

# Rockefeller (Nelson A.) Gubernatorial records, James Cannon, Series 7

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Rockefeller Archive Center

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# **Summary Information**

**Repository:** Rockefeller Archive Center

**Creator:** New York (State). Governor (1959-1973: Rockefeller)

**Creator - ctb:** Rockefeller, Nelson A. (Nelson Aldrich)

**Creator - aut:** Cannon, James M.

**Title:** Nelson A. Rockefeller gubernatorial records, James Cannon, Series 7

**ID:** FA356

**Date [inclusive]:** 1968-1971

**Physical Description:** 7.36 Cubic Feet 10 legal boxes, 7 letter boxes.

Language of the

**Material:** 

English

#### **Preferred Citation note**

Information regarding the Rockefeller Archive Center's preferred elements and forms of citation can be found at <a href="http://www.rockarch.org/research/citations.php">http://www.rockarch.org/research/citations.php</a>

# Biographical/Historical note

Nelson A. Rockefeller served as governor of New York from January 1, 1959, to December 18, 1973. He was the first person elected to four consecutive four-year terms as a state governor.

### **Scope and Contents note**

#### NOTE TO RESEARCHERS

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This series contains the office files of James Cannon. They document official activities in the execution of his duties as a member of Nelson A. Rockefeller's staff. The actions, decisions, and exchanges of information or points of view documented here occurred in the course of proposing and implementing policies for the Rockefeller administration, political campaigns, and/or Rockefeller's personal activities. However, unless a document is specifically addressed to or from Rockefeller, or is referred to in a document addressed to him, it should not be assumed he saw the document or was aware of its existence or substance. Furthermore, researchers should bear in mind that staff files may contain documents or drafts addressed to Rockefeller that were never shown to him.

#### SCOPE AND CONTENT

This series contains a portion of the files of James Cannon. They were created primarily during Cannon's tenure as an executive assistant to Governor Nelson Rockefeller. Cannon worked in the New York State Washington Office in the early 1970s and reported on fiscal and revenue sharing matters in the U.S. Congress. In 1969, Cannon was part of the Governor's staff on the Presidential Mission to Latin America.

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### **Arrangement note**

This series is divided into two subseries:

Subseries 1 - Revenue Sharing, 1971-1972 Subseries 2 - Latin American Mission, 1968-1972

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### File Plan note

Former Classification: III 15 7

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# **Administrative Information**

#### **Publication Statement**

Rockefeller Archive Center

15 Dayton Avenue Sleepy Hollow 10591

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URL: <a href="http://www.rockarch.org">http://www.rockarch.org</a>

### **Revision Description**

### **Conditions Governing Use note**

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### **Conditions Governing Access note**

Open for research with select materials restricted as noted. Brittle or damaged items are available at the discretion of RAC. Researchers interested in accessing digital media (floppy disks, CDs, DVDs, etc.) or audiovisual material (audio cassettes, VHS, etc.) in this collection must use an access surrogate. The original items may not be accessed because of preservation concerns. To request an access surrogate be made, or if you are unsure if there is an access surrogate, please contact an archivist.

### **Processing Information note**

Processing Level 3: Standard Processing.

# **Processing Information note**

John LeGloahec December 2001

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# **Controlled Access Headings**

- Arms control -- United States
- Arms control
- Affirmative action programs -- New York (State)
- Governors -- Election -- New York (State) -- 1970
- Human rights
- International economic relations
- International relations

- International relations -- United States
- Latin America
- Legislation -- New York (State)
- New York (N.Y.)
- New York (State)
- Political campaigns -- United States
- Political science
- Presidential campaigns -- United States
- United States
- Vice-Presidents -- United States
- Public relations
- State governments -- United States
- Presidential candidates -- United States -- History -- 20th century
- Public policy
- Governors -- Election -- New York (State) -- 1962
- Governors -- Election -- New York (State) -- 1966
- Government policy
- Governors -- Election -- New York (State) -- 1958
- Economics
- Federal government -- United States
- Civil service
- Civil service -- New York (State)
- Civil defense
- Civil defense -- United States
- Republican Party (U.S.: 1854-)

