



Rockefeller University records, Corporation, Board of Trustees, Committees

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Summary Information

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|----------------------------------|--|
| Repository: | Rockefeller Archive Center |
| Creator: | Rockefeller Institute for Medical Research |
| Creator: | Gates, Frederick Taylor |
| Creator: | Rockefeller University |
| Title: | Rockefeller University records, Corporation, Board of Trustees, Committees |
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Preferred Citation note

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Biographical / Historical

CORPORATION

In May 1908 the Charter of The Rockefeller Institute for Medical Research was amended to permit the creation of a not more than nine member Board of Trustees to have exclusive control of the real property and the principal of all permanent funds of the Corporation. By-Laws for the Corporation were established October 1910. The members of the first Board of Trustees were: William H. Welch, Frederick T. Gates, Simon Flexner, Starr J. Murphy and John D. Rockefeller, Jr. The first meeting of the Board of Trustees was held October 17, 1910.

Elected officers were President, Vice-President, Secretary and Treasurer of the Corporation. The first three names were required to be members of the Board of Trustees. The Trustees were empowered to

appoint, on the nomination of the Board of Scientific Directors, a General Manager to represent the Board of Trustees in the care and maintenance of the real property of the Corporation. Functioning of the Board of Trustees was through an Executive Committee (of not more than six, including the President) and a Finance Committee (composed of three members elected from the Board by the Board) which was deemed to be in continuous session. Two members were elected for the Budget Committee, a joint committee with the Board of Scientific Directors to approve the annual budget for the scientific work of the Institute, within the limits of the yearly balance of available income. The Board was empowered to appoint such assistants to the Treasurer or other agents and employees as were necessary.

The original By-Laws of the Corporation provided a relatively complicated formula for determining the composition of the members elected to the Board of Trustees, but amendment to the By-Laws in 1928 simplified the Rules to provide for two Trustees appointed by the Scientific Directors, one Trustee named by John D. Rockefeller or John D. Rockefeller, Jr., and the remaining members on a majority vote of the members of the Corporation at an annual meeting or special meeting called for that purpose. May 28, 1914 additional clarifications were made in the Trustees' Rules to further define the authority and powers of the Finance Committee.

Resolutions were taken from time to time, governing the use of income. In 1915 it was resolved that any surplus income accumulated in any one year be added to permanent endowment to be used for non-recurring expenses. However, by 1939, in an effort to secure a downward trend in the size of the budget and to adjust to changes in the economy the 1915 resolution was rescinded.

The first addition to the Board of Trustees was in 1913 when Jerome D. Greene was elected, to the Board. He served until 1932. Starr J. Murphy died in 1921 and was replaced by Raymond B. Fosdick (1921-1936). The next added was Trevor Arnett (1926-1937). Further additions to the Board of Trustees were: Charles W. Appleton (1928-1940), George Murnane (1928-), Henry James (1929-1947), John D. Rockefeller, 3rd (1932-1950), David Rockefeller (1940-), who went on leave with the Armed Forces 1942-45, John C. Traphagen (1936-1959), Frederick Osborn, (1938-1946), Barklie Henry (1947-1966), Lindsley F. Kimball (1947-1971), Donald K. David (1950-1971). From the Board of Scientific Directors, Herbert S. Gasser succeeded Simon Flexner in 1935; Charles R. Stockard succeeded William H. Welch in 1936, and George H. Whipple succeeded Charles Stockard in 1939.

The first officers of the Board of Trustees were: Frederick T. Gates, President; William H. Welch, Vice-President; and Starr J. Murphy, Secretary. Upon the death of F.T. Gates in 1929, John D. Rockefeller, Jr. was elected President of the Board of Trustees and was reelected until he requested that his name not be placed in nomination at the expiration of his term October 1950. (He resigned as a Trustee in 1954). John D. Rockefeller, Jr. was succeeded as President in 1950 by David Rockefeller. William H. Welch was succeeded as Vice-President in 1933 by Simon Flexner who retired in 1935. No action was taken on the vice-presidency until 1937 when Henry James was elected and served until 1947. George Hoyt Whipple was elected Vice-President in 1947. Starr J. Murphy resigned as secretary in 1921 and was succeeded by Raymond Blaine Fosdick who resigned in 1936. Trevor Arnett was elected Secretary in 1936 and resigned within a year. In 1937 Edric B. Smith (who was also Business Manager of the Institute) became Secretary and served until 1954.

Starr J. Murphy served also as Counsel to the Board of Trustees until his death in 1921; Aubin L. Boulware was Counsel 1921-June 1923. A more formal arrangement started September 1924 with Thomas McElrath Debevoise who served until 1958; Associate Counsel 1934-1947 was Chauncey

Belknap and from 1947 John Edwards Lockwood. Mr. Lockwood became Counsel in 1959 and served until 1968/69. Donal Clare O'Brien, Associate Counsel from 1955/56 became Counsel in 1969/70.

