

Rockefeller Brothers Fund records, Special Studies Project, RG 4

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Summary Information

Repository: Rockefeller Archive Center

Creator: Rockefeller Brothers Fund

Creator - ctb: Kissinger, Henry

Title: Rockefeller Brothers Fund records, Special Studies Project, RG 4

ID: FA387

Date [inclusive]: 1956-1964

Physical Description: 28 Cubic Feet

Language of the

Material:

English.

Biographical / Historical

The Rockefeller Brothers Fund Special Studies Project was inspired by Nelson Rockefeller, who served as President during most of the period of major activity. The purpose of the Special Studies was "to define the major problems and opportunities" facing the United States in the late 1950s, "to clarify national purposes and objectives...", and to develop principles which could serve as the basis of national policy.

To effect this aim, Henry Kissinger was chosen to serve as Director. Mr. Kissinger coordinated the solicitation, criticism, and editing of papers from a host of specialists in many fields, including history, area studies, economics, political science, and sociology. Many of these papers were used, in some measure, as the basis of discussion by the subpanels for which the papers were written. The papers and discussion sessions resulted in final reports by each panel, and these reports were published under the title "Prospect for America: the Rockefeller Panel Reports."

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Scope and Contents

The records of the Rockefeller Brothers Fund Special Studies Project document the administrative and intellectual history of the project. The Special Studies Project collection constitutes a rich, but by no means comprehensive, source for the intellectual history of American domestic and foreign policy from 1945 to approximately 1960. Papers and criticisms of papers were contributed to the Project by leading academic and government authorities. Although an examination of these materials will not normally show the development over time of a writer's ideas, some files include a writer's older pieces. The papers prepared for the Special Studies Project are invariably thorough expositions of the contributor's views in the late 1950s.

This study was undertaken in the latter 1950s and was published in 1960. A number of Project participants became members of the Kennedy Administration. In addition, letters and memoranda to and by Henry Kissinger, and editorial comments by Mr. Kissinger, appear throughout these records. Most of these items are of a routine administrative nature, but there are some letters and memoranda by Mr. Kissinger which include substantive comments about foreign policy and panel papers.

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Arrangement

The Special Studies Project record group is divided into ten series, listed below. The title that follows the subpanel number represents the name of the subpanel. The title in parentheses represents the title of the subpanel's report as it appears in "Prospect for America."

Series A - Subpanel I: International Objectives and Strategies (The Mid-Century Challenge to U.S. Foreign Policy)

Series B - Subpanel II: International Security Objectives and Strategy (International Security: The Military Aspect)

Series C - Subpanel III: International Economic and Social Objectives and Strategy (Foreign Economic Policy for the Twentieth Century)

Series D - Subpanel IV: U.S. Economic and Social Policy (The Challenge to America: Its Economic and Social Aspects)

Series E - Subpanel V: U.S. Utilization of Human Resources (The Pursuit of Excellence: Education and the Future of America)

Series F - Subpanel VI: U.S. Democratic Process (The Power of the Democratic Idea)

Series G - Subpanel VII: The Moral Framework of National Purpose (no report)

Series H - Subpanels VI and VII Joint Report-Writing Committee

Series I - Overall Panel

Series J - Office Reference and Report Publication Files

Series K - Special Formats

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Administrative Information

Publication Statement

Rockefeller Archive Center

15 Dayton Avenue Sleepy Hollow 10591 archive@rockarch.org

URL: http://www.rockarch.org

Immediate Source of Acquisition

Donated to the RAC by the Rockefeller Brothers Fund.

Conditions Governing Access

Open for research with select material restricted in accordance with the terms of donation, including material documenting the administration of the project.

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Collection Inventory

Special Studies Project, 1956-1964

Physical Description: 28 Cubic Feet 70 boxes

Biographical/Historical note

The Rockefeller Brothers Fund Special Studies Project was inspired by Nelson Rockefeller, who served as President during most of the period of major activity. The purpose of the Special Studies was "to define the major problems and opportunities" facing the United States in the late 1950s, "to clarify national purposes and objectives...", and to develop principles which could serve as the basis of national policy.

