Operating System Principles

操作系统原理

File System

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Long-Term Information Storage

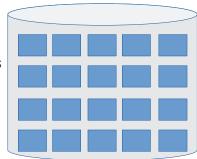
- Essential requirements
 - It must be possible to store a very large amount of information
 - The information must survive the termination of the process using it
 - Multiple processes must be able to access the information concurrently
- Solution
 - ???

Long-Term Information Storage

- Solution
 - Store information on disk and other external media in units called files.
 - Processes can then read them and write new one if need be
 - Persistent
 - File, Directory, File System

Long-Term Information Storage

- Storage with Block Device
 - Magnetic Disks
 - Magnetic Tape
 - Optical disc
 - Flash memory
- Block-Level Operations
 - Read i-th Block
 - Write i-th Block



Long-Term Information Storage

- Retrieve Information Quickly
 - 1. How do you find information?
 - 2. How do you keep one user from reading another user's data?
 - 3. How do you know which blocks are free?



Objectives

- File Concept
- Directory Concept
- File Share & Protection
- File System Implementation
- File System Reliability
- File System Performance
- File System Cases



File Concept

- File
 - A logical units of information
 - A byte stream
- File Size
- File Name
- File Logical Structure (File Content)
- File Type
- File Access
- File Attributes
- File Operations
- File Physical Structure



File Size

- Zero Byte
 - ZCIO Dytt
- 1 Bit
- 1 Byte
- 2 Bytes
- 1 KB (千)
- ■1 MB(兆)
- •

- - GB (吉)
 - TB (太)
 - PB (拍)
 - EB (艾)
 - ZB (泽)
 - 1.2ZB
 - YB (尧)
 - l ...

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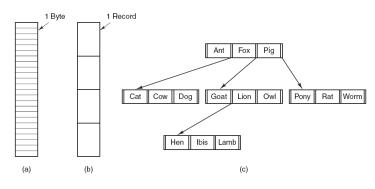
File Naming

- File extension
- File Name Charset

Extension	Meaning
file.bak	Backup file
file.c	C source program
file.gif	Compuserve Graphical Interchange Format image
file.hlp	Help file
file.html	World Wide Web HyperText Markup Language document
file.jpg	Still picture encoded with the JPEG standard
file.mp3	Music encoded in MPEG layer 3 audio format
file.mpg	Movie encoded with the MPEG standard
file.o	Object file (compiler output, not yet linked)
file.pdf	Portable Document Format file
file.ps	PostScript file
file.tex	Input for the TEX formatting program
file.txt	General text file
file.zip	Compressed archive

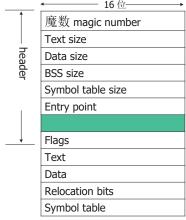


File Logical Structure



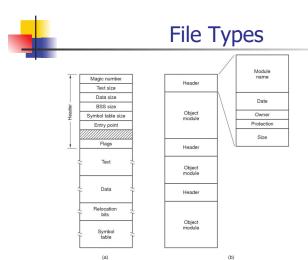
(a) Byte sequence. (b) Record sequence. (c) Tree.

File Logical Structure: Executable Program File



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第 11页



(a) An executable file. (b) An archive.



File Types

- T1
 - Regular files
 - Directory files
- T2
 - Character special files
 - Block special files
- T3
 - ASCII files
 - Binary files
- ...



