



1. From Neurons to Networks



biological neurons (very brief)

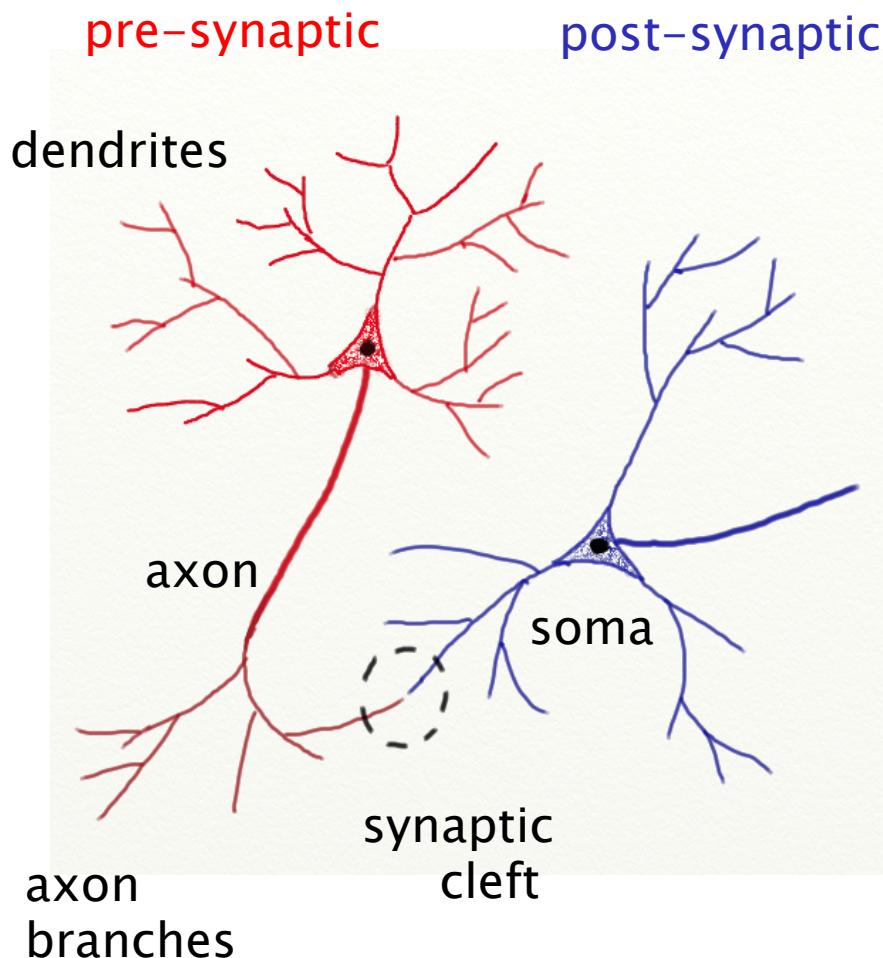
- single neurons
- synapses and networks
- synaptic plasticity and learning

simplified description

- modelling of biological neurons/networks
- inspiration for artificial neural networks

artificial neural networks

- unrealistic simplifications
- architectures and types of networks:
 - recurrent attractor neural networks (associative memory)
Example: The Hopfield model
 - feed-forward neural networks (classification/ regression)



neurons:

highly specialized cells

- cell body soma
- incoming dendrites
- branched axon

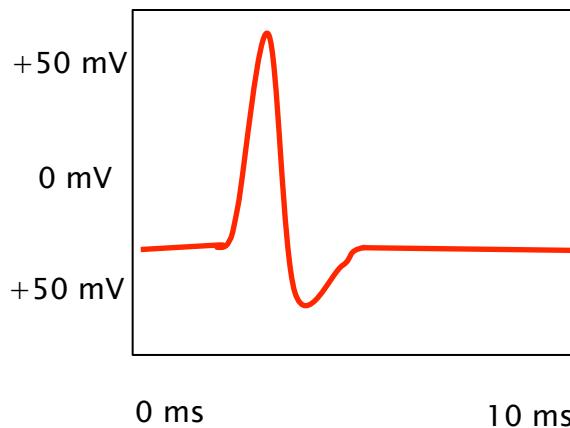
many neurons !

$\gtrsim 10^{12}$ in the brain

highly inter-connected !

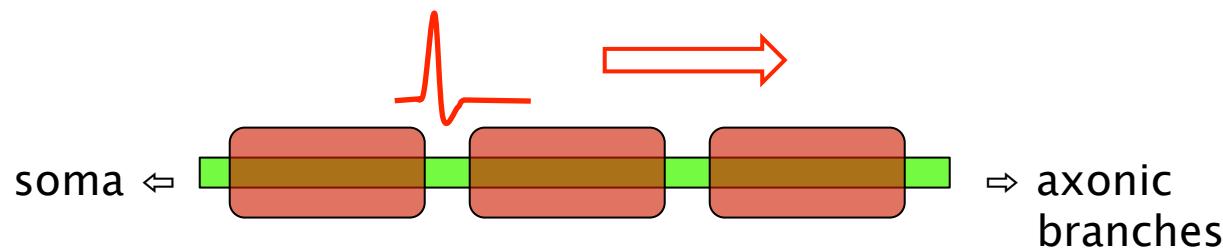
$\gtrsim 1000$ neighbors

action potentials / spikes:



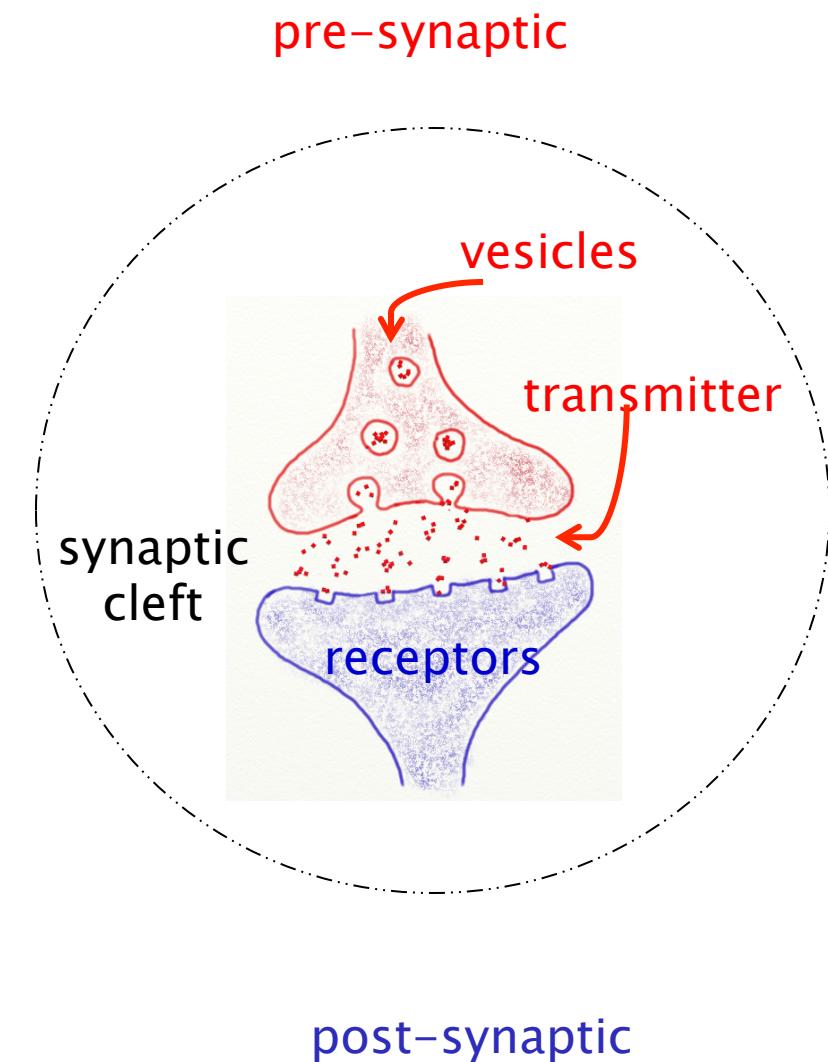
- cells generate localized electric pulses
membrane is charged / discharged
by means of ion transport
- class of models (electro-chemistry):
Hodgkin–Huxley neurons + extensions

- signals travel along the axon
(serves as *cable*)



synapse:

- arriving pre-synaptic spike triggers release of **neuro-transmitters**
- different kinds of synapses play specific roles:
- pre-synaptic pulse at **excitatory /inhibitory synapse** triggers / hinders post-synaptic spike generation



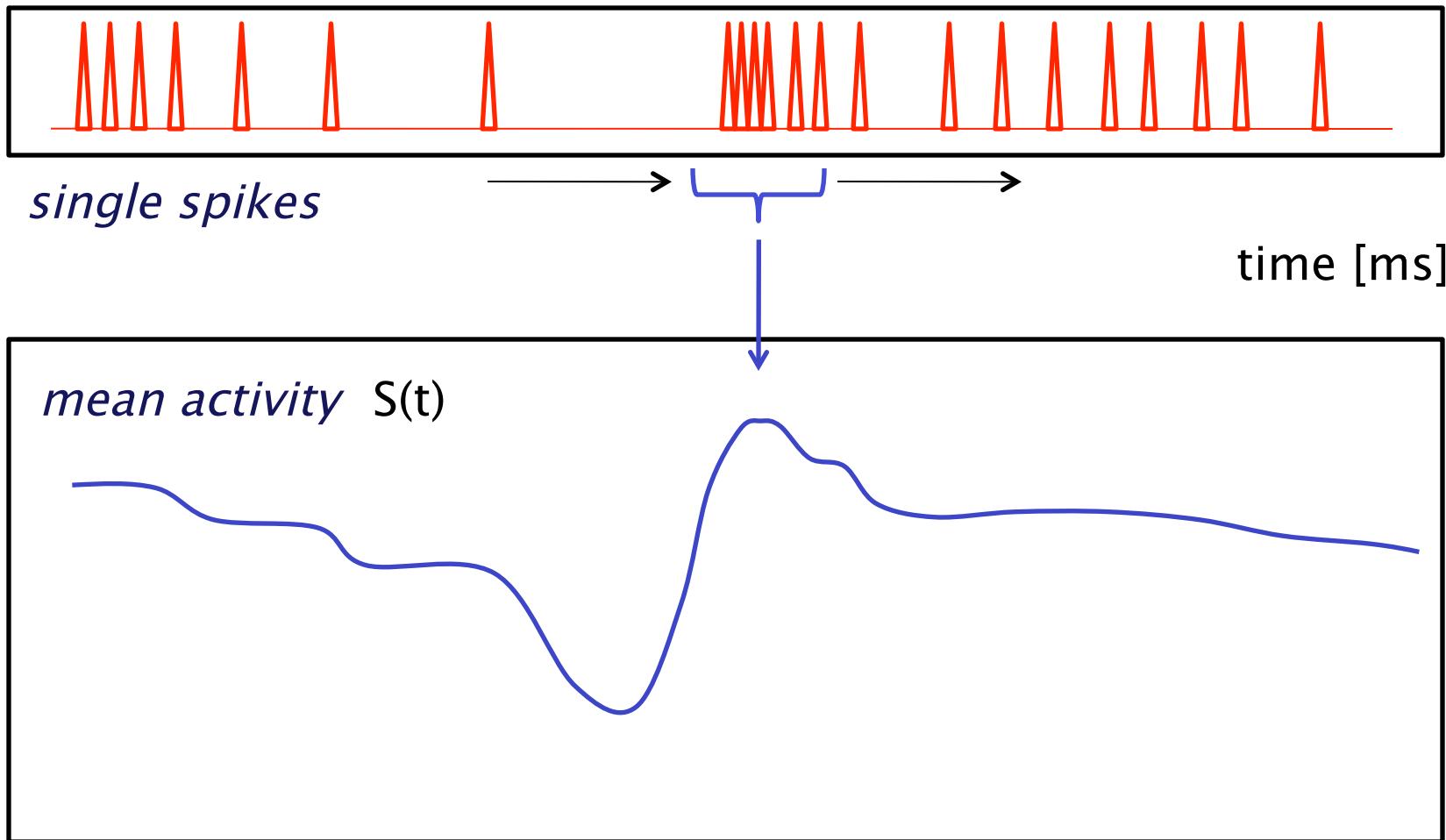


- incoming pulses
 - increase membrane potential (excitatory)
 - decrease membrane potential (inhibitory)
- all or nothing response