In 1953 an extensive reorganization began. New By-Laws adopted June 23, 1953 provided that "The members of the Corporation shall consist of the members of the Board of Trustees and the members of the Board of Scientific Directors as those Boards are constituted on the 23rd day of June 1953 and hereafter the said Boards shall be merged into one Board known as the Board of Trustees".

The new By-Laws for the Corporation were adopted to implement the recommendations made in the Report of the Committee on Nomination and Review which had been appointed October 26, 1951 to "review the fundamental policies of the Institute." The Chairman of the Board was designated the chief policy officer of the Corporation to interpret the policies determined by the Board of Trustees, and the President the chief executive officer of the Corporation to have general supervision over the business of the Corporation and over the several staff officers subject to the control of the Board of Trustees and responsible for the work of the Institute.

The business of the Board of Trustees was implemented by three elected committees: and Executive Committee of between 5 and 7 members including the Chairman; a Finance Committee of between 3 and 5 members (as amended January 1957) to handle investments and advise on financial policy and a policy; and a Budget Committee of between 3 and 5 members to review the budget proposed by the President each year and recommend on its adoption by the Board of Trustees. At the moment of reorganization much of the administrative procedure was determined by Resolution to continue in previous patterns while business and financial studies were in progress.

The first officers elected were: David Rockefeller, Chairman of the Board; George Hoyt Whipple, Vice-Chairman; Detlev Bronk, President; Thomas M. Rivers, Vice-President. Executive officers were Edward Robinson as Treasurer and Edric Brooks Smith who continued as Business Manager and Secretary until his retirement October 1955. Other members of the new Board of Trustees were: Lindsley Fiske Kimball, Donald Kirk David, Ross Granville Harrison, John C. Traphagen, Alphonse Raymond Dochez, Vincent du Vigneaud, Barklie Henry, George Murnane and John Davison Rockefeller, Jr.

As the changing character of the Institute evolved, By-Laws were amended, Resolutions were rescinded and changes were instituted to provide for the development of the Institute along the lines suggested by the Report of the Committee on Nomination and Review. To the three committees originally provided in the By-Laws was added an elected Committee on Audit. Added also were appointive committees: Committee on Educational Policies, Committee on Research, Committee on Business Operations, Committee on Buildings and Grounds and a Committee on Nominations. January 30, 1957 a Committee on Hospital Affairs was appointed to review present and future hospital policies.

In 1955, Thomas M. Rivers, Vice-President and Director of the Institute retired and at that time resolutions were taken to discontinue the division of the Institute into Departments, to eliminate the position of Director of the Institute and to provide for several Vice-Presidents, Frank L. Horsfall served as Vice-President for Clinical Studies 1955-1957 and Douglas Whitaker was Vice-President for Administration 1955-1964. When Edric Smith retired in 1955, John E. Lockwood then Assistant Counsel became, in addition, Secretary of the Board and after the death in 1958 of Thomas Debevoise he became in 1959 both Secretary and Counsel.

New members of the Board of Trustees were: in 1954, Robert Loeb, Eli Whitney Debevoise, Kenneth C. Brownell (1954-1958); in 1956, William J. Robbins; in 1957, Philip Bard; in 1958, Albert L. Nickerson; in 1960, J. Richardson Dilworth and William O. Baker; in 1961 Baron Edgar Douglas Adrian; in 1966 George F. Bennett and Frederick Seitz; in 1968, Philip Handler and John W. Gardner; in 1969, Thomas Grady Cousins, Walter N. Rothschild, Jr., Robert Gregg Stone and Chauncey William Wallace Cook; in 1970, Christian Archibald Herter, Jr. Resignations from the Board of Trustees were: in 1954, John D. Rockefeller, Jr.; 1955, Thomas M. Rivers; 1957 Ross Granville Harrison; 1959 John C. Traphagen and Alphonse Raymond Dochez; 1964 George Murnane; 1965 Baron Edgar Douglas Adrian; 1966 William J. Robbins and Barklie McKee Henry died in 1966.

George H. Whipple who had served on the Board of Scientific Directors and been their elected representative on the Board of Trustees and its Vice-President became a member of the newly constituted Board of Trustees in 1953. He was elected Vice-Chairman and held that office until his resignation in October 1960. Robert F. Loeb was then elected Vice-Chairman of the Board where he served until he resigned May 1970 and was succeeded by William O. Baker.

Changes in Charter and By-Laws were made as the changes in Institute organization developed. In May 1965 the Corporate name was changed to The Rockefeller University and the new Charter and amended By-Laws were published in 1966. They provided that the number of Trustees be between five and twenty-five; that elected officers be a Chairman, Vice-Chairman, and a President all of whom shall be or become Trustees. Other elected officers were to be Secretary and Treasurer, one or more Vice-Presidents, Assistant Secretaries and Assistant Treasurers and other officers as considered necessary by the Board. One person may be elected to more than one office except that President and Secretary may not be the same person. Officers are elected for one year or until respective successors are elected.

