



# Trilateral Commission (North America) records

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English

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Rockefeller Archive Center

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URL: <http://www.rockarch.org>

## Table of Contents

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Summary Information .....	3
Biographical/Historical note .....	3
Scope and Contents note .....	6
Administrative Information .....	7
Related Materials .....	8
Controlled Access Headings .....	9
Collection Inventory .....	9
Meetings .....	9
Preliminary and Early Meetings .....	10
Principal International Meetings .....	12
Other Meetings .....	51
Task Force Reports/Projects .....	68
Publications .....	124
Membership .....	154
Officer's Files .....	155
Zbigniew Brzezinski .....	157
George S. Franklin .....	160
Charles B. Heck .....	177
Assistants to Charles B. Heck .....	189
Christopher Makins .....	190
David Rockefeller .....	192
Gerard C. Smith .....	194
Paul Volcker .....	201
Office Files .....	201
Correspondence Files .....	202
Telexes .....	205
Early Office Files .....	205
Press Clippings .....	218
Financial records .....	221

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## Summary Information

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<b>Repository:</b>	Rockefeller Archive Center
<b>Creator:</b>	Trilateral Commission.
<b>Title:</b>	The Trilateral Commission (North America) records
<b>ID:</b>	FA420
<b>Date [inclusive]:</b>	1972-2001
<b>Physical Description:</b>	217 Cubic Feet
<b>Physical Description:</b>	Total volume is an approximation.
<b>Language of the Material:</b>	English

### Preferred Citation note

When citing Trilateral Commission records for publication, the following format should be used:

Repository Name, Collection Name, Folder Number, specific item description.

Example: RAC, The Trilateral Commission (North America) records, Folder 2673, Franklin to Heck, March 1, 1979.

[^ Return to Table of Contents](#)

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## Biographical/Historical note

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The Trilateral Commission is a discussion group focused mainly on policy studies which was founded in 1973 by David Rockefeller and several other prominent private sector leaders of North America, Europe and Japan to broaden the understanding of international issues and their transnational impact.

In many ways the origins of the Trilateral Commission stem from the reorganization and reconstruction of the political and economic order following the resolution of World War II. The United Nations Monetary and Financial Conference held July 1-22, 1944 at the Washington Hotel in Bretton Woods, New Hampshire, commonly known as the Bretton Woods Conference, brought together delegates from all the allied nations to stabilize and regulate the international monetary and financial order. The Bretton Woods accords established the International Bank for Reconstruction and Development, the General Agreement on Tariffs and Trade (GATT) and the International Monetary Fund (IMF). A period of

significant economic growth followed particularly in the regions of Western Europe and Japan where the redevelopment of infrastructure and the expansion of trade exports increased their relative economic power.

The exchange rate management system established at Bretton Woods, with the U.S. dollar as its benchmark, remained in place until 1971 when U.S. President Richard M. Nixon abolished the direct convertibility of the U.S. dollar to gold and in effect abandoned U.S. participation in the Bretton Woods system. In this period of rapid change, economic and financial instability and growing nationalist tendencies discouraging the open market system established at Bretton Woods, the Trilateral Commission was conceptualized and formulated.

In March 1972, speaking before Chase Bank investment forums in Montreal, London, Brussels and Paris, David Rockefeller called for "an international commission for peace and prosperity composed of private citizens drawn from the NATO countries and Japan to examine such vital fields as international trade and investment; environmental problems; control of crime and drugs; population control; and assistance to developing nations."<sup>1</sup> Rockefeller considered Japan as an essential member of the international community and viewed a commission as a mechanism for including the Japanese in the international dialogue.

While on an airplane headed to the Bilderberg group meeting in Belgium in April 1972, David Rockefeller spoke with a like-minded colleague Zbigniew Brzezinski, Director of the Research Institute on Communist Affairs, Columbia University. Two years earlier Brzezinski had published *Between Two Ages: America's Role in the Technotronic Era* in which he advocated that "a community of developed nations must eventually be formed if the world is to respond effectively to the increasingly serious crisis that in different ways now threatens both the advanced world and the Third World."<sup>2</sup> To address transnational issues and problems "an effort must be made to forge a community of the developed nations that would embrace the Atlantic States, the more advanced European communist states and Japan. These nations need not – and for a very long time could not – form a homogenous community resembling EEC."<sup>3</sup> Brzezinski's thesis called for reshaping the framework of international affairs. "Movement toward such a community will in all probability require two broad and overlapping phases. The first of these would involve the forging of community links among the United States, Western Europe and Japan, as well as other more advanced countries...the second phase would include the extension of these links to more advanced communist countries."<sup>4</sup> Brzezinski envisioned forming a trilateral body, "a high-level consultative council for global cooperation, regularly bringing together the heads of governments of the developed world to discuss their common political security, educational-scientific and economic-technological problems, as well as to deal with their moral obligations toward the developing nations."<sup>5</sup> Finally Brzezinski argued that a trilateral body intent on enabling international discourse and the sharing of information regarding issues of commonality is lacking within the existing structure of international organizations, stating that "a council of this sort, perhaps initially linking only the United States, Japan and Western Europe, and thus bringing together the political leaders of states sharing certain common aspirations and problems of modernity, would be more effective in developing common programs than is the United Nations, whose efficiency is unavoidably limited by the Cold War and northsouth divisions"<sup>6</sup>

The Bilderberg group promotes understanding and discourse between North American and Western European countries. At the April 1972 meeting David Rockefeller, as he had done previously, urged the Bilderberg Executive Committee to take a trilateral approach and invite the participation of Japan. When his recommendation for trilateralism was again rejected by Bilderberg, Rockefeller continued to pursue the idea, investigating its application through further consultations with Brzezinski, George S. Franklin,

a college roommate of Rockefeller's at Harvard who served as Executive Director of the Council on Foreign Relations from 1953-1971, and interested colleagues in Europe and Japan. David Rockefeller's consultations culminated with a July 23-24, 1972 meeting at Pocantico Hills, NY attended by C. Fred Bersten, Robert Bowie, Zbigniew Brzezinski, McGeorge Bundy, Karl Carstens, Guido Colonna di Paliano, Francois Duchene, Rene Folk, George S. Franklin, Max Kohnstamm, Bayless Manning, Kiichi Miyazawa, Kinhide Mushakoji, Saburo Okita, Henry Owen, David Rockefeller and Tadashi Yamamoto; in which the participants enthusiastically agreed to take steps to establish the Trilateral Commission.<sup>7</sup>

Shortly thereafter in the Fall of 1972 and early 1973, the respective areas designated regional chairman, Zbigniew Brzezinski was selected as the Commission's Director, and financial support was obtained from several sources including but not limited to the Kettering Foundation and Ford Foundation, to supplement the initial seed monies provided by David Rockefeller.

The Commission was originally established for a 3 year period. It has since been renewed for successive triennia, most recently for a 13th triennium to be completed in 2012.

1 Rockefeller, David. *Memoirs*. New York: Random House, 2002, p.416. 2 Brzezinski, Zbigniew. *Between Two Ages*. New York: The Viking Press, 1970, p.293. 3 Brzezinski, Zbigniew. *Between Two Ages*. New York: The Viking Press, 1970, p.295. 4 Brzezinski, Zbigniew. *Between Two Ages*. New York: The Viking Press, 1970, p.296. 5 Brzezinski, Zbigniew. *Between Two Ages*. New York: The Viking Press, 1970, p.297. 6 Brzezinski, Zbigniew. *Between Two Ages*. New York: The Viking Press, 1970, p.297. 7 *The Trilateral Commission: A Proposal*, March 15, 1973, folder 6062, box 997, RG 3. Rockefeller Brothers Fund Records, RAC.

[^ Return to Table of Contents](#)

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## Biographical/Historical note

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Administrative Structure of the Trilateral Commission

Organizing Chairman (Founder) – David Rockefeller

Regional Chairmen – Three (One from each trilateral region)

Director – In the first triennia, the Commission had a central Director, Zbigniew Brzezinski, responsible for overseeing day to day operations, focusing particularly on policy studies.

Coordinator – In 1977, the Commission chose to decentralize by creating the position of Coordinator rather than continuing with a Director. The Coordinator, George Franklin, was chosen by the Executive Committee. The Coordinator continued to oversee Commission operations, but the European and Japanese offices were considerably strengthened.

Executive Committee – comprised of Regional Chairmen, Deputy Chairmen and other members from all three regions. The Executive Committee is responsible for the policy recommendations of the Commission.

In 2000, the Commission accomplished one of the goals Brzezinski had envisioned by expanding its membership to include representatives from other advanced democratic nations – restructuring the Japanese membership to encompass that of the Pacific Asian region including but not limited to: Australia, Malaysia, New Zealand, Philippines, Singapore and Thailand. Commission leaders have also actively sought to include individuals from communist and other non-trilateral countries in the discourse, through the planning for, and/or holding of meetings in non-trilateral areas such as Moscow (1980), Beijing (1981) and Mexico City (1984, 1990). Such meetings were not always successfully held as initially proposed, but the Commission continues to engage opportunities to enhance the discussion through expanded participation and the inclusion of differing perspectives.

[^ Return to Table of Contents](#)

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## Scope and Contents note

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The objective of the Trilateral Commission is to foster discussion and cooperation among the core democratic industrialized areas of the world. David Rockefeller defined the Commission's primary focus as bringing "the best brains in the world to bear on the problems of the future."<sup>8</sup>

In the 1970's the dominance of the United States in the world community and economy was diminishing and there was a sense that a new more equitable paradigm of international affairs would be needed to address global challenges. The Commission acts as a platform for greater cooperation between the member regions as well as an opportunity to enhance discussion and understanding of the problems, concerns and interests common to all three areas.

Upon its founding in 1973, the Commission's immediate goals were to introduce Japan into the international community; welcome Japanese participation in trilateral discourse; and bring together prominent non-governmental leaders, representing all three geographical regions and a variety of professional fields to address the key common problems facing the three regions.

Specific aims of the Commission include:

1. To facilitate better communication. Communication in turn helps to alleviate potential misunderstandings as well as to develop greater understanding of the complex issues confronting the three regions and the world.
2. To propose policies. Policy recommendations are mainly in the area of foreign policy including economic relations, political and security concerns, and the relationship of trilateral countries to the developing world and/or to communist nations.

3. To foster understanding and support of the Commission's recommendations among governmental and private sector non-member leaders in all three trilateral regions.

Files for the regional commissions in Europe and Japan are not represented in the collection.

8 Sklar, Holly ed., Trilateralism. South End Press, Boston, MA., 1980. p.83.

[^ Return to Table of Contents](#)

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## Administrative Information

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### Publication Statement

Rockefeller Archive Center

15 Dayton Avenue

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[archive@rockarch.org](mailto:archive@rockarch.org)

URL: <http://www.rockarch.org>

### Processing Information note

Minimal archival processing of the Trilateral Commission (North America) records was conducted by Robert Battaly, Assistant Director, Head of Processing, Rockefeller Archive Center in March-April 2011 to arrange and describe the material and enable scholarly access. Further archival processing, primarily to address the preservation and conservation needs of the records, will be conducted at a later date, as necessary.

Finding Aid Preparation The finding aid was prepared by Robert Battaly, Assistant Director, Head of Processing, Rockefeller Archive Center, April 2011. Many of the contextual notes originated as annotations by Charles B. Heck, Trilateral Commission Secretary, upon shipment of the material to the Rockefeller Archive Center.

### Existence and Location of Copies note

Microfilm copies of portions of the Zbigniew Brzezinski Files (Box 241A), Gerard C. Smith Files (Box 308B-P), and Trilateral Commission Early Correspondence, 1972-1975 (Box 310A-B) are available at the Jimmy Carter Library, Atlanta, GA.

## **Immediate Source of Acquisition note**

The Trilateral Commission (North America) donated these records to the Rockefeller Archive Center in March 2003. The Archive Center received the collection in four accessions in 1985 (Accession 1985:153, 1985:171, 1985:201) and 2002 (2002:045).

## **Conditions Governing Use note**

To be granted scholarly access to the records of the Trilateral Commission (North America), all users must complete an RAC use agreement. Please see the RAC Head of Reference for details.

The Trilateral Commission retains copyright to published project reports and to the issues of Trialogue. The Rockefeller Archive Center has authority to grant permission to cite and publish material from these publications. Requests to publish long excerpts from a copyrighted publication, or the publication in its entirety will be referred to the officers of the Trilateral Commission.

## **Conditions Governing Access note**

Records more than 15 years old are open for research with select materials restricted as noted. Brittle or damaged items are available at the discretion of RAC. Researchers interested in accessing digital media (floppy disks, CDs, DVDs, etc.) or audiovisual material (audio cassettes, VHS, etc.) in this collection must use an access surrogate. The original items may not be accessed because of preservation concerns. To request an access surrogate be made, or if you are unsure if there is an access surrogate, please contact an archivist.

[^ Return to Table of Contents](#)

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## **Related Materials**

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### **Related Archival Materials note**

1. Rockefeller Brothers Fund records, Grants (See Archivist for details). 2. Rockefeller Family & Associates, General Files (See Archivist for details, special permissions may be required). 3. John Stremlau Papers, Box 26, Folders 301-303. 4. Office of the Messrs. Rockefeller records, World Affairs Series Files document Rockefeller family contributions to international relations including the Institute of Pacific Relations and the Council on Foreign Relations.

[^ Return to Table of Contents](#)



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## Controlled Access Headings

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- Nuclear disarmament
- Nuclear arms control
- International relations
- International economic relations
- Energy policy
- Environmental policy
- Asia -- Foreign relations
- East-West trade
- Industrial relations
- International cooperation
- Fiscal policy
- Foreign relations
- Nuclear energy
- Asia -- Foreign economic relations -- United States
- Population policy

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## Collection Inventory

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### Meetings, 1972-2001

Physical Description: 53.5 Cubic Feet Boxes 1-94.

#### Scope and Contents

The collection does not contain documentation of the European or Japanese/Pacific Asian Executive Committee meetings.

#### Scope and Contents

The Commission serves as a forum for discussion of issues common to all three trilateral regions, primarily by organizing and holding meetings among and between its members.

#### Arrangement

Series 1 is arranged into the following subseries: 1. Preliminary and Early Meetings; 2. Principal International Meetings; 3. Other Meetings. Each subseries is loosely arranged chronologically. Subseries 3 is primarily arranged by meeting type, and subsequently loosely arranged chronologically.

#### Other Finding Aids

For an overview of meeting records contained in the collection, please refer to "Major Meetings" ([available as a PDF](#))

Related Materials:

## Related Materials

Documentation of various Commission meetings is also available in the Francois Sauzey files in Series 3, the Officers Files (Series 5), and the Early Office Files in Series 6.

## Scope and Contents

1. Preliminary and Early Meetings, 1972-1975 The preliminary and early meeting records document several preparatory meetings in the Commission's formation. Files are also included from North American regional meetings which preceded the international Executive Committee meetings in 1973 and 1974. The pattern of meetings in the early years was for the international Executive Committee meeting to be preceded by regional meetings of the entire membership in that given region.

2. Principal International Meetings The principal international meeting records provide documentation of the Executive Committee meetings, Plenary meetings, and the preparation for several meetings planned to be held outside the Trilateral area including Moscow early 1980 (a planned meeting with members from all three regions - cancelled after the Soviet invasion of Afghanistan); Beijing 1981 (a meeting held in 1981 with members from all three areas, followed by travel in China); and Mexico City 1984 (cancelled due to scheduling when it became clear that President de la Madrid would be out of the country at the time). A conference was later held in Mexico City prior to the 1990 Plenary meeting in Washington, D.C.

Since the inception of the Commission, the location of the annual meetings has rotated among the three regions.

The first three international meetings of the Commission --- 1. Tokyo October 1973; 2. Brussels June 1974; and 3. Washington, D.C. December 1974 --- were all executive committee meetings. The first international plenary meeting was held in Tokyo/Kyoto in May 1975. The next major meeting was an executive committee meeting held in Paris in December 1975. Then the May 1976 meeting in Ottawa was a plenary meeting. All subsequent principal international meetings have been plenary meetings.

From 1976-1979 the plenary meetings took place approximately every 9 months. Since 1979, the plenary meetings have been conducted on an annual basis. The substance of the principal international meetings is collected in issues of Trialogue, (which draws together presentations from each meeting) and through the project reports (which are discussed in draft form at the meetings). Meeting participation and programs can be tracked in the program/bio books.

3. Other Meetings The records of other meetings include that of: Chairmen's Meetings, 1974-1998 (typically held just prior to the annual plenary meeting, although in some years they were held directly after the plenary meeting); Three Region Executive Committee (typically met over breakfast on one day of the plenary meeting.); North American Executive Committee and Trustees (typically met each summer and have held some special meetings); Meetings with David Rockefeller as Chairman; North American Events; North American Regional Dinners, 1986-1991 and European Regional Meetings. Documentation of various Commission meetings is also available in the Francois Sauzey files in Series 3, the Officers Files (Series 5), and the Early Office Files in Series 6.

## Preliminary and Early Meetings

Title/Description	Instances	
International Commission on Peace and Prosperity - George S. Franklin, Pocantico, 1972 July 23-24	box 1	folder 1
Meeting - Minutes, 1972 May 9	box 1	folder 2
Visit to Europe Re: Proposed International Commission, (Max Kohnstam), 1972 May 23-June 1	box 1	folder 3
Comments on Proposed Commission, 1972 May 23-June 1	box 1	folder 4

Meeting - Agenda, 1972 May 9	box 1	folder 5
Meeting - Master Copy of Proposed Agenda, 1972 May 9	box 1	folder 6
Persons Interviewed In Europe, 1972	box 1	folder 7
Visit to Japan (with David Rockefeller and Joseph V. Reed, Jr.), 1972 June	box 1	folder 8
Expenses in Connection with Project, 1972	box 1	folder 9
Meeting - Agenda (New), Pocantico, 1972 July 24	box 1	folder 10
Meeting - Agenda (Old), Pocantico, 1972 July 24	box 1	folder 11
Meeting - Minutes, Pocantico, 1972 July 23-24	box 1	folder 12
Meeting - Participants, Pocantico, 1972 July 24	box 1	folder 13
North American Commissioners Meeting, (Includes Report), 1973 October	box 1	folder 14
Papers from Messrs. Bowie, Brzezinski, Colonna, Franklin, Kohnstamm, Owen (with Originals)	box 1	folder 15
Meeting - Joseph Veneer Reed, Jr., Pocantico, 1972 July 24	box 2	folder 16
Meeting - George Franklin, Pocantico, 1972 July 24	box 2	folder 17
Luncheon Conversation About the Trilateral Commission, 1972 September 5	box 2	folder 18
Planning Committee Meeting, 1972 October 11	box 2	folder 19
Meeting, Minutes, Paris, 1972 October 25	box 2	folder 20
Japan Trip, 1973 January 8	box 2	folder 21
Meeting - Minutes, Geneva, 1972 November 25	box 2	folder 22
Meeting, Washington, D.C., 1972 December 23	box 2	folder 23
Planning Committee Meeting, Washington, D.C. - Henry Owen's Place, 1972 December 8	box 2	folder 24
Meeting, Brussels, 1973 March	box 2	folder 25
Meeting, Atlanta, 1973 April 13	box 2	folder 26
Meeting, Washington, D.C., 1973 April 14	box 2	folder 27
Meeting, 1973 September 16	box 2	folder 28
Meetings, 1973-1974	box 2	folder 28A
U.S. Commission, 1973 October 15-16	box 3	folder 29
Meetings, Tokyo, 1973 October	box 3	folder 30
Carnegie Endowment, 1973 December 13	box 3	folder 31
Meetings, Washington, D.C., 1973 December	box 3	folder 32

Chairmen's Meetings, London, 1974 May 17-18	box 3	folder 33
Commissioners Meetings - Master Attendance List, 1974 May 29-30	box 3	folder 34
North American Commission Meetings, New York, 1974 May 29-30	box 3	folder 35
North American Commission Meetings, New York, 1974 May 29-30	box 3	folder 36
North American Commission Meetings - Notebook Contents, New York, 1974 May 29-30	box 3	folder 37
North American Commission Meetings, 1975 October	box 3	folder 37A

## Principal International Meetings

Title/Description	Instances	
Principal Trilateral Commission Meetings, 1973-1975	box 4	folder 38
Executive Committee Meeting, Tokyo, 1973 October 22-23	box 4	folder 39
Executive Committee Meeting - Report [written in English and Japanese], Tokyo, 1973 October 22	box 4	folder 40
Declaration for Executive Committee, Tokyo, 1973 October	box 4	folder 41
Executive Committee Meeting - Program, Tokyo, 1973 October 22-23	box 4	folder 42
Executive Committee Meeting, Brussels, 1974 June	box 4	folder 43
Executive Committee Meeting, Brussels, 1974 June 23-25	box 4	folder 44
Executive Committee Meeting - Joint Statement, Brussels, 1974 June 23-25	box 4	folder 45
Executive Committee Meeting - Master Attendance Sheet, Brussels, 1974 June 23-25	box 4	folder 46
Executive Committee Meeting - Notebook, Washington, D.C., 1974 December 8-10	box 5	folder 47
Executive Committee Meeting - Participants, Washington, D.C., 1974 December 8-10	box 5	folder 48
Executive Committee Meeting - Miscellaneous, Washington, D.C., 1974 December 8-10	box 5	folder 49
Kissinger Dinner, 1974 December 9	box 5	folder 50
Executive Committee Meeting - Speeches, Washington, D.C., 1974 December 8	box 5	folder 51
Hara, Shonfield, Roosa - Inflation	box 5	folder 52
Executive Committee Meeting - Summaries, Washington, D.C., 1974 December	box 5	folder 53

Executive Committee Meeting - Executive Row Hotels, Washington, D.C., 1974 December	box 5	folder 54
International Club Meeting, 1974 December	box 5	folder 55
Executive Committee Resolution - Draft and Final, 1974 December	box 5	folder 56
Executive Committee Welcome Letter, 1974 December	box 5	folder 57
Executive Committee Meeting - Program Schedule, Washington, D.C., 1974 December	box 5	folder 58
Executive Committee Meeting - Embassy Row Hotel, Washington, D.C., 1974 December	box 5	folder 59
Responses, 1974 December 8-10	box 5	folder 60
Executive Committee, Dinner Invitations, Press, 1974	box 5	folder 61
Kissinger Dinner, 1974 December	box 5	folder 62
Joint Statement, 1974 December	box 5	folder 63
Executive Committee - Expenses, 1974 December	box 6	folder 64
President Ford Meeting, 1975	box 6	folder 65
Executive Committee Meeting - Papers, 1974 December	box 6	folder 66
Executive Committee Meeting - Participants, 1974 December	box 6	folder 67
Remarks by George W. Ball, 1974 December 8	box 6	folder 68
Executive Committee, Fall 1974, 1974 December 8-10	box 6	folder 69
Executive Committee, 1975 Fall	box 6	folder 70
Meetings, Program Options, etc., Japan, 1975 May	box 6	folder 71
Meetings - Responses, Japan, 1975 May	box 6	folder 72
Meetings - Non-Commissioner, Company Representatives, Japan, 1975 May	box 6	folder 73
Joint Statement - Kyoto, 1975	box 6	folder 74
Euro-Japanese Meeting, 1975 May	box 6	folder 75
Japan - Foundation Invitations, 1975	box 6	folder 76
Japan Plenary Meeting - Industrial Practices in Japan, 1975 May	box 7	folder 77
Haggerty Remarks, Kyoto, 1975 May	box 7	folder 78
Japan Plenary Meeting - Bills, 1975	box 7	folder 79
Japan Plenary Meeting - Speeches - Schroeder, Kashiwagi & Watanabe, Kyoto, 1975 May	box 7	folder 80
Plenary Meeting - Program [written in English and Japanese], Kyoto, 1975 May	box 7	folder 81

Plenary Meeting - Distribution of Papers (Impact), 1975	box 7	folder 82
Japan Seminar and Impact Program Expenses	box 7	folder 83
Japan Meetings - Questionnaires, Responses, 1975 May	box 7	folder 84
Japan Press Invitations, 1975 May	box 7	folder 85
Japan Meetings - Rapporteurs and Speakers, 1975	box 7	folder 86
Japan Meetings - Schnittker Paper, Kyoto, 1975 May	box 7	folder 87
Japan Meetings - Sample Notebook, Kyoto, 1975 May	box 7	folder 88
Japan Meetings - Program, Kyoto, 1975 May	box 7	folder 89
Japan Meetings - Profile of Participants, Kyoto, 1975 May	box 7	folder 89A
Japan Meetings - Tokyo Seminars, 1975 May 27-29	box 7	folder 90
Euro-Japanese Meetings, 1975 May-June	box 7	folder 91
Executive Committee Meeting, Washington, D.C., 1974 December	box 8	folder 92
Japan Meetings - Chairman's Meeting, Hotel Okura (Room M530, Ms.Gertrude Werner), 1975 May 26	box 8	folder 93
Information File	box 8	folder 94
Japan Speeches	box 8	folder 95
Post Kyoto Meetings, 1975 June	box 8	folder 96
Plenary Meeting - Haggerty Comments, Kyoto, 1975 May 31	box 8	folder 97
Japan Meetings - Miscellaneous, 1975	box 8	folder 98
Japan Meetings - Programs and Reports, 1975	box 8	folder 99
Japan Events - Press Coverage, 1975 May	box 8	folder 100
Claude Cheysson, Paris Speech, 1975 November	box 8	folder 101
Guido Carli, Paris Speech, 1975 November 30	box 8	folder 102
Jacques Cherrac, Paris Speech, 1975 November	box 8	folder 103
Kinhide Mushakoji, Paris Speech, 1975 December 1	box 8	folder 104
Patrick Haggerty Paris Speech	box 9	folder 105
Budgets for Paris, 1975	box 9	folder 106
Paris Meeting - Joint Statement, 1975	box 9	folder 107
Paris Meetings - North Americans Meeting and Chairman's Meeting, 1975 November/December	box 9	folder 108
Invited Guests, 1975	box 9	folder 109
Executive Committee Meeting - Rapporteurs Invitations, 1975 Fall	box 9	folder 110

Paris Meetings - Press/Media Invitations, 1975 November-December	box 9	folder 111
Paris, 1975	box 9	folder 112
Paris Speeches - Otto Lamsdorf, 1975	box 9	folder 113
Paris Meetings - Mailing to Journalists, 1975	box 9	folder 114
Paris Meetings - Program and Briefing Paper, 1975 November-December	box 9	folder 115
Paris Meetings - Assorted Paris Related Memos, 1975 November-December	box 9	folder 116
Paris Meetings - Mailings, 1975 November	box 9	folder 117
Paris Meetings, 1975	box 9	folder 118
Paris Meetings - Materials, 1975	box 9	folder 119
Paris Meetings - Joint Statement, 1975 December	box 9	folder 120
Ottawa Meetings - Joint Statement, 1976	box 9	folder 121
Ottawa Meetings - Cutler, Littman, Ariga, Kaiser, 1976	box 9	folder 122
Ottawa Meetings - Summary, 1976	box 9	folder 123
Ottawa Meetings - Press Coverage, 1976	box 9	folder 124
Ottawa/Washington - Ford and Carter Statements, 1976	box 9	folder 125
Ottawa/Washington Meetings - Programs, Lists of Participants, Agendas,	box 9	folder 126
Ottawa Remarks - Brzezinski, Trudeau, MacDonald	box 9	folder 127
Ottawa/Washington Meetings - Program, 1976 May 9-12	box 9	folder 128
Washington, D.C. Meeting, 1976 May 12	box 9	folder 129
Ottawa Meetings - Invitations to Speakers and Guests, 1976	box 10	folder 130
Commission Meeting, 1976 May	box 10	folder 131
Ottawa to Washington, 1976 May 11	box 10	folder 132
Lunch in San Francisco, 1976	box 10	folder 133
Ottawa, 1976 May	box 10	folder 134
Ottawa - Joint Statement, 1976 May	box 10	folder 135
Ottawa Meetings (Portfolio), 1976 May 9-11	box 10	folder 136
Plenary Meeting, Tokyo, 1977 January 9-11	box 10	folder 137
Plenary Meeting - Commissioner's Responses, Tokyo, 1977 January 9-11	box 10	folder 138
Plenary Meeting, Tokyo, 1977 January 9-11	box 10	folder 139

Plenary Meeting, Tokyo, 1977 January 9-11	box 10	folder 140
Plenary Meeting - Program, Tokyo, 1977 January 9-11	box 11	folder 141
Plenary Meeting - Speakers, Tokyo, 1977 January 9-11	box 11	folder 142
Plenary Meeting - N/A Committee Non-Participants, 1977 January	box 11	folder 143
Task Force Meeting, Tokyo, 1977 January	box 11	folder 144
Schmidt, Shultz, Healy Invitation Letters, 1977	box 11	folder 145
Interviews, Tokyo, 1977 January	box 11	folder 146
Plenary Meeting - Press Section, Tokyo, 1977 January 9-11	box 11	folder 147
Japanese-European Meeting, Tokyo, 1977 January 12-13	box 11	folder 148
Plenary Meeting - Heck, Bonn, 1977 October 22-25	box 11	folder 149
Plenary Meeting - Guests, Tokyo, 1977 January 9-11	box 11	folder 150
Plenary Meeting - Macroeconomic Session, Tokyo, 1977 January 9-11	box 11	folder 151
Plenary Meeting - Hotel Accommodations, Bonn, 1977 October 22-25	box 11	folder 152
Plenary Meeting, Bonn, 1977 October 22-25	box 12	folder 153
Plenary Meeting - Question and Answer/Brzezinski, Bonn, 1977 October 22-25	box 12	folder 154
Plenary Meeting - Declined, Bonn, 1977 October 22-25	box 12	folder 155
Plenary Meeting - Security, Bonn, 1977 October 22-25	box 12	folder 156
<u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  Permanently Restricted - Confidential Material - Personnel		
Plenary Meeting - Rapporteurs and Guests, Invitees, 1977 October 22-25	box 12	folder 157
Plenary Meeting - Speeches, Bonn, 1977 October 22-25	box 12	folder 158
Summary of Executive Committee and Chairmen's Meetings, Bonn, 1977 October	box 12	folder 159
Energy and Nuclear Proliferation, 1977	box 12	folder 160
Plenary Meeting - Agenda (Master Copy), Bonn, 1977 October 22-25	box 12	folder 161
Plenary Meeting - Form Letters of Invitations and Lists of Commissioners, Bonn, 1977 October	box 12	folder 162
Plenary Meeting - Speakers, Bonn, 1977 October	box 12	folder 163



Plenary Meeting - Press Relations and Press Conference, 1977 October 25	box 12	folder 164
Budget, 1972 May 1-1975 November 1	box 13	folder 165
Plenary Meeting - Agenda, Bonn, 1977 October 22-25	box 13	folder 166
Plenary Meeting - Acceptances, Bonn, 1977 October 22-25	box 13	folder 167
Plenary Meeting, Bonn, 1977 October	box 13	folder 168
Plenary Meeting - Expenses, Bonn, 1977 October	box 13	folder 169
Plenary Meeting - Incoming Questionnaires, Bonn, 1977 October	box 13	folder 170
Plenary Meeting - Passport Numbers - White House Reception, Washington, D.C., 1978 June 12	box 13	folder 171
<u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  Permanently Restricted - Confidential Material - Personnel		
Plenary Meeting - White House Reception, Washington, D.C., 1978 June 12	box 14	folder 172
<u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  Permanently Restricted - Confidential Material - Personnel		
Plenary Meeting - Questionnaires, Washington, D.C., 1978 June 12	box 14	folder 173
<u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  Permanently Restricted - Confidential Material - Personnel		
Plenary Meeting - Program, Washington, D.C., 1978 June 12	box 14	folder 174
Plenary Meeting - Final Program, Washington, D.C., 1978 June 12	box 14	folder 175
Plenary Meeting, Washington, D.C., 1978 June 12	box 14	folder 176
Plenary Meeting - Washington, D.C., 1978 June 12	box 14	folder 177
Plenary Meeting - Potomac Barge (Dinner Cruise for Spouses), Washington, D.C., 1978 June 11	box 14	folder 178
Plenary Meeting - Hotel, Washington, D.C., 1978 June	box 14	folder 179
Plenary Meeting - Hotel Count, Washington, D.C., 1978 June	box 14	folder 180
Plenary Meeting - Guests, Washington, D.C., 1978 June 11-13	box 14	folder 181

Plenary Meeting - Hotel Bills, Loews Lenfant Plaza, Washington, D.C., 1981	box 14	folder 182
Plenary Meeting - Arrangements, Washington, D.C., 1978 June	box 14	folder 183
Plenary Meeting, Washington, D.C., 1978 June 11-13	box 15	folder 184
Plenary Meeting - Requests for Reimbursement 1978 June 16 and later, Washington, D.C., 1978 June	box 15	folder 185
Plenary Meeting - Original Copies of Speeches by Anderson, Ushiba, Miyazawa, Washington, D.C., 1978 June	box 15	folder 186
Plenary Meeting - Master Charts, Washington, D.C., 1978 June 10-13	box 15	folder 187
Plenary Meeting - Attendance, Washington, D.C., 1978 June	box 15	folder 188
Plenary Meeting - Attendance - Thank You Notes, Washington, D.C., 1978 June	box 15	folder 189
Plenary Meeting, Washington, D.C., 1978 June	box 15	folder 190
Plenary Meeting - Attendance, Washington, D.C., 1978 June	box 15	folder 191
Plenary Meeting - Sources for Subjects and Acceptances - Speakers, Washington, D.C., 1978 June	box 15	folder 192
Plenary Meeting - Japanese Participants, Washington, D.C., 1978 June	box 15	folder 192A
Plenary Meeting - List of Participants (and Air Flight Info), Bonn, 1977	box 15	folder 193
LNC - Japan - Commissioners and Spouses, 1978	box 15	folder 194
Plenary Meeting - Agenda/Special Arrangements/General Info, Washington, D.C., 1978 June	box 15	folder 195
Plenary Meeting - American Participants, Washington, D.C., 1978 June 11-13	box 16	folder 196
Plenary Meeting - American Non-Participants, Washington, D.C., 1978 June 11-13	box 16	folder 197
Plenary Meeting - Press Conference (George S. Franklin Folder), 1978 June 14	box 16	folder 198
Plenary Meeting - Security, Washington, D.C., 1978 June 10-13	box 16	folder 199
<u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  Permanently Restricted - Confidential Material - Personnel		
Plenary Meeting - European Participants, Washington, D.C., 1978 June 11-13	box 16	folder 200
Plenary Meeting - Requests To be Met at Airport, Washington, D.C., 1978 June	box 16	folder 201

Plenary Meeting - Rapporteurs, Washington, D.C., 1978 June 11-13	box 16	folder 202
Plenary Meeting - Canadian Participants, Washington, D.C., 1978 June 11-13	box 16	folder 203
Trilateral Commission (North America) - Financial Statements, 1978-1979	box 16	folder 204
LNC - Canadians - Commissioners and Spouses, 1978 June	box 16	folder 205
LNC - European - Commissioners and Spouses, 1978 June	box 16	folder 206
Foreign Policy Association - TC Luncheon, 1977 June 15	box 16	folder 207
LNC - U.S. - Commissioners and Spouses, 1978 June	box 16	folder 208
Plenary Meeting - Speakers, Washington, D.C., 1978 June 11-13	box 16	folder 209
Plenary Meeting - Non-Participating N.A. Members who received copies of Chairmen's Recommendations, Washington, D.C., 1978 June	box 16	folder 210
North American Regional Meeting, Washington, D.C., 1978 June 10	box 16	folder 211
Plenary Meeting - Ambassadors Invited to von Dohnanyi Dinner, Washington, D.C., 1978 June	box 16	folder 212
President's Remark, 1978 June 12	box 16	folder 213
<b>Scope and Contents note</b>		
Letter to President Carter written by David Rockefeller		
Financials, 1978	box 16	folder 214
Plenary Meeting, Washington, D.C, June 10-13, 1978 - Discussion Drafts, May 1978, 1978 May	box 16	folder 215
Plenary Meeting - Bernice Smith Dinner, Washington, D.C., 1978 June 12	box 17	folder 216
Plenary Meeting - Extra Copies of the Participants List, Washington, D.C., 1978 June 11-13	box 17	folder 217
Plenary Meeting - Staff Arrangements, Washington, D.C., 1978 June 10-13	box 17	folder 218
Plenary Meeting - Nancy Kissinger - F.ST. Club Luncheon, Washington, D.C., 1978 June 13	box 17	folder 219
Plenary Meeting - State Department Dinner (Cyrus Vance Speaker), Washington, D.C., 1978 June 12	box 17	folder 220
Plenary Meeting - Speakers, Tokyo, 1979 April 22-24	box 17	folder 221
Plenary Meeting - Tokyo, 1979 April 22-24	box 17	folder 222
Plenary Meeting - First Questionnaire, Tokyo, 1979 April	box 17	folder 223

Plenary Meeting - Distribution of Documents, Tokyo, 1979 April	box 17	folder 224
Plenary Meeting - Originals, 1979 April 22-24	box 17	folder 225
Plenary Meeting - General, Tokyo, 1979 April	box 17	folder 226
Plenary Meeting - Questionnaire Responses and Special Requests, 1979 April	box 17	folder 227
Plenary Meeting - Communique, 1979 April	box 17	folder 228
Plenary Meeting - Speakers, 1979 April 22-24	box 17	folder 229
Plenary Meeting - Various Talks and Materials, Tokyo, 1979 April 22-24	box 17	folder 230
Plenary Meeting, Tokyo, 1979 April 22-24	box 18	folder 231
Plenary Meeting - Regrets, Tokyo, 1979 April 22-24	box 18	folder 232
Plenary Meeting - Trilateral Memoranda, Tokyo, 1979 April 22-24	box 18	folder 233
Plenary Meeting - Travel Plans Sent To Tokyo, 1979 April 22-24	box 18	folder 234
Plenary Meeting - Tentative Agenda and Questionnaire, Tokyo, 1979	box 18	folder 235
Plenary Meeting - Acceptances, Tokyo, 1979 April 22-24	box 18	folder 236
Plenary Meeting - Thank You Letters from DR and GSF, 1979	box 18	folder 237
Plenary Meeting - Speeches, Tokyo, 1979 April	box 18	folder 238
Plenary Meeting, Tokyo, 1979	box 18	folder 239
Tokyo Press Conference - Chairman and Deputy Chairman, 1979 April 24	box 18	folder 240
Plenary Meeting - Invitees (Foundations, Press, etc.), Tokyo, 1979 April 22-24	box 18	folder 241
Plenary Speeches	box 18	folder 242
Hotel Expenses, 1980	box 18	folder 243
Plenary Meeting - George S. Franklin, London, 1980 March	box 18	folder 244
Plenary Meeting, London, 1980 March 23-25	box 19	folder 245
Plenary Meeting - Responses To Paris RE: London, London, 1980 March 23-25	box 19	folder 246
Q's London	box 19	folder 247
DR Plane to London (Arrangements and Correspondence), 1980 March 22	box 19	folder 248
Plenary Meeting - Spouses Program, London, 1980 March	box 19	folder 249

Plenary Meeting, London, 1980 March 23-25	box 19	folder 250
Plenary Meeting - General Attendance and Memos (2 Portfolios), London, 1980 March 23-25	box 19	folder 251
Plenary and Special Events Check List and Supporting Data, Washington, D.C., 1981 March	box 19	folder 252
Follow Up Letters CBH and EPA, 1981	box 20	folder 253
Plenary Meeting, Washington, D.C., 1981	box 20	folder 253A
Plenary Meeting - N.A. Rooming Questionnaires Returned, Washington, D.C., 1981 March	box 20	folder 254
Plenary Meeting - Master List, Washington, D.C., 1981 March	box 20	folder 255
Spouses: Luncheon at Phillips Gallery, Owen Dinner, 1981 March 30	box 20	folder 256
Plenary Meeting - General, Washington, D.C., 1981 March	box 20	folder 257
Plenary Meeting - Participants List and Revisions, Washington, D.C., 1981 March	box 20	folder 258
Plenary Meeting - Security, Washington, D.C., 1981 March	box 20	folder 259
<u>Conditions Governing Access note:</u>		
<b>Conditions Governing Access note</b>		
Permanently Restricted - Confidential Material - Personnel		
Plenary Meeting, Washington, D.C., 1981 March	box 20	folder 260
Spouses: Luncheon at Smith Residence, Air and Space Museum Tour, 1981 March 31	box 20	folder 261
Plenary Meeting - Regrets, Washington, D.C., 1981 March	box 20	folder 262
Spouses: East Wing National Gallery Tour, Dinner at E Street Club, 1981 March 31	box 20	folder 263
Plenary Meeting - Agenda, Washington, D.C., 1981 March	box 20	folder 264
Plenary Meeting - Washington, D.C. - Thank You Letters - George S. Franklin	box 20	folder 265
Plenary Meeting - Washington, D.C. - Thank You Letters - David Rockefeller	box 20	folder 265A
Plenary Meeting - Non-Commission Member Invitees, Washington, D.C., 1981 March	box 21	folder 266
Plenary Meeting - Questionnaires, Washington, D.C., 1981 March	box 21	folder 267
Plenary Meeting - Foundation Supporters, Special Guests, New Members	box 21	folder 268
Plenary Meeting - Acceptances, Washington, D.C., 1981 March	box 21	folder 269

Proposed Extra Meetings Schedule, 1980 December 2	box 21	folder 270
Plenary Meeting - North American Regional Meeting, Washington, D.C., 1981 March	box 21	folder 271
Plenary Meeting - North Americans Attending, Washington, D.C., 1981 March	box 21	folder 272
Plenary Meeting - Speakers, Washington, D.C., 1981 March	box 21	folder 273
Plenary Meeting - Master Lists (Outdated), Washington, D.C., 1981 March	box 21	folder 274
Plenary Meeting - Agenda (Obsolete), Washington, D.C., 1981 March	box 21	folder 275
Plenary Meeting - Participants and Bio Notes, Washington, D.C., 1981 March	box 22	folder 276
Plenary Meeting - Memos to EPA, Attendance, etc. - Washington, D.C., 1981 March	box 22	folder 277
Plenary Meeting - Japanese Attending, Washington, D.C., 1981 March	box 22	folder 278
Plenary Meeting - European Attending, Washington, D.C., 1981 March	box 22	folder 279
Plenary Meeting - Speakers, Invited, Acceptances, Washington, D.C., 1981 March	box 22	folder 280
Plenary Meeting - Interpretation and Equipment, Washington, D.C., 1981 March	box 22	folder 281
Plenary Meeting - Program, Washington, D.C., 1981 March	box 22	folder 282
Plenary Meeting - Special Meetings, Washington, D.C., 1981 March	box 22	folder 283
Plenary Meeting - Background Paper - Bialer, Washington, D.C., 1981 March	box 22	folder 284
Plenary Meeting - Background Papers - Hanson, Cisco, de Carmoy, Washington, D.C., 1981 March	box 22	folder 285
Plenary Meeting - Background Paper - Nishihara, Washington, D.C., 1981 March	box 22	folder 286
Plenary Meeting - Spouses Questionnaire, Washington, D.C., 1981 March	box 22	folder 287
Plenary Meeting - Miscellaneous, Washington, D.C., 1981 March	box 23	folder 288
Plenary Meeting - Head Table Original, Washington, D.C., 1981 March	box 23	folder 289
Plenary Meeting - Program, Washington, D.C., 1981 March	box 23	folder 290
Washington Trip, 1981 February 24	box 23	folder 291

Plenary Meeting - Participants List, Washington, D.C., 1981	box 23	folder 292
North American Regional Meeting, 1981 March 28	box 23	folder 293
North American Regional Meeting - Non-Attendees Comments, 1981 March	box 23	folder 294
Plenary Meeting - GSF File, Washington, D.C., 1981 March	box 23	folder 295
Program Booklet - Plenary Meeting, Washington, D.C., 1984 April	box 27	folder 309
Program Booklet - Plenary Meeting, Tokyo, 1985 April	box 27	folder 309A
Program Booklet - Plenary Meeting, San Francisco, 1987 March	box 27	folder 309B
Program Booklet - Plenary Meeting, Tokyo [2 English, 1 Japanese], 1988 April	box 27	folder 310
Program Booklet - Plenary Meeting, Paris, 1989 April	box 27	folder 311
Program Booklet - Plenary Meeting, Washington, D.C., 1990 April	box 27	folder 312
Program Booklet - Plenary Meeting, Washington, D.C., 1990 April	box 27	folder 313
Program Booklet - Plenary Meeting, Tokyo, 1991 April	box 27	folder 314
Program Booklet - Plenary Meeting, Lisbon, 1992 April	box 27	folder 315
Program Booklet - Plenary Meeting, Washington, D.C., 1993 March	box 27	folder 316
Program Booklet - Plenary Meeting, Copenhagen, 1995 April	box 27	folder 317
Program Booklet - Plenary Meeting, Vancouver, 1996 April	box 27	folder 318
Program Booklet - Plenary Meeting, Tokyo, 1997 March	box 27	folder 319
Program Booklet - Plenary Meeting, Berlin, 1998 March	box 27	folder 320
Program Booklet - Plenary Meeting, Washington, D.C., 1999 March	box 27	folder 321
Program Booklet - Plenary Meeting, London, 2001 March	box 27	folder 322
Program Booklet - North American Executive Committee/ Trustees Meeting, Washington, D.C., 1990 July	box 27	folder 323
Program Booklet - North American Executive Committee/ Trustees Meeting, New York City, 1998 July	box 27	folder 324
Program Booklet - North American Executive Committee/ Trustees Meeting, New York City, 2000 July	box 27	folder 325
Program Booklet - North American Executive Committee/ Trustees Meeting, New York City, 2000 July	box 27	folder 326
Working Group Paper - Regionalism in a Converging World, 1992	box 28	folder 327

Press - IHT Special Section, 1983	box 28	folder 328
Press - Lexis Nexis, 1990	box 28	folder 329
Press - Larouche Network	box 28	folder 330
Various Press, etc., 1973-1987	box 28	folder 331
Various Press, etc., 1988-2000	box 28	folder 332
Press - Exhibit D	box 28	folder 333
Atlantic Council Paper - Draft II	box 28	folder 334
Consultants Meeting, Washington, D.C. - Summary, 1976 May 12	box 29	folder 335
Plenary Meeting, Washington, D.C., 1978 June		
Plenary Meeting, Washington, D.C.- Joint Statements by Chairmen, 1978 June	box 29	folder 336
Plenary Meeting, London, 1980 March		
Conference, London - General Impact, 1980 March 23-25	box 29	folder 337
Plenary Meeting, London - Guests, 1980	box 29	folder 338
Plenary Meeting, Washington, D.C., 1981 March 29-31		
Plenary Meeting, Washington, D.C. - Program, 1981 March 29-31	box 29	folder 339
Plenary Meeting, Washington, D.C. - Expenses	box 29	folder 340
Plenary Meeting, Washington, D.C. - Guests, 1981	box 29	folder 341
Plenary Meeting, Washington, D.C. - State Department, 1981	box 29	folder 341A
<u>Conditions Governing Access note:</u>		
<b>Conditions Governing Access note</b>		
Permanently Restricted - Confidential Material - Personnel		
Plenary Meeting, Washington, D.C. - Miscellaneous, 1981	box 29	folder 342
Plenary Meeting, Washington, D.C. - Jablonski, 1981	box 29	folder 343
Plenary Meeting, Washington, D.C. - Spouses Program, 1981 March	box 29	folder 344
Plenary Meeting, Washington, D.C. - Notebook Covers, Check Lists, 1981	box 29	folder 345
Plenary Meeting, Tokyo, 1982 April 4-6		
Plenary Meeting, Tokyo - Agenda, 1982 April 4-6	box 30	folder 346
Plenary Meeting, Tokyo, 1982 April 4-6	box 30	folder 347



Tokyo GATT Session and CM Handbook of Comments, 1982 Spring	box 30	folder 348
Plenary Meeting, Tokyo, 1982	box 30	folder 349
Plenary Meeting, Tokyo - Speeches, 1982	box 30	folder 350
Plenary Meeting, Rome, 1983 April 17-19		
Plenary Meeting, Rome, 1983 April 17-19	box 31	folder 351
Trilateral Memoranda, 1983	box 31	folder 352
Plenary Meeting, Rome - Italy Session, 1983	box 31	folder 353
Plenary Meeting, Rome - Kamiya, Nakamura, Klibi, Kari-Heinz Narjes, etc.	box 31	folder 354
Plenary Meeting, Rome - Miscellaneous, 1983	box 31	folder 355
Plenary Meeting, Rome - Transportation and Spouse Activities, 1983	box 31	folder 356
Plenary Meeting, Rome, 1983	box 31	folder 357
Plenary Meeting, Rome - Speakers, 1983	box 31	folder 358
Plenary Meeting, Rome, 1983	box 32	folder 359
Plenary Meeting, Rome - Program, Discussion Draft, 1983	box 32	folder 360
Plenary Meeting, Rome - Participants, 1983	box 32	folder 360A
Plenary Meeting, Rome - Press, 1983	box 32	folder 360B
Plenary Meeting, Rome - Summary	box 32	folder 361
Plenary Meeting, Rome - Program	box 32	folder 362
Plenary Meeting, Rome - Attendance	box 32	folder 363
Plenary Meeting, Rome - Flight Lists	box 32	folder 364
Plenary Meeting, Washington, D.C., 1984 April		
Plenary Meeting, Washington, D.C., 1984	box 33	folder 365
Plenary Meeting, Washington, D.C. - Preparation for Meeting	box 33	folder 366
Plenary Meeting, Washington, D.C. - Sound System	box 33	folder 367
Plenary Meeting, Washington, D.C. - Security Info - White House Reception, 1984 April 1	box 33	folder 368
<u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  Permanently Restricted - Confidential Material - Personnel		
Plenary Meeting, Washington, D.C. - White House List, 1984	box 33	folder 369

Conditions Governing Access note:**Conditions Governing Access note**

Permanently Restricted - Confidential Material -  
Personnel

Plenary Meeting, Washington, D.C. - Hotel Rooms, 1984	box 33	folder 370
Plenary Meeting, Washington, D.C. - Program and Participants, 1984	box 33	folder 371
Plenary Meeting, Washington, D.C.- Outside Functions	box 33	folder 371A
Plenary Meeting, Washington, D.C. - Ballroom Diagram, 1984	box 33	folder 372
Plenary Meeting, Washington, D.C., 1984	box 33	folder 373
Plenary Meeting, Washington, D.C. - Dan Newman, 1984	box 33	folder 374
Plenary Meeting, Washington, D.C. - Hosted Dinners, Correspondence with Hostess, 1984	box 33	folder 375
Plenary Meeting, Washington, D.C - Membership, 1984	box 33	folder 376
Plenary Meeting, Washington, D.C. - Meals, 1984	box 34	folder 377
Plenary Meeting, Washington, D.C. - Security, 1984	box 34	folder 378

Conditions Governing Access note:**Conditions Governing Access note**

Permanently Restricted - Confidential Material -  
Personnel

Plenary Meeting, Washington, D.C. - Program, 1984	box 34	folder 379
Plenary Meeting, Washington, D.C. - Program, 1984	box 34	folder 380
Plenary Meeting, Washington, D.C, 1984	box 34	folder 381
Plenary Meeting, Washington, D.C - Audio Visual, 1984	box 34	folder 382
Plenary Meeting, Washington, D.C - Hanophy, 1984	box 34	folder 383

Conditions Governing Access note:**Conditions Governing Access note**

Permanently Restricted - Confidential Material -  
Personnel

Plenary Meeting, Washington, D.C - News Release	box 35	folder 383A
Plenary Meeting, Washington, D.C, 1984	box 35	folder 383B
Plenary Meeting, Washington, D.C. - Spouses Program, 1984	box 35	folder 384
Plenary Meeting, Washington, D.C. - Equipment, 1984	box 35	folder 385
Plenary Meeting, Washington, D.C. - Phone Numbers, 1984	box 35	folder 386

Conditions Governing Access note:**Conditions Governing Access note**

Permanently Restricted - Confidential Material -  
Personnel

Plenary Meeting, Washington, D.C. - Staff, 1984	box 35	folder 387
Plenary Meeting, Washington, D.C. - Staff Program, 1984	box 35	folder 388
Plenary Meeting, Washington, D.C. - Details for Set Up, 1984	box 35	folder 389
Plenary Meeting, Washington, D.C. - Dan Newman Washington, Planning, 1984	box 35	folder 390
Plenary Meeting, Washington, D.C. - Trilateral Memorandum	box 35	folder 391
Plenary Meeting, Washington, D.C. - List of Participants	box 35	folder 391A
Plenary Meeting, Washington, D.C. - Hanophy - White House	box 35	folder 391B

Conditions Governing Access note:**Conditions Governing Access note**

Permanently Restricted - Confidential Material -  
Personnel

Plenary Meeting, Washington, D.C. - Hosted Dinners, 1984 April 1	box 35	folder 392
Plenary Meeting, Washington, D.C. - Speakers - Panel Participants, 1984	box 36	folder 393
Plenary Meeting, Washington, D.C. - Executive Committee Meeting, 1984	box 36	folder 394
Plenary Meeting, Washington, D.C. - Spouses Program (Original), 1984	box 36	folder 395
Plenary Meeting, Washington, D.C. - Short Reports, 1984	box 36	folder 396
Plenary Meeting, Washington, D.C. - Bios, 1984	box 36	folder 397
Plenary Meeting, Washington, D.C. - David Rockefeller Correspondence	box 36	folder 398
Plenary Meeting, Washington, D.C. - Pre Plenary Chairmen's Meeting, 1984 March 31	box 36	folder 399
Plenary Meeting, Washington, D.C. - Dinner - Ambassadors & Congress, 1984 April 2	box 36	folder 400
Plenary Meeting, Washington, D.C. - Trilateral Memorandum, 1984	box 36	folder 401
Plenary Meeting, Washington, D.C. - de Larosiere Speech, 1984 April 2	box 36	folder 402
Plenary Meeting, Washington, D.C. - Thank You Letters	box 36	folder 403