File Access

- Sequential access
- Random access
- Key-value access



File Attributes

Attribute	Meaning
Protection	Who can access the file and in what way
Password	Password needed to access the file
Creator	ID of the person who created the file
Owner	Current owner
Read-only flag	0 for read/write; 1 for read only
Hidden flag	0 for normal; 1 for do not display in listings
System flag	0 for normal files; 1 for system file
Archive flag	0 for has been backed up; 1 for needs to be backed up
ASCII/binary flag	0 for ASCII file; 1 for binary file
Random access flag	0 for sequential access only; 1 for random access
Temporary flag	0 for normal; 1 for delete file on process exit
Lock flags	0 for unlocked; nonzero for locked
Record length	Number of bytes in a record
Key position	Offset of the key within each record
Key length	Number of bytes in the key field
Creation time	Date and time the file was created
Time of last access	Date and time the file was last accessed
Time of last change	Date and time the file was last changed
Current size	Number of bytes in the file
Maximum size	Number of bytes the file may grow to



File Operations

The most common system calls relating to files:

- Create
- Delete
- Open
- Close
- Read
- Write

- Append
- Seek
- Get Attributes
- Set Attributes
- Rename

CP Program: Using File System Calls (1/2)

```
/* File copy program. Error checking and reporting is minimal. */
#include <sys/types.h>
                                            /* include necessary header files */
#include <fcntl.h>
#include <stdlib.h>
#include <unistd.h>
int main(int argc, char *argv[]);
                                            /* ANSI prototype */
#define BUF SIZE 4096
                                            /* use a buffer size of 4096 bytes */
#define OUTPUT_MODE 0700
                                            /* protection bits for output file */
int main(int argc, char *argv[])
    int in_fd, out_fd, rd_count, wt_count;
    char buffer[BUF SIZE];
    if (argc != 3) exit(1);
                                            /* syntax error if argc is not 3 */
```

CP Program: Using File System Calls (2/2)

```
/* Open the input file and create the output file */
in_fd = open(argv[1], O_RDONLY);
                                        /* open the source file */
if (in_fd < 0) exit(2);
                                        /* if it cannot be opened, exit */
out_fd = creat(argv[2], OUTPUT_MODE); /* create the destination file */
if (out_fd < 0) exit(3);
                                        /* if it cannot be created, exit */
/* Copy loop */
while (TRUE) {
     rd_count = read(in_fd, buffer, BUF_SIZE); /* read a block of data */
if (rd_count <= 0) break;
                                        /* if end of file or error, exit loop */
     wt_count = write(out_fd, buffer, rd_count); /* write data */
     if (wt_count <= 0) exit(4);
                                        /* wt_count <= 0 is an error */
/* Close the files */
close(in_fd);
close(out_fd);
if (rd_count == 0)
                                        /* no error on last read */
     exit(0);
     exit(5);
                                        /* error on last read */
```



File Physical Structure

- How to store in block storage
 - ???



Directory Concept

- Directory
 - Folder, Which contains files
- Single-level Directory System
- Hierarchical Directory System
- Path Names
- Directory Operations
- Implement of Directory

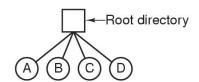


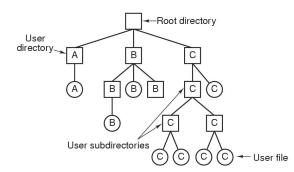
Single-level Directory System



Hierarchical Directory System

Root directory

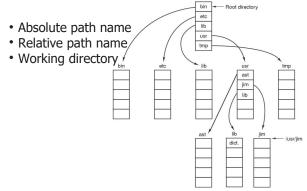






Path Names

A UNIX directory tree





Directory Operations

System calls for managing directories:

- Create
- Readdir
- Delete
- Rename
- Opendir
- Link
- Closedir
- Unlink
- Link
 - Hard link
 - Symbolic link



Implement of Directory

- How to store in block storage
 - ???