On the retirement of Detlev Bronk (who became a Trustee Emeritus) in October 1968, Frederick Seitz was elected President; C. Eugene Sunderlin was elected to succeed John E. Lockwood as Secretary at the time of Mr. Lockwood's retirement and Donal C. O'Brien, Jr. became Counsel at that time.

Board changes:

Additions: Bearn, Alexander G. 1970; Hildebrand, John G. 1970; Kac, Mark 1970; Yang, Chen Ning 1970; Bassine, Charles C. 1971; Casimir, Hendrik E.G. 1971; Haggerty, Patrick E. 1971; Heiskell, Marion S. 1971; Rockefeller, David, Jr. 1971; Whitehead, Edwin C. 1971; Linen, James A. III 1972; Astor, Brooke (Mrs. Vincent Astor) 1972

Retirements or Resignations: David, Donald K. 1971; Gardner, John W. 1971; Kimball, Lindsley 1971; Cook, Chauncey William W. 1972

Resignation: Kac, Mark 1973; Hildebrand, John G. 1973

Additions: Griffin, Donald R. 1973; Williams, Robley C. Jr. 1973

Additions: Ralph E. Ablon 1974; Nicholas F. Brady 1974

In October of 1975 David Rockefeller resigned as Chairman of the Board of Trustees and became Chairman of the Trustees' Executive Committee in order to concentrate his attention on the University's

program to broaden its base of private support. He was succeeded as Chairman of the Board by Patrick E. Haggerty.

New Board appointments: Dr. Lewis Thomas 1975; Dr. Seymour S. Kety 1976; Mrs. Anne E. Reed 1976; Dr. P. Roy Vagelos 1976; Richard M. Furlaud 1976; John R. Stevenson 1976; Neva Rockefeller Kaiser 1977; Norman F. Ramsey 1977; Alexander D. Forger 1978; J. Paul Sticht 1978; Frank T. Cary 1978; Pamela Harriman 1979; David A. Hamburg 1979; Curtis L. Blake 1980

Retirements: resignations: Philip Bard 1974-1975; David Rockefeller, Jr. 1977; Patrick E Haggerty died suddenly October 1, 1980; William O. Baker elected Chairman of Bd. of Trustees October 21, 1980

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Biographical/Historical note

CORPORATION

The Rockefeller Institute for Medical Research was founded in 1901, in New York City, by John D. Rockefeller for the purpose of medical research with special reference to the prevention and treatment of disease. Control rested in seven Directors of the Corporation. Funds from the original grant, provided up to an average of \$ 20,000 a year for ten years. In June 1902, a second pledge of \$ 1,000,000 over ten years was made. These funds were informally handled by drawing upon Mr. Rockefeller's office against the amounts which had been pledged. In November 1907, when Mr. Rockefeller announced an endowment fund to the Institute of \$ 2,600,000 in stocks and bonds, the transfer could not be made directly to the Directors since the Charter of 1901 did not give the Corporation specific authority to hold invested funds. The funds earmarked for the Institute were thus included in a gift to the General Education Board.

In May 1908 the Charter was amended to permit the Corporation to create, either by contract or by-law with the donor, a Board of Trustees empowered to hold investments. October 15, 1910 By-Laws for a Board of Trustees were adopted and a further gift of \$3,820,000 was announced, thus providing an endowment of \$ 6,420,000. Additional gifts from John D. Rockefeller gave the Trustees of the Institute more than \$34,000,000 in land, buildings and endowment over the first twenty years. In 1928 Mr. Rockefeller made another gift of \$ 20,000,000. By 1953 the dollar value of the Institute's endowment was \$ 107,000,000.

The Board of Directors was supplanted, in the Charter amendment of 1908, by a Board of Trustees and a Board of Scientific Directors, (the original Board of Directors) as members of the Corporation. As provided in the 1910 By-Laws, the real property and the principal of all permanent funds were under the exclusive control of the Board of Trustees; all of the scientific work of the Institute, including the work of the Hospital was to be under the exclusive control of the Board of Scientific Directors.

The Corporation functioned through a five-man Budget Committee comprised of three members chosen by the Board of Scientific Directors and two members chosen by the Board of Trustees. Further changes in By-Laws in 1928 provided that of the three Budget Committee members representing the Scientific

Directors, at least one, was to be also a member of the Board of Trustees. In 1937 further changes in By-Laws provided that any portion of the income for any year not expended in accordance with the budget for that year, was to be under the exclusive control of the Board of Trustees.

The Board of Trustees elected the President, Vice-President, Secretary and Treasurer of the Corporation, of whom the first three officers were also the officers of the Board of Trustees. The first President was Frederick T. Gates, succeeded 1929-1950 by John D. Rockefeller, Jr., and succeeded in 1950 by David Rockefeller.