Plenary Meeting, Washington, D.C. - East-Wing Dinner, 1984 April 2	box 36	folder 404
Plenary Meeting, Washington, D.C. - Special Functions - White House/State Department, 1984	box 36	folder 405
Plenary Meeting, Washington, D.C., 1984	box 36	folder 406
Plenary Meeting, Washington, D.C. - Letters from David Rockefeller, 1984	box 36	folder 407
Plenary Meeting, Washington, D.C. - Reports, 1984	box 36	folder 408
Plenary Meeting, Washington, D.C. - Willamsburg Reimbursements, 1984	box 36	folder 409
Plenary Meeting, Washington, D.C., 1984	box 36	folder 409A
Plenary Meeting, Washington, D.C. - Agenda, 1984 April	box 36	folder 410
Charles B. Heck Files, 1985-1986	box 37	folder 411
Charles B. Heck Files, 1985-1986	box 37	folder 412
Charles B. Heck Files, 1984	box 37	folder 413
Charles B. Heck Files, 1977-1985	box 37	folder 414
Charles B. Heck Files, 1985	box 37	folder 415
Plenary Meeting, Madrid, 1986 May		
Plenary Meeting, Madrid, 1986 May	box 38	folder 416
Plenary Meeting, Madrid - Regional Press	box 38	folder 417
Plenary Meeting, Madrid - International Press	box 38	folder 417A
Plenary Meeting, Madrid - Madrid Press	box 38	folder 417B
Plenary Meeting, Madrid, 1986 May	box 39	folder 418
<b>Scope and Contents note</b>		
Includes Japanese Program		
Plenary Meeting, Madrid - Speeches	box 39	folder 419
Plenary Meeting, Madrid, 1986	box 39	folder 419A
Plenary Meeting, Madrid - Packet, 1986	box 39	folder 419B
Plenary Meeting, Madrid - Peter Witte Packet	box 39	folder 419C
Plenary Meeting, Madrid - Drafts and Reports, 1986 May	box 40	folder 420
Plenary Meeting, Madrid - Correspondence, 1985-1986	box 40	folder 420A
Plenary Meeting, Madrid - Correspondence, 1985-1986	box 40	folder 420B
Plenary Meeting, Madrid - Correspondence, 1985-1986	box 40	folder 420C

Plenary Meeting, Madrid - Audio Tapes, 1986 May	box 41	folder 421
<u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  Access copy currently unavailable. Please contact a RAC archivist for further instruction.		
Plenary Meeting, Madrid - Reservations, 1986 May	box 42	folder 422
Plenary Meeting, Madrid - Speeches - Linz, 1986	box 42	folder 423
Plenary Meeting, Madrid - Opening Remarks, 1986	box 42	folder 424
Plenary Meeting, Madrid - Publication Memos, etc.	box 42	folder 425
Plenary Meeting, Madrid - Speeches - Gonzales, 1986	box 42	folder 426
Plenary Meeting, Madrid - Speeches - Fraga, 1986	box 42	folder 427
Plenary Meeting, Madrid - Speeches - Areilza, 1986	box 42	folder 428
Plenary Meeting, Madrid - Speeches - Perez Diaz, 1986	box 42	folder 429
Plenary Meeting, Madrid - Speeches - Marta, 1986	box 42	folder 430
Plenary Meeting, Madrid - Speeches - Toribio, 1986	box 42	folder 431
Plenary Meeting, Madrid - Speeches - Cooper, 1986	box 42	folder 432
Plenary Meeting, Madrid - Speeches - Tozaki, 1986	box 42	folder 433
Plenary Meeting, Madrid - Speeches - de Clercq, 1986	box 42	folder 434
Plenary Meeting, Madrid - Speeches - Dunkel, 1986	box 42	folder 435
Plenary Meeting, Madrid - Speeches - Bergsten, et al., 1986	box 42	folder 436
Plenary Meeting, Madrid - Speeches - Hyland, Kaiser, 1986	box 43	folder 437
Plenary Meeting, Madrid - Speeches - Kimura, 1986	box 43	folder 438
Plenary Meeting, Madrid - Speeches - Brzezinski, 1986	box 43	folder 439
Plenary Meeting, Madrid - Speeches - Yamashita, 1986	box 43	folder 440
Plenary Meeting, Madrid - Press Clippings	box 43	folder 441
Plenary Meeting, Madrid - Hanophy	box 43	folder 442
Plenary Meeting, Madrid - Enclosures Originals	box 43	folder 443
Plenary Meeting, San Francisco, 1987 March		
Plenary Meeting, San Francisco - Dinner, 1987 March 21	box 44	folder 444
Plenary Meeting, San Francisco - Fairmont Hotel Menu Selection, 1987	box 44	folder 445
Plenary Meeting, San Francisco - Meeting Program, 1987	box 44	folder 446
Plenary Meeting, San Francisco - Fairmont Hotel Catering	box 44	folder 447

Plenary Meeting, San Francisco - Dinner, 1987 March 21	box 44	folder 448
Plenary Meeting, San Francisco - Dossier	box 44	folder 449
Plenary Meeting, San Francisco - Fairmont Hotel	box 44	folder 450
Plenary Meeting, San Francisco - Hearst Court Caterers	box 44	folder 451
Plenary Meeting, San Francisco - Cable Car Seminars and Tours	box 44	folder 452
Plenary Meeting, San Francisco - Spouses Program, 1987 March 22	box 44	folder 453
Plenary Meeting, San Francisco - Small Dinners, 1987	box 44	folder 454
Plenary Meeting, San Francisco - Rosters, 1987	box 44	folder 455
Plenary Meeting, San Francisco - Helicopter Service (Helicopters Unlimited), 1987	box 44	folder 456
Plenary Meeting, San Francisco - Tel Plus Northern Cal Inc., 1987	box 44	folder 457
Plenary Meeting, San Francisco - Trip Packet, 1986 September 8-15	box 44	folder 458
Plenary Meeting, San Francisco - Office Equipment, 1987	box 44	folder 459
Plenary Meeting, San Francisco - Typewriter Rentals, 1987	box 44	folder 460
Plenary Meeting, San Francisco - Trip, 1986 January 15	box 44	folder 461
Plenary Meeting, San Francisco - Miscellaneous, 1987	box 44	folder 462
Plenary Meeting, San Francisco - Meeting Expenses, 1986-1987	box 44	folder 463
Plenary Meeting, San Francisco - Originals - Program, Questionnaire, Expenses, 1987	box 44	folder 464
Plenary Meeting, San Francisco - Complimentary Gift Project, 1987	box 44	folder 465
Plenary Meeting, San Francisco - Regrets, 1987	box 44	folder 466
Plenary Meeting, San Francisco - Spouses Program, 1987	box 45	folder 467
Plenary Meeting, San Francisco - Security, 1987	box 45	folder 468
<u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b> Permanently Restricted - Confidential Material - Personnel		
Plenary Meeting, San Francisco - Planning	box 45	folder 469
Plenary Meeting, San Francisco - Planning	box 45	folder 470

Plenary Meeting, San Francisco - Spouses Program, 1987 March 21-23	box 45	folder 471
Plenary Meeting, San Francisco - Kanner Language Systems	box 45	folder 472
Plenary Meeting, San Francisco - Kanner Language Systems	box 45	folder 473
Plenary Meeting, San Francisco - Spouses Program, 1987	box 45	folder 474
Plenary Meeting, San Francisco - Planning, 1987	box 45	folder 475
Plenary Meeting, San Francisco - Latin American Panel, 1987	box 45	folder 476
Plenary Meeting, San Francisco - Fairmont Hotel (Barry Christian), 1987	box 45	folder 477
Plenary Meeting, San Francisco - Fairmont Hotel, 1987	box 45	folder 478
Plenary Meeting, San Francisco - Staff Program, 1987	box 45	folder 479
Plenary Meeting, San Francisco - San Francisco Visitors Bureau, 1987	box 45	folder 480
Plenary Meeting, San Francisco - Optional Program (High Tech), 1987	box 45	folder 481
Plenary Meeting, San Francisco - Planning	box 45	folder 481A
Plenary Meeting, San Francisco - Correspondence, 1986-1987	box 45	folder 482
Plenary Meeting, San Francisco - Mayor Feinstein	box 45	folder 483
Plenary Meeting, San Francisco - Hewitt Packard Spouses Program Portfolio, 1987	box 46	folder 484
Plenary Meeting, San Francisco - General	box 46	folder 484A
Plenary Meeting, San Francisco - Thank You Letters	box 46	folder 485
Plenary Meeting, San Francisco - Response to Attend	box 46	folder 486
Plenary Meeting, San Francisco - Cancellations, Participants	box 46	folder 487
Plenary Meeting, San Francisco - Xerox Rental, 1987	box 46	folder 488
Plenary Meeting, San Francisco - Hotel Layout, 1987	box 46	folder 489
Plenary Meeting, San Francisco - Discussion Memos	box 46	folder 490
Plenary Meeting, San Francisco - McCune A/V (Fairmont Hotel)	box 46	folder 491
Plenary Meeting, San Francisco - Nelson Personnel	box 46	folder 492
Plenary Meeting, San Francisco - Knob Hill Travel Service	box 46	folder 493
Plenary Meeting, San Francisco - Originals for Spouses Mailing	box 46	folder 494
Plenary Meeting, San Francisco - Hanophy - Arrangements, 1987	box 46	folder 495
Plenary Meeting, San Francisco - Meal Counts		

	box 46	folder 496
Plenary Meeting, San Francisco - Japanese Translations and Draft Report	box 47	folder 497
Plenary Meeting, San Francisco - Press Releases, Bio Book, Brochure, Q&A, etc.	box 47	folder 498
Plenary Meeting, San Francisco - Speeches, Background Pieces, NYT article	box 47	folder 499
Plenary Meeting, San Francisco - Speeches, Background Material, Trilateral Memoranda	box 47	folder 500
Plenary Meeting, Tokyo, 1988 April		
Plenary Meeting, Tokyo - Andrew Frankel Files - News Clippings [Japanese]	box 48	folder 501
Plenary Meeting, Tokyo - Andrew Frankel Files - News Clippings, 1988	box 48	folder 502
Plenary Meeting, Tokyo - Andrew Frankel Files - Yoshitomi, Masaru	box 48	folder 503
Plenary Meeting, Tokyo - Andrew Frankel Files - Brzezinski, Z.	box 48	folder 504
Plenary Meeting, Tokyo - Andrew Frankel Files - Tatu, Michel	box 48	folder 505
Plenary Meeting, Tokyo - Andrew Frankel Files	box 48	folder 506
Plenary Meeting, Tokyo - Andrew Frankel Files - Pu, Shan	box 48	folder 507
Plenary Meeting, Tokyo - Andrew Frankel Files	box 48	folder 508
Plenary Meeting, Tokyo - Andrew Frankel Files - Lambsdorff, G.	box 48	folder 509
Plenary Meeting, Tokyo - Andrew Frankel Files - International Economic Management	box 48	folder 510
Plenary Meeting, Tokyo - Andrew Frankel Files - Rockefeller, John D. IV	box 49	folder 511
Plenary Meeting, Tokyo - Andrew Frankel Files - Asia Pacific	box 49	folder 512
Plenary Meeting, Tokyo - Andrew Frankel Files - Basetti, Piero	box 49	folder 513
Plenary Meeting, Tokyo - Andrew Frankel Files - Chairmen's Statement	box 49	folder 514
Plenary Meeting, Tokyo - Andrew Frankel Files - Takeshita	box 49	folder 515
Plenary Meeting, Tokyo - Andrew Frankel Files - Talbott, Strobe	box 49	folder 516
Plenary Meeting, Tokyo - Andrew Frankel Files - Park, Yung-Chul	box 49	folder 517



Plenary Meeting, Tokyo - Andrew Frankel Files - Sato, S.	box 49	folder 518
Plenary Meeting, Tokyo - Andrew Frankel Files - Nakasone	box 49	folder 519
Plenary Meeting, Tokyo - Andrew Frankel Files - Nishihara, Masashi	box 49	folder 520
Plenary Meeting, Tokyo - Andrew Frankel Files - Mayazawa, K.	box 49	folder 521
Plenary Meeting, Tokyo - Andrew Frankel Files - Bjerregaard	box 49	folder 522
Plenary Meeting, Tokyo - Andrew Frankel Files - Kato, K.	box 49	folder 523
Plenary Meeting, Tokyo - Andrew Frankel Files - Sumiko Iwao	box 49	folder 524
Plenary Meeting, Tokyo - Andrew Frankel Files - Volcker, Paul	box 49	folder 525
Plenary Meeting, San Francisco, 1987 April		
Plenary Meeting, San Francisco - Andrew Frankel Files - Kissinger	box 49	folder 526
Plenary Meeting, San Francisco - Andrew Frankel - Profile of Participants	box 49	folder 526A
Plenary Meeting, San Francisco - Andrew Frankel Files - Clark	box 49	folder 527
Plenary Meeting, San Francisco - Andrew Frankel Files - Kluncker	box 49	folder 528
Plenary Meeting, San Francisco - Andrew Frankel Files - Warren	box 49	folder 529
Plenary Meeting, San Francisco - Andrew Frankel Files - Camdessus	box 49	folder 530
Plenary Meeting, San Francisco - Andrew Frankel Files - Iglesias	box 49	folder 531
Plenary Meeting, San Francisco - Andrew Frankel Files - Roth	box 50	folder 532
Plenary Meeting, San Francisco - Andrew Frankel Files - Reykjavik Discussion	box 50	folder 533
Plenary Meeting, San Francisco - Andrew Frankel Files - Chairmen's Statement	box 50	folder 534
Plenary Meeting, San Francisco - Andrew Frankel Files - Amnuay	box 50	folder 535
Plenary Meeting, San Francisco - Andrew Frankel Files - Sato	box 50	folder 536
Plenary Meeting, San Francisco - Andrew Frankel Files - Moreira	box 50	folder 537
Plenary Meeting, San Francisco - Andrew Frankel Files - Thurow	box 50	folder 538

Plenary Meeting, San Francisco - Andrew Frankel Files - Foley	box 50	folder 539
Plenary Meeting, San Francisco - Andrew Frankel Files - Vargas Llosa	box 50	folder 540
Plenary Meeting, San Francisco - Andrew Frankel Files - Carlucci	box 50	folder 541
Plenary Meeting, San Francisco - Andrew Frankel Files - Biography	box 50	folder 542
Plenary Meeting, San Francisco - Andrew Frankel Files - Debt-Laden Third World	box 50	folder 543
Plenary Meeting, San Francisco - Andrew Frankel Files - MVM Security	box 50	folder 544
<u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  Permanently Restricted - Confidential Material - Personnel		
Plenary Meeting, San Francisco - Andrew Frankel Files - Staff Meetings	box 50	folder 544A
Plenary Meeting, San Francisco - Andrew Frankel Files - Stanford Park Hotel - Program, 1987 March 24	box 50	folder 545
Plenary Meeting, San Francisco - Andrew Frankel Files - Fairmont Hotel (Jane Roennfeld)	box 50	folder 546
Plenary Meeting, San Francisco - Andrew Frankel Files - "Tent Cards"	box 50	folder 547
Plenary Meeting, San Francisco - Andrew Frankel Files - Wine Selection	box 50	folder 548
Plenary Meeting, San Francisco - Andrew Frankel Files - Fairmont Room Bills	box 50	folder 549
Plenary Meeting, San Francisco - Andrew Frankel Files - Fairmont Room Bills	box 50	folder 550
Plenary Meeting, San Francisco - Andrew Frankel Files - Fairmont Bill - Office Memos	box 50	folder 551
Plenary Meeting, San Francisco - Andrew Frankel Files, 1987	box 50	folder 552
Plenary Meeting, San Francisco - Charles B. Heck, 1987	box 50	folder 553
Plenary Meeting, San Francisco - Andrew Frankel Files - United Airways	box 51	folder 554
Plenary Meeting, San Francisco - Andrew Frankel Files - Fairmont Bill - Correspondence with Hotel	box 51	folder 555
Plenary Meeting, San Francisco - Andrew Frankel Files - Science and Technology	box 51	folder 556

Plenary Meeting, San Francisco - Andrew Frankel Files - Vano Photography	box 51	folder 557
Plenary Meeting, San Francisco - Andrew Frankel Files - Fairmont Bookings and Room Assignments	box 51	folder 558
Plenary Meeting, San Francisco - Andrew Frankel Files - Speeches and Reports	box 51	folder 559
Plenary Meeting, Tokyo, 1988 April		
Plenary Meeting, Tokyo - Andrew Frankel Files - Clippings	box 51A	folder 3760
<u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  CLOSED to scholarly research due to preservation concerns.		
Plenary Meeting, Tokyo - Andrew Frankel Files	box 51A	folder 3761
<u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  CLOSED to scholarly research due to preservation concerns.		
Plenary Meeting, Tokyo - Andrew Frankel Files - Correspondence In	box 51A	folder 3762
<u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  CLOSED to scholarly research due to preservation concerns.		
Plenary Meeting, Tokyo - Andrew Frankel Files - Miscellaneous	box 51A	folder 3763
<u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  CLOSED to scholarly research due to preservation concerns.		
Plenary Meeting, Tokyo - Andrew Frankel Files - Attendance	box 51A	folder 3764
<u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  CLOSED to scholarly research due to preservation concerns.		
Plenary Meeting, Tokyo - Andrew Frankel Files - Program	box 51A	folder 3765
<u>Conditions Governing Access note:</u>		

## Conditions Governing Access note

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Plenary Meeting, Tokyo - Andrew Frankel Files - Bills/  
Correspondence

box 51A

folder 3766

Conditions Governing Access note:

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Plenary Meeting, Tokyo - Andrew Frankel Files - Bios

box 51A

folder 3767

Conditions Governing Access note:

## Conditions Governing Access note

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Plenary Meeting, Tokyo - Andrew Frankel Files - Air Travel

box 51A

folder 3768

Conditions Governing Access note:

## Conditions Governing Access note

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Plenary Meeting, Tokyo - Andrew Frankel Files

box 51A

folder 3769

Conditions Governing Access note:

## Conditions Governing Access note

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Plenary Meeting, Tokyo - Andrew Frankel Files - Portfolio

box 51A

folder 3770

Conditions Governing Access note:

## Conditions Governing Access note

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Plenary Meeting, Paris, 1989 April

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Plenary Meeting, Paris - Andrew Frankel Files - European  
Bios

box 51A

folder 3771

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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Plenary Meeting, Paris - Andrew Frankel Files - Canadian Bios	box 51A	folder 3772
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Conditions Governing Access note:

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Plenary Meeting, Paris - Andrew Frankel Files - Note D Information	box 51A	folder 3773
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Conditions Governing Access note:

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CLOSED to scholarly research due to preservation concerns.

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Plenary Meeting, Paris - Andrew Frankel Files - Japanese Bios	box 51A	folder 3774
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Conditions Governing Access note:

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CLOSED to scholarly research due to preservation concerns.

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Plenary Meeting, Paris - Andrew Frankel Files - Bio BK	box 51A	folder 3775
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Conditions Governing Access note:

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Plenary Meeting, Paris - Andrew Frankel Files - Lion	box 51A	folder 3776
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Conditions Governing Access note:

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CLOSED to scholarly research due to preservation concerns.

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Plenary Meeting, Paris - Andrew Frankel Files - Worner	box 51A	folder 3777
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Conditions Governing Access note:

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CLOSED to scholarly research due to preservation concerns.

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Plenary Meeting, Paris - Andrew Frankel Files - Chirac	box 51A	folder 3778
--	---------	-------------

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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Plenary Meeting, Paris - Andrew Frankel Files - Eurien

box 51A

folder 3779

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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Plenary Meeting, Paris - Andrew Frankel Files - Brzezinski

box 51A

folder 3780

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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Plenary Meeting, Paris - Andrew Frankel Files - Delorg

box 51A

folder 3781

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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Plenary Meeting, Paris - Andrew Frankel Files - Yamashita

box 51A

folder 3782

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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Plenary Meeting, Paris - Andrew Frankel Files - Foley

box 51A

folder 3783

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

---

Plenary Meeting, Paris - Andrew Frankel Files - Buchner

box 51A

folder 3784

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

---

Plenary Meeting, Paris - Andrew Frankel Files - Nakasome  
Statement

box 51A

folder 3785

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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Plenary Meeting, Paris - Andrew Frankel Files - Soubie

box 51A

folder 3786

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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Plenary Meeting, Paris - Andrew Frankel Files - Chairmen's Statement

box 51A

folder 3787

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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Plenary Meeting, Paris - Andrew Frankel Files - Sato

box 51A

folder 3788

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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Plenary Meeting, Paris - Andrew Frankel Files - Kobayashi

box 51A

folder 3789

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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Plenary Meeting, Paris - Andrew Frankel Files - Poher

box 51A

folder 3790

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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Plenary Meeting, Paris - Andrew Frankel Files - Burke

box 51A

folder 3791

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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Plenary Meeting, Paris - Andrew Frankel Files - Angelli

box 51A

folder 3792

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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Plenary Meeting, Paris - Andrew Frankel Files - Cota

box 51A

folder 3793

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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Plenary Meeting, Paris - Andrew Frankel Files - Dumas

box 51A

folder 3794

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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Plenary Meeting, Paris - Andrew Frankel Files - de Montbrial

box 51A

folder 3795

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

---

Plenary Meeting, Paris - Andrew Frankel Files - Barne

box 51A

folder 3796

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

---

Plenary Meeting, Paris - Andrew Frankel Files - Imbert

box 51A

folder 3797

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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Plenary Meeting, Paris - Andrew Frankel Files - Corrigan

box 51A

folder 3798

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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Plenary Meeting, Paris - Andrew Frankel Files - Rigaurd

box 51A

folder 3799

Conditions Governing Access note:



**Conditions Governing Access note**

CLOSED to scholarly research due to preservation concerns.

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Plenary Meeting, Paris - Andrew Frankel Files - Loose Files	box 51A	folder 3800
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Conditions Governing Access note:

**Conditions Governing Access note**

CLOSED to scholarly research due to preservation concerns.

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Plenary Meeting, Paris - Andrew Frankel Files - Yamamoto	box 51A	folder 3801
--	---------	-------------

Conditions Governing Access note:

**Conditions Governing Access note**

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Plenary Meeting, Paris - Telexes to Paris Office, 1989 April 8-10	box 51	folder 560
---	--------	------------

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Plenary Meeting, Paris - Christina Hanophy Files, 1989 April	box 51	folder 561
--	--------	------------

---

Plenary Meeting, Paris - Attendees, 1989 April	box 51	folder 562
--	--------	------------

---

Plenary Meeting, Paris - Attendees, 1989 April	box 51	folder 563
--	--------	------------

---

Plenary Meeting, Paris - Hotel Reservations, 1989	box 51	folder 564
---	--------	------------

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Plenary Meeting, Washington, D.C., 1990 April

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Plenary Meeting, Washington, D.C. - MVM Security, 1990 April 21-23	box 52	folder 565
--	--------	------------

Conditions Governing Access note:

**Conditions Governing Access note**

Permanently Restricted - Confidential Material - Personnel

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Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Originals	box 52	folder 566
---	--------	------------

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Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Japan	box 52	folder 567
---	--------	------------

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Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Bios	box 52	folder 568
--	--------	------------

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Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Cheney	box 52	folder 569
--	--------	------------

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Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Israeli-Palestinian	box 52	folder 570
---	--------	------------

---

Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Latin America at a Crossroads	box 52	folder 571
---	--------	------------

Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Table of Contents	box 52	folder 572
Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Change in Europe Discussions	box 52	folder 573
Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Bouchard	box 52	folder 574
Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Delours	box 52	folder 575
Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Motonoo	box 53	folder 576
Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Geremck	box 53	folder 577
Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Lambsdorff	box 53	folder 578
Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Thygesen	box 53	folder 579
Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Watanabe	box 53	folder 580
Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Howell	box 53	folder 581
Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Gotlieb	box 53	folder 582
Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Nye	box 53	folder 583
Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Ogata	box 53	folder 584
Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Brzezinski	box 53	folder 585
Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Beyond Interdependence	box 53	folder 586
Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Boskin	box 53	folder 587
Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Bouchard	box 53	folder 588
Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Thygesen	box 53	folder 589
Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Feldstein	box 53	folder 590
Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Watanabe	box 53	folder 591

Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Morita	box 53	folder 592
Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Bios	box 54	folder 593
Plenary Meeting, Washington, D.C., - Andrew Frankel Files - NHK	box 54	folder 594
Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Miscellaneous	box 54	folder 595
Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Staff Program	box 54	folder 596
Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Program	box 54	folder 597
Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Diagrams	box 54	folder 598
Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Press	box 54	folder 599
Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Place Cards	box 54	folder 600
Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Photographer	box 54	folder 601
Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Interpreters	box 54	folder 602
Plenary Meeting, Washington, D.C., - Andrew Frankel Files - Audio/Visual	box 54	folder 603
Plenary Meeting, Washington, D.C. - Christina Hanophy Files	box 54	folder 604
Plenary Meeting, Washington, D.C. - Christina Hanophy Files - General	box 54	folder 604A
Plenary Meeting, Washington, D.C. - Christina Hanophy Files - Passports and Security	box 55	folder 605
<u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  Permanently Restricted - Confidential Material - Personnel		
Plenary Meeting, Washington, D.C. - Christina Hanophy Files - Spouses Program	box 55	folder 606
Plenary Meeting, Washington, D.C. - Christina Hanophy Files - Possible Dinner Sites	box 55	folder 607
Plenary Meeting, Washington, D.C. - Christina Hanophy Files - Meeting and Trip/Future Trips	box 55	folder 608

Plenary Meeting, Washington, D.C. - Christina Hanophy Files - Planning Meeting, 1990 January 19	box 55	folder 609
Plenary Meeting, Washington, D.C. - Christina Hanophy Files - Hotel	box 55	folder 610
Plenary Meeting, Washington, D.C. - Christina Hanophy Files - DC Trip - Scoweroff Dinner, 1990 April 27	box 55	folder 611
Plenary Meeting, Washington, D.C. - Christina Hanophy Files - Design	box 55	folder 612
Plenary Meeting, Washington, D.C. - Christina Hanophy Files - RSVP	box 55	folder 613
Plenary Meeting, Washington, D.C. - Christina Hanophy Files - Originals	box 55	folder 614
Plenary Meeting, Washington, D.C. - Christina Hanophy Files - Press	box 55	folder 615
Plenary Meeting, Washington, D.C. - Christina Hanophy Files - Pre Plenary, Mexico City, 1990 April 18-20	box 55	folder 616
Plenary Meeting, Washington, D.C. - Christina Hanophy Files - Park Hyatt, 1990 April 21-23	box 55	folder 617
Plenary Meeting, Washington, D.C. - Christina Hanophy Files - Washington, 1989 August 24	box 55	folder 618
Plenary Meeting, Lisbon, 1992 April		
Plenary Meeting, Lisbon - Christina Hanophy Files	box 55	folder 619
Plenary Meeting, Lisbon - Christina Hanophy Files - Hotel and Travel Arrangements	box 56	folder 620
Plenary Meeting, Lisbon - Christina Hanophy Files - Meeting Materials	box 56	folder 620A
Plenary Meeting, Washington, D.C., 1993 March		
Plenary Meeting, Washington, D.C. - Christina Hanophy Files - Meeting, Hotel and Travel Arrangements	box 56	folder 621
Plenary Meeting, Washington, D.C. - Christina Hanophy Files - General	box 56	folder 622
Plenary Meeting, Washington, D.C. - Christina Hanophy Files - Melrose Catering	box 56	folder 623
Plenary Meeting, Washington, D.C. - Rohesia Hamilton Metcalf Files - Spouses Program, 1993	box 57	folder 624
Plenary Meeting, Tokyo, 1994 April		
Plenary Meeting, Tokyo - Christina Hanophy Files	box 57	folder 625
Plenary Meeting, Tokyo - Christina Hanophy Files - Bills/ Reimbursements	box 57	folder 626

Plenary Meeting, Tokyo - Christina Hanophy Files - Memoranda	box 57	folder 627
Plenary Meeting, Tokyo - Christina Hanophy Files - Regrets	box 57	folder 628
Plenary Meeting, Tokyo - Christina Hanophy Files - Hotel Expenses and Arrangements	box 57	folder 629
Plenary Meeting, Tokyo - Christina Hanophy Files - Acceptances	box 57	folder 630
Plenary Meeting, Tokyo - Christina Hanophy Files - Spouses Program	box 57	folder 631
Annual Meeting Publication (Tokyo), 1994		
Annual Meeting, Tokyo - Yaun Ming, 1994	box 57	folder 632
Annual Meeting, Tokyo - Zhou Xiaochuan, 1994	box 57	folder 633
Annual Meeting, Tokyo - Chen Qimao, 1994	box 57	folder 634
Annual Meeting, Tokyo - Corrigan, 1994	box 57	folder 635
Annual Meeting, Tokyo - Lelloouche, 1994	box 57	folder 636
Annual Meeting, Tokyo - Shigeki Hakamada, 1994	box 57	folder 637
Annual Meeting, Tokyo - Narongchai Akrasanee, 1994	box 57	folder 638
Annual Meeting, Tokyo - Karaganov, 1994	box 57	folder 639
Annual Meeting, Tokyo - Lee Hongkoo, 1994	box 57	folder 640
Annual Meeting, Tokyo - Miyazaki, 1994	box 57	folder 641
Annual Meeting, Tokyo - Motoo Shina, 1994	box 57	folder 642
Annual Meeting, Tokyo - Hata, 1994	box 57	folder 643
Annual Meeting, Tokyo - Kobayashi, 1994	box 58	folder 644
Annual Meeting, Tokyo - Einhorn, 1994	box 58	folder 645
Annual Meeting, Tokyo - Ogata, 1994	box 58	folder 646
Annual Meeting, Tokyo - Ruggiero, 1994	box 58	folder 647
Annual Meeting, Tokyo - Bergsten, 1994	box 58	folder 648
Annual Meeting, Tokyo - Ferrer, 1994	box 58	folder 649
Annual Meeting, Tokyo - Nukazawa, 1994	box 58	folder 650
Annual Meeting, Tokyo - Chan Heng Chee, 1994	box 58	folder 651
Annual Meeting, Tokyo - Graham, 1994	box 58	folder 652
Annual Meeting, Tokyo - Owada, 1994	box 58	folder 653
Annual Meeting, Tokyo - Martin Bangemann, 1994	box 58	folder 654
Plenary Meeting, Copenhagen, 1995 April		

Plenary Meeting, Copenhagen - Christina Hanophy Files - Hotel Expenses	box 58	folder 655
Plenary Meeting, Copenhagen - Christina Hanophy Files - Spouses Program	box 58	folder 656
Plenary Meeting, Copenhagen - Christina Hanophy Files - No Copenhagen Acceptance	box 58	folder 657
Plenary Meeting, Copenhagen - Christina Hanophy Files - Telefaxes Sent	box 58	folder 658
Plenary Meeting, Copenhagen - Christina Hanophy Files - General	box 58	folder 658A
Annual Meeting Publication (Copenhagen), 1995		
Annual Meeting, Copenhagen - E, Gerald Corrigan	box 58	folder 659
Annual Meeting, Copenhagen - Bodil Nyboe Andersen	box 58	folder 660
Annual Meeting, Copenhagen - Davignon	box 58	folder 661
Annual Meeting, Copenhagen - Feldstein	box 58	folder 662
Annual Meeting, Copenhagen - Kjell-Olok Feldt	box 58	folder 663
Annual Meeting, Copenhagen - Gustav Hagglund	box 58	folder 664
Annual Meeting, Copenhagen - Foley	box 58	folder 665
Annual Meeting, Copenhagen - Josef Joffe	box 58	folder 666
Annual Meeting, Copenhagen - Kozyrev	box 59	folder 667
Annual Meeting, Copenhagen - Willoch	box 59	folder 668
Annual Meeting, Copenhagen - Seidenfaden	box 59	folder 669
Annual Meeting, Copenhagen - Sutherland	box 59	folder 670
Annual Meeting, Copenhagen - Svanholm	box 59	folder 671
Annual Meeting, Copenhagen - Tarasyuk	box 59	folder 672
Annual Meeting, Copenhagen - Onyszkiewicz	box 59	folder 673
Annual Meeting, Copenhagen - Rasmussen	box 59	folder 674
Annual Meeting, Copenhagen - Roth	box 59	folder 675
Annual Meeting, Copenhagen - Jorg Schonbohm	box 59	folder 676
Annual Meeting, Copenhagen - James Steinberg	box 59	folder 677
Annual Meeting, Copenhagen - Lambsdorff	box 59	folder 678
Annual Meeting, Copenhagen - Lennart Meri	box 59	folder 679
Annual Meeting, Copenhagen - Murofushi	box 59	folder 680
Annual Meeting, Copenhagen - Koji Watanabe	box 59	folder 681

Plenary Meeting, Vancouver, 1996 April		
Plenary Meeting, Vancouver - Christina Hanophy Files - No Acceptance	box 59	folder 682
Plenary Meeting, Vancouver - Christina Hanophy Files - Vancouver Trip	box 59	folder 683
Plenary Meeting, Vancouver - Rohesia Hamilton Metcalfe Files	box 59	folder 684
Plenary Meeting, Vancouver - Christina Hanophy Files - Waterfront Hotel	box 60	folder 685
Plenary Meeting, Vancouver - Christina Hanophy Files - Maureen Chant	box 60	folder 686
Plenary Meeting, Vancouver - Christina Hanophy Files	box 60	folder 687
Plenary Meeting, Vancouver - Christina Hanophy Files	box 60	folder 688
Plenary Meeting, Tokyo, 1997 March		
Plenary Meeting, Tokyo - Yuan Ming	box 61	folder 689
Plenary Meeting, Tokyo - Publication	box 61	folder 690
Plenary Meeting, Tokyo - Publication	box 61	folder 691
Plenary Meeting, Tokyo - Publication	box 61	folder 692
Plenary Meeting, Tokyo - Publication	box 61	folder 693
Plenary Meeting, Tokyo - Publication	box 61	folder 694
Plenary Meeting, Tokyo - Anand Panyarachun	box 61	folder 695
Plenary Meeting, Tokyo - Wanandi	box 61	folder 696
Plenary Meeting, Tokyo - Ikeda	box 61	folder 697
Plenary Meeting, Tokyo - Winston Lord	box 61	folder 698
Plenary Meeting, Tokyo - Ronnie Chan	box 61	folder 699
Plenary Meeting, Tokyo - Estanisklao	box 61	folder 700
Plenary Meeting, Tokyo - Ross Garaut	box 61	folder 701
Plenary Meeting, Tokyo - Zhu	box 61	folder 702
Plenary Meeting, Tokyo - Bios	box 61	folder 703
Plenary Meeting, Tokyo - Rohesia Hamilton Metcalfe Files - New Info (Badges, Name)	box 61	folder 704
Plenary Meeting, Tokyo - Rohesia Hamilton Metcalfe Files - European Badge Spares	box 61	folder 705
Plenary Meeting, Tokyo - Rohesia Hamilton Metcalfe Files - North American Badge Spares	box 61	folder 706

Plenary Meeting, Tokyo - Rohesia Hamilton Metcalfe Files - Japanese Badge Spares	box 61	folder 707
Plenary Meeting, Tokyo - Ruggiero	box 62	folder 708
Plenary Meeting, Tokyo - Kato	box 62	folder 709
Plenary Meeting, Tokyo - Hachimoto	box 62	folder 710
Plenary Meeting, Tokyo - Gyohten	box 62	folder 711
Plenary Meeting, Tokyo - Sakurai	box 62	folder 712
Plenary Meeting, Tokyo - Biedenkopf	box 62	folder 713
Plenary Meeting, Tokyo - Ruding	box 62	folder 714
Plenary Meeting, Tokyo - Sirkka Hamalainen	box 62	folder 715
Plenary Meeting, Tokyo - Nye	box 62	folder 716
Plenary Meeting, Tokyo - House	box 62	folder 717
Plenary Meeting, Tokyo - Foley	box 62	folder 718
Plenary Meeting, Berlin, 1998 March		
Plenary Meeting, Berlin - Other Berlin Correspondence	box 62	folder 719
Plenary Meeting, Berlin - Program	box 62	folder 720
Plenary Meeting, Berlin - Other Berlin Background Papers	box 62	folder 721
Plenary Meeting, Berlin - Section 1	box 62	folder 722
Plenary Meeting, Berlin - Section 2	box 62	folder 723
Plenary Meeting, Berlin - Section 3	box 62	folder 724
Plenary Meeting, Berlin - Section 4	box 62	folder 725
Plenary Meeting, Berlin - Section 5	box 62	folder 726
Plenary Meeting, Berlin - Section 6	box 62	folder 727
Plenary Meeting, Berlin - Miyazawa	box 62	folder 728
Plenary Meeting, Berlin - Wolf	box 62	folder 729
Plenary Meeting, Berlin - Fisher	box 62	folder 730
Plenary Meeting, Berlin - Fung	box 62	folder 731
Plenary Meeting, Berlin - Wanandi	box 62	folder 732
Plenary Meeting, Berlin - Rohesia Hamilton Metcalfe Files	box 63	folder 733
Plenary Meeting, Berlin - Rohesia Hamilton Metcalfe Files - Berlin Texts	box 63	folder 734
Plenary Meeting, Berlin - Rohesia Hamilton Metcalfe Files - North American Bios	box 63	folder 735



Plenary Meeting, Berlin - Rohesia Hamilton Metcalfe Files - European Bios	box 63	folder 736
Plenary Meeting, Berlin - Rohesia Hamilton Metcalfe Files - Japanese Bios	box 63	folder 737
Plenary Meeting, Berlin - Narongchai Akrasanee	box 64	folder 738
Plenary Meeting, Berlin - Middle East Excerpts	box 64	folder 738A
Plenary Meeting, Berlin - Holbrooke	box 64	folder 738B
Plenary Meeting, Berlin - Ellemann-Jensen	box 64	folder 738C
Plenary Meeting, Berlin - Rockefeller, David	box 64	folder 739
Plenary Meeting, Berlin - Dini	box 64	folder 739A
Plenary Meeting, Berlin - Solana	box 64	folder 739B
Plenary Meeting, Berlin - Han Sung-Joo	box 64	folder 739C
Plenary Meeting, Berlin - Kissinger	box 64	folder 740
Plenary Meeting, Berlin - Kusakawa	box 64	folder 740A
Plenary Meeting, Berlin - Kwasniewski	box 64	folder 740B
Plenary Meeting, Berlin - Yavlinsky	box 64	folder 740C
Plenary Meeting, Berlin - Karaganov	box 64	folder 741
Plenary Meeting, Berlin - Herzog	box 64	folder 741A
Plenary Meeting, Berlin - Baring	box 64	folder 741B
Plenary Meeting, Berlin - Verheugen	box 64	folder 741C
Plenary Meeting, Berlin - Schauble	box 64	folder 742
Plenary Meeting, Berlin - Kohl	box 64	folder 743
Plenary Meeting, Berlin - von Prierer	box 64	folder 744
Plenary Meeting, Berlin - Program	box 64	folder 745
Plenary Meeting, Berlin - Charles B. Heck, 1998	box 65	folder 746
Plenary Meeting, Berlin - Charles B. Heck, 1998	box 65	folder 747
Plenary Meeting, Berlin - Charles B. Heck, 1998	box 65	folder 748
Plenary Meeting, Berlin - Christina Hanophy, 1998	box 65	folder 749
Plenary Meeting, Berlin - Christina Hanophy - Correspondence	box 65	folder 750
Plenary Meeting, Berlin - Christina Hanophy - Arrangements	box 65	folder 751
Plenary Meeting, Berlin - Christina Hanophy - Hotel and Travel Arrangements	box 65	folder 752
Plenary Meeting, Berlin - Charles B. Heck, 1998	box 65	folder 753

Plenary Meeting, Berlin - Hanophy - Preliminary Questionnaires	box 66	folder 754
Plenary Meeting, Washington, D.C., 1999 March		
Plenary Meeting, Washington, D.C. - Spouses Program	box 66	folder 755
Plenary Meeting, Washington, D.C. - Rohesia Hamilton Metcalfe Files, 1999 March 13-15	box 66	folder 756
Plenary Meeting, Washington, D.C. - Rohesia Hamilton Metcalfe Files, 1999 March	box 66	folder 757
Plenary Meeting, Washington, D.C. - Rohesia Hamilton Metcalfe Files - Master Euros	box 66	folder 758
Plenary Meeting, Washington, D.C. - Rohesia Hamilton Metcalfe Files - Bios	box 66	folder 759
Plenary Meeting, Washington, D.C. - Rohesia Hamilton Metcalfe Files - Special Thanks	box 66	folder 760
Plenary Meeting, Washington, D.C. - Rohesia Hamilton Metcalfe Files - Spouse Program	box 66	folder 761
Meetings Outside the Trilateral Area		
Meeting, Moscow (Aborted), 1980		
Meeting, Moscow, 1980	box 67	folder 762
Proposed Moscow Meeting (GSF Desk File)	box 67	folder 763
Proposed Moscow Meeting	box 67	folder 764
Soviet-Trilateral Conference, 1982 May 5-6	box 67	folder 764A
Meeting, China, 1981		
Meeting, China - George S. Franklin Desk File, 1981	box 67	folder 765
Proposed Peking Meeting - Background Material, 1981 May	box 67	folder 766
Meeting, China - Xie Li & Company come to the Big Apple	box 67	folder 767
Meeting, China - Tadashi's Notes on the Deng Meeting	box 67	folder 768
Meeting, China - MacLaury and Rockefeller Speeches in China, 1981 May 21	box 67	folder 769
Meeting, China - Beijing, 1981 May 20-23	box 67	folder 770
Meeting, China, 1981	box 68	folder 771
Washington Visits - Re: China Meeting, 1981 May 11	box 68	folder 772
Washington Visits - Re: China Meeting, 1981 May 11	box 68	folder 772A
Meeting, China - Translations	box 68	folder 773
Meeting, China - Post Meeting File	box 68	folder 774
Meeting, China, 1981 May 20-23		

	box 68	folder 775
Meeting, China, 1981	box 69	folder 776
Meeting, China, 1981	box 69	folder 777
Meeting, China - Peking's Interpretation	box 69	folder 778
Meeting, China - Peking: Delegations, Questionnaire, etc.	box 69	folder 779
Meeting, China - Peking: General Correspondence, Japanese Preliminary Trip, 1980 May	box 69	folder 780
Meeting, China	box 69	folder 781
Meeting, China	box 69	folder 782
Meeting, Mexico City (Aborted), 1984		
Meeting, Mexico	box 69	folder 783
Meeting, Mexico City, 1983-1984	box 69	folder 784
Pre-Plenary Meeting, Mexico City, 1990		
Meeting, Mexico, 1990	box 69	folder 785

## Other Meetings

### Scope and Contents

Includes: Chairmen's Meetings, Three-Region Executive Committee Meetings, North American Executive Committee/Trustees Meetings, North American Events, European Group Meetings.

Title/Description	Instances	
Chairmen's Meetings, 1974 October	box 70	folder 786
Chairmen's Meetings, 1974 October 6-7	box 70	folder 787
Chairmen's Meetings, 1974 December	box 70	folder 788
Chairmen's Meetings, 1974 December 8-11	box 70	folder 789
Chairmen's Meetings, Copenhagen, 1975 March 7-9	box 70	folder 790
Chairmen's Meetings, Tokyo, 1975 May 25	box 70	folder 791
Chairmen's Meetings, 1976 March	box 70	folder 792
Chairmen's Meetings, Ottawa, 1976 May	box 70	folder 793
Chairmen's Meetings, Washington, D.C., 1976 May 13	box 70	folder 794
Chairmen's Meetings, Ottawa, 1976 May	box 70	folder 795
Chairmen's Meetings, Rome, 1976 September	box 70	folder 796
Chairmen's Meetings, Rome - Program Advisory Board, 1976 September 23	box 70	folder 797
Chairmen's Meetings, Rome, 1976 September	box 70	folder 798

Chairmen's Meetings, Rome, 1976 September 24-25	box 70	folder 799
Chairmen's Meetings, Rome - Letter to N.A.	box 70	folder 800
Chairmen's Meetings, Tokyo, 1977 January	box 71	folder 801
Chairmen's Meetings, 1977 June 17-18	box 71	folder 802
Chairmen's Meetings, 1977 June 16-18	box 71	folder 803
Chairmen's Meetings, Washington, D.C., 1977 June	box 71	folder 804
Chairmen's Meetings, Japan, 1978 January 29-30	box 71	folder 805
Chairmen's Meetings, 1978 June 10 and 14	box 71	folder 806
Chairmen's Recommendations on the Future of the Commission, 1978 June 14	box 71	folder 807
Chairmen's Meetings, Tokyo, 1979 April 24	box 71	folder 808
Chairmen's Meetings, 1979 April	box 71	folder 809
Chairmen's Meetings, Canada, 1979 October 10	box 71	folder 810
Chairmen's Meetings, 1979 October	box 71	folder 811
Chairmen's Meetings - Breakfast (7:30AM), 1980 March 23	box 71	folder 812
Chairmen's Meeting - Possible, 1980 September	box 71	folder 813
Chairmen's Meetings, Tokyo, 1980 September	box 71	folder 814
Chairmen's Meetings, Tokyo, 1980 September	box 71	folder 815
Chairmen's Meeting, Tokyo, September 14-15, 1980 and Discussions in China, September 17-20, 1980, 1980 September 14-15, 17-20	box 71	folder 816
Chairmen's Meetings, Washington, March 30 and April 1, 1981 and Sharp's Meeting Notes, 1981 March 30 and April 1	box 71	folder 817
Chairmen's Meetings, Washington, 1981 April	box 72	folder 818
Chairmen's Meetings, 1981 March-April	box 72	folder 819
Chairmen's Meetings, Paris, 1981 November 13-14	box 72	folder 820
Chairmen's Meetings, Paris, 1981 November 13-14	box 72	folder 821
Chairmen's Meetings, 1981 November 8-14	box 72	folder 822
Chairmen's Meeting, Paris, 1981 November	box 72	folder 823
Chairmen's Meeting, New York, 1982 November	box 72	folder 824
Chairmen's Meeting, New York, 1982 November	box 72	folder 825
Chairmen's Meeting, New York, 1982 November	box 72	folder 826
Chairmen's Meetings, 1982	box 72	folder 827
Chairmen's Meeting, Tokyo, 1983 November	box 72	folder 828

Chairmen's Meetings, 1983 November	box 72	folder 829
Chairmen's Meetings, 1984	box 72	folder 830
Chairmen's Meetings, Paris, 1984 November 15	box 72	folder 831
Chairmen's Meeting, Washington, D.C., 1985 November	box 73	folder 832
Chairmen's Meeting, Washington, D.C., 1985 November	box 73	folder 833
Chairmen's Meeting, Washington, D.C., 1985 November	box 73	folder 834
Chairmen's Meeting, November 1985 and Chairmen's and TC Executive Committee Meetings, April 1985, 1985 April, November	box 73	folder 835
Chairmen's Meeting, Tokyo, 1986 November	box 73	folder 836
Chairmen's Meeting, Munich, 1987 October	box 73	folder 837
Chairmen's Meetings, 1988 October 27-29	box 73	folder 838
Chairmen's Meeting - Notebook, 1988 Fall	box 74	folder 839
Chairmen's Meeting - Notebook, 1989 Fall	box 74	folder 840
Mexico City, Discussions - Notebook, 1990	box 74	folder 841
Chairmen's Meeting - Notebook, 1990 Fall	box 74	folder 842
Chairmen's Meeting - Notebook, 1991 Fall	box 75	folder 843
Chairmen's Meeting - Notebook, 1992 Fall	box 75	folder 844
Chairmen's Meeting - Notebook, 1993 Fall	box 75	folder 845
Chairmen's Meeting - Notebook, 1994 Fall	box 75	folder 846
Chairmen's Meeting - Notebook, 1995 Fall	box 76	folder 847
Chairmen's Meeting - Notebook, 1996 Fall	box 76	folder 848
Chairmen's Meeting - Notebook, 1997 Fall	box 76	folder 849
Chairmen's Meeting - Notebook, 1998 Fall	box 76	folder 850
Chairmen's Meetings, Pocantico, 1991 November 9	box 77	folder 851
Chairmen's Meetings, 1994 November 13-14	box 77	folder 852
Chairmen's Meetings, 1995 December	box 77	folder 853
Chairmen's Meetings, Frankfurt, 1996 November	box 77	folder 854
Chairmen's Meetings, 1997 November	box 77	folder 855
Chairmen's Meetings - Correspondence, 1998	box 77	folder 856
Chairmen's Meetings, - Notebook Contents, 1998	box 77	folder 857
Chairmen's Meetings, Pocantico, 2000	box 77	folder 858
Executive Committee Meeting, Tokyo, 1973	box 77	folder 859

Plenary Meeting, Kyoto, 1975 May	box 77	folder 860
Plenary Meeting, Paris, 1975 November-December	box 77	folder 861
Plenary Meeting, Washington/Ottawa, 1976	box 77	folder 862
Plenary Meeting, Tokyo, 1977 January	box 77	folder 863
Plenary Meeting, Bonn October 1977 and Brussels (European Regional), 1977 May	box 77	folder 864
Plenary Meeting, Washington, D.C., 1978	box 77	folder 865
Plenary Meeting, London, 1980	box 77	folder 866
Plenary Meeting, Washington, D.C., 1981	box 77	folder 867
Plenary Meeting, Rome, 1983	box 77	folder 868
Plenary Meeting, Madrid, 1986	box 78	folder 869
Plenary Meeting, San Francisco, 1987	box 78	folder 870
Plenary Meeting, Tokyo - Expenses, 1988	box 78	folder 871
Plenary Meeting, Lisbon, 1992	box 78	folder 872
Plenary Meeting, Washington, D.C., 1993	box 78	folder 873
Plenary Meeting, Copenhagen, 1995	box 78	folder 874
Plenary Meeting, Vancouver, 1996	box 78	folder 875
Plenary Meeting, Tokyo, 1997	box 78	folder 876
Plenary Meeting, Tokyo, 1997	box 78	folder 877
Plenary Meeting, Tokyo, 1997	box 78	folder 878
Plenary Meeting, Tokyo, 1997	box 78	folder 879
Plenary Meeting, Tokyo, 1994	box 78	folder 880
Plenary Meeting, Copenhagen - Packet, 1995 April	box 79	folder 881
Plenary Meeting, Copenhagen - Chairmen's Note, 1995 April	box 79	folder 882
Plenary Meeting, Copenhagen - Memos, 1995 April	box 79	folder 883
Plenary Meeting, Copenhagen - Press, Chairmen's Note, 1995	box 79	folder 884
Plenary Meeting, Vancouver, 1996	box 79	folder 885
Plenary Meeting, Tokyo, 1997	box 79	folder 886
25th Anniversary Publication - Correspondence	box 79	folder 886A
Regional Dinners, 1974	box 80	folder 887
North American Commissioners Meeting, 1974 May	box 80	folder 888
North American Commissioners Meetings, 1975 October 16-17	box 80	folder 889
Correspondence - Franklin to David Rockefeller, 1978-1979		

	box 80	folder 890
David Rockefeller Meeting, 1979 December 6	box 80	folder 891
David Rockefeller Meeting, 1980 February 7	box 80	folder 892
David Rockefeller Meeting, 1980 March 11	box 80	folder 893
David Rockefeller Meeting, 1980 December 8	box 80	folder 894
David Rockefeller Meetings, 1980-1981	box 80	folder 895
David Rockefeller Meetings, 1981 February-March	box 80	folder 896
David Rockefeller Meeting, 1981 September 24	box 80	folder 897
David Rockefeller Meeting, 1981 December 7	box 80	folder 898
Meetings with David Rockefeller as Chairmen, 1982	box 80	folder 899
Meetings with David Rockefeller as Chairmen, 1982	box 80	folder 900
Meetings with David Rockefeller as Chairmen, 1982	box 80	folder 901
Meetings with David Rockefeller as Chairmen, 1984-1985	box 80	folder 902
Meetings with David Rockefeller as Chairmen, 1986 April 21	box 80	folder 903
Meetings with David Rockefeller as Chairmen, 1986-1987	box 80	folder 904
Executive Committee/Trustees Meeting, 1981 January 13	box 81	folder 905
Executive Committee/Trustees Meeting, 1981 July 22	box 81	folder 906
Executive Committee/Trustees Meeting, 1982 July 16	box 81	folder 907
Executive Committee/Trustees Meeting, 1983 July 11	box 81	folder 908
Executive Committee/Trustees Meeting, 1984 January 25	box 81	folder 909
Executive Committee/Trustees Meeting, 1984 January 25	box 81	folder 910
Executive Committee/Trustees Meeting, 1984 January	box 81	folder 911
Executive Committee/Trustees Meeting - Financial, 1984 July	box 81	folder 912
Executive Committee/Trustees Meeting, 1984 July 27	box 81	folder 913
Executive Committee/Trustees Meeting, Washington, D.C., 1984 July 27	box 81	folder 914
Executive Committee Meeting, Paris, 1989	box 81	folder 915
Trustees and Executive Committee Members, 1973-1998	box 81	folder 916
North American Planning Committee Meeting, 1974 February 14	box 81	folder 917
North American Board of Directors Meeting, 1974 May 30	box 81	folder 918
North American Planning Committee Meeting, 1974 June 10	box 81	folder 919
North American Management Committee Meeting, 1974 October	box 82	folder 920