# Other Finding Aids note

Detailed finding aids for each series of this collection are available:

Nelson A. Rockefeller Gubernatorial Records, Appointments Office (FA353)

Nelson A. Rockefeller Gubernatorial Records, Assembly and Senate Memos (FA354)

Nelson A. Rockefeller Gubernatorial Records, Joseph H. Boyd (FA355)

Nelson A. Rockefeller Gubernatorial Records, Affirmative Action (N. Lee Cook) (FA357)

Nelson A. Rockefeller Gubernatorial Records, Counsel's Office (FA358)

Nelson A. Rockefeller Gubernatorial Records, New York State Committee to Reelect the President (FA359)

Nelson A. Rockefeller Gubernatorial Records, Jerry A. Danzig (FA360)

Nelson A. Rockefeller Gubernatorial Records, Executive Chamber (FA361)

Nelson A. Rockefeller Gubernatorial Records, 55th Street (FA362)

Nelson A. Rockefeller Gubernatorial Records, Issue Books (FA363)

Nelson A. Rockefeller Gubernatorial Records, Arthur Massolo (FA364)

Nelson A. Rockefeller Gubernatorial Records, Hugh Morrow (FA242)

Nelson A. Rockefeller Gubernatorial Records, New York Office (FA365)

Nelson A. Rockefeller Gubernatorial Records, Civil Service Resolutions (Charles H. Palmer) (FA366)

Nelson A. Rockefeller Gubernatorial Records, Political Files (FA367)

Nelson A. Rockefeller Gubernatorial Records, Press Office (FA368)

Nelson A. Rockefeller Gubernatorial Records, Public Relations (FA369)

Nelson A. Rockefeller Gubernatorial Records, Departmental Reports (FA370)

Nelson A. Rockefeller Gubernatorial Records, William J. Ronan (FA371)

Nelson A. Rockefeller Gubernatorial Records, Speeches (FA372)

Nelson A. Rockefeller Gubernatorial Records, Diane Van Wie (FA373)

Nelson A. Rockefeller Gubernatorial Records, Ann C. Whitman, Gubernatorial (FA374)

Nelson A. Rockefeller Gubernatorial Records, Gene Wyckoff (FA375)

Nelson A. Rockefeller Gubernatorial Records, New York Republican State Fund Raising Reports (FA376)

# **Collection Inventory**

### Revenue Sharing, 1971-1972

Physical Description: 4 document box(es)

#### **Scope and Contents note**

This subseries primarily concerns Cannon's tenure in Washington, DC, where he attended to fiscal matters before the U.S. Congress on behalf of Governor Rockefeller. Cannon served as a intermediary representing the interests of the Rockefeller administration to the members of the New York State Congressional Delegation. The chronological

<sup>^</sup> Return to Table of Contents

files that start the subseries deal with the events and circumstances leading up to the passage of the State and Local Fiscal Assistance Act of 1972. Of note in the subseries is Folder 18, which concerns the General Grant Revenue Act of 1971, proposed by New York Congressmen Hugh Carey and Ed Koch.

Title/Description	Instances		
Chronological Files - September 1971	box 1	folder 1	
Scope and Contents note			
Press releases, correspondence on congressional action on HR 14370, the State and Local Fiscal Assistance Act of 1972.			
Chronological Files - October 1971	box 1	folder 2	
Scope and Contents note			
Press releases, correspondence on congressional action on HR 14370, the State and Local Fiscal Assistance Act of 1972.			
Chronological Files - December 1971	box 1	folder 3	
Scope and Contents note			
Press releases, correspondence on congressional action on HR 14370, the State and Local Fiscal Assistance Act of 1972.			
Chronological Files - February 1972	box 1	folder 4	
Scope and Contents note			
Press releases, correspondence on congressional action on HR 14370, the State and Local Fiscal Assistance Act of 1972.			
Chronological Files - February/March 1972	box 1	folder 5	
Scope and Contents note			
Press releases, correspondence on congressional action on HR 14370, the State and Local Fiscal Assistance Act of 1972.			
Chronological Files - April 1972	box 1	folder 6	
Scope and Contents note			
Press releases, correspondence on congressional action on HR 14370, the State and Local Fiscal Assistance Act of 1972.			
Chronological Files - May 1972	box 1	folder 7	

Press releases, correspondence on congressional action on HR 14370, the State and Local Fiscal Assistance Act of 1972.