In 1953 an extensive reorganization began. New By-Laws, adopted June 23, 1953 provided [?]. The Members of the Corporation shall consist of the members of the Board of Trustees and the members of the Board of Scientific Directors as those Boards are constituted on the twenty-third day of June 1953, and hereafter the said Boards shall be merged into one Board Known as the Board of Trustees.

The Board of Trustees was also designated the Corporate Board of Directors. Elected officers were Chairman of the Board, Vice-chairman, President, Vice-President, Secretary and Treasurer. David Rockefeller was named the first Chairman of the Board and Detlev W. Bronk was named the first President of the Institute.

November 19, 1954 the Charter of the Institute was amended by the Board of Regents, for and on behalf of the Education Department of the State of New York, so as to authorize the Institute to grant degrees. The Corporation thus became part of the University of the State of New York, subject to the jurisdiction and visitation of the Regents of the said University in all respects as though the Corporation had been originally incorporated by the Regents. June 1958 the Charter of the Institute was again amended to change the name from The Rockefeller Institute for Medical Research to The Rockefeller Institute.

On May 27, 1965 the Board of Regents amended the Charter of the Institute in its entirety and changed the corporate name from The Rockefeller Institute to The Rockefeller University.

In accordance with By-Laws adopted October 17, 1966 the Corporation shall consist of the members of the Board of Trustees as constituted from time to time.

CORPORATION Board of Directors (1901-1910)

Under certificate of incorporation dated May 28, 1901 and filed in the office of the Secretary of State and of the Clerk of New York County on June 13, 1901, control of the Rockefeller Institute for Medical Research rested in a seven-man Board of Directors. May 6, 1908 the Charter was amended to allow creation of a Board of Trustees by by-law or contract. By-Laws for this change were not adopted until October 15, 1910.

At the first meeting, June 14, 1901 the officers elected were: William H. Welch, President; T. Mitchell Prudden, Vice-President; L. Emmett Holt, Secretary, and Christian A. Herter, Treasurer. Three other Directors were Theobald Smith, Hermann M. Biggs and Simon Flexner who at a meeting on October 25, 1902 was unanimously elected Director of the Institute.

Planning, business and work of the Institute were carried on under the direction of the seven Directors, operating through an Executive Committee, composed of three members and the President, and amended April 21, 1906 to four elected members and the Director of the Laboratories, ex-officio. Special committees, such as a Committee on Library and Supplies, a Building Committee and a Committee

on Equipment were appointed for short periods and special ongoing needs. April 10, 1909 a Finance Committee, of three members from the Executive Committee was established. Administrative functions were carried by the Directors until January 1906 when James U. Norris, Superintendent; N. Curtice Holt, Clerk (to keep books and accounts of the Institute) and a library stenographer were employed.

When the main laboratory with animal house and power house were ready for use a formal dedication was held on May 11, 1906 with addresses by L. Emmett Holt, William H. Welch, President Nicholas Murray Butler of Columbia University and Charles W. Eliot of Harvard.

By July 1910, a General Manager, Jerome D. Greene was added to the administrative staff.

Board of Scientific Directors (1910-1953):

As provided by the By-Laws of 1910 control of all scientific work of the Institute, including the work of the Hospital was under the exclusive control of the not-more than seven-man Board of Scientific Directors. The Charter change in 1910 provided that successors of the first Board of Directors be elected by the Board of Trustees from candidates nominated by the Scientific Directors.

Executive organization consisted of an Executive Committee, Budget Committee and a Committee on the Hospital, which last was dropped in the amended Rules January 19, 1918. Committee members were elected at the Annual Meeting, but amended in 1946 to provide that the term be for one year and until the election of successors. Administrative officers were the Director (clearly provided after 1924), Director of the Laboratories, Director of the Hospital, between 1915-1930 a Director of the Department of Animal Pathology, and between 1930-1951 a Director of the Department of Animal and Plant Pathology. Another administrative officer was a General Manager, to be Treasurer of the Board of Scientific Directors (amended October 14, 1911... shall act as treasurer...). The General Manager (amended January 11, 1913 to Manager; amended April 20, 1918 to Business Manager) was authorized to draw upon the Treasurer of the Board of Trustees for the sums of money needed to meet Institute expenses authorized under the budget. Administrative officers were originally elected by the Board of Scientific Directors to serve at its pleasure. Later amendments provided that the Director be an appointment of the Corporation on nomination of a committee composed of three from the Scientific Directors and three from the Board of Trustees. The Business Manager, selected by the Scientific Directors was appointed by the Board of Trustees. Other administrative officers were elected by the Board itself. Appointive positions, at the discretion of the Board of Scientific Directors, were Deputy Business Manager, Assistant Business Manager, Bursar and a group of hospital positions.