File System Concept

- File System
 - It contains files and directories
- File System Types
- Implement of File System

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File System Types

- Traditional: ext2
- Newest: ext3, ReiserFS, IBM JFS, xfs
- Other UNIX: minix, ext, xiafs
- FAT-12, FAT-16, FAT-32, VFAT, NTFS (read-only)
- HPFS (OS/2) read-only, HFS (Macintosh) read-only
- AFFS (Amiga), System V, Coherent, Xenix
- CD-ROM: ISO 9660
- UMSDOS (UNIX-like FS on MS-DOS)
- NFS (Network File System)
- SMBFS (Windows share), NCPFS (Novell Netware share)
- /proc (for kernel and process information)
- SHMFS (Shared Memory Filesystem)



Implement of File System

- File System Layout
- Implement of Files
- Implement of Directories
- Free Block Space Management

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File System Layout

- Disk
- MBR (Master Boot Record)
 - Boot block

Boot block Superblock Free space mgmt

- Partitions
 - Partition table

 Disk partition

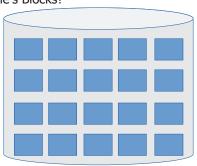
 MBR

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Implement of Files

Where are File's Blocks?

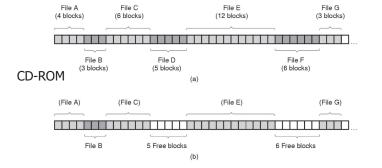


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Implement of Files

Contiguous Allocation



(a) Contiguous allocation of disk space for 7 files.

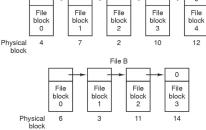
(b) The state of the disk after files D and F have been removed.

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Implement of Files

- Linked List Allocation
 - The first word of each block is used as a pointer to the next one
 - The rest of the block is for data



File A

- · Internal fragmentation
- · Random access is extremely slow

Storing a file as a linked list of disk blocks.

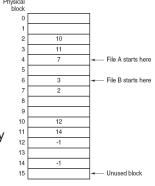
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Implement of Files

- Linked List Allocation Using a Table in Memory
 - FAT: File Allocation Table Physical block
 - FAT12
 - FAT16
 - FAT32
- · Random access is much easier
- ?Disadvantage
 - Too many table entries in memory



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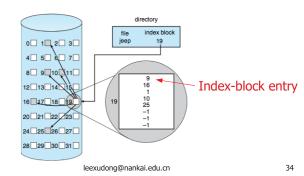
33



Implement of Files

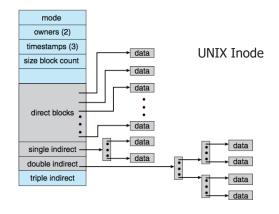
I-nodes

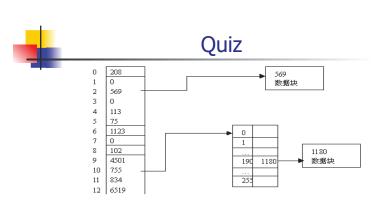
 Index-node, which lists the attributes and disk addresses of the files blocks



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I-Nodes





How to read the 204803th data of file A, which physical block index has 4 bytes?

Question 1. when the size of physical block is 1024?

Question 2. when the size of physical block is 2048?

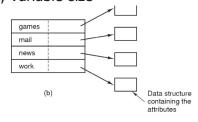


Implement of Directories

- Directory entry
 - File Control Block, FCB
 - Fixed-size, Variable-size

games	attributes
mail	attributes
news	attributes
work	attributes

(a)



(a) A simple directory containing fixed-size entries with the disk addresses and attributes in the directory

(b) A directory in which each entry just refers to an i-node. leexudong@nankai.edu.cn

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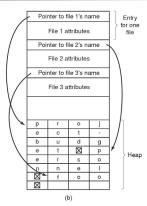
• FCB

• Body



Implement of Directories



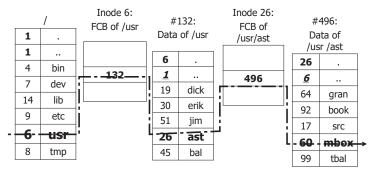


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Two ways of handling long file names in a directory (a) In-line. (b) In a heap.

