



# **Ford Foundation records, Education, Media, Arts and Culture (EMAC) - Education, Knowledge, and Religion Unit (EKR), Office Files of Toby Alice Volkman**

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## Summary Information

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<b>Repository:</b>	Rockefeller Archive Center
<b>Creator:</b>	Ford Foundation
<b>Title:</b>	Ford Foundation records, Education, Media, Arts and Culture (EMAC) - Education, Knowledge, and Religion Unit (EKR), Office Files of Toby Alice Volkman
<b>ID:</b>	FA712
<b>Date [inclusive]:</b>	1982-2001
<b>Physical Description:</b>	10.8 Cubic Feet
<b>Language of the Material:</b>	English
<b>Abstract:</b>	The Office Files of Toby Alice Volkman, Program Officer in the Ford Foundation's Education, Knowledge and Religion unit from 1995-2001, document her oversight of grants to support teaching, scholarship and publications in the field of international and area studies. The collection spans 1982-2001 and consists of correspondence, memoranda, reports, grant proposals, meeting materials and publications. Of particular interest are records pertaining to the Crossing Borders initiative, launched in 1997 under Volkman's leadership, and files from the Foundation's African Studies initiative. The files were transferred to the Ford Foundation Archives in September 2005. The arrangement of the collection follows the original order established by Volkman, though a few unfolded and stray items have been interfiled by the processing archivist. A significant number of duplicate reports and memos were removed and discarded.

### Preferred Citation

Information regarding the Rockefeller Archive Center's preferred elements and forms of citation can be found at <http://www.rockarch.org/research/citations.php>.

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## Biographical note

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Toby Alice Volkman joined the Ford Foundation in 1994 as a consultant to the Education and Culture division. She was appointed Program Officer in the same area in 1995, and under a 1996 reorganization was assigned to the Education, Knowledge and Religion unit of the Education, Media, Arts and Culture program. Volkman was responsible for grantmaking to support teaching, scholarship and publications in the field of international and area studies.

In 1997, the Ford Foundation launched a major initiative under Volkman's leadership. "Crossing Borders: Revitalizing Area Studies" provided \$25 million over six years to enhance research and teaching about particular regions of the world and global processes that impact them. In the first phase of this initiative, Volkman oversaw 30 grants to U.S. academic institutions. In addition, a new Worldwide Fund for Area Studies supported collaborations between American colleges, universities, and institutional counterparts in Africa, Asia, Latin America, the Middle East, and Russia.

Volkman also worked extensively on a Ford Foundation African Studies initiative, which supported scholarship and research in this field during the late 1990s. Her grantmaking also included support for numerous academic programs related to Southeast Asia studies. Volkman completed her assignment at the Ford Foundation in 2001. She was later a Visiting Scholar in the Department of Anthropology at New York University, and became deputy provost of New School University in 2004.

Volkman holds a B.A. degree from the University of Chicago (1969) and a Ph.D. in Anthropology from Cornell University (1980). Her dissertation focused on the cultural anthropology of the Indonesian island of Sulawesi. From 1980-1985, Volkman was Staff Anthropologist at Documentary Educational Resources. Her book *Feasts of Honor: Ritual and Change in Tana Toraja* was published by the University of Illinois in 1985. She was Director, South and Southeast Asia Programs of the Social Science Research Council from 1986-1994.

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## Organizational history

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Ford Foundation grants to academic and research institutions working to broaden and organize knowledge about specific world regions dates from the 1950s. The Foundation was a primary force behind the establishment of area studies programs in the United States, and granted hundreds of millions of dollars to support graduate scholarship through its International Training and Research Program. In later years, the Ford Foundation maintained its commitment to the field by funding the Social Science Research Council and the American Council of Learned Societies, which in turn supported area studies scholars and programs.