To effect this aim, Henry Kissinger was chosen to serve as Director. Mr. Kissinger coordinated the solicitation, criticism, and editing of papers from a host of specialists in many fields, including history, area studies, economics, political science, and sociology. Many of these papers were used, in some measure, as the basis of discussion by the subpanels for which the papers were written. The papers and discussion sessions resulted in final reports by each panel, and these reports were published under the title "Prospect for America: the Rockefeller Panel Reports."

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- Series A Subpanel I: International Objectives and Strategies (The Mid-Century Challenge to U.S. Foreign Policy)
- Series B Subpanel II: International Security Objectives and Strategy (International Security: The Military Aspect)
- Series C Subpanel III: International Economic and Social Objectives and Strategy (Foreign Economic Policy for the Twentieth Century)
- Series D Subpanel IV: U.S. Economic and Social Policy (The Challenge to America: Its Economic and Social Aspects)
- Series E Subpanel V: U.S. Utilization of Human Resources (The Pursuit of Excellence: Education and the Future of America)
- Series F Subpanel VI: U.S. Democratic Process (The Power of the Democratic Idea)
- Series G Subpanel VII: The Moral Framework of National Purpose (no report)
- Series H Subpanels VI and VII Joint Report-Writing Committee
- Series I Overall Panel

Series J - Office Reference and Report Publication Files

Series K - Special Formats

Related Archival Materials note:

Related Archival Materials note

FA324 Office of the Messrs. Rockefeller records, Rockefeller Boards, Series O contains a brief subseries for the Rockefeller Brothers Fund, which includes some material on the Special Studies Project. Both the Office of the Messrs. Rockefeller records and the Rockefeller Foundation records contain files concerning several of the Special Studies Project participants. Indexes may be checked for names that are of interest.

Conditions Governing Access:

Conditions Governing Access

Open for research with select material restricted in accordance with the terms of donation, including material documenting the administration of the project.

Subpanel I: International Objectives and Strategies, 1956-1964

Arrangement note

The files in this subseries are arranged into several sections: Organization, Membership, Papers, Meetings, and Reports. The Membership section is arranged alphabetically by the last name of the member. The Papers section and accompanying correspondence are arranged by arbitrarily-assigned paper numbers. The Meetings section and the Reports section are both arranged chronologically.

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Subpanel II: International Security Objectives and Strategy, 1956-1964

Arrangement note

The files in this subseries are arranged into several sections: Organization, Membership, Papers, Meetings, and Reports. The Membership section is arranged alphabetically by the last name of the member. The Papers section and accompanying correspondence are arranged by arbitrarily-assigned paper numbers. The Meetings section and the Reports section are both arranged chronologically.

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Subpanel III: International Economic and Social Objectives and Strategy, 1956-1964

Arrangement note

The files in this subseries are arranged into several sections: Organization, Membership, Papers, Meetings, and Reports. The Membership section is arranged alphabetically by the last name of the member. The Papers section and accompanying correspondence are arranged by arbitrarily-assigned paper numbers. The Meetings section and the Reports section are both arranged chronologically.

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Arrangement note

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Scope and Contents note

This subseries contains correspondence, memoranda, papers, drafts, and final reports. Organization files, which are brief, concern the planning of subpanel activities. Membership files, as well as the correspondence that accompanies files in the Papers section, document discussions and writing on issues considered by the subpanel. The Papers files provide comprehensive presentations of the views of various subpanel experts on selected topics.

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Arrangement note

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Meetings - Meeting No. 4 - Organizational Records, 1957 May 20	box 49	folder 556
Meetings - Meeting No. 4 - Transcript, 1957 May 20	box 49	folder 557
Report Drafts - General Material, 1957	box 49	folder 558
Report Drafts - Heilbroner, Robert, 1957 April 26, June 6	box 49	folder 559
Report Drafts - Johnson and Cooke - Comments, 1957 June 6	box 49	folder 560
Report Drafts - Heilbroner, Robert, 1957 July 1, 16	box 49	folder 561
Report Drafts - Heilbroner, Robert - Comments by Kissinger, Free, Cantril, 1957 July 16	box 49	folder 562
Report Drafts - Heilbroner, Robert - Comments by Kintner, Nelson Rockefeller, Cooke, 1957 July 26	box 49	folder 563
Report Drafts - Heilbroner, Robert, 1957 August 16	box 49	folder 564
Report Drafts - Heilbroner, Robert - Comments, 1957 August 16	box 49	folder 565