Chronological Files - House of Representatives, June 1972	box 1	folder 8	
Scope and Contents note			
Tally sheets on closed rule votes, correspondence with members of New York State congressional delegation, notes on Members of Congress.			
Chronological Files - Senate, June-July 1972	box 2	folder 9	
Scope and Contents note			
Charts, reports, legislation from U. S. Senate Finance Committee, testimony, press releases, clippings on bill.			
Chronological Files - Senate, June-July 1972	box 2	folder 10	
<b>Scope and Contents note</b>			
Charts, reports, legislation from U. S. Senate Finance Committee, testimony, press releases, clippings on bill.			
Chronological Files - July 1972	box 2	folder 11	
Scope and Contents note			
Press releases, correspondence on congressional action on HR 14370, the State and Local Fiscal Assistance Act of 1972.			
Chronological Files - August 1972	box 2	folder 12	
Scope and Contents note			
Press releases, correspondence on congressional action on HR 14370, the State and Local Fiscal Assistance Act of 1972.			
Subject Files - Background Materials	box 2	folder 13	
Scope and Contents note			
Revenue sharing general files, bound volumes on government finance.			
Subject Files - Background Materials	box 2	folder 14	

Revenue sharing general files, bound volumes on government finance.

Subject Files - "Big Six Mayors", May 1971

box 2

folder 15

#### **Scope and Contents note**

Correspondence with mayors of the six largest cities in New York (Albany, Buffalo, New York, Rochester, Syracuse, and Yonkers) on revenue sharing plans and the impact on their cities

Subject Files - Byrnes Statement, April 1972

box 2

folder 16

#### **Scope and Contents note**

Statement by Representative John W. Byrnes (R-WI) on HR 14370; text of HR 14370.

Subject Files - Byrnes Tax Credit Proposal, 1971

box 2

folder 17

#### **Scope and Contents note**

Correspondence and memoranda on alternative plan proposed by Congressman Byrnes offering tax credits as a form of revenue sharing.

Subject Files - Carey-Koch Bill, May 1971

box 2

folder 18

#### **Scope and Contents note**

Correspondence and memoranda on the General Grant Revenue Act of 1971, proposed by Congressman Hugh Carey and Congressman Edward Koch.

Subject Files - Charts, 1971

box 2

folder 19

#### **Scope and Contents note**

Table 2, State and Local Taxes as a percentage of personal income by states; Table 3, General Revenue from other sources as a percentage of personal income by states; Table 8, Intergovernmental Expenditures/State Aid related to population, personal income and state general expenditures by states; Table 9, State Government Taxes as a percentage of total state and local government taxes.

Subject Files - "Congressional Record" Comments, Favorable, 1971

box 3

folder 20

Copies of pages from the "Congressional Record" supporting revenue sharing proposals.

Subject Files - HR 14370	box 3	folder 21	
<b>Scope and Contents note</b>			
Copy of text of HR 14370 on revenue sharing, April 17, 1972.			
Subject Files - Meeting with President Nixon, June 1971	box 3	folder 22	
Scope and Contents note			
Notes, schedules, correspondence, memoranda on meeting in Rochester, New York between NAR and President Nixon on revenue sharing.			
Subject Files - Mills Visit, April 1972	box 3	folder 23	
<b>Scope and Contents note</b>			
Correspondence, memoranda, schedules for visit by Congressman Wilbur Mills to New York State.			
Subject Files - Mills Visit, April 1972	box 3	folder 24	
<b>Scope and Contents note</b>			
Correspondence, memoranda, schedules for visit by Congressman Wilbur Mills to New York State.			
Subject Files - Miscellaneous	box 3	folder 25	
Scope and Contents note			
Loose, unfiled papers on revenue sharing.			
Subject Files - Other Governors Letters and Statements, 1971	box 3	folder 26	
Scope and Contents note			
Letters, press releases from governors on revenue sharing issues.			
Subject Files - Report	box 3	folder 27	
Scope and Contents note			
Analyses of revenue sharing issues, comparison of different plans.			
Subject Files - Report	box 3	folder 28	

Analyses of revenue sharing issues, comparison of different plans.

