



# **Rockefeller University records, President, Frederick Seitz, Business, Series 1**

---

This finding aid was produced using ArchivesSpace on May 27, 2017.

Rockefeller Archive Center

15 Dayton Avenue

Sleepy Hollow 10591

[archive@rockarch.org](mailto:archive@rockarch.org)

URL: <http://www.rockarch.org>

---

## Table of Contents

---

Summary Information .....	3
Biographical/Historical note .....	3
Scope and Contents .....	4
Arrangement note .....	4
File Plan note .....	5
Administrative Information .....	5
Controlled Access Headings .....	5
Other Finding Aids .....	6
Collection Inventory .....	6
Business .....	6

---

## Summary Information

---

<b>Repository:</b>	Rockefeller Archive Center
<b>Creator:</b>	Rockefeller University
<b>Creator - aut:</b>	Seitz, Frederick
<b>Title:</b>	Rockefeller University records, President, Frederick Seitz, Business, Series 1
<b>ID:</b>	FA141
<b>Date [inclusive]:</b>	1929-1979
<b>Physical Description:</b>	6.6 Cubic Feet 15 document boxes
<b>Language of the Material:</b>	English

### Preferred Citation

Information regarding the Rockefeller Archive Center's preferred elements and forms of citation can be found at <http://www.rockarch.org/research/citations.php>

[^ Return to Table of Contents](#)

---

## Biographical/Historical note

---

Frederick Seitz (b. July 4, 1911, d. March 2, 2008) was a physicist and science administrator. He has made fundamental contributions to the theory of solids, nuclear physics, fluorescence, and crystals, and has been a leader in the post - World War II scientific establishment in the United States. From 1968-1978 Seitz was president of the Rockefeller University.

Seitz entered Stanford University in January 1929, where he started out as a biochemistry major, but soon focused on mathematics and physics. He graduated from Stanford in three years with a degree in mathematics, and started doctoral studies in physics at Princeton University at the beginning of 1932. Completing his doctorate in 1934 Seitz remained at Princeton as a Proctor Fellow, and in 1935 was appointed as an instructor at the University of Rochester. In 1939 Seitz moved to the University of Pennsylvania first as assistant professor, 1939-1941, and then associate professor, 1941-1942, in the Morgan Laboratory of Physics. Seitz moved to the University of Illinois in 1949 to accept an appointment as a professor of Physics. In the 40s and 50s Seitz's research focused on the properties of crystals. His published works included studies of dislocations and imperfections in crystal structures,

the effect of irradiation on crystals, and the process of diffusion in crystalline materials. In 1962 Seitz was elected president of the National Academy of Sciences in Washington, a position which he held for 6 years. In that same year, he became a trustee of the Rockefeller University and in 1968 he went on to become president of the Rockefeller University. In 1973 he received the National Medal of Science, the nation's highest award in science, for his contributions "to the foundation of the modern quantum theory of the solid state of matter."

Among his numerous honors and awards, Dr. Seitz received the Franklin Medal in 1965, the Herbert Hoover Medal in 1968, the Defense Department Distinguished Service Award in Awards in 1968, the NASA distinguished Service in 1969, the Compton Award, the highest award of the American Institute of Physics, in 1970, and the James Madison Medal of Princeton University in 1978. In 1979 he received his second NASA Distinguished Public Service Medal presented for his "dedicated service as chairman of the NASA Space Program Advisory Council from 1973 to 1977. " In addition to the Rockefeller University, 26 universities in this country and abroad have awarded him honorary degrees. In 1983 he received the Fourth Vannevar Bush Award presented by the National Science Board of the National Science Foundation and the R. Loveland Memorial Award of the American College of Physicians. From 1978 to 1983 he served as vice chairman of the Board of Trustees of the Memorial Sloan - Kettering Cancer Center.

Other memberships include the American Academy of Arts and Sciences, The Century Club, the Bohemian Club, the American Philosophical Society, the American Society for Metals, the American Institute of Mining, Metallurgy and Petroleum Engineers, the American Crystallographic Society, the Optical Society of America, the Washington Academy of Science, and a number of European scientific academies.

