

Ford Foundation records, Human Rights and Governance Program, Program Director, Office Files of Shepard Forman

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Summary Information

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Program Director, Office Files of Shepard Forman

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Biographical / Historical

Segment One: International Activities of the Ford Foundation: An Overview

The Foundation's aspiration to become a national and international philanthropy for the advancement of human welfare was first formally expressed in the seminal 1949 report of the Gaither Study Committee, *Report of the Study for the Ford Foundation on Policy and Program* (RAC Library, call letters 361.7 GAI), which was commissioned by the Board of Trustees to chart the Foundation's future. Foundation Trustees launched Ford's international grantmaking activities in 1950 when they approved the committee's report and its embrace of peace, democracy, and freedom. Since then, the Foundation has tackled these goals using a variety of strategies and responding to changing contexts, from the Cold War to the 1989 fall of the Berlin Wall and beyond.

Toward the Foundation's aspirational goal, "the establishment of peace", its international activities have comprised a wide range of conceptual approaches and focus areas. These include international affairs, international studies, international understanding, arms control and disarmament, international law, international economic concerns, and overseas development in nearly every region of the world. Three distinct periods emerge for the international grantmaking defined by external contextual changes and

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internal changes in Foundation leadership and structures: the expansion era of 1950-1965; the transition and restructuring years of 1966-1988; and the post-1989 shift away from Cold War dichotomies. During each of the distinct historical periods the consistent objectives were: 1) to ensure freedom and democracy in developed countries; 2) to foster education and international understanding in all countries; and 3) to contribute to the social, economic, and political development of less developed countries.

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Biographical / Historical

Segment 3. Period Sketch - Transition and Restructuring 1966-1988

In the 1960s, the Ford Foundation Trustees began to rethink the role of the Foundation in the context of the era's dramatic political and cultural changes. For instance, the passage of the 1964 Civil Rights Act created a domestic policy context in which the Foundation could more deeply engage with issues of rights and social justice. Events overseas starting in the late 1960s would extend the rights agenda to international affairs and development operations at the Foundation -- all advanced by the leadership of McGeorge Bundy (president, 1966-1979).

McGeorge Bundy was appointed president in 1966, following a significant career in national security and academic administration. During World War II, he worked with the Army's intelligence division, and in the early post-war years was a political analyst at the Council on Foreign Relations. Even without a postgraduate degree, at the age of thirty -four he became dean of the Faculty of Arts and Science at Harvard University. In 1961, President Kennedy appointed Bundy to be Special Assistant to the President for National Security - a position he held for five years under both Kennedy and Johnson. Those significant years saw the Bay of Pigs invasion, the Cuban Missile Crisis, and the beginnings of the Vietnam War.

When Bundy arrived at the Foundation, he initiated a restructuring that modeled government departments rather than the academic ones President Henry Heald had established in the 1950s. At the same time, he had to contend with serious financial constraints due to Ford's overspending in the prior periods and to economic downturns in the global economy. The Board of Trustees mandated an annual spending of over \$100 million.

These economic constraints meant that Foundation programs had to be more selective across the range of its programs. Nonetheless, international activities remained prominent with the new president and trustees. President Bundy and key trustees such Eugene Black (1960-1968, former head of the World Bank) and Robert S. McNamara (1968-1986, former U.S. Secretary of Defense and then head of the World Bank, 1968-1981) reflected a commitment to the international activities. Bundy also added to the board in 1972 the first trustee from a developing country, the Indonesian activist and scholar, Dr. Soedjakmoto, the former Ambassador to the United States from his country and then Special Adviser on Social and Cultural Affairs to the Chairman of Indonesia's National Development Planning Agency.

To create economies of scale, Bundy unified US-based international and overseas activities under one division: the International Division (using the name for the first time). That division comprised

Resources for Development (area studies, languages, and exchanges), Population, International Relations, Planning and Evaluation, and the country programs. David Bell, an economist and the first administrator of the US Agency for International Development (1962-1966), was named vice president and served in that capacity until the end of Bundy presidency.

In 1966, Bundy terminated the long-standing internationally oriented but domestically based International Training and Research Program. Several large domestic programs -- including support of centers of international studies - were phased out in the 1970s. Instead, Ford launched under its international affairs efforts a focused but robust program in security and arms control. Over Bundy's tenure, the overseas offices were reduced from twenty in 1966 to twelve in 1979, when he retired.

The Foundation increasingly turned its attention to different set of international issues including human rights and working in repressive societies, such as South Africa. The military coups in Latin America during the late 1960s and early 1970s led the Foundation to initiate in 1976 a human rights program housed in Vice President Bell's office.

