



# Forman (Shepard) papers

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English

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Rockefeller Archive Center

15 Dayton Avenue

Sleepy Hollow 10591

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## Summary Information

<b>Repository:</b>	Rockefeller Archive Center
<b>Creator - aut:</b>	Forman, Shepard, 1938-
<b>Creator:</b>	New York University. Center on International Cooperation
<b>Creator:</b>	Ford Foundation
<b>Title:</b>	Shepard Forman papers
<b>ID:</b>	FA1451
<b>Date [inclusive]:</b>	1956-2016
<b>Physical Description:</b>	3.7 Cubic Feet 9 boxes (3 legal document containers, 6 letter document containers)
<b>Language of the Material:</b>	English

### Preferred Citation

Information regarding the Rockefeller Archive Center's preferred elements and forms of citation can be found at <http://www.rockarch.org/research/citations.php>

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## Biographical / Historical

Shepard Forman held multiple positions in the Ford Foundation related to international issues and founded the Center on International Cooperation at New York University. Forman received his Ph.D. in Anthropology from Columbia in 1966 with previous training in history, Brazilian studies, and Spanish language and literature. He completed post-doctoral work in development economics at the Institute for Development Studies at Sussex, England and field research in Northeast Brazil and Timor-Leste.

Between 1966 and 1969, he held professorships in anthropology at Indiana University, the University of Chicago, and the University of Michigan. In 1977, Forman took a leave of absence from the University of Michigan to work as a program officer for the Ford Foundation in Rio de Janeiro, Brazil. In this position, he was involved in community economic and rural development while also coordinating a grantmaking program in economics and the social sciences to universities and research institutes.

In 1981, Forman became Program Officer-in-Charge of the Human Rights and Governance Program and served in that position until 1986. In 1987, the title of Program Officer-in-Charge was changed to Director. Forman served in that title until 1990. He was involved in public policy initiatives and assisted civil rights and international human rights organizations. Forman became Director of International Affairs programs at the Ford Foundation in 1991. As Director, he created a grantmaking program of research, policy analysis and public education on post-Cold War international relations. He held primary responsibility for Foundation programs in Russia and Eastern Europe and supervised 20 program and support staff in the fields of peacekeeping and disarmament, international law, international economics and development, and U.S. foreign policy. Forman left the Foundation in 1996 to found and direct the Center on International Cooperation (CIC) at New York University. The CIC's primary mission is to strengthen cooperative approaches among national governments, international organizations, and the wider policy community to advance peace, justice, and inclusion. Forman retired from the CIC in 2008. Forman served as president of the Life After Ford Foundation (LAFF) Society from 2008 until 2019.

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## Scope and Contents

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The collection contains photographs, correspondence, monthly calendars, and notebooks filled with trip reports and travel notes related to Shepard Forman's career at the Ford Foundation and transition to Director of the Center on International Cooperation at New York University.

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## Arrangement

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The collection is arranged into the following series:

1. Photographs, 1956-1993
2. Personal and Professional Papers, 1977-2016
3. Monthly Calendars, 1980-1995
4. Notepads - International Travel and Trip Notes, 1982-1986

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## Administrative Information

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### Publication Statement

Rockefeller Archive Center

15 Dayton Avenue

Sleepy Hollow 10591

[archive@rockarch.org](mailto:archive@rockarch.org)

URL: <http://www.rockarch.org>

### Processing Information

Standard processing by Katie Martin, March 2019

### Conditions Governing Access

Open for research with select materials restricted as noted. Brittle or damaged items are available at the discretion of RAC.

### Conditions Governing Use

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### Immediate Source of Acquisition

This material was transferred to the RAC in 2016 by Shepard Forman and was ingested in 2017 by the RAC as Accession 2017:010.