[^ Return to Table of Contents](#)

---

## Scope and Contents

---

Types of records include: annual reports, financial reports, and budget material.

[^ Return to Table of Contents](#)

---

## Arrangement note

---

As received.

[^ Return to Table of Contents](#)

---

## File Plan note

---

Former Classification: 1 304-2

[^ Return to Table of Contents](#)

---

## Administrative Information

---

### Publication Statement

Rockefeller Archive Center

15 Dayton Avenue

Sleepy Hollow 10591

[archive@rockarch.org](mailto:archive@rockarch.org)

URL: <http://www.rockarch.org>

### Revision Description

Paper finding aid converted to EAD/XML by AureXus in 2010. Imported into the Archivists' Toolkit by Becky Robbins. 2010 August 19

### Conditions Governing Access note

Open for research with select materials restricted as noted. Brittle or damaged items are available at the discretion of RAC. Researchers interested in accessing digital media (floppy disks, CDs, DVDs, etc.) or audiovisual material (audio cassettes, VHS, etc.) in this collection must use an access surrogate. The original items may not be accessed because of preservation concerns. To request an access surrogate be made, or if you are unsure if there is an access surrogate, please contact an archivist.

[^ Return to Table of Contents](#)

---

## Controlled Access Headings

---

- Physical sciences
- Physics
- Operations management

- New York (N.Y.)
- Regional planning -- New York (N.Y.)
- Urban policy -- New York (State) -- New York
- Biology -- Research

---

## Other Finding Aids

---

FA815 Rockefeller University records, President, Frederick Seitz, Chronological Files, Series 2. FA816 Rockefeller University records, President, Frederick Seitz, Archive Center, Series 3. FA817 Rockefeller University records, President, Frederick Seitz, Campus, Series 4. FA818 Rockefeller University records, President, Frederick Seitz, General Administration, Series 5. FA819 Rockefeller University records, President, Frederick Seitz, Personal, Biographical and Miscellaneous, Series 6.

[^ Return to Table of Contents](#)

---

## Collection Inventory

---

### Business, 1929-1979

Physical Description: 6.6 Cubic Feet 15 document boxes.

#### Scope and Contents note

Includes Annual Reports, Financial Reports and Statements of Receipts.

#### Arrangement note

Arranged in chronological order.

Title/Description	Instances	
Annual Report of the Business Manager, 1929 October 25	box 1	folder 1
Annual Report of the Business Manager, 1930 October 31	box 1	folder 2
Annual Report of the Business Manager, 1931 October 30	box 1	folder 3
Annual Report of the Business Manager, 1932 October 28	box 1	folder 4
Annual Report of the Business Manager, 1933 October 27	box 1	folder 5
Annual Report of the Business Manager, 1934 October 26	box 1	folder 6
Annual Report of the Business Manager, 1934-1935	box 1	folder 7
Annual Report of the Business Manager, 1936 October 30	box 1	folder 8
Annual Report of the Business Manager, 1937 October 29	box 1	folder 9