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Subject Files - Revenue Sharing, Special, 1971	box 3	folder 29	
Scope and Contents note			
General Information			
Subject Files - Revenue Sharing, Special, 1971	box 3	folder 30	
Scope and Contents note			
Badillo, HR 7367, Intergovernmental Emergency Advance Act of 1971			
Subject Files - Revenue Sharing, Special, 1971	box 3	folder 31	
Scope and Contents note			
Daniels, HR 3613, Emergency Employment Act of 1971			
Subject Files - Revenue Sharing, Special - Education, 1968-1971	box 3	folder 32	
Scope and Contents note			
Appropriations bill for education in United States.			
Subject Files - Revenue Sharing, Special, 1971	box 3	folder 33	
Scope and Contents note			
Reuss, HR 7594, State and Metropolitan Development Act of 1971			
Subject Files - Talking Points	box 3	folder 34	
Scope and Contents note			
Issues for NAR to emphasize on HR 14370.			
Subject Files - Testimony, General, 1971	box 3	folder 35	
Scope and Contents note			
Transcript, press releases of NAR testimony on revenue sharing.			
Subject Files - Testimony, James M. Cannon Notes	box 3	folder 36	
Scope and Contents note			
Cannon's handwritten notes on testimony before committees.			

Scope and Contents note	box 3	folder 37	
NAR's testimony on revenue sharing before the U.S. House Ways and Means Committee, June 15, 1971.			
Subject Files - Testimony, Ways and Means, 1971	box 4	folder 38	
Scope and Contents note			
Transcripts, press releases of NAR's and others' testimony on revenue sharing before the U.S. House Ways and Means Committee.			
Subject Files - Testimony, Ways and Means, 1971	box 4	folder 39	
Scope and Contents note			
Transcripts, press releases of NAR's and others' testimony on revenue sharing before the U.S. House Ways and Means Committee.			
Subject Files - Testimony, Ways and Means Members, 1971	box 4	folder 40	
Scope and Contents note			
Correspondence with members of Congress on revenue sharing issues before Congress.			
Subject Files - Welfare - HR 1, January 1972	box 4	folder 41	
Scope and Contents note			
Correspondence, memoranda, testimony on Welfare Reform Bill, January 1972.			
Subject Files - Welfare - HR 1, January 1972	box 4	folder 42	
Scope and Contents note			
Correspondence, memoranda, testimony on Welfare Reform Bill, January 1972.			
Subject Files - Welfare - Rep. Carey, HR 5379, Amending Social Security Act, March 1971	box 4	folder 43	
Scope and Contents note			
Testimony before the U.S. House.			
Subject Files - Welfare - Rep. Green, HR 7249, April 1971	box 4	folder 44	

Amending Social Security Act to include additional family assistance. Testimony before the U.S. House.

Subject Files - Welfare - Sen. Hartke, S 1162, March 1971

box 4

folder 45

#### **Scope and Contents note**

Permit states and local governments to increase taxes to offer tax credits; testimony before the U. S. Senate.

Subject Files - Welfare - Rep. Ullman, HR 6004, March 1971

box 4

folder 46

#### **Scope and Contents note**

Rehabilitation Employee Assistance and Child Care Act of [March] 1971. Testimony before the U. S. House.

### Latin American Mission, 1968-1972

Physical Description: 13 document box(es)

#### **Scope and Contents note**

After several discussions with President Richard Nixon, in 1969 Nelson Rockefeller was appointed to undertake a Presidential Mission to Latin America to consult with the leaders of those republics and determine the needs and conditions there. During the spring and summer of that year, Rockefeller toured 20 Latin American countries. This subseries contains a small sample of the documentation of the mission. Notable in this subseries is correspondence with members of President Nixon's staff, specifically National Security Advisor Henry Kissinger, who monitored the mission from Washington.

