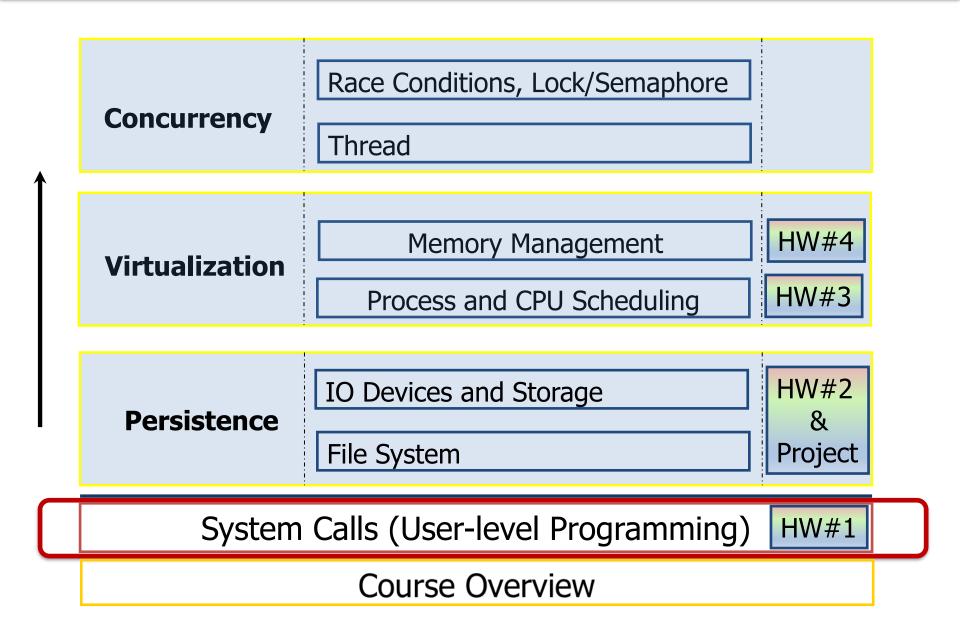
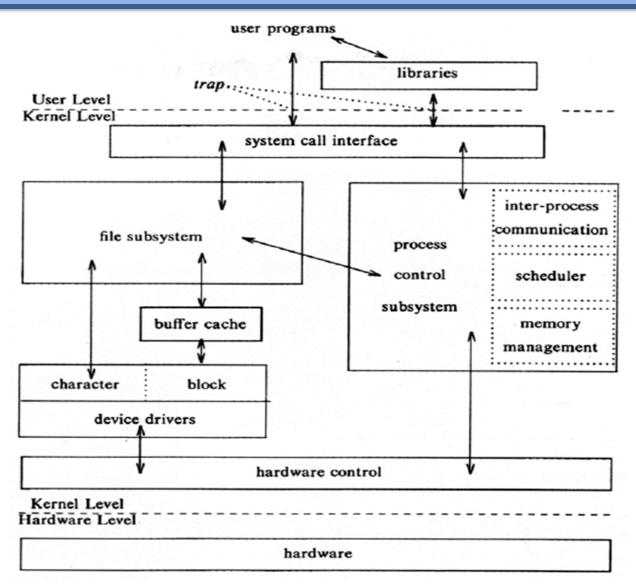
# Lecture 4: User-level Programming via System Calls (File & Directory)

## The Course Organization (Bottom-up)



#### System call

OS provides services
via System Call
(typically a few
hundred) to run
process, access
memory/devices/files
, etc.



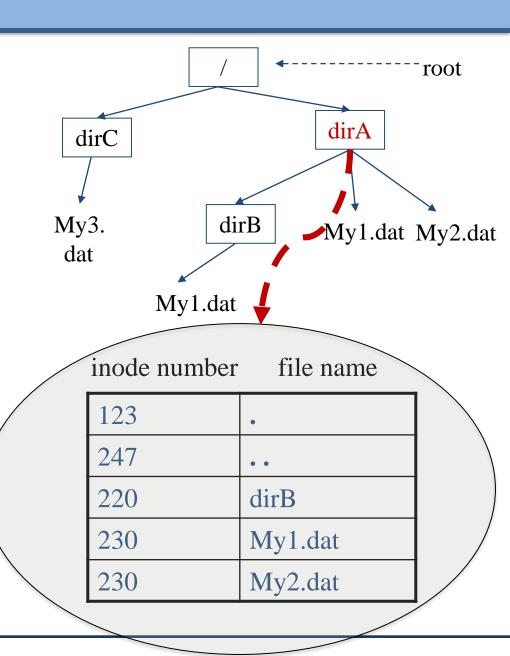
The Design Of The Unix Operating System (Maurice Bach, 1986)

#### **Persistent Storage**

- Keep a data **intact** even if there is <u>a power loss</u>.
  - Hard disk drive
  - Solid-state storage device
- Two key abstractions in the virtualization of storage
  - File
  - Directory

#### File and Directory

- File A container to contain data of a file (a linear array of bytes)
  - Each file has low-level name
     (inode number)
- Directory Implement directorytree (directory hierarchy)
  - Like a file, it also has a low-level name (inode number).
  - It contains a list of (file name, inode number) pairs.
  - Each entry in a directory refers to either *files* or other *directories*.