potential exceeds threshold \Rightarrow **postsynaptic neuron fires**

potential is sub-threshold \Rightarrow **postsynaptic neuron rests**
- important class of models:
Integrate-and-Fire neurons and networks
 - no details of spike generation and signal propagation
 - neuron as simple threshold element,
 - excitatory and inhibitory connections with other units
- (see additional material)

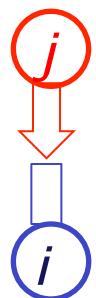
simplified description of neural activity: firing rates



(mean) local potential at neuron i (with activity S_i)

$$\sum_j w_{ij} S_j \quad \text{weighted sum of incoming activities}$$

synaptic weights $w_{ij} = \begin{cases} > 0 & \text{excitatory synapse} \\ = 0 & \text{no incoming synapse} \\ < 0 & \text{inhibitory synapse} \end{cases}$



Note: $w_{ii} = 0$, $w_{ij} \neq w_{ji}$ (in general)

connections $i \rightarrow j$ and $j \rightarrow i$ are unrelated.

non-linear response: $S_i = h \left[\sum_j w_{ij} S_j \right]$

- minimal activity
- maximal activity
- monotonic increase

$$\begin{aligned} h(x \rightarrow -\infty) &\equiv 0 \\ h(x \rightarrow +\infty) &\equiv 1 \\ h'(x) &> 0 \end{aligned}$$

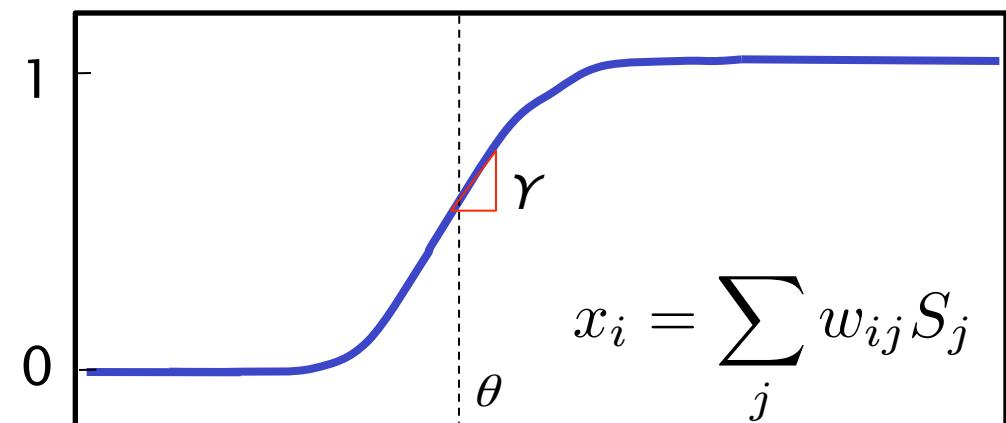
important class of fcts.:
sigmoidal activation

just one example: $h(x_i) = \frac{1}{2} \left(1 + \tanh [\gamma(x_i - \theta)] \right)$

gain parameter γ
local threshold θ

Remark:

do not confuse θ with
threshold for single
spike generation!



non-linear response: $S_i = g \left[\sum_j w_{ij} S_j \right]$

- minimal activity
- maximal activity
- monotonic increase

$$g(x \rightarrow -\infty) \equiv -1$$

$$g(x \rightarrow +\infty) \equiv 1$$

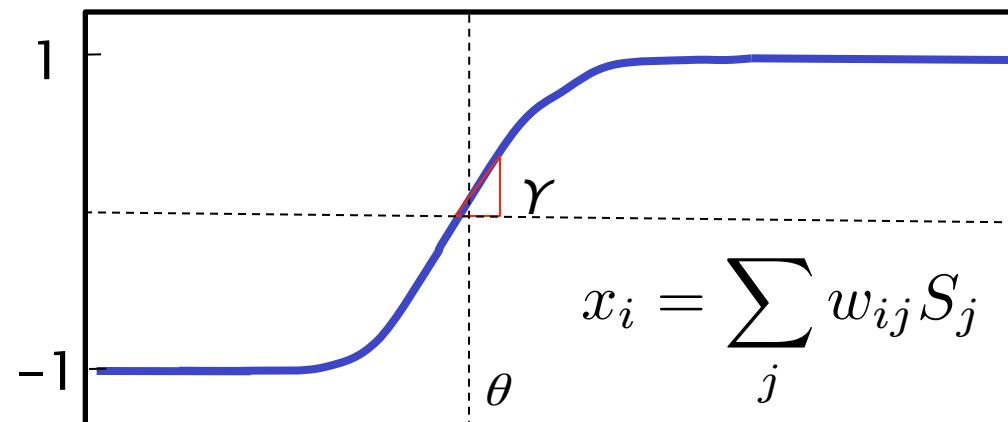
$$g'(x) > 0$$

sigmoidal activation

just one example:

$$g(x_i) = \tanh [\gamma(x_i - \theta)]$$

gain parameter γ
local threshold θ





$$-1 \leq S_i = g \left[\sum_j w_{ij} S_j \right] \leq +1$$

 $S_j > 0, w_{ij} > 0$ **excitation** $S_j > 0, w_{ij} < 0$ **inhibition** $S_j < 0, w_{ij} < 0$ $S_j < 0, w_{ij} > 0$