Changes in the By-Laws of the Corporation in 1928 provided that the not-more than seven-man Board of Scientific Directors have no more than two who were actively engaged in scientific work for the Corporation. This was further modified in 1934 so that the Board of Scientific Directors was to consist of the Director and no more than six others with only the Director of the Institute being an active member of the staff engaged in scientific work for the Corporation. Also provided was that the appointment of the Director of the Institute was to constitute his election as a member of the Board of Scientific Directors to hold office until the end of his service as Director of the Institute. October 28, 1933 the By-Laws of the Corporation were amended to provide that a member of the Board of Scientific Directors, on retirement from the Board, could, on recommendation or with the approval of the Board of Scientific Directors be given, by the Board of Trustees, the honorary title of Member Emeritus of the Board of Scientific Directors. At the same time, the By-Laws regarding a vacancy on the Board of Scientific

Directors provided that for each vacancy at least two candidates be nominated by the Scientific Directors for election by the Board of Trustees.

The members of the original Board of Directors became the Board of Scientific Directors and remained unchanged except that in 1911 Theodore C. Janeway was elected to fill the vacancy created by the death of Christian A. Herter. T.C. Janeway died in 1918 and until 1920 the Board of Scientific Directors consisted of only six members. In 1920 W.J.V. Osterhout was added to the Board. In 1923 Hermann M. Biggs died, and in 1924 both L. Emmett Holt and T. Mitchell Prudden died. Francis Gilman Blake and John Howland were named in 1924 to fill two of the vacancies. In 1925 W.J.V. Osterhout resigned to join the scientific staff of the Institute and in 1926 Charles R. Stockard was elected to fill this vacancy. In 1926 Francis W. Peabody was elected to succeed T. Mitchell Prudden but he died less than a year later. John Howland died in 1926, and Eugene L. Opie, elected to fill that vacancy served on the Board of Scientific Directors 1929-1932. In 1930 James B. Conant was elected to fill the vacancy created by the death of Francis W. Peabody. William H. Welch resigned in 1933 and Warfield T. Longcope was elected to that vacancy in 1934. Theobald Smith died in 1934. In 1935 A. Raymond Dochez was elected to succeed Francis G. Blake, and in October of that same year Herbert S. Gasser succeeded Simon Flexner as Director of the Institute and on the Board of Scientific Directors. In 1936 Walter B. Cannon and George H. Whipple were elected to the Board. Charles Rupert Stockard died in 1939 and was succeeded by Ross G. Harrison. Walter B. Cannon died in 1945 and was succeeded in 1946 by Detlev W. Bronk. James B. Conant resigned in 1949 and Vincent du Vigneaud was elected to that vacancy. Warfield T. Longcope resigned in 1952.

The original elected officers of the Board of Scientific Directors were William H. Welch, President; T. Mitchell Prudden, Vice-President; and L. Emmett Holt, Secretary. In 1924 Theobald Smith was elected Vice-President and Francis G. Blake, Secretary. In 1933, Theobald Smith was elected President and Charles R. Stockard, Vice-President. Charles R. Stockard was elected President and A. Raymond Dochez, Secretary in 1935- The office of Vice-President was not filled until 1937 when Warfield T. Longcope was elected Vice-President. Warfield T. Longcope became President in 1939 and in 1940 Ross G. Harrison became Vice-president. In 1952 when Warfield T. Longcope resigned from the Board of Scientific Directors, Ross G. Harrison was elected President; A. Raymond Dochez, Vice-President and Vincent du Vigneaud, Secretary.

William H. Welch and Simon Flexner were the original representatives of the Board of Scientific Directors on the Board of Trustees. When Simon Flexner retired as Director of The Institute he was succeeded on the Board of Trustees by Herbert S. Gasser, and in 1936 Charles R. Stockard was appointed to succeed the late William H. Welch. In 1939 Charles R. Stockard was succeeded by George H. Whipple.

In 1953 an extensive reorganization began. The Rules for the Board of Scientific Directors were repealed. New By-Laws for the Corporation provided that the members of the Board of Scientific Directors as constituted on June 23, 1953, and the members of the Board of Trustees on that same date, merge to form one Board known as the Board of Trustees.

Governing Boards of The Rockefeller Institute for Medical Research, 1901-1911, from information in the Minutes of the Board of Scientific Directors, May 1901-June 1911 (The first minutes of the Board of Trustees are dated 17 October 1910)