Implement of Directories

- Search file by pathname
 - /usr/ast/mbox



Implement of Directories

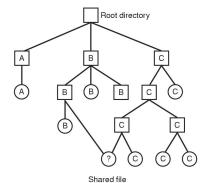
- Directory entry
 - Linear List
 - Hash Table

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Shared Files

- Symbolic linking
- Hard linking



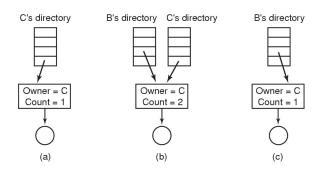
File system containing a shared file.

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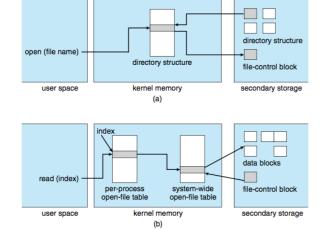
41

Shared Files



- (a) Situation prior to linking. (b) After the link is created.
 - (c) After the original owner removes the file.

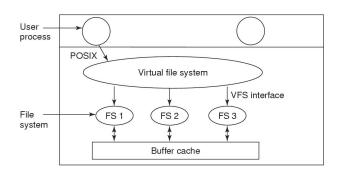
In-memory file-system structures



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Virtual File Systems

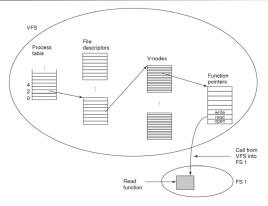
VFS Interface



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Virtual File Systems



A simplified view of the data structures and code used by the VFS and concrete file system to do a read.



Disk Space Management

- Block Size
- Keeping Track of Free Blocks
- Disk Quotas
- File System Backups
- File System Consistency
- File System Performance
- Defragmenting Disks

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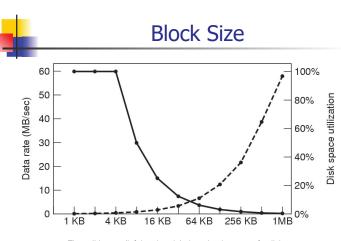
Block Size

Small ? Large?

Length	VU 1984	VU 2005	Web
1	1.79	1.38	6.67
2	1.88	1.53	7.67
4	2.01	1.65	8.33
8	2.31	1.80	11.30
16	3.32	2.15	11.46
32	5.13	3.15	12.33
64	8.71	4.98	26.10
128	14.73	8.03	28.49
256	23.09	13.29	32.10
512	34.44	20.62	39.94
1 KB	48.05	30.91	47.82
2 KB	60.87	46.09	59.44
4 KB	75.31	59.13	70.64
8 KB	84.97	69.96	79.69

Length	VU 1984	VU 2005	Web
16 KB	92.53	78.92	86.79
32 KB	97.21	85.87	91.65
64 KB	99.18	90.84	94.80
128 KB	99.84	93.73	96.93
256 KB	99.96	96.12	98.48
512 KB	100.00	97.73	98.99
1 MB	100.00	98.87	99.62
2 MB	100.00	99.44	99.80
4 MB	100.00	99.71	99.87
8 MB	100.00	99.86	99.94
16 MB	100.00	99.94	99.97
32 MB	100.00	99.97	99.99
64 MB	100.00	99.99	99.99
128 MB	100.00	99.99	100.00

Percentage of files smaller than a given size (in bytes). leexudong@nankai.edu.cn

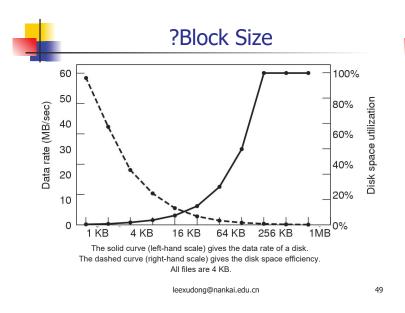


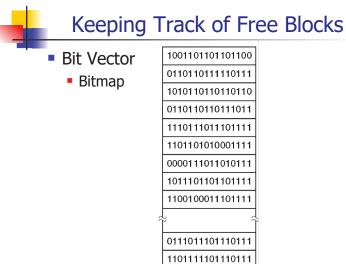
The solid curve (left-hand scale) gives the data rate of a disk.

