



Ford Foundation records, International Division, Asia and Pacific, Office Files of Reed Hertford

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Summary Information

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Preferred citation for Ford Foundation Grants: Rockefeller Archive Center, Ford Foundation records, Grants, Grant #

Preferred citation for Ford Foundation Unpublished Reports: Rockefeller Archive Center, Ford Foundation records, Unpublished Reports, Report #, Box number

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Biographical / Historical

Segment One: International Activities of the Ford Foundation: An Overview

The Foundation's aspiration to become a national and international philanthropy for the advancement of human welfare was first formally expressed in the seminal 1949 report of the Gaither Study Committee, *Report of the Study for the Ford Foundation on Policy and Program* (RAC Library, call letters 361.7 GAI), which was commissioned by the Board of Trustees to chart the Foundation's future. Foundation Trustees launched Ford's international grantmaking activities in 1950 when they approved the committee's report and its embrace of peace, democracy, and freedom. Since then, the Foundation has tackled these goals using a variety of strategies and responding to changing contexts, from the Cold War to the 1989 fall of the Berlin Wall and beyond.

Toward the Foundation's aspirational goal, "the establishment of peace", its international activities have comprised a wide range of conceptual approaches and focus areas. These include international affairs, international studies, international understanding, arms control and disarmament, international law, international economic concerns, and overseas development in nearly every region of the world. Three distinct periods emerge for the international grantmaking defined by external contextual changes and internal changes in Foundation leadership and structures: the expansion era of 1950-1965; the transition and restructuring years of 1966-1988; and the post-1989 shift away from Cold War dichotomies. During each of the distinct historical periods the consistent objectives were: 1) to ensure freedom and democracy in developed countries; 2) to foster education and international understanding in all countries; and 3) to contribute to the social, economic, and political development of less developed countries.

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Biographical / Historical

Segment 2. Period Sketch - The Expansion Era 1950-1965

The Ford Foundation's expansion era (1950-1965) began when the Gaither Study Committee Report placed "peace" as the area of top priority for the Foundation and set the Ford Foundation on a global course. Board Chair Henry Ford II writing in the 1951 Annual Report of the Foundation, identified "the danger of war" as the "the prime threat to human welfare today." Under the leadership of the three Ford Foundation presidents in this period-- Paul Hoffman, H. Rowan Gaither and Henry Heald--and several key trustees, notably the two seriatim chairs, John J. McCloy and Julius A. Stratton, the Foundation programs were largely informed by the post-World War II desire to avoid nuclear war and promote greater understanding among the world's peoples, strengthening of the United Nations, and enhancing both private and public American participation in world affairs. The strong board presence of university presidents and former leaders of postwar reconstruction efforts in Europe also helped to inform Ford's approach to building the field of peace and international security in the United States and encouraged extensive overseas activities.

For example, the first three presidents of the expanded Foundation ranged from government and business leadership experience to academic and nonprofit: Paul Hoffman (at Ford, 1950-1953) ran the Marshall Plan in Europe; H. Rowan Gaither Staffer (1948-1953), President (1953-1956) and Trustee (1956-1958) had MIT and Rand experience; Henry Heald, former president, Illinois Institute of Technology and New York University, President (1956-1966). The trustees also ran the leadership gamut from government and nonprofits to academic: Trustee John J. McCloy (from 1953; chair, 1959-1965) was assistant Secretary of War during World War II and chairman of the Council on Foreign Relations; and Trustee Julius A. Stratton (Trustee from 1955; chair, 1966-1970) was president of MIT.

When Ford became an international foundation in 1950, it had a small staff and operated through separate funds. The expansive period in the 1950s and 1960s resulted in a large number of field offices, which increased Ford's global footprint. Support focused on a mix of US university-based programs for research and training; think-tanks for policy-oriented meetings and policy research; and action projects specifically in less developed countries. The Foundation's focus on peace, freedom, and democracy

played out against the Cold War backdrop for scientific and military competition between the United States, the Soviet Union and China.