North American Planning Committee/Management Committee Meeting, 1974 October 21	box 82	folder 921
North American Commissioners/Executive Committee Meeting, 1974 December	box 82	folder 922
North American Commissioners/Executive Committee Meeting, 1974 December	box 82	folder 923
North American Management Committee Meeting, 1975 February 8	box 82	folder 924
North American Trustees Meeting, 1975 February 8	box 82	folder 925
North American Trustees Meeting, 1975 February 8	box 82	folder 926
North American Trustees Meeting, 1975 April	box 82	folder 927
North American Trustees and Planning Committee Meeting, 1975 April 5	box 82	folder 928
North American Trustees Meeting, 1975 June	box 82	folder 929
North American Planning Committee Meeting, 1975 June 20	box 82	folder 930
North American Trustees Meeting, 1975 June 20	box 82	folder 931
North American Trustees Meeting, 1975 October 17	box 82	folder 932
North American Management Committee Meeting, 1975 October 17	box 82	folder 933
North American Management Committee Meeting, Washington, D.C., 1976 January 7	box 83	folder 934
North American Management Committee Meeting, 1976	box 83	folder 935
North American Trustees Meeting, 1976 June 22	box 83	folder 936
North American Board of Trustees Meeting, 1976 June	box 83	folder 937
North American Trustees Meeting, Tokyo, 1977 January	box 83	folder 938
North American Executive Committee/Trustees Meeting, Tokyo, 1977 January	box 83	folder 939
North American Executive Committee Meeting, 1977 February 15	box 83	folder 940
Research Advisory Committee Meeting, 1977 September 23-24	box 83	folder 941
North American Trustees Meeting, 1977 June 9	box 83	folder 942
North American Trustees Meeting, 1977 June 9	box 83	folder 943
North American Executive Committee/Trustees Meeting, 1977 October 24	box 83	folder 944
Trustees Meeting, Washington, D.C., 1978 January 22	box 84	folder 945
North American Executive Committee/Trustees Meeting, Washington, D.C., 1978 January 22	box 84	folder 946



North American Executive Committee/Trustees Meeting, 1978 June 13	box 84	folder 947
Trustees Meeting, 1979 January 19	box 84	folder 948
North American Executive Committee/Trustees Meeting, 1979 April 24	box 84	folder 949
North American Executive Committee/Trustees Meeting, 1979 June 27	box 84	folder 950
North American Executive Committee/Trustees Meeting (GSF), 1980 June 9	box 84	folder 951
North American Executive Committee Meeting, London - Breakfast, 1980 March 24	box 84	folder 952
North American Executive Committee/Trustees Meeting, 1980	box 84	folder 953
North American Executive Committee/Trustees Meeting, 1981 January 13	box 84	folder 954
North American Executive Committee/Trustees Meeting, 1981 January 13	box 84	folder 955
North American Executive Committee/Trustees Meeting, 1981 January 1 and 1982 July 22	box 85	folder 956
North American Executive Committee/Trustees Meeting - Fundraising, 1982 July 16	box 85	folder 957
North American Executive Committee/Trustees Meeting, 1982 July 16	box 85	folder 958
North American Executive Committee/Trustees Meeting - Notebook, 1985 Summer	box 85	folder 959
North American Executive Committee/Trustees Meeting - Notebook, 1986 Summer	box 85	folder 960
North American Executive Committee/Trustees Meeting - Notebook, 1987 Summer	box 85	folder 961
North American Executive Committee/Trustees Meeting - Notebook, 1988 Summer	box 85	folder 962
North American Executive Committee/Trustees, 1974-1987	box 86	folder 963
Management Committee and Trustees Meetings, 1979 January 19	box 86	folder 964
North American Trustees Meeting, 1980	box 86	folder 965
North American Executive Committee/Trustees Meeting, 1981 January 13	box 86	folder 966
Trustees Meeting, 1981 July 22	box 86	folder 967
European Regional Meeting	box 86	folder 967A
Trustees Meeting, 1982 July	box 86	folder 968

North American Executive Committee/Trustees Meeting, 1984 July 27	box 86	folder 969
North American Executive Committee/Trustees Meeting, 1987 July 13	box 86	folder 970
North American Executive Committee/Trustees Meeting, 1988	box 86	folder 971
North American Executive Committee/Trustees Meeting, 1989 July 11	box 86	folder 972
North American Executive Committee/Trustees Meeting, 1991 July 15	box 86	folder 973
Executive Committee/Trustees Meeting (Rohesia Hamilton Files), 1995	box 86	folder 974
European Regional Meeting, Dublin, 1992 October	box 86	folder 975
European Regional Meeting, Barcelona, 1993 October	box 86	folder 976
European Regional Meeting, Vienna, 1994	box 86	folder 977
European Regional Meeting, Paris, 1995	box 86	folder 978
European Regional Meeting, Helsinki, 1996	box 87	folder 979
European Regional Meeting, Netherlands, 1997	box 87	folder 980
North American and Other Meetings, 1982-1986		
Franklin/Hanophy Files <u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  CLOSED to scholarly research due to preservation concerns.	box 87A	folder 3802
Franklin/Hanophy Files - Phillips Gallery <u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  CLOSED to scholarly research due to preservation concerns.	box 87A	folder 3803
Franklin/Hanophy Files - Dinner - Responses, 1986 April 10 <u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  CLOSED to scholarly research due to preservation concerns.	box 87A	folder 3804
Franklin/Hanophy Files - Dinner - Invite, November 13-14 <u>Conditions Governing Access note:</u>	box 87A	folder 3805

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Franklin/Hanophy Files - President Giscard Remarks, 1985 June 17	box 87A	folder 3806
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Franklin/Hanophy Files - Giscard Dinner - Invitation, 1985	box 87A	folder 3807
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Franklin/Hanophy Files - Kashiwagi Dinner, 1985 February 14	box 87A	folder 3808
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Franklin/Hanophy Files	box 87A	folder 3809
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Franklin/Hanophy Files	box 87A	folder 3810
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Franklin/Hanophy Files - Plenary Meeting, Tokyo - Thank You Letters, 1985	box 87A	folder 3811
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Franklin/Hanophy Files - Kashiwagi Dinner - Responses	box 87A	folder 3812
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Franklin/Hanophy Files - Corporate Dinner, 1984

box 87A

folder 3813

Conditions Governing Access note:

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Franklin/Hanophy Files - Corporate Dinner, March 25-30

box 87A

folder 3814

Conditions Governing Access note:

**Conditions Governing Access note**

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Franklin/Hanophy Files - Responses from Participants, 1984  
May 29-30

box 87A

folder 3815

Conditions Governing Access note:

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Franklin/Hanophy Files - Phillip Habib Dinner, 1983 February  
3

box 87A

folder 3816

Conditions Governing Access note:

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Franklin/Hanophy Files

box 87A

folder 3817

Conditions Governing Access note:

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Franklin/Hanophy Files

box 87A

folder 3818

Conditions Governing Access note:

## Conditions Governing Access note

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Franklin/Hanophy Files - Corporate Meeting - Agenda, 1983 May 16-17	box 87A	folder 3819
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## Conditions Governing Access note

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Franklin/Hanophy Files - Lunch Hosted by Ford's Phillip Caldwell, 1984 May 29	box 87A	folder 3820
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## Conditions Governing Access note

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Franklin/Hanophy Files - Habib Dinner - List of Participants, February 3	box 87A	folder 3821
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Conditions Governing Access note:

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Franklin/Hanophy Files	box 87A	folder 3822
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Conditions Governing Access note:

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Franklin/Hanophy Files	box 87A	folder 3823
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Conditions Governing Access note:

## Conditions Governing Access note

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Franklin/Hanophy Files	box 87A	folder 3824
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Franklin/Hanophy Files	box 87A	folder 3825
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Franklin/Hanophy Files - Speeches - "Europe's Future"  
Seminar, 1984 May 29-30

box 87A

folder 3826

Conditions Governing Access note:

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Franklin/Hanophy Files

box 87A

folder 3827

Conditions Governing Access note:

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Franklin/Hanophy Files - Plenary Meeting, London - Non  
Attendees

box 87A

folder 3828

Conditions Governing Access note:

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Franklin/Hanophy Files - Plenary Meeting, Washington, D.C. -  
George Franklin, Portfolio, 1981 March

box 87A

folder 3829

Conditions Governing Access note:

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Franklin/Hanophy Files - Plenary Meeting, London - Thank You  
Letters from GSF and DR, 1980

box 87A

folder 3830

Conditions Governing Access note:

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Franklin/Hanophy Files - Meeting with David Rockefeller

box 87A

folder 3831

Conditions Governing Access note:

## Conditions Governing Access note

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Franklin/Hanophy Files - Meeting with David Rockefeller, January 4	box 87A	folder 3832
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Conditions Governing Access note:

## Conditions Governing Access note

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Franklin/Hanophy Files - Meeting with David Rockefeller, 1977 December 2	box 87A	folder 3833
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Conditions Governing Access note:

## Conditions Governing Access note

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Franklin/Hanophy Files - Meeting with David Rockefeller, 1982 October 1	box 87A	folder 3834
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Conditions Governing Access note:

## Conditions Governing Access note

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Franklin/Hanophy Files	box 87A	folder 3835
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Conditions Governing Access note:

## Conditions Governing Access note

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Franklin/Hanophy Files - Meeting with David Rockefeller, 1983 January 27	box 87A	folder 3836
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Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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Franklin/Hanophy Files - Meeting with David Rockefeller, 1982 July 1	box 87A	folder 3837
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Conditions Governing Access note:

**Conditions Governing Access note**

CLOSED to scholarly research due to preservation concerns.

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Franklin/Hanophy Files - Meeting with David Rockefeller, 1982 June 9	box 87A	folder 3838
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Conditions Governing Access note:

**Conditions Governing Access note**

CLOSED to scholarly research due to preservation concerns.

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Franklin/Hanophy Files - Meeting with David Rockefeller, 1982 January 4	box 87A	folder 3839
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Conditions Governing Access note:

**Conditions Governing Access note**

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Franklin/Hanophy Files - Meeting with David Rockefeller, 1982 April 30	box 87A	folder 3840
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Conditions Governing Access note:

**Conditions Governing Access note**

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Christina Hanophy Files - North American Regional Dinners - Carla Hill Dinner, 1991 January 29	box 87	folder 981
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Christina Hanophy Files - North American Regional Dinners - Regional Corporate Dinner, 1990 Fall	box 87	folder 982
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Christina Hanophy Files - North American Regional Dinners - Scowcroft, 1990 February 27	box 87	folder 983
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Christina Hanophy Files - North American Regional Dinners - Scowcroft, 1989 October 5	box 87	folder 984
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Christina Hanophy Files - North American Regional Dinners - Benedetti Dinner	box 87	folder 985
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Christina Hanophy Files - North American Regional Dinners - Alan Greenspan Dinner, 1988 June	box 87	folder 986
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Christina Hanophy Files - North American Regional Dinners - Alan Greenspan Dinner - Site Selection, 1988 February 9	box 87	folder 987
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Christina Hanophy Files - North American Regional Dinners - Alan Greenspan Dinner, 1988 June 23	box 87	folder 988
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Christina Hanophy Files - North American Regional Dinners - Alan Greenspan Dinner, 1988 June 23	box 87	folder 988A
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Christina Hanophy Files - North American Regional Dinners - Kato Dinner, 1988	box 88	folder 989
Christina Hanophy Files - North American Regional Dinners - Conable Dinner, 1987 June 29	box 88	folder 990
Christina Hanophy Files - North American Regional Dinners - Various Dinner Estimates	box 88	folder 991
Christina Hanophy Files - North American Regional Dinners - Yeutter Dinner, 1986 December	box 88	folder 992
Hanophy Files - North American Events - Pocantico Dinner, 2000 October	box 88	folder 993
Hanophy Files - North American Events - Stephen Bosworth Event, 1999 February 10	box 88	folder 994
Hanophy Files - North American Events - Trustees/Executive Committee (and possible dinner/lunch), 1993 October 7	box 88	folder 995
Hanophy Files - North American Events - Peter Sutherland (GATT) Luncheon, 1993 September 22	box 88	folder 996
Hanophy Files - North American Events - Fall Dinner, 1991 November 7	box 88	folder 997
Hanophy Files - North American Events, 1991 November 7-9	box 88	folder 998
Hanophy Files - North American Events - Corporate Event, 1992-1993 Fall/Winter	box 89	folder 999
Hanophy Files - North American Events - Salinas Seating Arrangements	box 89	folder 1000
Hanophy Files - North American Events - Lambsdorf Dinner, 1992 Winter	box 89	folder 1001
Hanophy Files - North American Events - Hosokawa Dinner (Cancelled), 1994 December 5	box 89	folder 1002
Hanophy Files - North American Events - Hosokawa Dinner (Revived), 1995 March 1	box 89	folder 1003
Hanophy Files - North American Events - Event: Speaker: Jose Aznar, 1995 June 1	box 89	folder 1004
Hanophy Files - North American Events - Possible Rubin Dinner, 1994 March	box 89	folder 1005
Hanophy Files - North American Events, 1994-1995	box 89	folder 1006
Hanophy Files - North American Events - Romano Prodi Event, 1996 Fall/Winter	box 89	folder 1007
Hanophy Files - North American Events - Secretary William Perry Event, 1996	box 89	folder 1008
Hanophy Files - Interoffice Memos and Correspondence, 1987-1989	box 89	folder 1009

North American Events - 25th Anniversary Evening and Publication, 1998	box 90	folder 1010
North American Events - 25th Anniversary Evening and Publication, 1998	box 90	folder 1011
North American Events - 25th Anniversary Evening and Publication, 1998	box 90	folder 1012
North American Events - 25th Anniversary Evening and Publication, 1998	box 90	folder 1013
North American Events - 25th Anniversary Evening and Publication, 1998	box 90	folder 1014
North American Events - 25th Anniversary Evening and Publication, 1998	box 90	folder 1015
North American Events - 25th Anniversary Evening and Publication, 1998	box 90	folder 1016
North American Events - 25th Anniversary Evening and Publication, 1998	box 90	folder 1017
North American Events - 25th Anniversary Evening and Publication, 1998	box 90	folder 1018
North American Events - 25th Anniversary Evening and Publication, 1998	box 90	folder 1019
North American Events - 25th Anniversary Evening and Publication, 1998	box 90	folder 1019A
Rohesia Hamilton Metcalfe Files - North American Events - Salinas Lunch, 1992	box 91	folder 1020
Rohesia Hamilton Metcalfe Files - North American Events - Otto Dinner, 1992	box 91	folder 1021
Rohesia Hamilton Metcalfe Files - North American Events - David Rockefeller - Volcker Dinner, 1991 November 7	box 91	folder 1022
Rohesia Hamilton Metcalfe Files - North American Events - Owada Dinner, 1990	box 91	folder 1023
Rohesia Hamilton Metcalfe Files - North American Events - Yeutter Dinner, 1986 December 3	box 91	folder 1024
Rohesia Hamilton Metcalfe Files - North American Events - C. Hills, Dinner, 1991	box 91	folder 1025
Rohesia Hamilton Metcalfe Files - North American Events - Perry, 1996	box 91	folder 1026
Rohesia Hamilton Metcalfe Files - North American Events - Aznar Dinner, 1995	box 91	folder 1027
Rohesia Hamilton Metcalfe Files - North American Events - Hosokawa, 1995 March 15	box 92	folder 1028

Rohesia Hamilton Metcalfe Files - North American Events - Dinner, 1995	box 92	folder 1029
Rohesia Hamilton Metcalfe Files - North American Events - Sutherland Event, 1993 September 22	box 92	folder 1030
Rohesia Hamilton Metcalfe Files - North American Events, 1993 October 7	box 92	folder 1031
Rohesia Hamilton Metcalfe Files - North American Events - Federov, 1994 Spring	box 92	folder 1032
Rohesia Hamilton Metcalfe Files - North American Events, 1997	box 92	folder 1033
Rohesia Hamilton Metcalfe Files - North American Events - Bosworth, 1998	box 92	folder 1034
Rohesia Hamilton Metcalfe Files - North American Events - John Hair 25th Anniversary Design, 1998	box 92	folder 1035
Rohesia Hamilton Metcalfe Files - North American Events - 25th Anniversary - Lighting, 1998	box 92	folder 1036
Rohesia Hamilton Metcalfe Files - North American Events - 25th Anniversary - Flowers, 1998	box 92	folder 1037
Rohesia Hamilton Metcalfe Files - North American Events - 25th Anniversary - Miscellaneous Other Contracts, 1998	box 92	folder 1038
Rohesia Hamilton Metcalfe Files - North American Events - 25th Anniversary Events	box 92	folder 1039
Rohesia Hamilton Metcalfe Files - North American Events - 25th Anniversary - Thanks	box 92	folder 1040
Rohesia Hamilton Metcalfe Files - North American Events - 25th Anniversary - RSVP	box 92	folder 1041
Rohesia Hamilton Metcalfe Files - North American Events - 25th Anniversary - David Rockefeller, 1998	box 92	folder 1042
Rohesia Hamilton Metcalfe Files - North American Events - 25th Anniversary Accommodations/Travel, 1998	box 93	folder 1043
Rohesia Hamilton Metcalfe Files - North American Events - 25th Anniversary - Projects, 1998	box 93	folder 1044
Rohesia Hamilton Metcalfe Files - North American Events - 25th Anniversary - Seating, 1998	box 93	folder 1045
Rohesia Hamilton Metcalfe Files - North American Events - 25th Anniversary - Souvenir Program, 1998	box 93	folder 1046
Rohesia Hamilton Metcalfe Files - North American Events - 25th Anniversary - Calligraphy, 1998	box 93	folder 1047
Rohesia Hamilton Metcalfe Files - North American Events - 25th Anniversary Ken Levinson - Photograph Order, 1999	box 93	folder 1047A

Rohesia Hamilton Metcalfe Files - European Regional Meeting, The Hague, 1997	box 93	folder 1048
Rohesia Hamilton Metcalfe Files - Athens, 1999 October 22-24	box 93	folder 1049
Rohesia Hamilton Metcalfe Files - North American Events - Karine Excel Chart, 1997	box 93	folder 1050
Rohesia Hamilton Metcalfe Files - North American Events - Guest Speaker Bios, 1996	box 93	folder 1051
Rohesia Hamilton Metcalfe Files - North American Events - Guest Speaker Bios, 1996	box 93	folder 1051A
<b>Scope and Contents note</b>		
Including Henry Kissinger		
Rohesia Hamilton Metcalfe Files - North American Events - Memos, 1989-1998	box 93	folder 1052
Rohesia Hamilton Metcalfe Files - North American Events - Speeches/Statements, 1989-1995	box 93	folder 1053
Stephen Bosworth North American Event - Final, 1998	box 93	folder 1054
Stephen Bosworth North American Event - Substantive Papers, 1998	box 94	folder 1055
Stephen Bosworth North American Event - Responses, 1998	box 94	folder 1056
Stephen Bosworth North American Event - Invitation Lists, 1998	box 94	folder 1057
Stephen Bosworth North American Event - Invitations, 1998	box 94	folder 1058
25th Anniversary Evening and Publications - General, 1998	box 94	folder 1059

[^ Return to Table of Contents](#)

## Task Force Reports/Projects, 1973-2001

Physical Description: 60.6 Cubic Feet Boxes 95=209.

### Scope and Contents

Apart from the gathering of the membership at meetings, the other major activity of the Trilateral Commission involves writing and distributing policy studies which are undertaken primarily through the creation, development and distribution of Task Force or Project reports, also known as the Triangle Papers.

Task Force or Project Reports typically involved a team of authors from the three regions working together for a year or more to create a report. The report was often discussed, usually in draft form, at an annual meeting. Reports have usually been published and circulated widely once they are completed.

There are several exceptions to this general rule: 1. Three reports had single authors: the 1985 Nishihara Report; the 1990 Israel-Pakistan FitzGerald paper; and the 1992 Gyohten paper. 2. Seven are signed individual essays,

sometimes with a joint conclusion. 3. Several reports were completed with the help of outside/unaffiliated institutes such as the Atlantic Institute.

In 1991-1992 three working groups were created – 1. Common Security Issues – which led to Report 43 : Keeping the Peace in the Post-Cold War Era: Strengthening Multilateral Peacekeeping (1993). 2. Global Issues (Migration and Refugees) – which led to Report 44: International Migration Challenges in a New Era (1993). 3. Economic Interdependence – which served as the framework for Gyohten's Report 42: Regionalism in a Converging World (1992)

Individual papers prepared in the context of these working groups were published in the 1992 Working Group Papers.

The task force reports/projects permit intensive consideration of an issue, or a set of issues, and allow the participation of experts, including non-members, possibly from nontrilateral countries as authors, consultants or contributors. The reports often serve to provide context and perspective rather than recommending a set of specific policy directives.

The topics are chosen by the Commission leadership (chairmen, deputy chairmen, and directors) with the advice of the Executive Committee and others.

Topics include: 1. Economic Relations (monetary, fiscal, trade and investment policy); 2. Security (arms control, nuclear proliferation); 3. Energy issues (and the political implications of energy policies); 4. Relations among trilateral countries; 5. Relations with developing countries; 6. Relations with communist countries; 7. International institutions and political consultations.

The task force reports/projects are reported to the Trilateral Commission, not of the Trilateral Commission. This distinction is an important one because attempting to reach a consensus among trilateral countries is often extremely difficult or impossible to achieve. The Commission in effect is not coming to a consensus on the issues being discussed; instead several appropriate individuals are chosen to investigate a topic and their findings are then reported to the Commission.

A unique characteristic of the trilateral reporting process involves giving experts a forum within which to discuss issues, and to potentially seek multilateral long term solutions and common ground, without the pressures of national interests, which so often dominate bilateral negotiations between countries.

## **Arrangement**

This series is arranged numerically by Report Number (Task Force Reports 1-55). Accompanying files extend through Report 52 (1998).

## **Scope and Contents**

The Task Force files contained in the collection have accompanying "front matter" which chronicles the process by which each report was developed, often including various draft chapters and reports, correspondence between authors and/or authors meeting records and meetings with other consultants or experts. Accompanying files may also include publication arrangements, and documentation of press conferences and/or publicity.

Box 209 contains two copies of most published reports. One copy is available of Report #37, #38, #39, #42 and #53. A final published version of Report #52 is not available in the archival collection. There is no individual copy of Report #9, but #9 is available in the combined volumes published by the NYU Press.

Four draft reports were not completed for publication – Report #20: Major Payment Imbalances and International Financial Stability (1979); Labor Market Problems and Policies in Modern Trilateral Societies (no assigned #, 1980); Report #34: Science and Technology in Trilateral Relations: Competition and Cooperation (1987); and Report #47: Revitalizing Trilateral Democracies.

Most reports were translated into Japanese. Many were translated into German. Some were translated into Spanish, French, Russian, and/or Italian. Translations are sporadically available within the body of the collection.

## Scope and Contents

### Trilateral Commission - Task Force Reports (Triangle Papers)

1. Towards a Renovated World Monetary System The Trilateral Commission (© 1973); Motoo Kaji, Richard N. Cooper and Claudio Segré. ISBN: 0-930503-61-9. Related Archival Material: Monetary Task Force (Box 95, 209, 308E). RAC Library: 339.5 KAJ.
2. The Crisis of International Cooperation The Trilateral Commission (© 1974). François Duchêne, Kinhide Mushakoji and Henry Owen. ISBN: 0-930503-60-0. Related Archival Material: Political Task Force (Box 95, 209, 308E, 345).
3. A Turning Point in North-South Economic Relations The Trilateral Commission (© 1974). Richard N. Gardner, Saburo Okita and B.J. Udink. ISBN: 0-930503-56-2. Related Archival Material: LDC Task Force (Box 96, 97, 209, 308E).
4. Directions for World Trade in the Nineteen-Seventies The Trilateral Commission (© 1974). Guido Colonna Di Paliano, Philip H. Trezise and Nobuhiko Ushiba. ISBN: 0-930503-59-7. 36 pp. Related Archival Material: Trade Task Force (Box 97, 98, 209, 308E).
5. Energy: The Imperative for a Trilateral Approach The Trilateral Commission (© 1974). John C. Campbell, Guy de Carmoy and Shinichi Kondo. ISBN: 0-930503-58-9. 36 pp. Related Archival Material: Energy Task Force (Box 98, 99, 209, 308F, 343).
6. Energy: A Strategy for International Action The Trilateral Commission (© 1974). John C. Campbell, Guy de Carmoy and Shinichi Kondo. ISBN: 0-930503-57-0. 48 pp. Related Archival Material: Energy Task Force (Box 98, 99, 209, 308F, 343). RAC Library: 333.8 CAM.
7. OPEC, the Trilateral World, and the Developing Countries: New Arrangements for Cooperation, 1976-1980 The Trilateral Commission (© 1975). Richard N. Gardner, Saburo Okita and B.J. Udink. ISBN: 0-930503-55-4. 32 pp. Related Archival Material: LDC Task Force (Box 96, 97, 209, 308E).
8. The Crisis of Democracy Trilateral Commission © 1975, New York University Press. Michel Crozier, Samuel P. Huntington and Joji Watanuki. ISBN: 0-8147-1305-3. 220 pp. Related Archival Material: Democracies Task Force (Boxes 99, 100, 209, 356).
9. A New Regime for the Oceans Trilateral Commission © 1976, New York University Press. Michael Hardy, Ann L. Hollick, Johan Jørgen Holst, Douglas M. Johnston and Shigeru Oda. ISBN: 0-930503-54-6. 54 pp. Related Archival Material: Oceans Task Force (Box 101, 102, 209, 308E).
10. Seeking a New Accommodation in World Commodity Markets The Trilateral Commission (© 1976). Carl E. Beigie, Wolfgang Hager and Sueo Sekiguchi. ISBN: 0-930503-53-8. 40 pp. Related Archival Material: Access to Supplies Task Force (Box 102, 103, 209). RAC Library: 382 BEI.
11. The Reform of International Institutions The Trilateral Commission (© 1976). C. Fred Bergsten, Georges Berthoin and Kinhide Mushakoji. 31 pp. Related Archival Material: Institutions Task Force (Box 104, 209, 356). RAC Library: 382 BER.
12. The Problem of International Consultations The Trilateral Commission (© 1976). Egidio Ortona, J. Robert Schaetzel and Nobuhiko Ushiba. ISBN: 0-930503-51-1. 21 pp. Related Archival Material: Consultations Task Force (Box 104, 105, 209, 356).

13. Collaboration with Communist Countries in Managing Global Problems: An Examination of the Options The Trilateral Commission (© 1977). Chihiro Hosoya, Henry Owen and Sir Andrew Shonfield. ISBN: 0-930503-47-3. 33 pp. Related Archival Material: Communist Involvement Task Force (Box 105, 106, 209).
14. Towards a Renovated International System The Trilateral Commission (© 1977). Richard N. Cooper, Karl Kaiser and Masataka Kosaka. ISBN: 0-930503-46-5. 68 pp. Related Archival Material: Renovated International System Task Force (Box 107, 108, 209).
15. An Overview of East-West Relations The Trilateral Commission (© 1978). Jeremy R. Azrael, Richard Löwenthal and Tohru Nakagawa. ISBN: 0-930503-42-2. 70 pp. Related Archival Material: East-West Task Force (Box 108, 109, 110, 209, 234, 308F). RAC Library: 337 TRI.
16. Reducing Malnutrition in Developing Countries: Increasing Rice Production in South and Southeast Asia The Trilateral Commission (© 1978). Umberto Colombo, D. Gale Johnson and Toshio Shishido. ISBN: 0-930503-41-4. 55 pp. Related Archival Material: Food Task Force (Box 110, 111, 112, 113, 114, 115, 209, 308E). RAC Library: 337 TRI.
17. Energy: Managing the Transition The Trilateral Commission (© 1978). John C. Sawhill, Keichi Oshima and Hanns W. Maull. ISBN: 0-930503-40-6. 92 pp. Related Archival Material: Energy Task Force (Box 115, 116, 117, 118, 119, 209). RAC Library: 337 TRI.
18. Collective Bargaining and Employee Participation in Western Europe, North America and Japan The Trilateral Commission (© 1979). Benjamin C. Roberts, George C. Lodge and Hideaki Okamoto. ISBN: 0-930503-36-8. 90 pp. Related Archival Material: Labor-Management Task Force (Box 120, 121, 122, 123, 124, 209, 235). RAC Library: 337 TRI.
19. Industrial Policy and the International Economy The Trilateral Commission (© 1979). John Pinder, Takashi Hosomi and William Diebold. ISBN: 0-930503-35-X. 82 pp. Related Archival Material: Industrial Policy Task Force (Box 124, 125, 126, 209, 347). RAC Library: 337 TRI & 338.91 PIN.
20. Major Payments Imbalances and International Financial Stability The Trilateral Commission (© 1979, in draft form). Masao Fujioka, Alexandre Lamfalussy and Bruce K. MacLaury. Related Archival Material: Payments Imbalances Task Force (Box 127, 128, 129, 226).
- Unemployment and Smoothing Adaptation (No # assigned) The Trilateral Commission (© 1980, in draft form). Heinz Markmann, Richard Nelson, and Tadashi Hanami. Related Archival Material: Employment Task Force (Box 130, 131, 132).
21. Trade in Manufactured Products with Developing Countries: Reinforcing North-South Partnership The Trilateral Commission (© 1981). Albert Fishlow, Jean Carrière and Suelo Sekiguchi. ISBN: 0-930503-29-5. 85 pp. Related Archival Material: North South Trade Task Force (Box 132, 133, 134, 135, 136, 137, 209, 327). RAC Library: 382 FIS.
22. The Middle East and the Trilateral Countries The Trilateral Commission (© 1981). Garret FitzGerald, Hideo Kitahara, Arrigo Levi and Joseph Sisco. ISBN: 0-930503-28-7. 52 pp. Related Archival Material: Middle East Task Force (Box 138, 139, 140, 141, 142, 209). RAC Library: 327 MID.
23. The Trilateral Countries in the International Economy of the 1980s The Trilateral Commission (© 1982). Miriam Camps, Ryokichi Hirono and Karsten Laursen. ISBN: 0-930503-24-4. 64 pp. Related Archival Material: New Vistas Task Force (Box 142, 143, 144, 145, 146, 209).
24. East-West Trade at a Crossroads: Economic Relations with the Soviet Union and Eastern Europe Trilateral Commission © 1982, New York University Press. Robert V. Roosa, Michiya Matsukawa and Armin Gutowski. ISBN: 0-8147-7385-0. 119 pp. Related Archival Material: East-West Trade Task Force (Box 147, 148, 149, 150, 209, 226). RAC Library: 382 ROO.

25. Sharing International Responsibilities Among the Trilateral Countries The Trilateral Commission (© 1982). Nobuhiko Ushiba, Graham Allison and Thierry de Montbrial. ISBN: 0-930503-20-1. 102 pp. Related Archival Material: Sharing Responsibilities Task Force (Box 150, 151, 152, 153, 209, 226). RAC Library: 337.1 USH.
  
26. Trilateral Security: Defense and Arms Control Policies in the 1980s. The Trilateral Commission (© 1983). Gerard L. Smith, Paolo Vittorelli and Kiichi Saeki. ISBN: 0-930503-19-8. 106 pp. Related Archival Material: Trilateral Security Task Force (Box 153, 154, 155). RAC Library: 355 SMI.
  
27. Facilitating Development in a Changing Third World: Trade, Finance, Aid The Trilateral Commission (© 1983). Takeshi Watanabe, Jacques Lesourne and Robert S. McNamara. ISBN: 0-930503-18-X. 126 pp. Related Archival Material: Strategies for Assistance to Developing Countries Task Force (Box 155, 156, 157, 226). RAC Library: 338.91 WAT.
  
28. Democracy Must Work: A Trilateral Agenda for the Decade Trilateral Commission © 1984, New York University Press. David Owen, Zbigniew Brzezinski and Saburo Okita. ISBN: 0-8147-6161-5. 88 pp. Related Archival Material: Box 158, 159, 209. RAC Library: 337 OWE.
  
29. Agricultural Policy & Trade: Adjusting Domestic Programs in an International Framework Trilateral Commission © 1985, New York University Press. D. Gale Johnson, Kenzo Hemmi and Pierre Lardinois. ISBN: 0-8147-4168-1. 132 pp. Related Archival Material: Box 160, 161, 162, 163, 209. RAC Library: 338.1 JOH.
  
30. East Asian Security & The Trilateral Countries Trilateral Commission © 1985, New York University Press. Masashi Nishihara. ISBN: 0-8147-5759-6. 111 pp. Related Archival Material: Box 163, 209. RAC Library: 355 SMI.
  
31. Prospects for East-West Relations The Trilateral Commission (© 1986). William G. Hyland, Karl Kaiser and Hiroshi Kimura. ISBN: 0-930503-00-7. 52 pp. Related Archival Material: Box 163, 164, 209.
  
32. Conditions for Partnership in International Economic Management The Trilateral Commission (© 1986). C. Fred Bergsten, Etienne Davignon and Isamu Miyazaki. ISBN: 0-930503-01-5. 56 pp. Related Archival Material: Box 165, 166, 209.
  
33. Restoring Growth in the Debt-Laden Third World The Trilateral Commission (© 1987). Martin Feldstein, Hervé de Carmoy, Koei Narusawa and Paul R. Krugman. ISBN: 0-930503-02-3. 82 pp. Related Archival Material: Box 166, 167, 168, 169, 170, 209.
  
34. Science and Technology in Trilateral Relations: Competition and Cooperation The Trilateral Commission (© 1988, in draft form). Takashi Mukaibo, Harold Brown and Luis Solana. Related Archival Material: Box 170, 171, 172, 173, 209.
  
35. East Asia in Transition: Challenges for the Trilateral Countries The Trilateral Commission (© 1988). Richard C. Holbrooke, Roderick MacFarquhar and Kazuo Nukazawa. ISBN: 0-930503-04-X. 80 pp. Related Archival Material: Box 173, 173A, 209.
  
36. East-West Relations The Trilateral Commission (© 1989). Valéry Giscard d'Estaing, Yasuhiro Nakasone and Henry Kissinger. ISBN: 0-930503-06-6. 23 pp. Related Archival Material: Box 174, 175, 176, 177, 209.
  
37. International Financial Integration: The Policy Challenges The Trilateral Commission (© 1989). Shijuro Ogata, Richard N. Cooper and Horst Schulmann. ISBN: 0-930503-07-4. 28 pp. Related Archival Material: Box 177A, 178, 209.
  
38. The Israeli-Palestinian Issue The Trilateral Commission (© 1990). Garret FitzGerald. ISBN: 0-930503-66-X. 42 pp. Related Archival Material: Box 179, 209.



39. Latin America at a Crossroads: The Challenge to the Trilateral Countries The Trilateral Commission (© 1990). George W. Landau, Julio Feo and Akio Hosono. ISBN: 0-930503-62-7. 56 pp. Related Archival Material: Box 180, 181, 182, 209.
40. Beyond Interdependence: The Meshing of the World's Economy and the Earth's Ecology Jim MacNeill © 1991, Oxford University Press. ISBN: 0-19-507126-3. 192 pp. Related Archival Material: Box 184, 185, 209.
41. Global Cooperation After the Cold War: A Reassessment of Trilateralism The Trilateral Commission (© 1991). Joseph S. Nye, Jr., Kurt Biedenkopf and Motoo Shiina. ISBN: 0-930503-67-8. 64 pp. Related Archival Material: Box 186, 209.
42. Regionalism in a Converging World The Trilateral Commission (© 1992). Toyoo Gyohten. ISBN: 0-930503-68-6. 16 pp. Related Archival Material: 209.
43. Keeping the Peace in the Post-Cold War Era: Strengthening Multilateral Peacekeeping The Trilateral Commission (© 1993). John Roper, Masashi Nishihara, Olara Otunnu and Enid Schoettle. ISBN: 0-930503-70-8. 101 pp. Related Archival Material: Box 183, 186, 187, 188, 209.
44. International Migration Challenges in a New Era The Trilateral Commission (© 1993). Doris Meissner, Robert D. Hormats, Antonio Garrigues Walker and Shijuro Ogata. ISBN: 0-930503-69-4. 116 pp. Related Archival Material: Migration and Refugees Working Group (Box 189, 190, 191, 192, 209).
- Structural Convergence Project, 1993-1994 (Aborted). Related Archival Material: Box 192.
45. An Emerging China in a World of Interdependence The Trilateral Commission (© 1994). Yoichi Funabashi, Michel Oksenberg and Heinrich Weiss. ISBN: 0-930503-71-6. 84 pp. Related Archival Material: Box 193, 194, 195, 209.
46. Engaging Russia The Trilateral Commission (© 1995). Robert D. Blackwill, Rodric Braithwaite and Akihiko Tanaka. ISBN: 930503-72-4. 139 pp. Related Archival Material: Box 195, 196, 197, 209.
47. Revitalizing Trilateral Democracies The Trilateral Commission (© 1995, in draft form only). Robert D. Putnam, Jean-Claude Casanova and Seizaburo Sato. Related Archival Material: Box 198, 199, 209.
48. Maintaining Energy Security in a Global Context The Trilateral Commission (© 1996). William F. Martin, Ryukichi Imai and Helga Steeg. ISBN: 0-930503-73-2. 117 pp. Related Archival Material: Box 200, 201, 202, 203, 209.
49. Globalization and Trilateral Labor Markets: Evidence and Implications The Trilateral Commission (© 1996). Niels Thygesen, Yutaka Kosai and Robert Z. Lawrence. ISBN: 0-930503-74-0. 112 pp. Related Archival Material: Box 203, 204, 209.
50. Managing the International System Over the Next Ten Years: Three Essays The Trilateral Commission (© 1997). Bill Emmott, Koji Watanabe and Paul Wolfowitz. ISBN: 0-930503-76-7. 62 pp. Related Archival Material: Box 204, 205, 209.
51. Community-Building With Pacific Asia The Trilateral Commission (© 1997). Charles E. Morrison, Akira Kojima and Hanns W. Maull. ISBN: 0-930503-75-9. 123 pp. Related Archival Material: Box 205, 206, 207, 209.
52. Advancing Common Purposes in the Broad Middle East The Trilateral Commission (1998). Robert H. Pelletreau, Reinhard Schlagintweit, Yoshiji Nogami and Dominique Moïsi. ISBN: 0-930503-77-5. 30 pp. Related Archival Material: 208.

53. 21st Century Strategies of the Trilateral Countries: In Concert or Conflict? The Trilateral Commission (1999). Robert Zoellick, Peter D. Sutherland and Hisashi Owada. ISBN: 0-930503-78-3. 66 pp. Related Archival Material: Box 209.
54. The New Central Asia: In Search of Stability The Trilateral Commission (2000). Alexander Rahr, Sherman Garnett and Koji Watanabe. ISBN: 0-930503-79-1. 79 pp. Related Archival Material: Box 209.
55. East Asia and The International System The Trilateral Commission (2001). Coordinator: Charles E. Morrison. Other Authors: Wendy K. Dobson, Michel Oksenberg, Hisashi Owada, Hadi Soesastro. Study Group chair: Tadashi Yamamoto. ISBN: 0-930503-80-5. 88 pp. Related Archival Material: Box 209.
56. Addressing The New International Terrorism: Prevention, Intervention and Multilateral Cooperation The Trilateral Commission (2003). Authors: Joseph S. Nye, Jr., Yukio Satoh and Paul Wilkinson. ISBN: 0-930503-81-6. 31pp. Related Archival Material: Not contained in collection.
57. The "Democracy Deficit" in the Global Economy: Enhancing the Legitimacy and Accountability of Global Institutions The Trilateral Commission (2003). Authors: Joseph S. Nye, Jr. and others. ISBN: 0-930503-84-8. 96pp. Related Archival Material: Not contained in collection.
58. The New Challenges to International, National and Human Security Policy The Trilateral Commission (2004). Anne-Marie Slaughter, Carl Bildt and Kazuo Ogura. ISBN: 0-930503-86-4. 66 pp. Related Archival Material: Not contained in collection.
59. Engaging with Russia: The Next Phase The Trilateral Commission (2006). Roderic Lyne, Strobe Talbott and Koji Watanabe. ISBN: 0-0-930503-87-2; 978-0-930503-87-1. 192 pp. Related Archival Material: Not contained in collection.
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Title/Description	Instances	
Report #1: Towards a Renovated World Monetary System, 1973		
Monetary Task Force - Drafts of 1973 Report, 1973	box 95	folder 1060
Monetary Task Force - Drafts of 1973 Report, 1973	box 95	folder 1061
Monetary Task Force - Correspondence, 1973-1974		

	box 95	folder 1062
Monetary Task Force - Substantive, 1973	box 95	folder 1063
Monetary Task Force - Alaska Meeting, 1973 August 11-12	box 95	folder 1064
Monetary Task Force - Washington, D.C. Meeting, 1973 June 23-24	box 95	folder 1065
Monetary Task Force, 1973-1974	box 95	folder 1066
Monetary Task Force - Report Update, 1974	box 95	folder 1067
Monetary Task Force - Miscellaneous Integration Papers, 1976-1977	box 95	folder 1068
Monetary Task Force - Cooper Updates, 1974	box 95	folder 1069
Commissioners Comments on Cooper's Update, 1974	box 95	folder 1070
Report #2: The Crisis of International Cooperation, 1974		
Political Task Force, 1973-1974	box 95	folder 1071
Report #3: A Turning Point in North-South Economic Relations (1974). Report #7: OPEC, the Trilateral World, and the Developing Countries: New Arrangements for Cooperation , 1976-1980 (1975), 1974, 1975		
LDC Task Force - First Report - First Draft, 1974 April	box 96	folder 1072
LDC Task Force, 1973-1974	box 96	folder 1073
LDC Task Force - Correspondence, Memos, 1974-1975	box 96	folder 1074
LDC Task Force - First Report - Working Copies of Final Draft, 1974	box 96	folder 1075
LDC Task Force - First Report - Italian Version of Report and Lugano Review, 1974	box 96	folder 1076
LDC Task Force - Meetings, 1973 December 17-18	box 96	folder 1077
LDC Task Force - Meetings, Washington, DC, March 18-19, 1974 and New York, March 22, 1974, 1974 March 18,19, 22	box 96	folder 1078
LDC Task Force - Meetings, New York, 1974 April 26-27	box 96	folder 1079
LDC Task Force - LDC I - Meetings, New York, 1974 April 27	box 96	folder 1080
LDC Task Force - Meetings, Washington, DC, 1974 September 29	box 96	folder 1081
LDC Task Force - Meetings, Washington, DC, September 29, 1974 - Participants, Invitations, Replies to Invitations, 1974	box 96	folder 1082
LDC Task Force - First Report - Meeting, 1974 September 29	box 96	folder 1083
LDC Task Force - Research, 1974	box 96	folder 1084
LDC Task Force - Responses to Mailing to UN of LDC I, 1974	box 96	folder 1085
LDC Task Force - Press Release for LDC I, 1974		

	box 96	folder 1086
LDC Task Force - LDC II - Thank You Notes to Consultants, 1975	box 96	folder 1087
LDC Task Force - LDC II - Mailing, 1975	box 96	folder 1088
LDC Task Force - LDC II - Draft and Draft-related matters, 1974-1975	box 96	folder 1089
LDC Task Force - LDC II - Mailing to Washington Ambassadors, 1975	box 96	folder 1090
LDC Task Force - Second Report - Meeting, Europe, 1974 November	box 97	folder 1091
LDC Task Force - Phase II, 1974-1975	box 97	folder 1092
LDC Task Force - LDC II - Distribution, 1974-1975	box 97	folder 1093
TC Reports - Mailing, 1974 June	box 97	folder 1094
LDC Task Force - LDC I - Impact - Trialogue #5 and LDC Report, 1974	box 97	folder 1095
Comments from Commissioners - Trade, LDC, Energy, 1974	box 97	folder 1096
Commissioners Comments on LDC Draft, 1974	box 97	folder 1097
Report #4: Directions for World Trade in the Nineteen-Seventies (1974), 1974		
Trade Task Force, 1973-1975	box 97	folder 1098
Trade Task Force - Press Release, 1974	box 97	folder 1099
Trade Task Force, 1973-1974	box 97	folder 1100
Commissioners Comments on Trade Draft, 1974	box 97	folder 1101
Impact Mailing - Trade and Energy (#4, 5, 6), 1974	box 97	folder 1102
Responses to Impact Mailing - New York Times Editorial, 1974	box 98	folder 1103
Report #5: Energy: The Imperative for a Trilateral Approach (1974). Report #6: Energy: A Strategy for International Action (1974), 1974		
Energy Task Force - Phase I, 1973-1974	box 98	folder 1104
Energy Task Force - Research, 1974	box 98	folder 1105
Energy Task Force - Correspondence, Memos, 1974	box 98	folder 1106
Energy Task Force - Phase II, 1974	box 98	folder 1107
Energy Task Force - Meetings, 1974 March 15 and May 10	box 98	folder 1108
Energy Task Force - First Report - Drafts, 1974	box 98	folder 1109
Energy Task Force - Second Report - First Draft, 1974	box 98	folder 1110

Energy Task Force - Second Report - Second and Third Drafts, 1974	box 98	folder 1111
Energy Task Force - Papers of Tokyo Energy Conference, 1973 October 29-31	box 98	folder 1112
Energy Task Force - Meetings, Paris, 1974 October 1-2	box 98	folder 1113
Energy Task Force - Phase II, 1974-1975	box 98	folder 1114
Task Forces - General [Japanese], 1974-1975	box 98	folder 1115
Energy Task Force - Phase II - Impact Mailing, 1975	box 99	folder 1116
Energy Task Force - Phase I - Requests for Report (Filled), 1974-1975	box 99	folder 1117
Energy Task Force - Phase II - Copies Sent, 1974-1975	box 99	folder 1118
Outside Mailing List, 1973-1975	box 99	folder 1119
Report #8: The Crisis of Democracy, 1975		
Democracies Task Force - Memos, etc., 1975	box 99	folder 1120
Values Task Force/Democracies - Articles, Papers, Polls, etc., 1973-1974	box 99	folder 1121
Values Task Force/Democracies - Meetings, Palo Alto, 1974 April 20-21	box 99	folder 1122
Values Task Force/Democracies - Meetings, Palo Alto, 1974 April 20-21	box 99	folder 1123
Democracies Task Force - Meetings, London, 1974 November 11-12	box 99	folder 1124
Democracies Task Force - Meetings - Summary, New York, 1975 February 22-23	box 99	folder 1125
Democracies Task Force - Colloquium, Montreal, 1975 May 15-16	box 99	folder 1126
Democracies Task Force - Publication - General, 1975	box 99	folder 1127
Democracies Task Force - NYU Press - Democracies Book, 1975	box 99	folder 1128
Democracies Task Force - Democracies Book - Cover, 1975	box 99	folder 1129
Democracies Task Force - Democracies Book - Appendix, 1975	box 99	folder 1130
Democracies Task Force - Report - Summaries, 1975	box 99	folder 1131
Democracies Task Force - Democracies Book - Articles, 1976	box 99	folder 1132
Democracies Task Force - Democracies Book - Articles - The Nation, 1975 November 29	box 99	folder 1133
Democracies Task Force - Democracies Book - Publication in Other Languages, 1975	box 99	folder 1134

Democracies Task Force - Democracies Book - Review Copies, 1975	box 99	folder 1135
Democracies Conference, Washington, DC, - Participants and Program, 1976 April 10	box 99	folder 1136
Democracies Conference, Washington, DC - Peter Jenkins, 1976 April 10	box 99	folder 1137
Democracies Conference, Washington, DC - Yankelovich, Will, McPherson, etc., 1976 April 10	box 100	folder 1138
Democracies Conference, Washington, DC, - Speaker Refusals, 1976 April 10	box 100	folder 1139
Conference on the Relevance of Liberalism, Columbia University, NYC, 1976 January 21	box 100	folder 1140
Democracies Conference, Washington, DC - General Invitations - YES, 1976 April 10	box 100	folder 1141
Democracies Conference, Washington, DC, 1976 - General Memos April 10	box 100	folder 1142
Democracies Conference, Washington, DC, 1976 - Expenses and Hotel Matters April 10	box 100	folder 1143
Democracies Task Force - Drafts - Huntington U.S. Drafts, 1975	box 100	folder 1144
Democracies Task Force - Drafts, 1975 May	box 100	folder 1145
Democracies Task Force - Combined Task Force Meeting (Democracies, Oceans, Institutions), New York, 1975 February 22-23	box 100	folder 1146
Democracies Task Force - Correspondence, 1975-1977	box 100	folder 1147
Democracies Task Force - Report - Follow Up, 1975-1976	box 100	folder 1148
Democracies Task Force - The Crisis of Democracy - NYU Press, 1975-1978	box 100	folder 1149
Democracies Task Force - The Crisis of Democracy - Distribution, 1975-1976	box 100	folder 1150
Democracies Task Force - Meeting, New York, 1975 February 22-23	box 100	folder 1151
Democracies Task Force - Combined Task Force Meeting (Democracies, Oceans, Institutions), New York, 1975 February 21-23	box 100	folder 1152
Report #9: A New Regime for the Oceans, 1976		
Oceans Task Force - Hollick Feasibility Study, 1974	box 101	folder 1153
Oceans Task Force - Hollick Feasibility Study - Drafts, Correspondence, etc., 1974	box 101	folder 1154
Oceans Task Force - Meetings, Tokyo, 1974 November 3, 4, 29	box 101	folder 1155

Oceans Task Force - Papers Submitted by Rapps, 1974-1975	box 101	folder 1156
Oceans Task Force - Meeting, New York City, 1975 February 21-23	box 101	folder 1157
Oceans Task Force - Paper - Prepared for the Trilateral Commission Meeting, Kyoto, Japan, 1975 May 29	box 101	folder 1158
Oceans Task Force - Correspondence, Memos, etc., 1974-1976	box 101	folder 1159
Oceans Task Force - Rearrangement of First Chapter, 1975 August	box 101	folder 1160
Oceans Task Force - Meeting - August 22-25, 1975 - Correspondence, Memos, Drafts, etc., 1975 August 22-25	box 101	folder 1161
Oceans Task Force - General, 1975 September-December	box 101	folder 1162
Oceans Task Force - Paper for NYC October 17 Discussion	box 101	folder 1163
Oceans Task Force - Meeting of North American Commissioners, NYC, October 17, 1975 - Oceans Discussion Summary, 1975 October 17	box 101	folder 1164
Oceans Task Force - Paris Discussions, December 1 (Commission), December 2 (OTF), 1975	box 101	folder 1165
Oceans Task Force - Paris Map	box 101	folder 1166
Oceans Task Force - Prefatory Paper, 1975	box 101	folder 1167
Oceans Task Force - Tables	box 101	folder 1168
Oceans Task Force - Hardy Original of Paris Draft	box 101	folder 1169
Oceans Task Force - Press Release, 1975 December 1	box 102	folder 1170
Oceans Task Force - Mailing of Report to World Affairs Council, 1976 April 21	box 102	folder 1171
Oceans Task Force - Mailing of Report to U.N. Correspondents, 1976 March	box 102	folder 1172
Oceans Task Force - Mailing of Report to Congressional Staff, 1976 March	box 102	folder 1173
Oceans Task Force, 1975-1976	box 102	folder 1174
Oceans Task Force - Colloquium, Halifax, 1976 January 21-23	box 102	folder 1175
Oceans Task Force, 1974-1976	box 102	folder 1176
Report #10: Seeking a New Accommodation in World Commodity Markets, 1976		
Access to Supplies Task Force - Mailing	box 102	folder 1177
Access to Supplies Task Force - Drafts - Kyoto, 1975	box 102	folder 1178
Access to Supplies Task Force - Documents	box 102	folder 1179

Access to Supplies Task Force - Meeting, Japan, 1975 September 4-6	box 102	folder 1180
Access to Supplies Task Force - Meeting, New York, 1975 May 2-3	box 102	folder 1181
Access to Supplies Task Force - Draft Original Reproduced for N.A. Meeting, 1975 October 16-17	box 102	folder 1182
Access to Supplies Task Force - Codes of Conduct	box 102	folder 1183
Access to Supplies Task Force - Drafts - Paris, 1975	box 103	folder 1184
Access to Supplies Task Force - Drafts - Paris (and Preliminary), 1975	box 103	folder 1185
Access to Supplies Task Force - Drafts - Paris (Original), 1975	box 103	folder 1186
Access to Supplies Task Force - Summary	box 103	folder 1187
Access to Supplies Task Force - UNCTAD Documents, 1975	box 103	folder 1188
Access to Supplies Task Force - Draft - New York, 1975	box 103	folder 1189
Access to Supplies Task Force, 1975	box 103	folder 1190
Access to Supplies Task Force - General Meetings, Correspondence, Papers	box 103	folder 1191
Access to Supplies Task Force - Meeting, New York, 1975 October 16-17	box 103	folder 1192
Access to Supplies Task Force - Canadian Perspectives on Commodities Conference, Winnipeg, 1977 November 26-28	box 103	folder 1193
Access to Supplies Task Force, 1975-1976	box 103	folder 1194
Access to Supplies Task Force - N.A. Meeting, New York, 1975 May 2-3	box 103	folder 1195
Report #11: The Reform of International Institutions, 1976		
Institutions Task Force, 1976	box 104	folder 1196
Institutions Task Force - North American Commissioners Meeting, October 17, 1975 - Institutions Discussion, 1975 October 17	box 104	folder 1197
Institutions Task Force - Bergsten Draft before Comments	box 104	folder 1198
Institutions Task Force - Changes to Publication, 1976	box 104	folder 1199
Institutions Task Force - Bergsten-Schaetzel Press Lunch, 1976 August 16	box 104	folder 1200
Young Practitioners, June 1976 (Reports #11 and #12), 1976 June	box 104	folder 1201
Young Practitioners, June 1976 (Report #12), 1976 June	box 104	folder 1202
Institutions Task Force - Meeting, Milwaukee, WI, 1976 April 24-25	box 104	folder 1203