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## Related Materials

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### Related Materials

Related collections include:

Ford Foundation records, International Affairs, Office of the Program Director, Office Files of Shepard Forman (FA625)

Ford Foundation records, Latin America and the Caribbean Program, Rio de Janeiro, Brazil Field Office, Office Files of Shepard Forman (FA630)

Ford Foundation records, Human Rights and Governance Program, Program Director, Office Files of Shepard Forman (FA717)

Ford Foundation records, Peace and Social Justice Program (PSJ), Human Rights and International Cooperation (HRIC), Office Files of Shepard Forman (FA741)

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## Controlled Access Headings

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- International relations
- Human rights
- Public policy

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## Collection Inventory

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Photographs, 1956-1993		
Title/Description	Instances	
Untitled Negatives - Brazil (?), undated	box 1	folder 1
Agricultural Development - Amazon Environmental Meeting, undated	box 1	folder 2
Trustees Trip - Bali, undated	box 1	folder 3
Amazon Trip - Prints and Negatives, undated	box 1	folder 4
Shepard Forman/David Arnold in India, circa 1956-1957	box 1	folder 5
Agricultural Development Meeting - Amazon, undated	box 1	folder 6
Bali, undated	box 1	folder 7
Souvenir from Machado Restaurante Tipico, Portugal (Paper Photo Frame and Photos), undated	box 1	folder 8
Amazon Meeting, undated	box 1	folder 9
Trustees Meeting - Thailand, undated		

	box 1	folder 10
Dave Arnold; Terry Calder, Consultant; Shep Forman, undated	box 2	folder 11
Trustees - Jakarta, undated	box 2	folder 12
Miscellaneous Photos, undated	box 2	folder 13

### Scope and Contents

1. David Arnold, Lynn Walker, Shepard Forman
2. Peter Geithner, David Arnold, Shepard Forman
3. David Arnold, June Zeitler, and Shep Forman
4. Human Rights and Social Justice Staff
5. Temple with Ford staff

Shep Forman, David Arnold - India (2 folders), undated	box 2	folder 14-15
Ford Foundation Group Photo, 1993 May 14	box 2	folder 16
Group Photo - India, 1988 April	box 2	folder 17

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## Personal and Professional Papers, 1977-2016

Title/Description	Instances	
Five Year Review Notes - Persistent Poverty, International Affairs, 1987	box 2	folder 18
Shep Forman Handwritten Notes - Advisory Group UN Financing, 1992	box 2	folder 19
Shepard Forman - Ford Foundation Board of Trustees Meetings - Notes, 1992-1994	box 2	folder 20
Shepard Forman Partial Grants List - Human Rights and Governance and Responsible Program Officer (RPO)/Co-Responsible Program Officer, 1996	box 2	folder 21
Amazon Strategy Meeting Documents - Itineraries and Agendas, 1990	box 2	folder 22
Invitation to Foundation-wide International Affairs Program Conference in Cairo and Trip to Israel - Memos, Schedules, and Handwritten Notes, 1989 October	box 2	folder 23
Trip Reports - South Africa and Zimbabwe, 1982	box 2	folder 24
Human Rights Retreat, 1986 April 30-May 2	box 3	folder 25
Transition to Ford Foundation, 1977-1980	box 3	folder 26

Dorothy Nepper Marshall Symposium, Bryn Mawr College, 1986 November	box 3	folder 27
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### Scope and Contents

Includes remarks: Civil-Military Relations in Latin America  
- November 8, 1986

Brasil '79 - Brasil Social Science Fellowship Committee - Photographs, 1980 October	box 3	folder 28
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### Scope and Contents

Photos:

1 - James Gardner, Juarez Brandao Lopes, Boris Fausto,  
Ruth Cardoso, Claus W. ---, Prescilla Kritz, Senior Secretary  
Ford Foundation, Shepard Forman, Jose Murito de Carvalho