In 1979, Franklin Thomas was named president of the Ford Foundation (1979-1996). In contrast to Bundy's international and defense policy background, Franklin Thomas brought to the Ford Foundation his experience in law, housing, and community development. Prior to joining the Foundation, Thomas, a lawyer, was a Foundation trustee. He chaired the Rockefeller Foundation-funded Study Commission on U.S. Policy towards South Africa and led the Ford-funded Bedford-Stuyvesant Restoration Corporation in New York from its beginning in 1966 until he left in 1979. Earlier, he had been deputy police commissioner of NYC.

In the first decade of Thomas' tenure, the international work was still framed using the post-war East-West dichotomy. Several trustees brought to the board active engagement in international issues: Donald F. McHenry (trustee over the period 1981-1993) had served as ambassador to the U.N. and was active in the anti-apartheid movement; and General Olusegun Obasanjo (trustee over the period 1987-1999) had been Nigerian head of state from 1976-1979, and was then president of the African leadership Forum. Along with McNamara and Soedjakmoto, Rodrigo Botero, an internationally renowned economist from Colombia and former Colombian Minister of Finance and Credit from 1974 to1976, remained on the board over the period 1978-1989.

During the early years of the Thomas presidency, the scope and strategies of the Foundation's activities were also influenced by economic and global pressures, leading to reduced assets and further financial stringencies. Thomas' board-mandated reductions resulted, for example, the firing of twenty senior staff at the same time. To achieve more effective and efficient programs, Thomas had a vision of Ford as one foundation, linking the domestic and overseas activities under new program themes: Urban Poverty, Rural Poverty and Resources, Human Rights and Social Justice, Governance and Public Policy, Education and Culture, and International Affairs.

The restructured Foundation comprised two programmatic divisions led by vice presidents: U.S. and International Affairs Programs (USIAP) headed by Susan Berresford; and Developing Countries Program (DCP), headed by William Carmichael. Berresford had been at the Foundation since 1970, coming from the U.S. Manpower Career Development Agency. She served in the National Affairs area, first as program assistant and then program officer (1972-1980), becoming head of women's programs in 1980. The main international efforts under USIAP were housed in Rural Poverty and Resources, Human Rights and Governance, and International Affairs. The other programs - Urban Poverty, Education and Culture,

and Program-Related Investments - also addressed a scattering of international issues related to their main themes.

Carmichael had joined the Foundation in 1968 as Representative in Brazil. In July 1971, he was named Head of the Office of Latin America and the Caribbean, and in September of 1977, he became Head of the Middle East and Africa Office. The DCP program was responsible for all the Field Offices: Andean and the Southern Cone, Brazil, Mexico and Central America, Bangladesh, India, Southeast Asia, West Africa, Eastern and Southern Africa, and Middle East and North Africa.

When the Foundation initiated a Human Rights and Governance program (HR&G) in 1981, it was the first instance of a Ford program explicitly entitled "human rights," despite the fact that human rights grantmaking that had started officially in 1976. International Affairs remained separate from HR&G. In 1987, however, the Board conceptually linked three programs, creating a Trustee committee called Human Rights, Governance, and International Affairs, which existed until 1992. Operationally, however, the programs relating to these fields did not often work together.

International governance remained a commitment under Thomas. The Foundation, for example, had a long-established relationship with the United Nations: from 1951 until 1988, the United Nations received ninety grants from the Foundation (it received another 198 over the period 1989-2009).

In these early years of the Thomas presidency, dramatic changes were occurring in the Soviet Union and Eastern Europe. Under President Mikhail Gorbachev's leadership since 1985, the USSR was opening up to the international community, the rule of law in society was taking prominence there, and press freedoms were spreading. The foundation developed new programs in the region, drawing on the \$60 million already spent to promote human rights and free expression and increase Western understanding of developments there.

Given the continuing economic constraints facing the Foundation and rising debt in developing countries, the Foundation shifted support under DCP to smaller scale community-based initiatives in the field offices. Thomas was also charged by the Board to increase the diversity of grantees, especially to favor populations "most affected" by the problems of concern to the Ford Foundation. The Foundation built on earlier efforts and sharpened its focus on women's issues throughout the world, including shifting the focus of the population program to women' reproductive health and child survival.