"Crossing Borders: Revitalizing Area Studies" was a special initiative launched by the Ford Foundation in 1997. Drawing upon the Foundation's deep experience with support of scholarship focused on

world regions, this initiative sought to reinvigorate the field, to stimulate a critical rethinking of its parameters, and to encourage broader funding by government, universities and philanthropies. This effort was, in part, a response to intellectual critiques of area studies motivated by demographic shifts, the internationalization of scholarship and the popularity of multiculturalism and post-colonial theory. At the same time, dwindling institutional support for language study, travel and other components of area studies weakened programs and underscored the need for fresh philanthropic commitment to the field.

Crossing Borders began with thirty grants of \$50,000 each to support area studies programs in a wide range of institutions. Eventually, more than fifty Crossing Borders grants were made, running through 2005. Funded projects included Duke University's "Oceans Connect," which fostered research on cultural and economic linkages across maritime basins, a faculty-student research group at Colby College charged with restructuring the institution's junior year abroad program, and research collaborations between Hunter College students and faculty and the staff of several New York-based human rights NGOs. From 1997-2001, Crossing Borders was headed by Ford Foundation Program Officer Toby Alice Volkman. It was a special initiative of the Education, Knowledge and Religion unit of the Foundation's Education, Media, Arts and Culture division.

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## Biographical / Historical

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### Segment One: International Activities of the Ford Foundation: An Overview

The Foundation's aspiration to become a national and international philanthropy for the advancement of human welfare was first formally expressed in the seminal 1949 report of the Gaither Study Committee, *Report of the Study for the Ford Foundation on Policy and Program* (RAC Library, call letters 361.7 GAI ), which was commissioned by the Board of Trustees to chart the Foundation's future. Foundation Trustees launched Ford's international grantmaking activities in 1950 when they approved the committee's report and its embrace of peace, democracy, and freedom. Since then, the Foundation has tackled these goals using a variety of strategies and responding to changing contexts, from the Cold War to the 1989 fall of the Berlin Wall and beyond.

Toward the Foundation's aspirational goal, "the establishment of peace", its international activities have comprised a wide range of conceptual approaches and focus areas. These include international affairs, international studies, international understanding, arms control and disarmament, international law, international economic concerns, and overseas development in nearly every region of the world. Three distinct periods emerge for the international grantmaking defined by external contextual changes and internal changes in Foundation leadership and structures: the expansion era of 1950-1965; the transition and restructuring years of 1966-1988; and the post-1989 shift away from Cold War dichotomies. During each of the distinct historical periods the consistent objectives were: 1) to ensure freedom and democracy in developed countries; 2) to foster education and international understanding in all countries; and 3) to contribute to the social, economic, and political development of less developed countries.

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### Segment 3. Period Sketch - Transition and Restructuring 1966-1988

In the 1960s, the Ford Foundation Trustees began to rethink the role of the Foundation in the context of the era's dramatic political and cultural changes. For instance, the passage of the 1964 Civil Rights Act created a domestic policy context in which the Foundation could more deeply engage with issues of rights and social justice. Events overseas starting in the late 1960s would extend the rights agenda to international affairs and development operations at the Foundation -- all advanced by the leadership of McGeorge Bundy (president, 1966-1979).

McGeorge Bundy was appointed president in 1966, following a significant career in national security and academic administration. During World War II, he worked with the Army's intelligence division, and in the early post-war years was a political analyst at the Council on Foreign Relations. Even without a postgraduate degree, at the age of thirty-four he became dean of the Faculty of Arts and Science at Harvard University. In 1961, President Kennedy appointed Bundy to be Special Assistant to the President for National Security - a position he held for five years under both Kennedy and Johnson. Those significant years saw the Bay of Pigs invasion, the Cuban Missile Crisis, and the beginnings of the Vietnam War.

When Bundy arrived at the Foundation, he initiated a restructuring that modeled government departments rather than the academic ones President Henry Heald had established in the 1950s. At the same time, he had to contend with serious financial constraints due to Ford's overspending in the prior periods and to economic downturns in the global economy. The Board of Trustees mandated an annual spending of over \$100 million.

