 118	frame 347
Higher Education, Vendor Invoice Files, 1983	reel 118	frame 523
Home Visit Manual, 1992-1994	reel 118	frame 531
The Institute for Educational Leadership, Incorporated, 1988	reel 118	frame 540
MPT Study, Maximum Performance Test, 1990-1992	reel 118	frame 630
Parent Involvement Manual, 1993-1994	reel 118	frame 1001
Public Education: Second Crisis in Little Rock, Follow-up, Conflict Resolution, 1987-1989	reel 119	frame 1
Reading Recovery, Vendor Invoice Files, 1994	reel 119	frame 344
Video Distribution, Edutainment, Vendor Invoice Files, 1988-1993	reel 119	frame 347
Environment	reel 119	frame 366
AETN, Arkansas Educational Television Network, Land Use Documentary, 1984-1986	reel 119	frame 367
An Assessment of the Production Base for Agriculture: Environmental Quality, Natural Resources and Technologies for the 21st Century, 1993	reel 119	frame 459
The Conservation Foundation, Vendor Invoice Files, 1984	reel 119	frame 461
Environmental and Economic Concerns Involving Forest Practices, 1980-1989	reel 119	frame 465
Groundwater, Vendor Invoice Files, 1985	reel 119	frame 810
Land as a Resource, 1983-1984	reel 119	frame 817
Land Use Issues, 1972-1987	reel 119	frame 837
Recycling and Integrated Solid Waste Management, 1987-1991	reel 120	frame 1
Toxic Substances, Original Proposal-Professional Services Agreement, 1984-1986	reel 120	frame 357
Toxic Substances, 1984-1987	reel 120	frame 492

Wastewater Management, University of Arkansas, 1975-1980	reel 120	frame 641
Water for Arkansas' Future, 1983-1989	reel 120	frame 975
Water Issues, Grant Agreement-Correspondence, 1980-1983	reel 121	frame 1
Water Issues, Newspaper Clippings-Vendor Invoice Files, 1981-1988	reel 121	frame 462
General Issues	reel 121	frame 618
Arkansas Corporate Connection, 1994-1995	reel 121	frame 619
Arkansas Citizen Participation, Vendor Invoice Files, 1994	reel 121	frame 622
Arkansas Report, KTHV, Television Pilot, 1986-1988	reel 121	frame 637
At-Risk Program Documentation, 1992-1995	reel 121	frame 947
Block Grant Planning, Vendor Invoice Files, 1995	reel 121	frame 956
Champion Communities Initiative, Vendor Invoice Files, 1996	reel 121	frame 959
Commercial National Bank, 1976	reel 121	frame 963
Communication Workshops for Non-Profits, 1989-1992	reel 121	frame 974
Community Development Corporation Handbook and Network Development, 1992-1994	reel 122	frame 1
The Dream... The Mission, Video of the Winthrop Rockefeller Foundation, Vendor Invoice Files, 1990-1991	reel 122	frame 12
Five Year Plan, Issued Grants, 1981	reel 122	frame 20
Grassroots Leadership, 1988-1994	reel 122	frame 229
International Community Leadership Conference, Reunion, Vendor Invoice Files, 1983-1984	reel 122	frame 512
Martin Luther King, Jr. Commission, Vendor Invoice Files, 1994	reel 122	frame 524
Political Activity of the Religious Right in the 's, Vendor Invoice Files, 1990-1994	reel 122	frame 536
Public Policy Associates, Incorporated, Chapter 1 Study, Vendor Invoice Files, 1995	reel 122	frame 538
Public Policy Conference, 1983	reel 122	frame 546
Public Policy Journal, 1980-1982	reel 122	frame 795
Public Servant/Positive Role Model, 1993	reel 122	frame 922
Transition of the Clinton Administration to the Presidency, 1993	reel 122	frame 927
Undoing Racism and Organizing, Vendor Invoice Files, 1993-1995	reel 122	frame 974

Winthrop Rockefeller Foundation Networking Conference, Vendor Invoice Files, 1991-1995	reel 122	frame 991
Youth Issues Assessment, Vendor Invoice Files, 1994-1995	reel 122	frame 1095
Youth Programs and Services, Inventory, 1993-1995	reel 123	frame 1
Inactive Issues	reel 123	frame 208
Arkansas Tax Policy, 1985	reel 123	frame 209
Fast Forward: A Video Workshop for Community Change, 1984	reel 123	frame 214
Tax Wrap-Up Issue, 1984-1987	reel 123	frame 247
Unborn Child Agreement, 1984	reel 123	frame 229
University of Arkansas at Little Rock, Public History Program Intern, 1984	reel 123	frame 235
Well Water Contamination, 1984	reel 123	frame 243
Miscellaneous	reel 123	frame 255
Contingency Fund, 1984-1995	reel 123	frame 256
<b>Southern Development Bancorporation, Incorporated, 1977-1998</b>	reel 123	frame 272
<b>Biographical/Historical note</b>		
Southern Development Bancorporation, Incorporated, is a development bank holding company that focuses on rural economic development.		
<b>Title/Description</b>	<b>Instances</b>	
Board of Directors Meeting, 1988 August 24	reel 123	frame 273
Board of Directors Meeting, 1988 November 2	reel 123	frame 341
Board of Directors Meeting, 1989 May 18	reel 123	frame 391
Board of Directors Meeting, 1990 November 20	reel 123	frame 451
Board of Directors Meeting, 1994 February 9	reel 123	frame 547
Board of Directors Meeting, 1994 May 18	reel 123	frame 695
Board of Directors Meeting, 1994 September 22	reel 123	frame 822
Board of Directors Meeting, 1994 December 22	reel 123	frame 957
Board of Directors Meeting, 1995 February 8	reel 123	frame 1066
Board of Directors Meeting, 1996 February 13	reel 124	frame 1
Board of Directors Meeting, 1996 June 24	reel 124	frame 68
Board of Directors Meeting, 1996 August 14	reel 124	frame 85



