

# Forman (Shepard) papers

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English

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Rockefeller Archive Center

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### **Summary Information**

**Repository:** Rockefeller Archive Center

**Creator - aut:** Forman, Shepard, 1938-

**Creator:** New York University. Center on International Cooperation

**Creator:** Ford Foundation

**Title:** Shepard Forman papers

**ID:** FA1451

**Date [inclusive]:** 1956-2016

**Physical Description:** 3.7 Cubic Feet 9 boxes (3 legal document containers, 6 letter document

containers)

Language of the

**Material:** 

English.

## **Biographical / Historical**

Shepard Forman held multiple positions in the Ford Foundation related to international issues and founded the Center on International Cooperation at New York University. Forman received his Ph.D. in Anthropology from Columbia in 1966 with previous training in history, Brazilian studies, and Spanish language and literature. He completed post-doctoral work in development economics at the Institute for Development Studies at Sussex, England and field research in Northeast Brazil and Timor-Leste.

Between 1966 and 1969, he held professorships in anthropology at Indiana University, the University of Chicago, and the University of Michigan. In 1977, Forman took a leave of absence from the University of Michigan to work as a program officer for the Ford Foundation in Rio de Janeiro, Brazil. In this position, he was involved in community economic and rural development while also coordinating a grantmaking program in economics and the social sciences to universities and research institutes.

In 1981, Forman became Program Officer-in-Charge of the Human Rights and Governance Program and served in that position until 1986. In 1987, the title of Program Officer-in-Charge was changed to Director. Forman served in that title until 1990. He was involved in public policy initiatives and assisted civil rights and international human rights organizations. Forman became Director of International Affairs programs at the Ford Foundation in 1991. As Director, he created a grantmaking program of research, policy analysis and public education on post-Cold War international relations. He held primary responsibility for Foundation programs in Russia and Eastern Europe and supervised 20 program and

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support staff in the fields of peacekeeping and disarmament, international law, international economics and development, and U.S. foreign policy. Forman left the Foundation in 1996 to found and direct the Center on International Cooperation (CIC) at New York University. The CIC's primary mission is to strengthen cooperative approaches among national governments, international organizations, and the wider policy community to advance peace, justice, and inclusion. Forman retired from the CIC in 2008. Forman served as president of the Life After Ford Foundation (LAFF) Society from 2008 until 2019.

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### **Scope and Contents**

The collection contains photographs, correspondence, monthly calendars, and notebooks filled with trip reports and travel notes related to Shepard Forman's career at the Ford Foundation and transition to Director of the Center on International Cooperation at New York University.

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### Arrangement

The collection is arranged into the following series:

- 1. Photographs, 1956-1993
- 2. Personal and Professional Papers, 1977-2016
- 3. Monthly Calendars, 1980-1995
- 4. Notepads International Travel and Trip Notes, 1982-1986
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#### **Administrative Information**

#### **Publication Statement**

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Sleepy Hollow 10591

archive@rockarch.org

URL: http://www.rockarch.org

#### **Processing Information**

Standard processing by Katie Martin, March 2019

## **Conditions Governing Access**

Open for research with select materials restricted as noted. Brittle or damaged items are available at the discretion of RAC.

#### **Conditions Governing Use**

Reproduction/duplication of archival items is routinely provided in accordance with "fair use". If the RAC does not hold copyright for an archival collection, or items within a collection, it is the users responsibility to contact the copyright holder, or make a fair effort to do so, prior to publication.

#### **Immediate Source of Acquisition**

This material was transferred to the RAC in 2016 by Shepard Forman and was ingested in 2017 by the RAC as Accession 2017:010.

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#### **Related Materials**

#### **Related Materials**

Related collections include:

Ford Foundation records, International Affairs, Office of the Program Director, Office Files of Shepard Forman (FA625)

Ford Foundation records, Latin America and the Caribbean Program, Rio de Janeiro, Brazil Field Office, Office Files of Shepard Forman (FA630)

Ford Foundation records, Human Rights and Governance Program, Program Director, Office Files of Shepard Forman (FA717)

Ford Foundation records, Peace and Social Justice Program (PSJ), Human Rights and International Cooperation (HRIC), Office Files of Shepard Forman (FA741)

## **Controlled Access Headings**

- International relations
- Human rights
- Public policy

## **Collection Inventory**

Title/Description	Instances	
Untitled Negatives - Brazil (?), undated	box 1	folder 1
Agricultural Development - Amazon Environmental Meeting, undated	box 1	folder 2
Trustees Trip - Bali, undated	box 1	folder 3
Amazon Trip - Prints and Negatives, undated	box 1	folder 4
Shepard Forman/David Arnold in India, circa 1956-1957	box 1	folder 5
Agricultural Development Meeting - Amazon, undated	box 1	folder 6
Bali, undated	box 1	folder 7
Souvenir from Machado Restaurante Tipico, Portugal (Paper Photo Frame and Photos), undated	box 1	folder 8
Amazon Meeting, undated	box 1	folder 9
Trustees Meeting - Thailand, undated	box 1	folder 10
Dave Arnold; Terry Calder, Consultant; Shep Forman, undated	box 2	folder 11
Trustees - Jakarta, undated	box 2	folder 12
Miscellaneous Photos, undated	box 2	folder 13