#### Related Archival Materials note:

#### **Related Archival Materials note**

Additional extensive materials on the Latin American Mission may be found in the Washington, DC, series in Record Group 4 of the Nelson A. Rockefeller Papers (Boxes 98-201).

#### Title/Description

**Instances** 

Country files

#### **Scope and Contents note**

Correspondence, memoranda, notes, clippings, schedules, background information, telexes to Henry Kissinger at White House, memos from Kissinger, correspondence with Berent Friele, transcripts and remarks, and meeting notes.

Argentina, 1968-1970	box 5	folder 47	
Argentina - Briefing Book, 1968-1970	box 5	folder 48	
Argentina - Briefing Book, 1968-1970	box 5	folder 49	
Barbados, 1968-1970	box 5	folder 50	
Barbados - Briefing Book, 1968-1970	box 5	folder 51	

Bolivia, 1968-1970	box 5	folder 52
Bolivia - Briefing Book, 1968-1970	box 5	folder 53
Brazil, 1968-1970	box 6	folder 54
Brazil, 1968-1970	box 6	folder 55
Brazil, 1968-1970	box 6	folder 56
Brazil, 1968-1970	box 6	folder 57
Brazil - Briefing Book, 1968-1970	box 6	folder 58
Brazil - Briefing Book, 1968-1970	box 6	folder 59
Brazil - Report, Brazilian Educational Radio and TV Experiment, July 1968	box 7	folder 60
Brazil - Report, "Projecto Saci, Parte I", May 1968	box 7	folder 61
Brazil - Report, "Projecto Saci, Parte II", May 1968	box 7	folder 62
Brazil - Report, "Projecto Saci, Parte III", May 1968	box 7	folder 63
Central American Common Market, 1968-1972	box 7	folder 64
Central American Common Market - Briefing Book, 1968-1972	box 7	folder 65
Chile, 1968-1972	box 7	folder 66
Chile - Briefing Book, 1968-1972	box 7	folder 67
Haiti, 1968-1972	box 7	folder 68
Haiti - Briefing Book, 1968-1972	box 7	folder 69
Honduras, 1968-1972	box 8	folder 70
Honduras - Briefing Book, 1968-1972	box 8	folder 71
Jamaica, 1968-1972	box 8	folder 72
Jamaica - Briefing Book, 1968-1972	box 8	folder 73
Mexico, 1968-1972	box 8	folder 74
Mexico, 1968-1972	box 8	folder 75
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Mexico - Briefing Book, 1968-1972	box 9	folder 77
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Nicaragua - Briefing Book, 1968-1972	box 9	folder 79
Panama, 1968-1972	box 9	folder 80
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Panama - Briefing Book, 1968-1972	box 9	folder 82
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### **Conditions Governing Access note**

Restricted material

### **Scope and Contents note**

Copies of cables and telegrams to and from U.S. embassies and consulates on Mission items.

China Study	box 12	folder 99	
Scope and Contents note			
Lloyd Free report on China, sent to NAR by Free in 1971 coinciding with President Nixon's visit to China.			
Church in Latin America	box 12	folder 100	
Scope and Contents note			
Uncredited, undated, expansive report on "Subversion of the Church in Latin America."			
Comments on NAR/Latin American Policy	box 12	folder 101	
Scope and Contents note			
Telexes, clippings, notes on reaction to the mission.			
Congressional Relations	box 12	folder 102	
Scope and Contents note			
Correspondence and memoranda on Latin American affairs with members of the U. S. Congress; invitations to NAR to speak before committees; legislation on Latin American development programs.			

### **Scope and Contents note**

Sections of Report to the President on the Mission.