Board of Directors Meeting, 1997 August 21	reel 124	frame 88
Board of Directors Meeting, 1997 October 1	reel 124	frame 191
Board of Directors Meeting, 1997 December	reel 124	frame 510
Board of Directors Meeting, 1998 February 26	reel 124	frame 610
Shorebank Corporation, Board of Directors Meeting, 1990 April 28	reel 124	frame 796
Shorebank Corporation, Board of Directors Meeting, 1990 October 20	reel 124	frame 1014
Shorebank Corporation, Board of Directors Meeting, 1994 October 15	reel 125	frame 1
Shorebank Corporation, Board of Directors Meeting, 1995 April 21	reel 125	frame 135
Capital Call, Supplemental Information, 1987 December 9	reel 125	frame 403
Chronological Files, 1983	reel 125	frame 699
Chronological Files, 1984	reel 125	frame 857
Chronological Files, 1985	reel 125	frame 948
Chronological Files, 1986 January-March	reel 126	frame 1
Chronological Files, 1986 April-June	reel 126	frame 354
Chronological Files, 1986 July-September	reel 126	frame 715
Chronological Files, 1986 October-December 1986-1987	reel 126	frame 986
Chronological Files, 1986 January-1987 March	reel 127	frame 1
Chronological Files, 1987 April-June	reel 127	frame 231
Chronological Files, 1987 July-September	reel 127	frame 553
Chronological Files, 1987 October	reel 127	frame 479
Chronological Files, 1987 November-December	reel 128	frame 1
Chronological Files, 1988 January-March	reel 128	frame 264
Chronological Files, 1988 April-June	reel 128	frame 625
Chronological Files, 1988 July-December	reel 128	frame 872
Chronological Files, 1989-1990	reel 129	frame 1
Chronological Files, 1991	reel 129	frame 279
Chronological Files, 1992	reel 129	frame 410
Chronological Files, 1993	reel 129	frame 564
Chronological Files, 1994	reel 129	frame 635
Chronological Files, 1995 January-March	reel 129	frame 806

Chronological Files, 1995 April-December	reel 130	frame 1
Chronological Files, 1996 January-March	reel 130	frame 390
Chronological Files, 1996 April	reel 130	frame 571
Chronological Files, 1996 May-December	reel 130	frame 906
Chronological Files, 1997 January-March	reel 131	frame 1
Chronological Files, 1997 April-August	reel 131	frame 350
Chronological Files, 1997 August-December	reel 131	frame 532
Chronological Files, 1998	reel 131	frame 760
Crane and Associates, 1987	reel 131	frame 833
Newspaper Clippings, 1986-1988	reel 131	frame 848
Strategic Plan, 1993	reel 131	frame 978
Strategic Plan, 1994	reel 132	frame 1
Strategic Plan, 1995	reel 132	frame 142
Strategic Plan, 1996	reel 132	frame 212
Subscription Agreement, 1997-1998	reel 132	frame 376
Winthrop Rockefeller Foundation Ad Hoc Committee, 1996-1997	reel 132	frame 405

[^ Return to Table of Contents](#)

<b>Financial, 1974-2000</b>	reel 132	frame 675
<b>Budgets and Ledgers, 1974-2000</b>	reel 132	frame 676
<b>Scope and Contents note</b>  The financial material of the Winthrop Rockefeller Foundation.		
<b>Title/Description</b>	<b>Instances</b>	
Ernst and Whinney Budget Format Instructions, 1985-1995	reel 132	frame 677
Budgets, 1975-1985	reel 132	frame 687
Budgets, 1986-1988	reel 132	frame 877
Budgets, 1989-1990 1989-1991	reel 133	frame 1
Budget, 1993 1993-1994	reel 133	frame 354
Budget, 1994 1993-1995	reel 133	frame 650
Budget, 1995 1994-1996	reel 133	frame 911