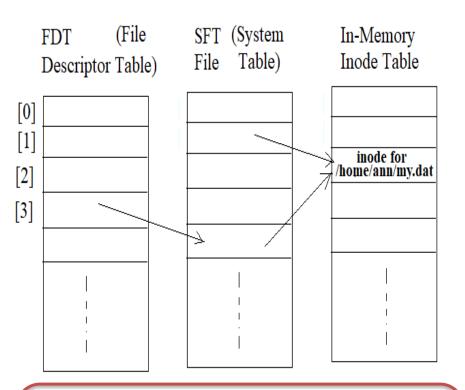
#### **Creating Files**

■ Use open () system call with O CREAT flag.

```
int myfd = open("/home/ann/my.dat", O_CREAT | O_WRONLY | O_TRUNC);
```

- O CREAT : create file.
- O WRONLY: only write to that file while opened.
- O TRUNC: make the file size zero (remove any existing content).
- open () system call returns file descriptor.
  - File descriptor is an integer, and is used to access files.
- read (file descriptor, buffer pointer, the number of bytes to read from)
  - Return the number of bytes it read
- write (file descriptor, buffer pointer, the number of bytes to write to)
  - Return the number of bytes it write

#### The Story behind open() (Unix System V)



- myfd (the file descriptor for the newly-created file) is 3
- When a process is created, file descriptor 0, 1, 2, are opened by default for standard input/output/error.

- A file descriptor specifies the index into file descriptor table (FDT) of the process.
- Entries of FDT contain pointers to entries in system file table (SFT).
- When a file is opened, an entry is created in both EDT and SFT.
- SFT entry contains information about whether a file is open for read or write, protection, and lock, the file offset, where the next data is read from or written to in the file, etc.
- Several entries in SFT may point to the same physical file

#### Reading And Writing, But Not Sequentially

- An open file has a current offset.
  - Determine **where** the next read or write will begin reading from or writing to within the file.
- Update the current offset
  - Implicitly: A read or write of N bytes takes place, N is added to the current offset.
  - Explicitly: lseek()

#### Reading And Writing, But Not Sequentially (Cont.)

```
off_t lseek(int fd, off_t offset, int whence);
```

- fd : File descriptor
- offset: Position the file offset to a particular location within the file
- whence: Determine how the seek is performed

#### From the man page:

```
If whence is SEEK_SET, the offset is set to offset bytes.

If whence is SEEK_CUR, the offset is set to its current location plus offset bytes.

If whence is SEEK_END, the offset is set to the size of the file plus offset bytes.
```

#### I/O redirection

- To access a file, a process uses a *file descriptor*, which is an index into the process *file descriptor table*, which in turn points to an entry in the *system file table*.
- *Redirection* means that the process modifies its file descriptor table entry so that it points to a different entry in the system file table.
  - Consider the command cat, which reads from a file and echoes to standard output.
     The following command redirects standard output to my.file

ls -l > my.file

# Use "dup()" to implement "redirection"

#### int dup(int fd);

#### DESCRIPTION

dup() is a "smart" function that can duplicate the file descriptor, "fd", to the lowest-numbered unused file descriptor in the file descriptor table.

#### **RETURN VALUE:**

On success, return the new file descriptor.

On error, -1 is returned, and errno is set to indicate the error.

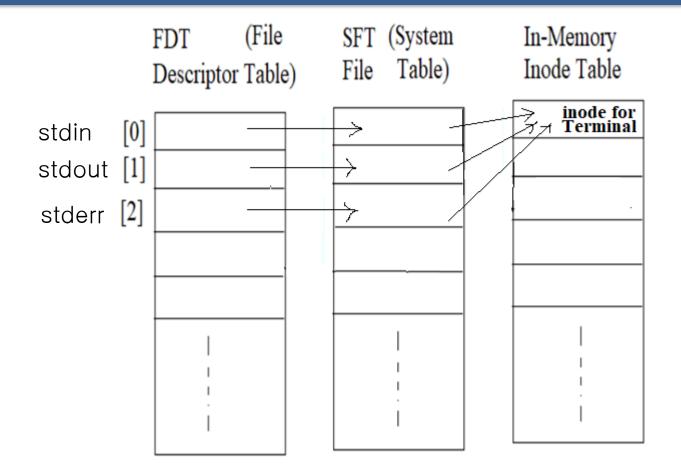
# Use "dup()" to implement "redirection"

```
int dup(int fd)
```

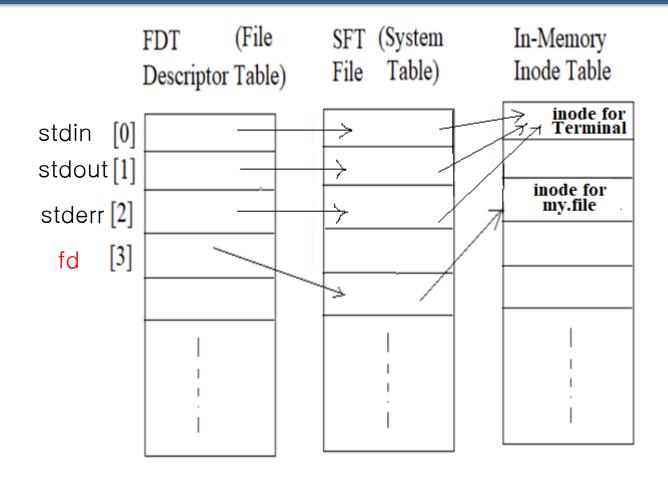
dup() is a "smart" function that can duplicate the file descriptor, "fd", to the lowest-numbered unused file descriptor in the file descriptor table.

```
#include <sys/types.h>
#include <sys/wait.h>
#include <unistd.h>
#include <stdio.h>
#include <stdlib.h>
#include <sys/stat.h>
#include <fcntl.h>
int main (void)
        int fd;
        fd = open("my.file", O CREAT | O TRUNC | O WRONLY, S IRUSR | S IWUSR );
        close(1);
        dup(fd);
        close(fd);
        char *cmd = "ls";
        char *arqv[3];
        argv[0] = "ls"; argv[1] = "-l"; argv[2] = NULL;
        execvp(cmd, argv);
```