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| 24 June 1901 | Board of Directors; William H. Welch, President; T. Mitchell Prudden, Vice President; Christian A. Herter, Treasurer; L. Emmett Holt, Secretary; Hermann M. Biggs; Simon Flexner; Theobald Smith |
| 12 April 1902 | Directors Flexner and Holt, elected for three years to succeed themselves. Officers of previous year re-elected. |
| 11 April 1903 | Directors Herter and Smith, elected for three years to succeed themselves. Officers of previous year re-elected. |
| 13 June 1904 | Directors Biggs, Prudden, and Welch, elected for three years to succeed themselves. Officers of previous year re-elected. |
| 15 April 1905 | Directors Holt and Flexner, elected for three years to succeed themselves. Officers of previous year re-elected. New officer: "Directors of Laboratories - Dr. Simon Flexner." |
| 21 April 1906 | Directors Smith and Herter, elected for three years to succeed themselves. Officers of previous year re-elected. |
| 13 April 1907 | Directors Welch, Biggs, and Prudden, elected for three years to succeed themselves. Officers of previous year re-elected |
| 11 April 1908 | Directors Flexner and Holt, elected for three years to succeed themselves. Officers of previous year re-elected. |
| 10 April 1909 | Directors Smith and Herter, elected for three years to succeed themselves. Officers Welch, Prudden, and Flexner re-elected. L. Emmett Holt, Secretary and Treasurer. New Officer: "Director of the Hospital - Dr. Rufus I. Cole." |
| 9 April 1910 | Directors Welch, Prudden, and Biggs, elected for three years to succeed themselves. Officers of previous year re-elected. |

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| 15 October 1910 | Board of Directors adopts new By-laws, establishing Board of Trustees, to consist of not more than 9 members, and Board of Scientific Directors, to consist of not more than 7 members. |
| | First Board of Trustees: William H. Welch, Frederick T. Gates, Simon Flexner, Starr J. Murphy, John D. Rockefeller, Jr. |
| | First Board of Scientific Directors: Simon Flexner, L. Emmett Holt, Theobald Smith, Christian A. Herter, William H. Welch, T. Mitchell Prudden, Hermann Biggs. |
| 21 January 1911 | Recorded as "Regular Quarterly Meeting of the Board of Directors." |
| 8 April 1911 | Recorded as "Regular Quarterly Meeting of the Board of Scientific Directors." |

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File Plan note

Former Classification: I 130-8

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Administrative Information

Publication Statement

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Revision Description

Conditions Governing Access note

This collection is open for scholarly research.

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Controlled Access Headings

- Rockefeller Institute for Medical Research
- Gates, Frederick Taylor
- Rockefeller University

Physical Characteristics and Technical Requirements note

Materials requiring specialized equipment for access (film, audio, video, slides) may be closed to research dependent on availability of the applicable equipment

Brittle or damaged items, or materials otherwise in need of preservation care, may be closed to researchers at the discretion of the RAC Head of Archival Services/Head of Reference.

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Collection Inventory

| Title/Description | Instances |
|-------------------------------------|-----------|
| Building Committee, 1909-1931, 1949 | box 1 |

Scope and Contents note

Papers: minutes, notes, charts, estimates, summaries and correspondence.

Includes minutes of meetings of Building Committee, duplicates of correspondence, correspondence with contractors, correspondence with Starr J. Murphy regarding

expenditure authorizations for placing contracts and acceptance of bids. Also correspondence with architects. Some material from the Princeton Improvement Committee. Includes some drawings, working papers and handwritten notes.

Also material used showing need of additional endowment.

Arrangement note

Arranged in chronological order.; Hospital construction filed separately.

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| Material regarding hospital construction, 1909-1910 | box 1 |
| Records and material showing change of the original building plan from the idea of adding wings to the first laboratory building to separate buildings., 1910-1914 | box 1 |
| Letter (copy) to Starr J. Murphy "Notes on Present Buildings, NY" for presentation to the Rockefeller Foundation, June 28, 1916 attached to a compilation of supporting data, 1916 June 23 | box 1 |
| Compilation of data dated April 30, 1914-Nov. 23, 1917 dealing with Endowment and Capital Expenditures for the Department of Animal Pathology, 1917 December 11 | box 1 |
| Exterior Street elevation (Coolidge, Shepley, Bulfinch and Abbott, architects) of proposed building plans, 1928 June 31 | box 1 |
| Committee papers, 1953-1958 | box 2 |
| Committee on Business Operations, 1953-1957 | box 2 |

Scope and Contents

Meetings and reports of committee to investigate accounting methods and procedures as per changes suggested by Ernst & Ernst, including letters from Charles Petrzeka, Bursar. Report from Detlev Bronk to Douglas Whitaker (including handwritten first draft). Analysis of appropriations, 1953-1958. Includes study done by Cresap, McCormick and Peget, Reconnaissance Report: Business Management, Organization, Objectives and Policies, December 1953.

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| Meetings and Reports, 1956-1957 | box 2 |
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Scope and Contents

Committee reports to investigate: Obsolescence Fund; Insurance Fire Loss Reserve; Pension Fund; Workmen's Compensation Insurance Fund.

With correspondence from Roger C. Elliot reporting on progress in setting up Major Medical Insurance Plan.

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| Committee on Educational Policies, 1957-1958 | box 2 |
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Scope and Contents

Includes a report to the Committee comprised of: 1. A list of students and their advisors. 2. Description of student-faculty conference. 3. Notes from the Dean of Graduate Studies on evolution of the program. 4. Description of the seminars and courses offered in 1958.