Subpanels VI and VII Joint Report-Writing Committee, 1956-1964

Arrangement note

The files in this subseries are arranged into several sections: Organization, Membership, Papers, Meetings, and Reports. The Membership section is arranged alphabetically by the last name of the member. The Papers section and accompanying correspondence are arranged by arbitrarily-assigned paper numbers. The Meetings section and the Reports section are both arranged chronologically.

Scope and Contents note

itle/Description	Instances		
Report Writing Committee - Background Material, 1959-1960	box 50	folder 566	
Report Writing Committee - Consultants (F-W), 1960	box 50	folder 567	
Report Writing Committee - Organization, 1959-1960	box 50	folder 568	
Report Writing Committee (Members) - Bryson, Luman, 1956-1959	box 50	folder 569	
Report Writing Committee (Members) - Frankel, Charles, 1960-1963	box 50	folder 570	
Report Writing Committee (Members) - Galantiere, Lewis, 1959-1962	box 50	folder 571	
Report Writing Committee (Members) - Hecksher, August, 1956-1962	box 50	folder 572	
Report Writing Committee (Members) - Herring, Pendleton, 1956-1963	box 50	folder 573	
Report Writing Committee (Members) - Perkins, James, 1956-1964	box 50	folder 574	
Report Writing Committee (Meetings) - Meeting No. 1 - Organizational Records, 1960 January 22	box 51	folder 575	
Report Writing Committee (Meetings) - Meeting No. 2 - Tape Transcription, 1960 January 27	box 51	folder 576	
Report Writing Committee (Meetings) - Meeting No. 3 - Tape Transcription, 1960 February 4	box 51	folder 577	
Report Writing Committee (Meetings) - Meeting No. 4 - Tape Transcription, 1960 February 9	box 51	folder 578	
Report Writing Committee (Meetings) - Meeting No. 5 - Tape Transcription, 1960 March 14	box 51	folder 579	
Report Writing Committee (Meetings) - Meeting No. 6 - Tape Transcription, 1960 March 15	box 51	folder 580	

Report Writing Committee (Meetings) - Meeting No. 7, 1960 March 29	box 51	folder 581	
Report Writing Committee (Meetings) - Meetings Nos. 8 & 9, 1960 April 11, 22	box 51	folder 582	
Report Writing Committee (Report Drafts) - Galantiere, Lewis - Various Drafts, 1959-1960	box 51	folder 583	
Report Writing Committee (Report Drafts) - Frankel, Charles - Various Drafts - 1960, 1960	box 52	folder 584	
Report Writing Committee - Report Drafts - Draft of March 23, 1960 - Comments, 1960 March 23	box 52	folder 585	
Report Writing Committee - Report Drafts - Draft of April 19, 1960, 1960 April 19	box 52	folder 586	
Report Writing Committee - Report Drafts - Draft of April 29, 1960, 1960 April 29	box 52	folder 587	
Report Writing Committee - Report Drafts - Frankel, Charles, 1960 May 6	box 53	folder 588	
Report Writing Committee - Report Drafts - Frankel, 1960 May 11	box 53	folder 589	
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Report Writing Committee - Report Drafts - Frankel, Charles - Comments - May 11, 1960, 1960 May 11	box 53	folder 590	
Report Writing Committee - Report Drafts - Galantiere, Lewis, 1960 May 23	box 53	folder 591	
Report Writing Committee - Report Drafts - Draft of June 22, 1960 - Comments, 1960 June 22	box 53	folder 592	
Report Writing Committee - Report Drafts - Frankel, Charles - Comments, 1960 July 20	box 54	folder 593	
Report Writing Committee - Report - Summary for Pamphlet, 1960	box 54	folder 594	

Overall Panel, 1956-1964

Arrangement note

The files in this subseries are arranged into several sections: Organization, Membership, Papers, Meetings, and Reports. The Membership section is arranged alphabetically by the last name of the member. The Papers section and accompanying correspondence are arranged by arbitrarily-assigned paper numbers. The Meetings section and the Reports section are both arranged chronologically.