Budget, 1996 1994-1996	reel 134	frame 1
Budget, 1999 1997-1998	reel 134	frame 183
Estimated Excise Tax Calculation for, 2000	reel 134	frame 308
Eyrie Garden Sculpture, 1974-1980	reel 134	frame 321
General Ledger, Endowment Fund, 1989	reel 134	frame 357
General Ledger, General Fund, 1989 January-April	reel 134	frame 424
General Ledger, General Fund, 1989 May-September	reel 134	frame 582
General Ledger, General Fund, 1989 October-December	reel 135	frame 1
General Ledger, Endowment Fund, 1993	reel 135	frame 236
General Ledger, General Fund, 1993 January-May	reel 135	frame 294
General Ledger, General Fund, 1993 June-October	reel 135	frame 536
General Ledger, General Fund, 1993 November-December	reel 136	frame 1
General Ledger, General Fund, 1994 January-May	reel 136	frame 185
General Ledger, General Fund, 1994 August-October	reel 136	frame 415
General Ledger, General Fund, 1994 December	reel 136	frame 611
General Ledger, General Fund, 1995 January-April	reel 137	frame 1
General Ledger, General Fund, 1995 May-August	reel 137	frame 128
General Ledger, General Fund, 1995 August-December	reel 137	frame 246
General Ledger, General Fund, 1995 October-Closing	reel 137	frame 439
General Ledger, General Fund, 1995 October-December	reel 137	frame 592
General Ledger, 1996 March-July	reel 137	frame 688
General Ledger, 1996 August-December	reel 138	frame 1
General Ledger Listings, 1996 December 31	reel 138	frame 220
Monthly Account File, 1996 March	reel 138	frame 370
Monthly Account File, 1996 April	reel 138	frame 378
Monthly Account File, 1996 May	reel 138	frame 451
Monthly Account File, 1996 June	reel 138	frame 530
Monthly Account File, 1996 July	reel 138	frame 559
Monthly Account File, 1996 August	reel 138	frame 596
Monthly Account File, 1996 September	reel 138	frame 652
Monthly Account File, 1996 October	reel 138	frame 695
Monthly Account File, 1996 November, 1996-1997	reel 138	frame 744

Monthly Account File, 1996 December 9-1997	reel 138	frame 782
Monthly Account File, 1999 January, 1998-1999	reel 138	frame 828
Monthly Account File, 1999 February	reel 138	frame 886
Monthly Account File, 1999 March	reel 138	frame 957
Monthly Account File, 1999 April	reel 138	frame 1047
Monthly Account File, 1999 May	reel 139	frame 1
Monthly Account File, 1999 June	reel 139	frame 85
Monthly Account File, 1999 July	reel 139	frame 175
Monthly Account File, 1999 August	reel 139	frame 265
Monthly Account File, 1999 September	reel 139	frame 365
Monthly Account File, 1999 October	reel 139	frame 444
Monthly Account File, 1999 November, 1999-2000	reel 139	frame 549
Monthly Account File, 1999 December, 1999-2000	reel 139	frame 600

## Loans and Investments, 1984-1998

reel 139 frame 663

### Scope and Contents note

This series contains materials relating to PRI (program-related investing) that the Winthrop Rockefeller Foundation was involved in.

Title/Description	Instances	
Program Related Investment (PRI), undated	reel 139	frame 664
Arkansas Repertory Theatre Company, PRI, Loan Agreement, 1988	reel 139	frame 670
Arkansas Repertory Theatre Company, PRI, Correspondence, 1986-1998	reel 139	frame 938
Arkansas Repertory Theatre Company, PRI, Monthly Statement for Consortium of Banks, 1988	reel 140	frame 1
Arkansas Repertory Theatre Company, PRI, Monthly Statement for Winthrop Rockefeller Foundation, 1988	reel 140	frame 102
Arkansas Repertory Theatre Company, PRI, Deed of Release, 1998	reel 140	frame 122
Block Redevelopment, PRI, Cash Flow Reports for Auditors, 1988-1990	reel 140	frame 124
Block Redevelopment, PRI, Correspondence, 1984-1996	reel 140	frame 134
Block Redevelopment, PRI, Insurance, 1984-1995	reel 140	frame 394

Block Redevelopment, PRI, Marratt and Associates Real Estate, 1996-1997	reel 140	frame 578
Block Redevelopment, PRI, 7th Street Property-Closing, 1995-1997	reel 140	frame 612
Block Redevelopment, PRI, Tax Bill, 1984-1991	reel 140	frame 793
Delta Community Development Corporation, Incorporated, PRI, 1993-1995	reel 140	frame 861
Delta Community Development Corporation, Incorporated, PRI, Loan Agreement, 1993	reel 140	frame 895
Delta Community Development Corporation, Incorporated, PRI, Reports, 1995-1996	reel 140	frame 979
Philander Smith College, PRI, 1989-1992	reel 140	frame 1000
Philander Smith College, PRI, Loan Agreement, 1993	reel 140	frame 1010

[^ Return to Table of Contents](#)