Institutions Task Force - General, 1975-1976	box 104	folder 1204
Bergsten Correspondence, 1976	box 104	folder 1205
Institutions Task Force - Drafts	box 104	folder 1206
Institutions Task Force - Drafts	box 104	folder 1207
Institutions Task Force - Drafts	box 104	folder 1208
Institutions Task Force - Report, Consultants, 1976	box 104	folder 1209
Report #12: The Problem of International Consultations, 1976		
Consultative Procedures Task Force, 1974-1976	box 104	folder 1210
Consultations Task Force - Drafts - Schaetzel Draft, 1976 March	box 104	folder 1211
<b>Scope and Contents note</b>		
prior to editing		
Consultations Task Force - Drafts - Editing of Schaetzel Draft, Late 1976	box 104	folder 1212
Consultations Task Force - Report - Original for Reproduction, 1976 April 15	box 104	folder 1213
Consultations Task Force - Follow Up (Schaetzel, Ushiba, Ortona Paper)	box 105	folder 1214
Consultations Task Force - Follow Up	box 105	folder 1215
Consultations Task Force - Follow Up	box 105	folder 1216
Consultations Task Force - Follow Up	box 105	folder 1217
Consultative Procedures Task Force - Various Drafts, 1975 August and November	box 105	folder 1218
Consultative Procedures Task Force - N.A. Meeting, Washington, D.C., February 28	box 105	folder 1219
Consultative Procedures Task Force - Meetings, 1975-1976	box 105	folder 1220
Consultative Procedures Task Force - Meeting, Seattle, WA, 1976 September	box 105	folder 1221
Consultative Procedures Task Force, 1974-1976	box 105	folder 1222
Consultative Procedures Task Force - European Consultants, 1975	box 105	folder 1223
Report #13: Collaboration with Communist Countries in Managing Global Problems: An Examination of the Options, 1977		
Communist Involvement Task Force - General Correspondence and Memos, 1973 October-1976 December	box 105	folder 1224
Communist Involvement Task Force - Regional Meetings (July 23, 1975 - Brookings Meeting - Papers and Summary;	box 105	folder 1225

Japanese Regional Meetings, October 1975 and July 1976),  
1975 July-1976 July

Communist Involvement Task Force - Progress on Substance of Report, (up to Tokyo January 1977 draft), 1975 February-1977 January	box 105	folder 1226
Communist Involvement Task Force - Tokyo Meeting of Commission, January 1977 - Discussion of Draft Report, 1977 January	box 106	folder 1227
Communist Involvement Task Force - Tokyo Draft, 1977 January	box 106	folder 1228
Communist Collaboration (Involvement) Task Force - Draft and Revisions, 1977 June	box 106	folder 1229
Communist Collaboration Task Force - Post Tokyo Meeting Revision of Report, 1976-1977	box 106	folder 1230
Communist Collaboration Task Force - Final Draft, 1977 August	box 106	folder 1231
Communist Involvement Task Force - First Post Tokyo Revised Draft, 1977 April 1	box 106	folder 1232
<b>Scope and Contents note</b>  (1. Clean Copy; 2. Including Heck editing; 3. Maull-Schonfield rewrite of Nuclear Chapter; 4. Hosoya revisions;)		
Communist Collaboration Task Force - Information and Correspondence re: Honoraria and Expenses, 1977	box 106	folder 1233
Communist Involvement Task Force - Miscellaneous Collaboration Papers and Correspondence, 1975-1977	box 106	folder 1234
Communist Involvement Task Force	box 106	folder 1235
Communist Involvement Task Force - Roth-Faser Dinner, 1977 June 21	box 106	folder 1236
Communist Involvement Task Force - Report, 1977	box 106	folder 1237
Communist Involvement Task Force - George Franklin	box 106	folder 1238
Report #14: Towards A Renovated International System, 1977		
Renovated International System Task Force - Substance of Report, 1974-1975	box 107	folder 1239
Renovated International System Task Force - Substance of Report, 1976 January-November	box 107	folder 1240
Renovated International System Task Force - Draft, 1976 November	box 107	folder 1241
Renovated International System Task Force - Revision of Draft (November 1976) for Tokyo Meeting (January 1977), 1976 November-December	box 107	folder 1242

Renovated International System Task Force - Tokyo Draft, 1977 January	box 107	folder 1243
Renovated International System Task Force - Integrators - Final Draft	box 107	folder 1244
Renovated International System Task Force - Meetings, Washington, D.C., 1974 December 7	box 107	folder 1245
Renovated International System Task Force - Dahrendorf, Miyazawa, Richardson Involvement, 1974	box 107	folder 1246
Renovated International System Task Force - General Memos and Correspondence, 1974-1977	box 108	folder 1247
Renovated International System Task Force - Meetings, New York City, 1977 January 3	box 108	folder 1248
Renovated International System Task Force - Miscellaneous, 1977	box 108	folder 1249
Renovated International System Task Force - Information and Correspondence Re: Honoraria, 1977	box 108	folder 1250
Renovated International System Task Force - General Memos, Correspondence, 1977	box 108	folder 1251
Renovated International System - Revision of Report, 1977	box 108	folder 1252
Renovated International System Task Force - Report	box 108	folder 1253
George S. Franklin Correspondence - Integrators	box 108	folder 1254
Report #15: An Overview of East-West Relations, 1978		
East-West Task Force - Congressional Meeting, Cransten-Anderson Dinner, 1978 March 8	box 108	folder 1255
East-West Task Force - First Draft, 1977 April	box 108	folder 1256
East-West Task Force - Second Draft (Lowenthal), 1977 July 19	box 108	folder 1257
East-West Task Force - Third Draft (w/Lowenthal's Corrections), 1977	box 109	folder 1258
East-West Task Force - Bonn Meeting Draft (Fourth)	box 109	folder 1259
East-West Task Force - Bonn Draft (Original and including Lowenthal's Post-Bonn Corrections on Eurocommunism)	box 109	folder 1260
East-West Task Force - Report - Summary, 1978	box 109	folder 1261
East-West Task Force - Corrections, Discussion, Draft (Lowenthal), 1977 November 8	box 109	folder 1262
East-West Task Force - Press Luncheon, Washington, D.C., 1978 March 6	box 109	folder 1263
East-West Task Force - Experts Meeting, Washington, D.C., 1978 March 6	box 109	folder 1264

East-West Task Force - Experts Meetings, Washington, D.C., 1977 July 28-29	box 109	folder 1265
East-West Task Force - Experts Meetings, Washington, D.C - Invitations and Responses, 1977 July 28-29	box 109	folder 1266
East-West Task Force - Memos, Correspondence, 1975-19761973	box 109	folder 1267
East-West Task Force - Memos, Correspondence, Regional Task Force Meetings, 1977-1978	box 110	folder 1268
East-West Task Force - Meetings, Paris, 1977 August 8-9	box 110	folder 1269
East-West Task Force - Meetings (Ingersoll Luncheon), Chicago, 1977 September 14	box 110	folder 1270
Disarmament and Security Conference, Institute for World Order, 1978 August 13-17	box 110	folder 1271
East-West Task Force - Miscellaneous Correspondence and Papers, 1977-1978	box 110	folder 1272
East-West Task Force, 1977-1978	box 110	folder 1273
East-West Task Force - Meetings, Bonn, 1977 May 14	box 110	folder 1274
East-West Task Force - George S. Franklin, 1977	box 110	folder 1275
East-West Task Force, 1977	box 110	folder 1276
East-West Task Force, 1977	box 110	folder 1277
Report #16: Reducing Malnutrition in Developing Countries: Increasing Rice Production in South and Southeast Asia, 1978		
Food Task Force - Energy Response to Impact Letter December 11, 1978 December 11	box 110	folder 1278
Food Task Force - Preparation of Bonn Draft	box 111	folder 1279
Food Task Force - Possible Press Conference, Chicago, 1978 December 6	box 111	folder 1280
Food Task Force - Practitioners Meeting, 1978 March 3	box 111	folder 1281
Food Task Force - Food and Energy Impact Mailing, 1978 December 11-12	box 111	folder 1282
Food Task Force - Drafts	box 111	folder 1283
Food Task Force - Report - Front Matter	box 111	folder 1284
Food Task Force - Report - Tables and Charts	box 111	folder 1285
Food Task Force - Draft IV (Marked Up III), 1978 June	box 111	folder 1286
Food Task Force - Draft III (New York Edited Version), 1978 April	box 111	folder 1287
Food Task Force - Draft III (Marked Up Version of II), 1978 April	box 112	folder 1288

Food Task Force - Draft II (Version Carried to Paris and Washington Meetings by Okita), 1978 February	box 112	folder 1289
Food Task Force - Bonn Draft (GSF Copy)	box 112	folder 1290
Food Task Force - Draft I (Bonn Meeting), 1977 October	box 112	folder 1291
Food Task Force - Manila Draft (except Chapter 5), 1977 June	box 112	folder 1292
Food Task Force - Second Full Draft (except Chapter 5), 1977 Late May	box 112	folder 1293
Food Task Force - Preparation of Bonn Draft (Chapters 3, 4, 5)	box 112	folder 1294
Food Task Force - Food Report - Letter to Various Organizations and Responses, 1978	box 112	folder 1295
Food Task Force - Food Implementation Memo and Responses, 1978-1979	box 112	folder 1296
Food Task Force - Food Report Follow-Up - Bourne	box 112	folder 1297
Food Task Force - Food Report Follow-Up - Yamamoto and Columbo, 1977-1978	box 113	folder 1298
Food Task Force - Report - Responses, Comments, 1978	box 113	folder 1299
Food Task Force - First Full Draft (except Chapter 5), Late March 1977	box 113	folder 1300
Food Task Force - First Draft (Chapters 1, 2, 3 and 4), 1977 February-March	box 113	folder 1301
Food Task Force - Substance of Report, 1976-1977	box 113	folder 1302
Food Task Force - Meeting with Congressmen, Washington, D.C., 1978 June 20	box 113	folder 1303
Food Task Force - Responses to Bonn Discussion, 1977 October	box 113	folder 1304
Food Task Force - Rapporteurs Meeting, Paris, 1976 October 31	box 113	folder 1305
Food Task Force - Meetings, Manila, 1977 July	box 113	folder 1306
Food Task Force - North-South (Food) Rapporteurs and Consultants, 1977-1978	box 113	folder 1307
Food Task Force - Schedules, 1977-1978	box 113	folder 1308
Food Task Force - General Memos, Correspondence, Miscellaneous Background Materials, 1974-1978	box 113	folder 1309
Implementation Symposium (Doubling Rice Production), Tokyo, 1978 November 27-28	box 114	folder 1310
Implementation Symposium (Doubling Rice Production), Tokyo - Speeches and Documents, 1978 November 27-28	box 114	folder 1311
Food Task Force - Correspondence and Papers	box 114	folder 1312
Food Task Force - Footnotes, Charts, Tables	box 114	folder 1313

Food Task Force - Ford Foundation Grant	box 114	folder 1314
Food Task Force - Documents and Drafts	box 114	folder 1315
Food Task Force - General	box 114	folder 1316
Food Task Force - Draft IV, New York, Edited Version (Original), 1978 June	box 114	folder 1317
Food Task Force - Report - Correspondence, Re: Final Revision for Publication	box 114	folder 1318
Multidisciplinary Working Group on Food, New York Chapter, 1979	box 115	folder 1319
Food Task Force - Other Studies	box 115	folder 1320
Food Task Force	box 115	folder 1321
Food Task Force - Donner Foundation	box 115	folder 1322
Food Task Force - Budget	box 115	folder 1323
Food Task Force - Rapporteurs - Information and Correspondence Re: Honoraria	box 115	folder 1324
Food Task Force - Ford Foundation Grant #785-0873, Food Task Force Meetings, 1978 November	box 115	folder 1325
George Franklin - Food	box 115	folder 1326
Impact - Food and Energy Reports, 1978 Fall and Winter	box 115	folder 1327
Report #17: Energy: Managing the Transition, 1978		
Energy Task Force - New Developments in the Field (studies, articles, etc.), 1976-1979	box 115	folder 1328
Energy Task Force - Energy Suggestions in Recent Revisions	box 116	folder 1329
Energy Task Force - Meeting, Sawhill-Oshima, 1977 September	box 116	folder 1330
Energy Task Force - Drafts, 1977 June	box 116	folder 1331
Energy Task Force - Drafts, 1977 August	box 116	folder 1332
Energy Task Force - Drafts, 1977 September	box 116	folder 1333
Energy Task Force - Drafts, 1977 November	box 116	folder 1334
Energy Task Force - Drafts, 1977 December	box 116	folder 1335
Energy Task Force - Drafts, 1978 January	box 116	folder 1336
Energy Task Force - Drafts, 1978 February	box 117	folder 1337
Energy Task Force - Drafts, 1978 March	box 117	folder 1338
Energy Task Force - Drafts, 1978 April	box 117	folder 1339
Energy Task Force - Drafts, 1978 May	box 117	folder 1340
Energy Task Force - Drafts, 1978 June		

	box 117	folder 1341
Energy Task Force - Meetings, Authors and Consultants, Tokyo, 1978 January	box 118	folder 1342
Energy Task Force - Meetings, Consultants, Paris, 1978 January	box 118	folder 1343
Energy Task Force - Meetings, North American Consultation, Washington, D.C., 1978 March 3	box 118	folder 1344
Energy Task Force - Meetings, Global Consultation, IISS, 1978 March	box 118	folder 1345
Energy Task Force - Meetings, North American Consultation, New York, 1978 March 2	box 118	folder 1346
Energy Task Force - K. Oshima Comments on Energy Draft, 1977 October 5	box 118	folder 1347
Energy Task Force - Meetings, Sawhill, Maull and Yamamoto, New York, 1977 June 18-19	box 118	folder 1348
Energy Task Force - Sawhill - Correspondence, 1977-1978	box 118	folder 1349
Energy Task Force - Rapporteurs and Consultants - General Correspondence and Memos, 1977-1978	box 118	folder 1350
Energy Task Force - Miscellaneous Energy Correspondence and Papers	box 118	folder 1351
Energy Task Force, 1977-1978	box 118	folder 1352
Energy Task Force - Press Conference, Houston, 1978 December 12	box 118	folder 1353
Energy Task Force - Proposed Energy "Tea", 1979 January 8	box 119	folder 1354
Energy Task Force - First Round of Galley Changes	box 119	folder 1355
Energy Task Force - Second Round of Galley Changes	box 119	folder 1356
Energy Task Force - Sawhill - Europe Energy Impact, 1979	box 119	folder 1357
Energy Task Force - Follow Up Activities, 1979	box 119	folder 1358
Energy Task Force - Possible Press Luncheon with John Sawhill, New York, 1979 January 4	box 119	folder 1359
Energy Task Force - Manuscript to Printers	box 119	folder 1360
Energy Task Force - Maull Changes	box 119	folder 1361
Energy Task Force - Maull Final Revisions (as sent to Sawhill)	box 119	folder 1362
Energy Task Force - Citibank (John Mugano) Suggestions	box 119	folder 1363
Energy Task Force - Meetings, Global Consultation, IISS, London, 1978 March 30-April 1	box 119	folder 1364
Energy Task Force - Rapporteurs - Information and Correspondence (re: Information and Expenses), 1977-1978	box 119	folder 1365

Energy Task Force - Meetings, London, 1978 March 30-April 1	box 119	folder 1366
Energy Task Force - Meetings, North American Consultations, 1977 December 9, 1978 March 2-3	box 119	folder 1367
Energy Task Force - Sumitomo Fund for Policy Research Studies - Grant for Energy Task Force, 1978	box 119	folder 1368
Energy Task Force - Meetings, London, 1978	box 119	folder 1369
George S. Franklin - Energy, 1977	box 119	folder 1370
Report #18: Collective Bargaining and Employee Participation in Western Europe, North America, and Japan, 1979		
Labor-Management Task Force - Drafts - Edited Chapter I: Introduction	box 120	folder 1371
<b>Scope and Contents note</b> with Title Page and Table of Contents		
Labor-Management Task Force - Drafts - Edited Chapter II: Western Europe	box 120	folder 1372
Labor-Management Task Force - Drafts - Edited Chapter III: North America	box 120	folder 1373
Labor-Management Task Force - Drafts - Edited Chapter IV: Japan	box 120	folder 1374
Labor-Management Task Force - Drafts - Edited Chapter V: Conclusions	box 120	folder 1375
Labor-Management Task Force - Drafts - Roberts Second Draft (Washington Draft), received April 29, 1978	box 120	folder 1376
Labor-Management Task Force - General Memos and Correspondence, 1976-1979	box 120	folder 1377
Labor-Management Task Force - Working Paper (Lodge and Henderson), 1977 October 1	box 120	folder 1378
Labor-Management Task Force - Drafts	box 120	folder 1379
Labor-Management Task Force - Drafts	box 121	folder 1380
Labor-Management Task Force, 1977-1979	box 121	folder 1381
Labor-Management Task Force - Rapporteurs and Consultants, 1975-1979	box 121	folder 1382
Labor-Management Task Force - Study (Industrial Democracy), 1976-1979	box 121	folder 1383
Labor-Management Task Force, 1977	box 121	folder 1384
Labor-Management Task Force - Report - Front Matter, 1977-1978	box 121	folder 1385
Labor-Management Task Force - Summaries of Project, 1977	box 121	folder 1386



Labor-Management Task Force - Industrial Democracy - Miscellaneous (GF's own file)	box 121	folder 1387
Labor-Management Task Force - Schedules, 1976-1977	box 121	folder 1388
Labor-Management Task Force - Meeting, European Study Group, Paris, 1977 March	box 121	folder 1389
Labor-Management Task Force - Meeting, Tokyo, 1977 July 27	box 121	folder 1390
Labor-Management Task Force - Meeting, Rapporteurs (George Lodge), Paris, 1978 January 12	box 121	folder 1391
Labor-Management Task Force - Meetings, Toronto, 1978 April 20-21	box 121	folder 1392
Labor-Management Task Force - Colloquium, Toronto, 1978 April 23-24	box 121	folder 1393
Labor-Management Task Force - Meeting, Consultants, Washington, D.C., 1978 April 26	box 121	folder 1394
Labor-Management Task Force - Meeting, Washington, D.C., 1978 April 26	box 121	folder 1395
Labor-Management Task Force - Meeting, Washington, D.C., 1978 April 26	box 121	folder 1396
Labor-Management Task Force - Meeting, Washington, D.C., 1978 April 26	box 121	folder 1397
Labor-Management Task Force - Drafts, 1977 December 14	box 122	folder 1398
Labor-Management Task Force - Rapporteurs Information and Correspondence (re: Honoraria and Expenses), 1976-1980	box 122	folder 1399
Labor-Management Task Force - Impact, Roberts Luncheon, New York, 1979 August 17	box 122	folder 1400
Industrial Democracy Task Force - Articles, 1975-1976	box 122	folder 1401
Labor Management Task Force - Atlantic Institute/Trilateral Commission - Finances, 1979-1980	box 122	folder 1402
Japan Labor Bulletin, 1979	box 122	folder 1403
German Marshall Fund - Labor Management - Industrial Democracy, 1976-1979	box 122	folder 1404
Labor Management Task Force - Drafts - Ben Roberts Revisions in Washington, D.C. Draft, 1978 June	box 122	folder 1405
Labor-Management Task Force - Meeting, Consultants, Washington, D.C., 1978 April 26	box 122	folder 1406
Labor Management Task Force - Consultants, 1978 April 26	box 122	folder 1407
Labor Management Task Force - Joint Atlantic Institute/TC Labor Management Project, 1976-1977	box 122	folder 1408

Labor Management Task Force - First Draft of Report, 1977 December	box 123	folder 1409
Plenary Meeting, London, 1980 March	box 123	folder 1410
Labor Management Task Force - Meeting, 1977 July 11	box 123	folder 1411
Labor Management Task Force, 1977-1978	box 123	folder 1412
Labor Management Task Force - Background Material	box 123	folder 1413
Labor Management Task Force - Meeting, 1978 July 11	box 124	folder 1414
Labor Management Task Force - Accounting Matters, 1973-1978	box 124	folder 1415
Labor Management Task Force - Draft - Lodge/Henderson Draft, 1977	box 124	folder 1416

### Scope and Contents note

labeled as 1977 October 1 but appears to be 1977 July 1

Labor Management Task Force - Aspen Industrial Democracy Sessions, 1976 August 1-7	box 124	folder 1417
George Franklin - Labor Management	box 124	folder 1418
Labor Management Task Force	box 124	folder 1419
Report #19: Industrial Policy and the International Economy, 1979		
Industrial Policy Task Force - First Draft of Report, 1978	box 124	folder 1420
Industrial Policy Task Force - First Draft of Report, 1978	box 124	folder 1421
Industrial Policy Task Force - Second Draft of Report, 1978 October	box 124	folder 1422
Industrial Policy Task Force - Third Draft of Report, 1978 December	box 124	folder 1423
Industrial Policy Task Force - John Pinder Paper (Industrial Policy and the International Economy)	box 124	folder 1424
Industrial Policy Task Force - Draft, 1979 January	box 124	folder 1425
Industrial Policy Task Force - Draft, 1979 March	box 124	folder 1426
Industrial Policy Task Force - Tokyo Plenary Draft, 1979 April	box 125	folder 1427
Industrial Policy Task Force - Diebold Submissions, 1978-1979	box 125	folder 1428
Industrial Policy Task Force - Diebold Council on Foreign Relations Papers (The 1980's Project), 1978	box 125	folder 1429
Industrial Policy Task Force - Authors Meeting, Bonn, 1977 October 28	box 125	folder 1430
Industrial Policy Task Force - Meeting, Bellagio, 1978 March	box 125	folder 1431

Industrial Policy Task Force - Authors Meeting and Consultants, Washington, D.C., 1978 June 14	box 125	folder 1432
Industrial Policy Task Force - Authors Meetings, London, 1978 December 5-6	box 125	folder 1433
Industrial Policy Task Force - Consultants Meeting, Washington, D.C., 1979 January 20	box 125	folder 1434
Industrial Policy Task Force - Consultants Meeting, New York City, 1979 January 30	box 125	folder 1435
Industrial Policy Task Force - Authors and Consultants, 1977-1979	box 125	folder 1436
Industrial Policy Task Force - General, 1978-1979	box 126	folder 1437
Atlantic Institute/T.C. Project - National Industrial Strategies Description, 1977	box 126	folder 1438
Industrial Policy Task Force - Meeting, Tokyo - Arrangements, 1979	box 126	folder 1439
Industrial Policy Task Force - Financial Matters, 1977-1980	box 126	folder 1440
Industrial Policy Task Force - Preparation for Publication, 1979	box 126	folder 1441
Industrial Policy Task Force - Follow Up Events, 1978-1980	box 126	folder 1442
Industrial Policy Task Force - Brainstorming Session, 1976 February 9	box 126	folder 1443
Industrial Policy Task Force - Impact Mailings, 1979	box 126	folder 1444
Report #20: Major Payments Imbalances and International Financial Stability (Draft), 1979		
Payments Imbalances Task Force - Fujioka-MacLaury-Lamfalussy Meetings, Washington, D.C., 1978 September 22-24	box 127	folder 1445
Payments Imbalances Task Force - Meeting, 1979 February 27	box 127	folder 1446
Payments Imbalances Task Force - Financial Matters, 1979	box 127	folder 1447
Oil Exporters - Rapporteurs, 1978-1979	box 127	folder 1448
Payments Imbalances Task Force - Meetings, Paris, 1978 December 17-19	box 127	folder 1449
Payments Imbalances Task Force - Background Material, 1978-1979	box 127	folder 1450
Payments Imbalances Task Force - Clean Composite of Draft Elements for First Unified Draft, 1979 January	box 127	folder 1451
Payments Imbalances Task Force - Lamfalussy Submissions, 1978	box 127	folder 1452
Payments Imbalances Task Force - MacLaury Draft, 1978	box 127	folder 1453
Payments Imbalances Task Force - MacLaury Speech on OPEC Surpluses, 1978 June 22	box 127	folder 1454

## Scope and Contents note

Original and extra copies.

Payments Imbalances Task Force - U.S. Consultation Meeting, 1979 February 27	box 127	folder 1455
Payments Imbalances Task Force - GSF Desk File, 1978-1979	box 127	folder 1456
Payments Imbalances Task Force - General Correspondence, 1977-1979	box 128	folder 1457
Payments Imbalances Task Force - Meeting, Washington, D.C., 1978 September	box 128	folder 1458
Payments Imbalances Task Force - Drafts, 1978 November	box 128	folder 1459
Payments Imbalances Task Force - First Unified Draft (marked up), 1979 January	box 128	folder 1460
Payments Imbalances Task Force - Draft Elements for First Unified Draft, 1979 January	box 128	folder 1461
Payments Imbalances Task Force - Drafts, 1979 February	box 128	folder 1462
Oil Exporters and International System, 1978	box 129	folder 1463
Oil Exporters and International System: Meaning and Management of Shifting Power and Persistent Strains, 1978	box 129	folder 1464
Payments Imbalances Task Force - Miscellaneous Papers	box 129	folder 1465
Payments Imbalances Task Force - First Unified Draft, 1979 February	box 129	folder 1466
Payments Imbalances Task Force - Various Submissions, 1978-1979	box 129	folder 1467
Payments Imbalances Task Force - Tokyo Plenary Meeting Draft, 1979 April	box 129	folder 1468
Payments Imbalances Task Force - Draft, 1979 March	box 129	folder 1469
Payments Imbalances Task Force - Revision Efforts, 1978-1979	box 129	folder 1470
Payments Imbalances Task Force - Publication, 1979	box 129	folder 1471
Payments Imbalances Task Force - North American Consultation Meeting, Washington, D.C., 1979 February 27	box 129	folder 1472
Payments Imbalances Task Force - Discussion Draft, 1979 March	box 129	folder 1473
Labor Market Problems and Policies in Modern Trilateral Societies: Reducing Unemployment and Smoothing Adaptation (Draft), 1980		
Employment Task Force - Notes and Papers	box 130	folder 1474
Employment Task Force - Meeting Summaries and Correspondence, 1979-1980	box 130	folder 1475

Employment Task Force - Outlines, Author Drafts, 1978-1980	box 130	folder 1476
Employment Task Force - Manpower Policy in the U.S. by Richard Nelson	box 130	folder 1477
Employment Task Force - Draft Materials, 1979-1980	box 130	folder 1478
Employment Task Force, 1979-1981	box 130	folder 1479
Employment Task Force - Regrouping Correspondence, 1981-1982	box 130	folder 1480
Employment Task Force - Draft Report, 1980	box 130	folder 1481
Employment Task Force - Front Matter, 1979-1980	box 130	folder 1482
Employment Task Force - U.S. Section for Revised Report (Nelson), 1980 Fall	box 130	folder 1483
Employment Task Force, 1979-1980	box 130	folder 1484
Employment Task Force - Meeting, Ottawa, 1980 January	box 130	folder 1485
Employment Task Force - Markmann Austria Piece (Translation), 1982 April	box 130	folder 1486
Employment Task Force - Drafts	box 131	folder 1487
Employment Task Force - General	box 131	folder 1488
Employment Task Force - General	box 131	folder 1488A
Employment Task Force - Various Early Drafts	box 131	folder 1489
Employment Task Force - Various Early Drafts	box 131	folder 1490
Employment Task Force - London Meeting Draft (Original), 1980 February	box 132	folder 1491
Provisional Agenda - London Meeting, 1980 March 23-25	box 132	folder 1492
Employment Task Force - Finances, 1979	box 132	folder 1493
Proposed Employment and Technological Change Task Force, 1979	box 132	folder 1494
Employment Task Force - Meeting Schedule, 1979	box 132	folder 1495
Employment Task Force - Authors, 1979-1980	box 132	folder 1496
Employment Task Force - Draft Submissions, 1979-1982	box 132	folder 1497
Employment Task Force - Drafts	box 132	folder 1497A
Employment Task Force - Outline and Recommendations, 1979 September 13	box 132	folder 1498
Report #21: Trade in Manufactured Products with Developing Countries: Reinforcing North-South Partnership, 1981		
North South Trade Task Force - Proof Changes, 1981	box 132	folder 1499
North South Trade Task Force, 1981		

	box 132	folder 1500
North South Trade Task Force - Fishlow Rough Final Draft	box 133	folder 1501
North South Trade Task Force - Front Matter	box 133	folder 1502
North South Trade Task Force - Consultants Meeting, Washington, D.C., 1980 October 1	box 133	folder 1503
North South Trade Task Force - Drafts, 1980	box 133	folder 1504
North South Trade Task Force - Drafts	box 134	folder 1504A
North South Trade Task Force - Drafts - Revised Second Draft, 1980 February	box 134	folder 1505

### Scope and Contents note

Rough copy from which London Draft was typed.

North South Trade Task Force - Drafts - London Plenary, Original, 1980 September	box 134	folder 1506
North South Trade Task Force - Drafts, 1980-1981	box 135	folder 1507
North South Trade Task Force - Drafts, 1981	box 135	folder 1507A
North South Trade Task Force - Various Drafts (including February 1980)	box 135	folder 1508
North South Trade Task Force, 1979-1980	box 135	folder 1509
North South Trade Task Force - Drafts and Correspondence, 1979-1980	box 136	folder 1510
North South Trade Task Force - Drafts and Correspondence, 1979-1980	box 136	folder 1510A
North South Trade Task Force - Fishlow Submissions, 1981	box 136	folder 1511
North South Trade Task Force - Atlantic Institute Collaboration, 1978-1979	box 136	folder 1512
North South Trade Task Force - Authors, 1979-1981	box 137	folder 1513
North South Trade Task Force - General Correspondence, 1979-1980	box 137	folder 1514
North South Trade Task Force - Memos, 1978-1981	box 137	folder 1515
North South Trade Task Force - Consultants, 1979-1980	box 137	folder 1516
North South Trade Task Force - Meeting Schedules, 1979-1980	box 137	folder 1517
North South Trade Task Force - Draft, 1981 May-June	box 137	folder 1518
North South Trade Task Force - George Franklin, Draft	box 137	folder 1519
North South Trade Task Force - Post Publication Correspondence, 1981-1982	box 137	folder 1520

Report #22: The Middle East and the Trilateral Commission, 1981

Middle East Task Force - Galley Proofs	box 138	folder 1521
Middle East Task Force - Publication Distribution, 1981	box 138	folder 1522
Middle East Task Force - Post Publication Correspondence, 1981	box 138	folder 1523
Middle East Task Force - Draft Sent to Spett, 1981	box 138	folder 1524
Middle East Task Force - Authors Meeting, Final Draft, 1981 April 1	box 138	folder 1525
Middle East Task Force - Front Matter, 1981	box 138	folder 1526
Middle East Task Force - Authors Meeting, 1981 April 1	box 138	folder 1527
Middle East Task Force - Discussion Drafts, 1981 February	box 139	folder 1528
Middle East Task Force - Plenary Notebook Draft	box 139	folder 1529
Middle East Task Force - Telexes (Re: Plenary Draft), 1981	box 139	folder 1530
Middle East Task Force - Notes	box 139	folder 1531
Middle East Task Force - Expenses	box 139	folder 1532
Middle East Task Force - Clean Typed Revised Washington, D.C. Draft (Original), 1981 February 4	box 139	folder 1533
Middle East Task Force - Authors Meetings, Washington, D.C., 1981 January 14-18	box 139	folder 1534
Middle East Task Force - Various Drafts	box 139	folder 1535
Middle East Task Force - Washington D.C. Draft (with Revisions), 1981 January	box 140	folder 1536
Middle East Task Force - Washington, D.C. Draft (with CBH Revisions)	box 140	folder 1537
Middle East Task Force - Authors Trips to Middle East, Fall 1980	box 140	folder 1538
Middle East Task Force - Dublin Draft	box 140	folder 1539
Middle East Task Force - Dublin Draft Sisco Revision ? Christmas Draft", 1980 December	box 140	folder 1540
Middle East Task Force - Sisco Correspondence and Draft for Dublin Meeting, 1980	box 140	folder 1541
Middle East Task Force - Various Drafts Chapter 3	box 140	folder 1542
Middle East Task Force - Various Drafts Chapters 4, 5 and 6	box 140	folder 1543
Middle East Task Force, 1979-1981	box 141	folder 1544
Middle East Task Force - Front Matter, 1979-1981	box 141	folder 1545
Middle East Task Force - Description, 1980 November	box 141	folder 1546
Middle East Task Force - Joseph Sisco, 1980-1981	box 141	folder 1547

Middle East Task Force - Background Materials, 1980	box 141	folder 1548
Middle East Task Force - Plenary Draft (Original typed up from this marked up earlier version)	box 141	folder 1549
Middle East Task Force - Discussion Drafts and Original, 1981	box 142	folder 1550
Miscellaneous Administrative Correspondence, 1978-1981	box 142	folder 1551
Middle East Task Force - Sisco Dinner, 1981 July 1	box 142	folder 1552
Middle East Task Force - DR Letter to Senators and Congressmen, 1981 July 10	box 142	folder 1553
Middle East Task Force - Sisco Meetings, Middle East Task Force and Glenn Dinner, 1981	box 142	folder 1554
Middle East Task Force - Responses from Embassies, 1981	box 142	folder 1555
Middle East Task Force - Impact and Publicity, 1981	box 142	folder 1556
Middle East Task Force - Mailing - Responses from Senators and Congressmen, 1981	box 142	folder 1557
Middle East Task Force - George S. Franklin Desk File	box 142	folder 1558
Report #23: The Trilateral Countries in the International Economy of the 1980s, 1982		
New Vistas Task Force - A New Look at Economic Development, 1980-1982	box 142	folder 1559
New Vistas Task Force - Hirono Drafts	box 142	folder 1560
New Vistas Task Force - Drafts for Plenary and Correspondence, 1980-1981	box 143	folder 1561
New Vistas Task Force - Laursen Draft, 1981 October	box 143	folder 1562
New Vistas Task Force - Authors Meeting, Tokyo, 1981 June	box 143	folder 1563
New Vistas Task Force - Camps Draft, 1981 May	box 143	folder 1564
New Vistas Task Force - Front Matter for Plenary Draft, 1981	box 143	folder 1565
New Vistas Task Force, 1980-1982	box 143	folder 1566
New Vistas Task Force - Plenary Draft (Retyped for Notebooks), 1981 February	box 143	folder 1567
New Vistas Task Force - Correspondence and Notes, 1980-1981	box 143	folder 1568
New Vistas Task Force - Camps Drafts, 1981	box 143	folder 1569
New Vistas Task Force - Hirono Drafts, 1980-1981	box 144	folder 1570
New Vistas Task Force - Laursen Drafts, 1980-1981	box 144	folder 1571
New Vistas Task Force - First Draft and Cover Material for Consultants Meetings, 1980 November	box 144	folder 1572
New Vistas Task Force - Drafts	box 144	folder 1573



New Vistas Task Force, 1979-1981	box 144	folder 1574
New Vistas Task Force - North American Consultants Meetings, New York, 1990 November 18	box 144	folder 1575
New Vistas Task Force - Authors - Background Material, 1979-1980	box 144	folder 1576
New Vistas Task Force - Galleys	box 145	folder 1577
New Vistas Task Force - Hirono Last Draft, 1982	box 145	folder 1578
New Vistas Task Force - Final Camps Draft, 1982	box 145	folder 1579
New Vistas Task Force - Authors Meeting, New York, 1981 October 5	box 145	folder 1580
New Vistas Task Force - Final Laursen Draft, 1982	box 145	folder 1581
New Vistas Task Force - Front Matter	box 145	folder 1582
New Vistas Task Force - Galley Proofs - Hirono Article	box 145	folder 1583
Johns Hopkins University Press, 1979	box 145	folder 1584
New Vistas Task Force - Meeting, Discussion Draft, 1981 October 5	box 146	folder 1585
New Vistas Task Force - N.A. Consultants Meeting, Washington, D.C., 1980 November 13	box 146	folder 1586
New Vistas Task Force - George S. Franklin Desk File, 1980	box 146	folder 1587
New Vistas Task Force - Draft, 1981 October 22	box 146	folder 1588
New Vistas Task Force - George S. Franklin Desk File	box 146	folder 1589
New Vistas Task Force - Post Publication Correspondence, 1982	box 146	folder 1590
New Vistas Task Force - Camps, Hirono, Laursen Correspondence, 1980	box 146	folder 1591
Second Combined Volume - Correspondence, 1977-1981	box 146	folder 1592
Second Combined Volume - Triangle Papers 9-14	box 146	folder 1593
Second Combined Volume - Dummy	box 146	folder 1594
Third Combined Volume - Correspondence, 1979-1981	box 146	folder 1595
Third Combined Volume - Foreword, Authors and Jacket Cover, 1981	box 146	folder 1596
Third Combined Volume - First Dummy, 1980	box 146	folder 1597
Report #24: East-West Trade at a Crossroads: Economic Relations with the Soviet Union and Eastern Europe, 1982		
East West Trade Task Force - Roosa's Possible Meeting with Senators and Congressmen - Hosted by Foley	box 147	folder 1598
East West Trade Task Force - U.S.-Soviet Relations	box 147	folder 1599

East West Trade Task Force - Meeting, Cosmos Club, 1981 September 26-27	box 147	folder 1599A
East West Trade Task Force - East West Trade at the Crossroads	box 147	folder 1600
East West Trade Task Force - Hard Copies of Report Sent To, 1982	box 147	folder 1601
East West Trade Task Force - Responses to East-West Mailing, 1982 October	box 147	folder 1602
East West Trade Task Force - East West Trade Press Conference, Toronto, 1982 September 8	box 147	folder 1603
East West Trade Task Force - Mailing of Draft To Press, 1982 June	box 147	folder 1604
East West Trade Task Force, 1982	box 147	folder 1604A
East West Trade Task Force - First Authors Meeting, Washington, D.C., 1981 September 26-27	box 147	folder 1605
East West Trade Task Force - General	box 148	folder 1606
East West Trade Task Force - General	box 148	folder 1606A
East West Trade Task Force - General	box 148	folder 1606B
East West Trade Task Force - Drafts	box 148	folder 1606C
East West Trade Task Force - Drafts	box 148	folder 1606
East West Trade Task Force - Drafts - Matsukawa First Draft, 1982 January	box 149	folder 1607
East West Trade Task Force - Post Publication Correspondence	box 149	folder 1608
East West Trade Task Force - Publication Info and Correspondence	box 149	folder 1609
East West Trade Task Force - Draft Prep and Pre-Plenary Correspondence	box 149	folder 1610
East West Trade Task Force - Post Tokyo Correspondence	box 149	folder 1611
East West Trade Task Force - Drafts - Roosa Reichart Draft, 1982 January	box 149	folder 1612
East West Trade Task Force - Drafts - Roosa Draft of Chapter 3, 1982 January	box 149	folder 1613
East West Trade Task Force - Authors Meeting, New York, 1981 February 5-7	box 149	folder 1614
East West Trade Task Force - Drafts - Gutowski Draft, 1981 October, 1982 February	box 149	folder 1615
East West Trade Task Force - Drafts, 1982 January 28	box 149	folder 1616
East West Trade Task Force - Drafts - First Roosa Draft (December 1981) with Papers of John Hardt, 1981 December	box 149	folder 1617

East West Trade Task Force - Preliminary Correspondence	box 150	folder 1618
East West Trade Task Force - Clippings	box 150	folder 1619
East West Trade Task Force - Discussion and Articles from the Berlin Meeting, 1981 October	box 150	folder 1620
East West Trade Task Force - Drafts - Tokyo Draft, 1982 April	box 150	folder 1621
Report #25: Sharing International Responsibilities Among the Trilateral Countries, 1982		
Sharing Responsibilities Task Force, 1981-1982	box 150	folder 1622
Sharing Responsibilities Task Force - Preliminary Correspondence, 1980-1981	box 150	folder 1623
Sharing Responsibilities Task Force - Drafts - Revised Drafts, after January and before February 22, 1982	box 150	folder 1624
Sharing Responsibilities Task Force - Meeting, 1982 February 22-23	box 150	folder 1625
Sharing Responsibilities Task Force - First Authors Meeting, Washington, D.C., 1981 March 28	box 150	folder 1626
Sharing Responsibilities Task Force - Authors Meeting, 1981 January 25-26	box 150	folder 1627
Sharing Responsibilities Task Force - Second Authors Meeting, New York, 1981 June 21-23	box 150	folder 1628
Sharing Responsibilities Task Force - Drafts - Tokyo, 1982 March	box 150	folder 1629
Sharing Responsibilities Task Force - CBH Chapter II	box 150	folder 1630
Sharing Responsibilities Task Force - Drafts - Ushiba	box 151	folder 1631
Sharing Responsibilities Task Force - Drafts - Allison	box 151	folder 1632
Sharing Responsibilities Task Force - General	box 151	folder 1633
Sharing Responsibilities Task Force - General	box 151	folder 1633A
Sharing Responsibilities Task Force - General	box 151	folder 1633B
Sharing Responsibilities Task Force - General	box 151	folder 1633C
Sharing Responsibilities Task Force - Regional Chapter	box 151	folder 1634
Sharing Responsibilities Task Force - Concluding Chapter	box 151	folder 1635
Sharing Responsibilities Task Force - Intro and Conceptual Chapter	box 152	folder 1636
Sharing Responsibilities Task Force - Post Publication Correspondence, 1983	box 152	folder 1637
Sharing Responsibilities Task Force - Publication Info, 1982	box 152	folder 1638

Sharing Responsibilities Task Force - Post Tokyo Correspondence, 1982	box 152	folder 1639
Sharing Responsibilities Task Force - Draft Preparation and Pre-Plenary Correspondence, 1982	box 152	folder 1640
Sharing Responsibilities Task Force, 1982	box 152	folder 1641
Sharing Responsibilities Task Force, 1982	box 152	folder 1641A
Sharing Responsibilities Task Force, 1982	box 152	folder 1641B
Sharing Responsibilities Task Force - Conclusion	box 152	folder 1642
Sharing Responsibilities Task Force - Canada Section, 1982 October 7	box 152	folder 1643
Sharing Responsibilities Task Force - Meetings, 1982	box 152	folder 1644
Sharing Responsibilities Task Force - Drafts - Allison	box 153	folder 1645
Sharing Responsibilities Task Force - Drafts - de Montbrial	box 153	folder 1646
Sharing Responsibilities Task Force - Canada Section, 1982	box 153	folder 1647
Sharing Responsibilities Task Force - General Correspondence, 1981-1982	box 153	folder 1648
Sharing Responsibilities Task Force, 1981-1982	box 153	folder 1649
Sharing Responsibilities Task Force - Mailing, 1983	box 153	folder 1650
Sharing Responsibilities Task Force - Drafts - Ushiba	box 153	folder 1651
Sharing Responsibilities Task Force - Allison's Possible Meeting with Congressmen and Senators - Hosted by Roth, 1982	box 153	folder 1652
Report #26: Trilateral Security: Defense and Arms Control Policies in the 1980s, 1983		
Trilateral Security Task Force - Discussion Drafts, 1983 March	box 153	folder 1653
Trilateral Security Task Force - Gerard Smith - Press Event, 1983 September	box 153	folder 1654
Trilateral Security Task Force - Budget, 1983	box 153	folder 1655
Trilateral Security Task Force, 1982	box 153	folder 1656
Trilateral Security Task Force - Drafts - Europe, 1982-1983	box 153	folder 1657
Trilateral Security Task Force - Drafts - Japan, 1982-1983	box 153	folder 1658
Trilateral Security Task Force - Correspondence, 1982-1983	box 153	folder 1659
Trilateral Security Task Force - Correspondence, 1982-1983	box 154	folder 1660
Trilateral Security Task Force - Discussion of Report, Rome, 1983	box 154	folder 1661
Trilateral Security Task Force - Drafts	box 154	folder 1662
Trilateral Security Task Force - Drafts		

	box 154	folder 1663
Trilateral Security Task Force - Drafts	box 154	folder 1664
Trilateral Security Task Force - Distribution of Report, 1983	box 154	folder 1665
Trilateral Security Task Force - Distribution of Report, 1983 October 11	box 154	folder 1666
Trilateral Security Task Force - N.A. Consultants Meeting, 1982 June 23	box 155	folder 1667
Trilateral Security Task Force - Preliminary Correspondence, 1981-1982	box 155	folder 1668
Trilateral Security Task Force - Press Meeting, Washington, D.C., 1983 October 7	box 155	folder 1669
Trilateral Security Task Force - Front Matter	box 155	folder 1670
Trilateral Security Task Force - N.A. Consultation Meeting, Washington, D.C., 1983 February 1	box 155	folder 1671
Trilateral Security Task Force - Authors Meeting, Washington, D.C., 1982 November 18	box 155	folder 1672
Trilateral Security Task Force - Drafts - Smith-Makins First Draft, 1982 September 1	box 155	folder 1673
Trilateral Security Task Force - Drafts - Smith-Makins Draft Outline, 1981 January 28	box 155	folder 1674
Trilateral Security Task Force - Clippings, 1981	box 155	folder 1675
Trilateral Security Task Force - Drafts - Final, 1983 April	box 155	folder 1676
Trilateral Security Task Force - Drafts - Final, 1983 April	box 155	folder 1676A
Report #27: Facilitating Development in a Changing Third World: Trade, Finance, Aid, 1983		
Strategies for Assistance to Developing Countries Task Force - Publication, 1983	box 155	folder 1677
Strategies for Assistance to Developing Countries Task Force - Preliminary Correspondence, 1982	box 155	folder 1678
Strategies for Assistance to Developing Countries Task Force - Isaiah Frank Paper, 1982 December	box 156	folder 1679
Strategies for Assistance to Developing Countries Task Force - Authors Meeting, Washington, D.C., 1982 November 19	box 156	folder 1680
Strategies for Assistance to Developing Countries Task Force - Authors Meeting, New York, 1982 September 11	box 156	folder 1681
Strategies for Assistance to Developing Countries Task Force - Front Matter	box 156	folder 1682
Strategies for Assistance to Developing Countries Task Force, 1983	box 156	folder 1683

Strategies for Assistance to Developing Countries Task Force - GSF Desk File	box 156	folder 1684
Strategies for Assistance to Developing Countries Task Force - Distribution of Report, 1983	box 156	folder 1685
Strategies for Assistance to Developing Countries Task Force - General	box 157	folder 1685A
Strategies for Assistance to Developing Countries Task Force - General	box 157	folder 1685B
Strategies for Assistance to Developing Countries Task Force - General	box 157	folder 1685C
Report #28: Democracy Must Work: A Trilateral Agenda for the Decade, 1984		
Democracy Must Work Task Force - Authors Meeting, Washington, D.C., 1983 December 1-2	box 158	folder 1686
Democracy Must Work Task Force - Authors Meeting, Washington, D.C., 1983 December 1-2	box 158	folder 1687
Democracy Must Work Task Force - Preliminary Correspondence - Owen, Brzezinski, Okita	box 158	folder 1688
Democracy Must Work Task Force - Publication, 1984	box 158	folder 1689
Democracy Must Work Task Force - Discussion Draft, 1984 February	box 158	folder 1690
Democracy Must Work Task Force - Okita - "Economic Response"	box 158	folder 1691
Democracy Must Work Task Force - Stewart - Background Paper, 1983	box 158	folder 1692
Democracy Must Work Task Force, 1983	box 159	folder 1693
Democracy Must Work Task Force, 1984	box 159	folder 1693A
Democracy Must Work Task Force - Owen/Stewart - "Social Response"	box 159	folder 1694
Democracy Must Work Task Force - Brzezinski - "Political Responses"	box 159	folder 1695
Democracy Must Work Task Force - Schedule	box 159	folder 1696
Report #29: Agricultural Policy and Trade: Adjusting Domestic Programs in an International Framework, 1985		
Agricultural Policy and Trade Task Force - Preliminary Correspondence, 1983	box 160	folder 1697
Agricultural Policy and Trade Task Force - Front Matter	box 160	folder 1698
Agricultural Policy and Trade Task Force - Front Matter	box 160	folder 1699

Agricultural Policy and Trade Task Force - Post Plenary Changes and Preparation of Final Draft	box 160	folder 1700
Agricultural Policy and Trade Task Force - U.S. Appendix for Publication	box 160	folder 1701
Agricultural Policy and Trade Task Force, 1986	box 160	folder 1702
Agricultural Policy and Trade Task Force - Correspondence, etc., 1984-1986	box 160	folder 1703
Agricultural Policy and Trade Task Force - Authors Meeting, Washington, D.C., 1985 February 4-5	box 160	folder 1704
Agricultural Policy and Trade Task Force - First Authors Meeting, 1984 September 9-10	box 160	folder 1705
Agricultural Policy and Trade Task Force - Discussion of First Draft, Washington, D.C., 1984 December 4	box 161	folder 1706
Agricultural Policy and Trade Task Force - Initial Writings, 1984 January	box 161	folder 1707
Agricultural Policy and Trade Task Force - Johnson - Initial Paper on U.S., 1984 August 1	box 161	folder 1708
Agricultural Policy and Trade Task Force - Johnson - First Draft, 1984 November	box 161	folder 1709
Agricultural Policy and Trade Task Force - Johnson - Second Draft, 1985 January	box 161	folder 1710
Agricultural Policy and Trade Task Force - Johnson - Third Draft, 1985 March	box 161	folder 1711
Agricultural Policy and Trade Task Force - Introduction, 1985 January	box 161	folder 1712
Agricultural Policy and Trade Task Force - Chapter 9	box 161	folder 1713
Agricultural Policy and Trade Task Force - Heck Editing of Johnson Third Draft	box 161	folder 1714
Agricultural Policy and Trade Task Force - Hemmi, Europe and Warley Changes in Draft for Tokyo Plenary (and Johnson reaction)	box 161	folder 1715
Agricultural Policy and Trade Task Force - Draft - Tokyo Plenary	box 162	folder 1716
Agricultural Policy and Trade Task Force - Johnson - Post Plenary Changes	box 162	folder 1717
Agricultural Policy and Trade Task Force - Heck Editing of Main Text After Plenary	box 162	folder 1718
Agricultural Policy and Trade Task Force, 1983-1984	box 162	folder 1719
Agricultural Policy and Trade Task Force - Submissions from Europe	box 162	folder 1720

Agricultural Policy and Trade Task Force - Europe Appendix for Publication	box 162	folder 1721
Agricultural Policy and Trade Task Force - Submissions from Japan	box 162	folder 1722
Agricultural Policy and Trade Task Force - Japan Appendix for Publication	box 162	folder 1723
Agricultural Policy and Trade Task Force - Warley Paper on Canada (first and final version)	box 162	folder 1724
Agricultural Policy and Trade Task Force - Other Appendix Material	box 162	folder 1725
Agricultural Policy and Trade Task Force - Background Papers	box 162	folder 1726
Agricultural Policy and Trade Task Force - Background Papers - Warley, Pearce, Tangermann	box 163	folder 1727
Agricultural Policy and Trade Task Force - Tables	box 163	folder 1728
Agricultural Policy and Trade Task Force - Tables	box 163	folder 1729
Agricultural Policy and Trade Task Force - Other Appendix Tables	box 163	folder 1730
Agricultural Policy and Trade Task Force - Tables in Main Text	box 163	folder 1731
Report #30: East Asian Security and the Trilateral Countries, 1985		
East Asian Security Task Force - Correspondence, etc., 1985	box 163	folder 1732
East Asian Security Task Force - Draft Report, 1985	box 163	folder 1733
Report #31: Prospects for East-West Relations, 1986		
East-West Relations Task Force, 1985-1986	box 163	folder 1734
East-West Relations Task Force - Drafts - Editing of Hyland Third Draft	box 163	folder 1735
East-West Relations Task Force - Drafts - Madrid	box 164	folder 1736
East-West Relations Task Force - Drafts - Editing of Third Draft	box 164	folder 1737
East-West Relations Task Force - Background Material	box 164	folder 1738
East-West Relations Task Force - Front Matter	box 164	folder 1739
East-West Relations Task Force - Drafts - Hyland First Draft, 1985 November 1	box 164	folder 1740
East-West Relations Task Force - Drafts - Hyland Second Draft, 1986 January	box 164	folder 1741
East-West Relations Task Force - Drafts - Hyland Third Draft	box 164	folder 1742
East-West Relations Task Force - Drafts - Initial Draft Writings	box 164	folder 1743
East-West Relations Task Force - Authors Meetings, Summaries, New York, 1985 August, November, December	box 164	folder 1744



Report #32: Conditions for Partnership in International Economic Management, 1986		
Partnership Task Force - Main Files, Drafts	box 165	folder 1745
Partnership Task Force - Excerpts of Published Reports Prepared in July Press Event, 1986	box 165	folder 1746
Partnership Task Force - Drafts - Madrid Plenary	box 165	folder 1747
Partnership Task Force - Front Matter	box 165	folder 1748
Partnership Task Force - Bergsten - U.S. Essay	box 165	folder 1749
Partnership Task Force - Miyazaki - Japan Essay	box 165	folder 1750
Partnership Task Force - Davignon - Europe Essay	box 165	folder 1751
Partnership Task Force - Lawson - Canada Essay	box 165	folder 1752
Partnership Task Force - Intro and Conclusion Drafts I	box 165	folder 1753
Partnership Task Force - Intro and Conclusion Drafts II	box 166	folder 1754
Partnership Task Force - Meetings, Pre-Draft Document	box 166	folder 1755
Partnership Task Force - Cover and General Printing	box 166	folder 1756
Partnership Task Force - Background	box 166	folder 1757
Partnership Task Force, 1984-1986	box 166	folder 1758
Partnership Task Force - Correspondence, Telexes, etc.	box 166	folder 1759
Report #33: Restoring Growth in the Debt-Laden Third World, 1987		
Third World Task Force - U.S. Treasury File - Background Materials, 1985	box 166	folder 1760
Third World Debt Task Force - Congressional Summit Material, 1986 December	box 166	folder 1761
Third World Debt Task Force - Narusawa Pieces	box 166	folder 1762
Third World Debt Task Force - Drafts - de Carmoy First and Second Draft, 1986	box 167	folder 1763
Third World Debt Task Force - Drafts - de Carmoy Draft, 1986 December	box 167	folder 1764
Third World Debt Task Force - Drafts - de Carmoy Draft, 1987 January	box 167	folder 1765
Third World Debt Task Force - Drafts - Krugman Draft, 1987 January	box 167	folder 1766
Third World Debt Task Force - Drafts - Krugman Draft, 1987 February	box 167	folder 1767
Third World Debt Task Force - Discussion Draft, 1987 February	box 168	folder 1767A