2 - Circa 1979 - Ford Foundation social science research  
fellowship committee, from L-R, Boris Fausto, Professor  
of History, University of Sao Paulo; James Gardner,  
Ford Foundation rep, Rio de Janeiro; Ruth Cardozo,  
anthropologish (University of Sao Paulo); Claus W. --,  
linguist; Juarez Bradao Lopes, University of Sao Paulo; Jose  
Munito, Political Scientist, Unio Institute of Poli Sci, then  
at Candido Mendez University; Fabio; Shepard Forman,  
Project Specialist, Social Sciences and Rural Development

3 - roundtable discussion

Brasil '79 - Brasil Social Science Fellowship Committee - Photocopies, circa 1980	box 3	folder 29
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Life After Ford Foundation (LAFF) Society - Miscellaneous, 1994-2009	box 3	folder 30
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### Scope and Contents

LAFF Governance, Relations with Ford Foundation, LAFF  
Reunions, possible thematic shifts, other alumni groups

Letters on my Retirement, 1996 October	box 3	folder 31
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Planning Phase Budget - Center on International Cooperation, New York University (2 folders), (1996-2016)	box 3	folder 32-33
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Comments on Proposal to Fund a Study of Multilateral Cooperation/Letters Regarding New Ford Foundation Funded Project at New York University (4 folders), 1996-1997	box 3 box 4	folder 34-35 folder 36
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Letters Regarding Transition from Ford Foundation to NYU- Center on International Cooperation, 1996-1997	box 4	folder 37
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Correspondence from Last Months at the Ford Foundation (2 folders), 1996	box 4	folder 38-39
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## Scope and Contents

Correspondence relates to Forman's tenure as Director of the International Affairs Program, transition to NYU, and the subsequent work of the Ford Foundation in international affairs

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## Monthly Calendars, 1980-1995

### Scope and Contents

Calendars from Shepard Forman's tenure as Director of the Human Rights and Governance at the Ford Foundation, 1981-1991 and Director of International Affairs at the Ford Foundation, 1991-1996.

Title/Description	Instances	
Monthly Calendar, 1980	box 4	folder 40
Monthly Calendar, 1982	box 4	folder 41
Monthly Calendar, 1983	box 4	folder 42
Week-At-A-Glance Calendar, 1984	box 5	folder 43
Week-At-A-Glance Calendar, 1985	box 5	folder 44
Week-At-A-Glance Calendar, 1986	box 5	folder 45
Week-At-A-Glance Calendar, 1987	box 5	folder 46
Week-At-A-Glance Calendar, 1988	box 5	folder 47
Week-At-A-Glance Calendar, 1989	box 5	folder 48
Week-At-A-Glance Calendar, 1990	box 5	folder 49
Loose Documents - Possibly from Calendars, 1981-1990	box 5	folder 50
Week-At-A-Glance Calendar, 1991	box 6	folder 51
Week-At-A-Glance Calendar, 1992	box 6	folder 52
Week-At-A-Glance Calendar, 1993	box 6	folder 53
Week-At-A-Glance Calendar, 1994	box 6	folder 54
Week-At-A-Glance Calendar, 1995	box 6	folder 55

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## Notepads - International Travel and Trip Notes, 1982-1996

## Scope and Contents

Handwritten notebooks filled with trip reports and international travel notes from Shepard Forman's tenure as Director of the Human Rights and Governance at the Ford Foundation, 1981-1991 and Director of International Affairs at the Ford Foundation, 1991-1996. Most folders also include conference or trip agendas with names of individuals involved in meetings or presentations.