In the 1980s, Carmichael and others, with strong support from Thomas and the board, continued the 1970s' support of South African grantees for training large numbers of black lawyers and litigating sensitive cases in the South African legal system. Recognizing the multifaceted nature of discrimination in South Africa, the Foundation not only advanced the rule of law, but also strengthened civic organizations, women's groups, and educational institutions. Further, it supported a number of activist organizations in the United States that were energetically advocating US governmental sanctions against South Africa and for private disinvestment. The Foundation also played a role in shaping US policy on apartheid through the role Thomas played from 1985 to 1987 chairing the US Secretary of State's Advisory Committee on South Africa.

The Foundation's overseas staff also sought to improve the economic situation in poor, marginalized communities through targeted loan programs particularly to women head of households, beginning with the innovative work in Bangladesh of Professor Mohammed Yunus, the founder of the Grameen Bank. The results led the way to establish the field of microfinance with the aim of empowering women living

in poverty conditions, such as through a microfinance network in Latin America starting 1980 and a global lending program for women starting in 1987.

Thomas encouraged staff to share results at worldwide meetings. During those meetings, Ford staff in the country offices and in New York tried to follow the mandate to work as "one foundation." The persistent challenge toward meeting that goal, however, was that initiatives emerging from the New York-based programs, or indeed any program developed in one country, were not always adaptable, relevant or acceptable in other countries or regions. It remained a challenge to develop a unified program, despite the commitment at the highest level of foundation leadership.

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Biographical / Historical

Segment 3. Period Summary - Transition and Restructuring 1966-1988

During this era, the Foundation's earlier interest in business and the economy evolved into a commitment to improving conditions for people living on the margins of society. The Ford Foundation promoted advancements in women's rights around the globe and introduced micro-lending into grantmaking. At the same time, the economic issues that were shaping program strategies also affected the Foundation's assets. Severe cuts resulted in a significant restructuring of country programs and reduced budgets across all programs.

Even with the cuts in country offices, in the 1970s Ford grantees in developing countries received approximately 80% of the International Division budget. The remaining fifth went to Population, Development Studies, and International Affairs. Although representing a much smaller piece, the International Security and Arms Control program from 1973 was the Foundation's most concerted effort to make meaningful inroads in disarmament and nuclear issues - those challenges most directly linked to the Foundation's historic concern for peace. By 1979, the Ford Foundation was the biggest funder of arms control as a field, both in the U.S. and overseas.

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Segment 4. Period Sketch - The Post-1989 Shift Away from Cold War Dichotomies

Between 1989 and 1991, dramatic changes in the external international conditions created a significantly different context for Ford Foundation's international activities both in the United States and overseas. In November, 1989, the Berlin Wall fell. In February 1990, in South Africa, Nelson Mandela was released from prison. In December 1991, the Soviet Union dissolved. As a consequence, the Cold War was over,

Western Europe no longer had a special strategic role in the Foundation, and program-related activities in Eastern Europe and the former Soviet Union multiplied. The Foundation not only continued the earlier efforts to fund leadership in the region; from 1990-1994, Ford also spent \$1 million on the training of newly-elected parliamentarians in Eastern Europe and the former USSR. By 1993, half of the funds for grantmaking related to the former Soviet bloc were going to in-country individuals and institutions.

In 1989, Thomas also instituted a major restructuring of the Foundation to fulfill his vision of "One Foundation." All programs were put into one division led by Vice President Susan Berresford. Carmichael joined the Institute of International Education to run a program on Soviet and Eastern European Affairs and then higher education in South Africa. All the Foundation grant programs - domestic and international - reported to Berresford: Africa and the Middle East (AME, formerly MEA), Asia (ASIA), Latin American and the Caribbean (LAC/OLAC), Human Rights and Governance (HRG), Education and Culture (EC), Program-Related Investments (PRI), and International Affairs (IA).

The change in South Africa opened up many new opportunities to work in partnership with a range of South Africa partners to promote and sustain the transition to democracy. The Foundation supported women's groups working on law, development and reproductive rights. It contributed, for example, to the groups working on the Women's Chatter in South Africa, resulting in the adoption in 1994 of the first constitution anywhere that specifically included sections on women's rights.

During the 1990s, the promising political changes in African countries and elsewhere provided a positive perspective to counteract the continuing economic constraints facing the Foundation and the rising debt in developing countries. Both to reinforce local democratic initiatives and to address its economic challenges, the Foundation's field offices across Africa and the developing world shifted their support toward the promotion of smaller scale community-based initiatives.