These economic constraints meant that Foundation programs had to be more selective across the range of its programs. Nonetheless, international activities remained prominent with the new president and trustees. President Bundy and key trustees such as Eugene Black (1960-1968, former head of the World Bank) and Robert S. McNamara (1968-1986, former U.S. Secretary of Defense and then head of the World Bank, 1968-1981) reflected a commitment to the international activities. Bundy also added to the board in 1972 the first trustee from a developing country, the Indonesian activist and scholar, Dr. Soedjakmoto, the former Ambassador to the United States from his country and then Special Adviser on Social and Cultural Affairs to the Chairman of Indonesia's National Development Planning Agency.

To create economies of scale, Bundy unified US-based international and overseas activities under one division: the International Division (using the name for the first time). That division comprised Resources for Development (area studies, languages, and exchanges), Population, International Relations, Planning and Evaluation, and the country programs. David Bell, an economist and the first administrator of the US Agency for International Development (1962-1966), was named vice president and served in that capacity until the end of Bundy presidency.

In 1966, Bundy terminated the long-standing internationally oriented but domestically based International Training and Research Program. Several large domestic programs -- including support of centers of international studies - were phased out in the 1970s. Instead, Ford launched under its international affairs efforts a focused but robust program in security and arms control. Over Bundy's tenure, the overseas offices were reduced from twenty in 1966 to twelve in 1979, when he retired.

The Foundation increasingly turned its attention to different set of international issues including human rights and working in repressive societies, such as South Africa. The military coups in Latin America during the late 1960s and early 1970s led the Foundation to initiate in 1976 a human rights program housed in Vice President Bell's office.

In 1979, Franklin Thomas was named president of the Ford Foundation (1979-1996). In contrast to Bundy's international and defense policy background, Franklin Thomas brought to the Ford Foundation his experience in law, housing, and community development. Prior to joining the Foundation, Thomas, a lawyer, was a Foundation trustee. He chaired the Rockefeller Foundation-funded Study Commission on U.S. Policy towards South Africa and led the Ford-funded Bedford-Stuyvesant Restoration Corporation in New York from its beginning in 1966 until he left in 1979. Earlier, he had been deputy police commissioner of NYC.

In the first decade of Thomas' tenure, the international work was still framed using the post-war East-West dichotomy. Several trustees brought to the board active engagement in international issues: Donald F. McHenry (trustee over the period 1981-1993) had served as ambassador to the U.N. and was active in the anti-apartheid movement; and General Olusegun Obasanjo (trustee over the period 1987-1999) had been Nigerian head of state from 1976-1979, and was then president of the African leadership Forum. Along with McNamara and Soedjakmoto, Rodrigo Botero, an internationally renowned economist from Colombia and former Colombian Minister of Finance and Credit from 1974 to 1976, remained on the board over the period 1978-1989.

During the early years of the Thomas presidency, the scope and strategies of the Foundation's activities were also influenced by economic and global pressures, leading to reduced assets and further financial stringencies. Thomas' board-mandated reductions resulted, for example, the firing of twenty senior staff at the same time. To achieve more effective and efficient programs, Thomas had a vision of Ford as one foundation, linking the domestic and overseas activities under new program themes: Urban Poverty, Rural Poverty and Resources, Human Rights and Social Justice, Governance and Public Policy, Education and Culture, and International Affairs.

The restructured Foundation comprised two programmatic divisions led by vice presidents: U.S. and International Affairs Programs (USIAP) headed by Susan Berresford; and Developing Countries Program (DCP), headed by William Carmichael. Berresford had been at the Foundation since 1970, coming from the U.S. Manpower Career Development Agency. She served in the National Affairs area, first as program assistant and then program officer (1972-1980), becoming head of women's programs in 1980. The main international efforts under USIAP were housed in Rural Poverty and Resources, Human Rights and Governance, and International Affairs. The other programs - Urban Poverty, Education and Culture, and Program-Related Investments - also addressed a scattering of international issues related to their main themes.

