



# Forman (Shepard) papers

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English

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## Summary Information

|                                  |  |
|----------------------------------|--|
| <b>Repository:</b>               | Rockefeller Archive Center   |
| <b>Creator - aut:</b>            | Forman, Shepard  |
| <b>Title:</b>                    | Shepard Forman papers  |
| <b>ID:</b>                       | FA1451   |
| <b>Date [inclusive]:</b>         | 1956-2016  |
| <b>Physical Description:</b>     | 3.7 Cubic Feet 9 boxes (3 legal document containers, 6 letter document containers) |
| <b>Language of the Material:</b> | English  |

### Preferred Citation

Information regarding the Rockefeller Archive Center's preferred elements and forms of citation can be found at <http://www.rockarch.org/research/citations.php>

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## Biographical / Historical

Shepard Forman held multiple positions in the Ford Foundation related to international issues and founded the Center on International Cooperation at New York University. Forman received his Ph.D. in Anthropology from Columbia in 1966 with previous training in history, Brazilian studies, and Spanish language and literature. He completed post-doctoral work in development economics at the Institute for Development Studies at Sussex, England and field research in Northeast Brazil and Timor-Leste.

Between 1966 and 1969, he held professorships in anthropology at Indiana University, the University of Chicago, and the University of Michigan. In 1977, Forman took a leave of absence from the University of Michigan to work as a program officer for the Ford Foundation in Rio de Janeiro, Brazil. In this position, he was involved in community economic and rural development while also coordinating a grantmaking program in economics and the social sciences to universities and research institutes.

In 1981, Forman stepped into the role of Director of the Human Rights and Governance programs at the Ford Foundation. He was involved in public policy initiatives and assisted civil rights and international human rights organizations. Forman became Director of International Affairs programs at the Ford Foundation in 1991. As Director, he created a grantmaking program of research, policy analysis and

public education on post-Cold War international relations. He held primary responsibility for Foundation programs in Russia and Eastern Europe and supervised 20 program and support staff in the fields of peacekeeping and disarmament, international law, international economics and development, and U.S. foreign policy. Forman left the Foundation in 1996 to found and direct the Center on International Cooperation (CIC) at New York University. The CIC's primary mission is to strengthen cooperative approaches among national governments, international organizations, and the wider policy community to advance peace, justice, and inclusion. Forman retired from the CIC in 2008. Forman served as president of the Life After Ford Foundation (LAFF) Society from 2008 until 2019.

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## Scope and Contents

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The collection contains photographs, correspondence, monthly calendars, and notebooks filled with trip reports and travel notes related to Shepard Forman's career at the Ford Foundation and transition to Director of the Center on International Cooperation at New York University.

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## Arrangement

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The collection is arranged into the following series:

1. Photographs, 1956-1993
2. Personal and Professional Papers, 1977-2016
3. Monthly Calendars, 1980-1995
4. Notepads - International Travel and Trip Notes, 1982-1986

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## Administrative Information

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### Publication Statement

Rockefeller Archive Center

15 Dayton Avenue  
Sleepy Hollow 10591  
[archive@rockarch.org](mailto:archive@rockarch.org)  
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## Processing Information

Standard processing by Katie Martin, March 2019

## Conditions Governing Access

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## Immediate Source of Acquisition

This material was transferred to the RAC in 2016 by Shepard Forman and was ingested in 2017 by the RAC as Accession 2017:010.

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## Related Materials

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### Related Materials

Related collections include:

Ford Foundation records, International Affairs, Office of the Program Director, Office Files of Shepard Forman (FA625)

Ford Foundation records, Latin America and the Caribbean Program, Rio de Janeiro, Brazil Field Office, Office Files of Shepard Forman (FA630)

Ford Foundation records, Human Rights and Governance Program, Program Director, Office Files of Shepard Forman (FA717)

Ford Foundation records, Peace and Social Justice Program (PSJ), Human Rights and International Cooperation (HRIC), Office Files of Shepard Forman (FA741)

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## Controlled Access Headings