Third World Debt Task Force - Drafts - Revised Draft (Post San Francisco Draft from Cambridge), 1987 April	box 168	folder 1768
Third World Debt Task Force - Drafts - Narusawa Revised Draft, 1987 April	box 168	folder 1769
Third World Debt Task Force - Broad Chapter IV - Aborted	box 168	folder 1770
Third World Debt Task Force - Overall Version Sent to Cambridge, 1987 August 19	box 168	folder 1771
Third World Debt Task Force - Overall Version Sent to Cambridge, 1987 August 20	box 168	folder 1772
Third World Debt Task Force - Intro	box 169	folder 1773
Third World Debt Task Force - Chapter I	box 169	folder 1774
Third World Debt Task Force - Chapter II	box 169	folder 1775
Third World Debt Task Force - Chapter III	box 169	folder 1776
Third World Debt Task Force - Chapter IV	box 169	folder 1777
Third World Debt Task Force - Chapter V	box 169	folder 1778
Third World Debt Task Force - Chapter VI	box 169	folder 1779
Third World Debt Task Force - Chapter VII	box 169	folder 1780
Third World Debt Task Force - Appendix	box 169	folder 1781
Third World Debt Task Force - Front Matter	box 169	folder 1782
Third World Debt Task Force - Tables and Figures	box 169	folder 1783
Third World Debt Task Force - de Carmoy Separate Essay	box 169	folder 1784
Third World Debt Task Force - Biographies	box 169	folder 1785
Third World Debt Task Force - Drafts	box 170	folder 1786
Third World Debt Task Force - General, 1987	box 170	folder 1787
Third World Debt Task Force - References	box 170	folder 1788
Third World Debt Task Force - Chronology Debt Crisis	box 170	folder 1789
Third World Debt Task Force - Drafts - Narusawa Draft, 1987 January-April	box 170	folder 1790
Third World Debt Task Force - Drafts - Discussion Draft, 1987 February	box 170	folder 1791
Report #34: Science and Technology in Trilateral Relations: Competition and Cooperation (Draft), 1988		
Technology Project - Drafts - Discussion Drafts, San Francisco., 1987 March	box 170	folder 1792
Technology Project - Drafts - Brown and Branscomb Changes in San Francisco Draft	box 170	folder 1793

Technology Project - Drafts - Brown and Branscomb Changes in San Francisco Draft - Heck Adjustments, 1989 September	box 170	folder 1794
Technology Project - Initial Writings and Outlines	box 170	folder 1795
Technology Project - Drafts - San Francisco Draft, 1987 March	box 171	folder 1796
Technology Project - European Submission, 1988 February	box 171	folder 1797
Technology Project - Correspondence, Telexes, Notes, etc., 1986-1987	box 171	folder 1798
Technology Project - Biographies	box 171	folder 1799
Technology Project - Introduction, Table of Contents, Chapter I	box 171	folder 1800
Technology Project - Chapter II - Japanese Portion	box 171	folder 1801
Technology Project - Chapter II - North American Portion	box 171	folder 1802
Technology Project - Chapter II - European Portion	box 171	folder 1803
Technology Project - Chapter II - Economic Competition	box 171	folder 1804
Technology Project - Chapter II.D - Organization of Science Across Borders	box 171	folder 1805
Technology Project - Chapter III - Security	box 171	folder 1806
Technology Project - Chapter III - LDC	box 171	folder 1807
Technology Project - Chapter III - Ecology	box 171	folder 1808
Technology Project - Chapter IV	box 171	folder 1809
Technology Project - Brown Background Pieces	box 171	folder 1810
Technology Project - Nichols Material	box 172	folder 1811
Technology Project - Background - Press, Oshima, CFR Papers, etc.	box 172	folder 1812
Technology Project - Background Papers - Vernon and Ergas	box 172	folder 1813
Technology Project - Chapter II.D. - Branscomb	box 172	folder 1814
Technology Project - Meeting, Tokyo - Chapter III Outline, 1986 November	box 172	folder 1815
Technology Project - Memos, Notes, etc.	box 172	folder 1816
Technology Project - Drafts - Brown Drafts	box 172	folder 1817
Technology Project - Branscomb Pieces	box 172	folder 1818
Technology Project - Canadian Materials	box 172	folder 1819
Technology Project - Drafts - San Francisco Discussion Draft, March	box 172	folder 1820
Technology Project - Drafts - Revised Master, 1989 September	box 173	folder 1821

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**Report #35: East Asia in Transition: Challenges for the Trilateral Countries, 1988**


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Asia Pacific Task Force - Meeting, Munich	box 173	folder 1822
Asia Pacific Task Force - Pre-Munich	box 173	folder 1823
Asia Pacific Task Force - Correspondence, 1987	box 173	folder 1824
Asia Pacific Task Force - North American Consultants, 1988 February 11	box 173	folder 1825
Asia Pacific Task Force - Tokyo Consultations	box 173	folder 1826
Asia Pacific Task Force - Intro, Political and International Chapters	box 173	folder 1827
Asia Pacific Task Force - Economic Chapter	box 173	folder 1828
Asia Pacific Task Force - February 11	box 173	folder 1829
Asia Pacific Task Force - Tokyo Flight Info	box 173	folder 1830
Asia Pacific Task Force - Authors Meeting, Tokyo, 1988 January	box 173	folder 1831
Asia Pacific Task Force - Miscellaneous	box 173	folder 1832
Asia Pacific Task Force - Tables	box 173	folder 1833
Asia Pacific Task Force - Front Matter	box 173	folder 1834
Asia Pacific Task Force, 1988	box 173	folder 1835
Asia Pacific Task Force	box 173A	

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**Report 36: East-West Relations, 1989**


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East-West Relations Task Force - Background Articles	box 174	folder 1836
East-West Relations Task Force - Hyland Draft	box 174	folder 1837
East-West Relations Task Force - Hyland Draft, 1989 January	box 174	folder 1838
East-West Relations Task Force - Nakasone Draft (plus subsequent revisions), 1989 February	box 174	folder 1839
East-West Relations Task Force - Authors Changes in Paris and Paris Draft	box 174	folder 1840
East-West Relations Task Force - Post Paris Plenary Changes	box 174	folder 1841
East-West Relations Task Force - Bullard Pieces	box 174	folder 1842
East-West Relations Task Force - Initial Write Up	box 174	folder 1843

East-West Relations Task Force - Finances	box 174	folder 1844
East-West Relations Task Force - AA 238, etc.	box 174	folder 1845
East-West Relations Task Force - Kissinger Articles	box 175	folder 1846
East-West Relations Task Force - Gorbachev U.N. Speech, 1988 December	box 175	folder 1847
East-West Relations Task Force - Other Gorbachev Speeches	box 175	folder 1848
East-West Relations Task Force - Nakasone Docs, Summer 1988	box 175	folder 1849
East-West Relations Task Force - Press Clippings	box 175	folder 1850
East-West Relations Task Force - Moscow Visit, 1989 January	box 175	folder 1851
East-West Relations Task Force - Correspondence, 1989 January	box 175	folder 1852
East-West Relations Task Force - Correspondence, 1989 February	box 175	folder 1853
East-West Relations Task Force - Correspondence, 1989 March-June	box 176	folder 1854
East-West Relations Task Force - Moscow	box 176	folder 1855
East-West Relations Task Force - Distribution of Draft and Published Paper	box 176	folder 1856
East-West Relations Task Force - Meeting, London, 1988 September 20-21	box 176	folder 1857
East-West Relations Task Force - New Developments Offered in USSR	box 176	folder 1858
East-West Relations Task Force - Correspondence, 1987	box 176	folder 1859
East-West Relations Task Force - Correspondence, 1988 July-December	box 176	folder 1860
East-West Relations Task Force - Correspondence, 1988 January-June	box 176	folder 1861
East-West Relations Task Force - Post Paris	box 177	folder 1862
East-West Relations Task Force - Drafts - Fourth Draft, Kissinger, 1989	box 177	folder 1863
East-West Relations Task Force - Drafts - First Draft, 1989	box 177	folder 1864
East-West Relations Task Force - Drafts - Second Draft, Correction to First	box 177	folder 1865
East-West Relations Task Force - Drafts - Nakasone Draft	box 177	folder 1866
East-West Relations Task Force - Odds and Ends	box 177	folder 1867
East-West Relations Task Force - Bilaterals, 1988	box 177	folder 1868

East-West Relations Task Force - Authors Meeting, London, 1988 September 20	box 177	folder 1869
East-West Relations Task Force - Authors Meeting, March 5	box 177	folder 1870
East-West Relations Task Force - Memoranda, 1989	box 177	folder 1871
East-West Relations Task Force - Background Info	box 177	folder 1872
Report 37: International Financial Integration: The Policy Challenges, 1989		
IFI Task Force	box 177A	
<u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  CLOSED to scholarly research due to preservation concerns.		
IFI Task Force - Memos	box 178	folder 1873
IFI Task Force - Meetings	box 178	folder 1874
IFI Task Force - Miscellaneous	box 178	folder 1875
IFI Task Force - Drafts - Ogata Draft, 1988 December 16	box 178	folder 1876
IFI Task Force - Draft, 1989 February 15	box 178	folder 1877
IFI Task Force - Draft, 1989 March 7	box 178	folder 1878
IFI Task Force - Plenary and Post Plenary, 1989	box 178	folder 1879
Report #38: The Israeli-Palestinian Issue, 1990		
Middle East Task Force - Final Edits	box 179	folder 1880
Middle East Task Force - Galleys	box 179	folder 1881
Middle East Task Force - Fitzgerald Contributions	box 179	folder 1882
Middle East Task Force - Op Ed	box 179	folder 1883
Middle East Task Force - Miscellaneous	box 179	folder 1884
Middle East Task Force - Visit	box 179	folder 1885
Middle East Task Force - Consultations, New York City and Washington, D.C.	box 179	folder 1886
Middle East Task Force - Preparation of Plenary Draft	box 179	folder 1887
Middle East Task Force - Plenary Draft	box 179	folder 1888
Report #39: Latin America at a Crossroads: The Challenge to the Trilateral Countries, 1990		
Latin America Task Force - Correspondence, 1989	box 180	folder 1889
Latin America Task Force - Correspondence, Memos, etc., 1990	box 180	folder 1890

Latin America Task Force - Initial Outlines	box 180	folder 1891
Latin America Task Force - Submissions from Hosono and Japan	box 180	folder 1892
Latin America Task Force - Submissions from Feo and Europe	box 180	folder 1893
Latin America Task Force - Drafts - First Draft (Original), 1989 December	box 180	folder 1894
Latin America Task Force - Drafts - First Draft - Comments, Editing, Suggestions, 1989	box 180	folder 1895
Latin America Task Force - Drafts - Second Draft and London Plenary Memo, 1990 mid-March	box 180	folder 1896
Latin America Task Force - Drafts - Second Draft - Feo and Hosono Comments	box 181	folder 1897
Latin America Task Force - Drafts - Heck/Petty Editing to Mid-March Draft, Perry Additions, 1990	box 181	folder 1898
Latin America Task Force - Recommendations Outline	box 181	folder 1899
Latin America Task Force - Tables	box 181	folder 1900
Latin America Task Force - Front Matter	box 181	folder 1901
Latin America Task Force - Drafts - Plenary Draft	box 181	folder 1902
Latin America Task Force - Plenary Revisions	box 181	folder 1903
Latin America Task Force - Post-Plenary Revisions	box 181	folder 1904
Latin America Task Force - Post Plenary and Miscellaneous	box 181	folder 1905
Latin America Task Force - Draft	box 181	folder 1906
Latin America Task Force, 1990	box 181	folder 1907
Latin America Task Force - Appendix	box 181	folder 1908
Latin America Task Force - Post Washington, D.C.	box 181	folder 1909
Latin America Task Force - Investment Data	box 181	folder 1910
Latin America Task Force - Background Info	box 181	folder 1911
Latin America Task Force - 5 Basic Questions	box 181	folder 1912
Latin America Task Force - Ottawa Consultations	box 181	folder 1913
Latin America Task Force - Outline	box 182	folder 1914
Latin America Task Force - Authors Meeting, 1989 September 15-16	box 182	folder 1915
Latin America Task Force - Euro Consultations	box 182	folder 1916
Latin America Task Force - Meeting, Madrid	box 182	folder 1917
Latin America Task Force - Memos, etc.	box 182	folder 1918

Latin America Task Force - Drafts - First Draft	box 182	folder 1919
Latin America Task Force - Drafts - Second Draft	box 182	folder 1920
Latin America Task Force - Policy Recommendations	box 182	folder 1921
Latin America Task Force - Tables	box 182	folder 1922
Latin America Task Force - Front Matter	box 182	folder 1923
Latin America Task Force - Drafts - Final Draft	box 182	folder 1924
Latin America Task Force - Spanish Language Edition (Correspondence)	box 182	folder 1925
Working Group Papers, 1991-1992		
Working Group Papers - Warren Paper	box 182	folder 1926
Working Group Papers - Thurow Paper	box 182	folder 1927
Working Group Papers - Kobayashi Paper	box 182	folder 1928
Working Group Papers - Bassetti Paper	box 182	folder 1929
Working Group Papers - Smyser Paper	box 182	folder 1930
Working Group Papers - Fairweather Paper	box 182	folder 1931
Working Group Papers - Ogata Paper	box 182	folder 1932
Working Group Papers - Hormats Paper	box 182	folder 1933
Working Group Papers - De Rosa Paper	box 183	folder 1934
Working Group Papers - Nishihara Paper	box 183	folder 1935
Working Group Papers - Kakizawa Paper	box 183	folder 1936
Working Group Papers - Herrero da Minon	box 183	folder 1937
Working Group Papers - Davis Note	box 183	folder 1938
Working Group Papers - Gardner Paper	box 183	folder 1939
Working Group Papers - Roper Paper	box 183	folder 1940
Working Group Papers - Maull Paper	box 183	folder 1941
Working Group Papers - McNamera Paper, 1991	box 183	folder 1941A
Report #40: Beyond Interdependence: The Meshing of the World's Economy and the Earth's Ecology, 1991		
Environment Task Force - Submissions from Ottawa, 1989 June	box 184	folder 1942
Environment Task Force - Meeting, Ottawa, 1989 July 27-28	box 184	folder 1943
Environment Task Force - Submissions - Parson, 1989 August 1	box 184	folder 1944
Environment Task Force - Submission - Rockefeller Foundation, 1989	box 184	folder 1945



Environment Task Force - Memos, Letters, 1989 July-December	box 184	folder 1946
Environment Task Force - Correspondence, 1989 January-June	box 184	folder 1947
Environment Task Force - Memos, 1990 January-June	box 184	folder 1948
Environment Task Force - Drafts - First Draft, Tokyo Draft	box 184	folder 1949
Environment Task Force - Drafts - Winsemius Draft	box 184	folder 1950
Environment Task Force - Drafts - Yakushiji Draft	box 184	folder 1951
Environment Task Force - Drafts - Second Draft, New York City Draft	box 185	folder 1952
Environment Task Force - Drafts - Winsemius Redraft of Chapter 4, 1990 March	box 185	folder 1953
Environment Task Force - Authors, Front Matter	box 185	folder 1954
Environment Task Force - Winsemius and Grubb Background Papers	box 185	folder 1955
Environment Task Force - Miscellaneous	box 185	folder 1956
Environment Task Force - Outside Publisher	box 185	folder 1957
Environment Task Force - Meeting, New York City, 1990 February 25-27	box 185	folder 1958
Middle East Consultations, New York City and Washington, D.C., 1990 March	box 185	folder 1958A
Environment Task Force - Drafts - Washington D.C. Plenary Draft	box 185	folder 1959
Environment Task Force - Drafts - Final Draft - Post Washington, D.C.	box 185	folder 1960
Environment Task Force - Drafts - Chapter 5, Post Plenary	box 185	folder 1961
Environment Task Force - David Rockefeller Forward	box 185	folder 1962
Report #41: Global Cooperation After the Cold War: A Reassessment of Trilateralism, 1991		
Global Cooperation Task Force - Front Matter	box 186	folder 1963
Global Cooperation Task Force - Intro/U.S. Section	box 186	folder 1964
Global Cooperation Task Force - Canadian Section	box 186	folder 1965
Global Cooperation Task Force - Europe	box 186	folder 1966
Global Cooperation Task Force - Japan	box 186	folder 1967
Global Cooperation Task Force - Conclusions	box 186	folder 1968
Global Cooperation Task Force - Background	box 186	folder 1969
Global Cooperation Task Force - Memos, etc.	box 186	folder 1970

Global Cooperation Task Force - Authors Meeting, Cambridge, 1990 September	box 186	folder 1971
Global Cooperation Task Force - European Meeting, Venice, 1990 October 20	box 186	folder 1972
Global Cooperation Task Force - Consultations, New York City, 1990 October 30	box 186	folder 1973
Global Cooperation Task Force - Authors Meeting, Munich, 1991 February 3	box 186	folder 1974
Global Cooperation Task Force - Consultations, Washington, D.C., 1991 January 29	box 186	folder 1975
Global Cooperation Task Force - New York City - Shiina	box 186	folder 1976
Global Cooperation Task Force - Tables, Appendix	box 186	folder 1977
Report #43: Keeping the Peace in the Post-Cold War Era: Strengthening Multilateral Peacekeeping, 1993		
Security Working Group - Letters, Memos, etc., 1991	box 186	folder 1978
Security Working Group - Letters, Memos, etc., 1992 January-June	box 186	folder 1979
Security Working Group - Shared Security Responsibilities Workshop - Papers, Brussels, 1991 October 22	box 187	folder 1980
Security Working Group - Shared Security Responsibilities Workshop, Brussels, 1991 October 20-21	box 187	folder 1981
Security Working Group - Herrero de Minon Papers	box 187	folder 1982
Security Working Group - Front Matter	box 187	folder 1983
Security Working Group - Intro	box 187	folder 1984
Security Working Group - Roper Chapter	box 187	folder 1985
Security Working Group - Roper Chapter	box 187	folder 1986
Security Working Group - Roper Chapter	box 187	folder 1987
Security Working Group - Roper Chapter	box 187	folder 1988
Security Working Group - Nishihara Chapter	box 187	folder 1989
Security Working Group - Nishihara Chapter	box 188	folder 1990
Security Working Group - Otunnu Chapter	box 188	folder 1991
Security Working Group - Concluding Chapter	box 188	folder 1992
Security Working Group - Appendix	box 188	folder 1993
Security Working Group - Workshop, New York - Programs, Participants, Outlines, 1992 December	box 188	folder 1994
Security Working Group - Workshop, New York - Summaries of Discussion, 1992 December	box 188	folder 1995

Security Working Group - Europe and Japan materials	box 188	folder 1996
Security Working Group - Canada Materials	box 188	folder 1997
Security Working Group - News Clippings	box 188	folder 1998
Security Working Group - Gardner, MacKinlay	box 188	folder 1999
Security Working Group	box 188	folder 2000
Security Working Group - United Nations Materials	box 188	folder 2001
Report #44: International Migration Challenges in a New Era, 1993		
Migration and Refugees Working Group - Meissner Canada Chapter	box 189	folder 2002
Migration and Refugees Working Group - Meissner Europe Chapter	box 189	folder 2003
Migration and Refugees Working Group - Meissner Refugees Chapter	box 189	folder 2004
Migration and Refugees Working Group - Meissner Refugees Chapter Final Stages	box 189	folder 2004A
Migration and Refugees Working Group - Hormats Changes in Meissner Final Draft, 1993 July	box 189	folder 2005
Migration and Refugees Working Group - Meissner Final Draft, 1993 June 21	box 189	folder 2006
Migration and Refugees Working Group - Heck Editing Suggestions in Washington, D.C. Meeting Draft, transmitted to Meissner, 1993 May	box 189	folder 2007
Migration and Refugees Working Group - Meissner First Draft (Original), 1993 February	box 189	folder 2008
Migration and Refugees Working Group - Summary Highlights	box 189	folder 2008A
Migration and Refugees Working Group - Front Matter	box 189	folder 2009
Migration and Refugees Working Group - Correspondence and Memos, 1991 January-July	box 190	folder 2010
Migration and Refugees Working Group - Correspondence and Memos, 1991 August-December	box 190	folder 2011
Migration and Refugees Working Group - Correspondence and Memos, 1992 January-April	box 190	folder 2012
Migration and Refugees Working Group - Correspondence and Memos, 1992 May-December	box 190	folder 2013
Migration and Refugees Working Group - Correspondence and Memos, 1993-1994	box 190	folder 2014
Migration and Refugees Working Group - Workshop, New York - Program, List of Participants, early 1992	box 190	folder 2015

Migration and Refugees Working Group - Workshop, New York - Notes on Discussion, early 1992	box 190	folder 2016
Migration and Refugees Working Group - Dublin Workshop and Meissner First Outline, 1992 October	box 190	folder 2017
Migration and Refugees Working Group - Write Ups of Project	box 190	folder 2018
Migration and Refugees Working Group - Ogata Speeches	box 190	folder 2019
Migration and Refugees Working Group - Meissner Papers	box 190	folder 2020
Migration and Refugees Working Group - Bjerregaard Paper	box 191	folder 2021
Migration and Refugees Working Group - Breakfast Meeting with European Members, Brussels, 1991 October 20	box 191	folder 2022
Migration and Refugees Working Group - Smyser Book	box 191	folder 2023
Migration and Refugees Working Group - Smyser Paper, Fall 1991	box 191	folder 2024
Migration and Refugees Working Group - Japan Materials	box 191	folder 2025
Migration and Refugees Working Group - Refugee Policy Groups and Dennis Gallagher	box 191	folder 2026
Migration and Refugees Working Group - Teitelbaum Paper	box 191	folder 2027
Migration and Refugees Working Group - Goodwin-Gill, Loescher	box 191	folder 2028
Migration and Refugees Working Group - Aristide R. Zolberg	box 191	folder 2029
Migration and Refugees Working Group - Other Smyser Papers	box 191	folder 2030
Migration and Refugees Working Group - Trilateral Europe Pieces	box 191	folder 2031
Migration and Refugees Working Group - Europe Materials	box 191	folder 2032
Migration and Refugees Working Group - Europe Materials	box 191	folder 2033
Migration and Refugees Working Group - Canada Materials	box 191	folder 2034
Migration and Refugees Working Group - Press Clippings and Related	box 192	folder 2035
Migration and Refugees Working Group - IDM and Other Background Materials	box 192	folder 2036
Migration and Refugees Working Group - OECD Materials	box 192	folder 2037
Migration and Refugees Working Group - Conference, Geneva, 1991 December	box 192	folder 2038
Structural Convergence Project (Aborted), 1993-1994		
Structural Convergence Project - Background Pieces	box 192	folder 2039
Structural Convergence Project - Correspondence, Summaries, etc.	box 192	folder 2040

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Report #45: An Emerging China in a World of Interdependence, 1994

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China Project - Correspondence	box 193	folder 2041
China Project - Discussions, Summaries, Outlines, etc.	box 193	folder 2042
China Project - Background	box 193	folder 2043
China Project - Op-Ed	box 193	folder 2044
China Project - Front Matter and Notes	box 193	folder 2045
China Project - Chapter 1	box 193	folder 2046
China Project - Chapter 2	box 193	folder 2047
China Project - Chapter 3	box 193	folder 2048
China Project - Chapter 4	box 194	folder 2049
China Project - Chapter 5	box 194	folder 2050
China Project - Chapter 6	box 194	folder 2051
China Project - Chapter 7	box 194	folder 2052
China Project - Chapter 8	box 194	folder 2053
China Project - Chapter 9	box 195	folder 2054
China Project - Meeting - Summary, 1992 November 10	box 195	folder 2055
China Project - 4 Transmissions to Maki on April 27 (Live Translator)	box 195	folder 2056
China Project - Maki Paper Comments	box 195	folder 2057

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Report #46: Engaging Russia, 1995

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Russia Project - Background Materials	box 195	folder 2058
Russia Project - Consultations, Moscow, 1994 October 7-10	box 195	folder 2059
Russia Project - Meeting Summaries, etc.	box 195	folder 2060
Russia Project - Correspondence, 1995 April-June	box 195	folder 2061
Russia Project - Correspondence, 1995 March	box 196	folder 2062
Russia Project - Correspondence, 1995 February	box 196	folder 2063
Russia Project - Correspondence, 1995 January	box 196	folder 2064
Russia Project - Correspondence, 1994 November-December	box 196	folder 2065
Russia Project - Correspondence, 1994 September-October	box 196	folder 2066
Russia Project - Correspondence, 1994 June-August	box 196	folder 2067
Russia Project - Drafts	box 196	folder 2068
Russia Project - Introduction and Front Matter	box 197	folder 2069

Russia Project - Blackwill	box 197	folder 2069A
Russia Project - Tanaka	box 197	folder 2070
Russia Project - Braithwaite	box 197	folder 2071
Russia Project - Braithwaite - First Draft (with comments)	box 197	folder 2072
Russia Project - Braithwaite - Second Draft	box 197	folder 2073
Russia Project - Braithwaite - Third Draft, 1995 February 20	box 197	folder 2074
Russia Project - Blackwill - First Draft	box 197	folder 2075
Russia Project - Blackwill - Second Draft	box 197	folder 2076
Russia Project - Tanaka - First and Second Drafts	box 197	folder 2077
Russia Project - Introductory Note	box 197	folder 2078
Russia Project - Front Matter	box 197	folder 2079
Russia Project - Braithwaite Pieces	box 197	folder 2080
Russia Project - Blackwill Pieces	box 197	folder 2081
Russia Project - Japan Pieces	box 197	folder 2082
Report #47: Revitalizing Trilateral Democracies (Draft), 1995		
Democracies Project - Report	box 198	folder 2083
Democracies Project - Correspondence, 1995 January-June	box 198	folder 2084
Democracies Project - Correspondence, 1995 July-December	box 198	folder 2085
Democracies Project - Translation - French and German	box 198	folder 2086
Democracies Project - Outlines, Drafts	box 198	folder 2087
Democracies Project - Putnam Chapters	box 198	folder 2088
Democracies Project - Casanova Chapter	box 198	folder 2089
Democracies Project - Sato Chapter	box 198	folder 2090
Democracies Project - Putnam Papers	box 198	folder 2091
Democracies Project - Casanova Papers and European Background Pieces	box 198	folder 2092
Democracies Project - Sato Papers and Japanese Background Pieces	box 199	folder 2093
Democracies Project - Consultation Summaries, Notes, etc.	box 199	folder 2094
Democracies Project - Copenhagen Draft	box 199	folder 2095
Democracies Project - Copenhagen Discussion	box 199	folder 2096
Democracies Project - Harvard Workshop Papers	box 199	folder 2097
Democracies Project - Background Pieces	box 199	folder 2098

Democracies Project - European Background Pieces	box 199	folder 2099
Report #48: Maintaining Energy Security in a Global Context, 1996		
Energy Project - Martin Presentations, Steeg	box 200	folder 2100
Energy Project - Report Reviews	box 200	folder 2101
Energy Project - Exxon Materials	box 200	folder 2102
Energy Project - Steeg and Imari	box 200	folder 2103
Energy Project - Correspondence, 1996 July-September	box 200	folder 2104
Energy Project - Correspondence, 1996 April-June	box 200	folder 2105
Energy Project - Correspondence, 1996 January-March	box 201	folder 2106
Energy Project - Correspondence, 1995 October-December	box 201	folder 2107
Energy Project - Correspondence, 1995 July-September	box 201	folder 2108
Energy Project - Correspondence, 1995 April-June	box 201	folder 2109
Energy Project - Pre Vancouver Editing	box 201	folder 2110
Energy Project - Background	box 202	folder 2111
Energy Project - Draft (for German Meeting), 1996 January	box 202	folder 2112
Energy Project - Draft (before Plenary), 1995 September	box 202	folder 2113
Energy Project - Martin Entries	box 202	folder 2114
Energy Project - Consultations	box 202	folder 2115
Energy Project - Correspondence and Memos (Bill Martin Office?)	box 202	folder 2116
Energy Project - Memos (from Paris and Tokyo TC Offices)	box 202	folder 2117
Energy Project - Imari Papers	box 202	folder 2118
Energy Project - Martin Background Papers	box 202	folder 2119
Energy Project - Steeg Papers	box 203	folder 2120
Energy Project - Draft, 1996 April	box 203	folder 2120A
Report #49: Globalization and Trilateral Labor Markets: Evidence and Implications, 1996		
Globalization and Labor Project - Thygesen, etc.	box 203	folder 2121
Globalization and Labor Project - Correspondence, Memos, 1995	box 203	folder 2122
Globalization and Labor Project - Correspondence, Memos, 1996 January-April	box 203	folder 2123
Globalization and Labor Project - Post Vancouver - Correspondence	box 203	folder 2124

Globalization and Labor Project - Post Vancouver - Intro Chapter	box 203	folder 2125
Globalization and Labor Project - Post Vancouver - N.A. Chapter	box 203	folder 2126
Globalization and Labor Project - Post Vancouver - Japan Chapter	box 203	folder 2127
Globalization and Labor Project - Post Vancouver - Concluding Chapter	box 203	folder 2128
Globalization and Labor Project - Post Vancouver - Europe Chapter	box 203	folder 2129
Globalization and Labor Project - Post Vancouver - Front and Back Matter	box 203	folder 2130
Globalization and Labor Project - Draft (April 1996) - Heck Editing, 1996 April	box 203	folder 2130A
Globalization and Labor Project - Vancouver Draft - Front Matter	box 203	folder 2131
Globalization and Labor Project - Vancouver Draft - Chapter I and Intro	box 204	folder 2132
Globalization and Labor Project - Vancouver Draft - Chapter II	box 204	folder 2133
Globalization and Labor Project - Vancouver Draft - Chapter III	box 204	folder 2134
Globalization and Labor Project - Vancouver Draft - Chapter IV	box 204	folder 2135
Globalization and Labor Project - Vancouver Draft - Chapter V	box 204	folder 2136
Globalization and Labor Project - Vancouver Draft - Back Matter	box 204	folder 2137
Globalization and Labor Project - Background Materials	box 204	folder 2138
Report #50: Managing the International System Over the Next Ten Years: Three Essays, 1997		
Managing the International System Project - Emmott Essay	box 204	folder 2139
Managing the International System Project - Watanabe Essay	box 204	folder 2140
Managing the International System Project - Wolfowitz Essay	box 204	folder 2141
Managing the International System Project - Correspondence	box 204	folder 2142
Managing the International System Project - Preliminary Background	box 205	folder 2143
Managing the International System Project - Draft - Tokyo Meeting	box 205	folder 2144
Managing the International System Project - Front Matter	box 205	folder 2145
Report #51: Community-Building With Pacific Asia, 1997		
Pacific Asia Project - 3		



	box 205	folder 2146
Pacific Asia Project - 2	box 205	folder 2147
Pacific Asia Project - 1	box 205	folder 2148
Pacific Asia Project - Post Tokyo - Front Matter	box 205	folder 2149
Pacific Asia Project - Post Tokyo - Chapter 1	box 205	folder 2150
Pacific Asia Project - Post Tokyo - Chapter 2	box 205	folder 2151
Pacific Asia Project - Post Tokyo - Chapter 3	box 205	folder 2152
Pacific Asia Project - Post Tokyo - Chapter 4	box 205	folder 2153
Pacific Asia Project - Post Tokyo - Chapter 4	box 205	folder 2154
Pacific Asia Project - Post Tokyo - Chapter 5	box 205	folder 2155
Pacific Asia Project - Post Tokyo - Chapter 6	box 205	folder 2156
Pacific Asia Project - Post Tokyo - Chapter 7	box 205	folder 2157
Pacific Asia Project - Post Tokyo - Chapter 8	box 205	folder 2158
Pacific Asia Project - Post Tokyo - Maps	box 205	folder 2159
Pacific Asia Project - Post Tokyo - Chapter 9	box 205	folder 2160
Pacific Asia Project - Post Tokyo - Chapter 10	box 205	folder 2161
Pacific Asia Project - Post Tokyo - Chapter 11	box 205	folder 2162
Pacific Asia Project - Post Tokyo - Appendix	box 206	folder 2163
Pacific Asia Project - Post Tokyo - Appendix (Original), 1997 September 22	box 206	folder 2164
Pacific Asia Project - Post Tokyo - Appendix (Original), 1997 October 12	box 206	folder 2165
Pacific Asia Project - Post Tokyo - List of Readings	box 206	folder 2166
Pacific Asia Project - Pre Tokyo - Chapter 1	box 206	folder 2167
Pacific Asia Project - Pre Tokyo - Chapter 2	box 206	folder 2168
Pacific Asia Project - Pre Tokyo - Chapter 3	box 206	folder 2169
Pacific Asia Project - Pre Tokyo - Chapter 4	box 206	folder 2170
Pacific Asia Project - Pre Tokyo - Chapter 5	box 206	folder 2171
Pacific Asia Project - Pre Tokyo - Chapter 6	box 206	folder 2172
Pacific Asia Project - Pre Tokyo - Chapter 7	box 206	folder 2173
Pacific Asia Project - Pre Tokyo - Chapter 8	box 206	folder 2174
Pacific Asia Project - Pre Tokyo - Chapter 9	box 206	folder 2175
Pacific Asia Project - Pre Tokyo - Chapter 10	box 206	folder 2176

Pacific Asia Project - Pre Tokyo - Chapter 11	box 206	folder 2177
Pacific Asia Project - Pre Tokyo - Front Matter	box 206	folder 2178
Pacific Asia Project - Consultations, Ottawa, 1997 February	box 206	folder 2179
Pacific Asia Project - Consultations, Washington/New York, 1997 January-February	box 206	folder 2179A
Pacific Asia Project - Draft, 1997 March	box 206	folder 2180
Pacific Asia Project - Early Outlines, Draft Elements	box 207	folder 2181
Pacific Asia Project - Background Materials	box 207	folder 2182
Pacific Asia Project - Correspondence with Charles Morrison	box 207	folder 2183
Pacific Asia Project - Other Correspondence	box 207	folder 2184
Report #52: Advancing Common Purposes in the Broad Middle East, 1998		
Plenary Meeting, Berlin - Middle East Excerpts	box 208	folder 2185
Plenary Meeting, Berlin - Middle East Excerpts	box 208	folder 2186
Plenary Meeting, Berlin - Substantive Background Papers	box 208	folder 2187
Plenary Meeting, Berlin - Pelletreau	box 208	folder 2188
Plenary Meeting, Berlin - Schlagintweit	box 208	folder 2188A
Plenary Meeting, Berlin - Nogami	box 208	folder 2188B
Plenary Meeting, Berlin - Moisi	box 208	folder 2189
Plenary Meeting, Berlin - Berlin Draft	box 208	folder 2190
Task Force Reports - Published, 1973-2001		
1: Towards a Renovated World Monetary System, 1973	box 209	folder 2191
2: The Crisis of International Cooperation, 1974	box 209	folder 2192
3: A Turning Point in North-South Economic Relations, 1974	box 209	folder 2193
4: Directions for World Trade in the Nineteen-Seventies, 1974	box 209	folder 2194
5: Energy: The Imperative for a Trilateral Approach, 1974	box 209	folder 2195
6: Energy: A Strategy for International Action, 1974	box 209	folder 2196
7: OPEC, the Trilateral World, and the Developing Countries: New Arrangements for Cooperation, 1976-1980, 1975	box 209	folder 2197
1-7 Combined Volume	box 209	folder 2198
8: The Crisis of Democracy, 1975	box 209	folder 2199
9-14 Combined Volume	box 209	folder 2200
10: Seeking a New Accommodation in World Commodity Markets, 1976	box 209	folder 2201

11: The Reform of International Institutions, 1976	box 209	folder 2202
12: The Problem of International Consultations, 1976	box 209	folder 2203
13: Collaboration with Communist Countries in Managing Global Problems: An Examination of the Options, 1977	box 209	folder 2204
14: Towards a Renovated International System, 1977	box 209	folder 2205
15-19 Combined Volume	box 209	folder 2206
15: An Overview of East-West Relations, 1978	box 209	folder 2207
16: Reducing Malnutrition in Developing Countries: Increasing Rice Production in South and Southeast Asia, 1978	box 209	folder 2208
17: Energy: Managing the Transition, 1978	box 209	folder 2209
18: Collective Bargaining and Employee Participation in Western Europe, North America and Japan, 1979	box 209	folder 2210
19: Industrial Policy and the International Economy, 1979	box 209	folder 2211
21: Trade in Manufactured Products with Developing Countries: Reinforcing North-South Partnership, 1981	box 209	folder 2212
22: The Middle East and the Trilateral Countries, 1981	box 209	folder 2213
23: The Trilateral Countries in the International Economy of the 1980s, 1982	box 209	folder 2214
24: East-West Trade at a Crossroads: Economic Relations with the Soviet Union and Eastern Europe, 1982	box 209	folder 2215
25: Sharing International Responsibilities Among the Trilateral Countries, 1982	box 209	folder 2216
28: Democracy Must Work: A Trilateral Agenda for the Decade, 1984	box 209	folder 2217
29: Agricultural Policy & Trade: Adjusting Domestic Programs in an International Framework, 1985	box 209	folder 2218
30: East Asian Security & The Trilateral Countries, 1985	box 209	folder 2219
31: Prospects for East-West Relations, 1986	box 209	folder 2220
32: Conditions for Partnership in International Economic Management, 1986	box 209	folder 2221
33: Restoring Growth in the Debt-Laden Third World, 1987	box 209	folder 2222
34: Science and Technology in Trilateral Relations: Competition and Cooperation (Draft), 1987 March	box 209	folder 2223
35: East Asia in Transition: Challenges for the Trilateral Countries, 1988	box 209	folder 2224
36: East-West Relations, 1989	box 209	folder 2225
37: International Financial Integration: The Policy Challenges, 1989	box 209	folder 2226

38: The Israeli-Palestinian Issue, 1990	box 209	folder 2227
39: Latin America at a Crossroads: The Challenge to the Trilateral Countries, 1990	box 209	folder 2228
40: Beyond Interdependence: The Meshing of the World's Economy and the Earth's Ecology, 1991	box 209	folder 2229
41: Global Cooperation After the Cold War: A Reassessment of Trilateralism, 1991	box 209	folder 2230
42: Regionalism in a Converging World, 1992	box 209	folder 2231
43: Keeping the Peace in the Post-Cold War Era: Strengthening Multilateral Peacekeeping, 1993	box 209	folder 2232
44: International Migration Challenges in a New Era, 1993	box 209	folder 2233
45: An Emerging China in a World of Interdependence, 1994	box 209	folder 2234
46: Engaging Russia, 1995	box 209	folder 2235
47: Revitalizing Trilateral Democracies (Draft), 1995	box 209	folder 2236
48: Maintaining Energy Security in a Global Context, 1996	box 209	folder 2237
49: Globalization and Trilateral Labor Markets: Evidence and Implications, 1996	box 209	folder 2238
50: Managing the International System Over the Next Ten Years: Three Essays, 1997	box 209	folder 2239
51: Community-Building With Pacific Asia (2 copies), 1997	box 209	folder 2240
53: 21st Century Strategies of the Trilateral Countries: In Concert or Conflict?, 1999	box 209	folder 2241
54: The New Central Asia: In Search of Stability, 2000	box 209	folder 2242
55: East Asia and The International System, 2001	box 209	folder 2243
Task Force Reports Brochure Insert (Reports 1-30)	box 209	folder 2244
Working Group Papers, 1991-1992	box 209	folder 2245

[^ Return to Table of Contents](#)

## Publications, 1973-2000

Physical Description: 17.4 Cubic Feet Boxes 210-239.

### Scope and Contents

The Publications series contains documentation of the Commission's principal publication *Dialogue*, as well as the Commission's Annual Reports, 1974-1991, and a limited selection of publication distribution files.

*Dialogue* serves as the Commission's bulletin or newsletter including documentation of the Annual Meeting, other reports, speeches, and relevant policy discussions. *Dialogue* began as a mimeographed bulletin circulated to members and friends. It became a printed affair in 1975. With Francois Sauzey as its editor it grew to a substantial

magazine with one issue each year covering the annual meeting of the Commission and other issues each covering specific topics. But its subscriber base was not large enough to sustain an expanded publication schedule. In mid-1984, after Sauzey left the staff, Trialogue shifted to producing only one issue each year covering the annual plenary meeting. There were two special issues in the 1990s – a compilation of the Working Group papers in 1992, and the Commission's 25th Anniversary in 1998 (with Sauzey returning as its editor).

## Arrangement

The Trialogue records are loosely arranged by Issue Number, 1-54 (1973-2000).

## Scope and Contents

Some issues of Trialogue have related files, correspondence and work product within the collection. Many issues are represented only by a copy of the final publication. Final publications are available in Boxes 220-223.

Archival material related to Issue 4 is in Box 340 only. No final publication copy of Issue 4 is available.

## Scope and Contents

Francois Sauzey Files – Sauzey was a member of the Commission's New York staff from mid-1976 through mid-1984. His primary responsibility was to act as editor of Trialogue. Sauzey also handled much of the Commission's public relations. In addition to Sauzey's Trialogue and public relations records, his files also contain meeting records and correspondence from his time with the Commission.

## Scope and Contents

Trilateral Commission - Trialogue Issues

T1. Trialogue: A Bulletin Of North American-European-Japanese Affairs: Oct. 1973. The Trilateral Commission (© 1973). Ed: Michael J. Sodaro. 6pp. Related Archival Material: Box 210, 336.

T2. Trialogue: A Bulletin Of North American-European-Japanese Affairs: Nov 1973. (Includes "Statement Of Purposes" Of The Trilateral Commission). The Trilateral Commission (© 1973). Ed: Michael J. Sodaro. Related Archival Material: Box 210, 220.

T3. Trialogue: A Bulletin Of North American-European-Japanese Affairs: Dec. 1973 - Jan. 1974. The Trilateral Commission (© 1974). Ed: Michael J. Sodaro. Includes contribution by: Robert R. Bowie. 6pp. Related Archival Material: Box 210, 220.

T4. Trialogue: A Bulletin Of North American-European-Japanese Affairs: Feb-Mar. 1974. The Trilateral Commission (© 1974). Ed: Michael J. Sodaro. 6pp. Related Archival Material: Box 340.

T5. Trialogue: A Bulletin Of North American-European-Japanese Affairs: May-July 1974. The Trilateral Commission (© 1974). Ed: Charles B. Heck. Contributions by: Kazushige Hirasawa and Harold Brown. 18pp. Related Archival Material: Box 210, 220.

T6. Commission Recommends Cooperation With Oil-Exporters, Proposes New Aid Agency, Consults With Ford And Kissinger. The Trilateral Commission (© 1975). Ed: Charles B. Heck. Contributions by: Nobuhiko Ushiba, Paul Delouvrier, George W. Ball and Andrew Shonfield. 16pp. Related Archival Material: Box 210, 220.

T7. Trilateral Leaders Discuss Global Redistribution Of Power And Problems Of Trilateral Community, Japan, May 1975. The Trilateral Commission (© 1975). Ed: Charles B. Heck. Contributions by: Takeshi Watanabe, Saburo Okita, Ralf Dahrendorf and Zbigniew Brzezinski. 16pp. Related Archival Material: Box 210, 220.

T8. Is Reform An Illusion? A Trilateral Perspective On International Relations. The Trilateral Commission (© 1975). Ed: Charles B. Heck. Contributions by: Christopher J. Makins and Charles B. Heck. 8pp. Related Archival Material: Box 210, 220.

T9. Economic Cooperation And Resource Management. The Trilateral Commission (© 1976). Ed: Charles B. Heck. Contributions by: Guido Carli, Claude Cheysson and Kinhide Mushakoji. 15pp. Related Archival Material: Box 210, 220.

T10. Looking Back.....And Forward. The Trilateral Commission (© 1976). Ed: Charles B. Heck. Contributions by: Richard N. Cooper, Richard N. Gardner, Philip H. Tresize, John C. Campbell, Samuel P. Huntington, John H. Perkins, P. Nyboe Andersen, Marina v.N. Whitman, Peter Dobell and Takeshi Watanabe. 12pp. Related Archival Material: Box 210, 220.

T11. Improper Corporate Payoffs Termed A "Cancer" Which Weakens Firms, Subverts Markets And Threatens Democratic Values, May 1976 Ottawa Meeting. The Trilateral Commission (© 1976). Ed: Charles B. Heck. Contributions by: Pierre Trudeau, Lloyd N. Cutler and Zbigniew Brzezinski. 16pp. Related Archival Material: Box 210, 220.

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T20. 10th Trilateral Meeting In Tokyo, April 1979. The Trilateral Commission (© 1979). Ed: François Sauzey. Contributions by: Masayoshi Ohira, Otto Graf Lambsdorff, Kiichi Miyazawa, Yasuo Takeyama, Walter M. Heller,

Endymion Wilkinson, Yusuke Kashiwagi, Michel Oksenberg and Kiichi Saeki. 24pp. Related Archival Material: Box 212, 220.

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<b>Title/Description</b>	<b>Instances</b>	
Triologue, 1973-2000		
Triologue - Initial Memos and Ideas, 1973	box 210	folder 2246
Triologue - Format	box 210	folder 2247
T1, T2, T3 (Originals)	box 210	folder 2248
Future Trialogues, 1974-1975	box 210	folder 2249
Triologue - Annual Report Material, 1974-1975	box 210	folder 2250
T5 - Interior	box 210	folder 2251
T6 - Interior (Killed)	box 210	folder 2252
T6, 1975	box 210	folder 2253
Tricom News, 1975	box 210	folder 2254
T7, 1975	box 210	folder 2255
Triologue - "Third Window" Article, 1975-1976	box 210	folder 2256
T8, 1975	box 210	folder 2257
Triologue - Gold Sales, 1976	box 210	folder 2258
Triologue - Energy, 1976	box 210	folder 2259
T9, 1976	box 210	folder 2260
T10 - Looking Forward, 1976	box 210	folder 2261
T10 - Looking Backward, 1976	box 210	folder 2262
T10 - Looking Back...And Forward, 1976	box 210	folder 2263
T11 - Ottawa, 1976	box 210	folder 2264
Triologue 77, 1976-1977	box 210	folder 2265
T14, 1977 Spring	box 210	folder 2266
T15, 1977	box 210	folder 2267
T17 - Food, 1978 April	box 210	folder 2268
T19 - Human Rights Issue, 1978-1979	box 210	folder 2269
T21 - Security Issue, 1979-1980	box 210	folder 2270
T21 - SALT (Security Issue), 1979	box 210	folder 2271
Triologue - Middle East, 1980	box 210	folder 2272
T19 - Human Rights - Weckler, 1978-1979	box 211	folder 2273

T19 - Human Rights - Sakharov, 1978-1979	box 211	folder 2274
T19 - Human Rights - Jean Marie Benoist, 1978-1979	box 211	folder 2275
T19 - Human Rights - Kissinger, 1978	box 211	folder 2276
T19 - Human Rights - Cheysson, 1978	box 211	folder 2277
T19 - Human Rights - Farar, 1978	box 211	folder 2278
T19 - Human Rights, 1978	box 211	folder 2279
T19 - Human Rights - Press Coverage, 1979	box 211	folder 2280
T19 - Human Rights - Tokes, 1978	box 211	folder 2281
T19 - Human Rights - Cassese, 1978	box 212	folder 2282
T19 - Human Rights - Horst Ehmke, 1978	box 212	folder 2283
T19 - Human Rights - Gordon Fairweather, 1978-1979	box 212	folder 2284
T19 - Human Rights - Hosoya and Hatano, 1978-1979	box 212	folder 2285
T19 - Human Rights - Medveded, 1978	box 212	folder 2286
T19 - Human Rights - Thapar, 1978	box 212	folder 2287
T19 - Human Rights - Page One, 1978	box 212	folder 2288
T19 - Human Rights - Mazrui, 1978-1979	box 212	folder 2289
T20 - Tokyo Meeting - Heller Speech, 1979 April	box 212	folder 2290
T20 - Tokyo Meeting - Energy Summary, 1979 April	box 212	folder 2291
T20 - Tokyo Meeting - Industrial Policy Summary, 1979 April	box 212	folder 2292
T20 - Tokyo Meeting - Kissinger, 1979 April	box 212	folder 2293
T20 - Tokyo Meeting - Lambsdorff Speech, 1979 April	box 212	folder 2294
T20 - Tokyo Meeting - Miyazawa Interview, 1979 April	box 212	folder 2295
T20 - Tokyo Meeting - Ohira Speech, 1979 April	box 212	folder 2296
T20 - Tokyo Meeting - China Session, 1979 April	box 212	folder 2297
T20 - Tokyo Meeting - Takeyama Speech, 1979 April	box 212	folder 2298
T20 - Tokyo Meeting - Seminars, 1979 April	box 212	folder 2299
T20 - Tokyo Meeting, 1979 April	box 212	folder 2300
T21 - Security - Aron, 1979	box 213	folder 2301
T21 - Security - Clippings, 1979	box 213	folder 2302
T21 - Security - Articles, 1979	box 213	folder 2303
T21 - Security - Harold Brown, 1979	box 213	folder 2304
T21 - Security, 1979	box 213	folder 2305

T21 - Security - de Rose, 1979	box 213	folder 2306
T21 - Security - Kubo and Yano, 1979	box 213	folder 2307
T21 - Security - Haig Interview, 1979	box 213	folder 2308
T21 - Security - Karl Kaiser, 1979	box 213	folder 2309
T23 - London Plenary Meeting, 1980	box 213	folder 2310
T24 - Middle East, 1980	box 214	folder 2311
T25 - Detente - Francois de Rose, 1981	box 214	folder 2312
T25 - Detente - Bialer, 1981	box 214	folder 2313
T25 - Detente - Brzezinski, 1981	box 214	folder 2314
T25 - Detente - Hyodo, 1981	box 214	folder 2315
T25 - Detente - East-West Relations, 1981	box 214	folder 2316
T25 - Detente - Brandt, 1981	box 214	folder 2317
T25 - Detente - Kimura, 1981	box 214	folder 2318
T26 - Washington, D.C. Plenary Meeting - Herv? de Carmoy, 1981 March	box 215	folder 2319
T26 - Washington, D.C. Plenary Meeting - Kamiya, 1981 March	box 215	folder 2320
T26 - Washington, D.C. Plenary Meeting - Task Force Summaries, 1981 March	box 215	folder 2321
T26 - Washington, D.C. Plenary Meeting - Introduction, 1981 March	box 215	folder 2322
T26 - Washington, D.C. Plenary Meeting - Cohen, 1981 March	box 215	folder 2323
T26 - Washington, D.C. Plenary Meeting - Hoge, 1981 March	box 215	folder 2324
T26 - Washington, D.C. Plenary Meeting - Greenspan, 1981 March	box 215	folder 2325
T26 - Washington, D.C. Plenary Meeting - Kaser, 1981 March	box 215	folder 2326
T26 - Washington, D.C. Plenary Meeting - Nishihara, 1981 March	box 215	folder 2327
T26 - Washington, D.C. Plenary Meeting - Sommer, 1981 March	box 215	folder 2328
T26 - Washington, D.C. Plenary Meeting - Okumura, 1981 March	box 215	folder 2329
T26 - Washington, D.C. Plenary Meeting - Haig, 1981 March	box 215	folder 2330
T26 - Washington, D.C. Plenary Meeting - Reactions - Letters from Commissioners, etc., 1981	box 215	folder 2331
Dialogue #27 - Japan, 1981	box 215	folder 2332
Dialogue #27 - Japan - Lambsdorff, 1981		

	box 215	folder 2333
Dialogue #27 - Japan - Curtis, 1981	box 215	folder 2334
Dialogue #27 - Japan - Gilman, 1981	box 215	folder 2335
Dialogue #27 - Japan - Haferkamp, 1981	box 215	folder 2336
Dialogue #27 - Japan - Ingersoll, 1981	box 216	folder 2337
Dialogue #27 - Japan - Kuriyama, 1981	box 216	folder 2338
Dialogue #27 - Japan - Ushiba, 1981	box 216	folder 2339
Dialogue #27 - Japan - Pham Letter, 1981	box 216	folder 2340
Dialogue #27 - Japan - Photographs, 1981	box 216	folder 2341
Dialogue #27 - Japan - Japan Society Mailing, 1981	box 216	folder 2342
Dialogue #27 - Japan - Curtis Meeting, 1981 October 7	box 216	folder 2343
Dialogue #28 - North-South Relations - Ward Lunch, 1982 March 16	box 216	folder 2344
Dialogue #28 - North-South Relations - U.N. Secretary General, 1982	box 216	folder 2345
Dialogue #28 - North-South Relations (LDC), 1981-1982	box 216	folder 2346
Dialogue #28 - North-South Relations - Ward, 1982	box 216	folder 2347
Dialogue #28 - North-South Relations - Watanabe, 1982	box 216	folder 2348
Dialogue #28 - North-South Relations - Bedie, 1982	box 216	folder 2349
Dialogue #28 - North-South Relations - Canada Press, 1982	box 216	folder 2350
Dialogue #29 - J.J. Servan Schreiber, 1982	box 216	folder 2351
T29 - Tokyo Plenary Meeting - GATT, 1982	box 217	folder 2352
T29 - Tokyo Plenary Meeting - Chairmen's Editorial, 1982	box 217	folder 2353
T29 - Tokyo Plenary Meeting - Brzezinski, 1982	box 217	folder 2354
T29 - Tokyo Plenary Meeting - Malik, 1982	box 217	folder 2355
T29 - Tokyo Plenary Meeting - Introduction, 1982	box 217	folder 2356
T29 - Tokyo Plenary Meeting - Program, 1982	box 217	folder 2357
Dialogue #30/31 - Security and Disarmament Reactions, 1982	box 217	folder 2358
Dialogue #30 - Security and Disarmament - Raymond Aron, 1982	box 217	folder 2359
Dialogue #30 - Security and Disarmament - Brzezinski, 1982	box 217	folder 2360
Dialogue #30 - Security and Disarmament - Momoi and Yokomichi, 1982	box 217	folder 2361
Dialogue #30 - Security and Disarmament - Mertes, 1982	box 217	folder 2362

Dialogue #30 - Security and Disarmament - Owen, 1982	box 218	folder 2363
Dialogue #30 - Security and Disarmament - Arms Control and Disarmament, 1982	box 218	folder 2364
Dialogue #30 - Security and Disarmament - Joseph Nye, 1982	box 218	folder 2365
Dialogue #30 - Security and Disarmament -Indira Ghandi, 1982	box 218	folder 2366
Dialogue #30 - Security and Disarmament - Trezza, 1982	box 218	folder 2367
Dialogue #30 - Security and Disarmament -Gerard Smith, 1982	box 218	folder 2368
T32 - Middle East Opportunities - Sidki	box 218	folder 2368A
T32 - Middle East Opportunities - Dajani	box 218	folder 2369
T32 - Middle East Opportunities - Tueni	box 218	folder 2370
T32 - Middle East Opportunities - Kitahara	box 218	folder 2371
T32 - Middle East Opportunities - Shaqa	box 218	folder 2372
T32 - Middle East Opportunities - Sisco	box 218	folder 2373
T32 - Middle East Opportunities - Rabin	box 218	folder 2374
T32 - Middle East Opportunities - Hassan	box 218	folder 2375
T32 - Middle East Opportunities - Levi	box 218	folder 2376
T32 - Middle East Opportunities - Arens	box 218	folder 2377
T32 - Middle East Opportunities - Mroz Team	box 218	folder 2378
T32 - Middle East Opportunities - Kreisky	box 218	folder 2379
T34 - Europe - Kux	box 218	folder 2380
T34 - Europe, 1983	box 219	folder 2381
T34 - Europe - Richard von Weizsacker (Berlin)	box 219	folder 2382
T34 - Europe - Milosz	box 219	folder 2383
T34 - Europe - Brezinski (Yalta)	box 219	folder 2384
T34 - Europe - Kolakowski	box 219	folder 2385
T34 - Europe - Kato	box 219	folder 2386
T34 - Europe - Djilas	box 219	folder 2387
T34 - Europe - Berthoin	box 219	folder 2388
T34 - Notes of Conversations	box 219	folder 2389
Dialogue - Published, 1973-2000		

## Scope and Contents note

T2, 3, 5-54. T1 is available in Box 210. No individual copy of T4.