Carmichael had joined the Foundation in 1968 as Representative in Brazil. In July 1971, he was named Head of the Office of Latin America and the Caribbean, and in September of 1977, he became Head of the Middle East and Africa Office. The DCP program was responsible for all the Field Offices: Andean and the Southern Cone, Brazil, Mexico and Central America, Bangladesh, India, Southeast Asia, West Africa, Eastern and Southern Africa, and Middle East and North Africa.

When the Foundation initiated a Human Rights and Governance program (HR&G) in 1981, it was the first instance of a Ford program explicitly entitled “human rights,” despite the fact that human rights grantmaking that had started officially in 1976. International Affairs remained separate from HR&G. In 1987, however, the Board conceptually linked three programs, creating a Trustee committee called Human Rights, Governance, and International Affairs, which existed until 1992. Operationally, however, the programs relating to these fields did not often work together.

International governance remained a commitment under Thomas. The Foundation, for example, had a long-established relationship with the United Nations: from 1951 until 1988, the United Nations received ninety grants from the Foundation (it received another 198 over the period 1989-2009).

In these early years of the Thomas presidency, dramatic changes were occurring in the Soviet Union and Eastern Europe. Under President Mikhail Gorbachev’s leadership since 1985, the USSR was opening up to the international community, the rule of law in society was taking prominence there, and press freedoms were spreading. The foundation developed new programs in the region, drawing on the \$60 million already spent to promote human rights and free expression and increase Western understanding of developments there.

Given the continuing economic constraints facing the Foundation and rising debt in developing countries, the Foundation shifted support under DCP to smaller scale community-based initiatives in the field offices. Thomas was also charged by the Board to increase the diversity of grantees, especially to favor populations “most affected” by the problems of concern to the Ford Foundation. The Foundation built on earlier efforts and sharpened its focus on women’s issues throughout the world, including shifting the focus of the population program to women’s reproductive health and child survival.

In the 1980s, Carmichael and others, with strong support from Thomas and the board, continued the 1970s’ support of South African grantees for training large numbers of black lawyers and litigating sensitive cases in the South African legal system. Recognizing the multifaceted nature of discrimination in South Africa, the Foundation not only advanced the rule of law, but also strengthened civic organizations, women’s groups, and educational institutions. Further, it supported a number of activist organizations in the United States that were energetically advocating US governmental sanctions against South Africa and for private disinvestment. The Foundation also played a role in shaping US policy on apartheid through the role Thomas played from 1985 to 1987 chairing the US Secretary of State’s Advisory Committee on South Africa.

The Foundation’s overseas staff also sought to improve the economic situation in poor, marginalized communities through targeted loan programs particularly to women head of households, beginning with the innovative work in Bangladesh of Professor Mohammed Yunus, the founder of the Grameen Bank. The results led the way to establish the field of microfinance with the aim of empowering women living in poverty conditions, such as through a microfinance network in Latin America starting 1980 and a global lending program for women starting in 1987.



Thomas encouraged staff to share results at worldwide meetings. During those meetings, Ford staff in the country offices and in New York tried to follow the mandate to work as “one foundation.” The persistent challenge toward meeting that goal, however, was that initiatives emerging from the New York-based programs, or indeed any program developed in one country, were not always adaptable, relevant or acceptable in other countries or regions. It remained a challenge to develop a unified program, despite the commitment at the highest level of foundation leadership.

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## Biographical / Historical

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### Segment 3. Period Summary - Transition and Restructuring 1966-1988

During this era, the Foundation’s earlier interest in business and the economy evolved into a commitment to improving conditions for people living on the margins of society. The Ford Foundation promoted advancements in women's rights around the globe and introduced micro-lending into grantmaking. At the same time, the economic issues that were shaping program strategies also affected the Foundation's assets. Severe cuts resulted in a significant restructuring of country programs and reduced budgets across all programs.

Even with the cuts in country offices, in the 1970s Ford grantees in developing countries received approximately 80% of the International Division budget. The remaining fifth went to Population, Development Studies, and International Affairs. Although representing a much smaller piece, the International Security and Arms Control program from 1973 was the Foundation’s most concerted effort to make meaningful inroads in disarmament and nuclear issues - those challenges most directly linked to the Foundation's historic concern for peace. By 1979, the Ford Foundation was the biggest funder of arms control as a field, both in the U.S. and overseas.