Thomas supported work on arts and culture, not as a goal in itself, but, instead, as a means for promoting social justice, education, and human rights, both in the United States and in developing countries. At the same time, while reducing support for cultural institutions in the United States, such institutions received support overseas to preserve and celebrate national and local cultural heritages. While in South Africa, for example, the Foundation provided support for Johannesburg anti-apartheid theatre groups, in West Africa it funded for nearly two decades a program to preserve and make accessible cultural heritage by expand the training of museum leaders and strengthening museum exhibit and outreach capabilities.

In the early 1990s, the Foundation through its India office helped establish an independent foundation to enhance sustainability in the arts community. Ford envisioned new opportunities for cultural institution to rely on local resources focus on new forms of creativity and encourage young artists, in particular. Similar to the Indian effort, the Ford office in Egypt in 1993 provided support for an arts-focused foundation.

When Susan Berresford became president in 1996, with a strong commitment to globalization as well as concern for the uncertainty it created, she reorganized the Foundation in to three large program themes that would inform grantmaking in the United States and developing countries: Assets with a focus on poverty, Peace and Social Justice on rights issues, and Education, Media, Arts and Culture to pull together related endeavors. She also created a separate communications program. Each program was led by a vice president. Berresford strongly reinforced the institutional and grantmaking goal of affirmative action, an organizational goal that had been initiated in the Bundy era.

She maintained significant support, domestically and internationally for women's issues. She endorsed and encouraged the worldwide meetings staff members and grantees to continue to hold joint meetings in order to create a greater sense of partnership and shared purpose. The more promising international situation enabled the Ford Foundation in 1996 to open an office in Russia (Moscow) and in Vietnam (Hanoi). Berresford encouraged the development of local and regional peace and security programs in developing countries. A regional security, peace, and cooperation program in India, for instance, focused on the work of civil society groups as an increasingly prominent regional interest in South Asia.

In this period, the Foundation gave renewed the prominence of arts and culture in the US and maintained the commitment in developing countries. By 2000, funding levels for the Education, Media, Arts and Culture division were approaching parity with the other two, Assets and Peace and Justice.

Berresford also encouraged each of the programs to hold worldwide meeting to promote cross-program and cross-national collaboration in fields such as human rights, income generation, cultural preservation, and building capacity in the arts and arts management. One persistent question of such initiatives. Toward that end, and building on the earlier experiences in India and Egypt, Berresford worked closely with her senior vice president, Barry Gaberman and other staff to establish new foundations at the local and national levels, particularly in developing countries. Gaberman had been at the foundation since 1973, starting as assistant to the representative in Indonesia. After serving in a number of different positions, by 1984, under Thomas, he served as deputy vice president in the U.S. and International Affairs program. After the 1989, Thomas and Berresford appointed him deputy vice president. 1996, Berresford appointed him senior vice president.

As the twentieth century came to a close, the economic conditions of the 1970s and 1980s that had resulted in so much global debt, with special impact on the developing world, were slowly turning around. Many countries were experiencing economic growth and burgeoning democratic initiatives. Not only was the global economic situation improving but the Foundation's assets were also growing. Consequently, the Foundation was in a strong position to bring attention to long-standing issues holding back developing countries. The grants programs addressed the pressing need both for advanced training of young- to mid-career adults living in marginalized and disadvantaged conditions along the equally, and for strengthening and building sustainability of universities across sub-Saharan Africa, along with enhancing broadband access for improved internet communications, promoting women in higher education, and strengthening postgraduate education.

Reacting to the tragedy of September 11, 2001, the Foundation provided immediate relief to affected local institutions in the United States. The Foundation also helped launch support a fund at the Institute of International Education to support scholars at risk in countries in conflict and under terrorist threats, especially in, but not limited to, the Middle East.

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Segment 4. Period Summary - The Post-1989 Shift Away from Cold War Dichotomies

Franklin Thomas's presidency, lasting until his retirement in 1995, was defined by a commitment to connect the Foundation's US and international activities around a few key themes. These themes were addressed through grants that created private sector partnerships, enhanced support for local community groups, and enlarged initiatives to promote human rights, with special attention to women's rights. Throughout Thomas's tenure, Ford staff reinforced his special commitment to bolstering marginalized communities and broadening access to the law and educational opportunity. The Ford Foundation led the way in building the fields of international security studies, arms control, human rights, and governance. Moreover, in this period, Ford was innovative in drawing together the fields of international cooperation and human rights into one program.