The dashed curve (right-hand scale) gives the disk space efficiency.

All files are 4 KB.

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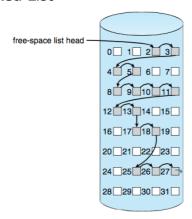






Keeping Track of Free Blocks

Linked List

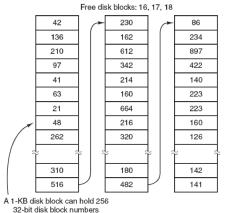




Keeping Track of Free Blocks

A bitmap

■ Grouping Linked List: 成组连接法

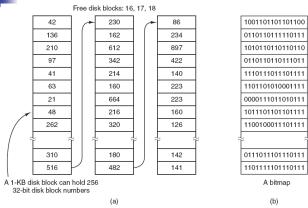


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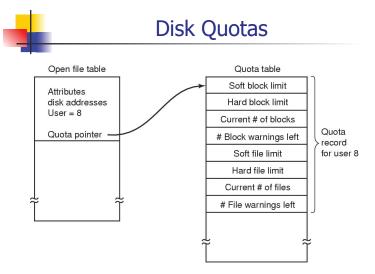
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Keeping Track of Free Blocks



(a) Storing the free list on a linked list. (b) A bitmap. ${}^{\text{lexudong@nankai.edu.cn}}$



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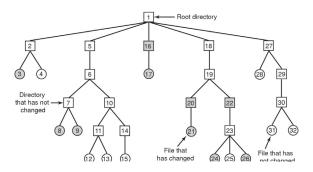
File System Backups

- Backup v.s. Recover
- Physical dump v.s. logical dump



File System Backups

• Full dump v.s. incremental dump



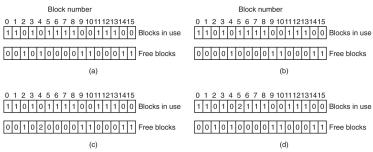
A file system to be dumped. Squares are directories, circles are files. Shaded items have been modified since last dump. Each directory and file is labeled by its i-node number.

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File System Consistency

- Blocks in use
- Free blocks



(a) Consistent. (b) Missing block. (c) Duplicate block in free list. (d) Duplicate data block



File System Performance

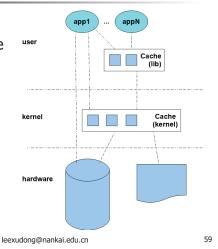
- Caching
 - Block cache, buffer cache
- I-nodes layout
- Block Read Ahead
- Reducing Disk Arm Motion

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File System Performance: Cache

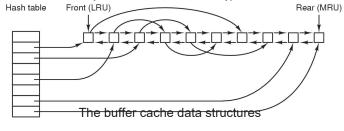
- Block cache
 - Buffer cache
 - Zero Copy





File System Performance: Cache

- Block cache replacement algorithms
 - LRU (最近最少使用算法),
 - FIFO,
 - MRU(最近最常使用算法), ...





File System Performance: Cache

- Crash and File System Consistency?
- Write-back caches 回写缓存
 - Just cache
- Post Write
 - Cache and memory if modified
- Write-through caches 通写高速缓存
 - Both cache and memory
- Sync, flushXXX

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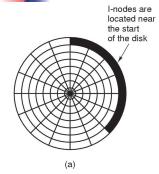
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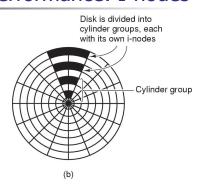
File System Performance

- Block Read Ahead
 - When executing a read from the disk, the disk arm moves the read/write head to (or near) the correct track, and after some settling time the read head begins to pick up bits.
 - Usually, the first sectors to be read are not the ones that have been requested by the operating system.
 - The disk's embedded computer typically saves these unrequested sectors in the disk buffer, in case the operating system requests them later.