Annual Report of the Business Manager, 1938 October 28	box 1	folder 10
Annual Report of the Business Manager, 1939 October 27	box 1	folder 11
Annual Report of the Business Manager, 1940 October 25	box 1	folder 12
Annual Report of the Business Manager, 1941 October 31	box 1	folder 13
Annual Report of the Business Manager, 1942 October 30	box 2	folder 1
Annual Report of the Business Manager, 1943 October 29	box 2	folder 2
Annual Report of the Business Manager, 1944 October 27	box 2	folder 3
Annual Report of the Business Manager, 1945 October 26	box 2	folder 4
Annual Report of the Business Manager, 1946 October 25	box 2	folder 5
Annual Report of the Business Manager, 1947 October 31	box 2	folder 6
Annual Report of the Business Manager, 1948 October 29	box 2	folder 7
Annual Report of the Business Manager, 1949 October 28	box 2	folder 8
Annual Report of the Business Manager, 1950 October 27	box 2	folder 9
Annual Report of the Business Manager, 1951 October 26	box 2	folder 10
Annual Report of the Business Manager, 1952 October 31	box 3	folder 1
Annual Report of the Business Manager, 1953 October 30	box 3	folder 2
Annual Report of the Business Manager, 1954 October 15	box 3	folder 3
Annual Report of the Business Manager, 1955 October 26	box 3	folder 4
Annual Report of the Business Manager, 1955-1956	box 3	folder 5
Annual Report of the Business Manager, 1956-1957	box 3	folder 6
Annual Report of the Business Manager, 1957-1958	box 3	folder 7
Annual Report of the Business Manager, 1958-1959	box 3	folder 8
Annual Report of the Business Manager, 1959-1960	box 4	folder 1
Annual Report of the Director of the Budget and Special Services, 1960-1961	box 4	folder 2
Annual Report of the Director of the Budget and Special Services, 1961-1962	box 4	folder 3
Annual Report of the Director of the Budget and Special Services	box 4	folder 4
Financial Report, 1962 June 30	box 5	folder 1
Financial Report, 1963 June 30	box 5	folder 2
Financial Report, 1964 June 30	box 5	folder 3
Financial Report, 1965 June 30	box 5	folder 4
Financial Report, 1966 June 30	box 5	folder 5

Financial Report, 1967 June 30	box 5	folder 6
Financial Report, 1968 June 30	box 5	folder 7
Financial Report Supplement, 1968 June 30	box 5	folder 8
Faculty and Students Club, Inc. - Annual Report, 1968 July 1-1969 June 30	box 5	folder 9
Financial Report, 1969 June 30	box 5	folder 10
Financial Report Supplement, 1969 June 30	box 5	folder 11
Financial Report, 1972 June 30	box 5	folder 12
Financial Report Supplement, 1972 June 30	box 5	folder 13
Rockefeller University Budget for, 1969 July 1-1970 June 30	box 6	folder 1
Rockefeller University Budget for, 1970-1971	box 6	folder 2
Appraisal Report, 1972 January 31	box 6	folder 3
Proposed Indirect Cost Rate for the Year 1969-1970, Final, 1971 August 2	box 6	folder 4
Financial Report Supplement, 1970 June 30	box 6	folder 5
Financial Report Supplement, 1971 June 30	box 6	folder 6
Statement of Receipts and Disbursements, 1968 July 1-October 31	box 7	folder 1
Budget Trends, 1959-1960 1964-1965 1965-1966 1966-1967 1967-1968 1968-1969	box 7	folder 2
Statement of Receipts and Disbursements, 1968 July 1-1969 January 31	box 7	folder 3
Statement of Receipts and Disbursements, 1969 July 1-October 31	box 7	folder 4
Statement of Receipts and Disbursements, 1969 July 1-November 30	box 7	folder 5
Statement of Receipts and Disbursements, 1969 July 1-December 31	box 7	folder 6
Statement of Receipts and Disbursements, 1969 July 1-1970 January 31	box 7	folder 7
Statement of Receipts and Disbursements, 1969 July 1-1970 February 28	box 7	folder 8
Statement of Receipts and Disbursements, 1969 July 1-1970 March 31	box 7	folder 9
Statement of Receipts and Disbursements, 1969 July 1-1970 April 30	box 7	folder 10
Statement of Receipts and Disbursements, 1969 July 1-1970 May 31	box 7	folder 11