(plausible)

(unrealistic)

symmetric treatment of low / high activity

- not suitable for realistic modelling of biological neurons,
absence of spikes cannot excite activity etc.
(further consequences discussed below)
- convenient in bio-inspired artificial neural networks
(more drastic simplifications to come)

an extreme case: infinite gain $\gamma \rightarrow \infty$

$$g(x_i) = \tanh [\gamma(x_i - \theta)] \rightarrow \text{sign}[x - \theta] = \begin{cases} +1 & \text{for } x \geq \theta \\ -1 & \text{for } x < \theta \end{cases}$$

McCulloch Pitts [1943]:

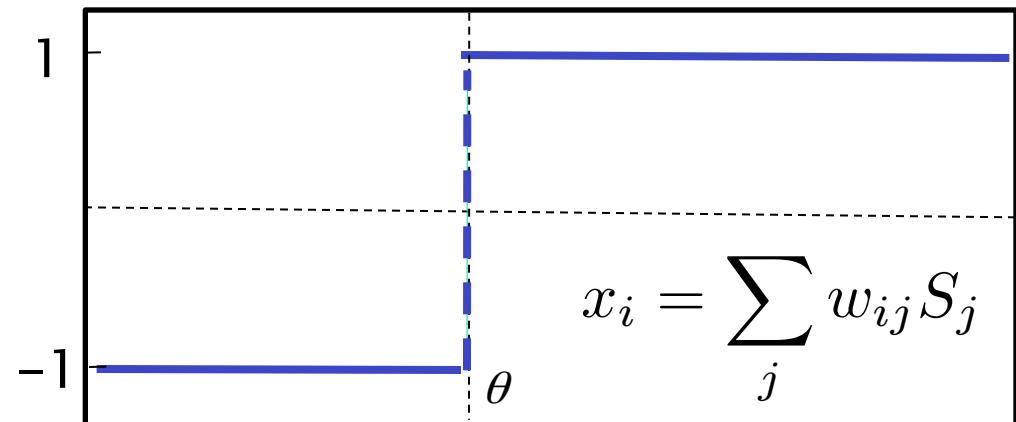
model neuron is either quiescent or maximally active

do not consider graded response

local threshold θ

Remark:

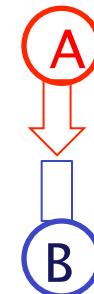
this is not the
all-or-nothing response
in single spike
generation!



D. Hebb [1949]

consider

- presynaptic neuron A
- postsynaptic neuron B
- excitatory synapse w_{BA}



Hypothesis: Hebbian Learning

If A and B (frequently) fire at the same time
the excitatory synaptic strength w_{BA} increases

- memory-effect will favor joint activity in the future
- high activity of A will trigger high activity at B
- correlations of activity stored in the network



$$-1 \leq S_A, S_B \leq +1$$

change of synaptic strength $\Delta w_{BA} \propto S_A S_B$

beyond Hebb's hypothesis: **symmetric treatment**

- of high/low activity
- of pre- postsynaptic activity

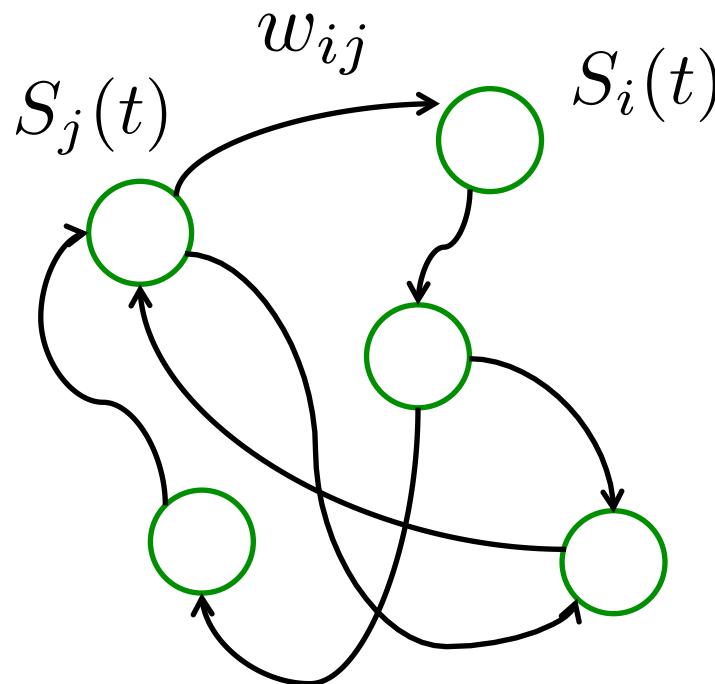
$$S_A \quad S_B$$

high	high	strengthens	excitatory
low	low	weakens	inhibitory
high	low	weakens	excitatory
low	high	strengthens	inhibitory

synapse w_{BA}

in the following:

- assembled from simple *firing rate neurons*
- connected by *weights*, real valued synaptic strengths
- various architectures and types of networks
 - e.g.: attractor neural networks, feed-forward networks



here:

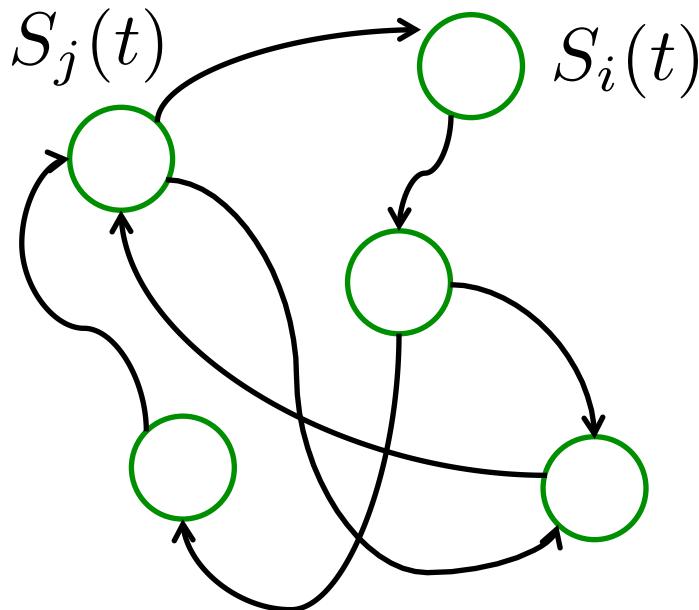
number of neurons $N=5$

partial connectivity:

7 directed synapses

training phase:
dynamics of weights (synapses), given the network activity

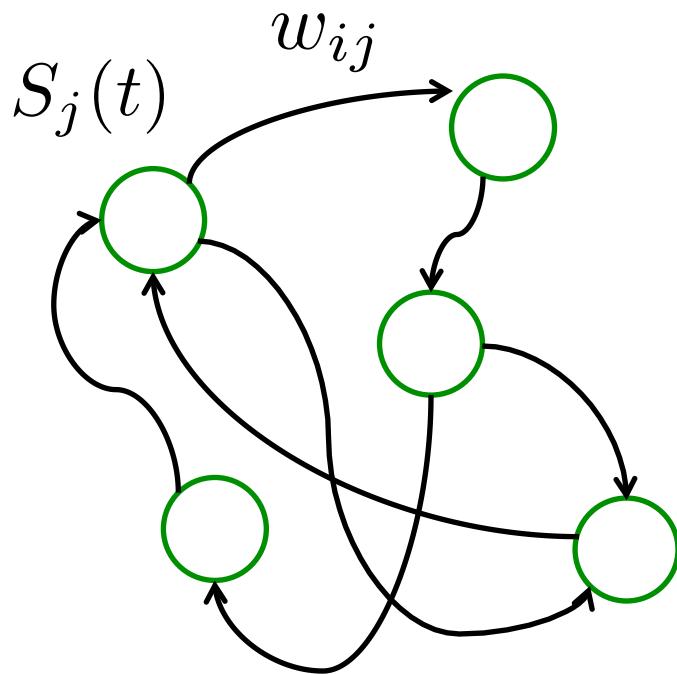
$$w_{ij}(t + \Delta t) = w_{ij} + \eta S_i(t)S_j(t) \quad (\text{Hebbian Learning})$$



for example:
sequence of activity patterns,
memorization of frequent states,
external stimuli etc.

$$\mathbf{S}(t) \in \{\boldsymbol{\xi}^\mu\}_{\mu=1}^P$$

working phase:
dynamics of neurons, given the weights



$$S_i(t + \Delta t) = g \left[\sum_j w_{ij} S_j(t) \right]$$

variants:

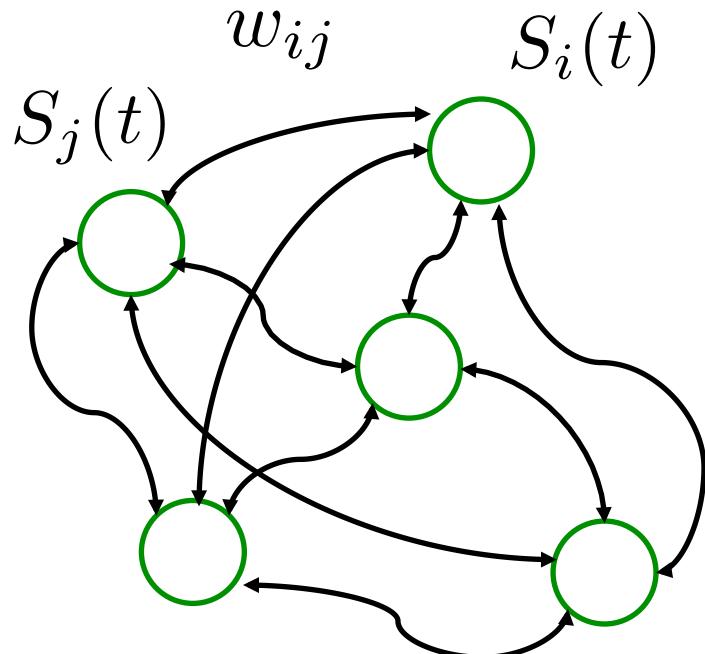
- sequential update $i=1,2,3,\dots$
- random sequential update
- parallel update

retrieval of patterns: from incomplete / noisy state $\mathbf{S}(0)$
approach original pattern $\mathbf{S}(t \rightarrow \infty) = \xi^\nu$

extreme example: Little–Hopfield model

- symmetric Hebbian interactions

$$w_{ij} = w_{ji} = \frac{1}{P} \sum_{\mu=1}^P \xi_i^\mu \xi_j^\mu$$



- McCulloch Pitts neurons

$$S_i(t + \Delta t) = \text{sign} \left[\sum_j w_{ij} S_j(t) \right]$$

- parallel (Little)
random sequential (Hopfield)
dynamics of neurons



consider a single *pattern* ξ^1 $w_{ij} \propto \xi_i^1 \xi_j^1$

show: $\mathbf{S}(t) = \xi^1$ is reproduced under the dynamics!