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### Segment 4. Period Sketch - The Post-1989 Shift Away from Cold War Dichotomies

Between 1989 and 1991, dramatic changes in the external international conditions created a significantly different context for Ford Foundation’s international activities both in the United States and overseas. In November, 1989, the Berlin Wall fell. In February 1990, in South Africa, Nelson Mandela was released from prison. In December 1991, the Soviet Union dissolved. As a consequence, the Cold War was over, Western Europe no longer had a special strategic role in the Foundation, and program-related activities in Eastern Europe and the former Soviet Union multiplied. The Foundation not only continued the earlier

efforts to fund leadership in the region; from 1990-1994, Ford also spent \$1 million on the training of newly-elected parliamentarians in Eastern Europe and the former USSR. By 1993, half of the funds for grantmaking related to the former Soviet bloc were going to in-country individuals and institutions.

In 1989, Thomas also instituted a major restructuring of the Foundation to fulfill his vision of “One Foundation.” All programs were put into one division led by Vice President Susan Berresford. Carmichael joined the Institute of International Education to run a program on Soviet and Eastern European Affairs and then higher education in South Africa. All the Foundation grant programs - domestic and international - reported to Berresford: Africa and the Middle East (AME, formerly MEA), Asia (ASIA), Latin American and the Caribbean (LAC/OLAC), Human Rights and Governance (HRG), Education and Culture (EC), Program-Related Investments (PRI), and International Affairs (IA).

The change in South Africa opened up many new opportunities to work in partnership with a range of South Africa partners to promote and sustain the transition to democracy. The Foundation supported women’s groups working on law, development and reproductive rights. It contributed, for example, to the groups working on the Women’s Chatter in South Africa, resulting in the adoption in 1994 of the first constitution anywhere that specifically included sections on women’s rights.

During the 1990s, the promising political changes in African countries and elsewhere provided a positive perspective to counteract the continuing economic constraints facing the Foundation and the rising debt in developing countries. Both to reinforce local democratic initiatives and to address its economic challenges, the Foundation’s field offices across Africa and the developing world shifted their support toward the promotion of smaller scale community-based initiatives.

Thomas supported work on arts and culture, not as a goal in itself, but, instead, as a means for promoting social justice, education, and human rights, both in the United States and in developing countries. At the same time, while reducing support for cultural institutions in the United States, such institutions received support overseas to preserve and celebrate national and local cultural heritages. While in South Africa, for example, the Foundation provided support for Johannesburg anti-apartheid theatre groups, in West Africa it funded for nearly two decades a program to preserve and make accessible cultural heritage by expand the training of museum leaders and strengthening museum exhibit and outreach capabilities.

In the early 1990s, the Foundation through its India office helped establish an independent foundation to enhance sustainability in the arts community. Ford envisioned new opportunities for cultural institution to rely on local resources focus on new forms of creativity and encourage young artists, in particular. Similar to the Indian effort, the Ford office in Egypt in 1993 provided support for an arts-focused foundation.

When Susan Berresford became president in 1996, with a strong commitment to globalization as well as concern for the uncertainty it created, she reorganized the Foundation in to three large program themes that would inform grantmaking in the United States and developing countries: Assets with a focus on poverty, Peace and Social Justice on rights issues, and Education, Media, Arts and Culture to pull together related endeavors. She also created a separate communications program. Each program was led by a vice president. Berresford strongly reinforced the institutional and grantmaking goal of affirmative action, an organizational goal that had been initiated in the Bundy era.

She maintained significant support, domestically and internationally for women’s issues. She endorsed and encouraged the worldwide meetings staff members and grantees to continue to hold joint meetings

in order to create a greater sense of partnership and shared purpose. The more promising international situation enabled the Ford Foundation in 1996 to open an office in Russia (Moscow) and in Vietnam (Hanoi). Berresford encouraged the development of local and regional peace and security programs in developing countries. A regional security, peace, and cooperation program in India, for instance, focused on the work of civil society groups as an increasingly prominent regional interest in South Asia.