#### I/O redirection

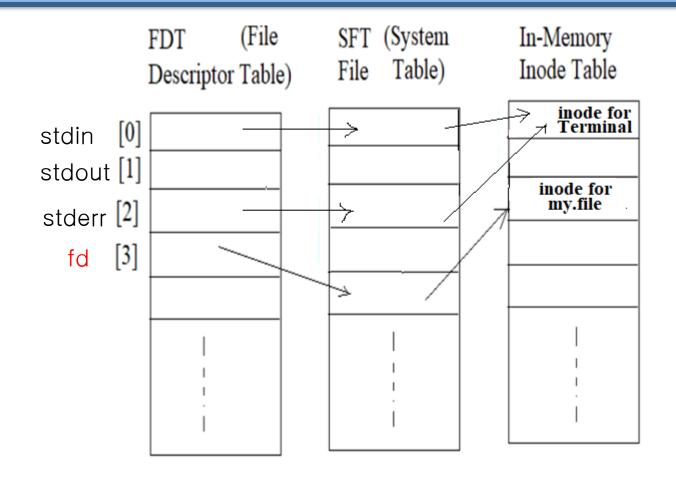


#### I/O redirection (continue)



```
fd = open("my.file", O_CREAT, S_IRUSR| S_IWUSR); /* Create the file - my.file */
close(1); /* Close 1 (Standard output)*/
dup(fd); /* Duplicate fd */
execvp(cmd, argv); /* Execute the command ls -l */
```

#### I/O redirection (continue)

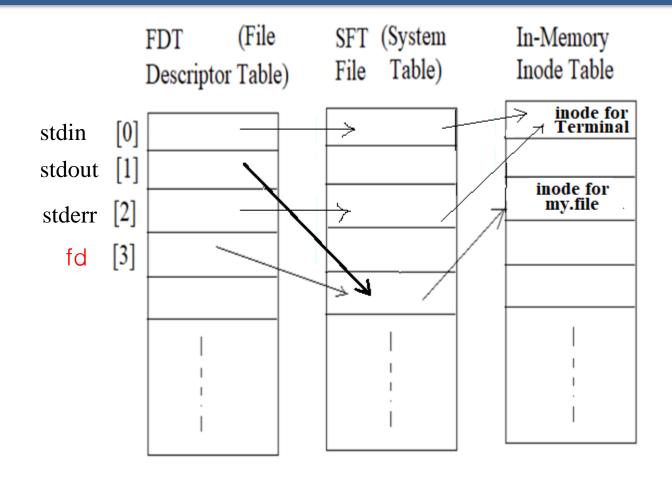


```
fd = open("my.file", O_CREAT, S_IRUSR| S_IWUSR); /* Create the file – my.file */

close(1); /* Close 1 (Standard output)*/

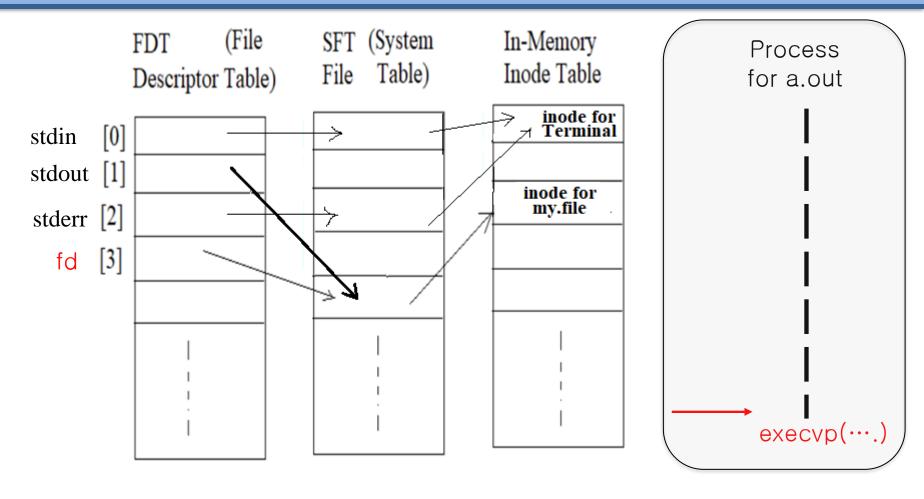
dup(fd); /* Duplicate fd */
execvp(cmd, argv); /* Execute the command ls -l */
```