Statement of Receipts and Disbursements, 1969 July 1-1970 June 30	box 7	folder 12
Financial Papers as presented at the Board of Trustees Meeting, 1971 January 16	box 8	folder 1
Financial Papers as presented at the Board of Trustees Meeting, 1972 January 18	box 8	folder 2
Financial Papers as presented at the Board of Trustees Meeting, 1972 May 17	box 8	folder 3
Financial Papers as presented at the Board of Trustees Meeting, 1972 October 31	box 8	folder 4
Financial Papers as presented at the Board of Trustees Meeting, 1973 January 16	box 8	folder 5
Financial Papers as presented at the Board of Trustees Meeting, 1973 May 3	box 8	folder 6
Financial Papers as presented at the Board of Trustees Meeting, 1974 October 8	box 8	folder 7
Financial Papers as presented at the Board of Trustees Meeting, 1975 January 14	box 8	folder 8
Financial Papers as presented at the Board of Trustees Meeting, 1975 May 23	box 8	folder 9
Financial Papers as presented at the Board of Trustees Meeting, 1975 October 23	box 8	folder 10
Financial Papers as presented at the Board of Trustees Meeting, 1976 January 30	box 8	folder 11
Financial Papers as presented at the Board of Trustees Meeting, 1976 May 28	box 8	folder 12
Financial Papers as presented at the Meeting of the Executive Committee Board of Trustees, 1972 March 16	box 8	folder 13
Financial Papers as presented at the Meeting of the Executive Committee Board of Trustees, 1972 July 11	box 8	folder 14
Financial Papers as presented at the Meeting of the Executive Committee Board of Trustees, 1972 October 4	box 8	folder 15
Financial Papers as presented at the Meeting of the Executive Committee Board of Trustees, 1973 January 8	box 8	folder 16
Financial Papers as presented at the Meeting of the Executive Committee Board of Trustees, 1973 August 1	box 8	folder 17
Financial Papers as presented at the Meeting of the Executive Committee Board of Trustees, 1973 October 30	box 8	folder 18
Financial Papers as presented at the Meeting of the Executive Committee Board of Trustees, 1974 January 15	box 8	folder 19

Financial Papers as presented at the Meeting of the Executive Committee Board of Trustees, 1974 April 2	box 8	folder 20
Financial Papers as presented at the Meeting of the Executive Committee Board of Trustees, 1975 February 11	box 8	folder 21
Financial Papers as presented at the Meeting of the Executive Committee Board of Trustees, 1975 May 5	box 8	folder 22
Financial Papers as presented at the Meeting of the Executive Committee Board of Trustees, 1975 July 22	box 8	folder 23
Financial Papers as presented at the Meeting of the Executive Committee Board of Trustees, 1975 October 23	box 8	folder 24
Financial Papers as presented at the Meeting of the Executive Committee Board of Trustees, 1976 January 29	box 8	folder 25
Financial Papers as presented at the Meeting of the Executive Committee Board of Trustees, 1976 March 9	box 8	folder 26
Financial Papers as presented at the Meeting of the Executive Committee Board of Trustees, 1976 May 27	box 8	folder 27
Financial Reports, 1972 July-1973 June	box 8	folder 28
Financial Reports, 1972 August-1973 July	box 8	folder 29
Financial Reports, 1973 August-1974 July	box 9	folder 1
Financial Reports, 1973-1974	box 9	folder 2
Financial Reports, 1974-1975	box 9	folder 3
Financial Reports, 1974 July-1975 June	box 9	folder 4
Financial Reports, 1975 July-1976 June	box 9	folder 5
Financial Reports, 1975 July-1976 June	box 9	folder 6
Financial Reports, 1975-1976	box 9	folder 7
Accounting System, 1970 April 10	box 9	folder 8
Advance Plan, 1962-1973	box 9	folder 9
Children's College Awards Program, 1966-1975	box 9	folder 10
Controller: Functions and Responsibilities, 1970	box 9	folder 11
Committee on Tuition Assistance, 1964-1967	box 9	folder 12
Faculty Salaries, 1969	box 9	folder 13
Cost Effectiveness Suggestions, 1971-1973	box 9	folder 14
Committee on Faculty Benefits, 1975	box 9	folder 15
Indirect Costs, Overhead, 1962-1969	box 10	folder 1
Statements of Receipts and Expenditures, 1968	box 10	folder 2
FY, 1968 July-1969 June		