In this period, the Foundation gave renewed the prominence of arts and culture in the US and maintained the commitment in developing countries. By 2000, funding levels for the Education, Media, Arts and Culture division were approaching parity with the other two, Assets and Peace and Justice.

Berresford also encouraged each of the programs to hold worldwide meeting to promote cross-program and cross-national collaboration in fields such as human rights, income generation, cultural preservation, and building capacity in the arts and arts management. One persistent question of such initiatives. Toward that end, and building on the earlier experiences in India and Egypt, Berresford worked closely with her senior vice president, Barry Gaberman and other staff to establish new foundations at the local and national levels, particularly in developing countries. Gaberman had been at the foundation since 1973, starting as assistant to the representative in Indonesia. After serving in a number of different positions, by 1984, under Thomas, he served as deputy vice president in the U.S. and International Affairs program. After the 1989, Thomas and Berresford appointed him deputy vice president. 1996, Berresford appointed him senior vice president.

As the twentieth century came to a close, the economic conditions of the 1970s and 1980s that had resulted in so much global debt, with special impact on the developing world, were slowly turning around. Many countries were experiencing economic growth and burgeoning democratic initiatives. Not only was the global economic situation improving but the Foundation's assets were also growing. Consequently, the Foundation was in a strong position to bring attention to long-standing issues holding back developing countries. The grants programs addressed the pressing need both for advanced training of young- to mid-career adults living in marginalized and disadvantaged conditions along the equally, and for strengthening and building sustainability of universities across sub-Saharan Africa, along with enhancing broadband access for improved internet communications, promoting women in higher education, and strengthening postgraduate education.

Reacting to the tragedy of September 11, 2001, the Foundation provided immediate relief to affected local institutions in the United States. The Foundation also helped launch support a fund at the Institute of International Education to support scholars at risk in countries in conflict and under terrorist threats, especially in, but not limited to, the Middle East.

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### Segment 4. Period Summary - The Post-1989 Shift Away from Cold War Dichotomies

Franklin Thomas's presidency, lasting until his retirement in 1995, was defined by a commitment to connect the Foundation's US and international activities around a few key themes. These themes were

addressed through grants that created private sector partnerships, enhanced support for local community groups, and enlarged initiatives to promote human rights, with special attention to women's rights. Throughout Thomas's tenure, Ford staff reinforced his special commitment to bolstering marginalized communities and broadening access to the law and educational opportunity. The Ford Foundation led the way in building the fields of international security studies, arms control, human rights, and governance. Moreover, in this period, Ford was innovative in drawing together the fields of international cooperation and human rights into one program.

Susan Berresford in her tenure as president from 1996-1997 continued and expanded the activities she helped initiate under Thomas. She and her colleagues increased support for the arts and established a variety of major international collaborative efforts implementing the concept of one foundation. Ford staff in this period drew on the Foundation's time-tested grantmaking strategies (supporting individuals and new institutions as needed), while concentrating on under-addressed issues and underserved populations. With Berresford's encouragement, Foundation staff explicitly took into account the new global context, increasing opportunities for inclusion of disadvantaged populations in all of their activities.

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## Scope and Contents

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The Office Files of Toby Alice Volkman document grantmaking to support international and area studies programs at academic and research institutions during the period 1982-2001. A substantial portion of the collection relates to the Crossing Borders initiative, 1997-2001. Also included are records pertaining to a Foundation initiative to support African Studies, and files on dozens of additional grants for international and area studies. Several files include documents created by Sheila Biddle, a Program Officer in Education and Culture from 1982-1996. The files contain correspondence, memoranda, reports, grant proposals and related documentation, meeting materials and publications. The collection measures 9 linear feet and is contained in 9 record cartons.