#### I/O redirection (continue)



```
fd = open("my.file", O_CREAT, S_IRUSR| S_IWUSR); /* Create the file – my.file */
close(1); /* Close 1 (Standard output)*/
> dup(fd); /* Duplicate fd */
execvp(cmd, argv); /* Execute the command ls -l */
```

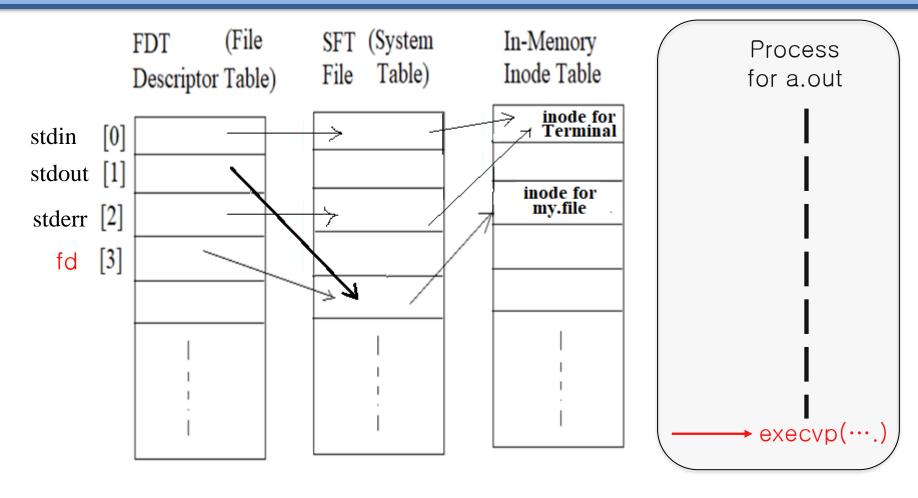
#### I/O redirection (continue; before execvp(...))



```
fd = open("my.file", O_CREAT, S_IRUSR| S_IWUSR); /* Create the file – my.file */
close(1); /* Close 1 (Standard output)*/
dup(fd); /* Duplicate fd */

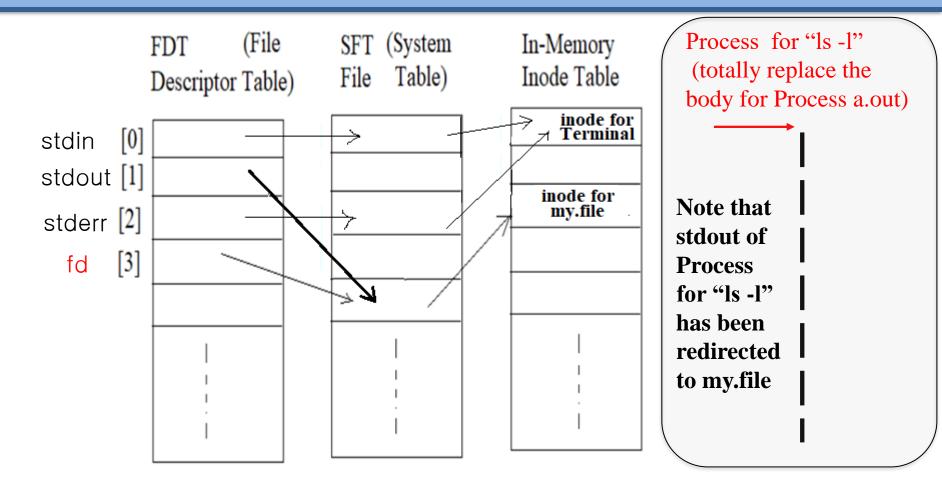
execvp(cmd, argv); /* Execute the command ls -l */
```

#### I/O redirection (continue; executing execvp(...))



```
fd = open("my.file", O_CREAT, S_IRUSR| S_IWUSR); /* Create the file – my.file */
close(1); /* Close 1 (Standard output)*/
dup(fd); /* Duplicate fd */
execvp(cmd, argv); /* Execute the command ls –l */
```

#### I/O redirection (continue; after execvp(...))



```
fd = open("my.file", O_CREAT, S_IRUSR| S_IWUSR); /* Create the file – my.file */
close(1); /* Close 1 (Standard output)*/
dup(fd); /* Duplicate fd */
execvp(cmd, argv); /* Execute the command ls –l */
```

## Communication between parent/child processes via pipe

System call pipe() returns two file descriptors by which we can access the input/output of a pipe (an I/O mechanism)

```
int fd[2];
int pipe(int fd[2]);
  return: 0 success; -1 error
```

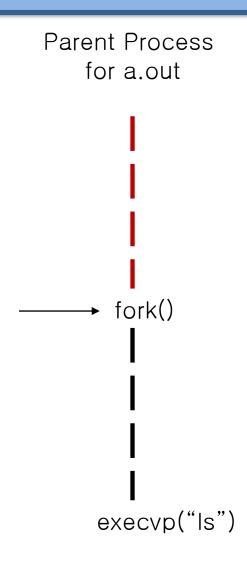
```
\begin{array}{c|c} \text{fd[1] for writing} & & \text{pipe} & & \xrightarrow{\text{fd[0] for reading}} \end{array}
```

Question: How to implement "ls - l / wc - l"?