T2. Trialogue: A Bulletin Of North American-European-Japanese Affairs, 1973 November	box 220	folder 2390
T3. Trialogue: A Bulletin Of North American-European-Japanese Affairs, 1973 December-1974 January	box 220	folder 2391
T5. Trialogue: A Bulletin Of North American-European-Japanese Affairs, 1974 May-July	box 220	folder 2392
T6. Commission Recommends Cooperation With Oil-Exporters, Proposes New Aid Agency, Consults With Ford And Kissinger, 1975	box 220	folder 2393
T7. Trilateral Leaders Discuss Global Redistribution Of Power And Problems Of Trilateral Community, Japan	box 220	folder 2394
T8. Is Reform An Illusion? A Trilateral Perspective On International Relations, 1975	box 220	folder 2395
T9. Economic Cooperation And Resource Management, 1976	box 220	folder 2396
T10. Looking Back And Forward, 1976	box 220	folder 2397
T11. Improper Corporate Payoffs Termed A "Cancer" Which Weakens Firms, Subverts Markets And Threatens Democratic Values, Ottawa Meeting	box 220	folder 2398
T12. The Governability Debate Continued, 1976	box 220	folder 2399
T13. Trade Issues And Macroeconomic Coordination Highlight Trilateral Tokyo Meeting	box 220	folder 2400
T14. Managing Global Relations: Avenues For Trilateral-Communist Collaborations, 1977	box 220	folder 2401
T15. Trilateral Meeting In Bonn Discusses East-West Relations And Strategies To Increase Food Production In LDCs And Non-Proliferation Policies, 1977	box 220	folder 2402
T16. The London Summit Revisited, 1978	box 220	folder 2403
T17. Doubling Rice Production In Asia, 1978	box 220	folder 2404
T18. Energy, Industrial Relations and Trilateral Economic problems: Major Issues At Trilateral Meeting in Washington, D.C.	box 220	folder 2405
T19. The Politics Of Human Rights, 1978	box 220	folder 2406
T20. 10th Trilateral Meeting In Tokyo	box 220	folder 2407
T21. Security In The 1980s (1979); T22: Trilateral Relations At The Threshold Of The New Decade (1980) ; T25. Trilateral-Soviet Relations In Transition: D'tente - Whither (1981);	box 220	folder 2408



T26. The Washington, D.C. Plenary Meeting, March 1981,  
1979-1981

T23. 1980 Trilateral Commission Plenary In London	box 221	folder 2409
T24. The Middle East: What Chances For Peace?, 1980	box 221	folder 2410
T24. The Middle East: What Chances For Peace?, 1980	box 221	folder 2411
T27. Japan: A Partnership In Progress, 1981	box 221	folder 2412
T27. Japan: A Partnership In Progress, 1981	box 221	folder 2413
T28. North And South: After Cancun, Where To?, 1982	box 221	folder 2414
T28. North And South: After Cancun, Where To?, 1982	box 221	folder 2415
T29. The Trilateral Commission's 1982 Tokyo Plenary Meeting	box 221	folder 2416
T30/1. Security And Disarmament, 1982	box 221	folder 2417
T32. Middle East Opportunities, 1983	box 221	folder 2418
T33. The Trilateral Commission's 10th Anniversary Plenary Meeting In Rome	box 221	folder 2419
T34. Heart of Europe, 1983	box 221	folder 2420
T35. Sovereignty And Intervention, 1984	box 221	folder 2421
T36. The Washington, D.C. Plenary Meeting	box 221	folder 2422
T37. The Plenary Conference Of The Trilateral Commission, Tokyo, 1985	box 221	folder 2423
T38. The Madrid Plenary Meeting Of The Trilateral Commission	box 222	folder 2424
T39. The San Francisco Meeting Of The Trilateral Commission	box 222	folder 2425
T40. The Tokyo Meeting Of The Trilateral Commission	box 222	folder 2426
T41. The Paris Plenary	box 222	folder 2427
T42. The Washington, D.C. Plenary Meeting Of The Trilateral Commission	box 222	folder 2428
T43. Tokyo 1991: The Annual Meeting Of The Trilateral Commission, 1991	box 222	folder 2429
T44. Lisbon 1992: The Annual Meeting Of The Trilateral Commission, 1992	box 222	folder 2430
T45. Working Group Papers 1991-1992, 1991-1992	box 222	folder 2431
T46. Washington 1993: The Annual Meeting Of The Trilateral Commission, 1993	box 222	folder 2432
T47. Tokyo 1994: The Annual Meeting Of The Trilateral Commission, 1994	box 222	folder 2433
T48. Copenhagen 1995: The Annual Meeting Of The Trilateral Commission, 1995	box 222	folder 2434

T49. Vancouver 1996: The Annual Meeting Of The Trilateral Commission, 1996	box 222	folder 2435
T50. Tokyo 1997: The Annual Meeting of the Trilateral Commission, 1997	box 222	folder 2436
T51. The Trilateral Commission at 25, 1998	box 222	folder 2437
T52. Berlin 1998: The Annual Meeting of the Trilateral Commission, 1998	box 223	folder 2438
T53. Washington 1999: The Annual Meeting of the Trilateral Commission, 1999	box 223	folder 2439
T54. Tokyo 2000: The Annual Meeting of the Trilateral Commission, 2000	box 223	folder 2440
Francois Sauzey Files		
Francois Sauzey Files - Old Trialogue, 1978	box 223	folder 2441
Francois Sauzey Files - T15 - Bonn Meeting, 1977	box 223	folder 2442
Francois Sauzey Files - Subscription and Finances, 1980-1982	box 223	folder 2443
Francois Sauzey Files - Advertising, 1982	box 223	folder 2444
Francois Sauzey Files - Permissions, 1981	box 223	folder 2445
Francois Sauzey Files - Latin America Trialogue, 1982	box 223	folder 2446
Francois Sauzey Files - Combined Volume Trialogue	box 223	folder 2447
Francois Sauzey Files - Meetings, 1977	box 223	folder 2448
Francois Sauzey Files - Press, 1978	box 223	folder 2449
Francois Sauzey Files - Miscellaneous Letters and Memos, 1976-1977	box 223	folder 2450
Francois Sauzey Files - Clippings and Reports	box 224	folder 2451
Francois Sauzey Files - Makins Memos on Europe, 1976	box 224	folder 2452
Francois Sauzey Files - Memorandums, 1977	box 224	folder 2453
Francois Sauzey Files - Aspen, 1975 July-August	box 224	folder 2454
Francois Sauzey Files - Trilateral Commission Staff Meetings, 1976	box 224	folder 2455
Francois Sauzey Files, 1976-1977	box 224	folder 2456
Francois Sauzey Files - National Industrial Strategies, 1978	box 224	folder 2457
Francois Sauzey Files, 1975-1977	box 224	folder 2458
Francois Sauzey Files - New York Meeting Program, Commodities Report (Labor Management Relations Project), 1976	box 224	folder 2459

Francois Sauzey Files - Communist Involvement Task Force - Owen, 1976	box 225	folder 2460
Francois Sauzey Files - Small Meetings; Study Group	box 225	folder 2461
Francois Sauzey Files - Meetings, Clippings, 1978	box 225	folder 2461A
Francois Sauzey Files - Announcements re: TC (NA), 1977	box 225	folder 2462
Francois Sauzey Files - Renovated International System Task Force - Integrators Meeting, 1976	box 225	folder 2463
Francois Sauzey Files - Corporate and Foundations Mailing, 1982	box 225	folder 2464
Francois Sauzey Files - Trialogue Printers, 1978-1979	box 225	folder 2465
Francois Sauzey Files - Trilateral Bulletin, 1981	box 225	folder 2466
Francois Sauzey Files - Editorial Advisory Board, 1981	box 225	folder 2467
Francois Sauzey Files - Trialogue Press, 1981	box 225	folder 2468
Francois Sauzey Files - Roper, 1979	box 225	folder 2469
Francois Sauzey Files - General Trialogue Materials, 1980-1982	box 225	folder 2470
Francois Sauzey Files - Advertising, 1979-1982	box 225	folder 2471
Francois Sauzey Files - Task Forces - Post Summer 1979, 1979-1981	box 226	folder 2472
Francois Sauzey Files - Task Forces - Payments Imbalances Task Force (Report #20), 1979	box 226	folder 2473
Francois Sauzey Files - Annual Report (Sixth), 1979	box 226	folder 2474
Francois Sauzey Files - Task Forces - Strategies for Assistance Task Force, 1982	box 226	folder 2475
Francois Sauzey Files - Task Forces - East-West Economic Relations Task Force, 1981	box 226	folder 2476
Francois Sauzey Files - Task Forces - Global Interdependence, 1981	box 226	folder 2477
Francois Sauzey Files - Publications - Orders from University Press, 1980	box 226	folder 2478
Francois Sauzey Files - Brochure	box 226	folder 2479
Francois Sauzey Files - Special Requests, 1978 Fall-1979	box 226	folder 2480
Francois Sauzey Files - Meetings - Tokyo Plenary Meeting, 1982	box 226	folder 2481
Francois Sauzey Files - Meetings - Tokyo Plenary Meeting - Japanese Press Clippings, 1979 April	box 226	folder 2482
Francois Sauzey Files - Meetings - Washington, D.C. Plenary Meeting, 1981 March	box 226	folder 2483

Francois Sauzey Files - Meetings - Washington, D.C. Plenary Meeting, 1981 March	box 226	folder 2483A
Francois Sauzey Files - Meetings - Washington, D.C. Plenary Meeting, 1981 March	box 226	folder 2483B
Francois Sauzey Files - Meetings - Tokyo Plenary Meeting - Press, 1979	box 227	folder 2484
Francois Sauzey Files - Meetings - London Plenary Meeting, 1980 March	box 227	folder 2485
Francois Sauzey Files - Meetings - Washington, D.C. Plenary Meeting, 1981 March	box 228	folder 2486
Francois Sauzey Files - Meetings - Tokyo Plenary Meeting, 1979	box 228	folder 2487
Francois Sauzey Files - Anti-Defamation League, 1980-1981	box 228	folder 2488
Francois Sauzey Files - Mihajlov, 1979-1981	box 229	folder 2489
Francois Sauzey Files - Mihajlov, 1978-1981	box 229	folder 2490
Francois Sauzey Files - Visitors and Special Requests, 1980	box 230	folder 2491
Francois Sauzey Files - Visitors and Special Requests, 1981	box 230	folder 2492
Francois Sauzey Files - Memoranda from GF, Fall 1978	box 230	folder 2493
Francois Sauzey Files - Memos, 1979	box 230	folder 2494
Francois Sauzey Files - Corporate Fundraising, 1976-1979	box 230	folder 2495
Francois Sauzey Files - Foundations - Grants, 1978-1979	box 230	folder 2496
Francois Sauzey Files - Financial Info (including Fundraising), 1978-1980	box 230	folder 2497
Francois Sauzey Files - Human Rights Related, 1980	box 230	folder 2498
Francois Sauzey Files - Funding - Reminders, 1978	box 230	folder 2499
Francois Sauzey Files - Refugees, 1979	box 230	folder 2500
Francois Sauzey Files - Schacht Letter, 1979	box 230	folder 2501
Francois Sauzey Files - German Marshall Fund Submission, 1979	box 230	folder 2502
Francois Sauzey Files - Robert Erringer, 1981	box 230	folder 2503
Francois Sauzey Files - Gallimard, 1980	box 230	folder 2504
Francois Sauzey Files - Lilienthal, 1979	box 230	folder 2505
Francois Sauzey Files - Miscellaneous, 1980-1981	box 230	folder 2506
Francois Sauzey Files - Visitors, 1978-1981	box 230	folder 2507
Francois Sauzey Files - Memos, 1981	box 231	folder 2508
Francois Sauzey Files - "Semi-Personal" (Christmas Cards, etc.)		

	box 231	folder 2509
Francois Sauzey Files - Letters from Public, 1980-1981	box 231	folder 2510
Francois Sauzey Files - Assorted Notes, 1980-1981	box 231	folder 2511
Francois Sauzey Files - Canadian Broadcasting System, 1978-1980	box 231	folder 2512
Francois Sauzey Files - Memos, 1980	box 231	folder 2513
Francois Sauzey Files - Minutes, Memos, Meetings, 1980	box 231	folder 2514
Francois Sauzey Files - Papers etc. with TC Contributions, 1979-1981	box 231	folder 2515
Francois Sauzey Files - Membership, 1979-1981	box 231	folder 2516
Francois Sauzey Files - Proposed Membership, 1979-1980	box 231	folder 2517
Francois Sauzey Files - Membership, 1981	box 231	folder 2518
Francois Sauzey Files - Soviet-U.S. Meeting, 1982 May	box 232	folder 2519
Francois Sauzey Files - DR Meeting Minutes, 1982	box 232	folder 2520
Francois Sauzey Files - Trialogue Proposals, 1982	box 232	folder 2521
Francois Sauzey Files - Report on Trialogue to Chairmen, 1981 November	box 232	folder 2522
Francois Sauzey Files - Chairmen's Meeting, 1981 November 13-14	box 232	folder 2523
Francois Sauzey Files - DR Meeting, 1981 December 7	box 232	folder 2524
Francois Sauzey Files - DR Meeting, Membership, 1982 June 9	box 232	folder 2525
Francois Sauzey Files - Membership, 1982	box 232	folder 2526
Francois Sauzey Files - Membership, 1980-1981	box 232	folder 2527
Francois Sauzey Files - Minutes (Staff), 1982	box 232	folder 2528
Francois Sauzey Files - Minutes - Staff, Trustees, etc., 1981	box 232	folder 2529
Francois Sauzey Files - Trustees Meeting, 1981 January	box 232	folder 2530
Francois Sauzey Files - Trustees Meeting (with FS Handwritten Notes), 1981	box 232	folder 2531
Francois Sauzey Files - DR and Chairmen's Meetings Minutes, 1980	box 232	folder 2532
Francois Sauzey Files - Staff Meetings, 1980	box 232	folder 2533
Francois Sauzey Files - Staff Meetings, 1978-1979	box 232	folder 2534
Francois Sauzey Files - DR Drafts, etc., 1979 October	box 232	folder 2535
Francois Sauzey Files - Work in Absence of GSF (FS for DR 1980), 1979-1980	box 232	folder 2536

Francois Sauzey Files - Trustees Meeting - Summary, 1979 June 27	box 232	folder 2537
Francois Sauzey Files - Chairmen's Meeting, Tokyo, 1978 January 29-31	box 232	folder 2538
Francois Sauzey Files - Chairmen's Meeting, Toronto, 1979 October 10	box 233	folder 2539
Francois Sauzey Files - Chairmen's Meeting. Toronto, 1979 October 10	box 233	folder 2540
Francois Sauzey Files - FS Correspondence in the Absence of GSF and DR, 1978-1979	box 233	folder 2541
Francois Sauzey Files - Chairmen's Meeting, London, 1978 December 4-5	box 233	folder 2542
Francois Sauzey Files - Soviet-Trilateral Relations, Washington, D.C., 1982	box 233	folder 2543
Francois Sauzey Files - Gardner/Buckley Interview, 1982	box 233	folder 2544
Francois Sauzey Files - Trilateral Bulletin Proposals	box 233	folder 2545
Francois Sauzey Files - Mailing House, 1979-1981	box 233	folder 2546
Francois Sauzey Files - Berthoin Meeting, New York, 1981 July 27	box 233	folder 2547
Francois Sauzey Files - Berthoin Meeting, Paris, 1982 February	box 233	folder 2548
Francois Sauzey Files - Chairmen's Meeting, 1979 April 24	box 233	folder 2549
Francois Sauzey Files - DR Meeting, 1980 February 7	box 233	folder 2550
Francois Sauzey Files - Meetings, 1982	box 233	folder 2551
Francois Sauzey Files - International Herald Tribune, 1983	box 233	folder 2552
Francois Sauzey Files - Executive Committee and Trustees Meeting, Washington, D.C., 1982 July 16	box 233	folder 2553
Francois Sauzey Files - T33 - Commissioner Questionnaire	box 233	folder 2554
Francois Sauzey Files - T30/31 - Press and Mailings	box 233	folder 2555
Francois Sauzey Files - CJM Trip to Europe, 1976 June	box 233	folder 2556
Francois Sauzey Files - Food (Rice Production in Asia) - Invitations, 1978	box 233	folder 2557
Francois Sauzey Files - Press Names and other invitation material	box 233	folder 2558
Francois Sauzey Files - Program Officer, 1978	box 233	folder 2559
Francois Sauzey Files - East-West Meeting - Invitations, 1978	box 234	folder 2560
Francois Sauzey Files - Corporations - Membership, 1976-1977	box 234	folder 2561
Francois Sauzey Files - T21 - Security - Special Mailings, 1979	box 234	folder 2562

Francois Sauzey Files - Corporate and Foundations Mailing, 1979	box 234	folder 2563
Francois Sauzey Files - Press Letters, 1979	box 234	folder 2564
Francois Sauzey Files - T21 - Security - Letters, 1979	box 234	folder 2565
Francois Sauzey Files - Canadian and other Commissioner Advised Subscription Mailings, 1979	box 234	folder 2566
Francois Sauzey Files - Copies of "sample" info letters, 1979	box 234	folder 2567
Francois Sauzey Files, 1981-1982	box 234	folder 2568
Francois Sauzey Files - Drafts, Papers, Various Background Materials, 1981	box 234	folder 2569
Francois Sauzey Files - Press Coordination, 1979-1980	box 234	folder 2570
Francois Sauzey Files - Labor-Management Lunch, 1979 August 17	box 235	folder 2571
Francois Sauzey Files - Meeting, Washington, D.C. - Press, 1981 March	box 235	folder 2572
Francois Sauzey Files - Press, 1979-1981	box 235	folder 2573
Francois Sauzey Files - Press Lunches, 1981	box 235	folder 2574
Francois Sauzey Files - FS Notes, Clippings, etc., 1981	box 235	folder 2575
Francois Sauzey Files - Press Lunch, International Club, Washington, D.C., 1977 November 4	box 235	folder 2576
Francois Sauzey Files - "Conversations" with Harold Hudson Channer, 1978	box 235	folder 2577
Francois Sauzey Files - Information Responses, 1980	box 235	folder 2578
Francois Sauzey Files - Clippings	box 235	folder 2579
Francois Sauzey Files - Assorted Old Press, 1976-1980	box 235	folder 2580
Francois Sauzey Files - Articles, 1980	box 235	folder 2580A
Francois Sauzey Files - Energy Daily, 1979	box 235	folder 2581
Francois Sauzey Files - Washington, D.C. Meeting - Press Invitees, 1978-1980	box 235	folder 2582
Francois Sauzey Files - Sisco Meeting, 1981 July 1	box 235	folder 2583
Francois Sauzey Files - Sisco Meeting - John Campbell Invitees, 1981 July 1	box 236	folder 2584
Francois Sauzey Files - Hobart Rowen - Washington Post, 1976 May 20	box 236	folder 2585
Francois Sauzey Files, 1978-1981	box 236	folder 2586
Francois Sauzey Files, 1981-1982	box 236	folder 2587

Francois Sauzey Files - Recent Clippings, 1980	box 236	folder 2588
Task Force Brochures		
Task Force Brochure (through #19)	box 237	folder 2589
Task Force Brochure (through #20)	box 237	folder 2590
Task Force Brochure (through #22)	box 237	folder 2591
Task Force Brochure (through #36) (several editions)	box 237	folder 2591A
Task Force Brochure [Japanese] (several editions)	box 237	folder 2591B
Annual Reports		
First Annual Report, 1974	box 238	folder 2592
Second Annual Report, 1975	box 238	folder 2593
Third Annual Report, 1975-1976	box 238	folder 2594
Fourth Annual Report, 1976-1977	box 238	folder 2595
Memo to U.S. Members with Annual Report, 1978	box 238	folder 2596
Annual Report, 1977-1978	box 238	folder 2597
Annual Report, 1979-1980	box 238	folder 2598
Annual Reports, 1979-1981	box 238	folder 2599
Annual Report, 1982-1983	box 238	folder 2600
Annual Report, 1984	box 239	folder 2601
Annual Report, 1985-1986	box 239	folder 2602
Annual Reports, 1975 through 1985-1986	box 239	folder 2603
Annual Reports, 1983-1984, 1984-1985	box 239	folder 2604
Annual Report, 1986-1987	box 239	folder 2605
Annual Report, 1987-1988, 1988-1989	box 239	folder 2606
Annual Report, 1990	box 239	folder 2607
Annual Report - Notes, 1990-1991	box 239	folder 2608
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Sub/SO Paid Up and Mailed, 1994 April-June	box 239A	folder 3846
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Sub/SO Paid Up and Mailed, 1994 January-March	box 239A	folder 3847
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Sub-Dead Invoices	box 239A	folder 3848
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Old Versions of Forms	box 239A	folder 3849
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Subscribers Prior to Reconstruction	box 239A	folder 3850
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Conditions Governing Access note:

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Old Subscriber Lists	box 239A	folder 3851
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Conditions Governing Access note:

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Subscriber List, 1994-1993-March November	box 239A	folder 3852
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Conditions Governing Access note:

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Subscriber List, 1994 April-May	box 239A	folder 3853
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Conditions Governing Access note:

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Old Subscriber List, Pre-April 30, 1995	box 239A	folder 3854
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Conditions Governing Access note:

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Subscribers as of February 1, 1993	box 239A	folder 3855
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Conditions Governing Access note:

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Book Orders Shipped, 1993	box 239A	folder 3856
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Conditions Governing Access note:

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Brookings Flier Mailing, 1993 December 3	box 239A	folder 3857
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Conditions Governing Access note:

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Rohesia Hamilton Metcalfe Files - Publications Distribution	box 239A	folder 3858
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Conditions Governing Access note:

## Conditions Governing Access note

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Rohesia Hamilton Metcalfe Files - Publications Distribution - In House Mailing Lists, 1993	box 239A	folder 3859
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Capital City Mailing, 1993 August	box 239A	folder 3860
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Conditions Governing Access note:

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Migration/Peacekeeping/Regionalism Subscriber Mailings, 1993	box 239A	folder 3861
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Conditions Governing Access note:

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Mailing, 1993 February	box 239A	folder 3862
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Conditions Governing Access note:

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Working Group Papers and Complimentary Respondents and Target Mailing	box 239A	folder 3863
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Subscribers, 1994	box 239A	folder 3864
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Book Orders Shipped, 1992	box 239A	folder 3865
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Target Mailing, 1992	box 239A	folder 3866
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Conditions Governing Access note:

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Complimentary Query, 1992	box 239A	folder 3867
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Conditions Governing Access note:

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Subscriber Mailing, 1992 October 23	box 239A	folder 3868
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Conditions Governing Access note:

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Environment, 1991	box 239A	folder 3869
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Conditions Governing Access note:

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Subscriber and PS Lists, 1995 November 29	box 239B	folder 3870
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Rohesia Hamilton Metcalfe Files - Publications Distribution - T48, 1995 October	box 239B	folder 3871
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Conditions Governing Access note:

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Rohesia Hamilton Metcalfe Files - Publications Distribution - T48 - Comp and Target, 1995	box 239B	folder 3872
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Conditions Governing Access note:

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Target - Russia Flier, 1995 August 16	box 239B	folder 3873
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Rohesia Hamilton Metcalfe Files - Publications Distribution - Sub/SO Paid Up Mailed, 1995 October	box 239B	folder 3874
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Conditions Governing Access note:

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Sub/SO Paid Up Mailed, 1995 July-September	box 239B	folder 3875
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Conditions Governing Access note:

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Rohesia Hamilton Metcalfe Files - Publications Distribution - T48 Mailings, 1995 August	box 239B	folder 3876
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Conditions Governing Access note:

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Russia Report	box 239B	folder 3877
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Conditions Governing Access note:

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Rohesia Hamilton Metcalfe Files - Publications Distribution - T49	box 239B	folder 3878
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Conditions Governing Access note:

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Democracy Reports - Mailings to Subscribers	box 239B	folder 3879
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Conditions Governing Access note:

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Mailings, 1995	box 239B	folder 3880
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Conditions Governing Access note:

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Energy Report, 1996 September	box 239B	folder 3881
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Conditions Governing Access note:

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Energy Report	box 239B	folder 3882
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Conditions Governing Access note:

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Globalization Report - Mailings, 1996 December	box 239B	folder 3883
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Conditions Governing Access note:

## Conditions Governing Access note

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Globalization Report	box 239B	folder 3884
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Conditions Governing Access note:

## Conditions Governing Access note

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Sub/SO Paid Up and Mailed, 1996 January-March	box 239B	folder 3885
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Conditions Governing Access note:

## Conditions Governing Access note

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Sub/SO Paid Up and Mailed, 1996 April-June	box 239B	folder 3886
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Conditions Governing Access note:

## Conditions Governing Access note

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Sub/SO Paid Up and Mailed, 1996 July-September	box 239B	folder 3887
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Conditions Governing Access note:

## Conditions Governing Access note

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Sub/SO Paid Up and Mailed, 1996 October-December	box 239B	folder 3888
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Conditions Governing Access note:

## Conditions Governing Access note

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Subscribers, 1995	box 239B	folder 3889
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Conditions Governing Access note:



## Conditions Governing Access note

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Sub/SO Paid Up and Mailed, 1995 April-June	box 239B	folder 3890
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Conditions Governing Access note:

## Conditions Governing Access note

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Sub/SO Paid Up and Mailed, 1995 February	box 239B	folder 3891
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Conditions Governing Access note:

## Conditions Governing Access note

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Sub/SO Paid Up and Mailed, 1995 January	box 239B	folder 3892
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Conditions Governing Access note:

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Renewal Notices Sent, 1995	box 239B	folder 3893
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Conditions Governing Access note:

## Conditions Governing Access note

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Rohesia Hamilton Metcalfe Files - Publications Distribution - Mailing Memos, 1992-1997	box 239B	folder 3894
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Conditions Governing Access note:

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Rohesia Hamilton Metcalfe Files - Miscellaneous Correspondence, 1996	box 239B	folder 3895
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Conditions Governing Access note:

## Conditions Governing Access note

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Rohesia Hamilton Metcalfe Files - Miscellaneous  
Correspondence, 1995

box 239B

folder 3896

Conditions Governing Access note:

## Conditions Governing Access note

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Rohesia Hamilton Metcalfe Files - Perry Financials

box 239B

folder 3897

Conditions Governing Access note:

## Conditions Governing Access note

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Rohesia Hamilton Metcalfe Files - Perry Fundraising

box 239B

folder 3898

Conditions Governing Access note:

## Conditions Governing Access note

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[^ Return to Table of Contents](#)

## Membership, 1978-1980s

Physical Description: 1 Cubic Feet Boxes 240-241.

### Scope and Contents

When the Trilateral Commission was initially established, the intention had been to have an equal number of members from each of the three regions. Initial membership consisted of approximately 190 members. 1. North America (United States and Canada); 2. Europe (Belgium, Denmark, France, Ireland, Italy, Luxembourg, Netherlands, Norway, U.K., West Germany); 3. Japan.

Around 1980, membership ceilings were imposed for the three regions. These ceilings have been periodically raised as new countries are added.

Membership ceilings as of 2011 are: North America – 120 (87 US, 20 Canada, 13 Mexico). Europe – 160 (20 members each for Germany, France, Italy, U.K.)(14 Spain), (The remaining national quotas range from 4 to 9). Pacific Asian – 100+ In 2000, the Japanese group of 85 members was expanded and restructured. The new configuration is 57 Japan, 15 Korea, 8 Australia & New Zealand, 16 from 5 ASEAN Countries (Indonesia, Malaysia, Philippines, Singapore and Thailand). By 2008, the Pacific Asian group was comprised of more than 100 members. Individuals from Taiwan, Hong Kong and the People's Republic of China have also participated.

Membership is by invitation. For the North American group, invitations are decided upon by the Executive Committee, on the basis of recommendations by members and staff. Members are generally corporate, political, educational and non-profit leaders including prominent businessmen, journalists, academicians, non-profit

executives, union and labor leaders and politicians. New members are chosen on a national basis. Membership procedures differ slightly depending on the national region. Members who accept positions in the executive branch of their government must forfeit their Commission membership.

The Trilateral Commission archives does not contain much documentation of the Commission's membership. Most North American membership files remain at the North American Office in Washington, D.C. Membership files for other regions are at their respective offices.

<b>Title/Description</b>	<b>Instances</b>	
Membership - Japanese, 1975-1986	box 240	folder 2609
Membership - European, 1977-1986	box 240	folder 2610
Member Participation, 1975-1986	box 240	folder 2611
U.S. Membership Matters, 1975-1986	box 240	folder 2612
Membership, 1973-1995	box 240	folder 2613
Membership, 1996-2000	box 240	folder 2613A
Japanese Commissioners, 1973-1990	box 240	folder 2614
Early European Membership, 1972-1979	box 240	folder 2615
European Membership Matters, 1979-1991	box 241	folder 2616
European Membership Matters, 1996-1998	box 241	folder 2616A
European Membership Matters, 1973-1981	box 241	folder 2617
Membership, 1984	box 241	folder 2618
Printed Membership Lists, Corporate Sponsors (and Task Force Brochure), 19851978-1981	box 241	folder 2619
TC Membership Breakdown by Location and Occupation, 1981-1984	box 241	folder 2620
Membership, 1979-1980	box 241	folder 2621
Membership, Post-March 1985, 1985-1986	box 241	folder 2622

[^ Return to Table of Contents](#)

## Officer's Files, 1974-1998

Physical Description: 50 Cubic Feet Boxes 241-310.

### Scope and Contents

Leadership of the Trilateral Commission is composed of: 1. Regional Chairman (one from each of the three regions); 2. Deputy Chairman; 3. Directors; 4. Executive Committee (36 other members, exact total of membership fluctuates).

North American Chairmen: Gerard C. Smith, 1973-1977 (from establishment in 1973-mid 1977); David Rockefeller, 1977-1991 (also Founder and Honorary North American chairman); Paul A. Volcker, 1991-2001 (also Honorary North American Chairman); Thomas S. Foley, 2001-2008; Joseph S. Nye, Jr., 2009-.

European Chairmen: Max Kohnstamm, 1973-1976; Georges Berthoin, 1976-1992 (also Honorary European Chairman); Otto Graf Lambsdorff, 1992-2001 (also Honorary European Chairman); Peter Sutherland, 2001-2010 (also Honary European Chairman); Mario Monti, 2010-.

Japanese Chairmen: Takeshi Watanabe, 1973-1985; Isamu Yamashita, 1985-1992; Akio Morita, 1992-1993; Kiichi Miyazawa, Acting Chairmen, 1993-1997; Yotaro Kobayashi, Current (Pacific Asian Chairman).

## **Arrangement**

Records are primarily arranged alphabetically, by the last name of the officer. 1. Zbigniew Brzezinski - Director of the Trilateral Commission from its inception in 1973 through mid-1976. In 1975-1976 Brzezinski served part-time and Christopher Makins was Deputy Director. Archival holdings include chronological files, January 1975-June 1976.

2. George S. Franklin - North American Secretary from mid 1973 through 1976. Franklin served as the Commission's Coordinator from early 1977 through mid- 1982. Archival holdings are primarily chronological files, August 1974-1994 and a selection of alphabetical files.

3. Charles B. Heck - Joined the Commission staff in 1974 as assistant to the Director (Zbigniew Brzezinski). In 1976 Heck became Deputy North American Secretary, then North American Secretary (1977-1981) and North American Director (1982-2001). Charles Heck was also editor of the Task Force reports from 1974-1991. Archival holdings are primarily meeting records and chronological files 1974-1998. The collection also holds a limited selection of office records created by assistants to Charles Heck including: Catherine Katin (Assistant to Charles Heck, 1977-1979); Daniel Newman (Assistant to Charles Heck, 1981-1984); and Erna Prickett Adelson (Assistant to Charles Heck, 1979-1981).

4. Christopher Makins – Trilateral Commission Deputy Director, August 1975-June 1976. Makins began his career in the British diplomatic service with posts in London, Paris, Washington, D.C. and others. He then shifted his focus to U.S/European relations with appointments in organizations including, but not limited to: the Atlantic Council of the United States, the Aspen Institute, the German Marshall Fund and the Carnegie Endowment for International Peace. Makins served the Trilateral Commission in a variety of capacities, most notably as Deputy Director. Archival holdings include chronological files from August 1975-June 1976.

5. David Rockefeller – Founder and North American Chairman, 1977-1991. David Rockefeller served as Chairman and Chief Executive Officer of Chase Manhattan Bank (now J.P. Morgan Chase Bank), from 1969-1980. Rockefeller relinquished his chairmanship of Chase Manhattan Bank in 1981. Archival holdings include chronological files, 1985-1998, and a selection of speeches, 1977-1980.

6. Gerard C. Smith – Before becoming Trilateral Commission North American Chairman in 1973, Smith served as Director of the Arms Control and Disarmament Agency, and Chief United States negotiator of the Strategic Arms Limitation (SALT I) talks, which led to the Antiballistic Missile (ABM) Treaty of 1972. In mid-1977, he relinquished his Commission chairmanship to become Ambassador for Non-Proliferation Issues in the Carter administration. Smith had his office in Washington D.C. while the Trilateral Commission Director and North American staff were in New York. The Smith files represented in the collection were shipped to New York after Smith's chairmanship had concluded.

7. Paul A. Volcker – North American Chairman, 1991-2001. Paul Volcker served as Chairman of the Board of Governors of the Federal Reserve System from August 1979-August 1987. Archival holdings are chronological files, 1991-1998.

## **Related Materials:**

## Related Materials

Documentation of the correspondence and activities of the Commission's officers is prevalent throughout the Trilateral Commission archives. Users of Series 5 are advised to also consider Series 6 Office Files, particularly the Early Office files.

### Existence and Location of Copies:

### **Existence and Location of Copies**

Microfilm copies of portions of the Zbigniew Brzezinski Files (Box 241A), Gerard C. Smith Files (Box 308B-P), and Trilateral Commission Early Correspondence, 1972-1975 (Box 310A-B) are available at the Jimmy Carter Library, Atlanta, GA.

## Zbigniew Brzezinski

Title/Description	Instances	
Zbigniew Brzezinski Chronological Files, 1975 January	box 241A	folder 4117
<u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  CLOSED to scholarly research due to preservation concerns.		
Zbigniew Brzezinski Chronological Files, 1975 February	box 241A	folder 4118
<u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  CLOSED to scholarly research due to preservation concerns.		
Zbigniew Brzezinski Chronological Files, 1975 March	box 241A	folder 4119
<u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  CLOSED to scholarly research due to preservation concerns.		
Zbigniew Brzezinski Chronological Files, 1975 April	box 241A	folder 4120
<u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  CLOSED to scholarly research due to preservation concerns.		
Zbigniew Brzezinski Chronological Files, 1975 May	box 241A	folder 4121
<u>Conditions Governing Access note:</u>		

**Conditions Governing Access note**

CLOSED to scholarly research due to preservation concerns.

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Zbigniew Brzezinski Chronological Files, 1975 June	box 241A	folder 4122
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Conditions Governing Access note:

**Conditions Governing Access note**

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Zbigniew Brzezinski Chronological Files, 1975 July	box 241A	folder 4123
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Conditions Governing Access note:

**Conditions Governing Access note**

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Zbigniew Brzezinski Chronological Files, 1975 August	box 241A	folder 4124
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Conditions Governing Access note:

**Conditions Governing Access note**

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Zbigniew Brzezinski Chronological Files, 1975 September	box 241A	folder 4125
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Conditions Governing Access note:

**Conditions Governing Access note**

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Zbigniew Brzezinski Chronological Files, 1975 October	box 241A	folder 4126
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Conditions Governing Access note:

**Conditions Governing Access note**

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Zbigniew Brzezinski Chronological Files, 1975 November	box 241A	folder 4127
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Conditions Governing Access note:

**Conditions Governing Access note**

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Zbigniew Brzezinski Chronological Files, 1975 December	box 241A	folder 4128
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Conditions Governing Access note:

**Conditions Governing Access note**

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Zbigniew Brzezinski Chronological Files, 1976 January	box 241A	folder 4129
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Conditions Governing Access note:

**Conditions Governing Access note**

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Zbigniew Brzezinski Chronological Files, 1976 February	box 241A	folder 4130
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Conditions Governing Access note:

**Conditions Governing Access note**

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Zbigniew Brzezinski Chronological Files, 1976 March	box 241A	folder 4131
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Conditions Governing Access note:

**Conditions Governing Access note**

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Zbigniew Brzezinski Chronological Files, 1976 April	box 241A	folder 4132
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Conditions Governing Access note:

**Conditions Governing Access note**

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Zbigniew Brzezinski Chronological Files, 1976 May	box 241A	folder 4133
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Conditions Governing Access note:

**Conditions Governing Access note**

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Zbigniew Brzezinski Chronological Files, 1976 June	box 241A	folder 4134
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Conditions Governing Access note:

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Zbigniew Brzezinski - Correspondence, 1976	box 241A	folder 4135
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Conditions Governing Access note:

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Zbigniew Brzezinski - Travel	box 241A	folder 4136
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Conditions Governing Access note:

**Conditions Governing Access note**

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Zbigniew Brzezinski - Expense Reports	box 241A	folder 4137
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Conditions Governing Access note:

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Zbigniew Brzezinski - Invitations	box 241A	folder 4138
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Conditions Governing Access note:

**Conditions Governing Access note**

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Zbigniew Brzezinski - Mailing Lists and Salutations	box 241A	folder 4139
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Conditions Governing Access note:

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Zbigniew Brzezinski - Mid Atlantic Clubs	box 241A	folder 4140
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Conditions Governing Access note:

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Zbigniew Brzezinski - Correspondence with Commissioners	box 241A	folder 4141
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**George S. Franklin**


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**Title/Description**


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**Instances**



George Franklin Chronological Files		
George Franklin Chronological Files, 1974 July	box 242	folder 2623
George Franklin Chronological Files, 1974 August	box 242	folder 2623A
George Franklin Chronological Files, 1974 September	box 242	folder 2624
George Franklin Chronological Files, 1974 October	box 242	folder 2625
George Franklin Chronological Files, 1974 November	box 242	folder 2626
George Franklin Chronological Files, 1974 December	box 242	folder 2627
George Franklin Chronological Files, 1975 January-March	box 243	folder 2628
George Franklin Chronological Files, 1975 April-June	box 243	folder 2629
George Franklin Chronological Files, 1975 July	box 244	folder 2630
George Franklin Chronological Files, 1975 August	box 244	folder 2631
George Franklin Chronological Files, 1975 September	box 244	folder 2632
George Franklin Chronological Files, 1975 October	box 244	folder 2633
George Franklin Chronological Files, 1975 November	box 244	folder 2634
George Franklin Chronological Files, 1975 December	box 244	folder 2635
George Franklin Chronological Files, 1976 January	box 244	folder 2636
George Franklin Chronological Files, 1976 February	box 245	folder 2637
George Franklin Chronological Files, 1976 March	box 245	folder 2638
George Franklin Chronological Files, 1976 April	box 245	folder 2639
George Franklin Chronological Files, 1976 May-July	box 245	folder 2640
George Franklin Chronological Files, 1976 August-September	box 246	folder 2641
George Franklin Chronological Files, 1976 October	box 246	folder 2641A
George Franklin Chronological Files, 1976 November	box 246	folder 2642
George Franklin Chronological Files, 1976 December	box 247	folder 2643
George Franklin Correspondence with Commissioner and Staff, 1976	box 247	folder 2644
George Franklin Correspondence - Impact, 1976	box 247	folder 2645
George Franklin Italian Trip Bills, 1976	box 247	folder 2646
George Franklin Chronological Files, 1977 January	box 248	folder 2647
George Franklin Chronological Files, 1977 February	box 248	folder 2648
George Franklin Chronological Files, 1977 March	box 248	folder 2649
George Franklin Chronological Files, 1977 April	box 248	folder 2650
George Franklin Chronological Files, 1977 May	box 249	folder 2651

George Franklin Chronological Files, 1977 June	box 249	folder 2652
George Franklin Chronological Files, 1977 July	box 249	folder 2653
George Franklin Chronological Files, 1977 August	box 249	folder 2654
George Franklin Chronological Files, 1977 September	box 249	folder 2655
George Franklin Chronological Files, 1977 October	box 250	folder 2656
George Franklin Chronological Files, 1977 November	box 250	folder 2657
George Franklin Chronological Files, 1977 December	box 250	folder 2658
George Franklin Chronological Files, 1978 January	box 251	folder 2659
George Franklin Chronological Files, 1978 February	box 251	folder 2660
George Franklin Chronological Files, 1978 March	box 251	folder 2661
George Franklin Chronological Files, 1978 April	box 251	folder 2662
George Franklin Chronological Files, 1978 May	box 252	folder 2663
George Franklin Chronological Files, 1978 June	box 252	folder 2664
George Franklin Chronological Files, 1978 July	box 252	folder 2665
George Franklin Chronological Files, 1978 August	box 252	folder 2666
George Franklin Chronological Files, 1978 September	box 252	folder 2667
George Franklin Chronological Files, 1978 October	box 253	folder 2668
George Franklin Chronological Files, 1978 November	box 253	folder 2669
George Franklin Chronological Files, 1978 December	box 253	folder 2670
George Franklin Chronological Files, 1979 January	box 254	folder 2671
George Franklin Chronological Files, 1979-1981	box 254	folder 2671A
<u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b> Permanently Restricted - Confidential Material - Personnel		
George Franklin Chronological Files, 1979 February	box 254	folder 2672
George Franklin Chronological Files, 1979 March	box 254	folder 2673
George Franklin Chronological Files, 1979 April	box 255	folder 2674
George Franklin Chronological Files, 1979 May	box 255	folder 2675
George Franklin Chronological Files, 1979 June	box 255	folder 2676
George Franklin Chronological Files, 1979 July	box 255	folder 2677
George Franklin Chronological Files, 1979 August	box 256	folder 2678
George Franklin Chronological Files, 1979 September		

	box 256	folder 2679
George Franklin Chronological Files, 1979 October	box 256	folder 2680
George Franklin Chronological Files, 1979 November	box 256	folder 2681
George Franklin Chronological Files, 1979 December	box 256	folder 2682
George Franklin Chronological Files, 1980 January	box 257	folder 2683
George Franklin Chronological Files, 1980 February	box 257	folder 2684
George Franklin Chronological Files, 1980 March	box 257	folder 2685
George Franklin Chronological Files, 1980 April	box 257	folder 2686
George Franklin Chronological Files, 1980 May	box 257	folder 2687
George Franklin Chronological Files, 1980 June	box 257	folder 2688
George Franklin Chronological Files, 1980 July	box 257	folder 2689
George Franklin Chronological Files, 1980 August	box 257	folder 2690
George Franklin Chronological Files, 1980 September	box 258	folder 2691
George Franklin Chronological Files, 1980 October	box 258	folder 2692
George Franklin Chronological Files, 1980 November	box 258	folder 2693
George Franklin Chronological Files, 1980 December	box 258	folder 2694
George Franklin Chronological Files, 1981 January	box 258	folder 2695
George Franklin Chronological Files, 1981 February	box 258	folder 2696
George Franklin Chronological Files, 1981 March	box 258	folder 2697
George Franklin Chronological Files, 1981 April	box 258	folder 2698
George Franklin Chronological Files, 1981 May	box 259	folder 2699
George Franklin Chronological Files, 1981 June	box 259	folder 2700
George Franklin Chronological Files, 1981 July	box 259	folder 2701
George Franklin Chronological Files, 1981 August	box 259	folder 2702
George Franklin Chronological Files, 1979-1981	box 259	folder 2702A
<u>Conditions Governing Access note:</u>		
<b>Conditions Governing Access note</b>		
Permanently Restricted - Confidential Material - Personnel		
George Franklin Chronological Files, 1981 September	box 259	folder 2703
George Franklin Chronological Files, 1981 October	box 259	folder 2704
George Franklin Chronological Files, 1981 November	box 259	folder 2705
George Franklin Chronological Files, 1981 December	box 259	folder 2706

George Franklin Chronological Files, 1982 January	box 260	folder 2707
George Franklin Chronological Files, 1982 February	box 260	folder 2708
George Franklin Chronological Files, 1982 March	box 260	folder 2709
George Franklin Chronological Files, 1982 April	box 260	folder 2710
George Franklin Chronological Files, 1982 May	box 260	folder 2711
George Franklin Chronological Files, 1982 June	box 260	folder 2712
George Franklin Chronological Files, 1982 July	box 260	folder 2713
George Franklin Chronological Files, 1982 August	box 261	folder 2714
George Franklin Chronological Files, 1982 September	box 261	folder 2715
George Franklin Chronological Files, 1982 October	box 261	folder 2716
George Franklin Chronological Files, 1982 November	box 261	folder 2717
George Franklin Chronological Files, 1982 December	box 261	folder 2718
George Franklin Chronological Files, 1983 January	box 261	folder 2719
George Franklin Chronological Files, 1983 February	box 262	folder 2720
George Franklin Chronological Files, 1983 March	box 262	folder 2721
George Franklin Chronological Files, 1983 April	box 262	folder 2722
George Franklin Chronological Files, 1983 May	box 262	folder 2723
George Franklin Chronological Files, 1983 June	box 262	folder 2724
George Franklin Chronological Files, 1983 July	box 262	folder 2725
George Franklin Chronological Files, 1983 August	box 262	folder 2726
George Franklin Chronological Files, 1983 September	box 262	folder 2727
George Franklin Chronological Files, 1983 October	box 263	folder 2728
George Franklin Chronological Files, 1983 November	box 263	folder 2729
George Franklin Chronological Files, 1983 December	box 263	folder 2730
George Franklin - Georges Berthoin/George S. Franklin Meeting, 1981 July 10	box 263	folder 2731
George Franklin - Trip, Los Angeles, 1981 April	box 263	folder 2732
George Franklin - Franklin New York Times Article, 1958	box 263	folder 2732A
George Franklin - Trip - December 9, 1980 - Congressional Committees, 1980 December 9	box 263	folder 2733
George Franklin Chronological Files, 1984 January	box 263A	folder 3899

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George Franklin Chronological Files, 1984 February

box 263A

folder 3900

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1984 March

box 263A

folder 3901

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1984 April

box 263A

folder 3902

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1984 May

box 263A

folder 3903

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1984 June

box 263A

folder 3904

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1984 July

box 263A

folder 3905

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1984 August

box 263A

folder 3906

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1984 September

box 263A

folder 3907

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1984 October

box 263A

folder 3908

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1984 November

box 263A

folder 3909

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1984 December

box 263A

folder 3910

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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George Franklin Chronological Files, 1985 January

box 263A

folder 3911

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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George Franklin Chronological Files, 1985 February

box 263A

folder 3912

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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George Franklin Chronological Files, 1985 March

box 263A

folder 3913

Conditions Governing Access note:

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George Franklin Chronological Files, 1985 April

box 263A

folder 3914

Conditions Governing Access note:

**Conditions Governing Access note**

CLOSED to scholarly research due to preservation concerns.

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George Franklin Chronological Files, 1985 May

box 263A

folder 3915

Conditions Governing Access note:

**Conditions Governing Access note**

CLOSED to scholarly research due to preservation concerns.

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George Franklin Chronological Files, 1985 June

box 263A

folder 3916

Conditions Governing Access note:

**Conditions Governing Access note**

CLOSED to scholarly research due to preservation concerns.

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George Franklin Chronological Files, 1985 July

box 263A

folder 3917

Conditions Governing Access note:

**Conditions Governing Access note**

CLOSED to scholarly research due to preservation concerns.

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George Franklin Chronological Files, 1985 August

box 263A

folder 3918

Conditions Governing Access note:

**Conditions Governing Access note**

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George Franklin Chronological Files, 1985 September

box 263A

folder 3919

Conditions Governing Access note:

**Conditions Governing Access note**

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George Franklin Chronological Files, 1985 October

box 263A

folder 3920

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1985 November

box 263A

folder 3921

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1985 December

box 263A

folder 3922

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1986 January

box 263A

folder 3923

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1986 February

box 263A

folder 3924

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1986 March

box 263A

folder 3925

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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George Franklin Chronological Files, 1986 April

box 263A

folder 3926

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1986 May

box 263A

folder 3927

Conditions Governing Access note:



## Conditions Governing Access note

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George Franklin Chronological Files, 1986 June

box 263A

folder 3928

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1986 July

box 263A

folder 3929

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1986 August

box 263A

folder 3930

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1986 September

box 263A

folder 3931

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1986 October

box 263B

folder 3932

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1986 November

box 263B

folder 3933

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1986 December

box 263B

folder 3934

Conditions Governing Access note:

**Conditions Governing Access note**

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George Franklin Chronological Files, 1987 January

box 263B

folder 3935

Conditions Governing Access note:

**Conditions Governing Access note**

CLOSED to scholarly research due to preservation concerns.

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George Franklin Chronological Files, 1987 February

box 263B

folder 3936

Conditions Governing Access note:

**Conditions Governing Access note**

CLOSED to scholarly research due to preservation concerns.

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George Franklin Chronological Files, 1987 March

box 263B

folder 3937

Conditions Governing Access note:

**Conditions Governing Access note**

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George Franklin Chronological Files, 1987 April

box 263B

folder 3938

Conditions Governing Access note:

**Conditions Governing Access note**

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George Franklin Chronological Files, 1987 May

box 263B

folder 3939

Conditions Governing Access note:

**Conditions Governing Access note**

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George Franklin Chronological Files, 1987 June

box 263B

folder 3940

Conditions Governing Access note:

**Conditions Governing Access note**

CLOSED to scholarly research due to preservation concerns.