- International relations
- Human rights
- Public policy

## Collection Inventory

### Photographs, 1956-1993

| Title/Description  | Instances |           |
|--|-----------|-----------|
| Untitled Negatives - Brazil (?), undated   | box 1     | folder 1  |
| Agricultural Development - Amazon Environmental Meeting, undated                           | box 1     | folder 2  |
| Trustees Trip - Bali, undated  | box 1     | folder 3  |
| Amazon Trip - Prints and Negatives, undated  | box 1     | folder 4  |
| Shepard Forman/David Arnold in India, circa 1956-1957                                      | box 1     | folder 5  |
| Agricultural Development Meeting - Amazon, undated   | box 1     | folder 6  |
| Bali, undated  | box 1     | folder 7  |
| Souvenir from Machado Restaurante Tipico, Portugal (Paper Photo Frame and Photos), undated | box 1     | folder 8  |
| Amazon Meeting, undated  | box 1     | folder 9  |
| Trustees Meeting - Thailand, undated   | box 1     | folder 10 |
| Dave Arnold; Terry Calder, Consultant; Shep Forman, undated                                | box 2     | folder 11 |
| Trustees - Jakarta, undated  | box 2     | folder 12 |
| Miscellaneous Photos, undated  | box 2     | folder 13 |

### Scope and Contents

1. David Arnold, Lynn Walker, Shepard Forman

2. Peter Geithner, David Arnold, Shepard Forman
3. David Arnold, June Zeitler, and Shep Forman
4. Human Rights and Social Justice Staff
5. Temple with Ford staff

|  |       |              |
|--|-------|--------------|
| Shep Forman, David Arnold - India (2 folders), undated | box 2 | folder 14-15 |
| Ford Foundation Group Photo, 1993 May 14               | box 2 | folder 16    |
| Group Photo - India, 1988 April                        | box 2 | folder 17    |

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## Personal and Professional Papers, 1977-2016

| Title/Description  | Instances |           |
|--|-----------|-----------|
| Five Year Review Notes - Persistent Poverty, International Affairs, 1987   | box 2     | folder 18 |
| Shep Forman Handwritten Notes - Advisory Group UN Financing, 1992  | box 2     | folder 19 |
| Shepard Forman - Ford Foundation Board of Trustees Meetings - Notes, 1992-1994   | box 2     | folder 20 |
| Shepard Forman Partial Grants List - Human Rights and Governance and Responsible Program Officer (RPO)/Co-Responsible Program Officer, 1996                | box 2     | folder 21 |
| Amazon Strategy Meeting Documents - Itineraries and Agendas, 1990  | box 2     | folder 22 |
| Invitation to Foundation-wide International Affairs Program Conference in Cairo and Trip to Israel - Memos, Schedules, and Handwritten Notes, 1989 October | box 2     | folder 23 |
| Trip Reports - South Africa and Zimbabwe, 1982   | box 2     | folder 24 |
| Human Rights Retreat, 1986 April 30-May 2  | box 3     | folder 25 |
| Transition to Ford Foundation, 1977-1980   | box 3     | folder 26 |
| Dorothy Nepper Marshall Symposium, Bryn Mawr College, 1986 November  | box 3     | folder 27 |

### Scope and Contents

Includes remarks: Civil-Military Relations in Latin America  
- November 8, 1986

|   |       |           |
|---|-------|-----------|
| Brasil '79 - Brasil Social Science Fellowship Committee - Photographs, 1980 October | box 3 | folder 28 |
|---|-------|-----------|

## Scope and Contents

### Photos:

1 - James Gardner, Juarez Brandao Lopes, Boris Fausto, Ruth Cardoso, Claus W. ---, Prescilla Kritz, Senior Secretary Ford Foundation, Shepard Forman, Jose Murito de Carvalho

2 - Circa 1979 - Ford Foundation social science research fellowship committee, from L-R, Boris Fausto, Professor of History, University of Sao Paulo; James Gardner, Ford Foundation rep, Rio de Janeiro; Ruth Cardozo, anthropologist (University of Sao Paulo); Claus W. --, linguist; Juarez Bradao Lopes, University of Sao Paulo; Jose Munito, Political Scientist, Unio Institute of Poli Sci, then at Candido Mendez University; Fabio; Shepard Forman, Project Specialist, Social Sciences and Rural Development