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File System Performance: I-nodes

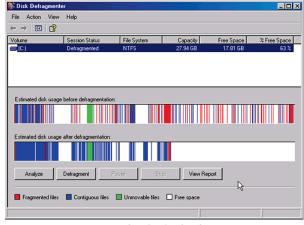




- I-nodes placed at the start of the disk
- Disk divided into cylinder groups
 - each with its own blocks and i-nodes



Defragmenting Disks



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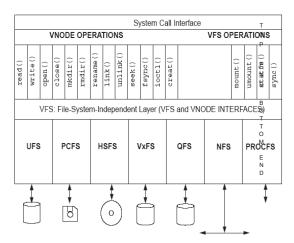
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File System Framework Facilities

- Loadable file system modules are dynamically loaded at the time each file system type is first mounted.
- The vnode/vfs framework implementes file functions and file system management functions.
- File system caching implements caching interface with the HAT layer of the virtual memory system to map, unmap, and manage the memory used for caching.
- Path-name management converts paths into vnode pointers.
- Directory name caching provides a mechanism to cache pathname-to-vnode mappings.

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File System Layers



File Systems Supported by Linux

9p conf	figfs freevxfs	jffs2		nls	smbfs	
adfs	cramfs fsca	iche	jfs		notify	squashfs
affs	debugfs fus	e	Kconfig	ntfs	sysfs	
afs dev	pts gfs2	Kconfig	.binfmt	ocfs2	sysv	
autofs	dlm hfs	lockd		omfs	ubifs	
autofs4	ecryptfs hfsp	olus	logfs		openpron	nfs udf
befs	efs hostf	S	Makefil	e	partitions	ufs
bfs exot	fs hpfs	minix		proc	xfs	
btrfs	exportfs hpp	fs	ncpfs		qnx4	
cachefiles	ext2 huge	etlbfs nf	S		quota	
ceph	ext3 isofs	nfs_cor	nmon	ramfs		
cifs	ext4 jbd	nfsd		reiserfs		
coda	fat jbd2	nilfs2		romfs		



Topics of File Systems

- Log-structured File System
- Journaling File System
- Network File System: NFS

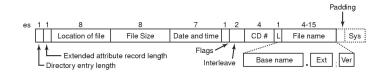
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File Systems Cases

- CD-ROM: ISO9660
- CP/M File System
- FAT 16
- NTFS
- Ext4
- ...

CD-ROM File Systems



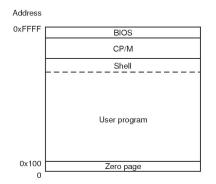
The ISO 9660 directory entry

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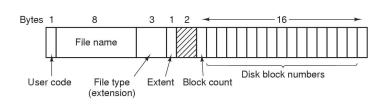
The CP/M File System (1)



Memory layout of CP/M

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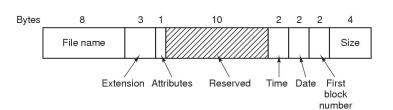
The CP/M File System (2)



The CP/M directory entry format

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The MS-DOS File System (1)



The MS-DOS directory entry

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The MS-DOS File System (2)

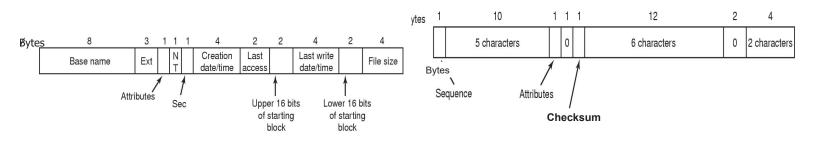
Block size	FAT-12	FAT-16	FAT-32
0.5 KB	2 MB		
1 KB	4 MB		
2 KB	8 MB	128 MB	
4 KB	16 MB	256 MB	1 TB
8 KB		512 MB	2 TB
16 KB		1024 MB	2 TB
32 KB		2048 MB	2 TB