Committee on Hospital Affairs, 1957-1958

box 2

Scope and Contents

Summary of conditions under study, in-patients, out-patients, patient per physician (in-patient), cost of patient care.

Committee on Nomination and Review, 1951 December-1953 June

Biographical/Historical note

The Committee on Nomination and Review was chosen by the Board of Trustees and the Board of Scientific Directors at a meeting on 26 October 1951 to nominate a director to succeed Dr. Herbert S. Gasser who was scheduled to retire in June 1953. In its deliberations the Committee was asked to review the fundamental policies of the Institute.

Committee members were: Detlev W. Bronk, Chairman ; Donald K. David ; A. Raymond Dochez, M.D. ; Barklie Henry ; Lindsley F. Kimball, M.D. ; David Rockefeller, ex officio ; George H. Whipple, M.D.

Additional people attending some or all meetings were Warren Lindquist, secretary; W.F. Loomis, consultant; John Lockwood and Thomas M. Debevoise, counsels.

Meetings were held between December 1951 and October 1952. The nomination of Detlev Bronk for the position of Director had been determined by the Annual meeting of October 1952 though no announcement was to be made until June 1953 at which time final report was to be made.

Scope and Contents note

Loomis diaries.

The papers consist of records of the deliberations. They are arranged in 11 folders. Also included is a mimeographed copy of Herbert S. Gasser's "Supplement to the Report to the Members of the Corporation" 1951 October 26.

Arrangement note

Arranged by subject.

Loomis diaries, 1952 January 15-May 1

box 3

folder 1

Scope and Contents note

These comprise a running log of interviews conducted with leaders in medical research soliciting opinions on the place of the RIMR for the future. The pages are numbered consecutively though interviews were conducted over a period of time and copies were sent to committee members in portions, with a covering letter.

Included are 11 pages of suggestions and observations extracted from the Loomis diaries annotated as to page and source.

Replies to David Rockefeller letter of 1952 April 11, 1952

box 3

folder 2

Scope and Contents note

A letter was sent to Members of The Rockefeller Institute soliciting opinions and suggestions for possible candidates as Director as well as directions for development. Replies are alphabetically arranged: Archibald, R.M. ; Braun, Armin C. ; Craig, Lyman C. ; Dole, Vincent ; Dubos, Rene ; Goebel, Walther ; Granick, Sam ; Holmes, F.O. ; Horsfall, F.L. ; Kunitz, Moses ; Kunke, Henry ; Lancefield, R. ; Lloyd, D.P.C. ; Longworth, L. ; McCarty, M. ; McMaster, P. ; Moore, S. ; Nelson, J.B. ; Northrop, J.H. ; Olitsky, P. ; Porter, K.R. ; Rivers, T.M. ; Stein, W.H. ; Stoll, Norman ; Trager, W. ; Van Slyke, D.D. (emeritus) carbon copy ; Woolley, D.W.

Summaries of Committee meetings

box 3

folder 3

Scope and Contents note

Includes covering letter from Warren T. Lindquist 1953 January 9.

Includes a set of ribbon copies and set of 1st carbon copies.

Also includes "Eight alternatives to present pattern" (2nd meeting) and "Nine conclusions reached at 3rd meeting and reaffirmed at 4th meeting and five additional conclusions reached at 4th meeting".

Agenda of Committee meetings, 1951 December 10

Review of present patterns and alternatives, 1952 January 18

Draft of conclusions, 1952 March 25

Nomination of Detlev W. Bronk, 1952 April 22

Preliminary draft of report, 1952 May 21

Draft of new By-Laws, 1952 June 24

Reaffirm nomination of D.W. Bronk, 1952 October 31

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|---|-------|----------|
| Correspondence and notes | box 3 | folder 4 |
| David Rockefeller to Detlev Bronk, 1952 April 24 | | |
| Scope and Contents note | | |
| Enclosing copy of letter from John Lockwood on relationship of Board of Trustees to Board of Scientific Directors. This was written after April 22 meeting which nominated D.W. Bronk as Director. Handwritten note by David Rockefeller added. | | |
| Tentative outline of position for Nomination Committee at Annual Meeting regarding the nomination of D.W. Bronk with the understanding of his unavailability until June 1954, 1954 | | |
| Scope and Contents note | | |
| Includes 6 pages of handwritten notes by D.W. Bronk on conditions for his acceptance. | | |
| G letter from D.W. Bronk to David Rockefeller, 1952 October 2 | | |
| Scope and Contents note | | |
| 3 page carbon copy itemizing his feelings regarding his obligations to The Johns Hopkins and the effect on his relation to the RIMR. Includes 1 folded page of handwritten notes by Dr. Bronk written on the evening of October 30 and read to the Special Committee the morning of October 31 and a second page of handwritten notes written as an addendum immediately following the Special Committee meeting on October 1952. | | |
| Correspondence | box 3 | folder 5 |
| W. "Farnie" Loomis to D.W. Bronk resigning as secretary of the Committee as of the 30th of June since work of Committee is "essentially finished", 1952 June 30 | | |
| John E. Lockwood to D.W. Bronk enclosing a new set of By-Laws merging the Board of Trustees and the Board of Scientific Directors, 1952 July 10 | | |
| Richard Shope to D.W. Bronk on the need for a mechanism by which differences between scientific staff and administration can be considered in a democratic fashion, 1952 November 24 | | |
| Rafael [Lorente de No] to D.W. Bronk on change of planned resignation from the RIMR, 1952 December 6 | | |
| Summary of meeting of the Special Committee, 1953 January 22 | box 3 | folder 6 |
| Scope and Contents note | | |
| Covering letter from Warren T. Lindquist to Detlev W. Bronk as Chairman of the Committee and draftsman of the Committee report. | | |