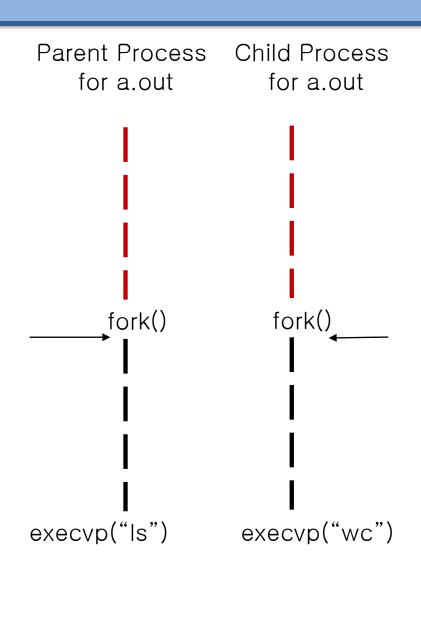
```
#include <sys/types.h>
#include <sys/wait.h>
#include <unistd.h>
#include <stdio.h>
#include <stdlib.h>
#include <sys/stat.h>
#include <fcntl.h>
main()
    int fd[2];
    int ret;
    char *cmd;
    char *argv[3];
    pipe(fd);
    if ((ret=fork()) > 0)
         /* Parent process*/
         close(1);
         dup(fd[1]); close(fd[0]); close(fd[1]);
         cmd = "ls"; argv[0] = "ls"; argv[1] = "-l"; argv[2] = NULL;
         execvp(cmd, argv);
    else if (ret == 0)
         /* Child process*/
         close(0);
         dup(fd[0]); close(fd[0]); close(fd[1]);
         cmd = "wc"; argv[0] = "wc"; argv[1] = "-1"; argv[2] = NULL;
         execvp(cmd, argv);
    } else{
         /* Error in fork()*/
         printf("Error occurs when executing fork().\n");
         exit(-1);
```

```
Parent Process
   for a.out
      fork()
     execvp("ls")
```

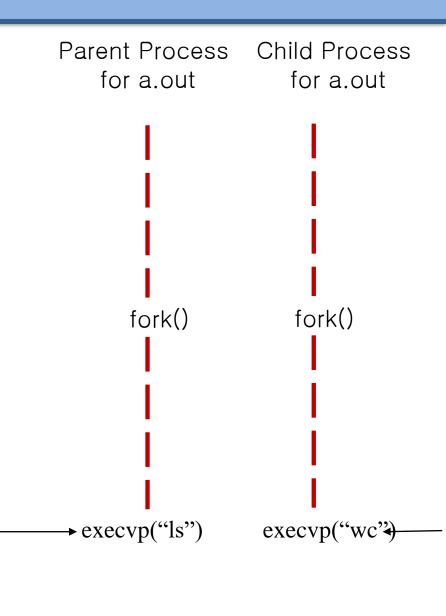
```
#include <sys/types.h>
#include <sys/wait.h>
#include <unistd.h>
#include <stdio.h>
#include <stdlib.h>
#include <sys/stat.h>
#include <fcntl.h>
main()
    int fd[2];
    int ret;
    char *cmd;
    char *argv[3];
    pipe(fd);
    if ((ret=fork()) > 0)
         /* Parent process*/
         close(1);
         dup(fd[1]); close(fd[0]); close(fd[1]);
         cmd = "ls"; argv[0] = "ls"; argv[1] = "-l"; argv[2] = NULL;
         execvp(cmd, argv);
    else if (ret == 0)
         /* Child process*/
         close(0);
         dup(fd[0]); close(fd[0]); close(fd[1]);
         cmd = "wc"; argv[0] = "wc"; argv[1] = "-1"; argv[2] = NULL;
         execvp(cmd, argv);
    } else{
         /* Error in fork()*/
         printf("Error occurs when executing fork().\n");
         exit(-1);
```



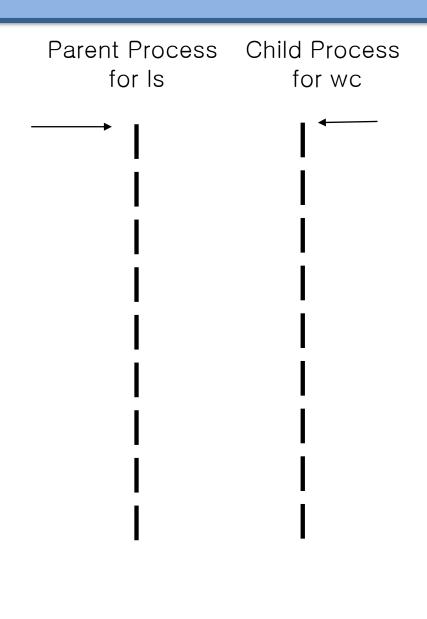
```
#include <sys/types.h>
#include <sys/wait.h>
#include <unistd.h>
#include <stdio.h>
#include <stdlib.h>
#include <sys/stat.h>
#include <fcntl.h>
main()
    int fd[2];
    int ret;
    char *cmd;
    char *argv[3];
    pipe(fd);
    if ((ret=fork()) > 0)
         /* Parent process*/
         close(1);
         dup(fd[1]); close(fd[0]); close(fd[1]);
         cmd = "ls"; argv[0] = "ls"; argv[1] = "-l"; argv[2] = NULL;
         execvp(cmd, argv);
    else if (ret == 0)
         /* Child process*/
         close(0);
         dup(fd[0]); close(fd[0]); close(fd[1]);
         cmd = "wc"; argv[0] = "wc"; argv[1] = "-1"; argv[2] = NULL;
         execvp(cmd, argv);
    } else{
         /* Error in fork()*/
         printf("Error occurs when executing fork().\n");
         exit(-1);
```