- Maximum partition for different block sizes
- The empty boxes represent forbidden combinations

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The Windows 98 File System 1/3

The Windows 98 File System 2/3



The extended MOS-DOS directory entry used in Windows 98

An entry for (part of) a long file name in Windows 98

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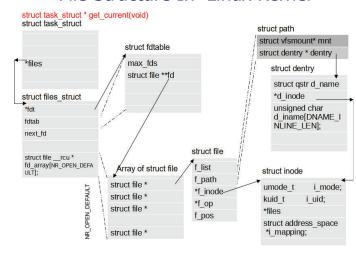
The Windows 98 File System 3/3

	68	d	0	g			Α	0	C K							0		
	3	0	٧	е			Α	0	СК	t	h	е		I	а	0	z	у
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	1	Ţ	h	е		q	Α	0	C K	u	j	С	k		b	0	r	0
_	Т	ΗЕ	QU	~	1		Α	N T	S	Crea tim		Last acc	Upp	La wri		Low	Si	ze
Bytes	П					\neg										\Box		

An example of how a long name is stored in Windows 98

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File Structure In Linux Kernel





}

File Structure In Linux Kernel

/include/linux/sched.h struct task_struct{

```
/* Filesystem information: */
struct fs_struct *fs;

/* Open file information: */
struct files_struct *files;
```

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File Structure In Linux Kernel

```
| /include/linux/fdtable.h
struct files_struct {
    atomic_t count;
    bool resize_in_progress;
    wait_queue_head_t resize_wait;
    struct fdtable __rcu *fdt;
    struct fdtable fdtab;
    spinlock_t file_lock ___cacheline_aligned_in_smp;
    unsigned int next_fd;
    unsigned long close_on_exec_init[1];
    unsigned long open_fds_init[1];
    unsigned long full_fds_bits_init[1];
    struct file __rcu * fd_array[NR_OPEN_DEFAULT];
};
```



File Structure In Linux Kernel

```
| /include/linux/fdtable.h
struct fdtable {
    unsigned int max_fds;
    struct file __rcu **fd;    /* current fd array */
    unsigned long *close_on_exec;
    unsigned long *open_fds;
    unsigned long *full_fds_bits;
    struct rcu_head rcu;
};
```

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File Structure In Linux Kernel

```
struct file {
  union {
    struct llist_node fu_llist;
                                                           u64
    struct rcu_head fu_rcuhead;
  } f u;
                                                           void
  struct path f_path;
                                                        #endif
  struct inode
                 *f inode; /* cached value */
  const struct file_operations *f_op;
   * Protects f_ep, f_flags.
   * Must not be taken from IRQ context.
  spinlock_t f_lock;
  enum rw_hint
                   f write hint:
  atomic_long_t
                   f_count;
  unsigned int
             f_mode;
  fmode t
  struct mutex f pos lock:
           f_pos;
  struct fown_struct f_owner;
  const struct cred *f_cred;
  struct file_ra_state f_ra;
```

```
/include/linux/fs.h
struct file {
              f_version;
#ifdef CONFIG_SECURITY
/* needed for tty driver, and maybe others */
                 *private_data;
#ifdef CONFIG_EPOLL
/* Used by fs/eventpoll.c to link all the hooks to this file */
  struct hlist_head *f_ep;
#endif /* #ifdef CONFIG_EPOLL */
   struct address_space *f_mapping;
  errseq\_t \qquad f\_wb\_err;
                f_sb_err; /* for syncfs */
  errsea t
} __randomize_layout
__attribute__((aligned(4))); /* lest
something weird decides that 2 is OK */
```

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File Structure In Linux Kernel

/include/linux/path.h

```
struct path {
    struct vfsmount *mnt;
    struct dentry *dentry;
} __randomize_layout;
```