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## Arrangement

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The Office Files of Toby Alice Volkman are arranged in three series:

I. Crossing Borders Initiative, 1997-2000 II. African Studies Initiative, 1995-1998 III. Other Grants and Subjects, 1982-2001

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## Administrative Information

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### Publication Statement

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### Conditions Governing Use

Completion of a use agreement is required prior to providing scholarly access. See RAC Head of Reference (or applicable designee) for details.

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The Rockefeller Archive Center has authority to grant permission to cite and publish material from the collection for scholarly purposes. Permission to publish extensive excerpts, or material in its entirety, will be referred to the Ford Foundation.

### Immediate Source of Acquisition

Ford Foundation archive was deposited at RAC in 2011. Ford Foundation records, correspondence, reports, program files and officers papers were transferred to, and accessioned by, RAC beginning in 2011. Accessions continue as necessary.

### Conditions Governing Access

Records more than 10 years old are open for research with select materials restricted as noted. Brittle or damaged items are available at the discretion of RAC. Researchers interested in accessing digital media (floppy disks, CDs, DVDs, etc.) or audiovisual material (audio cassettes, VHS, etc.) in this collection must use an access surrogate. The original items may not be accessed because of preservation concerns. To request an access surrogate be made, or if you are unsure if there is an access surrogate, please contact an archivist.

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## Collection Inventory

### Crossing Borders Initiative, 1997-2000

#### Scope and Contents

Series I includes grant proposals, applicant and grantee correspondence, proposal review notes, recommendations for grant action (RGAs), grantee narrative reports and internal memoranda. Two rounds of funding are documented: Round 1 grants made in 1997, and Round 2 grants from 1999. For a list of individual institutions/proposals contained in the "Rejected Proposals" folders (box 1, folders 41-44 and box 2, folders 24-26) see the grant RGAs.

Title/Description	Instances	
Assessment, 1997-2000	box 1	folder 1
Conference, 1998	box 1	folder 2
Conference transcripts, 1998	box 1	folder 3-4
Initiative Announcement, 1997	box 1	folder 5
Lists of Proposals, 1997	box 1	folder 6
Memoranda, 1997-1999	box 1	folder 7
Publicity, 1997-2000	box 1	folder 8
Round 1 – Grants – California State University, Dominguez Hills	box 1	folder 9
Round 1 – Grants – California State University, Los Angeles	box 1	folder 10
Round 1 – Grants – Colby College	box 1	folder 11
Round 1 – Grants – Dartmouth College	box 1	folder 12
Round 1 – Grants – Duke University	box 1	folder 13
Round 1 – Grants – Emory University	box 1	folder 14
Round 1 – Grants – Five Colleges, Inc.	box 1	folder 15
Round 1 – Grants – Hamline University	box 1	folder 16
Round 1 – Grants – Hunter College	box 1	folder 17
Round 1 – Grants – Lewis & Clark College	box 1	folder 18
Round 1 – Grants – Middlebury College	box 1	folder 19
Round 1 – Grants – New Mexico State University	box 1	folder 20
Round 1 – Grants – New York University	box 1	folder 21
Round 1 – Grants – Northern Arizona University	box 1	folder 22
Round 1 – Grants – Oberlin College	box 1	folder 23
Round 1 – Grants – St. Lawrence University	box 1	folder 24

Round 1 – Grants – Trinity College	box 1	folder 25
Round 1 – Grants – University of California, Berkeley	box 1	folder 26
Round 1 – Grants – University of California, Humanities Research Institute	box 1	folder 27
Round 1 – Grants – University of California, Santa Cruz	box 1	folder 28
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Round 1 – Grants – Yale University	box 1	folder 39
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Round 2 – Grants – Duke University	box 2	folder 5
Round 2 – Grants – Emory University	box 2	folder 6
Round 2 – Grants – Five Colleges, Inc.	box 2	folder 7
Round 2 – Grants – Hamline University	box 2	folder 8
Round 2 – Grants – Middlebury College	box 2	folder 9
Round 2 – Grants – New York University	box 2	folder 10
Round 2 – Grants – St. Lawrence University	box 2	folder 11
Round 2 – Grants – University of California, Berkeley		