Title/Description	Instances	
Southeast Asia, 1989 February 28-March 18	box 6	folder 56
<b>Scope and Contents</b> Meeting in Bangkok		
Israel/Egypt, 1992 January 15-29	box 6	folder 57
<b>Scope and Contents</b> Africa/Middle East Program Review		
Prague/The Hague, 1990 September	box 6	folder 58
<b>Scope and Contents</b> Seminar: Parliaments and the Transition Toward Democracy		
Moscow-Prague, 1993 July 18-31	box 7	folder 59
The American Assembly, Arden House, 1992 April 23-26	box 7	folder 60
<b>Scope and Contents</b> The American Assembly, After the Soviet Union: Implications for US Foreign Policy, Arden House, Harriman Campus, Columbia University		
India-China, 1992 March 1-13	box 7	folder 61
<b>Scope and Contents</b> New Delhi/Beijing		
Cummington - International Affairs Retreat, 1992 October 8-9	box 7	folder 62
Moscow - Poland, 1992 July 15-24	box 7	folder 63
Arden House - Human Rights Consultation, 1992 June 26-28	box 7	folder 64
Warsaw, undated	box 7	folder 65
Washington, undated	box 7	folder 66
Loose Note - Yunnan/Kunming, undated	box 7	folder 67
Stockholm International Peace Research Institute (SIPRI), 1991 November 13-15	box 7	folder 68
Prague - Social Welfare; Bratislava; Warsaw, 1991 April 15-24	box 8	folder 69

Moscow, 1994 October 18-26	box 8	folder 70
Kiev, 1994 October 13-17	box 8	folder 71
Lisbon, 1992 November 8-13	box 8	folder 72
Turin, 1996 May 13-17	box 8	folder 73
Moscow, 1995 September 11-16	box 8	folder 74
China, 1985	box 8	folder 75
Istanbul, 1994 June 11-17	box 8	folder 76

### **Scope and Contents**

Varieties of Muslim Experience

Moscow, 1993 June 10-19	box 9	folder 77
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### **Scope and Contents**

Center for Gender Studies

Moscow, 1993 April 18-25	box 9	folder 78
Moscow/Prague, 1994 September 27-October 2	box 9	folder 79

### **Scope and Contents**

Ukraine, Russia, Prague, Warsaw

Moscow - Pre-Board Meeting Notes, 1993 September 6-30	box 9	folder 80
1. Project Liberty; 2. Joe Nye; 3. Center for Strategic and International Studies (CSIS); 4. Atlantic Council, 1991 October	box 9	folder 81
London, 1991 June 1-7	box 9	folder 82
Vienna/Warsaw, 1991 April 12-23	box 9	folder 83

### **Scope and Contents**

Conference: "The Future of Cultural Cooperation between the Countries of Western, Central and Eastern Europe: The Role of Foundations", European Cultural Foundation and the Institute for Human Sciences, in cooperation with the Council of Europe

Costa Rica, 1994 February 21-25	box 10	folder 84
Chile-Argentina, 1988 October 26-30	box 10	folder 85

### **Scope and Contents**

Southern Cone and American Council of Learned Societies Conference

India - Rural Poverty and Resources (RPR)/Governance Meetings - Bangalore, 1988 April 11-15	box 10	folder 86
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## Scope and Contents

Asia-Plus Rural Poverty and Governance Staff Meeting

South Africa, 1982 April 20-29	box 10	folder 87
Zimbabwe, 1982 April 27-29	box 10	folder 88

## Scope and Contents

4/27 Johannesburg - SA; 4/29 Salisbury - Zimbabwe; 4/30  
Johannesburgh - SA; 5/03 Rio de Janeiro - Brazil

Dakar, 1989 September 17-20	box 10	folder 89
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## Scope and Contents

Africa Human Rights Meeting

Budapest, Hungary, 1990 January	box 10	folder 90
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## Scope and Contents

Vienna and Hungary

Cuernavaca, Mexico - Human Rights and Governance/Public Policy, 1990 January 28-February 4	box 10	folder 91
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## Scope and Contents

Latin America and Caribbean Programs Regional Meeting:  
Programming in Human Rights and Governance in the  
1990s

Asia Regional Meeting, 1992 March	box 10	folder 92
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## Scope and Contents

Beijing and New Delhi; The Club of Rome - International  
Conference on Evolving Concepts of International  
Cooperation for Development

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