Included are: five pages of handwritten notes used by Dr. Bronk in a presentation and which are intended to serve as Appendix I [typescript translation]; 1/23/1953 draft of new by-laws to serve as Appendix II and mimeographed minutes of 22 January 1953 Executive Committee meeting of the Board of Trustees forming Appendix III.

By-Laws, 1953 May 20

box 3

folder 7

Scope and Contents note

Draft of By-Laws with 1953 May 20 covering letter from John Lockwood to D.W. Bronk. Changes suggested by David Rockefeller deal with Chairman of the Board, President and a Director.

Includes 3 pages of handwritten notes by D.W. Bronk dealing with possible conflict inherent in suggested organization.

Further revision of By-Laws, 1953 June 4

box 3

folder 8

Scope and Contents note

Covering memo from T.M. Debevoise and John E. Lockwood to members of the Nomination Committee clarifying changes.

Procedures for adoption of new by-laws, 1953

box 3

folder 9

Scope and Contents note

1953 June 5 and 1953 June 15 letters from J.E. Lockwood to D.W. Bronk dealing with procedures and resolutions to be presented for adoption at meeting of the Corporation on 23 June 1953.

Press releases

box 3

folder 10

Draft of release announcing retirement of Herbert S. Gasser as Institute Director, 1953 June 21

Draft of release announcing appointment of Detlev W. Bronk as Director, 1953 June 24

Covering letter from F.A. Jamieson, 1953 June 18

Elections

box 3

folder 11

Letter from Edric B. Smith to Detlev W. Bronk: notice of election as President of The Rockefeller Institute for Medical Research, 1953 July 10

Letters to Detlev W. Bronk, 1953 July 9

Scope and Contents note

With background material for presentation to Executive Committee at meeting on 1953 July 17 for Membership appointment of Frank Brink and H.K. Hartline.

Property Management Committee, 1970 December 8-1974 June

Biographical/Historical note

Between December 1970 and June 1974 a committee (Property Management Committee) representing the University administration as well as the Board of Trustees dealt with the problems of management of three off-campus properties: Socony Hall, City Block, Sutton Terrace.

Members of the committee were: C.E. Sunderlin, Chairman, Jack Contes, Roger Elliot, Paul Penndorf, Sydney Woodd-Cahousac, Richard Dana, Secretary, John Schnauffer, legal consultant.

Scope and Contents note

Minutes of meetings.

Fifty-seven meetings were held; with the minutes for each meeting there may be various tables or collected information for consideration at the stated meeting.

Arrangement note

Arranged in chronological order.

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| Meeting 1-17 | box 4 | folder 1 |
| Meeting 18-35 | box 4 | folder 2 |
| Meeting 36-48 | box 4 | folder 3 |
| Meeting 49-57 | box 4 | folder 4 |
| Committee files, 1981-1984 | | |
| Ad Hoc Committee on Industrial Sponsorship of Research, 1981 | box 5 | folder 1 |
| Standing Committee on Scientific Affairs, 1983 | box 5 | folder 2 |
| Joint Meeting of Building & Real Estate Committee and Ad Hoc Committee on Scholars Residence, 1984 | box 5 | folder 3 |
| Ad Hoc Committee on University-Industry Relations, 1984 | box 5 | folder 4 |

Standing Committee on University Affairs, 1972

Biographical/Historical note

In 1972 the Standing Committee on University Affairs (SCUA) to foster broader communication among all facets of the University community was established. It is made up

of two representatives from each of the following: Trustees, Tenured faculty, Non tenured faculty, Administration, and Graduate students. The first chairman was Walter N. Rothschild, Jr., a member of the Board of Trustees.

The project for 1973 was a planned experiment to serve in an advisory capacity on a few selected investment issues of major ethical concern. Alan M. Tartakoff serves as the principal representative in collecting information pertinent to those proxies selected for the experiment.

Correspondence, 1972 November-December

box 6

Scope and Contents note

Letter from Rodney Nichols to Albert Gold on matter of a Day-Care Center in the new faculty housing in recognition of the needs of the women working at RU. Includes numbers of children in various RU families.