Agriculture	box 13	folder 103	
U.S. Agency for International Development (USAID)	box 13	folder 104	
CECLA (Special Latin American Coordinating Committee)	box 13	folder 105	
Conservation	box 13	folder 106	
Culture	box 13	folder 107	
Education	box 13	folder 108	
Finance and Economics	box 13	folder 109	
Fishing	box 13	folder 110	

General Readings	box 13	folder 111	
<b>Scope and Contents note</b>			
Cannon notes, memos to NAR on U.S. military aid program in Latin America, reports.			
Government Structure	box 13	folder 112	
Scope and Contents note			
Memoranda, correspondence, reports on effective use of U.S. resources in support of the Inter-American System (IAS).			
Health	box 14	folder 113	
Information	box 14	folder 114	
Inter-American System (IAS)	box 14	folder 115	
Scope and Contents note			
Notes, reports, memoranda on strengths and weaknesses of the IAS.			
Labor	box 14	folder 116	
Miscellaneous Draft Notes	box 14	folder 117	
Scope and Contents note			
Staff member observations, correspondence, memoranda on suggested report contents.			
Notes by James Cannon from meeting, August 12, 1969	box 14	folder 118	
Notes by James Cannon from meeting, August 13, 1969	box 14	folder 119	
Outline	box 14	folder 120	
Scope and Contents note			
Suggested outline and chapter headings.			
Preamble	box 14	folder 121	
Private Enterprise	box 14	folder 122	
Recognition	box 14	folder 123	
Scope and Contents note			
Legislation, congressional record of U.S. Senate action of recognizing foreign governments.			
Recommendations	box 14	folder 124	
Rural Development	box 15	folder 125	

Science and Technology	box 15	folder 126	
Section I - Foreword written by NAR	box 15	folder 127	
Section II	box 15	folder 128	
Scope and Contents note			
Draft of section, recommendations on direction and policy.			
Security	box 15	folder 129	
Technical Assistance	box 15	folder 130	
Trade	box 15	folder 131	
Urban Affairs	box 15	folder 132	
Women	box 15	folder 133	
Work with People	box 15	folder 134	
Foreign Aid	box 16	folder 135	
Scope and Contents note			
Information on U.S. aid to Latin American countries; clippings on mission.			
Foreign Policy Speech	box 16	folder 136	
Scope and Contents note			
Notes and transcript of NAR foreign policy speech, delivered at the National Press Club, October 31, 1972.			
General	box 16	folder 137	
Scope and Contents note			
Miscellaneous materials, clippings, memoranda and correspondence.			
Invitations	box 16	folder 138	
Scope and Contents note			
Offers to NAR to speak or appears at events relating to the mission.			
Letters to Heads of State, February 19, 1969	box 16	folder 139	
Scope and Contents note			
Introductory letters to Latin American leaders in advance of visit.			
Letters to Ambassadors, March 31, 1969	box 16	folder 140	

Preparatory letter to U. S. Ambassadors and Charge D'affaires in countries to be visited by NAR.

Latters to Heads of State Follow Lin April 1060	1 16		
Letters to Heads of State, Follow-Up, April 1969	box 16	folder 141	
Scope and Contents note			
Follow-up letters to leaders with expected dates of visit to their countries.			
Major Questions	box 16	folder 142	
Scope and Contents note			
Suggested topics for consideration on mission offered by academic and private individuals.			
Mission Reports and Recommendations	box 16	folder 143	
Scope and Contents note			
Memo from Cannon on behalf of NAR calling for final drafts from mission staff.			
Nixon Memos	box 16	folder 144	
Scope and Contents note			
Drafts of memos to President Nixon proposing the Latin American Mission; correspondence and memoranda on mission related issues; NAR notes, draft of memo.			
Outline	box 17	folder 145	
Scope and Contents note			
July 1969 memorandum with outline of report to president.			
People to be Seen by NAR	box 17	folder 146	
Scope and Contents note			
Correspondence and memoranda on individuals for NAR to consult with on trip issues.			
Pocantico Working Session, July 1969	box 17	folder 147	
Scope and Contents note			
Mission staff meeting held at Kykuit, July 1969, on report drafting.			
Post-Trip Material	box 17	folder 148	

Correspondence and memoranda on public relations events attended by NAR on Latin American Mission.

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### **Scope and Contents note**

Copies of NAR remarks on a variety of issues, September-November 1972.