Under President Hoffman, the Foundation's work was organized by the areas identified in the Gaither Committee Report: Area One, the Establishment of Peace; Area Two, The Strengthening of Democracy; Area Three, The Strengthening of the Economy; Area Four, Education in a Democratic Society; and Area Five, Individual Behavior and Human Relations.

Program differentiation began in the Gaither era. When he began in 1953, he introduced the following programs, with international work under nearly all of them: International Programs, Public Affairs Programs, Program in Economic Development and Administration (mainly U.S. based), Education Program, The TV-Radio Workshop, and Behavioral Sciences Program. In 1954, the Foundation gave prominence to the international work explicitly through changing the program names and separating international affairs and overseas development. The 1954 program names were: The Advancement of Education, Education for Democracy, Increasing Knowledge of Foreign Areas, Economic Development and Administration, the Behavioral Sciences, and Overseas Development. In 1955, Increasing Knowledge of Foreign Areas became Increasing International Understanding. In 1956, the last year of the Gaither presidency, the program roster read: Education in the United States, The Behavioral Sciences, Public Affairs, Hospital Aid (only in the U.S.), Economic Development and Administration, International Understanding, and Overseas Development. Names changed but the program focus often remained the same.

President Heald maintained the areas of international interest of Hoffman and Gaither. The International Affairs program housed the programs based in the United States, Europe and Japan, with a few activities linking American and developing countries institutions. The Overseas Training and Research Program took over the efforts to increase American understanding of international issues. From 1957-1959, an International Legal Studies program, run from New York, helped build law programs in developing countries. Starting in 1959, the Overseas Development program comprised all the regional and country offices. In fiscal year 1964, Heald convinced the trustees to add a new focus on population, with grants both in the United States and overseas.

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Biographical / Historical

Segment 2. Period Summary - The Expansion Era 1950-1965

With the ever-increasing budget and trustee willingness to invade capital, presidents Hoffman, Gaither and Heald oversaw vast growth in the Foundation's international activities in the United States, other developed countries and developing countries. Activities crossed disciplines, institutions, and national boundaries, although few crossed intra-foundation boundaries. Under the three presidents, Foundation staff in various domestic and international offices from New York interacted with the regional and country offices headed by representatives. Usually in this period, however, these offices in fact worked

more closely with local governments than with New York staff, reinforcing the commitment to locally led social and economic development in less-developed countries.

The shared values of this early period reflected as much the continuing competition between the Communist and capitalist worlds as the concerns about maintaining peace. The Cold War provided the contextual continuity for grantmaking on the core themes of increasing American understanding of the rest of the world, building and strengthening connections not only with European and Asian democracies but also with Eastern European countries, the Soviet Union, China, and Cuba.

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Scope and Contents

Primarily contains Subject Files and Trip and Conference Files, with a selection of general correspondence (1980-1981).

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Arrangement

Arranged in 3 series: 1. Correspondence. 2. Trip, Conference Files. 3. Subject Files.

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Administrative Information

Publication Statement

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Processing Information

Level 1 Minimal Processing has been conducted to establish basic intellectual and physical control of the material and assess immediate preservation and conservation needs. Material remains as received, in original folders and storage boxes.

Conditions Governing Use note

Completion of a use agreement is required prior to providing scholarly access. See RAC Head of Reference (or applicable designee) for details. Ford Foundation holds title, copyright and literary rights in the collection, in so far as it holds them. The Rockefeller Archive Center has authority to grant permission to cite and publish material from the collection for scholarly purposes. Permission to publish extensive excerpts, or material in its entirety, will be referred to the Ford Foundation.

Immediate Source of Acquisition note

Ford Foundation archive was deposited at RAC in 2011. Ford Foundation records, correspondence, reports, program files and officers papers were transferred to, and accessioned by, RAC beginning in 2011. Accessions continue as necessary.