File Structure In Linux Kernel

```
/include/linux/dcache.h
 struct dentry {
   /* RCU lookup touched fields */
   unsigned int d_flags; /* protected by d_lock */
   seqcount_spinlock_t d_seq; /* per dentry seqlock */
   struct hlist_bl_node d_hash; /* lookup hash list */
   struct dentry *d parent; /* parent directory */
   struct qstr d_name;
struct inode *d_inode;
NULL is negative */
unsigned char d_iname[DNAME_INLINE_LEN]; /* small names */
   /* Ref lookup also touches following */
   struct lockref d_lockref; /* per-dentry lock and refcount */
   const struct dentry_operations *d_op;
   struct super_block *d_sb; /* The root of the dentry tree
  unsigned long d_time; /* used by d_revalidate */
                      /* fs-specific data */
   void *d_fsdata;
```

```
Include/linux/dcache.h
struct dentry {
    ...
    union {
        struct list_head d_Iru; /* LRU list */
        wait_queue_head_t *d_wait; /* in-lookup ones
    only */
    };
    struct list_head d_child; /* child of parent list */
    struct list_head d_subdirs; /* our children */
    /*
        * d_alias and d_rcu can share memory
    */
        union {
            struct hlist_node d_alias; /* inode alias list */
            struct rou_head d_rcu;
        } d_u;
    }
    __randomize_layout;
```

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File Structure In Linux Kernel

```
| /include/linux/dcache.h
| struct qstr {
| union {
| struct {
| HASH_LEN_DECLARE;
| };
| u64 hash_len;
| };
| const unsigned char *name;
| };
```

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File Structure In Linux Kernel

```
*/include/linux/fs.h
struct inode {
    umode_t i_mode;
    umode_t i_mode;
    unsigned short i_opflags;
    kuid_t i_uld;
    kgid_t i_glid;
    unsigned int i_flags;

#ifdef CONFIG_FS_POSIX_ACL
    struct posix_acl *i_ad;
    struct posix_acl *i_default_acl;
#endif

const struct inode_operations *i_op;
    struct super_block *i_sb;
    struct address_space *i_mapping;

#ifdef CONFIG_SECURITY
    void *i_security;
#endif

/* Stat data, not accessed from path
    walking */
    unsigned long i_ino;
```

```
* /include/linux/fs.h struct node {
...
/*
* Filesystems may only read i_nlink directly. They shall use the
* Filesystems functions for modification:
* (sest_clear_inc_idrop__nlink
* inode__(inc_idec__)_link_count
*/
union {
    const unsigned int i_nlink;
    unsigned int i_nlink;
    /;
union {
        const unsigned int i_nlink;
        /;
unsigned int i_nlink;
    /;

        dev_t i_ree;
        loft_t i_size;
        struct timespecef i_mtime;
        struct timespecef i_mtime;
        struct timespecef i_linte;
        spinote_t i_lock; /*i_blocks, i_bytes, maybe
        liste i_lock;
        use i_wtie_hint;
        block;
        use i_wtie_hint;
        block;
#ifdef__MEED_I_SIZE_ORDERED
        seqoount;
#endff
```

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File Structure In Linux Kernel

File Structure In Linux Kernel

```
*/include/linux/fs.h struct inode {
...
_u32 i_generation;

#ifdef CONFIG_FSNOTIFY
_u32 i_fsnotify_mask; /* all events this inode cares about */
struct fsnotify_mark_connector __rcu
*i_fsnotify_marks;
#endif

#ifdef CONFIG_FS_ENCRYPTION
struct fscrypt_info *i_crypt_info;
#endif

#ifdef CONFIG_FS_VERITY
struct fsverity_info *i_verity_info;
#endif

void *i_private; /* fs or device private pointer */
}_randomize_layout;
```

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Summary

- File Concept
- Directory Concept
- File Share & Protection
- File System Implementation
- File System Reliability
- File System Performance
- File System Cases