$$S_i(t + \Delta t) = \text{sign} \left(\sum_j w_{ij} \xi_j^1 \right) = \text{sign} \left(\xi_i^1 \underbrace{\sum_j \xi_j^1 \xi_j^1}_{>0} \right) = \xi_i^1$$

fixed point: unchanged under system dynamics

(?) **stable fixed point:** small deviations from patterns vanish

(?) **attractor:** retrieved from similar initial states

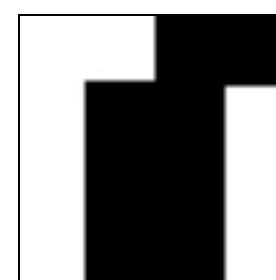
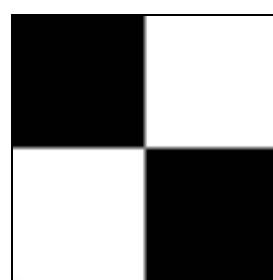
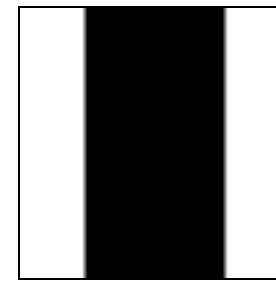
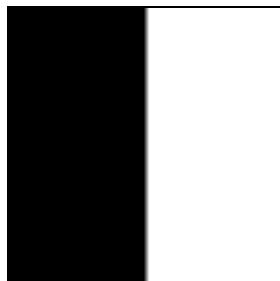
much more difficult to show: set of random (zero mean) patterns

$\{\xi^\mu\}_{\mu=1}^P$ with $P = \alpha N$ is retrieved, if α is not too big

N neurons (with $\sim N^2$ weights) memorize $\sim \mathcal{O}(N)$ activity patterns

- consider a Hopfield network of 2500 neurons
- visualized as array of 50*50 neurons
 - note: there is no spatial structure imposed, every neuron is connected with every other neuron!

training patterns:



+ (994) random patterns with activity +/- 1 with equal probability

saved as ‘pattern.mat’



- calculate synaptic matrices saved in jmats.mat
(load by `load jmats.mat`)

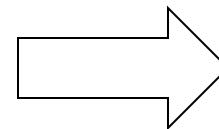
$$w_{ij} = w_{ji} = \frac{1}{P} \sum_{\mu=1}^P \xi_i^\mu \xi_j^\mu$$

$P=10$ (jmat10)
 $P=100$ (jmat100)
 $P=1000$ (jmat1000)

`hopf(jmat, inipat, q, stps)` e.g. `hopf(jmat10,1,0.4,100)`

- `jmat` synaptic matrix to be used
- `inipat` index of pattern used as initial state
- `q` fraction of flipped spins in initial state
- `stps` number of steps per neuron in the system

regime of low ‘memory load’ (here: $P=10$)



noisy initial state

- distributed memory
- robust system!

dynamics

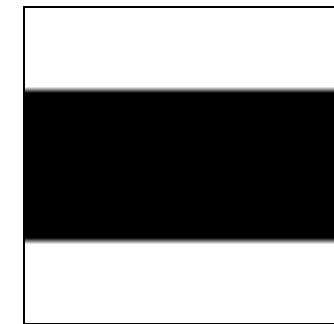
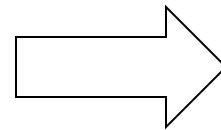
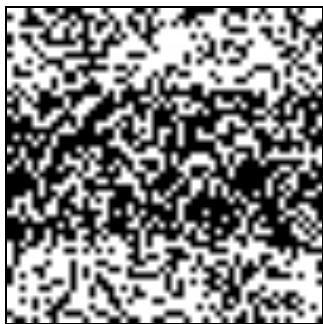
(almost) perfect
retrieval

one can show: set of random (zero mean) patterns

$\{\xi^\mu\}_{\mu=1}^P$ with $P = \alpha N$ is retrieved *successfully* for $\alpha \leq 0.14$

N neurons (with $\sim N^2$ weights) memorize $\sim \mathcal{O}(N)$ activity patterns

intermediate load (e.g. $P=100$)



noisy initial state

- distributed memory
- robust system!

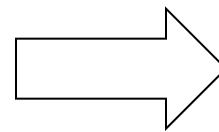
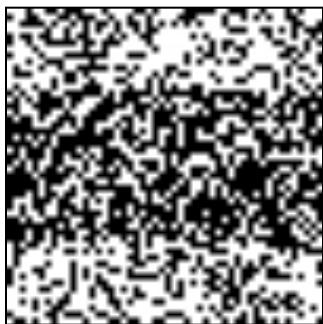
dynamics

noise dependent
retrieval state
potential ‘confusion’

one can show: besides the original patterns,
‘spurious states’ are also stabilized,
e.g. mixtures of different patterns



overloaded memory (e.g. $P=1000$)



?

noisy initial state

dynamics

no retrieval
patterns unstable

- distributed memory
- robust system!