Conditions Governing Access

Records more than 10 years old are open for research with select materials restricted as noted. Brittle or damaged items are available at the discretion of RAC.

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Collection Inventory

Correspondence, 1980-1981

Title/Description	Instances
1980 Chronological Correspondence/Memoranda, A-M, May-Dec 1980	box 1
1980 Chronological Correspondence/Memoranda, N-Z, May-Dec 1980	box 1
1980-1981 Chronological Correspondence/Memoranda, A-B, Dec 1980-1981	box 1
1980-1981 Chronological Correspondence/Memoranda, C-G, Dec 1980-1981	box 1

1980-1981 Chronological Correspondence/Memoranda, H-O, Dec 1980-1981	box 1
1980-1981 Chronological Correspondence/Memoranda, P-R, Dec 1980-1981	box 1
1980-1981 Chronological Correspondence/Memoranda, S-T, Dec 1980-1981	box 1
1980-1981 Chronological Correspondence/Memoranda, U-Z, Dec 1980-1981	box 1
Reed Hertford -- Correspondence and Memoranda (misc), 1980	box 1

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Trip, Conference Files, 1976-1981

Title/Description	Instances
John Gerhart Latin America Trip -- Report, Memoranda, Related Documents Re: Latin America, 1977-1978	box 1
Mexico Trip (mid-February 1978), 1976-1978	box 1
European Trip (March 2-11, 1978), 1978	box 1
Toronto Trip (April 12-14, 1978) Re: Robert Burke Dissertation, 1978	box 1
Princeton Meeting (Sep 7-9, 1978) Re: Office of Latin America and the Caribbean Agriculture and Rural Development, 1978	box 1
Asia Trip (Sep-Oct 1978), Sep-Oct 1978	box 1
Latin America and the Caribbean Representatives' Meeting (Nov 13-15, 1978) - Program and Budget Papers, 1977-1978	box 1
International Division Conference (Mar 21-24, 1979) -- Planning Materials, 1979	box 1
International Division Conference (Mar 21-24, 1979) -- Papers (1 of 2), 1975-1979	box 1
International Division Conference (Mar 21-24, 1979) -- Papers (2 of 2), 1975-1979	box 1
Working Group on Food Security Issues in Asia and the Pacific, First Meeting, East-West Center (Honolulu, June 18-30, 1979) -- Papers (1 of 2), 1979	box 1
Working Group on Food Security Issues in Asia and the Pacific, First Meeting, East-West Center (Honolulu, June 18-30, 1979) -- Papers (2 of 2), 1979	box 1
Agricultural Development Council (ADC) and International Crops Research Institute for the Semi-Arid Tropics (ICRISAT)	box 1

Conference on Rural Labor Markets (Aug 22-24, 1979) -- Papers
(1 of 3), 1979

Agricultural Development Council (ADC) and International Crops Research Institute for the Semi-Arid Tropics (ICRISAT) Conference on Rural Labor Markets (Aug 22-24, 1979) -- Papers (2 of 3), 1979	box 1
Agricultural Development Council (ADC) and International Crops Research Institute for the Semi-Arid Tropics (ICRISAT) Conference on Rural Labor Markets (Aug 22-24, 1979) -- Papers (3 of 3), 1979	box 2
India -- Trip Files (Fall 1979) Re: Agriculture, Food, and Rural Development, 1979	box 2
Yogyakarta Meeting -- Asia and the Pacific Agriculture and Resources (Nov 13-17, 1979) -- Memoranda, Draft Papers, 1979	box 2
Proceedings of the Yogyakarta Conference (Nov 13-17, 1979) (1 of 2), Nov 13-17, 1979	box 2
Proceedings of the Yogyakarta Conference (Nov 13-17, 1979) (2 of 2), Nov 13-17, 1979	box 2
Final Papers for Yogyakarta Conference (Nov 1979), 1979	box 2
"Food, Employment, and the Role of Women in Indonesia" (Yogyakarta conference paper and recommendation for Foundation action), 1979	box 2
Nov 1979 -- Trip to Pakistan, Indonesia -- Yogyakarta Agriculture Meeting, 1979-1980	box 2
Bangladesh -- Trip Report, Grant Proposals, Memoranda Re: Budget, 1979	box 2
Colombia/Jamaica Trip, 1979	box 2
Agriculture, Natural Resources and Related Rural Development Meeting -- Rapporteur Notes, Jun 1981	box 2