Susan Berresford in her tenure as president from 1996-1997 continued and expanded the activities she helped initiate under Thomas. She and her colleagues increased support for the arts and established a variety of major international collaborative efforts implementing the concept of one foundation. Ford staff in this period drew on the Foundation's time-tested grantmaking strategies (supporting individuals and new institutions as needed), while concentrating on under-addressed issues and underserved populations. With Berresford's encouragement, Foundation staff explicitly took into account the new global context, increasing opportunities for inclusion of disadvantaged populations in all of their activities.

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Scope and Contents

Shepard Forman served as the Program Officer-in-Charge of the Human Rights & Governance Program from 1981 to 1986. In 1987, the title of Program Officer-in-Charge was changed to Director and Forman served in that title until 1990. He became Director of the International Affairs Program in 1991 and retired from the Ford Foundation in 1995.

This collection documents Forman's activities as Program Director of the Human Rights and Governance Program. During his tenure, the Human Rights and Governance Program encompassed two of six major areas of funding – Human Rights and Social Justice and Governance and Public Policy. This Program supported the promotion and protection of fundamental civil and political liberties worldwide and provided support for analysis of governmental structures and policies in developed countries and in Third World countries. The records of the Program Director are significant because they document the development of new program ideas with input from the President and Board of Trustees. These papers also document work by the Program staff in developing new initiatives and implementing grant activity in the areas of human rights and public policy on a national and international scope. Activities

documented in the files include creation of program initiatives, preparation of financial materials, reports, and strategy papers that were presented to the Board of Trustees and documentation of task forces and study group activities. Of interest are the background files on the development of an AIDS funding program that involved other private foundations.

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Arrangement

The collection is composed of 16 boxes and is comprised of the following series:

I. Background Files, 1980-1991 II. AIDS Background Files, 1987-1988 III. General Correspondence Files, 1981-1982 IV. General Files, 1985-1992 V. Trip Files, 1981-1990

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Administrative Information

Publication Statement

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Processing Information

Level 1 Minimal Processing has been conducted to establish basic intellectual and physical control of the material and assess immediate preservation and conservation needs. Material remains as received, in original folders and storage boxes.

Conditions Governing Access

Records more than 10 years old are open for research with select materials restricted as noted. Brittle or damaged items are available at the discretion of RAC.

Conditions Governing Use

Completion of a use agreement is required prior to providing scholarly access. See RAC Head of Reference (or applicable designee) for details.

Ford Foundation holds title, copyright and literary rights in the collection, in so far as it holds them.

The Rockefeller Archive Center has authority to grant permission to cite and publish material from the collection for scholarly purposes. Permission to publish extensive excerpts, or material in its entirety, will be referred to the Ford Foundation.

Immediate Source of Acquisition

Ford Foundation archive was deposited at RAC in 2011. Ford Foundation records, correspondence, reports, program files and officers papers were transferred to, and accessioned by, RAC beginning in 2011. Accessions continue as necessary.

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Related Materials

Separated Materials

Reports by staff and consultants were transferred to their respective collections in the Archives. Transfers are noted on cross-reference sheets in Forman's records. An appendix to this register lists the reports that were transferred.

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Collection Inventory

Background Files, 1980-1991

Scope and Contents

Series I, Background Files, 1980-1991, includes grant recommendations, proposals, reports, publications, notes, and meeting materials (i.e. agendas, notes). Some of these files are related to grantees and potential grantees. Other materials served as reference files providing background information on particular organizations or topics. Also included are financial projections, discussion papers, program reviews, and program statements.

Title/Description Instances

| Population Grants | box 1 (SK #34648) | folder 1 |
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| President's Report to Board of Trustees (Draft Outline) | box 1 (SK #34648) | folder 2 |
| Program planning, 1982 | box 1 (SK #34648) | folder 3 |
| Committee on Public Policy & Social Organizations | box 1 (SK #34648) | folder 4 |
| Puerto Rico Legal Project | box 1 (SK #34648) | folder 5 |
| Jeff Puryear Paper, 1979 | box 1 (SK #34648) | folder 6 |
| Center on International Policy | box 1 (SK #34648) | folder 7 |
| Rhona Rapoport | box 1 (SK #34648) | folder 8 |
| Religious Freedom | box 1 (SK #34648) | folder 9 |
| Rural America | box 1 (SK #34648) | folder 10 |
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| A. Sakharov Conference, 1981 May 1 - 2 | box 1 (SK #34648) | folder 15 |
| N.Y. Council of Law Associates, Barbara Schatz | box 1 (SK #34648) | folder 16 |
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Interim Reports of Theme Teams