	box 10	folder 3
Financial Reports, FY, 1969-1970	box 10	folder 4
Indirect Costs, Budget and Finance, 1969-1970	box 10	folder 5
Materials for Auditors, re: FY, 1969-1970	box 10	folder 6
FY, 1969 July-1970 June	box 10	folder 7
FY 1970: Budget and Finance Papers presented to the meeting of the Board of Trustees, 1970 May 11	box 10	folder 8
Financial Report, 1970 June 30	box 10	folder 9
Financial Report Supplement, 1970 June 30	box 10	folder 10
FY 1971: Budget and Finance papers presented at the meeting of the Board of Trustees, 1970 October 20	box 10	folder 11
Commission on Independent Colleges and Universities: Studies of the cost of fields and levels of degree programs, 1970	box 10	folder 12
Dental Insurance papers, 1970	box 10	folder 13
Unemployment Insurance, 1969-1971	box 10	folder 14
Budget and Finance: Personnel - General, 1969-1971	box 10	folder 15
Travel Insurance, 1969-1971	box 10	folder 16
Financial Papers as presented at the meeting of the Board of Trustees, 1971 January 28	box 10	folder 17
Financial Papers as presented at the meeting of the Board of Trustees, 1971 May 4	box 10	folder 18
Miscellaneous, Financial Matters, 1969-1972	box 10	folder 19
Hospitals Joint Project, Wages and Salaries, 1970-1971	box 10	folder 20
Financial Reports, FY, 1970-1971	box 11	folder 1
FY, 1970 July-1971 June	box 11	folder 2
Grant Procedures: Memorandum dated, 1971 February 15	box 11	folder 3
Blue Cross/Blue Shield, 1970-1972	box 11	folder 4
FY, 1971 July-1972 June	box 11	folder 5
Financial Reports, FY, 1971-1972	box 11	folder 6
Pay Board Report, 1971-1972	box 12	folder 1
RU Staff Abroad, Cost of Living, 1972	box 12	folder 2
Campus Planning: Clarke & Rapuano, Inc., RU Master Plan, 1972	box 12	folder 3
Budget and Finance, Personnel - Individual, 1972	box 12	folder 4
Overhead Formula, 1972	box 12	folder 5

Accounting Systems Notebook, 1973	box 12	folder 6
Group Life, Long Term or Total Disability Insurance, 1969-1974	box 12	folder 7
Tax Abatement/Exemption, General, 1970-1974	box 12	folder 8
Campus Planning: Clarke and Rapuano, Inc., Correspondence, 1972-1974	box 12	folder 9
Financial Report, 1974 June 30	box 12	folder 10
FY 1974, 1974	box 12	folder 11
FY 1974, 1974	box 12	folder 12
Major Medical Insurance, 1969-1975	box 12	folder 13
Insurance, General, 1970-1975	box 13	folder 1
Financial Report, 1975 June 30	box 13	folder 2
Expenditures - Total RU through, 1975	box 13	folder 3
FY 1975, Working Papers, 1975	box 13	folder 4
FY 1975, Financial Statements, 1975	box 13	folder 5
FY 1975, Revenues and Expenditures, 1975	box 13	folder 6
Expenditures - Total RU, 1976	box 13	folder 7
Budget and Finance, General, 1976	box 13	folder 8
Grants: General, 1962-1976	box 14	folder 1
Budgetary Stringencies, Personnel Freeze, 1970-1976	box 14	folder 2
Accounting - General, 1976	box 14	folder 3
FY 1976, Working Papers, 1976	box 14	folder 4
FY 1976, Financial Statements, 1976	box 14	folder 5
FY 1976, re: Budget Requests, 1976	box 14	folder 6
FY 1976, projected budget and comparison with FY, 1975, 1976	box 14	folder 7
FY 1976, Budget Revisions, 1976	box 14	folder 8
FY 1976, Preliminary Budget, 1976	box 15	folder 1
FY 1977, 1977	box 15	folder 2
FY, Financial Statements, 1977	box 15	folder 3
FY, Budget Requests, 1977	box 15	folder 4
FY, Capital Budget, 1978	box 15	folder 5
FY 1978, 1978	box 15	folder 6
FY 1979, 1979	box 15	folder 7

[^ Return to Table of Contents](#)