3 - roundtable discussion

|   |       |           |
|---|-------|-----------|
| Brasil '79 - Brasil Social Science Fellowship Committee - Photocopies, circa 1980 | box 3 | folder 29 |
| Life After Ford Foundation (LAFF) Society - Miscellaneous, 1994-2009              | box 3 | folder 30 |

## Scope and Contents

LAFF Governance, Relations with Ford Foundation, LAFF Reunions, possible thematic shifts, other alumni groups

|   |                |                           |
|---|----------------|---------------------------|
| Letters on my Retirement, 1996 October  | box 3          | folder 31                 |
| Planning Phase Budget - Center on International Cooperation, New York University (2 folders), (1996-2016)   | box 3          | folder 32-33              |
| Comments on Proposal to Fund a Study of Multilateral Cooperation/Letters Regarding New Ford Foundation Funded Project at New York University (4 folders), 1996-1997 | box 3<br>box 4 | folder 34-35<br>folder 36 |
| Letters Regarding Transition from Ford Foundation to NYU-Center on International Cooperation, 1996-1997   | box 4          | folder 37                 |
| Correspondence from Last Months at the Ford Foundation (2 folders), 1996  | box 4          | folder 38-39              |

## Scope and Contents

Correspondence relates to Forman's tenure as Director of the International Affairs Program, transition to NYU, and the subsequent work of the Ford Foundation in international affairs

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## Monthly Calendars, 1980-1995

### Scope and Contents

Calendars from Shepard Forman's tenure as Director of the Human Rights and Governance at the Ford Foundation, 1981-1991 and Director of International Affairs at the Ford Foundation, 1991-1996.

| Title/Description                                    | Instances |           |
|--|-----------|-----------|
| Monthly Calendar, 1980                               | box 4     | folder 40 |
| Monthly Calendar, 1982                               | box 4     | folder 41 |
| Monthly Calendar, 1983                               | box 4     | folder 42 |
| Week-At-A-Glance Calendar, 1984                      | box 5     | folder 43 |
| Week-At-A-Glance Calendar, 1985                      | box 5     | folder 44 |
| Week-At-A-Glance Calendar, 1986                      | box 5     | folder 45 |
| Week-At-A-Glance Calendar, 1987                      | box 5     | folder 46 |
| Week-At-A-Glance Calendar, 1988                      | box 5     | folder 47 |
| Week-At-A-Glance Calendar, 1989                      | box 5     | folder 48 |
| Week-At-A-Glance Calendar, 1990                      | box 5     | folder 49 |
| Loose Documents - Possibly from Calendars, 1981-1990 | box 5     | folder 50 |
| Week-At-A-Glance Calendar, 1991                      | box 6     | folder 51 |
| Week-At-A-Glance Calendar, 1992                      | box 6     | folder 52 |
| Week-At-A-Glance Calendar, 1993                      | box 6     | folder 53 |
| Week-At-A-Glance Calendar, 1994                      | box 6     | folder 54 |
| Week-At-A-Glance Calendar, 1995                      | box 6     | folder 55 |

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## Notepads - International Travel and Trip Notes, 1982-1996

### Scope and Contents

Handwritten notebooks filled with trip reports and international travel notes from Shepard Forman's tenure as Director of the Human Rights and Governance at the Ford Foundation, 1981-1991 and Director of International Affairs at the Ford Foundation, 1991-1996. Most folders also include conference or trip agendas with names of individuals involved in meetings or presentations.

| Title/Description                         | Instances |           |
|---|-----------|-----------|
| Southeast Asia, 1989 February 28-March 18 | box 6     | folder 56 |

**Scope and Contents**

Meeting in Bangkok

Israel/Egypt, 1992 January 15-29

box 6

folder 57

**Scope and Contents**

Africa/Middle East Program Review

Prague/The Hague, 1990 September

box 6

folder 58

**Scope and Contents**

Seminar: Parliaments and the Transition Toward Democracy

Moscow-Prague, 1993 July 18-31

box 7

folder 59

The American Assembly, Arden House, 1992 April 23-26

box 7

folder 60

**Scope and Contents**

The American Assembly, After the Soviet Union:  
Implications for US Foreign Policy, Arden House, Harriman  
Campus, Columbia University