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| Amnesty International | box 2 (SK #36049) | folder 3 |
| Amuesha – Yanachaga Project – Peru | box 2 (SK #36049) | folder 4 |
| Anthropology Resource Center | box 2 (SK #36049) | folder 5 |
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FY1982 Program appropriations

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| East Timor | box 2 (SK #36049) | folder 11 |
| T. Kessinger | box 2 (SK #36049) | folder 12 |
| Program activities – Hungary | box 2 (SK #36049) | folder 13 |
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| Aspen Institute Dinner, March, 1981. HR: The treatment of journalists, 1981 March | box 3 (SK #34630) | folder 7 |
| Budget tables for program planning | box 3 (SK #34630) | folder 8 |
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| Subject: AIDS – Other Funders - Mark Smith, M.D. | box 10 (SK #33055) | folder 40 |
| Subject: AIDS – Other Funders - Natalie Spinganen | box 10 (SK #33055) | folder 41 |
| Subject: AIDS – Other Funders - Nancy Tichten – Bankers' Trust | box 10 (SK #33055) | folder 42 |
| Subject: AIDS – Other Funders - Jerold Weitzman – Exxon | box 10 (SK #33055) | folder 43 |
| Subject: AIDS – Other Funders - Terry Mooney – WHO (World Health Organization) | box 10 (SK #33055) | folder 44 |
| Subject: AIDS – Other Funders - Manuel Corballo - WHO (World Health Organization) | box 10 (SK #33055) | folder 45 |
| Subject: AIDS – Other Funders - Jonathan Mann - WHO (World Health Organization) | box 10 (SK #33055) | folder 46 |

| Subject: AIDS – Other Funders - Other Funders | box 10 (SK #33055) | folder 47 |
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| Subject: Policy - George Washington University (R. Merritt) | box 10 (SK #33055) | folder 48 |
| Subject: Public Policy - ACLU – AIDS Task Force | box 10 (SK #33055) | folder 49 |
| Subject: Public Policy - General Correspondence AIDS | box 11 (SK #33056) | folder 1 |
| Subject: Public Policy - Files | box 11 (SK #33056) | folder 2 |
| Subject: Public Policy - AIDS Conference - Stockholm, Sweden, 1988 June 11 - 17 | box 11 (SK #33056) | folder 3 |
| Subject: Public Policy - General | box 11 (SK #33056) | folder 4 |
| Subject: Public Policy - AIDS – Funding Mechanism Committee | box 11 (SK #33056) | folder 5 |
| Subject: Public Policy - AMFAR | box 11 (SK #33056) | folder 6 |
| Subject: Public Policy - AIDS Testimony | box 11 (SK #33056) | folder 7 |
| Subject: Public Policy - Presidential Commission on AIDS | box 11 (SK #33056) | folder 8 |
| Subject: Public Policy - Presidential Commission on HIV Epidemic and National Leadership Coalition on AIDS. Washington, D.C., 1988 February 24 | box 11 (SK #33056) | folder 9 |
| Subject: Public Policy - Testimony presented at the Presidential Commission on AIDS – Washington, D.C., 1988 April 19 | box 11 (SK #33056) | folder 10 |
| Subject: Public Policy - Council on Foundations AIDS meeting – Washington, D.C., 1988 February 18 | box 11 (SK #33056) | folder 11 |
| Subject: Public Policy - Tom Wahman – Rockefeller Bros. Fund, 1988 February 1 | box 11 (SK #33056) | folder 12 |
| Subject: Public Policy - Original AIDS report | box 11 (SK #33056) | folder 13 |
| Subject: Public Policy - June Osborn Speech | box 11 (SK #33056) | folder 14 |
| Subject: Public Policy - General | box 11 (SK | folder 15 |

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General Correspondence Files, 1981-1982

Scope and Contents

Series III, General Correspondence Files, 1981-1982, is comprised of general correspondence written to and by Forman on various topics including legal services, consultants, human rights, and grant correspondence.

| Title/Description | Instances | |
|--|-----------------------|-----------|
| Meetings and agendas, 1981-1982 | box 12 (SK #34247) | folder 1 |
| Legal Services – Back-up Centers | box 12 (SK #34247) | folder 2 |
| Proposals - Rejection Letters | box 12 (SK #34247) | folder 3 |
| Shepard Forman General Correspondence I, 1981-1982 | box 12 (SK #34247) | folder 4 |
| Shepard Forman General Correspondence II, 1981-1982 | box 12 (SK #34247) | folder 5 |
| Shepard Forman General Correspondence III, 1981-1982 | box 12 (SK #34247) | folder 6 |
| Reproductive Health Committee – Human Rights, 1982 | box 12 (SK #34247) | folder 7 |
| Consultants | box 12 (SK #34247) | folder 8 |
| Human Rights (external of USA) | box 12 (SK #34247) | folder 9 |
| Human Rights (internal USA) | box 12 (SK #34247) | folder 10 |
| Grants – Rejection Letters | box 12 (SK #34247) | folder 11 |
| DAG, 1981 | box 12 (SK #34247) | folder 12 |
| Grant correspondence, memos, etc. | box 12 (SK #34247) | folder 13 |