### **Scope and Contents**

- 1. David Arnold, Lynn Walker, Shepard Forman
- 2. Peter Geithner, David Arnold, Shepard Forman
- 3. David Arnold, June Zeitler, and Shep Forman

### 4. Human Rights and Social Justice Staff

#### 5. Temple with Ford staff

Shep Forman, David Arnold - India (2 folders), undated	box 2	folder 14-15
Ford Foundation Group Photo, 1993 May 14	box 2	folder 16
Group Photo - India, 1988 April	box 2	folder 17

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Title/Description	Instances	
Five Year Review Notes - Persistent Poverty, International Affairs, 1987	box 2	folder 18
Shep Forman Handwritten Notes - Advisory Group UN Financing, 1992	box 2	folder 19
Shepard Forman - Ford Foundation Board of Trustees Meetings - Notes, 1992-1994	box 2	folder 20
Shepard Forman Partial Grants List - Human Rights and Governance and Responsible Program Officer (RPO)/Co- Responsible Program Officer, 1996	box 2	folder 21
Amazon Strategy Meeting Documents - Itineraries and Agendas, 1990	box 2	folder 22
Invitation to Foundation-wide International Affairs Program Conference in Cairo and Trip to Israel - Memos, Schedules, and Handwritten Notes, 1989 October	box 2	folder 23
Trip Reports - South Africa and Zimbabwe, 1982	box 2	folder 24
Human Rights Retreat, 1986 April 30-May 2	box 3	folder 25
Transition to Ford Foundation, 1977-1980	box 3	folder 26
Dorothy Nepper Marshall Symposium, Bryn Mawr College, 1986 November	box 3	folder 27
Scope and Contents		
Includes remarks: Civil-Military Relations in Latin America - November 8, 1986		
Brasil '79 - Brasil Social Science Fellowship Committee - Photographs, 1980 October	box 3	folder 28

### **Scope and Contents**

Photos:

- 1 James Gardner, Juarez Brandao Lopes, Boris Fausto, Ruth Cardoso, Claus W. ---, Prescilla Kritz, Senior Secretary Ford Foundation, Shepard Forman, Jose Murito de Carvalho
- 2 Circa 1979 Ford Foundation social science research fellowship committee, from L-R, Boris Fausto, Professor of History, University of Sao Paulo; James Gardner, Ford Foundation rep, Rio de Janeiro; Ruth Cardozo, anthropologish (University of Sao Paulo); Claus W. --, linguist; Juarez Bradao Lopes, University of Sao Paulo; Jose Munito, Political Scientist, Unio Institute of Poli Sci, then at Candido Mendez University; Fabio; Shepard Forman, Project Specialist, Social Sciences and Rural Development

#### 3 - roundtable discussion

Brasil '79 - Brasil Social Science Fellowship Committee - Photocopies, circa 1980	box 3	folder 29	
Life After Ford Foundation (LAFF) Society - Miscellaneous, 1994-2009	box 3	folder 30	
<b>Scope and Contents</b>			
LAFF Governance, Relations with Ford Foundation, LAFF Reunions, possible thematic shifts, other alumni groups			
Letters on my Retirement, 1996 October	box 3	folder 31	
Planning Phase Budget - Center on International Cooperation, New York University (2 folders), (1996-2016)	box 3	folder 32-33	
Comments on Proposal to Fund a Study of Multilateral	box 3	folder 34-35	
Cooperation/Letters Regarding New Ford Foundation Funded Project at New York University (4 folders), 1996-1997	box 4	folder 36	
Letters Regarding Transition from Ford Foundation to NYU- Center on International Cooperation, 1996-1997	box 4	folder 37	
Correspondence from Last Months at the Ford Foundation (2 folders), 1996	box 4	folder 38-39	

#### **Scope and Contents**

Correspondence relates to Forman's tenure as Director of the International Affairs Program, transition to NYU, and the subsequent work of the Ford Foundation in international affairs

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### Monthly Calendars, 1980-1995

Calendars from Shepard Forman's tenure as Director of the Human Rights and Governance at the Ford Foundation, 1981-1991 and Director of International Affairs at the Ford Foundation, 1991-1996.

Title/Description	Instances	
Monthly Calendar, 1980	box 4	folder 40
Monthly Calendar, 1982	box 4	folder 41
Monthly Calendar, 1983	box 4	folder 42
Week-At-A-Glance Calendar, 1984	box 5	folder 43
Week-At-A-Glance Calendar, 1985	box 5	folder 44
Week-At-A-Glance Calendar, 1986	box 5	folder 45
Week-At-A-Glance Calendar, 1987	box 5	folder 46
Week-At-A-Glance Calendar, 1988	box 5	folder 47
Week-At-A-Glance Calendar, 1989	box 5	folder 48
Week-At-A-Glance Calendar, 1990	box 5	folder 49
Loose Documents - Possibly from Calendars, 1981-1990	box 5	folder 50
Week-At-A-Glance Calendar, 1991	box 6	folder 51
Week-At-A-Glance Calendar, 1992	box 6	folder 52
Week-At-A-Glance Calendar, 1993	box 6	folder 53
Week-At-A-Glance Calendar, 1994	box 6	folder 54
Week-At-A-Glance Calendar, 1995	box 6	folder 55

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## Notepads - International Travel and Trip Notes, 1982-1996

#### **Scope and Contents**

Handwritten notebooks filled with trip reports and international travel notes from Shepard Forman's tenure as Director of the Human Rights and Governance at the Ford Foundation, 1981-1991 and Director of International Affairs at the Ford Foundation, 1991-1996. Most folders also include conference or trip agendas with names of individuals involved in meetings or presentations.