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Subject Files, 1952-1981

Title/Description	Instances
Affirmative Action -- Report, Mar 1979	box 2
Agricultural Research -- Englander, 1980	box 2
American Agricultural Economics Association -- Correspondence, Memoranda, 1976-1979	box 2
American Agricultural Economics Association (AAEA) -- Report on India and Agricultural Economics, Dec 1978	box 2

American Agricultural Economics Association (AAEA) Surveys Re: Agricultural Economics Training, 1979	box 2
Antique Upland Development Program -- Re: Philippines Agriculture, 1976-1979	box 2
"Bibliography of Substantive Writings" -- The Ford Foundation India and Nepal, 1969	box 2
"Building National Capacity to Develop Water User' Associations: Experience from the Philippines" by Frances F. Korten, Jul 27, 1981	box 2
"Community Organization and Rural Development: A Learning Process Approach" by David C. Korten, Apr 1980	box 2
"Exchange Rate, Commercial Policy and Agricultural Development: The Experience of Colombia from 1953 to 1978" by Jorge Garcia Garcia (1 of 2), Sep 1980	box 2
"Exchange Rate, Commercial Policy and Agricultural Development: The Experience of Colombia from 1953 to 1978" by Jorge Garcia Garcia (2 of 2), Sep 1980	box 2
Food, Agriculture and Nutrition Policy and Activity -- Memoranda, Reports, 1977-1981	box 2
"Health" Memorandum Re: Health Priorities Withing Ford Foundation International Program, Jun 13, 1979	box 2
Irrigation and Water Management and Improvement in Southeast Asia -- Papers and Memorandum, 1980-1981	box 2
Miscellaneous Files -- Agrarian Research, Resources and the Environment, Social Development, Asia Trip Files, Program Planning, etc., 1978-1981	box 2
"Nature and Measurement of Economic Efficiency in Indian Agriculture" by R.K. Sampath, 1978	box 2
Nutrition, Agriculture and Food Policy -- Papers, Remarks, 1978-1980	box 2
Pakistan, 1978-1979	box 2
"Plans for Resources and the Environment Program" -- Information Paper for the Trustee Committee on Education and Public Policy, Dec 1979	box 2
Program Review -- Division of Education and Public Policy -- Resources and the Environment, Jan 14, 1981	box 2
Review of Public Policy and Social Organization Program, Jan 19, 1981	box 2
Review of Research on Women in Agriculture and Rural Development, 1980	box 2

Rural Development, Agriculture, Labor Supply and Wages, Land Reform -- Papers/Research Funded by Ford Foundation, 1978-1979	box 3
Rural Resources and Development, Women, Urban Poverty and the Disadvantaged -- Grant Proposals, Memoranda, Reports, etc., 1980-1981	box 3
"Siblings and Schooling in Urban Colombia" (dissertation researched with Ford Foundation funding) by Nancy Monica Birdsall, 1979	box 3
"Some Observations on Ford Foundation Supported Community Development Corporations and their Significance for Implementation of Government Policies and Programs" by Sol Chafkin, Oct 1977	box 3
"Southeast Asia-An Annotated Bibliography of Selected Reference Sources" -- Library of Congress Orientalia Division, 1952	box 3
"Una Evaluacion de la Intervencion del Gobierno en el mercado de arroz en Colombia" by Nestor Gutierrez and Reed Hertford (in Spanish), 1974	box 3
Working Group -- Rural Resources and Development and Women's Programs, 1980-1981	box 3

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