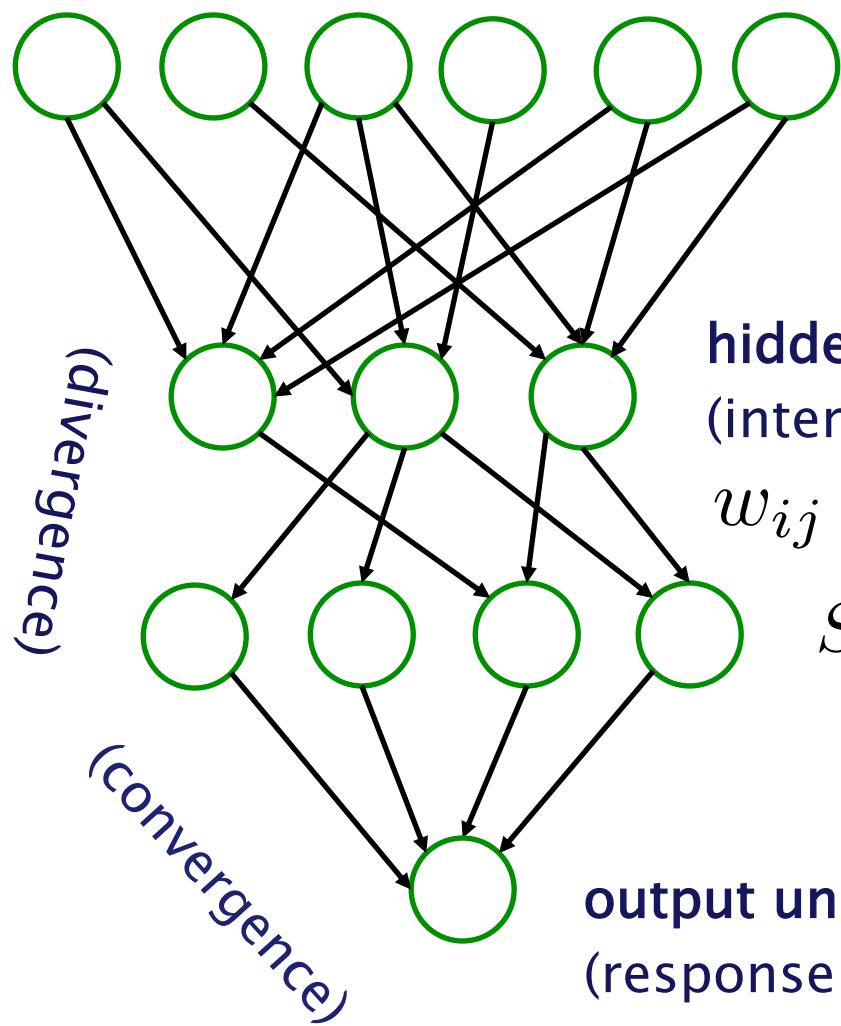


- the above is, strictly speaking, only true for ‘uncorrelated patterns’
- one can improve the Hopfield network by *decorrelating*, i.e.

$$w_{ij} = \frac{1}{P} \sum_{\mu=1}^P \left(\xi_i^\mu - \langle \xi_i^\mu \rangle \right) \left(\xi_j^\mu - \langle \xi_j^\mu \rangle \right)$$

- improved training prescriptions:
iterative learning, e.g. perceptron algorithm
- Hopfield model serves as a basic model of learning and retrieval
- other ‘recurrent networks’ are used in applications
- more sophisticated and detailed models for biological modelling
- different architectures, e.g.
‘Feed-forward’ networks for regression/classification tasks

input layer (external stimulus)



layered architecture

(here: 6–3–4–1)

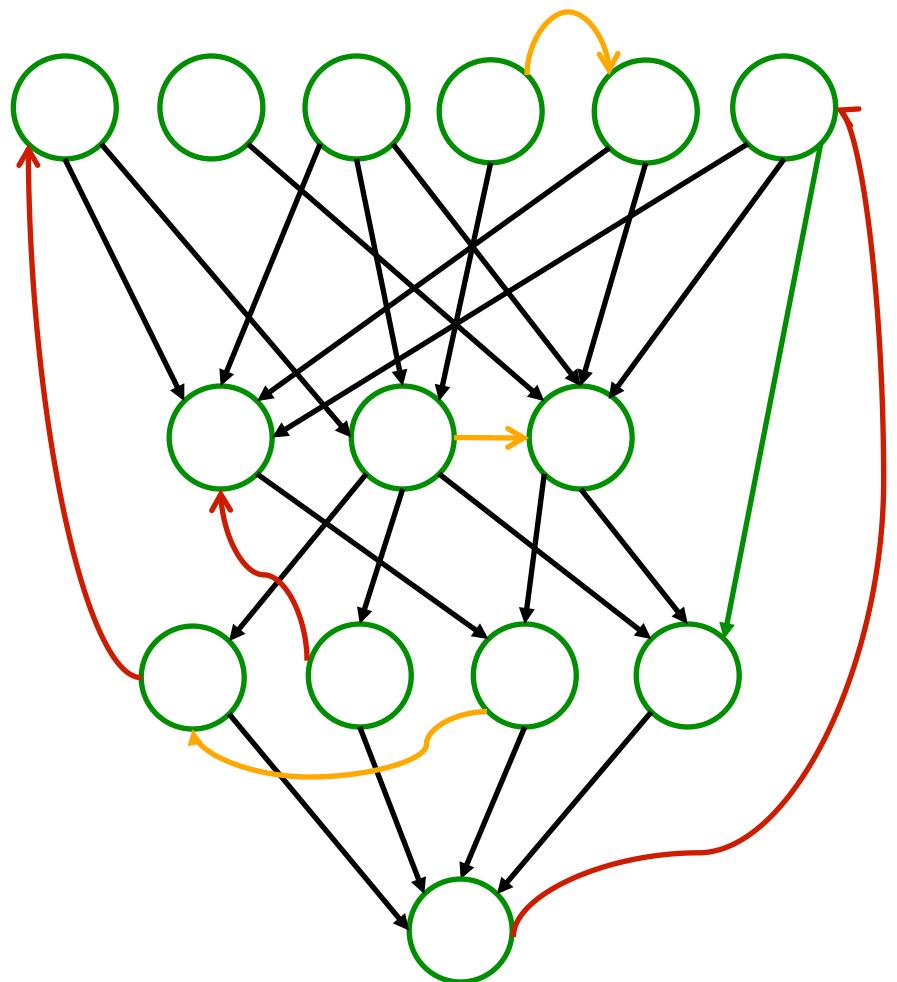
directed connections

(here: only to next layer)

$$S_i = g \left(\sum_j w_{ij} S_j \right)$$

hidden units
(internal representation)

output unit(s)
(response to the stimulus)