India-China, 1992 March 1-13

box 7

folder 61

**Scope and Contents**

New Delhi/Beijing

Cummington - International Affairs Retreat, 1992 October 8-9

box 7

folder 62

Moscow - Poland, 1992 July 15-24

box 7

folder 63

Arden House - Human Rights Consultation, 1992 June 26-28

box 7

folder 64

Warsaw, undated

box 7

folder 65

Washington, undated

box 7

folder 66

Loose Note - Yunnan/Kunming, undated

box 7

folder 67

Stockholm International Peace Research Institute (SIPRI), 1991  
November 13-15

box 7

folder 68

Prague - Social Welfare; Bratislava; Warsaw, 1991 April 15-24

box 8

folder 69

Moscow, 1994 October 18-26

box 8

folder 70

Kiev, 1994 October 13-17

box 8

folder 71

Lisbon, 1992 November 8-13

box 8

folder 72

Turin, 1996 May 13-17

box 8

folder 73

Moscow, 1995 September 11-16

box 8

folder 74

China, 1985

box 8

folder 75

|  |        |           |
|--|--------|-----------|
| Istanbul, 1994 June 11-17  | box 8  | folder 76 |
| <b>Scope and Contents</b>  |        |           |
| Varieties of Muslim Experience   |        |           |
| Moscow, 1993 June 10-19  | box 9  | folder 77 |
| <b>Scope and Contents</b>  |        |           |
| Center for Gender Studies  |        |           |
| Moscow, 1993 April 18-25   | box 9  | folder 78 |
| Moscow/Prague, 1994 September 27-October 2   | box 9  | folder 79 |
| <b>Scope and Contents</b>  |        |           |
| Ukraine, Russia, Prague, Warsaw  |        |           |
| Moscow - Pre-Board Meeting Notes, 1993 September 6-30  | box 9  | folder 80 |
| 1. Project Liberty; 2. Joe Nye; 3. Center for Strategic and International Studies (CSIS); 4. Atlantic Council, 1991 October  | box 9  | folder 81 |
| London, 1991 June 1-7  | box 9  | folder 82 |
| Vienna/Warsaw, 1991 April 12-23  | box 9  | folder 83 |
| <b>Scope and Contents</b>  |        |           |
| Conference: "The Future of Cultural Cooperation between the Countries of Western, Central and Eastern Europe: The Role of Foundations", European Cultural Foundation and the Institute for Human Sciences, in cooperation with the Council of Europe |        |           |
| Costa Rica, 1994 February 21-25  | box 10 | folder 84 |
| Chile-Argentina, 1988 October 26-30  | box 10 | folder 85 |
| <b>Scope and Contents</b>  |        |           |
| Southern Cone and American Council of Learned Societies Conference   |        |           |
| India - Rural Poverty and Resources (RPR)/Governance Meetings - Bangalore, 1988 April 11-15  | box 10 | folder 86 |
| <b>Scope and Contents</b>  |        |           |
| Asia-Plus Rural Poverty and Governance Staff Meeting   |        |           |
| South Africa, 1982 April 20-29   | box 10 | folder 87 |
| Zimbabwe, 1982 April 27-29   | box 10 | folder 88 |

## Scope and Contents

4/27 Johannesburg - SA; 4/29 Salisbury - Zimbabwe; 4/30  
Johannesburgh - SA; 5/03 Rio de Janeiro - Brazil

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Dakar, 1989 September 17-20

box 10

folder 89

## Scope and Contents

Africa Human Rights Meeting

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Budapest, Hungary, 1990 January

box 10

folder 90

## Scope and Contents

Vienna and Hungary

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Cuernavaca, Mexico - Human Rights and Governance/Public  
Policy, 1990 January 28-February 4

box 10

folder 91

## Scope and Contents

Latin America and Caribbean Programs Regional Meeting:  
Programming in Human Rights and Governance in the  
1990s

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Asia Regional Meeting, 1992 March

box 10

folder 92

## Scope and Contents

Beijing and New Delhi; The Club of Rome - International  
Conference on Evolving Concepts of International  
Cooperation for Development

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