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George Franklin Chronological Files, 1987 July

box 263B

folder 3941

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1987 August

box 263B

folder 3942

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1987 September

box 263B

folder 3943

Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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George Franklin Chronological Files, 1987 October

box 263B

folder 3944

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1987 November

box 263B

folder 3945

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1987 December

box 263B

folder 3946

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1988 January

box 263B

folder 3947

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1988 February

box 263B

folder 3948

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1988 March

box 263B

folder 3949

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1988 April

box 263B

folder 3950

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1988 May

box 263B

folder 3951

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1988 June-December

box 263B

folder 3952

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1989 January-April

box 263B

folder 3953

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1989 May-August

box 263B

folder 3954

Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1989 September-December

box 263B

folder 3955

Conditions Governing Access note:

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George Franklin Chronological Files, 1990 January-March	box 263B	folder 3956
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Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1990 April-June	box 263B	folder 3957
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Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1990 July-September	box 263B	folder 3958
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Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1990 October-December	box 263B	folder 3959
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Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1991 January-March	box 263B	folder 3960
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Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1992	box 263B	folder 3961
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Conditions Governing Access note:

## Conditions Governing Access note

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George Franklin Chronological Files, 1993	box 263B	folder 3962
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George Franklin Chronological Files, 1994

box 263B

folder 3963

Conditions Governing Access note:

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George Franklin - Trilateral Related Correspondence, 1986

box 263C

folder 3964

Conditions Governing Access note:

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George Franklin, 1986

box 263C

folder 3965

Conditions Governing Access note:

**Conditions Governing Access note**

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George Franklin, 1988

box 263C

folder 3966

Conditions Governing Access note:

**Conditions Governing Access note**

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George Franklin - Plenary Meeting, San Francisco, 1987

box 263C

folder 3967

Conditions Governing Access note:

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George Franklin/Charles Heck Alphabetical Files

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Franklin/Heck Alphabetical Files - A, 1987-1990

box 264

folder 2734

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Franklin/Heck Alphabetical Files - Atlantic Institute, 1987-1988

box 264

folder 2735

---

Franklin/Heck Alphabetical Files - B, 1985-1993

box 264

folder 2736

---

Franklin/Heck Alphabetical Files - Berthoin, 1978-1993

box 264

folder 2737

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Franklin/Heck Alphabetical Files - Blumenthal, W. Michael, 1979

box 264

folder 2738

Franklin/Heck Alphabetical Files - Brezinski, Zbignew, 1978-1985	box 264	folder 2739
Franklin/Heck Alphabetical Files - C, 1984-1992	box 264	folder 2740
Franklin/Heck Alphabetical Files - Council on Foreign Relations-Trilateral Meeting, 1988 February 22	box 265	folder 2741
Franklin/Heck Alphabetical Files - Chairmen Op-Eds	box 265	folder 2742
Franklin/Heck Alphabetical Files - Colombo, Umberto, 1978-1985	box 265	folder 2743
Franklin/Heck Alphabetical Files - Columbia, 1977-1985	box 265	folder 2744
Franklin/Heck Alphabetical Files - Conant, Melvin, 1978-1982	box 265	folder 2745
Franklin/Heck Alphabetical Files - Commissioners, 1984	box 265	folder 2746
Franklin/Heck Alphabetical Files - D, 1984-1994	box 265	folder 2747
Franklin/Heck Alphabetical Files - E, 1984-1995	box 265	folder 2748
Franklin/Heck Alphabetical Files - F, 1984-1994	box 265	folder 2749
Franklin/Heck Alphabetical Files - Frankel, Andrew - Trip, 1987	box 265	folder 2750
Franklin/Heck Alphabetical Files - Franklin, George, 1981-1982	box 265	folder 2751
Franklin/Heck Alphabetical Files - G, 1984-1994	box 265	folder 2752
Franklin/Heck Alphabetical Files - H, 1985-1995	box 265	folder 2753
Franklin/Heck Alphabetical Files - Heck, Charles, 1982-1984	box 266	folder 2754
Franklin/Heck Alphabetical Files - Hill, Roger, 1978-1985	box 266	folder 2755
Franklin/Heck Alphabetical Files - Hillenbrand, 1978-1979 Martin	box 266	folder 2756
Franklin/Heck Alphabetical Files - I, 1984-1995	box 266	folder 2757
Franklin/Heck Alphabetical Files - Independent Sector, 1980-1986	box 266	folder 2758
Franklin/Heck Alphabetical Files - Ikenberry, John, 1995-1996	box 266	folder 2759
Franklin/Heck Alphabetical Files - International Affairs Council, 1984	box 266	folder 2760
Franklin/Heck Alphabetical Files - International Triangle, 1982	box 266	folder 2761
Franklin/Heck Alphabetical Files - J, 1984-1987	box 266	folder 2762
Franklin/Heck Alphabetical Files - J, 1996	box 266	folder 2762A
Franklin/Heck Alphabetical Files - K, 1984-1995	box 266	folder 2763

Franklin/Heck Alphabetical Files, Kissinger, Henry, 1979-1985	box 266	folder 2763A
Franklin/Heck Alphabetical Files - K, 1996-1998	box 266	folder 2763B
Franklin/Heck Alphabetical Files - L, 1984-1995	box 266	folder 2764
Franklin/Heck Alphabetical Files - L, 1996-1997	box 266	folder 2764A
Franklin/Heck Alphabetical Files - M, 1984-1995	box 266	folder 2765
Franklin/Heck Alphabetical Files - M, 1997	box 266	folder 2765A
Franklin/Heck Alphabetical Files - Mc, 1984-1993	box 266	folder 2766
Franklin/Heck Alphabetical Files - MacLaury, 1978-1983	box 267	folder 2767
Franklin/Heck Alphabetical Files - N, 1984-1993	box 267	folder 2768
Franklin/Heck Alphabetical Files - Newman, Dan, 1987-1989	box 267	folder 2769
Franklin/Heck Alphabetical Files - O, 1984-1996	box 267	folder 2770
Franklin/Heck Alphabetical Files - Owen, Henry, 1978-1991	box 267	folder 2771
Franklin/Heck Alphabetical Files - Henry Owen and River Club, 1981-1982	box 267	folder 2772
Franklin/Heck Alphabetical Files - P, 1982-1997	box 267	folder 2773
Franklin/Heck Alphabetical Files - Q, 1987	box 267	folder 2774
Franklin/Heck Alphabetical Files - R, 1984-1995	box 267	folder 2775
Franklin/Heck Alphabetical Files - R, 1997	box 267	folder 2775A
Franklin/Heck Alphabetical Files - Reed, J.V., Jr., 1978-1991	box 267	folder 2776
Franklin/Heck Alphabetical Files - Rockefeller, David, 1988-1994	box 267	folder 2777
Franklin/Heck Alphabetical Files - Rockefeller, David, 1984-1991	box 268	folder 2778
Franklin/Heck Alphabetical Files - Rockefeller, David, 1985-1994	box 268	folder 2779
Franklin/Heck Alphabetical Files - Rockefeller, David - Salutations, 1979-1981	box 268	folder 2780
Franklin/Heck Alphabetical Files - Revay, Paul, 1981-1992	box 268	folder 2781
Franklin/Heck Alphabetical Files - S, 1982-1995	box 268	folder 2782
Franklin/Heck Alphabetical Files - Sauzey, Francois, 1976-1995	box 268	folder 2783
Franklin/Heck Alphabetical Files - Sauzey, Francois, 1997	box 268	folder 2783A
Franklin/Heck Alphabetical Files - Scranton, William W., 1978-1987	box 268	folder 2784
Franklin/Heck Alphabetical Files - Seitel, Fraser, 1978-1982		



	box 268	folder 2785
Franklin/Heck Alphabetical Files - Sharp, Mitchell, 1978-1986	box 268	folder 2786
Franklin/Heck Alphabetical Files - Smith, Gerard C., 1977-1985	box 268	folder 2787
Franklin/Heck Alphabetical Files - Spotlight Negative TC	box 268	folder 2788
Franklin/Heck Alphabetical Files - T, 1986-1988	box 269	folder 2789
Franklin/Heck Alphabetical Files - Tadashi, 1984-1992	box 269	folder 2790
Franklin/Heck Alphabetical Files - Taft, Robert, Jr., 1978-1980	box 269	folder 2791
Franklin/Heck Alphabetical Files - U, 1984-1992	box 269	folder 2792
Franklin/Heck Alphabetical Files - Volcker, Paul, 1991-1992	box 269	folder 2793
Franklin/Heck Alphabetical Files - Volcker, Paul, 1994-1995	box 269	folder 2794
Franklin/Heck Alphabetical Files - Volcker, Paul, 1996-1997	box 269	folder 2794A
Franklin/Heck Alphabetical Files - W, 1987-1994	box 269	folder 2795
Franklin/Heck Alphabetical Files - Warren, Jake, 1987-1991	box 269	folder 2796
Franklin/Heck Alphabetical Files - Watanabe, Takeshi, 1978-1989	box 270	folder 2797
Franklin/Heck Alphabetical Files - Who's Who in America, 1984	box 270	folder 2798
Franklin/Heck Alphabetical Files - X-Y-Z, 1984-1995	box 270	folder 2799
Franklin/Heck Alphabetical Files - Yamashita, Isamu, 1985-1986	box 270	folder 2800

## Charles B. Heck

Title/Description	Instances	
Charles B. Heck Files - Chronological Calendars of Events, 1974	box 271	folder 2801
Charles B. Heck Files - Chronological Correspondence, 1974 September-1976 July	box 271	folder 2802
Charles B. Heck Files - Chronological Memos, 1975 August-1976 June	box 271	folder 2803
Charles B. Heck Files - Chronological Correspondence, 1975 February-1976 June	box 271	folder 2804
Charles B. Heck Files - Chronological Correspondence and Memos, 1976 October-1977 January	box 271	folder 2805
Charles B. Heck Files - Chronological, 1977 February-March	box 271	folder 2806
Charles B. Heck Files - Chronological, 1977 April	box 271	folder 2807

Charles B. Heck Files - Chronological, 1977 May	box 272	folder 2808
Charles B. Heck Files - Outside Correspondence, 1977 January-June	box 272	folder 2809
Charles B. Heck Files - Outside Correspondence, 1977 July-December	box 272	folder 2810
Charles B. Heck Files - Response to Inquiries, 1977 January-June	box 272	folder 2811
Charles B. Heck Files - Memos to CBH, 1974-1979	box 272	folder 2812
Charles B. Heck Files - Outside Correspondence, 1979	box 272	folder 2813
Charles B. Heck Files - Chronological, 1979 November-December	box 273	folder 2814
Charles B. Heck Files - Chronological, 1979 August-October	box 273	folder 2815
Charles B. Heck Files - Chronological, 1979 May-July	box 273	folder 2816
Charles B. Heck Files - Chronological, 1979 March-April	box 273	folder 2817
Charles B. Heck Files - Chronological, 1979 January-February	box 273	folder 2818
Charles B. Heck Files - Memos to CBH, 1979 June-December	box 273	folder 2819
Charles B. Heck Files - General Correspondence, 1981-1982	box 274	folder 2820
Charles B. Heck Files - Memos to CBH, 1981-1982	box 274	folder 2821
Charles B. Heck Files - Incoming Miscellany, 1981-1982	box 274	folder 2822
Charles B. Heck Files - Interoffice Memoranda, 1976-1982	box 274	folder 2822A
Charles B. Heck Files - Outside Correspondence, Inquiries, etc., 1981	box 274	folder 2823
Charles B. Heck Files - Outside Correspondence, Answer to Inquires, 1979 December-1980 May	box 274	folder 2823A
Charles B. Heck Files - Outside Correspondence, 1980 May-October	box 274	folder 2823B
Charles B. Heck Files - Response to Inquiries, 1977 July-December	box 275	folder 2824
Charles B. Heck Files - Trilateral Commission Brochure, 1977-1978	box 275	folder 2824A
Charles B. Heck Files - Response to Inquiries, 1978 January-1979 January	box 275	folder 2825
Charles B. Heck Files - Outside Correspondence, 1978	box 275	folder 2826
Charles B. Heck Files - Outside Correspondence, Response to Inquiries, 1979	box 275	folder 2827
Charles B. Heck Files - Chronological, 1977 October	box 276	folder 2828
Charles B. Heck Files - Chronological, 1977 November	box 276	folder 2829

Charles B. Heck Files - Chronological, 1977 December	box 276	folder 2830
Charles B. Heck Files - Chronological, 1978 January	box 276	folder 2831
Charles B. Heck Files - Chronological, 1978 February-May	box 276	folder 2832
Charles B. Heck Files - Chronological, 1978 June-August	box 276	folder 2833
Charles B. Heck Files - Chronological, 1978 September-October	box 276	folder 2834
Charles B. Heck Files - Chronological, 1978 November-December	box 276	folder 2835
Charles B. Heck Files - Chronological, 1980 January-April	box 277	folder 2836
Charles B. Heck Files - Chronological, 1980 May-June	box 277	folder 2837
Charles B. Heck Files - Chronological, 1980 July-October	box 277	folder 2838
Charles B. Heck Files - Chronological, 1980 November-December	box 277	folder 2839
Charles B. Heck Files - Chronological, 1981 January-May	box 277	folder 2840
Charles B. Heck Files - Chronological, 1981 June-July	box 277	folder 2841
Charles B. Heck Files - Chronological, 1981 August-December	box 277	folder 2842
Charles B. Heck Files - Chronological, 1981 October-November	box 278	folder 2843
Charles B. Heck Files - Chronological, 1981 December	box 278	folder 2844
Charles B. Heck Files - Chronological, 1982 January-March	box 278	folder 2845
Charles B. Heck Files - Chronological, 1982 April-May	box 278	folder 2846
Charles B. Heck Files - Chronological, 1982 June	box 278	folder 2847
Charles B. Heck Files - Chronological, 1982 July	box 278	folder 2848
Charles B. Heck Files - Chronological, 1982 August	box 278	folder 2849
Charles B. Heck Files - Chronological, 1982 September	box 278	folder 2850
Charles B. Heck Files - Chronological, 1982 October	box 278	folder 2851
Charles B. Heck Files - Chronological, 1982 November	box 278	folder 2852
Charles B. Heck Files - Chronological, 1982 December	box 278	folder 2853
Charles B. Heck Files - Chronological, 1983 January	box 278	folder 2854
Charles B. Heck Files - Chronological, 1983 February	box 278	folder 2854A
Charles B. Heck Files - Chronological, 1983 March	box 278	folder 2855
Charles B. Heck Files - Chronological, 1983 April	box 278	folder 2856
Charles B. Heck Files - Chronological, 1983 May	box 278	folder 2857
Charles B. Heck Files - Chronological, 1983 June	box 278	folder 2858
Charles B. Heck Files - Chronological, 1983 July	box 279	folder 2859

Charles B. Heck Files - Chronological, 1983 August	box 279	folder 2869
Charles B. Heck Files - Chronological, 1983 September	box 279	folder 2861
Charles B. Heck Files - Chronological, 1983 October	box 279	folder 2862
Charles B. Heck Files - Chronological, 1983 November	box 279	folder 2863
Charles B. Heck Files - Chronological, 1983 December	box 279	folder 2864
Charles B. Heck Files - Chronological, 1984 January	box 279	folder 2865
Charles B. Heck Files - Chronological, 1984 February	box 279	folder 2866
Charles B. Heck Files - Chronological, 1984 March	box 279	folder 2867
Charles B. Heck Files - Chronological, 1984 April	box 279	folder 2868
Charles B. Heck Files - Chronological, 1984 May	box 279	folder 2869
Charles B. Heck Files - Chronological, 1984 June	box 279	folder 2870
Charles B. Heck Files - Chronological, 1984 July	box 280	folder 2871
Charles B. Heck Files - Chronological, 1984 August	box 280	folder 2872
Charles B. Heck Files - Chronological, 1984 September	box 280	folder 2873
Charles B. Heck Files - Chronological, 1984 October	box 280	folder 2874
Charles B. Heck Files - Chronological, 1984 November	box 280	folder 2875
Charles B. Heck Files - Chronological, 1984 December	box 280	folder 2876
Charles B. Heck Files - Chronological, 1985 January	box 280	folder 2877
Charles B. Heck Files - Chronological, 1985 February	box 280	folder 2878
Charles B. Heck Files - Chronological, 1985 March	box 280	folder 2879
Charles B. Heck Files - Chronological, 1985 April	box 280	folder 2880
Charles B. Heck Files - Chronological, 1985 May	box 281	folder 2881
Charles B. Heck Files - Chronological Files, 1985 May	box 281	folder 2882
Charles B. Heck Files - Chronological Files, 1985 June	box 281	folder 2883
Charles B. Heck Files - Chronological Files, 1985 July	box 281	folder 2884
Charles B. Heck Files - Chronological Files, 1985 August	box 281	folder 2885
Charles B. Heck Files - Chronological Files, 1985 September	box 281	folder 2886
Charles B. Heck Files - Chronological Files, 1985 October	box 281	folder 2887
Charles B. Heck Files - Chronological Files, 1985 November	box 281	folder 2888
Charles B. Heck Files - Chronological, 1985 December	box 282	folder 2889
Charles B. Heck Files - Chronological, 1986 January	box 282	folder 2890
Charles B. Heck Files - Chronological, 1986 February	box 282	folder 2891

Charles B. Heck Files - Chronological, 1986 March	box 282	folder 2892
Charles B. Heck Files - Chronological, 1986 April	box 282	folder 2893
Charles B. Heck Files - Chronological, 1986 May	box 282	folder 2894
Charles B. Heck Files - Chronological, 1986 May	box 282	folder 2895
Charles B. Heck Files - Chronological, 1986 June	box 282	folder 2896
Charles B. Heck Files - Chronological, 1986 July	box 283	folder 2897
Charles B. Heck Files - Chronological, 1986 August	box 283	folder 2898
Charles B. Heck Files - Chronological, 1986 September	box 283	folder 2899
Charles B. Heck Files - Chronological, 1986 October	box 284	folder 2900
Charles B. Heck Files - Chronological, 1986 November	box 284	folder 2901
Charles B. Heck Files - Chronological, 1986 December	box 284	folder 2902
Charles B. Heck Files - Chronological, 1987 January	box 285	folder 2903
Charles B. Heck Files - Chronological, 1987 March	box 285	folder 2904
Charles B. Heck Files - Chronological, 1987 April	box 285	folder 2905
Charles B. Heck Files - Chronological, 1987 May	box 286	folder 2906
Charles B. Heck Files - Chronological, 1987 June	box 286	folder 2907
Charles B. Heck Files - Chronological, 1987 July	box 286	folder 2908
Charles B. Heck Files - Chronological, 1987 August	box 286	folder 2909
Charles B. Heck Files - Chronological, 1987 September	box 286	folder 2910
Charles B. Heck Files - Chronological, 1987 October	box 286	folder 2911
Charles B. Heck Files - Chronological, 1987 November	box 286A	folder 3968

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Charles B. Heck Files - Chronological, 1987 December	box 286A	folder 3969
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Charles B. Heck Files - Chronological, 1991 January	box 286A	folder 3970
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Charles B. Heck Files - Chronological, 1991 February	box 286A	folder 3971
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Charles B. Heck Files - Chronological, 1991 March	box 286A	folder 3972
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Charles B. Heck Files - Chronological, 1991 April	box 286A	folder 3973
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Charles B. Heck Files - Chronological, 1991 May	box 286A	folder 3974
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Charles B. Heck Files - Chronological, 1991 June	box 286A	folder 3975
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Charles B. Heck Files - Projects - Environmental Project, 1990	box 286A	folder 3976
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Charles B. Heck Files - Projects	box 286A	folder 3977
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**Conditions Governing Access note**

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Charles B. Heck Files - Chronological, 1988 January	box 287	folder 2912
Charles B. Heck Files - Chronological, 1988 February	box 287	folder 2913
Charles B. Heck Files - Chronological, 1988 March	box 287	folder 2914
Charles B. Heck Files - Chronological, 1988 April	box 287	folder 2915
Charles B. Heck Files - Chronological, 1988 May	box 287	folder 2916
Charles B. Heck Files - Chronological, 1988 June	box 288	folder 2917
Charles B. Heck Files - Chronological, 1988 July	box 288	folder 2918
Charles B. Heck Files - Chronological, 1988 August	box 288	folder 2919
Charles B. Heck Files - Chronological, 1988 September	box 288	folder 2920
Charles B. Heck Files - Chronological, 1988 September	box 288	folder 2921
Charles B. Heck Files - Chronological, 1988 September	box 288	folder 2922
Charles B. Heck Files - Chronological, 1988 October	box 288	folder 2923
Charles B. Heck Files - Chronological, 1988 November	box 288	folder 2924
Charles B. Heck Files - Chronological, 1988 November	box 288	folder 2925
Charles B. Heck Files - Chronological, 1988 December	box 289	folder 2926
Charles B. Heck Files - Chronological, 1989 January	box 289	folder 2927
Charles B. Heck Files - Chronological, 1989 February	box 289	folder 2928
Charles B. Heck Files - Chronological, 1989 March	box 289	folder 2929
Charles B. Heck Files - Chronological, 1989 April	box 289	folder 2930
Charles B. Heck Files - Chronological, 1989 May	box 290	folder 2931
Charles B. Heck Files - Chronological, 1989 June	box 290	folder 2932
Charles B. Heck Files - Chronological, 1989 July-August	box 290	folder 2933
Charles B. Heck Files - Chronological, 1989 September	box 290	folder 2934
Charles B. Heck Files - Chronological, 1989 October	box 291	folder 2935
Charles B. Heck Files - Chronological, 1989 November	box 291	folder 2936
Charles B. Heck Files - Chronological, 1989 December	box 291	folder 2937
Charles B. Heck Files - Chronological, 1990 January	box 291	folder 2938
Charles B. Heck Files - Chronological, 1990 February	box 291	folder 2939
Charles B. Heck Files - Chronological, 1990 March	box 291	folder 2940

Charles B. Heck Files - Chronological, 1990 April	box 292	folder 2941
Charles B. Heck Files - Chronological, 1990 May	box 292	folder 2942
Charles B. Heck Files - Chronological, 1990 June	box 292	folder 2943
Charles B. Heck Files - Chronological, 1990 July	box 292	folder 2944
Charles B. Heck Files - Chronological, 1990 August	box 292	folder 2945
Charles B. Heck Files - Chronological, 1990 September	box 292	folder 2946
Charles B. Heck Files - Chronological, 1990 October	box 293	folder 2947
Charles B. Heck Files - Chronological, 1990 November	box 293	folder 2948
Charles B. Heck Files - Chronological, 1990 December	box 293	folder 2949
Charles B. Heck Files - Chronological, 1997 May	box 293	folder 2950
Charles B. Heck Files - Chronological, 1997 June-August	box 293	folder 2951
Charles B. Heck Files - Chronological, 1997 September	box 293	folder 2952
Charles B. Heck Files - Chronological, 1997 October	box 293	folder 2953
Charles B. Heck Files - Chronological, 1997 December	box 294	folder 2954
Charles B. Heck Files - Chronological, 1998 January	box 294	folder 2955
Charles B. Heck Files - Chronological, 1998 February	box 294	folder 2956
Charles B. Heck Files - Chronological, 1998 February	box 294	folder 2957
Charles B. Heck Files - Chronological, 1998 March	box 294	folder 2958
Charles B. Heck Files - Chronological, 1998 May	box 294	folder 2959
Charles B. Heck Files - Chronological, 1998 March-June	box 294	folder 2960
Charles B. Heck Files - Chronological, 1998 July-September	box 294	folder 2961
Charles B. Heck Files - Chronological, 1998 July	box 295	folder 2962
Charles B. Heck Files - Chronological, 1998 August	box 295	folder 2963
Charles B. Heck Files - Chronological, 1998 September-October	box 295	folder 2964
Charles B. Heck Files - Chronological, 1998 November-December	box 295	folder 2965
Charles B. Heck Files - Meeting, Pocantico - Summary, 1972 July	box 296	folder 2966
Charles B. Heck Files - Executive Committee, First Meeting, Tokyo, 1973	box 296	folder 2967
Charles B. Heck Files - Trustees Minutes, 1973-1977	box 296	folder 2968
Charles B. Heck Files - Annual Reports, 1973-1977	box 296	folder 2969
Charles B. Heck Files - Principal Meetings List, 1973-1981	box 296	folder 2970



Charles B. Heck Files - North American Meeting, 1973 October 15-16	box 296	folder 2971
Charles B. Heck Files, 1974	box 296	folder 2972
Charles B. Heck Files, 1975	box 296	folder 2973
Charles B. Heck Files, 1976	box 296	folder 2974
Charles B. Heck Files, 1977	box 296	folder 2975
Charles B. Heck Files, 1978	box 296	folder 2976
Charles B. Heck Files - Possible Meeting in Developing Country, 1979	box 296	folder 2977
Charles B. Heck Files - Atlantic Institute - 'Fair Share?', 1979	box 296	folder 2978
Charles B. Heck Files, 1980	box 296	folder 2979
Charles B. Heck Files, 1981-1982	box 296	folder 2980

### Scope and Contents note

Includes: European Regional Meeting, Berlin

Charles B. Heck Files - Chairman, ExComm, Annual Meeting, 1982	box 297	folder 2981
Charles B. Heck Files - Possible New Task Force Topics	box 297	folder 2982
Charles B. Heck Files - Future of the Commission, 1983	box 297	folder 2983
Charles B. Heck Files - Future of the Commission, 1983	box 297	folder 2983A
Charles B. Heck Files - Finance Letters, Contributions, 1984	box 297	folder 2984
Charles B. Heck Files - Member Responses on Future, 1984	box 297	folder 2985
Charles B. Heck Files - Plenary Meeting, Tokyo - Chairmen's Statements, 1985	box 297	folder 2986
Charles B. Heck Files - Plenary Meeting, Tokyo - Speeches, 1985	box 297	folder 2987
Charles B. Heck Files - Plenary Meeting, Tokyo - Afternoon Discussions on Second Day, 1985	box 297	folder 2988
Charles B. Heck Files - Plenary Meeting, Tokyo - Miscellaneous, 1985	box 297	folder 2989
Charles B. Heck Files - Plenary Meeting, Rome, 1983 April	box 298	folder 2990
Charles B. Heck Files - Plenary Meeting, Washington, D.C., 1984	box 298	folder 2991
Charles B. Heck Files - Plenary Meeting, Washington, D.C. - White House, 1984	box 298	folder 2991A
Charles B. Heck Files - Plenary Meeting, Washington, D.C. - Drivers, 1984	box 298	folder 2992

Charles B. Heck Files - Plenary Meeting, Washington, D.C. - Speech, Program and List of Participants, 1984	box 298	folder 2993
Charles B. Heck Files - Proposed Membership to Council on Foreign Relations	box 298	folder 2994
Charles B. Heck Files - European Trip, 1981 Fall	box 298	folder 2995
Charles B. Heck Files - Meetings, 1974-1981	box 299	folder 2996
Charles B. Heck Files - Phone Conversations, Memos, 1975-1976	box 299	folder 2996A
Charles B. Heck Files - Correspondence, 1974-1975	box 299	folder 2996B
Charles B. Heck Files - Washington, D.C. - Interpreters, 1974	box 299	folder 2997
Charles B. Heck Files - Joseph Reed	box 299	folder 2998
Charles B. Heck Files - Bill Martin	box 299	folder 2999
Charles B. Heck Files - Strategic Air Command Headquarters Trip, 1976 February	box 299	folder 3000
Charles B. Heck Files - Structural Reform of Commission, 1976	box 299	folder 3001
Charles B. Heck Files - Alternatives for Future of TC, 1975	box 299	folder 3002
Charles B. Heck Files - Possible TC Merger, 1977	box 299	folder 3003
Charles B. Heck Files - Future of CFR as stated by Win Lord, 1977	box 299	folder 3004
Charles B. Heck Files - David Rockefeller Itinerary, 1983 November 11-16	box 299	folder 3005
Charles B. Heck Files - David Rockefeller - Meeting, Washington D.C., 1984	box 299	folder 3005A
Charles B. Heck Files - Task Forces, 1986	box 299	folder 3006
Charles B. Heck Files - Plenary Meeting, 1984	box 300	folder 3007
Charles B. Heck Files - Future of the Commission, 1984	box 300	folder 3008
Charles B. Heck Files - Employment Requests, Resumes	box 300	folder 3009
Charles B. Heck Files - Tadashi Hanami Papers	box 300	folder 3010
Charles B. Heck Files - Seuo Sekiguchi Papers	box 300	folder 3011
Charles B. Heck Files - Elke Thiel Papers	box 300	folder 3012
Charles B. Heck Files - Peter Jenkins April 10 Presentation	box 300	folder 3013
Charles B. Heck Files - Misc. Papers and Reports, 1978-1982	box 300	folder 3013A
Charles B. Heck Files - Masashi Nishihara - Japanese Defense Report, 1980	box 300	folder 3014
Charles B. Heck Files - Germany, 1982	box 301	folder 3015
Charles B. Heck Files - Hungary, 1982		

	box 301	folder 3016
Charles B. Heck Files - Frank Tugwell, 1980	box 301	folder 3017
Charles B. Heck Files - John Mugno, 1981	box 301	folder 3018
Charles B. Heck Files - Japan Clippings, 1978-1979	box 301	folder 3019
Charles B. Heck Files - Euro-Communism Embassy Paper	box 301	folder 3020
Charles B. Heck Files - Hanns Maull, 1975-1981	box 301	folder 3021
Charles B. Heck Files - Council on Foreign Relations, 1980-1981	box 301	folder 3022
Charles B. Heck Files - Possible Trilateral Research Institute on Foreign Affairs, 1982	box 301	folder 3023
Charles B. Heck Files - Olson and Immerman Papers, 1978-1980	box 301	folder 3024
Charles B. Heck Files - Henry Owen, 1982	box 301	folder 3025
Charles B. Heck Files - Ernesto Vellano, 1981-1982	box 301	folder 3026
Charles B. Heck Files - Trilateral Commission - Paris, 1981-1982	box 301	folder 3027
Charles B. Heck Files - Trilateral Commission - Tokyo, 1981	box 301	folder 3028
Charles B. Heck Files - Chairmen, Executive Committee, etc., 1984	box 301	folder 3029
Charles B. Heck Files - Chairmen, Executive Committee, etc., 1985	box 301	folder 3030
Charles B. Heck Files - Annual Reports, 1985-1990	box 301	folder 3031
Charles B. Heck Files - Chairmen, Executive Committee, etc., 1987	box 301	folder 3032
Charles B. Heck Files - Atlantic Institute, 1987-1988	box 302	folder 3033
Charles B. Heck Files - Trip to the Soviet Union, 1988	box 302	folder 3034
Charles B. Heck Files - Chairmen, Executive Committee, etc., 1988	box 302	folder 3035
Charles B. Heck Files - Chairmen, Executive Committee, etc., 1989	box 302	folder 3036
Charles B. Heck Files - Memo - Pub-Distribution, 1989 April 5	box 302	folder 3037
Charles B. Heck Files - Plenary Meeting, Mexico City - Discussions, 1990	box 302	folder 3038
Charles B. Heck Files - Plenary Meeting, Mexico City - Discussions, 1990	box 302	folder 3039
Charles B. Heck Files - Plenary Meeting, Mexico City - Summary, 1990	box 302	folder 3040

Charles B. Heck Files - Plenary Meeting, Washington, D.C., - Press, 1990	box 303	folder 3041
Charles B. Heck Files - Plenary Meeting, Washington, D.C. - Chairmen, Executive Committee, etc., 1990	box 303	folder 3042
Charles B. Heck Files - Plenary Meeting, Washington, D.C. - Questionnaire Responses (Future of TC), 1990	box 303	folder 3043
Charles B. Heck Files - Committee on Future, 1990	box 303	folder 3044
Charles B. Heck Files - Chairmen, Executive Committee, etc., 1991	box 303	folder 3045
Charles B. Heck Files - Chairmen, Executive Committee, etc., 1992	box 303	folder 3046
Charles B. Heck Files - Miscellaneous, 1992	box 303	folder 3047
Charles B. Heck Files - Chairmen, Executive Committee, etc., 1993	box 304	folder 3048
Charles B. Heck Files - Special N.A. Ex Comm/Trustees Meeting, 1993 October	box 304	folder 3048A
Charles B. Heck Files - Miscellaneous, 1993	box 304	folder 3049
Charles B. Heck Files - Chairmen, Executive Committee, etc., 1994	box 304	folder 3050
Charles B. Heck Files - Miscellaneous, 1994	box 304	folder 3051
Charles B. Heck Files - Chairmen, Executive Committee, etc., 1995	box 304	folder 3052
Charles B. Heck Files - Miscellaneous, 1995	box 304	folder 3053
Charles B. Heck Files - Chairmen, Executive Committee, etc., 1996	box 304	folder 3054
Charles B. Heck Files - Future of Trilateral, 1996	box 304	folder 3055
Charles B. Heck Files - Miscellaneous, 1996	box 304	folder 3056
Charles B. Heck Files - Chairmen, Executive Committee, etc., 1997	box 305	folder 3057
Charles B. Heck Files - Miscellaneous, 1997	box 305	folder 3058
Charles B. Heck Files - Chairmen, Executive Committee, etc., 1998	box 305	folder 3059
Charles B. Heck Files - 25th Anniversary Evening, 1998	box 305	folder 3060
Charles B. Heck Files - Canadian 25th Anniversary Evening, 1998	box 305	folder 3061
Charles B. Heck Files - Miscellaneous, 1998	box 305	folder 3062
Charles B. Heck Files - Chairmen, Executive Committee, etc., 1999	box 305	folder 3063

Charles B. Heck Files - Other, 1999	box 305	folder 3064
Charles B. Heck Files - Chairmen, Executive Committee, etc., 2000	box 305	folder 3065
Charles B. Heck Files - Tokyo Annual Meeting, 2000	box 305	folder 3066
Charles B. Heck Files - Brainstorming Dinner, Tokyo, 2000	box 305	folder 3067
Charles B. Heck Files - Other, 2000	box 306	folder 3068
Charles B. Heck Files - Website, Shared Databases, 1995-2000	box 306	folder 3069
Charles B. Heck Files - Central Asia, 2000	box 306	folder 3070
Charles B. Heck Files - Chairmen, Executive Committee, etc., 2001	box 306	folder 3071
Charles B. Heck Files - London Annual Meeting, 2001	box 306	folder 3072
Charles B. Heck Files - Other, 2001	box 306	folder 3073

## Assistants to Charles B. Heck

Title/Description	Instances	
Catherine Katin		
<b>Scope and Contents note</b>		
Assistant to Heck, 1977-		
CK Reports, Minutes, 1977-1979	box 306	folder 3074
Erna Prickett Adelson		
<b>Scope and Contents note</b>		
Assistant to Heck, 1979-1981.		
EPA Chronological, 1979 August-December	box 306	folder 3075
EPA Chronological, 1979 August-December	box 306	folder 3076
EPA Chronological, 1980 January-April	box 306	folder 3077
EPA Chronological, 1980	box 306	folder 3078
EPA Chronological, 1980 May-August	box 307	folder 3079
EPA Chronological, 1980 September-December	box 307	folder 3080
EHP Chronological, 1981	box 307	folder 3081
EHP Staff Meeting Minutes, 1980-1981	box 307	folder 3082
Memos to EHP, 1981	box 307	folder 3083
EPA Chronological, 1981 January-April	box 307	folder 3084

Daniel J. Newman

**Scope and Contents note**

Assistant to Heck, 1981-1984.

DJN Chronological, 1981 August-December	box 307	folder 3085
DJN Chronological, 1982 January-June	box 307	folder 3086
DJN Chronological, 1982 July-December	box 307	folder 3087
DJN Chronological, 1983 January-June	box 307	folder 3088
DJN Chronological, 1983 July-December	box 307	folder 3089
Memos to DJN, 1981-1983	box 307	folder 3090
DJN Chronological, 1984 January-June	box 307	folder 3091

**Christopher Makins**

<b>Title/Description</b>	<b>Instances</b>	
Christopher J. Makins Chronological Files, 1975 August <u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  CLOSED to scholarly research due to preservation concerns.	box 307A	folder 4142
Christopher J. Makins Chronological Files, 1975 September <u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  CLOSED to scholarly research due to preservation concerns.	box 307A	folder 4143
Christopher J. Makins Chronological Files, 1975 October <u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  CLOSED to scholarly research due to preservation concerns.	box 307A	folder 4144
Christopher J. Makins Chronological Files, 1975 November <u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  CLOSED to scholarly research due to preservation concerns.	box 307A	folder 4145
Christopher J. Makins Chronological Files, 1975 December <u>Conditions Governing Access note:</u>	box 307A	folder 4146

## Conditions Governing Access note

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Christopher J. Makins Chronological Files, 1976 January	box 307A	folder 4147
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Conditions Governing Access note:

## Conditions Governing Access note

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Christopher J. Makins Chronological Files, 1976 February	box 307A	folder 4148
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Conditions Governing Access note:

## Conditions Governing Access note

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Christopher J. Makins Chronological Files, 1976 March	box 307A	folder 4149
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Conditions Governing Access note:

## Conditions Governing Access note

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Christopher J. Makins Chronological Files, 1976 April	box 307A	folder 4150
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Conditions Governing Access note:

## Conditions Governing Access note

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Christopher J. Makins Chronological Files, 1976 May	box 307A	folder 4151
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Conditions Governing Access note:

## Conditions Governing Access note

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Christopher J. Makins Chronological Files, 1976 June	box 307A	folder 4152
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Conditions Governing Access note:

## Conditions Governing Access note

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Christopher J. Makins - Correspondence, 1976	box 307A	folder 4153
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**Conditions Governing Access note**

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**David Rockefeller**

Title/Description	Instances	
David Rockefeller - Speeches, 1977-1980	box 308	folder 3092
David Rockefeller Chronological Files		
<u>Conditions Governing Access note:</u>		
<b>Conditions Governing Access note</b>		
CLOSED to scholarly research due to preservation concerns.		
David Rockefeller Chronological Files, 1985 April-May	box 308A	folder 3978
<u>Conditions Governing Access note:</u>		
<b>Conditions Governing Access note</b>		
CLOSED to scholarly research due to preservation concerns.		
David Rockefeller Chronological Files, 1985 June	box 308A	folder 3979
<u>Conditions Governing Access note:</u>		
<b>Conditions Governing Access note</b>		
CLOSED to scholarly research due to preservation concerns.		
David Rockefeller Chronological Files, 1985 July-September	box 308A	folder 3980
<u>Conditions Governing Access note:</u>		
<b>Conditions Governing Access note</b>		
CLOSED to scholarly research due to preservation concerns.		
David Rockefeller Chronological Files, 1985 October-November	box 308A	folder 3981
<u>Conditions Governing Access note:</u>		
<b>Conditions Governing Access note</b>		
CLOSED to scholarly research due to preservation concerns.		
David Rockefeller Chronological Files, 1985 December	box 308A	folder 3982
<u>Conditions Governing Access note:</u>		



## Conditions Governing Access note

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David Rockefeller Chronological Files, 1986 January-March	box 308A	folder 3983
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Conditions Governing Access note:

## Conditions Governing Access note

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David Rockefeller Chronological Files, 1986 April-June	box 308A	folder 3984
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Conditions Governing Access note:

## Conditions Governing Access note

CLOSED to scholarly research due to preservation concerns.

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David Rockefeller Chronological Files, 1986 July-November	box 308A	folder 3985
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Conditions Governing Access note:

## Conditions Governing Access note

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David Rockefeller Chronological Files, 1986 November-December	box 308A	folder 3986
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Conditions Governing Access note:

## Conditions Governing Access note

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David Rockefeller Chronological Files, 1987 January-September	box 308A	folder 3987
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Conditions Governing Access note:

## Conditions Governing Access note

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David Rockefeller Chronological Files, 1987 November-1988 May	box 308A	folder 3988
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David Rockefeller Chronological Files, 1988 July-1989 February	box 308A	folder 3989
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David Rockefeller Chronological Files, 1989 April-October	box 308A	folder 3990
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Conditions Governing Access note:**Conditions Governing Access note**

CLOSED to scholarly research due to preservation concerns.

David Rockefeller Chronological Files, 1989 November-1990 March	box 308A	folder 3991
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Conditions Governing Access note:**Conditions Governing Access note**

CLOSED to scholarly research due to preservation concerns.

David Rockefeller Chronological Files, 1990-1991	box 308A	folder 3992
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Conditions Governing Access note:**Conditions Governing Access note**

CLOSED to scholarly research due to preservation concerns.

David Rockefeller Chronological Files, 1993-1998	box 308A	folder 3993
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Conditions Governing Access note:**Conditions Governing Access note**

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## Gerard C. Smith

Title/Description	Instances	
Gerard C. Smith Files - Executive Committee Meeting, Tokyo, 1973 October 20-22	box 308B	folder 3994
Gerard C. Smith Files - Executive Committee Meeting - Press Coverage, Tokyo, 1973 October 20-22	box 308B	folder 3994A
Gerard C. Smith Files - Executive Committee Meeting - Communique and Statement of Purpose, Tokyo, 1973 October 20-22	box 308B	folder 3994B
Gerard C. Smith Files - Executive Committee Meeting, Brussels, 1974 April 4-5	box 308B	folder 3995
Gerard C. Smith Files - Executive Committee Meeting, Brussels, 1974 June 23-25	box 308B	folder 3996

Gerard C. Smith Files - Brussels - Joint Communique	box 308B	folder 3997
Gerard C. Smith Files - N.A. Commissioners, 1974	box 308B	folder 3997A
Gerard C. Smith Files - Executive Committee Meeting, Washington, D.C., 1974 December	box 308B	folder 3998
Gerard C. Smith Files - Executive Committee Meeting, Washington, D.C., 1974 December	box 308B	folder 3998A
Gerard C. Smith Files - Executive Committee - Resolution, Washington, D.C., 1974 December	box 308B	folder 3998B
Gerard C. Smith Files - T.C. Meeting, Ottawa/Washington, D.C., 1976 May 9-13	box 308C	folder 3999
Gerard C. Smith Files - Advisory Council On Japan-U.S. Economic Relations, 1973-1974	box 308C	folder 4000
Gerard C. Smith Files - (Proposed) Foreign Policy Article	box 308C	folder 4001
Gerard C. Smith Files - Tripartite Conference, Monte Carlo, 1973 May 21-24	box 308C	folder 4002
Gerard C. Smith Files - Trilateral Book - Trade Post World War I History	box 308C	folder 4003
Gerard C. Smith Files - Impact Mailings - Newsmen, 1974	box 308C	folder 4004
Gerard C. Smith Files - Commissioners - Distribution to Commissioners, 1973	box 308C	folder 4005
Gerard C. Smith Files - Correspondence - General Preliminary, 1972	box 308C	folder 4006
Gerard C. Smith Files - Progress Report, Program Activity, 1973	box 308C	folder 4007
Gerard C. Smith Files - Trilateral Tribune, 1974	box 308C	folder 4008
Gerard C. Smith Files - General, 1973	box 308C	folder 4009
Gerard C. Smith Files - Joint Project - Atlantic Council/Atlantic Institute/Japan Society, 1973	box 308	folder 4010
Gerard C. Smith Files - Battelle, 1976-1977	box 308	folder 4011
Gerard C. Smith Files - Diebold Article, 1973	box 308	folder 4012
Gerard C. Smith Files - Paris/London, 1977 March	box 308	folder 4013
Gerard C. Smith Files - Atlantic Institute for International Affairs, 1974-1976	box 308	folder 4014
Gerard C. Smith Files - Memorandum of Conversations, 1972-1973	box 308	folder 4015
Gerard C. Smith Files - Staff - Possibilities	box 308	folder 4016

Conditions Governing Access note:

**Conditions Governing Access note**

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Personnel

Gerard C. Smith Files - Task Force, 1975-1976	box 308	folder 4017
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Gerard C. Smith Files - Proposal w/o Financials, 1973-1974	box 308	folder 4018
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**Conditions Governing Access note**

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concerns.

Gerard C. Smith Files - TC Proposal	box 308	folder 4018A
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Gerard C. Smith Files - Television Project, 1974	box 308	folder 4019
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Gerard C. Smith Files - Middle East, 1974-1976	box 308E	folder 4020
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Gerard C. Smith Files - Plenary Meeting, Tokyo, 1977 January 9-11	box 308E	folder 4021
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Gerard C. Smith Files - Monthly Bulletin - Trialogue, 1973-1975	box 308E	folder 4022
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Gerard C. Smith Files - Task Force - Trade, 1974-1975	box 308E	folder 4023
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Gerard C. Smith Files - Task Force - Values, 1974	box 308E	folder 4024
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Gerard C. Smith Files - Overview (Future Planning), 1974	box 308E	folder 4025
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Gerard C. Smith Files - Task Force - Monetary, 1973-1974	box 308E	folder 4026
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Conditions Governing Access note:

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concerns.

Gerard C. Smith Files - Task Force - Political, 1973-1974	box 308E	folder 4027
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Gerard C. Smith Files - Gerard C. Smith Files - Task Force - The Oceans, 1973-1974	box 308E	folder 4028
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Gerard C. Smith Files - Task Force - LDC, 1973-1975	box 308E	folder 4029
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Gerard C. Smith Files - Task Force - Food, 1973-1974	box 308E	folder 4030
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Gerard C. Smith Files - Task Force - East-West (Security), 1973-1976	box 308	folder 4031
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Gerard C. Smith Files - Professor Brzezinski's Article on Japan, 1974	box 308	folder 4032
Gerard C. Smith Files - Task Force - Energy, 1973-1974	box 308	folder 4033
Gerard C. Smith Files - Program, 1973-1975	box 308	folder 4034
Gerard C. Smith Files - Speeches by Others, 1974	box 308	folder 4035
Gerard C. Smith Files - Public Affairs Committee, 1973-1974	box 308	folder 4036
Gerard C. Smith Files - Task Forces - General, 1974	box 308	folder 4037
Gerard C. Smith Files - Plenary Meeting, Japan, 1975 May	box 308	folder 4038
Gerard C. Smith Files - Seattle - Battelle, 1975 May	box 308	folder 4039
Gerard C. Smith Files - Vancouver Speech, 1975 May	box 308	folder 4040
Gerard C. Smith Files - Korea, 1975 June	box 308	folder 4041
Gerard C. Smith Files - American Commissioner's Correspondence, 1974-1975	box 308G	folder 4042
Gerard C. Smith Files - Amsterdam Conference, 1973 March 25-28	box 308G	folder 4043
Gerard C. Smith Files - Meetings - Brussels, 1973 March	box 308G	folder 4044
Gerard C. Smith Files - Meetings - Chairmen, 1973 September 16	box 308G	folder 4045
Gerard C. Smith Files - Meetings - Switzerland, 1972 November 25	box 308G	folder 4046
Gerard C. Smith Files - Chairmen's Meetings, 1973 December	box 308G	folder 4047
Gerard C. Smith Files - Chairmen's Meeting - London, 1974 May	box 308G	folder 4047A
Gerard C. Smith Files - Chairmen's Meeting - Easton, MD, 1974 October	box 308G	folder 4047B
Gerard C. Smith Files - Chairmen's Meeting - Washington, D.C., 1974 December	box 308G	folder 4047C
Gerard C. Smith Files - Chairmen's Meeting - Copenhagen, 1975 March	box 308G	folder 4047
Gerard C. Smith Files - Chairmen's Meeting, 1975 May	box 308G	folder 4047E
Gerard C. Smith Files - Chairmen's Meeting - Washington, D.C., 1975 September	box 308G	folder 4047
Gerard C. Smith Files - Chairmen's Meeting - Tokyo, 1976 March	box 308H	folder 4047G
Gerard C. Smith Files - Chairmen's Meeting - Rome, 1976 September	box 308H	folder 4047H
Gerard C. Smith Files - Planning Committee/Planning Group Meetings, 1973-1976	box 308H	folder 4048

Gerard C. Smith Files - Commissioner's Meetings - Acceptances and Regrets, 1973 October 15-16	box 308H	folder 4049
Gerard C. Smith Files - Bilderberg, 1973 May	box 308H	folder 4050
Gerard C. Smith Files - Commissioner's Meetings - October 15-16, 1973	box 308H	folder 4051
Gerard C. Smith Files - Atlantic Institute	box 308I	folder 4052
Gerard C. Smith Files - London, 1973 April 1-5	box 308I	folder 4053
Gerard C. Smith Files - Meeting Reports - General, 1973	box 308I	folder 4054
Gerard C. Smith Files - Meetings - Prof. Brzezinski, Tokyo, 1974 March	box 308I	folder 4055
Gerard C. Smith Files - Meetings - Japan, 1973 January 8-11	box 308I	folder 4056
Gerard C. Smith Files - Meetings - General, 1973-1975	box 308I	folder 4057
Gerard C. Smith Files - Regional (N.A.) Dinner - New York, 1975 October 16-17	box 308I	folder 3058
Gerard C. Smith Files - American Candidates - Congressional, 1974	box 308I	folder 4059
Gerard C. Smith Files - American Candidates - General Correspondence, 1973-1974	box 308I	folder 4060
Gerard C. Smith Files - American Candidates and Suggestions	box 308I	folder 4061
Gerard C. Smith Files - Japan - General, 1973	box 308J	folder 4062
<u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  CLOSED to scholarly research due to preservation concerns.		
Gerard C. Smith Files - Organization Papers	box 308J	folder 4063
<u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  CLOSED to scholarly research due to preservation concerns.		
Gerard C. Smith Files - Impact Mailing #1 Brochure Trialogue, 2-Monetary	box 308J	folder 4064
<u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  CLOSED to scholarly research due to preservation concerns.		
Gerard C. Smith Files - Impact Mailing #2 Political Report and Trialogue #3	box 308J	folder 4065

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Gerard C. Smith Files - Impact Mailing, 1974 Summer	box 308J	folder 4066
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Gerard C. Smith Files - Meetings, 1973-1974	box 308J	folder 4067
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Gerard C. Smith Files - U.S.-Japan Business Conference, June 1977 - GS Talk at U.S. Chamber of Commerce in Washington, 1977 June	box 308K	folder 4068
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Gerard C. Smith Files - Fundraising - Corporate	box 308K	folder 4069
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Gerard C. Smith Files - Fundraising - General Motors	box 308K	folder 4070
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Gerard C. Smith Files - Possible D.C. Office - TC General, 1976	box 308K	folder 4071
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Gerard C. Smith Files - T.C. History, 1972-1977	box 308K	folder 4072
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Gerard C. Smith Files - General, 1975-1977	box 308K	folder 4073
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Gerard C. Smith Files - General, 1974	box 308K	folder 4074
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Gerard C. Smith Files - Impact, 1973-1975	box 308K	folder 4075
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Gerard C. Smith Files - Japan - Correspondence, 1974	box 308K	folder 4076
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Gerard C. Smith Files - Japan - "US-Japan Communication" (Article) by Masao Kunihiro	box 308K	folder 4077
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Gerard C. Smith Files - Foundations - Rockefeller Foundation, 1973-1976	box 308L	folder 4078
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Gerard C. Smith Files - News Clippings - Japan, 1973-1974	box 308L	folder 4079
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Gerard C. Smith Files - Japan - Candidates, 1973-1974	box 308L	folder 4080
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Gerard C. Smith Files - The Asia Foundation, 1973	box 308L	folder 4081
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Gerard C. Smith Files - Hazen Foundation, 1973	box 308L	folder 4082
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Gerard C. Smith Files - Foundations - Land (Edwin H. Land, Helen M. Land), 1973	box 308L	folder 4083
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Gerard C. Smith Files - Foundations - Lilly (The Lilly Endowment, Inc.), 1973-1976	box 308L	folder 4084
Gerard C. Smith Files - Foundations - Luce, 1973	box 308L	folder 4085
Gerard C. Smith Files - Foundations - Rockefeller Brothers Fund, 1973-1976	box 308L	folder 4086
Gerard C. Smith Files - Foundations - Sloan, 1973-1974	box 308L	folder 4087
Gerard C. Smith Files - Fundraising - General, 1973-1977	box 308L	folder 4088
Gerard C. Smith Files - Foundations - German Marshall Plan Fund, 1973-1976	box 308L	folder 4089
Gerard C. Smith Files - Europe - News Clippings, 1973	box 308L	folder 4090
Gerard C. Smith Files - Executive Committee, 1973-1976	box 308L	folder 4091
Gerard C. Smith Files - Foundations - General	box 308L	folder 4092
Gerard C. Smith Files - Foreign Corporations - Grants, 1973-1975	box 308L	folder 4093
Gerard C. Smith Files - Foundations - Ford, 1973-1976	box 308M	folder 4094
Gerard C. Smith Files - Ford Foundation Meeting, 1976 February 4	box 308M	folder 4095
Gerard C. Smith Files - Foundations - Kettering, 1973-1974	box 308M	folder 4096
Gerard C. Smith Files - Foundations - Kettering (Formal Papers), 1974	box 308M	folder 4097
Gerard C. Smith Files - Annual Report, 1973-1976	box 308M	folder 4098
Gerard C. Smith Files - Articles, 1973-1977	box 308M	folder 4099
Gerard C. Smith Files - News Clippings - General, 1972-1975	box 308M	folder 4100
Gerard C. Smith Files - Background Memoranda, 1973	box 308M	folder 4101
Gerard C. Smith Files - Board of Trustees, 1974-1976	box 308N	folder 4102
Gerard C. Smith Files - Board of Trustees - Meeting, 1975 February 8	box 308N	folder 4103

### Scope and Contents note

Also Planner's Meeting

Gerard C. Smith Files - Board of Trustees - Meeting, 1976 June 22	box 308N	folder 4104
Gerard C. Smith Files - Europe - Correspondence, 1974-1977	box 308N	folder 4105
Gerard C. Smith Files - Europe - Correspondence, 1973	box 308N	folder 4106
Gerard C. Smith Files - Europe - The Europe Plus Thirty Report, 1975	box 308O	folder 4107



Gerard C. Smith Files - Europe - European Commissioners, 1973-1976	box 308O	folder 4108
Gerard C. Smith Files - Trustees Meeting, 1977 June 9	box 308O	folder 4109
Gerard C. Smith Files - Trilateral - Brochure, 1973-1974	box 308O	folder 4110
Gerard C. Smith Files - Zbigniew Brzezinski, 1973-1976	box 308O	folder 4111
Gerard C. Smith Files - Canada - Pepin Speeches, 1970-1973	box 308O	folder 4112
Gerard C. Smith Files - Canada - General, 1973-1977	box 308P	folder 4113
Gerard C. Smith Files - Committee of Nine (Report of)	box 308P	folder 4113A
Gerard C. Smith Files - Constitution (of the Trilateral Commission), 1973	box 308P	folder 4114
Gerard C. Smith Files - Contacts re: trilateralism, 1974-1976	box 308P	folder 4115
Gerard C. Smith Files - Energy Crisis - Joint Statement, 1973	box 308P	folder 4116

## Paul Volcker, 1991-1998

Title/Description	Instances	
Paul Volcker Chronological Files		
Paul Volcker Chronological Files, 1991	box 309	folder 3093
Paul Volcker Chronological Files, 1992	box 309	folder 3094
Paul Volcker Chronological Files, 1993	box 309	folder 3095
Paul Volcker Chronological Files, 1994	box 309	folder 3096
Paul Volcker Chronological Files, 1995	box 310	folder 3097
Paul Volcker Chronological Files, 1996	box 310	folder 3098
Paul Volcker Chronological Files, 1997	box 310	folder 3099
Paul Volcker Chronological Files, 1998	box 310	folder 3100

[^ Return to Table of Contents](#)

## Office Files, 1972-1996 (1972-1983)

Physical Description: 25.4 Cubic Feet Boxes 311-357.

### Scope and Contents

This series contains a variety of office files created by the Trilateral Commission (North America) including early correspondence of the Commission's officers, general correspondence, and telexes. Of particular note are the Early Office Files including early organizational records and documentation of periodic reviews of the future of the Commission; relations and a possible merger with the Atlantic Institute; as well as other collaborative efforts. This series also contains a variety of press clippings, publicity and press coverage and publication files.

Existence and Location of Copies:

## Existence and Location of Copies

Microfilm copies of portions of the Zbigniew Brzezinski Files (Box 241A), Gerard C. Smith Files (Box 308B-P), and Trilateral Commission Early Correspondence, 1972-1975 (Box 310A-B) are available at the Jimmy Carter Library, Atlanta, GA.