Scope and Contents note

Title/Description	Instances	
Organization - Authors, Membership, Major Issues, 1956-1959	box 54	folder 595
Membership - Minton, Lee W., 1956-1961	box 54	folder 596
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Papers - Dickey, John S Paper A-1 - "Great Issues of the Future", 1956	box 54	folder 598
Papers - Berle, Adolf - Paper A-2 - "Thoughts on the Future", 1956	box 54	folder 599
Papers - Sarnoff, David - Paper A-3 - Five Pamphlets, 1955-1956	box 54	folder 600
Papers - Finkelstein, Louis - Paper A-5 - "American Values andForeign Policy", 1957	box 54	folder 601
Papers - Free, Lloyd and Cantril, Hadley - Paper A-6 - "U.S. International Objectives", 1957	box 54	folder 602
Meetings - Procedures, 1956	box 54	folder 603
Meetings - Meeting No. 1 - Organization, 1956	box 54	folder 604
Meetings - Meeting No. 2 - Organization, Minutes, 1957	box 54	folder 605
Meetings - Meeting No. 2 - Transcript, 1957 March 19-20	box 54	folder 606
Meetings - Meeting No. 3 - Organization, Records, Minutes, 1957	box 55	folder 607
Meetings - Meeting No. 3 - Transcript, 1957 May 6-7	box 55	folder 608
Meetings - Meeting No. 4 - Organization, Records, Minutes, 1957	box 55	folder 609
Meetings - Meeting No. 4 - Transcript, 1957 June 19	box 55	folder 610
Meetings - Meeting No. 5 - Organization, Records, 1957	box 55	folder 611
Meetings - Meeting No. 6 - Organization, Records, 1958	box 55	folder 612
Meetings - Meeting No. 6 - Transcripts, 1958 February 11-12	box 55	folder 613
Meetings - Meeting No. 7 - Organization, Records, 1958	box 55	folder 614
Meetings - Meeting No. 8 - Organization, Records, 1958	box 55	folder 615
Meetings - Meeting No. 8 - Transcripts, 1958 April 7	box 55	folder 616

Meetings - Meeting No. 9 - Organization, Records, 1958 May 21	box 55	folder 617
Meetings - Meeting No. 10 - Transcript, 1959 September 17	box 55	folder 618
Meetings - Meeting No. 10 - Organization, Records, 1959	box 56	folder 619
Meetings - Meeting No. 11 - Organization, Records, 1960 May 17	box 56	folder 620
Meetings - Meeting No. 11 - Transcript, 1960 May 17	box 56	folder 621
Meetings - Meeting No. 12 - Administrative Records, 1960	box 56	folder 622
Meetings - Meeting No. 12 - Transcripts, 1960 October 5	box 56	folder 623
Report Drafts - General Material, 1957-1960	box 56	folder 624
Report Drafts - Outlines, 1957 January 24, November 26	box 56	folder 625
Report Drafts - Chapter 1 - Barnett, Lincoln, 1957 August 2, 7	box 56	folder 626
Report Drafts - Chapter 1 - Kissinger, Henry and Barnett, Lincoln, 1957 August 27-November 14	box 56	folder 627
Report Drafts - Chapter 1 - Heilbroner, Robert, 1957 October 29- 1958 January 14	box 56	folder 628
Report Drafts - Chapter 2 - Barnett, Lincoln, 1957 August 21	box 56	folder 629
Report Drafts - Chapter 2 - Kissinger, Henry, 1957 September 3-11	box 56	folder 630
Report Drafts - Chapter 2 - Heilbroner, Robert, 1957 November	box 57	folder 631
Report Drafts - Chapter 2 - Kissinger, Henry, 1957 November	box 57	folder 632
Report Drafts - Chapter 2 - Heilbroner, Robert, 1958 January 13	box 57	folder 633
Report Drafts - Chapter 3 - Barnett, Lincoln, 1957 August- September	box 57	folder 634
Report Drafts - Chapter 3 - Kissinger, Henry, 1957 September 14	box 57	folder 635
Report Drafts - Chapter 3 - Heilbroner, Robert, 1957 November 11	box 57	folder 636
Report Drafts - Chapter 3 - Kissinger, Henry, 1957 November	box 57	folder 637
Report Drafts - Chapter 3 - Heilbroner, Robert, 1958 January 14	box 57	folder 638
Report Drafts - Chapter 4 - Kissinger, Henry, 1957 November 12	box 57	folder 639
Report Drafts - Chapter 4 - Heilbroner, Robert, 1957 November 15	box 57	folder 640
Report Drafts - Chapter 4 - Kissinger, Henry, 1957 November 21	box 57	folder 641
Report Drafts - Chapter 4 - Heilbroner, Robert, 1958 January 13	box 57	folder 642