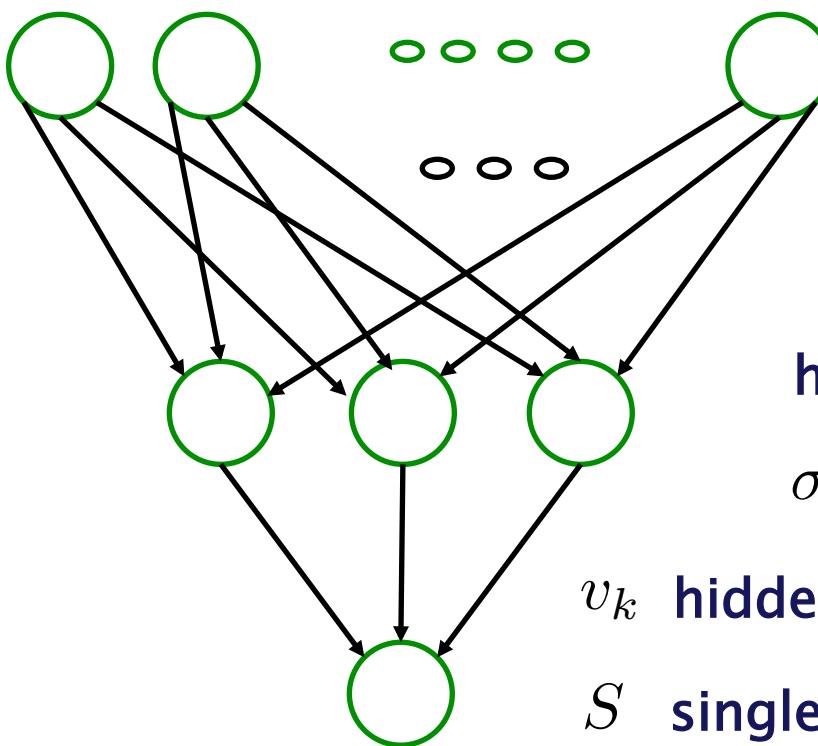


modifications:

(still) feed–forward weights
input/output relation

intra-layer connections
internal dynamics

feed-back connections
recurrent networks,
intermediate connectivity



input units $\xi_j \in I\!\!R$

$w_j^{(k)}$ input to hidden weights

hidden layer units

$$\sigma_k = g \left(\sum_j w_j^{(k)} \xi_j \right)$$

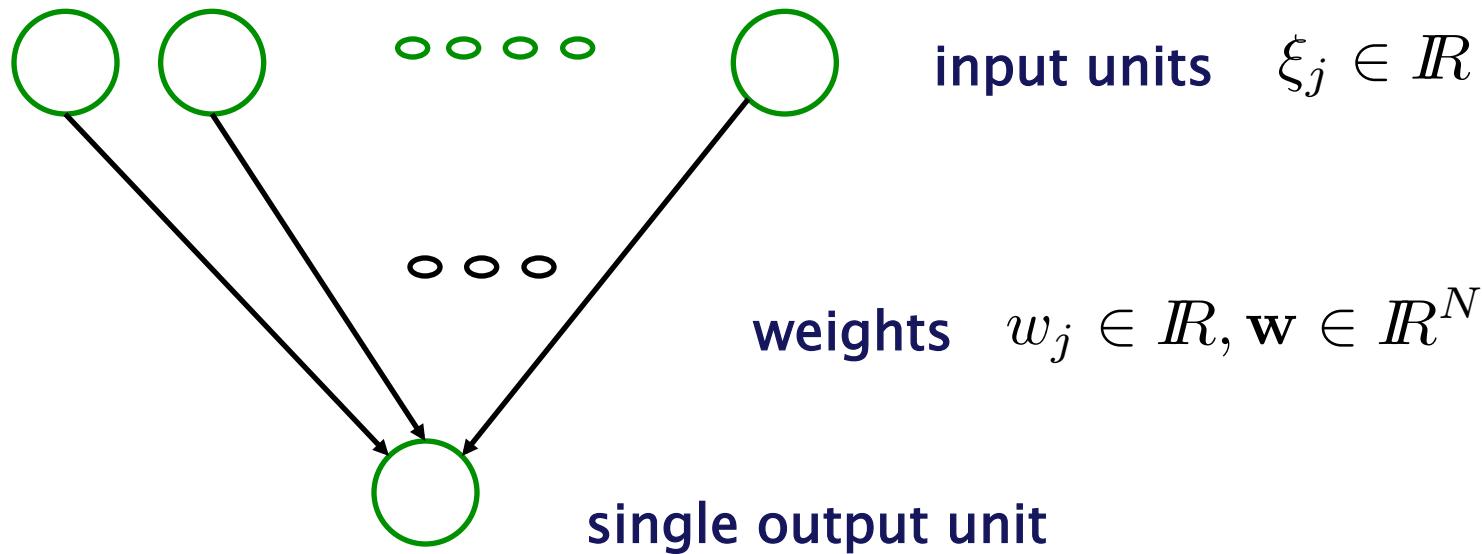
v_k hidden to output weights

S single output unit

output = non-linear function of input variables:

$$S = g_{out} \left(\sum_{k=1}^K v_k \sigma_k \right) = g_{out} \left(\sum_{k=1}^K v_k g \left(\sum_j w_j^{(k)} \xi_j \right) \right)$$

parameterized by set of all weights (and threshold)



$$S = \text{sign} \left(\sum_{j=1}^N w_j \xi_j - \theta \right)$$

output = “*linear separable function*” of input variables
parameterized by the weight vector \mathbf{w} and threshold θ



training phase:

adaptation of parameters

based on a set of example data

$$D = \{\xi^\mu, S^\mu\}_{\mu=1}^P$$

working phase

generalization, i.e. appl. of hypothesis to novel input $\xi \rightarrow S$

possible aims:

classification: S is a discrete label (e.g. $S \in \{1, 2, \dots, C\}$)
which assigns vectors ξ to one of C classes

regression: S is a continuous response (e.g. $S \in I\!\!R$)
which describes a property of ξ quantitatively



supervised learning (e.g. in feedforward networks)

classification / regression problems

- which are difficult to formulate as a simple *set of rules* (unknown or too complex)
- for which it is relatively simple to obtain *example data* (by observation or consulting an expert)

just a few examples:

- handwritten character (digit) recognition
- prediction of protein structure from amino acid sequences
- analysis of spectra (e.g. infrared spectra → fat content of meat)
- prediction of customer interests (e.g. at amazon.com)
- adaptive engine control (driver dependent)
- medical data/image analysis (diagnosis, risk prediction etc.)
- fault identification in technical processes
- . . . many more . . .