	box 2	folder 12
Round 2 – Grants – University of California, Humanities Research Institute	box 2	folder 13
Round 2 – Grants – University of California, Santa Cruz	box 2	folder 14
Round 2 – Grants – University of Hawaii, Manoa	box 2	folder 15
Round 2 – Grants – University of Illinois	box 2	folder 16
Round 2 – Grants – University of Iowa	box 2	folder 17
Round 2 – Grants – University of Michigan	box 2	folder 18
Round 2 – Grants – University of Nebraska, Lincoln	box 2	folder 19
Round 2 – Grants – University of Texas, El Paso	box 2	folder 20
Round 2 – Grants – University of Wisconsin	box 2	folder 21
Round 2 – Grants – Yale University	box 2	folder 22
Round 2 – Recommendation for Grant Action, 1999	box 2	folder 23
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## African Studies Initiative, 1995-1998

### Scope and Contents

Series II includes grant proposals, applicant and grantee correspondence, proposal review notes, recommendations for grant action (RGAs), grantee narrative reports and internal memoranda. Two rounds of funding are documented: Round 1 grants made in 1995-1996, and Round 2 grants from 1998. For a list of individual institutions/proposals contained in the "Rejected Proposals" folders (box 3, folders 22-24) see the grant RGAs.

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## Other Grants and Subjects, 1982-2001

## Scope and Contents

Series III includes files on individual grants to support international and area studies programs that were not exclusively connected with the Crossing Borders or African Studies initiatives. Many are focused on Southeast Asian studies, a field of particular interest to Volkman. Also included are files on conferences, workshops and planning meetings where Volkman and her colleagues formulated their agenda to reinvigorate area studies. This material is complemented by copies of several of Volkman's "Reflection Memos."

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## Appendix A: Reports

### Arrangement

The following list of unpublished reports written by FF staff and consultants were removed from the papers and transferred to the Archives' collection of staff and consultant reports. The reports are listed in alphabetical order by author. The number on the left is the assigned accession number and may be retrieved via the online catalog.

Title/Description	Instances
Anderson, Anthony. Toward a Region-Wide Program Initiative for the Amazon Basin, 1995 September	report 16659
Beckman, Ericka. African Studies and the Revitalization of Area Studies, 1999 April 1	report 16662
Dove, Michael R., Nugroho, Tri. Review of "Culture and Conservation" 1991-1994: A Sub-Project Funded by the Ford Foundation, of the World Wide Fund for Nature's Kayan Mentarang Nature Reserve Project in Kalimantan, Indonesia, 1994 December	report 16661
Ergas, Yasmine. The New International Program of the Social Science Research Council: A Report to the Ford Foundation, 2000 April 14	report 16666
Jessup, Timoty C., Sellato, Bernard J. L. Culture and Conservation in East Kalimantan: 1991-1994, 1995 January	report 16656
Menzies, Nick. Culture and Natural Resources Management, 1999 May 9	report -
<u>Processing Information:</u> <b>Processing Information</b> Report # not known or not assigned.	
Moyo, Jonathan N. New Africa Initiative, 1997 October 9	report 16664
Spencer, Jim (Rapporteur). Ford Foundation Workshop on Culture and Natural Resources, 1996 June 4 - 5	report 15489
Tsing, Anna. Culture and Natural Resource Management, 1998 December 11	report 16658
Volkman, Toby Alice. Reflections Memo. Crossing Borders: Revitalizing Area Studies, 1997 July	report 16665
Volkman, Toby Alice. Cultural Dynamics of Natural Resource Management, 1995 July 19	report 16676

Volkman, Toby Alice. Trip Report. Culture and Natural Resource Management. Zimbabwe and Mozambique, June 15-22, 1995, 1995 July 19	report 16657
Wilson, Ken. Developments in Community-Based Wildlife Management in Mozambique, 1995 February 10	report 16655
Wilson, Ken. Recent Developments in the "Tchuma Tchato" Resource Management Program in Mozambique, 1995 May 17	report 16660