Title/Description	Instances		
Southeast Asia, 1989 February 28-March 18	box 6	folder 56	
<b>Scope and Contents</b>			
Meeting in Bangkok			
Israel/Egypt, 1992 January 15-29	box 6	folder 57	

Africa/Middle East Program Review

Prague/The Hague, 1990 September	box 6	folder 58	
<b>Scope and Contents</b>			
Seminar: Parliaments and the Transition Toward Democracy			
Moscow-Prague, 1993 July 18-31	box 7	folder 59	
The American Assembly, Arden House, 1992 April 23-26	box 7	folder 60	
Scope and Contents			
The American Assembly, After the Soviet Union: Implications for US Foreign Policy, Arden House, Harriman Campus, Columbia University			
India-China, 1992 March 1-13	box 7	folder 61	
Scope and Contents			
New Delhi/Beijing			
Cummington - International Affairs Retreat, 1992 October 8-9	box 7	folder 62	
Moscow - Poland, 1992 July 15-24	box 7	folder 63	
Arden House - Human Rights Consultation, 1992 June 26-28	box 7	folder 64	
Warsaw, undated	box 7	folder 65	
Washington, undated	box 7	folder 66	
Loose Note - Yunnan/Kunming, undated	box 7	folder 67	
Stockholm International Peace Research Institute (SIPRI), 1991 November 13-15	box 7	folder 68	
Prague - Social Welfare; Bratislava; Warsaw, 1991 April 15-24	box 8	folder 69	
Moscow, 1994 October 18-26	box 8	folder 70	
Kiev, 1994 October 13-17	box 8	folder 71	
Lisbon, 1992 November 8-13	box 8	folder 72	
Turin, 1996 May 13-17	box 8	folder 73	
Moscow, 1995 September 11-16	box 8	folder 74	
China, 1985	box 8	folder 75	
Istanbul, 1994 June 11-17	box 8	folder 76	

Varieties of Muslim Experience

Moscow, 1993 June 10-19	box 9	folder 77	
Scope and Contents			
Center for Gender Studies			
Moscow, 1993 April 18-25	box 9	folder 78	
Moscow/Prague, 1994 September 27-October 2	box 9	folder 79	
Scope and Contents			
Ukraine, Russia, Prague, Warsaw			
Moscow - Pre-Board Meeting Notes, 1993 September 6-30	box 9	folder 80	
1. Project Liberty; 2. Joe Nye; 3. Center for Strategic and International Studies (CSIS); 4. Atlantic Council, 1991 October	box 9	folder 81	
London, 1991 June 1-7	box 9	folder 82	
Vienna/Warsaw, 1991 April 12-23	box 9	folder 83	
Scope and Contents			
Conference: "The Future of Cultural Cooperation between the Countries of Western, Central and Eastern Europe: The Role of Foundations", European Cultural Foundation and the Institute for Human Sciences, in cooperation with the Council of Europe			
Costa Rica, 1994 February 21-25	box 10	folder 84	
Chile-Argentina, 1988 October 26-30	box 10	folder 85	
Scope and Contents			
Southern Cone and American Council of Learned Societies Conference			
India - Rural Poverty and Resources (RPR)/Governance Meetings - Bangalore, 1988 April 11-15	box 10	folder 86	
Scope and Contents			
Asia-Plus Rural Poverty and Governance Staff Meeting			
South Africa, 1982 April 20-29	box 10	folder 87	
Zimbabwe, 1982 April 27-29	box 10	folder 88	

4/27 Johannesburgh - SA; 4/29 Salisbury - Zimbabwe; 4/30 Johnannesburgh - SA; 5/03 Rio de Janeiro - Brazil

Dakar, 1989 September 17-20	box 10	folder 89	
Scope and Contents			
Africa Human Rights Meeting			
Budapest, Hungary, 1990 January	box 10	folder 90	
Scope and Contents			
Vienna and Hungary			
Cuernavaca, Mexico - Human Rights and Governance/Public Policy, 1990 January 28-February 4	box 10	folder 91	
Scope and Contents			
Latin America and Caribbean Programs Regional Meeting: Programming in Human Rights and Governance in the 1990s			
Asia Regional Meeting, 1992 March	box 10	folder 92	

#### **Scope and Contents**

Beijing and New Delhi; The Club of Rome - International Conference on Evolving Concepts of International Cooperation for Development

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