Report Drafts - Chapter 4 - Barnett, Lincoln, 1957 September 28	box 57	folder 643
Report Drafts - Chapter 5 - Barnett, Lincoln, 1957 November 25	box 57	folder 644
Report Drafts - Chapter 6 - Barnett, Lincoln, 1957 October 15	box 57	folder 645
Report Drafts - Chapter 6 - Kissinger, Henry, 1957 November	box 57	folder 646
Report Drafts - Chapter 6 - Heilbroner, Robert, 1957 December 2	box 57	folder 647
Report Drafts - Chapter 7 - Barnett, Lincoln, 1957 September 13	box 58	folder 648
Report Drafts - Chapter 7 - Heilbroner, Robert, 1957 December 16	box 58	folder 649
Report Drafts - Chapter 8 - Heilbroner, Robert, 1957 December 19-1958 February 3	box 58	folder 650
Report Drafts - Chapter 9 - Heilbroner, Robert, 1958 January 20	box 58	folder 651
Report Drafts - Chapter 10 - Heilbroner, Robert, 1958 January 27	box 58	folder 652
Report Drafts - Chapter 11 - Heilbroner, Robert, 1957 October 3	box 58	folder 653
Report Drafts - Chapter 12 - Heilbroner, Robert, 1957 October	box 58	folder 654
Report Drafts - Chapter 14 - Berle, Adolf, 1957 July 17	box 58	folder 655
Report Drafts - Chapter 14 - Kissinger, Henry, 1957 September 3, 9	box 58	folder 656
Report Drafts - Chapter 15 - Barnett, Lincoln, 1957 November 6	box 58	folder 657
Report Drafts - Chapter 17 - Heilbroner, Robert, 1957 November 18	box 58	folder 658
Report Drafts - Chapter 18 - Heilbroner, Robert, 1957 November 25	box 58	folder 659
Report Drafts - Chapter 19 - Heilbroner, Robert, 1957 December 2	box 58	folder 660
Report Drafts - Introduction to Part III - Heilbroner, Robert, 1957 November 22-1958 January 20	box 58	folder 661
Report Drafts - Introduction - Comments, 1960 September 22	box 58	folder 662
Report Drafts - Preface Outline - Kissinger, Henry, 1960 October 2	box 58	folder 663
Report Drafts - Preface - Hughes, Emmet J., 1960 October 4	box 58	folder 664
Report Drafts - Preface Outline - Kissinger, Henry, 1960 October 5	box 58	folder 665
Report Drafts - Preface and Introduction - Kissinger, Henry, 1960 October 25	box 58	folder 666
Report Drafts - Preface and Introduction - Gardner, John - Comments, 1960 October 31	box 58	folder 667

Report Drafts - Preface - Comments, 1960 November 5, 11	box 58	folder 668	
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Comments by Emmet J. Hughes, Louis Galantiere, and John Gardner.			
Report Drafts - Introduction - Ruebhausen, Oscar - Comments, 1960 November 14	box 58	folder 669	
Report Drafts - Preface and Introduction - Comments, 1960 November 16	box 58	folder 670	
Report Drafts - Preface - Reubhausen, Oscar, 1960 November 21	box 58	folder 671	
Report Drafts - Preface - Reubhausen, Oscar - Comments, 1960 November 22	box 58	folder 672	
Report - Preface and Introduction, 1960 November 29	box 58	folder 673	
Report - Endorsements, 1960	box 58	folder 674	

Office Reference and Report Publication Files, 1956-1960

Physical Description: 5 Cubic Feet 12 boxes

Scope and Contents note

This subseries includes card files, galley proofs, sets of meeting notes, summaries and transcripts, and subpanel working documents, including texts of reports.