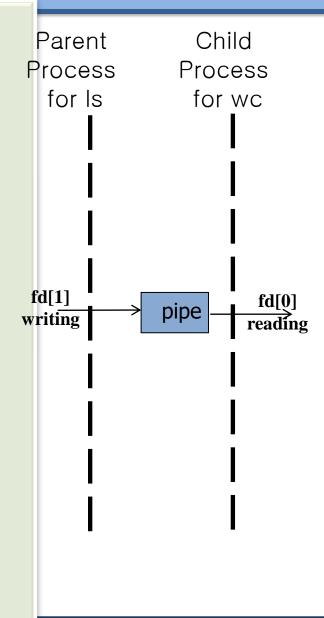
```
#include <sys/types.h>
#include <sys/wait.h>
#include <unistd.h>
#include <stdio.h>
#include <stdlib.h>
#include <sys/stat.h>
#include <fcntl.h>
main()
    int fd[2];
    int ret;
    char *cmd;
    char *argv[3];
    pipe(fd);
    if ((ret=fork()) > 0)
         /* Parent process*/
         close(1);
         dup(fd[1]); close(fd[0]); close(fd[1]);
         cmd = "ls"; argv[0] = "ls"; argv[1] = "-l"; argv[2] = NULL;
         execvp(cmd, argv);
    else if (ret == 0)
         /* Child process*/
         close(0);
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         cmd = "wc"; argv[0] = "wc"; argv[1] = "-1"; argv[2] = NULL;
         execvp(cmd, argv);
    } else{
         /* Error in fork()*/
         printf("Error occurs when executing fork().\n");
         exit(-1);
```