## Correspondence Files

Title/Description	Instances	
Early Correspondence		
Early Correspondence, 1972-1975	box 310A	
<u>General Physical Description note:</u> <b>General Physical Description note</b>  Microfilm copies of portions of the Trilateral Commission Early Correspondence (Box 310A-B) are available at the Jimmy Carter Library, Atlanta, GA.		
<u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  CLOSED to scholarly research due to preservation concerns.		
Early Correspondence, 1972-1975	box 310B	
<u>General Physical Description note:</u> <b>General Physical Description note</b>  Microfilm copies of portions of the Trilateral Commission Early Correspondence (Box 310A-B) are available at the Jimmy Carter Library, Atlanta, GA.		
<u>Conditions Governing Access note:</u> <b>Conditions Governing Access note</b>  CLOSED to scholarly research due to preservation concerns.		
U.S. Commission Correspondence with Franklin, 1973	box 311	folder 3101
Schedule of Events, 1972-1976	box 311	folder 3102
Trilateral Commission General Correspondence		
Trilateral Commission General Correspondence - A-B, 1978-1979	box 311	folder 3103
Trilateral Commission General Correspondence - C-E, 1978-1979	box 311	folder 3104
Trilateral Commission General Correspondence - F-G, 1978-1979	box 311	folder 3105

Trilateral Commission General Correspondence - H-I, 1978-1979	box 311	folder 3106
Trilateral Commission General Correspondence - J-K, 1978-1979	box 312	folder 3107
Trilateral Commission General Correspondence - L-M, 1978-1979	box 312	folder 3108
Trilateral Commission General Correspondence - N-O, 1978-1979	box 312	folder 3109
Trilateral Commission General Correspondence - P-Q, 1978-1979	box 312	folder 3110
Trilateral Commission General Correspondence - R-S, 1978-1979	box 312	folder 3111
Trilateral Commission General Correspondence - T-V, 1978-1979	box 312	folder 3112
Trilateral Commission General Correspondence - W-Z, 1978-1979	box 312	folder 3113
Trilateral Commission General Correspondence - A, 1980-1983	box 313	folder 3114
Trilateral Commission General Correspondence - B, 1980-1983	box 313	folder 3115
Trilateral Commission General Correspondence - B, 1980-1983	box 313	folder 3116
Trilateral Commission General Correspondence - C	box 313	folder 3117
Trilateral Commission General Correspondence - Carter Administration	box 313	folder 3117A
Trilateral Commission General Correspondence - Commissioner's Memos	box 313	folder 3118
Trilateral Commission General Correspondence - D, 1980-1983	box 313	folder 3119
Trilateral Commission General Correspondence - E, 1980-1983	box 313	folder 3120
Trilateral Commission General Correspondence - F, 1980-1983	box 314	folder 3121
Trilateral Commission General Correspondence - G, 1980-1983	box 314	folder 3122
Trilateral Commission General Correspondence - H, 1980-1983	box 314	folder 3123
Trilateral Commission General Correspondence - Proposed Herald Tribune Supplement	box 314	folder 3124
Trilateral Commission General Correspondence - I, 1980-1983	box 314	folder 3125

Trilateral Commission General Correspondence - J, 1980-1983	box 314	folder 3126
Trilateral Commission General Correspondence - K, 1980-1983	box 314	folder 3127
Trilateral Commission General Correspondence - L, 1980-1983	box 314	folder 3128
Trilateral Commission General Correspondence - M, 1980-1983	box 314	folder 3129
Trilateral Commission General Correspondence - Christopher Makins	box 315	folder 3130
Trilateral Commission General Correspondence - Mc, 1980-1983	box 315	folder 3131
Trilateral Commission General Correspondence - N, 1980-1983	box 315	folder 3132
Trilateral Commission General Correspondence - O, 1980-1983	box 315	folder 3133
Trilateral Commission General Correspondence - P, 1980-1983	box 315	folder 3134
Trilateral Commission General Correspondence - R	box 315	folder 3135
Trilateral Commission General Correspondence - Radio Impact	box 315	folder 3135A
Trilateral Commission General Correspondence - Senator Roth's Letter: re: Trilateral Commission, 1982	box 315	folder 3136
Trilateral Commission General Correspondence - S, 1980-1983	box 315	folder 3137
Trilateral Commission General Correspondence - S, 1980-1983	box 315	folder 3138
Trilateral Commission General Correspondence - Staff Minutes	box 315	folder 3139
Trilateral Commission General Correspondence - T, 1980-1983	box 315	folder 3140
Trilateral Commission General Correspondence - U, 1980-1983	box 315	folder 3141
Trilateral Commission General Correspondence - V, 1980-1983	box 316	folder 3142
Trilateral Commission General Correspondence - W, 1980-1982	box 316	folder 3143
Trilateral Commission General Correspondence - Professor Carroll Wilson	box 316	folder 3143A
Trilateral Commission General Correspondence - X-Z, 1980-1983	box 316	folder 3144

Trilateral Commission General Correspondence - Yamamoto,  
1978-1983

box 316

folder 3145

## Telexes

Title/Description	Instances	
Telexes, 1974-1976	box 316	folder 3146
Outgoing Telexes, 1974-1976	box 316	folder 3147
Outgoing Telexes, 1977 July-November	box 317	folder 3148
Outgoing Telexes, 1977 December-1978 July	box 317	folder 3149
Telexes Sent, 1978 August-1979 February	box 317	folder 3149A
Cablegrams and Telexes, 1978-1979	box 317	folder 3149B
Telexes, 1979 January-December	box 317	folder 3150
Telexes Sent, 1979 May-October	box 317	folder 3150A
Telexes Sent, 1979 November-December	box 317	folder 3150B
Incoming Telexes, 1975-1979	box 318	folder 3151
Outgoing Telexes, 1980 March-April	box 318	folder 3151A
Incoming Telexes, 1980	box 318	folder 3151B
Outgoing Telexes, 1980 May-September	box 318	folder 3151C
Incoming Telexes, 1980 May-1981 January	box 318	folder 3151
Outgoing Telexes, 1980 October-December	box 318	folder 3151E
Incoming Telexes, 1981 January-May	box 319	folder 3152
Outgoing Telexes, 1981 February-May	box 319	folder 3152A
Outgoing Telexes, 1981 June-December	box 319	folder 3152B
Incoming Telexes, 1982 August-1983 May	box 319	folder 3152C
Telexes, 1983-1984	box 319	folder 3152

## Early Office Files

### Scope and Contents

Including: Early Organizational Files; Future of Trilateral Commission; Atlantic Institute - Relations/Merger; Other Organizations and Collaborations; Early Task Force, Meeting, and Publication Files.

Title/Description	Instances	
Plenary Meeting, Madrid, 1986	box 320	folder 3153
Soviet-Trilateral Meeting - Fundraising, Worklists, 1982 May 5-6	box 320	folder 3154

Christina Hanophy, 1986	box 320	folder 3155
Christina Hanophy - Projected Triennium Receipts, 1986	box 320	folder 3156
Christina Hanophy, 1986	box 320	folder 3157
Christina Hanophy, 1986	box 320	folder 3158
Christina Hanophy, 1986	box 320	folder 3159
Corporate Supporters	box 320	folder 3160
List of Dinners and Seminars, 1984-1987	box 320	folder 3161
Task Force, 1987	box 320	folder 3162
Plenary Session Schedules, 1978-1979	box 320	folder 3163
Rohesia Hamilton Metcalfe and Terry Sommer - Funny Letter Files	box 321	folder 3164
Rohesia Hamilton Metcalfe and Terry Sommer - Funny Letter Files	box 321	folder 3164A
Rohesia Hamilton Metcalfe and Terry Sommer - U.S. Court of Appeals, 1994	box 321	folder 3164B
<u>Conditions Governing Access note:</u>		
<b>Conditions Governing Access note</b>		
Permanently Restricted - Confidential Material - Personnel		
Rohesia Hamilton Metcalfe and Terry Sommer - Kooks	box 322	folder 3164C
Rohesia Hamilton Metcalfe and Terry Sommer - Chase Manhattan Security, 1977-1994	box 323	folder 3165
<u>Conditions Governing Access note:</u>		
<b>Conditions Governing Access note</b>		
Permanently Restricted - Confidential Material - Personnel		
Rohesia Hamilton Metcalfe and Terry Sommer - Federal Government (Clinton) - Target Mailing, 1993	box 323	folder 3166
Rohesia Hamilton Metcalfe and Terry Sommer - Federal Government - Clinton Complimentary, 1993	box 323	folder 3167
Rohesia Hamilton Metcalfe and Terry Sommer - Federal Government (Bush) - Mailings, etc., 1993	box 323	folder 3168
Rohesia Hamilton Metcalfe and Terry Sommer - Mailings, 1993	box 323	folder 3169
Rohesia Hamilton Metcalfe and Terry Sommer - Brookings Mailing, 1993	box 323	folder 3169A
Rohesia Hamilton Metcalfe and Terry Sommer - Mailing, 1996	box 323	folder 3169B
Hoover Institution, 1979		

	box 324	folder 3170
Marga Institute	box 324	folder 3171
Trilateral Research Center, 1980	box 324	folder 3172
Japan-Pacific Fund (proposed 1979)	box 324	folder 3173
Periodic Reviews of the Future of TC		
Future of the Commission	box 324	folder 3174
Trilateral Review, 1976	box 324	folder 3175
Future of the Commission - Correspondence and Memos, 1977	box 324	folder 3176
Comments on Future Memo, 1977 December 15	box 324	folder 3177
Comments on Commission, Abshire, Conable, etc.	box 324	folder 3178
Continuation of the Commission, 1980	box 324	folder 3179
Discussion of the Future of the Commission, 1983-1984	box 324	folder 3180
Trilateral Review, 1984	box 324	folder 3181
Trilateral Review, 1984	box 325	folder 3182
Plenary Meeting, Washington, D.C., 1990 April	box 325	folder 3183
Future of Trilateral Commission, 1990-1991	box 325	folder 3184
Trilateral Review, 1996	box 325	folder 3185
Membership Comments - Evaluation, Impact, Task Forces, 1979 September	box 325	folder 3186
Memorandum, 1973	box 325	folder 3186A
<b>Scope and Contents note</b>		
Objectives, Rationale, Goals		
Organization of the Commission, 1973-1976	box 325	folder 3187
Atlantic Institute - Merger - Letters on the Merger	box 325	folder 3188
Atlantic Institute for International Affairs, 1977-1978	box 325	folder 3189
Foundation for Cohesion of the West	box 325	folder 3190
Private Sector in International Relations, 1982	box 325	folder 3191
Suggested Structural Reform of the Trilateral Commission, 1976-1977	box 325	folder 3192
Atlantic Institute Collaboration (Merger) - Responses, 1975-1979	box 325	folder 3193
Atlantic Institute - Relations	box 326	folder 3194
Atlantic Institute - Possible Merger, 1988	box 326	folder 3195

Joint Committee Future Meeting, Paris, 1978 February 26-27	box 326	folder 3196
Atlantic Institute - Finances	box 326	folder 3197
Deputy Director	box 326	folder 3198
Public Affairs Committee	box 326	folder 3199
Government Requests - Impact	box 326	folder 3200
History of Commission	box 326	folder 3201
Updated Trilateral Commission General Description, 1980 January	box 326	folder 3202
Q & A About the TC (CBH), 1980 March 20	box 326	folder 3203
Executive Committee - Kissinger	box 326	folder 3204
North American, 1982-1987	box 326	folder 3205
Executive Committee, 1973-1981	box 326	folder 3206
Executive Committee, 1973-1981	box 326	folder 3207
North-South Roundtable, 1978-1979	box 327	folder 3208
North-South Roundtable, 1979 August 9-11	box 327	folder 3209
Brandt Commission, 1978-1980	box 327	folder 3210
Brookings Collaboration, 1973-1978	box 327	folder 3211
Trilateral Commission Possible Merger with Other Organizations, 1977	box 327	folder 3212
Economic and Social Council of United Nations, 1980	box 327	folder 3213
Contac, 1977	box 327	folder 3214
Bilderberg Group, 1978-1980	box 327	folder 3215
Japan Mailing List, 1975	box 327	folder 3216
Umberto Colombo - Trialogue, 1976	box 327	folder 3217
Pacem in Terris IV, 1975	box 327	folder 3218
History of Trilateral Commission	box 327	folder 3218A
Response to Inquiries - Francois Sauzey, 1977	box 327	folder 3218B
Public Information Program II, 1974	box 328	folder 3219
Atlantic Institute - Collaboration, 1974-1976	box 328	folder 3220
Aspen Institute - Collaboration, 1975-1976	box 328	folder 3221
Committee for a Community of Democracies, 1981-1982	box 328	folder 3222
Work of Other Organizations - OISCA, 1975-1977	box 329	folder 3223
North-South Roundtable, 1979	box 329	folder 3224



George S. Franklin - Amsterdam Conference (Eugene Rostow), 1974 June 27-29	box 329	folder 3225
George S. Franklin - Quadrilateral Businessman's Conference on Interdependence, 1975 November 12-13	box 329	folder 3226
Project Global Integration, 1975-1977	box 329	folder 3227
Potomac Associates, 1975-1976	box 329	folder 3228
The Stanley Foundation, 1975	box 329	folder 3229
Advisory Council on Japan-U.S. Economic Relations, 1973-1974	box 329	folder 3230
Governor Rockefeller's Commission, 1974	box 329	folder 3231
Seven Springs Center - Annual Report, 1975-1976	box 329	folder 3232
Oversees Development Council, 1972-1973	box 330	folder 3233
European Community, 1977	box 330	folder 3234
Club of Rome, 1972-1974	box 330	folder 3235
Japanese Pacific Fund, 1979	box 330	folder 3235A
Foreign Organizations, 1972-1975	box 330	folder 3236
George S. Franklin - Correspondence, 1972-1973	box 330	folder 3237
United Nations - TC NGO Status, 1974-1977	box 330	folder 3238
Research Institutions in Japan	box 331	folder 3239
MNC Corporation, 1975	box 331	folder 3240
TC Project on Value Changes, 1974	box 331	folder 3241
Political Task Force - Correspondence, 1973	box 331	folder 3242
Meeting, Montreal, 1974 February 21	box 331	folder 3243
National and Industrial Strategies Task Force, 1978-1979	box 331	folder 3244
Correspondence, 1984-1986	box 331	folder 3244A
Memo and Rotation, 1983	box 331	folder 3245
Task Force Ideas, 1983	box 332	folder 3246
Charles B. Heck, 1984	box 332	folder 3247
Senator Javits, 1974	box 332	folder 3248
George S. Franklin Conversations with Gerard C. Smith, 1977	box 332	folder 3249
Gerard C. Smith - Forms of Address to Commissioners, 1978	box 332	folder 3250
Robert Schaetzel, 1977	box 332	folder 3251
Correspondence with Nelson A. Rockefeller, 1974-1976	box 332	folder 3252

Gordon Novell, 1977	box 332	folder 3253
Wolfgang Hager, 1973-1975	box 332	folder 3254
FBI and Department of State, 1977	box 332	folder 3255
George S. Franklin - Correspondence - Press, 1975-1977	box 332	folder 3256
George S. Franklin - Correspondence - OPEC Proposal, 1974-1975	box 332	folder 3257
George S. Franklin - Correspondence with Congressmen, 1976	box 332	folder 3258
George S. Franklin - Bell Laboratories - Speaking Date, 1978 October 10	box 332	folder 3259
George S. Franklin - Telephone - Toll Calls - Blanks, 1976-1977	box 332	folder 3260
George S. Franklin - Correspondence with Gerard C. Smith, 1973-1976	box 332	folder 3261
George S. Franklin - Correspondence with Jean-Luc Pepin, 1973-1975	box 332	folder 3262
George S. Franklin - Correspondence, 1972	box 333	folder 3263
George S. Franklin - Correspondence, 1973	box 333	folder 3264
George S. Franklin - Correspondence, 1974	box 333	folder 3265
George S. Franklin - Correspondence, 1975	box 333	folder 3266
George S. Franklin - Correspondence, 1976	box 333	folder 3267
Henry Kissinger - TC Correspondence, 1976	box 333	folder 3268
George S. Franklin - Staff Meeting Minutes, 1977	box 333	folder 3269
George S. Franklin - Staff Meeting Minutes, 1976	box 333	folder 3270
Amsterdam Conference (Rostow), 1973-1974	box 333	folder 3271
Yamamoto Correspondence, 1975-1976	box 333	folder 3272
Center for Strategic and International Studies, 1976	box 333	folder 3273
George S. Franklin - Peter G. Bourne, M.D. (Special Assistant to the President), 1977-1978	box 334	folder 3274
George S. Franklin - Trilateral Commission - Latin America, 1976	box 334	folder 3275
George S. Franklin - Correspondence with David Rockefeller, 1977	box 334	folder 3276
George S. Franklin, 1976-1977	box 334	folder 3277
George S. Franklin - Frankfurt Meeting (with Gvishiani and Berthoin), 1980	box 334	folder 3278
George S. Franklin - David Rockefeller Meetings - Agendas, 1977 September 22, October 12, October 22	box 334	folder 3279

Charles B. Heck - Trilateral Trade Booklet Mailing, 1983	box 334	folder 3280
Charles B. Heck - Tokyo GATT Session Booklet, 1982	box 334	folder 3281
Charles B. Heck - Donner Foundation, 1982	box 334	folder 3282
Charles B. Heck - Japan Society, 1981	box 334	folder 3283
Oil Exporters Task Force, 1978	box 334	folder 3284
George S. Franklin - Item 4 on Chairmen's Agenda, October 23 Memo and Responses, 1978 October 23	box 334	folder 3285
Task Force "Europe", 1977	box 334	folder 3285A
Memorandum for Rapporteurs, Memo for Proposal, 1974	box 334	folder 3286
International Security, Arms Control, 1974	box 334	folder 3287
Global Calamity, 1974	box 334	folder 3288
Task Force Fact Sheets, 1974	box 334	folder 3289
Program Phase II	box 335	folder 3290
Nuclear Non Proliferation, 1976	box 335	folder 3291
Mass Media Task Force, 1975	box 335	folder 3292
Brochure, 1973	box 335	folder 3293
Sodaro - Memos and Responses, 1972-1973	box 335	folder 3294
Clippings about Trilateral Commission, 1972-1973	box 335	folder 3295
Calendar, 1973-1974	box 335	folder 3296
Trilateral Commission General, Initial Decisions, 1973	box 335	folder 3297
Trilateral Commission General, Memos and Progress Reports, 1973-1974	box 335	folder 3298
Sodaro - Bulletin and Annual Reports, 1973-1974	box 335	folder 3299
Japan and Europe Data	box 335	folder 3300
Annual Report Format, 1973	box 335	folder 3301
Relations with Outside World	box 335	folder 3302
Inter-Trilateral Materials, 1973	box 335	folder 3033
Databank on Trilateral Proposals, 1973	box 335	folder 3034
Trilateral Commission Meeting, 1973 October 15	box 335	folder 3305
Task Forces, 1979	box 336	folder 3306
Past Program Charts	box 336	folder 3307
Task Force Possibilities, 1978-1979	box 336	folder 3308
Future Program (after 1976), 1975-1978	box 336	folder 3309

Task Forces - Personnel, 1973-1976	box 336	folder 3310
Task Forces - Organization, 1972-1976	box 336	folder 3311
Task Forces - Topics Survey, 1979	box 336	folder 3312
Possible Task Force Topics, 3rd Triennium, 1979	box 336	folder 3313
Program Memo and Comments, 1979 Spring	box 336	folder 3314
New Task Force Topic Memos, 1979	box 336	folder 3315
Task Force Topic Memo, 1978 October 23	box 336	folder 3316
Task Force Program Charts, 1976-1978	box 336	folder 3317
Task Force - New Topics, Procedures, 1980	box 336	folder 3318
Task Force Memos, 1975	box 336	folder 3319
Publications Questionnaire, 1976	box 336	folder 3320
Trilateral Bulletin #1 (Trialogue #1)	box 336	folder 3321
Future Program, 1975	box 336	folder 3322
Current Impact Memos, 1975	box 336	folder 3323
Media Task Force, 1975	box 336	folder 3324
Task Force Fact Sheets, 1974-1975	box 336	folder 3325
Carter - General Correspondence, 1979	box 337	folder 3326
Memoranda to Commissioners, 1978-1980	box 337	folder 3327
George S. Franklin - Various Handwritten Notes	box 337	folder 3328
Hans Maull, 1978-1980	box 337	folder 3329
July 23-24, 1972 Meeting - Participants, 1972 July 23-24	box 337	folder 3330
SALT, 1979	box 337	folder 3331
TC Newspaper Article, 1978-1979	box 337	folder 3331A
Trade, 1977	box 337	folder 3332
Trilateral Commission Public Relations, 1979-1980	box 337	folder 3333
United Nations Association	box 337	folder 3334
World Coal Study, 1979-1980	box 337	folder 3335
Drafts, 1980-1981	box 337	folder 3336
Institute for World Order, 1974-1978	box 337	folder 3337
International Council for Educational Development, 1977-1979	box 337	folder 3338
Youth for Federal Union, 1975	box 337	folder 3339
International Communications Agency (Charles Bray), 1978	box 337	folder 3340

Cincinnati Council on World Affairs (GSF Leading 21st Annual Conference) (Bill Messner), 1975 March 13-14	box 337	folder 3341
Harold C. Channer - "Conversations" (Interview with George S. Franklin), 1979	box 337	folder 3342
Proposed Interview with Three Regional Chairmen (GSF Correspondence with FS), 1978-1979	box 337	folder 3343
Meeting with David Rockefeller, 1978 June 29	box 338	folder 3344
Meeting with David Rockefeller, 1978 August 4	box 338	folder 3345
Meeting with David Rockefeller, 1978 September 19	box 338	folder 3346
Meeting with David Rockefeller, 1978 December 28	box 338	folder 3347
Meeting with David Rockefeller, 1979 January 17 and 19	box 338	folder 3348
Meeting with David Rockefeller, 1979 February 26	box 338	folder 3349
Meeting with David Rockefeller, 1979 April 3	box 338	folder 3350
Meeting with David Rockefeller, 1979 May 16	box 338	folder 3351
Meeting with David Rockefeller, 1979 June 19	box 338	folder 3352
Meeting with David Rockefeller, 1979 June 28	box 338	folder 3353
Fabio Basagni, 1979	box 338	folder 3354
Trilateral Junior Commission, 1978	box 338	folder 3355
Refugee Question, 1979	box 338	folder 3356
Presidential Commission on World Hunger, 1978-1979	box 338	folder 3357
Mini Summit, 1978	box 338	folder 3358
Ambassador David Mark, 1979	box 338	folder 3359
Canadian Broadcasting Company, 1978	box 338	folder 3360
Christopher Makins - European Trip, 1976 June	box 338	folder 3361
United Nations Association of the United States	box 338	folder 3362
OISCA, 1979	box 338	folder 3363
Sierra Club, 1979-1980	box 338	folder 3364
Society for International Development, 1978	box 338	folder 3365
Mid Atlantic Club, 1978-1981	box 338	folder 3366
Center for Strategic and International Studies, 1981	box 338	folder 3367
Ralph Bunche Institute, 1981-1982	box 338	folder 3368
North-South Roundtable, 1979	box 338	folder 3369
Atlantic Institute, 1980-1981	box 338	folder 3370

Group of 30, 1981	box 338	folder 3371
Collaboration - Batelle Program, 1975-1977	box 339	folder 3372
The Serbelloni Group, 1973	box 339	folder 3373
Infamous Post Card and Related Correspondence, 1980	box 339	folder 3373A
George Vicas, 1979	box 339	folder 3374
J. Robert Schaetzel - "United States and the Emerging European Community", 1972 May 9	box 339	folder 3375
Immerman Report on Trilateralism, 1979-1980	box 339	folder 3376
Miscellaneous Articles, 1980	box 339	folder 3377
Subscriptions, 1973	box 339	folder 3377A
Press Lists for Press Release, 1978	box 339	folder 3378
Sodaro - Trialogue 4, 1974	box 340	folder 3379
Sodaro - Task Forces, General, 1974	box 340	folder 3380
Trilateral News 4, [Japanese], 1974	box 340	folder 3381
Impact, 1974-1975	box 340	folder 3382
Work of Other Institutions	box 340	folder 3383
Work of Other Institutions	box 340	folder 3384
Work of Other Institutions	box 341	folder 3385
Work of Other Institutions	box 341	folder 3386
Work of Other Institutions	box 341	folder 3387
Committee of Nine	box 341	folder 3388
The Lehrman Institute	box 341	folder 3389
Japan Society, 1971-1972	box 341	folder 3390
Carnegie Endowment for International Peace, 1973	box 341	folder 3391
International Study for Research Institute, 1973-1974	box 341	folder 3392
National Strategy Information Center, Inc., 1975	box 341	folder 3393
Atlantic Council - Intergovernmental Group, 1985	box 341	folder 3394
Battelle, 1975	box 341	folder 3395
Battelle, 1975	box 341	folder 3396
George S. Franklin - Staff Meeting Minutes, 1982	box 342	folder 3397
George S. Franklin - Staff Meeting Minutes, 1982	box 342	folder 3398
George S. Franklin - Lunch at F Street Club, 1977 June 17	box 342	folder 3399
Requests for Publications - Responses		

	box 342	folder 3400
Requests for Publications - Responses	box 342	folder 3401
Requests for Publications - Responses	box 342	folder 3402
Task Force - Proof Sheets	box 342	folder 3403
U.S.-Japan Economic Relations Meeting, Washington, D.C., 1977 June 14	box 342	folder 3404
Gerard Smith Dinner, 1977 June 16	box 342	folder 3405
Correspondence - Commissioners, 1977	box 342	folder 3406
Correspondence - Commissioners, 1977	box 342	folder 3407
Burnham, 1976	box 342	folder 3408
TC Conception, 1976	box 342	folder 3409
Trialogue, Tokyo, 1977 January	box 343	folder 3410
Miscellaneous, 1977	box 343	folder 3411
Human Rights Book, 1979	box 343	folder 3412
Brochure	box 343	folder 3413
North American Commissioner's Meeting - Media, 1975 October	box 343	folder 3414
Duke University, 1976	box 343	folder 3415
Publications - Annual Report #1, 1974	box 343	folder 3416
Setsuko Ono, 1975	box 343	folder 3417
Arthur Taylor - Impact Lunch, with Media, New York City, 1975 May 8	box 343	folder 3418
Brademas Congressional Dinner, 1976 June 17	box 343	folder 3419
Proposed San Francisco Meeting, 1977	box 343	folder 3420
Dinner, 1977 July 21	box 343	folder 3421
Shimoda Conference, 1977 September 1-4	box 343	folder 3422
George S. Franklin - Meeting, Washington, D.C. - Notes, 1978 June 11-13	box 343	folder 3423
George S. Franklin - Washington, D.C. Trip, 1979	box 343	folder 3424
Energy Tea, 1974 January 8	box 343	folder 3425
Regional Dinners - Lunches, 1977	box 343	folder 3426
UNCTAD	box 343	folder 3427
International Business Outlook Conference, 1978 October 5	box 343	folder 3428
Press Lunch/International Club, 1977 October 17	box 344	folder 3429

Proposed Roth-Fraser Dinner, 1977 June	box 344	folder 3430
Trilateral Soviet Conference, 1982 May 5-6	box 344	folder 3431
Mailing to Expand Impact List	box 344	folder 3432
Berlin [German], 1981	box 344	folder 3432A
Tindeman's Report, 1975	box 344	folder 3433
Reports, 1974-1975	box 344	folder 3433A
First Annual Progress Report	box 344	folder 3434
Second Annual Progress Report	box 344	folder 3435
Annual Report, 1974	box 344	folder 3436
List of Commissioners, 1976	box 344	folder 3437
Budgets, 1976	box 344	folder 3438
Clippings	box 344	folder 3439
Vision	box 344	folder 3440
Inquiries, 1974-1975	box 344	folder 3441
Brazil, 1974	box 344	folder 3442
Edited Transcript	box 344	folder 3443
Letters to Foundations	box 344	folder 3444
North American Committee Meeting, 1974 May	box 344	folder 3445
Miscellaneous	box 344	folder 3446
Introductory Memos to Sodaro	box 344	folder 3447
Energy - Trade Report Mailing	box 344	folder 3448
Mailings, 1974-1975	box 344	folder 3449
Future and Atlantic Institute	box 344	folder 3450
Future, 1975	box 344	folder 3451
Future Discussion, New York City, 1975 October 16-17	box 344	folder 3452
Atlantic Institute, 1975	box 344	folder 3453
Task Force on International Calamity or Collapse, 1974	box 345	folder 3454
Middle East, 1975 January 12-19	box 345	folder 3455
Seattle, 1975 May	box 345	folder 3456
Labor Committee, 1975	box 345	folder 3456A
Calendar, 1973-1975	box 345	folder 3457
Press Release on Makins Appointment, 1975	box 345	folder 3458



Inquiries, 1975	box 345	folder 3459
Dinners, 1975	box 345	folder 3459A
Foreign Press Briefing, 1975 November 4	box 345	folder 3460
Task Force Meetings in Japan, 1975	box 345	folder 3461
Caterers, River Club, 1975	box 345	folder 3462
Standing Conference of Atlantic Organizations, 1974	box 345	folder 3463
Impact List, 1974	box 345	folder 3464
TC Background, 1974	box 345	folder 3465
Clippings - Executive Committee Meeting, 1974 December	box 345	folder 3466
Clippings, 1974	box 345	folder 3467
Impact Meetings and Material, 1974	box 345	folder 3468
Press Release Mailing	box 345	folder 3469
Executive Committee Meeting, Brussels - Report, 1974 June	box 345	folder 3470
Foreign Press Center, 1975 November 4	box 345	folder 3471
Pending Material, 1973	box 345	folder 3472
Minutes of Various Meetings, 1973-1974	box 345	folder 3473
George S. Franklin - Chairmen's Meeting, 1975 September	box 345	folder 3474
Tricom Lunch at Chase Manhattan Bank, 1975 October 20	box 346	folder 3475
Senator Culver Dinner, 1975 December 16	box 346	folder 3476
David Rockefeller Dinner, 1974 December 10	box 346	folder 3477
George S. Franklin - Impact, 1976 September-1977 November 30	box 346	folder 3478
George S. Franklin - Anderson Dinner, 1975 June 19	box 346	folder 3479
Minutes of Staff Meetings, 1976-1977	box 346	folder 3480
Aspen Conference, 1975 July-August	box 346	folder 3481
Communications and Information Trade among Trilateral Countries - Correspondence	box 346	folder 3482
Possible India Meeting	box 346	folder 3483
Washington, January 7	box 347	folder 3484
Future of the Trilateral Commission - George S. Franklin Variants	box 347	folder 3485
Possible Surplus Funds	box 347	folder 3486
TC Leadership Notes	box 347	folder 3487

Budget	box 347	folder 3487A
Schedules, Dinners	box 347	folder 3487B
Conference Board Speech	box 347	folder 3488
Presentation, 1978 March 9	box 347	folder 3489
Industrial Relations Task Force	box 347	folder 3490
National War College	box 347	folder 3491

## Press Clippings

Title/Description	Instances	
Trilaterals Over Washington, Patrick Wood Material	box 348	folder 3492
Penthouse - Readers Responses	box 348	folder 3493
Penthouse Articles, 1977-1978	box 348	folder 3494
Clippings - General Interest	box 348	folder 3495
Press Clippings	box 348	folder 3496
TC Bad Publicity	box 348	folder 3497
Newspaper, Magazine Clips, 1979	box 348	folder 3498
Michael Sulan "The Trilateral Commission"	box 348	folder 3498A
Publicity - Mailings, Radio, Responses	box 348	folder 3499
Favorable Clippings	box 348	folder 3500
Hate Mail	box 348	folder 3501
Clippings, 1972	box 348	folder 3502
Clippings, 1973	box 348	folder 3503
Clippings, 1974 January-June	box 348	folder 3504
Clippings, 1974 July-December	box 348	folder 3505
Newsweek, 1975 June	box 349	folder 3506
Democracies Task Force - Boston Globe, etc.	box 349	folder 3507
Kyoto Press Coverage, 1975	box 349	folder 3508
Japanese Press Coverage of Kyoto Meetings, 1975	box 349	folder 3509
Kyoto Meeting - Press Clippings, 1975	box 349	folder 3510
Kyoto Meeting - Press Clippings, 1975	box 349	folder 3511
Paris Meeting, 1975 December	box 349	folder 3512
Miscellaneous Non-TC Clippings, 1974-1975	box 349	folder 3513
Public Relations Consultants - Forrest Murden	box 349	folder 3514

Public Relations Consultants - Ernest Wittenberg	box 349	folder 3515
International Press Service	box 349	folder 3516
Press Clippings, 1975	box 350	folder 3517
Press Clippings, 1976	box 350	folder 3518
Press Clippings, 1977	box 350	folder 3519
Press Clippings, 1977	box 350	folder 3520
Penthouse Articles	box 350	folder 3521
Press Clippings, 1978	box 351	folder 3522
Press Clippings, 1979	box 351	folder 3523
Press Clippings, Foreign, 1977-1978	box 352	folder 3524
Press Clippings, 1979-1980	box 352	folder 3525
Press Clippings, 1980	box 352	folder 3526
Press Clippings, 1981	box 352	folder 3527
Rome Press, 1983	box 353	folder 3528
French Press	box 353	folder 3528A
American Press, 1983-1984	box 353	folder 3528B
American Press, 1986	box 353	folder 3528C
Japanese Clippings	box 353	folder 3528
Clippings, Notes, 19,801,983.20	box 353	folder 3528E
Publicity/Impact and Press Coverage		
Organization of Press Coverage	box 354	folder 3529
International Press Clippings	box 354	folder 3530
Radio Coverage, 1975-1977	box 354	folder 3530A
Impact of C's Articles	box 354	folder 3531
George S. Franklin - Impact, 1977-1978	box 354	folder 3531A
U.S. Labor Party	box 354	folder 3532
Jeremiah Novak	box 354	folder 3533
Jeremiah Novak	box 354	folder 3534
Michael Chadwick	box 354	folder 3535
Stephen Gill	box 354	folder 3536
Hisashi Owada	box 354	folder 3537
American Legion	box 354	folder 3538

James Patrick Sewell	box 354	folder 3539
Publicity - Question and Answer Packet	box 354	folder 3540
Special Mailing - Republicans and Democrats	box 354	folder 3541
Inquiry from U.S. Citizens, 1980	box 354	folder 3541A
Miscellaneous Speeches and Papers	box 355	folder 3542
Papers on the Commission	box 355	folder 3543
DJN Literature	box 355	folder 3543A
Review Articles, 1973-1978	box 355	folder 3543B
Publicity - Mailings, Radio, Responses, 1980 March-June	box 355	folder 3544
Coverage of Tokyo Meeting - Japanese English Language Newspapers, 1977 January	box 355	folder 3545
Impact - Media, 1975-1977	box 355	folder 3546
Francois Sauzey - Impact, 1977	box 355	folder 3547
Impact - Press, 1978	box 355	folder 3548
Papers on International Policy	box 355	folder 3549
Impact - Washington, D.C. (Vondracek), 1975-1976	box 355	folder 3550
Triangle Papers 11 and 12 (and Cutler Paper) [Japanese]	box 356	folder 3551
Journal Subscriptions	box 356	folder 3552
Soviet Union Personnel	box 356	folder 3553
Agence Europe - Responses to October 6 Letter, 1977	box 356	folder 3554
Agence Europe	box 356	folder 3555
Copyright Information	box 356	folder 3556
Untitled	box 356	folder 3557
Library of Congress	box 356	folder 3558
International Communication Agency	box 356	folder 3559
Dialogue - Soviet Relations	box 356	folder 3560
Dialogue - Non-Proliferation	box 356	folder 3561
Dialogue Future, 1984	box 356	folder 3562
NYU Press - TC TR Papers, Vol. 1-7	box 356	folder 3563
Brochure	box 356	folder 3564
Second Combined Volume - Triangle Papers 9-14	box 356	folder 3565
Third Combined Volume - Triangle Papers 15-19	box 356	folder 3566
The Crisis of Democracy - Foreign Translation Rights		

	box 356	folder 3567
Miscellaneous Foreign Translation Requests, 1979-1980	box 357	folder 3568
Tricom Reports - German, 1974-1979	box 357	folder 3569
Translation of Triangle Papers in Spanish, 1978-1980	box 357	folder 3570
French Translations, 1975	box 357	folder 3571
Translation Services, 1972-1980	box 357	folder 3572
GSF Responses to Trialogue Letter About Subscriptions: Senators, 1979-1983	box 357	folder 3573
Subscription Information, 1978-1979	box 357	folder 3574
Mailing List, 1975-1978	box 357	folder 3575
Publications - Italian, 1974-1984	box 357	folder 3576
Publications - Japanese, 1974-1977	box 357	folder 3577
Printers	box 357	folder 3578
Spett Printing, 1976-1977	box 357	folder 3579
Integraphic Technology (IT) (Chet Humbert)	box 357	folder 3580
Middle East Trialogue Correspondence, 1980-1981	box 357	folder 3581
Trialogue 22, 1980	box 357	folder 3582
Trialogue Advisory Board, 1981-1984	box 357	folder 3583
Trialogue, 1981 September	box 357	folder 3584

[^ Return to Table of Contents](#)

## Financial records, 1972-1997

Physical Description: 9.5 Cubic Feet Boxes 358-377.

### Scope and Contents

Much of the Commission's day-to-day financial material was discarded prior to shipment of the archival records to the Rockefeller Archive Center, and is therefore not contained in the archives of the Trilateral Commission (North America). Financial records documenting activities of the past five years are maintained in the Washington D.C. office.

In the Commission's first triennium (July 1973-June 1976) all program expenses were covered out of the North American office in New York. In the second triennium, a system was put in place - termed the "balancing of accounts" - for program expenses to be shared among the three areas and for a coordination contribution to be made by Europe and Japan to North America. Archival holdings are available documenting the balancing of accounts, 1976-1988.

Funding for Commission operations was obtained from corporations and private foundations. Early funding sources documented in the archival collection include, but are not limited to: David Rockefeller, the Kettering Foundation, the Rockefeller Brothers Fund, the Lilly Endowment and the Ford Foundation.

The collection also contains a selection of financial statements and reports for the period 1973-1997.

Title/Description	Instances	
Trilateral Commission N.A. Financial Statements, 1984	box 358	folder 3585
Official Financial Statements, 1975-1976 through 1978-1979, 1975-1979	box 358	folder 3586
Official Financial Statements, 1979-1980 through 1981-1982, 1979-1982	box 358	folder 3587
Official Financial Statements, 1982-1983 through 1985-1986, 1982-1986	box 358	folder 3588
Audited Financial Statements, 1985-1986 through 1990-1991, 1985-1991	box 358	folder 3589
Audited Financial Statements, 1991-1992 through 1996-1997, 1991-1997	box 358	folder 3590
Real Estate Tax Issue, 1973-1995	box 359	folder 3591
Approval of Omega Purchase, 1982	box 359	folder 3592
Corporate, 1973-1990	box 359	folder 3593
Correspondence with Lawyers, etc., 1972-1973	box 359	folder 3594
<u>Conditions Governing Access note:</u>		
<b>Conditions Governing Access note</b>		
Permanently Restricted - Confidential Material - Personnel		
Correspondence with Lawyers, etc., 1974	box 359	folder 3595
<u>Conditions Governing Access note:</u>		
<b>Conditions Governing Access note</b>		
Permanently Restricted - Confidential Material - Personnel		
Correspondence with Lawyers, etc., 1975	box 359	folder 3596
<u>Conditions Governing Access note:</u>		
<b>Conditions Governing Access note</b>		
Permanently Restricted - Confidential Material - Personnel		
Correspondence with Lawyers, etc., 1976	box 359	folder 3597
<u>Conditions Governing Access note:</u>		
<b>Conditions Governing Access note</b>		
Permanently Restricted - Confidential Material - Personnel		
Correspondence with Lawyers, etc., 1977	box 359	folder 3598
<u>Conditions Governing Access note:</u>		

**Conditions Governing Access note**

Permanently Restricted - Confidential Material - Personnel

Correspondence with Lawyers, etc., 1978	box 359	folder 3599
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Conditions Governing Access note:

**Conditions Governing Access note**

Permanently Restricted - Confidential Material - Personnel

Correspondence with Lawyers, etc., 1979	box 359	folder 3600
---	---------	-------------

Conditions Governing Access note:

**Conditions Governing Access note**

Permanently Restricted - Confidential Material - Personnel

Correspondence with Lawyers, etc., 1980-1995	box 359	folder 3601
--	---------	-------------

Conditions Governing Access note:

**Conditions Governing Access note**

Permanently Restricted - Confidential Material - Personnel

Executive Committee Consents to the use of Letterhead	box 360	folder 3602
---	---------	-------------

Conditions Governing Access note:

**Conditions Governing Access note**

Permanently Restricted - Confidential Material - Personnel

### Finance - Fundraising

Fundraising - Correspondence, 1987-1999	box 360	folder 3603
---	---------	-------------

Contribution History, 1974-2001	box 360	folder 3604
---------------------------------	---------	-------------

Memos to David Rockefeller, Kennan, Victor, 1989-1997	box 360	folder 3605
---	---------	-------------

Cover Memos to Paul Volcker, 1998-2001	box 360	folder 3606
--	---------	-------------

Rockefeller, 1992-1995	box 360	folder 3607
------------------------	---------	-------------

Went, 1994-1995	box 360	folder 3608
-----------------	---------	-------------

Labrecque, 1994	box 360	folder 3609
-----------------	---------	-------------

Haas, 1994-1995	box 360	folder 3610
-----------------	---------	-------------

Fundraising - Correspondence, 1985-1994	box 360	folder 3611
---	---------	-------------

Trilateral Development Committee, 1994	box 360	folder 3611A
--	---------	--------------

Corporate Solicitation for Funds for Third Triennium, 1979-1980	box 360	folder 3612
---	---------	-------------

Foundation Matters, 1977-1978	box 360	folder 3613
-------------------------------	---------	-------------

Foundation Grants, 1976-1979	box 360	folder 3614
------------------------------	---------	-------------

Donner Foundation Grant and Accounting, 1977-1978

	box 360	folder 3615
Ford Foundation Grants, 1977-1979	box 361	folder 3616
Ford Foundation, 1979-1980	box 361	folder 3617
Rockefeller Foundation, 1979-1990	box 361	folder 3618
Michel David-Weill, 1983-1991	box 361	folder 3619
Edmund D. Rothschild Foundation, 1979-1989	box 361	folder 3620
United States-Japan Fdn, 1991-1992	box 361	folder 3621
Emily & Ernest Woodruff Fund, 1980	box 361	folder 3622
Gund Foundation, 1979	box 361	folder 3623
William and Flora Hewlett Foundation, 1985-1992	box 361	folder 3624
German Marshall Fund, 1979-1992	box 361	folder 3625
W. Alton Jones Foundation, Inc., 1983	box 361	folder 3626
Lilly Endowment, 1980	box 361	folder 3627
Andrew W. Mellon Foundation, 1979-1988	box 361	folder 3628
John D. and Catherine T. Macarthur Foundation, 1979-1990	box 361	folder 3629
McDonnell Foundation	box 361	folder 3630
Packard Foundation, 1988-1991	box 361	folder 3630A
Rockefeller Brothers Fund, 1979	box 361	folder 3631
Toyota Fund - Submission, 1978 July	box 361	folder 3632
Woodruff Fund, Inc., 1980	box 361	folder 3633
George Gund Foundation - Submission, 1979 May 10	box 361	folder 3634
The George Gund Foundation	box 362	folder 3635
Hearst Foundation, 1979	box 362	folder 3635A
William and Flora Hewitt Foundation, 1982-1985	box 362	folder 3636
Johnson Foundation, 1977-1978	box 362	folder 3637
Charles P. Kettering Foundation, 1976-1982	box 362	folder 3638
Rockefeller Brothers Fund, 1973-1985	box 362	folder 3639
Rockefeller Foundation, 1973-1985	box 362	folder 3640
Andrew W. Mellon Foundation, 1976-1982	box 362	folder 3641
Edmund De Rothschild Foundation, 1977-1983	box 363	folder 3642
Rockefeller Brothers Fund	box 363	folder 3643
Memo/DB Overview, 1978	box 363	folder 3644



Henry Luce Foundation	box 363	folder 3644A
Ford Foundation - Second Triennium Grant, 1976-1979	box 363	folder 3645
Ford Foundation - Third Triennium Grant, 1979-1982	box 363	folder 3646
Fleischmann Foundation, 1979	box 363	folder 3647
German Marshall Fund, 1977-1981	box 363	folder 3648
German Marshall Fund - Employment, etc. - Task Force Submission, 1979 August 29	box 363	folder 3649
McKnight Foundation, 1978-1980	box 363	folder 3650
Andrew W. Mellon Foundation - Submission, 1979 May 14	box 363	folder 3651
George S. Franklin - Foundation Papers, 1974-1978	box 363	folder 3652
European Cultural Foundation, 1973	box 364	folder 3653
The Donner Foundation, 1975-1982	box 364	folder 3654
Annenberg Fund, 1983-1992	box 364	folder 3655
Florence V. Burden Foundation, 1979	box 364	folder 3656
Donner Foundation, 1983	box 364	folder 3657
The Japan Foundation - Center for Global Partnership, 1992-1993	box 364	folder 3658
The Japan Foundation - Center for Global Partnership, 1991-1993	box 364	folder 3659
Grants, 1976-1982	box 365	folder 3660
Foundation Support	box 365	folder 3661
Atlantic Institute, 1974-1979	box 365	folder 3662
Charles E. Merrill Foundation	box 365	folder 3663
Corporations - Lists with GSF Markings of Earnings from S&P Stock Guide	box 365	folder 3664
Foundations, 1991	box 365	folder 3665
Financial Picture for Triennium (ending June 30, 1985), 1982-1985	box 365	folder 3666
Past Supporters, 1987-1991	box 365	folder 3666A
Cold Solicitations, 1991	box 365	folder 3667
Contributors, 1979-1988	box 366	folder 3668
Contributors, 1984-1985	box 366	folder 3669
Corporations giving Int'l Orgs. - Responses to Trilateral Mailing, 1984	box 366	folder 3670
Master TC Program	box 366	folder 3671
Solicitation of June 1983 - Follow Up Letters, 1983 October 28	box 366	folder 3672

Follow Up Fundraising, 1982	box 366	folder 3673
Solicitations, 1984	box 366	folder 3674
Solicitations, 1982	box 366	folder 3675
Solicitations, 1982	box 366	folder 3676
Recipients 1982-1985, 1984 November 21	box 366	folder 3677
Original Recap Sheets, 1983 June 30	box 366	folder 3678
Abbott Labs	box 366	folder 3679
Dunn and Bradstreet Corp.	box 366	folder 3680
Citibank, N.A., 1982-1985	box 366	folder 3681
Solicitations, 1983-1992	box 366	folder 3681A
Diamond Shamrock, Inc.	box 366	folder 3682
Solicitations, 1984-1985	box 366	folder 3683
Hearst Newspaper (The Hearst Foundation)	box 367	folder 3684
Solicitations, 1982-1991	box 367	folder 3685A
Solicitations, 1979-1992	box 367	folder 3685
McDonnell-Douglas, 1983	box 367	folder 3686
Solicitations, 1983-1991	box 367	folder 3687
Pilsbury, 1982-1984	box 367	folder 3688
The Parsons Corporation, 1984-1988	box 367	folder 3689
PPG Industries	box 367	folder 3690
Rexnord, 1986	box 367	folder 3691
Parsons and Whitmore, 1983-1991	box 367	folder 3692
Solicitations, 1984-1992	box 367	folder 3693
Fundraising - Individual Records, 1974-1983	box 367	folder 3694
Individual Solicitations, 1978-1982	box 367	folder 3695
TC Members Responses to DR Request for Help with Corporate Giving	box 368	folder 3696
TC Members Responses to DR Request for Help with Corporate Giving	box 368	folder 3696A
TC Members Responses to DR Request for Help with Corporate Giving	box 368	folder 3696B
Corporations to be Solicited by Commissioners	box 368	folder 3697
Corporations to be Solicited by Commissioners	box 368	folder 3698

Fundraising, 1983-1984	box 368	folder 3699
Ford Foundation, 1973-1976	box 369	folder 3700
Sumitomo Fund, 1978	box 369	folder 3701
German Marshall Fund, 1973-1979	box 369	folder 3702
Toyota Submission, 1978	box 369	folder 3703
Kettering Foundation, 1973	box 369	folder 3704
Kettering - LDCs, 1973-1976	box 370	folder 3705
Volkswagen, 1977-1978	box 370	folder 3706
Volkswagen	box 370	folder 3707
Stiftung Volkswagenwerk - Submission to Volkswagen Foundation, 1976	box 370	folder 3708
Volkswagen - Closing Report; Correspondence	box 370	folder 3709
Japanese Contribution to Program, 1975	box 370	folder 3710
European Expenses, 1973-1977	box 370	folder 3711
Japanese Expenses	box 371	folder 3712
Foundations, 1972-1973	box 371	folder 3713
Ford Grant Bank Account Food Meeting	box 371	folder 3714
Ford Appeal	box 371	folder 3714A
Ford Food Meeting Grant	box 371	folder 3714B
Ford Grant	box 371	folder 3714C
Complete Scaife Letter, 1978 November 17	box 371	folder 3715
Financial Support - Corporate	box 371	folder 3716
Fundraising by David Rockefeller, 1982-1983	box 372	folder 3717
TC Summary for Foundations	box 372	folder 3718
Finance Memos and Fundraising	box 372	folder 3719
New Listings of Corporations (Publication Mailings), 1983	box 372	folder 3720
Possible Corporate Patrons - Dinner with Stockman, 1981-1982	box 372	folder 3721
Financial Status, 19,801,983	box 372	folder 3721A
Balancing of Accounts with Europe and Japan		
Balancing of Accounts, 1976-1977	box 372	folder 3722
Balancing of Accounts, 1976-1977	box 372	folder 3723
Balancing of Accounts, 1976-1977,1977-1978	box 372	folder 3724
Balancing of Accounts, 1977-1978		

	box 373	folder 3725
Balancing of Accounts, 1979	box 373	folder 3726
Balancing of Accounts, 1978-1979	box 373	folder 3727
Account Balance Administration, 1979	box 373	folder 3727A
Balancing of Accounts, 1979-1980	box 373	folder 3728
Balancing of Accounts, 1979-1980	box 373	folder 3729
Balancing of Accounts, 1979-1980	box 373	folder 3729A
Balancing of Accounts, 1979-1980	box 373	folder 3729B
Balancing of Accounts, 1979-1980	box 373	folder 3729C
Balancing of Accounts, 1980-1981	box 373	folder 3730
Balancing of Accounts, 1981-1982	box 374	folder 3731
Balancing of Accounts, 1981-1982	box 374	folder 3731A
Balancing of Accounts, 1981-1982	box 374	folder 3731B
Balancing of Accounts, 1981-1982	box 374	folder 3731C
Balancing of Accounts, 1981-1982	box 374	folder 3731
Balancing of Accounts, 1982-1983	box 374	folder 3732
Balancing of Accounts, 1982-1983	box 374	folder 3732A
Balancing of Accounts, 1982-1983	box 374	folder 3732B
Balancing of Accounts - Expenses and Receipts Estimates, 1983 June	box 374	folder 3733
Balancing of Accounts, 1983-1984	box 374	folder 3734
Balancing of Accounts, 1983-1984	box 374	folder 3734A
Balancing of Accounts, 1983-1984	box 374	folder 3734B
Balancing of Accounts, 1983-1984	box 374	folder 3734C
Balancing of Accounts, 1984-1985	box 374	folder 3735
Balancing of Accounts, 1984-1985	box 374	folder 3736
Balancing of Accounts, 1985-1986	box 375	folder 3737
Old Projects, 1990-1991	box 375	folder 3738
Balancing of Accounts, 1987-1988	box 375	folder 3739
Balancing of Accounts, 1987-1988	box 375	folder 3739A
Balancing of Accounts, 1987-1988	box 375	folder 3739B
Balancing of Accounts, 1987-1988	box 375	folder 3739C
Balancing of Accounts, 1986-1987	box 375	folder 3740

Balancing of Accounts, 1986-1987	box 375	folder 3740A
Balancing of Accounts, 1986-1987	box 375	folder 3740B
Balancing of Accounts, 1986-1987,1987-1988	box 375	folder 3741
Balancing of Accounts, 1985-1986	box 375	folder 3742
Bond Beebe (Accountants) - Correspondence	box 376	folder 3743
Bond Beebe (Accountants) - Financial Statements, 1973-1977	box 376	folder 3744
Bond Beebe (Accountants) - Financial Statements, 1973-1977	box 376	folder 3744A
Bond Beebe (Accountants) - Financial Statements, 1973-1977	box 376	folder 3744B
Matters re: Fundraising, 1977-1980	box 376	folder 3745
Foundation Fundraising, 1977-1980	box 376	folder 3745A
Fundraising Dinner, 1983	box 376	folder 3745B
Fundraising Dinner, 1984	box 376	folder 3746
North American Budget, 1982-1985 Triennium	box 376	folder 3747
Work Lists for Corporate Solicitations - First Drafts, 1979	box 377	folder 3748
Fundraising Lists, 1979	box 377	folder 3749
Corporate Patron Letter, 1979	box 377	folder 3750
Master Copy of Corporation Letter	box 377	folder 3751
Ambassador Mansfield Dinner (for Corporate Patrons), 1981	box 377	folder 3752
Possible Patrons Dinner with Brzezinski, 1978-1980	box 377	folder 3753
Fundraising Enclosures, 1979	box 377	folder 3754
Fundraising, 1981 May-June	box 377	folder 3754A
TC Members - Corporation Solicitation Drive, 1979	box 377	folder 3755
Master Corporate List for Solicitations	box 377	folder 3755A
David Rockefeller Fundraising - Lists of Follow Up Items, 1979-1980	box 377	folder 3756
Corporations Solicited Negative Response, 1979	box 377	folder 3737
Enclosures with Corporate Patrons Letters, 1979	box 377	folder 3758
Fundraising - Personal and Corporate, 1979	box 377	folder 3759

[^ Return to Table of Contents](#)