Title/Description	Instances	
Papers I-1 through I-3	box 59	folder 0674A
Papers I-4 through I-6	box 59	folder 675
Papers I-7 through I-8	box 59	folder 676
Papers I-11 through I-16	box 59	folder 677
Papers I-19 through I-20	box 59	folder 678
Papers I-21 through I-25	box 59	folder 679
Papers I-26 through I-29	box 60	folder 680
Papers I-30 through I-31a	box 60	folder 681
Papers I-32 through I-36	box 60	folder 682
Papers I-38 through I-40 and Paper I-42	box 60	folder 683
Papers A-1 through A-6	box 61	folder 684
Paper II-2 and Papers II-4 through II-8	box 61	folder 685

Draft Report - Subpanel I - Nelson Rockefeller Copy, 1957 April 5 - May 18	box 61	folder 686
Papers II-10 through II-14 and Papers II-A through II-D	box 61	folder 687
Subpanel II Report - Nelson Rockefeller Copy	box 62	folder 688
Papers III-1 through III-2 and Papers III-4 through III-8	box 62	folder 689
Papers III-10 through III-14 and Paper III-A	box 62	folder 690
Subpanel III Draft Report - Nelson Rockefeller Copy	box 63	folder 691
Papers IV-1 through IV-8	box 63	folder 692
Papers IV-9 through IV-10 and Papers IV-12 through IV-19	box 63	folder 693
Subpanel IV - Draft Report - Nelson Rockefeller Copy	box 64	folder 694
Subpanel IV - Published Background Material	box 64	folder 695
Subpanel IV Report - Final Draft, 1958	box 65	folder 696
Papers V-1 through V-9	box 65	folder 697
Subpanel V - Draft Report	box 65	folder 698
Subpanel V - Published Background Material	box 65	folder 699
Papers VI-1 through VI-6	box 66	folder 700
Papers VI-7 through VI-12 - Bundy Paper	box 66	folder 701
Subpanel VI - Draft Report - Nelson Rockefeller Copy	box 66	folder 702
Subpanel VII - Documents - Nelson Rockefeller Copies	box 66	folder 703
Overall Panel - Final Report Outline - Nelson Rockefeller Copy, 1957 June 19	box 67	folder 704
Overall Panel - Report Comments - Nelson Rockefeller Copy, 1957 March 19-20	box 67	folder 705
Overall Panel Meeting - Summary of Subpanel Work, 1957 March 19-20	box 67	folder 706
Editorial Committee Meeting - Nelson Rockefeller Copy, 1957 September 16	box 67	folder 707
Publications Committee - Nelson Rockefeller Copy, 1957 September 15	box 67	folder 708
Subpanel I - Meeting Agendas and Minutes, 1956 November -	box 67	folder 709
1957 April		
Subpanel II - Meeting Agendas and Minutes, 1956 November - 1957 March	box 67	folder 710

Subpanel IV - Meeting Agendas and Minutes, 1957 January-April	box 68	folder 712	
Subpanel V - Meeting Agendas and Minutes, 1957 January-April	box 68	folder 713	
Subpanel VI - Meeting Agendas and Minutes, 1956 November - 1957 May	box 68	folder 714	
"Prospect for America: The Rockefeller Panel Reports" - Correspondence, 1960 September - 1961 April, undated	box 68	folder 715	

Special Formats	reel Recording "Challenge to America: Economic and Social Aspects" program
Title/Description	Instances
Audio	reel Recording "Challenge to America: Economic and Social Aspects" program reel Recording of "The Power of Democratic Ideas" reel Recording from "Pursuit of Excellent and Education" and " The Future" programs
Published material	volume Published book "Processed for America – The

Rockefeller Panel Reports"

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