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General Files, 1985-1992

Scope and Contents

Series IV, General Files, 1985-1992, is comprised of correspondence, project proposals, meeting notes, consultant reports, and discussion papers. Of note are materials pertaining to the promotion of pluralism and diversity in the areas of public policy and international affairs.

| Title/Description | Instances |
|-------------------|-----------|
|-------------------|-----------|

| European Foundation Centre - Central and East European Publishing Project | box 13 (SK #39825) | folder 1 |
|--|-----------------------|-----------|
| European Cultural Foundation - East-West Parlimentary Practice Project - Jill Adler | box 13 (SK #39825) | folder 2 |
| European Cultural Foundation - East West Parlimentary Practice Project Meeting | box 13 (SK #39825) | folder 3 |
| European Cooperation Fund | box 13 (SK #39825) | folder 4 |
| European Cooperation Fund - Fund for book publication in East and Central European Languages | box 13 (SK #39825) | folder 5 |
| European Cooperation Fund - Minutes to Meeting, 1986 April 8 | box 13 (SK #39825) | folder 6 |
| European Cooperation Fund - Central European Publishing Project, 1986 October 27 | box 13 (SK #39825) | folder 7 |
| European Cooperation Fund - Central European Publishing Project, 1990-1992 | box 13 (SK #39825) | folder 8 |
| European Cooperation Fund - Eastern European Publications | box 13 (SK #39825) | folder 9 |
| IRCA (Immigration Reform and Control Act) | box 13 (SK #39825) | folder 10 |
| Ford Foundation Review of Programs related to pluralism and diversity | box 13 (SK #39825) | folder 11 |
| Susan Subak Report: A Survey of US Environmental Organizations | box 13 (SK #39825) | folder 12 |
| Don Robinson Report: Bicentennial Era Plans and Opportunities | box 13 (SK #39825) | folder 13 |
| Draft Report: Immigration and Human Captial/Ray Marshall | box 13 (SK #39825) | folder 14 |
| Shane Hunt Report: Grad Programs in Applied Economics and Public Policy/Chile and Argentina | box 13 (SK #39825) | folder 15 |
| Legislative Parlimentary Exchange | box 13 (SK #39825) | folder 16 |
| Consultant Report: Fostering Diversity in Public Television | box 13 (SK #39825) | folder 17 |
| Michael Chege - Gpp East and South Africa | box 13 (SK #39825) | folder 18 |
| Professor Larry Fuchs - Immigration & Refugee Issues | box 13 (SK #39825) | folder 19 |
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Marvin Harris - Anthropology

| | box 13 (SK #39825) | folder 21 |
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| John Hardt/William Robinson - Congressional Research Service | box 13 (SK #39825) | folder 22 |
| Louise Lampere - Changing Relations Project | box 13 (SK #39825) | folder 23 |
| William Lesher - Common Good | box 13 (SK #39825) | folder 24 |
| Robert Michael - Harris School of Public Policy | box 13 (SK #39825) | folder 25 |
| A. Perlmutter - Bill of Rights Project | box 13 (SK #39825) | folder 26 |
| Robert Russell - Consultant | box 13 (SK #39825) | folder 27 |
| Donna Shalala - Madison Plan | box 13 (SK #39825) | folder 28 |
| Changing Relations Project | box 13 (SK #39825) | folder 29 |
| Changing Relations Project (2nd folder) | box 13 (SK #39825) | folder 30 |
| Think Tank Files | box 14 (SK#39826) | folder 1 |
| Minority Pipeline | box 14 (SK#39826) | folder 2 |
| Program for Minority Recruitment and Training in Public Policy and International Affairs | box 14 (SK#39826) | folder 3 |
| 1990 Census on Minority Political Participation, 1990 | box 14 (SK#39826) | folder 4 |
| Evaluation of Alfred P. Sloan Minority Public Policy Careers Program | box 14 (SK#39826) | folder 5 |
| Black Church | box 14 (SK#39826) | folder 6 |
| Black Organizations and Fundraising | box 14 (SK#39826) | folder 7 |
| Individual Papers: Peter Yu - Papers on affirmative action and the American Electoral System Tonya Lewis - paper on voter registration Catherine Castoreno - paper on US Hispanics Rhona Rapoport - paper on external affirmative action S. Forman, Bentsi-Enchill - paper on the Human Rights and Governance Program Rhona Rapoport - Notes from Geneva and Paper on Improving Government Dru Findley - paper on recent events in China Mora McLean - paper on human rights in Africa | box 14 (SK#39826) | folder 8 |