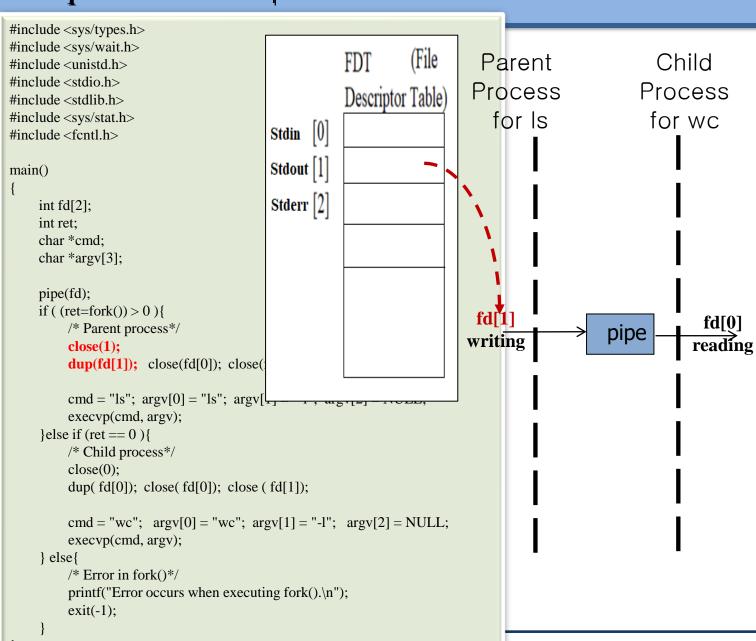


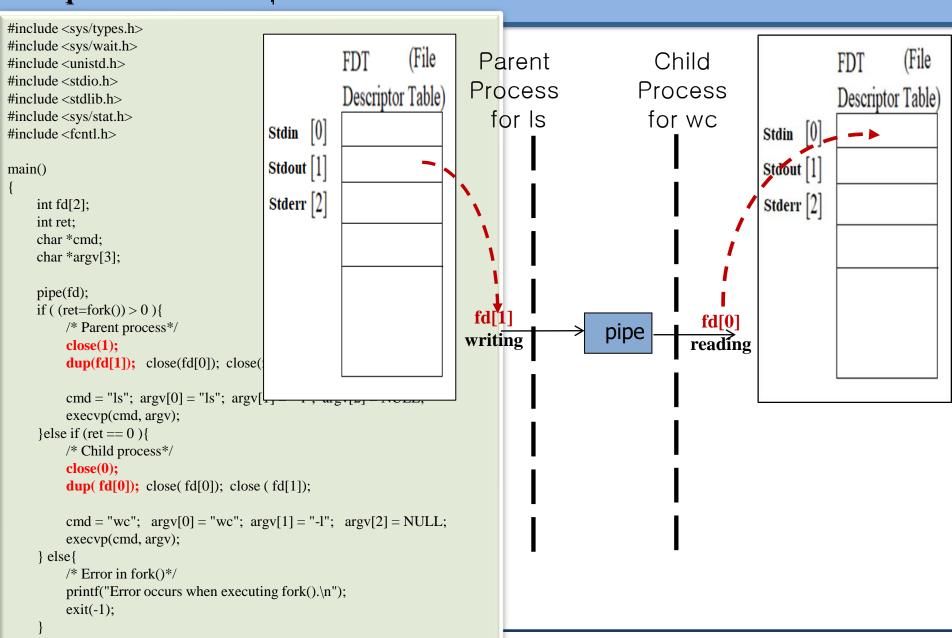
```
#include <sys/types.h>
#include <sys/wait.h>
#include <unistd.h>
#include <stdio.h>
#include <stdlib.h>
#include <sys/stat.h>
#include <fcntl.h>
main()
    int fd[2];
    int ret;
    char *cmd;
    char *argv[3];
    pipe(fd);
    if ((ret=fork()) > 0)
         /* Parent process*/
         close(1);
         dup(fd[1]); close(fd[0]); close(fd[1]);
         cmd = "ls"; argv[0] = "ls"; argv[1] = "-l"; argv[2] = NULL;
         execvp(cmd, argv);
    else if (ret == 0)
         /* Child process*/
         close(0);
         dup(fd[0]); close(fd[0]); close(fd[1]);
         cmd = "wc"; argv[0] = "wc"; argv[1] = "-l"; argv[2] = NULL;
         execvp(cmd, argv);
    } else{
         /* Error in fork()*/
         printf("Error occurs when executing fork().\n");
         exit(-1);
```



```
#include <sys/types.h>
#include <sys/wait.h>
#include <unistd.h>
#include <stdio.h>
#include <stdlib.h>
#include <sys/stat.h>
#include <fcntl.h>
main()
    int fd[2];
    int ret;
    char *cmd;
    char *argv[3];
    pipe(fd);
    if ((ret=fork()) > 0)
         /* Parent process*/
         close(1);
         dup(fd[1]); close(fd[0]); close(fd[1]);
         cmd = "ls"; argv[0] = "ls"; argv[1] = "-l"; argv[2] = NULL;
         execvp(cmd, argv);
    else if (ret == 0)
         /* Child process*/
         close(0);
         dup(fd[0]); close(fd[0]); close(fd[1]);
         cmd = "wc"; argv[0] = "wc"; argv[1] = "-1"; argv[2] = NULL;
         execvp(cmd, argv);
    } else{
         /* Error in fork()*/
         printf("Error occurs when executing fork().\n");
         exit(-1);
```







#### More about Pipe (https://man7.org/linux/man-pages/man7/pipe.7.html)

- Pipes provide a unidirectional interprocess communication channel. A pipe has a read end and a write end. Data written to the write end of a pipe can be read from the read end of the pipe.
- If a process attempts to read from an empty pipe, then read() will block until data is available.
- The communication channel provided by a pipe is a byte stream: there is no concept of message boundaries.
- If all file descriptors referring to the write end of a pipe have been closed, then an attempt to read() from the pipe will see end-of-file (read() will return 0). If all file descriptors referring to the read end of a pipe have been closed, then a write() will cause a SIGPIPE signal to be generated for the calling process. If the calling process is ignoring this signal, then write() fails with the error EPIPE.

## Writing Immediately with fsync()

- The file system will **buffer** writes in memory for some time (for performance reasons), e.g. 5 or 30 seconds.
- At that later point in time, the write(s) will **actually be issued** to the storage device.
  - Writes seem to <u>complete quickly</u>; but data can be <u>lost</u> (e.g., machine crashes).
  - However, some applications require persistence guarantee, e.g. DBMS requires force writes to disk from time to time (your bank transactions).

```
int fsync(int fd)
```

- force all dirty (i.e., not yet written) data written to disk
- fsync() returns once all of theses writes are complete.

#### Writing Immediately with fsync() (Cont.)

```
#include <unistd.h>
int fsync(int fd)

On success, these system calls return zero. On error, -1 is returned, and errno is set appropriately.
```

■ An Example of fsync().

```
int fd = open("foo", O_CREAT | O_WRONLY | O_TRUNC);
assert (fd > -1)
int rc = write(fd, buffer, size);
assert (rc == size);
rc = fsync(fd);
assert (rc == 0);
```

• In some cases, this code needs to fsync() the directory that contains the file foo.

#### **Renaming Files**

- rename(char\* old, char \*new)
  - Rename a file to different name.
  - It implemented as an **atomic call**.
    - e.g. Change from foo to bar:

• How to update a file atomically:

```
int fd = open("foo.txt.tmp", O_WRONLY|O_CREAT|O_TRUNC, S_IRWXU);
char buffer[20] = "hello";
write(fd, buffer, sizeof(buffer)); // write out new version of file
fsync(fd);
close(fd);
rename("foo.txt.tmp", "foo.txt");
```

#### **Getting Information About Files**

- □ stat(), fstat(): Show the file metadata
  - **Metadata** is information about each file.
    - Size, Low-level name, Permission, ...
  - stat structure is below:

#### Getting Information About Files (Cont.)

■ To see stat information, you can use the command line tool stat.

```
prompt> echo hello > file
prompt> stat file

File: 'file'
Size: 6 Blocks: 8 IO Block: 4096 regular file
Device: 811h/2065d Inode: 67158084 Links: 1
Access: (0640/-rw-r----) Uid: (30686/ root) Gid: (30686/ remzi)
Access: 2011-05-03 15:50:20.157594748 -0500
Modify: 2011-05-03 15:50:20.157594748 -0500
Change: 2011-05-03 15:50:20.157594748 -0500
```

• File system keeps this type of information in an inode structure.

#### **Removing Files**

- rm is Linux command to remove a file
  - rm call unlink () to remove a file.

```
prompt> strace rm foo
...
unlink("foo") = 0 // return 0 upon success
...
prompt>
```

Why it calls unlink() not "remove or delete"?

We can get the answer later.

#### **Making Directories**

mkdir(): Make a directory

```
prompt> strace mkdir foo
...
mkdir("foo", 0777) = 0
prompt>
```

- When a directory is created, it is empty.
- Empty directory have two entries: . (itself), .. (parent)

```
prompt> ls -a
./ ../
prompt> ls -al
total 8
drwxr-x--- 2 remzi remzi 6 Apr 30 16:17 ./
drwxr-x--- 26 remzi remzi 4096 Apr 30 16:17 ../
```

### **Reading Directories**

■ A sample code to read directory entries (like ls).

```
#include <sys/stat.h>
#include <assert.h>
#include <stdio.h>
#include <dirent.h>
int main(int argc, char *argv[]) {
                           // open current directory
   DIR *dp = opendir(".");
   assert(dp != NULL);
   struct dirent *d;
   while ((d = readdir(dp)) != NULL) // read one directory entry
       // print outthe name and inode number of each file
       printf("%d %s\n", (int) d->d ino, d->d name);
   closedir(dp);
                                      // close current directory
   return 0;
```

The information available within struct dirent

# **Deleting Directories**

- □ rmdir(): Delete a directory.
  - Require that the directory be **empty** 
    - i.e. Only has "." and ".." entries.
  - If you call rmdir() to a non-empty directory, it will fail.

#### **Hard Links**

- link(old pathname, new one)
  - Link a new file name to an old one
  - Create another way to refer to *the same file*
  - The command-line link program : ln

```
prompt> echo hello > file
prompt> cat file
hello
prompt> ln file file2 // create a hard link, link file to file2
prompt> cat file2
hello
```

- The way link works:
  - **Create** another name in the directory.
  - **Refer** it to the <u>same inode number</u> of the original file.
    - The file is not copied in any way.
  - Then, we now just have two human names (file and file2) that both refer to the same file.

■ The result of link()

```
prompt> ls -i file file2
67158084 file /* inode value is 67158084 */
67158084 file2 /* inode value is 67158084 */
prompt>
```

- Two files have **same inode** number, but two file name (file, file2).
- There is **no difference** between file and file2.
  - Both just link to the underlying metadata about the file.

■ Thus, to remove a file, we call unlink().

#### • reference count

- Track how many different file names have been linked to this inode.
- When unlink() is called, the reference count decrements.
- If the reference count reaches zero, the files system free the inode and related data blocks.
  - → truly "delete" the file

- □ The result of unlink()
  - stat () shows the reference count of a file.

```
prompt> stat file
... Inode: 67158084 Links: 1 ... /* Link count is 1 */
prompt> ln file file2
                              /* hard link file2 */
prompt> stat file
... Inode: 67158084 Links: 2 ... /* Link count is 2 */
prompt> stat file2
... Inode: 67158084 Links: 2 ... /* Link count is 2 */
prompt> ln file2 file3
                             /* hard link file3 */
prompt> stat file
... Inode: 67158084 Links: 3 ... /* Link count is 3 */
                              /* remove file */
prompt> rm file
prompt> stat file2
... Inode: 67158084 Links: 2 ... /* Link count is 2 */
prompt> rm file2
                              /* remove file2 */
prompt> stat file3
... Inode: 67158084 Links: 1 ... /* Link count is 1 */
prompt> rm file3
```

## Symbolic Links (Soft Link)

- Symbolic link is more useful than Hard link.
  - Hard Link cannot be created for a directory.
  - Hard Link cannot create to a file to other partition.
    - Because inode numbers are only unique within a file system.

□ Create a symbolic link: ln -s

```
prompt> echo hello > file
prompt> ln -s file file2 /* option -s : create a symbolic link, */
prompt> cat file2
hello
```

## Symbolic Links (Cont.)

- What is different between *Symbolic link* and *Hard Link*?
  - Symbolic links are **a third type** the file system knows about.

```
prompt> stat file
   ... regular file ...
prompt> stat file2
   ... symbolic link ...  // Actually a file itself of a different type
```

• The size of symbolic link (file2) is 4 bytes.

• A symbolic link holds the <u>pathname</u> of the linked-to file as the data of the link file.

## Symbolic Links (Cont.)

■ If we link to a longer pathname, our link file would be bigger.

```
prompt> echo hello > alongerfilename
prompt> ln -s alongerfilename file3
prompt> ls -al alongerfilename file3
-rw-r---- 1 remzi remzi 6 May 3 19:17 alongerfilename
lrwxrwxrwx 1 remzi remzi 15 May 3 19:17 file3 -> alongerfilename
```

# Symbolic Links (Cont.)

#### Dangling reference

When remove an original file, symbolic link points nothing.

## **Summary**

- System calls related to file & directory
  - open(), read(), write(), lseek()
  - dup(), pipe(), fsync(), rename(), stat()
  - mkdir(), rmdir(), opendir(), readdir()
  - unlink(), hard/symbolic link
- Next: File System Implementation
  - Chapter 40