ACLU - Perlmutter Production (Handwritten Notes)

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Humphrey Institute

| | box 14 (SK#39826) | folder 29 |
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| Reproductive Health - Geneva, 1990 | box 14 (SK#39826) | folder 30 |

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Trip Files, 1981-1990

Scope and Contents

Series V, Trip Files, 1981-1990, mainly consists of itineraries, reading materials for meetings, agendas, handwritten notes, and lists of participants of meetings attended by Forman. Also included in a smaller amount are meeting proceedings, lists of grants, and strategy papers.

| Instances | |
|-----------------------|--|
| box 15 (SK #38319) | folder 1 |
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| box 15 (SK #38319) | folder 3 |
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| | box 15 (SK #38319) |

Africa/Latin America - D. Arnold, 1987 March

| | box 15 (SK #38319) | folder 14 |
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| Southwest with H. Ramos/West Coast, 1987 April 28 - May 6 | box 15 (SK #38319) | folder 15 |
| Europe, 1987 Summer | box 15 (SK #38319) | folder 16 |
| Washington – Brookings/Urban Institute, 1987 July 7 | box 15 (SK #38319) | folder 17 |
| California, 1987 October | box 15 (SK #38319) | folder 18 |
| Atlanta/Miami: Board Meeting, 1987 October 4 - 8 | box 15 (SK #38319) | folder 19 |
| Kansas City, MO (Hispanic Development Fund Project), 1988 December 9 - 10 | box 15 (SK #38319) | folder 20 |
| Washington, D.C., 1987 December 17 - 18 | box 15 (SK #38319) | folder 21 |
| The Johnson Foundation: WINGSPREAD, 1987 | box 15 (SK #38319) | folder 22 |
| Montreal: AIDS Coalition, 1989 June 4 - 9 | box 16 (SK #38675) | folder 1 |
| Washington, D.C.: NCAP, 1989 June 20 | box 16 (SK #38675) | folder 2 |
| Washington, D.C., 1989 May 10 - 11 | box 16 (SK #38675) | folder 3 |
| Berlin: ACLS, 1989 September | box 16 (SK #38675) | folder 4 |
| Senegal, 1989 September | box 16 (SK #38675) | folder 5 |
| Cairo/Israel, 1989 October 22 - 31 | box 16 (SK #38675) | folder 6 |
| AAA Meeting, 1989 November 11 - 19 | box 16 (SK #38675) | folder 7 |
| Houston, TX: Nestor Rodriguez, 1989 October 11-12 | box 16 (SK #38675) | folder 8 |
| Vienna, 1990 January | box 16 (SK #38675) | folder 9 |
| Budapest, Hungary, 1990 January | box 16 (SK #38675) | folder 10 |
| Latin America, 1990 January | box 16 (SK #38675) | folder 11 |
| Dudament Hansam 1000 February | | |

Budapest, Hungary, 1990 February

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| Washington, D.C., 1990 February | box 16 (SK #38675) | folder 13 |
| Puerto Rico, 1990 February 28 - March 2 | box 16 (SK #38675) | folder 14 |
| Boston: Council on Foundations, 1990 April 2 | box 16 (SK #38675) | folder 15 |
| Boston: Barone Center, 1990 May 3 - 4 | box 16 (SK #38675) | folder 16 |
| Washington, D.C., 1990 May 16 | box 16 (SK #38675) | folder 17 |
| Soviet Union: Wingspread (Cancelled), 1990 May 22 | box 16 (SK #38675) | folder 18 |
| Hungary, 1990 June 18 - 20 | box 16 (SK #38675) | folder 19 |
| Vienna: Vienna Institute for Human Sciences, 1990 June 28 - July 1 | box 16 (SK #38675) | folder 20 |
| Brazil, 1990 July | box 16 (SK #38675) | folder 21 |
| Prague, Czechoslovakia, 1990 September 7 - 17 | box 16 (SK #38675) | folder 22 |

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